

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
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## REGULAR MEETING

of the California Horse Racing Board will be held on Thursday, June 23, 2011, commencing at **9:30 a.m.**, in the Sunset Room at Hollywood Park Race Track, 1050 South Prairie Ave., Inglewood, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. Approval of the minutes of the regular meeting of May 26, 2011.
2. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to three (3) minutes for their presentations.
3. Discussion and action by the Board regarding the proposed amendment of CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting, to revise the form CHRB-17, Application for License to Conduct a Horse Racing Meeting, and the form CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair.
4. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar, commencing July 20, 2011 through September 7, 2011, inclusive.
5. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale, commencing August 12, 2011 through August 21, 2011, inclusive.
6. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the California Exposition and State Fair Harness Association (H) at Cal-Expo, commencing August 26, 2011 through December 17, 2011 inclusive.
7. Discussion and action by the Board regarding the request to modify the distribution of market access fees from Advance Deposit Wagering (ADW) as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by Thoroughbred Associations, and discussion and action by the Board regarding the request to modify the distribution of takeout as permitted by Business and Professions Code section 19605.7(a)(2)(A) for wagering conducted by Thoroughbred Associations in the Northern Zone.

8. Discussion by the Board regarding a report on the progress of the dirt and turf race courses at Santa Anita Park Race Track.
9. Discussion and action by the Board regarding a finding pursuant to Business and Professions Code sections 19483 and 19484 that the ownership of Santa Anita Park Race Track, Golden Gate Fields and XpressBet, by a yet to be named entity controlled by Frank Stronach, is in the best interest of horse racing, and whether such ownership better serves the purposes of Business and Professions Code Division 8 Chapter 4 (Horse Racing Law).
10. Discussion and action by the Board regarding the distribution of race day charity proceeds of the Oak Tree Racing Association in the amount of \$23,962 to eight beneficiaries.
11. Discussion and action by the Board on the approval of service, steward and official veterinarian contracts for fiscal year 2011-2012.
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Keith Brackpool, Chairman  
David Israel, Vice Chairman  
Jesse H. Choper, Member  
Bo Derek, Member  
John C. Harris, Member  
Jerry Moss, Member  
Richard Rosenberg, Member  
Kirk E. Breed, Executive Director

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PENDING LITIGATION  
JUNE 2011

SUPERIOR COURT LITIGATION

- A. **Patrick Gleason v. Anne Glasscock and the California Horse Racing Board**  
Superior Court of California, County of Sacramento 34-2010-00076781
- B. **Jeff Mullins v. CHRB, et al**  
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- C. **Gail Ruffu vs. CHRB, Kirk Breed, et al**  
Superior Court of California, County of Los Angeles, Northeast District, Case No. GC045960
- D. **Morteza Atashkar vs. CHRB**  
Superior Court of California, County of Sacramento, Case No. 34-2011-00102154

UNITED STATES DISTRICT COURT

- E. **Douglas O'Neill vs. CHRB, Kirk E. Breed, et al**  
Central District of California Western Division, Case No. CV11-1972 GW

UNITED STATES BANKRUPTCY COURT

- F. **Magna Entertainment Corporation, et al.**  
District of Delaware, Case No. 09-10720 (MFW)

PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Bayside Lounge (Turf Club) at Golden Gate Fields, 110 Eastshore Highway, Albany, California on May 26, 2011.

Present: Keith Brackpool, Chairman  
Jesse H. Choper, Member  
Bo Derek, Member  
John C. Harris, Member  
Richard Rosenberg, Member  
Kirk E. Breed, Executive Director  
Michael Gowe, Deputy Attorney General

#### MINUTES

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Chairman Brackpool asked for approval of the minutes of the Regular Meeting of April 28, 2011. Commissioner Harris said he noted on page eleven of the minutes that it was stated the Thoroughbred Owners of California supported the amendment to Rule 1658, Vesting of Title to Claimed Horse, because it would eliminate claiming insurance for owners. He stated he did not know if that would be the result. It might make it more difficult to sell such insurance to owners, but it would not eliminate such insurance. Chairman Brackpool said the minutes would be amended to delete the words "eliminate claiming insurance for owners." Commissioner Choper motioned to approve the minutes as amended. Commissioner Rosenberg seconded the motion, which was unanimously carried.

#### PUBLIC COMMENT

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Debbie Winick, a trainer, spoke about her concerns regarding medication, racetrack surfaces and the need for Northern California trainers to be heard. Michael Lenzini spoke about the problems faced by "mom and pop" trainers, medication issues and the importance of racing fairs in introducing new fans to horse racing. James Duval of Service Employees International Union

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(SEIU) 1877 stated horse racing was in decline, and labor was willing to do anything possible to work to make the industry viable. Rafael Castaneda of the SEIU spoke about the need for racetrack valets to receive respect and recognition, as well as increased benefits and pay. Deborah Russell, a racetrack employee, spoke about racing association employment issues. Virginia Handley of the Paw Political Action Committee spoke about equine welfare issues.

PUBLIC HEARING AND ACTION BY THE BOARD ON THE PROPOSED ADDITION OF CHRB RULE 1500.1, RANDOM DRUG TESTING, TO ALLOW RANDOM DRUG TESTING OF JOCKEYS, APPRENTICE JOCKEYS AND DRIVERS UNDER SPECIFIED CONDITIONS, AND THE PROPOSED AMENDMENT TO CHRB RULE 1498, PHYSICAL EXAMINATION, TO REQUIRE DRUG SCREENING DURING THE ANNUAL JOCKEY AND DRIVERS PHYSICALS.

Jacqueline Wagner, CHRB staff, said the proposed addition of Rule 1500.1, Random Drug Testing, would provide a framework for conducting random drug testing on California jockeys, apprentice jockeys and drivers. The proposed regulation set the parameters under which the drug testing program would work, and it provided that refusal to be tested would constitute a positive test result. Ms. Wagner stated the proposed rule was noticed for the 45-day public comment period. One comment was received from the Jockey's Guild (Guild). The Guild wrote that it opposed the proposed regulation unless it included additional classes of license; however, it was not opposed to the procedures outlined in the regulation. Ms. Wagner said staff recommended the Board adopt the regulation as presented. Commissioner Harris commented the proposed regulation had been an ongoing project for some time. The main purpose of the regulation was to ensure the health and safety of jockeys and drivers. The Board did not want riders to be competing against others who might be impaired. The testing would be done for the integrity of the sport. Expanding testing could be achieved over time. Commissioner Rosenberg said he assumed the Guild wished more classes of license than it listed in its letter to be tested.

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Commissioner Choper stated that of all the license classifications jockeys and drivers posed the greatest danger to themselves. Jockeys were riding at 35 miles an hour on powerful horses, which was a good reason to begin the testing with them. Additionally, jockeys and drivers were the ones who posed the greatest danger to public confidence. None of the proposed regulations were addressed to the great majority of licensees. The fact that most licensees did not break rules was not a reason for passing rules. However, there were some who did not abide by the rules, and so rules were implemented to be a deterrent by making it expensive or embarrassing to misbehave. The Board was not stating that jockeys and drivers were more prone to taking drugs than any other class of licensee. It was concerned because the few who might take drugs posed the greater danger to themselves, other jockeys and drivers, and to the confidence of the public. Chairman Brackpool stated the issue had been discussed for some time. The idea of expanding the scope of testing could be discussed at future committee meetings. One issue with expansion of the regulation was whether the scope was being enlarged for a real reason, or just for the sake of expansion. Chairman Brackpool commented the regulation required the stewards to take into consideration the possession of a medical marijuana card, but it did not provide an exemption just because one had such a card. That issue was handled particularly well. Chairman Brackpool **motioned** to approve the addition of Rule 1500.1. Commissioner Rosenberg **seconded** the motion, which was **unanimously carried**. Ms. Wagner said the proposed amendment of Rule 1498, Physical Examination, would require drug screening during the annual jockey/driver physical examinations. The proposed amendment was published for a 45-day public comment period. No comments were received. Ms. Wagner stated staff recommended the Board adopt the amendment as presented. Commissioner Choper **motioned** to adopt the amendment to Rule 1498. Commissioner Harris **seconded** the motion, which was **unanimously carried**.

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DISCUSSION AND ACTION BY THE BOARD TO UTILIZE CHRB RULE 1406, SUSPENSION OF RULE, FOR A WAIVER OF RULE 1662, SALE OR TRANSFER OF CLAIMED HORSE, WHICH WOULD ALLOW HORSES CLAIMED OUT-OF-STATE, WITH CALIFORNIA MARKETING COMMITTEE (CMC) FUNDS, AND SUBSEQUENTLY SOLD AT A CALIFORNIA PADDOCK SALE TO RACE, IRRESPECTIVE OF THE DAY SUCH HORSE WAS CLAIMED.

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Robert Hartman of the California Marketing Committee (CMC) stated the CMC had not taken any action on the issue. The CMC board would meet on June 8, 2011, and it would talk about the possibility of using CMC funds to claim or buy out-of-state horses. However, it was not currently known if the item would be approved. Chairman Brackpool commented the item was the result of a series of discussions where it was one of a number of ideas for increasing California's horse population. Someone asked if the Board's regulations would allow the CMC to utilize its funds to buy horses, so the item was placed on the agenda. The item was not before the Board for a vote. Commissioner Rosenberg commented that if the CMC decided to appropriate funds to purchase horses, the Board would not have the ability to veto such a move; it only received reports on the CMC budget. Chairman Brackpool said that was correct. Commissioner Rosenberg stated he would urge the CMC to discuss the issue in depth, as it was a major step that would generate a lot of comment. Commissioner Choper asked if the waiver applied only to CMC purchases. Mr. Hartman said "yes." Commissioner Choper stated he read some comments questioning the legality of the idea. It seemed the legal opinion provided by a law firm was correct, but there were other points that could be added. Commissioner Harris said aside from the legal questions, it did not seem as if the concept was sound. It did not seem right to use CMC funds to purchase horses. The original purpose of the CMC was to develop horse racing fans. Buying horses to create larger fields was sort of backing into the CMC's mission; more fans would come to the races if there were larger fields. It seemed as if the CMC had

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gotten away from its basic purpose. He added he would like to see the CMC funds spent on projects that got more fans to the race tracks.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING JULY 20, 2011 THROUGH SEPTEMBER 7, 2011, INCLUSIVE.**

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Chairman Brackpool said the item was put over and would be heard at a future Regular Meeting.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA, COMMENCING JULY 27, 2011 THROUGH AUGUST 14, 2011, INCLUSIVE.**

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Jacqueline Wagner, CHRB staff, said the Sonoma County Fair (SCF) proposed to operate a race meeting at Santa Rosa commencing July 27, 2011 through August 14, 2011, or 15 days, the same number of days as in 2010. The SCF would run 162 races, the same number of races as in 2010. Ms. Wagner stated SCF would run concurrently with Del Mar, and its first post time would be 1:15 p.m. The advance deposit wagering providers would be XpressBet, TVG and Twinspires. The fire clearance was the only document missing from the application. Tawny Tesconi of SCF said the race meeting would run during the fair, which was celebrating its 75<sup>th</sup> anniversary. She said that would attract more patrons. SCF was in the midst of an overhaul of its barn sprinkler system, which caused the delay in the fire clearance. The project should be completed within a week. Ms. Tesconi talked about SCF's promotional activities, including a "Lady's Hat Day," a VIP tent program and three Sundays of "Derby Dogs." Commissioner Choper commented that if SCF has more than ten races in a program, it ought to consider holding its Place-Pick-Ten wager

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on races 3 to 12 instead of races 1 to 10. There would probably be more patrons attracted to the wager. Commissioner Harris asked if any thought was given to a lower takeout on an early Pick-5 wager. Richard Lewis of SCF said the California Authority of Racing Fairs was trying to keep the wagering formulas balanced among the racing fairs, so there would be uniformity. Commissioner Harris asked how that worked with the Humboldt County Fair (HCF) overlap. Mr. Lewis said SCF would overlap HCF the last three days of its meeting. During that time, SCF would try to run one quarter horse race per day. The remaining emerging breeds would go to HCF to support that meeting. Chairman Brackpool asked if SCF remodeled its paddock. Ms. Tesconi said the old paddock was torn down, and a new, expanded, paddock would be built. The paddock would be larger, with 12 stalls, and it would accommodate more trainers and owners' representatives. Chairman Brackpool commented he was very supportive of the racing fairs reinvesting some of their return into capitol facilities. Chairman Brackpool motioned to approve the SCF application for license to operate a race meeting. Commissioner Derek seconded the motion, which was unanimously carried.

### DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT FROM THE CALIFORNIA EXPOSITION AND STATE FAIR REGARDING THE STATUS OF ITS MALFUNCTIONING TOTE BOARD.

Jacqueline Wagner, CHRB staff, said the April 29, 2011 stewards' minutes reported that the California Exposition and State Fair (Cal-Expo) tote board was shut off for races 9 through 15 due to its malfunctioning. The minutes reported the malfunctioning tote board was an ongoing problem, and that the board had malfunctioned on nine other occasions. Cal-Expo informed staff that it owned the tote board, but that it was maintained and operated by Sport Tech Incorporated (Sport Tech). Chairman Brackpool commented it was not acceptable to have a tote board

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continually malfunction over a period of months. The race meeting was being transmitted nationwide, and it was a poor reflection on California. Dave Elliott of Cal-Expo stated the tote board was currently working. There had been intermittent problems with the board, and on the evening in question, Sport Tech thought it would be prudent to shut it down rather than broadcast bad information. Cal-Expo supported that decision. Mr. Elliott commented the tote board display was not included on the simulcast signal. Cal-Expo had an old tote board, and every time there was a problem Sport Tech was present trying to determine the cause. Terry McWilliams of Sport Tech said the tote board was not an LED board like those at other tracks. New equipment was installed for the Cal-Expo board, and hopefully there would be no more problems going forward. He added most of the problems involved the simple resetting of the board controller. Many of the problems resulted from too much data being sent to the board at one time. Resetting the controller refreshed the board and brought it into proper operating order. Chairman Brackpool commented there was a pretty constant stream of data sent to the board, but one did not suddenly have 20 extra horses. Mr. McWilliams said Sport Tech added a statistical multiplexer that would manage the data more efficiently. In addition, the controller device was moved to an air conditioned area, which would also be helpful. Sport Tech and Cal-Expo were also looking at re-wiring the tote board to achieve better performance. Mr. McWilliams stated he could not predict that the fixes would result in absolutely no problems, but with the recent fixes the board would perform better, and Sport Tech would continue to be responsive. Commissioner Rosenberg asked if Sport Tech had given Cal-Expo any quotes for a new board or made recommendations for a new board. Mr. McWilliams stated Sport Tech looked at what options there might have been within its existing California inventory. However, there would be some ongoing expenses involved in bringing in other equipment, and that needed to be discussed

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with Cal-Expo. Commissioner Harris said Cal-Expo was almost a year-round facility. He asked if the other fairs kept their boards when they were closed. Mr. McWilliams stated Sport Tech used two complete sets of message boards. It would install one set at a fair that was operating, and then install its second set at the next fair while the first fair was running. That meant the boards were moved from fair to fair. The Cal-Expo board was permanent because it operated year-round racing. Chairman Brackpool cautioned that the Board did not want to revisit the issue. Sport Tech had indicated the problems were mitigated, but if they persisted, the Board would not take it lightly. Mr. Elliott stated it had been six racing days since Sport Tech made the fixes to the board, and there had been no problems.

### DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 13, 2011 THROUGH JULY 24, 2011, INCLUSIVE.

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Jacqueline Wagner, CHRB staff, said the California Exposition and State Fair (Cal-Expo) proposed to run from July 13, 2011 through July 24, 2011 for a total of ten days, the same number of days it ran in 2010. Cal-Expo would run 84 races, 16 fewer races than in 2010. The first post time would be 1:45 p.m., daily and 3:45 p.m. on Friday. The advance deposit wagering providers were XpressBet, TVG and Twinspires. Ms. Wagner said all the required items were submitted and staff recommended the Board approve the application, as submitted. Dave Elliott of Cal-Expo stated the fair would operate nine live racing days versus the ten that were run in 2010. Hollywood Park was on a Thursday through Sunday race schedule, and in 2010 Cal-Expo tried to race Wednesday without Hollywood Park, which did not work well. During the Wednesday it was not racing, Cal-Expo would offer simulcast wagering. Norb Bartosik of Cal-Expo said Cal-Expo was in its second year of a major race dates change. Cal-Expo moved into

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the dates vacated by Solano County, and it saw a 10 percent increase in overall attendance at the fair for the first time in five years. He stated Cal-Expo's promotions and discounts would be similar to those offered in 2010. Chairman Brackpool stated item 14 of the application asked about on-track fan development. There were no real promotional plans described, and there was nothing different from 2010. He said the Board did not want to see applications that just accepted that times were tough and that was the way it would be. Mr. Bartosik agreed Cal-Expo's application did not address its overall promotion and the amount of money it would spend in general advertising to promote racing. However, Cal-Expo would continue to look at how it could do special programs and giveaways. Letters to box holders had just gone out, and there was a good return on them. Cal-Expo believed the program would ramp up, but it was just starting to unfold its special promotions and giveaways. Chairman Brackpool said Cal-Expo was in its second year of a major date change. It was open for racing a total of five days. He asked if how he would know there was live thoroughbred racing as opposed to night harness racing if he lived in Sacramento. Cal-Expo had a date change, and a different product, but how were racing fans in Sacramento supposed to know that? Mr. Bartosik said that within a 100 mile radius of Cal-Expo one would see billboards, online programs, and special promotions offered by Cal-Expo. He stated Cal-Expo would be happy to provide the Board with information about what would happen. It was just a little premature from where Cal-Expo currently was in its operation. Chairman Brackpool said it was not premature for the Board. It was not acceptable to receive a license application that answered questions with "...none, same, none, same..." and then hear the applicant state it would come up with a marketing plan, but it would not be done until nearer the opening date. Mr. Bartosik stated Cal-Expo did have a marketing plan since the beginning of the year, but it was still in print. The program would promote all features of the fair including

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racing. There would be special promotions for racing, both on-track and remote. Chairman Brackpool commented the industry was in difficult times which required creativity and marketing to change the current paradigm; that was what the Board wanted to see. Commissioner Choper said the application indicated Cal-Expo would try to coordinate its races with other associations. It appeared that would be difficult, especially with Del Mar; however, it was important for Northern California to do that. He asked if Cal-Expo would use a Place Pick wager. Mr. Elliott said he always attempted to achieve a pari-mutual schedule that was consistent with the other racing associations. Cal-Expo wanted to offer the fans the same type of wagers that were offered on an annual basis throughout the state. Commissioner Choper asked if there would be a Place (n) Pool wager offered. Mr. Elliott said he would be happy to offer such a wager if it were approved by the Board. Commissioner Harris commented if the wager were included it should be offered by all California Authority of Racing Fairs (CARF) entities for uniformity. Mr. Elliott said Cal-Expo was attempting to offer wagers consistent with CARF and Golden Gate Fields for Northern California racing fans. Chris Korby of CARF said his organization strived to offer a uniform wagering format throughout the year. CARF was attempting to recommend that all the fairs offer an identically formatted wagering program. Chairman Brackpool said perhaps CARF could inform the Board of its recommended wagering program, and then the Board could see where a particular fair application deviated from that recommendation. Mr. Korby said CARF could supply each fair with a document to attach to their applications. Commissioner Choper motioned to approve the Cal-Expo application for license to operate a race meeting. Commissioner Rosenberg seconded the motion, which was unanimously carried.

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DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY THE SOUTHERN CALIFORNIA OFF-TRACK WAGERING, INC. (SCOTWINC) AT THE HORSE MAN'S CLUB, IN SANTA MARIA, CALIFORNIA.

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Jacqueline Wagner, CHRB staff, said the Southern California Off-Track Wagering, Inc. (SCOTWINC) proposed to operate a minisatellite wagering facility at the Santa Maria satellite wagering facility, which was located at the Santa Maria Fair Park in the 36<sup>th</sup> Agricultural District. The Santa Maria satellite wagering facility was closing on May 31, 2011, and SCOTWINC negotiated a lease with the fair district to operate the facility as a minisatellite until a new minisatellite wagering facility within the area was licensed and operating. Ms. Wagner stated SCOTWINC certified that there were no racing facilities within 20 miles of the proposed minisatellite. In addition, SCOTWINC had completed contracts with the racing associations. It was proposing to operate the minisatellite from Wednesday through Sunday. Chairman Brackpool said what was happening was the current facility would no longer be operated by the fair, so SCOTWINC was stepping in to take it over until another facility was operational. Ms. Wagner said that was correct. Rick Baedeker of SCOTWINC said the industry had an opportunity in Santa Maria, and he hoped that within a month a new application would be submitted to operate a minisatellite elsewhere in the City of Santa Maria. It was anticipated that the new site would have at least twice the attendance of the current facility. Chairman Brackpool said it was unfortunate there could not be more new minisatellites. The current situation just demonstrated that fans would not go to dilapidated rundown facilities where it appeared no one took care of them. In the modern world one had the OC Tavern, which was actually a nice place to go have a drink, get food and enjoy the social atmosphere. He stated the Santa Maria application was a prime example of why more minisatellites were needed. Mr. Baedeker said he was surprised to see how nice the Santa Maria facility was. However, it was located in the

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middle of a closed fair facility, and one had to go find the facility. On the other hand, in the environment of a successful restaurant/bar location there was an energy level that was similar to the way live racetracks used to feel. With the success of OC Tavern, and hopefully those that follow, the industry would develop a prototype that would make it easier to attract other restaurant owners. Chairman Brackpool said the problem was not convincing restaurant owners, it was the monopoly created by the 20 mile radius. Mr. Baedeker commented the law needed to be changed, but at least in the Los Angeles area the management of Santa Anita and Hollywood Park indicated with one or two exceptions they would not withhold a waiver. The racing associations were more focused on the big picture and could see the benefit of building the minisatellites. The traditional satellite wagering facilities enjoyed a 20-mile radius and did not see the benefit of minisatellites. However, California was a big state, so if the industry focused on those areas where there would not be an objection, a few more sites could be running by the end of the year. Chairman Brackpool asked why the Santa Maria fair wanted out of the business. Mr. Baedeker said the satellite was losing money and was cost prohibitive. Commissioner Harris said the minisatellites were definitely better than the previous model. However, it seemed the application process needed streamlining. He added it was possible to wager anywhere he could use his I-Phone, so the hurdles put up for minisatellites were designed for a world where there was not the technology used today. Chairman Brackpool commented he understood the Board's application was not as problematic as the process imposed by the local communities. Mr. Baedeker said that was a fair statement. He added the Horse Man's Club application would not be as problematic for the Board because it was currently a satellite wagering facility and not starting from scratch. Chairman Brackpool said in his new role with SCOTWINC Mr. Baedeker could work with staff to streamline the Board's application process. Mr. Baedeker stated he had

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such a conversation with staff, and he would be happy to accept that invitation. Commissioner Rosenberg said he understood that all the fairs in the state had to sign off on every minisatellite application. Ms. Wagner stated the second part of the application required the signature of associations that were giving approval for the minisatellite facility. That was agreed upon by the associations when they participated in the initial development of the minisatellite application. The application was a three-part form, and the applicant was required to complete only the first part. The remainder of the application was completed by the simulcast organization and the associations. Part two was what the associations or fairs signed. The California Authority of Racing Fairs could sign for all the fairs. Commissioner Harris asked why it was necessary for the associations or fairs to sign off on a minisatellite facility. Ms. Wagner said if an individual wished to place a bet on a California track at a minisatellite facility, that track had to have authorized the minisatellite to offer the wager. In addition, there was the issue of the 20-mile radius. The tracks, racing fairs and satellite wagering facilities were required by law to waive the 20-mile radius if a minisatellite was located within 20 miles of the facility. Chairman Brackpool stated that in the near future SCOTWINC could make a presentation to the Board regarding what it would take to foster more growth in minisatellite facilities. Commissioner Rosenberg added SCOTWINC could also report on what it was working on in terms of locations and the impediments that might exist. Chairman Brackpool motioned to approve the application for license to operate a minisatellite wagering facility at the Horse Man's Club in Santa Maria, California. Commissioner Choper seconded the motion, which was unanimously carried.

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DISCUSSION AND ACTION BY THE BOARD REGARDING THE MISSION STATEMENT OF THE CALIFORNIA HORSE RACING BOARD.

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Chairman Brackpool said it was apparent that the Board's reputed mission statement had slightly different wording on its website, the governor's budget, the annual report, and other documents. So, a consistent version of the mission statement was developed. The proposed CHRB mission statement was: "The mission of the California Horse Racing Board is to ensure the integrity, viability and safety of the California horse racing industry by regulating pari-mutuel wagering for the protection of the public, promoting horse racing, breeding and wagering opportunities, and fostering safe racing through the development and enforcement of track safety standards and regulations for the health and welfare of all participants." Commissioner Choper said the statement was comprehensive, accurate and to the point; he **motioned** to adopt the proposed CHRB mission statement. Commissioner Harris **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF SERVICE, STEWARD AND OFFICIAL VETERINARIAN CONTRACTS FOR FISCAL YEAR 2011-2012.

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CHRB Executive Director Kirk Breed said the item was a continuation of contracts that occurred on an annual basis. The contracts were for the fiscal year 2011-2012, and were in line with the costs associated with the fiscal year 2010-2011 with the exception of the postmortem program. The University of California at Davis (UCD) was providing the program at a discount; however, with cuts at UCD that could not continue. The postmortem costs were increased to take care of the necropsies. Chairman Brackpool commented that the supporting materials for the requests were not sufficient for the Board to make a decision on the item. Once the items reached the six-figure level, the Board was looking at over 1 percent of the CHRB budget. Chairman Brackpool

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stated he did not think the Board could make any decisions without proper documentation. He said the Board needed to see the contracts and an explanation of what was different from the previous fiscal year. At the April 2011 Regular Meeting the Board approved contracts with UCD that included a breakdown of costs, the administration and how many people were involved. The Board needed the same level of detail for the current request. That would include anything costing over \$25,000. Commissioner Choper said it would be helpful if there were comparisons that identified differences between the current fiscal year and the 2011-2012 fiscal year requests. Commissioner Harris commented it would be helpful to remember that the funds were coming from the industry; essentially, the owners and the tracks.

**MEETING ADJOURNED AT 1:10 P.M.**

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A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 23, 2011  
REGULAR BOARD MEETING

There is no board package material for Item 2

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT OF CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A  
HORSE RACING MEETING, TO REVISE THE FORM CHRB-17, APPLICATION FOR  
LICENSE TO CONDUCT A HORSE RACING MEETING, AND THE FORM CHRB-18,  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A  
CALIFORNIA FAIR

Regular Board Meeting  
June 23, 2011

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutual wagering. Business and Professions Code section 19480 states the Board may issue to any person who makes application therefor in writing, who has complied with the provisions of this chapter, and who makes the deposit to secure payment of the license fee imposed by this article, a license to conduct a horse racing meeting in accordance with this chapter at the track specified in the application; provided, the Board determines that the issuance thereof will be in the public interest and will subserve the purposes of this chapter. Rule 1433, Application for License to Conduct a Horse Racing Meeting, provides that every association or fair that intends to conduct a horse racing meeting must file a completed application with the Board at least 90 days prior to its proposed meeting. The forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair, are incorporated by reference in Rule 1433; therefore, any revisions to the applications would necessitate an amendment to the rule.

Board Rule 1433 was last amended in 2006. In the intervening period of time the industry has experienced changes in the form of new programs, as well as financial uncertainty. The proposed amendment to Rule 1433 will revise the forms CHRB-17 and CHRB-18 to capture information regarding how an association will implement such changes, as well as the financial health of an applicant.

## ANALYSIS

### Proposed changes to the form CHRB-17 include:

Section 1, Applicant Association: This section has been modified to require a contact email address for the applicant association's contact person.

Subsection 1E, Applicant Association: This is a new subsection which requires the applicant association to attach a one hundred thousand dollar surety bond. This modification will help staff determine if a surety bond is required. Business and Professions Code section 19464(b)

provides that no application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars, or a greater amount as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare and pension plans. The provision does not apply to an association licensed to operate a race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

Section 2, Dates of Race Meeting: Subsection A2 has been modified to require the inclusive dates allocated for the entire race meeting. The subsection was modified because there could be a difference between the inclusive allocated dates for the race meeting and the actual dates racing will be held.

Section 4, Racing Association: The section has been modified to capture information regarding the parent company of a racing association, as the structure for each may be different. Subsection 4.D. has been modified to make the questions more applicable to limited liability corporations and to better determine the true ownership of such corporations.

Subsection 4, F, Financial Information: This subsection has been modified to clarify the financial information an applicant association must provide. The subsection spells out the items that must be included in the audited annual financial statement or financial report, including balance sheet, profit and loss statement, etc. The "Notice to Applicant" informs the applicant that under Government Code section 6254(k) the financial information is exempt from disclosure and will be kept confidential.

Section 5, Takeout Percentage: This is a new subsection designed to capture information regarding whether the thoroughbred racing association intends to adjust the takeout percentage deducted from any type of wager. Business and Professions Code section 19601.1 allows a thoroughbred racing association to adjust the takeout with the written agreement of the horsemen's organization and approval of the Board, as specified.

Section 6, Handle History: This is a new subsection that will capture information regarding the last five years of handle and attendance for the racing association. Only associations that have been licensed by the Board during the last five years must complete this section. The subsection will provide a visual history of handle and attendance over time.

Section 7, Purse Program: This section has been modified to ensure uniformity of purse program numbers.

Section 10, Advance Deposit Wagering: This section has been added to ensure advance deposit wagering contracts are in place.

Section 11, Simulcast Wagering Program: This section has been modified to require a list of California minisatellite wagering facilities to which the association proposes to offer its live audiovisual signal.

Section 15, Emergency Services: This section has been modified to ensure that ambulance paramedic staff is properly certified.

Section 17, On-Track Attendance/Fan Development: This section has been modified to include promotional and marketing budgets for proposed and prior race meetings. This will provide a comparison between past and current meetings.

Section 21, Track Safety: This section has been modified to include track surface composition and information about the cross slopes in the straight-aways and in the center of the turns.

Proposed Changes to the CHRB-18 include:

Section 1, Applicant Association: This section has been modified to require a contact email address for the applicant association's contact person.

Section 2, Dates of Race Meeting: Subsection A2 has been modified to require the inclusive dates allocated for the entire race meeting. The subsection was modified because there could be a difference between the inclusive allocated dates for the race meeting and the actual dates racing will be held. Subsection 2E has been added to capture the days of the week races will be held.

Section 5, Takeout Percentage: This is a new subsection designed to capture information regarding whether the fair racing association intends to adjust the takeout percentage deducted from any type of wager. Business and Professions Code section 19601.1 allows a fair racing association to adjust the takeout with the written agreement of the horsemen's organization and approval of the Board, as specified.

Section 6, Handle History: This is a new subsection that will capture information regarding the last five years of handle and attendance for the fair racing association. Only associations that have been licensed by the Board during the last five years must complete this section. The subsection will provide a visual history of handle and attendance over time.

Section 7, Purse Program: This section has been modified to ensure uniformity of purse program numbers.

Section 9, Pari-Mutuel Wagering Program: This section has been modified to determine if the fair racing association is a member of California Authority of Racing Fairs (CARF) and if it will use the CARF recommended wagering format.

Section 11, Simulcast Wagering Program: This section has been modified to require a list of California minisatellite wagering facilities to which the fair proposes to offer its live audiovisual signal.

Section 14, Emergency Services: This section has been modified to ensure that ambulance paramedic staff is properly certified.

Section 15, Concessionaires and Service Contractors: This section has been modified to determine if the fair plans to provide its own concessions.

Section 16, On-Track Attendance/Fan Development: This section has been modified to include promotional and marketing budgets for proposed and prior race meetings. This will provide a comparison between past and current meetings.

Section 20, Track Safety: This section has been modified to include track surface composition and information about the cross slopes in the straight-aways and in the center of the turns.

RECOMMENDATION

Staff recommends the Board direct staff to initiate a 45-day public comment period.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (~~B&P~~) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, and fax numbers, and the email address for associations contact person:

B. Breed of horse: TB  QH  H

C. Racetrack name:

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to ~~B&P~~ Business and Professions Code Section 19490.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting:

B. Actual dates racing will be held:

C. Total number of days or nights of racing:

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify)

E. Number of days or nights of racing per week:

CHRB CERTIFICATION

Application received:  
Deposit received:  
Reviewed:

Hearing date:  
Approved date:  
License number:

3. RACING PROGRAM

- A. Total number of races:
- B. Number of races for each day or night:
- C. Total number of stakes races:
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes    No      If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Business and Professions Code Section 19568(b).

4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

C. CORPORATION

- 1. Registered name of the corporation:
- 2. State where incorporated:
- 3. Registry or file number for the corporation:
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
- 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
- 6. Number of outstanding shares in the corporation:
- 7. Are the shares listed for public trading?  Yes    No  
 If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes  No

~~10. Attach the most recent audited annual financial statement for the licensee, including balance sheet, profit and loss statement a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee.~~

10. Registered name of the corporation:

11. State where incorporated:

12. Registry or file number for the corporation:

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:

15. Number of outstanding shares in the corporation:

16. Are the shares listed for public trading? Yes  No

If yes, on what exchange and how is the stock listed:

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:

2. State where articles of organization are filed:

3. Registry or file number for the LLC:

4. Attach a list of the Nnames of all members (including individuals (true names), corporations, other LLCs and or foreign entities) officers and directors, titles, and the number of shares of the LLC held by each:

~~5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:~~

~~6 5. Are the shares listed for public trading?~~ Yes  No

~~If yes, on what exchange and how the stock is listed:~~

~~7 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:~~

~~A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No~~

~~8. Attach the most recent audited annual financial statement for the licensee, including a balance sheet, and profit and loss statement, statement of cash flow, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.~~

~~7. Registered name of the LLC/Corporation:~~

~~8. State where articles of organization are filed:~~

~~9. Registry or file number for the LLC:~~

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:

11. Are the shares listed for public trading?

Yes

No

12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

\*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

F G. ~~Management and Staff~~ MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 130B, who will be listed in the official program:
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). ~~if other than the mailing address of the association:~~

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Has the applicant been licensed by the CHRB during the last five years? If no, proceed to subsection 7. If yes, complete the table below providing the last five years of handle and attendance for the racing association.

Yes  No

Year	Handle	Attendance

**§ 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate:  
 Prior meet actual:

Average Daily Purse ( $\$ \frac{A1}{\text{number of days}}$ ):  
 Current meet estimate:  
 Prior meet estimate actual:

- 2. Overnight stakes:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse ( $\$ \underline{7} A2 \div$  number of days):  
Current meet estimate:  
Prior meet ~~estimate~~ actual:

- 3. Non-overnight stakes:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse ( $\$ \underline{7} A3 \div$  number of days):  
Current meet estimate:  
Prior meet ~~estimate~~ actual:

- 4. Total Purses: ( $\$ \underline{7} A1 + \underline{7} A2 + \underline{7} A3$ )  
Current meet estimate:  
Prior meet actual:

B. ~~Stakes races:~~ California-bred Stakes Races:

- 1. ~~Purse distribution for all stakes races:~~  
~~Current meet estimate:~~  
~~Prior meet actual:~~

~~Average Daily Purse ( $\$ \underline{B1} \div$  number of days):~~  
~~Current meet estimate:~~  
~~Prior meet ~~estimate~~ actual:~~

- 2.1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
Current meet estimate:  
Prior meet actual:

Average Daily Purse ( $\$ \underline{7} B\underline{21} \div$  number of days):  
Current meet estimate:  
Prior meet ~~estimate~~ actual:

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
Current meet estimate:  
Prior meet actual:

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

Total

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen ( $\$ \underline{\quad} A + \$ \underline{\quad} C + \$ \underline{\quad} D$ ):  
 Current meet estimate:  
 Prior meet actual:
- Average Daily Purse ( $5 E \div$  number of days):  
 Current meet estimate:  
 Prior meet ~~estimate~~ actual:
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):  
 Current meet estimate:  
 Prior meet actual:
- Average Daily Purse ( $\$ \underline{\quad} F \div$  number of days):  
 Current meet estimate:  
 Prior meet ~~estimate~~ actual:
- G. Purse funds to be generated from interstate handle:  
 Current meet estimate:  
 Prior meet actual:
- Average Daily Purse ( $\$ \underline{\quad} G \div$  number of days):  
 Current meet estimate:  
 Prior meet ~~estimate~~ actual:
- H. Bank and account number for the Paymaster of Purses' purse account:
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Business & Professions Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**68 STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business & Professions Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, ~~skip~~ proceed to Section 79.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

**79 PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to ~~B&P~~ Business & Professions Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9

- Race #10
- Race #11
- Race #12
- Race #13

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:
- C. List any options requested with regard to exotic wagering:
- D. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin?: Specify days and time for "early bird" wagering:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

**§ 10. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.  
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**§ 11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

F. G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: B&P Business and Professions Code Ssection 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 32 imported thoroughbred races statewide. The limitation of 23 32 imported thoroughbred races per day statewide does not apply to those races specified in B&P Business & Professions Code Ssection 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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G. H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

#### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

#### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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I. J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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J. K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Business & Professions Code Ssections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Business and Professions Code Ssections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to

its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5 7.)

## ~~10~~ 12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Business and Professions Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least ~~20%~~ 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Business and Professions Code Section 19556(b).

## ~~11~~ 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
  - Association Veterinarian(s)
  - Clerk of Scales
  - Clerk of the Course
  - Film Specialist
  - Horse Identifier
  - Horseshoe Inspector
  - Paddock Judge
  - Patrol Judges
  - Placing Judges
  - Starter
  - Timer
- B. Management officials in the racing department:
  - Director of Racing
  - Racing Secretary
  - Assistant Racing Secretary
  - Paymaster of Purses
  - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

#### ~~12~~ 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
  - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls ~~barns~~.
  - 2. Detention Stalls ~~Barns~~:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention stall ~~barn~~ area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention stall ~~barn~~ area.
  - 3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.
    - B. Plan for enhanced surveillance for trainers with high-test results.
    - C. Plan for detention stalls ~~barns~~ for repeat offenders.
    - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system:
  - 1. Location and number of video surveillance cameras for the detention stall ~~barn~~ and stable gate.
- D. For night racing associations. Describe emergency lighting system:

**13 15. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B&P Business & Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and



**17 19. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text"/>
<input type="checkbox"/> Showers	<input type="checkbox"/> Steam room, sauna or steam cabinets	<input type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input type="checkbox"/> Food/beverage service	<input type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

**18 20. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date) \_\_\_\_\_.

B. Number of rooms used for housing on the backstretch of the racetrack:

C. Number of restrooms available on the backstretch of the racetrack:

D. Estimated ratio of restroom facilities to the number of backstretch personnel:

**19 21. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

C. The percent of cross slope in the straight-aways is:  
The percent of cross slope in the center of the turns is:

~~B-D~~ Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

~~C E~~ Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:

~~D F~~ Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.

~~E G~~ If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

~~20~~ 22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

~~21~~ 23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Date

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair, and the email address for fair association contact person:

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Fair name:

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting:

B. Actual dates racing will be held:

C. Dates racing will NOT be held:

D. Total number of racing days:

E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify)

3. RACING PROGRAM

A. Total number of races:

B. Number of races by breed:

Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

<b>CHRB CERTIFICATION</b>		
Application received:		Hearing date:
Reviewed:		Approved date:
		License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred							
Other Breeds							
Total							

D. Total number of stakes races by breed:

Thoroughbreds       Quarter Horses       Appaloosas  
 Arabians       Paints       Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes     No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 912B, who will be listed in the official program:
- D. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes       No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Has the applicant been licensed by the CHRB during the last five years? If no, proceed to subsection 7. If yes, complete the table below providing the last five years of handle and attendance for the fair.

Yes            No     

<u>Year</u>	<u>Handle</u>	<u>Attendance</u>

**5 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate:  
 Prior meet actual:

Average Daily Purse ( $\$ 7A1 \div$  number of days):  
 Current meet estimate:  
 Prior meet ~~estimate~~ actual:

2. Overnight stakes:  
 Current meet estimate:  
 Prior meet actual:

Average Daily Purse ( $\$ 7A2 \div$  number of days):  
 Current meet estimate:  
 Prior meet ~~estimate~~ actual:

3. Non-overnight stakes:  
Current meet estimate:  
Prior meet actual:

4. Total Purses: (\$ 7A1+\$ 7A2+\$ 7A3)  
Current meet estimate:  
Prior meet actual

Average Daily Purse ( $\$ 7A3 \div$  number of days):  
Current meet estimate:  
Prior meet estimate actual:

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
Current meet estimate:  
Prior meet actual:

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT	
TOC	
NTRA	
PCQHRA	
CWAR	
ARAC	
AMRA	
CHBPAPEN	
CTHF	
Total	Total

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen ( $\$ 7A+\$ 7B+\$ 7C$ ):

Current meet estimate:  
Prior meet actual:

Average Daily Purse ( $\$ 7D \div$  number of days):  
Current meet estimate:  
Prior meet estimate actual:

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):

Current meet estimate:  
Prior meet actual:

Average Daily Purse ( $\$ 7E \div$  number of days):  
Current meet estimate:  
Prior meet estimate actual:

- F. Purse funds to be generated from interstate handle:  
 Current meet estimate:  
 Prior meet actual:
- Average Daily Purse ( $\$ 7F \div$  number of days):  
 Current meet estimate:  
 Prior meet-estimate actual:
- G. Bank and account number for the Paymaster of Purses' purse account:
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Business & Professions Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Business & Professions Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip proceed to Section 7 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting; pursuant to Business and Professions Code section 19535(c).

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

7.9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF Recommended Wagering Format. Yes  No

A B. Pursuant to B&P Business & Professions Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each: . If applicant is a member of CARF, also indicate if wager is a part of the CARF Recommended Wagering Formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF RECOMMENDED WAGERING FORMAT	
			Yes	No
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes	No
Race #1				
Race #2				
Race #3				
Race #4				
Race #5				
Race #6				
Race #7				
Race #8				
Race #9				
Race #10				
Race #11				
Race #12				
Race #13				

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:
- C. List any options requested with regard to exotic wagering:
- D. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin: . Specify days and time for "early bird" wagering:

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**§ 10. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
 Yes  No

If yes, attach a copy of the approval.  
If No, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**§ 11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
- F.G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: B&P Business & Professions Code §section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 32 imported thoroughbred races statewide. The limitation of 23 32 imported thoroughbred races per day statewide does not apply to those races specified in B&P Business & Professions Code §section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track                      Race Dates                      Full Card or Selected Feature and/or Stakes Races

**G H.** List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track                      Breed of Horse                      Race Dates                      Number of Races to be Imported

**H I.** If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Business & Professions Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 57.)

**10 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
  - Association Veterinarian(s)
  - Clerk of Scales
  - Clerk of the Course
  - Film Specialist
  - Horse Identifier
  - Horseshoe Inspector
  - Paddock Judge
  - Patrol Judges
  - Placing Judges
  - Starter
  - Timer
  
- B. Management officials in the racing department:
  - Director of Racing
  - Racing Secretary
  - Assistant Racing Secretary
  - Paymaster of Purses
  - Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photopatro video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

### ~~11~~ 13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
  - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls ~~barns~~.
  - 2. Detention Stalls ~~Barns~~:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention stall ~~barn~~ area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention stall ~~barn~~ area.
  - 3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.
    - B. Plan for enhanced surveillance for trainers with high-test results.
    - C. Plan for detention stalls ~~barns~~ for repeat offenders.
    - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system.
  - 1. Location and number of video surveillance cameras for the detention stall ~~barn~~ and stable gate.

**12 14. EMERGENCY SERVICES.**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B&P Business & Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- I J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Business & Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

~~13~~ 15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
- B. Does the fair plan to provide its own concessions? Yes  No

~~14~~ 16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe Attach a copy of any the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:  
Promotional/Marketing budget for prior race meeting:
- B C. Number of hosts and hostesses employed for meeting:
- C D. Describe facilities set aside for new fans:
- D E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen
  2. Fans
  3. Facilities in the restricted areas

~~15~~ 17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:  
Admission (general)  
Admission (clubhouse)  
Reserved seating (general)  
Reserved seating (clubhouse)  
Parking (general)  
Parking (preferred)  
Parking (valet)  
Programs (on-track)  
(off-track)
- B. Describe any "Season Boxes" or other special accommodation fees:
- C. Describe any "package" plans such as combined parking, admission and program:

**16 18. JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many   | <input type="text"/>                              |
| <input type="checkbox"/> Showers                        | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area              |
| <input type="checkbox"/> Masseur                        | <input type="checkbox"/> Food/beverage service               | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys:

**17 19. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date) \_\_\_\_\_.
- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restrooms to the number of backstretch personnel:

**18 20. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:  
The percent of cross slope in the center of the turns is:
- B.D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- C.E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- D.F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- E.G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

~~19~~ 21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

~~20~~ 22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Title

\_\_\_\_\_  
Date

STAFF ANALYSIS

June 23, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 20, 2011 THROUGH SEPTEMBER 7, 2011.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 20 through September 7, 2011, or 37 days, the same as 2010. The association proposes to race a total of 324 races, or 8.76 races per day. In 2010 they raced 8.78 races per day with an average of 8.12 runners per race. In 2010 they were allocated to race 37 days the (actual) average daily purse for the meet was \$568,572. The (estimated) average daily purse for this meet is \$527,767.
- Business and Professions Code section 19601.01 provides, that a thoroughbred association, upon filing a written notice with, and approval of, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The notice shall include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. The amount deducted shall be distributed as prescribed in Horse Racing Law. Any such distribution, except amounts for support of the Board and the equine drug testing program, may be modified upon filing with, and approval by, the Board of a written notice that is authorized and signed by the organization representing each organization affected by the modification or redirection.

Del Mar Thoroughbred Club in consultation with the Thoroughbred Owners of California (TOC) has submitted a written notice requesting a 14% percent takeout on the Players Pick 5. The Players Pick 5 Wager is a minimum \$0.50 wager, on the first five races on each day's card. The wager is currently being accepted at Hollywood Park. TOC has submitted its written agreement agreeing to the request.

- The race dates proposed are the dates allocated by the Board.

July - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2				
	8	9				
	15	16				
	22	23				
	29	30	31			

September - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						3
	5	6		8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing 5 days per week, Wednesday through Sunday the first six weeks; 6 days per week, Wednesday through Monday the seventh week; with 8 races per day Wednesday, Thursdays and Friday, 9 races per day Sunday, 10 races per day Saturdays, Opening Day, Pacific Classic Day, August 28, 2011, Labor Day and Closing Day.

- Racing concurrently with the dates allocated to Santa Rosa, Ferndale and Pacific Racing Association.
- First post will be 2:00 p.m. daily.
  - 2:00 p.m. Wednesdays, Thursdays, Saturdays, and Sundays.
  - 4:00 p.m. Friday,
  - 1:00 p.m. Sunday, August 28
- Request Dianne Piper be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 9:00 a.m.
- Del Mar Thoroughbred Club (DMTC), in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, XpressBet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.

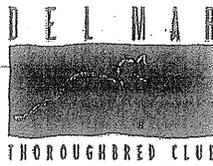
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information still needed to complete this application includes:

1. Fire clearance

RECOMMENDATION:

Staff recommends the Board approve the application contingent upon submission of the fire clearance.



June 14, 2011

Ms. Jackie Wagner and Mr. Kirk Breed  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

Ms. Wagner and Mr. Breed,

Pursuant to California Business and Professions Code section 19601.01, Del Mar Thoroughbred Club (DMTC), after consultation with Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2011 season, July 20 - September 7:

- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%. This wager, under rule 1976.9, is currently being accepted at Hollywood Park.

For reference, the Players Pick-5 is noted on DMTC's license application in Section 7A.

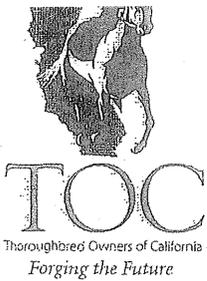
Feel free to contact me at your convenience with any questions or comments.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein". The signature is fluid and cursive.

Josh Rubinstein  
Senior Vice President, Development

c.c. Joe Harper  
Craig Fravel  
Mike Ernst  
Jack Owens, Thoroughbred Owners of California



June 14, 2011

**OFFICERS**

JACK B. OWENS  
CHAIRMAN  
BRIAN BOUDREAU  
VICE CHAIR, SOUTHERN CALIF.  
KEITH PRONSKE  
VICE CHAIR, NORTHERN CALIF.  
PETE PARRELLA  
TREASURER  
PABLO SUAREZ  
SECRETARY  
MIKE PEGRAM  
AT LARGE

VIA ELECTRONIC MAIL

Josh Rubinstein  
Senior Vice President, Development  
Del Mar Thoroughbred Club

**Re: Pick-5 Wager with 14% Takeout**

**DIRECTORS**

MADELINE AUERBACH  
MARTIN BACH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
CAL FISCHER  
BILLY KOCH  
ED MOGER  
DONALD VALPREDO

Dear Josh,

In reference to your request for a Pick-5 wager with a 14% takeout rate, pending the final written agreement, the TOC approves your request subject to similar terms/conditions of the Hollywood Park Spring Pick-5 wager, including:

- SB 1072 shall be protected;
- The host fee rate and purse fee rate (i.e., SB 1072) on the Pick 5 Wager shall not be less than those rates listed on the approved Simulcast/ADW rate sheet;
- Monarch does not believe the introduction of the Pick 5 Wager will adversely impact revenues received from existing simulcast contracts.

**EXECUTIVE STAFF**

GUY LAMOTHE  
CHIEF OPERATING OFFICER  
KELLE BREEN  
CHIEF FINANCIAL OFFICER  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
OPERATIONS  
ALISON LADUKE  
PROJECT COORDINATOR

Please forward the final agreement for execution at your earliest convenience.

Best regards,

Guy Lamothe  
Executive Vice President

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03)  
RON CHARLES (2003-04)  
JACK B. OWENS (2004-05)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

Cc: *Jack Owens*  
*Aaron Vercautse*

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
(626) 574-6620 Phone  
(800) 994-9909 Toll Free  
(626) 821-1515 Fax  
www.toconline.com

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone and fax numbers of association:

Del Mar Thoroughbred Club  
P.O. Box 700, Del Mar, CA 92014  
Phone: 858-755-1141  
Fax: 858-794-1007

B. Breed of horse:  TB  QH  H

C. Racetrack name: Del Mar Thoroughbred Club (DMTC)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. On File

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF MEETING

A. Inclusive dates for the entire meeting: July 20 through September 07, 2011

B. Actual dates racing will be held: July 20 through September 07, 2011, everyday except Mondays, other than Labor Day, and Tuesdays. Dark 7/25-26, 8/1-2, 8/8-9, 8/15-16, 8/22-23, 8/29-8/30, and 9/6.

C. Total number of days or nights of racing: 37 days

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify)

E. Number of days or nights of racing per week: 5 (except week 7 with 6 days)

3. RACING PROGRAM

A. Total number of races: 324

CHRB CERTIFICATION

Application received: 4/21/11  
Deposit received: on file  
Reviewed: [signature]

Hearing date: 6/23/11  
Approved date:  
License number:

- B. Number of races for each day or night:  
 8 – Wednesdays, Thursdays, Fridays  
 9 – Sundays  
 10 – Opening Day, Saturdays, Pacific Classic Day (Sunday, August 28, 2011), Labor Day, Closing Day
- C. Total number of stakes races: 42 (31 stakes and 11 overnight stakes)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.  
 Exhibit 1
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No      If no, what racing colors are to be used:
- F. List all post times for the daily racing program: Exhibit 2

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.  
 Full Disclosure Statement already on file with CHRB

**C. CORPORATION**

- 1. Registered name of the corporation: **Del Mar Thoroughbred Club**
- 2. State where incorporated: **California**
- 3. Registry or file number for the corporation: **559096**
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

<b>Robert S. Strauss</b>	<b>Chairman of the Board</b>	<b>1 Share</b>
<b>Joseph W. Harper</b>	<b>Director, Chief Executive Officer</b>	<b>1 "</b>
<b>David H. Batchelder</b>	<b>Director</b>	<b>1 "</b>
<b>Rollin W. Baugh</b>	<b>Director</b>	<b>1 "</b>
<b>James R. Buell</b>	<b>Director</b>	<b>1 "</b>
<b>Jon S. Kelly</b>	<b>Director</b>	<b>1 "</b>
<b>Terrence Lanni</b>	<b>Director</b>	<b>1 "</b>
<b>Warner Lusardi</b>	<b>Director</b>	<b>1 "</b>
<b>Marie G. Moretti</b>	<b>Director</b>	<b>1 "</b>
<b>Martin J. Wygod</b>	<b>Director</b>	<b>1 "</b>
<b>Craig R. Fravel</b>	<b>President and General Manager</b>	<b>0</b>

Exhibit 1 - 2011 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2011 Value
Thu. Jul 14, 2011	Wed. Jul 20, 2011	1	OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 in 2011	One Mile (Turf) \$100,000 Added
Thu. Jul 14, 2011	Fri. Jul 22, 2011	2	CTBA STAKES	Fillies, two years old, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 14, 2011	Sat. Jul 23, 2011	3	EDDIE READ STAKES (Gr. I)	Three-year-olds and upward	1 1/8 Miles (Turf) \$300,000 Guaranteed
Thu. Jul 14, 2011	Sun. Jul 24, 2011	4	CALIFORNIA DREAMIN' HANDICAP	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf) \$100,000 Guaranteed
Thu. Jul 14, 2011	Sun. Jul 24, 2011	5	SAN CLEMENTE HANDICAP (Gr. II)	Fillies, three years old	One Mile (Turf) \$150,000 Guaranteed
Thu. Jul 21, 2011	Fri. Jul 29, 2011	6	COUGAR II HANDICAP (Gr. III)	Three-year-olds and upward	1 1/2 Miles \$125,000 Guaranteed
Thu. Jul 21, 2011	Sat. Jul 30, 2011	7	FLEET TREAT STAKES	Fillies, three years old, bred in CA	Seven Furlongs \$100,000 Guaranteed
Thu. Jul 21, 2011	Sat. Jul 30, 2011	8	SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	1 1/16 Miles \$200,000 Guaranteed
Thu. Jul 21, 2011	Sun. Jul 31, 2011	9	BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs \$250,000 Guaranteed
Thu. Jul 28, 2011	Wed. Aug 03, 2011	10	GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 28, 2011	Fri. Aug 05, 2011	11	SORRENTO STAKES (Gr. III)	Fillies, two years old	6 1/2 Furlongs \$150,000 Guaranteed
Thu. Jul 28, 2011	Sat. Aug 06, 2011	12	CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles \$300,000 Guaranteed
Thu. Jul 28, 2011	Sun. Aug 07, 2011	13	BEST PAL STAKES (Gr. II)	Two-year-olds	6 1/2 Furlongs \$150,000 Guaranteed
Thu. Aug 04, 2011	Wed. Aug 10, 2011	14	REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs \$100,000 Guaranteed
Thu. Aug 04, 2011	Sat. Aug 13, 2011	15	LA JOLLA HANDICAP (Gr. II)	Three-year-olds	1 1/16 Miles (Turf) \$150,000 Guaranteed
Thu. Aug 04, 2011	Sun. Aug 14, 2011	16	JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three years old and upward	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 11, 2011	Sat. Aug 20, 2011	17	DEL MAR OAKS (Gr. I)	Fillies, three years old	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 11, 2011	Sun. Aug 21, 2011	18	RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	6 1/2 Furlongs \$150,000 Guaranteed
Thu. Aug 11, 2011	Sun. Aug 21, 2011	19	SOLANA BEACH HANDICAP	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf) \$100,000 Guaranteed
Thu. Aug 18, 2011	Sat. Aug 27, 2011	20	DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile (Turf) \$200,000 Guaranteed
Thu. Aug 18, 2011	Sun. Aug 28, 2011	21	PAT O'BRIEN STAKES (Gr. I)	Three-year-olds and upward	Seven Furlongs \$250,000 Guaranteed
Thu. Aug 18, 2011	Sun. Aug 28, 2011	22	DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	1 3/8 Miles (Turf) \$200,000 Guaranteed
Thu. Aug 18, 2011	Sun. Aug 28, 2011	23	\$1 Million TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles \$1,000,000 Guaranteed
Thu. Aug 25, 2011	Wed. Aug 31, 2011	24	GENEROUS PORTION STAKES	Fillies, two years old, bred in CA	Six Furlongs \$100,000 Guaranteed
Thu. Aug 25, 2011	Fri. Sep 02, 2011	25	EL CAJON STAKES	Three-year-olds	One Mile \$100,000 Guaranteed
Fri. Jun 03, 2011	Sat. Sep 03, 2011	26	DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs \$250,000 Guaranteed
Thu. Aug 25, 2011	Sun. Sep 04, 2011	27	TORREY PINES STAKES	Fillies, three years old	One Mile \$100,000 Guaranteed
Thu. Aug 25, 2011	Sun. Sep 04, 2011	28	DEL MAR DERBY (Gr. II)	Three-year-olds	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 25, 2011	Mon. Sep 05, 2011	29	I'M SMOKIN STAKES	Two-year-olds, bred in CA	Six Furlongs \$100,000 Guaranteed
Thu. Aug 25, 2011	Mon. Sep 05, 2011	30	PALOMAR HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/16 Miles (Turf) \$150,000 Guaranteed
Fri. Jun 03, 2011	Wed. Sep 07, 2011	31	DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs \$250,000 Guaranteed
				Gross Purses	\$6,075,000
				Less Cal Bred Stakes Fund	-100,000
				Less Pacific Classic Sponsorship	-125,000
				Less Overnights	-935,000
				Less Non/Entry/Start Fees (Estimated)	-875,000
					<u>\$4,040,000</u>

**Exhibit 1 Continued - 2011 Del Mar Stakes Schedule**

Running Date	#	Event	2011 Value
Sat. Jul 23, 2011	Overnight Stakes	Osunitas Stakes	\$85,000 o/s Added
Wed. Jul 27, 2011	Overnight Stakes	Wickerr Stakes	\$85,000 o/s Added
Fri. Aug 12, 2011	Overnight Stakes	Daisycutter Handicap	\$85,000 o/s Added
Wed. Aug 17, 2011	Overnight Stakes	Green Flash Handicap	\$85,000 o/s Added
Fri. Aug 19, 2011	Overnight Stakes	Sandy Blue Handicap	\$85,000 o/s Added
Wed. Aug 24, 2011	Overnight Stakes	Harry F. Brubaker Stakes	\$85,000 o/s Added
Fri. Aug 26, 2011	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$85,000 o/s Added
Sat. Sep 03, 2011	Overnight Stakes	Windy Sands Handicap	\$85,000 o/s Added
Sun. Sep 04, 2011	Overnight Stakes	Adoration Stakes	\$85,000 o/s Added
Wed. Sep 07, 2011	Overnight Stakes	C.E.R.F. Stakes	\$85,000 o/s Added
Wed. Sep 07, 2011	Overnight Stakes	Pirate's Bounty Stakes	\$85,000 o/s Added

\$935,000

## EXHIBIT 2

## POST TIMES FOR PROPOSED DAILY RACING PROGRAM

Race	Regular (Wed, Thurs, Sat & Sun)	Fridays	Sunday, 8/28
1 <sup>st</sup>	2:00 p.m.	4:00 p.m.	1:00 p.m.
2 <sup>nd</sup>	2:30 p.m.	4:30 p.m.	1:30 p.m.
3 <sup>rd</sup>	3:00 p.m.	5:00 p.m.	2:00 p.m.
4 <sup>th</sup>	3:30 p.m.	5:30 p.m.	2:30 p.m.
5 <sup>th</sup>	4:00 p.m.	6:00 p.m.	3:00 p.m.
6 <sup>th</sup>	4:30 p.m.	6:30 p.m.	3:40 p.m.
7 <sup>th</sup>	5:00 p.m.	7:00 p.m.	4:10 p.m.
8 <sup>th</sup>	5:30 p.m.	7:30 p.m.	4:40 p.m.
9 <sup>th</sup> *	6:00 p.m.		5:10 p.m.
10 <sup>th</sup> *	6:30p.m.		5:45p.m.

\* On certain days to be determined.

Michael R. Ernst	Executive VP, Finance and CFO	0
Thomas S. Robbins	Executive VP, Racing & Industry Relations	0
Craig A. Dado	Senior VP, Marketing	0
Josh Rubinstein	Senior VP, Development	0
Lynn Wright	VP and Controller	0
Robert Sanchez	VP, Plant	0
Tim Read	VP, Operations and Collective Bargaining	0
Ann Hall	VP, Human Resources and Ticketing	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:  
None

6. Number of outstanding shares in the corporation: 10

7. Are the shares listed for public trading?  Yes  No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Craig R. Fravel, Secretary

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: None

10. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee. On file.

D. LLC

1. Registered name of the LLC:

2. State where articles of organization are filed:

3. Registry or file number for the LLC:

4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

6. Are the shares listed for public trading?  Yes  No

If yes, on what exchange and how is the stock listed:

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

E. OTHER

1. Name(s) of partners/sole proprietor:

2. If a partnership, attach partnership agreement.

F. Management and Staff

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:

Craig R. Fravel	President and General Manager
Michael R. Ernst	Executive Vice President, Finance and CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Senior Vice President, Marketing
Josh Rubinstein	Senior Vice President, Development
Timothy A. Read	Vice President, Operations & Collective Bargaining
Ann Hall	Vice President, Human Resources & Ticketing
Mac McBride	Director of Media
Lynn Wright	Vice President and Controller
Jacquelyn King	Director of Group Sales
Paul Porter	Simulcast Director
Mary Shepardson	Director of Publications
Chris Bahr	Promotions Manager
William Navarro	Director of Parimutuels
Nancy Bonforte	Director, Private Turf Club
Robert Sanchez	Vice President, Plant
Bill Sullivan	Director of Security
Trevor Denman	Public Address Announcer
Rich Tedesco	Track Superintendent
Leif Dickinson	Turf Course Superintendent
Liz Robertson	Director of Information Systems / Retail Operations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:  
Joseph W. Harper – Chief Executive Officer  
Craig R. Fravel – President and General Manager

5. PURSE PROGRAM

A. Purse distribution:

1. All races other than stakes:
- |                        |               |
|------------------------|---------------|
| Current meet estimate: | \$ 13,163,461 |
| Prior meet actual:     | \$ 11,723,678 |

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate:	\$ 355,769
Prior meet actual:	\$ 316,856

2. Overnight stakes:
- |                        |            |
|------------------------|------------|
| Current meet estimate: | \$ 935,000 |
| Prior meet actual:     | \$ 825,000 |

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate: \$ 25,270  
 Prior meet actual: \$ 22,297

3. Non-overnight stakes:  
 Current meet estimate: \$ 4,975,000  
 Prior meet actual: \$ 4,856,650

Average Daily Purse (5A3 ÷ number of days):  
 Current meet estimate: \$ 134,459  
 Prior meet actual: \$ 131,261

B. Stakes races:

1. Purse distribution for all stakes races:  
 Current meet estimate: \$ 5,910,000  
 Prior meet actual: \$ 5,681,650

Average Daily Purse (5B1 ÷ number of days):  
 Current meet estimate: \$ 159,730  
 Prior meet actual: \$ 153,558

2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
 Current meet estimate: 13.54%  
 Prior meet actual: 14.08%

Average Daily Purse (5B2 ÷ number of days):  
 Current meet estimate: 0.36%  
 Prior meet actual: 0.38%

C. Funds to be generated for all California-bred incentive awards:  
 Current meet estimate: \$ 1,432,664  
 Prior meet actual: \$ 1,616,243

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
TOC Administration	194,687	181,856
CTT Administration	194,687	181,856
CTT Backstretch Pension	97,344	90,928
NTRA Dues	<u>44,339</u>	<u>51,169</u>
Total	531,057	505,810

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A+5C+5D):  
 Current meet estimate: \$ 21,037,182

Prior meet actual: \$ 19,527,381

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$ 568,572

Prior meet actual: \$ 527,767

F. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$ 15,300,664

Prior meet actual: \$ 12,959,700

Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$ 413,531

Prior meet actual: \$ 350,262

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 4,303,854

Prior meet actual: \$ 4,951,438

Average Daily Purse (5G ÷ number of days):

Current meet estimate: \$ 116,320

Prior meet actual: \$ 133,823

H. Bank and account number for the Paymaster of Purses' purse account:

Bank of America, 450 B Street Suite 1500, San Diego CA 92101, Account # On file

I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held: 2,100

B. Minimum number of stalls believed necessary for the meeting: 2,100

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,000
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita – 1,500                      San Luis Rey Downs – 500\*  
\*Vanning reimbursement only
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
SCOTWINC agreement is on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting: 2,100
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
\$833,000  
\$11.33 per day per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
Estimated vanning cost: \$186,790

Vanning per horse (one way):

Santa Anita	\$160
Hollywood Park	\$160
Pomona	\$160
San Luis Rey Downs	\$90
Los Alamitos	\$160

7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD <sup>2</sup> , \$1 PPN, \$2PARLAY, \$1TRI, .10¢SF, .50¢PNP (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1957, 1976.8, 1954.1, 1979, 1979.1, 1976.9

Race #2	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PNP (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2P(n)P (Pick 6), \$2DD <sup>2</sup> , .50¢PNP (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PNP (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , .50¢PNP (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, \$1PK3, .10¢SF, \$2PARLAY, \$1TRI, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1977, 1979.1, 1954.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, \$2PARLAY, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1954.1, 1979, 1979.1, 1957, 1978
Race #8	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , \$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI Pick(n) Position (x) Pool(s)
Race #9*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #10*	\$2Q, \$1E, \$1TRI, .10¢SF, .50¢PK4 <sup>1</sup> , \$2DD <sup>2</sup>	CHRB#1958, 1959, 1979, 1979.1, 1978, 1957

<sup>1</sup> .50¢SPK4 offered in the last four races daily.

<sup>2</sup> \$2DD offered every race daily, except the last race.

<sup>3</sup> .50¢PNP (Pick 5) subject to evaluation and approval by TOC. This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

\* Days with 9 or 10 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: None

C. List any options requested with regard to exotic wagering: None

D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin: 9:00 a.m.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

Sportech Racing, LLC Quantum Data Center located in Sacramento, California

Sportech Racing representative: Terry McWilliams

Contract expiration date: September 2012

Terminals:

BetJet RT2s (teller operated/self service conversion) BetJet SLs (dedicated self service)

25 SLs equipped with \*BetFund PIN pads

IBM PC account wagering terminals

HandStan personal account wagering terminals WalkaBout teller operated portable wagering terminals

\*Bet-Fund is a service available through Sportech Racing that allows patrons to fund their wagering account or to fund a cash wagering session using their debit card. The service is available on a restricted basis through specific devices and is available only using debit cards (card-present / PIN-based transactions only). Credit cards are automatically rejected. The Bet Fund service is comprised of the following components:

- A BetJet self-service terminal
- A banking network-certified PIN pad device with encryption technology that has been 'injected' into the PIN pad hardware by a third party vendor under the supervision of Chase Paymentech. The PIN pad device is installed on the BetJet self-service terminal
- Bet-Fund terminal software, which provides the user interface on the BetJet terminal
- An integration of Quantum System software with the banking network through Chase Paymentech, the payment processor

#### 8. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

#### 9. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off Track Wagering, Inc. "SCOTWINC"

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
SCOTWINC Agreement is on file with CHRB

C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
Exhibit 3

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Exhibit 4

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
Exhibit 5

F. For THOROUGHBRED racing associations, list the host track from which the association proposes

### Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Barona Resort & Casino, Lakeside  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park, Inglewood  
Humboldt County Fair, Ferndale  
Kern County Fair, Bakersfield  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Santa Anita Park, Arcadia  
Shalimar Sports Center, Indio  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion The Farmers Fair, Lake Perris  
Stanislaus County Fair, Turlock  
Sycuan Resort & Casino, El Cajon  
The Horsemen's Club, Santa Maria  
The Jockey Club, San Mateo  
Tulare County Fair, Tulare  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

### Mini-Satellites

Commerce Casino, Commerce  
OC Tavern, San Clemente

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arima Race Course, Trinidad  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantic City Race Course, New Jersey  
 Atlantic Resort and Casino, Bahamas  
 Atokad Park, South Dakota  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Belmont Gaming, New Hampshire  
 Bet Pad, LLC. Ohio  
 Beulah Park, Ohio  
 Birmingham Race Course, Alabama  
 Blue Ribbon Downs, Oklahoma  
 Bluff Runs Greyhound, Idaho  
 Bordertown OTB, Oklahoma  
 Buffalo Trotting Association, New York  
 Calder Race Course, Florida  
 Caliente, Mexico  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Caymanas Race Track, Jamaica  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Coeur d' Alene Casino, Idaho  
 Colonial Downs, Virginia  
 Columbus Races, Nebraska  
 Connaught Park, Canada  
 Connecticut OTB, Connecticut  
 Corpus Cristi Greyhound, Texas  
 Cypress Bayou, Louisiana  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 Equus St.Thomas, St. Thomas  
 Euro Offtrack, Isle of Man  
 Evangeline Downs, Louisiana  
 Evansville OTB, Indiana  
 Fair Chance Racing Services, Trinidad  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino, Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Greentrack, Alabama  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Hanover Raceway, Canada  
 Harrington Raceway & Simulcast, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipodromo Camarero, Puerto Rico  
 Hipodromo Presidente Remon, Panama  
 Ho-Chuck Casino, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Indiana Downs, Indiana  
 John Martins Manor, Maine  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 Las Vegas Dissemination Company, Nevada  
 Lebanon Trotting Club, Ohio  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
 Manor Downs, Texas

Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino, Oklahoma  
 Millenium Racing, Trinidad  
 Millers OTB, Maine  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Mt. Pleasant Meadows, Michigan  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Northwest Racing Associates, Washington  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
 Paragon Casino, Louisiana  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Phumelela Gold, South Africa  
 Pojoaque Casino, Oklahoma  
 Potawatomi Casino, Wisconsin  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Pinnacle Race Course, Michigan  
 Plainfield Greyhound Park, Connecticut  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Queensbury Downs, Saskatchewan  
 Raceway Park, Ohio  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Racing World UK, England  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 River Downs, Ohio  
 Roberts Television International, NV  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Royal Beach Casino, St Kitts  
 Royal River Racing, South Dakota  
 Royal Britannia Hub, Canada  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, West Virginia  
 Saginaw Harness Raceway, MI  
 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
 Saratoga Raceway, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Simulcast Services, Texas  
 Skydancer Casino, Oklahoma  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Stampede Park, Alberta  
 Sudbury Downs, Canada  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Meadows, Pennsylvania  
 The Racing Channel, Pennsylvania  
 The Stables, Oklahoma  
 Thistledown Racing Club, Ohio  
 Thunder Ridge, Kentucky  
 Tioga Downs, New York  
 Tote Investment Services, Trinidad  
 Tri-State Greyhound, West Virginia

Trois Rivieres, Canada  
Truro Raceway, Canada  
Turf de Venezuela, Venezuela  
TVG, Los Angeles  
TVG Yonkers, New York  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin Spires, Kentucky  
Valley Greyhound Park, Texas  
Vernon Downs, New York  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Windsor Raceway, Ontario  
Woodbine Entertainment Corp, Ontario  
Wonderland Park, Massachusetts  
Wyoming OTB, Wyoming  
XpressBet, Pennsylvania  
Yavapai Downs, Arizona  
Yonkers Raceway, New York  
YouBet Illinois, Illinois  
Zia Park, New Mexico

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arima Race Course, Trinidad  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantic City Race Course, New Jersey  
 Atlantic Resort and Casino, Bahamas  
 Atokad Park, South Dakota  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Belmont Gaming, New Hampshire  
 Bet Pad, LLC. Ohio  
 Beulah Park, Ohio  
 Birmingham Race Course, Alabama  
 Blue Ribbon Downs, Oklahoma  
 Bluff Runs Greyhound, Idaho  
 Bordertown OTB, Oklahoma  
 Buffalo Trotting Association, New York  
 Calder Race Course, Florida  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Coeur d' Alene Casino, Idaho  
 Colonial Downs, Virginia  
 Columbus Races, Nebraska  
 Connaught Park, Canada  
 Connecticut OTB, Connecticut  
 Corpus Cristi Greyhound, Texas  
 Cypress Bayou, Louisiana  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 Equus St. Thomas, St. Thomas  
 Euro Offtrack, Isle of Man  
 Evangeline Downs, Louisiana  
 Evansville OTB, Indiana  
 Fair Chance Racing Services, Trinidad  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino (LVDC), Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Greentrack, Alabama  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Hanover Raceway, Canada  
 Harrington Raceway & Simulcast, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipodromo Camarero, Puerto Rico  
 Ho-Chuck Casino, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Indiana Downs, Indiana  
 John Martins Manor, Maine  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 Las Vegas Dissemination Company, Nevada  
 Lebanon Trotting Club, Ohio  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
 Manor Downs, Texas  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois

## Exhibit 5

Meskwaki Casino (LVDC), Oklahoma  
 Millenium Racing, Trinidad  
 Millers OTB, Maine  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Mt. Pleasant Meadows, Michigan  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Northwest Racing Associates, Washington  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
 Paragon Casino, Louisiana  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Phumelela Gold, South Africa  
 Pojoaque Casino, Oklahoma  
 Potawatomi Casino, Wisconsin  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Pinnacle Race Course, Michigan  
 Plainfield Greyhound Park, Connecticut  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Queensbury Downs, Saskatchewan  
 Raceway Park, Ohio  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Racing World UK, England  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 River Downs, Ohio  
 Roberts Television International, NV  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Royal Beach Casino, St Kitts  
 Royal River Racing, South Dakota  
 Royal Britannia Hub, Canada  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, West Virginia  
 Saginaw Harness Raceway, MI  
 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
 Saratoga Raceway, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Simulcast Services, Texas  
 Skydancer Casino, Oklahoma  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Stampede Park, Alberta  
 Sudbury Downs, Canada  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Meadows, Pennsylvania  
 The Racing Channel, Pennsylvania  
 The Stables, Oklahoma  
 Thistledown Racing Club, Ohio  
 Thunder Ridge, Kentucky  
 Tioga Downs, New York  
 Tote Investment Services, Trinidad  
 Tri-State Greyhound, West Virginia  
 Trois Rivieres, Canada  
 Truro Raceway, Canada  
 Turf de Venezuela, Venezuela

TVG, Los Angeles  
TVG Yonkers, New York  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin Spires, Kentucky  
Valley Greyhound Park, Texas  
Vernon Downs, New York  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Windsor Raceway, Ontario  
Woodbine Entertainment Corp, Ontario  
Wonderland Park, Massachusetts  
Wyoming OTB, Wyoming  
XpressBet, Pennsylvania  
Yavapai Downs, Arizona  
Yonkers Raceway, New York  
YouBet Illinois, Illinois  
Zia Park, New Mexico

## EXHIBIT 6

Date	Host Track	Selected Feature and/or Stakes	GR	Purse	Distance
7/22/11	Saratoga	Schuylerville Stakes	III	\$100,000	6 Furlongs
7/23/11	Saratoga	The Coaching Club Oaks	I	\$250,000	1 1/8 Miles
7/23/11	Arlington	Stars and Stripes	III	\$100,000	1 1/2 Miles (Turf)
7/24/11	Saratoga	Sanford Stakes	II	\$150,000	6 Furlongs
7/27/11	Saratoga	Lake George	II	\$150,000	1 1/16 Miles (turf)
7/30/11	Saratoga	The Diana	I	\$500,000	1 1/8 Miles (turf)
7/30/11	Saratoga	The Jim Dandy	II	\$500,000	1 1/8 Miles
7/31/11	Saratoga	The Ruffian	I	\$250,000	1 1/8 Miles
7/31/11	Saratoga	Fourstardave Handicap	II	\$150,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	Teddy Drone Stakes		\$100,000	6 Furlongs
7/31/11	Monmouth Park	Majestic Light Stakes		\$100,000	1 1/16 Miles
7/31/11	Monmouth Park	Jersey Derby Stakes		\$100,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	Lady's Secret Stakes		\$100,000	1 1/16 Miles
7/31/11	Monmouth Park	Regret Stakes		\$100,000	6 Furlongs
7/31/11	Monmouth Park	The Oceanport Stakes	III	\$200,000	1 1/16 Miles (turf)
7/31/11	Monmouth Park	The Haskell Invitational Handicap	I	\$1,000,000	1 1/8 Miles
8/6/11	Saratoga	Whitney Handicap	I	\$750,000	1 1/8 Miles
8/6/11	Saratoga	The Test	I	\$250,000	7 Furlongs
8/7/11	Saratoga	The Alfred G. Vanderbilt Handicap	I	\$250,000	6 Furlongs
8/7/11	Saratoga	Honorable Miss Handicap	II	\$150,000	6 Furlongs
8/10/11	Saratoga	NY Stallion Series Cab Calloway		\$100,000	1 Mile (turf)
8/11/11	Saratoga	NY Stallion Series Statue of Liberty		\$100,000	1 Mile(turf)
8/12/11	Saratoga	National Museum Hall of Fame Hcp	II	\$150,000	1 1/16 Miles (turf)
8/13/11	Monmouth Park	Monmouth BC Oaks	III	\$150,000	1 1/16 Miles
8/13/11	Saratoga	Sword Dancer Invitational Hcp	I	\$500,000	1 1/2 Miles (turf)
8/13/11	Arlington	Secretariat Stakes	I	\$400,000	1 1/4 Miles (turf)
8/13/11	Arlington	Beverly D. Stakes (BC)	I	\$750,000	1 3/16 Miles (turf)
8/13/11	Arlington	Arlington Million (BC)	I	\$1,000,000	1 1/4 Miles (turf)
8/14/11	Saratoga	The Adirondack	II	\$150,000	6 1/2 Furlongs

## EXHIBIT 6

8/18/11	Saratoga	The West Point		\$100,000	1 1/16 Miles (turf)
8/19/11	Saratoga	The Yaddo		\$100,000	1 1/16 Miles (turf)
8/20/11	Saratoga	TVG Alabama Stakes	I	\$500,000	1 1/4 Miles
8/20/11	Monmouth Park	The Phillip H. Iselin Handicap	III	\$250,000	1 1/8 Miles
8/21/11	Saratoga	Woodford Reserve Lake Placid Hcp	II	\$150,000	1 1/8 Miles (turf)
8/21/11	Emerald Downs	Longacres Mile	III	\$200,000	1 Mile
8/24/11	Saratoga	The Albany		\$100,000	1 1/8 Miles
8/26/11	Saratoga	Bernard Baruch	II	\$200,000	1 1/8 Miles (turf)
8/27/11	Saratoga	Travers Stakes	I	\$1,000,000	1 1/4 Miles
8/27/11	Saratoga	King's Bishop	I	\$250,000	7 Furlongs
8/27/11	Saratoga	The Victory Ride	III	\$100,000	6 Furlongs
8/27/11	Saratoga	The Ballston Spa	II	\$200,000	1 1/16 Miles (turf)
8/27/11	Saratoga	Ballerina Handicap	I	\$250,000	7 Furlongs
8/28/11	Saratoga	Personal Ensign Handicap	I	\$300,000	1 1/4 Miles
8/28/11	Monmouth Park	The Molly Pitcher Stakes	II	\$250,000	1 1/16 Miles
9/1/11	Saratoga	The With Anticipation	II	\$150,000	1 1/16 Miles (turf)
9/3/11	Saratoga	Forego Handicap	I	\$250,000	7 Furlongs
9/3/11	Saratoga	The Woodward	I	\$750,000	1 1/8 Miles
9/3/11	Arlington	Washington Park Handicap	III	\$100,000	1 1/8 Miles
9/4/11	Monmouth Park	The Cliff Hanger Stakes	III	\$150,000	1 Mile (turf)
9/4/11	Saratoga	The Spinaway	I	\$250,000	7 Furlongs
9/4/11	Saratoga	Saranac Handicap	III	\$100,000	1 1/8 Miles (turf)
9/5/11	Monmouth	Sorority Stakes		\$100,000	6 Furlongs
9/5/11	Saratoga	Glen Falls Handicap	III	\$100,000	1 3/8 Miles (turf)
9/5/11	Saratoga	Hopeful Stakes	I	\$250,000	7 Furlongs
9/5/11	Philadelphia Park	Turf Monster Handicap	III	\$350,000	5 Furlongs (turf)
9/5/11	Philadelphia Park	Smarty Jones Stakes		\$300,000	1 Mile 70 yards

## EXHIBIT 6

## Full &amp; Partial Cards

Arlington Park: July 20, 2011 – September 7, 2011  
Belmont Park: September 7, 2011  
Calder Race Course: July 20, 2011 – September 7, 2011  
Delaware Park: July 20, 2011 – September 7, 2011  
Ellis Park: July 20, 2011 – September 7, 2011  
Emerald Downs: August 21, 2011  
Louisiana Downs: July 20, 2011 – September 7, 2011  
Monmouth Park: July 20, 2011 – September 5, 2011  
Mountaineer Park: July 30, 2011  
Penn National: September 7, 2011  
Philadelphia Park: September 7, 2011  
Saratoga Race Course: July 22, 2011 – September 5, 2011  
Turfway Park: September 7, 2011  
Yavapai Downs: July 23, 2011 – September 5, 2011

Woodbine: July 20, 2011 – September 7, 2011  
Hastings Park: July 20, 2011 – September 7, 2011  
Northlands Park: July 20, 2011 – September 7, 2011  
LARC (South America) via Maryland Hub: July 20, 2011 – September 7, 2011  
LARC (South America) via Calder Hub: July 20, 2011 – September 7, 2011

to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Exhibit 6

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates                      Full Card or Selected Feature and/or Stakes Races

- G. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates                      Full Card or Selected Feature and/or Stakes Races

- H. For STANDARD BREED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates                      Full Card or Selected Feature and/or Stakes Races

- I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

9/4/11	Ruidoso	All American Derby	I	\$1,200,000	440 Yards
9/5/11	Ruidoso	All American Futurity	I	\$2,400,000	440 Yards

- J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or

night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

10. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
 Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014

B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder 12400 High Bluff Drive, Ste. 600 San Diego, CA 92130	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Terrence J. Lanni 1585 Orlando Road Pasadena, CA 91106
Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078	Marie G. Moretti 1140 35 <sup>th</sup> Street Sacramento, CA 95816
Robert S. Strauss Akin, Gump Strauss, Hauer & Feld 1333 New Hampshire Ave., N.W. Washington DC 20036	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)?  Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

11. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Association Veterinarian(s) Dana Stead, VMD
- Clerk of Scales Ruben Hernandez
- Assistant Clerk of Scales Charles McCaul
- Clerk of the Course Melanie Stubblefield

Film Specialist	Ruben Hernandez
Horse Identifier	Dianne Piper
Horseshoe Inspector	Victor Tovar
Paddock Judge	Ken Goldberg
Patrol Judges	Sue Brent
	Ken Goldberg
	Heather Correa
Placing Judges	Robert Moreno
	Tora Yamaguchi
	Merry Young
Starter	Gary Brinson
Timer	Russell Hudak

- B. Management officials in the racing department:
- |                                     |                   |
|-------------------------------------|-------------------|
| Vice President, Racing              | Thomas S. Robbins |
| Racing Secretary                    | Thomas S. Robbins |
| Racing Coordinator                  | Rick Hammerle     |
| Assistant Racing Secretary          | Zach Soto         |
| Paymaster of Purses                 | Bridget Crawford  |
| Others (identify by name and title) | N/A               |
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
 Barbara Weinstein, PMB 265  
 9582 Hamilton Ave, Huntington Beach, CA 92646, (714) 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
 Plusmic Corporation, William O'Brien, Digital Photo Finish Only  
 Contract expires 12/31/2011
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
 Churchill Downs Simulcast Productions, Inc.  
 Expiration: 12/31/11 Equipment list on file with the Board.  
 For all dirt and turf races, video patrol will utilize five manned cameras. Four tower cameras show the backstretch head-on (Training Track Camera Tower), far turn and stretch run back view (Quarter Chute Camera Tower) and stretch run head-on (Livestock Barn Camera Tower). Two pan cameras located adjacent to the placing judges room in the Press Box cover the entire race. Additionally, we have a pan camera on the 7th floor, which covers the entire race in 16 x 9 format for our video board presentation.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Solely owned by Association.

## 12. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Exhibit 7

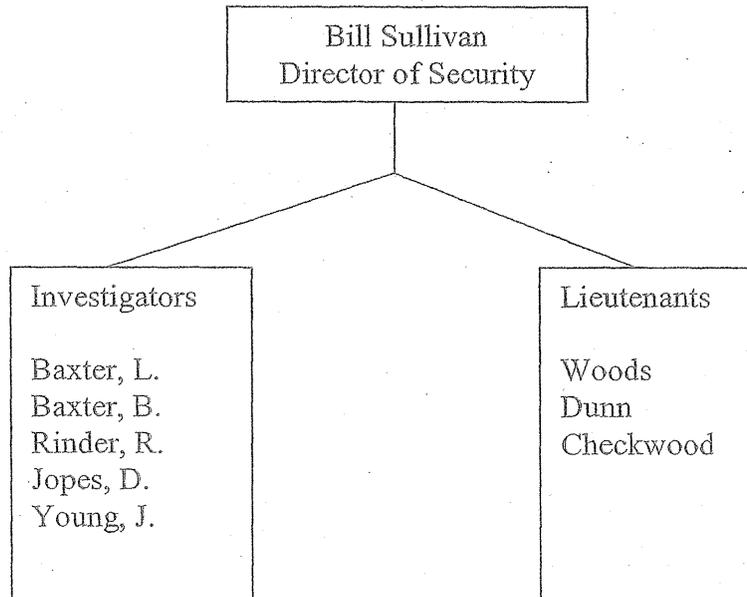
## Exhibit 7

Security Personnel

Person responsible for security controls on the premises:

Bill Sullivan, Director of Security.

Security Department:\*



Contact Information

Bill Sullivan	Work 858-755-1141 ext. 3652, Home 760-753-6003
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

\*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

2011 Gate Schedule

Gate	Shifts																								
	12am	1am	2am	3am	4am	5am	6am	7am	8am	9am	10am	11am	12pm	1pm	2pm	3pm	4pm	5pm	6pm	7pm	8pm	9pm	10pm	11pm	12pm
24 hr Main Gate	█	█	█	█	█	█	█																		
24 hr Solana Gate	█	█	█	█	█	█	█																		
19 hr Official Gate																									
7 hr Stable Gate																									

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 75

1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. The security for stakes races will be deployed in accordance with our agreement with TOC.

2. Detention Barns:

A. Attach a plan for use of graded stakes or overnight races.

Detention barn is used as required by the CHRB.

B. Number of security guards in the detention barn area during a 24-hour period.

As needed at the direction of the CHRB.

C. Describe number and location of surveillance cameras in detention barn area.

For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shedrow from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office on the Frontside.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

All

B. Plan for enhanced surveillance for trainers with high-test results.

Will follow CHRB directives.

C. Plan for detention barns for repeat offenders.

Will follow CHRB directives.

D. Number of security personnel assigned to the TCO2 program.

Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention barn and stable gate.

Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: N/A

### 13. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

San Diego Medical Enterprises, 10405 San Diego Mission Rd. Suite 200, San Diego, CA 92108  
(619) 280-6060

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: 4-54

Fairplex: Cole-Schaefer Ambulance Service, 324 N. Towne Avenue, Pomona, CA 91767, (800) 966-4727

Santa Anita: Huntington Ambulance Service – P.O. Box 145, Sunset Beach, CA 90742

San Luis Rey Downs: Fallbrook/Bonsall Fire Department/Paramedics (760) 723-2005

- C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site medical clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 nurse and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the medical clinic includes:

- o Oxygen at each station
- o Cardiac monitor
- o AED
- o Otoscope
- o Ophthalmoscope
- o Surgical equipment (used for laceration repair and suture removal)

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association see D(1):

All medical doctors can be reached by contacting the medical clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The medical clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD

John K. Agostino, MD

Athanasios J. Foster, MD

Melinda E. Nevins, DO

Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services:

N/A

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

Scripps La Jolla

988 Genesee Ave., La Jolla, CA 92037 858-626-4123

Scripps Encinitas

354 Santa Fe Drive, Encinitas, CA 92024 760-753-4123

Exhibit 8  
Del Mar Thoroughbred Club  
Jockey, On-Track Accident Response Program

**Overview:** The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

**Program Elements:**

**I. Medical Professionals On-Site During Racing - Summary**

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. Each ambulance is staffed by a Paramedic and a Bridge Program certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

**II. Jockey Information Health System**

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or fax directly to the hospital emergency room.

**II. Area Hospitals and Rapid Admittance**

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track.



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be one ALS ambulance and one team which includes one (1) Paramedic and one (1) Bridge Program certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Bridge Program certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times.

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

#### V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with the San Diego Medical Enterprises who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

#### VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

##### General Conditions

- o On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- o If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

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Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist San Diego Medical Enterprises Services with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet San Diego Medical Enterprises unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- EMT Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

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- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed –circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Security and/or Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,

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- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

**Please note:** Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

#### VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

#### IIIX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

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**Resumen:** Del Mar Thoroughbred Club (DMTC) está comprometido con el estado general de salud y la seguridad de los profesionales de la conducción que son miembros valiosos de la comunidad de las carreras y DMTC. Una gran parte de este compromiso es la prestación de servicios profesionales de asistencia médica y los primeros auxilios en caso de necesidad para los Jinetes y hacer uso de tales servicios o participar en un accidente que requiere de primeros auxilios o ayuda médica de emergencia. DMTC toma su papel en la prestación de primeros auxilios y atención médica de emergencia y el transporte, cuando sea necesario, en serio. Este programa define los elementos del plan y los procedimientos que DMTC seguirá, en caso que tales eventos se produzcan y la ayuda médica llegue a ser necesaria.

**Los elementos del programa:**

**I. Médicos Profesionales en las instalaciones durante las carreras -  
Resumen**

DMTC mantiene profesionales licenciados en asistencia médica y primeros auxilios que cumplen con los niveles establecidos por la industria de carreras de caballos. Los contratos de DMTC con Scripps Coastal Clínicas Médicas para proporcionar una licencia de médico y asistentes médicos, que están de guardia durante las carreras en vivo. DMTC mantiene dos ambulancias de Apoyo de Vida Avanzada. Cada ambulancia cuenta con un paramédico y un Programa Puente que contiene Técnicos certificados de Emergencias Médicas (EMT). Además, el departamento de bomberos municipal, con servicios de paramédicos y EMT están disponibles ya que estos servicios se ubican conjuntamente con la pista.

**II. Información del Sistema de Salud del Jinete**

DMTC participa en el Sistema de Salud del Jinete a través de Encompass. Todos los médicos y el Departamento de Gestión (administrador/es) de Riesgos tienen acceso a este programa.

Los médicos de la clínica en el hipódromo pueden tener acceso a información médica de los jinetes que participan en el programa.

Cuando un jinete, que participa en el programa, se lastima en el hipódromo el/la supervisor/a de DMTC de Gestión de Riesgos accederá e imprimir la información del Jinete. Esta información, junto con detalles de Work Comp (indemnización del trabajador) se enviarán con el Jinete al hospital y / o por fax directamente a la sala de urgencias del hospital.

**II. Zona de Hospitales y Admisión Rápida**

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a. DMTC mantiene una relación de trabajo con dos hospitales de la zona, ambos miembros del Hospital Scripps y Healthcare Family of Operations. Los hospitales se encuentran ya sea en La Jolla, al sur de la pista DMTC o Encinitas, al norte de la pista.

b. Scripps Triage y la estación base se encuentra en el hospital de La Jolla - cuando el transporte se determine necesario, se pondrá en contacto de inmediato con el personal de la Clínica Médica, paramédicos o de gestión de riesgos.

c. Los corredores (Jinetes) serán transportados a Scripps de La Jolla a menos que la estación base determine un sitio alternativo cuando sea necesario. Todas las decisiones sobre una transportación de un corredor serán determinadas por Scripps de La Jolla en el momento de un incidente reportado. DMTC no sabrá el destino de un corredor hasta el momento en que el transporte se haya iniciado y que decisiones se hayan determinado en cuanto a las instalaciones de destino para los corredores.

d. Las direcciones de los hospitales son las siguientes: (Ambos se encuentran a menos de 10 millas de DMTC)

a. Scripps de La Jolla  
9888 Genesee Avenue  
La Jolla, CA 92037  
Tel: 858.626.4123

b. Scripps Encinitas 354 Unidad Santa Fe  
Encinitas, CA 92024  
Tel: 760.753.4123

### III. Seguridad/Salud y Director de Programas de DMTC

a. El/La Gerente de Riesgos (Risk Manager) de DMTC sirve como Gerente de Seguridad y coordinador/a del Jinete para tratamiento médico y el programa de accidentes en la pista.

b. DMTC también mantiene un Asistente de Seguridad y Director/a de Salud (el/la Supervisor/a de Gestión de Riesgos) durante el período en vivo se reúnen en el caso de que el Gerente de Seguridad no este disponible.

Tanto el Gerente de Riesgos y el Supervisor del Gerente de Riesgos se pueden contactar a las siguientes extensiones, ext. Ext. 4233 o.3225, respectivamente, o a través de la radio en el canal de seguridad 1 y 2. Cuando sea necesario, el operador de DMTC o Despacho de seguridad puede ayudar a obtener números alternativos de contacto.

### IV. Sitios de Primeros Auxilios y Personal de empleo

## Exhibit 8

## Del Mar Thoroughbred Club

## Programa de Reacción de Accidentes de Jinete y en la Pista

- a. Médico - Servicios para la clínica médica en el lugar son contratados a través de Scripps Coastal Medical Center. Durante todos los períodos de las carreras en vivo habrá un médico con licencia y un asistente médico en guardia.
- b. Las ambulancias de Advanced Life Support (ALS) - Un paramédico y médicos técnicos certificados de emergencia del Programa Puente estarán en el hipódromo en todo momento durante la temporada de las carreras en vivo. Durante el periodo de las carreras en vivo en la temporada, habrá una ambulancia de ALS y un equipo que incluye un (1) paramédico y un (1) médico técnico certificado de emergencias del Programa Puente en todo momento. Durante la temporada de las carreras en vivo, habrá dos ambulancias de ALS, que incluirán dos paramédicos (2) y dos (2) médicos técnicos certificados del Programa Puente de emergencia en el hipódromo. El Equipo 1 seguirá los caballos y jinetes durante la carrera, el Equipo 2 cubrirá el paddock durante las actividades previas a la carrera y servirá como respaldo para el equipo 1. Ambos equipos se mantendrán en contacto por radio con los oficiales y seguridad en todo momento.
- c. Las capacidades del municipio de apoyo-DMTC se encuentra en un estado de parques de atracciones en California. Como tal, disfrutamos de los beneficios del servicio del Departamento de Bomberos municipales y paramédicos y médicos técnicos de emergencia que están ubicados en el mismo sitio de DMTC. En caso de que hayan respondido a una emergencia fuera del sitio, la comisión del Norte enviará la unidad más cercana de apoyo disponible. Los paramédicos del hipódromo tienen la capacidad de llamar directamente a la comisión del Norte cuando sea necesario.

**V. Ambulancias y Reglamentos médicos de Transporte**

- a. Servicios contratados de ambulancia - DMTC contrata con la Empresa San Diego Medical que proporciona ALS (Servicios de ambulancia) en la pista de en caso de emergencia médica y puede ofrecer servicios de transporte. Dependiendo del tiempo y la causa del incidente, y la cantidad de los jinetes involucrados, la transportación podrá ser referida a los servicios municipales locales.
- b. Servicios municipales - El Departamento de Bomberos de Del Mar u otras personas dentro del área de los Bomberos del Servicio Rancho puede responder cuando sea necesario o se les llame. Los Bomberos de Servicio Rancho responderán con paramédicos y EMT en los camiones de bomberos, así como paramédicos asignados a las ambulancias municipales.

**VI. El Plan Médico de Emergencia** Estos pasos deben seguirse en caso de un accidente en la pista:

**Condiciones Generales**

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- Los paramédicos y EMT en el hipódromo responderán a cualquier jinete observado en algún accidente o de otra manera llamados para obtener ayuda. Esta reacción se producirá durante el entrenamiento y / o períodos de carreras en vivo,
- Si requiere de un incidente de transporte, el hospital será contactado y notificado del transporte del paciente. (La ubicación de Scripps el Hospital de La Jolla sirve como la estación de Emergencias y la base para todos los incidentes que requieren atención urgentemente; serán dirigidos al equipo de transporte médico en cuanto a qué hospital responder.)

### Comunicaciones

- Paramédico y EMT (Equipo 1 y 2) se le asignará dos radios por equipo que permitirá una comunicación constante tanto con la seguridad y los oficiales. Ambos canales de los Oficiales y del Despacho serán monitoreados en todo momento.
- A la Clínica Médica se le asignará un radio y se mantendrá en contacto con seguridad en todo momento.
- El despacho de seguridad de DMTC supervisará el canal oficial en todo momento.
- El Administrador y el Asistente de Seguridad y Salud supervisará el canal de despacho de seguridad en todo momento y el canal oficial durante una carrera en vivo. Por lo tanto, estarán disponibles en las instalaciones o por teléfono celular las 24 horas del día durante la temporada en vivo.

### Reacción Durante períodos de entrenamientos

- Durante los períodos de entrenamientos, los paramédicos y EMT determinarán la naturaleza y el alcance de las lesiones y si el transporte a un centro médico es necesario.
- El Despacho de Seguridad de DMTC será notificado inmediatamente de cualquier incidente de jinete en la pista o de otro tipo de incidente durante los períodos de entrenamiento y ayudará a San Diego Medical con la intención de asegurar más ayuda médica para el transporte al hospital de la zona cuando sea necesario.
- Las unidades municipales se reunirán con Unidades médicas de San Diego Medical Enterprises en la torre del reloj para asegurar el transporte de jinetes lastimados y un hospital designado.
- Los incidentes con lesiones que no requieran el transporte a uno de los hospitales locales identificados dentro de este programa, serán tratados en la

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pista o en la clínica médica de DMTC a discreción del paramédico.

◦ Los accidentes en la pista durante los períodos de entrenamiento, se comunicarán inmediatamente al Gerente de Seguridad, para su revisión y análisis de información adicional que sea necesaria.

#### Reacción antes de la carrera en el Paddock

◦ EMT Equipo 2 se encontrará en el área del paddock durante las actividades antes de la carrera para responder a una emergencia que se produzca en el área del paddock.

◦ En caso de que ocurra una emergencia el despacho de seguridad será notificado inmediatamente.

◦ Seguridad de DMTC y Administrador de Salud de programas y / o el Asistente responderán a los incidentes.

◦ El despacho de Seguridad notificará a la clínica médica del sitio donde haya ocurrido el incidente.

◦ Si es necesario, más asistencia médica será llamada desde el nivel local de los recursos municipales.

◦ Seguridad de DMTC responderá a incidentes y ayudará en el control con la multitud de gente. Si es necesario seguridad proporcionará escoltas a la clínica médica.

#### Los accidentes durante las carreras en vivo

ALS ◦ Equipo de la Unidad-1 Al concluir cada carrera, ALS inmediatamente responderá a accidentes en la pista, simultáneamente, el médico en la pista y un asistente médico se le avisarán del incidente vía radio, la observación de la carrera a través de circuito cerrado de televisión o según lo sugerido por seguridad de DMTC.

◦ Unidad de ALS - Equipo 2 estará disponible en la clínica médica para ayudar y / o sustituir Equipo 1 de ser necesario que el Equipo 1 se ausente de la pista por cualquier motivo.

◦ En caso de incidentes que requieran la ausencia de la ambulancia de la pista antes del inicio de la carrera, el Equipo 2 inmediatamente se colocará en la posición de las puertas de salida de los caballos. Cuando esto ocurra el equipo 2 notificará a los comisarios de carreras (Stewards) que están en posición y en contacto a través de la radio.

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- Si por alguna razón el equipo 2 no está disponible los administradores de CHRB deberán ser notificados inmediatamente a través del canal oficial.
- Si es necesario, más asistencia médica será llamada desde el nivel local de los recursos municipales.
- Los jinetes serán transportados a las instalaciones médicas de DMTC para examen inicial, observación y triage. Si el paramédico siente que el transporte inmediato al hospital es necesario se le avisará a seguridad que el jinete será transportado directamente al hospital (paramédicos tienen contacto por radio con Scripps de La Jolla y se les notificará directamente del transporte). Seguridad y / o Gerentes de Riesgos notificarán a los oficiales.
- Al extremo posible los profesionales dentro de la clínica médica de DMTC, estarán a cargo de todo el cuidado médico.
- Seguridad de DMTC y personal de seguridad inmediatamente responderán a la clínica médica para ayudar a los gerentes de eventos y control de acceso a la clínica médica.
- Dependiendo de la naturaleza y gravedad de las lesiones, el jinete (s) puede(n) ser transportados a uno de los hospitales locales observados en este plan para el cuidado médico más exhaustivo y el tratamiento que sea necesario.
- Los accidentes en la pista durante la carrera en vivo serán comunicados inmediatamente al Gerente de Seguridad, para su revisión y análisis de información adicional que sea necesaria.
- Cuando una de las unidades de ALS estén obligadas a salir de las instalaciones de DMTC, Seguridad de DMTC será notificada de inmediato y dará instrucciones para llamar a la Comisión Norte (North Com) para cualquier tipo de asistencia médica al cliente hasta que este disponible la unidad y regrese a DMTC.
- El/La Gerente del Departamento de Riesgos proporcionará información y documentación de Salud del Jinete y del sistema de indemnización de los trabajadores al hospital.

**Por Favor Tomar en Cuenta:** En ningún momento, información sobre el estado de salud de un jinete se proporcionará a los miembros de los medios de comunicación u otras personas. Tal solicitud deberá ser sometida inmediatamente al Director de Medios de Comunicación y / o Presidente / Gerente General de DMTC.

## VII. La Planificación de Anunció

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La Planificación de Accidentes y Reacción de DMTC, Jinete y accidentes en la pista será publicada en Inglés y Español en los siguientes lugares:

- a. El cuarto de los Jinetes que está situado en el primer piso del Club House.
- b. Las instalaciones médicas de DMTC y
- c. El Despacho de Seguridad en DMTC.

Más copias del plan se mantendrán en la oficina del/la Gerente de Riesgos, ubicada en el Edificio de Operaciones.

#### **IIX. Actualizaciones**

El plan se revisará anualmente antes de las carreras en vivo en DMTC y los cambios necesarios y las actualizaciones se mantendrán al corriente. Reglamentación y / o cambios legislativos que puedan modificar este plan se incluirán como cualquier cambio en los proveedores médicos y / o servicios de transporte en ambulancia.

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
Exhibit 8
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):  
Health and Safety Manager (Risk Manager): Kimberly Jacobson  
Assistant Manager (Risk Management Assistant): Jeremiah Mendoza
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
Inspection scheduled for July 18, 2011
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
Chartis Insurance - WC015883440
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
Exhibit 9

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

#### 14. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Exhibit 10

#### 15. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Describe any promotional plans:

The 2011 Del Mar season will feature an extensive promotional calendar that will include events and activities for seasoned fans, young adults and families. From festivals and concerts to giveaways and family days, the 2011 season at Del Mar will offer something for everyone. A 2011 Del Mar Marketing Overview, which includes the marketing budget is submitted in Exhibit 11. Additionally, Del Mar is proud to introduce Del Mar Mobile, a one-stop, one-source mobile app that features on-track mobile wagering (all funding and withdrawal transactions will be implemented by Local 280 employees), streaming of live races, race replays



## ADDITIONAL COVERAGES

Ref #	Description Experience Mod Factor 1	Coverage Code EXP01	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium
Ref #	Description	Coverage Code	Form No.	Edition Date	
Limit 1	Limit 2	Limit 3	Deductible Amount	Deductible Type	Premium

## Exhibit 10

CONCESSIONS:

George Karetas, President  
Premier Food Services, Inc.  
7966 Arjons Drive  
San Diego, CA 92126

TIP SHEETS:

Bruce M. Andrews, Jr.  
Deuce Bruce  
5910 Rancho Mission Rd. #33  
San Diego, CA 92108

Bob Baedeker  
Baedeker, Inc.  
130 West El Portal  
San Clemente, CA 92672

John Acquarelli  
Duke Racing Selections  
4615 Triesta  
Carlsbad, CA 92010

Toby Turrell  
Winners  
579 S. Sierra Ave # 18  
Solana Beach, CA 92075

Tiffany Bohland  
Bob's Card, Inc.  
6288 Highland Meadow Dr.  
Medina, OH 44256

SADDLERY SERVICES:

Jarry Hobson  
Hobson Saddlery  
28 North 1st Avenue  
Arcadia, CA 91066

Frank Wipfli  
Western Saddlery, Inc.  
7038 Commerce Circle  
Pleasanton, CA 94588

RACING COLORS:

June Gee  
West Coast Racing Colors  
11920 Goldring Rd. Ste G  
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez  
Garda Cash Logisitics  
8807 Complex Drive  
San Diego, CA 92123

Rayetta Burr  
Benoit & Associates, Inc.  
Track Photographers  
2011 Cedar Ave.  
Manhattan Beach, CA  
90266

Michael Costello  
United/Puett Electrical Starting  
Gates  
224 Tater Hill Rd.  
East Haddam, CT 06423

Scripps Coastal Medical  
Center  
Track Physicians  
1335 Camino Del Mar  
Del Mar, CA 92014

Steve Stiles  
Stiles Animal Removal Inc.  
P.O. Box 1103  
Guasti, CA 91743-1103

Deven Owen  
West Coast Feed  
PO Box 893007  
East Haddam, CT 06423

Gary S. Bell  
Citrus Feed  
PO Box 249  
La Verne, CA 91750

Angel P. Unamuno  
Angel's Feed  
4518 Rowland Ave  
El Monte, CA 91731

Michael Brunner  
Westwalk Corp.  
Hotwalkers  
2711 N. Sepulvada Blvd. # 312  
Manhattan Beach, CA 90266

as well as real time results, odds, will pays and scratches.

B. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekends (Friday, Saturday and Sunday).

C. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

D. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

Ongoing replacement of stairways and balconies in the stable area living quarters (restricted area)

Ongoing replacement of flooring, doors, locks, window screens in living quarters (restricted area)

Ongoing replacement of exterior electrical outlets and electrical panels in stable area (restricted area)

Ongoing upgrade of morning workout lights (restricted area)

Renovation of miscellaneous restrooms in stable area (restricted area)

Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)

Excavate all wash rack drain boxes to improve water runoff (restricted area)

2. Fans

Ongoing replacement of television monitors throughout facility with flat-screen monitors

Ongoing replacement of grandstand and patio furniture

New asphalt paving in misc. parking lots

Replacement of overhead lighting in Clubhouse and Stretch Run seating areas

Ongoing replacement of carpet in various patios and suites

New 20mm high definition-capable display panel for main infield video board

Renovation of third-level Clubhouse restaurant

3. Facilities in the restricted areas

See D1

16. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

\*General Parking (single day) increased from \$8 to \$10

\*New discount book of 10 general parking tickets sold in advance for \$50

Stretch Run Admission	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day),
(includes official program)	\$25.00 (Season Pass, sold pre-season only)

Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00*
Parking (general, advance discount book of 10)	\$50.00*
Parking (valet)	\$20.00
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Turf Club Membership (2 persons):	\$1150.00
Season Turf Club Membership (1 person):	\$850.00
Daily Turf Club Admission (member guests):	\$40.00 (\$100 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$150 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$275.00 to \$300.00 per seat
Season Reserved Seat:	\$260.00

C. Describe any "package" plans such as combined parking, admission and program:  
 Turf Club memberships include valet parking. All paid admissions include official programs.  
 All season box seats will include six free admission passes in 2011.

17. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="73"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

18. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meeting.
- B. Number of rooms used for housing on the backstretch of the racetrack:  
666 permanent and 61 rental trailers
- C. Number of restrooms available on the backstretch of the racetrack: 83

- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
17.5 workers to 1 restroom facility

## 19. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,280
-------

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Robert Sanchez, Vice President, Plant
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file.
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 20. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): Exhibit 12
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. In negotiations
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

Exhibit 12  
 Del Mar Thoroughbred Club  
 Union Contracts Currently in Negotiation

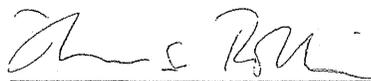
Union	Signatory Associations	Job Classification	Contract Dates
Service Employees International Union, Local 2028 (transferred to 1877 in 2007)	Del Mar Thoroughbred Club	Public Events, Security and Janitorial	July 1, 2006 through June 30, 2011 (Negotiations in progress)
Service Employees International Union Local 1877 - AFL-CIO	Bay Meadows Racing Association California Authority of Racing Fairs Del Mar Thoroughbred Club Hollywood Park Racing Associaton Hollywood Park Fall Racing Associaton Los Alamitos Race Course Los Angeles County Fair Association Los Angeles Turf Club, Incorporated Oak Tree Racing Association Pacific Racing Association Sacramento Harness Association	Racing Department	July 24, 2010 through April 19, 2011 (Negotiations in progress)

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

21. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins  
Print Name

  
Signature

Executive Vice President, Racing  
Print Title

4/18/2011  
Date

## STAFF ANALYSIS

June 23, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 12-21, 2011.

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale:

- August 12-21, 2011, or 8 days, the same as 2010. The fair proposes to race 68 races, the same as 2010.
- The race dates proposed are the dates allocated:

August - 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing Friday through Sunday the first week and Wednesday through Sunday the second week. Eight races Wednesday, Thursday and Fridays, nine races Saturdays and Sundays.
  - 2010 Race Meeting: Average number of runners per race (TB): 6.72
  - 2010 Race Meeting: Average number of runners per race (Arabian): 6.78
  - 2010 Race Meeting: Average number of runners per race (Mules): 5.57
- Racing concurrently with Del Mar 8/12-21 and Santa Rosa 8/12-14.
- First post 2:15 p.m. Wednesday, Thursday.
- 2:07 p.m. post Friday, Saturday and Sunday.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.

Wagering program will use CHRB and ARCI rules.

- \$1 place pick and \$1 trifecta \$0.10 Superfecta when applicable.
- \$0.50 pick 4 on first 4 races and last 4 races
- \$0.50 pick five on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.
- \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
- Super High Five on the last race.
- Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet and Twin Spires.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Fire clearance

RECOMMENDATION:

Staff recommends the Board approve the application contingent upon submission of the fire clearance.

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair:

Humboldt County Fair, 1250 5<sup>th</sup> Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair

California Exposition and State Fair  Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates of race meeting: August 12-21, 2011

B. Dates racing will NOT be held: August 15 & 16, 2011

C. Total number of racing days: 8

3. RACING PROGRAM

A. Total number of races: 68

B. Number of races by breed:

Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

C. Number of races daily:

	Sun, Aug 14&21	Mon, Aug 15	Tues, Aug 16	Wed, Aug 17	Thu, Aug 18	Fri, Aug 19	Sat, Aug 20
Thoroughbred	6	0	0	5	5	5	6
Other Breeds	3	0	0	3	3	3	3
Total	9	0	0	8	8	8	9

CHRB CERTIFICATION

Application received: 5/14/11  
Reviewed: *ape*

Hearing date: 6/23/11  
Approved date:  
License number:



**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

#### 4. FAIR ASSOCIATION

- A. Names of the fair directors: **Don Becker, Mel Berti, Clarence Bugenig, John Burger, Ken Christen, Al Cooper, Valerie Davis, Jeff Farley, Sandy Hanks, Darren Hansen, Jay Hight, Travis Low, Gene Lucas, Jack Macdonald, Dave Mogni Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni and Wayne Wilson.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Don Becker, Clarence Bugenig, John Burger, Al Cooper, Jeff Farley, Jack Macdonald, Cindy Olsen, Dave Mogni, Wayne Wilson, Valerie Davis**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:  
**Stuart Titus, General Manager and Director of Racing**

#### 5. PURSE PROGRAM

##### A. Purse distribution:

##### 1. All races other than stakes:

Current meet estimate: **\$364,911**

Prior meet actual: **\$364,911**

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: **\$45,614/day**

Prior meet actual: **\$45,614/day**

##### 2. Overnight stakes:

Current meet estimate: **\$47,500**

Prior meet actual: **\$47,500**

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate: **\$5,938**

Prior meet actual: **\$5,938**

##### 3. Non-overnight stakes:

Current meet estimate: **0**

Prior meet actual: **0**

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate: **0**

Prior meet actual: **0**

##### B. Funds to be generated for all California-bred incentive awards:

Current meet estimate: **\$27,075**

Prior meet actual: **\$43,669**

C. Payment to each recognized horsemen's organization contracting with the fair:

	Current meet estimate:	Prior meet actual:
CTT	\$ 846	\$ 1,364
TOC	\$ 1,691	\$ 2,728
NTRA	\$ 366	\$ 590
PCQHRA	\$ 0	\$ 0
ARAC	\$ 4,927	\$ 7,947
AMRA	\$ 6,020	\$ 9,709
CHBPAPEN	\$ 2,537	\$ 4,092
CTHF	<u>\$ 2,537</u>	<u>\$ 4,092</u>
Total	\$18,924	Total \$30,522

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$458,410  
 Prior meet actual: \$486,602

Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$57,301/day  
 Prior meet actual: \$60,825/day

E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$215,652  
 Prior meet actual: \$433,899

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$26,597/race day  
 Prior meet actual: \$54,987/race day

F. Purse funds to be generated from interstate handle:

Current meet estimate: 41,077  
 Prior meet actual: 84,409

Average Daily Purse (5F ÷ number of days):

Current meet estimate: 5,135  
 Prior meet actual: 10,551

G. Bank and account number for the Paymaster of Purses' purse account:

TOC Account #On file; Emerging Breeds Account #On file

H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corp., 1816 Maryal Dr., Sacramento, Ca 95854; 916-482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  

250 permanent	200-220 portables
---------------	-------------------
- B. Minimum number of stalls believed necessary for the meeting:  

450-470
---------
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **none**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: *n/a*
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. *n/a*

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:  

458
-----
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  

N/A
-----
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **-0-**

7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 004-105, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	<u>TYPE OF WAGERS</u>	<u>APPLICABLE RULES</u>
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #3	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9
Race #4	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #5	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #6	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #7	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #8	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105T
Race #9	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105T

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: August 21, 2011 (Closing Day)
- C. List any options requested with regard to exotic wagering:  
 \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable  
 \$0.50 PNP4 on the first four races and the last four thoroughbred races each day  
 \$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.  
 \$2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.  
 \$0.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in the race. Super High-5 will be carded on the last race of the day. In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association will request approval from CHRB to allow that entry to run for purse only.
- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
 If yes, when will such wagering begin:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
 Scientific Games Racing, George Brannon Contract Expires: September 30, 2012  
 Equipment description on file with the Board

#### 8. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
 Xpress Bet, T.V.G., TwinSpires

#### 9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
 Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
 On File with CHRB

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

##### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Golden Gate Fields, Albany  
 \*Humboldt County Fair, Ferndale  
 Jockey Club at San Mateo, San Mateo  
 Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey

##### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Commerce Casino Racebook, Commerce  
 Derby Club, Seaside Park, Ventura  
 Fairplex Park, Pomona  
 Los Alamitos Race Course, Los Alamitos  
 OC Tavern & Sports Bar, San Clemente  
 Santa Anita Park, Arcadia  
 Shalimar Sports Center, Indio

San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 Sycuan Gaming Center, El Cajon  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:  
 See E – Attachment “A” - Common Pool Sites, Separate Pool, Flat Fee Sites
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
 See Attachment A
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:  
 See Attachment B

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)



Corpus Christi Greyhound  
 Cypress Bayou OTB  
 Dairyland Greyhound Park, WI  
 Delaware Park, DE  
 Delta Downs  
 Dover Downs  
 Downs at Albuquerque, NM  
 Ebet Online  
 Elite Turf Club 1-9, NV  
 Ellis Park, KY  
 Emerald Downs, WA  
 Equus St. Thomas Racing, Inc.  
 Evangeline Downs, LA  
 Evansville OTB  
 Fair Meadows  
 Fair Grounds Race Course, LA  
 Finger Lakes Race Track, NY  
 Fonner Park, NE  
 Foxwoods Casino,  
 Freehold Raceway, NJ  
 Geneva Lakes  
 Gillespie County Downs  
 Global Wagering Solutions (MEC) MagnaBet  
 Great Lakes Downs, MI  
 Greentrack  
 Gulf Greyhound, TX  
 Gulfstream Park, FL  
 Harrington Raceway  
 Hawthorne  
 Hazel Park, MI

Mountaineer Park, WV  
 Mystique Dubuque Greyhound Park  
 Nassau Regional OTB, NY  
 Nebraska State Fair Park, NE  
 Nevada Pari-Mutuel Association, NV  
 New York Racing Association ADW  
 New York Racing Association, NY  
 Newport Jai Alai, FL  
 NJ Mobile, NJ - ADW  
 Northfield Park, OH  
 Cedar Downs OTB  
 Northville Downs,  
 Oaklawn Park, AR  
 Ocean Downs, MD  
 Penn National Race Course, PA  
 Phumelela Gold  
 Philadelphia Park, PA  
 Pinnacle Race Course  
 Plainfield Greyhound Park, NJ  
 Plainridge Racecourse, MA  
 Pocono Downs, PA  
 Portland Meadows, OR  
 Potawatomi Casino  
 Prairie Meadows, IA  
 Premier Turf Club  
 Presque Isle Downs  
 Race 2 Day  
 Raceway Park, OH  
 Racing World  
 Racing US  
 Racing & Gaming Services, British WI  
 Raynham Taunton Greyhound Park  
 Remington Park, OK  
 Retama Park, TX  
 River Downs, OH  
 Rockingham Park, NH  
 Rocky Mountain Turf Club

Wichita Greyhound Park, KS  
 Will Rogers Downs, OK  
 Wonderland Greyhound Park, MA  
 Woodlands; KS  
 Wyoming OTB, WY  
 XpressBet, Inc., CA  
 Yavapai Downs, AZ  
 Yonkers Raceway, NY  
 Yonkers Account Wagering  
 YouBet Group I  
 YouBet Illinois  
 Zia Park, NM

**Separate Pools:**  
 Caymanas Park, JAM  
 Hippodromo Camerero (PR)  
 Maronas Race Track  
 MIR/Caliente  
 Panama

\*Canadian Locations-Commingle

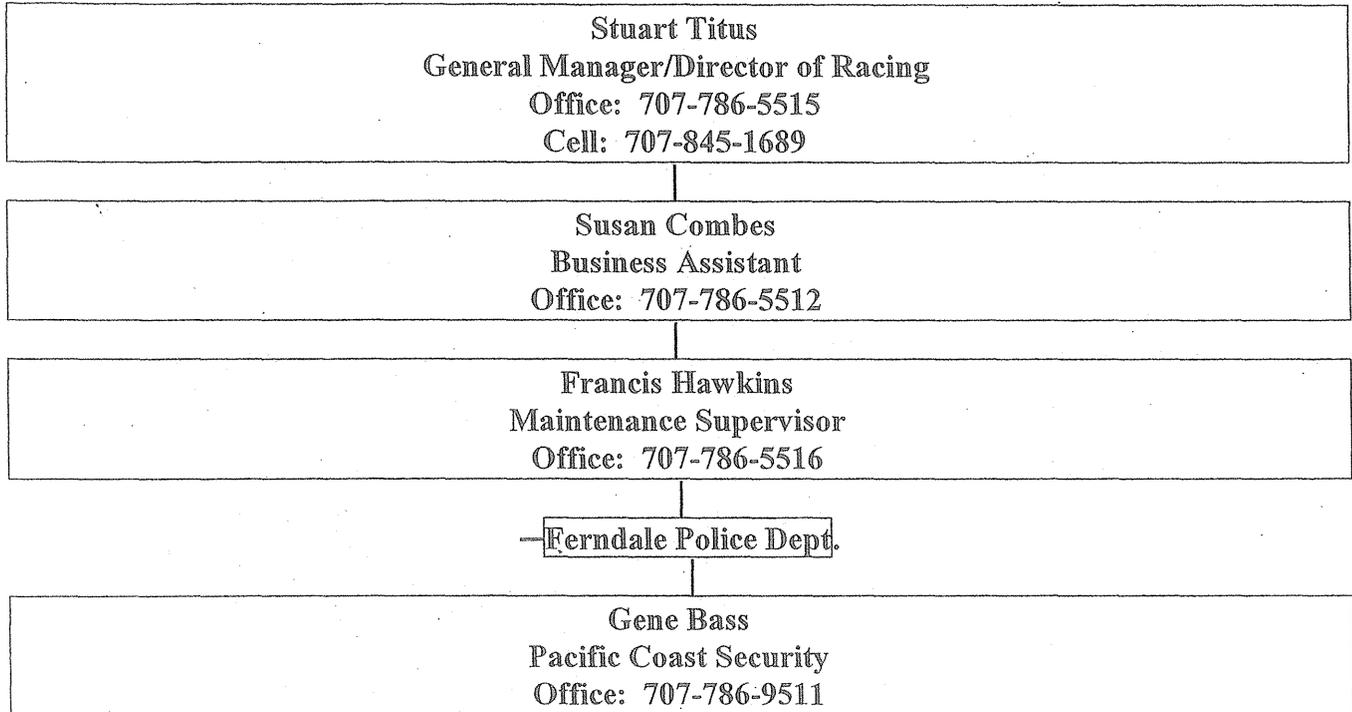
Assiniboia Downs	Marquis Downs	Western Fair Raceway
Barrie Raceway	Mohawk Racetrack	Windsor Raceway
Charlottetown	New Brunswick	Woodbine
Clinton Teletheatre	Northlands Park	Woodstock
Dresden	Picov Downs	
Elmira Raceway	Quinte Raceway	
Evergreen Park Grande Prairie	Rideau Carlton	
Exhibition Park	Rocky Mountain Turf Club	
Flamboro Downs	Royal Britiana Hub	
Fredericton Raceway	St. Johns	
Georgian Downs	Sudbury Downs	
Hanover Raceway	Summerside	
Hiawatha	TBC Sandown	
Inverness Raceway	TBC Theatres	
Karwatha Downs	Truro Raceway	

**ATTACHMENT B – Section 9 F  
IMPORT TRACKS  
Humboldt County Fair, Ferndale  
August 12-21, 2011**

**Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

<b>DOMESTIC</b>	
ARLINGTON	8/12-8/21
CALDER RACECOURSE	8/12-8/21
CANTERBURY DOWNS	8/12-8/21
CHARLES TOWN	8/12-8/21
DELAWARE	8/12-8/21
DOWNS @ ALBUQUERQUE	8/12-8/21
ELLIS PARK	8/12-8/21
EMERALD DOWNS	8/12-8/21
EVANGELINE DOWNS	8/12-8/21
FINGER LAKES	8/12-8/21
HOOSIER PARK	8/12-8/21
LOUISIANA DOWNS	8/12-8/21
MONMOUTH	8/12-8/21
MOUNTAINEER	8/12-8/21
PENN NATIONAL	8/12-8/21
PHILADELPHIA PARK/PARX	8/12-8/21
PRAIRIE MEADOWS	8/12-8/13
PRESQUE ISLE DOWNS	8/12-8/21
REMINGTON PARK	8/17-8/21
RIVER DOWNS	8/12-8/21
RUIDOSO	8/12-8/21
SARATOGA	8/12-8/21
SUFFOLK DOWNS	8/12-8/21
THISTLEDOWN	8/12-8/21
<b>INTERNATIONAL</b>	
ASSINIBOIA	8/12-8/21
AUSTRALIAN RACING	8/12-8/21
FORT ERIE	8/12-8/21
HASTINGS	8/12-8/21
NORTHLANDS PARK	8/12-8/21
SOUTH AMERICAN RACING	8/12-8/21
UNITED KINGDOM	8/12-8/21
WOODBINE	8/12-8/21

11A. Security Controls



## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- |                             |                          |
|-----------------------------|--------------------------|
| Association Veterinarian(s) | Henry Cook, DVM          |
| Clerk of Scales             | Cheryl White             |
| Clerk of the Course         | Dolores Collins          |
| Film Specialist             | Matt Nichols             |
| Horse Identifier            | Darrel Sparks            |
| Horseshoe Inspector         | Maurice Fitzpatrick      |
| Paddock Judge               | Darrel Sparks            |
| Patrol Judges               | Lisa Jones, Matt Nichols |
| Placing Judges              | Stewards                 |
| Starter                     | Bob Mooneyhan            |
| Timer                       | Chris Lapham             |
- B. Management officials in the racing department:
- |                            |                    |
|----------------------------|--------------------|
| Director of Racing         | Stuart Titus       |
| Racing Secretary           | Tom Doutrich       |
| Assistant Racing Secretary | Lisa Jones         |
| Paymaster of Purses        | Vicky Layne        |
| Mutual Manager             | Dominick DePrenzio |
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Sheryl Brown, 1028 Second Street, Eureka, CA 95501  
Phone: 707-268-0233
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien (Expires 2012)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communication, Inc. (Jim Porep). Expires April 30, 2013. Cameras at top of each turn, at finish line, top of grandstands and hand held at Winner's Circle.**
- G. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Pegasus Communication, Inc. (Jim Porep) Expires April 30, 2013.**

## 11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Pacific Coast Security, Gene Bass, Owner (707)786-9511**  
**Please see attached organization chart.**

B. Estimated number of security guards, gatemmen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1-2 guards in grandstands

2-3 rovers

3 licensed gatemmen on 8-hour shifts

1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. n/a

2. Detention Barns: **The fair is not running graded stakes**

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention barn area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention barn area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All thoroughbred races where the number is determined by a random algorithm generator.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

C. Plan for detention barns for repeat offenders.

**Ten stalls adjacent to test barn, which are under 24-hour surveillance.**

D. Number of security personnel assigned to the TCO2 program.

**One 24-hour guard when detention stalls are occupied.**

C. Describe the electronic security system.

**Monitored electric surveillance.**

1. Location and number of video surveillance cameras for the detention barn and stable gate.

**One surveillance camera at or near detention stalls.**

## 12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7<sup>th</sup> Street, Eureka, CA 95501 (707)445-4907**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **n/a**

C. Describe the on-track first aid facility, including equipment and medical staffing: **See attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **Physicians rotate on a daily basis, at Redwood Memorial Hospital.**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

## 12C First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

## 12F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

### Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

### Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

### Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

### Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

12F Medical Protocols and Procedures: SpanishProcedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a tapar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

Locutor

El locutor deberá informar a los jinetes acerca de los detalles de la situación (como la ubicación del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tenter un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

#### Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

**Redwood Memorial Hospital, 3300 Renner Drive, Fortuna (707)725-7328**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached.**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B&P Code 19481.3(d): **Stuart Titus and Susan Combs.**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Fire clearance to be submitted after portables are assembled.**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Food & Non-Alcoholic Beverages**

**Curley's Grill, 320 Main Street Fortuna, CA 95540**

**Winner's Circle Photo**

**Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514**

**Racing Grandstand Sound System**

**Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519**

**Armored Car Services**

**NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568**

**Portable Stalls**

**Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.**

### 14. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate near entrance to town; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Monday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station;



CFSA

May 10, 2011

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Humboldt County Fair  
1250 5th Street  
Ferndale, CA 95536

Please be advised that the Humboldt County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000  
Coverage provided by Allied World Assurance Company  
Term: 01/01/2011 to 01/01/2012

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2010 to 07/01/2012

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Humboldt County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,



Lianne Lewellen

Risk Analyst

Live remote, local ESPN radio, opening day of racing; Television and radio spots, Sam Spear Enterprises.

- B. Number of hosts and hostesses employed for meeting: All volunteer force, including 1,437 citizens and 200 business owners of the City of Ferndale.
- C. Describe facilities set aside for new fans: Comfortable seating and friendly atmosphere in any area they choose, with every seat providing a breathtaking view of the Victorian Village of Ferndale, its surrounding dairy properties and the Wildcat mountains south of the City of Ferndale, California.
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  1. Horsemen: Improved infrastructure in portable stall areas, painted and upgrades barns.
  2. Fans: New party-oriented, more festive tent area for wagering and seminars
  3. Facilities in the restricted areas : New offices for State and Track Vets, CHRB Investigators and Paymaster, along with new ADA compliant restrooms in Racing Office area.

15. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general)	\$7.00
Admission (racing)	\$3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$2.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	
Programs (on-track)	\$2.00
(off-track)	

- B. Describe any "Season Boxes" or other special accommodation fees: \$225.00 for box seats
- C. Describe any "package" plans such as combined parking, admission and program:

16. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys: Separate by equal to the boys, including separate corners, showers and sauna.

**17. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed: Paul Nicolo is scheduling.
- I. Number of rooms used for housing on the backstretch of the racetrack: Trailer unit with 10 bunks.
- J. Number of restrooms available on the backstretch of the racetrack: Six (6)
- K. Estimated ratio of restrooms to the number of backstretch personnel: Unknown

**18. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

2640
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 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Steve Woods, Track Master
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file.
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. n/a

**19. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct

the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions.

- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Stuart Titus  
Print Name

General Manager  
Print Title

Stuart Titus  
Signature

5/13/11  
Date

**CALIFORNIA AUTHORITY OF RACING FAIRS  
2011 PROPOSED FAIR WAGERING FORMAT**

**PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE

**Item 7A**

**Pari Mutuel Wagering Program-**

**Proposed Wagering Format**

The following CHRB wagering rules will be in affect during the 2011 California State Fair Thoroughbred and Mixed Breed racing meet.

Type		Rule Number
Exacta	(1E)	1959
Quinella	(2Q)	1958
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.50 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9
PK 6	(2PNP6)	1976.9
PLACE PICK	(1PPN)	1976.8
ALL		

- Race 1: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, 1PPN  
 Race 2: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, 1PPN  
 Race 3: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, 2PNP6, 1PPN  
 Race 4: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN  
 Race 5: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN  
 Race 6: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN  
 Race 7: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN

CALIFORNIA AUTHORITY OF RACING FAIRS  
2011 PROPOSED FAIR WAGERING FORMAT

- Race 8: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN, .50PF (Super Hi 5)  
 Race 9: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN, .50PF (Super Hi 5)  
 Race 10: 2DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3, .50PNP4, .50PNP5, 2PNP6, 1PPN, .50PF (Super Hi 5)

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: All carryovers to be paid on last racing day
- C. List any options requested with regard to exotic wagering:  
 Respectfully request the authorization to alter above exotic wagering format in compliance with CHRB rules based upon amount of races and field sizes. Respectfully request the authorization to request approval from the CHRB Executive Director to prohibit wagering on specific types of wagers to prohibit minus pools and adjust the takeout on specific wagers for promotional purposes pursuant to existing statute.

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or who pool, the association will request approval from CHRB to allow that entry to run for purse only.

\$1 PPN, \$1 TRI and \$.10 SF when applicable

\$.50 PNP4 on the first four races and the last four thoroughbred races each day

\$.50 PNP5 on the last 5 thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor paid to most winners

\$2 PNP6 on last the six thoroughbred races each day, with 70% major pool paid or a carryover, and a 30% minor pool paid

\$.50PF Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticker has five winners. Super High 5 will be carded on the last thoroughbred race of the day.

- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
 If yes, when will such wagering begin:

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: Sportech, Inc., Statewide Contract Expires: September 2012.

## STAFF ANALYSIS

June 23, 2011

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR HARNESS ASSOCIATION AT CAL EXPO AUGUST 26, 2011 THROUGH DECEMBER 17, 2011.

The California Exposition and State Fair (Cal Expo) filed its application to conduct a harness horse racing meeting at Cal Expo:

- August 26, 2011 through December 17, 2011, or 47 days, 7 less than it raced during the same period in 2010. The association proposes to race a total of approximately 564 races. The (actual) average daily purse for the 2010 meet during the same time period was \$56,498. The (estimated) average daily purse for this meet is \$57,822.
- The race dates proposed are the dates allocated:

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5 H	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24 H	25	26
27	28	29	30			

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25 H	26	27	28	29	30	31

- Racing 3 nights per week, Thursday through Saturday each week, with the exception of Thursday, September 1, 8 and November 24<sup>th</sup>.
- The California Exposition and State Fair requests the authorization to request administrative approval from the CHRB for additional racing dates (not to exceed 4 nights per week) or a reduction of racing dates dependent upon the number of available racehorses.
- 32 California Sires Stakes are proposed.

- First post 5:30 p.m. Thursdays.
  - 6:00 p.m. post Fridays and Saturdays.
  - The association requests the approval to request a change in daily post times through the administrative process as needed.
- Specific changes from the 2010 license application:
  - General admission \$4 to \$0 (reduction)
- Wagering program will use CHRB rules.
  - Early wagering will begin at 10:00 a.m. each day.
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, DelMarBets.com and OakTreeBets.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and

Professions Code Section 19602; and with authorized locations throughout California.

- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

**RECOMMENDATION:**

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone and fax numbers of association:

California Exposition & State Fair  
1600 Exposition Blvd.  
Sacramento, CA 95815- 916-263-3000 Fax- 916-263-3304

B. Breed of horse:  TB  QH  H

C. Racetrack name: Cal Expo

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. On File

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF MEETING

A. Inclusive dates for the entire meeting: August 26, 2011 through December 17, 2011

B. Actual dates racing will be held:

August- 26, 27  
September- 2, 3, 9, 10, 15, 16, 17, 22, 23, 24, 29, 30  
October- 1, 6, 7, 8, 13, 14, 15, 20, 21, 22, 27, 28, 29  
November- 3, 4, 5, 10, 11, 12, 17, 18, 19, 25, 26  
December- 1, 2, 3, 8, 9, 10, 15, 16, 17

C. Total number of days or nights of racing: 47 nights

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify)

Thursday, Friday, and Saturday nights with the exception of:  
Thursdays- September 1, 8, and November 24

E. Number of days or nights of racing per week:

3 nights per week with the exception of the weeks listed in item 2D above.

We respectfully request the authorization to request administrative approval from the CHRB for additional racing dates (not to exceed 4 nights per week) or a reduction of racing dates dependent upon the number of available racehorses.

CHRB CERTIFICATION	
Application received: 6/5/11	Hearing date: 6/23/11
Deposit received:	Approved date:
Reviewed: <i>apc</i>	License number:

3. RACING PROGRAM

- A. Total number of races:  
Approximately 564 (average of 12 per night)
- B. Number of races for each day or night:  
Thursday- 8 to 12  
Friday- 10 to 15  
Saturday- 10 to 15  
Above estimated number of races does not include non-betting stakes or qualifying races
- C. Total number of stakes races:  
32
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

A draft California Sires Stakes schedule is attached. We are awaiting final approval with purse information from the California Sires Stakes Committee.

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No If no, what racing colors are to be used: Drivers Colors
- F. List all post times for the daily racing program:  
Attached

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

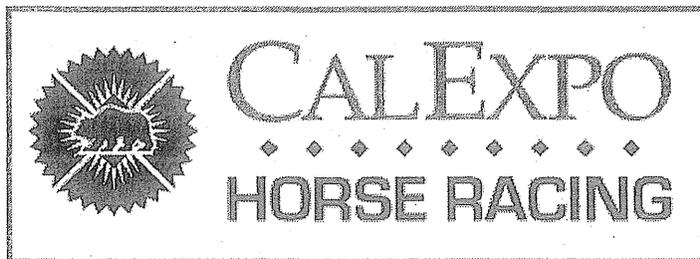
C. CORPORATION

- 1. Registered name of the corporation:
- 2. State where incorporated:
- 3. Registry or file number for the corporation:
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
- 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
- 6. Number of outstanding shares in the corporation:
- 7. Are the shares listed for public trading?  Yes  No

## FALL 2011 California Sires Stakes Series Races, CAL EXPO

MONTH	DATE	RACE	PURSE
OCTOBER	7	2 YO FILLY TROT	\$15,000
OCTOBER	7	2 YO FILLY PACE	\$15,000
OCTOBER	8	2 YO COLT TROT	\$15,000
OCTOBER	8	2 YO COLT PACE	\$15,000
OCTOBER	21	2 YO FILLY TROT	\$15,000
OCTOBER	21	2 YO FILLY PACE	\$15,000
OCTOBER	22	2 YO COLT TROT	\$15,000
OCTOBER	22	2 YO COLT PACE	\$15,000
OCTOBER	28	3 YO FILLY TROT	\$15,000
OCTOBER	28	3 YO FILLY PACE	\$15,000
OCTOBER	29	3 YO COLT TROT	\$15,000
OCTOBER	29	3 YO COLT PACE	\$15,000
NOVEMBER	4	2 YO FILLY TROT	\$15,000
NOVEMBER	4	2 YO FILLY PACE	\$15,000
NOVEMBER	5	2 YO COLT TROT	\$15,000
NOVEMBER	5	2 YO COLT PACE	\$15,000
NOVEMBER	11	3 YO FILLY TROT	\$15,000
NOVEMBER	11	3 YO FILLY PACE	\$15,000
NOVEMBER	12	3 YO COLT TROT	\$15,000
NOVEMBER	12	3 YO COLT PACE	\$15,000
NOVEMBER	18	2 YO FILLY TROT	\$15,000
NOVEMBER	18	2 YO FILLY PACE	\$15,000
NOVEMBER	19	2 YO COLT TROT	\$15,000
NOVEMBER	19	2 YO COLT PACE	\$15,000
NOVEMBER	25	3 YO FILLY TROT	\$15,000
NOVEMBER	25	3 YO FILLY PACE	\$15,000
NOVEMBER	26	3 YO COLT TROT	\$15,000
NOVEMBER	26	3 YO COLT PACE	\$15,000
DECEMBER	9	3 YO FILLY TROT	\$15,000
DECEMBER	9	3 YO FILLY PACE	\$15,000
DECEMBER	10	3 YO COLT TROT	\$15,000
DECEMBER	10	3 YO COLT PACE	\$15,000

IF MORE THAN TEN HORSES ARE ENTERED INTO ANY STAKES RACE, AN ELIMINATION RACE WILL BE HELD FOR A PURSE OF \$6,000; THE TOP TEN FINISHERS IN THE ELIMINATION RACE WILL BE ELIGIBLE TO RACE FOR THE \$15,000 PURSE IN THE SUBSEQUENT FINAL RACE SCHEDULED FOR THE WEEK FOLLOWING THE ELIMINATION.



Post Time Schedule- Cal Expo Harness Meet 8/26/11 – 12/17/11

	Thursdays	Fridays	Saturdays
Race 1-	5:30 PM	6:00 PM	6:00 PM
Race 2-	5:50 PM	6:20 PM	6:20 PM
Race 3-	6:10 PM	6:40 PM	6:40 PM
Race 4-	6:30 PM	7:00 PM	7:00 PM
Race 5-	6:50 PM	7:20 PM	7:20 PM
Race 6-	7:10 PM	7:40 PM	7:40 PM
Race 7-	7:30 PM	8:00 PM	8:00 PM
Race 8-	7:50 PM	8:20 PM	8:20 PM
Race 9-	8:10 PM	8:40 PM	8:40 PM
Race 10-	8:30 PM	9:00 PM	9:00 PM
Race 11-	8:50 PM	9:20 PM	9:20 PM
Race 12-	9:10 PM	9:40 PM	9:40 PM
Race 13-	9:30 PM	10:00 PM	10:00 PM
Race 14-	9:50 PM	10:20 PM	10:20 PM
Race 15-	10:10 PM	10:40 PM	10:40 PM

Respectfully request authorization to alter post time schedule as needed to compliment Los Alamitos, Night Thoroughbred, and imported race schedule.

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee.

#### D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

#### E. OTHER: FAIR- CALIFORNIA EXPOSITION & STATE FAIR

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

#### F. Management and Staff

Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:

Norbert Bartosik-	General Manager
David Elliott-	Director of Racing
Kate Phariss-	Racing Supervisor
Glen Harris-	Mutuel Manager
Scott Ehrlich-	Announcer
J. R. Williams-	Track Superintendent

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

Norbert Bartosik, General Manager/CEO

David Elliott, Director of Racing

## 5. PURSE PROGRAM

- A. Purse distribution: Based on estimates from 8/26/2011 through 12/17/2011 (47 days)  
Based on actuals from 8/13/2010 through 12/18/2010 (54 days)

1. All races other than stakes:  
Current meet estimate: \$ 2,074,512  
Prior meet actual: \$2,383,482

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: \$44,139

Prior meet actual: \$44,139

2. Overnight stakes: None  
Current meet estimate:  
Prior meet actual:

Average Daily Purse (5A2 ÷ number of days): N/A

Current meet estimate:

Prior meet actual:

3. Non-overnight stakes: None  
Current meet estimate:  
Prior meet actual:

Average Daily Purse (5A3 ÷ number of days): N/A

Current meet estimate:

Prior meet actual:

- B. Stakes races: Final amount to be determined by California Harness Horsemen's Association/California Standardbred Sires Stakes Committee

1. Purse distribution for all stakes races:  
Current meet estimate: \$480,000  
Prior meet actual: \$480,000

Average Daily Purse (5B1 ÷ number of days):

Current meet estimate: \$10,213

Prior meet actual: \$8,888

2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
Current meet estimate: 100%  
Prior meet actual: 100%

Average Daily Purse (5B2 ÷ number of days):

Current meet estimate: 2.13%

Prior meet actual: 1.85%

- C. Funds to be generated for all California-bred incentive awards:  
 Current meet estimate: \$68,707  
 Prior meet actual: \$78,940
- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):
- |                                     |   |
|-------------------------------------|---|
| Recognized Horsemen's Organization- | California Harness Horsemen's Association |
| Current meet estimate:              | Prior meet actual:                        |
| Total- \$94,423                     | Total- \$108,486                          |
- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A+5C+5D):  
 Current meet estimate: \$2,717,642  
 Prior meet actual: \$3,050,908
- Average Daily Purse (5E ÷ number of days):  
 Current meet estimate: \$57,822  
 Prior meet actual: \$56,498
- F. Purse funds to be generated from on-track handle and intrastate off-track handle:  
 Current meet estimate: \$2,036,254 (91%)  
 Prior meet actual: \$2,339,526 (91%)
- Average Daily Purse (5F ÷ number of days):  
 Current meet estimate: \$43,325  
 Prior meet actual: \$43,325
- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$201,388 (9%)  
 Prior meet actual: \$231,382 (9%)
- Average Daily Purse (5G ÷ number of days):  
 Current meet estimate: \$4,285  
 Prior meet actual: \$4,285
- H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West Account Number(s) (Commissions, Trust-Purses) will be furnished under separate cover upon request.
- I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:  
 Disher Accountancy Corporation, 1816 Maryal Dr., Sacramento, CA 95864 916-482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to

the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 984
- B. Minimum number of stalls believed necessary for the meeting: 600
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

## Proposed Wagering Format

The following CHRB wagering rules will be in affect, upon approval, during the 2011 Fall Cal Expo harness racing meet.

Type		Rule Number
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(1DD)	1957
Superfecta	(.10SF)	1979.1
PK 3	(1PK3)	1977
PK 4	(1PK4)	1978

- Race 1: 1DD, .10 SF, 1E, 1 TRI,
- Race 2: .10 SF, 1E, 1 TRI, 1PK 4
- Race 3: .10 SF, 1E, 1 TRI, 1PK3
- Race 4: .10 SF, 1E, 1 TRI, 1PK3
- Race 5: .10 SF, 1E, 1 TRI, 1PK3
- Race 6: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 7: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 8: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 9: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 10: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 11: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 12: .10 SF, 1E, 1 TRI, 1PK4 or 1PK3
- Race 13: .10 SF, 1E, 1 TRI, 1 PK3 on 15 race card
- Race 14: .10 SF, 1E, 1 TRI, 1DD on 15 race card
- Race 15: .10 SF, 1E, 1 TRI,

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: December 17, 2011
- C. List any options requested with regard to exotic wagering: We respectfully request the authority to offer Pik 3 and Pik 4 wagers on different races dependent upon the number of races carded for each night. We will only offer a maximum of three Pik 4 wagers on any racing night. We will offer an early (Races 1 and 2) Daily Double and a late Daily Double on the last two races of any card.

- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin: 10:00 AM

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: California Horse Racing Industry Contract with Sportech which expires September 2012

### 8. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
XPressBet, Twin Spires, and TVG

9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Scotwinc
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
On file with the CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
All CHRB licensed California simulcast wagering facilities, card rooms, Indian Gaming establishments, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
On file with CHRB
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
On file with CHRB
- F. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- G. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":
- H. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

<u>Name of Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
---------------------------	-------------------	--

Balmoral Park	TBD	Selected Feature (Meet Ending 12/31/11)
The Meadowlands	TBD	Selected Feature and Full Card on specific days allowed by statute (Meet Ending TBD)
Mohawk Raceway	TBD	Selected Feature (Meet Ending 10/01/11)
Northfield Park	TBD	Selected Feature (Meet Ending 12/30/11)
Pompano Park	TBD	Selected Feature (Meet Ending 12/31/11)
Maywood Park	TBD	Selected Feature (Meet Ending 12/30/11)

**Cal Expo Harness Meet- Fall 2011**  
**Schedule V**  
**OUT OF STATE SIMULCAST WAGERING LOCATIONS**

American Tab, Oregon	Nevada Pari-mutuel Association, Nevada
Atlantic City, New Jersey	New Jersey Casinos, New Jersey
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Diaryland Greyhound, Wisconsin	Penn National Gaming, Pennsylvania
Downs at Albuquerque, New Mexico	Philadelphia Park, Pennsylvania
ExpressBet, California	Plainridge Racecourse, Massachusetts
Euro Off Track, NA	Pompano Park, Florida
European Wagering Services, LTD. Oregon	Racing Channel, Oregon
Fire Lake Casino, Oklahoma	Raceway Park, Ohio
Foxwoods Casino, Connecticut	Racing & Gaming Services, St. Kitts
Fraser Downs, BC, CAN	Sam Houston Park, Texas
Freehold Raceway, New Jersey	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Indiana	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
International Racing Group, Curacao	The Downs at Albuquerque, New Mexico
Ladbroke's at Meadows, Pennsylvania	The Red Mile, Kentucky
Las Vegas Dissemination, Nevada	Tri-State Greyhound, WV
Les Bois Park, Idaho	Western Fair, ONT, CAN
Lone Star Park, Texas	Western Region OTB, New York
Maywood Park, Illinois	Windsor Raceway, ONT, CAN
Meadowlands Race Track, New Jersey	Woodbine Entertainment. Group, ONT, CAN
Mesquaki Casino, Iowa	Yonkers Raceway, New York
Mohawk Racetrack, ONT, CAN	TwinSpires.com, Inc.
Nassau Region OTB, New York	

## ITEM 9E

Cal Expo Harness Meet- Fall 2011OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR  
POOLS WITH CAL EXPO.

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	Northfield Park, Ohio
Balmoral Park, Illinois	Northlands Park, BC, CAN
Capitol District OTB, New York	Northville Downs, Michigan
Catskill Region OTB, New York	Ocean Downs, Maryland
Connecticut OTB, Connecticut	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Euro Off Track, NA	Racing Channel, Oregon
European Wagering Services, LTD. Oregon	Raceway Park, Ohio
Fire Lake Casino, Oklahoma	Racing & Gaming Services, St. Kitts
Foxwoods Casino, Connecticut	Sam Houston Park, Texas
Fraser Downs, BC, CAN	Saratoga Harness, New York
Freehold Raceway, New Jersey	Scioto Downs, Ohio
Harrington Raceway, Delaware	Southland Greyhound, Arkansas
Hastings Park, BC, CAN	Sports Creek Raceway, Michigan
Hawthorne Racecourse, Illinois	Suffolk Downs, MA
Hazel Park, Indiana	Suffolk Regional OTB, New York
Hippodrome de Montreal, QUE, CAN	Sunland Park, New Mexico
Hoosier Park, Indiana	Television Games Network (TVG)
Illinois OTB, Illinois	Thistledown, Ohio
Indianapolis Downs, Indiana	The Downs at Albuquerque, New Mexico
International Racing Group, Curacoa	The Red Mile, Kentucky
Ladbrokes at Meadows, Pennsylvania	Tri-State Greyhound, WV
Las Vegas Dissemination, Nevada	TwinSpires.com
Les Bois Park, Idaho	Western Fair, ONT, CAN
Lone Star Park, Texas	Western Region OTB, New York
Maywood Park, Illinois	Windsor Raceway, ONT, CAN
Meadowlands Race Track, New Jersey	Woodbine Entertainment. Group, ONT, CAN
Mesquaki Casino, Iowa	XPressBet. com
Mohawk Racetrack, ONT, CAN	Yonkers Raceway, New York
Nassau Region OTB, New York	
Nevada Pari-mutuel Association, Nevada	

The Meadows	TBD	Selected Feature (Meet Ending 12/23/11)
Yonkers Raceway	TBD	Selected Feature (Meet Ending 12/23/11)
Pocono Downs	TBD	Selected Feature (Meet Ending 11/12/11)
Harrington Raceway	TBD	Selected Feature (Meet Ending 10/27/11)
Woodbine Racecourse	TBD	Selected Feature (Meet Ending 12/31/11) AND Full Card (Breeders Crown 10/29/2011)
Indiana Downs	TBD	Selected Feature (Meet Ending 11/09/11)
Vernon Downs	TBD	Selected Feature (Meet Ending 11/19/11)
The Red Mile	TBD	Selected Feature (Meet Ending 10/08/11)
Coloniel Downs	TBD	Selected Feature (Meet Ending 11/05/11)
Fraser Downs	TBD	Selected Feature (Meet Ending 12/31/11)

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

- J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**10. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Friends of the California State Fair, 1600 Exposition Blvd., Sacramento, CA 95815
- B. Names and addresses of the trustees or directors of the distributing agent:  
 Friends of the California State Fair  
 1600 Exposition Blvd.  
 Sacramento, CA 95815

Mark Kramer                      President  
 Anne Jones                      Vice President

Dan Arriola	Treasurer
Pam Howard	Secretary
Harry Moos	Executive Director
William Lopez	Trustee
Glenn McKeever	Trustee
Mike Evans	Trustee
Joy Evans	Trustee
Carla Knee	Trustee
Linda Lopez	Trustee
Sue McKeever	Trustee
Dixie Van Allen	Trustee-State Fair Scheduler

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)?  Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

11. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)-	Dr. Henry Cook
Clerk of Scales-	N/A
Clerk of the Course-	Patricia Peterson
Film Specialist-	Kathy Poor
Horse Identifier-	Carl Sobey
Horseshoe Inspector-	John Walker
Paddock Judge-	Walter Petersen
Patrol Judge-	Bill Vallandingham
Placing Judge-	Kathy Poor
Starter-	Bill Vallandingham
Timer-	Kathy Poor

B. Management officials in the racing department:

Director of Racing-	David Elliott
Racing Supervisor-	Kate Phariss
Racing Secretary-	Fred Kuebler
Paymaster of Purses-	Donna Newman
Others (identify by name and title)	

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Wendy Frazier Reporting, 13136 Ivey Rd., Herald, CA 95638 916-956-3914

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corp USA, CDV-210 System-Bill O'Brien- Contract expiration 12/24/2011
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Pegasus Communications- Jim Porep, President Contract expiration 12/24/2011  
Cameras (6)- Upper Pan, Lower Pan, 1/8 Pole Tower Head-On, 5/8 Pole Tower Head-On, Paddock, Winners Circle/Finish Line Ground Level
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
In house owned electronic timing device maintained and serviced by Pegasus Communications.  
Contract expires 12/24/2011.

12. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263-3000/3120, Organizational chart attached.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
  - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. N/A
  - 2. Detention Barns:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention barn area.

Detention Barn-

The Cal Expo detention barn is located adjacent to the Test Barn at the south end of the Cal Expo Barn area.

The detention barn will be used in the following events:

- 1. The stewards request that any trainer's horses in to go be held in the detention barn
- 2. The association upon receiving findings of TCO2 levels of 37 millimoles per liter of plasma or more, shall require all horses in to go trained by the offending trainer to be housed in the detention barn for a period of not less than 8 hours prior to post time of each horse.
- 3. All California Sires Stakes horses shall be housed in the detention barn for a period of not less than 6 hours prior to their California Sires Stakes race.

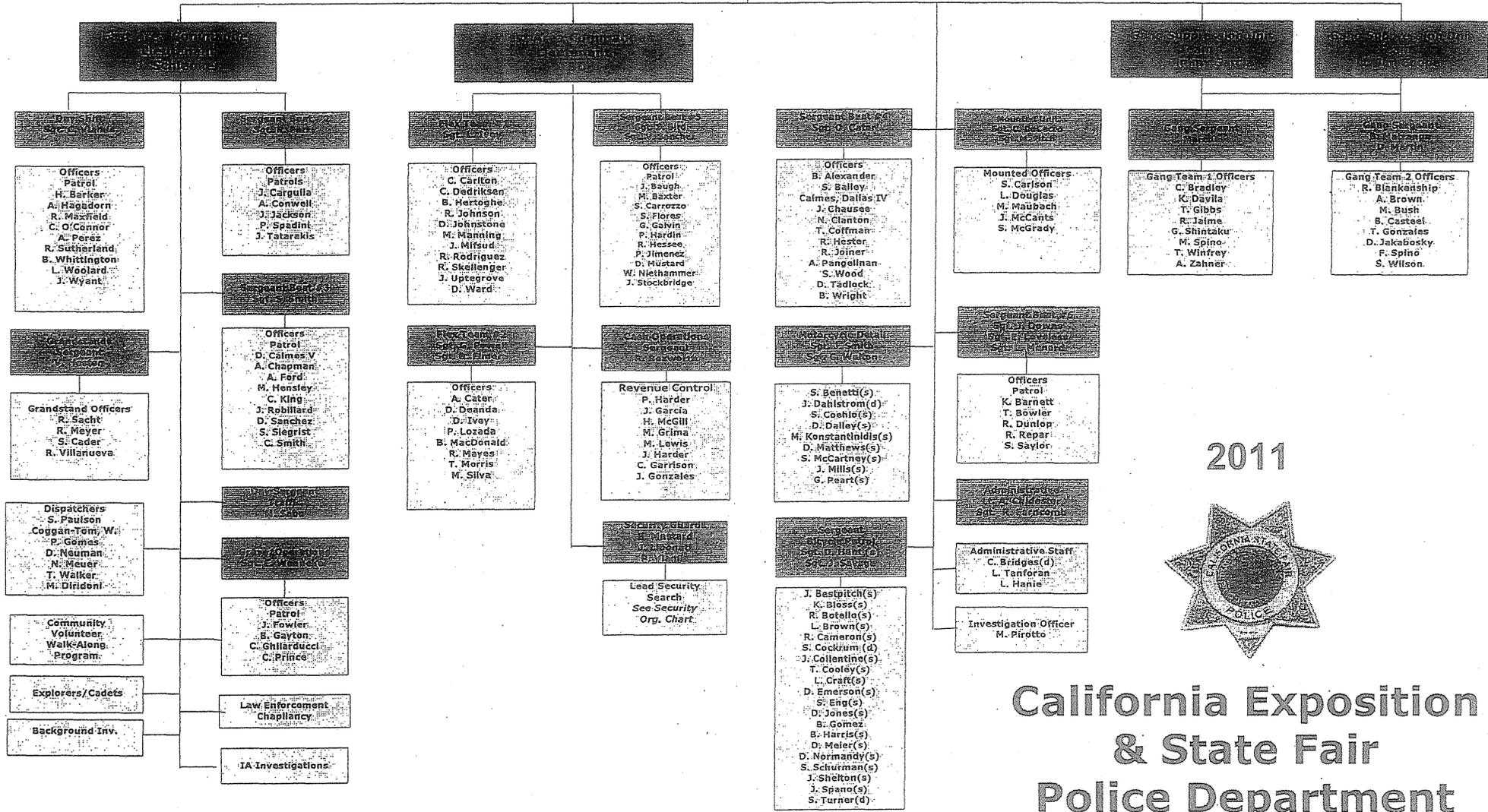


**General Manager**  
Norb Bartosik

**Deputy General Manager**  
Brian May

**Robert L. Craft**  
Chief of Police

Cathy Purcell  
Staff Services Analyst



2011



**California Exposition  
& State Fair  
Police Department**

The detention barn will have one security officer assigned whenever the detention barn is being utilized. The security officer will be on duty prior to any horse arriving and security will be present at all times until after the last horse has left the detention barn.

There will be a surveillance camera installed at the entrance/exit of the detention barn. The monitor will be placed in the guard shack adjacent to the entrance of the detention barn.

### 3. TCO2 Testing:

A. Number of races to be tested and the number of horses entered in each race to be tested.

Pre Race- The number of horses to be pre race tested will be selected by random draw.

Post Race- The winner of each race plus seven random selections from each program will be tested as directed by the CHRB Stewards.

B. Plan for enhanced surveillance for trainers with high-test results.

Any TCO2 positive tests will result in the sanctions and penalties outlined by the CHRB.

Any horse whose TCO2 levels are in excess of permitted levels mandated by the CHRB shall in addition be subject to race out of the detention barn for every start the horse makes for 3 starts after the post race positive result. The trainer of record shall have \$200 deducted from their paymaster account for detention barn staffing and cleaning for each of the 3 starts the horse races out of the detention barn. All horses must report to and be located in the detention barn by 12:00 Noon each racing day they are assigned.

C. Plan for detention barns for repeat offenders.

If a trainer receives multiple, more than one, high TCO2 test results, all horses in to go that are listed under the care of that trainer shall all serve time in the detention barn for 30 days from 12:00 Noon until the time that horse is scheduled to go to the paddock.

D. Number of security personnel assigned to the TCO2 program.

There are 10 Gateman positions that can be assigned to the TCO2 program with no more than 2 gatemens serving at one time in the detention barn.

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention barn and stable gate.

One surveillance camera and monitor will be located at both the detention barn and Gate 12 Security gate.

D. For night racing associations. Describe emergency lighting system:

Generator lights are placed in strategic locations around the racetrack and the starting gate lights will be used for the safe exit of all racing participants should a power outage occur.

## 13. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 1779 Tribute Rd., Sacramento, CA 95815 916-563-0600

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

C. Describe the on-track first aid facility, including equipment and medical staffing:

Mobile on track first aid facility staffed by two Emergency Medical Technicians and/or Paramedics

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
(If quarter horse racing association see D(1): N/A

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services: N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey/driver:

UC Davis Medical Trauma Center-  
2730 Stockton Blvd., Sacramento  
Specializing as a Level 1 Trauma Center  
916-734-2011  
3 Miles

Kaiser Permanente Hospital  
2025 Morse Ave  
Sacramento, CA  
Specializing in orthopedic and cardiac  
treatment 916-973-6600  
2 miles

Sutter Memorial Hospital-  
5151 F Street, Sacramento  
Specializing in cardiac treatment  
916-733-1000  
1 ½ miles

Mercy General Hospital  
4001 J. Street, Sacramento  
Specializing in cardiac treatment  
916-453-4428  
1 ½ miles

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey/driver: Attached

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d): David Elliott, Kate Phariss

H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached

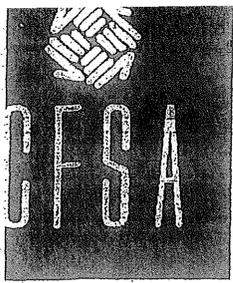
I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Self insured through California Fairs Services Authority, certificate on file with CHRB.

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
On file

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**14. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:



April 15, 2011

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: California Exposition & State Fair  
1600 Exposition Blvd.  
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000  
Coverage provided by Allied World Assurance Company  
Term: 01/01/2011 to 01/01/2012

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2010 to 07/01/2012

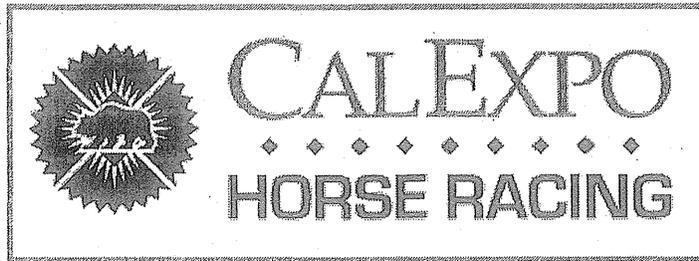
CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen  
Risk Analyst



## *EMERGENCY MEDICAL PROCEDURES*

### FOR ON TRACK INJURY TO DRIVERS

#### "FIRST RESPONSE"

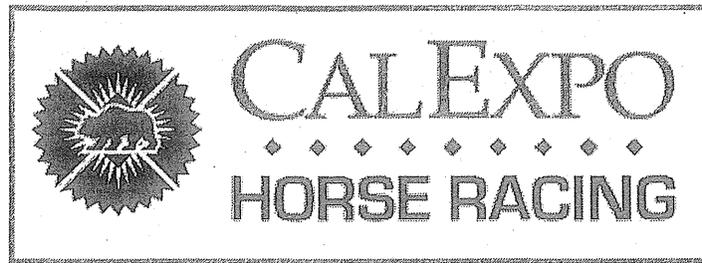
- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

#### "ON SITE EVALUATION"

- Based on the Emergency Medical Response (EMT) team on the American Medical Response Ambulance an on site evaluation of injuries, a decision shall be made to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to take over the duties at the track and the original ambulance will transport the injured driver(s).

#### "INJURY TREATMENT"

- If the injured driver(s) is treated by the EMT on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local hospital.



## PRECUDIOS DE EMERGENCIA PARA MANEJADORES

### "PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La Ambulancia respondera par aver lo necesario.
- La Ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion deacuerdo al accidente.

### "EVALUACIO AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

### "ATENCION AL ACSIDENTADO"

- El acidentado sera tratado de inmediato por (EMT).
- Sies demaciado grabe el accidente sera transportado por ambulancia al hospital.

En caso de algun corredor acidentado se hara un reporte complete por los encargados del tracke.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
OFFICE OF THE STATE FIRE MARSHAL  
FIRE & LIFE SAFETY DIVISION

1131 S Street  
SACRAMENTO, CA 95811  
T: (916) 445-8550 F: (916) 324-3784  
Website: [www.fire.ca.gov](http://www.fire.ca.gov)



November 8, 2010

California Exposition and State Fair  
1600 Exposition Blvd.  
Sacramento, CA 95815  
Attn: Dave Eddy

Dear Mr. Eddy,

The follow up inspection of the Backstretch Barns A-Z has been completed. Thank you for your diligence in correcting multiple deficiencies from June 2010.

Documentation has been received that indicates all smoke alarms are being corrected and tested. Monthly record of testing shall be maintained by the facility per NFPA 13, Table 10.4.2.2.

The Automatic Hood Extinguishing System located within the Winners Circle does not meet UL 300 requirements. The system shall be red tagged and not permitted for use. Plans to correct this system shall be submitted to the SFM within 30 days.

Documentation was provide indicating that the fire alarm system located with the Race Office which also monitors Barns A-Z has been modified to monitor through "panel node #7". This is due to construction for new fiber optic wiring. Per Title 19 1.14, the fire alarm system shall be maintained and operable at all times.

Fire clearance is granted.

Sincerely,

Christine Fowler  
Deputy State Fire Marshal

cc: Chief Guarino, SFM Supervisor  
Mark Dannewitz, Maintenance Operations Manager  
Brian May, General Manager

Food Service:

Ovations FanFare, LP  
 4501 Pleasanton Ave.  
 Pleasanton, CA 94566

15. **ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

Describe any promotional plans:

Cal Expo will offer the following promotions:

1. Free Admission- General and Clubhouse
2. Free Parking- General and Preferred
3. \$3 Thursdays- Food Special for \$3 with happy hour from 6:00 to 8:30 PM
4. \$2 Fridays- Food Special for \$2 with happy hour from 6:00 to 8:30 PM
5. \$1 Saturdays- \$1 Hot Dogs, Beer, and Soda and additional dinner special in Clubhouse
6. Guaranteed Pik 4 Pool of \$10,000 on Thursday nights
7. Guaranteed Pik 4 Pool on \$15,000 on Saturday nights
8. Handicapping Contests on and off track
9. Starting Gate ride giveaways
10. Local media buys to be determined
11. Presence on Facebook
12. Free Daily Racing Programs for website guests
13. Weekly email blasts featuring weekly specials and special events

B. Number of hosts and hostesses employed for meeting: 3

C. Describe facilities set aside for new fans: Information booth and kiosk

D. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen- Refurbishment of 3 barns- \$115,000 Barns A, B, and C
2. Fans- Tote Board Renovation, Message/Video Board installation in infield from Sportech, South Turn Fencing Slats installation, Replacement of horsemen's entrance awning, update current satellite wagering surveillance system with additional cameras, and replace racetrack lighting system lamps.
3. Facilities in the restricted areas- New State Vet office in Vet Barn completed

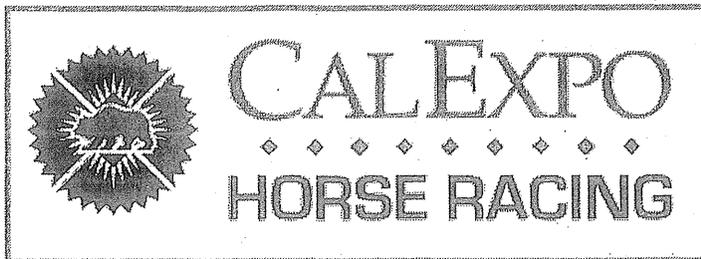
16. **SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

- Admission (general)- \$0
- Admission (clubhouse)- \$0
- Reserved seating (general)- N/A
- Reserved seating (clubhouse)- N/A
- Parking (general)- \$0
- Parking (preferred)-\$0
- Parking (valet)-\$0
- Programs (on-track)- \$3.50
- (off-track)- \$4.00

B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
 All admissions for Cal Expo harness racing are free

C. Describe any "package" plans such as combined parking, admission and program:  
 Group sales program including admission, parking, dinner, programs, winners circle photo, and starting gate ride varies in price from \$15.00 to \$30.00 per person dependent upon menu choice

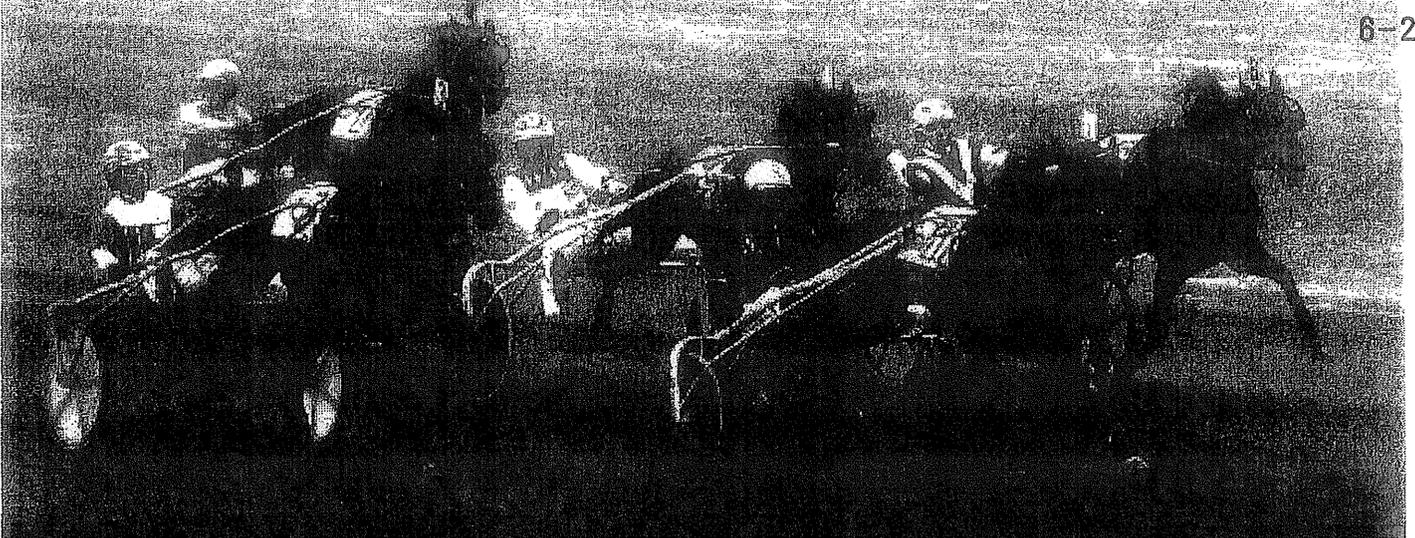


ADDENDUM TO ITEM 15A provided in the Cal Expo Harness Association application to conduct a racing meeting:

15. On Track Attendance/Fan Development

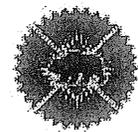
A. Describe any promotional plans:

1. Cal Expo will again provide free general and clubhouse admission and free parking for every racing night during the 2011 Fall Meet. The free general and clubhouse admission promotion began in January of 2011.
2. We will again offer food specials to be determined on each racing night. The food specials promotion will mirror the food specials that are currently in effect which include:
  - \$3 Thursdays – Lasagna, Salad and Bread AND Happy Hour from 6:00 PM to 8:30 PM
  - \$2 Fridays- Basket of Hot Wings AND Happy Hour from 6:00 PM to 8:30 PM
  - \$1 Saturdays- Hot Dogs, Soda, Beer and Saturday Night Special in the clubhouse
3. We will offer GUARANTEED PICK 4 Pools on Thursday nights of \$10,000 and on Saturday nights of \$15,000
4. We will again offer Handicapping Contests on track and at all California Off Track locations as we did in 2010.
5. We will be promoting our Fall Meet during the annual California State Fair Thoroughbred meet by offering prizes such as vouchers, starting gate rides, and free programs.
6. We will be displaying harness racing commercials on the infield Video Board during the annual State Fair meet.
7. We will be advertising and promoting the harness racing meet on the new CBS Outdoor Digital Billboards located on Exposition Blvd. (12' x 25') and Interstate/Business 80 (20' x 60') which is exposed to approximately 230,000 vehicles per day.
8. Our weekly E-Newletters featuring special events, food specials, and other racing related items will also be posted on Facebook.
9. Visitors to the Cal Expo Horse Racing website can sign up and receive free daily racing programs.
10. We will continue to offer our live video, racing results, racing entries, and daily updates on our website.
11. We have reached an agreement with TVG to air at least three races on each Thursday night racing card for the Fall meet.
12. Our local media buy will consist of print advertising and :30 second radio commercials.



**SWEET 16 COULD BE YOUR LUCKY NUMBER!**

**WATCH MARCH MADNESS  
AND LIVE HARNESS RACING!  
THE BEST BET IN TOWN!**



**CAL EXPO**  
\*\*\*\*\*  
**HARNESS RACING**

**MILLER LITE GRANDSTAND**

- FREE Parking (space is limited)
- FREE Admission

**HAPPY HOUR 6-8:30PM**

**\$3 THURSDAY!**

\$3 Lasagna Dinner with salad and bread  
\$3 Beer & Wine

**\$2 FRIDAY!**

\$2 Beer, Wine & Buffalo Wings per order

**\$1 SATURDAY!**

\$1 Beer, Wine, Soda or Hotdogs!

**CAL EXPO HARNESS RACING**

Call 877-269-3273 or visit [calexpo.harness.com](http://calexpo.harness.com)

**CLUBHOUSE  
DINING ROOM**

**ALL FOR \$6**

**FRIDAY NIGHT**

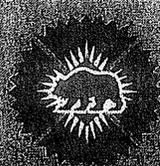


**CAL EXPO**

**Sacramento Saturday Market**

1st and 3rd Saturdays, Apr - Dec 2011

CLICK HERE FOR MORE INFO or CALL 877-CAL-EXPO



# CAL EXPO HORSE RACING

HOME OF THE  
California State Fair



## COME ENJOY

# A NIGHT AT THE RACES

HARNESS RACING

SATELLITE WAGERING

THOROUGHBRED RACING

CONTACT

ON BOTH THUR. PK-4'S!

REGISTER TODAY TO  
RECEIVE NEWS,  
EVENTS AND  
ANNOUNCEMENTS!  
  
SUBSCRIBE!

### THIS WEEK

## THURSDAY, JUNE 16

### THREE DOLLAR THURSDAY!

Free Parking, Free Admission!  
\$3 Lasagna Dinner w/Salad & Bread, \$3 Beer, \$3 Wine !  
Two Pk-4's With a 15% Takeout On Both!  
\$10 K Guar. Late Pk-4 Pool!  
Many Superfectas!  
Pick-3's!  
POST-TIME 5:30 P.M. (PT)!

## FRIDAY, JUNE 17

### HAPPY HOUR FRIDAY!

Free Parking, Free Admission!  
\$2 Beer/\$2 Wine/\$2 Buffalo Wings Per Order!  
Two Pick-4's!  
Pick-3's!  
Many Superfectas!  
Clubhouse Dining Room Open!  
POST-TIME 6:00 P.M. (PT)!

## SATURDAY, JUNE 18 (MANDATORY PAYOFFS)

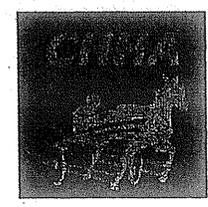
### ONE DOLLAR SATURDAY!

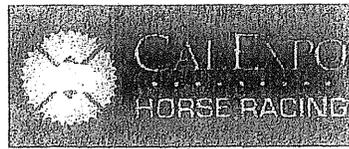
\$1 Beer/Wine/Soda/Hot Dogs (6-8:30 P.M.)!  
Two Pick-4's With a 15% Takeout On Both\*!  
\$15 K Guar. Pool On the Late Pick-4!  
Pick-3's!  
Many Superfectas!  
Clubhouse Dining Room Open!

JUN 2011						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Home
- Entries
- Quick Results
- Live Video
- Archives
- Racing Center
- Group Sales
- General Info
- Photo Gallery
- Amateur Racing
- Horsemen
- 2011 Racing Calendar

ENJOY FREE WI-FI  
@ CALX!





# CAL EXPO HARNESS RACING \$10,000 FALL HARNESS HANDICAPPING CHALLENGE

## WHO:

Any Cal Expo harness racing fan 18 years of age or older  
is invited to participate!!

## WHAT:

\$10,000 Cal Expo Spring Harness Handicapping Challenge!!

## WHERE:

Qualifying rounds will occur at various participating California Satellite Wagering  
Facilities, Casinos, Card Rooms and Racetracks!!

## WHEN:

Qualifying rounds will occur on:

THURSDAYS, October 20 & 27, 2011

FINAL ROUND – SATURDAY, November 5, 2011

Cal Expo will host this no entry fee FALL 2011 HARNESS HANDICAPPING  
CHALLENGE at participating California satellite wagering facilities, racetracks, card  
rooms and casinos.

\$10,000 in total

PRIZE MONEY will be offered!

Winning contestants will be those players placing in the top 50 of the 2 qualifying  
rounds (100 qualifiers) on THURSDAYS, OCTOBER 20 & 27 and each will receive a  
\$50 wagering voucher AND an entry in the final contest on NOVEMBER 5, 2011

The top 14 of 100 players in the final on NOVEMBER 5, 2011 will each get a piece of  
the remaining \$5,000 in prize money with the top prize of \$2,500 going to the winner!!

Rules and entry forms for the qualifying rounds will be available at participating  
California Satellite Wagering Facilities, racetracks, card rooms, and casinos.

**17. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="46"/>                   |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area   |
| <input type="checkbox"/> Masseur                                   | <input checked="" type="checkbox"/> Food/beverage service               | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: Same type/separated quarters

**18. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: 104

C. Number of restrooms available on the backstretch of the racetrack: 8

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/50

**19. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is replaced by pylons; outer rail is a Steriline Aluminum Racing Safety Rail in full compliance with CHRB regulations.

C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: David Elliott and Kate Phariss

D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file

E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**20. DECLARATIONS**

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file

C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

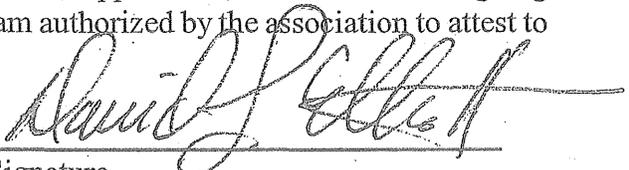
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 21. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

David Elliott  
Print Name

  
Signature

Print Title  
Director of Racing

June 1, 2011  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST  
TO MODIFY THE DISTRIBUTION OF MARKET ACCESS FEES FROM  
ADVANCE DEPOSIT WAGERING (ADW)  
AS PERMITTED UNDER  
BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E)  
FOR WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS  
AND DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
REQUEST TO MODIFY THE DISTRIBUTION OF TAKEOUT AS PERMITTED BY  
BUSINESS AND PROFESSIONS CODE SECTION 19605(A)(2)(A)  
FOR WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS  
IN THE NORTHERN ZONE

Regular Board Meeting  
June 23, 2011

## BACKGROUND

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected. Business and Professions Code section 19605.7(a)(2)(A) states in addition to the distributions specified in paragraph (1), for thoroughbred meetings, an amount not to exceed 4 percent of the amount handled by the satellite wagering facility on conventional and exotic wagers shall be distributed to an organization described in Section 19608.2 with the mutual consent of the racing association, the organization representing the horsemen participating in the meeting, and the Board from January 1, 2010, until December 31, 2013. However, the amount shall be no less than that specified in subparagraph (B), and any amount greater than the amount specified in subparagraph (B) shall be approved by the Board for no more than 12 months at a time, and only upon a determination by the Board that the greater amount is in the economic interest of thoroughbred racing.

In April 2009 the Board approved a modification of California's advance deposit wagering (ADW) distribution on thoroughbred races as permitted under Business and Professions Code section 19604(f)(5)(E). In requesting the modification the industry represented that for several years Southern California Off Track Wagering, Inc. (SCOTWINC) and Northern California Off Track Wagering, Inc. (NCOTWINC) ran shortfalls in funding due to handle moving from traditional satellite wagering to ADW, and a general decline in wagering activity at "brick and mortar" wagering sites. The distribution from satellite facilities was fixed, and 2.5 percent of that funding went to SCOTWINC and NCOTWINC for pari-mutuel expenses. Instead of asking for an increase in the takeout, the industry requested the creation of a new distribution from ADW source market fees to fund the SCOTWINC and NCOTWINC shortfalls over a two-year period. The requested distribution for SCOTWINC was 4.12 percent of the ADW source market fee and 4 percent of the ADW source market fee was requested for NCOTWINC. The funds would come from ADW wagers placed by California residents on California thoroughbred races. Pursuant to Business and Profession Code section 19604(f)(5)(E), the Board approved a motion

to alter for a two year period - commencing July 2009 and ending June 2011 - the market access fees from ADW wagers made by California residents on California thoroughbred race meetings by the creation of an additional deduction for distribution based on 4.12 percent of handle in Central and Southern zones to the SCOTWINC Trust, and 4 percent of handle in the Northern Zone to the NCOTWINC Trust.

At the January 2010 Regular Board Meeting the Los Angeles Thoroughbred Club (LATC) and Thoroughbred Owners of California (TOC) submitted a SCOTWINC shortfall agreement for Board approval. The agreement altered the distribution of market access fees from ADW wagers placed on all racing hosted by LATC at its winter race meeting, effective December 26, 2009 through April 18, 2010. The Board accepted the agreement as an addendum to the LATC winter race meeting. At the February 2010 Regular Board Meeting the NCOTWINC shortfall agreement between Pacific Racing Association and TOC was approved.

At the February 2010 Regular Board Meeting a presentation by SCOTWINC and NCOTWINC regarding their organizational background and financial position was heard. NCOTWINC and SCOTWINC stated they were experiencing a long-term decline in revenue that was exacerbated by the advent of ADW wagering. From 2007 through 2009 the organizations saw serious deficits. The Board expressed concern over the future of the SCOTWINC/NCOTWINC business model, as it did not appear to be sustainable in the current environment. The Board stated it would like to know what changes the organizations would propose that would give it confidence the deficits would not become permanent, and that SCOTWINC/NCOTWINC would not go to the Legislature every time it needed funds, as running bills to take money from somebody else would not solve the deficits. The Board also cautioned that its recent actions with regards to SCOTWINC/NCOTWINC funding were "short term band aids." Chairman Brackpool stated the organizations should work with the Board's Committee on Budget, Finance and Audits to determine how the organizations would be funded for 12 months going forward, and then return to the Board not later than June 30, 2010 with a five year business plan with absolute structural changes to the business model.

In June 2010 representatives of SCOTWINC and NCOTWINC appeared before the Board to report on their operating results, financial condition and strategic planning. The organizations also requested a modification of the distribution of takeout for thoroughbred racing associations permitted under Business and Professions Code section 19601.01, and to modify the distribution of market access fees from ADW wagering as permitted under Business and Professions Code section 19604(f)(5)(E). The Board voted to modify the distribution of market access fees from the ADW wagers made by California residents on races conducted and hosted by thoroughbred racing association to 1.75 percent of ADW wagers made in the central and southern zones of SCOTWINC and 2.9 percent of ADW wagers in the northern zone of NCOTWINC. The Board also voted - pursuant to Business and Professions Code section 19605(a)(2)(A) - to alter the distribution of the takeout from 2.5 percent to 2.9 percent of the handle in the northern zone on races conducted and hosted by the thoroughbred association in the northern zone. The effective period of the modifications was from July 1, 2010 through June 30, 2011.

## ANALYSIS

The parties to the agreement approved by the Board in June 2010 are seeking approval of a similar agreement to be effective July 1, 2011 through June 30, 2012. The parties state additional funding to NCOTWINC and SCOTWINC is necessary to cover the pari-mutuel and simulcast costs incurred in the operation of brick and mortar simulcast wagering in California. Such expenses include the cost of labor for pari-mutuel clerks and charges for totalizator, data lines, signal dissemination and similar costs. The new agreement provides for a distribution to SCOTWINC of 2.15 percent of ADW wagers generated in the central and southern zones. The modification of the distribution of market access fees from ADW is permitted under Business and Professions Code section 19604(f)(5)(E). The agreement also provides for a distribution to NCOTWINC of 2.9 percent of ADW generated in the northern zone. Pursuant to Business and Professions Code section 19605.7(a)(2)(A) a 2.9 percent distribution to NCOTWINC for brick and mortar simulcast wagering in the northern zone is being requested. If the funding provided by the agreement is in excess of the actual pari-mutuel/simulcast costs, the excess will be split between track and purse commissions.

## RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the SCOTWINC and NCOTWINC representatives.

CALIFORNIA HORSE RACING BOARD

JUNE 23, 2011  
REGULAR BOARD MEETING

There is no board package material for Item 8

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING A FINDING PURSUANT TO  
BUSINESS AND PROFESSIONS CODE SECTIONS 19483 AND 19484  
THAT THE OWNERSHIP OF SANTA ANITA PARK RACE TRACK,  
GOLDEN GATE FIELDS AND XPRESSBET, BY A YET TO BE NAMED  
ENTITY CONTROLLED BY FRANK STRONACH, IS IN THE  
BEST INTEREST OF HORSE RACING, AND WHETHER SUCH  
OWNERSHIP BETTER SERVES THE PURPOSES OF  
BUSINESS AND PROFESSIONS CODE DIVISION 8 CHAPTER 4  
(HORSE RACING LAW)

Regular Board Meeting  
June 23, 2011

## BACKGROUND

Business and Professions Code section 19483 provides that unless the Board finds that the purpose of this chapter will be better served thereby, no license to conduct a horseracing meeting at any track shall be issued to any person or held by any person having any financial interest in the conduct of any horseracing meeting by any other person at any other track in this State. Ownership of stock in one corporation by another corporation constitutes a financial interest within the meaning of this section. Business and Professions Code section 19484 states that unless the Board finds that the purpose of this chapter will be better served thereby, no person licensed under this article to conduct a horseracing meeting at any place, track or inclosure shall own or acquire any stock or hold or obtain any other financial interest in any other track of another such licensee or in the operation thereof, or in the operation of authorized wagering on the results of horseraces at any such other track.

At the December 1998 Regular Board Meeting a discussion regarding the sale of Santa Anita Park Race Track (SA) was held. Cliff Goodrich, then representing the Los Angeles Turf Club (LATC), stated SA would be bought for \$126 million by MI Ventures, a subsidiary of Magna International Corporation, an Ontario, Canada, corporation. At the November 1999 Regular Board Meeting, CHRB staff reported MI Ventures had changed its name to MI Entertainment (ME), and was in escrow with the purchase of Golden Gate Fields (GGF) at a cost of \$87 million. Staff informed the Board that the sale of GGF to ME would result in dual ownership of California race tracks by ME, as it also owned SA. Dual ownership would require that the Board make a determination under Business and Professions Code sections 19483 and 19484 that the best interest of horse racing would be served. An ME representative spoke to the Board about his organization and its plans for the future of the GGF facility. The Board discussed the industry's concerns about the condition of the GGF backside, and whether ME would commit a definite amount towards repairs. The ME representative stated he did not believe a bond in an amount equal to the cost of repairs at GGF was necessary – due to ME's strong financial position. After additional discussion and endorsements of the sale by the Thoroughbred Owners of California and California Thoroughbred Trainers, the Board unanimously passed a motion to approve the request of ME to purchase GGF subject to the receipt of a letter from ME stating that

prior to December 31, 2000, ME would invest not less than \$5 million in immediate repairs at the race track.

On March 5, 2009, Magna Entertainment Corporation (MEC) filed voluntary petitions for relief under Chapter 11 of the US Bankruptcy Code. In April 2010, MI Developments, Inc. (MID) acquired control of SA, GGF and XpressBet by putting into effect a Plan of Reorganization for the assets of MEC, which was previously confirmed by the US Bankruptcy Court.

At the May 2010 Regular Board Meeting the issue of MID having a financial interest in more than one California horse racing facility was raised. The Board determined it did not have enough information to grant MID a waiver, so it voted to grant MID a short-term license to operate SA and GGF and XpressBet through July 22, 2010, and to revisit the issue at the July 22, 2010 Regular Board Meeting at Del Mar.

At its July 22, 2010 Regular Meeting the Board adopted a motion to extend the existing licenses of LATC, the Pacific Racing Association, and XpressBet until December 26, 2010, and the existing waiver of Business and Professions Code sections 19483 and 19484, prohibiting common ownership, only until the September 16, 2010 in order for their parent company MID, to prepare and submit to the Board, on or before September 1, 2010, a comprehensive plan setting forth its intended business practices and procedures for operation of SA and GGF thoroughbred horse racing venues with the utilization of XpressBet as an advance deposit wagering platform, all under common ownership. (At its August 10, 2010 Regular Meeting the Board extended the date of the waiver to September 23, 2010).

At its September 23, 2010 Regular Meeting the Board discussed at length the document submitted by MID. The Board concluded that the document did not provide adequate reasoning as to why a waiver of Business and Professions Code sections 19483 and 19484 would benefit California horse racing and the public. MID was directed to return to a future Regular Board Meeting with a document that fulfilled the Board's requirements, and if a waiver were granted it would include several conditions. The conditions included: 1) a greater level of detail in the MID financials. The Board would require audited financials for each racing association. 2) A resolution of the settlement agreement between California horse racing entities and MEC for payment of statutory funds owed. 3) An appropriate financial guarantee from MID, whether a bond or a letter of credit. 4) A condition regarding the SA racetrack and its maintenance. 5) Discussion of what would happen to the waiver if MID sold or closed one of its California facilities.

At its November 2010 Regular Meeting the Board discussed the proposed waiver under Business and Professions Code sections 19483 and 19484 to allow MID to own SA, GGF and XpressBet. The Board heard that MID fulfilled the conditions set by the Board at its September 2010 Regular Meeting. The MID actions included submission of standalone financials; the submission of a two-year bond for \$1.75 million; a comprehensive track maintenance agreement; a stipulation that the waiver would be revisited if MID divested itself of any of the three entities; expansion of the broadcasting of the California signal; and settlement of outstanding monies owed to various entities following the MEC bankruptcy. The Board voted to grant MID the waiver under Business and Professions Code sections 19483 and 19484.

SA, GGF and XpressBet operate in California pursuant to licenses granted by the California Horse Racing Board, and are properties of an MID subsidiary named MI Developments US Holdings Inc. Frank Stronach is the chairman and controlling shareholder (through the Stronach Trust) of MID.

Pursuant to a pending transaction, the stock of MI Developments US Holdings Inc. (the parent of SA, GGF and XpressBet) will be transferred from MID to a new entity controlled by Frank and Belinda Stronach through the Stronach Trust. The letter of credit previously tendered by MID is not applicable to the new entity controlled by Frank and Belinda Stronach.

#### ANALYSIS

Effective June 30, 2011, MI Developments US Holdings Inc. will be transferred to the entity 2280781 Ontario Inc., which is controlled by the Stronach Trust. In return, the Stronach Trust is relinquishing its voting control over MID. The transfer MI Developments to 2280781 Ontario Inc. revokes the waiver of Business and Professions Code sections 19483 and 19484 granted by the Board in November 2010. The new owners of the licensees will need to apply for a waiver of the Business and Professions Code provisions to permit common ownership of SA, GGF and XpressBet.

#### RECOMMENDATION

This item is presented for Board discussion and action.

Staff recommends the Board hear from the MID representative.



VIA FACSIMILE & U.S. MAIL

June 14, 2011

California Horse Racing Board  
 c/o Mr. Kirk Breed  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Ladies and Gentlemen:

We write to respectfully request that the CHRB grant a permanent waiver of Sections 19483 and 19484 of the California Business & Professions Code. If granted, this waiver would permit the common ownership of Santa Anita Park and Golden Gate Fields. If the CHRB believes that the provisions of Sections 19483 and 19484 also apply to the common ownership of XpressBet, Inc. then we request that the waiver apply to that entity as well.

BACKGROUND

As you are aware, a detailed hearing was held by the CHRB in the fall of 2010 relating to a request by MI Developments Inc. for a waiver of Sections 19483 and 19484. Attached hereto as Exhibit A is an organizational chart detailing the ownership structure that was approved by the CHRB in connection with that prior waiver request.

The entity labeled as "MI Developments US Holdings Inc." on Exhibit A is the parent company of Los Angeles Turf Club Incorporated (the legal entity that operates Santa Anita Park) and Pacific Racing Association (the legal entity that operates Golden Gate Fields). MI Developments US Holdings Inc. (the "Target Entity") also owns interests in numerous other racing and gaming related businesses, including Gulfstream Park, Maryland Jockey Club, and Amtote. The Target Entity is presently a subsidiary of a public company named MI Developments Inc. (the "Public Company"). Although the Public Company is publicly traded and thus owned by numerous shareholders, the Public Company has been controlled by the Stronach Trust through the ownership of a class of stock with majority voting rights. The Stronach Trust is an Ontario entity controlled by Frank Stronach and his daughter Belinda Stronach.

### THE PENDING TRANSACTION

Effective on June 30, 2011, the Target Entity (including its subsidiary businesses) will be transferred from the Public Company to an entity known as 2280781 Ontario Inc. (the "Acquisition Entity"). The Acquisition Entity is controlled by the Stronach Trust. As part of the transaction, the Stronach Trust is relinquishing its voting control over the Public Company. After the consummation of this pending transaction, the ownership structure will be as depicted on Exhibit B. The waiver requested in this letter is necessitated by this pending transaction, and the resulting reorganization of the ownership structure from the structure depicted on Exhibit A to the structure depicted on Exhibit B.

As can be seen from a review of Exhibits A and B, the impact of the pending transaction on Santa Anita Park and Golden Gate Fields is one of form more than substance. Both before and after the pending transaction, Santa Anita Park and Golden Gate Fields will be owned by the Target Entity (although the legal name of that entity will be changed after the closing of the transaction). Furthermore, both before and after the pending transaction, Frank Stronach and Belinda Stronach (through the Stronach Trust) will have ultimate control over Santa Anita Park and Golden Gate Fields. Prior to the closing of the transaction, this control results from the Stronach Trust's voting control over the Public Company; after the closing of the transaction, this control results from the Stronach Trust's control over the Acquisition Entity.

### FINANCIAL CIRCUMSTANCES

The Target Entity and all of its subsidiary businesses and assets, is being transferred to the Acquisition Entity free of any bank debt or other similar financing arrangement. Normal operating obligations and liabilities of Santa Anita Park, Golden Gate Fields and the other racing and gaming assets will continue to be incurred and paid in the normal course of business. However, there will be no existing corporate financing arrangements or other similar obligations assumed by the Acquisition Entity or imposed upon the Target Company or its assets as part of the pending transaction.

The Target Entity is being transferred to the Acquisition Entity with approximately Forty Million Dollars (\$40,000,000) of cash for working capital. Furthermore, the subsidiary businesses and assets of the Target Entity are expected to generate positive annual operating cash flow.

California Horse Racing Board  
June 14, 2011  
Page 3.

INDUSTRY COLLABORATION

The controlling shareholder and the management team of Santa Anita Park, Golden Gate Fields and the Acquisition Entity are fully aware of the many difficulties and challenges facing the racing industry in California. Notwithstanding these difficulties and challenges, we are committed to the success of the California racing industry. We believe collaboration among all of the participants of the California racing industry is necessary in order for California racing to succeed. As such, we intend to work closely with the rest of the California racing industry to find and implement solutions to the problems we presently face. We are optimistic that working together these problems can be solved.

Very truly yours,

  
Greg Avioli

GA:sm

**EXHIBIT A**

**Current Organizational Structure – Los Angeles Turf Club Incorporated and Pacific Racing Association**

**445327 Ontario Limited**  
Directors:  
 Frank Stronach  
 Belinda Stronach  
Officers:  
 Frank Stronach (Chairman)  
 Belinda Stronach (President)

445327 Ontario Limited is controlled by the Stronach Family by Frank and Belinda Stronach, through the Stronach Trust (Ontario)

**MI DEVELOPMENTS INC.**  
 (Public Company – Shares traded on the Toronto Stock Exchange and the New York Stock Exchange)

MI Developments Inc. is controlled by the Stronach Family by Frank and Belinda Stronach, through the Stronach Trust (Ontario) (56.8 Voting Interest)

**MI Developments US Holdings Inc.**  
Directors:  
 Donald Cameron  
 John Simonetti  
Officers:  
 Donald Cameron – Chief Operating Officer  
 John Simonetti – Interim Chief Financial Officer

**MI Developments US Financing Inc.**  
Directors:  
 Same as MI Developments US Holdings Inc.  
Officers:  
 Same as MI Developments US Holdings Inc.

**MI Developments US Investments Inc.**  
Directors:  
 Same as MI Developments US Holdings Inc.  
Officers:  
 Same as MI Developments US Holdings Inc.

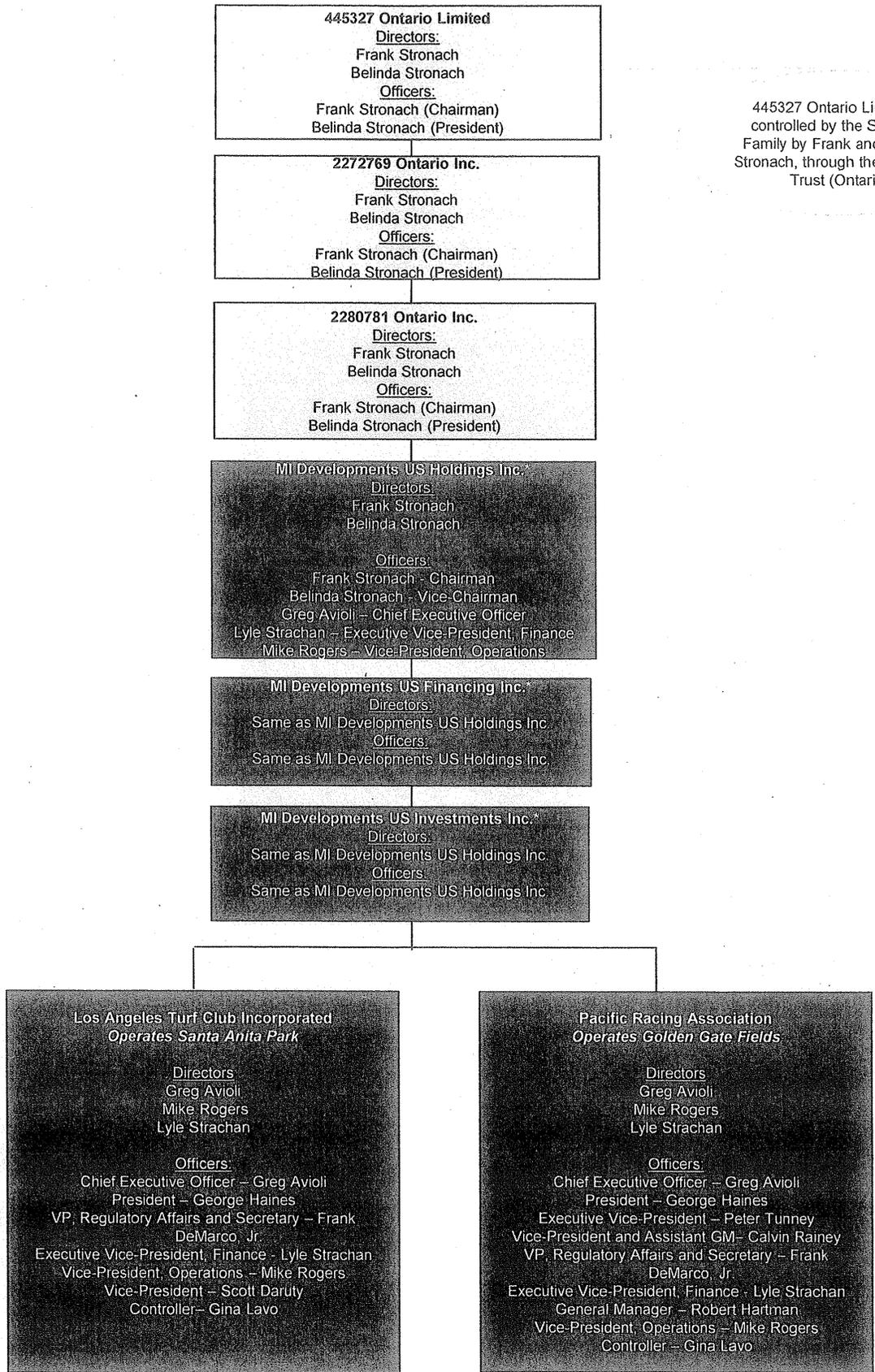
**Los Angeles Turf Club Incorporated**  
*Operates Santa Anita Park*  
Directors:  
 Greg Avioli  
 Mike Rogers  
 Lyle Strachan  
Officers:  
 Chief Executive Officer – Greg Avioli  
 President – George Haines  
 VP, Regulatory Affairs and Secretary – Frank DeMarco, Jr.  
 Executive Vice-President, Finance – Lyle Strachan  
 Vice-President, Operations – Mike Rogers  
 Vice-President – Scott Daruty  
 Controller – Gina Lavo

**Pacific Racing Association**  
*Operates Golden Gate Fields*  
Directors:  
 Greg Avioli  
 Mike Rogers  
 Lyle Strachan  
Officers:  
 Chief Executive Officer – Greg Avioli  
 President – George Haines  
 Executive Vice-President – Peter Tunney  
 Vice-President and Assistant General Manager – Calvin Rainey  
 VP, Regulatory Affairs and Secretary – Frank DeMarco, Jr.  
 Executive Vice-President, Finance – Lyle Strachan  
 General Manager – Robert Hartman  
 Vice-President, Operations – Mike Rogers  
 Controller – Gina Lavo

Note:  
 • Ownership is 100% unless otherwise indicated

Exhibit B

Proposed Organizational Structure – Los Angeles Turf Club Incorporated and Pacific Racing Association



445327 Ontario Limited is controlled by the Stronach Family by Frank and Belinda Stronach, through the Stronach Trust (Ontario)

Notes:

\* Ownership is 100% unless otherwise indicated  
\* Name to be changed after closing of the transaction

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
OAK TREE RACING ASSOCIATION IN THE  
AMOUNT OF \$23,962 TO 8 BENEFICIARIES

Regular Board Meeting  
06/23/2011

## BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code Section 19556 (b) provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund, and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

## ANALYSIS

Oak Tree Racing Association is requesting approval to distribute charity day proceeds from the Oak Tree race meeting at Hollywood Park from 09/29/2010 through 10/31/2010. According to the Handle and Attendance CHRIMS report, 2/10 of 1% of total on-track handle on live races conducted at Hollywood Park, for the Oak Tree Racing Association \$23,962 should be distributed as charity proceeds. The list of the nonprofit organizations selected and amounts to be distributed is on the document.

## RECOMMENDATION

This item is presented for Board discussion and approval is recommended.

**CHRB ANALYSIS**  
**OAK TREE RACING ASSOCIATION 2010 Charity Day Proceeds**

Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
California Equine Retirement Foundation	1,500.00	6%		a
CA Thoroughbred Horsemen's Foundation	5,000.00	21%	minimum of 5%	b
Permanently Disabled Jockeys Fund	4,793.00	20%	minimum of 20%	d
No. California Equine Foundation	1,000.00	4%		a
So. California Equine Foundation	2,500.00	10%		a
Tranquility Farm (Harry A. Biszantz Mem. Center)	3,000.00	13%		a
United Pegasus Foundation	1,000.00	4%		a
Winners Foundation	5,169.00	22%	minimum of 5%	c
<b>Total</b>	<b>23,962.00</b>	<b>100%</b>	minimum of 50%	e
<b>Notes:</b>				
<b>a</b>	20% to charities associated with the horse racing industry (BP 19556 (b) )			
<b>b</b>	5% to welfare fund for backstretch personnel (BP 19556 (b))			
<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))			
<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))			
<b>e</b>	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			



RECEIVED  
CHRB  
2011 MAY 23 P. 4/3

Sherwood C. Chillingworth  
Executive Vice-President

May 18, 2011

Mr. Kirk Breed  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Kirk:

The audited net proceeds from Charity Racing Days during the 2010 Oak Tree meet came to \$23,962.00

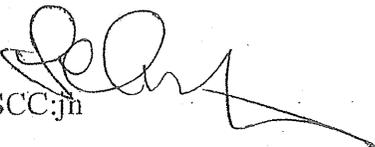
Therefore the Directors of the Oak Tree Charitable Foundation respectfully request CHRB approval at the June 23, 2011 regular meeting to disburse a total of \$23,962.00 to the attached schedule of eligible beneficiaries.

Contributions to thoroughbred industry charities total 100% of the distribution. We also contribute additional monies for equine related purposes from our Foundation and Racing Association funds.

Should you wish to review them, copies of grant applications from the selected organizations are available.

Sincerely,

OAK TREE CHARITABLE FOUNDATION

SCC:jh 

Attachment



## STAFF ANALYSIS

APPROVAL OF CONTRACTS FOR 2011/12

STEWARD, HEARING OFFICER,  
VETERINARIANS, DRUG TESTING  
AND SERVICE CONTRACTS

Regular Board Meeting  
June 23, 2011

**BACKGROUND**

Pursuant to Section 2.06 of the State Contracting Manual, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a motion approving and authorizing execution of the agreement/contract.

**ANALYSIS**

The attached list of service contracts include a one-year extension to routine/out of competition drug testing as a combined single contract. The service components of the combined contract will continue the expanded drug testing strategies specific to each program, focusing the out of competition program on providing an effective method of serving as a deterrent; while the routine testing segment will continue to analyze samples, testing for chemical findings indicative of the presence of a drug substance foreign to the horse. The cost per sample tested remains unchanged.

UCD has recommended a few contract language clean up changes to the postmortem contract program, involving some cost increases that are reflected in the attached annual cost. The Equine Medical Director contract will remain unchanged, extending one additional year at the same annual rate. The Board approved both a \$950,000 contract with the J.D. Wheat Laboratory at UC Davis to work in concert with the current postmortem program and \$250,000 for race track soil testing conducted by the Racing Surfaces Testing Laboratory in Maine.

Included is a list of proposed Steward, Hearing Officer, and Official Veterinarian contractors with estimated annual costs. Hearing Officer contract costs are based on an estimated caseload using the previous fiscal year as a guide. All steward and each veterinarian program contract costs are estimates based on proposed assignments for the remainder of the 2011 racing calendar and for the first half of the 2012 racing year. No assignment guarantees are assumed based on the attached list.

**RECOMMENDATION**

Staff recommends the Board approve the attached list of steward, official veterinarian, hearing officer and various service contracts for Fiscal Year 2011/12.

2011/2012 CONTRACTS – ESTIMATED COSTS

SERVICE CONTRACTS	STEWARD SERVICES
<p><u>REGENTS, UNIVERSITY OF CA – DAVIS</u></p> <p>A) Combined Drug Testing              Original                   \$1,987,250              1 year extension   \$1,987,250</p> <p>B) Postmortem Exams              2 Year Original       \$180,000              2 year extension   \$297,000</p> <p>C) Equine Medical Director              Original               \$303,000              1 year extension   \$303,000</p> <p><u>HEARING OFFICERS – 1 Year Contracts</u></p> <p>A) Scott Chaney           \$25,000            B) Steffan Imhoff       \$25,000            C) Richard "Bon" Smith \$ 5,000            D) Richard Margarita   \$10,000</p>	<p>Grant Baker            Charles "Scott" Chaney            Albert Christiansen            James Dreyer            John Herbuveaux            Luis Jauregui            Darrel McHargue            Brent McLaren            Thomas McCarthy            William Meyers            Ruben Moreno            Dennis Nevin            Paul Nicolo            Michael W. "Wayne" Oke            Jeffrey Salmon            Patricia "Kim" Sawyer            George Slender            Victor Stauffer            Thomas Ward            Randall Winick</p> <p>TOTAL CONTRACTS: \$ 2,200,000.00</p>
<p>Ehlert Business Group -court reporting              1 year extension   \$20,000</p> <p>State Controllers Office              Expedite Claims Processing   \$10,000</p> <p>Greg On Camera              Webcasting               \$24,000</p> <p>Western Weather Group              Weather station maintenance   \$12,000</p> <p>Cal Expo/State Fair              Walk in freezer storage space   \$ 5,500</p> <p>Capture Technologies              Photo ID system maintenance   \$ 9,000</p>	<p><u>OFFICIAL VETERINARIANS</u></p> <p>Dr. Jill Bailey            Dr. Gary Beck            Dr. William Bell            Dr. Donald Dooley            Dr. Forrest Franklin            Dr. Robert Goodbary            Dr. Barrie Grant            Dr. Sidney Gustafson            Dr. Diane Isbell            Dr. Heather Kerr</p> <p>TOTAL CONTRACTS: \$ 520,000.00</p>