

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board** will be held on **Thursday, December 12, 2019**, commencing at **9:30 a.m.**, in the **Finish Line Room** at the **Los Alamitos Race Course, 4961 Katella Avenue, Los Alamitos, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Executive Director's Report.**
2. **Medical Director's Report.**
3. Discussion and presentation from Stronach Group regarding **stable area improvements at Santa Anita Park and San Luis Rey Training Center.**
4. Discussion and action by the Board on the **outstanding items on the Application for Licensure to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track**, commencing December 18, 2019 through June 23, 2020, inclusive (Note: Opening Day is December 26, 2019).
5. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Angeles Turf Club (LATC) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by LATC its December 18, 2019 through June 23, 2020 race meeting at the Santa Anita Racetrack.**
6. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Los Alamitos Quarter Horse Racing Association (LAQHRA) and the Pacific Coast Quarter Horse Association (PCQHRA), regarding entry conditions and specified drug substances or medications to be implemented by LAQHRA at its December 27, 2019 through December 20, 2020 race meeting at the Los Alamitos Racetrack.**

7. Discussion and action by the Board regarding allocation of **2020 Northern California race dates**.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing (dates to be determined).
9. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by PRA at its (dates to be determined) race meeting at the Golden Gate Fields Racetrack**.
10. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1660.1, Claimed Horse Health Record**, to require pertinent medical information from a horse claimed in a claiming race be transferred from the horse's former attending veterinarian to the horse's new attending vet on the Claimed Horse Health Record (CHRB-245) form (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented).
11. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1867.1, Use of Bisphosphonates Prohibited**, to prohibit the administration of bisphosphonates to any horse within a CHRB inclosure (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented).
12. Discussion and action by the Board regarding the proposed addition of **CHRB Rule 1688, Use of Riding Crop**.
13. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).

- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman
Oscar Gonzales, Vice Chair
Dennis Alfieri, Member
Gregory L. Ferraro, DVM, Member
Fredric Maas, Member
Wendy Mitchell, Member
Alex Solis, Member
Rick Baedeker, Executive Director

Date of Notice: November 27, 2019

CALIFORNIA HORSE RACING BOARD

DECEMBER 12, 2019
REGULAR BOARD MEETING

There is no board package material for Item 1

CALIFORNIA HORSE RACING BOARD

DECEMBER 12, 2019
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

DECEMBER 12, 2019
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
December 12, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE OUTSTANDING ITEMS ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB (T) AT SANTA ANITA PARK RACE TRACK, COMMENCING DECEMBER 18, 2019 THROUGH JUNE 23, 2020, INCLUSIVE (NOTE: OPENING DAY IS DECEMBER 26, 2019).

At its November 21, 2019 regular meeting, the Board approved the Los Angeles Turf Club (LATC) race meet application contingent upon the receipt of the outstanding items and with certain conditions regarding live racing and safety protocols. The conditions include a 12-day reduction in the number of live racing days, no races for horses under a \$10,000 claiming level, the submission of safety protocols related to inclement weather, and other restrictions related to horses administered corticosteroid injections. The Board ordered that the application be heard again on December 12, 2019, to address these matters.

LATC has filed its application to conduct a thoroughbred horse racing meeting at Santa Anita as follows:

- December 18, 2019 through June 23, 2020, racing 104 days, one day more than proposed for 2019. Live racing will commence December 26, 2019, and continue through June 21, 2020. LATC proposes to race a total of 844 to 1,127 races, or 8.12 to 10.84 races per day. In 2019, LATC averaged 9.06 races per day with an average of 7.36 runners per race. The (actual) average daily purse for the 2019 race meeting was \$575,675. The (estimated) average daily purse for this meet is \$495,870.
- The proposed race dates are within the dates the Board allocated.

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing four days per week, Thursday through Sunday, with the following exceptions:
 - Racing Wednesday, January 1, 2020; and Mondays, January 20, February 17, and May 25, 2020.
 - No racing Thursdays, January 2 and 23, February 20, and May 28, 2020.
- Racing concurrently with the dates allocated to Pacific Racing Association.
- First post 1:00 p.m. weekdays, 12:30 p.m. weekends and holiday, and 1:00 p.m. daily between Sunday, March 8, and Thursday, April 30, 2020, with the following exceptions:

• Thursday, December 26, 2019	Opening Day	12:00 p.m.
• Wednesday, January 1, 2020	New Year's Day	12:30 p.m.
• Saturday, January 25, 2020	Cal Cup	12:00 p.m.
• Sunday, February 2, 2020	Super Bowl	11:00 a.m.
• Saturday, March 7, 2020	Santa Anita Handicap	12:00 p.m.
• Saturday, April 4, 2020	Santa Anita Derby	12:00 p.m.
• Saturday, May 2, 2020	Kentucky Derby	11:00 a.m.*
• Saturday, May 16, 2020	Preakness	11:00 a.m.*
• Saturday, June 6, 2020	Belmont Stakes	11:00 a.m.*

**Subject to change with reasonable advance notice to Board.*
- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.

LATC requests approval to use a modified version of ARCI rule for its Pick 6 contest. LATC also requests approval to allow patrons to select an alternate wagering interest

in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

Alternate Wagering Interest

LATC requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

LATC requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for “unique.” LATC is requesting approval to use an alternative definition that is not referenced in the ARCI Model Rules or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed LATC definition.

LATC also requests approval of a change to the ARCI Model Rules during instances of late surface changes. LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a “Win All,” not a “no contest.” Also, LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each race day.
 - \$2 Group Bet (Horse Racing Roulette) available on every eligible race.
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta on all eligible races.
 - \$2 Daily Double. Requests 20% takeout.
 - \$0.50 Pick 3.
 - \$0.50 Pick 4 on races 2–5 and last four races. No consolation. 100% payout. Alternate runner provision.
 - \$0.50 Early Pick 5 on first five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day’s Early Pick 5 if no ticket has five winners. Alternate runner provision. Requests 14% takeout.

- \$0.50 Late Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Late Pick 5 if no ticket has five winners. Alternate runner provision.
- \$0.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
- \$1 Super High 5 on all races that have 7 or more declared starters. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race (including next race day, if applicable).
- Specific changes from the 2019 license application:
 - No longer offering Reserved Seating (General)—formerly free.
 - No longer offering Reserved Seating (Clubhouse)—formerly \$10, premium days only.
 - Club House (CH) Box Seat: from \$30 to \$40, premium days.
 - From CH Box Seat: \$10 non-premium days, \$30 premium days to CH Loge Box Seat (online sales: includes admission, seat, and program): \$12 non-premium days, \$40 premium days.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpires; NYRABets, LLC; ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC. Pursuant to [Business and Professions Code section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Account wagering agreement between TwinSpires and Monarch Content Management, LLC has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to [Business and Professions Code section 19602](#), and with authorized locations throughout California.
- Requests that Jennifer Paige be appointed horse identifier pursuant to [CHRB Rule 1525](#), Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Agreements allowing each ADW provider [BetAmerica; TVG Network; XpressBet, LLC] to accept wagers on races conducted at Santa Anita for the applied license term.
2. Horsemen's approval of the ADW agreements.
3. Thoroughbred Owners of California race meet agreement.
4. California Thoroughbred Trainers race meet agreement.

Specific information that **will need to be updated** during the proposed race dates:

1. ADW agreement with NYRABets, LLC (expires January 16, 2020).
2. Stabling and vanning agreement (expires January 1, 2020).

RECOMMENDATION:

Staff recommends that the application be approved contingent upon receipt of the outstanding items.



September 19, 2019

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Los Angeles Turf Club, Incorporated's ("LATC") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 18, 2019 through June 23, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, LATC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition under ARCI 004-105(G)(16)(b) LATC will use to identify a unique ticket. LATC requests that a combination of the two definitions to define unique be used. Specifically, LATC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

LATC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, LATC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

LATC requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Jackie Wagner
John McDonough
Tim Ritvo
Scott Daruty

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 **Fax (626) 446-9565**
frank.demarco@santaanita.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **Santa Anita Park**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

On file

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 18, 2019 through June 23, 2020**

- B. Actual dates racing will be held:

2019 **December 26, 27, 28, and 29 (4 days)**

2020

January 1, 2, 3, 4, 5, 10, 11, 12, 16, 17, 18, 19, 20, 24, 25, 26, 30, and 31 (18 days)

February 1, 2, 6, 7, 8, 9, 13, 14, 15, 16, 17, 21, 22, 23, 27, 28 and 29 (17 days)

March 1, 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, and 29 (17 days)

April 2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, and 30 (17 days)

CHRB CERTIFICATION

Application received: *09/27/19*

Deposit received: *On File*

Reviewed: *RP*

Hearing date: *11/21/19*

Approved date:

License number:

May 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, 24, 25, 29, 30, and 31 (19 days)
June 4, 5, 6, 7, 11, 12, 13, 14, 18, 19, 20, and 21 (12 days)

- C. Total number of days or nights of racing: **104**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: **Racing** Wednesday, January 1, 2020
Monday, January 20, 2020
Monday, February 17, 2020
Monday, May 25, 2020

Dark Thursday, January 9, 2020
Thursday, January 23, 2020
Thursday, February 20, 2020
Thursday, May 28, 2020

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **Estimated between 844 and 1,127**
- B. Number of races for each day or night:
- **7 – 9 races weekdays**
 - **9 – 12 races opening day, weekends and holidays**
 - **Exceptions:**
 - **March 7, 2020 – between 9 and 14 races**
 - **April 4, 2020 – between 9 and 14 races**
 - **May 1, 2020 – between 7 and 14 races**
 - **May 2, 2020 – between 9 and 14 races**
 - **May 15, 2020 – between 9 and 14 races**
 - **May 16, 2020 – between 9 and 14 races**
 - **June 6, 2020 – between 9 and 14 races**
- C. Total number of stakes races: **93**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Attached Pending Stakes Schedule Exhibit 3D.1.2.3

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

2019-20 Winter-Spring Stakes

Exhibit 3D1.2.3. 1/2

Run date	Race	Age	Distance	2017-18 Purses	2018-19 Purses	2019-20 Purses
Thurs, Dec. 26	Malibu Stakes (Gr I)	3 YO	7 F	\$300,000	\$300,000	\$300,000
Thurs, Dec. 26	La Brea Stakes (Gr I)	F, 3 YO	7 F	\$300,000	\$300,000	\$300,000
Thurs, Dec. 26	San Antonio Stakes (Gr II)	3 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Thurs, Dec. 26	Mathis Brothers Mile (Gr II)	3 YO	1 M (T)	\$200,000	\$200,000	\$200,000
Thurs, Dec. 26	Lady of Shamrock Stakes	F, 3 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Fri, Dec. 27	Eddie Logan Stakes	2 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Sat, Dec. 28	American Oaks (Gr I)	F, 3 YO	1 1/4 M (T)	\$300,000	\$300,000	\$300,000
Sat, Dec. 28	Robert J. Frankel Stakes (Gr III)	F/M, 3 & up	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Sun, Dec. 29	Blue Norther Stakes	F, 2 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Wed, Jan 1	Joe Hernandez Stakes	4 & up	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Jan 4	San Gabriel Stakes (Gr II)	4 & up	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, Jan 4	Sham Stakes (Gr III)	3 YO	1 M	\$100,000	\$100,000	\$100,000
Sun, Jan 5	Santa Ynez Stakes (Gr II)	F, 3 YO	7 F	\$200,000	\$200,000	\$200,000
Sat, Jan 11	La Canada Stakes (Gr II)	F/M, 4 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat, Jan 11	Las Cienegas Stakes (Gr III)	F/M, 4 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Jan 12	Kalookan Queen Stakes	F/M, 4 & up	6 1/2 F	\$75,000	\$75,000	\$75,000
Sat, Jan 18	Palos Verdes Stakes (Gr II)	4 & up	6 F	\$200,000	\$200,000	\$200,000
Sun, Jan 19	Astra Stakes	F/M, 4 & up	1 1/2 M (T)	\$75,000	\$75,000	\$75,000
Mon, Jan 20	Megahertz Stakes (Gr III)	F/M, 4 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, Jan 25	Unusual Heat Turf Classic	4 & up (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, Jan 25	Sunshine Millions f/M Turf Sprint	F/M, 4 & up (CA)	6 1/2 F (T)	\$150,000	\$150,000	\$150,000
Sat, Jan 25	California Cup Sprint	4 & up (CA)	6 F	\$150,000	\$150,000	\$150,000
Sat, Jan 25	Cal Cup Turf Sprint Stakes	3 YO (CA)	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Jan 26	Clockers' Corner Stakes	4 & up	abt 6 1/2 (T)	\$70,000	\$70,000	\$70,000
Sat, Feb 1	San Pasqual Stakes (Gr II)	4 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat, Feb 1	San Marcos Stakes (Gr II)	4 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat, Feb 1	Robert B. Lewis Stakes (Gr III)	3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun, Feb 2	Baffle Stakes	3 YO	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Feb 8	Las Virgenes Stakes (Gr II)	F, 3 YO	1 M	\$200,000	\$200,000	\$200,000
Sat, Feb 8	Thunder Road Stakes (Gr III)	4 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, Feb 9	San Vicente Stakes (Gr II)	3 YO	7 F	\$200,000	\$200,000	\$200,000
Sun, Feb 9	Sweet Life Stakes	F, 3 YO	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Sat, Feb 15	Santa Monica Stakes (Gr II)	F/M, 4 & up	7 F	\$200,000	\$200,000	\$200,000
Sat, Feb 15	Wishing Well	F/M, 4 & up	abt 6 1/2 (T)	\$75,000	\$75,000	\$75,000
Mon, Feb 17	California Cup Derby	3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Mon, Feb 17	California Cup Oaks	F, 3 YO (CA)	1 M (T)	\$200,000	\$200,000	\$200,000
Sat, Feb 22	Buena Vista Stakes (Gr II)	F/M, 4 & up	1 M (T)	\$200,000	\$200,000	\$200,000
Sun, Feb 23	Spring Fever Stakes	F/M, 4 & up (CA)	6 F	\$100,000	\$100,000	\$100,000
Sat, Feb 29	Pasadena Stakes	3 YO	1 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 1	Tiznow Stakes	4 & up (CA)	1M	\$100,000	\$100,000	\$100,000
Sat, Mar 7	Santa Anita Handicap (Gr I)	4 & up	1 1/4 M	\$500,000	\$500,000	\$500,000
Sat, Mar 7	San Felipe Stakes (Gr II)	3 YO	1 1/16 M	\$500,000	\$500,000	\$500,000
Sat, Mar 7	Frank E. Kilroe Mile (Gr I)	4 & up	1 M (T)	\$400,000	\$400,000	\$400,000
Sat, Mar 7	China Doll Stakes	F, 3 YO	1 M (T)	\$75,000	\$75,000	\$75,000
Sun, Mar 8	Santa Ysabel Stakes (G III)	F, 3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sat, Mar 14	Beholder Mile (Gr I)	F/M, 4 & up	1 M	\$400,000	\$400,000	\$400,000
Sat, Mar 14	San Simeon Stakes (Gr III)	3 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sun, Mar 15	Irish O'Brien Stakes	F/M, 4 & up	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sat, Mar 21	San Luis Rey Stakes (Gr II)	4 & up	1 1/2 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 22	Sensational Star Stakes	4 & up (CA)	abt 6 1/2 (T)	\$100,000	\$100,000	\$100,000
Sat, Mar 28	Santa Ana Stakes (Gr II)	F/M 4 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sun, Mar 29	Santana Mile	4 & up	1 M	\$75,000	\$75,000	\$75,000
Sat, Apr 4	Santa Anita Derby (Gr I)	3 YO	1 1/8 M	\$1,000,000	\$1,000,000	\$1,000,000
Sat, Apr 4	Santa Anita Oaks (Gr I)	F, 3 YO	1 1/16 M	\$400,000	\$400,000	\$400,000

2019-20 Winter-Spring Stakes

Exhibit 3D1.2.3. 2/2

Sat, Apr 4	Echo Eddie Stakes	3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Evening Jewel	F, 3 YO (CA)	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Royal Heroine Stakes (Gr II)	F/M 4 & up	1 M (T)	\$200,000	\$200,000	\$200,000
Sat, Apr 4	Providencia Stakes (Gr III)	F, 3 YO	1 1/8 M (T)	\$100,000	\$100,000	\$100,000
Sun, Apr 5	Las Flores Stakes (Gr III)	F/M, 4 & up	6 F	\$100,000	\$100,000	\$100,000
Sat, Apr 11	Mizdirection Stakes Listed)	4 & up	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sun, Apr 12	Tokyo City Cup (Gr III)	4 & up	1 1/2 M	\$100,000	\$100,000	\$100,000
Sat, Apr 18	Californian Stakes (Gr II)	3 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sun, Apr 19	Kona Gold Stakes (Gr II)	3 & up	6 1/2 F	\$200,000	\$200,000	\$200,000
Sat, Apr 25	Santa Margarita Stakes (Gr II)	F/M, 4 & up	1 1/8 M	\$200,000	\$200,000	\$200,000
Sat, Apr 25	Crystal Water Stakes	3 & up (CA)	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, Apr 26	Singletary Stakes	3 YO	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 2	Senorita Stakes (Gr III)	F, 3 YO	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 2	Angel's Flight Stakes	F, 3 YO	7 F	\$75,000	\$75,000	\$75,000
Sat, May 9	Santa Barbara Stakes (Gr III)	F/M, 3 & up	1 1/2 M (T)	\$100,000	\$100,000	\$100,000
Sun, May 10	Fran's Valentine Stakes	F/M, 3 & up (CA)	1 M (T)	\$100,000	\$100,000	\$100,000
Sat, May 16	Lazaro Barrera Stakes (Gr III)	3 YO	7 F	\$100,000	\$100,000	\$100,000
Sun, May 17	Desert Stormer Stakes (Gr III)	F/M, 3 & up	6 F	\$100,000	\$100,000	\$100,000
Sat, May 23	Triple Bend Stakes (Gr II)	4 & up	7 F	\$200,000	\$200,000	\$200,000
Sat, May 23	Charles Whittingham Stakes (Gr II)	3 & up	1 1/4 M (T)	\$200,000	\$200,000	\$200,000
Sat, May 23	Daytona Stakes (Gr III)	4 & up	abt 6 1/2 F (T)	\$100,000	\$100,000	\$100,000
Sun, May 24	Monrovia Stakes (Gr II)	F/M, 3 & up	abt 6 1/2 F (T)	\$200,000	\$200,000	\$200,000
Sun, May 24	Summertime Oaks (Gr II)	F, 3 YO	1 1/16 M	\$200,000	\$200,000	\$200,000
Mon, May 25	The Gold Cup at Santa Anita (Gr I)	3 & up	1 1/4 M	\$500,000	\$500,000	\$500,000
Mon, May 25	Shoemaker Mile (Gr I)	3 & up	1 M (T)	\$500,000	\$500,000	\$500,000
Mon, May 25	Gamely Stakes (Gr I)	F/M, 3 & up	1 1/8 M (T)	\$500,000	\$500,000	\$500,000
Sat, May 30	Santa Maria Stakes (Gr II)	F/M, 4 & up	1 1/16 M	\$200,000	\$200,000	\$200,000
Sat, May 30	Honeymoon Stakes (Gr III)	F 3 YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sun, May 31	Cinema Stakes	3 YO	1 1/8 M (T)	\$150,000	\$150,000	\$150,000
Sat, June 6	Desert Code Stakes	3 YO	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000
Sat, June 13	Thor's Echo Stakes	3 & up (CA)	6 F	\$100,000	\$100,000	\$100,000
Sun, June 14	Affirmed Stakes (Gr III)	3 YO	1 1/16 M	\$100,000	\$100,000	\$100,000
Sun, June 14	Possibly Perfect Stakes (Listed)	F/M, 3 & up	1 1/4 M (T)	\$75,000	\$75,000	\$75,000
Sat, June 20	Snow Chief Stakes	3 YO (CA)	1 1/8 M (T)	\$200,000	\$200,000	\$200,000
Sat, June 20	Dream of Summer Stakes	F/M, 4 & up (CA)	1 M	\$100,000	\$100,000	\$100,000
Sat, June 20	Wilshire Stakes (Gr. III)	F/M, 3 & up	1 M (T)	\$100,000	\$100,000	\$100,000
Sun, June 21	Melair Stakes	F, 3 YO (CA)	1 1/16 M	\$200,000	\$200,000	\$200,000
Sun, June 21	San Juan Capistrano Stakes (Gr III)	3 & up	abt 1 3/4 M (T)	\$100,000	\$100,000	\$100,000
Sun, June 21	Siren Lure Stakes	3 & up	abt 6 1/2 F (T)	\$75,000	\$75,000	\$75,000

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Attached Pending Stakes Schedule Exhibit 3D.1.2.3

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends & Holidays	Daily**
Race 1	1:00 PM	12:30 PM	1:00 PM
Race 2	1:30 PM	1:00 PM	1:30 PM
Race 3	2:00 PM	1:30 PM	2:00 PM
Race 4	2:30 PM	2:00 PM	2:30 PM
Race 5	3:00 PM	2:30 PM	3:00 PM
Race 6	3:30 PM	3:00 PM	3:30 PM
Race 7	4:00 PM	3:30 PM	4:00 PM
Race 8	4:30 PM	4:00 PM	4:30 PM
Race 9	*5:00 PM	4:30 PM	*5:00 PM
Race 10	*5:30 PM	*5:00 PM	*5:30 PM
Race 11	*6:00 PM	*5:30 PM	*6:00 PM
Race 12	*6:30 PM	*6:00 PM	*6:30 PM
Race 13	*7:00 PM	*6:30 PM	*7:00 PM
Race 14	*7:30 PM	*7:00 PM	*7:30 PM

(*) When applicable

Exceptions:

12:00 PM	Opening Day	Thursday, December 26, 2019
12:30 PM	New Year's Day	Wednesday, January 1, 2020
12:00 PM	Cal Cup	Saturday, January 25, 2020
11:00 AM	Super Bowl Sunday	February 2, 2020
12:00 PM	Santa Anita Handicap	Saturday, March 7, 2020
12:00 PM	Santa Anita Derby	Saturday, April 4, 2020
11:00 AM	Kentucky Derby Day	Saturday, May 2, 2020***
11:00 AM	Preakness Day	Saturday, May 16, 2020***
11:00 AM	Belmont Stakes Day	Saturday, June 6, 2020***

** Beginning between Sunday, March 8, 2020 and Thursday, April 30, 2020.

*** Post time subject to change with reasonable advance notice to the board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

Addendum A on file

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Tim Ritvo – President (Officer), 0 Shares

Mike Rogers – Vice President, Operations (Officer & Director), 0 Shares

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares

Rebecca Neimark – CFO (Officer)

Greg Harnish – Assistant Secretary (Officer & Director)

Scott Daruty – Senior Vice President (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Laura Proniuk, Share Register in Toronto, Canada**

Email: laura.proniuk@stronachgroup.com

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments Inc.**

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **Not applicable** Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER Not applicable

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials will be submitted directly to the Board.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Tim Ritvo – President
Aidan Butler – Acting Executive Director of California Racing Operations
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Rebecca Neimark – Chief Financial Officer
Scott Daruty – Senior Vice-President

Steve Lym – Vice President, Racing and Racing Secretary
Nate Newby – Vice President, Marketing
Jason Spetnagel – Vice President of Operations
Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Randy Hartzell – Director of Pari-Mutuels
Leonardo Marcos – Director of Food and Beverage
Lou Scalera – Chief of Security
Pete Siberell – Director of Community Service and Special Projects
Pamela Walden – Director of Groups
Mike Willman – Director of Publicity and Public Relations
Rebecca Young – Director of Catering
Jay Cohen – Hornblower
Frank Mirahmadi – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No
Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
DD Percentage: **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.



August 22, 2019

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club, Incorporated ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2019 Winter Meet, December 18, 2019 through June 23, 2020.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

FDM:sm

cc: Tim Ritvo



October 12, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2019-20 Winter-Spring Race Meet – Rolling Doubles Wager

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its winter-spring race meet, December 26, 2019 through June 21, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler



October 12, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2019-20 Winter-Spring Race Meet – Players Pick 5

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2019 through June 21, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli
President & CEO

cc: Eric Sindler

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018-2019	\$938,557,639	598,531
2017-2018	\$1,131,127,243	796,598
2016-2017	\$1,080,109,113	827,068
2015-2016	\$1,039,922,803	782,611
2014-2015	\$1,129,468,228	799,228

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: **\$31,054,174**
 Prior meet actual: **\$27,330,560**

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: **\$298,598**
 Prior meet actual: **\$337,414**

2. Overnight stakes:

Current meet estimate: **\$1,270,000**
 Prior meet actual: **\$815,000**

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: **\$12,212**
 Prior meet actual: **\$10,062**

3. Non-overnight stakes:

Current meet estimate: **\$13,301,000**
 Prior meet actual: **\$13,249,650**

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$127,894**
 Prior meet actual: **\$163,576**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$45,625,174**
 Prior meet actual: **\$41,395,210**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **17.7%**
 Prior meet actual: **17.7%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.17%**

Prior meet actual: **.22%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$4,876,135**

Prior meet actual: **\$4,293,119**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

NTRA **65,953**

58,067

TOC **401,285**

353,305

CTT Pension **401,285**

353,305

CTT Admin. **200,642**

176,652

Total **\$1,069,165**

Total **\$941,329**

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$51,570,473**

Prior meet actual: **\$46,629,658**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$495,870**

Prior meet actual: **\$575,675**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$18,623,488**

Prior meet actual: **\$16,075,261**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$179,072**

Prior meet actual: **\$198,460**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$27,706,550**

Prior meet actual: **\$24,718,141**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$266,409**

Prior meet actual: **\$305,162**

- H. Bank and account number for the Paymaster of Purses' purse account:

On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730

Phone: (909) 944-6465

Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos – 850 – ONLY THROUGH FEBRUARY 5, 2020.**
 - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Will be forwarded to the board upon receipt.

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.53 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Exhibit 9A

Veterinarian's Available for Emergency Services at Santa Anita 2019-2020 Winter-Spring

VETRINARIAN	PHONE	PRACTICE
Anderson, Elizabeth	(626) 808-2508	Sheilds
Araujo, John	(626) 893-7612	Self
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Blue, Melinda	(626) 233-9922	Blue
Bohannon, Laura	(626) 710-7281	Sheilds
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Buerchler, Sabina	(626) 536-8080	
Byrd, Wade	(310) 245-7000	Baker
Canfield, Cathy	(626) 372-4571	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Grande, Tim	(626) 476-8743	LATC / CHRB
Hunkin, Dawn (Bloomer)	(626) 862-6150	Blea/Von Bluecher
McCaughan, Mark	(562) 400-3298	
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Stead, Dana	(970) 310-4449	LATC
Steppe, Mike	(951) 318-7335	
Valko, Karen	(951) 317-7935	
Von Bluecher, Helmuth	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

Private veterinarians are on the grounds at Santa Anita for their normal practice responsibilities before 6:00AM or earlier every morning. Accordingly, there is not an official set schedule or specific veterinary assignment. In addition, a number of veterinarians live in close proximity to Santa Anita and can respond to emergencies within minutes if necessary. During the ship to Del Mar at the end of the meet, Santa Anita engages a private CHRB licensed veterinarian to be on the grounds in case of emergencies.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet menu, bet minimum, rules, and additional wagering information.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution June 21, 2019
- D. List any options requested with regard to exotic wagering: **Not applicable**

X	
---	--
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30am to 10:00am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Keith Johnson	Expires August 21, 2020
AmTote International Inc.	
11200 Pepper Road	Phone: (800) 345-1566
Hunt Valley, MD	
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

See attached wagering menu

Santa Anita Park Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*	*	X	*
8	X	*	X	X	X	*	*	*		*	*	X	*
9	X	*	X	X	X	*	*	*		*	*	X	*
10	X	*	X	X	X	*	*	*		*		X	*
11	X	*	X	X	X	*	*	*				X	*
12	X	*	X	X	X	*	*					X	*
13	X	*	X	X	X	*						X	*
14	X		X	X	X							X	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated September 19, 2019 is denied.

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- Twinspires* (Does not include BetAmerica)
- TVG
- NYRABets
- Bet America*

*Contract negotiation on-going.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board, except as noted above.

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **To be provided to the board upon receipt.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592

Sports Grill & Turf Club (mini-satellite)
3230 Hammer Ave. Suite 401
Norco, CA 92570

NORTHERN CALIFORNIA

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Golden Gate Fields
1101 Eastshore Highway
Berkley, CA 94710

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

**2019 Santa Anita
Commingled Locations**

Exhibit 12D/E

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Scarborough Downs
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Scarlet Pearl Casino (MS)
Greenbrier (WV)	Evangeline Downs	Meadowlands (NJ)	Scioto Downs
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Seabrook Greyhound ADW
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	Southland Greyhound
Arma Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	State Fair (Lincoln, NE)
Arizona Downs	Favorites at Vineland (NJ)	Monmouth Park (NJ)	Suaposta (Brazil) ADW
Arlington I	Finger Lakes	Montana OTB	Suffolk District OTB
Bangor Raceway	Finger Lakes ADW	Monticello	Suffolk Downs
Batavia	Fonner Park	Mountaineer Park	Sunland Park
Belterra Park	Freehold (NJ)	Nassau Regional OTB	SunRay Park & Casino
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Borgata Casino (NJ)	Global Wagering Group	Newport Jai Alai	Taunton ADW
Buffalo Raceway	Global Wagering Solutions	New Jersey ADW (4NJIBets)	Taunton Dog Track Inc.
Canterbury Park	Betsson, OneXTwo, German Tote	New Palace Casino (MS)	The Downs at Albuquerque
Capital District OTB	Racebets, Sportech Netherlands, SBO	Northfield Park	Thisledown
Catskills OTB	Magna Bet, Pferdewetten, Dantoto		Tioga Downs
Charles Town Race Course	AHDI,Boylesports, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Churchill Downs	Greenetrack	NYRA	Turf del Norte (Mexico)
Colonial Downs	Greyhound at Post Falls	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Columbus Raceway	Gulf Greyhound	Oaklawn Park	Turf Paradise
Connecticut OTB	Gulfstream Park	Oaklawn Park ADW	Turfway Park
Bradley Teletheater, Bristol	Harrah's Philadelphia (Chester)	Ocean Downs	TVG
New Britain, Norwalk, Milford	Harrington Raceway	Panama	TVG High Volume
East Haven, Hartford, Putnam	HarringtonBets ADW (DE regional)	PariBet ADW	Twin River Greyhound
Shoreline Star, Sports Haven	Hawthorne Race Course	PariBet OTB (North Dakota)	TwinSpires
Torrington, Waterbury	Hawthorne ADW	PARX	TwinSpires High Volume
Manchester, New London	Hoosier Park	PARX ADW	Velocity Wagering Ltd.
Williamatic, Sanford OTB	Horseman's Park	Penn National	Venezuela OTB
John Martin's Manor Restaurant	Horseshoe Council Bluffs (William Hill)	Penn National ADW	Vernon Downs
Connecticut OTB ADW	Idabet	Peru	Western OTB
Coushatta Casino	Indiana Downs	Phumeleta	Wheeling Downs
Paragon Casino	Clarksville/Evansville OTB	Plainridge Race Course	Will Rogers Downs
Ho-Chunk Casino	Intermountain Racing	Plainridge ADW	Wyoming OTB
Mohegan Sun Casino	Keeneland	Player Management Group	Wyoming Downs
Onelda Bingo and Casino	Keeneland Select ADW	PMU (Brazil)	XpressBet
Pony Bar Simulcast Center	Kentucky Downs	Pocono Downs ADW	Yonkers Raceway
Tote Investment Racing	Kentucky OTB	Pocono Downs/OTB	Yonkers ADW (EmpireCityBets)
Randall James Racetrack	Lewiston OTB's	Potawatomi Casino/ OTB	Youbet Group 1
Millenium Racing	Lien Games	Prairie Meadows	Zia Park
Royal Beach Casino	Chips Lounge/Rumors OTB	Premier Gateway International	123Gaming
Divi Carina Bay Casino	Howard Johnsons OTB	Premier Turf Club	
Winner's Circle	Skydancer Casino OTB	Remington Park	Separate Pool Locations
Camouflage Gaming	X the Spot (Virgin Islands)	Remington OTB Network	Camarero (Puerto Rico)
Corpus Christi Greyhound	Offtrackbetting ADW	Retama Park	Caymanas (Jamaica)
Dayton Raceway	Lone Star	Racing & Gaming Services	Chile
Delaware Park	Louisiana Downs	Rillito Park	Codere (Mexico/Spain)
Delta Downs	LVDC	Ruldoso Downs	MIR Books (Callente)
Dover Downs	Atlanta's Paradise Casino	Running Aces Harness Park	NDS Books (Nevada)
Dubuque (Iowa Greyhound)	Buffalo Thunder Resort	Sam Houston	Tabcorp (Australia)
Wild Rose (Clinton)	Foxwoods Resort Casino	Valley Greyhound Park	Tattsbet (Australia)
Egg Harbor ITW (NJ)	Moskwaki Bingo & Casino	Saratoga Harness Raceway	XB (GWS)
Elite Turf Club	Mahoning Valley	Saratoga Bets (ADW)	New Zealand Racing Board

Canadian Locations

Assiniboia, Century Downs, Century Mile, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs
 Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres
 Track On 2, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

Arlington	Mahoning Valley
Assiniboia	Monmouth Park
Australia Racing	Mountaineer Park
Belterra	Northlands
Canterbury	NYRA - Aqueduct, Belmont, Saratoga
Century Downs	Oaklawn Park
Churchill Downs	Parx (Philly Park)
Delaware Park	Penn National
Delta Downs	Pimlico
Ellis Park	Portland Meadows
Emerald Downs	Prairie Meadows
Evangeline Downs	Presque Isle
Fair Grounds	Retama Park
Fort Erie	South America Racing
Gulfstream Park	Suffolk Downs
Hastings Park	Sunland Park
Hawthorne	Sunray Park
Indiana Grand	Tampa Bay Downs
Keeneland	Thistledown
Kentucky Downs	Turf Paradise
Laurel Park	Turfway Park
Lone Star	United Kingdom
Louisiana Downs	Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting.

Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Angel Lomeli
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Ed Reese
Patrol Judges	Karen Denovel, Randy Valdez
Placing Judges	Michael Burns, Bob Moreno, Karen Denovel
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Steve Lym
Racing Secretary	Steve Lym
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA Expires: December 31, 2020
Bill O'Brien

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: through July 31, 2020
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 Phone: 818/907-1900
Major video and camera equipment listing attached, Exhibit 14E

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Pegasus Communications Inc. August 23, 2019

Cam #	Location	Camera	Type	Lens Type
1	Pan camera 1	Sony HXC-100k	Hard	Fuji 50x
2	Pan camera 2	Sony HXC-100k	Hard	Fuji 50x
3	Dirt 7/8 tower	Sony HXC-100k	Hard	Canon 50x
4	Turf 7/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Dirt 3/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Hill head-on	Sony HXC-100k	Hard	
6	Turf 3/8 tower	Sony HXC-100k	Hard	Canon 50x
7	1/4 pole tower	Sony HXC-100k	Hard	Canon 33x
8	Turf Hill tower	Sony HXC-100k	Hard	Canon 20x
9	Tote board finish line	Sony HXC-100k	Hard	Canon 20x
10	Walking ring	Sony HXC-100k	25ft jib	Canon 20x
11	Walking ring tower	Sony HXC-100k	Hard	Canon 50x
12	Walking ring handheld	Sony HXC-100k	Hand Held	Canon 20x
13	Winner's Circle	Sony HXC-100k	25ft Jib	Canon 20x
14	Ground level / Winner's Circle	Sony HXC-100k	Hand Held	Canon 20x
15	Paddock Set - Remote Slide	Sony HXC-100k	Pan tilt zoom focus slide	Canon 20x
16	Tunnel	Sony	Remote	
17	SB Robo East	Sony	Remote	
18	SB Robo West	Sony	Remote	
19	SB Cable Cam West	Canon	Remote	
20	SB Cable Cam East	Canon	Remote	
21	Photo Finish	Plusmic		
22	5/16		Remote	
23	SB Box 1		Fixed	
24	SB Box 2		Fixed	
25	Super Slow Motion (Shutter)	Sony	Fixed	
26	Workout		Fixed	
27	RF Overhead Gate Camera		Remote	
28	RF LiveU		Hand Held	
29	RF Gate Camera	Panasonic	Hand Held	16x
30	Announcer		Fixed	

1 Chief of Security
1 Secretary
1 Stable Security Manager
1 Supervisor Investigator

4 Investigators
36 Security Guards
13 Gatemens
1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Total of Sixty-Three (63) Cameras (Barns #: 117, 118, 119, 120 and 121)

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,100 additional surveillance cameras to the backside and "gap".

- D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**SANTA ANITA PARK
SECURITY LIVE RACING**

Chief of Security
Lou Scalera

Office Assistant
Tencha Diaz

FRONTSIDE SECURITY

STABLE SECURITY

Area Manager Supervisor
Michael Pytel

Stable Security Supervisors
Sgt. Mike Veladez
Sgt. Paul Nalbandian

Area Managers
Sam Capuano
Mitzuh Carrillo
Hector Feliciano
Rex Fisher
Mike Flanagan
Ray Larriva
George Mendoza
Dan Pfau
Mike Pytel
Chris Thiffault

Stable Credentials
Corina Carter

Uniform Security Officers
Jose Alvarez
Daniel Aragon
Ruben Arias
John Butler
Richard Cantu
Berenise Cardoso
Nelson Cuellar
Ben Denovel
Stephen Flaherty
Cesar Franco
David Gonzales
Carroll Gray Jr
Robert Hadley
Ollis Hartley
Abel Iniguez
David Jimenez
Sergio Lozano
Sgt Mark Mattick
Anthony Mora
Michael Phillips
Allen Redding
Louis Rodriguez
Vladimir Roy
Axel Sandoval
Kenneth Shiba
John Vergo
Aaron White

UNIFORM SECURITY OFFICERS
Byron Carter
William Griffin
Brandon Islas
John Lo
James White

Stable Gateman
Eduardo Barsallo
Al Castaneda
Mari Comsa
Manny Diaz
Raymundo Franco
Frank Garcia
Yolidaved Garcia
Cesar Hernandez
Clyde Higgins
Luis Holguin
Shandra Hudson
Joann Lopez
Jo Linda Palmer
Ignacio Ramirez

Parking Patrol Officers
Fernando Valenzuela

Symons Ambulance
Jeff Grange
18592 Cajon Boulevard
San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics
Exhibit 16A

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos: Huntington Ambulance, LLC
Stacey O'Bryan, President
PO Box 145
Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: Richard F. Roesch, President
Mercy Medical Transportation Inc.
2537 Old San Pasqual Rd.
Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos: Staff by Paramedics with support from Orange County Fire-paramedics. Exhibit 16B1**
- **SLR Training Center: On track ambulance includes two paramedics. Exhibit 16B2**

C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- **Yearly Jockey Physicals;**
- **Preventive and curative medical services for jockeys;**
- **Urgent care facility for jockeys;**
- **Urgent care for patrons and Santa Anita employees.**

STAFFING

- **Contracted Track Physician**
- **Contracted Paramedics (on the track) and (2 in First Aid)**
- **Licensed Emergency Ambulance**

EQUIPMENT

- **Basic First Aid Supplies (i.e. bandages and wound care);**
- **Oxygen equipment;**
- **Backboard, cervical collars, and splints;**
- **Blood pressure monitoring.**

AVAILABILITY

- **When gates open until after last race**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

Dr. David Skinner
10010 California Avenue
South Gate, CA 90280

Phone: (323) 567-2425

Dr. Tri Minh Vo
10107 Valley Boulevard
El Monte, CA 91731

Phone: (734) 277-3087



Saturday August 24, 2019

To: Paige Richard

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
Director of Operations
(951) 880-6263
rmerchant@symonsambulance.com

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

August 23, 2019

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

August 23, 2019

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMTs) that are licensed and/or certified in the State of California and the County of San Diego. All our employees endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation prior to hire.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
Arcadia Methodist Hospital **Phone: (626) 445-4441**
300 W. Huntington Drive
Arcadia, CA 91007

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Arcadia Methodist Hospital **Phone: (626) 445-4441**
300 W. Huntington Drive
Arcadia, CA 91007

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Exhibit 16F

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Dave Taylor – Environmental Health and Safety Manager; Lou Scalera – Chief of Security

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Exhibit 16H

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Fire Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WA2-B7D-170912-019 **Expires 6/30/2020**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

September 9, 2019

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire
Department

RE: Los Angeles Turf Club Fire Clearance (2019-2020 Main Meet)

To Whom It May Concern:

Michael Lang
Fire Chief

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2019-2020 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2019-2020 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Tim Ritvo, President, L.A.T.C.
Eric Sindler, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Sherrie Thayer, Executive Assistant, L.A.T.C.
Joshua Funk, Fire Marshal, L.A.T.C.

No.: 2019-3-ANITA

Dated: June 28, 2019

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-17	Jun 30, 2019 to Jun 30, 2020	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-019	Jun 30, 2019 to Jun 30, 2020	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
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Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Jeff Bohland
5090 Montville Trail
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Toby Turrell
532 1/2 D Via De La Valle
Solana Beach, CA 90275

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o John Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
5790 Miramar Road, Suite 203
San Diego, CA 92121

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**

B. Promotional/ Marketing budget for this race meeting: **On file with the board**

Promotional/Marketing budget for prior race meeting: **On file with the board**

C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* – Food and Beverage hostess's (11 weekends, 4 weekdays)

16* – SST's Mutuel staff (14 on weekdays and 16 on weekends)

***Estimates**

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans

New Daktronics Video Board

Replaces existing board with new 15 mm HD video display

Players' Lounge

Located just above Clockers' Corner at the top of the stretch, this area will play host to the Breeders' Cup Betting Challenge, and affords players ready access to over 30 big screen television monitors, Wi-Fi, betting windows and food and beverage options.

Sirona's

Put in new amplifiers and redid the speakers

3. Facilities in the restricted areas
 - a) **Extensive repairs to all tack rooms including the painting of every room we had access to, patching walls and repairing floors.**
 - b) **Repairing the roof of over 50% of barn.**
 - c) **Overhauling of the gutters and wash rack on the backstretch including re-cementing and extensive cleaning.**
 - d) **Restrooms were renovated, including plumbing, new paint and new fixtures in the showers.**
 - e) **Painting of the Equine Hospital**
 - f) **Planting trees and laying of over 13,000 square feet of sod.**

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$10.00	Sold on premium days only.
Annual Admission Passes (general)	\$100.00	Calendar Year
Annual Admission Passes (Club House)	\$150.00	Calendar Year
CH Box Seat	\$10.00	Non-Premium Days
	\$40.00	Premium Days
CH Loge Box Seat (online sales: includes admission, seat and program)	\$12.00	Non-Premium Days
	\$40.00	Premium Days
Parking (valet)	\$10.00	
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (preferred)*	\$5.00	
Programs (on-track) – Big	\$3.00	
Programs (off-track) – Big	\$3.50	

* Will vary depending upon Group packages sold

B. Describe any "Season Boxes" fees:

Annual Season Box 2020:

Seating for Winter/Spring & Autumn

Meets: Price includes CH admission

Finish Line Box:	4 Seats	\$4,390
	6 Seats	\$5,850
	8 Seats	\$7,560
Premium Box:	4 Seats	\$3,000
	6 Seats	\$3,750
	8 Seats	\$4,800

C. Describe any "package" plans such as combined parking, admission and program: **Not applicable**

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text"/> |
| <input type="checkbox"/> Showers | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) **Lan Cao/County of Los Angeles Public Health** on (date) **8/30/18**. **Returning to complete on 9/21/18.**

B. Number of rooms used for housing on the backstretch of the racetrack: **445**

C. Number of restrooms available on the backstretch of the racetrack: **39 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**

D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: **Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**

C. The percent of cross slope in the straight-aways is: **3%**
The percent of cross slope in the center of the turns is: **5%**

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal

gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Dennis Moore, Consultant
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
Not applicable

23. DECLARATIONS

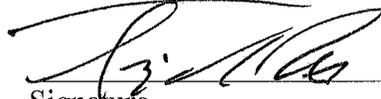
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Will be forwarded to the board upon completion
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board.**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
N/A
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):
No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo
Print Name


Signature

President
Print Title

9/16/19
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES TURF CLUB (LATC) AND
THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING ENTRY
CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE
IMPLEMENTED BY THE LATC AT ITS DECEMBER 18, 2019 THROUGH JUNE 23,
2020 RACE MEETING AT THE SANTA ANITA RACETRACK

Regular Board Meeting
December 12, 2019

ISSUE:

[CHRB Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California (TOC) and the Board. Since March of 2019, Los Angeles Turf Club (LATC) has conditioned all races run at Santa Anita Racetrack to be run with reduced levels of furosemide (Lasix) as well as a required 14-day stand down for all intra-articular injections. Presently, the parties are discussing whether an agreement will be reached to similarly condition races run at Santa Anita Racetrack to be run with reduced levels of Lasix, a required 14-day stand down for all intra-articular injections, and a 30-day stand down for corticosteroid injections into the fetlock joint when racing and a 10-day stand down for corticosteroid injections into the fetlock joint when training.

BACKGROUND:

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

A request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Should LATC desire to continue to condition races run at Santa Anita Racetrack with these restrictions, an agreement with the horseman's organization, here the TOC, must be reached and submitted to the Board for approval.

RECOMMENDATION:

Staff recommends the Board hear from representatives of LATC and the TOC.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN LOS ALAMITOS QUARTER
HORSE RACING ASSOCIATION (LAQHRA) AND THE PACIFIC COAST QUARTER
HORSE ASSOCIATION (PCQHRA), REGARDING ENTRY CONDITIONS AND
SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY
LAQHRA AT ITS DECEMBER 27, 2019 THROUGH DECEMBER 20, 2020 RACE
MEETING AT THE LOS ALAMITOS RACETRACK.

Regular Board Meeting
December 12, 2019

ISSUE:

[CHRB Rule 1581](#), Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Pacific Coast Quarter Horse Racing Association (PCQHRA) and the Board. Los Alamitos desires to condition all races run at the Los Alamitos Race Track to be run with reduced levels of furosemide (Lasix) as well as a 14-day stand down for all intra-articular injections.

BACKGROUND:

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Profession Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

Los Alamitos' request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Los Alamitos' proposed condition will reduce the maximum amount of Lasix to half of the current authorized level. [CHRB Rule 1845](#), Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce the amount to 250 mg. Additionally, the proposal seeks to require a 14-day stand down for any horse that has received an intra-articular injection.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of quarter horses, is the PCQHRA. At the time of this writing, the parties are working to finalize this agreement.

RECOMMENDATION:

This item is presented to the Board for discussion and action. Staff recommends the Board hear from the parties.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING ALLOCATION OF 2020 NORTHERN CALIFORNIA RACE DATES

Regular Board Meeting
December 12, 2019

ISSUE:

At the October 16, 2019 Race Dates Committee Meeting, the committee reviewed stakeholder proposals for the 2020 Northern California race dates calendar. The stakeholder proposals are as follows:

The California Authority of Racing Fairs (CARF) proposed the following 2020 Northern California race dates:

Alameda County Fair/Pleasanton – June 19, 2020 through July 12, 2020
State Fair/Cal Expo – July 17, 2020 through August 2, 2020
Humboldt County Fair/Ferndale – August 20, 2020 through August 30, 2020
Note: August 20, 2020 through August 23, 2020 (un-overlapped)
August 28, 2020 through August 30, 2020 (overlapped)
Big Fresno Fair/Fresno – October 8, 2020 through October 18, 2020

The Sonoma County Fair strongly opposed CARF's proposed 2020 calendar and is in negotiations with CARF regarding proposed race dates. Under CARF's proposal, the Sonoma County Fair would run between August 8, 2020, and August 20, 2020. The Sonoma County Fair has requested three weeks of racing for 2020:

The first two weeks would be with the Sonoma County Fair and the last week would be without a Fair. Dates of racing with the Fair would be from July 29 – August 11, 2020 and then August 12 – August 18, 2020 for the non-Fair racing dates. Live racing would run on July 30 and 31, August 1 and 2, August 6 through August 9, and then August 14 through August 16, 2020.

CARF is also in negotiations with Golden Gate Fields (GGF) to arrange a vanning and stabling agreement for race horses who need stabling in the summertime. Under the CARF proposal, CARF would pay GGF 2 percent of the handle generated from satellite wagering to cover the stabling costs, as authorized by sections [19607.2](#) and [19607.3](#) of the Business and Professions Code (BPC).

GGF opposes CARF's proposed 2020 calendar and the attendant deal for vanning and stabling and proposes to run both weeks overlapped with the Humboldt County

Fair/Ferndale. The Thoroughbred Owners of California (TOC) and the California Thoroughbred Trainers (CTT) endorse GGF's proposal.

CARF also proposed an alternative 2020 calendar in which the Humboldt County Fair/Ferndale runs the entire August 20, 2020 through August 30, 2020 race meet un-overlapped with GGF, and CARF will find stabling and vanning arrangements separate from GGF.

The stakeholders were unable to reach an agreement at the November 19, 2019 regular Board meeting and the Board instructed the stakeholders to continue negotiations amongst themselves and present a proposed agreement at the December 12, 2019 regular Board meeting.

The Board approved the 2020 calendar for Watch & Wager LLC harness at Cal Expo from December 26, 2019 through May 3, 2020, and October 30 through December 24, 2020 at the September 19, 2019 regular Board meeting. The Board has not allocated any daytime dates for the remainder of the 2020 Northern California calendar.

RECOMMENDATION:

This item is presented for discussion and action.

Staff recommends the Board hear from stakeholders regarding the proposed 2020 Northern California Race Dates.

STAFF ANALYSIS
December 12, 2019

Issue: DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING (DATES TO BE DETERMINED).

At its November 21, 2019 regular meeting, the Board deferred the Pacific Racing Association (PRA) race meet application until December 12, 2019, as the 2020 northern California race dates had not yet been determined.

PRA has filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) as follows:

- December 18, 2019 through June 9, 2020, racing 94 days, one day less than proposed for 2019. Live racing will commence December 26, 2019, and continue through June 7, 2020. PRA proposes to race a total of 758 to 951 races, or 8.06 to 10.12 races per day. During its winter-spring 2019 race meeting, PRA averaged 8.73 races per day and 7.01 runners per race. The (actual) average daily purse for the winter/spring 2019 race meeting was \$187,352. The (estimated) average daily purse for this meet is \$189,718.
- The Board has not yet allocated the PRA winter-spring 2020 race dates.

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

January 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

March 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

- Racing four days per week, Thursday through Sunday, with the following exceptions:
 - No racing Thursday, December 19, 2019 – Sunday, December 22, 2019
 - Racing Mondays, January 20, February 17, and May 25, 2020
 - Racing Wednesday, January 1, 2020
 - No racing Thursdays, January 9 and 23, 2020; February 20, 2020; April 9 and 16, 2020; and May 28, 2020
- Racing concurrently with the dates allocated to Los Angeles Turf Club.
- First post 12:45 p.m. on weekdays; 12:45 on weekends through April 26, 2019, then 1:15 p.m. on weekends beginning May 3, 2020; with the following exceptions:
 - Thursday, December 26, 2019 Opening Day 12:15 p.m.
 - Sunday, February 9, 2020 Super Bowl 11:15 a.m.
 - Saturday, May 2, 2020 Kentucky Derby 11:45 a.m.
 - Saturday, May 16, 2020 Preakness 11:45 a.m.
 - Monday, May 25, 2020 Memorial Day 12:45 a.m.
 - Saturday, June 6, 2020 Belmont Stakes 11:45 a.m.
- Wagering program will use CHRB and Association of Racing Commissioners International (ARCI) rules.

PRA requests approval to use a modified version of ARCI rule for its Pick 6 contest. PRA also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

Alternate Wagering Interest

PRA requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

PRA requests approval to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI Model Rules, there are two possible definitions for “unique.” PRA is requesting approval to use an alternative definition that is not referenced in the ARCI Model Rules or in the

CHRB's rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA definition.

PRA also requests approval of a change to the ARCI Model Rules during instances of late surface changes. PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the races are declared a "Win All," not a "no contest." Also, PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day's net pool is to be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI-005-105(G)(1), the Board has the authority to approve these requested changes.

- Additional wagering information:
 - Advance wagering will be offered Friday, May 1, 2020 for the Kentucky Derby; Friday, May 15, 2020 for the Preakness; and Friday, June 5, 2020 for the Belmont Stakes.
 - \$2 Group Bet (Horse Racing Roulette) available on every eligible race.
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but no more than six races.
 - \$1 Exacta on all eligible races.
 - \$.50 Trifecta on all eligible races.
 - \$.10 Superfecta on all eligible races.
 - \$2 Rolling Daily Double. Requests 20% takeout.
 - \$.50 Pick 3.
 - \$.50 Pick 4 on races 2–5 and last four races each day. No consolation. 100% payout. Alternate runner provision.
 - \$.50 Pick 5 on first five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Early Pick 5 if no ticket has five winners. Alternate runner provision. Requests 14% takeout.
 - \$.50 Pick 5 on last five races. 100% payout to all tickets selecting five winners. No consolation. 100% carryover to next day's Late Pick 5 if no ticket has five winners. Alternate runner provision.
 - \$1 Super High Five on all races that have 7 or more declared starters. 100% payout on all tickets selecting five winners. No consolation. 100% carryover to next race (including next race day, if applicable).
 - \$.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpire; NYRABets, LLC; ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC.

Pursuant to [Business and Professions Code section 19604](#), specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.

- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Account wagering agreement between TwinSpires and Monarch Content Management, LLC has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to [Business and Professions Code section 19602](#), and with authorized locations in southern California.
- Requests Darrell Sparks be appointed horse identifier pursuant to [CHRB Rule 1525](#), Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the racing meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Pursuant to [CHRB Rule 1871](#), the Operating Engineers Local Union No. 3 has provided a 15-day notice of possible intent to discontinue activities at GGF.
- Simulcasting in southern California only.

The PRA application indicates that it will be conducting simulcast wagering with southern California satellites only. In the application submitted by PRA for the same time period in 2017, all licensed satellite wagering facilities were listed. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations is in northern California, meaning that the PRA application intends for there to be no satellite wagering in northern California during its race meet.

Staff views this portion of the application to be impermissible based on applicable laws and regulations.

Specifically, California [Business and Professions Code section 19608](#) requires an association that makes more than \$1.5 million in average daily handle to make its audiovisual signal available to authorized satellite wagering facilities. [Section 19619.6](#) requires that every association that provides its live audiovisual signal to also accept wagers from the satellite

wagering facilities. Moreover, subsection (e) of [CHRB Rule 2058](#), Duties of a Racing Association or Fair Offering Simulcast Wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, staff views the PRA application requesting that simulcast wagering be offered to only southern California satellites to be in conflict with the provisions mentioned above.

Specific information **still needed** to complete this application:

1. Agreements allowing each ADW provider [BetAmerica; TVG Network; XpressBet, LLC] to accept wagers on races conducted at GGF for the applied license term.
2. Horsemen's approval of the ADW agreements.
3. Agreements with all licensed northern California satellites.
4. Thoroughbred Owners of California race meet agreement.
5. California Thoroughbred Trainers race meet agreement.

Specific information that **will need to be updated** during the proposed race dates:

1. ADW agreement with NYRABets, LLC [expires January 16, 2020].
2. Agreement with photo finish contractor (item 14D) [expires December 31, 2019].
3. Agreement with electronic timing device contractor (item 14F) [expires December 31, 2019].
4. Fire clearance [valid through June 3, 2020].

RECOMMENDATION:

If the application is considered for approval, staff recommends that it be approved contingent upon receipt of the outstanding items.

GOLDEN GATE FIELDS

September 19, 2019

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of December 18, 2019 through June 9, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodnik
John McDonough
Tim Ritvo

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
david.duggan@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **December 18, 2019 through June 9, 2020**
- B. Actual dates racing will be held: **December 26-29; January 1-5, 10-12, 16-19, 20, 24-26, 30-31; February 1-2, 6-9, 13-16, 17, 21-23, 27-29; March 1, 5-8, 12-15, 19-22, 26-29; April 2-5, 10-12, 17-19, 23-26, 30; May 1-3, 7-10, 14-17, 21-24, 25, 29-31; June 4-7.**
- C. Total number of days or nights of racing: **94 days**

CHRB CERTIFICATION	
Application received: <i>9/27/19</i>	Hearing date: <i>11/21/19</i>
Deposit received: <i>On file</i>	Approved date:
Reviewed: <i>RP</i>	License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week: **4 days per week with the exception of two 3-day race weeks in April 2020**
Racing Thursdays through Sundays
Exceptions: No racing 12/19 through 12/22/19
Racing on Mondays: 1/20/20, 2/17/20, and 5/25/20
Racing on Wednesday: 1/1/20
Dark days: Thursdays: 1/9/20, 1/23/20, 2/20/20, 4/9/20, 4/16/20, and 5/28/20

3. RACING PROGRAM

- A. Total number of races: **758 - 951**
- B. Number of races for each day or night:
- 7 – 9 races on weekdays
 - 9 – 11 races on weekends
 - 8 – 11 races on holidays
 - Exceptions:
 - Thursday, December 26th will have 7 – 10 races
 - Friday, May 1st will have 8 – 10 races
 - Saturday, May 2nd will have 9– 12 races
 - Friday, May 15th will have 8 – 10 races
 - Saturday, May 16th will have 9 – 12 races
 - Saturday, June 5th will have 9 – 12 races
 - Sunday, June 6th will have 9 - 12 races
- C. Total number of stakes races: **12 (11 Non-Overnight and 1 Overnight)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

PRA/GGF WINTER - SPRING 2020
December 26, 2019 - June 7, 2020
STAKES SCHEDULE

EL CAMINO REAL DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/8 Miles
 Saturday, February 15, 2020

CALIFORNIA DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/16 Miles
 Saturday, April 25, 2020

CALIFORNIA OAKS
 \$75,000 Guaranteed
 Fillies 3 yo- 1 1/16 Miles
 Saturday, April 25, 2020

LOST IN THE FOG
 \$75,000 Guaranteed
 3 yo & up - 6 Furlongs
 Saturday, April 25, 2020

CAMILLA URSO
 \$75,000 Guaranteed
 Fillies & Mares 3 yo & up - 5 Furlongs (Turf)
 Saturday, April 25, 2020

GOLDEN POPPY
 \$75,000 Guaranteed
 Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
 Saturday, April 25, 2020

PRA/GGF WINTER - SPRING 2019
December 26, 2018 - June 9, 2019
STAKES SCHEDULE

EL CAMINO REAL DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/8 Miles
 Saturday, February 16, 2019

CALIFORNIA DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/16 Miles
 Saturday, April 27, 2019

CALIFORNIA OAKS
 \$75,000 Guaranteed
 Fillies 3 yo- 1 1/16 Miles
 Saturday, April 27, 2019

LOST IN THE FOG
 \$75,000 Guaranteed
 4 yo & up - 6 Furlongs
 Saturday, April 27, 2019

CAMILLA URSO
 \$75,000 Guaranteed
 Fillies & Mares 4 yo & up - 5 Furlongs (Turf)
 Saturday, April 27, 2019

GOLDEN POPPY
 \$75,000 Guaranteed
 Fillies & Mares 3 yo & up - 1 1/16 Miles (Turf)
 Saturday, April 27, 2019

PRA/GGF WINTER - SPRING 2018
December 26, 2017 - June 10, 2018
STAKES SCHEDULE

CALIFORNIA DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/16 Miles
 Saturday, January 20, 2018

CALIFORNIA OAKS (Overnight Stakes)
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
 Fillies 3 yo- 1 1/16 Miles
 Saturday, February 10, 2018

EL CAMINO REAL DERBY
 \$100,000 Guaranteed
 3 yo - 1 1/8 Miles
 Saturday, February 17, 2018

LOST IN THE FOG (Overnight Stakes)
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
 4 yo & up - 6 Furlongs
 Monday, February 19, 2018

CAMILLA URSO (Overnight Stakes)
 \$50,000 Added (Plus up to \$15,000 to Cal-Breds)
 Fillies & Mares 4 yo & up - 6 Furlongs
 Saturday, March 17, 2018

PRA/GGF WINTER - SPRING 2020
December 26, 2019 - June 7, 2020
STAKES SCHEDULE

SF MILE - GIII
\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Saturday, April 25, 2020

CAMPANILE (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 26, 2020

SILKY SULLIVAN (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 26, 2020

ALCATRAZ
\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Sunday, May 17, 2020

ALL AMERICAN - GIII
\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 25, 2020

ALBANY
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 6, 2020

PRA/GGF WINTER - SPRING 2019
December 26, 2018 - June 9, 2019
STAKES SCHEDULE

SF MILE - GIII
\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Saturday, April 27, 2019

CAMPANILE (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 28, 2019

SILKY SULLIVAN (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 28, 2019

ALCATRAZ
\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Sunday, May 19, 2019

ALL AMERICAN - GIII
\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 27, 2019

ALBANY
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 8, 2019

PRA/GGF WINTER - SPRING 2018
December 26, 2017 - June 10, 2018
STAKES SCHEDULE

SF MILE - GIII
\$250,000 Guaranteed
3 yo & up - 1 Mile (Turf)
Saturday, April 28, 2018

CAMPANILE (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
Fillies 3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 29, 2018

SILKY SULLIVAN (Golden State Series Stakes)
\$100,000 Guaranteed
(Includes \$37,500 CBRF and \$12,500 CMC)
3 yo (Cal-Breds) - 1 Mile (Turf)
Sunday, April 29, 2018

ALCATRAZ
\$75,000 Guaranteed
3 yo - 1 Mile (Turf)
Sunday, May 20, 2018

ALL AMERICAN - GIII
\$100,000 Guaranteed
3 yo & up - 1 Mile
Monday, May 28, 2018

ALBANY
Overnight Stakes
\$50,000 Added (Plus \$15,000 to Cal-Breds)
3 yo & up - 5 Furlongs (Turf)
Saturday, June 9, 2018

Race No.	All weekdays during the meet, and all weekends between December 26, 2019 – April 26, 2020	Race No.	All weekends beginning May 3, 2020
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 th (when applicable)	5:15 p.m.	10 th (when applicable)	5:45 p.m.
11 th (when applicable)	5:45 p.m.	11 th (when applicable)	6:15 p.m.
12 th (when applicable)	6:15 p.m.	12 th (when applicable)	6:45 p.m.

Exceptions:

Thursday, December 26, 2019	Opening Day	12:15 p.m.
Sunday, February 9, 2020	Super Bowl	11:15 a.m.
Saturday, May 2, 2020	Kentucky Derby	11:45 a.m.
Saturday, May 16, 2020	Preakness	11:45 a.m.
Monday, May 25, 2020	Memorial	12:45 p.m.
Saturday, June 6, 2020	Belmont Stakes	11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Tim Ritvo, President (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Greg Harnish, Assistant Secretary (Officer/Director), 0 Shares
Rebecca Neimark, Chief Financial Officer (Officer), 0 Shares
Scott Daruty, Senior Vice President (Officer), 0 Shares
David Duggan, Vice President & General Manager (Officer), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Development Investments Inc.
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Not applicable
5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
Not applicable
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Tim Ritvo, President
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Greg Harnish, Assistant Secretary
Scott Daruty, Senior Vice President
David Duggan, Vice President & General Manager
Rebecca Neimark, Chief Financial Officer

Cindy Medina, Assistant Controller
Merry Scalzo, Director of Administration
Doug Gooby, Mutuel Manager
William Rizzuto, Facility Manager
Dan Cirimele, Senior Director of Marketing
Ryan Hilton, Marketing/Publicity Manager
William Rossi, Public Safety Director
Matt Dinerman, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5**
Daily Double

Proposed percentage: **14%**
20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Golden Gate Fields

September 6, 2019

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

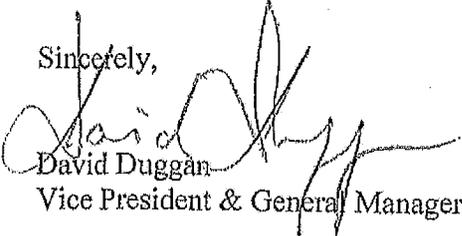
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA winter/spring race meet, December 26, 2019 through June 7, 2020 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,


David Duggan
Vice President & General Manager

Pacific Racing Association
1100 Eastshore Highway, Berkeley, California 94710



August 18, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019-20 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2019 – June 9, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Merry Scalzo



August 18, 2019

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019-20 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2019 – June 9, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Merry Scalzo, GGF

Year	Handle	Attendance
2019 (12/26/18-6/9/19)	\$ 31,385,502.70	146,380 (94 live race days)
2018 (12/26/17-6/10/18)	\$ 34,006,914.29	172,462 (95 live race days)
2017 (12/26/16-6/18/17)	\$ 37,682,905.50	187,631 (99 live race days)
2016 (12/26/15-6/12/16)	\$ 36,428,245.30	177,602 (97 live race days)
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet represents December 26, 2018 through June 9, 2019 (94 race days)*

1. All races other than stakes:

Current meet estimate: \$ 14,468,161.00

Prior meet actual: \$ 14,231,068.00

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 153,916.61

Prior meet actual: \$ 151,394.34

2. Overnight stakes:

Current meet estimate: \$ 50,000.00

Prior meet actual: \$ 50,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 531.91

Prior meet actual: \$ 531.91

3. Non-overnight stakes:

Current meet estimate: \$ 950,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 1,009,650.00

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 10,106.38

Prior meet actual: \$ 10,740.96

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 15,468,161.00

Prior meet actual: \$ 15,290,718.00

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 19.05%

Prior meet actual: 19.81%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: .20%

Prior meet actual: .21%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$ 1,754,885.00**
 Prior meet actual: **\$ 1,737,734.00**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

TOC (NTRA contribution) \$ 251,378.00
TOC \$ 143,644.00
CTT (Administration) \$ 143,644.00
CTT (Pension) \$ 71,822.00
Total \$ 610,488.00

TOC (NTRA contribution) \$ 239,891.00
TOC \$ 137,080.00
CTT (Administration) \$ 137,080.00
CTT (Pension) \$ 68,540.00
Total \$ 582,591.00

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: **\$ 17,833,534.00**
 Prior meet actual: **\$ 17,611,043.00**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 189,718.44**

Prior meet actual: **\$ 187,351.52**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
 Current meet estimate: **\$ 6,689,903.00**
 Prior meet actual: **\$ 6,623,667.00**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 71,169.18**

Prior meet actual: **\$ 70,464.54**

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$ 9,227,468.00**
 Prior meet actual: **\$ 9,239,144.00**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 98,164.55**

Prior meet actual: **\$ 98,288.77**

- H. Bank and account number for the Paymaster of Purses' purse account: **On file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt,

be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

**PRA /PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES**

**Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>
	Mondays: Closed
	Tuesdays: AM Only – TBD
	Wednesdays: AM Only – TBD
	Thursdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday: AM – Dr. Sara Sporer PM – Dr. William Grantham

TBD – Rotating schedule amongst list from exhibit A

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifacta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. N/A
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 7, 2020 for the period of December 26, 2019 through June 6, 2020**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advance wagering will be offered Friday, May 1, 2020 for the Kentucky Derby, Friday, May 15, 2020 for the Preakness, and on Friday, June 5, 2020 for the Belmont Stakes
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

See attached wagering menu.

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
TwinSpire* (BetAmerica is not included)
TVG

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*		X	*
8	X	*	X	X	X	*	*	*		*		X	*
9	X	*	X	X	X	*	*	*				X	*
10	X	*	X	X	X	*	*					X	*
11	X	*	X	X	X	*						X	*
12	X		X	X	X							X	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

² Only if the request made in the letter dated September 19, 2019 is denied.

**NYRABets
BetAmerica***

***Contract negotiation on going.**

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the Board, except as noted above
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Forwarded to Board upon receipt**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
N/A
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
N/A
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
**Attached
(Southern California only)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
See Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":
See attached.

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavilion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

2018 Golden Gate (PRA & PRA II) Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutual
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	ML Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Accf Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets		Toga Downs
Capital District OTB	Greenetrack	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Northville	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA	Turfway Park
Chester Downs & Marina LLC	Gulfstream	NYRA Account Wagering	TVG Chester
Churchill Downs	Harrington Raceway	Oaklawn	TVG Network
Club Hiplca InTurf	HarringtonBets (DE regional)	Ocean Downs	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	Panama	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX	TwinSpires
Colonial Downs Phone Bet	Hazel Park	PARX Phone Bet	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Penn National Telebet	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Peru	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Race Course	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Plainridge Telephone Wagering	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Player Management Group	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Account Wagering	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Pocono Downs and OTB	Wyoming OTB
Williamantic, Sanford OTB	Kentuck Downs	Portland Meadows	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Potawatomi Casino/ OTB	XpressBet
Connecticut OTB ADW	Lebanon	Prairie Meadows	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Premier Turf Club	Yonkers ADW
Paragon Casino	Lewiston OTB's	Presque Isle Downs	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Raceway Park	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day LLC	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Racing2Day Intl. (Stan James)	
Pony Bar Simulcast Center	Rumors OTB	Remington Park	
Tote Investment Racing	Skydancer Casino OTB		Remington OTB Network
Randall James Racetrack	Lien Games ADW	Retama	Racing & Gaming Services
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Rillito Park
Royal Beach Casino	Lone Star	River Downs	River Downs
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Rockingham Park
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Rockingham Account Wagering
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	Ruidoso Downs
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Running Aces Harness Park
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Saddle Brook Park
Delta Downs	Foxwoods Resort Casino	Sam Houston	Sam Houston
Derby Jackpot	Meskwaki Bingo & Casino		Valley Greyhound Park
			Separate Pool Locations
			Camarero (Puerto Rico)
			Caymanas (Jamaica)
			Codere (Mexico/Spain)
			MIR Books (Callejete)
			NDS Books (Nevada)
			Tabcorp (Australia)
			Tallsbet (Australia)
			New Zealand Racing Board

Canadian Locations

Alberta Downs, Assiniboia, Barré, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawatha, Hipodromo de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Plover Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

2020 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
None.		

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
None.		

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).
Forwarded to the Board upon receipt.

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**

- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Examining Veterinarian)
	Forrest Franklin, D.V.M. (CHRB Veterinarian)
	William Grantham, D.V.M. (Track Veterinarian)
Clerk of Scales	Ken Sjoldal
Assistant Clerk of Scales	Ross Allardyce
Clerk of the Course	Tina Walker-Bryant
Placing Judge/Stakes Coordinator	Lisa Jones
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Agustin Rayas
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Film Analyst	Morgann Schlessler
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike

- B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Claudia Dominguez
Others (identify by name and title)	
Main Track Superintendent	Juan Meza
Turf Track Superintendent	Hugo Sandoval
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Devon Dunham

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019.**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company (effective 3-1-18). Contract expires 12/31/19.**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

William Rossi, Public Safety Director is responsible for security on-site
Security Department organizational attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
William Rossi cell phone number (510) 773-7873

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Public Safety Director	7 – Security Corporals/Guards
2 – Security Lieutenant	8 – Stable Security Officers
4 – Security Sergeants	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

- 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

- B. Number of security guards in the detention stall area during a 24-hour period.
Four Security Guards

- C. Describe number and location of surveillance cameras in detention stall area.
Entire surveillance camera system in the barn area has been upgraded by Geovision in June 2019 to include extended memory of 90 days. The camera equipment is connected

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole

3/8 Pole

1/4 Pole

Paddock

Paddock (Gliding)

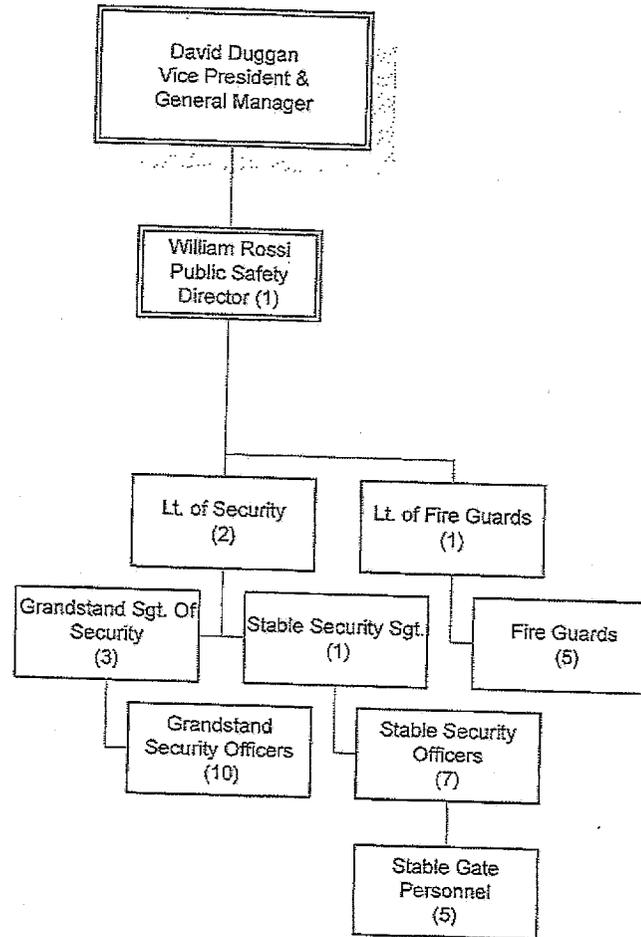
(2) Pan Cameras on Roof

Jib camera in Paddock

Starting Gate (Robo)

Parking Lot (Robo-scenic)

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to William Rossi, , Public Safety Director which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRFB, are called in the morning of the race to meet with the Public Safety Director for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRFB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact Public Safety Director if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Public Safety Director. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

to a digital recording system which is kept in a secured area with a power back-up in place. There are a total of 25+ cameras strategically placed throughout the barn area. Receiving Barn includes four (4) fixed cameras placed over designated stalls, one (1) 360° fish-eye camera in center of walking ring, and (2) fixed cameras outside on east side of Receiving Barn.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.
Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.
In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Entire surveillance camera equipment in the barn area upgraded by Geovision in June 2019. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot surveillance include the following: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate (2 cameras facing incoming and 1 camera facing outgoing), one (1) camera located on roof of Stable Security Office looking out into barn area, two (2) cameras in O/T parking lot parking lot, three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance, and numerous cameras surveilling roadways, Racing Office, CHRB office, gap gate/chute, etc.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 6, 2019

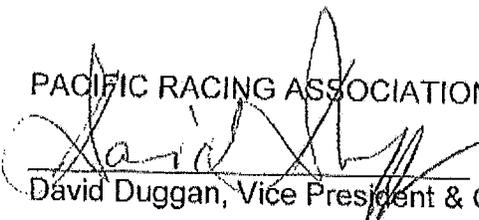
David Duggan
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2020 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS


David Duggan, Vice President & General Manager

TURF RESCUE, LLC


Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive

Castro Valley, CA 94546

510-581-8470

September 6, 2019

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2020

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



GOLDEN GATE FIELDS

DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours
Following last posted race;
- Extended hours for necessary follow-up care and/or upon
Request from track management or CHRB.

- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:
Dr. David Seftel
Office Number: 510-559-7375, Cell Number: 650-520-6204
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
William Rizzuto, Facility Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Albany fire clearance letter attached valid through December 31, 2019
Berkeley fire clearance letter attached valid through June 3, 2020
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2020 – Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**EMERGENCY MEDICAL PROCEDURES
-LIVE RACING-
FOR ON-TRACK INJURY TO JOCKEYS**

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 (*or the accident may very well be witnessed directly by the Track Physician*);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (*a Fire Dept. truck shall also respond*).



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance (*accompanied by the Track Physician*) at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de Jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

**EMERGENCY MEDICAL PROCEDURES
-MORNING TRAINING-
FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS**

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") *(o tal vez el equipo de la ambulancia presencia el accidente directamente)*;
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany *(también podrá actuar un camión del Departamento de Bomberos)*.



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE

ALBANY, CA 94706

510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Albany California' 94706

December 17, 2018

Mr. Roenbeck,

Fire Inspectors from the Albany Fire Department together with Leonard Townsend of Golden Gate Fields (Fire Watch) conducted a Fire and Life Safety inspection on December 11, 2018. Public Areas within the Grandstand and the associated facilities were evaluated for preparation of the 2019 Racing Season. Clearance for the facility is granted for all aspects of your operations.

It is important to note that operations beyond the scope of normal racetrack activities may require additional inspections as needed, such as temporary tent structures in the North Parking Lot, etc.

Please contact our office with any questions.

James S Jorgensen
Fire Inspector
Albany Fire Department
(510) 528-5775



Department of Fire and Emergency Services
Division of Fire Prevention

June 3, 2019

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, May 15, 2019, the City of Berkeley Fire Prevention Division conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Monday, June 3, 2019. Upon re-inspection of the facilities, it was found that there were nine outstanding fire code corrections needed. It is our understanding that these shall be abated by the end of the week.

Continual improvements that Golden Gate Fields has agreed to complete include:

- Replacing all existing standalone smoke alarms with combination carbon monoxide and smoke alarms with a 10-year battery.
- Painting a red line in the barns to indicate the maximum height of hay storage.
- Educating boarders on the use of extension cords by posting flyers in each boarding room stating that extension cords are not allowed to be used, surge protectors are allowed, and that large appliances shall be plugged directly to a wall receptacle.
- Maintaining the sprinklers to be clear of dust and cobweb build-up.
- Installing hand grips to the exterior escape ladders on barns with boarding rooms on the second level to assist in an emergency escape using the ladders.

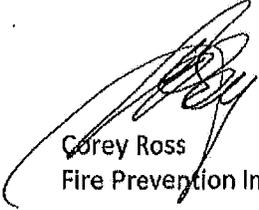
These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank YOU and the ENTIRE Golden Gate Fields family in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,



Dori Tieu
Fire Prevention Inspector, City of Berkeley Fire Department



Corey Ross
Fire Prevention Inspector, City of Berkeley Fire Department



Ryan Adams
Fire Prevention Inspector, City of Berkeley Fire Department



Certificate of Insurance

No.: 2019-1-PACIF

Dated: June 28, 2019

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-17	Jun 30, 2019 to Jun 30, 2020	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-019	Jun 30, 2019 to Jun 30, 2020	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited <div style="text-align: right;">  By: _____ Matthew Warnholtz </div>
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17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program** **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**
- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with Board**
- B. Promotional/ Marketing budget for this race meeting: **On file with Board**

Promotional/Marketing budget for prior race meeting: **On file with Board**

- C. Number of hosts and hostesses employed for meeting:
 - 1 Customer Service Representative**
 - 1 XpressBet Ambassador**
 - 5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
 - **Replaced turf course rail (inside and outside) with PVC by Duralock**
 - **Upgraded entire surveillance system in the barn area**
 - **Remodeled Jockey’s Room**

- Replaced Tapeta® in Paddock area
 - Remodeled Racing Office and balcony viewing area
 - Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance
 - Capital barn improvements in the barn area
 - Commissioning artist to provide mural for Paddock wall
 - Continuation of laundry service for the Jockey's Room by GGF
 - Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
 - Continual roadway, horse paths, and barn repairs
2. Fans
- Upgraded entire surveillance system; total of 106 cameras installed throughout the frontside facility
 - Created new family area on A Deck complete with fencing, artificial turf, BBQ's, etc. (Golden Gate Green) which includes games to play in-between races
 - Hired Wagering Ambassadors to assist fans with questions regarding racing and wagering
 - Remodeling Paddock Pub with projection screen TV, furniture, and flooring
 - Upgraded televisions in Grandstand to enhance viewing pleasure
 - Providing tours of the front side facility to fans upon request
 - Creating a portable selfie station for picture taking
 - Created a red top area on Mezzanine to add additional customer service
 - Installing new BBQ on Grandstand Apron
 - Renovated the Turf Club buffet stand
 - Continual parking lot and roadway repairs
3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00
Parking (preferred)	\$12.00
Parking (valet)	\$12.00
Programs (on-track)	\$ 2.75
(off-track)	\$ 2.75

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
None

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

B. Number of rooms used for housing on the backstretch of the racetrack: **140**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

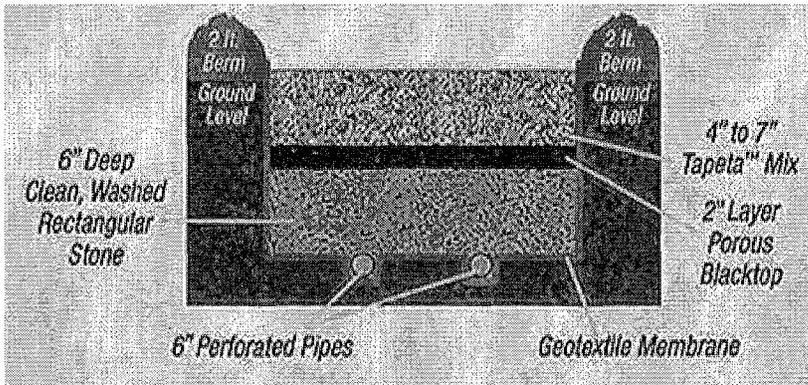
D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement forwarded to Board upon receipt
CTT agreement forwarded to Board upon receipt

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

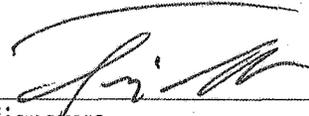
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo
Print Name

President
Print Title


Signature

9/16/19
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION (PRA)
AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING
ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO
BE IMPLEMENTED BY THE PRA AT ITS (DATES TO BE DETERMINED) RACE
MEETING AT THE GOLDEN GATE FIELDS RACETRACK

Regular Board Meeting
December 12, 2019

ISSUE:

[CHRB Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California (TOC) and the Board. Since March of 2019, Pacific Racing Association (PRA) has conditioned all races run at Golden Gate Fields to be run with reduced levels of furosemide (Lasix) as well as a required 14-day stand down for all intra-articular injections. Presently, the parties are discussing whether an agreement will be reached to similarly condition races run a Santa Anita Racetrack to be run with reduced levels of Lasix, a required 14-day stand down for all intra-articular injections, and a 30-day stand down for corticosteroid injections into the fetlock joint when racing and a 10-day stand down for corticosteroid injections into the fetlock joint when training.

BACKGROUND:

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [Business and Professions Code section 19562](#) provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

A request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Should PRA desire to continue to condition races run at Golden Gate Fields with these restrictions, an agreement with the horseman's organization, here the TOC, must be reached and submitted to the Board for approval.

RECOMMENDATION:

Staff recommends the Board hear from representatives of PRA and the TOC.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1660.1, CLAIMED HORSE HEALTH RECORD, TO
REQUIRE PERTINENT MEDICAL INFORMATION FROM A HORSE CLAIMED IN A
CLAIMING RACE BE TRANSFERRED FROM THE HORSE'S FORMER ATTENDING
VETERINARIAN TO THE HORSE'S NEW ATTENDING VET ON THE CLAIMED
HORSE HEALTH RECORD (CHRB-245) FORM.

Regular Board Meeting
December 12, 2019

ISSUE:

Upon purchase of a horse in a claiming race, the medical record of the horse is not routinely transferred to the new owner. As a result, the horse's previous medical conditions and treatments are not known to the new owner. Consequently, a horse may be treated with an injection a week before it races, be claimed, and transferred to a new owner, and the new veterinarian will have no way of knowing the horse's history, leaving open the possibility of the immediate injection of the same joint. The same is true for other veterinary treatments or conditions such as vaccination history, deworming, or other veterinary conditions that would not be apparent. Knowledge of a horse's previous veterinary medical history will improve the horse's veterinary care. The proposed addition of CHRB Rule 1660.1, Claimed Horse Health Record, seeks to remedy this issue by requiring a health record listing pertinent medical information from a horse claimed in a claiming race to be transferred from the horse's former attending veterinarian(s) to the horse's new attending veterinarian prior to any treatments being performed.

ANALYSIS:

Claiming Races are an important part of horse racing in California. In a claiming race, all horses participating are for sale and can be "claimed" or purchased prior to the beginning of the race. Claiming races account for 60% of all races run at California racetracks and represent a common practice in which horses are bought and sold among owners. When a horse is purchased in a claiming race, the medical history of the horse is rarely provided to the new owner. The lack of relevant medical records creates a risk for the health and safety of both horse and rider. Without access to the horse's medical history veterinarians and trainers may be impaired in accurately assessing the horse's soundness or other health conditions.

On February 21, 2018, the Executive Director of the California Horse Racing Board brought this matter before the California Veterinary Medical Board during its regularly scheduled meeting. After discussion, the California Veterinary Medical Board approved and endorsed the CHRB's proposal of requiring the transfer of all existing veterinary medical records for a horse claimed in a claiming race to the horse's new owner. The vote by the California Veterinary Medical Board was unanimous.

Rule 1660.1 was presented to the Board during the April 19, 2018 meeting. The Board heard comments from shareholders and the matter was referred to staff for amendments.

On July 20, 2018, CHRB Rule 1660.1 was present to the Medication, Safety, and Welfare Committee. At the Committee meeting, further amendments were made and CHRB Rule 1660.1 was referred to the August 23, 2018 regular Board meeting. This proposed addition of CHRB Rule 1660.1, Claimed Horse Health Record, provides an additional safeguard for horses claimed in a claiming race by ensuring the horse's significant medical treatments are transferred to the new attending veterinarian.

BACKGROUND:

[Business and Professions Code section 4857](#) prohibits veterinarians from releasing medical records relating to veterinarian services performed on an animal unless certain exceptions are met. One exception recognized under Business and Professions Code section 4857(a)(4) provides that the release of information is required in order to comply with "any federal, state, county or city law or regulation."

[Business and Professions Code section 19440](#) provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19562](#) states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State. [Business and Professions Code section 19580](#) provides that authority is vested with the Board to adopt regulations to establish policies, guidelines and penalties related to equine medication in order to preserve and enhance the integrity of horse racing in the state.

RECOMMENDATION:

This item is presented for Board discussion and action. One comment was received at the public hearing for the proposed rulemaking action during the November 21, 2019 Board Meeting. The Stronach Group opposed the adoption of CHRB Rule 1660.1 on the basis that the rule should also require information regarding immunizations, deworming, exertional rhabdomyolysis, prior surgeries, EPM diagnosis/ treatment, a history of colic, etc., and that the omission of this information could detrimentally affect the management of a horse as it moves from one barn to another. After consulting the Office of Administrative Law, it has been determined that amending the "Claimed Horse Health Record" form to add more health history information is sufficient for a 15-day notice on the basis that the changes are sufficiently related to the original proposal and clarify the requirements contained in the originally proposed text.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
PROPOSED ADDITION OF
RULE 1660.1 CLAIMED HORSE HEALTH RECORD

1660.1. Claimed Horse Health Record.

- (a) Upon a horse being claimed in a claiming race, the Claimed Horse Health Record form CHRB – 245 (New 08 12/19), which is hereby incorporated by reference, shall be provided by the Official Veterinarian, or his or her designee, to the horse's previous CHRB-licensed attending veterinarian. The Official Veterinarian, or his or her designee, shall complete the top section of the form.
- (b) The horse's previous CHRB – licensed attending veterinarian shall complete all required applicable sections of the Claimed Horse Health Record form CHRB-245 (New 0812/19) and submit the form electronically to the horse's new CHRB-licensed attending veterinarian, as identified by the horse's owner or trainer, within five days of the claim.
 - (1) All intra-articular injections given to the horse by the previous CHRB-licensed attending veterinarian within sixty (60) calendar days prior to the race in which the horse was claimed shall be reported on the Claimed Horse Health Record form CHRB-245 (New 0812/19).
 - (2) The previous CHRB-licensed attending veterinarian shall complete all other required horse health record information on the Claimed Horse Health Record form CHRB-245 (New 12/19) that occurred while under his or her care.
- (c) CHRB licensed veterinarians attending a horse for the first time after it is claimed shall review the Claimed Horse Health Record CHRB-245 (New 0812/19) prior to performing any intra-articular injections, any other intra-lesional musculoskeletal corticosteroid treatments, or extracorporeal shock wave therapy to the horse.
 - (1) In the event of a medical emergency or medical necessity, corticosteroid treatment may be initiated prior to review of the Claimed Horse Health Record, after which the horse shall be placed on the Veterinarian's List for a minimum of fourteen (14) days.
- (d) The Claimed Horse Health Record Form CHRB-245 (New 0812/19) shall be confidential except as provided by any federal or state law or regulation.

NOTE: Authority cited: Sections 19440, 19562, and 19580, Business and Professions Code. Reference: Sections 19440, 19562, and 19580, Business and Professions Code.

October 11, 2019

California Horse Racing Board
Attn: John McDonough, Chief Counsel
1010 Hurley Way
Suite 300
Sacramento, CA 95825

To whom it may concern:

The Stronach Group and the Los Angeles Turf Club submit this letter in opposition to proposed Rule 1660.1 – Claimed Horse Health Record. While we wholeheartedly agree that a horse health record should follow all horses throughout their racing career and into retirement, the proposed rule fails to capture all the relevant information.

Rule 1660.1 was originally introduced to the Medication Committee in August 2018. At that time, the proposed rule not only required disclosure of information regarding intra-articular injections, shockwave therapy, and previous placement on the Official Veterinarian's List but also information regarding:

- Immunizations;
- Deworming;
- Whether the horse has a history of tying up (exertional rhabdomyolysis);
- Previous surgeries;
- EPM diagnosis/treatment;
- History of colic;
- Administration of bisphosphonates; and
- Other pertinent medical history that may affect the care of the horse.

The omission of this information could detrimentally affect the management of a horse as it moves from one barn to another. For example, researchers have shown that too-frequent vaccination for Equine Herpes Virus can be detrimental to horse health if exposed to certain strains of the virus. Also, horses with a history of tying up are often treated differently coming up to and after a race.

Transferring this information from one trainer/owner to the next trainer/owner combination is important to protect the health and welfare of horses in California. The Stronach Group and the

Los Angeles Turf Club urge the CHRB to consider the expansion of this rule to encompass all pertinent information regarding the health history of our horses.

Thank you in advance for your consideration.

Sincerely,

A handwritten signature in black ink, appearing to read "Dionne Benson". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Dr. Dionne Benson
Chief Veterinary Officer

CLAIMED HORSE HEALTH RECORD

(Completed by Official Veterinarian)

Horse Name: _____ New CHRB Trainer or Owner: _____

Claiming Race Date: _____ Track: _____ Vet's List History: Yes No

Vet's List as Unsound or Bled in last 12 months: Yes No

(Completed by Previous CHRB Licensed Attending Veterinarian)

Joint Therapy (previous 60 days): Yes No

<u>Date</u>	<u>Location</u>	<u>Structure/Joint</u>	<u>Medication (Combos)</u>	<u>Medication (Single)</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Immunizations (use date to confirm)

Influenza/EHV _____ TT _____ WNV _____

De-worming: Yes No Date: _____

Tie Up History: Yes No Medication: _____

Surgery History: Yes No Details: _____

EPM History: Yes No Treatment: _____

EIPH: Yes No Furosemide dose: _____

Colic History: Yes No Details: _____

Bisphosphonates Yes No Date: _____

Other Pertinent Medical History: _____

Veterinarian: _____

Patient History Dates from: _____

By: _____

(Veterinarian Signature)

Date: _____

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE PROPOSED ADDITION OF
RULE 1867.1. USE OF BISPHOSPHONATES PROHIBITED
TO PROHIBIT THE ADMINISTRATION OF
BISPHOSPHONATES TO ANY HORSE WITHIN A CHRB INCLOSURE

Regular Board Meeting
December 12, 2019

ISSUE

Bisphosphonates are a class of drugs that prevent the loss of bone density and are used in people to treat osteoporosis and similar diseases. In horses, bisphosphonates are used to treat similar problems, like navicular disease. However, bisphosphonates inhibit the normal bone repair process, so their use has been restricted to horses that are four or older. In younger horses, bisphosphonates could cause bones to become more brittle. Since 2015 the British Horse Racing Authority has restricted the use of bisphosphonates to horses over three-and-a-half years old, and the use of bisphosphonates in horses has been an issue of discussion internationally. The proposed addition of California Horse Racing Board (Board) Rule 1867.1, Use of Bisphosphonates Prohibited, would prohibit the use of bisphosphonates in race horses within a Board inclosure.

ANALYSIS

The proposed addition of Board Rule 1867.1 will prohibit the administration of bisphosphonates within a Board inclosure. The proposed regulation would also prohibit any licensee from bringing a horse that is known to have been administered a bisphosphonate within the previous six months into a Board inclosure. A proposed Racing Medication and Testing Consortium bisphosphonate regulation will have a similar six month stand down time, as six months is the best-informed estimate of inhibition of bone repair. The purpose of the proposed addition is to prevent individuals from taking a horse off-site, administering bisphosphonates, and bringing the horse back onto the grounds. Subsection 1867.1(c) of the proposed addition to Board Rule 1867.1 allows for the use of methylene diphosphonate for nuclear imaging purposes, which is used in combination with technetium 99m for the evaluation of benign and malignant skeletal conditions.

Restricting the use of bisphosphonates was originally discussed at the Medication, Safety and Welfare Committee (Committee) meeting on April 17, 2019 where the Committee developed regulatory language for a proposed Board Rule 1866.2, Use of Bisphosphonates Restricted, that would have restricted and set conditions for the administration of, but not prohibited the use of, bisphosphonates in a Board inclosure. Board Rules [1866, Veterinarian's List](#), and [1866.1, Presence of Clenbuterol in Quarter Horses](#), both provide parameters for how and why horses are to be put on the Veterinarian's List, including for the presence of certain drugs administered. The former proposed Board Rule 1866.2 was numbered as such because the Board's intent was to

restrict bisphosphonate use and require that a horse who has been administered bisphosphonates be placed on the Veterinarian's List. At the subsequent April 18, 2019 regular Board meeting, the Board decided to instead develop language that prohibited the use of bisphosphonates. To reflect that change and intent, the proposed regulation's number and title were changed to 1867.1, Use of Bisphosphonates Prohibited, because Board Rule [1867, Prohibited Veterinary Practices](#), governs treatments that are prohibited in Board inclosures.

BACKGROUND

[Business and Professions Code section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19562](#) states the Board shall adopt rules, regulations and conditions consistent with the provisions of this chapter under which horse races with wagering on the results shall be conducted in this State. [Business and Professions Code section 19580](#) provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in the state. [Business and Professions Code section 19581](#) states no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule [1843, Medication, Drugs and Other Substances](#), provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules.

At the June 19, 2019, Medication, Safety and Welfare Committee meeting, the Committee discussed the proposed addition of Board Rule 1867.1. At the June 20, 2019 regular Board meeting, the Board subsequently directed staff to initiate the 45-day public comment period regarding the proposed regulation. The required Notice documents were completed and submitted to Agency for review and approval. The proposed regulation was submitted to OAL for review and the public comment period was initiated on October 18, 2019. The 45-day public comment period ended on December 2, 2019. No comments were received.

RECOMMENDATION

This item is presented to the Board for discussion and action. No comments were received during the 45-day public comment period. Staff recommends that the Board adopt the proposed regulation as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1867.1. USE OF BISPHOSPHONATES PROHIBITED

1867.1. Use of Bisphosphonates Prohibited.

(a) Bisphosphonates may not be administered to any horse within a CHR
B inclosure.

(b) No licensee shall bring into a CHR B inclosure a horse that has been
administered a bisphosphonate within the previous six months.

(c) For nuclear imaging purposes, methylene diphosphonate may be administered
when used in combination with the radionuclide technetium 99m.

Authority: Sections 19440, 19562, 19580 and 19581,
Business and Professions Code.

Reference: 19440, 19580, and 19581,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT OF CHRB RULE 1688, USE OF THE RIDING CROP.

Regular Board Meeting
December 12, 2019

ISSUE:

The use of the riding crop has been a part of horse racing since its inception. However, in today's culture, the use of the crop is perceived as cruel to some members of the public. At the March 2019 meeting of the Board, the Board voted to send out for public comment a proposed amendment to the current regulation to further restrict the use of the riding crop in training and competition. During the regulatory process, it was determined that the proposed language, calling for the Jockey's purse to be disqualified, was not sufficiently clear to pass Office of Administrative Law scrutiny. In response to this fatal legal flaw in the proposed language, at the November Board meeting, staff offered the Board two proposed amendments to address the use of the riding crop in training and competition. During discussions among the Board members, it was decided that the discussion would be tabled until the December meeting to see if a recommendation would be made by the Thoroughbred Safety Coalition. At the time of this writing, no public recommendation has been made. On December 2, 2019 New Jersey proposed a rule which would prohibit the use of the crop except when necessary to control the horse for the safety of the horse or rider. This proposed amendment is currently in the public comment stage.

ANALYSIS:

The first proposed amendment to [CHRB Rule 1688](#) will eliminate subsections 1688(a) through (d)(3) of the current regulation. In its place, the new proposed subsection (a) will only allow a jockey or exercise rider to use the crop when necessary to control the horse for the safety of the horse or rider. Subsection (b)(1) provides that the jockey may be suspended or fined by the stewards. This penalty will be applied to jockeys who strike the horse with the crop when such action is not necessary to control the horse for the safety of the horse or rider. Jockeys or exercise riders who use the crop only when necessary to control the horse will not be suspended or fined. Subsection (b)(2) provides that the jockey's mount shall be disqualified. This penalty will be imposed regardless of whether the jockey or exercise rider uses the crop for safety. This penalty is necessary to ensure an unfair advantage in a race is not gained by a jockey who uses the crop for safety.

The second proposed amendment to CHRB Rule 1688 will similarly restrict the use of the riding crop. The proposed amendment will similarly eliminate subsections 1688(b)(1) through (d)(3) of the current regulation. In its place, the new proposed subsection (b) will prohibit the use of the riding crop except when showing or waving the crop with the crop

held at or below the helmet level of the rider and without touching the horse or when tapping the horse on the shoulder with the crop in the down position while having both hands physically on the reins and neck of the horse. A new subsection 1688(c) provides that if a jockey rides contrary to the rule, the mount may be disqualified, and the jockey may be suspended or fined by the stewards.

BACKGROUND:

[Business and Professions Code section 19420](#) provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. [Business and Professions Code section 19440](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, Business and Professions Code. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [Business and Professions Code section 19481](#) provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1688 USE OF RIDING CROP

Regular Board Meeting
December 12, 2019

1688. Use of Riding Crop

~~(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.~~

~~(ba) Although the use of a riding crop is not required, any A jockey or exercise rider may who uses a riding crop during a race or training is prohibited from using a riding crop when necessary to control the horse for the safety of the horse or rider on a horse:~~

~~(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;~~

~~(2) during the post parade except when necessary to control the horse;~~

~~(3) excessively or brutally causing welts or breaks in the skin;~~

~~(4) when the horse is clearly out of the race or has obtained its maximum placing;~~

~~(5) persistently even though the horse is showing no response under the riding crop; or~~

~~(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again.~~

~~(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.~~

~~(d) Correct uses of the riding crop are:~~

~~(1) showing horses the riding crop before hitting them;~~

~~(2) using the riding crop in rhythm with the horse's stride; and~~

~~(3) using the riding crop as an aid to maintain a horse running straight.~~

(b) If a jockey or exercise rider uses a riding crop on a horse during a race or training:

(1) The jockey or exercise rider may be suspended or fined by the Stewards.

(2) The jockey's mount shall be disqualified.

NOTE: Authority cited: Sections 19420, 19440 and 19562, Business and Professions Code. Reference: Sections 19440, 19481 and 19562, Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
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Regular Board Meeting
December 12, 2019

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(b) Although the use of a riding crop is not required, any jockey or exercise rider who uses a riding crop during a race or training is prohibited from using a riding crop on a horse except:

~~(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters~~ Showing or waving the crop with the crop held at or below the helmet level of the rider and without touching the horse, or;

~~(2) during the post parade except when necessary to control the horse;~~ Tapping the horse on the shoulder with the crop in the down position while having both hands:

~~(A) holding onto the reins and,~~

~~(B) touching the neck of the horse.~~

~~(3) excessively or brutally causing welts or breaks in the skin;~~

~~(4) when the horse is clearly out of the race or has obtained its maximum placing;~~

~~(5) persistently even though the horse is showing no response under the riding crop; or~~

~~(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again.~~

~~(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.~~

~~(d) Correct uses of the riding crop are:~~

~~(1) showing horses the riding crop before hitting them;~~

~~(2) using the riding crop in rhythm with the horse's stride; and~~

~~(3) using the riding crop as an aid to maintain a horse running straight.~~

~~(c) If a jockey or exercise rider rides in a manner contrary to this rule:~~

~~(1) The jockey or exercise rider may be suspended or fined by the Stewards.~~

~~(2) The jockey's mount may be disqualified~~

NOTE: Authority cited: Sections 19420, 19440 and 19562, Business and Professions Code. Reference: Sections 19440, 19481 and 19562, Business and Professions Code.

New Jersey Opens Public Comment Period On Anti-Whipping Rules

Tuesday, December 3, 2019 at 4:12 pm | [Back to: Top News](#)

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The New Jersey Racing Commission (NJRC)'s proposed new anti-whipping rules for Thoroughbred racing were published in the State Register Dec. 2. This triggers a 60-day public comment period before the board is eligible to vote on the new regulations, which would set a standard as the most stringent in the nation.

The main thrust of the new rules, which were first proposed at an Oct. 23 NJRC meeting, reads as follows:

“No jockey or exercise rider may use a riding crop at any time, or for any reason, except when necessary to control the horse for the safety of the horse or rider. If a jockey or exercise rider uses the riding crop in a manner contrary to this section, 1) The jockey or exercise rider may be suspended and/or fined by the stewards; and 2) The jockey's share of the purse shall be forfeited if, in the opinion of the stewards, the unauthorized use of the crop caused the horse to achieve a better placing.” (Read the full version [here](#).)

In a “Social Impact” explanatory section that accompanies the proposal in the State Register, the NJRC wrote that “The prohibition of the use of riding crops, except when necessary for the safety of the horse or rider, will be perceived in a positive light by the general public [and is] of the utmost importance in adapting the industry to avoid the currently negative public perception of whipping a horse.

“It is possible that members of the industry will initially be resistant to such change,” the NJRC statement continued. “However, the proposed repeal [of the old rule] and [the adoption of] new rules will apply equally to all competitors, such that all race participants will be adjusting to the proposed repeal and new rules at the same time. Moreover, the public is essential to horse racing, and the industry must learn to adapt if it is to survive.”

The existing state statute allows the use of the riding crop to encourage the horse. The proposed repeal of that rule and its replacement with a new section would ban whip usage, with an exception for allowable whipping only in emergency instances where safety is at stake.

“Protection of the equine participants is of the utmost importance,” the NJRC wrote. “The riding crop can be an important tool in controlling a horse's focus and running direction. The Commission has the responsibility to ensure the safety, health, and welfare of all human and

equine racing participants, and, for that reason, the proposed repeal and new rules must allow the use of a riding crop when necessary to control the horse to avoid injury to the horse or rider.

“The former industry practice of encouraging a horse to run faster through use of a riding crop is no longer in the best interests of the sport,” the NJRC continued. “Jockeys and exercise riders will need to encourage horses by means that do not involve actual or perceived harm to the horse.”

Within those safety-centric parameters, proposed new rule subsections would “prohibit the use of the riding crop on a horse’s head, flanks, or any other part of the horse other than the shoulders or hind quarters [and prohibit] the jockey or exercise rider from contacting the horse with any part of the riding crop other than the soft tube portion.”

Another proposed subsection “prohibits a jockey or exercise rider from striking a horse in a manner that causes any visible sign, mark, welt, or break in the skin of a horse, or striking a horse in a manner that is otherwise excessive.”

The riding crop “shall be shown to a horse before its use whenever possible,” and regulators will be charged with performing “a visual inspection of each horse following each race for evidence of excessive or brutal use of the riding crop.”

New riding crop specifications would require softer, tube-style construction and added padding that is “intended to minimize any possible exposure of the horse to harm,” with maximum whip length proposed at 30 inches.

“The shaft, beyond the grip, shall be smooth, with no protrusions or raised surfaces, and the shaft shall be covered by shock-absorbing material that gives a compression factor of at least one millimeter throughout its circumference,” the NJRC explained.

“The soft tube [must] be made of shock-absorbing material with a compression factor of at least five millimeters throughout its circumference [and] be composed of a waterproof, ultraviolet, and chemical-resistant foam material that is durable and preserves its shock absorption in use under all conditions,” the NJRC explained, noting that all riding crops would be subject to inspection and approval by the stewards and the clerk of the scales.

Public comments may be submitted via email before Jan. 31 to the racing commission at NJRCWebinfo@lps.state.nj.us.

CALIFORNIA HORSE RACING BOARD

DECEMBER 12, 2019
REGULAR BOARD MEETING

There is no board package material for Item 13

CALIFORNIA HORSE RACING BOARD

DECEMBER 12, 2019
REGULAR BOARD MEETING

There is no board package material for Item 14