

CALIFORNIA HORSE RACING BOARD  
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## REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, July 20, 2017**, commencing at **9:30 a.m.**, at the **Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of June 22, 2017.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion by the Board regarding the **City of Arcadia's request to discuss on-track Advance Deposit Wagering (ADW) and the distributions currently made to cities and counties, pursuant to Business and Professions Code sections 19610.3 and 19610.4.**
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F), at Los Alamitos**, commencing September 6, 2017 through September 26, 2017, inclusive.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the California Authority of Racing Fairs (CARF) (F), at Pleasanton, (Race meeting to be called "Oak Tree at Pleasanton")** commencing September 20, 2017 through October 3, 2017, inclusive.
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T), at Santa Anita**, commencing September 27, 2017 through October 31, 2017, inclusive.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Big Fresno Fair (F), at Fresno**, commencing October 4, 2017 through October 17, 2017, inclusive.

9. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) of Lien Games Racing, LLC, dba OffTrackBetting.com for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1663, Entry of Claimed Horse**, to provide that a horse claimed out of a claiming race is not eligible to race in any State other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.
11. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1865, Altering of Sex of Horse**, to provide that a horse be scratched if the true sex of the horse is not reported to the racing office prior to the opening of wagering for the race in which the horse is entered.
12. Discussion and action by the Board regarding a **presentation from Northern California Off Track Wagering Inc. (NCOTWINC) describing the responsibilities of the organization and the status of development of mini-satellite wagering facilities in Northern California**.
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman

George Krikorian, 1<sup>st</sup> Vice Chairman

Madeline Auerbach, 2<sup>nd</sup> Vice Chairman

Jesse H. Choper, Member

Araceli Ruano, Member

Alex Solis, Member

Rick Baedeker, Executive Director

Jacqueline Wagner, Assistant Executive Director

Item 1

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **June 22, 2017**.

Present: George Krikorian, First Vice-Chairman  
Madeline Auerbach, Second Vice-Chairman  
Jesse H. Choper, Member  
Araceli Ruano, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
John McDonough, General Counsel

#### **APPROVAL OF THE MINUTES OF MAY 25, 2017**

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First Vice-Chairman Krikorian asked for approval of the minutes of the Regular Meeting of May 25, 2017. Commissioner Ruano **motioned** to approve the minutes. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Krikorian, Choper, Ruano. Nay: None. Motion carried.

#### **EXECUTIVE DIRECTOR'S REPORT.**

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Executive Director Rick Baedeker reported that CHRB Equine Medical Director Dr. Arthur worked with Del Mar Thoroughbred Club (DMTC) to make procedural changes before the upcoming DMTC race meeting to reduce injury risk for horses. Dr. Arthur used the InCompass system to create spreadsheets with data highlighting at-risk horses at DMTC who: were coming back from significant layoffs; had a history of being placed on the veterinarian's list or steward's list, were four-year-olds who have never started; or had other vulnerabilities that would put them at higher risk of injury. Executive Director Baedeker said four official veterinarians would work during the DMTC race meet to identify and monitor at-risk horses. He said DMTC and the Board needed cooperation from industry members including jockeys, owners and trainers to prevent at-

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risk horses from running. Equine safety and welfare were top priorities for the California horse racing industry. Executive Director Baedeker stated a couple controversial incidents occurred during the current Los Angeles Turf Club (LATC) race meeting. The first incident involved an announcement that a horse had been gelded just before it raced. This was the final leg for a Pick 6. CHRB regulations currently provided that failure to report that a horse was gelded would result in a fine. He stated Chairman Winner indicated he would like to discuss a possible amendment at the July 2017 Board meeting. Executive Director Baedeker said the second incident during the LATC race meeting involved horse interference. In this instance, the winning horse cost another horse two lengths and a placing for show money by a nose. Although some may believe the interference was minimal, it was still interference, and the stewards enforced CHRB rules and regulations. Stewards' decisions were reviewed by CHRB Chief Steward Darrel McHargue. The stewards met at least twice per year to review interference during a race. The purpose of the review process was to create consistency in the enforcement of CHRB rules and regulations throughout the state. He said the stewards should be commended for making a decision that they believed was right, while knowing it may upset a lot of people. Executive Director Baedeker reported that with one fewer race day and night for May 2017 compared to May 2016, daytime handle decreased by 5.39 percent, nighttime handle decreased by 0.8 percent and overall handle for May was down 4.96 percent. Year-to-date daytime handle decreased by 4.2 percent and nighttime handle decreased 2.9 percent, with an overall loss of 4.09 percent over the same time in 2016.

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John Valenzuela, Pari-Mutuel Employees Guild - Local 280 (Local 280), stated Local 280 opposed going to three days of racing. He said based on the current number of racing days, labor was losing 25 percent of its weekly income. First Vice-Chairman Krikorian stated he believed everyone in the industry would prefer more race dates, but the horse inventory was not there. Second Vice-Chairman Auerbach said it was a good thing for labor to note that no one was doing well; owners, trainers, breeders, jockeys, and backside workers were taking the same hit. She stated the Board understood his concerns and let him know that labor was not alone. Mr. Valenzuela said Local 280 was always there to help the industry. He wanted to make sure labor was heard and included in decisions moving forward. Labor was the racing industry's best marketing tool; it had an incentive to keep the industry going because success meant a paycheck. Tom Beck, Arcadia City Council, said he wanted to talk briefly about the Tucker Bill. The 1987 legislation gave cities one-third of one percent of on-track wagers to compensate them for the resources a city provided to a race track. Arcadia recently replaced a sewer pipe that serviced the track that cost approximately the same amount of money that the city received from the track for the year. He stated the racetrack was a drain on Arcadia's resources. The Tucker Bill was negotiated before there were cell phones and the internet where people could wager from devices instead of going to the window to place a wager. He said Arcadia's view was that a wager, whether placed from a device while on the premises of a track, or at a window, was fair game. Arcadia wanted a fair shake and would like to continue discussions with all the stakeholders to reach a resolution that was fair to Arcadia as well.

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**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF WATCH AND WAGER.COM, LLC IN THE AMOUNT OF \$5,400 TO SIX BENEFICIARIES.**

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Ben Kenney, Watch and Wager.com, LLC (WAW), stated WAW requested approval to distribute race day charity proceeds to six equine-related charities in the amount of \$5,400. Second Vice-Chairman Auerbach **motioned** to approve the distribution of race day charity proceeds to six beneficiaries in the amount of \$5,400. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Solis, Auerbach, Ruano, Krikorian, Choper. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T), AT LOS ALAMITOS, COMMENCING JULY 5, 2017 THROUGH JULY 18, 2017, INCLUSIVE.**

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Jack Liebau, Los Alamitos Racing Association (Los Alamitos), said he was filling in for Brad McKinzie who usually represented Los Alamitos. He stated Los Alamitos proposed to operate a thoroughbred horse racing meeting for eight days commencing July 5, 2017 through July 18, 2017, inclusive. Mr. Liebau said he was aware Los Alamitos had some outstanding items for its race meet application. He said the outstanding items would be completed and sent to the Board. Second Vice-Chairman Auerbach said Mr. McKinzie had a long history in the racing industry and the fact that he was dealing with issues that prevented him from submitting the outstanding items, the prudent thing to do was to approve Los Alamitos' race meet application with a contingency that the documents were received within a stated timeframe. Orlando Gutierrez, Marketing Director of Los Alamitos, said Los Alamitos would put most of its marketing toward promoting its opening Saturday; which would include the Great Lady M. Stakes race, and a handicapping tournament. Los Alamitos would have traditional marketing; television with Spectrum and Direct TV; and a

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program with ESPNDeportes to introduce racing to Spanish speakers. Second Vice-Chairman Auerbach **motioned** to approve the Los Alamitos race meet application commencing July 5, 2017 through July 18, 2017, pending the receipt of outstanding items by June 30, 2017. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Auerbach, Solis, Krikorian. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA, COMMENCING AUGUST 2, 2017 THROUGH AUGUST 22, 2017, INCLUSIVE.**

Executive Director Rick Baedeker stated the race meet agreements required for the Sonoma County Fair (Sonoma) race meet application were submitted before the Board meeting, and were no longer outstanding. Becky Bartling, Sonoma, said Sonoma planned to race 11 days between August 3, 2017 and August 20, 2017. She said the dates were a significant change from 2016, but Sonoma was cautiously optimistic for its upcoming race meeting and fair. She said the workers' compensation was the only outstanding item; it would be automatically renewed by the County of Sonoma July 1, 2017. Commissioner Choper asked why the race meet agreements were delayed. Ms. Bartling stated Sonoma negotiated with the Thoroughbred Owners of California (TOC) to come to a fair and equitable agreement, which took some time. First Vice-Chairman Krikorian said the Board was disappointed when supporting documents were submitted shortly before an item was heard. Negotiations should be completed at least one month in advance to prevent delays and to give the Board an opportunity to review everything that was required to be turned in with the applications. He stated the different organizations involved in the horse racing industry should support one another and be more responsive when approvals were needed. Ms. Bartling said Sonoma would do its best to get the agreements signed in advance for future applications. Second

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Vice-Chairman Auerbach asked who Sonoma County's workers' compensation covered. Stacey Lapham, Sonoma, stated fair racing employees were covered under the insurance. Executive Director Baedeker said employees in the racing office, mutuel clerks and people such as security guards on the race tracks had to be covered by workers' compensation. Commissioner Solis stated Sonoma amended its application. Originally, Sonoma had removed its County Stake race. Sonoma amended the application to add the race back in after the Board package was printed. First Vice-Chairman Krikorian asked if one had to pay fair admission to get to the racetrack. Ms. Bartling said fairgoers could watch the races for free with a fair ticket, or they could pay for reserve seating. She said the fair had entertainment opportunities that gave fairgoers a chance to be exposed to the races. First Vice-Chairman Krikorian asked if one could watch the races without buying fair tickets. Ms. Bartling said a person had to buy a fair ticket to get to the racetrack. Commissioner Solis **motioned** to approve the Sonoma County Fair application to conduct a horse race meeting commencing August 2, 2017 through August 22, 2017. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR (F) AT FERNDALE, COMMENCING AUGUST 23, 2017 THROUGH SEPTEMBER 5, 2017, INCLUSIVE.**

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Richard Conway, Humboldt County Fair (Humboldt), said Humboldt proposed to operate a race meeting commencing August 23, 2017 through September 5, 2017, inclusive. He stated Humboldt recently received its new rail product which would be installed prior to the beginning of the race meet. He stated Humboldt added an additional day of racing and would run on Labor Day.

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Humboldt also hired a new in-house marketing director and revamped its old marketing recruitment campaigns. Commissioner Ruano asked how Humboldt reworked its marketing. Mr. Conway said the fair brought in a younger group with a focus on social media and television. Commissioner Choper asked why Humboldt saw a significant drop in handle and attendance in 2016. Mr. Conway stated attendance was off a bit, and the drop in handle was due to a loss in host days. Executive Director Baedeker said there was some controversy in 2016 over ownership of dark days and who was host; whether it was the operating meet at Golden Gate Fields or the operating meet at Ferndale. Previously there had been a handshake agreement between the parties that awarded most of the host day ownership to Ferndale, but that was changed and Ferndale lost the associated revenue. Commissioner Choper **motioned** to approve the Humboldt County Fair race meet application commencing August 23, 2017 through September 5, 2017. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 23, 2017 THROUGH SEPTEMBER 19, 2017, INCLUSIVE.**

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Cal Rainey, Pacific Racing Association II (PRA), stated PRA requested approval for its race meet commencing August 23, 2017 through September 19, 2017, which included 16 live racing days. He said the PRA December 2016 through June 2017 meeting concluded June 20, 2017 with 99 live racing days, despite 40 inches of rain. He said three off-track wagering sites closed in Northern California, which hurt handle. Mr. Rainey said PRA added 69 new stalls with 12 tack rooms and 10 hay rooms by the end of the race meeting. Joseph Rakowski, PRA, stated PRA

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increased attendance 5.6 percent overall and increased on-track handle 3.0 percent with a successful marketing strategy. Social media marketing attracted the general public in and around San Francisco. He said PRA advertised on a Bay Area Rapid Transit (BART) shuttle with a route through Albany and Berkeley. PRA added new commercials in Cantonese and Mandarin for the largest Chinese news stations in the area. Commissioner Choper asked if PRA was able to determine if patrons travelled from across the bay. Mr. Rakowski said PRA could determine where patrons came from through Facebook. Commissioner Choper asked if PRA tracked how people crossed the bay to attend the race meeting. Mr. Rainey said BART provided about ten to 15 percent of the PRA attendance. PRA had a free shuttle from BART to Golden Gate Fields. Commissioner Choper said it might be helpful to determine how much of a role transportation difficulties played in preventing people from attending race meetings at Golden Gate Fields. Driving across a bridge into San Francisco could take hours at times. Second Vice-Chairman Auerbach asked if PRA's marketing strategies were all new since Mr. Rakowski joined the organization. She said increases in PRA's attendance and handle were significant, and the other tracks should look into similar marketing changes if the increases resulted directly from changes to the marketing strategy. Mr. Rakowski stated social media was a great tool to approach younger generations who could be new customers. He said PRA utilized social media to make the racetrack more welcoming to new customers and increase interest with other forms of entertainment such as beer and wine festivals. Once new customers arrived, many did not know how to place wagers; track ambassadors were available to answer questions. The ambassadors helped convert new customers into regular players. Commissioner Choper said California's racetracks had to find ways to get customers to come back; not just wager the one time they visited. Commissioner Solis asked how PRA's new stalls handled the rain. He asked if PRA increased the height of the wall between the new stalls

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and the nearby freeway. Mr. Rainey said the stalls along the freeway did not have any openings to the back, and were 12 to 14 feet high; as high as the freeway barrier, which made the whole area quieter. The tack rooms were insulated, which reduced freeway noise as well. Commissioner Solis asked if the area was safe. Mr. Rainey said the area was safe. First Vice-Chairman Krikorian stated PRA previously had an agreement not to run lower-level claiming races when it ran concurrent with Humboldt County Fair (Ferndale). He asked if the agreement was still active, or if PRA and Ferndale worked out a new agreement. Joe Morris, PRA, stated PRA would not run any claiming races below \$5,000; the same as the past few years. Second Vice-Chairman Auerbach asked for a status update on the turf course. Mr. Rainey stated nearly 100 races were run on the turf course at the 2016-2017 race meeting. The turf was not used until April due to the rain, and then it was determined to be safe for the remainder of the meeting. PRA met with the jockeys one week before the race meeting concluded and discussed what could be done to maintain the turf, such as not running too many races on the turf towards the end of the race meeting. Commissioner Solis asked if PRA had outstanding items for the application. Mr. Rainey said the application was complete with the exception of the bond which would automatically renew August 17, 2017 and the workers' compensation which would renew June 30, 2017. Executive Director Baedeker said the PRA application to conduct a race meeting proved applications could be turned in and heard without being moved from one meeting to the next due to outstanding items. Second Vice-Chairman Auerbach stated the Board appreciated that the application was complete, except for the renewing documents. Second Vice-Chairman Auerbach **motioned** to approve the Pacific Racing Association II application to conduct a horse race meeting commencing August 23, 2017 through September 19, 2017. Commissioner Choper **seconded** the motion, which was

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**unanimously carried.** Roll Call Vote: Aye: Solis, Auerbach, Krikorian Choper, Ruano. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF NEWCO VENTURES NORTH AMERICA, LLC., DBA NYRABETS.COM, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.**

Executive Director Rick Baedeker said as background, the Board was focused on the financials of the Advance Deposit Wagering (ADW) companies in California. The Board requested profit and loss statements from the ADWs which had been submitted. However, staff had no way of verifying the accuracy of the profit and loss statements without an audit, and the Board lacked the resources to perform such onsite work. Staff reviewed the statutory authority of the Board and believed that CHRB had the clear right to require an audit of financial data or any other records within the ADW business. As a result, the Board recommended the ADW license renewal applications going forward be amended to require the following, not limited to but including: an audited financial report for the most recently completed reporting period, calendar or fiscal year, including a profit and loss statement with revenues and expenses calculated according to market share in California; a monthly reporting of address changes for California ADW customers; and a quarterly reporting of accounts that had been dormant for six months or longer. He stated in order to change the application, the document had to follow the same procedures as changing a regulation, which required the 45 day notice period. He said staff would draft proposed changes and submit them before the Board at a later date. If the Board decided to move forward with the process, the next time the ADWs came before the Board to renew their licenses, they would have been required to submit the additional financial information as part of their license applications. Second Vice-

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Chairman Auerbach said the proposed changes had nothing to do with the ADWs current license applications, and was more to inform the ADWs of what the Board was contemplating. First Vice-Chairman Krikorian stated there should be further discussions as to what the rules and requirements would be; they should not be punitive but should be consistent. Second Vice-Chairman Auerbach said she thought the approval license term for the ADWs should be 15 months to allow enough time for any proposed changes to go through the rulemaking process. Executive Director Rick Baedeker stated 15 months may not be enough time due to the cumbersome process of making changes to a regulation and instead suggested an 18 month license term. Tony Allevato, NYRAbets, said NYRAbets was a newcomer to the market, having launched nationally after only being in New York, and had gone through this process in multiple states. He stated it was unusual that NYRAbets was licensed in October 2016; issued another license from November 2016 to February 2017; then licensed again from February 2017 through July 2017. He said NYRAbets was requesting a two-year license which would allow NYRAbets time to provide the additional information the Board was requesting. Carter Vance, Churchill Downs, stated his understanding was that Churchill Downs was granted its short term license in order to meet the geo-location requirement, and then the license term would fall to the regulation which provided a two year license term. He believed the license application would be approved through the end of 2018. Executive Director Baedeker said he did not believe the regulation required a two year license, rather it was up to two years. The Board had the discretion to grant a license shorter than two years but not more. Commissioner Choper said he was concerned about ADWs operating without audited financial statements; the Board would be at risk the entire time of the license. Second Vice-Chairman Auerbach said the Board had been operating that way for years. Commissioner Choper stated he wanted the proposed amendment to provide certified audited financials in place

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as quickly as possible, and any subsequent renewals could be granted a two year license term. First Vice-Chairman Krikorian stated the Board was going to discuss how it wanted to amend the regulation moving forward. The ADW providers would need to comply with the changes once the amendment was approved. The approval process would take 18 to 24 months, and the Board had to decide if the approvals for the ADW providers would be 18 or 24 months. Commissioner Choper said the approval should be for as short a time as possible that was consistent with what the ADW providers could do in good faith to produce the audited financial reports and the remaining outstanding items. Second Vice-Chairman Auerbach stated the ADW providers could probably turn in the financial statements quickly; it was the state process for approving the regulation that would take time. She said the Board should be realistic and approve the ADW providers for a reasonable amount of time to get the amendment in place as well as give the ADW providers a chance to prepare for the change. Mr. Allevato stated the first step would be for the regulation to change. Second Vice-Chairman Auerbach stated the Board should approve the licenses for an 18-month period. Commissioner Ruano asked for an update on the outstanding items for the applications. Mr. Allevato said the labor agreement was the only outstanding item for NYRAbets. NYRAbets had been communicating with John Valenzuela of Pari-Mutuel Employees Guild - Local 280 (Local 280). John Valenzuela, Local 280, stated the labor agreement was outstanding for all the ADW providers. Local 280 was focused on creating jobs; specifically wanted eight, full-time jobs funded by the ADW providers. The Thoroughbred Owners of California (TOC) and Del Mar Thoroughbred Club joined Local 280 for a meeting with lobbyists in March 2017, and there was positive discussion regarding creating jobs. Discussions stalled after three Local 280 members filed a lawsuit against their employer. Mr. Valenzuela stated legislators attended a tour around Santa Anita Park in April 2017 and learned about Local 280 functions. Mr.

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Valenzuela stated NYRAbets contacted Local 280 to continue discussions regarding job creation. Some ADW providers said they were open to discussions, while others had no intention of creating jobs. He said Local 280 and TVG had not had any discussions since January 2017. First Vice-Chairman Krikorian said the Board encouraged ADW providers and Local 280 to continue discussions to resolve the matter. Mr. Valenzuela stated he opposed the Board approving the ADW providers for two-years. He said the Board should approve the licenses for three or six months, which would emphasize completing the labor agreement. Greg Avioli, TOC, said Local 280 was a great legislative partner. TOC tried to create eight on-track concierge positions to assist customers opening ADW accounts. The attempt was delayed due to the unexpected lawsuit Mr. Valenzuela mentioned because it made the racetracks less interested in negotiating a deal. Mr. Valenzuela stated the Del Mar Fair had a display symbolizing American Pharoah with jockey Victor Espinoza aboard. A kiosk next to the display was manned by a Local 280 member promoting the upcoming DMTC race meeting. The kiosk position was similar to the ones Local 280 wanted to create. Carter Vance, Churchill Downs Technology Initiatives Company, dba Twinspires.com and dba BetAmerica (Churchill Downs), stated Local 280's desire to create jobs was a separate issue from the labor agreement required for the ADW license. Gene Chabrier, Xpressbet, said Xpressbet submitted a draft labor agreement to Local 280 as required by California Business and Professions Code 19604. Local 280 had not responded to Xpressbet. Nicole Foley, NYRAbets, said NYRAbets was willing to sign the labor agreement, which was a neutrality agreement signed for the last ten years, however Local 280 was not willing to sign the labor agreement. Mr. Valenzuela stated Local 280 had signed neutrality agreements in good faith that ADW providers would create jobs in the future. He said Business and Professions Code 19604 was meant with the intent that ADW providers would have jobs in California. Second Vice-

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Chairman Auerbach **motioned** to approve the application to conduct Advance Deposit Wagering of NYRABets.com, Churchill Downs Technology Initiatives, dba TwinSPIRES and BetAmerica, Watch and Wager.com, LLC, Xpressbet, LLC, and ODS Technologies, L.P. dba TVG for a period of 18 months. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Auerbach, Solis, Krikorian. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF CHURCHILL DOWNS TECHNOLOGY INITIATIVES COMPANY, DBA TWINSPIRES.COM, AND BET AMERICA FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.**

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This item was combined and discussed with agenda item nine.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF WATCH AND WAGER.COM, LLC, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.**

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This item was combined and discussed with agenda item nine.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF XPRESSBET, LLC, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.**

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This item was combined and discussed with agenda item nine.

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**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF ODS TECHNOLOGIES, L.P., DBA TVG, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.**

This item was combined and discussed with agenda item nine.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE FISCAL YEAR 2017/2018 AGREEMENT PROVIDING FUNDING SUPPORT FOR THE BOARD.**

Francisco Gonzalez, CHRB staff, stated the annual CHRB budget previously included a number of pro rata charges. The California legislature decided pro rata charges were fixed charges and it was no longer necessary for them to be appropriated. Therefore, the 2017/2018 budget did not include the pro rata charges, and it looked different compared to previous budgets presented to the Board. Executive Director Rick Baedeker said the charges were assessments against each state department issued by the state to cover the central services used in day-to-day business, such as the State Controller's Office providing payroll services for other state agencies. The pro rata charges were still part of the budget; they were being displayed differently within the budget. Mr. Gonzalez said the 2017/2018 budget had increased over the 2016/2017 budget mainly due to the percentage increase to pay for the pro rata charges. Executive Director Baedeker said CHRB costs had not increased. Mr. Gonzalez said Executive Director Baedeker was correct; however, the cost of the pro rata fees had increased. Wendy Voss, CHRB staff, stated the pro rata fees were assessed annually, and went up or down based on administrative costs to the state. CHRB had to pay a proportionate share of the costs. The budget had to be approved by the Department of Finance and then the budget had to be presented to the California horse racing industry. Governor Jerry Brown decided pro rata would not be seen in the Governor's budget display, although it would still be an incurred expenditure. Ms. Voss stated the actual formula, signed and approved by the

**Proceedings of the Regular Meeting of June 22, 2017**

industry, for funding support of the CHRB budget was presented to the Board and staff requested Board approval for the 2017/2018 fiscal year. First Vice-Chairman Krikorian said the required approvals were complete. Second Vice-Chairman Auerbach asked if CHRB would be out of work if the Board did not approve the budget. Ms. Voss stated staff would have to return at the July 2017 meeting and the Board would not be paid in June. Commissioner Ruano **motioned** to approve the fiscal year 2017/2018 agreement providing funding support for the Board. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Choper, Ruano, Krikorian. Nay: None. Motion Carried.

**MEETING ADJOURNED AT 11:12 A.M.**

**Proceedings of the Regular Meeting of June 22, 2017**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

---

Executive Director

**California Horse Racing Board**

**JULY 20, 2017**

**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**California Horse Racing Board**

**JULY 20, 2017**

**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

## STAFF ANALYSIS

## DISCUSSION BY THE BOARD REGARDING THE CITY OF ARCADIA'S REQUEST TO DISCUSS ON-TRACK ADVANCE DEPOSIT WAGERING (ADW) AND THE DISTRIBUTIONS CURRENTLY MADE TO CITIES AND COUNTIES, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTIONS 19610.3 AND 19610.4.

Regular Board Meeting  
July 20, 2017

## ISSUE

In June 2017, the City of Arcadia officially requested that the issue of live on-track wagers placed through Advanced Deposit Wagering companies be heard by the Board at its July 2017 Regular Meeting. Specifically, the City stated that it felt compelled to request the discussion "because the ADW's, thoroughbred owners and tracks, recently reached agreement on a new percentage split from these specific wagers that excluded the host cities."

## BACKGROUND

Business and Professions Code section 19610.3 currently states:

Every association that conducts a racing meeting may elect permanently to deduct an additional amount up to 0.33 of 1 percent from the total pari-mutuel wagers placed within its inclosure . . . [and] shall be retained by the association or fair for the payment of possessory interest taxes, if any, assessed against the organization described in Section 19608.2, the racing association, or fair, and after payment of these taxes shall be distributed to the city in which the racing meeting is conducted or, if the meeting is conducted outside the limits of any city, to the county in which the racing meeting is conducted. If a city or county has elected by ordinance to receive a distribution from a racing association under this section, it shall not at any time thereafter assess or collect, with respect to an event conducted by that racing association, or an event conducted by or by contract with that association or fair, any license or excise tax or fee, including, but not limited to, any admission, parking, or business tax, or any tax or fee levied solely upon the racing association conducting a racing meeting or any racing patron, participant, service-supplier, promoter, or vendor thereof. Further, a city or county electing to receive a distribution under this section shall continue to provide ordinary and traditional municipal services, such as police services and traffic control, in connection with racing meetings.

Business and Professions Code section 19610.4 applies these same provisions to harness race meets at the Los Angeles County Fair and to satellite wagering facilities.

A number of cities and counties throughout California, including Arcadia, receive significant revenue from these distributions; however, with the continuing decline of on-track handle, such income has likewise decreased.

Pending Legislation: Earlier this year, Assembly Member Ed Chau introduced AB 1508, which is a bill to add section 19605.5 to the Business and Professions Code. Specifically, the bill would require the CHRB to review the payments made to a city pursuant to the above-described provisions to ensure that the additional amounts paid to a city based on 0.33 of 1 percent from the total pari-mutuel handle at racetracks and satellite wagering facilities include the total amount that was wagered through advance deposit wagering accounts at the racetrack. Additionally, the bill would require the Board to determine whether the total amount paid to a city included compensation from advance deposit wagers, and would also require the Board to release its findings at a publicly noticed hearing and make said findings available to the public on its Internet website.

AB 1508 is sponsored by the City of Arcadia, and is intended to increase revenue to local cities and counties by including ADW wagers made on-track into the existing distribution formula. Due to the bill's failure to meet certain legislative deadlines, however, AB 1508 has been made a two-year bill, which means that it cannot be heard or moved until 2018.

Private Geo-Location Agreement: Earlier this year, the Thoroughbred Owners of California (TOC) negotiated an agreement with four major ADW providers (TVG, TwinSpires, Xpressbet, and NYRAbets), which requires that the ADW companies identify those wagers placed through their customer accounts at a licensed racetrack using geo-location technology. The parties agreed that for wagers placed through ADW accounts at racetracks, the ADW companies would receive a reduced percentage of takeout as their contractual compensation. Pursuant to the Horse Racing Law, those extra amounts not distributed to the ADW providers fall to the tracks and horsemen in the form of commissions and purses.

#### ANALYSIS

In general, takeout distributions mandated by the Horse Racing Law cannot be amended or altered unless specifically authorized by statute. In this instance, the alternative distribution rates negotiated between the racing associations and the ADW providers were done privately between the applicable parties, and in conformance with the law. In order for any city or county to receive a percentage distribution of ADW handle, they will need to do so by either amending the law, or entering into a private agreement with the appropriate stakeholders.

#### RECOMMENDATION

This item is presented to the Board for discussion.

STAFF ANALYSIS  
July 20, 2017

Item 5

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT LOS ALAMITOS RACE COURSE SEPTEMBER 6, 2017 THROUGH SEPTEMBER 26, 2017.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Los Alamitos Race Course September 6, 2017 through September 26, 2017, or 12 days; the same number of days as in 2016. LACF proposes to race a total of 102 races; the same as in 2016. Live racing will commence September 7, 2017 through September 24, 2017. LACF is not participating in the California Authority of Racing Fairs (CARF) combined horse racing meeting.

- The proposed race dates are the approved dates allocated to the fair.

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- Racing Thursday through Sunday. 9 races per day Saturdays and Sundays; 8 races per day on Thursdays and Fridays.
- Racing concurrently with California Authority of Racing Fairs at Pleasanton September 20-26, 2017 and Golden Gate Fields September 6-19, 2017.
- 2016 Race Meeting at Los Alamitos ran Thoroughbreds only: Average number of runners per race: 7.05.
- First post 2:00 p.m.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering 8:30 a.m. – 10:00a.m.
  - \$2 Daily Double (DD) – placement of last DD will depend on number of races. DD takeout rate of 20%.
  - \$1Trifecta on all eligible races.
  - \$0.10 Superfecta wagering on all qualifying races.
  - Incoming simulcasts will not follow host track format.
  - \$2 Pick 6 last six live races 70% carryover, 30% minor. Alternate runner provision
  - \$0.50 Pick 4 starting second race and last four races. Alternate runner provision.
  - \$0.50 Pick 5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.

- \$1 Pentafecta (Super H5) in the last race of the day only selecting the first five finishers in a same race. 100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners.
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, TwinSPIRES, Watch and Wager and NYRABets.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSPIRES.
- Watch and Wager.com LLC.
- NYRABets, LLC.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSPIRES and in 2016 with NYRABets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Race Meet Agreement.
2. California Thoroughbred Trainers Race Meet Agreement.
3. Horsemen approval for Advance Deposit Wagering Agreements.
4. Audiovisual agreement with Southern California Off-Track Wagering, Inc.
5. Letter of explanation.
6. Incomplete On-Track Attendance/Fan Development section.

RECOMMENDATION:

Staff recommends that the application not be heard at the July 20, 2017 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair: Los Angeles County Fair, c/o Los Alamitos Race Course 4961 Katella Ave Los Alamitos, CA 90720  
714-820-2800, Fax 714-820-2743

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:  
Brad McKinzie 714-820-2743, Fax – 714-820-2813, bradmckinzie@aol.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for race meeting: Sept. 6 – Sept. 26  
B. Actual dates racing will be held: September 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24  
C. Dates racing will NOT be held: September 6, 11, 12, 13, 18, 19, 20, 25, 26  
D. Total number of racing days: 12  
E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Thurs. - Sunday

**3. RACING PROGRAM**

A. Total number of races: 102  
B. Number of races by breed:  
 102 Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

**CHRB CERTIFICATION**

Application received: 7/5/17  
Reviewed: *ll*

Hearing date: 7/20/17  
Approved date:  
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9				8	8	9
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text" value="3"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

The \$200,000 Los Alamitos Derby was moved to the Summer Los Alamitos Race Course meet. The E. B. Johnston was dropped due to weak field size and the Contender (run only in 2015) was dropped in 2016.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

- 1<sup>st</sup> race – 2:00 pm
- 2<sup>nd</sup> race – 2:30 pm
- 3<sup>rd</sup> race – 3:00 pm
- 4<sup>th</sup> race – 3:30 pm
- 5<sup>th</sup> race – 4:00 pm
- 6<sup>th</sup> race – 4:30 pm
- 7<sup>th</sup> race – 5:00 pm
- 8<sup>th</sup> race – 5:30 pm
- 9<sup>th</sup> race – 6:00 pm (Saturday and Sunday only)

## LOS ALAMITOS RACE COURSE

### STAKES SCHEDULE

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\$100,000 Guaranteed  
**Beverly Lewis Stakes**  
For Three Year Old Fillies  
*Six Furlongs*

---

\$100,000 Guaranteed  
**Barretts Debutante**  
Two Year Old Fillies  
*Six and One Half Furlongs*

---

\$100,000 Guaranteed  
**Barretts Juvenile**  
Two Year Old Colts  
*Six and One Half Furlongs*

---

**2016 Los Alamitos Fall Stakes Schedule**

Date	Name	Type	Conditions	Distance	Advertised Gross	Fees and Supplements	Net Cost
Sat, 9/10/16	E.B. Johnston Stakes	G	Cal Bred 3 & Up	6.5 furlongs	75,000	3,600	71,400
Sun, 9/11/16	Beverly Lewis Stakes	G	3-year-old fillies	6 furlongs	75,000	4,500	70,500
Sat, 9/17/16	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 furlongs	100,000	38,950	61,050
Sun, 9/18/16	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 furlongs	100,000	42,500	57,500
Sat, 9/24/16	Los Alamitos Derby-Grade III	G	3 year-olds	1-1/8th mile	200,000	26,800	173,200
TOTAL					550,000	116,350	433,650

**2015 Los Alamitos Fall Stakes Schedule**

Date	Name	Type	Conditions	Distance	Advertised Gross	Fees and Supplements	Net Cost
Thur, 9/10/15	Beverly Lewis Stakes	G	3-year-old fillies	6.5 furlongs	75,000	5,750	69,250
Sat, 9/12/15	E.B. Johnston Stakes	G	Cal Bred 3 & Up	1 mile	75,000	6,650	68,350
Sun, 9/13/15	Contender Stakes	G	2 year olds	6 furlongs	75,000	3,250	71,750
Sat, 9/19/15	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 furlongs	100,000	42,500	57,500
Sun, 9/20/15	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 furlongs	100,000	47,150	52,850
TOTAL					425,000	105,300	319,700

**4. FAIR ASSOCIATION**

- A. Names of the fair directors:
  - J. Michael Ortiz, Chairman of the Board
  - Cid Pinedo, Vice Chairman
  - Linda Bosserman
  - Ron Bolding
  - Bill Fox
  - Heidi Hanson
  - John Landherr
  - Tina Loza
  - Richard Martinez
  - Steve Morgan
  - John Solomon
  
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Miguel Santana, Michael Seder, Kim LLOYD
  
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:
  - Kim LLOYD
  - J Michael Ortiz
  - Cid Pinedo
  - Miguel Santana
  - Michael Seder
  
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
  - Brad McKinzie – [bradmckinzie@aol.com](mailto:bradmckinzie@aol.com) 4961 Katella Ave. Cypress, CA 90720
  - Michael Seder – [seder@fairplex.com](mailto:seder@fairplex.com) 1101 W. McKinley Ave. Pomona, CA 91768

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double  
 Proposed percentage: Pick 5 - 14%, Daily Double - 20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. Attached

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2016 – 12 racing days	64,292,747	75,645
2015 – 10 racing days	54,295,082	63,962
2014 – 11 racing days	63,631,510	84,091
2013 – 13 racing days	59,394,551	111,875
2012 – 13 racing days	67,835,280	115,089

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$2,466,568  
 Prior meet actual: \$2,514,952

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$205,547  
 Prior meet actual: \$209,579

2. Overnight stakes:  
 Current meet estimate:  
 Prior meet actual:

# FAIRPLEX

## LOS ANGELES COUNTY FAIR

---

July 5, 2017

Mr. Rick Baedeker  
Executive Secretary  
California Horse Racing Board  
Sacramento, CA

Dear Mr. Baedeker:

Los Angeles County Fair ("LACF") requests two takeout changes for our upcoming September Fall Thoroughbred racing season.

LACF requests that we be approved to run a 14% takeout Pick 5 and a 20% takeout Daily Double.

Both of these requests will allow LACF to offer wagers that are being offered at all other southern California meets.

Thank you for your attention to this matter.

Sincerely,



Mike Seder  
Los Angeles County Fair

5-11



**TOC**

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

July 1, 2017

**OFFICERS**

NICK ALEXANDER  
CHAIR  
  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
  
MIKE PEGRAM  
AT LARGE  
  
MIKE HARRINGTON  
AT LARGE  
  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2017 LA County Fair Race Meet at Los Alamitos

Dear Ms. Wagner,

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Los Angeles County Fair race meet from September 6 – 26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
  
MARY FORNEY  
EXECUTIVE DIRECTOR  
  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

Sincerely,

Greg Avioli  
President & CEO

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Jack Liebau, Los Alamitos

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626- 821-1515  
WWW.TOCONLINE.COM



July 1, 2017

**OFFICERS**

NICK ALEXANDER  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
MIKE PEGRAM  
AT LARGE  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2017 LA County Fair Race Meet at Los Alamitos

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Los Angeles County Fair race meet from September 6 – 26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
MARY FORNEY  
EXECUTIVE DIRECTOR  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Jack Liebau, Los Alamitos

**CORPORATE OFFICE**

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WWW.TOCONLINE.COM

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate:

Prior meet actual:

- 3. Non-overnight stakes:
  - Current meet estimate: \$190,000
  - Prior meet actual: \$433,650

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$15,833

Prior meet actual: \$36,138

- 4. Total Purses: (7A1+7A2+ 7A3)
  - Current meet estimate: \$2,656,568
  - Prior meet actual \$2,948,602

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$233,449

Prior meet actual: \$239,857

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 7,988	\$ 8,348
TOC	15,977	16,697
NTRA	2,624	2,624
CTT PENSION	23,965	25,045
CTHF	23,965	25,045
Total	\$74,520	\$77,759

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$2,964,538

Prior meet actual: \$3,266,218

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$247,045

Prior meet actual: \$272,185

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$2,030,504

Prior meet actual: \$2,134,757

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$169,209

Prior meet actual: \$177,896

- F. Purse funds to be generated from interstate handle:
- |                        |           |
|------------------------|-----------|
| Current meet estimate: | \$756,020 |
| Prior meet actual:     | \$761,493 |
- Average Daily Purse (7F ÷ number of days):
- |                        |          |
|------------------------|----------|
| Current meet estimate: | \$63,002 |
| Prior meet actual:     | \$63,458 |
- G. Bank and account number for the Paymaster of Purses' purse account: Bank of the West  
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
Bowen, McBeth Inc. 10722 Arrow route Suite 110 Rancho Cucamonga, CA 91730  
909-944-6465, craigmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,395
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita – 1,900, San Luis Rey Downs – 495
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
Southern California Off-Track Stabling Agreement on file with CHRB

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c). n/a-1986 meet was conducted at Fairplex Park
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: \$14.43
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita - \$180 round trip, SLRD - \$320 round trip

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site at Los Alamitos and at all auxiliary training sites during all training hours
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private vets on site at Los Alamitos and all auxiliary training sites during all training, workout and racing hours.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering  at.  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes	No
Race #1	\$1E, \$1 Tri \$2DD \$1PK3 0.50P5 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #2	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #3	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #4	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #5	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #6	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #7	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #8	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #9	\$1E, \$1 Tri \$2DD \$1PK3 Super H5 SF	CHRB #1959,1979, 1957,1977, ,1979.1	ARCI #004-105 Subsection X Pick (n) Position (x) Pools	

\$2 Rolling Daily double 20% takeout Placement of last DD will depend on # of races  
 \$1 Trifecta on all eligible races (CHRB 1979.1)  
 \$0.10 Superfecta wagering on all eligible races (CHRB 1979.1)  
 Incoming simulcasts will not follow host track format  
 \$2 PNP6 last six races 70% carryover, 30% minor alternate runner provision (CHRB 1976.9)  
 \$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)  
 \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout, one tier payoff, 100% carryover  
 \$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race  
 100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No changes from last year's format.
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution September 24, 2017
- E. List any options requested with regard to exotic wagering:
- F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:  
 Each racing day from 8:30 am to 10:00 am

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
 AmTote. Contract expires August 2020  
 Keith Johnson, 11200 Pepper Rd Hunt Valley MD 800-345-1566
- H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**  
 (Example) PNP5-14%

Race #1 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK5 - 14%, SF 25.02%  
 Race #2 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, P4 - 25.02% SF 25.02%  
 Race #3 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK6 - 25.02%, SF 25.02%  
 Race #4 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK6 - 25.02%, SF 25.02%  
 Race #5 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK4 - 25.02%, SF 25.02%  
 Race #6 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%  
 Race #7 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%  
 Race #8 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%  
 Race #9 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, Super H5 - 25.02% SF 25.02%

**11. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
 TVG, XpressBet, Twin Spires, Watch and Wager, NYRAbets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Agreements on file with CHRB

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. On file with CHR B

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering: SCOTWINC

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. Agreement on file with CHR B

C. California simulcast facilities the fair proposes to offer its live audiovisual signal: Attached

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair: Attached

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal: Attached

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

## SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

~~Striders Norco (mini-satellite)  
2895 Hammer Ave.  
Norco, CA 92860~~

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)  
20930 Malaga Road  
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)  
4110 Bonita Road  
Bonita, CA 91902

Pechanga Casino (mini-satellite)  
4500 Pechanga Pkwy.  
Temecula, CA 92592

NORTHERN CALIFORNIA

~~Shasta District Fair  
4800 Briggs St.  
Anderson, CA 96007~~

~~Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380~~

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

~~Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901~~

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

17 Los Alamitos (TB) Import Tracks

Track	Track
Abington	Mountaineer Park
Albion	Northlands
Australia Racing	NYRA - Aqueduct, Belmont, Saratoga
Anterbury	PARX
Churchill Downs	Penn National
Delaware Park	Pimlico
Ellis Downs	Prairie Meadows
Ellis Park	Presque Isle
Emerald	River Downs
Evangelina	South America Racing
Port Erie	Suffolk Downs
Fullstream	Sunray Park
Hastings Park	Tampa Bay
Indiana Downs	Thistledown
Keeneland	Turf Paradise
Kaurel	Turfway Park
One Star	United Kingdom
Louisiana Downs	Woodbine
Washington Valley	
Winnmouth	

**2017 Los Alamitos  
Gaming/led Locations**

AimWest Entertainment	Evangeline Downs	Maywood	Sci Mutuel
Amiyest Accounts	Fair Grounds	Meadowlands	Sci Mutuel - Odds Broker
-Greenbrier (WV)	Fair Grounds ADW	Meadows The	Sci Mutuel - Orobanda Casino
Rifers Up (SD)	Fair Meadows	Meadows The (ADW) - PA regional	Southland Greyhound
Time Out Lounge (SD)	Favorites at Gloucester	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Triple Crown (SD)	Favorites at Vineland	Mobile Greyhound	Staposta ADW (Brazil)
Arapahoe-Mile High	Finger Lakes	Monmouth Park	Suffolk District OTB
Arma Race Club	Finger Lakes ADW	Montana OTB	Suffolk Downs
Arlington I	Fonner Park	Monticello	Sunland Park
Bangor Raceway	Freehold	Mountainair Park	SunRay Park & Casino
Batevia	Gillespie County Fair	Nassau Regional OTB	Tampa Bay Downs
Bellaire Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton ADW
Bellor Racing	Belison, Pleasantville, Big Bet World	Newport Jal Ajai	Taunton Dog Track Inc.
Birmingham Greyhound	Recebat, Intl Betting Assoc	New Jersey ADW (4NJ Bets)	The Downs at Albuquerque
Borgata Casino	Magna Bar, Pledgewater, Danialo	Northfield Park	Thislandown
Buffalo Raceway	Stan James, BoyleSports	Cedar Downs OTB	Toga Downs
Canterbury Park	Intelligent Gaming, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Capital District OTB	GreenTrack	NYRA	Turf del Norte (Mexico)
Catskills OTB	Greyhound at Post Falls	NYRA ADW	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn Park	Turf Paradise Bets (ADW)
Chester Downs (Harrah's Philly)	Gulfstream Park	Oaklawn Park ADW	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG
Cadara (Brazil)	Harrington Bets ADW (DE regional)	Panama	TVG High Volume
Columbus Raceway	Hawthorne Race Course	Paribet ADW	Twin River Greyhound
Connecticut OTB	Hawthorne ADW	PARX	TwinSpires
Bradley Telegrapher, Bristol	Hazel Park	PARX ADW	TwinSpires High Volume
New Britain, Norwalk, Milford	Hinsdale	Penn National	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	Hoosier Park	Penn National ADW	Venezuela OTB
Shoreline Star, Sports Haven	Horsman's Park	Paru	Vernon Downs
Tonington, Waterbury	Horseshoe Council Bluffs (William Hill)	Plainridge Race Course	Virginia Equine Alliance
Manchester, New London	Idabel	Plainridge ADW	WalchandWager
Williamsville, Sanford OTB	Indiana Downs	Player Management Group	Western OTB
John Manly's Manor Restaurant	Clarksville/Evansville OTB	PMU (Brazil)	Whispering Downs
Connecticut OTB ADW	Intermountain Racing	Pocono Downs ADW	Wm Rogers Downs
Coushatta Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming OTB
Paragon Casino	Keeneland	Potlond Meadows	Wyoming Downs
Ho-Chunk Casino	Keeneland Select ADW	Potawatomi Casino/OTB	XpressBet
Mohagan Sun Casino	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Oneida Bingo and Casino	Kentucky OTB	Premier Turf Club	Yonkers ADW (Empire City Bets)
Pony Bar Simulcast Center	Les Bols (Treasure Valley)	Premier Gateway	Youbet Group 1
Tote Investment Racing	Lewiston OTB's	Presque Isle Downs	Zia Park
Randall James Racetrack	Lien Games	Remington Park	123Gaming
Milennium Racing	Chips Lounge/Rumors OTB	Remington OTB Network	
Royal Beach Casino	Howard Johnsons OTB	Relama Park	Separate Pool Locations
Divi Carina Bay Casino	Skydancer Casino OTB	Racing & Gaming Services	Camazero (Puerto Rico)
Winner's Circle	Lien Games ADW	Rillito Park	Caymanas (Jamaica)
Camouflage Gaming	BelAmerica/Offtrackbetting ADW	Ruidoso Downs	Codere (Mexico/Spain)
Corpus Christi Greyhound	Lone Star	Running Aces Harness Park	MIR Books (Callania)
Dayton Raceway	Louisiana Downs	Sari Houston	NDS Books (Nevada)
Delaware Park	LVDC	Valley Greyhound Park	PMU (Brazil)
Delta Downs	Allantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
Dover Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)	Talibel (Australia)
Dubuque (Iowa Greyhound)	Foxwoods Resort Casino	Scarborough Downs	Turf TV
Ellie Turf Club (1 Jo 18)	Meskiwiak Bingo & Casino	Scioto Downs	New Zealand Racing Board
Ellis Park	Mahoning Valley	Seabrook Greyhound	
Emerald Downs	Maconas (Uruguay)	Seabrook Greyhound ADW	
	Maryland Jockey Club		
<b>Canadian Locations</b>			
Assinibola, Century Downs, Charlottetown, Clinton Telegraphia, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs			
Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Haslings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs			
Mohawk, Northlands, Northside Downs, Picoy Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatre			
Western Fair, Woodbine, Xpressbet Southern Alberta			

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
  - Association Veterinarian(s) - Dr. Dana Steed DVM
  - Clerk of Scales - Charles McCaul
  - Clerk of the Course - Lisa Jones
  - Film Specialist - Board of Stewards
  - Horse Identifier – Jennifer Paige
  - Horseshoe Inspector – Victor Tovar
  - Paddock Judge – Karen Denoval
  - Patrol Judges – Randy Valdez, Karen Denoval
  - Placing Judges - Kevin Colosi, Dawn Schmid
  - Starter – Gary Brinson
  - Timer – Russ Hudak
  
- B. Management officials in the racing department:
  - Director of Racing - Robert Moreno
  - Racing Secretary – Robert Moreno
  - Assistant Racing Secretary – Chris Merz
  - Paymaster of Purses – Dawn Jackson
  - Others (identify by name and title)
  
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Weinstein court Reporters
  - P O box 26634 Santa Ana, CA 92799 949-637-6071
  - Mderieg@weinsteincourtreporters.com
  
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
  - Plusmic Corp USA, Bill O'Brien, contract expires Dec. 31, 2017

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Pegasus Communications  
Jim Porep, President  
16633 Ventura Blvd Suite 1010 Encino, CA 91436, contract through April 21, 2017  
1 camera end of homestretch  
1 camera end of backstretch  
1 camera coming out of final turn  
4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: electronic timing devices owned and operated by Los Alamitos Race course

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, Chief of Security  
714-820-2660 frontside / 714-820-2832 backside  
Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators  
20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
  2. Detention Stalls: Los Alamitos does not use detention stalls
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention stall area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention stall area.
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
CHRB directives will be followed
    - B. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed
    - C. Plan for detention stalls for repeat offenders.  
CHRB directives will be followed
    - D. Number of security personnel assigned to the TCO2 program.  
CHRB directives will be followed



## Organizational Chart 2017

 Los Alamitos Race Course  
Security Department

Chief of Security	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
Captain of Security		
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Night Shift Sergeant	Fernando Gómez	Cell (951) 768-7094
Day Shift Security Officers	Guillermo Chavez Jose Murillo Lydia Llamas Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezz
Night Shift Officers	Lydia Llamas Jackie Dixon Peter Scimeca Henry Chambers	Desk/Dispatch Mainline Mezz Paddock
Stable Area Officers	Kenny Vu Jorge Avina Javier Bonilla Cesar Franco Jose Alvarez	Day stable Night stable Night Receiving Barn Graveyard Split Shift
Off Hour Officers	Kenneth Grant Frank Wozniak Luis Rivas	Grave Yard Days Split Shift

Stable Gate Attendants

April Dudone	Swing Gate
Ronnie White	Day West Gate
Cheri Willett	Split Shift
Arturo Sandoval	Night West Gate
Sharril Janisky	Grave yard Gate
Marco Avina	Day Gate

Total Employees: 24
---------------------

- C. Describe the electronic security system.  
Los Alamitos does not have an electronic security system  
1. Location and number of video surveillance cameras for the detention stall and stable gate.  
No video surveillance in those areas

## 15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:  
Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
Sunset Beach, CA 90742  
Track # 714-820-2845

1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
Attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
San Luis Rey Downs  
North County Fire Protection District  
31403 Old River Rd  
Bonsall, CA 92003

Santa Anita  
AmbuServe  
15105 S Broadway  
Gardena, CA 90248  
Bryan Rushing  
310-644-0500

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
Attached

- C. Describe the on-track first aid facility, including equipment and medical staffing:  
Contracted track physician: Oxygen equipment, blood pressure monitoring, backboard, cervical spine collar, 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
Dr. Michael Morris, 714-820-2710
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center  
3571 Katella Ave  
Los Alamitos, CA 90720                      562-598-1311

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# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

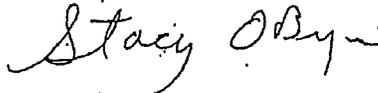
May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

April 13, 2017

Brad McKinzie  
Los Alamitos Racing Assn.

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

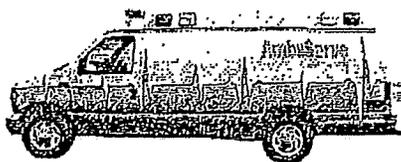
Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations



**AmbuServe**  
Ambulance



May 8, 2017

Josh Rubinstein  
Del Mar Thoroughbred Club

RE: Ambulance Certification

To whom it may concern:

Please accept this letter as confirmation that AmbuServe, Inc. meets all the required certifications to operate as an approved paramedic (ALS) and Basic Life Support (BLS) provider in the State of California and within Los Angeles County.

All of AmbuServe, Ins. Paramedics and Emergency Medical Technicians have and maintain all the required certifications as mandated by the State of California Emergency Medical Services and the Los Angeles County Emergency Medical Services.

Thank you very much for your time.

Sincerely,

Scott Smith  
Vice President  
AmbuServe, Inc.

Long Beach Memorial Hospital (Certified Trauma Center)  
2801 Atlanta Ave  
Long Beach, CA 90806                      562-933-2000

UC Irvine Medical Center  
101 The City Drive  
Orange, CA 92868                              714-456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):  
Self Insured – Finish Line Self Insurance Group, Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. Attached

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Handicappers –

Bob's Card, Tiffany Bohland, 6288 Highland Meadows Dr. Medina OH 44256  
Winner's Card Corp, Don Harris 924 Knob Hill Ave. Redondo Beach, CA 90277  
Today's Racing Digest, Jack Karches, 2080 Las Plamas Carlsbad CA 92009

FINISH



## Finish Line Self Insurance Group, Inc.

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4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Steps Required in Reporting a Workers Compensation Accident**

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**  
**Healthpointe Medical Center**  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100

5-31

5-32



FINISH

## Finish Line Self Insurance Group, Inc.

---

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Pasos Requeridos en Caso de un Accidente**

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**  
**Healthpointe Medical Center**  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100



## ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

[www.ocfa.org](http://www.ocfa.org)

September 28, 2016

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

A handwritten signature in black ink, appearing to read "Douglas A. Hartman".

Douglas A. Hartman,  
Senior Fire Prevention Specialist



Triangle Turf Winners, William Archer 1 Scott St. Gardnerville, NY 89410

B. Does the fair plan to provide its own concessions? Yes  No

**17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting:

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

C. Number of hosts and hostesses employed for meeting:

D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen – additional horsemen parking
2. Fans – additional televisions throughout plant
3. Facilities in the restricted areas

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Admission (general) \$3  
 Admission (clubhouse) \$5  
 Vessels Club - \$10  
 Reserved seating (general) free  
 Reserved seating (clubhouse) free  
 Parking (general) free  
 Parking (preferred) \$6 / \$10 premium  
 Parking (valet) n/a  
 Programs (on-track) \$3.00  
 (off-track) \$3.00

B. Describe any "Season Boxes" or other special accommodation fees: n/a

C. Describe any "package" plans such as combined parking, admission and program: n/a

# Marketing Plan

## 2017 Los Alamitos Fall Thoroughbred Meet

Overview: As detailed on the following pages, the 2017 Los Alamitos Fall Meet marketing efforts will be supported by a variety of programs including continuing cross-marketing efforts with Fairplex Park and the Los Angeles County Fair, and increased budgets for cooperative promotions with our partners at TVG, Twin Spires, NYRA Bets, and Daily Racing Form. The Los Alamitos Fall meet will also feature a solid local television and radio advertising presence. In addition, Los Alamitos will host one National Handicapping Championship qualifying handicapping tournament, which is traditionally among our most popular on-track promotions each racing meet.

### Cross Marketing with the Los Angeles County Fair

In continuing to increase awareness for the Los Alamitos Racing Association Fall Meet among attendees at the popular Los Angeles County Fair, Los Alamitos Race Course and Fairplex Park has built a cost effective, cross-marketing plan that will include complimentary ticket opportunities at both Los Alamitos Race Course and Fairplex Park. On-track visitors to Los Alamitos on selected days will have an opportunity to receive a free ticket to the Los Angeles County Fair (LACF) while supplies last, while attendees to the LACF will receive free admission to the Los Alamitos Race Course with their fair ticket. The promotion will be supported by advertisements in the Daily Fun Guide available at the LACF plus direct mail pieces and online link exchanges. We are currently discussing special on-track opportunity raffles throughout the Fall meet for tickets to the most popular concerts to be held at the LACF in September.

### Los Alamitos Fall Handicapping Championship – Saturday, September 16

This handicapping contest will offer a minimum of three seats to the NTRA National Handicapping Contest and up to four seats depending on the number of entrants. The amount of seats offered by Los Alamitos Race Course is in line with previous contested offered here to this year-end national event and will be held during the second weekend of the three-week meet. This will allow us an opportunity for greater advertising of the contest. The event will follow a successful series of live-money contests held during the Thoroughbred meets in 2016, which featured over 300 total participants and approximately \$70,000 of guaranteed on-track

wagering money as part of these contests. As in previous years, the goal of this event will be to drive on-track handle and attendance from southern California's major players while continuing to establish Los Alamitos as a great place to play NHC contests. The contests are affordable with a planned total entry fee of \$400 per entry. Los Alamitos has promoted the event by running TV spots as part of the track's TVG annual commercial buy.

### Promotional Giveaway Days

Most of the top on-track attendance days of the past meets at Los Alamitos have traditionally occurred on days when we offered a promotional giveaway. In an effort to try and continue our on-track awareness among fans in our immediate area, Los Alamitos will offer four promotional giveaway days during our 12-day meet. The giveaway items may include a Los Alamitos logo cap, sunglasses, sports bottle, or wall mount bottle opener. Giveaway items are subject to change. A media-list driver will also be part of the closing day festivities for the Fall Meeting on Sunday, September 24.

### Mystery Mutuel Vouchers

One of our most successful driver of on-track handle has been our Mystery Mutuel voucher promotion, which in the upcoming fall meet will target 12,000 active fans on the Los Alamitos mailing list. This promotion will be a part of a pre-meet direct mail campaign that will also offer our customer base such incentives as free admission passes and free preferred parking passes. The Mystery Mutuel Voucher will be held on the first Saturday of meet on September 9. The goal is to have a redemption rate of 15% or higher for the promotion.

## 2017 Los Alamitos Fall Meet Marketing Budget

### Daily Racing Form –Up to \$35,000

Our partnership with the DRF will focus on player retention following a successful 2016 Winter Meeting and continued efforts to market and attract players not engaging in the Los Alamitos races. During the 2017 summer meet, Los Alamitos expanded its Daily Racing Form distribution of our Thursday thru Sunday past performance pages by sponsoring the printing of the PPs in the Midwest and South AM editions of the Daily Racing Form. We will analyze the success of this promotion and will consider extending for the fall meet. Other highlights to include:

- National print advertising campaign
- Digital advertising campaign
- Bonus digital Past Performances for 8 days of meet.
- Inclusion in DRF Bets Morning Line eNewsletter & PP offer to PP purchasers

- Special Pick 4 sections for the featured weekend days of the meet.
- Promotional support for the handicapping contest via e-mail blasts

#### TVG, Twin Spires, NYRA – Up to \$60,000

- National commercial spots on TVG leading up to meet and prior to major races
- Partnering with ADW providers with popular promotions like TVG's Hit and Split, and the Twin Spires \$10,000 Pick 5 Los Alamitos Bonus. TVG conducted a "Los Alamitos Summer Spectacular" Promotion in July and based on the results, a similar promotion could be a part of the fall meet.  
In one example, the total Twin Spires brand handle was up 16% and the Pick 5 handle was up 26% during the Los Alamitos Winter 2016 meet.
- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters
- NYRA to offer bonuses to its players participating in the Los Alamitos early Pick 4.

#### Local Television Advertising - \$12,000

- Spectrum Cable / Orange County cable provider. Approximately 500 total spots concentrating on Angels, Dodgers, NFL and college football games, and racing shows on Prime Ticket/Fox Sports West. The buy also includes The Golf Channel and run of schedule spots on the Prime Ticket, Fox Sports West, NBC Sports, Bravo, ESPN and TBS networks.
- Spectrum Long Beach. This spot buy will concentrate on the nearby Long Beach market with special emphasis on Angels and Dodgers games.
- Direct TV. Over 100 units to be purchased during Angels games and a run of schedule buy on Prime Ticket Channel.

#### Local/National Radio - \$25,000

- In addition to supporting the local racing radio shows, the Los Alamitos radio buy will also include national racing radio shows like Race Day Las Vegas, plus Steve Byk At The Races, and Down The Stretch on SiriusXM, plus Southern California staples like ESPN 830 AM horse racing shows. To note, the national shows are a part of our effort to reach our growing out of state market and East Coast-based horse players.
- ESPN Deportes. Los Alamitos will continue its solid partnership with ESPN Deportes which will include ticket giveaways and on-air interviews promoting the racing weekend.

#### On-line Marketing - \$15,000

- Partnering with Los Angeles News Group, Los Alamitos will conduct a significant on-line marketing campaign that will include web banners and on-line videos.

Program will include Geo Fencing Mobile Marketing and a continued strong Social Media presence.

Pre-Meet Direct Mail Campaign - \$27,000

- Our 12,000 active fans will receive a Mystery Mutuel voucher and other on-track attendance incentives with the mailing targeting our opening weekend. Past redemption rates for the Mystery Mutuel promotion have reached between the 12% to 15% level.

Los Alamitos Handicapping Championship - \$13,500

- Los Alamitos is guaranteeing three seats to the popular NTRA National Handicapping Contest during a summer contest on Saturday, July 8.

On-Track Promotional Giveaway Items - \$25,000

- Two special giveaway days are scheduled during the 8-day Summer Meeting. The dates of the giveaways will be Sunday, July 9 and Saturday, July 15.

On-Line Industry Advertising - \$4,000

- Concentrated on web sites like DRF.com, Equibase.com, and The Paulick Report.

Summer Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**19. JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="60"/>     |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
|                                     |                                | <input checked="" type="checkbox"/> | Lounge area                         |
|                                     |                                | <input checked="" type="checkbox"/> | Certified platform scale            |

B. Describe the quarters to be used for female jockeys: Separate quarters with own showers, sauna, lockers. Shared common area

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men / 8 ladies
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 – 20

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81 % sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-always is: - 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes, track superintendent / Dennis Moore track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2.

The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**22. DECLARATIONS**

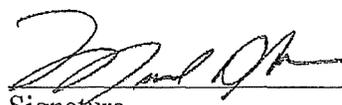
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): no exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

MICHAEL D. SEDER  
Print Name

  
Signature

CFO  
Print Title

7/5/17  
Date

STAFF ANALYSIS  
July 20, 2017

Item 6

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT PLEASANTON ON BEHALF OF THE CALIFORNIA AUTHORITY OF RACING FAIRS, COMMENCING SEPTEMBER 20, 2017 THROUGH OCTOBER 3, 2017

Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton as part of the 2017 combined fair horse racing meeting:

- September 20, 2017 through October 3, 2017, or 8 days, two days more than 2016. The fair proposes to race a total of approximately 90 races, 18 more than 2016. Live racing will commence September 21, 2017 through October 1, 2017 with simulcasting only September 20 and 27, 2017. OTRA is a participant in the 2017 combined fair horse racing meeting.
- At the April 27, 2017 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2017 allocated race dates of the Alameda County Fair (6/21/17 - 7/11/17), Cal-Expo State Fair (7/12/17 - 8/1/17), Humboldt County Fair (8/23/17 - 9/5/17), CARF at Pleasanton (9/20/17 - 10/3/17), and the Big Fresno Fair (10/4/17 - 10/17/17) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

September - 2017							October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2	1	2	3	4	5	6	7
3	4	5	6	7	8	9	8	9	10	11	12	13	14
10	11	12	13	14	15	16	15	16	17	18	19	20	21
17	18	19	20	21	22	23	22	23	24	25	26	27	28
24	25	26	27	28	29	30	29	30	31				

- Racing Thursday through Sunday for two weeks. Nine races Thursdays, 12 races Fridays and weekends.
  - 2016 Race Meeting: Average number of runners per race (TB): 7.34
  - 2016 Race Meeting: Average number of runners per race (Arabian): 7.00
  - 2016 Race Meeting: Average number of runners per race (Quarter horse): 8.00
  - 2016 Race Meeting: Average number of runners per race (Mules): 6.00
- First post 1:15 p.m. on Thursdays and Weekends.
  - 2:15 p.m. on Fridays.

Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be October 15, 2017 (Closing Day of the Big Fresno Fair).
  - \$1 Place Pick when applicable; \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
  - \$0.50 Pick (n) Pool 5 on last 5 thoroughbred races each day with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$0.20 Pick (n) Pool 6 on the last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no unique ticket selects 6 winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
  - \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers and 60% of major pool added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race day.
  - Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, BetAmerica, NYRAbets, Watch and Wager, and TwinSpires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California.*

- XpressBet LLC. dba XpressBet.com.
- ODS Technologies, L.P. dba TVG Network.
- Churchill Downs Technology Initiatives Company, dba BetAmerica.

- NYRAbets, LLC.
- Watch and Wager.com LLC.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

RECOMMENDATION:

Staff recommends the Board approve the application.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:  
**Oak Tree Racing Association at Pleasanton Fall Meet on behalf of CARF  
Alameda County Fair Agricultural Association  
4501 Pleasanton Avenue, Pleasanton, CA 94566  
Phone: 925-426-7600 Fax: 925-426-7599**

**Oak Tree Racing Association  
201 Colorado Place, Arcadia, CA 91007  
Phone: 626-833-2209 Fax: 626-574-0852**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:  
**Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **September 20 – October 3, 2017**
- B. Actual dates racing will be held: **September 21, 22, 23, 24, 28, 29, 30 & October 1.**
- C. Dates racing will NOT be held: **September 25, 26, Oct 2 & 3. September 20 & 27 will be simulcast only days.**
- D. Total number of racing days: **8**
- E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) **Thursday-Sun**

<b>CHRB CERTIFICATION</b>	
Application received: <b>6/19/17</b>	Hearing date: <b>7/20/17</b>
Reviewed: <b>JA</b>	Approved date:
	License number:

### 3. RACING PROGRAM

A. Total number of races: 90

B. Number of races by breed:

<input type="text" value="70"/>	Thoroughbreds	<input type="text" value="7"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="7"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="6"/>	Mules

C. Number of races daily:

	Thu 9/21	Fri 9/22	Sat 9/23	Sun 9/24	Thu 9/28	Fri 9/30	Sat 10/1	Sun 10/2
Thoroughbred	7	10	9	9	7	10	9	9
Other Breeds	2	2	3	3	2	2	3	3
<b>Total</b>	<b>9</b>	<b>12</b>	<b>12</b>	<b>12</b>	<b>9</b>	<b>12</b>	<b>12</b>	<b>12</b>

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value=""/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). Oak Tree Juvenile purse was raised from 50,000 to 75,000.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No additions or deletions.

2017  
Oak Tree Juvenile  
\$75,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two Year Olds, 1 Mile  
Sat, September 30

2016  
Oak Tree Juvenile  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two Year Olds, 1 Mile  
Sat, October 1

2015  
The Harvest Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year-olds & Upward F/M – Six Furlongs  
Sat, September 26

F. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Thursday	Fridays	Weekends
Race # 1	1:45 p.m.	2:15 p.m.	1:45 p.m.
Race # 2	2:15 p.m.	2:45 p.m.	2:15 p.m.
Race # 3	2:45 p.m.	3:15 p.m.	2:45 p.m.
Race # 4	3:15 p.m.	3:45 p.m.	3:15 p.m.
Race # 5	3:45 p.m.	4:15 p.m.	3:45 p.m.
Race # 6	4:15 p.m.	4:45 p.m.	4:15 p.m.
Race # 7	4:45 p.m.	5:15 p.m.	4:45 p.m.
Race # 8	5:15 p.m.	5:45 p.m.	5:15 p.m.
Race # 9	5:45 p.m.	6:15 p.m.	5:45 p.m.
Race # 10		6:45 p.m.	6:15 p.m.
Race # 11		6:45 p.m.	6:45 p.m.
Race #12		7:15 p.m.	7:15 p.m.

*\* As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

**Names of the Oak Tree Directors: John H. Barr, Sherwood C. Chillingworth, Dr. Rick Arthur, Thomas R. Capehart, Richard Mandella, Warren B. Williamson and Robert W. Zamarripa Sr.**

**President Frank Imhof, Vice President Gordon Galvan, Jack Balch, Paul Banke, Jason Chin, Dawna Dowdell-Dos Santos, Passy Gilbert, Rose Johnson, Jack Kavanah, Michael Mahoney, Jim McGrail, Chuck Moore, George Pacheco, Jr., Don Sherratt, Robert Silva, Craig Smith, Harvey Smith, John Smith, Tim Weaver, Eric Wentz, Robin Wilma and Ron Zino**

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chair: Chuck Moore/Vice-Chair, Jack Kavanah, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Don Sherratt, Craig Smith, Harvey Smith, John Smith, Ron Zeno**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

**Alameda County Fair: C.E.O. Jerome Hoban, Director of Racing Jeanne Wasserman, Maintenance Operations Manager Richard Sims, Track Superintendent Steve Wood, Track Announcer – Chris Griffin & Stable Manager Kevin Ingram.**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Jerome Hoban, C.E.O.**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
**jhoban@alamedacountyfair.com**

**Jeanne Wasserman, Director of Racing**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
**jeanne@alamedacountyfair.com**

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2016	15,567,048	27,729	6 Days
2015	12,154,837	22,553	6 Days
2014	13,434,559	25130	6 Days
2013	13,834,206	27,061	6 Days
2012	13,695,735	34,567	8 Days

\*History represents San Joaquin County Fair Racing Handle and attendance 2012-2015.

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**  
**Numbers represented in this section are based on the 2015 San Joaquin County Fair meet.**

A. Purse distribution:

- 1. All races other than stakes:  
 Current meet estimate: \$997,465  
 Prior meet actual: \$795,850

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$124,683  
 Prior meet actual: \$132,641

- 2. Overnight stakes:  
 Current meet estimate: \$75,000  
 Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$9,375  
 Prior meet actual: \$8,333

- 3. Non-overnight stakes:  
 Current meet estimate: \$ 0  
 Prior meet actual: \$ 0

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$0  
 Prior meet actual: \$0

- 4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,072,465  
 Prior meet actual \$845,850

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$85,548  
 Prior meet actual: \$68,257

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 2,531	\$ 2,020
TOC	\$ 5,064	\$ 4,041
NTRA	\$ 773	\$ 617
PCQHRA	\$ 520	\$ 415
CWAR	\$ -0-	\$ -0-

ARAC	\$ 1,997	\$ 1,594
AMRA	\$ 1,605	\$ 1,281
CHBPAPEN	\$ 7,597	\$ 6,062
CTHF	\$ 7,597	\$ 6,062
Total	\$ 27,684	\$22,092

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: 1,185,697  
 Prior meet actual: \$936,199

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$146,212  
 Prior meet actual: \$156,039

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$824,314  
 Prior meet actual: \$657,698

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$103,039  
 Prior meet actual: 109,616

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$142,141  
 Prior meet actual: \$113,411

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$17,767  
 Prior meet actual: \$18,901

G. Bank and account number for the Paymaster of Purses' purse account:

On file.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The

fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**684- Pleasanton**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,440**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Golden Gate Field – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Northern California Stabling and Vanning Fund (On File).**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**684**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$11.74**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **\$0.00**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
  - **Dr. Donald Dooley 530-472-1330**
  - **Dr. Sara Sporer 510-220-4860**
- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.  
**Pleasanton – September 12 – October 2; 6:00 am till 11:00 am.**  
**GGF September 12 – October 2; 6:00 am till 11:00 am.**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No (On File with CHRB)
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Trifecta (1TRI)	1979
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/>	Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		



March 28, 2017

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2017 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979; CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **15 October, the last day of the Fresno Fair.** Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.

E. List any options requested with regard to exotic wagering:

**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**

**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.**

**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

- F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (Dave Calendine) Expiration: October 30, 2020.**

**Equipment description on file with Board.**

- H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE:**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Race #1  
Race #2  
Race #3  
Race #4  
Race #5  
Race #6  
Race #7  
Race #8  
Race #9  
Race #10  
Race #11  
Race #12  
Race #13

**11. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, NYRA & Watch & Wager.**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB.
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
Yes  No

If yes, attach a copy of the approval. – All contracts on file with CHRB.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a written agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

April 15, 2017

6-16

Mr. Larry Swartzlander  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, CA 95815

RE: 2017 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

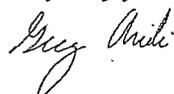
Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires and NYRA, to accept wagers on CARF and other Thoroughbred races during the 2017 CARF Racing meeting, June 22, 2017 through October 15, 2017, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 1.a. and 1.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 2.a. and 2.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, and NYRA.

Very truly yours,



**OFFICERS**

- NICK ALEXANDER  
CHAIR
- BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER
- JACK OWENS  
VICE CHAIR, NORTHERN CA.
- MIKE PEGRAM  
AT LARGE
- MIKE HARRINGTON  
AT LARGE
- ED MOGER  
AT LARGE

**DIRECTORS**

- ALLEN ALDRICH
- BOB BAFFERT
- JOE CIAGLIA
- MARK DEDOMENICO
- RON ELLIS
- GARY FENTON
- TERRY LOVINGIER
- SAMANTHA SIEGEL
- KATHY WALSH

**EXECUTIVE STAFF**

- GREG AVIOLI  
PRESIDENT & CEO
- MARY FORNEY  
EXECUTIVE DIRECTOR
- LIZ MOREY  
NORTHERN CALIFORNIA LIAISON
- ELSA PERON  
ADMINISTRATIVE ASSISTANT

**CHAIRS EMERITUS**

- ED FRIENDLY (1996-97)
- ROBERT B. LEWIS (1997-2001)
- GARY BURKE (2001)
- JACK B. OWENS (2001-03, 04-05, 2011)
- RON CHARLES (2003-04)
- ALAN LANDSBURG (2005-07)
- MARSHA NAIFY (2007-10)
- ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson



## Pacific Coast Quarter Horse Racing Association

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April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers TVG, Xpressbet, TwinSpire and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

  
Dominic Alessio  
President

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Bankers Casino, Salinas  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Firehouse Restaurant, Bakersfield  
 Golden Gate Fields, Albany

\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Commerce Casino Racebook, Commerce  
 Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona  
 Fantasy Springs Casino, Indio  
 Los Alamitos Race Course, Los Alamitos  
 Mermaid Tavern, Thousand Oaks  
 OC Tavern & Sports Bar, San Clemente  
 Roadhouse Grill, Santa Maria  
 Sammy's Restaurant & Bar, Lake Forrest  
 Santa Anita Park, Arcadia  
 Santa Clarita Lanes, Santa Clarita  
 Shallmar Sports Center, Indio  
 Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 Sycuan Gaming Center, El Cajon  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2017 IMPORT TRACKS</u>	
ARLINGTON	9/20-10/1
BELMONT	9/20-10/1
ASSINIBOIA	9/20-10/1
AUSTRALIAN RACING	9/20/10/1
BEULAH	9/20-10/1
CALDER RACECOURSE	9/20-10/1
CANTERBURY DOWNS	9/20-10/1
CHARLES TOWN	9/20-10/1
CHURCHILL DOWNS	9/20-10/1
DELAWARE	9/20-10/1
DOWNS AT ALBUQUERQUE	9/20-10/1
ELLIS PARK	9/20-10/1
EMERALD DOWNS	9/20-10/1
EVANGELINE DOWNS	9/20-10/1
FORT ERIE	9/20-10/1
GULFSTREAM	9/20-10/1
HASTINGS PARK	9/20-10/1
HAWTHORNE	9/20-10/1
INDIANA DOWNS	9/20-10/1
KEENLAND	9/20-10/1
KENTUCKY DOWNS	9/20-10/1
LAUREL	9/20-10/1
LONE STAR	9/20-10/1
LOUISIAN DOWNS	9/20-10/1
MONMOTH	9/20-10/1
MOUNTAINEER PARK	9/20-10/1
NYRA-AQUEDUCT	9/20-10/1
NYRA-BELMONT	9/20-10/1
NYRA-SARATOGA	9/20-10/1
PENN NATIONAL	9/20-10/1
PHILADELPHIA PARK (PARX)	9/20-10/1
PIMLICO	9/20-10/1
PRAIRIE MEADOWS	9/20-10/1
PRESQUE ISLE	9/20-10/1
RIVER DOWNS	9/20-10/1
SOUTH AMERICAN RACING	9/20-10/1
SUFFOLK DOWNS	9/20-10/1
SUNLAND PARK	9/20-10/1
SUNRAY PARK	9/20-10/1
TAMPA BAY	9/20-10/1
THISTLEDOWN	9/20-10/1
TURFWAY PARK	9/20-10/1
TURF PARADISE	9/20-10/1
UNITED KINGDOM	9/20-10/1
WOODBINE	9/20-10/1

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2017 CARF Meets  
Commingled  
Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Cedar Downs OTB	Thistledown
Canterbury	Race bets	Northville	Tioga Downs
Capital District OTB	Greenetrack	NYRA	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA Account Wagering	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Ocean Downs	Turfway Park
Churchill Downs	Harrington Raceway	Panama	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	PARX	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX Phone Bet	TVG Prairie
Colonial Downs	Hawthorne ADW	Penn National	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National Telebet	TwinSpires
Columbus Raceway	Hoosier Park	Peru	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Plainridge Race Course	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Telephone Wagering	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Player Management Group	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Pocono Account Wagering	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Downs and OTB	Western OTB
Torrington, Waterbury	Keeneland	Portland Meadows	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Potawatomi Casino/ OTB	Will Rogers Downs
Willimantic, Sanford OTB	Kentucky Downs	Prairie Meadows	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Premier Turf Club	Wyoming Downs
Connecticut OTB ADW	Lebanon	Presque Isle Downs	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Raceway Park	Yonkers Raceway
Paragon Casino	Lewiston OTB's		Yonkers ADW
Ho-Chunk Casino and			

. Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW Bet America and Offtrackbetting	Racing & Gaming Services	<b>Separate Pool Locations</b>
Millenium Racing	Lone Star	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	LVDC	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	Atlantis Paradise Casjino	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot		Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs,  
Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway  
Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,  
Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters  
Truro Raceway, Western Fair, Woodbine,

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant  
Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield,  
Striders, San Diego, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar	Full Card
Cal Expo	Harness Horses	Per CHRB Calendar	Full Card

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT.

- A. Racing officials nominated:

Association Veterinarian(s) – Sarah Sporer, D.V.M.

Clerk of Scales – Kenneth Sjoldal

Clerk of the Course – Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)

Film Specialist – Danny Winick & Assistant Clerk of Scales

Horse Identifier – Darrel Sparks

Horseshoe Inspector – Augustine Rayas

Paddock Judge – Matt Nichols

Patrol Judges – Lisa Jones & Matt Nichols

Placing Judges – McKenzie Talbot & Cheryl White

Starter – Todd Stephens

Timer – Melody Truitt

- B. Management officials in the racing department:

Director of Racing Oak Tree at Pleasanton (OTP) – Larry A. Swartzlander

Racing Secretary – Tom Doutrich

Assistant Racing Secretary – Linda Anderson

Paymaster of Purses – Victoria Layne (C.A.R.F.)

Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Niccoli Reporting**

**619 Pilgrim Dr.**

**Foster City, CA 94404**

**Telephone: 650-573-9339**

**[pdqcsr@comcast.net](mailto:pdqcsr@comcast.net)**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/18)**  
**Equipment description list attached.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2021)**

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**  
**Racetrack/Grandstand: Security Eye Patrol and/or Alameda County Sheriff's Office**  
**Liaison Officer: For Security Eye Patrol: Captain John Rogan 925-518-7104**  
**Liaison Officer: For Alameda County Sheriff's Office: Commander Don Buchanan**  
**510-225-5889**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
**Racetrack/Grandstand: 6 Security Eye Patrol Guards and/or 2 Alameda County Sheriff Officers.**  
**Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.  
**NA**
  2. Detention Stalls:
    - A. Attach a plan for use of graded stakes or overnight races.  
**N/A. Addressed in B1 above.**
    - B. Number of security guards in the detention stall area during a 24-hour period.  
**One**
    - C. Describe number and location of surveillance cameras in detention stall area.  
**Surveillance cameras at each end of the detention shed row and two in between.**
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in thoroughbred races where the number is determined by a random algorithm generator.**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Trainer with high test results will be moved to the detention area.**
    - C. Plan for detention stalls for repeat offenders.  
**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**
    - D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when detention stalls are occupied.**

**Item #14 A:****Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

**Alameda County Agricultural Fair Association**

Jerome Hoban, CEO	925-567-6032
Richard Sims, Manager of Maintenance Operations	925-596-5744
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

**Security Eye**

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519

**Alameda County Sheriff Department**

Fairgrounds Command Center	925-426-7525
Commander Don Buchanan	510-225-5889

**Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.**

C. Describe the electronic security system.

**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**4 surveillance cameras monitoring this area**

**15. EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Royal Ambulance, 14472 Wicks Blvd, San Leandro, CA 94577 877-995-6161**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See Attached**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Golden Gate Fields  
Turf Rescue LLC  
4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See Attached**

C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Dr. Tom Streeter Cell 209-608-8009**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Randy Magee, Health & Safety Manager, Patti Counce, Assistant Manager**

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**On file with CHRB.**

# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

## Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from

December 16, 2016

to

December 16, 2018

for

**BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**



Travis Kusman  
Alameda County EMS Director



Karl Sporer, MD  
Alameda County EMS Medical Director

An embossed seal should appear in a grey box

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

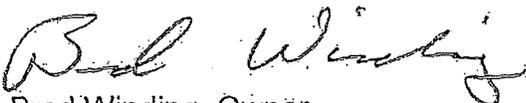
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 18, 2016

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2017 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

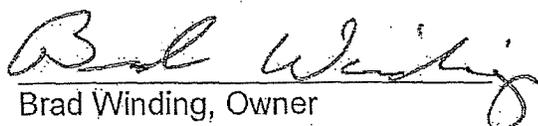
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, Vice President & General Manager

TURF RESCUE, LLC



Brad Winding, Owner

Paramedic for Live Race Meet:

The Alameda County Fair contracts with Royal Ambulance for training a live racing. Royal is a BLS, as required for training.

To meet the requirement for a Paramedic during the live races two Alameda County Fire Department Paramedics will be on a full medically equipped modified golf cart with a stretcher. This vehicle is equipped to handle medical emergencies just as an ambulance would be. The paramedic will be staged at the entrance to the race track, ready to go should an emergency occur.

**Item #15F English:****Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

### Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

### All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

**Artículo #14F Español**

Procedimientos del Estado de California en los accidentes de carreras de caballo.

**Ambulancia de Pista**

La ambulancia de pista llegara in mediatamente al escena del accidente y asumira triage y las responsabilidades de cuidado del paciente y evacuar.

**La Seguridad**

- 1.) Tan pronto como sea posible, un miembro de personal de seguridad de pista in formará a la escena del accidente y posterior mente tomará la dirección de la EMT responsable del manejo de la escena.
- 2.) Un miembro del personal de seguridad de pista procederá a la sala de jockey para a asegurar el área de traslado de ambulancia y evitar visitas de personas de la zona del accidente.
- 3.) Un miembro del personal de seguridad de pista se encargará de escoltar vehiculos de emergencia.
- 4.) Personal de seguridad sera responsable para toda las actividades de control de la gente.

**Carreras Pista de Personal/Veterinario**

- 1.) A su llegada a la escena el outrider debe tener el caballo lesionado con el fin devitar más daños a personas, caballos y propiedad.
- 2.) Caballos con lesiones graves deben ser transportados fuera de la pista a través de la ambulancia de caballos siempre que sea práctico hacerlo.
- 3.) El veterinario de la pista debrerá tomar la decisión acerca de la necesidad de la eutanasia en la pista.
- 4.) La pantalla de bloqueo del publico del caballo lesionado se establecerá antes del procedimiento del eutanasia.
- 5.) Outriders son responsables de la eliminación de cualquier residuo de la pista después de la extirpación de la persona lesionada o caballo de la pista.

**Personal de Planta**

- 1.) La ambulancia del caballo deberá via jar in mediatamente a la escena del accidente siempre y cuando parece que un caballo requieve transporte.
- 2.) Miembros del personal de planta que se encuentran cerca del accidente se prestarán asistencia en el bloquero de la accidente de la vista publica y deberá tomar la dirección de la EMT responsable del manejo de la escena de accidente.

**Anunciador**

El anunciador hará jinetes tanto de la situación como la (ubicación de un caballo suelto o la necesidad de parar el caballo etc.) que les permita tomar las medidas necesarias para mitiqar los problemas adicionales.

Administrativa Superior

- 1.) Un representante senior de pista debe proceder rápidamente a la ubicación de la pista de carreras donde el accidente sucedió. El administrador debe informar a otros miembros del equipo directivo el condición del accidente.
  - 2.) Un miembro adicional del equipo administrativo debe reportar al departamento de video para controlar la escena y determinar el grado de cobertura en video transmitido al público.
  - 3.) Un miembro de equipo administrativo debe aportar en cuanto a anuncios a realizar por el anunciador de la pista.
  - 4.) Un miembro del equipo administrativo debe ser responsable para ver que información se comunicó a los familiares de los heridos. Debe de ser el mayor es fuerza para escoltar a miembros de la familia si es necesario. En este sentido una recopilación actual a quien debe ser notificado en caso de un jinete heido se mantiene en el archivo.
- 
- 5.) Todos los anuncios publicos y respuestas a consultas de la prensa están dentro de la competencia exclusiva del miembro administrativo del equipo luego disponibles.

Todos los Jefes del Departamento

Todos los jefes del departamento deberán comunicar a sus empleados que aunque hay buenas intenciones, el tratamiento del jinete lesionado debe ser dado por personal entrenado y todos los demas empleados deben permanecer legos de la escena de un accidente.



**Alameda County Fire Department**  
**FIRE PREVENTION**

[www.acgov.org/fire](http://www.acgov.org/fire)

April 21, 2017

DAVID A. ROCHA  
 Fire Chief

COUNTY  
 FIRE PREVENTION  
 399 Elmhurst, Room 120  
 Hayward, CA 94544  
 tel (510) 670-5853  
 fax (510) 887-5836

DUBLIN  
 FIRE PREVENTION  
 100 Civic Plaza  
 Dublin, CA 94568  
 Tel (925) 833-6606  
 Fax (925) 829-9248

EMERYVILLE  
 FIRE PREVENTION  
 1333 Park Avenue  
 Emeryville, CA 94608  
 Tel (510) 596-3759  
 Fax (510) 450-7812

NEWARK  
 FIRE PREVENTION  
 37101 Newark Blvd.  
 Newark, CA 94560  
 Tel (510) 578-4218  
 Fax (510) 578-4281

SAN LEANDRO  
 FIRE PREVENTION  
 835 E. 14th Street  
 San Leandro, CA 94577  
 Tel (510) 577-3317  
 Fax (510) 618-3445

UNION CITY  
 FIRE PREVENTION  
 34009 Alvarado-Niles Road  
 Union City, CA 94587  
 Tel (510) 675-5470  
 Fax (510) 487-2117

Jerome Hoban, CEO  
 Alameda County Fair  
 4501 Pleasanton Avenue  
 Pleasanton, CA 94566

RE: Annual Inspections of 2017 Racing

Dear Mr. Hoban,

ACFD conducted the annual inspections of the Barns on March 23 and re-inspected on April 20, 2017. A Fire Clearance is granted for the barns for the upcoming 2017 racing season. On-going inspections will occur throughout the entire run of the fair and racing to ensure that compliance with all Fire Code Regulations is maintained.

Sincerely,

Monica Jackson  
 Deputy Fire Marshal

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):  
**Self-Insured, see 14J attachment**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**16. CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Food & Beverage:

Food Service: Spectra, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Tip Sheets: West Coast Wheelie

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas.CA 94566

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes  No

**17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**See Attached**
- B. Promotional/ Marketing budget for this race meeting:  
Promotional/Marketing budget for prior race meeting:  
**Advertising \$75,000 and Promotions \$5,000.**



March 6, 2017

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Alameda County Fair  
4501 Pleasanton Avenue  
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. GENERAL LIABILITY PROGRAM**

A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)  
Coverage continuous until cancelled

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM**

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2017 to 07/01/2018

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

**Lianne Lewellen**

Lianne Lewellen  
Risk Analyst



OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

SEPT 21-24 • SEPT 29-OCT 1

FREE ADMISSION



2017 FALL RACE MEET

Advertising & Promotional Plan



## FREE ADMISSION DAILY

The Alameda County Fairgrounds is proud and excited to host the second annual Oak Tree at Pleasanton Fall Meet. In order to maximize community involvement, an integral part of our overall marketing strategy will be to offer free admission for the duration of the meet.

### FALL MEET DETAILS

#### Race Dates:

September 21 - 24

September 29 - October 1

#### Post Time:

1:15 p.m. daily, 2:15 p.m.

Fridays

### ADVERTISING: TRADITIONAL MEDIA

- Broadcast Cable (Bay Area, San Joaquin Valley and San Jose region)
- TVG (advertising & broadcast)
- Radio buys with partnerships to create added value
- Sam Spear Radio Show KNBR
- Radio - KGMZ
- Media partnership with Univision
- Outdoor advertising billboards
- Alameda County Fair electronic

- Newspaper print to create a buzz in the community: Pleasanton Weekly, Independent, Fremont Argus, and Your Town Monthly
- Daily racing form print advertising
- Paulick Report
- Chamber events (grassroots marketing)
- Attend Community Events in Alameda County to promote Fall race meet
- Poster and Flyer distribution throughout Alameda County



#### DIGITAL & SOCIAL MEDIA

- Digital marketing campaign with re-targeting tools
- Facebook, Instagram and Twitter ads
- TwinSpires.com partnership
- Daily racing form web banners and ads
- Paulick Report web banners
- Visit Tri-Valley Eblast to Subscribers
- Targeted e-Blast to over 105,000 Alameda County Fair patrons
- Targeted digital ads: Diablo Magazine, Chamber Link, Your Town Monthly, ACES Golf

#### PROMOTIONAL PARTNERSHIPS

- KKIQ
- Pleasanton Downtown Association
- Visit Tri-Valley
- KOHL 89.3 Oholone College Radio

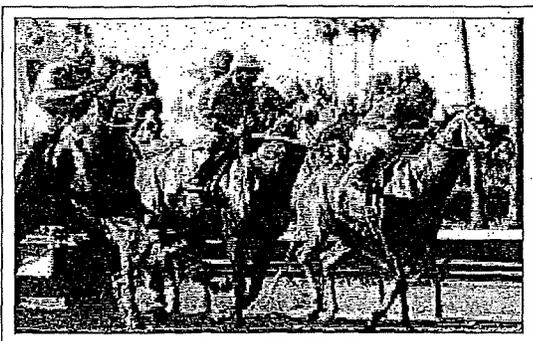
#### DAILY PROMOTIONS/SPECIAL TRACK EVENTS

- Win, Place, Show Promotion: The goal of this long-time racing fair staple is to introduce first-timers to the process of placing a wager in a low key and fun environment. Patrons learn to look at a program of horses/jockeys, select a horse, place their ticket in a numbered box color-coordinated to the saddle towels, understand the basic terms "win," "place," "show" and to cheer their horse home. This simple promotion gives patrons the tools and confidence to step up to a pari-mutuel window and repeat the process. And of course, regulars love to play because it is ultimately a daily cash drawing.
- Giveaway: A long sleeve t-shirt giveaway is planned for the Opening Day of the meet.

- Daily Racing Workshops: Track Announcer Chris Griffin, Dennis Miller and a special industry guest handicap the day's card and analyze the runners. A free drawing for prizes follows the seminar.
- Poker Run to the Races
- Fan Cave: Watch MLB, NFL & College Football all day every day.

# DAILY FEATURE EVENTS

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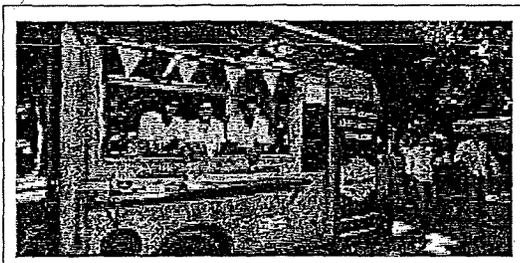


## **OPENING DAY - FREE PARKING**

Guests enjoy FREE parking all day and a free shirt giveaway (while supplies last).

**THURSDAY, SEPT 21**

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## **\$2 BEERS, FOOD TRUCKS & FREE PARKING FRIDAYS**

The Oak Tree at Pleasanton Fall meet will be the place to be on Fridays for the most exciting and affordable Happy "Hour" in the Bay Area. Admission to the races is free and will feature \$2 beers ALL DAY. The track will partner with Uber to provide discounted, responsible transportation to/from the track.

**FRIDAY, SEPT 22 & 29**

For food lovers, a variety of food trucks will be onsite for tasty temptations for all to enjoy. FREE parking for all guests as well!

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## **SIP, SAVOR AND WAGER WINE FESTIVAL**

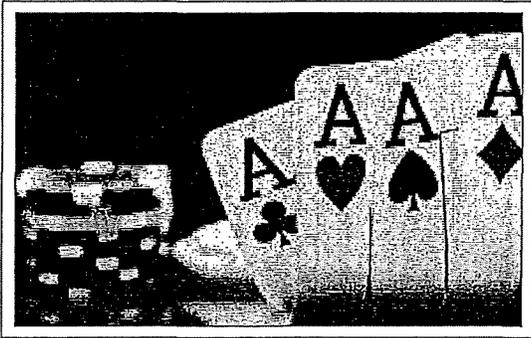
Enjoy the races while taking a relaxed wine tasting passport stroll through the special tastings of 20 participating Livermore Valley wineries. Have the passport stamped at each location you visit. Turn in the passport with your name & email address to be entered into a drawing for your own 2018 Oak Tree at Pleasanton box seat for six.

**SATURDAY, SEPT 23**

This is a 21+ event. \$20 advance per person (\$25 at the door). Includes unlimited wine sampling, commemorative wine glass, racing program and tip sheet. Live horse racing is always free admission.

# DAILY FEATURE EVENTS

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## POKER RUN TO THE RACES

**SUNDAY, SEPT 24**

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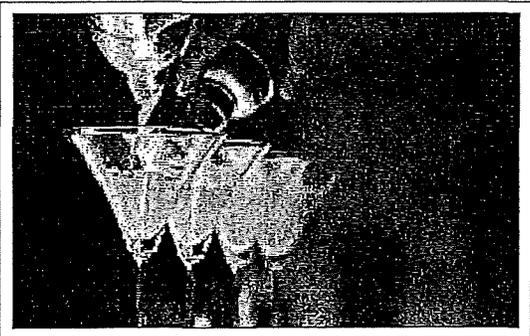


## CRAFTOBERFEST CRAFT BEER FESTIVAL

As the Tri-Valley returns to its hop roots and joins the newly emerging craft beer craze sweeping California, the Oak Tree at Pleasanton Fall meet will host Craftoberfest featuring tastings from 20 local breweries.

**SATURDAY, SEPT 30**

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## MIXOLOGY FEST

**SUNDAY, OCT 1**

# PART 3

## RACING PRODUCT

### RECRUITMENT & PRODUCT ENHANCEMENT

The Alameda County Fair, in conjunction with the California Authority of Racing Fairs (CARF), the Northern California racing fair circuit and the California Marketing Committee, is investing \$150,000 in horse recruitment and product enhancement. We will offer a three-pronged approach to increasing field size by recruiting, retaining and recognizing horsemen.

#### Trainer Incentive for Starts

\$70,000 is budgeted for retention through the CARF Trainer Incentive Program. The incentive program was first implemented in 2013 and generated a 49% increase in entries and 46% increase in starts by qualifying horsemen. Thoroughbred trainers making 5-9 starts during Pleasanton, Ferndale, Pleasanton Fall and Fresno will receive a \$500 bonus, while Thoroughbred trainers making 10 or more starts will receive a \$1,000 bonus. Incentives at Cal Expo will be \$800/\$1,500 to help with shipping. All payments will be tracked and paid through the CARF Paymaster.

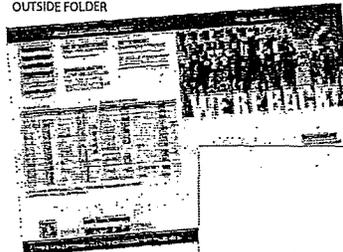
#### Out-of-State Recruitment

\$50,000 is budgeted for out-of-state recruitment. CARF will pay a relocation fee of \$300 to the designated payee of any horse making its first start at Pleasanton, Sacramento, Santa Rosa, Ferndale, Pleasanton Fall or Fresno after shipping over 450 miles. A qualifying runner making its second start at one of these Fairs will receive \$150 (with the total incentive not to exceed \$400 per horse). Qualifying horses making their first start at Ferndale will receive \$150 for their initial start, \$150 for their second start and are eligible for another \$150 payment if they start at Pleasanton Fall or Fresno. All payments will be tracked and paid through the Fair Paymaster.

#### Advertising & Recognition

\$30,000 is budgeted for horsemen recognition, collateral materials and advertising. This includes ad buys and the design/printing for the CARF VIP Program, out-of-state recruitment collateral, posters, flyers, direct mail pieces, print and online advertising.

OUTSIDE FOLDER



INSIDE FOLDER



2016 PURSES*				PURSES (continued)			
Category	Claiming	Condition	2016 Fair Fundable	Category	Claiming	Condition	2016 Fair Fundable
Allowance	Open		\$27,000	Claiming	\$20,000	NW2/Class	\$18,000
Allowance	NW1		\$27,000	Claiming	\$25,000	NW1	\$24,000
Aw/Op/Claiming	\$25k/\$32.5k	NW1	\$28,000	Claiming	\$25,000	NW2	\$18,000
Aw/Op/Claiming	\$50k/\$62.5k	NW2	\$28,000	Claiming	\$28,000	NW3	\$18,000
Aw/Op/Claiming	\$90,000	NW3	\$29,000	Claiming	\$32,000	NW3	\$25,000
Claiming	\$1,600	Humboldt Only	\$4,000	\$4,000			\$4,000
Claiming	\$2,300		\$7,000	\$7,000			\$6,000
Claiming	\$3,200	NW/Class	\$9,000	\$7,000			\$7,000
Claiming	\$3,200	Open or Date	\$9,000	\$7,500			\$7,500
Claiming	\$4,000		\$11,000	\$8,000			\$8,000
Claiming	\$4,000	NW2	\$9,800	\$7,800			\$7,800
Claiming	\$4,000	NW3	\$9,000	\$7,800			\$7,800
Claiming	\$4,000	NW4 or Date	\$9,000	\$7,800			\$7,800
Claiming	\$5,000		\$12,000	\$8,500			\$8,500
Claiming	\$5,000	NW2/Class	\$11,000	\$8,000			\$8,000
Claiming	\$6,250	Open or Date	\$13,000	\$9,000			\$9,000
Claiming	\$8,000		\$13,500				\$13,500
Claiming	\$8,000	NW2/Class	\$13,000				\$13,000
Claiming	\$8,000	NW3	\$10,000	\$8,000			\$8,000
Claiming	\$10,000		\$14,000				\$14,000
Claiming	\$10,000	NW3	\$12,000				\$12,000
Claiming	\$12,500	NW2/Class	\$14,000				\$14,000
Claiming	\$12,500		\$17,000				\$17,000
Claiming	\$16,000	NW2	\$14,000				\$14,000
Claiming	\$20,000		\$19,000				\$19,000
Claiming	\$20,000		\$19,000				\$19,000

\*Dates and purses are subject to change. Purse schedule is pending TOC approval.

#### 2016 STAKES SCHEDULE\*

DATE	TRACK	RACE	PURSE	DESCRIPTION
JUN 18	PLEASANTON	Pleasanton Oaks Stakes	\$50,000	Added Overnight Stakes** F, 3 YO 6 Furlongs
JUN 25	PLEASANTON	Oak Tree Handicap	\$50,000	Added Overnight Hdcp* 3 YO & Up 8.5 Furlongs
JUL 2	PLEASANTON	Juan Gonzalez Memorial	\$50,000	Added Overnight Stakes** F, 2 YO 5.5 Furlongs
JUL 3	PLEASANTON	Everett Nevin Stakes (Cal-Bred)	\$100,000	Guaranteed Stakes** 2 YO 5.5 Furlongs
JUL 4	PLEASANTON	Oak Tree Sprint	\$50,000	Added Overnight Stakes** 3 YO & Up 6 Furlongs
JUL 23	SACRAMENTO	Governor's Handicap	\$50,000	Added Overnight Hdcp* F&M, 3 YO & Up 6 Furlongs
AUG 28	FERNDALE	C.J. Hindley HC Marathon HDCEP	\$20,000	Guaranteed Hdcp 3 YO & Up 1.3 Furlongs
OCT 8	FRESNO	Harris Farms Stakes (Cal-Bred)	\$100,000	Guaranteed Stakes** 3 YO & Up 6 Furlongs
OCT 16	FRESNO	The Bulldog Handicap	\$50,000	Added Overnight Hdcp* 3 YO & Up 9 Furlongs

\*Plus up to \$15,000 for Cal-Breds  
\*\*Golden State Series includes \$40,000 from CTBA

**Trainers are eligible for Cash Bonuses at all 5 Fairs!**

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CARF Fair Circuit...the very heart of horse racing!



# 2016 RACING FAIRS



# WE'RE BACK!

## JUNE

S	M	T	W	TH	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

## JULY

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22	23	24	25	26	27	28
29	30	31				

## AUGUST

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22	23	24	25	26	27	28
29	30	31				

## SEPTEMBER

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1	2	3	4	5	6	7
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15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

## OCTOBER

S	M	T	W	TH	F	S
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8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

**PLEASANTON**  
June 16-July 4 (12 Days)

**SACRAMENTO**  
July 8-24 (10 Days)

**FERNDALE**  
August 19-28 (6 Days)

**PLEASANTON**  
Sept 25-Oct 2 (8 Days)

**FRESNO**  
October 6-16 (9 Days)

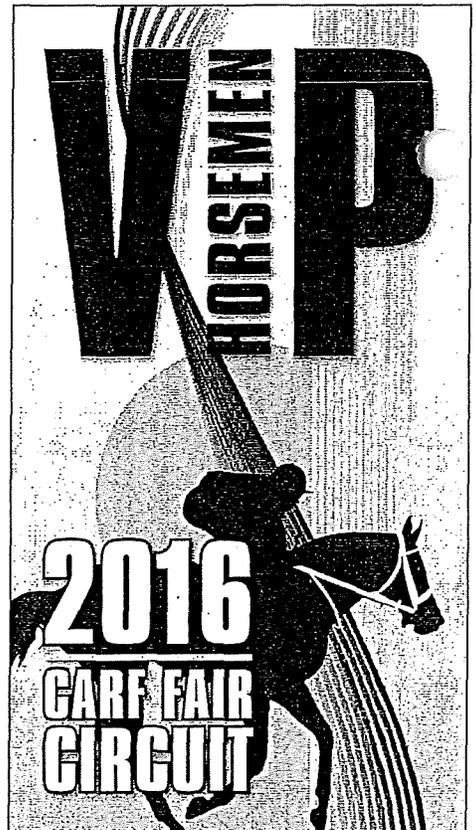
Bringing a new stable to Northern California? Call us for relocation assistance.  
(415) 271-4613

**OUT-OF-STATE HORSES**  
\$300 1st start, \$150 2nd start (\$150/\$150 Ferndale).

**VIP PROGRAM**  
The VIP Program provides one parking pass/credential for the CARF Fair Circuit, special access and other perks. Contact the Racing Secretary for details.

**HORSEMEN HOTLINE**  
Call (877) 722-3071 between 10am-3pm on race days

\*Emerging Breed and Thoroughbred trainers are eligible at Ferndale



GO TO  
**CalFairs.com**  
FOR MORE INFO

Toll-Free Entries:  
(877) 722-3860

Tom Doutrich, Racing Secretary (415) 271-4613  
Larry Swartzlander, COO (916) 799-7084  
Vicki Layne, Paymaster (916) 715-8642

# ADVERTISING EXAMPLES

All graphics shown are examples only and subject to change.

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

SEPTEMBER 23 24 25  
OCTOBER 1 2

**IN PROGRESS**  
FALL HORSE RACING  
WAGER  
PLEASANTON FAIRGROUNDS  
SEPTEMBER 24

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

SEPTEMBER 23  
**CRAFTOBER**  
PLEASANTON FAIRGROUNDS  
OCTOBER 1

WINE FESTIVAL  
\$20 advance per person (\$25 at the door)  
Includes unlimited wine sampling, commemorative wine glass, racing program and tip sheet.

CRAFTOBER FESTIVAL  
3-6 PM  
\$40 advance per person (\$45 at the door)  
Includes unlimited craft beer samples, commemorative glass, racing program and tip sheet. 21+

Presented by  
alamedacountyfair.com

Event Club Cards

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

**FREE ADMISSION • SEPT 21-24 • SEPT 29-OCT 1**

LIVE HORSE RACING FREE ADMISSION  
SIP, SAVOR & WAGER WINE FESTIVAL SEPTEMBER 23  
CRAFTOBERFEST SEPTEMBER 30  
MIXOLOGY FESTIVAL OCTOBER 1  
FOOD TRUCK FRIDAYS

alamedacountyfair.com

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

SEPT 21-24 • SEPT 29-OCT 1 • POST 2:15 PM FRIDAY-1:15 PM SATURDAY & SUNDAY

<p><b>THURSDAY, SEPT 21</b></p> <ul style="list-style-type: none"> <li>• FREE Parking All Day</li> <li>• T-Shirt Giveaway</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	<p><b>FRIDAY, SEPT 22</b></p> <ul style="list-style-type: none"> <li>• FREE Parking All Day</li> <li>• \$2 Beers until 4 PM</li> <li>• Food Trucks</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	<p><b>SATURDAY, SEPT 23</b></p> <ul style="list-style-type: none"> <li>• Sip, Savor &amp; Wager Wine Festival</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	<p><b>SUNDAY, SEPT 24</b></p> <ul style="list-style-type: none"> <li>• Preaker Run to the Races</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>
<p><b>FRIDAY, SEPT 23</b></p> <ul style="list-style-type: none"> <li>• FREE Parking All Day</li> <li>• \$2 Beers until 4 PM</li> <li>• Food Trucks</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	<p><b>SATURDAY, SEPT 30</b></p> <ul style="list-style-type: none"> <li>• Craftoberfest Craft Beer Festival</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	<p><b>SUNDAY, OCT 1</b></p> <ul style="list-style-type: none"> <li>• Mixology Fest</li> <li>• Late Pick 4</li> <li>• 20 Cent Gold Rush Pick 6</li> <li>• 20 Cent Gold Strike Pentafecta</li> <li>• Fan Cave - Watch MLB, NFL &amp; College Football Games</li> </ul>	

alamedacountyfair.com

Schedule

OAK TREE AT PLEASANTON FAIRGROUNDS  
*Fall* HORSE RACING

**FREE ADMISSION • SEPT 21-24 • SEPT 29-OCT 1**

alamedacountyfair.com

Outdoor Billboards



Updated Website Graphics



Digital Ads

# ADVERTISING EXAMPLES



Instagram Ads

# IN PROGRESS

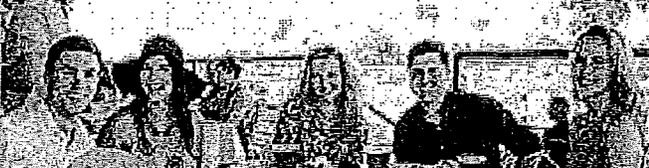


Facebook Ads



OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

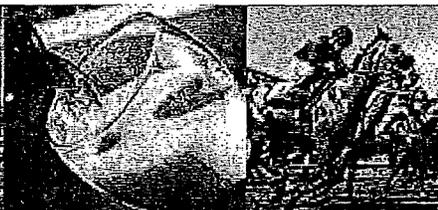
SEPTEMBER 23 24 25 30 OCTOBER 1 2



**RESERVE NOW** PARTIES AND CORPORATE EVENTS

Watch the Races in Style from the Exclusive VIP Lounge

- Exclusive indoor lounge
- Premium buffet dining
- No-host bar
- Wagering stations
- Wagering tellers available
- Air conditioned
- HD TVs throughout
- Sweeping views of the race track
- Groups of 40 or more



For more information, please contact  
info@tandempartnerships.com

alameda county fair

Pleasanton Racing  
September 9 at 2:32pm

Are you ready to Sip, Savor & Wager September 24th at the Fairgrounds in Pleasanton? Watch Live Horse Racing and taste some amazing wines. Tickets available at:  
<http://www.pleasantonotb.com/sip-savor-wager-wine-festival/>

Wine & Beer Festivals Highlight New Fall Horse Racing  
**September 24**



**SIP SAVER AND WAGER**  
PLEASANTON FAIRGROUNDS

Wine & Beer Festivals Highlight New Fall Horse Racing  
More than 20 wineries will be on hand to offer tastings at the Sip Savor and Wager Wine Fest on Saturday, September 24, 11:30 a.m. to 4:00 p.m. Tickets are \$25.00.  
YOUTUBE.COM

**IN PROGRESS**

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

**FREE ADMISSION**

SEPTEMBER 23 24 25 30  
OCTOBER 1 2

**\$700,000 IN PRIZE MONEY**  
POST 2:15 PM FRIDAY  
1:15 PM SATURDAY & SUNDAY



alamedacountyfair.com

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

**FREE ADMISSION**

SEPTEMBER 23 24 25 30  
OCTOBER 1 2

**SIP SAVER AND WAGER**  
PLEASANTON FAIRGROUNDS

BUY TICKETS NOW

SEPTEMBER 23  
OCTOBER 2

FAMILY FUN DAYS

OCTOBER 1

BUY TICKETS NOW

**CRAFTOBER**  
BEER FESTIVAL

alamedacountyfair.com

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

**FREE ADMISSION**

SEPTEMBER 23 24 25 30  
OCTOBER 1 2

**\$700,000 IN PRIZE MONEY**  
POST 2:15 PM FRIDAY  
1:15 PM SATURDAY & SUNDAY



alamedacountyfair.com

OAK TREE AT PLEASANTON  
*Fall* HORSE RACING

**FREE ADMISSION**

SEPTEMBER 23 24 25 30  
OCTOBER 1 2

**\$50K STAKES RACE**  
FRIDAY & SUNDAY FROM  
alamedacountyfair.com





- C. Number of hosts and hostesses employed for meeting:  
N/A
- D. Describe facilities set aside for new fans:  
**Daily Racing Seminars, located on the Paddock Patio**
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: None.

## 18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission, Grandstand (general)	Free
Reserved seating, 1 box seat ea.	\$5.00
Reserved seating (clubhouse)	N/A
Parking (general)	\$10
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

- B. Describe any "Season Boxes" or other special accommodation fees:  
**Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. Trackside Terrace: Table for the day with upscale buffet lunch; \$40 A Champagne brunch will be offered on Sunday's.**

## 19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys:  
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.**

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 95
- C. Number of restrooms available on the backstretch of the racetrack: 9 (Total commodes =17)
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 to 10.

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line 5,280 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner Rail: Fontana Safety Rail**  
**Outer Rail: Aluminum gooseneck, supports with aluminum top rail**  
**Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Trackmaster – Steve Wood.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

**22. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**All Horsemen's agreement on file with CHRB.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

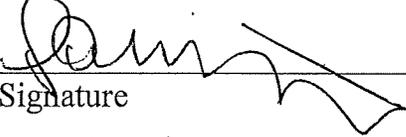
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban  
Alameda County Fair CEO

  
\_\_\_\_\_  
Signature  
7-2-17

\_\_\_\_\_  
Date  
  
\_\_\_\_\_  
Signature  
7/2/17  
\_\_\_\_\_  
Date

Sherwood C. Chillingworth  
Executive Vice-President, Oak Tree

STAFF ANALYSIS  
July 20, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 27, 2017 THROUGH OCTOBER 31, 2017.

Los Angeles Turf Club II (LATC II) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita September 27, 2017, through October 31, 2017, or 19 days; two days less than 2016. LATC II proposes to race a total of 155 to 195 races, or 8.16 to 10.26 races per day. In 2016, LATC II ran 8.76 races per day with an average of 8.3 runners per race. The average daily purse for the 2016 race meeting was \$457,558. The (estimated) average daily purse for this meet is \$490,523.

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing Friday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 9, 2017 and no racing on Thursday, October 12, 2017. 7 to 9 races weekdays; 9 to 11 races opening day, weekends and holidays; 9-12 races September 30, 2017 and October 7, 2017.
- Racing concurrently with the dates allocated to Golden Gate Fields, California Authority of Racing Fairs at Pleasanton, and Fresno County Fair.
- First post 1:00 p.m., weekdays, 12:30 p.m., weekends and Holidays:
  - Post times may vary by up to 30 minutes.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering 8:30 a.m. to 10:00 a.m. daily.
    - Rolling \$2 Daily Doubles beginning with the first race – 20% takeout.
    - \$1.00 Exacta on all eligible races.
    - \$0.50 Trifecta on all eligible races.
    - \$0.10 Superfecta wagering on all eligible races.
    - \$1 Pick 3.
    - \$0.50 Pick 4 on races 2-5 and the last four races; No consolation; 100% payout; Alternate runner provision.
    - \$0.50 Pick 5 on the first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.

- \$1 Pentafecta on all eligible races – 14% takeout; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners. No consolation; 100% carryover to next race (including to the next race day, if applicable).
- \$2 Pick 6 Last six live races; First share - 70%; Second share – 15%; Third share – 15%:

Payout distribution will be in accordance with ARCI 004-105(G)(2)(i):

- In the event that there is no unique winning ticket, that day's unique share (i.e. third share), as well as any applicable carryover, be paid to ticket holders who selected the winner.
- In the event that there is no ticket which correctly selected the winner, that day's first share and any carryover, and that day's third share and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races.

Late Surface Change:

- Any day there is a surface change the net pool shall be distributed as a single price pool for those whose selection finished first.
  - Santa Anita requests that any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a "Win All," not a "no contest."
  - Santa Anita also request that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(i) will be used. If there is no unique ticket that day, that day's unique share (i.e. third share) will be added to the day's first share.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2017; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG, and NYRAbets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- XpressBet, LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.
- ODS Technologies, L.P. dba TVG Network
- NYRAbets.com, LLC

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. California Thoroughbred Trainers race meet agreement
2. Thoroughbred Owners of California race meet agreement
3. Thoroughbred Owners of California approval of Advance Deposit Wagering agreement
4. Thoroughbred Owners of California approval of takeout for Super Hi-5 wager
5. Bond expires September 28, 2017

RECOMMENDATION:

If the outstanding items, with the exception of the Bond, are not received by Friday, July 7, 2017, Staff recommends that the application not be heard at the July 20, 2017 Board meeting.



June 29, 2017

Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Pick 6 Payout on Mandatory Payout Days and on Days with a Late Surface Change

Rick,

As specified in Santa Anita Park's 2017 Autumn race meet license application (license commencing September 27, 2017 and continuing through October 31, 2017) we will be using ARCI 004-105(G)(2)(i) to govern the payout of our Pick 6.

#### **Mandatory Payout Days**

Pursuant to ARCI 004-105(G)(12), Santa Anita Park is requesting that on any mandatory distribution payout date which is approved by the California Horse Racing Board ("CHRB") during this license period, the following payout distribution method be used:

1. The payout distribution method, with all three shares being paid, as specified in ARCI 004-105(G)(2)(i); provided, however,
2. In the event that on such mandatory distribution payout date there is no unique winning ticket, that day's unique share (i.e. third share), as well as an applicable carryover, be paid to ticket holders who correctly selected the winner in each of the races included in the Pick 6; provided further that,
3. In the event that on such mandatory distribution payout date there is no ticket which correctly selected the winner in each of the Pick 6 races, that day's first share, and any carryover, and that day's third share, and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races included in the Pick 6.

In order to avoid any doubt, on any approved mandatory distribution payout date, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

#### **Late Surface Change**

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such

distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.”

Santa Anita Park requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a “Win All,” not a “no contest.”

Santa Anita Park also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used:

1. The payout distribution method as provided for in ARCI 004-105(G)(2)(i); provided, however,
2. If there is no unique winning ticket that day, that day’s unique share (i.e. third share) will be added to the day’s first share.

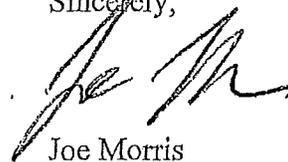
In other words, Santa Anita Park is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day’s unique share (i.e. third share) will be added to the first share and will either be paid to the ticketholders that correctly selected the winner in each of the races included in the Pick 6 or if there is no such ticket, it would then be added to the first share’s carryover. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover (i.e. third share carryover) can be paid out, however, it cannot grow.

In order to avoid any doubt, on any day in which there is a surface change after the Pick 6 pool closes, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the mandatory payout date rules would control with respect to payout distributions.

Santa Anita Park request these modifications be approved as part of its license application.

Sincerely,



Joe Morris

cc: Jackie Wagner  
Phil Laird  
Scott Daruty

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
**APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING**  
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club II, Inc.**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**  
**Phone (626) 574-7223 Fax (626) 446-9565**  
**frank.demarco@santaanita.com**

B. Breed of horse: TB  QH  H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**Surety bond has been extended through October 29, 2017 November 6, 2016. The renewal certificate will be forwarded to the Board.**

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

<b>CHRB CERTIFICATION</b>	
Application received: 6/29/17	Hearing date: 7/20/17
Deposit received: 6/30/17	Approved date:
Reviewed: <i>[Signature]</i>	License number:

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **September 27 – October 31, 2017**

B. Actual dates racing will be held:

**September: 29-30**

**October: 1, 5-9, 13-15, 19-22, 26-29**

C. Total number of days or nights of racing: **19 Days**

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify)

**Racing scheduled Thursday through Sunday weekly.**

**Exceptions: Racing – Monday, October 9, Columbus Day**

**No Racing – Thursday, September 28 and October 12**

E. Number of days or nights of racing per week: **4 days per week**

**Exceptions: 3 days: September 29 and 30, October 1**

**3. RACING PROGRAM**

A. Total number of races: **Estimated: Between 155 and 195**

B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on opening day, weekends and holidays**
- **Exceptions: Saturday, September 30<sup>th</sup> and October 7<sup>th</sup> will have 9 – 12 races**

C. Total number of stakes races: **21**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

**Attached Pending Stakes Schedule 3D**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

**Attached Exhibit 3D1**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

**Stakes renamed and decreased for 2017:**

Sun, Oct 30	COMMA TO THE TOP STAKES (LURE STAKES @)	\$70,000-	3 & UP	1 M (T)
Stakes purse decreased for 2017: 2015-\$70 2016-\$75 2017-\$70				
Sat, Sep 30	UNZIP ME STAKES	\$70,000-	F, 3 YO	abt 6 1/2 F (T)
Sat, Oct 7	SWINGTIME STAKES @	\$70,000-	F/M, 3 & UP	1 M (T)
Sun, Oct 15	SPEAKEASY STAKES	\$70,000-	2 YO	6 F

2017 Santa Anita AUTUMN STAKES

RACE DATE	RACE	2017 PURSE	2016 PURSE	2015 PUSE	AGE	DISTANCE	CLOSES
Fri, Sep 29	EDDIE D STAKES (G III)	\$100,000	\$100,000	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Sep 30	FRONTRUNNER STAKES (G I)	\$300,000	\$300,000	\$300,000	2 YO	1 1/16 M	
Sat, Sep 30	AWESOME AGAIN STAKES (G I)	\$300,000	\$300,000	\$300,000	3 & UP	1 1/8 M	
Sat, Sep 30	RODEO DRIVE STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/4 M (T)	
Sat, Sep 30	ZENYATTA STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/16 M	
Sat, Sep 30	CHANDELIER STAKES (G I)	\$300,000	\$300,000	\$300,000	F, 2 YO	1 1/16 M	
Sat, Sep 30	UNZIP ME STAKES	\$70,000+	\$70,000	\$70,000	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 1	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	\$200,000	did not run	3 & UP	1 1/4 M (T)	
Sat, Oct 7	CITY OF HOPE MILE (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 M (T)	
Sat, Oct 7	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	\$300,000	\$300,000	3 & UP	6 F	
Sat, Oct 7	SWINGTIME STAKES @	\$70,000+	\$70,000	\$70,000	F/M, 3 & UP	1 M (T)	
Sun, Oct 8	LA WOMAN STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 9	ZUMA BEACH STAKES	\$100,000	\$100,000	\$100,000	2 YO	1 M (T)	
Mon, Oct 9	SURER GIRL STAKES	\$100,000	\$100,000	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 14	CALIFORNIA DISTAFF	\$100,000	\$100,000	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 15	SPEAKEASY STAKES	\$70,000+	\$70,000	\$70,000	2 YO	6 F	
Sat, Oct 21	CALIFORNIA FLAG STAKES	\$100,000	\$100,000	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 22	ANOAKIA STAKES	\$70,000+	\$70,000	\$70,000	F, 2 YO	6 F	
Sat, Oct 28	TWILIGHT DERBY (G II)	\$200,000	\$200,000	\$200,000	3 YO	1 1/8 M (T)	
Sat, Oct 28	BIG BEAR STAKES	\$70,000+	\$70,000	\$70,000	3 & UP	1 MILE	
Sun, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	\$100,000	\$100,000	F, 3 YO	1 M (T)	

Supplemental nominations available for all stakes...

Sun, Oct 22 ANOAKIA STAKES

\$70,000- F, 2 YO

6 F

3. Identify the stakes races listed under item D1 that have been dropped or deleted and the reasons the stakes were dropped or deleted. **(Moved to Del Mar for Breeders' Cup)**

Stakes removed from schedule for 2017:

Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7F
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 UP	7F
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7F
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program\*\*:

Race Number	Weekday	Weekend & Holidays		
1	1:00 p.m.	12:30 p.m.		
2	1:30 p.m.	1:00 p.m.		
3	2:00 p.m.	1:30 p.m.		
5	3:00 p.m.	2:00 p.m.		
6	3:30 p.m.	3:00 p.m.		
7	4:00 p.m.	3:30 p.m.		
8	*4:30 p.m.	4:00 p.m.		
9	*5:00 p.m.	4:30 p.m.		
10		*5:00 p.m.		
11		*5:30 p.m.		
12		*6:00 p.m.		
(*When Applicable)				

\*\*Post times may vary by up to 30 minutes.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

- Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
- State where incorporated: **California**
- Registry or file number for the corporation: **#3481872**
- Names of all officers and directors, titles, and the number of shares of the corporation held

by each:

- Scott Daruty – (Director), 0 Shares
- Keith Brackpool – Chairman (Officer), 0 Shares
- Mike Rogers – Vice President, Operations (Officer), 0 Shares
- Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
- Jane Lynn – Assistant Secretary (Officer), 0 Shares
- Scott Daruty – Vice President (Officer), 0 Shares
- Joe Morris – Senior VP, West Coast Operations (Officer), 0 Shares

6. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
7. Number of outstanding shares in the corporation: **100 Shares**
8. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, Assistant Secretary**  
**Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**TSG Developments Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes  No   
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:

8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. **On file with the board.**

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
  - Keith Brackpool – Chairman**
  - Joe Morris – Senior Vice President West Coast Operations**
  - Scott Daruty – Vice President**
  - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**
  - Rick Hammerle – Vice President, Racing and Racing Secretary**
  - Nate Newby – Vice President, Marketing**
  - Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**
  - Candace Coder-Chew – Director of Print and Graphics**
  - Dan Cirimele – Senior Director of Marketing**
  - Randy Hartzell – Director of Pari-Mutuels**
  - Lou Scalera – Chief of Security**
  - Pete Siberelli – Director of Community Service and Special Projects**

**Jason Spetnegal – Director of Facilities & Grounds**  
**Mike Willman – Director of Publicity and Public Relations**  
**Nina Zukin – Director of Information Services**  
**Jay Cohen – Hornblower**  
**Michael Wrona – Public Address Commentator/Announcer**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Frank DeMarco – Vice President Regulatory Affairs and Secretary**  
**Los Angeles Turf Club II, Inc.**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**

**Phone (626) 574-7223**

**Fax (626) 446-9565**

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%  
DD Proposed percentage: 20%  
Super Hi-5 Proposed percentage: 14%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

**LATC Letter attached, Exhibit 5A**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

<b>Year</b>	<b>Handle</b>	<b>Attendance</b>
2016	\$194,597,987	151,090
2015	\$167,050,366	120,582
2014	\$217,669,596	127,905
2013	\$210,596,121	133,582
2012	\$276,377,652	147,562



June 16, 2017

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2017 Autumn Meet, September 27, 2017 through October 31, 2017.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Super Hi-5 - \$1.00 minimum wager offered every race on each day's card subject to a 14% takeout rate.
- Players Pick-5 - A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

Very truly yours,

Frank DeMarco, Jr.  
Vice President Regulatory Affairs  
& Secretary

FDM:sm

cc: Joe Morris



June 28, 2017

Ms. Jackie Wagner  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

RE: Santa Anita (LATC II) 2017 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, September 27, 2017 through October 31, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
 President & CEO

cc: Eric Sindler  
 Tom Varela  
 Mary Saenz

**OFFICERS**

NICK ALEXANDER  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA. &  
 SECRETARY/TREASURER  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 MIKE PEGRAM  
 AT LARGE  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
 BOB BAFFERT  
 JOE CIAGLIA  
 MARK DEDOMENICO  
 RON ELLIS  
 GARY FENTON  
 TERRY LOVINGIER  
 SAMANTHA SIEGEL  
 KATHY WALSH

**EXECUTIVE STAFF**

GREG AVIOLI  
 PRESIDENT & CEO  
 MARY FORNEY  
 EXECUTIVE DIRECTOR  
 LIZ MOREY  
 NORTHERN CALIFORNIA LIAISON  
 ELSA PERON  
 ADMINISTRATIVE ASSISTANT

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

7-16



**TOC**

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

**OFFICERS**

NICK ALEXANDER  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
MIKE PEGRAM  
AT LARGE  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
JOE CIAGLIA  
MARK DEDOMENICO  
RON ELLIS  
GARY FENTON  
TERRY LOVINGIER  
SAMANTHA SIEGEL  
KATHY WALSH

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO  
MARY FORNEY  
EXECUTIVE DIRECTOR  
LIZ MOREY  
NORTHERN CALIFORNIA LIAISON  
ELSA PERON  
ADMINISTRATIVE ASSISTANT

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

June 28, 2017

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita (LATC II) 2017 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Autumn race meet, September 27, 2017 through October 31, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Eric Sindler  
Tom Varela  
Mary Saenz

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

**A. Purse distribution:**

- 1. All races other than stakes:  
**Current meet estimate: \$ 5,119, 542**  
**Prior meet actual: \$ 5,268,321**

Average Daily Purse (7 A1 ÷ number of days):  
**Current meet estimate: \$ 269,450**  
**Prior meet actual: \$ 250,872**

- 2. Overnight stakes:  
**Current meet estimate: \$ 350,000**  
**Prior meet actual: \$ 375,000**

Average Daily Purse (7 A2 ÷ number of days):  
**Current meet estimate: \$ 18,421**  
**Prior meet actual: \$ 17,857**

- 3. Non-overnight stakes:  
**Current meet estimate: \$ 2,684,000**  
**Prior meet actual: \$ 2,776,600**

Average Daily Purse (7 A3 ÷ number of days):  
**Current meet estimate: \$ 141,263**  
**Prior meet actual: \$ 132,219**

- 4. Total Purses: (7A1+7A2+ 7A3)  
**Current meet estimate: \$ 8,153,542**  
**Prior meet actual: \$ 8,419,921**

**B. California-bred Stakes Races:**

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
**Current meet estimate: 05.6%**  
**Prior meet actual: 07.2%**

Average Daily Purse (7 B1 ÷ number of days):  
**Current meet estimate: \$ 7,895**  
**Prior meet actual: \$ 9,524**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
**Current meet estimate: \$ 915,630**  
**Prior meet actual: \$ 939,354**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization		
Current meet estimate:		Prior meet actual:
NTRA	\$ 9,532	\$ 9,539

<b>TOC</b>	<b>73,099</b>	<b>72,337</b>
<b>CTBA</b>	<b>58,482</b>	<b>59,067</b>
<b>CTT Pension</b>	<b>73,099</b>	<b>72,337</b>
<b>CTT Admin.</b>	<b><u>36,549</u></b>	<b><u>36,169</u></b>
<b>Total</b>	<b>\$ 250,761</b>	<b>\$ 249,449</b>

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

**Current meet estimate: \$ 9,319,933**  
**Prior meet actual: \$ 9,608,724**

Average Daily Purse (7 E ÷ number of days):

**Current meet estimate: \$ 490,523**  
**Prior meet actual: \$ 457,558**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

**Current meet estimate: \$ 3,688,083**  
**Prior meet actual: \$ 3,714,021**

Average Daily Purse (7 F ÷ number of days):

**Current meet estimate: \$ 194,110**  
**Prior meet actual: \$ 176,858**

G. Purse funds to be generated from interstate handle:

**Current meet estimate: \$ 4,711,748**  
**Prior meet actual: \$ 4,782,314**

Average Daily Purse (7 G ÷ number of days):

**Current meet estimate: \$ 247,987**  
**Prior meet actual: \$ 227,729**

H. Bank and account number for the Paymaster of Purses' purse account:

**On file**

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730**  
**Phone: (909) 944-6465** **Email: [craigbmiller@verizon.net](mailto:craigbmiller@verizon.net)**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**8. STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 850**
  - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**The 2016 Stabling and Vanning agreement is on file with the Board.**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**Estimated \$15.53 per day, per stall**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- **Los Alamitos \$180 RT**
  - **SLR Training Center \$360 RT**

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
**There is a rotation of private veterinarian's onsite during training hours and during racing hours.**
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.  
**There is a rotation of private veterinarian's onsite during training hours and during racing hours.**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta,

and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS  
Example Race: \$1 E; \$1 Double

APPLICABLE RULES  
CHRB #1959; RCI #VE

**See Attached for wagering menu, bet minimum, rules, and additional wagering information.**

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **\$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket replaces the \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:  
**Unlimited; final distribution October 29, 2017**

D. List any options requested with regard to exotic wagering: **N/A**

E. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Each race day from 8:30 a.m. to 10:00 a.m.**

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

**Keith Johnson**  
**AmTote International Inc. Expires August 21, 2020**  
**11200 Pepper Road**  
**Hunt Valley, MD Phone: (800) 345-1566**

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5; SuperH5
23.68%	TRI; SF; PK3; PK4; PNP6

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- Twinspires
- TVG
- NYRABets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
**On file with the board.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

## Santa Anita Park Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		X
2	X	X	X	X	X	X	X		*	X
3	X	X	X	X	X	X			*	X
4	X	X	X	X	X	X	*		*	X
5	X	X	X	X	X	X	*		*	X
6	X	X	X	X	X	*	*		*	X
7	X	X	X	X	*	*	*		*	X
8	X	X	X	X	*	*	*		*	X
9	X	X	X	X	*	*	*		*	X
10	X	X	X	X	*	*	*			X
11	X	X	X	X	*	*	*			X
12	X	X	X	X	*	*				X
13	X	X	X	X	*					X
14	X	X	X	X						X

\*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(2)(i) <sup>1</sup> ; ARCI 004-105(G)(16)(b)(i)	\$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 on all eligible races; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

<sup>1</sup> We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their

If yes, attach a copy of the approval. **To be provided to the board.**  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off-Track Wagering, Inc.**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**The SCOTWINC agreement between LATCHI and SCOTWINC is on file with the Board.**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**Exhibit 12D/E**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**Exhibit 12D/E**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Mountaineer Park
Assiniboia	Northlands
Australia Racing	NYRA - Aqueduct, Belmont, Saratoga
Canterbury	Parx (Philly Park)
Churchill Downs	Penn National
Delaware Park	Pimlico
Delta Downs	Portland Meadows
Ellis Park	Prairie Meadows
Emerald	Presque Isle
Evangeline	River Downs
Fort Erie	South America Racing
Gulfstream	Suffolk Downs
Hastings Park	Sunray Park
Indiana Downs	Tampa Bay
Keeneland	Thistledown
Laurel	Turf Paradise
Lone Star	Turfway Park
Louisiana Downs	United Kingdom

**SOUTHERN CALIFORNIA**

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

~~Striders Norco (mini-satellite)  
2895 Hammer Ave.  
Norco, CA 92860~~

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)  
20930 Malaga Road  
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)  
4110 Bonita Road  
Bonita, CA 91902

~~Pechanga Casino (mini-satellite)  
4500 Pechanga Pkwy.  
Temecula, CA 92592~~

**NORTHERN CALIFORNIA**

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Golden Gate Fields  
1101 Eastshore Highway  
Berkeley, CA 94710

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

2016/17 Santa Anita  
 Commingled Locations

AmWest Entertainment	Evangeline Downs	Maronas (Uruguay)	Sol Mutuel
Amwest Accounts	Fair Grounds	Maryland Jockey Club	Sol Mutuel - Odds Broker
Greenbrier (WV)	Fair Grounds ADW	Meadowlands	Sol Mutuel - OTB
Couer D'Alene Casino (ID)	Fair Meadows	Meadows The	Barbados/Otrabanda
Triple Crown (SD)	Favorites at Gloucester	Meadows The (ADW) - PA regional	Southland Greyhound
Arapahoe-Mile High	Favorites at Vineland	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Arima Race Club	Finger Lakes	Mobile Greyhound	Suffolk District OTB
Arlington I	Finger Lakes ADW	Monmouth Park	Suffolk Downs
Angor Raceway	Fonner Park	Montana OTB	Sunland Park
Batavia	Freehold	Monticello	SunRay Park & Casino
Belterra Park	Gillespie County Fair	Mountaineer Park	Tampa Bay Downs
Bettor Racing	Global Wagering Group	Nassau Regional OTB	Taunton ADW
Birmingham Greyhound	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Borgata Casino	Betsson, OneXTwo, German Tote	Newport Jal Alai	The Downs at Albuquerque
Buffalo Raceway	Racebets, Sportech Netherlands, SBO	New Jersey ADW (4NJBets)	Thistledown
Canterbury Park	Magna Bet, Pferdewetten, Dantoto	Northfield Park	Tioga Downs
Capital District OTB	AHDI,Boylesports, Ladbroke Australia	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greenetrack	Northville Downs	Turf del Norte (Mexico)
Charles Town Race Course	Greyhound at Post Falls	NYRA	Turf Paradise
Chester Downs (Harrah's Philly)	Gulf Greyhound	NYRA ADW	Turf Paradise Bets (ADW)
Churchill Downs	Gulfstream Park	Oaklawn Park	Turfway Park
Codere (Brazil)	Harrington Raceway	Oaklawn Park ADW	TVG
Columbus Raceway	HarringtonBets ADW (DE regional)	Ocean Downs	TVG High Volume
Connecticut OTB	Hawthorne Race Course	Panama	Twin River Greyhound
Bradley Teletheater, Bristol	Hawthorne ADW	PariBet ADW	TwinSpires
New Britain, Norwalk, Milford	Hazel Park	PARX	TwinSpires High Volume
East Haven, Hartford, Putnam	Hinsdale	PARX ADW	Velocity Wagering Ltd.
Shoreline Star, Sports Haven	Hoosier Park	Penn National	Venezuela OTB
Torrington, Waterbury	Horseman's Park	Penn National ADW	Vernon Downs
Manchester, New London	Horseshoe Council Bluffs (William Hill)	Peru	Virginia Equine Alliance
Willimantic, Sanford OTB	Idabet	Plainridge Race Course	WatchandWager
John Martin's Manor Restaurant	Indiana Downs	Plainridge ADW	Western OTB
Connecticut OTB ADW	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Coushatta Casino	Intermountain Racing	PMU (Brazil)	Will Rogers Downs
Paragon Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs ADW	Wyoming OTB
Ho-Chunk Casino	Keeneland	Pocono Downs/OTB	Wyoming Downs
Mohegan Sun Casino	Keeneland Select ADW	Portland Meadows	XpressBet
Oneida Bingo and Casino	Kentucky Downs	Potawatomi Casino/ OTB	Yonkers Raceway
Pony Bar Simulcast Center	Kentucky OTB	Prairie Meadows	Yonkers ADW (EmpireCityBets)
Tote Investment Racing	Les Bois (Treasure Valley)	Premier Turf Club	Youbet Group 1
Randall James Racetrack	Lewiston OTB's	Presque Isle Downs	Zia Park
Millenium Racing	Lien Games	Remington Park	123Gaming
Royal Beach Casino	Chips Lounge/Rumors OTB	Remington OTB Network	
Divi Carina Bay Casino	Howard Johnsons OTB	Retama Park	Separate Pool Locations
Winner's Circle	Skydancer Casino OTB	Racing & Gaming Services	Camarero (Puerto Rico)
Camouflage Gaming	X the Spot (Virgin Islands)	Rillito Park	Caymanas (Jamaica)
Corpus Christi Greyhound	Offtrackbetting ADW	Ruidoso Downs	Chile
Dayton Raceway	Lone Star	Running Aces Harness Park	Codere (Mexico/Spain)
Delaware Park	Louisiana Downs	Sam Houston	MIR Books (Caliente)
Delta Downs	LVDC	Valley Greyhound Park	NDS Books (Nevada)
Dover Downs	Atlantis Paradise Casino	Saratoga Harness Raceway	PMU (Brazil)
Dubuque (Iowa Greyhound)	Buffalo Thunder Resort	Saratoga Bets (ADW)	Tabcorp (Australia)
Elite Turf Club (1 to 19)	Foxwoods Resort Casino	Scarborough Downs	Tattsbet (Australia)
Ellis Park	Meskwaki Bingo & Casino	Scioto Downs	Turf TV
Emerald Downs	Mahoning Valley	Seabrook Greyhound	New Zealand Racing Board
		Seabrook Greyhound ADW	

Canadian Locations

Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs  
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs  
 Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres  
 Western Fair, Woodbine, Xpressbet Southern Alberta

Mahoning Valley  
 Monmouth

Woodbine

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)



- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2018**
  
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Jim Porep, Jr., President Contract term: through July 31, 2020**  
**Pegasus Communications, Inc.**  
**16633 Ventura Blvd., Suite 1010**  
**Encino, CA 91436 Phone: 818/907-1900**  
**Major video and camera equipment listing attached, Exhibit 14E.**
  
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.**

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**Lou Scalera, Chief of Security**  
**Front Side Security Office (626) 574-6406**  
**Stable Security Office (626) 574-6469**  
**Organizational Chart: (Exhibit 15A)**
  
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

<b>1 Chief of Security</b>	<b>4 Part Time Investigators</b>
<b>1 Secretary</b>	<b>36 Security Guards</b>
<b>1 Stable Security Manager</b>	<b>13 Gatemen</b>
<b>1 Supervisor Investigator</b>	<b>1 Stable Credentials Secretary</b>
  
- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.  
**Attached (Exhibit 15B)**
  
- 2. Detention Barns:
  - a. Attach a plan for use of graded stakes or overnight races.  
**Attached (Exhibit 15B)**
  - b. Number of security guards in the detention barn area during a 24-hour period.  
**Four Security Guards**
  - c. Describe number and location of surveillance cameras in detention barn area.  
**Attached (Exhibit 15B)**
  
- 3. TCO2 Testing:
  - a. Number of races to be tested, and number of horses entered in each race to be tested.  
**Will follow CHRB staff directives.**
  - b. Plan for enhanced surveillance for trainers with high-test results.



Jim Porep, Jr., President  
 Pegasus Communications, Inc.  
 16633 Ventura Blvd, Suite 1010  
 Encino, CA 91436  
 Office 818-907-1900  
 Cell 951-314-6348

**Contract Term:**

July 31 2012 / July 31 2020

**Major Video Equipment Listing, June 2017**

Broadcast Video Production Truck, Executive parking lot (E Lot)  
 Avid HD graphics systems with Pegasus DataLinks interface software  
 GVG HD Karrera 4ME Switcher  
 Recording systems:  
 3ea ZPlay HD video recorder, slow motion playback,  
 3play 820,  
 Audio Calrec Board 120 input  
 Stewards Review System

**HD Cameras, locations and equipment description**

1. Pan camera 1: Sony HXC 100 triax chain Fuji 50x lens
2. Pan camera 2: Sony HXC 100 triax chain Fuji 50x lens
3. Dirt 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
4. Turf 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
5. Dirt 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
6. Turf 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
7. 1/4 pole tower: Sony HXC 100 triax chain Canon 33x lens
8. Turf Hill camera: Sony HXC 100 triax chain Canon 20x lens
9. Tote board: Sony HXC 100 triax chain Canon 20x lens
10. Shutter Camera, fixed Sony super slow motion at finish line
11. Walking ring (WR) 12ft jib, Sony HXC 100 triax chain Canon 50x lens
12. Walking ring tower: Sony HXC 100 triax chain Canon 50x lens
13. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
14. Winner's Circle/Finish Line (WC) 12' jib: Sony HXC 100 triax chain cannon 20 x lens
15. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
16. RF Gate Camera: JVC Camera, proprietary wireless systems - 16x lens
17. Overhead Gate Camera 1: Proprietary RF remote, overhead gate break and follow
18. Overhead Gate Camera 2: Proprietary RF remote, overhead gate break and follow
19. Fixed tunnel camera: Pan Tilt Zoom
20. Saddling Barn West camera: Proprietary remote camera, "spider cam"
21. Saddling Barn East camera: Proprietary remote camera, "spider cam"
22. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
23. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
24. Stewards 5/16th remote Pan tilt zoom

# SANTA ANITA PARK Security Live Racing

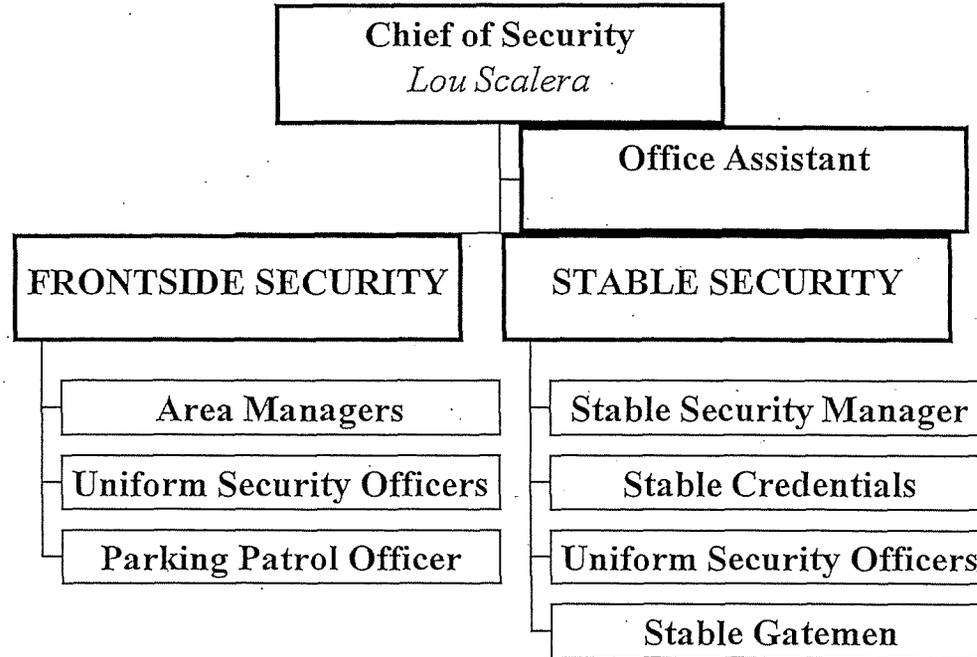


Exhibit 15B**DETENTION BARN PLAN**

(June, 2017)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

**Contractual obligations with TOC, CTT and CHRB directives will be followed.**

c. Plan for detention barns for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.**

d. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff.**

C. Describe the electronic security system:

**Attached** (Exhibit 15B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Attached** (Exhibit 15B). **In addition to Exhibit 15B, Santa Anita Park has installed additional surveillance cameras to the backside and "gap," approximately 1,000.**

D. For night racing associations. Describe emergency lighting system: N/A

## 16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**AmbuServe, Inc.**

**Wendal Smith**

**15105 S. Broadway Ave., Gardena, CA 90248**

**Phone: (310) 644-0500**

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Staff on track ambulance includes: 2 Paramedics**

**Exhibit 16A**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Los Alamitos:**

**Huntington Ambulance, LLC**

**Stacey O'Bryan, President**

**PO Box 145**

**Sunset Beach, CA 90742**

**Phone: (562) 904-1550**

**SLR Training Center:**

**Richard F. Roesch, President**

**Mercy Medical Transportation Inc.**

**2537 Old San Pasqual Rd.**

**Escondido, CA 92027**

**Phone: (760) 525-2688**

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

• **Los Alamitos:** Staff by Paramedics with support from Orange County Fire-paramedics. **Exhibit 16B**

• **SLR Training Center:** On track ambulance includes two paramedics. **Exhibit 16B**

C. Describe the on-track first aid facility, including equipment and medical staffing:

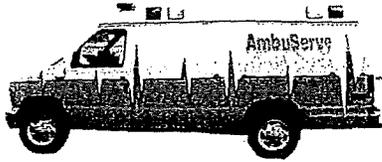
### **Description of Santa Anita First Aid Office (Live Racing)**

#### **SERVICES (Mission)**

- **Yearly Jockey Physicals;**
- **Preventive and curative medical services for jockeys;**
- **Urgent care facility for jockeys;**
- **Urgent care for patrons and Santa Anita employees.**

#### **STAFFING**

- **Contracted Track Physician**



**AmbuServe**  
Ambulance



Exhibit 16A

June 14, 2017

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales PM  
Operation Manager  
Paramedic Coordinator  
(562) 841-4269

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

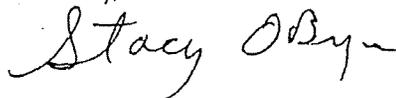
May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

Exhibit 16B

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

June 11, 2017

Paige Rickerd  
Los Angeles Turf Club, Inc.  
Santa Anita Park  
285 W. Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. Moreover, all required Paramedic certifications and/or licenses are updated on an annual basis. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). More importantly, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations  
Mercy Medical Transportation, Inc.

- **Contracted Paramedics (on the track) and EMT's (2 in First Aid)**
- **Licensed Emergency Ambulance**

**EQUIPMENT**

- **Basic First Aid Supplies (i.e. bandages and wound care);**
- **Oxygen equipment;**
- **Backboard, cervical collars, and splints;**
- **Blood pressure monitoring.**

**AVAILABILITY**

- **When gates open until after last race**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
(If quarter horse racing association sees D (1) :

**Dr. Heriberto Nunez** Phone: (310) 780-1495  
409 N. Stoneman Avenue  
Alhambra, CA 91801

**Dr. Davis Skinner** Phone: (323) 567-2425  
10010 California Avenue  
South Gate, CA 90280

**Dr. Tri Minh Vo** Phone: (734) 277-3087  
10107 Valley Boulevard  
El Monte, CA 91731

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

**Arcadia Methodist Hospital** Phone: (626) 445-4441  
300 W. Huntington Drive  
Arcadia, CA 91007

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Arcadia Methodist Hospital** Phone: (626) 445-4441  
300 W. Huntington Drive  
Arcadia, CA 91007

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**Exhibit 16F**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**Lou Scalera, Chief of Security**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Exhibit 16H attached.**

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

**Liberty Mutual Insurance Company**  
PO Box 989000



## EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

### “FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41 Paramedics” which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



### “ON-SITE EVALUATION”

- Based on the Paramedics “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
  - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
  - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



### “INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
  - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
  - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys’ Room or be taken off his mounts for the remainder of the day.
  - If more than two jockeys need to be transported to the hospital, Arcadia Fire



## PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

### “PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41 Paramedicos” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41 Paramedicos.



### “EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
  - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
  - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.



### “TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
  - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.
  - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
  - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



# City of Arcadia

## Fire Department

Michael Lang  
Fire Chief

June 20, 2017

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2017 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian  
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.  
Joe Morris, Senior Vice President  
Mary Saenz, Office Operations, L.A.T.C.  
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.  
Ed Martinez, Asst. Facility Manager, L.A.T.C.  
Mike Miser, Fire Marshal, L.A.T.C.

**West Sacramento CA 95798**  
**Policy # WC2 B71-170912-015 Expires 6/30/2017**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Exhibit 16J 2017/2018 certificate will be submitted upon receipt, July 1, 2017.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

#### 17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes  No

#### HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)  
 Jeff Bohland  
 5090 Montville Trail  
 Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)  
 % Toby Turrell  
 532 1/2 D Via De La Valle  
 Solana Beach, CA 90275

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)  
 c/o John Acquarelli  
 4615 Trieste Drive  
 Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)  
 Jason Karches  
 5790 Miramar Road, Suite 203  
 San Diego, CA 92121

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)  
 Owned by Today's Racing Digest, Inc.



## Certificate of Insurance

No.: 2017-99-ANITA

Dated: June 30, 2017

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

**This certificate is issued regarding:**  
Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-15	Jun 30, 2017 to Jun 30, 2018	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-017	Jun 30, 2017 to Jun 30, 2018	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

<b>Marsh Canada Limited</b> 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited   By: _____ Matthew Warnholtz
---	---

## 18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided to the board.**  
Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:

- 10\* - Group Sales handicapping aides to assist newcomers
- 11\* - Food and Beverage hostess's (11 weekends, 4 weekdays)
- 16\* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

### \*Estimates

- D. Describe facilities set aside for new fans:

**Customer Service** – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

**THOROUGHBREDS Centers** – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

**Information Windows** – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

**XpressBet** – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
1. Horsemen  
Enhanced video system installed throughout the barn area providing 24 hour surveillance of each shedrow.
  2. Fans
  3. Facilities in the restricted areas

## 19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
- |                              |         |                            |
|------------------------------|---------|----------------------------|
| Admission (general)          | \$5.00  | Weekends & Holidays        |
|                              | Free    | Weekdays (Thursday/Friday) |
| Club House Admission         | \$10.00 |                            |
| Reserved seating (general)   | Free    |                            |
| Reserved seating (clubhouse) | \$5.00  | Sold on premium days only. |
| CH Box Seat                  | \$10.00 | Non-Premium Days           |
|                              | \$30.00 | Premium Days               |
| Parking (general)            | \$4.00  | Weekends & Holidays        |
|                              | Free    | Weekdays (Thursday/Friday) |

Parking (valet)	\$10.00
Programs (on-track)	\$2.50
Programs (off-track)	\$2.50

B. Describe any "Season Boxes" and "Turf Terrace Membership" fees:

Season Box Seat: \$ N/A

C. Describe any "package" plans such as combined parking, admission and program: N/A

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="checkbox"/> 40+                                 |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

**Note: Jockeys Room has been painted and refurbished throughout entire facility.**

B. Describe the quarters to be used for female jockeys/drivers:  
**Same amenities as above, but in separate quarters. Shared food and beverage area.**

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:  
**29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: **3%**  
 The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.**

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Dennis Moore, Track Superintendent**
  
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**On file**
  
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.  
**Will be forwarded to the board upon completion.**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the board.**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris  
Print Name

  
Signature

Senior Vice President West Coast Operations  
Print Title

6-29-17  
Date

STAFF ANALYSIS  
July 20, 2017

Item 8

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR AT FRESNO OCTOBER 4 THROUGH OCTOBER 17, 2017.

The Big Fresno Fair (Fresno) filed its application to conduct a horse racing meeting at Fresno as part of the 2017 combined fair horse racing meeting:

- October 4 through October 17, 2017, or 9 days, same as 2016. Fresno proposes to race a total of 100 races, the same as 2016. Fresno will be simulcasting only on October 4, 2017, and live racing will begin on October 5, 2017. Fresno is a participant in the 2017 combined fair horse racing meeting.
- At the April 27, 2017 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2017 allocated race dates of the Alameda County Fair (6/21/17 - 7/11/17), Cal-Expo State Fair (7/12/17 - 8/1/17), Humboldt County Fair (8/23/17 - 9/5/17), CARF at Pleasanton (9/20/17 - 10/3/17), and the Big Fresno Fair (10/4/17 - 10/17/17) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing 5 days the first week and 4 days the second week. Ten races Thursdays, Fridays and Monday; twelve races Saturday, October 7<sup>th</sup> and Sunday, October 8<sup>th</sup>; and 13 races Saturday, October 14<sup>th</sup> and Sunday, October 15<sup>th</sup>.
- Racing concurrently with the Los Angeles Turf Club II, Inc. racing association at Santa Anita Park.
- Number of horses available determines the number of daily races programmed by breed.
  - 2016 Race Meeting: Average number of runners per race (TB): 6.2
  - 2016 Race Meeting: Average number of runners per race (Arabian): 5.67
  - 2016 Race Meeting: Average number of runners per race (Quarterhorse): 6.33
  - 2016 Race Meeting: Average number of runners per race (Mules): 6.13
- First post 12:45 p.m. Saturday and Sunday; 1:45 p.m. Monday, Thursday and Friday.
- Specific changes from the 2016 license application:
  - Admission (general) from \$10 to \$12
  - Admission (general) Child from \$7 to \$8
  - Admission (general) Senior from \$7 to \$8

- Reserved Seating (general) from \$4 to \$5
- Daily Box Seats from \$5 each to \$28 per box of 4
- Season Passes from \$30 to \$38

Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be October 15, 2017 (Closing Day).
- Early wagering will not be offered.
- \$1 Place Pick; \$1 Trifecta and \$0.10 Superfecta when applicable.
- \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
- \$0.50 Pick (n) Pool 5 on last 5 races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool paid to most winners.
- \$0.20 Pick (n) Pool 6 on the last 6 races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers. 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High 5 will be carded on the last race of the day.
- The Advance Deposit Wagering (ADW) providers are TVG, TwinSpire, Xpressbet, Bet America, NYRA, and Watch & Wager.
- Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California.*

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.

- NYRAbets, LLC
- Churchill Downs Technology Initiatives Company, dba TwinSpires
- Watch and Wager.com LLC

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted outside of California from a resident of California:*

- Bet America.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request Darrell Sparks as horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed prior to start of race meet.
- Track safety inspection has been requested and will be completed prior to start of race meet.

#### RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

21<sup>st</sup> District Agricultural Association  
The Big Fresno Fair  
1121 South Chance Avenue  
Fresno, Ca. 93702  
(559) 650-3247; FAX (559) 650-3226

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

John C. Alkire, CEO, 559-650-3355; [jalkire@fresnofair.com](mailto:jalkire@fresnofair.com)  
Lauri King, Deputy Manager, 559-994-3135; [lking@fresnofair.com](mailto:lking@fresnofair.com)

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for race meeting: October 4 – October 17, 2017

B. Actual dates racing will be held: October 5 - 9 & October 12 – 15, 2017

C. Dates racing will NOT be held: October 4 is a simulcast day; October 10, 11, 16 & 17 are dark days.

D. Total number of racing days: 9

E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) Thu-Mon, 1<sup>st</sup> week; Thu – Sun, 2<sup>nd</sup> week.

CHRB CERTIFICATION

Application received: 6/5/17  
Reviewed: *[Signature]*

Hearing date: 7/20/17  
Approved date:  
License number:

3. RACING PROGRAM

A. Total number of races: 100

B. Number of races by breed:

78	Thoroughbreds	5	Quarter Horses	0	Appaloosas
9	Arabians	0	Paints	8	Mules

C. Number of races daily:

	1 <sup>st</sup> Sun.	2 <sup>nd</sup> Sun.	1 <sup>st</sup> Mon.	1 <sup>st</sup> Thurs.	2 <sup>nd</sup> Thurs.	1 <sup>st</sup> Fri.	2 <sup>nd</sup> Fri.	1 <sup>st</sup> Sat.	2 <sup>nd</sup> Sat.
Thoroughbred	9	10	8	8	8	8	8	9	10
Other Breeds	3	3	2	2	2	2	2	3	3
<b>Total</b>	<b>12</b>	<b>13</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>12</b>	<b>13</b>

D. Total number of stakes races by breed:

2	Thoroughbreds	0	Quarter Horses	0	Appaloosas
0	Arabians	0	Paints	0	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). None.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No Changes.

**2017**

Harris Farms Stakes (CAL BREDS)  
\$100,000 Guaranteed (\$40,000 CTBA)  
Golden State Series  
Three-year olds & Upward – Six Furlongs  
Sat, Oct 7

Bulldog Hdcp, 24th Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-Year Olds & Upward, One & 1/8 Miles  
Sunday, Oct 16

**2016**

Harris Farms Stakes (CAL BREDS)  
\$100,000 Guaranteed (\$40,000 CTBA)  
Golden State Series  
Three-year olds & Upward – Six Furlongs  
Sat, Oct 8

Bulldog Hdcp, 23<sup>rd</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-Year Olds & Upward, One & 1/8 Miles  
Sun, Oct 16

**2015**

Harris Farms Stakes (CAL BREDS)  
\$100,000 Guaranteed (\$40,000 CTBA)  
Golden State Series  
Three-year olds & Upward – Six Furlongs  
Sun, Oct 11

Bulldog Hdcp, 22nd Running  
\$75,000 Guaranteed  
Three-Year Olds & Upward, One & 1/8 Miles  
Sun, Oct 18

CHRB-18 (Rev. 2/15)

AMRA World Championship Futurity Sun, Oct 15 \$5,000 Guaranteed Three Year-Olds - 350 Yards	AMRA World Championship Futurity Sun, Oct 16 \$7,000 Guaranteed Three Year - Olds - 350 Yards	AMRA World Championship Futurity Sun, Oct 11 \$7,000 Guaranteed Three Year - Olds - 350 Yards
Fresno Quarter Horse Futurity Sun, Oct 15 \$8,000 Guaranteed Three Year - Olds - 400 Yards	Fresno Quarter Horse Futurity Sun, Oct 16 \$15,000 Guaranteed Three Year - Olds - 400 Yards	Fresno Quarter Horse Futurity Sun, Oct 18 \$10,000 Guaranteed Three Year - Olds - 400 Yards
Fresno AMRA Gold Cup Sun, Oct 15 \$5,000 Guaranteed Three Year - Olds & Upward - 440 Yards	Fresno AMRA Gold Cup Sun, Oct 16 \$7,000 Guaranteed Three Year - Olds & Upward - 440 Yards	Fresno AMRA Gold Cup Sun, Oct 18 \$7,000 Guaranteed Three Year - Olds & Upward - 440 Yards
Fresno Arabian Stakes Sun, Oct 15 \$5,000 Added Three Year - Olds & Upwards - Six Furlongs	Fresno Arabian Stakes Sun, Oct 16 \$10,000 Added Three Year - Olds & Upward - Six Furlongs	Fresno Arabian Stakes Sun, Oct 18 \$8,000 Added Three Year - Olds & Upward

\* The Emerging Breeds races will be run based on the same conditions but the purse is not stakes level.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

\* As much as possible, we intend to align our post times to compliment the Santa Anita post times

	MON//THURS/FRI	SATURDAY/SUNDAY
Race #1	1:15 p.m.	12:15 p.m.
Race #2	1:45 p.m.	12:45 p.m.
Race #3	2:15 p.m.	1:15 p.m.
Race #4	2:45 p.m.	1:45 p.m.
Race #5	3:15 p.m.	2:15 p.m.
Race #6	3:45 p.m.	2:45 pm
Race #7	4:15 p.m.	3:15 p.m.
Race #8	4:45 p.m.	3:45 p.m.
Race #9	5:15 p.m.	4:15 p.m.
Race #10	5:45 p.m.	4:45 p.m.
Race #11		5:15 p.m.
Race #12		5:45 p.m.
Race #13		6:15 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:  
Larry Serpa, Vice-President  
Leta Ciavaglia  
Jerry Pacheco  
Dora Westerlund

- Debbie Jacobsen, President  
Linda Mae Balakian Hunsucker  
Elizabeth Hudson  
Ricky Vang  
William "Bill" White

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:  
Chief Executive Officer John C. Alkire and Deputy Manager II Lauri King

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

<b>John C. Alkire, CEO</b>	<b>Stacy Rianda, Deputy Manager II</b>
<b>Lauri King, Deputy Manager II</b>	<b>Doug Gooby, Pari-Mutuel Manager</b>
<b>Steve Wood, Track Superintendent</b>	<b>Rod Seitz, Maintenance Supervisor</b>
<b>Frank Mirahmadi, Race Announcer</b>	<b>Larry Swartzlander, Director of Racing</b>
<b>Odds Maker</b>	

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

<b>Chief Executive Officer</b>	<b>Deputy Manager II</b>
<b>John C. Alkire</b>	<b>Lauri King</b>
<b>1121 S. Chance Avenue</b>	<b>1121 S. Chance Ave</b>
<b>Fresno, Ca 93702</b>	<b>Fresno, Ca 93702</b>
<b><u>jalkire@fresnofair.com</u></b>	<b><u>lking@fresnofair.com</u></b>

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Day
2016	\$18,097,900	83,881	9 days
2015	\$18,867,088	86,743	9 days
2014	\$19,194,952	89,178	9 days
2013	\$20,916,038	93,811	9 days
2012	\$19,403,833	95,139	9 days

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):****A. Purse distribution:****1. All races other than stakes:**

Current meet estimate: \$784,485

Prior meet actual: \$834,559

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$87,165

Prior meet actual: \$92,728

**2. Overnight stakes:**

Current meet estimate: \$50,000

Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$5,555

Prior meet actual: \$5,555

**3. Non-overnight stakes:**

Current meet estimate: \$100,000

Prior meet actual: \$100,000

**4. Total Purses: (7A1+7A2+ 7A3)**

Current meet estimate: \$934,485

Prior meet actual \$984,559

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$11,111

Prior meet actual: \$11,111

**B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):**

Current meet estimate: \$69,152

Prior meet actual: \$73,566

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 2,258	\$ 2,403
TOC	\$ 4,517	\$ 4,806
NTRA	\$ 961	\$ 1,023
PCQHRA	\$ 529	\$ 563
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,190	\$ 2,330
AMRA	\$ 2,367	\$ 2,519
CHBPAPEN	\$ 6,776	\$ 7,209
CTHF	<u>\$ 6,776</u>	<u>\$ 7,209</u>
Total	\$26,374	\$28,062

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,030,011  
 Prior meet actual: \$1,086,187

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$114,445  
 Prior meet actual: \$120,687

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$786,111  
 Prior meet actual: \$836,289

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$87,345  
 Prior meet actual: \$92,921

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$95,251  
 Prior meet actual: \$101,331

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$10,583  
 Prior meet actual: \$11,259

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
624
- B. Minimum number of stalls believed necessary for the meeting:  
624
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:  
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c).  
1,037
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: -0-

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
Dr. Frosty Franklin 916-585-2050. No Auxiliary sites.
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. Dr. Franklin will be on site and available 24/7.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/>
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9	



March 28, 2017

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2017 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979; CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

	\$ .50PNP5, \$.20PNP6	CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5; \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959; CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 15<sup>th</sup>, 2017 (Closing Day of The Big Fresno Fair)**

E. List any options requested with regard to exotic wagering:  
**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.**  
**\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**  
**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (Dave Calendine) Expiration: December 30, 2020.**  
**Equipment description on file with Board.**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

**11. ADVANCE DEPOIST WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the fair for this race meeting:

**Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, NYRA & Watch & Wager.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson



# Pacific Coast Quarter Horse Racing Association

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

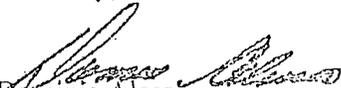
Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

  
Dominic Alessio  
President

April 15, 2017

8-18

Mr. Larry Swartzlander  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, CA 95815

RE: 2017 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

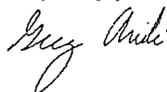
Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires and NYRA, to accept wagers on CARF and other Thoroughbred races during the 2017 CARF Racing meeting, June 22, 2017 through October 15, 2017, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 1.a. and 1.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
  - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
  - c. For all wagers identified in sections 2.a. and 2.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, and NYRA.

Very truly yours,



**OFFICERS**

NICK ALEXANDER  
CHAIR

BILL STRAUSS  
VICE CHAIR, SOUTHERN CA. &  
SECRETARY/TREASURER

JACK OWENS  
VICE CHAIR, NORTHERN CA.

MIKE PEGRAM  
AT LARGE

MIKE HARRINGTON  
AT LARGE

ED MOGER  
AT LARGE

**DIRECTORS**

ALLEN ALDRICH

BOB BAFFERT

JOE CIAGLIA

MARK DEDOMENICO

RON ELLIS

GARY FENTON

TERRY LOVINGIER

SAMANTHA SIEGEL

KATHY WALSH

**EXECUTIVE STAFF**

GREG AVIOLI  
PRESIDENT & CEO

MARY FORNEY  
EXECUTIVE DIRECTOR

LIZ MOREY  
NORTHERN CALIFORNIA LIAISON

ELSA PERON  
ADMINISTRATIVE ASSISTANT

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,  
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

April 15, 2017

Executive Director  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers L1en Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

A handwritten signature in cursive script that reads "Don Jacklin".

12. **SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools:  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

Golden Gate Fields, Albany	Fairplex Park, Pomona
*Humboldt County Fair, Ferndale	Fantasy Springs Casino, Indio
	Los Alamitos Race Course, Los Alamitos
Jockey Club at San Mateo, San Mateo	Mermaid Tavern, Thousand Oaks
	OC Tavern & Sports Bar, San Clemente
	Roadhouse Grill, Santa Maria
	Sammy's Restaurant & Bar, Lake Forrest
	Santa Anita Park, Arcadia
	Santa Clarita Lanes, Santa Clarita
Monterey County Fair, Monterey	Shalimar Sports Center, Indio
San Joaquin County Fair, Stockton	Sports Center, San Bernardino
Santa Clara County Fair, San Jose	Sports Pavillion at The Farmers Fair, Lake Perris
Shasta District Fair, Anderson	Sports Pavillion, San Bernardino Cty. Fair, Victorville
Solano County Fair, Vallejo	Surfside Race Place at Del Mar, Del Mar
Sonoma County Fair, Santa Rosa	Sycuan Gaming Center, El Cajon
	Tilted Kilt, Thousand Oaks
Stanislaus County Fair, Turlock	Viejas Casino & Turf Club, Alpine
	Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:  
**Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

<u>DOMESTIC</u>	
ARLINGTON	10/4-10/15
BELMONT	10/4-10/15
CALDER RACECOURSE	10/4-10/15
CANTERBURY DOWNS	10/4-10/15
CHARLES TOWN	10/4-10/15
CHURCHILL DOWNS	10/4-10/15
COLONIAL DOWNS	10/4-10/15
DELAWARE	10/4-10/15
EMERALD DOWNS	10/4-10/15
EVANGELINE DOWNS	10/4-10/15
INDIANA DOWNS	10/4-10/15
LONE STAR	10/4-10/15
LOUISIANA DOWNS	10/4-10/15
MONMOUTH	10/4-10/15
MOUNTAINEER	10/4-10/15
PENN NATIONAL	10/4-10/15
PHILADELPHIA PARK/PARX	10/4-10/15
PIMLICO	10/4-10/15
PRAIRIE MEADOWS	10/4-10/15
PRESQUE ISLE DOWNS	10/4-10/15
RIVER DOWNS	10/4-10/15
RUIDOSO	10/4-10/15
SUFFOLK DOWNS	10/4-10/15
THISTLEDOWN	10/4-10/15
YAVAPAI DOWNS	10/4-10/15
<u>INTERNATIONAL</u>	
ASSINIBOIA	10/4-10/15
AUSTRALIAN RACING	10/4-10/15
FORT ERIE	10/4-10/15
HASTINGS	10/4-10/15
NORTHLANDS PARK	10/4-10/15
SOUTH AMERICAN RACING	10/4-10/15
UNITED KINGDOM	10/4-10/15
WOODBINE	10/4-10/15

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**ARF 2017 Meets**

**Commingled Locations**

AmWest Entertainment	Fair Meadows	Monmouth Park	Tampa Bay Downs
Amwest Accounts	Favorites at Gloucester	Montana OTB	Taunton ADW
Greenbrier (WV)	Favorites at Vineland	Monticello	Taunton Dog Track Inc.
Cover D' Alene Casino (ID)	Finger Lakes	Mountaineer Park	The Downs at Albuquerque
Triple Crown (SD)	Finger Lakes ADW	Nassau Regional OTB	Thistledown
Apahoe-Mile High	Fonner Park	Nevada Pari-Mutuel Assoc.	Tioga Downs
Alma Race Club	Freehold	Newport Jai Alai	Tri-State GH (Mardi Gras)
Alington I	Gillespie County Fair	New Jersey ADW (4NJBets)	Turf del Norte (Mexico)
Angor Raceway	Global Wagering Solutions	Northfield	Turf Paradise
Atavia	Betsson, OneXTwo, German Tote	Cedar Downs OTB	Turfway Park
Alterra Park	Racebets, Sportech Netherlands	Northville Downs	TVG
Attor Racing	SBO, Magna Bet, Pferdewetten	NYRA	TVG High Volume
Armingham Greyhound	Dantoto	NYRA ADW	Twin River Greyhound
Argata Casino	Greenetrack	Oaklawn Park	TwinSpires
Buffalo Raceway	Greyhound at Post Falls	Oaklawn Park ADW	TwinSpires High Volume
Canterbury Park	Gulf Greyhound	Ocean Downs	Velocity Wagering Ltd.
Capital District OTB	Gulfstream Park	Panama	Venezuela OTB
Castskill OTB	Harrington Raceway	PariBet ADW	Vernon Downs
Charles Town Race Course	HarringtonBets ADW (DE regional)	PARX	Virginia Equine Alliance
Chester Downs (Harrah's Philly)	Hawthorne Race Course	PARX ADW	WatchandWager
Churchill Downs	Hawthorne ADW	Penn National	Western OTB
Codere (Brazil)	Hazel Park	Penn National ADW	Wheeling Downs
Columbus Raceway	Hinsdale	Peru	Will Rogers Downs
Connecticut OTB	Hoosier Park	Plainridge Race Course	Wyoming OTB
Bradley Teletheater, Bristol	Horseman's Park	Plainridge ADW	Wyoming Downs
Camouflage Gaming	Horsehow Council Bluff (William Hill)	Player Management Group	XpressBet
Connecticut OTB ADW	Idabet	PMU (Brazil)	Yonkers Raceway
Coushatta Casino	Indiana Downs	Pocono Downs ADW	Yonkers ADW (Empire City Bets)
Divi Carina Bay Casino	Evansville OTB	Pocono Downs/OTB	Youbet Group 1
East Haven, Hartford, Putnam	Clarksville OTB	Portland Meadows	Zia Park
Winner's Circle	Intermountain Racing	Potawatomi Casino/ OTB	123Gaming
Ho-Chunk Casino and Racebook	Jockey Club Pernambuco (Brazil)	Prairie Meadows	
John Martin's Manor Restaurant	Keeneland	Premier Turf Club	
Manchester, New London	Keeneland Select ADW	Presque Isle Downs	
Millenium Racing	Kentucky Downs	Remington Park	
Mohegan Sun Casino	Kentucky OTB	Remington OTB Network	
New Britain, Norwalk, Milford	Les Bois (Treasure Valley)	Retama Park	
Oneida Bingo & Casino	Lewiston OTB's	Racing & Gaming Services	
Paragon Casino	Lien Games	Rillito Park	
Pony Bar Simulcast Center	Chips Lounge/Rumors OTB	Ruidoso Downs	
Randall James Racetrack	Howard Johnsons OTB	Running Aces Harness Park	
Royal Beach Casino	Skydancer Casino OTB	Sam Houston	
Shoreline Star, Sports Haven	Offtrackbetting ADW	Valley Greyhound Park	
Torrington, Waterbury	X the Spot (Virgin Island)	Saratoga Harness Raceway	
Tote Investment Racing	Lone Star	Saratoga Bets (ADW)	
Williamson, Stamford OTB	Louisiana Downs	Scarborough Downs	

opus Christi Greyhound	Winner's Circle	LVDC	Scioto Downs	
ayton Raceway		Atlantis Paradise Casino	Seabrook Greyhound	
elaware Park		Buffalo Thunder Resort	Seabrook Greyhound ADW	Separate Pool Locations
elta Downs		Foxwoods Resort Casino	Sol Mutuel	Camareiro (Puerto Rico)
over Downs		Meskwaki Bingo & Casino	Sol Mutuel – Odds Broker	Caymanas (Jamaica)
ubuque (Iowa Greyhound)		Mahoning Valley	Sol Mutuel – OTB	Chile
lite Turf Club (1-19)		Maronas (Uruguay)	Barbados/Otrabanda	Codere (Mexico/Spain)
llis Park		Maryland Jockey Club	Southland Greyhound	MIR Books (Caliente)
merald Downs		Meadowlands	State Fair (Lincoln, NE)	NDS Books (Nevada)
vangeline Downs		Meadows The	Suffolk District OTB	PMU (Brazil)
air Grounds		Meadows The (ADW) - PA regional	Suffolk Downs	Tabcorp (Australia)
air Grounds ADW		Miami Valley Gaming & Racing	Sunland Park	Tattsbet (Australia)
		Mobile Greyhound	SunRay Park & Casino	Turf TV
				New Zealand Racing Board

Canadian Locations

Assiniboia Down, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, Sudbury Downs, Summerside, TBC Teletheaters, Western Fair, Woodbine, Xpressbet Southern Alberta

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy’s Restaurant & Bar, Striders, San Diego & Lake Forest.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

#### A. Racing officials nominated:

Association Veterinarian(s)	Sarah Sporer - DVM Track & Fitness Veterinarian F.G. Franklin - CHRB Veterinarian
Clerk of Scales	Matt Nichols
Clerk of the Course TB	Dawn Schmid
Clerk of the Course EB	Dolores Collins
Film Specialist	Myra Troske
Asst. Clerk of Scales	Kenneth Fowler
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Jackie Payton
Paddock Judge/Patrol Judge	Danny Winnick
Patrol Judge	Cheryl White
Placing Judges	McKenzie Talbot/Myra Troske
Starter	Robert Mooneyhan
Timer	Melody Truitt

#### B. Management officials in the racing department:

Director of Racing - **Larry Swartzlander**  
 Racing Secretary - **Tom Doutrich**  
 Assistant Racing Secretary - **Lisa Jones**  
 Paymaster of Purses - **Victoria Layne (C.A.R.F.)**  
 Others (identify by name and title)  
 Mutual Manager - **Doug Gooby**

#### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Esquire Deposition Services, 155 E. Shaw Ave, Suite 201, Fresno, Ca. 93704 (559) 261-2324**  
**Tricia Martinez**

#### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA - Bill O'Brien (Expires 12/7/18)**

#### E. Photopatrol video equipment to be used to record all races, name of the person supplying the

service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communication, Inc. – (Jim Porep) Contract Expires: April 30, 2021**  
**Equipment description on file with the Board**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

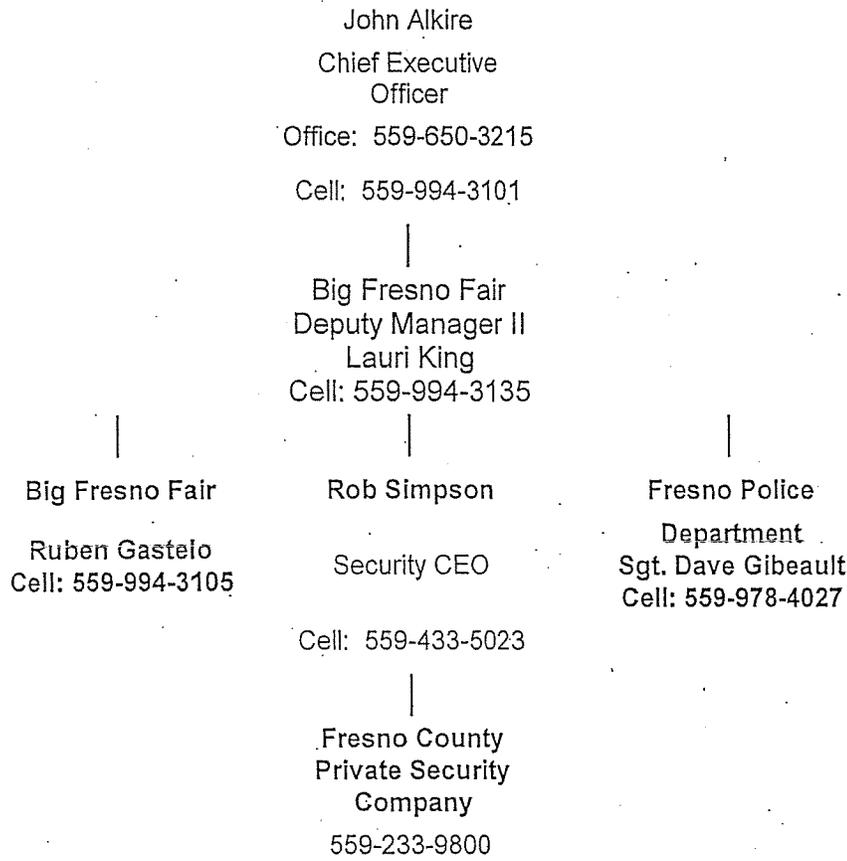
**Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021**

#### 14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Larry Swartzlander, Director of Racing**  
**Cell: (916) 799-7084**  
**Organizational Chart Attached**

13 A. FRESNO SECURITY ORGANIZATION CHART



- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**Grandstand Security Guards: 12 Security Guards plus 2 Fresno Police Officers.**

**Stable Gate Area Security Guards: 6 Gatemen; 2 Stable Area Patrol; 6 Security Guards; and an on-ground stall superintendent live in the backside area and makes rounds throughout the night checking the horses and stalls.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**Addressed in B1 above.**

B. Number of security guards in the detention stall area during a 24-hour period.

**N/A**

C. Describe number and location of surveillance cameras in detention stall area.

**N/A**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

C. Plan for detention stalls for repeat offenders.

**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**

D. Number of security personnel assigned to the TCO2 program.

**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.

**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**4 surveillance cameras monitoring this area**

**15. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Ambulance shall provide an Advanced Life Support (ALS) ambulance with minimum staffing of one paramedic and one emergency medical technician at racetrack workouts from 06:30 until 10:00 daily as requested prior to the opening of the Big Fresno Fair. In addition to scheduled racetrack workouts, an ambulance will be provided from 12:00 until the end of racing each day, for the duration of the Big Fresno Fair, October 8-16, 2016.

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact:

CCEMSA Communications Center Supervisor (559) 600-7406 or 911

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification as well contract compliance is monitored by the Central California Emergency Medical Services Authority as well as the California Emergency Medical Services Authority

See Attached Letter from American Ambulance.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact Numbers:

CCEMSA Communications Center Supervisor: (559) 600-7406 or 911

American Ambulance Field Supervisor: (559) 259-0054

Fresno Fair First-Aid Station: (559) 253-7417

The Fresno Fair First-Aid Station is manned by 2 medical teams during Fair hours of 11:00am-11:00pm on weekdays and 10:00am-12:00am on weekends. These teams have a minimum staffing of one paramedic and one emergency medical technician.

Please See Attached FORM 112, 133, 200, 291 & FORM 547 in this section with Fresno County Procedures.

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached Letter from American Ambulance.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification, as well contract compliance is



May 30, 2017

Lauri King  
Deputy Manager  
Big Fresno Fair  
1121 S. Chance Ave  
Fresno, CA 93702

Dear Lauri:

All of the personnel staffing ambulances supplied by American Ambulance are currently certified and have met the certification requirements set forth by the Central California Emergency Medical Services Agency (CCEMSA) in addition to meeting the licensure and/or certification requirements of the California Emergency Medical Services Authority.

Paramedic's meet all of the requirements outlined in CCEMSA policy 133 (attached).

Emergency Medical Technician's meet all of the requirements outlined in CCEMSA policy 112 (attached).

All of the paramedic ambulances providing service to the Big Fresno Fair are defined as Advanced Life Support Unit (ALSU): ***An ambulance unit which is equipped and staffed to provide Paramedic level patient care***, as outlined in CCEMSA policy 291 (attached).

Please contact me if you require further information about the credentialing or licensure of EMT's and Paramedics that will be providing emergency medical services to participants and patrons of the Big Fresno Fair.

Russ Richardson  
Quality Improvement Manager  
American Ambulance  
2911 East Tulare Ave  
Fresno, CA 93721  
(559) 443-5915

monitored by the Central California Emergency Medical Services Authority.  
See Attachment from Fresno County.

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**Breena Siliznoff**  
614 W. Muncie  
Clovis, CA 93619  
559-977-8477

- D. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Community Medical Centers, 2823 Fresno Street, Fresno, Ca. 93715 (559) 459-6000.**

- E. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**See Attached**

- F. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**John C. Alkire, CEO, Stacy Rianda, Deputy Manager II and Lauri King, Deputy Manager II**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Attached.**

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):

**See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of

## 12C First aid and Medical Staffing

The Big Fresno Fair provides emergency medical services which support comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilization, comfort and transportation of injured racetrack personnel to appropriate local hospitals.

The on-track ambulance is a paramedic staffed advanced life support unit, dedicated solely to racing and racing related events. The ambulance is present trackside during training and on the track during racing. This ambulance is responsible for providing emergency medical services and transportation. When the services of the on-track ambulance are required, an additional ambulance will immediately be sent to replace the on-track ambulance.

During regular fair hours, at least one or more advanced life support ambulances are available onsite, as well as a fully staffed first-aid station that can provide advanced and basic life support. Additional EMS resources, including rescue and air ambulance, are immediately available in the local area.

Patients will be transported per CCEMSA policy 547, based on medical necessity and/or patient preference. There are 4 local receiving facilities that provide comprehensive emergency medical services, including a Level One Trauma Center.

Community Regional Medical Center (Level One Trauma Center),  
2823 Fresno St. Fresno CA 93721  
(559) 459-33308.

Saint Agnes Medical Center. 1303 E. Herndon, Fresno, CA 93711  
(559) 449-3205

Clovis Community Hospital, 2755 Herndon, Clovis CA 93611  
(559) 324-4040

Kaiser Foundation Medical Center, 7300 N Fresno St. Fresno, CA 93720  
(559) 448-5555

Onsite communication services are provided to ensure contact between all emergency care personnel. Communications are maintained between racing operations and the on-track ambulance via VHF radio, utilizing cell phones as a secondary resource. The on-track ambulance, additionally, maintains contact with the CCEMSA communications center through VHF and UHF radio, utilizing cellular service as a backup system.

The CCEMSA communications center is a Public Safety Answering Point. All personnel are informed to call 911 in the event emergency medical services are needed and other communications systems are not functional, and/or the on-track ambulance is disabled or otherwise unavailable.

The Big Fresno Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

**12F Medical Protocols and Procedures: English**

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to

monitor the scene and access the extent of video coverage to be transmitted to the public.

3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

#### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## 12F Medical Protocols and Procedures: Spanish

### Artículo #14F Español

Procedimientos del Gran Ferira de Fresno en los accidentes de carreras de caballo.

### Ambulancia de Pista

La ambulancia de pista llegara in mediatamente al escena del accidente y asumira triage y las responsabilidades de cuidado del paciente y evacuar.

### La Seguridad

- 1.) Tan pronto como seaposible, un miembro de personal de seguridad de pista in formará a la escena del accidente y posterior mente tomará la dirección de la EMT responsable del manejo de la escena.
- 2.) Un miembro del personal de seguridad de pista procederá a la sala de jockey para a segurar el área de traslado de ambulancia y evitar visitas de personas de la zona del accidente.
- 3.) Un miembro del personal de seguridad de pista se encargará de escoltar vehiculos de emergencia.
- 4.) Personal de seguridad sera responsable para toda las actividades de control de la gente.

### Carreras Pista de Personal/Veterinario

- 1.) A su llegada a la escena el outrider debe tener el caballo lesionado con el fin devitar más daños a personas, caballos y propiedad.
- 2.) Caballos con lesiones graves deben ser transportados fuera de la pista a través de la ambulancia de caballos siempre que sea práctico hacerlo.
- 3.) El veterinario de la pista debrerá tomar la decisión acerca de la necesidad de la eutanasia en la pista.
- 4.) La pantalla de bloqueo del publico del caballo lesionado se establecerá antes del procedimiento del eutanasia.
- 5.) Outriders son responsables de la eliminación de cualquier residuo de la pista después de la extirpación de la persona lesionada o caballo de la pista.

### Personal de Planta

- 1.) La ambulancia del caballo deberá via jar in mediatamente a la escena del accidente siempre y cuando parece que un caballo requieve transporte.
- 2.) Miembros del personal de planta que se encuentran cerca del accidente se prestarán asistencia en el bloquero de la accidente de la vista publica y deberá tomar la dirección de la EMT responsable del manejo de la escena de accidente.

### Anunciador

El anunciador hará jinetes tanto de la situación como la (ubicación de un caballo suelto o la necesidad de parar el caballo etc.) que les permita tomar las medidas necesarias para mitiqar los problemas adicionales.

8-35

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY  
DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
**OFFICE OF THE STATE FIRE MARSHAL**  
FIRE AND LIFE SAFETY DIVISION  
**INSPECTION REPORT**  
EN-2 (12/08)



**INSPECTION REPORT**

FILE NUMBER 04-10-04-0001-063		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #41				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 5, 2017. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy. Smoke alarms scheduled to be replaced with hard wired alarms with battery back up prior to usage this year.

RECEIVED BY <i>[Signature]</i>	DATE 6/5/17
DEPUTY STATE FIRE MARSHAL Polly Parenti <i>Polly Parenti</i>	DATE OF INSPECTION June 5, 2017



May 30, 2017

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Big Fresno Fair/21<sup>st</sup> DAA  
1121 South Chance Avenue  
Fresno, CA 93702

Please be advised that the Big Fresno Fair/21<sup>st</sup> DAA is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. GENERAL LIABILITY PROGRAM

- A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)  
Coverage continuous until cancelled

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2017 to 07/01/2018

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Big Fresno Fair/21<sup>st</sup> DAA as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

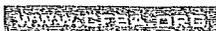
Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Digitally signed by Lianne Lewellen  
DN: cn=Lianne Lewellen, o=OU  
email=llw@cfsa.org, c=US  
Date: 2017.05.30 11:06:37 -0700

Lianne Lewellen  
Risk Analyst



insurance that secures the liability of the fair for payment of workers' compensation.

See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. **CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:  
 Food & Non-Alcoholic Beverages

COMPANY	OWNER	ADDRESS	CONCESSION SERVICE
Pardini Fair Ventures, L.P.	James Pardini	2257 W. Shaw Fresno, CA 93711	Food & Beverage, Alcoholic & Non-Alcoholic Beverage
Winner's Circle Restaurant	James Pardini	2257 W. Shaw Fresno, CA 93711	Cafe
	Don Fowler	916-205-5384	Providing food and non-alcoholic beverages to the Jockey Room
Speeda Sound	Mike King	5617 W. San Madele Fresno, Ca. 93722	Sound Equipment
Bob's Card, Inc.	Tiffany Bohland	6288 Highland Meadows Medina, OH 44256	Tip Sheets
Cal Horse	Rick Harris	26807 Proveda Mission Viejo, Ca. 92691	Tip Sheets
Vassar Photography	Bill Vassar	5075 Double Point Way Discovery Bay, CA 94505	Winner's Circle Photos
1 Sound View Loop	CARF Contract	South Salem, NY 10590	Starting Gate
Bailey Mobile Laundry	Frances Lorene Dutton	1129 Avenida Sevilla #7-B Walnut Creek, Ca. 94595-3741	Jockeys Laundry
Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 94588	Program Printing
NOTWINC	Bryan Wayte	11875 Dublin Blvd. Dublin, Ca. 94568	Armored Car Service

B. Does the fair plan to provide its own concessions? Yes  No

## 17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**Please See Attached Marketing Plan**
- B. Promotional/ Marketing budget for this race meeting:  
**Please See Attached Marketing Plan**

Promotional/Marketing budget for prior race meeting:

As noted in our plan, the overall Operating Expense of Live Horse Racing is \$1,121,600. The overall Operating Expense of the Fair is \$11,015,302. The Live Racing expenses accounts for 9.82% of total Fair expenses. The overall Revenue from Live Horse Racing is \$1,214,000. The overall Revenue of the Fair is \$11,334,900. The Live Horse Racing revenue accounts for 9.33% of total revenue.

**Please See Attached Promotional & Marketing budget for 2012, 2013, 2014, 2015 & 2016.**

- C. Number of hosts and hostesses employed for meeting:  
**18 – increased by 6 people**
- D. Describe facilities set aside for new fans:  
**Weekend and Holiday Handicapping Seminars, Created Beginner's Guide to Betting that will be available at each window, NEW Ask Me employees to help answer questions of customers.**
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
- More than 6,350 trees planted as well as 156 boxed mature olive trees.
  - Backside improvements to the tack rooms and new stall doors.
  - Grandstand second floor restrooms, new tile floors and new paint & water efficient fixtures.
  - Permanent grass planted in the infield; over two miles of sprinklers installed.
  - Paddock: New recycled rubber tile floor and drainage system installed.
  - Grandstand murals.
  - Tote Board upgraded (electronically) plus a new water and rock feature.
  - New 1890 Grandstand replica constructed for Kings Canyon customer gate entrance.
  - Completely re-built eight barns and built 40 wash racks.
  - Remodeled backside restaurant and new Satellite Wagering facility including new restrooms.
  - Completely painted all barns and buildings on the backstretch.
  - Tote Board refurbished with rock, plus a new 14' waterfalls.
  - Outside rail raised to meet CHRB standards for safety.
  - New Infield Race Gate Entrance
  - New Tunnel Traffic Flow
  - New Sports Bar in the Grandstand; "Starter's Sports Bar"
  - New Churchill Downs gazebo in the VIP Horse Racing Parking Lot (Chance Parking Lot)

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Admission (general)	-	\$10.00	\$7.00 Child	\$7.00 Seniors
Admission (clubhouse)		\$10.00		
Reserved seating (general)		\$4.00		
Reserved seating (clubhouse)		\$10.00		
Parking (general)		\$5.00		
Parking (preferred)		\$10.00		
Parking (Chance Lot)		\$15.00		
Parking (valet)		N/A		
Parking (season)		\$100		
Programs (on-track)		\$2.50		
(off-track)		\$2.50		

B. Describe any "Season Boxes" or other special accommodation fees:

**Box seats are sold in advance - \$642.00 (Box of 4)**  
**Daily box seats are sold individual at \$5/ea. (if available)**

C. Describe any "package" plans such as combined parking, admission and program:

**Season Passes will be sold for only \$30.00 which allows the horse players admission to the Fair everyday of the Fair, a total of 12 days for only \$30.00**

**19. JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>	
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:

**Quarters for female jockeys are the same as the men's quarters. Built in 1990 and 1991. Separate locker rooms, restrooms, sauna room, bunkroom, and shower room for female jockeys.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:  
 126 Tack Rooms

C. Number of restrooms available on the backstretch of the racetrack:

**Five trailers—one, 20-foot restroom trailers with two restrooms each available for both men and women; and two, 28-foot shower trailers with four showers and 1 restroom each available for both men and women and two 40-foot trailers with two restrooms and four showers each available for both men and women.**

- D. Estimated ratio of restrooms to the number of backstretch personnel:  
 1 – Receiving Barn; 58 in RV Trailer Park; 3 Portable Toilets (2 @Gate #10; 1 @Gap)  
 1 – Racing Secretary's Office; 1 – Winner's Circle Café.

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,129 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail, metal (powder coated); outer rail, metal, aluminum and galvanized chainlink; inner rail supports, metal gooseneck; inner rail top shield is made of sheet metal; shield is 39" above the track surface.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Horsemen's Agreement on file with CHRB.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**

D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

**No Exceptions**

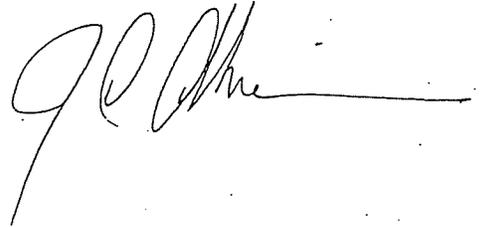
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

John C. Alkire  
Print Name  
CEO  
Print Title

Signature  
June 5, 2017



## STAFF ANALYSIS

July 20, 2017

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF LIEN GAMES RACING LLC DBA OFFTRACK BETTING.COM, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Lien Games Racing, LLC (Lien Games) previously operated several websites, including BetAmerica.com, which was acquired by Churchill Downs Technology Initiatives Company in April 2017. Rule 2072 (l) provides that changes or amendments to information or operating procedures contained in an advance deposit wagering (ADW) application are required to be submitted to the Board in writing by the applicant for approval. Subsequent to this change in ownership, Lien Games has filed an amended ADW application to reflect the new website it operates - OffTrackBetting.com.

Lien Games filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. Lien Games Racing, LLC, as a current ADW provider, has a \$500,000 bond continuation certificate on file that will expire January 1, 2018.

Lien Games listed Amtote International as the company that provides its totalizator services and equipment. Lien Games also listed Sportech/eBet as the company that "provides technology which interfaces between the consumer and Amtote's wagering hub." It is unclear what role the Sportech "interface" is playing in the totalizator process. Staff has requested the applicant to provide clarification to the Board.

This application provides for:

Dates of operation will be 365 days a year, 24 hours a day. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

- Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on races conducted in California from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.

2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

"Hub agreement" as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

19604 (d)(1)(B)

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization . . ."

(i) The labor organization has historically represented employees who accept or process any form of wagering at the nearest horse racing meeting located in California.

(ii) The agreement establishes the method by which the ADW provider will agree to recognize and bargain in good faith with a labor organization that has demonstrated majority status by submitting

authorization cards signed by those employees who accept or process any form of wagering for which a California ADW license is required.

(iii) The agreement requires the ADW provider to maintain its neutrality concerning the choice of those employees who accept or process any form of wagering for which a California ADW license is required whether or not to authorize the labor organization to represent them with regard to wages, hours, and other terms and conditions of employment.

(iv) The agreement applies to those classifications of employees who accept or process wagers for which a California ADW license is required whether the facility is located within or outside of California.

19604 (d)(1)(C)

(i) The agreement required by subparagraph (B) shall not be conditioned by either party upon the other party agreeing to matters outside the requirements of subparagraph (B).

(ii) The requirement in subparagraph (B) shall not apply to an ADW provider that has entered into a collective bargaining agreement with a bona fide labor organization that is the exclusive bargaining representative of employees who accept or process parimutuel wagers on races for which an ADW license is required whether the facility is located within or outside of California.”

Labor Correspondence

On February 10, 2016 the Pari-mutuel Employees Guild Local 280 (Local 280) submitted a letter to the California ADW providers: Watch and Wager.com, LLC, Game Play Network, Inc. Twinspires, Bet America, ODS Technologies, L.P. d.b.a. TVG and Xpressbet. The letter provides “notice that Local 280 does hereby demand that your company enter into ‘a contractual agreement’ with Local 280 as required by California Business and Professions Code section 19604(d)(1)(B). The Local 280 letter includes that it will object to the approval of an ADW application unless an agreement has been reached between Local 280 and the ADW provider(s). Additionally, the letter provides “Local 280 believes that it is entitled to jobs under the terms of this statute and that those jobs have not been provided by ADW providers”.

On March 16, 2016 Local 280 submitted a letter to the Board that included a copy of the February 10, 2016 letter it had submitted to the California ADW providers. The March 2016 letter asked the Board to enforce California Business and Professions Code section 19604(d)(1)(B) and requested that no licenses be granted to ADW providers until the statute compliance is met.

On October 10, 2016 Local 280 submitted a third letter to the California ADW providers and the Board proposing a meeting between Local 280 and representatives of all the ADW companies to discuss thoughts “that could possibly assist the industry and benefit Local 280 members.”

Lien Games has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Simulcast Wagering Agreement between Watch and Wager and Lien Games Racing effective October 22, 2016 through May 31, 2018.

- Hub agreement between Lien Games Racing and California Harness Horsemen's Association dated as of February 9, 2016 through December 31, 2017.
- Hub agreement between Lien Games Racing and California Authority of Racing Fairs effective January 1, 2017 through December 31, 2017.

Monarch Content Management, LLC (MCM), has previously been the negotiating party for the simulcast wagering agreements between Lien Games, the California thoroughbred racing associations, California Authority of Racing Fairs, Los Angeles County Fair at Los Alamitos, and the Sonoma County Fair. To date, MCM has provided the 2017 contracts for TVG and Xpressbet. MCM has shared that "The contracts signed in 2014 with TwinSpires, the contracts signed in 2015 with Lien Games, and the contracts signed in 2016 with NYRAbets and Watch and Wager.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

There are 13 racing venues approved for racing by the California Horse Racing Board: four thoroughbred racing associations, one quarter horse racing association, one harness facility racing and seven fairs. Lien Games has provided documentation allowing it to accept wagering for only eight of the thirteen.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. Labor Agreement with Local 280.
2. Response to item 6A2 on application [Tab 9].
3. Application with original signature.
4. Clarification on Sportech's role in the totalizator service.

Outstanding items required before wagers can be accepted on **races conducted in California** from a resident of California:

1. 2017 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Lien Games to accept wagers on the remaining five racing venues.
2. Horsemen approval of ADW agreement.

The following information will need **updating** during the proposed licensing period:

1. Agreement with AmTote International (expires December 31, 2017)

#### RECOMMENDATION:

If the application is considered for approval, staff recommends approval of an 18-month license contingent upon the submission of outstanding items.

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

**NOTICE** – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

**1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

A. Name, mailing address, telephone and fax numbers:

Corporate Offices:

Lien Games Racing LLC  
404 4<sup>th</sup> Avenue North, Suite 200  
Fargo, North Dakota 58102  
(P.O. Box 1029, Fargo, ND 58107-1029)  
218-422-9004 Voice, 866-500-5764 Fax

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Lien Games Racing LLC, North Dakota Service Provider License No. 2017-013  
Kevin Hall, President, 2017 North Dakota License  
North Dakota Racing Commission  
Gunner LaCour, Director of Racing  
500 North 9<sup>th</sup> Street  
Bismarck, North Dakota 58501  
(701) 328-4290

C. Name, title and mailing address of the California agent for receipt of service of process:

Adam Humphrey, Senior Accountant  
BAM Software and Services LLC  
115 Sansome Street, Suite 1050  
San Francisco, California 94104

C. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

See Tab 3 for Hub Agreements.

**CHRB CERTIFICATION**

Application received: 7/3/17  
Reviewed: *[Signature]*

Hearing date: 7/20/17  
Approval date:

See Tab 4 for Simulcast Agreements with California tracks.

## 2. DATES OF OPERATION

### A. Dates Advance Deposit Wagering will be conducted:

Advance Deposit Wagering is offered throughout the periods approved by the California Horse Racing Board.

### B. Hours Advance Deposit Wagering will be conducted:

Wagering is offered every day of the year, with wagering each day beginning prior to the first race offered for the day and concluding with the start of the last race offered for the day.

## 3. BUSINESS STRUCTURE

- A.  Corporation (complete subsection B)  
 LLC (complete subsection C)  
 Other (specify, and complete subsection D)

Complete the applicable subsection

### B. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC:  
Lien Games Racing LLC
2. State where articles of organization are filed:  
North Dakota
3. Registry or file number for the LLC:  
North Dakota ID: 21381200
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:  
  
Kevin Hall, President (owns .01% of Lien Games Racing LLC and 100% of K2 Investments, which owns 99.99% of Lien Games Racing LLC)  
Kathy Mawicke, Secretary/Treasurer (no ownership interest)
5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:  
  
K2 Investments LLC – owns 99.99% of Lien games Racing LLC
6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A  
  
K2 Investments LLC owns 99.99% of Lien Games Racing LLC. Kevin Hall owns 100% of K2 Investments LLC
8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.  
  
Lien Games Racing LLC Financials and copies of reports to North Dakota Racing Commission, Attached at Tab 5
9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

California Business Plan and 2017 California Budget, Attached at Tab 6

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

A. List the procedures to establish an Account:

All accounts are initiated online before any wagering is conducted. Accounts for California residents will only be established in the name of a natural person and will be non-transferable. Individuals will be required to self-certify that they are not prohibited from wagering under Rule 1980. Information required to establish an Account will include: (1) account holder's full legal name, (2) principal residence address, (3) telephone number, (4) Social Security number or last 4 digits of Social Security number, (5) identification or certification to prove the account holder is at least 18 years of age, and (6) whether the account holder wants to use a credit card to make deposits to their account. Lien Games Racing LLC will employ electronic verification with respect to each account holder's name, principal residence address, date of birth and Social Security number at the time account is established, using IDology. An account will not be activated if the information supplied is untrue or incomplete. When established, Lien Games Racing LLC will designate an account number for each account. The number will not be changed unless the account holder is informed prior to any change. The account holder will select or be assigned a unique user name or number to use to access their account, along with a password. Lien Games Racing LLC will inform the account holder of the assigned account number and provide a copy of its Advance Deposit Wagering procedures, terms and conditions as well as information that pertains to the operation of the Account. Lien Games Racing LLC will comply with Internal Revenue Service (IRS) requirements for reporting and withholding proceeds from Advance Deposit Wagers by account holders and shall provide to account holders who are subject to IRS reporting or withholding, a Form W2-G summarizing the information for tax purposes. Upon written request, Lien Games Racing LLC will provide account holders with summarized tax information on Advance Deposit Wagering activities.

B. If an application form is used to establish an Account attach a copy of the form.

Online Application Form, Attached at Tab 7.

C. Name and address of the third party you will use to verify identity, residence and age verification:

IDology, headquartered at 2018 Powers Ferry Road, Suite 720, Atlanta, Georgia 30339 ([www.idology.com](http://www.idology.com)) is used to verify identity, residence and age.

5. **OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2073.

- A. Submit a copy of your plan for operation.

Plan of Operation of Advance Deposit Wagering Accounts, Attached at Tab 8.

- B. List the type of deposits you will accept:

Credit Cards: Visa, Mastercard and Discover.

Electronic Checks: Customers can have deposits debited from their checking account and credited to their wagering account (ACH).

PayNearMe: Customers can visit a variety of retailers throughout California, including CVS, Family Dollar and 7Eleven, where they can pay cash and a convenience fee, for which they will receive a unique code, which funds can then be credited to their wagering account.

Neteller: Customers can transfer funds from their Neteller ewallet account to their wagering account.

Dwolla: Customers can utilize Dwolla to transfer funds from their checking accounts to their wagering account.

Walk-Up Deposits: Customers can make deposits at Bank of America and Wells Fargo, which are then credited to their wagering account.

Wire transfers.

Paper Checks and Money Orders.

- C. Identify any fees or transaction-related charges and the amount that will be assessed:

There are no fees or charges imposed by Lien Games Racing LLC in connection with submitting wagers, or when making a deposit using PayNearMe, Dwolla, walk-up bank deposit, paper check, or electronic check. There is a 4.5% fee charged by Lien Games, with a minimum fee of \$5.00, when making a deposit using a credit card. There is a 2.9% fee, with a minimum fee of \$1.00, when making a deposit using a Neteller transfer (in addition to fees charged to the consumer by Neteller). There is a \$20.00 fee for processing wire transfer deposits of less than \$1,000.00.

## 6. SECURITY ACCESS

- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

Security Access Policy and Safeguards, Attached at Tab 9.

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
3. Methods and locations available for Account Holders to withdraw funds from their Account:
4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

## 7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm: CHRIMS, Inc., 7950 Dublin Boulevard, Suite 216, Dublin, California, 94568, 925-307-7020.
- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

Totalizator services and equipment supplied by Amtote International, 11200 Pepper Road, Hunt Valley, MD 21031, (904) 278-9077, under contract expiring on December 31, 2017. In addition, Sportech/eBet, under contract with an expiration date of December 19, 2019, provides technology which interfaces between the consumer and Amtote's wagering hub.

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

Racing venues upon which wagers are accepted are attached at Tab 10. In addition, the Applicant is seeking simulcast agreements with additional domestic and international venues upon which to offer wagering to customers.

**NOTICE** – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

## 8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:
- Tote Company: Amtote International
  - Thoroughbred Data: Equibase Company LLC
  - Harness Data: Trackmaster (AXCIS Information Network, Inc.)
  - Greyhound Data: TrackData Systems Corporation
  - Technology, Marketing and Consulting: Trackside, Inc.
  - Communications and Video Streaming: Roberts Communications
  - Identity Verification: IDology
  - ACH Processing: Dwolla, Starion Bank
  - Credit Card Processing: Starion Bank, Authorize.net
  - Cash deposits at land-based retailers: PayNearMe
- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed: Local 280 Neutrality Agreement

## 9. ADVERTISING

Name and address of the marketing firm you will use:  
 Trackside, Inc.  
 404 4<sup>th</sup> Avenue North, Suite 200  
 Fargo, North Dakota 58102

**NOTICE** – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

KEVIN J HALL  
Print Name

PRESIDENT  
Print Title

  
Signature

6/30/17  
Date

STAFF ANALYSIS  
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING  
THE PROPOSED AMENDMENT OF  
CHRB RULE 1663, ENTRY OF CLAIMED HORSE,  
TO PROVIDE THAT A HORSE CLAIMED OUT OF A CLAIMING RACE  
IS NOT ELIGIBLE TO RACE IN ANY STATE OTHER THAN CALIFORNIA  
UNTIL 60 DAYS AFTER THE CLOSE OF THE MEETING FROM WHERE IT WAS  
CLAIMED EXCEPT IN A STAKES RACE

Regular Board Meeting  
July 20, 2017

#### PROBLEM

Board Rule 1663 provides that a horse claimed out of a claiming race may immediately run back at any racing association in California. If the horse won the race from which it was claimed, and it is entered in another claiming race within 25 days, it must run for 25 percent more than the amount for which it was claimed. Horses that were not winners of the races from which they were claimed must start in claiming races for at least the same amount at which they were claimed. The rule also provides that a claimed horse may be removed from the grounds of the association from which it was claimed for non-racing purposes. The regulation does not prohibit the new owner from immediately shipping the claimed horse to another racing jurisdiction, which many within the industry believe has a detrimental effect on horse inventory, and contributes to short fields. Rule 1663 was last amended in 2012 to eliminate the 60-day "jail time" for horses claimed in California. The amendment was the result of potential legal problems regarding the jail time. However, recent court decisions in Kentucky have essentially affirmed that state's regulation, which provides that unless the stewards grant permission for a claimed horse to enter and start at an overlapping or conflicting meeting in Kentucky, a horse shall not race elsewhere until the close of entries of the meeting at which it was claimed. The Kentucky rulings have resulted in a proposal to amend Board Rule 1663 to provide for a 60-day jail time for horses claimed in California.

#### ANALYSIS

The proposed amendment to Rule 1663 adds a new subsection 1663(c) to provide that a horse claimed out of a claiming race is not eligible to race in any state other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.

#### BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

**RECOMMENDATION**

**This item is presented for Board discussion and action.**

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 7. CLAIMING RACES  
PROPOSED AMENDMENT OF  
RULE 1663. ENTRY OF CLAIMED HORSE

Regular Board Meeting  
July 20, 2017

1663. Entry of Claimed Horse.

- (a) A horse claimed out of a claiming race is eligible to race at any racing association in California immediately after being claimed.
- (b) If a claimed horse is entered in a claiming race within 25 days of being claimed:
- (1) The horse that won the claiming race from which it was claimed is not eligible to start in a claiming race for less than 25 percent more than the amount for which it was claimed.
- (2) A horse that did not win the claiming race from which it was claimed is not eligible to start in a claiming for less than the amount for which it was claimed.
- (c) A horse claimed out of a claiming race is not eligible to race in any state other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.
- (ed) A claimed horse may be removed from the grounds of the association where it was claimed for non-racing purposes.
- (de) The provisions of subsection (a) of this rule do not apply to standardbred horses.

Authority: Sections 19420, and 19440,  
Business and Professions Code.  
Reference: Sections 19562,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED  
AMENDMENT TO CHRB RULE 1865, ALTERING OF SEX OF HORSE, TO  
PROVIDE THAT A HORSE BE SCRATCHED IF THE TRUE SEX OF THE HORSE IS  
NOT REPORTED TO THE RACING OFFICE  
PRIOR TO THE OPENING OF WAGERING  
FOR THE RACE IN WHICH THE HORSE IS ENTERED

Regular Board Meeting  
July 20, 2017

#### PROBLEM

Rule 1865, Altering of Sex of Horse, provides that a trainer who enters a horse, or who causes a horse to be entered on his behalf, is responsible for ensuring that the true sex of the entered horse is listed on its certificate of registration on file in the racing office. If the true sex of a horse is not correctly identified in the official program for the race in which the horse is entered, the trainer of the horse shall be subject to a minimum fine of \$1,000. Despite concerted efforts by the CHRB and racing officials, violations of Rule 1865 continue – usually because the trainer fails to notify the racing office that a horse has been gelded. In many cases this information is not made public until the horse reaches the receiving barn about 45 minutes before the horse is scheduled to race. By the time the horse identifier at the receiving barn examines the horse and notifies the stewards of the sex change, it is too late. The most recent incident of note involved a horse at Santa Anita Park. The stewards, the racing office and the wagering public were unaware the horse had been gelded until he was examined 30 minutes before he ran. The Pick 6, Pick 4, Pick 3 and Daily double pools had closed, so all the wagers were locked in. When the horse won, one person had a unique winning ticket that paid close to \$900,000. The stewards initially considered scratching the horse, however, they determined that Board rules do not grant them authority to scratch a horse in that situation.

#### ANALYSIS

The proposed amendment to Rule 1663 will add a new subparagraph 1663(d)(2) to require that the stewards declare a horse from the race in which it is entered if the true sex of the horse is not reported to the racing office prior to the opening of wagering on the race. The proposed amendment provides the trainer with an opportunity to correct the reported sex of a horse entered to race, and for the racing association to notify the wagering public.

#### BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meeting in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19460(b) states that all licenses granted under this chapter are subject to all rules,

regulations, and conditions prescribed by the board. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
PROPOSED AMENDMENT OF  
RULE 1865. ALTERING OF SEX OF HORSE

Regular Board Meeting  
July 20, 2017

1865. Altering of Sex of Horse.

Any alteration to the sex of a horse from the sex as recorded on the certificate of foal registration or the eligibility certificate or other official registration certificate of the horse shall be reported to the racing secretary and the official horse identifier if the horse is entered to race at any race meeting.

(a) If a racehorse is gelded or castrated on the premises of a licensed racing association, or other facility under the jurisdiction of the Board, the trainer shall report the alteration within 72 hours.

(b) If a racehorse is gelded or castrated off the premises of a licensed racing association, or other facility under the jurisdiction of the Board, and the horse has been previously entered to race at any race meeting in this State, the owner and/or trainer shall report the alteration at the time the horse is next entered to race.

(c) A report of gelding or castration will include the name of the veterinarian performing the alteration and the date of the alteration, and shall be recorded on the official registration certificate and the official horse identification record of the horse.

(d) A trainer who enters a horse, or who causes a horse to be entered on his behalf, is responsible for ensuring that the true sex of the entered horse is listed on its certificate of registration on file in the racing office.

(1) If the true sex of a horse is not correctly identified in the official program for the race in

which the horse is entered, the trainer of the horse shall be subject to a minimum fine of \$1,000.

(2) If the true sex of a horse is not reported to the racing office prior to the opening of wagering for the race in which the horse is entered, the stewards shall declare the horse from the race.

(23) Deviation from the minimum fine in subsection (d)(1) of this regulation is appropriate if the trainer can demonstrate mitigating circumstances. Mitigating circumstances may include, but are not limited to:

(A) Errors made by other parties in recording information correctly provided by the trainer.

Authority: Sections 19420, 19440, 19460 and 19562,  
Business and Professions Code.

Reference: Sections 19420, 19562 and 19661,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING A PRESENTATION FROM  
NORTHERN CALIFORNIA OFF TRACK WAGERING, INC. (NCOTWINC)  
DESCRIBING THE RESPONSIBILITIES OF THE ORGANIZATION  
AND THE  
STATUS OF DEVELOPMENT OF MINI-SATELLITE WAGERING FACILITIES  
IN NORTHERN CALIFORNIA

Regular Board Meeting  
July 20, 2017

#### BACKGROUND

Business and Professions Code section 19608.2 provides that racing associations and fairs providing audiovisual signals may form an organization to operate, pursuant to Board supervision, the audiovisual signal system. Any organization formed pursuant to this section shall provide horsemen's organizations meaningful representation on the organization's governing board. The organization shall bear the costs of operating the audiovisual signal system. The organization formed in Northern California is Northern California Off Track Wagering, Inc. (NCOTWINC). Attached is information relating to the responsibilities of NCOTWINC and the status of development of mini-satellite wagering facilities in Northern California. NCOTWINC is prepared to make a presentation to the Board.

#### RECOMMENDATION

This item is presented for discussion and action by the Board.

Northern California Off-Track Wagering, INC.  
Organizational & Operational Overview  
July 2017 California Horse Racing Board Meeting

I. History of NCOTWINC Organizational Formation

- SB14 Allows Simulcast Wagering On Horse Racing In California
- Business & Professions Code 19608.2 Provides For Associations, Fairs and Horsemen To Form An Organization To Oversee & Administer Simulcast Operations
- NCOTWINC Is Formed To Oversee and Administer Simulcast Operations At Northern California Simulcast Wagering Facilities

II. Basic Mission & Oversight

- Audio Visual Signals & Wagering Data
- Pari-Mutuel Labor & Equipment
- Totalizator Equipment & Expenses
- Administration Of Satellite Wagering Program
- Banking & Accounting Services

III. Current Ownership, Directors, Management & Operational Staff

- NCOTWINC's Current Ownership Structure Includes The California Authority Of Racing Fairs (CARF), Golden Gate Fields (GGF), & The Thoroughbred Owners Of California (TOC)
- NCOTWINC's Current Board Of Directors Includes: Larry Swartzlander (CARF), Calvin Rainey (GGF) And Elizabeth Morey (TOC)
- NCOTWINC's Current Management & Operational Staff Includes: Bryan Wayte (General Manager), Doug Gooby (Pari-Mutuel Manager), And Karie Arata (Accountant)

Northern California Off-Track Wagering, INC.  
Organizational & Operational Overview  
July 2017 California Horse Racing Board Meeting

IV. Audio Visual Signals & Wagering Data

- NCOTWINC Is Responsible For The Expenses Involved With Satellite Uplink, Encryption And Transponder Time Required To Transmit The Wagering Product To Off -Track Locations And Insure Wagering Data Is Transmitted For Display To Them

V. Pari-Mutuel Labor & Equipment

- NCOTWINC Is Responsible For The Expenses & Management Of All Pari-Mutuel Labor At Off-Track Satellite Locations
- NCOTWINC Is Responsible For Negotiating And Managing The Contracts Involving Pari-Mutuel Labor & Armored Car Service At Satellite Locations
- Each Northern California Satellite Has A Pari-Mutuel Supervisor Employed By NCOTWINC To Manage Day To Day Operations
- NCOTWINC Pari-Mutuel Supervisors Insure The Off-Track Locations Are Properly Staffed With Tellers, Manage & Balance The Wagering Activity On A Daily Basis And Resolve Any Pari-Mutuel Questions Or Complaints From Customers
- NCOTWINC Provides And Maintains All Equipment Necessary For Pari-Mutuel Operations At Northern California Off-Track Locations
- NCOTWINC Provides Pari-Mutuel Statistical Reports And Analysis For All Off-Track Wagering Locations & Ad Hoc Reports To The Board As Directed

Northern California Off-Track Wagering, INC.  
Organizational & Operational Overview  
July 2017 California Horse Racing Board Meeting

VI. Totalizator Equipment & Expenses

- NCOTWINC Is Responsible For The Expenses & Oversight Of All Totalizator Operations At Off-Track Satellite Locations
- NCOTWINC Is Responsible For Negotiating And Managing The Contracts Involving Totalizator Services At The Off-Track Locations And Serving As A Liaison Between Satellite Locations
- NCOTWINC Supervisors Make Sure That The Totalizator Company Provides And properly Maintains The Required Number Of Wagering Terminals At Off-Track Locations
- In October 2015, NCOTWINC Prepared For And Oversaw The Conversion Of Totalizator Services At Thirteen Off-Track Locations And Six Racetracks In Northern California For The Deployment Of Over Nine Hundred New Wagering Terminals

VII. Administration Of Satellite Wagering Program & Expenses

- NCOTWINC Is Responsible For Coordinating & Disseminating The Daily Information Regarding The Satellite Wagering Program Available Each Live & Simulcast Day
- NCOTWINC Is Responsible For The Expenses Incurred For Administration And Oversight Of The Off-Track Program
- NCOTWINC Is Responsible For Coordinating With Supervisors At Off-Track Facilities To Provide This Information To Customers
- NCOTWINC Is Responsible For The Expense & Maintenance Of Insurance Contracts Related To The Wagering Program

Northern California Off-Track Wagering, INC.  
Organizational & Operational Overview  
July 2017 California Horse Racing Board Meeting

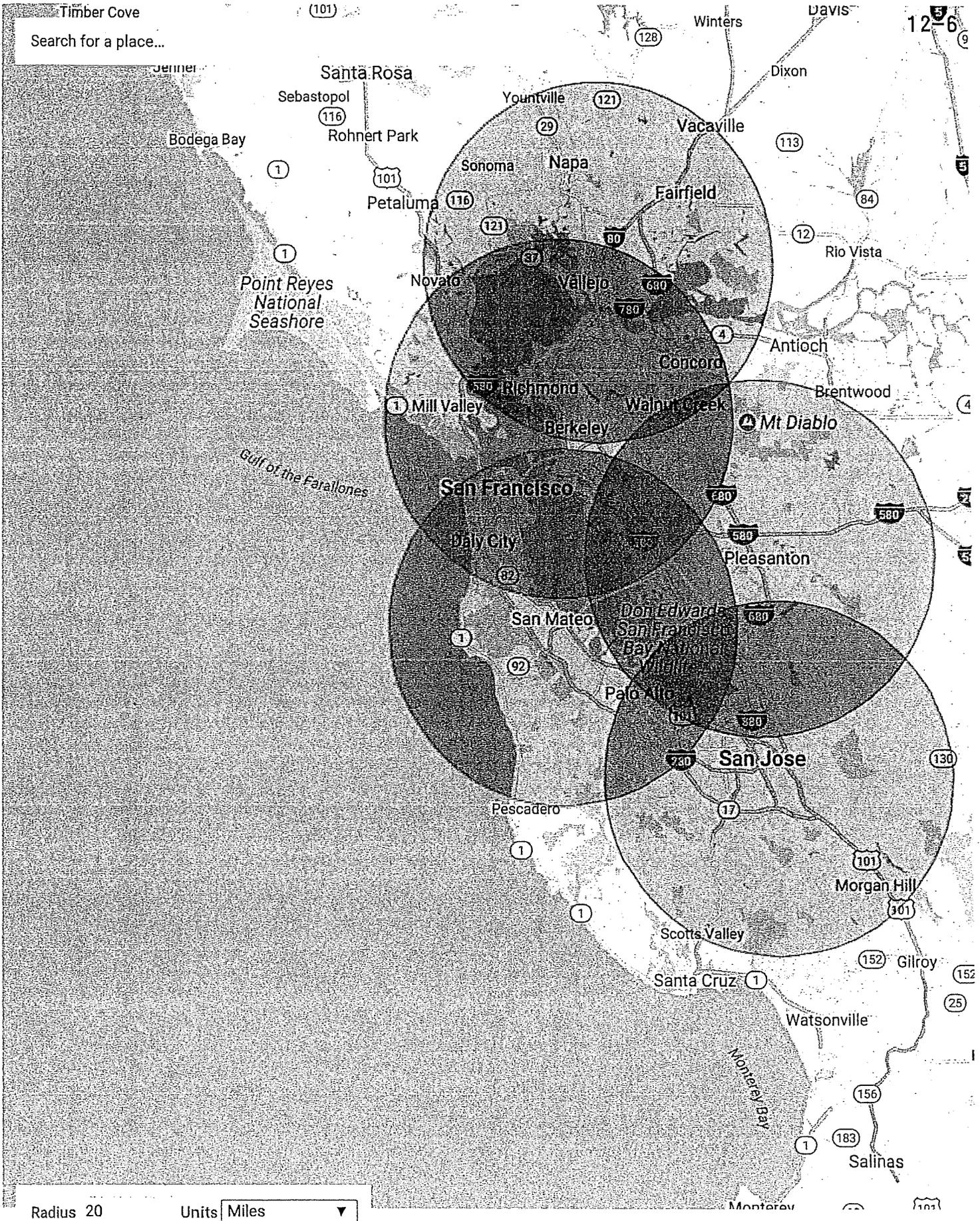
VIII. Banking & Accounting Services

- Provides The Banking & Accounting Services Necessary To Conduct Pari-Mutuel Wagering At Off-Track Locations
- Coordinates The Collection & Accounts For All Deposits Made At Off-Track Locations Related To Pari-Mutuel Wagering
- Coordinates The Transfer Of Wagering Revenue To The Host Tracks From Off-Track Locations
- Provides Accounting Reports & Analysis Of Expenses & Revenue Derived From Off-Track Pari-Mutuel Wagering
- Provides Accounting Reports & Analysis Of Expenses & Revenue For The Northern California Vanning & Stabling Committee

IX. NCOTWINC Efforts To Increase The Distribution Of Wagering Opportunities Through The Expansion Of Mini-Satellites

- Prospecting Potential Locations For Mini-Satellites In Northern California
- Meeting With Potential Mini-Satellite Operators
- Performing Site Surveys Of Potential Mini-Satellite Locations
- Providing Requirements And Information Relating To Mini-Satellites To Potential Operators
- Providing Revenue Projections For Potential Mini-Satellite Locations
- Assist The NCOTWINC Board As Directed In Assessing Potential Mini-Satellite Locations



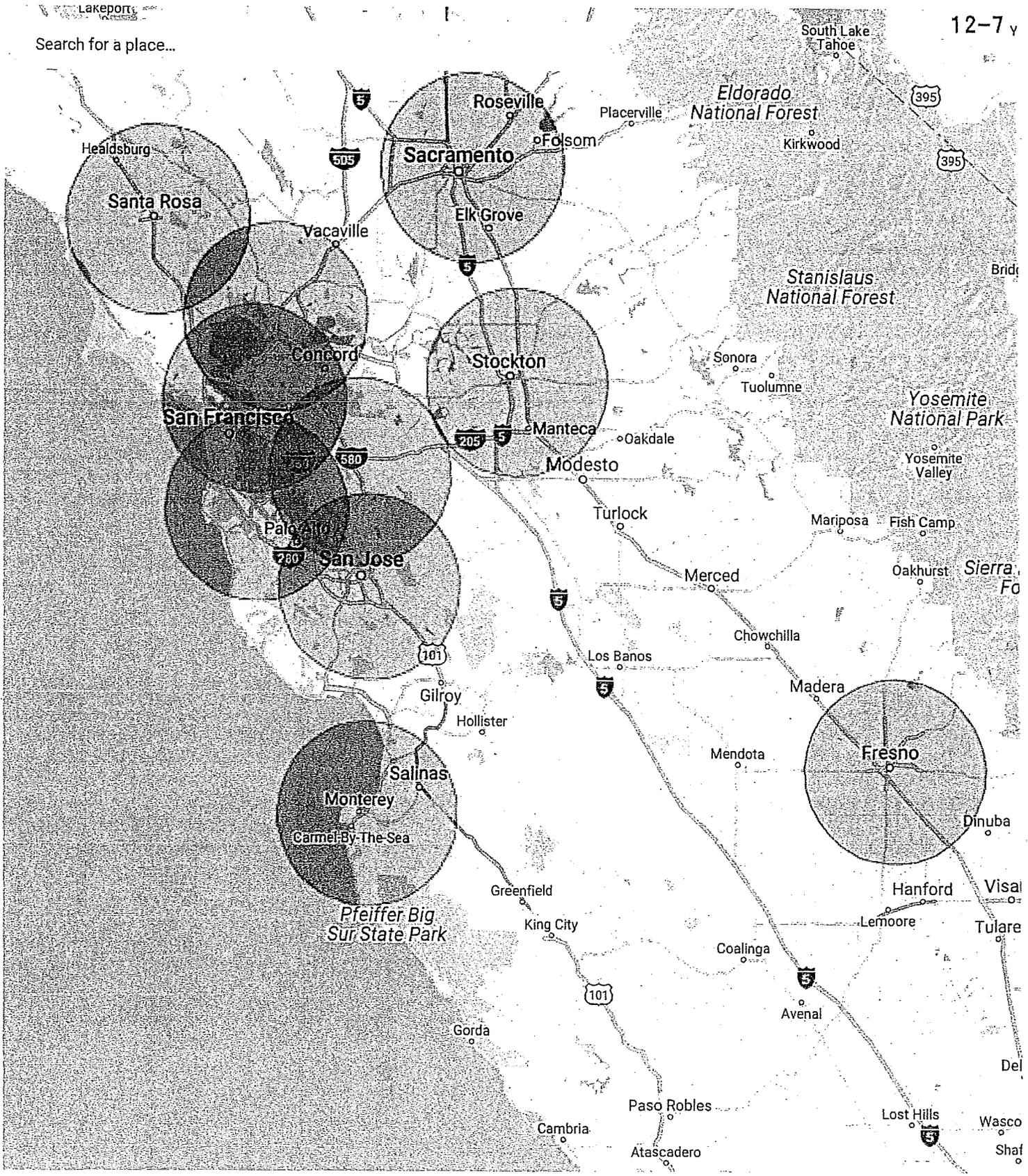


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