

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board (CHRB/Board)** will be held on **Thursday, December 17, 2020**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under “Webcasts.”

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **December 17, 2020 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted. To comment on an agenda item, press *1 during the call to be added to the queue. If you are a member of the public and wish to listen to the meeting and not participate, we request that you please listen to the meeting via the webcast link on our website to avoid congestion and potential technical difficulties.

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Approval of the minutes of November 19, 2020.**
2. Reports
 - A. **Executive Director’s Report.**
 - B. **Equine Medical Director’s Report.**
3. Discussion and action by the Board regarding **the distribution of race day charity proceeds of the Pacific Racing Association, dba Golden Gate Fields, 2019-2020 Winter/Spring Race Meeting in the Amount of \$8,900 to eight beneficiaries.**
4. Discussion and action by the Board regarding **the distribution of race day charity proceeds of the Los Angeles Turf Club, Incorporated, dba Santa Anita Park, 2019-2020 Winter/Spring Race Meeting in the Amount of \$45,453 to ten beneficiaries.**

5. Discussion and action by the Board regarding **approval of the change of ownership for the minisatellite Race & Sports Authority LLC, dba 58 Flat, pursuant to Board Rule 2066(h).**
6. Discussion and action by the Board on the **request from the Pacific Racing Association (PRAI) to approve the carryover of funds from the 2020 Fall Meet into a mandatory payout pool for the 2021 Winter/Spring Meet.**
7. Discussion and action by the Board regarding the **request to modify the distribution of market access fees from Advanced Deposit Wagering (ADW)** as permitted under Business and Professions Code (BPC) section 19604 (f)(5)(E) for 2021 to include a distribution to Southern California Off-Track Wagering, Inc.
8. Discussion and action by the Board regarding the **request to modify the distribution of market access fees from Advanced Deposit Wagering for wagering conducted by Thoroughbred Associations and Racing Fairs in the Central and Southern zones to include a distribution to the Southern California Stabling and Vanning Fund, and to adjust the amount distributed to that Fund from brick and mortar wagering for 2021.**
9. Discussion and action by the Board on the **amendments of the Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H), at Cal Expo, commencing December 23, 2020 through May 11, 2021, inclusive.**
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Alamitos Quarter Horse Racing Association (LAQHRA) and the Pacific Quarter Horse Racing Association (PCQHRA),** regarding entry conditions and specified drug substances or medications to be implemented by LARA at its December 23, 2020 through December 21, 2021 race meeting at the Los Alamitos Race Course.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (QH) at Los Alamitos Race Course,** commencing December 23, 2020 through December 21, 2021.
12. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Pacific Racing Association (PRAI) and the Thoroughbred Owners of California (TOC),** regarding entry conditions and specified drug substances or medications to be implemented by PRAI at its December 23, 2020 through June 15, 2021 race meeting at Golden Gate Fields.
13. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (PRAI) at Golden Gate Fields,** commencing December 23, 2020 through June 15, 2021.
14. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Angeles**

Turf Club (LATC) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by LATC at its December 23, 2020 through June 15, 2021 race meeting at the Santa Anita Racetrack.

15. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 23, 2020 through June 22, 2021
16. Discussion and action by the Board regarding the **proposal to suspend CHRB Rule 1845(a)(1), Authorized Bleeder Medication, pursuant to CHRB Rule 1406, Suspension of Rule for the Los Alamitos Quarter Horse Race meet from Dec. 23, 2020 to Dec. 31, 2020.**
17. Discussion and action by the Board regarding the **proposed amendments to CHRB Rule 1866, Veterinarian's List**, to allow the official veterinarian to require diagnostic imaging prior to a horse being removed from the vet's list.
18. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1503.5, Continuing Education for Trainers and Assistant Trainers**, to require continuing education as a condition of renewal of license for Trainer or Assistant Trainer (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented).
19. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
20. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or

services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman

Oscar Gonzales, Vice Chair

Dennis Alfieri, Member

Damascus Castellanos, Member

Brenda Davis, Member

Wendy Mitchell, Member

Alex Solis, Member

Scott Chaney, Executive Director

Cynthia Alameda, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING ADMINISTRATIVE ADJUDICATIONS DECEMBER 2020

The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e). This shall include any matters mentioned below and/or permitted by law.

CASE

- A. Proposed Decision of Fitness Hearing**
Owner Applicant: Ruben Sandoval
Case Number: 20SA00125

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING LITIGATION DECEMBER 2020

COURT LITIGATION

- A. Charles Fipke v. California Horse Racing Board**
Court of Appeal of the State of California, Second Appellate District in Los Angeles
- B. Luster v. California Horse Racing Board**
Superior Court of California, County of Sacramento
- C. Zvi Kriple v. California Horse Racing Board**
Superior Court of California, County of San Diego
- D. Ruis Racing, LLC v. California Horse Racing Board**
Superior Court of California, County of Los Angeles
- E. Hollendorfer v. California Horse Racing Board**
Superior Court of California, County of San Diego
- F. Pacific Racing Association and Pacific Racing Association II v. California Horse Racing Board**
Superior Court of California, County of Orange
- G. “Winstar Farm LLC, et al, vs. CHRB”**
Superior Court of California, County of Los Angeles

Proceedings of the Regular Meeting of November 19, 2020

4. DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF NORTHERN CALIFORNIA RACING DATES FOR THE YEAR 2021.

Motion: Approve the allocation of Northern California racing dates for the year 2021 as follows: Alameda Fair at Pleasanton, June 16, 2021, through July 13, 2021; State Fair at Cal Expo, July 14, 2021, through August 3, 2021; Sonoma Fair at Santa Rosa, August 4, 2021, through August 17, 2021; Humboldt Fair at Ferndale, August 18, 2021, through August 31, 2021; Golden Gate Fields, August 25, 2021, through October 5, 2021; Fresno County Fair, October 6, 2021, through October 19, 2021; Golden Gate Fields, October 20, 2021, through December 21, 2021.

Motioned/Seconded: Gonzales/Washington Davis

Roll call vote: **Aye:** Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 27–62 in Board transcript.

5. DISCUSSION AND ACTION BY THE BOARD REGARDING THE APPROVAL OF CHRB TO PARTICIPATE IN WASHINGTON STATE UNIVERSITY'S RESEARCH STUDY REGARDING THE EFFECTS OF RACING ON THE IMMUNE SYSTEM OF 2-YEAR-OLD THOROUGHBREDS.

Motion: Approve participation of CHRB in Washington State University's research study.

Motioned/Seconded: Ferraro/Solis

Roll call vote: **Aye:** Washington Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Pages 62–78 in Board transcript.

6. DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC (H) AT CAL EXPO, COMMENCING DECEMBER 23, 2020 THROUGH MAY 11, 2021.

Motion: Approve.

Motioned/Seconded: Castellanos/Solis

Roll call vote: **Aye:** Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 78–88 in Board transcript.

7. DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION (LAQHRA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY LAQHRA AT ITS DECEMBER 23, 2020 THROUGH DECEMBER 21, 2021 RACE MEETING AT THE LOS ALAMITOS RACE COURSE.

Motion: Table the discussion until December meeting when there is a written plan that Commissioners can study ahead of time and approve.

Motioned/Seconded: Ferraro/Washington Davis

Proceedings of the Regular Meeting of November 19, 2020

Roll call vote: Aye: Gonzales, Alfieri, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** Mitchell. **Motion carried.**

Pages 92–97 in the Board transcript.

8. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION (QH) AT LOS ALAMITOS RACE COURSE, COMMENCING DECEMBER 23, 2020 THROUGH DECEMBER 21, 2021.**

Motion: Postpone agenda item until December 2020 regular Board meeting.

Motioned/Seconded: Washington Davis/Castellanos

Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 97–99 in Board transcript.

9. DISCUSSION AND ACTION BY THE BOARD REGARDING THE **APPROVAL OF PROPOSED APPROVED CONTINUING EDUCATION (ACE) CURRICULUM PURSUANT TO CHRB RULE 1503.5, CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS.**

Motion: Approve proposed continuing education curriculum.

Motioned/Seconded: Ferraro/Castellanos

Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 99–112 in Board transcript.

10. PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, TO CLARIFY THE PENALTIES RELATED TO THE USE OF EXTRACORPOREAL SHOCKWAVE THERAPY (ESWT).**

Motion: Approve proposed amendment.

Motioned/Seconded: Washington Davis/Solis

Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 112–114 in Board transcript.

11. PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, AND PROPOSED ADDITION OF CHRB RULE 1843.4, MULTIPLE MEDICATION VIOLATIONS (MMV), TO INCORPORATE PENALTIES FOR MULTIPLE MEDICATION VIOLATIONS.**

Motion: Approve.

Motioned/Seconded: Castellanos/Alfieri

Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Proceedings of the Regular Meeting of November 19, 2020

Pages 114–122 in Board transcript.

12. DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED ADOPTION OF CHRB RULE 1866.4, THYROXINE RESTRICTED**, TO RESTRICT THE USAGE OF THYROXINE WITHIN A CHRB INCLOSURE.

Motion: Direct staff to initiate 45-day public comment period.

Motioned/Seconded: Washington Davis/Castellanos

Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Washington Davis, Ferraro. **Nay:** None. **Motion carried.**

Pages 122–126 in Board transcript.

13. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF NYRABETS, LLC**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if NYRA Bets opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Roll call vote: Aye: Washington Davis, Castellanos, Solis, Mitchell, Alfieri, Ferraro. **Nay:** None. **Motion carried.**

Pages 130–149 in Board transcript.

14. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF GAME PLAY NETWORK, INC.,** FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if Game Play Network opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Roll call vote: Aye: Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Pages 149–156 in Board transcript.

15. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF CHURCHILL DOWNS TECHNOLOGY INITIATIVES COMPANY, DBA TWINSPIRES AND BETAMERICA**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if TwinSpires/BetAmerica opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Ferraro/Gonzales

Roll call vote: Aye: Washington Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Proceedings of the Regular Meeting of November 19, 2020

Pages 156–162 in Board transcript.

16. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF WATCH AND WAGER.COM, LLC**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if WAW opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Roll call vote: Aye: Washington Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Pages 162–166 in Board transcript.

17. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF XPRESSBET, LLC**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if XpressBet opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Roll call vote: Aye: Washington Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Pages 166–169 in Board transcript.

18. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF ODS TECHNOLOGIES, L.P., DBA TVG NETWORK**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if TVG Network opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Roll call vote: Aye: Davis, Castellanos, Solis, Mitchell, Alfieri, Gonzales, Ferraro. **Nay:** None. **Motion carried.**

Pages 169–171 in Board transcript.

19. DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF LIEN GAMES RACING, LLC, DBA OFFTRACKBETTING.COM**, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Motion: Approve application to conduct ADW, with condition that if OffTrackBetting.com opens location in California, it reaches labor agreement with Local 280.

Motioned/Seconded: Castellanos/Gonzales

Proceedings of the Regular Meeting of November 19, 2020

Roll call vote: Aye: Washington Davis, Castellanos, Solis, Alfieri, Mitchell, Gonzales, Ferraro. **Nay:** None. **Motion carried.**
Pages 171–176 in Board transcript.

20. PUBLIC COMMENT.

Pages 176–201 in Board transcript.

MEETING ADJOURNED AT 2:02 P.M.

Proceedings of the Regular Meeting of November 19, 2020

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

December 17, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2A

CALIFORNIA HORSE RACING BOARD

December 17, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2B

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION DBA
GOLDEN GATE FIELDS 2019-2020 WINTER/SPRING RACE MEETINGS IN THE
AMOUNT OF \$8,900 TO EIGHTS BENEFICIARIES

Regular Board Meeting
December 17, 2020

ISSUE

The Pacific Racing Association, doing business as Golden Gate Fields (GGF), is requesting approval to distribute race day charity proceeds generated at its 2019-2020 winter-spring race meet. GGF is proposing a total distribution of \$8,900.57. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to horse racing industry related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action.

CHRB ANALYSIS

Pacific Racing Association dba Golden Gate Fields, 2019-2020 Winter-Spring Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	California Thoroughbred Foundation	165.55	2%		a
2	CARMA	795.71	9%		a
3	Edwin J. Gregson Foundation	1,367.13	15%		a
4	Neigh Sayers Foundation	125.50	1%		a
5	Racetrack Chaplaincy of America	216.28	2%		a
6	California Thoroughbred Horsemen's Foundation	1,015.56	11%	minimum of 5%	b
7	Winners Foundation	2,492.16	28%	minimum of 5%	c
8	Permanently Disabled Jockeys' Fund	2,722.69	31%	minimum of 20%	d
	Total Horse Related Charities	\$ 8,900.58	100%	minimum of 60%	e
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



GOLDEN GATE FIELDS

October 27, 2020

California Horse Racing Board
Attention: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Pacific Racing Association, Charity Day Proceeds

Dear Mr. Chaney,

Pacific Racing Association, doing business as "Golden Gate Fields", has determined the beneficiaries of proceeds from Charity Days races conducted at Golden Gate Fields during its 2019-2020 Winter/Spring Meet, December 18, 2019 to June 16, 2020, in the amount of \$8,900.57.

Attached is a list of the organizations selected to be the beneficiaries of the Charity Day proceeds and statement about each recipient. Please note that 100% of the distributions are to horse-related charities.

Also attached is the official CHRIMS Report of Handle for the said race meet period upon which this distribution is based.

We request that you please add this matter for discussion and action by the California Horse Racing Board at its next regularly scheduled meeting. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matter set forth herein, do not hesitate to contact me.

Very truly yours,

Eric Sindler
General Counsel, California Racing Operations

ES:st

GOLDEN GATE FIELDS

Pacific Racing Association
Distribution of Charity Days Proceeds
2020 Winter-Spring Meet (December 18, 2019 - June 16, 2020)

		Amounts	Subtotals
I.	Horse Related Charities - BP 19556(b) (30% minimum)		
	California Thoroughbred Foundation	\$ 165.55	
	CARMA	\$ 795.71	
	Edwin Gregson Foundation	\$ 1,367.13	
	Neigh Sayers Foundation	\$ 125.50	
	Race Track Chaplaincy of America	\$ 216.28	\$ 2,670.17
II.	Welfare Fund for Backside Personnel - 19556(b) (5% Minimum Distribution to Welfare Fund described in 19641(b))		
	California Thoroughbred Horsemen's Foundation	\$ 1,015.56	\$ 1,015.56
III.	Fund for Subastance or Alcohol Abuse - BP 19556(b) (5% minimum)		
	Winners Foundation	\$ 2,492.16	\$ 2,492.16
IV.	Disabled Jockeys Fund - BP 19556(c) (20% minimum)	\$ 2,722.69	\$ 2,722.69
	Total Distribution:		\$ 8,900.58



GOLDEN GATE FIELDS

Pacific Racing Association Golden Gate Fields

I. Horse Related Charities – California B&P Code Section 19556(b) (30% Minimum Distribution)

California Thoroughbred Foundation – CTF is a 503(c) (3) non-profit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at US Davis and maintenance of the Carleton F. Burke Memorial Library.

CARMA – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

Edwin Gregson Foundation – A non-profit charitable foundation organized for the purpose of improving the lives of backstretch worker and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including “English as a second language,” bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers excursions. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

Neigh Savers Foundation – Neigh Savers creates new beginnings for retired racing Thoroughbreds by building relationships, both on and off the track, to help as many horses as possible. Through rescue, rehabilitation and retraining the organization provides the foundation needed for racing Thoroughbreds to successfully transition into new careers. Whether the horse is an Off-Track Thoroughbred (OTTB) ready to end its racing career or one that has been injured on the track or has found itself standing at an auction or on a feedlot with no other options, Neigh Savers strives to make a difference, one OTTN at a time.

Racetrack Chaplaincy of America – The stated mission of the organization is “The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry.”

II. **Welfare Fund for Backside Personnel - California B&P Code Section 19556(b) (5% Minimum Distribution to Welfare Fund described in 19641(b))**

California Thoroughbred Horsemen's Foundation – CTHF is a 501(c) (3) nonprofit foundation that provides assistance to the low-income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and California Horse Racing Board recognized off-track training centers. The organization maintains three clinics throughout the state, which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

III. **Fund for Substance or Alcohol Abuse - California B&P Code Section 19556(b) (5% Minimum Distribution)**

Winners Foundation – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race track who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch works, as well as all employees at Del Mar, Golden Gate Fields, Santa Anita and the California Association of Racing Fairs.

IV. **Disabled Jockeys Fund - B&P Code Section 19556(c) (20% Minimum Distribution)**

Permanently Disabled Jockeys' Fund – This is a statutorily mandated distribution per Business and Professions Code Section 19556(c). It provides support to disabled jockeys, as its name implies.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB,
INCORPORATED DBA AS SANTA ANITA PARK 2019-2020 WINTER/SPRING RACE
MEETING IN THE AMOUNT OF \$45,453 TO
TEN BENEFICIARIES

Regular Board Meeting
December 17, 2020

ISSUE

Los Angeles Turf Club, doing business as Santa Anita Park, is requesting approval to distribute race day charity proceeds generated at its 2019-2020 winter-spring race meet. Santa Anita Park is proposing a total distribution of \$45,453.77. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to horse racing industry related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action.

CHRB ANALYSIS

Los Angeles Turf Club, Inc. dba Santa Anita Park, 2019-2020 Winter-Spring Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	California Thoroughbred Foundation	599.99	1%		a
2	CARMA	3,818.12	8%		a
3	Edwin J. Gregson Foundation	6,736.25	15%		a
4	Holy Angels Church (Backside Permanent Deacon)	395.45	1%		
5	Racetrack Chaplaincy of American (Southern Ca Council)	886.35	2%		a
6	Southern California Equine Foundation	599.99	1%		a
7	United Pegasus Foundation	599.99	1%		a
8	California Thoroughbred Horsemen's Foundation	5,186.27	11%	minimum of 5%	b
9	Winners Foundation	12,727.05	28%	minimum of 5%	c
10	Permanently Disabled Jockeys' Fund	13,904.32	31%	minimum of 20%	d
	Total Horse Related Charities	\$ 45,453.78	100%	minimum of 60%	e
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



October 27, 2020

California Horse Racing Board
Attention: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club, Incorporated, Charity Day Proceeds

Dear Mr. Chaney,

Los Angeles Turf Club, Incorporated, doing business as "Santa Anita Park", has determined the beneficiaries of proceeds from Charity Days races conducted at Santa Anita Park during its 2019-2020 Winter/Spring Meet, December 18, 2019 to June 23, 2020, in the amount of \$45,453.77.

Attached is a list of the organizations selected to be the beneficiaries of the Charity Day proceeds and statement about each recipient. Please note that 100% of the distributions are to horse-related charities.

Also attached is the official CHRIMS Report of Handle for the said race meet period upon which this distribution is based.

We request that you please add this matter for discussion and action by the California Horse Racing Board at its next regularly scheduled meeting. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matter set forth herein, do not hesitate to contact me.

Very truly yours,

Eric Sindler
General Counsel, California Racing Operations

ES:st



Los Angeles Turf Club, Incorporated
Distribution of Charity Days Proceeds
2020 Winter-Spring Meet (December 18, 2019 - June 23, 2020)

		2020	
I.	Horse Related Charities - BP <u>19556(b)</u> (30% minimum)	Amounts	Subtotals
	California Thoroughbred Foundation	\$ 599.99	
	CARMA	\$ 3,818.12	
	Edwin Gregson Foundation	\$ 6,736.25	
	Holy Angels Church (Backside Permanent Deacon)	\$ 395.45	
	Race Track Chaplaincy of America (Southern California Council)	\$ 886.35	
	Southern California Equine Foundation, Inc.	\$ 599.99	
	United Pegasus Foundation	\$ 599.99	\$ 13,636.14
II.	Welfare Fund for Backside Personnel - 19556(b) (5% Minimum Distribution to Welfare Fund described in 19641(b))		
	California Thoroughbred Horsemen's Foundation	\$ 5,186.27	\$ 5,186.27
III.	Fund for Substance or Alcohol Abuse - BP 19556(b) (5% minimum)		
	Winners Foundation	\$ 12,727.05	\$ 12,727.05
IV.	Disabled Jockeys Fund - BP 19556(c) (20% minimum)	\$ 13,904.32	\$ 13,904.32
	Total Distribution:		\$ 45,453.78



LOS ANGELES TURF CLUB, INCORPORATED
Santa Anita Park

I. **Horse Related Charities – California B&P Code Section 19556(b) (30% Minimum Distribution)**

California Thoroughbred Foundation – CTF is a 503(c) (3) non-profit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at US Davis and maintenance of the Carleton F. Burke Memorial Library.

CARMA – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

Edwin Gregson Foundation – A non-profit charitable foundation organized for the purpose of improving the lives of backstretch worker and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including “English as a second language,” bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers excursions. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

Holy Angeles Church (Backside Permanent Deacon) – Holy Angeles church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

Racetrack Chaplaincy of America – The stated mission of the organization is “The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry.”

Southern California Equine Foundation, Inc. – SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization “dedicated to the care of the injured horse.”

United Pegasus Foundation ENI#95-4497611 – UPF is a 501(c) (3) charitable organization that has become one of the largest Thoroughbred retirement, rehabilitation and adoption organizations, saving hundreds of former race horses from an uncertain destiny by giving them a humane and deserved retirement home and providing for their care at its 27-acre ranch in San Jacinto, California. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required maintaining the Thoroughbreds.

II. **Welfare Fund for Backside Personnel - California B&P Code Section 19556(b)) (5% Minimum Distribution to Welfare Fund described in 19641(b))**

California Thoroughbred Horsemen’s Foundation – CTHF is a 501(c) (3) nonprofit foundation that provides assistance to the low-income backstretch community occupied with the care of Thoroughbred race horses at California’s major race tracks and fair circuits and California Horse Racing Board recognized off-track training centers. The organization maintains three clinics throughout the state, which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

III. **Fund for Substance or Alcohol Abuse - California B&P Code Section 19556(b) (5% Minimum Distribution)**

Winners Foundation – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race track who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual’s desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch works, as well as all employees at Del Mar, Golden Gate Fields, Santa Anita and the California Association of Racing Fairs.

IV. **Disabled Jockeys Fund - B&P Code Section 19556(c) (20% Minimum Distribution)**

Permanently Disabled Jockeys’ Fund – This is a statutorily mandated distribution per Business and Professions Code Section 19556(c). It provides support to disabled jockeys, as its name implies.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF THE
CHANGE OF OWNERSHIP FOR THE MINISATELLITE RACE & SPORTS
AUTHORITY LLC, DBA 58 FLAT, PURSUANT TO BOARD RULE 2066(H).

Regular Board Meeting
December 17, 2020

ISSUE:

In 2016, the California Horse Racing Board (Board) issued a license for the minisatellite provider, Race & Sports Authority, LLC, DBA 58 Flat (58 Flat). When the initial application was submitted, the business applying for licensure was listed as Race & Sports Authority, LLC which, according to the Secretary of State, is 100% owned by Demosthenis "Denny" Kanakaris. In 2019, Xplorador, Inc. purchased 24% of Race & Sports Authority LLC. Xplorador, Inc. is also 100% owned by Mr. Kanakaris. The current license for 58 Flat is active until May 26, 2021.

ANALYSIS:

Pursuant to Board Rule 2066(h), a change in ownership interest for Race & Sports Authority, LLC requires the notification of the Board in writing seeking permission to amend their license. Additionally, the Board would need to review and approve this change.

Board Rule 2066(h) states the following:

"After a license to operate a minisatellite wagering facility is issued under this article, changes or amendments to information or operating procedures contained in the application for the license will be permitted by order of the Board or by Board approval of a request submitted in writing by the applicant."

BACKGROUND:

[Business and Professions Code \(BPC\) section 19420](#) provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. [BPC section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually its responsibilities, including the administration and enforcement of all laws, rules, and regulations affecting horse racing and pari-mutuel wagering. [BPC section 19605.25](#) provides the conditions in which the Board may approve satellite wagering facilities and the conditions each facility must meet.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300
Sacramento, CA 95825
www.chrb.ca.gov
(916) 263-6000 Fax (916) 263-6042



November 18, 2020

58 Flat
Attn: Demosthenis Kanakaris
4110 Bonita Road
Bonita, CA 91902

Dear Mr. Kanakaris,

The CHRB has been provided documentation that the ownership for 58 Flat has changed. In 2016, when you applied for, and were granted, a license to operate a minisatellite wagering facility at 58 Flat, the ownership was reported as Race & Sports Authority, LLC (100% ownership). The CHRB was advised that Xplorador purchased 24% of 58 Flat in May 2019.

CHRB Rule 2066(h) states:

After a license to operate a minisatellite wagering facility is issued under this article, changes or amendments to information or operating procedures contained in the application for the license will be permitted by order of the Board or by Board approval of a request submitted in writing by the applicant.

In order to comply with this rule, the CHRB must be notified in writing of any ownership changes to 58 Flat by December 20, 2020. You can send that to my attention. Along with the license amendment request, please provide Xplorador's owner's names along with ownership percentages. Once submitted, the matter will be scheduled for a future Board meeting for review and decision.

Please let me know if you have any questions.

Sincerely,

Amanda Drummond
Policy, Regulations, and Administrative Hearings Manager
California Horse Racing Board

From: [REDACTED]
To: [REDACTED]
Subject: RE: 58 Flat
Date: Thursday, December 3, 2020 10:35:45 AM
Attachments: [Xplorador Minutes.pdf](#)
[58 Flat Bus License.pdf](#)
[Xplorador Business License.pdf](#)

Hi Amanda,

Attached please find the following items attached:

- Meeting Minutes 05-01-2019
- Business licenses for both Xplorador, Inc. & Race & Sports Authority, dba 58 Flat
- Please note that I am 100% owner of both entities provided (Xplorador, Inc & Race & Sports Authority, dba 58 Flat)

I'd also like to provide a brief summary of my years licenses with the CHRB for consideration at the hearing:

- I have been a CHRB licensed horse owner for over 21 years and still licensed. Have owned 100% or part of 100+ race horses.
- Been a licensed mini-satellite location in Bonita since July of 2016. The leading mini-satellite in San Diego County
- I nor my company have never been in violation or suspended for any reason by the CHRB.
- Please Note -**Both** Xplorador, Inc and Race & Sports Authority, dba 58 Flat are wholly owned/100% by myself Denny Kanakaris.
- Due to the above detailed ownership, technically, there has never been a change of ownership since I own both entities 100%
- I donate every year for the past 6 years to CARMA. The after care of race horses.
- I'm happy to update any CHRB application if you deem it necessary

I truly hope you take note of the aforementioned and get the feel that I am a committed individual to the well being of the race horse industry in our great state of California.

Thank you,

Denny Kanakaris

[REDACTED]
On December 3, 2020 at 11:53 AM [REDACTED]
[REDACTED] wrote:

Hi Denny,

Just to let you know, the Board is schedule to hear this issue at the December 17, 2020 regular Board meeting. Pursuant to CHRB Rule 2066(h), “(h) After

a license to operate a minisatellite wagering facility is issued under this article, changes or amendments to information or operating procedures contained in the application for the license will be permitted by order of the Board or by Board approval of a request submitted in writing by the applicant.” This means the Board will review the change in ownership and make a decision regarding this agenda item. I strongly encourage you to attend the meeting if you can in case the Board has any questions so they can speak to you. The meeting is held via teleconference and you can dial in via 888-392-4564 and enter 6896291# when prompted. The teleconference meeting will begin at 9:30am.

Additionally, if you have documents to include and a formal letter requesting this change to include in the agenda item packet, then please have those items submitted to be by close of business tomorrow as our agenda item packet is compiled early next week.

Thank you,

Amanda Drummond

Policy, Regulations, and Administrative Hearings Manager

California Horse Racing Board

[REDACTED]

Office: (916) 263-6000

From: [REDACTED]
Sent: Friday, November 20, 2020 1:54 PM
To: [REDACTED]
Subject: Re: 58 Flat

Hi Amanda,

Confirming receipt of your email.

I will put together the docs you've requested.

Thank you and have a nice weekend!

Denny Kanakaris

[REDACTED]
On November 20, 2020 at 4:31 PM [REDACTED]
[REDACTED] wrote:

Good afternoon Denny,

I apologize for missing your call. I am working from home today, but my voicemails are forwarded to my email so I was able to retrieve it and send you an email. I wanted to just reach out so you had my email and could send over that documentation at your earliest convenience.

Please let me know if you have any questions.

Thank you,

Amanda Drummond

Policy, Regulations, and Administrative Hearings Manager

California Horse Racing Board

[REDACTED]
Office: (916) 263-6000

MINUTES OF MAY 1, 2019 MEETING OF SHAREHOLDERS

of

XPLORADOR, Inc.

The meeting of shareholders of the above-captioned Corporation as held on May 1, 2019, at 4108, 4110 and 4112 Bonita Road in Bonita, CA 91902.

The meeting was called to order by the President, heretofore elected by the Board of Directors, and all of the shareholders of the Corporation, were present:

There was presented to the meeting the following:

Proposal to purchase 24% of Race & Sports Authority, LLC, dba 58 Flat.

Upon motion duly made, seconded and unanimously carried, it was resolved that for consideration and use of the premises located at 4108, 4110 and 4112 Bonita Road in Bonita, CA 91902, XPLORADOR, Inc. purchases twenty-four percent (24%) of Race & Sports Authority, LLC, dba 58 Flat.

There being **no further** business to come before the meeting, upon motion duly made, seconded and unanimously carried, the same was adjourned.

Date: May 1, 2019

Demosthenis Kanakaris
Demosthenis Kanakaris, Secretary

CITY OF
CHULA VISTA

Finance Department
Business License Unit

DENNY KANAKARIS
RACE & SPORTS AUTHORITY LLC DBA58 FLATS
3691 VIA MERCADO #18
LA MESA, CA 91941

ached is your current business license for the City of Chula Vista. This license will remain in effect through the expiration date
d on the license. Approximately 30 days before the expiration date, you will receive a courtesy renewal notice. If you do not
ive this notice for any reason, it is still your responsibility to renew your license before the expiration date. All business licenses
t be renewed by January 31st to avoid penalties.

t the City of Chula Vista website at www.chulavistaca.gov/businesslicense for more information on how to renew your
se online.

his license is not a certification that the subject business complies with all applicable laws and should not be construed as
uthorizing the conduct or continuance of any illegal or unlawful business operated in contravention of any local, state or federal
ws. You are responsible for obtaining any required state license, and the issuance of a license by the City does not relieve you
om obtaining any and all other permits or licenses required by state, local or federal laws, including the provisions of the City's
ilding and zoning ordinances.

Unless otherwise expressly provided by the Chula Vista Municipal Code, any business activity conducted within City limits in
olation of local, state or federal law is unlawful and is subject to enforcement proceedings including, but not limited to, civil
nalties and abatement. You are required to produce this license upon request of any police officer, firefighter, fire marshal,
usiness license enforcement officer, code enforcement officer, or designee of the City's Finance Director.

ew the Chula Vista Municipal Code at www.codepublishing.com/ca/chulavista to learn more about your rights and
sponsibilities.

ld you have any questions, please contact us at the number shown below. Thank you for doing business in the City of Chula
!



CITY OF
CHULA VISTA

FINANCE DEPARTMENT
276 Fourth Avenue | Chula Vista CA | 91910
(619) 585-5624
BUSINESS LICENSE

2020

he person, firm, entity or corporation named below is granted this license pursuant to the provisions of the City's Business Tax and Zoning Ordinances to engage in, carry on or conduct
e business, trade, calling, profession, exhibition, or occupation described below, in the City of Chula Vista, California, for the period indicated. This license does not constitute a
arantee or endorsement by the City, nor shall this license be constructed to authorize the conduct or continuance of any business in conflict with any regulation of the Chula Vista
unicipal Code. If there is a change of LOCATION, USE or OWNERSHIP for this business, the owner MUST contact the Finance Department at (619) 585-5624. The Licensee is
sponsible to ensure that the license is renewed prior to expiration to avoid penalty.

RACE & SPORTS AUTHORITY LLC DBA58 FLATS
DENNY KANAKARIS
4110 BONITA RD
BONITA, CA 91902-1309

NOT TRANSFERABLE

Business License Number: 081103
Business Classification: Internet Services
Tax Paid: \$ 59.00

Effective Date: January 1, 2020
Expiration Date: December 31, 2020

Post this license in a conspicuous place.

CITY OF
CHULA VISTA
Finance Department
Business License Unit

DENNY KANAKARIS
SALUCITA BAR & GRILL
4110 BONITA RD
BONITA, CA 91902-1309

Attached is your current business license for the City of Chula Vista. This license will remain in effect through the expiration noted on the license. Approximately 30 days before the expiration date, you will receive a courtesy renewal notice. If you do not receive this notice for any reason, it is still your responsibility to renew your license before the expiration date. All business licenses must be renewed by January 31st to avoid penalties.

Visit the City of Chula Vista website at www.chulavistaca.gov/businesslicense for more information on how to renew your license online.

This license is not a certification that the subject business complies with all applicable laws and should not be construed as authorizing the conduct or continuance of any illegal or unlawful business operated in contravention of any local, state or federal laws. You are responsible for obtaining any required state license, and the issuance of a license by the City does not relieve you from obtaining any and all other permits or licenses required by state, local or federal laws, including the provisions of the City's building and zoning ordinances.

Unless otherwise expressly provided by the Chula Vista Municipal Code, any business activity conducted within City limits in violation of local, state or federal law is unlawful and is subject to enforcement proceedings including, but not limited to, civil penalties and abatement. You are required to produce this license upon request of any police officer, firefighter, fire marshal, business license enforcement officer, code enforcement officer, or designee of the City's Finance Director.

View the Chula Vista Municipal Code at www.codepublishing.com/ca/chulavista to learn more about your rights and responsibilities.

Should you have any questions, please contact us at the number shown below. **Thank you for doing business in the City of Chula Vista!**



FINANCE DEPARTMENT
276 Fourth Avenue | Chula Vista CA | 91910
(619) 585-5624
BUSINESS LICENSE

2020

The person, firm, entity or corporation named below is granted this license pursuant to the provisions of the City's Business Tax and Zoning Ordinances to engage in, carry on or conduct the business, trade, calling, profession, exhibition, or occupation described below, in the City of Chula Vista, California, for the period indicated. This license does not constitute a guarantee or endorsement by the City, nor shall this license be constructed to authorize the conduct or continuance of any business in conflict with any regulation of the Chula Vista Municipal Code. If there is a change of LOCATION, USE or OWNERSHIP for this business, the owner MUST contact the Finance Department at (619) 585-5624. The Licensee is responsible to ensure that the license is renewed prior to expiration to avoid penalty.

SALUCITA BAR & GRILL
XPLORADOR INC
4110 BONITA RD
BONITA, CA 91902-1309

Business License Number: 080615
Business Classification: Restaurant
Tax Paid: \$ 72.00

NOT TRANSFERABLE

Effective Date: January 1, 2020
Expiration Date: December 31, 2020

Post this license in a conspicuous place.

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I
 MINISATELLITE WAGERING APPLICANT INFORMATION**

APPLICANT INFORMATION

Name of applicant: Race & Sports Authority, LLC
 The applicant is:
 Racing Association
 Fair
 Federally Recognized Indian Tribe

Card Room/Gambling Establishment
 Restaurant/Bar
 Other Business Entity

Facility street address: 4110 Bonita Road, Bonita, CA 91902

Mailing address (if different from above): 3691 Via Mercado, #18, La Mesa, CA 91941

E-mail address: dennyk14@cox.net

City: La Mesa

State: CA

Zip Code: 91941

Phone: (619) 884-1291

Fax: (619) 660-0597

Website:

County: San Diego

Zone Location:

North South Central

(To be completed by CHRB staff)

CONTACT PERSON

(Authorized Representative)

Name and title of the contact person: Demosthenis S. Kanakaris, Member

Business street address: 3691 Via Mercado#18, La Mesa, CA 91941

Mailing address (if different from above): Same

City: La Mesa

State: CA

Zip Code: 91941

County: San Diego

Phone: (619) 884-1291

E-mail: dennyk14@cox.net

Fax: (619) 660-0547

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility: July 1, 2016 through expiration of license

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No

Do you currently operate a licensed gaming operation in California or another state? Yes No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: _____ End Date: _____

Facility name and address:

City: _____ State: _____ Zip Code: _____

County: _____

Zone Location:
 North South Central
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No

If yes, provide the following if different from above:

Facility name and address:

City: _____ State: _____ Zip Code: _____

Racing Jurisdiction:

BUSINESS STRUCTURE

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
--	--	--

Registered Business Name: Race & Sports Authority, LLC

Fictitious Business Name: n/a

Address: 4110 Bonita Road, Bonita, CA 91902

E-mail Address: dennyk14@cox.net

City: Bonita State: CA Zip Code: 91902

Phone: (619) 884-1291 Fax: (619) 660-0547

State where registered or Articles of Organization are filed: California Registry or File number: 201516910372

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Demosthenis Kanakaris (Sole Member)	3691 Via Mercado, La Mesa, CA 91941	100%	
Brandon Zennedjian (Manager)	3691 Via Mercado, La Mesa CA 91941	0%	

Are shares listed for public trade?
 Yes No If yes on what stock exchange? n/a

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. New Entity, no financial statements to date. Annual handle is forecasted to be \$7,050,000, Personal funding will be used to operate the facility.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Demosthenis Kanakaris	Sole Member
Brandon Zennedjian	Manager

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:
 All California Racing Associations-see Exhibit A

Addresses of racing association(s):
 See Exhibit A

Racing association(s) phone numbers:
 See Exhibit A

Proposed contract dates: From: To: (inclusive). Through expiration of license

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 4/25/16

30-day Notice Letter: 4/28/16

Fee received by: UA

Approved on:

Reviewed by: UA

License number issued:

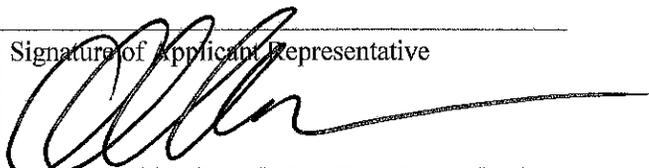
CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name

Demosthenis Kanakaris

Signature of Applicant Representative



Title

Sole Member

Date:

05/05/2016

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs
1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

Fax number:

(916) 263-3341

Racetrack name:

Ferndale, Fresno, Pleasanton, Sacramento and Stockton

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Christopher Korby	Signature of association representative <i>Christopher Korby</i>
Title Executive Director	Date: May 19, 2016

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd, Del Mar, CA 92014

Telephone: (858) 755-1141

Fax number: (858) 794-1007

Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Josh Rubinstein

Signature of association representative

Title
COO

Date:

5/18/16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles County Fair Association
1101 W. McKinley Avenue, Pomona, CA 91768

Telephone: (929) 865-4203

Fax number: (909) 865-2481

Racetrack name: Los Angeles County Fair, racing at Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Michael D. Seder

Signature of association representative



Title
CFO

Date:

5/18/16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association.

Name and mailing address of association: Los Alamitos Racing Association
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

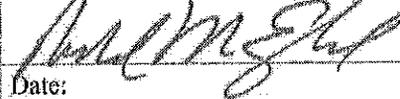
Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Brad McKinzie

Signature of association representative



Title
General Manager

Date:

5/10/10

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles Turf Club, Inc.
285 W. Huntington Drive, Arcadia, CA 91007

Telephone: (626) 574-7223

Fax number: (626) 821-1514

Racetrack name: Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Joe Morris

Signature of association representative



Title
Senior Vice President, West Coast Operations

Date:

5-18-16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Quarter Horse Racing Association
4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Brad McKinzie

Signature of association representative



Title
General Manager

Date:

5/14/16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Pacific Racing Association
1100 Eastshore Highway, Albany, CA 94706

Telephone: (510) 559-7300

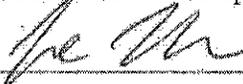
Fax number: (510) 559-7465

Racetrack name: Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Joe Morris

Signature of association representative



Title
Senior Vice President, West Coast Operations

Date:

5-18-16

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Watch and Wager.com, LLC
20 California Street, 7th Floor, San Francisco, CA 94111

Telephone: (916) 800-1395

Fax number: (916) 800-1395

Racetrack name: California State Fair & Exposition – Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Ben Kenney

Signature of association representative

Title
CFO

Date:




**PART III
 SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. To be submitted under separate cover

Submit a copy of each horsemen's written approvals. To be submitted under separate cover

Hours for operation of the facility: 9:00 am to 11 pm (Mon-Thur) 8:00 am to 1:00 am (Fri-Sun)

Hours for operation of the minisatellite wagering site: Exhibit A

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): None expected

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): Exhibit A

--	--	--

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See Exhibit A

Estimated number of pari-mutuel terminals machines available: Ten

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). See Exhibit A

II. SUPERVISION, SECURITY AND FIRE PREVENTION
 Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:
 Demosthenis Kanakaris, Brandon Zennedjian

Name and Title	CHRB License No. and Expiration Date
Randy Hartzell, Director of Mutuels, representing SCOTWINC	#306778, expires 2/2017



CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

P.O. Box 254767
Sacramento, CA. 95865
Phone (916) 263-7888
Fax (916) 263-7887
Website: www.chhaonline.com

David Neumeister, President
Jim A. Perez, Executive Director

May 10, 2016

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Race & Sports Authority, LLC (Bonita) Minisatellite Facility

Dear Ms. Houle,

The California Harness Horsemen's Association approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read "Jim A. Perez", is written over a thin, curved line that extends from the signature towards the right side of the page.

Jim A. Perez
Executive Director

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager



Pacific Coast Quarter Horse Racing Association

May 10, 2016

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Race & Sports Authority, LLC (Bonita) Minisatellite Facility

Dear Ms. Houle,

The Pacific Coast Quarter Horse Racing Association approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,

Dominic Alessio
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

May 18, 2016

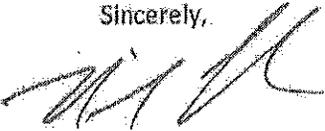
Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: Race & Sports Authority, LLC. (Bonita) Minisatellite Facility

Dear Ms. Houle,

The Thoroughbred Owners of California approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,



Nick Coukos
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):
To be submitted under separate cover

Attach a fire clearance from the fire authority having jurisdiction. To be submitted under separate cover

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. See Exhibit A - need info

Denny Kanakaris (619-884-1291)
San Diego County Sherriff Dept

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. See attached scale plan and Exhibit A

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): Full meals served - Full Bar Service

The seating capacity in the minisatellite wagering facility is: 175

The number of tables in the minisatellite wagering area is: 26

Overall square footage in the minisatellite wagering area is: 1500

Attach a photograph of the minisatellite wagering area. See photos Attached

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: None

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): 120

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: None

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: See Exhibit A 22 Large Screen Monitors, Direct TV



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

5/10/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski	FAX (A/C, No): (626) 441-3233	
	PHONE (A/C, No, Ext): (626) 799-7000	E-MAIL ADDRESS: info@boltonco.com	
INSURED California Off Track Wagering, Inc. C/O DMTC Kimberly Jacobson P.O. Box 700 Del Mar, CA 92014	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A: State Compensation Ins. Fund		35076
	INSURER B:		
	INSURER C:		
	INSURER D:		
	INSURER E:		

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

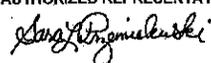
INSR LTR	TYPE OF INSURANCE	ADDL/SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	906221415	06/30/2015	06/30/2016	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Race and Sports Authority, 4110 Bonita Rd, Bonita, CA 91902
 Northern California Off Track Wagering
 Southern California Off Track Wagering
 See attached list of covered locations

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurlay Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
---	---



CHULAVISTA
447 F ST
CHULA VISTA, CA 91910

Violation Notice Report

Monday May 9, 2016

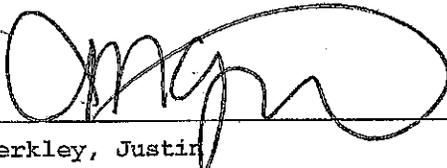
OCHOAS BAR AND GRILL
4110 BONITA RD
BONITA, CA 91902

An inspection of your facility on Thursday May 21, 2015 revealed the violations listed below.

ORDER TO COMPLY: Since these conditions are contrary to law, you must correct them upon receipt of this notice. An inspection to determine compliance with this Notice will be conducted on

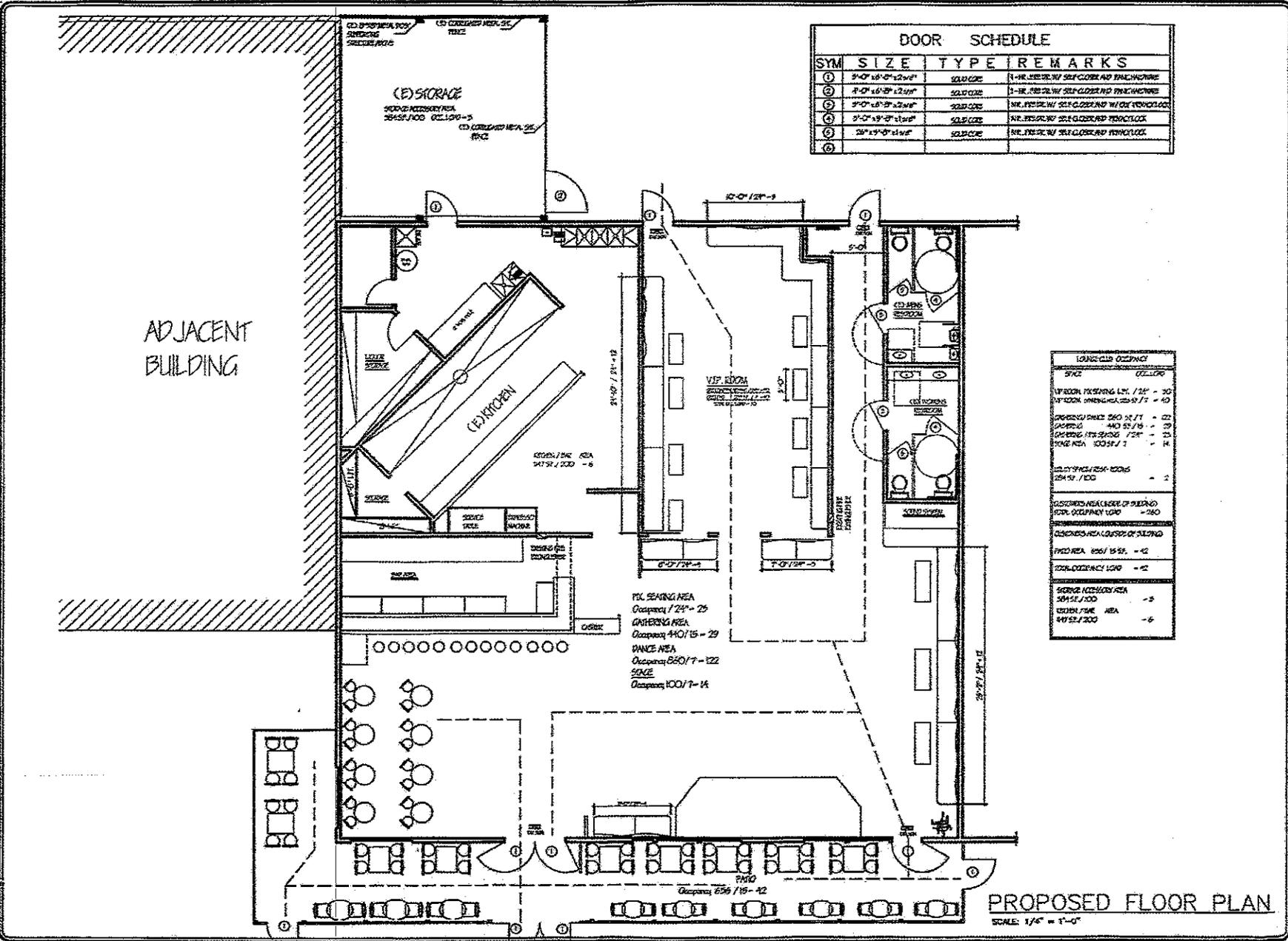
If you fail to comply with this notice before the reinspection date listed, you may be liable for the penalties provided for by law for such violations.

Violation Code	Article	Section
901 No Violations		



Berkley, Justin
Inspector

X _____
ESTABAN OCHOAS
Occupant/Owner/Responsible Party



DOOR SCHEDULE			
SYM	SIZE	TYPE	REMARKS
①	3'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE
②	4'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE
③	3'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE
④	3'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE
⑤	3'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE
⑥	3'-0" x 8'-0" x 2'-0"	SOLID CORE	1-1/2" RECESSED SELF-CLOSING FINISHWARE

LEGEND	
SYMBOL	DESCRIPTION
①	1-1/2" RECESSED SELF-CLOSING FINISHWARE
②	1-1/2" RECESSED SELF-CLOSING FINISHWARE
③	1-1/2" RECESSED SELF-CLOSING FINISHWARE
④	1-1/2" RECESSED SELF-CLOSING FINISHWARE
⑤	1-1/2" RECESSED SELF-CLOSING FINISHWARE
⑥	1-1/2" RECESSED SELF-CLOSING FINISHWARE

PKL SEATING AREA
Occupancy 24 / 15 = 25

GATHERING AREA
Occupancy 440 / 15 = 29

DANCE AREA
Occupancy 850 / 7 = 122

SCALE
Occupancy 100 / 7 = 14

PROPOSED FLOOR PLAN
SCALE: 1/4" = 1'-0"

REVISIONS	BY



G & S CONSULTING
700 E SAN YSIDRO BLVD. SAN YSIDRO CA 92133 PH: 619.438.7878
RESTAURANT • COMMERCIAL • FOOD SERVICE • DESIGN

Project Name: CONTRACT REVIEW
Tentative: NO CHANGE #18 BONTANA RD. ORLA VISTA CA 92010
SHEET TITLE:
EXISTING FLOOR PLAN AND OCCUPANCY CALCULATION

NO.	DATE	BY	CHKD.

A-4











CHULAVISTA
447 F ST
CHULA VISTA, CA 91910
Violation Notice Report

Monday May 9, 2016

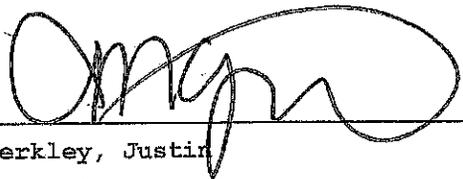
OCHOAS BAR AND GRILL
4110 BONITA RD
BONITA, CA 91902

An inspection of your facility on Thursday May 21, 2015 revealed the violations listed below.

ORDER TO COMPLY: Since these conditions are contrary to law, you must correct them upon receipt of this notice. An inspection to determine compliance with this Notice will be conducted on

If you fail to comply with this notice before the reinspection date listed, you may be liable for the penalties provided for by law for such violations.

Violation Code	Article	Section
901 No Violations		



Berkley, Justin
Inspector

X _____
ESTABAN OCHOAS
Occupant/Owner/Responsible Party

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: See Exhibit A Microphones, Speakers, Accoustics

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: See Exhibit A

- Web site (currently being developed)
- Social Media – Facebook, Twitter
- Advertsing in local paper
- Ad in Racing Form & Racing Program
- Horse racing affiliations & partnerships
- Co-Brand Marketing

Describe any improvements to the facility that will directly benefit minisatellite wagering: See Exhibit A
 Remodel Bar, Remodel Bathrooms, New Flooring, Redo Ceiling, New Paint, New Booths, New Table Tops, New Kitchen Equipment, Remodel Patio

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	None
Parking charges, if any, are:	None
Program charges, if any, are:	Price is determined by the host track
Seating charges, if any, are:	None

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility. N/A

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel

Exhibit A

Race & Sports Authority, LLC Minisatellite Wagering Application

Part 1 – Minisatellite Wagering Applicant Information

Association Contract/Agreement

Name(s) of racing associations(s) with a contract or agreement:

California Authority of Racing Fairs
1776 Tribute Road, Suite 205
Sacramento, CA 95812

Phone: (916) 927-7223

Fax: (916) 263-3341

Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd
Del Mar, CA 92014

Phone: (858) 755-1141

Fax: (858) 794-1007

Los Angeles County Fair
1101 W. McKinley Avenue
Pomona, CA 91768

Phone: (909) 865-4093

Fax: (909) 622-5652

Los Angeles Turf Club
285 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 574-7223

Fax: (626) 821-1514

Los Alamitos Racing Association (Thoroughbred) & Los Alamitos Quarter Horse Racing Association
4961 Katella Avenue
Los Alamitos, CA 90720

Phone: (714) 820-2760

Fax: (714) 820-2813

Pacific Racing Association
1100 Eastshore Highway
Berkeley, CA 94710

Phone: (510) 559-7300

Fax: (510) 559-7464

Exhibit A

Race & Sports Authority, LLC Minisatellite Wagering Application

Page 2

Association Contract/Agreement:

Name(s) of racing association(s) intended to have a contract or agreement:

Sonoma County Fair
1350 Bennett Valley Drive
Santa Rosa, CA 95404

Phone: (707) 545-4200

Fax: (707) 545-9342

Watch & Wager.com, LLC
1600 Exposition Road
Sacramento, CA 95815

Phone: (916) 263-3000

Fax: (916) 800-1395

Part III – Simulcast Organization Information

Operation of the Minisatellite Wagering Facility:

Parimutuel wagering will be offered Wednesday through Sundays, plus Holidays, on all California cards plus races imported by all California racing associations from out of state and out of country tracks.

Wagering will be offered on live race meetings conducted by:

Del Mar Thoroughbred Club, Los Angeles Turf Club, Los Angeles County Fair, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Watch and Wager.com, LLC, Pacific Racing Association, CARE, and the Sonoma County Fair

Wagering will be offered on the same imports from out of state and international tracks as the California host tracks offer.

The host track pari-mutuel manager will determine the number of mutuel tellers based upon the projected handle and attendance for the day or night card. On most days, one teller will be assigned, with more as needed. At least one security officer will be present at all times.

Supervision, Security and Fire Prevention

Denny Kanakaris is responsible for security operations. His phone number is (619) 884-1291. Criminal law enforcement services are provided by the San Diego Sheriff's Department

The facility will be staffed according to needs of the property. At least one security person will be present during hours of operation.

VI Advertising and Patron Development

Web site (currently being developed), Social Media – (Facebook, Twitter), Advertising in local paper, Daily Racing Form and track programs, horse racing affiliations and partnerships, co-branded marketing.

A full interior renovation, including furniture and fixtures, is scheduled to begin shortly. The bar, kitchen, restrooms, flooring, and ceilings will be remodeled. The outdoor patio will also be remodeled. New furniture & fixtures will include kitchen equipment, booths and table tops.

Pending CHRB approval, the facility is expected to open July 1, 2016.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-228 (New 11/08)

rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

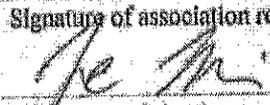
All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: DEJMY KAVAKERIS	Date:
Signature of minisatellite facility representative: 	Date: 5-18-16
Print name of association representative: Joe Morris	Date:
Signature of association representative: 	Date: 5-18-16
Print name of simulcast organization representative: George Haines	Date:
Signature of simulcast organization representative: 	Date: 5/15/16

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE
PACIFIC RACING ASSOCIATION (PRAI) TO APPROVE THE CARRYOVER OF
FUNDS FROM THE 2020 FALL MEET INTO A MANDATORY PAYOUT POOL FOR
THE 2021 WINTER/SPRING MEET

Regular Board Meeting
December 17, 2020

ISSUE:

On November 13, Golden Gate Fields announced a one-week temporary closure to address a COVID-19 outbreak that was then extended until the scheduled opening day of the winter meet on December 26. As a result of live racing being unable to be resume before closing day of the current fall meet, the mandatory payout of the pick 6 will not have been paid out.

The Pacific Racing Association (PRAI) is requesting authorization from the California Horse Racing Board (Board) to transfer the fall meet Pick 6 carryover pool to the 2021 winter/spring meet Pick 6 pool.

ANALYSIS:

The race meet application submitted by PRAI delineates the regulations which govern the Pick 6 pool. The Pick 6 is governed by Association of Racing Commissioners International (ARCI) [Rule 004-105-G](#), Method 7.

Pick 6

The Pick 6 is governed by ARCI Rule 004-105-G, Method 7. Section 004-105-G-9 states:

A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must be for a specified date no greater than one (1) year from the date the request is submitted and contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

Here, a request from PRAI to designate a mandatory payout date during the next race meet must be approved by the Board. The request cannot exceed 1 year from the date the request is submitted.

Additionally, Section 004-105-G-11 states:

“A written request for permission to transfer the Pick (n) carryover to another Pick (n) pool operated by the same pool host may be submitted to the Commission.

The request must contain justification for the transfer, including an explanation of the benefit to be derived, a description of the method by which the pool host will present the information to the public that identifies the racetrack(s) for which the pool will be operated and the intended date(s) and performance(s) of the transfer.”

Section 004-105-G-13 states:

“Notwithstanding subsections (9) and (11), if for any reason the Pick (n) carryover must be held over to the corresponding Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.”

Similarly, the interest-bearing account which will hold the funds until the approved mandatory payout date must also be approved by the Board.

Staff recommends the Board hear from PRAI on the specified date of performance and the interest-bearing account the funds will be held in.

RECOMMENDATION:

This item is presented for Board discussion and action.

GOLDEN GATE FIELDS

December 8, 2020

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Transfer of carryover pools from the 2020 fall meet into a mandatory payout pool for the 2021 Winter/Spring Meet.

Scott,

Pacific Racing Association (“PRA”) will not be able to race again before closing day of the current 2020 fall meet and as such, the carryover of the Pick 6 will not have been paid out.

Under ARCI 004-105-G(11): “A written request for permission to transfer the Pick (n) carryover to another Pick (n) pool operated by the same pool host may be submitted to the Commission. The request must contain justification for the transfer, including an explanation of the benefit to be derived, a description of the method by which the pool host will present the information to the public that identifies the racetrack(s) for which the pool will be operated and the intended date(s) and performance(s) of the transfer.”

We are requesting the transfer of the 2020 fall Pick 6 pool to the 2021 winter/spring Pick 6 pool since PRA was not able to have the mandatory payout of the Pick 6 due to a temporary suspension of racing due to Covid-19. We will use all traditional marketing (which includes social media) to inform the public that the Pick 6 carryover from the 2020 fall meet will be transferred to the 2021 winter/spring meet.

Also, since PRA was not able to have the mandatory payout during the 2020 fall meet, under ARCI 004-105-G(9), PRA is requesting that the Pick 6 have a mandatory payout on its first day of live racing of its 2021 winter/spring meet so that the 2020 fall meet carryover pool can be paid out as soon as possible.

Please let me know if you have any questions.

Sincerely,



Eric Sindler

cc: Rob Brodnik

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST TO MODIFY
THE DISTRIBUTION OF MARKET ACCESS FEES FROM ADVANCED DEPOSIT
WAGERING (ADW) AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE
(BPC) SECTION 19604 (F)(5)(E) FOR 2021 TO INCLUDE A DISTRIBUTION TO
SOUTHERN CALIFORNIA OFF-TRACK WAGERING, INC.

Regular Board Meeting
December 17, 2020

ISSUE:

Southern California Off Track Wagering, Inc. (SCOTWINC) is requesting approval of an agreement under [Business and Professions Code \(BPC\) section 19604\(f\)\(5\)\(E\)](#) for calendar year 2021 which will continue the modification of market access fee distributions from advance deposit wagering (ADW) wagers placed in the Central and Southern zones on races hosted by the signatory host tracks. The parties to the agreement include Thoroughbred Owners of California (TOC), California Thoroughbred Breeders Association (CTBA), and all Southern California thoroughbred host tracks scheduled to conduct racing in 2021. SCOTWINC provides that any funding in excess of simulcast costs would be split between track and purse commissions.

ANALYSIS:

In December 2018, the Board approved an ADW Expense Fund Agreement covering calendar year 2019 which provided for a distribution to SCOTWINC from market access fees in the amount of 2.9% of Southern California ADW wagering for meets hosted by Del Mar Thoroughbred Club and Los Alamitos Racing Association, and 2.0% for meets hosted by Los Angeles Turf Club. The parties to the agreement, which include TOC, CTBA and all Southern California thoroughbred host tracks, now seek approval for a 2021 agreement.

BACKGROUND:

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected.

RECOMMENDATION:

This item is presented for Board discussion and action. Staff recommends the Board hear from a SCOTWINC representative.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST TO MODIFY THE DISTRIBUTION OF MARKET ACCESS FEES FROM ADVANCED DEPOSIT WAGERING FOR WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS AND RACING FAIRS IN THE CENTRAL AND SOUTHERN ZONES TO INCLUDE A DISTRIBUTION TO THE SOUTHERN CALIFORNIA STABLING AND VANNING FUND, AND TO ADJUST THE AMOUNT DISTRIBUTED TO THAT FUND FROM BRICK AND MORTAR WAGERING FOR 2021

Regular Board Meeting

December 17, 2020

ISSUE:

At the November 2019 regular California Horse Racing Board (Board) meeting, the Board approved the continuation of the 0.50 percent distribution to the Southern California Stabling and Vanning Fund (Fund) from the advance deposit wagering (ADW) hosted by thoroughbred racing associations and racing fairs. However, as a result of the COVID-19 pandemic, the Fund has not kept pace and as a result there is a proposal to alter the distribution of market access fees for ADWs to make up for the short fall and adjust the amount distributed to brick and mortar wagering for 2021.

BACKGROUND:

At the January 24, 2019 Regular Board meeting, the Board retroactively approved an agreement between the TOC, Del Mar Thoroughbred Club, Fairplex, Los Alamitos, Santa Anita, and the California Thoroughbred Breeders Association, to modify the ADW market access fees distribution by 0.50 percent on races hosted by Central and Southern Zones, thoroughbred associations and fairs for the period of January 1, 2019 through December 31, 2019. The parties stated that the funds generated would be used to offset stabling and vanning expenses incurred by the Fund, which is administered by the Southern California Off-Track Stabling and Vanning Committee.

ANALYSIS:

Business and Professions Code (BPC) [section 19604\(f\)\(5\)\(E\)](#) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distribution would be affected.

RECOMMENDATION:

Staff recommends the Board hear from the TOC and racing associations.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE AMENDMENTS OF THE
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF
WATCH AND WAGER LLC (H), AT CAL EXPO, COMMENCING DECEMBER 23, 2020
THROUGH MAY 11, 2021, INCLUSIVE.

Regular Board Meeting
December 17, 2020

ISSUE:

At the November 19, 2020 California Horse Racing Board (Board) regular meeting, the Board approved the application for license to conduct a horse racing meeting of Watch and Wager LLC at Cal Expo, commencing December 23, 2020 through May 11, 2021. Per the application, Watch and Wager would run 2 nights per week, Friday and Saturday, with 35 racing days.

Due to the COVID-19 pandemic, the closure of the majority of California brick and mortar wagering locations, and the sheltering in place and ongoing curfew, Watch and Wager has submitted a request that effective January 1st to vacate racing on Fridays and replace it with Sunday, effective January 3rd. An exception would be Super Bowl Weekend of February 5th through the 7th, where racing would still occur on Friday, February 5th. A post time of 4:55pm is proposed for the Sunday program.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19530](#) provides that the Board shall have the authority to allocate racing weeks to an applicant or applicants pursuant to the provisions of Articles 6 and 6.5 of Division 8, Chapter 4 of the BPC. Furthermore, the Board may, at any time, change, limit, restrict, or re-allocate racing days, weeks, or dates that are allocated pursuant to this section regardless of whether a condition giving rise to that action is foreseen at the time of allocation or whether a license is already issued for the allocated day, week, or date. [BPC section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4 of Division 8 of the BPC. These responsibilities include, but are not limited to, licensing each racing association and allocating race dates to qualified associations in accordance with the law. [BPC section 19460](#) provides that all licenses granted by the Board are subject to all rules, regulations and conditions prescribed by the Board and shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing.

RECOMMENDATION:

This item is presented for Board discussion and action.

watch wager

Operating Harness Racing at Cal Expo
Managed By Golden Bear Racing, LLC

Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley #300
Sacramento, CA 95815

December 7, 2020

Dear Scott,

Watch and Wager operator of Cal Expo standardbred racing would request a change to its license for its upcoming winter/spring meeting. We request to vacate Friday's beginning January 1st, and replace it with Sunday racing beginning January 3rd. The lone exception would be Super Bowl weekend February 5th through 7th, we would race Friday and Saturday that weekend. We request a 4:55 post time for proposed Sunday program.

The justification for the request is the almost total closure of the California brick and mortar locations, coupled with the ongoing 10pm California curfew. Sunday will provide us more National coverage with only two other tracks scheduled to race. This request is within the allocated 2021 race dates awarded by the Board. This request is also supported by the California Harness Horseman's Association.

We thank the Board for consideration of our request during these ever-changing times.

Sincerely,



Christopher J. Schick

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN LOS ALAMITOS QUARTER HORSE RACING
ASSOCIATION (LAQHRA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA
(TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES
OR MEDICATIONS TO BE IMPLEMENTED BY LARA AT ITS DECEMBER 23, 2020
THROUGH DECEMBER 21, 2021 RACE MEETING AT THE LOS ALAMITOS RACE
COURSE

Regular Board Meeting
December 17, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken.

RECOMMENDATION:

This item is presented for Board discussion and action.

AGREEMENT

Effective Date: December 26, 2020

PARTIES:

Pacific Coast Quarter Horse Racing Association ("PCQHRA")
4961 Katella Avenue
Cypress, CA 90720

And

Los Alamitos Quarter Horse Racing Association ("LAQHRA")
4961 Katella Avenue
Cypress, CA 90720

RECITALS:

- A. LAQHRA is a racing association licensed to operate Quarter Horse racing at Los Alamitos Race Course located in Cypress, California,. PCQHRA represents the collective interests of all Quarter Horse Horsemen.
- B. LAQHRA and PCQHRA desire to enter into an agreement in which rules and regulations are approved in order for LARC to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Quarter Horse racing.

AGREEMENT:

1. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection during the fourteen (14) days immediately preceding the race.
2. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection of a corticosteroid in any joint within the previous thirty (30) days immediately preceding the race, and shall not work within 10 days of such treatment.
3. Trainer shall not enter a horse in any race if the horse has received more than two intra-articular injections of a corticosteroid into same intra-articular space within the sixty (60) days immediately preceding the race.
4. Every horse within the enclosure and every horse at a LAQHRA auxiliary training facility is subject to out of competition testing (OOCT) by LAQHRA veterinary professionals and no owner, trainer, or other person having the care of a horse shall refuse to submit such for testing,
5. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.

6. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a race at Los Alamitos or a recorded work at Los Alamitos or any of its auxiliary training facilities,. All parties agree OOCT may be conducted to verify compliance.
7. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
8. The parties will file this agreement with the California Horse Racing Board and support approval.

Pacific Coast Quarter Horse Racing Association

By:  _____
Vince Genco
President

Los Alamitos Quarter Horse Racing Association

By:  _____
Edward C. Allred
Owner/CEO

STAFF ANALYSIS
December 17, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION (QH) AT LOS ALAMITOS RACE COURSE, COMMENCING DECEMBER 23, 2020, AND CONTINUING THROUGH DECEMBER 21, 2021

At its November 19, 2020 regular meeting, the Board tabled this agenda item until the December 2020 meeting, as there was no agreement between Los Alamitos Quarter Horse Racing Association (LAQHRA) and Thoroughbred Owners of California regarding entry conditions and specified drug substances or medications to be implemented at LAQHRA's proposed race meeting. Additionally, there was an unresolved labor issue.

LAQHRA has filed its application to conduct a Quarter Horse racing meeting at Los Alamitos, December 23, 2020, through December 21, 2021, with 150 racing days, one less than proposed for 2020. LAQHRA proposes to race a total of 1,200 races. The estimated average daily purse for the 2020 meet is \$96,849 (not yet completed). The estimated average daily purse for the proposed meet is \$99,617.

- The following proposed race dates fall within the dates allocated by the Board at its October 22, 2020 regular meeting:

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

March 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

May 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

June 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

July 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

September 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

October 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

November 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

December 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing three nights per week, Friday through Sunday, except December 25, 2020; February 5–7, 2021; April 4, 2021; and July 4, 2021. Seven to 15 live races per night and 10 to 20 simulcast races.
- First live post 6:00 p.m. Friday and Saturday, 5:00 p.m. Sunday.
 - First post may be delayed until 30 minutes after Santa Anita/Del Mar/Golden Gate Fields last race or 45 minutes after Los Alamitos Thoroughbred last race.
- Wagering program will use CHRB rules.
 - Early wagering will start at 9:30 a.m. on all live race days.
 - Late Daily Double will occur in last two races of race card.

- If not enough horses carded in a race to offer Trifecta, will offer \$1 Quinella, Board Rule 1958.
- Late Pick 4 offered on last four races of race card, Board Rule 1976.9.
- Rolling Pick 3 begins in second race of each card and continues through the third to last race of card.
- If field has only five betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
- If running 12 or more races, LAQHRA requests administrative authority to add an additional Pick 4.
- Pick 4—Board Rule 1976.9, 100% major pool, daily payout.
- Pick 6—Board Rule 1976.9, 70% major pool, mandatory payout closing day.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba TwinSpire; Game Play Network, Inc. (Game Play Network); NYRABets, LLC (NYRA Bets); ODS Technologies, L.P. dba TVG Network; WatchandWager.com, LLC (Watch and Wager); and XpressBet, LLC (XpressBet).
- Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

- Documents received in compliance with Business and Professions Code section 19604:
 - ADW agreement that allows TVG Network to accept wagers from California residents on races conducted by LAQHRA from January 1, 2019, through December 31, 2023.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code section 19602, and with authorized locations throughout California.
- Requests Jason Hart be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety and backstretch worker housing inspections have been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes:

1. Fire clearance in compliance with Rule 1927, Fire Prevention.
2. ADW agreements with Game Play Network, NYRA Bets, TwinSpires, Watch and Wager, and XpressBet that allow said providers to accept wagers from California residents on races conducted by LAQHRA for the entire term of its proposed race meet.
3. Pacific Coast Quarter Horse Racing Association approval of the ADW agreements listed in item 2 above.

RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of all outstanding items prior to the start of the race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association

4961 Katella Ave.

Los Alamitos, CA 90720 714-820-2800 main 714-820-2820 fax

cmonji@losalamitos.com

- B. Breed of horse: TB QH-X H

- C. Racetrack name: Los Alamitos Race Course

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On File

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes-X No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:

December 26, 2020-December 19, 2021

- B. Actual dates racing will be held:

December 26-27, 2020

January 1-3, 8-10, 15-17, 22-24, 29-31 July 2-3, 9-11, 16-18, 23-25, 30-31

CHRB CERTIFICATION

Application received: 9/24/20

Deposit received: Yes

Reviewed: RP

Hearing date: 11/19/20

Approved date:

License number:

February 12-14, 19-21, 26-28
March 5-7, 12-14, 19-21, 26-28
April 2-3, 9-11, 16-18, 23-25, 30
May 1-2, 7-9, 14-16, 21-23, 28-30
June 4-6, 11-13, 18-20, 25-27

August 1, 6-8, 13-15, 20-22, 27-29
September 3-5, 10-12, 17-19, 24-26
October 1-3, 8-10, 15-17, 22-24, 29-31
November 5-7, 12-14, 19-21, 26-28
December 3-5, 10-12, 17-19

- C. Total number of days or nights of racing: 150
- D. Days or nights of the week races will be held:
Wed - Sun Tues - Sat Other (specify)X
Friday through Sunday except: 12/25/20, Super Bowl Weekend 2/5/21, 2/6/21, 2/7/21, Easter
Sunday 4/4/21 and 7/4/21.
- E. Number of days or nights of racing per week: 3 nights

3. RACING PROGRAM

- A. Total number of races: 1200 live, 2500 simulcast = 3,700
- B. Number of races for each day or night: 7-15 live, 10-20 simulcast
- C. Total number of stakes races: 69
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
 - 1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
2019 & 2020 Stakes schedules attached.
 - 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Minor date changes are due to calendar variations.
Brad McKinzie Winter Championship is now \$160,000 projected, \$100,000 added.
Robert Adair Kindergarten Futurity is now \$400,000 projected, \$50,000 added.
First Down Dash Handicap is now \$25,000.
The Plan Overnight Handicap, He Flies Overnight Handicap, and John Ward Overnight Handicap are purposely not numbered and are not to be counted in the total number of stakes races.
 - 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.
The Moonist Handicap has been deleted due to lack of entries.
- E. Will provisions be made for owners and trainers to use their own registered colors?
Yes X No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

**LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
2021 STAKES SCHEDULE**

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 26, 2020	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 3, 2021	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 10, 2021	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 15, 2021	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 13, 2021	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 23, 2021	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 14, 2021	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 24, 2021	\$160,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 20, 2021	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 350 YARDS
SUNDAY FEBRUARY 21, 2020	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 31, 2021	\$125,000 Projected \$25,000 Added 350 YARDS
SATURDAY MARCH 27, 2021	(9) LOS ALAMITOS OAKS FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 6, 2021	\$400,000 Projected \$125,000 Added 400 YARDS
SUNDAY MARCH 28, 2021	(10) EL PRIMERO DEL ANO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 7, 2021	\$200,000 \$35,000 Added 400 YARDS
SUNDAY APRIL 4, 2021	(11) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS

To Be Run		EVENT	Purse & Distance
SATURDAY APRIL 17, 2021	(12)	WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 1, 2021	(13)	KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 350 YARDS
SATURDAY MAY 8, 2021	(14)	LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 9, 2021	(15)	MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS
SATURDAY MAY 15, 2021	(16)	DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 16, 2021	(17)	ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, APRIL 25, 2021	\$400,000 Projected \$50,000 Added 300 YARDS
SUNDAY MAY 23, 2021	(18)	ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRAILS TO BE RUN, SUNDAY MAY 2, 2021	\$30,000 Estimated \$10,000 Added +\$15,000 B of A 400 YARDS
SATURDAY JUNE 19, 2021	(19)	IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 20, 2021	(20)	ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 30, 2021	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 20, 2021	(21)	ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 27, 2021	(22)	VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 2, 2021	(23)	INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SATURDAY JULY 3, 2021	(24)	VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SATURDAY, JUNE 12, 2021	\$150,000 Projected \$100,000 Added 400 YARDS

To Be Run		EVENT	Purse & Distance
SATURDAY JULY 31, 2021	(25)	GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SATURDAY, JULY 10, 2021	\$400,000 Projected \$100,000 Added 350 YARDS
SATURDAY JULY 31, 2021	(26)	GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SUNDAY, JULY 11, 2021	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 31, 2021	(27)	SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 31, 2021	(28)	CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 31, 2021	(29)	CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 31, 2021	(30)	CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 31, 2021	(31)	CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 31, 2021	(32)	JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 31, 2021	(33)	CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 31, 2021	(34)	\$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS

To Be Run		EVENT	Purse & Distance
SATURDAY JULY 31, 2021	(35)	\$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 31, 2021	(36)	\$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 31, 2021	(37)	\$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 31, 2021	(38)	CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 31, 2021	(39)	SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 7, 2021	(40)	BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SATURDAY, JULY 17, 2021	\$40,000 Estimated \$15,000 Added + \$20,000 B of A Racing Challenge 440 YARDS
SUNDAY AUGUST 8, 2021	(41)	ARC CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 18, 2021	\$30,000 Estimated \$7,500 Added + \$7,500 B of A Racing Challenge 400 YARDS
SATURDAY AUGUST 14, 2021	(42)	JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, JULY 24, 2021	\$50,000 Estimated \$10,000 Added + \$15,000 B of A Racing Challenge 350 YARDS
SUNDAY AUGUST 15, 2021	(43)	GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JULY 25, 2021	\$200,000 Projected \$50,000 Added 400 YARDS
SATURDAY AUGUST 21, 2021	(44)	PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS

To Be Run		EVENT	Purse & Distance
SUNDAY AUGUST 22, 2021		\$12, 500 CLAIMING FUTURITY TWO YEAR OLDS TRAILS TO BE RUN, SUNDAY, AUGUST 1,2021	\$70,000 Approximated \$20,000 Added 350 YARDS
FRIDAY AUGUST 27, 2021	(45)	TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 28, 2021	(46)	SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY AUGUST 29, 2021	(47)	GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 4, 2021		THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 5, 2021		HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 19, 2021	(48)	MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
SATURDAY OCTOBER 2, 2021	(49)	PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 11, 2021	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 1, 2021	(50)	BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY OCTOBER 3, 2021	(51)	PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, SEPTEMBER 12, 2021	\$400,000 Projected \$50,000 Added 350 YARDS
FRIDAY OCTOBER 8, 2021	(52)	AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SATURDAY OCTOBER 9, 2021	(53)	ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 23, 2021		JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS

To Be Run		EVENT	Purse & Distance
SUNDAY OCTOBER 17, 2021	(54)	LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, SEPTEMBER 26, 2021	\$125,000 Projected \$20,000 Added 350 YARDS
SUNDAY OCTOBER 31, 2021	(55)	GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 10, 2021	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY OCTOBER 31, 2021	(56)	GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 6, 2021	(57)	TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 7, 2021	(58)	LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 16, 2021	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 13, 2021	(59)	CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 14, 2021	(60)	Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 20, 2021	(61)	LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 400 YARDS
SUNDAY DECEMBER 5, 2021	(62)	SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 10, 2021	(63)	PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SATURDAY DECEMBER 11, 2021	(64)	CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, NOVEMBER 14, 2021	\$600,000 440 YARDS
SUNDAY DECEMBER 12, 2021	(65)	LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 21, 2021	\$2,000,000 Projected \$400,000 Added 400 YARDS
SUNDAY DECEMBER 12, 2021	(66)	LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS

To Be Run		EVENT	Purse & Distance
FRIDAY DECEMBER 17, 2021	(67)	A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 18, 2021	(68)	FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SUNDAY DECEMBER 19, 2021	(69)	SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 28, 2021	\$200,000 Projected \$35,000 Added 400 YARDS

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 29, 2018	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 6, 2019	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 13, 2019	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 18, 2019	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 9, 2019	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 19, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 10, 2019	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 20, 2019	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 16, 2019	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SUNDAY FEBRUARY 17, 2019	(8) LOS ALAMITOS MAIDEN STAKES\$ THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 27, 2019	120,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 24, 2019	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SATURDAY MARCH 30, 2019	(10) LA PRIMERA DEL AÑO DERBY FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 9, 2019	\$225,000 Projected \$35,000 Added 400 YARDS
SUNDAY MARCH 31, 2019	(11) EL PRIMERO DEL AÑO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 10, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SUNDAY APRIL 7, 2019	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 13, 2019	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 4, 2019	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY MAY 11, 2019	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 12, 2019	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS

2020 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY DECEMBER 28, 2019	(1) HOLIDAY HANDICAP TWO YEAR OLDS	\$20,000 350 YARDS
SUNDAY JANUARY 5, 2020	(2) CHARGER BAR HANDICAP MARES, FOUR YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SUNDAY JANUARY 12, 2020	(3) BARBARA B HANDICAP FOUR YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY JANUARY 17, 2020	(4) CYPRESS HANDICAP FOUR YEAR OLDS AND UPWARD	\$25,000 350 YARDS
SATURDAY FEBRUARY 8, 2020	(5) LOS ALAMITOS WINTER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, JANUARY 18, 2020	\$200,000 Projected \$100,000 Added 400 YARDS
SUNDAY FEBRUARY 9, 2020	(6) BRAD MCKINZIE WINTER CHAMPIONSHIP FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JANUARY 19, 2020	\$200,000 Projected \$100,000 Added 400 YARDS
SATURDAY FEBRUARY 15, 2020	(7) DENIM N DIAMONDS HANDICAP MARES, FOUR YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 350 YARDS
SUNDAY FEBRUARY 16, 2020	(8) LOS ALAMITOS MAIDEN STAKES THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, JANUARY 26, 2020	\$125,000 Projected \$25,000 Added 350 YARDS
SUNDAY MARCH 22, 2020	(9) MOONIST HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SATURDAY MARCH 28, 2020	(10) LOS ALAMITOS OAKS FILLIES, THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, MARCH 7, 2020	\$400,000 Projected \$125,000 Added 400 YARDS
SUNDAY MARCH 29, 2020	(11) EL PRIMERO DEL AÑO DERBY COLTS AND GELDINGS, THREE YEAR OLDS TRIAL TO BE RUN, SUNDAY, MARCH 8, 2020	\$200,000 \$35,000 Added 400 YARDS
SUNDAY APRIL 5, 2020	(12) JAMES S SMITH MEMORIAL HANDICAP THREE YEAR OLDS	\$20,000 350 YARDS
SATURDAY APRIL 18, 2020	(13) WHEATLAND HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY MAY 2, 2020	(14) KAWEAH BAR HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 350 YARDS
SATURDAY MAY 9, 2020	(15) LA PACIFICA HANDICAP FILLIES, THREE YEAR OLDS	\$30,000 350 YARDS
SUNDAY MAY 10, 2020	(16) MISS PRINCESS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 18, 2019	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 19, 2019	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 5, 2019	\$300,000 Projected 300 YARDS
SUNDAY MAY 26, 2019	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRIALS TO BE RUN, FRIDAY, MAY 10, 2019	\$30,000 Estimated \$10000 Added+\$15,500 B of A 400 YARDS
SATURDAY JUNE 22, 2019	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 23, 2019	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 9, 2019	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 23, 2019	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 30, 2019	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SATURDAY JULY 6, 2019	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 7, 2019	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, JUNE 16, 2019	\$150,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 13, 2019	\$400,000 Projected \$125,000 Added 350 YARDS
SATURDAY JULY 27, 2019	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SUNDAY, JULY 14, 2019	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 27, 2019	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 27, 2019	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 27, 2019	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2020 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY MAY 16, 2020	(17) DILLINGHAM HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY MAY 17, 2020	(18) ROBERT ADAIR KINDERGARTEN FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, MAY 3, 2020	\$300,000 Projected 300 YARDS
SUNDAY MAY 24, 2020	(19) ADEQUAN CALIFORNIA REGIONAL DERBY CHALLENGE THREE YEAR OLDS TRAILS TO BE RUN, FRIDAY MAY 8, 2020	\$30,000 Estimated \$10,000 Added +\$15,000 B of A 400 YARDS
SATURDAY JUNE 20, 2020	(20) IVAN ASHMENT HANDICAP FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$35,000 400 YARDS
SUNDAY JUNE 21, 2020	(21) ED BURKE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, JUNE 7, 2020	\$1,000,000 Projected \$250,000 Added 350 YARDS
SUNDAY JUNE 21, 2020	(22) ED BURKE JUVENILE TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY JUNE 28, 2020	(23) VANDYS FLASH HANDICAP THREE YEAR OLDS	\$25,000 400 YARDS
FRIDAY JULY 3, 2020	(24) INDEPENDENCE DAY HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 300 YARDS
SUNDAY JULY 5, 2020	(25) VESSELS MATURITY FOUR YEAR OLDS AND UPWARD	\$150,000 Projected \$100,000 Added
SATURDAY JULY 25, 2020	(26) GOVERNOR'S CUP FUTURITY TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SATURDAY, JULY 11, 2020	\$400,000 Projected \$100,000 Added 350 YARDS
SATURDAY JULY 25, 2020	(27) GOVERNOR'S CUP DERBY THREE YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN, SUNDAY, JULY 12, 2020	\$225,000 Projected \$100,000 Added 400 YARDS
SATURDAY JULY 25, 2020	(28) SPENCER L CHILDERS CALIFORNIA BREEDERS CHAMPIONSHIP HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$100,000 400 YARDS
SATURDAY JULY 25, 2020	(29) CALIFORNIA BREEDERS MATRON STAKES FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$40,000 400 YARDS
SATURDAY JULY 25, 2020	(30) CALIFORNIA BREEDERS FRESHMAN FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(31) CALIFORNIA BREEDERS FRESHMAN STAKES TWO YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(32) CALIFORNIA BREEDERS DEBUTANTE STAKES FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 27, 2019	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 27, 2019	(34) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 27, 2019	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 27, 2019	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 29, 2019	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 27, 2019	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 27, 2019	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 27, 2019	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 10, 2019	(41) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SATURDAY, JULY 20, 2019	\$40,000 Estimated \$15,000 added+\$20,000 BofA Racing Challenge 440 YARDS
SUNDAY AUGUST 11, 2019	(42) MERIAL CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 28, 2019	\$30,000 Estimated \$7,500 Added+\$7,500 BofA Racing Challenge 400 YARDS
SATURDAY AUGUST 17, 2019	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 3, 2019	\$50,000 Estimated \$10000 Added+\$15,000 BofA Racing Challenge 350 YARDS

2020 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SATURDAY JULY 25, 2020	(33) JENS L LIST JR MEMORIAL CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	\$25,000 400 YARDS
SATURDAY JULY 25, 2020	(34) CALIFORNIA BREEDERS 550 STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$20,000 550 YARDS
SATURDAY JULY 25, 2020	(35) \$5,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 350 YARDS
SATURDAY JULY 25, 2020	(36) \$10,000 SOPHOMORE CLAIMING STAKES THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 350 YARDS
SATURDAY JULY 25, 2020	(37) \$5,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	\$15,000 400 YARDS
SATURDAY JULY 25, 2020	(38) \$10,000 SENIOR CLAIMING STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	\$17,500 400 YARDS
SATURDAY JULY 25, 2020	(39) CALIFORNIA BREEDERS SPRINT STAKES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 350 YARDS
SATURDAY JULY 25, 2020	(40) SIGN OF LANTY HANDICAP THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	\$25,000 870 YARDS
SATURDAY AUGUST 8, 2020 Challenge	(41) BANK OF AMERICA CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SATURDAY, JULY 18, 2020	\$40,000 Estimated \$15,000 Added + \$20,000 B of A Racing 440 YARDS
SUNDAY AUGUST 9, 2020	(42) ARC CALIFORNIA REGIONAL DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, JULY 26, 2020	\$30,000 Estimated \$7,500 Added + \$7,500 B of A Racing Challenge 400 YARDS
SATURDAY AUGUST 15, 2020	(43) JOHN DEERE JUVENILE CALIFORNIA REGIONAL CHALLENGE TWO YEAR OLDS TRIALS TO BE RUN, SATURDAY, AUGUST 1, 2020	\$50,000 Estimated \$10,000 Added+\$15,000 BofA Racing Challenge 350 YARDS 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 18, 2019	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 5, 2018	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 25, 2019	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 30, 2019	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 31, 2019	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY SEPTEMBER 1, 2019	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 7, 2019	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 8, 2019	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 22, 2019	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 4, 2019	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 15, 2018	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 4, 2019	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 5, 2019	(52) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, SEPTEMBER 15, 2019	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 12, 2019	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 13, 2019	(54) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 26, 2019	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 27, 2019	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, OCTOBER 6, 2019	\$125,000 Projected \$20,000 Added 350 YARDS

2020 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY AUGUST 16, 2020	(44) GOLDEN STATE DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, AUGUST 2, 2020	\$200,000 Projected \$50,000 Added 400 YARDS
SUNDAY AUGUST 23, 2020	(45) PAT HYLAND MEMORIAL HANDICAP TWO YEAR OLDS	\$25,000 350 YARDS
FRIDAY AUGUST 28, 2020	(46) TRULY A PLEASURE HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
SATURDAY AUGUST 29, 2020	(47) SGT PEPPER FEATURE HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SUNDAY AUGUST 30, 2020	(48) GO MAN GO HANDICAP THREE YEAR OLDS AND UPWARD	\$100,000 400 YARDS
SATURDAY SEPTEMBER 5, 2019	THE PLAN OVERNIGHT HANDICAP TWO YEAR OLDS	\$15,000 350 YARDS
SUNDAY SEPTEMBER 6, 2020	HE FLYS OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 350 YARDS
SUNDAY SEPTEMBER 20, 2020	(49) MILDRED N VESSELS MEMORIAL HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$125,000 400 YARDS
FRIDAY OCTOBER 2, 2020	(50) PCQHRA BREEDERS DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, SEPTEMBER 12, 2020	\$175,000 Projected \$50,000 Added 400 YARDS
FRIDAY OCTOBER 2, 2020	(51) BLANE SCHVANEVELDT HANDICAP THREE YEAR OLDS AND UPWARD	\$25,000 400 YARDS
SATURDAY OCTOBER 3, 2020	(52) PCQHRA BREEDERS FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, SEPTEMBER 13, 2020	\$400,000 Projected \$50,000 Added 350 YARDS
SATURDAY OCTOBER 10, 2020	(53) AUTUMN HANDICAP TWO YEAR OLDS	\$30,000 350 YARDS
SUNDAY OCTOBER 11, 2020	(54) ROBERT L BONIFACE LOS ALAMITOS INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD	\$125,000 440 YARDS
SATURDAY OCTOBER 24, 2020	JOHN WARD OVERNIGHT HANDICAP THREE YEAR OLDS AND UPWARD	\$16,000 400 YARDS
SUNDAY OCTOBER 25, 2020	(55) LOS ALAMITOS WILD WEST FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 4, 2020	\$125,000 Projected \$20,000 Added 350 YARDS

2019 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 3, 2019	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 20, 2019	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 3, 2019	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 9, 2019	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 10, 2019	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 20, 2018	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 16, 2019	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 17, 2019	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 23, 2019	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$5,000 400 YARDS
SUNDAY DECEMBER 1, 2019	(63) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
FRIDAY DECEMBER 6, 2019	(64) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 7, 2019	(65) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 8, 2019	(66) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 13, 2019	(67) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN FRIDAY, NOVEMBER 29, 2019	\$200,000 Projected \$35,000 Added 400 YARDS
SATURDAY DECEMBER 14, 2019	(68) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN SUNDAY, NOVEMBER 17, 2019	\$600,000 440 YARDS
SUNDAY DECEMBER 15, 2019	(69) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
SUNDAY DECEMBER 16, 2019	(70) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, NOVEMBER 24, 2019	\$2,000,000 Projected \$400,000 Added 400 YARDS

2020 STAKES SCHEDULE

To Be Run	EVENT	Purse & Distance
SUNDAY NOVEMBER 1, 2020	(56) GOLDEN STATE MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, OCTOBER 18, 2020	\$1,000,000 Projected \$100,000 Added 400 YARDS
SUNDAY NOVEMBER 1, 2020	(57) GOLDEN STATE JUVENILE TWO YEAR OLDS	\$30,000 400 YARDS
SATURDAY NOVEMBER 7, 2020	(58) TOWN POLICY STAKES THREE YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 8, 2020	(59) LOS ALAMITOS SUPER DERBY THREE YEAR OLDS TRIALS TO BE RUN, SATURDAY, OCTOBER 17, 2020	\$900,000 Projected \$250,000 Added 400 YARDS
SATURDAY NOVEMBER 14, 2020	(60) CORONA CHICK HANDICAP TWO YEAR OLDS	\$25,000 400 YARDS
SUNDAY NOVEMBER 15, 2020	(61) Z WAYNE GRIFFIN DIRECTORS TRIAL THREE YEAR OLDS AND UPWARD TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial 400 YARDS
SATURDAY NOVEMBER 21, 2020	(62) LAS DAMAS HANDICAP FILLIES AND MARES THREE YEAR OLDS AND UPWARD	\$50,000 400 YARDS
SUNDAY DECEMBER 6, 2020	(63) SNOWBOUND SUPERSTAR HANDICAP THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	\$20,000 870 YARDS
FRIDAY DECEMBER 11, 2020	(64) PAUL B FORD MEMORIAL HANDICAP THREE YEAR OLDS AND UPWARD	\$20,000 550 YARDS
SATURDAY DECEMBER 12, 2020	(65) CHAMPION OF CHAMPIONS THREE YEAR OLDS AND UPWARD TRIALS TO BE RUN, SUNDAY, NOVEMBER 15, 2020	\$600,000 440 YARDS
SUNDAY DECEMBER 13, 2020	(66) LOS ALAMITOS 2 MILLION FUTURITY TWO YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 22, 2020	\$2,000,000 Projected \$400,000 Added 400 YARDS
SUNDAY DECEMBER 13, 2020	(67) LOS ALAMITOS JUVENILE INVITATIONAL TWO YEAR OLDS	\$30,000 400 YARDS
FRIDAY DECEMBER 18, 2020	(68) A RANSOM HANDICAP THREE YEAR OLDS	\$25,000 350 YARDS
SATURDAY DECEMBER 19, 2020	(69) FIRST DOWN DASH HANDICAP THREE YEAR OLDS AND UPWARD	\$35,000 400 YARDS
SUNDAY DECEMBER 20, 2020	(70) SOUTHERN CALIFORNIA DERBY THREE YEAR OLDS TRIALS TO BE RUN, SUNDAY, NOVEMBER 29, 2020	\$200,000 Projected \$35,000 Added 400 YARDS

**LOS ALAMITOS
 QUARTER HORSE RACING ASSOCIATION
 PURSE SCHEDULE
 09/18/2020**

10 Entries	2 yo Maiden	<u>2 YEAR OLDS</u> Claiming Price	2 yo Claiming	10 Entries
	<u>PURSE</u>		<u>PURSE</u>	
7165	5500	2500	7300	8920
7260	5600	4000	7400	9015
7360	5700	5000	7500	9115
7455	5800	6250	7600	9210
7555	5900	8000	7700	9310
7650	6000	10000	8000	9600
7750	6100	12500	8500	10090
7845	6200	16000	10500	12040
7945	6300	20000	11200	12720
8040	6400	25000	11300	12820
8140	6500	30000	11400	12915
8625	7000	Allowance	12000	13500
		Overnight	15000	
		Trials	4000	

**LOS ALAMITOS
QUARTER HORSE RACING ASSOCIATION
PURSE SCHEDULE
09/18/2020**

3 YEAR OLDS & UPWARD

Maiden Claiming Price	10 Entries
-----------------------------	---------------

PURSE

2500	6000	7650
4000	6100	7750
5000	6200	7845
6250	6300	7945
8000	6400	8040
10000	6500	8140
12500	6600	8235
16000	6700	8335
20000	6800	8430
25000	6900	8530
30000	7000	8625
Allowance	7000	8625

3 YEAR OLDS & UPWARD

Claiming Price	10 Entries
-------------------	---------------

PURSE

2500	7000	8625
3200	7200	8820
4000	7400	9015
5000	7600	9210
6250	8000	9600
7500	8500	10090
8000	8800	10380
10000	9200	10770
12500	9500	11065
16000	9700	11260
20000	9800	11355
25000	10000	11550
30000	10500	12040
40000	11000	12525
50000	12000	13500
Allowance	12000	13500
Allowance	13000	14500
	Overnight	15000
	Trials	6000
	Vessels Trials	8000

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: Quarter Horse Racing Inc 100%
6. Number of outstanding shares in the corporation: 0
7. Are the shares listed for public trading? Yes No X
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: Quarter Horse Racing Inc.
A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No X
10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how is the stock listed: N/A
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

D. LLC

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed: N/A
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No

12. If yes, on what exchange and how the stock is listed: N/A

E. OTHER

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

F. FINANCIAL INFORMATION * - Supplied separately to Cynthia Alameda of CHRB.

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred	Chairman
Cathy Monji	President/Director
Richard M, English	Chief Financial Officer
Robert Snyder	Controller
Frank Sherren	Plant Superintendent
Cathy Monji	Director of Security
Bob Hurd	Director of Operations
John Ferrero	Director of Mutuels
Michael Wrona	Track Announcer
John Ferrero	Director Simulcasting/Nominations
Patricia Secrist	Director of Nominations
Dawn Jackson	Paymaster of Purses
Ken Walker	Director of Labor Relations

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
Cathy Monji, 4961 Katella Ave., Los Alamitos, CA 90720

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. N/A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2019	228,734,042	366,527
2018	258,670,181	381,903
2017	238,700,510	379,922
2016	243,784,709	424,734
2015	239,639,329	435,733

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

2021 Days 150
2020 Days 150 (151 on 2020 application, one night cancelled due to rain).

A. Purse distribution:

1. All races other than stakes:
Current meet estimate: 2021 10,300,000
Prior meet actual: 2020 10,054,265

Average Daily Purse (7 A1 number of days):

Current meet estimate:	2021	68,667
Prior meet actual:	2020	67,028

2. Overnight stakes:

Current meet estimate:	2021	N/A
Prior meet actual:	2020	N/A

Average Daily Purse (7 A2 number of days):

Current meet estimate:	2021	N/A
Prior meet actual:	2020	N/A

3. Non-overnight stakes:

Current meet estimate:	2021	3,612,500
Prior meet actual:	2020	3,395,000

Average Daily Purse (7 A3 ÷ number of days): Non-overnight

Current meet estimate:	2021	24,083
Prior meet actual:	2020	22,633

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	2021	13,700,000
Prior meet actual:	2020	13,449,265

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	2021	5%
Prior meet actual:	2020	5%

Average Daily Purse (7 B1 number of days):

Current meet estimate:	2021	0.0333%
Prior meet actual:	2020	0.0333%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2021	570,000
Prior meet actual:	2020	585,360

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	2021		Prior meet actual:	2020
Total	460,000		Total	492,723

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:	2021	14,942,500
Prior meet actual:	2020	14,527,348

Average Daily Purse (7 E number of days):

Current meet estimate: 2021 99,617

Prior meet actual: 2020 96,849

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 2021 10,500,000

Prior meet actual: 2020 14,698,605

Average Daily Purse (7 F number of days):

Current meet estimate: 2021 70,000

Prior meet actual: 2020 97,991

- G. Purse funds to be generated from interstate handle:

Current meet estimate: 2021 1,757,000

Prior meet actual: 2020 584,677

Average Daily Purse (7 G number of days):

Current meet estimate: 2021 11,713

Prior meet actual: 2020 3,898

- H. Bank and account number for the Paymaster of Purses' purse account: On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100

- B. Minimum number of stalls believed necessary for the meeting: 1100

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

Rick Overly, CEO of Equine Sports Medicine, and his staff of veterinarians are on call 24/7 to handle any issues during training hours, workouts and racing. 714-527-2341

- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
There is a rotation of private veterinarians on site during all training hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	type of wagers	applicable rules
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP4, \$1 TRI, \$1SF,\$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1,1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1

Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1,1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$1PNP6,\$1DD	CHRB1959,1977,1979,1979.1,1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF,\$1PNP4	CHRB 1959, 1977, 1979, 1979.1,1976.9
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.
2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB 1958.
3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3rd to last race of the card.
5. If a field should only have 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
6. If running 12 or more races LAQHRA requests administrative authority to add an additional pick four.
7. Pick four rule-1976.9-100% Major pool, Daily payout.
8. Pick Six rule-1976.9-70% Major, Mandatory payout closing day.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. none
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 19, 2021
- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering: Friday, Saturday, Sunday at 9:30am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote Internantional, contact person: Dave Payton, contract expires 10/25/20 (with our option to extend for 5 years).
- G. List below the takeout percentage for each type of wager identified in 10.A.:

Takeout percentage
(Example) PNP5-14%

Win, Place, Show-17.63%
All others- 22.88%

These takeout rates apply to both on-track and off-track wagers.



Pacific Coast Quarter Horse Racing Association

John Hindman
TVG
6701 Center Drive West
Suite 160
Los Angeles, CA 90045

September 25, 2019

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,



Dino Perez
Business Manager

Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); Australia and New Zealand; and those included in E.

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresden, Fraser Downs, Dawartja Downs. Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hippodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Pallermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Peru(Hippodromo Monterrico); Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books); Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR
AmWest Entertainment-KY
Arapahoe Park-CO
Arima Race Club,Trinidad
Arizona Downs
Arlington International Race Course-IL
Atlantic City Casino Assn.-NJ
Atlantis Paradise, LVDC
Avatar Ventures, LVDC
Balmoral Park-IL
BetPad, OR
Belterra Park
Beulah Park-OH
Birmingham Race Course-AL
Blue Ribbon Downs-OK
Bordertown
Buffalo Trotting Association-NY
Calder Race Course-FL
Capital Sports Pty-Australia
Canterbury Park-MN
Capital District OTB-NY
Casino Association
Catskill Off-Track, NY
Century Downs
Cheyenne Plaza
Choctaw Racing Services, OK
Churchill Downs-KY
Churchill Downs Sports Spectrum-KY
Cities of Gold, LVDC
Citizen Band Potawatomi Indian Tribe (LVDC)
Colonial Downs-VA
Columbus Races-NE
Connecticut OTB-CT
Corpus Christi Greyhound Track-TX
Cypress Bayou Casino
Dairyland Greyhound Park-WI
Day at the Track
Dayton
Delaware Park-DE
Delta Downs-LA
DGS Systems Corp, LVDC

Dover Downs-DE
Downs at Albuquerque-NM
Downs at Santa Fe
Ebet
Egg Harbor Turf Club
Ellis Park-KY
Elite Turf Club, LVDC
Emerald Downs, WA
EmpireCityBets Yonkers
Eureka Downs, KS
Euro Off-Track, Isle of Man
European Wagering Services
Evangeline Downs-LA
Fair Grounds Racecourse-LA
Fair Meadows, IA
Fairmount Park-IL
Favorites at Gloucester
Favorites at Toms River
Favorites at Woodbridge
Finger Lakes Race Track-NY
Firelake Entertainment(LVDC) OK
Fonner Park-NE
Fort Erie
Foxwoods Casino (LVDC)-CT
Freehold Raceway-NJ
Garden State Park-NJ
Geneva Lakes Kennel Club-WI
Georgian Downs
Grand River Raceway
Great Lakes Downs-MI
Greentrack-AL
Gulf Greyhound Park
Gulfstream Park-FL
GWS German Tote
Hanover Raceway
Harrington Raceway & Simulcast Facility-DE
Hawthorne Race Course-IL
Hazel Park-MI
Hialeah Park-FL
Hiawatha Horse Park
Hinsdale Greyhound Park, NH
Hipica De Panama-MIR
Holiday Beach Hotel (LVDC)-NV
Hoosier Park-IN
Horse Races Now
IBN-US Accounts
Ida Racing, ID
Illinois
Indiana Downs, IN
International All Sports, Australia
International Racing Group, (IRG)
Jackson Harness Raceway-MI
Keeneland-KY
Kentucky Downs
Ladbroke at the Meadows-PA
Lebanon Trotting Club-OH
Lewiston OTB-ME
Les Bois Park-ID
Lien Games, ND
Lodge At Belmont

Lone Star Race Park-TX
Louisiana Downs-LA
Luckity
Magna Bet
Manor Downs-TX
Maryland Jockey Club-MD
Maywood Park-IL
Meadows the
Meadowlands, NJ
Megatote, LVDC
Meskwaki Casino (LVDC)-NV
Mobile Greyhound Park-AL
Mohegun Sun
Monmouth Park-NJ
Montana Simulcast, MT
Monticello Raceway-NY
Mountaineer Park-WV
Mt. Pleasant Meadows-MI
Multnomah Greyhound Park-OR
Nassau Downs OTB-NY
Nebraska State Fair Park-NE
Nevada
Nevada Indian Casinos
NJ ADW-Mobile
New Jersey Sports & Exposition
New Mexico State Fair-NM
New York City Off-Track Betting-NY
New York Racing Association-NY
Northfield Park-OH
Northville Downs-MI
Northville Racing Corp.-MI
Northwest Racing Associates Ltd.(Emerald)
NYRAbets
Oaklawn Park-AR
Ocean Downs-MD
Offtrackbetting.com
Palace Casino
Pari-Bet, LLC
Penn National Race Course-PA
Philadelphia Park-PA
Phumelela Gold, South Africa
Plainridge Racecourse-MA
Player Management Group
Playfair Race Course-WA
Poconos
Pojoaque Pueblo Gaming, Inc. (LVDC)-OK
Pompano Park, FL
Portland Meadows-OR
Potawatomi Indian Tribe, WI
Prairie Meadows-IA
Premier Gateway Int.
Premier Turf Club-ND
Racebets
Raceway Park-OH
Racing & Gaming Services, St Kitts
Racing2Day-FL
Remington Park-OK
Retama Park-TX
Rillito Park-AZ
River Downs-OH

Rockingham Park-NH
Ruidoso Downs-NM
Running Aces Harness Park, MN
Saddlebrook, TX
Sam Houston Park-TX
Sandy Downs, ID
Saratoga Raceway-NY
Schenectady OTB-NY
Scioto Downs-OH
Silks Corporation, N. Dakota Horse Park, ND
Sol Mutuel Ltd, S. America
Southland Greyhound Park-AR
Spirit Mountain Casino (LVDC)-OR
Social Gaming
Southland
Sports Creek Raceway-MI
Sportsman's Park-IL
Sudbury Downs
Suffolk Downs-MA
Suffolk OTB, NY
Sunland Park Racetrack-NM
SunRay Park and Casino-NM
Tampa Bay Downs-FL
TBC Teletheaters
Television Games Network-CA
The Downs @ Albuquerque
The Lodge at Belmont, NH
The Racing Channel,
The Red Mile, KY
The Royal Turf Club, Antigua
The Stables (LVDC)-OK
Thistledown Racing Club-OH
Tioga Downs, NY
TP Racing, LLLP (Turf Paradise)-AZ
Tote Investment Racing Service
TRN International, PA
Tucson Greyhound Park-AZ
Turf Paradise
Turning Stone Casino-NY
TVG
Twinspires
US Off-Track-OR
Valley Race Park, TX
Velocity Wagering
Vernon Downs-NY
Vineland OTB
Western Regional OTB-NY
Wichita Greyhound Park-KS
Will Rogers Downs-OK
WinnaVegas Casino
Wonderland Greyhound Park
Woodlands-KS
Wyoming OTB-WY
Xpressbet
Yavapai Downs
Yonkers Raceway-NY
Youbet-Tote Tasmania, Australia
Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Commerce Casino, City of Commerce
 Tilted Kilt, Thousand Oaks
 OC Tavern, San Clemente
 Lake Elsinore Hotel and Casino

Santa Clarita
 Sammy’s –Lake Forest
 FireHouse Restaurant-Bakersfield
 Pechanga Casino-Temecula
 Bonita 58 Flat

- G. For **thoroughbred** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **quarter horse** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Delta Downs	April –July	Selected races
Sam Houston	April-May	Selected races
Prairie Meadows	August-October	Selected races
Remington Park	March-June	Selected races
Ruidoso Downs	June-September	Selected races
September 5, 6	All American Gold Cup, Derby and Futurity	
Fair Grounds	TBA	Selected races
Fair Meadows/Tulsa	TBA	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	September-November	Selected races
Canterbury Park	TBA	Selected races
Indiana Downs	TBA	Selected races
Emerald Downs	August	Selected races
Albuquerque	June-October	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	July-September	Selected races

- I. For **standardbred** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: N/A

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **all** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Meadowlands	Standardbred	May-November	Selected races
Tioga	Standardbred	TBA	Selected races
Hawthorne	Standardbred	January-September	Selected races
Red Mile	Standardbred	August/September	Selected races
Western Fair	Standardbred	January-November	Selected races
Fraser Downs	Standardbred	TBA	Selected races
Charlottetown	Standardbred	August	Selected races
Dayton Raceway	Standardbred	September	Selected races
Miami Valley Gaming	Standardbred	January-March	Selected races
Pompano Downs	Standardbred	January-December	Selected races
Dover Downs	Standardbred	TBA	Selected races
The Meadows	Standardbred	TBA	Selected races
Northfield Park	Standardbred	January-December	Selected races
Vernon Downs	Standardbred	August-September	Selected races
Flamboro	Standardbred	January-December	Selected races
Hoosier Park	Standardbred	August/September	Selected races
Mohawk Raceway	Standardbred	June-October	Selected races
Rosecroft	Standardbred	March-November	Selected Races
Running Aces	Standardbred	May-September	Selected races
Rideau Carleton	Standardbred	January-December	Selected races
Mohegan Sun @Pocono	Standardbred	March-November	Selected races
Yonkers	Standardbred	TBA	Selected races
Remington Park	Paint	March-June	Selected races
Emerald Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Hastings	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Delta Downs	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races
Portland Meadows	Tb, 4 ¼ furlong, \$5000 claimers or less	TBA	Selected races

- K. For **all** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and

promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes X

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

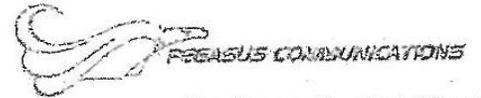
Association Veterinarian(s)	Becky Fitzgerald, DVM
Clerk of Scales	Natividad Estrada
Film Specialist	Stewards
Horse Identifier	Jason Hart
Horseshoe Inspector	Brian Griffin
Paddock Judge	George Yniguez
Patrol Judges	George Yniguez
Placing Judges	Tyler Hart, Jordan Castaneda
Starter	Gary Brinson
Timer	Jordan Castaneda
- B. Management officials in the racing department:

Director of Racing	Scott Craigmyle
Racing Secretary	George Yniguez
Paymaster of Purses	Dawn Jackson
Others (identify by name and title)	
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646 714 964-7102

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O’Brien 12/31/23
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications - Jim Porep 05/06/22
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communications - Jim Porep 05/06/22

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Cathy Monji – Director of Security 714-820-2810
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 23
 - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.
 - 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races. Not used at this time.
 - B. Number of security guards in the detention stall area during a 24-hour period.
Not used at this time.
 - C. Describe number and location of surveillance cameras in detention stall area.
2 cameras in the Test Barn area and 2 cameras in the Receiving Barn area provide 24 hour surveillance.
 - 3. TCO2 Testing: N/A
 - A. Number of races to be tested, and number of horses entered in each race to be tested. N/A
 - B. Plan for enhanced surveillance for trainers with high-test results. N/A
 - C. Plan for detention stalls for repeat offenders. N/A
 - D. Number of security personnel assigned to the TCO2 program. N/A
- C. Describe the electronic security system:



Jim Porep, Jr., President
Pegasus Communications, Inc.
16633 Ventura Blvd, Suite 1010
Encino, CA 91436
Office 818-907-1900
Cell 951-314-6348

Major Video Equipment Listing

Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software
32/16 to Sony 2 ME Switcher

Recording systems:

- 1- ZPlay HD video recorder, slow motion playback
- 1- 3play HD video recorder, slow motion playback
- 1-Sony DigiBeta

Audio Mixing Board

144x Router

Camera locations

- 1- HD Pan camera 1
- 1- HD Pan camera 2
- 1- HD 7/8 tower
- 1- HD 3/8 tower
- 1- HD Wireless gate camera system
- 1- HD Shutter cam at finish line
- 1- HD Jib mount winner's circle/finish line
- 2- HD TVG Set
- 1- HD Pan camera 3 / Isolation, cover shot
- 1- HD 3/8 quarter horse tower

Organizational Chart

LOS ALAMITOS RACE COURSE SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
LIEUTENANT-NIGHT SHIFT	LUIS RIVAS	OFFICE (714) 820-2663 CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE (714) 820-2830 CELL (951) 768-7094
SERGEANT-NIGHT STABLE	JOSE ALVAREZ	CELL (714) 495-0954
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS GABRIEL CASTELLANOS	MAINLINE PADDOCK
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS	KENNETH GRANT	GRAVEYARD
STABLE GATE	APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA BENJAMIN GARCIA	SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE MAIN GATE

1. Location and number of video surveillance cameras for the detention stall and stable gate. 2 cameras in the Test Barn area and 2 cameras in the Receiving Barn area provide 24 hour surveillance at all times.

D. For night racing associations. Describe emergency lighting system: Attached

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742
562-904-1550, 714-325-0363 cell -Stacy O'Brien

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing:

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

- 1 Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Same as D (1).
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Emergency Track Lighting System
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

Sept. 1, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC

Los Alamitos Race Course

EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of County of Orange Emergency Medical Service Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as all private ambulance companies.

Orange County regulations now stipulate that paramedic services within the county are provided through Orange County Fire Authority and private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT and paramedic to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from Orange County Fire Station #17 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance EMT and paramedic transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics reevaluate the injured rider and make the determination of whether to transport the rider to a trauma center. The rider is then transferred to Care Ambulance for transport with the Orange County Fire Authority paramedic to the trauma center. Care Ambulance Service is under contract with the cities of Los Alamitos and Cypress for ambulance transport, Therefore Huntington Ambulance Service never leaves the grounds to leave the racetrack uncovered.

This emergency protocol is in place for both morning training and evening racing accidents.



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100



Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100**

Allie Rodriguez/FLSIG-safety manager
Frank Sherren-safety program director LARC

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Self Insured- Finish Line Self Insurance Group, certificate # On File
Administrator: Sig Solutions
PO Box 905
Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
attached
- B. Promotional/ Marketing budget for this race meeting:
attached
Promotional/Marketing budget for prior race meeting:
attached
- C. Number of hosts and hostesses employed for meeting:
attached
- D. Describe facilities set aside for new fans:
attached



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne", is written over a horizontal line.

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

LOS ALAMITOS QUARTER HORSE MEET 2021 MARKETING PLAN

ON TRACK ATTENDANCE/FAN DEVELOPMENT

- **Please note that highly visible signage and floor decals promoting social distancing and health and safety guidelines and recommendations have been placed throughout the facility. Hand-washing stations have also been added at key locations.**

The following Marketing plan is subject to being revised pending state and local developments relating to COVID-19.

A. Describe any promotional plans:

1. Premium item giveaway nights will be held in June, July, November and December. Planned giveaways include the popular Los Alamitos wall calendar on Champion of Champions night in December and especially themed seasonal giveaways.

2. Community events in 2021 at Los Alamitos will be held in June, July, October and November at Los Alamitos if permitted and will follow state and local guidelines. The events are as follow:

- a.) A Salute To First Responders - A free community event that include fire truck rides, pony rides, games, face painter and more will all be part of Salute to First Responders night at Los Alamitos Race Course. The event features free grandstand admission and a giveaway in conjunction with the live racing card. Community First Responders are also recognized in the track's winner's circle throughout the night. Police officers, firefighters, sheriffs and more were recognized. The Orange County Police Officer K9 Association conducted a fun live demonstration. All the events were free for all. The event was first held in 2019.
- b.) Honoring Our Fallen Car Show. Date to be announced. This community event raises funds and awareness for families who have lost their military family members. The event is scheduled to be held in the track's parking lot. The event also offers the opportunity to showcase the sport and facility to a large group of new visitors.
- c.) Wienerschnitzel Wiener Nationals – The first event of its kind dating back to 1996 will once again feature 100 dachshunds competing for prize money and trophies. The annual event receives great pre-and-post event publicity on television newscasts for the event and the racetrack on the top-rated Channel 5 Morning News. The event annually attracts between 8,000 to 11,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly all the fairs conducting live racing as well as Santa Anita, Del Mar and Golden Gate Fields and by various tracks

around the nation. All patrons receive a free event program that includes horse racing information targeted to newcomers. Handicapping selections for the night's racing action are included in the program.

- d) The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits the Cypress Boys & Girls Club, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family-oriented environment. Cash prizes are awarded to costume contest participants. The event provides first-time exposure of horseracing to hundreds of local families each year. The Boys & Girls Club provides volunteers to run the carnival games and helps promote the event with Los Alamitos providing marketing materials.
- e) Honoring Our Veterans – A community event featuring live music, pony rides, games and more with the aim of providing a fun night at the track for our Veterans, while also hosting a service and resource fair. Event was held for the first time at Los Alamitos in November of 2019.

3. Los Alamitos offers on-track Night at the Races packages available at LosAlamitos.com. The packages provide a variety of amenities including Vessels Club admission, food vouchers, programs, wagering voucher and more. Guests receive VIP treatment, a brochure designed for first-time guests. Track personnel have been trained to answer frequently asked question.

4. Los Alamitos will conduct mystery mutuel voucher mailers to be held in July, September and December. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in various other denominations ranging from \$10 to \$2,500 are also mailed. The big money voucher has been cashed twice since July of 2018.

FAN AMENITIES

- **Please note that highly visible signage and floor decals promoting social distancing and health and safety guidelines and recommendations have been placed throughout the facility. Hand-washing stations have also been added at key locations.**
1. A new sports bar named Burgart's at Los Alamitos was unveiled on November 22, 2019 to rave reviews. Burgart's features a 40-foot long granite top and mahogany bar providing ample sitting and a wide selection of beers and spirits and a select menu. The sports bar also features over 65 high definition TVs, a Players seating area, a TouchTunes juke box for music, pool tables, complimentary Wi-Fi and more than a dozen horse racing self-service wagering terminals plus live teller betting windows. Burgart's also features an outdoor racetrack viewing area with an enclosed private patio deck facing the finish line. The custom-designed room was designed to enhance the visitor-friendly atmosphere at Los Alamitos Race Course.

TVs broadcast the best of daytime and nighttime horse racing. Plans for Burgart's in 2021 include adding the popular NFL Sunday Ticket (the Sunday Ticket was available in the room in 2019), plus selected premium sporting events. Fun fan-friendly events like a March Madness contest, live music after dark, pool tournaments, handicapping tournaments and more are also planned for Burgart's if allowed by state and local guidelines.

2. Los Alamitos Race Course's Racing Rewards program, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. The program was revamped during the winter of 2019 adding more perks and value based on fan's on-track attendance. We are hopeful to operate the new Rewards for the full year in 2021. Pending state and local guidelines here are the highlights of the program:

- Premium "Key Player" item giveaway after 50th on-track visit.
- Exclusive "Key Player" jacket after 90th on-track visit.
- New Rewards Birthday Club – Racing Rewards member will receive one \$10 Food Voucher to be used at Los Alamitos during birthday month.
- Plus, discounts and coupon to Los Alamitos Gift Shop, exclusive Racing Rewards handicapping contests and double point nights.

3. Senior citizen daily admission price is \$2 – a 33% discount on the general admission price.

4. More than 60% of the racetrack parking lot is now available at no charge as part of its free general parking area.

5. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they are able to review workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

6. Los Alamitos' Night Lines program now features a nightly handicapping columns in Spanish featuring "La Apuesta de la Noche" ("Play of the Night"). The program also features expanded coverage of racing from Australia and Japan. Past performances of international races are available for download at losalamitos.com.

7. Los Alamitos continues to add new TV screens throughout the facility. A detailed listing of these improvements is available as part of this plan on section E, item 1.

8. Telephone race results remain available for racing fans to enjoy. The results line includes Michael Wrona's full race call. The number to call is 714-995-2222.

IN ADDITION:

Los Alamitos Race Course also maintains TVG's "The Quarters" set on-track, which is located by the track's walking ring. The live show includes special interviews with the headliners and personalities of the night racing program. In addition, Los Alamitos works with TVG in promoting the final weekend of the Quarter Horse racing season – featuring the Champion of Champions and Los Alamitos Two Million – with a special 30 minute nationally televised draw.

ONLINE

1. LosAlamitos.com updates its online presence on a daily basis, providing an array of handicapping tools including exclusive racing information provided by nationally recognized Quarter Horse experts Terry Turrell, Chris Wade, and Jose Contreras. Additional online features include:

- a. Free LIVE streaming and a complete replay library of its night racing.
- b. Increased racing coverage on both Facebook, Instagram and Twitter. Scratches, changes, race recaps and photos are updated nightly on these social media outlets. Los Alamitos also manages a Youtube Channel @ losalqh with over 1.75 million total views.
- c. Los Alamitos creates online video pieces on racing personalities, major races, and over 20 new promotional spots per year.
- d. A video interview section, which has run on LosAlamitos.com dating back to 2003 will return on 2021 after a hiatus during most of the 2020 calendar year. The section includes human interest pieces with local horsemen, stakes previews, horse and race profiles and more. Links to the interviews and video pieces are made available on our social media platforms.

ADVERTISING & TV

1. Los Alamitos Race Course continues its advertising partnership on TVG and TVG2 with a year-round television campaign. In addition, Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing through promotional on-air giveaways and contest. The TV advertising campaign will include the following rotation of spots:

- a) Seasonal TV advertising campaigns featuring the popular Los Alamitos Pick 4 and Pick 6 wagers.
- b) On-track live-racing marquee promotional nights including California Breeders Champions Night and Los Alamitos Champions Weekend.
- c) Year-round promotion of top stakes races.
- d) Campaign featuring the Los Alamitos Equine Sale

The Vessels Club and the Racing Rewards loyalty program are often promoted on TVG as well to encourage on-track visits.

2. Continued partnership with its ADW partners to provide special promotions during various times of the years. Promotions will include special bonuses and member points rewards on the various ADW platforms like Twin Spires.

3. Plans are for an increase on our social media advertising budget with strategic buys to be conducted on Instagram and Facebook and continuing our page sponsorship on Yelp! The Los Alamitos Race Course pages can be found as follows:

Twitter & Instagram: @losalracing

Facebook Pages: LosAlamitosRaceCourse; VesselsClub; BurgartsLosAlamitos

Yelp!: Los Alamitos Race Course and Vessels Club at Los Alamitos Race Course.

4. Cable TV buy on Spectrum in Orange County and DirecTV in Southern California with spots strategically airing during Angels, Ducks, Dodgers, Lakers, etc. broadcasts. Additional spots will also air on The Golf Channel, Fox 1 and NBC Sports horse racing shows plus TBS, Bravo and more.

5. A robust year-round radio advertising scheduled on a variety of shows including Thoroughbred LA and The Morning Line.

6. Print advertising is conducted on various Los Angeles News Group publications including the Orange County Register, LB Press Telegram, Pasadena Daily News, Riverside Press and more. Entries, handicapping, rotating ads and an online component are all part of this program.

7. Print advertising is also conducted on our city paper in both The News Enterprise/Event, which covers Los Alamitos, Cypress, Rossmore and more, and The Sun Newspapers, which covers Seal Beach and adjacent beach cities.

6. Los Alamitos will continue to sponsor past performance lines for its nighttime races on the West Coast edition of the Daily Racing. Los Alamitos Race Course is currently taking advantage of all DRF digital platforms and a variation of that program is being considered for the start of the 2021 racing year. Online elements include:

- Digital ads on DRF.com in entries & results 100,000 impressions per week
- Free PP's each Sunday
- E-mail blast to 65,000 core DRF buyer base
- Promotion on home page carousel
- Digital classic PP banner ads on all other tracks running Friday-Saturday-Sunday
- Full-page four-color ad to run on Saturday

DRF EN ESPAÑOL

DRF has recently unveiled a new Spanish-based marketing component to its brands in DRF en Español. Los Alamitos Race Course and DRF is currently conducting conversations on how DRF en Español can help promote and be integrated to help advance the track's marketing goals.

HORSEMEN

In commemoration of graded stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horse. Gifts will include individually embroidered apparel, wine glasses, horse bridles, full-color qualifiers posters, etc.

B. Promotional/Marketing budget for **2021** race meeting (subject to change based on 2021 state guidelines and protocols):

1. On-Track Marketing budget: \$375,000
2. Marketing budget for simulcast facilities/fans: \$600,000

Promotional/Marketing budget for **2020** race meeting:

1. On-Track Marketing budget: \$375,000
2. Marketing budget for simulcast facilities/fans: \$600,000

C. Number of hosts and hostesses employed for meeting:

1. One satellite host and racing analyst on the Los Alamitos racing broadcast. Host provides expert handicapping information prior to each race, while providing racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos.
2. Group event hosts are assigned to each group party to ensure any questions and needs can be addressed in a professional and timely manner while the group is enjoying a night at the races. Group parties have a race named in its honor. Depending on current protocols, an opportunity to visit the winner's circle during named raced may be made available. Handicapping seminars are available to group parties upon requests. The seminars include a session on how to play the races, what to look in a Quarter Horse race, how to read the tote board and what the odds mean, plus basic handicapping tips for the night.
3. One "Racing Rewards" kiosk is located on the grandstand building and allows fans to check, track, and cash their loyalty program points. Points can be traded for prizes. A Rewards representative is available to any answer any question regarding the evening's racing program, the racetrack, the horsemen, etc. Fans can also pick up complimentary general information pamphlets in both English and Spanish at the Racing Rewards Center

and Vessels Club. **Availability of the “Racing Rewards” kiosk will be based on 2021 protocols.**

4. One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general.

5. Los Alamitos also employs and provides to TVG one of the racing analyst featured on the channel’s “The Quarters” show.

6. Los Alamitos also employs a daytime announcer on simulcast days featuring racing in Southern California. The announcer’s role is to provide up to the minute changes and updates on the daytime simulcast races offered daily at Los Alamitos while promoting the evening’s racing action as the start of the night’s activities approaches.

D. Describe facilities set aside for new fans:

1. As part of the Night At The Races promotion, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.
2. The Mezzanine Terrace is also a perfect place for a group event or gathering. This private seating area overlooks the walking ring and winner’s circle and offers a relaxed dress code; perfect for new racing fans.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. Los Alamitos Race Course has continued to improve its televised viewing experienced by upgrading selected monitors to HD flat screens throughout the facility. Areas that have been upgraded during this latest round of improvements are as follows:
 - a) Outdoor grandstand apron - all monitors (eight large 40-inch monitors in total) have been upgraded. **This is a popular area for horsemen and trainers to watch the on-track racing action.**
 - b) Vessels Patio - which is an attractive setting for group events and outdoor simulcasting - now features upgraded monitors throughout each of the four patio sections in this area.
 - c) Lower Vessels Terrace - All monitors in this seating area have been upgraded.
 - d) Outdoor Mezzanine patio and terraces – new monitors have been installed for simulcasting and sports viewing plus mounted monitors on a number of the individual tables have also been upgraded.
2. The 2021 Los Alamitos Quarter Horse meet will continue to be offered as a high definition broadcast.

3. The Mezzanine level has a new indoor floor layout featuring individual horseplayer seating areas with individual TVs. This level now features a custom-built TV display in the center of the room featuring all the top racing signals from around the country.
4. Burgart's Sports Bar at Los Alamitos Race Course – as described under Fan Amenities.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
attached

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	3.00
Admission (clubhouse)	5.00/10.00 (Vessels club)
Reserved seating (general)	N/A
Reserved seating (clubhouse)	N/A
Parking (general)	Free
Parking (preferred)	5.00
Programs (on-track)	4.50
(off-track)	4.75

B. Describe any "Season Boxes" and "Turf Club Membership" fees: attached

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

Corners (lockers and cubicles) YES How many 70
Showers YES Steam room YES, sauna or steam cabinets YES Lounge area YES
Masseur NO Food/beverage service YES Certified platform scale YES

B. Describe the quarters to be used for female jockeys/drivers:

Separate quarters with cubicles, showers, steam box, sleeping quarters

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. See Attached.

B. Number of rooms used for housing on the backstretch of the racetrack:

220 out of 344

C. Number of restrooms available on the backstretch of the racetrack:

13

D. Estimated ratio of restroom facilities to the number of backstretch personnel:

18/1

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,100 feet.



Los Alamitos Race Course



2021 SEASON SEATS

Live Racing: QUARTERHORSE/THOROUGHBRED –December 26, 2020 to December 19, 2021

Satellite Racing: Year Round

Options:

- A. VESSELS CLUB EXECUTIVE (PLEASE NOTE: FOR LIVE NIGHT RACING ONLY) \$3,000.00
December 26, 2020– December 19, 2021
Four membership cards - each valid for one Vessels Club annual admission, two Premium Parking Passes, and FOUR SEAT TABLE FOR LIVE NIGHT RACING.
B. VESSELS CLUB ANNUAL \$ 500.00
December 26, 2020– December 19, 2021
One membership card valid for one Vessels Club annual admission.
C. VESSELS CLUB PARKING ANNUAL \$ 1,500.00
One Vessels Club Placard valid for one Vessels Club annual parking pass.
D. PREFERRED PARKING PASS ANNUAL \$ 500.00
One preferred parking placard valid for one preferred parking annual parking pass
E. COMPLIMENTARY VESSELS CLUB HORSEMAN'S MEMBERSHIP \$ 0.00
Two membership cards. (FOR QUARTERHORSE AND THOROUBRED OWNERS, TRAINERS, JOCKEYS)

A Vessels Club Membership card includes admission for all live and satellite racing conducted at Los Alamitos from December 26, 2020 through December 19, 2021 . NO OUTSIDE FOOD OR BEVERAGES will be allowed in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Vessels Club including the dress code.

Signature of Member Date

Selection (circle choice): A B C D E

Please issue card(s) as follows: (PLEASE PRINT)

- 1. My name
2.
3.
4.
5.
6.

THIS SPACE FOR OFFICE USE ONLY
Number Card Number
Table #:

Address Street City State Zip

Residence telephone Cellular telephone Email:

PLEASE MAKE YOUR CHECK PAYABLE TO LOS ALAMITOS RACE COURSE

LOS ALAMITOS RACE COURSE 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2810

Los Alamitos Race Course

Annual Barn Area Housing Inspection

In November of 2019 a housing and safety inspection was conducted at Los Alamitos Race Course (LARC).

The inspection is scheduled and organized by the Facilities Manager at LARC. The inspection was conducted over a three-day period. The inspections are conducted at the direction of City of Cypress Housing Authority and Safety Inspectors along with members of the California Horse Racing Board. Members of the inspection team also include LARC Facilities Manager Frank Sherren, Janitorial Supervisor John Wiseman, LARC Maintenance Staff and members of LARC Security Staff.

The inspection examines all Tack Rooms and Living quarters. All restroom facilities and all barns.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt track consisting of sand, clay, silt, organic and gravel.
- C. The percent of cross slope in the straight-aways is: 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Rick Hughes/Dennis Moore
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

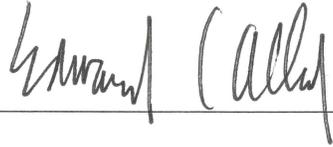
23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
PCQHRA agreement is pending. This agreement will be provided to the CHRB when completed.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Attached.
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

<u>EDWARD AURES</u>	<u></u>
Print Name	Signature
<u>OWNER</u>	<u>9/24/2020</u>
Print Title	Date

LOS ALAMITOS

QUARTER HORSE RACING ASSOCIATION

EQUINE & RIDER SAFETY ENHANCEMENT PLAN



Revised December 1, 2020

LOS ALAMITOS RACE COURSE

INTRODUCTION

Los Alamitos whole-heartedly shares the Board's commitment to improving the health and safety of each and every horse and rider participating in racing and training at our facility.

Since 2008, Los Alamitos has consistently and significantly reduced the frequency of equine fatalities at our facility. Those improvements were the product of conscious decisions and efforts to improve equine and human safety, and reflected Dr. Ed Allred's personal commitment to the wellbeing of the horses and people who participate in racing at Los Alamitos.

While Los Alamitos's concerted efforts over the years have reduced the rate of racing and training injuries experienced at the track, our management team has never been entirely satisfied with the progress made. As part of an ongoing commitment to improve safety at the facility, Los Alamito's management continually evaluates operational changes aimed at enhancing equine and rider safety programs.

This Safety Enhancement Plan reflects a combination of independent internal efforts, and guidance obtained from the CHRB both during the July 10th hearing, and afterward. The Plan approaches these efforts in six phases:

1. Training Practices
2. Pre-Race Procedures
3. Entry Review Panel
4. Post Incident Assessments
5. Equine Illness & Recovery
6. Rules & Conditions

TRAINING PRACTICES

As the CHRB is aware, Los Alamitos Race Course (LARC) is a mixed breed racing and training facility. On average, there are approximately 750 Quarter Horses and 750 Thoroughbreds stabled on the grounds at any given time.

Training practices for the breeds differ significantly, with Quarter Horses typically training far less intensely than their Thoroughbred counterparts, including the frequency and intensity of their works.

Based on data maintained by LARC and that obtained from the CHRB, LARC understands that 2 of the 9 training fatalities occurring in FY 2019/2020 involved Quarter Horses, with the balance identified as involving Thoroughbreds.

Increased Observation

Currently, during training hours, the racing associations operating race meets at LARC employ four experienced outriders and horseman who are all on the track observing horses. They communicate by radio to one another and the track veterinarian as to any horse deemed by an outrider to be traveling in an unusual manner or with altered gait. That information is relayed to the horse's trainer, the barn's veterinarian, and the track veterinarian.

Based on Commissioners' comments at the hearing, Los Alamitos Quarter Horse Racing Association (LAQHRA) understood the CHRB to believe that these practices should be supplemented by the addition of an additional 'set of eyes'; i.e., a qualified dedicated observer who would also observe horses exiting and entering the track for training, for the purpose of identifying any horse exhibiting signs suggesting unsoundness or other concerns.

Based on that guidance, for that purpose:

- **One (1) qualified veterinarian will be added to racing association staffing each**

LOS ALAMITOS RACE COURSE

morning the track is open as a dedicated roving observer of horses in training, while entering, exiting, or on the track; and,

- **One (1) qualified track-appointed ‘Security Steward’ will be employed to monitor and patrol the LARC backstretch, for the specific purpose of observing veterinary and barn practices, on an unscheduled, daily basis.**

The observing veterinarian shall have the authority to inquire of the rider, handler(s), and/or trainer of any horse entering, exiting, or otherwise utilizing the track surface at LARC for the purpose of determining the name, age, attending veterinarian, owner(s), and such other information related to that horse deemed necessary or pertinent by the observing veterinarian to sufficiently identify the condition of the horse, and/or the connections and contacts for further communications/inquiries as to the perceived and/or actual fitness or soundness of that horse.

The ‘Security Steward’ shall have the authority to inquire of any trainer, attending veterinarian, rider, and/or handler(s) of any horse stabled on or transported to the backstretch areas of LARC for the purpose of determining the name, age, attending veterinarian, owner(s), and such other information related to that horse deemed necessary or pertinent by the Security Steward to sufficiently identify the condition of the horse, the nature of any treatment, feed, or supplement being provided or administered to a horse or horses within a trainer’s barn, and/or the connections and contacts for further communications/inquiries.

Qualifying Examinations for Initial Starts

For Quarter Horses qualifying for their initial start, LAQHRA will require trainers to submit a completed Qualifying Examination Form, signed by the trainer and their attending veterinarian, attesting that: (1) the horse is in a serviceable, sound condition suitable for training, working, and/or racing at LARC; and, (2) that it has worked twice, completing the designated distance within the designated time.

PRE-RACE PROCEDURES

Training practices for the breeds differ significantly, with Quarter Horses typically training far less intensely than their Thoroughbred counterparts, including the frequency and intensity of their works.

Recognizing those differences, and assuming entries have been suitably, professionally trained and treated by the licensed trainer and attending veterinarian, the following Pre-Race Procedures shall be implemented and apply to every trainer stabled at and entry accepted by a racing association:

1. Trainers and their attending veterinarians shall be required to sign immediately Los Alamitos Race Course’s Rule and Conditions for Racing and Training (below).
2. Trainer shall not work or enter a horse in any race UNLESS: (1) the horse is sufficiently fit; (2) the horse has been examined by Trainer’s Attending Veterinarian during the specified days immediately preceding the work or race entry day for the express purpose of evaluating the horse’s soundness to work or race; and (3) the horse has been found sound to work or race by the Attending Veterinarian.
3. The attending veterinarian and trainer are obligated to inform the examining veterinarians of any changes in the horse’s fitness and/or soundness after entry and before race day.
4. At the trainer/owner’s expense, the CHRB Official or Track Veterinarian may require diagnostic imaging of any horse stabled at LARC in order to confirm/determine the horse’s soundness/fitness to train, enter to race, or race, or for purposes of determining a horse’s eligibility to come off of the Vet’s List.
5. Trainer shall not enter in any race: a Thoroughbred that is an un-started 4 year-old or older or has not raced in the preceding 365 days UNLESS, the horse has: (a) worked 5

LOS ALAMITOS RACE COURSE

- furlongs in 1:03 or better for an official California regulatory veterinarian; and (b) passed a post-work official test as required by CHRB regulations; and, a Quarter Horse that is an un-started 4 year-old or older or has not raced in the preceding 365 days UNLESS, the horse has: (a) worked 220 yards in 0:13.2 or better for an official California regulatory veterinarian; and (b) passed a post-work official test as required by CHRB regulations.
6. In addition, a horse that is an un-started 4 year-old or older or has not raced in the preceding 365 days, or horses that have not raced within 120 days but have raced within 365 days are required to have an examination performed by a regulatory veterinarian within 30 days prior to entry.
 7. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection during the Fourteen (14) days immediately preceding the race.
 8. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection of a corticosteroid in any joint within the previous thirty (30) days immediately preceding the race and the Trainer shall not work a horse for ten (10) days after receiving such treatment.
 9. Trainer shall not enter a horse in any race if the horse has received more than two intra-articular injection of a corticosteroid into same intra-articular space within the previous sixty (60) days immediately preceding the race.
 10. Trainer shall not permit any horse under the Trainer's care to participate in a timed workout if the horse receives NSAIDs during the Forty-Eight (48) hour period immediately preceding the workout.
 11. Trainer shall not permit any horse under the Trainer's care to participate in a race if the horse receives a NSAID during the Forty-Eight (48) hour period immediately preceding the race or has been treated with a second NSAID that could appear in a post-work or post-race blood or urine test.

Each racing association conducting a live race meet LARC shall make available to horsemen a list of licensed veterinarians, and their contact information, available on designated days for 24-hour emergency calls, when the barn's attending veterinarian cannot be reached.

ENTRY REVIEW PANEL

LAQHRA shall form an "Entry Review Panel," comprised of the entry steward, a qualified designee of management, and the Track or CHRB Official Veterinarian.

The Panel will review race entries, and based on information deemed of concern to the Panel, in its discretion, may request either the entry of a horse be refused or such entry scratched by the Stewards, in accord with *CHRB Rules 1542* and *1580*, should any member of the Panel consider that horse unsuitable for any reason of horse and/or rider safety.

The Panel's decision to request the Stewards deny entry or scratch a horse shall be final.

POST-INCIDENT ASSESSMENTS

In the event of a future racing or training injury or fatality, Los Alamitos will continue to conduct a thorough internal review of track condition, surface, and maintenance records and procedures utilizing the expertise of well-respected track consultant Dennis Moore, our track superintendent, and senior management.

Horses deemed to have suffered an injury while racing or training will be examined by the Track Veterinarian, at the earliest opportunity thereafter. Recognizing the disparity of knowledge as to the health

LOS ALAMITOS RACE COURSE

history of the individual horse, responsibility for all treatment decisions will remain that of the owner, trainer, and/or attending veterinarian.

In addition, each fatality occurring on the grounds – regardless of causation – will trigger a ‘Fatality Review,’ conducted by a panel consisting of a qualified representative each from track management and the contracting horsemen’s organization representing trainers at the race meet, the track veterinarian, and the Racing Secretary. As a condition of participation in any race meet conducted at LARC, the trainer and attending/treating veterinarian will be required to attend and participate in the review, as well as any other person(s) or vendor, the racing association believes may have relevant information.

LAQHRA acknowledges that these reviews will be separate and apart from any fatality investigation conducted by the CHRB, including the *Rule 1846.6 Postmortem Examination Review*.

EQUINE ILLNESS & RECOVERY

As noted above, ANY fatality occurring on the grounds will now trigger a Fatality Review with the trainer and attending/treating veterinarian of that horse.

Taking into consideration the size of a stable, the death of two horses on the grounds within the custody and care of any one trainer, which are attributed by the CHRB to the cause “Other” – i.e., a non-racing or training fatality – within any twelve month period will cause that trainer to be informed that his/her status as an invitee of the Association is under ongoing review and could be revoked following the subsequent death of another horse or horses within that trainer’s care and custody, pursuant to *CHRB Rules 1436, 1437, and 1485(c)*.

In accord with *Business & Professions Code, §§19431 and 19573*, the revocation of that trainer’s status as an invitee of the racing association may be appealed by the trainer to the CHRB. Any trainer wishing to appeal that revocation of invited status/participation privileges at LARC must do so within ninety-six (96) hours of being so notified by the association.

‘RULES & CONDITIONS’

LAQHRA has to adopted the “Rules & Conditions” for Racing and Training.

LAQHRA recognizes that inclement weather may temporarily impact its racing operations and therefore acknowledges the need for the “Inclement Weather Policy” promulgated by the CHRB in order to promote the health and safety of those participating in racing. In this regard, LAQHRA fully supports such policy and pledges to be governed by it.

LOS ALAMITOS RACE COURSE

RULES AND CONDITIONS FOR RACING AND TRAINING

As a condition to, and in consideration for Trainer being permitted to race, work or train any horse at Los Alamitos Race Course (LARC) or any authorized auxiliary training facility, the undersigned Trainer and Trainer's Attending Veterinarian hereby agree to be bound by the following:

1. At all times, a horse shall be in serviceable, sound racing condition in order to race, work, or train at LARC. The Trainer acknowledges that it is his/her obligation to confirm the horse is in serviceable, sound racing condition in order to race, work or train at LARC.
2. All horses at LARC or an authorized auxiliary training facility are subject to security and veterinary monitoring during training, and Trainer and his/her employees and agents shall cooperate fully with all requests made by security personnel, veterinarians, and outriders.
3. At the trainer/owner's expense, the CHRB Official or Track veterinarian may require diagnostic imaging of any horse stabled at LARC in order to confirm/determine the horse's soundness to train, work, enter to race, or race, or for purposes of determining a horse's eligibility to come off of the Vet's List.
4. Trainer shall not work a horse UNLESS: (1) the horse is sufficiently fit; (2) the horse has been examined by Trainer's Attending Veterinarian during the five (5) days immediately preceding the work for the express purpose of evaluating the horse's soundness to work; and (3) the horse has been found sound to work by the Attending Veterinarian. The trainer is obligated to inform the Attending Veterinarian of any perceived changes in the horse's fitness or soundness after the examination, prior to the work.
5. Trainer shall not enter a horse in any race UNLESS: (1) the horse is sufficiently fit; (2) the horse has been examined by Trainer's Attending Veterinarian during the three (3) days immediately preceding the race entry day for the express purpose of evaluating the horse's soundness to race; and (3) the horse has been found sound to race by the Attending Veterinarian. The attending veterinarian and trainer are obligated to inform the examining veterinarians of any changes in the horse's fitness or soundness after entry and before race day.
6. For Quarter Horses qualifying for their initial start, trainers are required to submit a completed Qualifying Examination Form, signed by the trainer and their Attending Veterinarian, attesting that: (1) the horse is fit and in a serviceable, sound condition suitable for racing at LARC; and, (2) that it has worked twice, completing the designated distance within the designated time.
7. Trainer will not enter in any race a Quarter Horse that is an un-started 4 year-old or older or has not raced in the preceding 365 days UNLESS, the horse has: (a) worked 220 yards in 0:13.2 or better for an official California regulatory veterinarian; and (b) passed a post-work official test as required by CHRB regulations.
8. Trainer shall not enter in any race a Thoroughbred that is an un-started 4 year-old or older or has not raced in the preceding 365 days UNLESS, the horse has: (a) worked 5 furlongs in 1:03 or better for an official California regulatory veterinarian; and (b) passed a post-work official test as required by CHRB regulations.
9. In addition to the criteria set forth in Sections 7 and 8, a horse that is an un-started 4 year-old or older or has not raced in the preceding 365 days, or horses that have not raced within 120 days but have raced within 365 days are required to have an examination performed by a regulatory veterinarian within 30 days prior to entry.
10. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection during the Fourteen (14) days immediately preceding the race.
11. Trainer shall not enter a horse in any race if the horse has received an intra-articular injection of a corticosteroid in any joint within the previous thirty (30) days immediately preceding the race and a trainer shall not work a horse for ten (10) days after receiving such treatment.
12. Trainer shall not enter a horse in any race if the horse has received more than two intra-articular injections of a corticosteroid into same intra-articular space within the previous sixty (60) days immediately preceding the
13. Trainer shall not permit any horse under the Trainer's care to participate in a timed workout if the horse receives NSAIDs during the Forty-Eight (48) hour period immediately preceding the workout.
14. Trainer shall not permit any horse under the Trainer's care to participate in a race if the horse receives a NSAID during the Forty-Eight (48) hour period immediately preceding the race or has been treated with a second NSAID that could appear in a post-work or post-race blood or urine test.
15. Trainer acknowledges and agrees that the entry of every horse will be reviewed by the association's "Entry Review Panel." Based on information deemed of concern to the Panel, should any member of the Panel consider a horse seeking entry to be unsuitable for any reason of horse and/or rider safety, in its sole discretion, the Panel shall request either the entry of that horse be refused or such entry scratched by the Stewards, in accord with *CHRB Rules 1542* and *1580*. The Panel's decision to request the Stewards deny entry or scratch a horse shall be final.
16. No veterinarian shall be recognized or considered an Attending Veterinarian unless and until Trainer and such veterinarian have agreed to be bound by this Agreement by submitting a fully executed copy of this Agreement to the Racing Office. Under no circumstances shall an examination conducted by a veterinarian who has NOT been identified as the Trainer's Attending Veterinarian in compliance with this paragraph constitute a valid examination for purposes of determining a horse's soundness/fitness to race, work, or train at LARC.
17. Regulatory veterinarians employed at LARC shall be entitled to contact, without prior notice, Trainer's attending veterinarian(s) to confirm that such examinations have been conducted pursuant to these rules, and that any such examination meets LARC's requirements.
18. Trainer shall not administer, directly or indirectly, or otherwise permit to be administered, any medication to a horse under Trainer's care that is racing or training at LARC UNLESS such medication is: (1) prescribed for that specific horse; and (2) administered strictly in accordance with CHRB regulations, and the prescription.

LOS ALAMITOS RACE COURSE

19. Both Trainer and Attending Veterinarian shall abide by all rules and regulations of the CHRB, expressly incorporated by reference herein, as they are presently adopted or as they may be amended (collectively, "CHRB Rules"). Trainer and Attending Veterinarian shall be responsible for obtaining and becoming familiar with such rules and regulations.
20. All horses stabled at LARC or an authorized auxiliary training facility are subject to blood testing by CHRB licensed veterinary personnel to confirm compliance with LARC's and/or the racing association's training medication policies. Trainer will not refuse to permit blood testing of any horse under Trainer's care and will ensure that all owners or other persons having care of any such horse fully cooperate in permitting blood testing of such horse.
21. In the event of an equine fatality, Trainer and the Attending Veterinarian of such horse acknowledge and agree that both shall attend a Fatality Review before a panel consisting of a qualified representative each from track management and the contracting horsemen's organization representing trainers at the race meet, the Track Veterinarian, and the Racing Secretary. The Panel may require the participation of any other person(s) or vendor believed to have relevant information. The death of two horses on the grounds within the custody and care of any one Trainer, which are attributed by the CHRB to a cause "Other" than racing or training, within any twelve month period will cause that trainer to be informed that his/her status as an invitee of the Association is under ongoing review and could be revoked following the subsequent death of another horse or horses within that trainer's care and custody, pursuant to *CHRB Rules 1436, 1437, and 1485(c)*. In accord with *Business & Professions Code, §§19431 and 19573*, the revocation of that Trainer's status as an invitee of the racing association may be appealed by the Trainer to the CHRB. Any Trainer wishing to appeal a revocation of invited status/participation privileges at LARC must do so within ninety-six (96) hours of being so notified by the racing association. Trainer and Attending Veterinarian acknowledge that any such Fatality Review will occur separate and apart from any fatality investigation conducted by the CHRB, including but not limited to the *Rule 1846.6 Postmortem Examination Review*.
22. Attending Veterinarian expressly acknowledges that LARC is situated on private property and that practicing veterinarian medicine on the grounds is a privilege, subject to these Rules & Conditions, as granted to him/her by LARC. Access to LARC shall be denied to an Attending Veterinarian that injects a horse contrary to the provisions of paragraphs 10, 11, 12, or 14, and/or intentionally is a party to the violation/circumvention of these Rules and Condition or applicable CHRB Rules.
23. Any violation of, or failure to comply with, these Rules and Conditions, or the Rules of the CHRB, may result in the immediate expulsion of Trainer and/or Attending Veterinarian from the current Los Alamitos Race Meet. Any such expulsion shall be at the racing association's sole and absolute discretion.
24. Any failure by LARC to enforce any terms, rules, or conditions of this Agreement shall not constitute a waiver by LARC, and shall not affect or impair this Agreement in any way, or the rights of LARC at any time to enforce this Agreement. LARC's approval or consent to any action proposed by Trainer or Attending Veterinarian shall not affect Trainer or Attending Veterinarian's obligation to strictly comply with these Rules and Conditions, and this Agreement.
25. As used herein, the term "Attending Veterinarian" shall include the undersigned veterinarian and any veterinarian who is a member of his/her veterinary practice.
26. By signing below, Trainer certifies that Trainer:
 - (a) Has read and fully understands the above Rules and Conditions for racing and training during the current Los Alamitos race meet;
 - (b) Will consult with Trainer's Attending Veterinarian or the CHRB official veterinarian if Trainer has any questions regarding such Rules; and,
 - (c) Will comply fully with such Rules and Conditions.
27. By signing below, the Attending Veterinarian certifies that he/she:
 - (a) Has read and fully understands the above Rules and Conditions for racing and training during the current Los Alamitos race meet;
 - (b) Will consult with the CHRB official veterinarian if he/she has any questions regarding such Rules; and,
 - (c) Will comply fully with such Rules and Conditions.

I HAVE READ AND AGREE TO THE FOREGOING RULES AND CONDITIONS AS OF THE DATE SET FORTH BELOW:

TRAINER

Date: _____

By: _____

Printed Name: _____

ATTENDING VETERINARIAN

Date: _____

By: _____

Printed Name: _____

Inclement Weather Policy

Policy Statement:

The California Horseracing industry recognizes that inclement weather may temporarily prevent the normal operations of the racetrack and that coordinated decision making promotes the health and safety of all participants, including the equine athletes and riders.

In anticipation of inclement weather events, the following policy and protocols will drive the decision-making process to either delay or cancel racing and training. The concern for the equine athletes, riders and all other participants' safety serves as the main driver when these decisions are made, and decisions should always strive to err on the side of caution. Each weather incident is unique, and each will demand individual attention and analysis.

Purpose:

The Purpose of this policy is to:

- Assign responsibility in evaluating inclement weather events;
- Assign responsibility in determining postponement and cancellations of racing and training;
- Clarify the responsibilities and expectations of the stakeholders in an inclement weather event;
- Clearly outline communication protocol around decisions of postponement and/or cancellations resulting from inclement weather;
- Outline protocols to be followed after an inclement weather event;
- Help define what constitutes an "unsafe" track for training or racing;
- Be consistent with the Association of Racing Commissioners International – Model Rules: **ARCI-007-025 (G)** and **ARCI-007-020(M)**.

Scope:

This policy applies to all racetracks and approved auxiliary training centers which are operating under CHRB rules and regulations. Note: Portions of this policy relating to sealed, floated or other similar practices will not be applicable to any synthetic racing surface, or surfaces used for Standardbred racing.

Date of Effect:

This policy shall take effect starting December 26th, 2020 and may be repealed or amended from time to time with the approval of the CHRB.

Track Superintendent:

The Racing Association must notify the CHRB of any change to the Track Superintendent position prior to making the change.

Racing Surface Maintenance Data Collection:

The Racing association shall collect data and maintain electronic records to assist in the consistent maintenance of all racing surfaces. This data shall be available for review and inspection by the

Board of Stewards, Equine Medical Director, TOC, CTT, and the CHRB when requested.

These data collection protocols shall include:

- **Watering and Moisture Content control:** Electronically document all watering and irrigation on main and turf tracks. Include details on the time of application, method of application, amount of water added (gallons) and area of the racing surface to which the water was applied. For water trucks, also direction of equipment. Quality control measurements of the moisture content should be checked daily to ensure consistency in the moisture content around a dirt or turf track. This should be measured, typically at least weekly, using a device such as the time domain reflectometry or other similar measurement methods.
- **Harrowing:** document the number of rounds and direction with the motor grader, cutting harrows, and conditioning harrows. Record the depth of the cutting harrows and conditioning harrows.
- **Material additions:** Document the source, timing, quantity and method of all additions to the surfaces including sand, silt, clay, organic material, or chemicals (e.g. acids) for dirt tracks; and top dressing, fertilizer, pesticides, fungicides, seeding and sod replacement for turf tracks.
- **Turf Track:** Electronically document the turf grass species, areas of re-sodding, and cut lengths.
- **Cushion depth:** Perform periodic, typically at least once per day during racing, cushion depth measurements by using a hand probe over the surface of the track. Depth of cushion should also be verified before and after any large movement of material from either grading or washouts. Data should be stored electronically to allow tracking of results over time to ensure that track grades do not get out of tolerance ranges prior to grading.
- **Material composition:** Perform testing of track material composition at least monthly per race meet. Each sample should be analyzed separately and not mixed with other samples. Composition should include at least the following tests:
 - **Turf Track:** Soil chemistry panel including soil pH, organic carbon, major nutrients (e.g. phosphorus, potassium, calcium, magnesium, and sulfur) and soluble salts to ensure that reviews of maintenance processes can be performed, all protocols for surface preparation, maintenance and quality control measures will be documented electronically outlining procedures and schedules for all of the items above. These electronic records shall be made available for review.
 - **Dirt tracks:** sieve and hydrometer data for all samples; and organic, soil chemistry, X-ray diffraction, bulk density, and salinity measurements for a single representative sample.
- **Surveying:** For dirt and synthetic tracks the method used, and measurements obtained should be documented to ensure that the cross-fall in the straights and transitions from the straights to the turns are consistent and within specification. A track survey should be performed at least once per year with the track referenced to survey markers, laser checks or GPS mapping. Grades should be documented for internal reference.

Incident Weather Events:

Severe or harsh weather environmental conditions that may include, without limitation:

1. Extreme Temperatures;
2. High Winds;
3. Lightning;
4. Precipitation;
5. Air quality;
6. Fog/Visibility.

1. EXTREME TEMPERATURES

Symptoms of heat stress in Thoroughbreds:

- Rapid shallow breathing (i.e. panting);
- Very high body temperature;
- Flared nostrils;
- A congestion appearance of the gums;
- Staggering or weakened gait;
- Abnormal, irrational behavior;
- In extreme circumstances – collapse.

Circumstances contributing to Heat Stress:

The susceptibility of a horse to heat stress may not solely be influenced by temperature or the prevailing conditions. Certain other factors may adversely affect an individual horse's ability to withstand racing in hot conditions.

These include:

- A horse traveling long distances prior to or on the same day as the race;
- The temperament of the horse;
- Younger horses less acclimatized to heat;
- Heavy sweating;
- Withholding drinking water on the day of race.

Requirements of Racetrack/Safety Steward:

It shall be the responsibility of the Track Superintendent/Safety Steward on any race day to;

- Obtain and monitor the forecast conditions for the race day;
- Initiate the Extreme Temperature Weather Policy when necessary, and ensure the provisions are appropriately observed, where applicable;
- Communicate with racetrack personnel regarding requirements;
- During the race day monitor the air temperature provided by commercial weather service (e.g. Universal Weather Service);
- Throughout the race day communicate and take advice from the Official Veterinarian regarding current conditions and the condition of any horse;
- Consider whether modifying the racing program may provide improved conditions; and
- Minimize the time horses are required to be in post parade.

Modification to the race day program may include advancement or delay in the races if it appears possible the extreme conditions might ease or can be avoided.

In the event a trainer is concerned with their horse coping with the current conditions and requests to be withdrawn from the race, the stewards shall consider all requests on merit and the stewards may seek the opinion of the Official Veterinarian before adjudicating any request.

Postponement and Cancellation:

In the event of a forecasted extreme temperature as defined below the decision to cancel racing shall be made by General Manager of the Association and confirmed by the Board of Stewards by applying

the Extreme Temperature Standard and Policy.

Notification of cancelation shall be communicated to the following:

- Jockey's Guild Representative;
- Board of Stewards;
- TOC and CTT

Heat Stress Index:

Horses generate heat during relatively short episodes of intense exercise, such as racing. Heat and humidity can affect horses more than humans. An important calculation when determining the risk to a horse during hot and humid conditions is the Heat Stress Index (HSI), which is a simple way to estimate the ability of a horse to dissipate heat naturally. Horses' ability to dissipate heat begins to decrease at HSI 130; horses cannot dissipate heat at or above HSI 180. HSI is calculated by adding the current temperature (in Fahrenheit) and the relative humidity (in % RH). The total determines the conditions. For example, if it is 77 degrees out and the relative humidity is 65%, added together the heat index is 142.

Heat mitigation precautions should be in place whenever HSI is expected to exceed 130. These precautions should include the following:

1. strategically placed hoses for both before and after the race;
2. ice buckets with sponges in the unsaddling area;
3. ice blankets in coolers in ambulances/ track veterinarian vehicles; and
4. additional monitoring of horses post-race for heat-related problems.

180 or more: The horse has no natural means to cool off, and the rider/handler must provide aggressive cooling.
150 or more: The horses cooling mechanisms are reduced and supplemental cooling must be provided.
130 or more: The horse's cooling is mildly diminished and some horses may need assistance.
Less than 130: The horse can cool itself.

In the event HSI exceeds 160, any determination to proceed with racing or training requires the approval of the stewards based upon a recommendation from the Official or Association Veterinarian.

No training or racing shall be permitted when HSI exceeds 175.

Post-Race Attention:

All Trainers shall ensure that post-race, horses are cooled down promptly and adequately. Trainers

should be alerted that unless appropriate care is provided, a horse's core temperature may continue to RISE for up to 20 minutes post-race.

2. HIGH WINDS

This procedure outlines the various steps that will be taken to safeguard riders and equine athletes and minimize risk in the event of high winds across the racetrack. Training and Racing restrictions may be implemented during high winds to protect the riders and equine athletes' safety.

Wind speed and direction will be regularly monitored by the Track Superintendent or his staff and recorded on a daily basis.

Equipment:

The racetrack shall install an **anemometer** near the racing surface at the approximate height of the rider.

Wind speed threshold:

- **Sustained 38 mile per hour and/or gusts over 50 miles per hour** – Racetrack management will temporarily suspend Racing and Training.
- A warning about the potential need to cancel will be communicated by the racetrack management to the following:
 - Jockey's Guild representative;
 - Board of Stewards;
 - TOC and CTT.
- The final decision to cancel will be made by the General Manger of the Association and confirmed by the Board of Stewards and communicated to same parties above.

3. LIGHTNING

The following protocols for lightning are consistent with the Association of Racing Commissioners International model rule **ARCI-007-020**. The racing association shall install a real-time lightning detector and warning system that has been independently and objectively verified and subscribe to a commercial weather service (e.g. Universal Weather Service) that provide lightning detection services.

The detection service must include strike distance and radius notifications in order to provide lightning delay decision-making.

The lightning detection information shall be monitored by the Track Superintendent, or his staff during training and racing hours. This information will also be available to racetrack management and stewards at all times.

Suspension of Racing and Training:

When lightning is detected within an **8-mile radius of the racetrack** the following actions will take place:

- Track Superintendent shall notify racetrack management immediately;
- Racetrack management will suspend racing and training;
- Racetrack Management will notify the Board of Stewards and Jockey's Guild representative;
- Alert all participants to seek shelter;
- Track Superintendent will be responsible for enforcing training delay.

Resume Activity:

Racing and training activities may resume 30 minutes after the last lightning strike within an 8-mile radius of the racetrack as indicated by the lightning detection service.

4. PRECIPITATION

The following protocols will assist with the decision-making process to either suspend or cancel racing until the track is deemed safe after an extreme precipitation event. The first priority of all decisions made shall be the well-being and safety of the equine athletes and riders.

These protocols are consistent with Association of Racing Commissioners International model rule **ARCI-007-025(G)**.

Weather Monitoring:

The Racing association shall subscribe to a commercial weather service (e.g. Universal Weather Service) and install a professional weather station that will provide the following information:

- Temperature;
- Relative Humidity;
- Rainfall amount;
- Wind Speed;
- Wind Direction;
- Barometric Pressure;
- Solar Radiation.

Weather Data Collection:

All weather data shall be maintained on a daily basis by the Track Superintendent and recorded in electronic format that shall be made available to racetrack management and Board of Stewards. Any precipitation event during racing and training will require the rainfall data to be logged in 15-minute increments.

Dirt Track:

Sealed or Floated Track: is a track surface that has been smoothed and compacted utilizing specialized equipment. A sealed dry track allows water to run off the track, reducing the amount of precipitation absorbed. Wet tracks are sealed to provide a safe and even racing surface. Periodically, a “float”, which is a lighter slab of metal, will be pulled over the track to squeeze off surface water.

When precipitation is forecasted the Track Superintendent will analyze the following:

- Total amount of rainfall;
- Duration of rainfall;
- Predicted timing relative to training or racing.

Based on data received, the Track Superintendent will make a determination if the track needs to be sealed and or floated. When the track is sealed, horses will **NOT** be permitted to train on the surface.

Any decision to race on a sealed or floated track will be made based upon a decision by the Track Superintendent that in his or her professional opinion the resulting track surface is safe for the conduct

of races. That decision shall also provide that the conduct of racing will not jeopardize the safe conduct of racing or training on days subsequent to the inclement weather event. That decision will require the unanimous consent of a committee consisting of the Track Superintendent, Association General Manager, Jockeys Guild Representative, and Safety Steward subject to confirmation by the Board of Stewards. Notice of that decision will be provided to the following:

- Board of Stewards;
- Jockeys Guild;
- TOC;
- CTT.

When the rain event has ended the Track Superintendent will determine the optimal time to harrow the track using the float rake or conditioning harrows. Once the track is harrowed after being sealed, no horses will be permitted to engage in high speed workouts over the harrowed track for at least 24 hours. High speed workouts may resume only when approved by the Track Superintendent.

No Racing or Training shall be permitted on any surface deemed unsafe by the Track Superintendent or Board of Stewards.

Turf Track:

When deciding to take racing off the turf the safety of the equine athletes and rider must be the driving factor.

During a rain event the Turf Track Supervisor will continually monitor turf course to determine the amount of moisture in the track by using the following methods:

- Visual inspections;
- Walking the course;
- Using a GoingStick or probe.

If the Turf Track Supervisor determines there is too much moisture in the track and could be unsafe, he will notify the General Manger immediately. The decision to take races off or leave races on the turf shall be made by the General Manager of the association subject to confirmation by the Board of Stewards. Notification shall be made to the following:

- Jockey's Guild;
- TOC;
- CTT.

5. Air Quality

The U.S. Air Quality Index (AQI) is an index developed by the Environmental Protection Agency to measure air quality. The higher the number (1-500), the higher the level of concern.

The projected AQI for a given location can be found at <https://www.airnow.gov/>.

Tracks may also utilize on-site AQI monitors.

Daily AQI Color	Levels of Concern	Values of Index	Description of Air Quality
Green	Good	0 to 50	Air quality is satisfactory, and air pollution poses little or no risk.
Yellow	Moderate	51 to 100	Air quality is acceptable. However, there may be a risk for some people, particularly those who are unusually sensitive to air pollution.
Orange	Unhealthy for Sensitive Groups	101 to 150	Members of sensitive groups may experience health effects. The general public is less likely to be affected.
Red	Unhealthy	151 to 200	Some members of the general public may experience health effects; members of sensitive groups may experience more serious health effects.
Purple	Very Unhealthy	201 to 300	Health alert: The risk of health effects is increased for everyone.
Maroon	Hazardous	301 and higher	Health warning of emergency conditions: everyone is more likely to be affected.

Responsible Parties

During an extreme air pollutant event, including when smoke from wildfires is forecasted or detected, the General Manager of the track shall be responsible for monitoring air quality daily during extreme air pollutant events and communicating with the association and regulatory veterinarians. The General Manager (GM) of the track, representing the racing association, and the Equine Medical Director (EMD), representing the state racing regulatory body, will assess the situation and determine the safest course of action for the equine population. The GM and EMD reserve the right to recommend cancellation of racing, training, or otherwise restrict activity at any time if conditions are deemed unfavorable.

AQI Ratings and Recommendations

The GM and EMD may use the Air Quality Index (AQI) for guidance. Because the AQI is not measured directly at the track the AQI will be considered in conjunction with conditions observed at the racetrack. Generally, however, the following recommendations will be used:

AQI=100-150

If the forecasted AQU is projected to reach 100, this could be considered unhealthy for some members of the human population. The GM, and EMD may begin to monitor the facility daily if the AQI consistently reaches 100 and there is cause for concern in the local environment, including the presence of a wildfire in the area.

AQI= 150-174

If the forecasted AQI is projected to reach 150, the CVO, GM, and EMD will closely monitor the facility and may limit training to jogging and/or jogging and gallops only and be prepared to take further action if conditions worsen.

AQI = 175+

If the forecasted AQI is 175 or higher, the CVO, GM, and EMD will restrict activity at the track, including but not limited to:

- Canceling live racing (if applicable);
- Canceling official workouts; and
- Prohibiting galloping, breezing or anything more strenuous than a jog.

The GM and EMD may elect to allow horses to access the track for necessary daily exercise (walk or trot only) for their safety and welfare.

Cancellation of Track Activities

If recommended by the GM, and EMD, live racing and/or training will be canceled due to unsafe air conditions. The GM, and EMD will recommend to the Stewards that racing be canceled. The racing office will notify horsemen if access to any of the tracks is restricted.

6. Fog/Visibility

In the event of impaired visibility, the Board of Stewards, the GM of the association and the Jockeys' Guild representative shall meet to determine if the races should be cancelled or delayed. If any entity in the aforementioned group believes that the visibility is compromised such that racing would be unsafe, then the races shall be cancelled or postponed. If the evidence indicates that visibility will improve, the races may be delayed for a period not to exceed one hour, at which time the group will meet again to decide if the races can safely continue.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION
(PRAI) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY PRAI AT ITS DECEMBER 23, 2020
THROUGH JUNE 15, 2021 RACE MEETING AT GOLDEN GATE FIELDS

Regular Board Meeting
December 17, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken.

RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS
December 17, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING DECEMBER 23, 2020, AND CONTINUING THROUGH JUNE 15, 2021

Pacific Racing Association (PRA) has filed its application to conduct a Thoroughbred horse racing meeting at Golden Gate Fields (GGF) as follows:

- December 23, 2020, through June 15, 2021, racing 98 days, four more than proposed for 2020. Live racing will commence December 26, 2020, and continue through June 13, 2021. PRA proposes to race a total of 792 to 996 races, or 8.08 to 10.16 races per day. During its winter–spring 2020 race meeting, PRA averaged 8.79 races per day and 6.93 runners per race. The average daily purse for the winter–spring 2020 race meeting was \$189,089. The estimated average daily purse for this meet is \$188,832.
- The following proposed race dates fall within the dates allocated by the Board at its October 22, 2020 regular meeting.

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

March 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

May 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

June 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing four days per week, Thursday through Sunday, with the following exceptions:
 - No racing Thursdays, January 21, February 18, and June 3, 2021
 - Racing on Mondays, January 18, February 15, and May 31, 2021
- Racing concurrently with the dates allocated to Los Angeles Turf Club.
- First post 12:45 p.m. on weekdays; 12:45 on weekends through April 25, 2021, then 1:15 p.m. on weekends beginning May 1, 2021; with the following exceptions:
 - Saturday, December 26, 2020 Opening day 12:15 p.m.
 - Sunday, February 7, 2021 Super Bowl 11:15 a.m.
 - Saturday, May 1, 2021 Kentucky Derby 11:45 a.m.
 - Saturday, May 15, 2021 Preakness 11:45 a.m.
 - Saturday, June 5, 2021 Belmont Stakes 11:45 a.m.
- Wagering program will use CHRB and ARCI rules.

PRA requests approval to use a modified version of ARCI rule for its Pick 6 contest. PRA also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

Alternate Wagering Interest

PRA requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

PRA requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for “unique.” PRA is requesting approval to use an alternative definition that is not

referenced in the ARCI Model Rules or in the CHRB's rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA definition.

PRA also requests approval of a change to the ARCI Model Rules during instances of late surface changes. PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a "Win All," not a "no contest." Also, PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day's net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
 - Advance wagering will be offered Friday, April 30, 2021, for the Kentucky Derby; Friday, May 14, 2021, for the Preakness; and Friday, June 4, 2021, for the Belmont Stakes.
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double. Requests 20% takeout.
 - \$.50 Pick 3.
 - \$.50 Pick 4 on races 2–5 and last four races each day. No consolation. 100% payout. Alternate runner provision.
 - \$.50 Pick 5 on first five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Early Pick 5 if no ticket has five winners. Alternate runner provision. Requests 14% takeout.
 - \$.50 Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Late Pick 5 if no ticket has five winners. Alternate runner provision.
 - \$1 Super High Five on all races that have 7 or more declared starters. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race (including next race day, if applicable).
 - \$.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
- IMPORTANT NOTE REGARDING ITEM 10C: PRA requests "that the Board approve additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified..." This is not allowed, as the Executive Director does not have that discretion. The Board must approve any such mandatory distributions.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpire; NYRABets,

LLC (NYRA Bets); ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC (XpressBet).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
 - There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with Business and Professions Code section 19604:
 - ADW agreement allowing BetAmerica to accept wagers from California residents on races conducted by PRA through December 31, 2020. However, section 16 of simulcast wagering agreement allows terms and provisions to remain in effect after expiration if Monarch Content Management, LLC (MCM) permits BetAmerica to continue accepting wagers. MCM has indicated it will allow said wagers to continue till new agreement is reached.
 - ADW agreement allowing NYRA Bets to accept wagers from California residents on races conducted PRA through April 26, 2021.
 - ADW agreement allowing TwinSpires to accept wagers from California residents on races conducted by PRA through December 31, 2020. However, section 16 of simulcast wagering agreement allows terms and provisions to remain in effect after expiration if MCM permits TwinSpires to continue accepting wagers. MCM has indicated it will allow said wagers to continue till new agreement is reached.
 - ADW agreement with TVG Network **expires** December 22, 2020, before start of proposed race meet. However, section 16 of simulcast wagering agreement allows terms and provisions to remain in effect after expiration if MCM permits TVG Network to continue accepting wagers. MCM has indicated it will allow said wagers to continue till new agreement is reached.

- ADW agreement with XpressBet **expires** December 22, 2020, before start of proposed race meet. However, PRA section 16 of simulcast wagering agreement allows terms and provisions to remain in effect after expiration if MCM permits XpressBet to continue accepting wagers. MCM has indicated it will allow said wagers to continue till new agreement is reached.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to Business and Professions Code section 19602, and with authorized locations in southern California.
- Requests Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety and backstretch worker housing inspections have been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. List of northern California simulcast facilities PRA proposes to offer its live audiovisual signal.
2. Simulcast agreements with each of the northern California simulcast facilities.
3. Fire clearance from Berkeley fire department in compliance with Rule 1927 [fire clearance completed 9/22/2020; request submitted for exemption from 45-day provision of Rule 1927].
4. Race meet agreement with California Thoroughbred Trainers.
5. Race meet agreement with Thoroughbred Owners of California.

Specific information that **will need to be updated** during the proposed race meet:

1. ADW agreement with BetAmerica expires January 1, 2021, but per provisions in simulcast wagering agreement will continue under current terms till new agreement is reached.
2. ADW agreement with NYRA Bets expires April 27, 2021.
3. ADW agreement with TwinSpires expires January 1, 2021, but per provisions in simulcast wagering agreement will continue under current terms till new agreement is reached.
4. ADW agreement with TVG Network expires December 22, 2020, but per provisions in simulcast wagering agreement will continue under current terms till new agreement is reached.
5. ADW agreement with XpressBet expires December 22, 2020, but per provisions in simulcast wagering agreement will continue under current terms till new agreement is reached.
6. Fire clearance from Albany Fire Department is valid through December 31, 2020.

RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of all outstanding items prior to the start of the proposed race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 23, 2020 through June 15, 2021**

B. Actual dates racing will be held: **December 26-27, December 31–January 3;**
January 7-10, January 14-18, January 22-24, January 28-31; February 4-7, February 11-15,
February 19-21, February 25-28; March 4-7, March 11-14, March 18-21, March 25-28;
April 1-4, April 8-11, April 15-18, April 22-25, April 29- May 2; May 6-9, May 13-16,
May 20-23, May 27-31; June 4-6, June 10-13

CHRB CERTIFICATION

Application received: 9/22/20
Deposit received: Yes
Reviewed: RP

Hearing date: 11/19/20
Approved date:
License number:

- C. Total number of days or nights of racing: **98 days**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week: **4 days per week**
Racing Thursdays through Sundays
Exceptions: Dark Days no racing on 1/21/21, 2/18/21, 06/03/21
Racing on Mondays: 1/18/21, 2/15/21, and 5/31/21

3. RACING PROGRAM

- A. Total number of races: **792–996**
- B. Number of races for each day or night:
- **7 – 9 races on weekdays**
 - **9 – 11 races on weekends**
 - **8 – 11 races on holidays**
 - **Exceptions:**
 - **Friday, April 30th 1st will have 8 – 10 races**
 - **Saturday, May 1st will have 9– 12 races**
 - **Friday, May 14th will have 8 – 10 races**
 - **Saturday, May 15th will have 9 – 12 races**
 - **Saturday, June 5th will have 9 – 12 races**
 - **Sunday, June 13th will have 9 - 12 races**
- C. Total number of stakes races: **12 (11 Non-Overnight and 1 Overnight)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

PRA/GGF WINTER - SPRING 21-20-19

PRA/GGF WINTER - SPRING 2021
December 26, 2020 - June 13, 2021
STAKES SCHEDULE

EL CAMINO REAL DERBY
\$100,000 Guaranteed
3 yo - 1 1/8 Miles
Saturday, February 13, 2021

CALIFORNIA DERBY
\$100,000 Guaranteed
3 yo - 1 1/16 Miles
Saturday, April 24, 2021

CALIFORNIA OAKS
\$75,000 Guaranteed
Fillies 3 yo- 1 1/16 Miles
Saturday, April 24, 2021

LOST IN THE FOG
\$75,000 Guaranteed
4 yo & up - 6 Furlongs
Saturday, April 24, 2021

CAMILLA URSO
\$75,000 Guaranteed
Fillies & Mares 4 yo & up - 5 Furlongs (Turf)
Saturday, April 24, 2021

GOLDEN POPPY
\$75,000 Guaranteed
Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)

PRA/GGF WINTER - SPRING 2020
December 26, 2019 - June 14, 2020
STAKES SCHEDULE

EL CAMINO REAL DERBY
\$100,000 Guaranteed
3 yo - 1 1/8 Miles
Saturday, February 15, 2020

ALL AMERICAN
\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 25, 2020

CAMILLA URSO
\$75,000 Guaranteed
Fillies & Mares 3 yo & up - 5 Furlongs (Turf)
Saturday, May 30, 2020

CALIFORNIA OAKS
\$75,000 Guaranteed
Fillies 3 yo- 1 1/16 Miles
Sunday, May 31, 2020

ALBANY
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 6, 2020

GOLDEN POPPY
\$75,000 Guaranteed

PRA/GGF WINTER - SPRING 2019
December 26, 2018 - June 9, 2019
STAKES SCHEDULE

EL CAMINO REAL DERBY
\$100,000 Guaranteed
3 yo - 1 1/8 Miles
Saturday, February 16, 2019

CALIFORNIA DERBY
\$100,000 Guaranteed
3 yo - 1 1/16 Miles
Saturday, April 27, 2019

CALIFORNIA OAKS
\$75,000 Guaranteed
Fillies 3 yo- 1 1/16 Miles
Saturday, April 27, 2019

LOST IN THE FOG
\$75,000 Guaranteed
4 yo & up - 6 Furlongs
Saturday, April 27, 2019

CAMILLA URSO
\$75,000 Guaranteed
Fillies & Mares 4 yo & up - 5 Furlongs (Turf)
Saturday, April 27, 2019

GOLDEN POPPY
\$75,000 Guaranteed
Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)

Saturday, April 24, 2021

SF MILE - GIII

\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Saturday, April 24, 2021

CAMPANILE (Golden State Series Stakes)

\$100,000 Guaranteed
(Includes \$37,500 CBRF)
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 25, 2021

SILKY SULLIVAN (Golden State Series Stakes)

\$100,000 Guaranteed
(Includes \$37,500 CBRF)
3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 25, 2021

ALCATRAZ

\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Sunday, May 23, 2021

ALL AMERICAN - GIII

\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 31, 2021

ALBANY

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 12, 2021

Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
Sunday, June 7, 2020

ALCATRAZ

\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Saturday, June 13, 2020

SF MILE - GIII

\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Sunday, June 14, 2020

Saturday, April 27, 2019

SF MILE - GIII

\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Saturday, April 27, 2019

CAMPANILE (Golden State Series Stakes)

\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 28, 2019

SILKY SULLIVAN (Golden State Series Stakes)

\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 28, 2019

ALCATRAZ

\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Sunday, May 19, 2019

ALL AMERICAN - GIII

\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 27, 2019

ALBANY

Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 8, 2019

Race No.	All weekdays during the meet, and all weekends between December 26, 2020 – April 25, 2021	Race No.	All weekends beginning May 1, 2021
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 th (when applicable)	5:15 p.m.	10 th (when applicable)	5:45 p.m.
11 th (when applicable)	5:45 p.m.	11 th (when applicable)	6:15 p.m.
12 th (when applicable)	6:15 p.m.	12 th (when applicable)	6:45 p.m.

Exceptions:

Saturday, December 26, 2020	Opening Day	12:15 p.m.
Sunday, February 7, 2021	Super Bowl	11:15 a.m.
Saturday, May 1, 2021	Kentucky Derby	11:45 a.m.
Saturday, May 15, 2021	Preakness	11:45 a.m.
Saturday, June 5, 2021	Belmont Stakes	11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Craig Fravel, President (Officer), 0 Shares
Scott Daruty, Senior Vice President (Officer), 0 Shares
David Duggan, General Manager (Officer), 0 Shares
Rebecca Neimark, Chief Financial Officer (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Greg Harnish, Secretary (Officer/Director), 0 Shares
Eric Sindler, Assistant Secretary (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Development Investments Inc.
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Not applicable
5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Not applicable

- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Craig Fravel, President
Scott Daruty, Senior Vice President
Mike Rogers, Vice President, Operations

Greg Harnish, Secretary
Rebecca Neimark, Chief Financial Officer
David Duggan, Vice President & General Manager
Eric Sindler, Assistant Secretary & General Counsel, California Racing Operations
William Rizzuto, Assistant General Manager
Cynthia Seidl, Senior Manager of Administration
Cindy Medina, Assistant Controller
Doug Gooby, Mutuel Manager
Dan Cirimele, Senior Director of Marketing
Matt Dinerman, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

September 14, 2020

Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Scott:

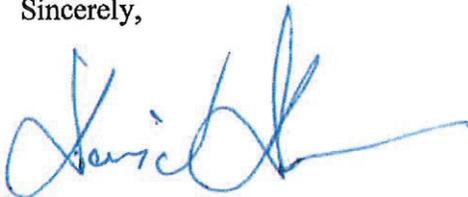
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 23, 2020 through June 16, 2021 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan
Vice President & General Manager



September 25, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2020-21 Winter-Spring Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 23, 2020 – June 15, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl



September 25, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields 2020-21 Winter-Spring Race Meet

Dear Scott,

Golden Gate Fields has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 23, 2020 – June 15, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Cynthia Seidl

Year	Handle	Attendance
2020 (12/26/20 – 6/14/20)	\$ 10,716,406.22 (71 Race Days)	44,422 (40 race days with fans)
2019 (12/26/18-6/9/19)	\$ 31,385,502.70	146,380 (94 live race days)
2018 (12/26/17-6/10/18)	\$ 34,006,914.29	172,462 (95 live race days)
2017 (12/26/16-6/18/17)	\$ 37,682,905.50	187,631 (99 live race days)
2016 (12/26/15-6/12/16)	\$ 36,428,245.30	177,602 (97 live race days)
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet represents December 26, 2019 through June 14, 2020 (71 live race days, 26 days lost)*

1. All races other than stakes:

Current meet estimate: **\$ 15,060,554**

Prior meet actual: **\$ 10,980,442**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$ 153,679**

Prior meet actual: **\$ 154,654**

2. Overnight stakes:

Current meet estimate: **\$ 50,000.00**

Prior meet actual: **\$ 50,000.00**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$ 510.00**

Prior meet actual: **\$ 704.00**

3. Non-overnight stakes:

Current meet estimate: **\$ 1,125,000.00**

Prior meet actual: **\$ 684,350.00**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$ 11,480.00**

Prior meet actual: **\$ 9,639.00**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$ 16,235,554.00**

Prior meet actual: **\$ 11,714,792.00**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **17.8%**

Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.18%**
 Prior meet actual: **0%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$ 1,923,221.00**
 Prior meet actual: **\$ 1,393,354.00**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization
 Current meet estimate:

Prior meet actual:

TOC (NTRA contribution)	\$ 23,491.00	TOC (NTRA contribution)	\$ 21,355.00
TOC	\$ 129,309.00	TOC	\$ 118,327.00
CTT (Administration)	\$ 64,655.00	CTT (Administration)	\$ 59,164.00
CTT (Pension)	<u>\$ 129,309.00</u>	CTT (Pension)	<u>\$ 118,327.00</u>
Total	\$ 346,764.00	Total	\$ 317,173.00

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: **\$ 18,505,539.00**
 Prior meet actual: **\$ 13,425,319.00**

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: **\$ 188,832.00**
 Prior meet actual: **\$ 189,089.00**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
 Current meet estimate: **\$ 2,842,332.00**
 Prior meet actual: **\$ 2,668,631.00**

Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: **\$ 29,003.00**
 Prior meet actual: **\$ 37,586.00**

G. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$ 12,419,766.00**
 Prior meet actual: **\$ 11,223,430.00**

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: **\$ 126,732.00**
 Prior meet actual: **\$ 158,076.00**

H. Bank and account number for the Paymaster of Purses' purse account: **On file**

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**

***PRA /PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Casille Batten	510-559-7300
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays:	AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday:	AM – Dr. Sara Sporer PM – Dr. William Grantham

TBD – Rotating schedule amongst list from exhibit A

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **Group Bet is not being carried forward.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Mandatory payout on closing day, June 13, 2021. Additionally, we request that the board approve additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified at least seven (7) days before any such mandatory distribution and such notification includes a justification for the distribution and an explanation of the benefit to be derived.**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for “early bird” wagering:
Advance wagering will be offered Friday, April 30, 2020 for the Kentucky Derby, Friday, May 14, 2021 for the Preakness, and on Friday, June 4, 2021 for the Belmont Stakes
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

See attached wagering menu.

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X	X		X			X
2	X	X	X	X	X	X	X	X			*	X
3	X	X	X	X	X	X	X			*	*	X
4	X	X	X	X	X	X	X	*		*	*	X
5	X	X	X	X	X	X	X	*		*	*	X
6	X	X	X	X	X	X	*	*		*	*	X
7	X	*	X	X	X	*	*	*		*		X
8	X	*	X	X	X	*	*	*		*		X
9	X	*	X	X	X	*	*	*				X
10	X	*	X	X	X	*	*					X
11	X	*	X	X	X	*						X
12	X		X	X	X							X

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated September 17, 2020 is denied.

GOLDEN GATE FIELDS

September 17, 2020

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Scott,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 23, 2020 through June 15, 2021 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) Contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(i) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet
TwinSpire (BetAmerica is not included)
TVG
NYRABets
BetAmerica

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the Board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Forwarded to Board upon receipt**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
N/A

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
N/A

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
(Southern California only)

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
See Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Attached

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavilion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location **Bakersfield-Firehouse**
Address 7701 White Lane
City Bakersfield, CA 93307

Location **OC Tavern Sports Bar and Grill**
Address 2369 S. El Camino Real
City San Clemente, CA 92672

Location **Bonita-58 Flat**
Address 4110 Bonita Road
City Bonita, CA 91902

Location **Sammy's Original**
Address 23221 Lake Center Drive
City Lake Forest, CA 92630

Location **Cabazon-Fantasy Springs Casino**
Address 84-245 Indio Springs Drive
City Indio, CA 92201

Location **Santa Anita**
Address 285 W. Huntington Drive
City Arcadia, CA 91007

Location **Commerce Casino Racebook**
Address 6131 East Telegraph Road
City Commerce, CA 90040

Location **Santa Clarita**
Address 21615 W. Soledad Canyon Road
City Saugus, CA 91350

Location **Fairplex Park**
Address 2201 North White Avenue
City Pomona, CA 91768

Location **Surfside Race Place at Del Mar**
Address 2260 Jimmy Durante Blvd
City Del Mar, CA 92014

Location **Hollywood Park**
Address 3383 W. Century Blvd.
City Inglewood, CA 90303

Location **Thousand Oaks -Tilted Kilt**
Address 1345 E. Thousand Oaks Blvd.
City Thousand Oaks, CA 91360

Location **Lake Elsinore Hotel & Casino**
Address 20930 Malaga Road
City Lake Elsinore, CA 92530

Location **Ventura-The Derby Club**
Address 10 West Harbor Blvd.
City Ventura, CA 93001

Location **Lake Perris-Sports Pavillion at The Farmer's Fair**
Address 18700 Lake Perris Drive
City Perris, CA 92571

Location **Victorville-San Bernardino Fair Sports Pavilion**
Address 14800 7th Street
City Victorville, CA 92395

Location **Lancaster-Watch and Wager, Antelope Valley Fair**
Address 2551 West Avenue - H
City Lancaster, CA 93536

Location **Viejas Casino & Turf Club**
Address 5000 Willows Road
City Alpine, CA 91901

Location **Los Alamitos**
Address 4961 Katella Avenue
City Los Alamitos, CA 90720

Location **Pechanga Resort and Casino**
Address 45000 Pechanga Pkwy
City Temecula, CA 92592

Location **National Orange Show-Sports Show**
Address 930 South Arrowhead Avenue
City San Bernardino, CA 92408

Golden Gate Commingled Locations

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Scarborough Downs
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Scarlet Pearl Casino (MS)
Caribbean locations	Evangeline Downs	Meadowlands (NJ)	Scioto Downs
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Seabrook Greyhound ADW
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	Southland Greyhound
Arima Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	State Fair (Lincoln, NE)
Arlington I	Favorites at Vineland (NJ)	Monmouth Park (NJ)	Suaposta (Brazil) ADW
Bangor Raceway	Finger Lakes	Montana OTB	Suffolk District OTB
Batavia	Finger Lakes ADW	Monticello	Suffolk Downs
Belterra Park	Fonner Park	Mountaineer Park	Sunland Park
BetAmerica	Freehold (NJ)	Nassau Regional OTB	SunRay Park & Casino
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Borgata Casino (NJ)	Global Wagering Group	Newport Jai Alai	Taunton ADW
Buffalo Raceway	Global Wagering Solutions	New Jersey ADW (4NJBets)	Taunton Dog Track Inc.
Canterbury Park	Betsson, OneXTwo, German Tote	New Palace Casino (MS)	The Downs at Albuquerque
Capital District OTB	Racebets, Sportech Netherlands,	Northfield Park	Thistledown
Catskills OTB	Magna Bet, Pferdewetten, Dantoto	Cedar Downs OTB	Tioga Downs
Charles Town Race Course	Boylesports, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Churchill Downs	Grants Pass (& Oregon OTB's)	NYRA	Turf del Norte (Mexico)
Colonial Downs	Greenetrack	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Columbus Raceway	Greyhound at Post Falls	Oaklawn Park	Turf Paradise
Connecticut OTB	Gulf Greyhound	Oaklawn Park ADW	Turfway Park
Bradley Teletheater, Bristol	Gulfstream Park	Ocean Downs	TVG
New Britain, Norwalk, Milford	Harrah's Philadelphia (Chester)	Panama	TVG High Volume
East Haven, Hartford, Putnam	Harrington Raceway	PariBet ADW	Twin River Greyhound
Shoreline Star, Sports Haven	HarringtonBets ADW (DE regional)	PariBet OTB (North Dakota)	TwinSpires
Torrington, Waterbury	Hawthorne Race Course	PARX	TwinSpires High Volume
Manchester, New London	Hawthorne ADW	PARX ADW	Velocity Wagering Ltd.
Willimantic, Sanford OTB	Hoosier Park	Penn National	Venezuela OTB
John Martin's Manor Restaurant	Horseman's Park	Penn National ADW	Vernon Downs
Connecticut OTB ADW	Hill)	Peru	WatchandWager ADW
Coushatta Casino	Idabet	Phumelela	Western OTB
Paragon Casino	Indiana Downs	Plainridge Race Course	Wheeling Downs
Ho-Chunk Casino	Clarksville/Evansville OTB	Plainridge ADW	Will Rogers Downs
Mohegan Sun Casino	Intermountain Racing	Player Management Group	Wyoming OTB
Oneida Bingo and Casino	Jockey Club Brasilia	Pocono Downs ADW	Wyoming Downs
Pony Bar Simulcast Center	Keeneland	Pocono Downs/OTB	XpressBet
Tote Investment Racing	Keeneland Select ADW	Potawatomi Casino/ OTB	Yonkers Raceway
Randall James Racetrack	Kentucky Downs	Prairie Meadows	Yonkers ADW (EmpireCityBets)
Millenium Racing	Kentucky OTB	Premier Gateway International	Yobet Group 1
Royal Beach Casino	Lewiston OTB's	Premier Turf Club	Zia Park
Divi Carina Bay Casino	Lien Games	Presque Isle	123Gaming
Winner's Circle	Chips Lounge/Rumors OTB	Remington Park	
Camouflage Gaming	Howard Johnsons OTB	Remington OTB Network	Separate Pool Locations
Corpus Christi Greyhound	Skydancer Casino OTB	Relama Park	Camarero (Puerto Rico)
Dayton Raceway	X the Spot (Virgin Islands)	Racing & Gaming Services	Caymanas (Jamaica)
Delaware Park	Offtrackbetting ADW	Ruidoso Downs	Chile
Delta Downs	Lone Star	Running Aces Harness Park	Codere (Mexico/Spain)
Dover Downs	Louisiana Downs	Sam Houston	MIR Books (Caliente)
Dubuque (Iowa Greyhound)	LVDC	Valley Greyhound Park	NDS Books (Nevada)
Wild Rose OTB's	Atlantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
Egg Harbor ITW (NJ)	Buffalo Thunder Resort	Saratoga Bets (ADW)	XB (GWS)
Elite Turf Club	Foxwoods Resort Casino		
	Mahoning Valley		

Canadian Locations

Assiniboia, Century Downs, Century Mile, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Flamboro Downs, Fraser Downs
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs
 Mohawk, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres
 Track On 2, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:
See attached.

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).
Forwarded to the Board upon receipt.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

2021 Import Tracks	
Arlington	Lone Star
Assiniboia	Louisiana Downs
Australia Racing	Mahoning Valley
Belterra	Monmouth Park
Canterbury	Mountaineer Park
Century Downs	Northlands
Century Mile	NYRA - Aqueduct, Belmont, Saratoga
Charles Town	Oaklawn Park
Churchill Downs	Parx (Philly Park)
Delaware Park	Penn National
Delta Downs	Pimlico
Downs at Albuquerque	Prairie Meadows
Ellis Park	Presque Isle
Emerald Downs	Retama Park
Evangeline Downs	South America Racing
Fair Grounds	Sunland Park
Fort Erie	Sunray Park
Gulfstream Park	Tampa Bay Downs
Gulfstream Park West	Thistledown
Hastings Park	Turf Paradise
Hawthorne	Turfway Park
Indiana Grand	United Kingdom
Keeneland	Woodbine
Kentucky Downs	Zia Park
Laurel Park	Hong Kong

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Casille Batten D.V.M (TSG Veterinarian)
	Mark Christin, D.V.M (Track Veterinarian)
	Forrest Franklin, D.V.M. (CHRB Veterinarian)
	Ashton Cloninger, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjoldal
Assistant Clerk of Scales	Kenneth Fowler
Clerk of the Course	Tina Walker-Bryant
Placing Judge/Stakes Coordinator	Lisa Jones
Horse Identifier	Gary Greiner
Horseshoe Inspector	Agustin Rayas
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Film Analyst	Morgann Schlessner
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike
- B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey

Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Claudia Dominguez
Others (identify by name and title)	
Main Track Superintendent	Juan Meza
Turf Track Superintendent	Hugo Sandoval
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Devon Dunham

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien; expires December 31, 2020. We will inform the board when the contract is extended.**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company; expires December 31, 2021.**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**William Rizzuto Assistant General Manager (responsible for security on-site)
Security Department organizational attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
William Rizzuto cell phone number (775) 846-8484**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **32**
- | | |
|--------------------------------------|--------------------------------------|
| 1 – Assistant General Manager | 7 – Security Corporals/Guards |
| 1 – Security Lieutenant | 8 – Stable Security Officers |
| 3 – Security Sergeants | 5 – Stable Gate Personnel |
| 1 – Stable Security Sergeant | 6 – Fire Guards |
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

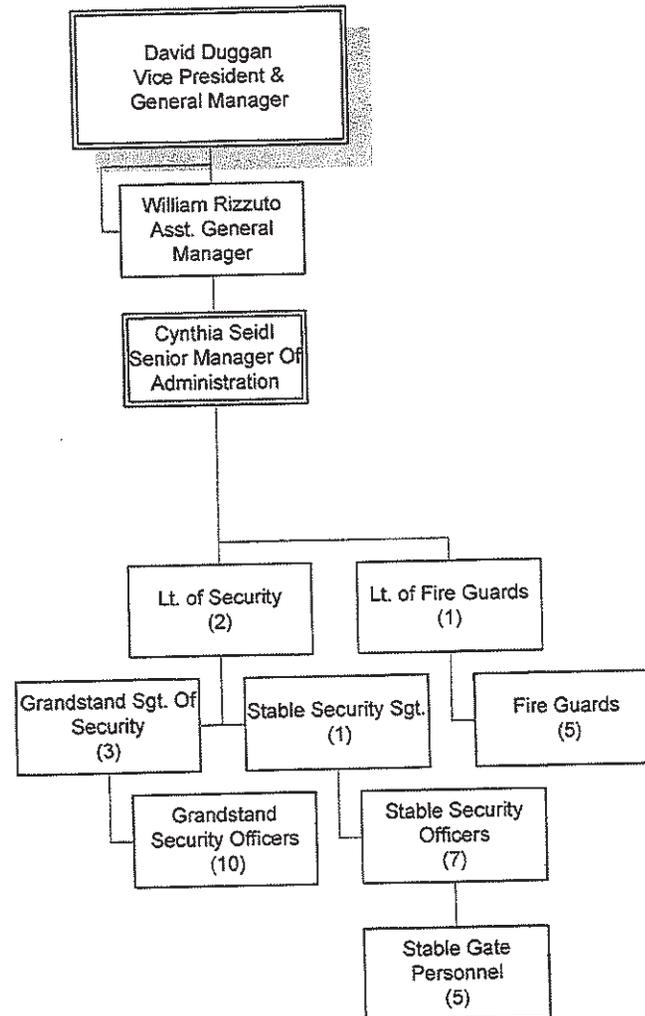
**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Paddock
Paddock (Gliding)
(2) Pan Cameras on Roof
Jib camera in Paddock
Starting Gate (Robo)
Parking Lot (Robo-scenic)

**PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A**



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to William Camilo Lopez Security Lieutenant which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number

- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Stable Security Lieutenant for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact Public Safety Director if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Public Safety Director. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.

- Surveillance videotapes are saved until all test results have received a negative result.

- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically place throughout the barn area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically placed throughout the barn area. Receiving Barn includes four (4) fixed cameras placed over designated stalls, one (1) 360° fish-eye camera in center of walking ring, and (2) fixed cameras outside on east side of Receiving Barn.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**
 1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:
Dr. David Seftel
Office Number: 510-559-7375, Cell Number: 650-520-6204
 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
William Rizzuto, Facility Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Albany and Berkeley fire clearance letters are attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2021 – Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 6, 2019

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2020

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



GOLDEN GATE FIELDS

DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director (“Track Physician”).

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours
Following last posted race;
- Extended hours for necessary follow-up care and/or upon
Request from track management or CHRB.

EMERGENCY MEDICAL PROCEDURES **-MORNING TRAINING-** **FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS**

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Albany California 94706

December 30, 2019

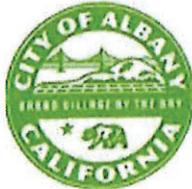
Mr. Roenbeck,

Fire inspectors from the City of Albany Fire Prevention Department together with Golden Gate Fields Fire Prevention personnel conducted a Life and Safety inspection on November 25th and 26th 2019. Public areas within the Grandstand and the associated facilities were evaluated for preparation of the 2020 racing season. Clearance for the facility is granted for all aspects of your operation.

Please note that operations beyond the scope of normal racetrack activities may require additional inspections as needed.

Please feel free to contact our office with any questions.

Tom Peters, FPI
Fire Prevention Department
(510) 528-5775
fireinspector@albanyca.org



GOLDEN GATE FIELDS

October 28, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pacific Racing Association – Rule 1927 Exemption Request

Dear Mr. Chaney,

As has been previously discussed, the City of Berkeley Fire Department is not able to conduct more than one inspection per year at Golden Gate Fields. Pacific Racing Association has previously submitted to the board the Berkeley Fire Department's clearance letter dated September 22, 2020.

Pacific Racing Association is requesting an exemption from the requirement of Rule 1927 that the fire authority having jurisdiction over the barn area of Golden Gate Fields perform an inspection within 45 days prior to the commencement of the race meeting.

Please let me know if you have any questions.

Sincerely,



David Duggan



Department of Fire and Emergency Services
Division of Fire Prevention

September 22, 2020

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Thursday, July 23, 2020, the City of Berkeley Fire Prevention Division Inspectors conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields for compliance with Berkeley Fire Code requirements (Berkeley Municipal Code Chapter 19.48). Some fire code violations were found. Re-inspections were completed on Thursday, September 3, 2020, and Monday, September 21, 2020. Photo documentation of the remaining repairs were sent on Tuesday, September 22, 2020. Upon re-inspection of the facilities, it was found that there were no outstanding fire code violations. Golden Gate Fields is hereby granted a fire clearance.

This fire clearance establishes a reasonable standard of fire safety as addressed in Title 4, Division 4, Article 17, Section 1927 Fire Prevention of the California Code of Regulations.

These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank you and the entire Golden Gate Fields team in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,

Dori Tieu
Interim Deputy Fire Marshal, City of Berkeley Fire Department

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Pacific Racing Association dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-18	Jun 30, 2020 to Jun 30, 2021	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-010	Jun 30, 2020 to Jun 30, 2021	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
---	---

during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program** **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**
- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with Board**
- B. Promotional/ Marketing budget for this race meeting: **On file with Board**
 Promotional/Marketing budget for prior race meeting: **On file with Board**
- C. Number of hosts and hostesses employed for meeting:
 1 Customer Service Representative
 1 XpressBet Ambassador
 5 Group Sales Representatives
- D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Replaced turf course rail (inside and outside) with PVC by Duralock
- Upgraded entire surveillance system in the barn area
- Remodeled Jockey's Room
- Replaced Tapeta® in Paddock area
- Remodeled Racing Office and balcony viewing area
- Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance
- Capital barn improvements in the barn area
- Commissioning artist to provide mural for Paddock wall
- Continuation of laundry service for the Jockey's Room by GGF
- Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
- Continual roadway, horse paths, and barn repairs

2. Fans

- Upgraded entire surveillance system; total of 106 cameras installed throughout the frontside facility
- Created new family area on A Deck complete with fencing, artificial turf, BBQ's, etc. (Golden Gate Green) which includes games to play in-between races
- Hired Wagering Ambassadors to assist fans with questions regarding racing and wagering
- Remodeling Paddock Pub with projection screen TV, furniture, and flooring
- Upgraded televisions in Grandstand to enhance viewing pleasure
- Providing tours of the front side facility to fans upon request
- Creating a portable selfie station for picture taking
- Created a red top area on Mezzanine to add additional customer service
- Installing new BBQ on Grandstand Apron
- Renovated the Turf Club buffet stand
- Continual parking lot and roadway repairs

3. Facilities in the restricted areas **Equine Hospital**

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (clubhouse)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A

Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00
Parking (preferred)	\$12.00
Parking (valet)	\$12.00
Programs (on-track)	\$ 2.75
(off-track)	\$ 2.75

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
None

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

- B. Number of rooms used for housing on the backstretch of the racetrack: **140**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

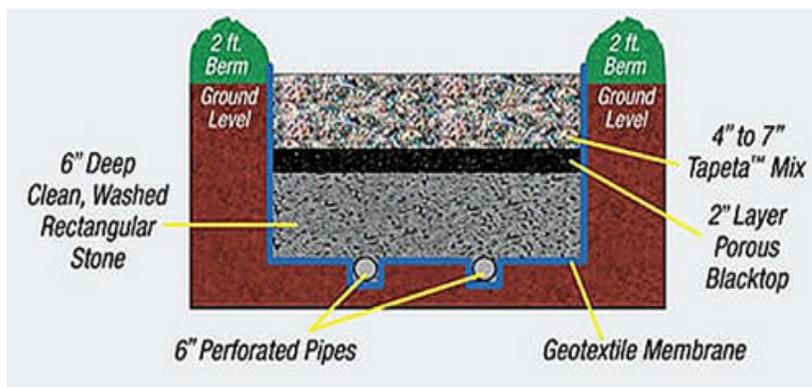
22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen’s Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any

cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC – Agreement will be forwarded to Board upon completion.
CTT – If an agreement is reached, it will be forwarded to the board upon receipt.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

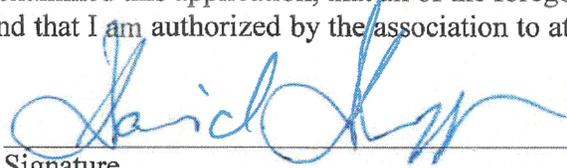
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

David Duggan

Print Name

Gm / VP

Print Title



Signature

9-22-20

Date

Inclement Weather Policy

Policy Statement:

The California Horseracing industry recognizes that inclement weather may temporarily prevent the normal operations of the racetrack and that coordinated decision making promotes the health and safety of all participants, including the equine athletes and riders.

In anticipation of inclement weather events, the following policy and protocols will drive the decision-making process to either delay or cancel racing and training. The concern for the equine athletes, riders and all other participants' safety serves as the main driver when these decisions are made, and decisions should always strive to err on the side of caution. Each weather incident is unique, and each will demand individual attention and analysis.

Purpose:

The Purpose of this policy is to:

- Assign responsibility in evaluating inclement weather events;
- Assign responsibility in determining postponement and cancellations of racing and training;
- Clarify the responsibilities and expectations of the stakeholders in an inclement weather event;
- Clearly outline communication protocol around decisions of postponement and/or cancellations resulting from inclement weather;
- Outline protocols to be followed after an inclement weather event;
- Help define what constitutes an "unsafe" track for training or racing;
- Be consistent with the Association of Racing Commissioners International – Model Rules: **ARCI-007-025 (G)** and **ARCI-007-020(M)**.

Scope:

This policy applies to all racetracks and approved auxiliary training centers which are operating under CHRB rules and regulations. Note: Portions of this policy relating to sealed, floated or other similar practices will not be applicable to any synthetic racing surface, or surfaces used for Standardbred racing.

Date of Effect:

This policy shall take effect starting December 26th, 2020 and may be repealed or amended from time to time with the approval of the CHRB.

Track Superintendent:

The Racing Association must notify the CHRB of any change to the Track Superintendent position prior to making the change.

Racing Surface Maintenance Data Collection:

The Racing association shall collect data and maintain electronic records to assist in the consistent maintenance of all racing surfaces. This data shall be available for review and inspection by the

Board of Stewards, Equine Medical Director, TOC, CTT, and the CHRB when requested.

These data collection protocols shall include:

- **Watering and Moisture Content control:** Electronically document all watering and irrigation on main and turf tracks. Include details on the time of application, method of application, amount of water added (gallons) and area of the racing surface to which the water was applied. For water trucks, also direction of equipment. Quality control measurements of the moisture content should be checked daily to ensure consistency in the moisture content around a dirt or turf track. This should be measured, typically at least weekly, using a device such as the time domain reflectometry or other similar measurement methods.
- **Harrowing:** document the number of rounds and direction with the motor grader, cutting harrows, and conditioning harrows. Record the depth of the cutting harrows and conditioning harrows.
- **Material additions:** Document the source, timing, quantity and method of all additions to the surfaces including sand, silt, clay, organic material, or chemicals (e.g. acids) for dirt tracks; and top dressing, fertilizer, pesticides, fungicides, seeding and sod replacement for turf tracks.
- **Turf Track:** Electronically document the turf grass species, areas of re-sodding, and cut lengths.
- **Cushion depth:** Perform periodic, typically at least once per day during racing, cushion depth measurements by using a hand probe over the surface of the track. Depth of cushion should also be verified before and after any large movement of material from either grading or washouts. Data should be stored electronically to allow tracking of results over time to ensure that track grades do not get out of tolerance ranges prior to grading.
- **Material composition:** Perform testing of track material composition at least monthly per race meet. Each sample should be analyzed separately and not mixed with other samples. Composition should include at least the following tests:
 - **Turf Track:** Soil chemistry panel including soil pH, organic carbon, major nutrients (e.g. phosphorus, potassium, calcium, magnesium, and sulfur) and soluble salts to ensure that reviews of maintenance processes can be performed, all protocols for surface preparation, maintenance and quality control measures will be documented electronically outlining procedures and schedules for all of the items above. These electronic records shall be made available for review.
 - **Dirt tracks:** sieve and hydrometer data for all samples; and organic, soil chemistry, X-ray diffraction, bulk density, and salinity measurements for a single representative sample.
- **Surveying:** For dirt and synthetic tracks the method used, and measurements obtained should be documented to ensure that the cross-fall in the straights and transitions from the straights to the turns are consistent and within specification. A track survey should be performed at least once per year with the track referenced to survey markers, laser checks or GPS mapping. Grades should be documented for internal reference.

Incident Weather Events:

Severe or harsh weather environmental conditions that may include, without limitation:

1. Extreme Temperatures;
2. High Winds;
3. Lightning;
4. Precipitation;
5. Air quality;
6. Fog/Visibility.

1. EXTREME TEMPERATURES

Symptoms of heat stress in Thoroughbreds:

- Rapid shallow breathing (i.e. panting);
- Very high body temperature;
- Flared nostrils;
- A congestion appearance of the gums;
- Staggering or weakened gait;
- Abnormal, irrational behavior;
- In extreme circumstances – collapse.

Circumstances contributing to Heat Stress:

The susceptibility of a horse to heat stress may not solely be influenced by temperature or the prevailing conditions. Certain other factors may adversely affect an individual horse's ability to withstand racing in hot conditions.

These include:

- A horse traveling long distances prior to or on the same day as the race;
- The temperament of the horse;
- Younger horses less acclimatized to heat;
- Heavy sweating;
- Withholding drinking water on the day of race.

Requirements of Racetrack/Safety Steward:

It shall be the responsibility of the Track Superintendent/Safety Steward on any race day to;

- Obtain and monitor the forecast conditions for the race day;
- Initiate the Extreme Temperature Weather Policy when necessary, and ensure the provisions are appropriately observed, where applicable;
- Communicate with racetrack personnel regarding requirements;
- During the race day monitor the air temperature provided by commercial weather service (e.g. Universal Weather Service);
- Throughout the race day communicate and take advice from the Official Veterinarian regarding current conditions and the condition of any horse;
- Consider whether modifying the racing program may provide improved conditions; and
- Minimize the time horses are required to be in post parade.

Modification to the race day program may include advancement or delay in the races if it appears possible the extreme conditions might ease or can be avoided.

In the event a trainer is concerned with their horse coping with the current conditions and requests to be withdrawn from the race, the stewards shall consider all requests on merit and the stewards may seek the opinion of the Official Veterinarian before adjudicating any request.

Postponement and Cancellation:

In the event of a forecasted extreme temperature as defined below the decision to cancel racing shall be made by General Manager of the Association and confirmed by the Board of Stewards by applying

the Extreme Temperature Standard and Policy.

Notification of cancelation shall be communicated to the following:

- Jockey's Guild Representative;
- Board of Stewards;
- TOC and CTT

Heat Stress Index:

Horses generate heat during relatively short episodes of intense exercise, such as racing. Heat and humidity can affect horses more than humans. An important calculation when determining the risk to a horse during hot and humid conditions is the Heat Stress Index (HSI), which is a simple way to estimate the ability of a horse to dissipate heat naturally. Horses' ability to dissipate heat begins to decrease at HSI 130; horses cannot dissipate heat at or above HSI 180. HSI is calculated by adding the current temperature (in Fahrenheit) and the relative humidity (in % RH). The total determines the conditions. For example, if it is 77 degrees out and the relative humidity is 65%, added together the heat index is 142.

Heat mitigation precautions should be in place whenever HSI is expected to exceed 130. These precautions should include the following:

1. strategically placed hoses for both before and after the race;
2. ice buckets with sponges in the unsaddling area;
3. ice blankets in coolers in ambulances/ track veterinarian vehicles; and
4. additional monitoring of horses post-race for heat-related problems.

180 or more: The horse has no natural means to cool off, and the rider/handler must provide aggressive cooling.
150 or more: The horses cooling mechanisms are reduced and supplemental cooling must be provided.
130 or more: The horse's cooling is mildly diminished and some horses may need assistance.
Less than 130: The horse can cool itself.

In the event HSI exceeds 160, any determination to proceed with racing or training requires the approval of the stewards based upon a recommendation from the Official or Association Veterinarian.

No training or racing shall be permitted when HSI exceeds 175.

Post-Race Attention:

All Trainers shall ensure that post-race, horses are cooled down promptly and adequately. Trainers

should be alerted that unless appropriate care is provided, a horse's core temperature may continue to RISE for up to 20 minutes post-race.

2. HIGH WINDS

This procedure outlines the various steps that will be taken to safeguard riders and equine athletes and minimize risk in the event of high winds across the racetrack. Training and Racing restrictions may be implemented during high winds to protect the riders and equine athletes' safety.

Wind speed and direction will be regularly monitored by the Track Superintendent or his staff and recorded on a daily basis.

Equipment:

The racetrack shall install an **anemometer** near the racing surface at the approximate height of the rider.

Wind speed threshold:

- **Sustained 38 mile per hour and/or gusts over 50 miles per hour** – Racetrack management will temporarily suspend Racing and Training.
- A warning about the potential need to cancel will be communicated by the racetrack management to the following:
 - Jockey's Guild representative;
 - Board of Stewards;
 - TOC and CTT.
- The final decision to cancel will be made by the General Manger of the Association and confirmed by the Board of Stewards and communicated to same parties above.

3. LIGHTNING

The following protocols for lightning are consistent with the Association of Racing Commissioners International model rule **ARCI-007-020**. The racing association shall install a real-time lightning detector and warning system that has been independently and objectively verified and subscribe to a commercial weather service (e.g. Universal Weather Service) that provide lightning detection services.

The detection service must include strike distance and radius notifications in order to provide lightning delay decision-making.

The lightning detection information shall be monitored by the Track Superintendent, or his staff during training and racing hours. This information will also be available to racetrack management and stewards at all times.

Suspension of Racing and Training:

When lightning is detected within an **8-mile radius of the racetrack** the following actions will take place:

- Track Superintendent shall notify racetrack management immediately;
- Racetrack management will suspend racing and training;
- Racetrack Management will notify the Board of Stewards and Jockey's Guild representative;
- Alert all participants to seek shelter;
- Track Superintendent will be responsible for enforcing training delay.

Resume Activity:

Racing and training activities may resume 30 minutes after the last lightning strike within an 8-mile radius of the racetrack as indicated by the lightning detection service.

4. PRECIPITATION

The following protocols will assist with the decision-making process to either suspend or cancel racing until the track is deemed safe after an extreme precipitation event. The first priority of all decisions made shall be the well-being and safety of the equine athletes and riders.

These protocols are consistent with Association of Racing Commissioners International model rule **ARCI-007-025(G)**.

Weather Monitoring:

The Racing association shall subscribe to a commercial weather service (e.g. Universal Weather Service) and install a professional weather station that will provide the following information:

- Temperature;
- Relative Humidity;
- Rainfall amount;
- Wind Speed;
- Wind Direction;
- Barometric Pressure;
- Solar Radiation.

Weather Data Collection:

All weather data shall be maintained on a daily basis by the Track Superintendent and recorded in electronic format that shall be made available to racetrack management and Board of Stewards. Any precipitation event during racing and training will require the rainfall data to be logged in 15-minute increments.

Dirt Track:

Sealed or Floated Track: is a track surface that has been smoothed and compacted utilizing specialized equipment. A sealed dry track allows water to run off the track, reducing the amount of precipitation absorbed. Wet tracks are sealed to provide a safe and even racing surface. Periodically, a “float”, which is a lighter slab of metal, will be pulled over the track to squeeze off surface water.

When precipitation is forecasted the Track Superintendent will analyze the following:

- Total amount of rainfall;
- Duration of rainfall;
- Predicted timing relative to training or racing.

Based on data received, the Track Superintendent will make a determination if the track needs to be sealed and or floated. When the track is sealed, horses will **NOT** be permitted to train on the surface.

Any decision to race on a sealed or floated track will be made based upon a decision by the Track Superintendent that in his or her professional opinion the resulting track surface is safe for the conduct

of races. That decision shall also provide that the conduct of racing will not jeopardize the safe conduct of racing or training on days subsequent to the inclement weather event. That decision will require the unanimous consent of a committee consisting of the Track Superintendent, Association General Manager, Jockeys Guild Representative, and Safety Steward subject to confirmation by the Board of Stewards. Notice of that decision will be provided to the following:

- Board of Stewards;
- Jockeys Guild;
- TOC;
- CTT.

When the rain event has ended the Track Superintendent will determine the optimal time to harrow the track using the float rake or conditioning harrows. Once the track is harrowed after being sealed, no horses will be permitted to engage in high speed workouts over the harrowed track for at least 24 hours. High speed workouts may resume only when approved by the Track Superintendent.

No Racing or Training shall be permitted on any surface deemed unsafe by the Track Superintendent or Board of Stewards.

Turf Track:

When deciding to take racing off the turf the safety of the equine athletes and rider must be the driving factor.

During a rain event the Turf Track Supervisor will continually monitor turf course to determine the amount of moisture in the track by using the following methods:

- Visual inspections;
- Walking the course;
- Using a GoingStick or probe.

If the Turf Track Supervisor determines there is too much moisture in the track and could be unsafe, he will notify the General Manger immediately. The decision to take races off or leave races on the turf shall be made by the General Manager of the association subject to confirmation by the Board of Stewards. Notification shall be made to the following:

- Jockey's Guild;
- TOC;
- CTT.

5. Air Quality

The U.S. Air Quality Index (AQI) is an index developed by the Environmental Protection Agency to measure air quality. The higher the number (1-500), the higher the level of concern.

The projected AQI for a given location can be found at <https://www.airnow.gov/>.

Tracks may also utilize on-site AQI monitors.

Daily AQI Color	Levels of Concern	Values of Index	Description of Air Quality
Green	Good	0 to 50	Air quality is satisfactory, and air pollution poses little or no risk.
Yellow	Moderate	51 to 100	Air quality is acceptable. However, there may be a risk for some people, particularly those who are unusually sensitive to air pollution.
Orange	Unhealthy for Sensitive Groups	101 to 150	Members of sensitive groups may experience health effects. The general public is less likely to be affected.
Red	Unhealthy	151 to 200	Some members of the general public may experience health effects; members of sensitive groups may experience more serious health effects.
Purple	Very Unhealthy	201 to 300	Health alert: The risk of health effects is increased for everyone.
Maroon	Hazardous	301 and higher	Health warning of emergency conditions: everyone is more likely to be affected.

Responsible Parties

During an extreme air pollutant event, including when smoke from wildfires is forecasted or detected, the General Manager of the track shall be responsible for monitoring air quality daily during extreme air pollutant events and communicating with the association and regulatory veterinarians. The General Manager (GM) of the track, representing the racing association, and the Equine Medical Director (EMD), representing the state racing regulatory body, will assess the situation and determine the safest course of action for the equine population. The GM and EMD reserve the right to recommend cancellation of racing, training, or otherwise restrict activity at any time if conditions are deemed unfavorable.

AQI Ratings and Recommendations

The GM and EMD may use the Air Quality Index (AQI) for guidance. Because the AQI is not measured directly at the track the AQI will be considered in conjunction with conditions observed at the racetrack. Generally, however, the following recommendations will be used:

AQI=100-150

If the forecasted AQU is projected to reach 100, this could be considered unhealthy for some members of the human population. The GM, and EMD may begin to monitor the facility daily if the AQI consistently reaches 100 and there is cause for concern in the local environment, including the presence of a wildfire in the area.

AQI= 150-174

If the forecasted AQI is projected to reach 150, the CVO, GM, and EMD will closely monitor the facility and may limit training to jogging and/or jogging and gallops only and be prepared to take further action if conditions worsen.

AQI = 175+

If the forecasted AQI is 175 or higher, the CVO, GM, and EMD will restrict activity at the track, including but not limited to:

- Canceling live racing (if applicable);
- Canceling official workouts; and
- Prohibiting galloping, breezing or anything more strenuous than a jog.

The GM and EMD may elect to allow horses to access the track for necessary daily exercise (walk or trot only) for their safety and welfare.

Cancellation of Track Activities

If recommended by the GM, and EMD, live racing and/or training will be canceled due to unsafe air conditions. The GM, and EMD will recommend to the Stewards that racing be canceled. The racing office will notify horsemen if access to any of the tracks is restricted.

6. Fog/Visibility

In the event of impaired visibility, the Board of Stewards, the GM of the association and the Jockeys' Guild representative shall meet to determine if the races should be cancelled or delayed. If any entity in the aforementioned group believes that the visibility is compromised such that racing would be unsafe, then the races shall be cancelled or postponed. If the evidence indicates that visibility will improve, the races may be delayed for a period not to exceed one hour, at which time the group will meet again to decide if the races can safely continue.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN LOS ANGELES TURF CLUB (LATC) AND
THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY
CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE
IMPLEMENTED BY LATC AT ITS DECEMBER 23, 2020 THROUGH JUNE 15, 2021
RACE MEETING AT THE SANTA ANITA RACETRACK

Regular Board Meeting
December 17, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken.

RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS
December 17, 2020

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB, INC. AT SANTA ANITA DECEMBER 23, 2020 THROUGH JUNE 29, 2021.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita:

- December 23, 2020, through June 22, 2021, or 102 days; two less days than in the 2019 to 2020 meet. LATC proposes to race a total of 868 to 1,154 races, or 8.19 to 10.89 races per day. Live racing will commence December 26, 2020, through June 27, 2021. In 2019/2020, LATC ran 8.78 races per day with an average of 7.50 runners per race. The average daily purse for the 2019/2020 race meeting was \$30,055,949. The (estimated) average daily purse for this meet is \$49,569,027.

December 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

March 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

May 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

June 2021						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Saturday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Monday, January 18, 2021, Monday, February 15, 2021, and Monday, May 31, 2021. No racing will be held on Thursday, January 21, 2021, Thursday, February 18, 2021, and Thursday, June 3, 2021. Seven to nine races weekdays; nine to twelve races opening day, weekends, and holidays; exceptions: nine to 14 races March 6, 2021, nine to 14 races April 3, 2021, seven to 14 races April 30, 2021, nine to 14 races May 1, 2021, nine to 14 races May 15, 2021, nine to 14 races May 16, 2021, and nine to 14 races June 5, 2021.
- First post 1:00 p.m. weekdays, 12:30 p.m. weekends and holidays, with the following exceptions:
 - Saturday, December 26, 2020 12:00 p.m.
 - Friday, January 1, 2021 12:30 p.m.
 - Saturday, January 23, 2021 12:00 p.m.
 - Sunday, February 7, 2021 11:00 a.m.
 - Saturday, March 6, 2021 12:00 p.m.
 - Saturday, April 3, 2021 12:00 p.m.

Post time subject to change with reasonable advance notice to the Board:

 - Saturday, May 1, 2021 11:00 a.m.
 - Saturday, May 15, 2021 11:00 a.m.
 - Saturday, June 5, 2021 11:00 a.m.
- Wagering program will use CHRB and ARCI rules.

LATC requests to use a modified version of ARCI rule for the Pick 6 contest. LATC also requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

Alternate Wagering Interest

LATC requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

LATC requests to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ACRI 004-105(G)(2)(g), LATC is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) they will use to govern the Pick 6. Under the ARCI model rule there are only two possible definitions for “unique”. LATC is requesting an alternative definition which is not referenced in the ARCI model rule or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed LATC definition.

LATC also requests a change to the ARCI model rules during instances of late surface changes. LATC requests that on any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
 - Early wagering 8:30 a.m. to 10:00 a.m. daily.
 - \$2 Group Bet (Horse Racing Roulette) is not being carried forward from last year’s wagering program.
 - Special Late Pick 4 was not offered during the 2019/2020 winter/spring meet but will be offered during the 2020/2021 winter/spring meet.
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all eligible races.
 - Rolling \$2 Daily Doubles – 20% takeout.
 - \$0.50 Pick 3.
 - \$0.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
 - \$0.50 Pick 5 on first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Early Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$0.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Late Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$1 Pentafecta (Super High 5) on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to next race day, if applicable).
 - \$0.20 Pick 6 on last six races daily. If no unique winning ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
Payout distribution will be in accordance with ARCI 004-105(G)(2)(g):
 - The net pool and any carryover will be paid to the unique winning ticket holder who selected all the first-place finishers.

- If there is no unique winning ticket, the minor share of the pool will be distributed to those who selected the greatest number of first-place finishers, and the major share will be added to the carryover.
- If there is no correct selection of at least one first-place finisher, the day's net pool will be refunded, and any previous carryover pool will be carried over to the next scheduled corresponding pool.
- \$5 Daily Double (Golden Hour Double Play) connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields – 15% takeout; Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
- \$1 Pick 4 (Golden Hour Pick 4) connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields – 15% takeout; Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park; No consolidation; 100% payout; Alternative runner provision.
- The date designated for distribution of the carryover pool is: Mandatory payout on closing day, June 27, 2021. Additionally, LATC requests that the Board approve additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified at least seven (7) days before any such mandatory distribution and such notification includes a justification for the distribution and an explanation of the benefit to be derived.

Board Rule 1976.9, Pick (n) Pool, allows for carryover. Section 1976.9(h) specifies that permission to distribute the Pick (n) carryover on a *specific date and performance* shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution, and that request must be approved by the Board. The request for mandatory payout distribution on closing day, June 27, 2021 satisfies this requirement. However, the request for additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified at least seven days before any such mandatory distribution **does not satisfy the requirements of Board Rule 1976.9(h)**. Board Rule 1976.9(h) requires the Board to approve specific dates and performances to determine mandatory payout distributions. Therefore, the request for additional, but unspecified, mandatory payout days prior to closing day throughout the meet is non-compliant.

- Business and Professions Code (BPC) section 19464(b):
No application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC's initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of BPC section 19464(b).

Pursuant to BPC 19464(b), LATC is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC \$100,000 surety bond currently on file will expire June 30, 2021. Therefore, LATC has met the requirement set forth by BPC section 19464(b).

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG Network, NYRA Bets, and BetAmerica.

Pursuant to BPC Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with BPC Section 19604:

- XpressBet, LLC, has submitted all documents required in compliance with BPC Section 19604.
- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with BPC Section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpines has submitted all documents required in compliance with BPC Section 19604.
- Churchill Downs Technology Initiatives Company, dba BetAmerica has submitted all documents required in compliance with BPC Section 19604.

- Request Jennifer Paige be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to BPC Section 19602, and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Race Meet Agreement
2. California Thoroughbred Trainers Race Meet Agreement
3. Horsemen's approval of ADW agreements pursuant to BPC Section 19604
4. A fire clearance compliant with Board Rule 1927, Fire Prevention, for Santa Anita Park, Los Alamitos Race Course, and San Luis Rey Training Center conducted within 45 days of the commencement of the race meet. The current Fire Clearances on file are for Santa Anita Park, issued November 2, 2020, Los Alamitos Race Course, issued July 1, 2020, and San Luis Rey Training Center, issued November 31, 2020. All facilities issued petitions for exceptions to Board Rule 1927 to be reviewed and approved by the Board. The Board previously approved petitions for exceptions to Board Rule 1927 at the August 20, 2020 regular Board meeting for auxiliary stabling facilities at Los Alamitos Race Course and San Luis Rey Training Citer. LATC has submitted a new request for exemption for Santa Anita Park for the Board's approval.

Specific information **needing updating** to complete this application:

1. The application was submitted prior to the allocation of 2021 race dates, which were approved at the October 22, 2020 regular Board meeting. As a result, the application for LATC reflects an additional week outside of what was actually approved. The application will need to be updated to reflect the correct dates and information.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 **Fax (626) 446-9565**
eric.sindler@monarchmgmt.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **Santa Anita Park**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION

Application received:
Deposit received:
Reviewed:

Hearing date:
Approved date:
License number:

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 23, 2020 through June 29, 2021**

B. Actual dates racing will be held:

2020 December 26, 27, and 31 (3 days)

2021

January 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 22, 23, 24, 28, 29, 30, and 31 (19 days)

February 4, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27, and 28 (16 days)

March 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, 21, 25, 26, 27, and 28 (16 days)

April 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 22, 23, 24, 25, 29, and 30 (18 days)

May 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 20, 21, 22, 23, 27, 28, 29, 30, and 31 (19 days)

June 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, and 27 (15 days)

C. Total number of days or nights of racing: **106**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing Monday, January 18, 2021

Monday, February 15, 2021

Monday, May 31, 2021

Dark Thursday, January 21, 2021

Thursday, February 18, 2021

Thursday, June 3, 2021

E. Number of days or nights of racing per week: **4 days per week**

Exceptions: Week of 12/21/2020 – 2 Days

3. RACING PROGRAM

A. Total number of races: **Estimated between 868 and 1,154**

B. Number of races for each day or night:

- **7 – 9 races weekdays**
- **9 – 12 races opening day, weekends and holidays**
- **Exceptions:**
 - **March 6, 2021 – between 9 and 14 races**
 - **April 3, 2021 – between 9 and 14 races**
 - **April 30, 2021 – between 7 and 14 races**
 - **May 1, 2021 – between 9 and 14 races**
 - **May 15, 2021 – between 9 and 14 races**
 - **May 16, 2021 – between 9 and 14 races**
 - **June 5, 2021 – between 9 and 14 races**

C. Total number of stakes races: **93**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9	*5:00 PM	4:30 PM	*5:00 PM
Race 10	*5:30 PM	*5:00 PM	*5:30 PM
Race 11	*6:00 PM	*5:30 PM	*6:00 PM
Race 12	*6:30 PM	*6:00 PM	*6:30 PM
Race 13	*7:00 PM	*6:30 PM	*7:00 PM
Race 14	*7:30 PM	*7:00 PM	*7:30 PM

(*) When applicable

Exceptions:

12:00 PM	Opening Day	Saturday, December 26, 2020
12:30 PM	New Year's Day	Friday, January 1, 2021
12:00 PM	Cal Cup	Saturday, January 23, 2021
11:00 AM	Super Bowl Sunday	Sunday, February 7, 2021
12:00 PM	Santa Anita Handicap	Saturday, March 6, 2021
12:00 PM	Santa Anita Derby	Saturday, April 3, 2021
11:00 AM	Kentucky Derby Day	Saturday, May 1, 2021***
11:00 AM	Preakness Day	Saturday, May 15, 2021***
11:00 AM	Belmont Stakes Day	Saturday, June 5, 2021***

**** Beginning between Sunday, March 8, 2021 and Thursday, April 30, 2021.**

***** Post time subject to change with reasonable advance notice to the board.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

2020 - 2021 Winter Spring Stakes Schedule

Run Date	Race	Age	Distance	2018-19 Purses	2019-20 Purses	2020-21 Purses
Sat. Dec 26	Malibu Stakes (Gr I)	3YO	7 F	\$300,000	\$300,000	\$300,000
Sat. Dec 26	La Brea Stakes (Gr I)	F,3YO	7 F	\$300,000	\$300,000	\$300,000
Sat. Dec 26	San Antonio Stakes (Gr II)	3 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat. Dec 26	Mathis Brothers Mile (Gr II)	3YO	1 M(T)	\$200,000	\$200,000	\$200,000
Sat. Dec 26	Lady of Shamrock Stakes	F,3YO	1 M(T)	\$75,000	\$75,000	\$75,000
Sat. Dec 26	American Oaks (Gr I)	F,3YO	1 1/4 M (T)	\$300,000	\$300,000	\$300,000
Sun. Dec 27	Eddie Logan Stakes	2YO	1 M(T)	\$75,000	\$75,000	\$75,000
Sun. Dec 27	Robert J. Frankel Stakes (Gr III)	F/M, 3&up	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Thurs. Dec 31	Blue Norther Stakes	F,2YO	1 M(T)	\$75,000	\$75,000	\$75,000
Fri. Jan 1	Joe Hernandez Stakes (II)	4&up	5 1/2 F (T)	\$100,000	\$200,000	\$200,000
Sat. Jan 2	San Gabriel Stakes (Gr II)	4&up	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat. Jan 2	Sham Stakes (Gr III)	3YO	1 M	\$100,000	\$100,000	\$100,000
Sun. Jan 3	Santa Ynez Stakes (Gr II)	F,3YO	7 F	\$200,000	\$200,000	\$200,000
Sat. Jan 9	La Canada Stakes (Gr II)	F/M,4&up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat. Jan 9	Las Cienegas Stakes (Gr III)	F/M,4&up	5 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun. Jan 10	Kalookan Queen Stakes	F/M, 4&up	6 1/2 F	\$75,000	\$75,000	\$75,000
Sat. Jan 16	Unusual Heat Turf Classic	4&up (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat. Jan 16	Sunshine Millions f/M Tmf Sprint	F/M, 4 & up (CA)	5 1/2 F (T)	\$150,000	\$150,000	\$150,000
Sat. Jan 16	California Cup Sprint	4&up (CA)	6 F	\$150,000	\$150,000	\$150,000
Sat. Jan 16	Cal Cup Turf Sprint Stakes	3 YO (CA)	5 1/2 F (T)	\$100,000		\$100,000 DNR
Sat. Jan 16	California Cup Derby	3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat. Jan 16	California Cup Oaks	F, 3YO (CA)	1 M(T)	\$200,000	\$200,000	\$200,000
Sun. Jan 17	Astra Stakes	F/M,4&up	1 1/2 M (T)	\$75,000	\$75,000	\$75,000
Mon. Jan 18	Megahertz Stakes (Gr III)	F/M,4&up	1 M(T)	\$100,000	\$100,000	\$100,000
Sat. Jan 23	Palos Verdes Stakes (Gr II)	4&up	6 F	\$200,000	\$200,000	\$200,000
Sun. Jan 24	Clockers' Corner Stakes	4&up	5 1/2 F (T)	\$70,000	\$70,000	\$70,000
Sat. Jan 30	San Pasqual Stakes (Gr II)	4&up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat. Jan 30	San Marcos Stakes (Gr II)	4&up	1 1/4 (T)	\$200,000	\$200,000	\$200,000
Sat. Jan 30	Robert B. Lewis Stakes (Gr III)	3YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun. Jan 31	Baffle Stakes	3YO	5 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat. Feb 6	Las Virgenes Stakes (Gr II)	F,3YO	1 M	\$200,000	\$200,000	\$200,000
Sat. Feb 6	Thunder Road Stakes (Gr III)	4&up	1 M(T)	\$100,000	\$100,000	\$100,000
Sun. Feb 7	San Vicente Stakes (Gr II)	3YO	7 F	\$200,000	\$200,000	\$200,000
Sun. Feb 7	Sweet Life Stakes	F,3YO	5 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat. Feb 13	Santa Monica Stakes (Gr II)	F/M, 4 & up	7 F	\$200,000	\$200,000	\$200,000
Mon. Feb 15	Wishing Well	F/M,4&up	5 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat. Feb 20	Buena Vista Stakes (Gr II)	F/M,4&up	1 M(T)	\$200,000	\$200,000	\$200,000
Sun. Feb 21	Spring Fever Stakes	F/M, 4 & up (CA)	6 F	\$100,000	\$100,000	\$100,000 DNF
Sat. Feb 27	Pasadena Stakes	3YO	1 M(T)	\$200,000	\$200,000	\$200,000
Sun. Feb 28	Tiznow Stakes	4&up (CA)	1 M(T)	\$100,000	\$100,000	\$100,000
Sat. Mar 6	Santa Anita Handicap (Gr I)	4&up	1 1/4 M	\$500,000	\$600,000	\$1,000,000
Sat. Mar 6	San Carlos (Gr II)	3&up	7F	\$200,000	\$200,000	\$200,000
Sat. Mar 6	San Felipe Stakes (Gr II)	3YO	1 1/16 M	\$500,000	\$400,000	\$500,000
Sat. Mar 6	Frank E. Kilroe Mile (Gr I)	4&up	1 M(T)	\$400,000	\$400,000	\$400,000
Sat. Mar 6	China Doll Stakes	F,3YO	1 M(T)	\$75,000	\$75,000	\$75,000
Sun. Mar 7	Santa Ysabel Stakes (G III)	F,3YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sat. Mar 13	Beholder Mile (Gr I)	F/M,4&up	1 M(T)	\$400,000	\$400,000	\$400,000
Sat. Mar 13	San Simeon Stakes (Gr III)	3&up	5 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun. Mar 14	Irish O'Brien Stakes	F/M, 4&up	5 1/2 F (T)	\$100,000	\$100,000	\$100,000 DNF
Sat. Mar 20	San Luis Rey Stakes (Gr III)	4&up	1 1/2 M (T)	\$200,000	\$100,000	\$200,000
Sun. Mar 21	Sensational Star Stakes	4&up (CA)	5 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sat. Mar 27	Santa Ana Stakes (Gr II)	F/M4&up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sun. Mar 28	Santana Mile	4&up	1 M(T)	\$75,000	\$75,000	\$75,000
Sat. Apr 3	Santa Anita Derby (Gr I)	3YO	1 1/8 M	\$1,000,000	\$400,000	\$1,000,000

2020 - 2021 Winter Spring Stakes Schedule

Sat. Apr 3	Santa Anita Oaks (Gr II)	F, 3YO	1 1/16 M	\$400,000	\$200,000	\$400,000
Sat. Apr 3	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000	\$150,000	\$200,000
Sat. Apr 3	Evening Jewel	F, 3 YO (CA)	6 1/2 F	\$200,000	\$150,000	\$200,000
Sat. Apr 3	Royal Heroine Stakes (Gr II)	F/M4&up	1 M(T)	\$200,000	\$200,000	\$200,000 DNR
Sat. Apr 3	Providencia Stakes (Gr III)	F,3YO	1 1/8 M (T)	\$100,000	\$100,000	\$100,000 DNR
Sun. Apr 4	Las Flores Stakes (Gr III)	F/M,4&up	6 F	\$100,000	\$100,000	\$100,000 DNR
Sat. Apr 10	Mizdirection Stakes (Listed)	4&up	5 1/2 F (T)	\$75,000	\$75,000	\$75,000 DNR
Sat. Apr 17	Californian Stakes (Gr II)	3&up	1 1/8 M	\$200,000	\$200,000	\$200,000 DNR
Sun. Apr 18	Tokyo City Cup (Gr III)	4&up	11/2 M	\$100,000	\$100,000	\$100,000
Sun. Apr 18	Kona Gold Stakes (Gr III)	3&up	6 1/2 F	\$200,000	\$200,000	\$200,000 DNR
Sat. Apr 24	Santa Margarita Stakes (Gr II)	F/M, 4&up	1 1/8 M	\$200,000	\$200,000	\$200,000 DNR
Sat. Apr 24	Crystal Water Stakes	3 &up (CA)	1 M(T)	\$100,000	\$100,000	\$100,000
Sun. Apr 25	Singleary Stakes	3YO	1 M(T)	\$100,000	\$100,000	\$100,000 DNR
Sat. May 1	Senorita Stakes (Gr III)	F,3YO	1 M(T)	\$100,000	\$100,000	\$100,000
Sat. May 1	Angel's Flight Stakes	F,3YO	7 F	\$75,000	\$75,000	\$75,000
Sat. May 8	Santa Barbara Stakes (Gr III)	F/M, 3 &up	1 1/2 M (T)	\$100,000	\$100,000	\$100,000 DNR
Sun. May 9	Fran's Valentine Stakes	F/M, 3 &up (CA)	1 M(T)	\$100,000	\$100,000	\$100,000
Sat. May 15	Lazaro Barrera Stakes (Gr III)	3YO	7 F	\$100,000	\$100,000	\$100,000
Sun. May 16	Desert Stormer Stakes (Gr III)	F/M, 3 &up	6 F	\$100,000	\$100,000	\$100,000
Sat. May 22	Triple Bend Stakes (Gr II)	4&up	7 F	\$200,000	\$200,000	\$200,000
Sat. May 22	Charles Whittingham Stakes (Gr II)	3&up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat. May 22	Daytona Stakes (Gr III)	4&up	5 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun. May 23	Monrovia Stakes (Gr II)	F/M, 3 &up	5 1/2 F (T)	\$200,000	\$200,000	\$200,000
Sun. May 23	Summertime Oaks (Gr II)	F,3YO	1 1/16 M	\$200,000	\$200,000	\$200,000 DNR
Mon. May 24	The Gold Cup at Santa Anita (Gr I)	3&up	1 1/4 M	\$500,000	\$300,000	\$500,000
Mon. May 24	Shoemaker Mile (Gr I)	3&up	1 M(T)	\$500,000	\$300,000	\$500,000
Mon. May 24	Gamely Stakes (Gr I)	F/M, 3 &up	1 1/8 M (T)	\$500,000	\$300,000	\$500,000
Sat. May 29	Santa Maria Stakes (Gr II)	F/M,4&up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat. May 29	Honeymoon Stakes (Gr III)	F3YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sun. May 30	Cinema Stakes	3YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sat. Jun 6	Desert Code Stakes	3YO	5 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat. Jun 12	Thor's Echo Stakes	3 &up (CA)	6 F	\$100,000	\$100,000	\$100,000
Sun. Jun 13	Affirmed Stakes (Gr III)	3YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun. Jun 13	Possibly Perfect Stakes (Listed)	F/M, 3 &up	1 1/4 M(T)	\$75,000	\$75,000	\$75,000
Sat. Jun 19	Snow Chief Stakes	3 YO (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat. Jun 19	Dream of Summer Stakes	F/M, 4 & up (CA)	1 M	\$100,000	\$100,000	\$100,000 DNF
Sun. Jun 20	Wilshire Stakes (Gr. III)	F/M, 3 &up	1 M(T)	\$100,000	\$100,000	\$100,000
Sat. Jun 26	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat. Jun 26	San Juan Capistrano Stakes (Gr III)	3&up	abt 1 3/4 M(T)	\$100,000	\$100,000	\$100,000
Sat. Jun 26	Siren Lure Stakes	3&up	5 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sun. Jun 27	American (Gr III)	3&up	1 M(T)	\$100,000	\$100,000	\$100,000

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
Addendum A on file

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
 2. State where incorporated: **California**
 3. Registry or file number for the corporation: **481360**
 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Craig Fravel – President (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Director and Officer), 0 Shares
Rebecca Neimark – CFO (Officer), 0 Shares
Greg Harnish – Secretary (Director and Officer), 0 Shares
Scott Daruty – Senior Vice President (Officer), 0 Shares
Eric Sindler – Assistant Secretary (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Laura Proniuk, Share Register in Toronto, Canada**

Email: laura.proniuk@stronachgroup.com

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments Inc.**

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: **Not applicable**
 11. State where incorporated: **Not applicable**
 12. Registry or file number for the corporation: **Not applicable**
 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
 15. Number of outstanding shares in the corporation: **Not applicable**
 16. Are the shares listed for public trading? **Not applicable** Yes No

If yes, on what exchange and how is the stock listed:

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations

Commission.

Financials will be submitted directly to the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Craig Fravel – President and CEO, Racing Operations
Aidan Butler – Executive Director, Racing Operations
Rebecca Neimark – Chief Financial Officer
Scott Daruty – Senior Vice-President
Eric Sindler – Assistant Secretary and General Counsel, California Racing Operations

Steve Lym – Vice President, Racing and Racing Secretary
Nate Newby – Senior Vice President & Assistant General Manager
Jason Spetnagel – Vice President of Operations
Amy Zimmerman – Senior Vice President & Executive Producer

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Denise De Anda Cordova – Director of Labor Relations
Lou Scalera – Chief of Security and EH&S
Mike Willman – Director of Publicity and Public Relations
Eric Gaffney – Executive Director of Sales and Hospitality
Pamela Walden – Director of VIP and Owner Sales
Pete Siberell – Director of Community Service and Special Projects
Randy Hartzell – Director of Pari-Mutuels
Rebecca Young – Director of Catering
Jay Cohen – Hornblower
Frank Mirahmadi – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Eric Sindler – General Counsel, California Racing Operations
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: eric.sindler@monarchmgmt.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
DD Proposed Percentage: **20%**
Special DD Proposed Percentage: **15%**

Special P4 Proposed Percentage: **15%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2019-2020	777,085,053	235,917
2018-2019	\$938,557,639	598,531
2017-2018	\$1,131,127,243	796,598
2016-2017	\$1,080,109,113	827,068
2015-2016	\$1,039,922,803	782,611

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 - Current meet estimate: **\$33,024,027**
 - Prior meet actual: **\$18,813,974**

 - Average Daily Purse (7 A1 ÷ number of days):
 - Current meet estimate: **\$311,549**
 - Prior meet actual: **\$313,566**

- 2. Overnight stakes:
 - Current meet estimate: **\$1,195,000**
 - Prior meet actual: **\$815,000**

 - Average Daily Purse (7 A2 ÷ number of days):
 - Current meet estimate: **\$11,274**
 - Prior meet actual: **\$13,583**

- 3. Non-overnight stakes:
 - Current meet estimate: **\$15,350,000**
 - Prior meet actual: **\$10,426,975**



August 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2021 Winter/Spring Meet, December 23, 2020 through June 29, 2021.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- Golden Hour Double Play: \$5 Daily Double connecting the last race of the day at Santa Anita Park with the last race of the day at Golden Gate Fields subject to a takeout rate of 15%. Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
- Golden Hour Pick 4: \$1 Pick 4 connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields subject to a takeout rate of 15%. Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park.
No consolation; 100% payout; Alternate runner provision.

Sincerely,

Aidan Butler
Executive Director, California Racing Operations
The Stronach Group



September 9, 2020

Mr. Scott Cheney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2020-21 Winter-Spring Race Meet – Rolling Doubles Wager

Dear Scott,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its winter-spring race meet, December 23, 2020 through June 29, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON



September 9, 2020

Mr. Scott Cheney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2020-21 Winter-Spring Race Meet – Players Pick 5

Dear Scott,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 23, 2020 through June 29, 2021. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON



September 9, 2020

Mr. Scott Cheney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2020-21 Winter-Spring Race Meet – Golden Hour Double Play Wager

Dear Scott,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of a new late daily double tying the last race at Santa Anita Park and the last race at Golden Gate Fields (“the Golden Hour Double Play”), subject to a 15% takeout, on each racing day of its winter-spring race meet beginning December 23, 2020 and continuing through June 29, 2021. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON



September 9, 2020

Mr. Scott Cheney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2020-21 Winter-Spring Race Meet – Golden Hour Pick 4 Wager

Dear Scott,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of a new wager tying the last two races at Santa Anita Park and the last two races at Golden Gate Fields (“the Golden Hour Pick 4”), subject to a 15% takeout, on each racing day of its winter-spring race meet beginning December 23, 2020 and continuing through June 29, 2021. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
NORTHERN CA LIAISON: WAYNE ATWELL
ADMINISTRATIVE ASSISTANT: ELSA PERON

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$144,811**
 Prior meet actual: **\$173,783**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$49,569,027**
 Prior meet actual: **\$30,055,949**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **16.94%**
 Prior meet actual: **21.10%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.16%**
 Prior meet actual: **.35%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$5,443,140**
 Prior meet actual: **\$3,397,570**

D. Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen’s Organization

Current meet estimate:		Prior meet actual:	
NTRA	59,377		53,979
TOC	512,268		265,771
CTT Pension	256,134		132,886
CTT Admin.	<u>512,268</u>		<u>265,771</u>
Total	\$1,340,047	Total	\$718,407

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$56,352,413**
 Prior meet actual: **\$34,171,926**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$531,627**
 Prior meet actual: **\$569,532**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$16,366,430**
 Prior meet actual: **\$6,989,548**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$154,400**
 Prior meet actual: **\$116,493**

proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.53 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Exhibit 9A

- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

Veterinarians employed by Santa Anita Park are at the racetrack and available for on-track emergencies at all times that the racetrack is open for racing or training. Additionally, private veterinarians are on the backside during racing and training. That coverage is managed as a part of their normal private practice. A Santa Anita Park veterinarian will be on site during training hours until the track closes.

The schedule of which SAP vet is on track changes monthly. Their contact information is as follows:

**Dr. Laurie Bohannon – 916-202-7052
Dr. Jay Deluhery – 707-339-6347**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet menu, bet minimum, rules, and additional wagering information.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-

Veterinarian's Available for Emergency Services at Santa Anita 2020 Autumn Meet

Veterinarian	Phone	Practice
Anderson, Elizabeth	626-808-2508	Shellds
Araujo, John	626-893-7612	Self
Arthur, Rick	626-665-8130	UCD-SVM/CHRB
Baker, Vince	714-269-1301	Baker
Birch, Sarah	614-783-1237	Simpson/Dowd
Blea, Jeff	626-862-6149	Blea/Von Bluecher
Blue, Melinda	626-233-9922	Blue
Bradley, Sam	310-339-7666	Baker
Buerchler, Sabina	626-536-8080	
Byrd, Wade	310-245-7000	Baker
Canfield, Cathy	626-372-4571	Baker
Carpenter, Ryan	805-320-4811	Baker
Dowd, Joe	818-400-7498	Simpson/Dowd
Finley, Jenn	626-422-6412	Blea/Von Bluecher
Grande, Tim	626-476-8743	LATC/CHRB
Hunkin, Dawn (Bloomer)	626-862-6150	Blea/Von Bluecher
Simpson, Ted	818-970-1536	
Stead, Dana	970-310-4449	LATC
Steppe, Mike	951-318-7335	
Valko, Karen	951-317-7935	
Von Bluecher, Helmuth	626-862-6147	

Santa Anita Park Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Special Late DD	Special Late P4
1	X	X	X	X	X	X	X		X			X		
2	X	X	X	X	X	X	X	X			*	X		
3	X	X	X	X	X	X	X			*	*	X		
4	X	X	X	X	X	X	X	*		*	*	X		
5	X	X	X	X	X	X	X	*		*	*	X		
6	X	X	X	X	X	X	*	*		*	*	X		*
7	X	*	X	X	X	*	*	*		*	*	X	*	*
8	X	*	X	X	X	*	*	*		*	*	X	*	*
9	X	*	X	X	X	*	*	*		*	*	X	*	*
10	X	*	X	X	X	*	*	*		*		X	*	*
11	X	*	X	X	X	*	*	*				X	*	*
12	X	*	X	X	X	*	*					X	*	*
13	X	*	X	X	X	*						X	*	*
14	X		X	X	X							X	*	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Golden Hour Double Play (Special Late DD)	CHRB 1957	15.00%	\$5 Daily Double connecting the last race of the day at Santa Anita Park with the last race of the day at Golden Gate Fields. Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
Golden Hour Pick 4 (Special Late P4)	CHRB 1976.9	15.00%	\$1 Pick 4 connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields. Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park. No consolation; 100% payout; Alternate runner provision.

¹We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

²Only if the request made in the letter dated September 22, 2020 is denied.



September 22, 2020

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6, Late Surface Change, and Mandatory Payout Day

Scott,

This letter is in regards to Los Angeles Turf Club, Incorporated's ("LATC") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 23, 2020 through June 29, 2021 (the "Race Meet Application").

As specified in the Race Meet Application, LATC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition under ARCI 004-105(G)(16)(b) LATC will use to identify a unique ticket. LATC requests that a combination of the two definitions to define unique be used. Specifically, LATC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) contests equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(i) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

LATC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, LATC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

LATC requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodrik
Nate Newby

mutuel wagering program.

The Group Bet (racing roulette) is not being carried forward. The Special Late Pick 4 that will be offered during the 2020/2021 winter/spring meet was not offered during the 2019/2020 winter/spring meet.

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

Mandatory payout on closing day, June 27, 2021. Additionally, we request that the board approve additional mandatory distributions prior to closing day throughout the meet on the condition that the Executive Director is notified at least seven (7) days before any such mandatory distribution and such notification includes a justification for the distribution and an explanation of the benefit to be derived.

D. List any options requested with regard to exotic wagering: **Not applicable**

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Each race day from 8:30am to 10:00am

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

**Keith Johnson
AmTote International Inc. Expires August 21, 2020 with option to extend to 2025
11200 Pepper Road
Hunt Valley, MD Phone: (800) 345-1566**

G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

**Xpressbet
Twinspires (Does not include BetAmerica)
TVG
NYRABets
Bet America**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **To be provided to the board upon receipt.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

Arlington	Louisiana Downs
Assiniboia	Mahoning Valley
Australia Racing	Monmouth Park
Belterra	Mountaineer Park
Canterbury	NYRA - Aqueduct, Belmont, Saratoga
Century Downs	Oaklawn Park
Century Mile	Parx (Philly Park)
Churchill Downs	Penn National
Delaware Park	Pimlico
Delta Downs	Prairie Meadows
Ellis Park	Presque Isle
Emerald Downs	Retama Park
Evangeline Downs	South America Racing
Fair Grounds	Sunland Park
Fort Erie	Sunray Park
Gulfstream Park	Tampa Bay Downs
Hastings Park	Thistledown
Hawthorne	Turf Paradise

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592

NORTHERN CALIFORNIA

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Golden Gate Fields
1101 Eastshore Highway
Berkeley, CA 94710

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

**Santa Anita
Commingled Locations**

Exhibit 12D/E

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Scarborough Downs
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Scarlet Pearl Casino (MS)
Caribbean locations	Evangeline Downs	Meadowlands (NJ)	Scioto Downs
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Seabrook Greyhound ADW
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	Southland Greyhound
Arma Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	State Fair (Lincoln, NE)
Arlington I	Favorites at Vineand (NJ)	Monmouth Park (NJ)	Suaposta (Brazil) ADW
Bangor Raceway	Finger Lakes	Montana OTB	Suffolk District OTB
Balavia	Finger Lakes ADW	Monticello	Suffolk Downs
Belterra Park	Fonner Park	Mountaineer Park	Sunland Park
BelAmerica	Freehold (NJ)	Nassau Regional OTB	SunRay Park & Casino
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Borgata Casino (NJ)	Global Wagering Group	Newport Jai Alai	Taunton ADW
Buffalo Raceway	Global Wagering Solutions	New Jersey ADW (4NJBets)	Taunton Dog Track Inc.
Canterbury Park	Betsson, OneXTwo, German Tote	New Palace Casino (MS)	The Downs at Albuquerque
Capital District OTB	Racebets, Sportech Netherlands,	Northfield Park	Thisledown
Catskills OTB	Magna Bet, Pferdewetten, Dantoto	Cedar Downs OTB	Tioga Downs
Charles Town Race Course	Boylesports, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Churchill Downs	Grants Pass (& Oregon OTB's)	NYRA	Turf del Norte (Mexico)
Colonial Downs	Greenetrack	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Columbus Raceway	Greyhound at Post Falls	Oaklawn Park	Turf Paradise
Connecticut OTB	Gulf Greyhound	Oaklawn Park ADW	Turfway Park
Bradley Teletheater, Bristol	Gulfstream Park	Ocean Downs	TVG
New Britain, Norwalk, Milford	Harrah's Philadelphia (Chester)	Panama	TVG High Volume
East Haven, Hartford, Putnam	Harrington Raceway	PariBet ADW	Twin River Greyhound
Shoreline Star, Sports Haven	HarringtonBets ADW (DE regional)	PariBet OTB (North Dakota)	TwinSpires
Torrington, Waterbury	Hawthorne Race Course	PARX	TwinSpires High Volume
Manchester, New London	Hawthorne ADW	PARX ADW	Velocity Wagering Ltd.
Willimantic, Sanford OTB	Hoosier Park	Penn National	Venezuela OTB
John Martin's Major Restaurant	Horseman's Park	Penn National ADW	Vernon Downs
Connecticut OTB ADW	Hill)	Peru	WalchandWager ADW
Coushatta Casino	Idabet	Phumefeta	Western OTB
Paragon Casino	Indiana Downs	Plainridge Race Course	Wheeling Downs
Ho-Chunk Casino	Clarksville/Evansville OTB	Plainridge ADW	Will Rogers Downs
Mohegan Sun Casino	Intermountain Racing	Player Management Group	Wyoming OTB
Oneida Bingo and Casino	Jockey Club Brasilia	Pocono Downs ADW	Wyoming Downs
Pony Bar Simulcast Center	Keeneland	Pocono Downs/OTB	XpressBet
Tote Investment Racing	Keeneland Select ADW	Potawatomi Casino/ OTB	Yonkers Raceway
Randall James Racetrack	Kentucky Downs	Prairie Meadows	Yonkers ADW (EmpireCityBets)
Millenium Racing	Kentucky OTB	Premier Gateway International	Youbet Group 1
Royal Beach Casino	Lewislon OTB's	Premier Turf Club	Zia Park
DIW Carina Bay Casino	Lien Games	Presque Isle	123Gaming
Winner's Circle	Chips Lounge/Rumors OTB	Remington Park	
Camouflage Gaming	Howard Johnsons OTB	Remington OTB Network	Separate Pool Locations
Corpus Christi Greyhound	Skydancer Casino OTB	Relama Park	Camarero (Puerto Rico)
Dayton Raceway	X the Spot (Virgin Islands)	Racing & Gaming Services	Caymanas (Jamaica)
Delaware Park	Offtrackbetting ADW	Ruidoso Downs	Chile
Delta Downs	Lone Star	Running Aces Harness Park	Codere (Mexico/Spain)
Dover Downs	Louisiana Downs	Sam Houston	MIR Books (Calliente)
Dubuque (Iowa Greyhound)	LVDC	Valley Greyhound Park	NDS Books (Nevada)
Wild Rose OTB's	Atlantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
Egg Harbor ITW (NJ)	Buffalo Thunder Resort	Saratoga Bets (ADW)	XB (GWS)
Elite Turf Club	Foxwoods Resort Casino		
	Mahoning Valley		

Canadian Locations

Assinibola, Century Downs, Century Mile, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Flamboro Downs, Fraser Downs
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodromo de Quebec, Kawartha Downs, Marquis Downs
 Mohawk, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres
 Track On 2, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

Indiana Grand	Turfway Park
Keeneland	United Kingdom
Kentucky Downs	Woodbine
Laurel Park	Zia Park
Lone Star	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races
Los Alamitos **Per CHRB calendar** **Full Card**

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program,

or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
**Board of Directors
 Los Angeles Turf Club, Incorporated
 285 W. Huntington Drive
 Arcadia, CA 91007**

- B. Names and addresses of the trustees or directors of the distributing agent:
**Eric Sindler, General Counsel, California Racing Operations
 Los Angeles Turf Club II, Incorporated
 285 W. Huntington Drive
 Arcadia, CA 91007**

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Linda Ramirez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Ed Reese
Patrol Judges	Ed Reese, Randy Valdez
Placing Judges	Bob Moreno, Karen Denovel, Michael Burns
Starter	Jay Slender
Timer	Dane Nelson

- B. Management officials in the racing department:

VP-Racing	Steve Lym
Racing Secretary	Steve Lym
Director of Racing	Chris Merz
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 Phone: (714) 964-7102

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA Expires: December 31, 2021
Bill O'Brien

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: through July 31, 2021
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 Phone: 818/907-1900
Major video and camera equipment listing attached, Exhibit 14E

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Full-time/8 Part-time Investigators
1 Secretary	36 Security Guards
1 Stable Security Manager	13 Gatemen
1 Supervisor Investigator	1 Stable Credentials Secretary

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

- 2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

SAP Camera List August, 2019

Pegasus Comm, Inc. 16633 Ventura Blvd Ste 1010, Encino CA 91436

Jim Porep 951-314-6348

Cam #	Location	Camera	Type	Lens Type
1	Pan camera 1	Sony HXC-100k	Hard	Fuji 50x
2	Pan camera 2	Sony HXC-100k	Hard	Fuji 50x
3	Dirt 7/8 tower	Sony HXC-100k	Hard	Canon 50x
4	Turf 7/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Dirt 3/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Hill head-on	Sony HXC-100k	Hard	
6	Turf 3/8 tower	Sony HXC-100k	Hard	Canon 50x
7	1/4 pole tower	Sony HXC-100k	Hard	Canon 33x
8	Turf Hill tower	Sony HXC-100k	Hard	Canon 20x
9	Tote board finish line	Sony HXC-100k	Hard	Canon 20x
10	Walking ring	Sony HXC-100k	25ft jib	Canon 20x
11	Walking ring tower	Sony HXC-100k	Hard	Canon 50x
12	Walking ring handheld	Sony HXC-100k	Hand Held	Canon 20x
13	Winner's Circle	Sony HXC-100k	25ft Jib	Canon 20x
14	Ground level / Winner's Circle	Sony HXC-100k	Hand Held	Canon 20x
15	Paddock Set - Remote Slide	Sony HXC-100k	Pan tilt zoom focus slide	Canon 20x
16	Tunnel	Sony	Remote	
17	SB Robo East	Sony	Remote	
18	SB Robo West	Sony	Remote	
19	SB Cable Cam West	Canon	Remote	
20	SB Cable Cam East	Canon	Remote	
21	Photo Finish	Plusmic		
22	5/16		Remote	
23	SB Box 1		Fixed	
24	SB Box 2		Fixed	
25	Super Slow Motion (Shutter)	Sony	Fixed	
26	Workout		Fixed	
27	RF Overhead Gate Camera		Remote	
28	RF LiveU		Hand Held	
29	RF Gate Camera	Panasonic	Hand Held	16x
30	Announcer		Fixed	

**SANTA ANITA PARK
SECURITY LIVE RACING**

Chief of Security
Lou Scalera

Office Assistant
Tencha Diaz

FRONTSIDE SECURITY

STABLE SECURITY

Area Managers
Sam Capuano
Mitzuh Carrillo
Hector Feliciano
Rex Fisher
Mike Flanagan
Ray Larriva
George Mendoza
Dan Pfau
Chris Thiffault

Stable Security Supervisors
Sgt. Milke Veladez
Sgt. Paul Nalbandian

Uniform Security Officers
Jose Alvarez
Daniel Aragon
Ruben Arlas
John Butler
Richard Cantu
Berenise Cardoso
Nelson Cuellar
Ben Denovel
Stephen Flaherty
Cesar Franco
David Gonzales
Carroll Gray Jr
Robert Hadley
Ollis Hartley
Abel Iniguez
David Jimenez
Serglo Lozano
Sgt Mark Mattick
Anthony Mora
Michael Phillips
Allen Redding
Lous Rodriguez
Vladimir Roy
Axel Sandoval
Kenneth Shiba
John Vergo
Aaron White

Stable Credentials
Corina Carter

UNIFORM SECURITY OFFICERS
Byron Carter
William Griffin
Brandon Islas
John Lo
James White

Stable Gateman
Eduardo Barsallo
Al Castaneda
Mari Comsa
Manny Diaz
Raymundo Franco
Frank Garcia
Yolidaved Garcia
Cesar Hernandez
Clyde Higgins
Luis Holguin
Shandra Hudson
Joann Lopez
Jo Linda Palmer
Ignacio Ramirez

Parking Patrol Officers
Fernando Valenzuela

C. Describe number and location of surveillance cameras in detention stall area.
Total of Sixty-Three (63) Cameras (Barns #: 117, 118, 119, 120 and 121)

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives.

B. Plan for enhanced surveillance for trainers with high-test results.
Contractual obligations with TOC, CTT and CHRB directives will be followed.

C. Plan for detention stalls for repeat offenders.
In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff.

C. Describe the electronic security system:

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,100 additional surveillance cameras to the backside and "gap".

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. **EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Symons Ambulance

Jeff Grange

18592 Cajon Boulevard

San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit 16A

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

**2537 Old San Pasqual Rd.
Escondido, CA 92027**

Phone: (760) 525-2688

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos: Staff by Paramedics with support from Orange County Fire-paramedics. Exhibit 16B1**
- **SLR Training Center: On track ambulance includes two paramedics. Exhibit 16B2**

C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- **Yearly Jockey Physicals;**
- **Preventive and curative medical services for jockeys;**
- **Urgent care facility for jockeys;**
- **Urgent care for patrons and Santa Anita employees.**

STAFFING

- **Contracted Track Physician**
- **Contracted Paramedics (on the track) and (2 in First Aid)**
- **Licensed Emergency Ambulance**

EQUIPMENT

- **Basic First Aid Supplies (i.e. bandages and wound care);**
- **Oxygen equipment;**
- **Backboard, cervical collars, and splints;**
- **Blood pressure monitoring.**

AVAILABILITY

- **When gates open until after last race**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

**Dr. David Skinner
10010 California Avenue
South Gate, CA 90280**

Phone: (323) 567-2425

**Dr. Tri Minh Vo
10107 Valley Boulevard
El Monte, CA 91731**

Phone: (734) 277-3087

1. Name address and emergency telephone number of hospitals located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

**Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007**

Phone: (626) 445-4441

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007**

Phone: (626) 445-4441

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each



Friday September 11, 2020

To: Paige Richard

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
Director of Operations
(951) 880-6263
rmerchant@symonsambulance.com

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

Sept. 11, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

Valley Center Office
27350 Valley Center Road
Valley Center, CA 92082
(760) 751-9797 -- Office
(760) 751-8880 -- Fax

June 1, 2020

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

Mercy Medical Transportation, Inc. employs only Paramedics and Emergency Medical Technicians (EMTs) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug assessment, and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, OIG, System for Award Management (SAM) and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact me.

Sincerely Yours,



Richard F Roesch
President
Mercy Medical Transportation, Inc.



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“ FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T ”which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the first Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgement;
 - If deemed necessary Unti 41T will transport the injured jockey(s) to Methodist Hospital
 - If more than two jockey(s) need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



PROCEDIMIENTO MEDICO de EMERGENCIAS (Spanish)
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en le oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficietne en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T enfonces transportara el jinete a la Sala de EMergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista. Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al
 - Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.

jockey’s room to be used in the event of an on-track injury to a jockey:
Exhibit 16F

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Lou Scalera – Chief of Security and EH&S
Mitzuh Carrillo – Assistant EH&S

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Exhibit 16H

I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Fire Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WA2-B7D-170912-010 Expires 6/30/2021

J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation.
Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS
Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Jeff Bohland
5090 Montville Trail
Medina, OH 44256

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o John Acquarelli
4615 Trieste Drive

No.: 2020-9-ANITA

Dated: July 02, 2020

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY * Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-18	Jun 30, 2020 to Jun 30, 2021	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-010	Jun 30, 2020 to Jun 30, 2021	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 1-844-990-2378
 Fax: -
 Certs.Stronach@marsh.com

Marsh Canada Limited



By: _____
 Matthew Warnholtz



City of Arcadia

Fire Department

Michael E. Lang
Fire Chief

710 South Santa
Anita Avenue
Arcadia, CA 91006
(626) 574-5100
(626) 446-7410 (fax)

November 2, 2020

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2020 Horse Boarding & Racing)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for horse boarding and racing, including simulcast from today's date until June 20, 2021.

It is requested that this letter be accepted as the report of that inspection. During the inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned time periods at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Craig Fravel, Pres. of Santa Anita Park and CEO, the Racing Division of Stronach Group
Aiden Butler, President, L.A.T.C.
Eric Sindler, L.A.T.C.
Jason Spetnagel, Vice-President of Operations, L.A.T.C.
Ed Martinez, Director of Facilities and Grounds, L.A.T.C.
Sherrie Thayer, Executive Assistant, L.A.T.C.
Joshua Funk, Fire Inspector, L.A.T.C.

Carlsbad, CA 92008

**Today's Racing Digest, Inc.
Jason Karches
5790 Miramar Road, Suite 203
San Diego, CA 92121**

(Exempt from CHRB-25A and CHRB-87)

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**

B. Promotional/ Marketing budget for this race meeting: **On file with the board**

Promotional/Marketing budget for prior race meeting: **On file with the board**

C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* – Food and Beverage hostess's (11 weekends, 4 weekdays)

16* – SST's Mutuel staff (14 on weekdays and 16 on weekends)

***Estimates**

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1) Horsemen

a) Added an auxiliary turf chute 1/8 mile long 80ft wide. To allow us to run a 6 Furlong and 6 1/2 Furlong races.

2) Fans

3) Facilities in the restricted areas

a) Overhauling of the gutters and wash rack on the backstretch including re-cementing and extensive cleaning.

b) Restrooms were renovated, including plumbing, new paint and new fixtures in the showers.

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Reserved seating (general)	Free	
Reserved seating (clubhouse)	Free	
CH Box Seat	\$10.00	
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (preferred)*	\$5.00	
Parking (valet)	\$10.00	
Programs (on-track) – Big	\$3.00	
Programs (off-track) – Big	\$3.50	

* Will vary depending upon Group packages sold

B. Describe any "Season Boxes" fees:

Annual Season Box 2021:

Seating for Winter/Spring & Autumn	Meets: Price includes CH admission
Finish Line Box:	
4 Seats	\$4,390
6 Seats	\$5,850
8 Seats	\$7,560
Premium Box:	
4 Seats	\$3,000
6 Seats	\$3,750
8 Seats	\$4,800

C. Describe any "package" plans such as combined parking, admission and program: **Not applicable**

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- Corners (lockers and cubicles) How many
 - Showers Steam room, sauna or steam cabinets Lounge area
 - Masseur Food/beverage service Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) _____ on (date) _____. **Coordinating with CHRB Staff.**

B. Number of rooms used for housing on the backstretch of the racetrack: **445**

C. Number of restrooms available on the backstretch of the racetrack: **39 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**

- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: **3%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Dennis Moore, Consultant
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On File
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
Not applicable

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC – Agreement will be forwarded to Board upon completion.
CTT – If an agreement is reached, it will be forwarded to the board upon receipt.

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board.**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).

N/A

- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

No exceptions

- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

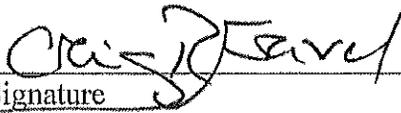
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Craig R. Fravel
Print Name


Signature

President
Print Title

9/22/20
Date

Inclement Weather Policy

Policy Statement:

The California Horseracing industry recognizes that inclement weather may temporarily prevent the normal operations of the racetrack and that coordinated decision making promotes the health and safety of all participants, including the equine athletes and riders.

In anticipation of inclement weather events, the following policy and protocols will drive the decision-making process to either delay or cancel racing and training. The concern for the equine athletes, riders and all other participants' safety serves as the main driver when these decisions are made, and decisions should always strive to err on the side of caution. Each weather incident is unique, and each will demand individual attention and analysis.

Purpose:

The Purpose of this policy is to:

- Assign responsibility in evaluating inclement weather events;
- Assign responsibility in determining postponement and cancellations of racing and training;
- Clarify the responsibilities and expectations of the stakeholders in an inclement weather event;
- Clearly outline communication protocol around decisions of postponement and/or cancellations resulting from inclement weather;
- Outline protocols to be followed after an inclement weather event;
- Help define what constitutes an "unsafe" track for training or racing;
- Be consistent with the Association of Racing Commissioners International – Model Rules: **ARCI-007-025 (G)** and **ARCI-007-020(M)**.

Scope:

This policy applies to all racetracks and approved auxiliary training centers which are operating under CHRB rules and regulations. Note: Portions of this policy relating to sealed, floated or other similar practices will not be applicable to any synthetic racing surface, or surfaces used for Standardbred racing.

Date of Effect:

This policy shall take effect starting December 26th, 2020 and may be repealed or amended from time to time with the approval of the CHRB.

Track Superintendent:

The Racing Association must notify the CHRB of any change to the Track Superintendent position prior to making the change.

Racing Surface Maintenance Data Collection:

The Racing association shall collect data and maintain electronic records to assist in the consistent maintenance of all racing surfaces. This data shall be available for review and inspection by the

Board of Stewards, Equine Medical Director, TOC, CTT, and the CHRB when requested.

These data collection protocols shall include:

- **Watering and Moisture Content control:** Electronically document all watering and irrigation on main and turf tracks. Include details on the time of application, method of application, amount of water added (gallons) and area of the racing surface to which the water was applied. For water trucks, also direction of equipment. Quality control measurements of the moisture content should be checked daily to ensure consistency in the moisture content around a dirt or turf track. This should be measured, typically at least weekly, using a device such as the time domain reflectometry or other similar measurement methods.
- **Harrowing:** document the number of rounds and direction with the motor grader, cutting harrows, and conditioning harrows. Record the depth of the cutting harrows and conditioning harrows.
- **Material additions:** Document the source, timing, quantity and method of all additions to the surfaces including sand, silt, clay, organic material, or chemicals (e.g. acids) for dirt tracks; and top dressing, fertilizer, pesticides, fungicides, seeding and sod replacement for turf tracks.
- **Turf Track:** Electronically document the turf grass species, areas of re-sodding, and cut lengths.
- **Cushion depth:** Perform periodic, typically at least once per day during racing, cushion depth measurements by using a hand probe over the surface of the track. Depth of cushion should also be verified before and after any large movement of material from either grading or washouts. Data should be stored electronically to allow tracking of results over time to ensure that track grades do not get out of tolerance ranges prior to grading.
- **Material composition:** Perform testing of track material composition at least monthly per race meet. Each sample should be analyzed separately and not mixed with other samples. Composition should include at least the following tests:
 - **Turf Track:** Soil chemistry panel including soil pH, organic carbon, major nutrients (e.g. phosphorus, potassium, calcium, magnesium, and sulfur) and soluble salts to ensure that reviews of maintenance processes can be performed, all protocols for surface preparation, maintenance and quality control measures will be documented electronically outlining procedures and schedules for all of the items above. These electronic records shall be made available for review.
 - **Dirt tracks:** sieve and hydrometer data for all samples; and organic, soil chemistry, X-ray diffraction, bulk density, and salinity measurements for a single representative sample.
- **Surveying:** For dirt and synthetic tracks the method used, and measurements obtained should be documented to ensure that the cross-fall in the straights and transitions from the straights to the turns are consistent and within specification. A track survey should be performed at least once per year with the track referenced to survey markers, laser checks or GPS mapping. Grades should be documented for internal reference.

Incident Weather Events:

Severe or harsh weather environmental conditions that may include, without limitation:

1. Extreme Temperatures;
2. High Winds;
3. Lightning;
4. Precipitation;
5. Air quality;
6. Fog/Visibility.

1. EXTREME TEMPERATURES

Symptoms of heat stress in Thoroughbreds:

- Rapid shallow breathing (i.e. panting);
- Very high body temperature;
- Flared nostrils;
- A congestion appearance of the gums;
- Staggering or weakened gait;
- Abnormal, irrational behavior;
- In extreme circumstances – collapse.

Circumstances contributing to Heat Stress:

The susceptibility of a horse to heat stress may not solely be influenced by temperature or the prevailing conditions. Certain other factors may adversely affect an individual horse's ability to withstand racing in hot conditions.

These include:

- A horse traveling long distances prior to or on the same day as the race;
- The temperament of the horse;
- Younger horses less acclimatized to heat;
- Heavy sweating;
- Withholding drinking water on the day of race.

Requirements of Racetrack/Safety Steward:

It shall be the responsibility of the Track Superintendent/Safety Steward on any race day to;

- Obtain and monitor the forecast conditions for the race day;
- Initiate the Extreme Temperature Weather Policy when necessary, and ensure the provisions are appropriately observed, where applicable;
- Communicate with racetrack personnel regarding requirements;
- During the race day monitor the air temperature provided by commercial weather service (e.g. Universal Weather Service);
- Throughout the race day communicate and take advice from the Official Veterinarian regarding current conditions and the condition of any horse;
- Consider whether modifying the racing program may provide improved conditions; and
- Minimize the time horses are required to be in post parade.

Modification to the race day program may include advancement or delay in the races if it appears possible the extreme conditions might ease or can be avoided.

In the event a trainer is concerned with their horse coping with the current conditions and requests to be withdrawn from the race, the stewards shall consider all requests on merit and the stewards may seek the opinion of the Official Veterinarian before adjudicating any request.

Postponement and Cancellation:

In the event of a forecasted extreme temperature as defined below the decision to cancel racing shall be made by General Manager of the Association and confirmed by the Board of Stewards by applying

the Extreme Temperature Standard and Policy.

Notification of cancelation shall be communicated to the following:

- Jockey's Guild Representative;
- Board of Stewards;
- TOC and CTT

Heat Stress Index:

Horses generate heat during relatively short episodes of intense exercise, such as racing. Heat and humidity can affect horses more than humans. An important calculation when determining the risk to a horse during hot and humid conditions is the Heat Stress Index (HSI), which is a simple way to estimate the ability of a horse to dissipate heat naturally. Horses' ability to dissipate heat begins to decrease at HSI 130; horses cannot dissipate heat at or above HSI 180. HSI is calculated by adding the current temperature (in Fahrenheit) and the relative humidity (in % RH). The total determines the conditions. For example, if it is 77 degrees out and the relative humidity is 65%, added together the heat index is 142.

Heat mitigation precautions should be in place whenever HSI is expected to exceed 130. These precautions should include the following:

1. strategically placed hoses for both before and after the race;
2. ice buckets with sponges in the unsaddling area;
3. ice blankets in coolers in ambulances/ track veterinarian vehicles; and
4. additional monitoring of horses post-race for heat-related problems.

180 or more: The horse has no natural means to cool off, and the rider/handler must provide aggressive cooling.
150 or more: The horses cooling mechanisms are reduced and supplemental cooling must be provided.
130 or more: The horse's cooling is mildly diminished and some horses may need assistance.
Less than 130: The horse can cool itself.

In the event HSI exceeds 160, any determination to proceed with racing or training requires the approval of the stewards based upon a recommendation from the Official or Association Veterinarian.

No training or racing shall be permitted when HSI exceeds 175.

Post-Race Attention:

All Trainers shall ensure that post-race, horses are cooled down promptly and adequately. Trainers

should be alerted that unless appropriate care is provided, a horse's core temperature may continue to RISE for up to 20 minutes post-race.

2. HIGH WINDS

This procedure outlines the various steps that will be taken to safeguard riders and equine athletes and minimize risk in the event of high winds across the racetrack. Training and Racing restrictions may be implemented during high winds to protect the riders and equine athletes' safety.

Wind speed and direction will be regularly monitored by the Track Superintendent or his staff and recorded on a daily basis.

Equipment:

The racetrack shall install an **anemometer** near the racing surface at the approximate height of the rider.

Wind speed threshold:

- **Sustained 38 mile per hour and/or gusts over 50 miles per hour** – Racetrack management will temporarily suspend Racing and Training.
- A warning about the potential need to cancel will be communicated by the racetrack management to the following:
 - Jockey's Guild representative;
 - Board of Stewards;
 - TOC and CTT.
- The final decision to cancel will be made by the General Manger of the Association and confirmed by the Board of Stewards and communicated to same parties above.

3. LIGHTNING

The following protocols for lightning are consistent with the Association of Racing Commissioners International model rule **ARCI-007-020**. The racing association shall install a real-time lightning detector and warning system that has been independently and objectively verified and subscribe to a commercial weather service (e.g. Universal Weather Service) that provide lightning detection services.

The detection service must include strike distance and radius notifications in order to provide lightning delay decision-making.

The lightning detection information shall be monitored by the Track Superintendent, or his staff during training and racing hours. This information will also be available to racetrack management and stewards at all times.

Suspension of Racing and Training:

When lightning is detected within an **8-mile radius of the racetrack** the following actions will take place:

- Track Superintendent shall notify racetrack management immediately;
- Racetrack management will suspend racing and training;
- Racetrack Management will notify the Board of Stewards and Jockey's Guild representative;
- Alert all participants to seek shelter;
- Track Superintendent will be responsible for enforcing training delay.

Resume Activity:

Racing and training activities may resume 30 minutes after the last lightning strike within an 8-mile radius of the racetrack as indicated by the lightning detection service.

4. PRECIPITATION

The following protocols will assist with the decision-making process to either suspend or cancel racing until the track is deemed safe after an extreme precipitation event. The first priority of all decisions made shall be the well-being and safety of the equine athletes and riders.

These protocols are consistent with Association of Racing Commissioners International model rule **ARCI-007-025(G)**.

Weather Monitoring:

The Racing association shall subscribe to a commercial weather service (e.g. Universal Weather Service) and install a professional weather station that will provide the following information:

- Temperature;
- Relative Humidity;
- Rainfall amount;
- Wind Speed;
- Wind Direction;
- Barometric Pressure;
- Solar Radiation.

Weather Data Collection:

All weather data shall be maintained on a daily basis by the Track Superintendent and recorded in electronic format that shall be made available to racetrack management and Board of Stewards. Any precipitation event during racing and training will require the rainfall data to be logged in 15-minute increments.

Dirt Track:

Sealed or Floated Track: is a track surface that has been smoothed and compacted utilizing specialized equipment. A sealed dry track allows water to run off the track, reducing the amount of precipitation absorbed. Wet tracks are sealed to provide a safe and even racing surface. Periodically, a “float”, which is a lighter slab of metal, will be pulled over the track to squeeze off surface water.

When precipitation is forecasted the Track Superintendent will analyze the following:

- Total amount of rainfall;
- Duration of rainfall;
- Predicted timing relative to training or racing.

Based on data received, the Track Superintendent will make a determination if the track needs to be sealed and or floated. When the track is sealed, horses will **NOT** be permitted to train on the surface.

Any decision to race on a sealed or floated track will be made based upon a decision by the Track Superintendent that in his or her professional opinion the resulting track surface is safe for the conduct

of races. That decision shall also provide that the conduct of racing will not jeopardize the safe conduct of racing or training on days subsequent to the inclement weather event. That decision will require the unanimous consent of a committee consisting of the Track Superintendent, Association General Manager, Jockeys Guild Representative, and Safety Steward subject to confirmation by the Board of Stewards. Notice of that decision will be provided to the following:

- Board of Stewards;
- Jockeys Guild;
- TOC;
- CTT.

When the rain event has ended the Track Superintendent will determine the optimal time to harrow the track using the float rake or conditioning harrows. Once the track is harrowed after being sealed, no horses will be permitted to engage in high speed workouts over the harrowed track for at least 24 hours. High speed workouts may resume only when approved by the Track Superintendent.

No Racing or Training shall be permitted on any surface deemed unsafe by the Track Superintendent or Board of Stewards.

Turf Track:

When deciding to take racing off the turf the safety of the equine athletes and rider must be the driving factor.

During a rain event the Turf Track Supervisor will continually monitor turf course to determine the amount of moisture in the track by using the following methods:

- Visual inspections;
- Walking the course;
- Using a GoingStick or probe.

If the Turf Track Supervisor determines there is too much moisture in the track and could be unsafe, he will notify the General Manger immediately. The decision to take races off or leave races on the turf shall be made by the General Manager of the association subject to confirmation by the Board of Stewards. Notification shall be made to the following:

- Jockey's Guild;
- TOC;
- CTT.

5. Air Quality

The U.S. Air Quality Index (AQI) is an index developed by the Environmental Protection Agency to measure air quality. The higher the number (1-500), the higher the level of concern.

The projected AQI for a given location can be found at <https://www.airnow.gov/>.

Tracks may also utilize on-site AQI monitors.

Daily AQI Color	Levels of Concern	Values of Index	Description of Air Quality
Green	Good	0 to 50	Air quality is satisfactory, and air pollution poses little or no risk.
Yellow	Moderate	51 to 100	Air quality is acceptable. However, there may be a risk for some people, particularly those who are unusually sensitive to air pollution.
Orange	Unhealthy for Sensitive Groups	101 to 150	Members of sensitive groups may experience health effects. The general public is less likely to be affected.
Red	Unhealthy	151 to 200	Some members of the general public may experience health effects; members of sensitive groups may experience more serious health effects.
Purple	Very Unhealthy	201 to 300	Health alert: The risk of health effects is increased for everyone.
Maroon	Hazardous	301 and higher	Health warning of emergency conditions: everyone is more likely to be affected.

Responsible Parties

During an extreme air pollutant event, including when smoke from wildfires is forecasted or detected, the General Manager of the track shall be responsible for monitoring air quality daily during extreme air pollutant events and communicating with the association and regulatory veterinarians. The General Manager (GM) of the track, representing the racing association, and the Equine Medical Director (EMD), representing the state racing regulatory body, will assess the situation and determine the safest course of action for the equine population. The GM and EMD reserve the right to recommend cancellation of racing, training, or otherwise restrict activity at any time if conditions are deemed unfavorable.

AQI Ratings and Recommendations

The GM and EMD may use the Air Quality Index (AQI) for guidance. Because the AQI is not measured directly at the track the AQI will be considered in conjunction with conditions observed at the racetrack. Generally, however, the following recommendations will be used:

AQI=100-150

If the forecasted AQU is projected to reach 100, this could be considered unhealthy for some members of the human population. The GM, and EMD may begin to monitor the facility daily if the AQI consistently reaches 100 and there is cause for concern in the local environment, including the presence of a wildfire in the area.

AQI= 150-174

If the forecasted AQI is projected to reach 150, the CVO, GM, and EMD will closely monitor the facility and may limit training to jogging and/or jogging and gallops only and be prepared to take further action if conditions worsen.

AQI = 175+

If the forecasted AQI is 175 or higher, the CVO, GM, and EMD will restrict activity at the track, including but not limited to:

- Canceling live racing (if applicable);
- Canceling official workouts; and
- Prohibiting galloping, breezing or anything more strenuous than a jog.

The GM and EMD may elect to allow horses to access the track for necessary daily exercise (walk or trot only) for their safety and welfare.

Cancellation of Track Activities

If recommended by the GM, and EMD, live racing and/or training will be canceled due to unsafe air conditions. The GM, and EMD will recommend to the Stewards that racing be canceled. The racing office will notify horsemen if access to any of the tracks is restricted.

6. Fog/Visibility

In the event of impaired visibility, the Board of Stewards, the GM of the association and the Jockeys' Guild representative shall meet to determine if the races should be cancelled or delayed. If any entity in the aforementioned group believes that the visibility is compromised such that racing would be unsafe, then the races shall be cancelled or postponed. If the evidence indicates that visibility will improve, the races may be delayed for a period not to exceed one hour, at which time the group will meet again to decide if the races can safely continue.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO
SUSPEND CHRB RULE 1845(A)(1), AUTHORIZED BLEEDER MEDICATION,
PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE FOR THE LOS
ALAMITOS QUARTER HORSE RACE MEET FROM DEC. 23, 2020 TO DEC. 31,
2020.

Regular Board Meeting
December 17, 2020

ISSUE:

The Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting the temporary suspension of California Horse Racing Board (Board) [Rule 1845\(a\)\(1\)](#) for one week for the new allocated 2021 race dates. Specifically, LAQHRA is looking to temporarily suspend Rule 1845(a)(1) from December 23, 2020 to December 31, 2020. Any action to temporarily suspend a rule requires consideration and approval by the Board.

ANALYSIS:

Board Rule 1845, Authorized Bleeder Medication, governs the requirements for the administration of furosemide (aka Lasix). New subsection (a)(1) of Rule 1845, which is effective October 1, 2020, states the following:

Furosemide is prohibited for two-year-olds entered to race as specified in Board Rule 1843.5(a).

[Board Rule 1406](#), Suspension of Rule, allows the Board, for good cause, with or without a hearing, to temporarily suspend the application of any of its rules upon any conditions it may impose. Every application for such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon thereafter as possible.

Should the Board desire to utilize Board Rule 1406, the Board can suspend subsection (a)(1) of Rule 1845 upon any condition it wishes to impose. Therefore, staff concurs that the Board could set the conditions that this partial suspension only extends to LAQHRA and only applies for the first week of the upcoming race meet: from December 23, 2020 to December 31, 2020.

BACKGROUND:

Subsection (a)(1) of Board Rule 1845, as well as other changes to Rule 1845, went into effect on October 1, 2020. Previously, the furosemide specifications of Rule 1845 were

not numbered and did not set specific limitations for two-year olds. Before the newest amendment and approval, Rule 1845 allowed for the administration of furosemide only if the horse was on the authorized bleeder list but had no restrictions regarding age. The most recent amendment of Rule 1845 prohibits the administration of furosemide for two-year-olds entered to race as specified in Board Rule 1843.5(a).

RECOMMENDATION:

This item is presented for Board discussion and action.



September 9, 2020

Mr. Scott Chaney
Executive Director
CALIFORNIA HORSE RACING BOARD
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

Los Alamitos Quarter Horse Racing Association and its Horsemen would like to respectfully request an extension and temporary waiver of CHRB Rule 1845, Authorized Bleeder Medication, for two-year olds until the end of our current meet (December 20, 2020).

Los Alamitos Quarter Horse Racing Association ("LAQHRA") has some major and prestigious futurities (over \$1,000,000 purses) for horses foaled in 2018. The owners of these two year olds are from around the country, and have been making payments to these races for almost 2 years. The trials and finals for the futurities are in November and December.

We feel that there are practical and clinical reasons for this request. The two year olds have been racing on Lasix (furosemide) all this year.

Thank you for your consideration of our request.

Respectfully,

A handwritten signature in black ink, appearing to read "Cathy Monji", is written in a cursive style.

Cathy Monji
President

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
RULE 1845. AUTHORIZED BLEEDER MEDICATION

1845. Authorized Bleeder Medication.

(a) The only authorized bleeder medication for the control of exercise-induced pulmonary hemorrhage (EIPH) shall be furosemide, and it shall only be administered by a single intravenous injection, in a dosage of not less than 150 mg and not more than 250 mg, on the grounds of the racetrack where the horse will race, and no later than four hours prior to the post time of the race for which the horse is entered. It shall only be administered to a horse that is registered on the authorized bleeder medication list.

(1) Furosemide is prohibited for two-year-olds entered to race as specified in Board Rule 1843.5(a).

(b) A horse is registered on the authorized bleeder medication list as follows:

(1) The trainer and the owner's veterinarian shall determine whether furosemide is medically necessary to control EIPH and is not otherwise contraindicated for that horse; and

(2) Prior to entry for race, the official veterinarian approves form CHRB-194 (Rev. 01/16), Authorized Bleeder Medication and Medical History Request, which is hereby incorporated by reference, submitted to the official veterinarian by the trainer and owner's veterinarian.

(c) Once registered, any horse that will be administered furosemide shall:

(1) Arrive on the grounds of the racetrack where the horse will race no later than five hours prior to the post time of the race for which the horse is entered; and

(2) Be assigned to a pre-race security stall prior to the scheduled post time for the race in which it is entered and shall remain there until it is taken to the receiving barn or the paddock to be saddled or harnessed for the race.

(A) The pre-race security stall shall be identified by the posting of a form CHRB-234 (New 09/15), Detention Stall Sign, which is hereby incorporated by reference. The trainer shall post the Detention Stall Sign no later than eight hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off the grounds of the racetrack, when the horse is placed in the pre-race security stall.

(B) While in the pre-race security stall, the horse shall be in the care, custody, control, and constant view of the trainer, or a licensed employee assigned by the trainer. The trainer shall be responsible for the condition, care and handling of the horse while it remains in the pre-race security stall.

(C) The official veterinarian may permit a horse to leave the pre-race security stall to engage in track warm-up heats prior to a race.

(d) Furosemide shall be administered only after:

(1) The trainer, owner, or the owner's veterinarian has consulted with the furosemide veterinarian regarding the condition of the horse and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1; or

(2) The trainer, owner, or owner's veterinarian has consulted with the official veterinarian or racing veterinarian and the furosemide veterinarian has examined the horse sufficient to establish a veterinary-client-patient relationship within the meaning of

California Code of Regulations, title 16, section 2032.1, and that the consulting official veterinarian or racing veterinarian directly supervises the furosemide veterinarian, or California registered veterinary technician, who administers furosemide.

(e) The person who administers furosemide pursuant to subsection (f)(1) of this regulation shall notify the official veterinarian of the treatment of the horse. Such notification shall be made on form CHRB-36 (New 08/04), Bleeder Treatment Report, which is hereby incorporated by reference, not later than two hours prior to post time of the race for which the horse is entered.

(1) The trainer or a licensed employee of the trainer shall be present and observe the furosemide administration.

(f) The horsemen's organization, trainers' organization, and racing association shall enter into an agreement to provide for race-day furosemide administration. The agreement to provide for race-day furosemide administration shall be submitted to the Board in accordance with Rule 1433 of this Division. The agreement shall describe the racing association's program for the administration of race-day furosemide, the minimum level of staffing necessary to carry out the program, and the projected costs to horsemen for such administration.

(1) Furosemide shall be administered by a furosemide veterinarian or California-registered veterinary technician under the direct supervision of the furosemide veterinarian. The furosemide veterinarian or California registered veterinary technician who provides race-day furosemide administration shall be employed by the racing association and shall not have a current business relationship, or prior veterinarian-client-patient relationship, with participating licensees within 30 days of the date he or she is

employed to administer furosemide.

(2) All parties present during the administration of furosemide shall certify in writing that they have witnessed the furosemide administration by signing the form CHRB-36 (New 08/04), Bleeder Treatment Report. The furosemide veterinarian or California registered veterinary technician shall place the syringe used to administer furosemide in an evidence bag which will be sealed in front of the witnesses. The witnesses shall sign the sealed evidence bag. The syringe used to administer furosemide shall be provided to and securely stored by the Board in accordance with subsection (hi) of this regulation.

(3) "Furosemide veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the racing association to administer race-day furosemide to horses registered on the authorized bleeder medication list.

(4) "Owner's veterinarian" is defined as the veterinarian, licensed by the Board, and hired by the owner to provide veterinary care to horses.

(g) A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than 250 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian pursuant to subsection (d).

(h) In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or California registered veterinary technician, who administered furosemide shall attend the horse until the arrival of the owner's veterinarian.

~~(i) The syringe used to administer furosemide shall be provided to and securely stored by the Board until all testing of the horse is completed. In the event of a positive~~

~~test finding as defined in this article, the Board may order, or the owner or trainer may request, the retained syringe be tested for prohibited substances. The results of the test may be used in any action before the Board.~~

(ji) A horse that has been administered furosemide must show a detectable concentration of the drug in post-race serum, plasma, or urine samples, as follows:

(1) The official laboratory shall measure the specific gravity of post-race urine samples to ensure samples are sufficiently concentrated for proper chemical analysis. The specific gravity of such samples shall not be below 1.010.

(2) If the specific gravity of the post-race urine sample is determined to be below 1.010, or if the urine sample is not available for testing, quantitation of furosemide in serum or plasma shall then be performed. Concentrations may not exceed 100 nanograms of furosemide per milliliter of serum or plasma.

(kj) A horse registered on the official authorized bleeder medication list must remain on the list unless the trainer or owner's veterinarian requests the horse be removed. The request must be made using form CHRB-194 (Rev. 01/16), and must be submitted to the official veterinarian prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.

(~~k~~) If the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race or workout, and determines such bleeding is a direct result

of EIPH, the horse shall be ineligible to race for the following periods:

- First incident -14 days;
- Second incident within 365-day period -30 days;
- Third incident within 365-day period -180 days;
- Fourth incident within 365-day period -barred from racing lifetime.

For the purposes of counting the number of days a horse is ineligible to run, the day after the horse bled externally is the first day of such period.

(~~m~~) The owner(s) of a registered horse shall:

(1) Pay all costs associated with the materials used in the administration of furosemide, including the syringe and medication, and reasonable administrative costs as set under the race-day furosemide agreement entered into by the horsemen's organization, trainers' organization, and the racing association.

(2) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1866, VETERINARIAN'S LIST, TO ALLOW THE OFFICIAL
VETERINARIAN TO REQUIRE DIAGNOSTIC IMAGING PRIOR TO A HORSE BEING
REMOVED FROM THE VETERINARIAN'S LIST

Regular Board Meeting
December 17, 2020

ISSUE:

[California Assembly Bill \(AB\) 1974 \(Chapter 251, Statutes of 2020\)](#), in part, added section 19583.5 to the Business and Professions Code (BPC). BPC section 19583.5 establishes certain conditions for horses placed on the Veterinarian's List and requirements for removal from the list. It also specifies a minimum number of days a horse must stay on the list before eligible for removal, depending on the number of times it has been on the list in a 365-day period. It also allows for diagnostic imaging. Modifications to California Horse Racing Board (Board) [Rule 1866](#), Veterinarian's List, are needed in order for the rule to comply with provisions set forth in the statute.

Additionally, Board Rule 1866.2, Shockwave Therapy Restricted, which is effective April 1, 2020, and Board Rule 1866.3, Intra-articular Injections Restricted, which will be submitted to the Office of Administrative Law (OAL) for 45-day public comment, each provide for a horse's placement on the Veterinarian's List. However, there are no corresponding provisions in Board Rule 1866. Therefore, changes to the rule are needed to incorporate them.

ANALYSIS:

The proposed amendment to Board Rule 1866 will incorporate the changes specified in Assembly Bill (AB) [1974](#) and authorize the official veterinarian to require diagnostic imaging prior to removal from the Veterinarian's List. Specifically, the proposed amendments will modify subsection (b) to provide that a horse placed on the Veterinarian's List as unsound or lame may not work out for seven days after being placed on the list, without permission of the official veterinarian. Additionally, subsections (d)–(e) will be added to specify other requirements for horses working out while being placed on the Veterinarian's List for other reasons, in order to codify Board Rule 1866.2 and Board Rule 1866.3. Subsections (f)(1)–(3) are being added to authorize the official veterinarian to require diagnostic imaging prior to removal from the Veterinarian's List and to clarify that the owners of the horse are responsible for costs associated with this imaging. This language is consistent with language already present in subsection (m) of [Board Rule 1845](#), Authorized Bleeder Medication. Lastly, the proposed amendment will modify subsections (g)(1)–(4) to incorporate changes from AB 1974 that stipulate when a horse

can be removed from the Veterinarian's List, depending on how many times within 365 days it has been placed on the Veterinarian's List due to being lame or unsound.

BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and control of horse racing and parimutuel wagering. BPC [section 19562](#) provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of Horse Racing Law, under which all horse races with wagering on their results shall be conducted in California. BPC section 19583.5, effective January 1, 2021, establishes conditions for horses placed on the Veterinarian's List due to being unsound or lame, authorizes the official veterinarian to require diagnostic imaging as part of the veterinary examination, prescribes a minimum number of days a horse must stay on the list, depending on the number of times it has been placed on the list within a 365-day period, and authorizes the Board to adopt rules and regulations to carry out the purposes of the section.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1866. VETERINARIAN'S LIST

Regular Board Meeting
December 17, 2020

1866. Veterinarian's List

(a) The official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to veterinary treatment, physical distress, injury, lameness, unsoundness or infirmity.

(1) When a horse is placed on the Veterinarian's List, the trainer of such horse shall be notified within 72 hours.

(b) A horse placed on the Veterinarian's List as:

(1) sick; or

(2) ~~having received veterinary treatment-shockwave therapy;~~

~~(3) injured;~~

may not work out for 72 hours after being placed on the list without the permission of the official veterinarian.

(c) A horse placed on the Veterinarian's List as:

(14) unsound; or

(25) lame,

may not work out for ~~72 hours~~ seven days after being placed on the list without the permission of the official veterinarian.

(d) A horse placed on the Veterinarian's List as:

(1) receiving a veterinary treatment of an intra-articular injection of corticosteroid.

may not work out for 10 days after being placed on the list.

(e) A horse placed on the Veterinarian's List as:

(1) receiving a veterinary treatment of Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy.

may not work out for 30 days after being placed on the list.

(fe) The official veterinarian ~~may~~ shall require any horse placed on the Veterinarian's List to undergo a veterinary examination prior to resuming training at any facility under the jurisdiction of the Board.

(1) The official veterinarian may require any horse on the Veterinarian's List to undergo diagnostic procedures, including but not limited to diagnostic imaging, endoscopy, and biological sample analysis as part of their veterinary examination prior to being allowed to train or race at Board inclosures.

(2) The owner(s) of a registered horse shall:

(A) Pay all costs associated with the administration of diagnostic imaging.

(B) Consent to the procedures in this section and agree that the pre-race examination conducted under the direction of the official veterinarian or racing veterinarian shall constitute a veterinary-client-patient relationship within the meaning of California Code of Regulations, title 16, section 2032.1.

(gd) A horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing veterinarian that the horse is then raceably sound and in fit physical condition to exert its best effort in a race.

(1) A horse placed on the Veterinarian's List as lame or unsound for the first time within a 365-day period must stay on the Veterinarian's List for a minimum of ~~40~~15 days before the horse is eligible to be removed from the list.

(2) A horse placed on the Veterinarian's List as lame or unsound for the second time in 365 days must stay on the Veterinarian's List for a minimum of ~~30~~45 days before the horse is eligible to be removed from the list.

(3) A horse placed on the Veterinarian's List as lame or unsound for the third time in 365 days must stay on the Veterinarian's List for a minimum of ~~60~~75 days before the horse is eligible to be removed from the list.

(4) A horse placed on the Veterinarian's List as lame or unsound for the fourth time in 365 days must stay on the Veterinarian's List for a minimum of 180 days before the horse is eligible to be removed from the list.

~~(h)~~ A horse may be required to perform satisfactorily in a workout or standardbred qualifying race to demonstrate its physical fitness, and if so a blood and/or urine post-work test sample shall be taken from the horse and the provisions of this article shall apply to such official workout in the same manner as to a scheduled race.

~~(i)~~ For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

Authority: Sections 19440, ~~and 19562,~~ and 19583.5,
Business and Professions Code.

Reference: Sections 19440, ~~and 19562,~~ and 19583.5,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
ADDITION OF CHRB RULE 1503.5, CONTINUING EDUCATION FOR TRAINERS
AND ASSISTANT TRAINERS, TO REQUIRE CONTINUING EDUCATION AS A
CONDITION OF RENEWAL OF LICENSE FOR TRAINER OR ASSISTANT TRAINER.

Regular Board Meeting
December 17, 2020

ISSUE:

The California Horse Racing Board (Board) noticed the originally proposed text of Board Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, to the public on May 15, 2020. The proposed addition was subject to a public hearing on July 16, 2020. The final rulemaking package was submitted to the Office of Administrative Law (OAL) on August 20, 2020. OAL informed the Board that the rule did not meet the requirements of the California Administrative Procedures Act (APA), so OAL could not approve the file without modification. The Board subsequently proposed changes to modify the proposed regulation to bring the rulemaking into compliance with the APA.

ANALYSIS:

The original rulemaking file, submitted to OAL on August 20, 2020, had elements that lacked clarity and consistency such that it failed to comply with the APA. The originally proposed text and documents in the rulemaking file were inconsistent with one another, and elements of the original text lacked enough clarity to fail scrutiny under the APA review. To correct these deficiencies, the text of proposed Board Rule 1503.5 was modified, and a document was added to the rulemaking file. The modified text more clearly illustrates the processes by which trainers and assistant trainers may apply for waivers from Approved Continuing Education (ACE) requirements, the process by which courses are evaluated and added to the Board's ACE curriculum, and the consequences for failing to comply with the provisions of Board Rule 1503.5. Additionally, an addendum to the Initial Statement of Reasons (ISOR) was added to the rulemaking record to correct any discrepancies between the ISOR and the proposed text, and to add supplemental content to the ISOR for additional clarity.

BACKGROUND:

Business and Professions Code (BPC) [19420](#) provides the Board with jurisdiction and supervision over horse racing meetings in the state of California where wagering occurs on their results, and over all persons having to do with the operation of such meetings. BPC section [19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel

wagering. BPC section [19460](#) provides that all licenses granted under this chapter are subject to all rules, regulations, and conditions prescribed by the Board.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
1503.5. CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS

1503.5. Continuing Education for Trainers and Assistant Trainers

(a) Commencing June 1, 2021, an applicant for renewal of license as trainer or assistant trainer shall certify that during the preceding 36-month period, he or she has completed a total of 12 hours of approved continuing education (ACE) coursework.

(1) Certification of completion of ACE coursework shall be provided on the form CHRB-59a Certification of Approved Continuing Education (ACE) Coursework (New 0912/1920), which is hereby incorporated by reference. The CHRB-59a shall be submitted with the application for renewal of license.

(b) Licensees shall maintain evidence of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records of completion to the Board upon request. Records of completed ACE coursework may be in a form provided by an ACE course, meeting or conference, or shall otherwise be recorded on the form CHRB-242 Continuing Education Certificate of Attendance (New 912/1920), which is hereby incorporated by reference. The CHRB-242 shall be available at all CHRB offices and on the Board's website. Any record of completed ACE coursework shall state at least:

(1) The title of the ACE course, meeting or conference;

(2) The date, location and provider of the ACE course, meeting or conference;

(3) The number of continuing education hours earned.

(c) Unless a waiver is granted under subsection (e) or (f) of this regulation, an

application for license renewal will be denied if form CHRB-59a is not submitted and/or for failure to produce records of completed ACE coursework should such records be requested by the Board at the time of renewal.

(d) The stewards shall take action, which may include fining and/or suspending or revoke the license of any applicant for renewal of license as trainer or assistant trainer who is found to have provided false certification of completed ACE coursework or who fails to produce records of completed ACE coursework upon the Board's request.

(e) The stewards shall waive the ACE requirements for applicants for renewal of license as trainer or assistant trainer if the trainer or assistant trainer is not domiciled in California and has had twelve or fewer starts in races in California during the previous 36-month period. Stakes races shall not be counted in the number of starts.

(1) Requests for ACE waivers under subsection (e) shall be submitted to the Board of Stewards at least 14 days before the time of application for renewal of license on the form CHRB-59b Request for Waiver of Approved Continuing Education (ACE) Requirements (New 0912/1920), which is hereby incorporated by reference. If approved, the signed form CHRB-59b shall then be submitted with the application for renewal of license.

(2f) The ACE requirements may be waived at the time of application for good cause conditioned upon the completion of the ACE requirements within a timeframe not to exceed 12 months from the date of renewal of license.

(1) A licensee granted a waiver under subsection (f) shall submit a form CHRB-59a to the Board within 12 months from the date of renewal of their license.

(A) The stewards shall take action, which may include fining and/or suspending

the license of any trainer or assistant trainer who fails to submit to the Board a form CHRB-59a within 12 months from the date of renewal of license after being granted a waiver under subsection (f).

(A2) "Good cause" includes but is not limited to a change in the licensee's state of domicile within 12 months preceding the application for renewal of license ~~and not having acted as a trainer or assistant trainer for an extended period~~ at least the preceding 12 months from the time of application for renewal of license.

~~(3) A waiver for good cause shall~~ Requests for ACE waivers under subsection (f) shall be submitted to the Board of Stewards at least 14 days before the time of application for renewal of license on the form CHRB-59c Request for Waiver of Approved Continuing Education (ACE) Requirements for Good Cause (New 12/20), which is hereby incorporated by reference, accompanied by a written explanation justifying the request ~~be made using a recommendation card form CHRB-59 (Rev. 12/15), which shall be signed by the stewards.~~ If approved, the signed form CHRB-59c shall then be submitted with the application for renewal of license.

(4g) Denial of a waiver will result in the applicant being ineligible for renewal of license until he or she has completed the requirements of subsection (a) of this regulation.

(fh) The Equine Medical Director (EMD) and the Executive Director (ED), in consultation with the California Thoroughbred Trainers, shall ~~submit~~ approve proposed ACE curriculum ~~to the Board for approval.~~

(1) The EMD and ED shall evaluate potential ACE coursework according to, but not limited to, the following criteria:

(A) instruction intended to foster competence and knowledge in horsemanship;

(B) instruction which promotes compliance with California's horse racing law and regulations;

(C) instruction which promotes equine health, safety, and welfare in racing; and

(D) instruction which promotes ethics, human resource training, and the promotion of human safety and welfare.

(42) Subsequent to the presentation approval required under subsection (fh), the EMD and ED may modify the Board approved ACE course list to: remove coursework for reasons including, but not limited to:

(A) ~~add new coursework offerings, or~~ coursework becoming irrelevant from advancements in technology;

(B) ~~remove discontinued coursework.~~ changes in regulation; and

(C) discontinued offering by the course provider.

(23) A current listing of Board approved ACE courses shall be available at all CHRB offices and on the Board's website. The list shall include the hours credited to each ACE course.

(A) The hours credited to an ACE course shall be assigned by the EMD, and shall be determined by factors including, but not limited to, difficulty of subject matter, expected learning outcomes and the actual time necessary to complete the ACE course.

(3) If a licensee has completed ACE coursework, and the course is subsequently removed from the listing of Board approved ACE courses, the ACE course completed by the licensee will satisfy the requirements under subsection (a) of this regulation.

(g) ~~For the purposes of this regulation, ACE coursework means instruction~~

~~intended to foster competence and knowledge in horsemanship, and which specifically promotes: compliance with California's horse racing law and regulations; equine health, safety, and welfare in racing; or ethics, human resource training, and the promotion of human safety and welfare.~~

Authority: Sections 19420, 19440, and 19460 and 19520,
Business and Professions Code.

Reference: Sections 19420 and 19440, and 19460,
Business and Professions Code.

CONTINUING EDUCATION CERTIFICATE OF ATTENDANCE

Meeting/Conference Title: _____

Date: _____ Location: _____

Provider: _____

Presentation/Topic: _____

Program Speaker(s) (If any): _____

Name of Licensee (Print): _____

CHRB License Number: _____

I certify that I attended the approved continuing education (ACE) coursework described above for a total of _____ continuing education hour(s).

Signature: _____ Date: _____

INSTRUCTIONS

Per Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, licensees shall maintain records of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records to the Board upon request. Individual licensees are responsible for the completeness and accuracy of their own ACE records. By signing this form you are certifying under penalty of perjury that the statements and answers you have made on this form are true and correct.

State of California

CALIFORNIA HORSE RACING BOARD

CHRB License Number: _____

CHRB-59a (New-012/20)

CERTIFICATION OF APPROVED CONTINUING EDUCATION (ACE) COURSEWORK

I _____, certify that I have completed _____ hours of ACE

(NAME)

Coursework during the period of _____ through _____.

(DATE)

(DATE)

Per Rule 1503.5, applicants shall maintain records of completed ACE coursework for a period of four years from the date of completion, and shall provide such records to the Board upon request.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE)

(SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

State of California

CALIFORNIA HORSE RACING BOARD

CHRB License Number: _____

CHRB-59b (New-012/20)

REQUEST FOR WAIVER OF APPROVED CONTINUING EDUCATION (ACE) REQUIREMENTS

I _____, certify that I am a resident of _____,

(NAME)

(CITY/STATE)

And have had twelve or fewer starts in California for the period of _____
through _____.

List all starts in California over the previous 36-month period on the reverse of this form. Per Rule 1503.5, stakes races are not counted in the number of starts.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE)

(SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

List all California starts, including track & date, over the previous 36-months. **Do NOT list stakes races.**

- | | |
|----|-----|
| 1. | 7. |
| 2. | 8. |
| 3. | 9. |
| 4. | 10. |
| 5. | 11. |
| 6. | 12. |

Approve: _____ Disapprove: _____

(Steward's Signature and Date)

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

State of California

CALIFORNIA HORSE RACING BOARD

CHRB License Number: _____

CHRB-59c (New 12/20)

**REQUEST FOR WAIVER OF APPROVED CONTINUING EDUCATION (ACE) REQUIREMENTS FOR
GOOD CAUSE**

I _____, certify that I am a resident of _____.

(NAME) (CITY/STATE)

Attach a written explanation for why a waiver for good cause is being requested pursuant to Rule 1503.5(f).

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE) (SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

Approve: _____ Disapprove: _____

(Steward's Signature and Date)

CALIFORNIA HORSE RACING BOARD

December 17, 2020
REGULAR BOARD MEETING

There is no board package material for Item 19

CALIFORNIA HORSE RACING BOARD

December 17, 2020
REGULAR BOARD MEETING

There is no board package material for Item 20