

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300

Sacramento, CA 95825

www.chrb.ca.gov

(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

Of the **California Horse Racing Board (CHRB/Board)** will be held on **Thursday, August 20, 2020**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **August 20, 2020 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted.

The agenda for the regular meeting will consist of the following matters:

AGENDA

Action Items:

1. **Approval of the minutes of July 16, 2020.**
2. Reports
 - A. **Executive Director's Report.**
 - B. **Equine Medical Director's Report.**
3. Report from the **Race Dates Committee.**
Pursuant to Gov. Code § 11125.7(a), public comment will not be permitted on an agenda item when an opportunity has been given at a committee hearing for testimony on the item.
4. Discussion and action by the Board regarding the request for **approval of the nominated members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc.**, pursuant to CHRB Rule 2049(a), Designation and Approval of Horsemen's Welfare Fund.
5. Discussion and action by the Board regarding **Fasig-Tipton's request for authorization of its upcoming horse sale**, pursuant to CHRB Rule 1807, Authorized Horse Sales.

6. Discussion and action by the Board regarding **the request for an exemption to obtain a fire clearance approval for Los Alamitos Horse Racing Association**, pursuant to CHRB Rule 1927, Fire Prevention.
7. Discussion and action by the Board regarding **the request for an extension to obtain a fire clearance approval for the San Luis Rey Downs auxiliary training facility**, pursuant to CHRB Rule 1927, Fire Prevention.
8. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by CARF at the October 7, 2020 through October 20, 2020 race meeting for the Big Fresno Fair at Pleasanton.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Big Fresno Fair (F)**, at Pleasanton, commencing October 7, 2020 through October 20, 2020, inclusive.
10. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Angeles Turf Club II and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the Los Angeles Turf Club at Santa Anita.
11. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T)**, at Santa Anita, commencing September 9, 2020 through October 27, 2020, inclusive.
12. Discussion and action by the Board regarding the **proposed adoption of CHRB Rule 1415, Public Participation in Meetings**, to define the rules regarding public participation during meetings of the Board.
13. Discussion and action by the Board regarding the **proposed emergency amendment of CHRB Rule 1843.2, Classification of Drug Substances**, to update the Alphabetical Substances List to align with the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances.
14. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1842, Veterinarian Report**, to require such reports be submitted electronically (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented).

15. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
16. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

CALIFORNIA HORSE RACING BOARD

Gregory L. Ferraro, DVM, Chairman
Oscar Gonzales, Vice Chair
Dennis Alfieri, Member
Damascus Castellanos, Member
Wendy Mitchell, Member
Alex Solis, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director

PROCEEDINGS of the regular meeting of the **California Horse Racing Board (Board)** held on **July 16, 2020**, commencing at **9:30 a.m. via teleconference**.

Present: Gregory Ferraro, Chairman
Oscar Gonzales, Vice-Chairman
Alex Solis, Member
Dennis Alfieri, Member
Wendy Mitchell, Member
Demascus Castellanos, Member
Scott Chaney, Executive Director
Cynthia Alameda, Assistant Executive Director
Robert Brodnik, General Counsel

APPROVAL OF THE MINUTES OF JUNE 11, 2020.

Commissioner Wendy Mitchell **motioned** to approve the minutes of the June 11, 2020 regular Board meeting. Commissioner Alex Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Scott Chaney said Del Mar Race Track, Los Alamitos Race Course (LARC), and Pleasanton Fairgrounds Racetrack were running races without spectators present. The Board held an emergency meeting and directed Los Alamitos Racing Association to create a plan to address a recent spike in equine fatalities at the LARC. He reported that for June 2020, daytime handle was up 12.64 percent, nighttime handle was up 38.62 percent, and total handle was up 15.44 percent compared to June 2019. Further comments regarding this agenda item can be found in the Board transcript, pages 3–5.

EQUINE MEDICAL DIRECTOR'S REPORT.

Dr. Rick Arthur, Board Equine Medical Director, stated that the diagnostic imaging units at Santa Anita would remain open during the Santa Anita closure while racing was conducted at Del Mar. Del Mar Thoroughbred Club (DMTC) was providing vanning to Santa Anita at no charge to the horsemen for advanced diagnostic imaging for horses currently stabled at Del Mar. He reported that there were four racing, five training, and five non-racing/training fatalities statewide in June 2020. There were six medication violations in June 2020, all from post-race samples. Further comments regarding this agenda item can be found in the Board transcript, pages 5–8.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(f)(5)(E), TO MODIFY THE DISTRIBUTION FROM ADVANCE DEPOSIT WAGERING (ADW) MARKET ACCESS FEES, TO SUPPLEMENT FUNDING FOR CHRB BOARD SUPPORT.

Commissioner Dennis Alfieri **motioned** to approve the agreement to modify the distribution from advance deposit wagering (ADW) market access fees to supplement funding for Board support. Commissioner Mitchell **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 18–20.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE FISCAL YEAR 2020-2021 AGREEMENT PROVIDING FUNDING SUPPORT FOR THE BOARD.

Francisco Gonzalez, Board Financial Auditor, stated that the 2020–2021 fiscal budget included a portion of ADW handle as a contribution to the Board’s budget for the first time. The percentage contribution from ADW handle to the Board’s budget in fiscal year 2020–2021 would be 55.6 percent of the budget, or \$16.8 million. Commissioner Solis **motioned** to approve the fiscal year 2020-2021 funding agreement. Vice-Chairman Oscar Gonzales **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 21–23.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DATE CHARITY PROCEEDS OF THE WATCHANDWAGER.COM, LLC, COMBINED 2019–2020 RACE MEETINGS IN THE AMOUNT OF \$4,000 TO FIVE BENEFICIARIES.

Amanda Drummond, Board Policy, Regulations, and Administrative Hearings Manager, stated that Watch and Wager was requesting approval to distribute race day charity proceeds for the period of October 27, 2019, through May 3, 2020, in the amount of \$4,000 to five beneficiaries. Commissioner Mitchell **motioned** to approve the distribution of race day charity proceeds of Watch and Wager. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 24–25.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE AMENDMENT OF THE APPLICATION FOR ADVANCE DEPOSIT WAGERING PROVIDER ODS TECHNOLOGIES, L.P. D/B/A TVG NETWORK (TVG), TO ALLOW THE DEL MAR THOROUGHBRED CLUB TO HOST HANDICAPPING CONTESTS THROUGH TVG.

Commissioner Mitchell **motioned** to approve the amendment of the application for ADW provider TVG to allow DMTC to host handicapping contests through TVG. Vice-Chairman Gonzales **seconded** the motion, which was **unanimously carried**. Roll call vote: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 26–28.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE SONOMA COUNTY FAIR AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE SONOMA COUNTY FAIR AT GOLDEN GATE FIELDS.

Robert Brodник, Board General Counsel, said Sonoma County Fair (SCF) obtained an agreement with the Thoroughbred Owners of California (TOC) to eliminate the use of furosemide in two-year-old horses on race day, reduce the permitted threshold of furosemide in horses older than two to 250 milligrams, and eliminate the use of corticosteroid intra-articular joint injections within 14 days of race day and within 30 days for injections into the metacarpophalangeal or metatarsophalangeal joints. Commissioner Solis **motioned** to approve the agreement, pursuant to Board Rule 1581, between SCF and TOC, regarding entry conditions and specified drug substances or medications to be implemented by SCF at Golden Gate Fields (GGF). Commissioner Demascus Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye:

Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 30–36.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT GOLDEN GATE FIELDS, COMMENCING JULY 29, 2020 THROUGH AUGUST 11, 2020, INCLUSIVE.

Ms. Drummond stated that there was no law that would allow or deny SCF to race at GGF. In July 2009, the Board did approve CARF to run a combined meet at GGF, so there was precedent. Outstanding items included approval of the ADW agreements from the Arabian Racing Association of California (ARAC); renewal of the electronic timing device agreement between GGF and Axcis; a fire clearance that complied with Board Rule 1927; a signed race meet agreement from both the CTT and ARAC; CTT’s signature on the third-party Lasix agreement; and a lease agreement between CARF and GGF. GGF did submit a fire clearance dated June 3, 2019, and an exemption request letter for the Board to consider in lieu of an updated fire clearance. Commissioner Alfieri **motioned** to approve the application, contingent upon receipt of the outstanding items by July 23, 2020. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Chairman Gregory Ferraro **motioned** to accept the previous race meet agreement with CTT in lieu of a new agreement. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Chairman Ferraro **motioned** to

approve an exemption from the 45-day requirement for a fire clearance. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Further comments regarding this agenda item can be found in the Board transcript, pages 37–70.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION II (PRA II) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE PRA II AT THE GOLDEN GATE FIELDS RACETRACK.

Commissioner Castellanos **motioned** to approve the agreement between Pacific Racing Association II (PRA II) and TOC regarding entry conditions and specified drug substances or medications to be implemented by PRA II at GGF. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 70–74.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 12, 2020 THROUGH OCTOBER 6, 2020, INCLUSIVE.

Ms. Drummond stated that PRA II still needed to provide a signed race meet agreement with CTT and agreements with northern California satellite wagering facilities. Executive Director Chaney said PRA II also had issues with their fire clearance not complying with Board Rule 1927, Fire Prevention, and that section 10C of the application was not in compliance with ARCI rules governing mandatory payouts. Eric Sindler, PRA II, stated that PRA II disagreed with the Board's position regarding agreements with northern

California satellites, but if the Board conditioned PRA II's license on receiving those agreements, PRA II would comply. Chairman Ferraro **motioned** to approve the application for license to conduct a horse racing meeting of PRA II at GGF beginning on August 12, 2020, contingent upon resolution of the outstanding items brought to the Board's attention. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 76–116.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, AND PROPOSED ADDITION OF CHRB RULE 1843.4, MULTIPLE MEDICATION VIOLATIONS (MMV), TO INCORPORATE PENALTIES FOR MULTIPLE MEDICATION VIOLATIONS.

Commissioner Castellanos **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Board Rule 1843.3 and proposed addition of Board Rule 1843.4. Chairman Ferraro **seconded** the motion, which was **unanimously carried**. Comments regarding this agenda item can be found in the Board transcript, pages 116–124.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT OF CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, AND PROPOSED ADDITION OF CHRB RULE 1866.3, 30-DAY STAND DOWN FOR FETLOCK INJECTIONS, TO PROHIBIT THE USE OF CORTICOSTEROID INTRA-ARTICULAR JOINT INJECTION WITHIN THIRTY (30) DAYS OF RACE DAY AND TEN (10) DAYS BEFORE COMPLETING A TIMED WORKOUT.

Commissioner Solis **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment of Board Rule 1588 and proposed addition of Board Rule 1866.3. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Comments regarding this agenda item can be found in the Board transcript, pages 124–128.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1503.5, CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS, TO REQUIRE CONTINUING EDUCATION AS A CONDITION OF RENEWAL OF LICENSE FOR TRAINER OR ASSISTANT TRAINER.

Dr. Arthur stated that the proposed regulation would require 12 hours of continuing education for Board licensed trainers. TOC submitted a comment suggesting that the required number of continuing education hours be 16. Chairman Ferraro **motioned** to adopt the proposed addition of Board Rule 1503.5. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Gonzales, Alfieri, Mitchell, Solis, Castellanos, Ferraro. Nay: None. Motion carried. Further comments can be found in the Board transcript, pages 129–137.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION**, TO CHANGE THE ALLOWABLE DOSAGE OF FUROSEMIDE THAT CAN BE ADMINISTERED FROM NOT LESS THAN 150 MG AND NOT MORE THAN 500 MG TO 250 MG; AND TO PROHIBIT THE ADMINISTRATION OF FUROSEMIDE IN TWO-YEAR-OLDS ENTERED TO RACE.

Chairman Ferraro **motioned** to approve the amendment to Board Rule 1845. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Comments regarding this agenda item can be found in the Board transcript, pages 137–144.

PUBLIC COMMENT

Substantial public comments can be found in the Board transcript, pages 145–167.

MEETING ADJOURNED AT 3:04 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

August 20, 2020
REGULAR BOARD MEETING

There is no board package material for Item 2A

CALIFORNIA HORSE RACING BOARD

August 20 2020
REGULAR BOARD MEETING

There is no board package material for Item 2B

CALIFORNIA HORSE RACING BOARD

August 20, 2020
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR
APPROVAL OF THE NOMINATED MEMBERS TO THE BOARD OF DIRECTORS OF
THE CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.,
PURSUANT TO CHRB RULE 2049(A), DESIGNATION AND APPROVAL OF
HORSEMEN'S WELFARE FUND.

Regular Board Meeting
August 20, 2020

ISSUE:

Pursuant to California Horse Racing Board (Board) [Rule 2049](#), Designation and Approval of Horsemen's Welfare Fund, the California Thoroughbred Horsemen's Foundation (CTHF) has requested Board approval of the nominated members to its Board of Directors.

ANALYSIS:

The CTHF has nominated one individual for on open board member position. Due to COVID-19, the CTHF was prevented from interviewing additional prospective candidates. The one nomination will bring the CTHF board to a total of nine members, which is within the range of the number of members permitted under Board Rule 2049.

The following individual was nominated for a three-year term, expiring on June 20, 2023:
Ms. Millie Yakteen

In accordance with Board Rule 2049(a), the nominations have been submitted to the Board for approval.

BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. BPC [section 19641](#) states that any redistributable money in a pari-mutuel pool subject to payment to a claimant pursuant to BPC [section 19598](#), but not successfully claimed within that period, shall be paid as specified and an estimated payment equal to 20 percent of the preceding year's unclaimed redistributable money shall be distributed to the welfare fund as specified.

Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Board Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of eleven directors who are subject to Board approval. The charitable corporation shall select at

least one director or trustee without financial interest in horse racing pursuant to Business and Professions Code section 19641.2(c).

The CTHF is a self-administered charitable program dedicated to assisting financial needy backstretch workers and their primary dependents. The CTHF offers medical and dental care, prescriptions, eye testing and glasses, financial aid, social programs, free clothing, and household items. The CTHF also provides services and support through the California Thoroughbred Trainers (CTT), the Winners Foundation, and the Chaplaincy program. The CTHF is regulated by the Board and is funded principally through the receipt of unclaimed winning pari-mutuel ticket revenues and a share of Advance Deposit Wagering (ADW) receipts. Additionally, donations and support are received from thoroughbred tracks the Thoroughbred Owners of California (TOC) and individual donors.

RECOMMENDATION:

Staff recommends the Board hear from the CTHF representatives.

July 13, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Scott:

CHRB Rule 2049 (a) requires our foundation to gain approval of the CHRB for directors elected to our board, whether as an incumbent serving a three-year term, or new directors to our board.

Unfortunately, with one exception, the COVID-19 outbreak prevented both the personal interviewing and clinic tour for prospective new candidates. The Nominating Committee therefore recommended that any additional directors not be considered until the Nominating Committee could properly and personally screen new candidates. Our board concurred.

The one exception is the addition of Ms. Millie Yakteen to our Advisory Board, a natural stepping-stone to election to our board of directors. I had the opportunity to personally interview Ms. Yakteen prior to the COVID-19 outbreak at our Santa Anita clinic. The Advisory Board experience allows both the candidate and board members to become better acquainted. Ms. Yakteen has been around horses all of her life, is the wife of trainer Tim Yakteen, and is most interested in “giving back” to an Industry that has been good to her.

Given the above, the following incumbents were elected for a three-year term, expiring June 30, 2023, subject to CHRB approval:

Angie Carmona (serving another term). Angie has been serving California Thoroughbred horse racing for over thirty years. Angie came to Santa Anita in 1983, and has been an advocate for the interests of the backstretch community ever since. She was first elected to the CTHF board in 2014. She also serves on the board of the nonprofit Edwin J. Gregson Foundation, dedicated to improving the quality of life for backstretch workers and their families through educational programs such as scholarships, as well as social and recreation programs.

Dr. Victor Levine (serving another term). Dr. Levine was a practicing Emergency Room physician for 38 years at the Riverside County Regional Medical Center. He is also a trained psychiatrist. Dr. Levine is an avid pilot and is presently a docent at the Los Angeles Zoo. He has also owned race horses in the past. Given his background in medicine, and his experience in horse ownership, he brings a unique combination of talents and passion to our foundation. Victor's wife, Alexandra, retired after serving as the Chief Medical Officer, specializing in hematologic oncology, at the City of Hope.

Eric Sindler (serving another term) Eric has been a fan of horseracing since first going to Pimlico Racecourse over 30 years ago. After graduating from law school, and working for a few years, his love for racing led him to Arizona's Race Track Industry Program where he obtained a Master's Degree. Eric currently is employed by The Stronach Group. He has served as a board member of SCOTWINC, the Stabling and Vanning Committee and the CTBL, the self-insured Workmen's Compensation group for California racing. His legal background has proven to be an asset for our foundation.

Scott, please let me know if I can assist you as part of this process. The Rule provides that we submit this to the CHRB within 90 days of the close of our fiscal year, which is June 30. With the full board agendas, I understand if this item doesn't make the agenda right away.

Best regards,

Cliff Goodrich
Executive Director

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING FASIG-TIPTON'S
REQUEST FOR AUTHORIZATION OF ITS UPCOMING HORSE SALE
PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
August 20, 2020

ISSUE

Pursuant to Board Rule 1807, Authorized Horse Sales, Fasig-Tipton Co., Inc. request Board approval of its upcoming horse sale scheduled for October 19, 2020 at Fairplex, Pomona, CA.

BACKGROUND

Board Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horses or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action.



Fasig-Tipton

May 15, 2020

California Horse Racing Board
1010 Hurley Highway, Suite 300
Sacramento, CA 95825

Please be advised of the requested sale date of October 19, 2020 for a Thoroughbred auction to be conducted at Fairplex, Pomona, CA.

Please let me know if you have any questions or need any additional information.

Regards,

Boyd T. Browning, Jr.
President

BTB/ld

Fasig-Tipton Co., Inc.
2400 Newtown Pike
Lexington, KY 40511
Phone: 859.255.1555
Fax: 859.254.0794
www.fasigtipton.com

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE REQUEST FOR AN EXEMPTION TO
OBTAIN A FIRE CLEARANCE FOR LOS ALAMITOS HORSE RACING ASSOCIATION
PURSUANT TO CHRB RULE 1927, FIRE PREVENTION

Regular board Meeting
August 20, 2020

ISSUE:

California Horse Racing Board (Board) Rule 1927, Fire Prevention, specifies that a written fire clearance from the fire authority having jurisdiction over the racing and stabling areas must be submitted to the Board before any license shall be granted. The written clearance shall certify that an inspection has been made of the inclosure and any additional location where any excess number of horses will be stalled and that the facilities conform to the standard of fire safety outlined in Board Rule 1927.

Los Angeles Turf Club II (LATC II) and Del Mar Thoroughbred Club (DMTC) both filed applications with the Board to conduct horse racing meets and specified in their applications that they utilize Los Alamitos Race Course (LARC) for auxiliary stabling.

Pursuant to Board Rule 1927, LARC submitted a Fire and Life Safety Inspection Clearance Letter from the Orange County Fire Authority, dated July 1, 2020 to the Board. The fire clearance from the fire authority is active for one year. However, the fire clearance submitted by LARC does not comply with certain provisions in Board Rule 1927. Specifically, Board Rule 1927 provides that the fire safety inspection be conducted within 45-days of the race meet commencement. Additionally, the fire clearance must explicitly state that the inspection ensured that the fire safety provisions comply with Board rules and standards described in Board Rule 1927. Therefore, the fire clearance for LARC needs to be corrected before approval can be granted to use LARC for auxiliary stabling, or the Board must grant an exception to LARC for the non-compliant portions of their fire clearance.

ANALYSIS:

The Board, under the authority of Business and Professions Code (BPC) [section 19440](#), may grant licenses to conduct horse racing meetings in California to horse racing associations. Applications for a license to conduct a horse racing meeting in California must be filed at least 90 days before the time allocated by the Board for a race meet to start and approved by the Board. Board [Rule 1927](#) requires associations to make provisions for fire prevention before any license is granted by the Board and provides that a written clearance from the fire authority having jurisdiction, stating that an inspection has been made of the inclosure and any additional location where any excess horses will be stalled, must be presented to the Board before a license to conduct a horse racing meeting shall be granted. The clearance shall certify in writing that the fire safety provisions conform with the standard of fire safety described in Board Rule 1927, and the

fire safety inspection shall be made within 45-days of the commencement of the start of the race meet. If an association is unable to obtain a fire clearance that satisfies these requirements, Board Rule 1927 provides that the association may petition the Board for an extension or exception. Furthermore, Board Rule 1927 provides that when considering a petition for an extension or exception, the Board shall take into consideration any written recommendations from the fire authority having jurisdiction, as well as all other matters pertinent to the petition.

The Board received a petition for an exception to Board Rule 1927 from LARC on July 5, 2020. The petition requests an exemption from the portion of Board Rule 1927 that provides that the Board “shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system”. The petition explains that barns 1 – 36 at LARC pre-date existing fire authority building codes, and that at the time of the barns’ construction, the buildings complied with all building and safety standards and all state and local fire authority rules and regulations. The petition states that the fire authority considers these buildings “legal non-conforming structures”, meaning that the use of land or structure “was legally established according to the applicable zoning and building laws of the time of construction, but which does not meet current zoning and building regulations”. Therefore, the legal non-conforming structures are currently in compliance with local authority safety standards despite not complying with the provisions of Board Rule 1927. As such, LARC is requesting an exemption from the Board Rule 1927 provision requiring an automatic sprinkler system.

Additionally, though not explicitly requested in the July 5, 2020 petition, LARC will also need an exception to the Board Rule 1927 provision that the fire safety inspection be conducted within 45-days of the commencement of the race meets. The fire clearance submitted to the Board was issued on July 1, 2020, more than 45-days before the commencement of LATC II’s September 9, 2020 race meeting, though the clearance satisfies this provision for DMTC’s July 8, 2020 race meeting.

BACKGROUND:

BPC [section 19420](#) provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. BPC section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19460](#) provides that all licenses granted under this chapter shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing and the purposes of this chapter. Board Rule 1927 provides that applicants to conduct a horse race meeting in California shall first make provisions for fire prevention and protection, and submit to the Board a written clearance from a fire authority having jurisdiction, stating that an inspection has been made of both the inclosure and any additional locations where

any excess number of horses will be stalled, that the inspection ensures that the fire safety provisions meet the Board's standards described in Board Rule 1927, and that the inspection was conducted within 45-days of the commencement of the race meeting.

RECOMMENDATION:

This item is presented for Board discussion and action.



Date July 5, 2020

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Board Rule 1927 Compliance

Dear Mr. Chaney,

Los Alamitos Race Course (LARC) has a Fire and Life Safety Inspection Clearance Letter on file with the California Horse Racing Board. LARC facility and grounds, grandstands, the stable area and maintenance areas are inspected annually for Fire and Life Safety by the Orange County Fire Authority (OCFA) and by the City of Cypress building and Safety department. These inspections are conducted with the regulatory agency, LARC staff and CHRB oversight. Each year LARC receives a Fire and Life safety Inspection Clearance Letter that establishes LARC has a reasonable degree of Fire and Life Safety that is submitted to the CHRB as part of our Racing License Application. Please find a current orange County Fire authority Fire and Life Safety Clearance Letter attached.

LARC has on file with the Orange County Fire Authority a current Five-Year Fire Sprinkler Inspection Report, see attached cover letter. The 5-Year fire sprinkler inspection is an NFPA mandated inspection examining the insides of your fire sprinklers' piping. The examination must be carried out a minimum of every 5 years.

LARC has a Fire Safety Inspection program in place that conducts quarterly inspections on the Grandstands, Restaurants, Maintenance Buildings, Fire Hose Cabinets, Fire Hydrants and Fire Pump House. These inspections are conducted by a third party licensed and certified C-16 inspection company, Fire Sprinkler Inspections, Inc. Please find attached the cover letters for these inspections.

All Fire Inspection Reports and OCFA Permits are on file in the office of the LARC Facility Manager, Frank Sherren, and are available for review upon request.

Board Rule 1927 states:

"a reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system, and that the stable area grounds, including any additional location where any excess number of horses will be stabled, be patrolled by a watchman during the hours of darkness."

LARC has the following existing condition in the stable area:

The original barn buildings, barns number 1 thru 36, per-date current existing Fire Authority building codes. At the time of construction, these barn buildings complied with all with all Building and Safety standards and all State and Local Fire Authority rules and regulations. Therefore, these barn buildings are considered legal non-conforming structures.

" Legal non conforming structures "

A "legal nonconforming use" is a use of land or **structure** which was **legally** established according to the applicable zoning and building laws of the time of construction, but which does not meet current zoning and building regulations."

As such, these buildings are currently in compliance with current local authority safety standards.

Barn buildings numbers 1 – 36 are not equipped with "an automatic sprinkler system" as stated in Board Rule 1927, however the building do have a Fire Alarm System.

LARC original barns 1 thru 36 are constructed out of non-combustible materials. The buildings are constructed out of concrete cinder block with corrugated metal roofs. Each barn is equipped with Fire Alarm, Fire Alarm pull stations located at the end of each barn, and heat sensors in the stalls and tack rooms. Fire Hose Cabinets are located at each barn. In the unlikely event of a fire the alarm will sound, and the Local Fire Department will be summoned. Cypress Fire Station 12 is located directly across the Street from LARC grounds at 4991 Cerritos Ave. Please see attached photographs.

Five new barn buildings were constructed as part of our Thoroughbred expansion program. This section of LARC barns, barns A thru E, are compliant with all current Building and Fire Authority Codes. This section of barns is sprinklered and monitored by SCN Security Communication a certified Fire Monitoring Company per the current fire code requirements.

All barn tack rooms and living quarters are equipped with smoke detectors and heat sensors, see attached photos.

LARC has security staff on site 24/7 365 patrolling the stable area and grounds.

LARC is recognized by all State and Local agencies including the National Fire Protection Association (NFPA) to have a reasonable degree of Fire and Life safety. LARC is in compliance with all regulatory agencies including the Orange County Fire Authority and the City of Cypress Building and Safety Division.

Board Rule 1927 states that any association:

“may petition the Board for an extension of time within which to comply with this regulation or exemption from such requirements.”

Los Alamitos Race Course is requesting an exemption from the requirement “shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped an automatic sprinkler system” in this section of Board Rule 1927 as it pertains to our existing legal non-conforming barn buildings numbered 1 - 36.

Respectfully,

A handwritten signature in black ink, appearing to read 'Frank Sherren', written over a horizontal line.

Frank Sherren
Facility Manager



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne", is written over a light blue horizontal line.

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

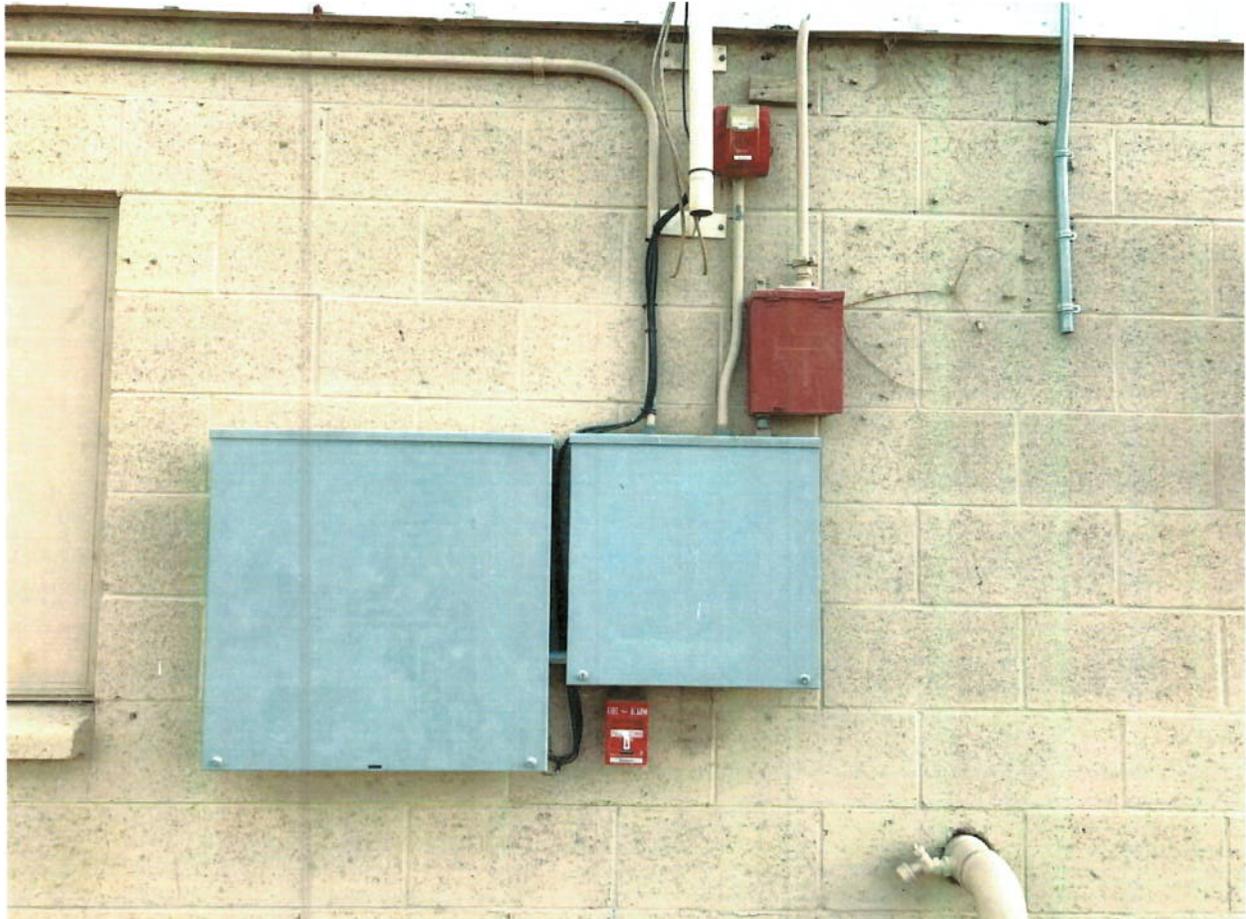
Serving the Cities of: Aliso Viejo • Buena Park • Cypress • Dana Point • Irvine • Laguna Hills • Laguna Niguel • Laguna Woods • Lake Forest • La Palma
Los Alamitos • Mission Viejo • Placentia • Rancho Santa Margarita • San Clemente • San Juan Capistrano • Santa Ana • Seal Beach • Stanton • Tustin • Villa Park
Westminster • Yorba Linda • and Unincorporated Areas of Orange County

RESIDENTIAL SPRINKLERS AND SMOKE ALARMS SAVE LIVES

Los Alamitos Race Course

Fire Alarm System in Barn Area, Barns 1 – 36 Barn Buildings are constructed with non-combustible materials, concrete cinder block with corrugated metal roofs.

Figure 1: Fire Alarm System Located at the end of each barn. Components are Fire Alarm Notifier Panel in a Weather Proof Box, Fire Alarm Pull Station and Fire Alarm Strobe Light / Siren.



Figures 2: Notifer Panel



Figure 3: Heat Sensor located in stalls



Figure 4:Heat Sensor located in each tack room



Figure 5: Smoke Detector with Alarm Siren in each tack room

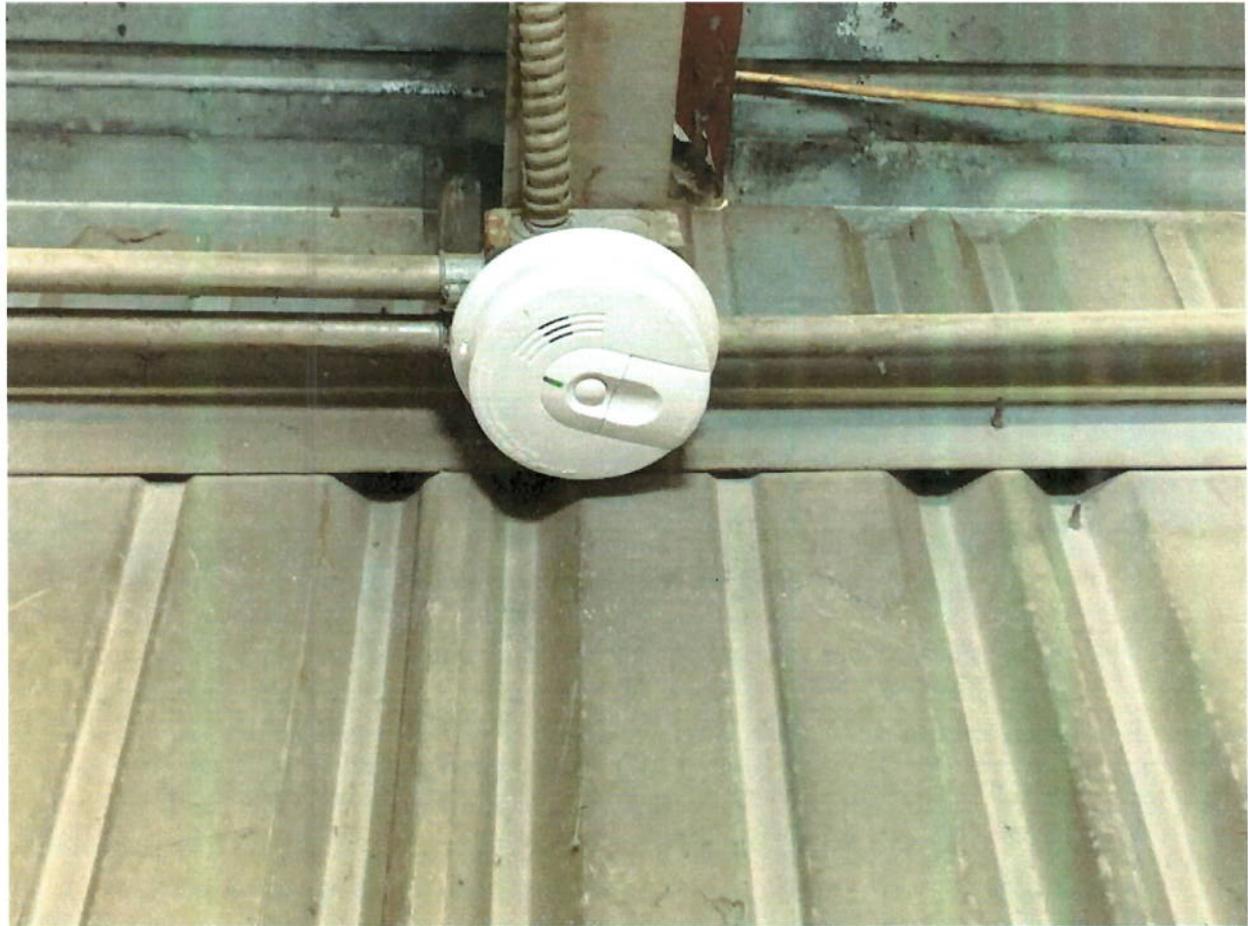


Figure 6: Fire Hose located at the end of each barn

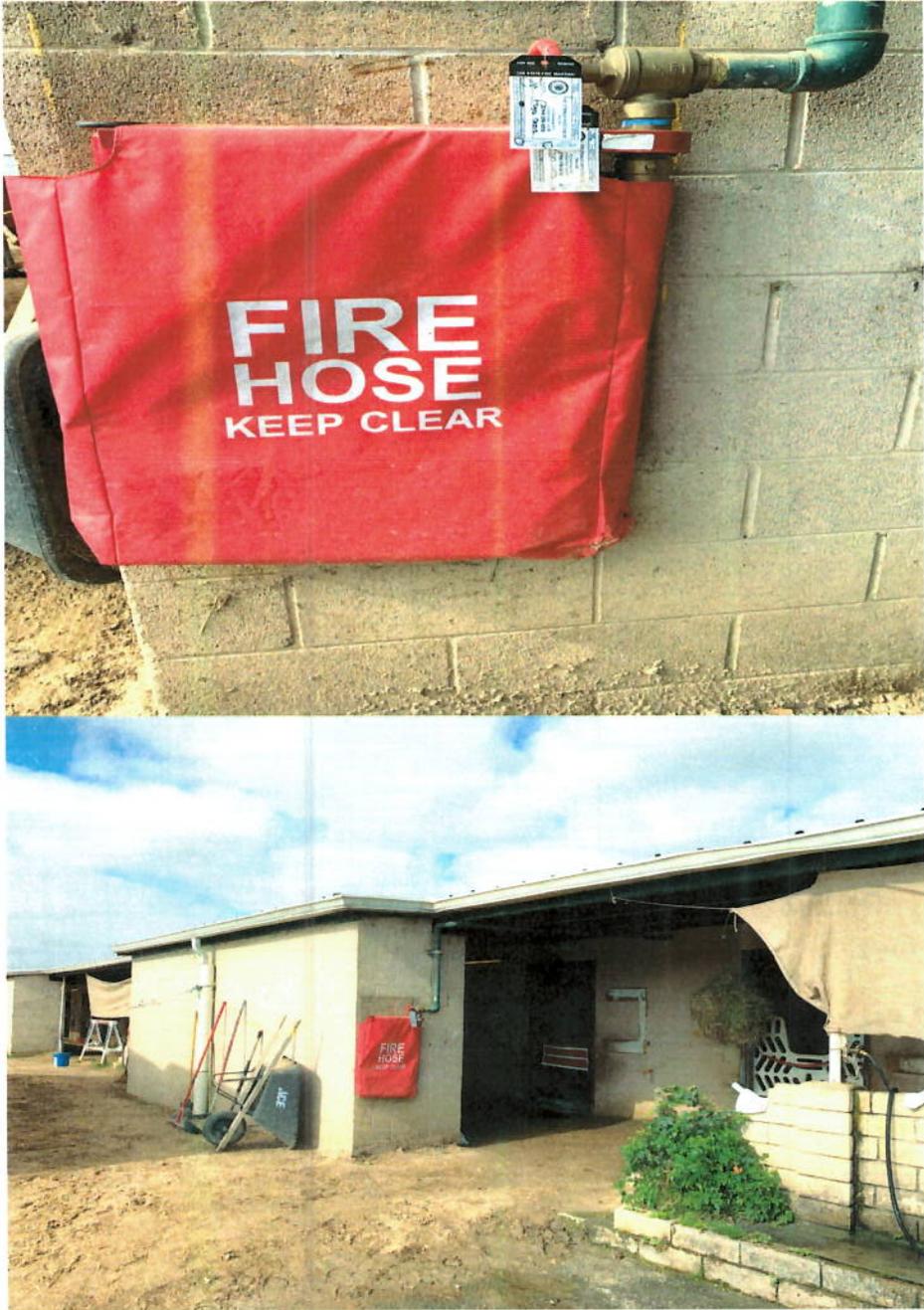


Figure 7: Fire Hydrant located throughout the barn area per OC Fire Code





FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

22 Fire Hose Cabinets Inspection Folder Grandstand & Clubhouse

2020

March ^{ANNUAL} June Sept Dec

- Street level & Tunnels (5)
- First floor (2)
- Second floor (7)
- Third floor (5)
- Clubhouse (3)

Respectfully Submitted

Fred Ortiz
Fire Sprinkler Inspections Inc.

Per NFPA 25, and California State Fire Marshal title 19
Quarterly inspection complete



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course

4961 Katella

Cypress, CA 90720

36 Fire Hose Cabinets Inspection Folder

2020

36 Horse Stables

March.....June.....Sept.....Dec.....

Hose nozzle cabinet

- | | | |
|--------------|----------|----------|
| 1. OK _____ | OK _____ | OK _____ |
| 2. OK _____ | OK _____ | OK _____ |
| 3. OK _____ | OK _____ | OK _____ |
| 4. OK _____ | OK _____ | OK _____ |
| 5. OK _____ | OK _____ | OK _____ |
| 6. OK _____ | OK _____ | OK _____ |
| 7. OK _____ | OK _____ | OK _____ |
| 8. OK _____ | OK _____ | OK _____ |
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| 10. OK _____ | OK _____ | OK _____ |
| 11. OK _____ | OK _____ | OK _____ |
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| 13. OK _____ | OK _____ | OK _____ |
| 14. OK _____ | OK _____ | OK _____ |
| 15. OK _____ | OK _____ | OK _____ |
| 16. OK _____ | OK _____ | OK _____ |
| 17. OK _____ | OK _____ | OK _____ |
| 18. OK _____ | OK _____ | OK _____ |
| 19. OK _____ | OK _____ | OK _____ |
| 20. OK _____ | OK _____ | OK _____ |
| 21. OK _____ | OK _____ | OK _____ |



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

Quarterly and Annual Inspection Folder **2020**

Grandstand 1,2,3,4

Schwanees

Stables A B C D E

Fire Hydrants Grandstands (3)

Electric Fire Pump

March _____
June _____
Sept _____
Dec _____

Fred Ortiz

Fire Sprinkler Inspections, Inc. (www.fireinspections.net) Fax 714-369-2832



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course

4961 Katella

Cypress, CA 90720

January 2020

Five Year

Fire Sprinkler Inspection Folder

Grandstand #1 #2 #3 # 4

Schwanees

Stables A B C D E

(2) Maintenance buildings

Fred Ortiz

Fire Sprinkler Inspections Inc.

Jan. 20 , 2020

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE REQUEST FOR AN EXTENSION TO OBTAIN A FIRE CLEARANCE APPROVAL
FOR THE SAN LUIS REY DOWNS AUXILIARY TRAINING FACILITY,
PURSUANT TO CHRB RULE 1927, FIRE PREVENTION

Regular Board Meeting
August 20, 2020

ISSUE:

The San Luis Rey Downs (SLRD) auxiliary training facility is an auxiliary site where horses are stabled for various horse racing associations that conduct race meetings in California. As such, pursuant to California Horse Racing Board (Board) [Rule 1927](#), Fire Prevention, a written clearance from the fire authority having jurisdiction, stating that an inspection has been made of the site and that the facilities conform with a reasonable standard of fire safety, must be filed with the Board prior to the start of each said race meeting, whereby the inspection shall have been made within 45 days prior to the commencement of the meet. The Stronach Group (TSG), which owns the SLRD, has submitted a request to the Board for an extension to meet certain requirements prescribed in Board Rule 1927 regarding the reasonable standard of fire safety. TSG also requests an exemption from the 45-day provision in Board Rule 1927.

ANALYSIS:

Pursuant to Board Rule 1927, before a license to conduct a horse racing meeting is granted to an association, the association must provide a written clearance from the fire authority having jurisdiction, stating that an inspection has been made of the inclosure and any additional location where any excess number of horses will be stalled. Various racing associations use SLRD as an additional location for an excess number of horses to be stalled. Therefore, in addition to providing a written fire clearance to the Board for the inclosure where the association will be conducting its race meet, these associations must also provide one for SLRD. However, because SLRD is owned by TSG, TSG is responsible for obtaining the required fire clearance.

Board Rule 1927 sets forth certain requirements that constitute a “reasonable standard of fire safety.” These requirements include, but are not limited to, facilities used for stabling of horses or human habitation being equipped with an automatic sprinkler system and an automatic fire alarm system, as well patrol of said facilities by a watchman during hours or darkness. Board Rule 1927 also states that any association whose stable area, including any additional location where any excess number of horses will be stables, does not meet the reasonable standard of fire safety may petition the Board for an extension of time within which to comply with the rule. TSG requests an extension through the end of July 2021 to complete the installation of the sprinkler system and fire alarm system for its “historic” barns, which are distinct from its new barns. TSG explains its reasoning in the attached letter. Board Rule 1927 provides that, in reviewing such a request or petition, the Board shall

take into consideration any written recommendation from the fire authority having jurisdiction, as well as other pertinent matters, recognizing that the physical structure of each racing inclosure is unique and has its own particular problems.

Board Rule 1927 also requires that the inspection be completed within 45 days prior to the beginning of the race meet. TSG states that the fire authority having jurisdiction over SLRD performed an inspection on July 24, 2020, and the clearance letter will be forwarded to the Board upon receipt. TSG requests an exemption from the 45-day requirement. Without an exemption, any race meets commencing more than 45 days after the inspection date would require that another fire inspection be completed. Certain fire authorities have expressed that multiple inspections at a single site in a one-year period are difficult or not possible due to limited time and resources, especially during the current health crisis.

BACKGROUND:

Business and Professions Code (BPC) [section 19420](#) provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. BPC [section 19440](#) states that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. BPC [section 19460](#) provides that all licenses granted under this chapter shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing and the purposes of this chapter. Board Rule 1927 provides that applicants for license to conduct a horse racing meeting in California shall first make provisions for fire prevention and protection and submit to the Board a written clearance from a fire authority having jurisdiction, stating that an inspection has been made of both the inclosure and any additional locations where any excess number of horses will be stalled, that the inspection ensures that the fire safety provisions meet the Board's standards described in Board Rule 1927, and that the inspection was conducted within 45 days of the commencement of the race meeting.

RECOMMENDATION:

This item is presented for Board discussion and action.



July 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: San Luis Rey Training Center – Rule 1927 Exemption Request

Dear Mr. Chaney,

San Luis Rey Training Center (“SLR”) is unique in that its barn area has two different types and ages of barns – the “historic” barns and the new barns.

The new barns constructed in the last few years can house approximately 200 horses. These barns have an automatic sprinkler system and a fire bell. Due to the proximity of the barns to the guard house (and the on-duty guard being able to call 911 in event of a fire), both the local building inspector and fire department approved these barns having a fire bell rather than an automatic fire alarm system. There is 24/7 coverage in the guard house. The sprinkler system and alarm will be tested annually.

We have previously noted that the historic barns at SLR do not have a sprinkler system or fire alarm/bell. The process to add a sprinkler system and fire alarm system to already existing structures is a lengthy process. SLR has begun the process of obtaining estimates for adding a sprinkler system and fire alarm system to the historic barns. Due to the size of the project, it will take some time for companies to develop preliminary plans so they can submit their estimate. The installation company will also need to develop final engineering plans to retrofit the existing structures with a sprinkler system and fire alarm system. Additionally, the plans need to be approved by both the county building inspector and the county fire department. The engineering plans will need to be revised to incorporate and desired changes requested by the county building inspector and/or the county fire department. In addition to the county approvals, local permits will need to be requested and approved. And obviously, the system needs to be installed and then tested.

We are hopeful that this lengthy and involved process can be completed by the end of July, 2021. We will periodically update CHRB staff on the progress of the project and notify CHRB staff if we encounter any issues that would cause the project to not be finished by the target date.

Under CHRB Rule 1927, we request an extension, through the end of July, 2021, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

The local fire department having jurisdiction over SLR performed an inspection on July 24, 2020. The clearance letter will be forwarded to board upon receipt. Since the fire inspection was recently performed, we also request an exemption from the requirement in Rule 1927 that the fire inspection be completed within 45 days of the start of the meet.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in blue ink, appearing to read 'A. Butler', with a long horizontal flourish extending to the right.

Aidan Butler

North County Fire Protection District

**330 S. Main Ave.
Fallbrook, CA 92028
(760) 723-2010**



Friday, 31 July, 2020

Attn: Kevin Habell

5772 CAMINO DEL REY

BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

Property Address:

San Luis Rey Training Center

5772 CAMINO DEL REY

BONSALL, CA 92003

NOTICE OF INSPECTION - COMPLETED

CONGRATULATIONS, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read 'D. Moore, Jr.', written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read 'Kevin Habell', written over a horizontal line.

Kevin Habell

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING
FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR
MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE OCTOBER 7, 2020
THROUGH OCTOBER 20, 2020 RACE MEETING FOR THE BIG FRESNO FAIR AT
PLEASANTON.

Regular Board Meeting
August 20, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the California Association of Racing Fairs (CARF) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, CARF has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.



a California joint powers agency

1776 Tribute Road, Suite 150
Sacramento, CA 95815
Office: 916.263.3349 Fax: 916.263.3341
www.calairs.com

March 14, 2020

The following matters are agreed to as of March 14, 2020 by and between the Thoroughbred Owners of California (TOC), the California Thoroughbred Trainers (CTT) and the California Authority of Racing Fairs (CARF) regarding Race Day furosemide Administration for the 2020 California Authority of Racing Fairs (CARF):

1. Term. This Agreement shall have a term of June 19, 2020 and continue through October 18, 2020.
2. CARF Furosemide Protocol. Exhibit A.
3. Medication Administration Protocol. Exhibit B.
4. Race Day Furosemide Administration by CARF veterinarians. Exhibit C.
5. Protocol Prior to Medication Administration. Exhibit D.
6. Program Staffing and Costs. Exhibit E.

Agreed and Accepted:

Thoroughbred Owners of California

California Thoroughbred Trainers

By: Mary Forney

By: Alan F. Balch

Name: Mary Forney

Name: Alan F. Balch

Title: Executive Director

Title: Executive Director

The California Authority of Racing Fairs

By: Larry A. Swartzlander

Name: Larry A. Swartzlander

Title: Executive Director

Exhibit A

CARF Member Fairs Furosemide Protocol

Furosemide (Lasix/Salix) may be administered on race day only if the horse is registered on the CHRB authorized bleeder medication list as provided for pursuant to 4 CCR 1845. If a horse is not registered on the CHRB authorized bleeder medication list, as provided pursuant to 4 CCR 1845 the horse may not receive furosemide on race day. The following rules shall govern the use of race day furosemide:

1. Race day furosemide shall only be administered by the furosemide veterinarian or furosemide technician. Any horse which receives race day furosemide from any person other than the furosemide veterinarian or furosemide technician shall be scratched from the race.
2. All horses that wish to be administered race day furosemide must be registered on the CHRB authorized bleeder medication list even if they have raced in other jurisdictions on race day furosemide.
3. The Stewards shall scratch a horse if they are unable to determine that a horse on the authorized bleeder medication list has been administered furosemide prior to a race, or that furosemide was administered to a horse less than four hours prior to the post time of a race that horse is entered to run.
4. Furosemide is the only authorized race day medication at a single IV dose between 150 mg-250 mg (3 cc to 5 cc). A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than 250 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian.
5. A horse registered on the official authorized bleeder medication list must remain on the list unless the trainer or owner's veterinarian requests the horse be removed. The request must be made using the applicable CHRB form, and which must be submitted to the official veterinarian and approved prior to the time of entry. A horse removed from the authorized bleeder medication list may not be placed back on the list for a period of 60 calendar days unless the official veterinarian determines it is medically necessary for the horse. If a horse is removed from the authorized bleeder medication list a second time in a 365-day period, the horse may not be placed back on the list for a period of 90 calendar days.
6. Horses registered on the authorized bleeder medication list which run in jurisdictions that do not allow the use of furosemide on race day do not require re-registering upon returning to California. A horse registered on the CHRB authorized bleeder medication list must receive furosemide. Failure to receive furosemide while registered in the CHRB authorized bleeder medication shall result in the horse being scratched from the race.

7. The trainer of any horse to be entered in a race at CARF Member Fairs shall report any previous or current incidents of exercise induced pulmonary hemorrhage and any previous adverse reactions to furosemide to the furosemide veterinarian and the CHRB official veterinarian.

8. As it relates to all horses that are entered to race on any given day and that are either stabled at a CARF Member Fair or have arrived at CARF Member Fair on race day, the trainer shall post the applicable CHRB detention stall sign on the horse's stall no later than eight (8) hours prior to the post time of the race for which the horse is entered or, for a horse arriving from off grounds of the racetrack, when the horse is placed in a pre-race security stall. Notwithstanding the generality of the foregoing, if CARF personnel announce an earlier time for posting the CHRB detention stall sign for any particular race day, all trainers shall be required to post the applicable CHRB detention stall sign at such earlier time.

9. As it relates to all horses that are entered to race on any given day and that are not stabled at a CARF Member Fair or have not arrived at a CARF Member Fair eight (8) hours prior to the post time of the race for which the horse is entered, the trainer shall post the applicable CHRB detention stall sign on the horse's stall at the off-site stabling facility no later than eight (8) hours prior to the post time of the race for which the horse is entered and at the stall at the appropriate CARF Member Fair that the horse will occupy when the horse is placed in the pre-race security stall. Notwithstanding the generality of the foregoing, if CARF Member Fairs personnel announce an earlier time for posting the CHRB detention stall sign for any particular race day, all trainers shall be required to post the applicable CHRB detention stall sign at such earlier time. All horses that are stabled at an off-site stabling facility must arrive at CARF Member Fairs no later than two (2) hours prior to post time of the first (1st) race of the day.

10. Regardless of the time of arrival at a CARF Member Fair, if the horse is not stabled at a CARF Member Fair, CARF Member Fairs security officers which are assigned to the gate(s) through which horses arrive from other locations shall maintain a log depicting the horse's name, time of arrival, scheduled race number and post time. In the event that a horse arrives less than two (2) hours prior to the post time of the first (1st) race of the day, the security officer shall notify the Stewards and racing secretary of the late arrival.

11. No furosemide will be administered to any two-year-olds.

Exhibit B

Medication Administration Protocol

1. Furosemide Veterinarians highlight their assigned horses on treatment sheet.
2. The furosemide coordinator, furosemide veterinarians, and furosemide technicians shall review treatment assignments by performing a roll call of each horse in each race to verify that:
 - a. All furosemide eligible horses are assigned to a veterinarian or technician.
 - b. Only one furosemide veterinarian or technician is assigned to each horse.
 - c. Furosemide ineligible horses are not assigned for furosemide treatment.
 - d. Scratches, medication status changes, and stall assignments changes are accurately recorded by all.
3. Furosemide shall be administered only after: a) the trainer, owner, or the owner's veterinarian has consulted with the furosemide veterinarian regarding the condition of the horse, and b) the furosemide veterinarian has examined the horse sufficiently to establish a veterinary- client-patient relationship in accordance with 16 CCR 2032.1.
4. Furosemide administration shall be performed in the horses' stalls between 4 ½ to 4 hours prior to the published post time for the race in which the horse is entered. Veterinarians or technicians are not to administer furosemide after the 4- hour deadline unless authorized by the Stewards.
5. If a horse is not in its assigned barn/stall when treatment is attempted, the veterinarian, or technician, is to promptly notify the furosemide coordinator who shall contact the Stewards (to have them determine the location of the horse) and then advise the veterinarian, or technician, of the horses' status / location.
6. If no attendant is present, the horse will not be treated. The veterinarian, or technician, will radio the furosemide coordinator who shall notify the Stewards and request instruction.
7. Administration of the medicine.
 - a. The furosemide veterinarian, or technician, shall:
 - i. Advise the attendant that he/she is present to administer furosemide and states the horse's name and race number.

ii. Notify the furosemide coordinator (via telephone or radio) that he/she is preparing to treat the horse by stating the race number, horse's program number, and the horse's name. If all information is correct, the furosemide coordinator shall respond with an affirmation for the veterinarian, or technician, to proceed. If any information is not correct, the furosemide coordination shall notify the Stewards and inform the veterinarian, or technician, of the Stewards instructions

iii. Confirm the horse's identity by tattoo inspection. The trainer's attendant shall display the tattoo as the furosemide veterinarian, or technician, shall not contact a horse's mucous membranes unless wearing disposable exam gloves. If the lip tattoo is illegible or no tattoo is present, the furosemide veterinarian, or technician shall use the horse's physical description (as provided in the InCompass printout) to verify its identity if the lip tattoo. The furosemide veterinarian, or technician, shall notify the furosemide coordinator and a note will be made on the Horse ID sheet. Microchip readers will be used to identify horses when microchips are widely available.

**If the identity of a horse cannot be verified, the veterinarian, or technician, shall notify the furosemide coordinator who shall notify the Stewards and wait for further instruction.

b. Trainers are required to have an attendant present when a horse is administered race day furosemide to:

i. Catch and hold the horse.

ii. Display the tattoo to the furosemide veterinarian, or technician if applicable, assist in identifying the horse through microchip reader.

iii. Assist, upon the instruction of the furosemide veterinarian, or technician, with the administration of the furosemide.

iv. Perform any additional functions necessary and related to the administration of the race day furosemide.

c. A horse registered to be administered furosemide shall receive 250 mg of furosemide intravenously unless an alternative dose of not less than 150 mg and not more than 250 mg has been determined after consultation between the trainer, owner, or owner's veterinarian, and the furosemide veterinarian.

d. To administer the medication the furosemide veterinarian, or technician, shall:

i. At the discretion of the administering veterinarian, or technician, use alcohol swabs to assist in visualization of the jugular vein.

ii. The veterinarian, or technician, requests the attendant to elevate the horse's head to position it so that the jugular vein is accessible and easily visualized.

iii. The injection site is the proximal third of the left jugular vein, distal to Viborg's triangle. Right sided administration may be performed if warranted by condition of the left vein or at the request of the trainer. Right sided administration is to be noted on the Horse Identification Program.

iv. The veterinarian occludes and visualized the jugular vein, directs the needle cranially and aspirated to verify needle placement. Aspiration is repeated as necessary to confirm correct needle placement.

**In the event of an adverse reaction or other emergency related to the administration of furosemide, the furosemide veterinarian, or technician, who administered the furosemide, shall attend the horse until the arrival of the owner's veterinarian.

8. After the medicine has been administered, the furosemide veterinarian, or technician, shall:

a. Notify the official veterinarian of the treatment of the horse. Such notification shall be made on the applicable CHRB form and given no later than two hours prior to post time of the race for which the horse is entered.

b. Comply with all record keeping requirements of 16 CCR 2032.3.

c. Complete recording of information on the Horse Identification Program

with:

i. Time of administration.

ii. Barn/stall (if not previously noted).

iii. Furosemide dose.

iv. Attendant's California CHRB license number or Stable Badge number. If the attendant has no form of photo identification, the veterinarian will inform the furosemide coordinator who will notify the Stewards.

d. Secure the attendant's signature on the Horse Identification Program.

e. All parties present during the administration of furosemide shall certify in writing that they have witnessed the furosemide administration by signing the applicable CHRB form. The furosemide veterinarian, or technician, shall place the syringe used to administered race day furosemide in an evidence/ biohazard tamper-proof bag which will be sealed in front of the witnesses. The witnesses shall sign the sealed evidence/ biohazard tamper-proof bag. The evidence/ biohazard tamper-proof bag shall be labeled with:

- i. Name of horse.
- ii. Name of trainer.
- iii. Date and time of furosemide administration.

f. The sealed and signed evidence/ biohazard tamper-proof bag containing the syringe will be delivered to CHRB.

g. A summary of events shall be written by the administering veterinarian, or technician, and provided to the Racing Secretary.

Exhibit C

Race Day Furosemide Administration by CARF Member Fairs Furosemide Veterinarians

Each racing day veterinarians responsible for medication administration shall perform an inventory of their kit and restock as necessary. The kit shall contain:

1. Salix
2. Epinephrine
3. 5/6cc syringes with 20G X 1 ½" needles
4. 10/12cc syringes with 20G X 1 ½" needles
5. 190 X 1 ½" silicone-free needles
6. Sharpie pens (fine point)
7. Highlighters
8. Small flashlight or penlight
9. Biohazard/Evidence bag
10. Colored tags & tape
11. Form CHRB- 36 Bleeder Treatments Report (See appendix XX)
12. Disposable exam gloves

Exhibit D

Protocol Prior to Medication Administration

Furosemide Coordinator Duties:

1. Retrieve list of horse in-today on official CHRB authorized bleeder medication list from the CHRB Official Veterinarian's secretary.
2. Obtain official scratch information from Stewards and any last-minute change to CHRB authorized bleeder medication eligibility changes from the official veterinarian or the Official Veterinarian's secretary.
3. Complete CHRB-36 (see Appendix XX for BC Example) for each horse in-today on the CHRB authorized bleeder medication list.
4. Assign treatments to Furosemide veterinarians or RVT's (initials or A, B, C, or D) for each race.
5. Download the Horse Identification Program PDF file from Incompass website as follows:
 - a. <http://rto.incompasssolutions.com>.
 - b. Click on Incompass login.
 - c. Select track (PLN, SAC, HUM, FNO) and ongoing meet dates interval.
 - d. Select Menu/ Program/ Reports/ Horse Identification.
 - e. Enter race date via calendar icon.
 - f. Verify all Print boxes contain checks.
 - g. Click "View/ Print".
 - h. Print report, single-sided, letter sized (8.5X11") paper.
6. Annotate the Master Horse Identification Program by:
 - a. Writing the post time for each race to the LEFT of center on each page.
 - b. Writing the treatment interval for each race (4½ to 4 hours prior to post time) to the RIGHT of center on each page.

- c. For races spanning more than one page, prominently writing **FLIP OVER** on the **BOTTOM RIGHT** of the first page for that race.
- d. Crossing out scratched horses.
- e. Logging Barn (and Stall number if known) in the left margin by each horses' name. This information is obtained from the Barn list and daily Receiving Barn assignment maintained by the Racing Secretary / Stall Person / Receiving Barn Manager.
- f. Recording Salix eligibility changes.
- g. Writing **NO SALIX** over the names of horse not designated to race on Salix. Horses eligible to receive Salix will have an (L) notation to the right of their name.

Exhibit E

Staffing Requirements for CARF Member Fair Lasix Program

1. CARF Member Fairs will provide staffing for the administration of furosemide as follows:
 - a. One furosemide coordinator
 - b. One furosemide technician per veterinarian administering Lasix
 - c. One to Two Pre-Approved Veterinarians
 - i. Dependent on race day entries
2. The Fitness Veterinarian will provide Lasix administration services with the aid of a technician for any race card that has 49 or less horses requiring Lasix.
 - a. If a race card has more than 50 horses requiring the administration of Lasix, a second pre-approved Lasix Veterinarian will be hired to assist with the administration of Lasix



a California joint powers agency

1776 Tribute Road, Suite 150
Sacramento, CA 95815
Office: 916.263.3346 Fax: 916.263.3341
www.calairs.com

CHRB Rule 1581 Agreement

Effective Date: April 21, 2020

PARTIES:

Thoroughbred Owners of California (“TOC”)
285 W. Huntington Drive
Arcadia, CA 91007

AND

California Authority of Racing Fairs (“CARF”)
1776 Tribute Road, Suite 150
Sacramento, CA 95815

RECITALS:

- A. CARF is a racing association licensed to operate Thoroughbred horse racing at the following locations: Alameda County Fair (Pleasanton, CA), California State Fair (Sacramento, CA), Humboldt County Fair (Ferndale, CA), The Big Fresno Fair (Fresno, CA). TOC represents the collective interests of all California Thoroughbred owners.
- B. CARF and TOC desire to enter into an agreement in which new rules and regulations are approved in order for CARF to be consistent with International Federation of Horse Racing Authorities (“IFHA”), and this agreement is intended to satisfy the requirements set forth in Rule 1581 of the California Horse Racing Board Rules.
- C. The purpose of this agreement is to protect the safety and welfare of horses and riders and to enhance public confidence in the integrity of the sport of Thoroughbred racing.

AGREEMENT:

1. Effective immediately, all foals born prior to 2018 will race under rules consistent with IFHA standards, which include no race-day medication, with the exception of Lasix, which will be reduced to a maximum of 50% of the current levels permitted.

2. Every horse within the enclosure and every horse at a CARF auxiliary training facility is subject to out-of-competition testing (“OOCT”) by CARF veterinary professionals and no owner, trainer or other person having the care of a horse shall refuse to submit such for testing.
3. All parties agree to strict limitations on the use of any analgesic and anti-inflammatory medications during racing and training.
4. Joint Injections. No horse, of any age, shall be permitted to race at a CARF facility if it has received a corticosteroid intra-articular joint injection as follows:
 - a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal joints.
 - b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal joints.
5. No horse which has been administered a non-steroidal anti-inflammatory medication within the previous 48 hours shall participate in a race at a CARF facility or a recorded work at a CARF facility or any of its auxiliary training facilities. All parties agree OOCT may be conducted to verify compliance.
6. A commitment to continuing collaboration for improving the safety and welfare of horses and riders.
7. The parties will file this agreement with the California Horse Racing Board (“CHRB”) and support approval.
8. This Agreement covers CARF’s 2020 summer race meet (the “Meet”) and shall expire on the last day that a Thoroughbred racehorse is permitted to be in a CARF facility enclosure or at a CARF auxiliary training facility as part of the Meet.

California Authority of Racing Fairs Thoroughbred Owners of California

By: Larry A. Swartzlander

Larry Swartzlander
Executive Director

Dated: 4/21/20

By: Mary Forney

Mary Forney
Executive Director

Dated: 4/21/2020

STAFF ANALYSIS
August 20, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR AT PLEASANTON, COMMENCING OCTOBER 7, 2020, AND CONTINUING THROUGH OCTOBER 20, 2020.

The Big Fresno Fair (Fresno) has filed its application to conduct a horse racing meeting at Pleasanton as part of the California Authority of Racing Fairs 2020 combined fair horse racing meeting, as follows:

- October 7, 2020, through October 20, 2020, with six days of racing, three less than in 2019. Fresno proposes to run a total of 69 races, 29 less than in 2019. Fresno will be simulcasting on October 8, 12, and 15, and live racing will begin on October 9. Fresno is a participant in the California Authority of Racing Fairs (CARF) 2020 combined fair horse racing meeting.
- At its March 2020 regular meeting, the Board heard and approved a request from CARF to designate the 2020 allocated race dates of the Alameda County Fair, California Exposition and State Fair, Humboldt County Fair, and Fresno as a combined fair horse racing meeting.

October 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Friday through Sunday each week. Eleven races first Friday, 10 races second Friday; 12 races on Saturdays and Sundays.
- Racing concurrently with Los Angeles Turf Club II racing at Santa Anita.
- Average number of runners per race, 2019 race meeting:
 - Thoroughbred: 6.28
 - Quarter horse: 5.60
 - Arabian: 6.14
 - Mule: 5.00
- First post 1:15 p.m. daily.

- Wagering program will use CHRB and ARCI rules.
 - Distribution of carryover pool will be October 18, 2020 (closing day).
 - Early wagering will not be offered.
 - \$1 Trifecta and \$0.10 Superfecta when applicable.
 - \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
 - \$0.50 Pick (n) Pool 5 on last 5 races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool paid to most winners.
 - \$0.20 Pick (n) Pool 6 on last 6 races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no ticket selects 6 winners, then the 40% minor pool that day is paid to the tickets selecting the most winners, and the 60% major pool will be added to the carryover. If 6 thoroughbred races are not available, emerging breed races can be used.
 - \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting first 5 finishers. If no unique ticket selects first 5 finishers, then 40% minor pool paid to tickets selecting the first 5 finishers, and 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers, 100% of the pool will be added to the carryover. Super High 5 will be carded on last race of the day.
- The advance deposit wagering (ADW) providers are BetAmerica, NYRABets, TVG Network, TwinSpires, Watch and Wager, and XpressBet.
- Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

- Documents received in compliance with BPC section 19604:
 - Signed 2020 ADW agreements with all providers listed on application [BetAmerica, NYRA Bets, TVG Network, TwinSpires, Watch and Wager, and XpressBet].
 - All horsemen's approvals [Thoroughbred Owners of California (TOC), Pacific Coast Quarter Horse Racing Association (PCQHRA), Arabian Racing Association of California (ARAC), and American Mule Racing Association (AMRA)] of the ADW agreements.
- Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
- Requests Gary Greiner as horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed prior to start of race meet.
- Track safety inspection has been requested and will be completed prior to start of race meet.

Specific information **still needed** to complete this application:

1. Fire clearance in compliance with Board Rule 1927, Fire Prevention. (Fire clearance obtained in March 2020. Letter of request for exemption from certain requirements of Rule 1927 has been submitted.)

RECOMMENDATION:

Staff recommends approval of the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A Name, mailing address, telephone, and fax numbers of fair:

21st District Agricultural Association
The Big Fresno Fair at Pleasanton
1121 South Chance Avenue
Fresno, Ca. 93702
(559) 650-3247; FAX (559) 650-3226

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Lauri King, Deputy Manager, 559-994-3135; lking@fresnofair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **October 7 – October 20, 2020**

B. Actual dates racing will be held: **October 9 - 11 & October 16 – 18, 2020**

C. Dates racing will NOT be held: **October 8, 12 & 15 are simulcast days; October 7, 13, 14, 19 & 20 are dark days.**

D. Total number of racing days: **6**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **Friday – Sunday each week.**

3. RACING PROGRAM

A. Total number of races: **69**

B. Number of races by breed:

CHRB CERTIFICATION

Application received: 6/11/20
Reviewed: RP

Hearing date: 8/20/20
Approved date:
License number:

<input type="text" value="53"/> Thoroughbreds	<input type="text" value="3"/> Quarter Horses	<input type="text" value="0"/> Appaloosas
<input type="text" value="5"/> Arabians	<input type="text" value="0"/> Paints	<input type="text" value="8"/> Mules

C. Number of races daily:

	1 st Sun.	2 nd Sun.	1 st Fri.	2 nd Fri.	1 st Sat.	2 nd Sat.
Thoroughbred	9	10	9	8	9	10
Other Breeds	3	2	2	2	3	2
Total	12	12	11	10	12	12

D. Total number of stakes races by breed:

<input type="text" value="2"/> Thoroughbreds	<input type="text" value="0"/> Quarter Horses	<input type="text" value="0"/> Appaloosas
<input type="text" value="0"/> Arabians	<input type="text" value="0"/> Paints	<input type="text" value="0"/> Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stake's races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). None.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No Changes.

2020
 Harris Farms Stakes (CAL BREDS)
 \$100,000 Guaranteed (\$40,000 CTBA)
 Golden State Series
 Three-year olds & Upward – Six Furlongs
 Sat, Oct 10

 Bulldog Hdcp, 26th Running
 \$50,000 Added Overnight Hdcp
 (Plus up to \$15,000 to Cal-Breds)
 Three-Year Olds & Upward, One & 1/8 Miles
 Sunday, Oct 18

2019
 Harris Farms Stakes (CAL BREDS)
 \$100,000 Guaranteed (\$40,000 CTBA)
 Golden State Series
 Three-year olds & Upward – Six Furlongs
 Sat, Oct 5

 Bulldog Hdcp, 25th Running
 \$50,000 Added Overnight Hdcp
 (Plus up to \$15,000 to Cal-Breds)
 Three-Year Olds & Upward, One & 1/8 Miles
 Sun, Oct 14

2018
 Harris Farms Stakes (CAL BREDS)
 \$100,000 Guaranteed (\$40,000 CTBA)
 Golden State Series
 Three-year olds & Upward – Six Furlongs
 Sat, Oct 6

 Bulldog Hdcp, 24th Running
 \$75,000 Guaranteed
 Three-Year Olds & Upward, One & 1/8 Miles
 Sun, Oct 14

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

** As much as possible, we intend to align our post times to compliment the Santa Anita post times*

FRI/SATURDAY/SUNDAY

Race #1	1:15 p.m.
Race #2	1:45 p.m.
Race #3	2:15 p.m.

Race #4	2:45 p.m.
Race #5	3:15 p.m.
Race #6	3:45 p.m.
Race #7	4:15 p.m.
Race #8	4:45 p.m.
Race #9	5:15 p.m.
Race #10	5:45 p.m.
Race #11	6:15 p.m.
Race #12	6:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Larry Serpa, President	Jerry Pacheco, Vice-President
Charles Riojas, Secretary/Treasure	Linda Mae Balakian Hunsucker
Leta Ciavaglia	Gabriel Hernandez
Larry Salinas	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Chief Executive Officer John C. Alkire and Deputy Manager II Lauri King

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

John C. Alkire, CEO	Stacy Rianda, Deputy Manager II
Lauri King, Deputy Manager II	Doug Gooby, Pari-Mutuel Manager
Steve Wood, Track Superintendent	Rod Seitz, Maintenance Supervisor
Chris Griffin, Race Announcer	Nick Heckman, Maintenance Supervisor
Larry Swartzlander, Director of Racing	Odds Maker

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Chief Executive Officer	Deputy Manager II
John C. Alkire	Lauri King
1121 S. Chance Avenue	1121 S. Chance Ave
Fresno, Ca 93702	Fresno, Ca 93702
<u>jalkire@fresnofair.com</u>	<u>lking@fresnofair.com</u>

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair

association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2019	\$19,010,636	77,814	9 days
2018	\$18,381,799	77,240	9 days
2017	\$17,718,170	79,289	9 days
2016	\$18,097,900	83,881	9 days
2015	\$18,867,088	86,743	9 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- All races other than stakes:
Current meet estimate: \$621,540
Prior meet actual: \$932,310

Average Daily Purse (7A1 ÷ number of days):
Current meet estimate: \$103,590
Prior meet actual: \$103,590

- Overnight stakes:
Current meet estimate: \$50,000
Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):
Current meet estimate: \$8,333
Prior meet actual: \$5,555

- Non-overnight stakes:
Current meet estimate: \$100,000
Prior meet actual: \$100,000

Average Daily Purse (7A3 ÷ number of days):
Current meet estimate: \$16,667
Prior meet actual: \$11,111

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$771,540
 Prior meet actual 1,082,310

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners' premiums):

Current meet estimate: \$54,253
 Prior meet actual: \$76,413

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 2,056	\$ 2,897
TOC	\$ 3,687	\$ 5,194
NTRA	\$ 652	\$ 918
PCQHRA	\$ 712	\$ 1,003
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,820	\$ 3,973
AMRA	\$ 1,933	\$ 2,723
CHBPAPEN	\$ 5,532	\$ 7,792
CTHF	<u>\$ 5,532</u>	<u>\$ 7,792</u>
Total	\$22,924	\$32,292

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$848,717
 Prior meet actual: \$1,191,015

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$141,452
 Prior meet actual: \$132,335

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$645,185
 Prior meet actual: \$908,712

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$107,530
 Prior meet actual: \$100,968

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$62,921
 Prior meet actual: \$88,622

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$10,486
 Prior meet actual: \$9,847

G. Bank and account number for the Paymaster of Purses' purse account:

On file

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Rossman, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
684
- B. Minimum number of stalls believed necessary for the meeting:
684
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: **n/a**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours,

workouts and during racing for the association and auxiliary sites:

Dr. Bill Grantham: 415-860-8113. Dr. Forrest Franklin: 916-585-2050. No Auxiliary sites.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. Dr. Franklin will be on site and available 24/7.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE		RULE NUMBER
EXACTA	(1E)	1959
DAILY DOUBLE	(2DD)	1957
SUPERFECTA	(.10SF)	1979.1
PENTAFECTA	(.20PF)	ARCI 004-105 X-PENTAFECTA (5) OPTION 4
PK3	(1PK3)	1977
PK4	(.50PN4)	1976.9
PK5	(.50NP5)	1976.9
PK6	(.20PNP6)	ARCI 004-105 G. (G) METHOD 7

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/>	Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16) (b) (i)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g) Method 7 (16) (b) (i)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)		



August 4, 2020

California Horse Racing Board
 Attn: Cynthia Alameda
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2020 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 18, 2020, which is the Closing Day of The Big Fresno Fair at Pleasanton.

Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7 (16)(b)(i)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7 (16) (b) (i)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 18th, 2020 (Closing Day of The Big Fresno Fair)**

E. List any options requested with regard to exotic wagering:

\$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.

\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover. If six thoroughbred races are not available emerging breed races can be used.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
Amtote Expiration: December 30, 2020.
Equipment description on file with Board.

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%
Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Bet America, NYRA, Twin Spires & Watch & Wager.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No
If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**



April 13, 2020

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2020 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2020 race meetings, as follows:

1. California wagering on CARF races:

- a. TVG, XpressBet, TwinSpines, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN’s Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN’s Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpines, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

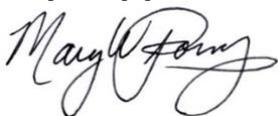
- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on CARF races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director



Pacific Coast Quarter Horse Racing Association

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020, and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, and NYRA may accept wagers from California residents on races conducted at CARF and races held at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Dino Perez
Business Manager

April 21, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau

Chairperson

[Type text]

[Type text]



March 25, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

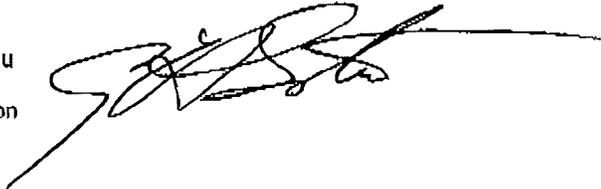
It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated March 25, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Cory Soltau

Chairperson



[Type text]

[Type text]

April 14, 2020

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2020 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2020 racing season, commencing June 19, 2020 and continuing through October 18, 2020, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 13, 2020.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



for
Don Jacklin

[Type text]

[Type text]

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- | | |
|--|--|
| Golden Gate Fields, Albany | Fairplex Park, Pomona |
| *Humboldt County Fair, Ferndale | Fantasy Springs Casino, Indio |
| Jockey Club at San Mateo, San Mateo | Los Alamitos Race Course, Los Alamitos |
| | Mermaid Tavern, Thousand Oaks |
| | OC Tavern & Sports Bar, San Clemente |
| | Roadhouse Grill, Santa Maria |
| | Sammy's Restaurant & Bar, Lake Forrest |
| Fresno Club One | Santa Anita Park, Arcadia |
| | Santa Clarita Lanes, Santa Clarita |
| Monterey County Fair, Monterey | Shalimar Sports Center, Indio |
| San Joaquin County Fair, Stockton | Sports Center, San Bernardino |
| Santa Clara County Fair, San Jose | Sports Pavillion at The Farmers Fair, Lake Perris |
| Shasta District Fair, Anderson | Sports Pavillion, San Bernardino Cty. Fair, Victorville |
| Solano County Fair, Vallejo | Surfside Race Place at Del Mar, Del Mar |
| Sonoma County Fair, Santa Rosa | Sycuan Gaming Center, El Cajon |
| | Tilted Kilt, Thousand Oaks |
| Stanislaus County Fair, Turlock | Viejas Casino & Turf Club, Alpine |
| | Watch & Wager, Antelope Valley Fgds, Lancaster |

***Open only during Humboldt/Ferndale Fair Racing**

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>DOMESTIC</u>	
ARLINGTON	10/7-10/20
BELMONT	10/7-10/20
CALDER RACECOURSE	10/7-10/20
CANTERBURY DOWNS	10/7-10/20
CHARLES TOWN	10/7-10/20
CHURCHILL DOWNS	10/7-10/20
COLONIAL DOWNS	10/7-10/20
DELAWARE	10/7-10/20
EMERALD DOWNS	10/7-10/20
EVANGELINE DOWNS	10/7-10/20
INDIANA DOWNS	10/7-10/20
LONE STAR	10/7-10/20
LOUISIANA DOWNS	10/7-10/20
MONMOUTH	10/7-10/20
MOUNTAINEER	10/7-10/20
PENN NATIONAL	10/7-10/20
PHILADELPHIA PARK/PARX	10/7-10/20
PIMLICO	10/7-10/20
PRAIRIE MEADOWS	10/7-10/20
PRESQUE ISLE DOWNS	10/7-10/20
RIVER DOWNS	10/7-10/20
RUIDOSO	10/7-10/20
SUFFOLK DOWNS	10/7-10/20
THISTLEDOWN	10/7-10/20
YAVAPAI DOWNS	10/7-10/20
<u>INTERNATIONAL</u>	
ASSINIBOIA	10/7-10/20
AUSTRALIAN RACING	10/7-10/20
FORT ERIE	10/7-10/20
HASTINGS	10/7-10/20
NORTHLANDS PARK	10/7-10/20
SOUTH AMERICAN RACING	10/7-10/20
UNITED KINGDOM	10/7-10/20
WOODBINE	10/7-10/20

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

CARF 2020 Meets Commingle Locations

AmWest Entertainment	Fair Meadows	Monmouth Park	Tampa Bay Downs
Amwest Accounts	Favorites at Gloucester	Montana OTB	Taunton ADW
Greenbrier (WV)	Favorites at Vineland	Monticello	Taunton Dog Track Inc.
Cover D' Alene Casino (ID)	Finger Lakes	Mountaineer Park	The Downs at Albuquerque
Triple Crown (SD)	Finger Lakes ADW	Nassau Regional OTB	Thistledown
Arapahoe-Mile High	Fonner Park	Nevada Pari-Mutuel Assoc.	Tioga Downs
Arima Race Club	Freehold	Newport Jai Alai	Tri-State GH (Mardi Gras)
Arlington I	Gillespie County Fair	New Jersey ADW (4NJBets)	Turf del Norte (Mexico)
Bangor Raceway	Global Wagering Solutions	Northfield	Turf Paradise
Batavia	Betsson, OneXTwo, German Tote		Turfway Park
Belterra Park	Racebets, Sportech Netherlands	Cedar Downs OTB	TVG
Bettor Racing	SBO, Magna Bet, Pferdewetten	Northville Downs	TVG High Volume
Birmingham Greyhound	Dantoto	NYRA	Twin River Greyhound
Borgata Casino	Greenetrack	NYRA ADW	TwinSpires
Buffalo Raceway	Greyhound at Post Falls	Oaklawn Park	TwinSpires High Volume
Canterbury Park	Gulf Greyhound	Oaklawn Park ADW	Velocity Wagering Ltd.
Capital District OTB	Gulfstream Park	Ocean Downs	Venezuela OTB
Catskill OTB	Harrington Raceway	Panama	Vernon Downs
Charles Town Race Course	HarringtonBets ADW (DE regional)	PariBet ADW	Virginia Equine Alliance
Chester Downs (Harrah's Philly)	Hawthorne Race Course	PARX	WatchandWager
Churchill Downs	Hawthorne ADW	PARX ADW	Western OTB
Codere (Brazil)	Hazel Park	Penn National	Wheeling Downs
Columbus Raceway	Hinsdale	Penn National ADW	Will Rogers Downs
Connecticut OTB	Hoosier Park	Peru	Wyoming OTB
Bradley Teletheater, Bristol	Horseman's Park	Plainridge Race Course	Wyoming Downs
Camouflage Gaming	Horsehow Council Bluff (William Hill)	Plainridge ADW	XpressBet
Connecticut OTB ADW	Idabet	Player Management Group	Yonkers Raceway
Coushatta Casino	Indiana Downs	PMU (Brazil)	Yonkers ADW (Empire City Bets)
Divi Carina Bay Casino	Evansville OTB	Pocono Downs ADW	Youbet Group 1
East Haven, Hartford, Putnam	Clarksville OTB	Pocono Downs/OTB	Zia Park
Winner's Circle	Intermountain Racing	Portland Meadows	123Gaming
Ho-Chunk Casino and Racebook	Jockey Club Pernambuco (Brazil)	Potawatomi Casino/ OTB	
John Martin's Manor Restaurant	Keeneland	Prairie Meadows	
Manchester, New London	Keeneland Select ADW	Premier Turf Club	
Millenium Racing	Kentucky Downs	Presque Isle Downs	
Mohegan Sun Casino	Kentucky OTB	Remington Park	
New Britain, Norwalk, Milford	Les Bois (Treasure Valley)	Remington OTB Network	
Oneida Bingo & Casino	Lewiston OTB's	Retama Park	
Paragon Casino	Lien Games	Racing & Gaming Services	
Pony Bar Simulcast Center	Chips Lounge/Rumors OTB	Rillito Park	
Randall James Racetrack	Howard Johnsons OTB	Ruidoso Downs	
Royal Beach Casino	Skydancer Casino OTB	Running Aces Harness Park	
Shoreline Star, Sports Haven	Offtrackbetting ADW	Sam Houston	
Torrington, Waterbury	X the Spot (Virgin Island)	Valley Greyhound Park	
Tote Investment Racing	Lone Star	Saratoga Harness Raceway	
		Saratoga Bets (ADW)	

Willimantic, Stanford OTB	Louisiana Downs	Scarborough Downs	
Winner's Circle	LVDC	Scioto Downs	
Corpus Christi Greyhound	Atlantis Paradise Casino	Seabrook Greyhound	
Dayton Raceway	Buffalo Thunder Resort	Seabrook Greyhound ADW	Separate Pool Locations
Delaware Park	Foxwoods Resort Casino	Sol Mutuel	Camarero (Puerto Rico)
Delta Downs	Meskwaki Bingo & Casino	Sol Mutuel – Odds Broker	Caymanas (Jamaica)
Dover Downs	Mahoning Valley	Sol Mutuel – OTB	Chile
Dubuque (Iowa Greyhound)	Maronas (Uruguay)	Barbados/Otrabanda	Codere (Mexico/Spain)
Elite Turf Club (1-19)	Maryland Jockey Club	Southland Greyhound	MIR Books (Caliente)
Ellis Park	Meadowlands	State Fair (Lincoln, NE)	NDS Books (Nevada)
Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Suffolk District OTB	PMU (Brazil)
Evangeline Downs	Miami Valley Gaming & Racing	Suffolk Downs	Tabcorp (Australia)
Fair Grounds	Mobile Greyhound	Sunland Park	Tattsbet (Australia)
Fair Grounds ADW		SunRay Park & Casino	Turf TV
			New Zealand Racing Board

Canadian Locations

Assiniboia Down, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, Sudbury Downs, Summerside, TBC Teletheaters Western Fair, Woodbine, Xpressbet Southern Alberta

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce, Firehouse Restaurant, Bakersfield, 58 Flat Sports Bar & Casino, Bonita, Oceanside, Santa Maria, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Mission Viejo.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Bill Grantham - DVM Track & Fitness Veterinarian F.G. Franklin – CHRB Veterinarian
Clerk of Scales	Kenneth Sjordal
Clerk of the Course	Tina Walker-Bryant
Film Specialist	Kenneth Fowler
Asst. Clerk of Scales	Kenneth Fowler
Horse Identifier	Gary Greiner
Horseshoe Inspector	Augustine Rayas
Paddock Judge/Patrol Judge	Danny Winnick
Placing Judges	Steve Martinelli & Morgann Schlessler
Starter	Todd Stephens
Timer	Melody Truitt

B. Management officials in the racing department:

Director of Racing – **Larry Swartzlander**
Racing Secretary – **Tom Doutrich**
Assistant Racing Secretary – **Linda Anderson**
Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
Others (identify by name and title)
Mutual Manager – **Doug Gooby**

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Nicolli Reporting
619 Pilgrim Dr.
Foster City, Ca 94404
650-573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien (Expires 12/7/21)

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communication, Inc. – (Jim Porep) Contract Expires: April 30, 2021
Equipment description on file with the Board

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021

14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Racetrack/Grandstand: Alameda County Sheriff Chief in Charge 925-426-7525 Liaison Officer: Commander Colby Staysa 510-529-5451 and Sergeant Kevin Estep 925-321-1796.

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Huard Shacks and Barn Area. Race Track, Grandstands and Fairground security items are coordinated between Fair Management, Alameda County Sheriff’s Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff’s Department become involved as necessary.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Richard Sims, VP of Maintenance Operations	925-596-5744
Judy Carrico, Senior Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Office, Various Personnel	925-426-7519

Alameda County Sheriffs Department

Fairgrounds Command Center	925-426-7525
Commander Colby Staysa	510-529-5451
Sergeant Kevin Estep	925-321-1796

NOTE: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Racetrack/Grandstand: 8 Sheriff’s Deputies Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 Night Rover, 7 nights per week.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a

notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

Addressed in B1 above.

B. Number of security guards in the detention stall area during a 24-hour period.

One.

C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

All horses in thoroughbred races where the number is determined by a random algorithm generator.

B. Plan for enhanced surveillance for trainers with high-test results.

Trainer with high test results will be moved to the detention area.

C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Attached.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

N/A

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

C. Describe the on-track first aid facility, including equipment and medical staffing:

Attached.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**Donald Lang
532 Circle Dr. South
Fresno, CA 93704
408-396-3757**



Compassion. Commitment. Caring

March 2, 2020

Jeanne Wasserman
Alameda County Fairgrounds
4501 Pleasanton Ave
Pleasanton, CA 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are Certified by the California Emergency Medical Services Authority and copies of the Certifications will be provided upon request

Sean Young

Sean Young

Operations Manager
14472 Wicks Blvd
Sant Leandro, CA 94577
510-568-6161



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

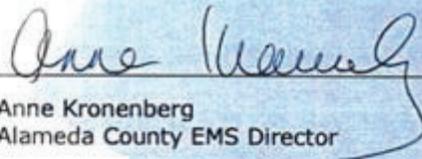
This is to certify that:

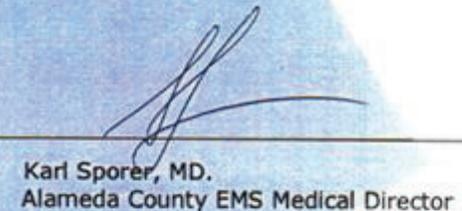
Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from to

for


Anne Kronenberg
Alameda County EMS Director


Karl Sporer, MD.
Alameda County EMS Medical Director

An embossed seal should appear in a grey box

March 7, 2020

Paramedic for Live Race Meet:

The Alameda County Fair contracts with Royal Ambulance for training a live racing. Royal is a BLS, as required for training.

To meet the requirement for a Paramedic during the live races two Alameda County Fire Department Paramedics will be on a full medically equipped modified golf cart with a stretcher. This vehicle is equipped to handle medical emergencies just as an ambulance would be. The paramedic will be staged at the entrance to the race track, ready to go should an emergency occur.

15C First aid and Medical Staffing

The Alameda County Fair provides emergency medical services which support comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilization, comfort and transportation of injured racetrack personnel to appropriate local hospitals.

The on-track ambulance is a paramedic staffed advanced life support unit, dedicated solely to racing and racing related events. The ambulance is present trackside during training and on the track during racing. This ambulance is responsible for providing emergency medical services and transportation. When the services of the on-track ambulance are required, an additional ambulance will immediately be sent to replace the on-track ambulance.

During training and live racing hours, at least one or more advanced life support ambulances are available onsite, as well as a fully staffed first-aid station that can provide advanced and basic life support.

Additional EMS resources, including rescue and air ambulance, are immediately available in the local area.

Patients will be transported per CCEMSA policy 547, based on medical necessity and/or patient preference.

Onsite communication services are provided to ensure contact between all emergency care personnel. Communications are maintained between racing operations and the on-track ambulance via VHF radio, utilizing cell phones as a secondary resource. The on-track ambulance, additionally, maintains contact with the CCEMSA communications center through VHF and UHF radio, utilizing cellular service as a backup system.

The CCEMSA communications center is a Public Safety Answering Point. All personnel are informed to call 911 in the event emergency medical services are needed and other communications systems are not functional, and/or the on-track ambulance is disabled or otherwise unavailable.

The Alameda County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418.
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey:
Attached.
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Same letter as Pleasanton with submission of request for exemption to CHRB rule 4CCR 1927.
Attached.
- I. Name of the workers’ compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
California Fair Services Authority.
- J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers’ compensation.
Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food & Non-Alcoholic Beverages

COMPANY	OWNER	ADDRESS	CONCESSION SERVICE
Winners Circle	Don Fowler (CARF Contract)	916-205-5384	Jockey’s Laundry
Speeda Sound	Mike King	5617 W. San Madele Fresno, Ca. 93722	Sound Equipment
Vassar Photography	Bill Vassar	5075 Double Point Way Discovery Bay, CA 94505	Winner’s Circle Photos
I Sound View Loop	CARF Contract	South Salem, NY 10590	Starting Gate

B. Does the fair plan to provide its own concessions? Yes

Item #15F English:

Alameda County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:

Procedimiento en caso de Accidente en Alameda County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Vetennano del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinano del hipodromo debera decider si se sacrifique al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



a California joint powers agency

1776 Tribute Road, Suite 150
Sacramento, CA 95815
Office: 916.263.3346 Fax: 916.263.3341
www.calairs.com

July 31, 2020

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney,

The Alameda County Fire Marshal's inspection letter stated that the Alameda County Fair Stable area was inspected without violations as of March 2, 2020. Jerome and I feel that this statement complies with the intent of 4 CCR 1927.

However, rule 4 CCR 1927 was written at least 30 years ago and has not been updated since. We believe that the rule is outdated and lacks understanding of local fire code, jurisdictions and facility conditions. Furthermore, requesting a local Fire Marshal to specifically write a letter addressing compliance to third-party fire guidelines is not realistic due to the potential liability it places on the local jurisdiction.

I would respectfully ask for an exemption to the current 4 CCR 1927 rule for the 2020 Fresno at Alameda County Fair meet as it pertains to fire sprinklers. The barns at Pleasanton are cinderblock buildings, composition shake roofs, with shed row stalls that are outward facing. They are equipped with annually inspected fire extinguishers, automatic fire detection alarms and all barns are within 150 feet of functioning fire hydrants. Additionally, there is a watchman designated to the enclosure area 24 hours per day, 7 days per week.

In addition, I ask that the rule be reviewed by the CHRB staff in conjunction with industry stakeholders to develop recommended changes for CHRB's consideration in the future.

Thank you for your consideration of this matter.

Regards,

Larry A. Swartzlander
Executive Director, CARF

Cc: Jerome Hoban, CEO ACF
Chairman Dr. Gregory Ferraro
Vice-Chairman Oscar Gonzales
Commissioner Alex Solis
Commissioner Dennis Alfieri
Commissioner Wendy Mitchell
Commissioner Damascus Castellanos



Alameda County Fire Department
FIRE PREVENTION

www.acgov.org/fire

DAVID A. ROCHA
Fire Chief

March 24, 2020

COUNTY
FIRE PREVENTION
399 Elmhurst, Room 120
Hayward, CA 94544
tel [510] 670-5853
fax [510] 887-5836

Jerome Hoban, CEO
Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

DUBLIN
FIRE PREVENTION
100 Civic Plaza
Dublin, CA 94568
Tel [925] 833-6606
Fax [925] 829-9248

RE: Annual Stable Area Inspections

EMERYVILLE
FIRE PREVENTION
1333 Park Avenue
Emeryville, CA 94608
Tel [510] 596-3759
Fax [510] 450-7812

Dear Mr. Hoban:

ACFD conducted inspections of the stable area on 2/27/2020 and 3/2/20. The facility was found to be empty and without any violations as of the 3/2/20 inspection.

NEWARK
FIRE PREVENTION
37101 Newark Blvd
Newark, CA 94560
Tel [510] 578-4218
Fax [510] 578-4281

Sincerely,

SAN LEANDRO
FIRE PREVENTION
835 E 14th Street
San Leandro, CA 94577
Tel [510] 577-3317
Fax [510] 618-3445

Bonnie S. Terra
Division Chief

UNION CITY
FIRE PREVENTION
34009 Alvarado-Niles Road
Union City, CA 94587
Tel [510] 675-5470
Fax [510] 487-2117



July 6, 2020

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, Ca 95825

Re: Alameda County Fair
4501 Pleasanton Ave
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority
(includes liquor liability)
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)
Coverage provided by CSAC Excess Insurance Authority
Term: 7/1/20 to 7/1/21

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$250,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$250,000
(b) Employers' Liability: \$4,750,000 in excess of \$250,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2020 to 7/01/2021

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

CFSA coverage also includes the Fresno at Pleasanton Race meet in October.

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,
Mario Castagnola
Risk Analyst

**1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238**

WWW.CFSA.ORG

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

Please See Attached Marketing Plan

B. Promotional/ Marketing budget for this race meeting:

Please See Attached Marketing Plan

Promotional/Marketing budget for prior race meeting:

As noted in our plan, the overall Operating Expense of Live Horse Racing is \$1,185,850. The overall Operating Expense of the Fair is \$10,027,396. The Live Racing expenses accounts for 8.45% of total Fair expenses. The overall Revenue from Live Horse Racing is \$725,925. The overall Revenue of the Fair is \$7,768,823. The Live Horse Racing revenue accounts for 10.70% of total revenue. *Please note that this a revised budget based on the COVID-19 expectations of the 2020 Fair.

Please See Attached Promotional & Marketing budget for 2016, 2017, 2018, 2019 and 2020.

C. Number of hosts and hostesses employed for meeting:

0

D. Describe facilities set aside for new fans:

None. Due to COVID-19 restrictions, non-essential personnel are not allowed on the grounds.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

The racing signal is now broadcast in High-Definition to all our agreed simulcasting sites and in the grandstand. Tack rooms, shedrows, hot walker areas, stalls and common areas have had increased cleaning due to COVID-19 precautionary policies. Last year, the odd side of the barns and stall doors were repaired and repainted – this year the even side of the barns have been restored.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

None. Due to COVID-19 restrictions, non-essential personnel are not allowed on grounds. Essential personnel are not charged for entry or parking.

B. Describe any "Season Boxes" or other special accommodation fees:

None.

C. Describe any "package" plans such as combined parking, admission and program:

None.

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:

Separate area containing an office, lounge area, sauna, showers, restroom, lockers and bunks. Jockeys and Jockettes share the scale.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
107 Tack Rooms
- C. Number of restrooms available on the backstretch of the racetrack:
9, total commodes: 17
- D. Estimated ratio of restrooms to the number of backstretch personnel:
1:10

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests.)
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail Outer Rail: Aluminum gooseneck, supports with aluminum top rail Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.

- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.

- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions

- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

John C. Alkire
Print Name
CEO
Print Title


Signature
June 9, 2020

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO
ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES TURF CLUB II AND
THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC), REGARDING ENTRY
CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE
IMPLEMENTED BY THE LOS ANGELES TURF CLUB AT SANTA ANITA.

Regular Board Meeting
August 20, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581, Racing Secretary to Establish Conditions](#), allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections. Here, the Los Angeles Turf Club II (LATCII) has obtained an agreement with the Thoroughbred Owners of California (TOC).

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the TOC. Additionally, any agreement must be approved by the Board before entries are taken. Here, LATC II has entered into an agreement with the TOC which reduces the permitted amount of Lasix to 250 mg, prohibits Lasix in two year-olds, prohibits a horse from racing for 14 days if it has received a joint injection, prohibits a horse from performing a timed workout within 10 days of a joint injection, and prohibits a horse from racing for 30 days if it has received an injection in an metacarpophalangeal joint.

RECOMMENDATION:

This item is presented for Board discussion and action.

Race Day Medication Agreement

This Race Day Medication Agreement (this “Agreement”) is entered into effective as of July 6, 2020 by and between the Thoroughbred Owners of California (“TOC”) on the one side and Los Angeles Turf Club, Incorporated and Los Angeles Turf Club II, Inc. (jointly “LATC”) and Pacific Racing Association and Pacific Racing Association II (jointly “PRA”) on the other side.

Recitals

- A. LATC operates race meets at Santa Anita Park.
- B. PRA operates race meets at Golden Gate Fields.
- C. TOC, LATC, and PRA are parties to an agreement dated March 16, 2019 which among other things limits the amount of Lasix a horse can receive on race day in races conducted at Santa Anita Park and Golden Gate Fields (the “March 16 Agreement”).
- D. LATC and PRA contend that the March 16 Agreement is still in force and effect and covers race at Santa Anita Park and Golden Gate Fields on and after December 26, 2019. The TOC contends that the March 16 Agreement was an amendment to the 2019 Santa Anita Winter-Spring horsemen’s agreement and as such is only applicable to races at Santa Anita Park and Golden Gate Fields conducted in 2019 prior to December 26, 2019.
- E. LATC and PRA intend to publicly advocate for the CHRB to adopt, or modify, as the case may be, its rules and regulations so that all horse racing in California is conducted consistent with, or stricter, than IFHA medication standards.

Agreement

With reference to the foregoing Recitals, which are incorporated herein by reference, and in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, TOC, LATC, and PRA (each a “Party”, and collectively, the “Parties”) hereby agree as follows:

1. 2 Year Old Races. All foals born in 2018 (2-year-olds of 2020) will race during LATC’s 2020 autumn meet and PRA’s 2020 summer meet and autumn meet (collectively, the “Covered Meets”) with no race-day medication, which includes, without limitation, the use of Lasix.
2. 3 Year Olds and Up Races. All horses foaled prior to 2018 racing during the Covered Meets shall only permitted to receive a maximum of half the dosage of Lasix (furosemide) otherwise permitted under California regulations (i.e. horses can receive a maximum furosemide dose of 250 milligrams).

3. Joint Injections. No horse, of any age, shall be permitted to race during the Covered Meets if it has received a joint injection within 14 days of racing. Further, corticosteroid intra-articular joint injections are limited as follows:

a. Within fourteen (14) days of race day if such injection is for any joint other than any of the metacarpophalangeal or metatarsophalangeal joints.

b. Within thirty (30) days of race day if such injection is performed on any metacarpophalangeal or metatarsophalangeal joint. Additionally, no horse shall be permitted to have a timed work for ten (10) days after receiving a corticosteroid intra-articular joint injection in any of the metacarpophalangeal or metatarsophalangeal joints.

4. CHRB Recommendations. The Parties agree to work in good faith with each other, representatives of the CHRB, and other industry constituents to develop and advocate for new regulations and or legislation to implement additional safety and welfare reforms in the areas set forth in Exhibit A.

5. CHRB Approval. The Parties shall file this Agreement with the CHRB and shall publicly support and advocate for the CHRB's approval of this Agreement.

6. Non-Waiver of Position; No Modification of March 16 Agreement. As set forth in Recital D, the Parties disagree on the current force and effect of the March 16 Agreement. By entering into this Agreement, neither Party shall be deemed to have waived its right to continue to assert their current position in any type of proceeding with respect to the enforceability of the March 16 Agreement. Additionally, by entering into this Agreement, the Parties agree that the March 16 Agreement has not been in any way amended, modified, voided, or terminated.

[Signatures follow]

The Parties have executed this Agreement as of the date first written above.

Thoroughbred Owners of California



By: _____

Name: Greg Avioli

Title: President & CEO

Los Angeles Turf Club, Incorporated

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Pacific Racing Association

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Los Angeles Turf Club II, Inc.

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Pacific Racing Association II

By:  _____

Name: Aidan Butler

Title: Exec. Director, CA Operations - TSG

Exhibit A

1. Transparency in testing results.
2. Additional funding for welfare and safety measures from CHRB licensing and penalty revenues.
3. Transparency in equine veterinary records.
4. Better definition and coordination of standards for horses placed on and removed from “high priority watch list” and veterinarian’s list.
5. Transparency in horse injury and fatality reports that result from racing and training.
6. Further restrictions on use of corticosteroids to ensure such treatments will not mask any injury for a horse racing or training.
7. Analysis and implementation of safest possible track surfaces.
8. Expanded out of competition testing.
9. Ongoing education for trainers and veterinarians on the risks of corticosteroids and certain other medications.
10. Stronger penalties for medication and safety rules violations.

STAFF ANALYSIS
August 20, 2020

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 9, 2020 THROUGH OCTOBER 27, 2020.

Los Angeles Turf Club II (LATC II) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita:

- September 9, 2020, through October 27, 2020, or 22 days; one less day than in 2019. LATC II proposes to race a total of 172 to 231 races, or 7.82 to 10.50 races per day. Live racing will commence September 19, 2020, through October 25, 2020. In 2019, LATC II ran 8.38 races per day with an average of 7.14 runners per race. The average daily purse for the 2019 race meeting was \$515,246. The (estimated) average daily purse for this meet is \$490,732.

September 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Saturday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 12, 2020, and no racing Thursday, October 15, 2020. Seven to 9 races weekdays; 8 to 11 races opening day, weekends, and holidays; exceptions: 9 to 12 races September 25, 2020, 9 to 12 races September 26, 2020, and 9 to 12 races October 3, 2020.
- First post 1:00 p.m. weekdays, 12:30 p.m. weekends and holidays, with the following exceptions:
 - Saturday, September 17, 2020 12:00 p.m.
 - Sunday, October 25, 2020 12:00 p.m.
 - Post times may vary by up to 30 minutes
- Wagering program will use CHRB and ARCI rules.

LATC II requests to use a modified version of ARCI rule for the Pick 6 contest. LATC II also requests to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

Alternate Wagering Interest

LATC II requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

Pick 6

LATC II requests to use an alternative definition of “unique” to govern the Pick 6 payout. Pursuant to ACRI 004-105(G)(2)(g), PRA II is required to identify which definition of “unique” under ARCI 004-105(G)(16)(b) they will use to govern the Pick 6. Under the ARCI model rule there are only two possible definitions for “unique”. LATC II is requesting an alternative definition which is not referenced in the ARCI model rule or in the CHRB’s rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed LATC II definition.

LATC II also requests a change to the ARCI model rules during instances of late surface changes. LATC II requests that on any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a “Win All,” not a “no contest.” Also, LATC II requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day’s net pool be paid to ticketholders who correctly selected the most first-place finishers in the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
 - Early wagering 8:30 a.m. to 10:00 a.m. daily.
 - \$2 Group Bet (Horse Racing Roulette) is not being carried forward from last year’s wagering program.
 - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
 - \$1 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all eligible races.
 - Rolling \$2 Daily Doubles beginning with the first race – 20% takeout.
 - \$0.50 Pick 3.
 - \$0.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
 - \$0.50 Pick 5 on first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Early Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$0.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day’s Late Pick 5 if no ticket has five winners; Alternate runner provision.
 - \$1 Pentafecta (Super High 5) on all races that have 7 or more declared starters;

- 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to next race day, if applicable).
- \$0.20 Pick 6 on last six races daily. If no unique winning ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.
 - Payout distribution will be in accordance with ARCI 004-105(G)(2)(g):
 - The net pool and any carryover will be paid to the unique winning ticket holder who selected all the first-place finishers.
 - If there is no unique winning ticket, the minor share of the pool will be distributed to those who selected the greatest number of first-place finishers, and the major share will be added to the carryover.
 - If there is no correct selection of at least one first-place finisher, the day's net pool will be refunded, and any previous carryover pool will be carried over to the next scheduled corresponding pool.
- \$5 Daily Double (Golden Hour Double Play) connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields – 15% takeout; Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
- \$1 Pick 4 (Golden Hour Pick 4) connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields – 15% takeout; Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park; No consolidation; 100% payout; Alternative runner provision.
- The date designated for distribution of the carryover pool is: Mandatory payout on closing day, October 25, 2020. Board Rule 1976.9, Pick (n) Pool, allows for carryover. Section 1976.9(h) specifies that permission to distribute the Pick (n) carryover on a *specific date and performance* shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution, and that request must be approved by the Board. The request for mandatory payout distribution on closing day, October 25, 2020 satisfies this requirement.
- Business and Professions Code (BPC) section 19464(b):
No application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II's initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of BPC section 19464(b).

Pursuant to BPC 19464(b), LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2020. Therefore, LATC II has met the requirement set forth by BPC section 19464(b).

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG Network, NYRA Bets, and BetAmerica.

Pursuant to BPC Section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with BPC Section 19604:

- XpressBet, LLC, has submitted all documents required in compliance with BPC Section 19604.
- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with BPC Section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires has submitted all documents required in compliance with BPC Section 19604.
- Churchill Downs Technology Initiatives Company, dba BetAmerica has submitted all documents required in compliance with BPC Section 19604.
- Request Jennifer Paige be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
- Simulcasting conducted with out-of-state racing jurisdictions, pursuant to BPC Section 19602, and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race

meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Race Meet Agreement
2. California Thoroughbred Trainers Race Meet Agreement
3. Pertinent 2020 contracts and/or agreements required pursuant to BPC Section 19604 that allow LATC II designated Advance Deposit Wagering providers [NYRAbets, LLC (expires September 8, 2020)] to accept wagers on races conducted in and outside of California for the applied license term.
4. A fire clearance compliant with Board Rule 1927, Fire Prevention, for Santa Anita Park, Los Alamitos Race Course, and San Luis Rey Training Center conducted within 45 days of the commencement of the race meet. The current Fire Clearances on file are for Santa Anita Park, issued June 23, 2020, Los Alamitos Race Course, issued July 1, 2020, and San Luis Rey Training Center, issued July 31, 2020. All facilities issued petitions for exceptions to Board Rule 1927 to be reviewed and approved by the Board.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Los Angeles Turf Club II, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 **Fax (626) 446-9565**
eric.sindler@monarchmgmt.com

- B. Breed of horse: TB QH H

- C. Racetrack name: **Santa Anita Park**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond has been extended through September 28, 2020. The renewal certificate will be forwarded to the Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION

Application received:
Deposit received:
Reviewed:

Hearing date:
Approved date:
License number:

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **September 9 through October 27, 2020**

B. Actual dates racing will be held:

2020

September 19, 20, 24, 25, 26 and 27 (6 days)

October 1, 2, 3, 4, 8, 9, 10, 11, 12, 16, 17, 18, 22, 23, 24, and 25 (16 days)

C. Total number of days or nights of racing: **22**

D. Days or nights of the week races will be held:

Wed - Sun

Tues - Sat

Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing Monday, October 12, 2020

Dark

Thursday, October 15, 2020

E. Number of days or nights of racing per week: **3 days per week (September)**
4 days per week (October)

3. RACING PROGRAM

A. Total number of races: **Estimated between 172 and 231**

B. Number of races for each day or night:

- **7 – 9 races weekdays**
- **8 – 11 races opening day, weekends and holidays**
- **Exceptions:**
 - **Friday, September 25, 2020 – between 9 and 12 races**
 - **Saturday, September 26, 2020 – between 9 and 12 races**
 - **Saturday, October 3, 2020 – between 9 and 12 races**

C. Total number of stakes races: **24**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Pending Stakes Schedule Exhibit 3D

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

The Tokyo Cup was moved from the winter/spring meet to this meet. The Coma to the Top, an overnight, is not being run this year. The Golden State Juvenile and the Golden State Juvenile Fillies will not be run during the meet since the racing dates of the meet have changed

<u>RACE</u>	<u>Grade</u>	<u>SEX</u>	<u>AGE</u>	<u>SCHEDULE D SURFACE</u>	<u>DISTANCE</u>	<u>RACE DATE</u>	<u>2020 PURSE</u>	<u>2019 PURSE</u>	<u>2018 PURSE</u>
EDDIE D STAKES	GIII	OPEN	3 Yr & Up	TURF	5 F (T)	9/25/2020	\$100,000	\$100,000	\$100,000
JOHN HENRY TURF CHAMPIONSHIP	GII	OPEN	3 Yr & Up	TURF	1 1/4 M (T)	9/26/2020	\$200,000	\$200,000	\$200,000
LA WOMAN STAKES	GIII	FM	3 Yr & Up	DIRT	6 1/2 F	9/26/2020	\$100,000	\$100,000	\$100,000
UNZIP ME STAKES	**	FILS	3 Yr	TURF	abt 6 1/2F (T)	9/26/2020	\$100,000	\$75,000+	\$75,000
TOKYO CITY CUP	GIII	OPEN	3 Yr & Up	DIRT	1 1/2 M	9/27/2020	\$100,000	\$100,000	\$100,000
ZENYATTA STAKES	GII	FM	3 Yr & Up	DIRT	1 1/16 M	9/27/2020	\$200,000	\$300,000	\$300,000
AMERICAN PHAROAH STAKES*	GI	OPEN	2 Yr	DIRT	1 1/16 M	10/3/2020	\$300,000	\$300,000	\$300,000
CHANDELIER STAKES*	GII**	FILS	2 Yr	DIRT	1 1/16 M	10/3/2020	\$200,000	\$300,000	\$300,000
CITY OF HOPE MILE	GII	OPEN	3 Yr & Up	TURF	1 M (T)	10/3/2020	\$200,000	\$200,000	\$200,000
SANTA ANITA SPRINT CUP	GII**	OPEN	3 Yr & Up	DIRT	6 F	10/3/2020	\$200,000	\$300,000	\$300,000
SWINGTIME STAKES	OVN - RES	FM	3 Yr & Up	TURF	1 M (T)	10/3/2020	\$70,000	\$70,000	\$100,000
SURFER GIRL STAKES		FILS	2 Yr	TURF	1 M (T)	10/4/2020	\$100,000	\$100,000	\$100,000
ZUMA BEACH STAKES		OPEN	2 Yr	TURF	1 M (T)	10/4/2020	\$100,000	\$100,000	\$100,000
AWESOME AGAIN STAKES*	GI	OPEN	3 Yr & Up	DIRT	1 1/8 M	10/10/2020	\$300,000	\$300,000	\$300,000
CALIFORNIA DISTAFF	CA	FM	3 Yr & Up	TURF	5 F (T)	10/10/2020	\$100,000	\$100,000	\$100,000
RODEO DRIVE STAKES *	GI	FM	3 Yr & Up	DIRT	1 1/4 M (T)	10/10/2020	\$300,000	\$300,000	\$300,000
SPEAKEASY STAKES		OPEN	2 Yr	TURF	5 F (T)	10/10/2020	\$100,000	\$100,000	\$100,000
CALIFORNIA FLAG STAKES	CA	OPEN	3 Yr & Up	TURF	5 F (T)	10/11/2020	\$100,000	\$100,000	\$100,000
ANOAKIA STAKES	OVN	FILS	2 Yr	DIRT	6 1/2 F	10/12/2020	\$75,000+	\$75,000+	\$75,000
AUTUMN MISS STAKES	GRIII	FILS	3 Yr	TURF	1 M (T)	10/17/2020	\$100,000	\$100,000	\$100,000
TWILIGHT DERBY	GII	OPEN	3 Yr	TURF	1 1/8 M (T)	10/18/2020	\$200,000	\$200,000	\$200,000
GOLDEN STATE JUVENILE FILLIES	CA	FILS	2 Yr	DIRT	7 F	10/24/2020	\$200,000	\$200,000	\$200,000
LURE STAKES	OVN - RES	OPEN	3 Yr & Up	TURF	1 M (T)	10/24/2020	\$70,000+	\$70,000+	\$70,000
SEN. KEN MADDY STAKES	GRIII	FM	3 Yr & Up	TURF	abt 6 1/2F (T)	10/24/2020	\$100,000	\$100,000	\$100,000
GOLDEN STATE JUVENILE	CA	OPEN	2 Yr	DIRT	7 F	10/25/2020	\$200,000	\$200,000	\$200,000
GOLDIKOVA STAKES	GRII	FM	3 Yr & Up	TURF	1 M (T)	10/25/2020	\$200,000	\$200,000	\$200,000

\$3,870,000 \$4,070,000
 BC Stakes \$1,000,000

Breeders Cup Win and You're In
 Change in Grade

(shortened) since last year. However, it is anticipated that those two stakes will be run at the Del Mar fall meet.

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Attached Pending Stakes Schedule Exhibit 3D

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program***:

	Weekdays	Weekends & Holidays
Race 1	1:00 PM	12:30 PM
Race 2	1:30 PM	1:00 PM
Race 3	2:00 PM	1:30 PM
Race 4	2:30 PM	2:00 PM
Race 5	3:00 PM	2:30 PM
Race 6	3:30 PM	3:00 PM
Race 7	4:00 PM	3:30 PM
Race 8	4:30 PM	4:00 PM
Race 9	*5:00 PM	4:30 PM
Race 10	*5:30 PM	*5:00 PM
Race 11	*6:00 PM	*5:30 PM
Race 12	*6:30 PM	*6:00 PM

Exceptions:

12:00 PM Saturday, September 17, 2020 and Sunday, October 25, 2020

* **When applicable.**

** **Post times may vary by up to 30 minutes.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

Addendum A on file

C. CORPORATION

1. Registered name of the corporation:

Los Angeles Turf Club II, Incorporated

- 2. State where incorporated: **California**
- 3. Registry or file number for the corporation: **3481872**
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Craig Fravel – President (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Director and Officer), 0 Shares
Rebecca Neimark – CFO (Officer), 0 Shares
Greg Harnish – Secretary (Director and Officer) , 0 Shares
Scott Daruty – Senior Vice President (Officer), 0 Shares
Eric Sindler – Assistant Secretary (Officer) , 0 Shares

- 5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
- 6. Number of outstanding shares in the corporation: **100 Shares**
- 7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Laura Proniuk, Share Register in Toronto, Canada**
Email: laura.proniuk@stronachgroup.com
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Developments Investments Inc.**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
- 10. Registered name of the corporation: **Not applicable**
- 11. State where incorporated: **Not applicable**
- 12. Registry or file number for the corporation: **Not applicable**
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
- 15. Number of outstanding shares in the corporation: **Not applicable**
- 16. Are the shares listed for public trading? **Not applicable** Yes No
 If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

- 1. Registered name of the LLC: **Not applicable**
- 2. State where articles of organization are filed:
- 3. Registry or file number for the LLC:
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
- 5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
- 7. Registered name of the LLC/Corporation:
- 8. State where articles of organization are filed:
- 9. Registry or file number for the LLC:
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

- 1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

On file with the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Craig Fravel – President and CEO, Racing Operations
Aidan Butler – Acting Executive Director, Racing Operations
Rebecca Neimark – Chief Financial Officer
Scott Daruty – Senior Vice-President

Eric Sindler – Assistant Secretary and General Counsel, California Racing Operations

Steve Lym – Vice President, Racing and Racing Secretary
Nate Newby – Senior Vice President & Assistant General Manager
Jason Spetnagel – Vice President of Operations
Amy Zimmerman – Senior Vice President & Executive Producer

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Denise De Anda Cordova – Director of Labor Relations
Randy Hartzell – Director of Pari-Mutuels
Pete Siberell – Director of Community Service and Special Projects
Dave Taylor – Director of Security and EH&S
Pamela Walden – Director of Groups
Mike Willman – Director of Publicity and Public Relations
Rebecca Young – Director of Catering
Jay Cohen – Hornblower
Frank Mirahmadi – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Eric Sindler – General Counsel, California Racing Operations
Los Angeles Turf Club II, Inc.
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: eric.sindler@monarchmgmt.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**
DD Proposed Percentage: **20%**
Special DD Proposed Percentage: **15%**
Special P4 Proposed Percentage: **15%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established



May 29, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Chaney:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2020 Autumn Meet, September 9, 2020 through October 27, 2020.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- Golden Hour Double Play: \$5 Daily Double connecting the last race of the day at Santa Anita Park with the last race of the day at Golden Gate Fields subject to a takeout rate of 15%. Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
- Golden Hour Pick 4: \$1 Pick 4 connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields subject to a takeout rate of 15%. Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park. No consolation; 100% payout; Alternate runner provision.

Very truly yours,

A handwritten signature in black ink, appearing to read "A. Butler", is written over a horizontal line.

Aidan Butler
Executive Director, California Racing Operations
The Stronach Group



May 29, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC II) 2020 Fall Race Meet – Rolling Doubles Wager

Dear Scott,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its fall race meet, September 11, 2020 through October 25, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli
President & CEO

cc: Eric Sindler



May 29, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2020 Fall Race Meet – Golden Hour Double Play Wager

Dear Scott,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of a new late daily double tying the last race at Santa Anita Park and the last race at Golden Gate Fields (“the Golden Hour Double Play”), subject to a 15% takeout, on each racing day of its winter-spring race meet from September 11, 2020 through October 25, 2020. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli
President & CEO

cc: Eric Sindler



May 29, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC II) 2020 Fall Race Meet – Players Pick 5

Dear Scott,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, September 11, 2020 through October 25, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli
President & CEO

cc: Eric Sindler



June 10, 2020

Mr. Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2020 Fall Race Meet – Golden Hour Pick 4 Wager

Dear Scot,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of a wager tying the last two races at Santa Anita Park and the last two races at Golden Gate Fields (“the Golden Hour Pick 4”), subject to a 15% takeout, on each racing day of its fall race meet from September 11, 2020 through October 25, 2020. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli
President & CEO

cc: Eric Sindler

percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance	
2019	\$189,568,324	110,039	Excludes Breeders' Cup
2018	\$232,942,990	157,789	
2017	\$182,467,846	140,342	
2016	\$194,597,987	151,090	
2015	\$167,050,366	120,582	

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

- All races other than stakes:

Current meet estimate: **\$5,636,299**

Prior meet actual: **\$5,733,985**

(excludes Breeders' Cup)

Average Daily Purse (7 A1 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: **\$256,195**

Prior meet actual: **\$273,047**

- Overnight stakes:

Current meet estimate: **\$215,000**

Prior meet actual: **\$435,000**

(excludes Breeders' Cup)

Average Daily Purse (7 A2 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: **\$9,772**

Prior meet actual: **\$20,714**

- Non-overnight stakes:

Current meet estimate: **\$3,800,000**

Prior meet actual: **\$3,692,100**

(excludes Breeders' Cup)

Average Daily Purse (7 A3 ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: **\$172,727**

Prior meet actual: **\$175,814**

- Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$9,651,299**

Prior meet actual: **\$9,861,085**

(excludes Breeders' Cup)

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **16.3%**
 Prior meet actual: **13.7%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.74%**
 Prior meet actual: **.65%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$937,100**
 Prior meet actual: **\$786,448**

(excludes Breeders' Cup)

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

(excludes Breeders' Cup)

NTRA	\$ 8,996
TOC	79,480
CTT Pension	79,480
CTT Admin.	39,740
Total	\$ 207,696

\$ 9,685
65,180
65,180
32,590
Total \$ 172,635

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$10,796,095**
 Prior meet actual: **\$10,820,168**

(excludes Breeders' Cup)

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$490,732**
 Prior meet actual: **\$515,246**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$1,321,706**
 Prior meet actual: **\$2,920,236**

(excludes Breeders' Cup)

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$60,078**
 Prior meet actual: **\$139,059**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$8,147,513**
 Prior meet actual: **\$4,794,009**
(excludes Breeders' Cup)

Average Daily Purse (7 G ÷ number of days): (Excludes Breeders' Cup)

Current meet estimate: **\$370,342**
 Prior meet actual: **\$228,286**

H. Bank and account number for the Paymaster of Purses' purse account:
On File

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465 **Email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 850**
 - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
On file with the board.

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise,

proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.53 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarian’s onsite during training hours and during racing hours.

- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

Veterinarians employed by Santa Anita Park are at the racetrack and available for on-track emergencies at all times that the racetrack is open for racing or training. Additionally, private veterinarians are on the backside during racing and training. That coverage is managed as a part of their normal private practice. A Santa Anita Park veterinarian will be on site during training hours until the track closes.

The schedule of which SAP vet is on track changes monthly. Their contact information is as follows:

**Dr. Laurie Bohannon – 916-202-7052
Dr. Jay Deluhery – 707-339-6347**

10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet menu, bet minimum, rules, and additional wagering information.

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-

Veterinarian's Available for Emergency Services at Santa Anita 2020 Autumn Meet

Veterinarian	Phone	Practice
Anderson, Elizabeth	626-808-2508	Sheilds
Araujo, John	626-893-7612	Self
Arthur, Rick	626-665-8130	UCD-SVM/CHRB
Baker, Vince	714-269-1301	Baker
Birch, Sarah	614-783-1237	Simpson/Dowd
Blea, Jeff	626-862-6149	Blea/Von Bluecher
Blue, Melinda	626-233-9922	Blue
Bradley, Sam	310-339-7666	Baker
Buerchler, Sabina	626-536-8080	
Byrd, Wade	310-245-7000	Baker
Canfield, Cathy	626-372-4571	Baker
Carpenter, Ryan	805-320-4811	Baker
Dowd, Joe	818-400-7498	Simpson/Dowd
Finley, Jenn	626-422-6412	Blea/Von Bluecher
Grande, Tim	626-476-8743	LATC/CHRB
Hunkin, Dawn (Bloomer)	626-862-6150	Blea/Von Bluecher
Simpson, Ted	818-970-1536	
Stead, Dana	970-310-4449	LATC
Steppe, Mike	951-318-7335	
Valko, Karen	951-317-7935	
Von Bluecher, Helmuth	626-862-6147	

Santa Anita Park Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Special Late DD	Special Late P4
1	X	X	X	X	X	X	X		X			X		
2	X	X	X	X	X	X	X	X			*	X		
3	X	X	X	X	X	X	X			*	*	X		
4	X	X	X	X	X	X	X	*		*	*	X		
5	X	X	X	X	X	X	X	*		*	*	X		
6	X	X	X	X	X	X	*	*		*	*	X		*
7	X	*	X	X	X	*	*	*		*	*	X	*	*
8	X	*	X	X	X	*	*	*		*	*	X	*	*
9	X	*	X	X	X	*	*	*		*	*	X	*	*
10	X	*	X	X	X	*	*	*		*		X	*	*
11	X	*	X	X	X	*	*	*				X	*	*
12	X	*	X	X	X	*	*					X	*	*
13	X	*	X	X	X	*						X	*	*
14	X		X	X	X							X	*	*

*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(ii) ²	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Golden Hour Double Play (Special Late DD)	CHRB 1957	15.00%	\$5 Daily Double connecting the last race of the day at Santa Anita Park with the last race of the day at Golden Gate Fields. Wager will not be offered if the last race at Golden Gate Fields goes off before the last race at Santa Anita Park.
Golden Hour Pick 4 (Special Late P4)	CHRB 1976.9	15.00%	\$1 Pick 4 connecting the last 2 races of the day at Santa Anita Park with the last 2 races of the day at Golden Gate Fields. Wager will not be offered if the second to last race at Golden Gate Fields goes off before the second to last race at Santa Anita Park. No consolation; 100% payout; Alternate runner provision.

¹We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

²Only if the request made in the letter dated June 11, 2020 is denied.



June 11, 2020

Scott Chaney
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Scott,

This letter is in regards to Los Angeles Turf Club II, Inc.'s ("LATC") "Application for License to Conduct a Horse Racing Meeting" for the time period of September 9 through October 27, 2020 (the "Race Meet Application").

As specified in the Race Meet Application, LATC wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), LATC is required to identify which definition under ARCI 004-105(G)(16)(b) LATC will use to identify a unique ticket. LATC requests that a combination of the two definitions to define unique be used. Specifically, LATC wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above.

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

LATC requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

LATC also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, LATC is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

LATC requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodник
Nate Newby

mutuel wagering program.

Racing Roulette is not being carried forward.

- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Mandatory payout on closing day, October 25, 2020.

- D. List any options requested with regard to exotic wagering: **See attached**

- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30am to 10:00am

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Keith Johnson
AmTote International Inc. **Expires August 21, 2020 with option to extend to 2025**
11200 Pepper Road
Hunt Valley, MD **Phone: (800) 345-1566**

- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE
(Example) PNP5-14%
See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
Twinspires (Bet America is not included)
TVG
NYRABets
Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
On file with the Board
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.



June 2, 2020

Mr. Eric Sindler
Santa Anita Racetrack
285 W. Huntington Dr.
Arcadia, CA 91007

RE: Santa Anita (LATC II) 2020 Fall Race Meet ADW Approvals

Dear Eric,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Angeles Turf Club (LATC II) race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering (“ADW”) of the Race Meet Agreement between TOC and LATC II, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LATC II shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, and BetAmerica to accept wagers on LATC II and other Thoroughbred races during the 2020 fall race meeting, September 9 through October 27, 2020, as follows:

1. California wagering on LATC II races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

2. California wagering on out-of-state Thoroughbred races:

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER
PRESIDENT & CEO: GREG AVIOLI
EXECUTIVE DIRECTOR: MARY FORNEY
ADMINISTRATIVE ASSISTANT: ELSA PERON

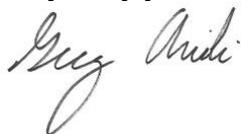
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

3. Out-of-state wagering on LATC II races:

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, and BetAmerica.

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement is on file with the Board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E
mart
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington
Assiniboia
Australia Racing
Belterra
Canterbury
Century Downs
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald Downs
Evangeline Downs
Fair Grounds
Fort Erie
Gulfstream
Hastings Park
Hawthorne
Indiana Grand
Keeneland
Kentucky Downs
Laurel Park
Lone Star

Louisiana Downs
Mahoning Valley
Monmouth Park
Mountaineer Park
NYRA - Aqueduct, Belmont, Saratoga
Oaklawn Park
Parx (Philly Park)
Penn National
Pimlico
Portland Meadows
Prairie Meadows
Presque Isle
Retama Park
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay Downs
Thistledown
Turf Paradise
Turfway Park
United Kingdom
Woodbine

**Santa Anita
Commingled Locations**

Exhibit 12D/E

AmWest Entertainment	Ellis Park	Maronas (Uruguay)	Scarborough Downs
Amwest Accounts	Emerald Downs	Maryland Jockey Club	Scarlet Pearl Casino (MS)
Caribbean locations	Evangeline Downs	Meadowlands (NJ)	Scioto Downs
Couer D'Alene Casino (ID)	Fair Grounds	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Fair Grounds ADW	Meadows The (ADW) - PA regional	Seabrook Greyhound ADW
Arapahoe-Mile High	Fair Meadows	Miami Valley Gaming & Racing	Southland Greyhound
Arima Race Club	Favorites at Gloucester (NJ)	Mobile Greyhound	State Fair (Lincoln, NE)
Arizona Downs	Favorites at Vineland (NJ)	Monmouth Park (NJ)	Suaposta (Brazil) ADW
Arlington I	Finger Lakes	Montana OTB	Suffolk District OTB
Bangor Raceway	Finger Lakes ADW	Monticello	Suffolk Downs
Batavia	Fonner Park	Mountaineer Park	Sunland Park
Belterra Park	Freehold (NJ)	Nassau Regional OTB	SunRay Park & Casino
BetAmerica	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Birmingham Greyhound	Global Wagering Group	Newport Jal Alal	Taunton ADW
Borgata Casino (NJ)	Global Wagering Solutions	New Jersey ADW (4NJBets)	Taunton Dog Track Inc.
Buffalo Raceway	Betsson, OneXTwo, German Tote	New Palace Casino (MS)	The Downs at Albuquerque
Canterbury Park	Racebets, Sportech Netherlands,	Northfield Park	Thisledown
Capital District OTB	Magna Bet, Pferdewetten, Dantoto	Cedar Downs OTB	Tioga Downs
Catskills OTB	Boylesports, Ladbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Charles Town Race Course	Grants Pass (& Oregon OTB's)	NYRA	Turf del Norte (Mexico)
Churchill Downs	Greenetrack	NYRA ADW	Turf del Norte - Tribeca (D. R.)
Colonial Downs	Greyhound at Post Falls	Oaklawn Park	Turf Paradise
Columbus Raceway	Gulf Greyhound	Oaklawn Park ADW	Turfway Park
Connecticut OTB	Gulfstream Park	Ocean Downs	TVG
Bradley Teletheater, Bristol	Harrah's Philadelphia (Chester)	Panama	TVG High Volume
New Britain, Norwalk, Milford	Harrington Raceway	PariBet ADW	Twin River Greyhound
East Haven, Hartford, Putnam	HarringtonBets ADW (DE regional)	PariBet OTB (North Dakota)	TwinSpires
Shoreline Star, Sports Haven	Hawthorne Race Course	PARX	TwinSpires High Volume
Torrington, Waterbury	Hawthorne ADW	PARX ADW	Velocity Wagering Ltd.
Manchester, New London	Hoosier Park	Penn National	Venezuela OTB
Willimantic, Sanford OTB	Horseman's Park	Penn National ADW	Vernon Downs
John Martin's Manor Restaurant	Hill)	Peru	Western OTB
Connecticut OTB ADW	Idabet	Phumelela	Wheeling Downs
Coushatta Casino	Indiana Downs	Plainridge Race Course	Will Rogers Downs
Paragon Casino	Clarksville/Evansville OTB	Plainridge ADW	Wyoming OTB
Ho-Chunk Casino	Intermountain Racing	Player Management Group	Wyoming Downs
Mohegan Sun Casino	Jockey Club Brasilia	Pocono Downs ADW	XpressBet
Onelda Bingo and Casino	Keeneland	Pocono Downs/OTB	Yonkers Raceway
Pony Bar Simulcast Center	Keeneland Select ADW	Potawatomi Casino/ OTB	Yonkers ADW (EmpireCityBets)
Tote Investment Racing	Kentucky Downs	Prairie Meadows	Youbet Group 1
Randall James Racetrack	Kentucky OTB	Premier Gateway International	Zia Park
Millenium Racing	Lewiston OTB's	Premier Turf Club	123Gaming
Royal Beach Casino	Lien Games	Presque Isle	
Divi Carina Bay Casino	Chips Lounge/Rumors OTB	Remington Park	Separate Pool Locations
Winner's Circle	Howard Johnsons OTB	Remington OTB Network	Camarero (Puerto Rico)
Camouflage Gaming	Skydancer Casino OTB	Retama Park	Caymanas (Jamaica)
Corpus Christi Greyhound	X the Spot (Virgin Islands)	Racing & Gaming Services	Chile
Dayton Raceway	Offtrackbetting ADW	Rillito Park	Codere (Mexico/Spain)
Delaware Park	Lone Star	Ruidoso Downs	MIR Books (Caliente)
Delta Downs	Louisiana Downs	Running Aces Harness Park	NDS Books (Nevada)
Dover Downs	LVDC	Sam Houston	Tabcorp (Australia)
Dubuque (Iowa Greyhound)	Atlantis Paradise Casino	Valley Greyhound Park	Tattsbet (Australia)
Wild Rose (Clinton)	Buffalo Thunder Resort	Saratoga Harness Raceway	XB (GWS)
Egg Harbor ITW (NJ)	Foxwoods Resort Casino	Saratoga Bets (ADW)	New Zealand Racing Board
Elite Turf Club	Meskwaki Bingo & Casino		
	Mahoning Valley		

Canadian Locations

Assiniboia, Century Downs, Century Mile, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Flamboro Downs, Fraser Downs
 Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs
 Mohawk, Northlands, Northside Downs, Picov Downs, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres
 Track On 2, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592

NORTHERN CALIFORNIA

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Golden Gate Fields
1101 Eastshore Highway
Berkeley, CA 94710

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors

**Los Angeles Turf Club II, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007**

- B. Names and addresses of the trustees or directors of the distributing agent:
**Eric Sindler, General Counsel, California Racing Operations
Los Angeles Turf Club II, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|------------------------------------|---|
| Association Veterinarian(s) | Dr. Dana Stead |
| Clerk of Scales | Kevin Colosi |
| Clerk of the Course | Linda Ramirez |
| Horse Identifier | Jennifer Paige |
| Horseshoe Inspector | Victor Tovar |
| Paddock Judge | Ed Reese |
| Patrol Judges | Karen Denovel, Randy Valdez |
| Placing Judges | Bob Moreno, Karen Denovel, Michael Burns |
| Starter | Jay Slender |
| Timer | Dane Nelson |
- B. Management officials in the racing department:
- | | |
|--|-------------------------|
| VP-Racing | Steve Lym |
| Racing Secretary | Steve Lym |
| Director of Racing | Chris Merz |
| Assistant Racing Secretary | Zac Soto |
| Paymaster of Purses | Bridget Crawford |
| Assistant Clerk of Scales | Charles McCaul |
| Others (identify by name and title) | |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
**Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 Phone: (714) 964-7102**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
**Plusmic Corporation USA Expires: December 31, 2020
Bill O'Brien**

Pegasus Communications Inc. August 23, 2019

Cam #	Location	Camera	Type	Lens Type
1	Pan camera 1	Sony HXC-100k	Hard	Fuji 50x
2	Pan camera 2	Sony HXC-100k	Hard	Fuji 50x
3	Dirt 7/8 tower	Sony HXC-100k	Hard	Canon 50x
4	Turf 7/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Dirt 3/8 tower	Sony HXC-100k	Hard	Canon 50x
5	Hill head-on	Sony HXC-100k	Hard	
6	Turf 3/8 tower	Sony HXC-100k	Hard	Canon 50x
7	1/4 pole tower	Sony HXC-100k	Hard	Canon 33x
8	Turf Hill tower	Sony HXC-100k	Hard	Canon 20x
9	Tote board finish line	Sony HXC-100k	Hard	Canon 20x
10	Walking ring	Sony HXC-100k	25ft jib	Canon 20x
11	Walking ring tower	Sony HXC-100k	Hard	Canon 50x
12	Walking ring handheld	Sony HXC-100k	Hand Held	Canon 20x
13	Winner's Circle	Sony HXC-100k	25ft Jib	Canon 20x
14	Ground level / Winner's Circle	Sony HXC-100k	Hand Held	Canon 20x
15	Paddock Set - Remote Slide	Sony HXC-100k	Pan tilt zoom focus slide	Canon 20x
16	Tunnel	Sony	Remote	
17	SB Robo East	Sony	Remote	
18	SB Robo West	Sony	Remote	
19	SB Cable Cam West	Canon	Remote	
20	SB Cable Cam East	Canon	Remote	
21	Photo Finish	Plusmic		
22	5/16		Remote	
23	SB Box 1		Fixed	
24	SB Box 2		Fixed	
25	Super Slow Motion (Shutter)	Sony	Fixed	
26	Workout		Fixed	
27	RF Overhead Gate Camera		Remote	
28	RF LiveU		Hand Held	
29	RF Gate Camera	Panasonic	Hand Held	16x
30	Announcer		Fixed	

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President **Contract term: through July 31, 2021**
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 **Phone: 818/907-1900**
Major video and camera equipment listing attached, Exhibit 14E

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Dave Taylor – Director of Security and EH&S**

Front Side Security Office **(626) 574-6406**
Stable Security Office **(626) 574-6469**
Organizational Chart: **Exhibit 15A**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Full-time/8 Part-time Investigators
1 Secretary	36 Security Guards
1 Stable Security Manager	13 Gatemen
1 Supervisor Investigator	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded Stakes Races

- B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

- C. Describe number and location of surveillance cameras in detention stall area.

Total of Sixty-Six (66) Cameras (Barns #: 117, 118, 119, 120 and 121)

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

**SANTA ANITA PARK
SECURITY LIVE RACING**

Chief of Security

Dave Taylor

Office Assistant

Tencha Diaz

FRONTSIDE SECURITY

Area Managers

Sam Capuano
Mitzuh Carrillo
Hector Feliciano
Rex Fisher
Mike Flanagan
Ray Larriva
George Mendoza
Dan Pfau
Chris Thiffault

Uniform Security Officers

Jose Alvarez
Daniel Aragon
Ruben Arias
John Butler
Richard Cantu
Berenise Cardoso
Nelson Cuellar
Ben Denovel
Stephen Flaherty
Cesar Franco
David Gonzales
Carroll Gray Jr
Robert Hadley
Ollis Hartley
Abel Iniguez
David Jimenez
Sergio Lozano
Sgt Mark Mattick
Anthony Mora
Michael Phillips
Allen Redding
Louis Rodriguez
Vladimir Roy
Axel Sandoval
Kenneth Shiba
John Vergo
Aaron White

Parking Patrol Officers

Fernando Valenzuela

STABLE SECURITY

Stable Security Supervisors

Sgt. Mike Veladez
Sgt. Paul Nalbandian

Stable Credentials

Corina Carter

UNIFORM SECURITY OFFICERS

Byron Carter
William Griffin
Brandon Islas
John Lo
James White

Stable Gateman

Eduardo Barsallo
Al Castaneda
Mari Comsa
Manny Diaz
Raymundo Franco
Frank Garcia
Yolidaved Garcia
Cesar Hernandez
Clyde Higgins
Luis Holguin
Shandra Hudson
Joann Lopez
Jo Linda Palmer
Ignacio Ramirez

Will follow CHRB staff directives.

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

C. Describe the electronic security system:

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,000 additional surveillance cameras to the backside and "gap".

D. For night racing associations. Describe emergency lighting system: **Not applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Symons Ambulance

Jeff Grange

18592 Cajon Boulevard

San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit 16A

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

• **Los Alamitos: Staff by Paramedics with support from Orange County Fire-paramedics.**

Exhibit 16B



Friday May 29, 2020

To: Paige Richard

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Ryan Merchant
Director of Operations
(951) 880-6263
rmerchant@symonsambulance.com

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

May 29, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President
Huntington Ambulance, LLC



Valley Center Office
27350 Valley Center Road
Valley Center, CA 92082
(760) 751-9797 – Office
(760) 751-8880 – Fax

June 1, 2020

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

Mercy Medical Transportation, Inc. employs only Paramedics and Emergency Medical Technicians (EMTs) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug assessment, and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, OIG, System for Award Management (SAM) and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact me.

Sincerely Yours,

A handwritten signature in blue ink, appearing to read "Richard F. Roesch".

Richard F Roesch
President
Mercy Medical Transportation, Inc.

- **SLR Training Center: On track ambulance includes two paramedics. Exhibit 16B**

C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- **Yearly Jockey Physicals;**
- **Preventive and curative medical services for jockeys;**
- **Urgent care facility for jockeys;**
- **Urgent care for patrons and Santa Anita employees.**

STAFFING

- **Contracted Track Physician**
- **Contracted Paramedics (2 on the track) and (2 in First Aid)**
- **Licensed Emergency Ambulance**

EQUIPMENT

- **Basic First Aid Supplies (i.e. bandages and wound care);**
- **Oxygen equipment;**
- **Backboard, cervical collars, and splints;**
- **Blood pressure monitoring.**

AVAILABILITY

- **When gates open until after last race**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
If quarter horse racing association sees D.1.:

Dr. David Skinner
10010 California Avenue
South Gate, CA 90280

Phone: (323) 567-2425

Dr. Tri Minh Vo
10107 Valley Boulevard
El Monte, CA 91731

Phone: (734) 277-3087

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 445-4441

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 445-4441

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 16F

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

David Taylor, Environmental Health & Safety
Tencha Diaz, Assistant Health & Safety Manager



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trakside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hospital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jinetete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

Fire Department

Michael E. Lang
Fire Chief

710 South Santa
Anita Avenue
Arcadia, CA 91006
(626) 574-5100
(626) 446-7410 (fax)

June 23, 2020

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2020 Horse Boarding & Racing)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for horse boarding and racing, including simulcast from today's date until December 31, 2020.

It is requested that this letter be accepted as the report of that inspection. During the inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned time periods at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Craig Fravel, Pres. of Santa Anita Park and CEO, the Racing Division of Stronach Group
Aiden Butler, President, L.A.T.C.
Eric Sindler, L.A.T.C.
Jason Spetnagel, Vice-President of Operations, L.A.T.C.
Ed Martinez, Director of Facilities and Grounds, L.A.T.C.
Sherrie Thayer, Executive Assistant, L.A.T.C.
Joshua Funk, Fire Inspector, L.A.T.C.



July 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Los Angeles Turf Club II, Inc. – Rule 1927 Exemption Request

Dear Mr. Chaney,

All the barns, stalls, and tack rooms (and the equine hospital) at Santa Anita Park are protected by automatic fire suppression sprinklers which are monitored 24/7 on-site as well as off site by a third-party central monitoring company. Additionally, all are equipped with an automatic fire alarm system.

Along with the sprinkler and alarm monitoring, Santa Anita Park stable area contains 18 dedicated Fire Hydrants, along with 81 Fire Hose Standpipes and cabinets, and a minimum of two fire extinguishers per barn (over 132 in total). All fire suppression systems and devices are checked, tested, and maintained in compliance with California Fire Code and NFPA standards, along with weekly visual inspections.

As required by Arcadia Fire Department, both the automatic fire suppression sprinkler system and automatic fire alarm are inspected and tested once per year by independent companies. Arcadia FD reviews the results of the inspection and testing during one of its inspections that year. (Arcadia FD performs two inspections of Santa Anita Park per year.) In addition to the annual test, Santa Anita Park's fire alarm is monitored, tested, and maintained by an independent company which also provides 24/7 monitoring as well as monthly testing of the emergency generator.

Additionally, please accept this letter as confirmation that the barn area at Santa Anita Park has one (1) watchman after dark. In addition, there is one gate person who monitors the phones and Fire Panels. The Santa Anita Park stable area is patrolled continuously by a dedicated roving guard who works in connection with a guard dedicated to monitoring the fire alarm panels and can dispatch crews to any incident 24/7.

Santa Anita Park also has a full time Fire Prevention Officer that ensures Santa Anita Park is in compliance with Arcadia FD rules and regulations. The Fire Prevention Officer also does weekly inspections of stable area to ensure all egress paths are cleared, that fire suppression devices are unobstructed and to be in working order, as well as respond to any emergency calls 24/7.

Arcadia Fire Department is only able to conduct two inspections per year at Santa Anita Park, as has been the custom for many years.

The first 2020 fire inspection occurred on June 10, 2020. (Please note that the first inspection of the year is typically conducted sometime between February and April; however, this year as a result of the pandemic it was pushed to June.) The second inspection occurred on July 30, 2020. (The second inspection is typically conducted in July.) Since Arcadia FD is not able to conduct more than two inspections per year, and due to the timing of those inspections, it is not possible for the barn area at Santa Anita Park to be inspected within 45 days prior to the commencement of the race meeting.

The fire clearance letter dated June 23, 2020 states that the inspection is valid through December 31, 2020.

Given that Santa Anita Park does have a current fire clearance letter; Arcadia Fire Department performed its two inspections for 2020; Arcadia FD is only able to perform two inspections per year; the barn area of Santa Anita Park does meet the fire safety specifications set forth in Rule 1927; and the automatic sprinkler system and automatic fire alarm system are inspected and tested annually by an independent party as required by Arcadia FD; pursuant to Rule 1927, Los Angeles Turf Club II, Inc. is requesting an exemption from the requirement of Rule 1927 that the fire authority having jurisdiction over the barn area of Santa Anita Park perform an inspection within 45 days prior to the commencement of the race meeting.

Sincerely,



Aidan Butler



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne", is written over a light blue horizontal line.

Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file



Date July 5, 2020

California Horse Racing Board
Attn: Scott Chaney
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Board Rule 1927 Compliance

Dear Mr. Chaney,

Los Alamitos Race Course (LARC) has a Fire and Life Safety Inspection Clearance Letter on file with the California Horse Racing Board. LARC facility and grounds, grandstands, the stable area and maintenance areas are inspected annually for Fire and Life Safety by the Orange County Fire Authority (OCFA) and by the City of Cypress building and Safety department. These inspections are conducted with the regulatory agency, LARC staff and CHRB oversight. Each year LARC receives a Fire and Life safety Inspection Clearance Letter that establishes LARC has a reasonable degree of Fire and Life Safety that is submitted to the CHRB as part of our Racing License Application. Please find a current orange County Fire authority Fire and Life Safety Clearance Letter attached.

LARC has on file with the Orange County Fire Authority a current Five-Year Fire Sprinkler Inspection Report, see attached cover letter. The 5-Year fire sprinkler inspection is an NFPA mandated inspection examining the insides of your fire sprinklers' piping. The examination must be carried out a minimum of every 5 years.

LARC has a Fire Safety Inspection program in place that conducts quarterly inspections on the Grandstands, Restaurants, Maintenance Buildings, Fire Hose Cabinets, Fire Hydrants and Fire Pump House. These inspections are conducted by a third party licensed and certified C-16 inspection company, Fire Sprinkler Inspections, Inc. Please find attached the cover letters for these inspections.

All Fire Inspection Reports and OCFA Permits are on file in the office of the LARC Facility Manager, Frank Sherren, and are available for review upon request.

Board Rule 1927 states:

"a reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system, and that the stable area grounds, including any additional location where any excess number of horses will be stabled, be patrolled by a watchman during the hours of darkness."

LARC has the following existing condition in the stable area:

The original barn buildings, barns number 1 thru 36, per-date current existing Fire Authority building codes. At the time of construction, these barn buildings complied with all with all Building and Safety standards and all State and Local Fire Authority rules and regulations. Therefore, these barn buildings are considered legal non-conforming structures.

" Legal non conforming structures "

A "**legal nonconforming** use" is a use of land or **structure** which was **legally** established according to the applicable zoning and building laws of the time of construction, but which does not meet current zoning and building regulations."

As such, these buildings are currently in compliance with current local authority safety standards.

Barn buildings numbers 1 – 36 are not equipped with "an automatic sprinkler system" as stated in Board Rule 1927, however the building do have a Fire Alarm System.

LARC original barns 1 thru 36 are constructed out of non-combustible materials. The buildings are constructed out of concrete cinder block with corrugated metal roofs. Each barn is equipped with Fire Alarm, Fire Alarm pull stations located at the end of each barn, and heat sensors in the stalls and tack rooms. Fire Hose Cabinets are located at each barn. In the unlikely event of a fire the alarm will sound, and the Local Fire Department will be summoned. Cypress Fire Station 12 is located directly across the Street from LARC grounds at 4991 Cerritos Ave. Please see attached photographs.

Five new barn buildings were constructed as part of our Thoroughbred expansion program. This section of LARC barns, barns A thru E, are compliant with all current Building and Fire Authority Codes. This section of barns is sprinklered and monitored by SCN Security Communication a certified Fire Monitoring Company per the current fire code requirements.

All barn tack rooms and living quarters are equipped with smoke detectors and heat sensors, see attached photos.

LARC has security staff on site 24/7 365 patrolling the stable area and grounds.

LARC is recognized by all State and Local agencies including the National Fire Protection Association (NFPA) to have a reasonable degree of Fire and Life safety. LARC is in compliance with all regulatory agencies including the Orange County Fire Authority and the City of Cypress Building and Safety Division.

Board Rule 1927 states that any association:

“may petition the Board for an extension of time within which to comply with this regulation or exemption from such requirements.”

Los Alamitos Race Course is requesting an exemption from the requirement “shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped an automatic sprinkler system” in this section of Board Rule 1927 as it pertains to our existing legal non-conforming barn buildings numbered 1 - 36.

Respectfully,

A handwritten signature in black ink, appearing to read 'Frank Sherren', is written over the word 'Respectfully,'.

Frank Sherren
Facility Manager



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

July 1, 2020

Los Alamitos Race Course
4961 Katella ave.
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspections. Please accept this letter as your fire clearance through the end of 2020, with the understanding that the annual fire department inspection will be conducted within the next six months. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "Tony Verdenne".

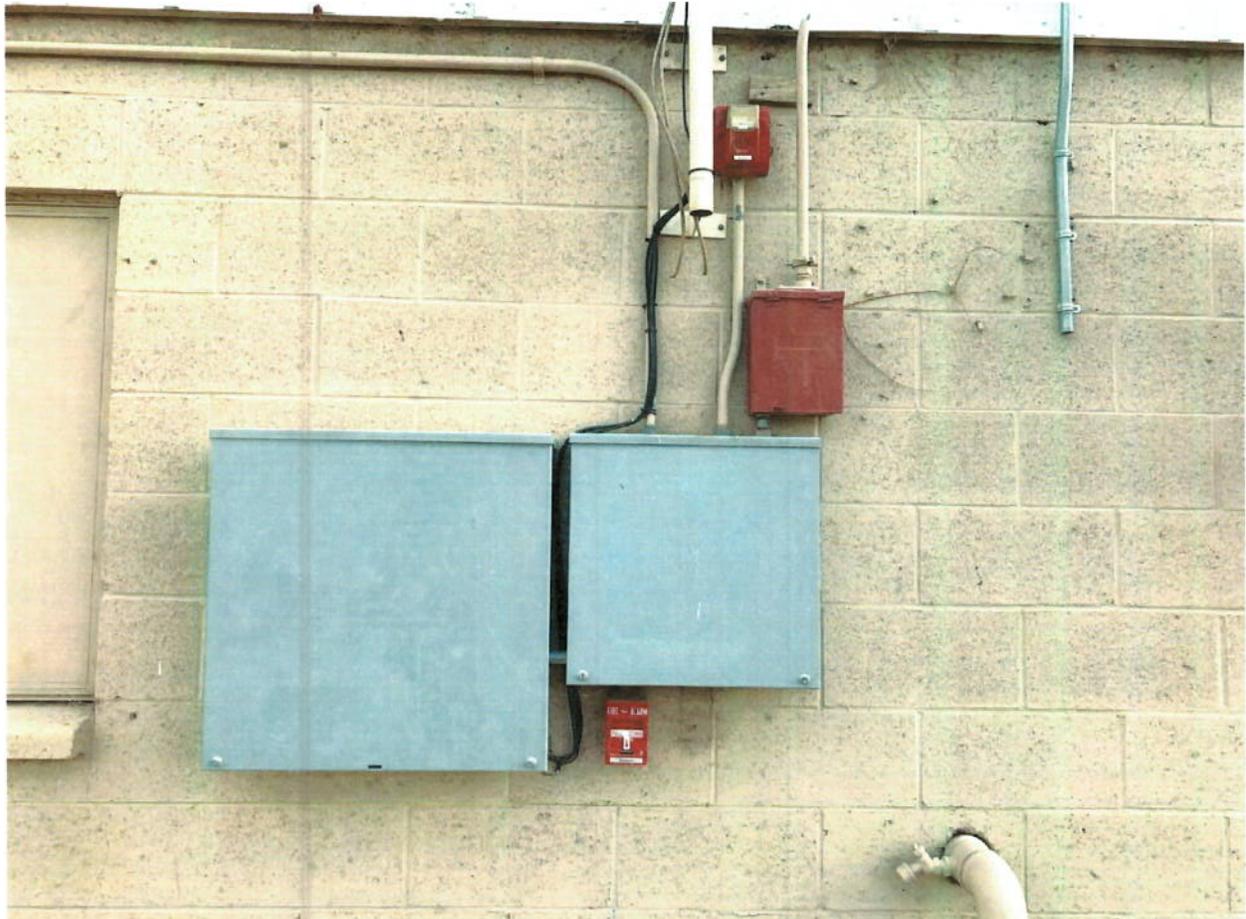
Tony Verdenne
Fire Prevention Specialist
Prevention Field Services Section
714 527-4584 tonyverdenne@ocfa.org

Cc: file

Los Alamitos Race Course

Fire Alarm System in Barn Area, Barns 1 – 36 Barn Buildings are constructed with non-combustible materials, concrete cinder block with corrugated metal roofs.

Figure 1: Fire Alarm System Located at the end of each barn. Components are Fire Alarm Notifier Panel in a Weather Proof Box, Fire Alarm Pull Station and Fire Alarm Strobe Light / Siren.



Figures 2: Notifer Panel



Figure 3: Heat Sensor located in stalls



Figure 4:Heat Sensor located in each tack room



Figure 5: Smoke Detector with Alarm Siren in each tack room

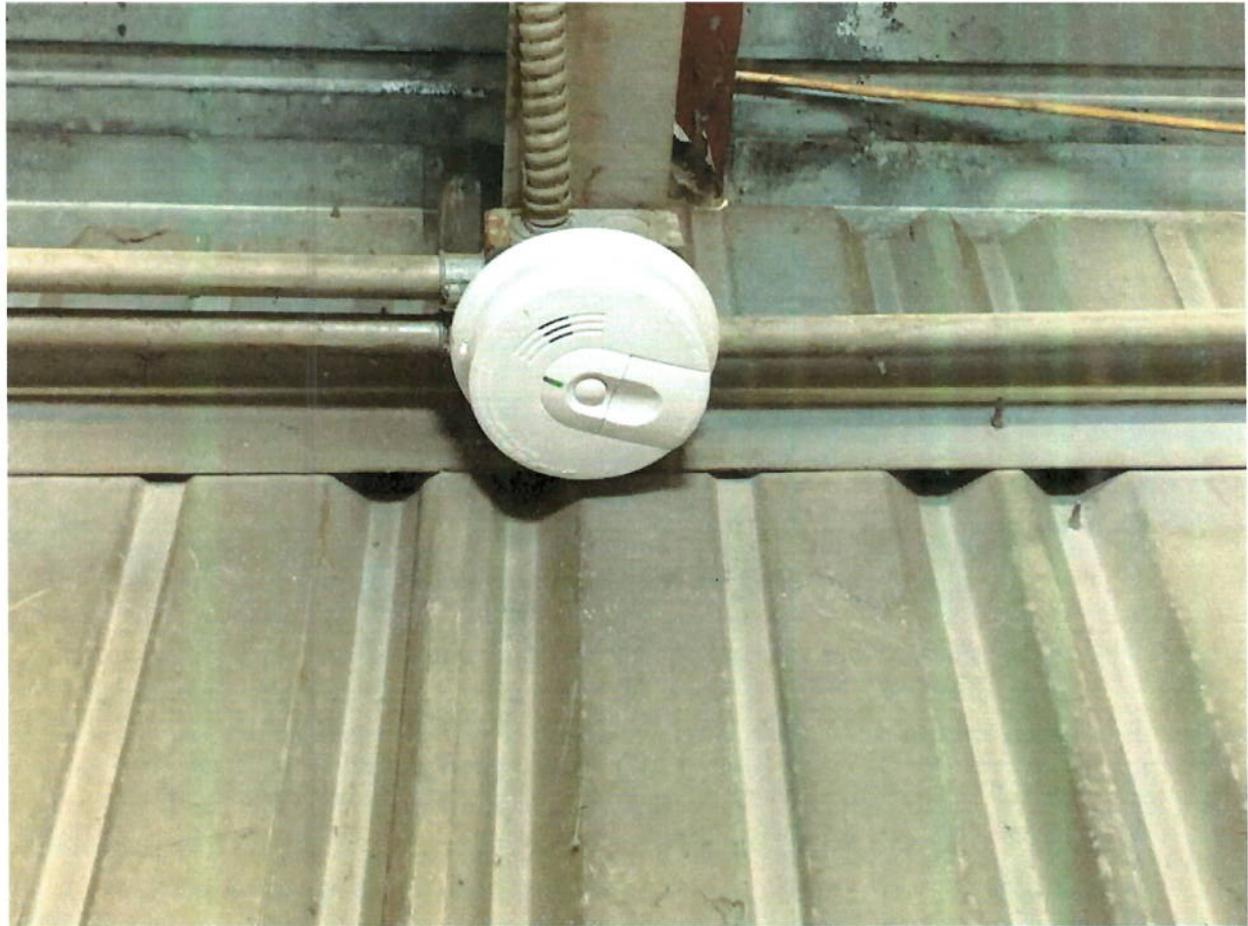


Figure 6: Fire Hose located at the end of each barn

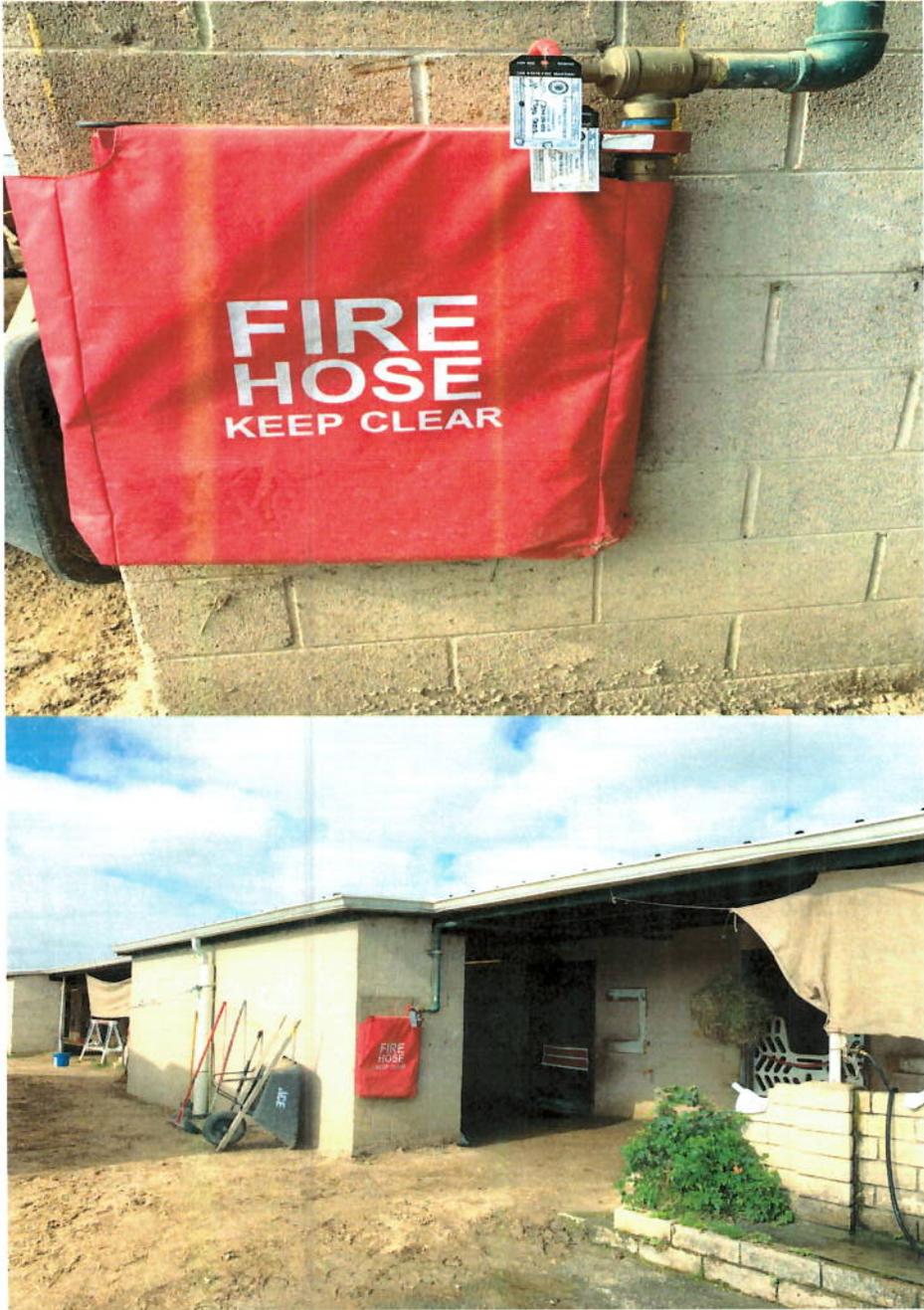
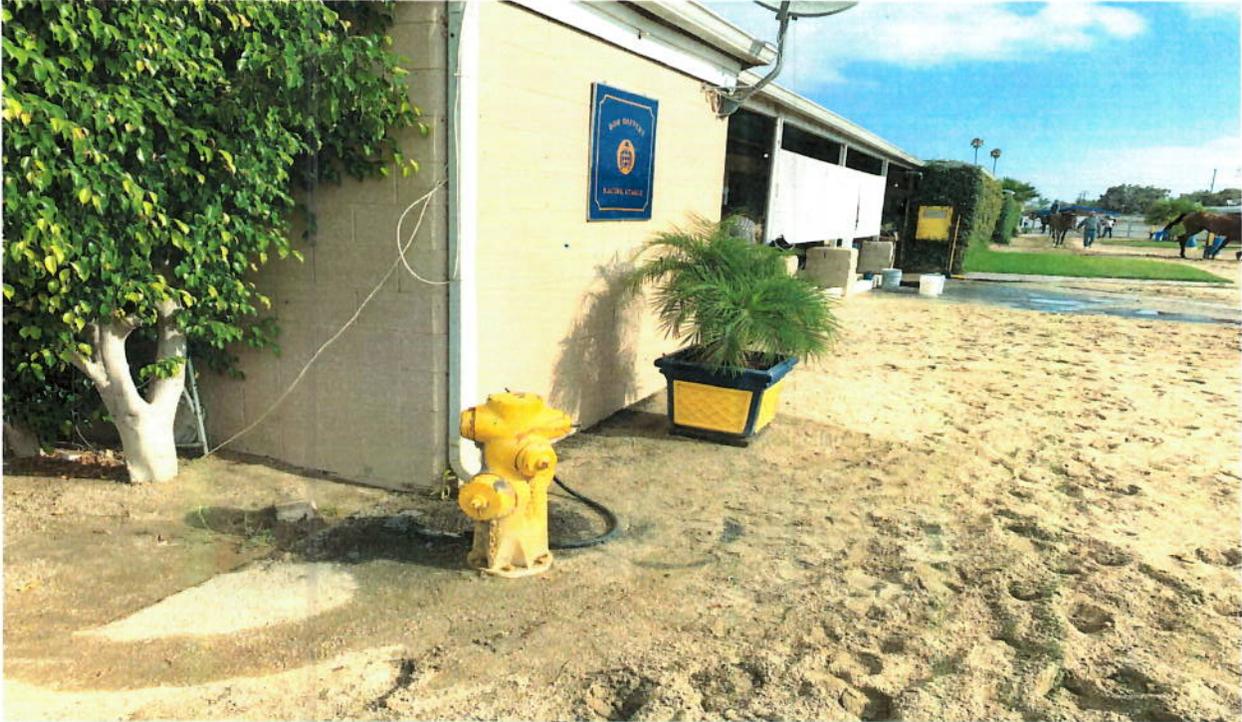


Figure 7: Fire Hydrant located throughout the barn area per OC Fire Code





FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

22 Fire Hose Cabinets Inspection Folder Grandstand & Clubhouse

2020

March ^{ANNUAL} June Sept Dec

- Street level & Tunnels (5)
- First floor (2)
- Second floor (7)
- Third floor (5)
- Clubhouse (3)

Respectfully Submitted

Fred Ortiz
Fire Sprinkler Inspections Inc.

Per NFPA 25, and California State Fire Marshal title 19
Quarterly inspection complete



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course

4961 Katella

Cypress, CA 90720

36 Fire Hose Cabinets Inspection Folder

2020

36 Horse Stables

March.....June.....Sept.....Dec.....

Hose nozzle cabinet

- | | | |
|--------------|----------|----------|
| 1. OK _____ | OK _____ | OK _____ |
| 2. OK _____ | OK _____ | OK _____ |
| 3. OK _____ | OK _____ | OK _____ |
| 4. OK _____ | OK _____ | OK _____ |
| 5. OK _____ | OK _____ | OK _____ |
| 6. OK _____ | OK _____ | OK _____ |
| 7. OK _____ | OK _____ | OK _____ |
| 8. OK _____ | OK _____ | OK _____ |
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| 10. OK _____ | OK _____ | OK _____ |
| 11. OK _____ | OK _____ | OK _____ |
| 12. OK _____ | OK _____ | OK _____ |
| 13. OK _____ | OK _____ | OK _____ |
| 14. OK _____ | OK _____ | OK _____ |
| 15. OK _____ | OK _____ | OK _____ |
| 16. OK _____ | OK _____ | OK _____ |
| 17. OK _____ | OK _____ | OK _____ |
| 18. OK _____ | OK _____ | OK _____ |
| 19. OK _____ | OK _____ | OK _____ |
| 20. OK _____ | OK _____ | OK _____ |
| 21. OK _____ | OK _____ | OK _____ |



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

Los Alamitos Race Course 4961 Katella Cypress, CA 90720

Quarterly and Annual Inspection Folder **2020**

Grandstand 1,2,3,4

Schwanees

Stables A B C D E

Fire Hydrants Grandstands (3)

Electric Fire Pump

March _____
June _____
Sept _____
Dec _____

Fred Ortiz

Fire Sprinkler Inspections, Inc. (www.fireinspections.net) Fax 714-369-2832



FIRE SPRINKLER INSPECTIONS, INC.

C-16 license # 467165

412 Olive Ave. # 310, Huntington Beach, CA 92648 / (714) 376-5213

Since 1982

**Los Alamitos Race Course
4961 Katella
Cypress, CA 90720**

**January 2020
Five Year**

Fire Sprinkler Inspection Folder

**Grandstand #1 #2 #3 # 4
Schwanees
Stables A B C D E
(2) Maintenance buildings**

**Fred Ortiz
Fire Sprinkler Inspections Inc.
Jan. 20 , 2020**

North County Fire Protection District

**330 S. Main Ave.
Fallbrook, CA 92028
(760) 723-2010**



Friday, 31 July, 2020

Attn: Kevin Habell

5772 CAMINO DEL REY

BONSALL, CA 92003

Property Address:

San Luis Rey Training Center

5772 CAMINO DEL REY

BONSALL, CA 92003

Re: Initial - Fire & Life Safety on July 31 2020

NOTICE OF INSPECTION - COMPLETED

CONGRATULATIONS, your Fire and Life Safety Inspection has satisfactorily been completed and no violations were noted at this time. The North County Fire Protection District would like to thank you for making fire and life safety a priority.

If you have any questions regarding our Fire and Life Safety Inspection program, please contact the Fire Prevention Bureau at: (760) 723-2010.

Inspector:

A handwritten signature in black ink, appearing to read "D. Moore, Jr.", written over a horizontal line.

FFP David Moore, Jr.

bmoore@ncfire.org

Property Representative:

A handwritten signature in black ink, appearing to read "Kevin Habell", written over a horizontal line.

Kevin Habell



July 31, 2020

Mr. Scott Chaney
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: San Luis Rey Training Center – Rule 1927 Exemption Request

Dear Mr. Chaney,

San Luis Rey Training Center (“SLR”) is unique in that its barn area has two different types and ages of barns – the “historic” barns and the new barns.

The new barns constructed in the last few years can house approximately 200 horses. These barns have an automatic sprinkler system and a fire bell. Due to the proximity of the barns to the guard house (and the on-duty guard being able to call 911 in event of a fire), both the local building inspector and fire department approved these barns having a fire bell rather than an automatic fire alarm system. There is 24/7 coverage in the guard house. The sprinkler system and alarm will be tested annually.

We have previously noted that the historic barns at SLR do not have a sprinkler system or fire alarm/bell. The process to add a sprinkler system and fire alarm system to already existing structures is a lengthy process. SLR has begun the process of obtaining estimates for adding a sprinkler system and fire alarm system to the historic barns. Due to the size of the project, it will take some time for companies to develop preliminary plans so they can submit their estimate. The installation company will also need to develop final engineering plans to retrofit the existing structures with a sprinkler system and fire alarm system. Additionally, the plans need to be approved by both the county building inspector and the county fire department. The engineering plans will need to be revised to incorporate and desired changes requested by the county building inspector and/or the county fire department. In addition to the county approvals, local permits will need to be requested and approved. And obviously, the system needs to be installed and then tested.

We are hopeful that this lengthy and involved process can be completed by the end of July, 2021. We will periodically update CHRB staff on the progress of the project and notify CHRB staff if we encounter any issues that would cause the project to not be finished by the target date.

Under CHRB Rule 1927, we request an extension, through the end of July, 2021, to complete the installation of the sprinkler system and fire alarm system to our historic barns.

The local fire department having jurisdiction over SLR performed an inspection on July 24, 2020. The clearance letter will be forwarded to board upon receipt. Since the fire inspection was recently performed, we also request an exemption from the requirement in Rule 1927 that the fire inspection be completed within 45 days of the start of the meet.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in blue ink, appearing to read 'A. Butler', with a long horizontal flourish extending to the right.

Aidan Butler

No.: 2020-9-ANITA

Dated: July 02, 2020

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-18	Jun 30, 2020 to Jun 30, 2021	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-010	Jun 30, 2020 to Jun 30, 2021	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
---	---

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT: Santa Anita's Autumn Meet will be operated in full compliance with all local health and governmental orders. If spectators are allowed on site, the following plans will be in effect:

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the board**

B. Promotional/ Marketing budget for this race meeting: **On file with the board**

Promotional/Marketing budget for prior race meeting: **On file with the board**

C. Number of hosts and hostesses employed for meeting:

- 10* - Group Sales handicapping aides to assist newcomers**
- 11* - Food and Beverage hostess's (11 weekends, 4 weekdays)**
- 16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)**
- 10* - Wagering Ambassadors setup throughout the facility and with groups**

***Estimates**

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
- 2. Fans
- 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)

Reserved seating (general)	Free	
Reserved seating (clubhouse)	Free	
CH Box Seat	\$10.00	
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (preferred)*	\$5.00	
Parking (valet)	\$10.00	
Programs (on-track) – Big	\$3.00	
Programs (off-track) – Big	\$3.50	

* Will vary depending upon Group packages sold

B. Describe any "Season Boxes" fees:

Annual Season Box 2019:

Seating for Winter/Spring & Autumn

Meets: Price includes CH admission

Finish Line Box:	4 Seats	\$4,390
	6 Seats	\$5,850
	8 Seats	\$7,560
Premium Box:	4 Seats	\$3,000
	6 Seats	\$3,750
	8 Seats	\$4,800

C. Describe any "package" plans such as combined parking, admission and program: **Not applicable**

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **Backstretch inspection conducted 6/3/2020; Health Department inspection pending.**

B. Number of rooms used for housing on the backstretch of the racetrack: **445**

C. Number of restrooms available on the backstretch of the racetrack: **39 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**

D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **3%**
The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
**Dennis Moore, Consultant
Andy LaRocco, Track Superintendent**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On File
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
Not applicable

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
**CTT agreement will be forwarded to the board if completed
TOC agreement will be forwarded to the board upon completion**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the board.**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
On file with the board
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing

each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

No exceptions

- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Craig Fravel
Print Name

President
Print Title

Craig Fravel
Signature

06/09/2020
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
ADOPTION OF CHRB RULE 1415, PUBLIC PARTICIPATION IN MEETINGS, TO
DEFINE THE RULES REGARDING PUBLIC PARTICIPATION DURING MEETINGS
OF THE BOARD.

Regular Board Meeting
August 20, 2020

ISSUE:

California Horse Racing Board (Board) staff suggests that the Board consider specifying meeting processes in regulation to make meetings more efficient and establish a clear set of expectations for the Board, stakeholders, and the public.

ANALYSIS:

All state boards and commissions are subject to the Bagley-Keene Open Meeting Act (Bagley-Keene) which facilitates transparency of government activities and protects the rights of citizens to participate in state government deliberations. The existing law requires that a state body must provide an opportunity for members of the public to directly provide comment on each agenda item for discussion or consideration, with specific exceptions. While ensuring the broad public right to be heard at public meetings, Bagley-Keene implicitly recognizes the need for efficiency in the conduct of the people's business through limitations on public comment and through the establishment of meeting frameworks. These practices are reasonable and have been adopted by other governmental bodies and upheld by California courts. Public comment and overall meeting frameworks promote transparency of process and efficiency of board and committee meetings to help ensure the public's business is not delayed or incomplete.

To ensure that the board and its committees are able to conduct transparent, efficient, and orderly meetings, the proposed regulations would establish various standing rules, including, but not limited to:

- Time limits for public comment (i.e. a maximum of two minutes per agenda item for each member of the public who wishes to speak).
- Limitations set by the Chairman for the total amount time allocated for items not on the agenda or for a particular agenda item. Such limitations should be published in advance on the meeting agenda when possible.
- A speaker should address only the Board during public comment and not direct comments at other members of the public in attendance, except with express, advance permission of the Chairman.
- The Chairman may take reasonable steps to stop the disruption of a meeting,

including clearing the room of up to all members of the public and/or adjourning the meeting.

Establishing such formal processes promote the intention of Bagley-Keene to provide an opportunity to all members of the public to speak and to not deny this opportunity because of the disruptive activities of others. These processes also make meetings more efficient by laying out the circumstances under which a member of the public may be limited in delivering public comments to the board or committee. In addition, the processes ensure efficiency by creating a mechanism to address repetitious comments and disruptions, allowing meetings to be conducted within the time allotted in order to conduct the public's business.

BACKGROUND:

Business and Professions Code (BPC) [section 19440](#) provides that all licenses granted under the Horse Racing Law are subject to the rules, regulations, and conditions prescribed by the Board.

Government Code (GC) [section 11125.7\(b\)](#) provides that if a board wishes to establish a standing rule that discussion of agenda items will be given a specified amount of time, or that public comment will be limited to a certain amount of time, the board may do so by adopting an administrative regulation

GC section 11125.7(d) specifies that a state body cannot prohibit public criticism of the policies, programs, or services of the state body, or of the acts or omissions of the state body.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 1. RACING BOARD POWERS AND JURISDICTION
RULE 1415. PUBLIC PARTICIPATION AT MEETINGS.

1415. Public Participation at Meetings.

During meetings of the California Horse Racing Board (Board) subject to the Bagley-Keene Open Meeting Act (Government Code § 11120 et seq.), the following rules regarding public participation apply:

(a) Time.

(1) A member of the public will have a maximum of two minutes to speak per agenda item.

(2) A member of the public who requires translation or interpretive services will have a maximum of four minutes to speak per agenda item.

(3) The Chairman may modify the amount of time members of the public are allocated for individual comments, depending on the number of speakers. A change to the amount of time allocated for individual comments will apply equally to all speakers.

(4) A member of the public may not transfer his or her unused time for public comment to another member of the public.

(5) The Chairman may limit the total amount of time allocated for items not on the agenda or for a particular agenda item and may publish such limitations in advance on the agenda for the meeting.

(6) If the total amount of time allocated for public comments is limited by the Chairman, individuals who do not receive the opportunity to speak due to the expiration of the allocated time may submit comments in writing during the meeting, and such comments will be made part of the record.

(b) Rules of Organization and Order.

(1) Except as otherwise directed by the Chairman, public comments at meetings shall be received on a "first come, first serve" basis.

(2) The Chairman may take comments out of order.

(3) A speaker shall address only the Board during public comment and shall not direct comments at other members of the public in attendance, except with express, advance permission of the Chairman.

(4) A speaker shall yield the floor to any member of the Board who wishes to ask a question or otherwise speak during the speaker's comment.

(5) A speaker shall refrain from profane, lewd, abusive, irrelevant, or repetitious commentary to the extent that such commentary is disruptive to the meeting.

(6) A speaker shall limit comments to the subject matter of the agenda item being heard and to matters that are subject to the Board's jurisdiction.

(7) A member of the public may not disrupt any other attendee(s) from viewing, hearing, or legitimately participating in any material portion of the meeting.

(8) A member of the public shall not bring signs, flags, banners, exhibits, marquees, or other demonstrative objects into the meeting room. A member of the public may carry and/or display paper materials no larger than letter-sized paper in the meeting room, provided that such paper materials are displayed below shoulder height, and do not otherwise obstruct the view of any other attendee(s).

(9) If a disruption occurs during a meeting, the Chairman may take reasonable steps to stop the disruption and, in the event the disruption continues, the Board may take other necessary steps, including clearing the room of up to all members of the public and/or adjourning the meeting.

(10) The Chairman may require a member of the public to leave the meeting, or limit that individual's opportunity to provide public comment, if that individual has purposely violated this Regulation or otherwise purposefully disrupted the meeting, or expressly encouraged another to do so.

(11) The Board may not prohibit a public comment or otherwise enforce this Regulation based on any view expressed, which may include criticism of acts, omissions, policies, programs, or services of the Board.

Authority cited: Sections 19440,

Business and Professions Code.

Reference: Sections 11121 and 11125.7,

Government Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
EMERGENCY AMENDMENT OF CHRB RULE 1843.2, CLASSIFICATION OF DRUG
SUBSTANCES, TO UPDATE THE ALPHABETICAL SUBSTANCES LIST TO ALIGN
WITH THE ASSOCIATION OF RACING COMMISSIONERS INTERNATIONAL
(ARCI) UNIFORM CLASSIFICATION GUIDELINES FOR FOREIGN SUBSTANCES.

Regular Board Meeting
August 20, 2020

ISSUE:

In 2019, California Horse Racing Board (Board) [Rule 1843.2, Classification of Drug Substances](#), was amended to update the Board's Classification of Foreign Substance Alphabetical Substance List, which is incorporated in the regulation by reference, to align with updates from the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines. The most recent amendment to Board Rule 1843.2 is based on the ARCI 2018 updates. The most current Uniform Classification provided by ARCI was last updated in January 2020. As such, our Classification of Foreign Substance Alphabetical Substance List is outdated and needs to be amended to align with ARCI recommendations.

ANALYSIS:

The 2019 amendment to Board Rule 1843.2 aligned with ARCI Uniform Classification Guidelines for 2018 and also corrected a discrepancy regarding the listing for cobalt. Upon review of the [ARCI Uniform Classification Guidelines for 2020](#), it was noted that the Board's Classification of Drug Substances was outdated and required amending, as indicated in strikeout and underline in the attached text. In order to ensure that these amendments are quickly enacted and to better protect the racehorse, as well as ensure consistency with ARCI Uniform Classification Guidelines, staff is recommending the Board adopt the proposed text as an emergency regulation. Additionally, as the ARCI Uniform Classification Guidelines are updated every January, Board staff plans to submit an emergency regulatory proposal annually, following the ARCI updates, to ensure that the Board's Classification of Foreign Substance Alphabetical Substance List does not become outdated.

The proposed Classification of Foreign Substance Alphabetical Substance List is consistent with the 2020 ARCI Uniform Classification Guidelines with the exception of the following substances: Cannabidiol (CBD), Albuterol (Salbutamol), Cobalt, Clenbuterol, Dipyrone, and Furosemide.

BACKGROUND:

Business and Professions Code (BPC) [section 19580](#) provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in this state. BPC [section 19581](#) states that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. BPC [section 19582](#) provides that violations of BPC section 19581, as determined by the Board, are punishable in regulations adopted by the Board, and that the Board may classify violations based upon each class of prohibited drug substances, prior violations within the previous three years and prior violations within the violator's lifetime. Board [Rule 1843, Medication, Drugs and Other Substances](#), provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4., CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.2. CLASSIFICATION OF DRUG SUBSTANCES

Rule 1843.2. Classification of Drug Substances.

The Board, the board of stewards, the hearing officer, or the administrative law judge, when adjudicating a hearing for a violation of Business and Professions Code section 19581, shall consider the classification of the substance as referenced in the California Horse Racing Board (CHRB) Classification of Foreign Substances, Alphabetical Substances List (Rev. 048/1920), hereby incorporated by reference, which is based on the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances (01/4820), as modified by the Board.

Authority cited: Sections 19580, 19581 and 19582,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

CHRB 1843.2 CLASSIFICATION OF FOREIGN SUBSTANCES

ALPHABETICAL SUBSTANCE LIST

1843.2. Classification of Drug Substances.

The Board, the board of stewards, the hearing officer, or the administrative law judge, when adjudicating a hearing for a violation of Business and Professions Code section 19581, shall consider the classification of the substance as referenced in the California Horse Racing Board (CHRB) Classification of Foreign Substances, Alphabetical Substances List (Rev. ~~018/1920~~), hereby incorporated by reference, which is based on the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances (~~01/1820~~), as modified by the Board.

Authority: Sections 19580, 19581 and 19582,
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,
Business and Professions Code.

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>1-androstenediol (5a-androst-1-ene-3β, 17β-diol)</u>		<u>3</u>	<u>B</u>	
<u>1-androstenedione (5a-androst-1-ene-3, 17-dione)</u>		<u>3</u>	<u>B</u>	
<u>1-testosterone (17β-hydroxy-5a-androst-1-en-3-one)</u>		<u>3</u>	A	
19-Norandrostenediol		3	B	
19-Norandrostenedione		3	B	
<u>19-noretiocholanolone</u>		<u>3</u>	<u>B</u>	
2-Aminoheptane	<i>Tuamine</i>	4	B	
3,4-methylenedioxypropylamphetamine	<i>MDPV, "bath salts"</i>	1	A	
3-Methoxytyramine	<i>3-MT</i>	2	A	
<u>4-androstene-3,6,17 trione (6-oxo)</u>		<u>3</u>	<u>B</u>	
<u>4-androstenediol (androst-4-ene-3β,17β-diol)</u>		<u>3</u>	<u>B</u>	
4-Hydroxytestosterone		3	B	
<u>5-androstenedione (androst-5-ene-3,17-dione)</u>		<u>3</u>	<u>B</u>	
<u>5α-androstane-3α,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>5α-androstane-3α,17β-diol</u>		<u>3</u>	<u>B</u>	
<u>5α-androstane-3β,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>5α-androstane-3β,17β-diol</u>		<u>3</u>	<u>B</u>	
<u>5β-androstane-3 α, 17β-diol, androst-4-ene-3α,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>7-keto-dhea;19-</u>		<u>3</u>	<u>B</u>	
<u>7α-hydroxy-dhea</u>		<u>3</u>	<u>B</u>	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>7β-hydroxy-dhea</u>		<u>3</u>	<u>B</u>	
a-Cobratoxin		1	A	
Acebutolol	<i>Sectral</i>	3	B	
Acecarbromal		2	A	
Acenocoumarol		5	C	
Acepromazine	<i>Atrovet, Notensil, PromAce®</i>	3	B	
Acetaminophen (Paracetamol)	<i>Tylenol, Tempra, etc.</i>	4	C	
Acetanilid		4	B	
Acetazolamide	<i>Diamox, Vetamox</i>	4	C	
Acetophenazine	<i>Tindal</i>	2	A	
Acetophenetidin (Phenacetin)		4	B	
<u>Acetylcysteine</u>		<u>4</u>	<u>C</u>	
Acetylsalicylic acid (Aspirin)		4	C	
<u>Activators of the AMPactivated protein kinase (AMPK) - E.g., AICAR, and Peroxisome Proliferator Activated Receptor δ (pparδ) agonists (e.g., GW 1516).</u>	<u>AICAR</u>	<u>2</u>	<u>A</u>	
Adinazolam		2	A	
Adrenochrome monosemicarbazone salicylate		4	B	
Albuterol (Salbutamol)	<i>Proventil, Ventolin</i>	3	B	<u>Thoroughbred and quarter horse same in California</u>

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Alclometasone	<i>Aclovate</i>	4	C	
Alcuronium	<i>Alloferin</i>	2	A	
Aldosterone	<i>Aldocortin, Electrocortin</i>	4	B	
Alfentanil	<i>Alfenta</i>	1	A	
Almotriptan	<i>Axert</i>	3	A	
Alphaprodine	<i>Nisentil</i>	2	A	
Alpidem	<i>Anaxyl</i>	2	A	
Alprazolam	<i>Xanax</i>	2	A	
Alprenolol		2	A	
Althesin	<i>Saffan</i>	2	A	
Altrenogest	<i>Regumate</i>	4	C	<u>*Classification for geldings, colts, adult intact males, spayed females only.</u>
Ambenonium	<i>Mytelase, Myeuran</i>	3	B	
Ambroxol	<i>Ambiril, etc.</i>	4	B	
Amcinonide	<i>Cyclocort</i>	4	C	
Amiloride	<i>Moduretic; Midamor</i>	4	B	
Aminocaproic acid	<i>Amicar, Caprocid</i>	4	C	
<u>Aminoglutethimide</u>		<u>3</u>	<u>B</u>	
Aminophylline	<i>Aminophyllin, etc.</i>	3	B	
Aminopyrine		4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Amiodarone		4	B	
Aminorex	<i>Aminoxafen, Aminoxaphen, Apique!, McN-742, Menocil</i>	1	A	
Amisometradine	<i>Rolictron</i>	4	B	
Amisulpride	<i>Solian</i>	2	A	
Amitraz	<i>Mitaban</i>	3	B	
Amitriptyline	<i>Elavil, Amitri, Endep</i>	2	A	
Amlodipine	<i>Ammivin, Norvasc</i>	3	B	
Amobarbital	<i>Amytal</i>	2	A	
Amoxapine	<i>Asendin</i>	2	A	
Amperozide		2	A	
Amphetamine		1	A	
Amrinone		4	B	
Amyl nitrite		2	A	
<u>Anastrozole</u>		<u>3</u>	<u>B</u>	
<u>Andarine</u>		<u>2</u>	<u>A</u>	
<u>Androst-4-ene-3α,17β-diol</u>		<u>3</u>	<u>B</u>	
<u>Androst-4-ene-3β,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>Androst-5-ene-3α,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>Androst-5-ene-3α,17β-diol</u>		<u>3</u>	<u>B</u>	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>Androst-5-ene-3β,17α-diol</u>		<u>3</u>	<u>B</u>	
<u>Androsta-1,4,6-triene-3,17-dione (androstatrienedione)</u>		<u>3</u>	<u>B</u>	
<u>Androstenediol (androst-5-ene-3β, 17β-diol)</u>		<u>3</u>	<u>B</u>	
<u>Androstenedione (androst-4-ene-3, 17-dione)</u>		<u>3</u>	<u>B</u>	
<u>Androsterone (3 βhydroxy-5 α -androstan-17-one)</u>		<u>3</u>	<u>B</u>	
Anileridine	<i>Leritine</i>	1	A	
Anilopam	<i>Anisine</i>	2	A	
Anisindione		5	D	
Anisotropine	<i>Valpin</i>	4	B	
Antipyrine		4	B	
Apazone (Azapropazone)	<i>Rheumox</i>	4	B	
Apomorphine		1	A	
Aprindine		4	B	
Aprobarbital	<i>Alurate</i>	2	A	
<u>ARA-290</u>		<u>1</u>	<u>A</u>	
Arecoline		3	A	
Arformoterol		3	B	
Articaine	<i>Septocaine; Ultracaine,</i>	2	B	
<u>Asialo EPO</u>		<u>1</u>	<u>A</u>	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Atenolol	<i>Tenormin</i>	3	B	
Atipamazole		2	B	
Atomoxetine	<i>Strattera</i>	2	A	
Atracurium	<i>Tracrium</i>	2	A	
Atropine		3	B	
Azacylonol	<i>Frenque</i>	2	A	
Azaperone	<i>Stresnil, Suicalm, Fentaz (with Fentanyl)</i>	2	A	
Baclofen	<i>Lioresal</i>	4	B	
Barbital	<i>Veronal</i>	2	A	
Barbiturates		2	A	
Beclomethasone	<i>Propaderm</i>	4	C	
Bemegrade	<i>Megimide, Mikedimide</i>	2	A	
Benazepril	<i>Lotrel, Lotensin</i>	3	A	
Bendroflumethiazide	<i>Naturetin</i>	4	B	
Benoxaprofen		2	B	
Benoxinate	<i>Dorsacaine</i>	4	C	
Benperidol	<i>Anquil</i>	2	A	
Bentazepam	<i>Tiadipona</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Benzactizine	<i>Deprol, Bronchodilett en</i>	2	A	
Benzocaine		4	B	
Benzoctamine		2	A	
Benzodiazepines		2	A	
Benzonatate	<i>Tessalon Perles, Zonatuss</i>	2	A	
Benzphetamine	<i>Didrex</i>	2	A	
Benzthiazide		4	B	
Benztropine	<i>Cogentin</i>	2	A	
Benzylpiperazine (BZP)		1	A	
Bepriidil	<i>Bepadin</i>	4	B	
Betamethasone	<i>Betasone, etc</i>	4	C	
Betaxolol	<i>Kerlone</i>	3	B	
Bethanechol	<i>Urecholine, Duvoid</i>	4	C	
Bethanidine	<i>Esbatal</i>	3	A	
Biperiden	<i>Akineton</i>	3	A	
Biriperone		2	A	
Bisoprolol	<i>Zebeta, Bisobloc, etc.</i>	3	B	
Bisphosphonates (any)		3	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Bitolterol	<i>Effectin</i>	3	A	
<u>Bolandiol (estr-4-ene3β, 17β-diol)</u>		<u>3</u>	<u>A</u>	
Bolasterone		3	A	
Boldenone	<i>Equipoise</i>	3	B	
Boldione		3	A	
Botulinum toxin	<i>Botox</i>	12	A	
Bretylum	<i>Bretylol</i>	3	B	
Brimonidine	<i>Alphagan</i>	2	A	
Bromazepam	<i>Lexotan, Lectopam</i>	2	A	
Bromfenac	<i>Duract</i>	3	A	
Bromhexine	<i>Oletor, etc.</i>	4	B	
Bromisovalum	<i>Diffucord, etc.</i>	2	A	
Bromocriptine	<i>Parlodel</i>	2	A	
Bromodiphenhydramine		3	B	
Bromperidol	<i>Bromidol</i>	2	A	
Brompheniramine	<i>Dimetane, Disomer</i>	3	B	
Brotizolam	<i>Brotocol</i>	2	A	
Budesonide	<i>Pulmacort, Rhinocort</i>	4	C	
Bufexamac		3	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Bumetanide	<i>Bumex</i>	3	B	
Bupivacaine	<i>Marcaine</i>	2	A	
Buprenorphine	<i>Temgesic</i>	2	A	
Bupropion	<i>Wellbutrin</i>	2	A	
Buspirone	<i>Buspar</i>	2	A	
Butabarbital (Secbutobarbitone)	<i>Butacaps, Butasol, etc.</i>	2	A	
Butacaine	<i>Butyn</i>	2	A	
Butalbital (Talbutal)	<i>Fiorinal</i>	2	A	
Butamben (butyl aminobenzoate)	<i>Butesin</i>	4	C	
Butanilicaine	<i>Hostacain</i>	2	A	
Butaperazine	<i>Repoise</i>	2	A	
Butoctamide	<i>Listomin</i>	2	A	
Butorphanol	<i>Stadol, Torbugesic</i>	3	B	
Butoxycaine	<i>Stadacain</i>	4	B	
N-Butylscopolamine		4	C	
Caffeine		2	B	
Calusterone	<i>Methosorb</i>	3	A	
Camazepam	<i>Paxor</i>	2	A	
Camphor		4	C	
Candesartan	<i>Atcand</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>Cannabidiol (CBD)</u> ¹	<i>Anti-epileptic, analgesic</i>	<u>3</u>	<u>B</u>	
<u>Canrenone</u>		<u>4</u>	<u>C</u>	
Capsaicin		2	B	
Captodiamine	<i>Covatine</i>	2	A	
Captopril	<i>Capoten</i>	3	B	
Carazolol	<i>Carbacel, Conducton</i>	3	A	
Carbachol	<i>Lentin, Doryl</i>	3	B	
Carbamezapine	<i>Tegretol</i>	3	B	
<u>Carbamylated EPO</u>		<u>1</u>	<u>A</u>	
Carbazochrome		4	B	
Carbidopa + levodopa	<i>Sinemet</i>	2	A	
Carbinoxamine	<i>Clistin</i>	3	B	
Carbromol	<i>Mifudorm</i>	2	A	
<u>Cardarine (GW-501516)</u>		<u>2</u>	<u>A</u>	
Carfentanil		1	A	
Carisoprodol	<i>Rela, Soma</i>	2	B	
Carphenazine	<i>Proketazine</i>	2	A	
<u>Carpipramine</u>	<i>Prazinil</i>	<u>2</u>	<u>A</u>	
Carprofen	<i>Rimadyl</i>	4	B	
Carteolol	<i>Cartrol</i>	3	B	
Carticaine (see articaine)	<i>Septocaine; Ultracaine, etc.</i>	2	B	
Carvedilol	<i>Coreg</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Cathinone	<i>khat, kat, qat, quat, chat, catha, Abyssinian tea, African tea</i>	1	A	
Celecoxib	<i>Celebrex</i>	3	B	
Cetirizine	<i>Zyrtec</i>	4	C	
Chloral betaine	<i>Beta-Chlor</i>	2	A	
Chloral hydrate	<i>Nactec, Oridrate, etc.</i>	2	A	
Chloraldehyde (chloral)		2	A	
Chloralose (Alpha-Chloralose)		2	A	
Chlordiazepoxide	<i>Librium</i>	2	A	
Chlorhexidol		2	A	
Chlormerodrin	<i>Neohydrin</i>	4	B	
Chlormezanone	<i>Trancopal</i>	2	A	
Chloroform		2	A	
Chlorophenesin	<i>Maolate</i>	4	C	
Chloroprocaine	<i>Nesacaine</i>	2	A	
Chloroquine	<i>Avloclor</i>	4	C	
Chlorothiazide	<i>Diuril</i>	4	B	
Chlorpheniramine	<i>Chlortriemton, etc.</i>	4	B	
Chlorproethazine	<i>Newiplege</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Chlorpromazine	<i>Thorazine, Largactil</i>	1	A	
Chlorprothixene	<i>Taractan</i>	2	A	
Chlorthalidone	<i>Hydroton</i>	4	B	
Chlorzoxazone	<i>Paraflex</i>	4	B	
<u>Chorionic Gonadotropin (CG)</u>		<u>3</u>	<u>B</u>	<u>Hormone and behavioral effects - a water soluble glycoprotein derived from human pregnancy urine. Used for behavior modification in colts/horses. There should be no restriction/regulation in fillies and mares.</u>
Ciclesonide		4	C	
Cilostazol	<i>Pletal</i>	4	B	
Cimeterol		3	A	
Cimetidine	<i>Tagamet</i>	5	D	
Cinchocaine	<i>Nupercaine</i>	2	B	
Citalopram	<i>Celex</i>	2	A	
Clanobutin		4	B	
Clemastine	<i>Tavist</i>	3	B	
Clenbuterol	<i>Ventipulmin</i>	3	B	<u>Thoroughbred and quarter horse same in California</u>
Clibucaine	<i>Batrax</i>	2	A	
Clidinium	<i>Quarezan, Clindex, etc.</i>	3	B	
Clobazam	<i>Urbanyl</i>	2	A	
Clobetasol	<i>Temovate</i>	4	C	
Clocapramine		2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Clocortolone	<i>Cloderm</i>	4	C	
<u>Clodronate</u>		<u>3</u>	<u>A</u>	
Clofenamide		4	B	
Clomethiazole (Chlormethiazole)		2	A	
<u>Clomiphene</u>		<u>3</u>	<u>B</u>	
Clomipraine	<i>Anafranil</i>	2	A	
Clonazepam	<i>Klonopin</i>	2	A	
Clonidine	<i>Catapres</i>	3	B	
Clorazepate	<i>Tranxene</i>	2	A	
Clormecaine	<i>Placacid</i>	2	A	
Clostebol		3	A	
Clothiapine	<i>Entermin</i>	2	A	
Clotiazepam	<i>Trecalmo, Rize</i>	2	A	
Cloxazolam	<i>Enadel, Sepazon, Tolestan</i>	2	A	
Clozapine	<i>Clozaril, Leponex</i>	2	A	
<u>CNTO 530</u>		<u>1</u>	<u>A</u>	
Cobalt (in excess of 25.0 ppb)		4	C	For cobalt concentrations in excess of 25.0 parts per billion (ppb) of blood serum or plasma but at or below 50.0 parts per billion (ppb) of blood serum or plasma or below.
Cobalt (in excess of 50.0 ppb)		3	B	For cobalt concentrations in excess of 50.0 parts per billion (ppb) of blood serum or plasma.

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Cocaine		1	A	If the Board, the board of stewards, the hearing officer, or the administrative law judge determines that the finding of cocaine or its metabolites was unintentional and not based upon an attempt to affect the outcome of a race, they may elect to assign a Class B penalty
Codeine		1	A	
Colchicine		4	B	
Conorphone		2	A	
Corticaine	<i>Ultracain</i>	2	A	
<u>Corticotrophind</u>		<u>3</u>	<u>B</u>	
Cortisone	<i>Cortone, etc.</i>	4	C	
Cromolyn	<i>Intel</i>	5	D	
Crotetamide		2	A	
Cyamemazine	<i>Tercian</i>	2	A	
Cyclandelate	<i>Cyclospasmol</i>	3	A	
Cyclizine	<i>Merazine</i>	3	B	
Cyclobarbitol	<i>Phanodorm</i>	2	A	
Cyclobenzaprine	<i>Flexeril</i>	4	B	
<u>Cyclofenil</u>		<u>3</u>	<u>B</u>	
Cyclomethycaine	<i>Surfacaine</i>	4	C	
Cyclothiazide	<i>Anhydron, Renazide</i>	4	B	
Cycrimine	<i>Pagitane</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Cyproheptadine	<i>Periactin</i>	3	B	
Danazol	<i>Danocrine</i>	3	B	
Dantrolene	<i>Dantrium</i>	4	C	
Darbepoetin	<i>Aranesp</i>	1	A	
Darbepoetin (depo)		<u>1</u>	<u>A</u>	
Decamethonium	<i>Syncurine</i>	2	A	
Dehydrochloromethyltestosterone		3	A	
Dembroxol (Dembrexine)	<i>Sputolysin</i>	4	C	
Demoxepam		2	A	
Deoxycorticosterone	<i>Percortin, DOCA, Descotone, Dorcostrin</i>	4	C	
Deracoxib	<i>Deremaxx</i>	3	B	
Dermorphin		1	A	
Desipramine	<i>Norpromine, Pertofrane</i>	2	A	
Desonide	<i>Des Owen</i>	4	C	
Desoximetasone	<i>Topicort</i>	4	C	
Desoxymethyltestosterone		3	A	
Detomidine	<i>Dormosedan</i>	3	B	
Dexamethasone	<i>Azium, etc.</i>	4	C	
Dextromethorphan		4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Dextromoramide	<i>Palfium, Narcolo</i>	1	A	
Dextropropoxyphene	<i>Darvon</i>	3	B	
Dezocine	<i>Dalgan</i>	2	A	
Diamorphine		1	A	
Diazepam	<i>Valium</i>	3	B	
Diazoxide	<i>Proglycem</i>	3	B	
Dibucaine	<i>Nupercainal, Cinchocaine</i>	2	B	
Dichloralphenazone	<i>Febenol, Isocom</i>	2	A	
Dichlorphenamide	<i>Daramide</i>	4	C	
Diclofenac	<i>Voltaren, Voltarol</i>	4	C	
Dicumarol	<i>Dicumarol</i>	5	D	
Diethylpropion	<i>Tepanil, etc.</i>	2	A	
Diethylthiambutene	<i>Themalon</i>	2	A	
Diflorasone	<i>Florone, Maxiflor</i>	4	C	
Diflucortolone	<i>Flu-Cortinest, etc.</i>	4	C	
Diflunisal		3	B	
Digitoxin	<i>Crystodigin</i>	4	B	
Digoxin	<i>Lanoxin</i>	4	B	
Dihydrocodeine	<i>Parcodin</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Dihydroergotamine		4	B	
<u>Dihydrotestosterone (17β-hydroxy-5α-androstan-3-one)</u>		<u>3</u>	<u>B</u>	
Dilorazepam	<i>Briantum</i>	2	A	
Diltiazem	<i>Cardizem</i>	4	B	
Dimeflin		3	A	
Dimethisoquin	<i>Quotane</i>	4	B	
Dimethylsulfoxide (DMSO)	<i>Domoso</i>	4	C	
Diphenadione		5	C	
Diphenhydramine	<i>Benadryl</i>	3	B	
Diphenoxylate	<i>Difenoxin, Lomotil</i>	4	B	
Diprenorphine	<i>M50/50</i>	2	A	
Dipyridamole	<i>Persantine</i>	3	B	
Dipyrone	<i>Novin, Methampyrone</i>	4	<u>BC</u>	
Disopyramide	<i>Norpace</i>	4	B	
Divalproex	<i>Depakote</i>	3	A	
Dixyrazine	<i>Esucos</i>	2	A	
Dobutamine	<i>Dobutrex</i>	3	B	
Dopamine	<i>Intropin</i>	2	A	
Donepezil	<i>Aricept</i>	1	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Doxacurium	<i>Nuromax</i>	2	A	
Doxapram	<i>Dopram</i>	2	A	
Doxazosin		3	A	
Doxefazepam	<i>Doxans</i>	2	A	
Doxepin	<i>Adapin, Sinequan</i>	2	A	
Doxylamine	<i>Decapryn</i>	3	B	
Dromostanolone	<i>Drolban</i>	3	B	
Droperidol	<i>Inapsine, Droleptan, Innovar-Vet (with Fentanyl)</i>	2	A	
<u>Drostanolone</u>		<u>3</u>	<u>A</u>	
Duloxetine		2	A	
Dyclonine	<i>Dyclone</i>	4	C	
Dyphylline		3	B	
Edrophonium	<i>Tensilon</i>	3	B	
Eletripan	<i>Relpax</i>	3	A	
Eltencac		4	B	
Enalapril (metabolite enalaprilat)	<i>Vasotec</i>	3	A	
Enciprazine		2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Endorphins		1	A	
Enkephalins		1	A	
Ephedrine		2	A	
<u>Epi-dihydrotestosterone</u>		<u>3</u>	<u>B</u>	
Epibatidine		2	A	
Epinephrine		2	A	
<u>Epitestosterone</u>		<u>3</u>	<u>B</u>	
EPO-Fc		<u>1</u>	<u>A</u>	
<u>EPO-mimetic peptides (EMP):</u>		<u>1</u>	<u>A</u>	
Ergoloid mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate, and dihydroergocryptine mesylate)		2	A	
Ergonovine	<i>Ergotrate</i>	4	C	
Ergotamine	<i>Gynergen, Cafergot, etc.</i>	4	B	
Erthryl tetranitrate	<i>Cardilate</i>	3	A	
Erythropoietin (EPO)	<i>Epogen, Procrit, etc.</i>	1	A	
Esmolol	<i>Brevibloc</i>	3	B	
Esomeprazole	<i>Nexium</i>	5	D	
Estazolam	<i>Domnamid, Eurodin, Nuctalon</i>	2	A	
Eszopiclone		2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Etamiphylline		3	B	
Etanercept	<i>Enbrel</i>	4	B	
Ethacrynic acid	<i>Edecrin</i>	3	B	
Ethamivan		2	A	
Ethanol		2	A	
Ethchlorvynol	<i>Placidyl</i>	2	A	
Ethinamate	<i>Valmid</i>	2	A	
Ethoheptazine	<i>Zactane</i>	2	A	
Ethopropazine	<i>Parsidol</i>	2	A	
Ethosuximide	<i>Zarontin</i>	3	A	
Ethotoin	<i>Peganone</i>	4	B	
Ethoxzolamide	<i>Cardrase, Ethamide</i>	4	C	
Ethylaminobenzoate (Benzocaine)	<i>Semets, etc.</i>	4	C	
Ethylestrenol	<i>Maxibolin, Organon</i>	3	B	
Ethylisobutrazine	<i>Diquel</i>	2	A	
Ethylmorphine	<i>Dionin</i>	1	A	
Ethylnorepinephrine	<i>Bronkephrine</i>	3	A	
Ethylphenidate		1	A	
Etidocaine	<i>Duranest</i>	2	A	
Etifoxin	<i>Stresam</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>Etiocholanolone</u>		<u>3</u>	<u>B</u>	
Etizolam	<i>Depas, Pasaden</i>	2	A	
<u>Ethamsylate</u>		<u>4</u>	<u>B</u>	
Etodolac	<i>Lodine</i>	3	B	
Etodroxizine	<i>Indunox</i>	2	A	
Etomidate		2	A	
Etorphine HCl	<i>M99</i>	1	A	
<u>Exemestane</u>	<u><i>Aromatase inhibitors</i></u>	<u>3</u>	<u>B</u>	
Famotidine	<i>Gaster, etc.</i>	5	D	
Felbamate	<i>Felbatol</i>	3	B	
Felodipine	<i>Plendil</i>	4	B	
Fenarbamate	<i>Tymium</i>	2	A	
Fenbufen	<i>Cincopal</i>	3	B	
Fenclozic acid	<i>Myalex</i>	2	B	
Fenfluramine	<i>Pondimin</i>	2	A	
Fenoldopam	<i>Corlopam</i>	3	B	
Fenoprofen	<i>Nalfon</i>	3	B	
Fenoterol	<i>Berotec</i>	3	B	
Fenspiride	<i>Respiride, Respan, etc</i>	3	B	
Fentanyl	<i>Sublimaze</i>	1	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Fentiazac		3	B	
Fexofenadine	<i>Allegra</i>	4	C	
<u>Fibroblast Growth Factors (fgfs), Hepatocyte Growth Factor (HGF), Insulinlike Growth Factor-1 (IGF-1) and its analogues, Mechano Growth Factors (mgfs), Platelet-Derived Growth Factor (PDGF), Vascular-Endothelial Growth Factor (VEGF) and any other growth factor affecting muscle, tendon or ligament protein synthesis/ degradation, vascularization, energy utilization, regenerative capacity or fiber type switching.</u>		<u>3</u>	<u>A</u>	
Firocoxib		4	C	
Flecainide	<i>Idalon</i>	4	B	
Floctafenine	<i>Idalon, Idarac</i>	4	B	
Fluanisone	<i>Sedalande</i>	2	A	
Fludiazepam	<i>Erispam</i>	2	A	
Fludrocortisone	<i>Alforone, etc.</i>	4	C	
Flufenamic acid		3	B	
Flumethasone	<i>Flucort, etc.</i>	4	C	
Flumethiazide	<i>Ademol</i>	4	B	
Flunarizine	<i>Sibelium</i>	4	B	
Flunisolide	<i>Bronilide, etc.</i>	4	C	
Flunitrazepam	<i>Rohypnol, Narcozep, Darkene, Hypnodorm</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Flunixin	<i>Banamine</i>	4	C*	
Fluocinolone	<i>Synalar</i>	4	C	
Fluocinonide	<i>Licon, Lidex</i>	4	C	
Fluopromazine	<i>Psyquil, Siquil</i>	2	A	
Fluoresone	<i>Caducid</i>	2	A	
Fluorometholone	<i>FML</i>	4	C	
Fluoroprednisolone		4	B	
Fluoxetine	<i>Prozac</i>	2	A	
Fluoxymesterone	<i>Halotestin</i>	3	B	
Flupenthixol	<i>Depixol, Fluanxol</i>	2	A	
Fluphenazine	<i>Prolixin, Permitil, Anatensol, etc.</i>	2	B	
Flupirtine	<i>Katadolone</i>	3	A	
Fluprednisolone	<i>Alphadrol</i>	4	C	
Flurandrenolide	<i>Cordran</i>	4	C	
Flurazepam	<i>Dalmane</i>	2	A	
Flurbiprofen	<i>Froben</i>	3	B	
Fluspirilene	<i>Imap, Redeptin</i>	2	A	
Fluticasone	<i>Flixonase, Flutide</i>	4	C	
Flutoprazepam	<i>Restas</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Fluvoxamine	<i>Dumirox, Faverin, etc.</i>	2	A	
Formebolone		3	A	
<u>Formestane</u>	<u><i>Aromatase inhibitors</i></u>	<u>3</u>	<u>B</u>	
Formoterol	<i>Altram</i>	3	B	
Fosinopril	<i>Monopril</i>	3	A	
Fosphenytoin	<i>Cerebyx</i>	3	B	
<u>Fulvestrant</u>		<u>3</u>	<u>B</u>	
Furazabol		3	A	
Furosemide	<i>Lasix</i>	N/A	<u>C</u>	
Gabapentin	<i>Neurontin</i>	3	B	
Galantamine	<i>Reminyl</i>	2	A	
Gallamine	<i>Flaxedil</i>	2	A	
Gamma Aminobutyric Acid (GABA)	<i>Carolina Gold</i>	3	B	
Gepirone		2	A	
Gestrinone		3	A	
<u>GH-Releasing Peptides (ghrps), e.g., alexamorelin, GHRP-6, hexarelin and pralmorelin (GHRP-2)</u>		3	A	
Glutethimide	<i>Doriden</i>	2	A	
Glycopyrrolate	<i>Robinul</i>	4	C	
<u>Growth Hormone Releasing Hormone (GHRH) and its analogues, e.g., CJC1295, sermorelin and tesamorelin</u>		<u>3</u>	<u>A</u>	
<u>Growth Hormone Secretagogues (GHS), e.g., ghrelin and ghrelin mimetics, e.g., anamorelin and ipamorelin</u>		<u>3</u>	<u>A</u>	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Guaifenesin (glycerol guaiacolate)	<i>Gecolate</i>	4	C	
Guanabenz	<i>Wytensin</i>	3	B	
Guanadrel	<i>Hylorel</i>	3	A	
Guanethidine	<i>Ismelin</i>	3	A	
Halazepam	<i>Paxipam</i>	2	A	
Halcinonide	<i>Halog</i>	4	C	
Halobetasol	<i>Ultravate</i>	4	C	
Haloperidol	<i>Haldol</i>	2	A	
Haloxazolam	<i>Somelin</i>	2	A	
Hemoglobin glutamers	<i>Oxyglobin Hemopure</i>	2	A	
Heptaminol	<i>Corofundol</i>	3	B	
Heroin		1	A	
Hexafluorenum	<i>Myalexen</i>	2	A	
Hexobarbital	<i>Evipal</i>	2	A	
Hexocyclium	<i>Tral</i>	4	B	
Hexylcaine	<i>Cyclaine</i>	2	B	
<u>HIF activators (e.g. Argon, xenon)</u>		<u>3</u>	<u>A</u>	
Homatropine	<i>Homapin</i>	3	B	
Homophenazine	<i>Pelvichthol</i>	2	A	
Hydralazine	<i>Apresoline</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Hydrochlorthiazide	<i>Hydrodiuril</i>	4	B	
Hydrocodone(dihydrocodienone)	<i>Hycodan</i>	1	A	
Hydrocortisone (Cortisol)	<i>Cortef, etc.</i>	4	C	
Hydroflumethiazide	<i>Saluron</i>	4	B	
Hydromorphone	<i>Dilaudid</i>	1	A	
Hydroxyamphetamine	<i>Paradrine</i>	1	A	
Hydroxyzine	<i>Atarax</i>	2	B	
Ibomal	<i>Noctal</i>	2	A	
Ibuprofen	<i>Motrin, Advil, Nurpin, etc.</i>	4	C	
Ibutilide	<i>Corvert</i>	3	B	
Iloprost	<i>Ventavis</i>	3	A	
Imipramine	<i>Imavate, Presamine, Tofranil</i>	2	A	
<u>Indapamide</u>		<u>3</u>	<u>C</u>	
Indomethacin	<i>Indocin</i>	3	B	
Infliximab	<i>Remicade</i>	4	B	
<u>Insulins</u>		<u>3</u>	<u>B</u>	
Ipratropium		3	B	
Irbesarten	<i>Avapro</i>	3	A	
Isapirone		2	A	
Isocarboxazid	<i>Marplan</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Isoetharine	<i>Bronkosol</i>	3	B	
Isoflupredone	<i>Predef 2x</i>	4	C	
Isomethadone		2	A	
Isometheptene	<i>Octin, Octon</i>	4	B	
Isopropamide	<i>Darbid</i>	4	B	
Isoproterenol	<i>Isoprel</i>	2	A	
Isosorbide dinitrate	<i>Isordil</i>	3	B	
Isoxicam	<i>Maxicam</i>	2	B	
Isoxsuprine	<i>Vasodilan</i>	4	D	
Isradipine	<i>DynaCirc</i>	4	B	
Kebuzone		3	B	
Ketamine	<i>Ketalar, Ketaset, Vetalar</i>	2	B	
Ketazolam	<i>Anxon, Laftram, Solatran, Loftran</i>	2	A	
Ketoprofen	<i>Orudis</i>	4	C*	
Ketorolac	<i>Toradol</i>	3	A	
Labetalol	<i>Normodyne</i>	3	B	
Lamotrigine	<i>Lamictal</i>	3	A	
Lansoprazole		5	D	
Lenperone	<i>Elanone-V</i>	2	A	
Letosteine	<i>Viscotiol, Visiotal</i>	4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Letrozole		3	A	
Levamisole		2	B	
Levobunolol	<i>Betagan</i>	3	B	
Levomethorphan		2	A	
Levorphanol	<i>Levo- Dremoran</i>	1	A	
Lidocaine	<i>Xylocaine</i>	2	B	
<u>Ligandrol</u>		<u>2</u>	<u>A</u>	
Lisinopril	<i>Prinivil, Zestril</i>	3	A	
Lithium	<i>Lithizine, Duralith, etc.</i>	2	A	
Lobeline		2	A	
Lofentanil		1	A	
Loflazepate, Ethyl	<i>Victan</i>	2	A	
Loperamide	<i>Imodium</i>	3	B	
Loprazolam	<i>Dormonort, Havlane</i>	2	A	
Loratidine	<i>Claritin</i>	4	C	
Lorazepam	<i>Ativan</i>	2	A	
Lormetazepam	<i>Noctamid</i>	2	A	
Losartan	<i>Hyzaar</i>	3	B	
Loxapine	<i>Laxitane</i>	2	A	
<u>Luteinizing Hormone (LH)</u>		<u>3</u>	<u>B</u>	<u>Hormone and behavioral effects - a hormone produced by gonadotropic cells in the anterior pituitary gland. In females, an acute rise of LH triggers ovulation and development of the corpus luteum. Used for behavior modification in colts / horses. There should be no restriction/regulation in fillies and mares.</u>

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Mabuterol		3	A	
Maprotiline	<i>Ludiomil</i>	2	A	
Mazindol	<i>Sanorex</i>	1	A	
Mebutamate	<i>Axiten, Dormate, Capla</i>	2	A	
Mecamylamine	<i>Inversine</i>	3	B	
Meclizine	<i>Antivert, Bonine</i>	3	B	
Meclofenamic acid	<i>Arquel</i>	4	C	
Meclofenoxate	<i>Lucidril, etc.</i>	2	A	
Medazepam	<i>Nobrium, etc.</i>	2	A	
Medetomidine	<i>Domitor</i>	3	B	
Methoxyprogesterone		4	C	*Classification for geldings, colts, adult intact males, spayed females only.
Medrysone	<i>Medriusar, etc.</i>	4	C	
Mefenamic acid	<i>Ponstel</i>	3	B	
Meldonium	<i>Mildronate, et al</i>	1	A	
Meloxicam	<i>Mobic</i>	4	B	
Melperone	<i>Eunerpan</i>	2	A	
Memantine	<i>Namenda</i>	2	A	
Meparfynol	<i>Oblivon</i>	2	A	
Mepazine	<i>Pacatal</i>	2	A	
Mepenzolate	<i>Cantil</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Meperidine	<i>Demerol</i>	1	A	
Mephesisin	<i>Tolserol</i>	4	B	
Mephenoqualone	<i>Control, etc.</i>	2	A	
Mephentermine	<i>Wyamine</i>	1	A	
Mephentyoin	<i>Mesantoin</i>	2	A	
Mephobarbital (Methylphenobarbital)	<i>Mebaral</i>	2	A	
Mepivacaine	<i>Carbocaine</i>	2	B	
Meproamate	<i>Equanil, Miltown</i>	2	A	
Meralluride	<i>Mercuryhydrin</i>	4	B	
Merbaphen	<i>Novasural</i>	4	B	
Mercaptomerin	<i>Thiomerin</i>	4	B	
Mercumatinin	<i>Cumertilin</i>	4	B	
Mersalyl	<i>Salyrgan</i>	4	B	
Mesalamine	<i>Asacol</i>	5	C	
Mesoridazine	<i>Serentil</i>	2	A	
Mestanolone		3	A	
Mesterolone		3	A	
Metaclazepam	<i>Talis</i>	2	A	
<u>Metandienone</u>		<u>3</u>	<u>A</u>	
Metaproterenol	<i>Alupent, Metaprel</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Metaraminol	<i>Aramine</i>	1	A	
Metaxalone	<i>Skelaxin</i>	4	B	
Metazocine		2	A	
<u>Metenolone</u>		<u>3</u>	<u>A</u>	
Metformin		2	B	
Methacholine		3	A	
Methadone	<i>Dolophine</i>	1	A	
Methamphetamine	<i>Desoxyn</i>	1	A	If the Board, the board of stewards, the hearing officer, or the administrative law judge determines that the finding of methamphetamine or its metabolites was unintentional and not based upon an attempt to affect the outcome of a race, or if testing shows only the presence of levo-methamphetamine in the sample, they may elect to assign a Class B penalty. Recommended Penalty B if testing can prove presence of only levo-methamphetamine is present in sample.
Methandriol (Methylandrostenediol)	<i>Probolis</i>	3	A	
Methandrostenolone	<i>Dianobal</i>	3	A	
Methantheline	<i>Banthine</i>	3	B	
Methapyrilene	<i>Histadyl, etc.</i>	3	B	
Methaqualone	<i>Quaalude</i>	1	A	
Metharbital	<i>Gemonil</i>	2	A	
Methasterone		3	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Methazolamide	<i>Naptazane</i>	4	C	
Methcathinone		1	A	
Methdilazine	<i>Tacaryl</i>	3	B	
Methenolone	<i>Primobolan</i>	3	A	
Methixene	<i>Trest</i>	3	A	
Methocarbamol	<i>Robaxin</i>	4	C	
Methohexital	<i>Brevital</i>	2	A	
Methotrexate	<i>Folex, Nexate, etc.</i>	4	B	
Methotrimeprazine	<i>Levoprome, Neurocil, etc.</i>	2	A	
Methoxamine	<i>Vasoxyl</i>	3	A	
Methoxyphenamine	<i>Orthoxide</i>	3	A	
Methoxypolyethylene glycol-epoetin beta (CERA)		<u>1</u>	<u>A</u>	
Methscopolamine	<i>Pamine</i>	4	B	
Methsuximide	<i>Celontin</i>	4	B	
Methyclothiazide	<i>Enduron</i>	4	B	
Methyl-1-testosterone		3	A	
Methylatropine		3	B	
Methyldienolone		3	A	
Methyldopa	<i>Aldomet</i>	3	A	
Methylergonovine	<i>Methergine</i>	4	C	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Methylhexanamine (Methylhexaneamine)	<i>Geranamine</i>	1	A	
Methylnortestosterone (Trestolone)		3	A	
Methylphenidate	<i>Ritalin</i>	1	A	
Methylprednisolone	<i>Medrol</i>	4	C	
Methyltestosterone	<i>Metandren</i>	3	B	
Methyprylon	<i>Noludar</i>	2	A	
Methysergide	<i>Sansert</i>	4	B	
Metiamide		4	B	
Metoclopramide	<i>Reglan</i>	4	C	
Metocurine	<i>Metubine</i>	2	A	
Metolazone		3	B	
Metomidate	<i>Hypnodil</i>	2	A	
Metopon (methyldihydromorphinone)		1	A	
Metoprolol	<i>Lopressor</i>	3	B	
<u>Metribolone</u>		<u>3</u>	<u>A</u>	
Mexazolam	<i>Melex</i>	2	A	
Mexiletine	<i>Mexitil</i>	4	B	
Mibefradil	<i>Posicor</i>	3	B	
Mibolerone		3	B	
Midazolam	<i>Versed</i>	3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Midodrine	<i>Pro-Amiline</i>	3	B	
Milrinone		4	B	
Minoxidil	<i>Loniten</i>	3	B	
Mirtazepine	<i>Remeron</i>	2	A	
Misoprostol	<i>Cytotec</i>	5	D	
Mitragynine	<i>Kratom</i>	1	A	
Mivacurium	<i>Mivacron</i>	2	A	
Modafinil	<i>Provigil</i>	2	A	
Moexipril (metabolite, moexiprilat)	<i>Uniretic</i>	3	B	
Molindone	<i>Moban</i>	2	A	
Mometasone	<i>Elocon</i>	4	C	
Montelukast	<i>Singulair</i>	4	C	
Moperone	<i>Luvatren</i>	2	A	
Morphine		1	A6	If the Board, the board of stewards, the hearing officer, or the administrative law judge determines that the finding of morphine was unintentional and not based upon an attempt to affect the outcome of a race, the Stewards or Board may elect to assign a Class B penalty.
Mosaprimine		2	A	
Muscarine		3	A	
myo-inositoltrispyrophosphate (ITPP)		1	A	
<u>N-Butylscopolamine</u>		<u>4</u>	<u>C</u>	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Nabumetone	<i>Anthraxan, Relafen, Reliflex</i>	3	A	
Nadol	<i>Corgard</i>	3	B	
Naepaine	<i>Amylsine</i>	2	A	
Nalbuphine	<i>Nubain</i>	2	A	
Nalorphine	<i>Nalline,</i>	2	A	
Naloxone	<i>Narcan</i>	3	B	
Naltrexone	<i>Revia</i>	3	B	
<u>Nandrolone</u>	<i><u>Nandrolin, Laurabolin, Durabolin</u></i>	<u>3</u>	<u>B</u>	
Naphazoline	<i>Privine</i>	4	B	
Naproxen	<i>Equiproxen, Naprosyn</i>	4	C	
Naratriptan	<i>Amerge</i>	3	B	
Nebivolol		3	A	
Nedocromil	<i>Tilade</i>	5	D	
Nefazodone	<i>Serzone</i>	2	A	
Nefopam		3	A	
Neostigmine	<i>Prostigmine</i>	3	B	
Nicardipine	<i>Cardine</i>	4	B	
Nifedipine	<i>Procardia</i>	4	B	
Niflumic acid	<i>Nifluril</i>	3	B	
Nikethamide	<i>Coramine</i>	1	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Nimesulide		3	B	
Nimetazepam	<i>Erimin</i>	2	A	
Nimodipine	<i>Nemotop</i>	4	B	
Nitrazepam	<i>Mogadon</i>	2	A	
Nitroglycerin		2	B	
Nizatidine	<i>Axid</i>	5	D	
<u>Norandrosterone</u>		<u>3</u>	<u>B</u>	
Norbolethone/Norboletone		3	A	
Norclostebol		3	A	
Nordiazepam	<i>Calmday, Nordaz, etc.</i>	2	A	
Norepinephrine		2	A	
Norethandrolone		3	A	
Nortestosterone		3	B	
Nortriptyline	<i>Aventyl, Pamelor</i>	2	A	
Nylidrine	<i>Arlidin</i>	3	A	
Olanzapine	<i>Zyprexa</i>	2	A	
Olmesartan	<i>Benicar</i>	3	A	
Olsalazine	<i>Dipentum</i>	5	C	
Omeprazole	<i>Prilosec, Losec</i>	5	D	
Orphenadrine	<i>Norlfex</i>	4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>Ostarine</u>		<u>2</u>	<u>A</u>	
Oxabolone		3	A	
Oxandrolone	<i>Anavar</i>	3	B	
Oxaprozin	<i>Daypro, Deflam</i>	4	B	
Oxazepam	<i>Serax</i>	2	A	
Oxazolam	<i>Serenal</i>	2	A	
Oxcarbazepine	<i>Trileptal</i>	3	A	
Oxilofrine (hydroxyephedrine)		2	A	
Oxprenolol	<i>Trasicor</i>	3	A	
Oxycodone	<i>Percodan</i>	1	A	
Oxymesterone		3	A	
Oxymetazoline	<i>Afrin</i>	4	B	
Oxymetholone	<i>Adroyd, Anadrol</i>	3	B	
Oxymorphone	<i>Numorphan</i>	1	A	
Oxyperitine	<i>Forit, Integrin</i>	2	A	
Oxyphenbutazone	<i>Tandearil</i>	4	C	
Oxyphencyclimine	<i>Daricon</i>	4	B	
Oxyphenonium	<i>Antrenyl</i>	4	B	
Paliperidone		2	A	
Pancuronium	<i>Pavulon</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Pantoprazole	<i>Protonix</i>	5	D	
Papaverine	<i>Pavagen, etc.</i>	3	A	
Paraldehyde	<i>Paral</i>	2	A	
Paramethadione	<i>Paradione</i>	3	A	
Paramethasone	<i>Haldrone</i>	4	C	
Pargyline	<i>Eutonyl</i>	3	A	
Paroxetine	<i>Paxil, Seroxat</i>	2	A	
<u>Peginesatide</u>		<u>1</u>	<u>A</u>	
Pemoline	<i>Cylert</i>	1	A	
Penbutolol	<i>Levitol</i>	3	B	
Penfluridol	<i>Cyperon</i>	2	A	
Pentaerythritol tetranitrate	<i>Duotrate</i>	3	A	
Pentazocine	<i>Talwin</i>	3	B	
Pentobarbital	<i>Nembutal</i>	2	A	
Pentoxifylline	<i>Trental, Vazofirin</i>	4	D	
Pentylene tetrazol	<i>Metrazol, Nioric</i>	1	A	
Perazine	<i>Taxilan</i>	2	A	
Perfluorocarbons		2	A	
Perfluorodecahydronophthalene		2	A	
Perfluorodecolin		2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Perfluorooctylbromide		2	A	
Perfluorotripropylamine		2	A	
Pergolide	<i>Permax</i>	3	B	
Periciazine	<i>Alodept, etc.</i>	2	A	
Perindopril	<i>Biprel</i>	3	A	
Perlazine	<i>Hypnodin</i>	2	A	
Perphenazine	<i>Trilafon</i>	2	A	
Phenacemide	<i>Phenurone</i>	4	B	
Phenaglycodol	<i>Acalo, Alcamid, etc.</i>	2	A	
Phenazocine	<i>Narphen</i>	1	A	
Phencyclidine (PCP)	<i>Sernylan</i>	1	A	
Phendimetrazine	<i>Bontril, etc.</i>	1	A	
Phenelzine	<i>Nardelzine, Nardil</i>	2	A	
Phenindione	<i>Hedulim</i>	5	D	
Phenmetrazine	<i>Preludin</i>	1	A	
Phenobarbital	<i>Luminal</i>	2	A	
Phenoxybenzamine	<i>Dibenzyline</i>	3	B	
Phenprocoumon	<i>Liquamar</i>	5	D	
Phensuximide	<i>Milontin</i>	4	B	
Phentermine	<i>Iomamin</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Phentolamine	<i>Regitine</i>	3	B	
Phenylbutazone	<i>Butazolidin</i>	4	C*	
Phenylephrine	<i>Isophrin, Neo-Synephrine</i>	3	B	
Phenylpropanolamine	<i>Propadrine</i>	3	B	
Phenytoin	<i>Dilantin</i>	4	B	
Physostigmine	<i>Eserine</i>	3	A	
Picrotoxin		1	A	
Piminodine	<i>Alvodine, Cimadon</i>	2	A	
Pimobendan		2	B	
Pimozide	<i>Orap</i>	2	A	
Pinazepam	<i>Domar</i>	2	A	
Pindolol	<i>Viskin</i>	3	B	
Pipamperone	<i>Dipiperon</i>	2	A	
Pipecuronium	<i>Arduan</i>	2	A	
Pipequaline		2	A	
Piperacetazine	<i>Psymod, Quide</i>	2	A	
Piperocaine	<i>Metycaine</i>	2	A	
Pipotiazine	<i>Lonseren, Piportil</i>	2	A	
Pipradrol	<i>Dataril, Gerondyl, etc.</i>	2	A	
Piquindone		2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Pirbuterol	<i>Maxair</i>	3	B	
Pirenzepine	<i>Gastrozepin</i>	5	C	
Piretanide	<i>Arelix, Tauliz</i>	3	B	
Piritramide		1	A	
Piroxicam	<i>Feldene</i>	4	B	
<u>Plasma expanders (e.g. Bycerol; intravenous administration of albumin, dextran, hydroxyethyl starch and mannitol)</u>		<u>3</u>	<u>A</u>	
Polyethylene glycol		5	D	
Polythiazide	<i>Renese</i>	4	B	
Pramoxine	<i>Tronothaine</i>	4	C	
<u>Prasterone (dehydroepiandrosterone, DHEA, 3β-hydroxyandrost-5-en17-one)</u>		<u>3</u>	<u>B</u>	
Prazepam	<i>Verstran, Centrax</i>	2	A	
Prazosin	<i>Minipress</i>	3	B	
Prednisolone	<i>Delta-Cortef, etc.</i>	4	C	
Prednisone	<i>Meticorten, etc.</i>	4	C	
Prilocaine	<i>Citanest</i>	2	B	
Primidone	<i>Mysoline</i>	3	B	
Probenecid		4	C	
Procainamide	<i>Pronestyl</i>	4	B	
Procaine		3	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Procaterol	<i>Pro Air</i>	3	A	
Prochlorperazine	<i>Darbazine, Compazine</i>	2	A	
Procyclidine	<i>Kemadrin</i>	3	B	
Promazine	<i>Sparine</i>	3	B	
Promethazine	<i>Phenergan</i>	3	B	
Propafenone	<i>Rythmol</i>	4	B	
Propanidid		2	A	
Propantheline	<i>Pro-Banthine</i>	3	B	
Proparacaine	<i>Ophthaine</i>	4	C	
Propentophylline	<i>Karsivan</i>	3	B	
Propiomazine	<i>Largon</i>	2	A	
Propionylpromazine	<i>Tranvet</i>	2	A	
Propiram		2	A	
Propofol	<i>Diprivan, Disoprivan</i>	2	A	
Propoxycaine	<i>Ravocaine</i>	2	A	
Propranolol	<i>Inderal</i>	3	B	
Propylhexedrine	<i>Benzedrex</i>	4	B	
Prostanazol		3	A	
Prothipendyl	<i>Dominal</i>	2	A	
Protokylol	<i>Ventaire</i>	3	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Protriptyline	<i>Concordin, Triptil</i>	2	A	
Proxibarbital	<i>Axeen, Centralgol</i>	2	A	
Pseudoephedrine	<i>Cenafed, Novafed</i>	3	B	
Pyridostigmine	<i>Mestinon, Regonol</i>	3	B	
Pyrilamine	<i>Neoantergan, Equihist</i>	3	B	
Pyrithyldione	<i>Hybersulfan, Sonodor</i>	2	A	
Quazipam	<i>Doral</i>	2	A	
Quetiapine	<i>Seroquel</i>	2	A	
Quinapril, Quinaprilat	<i>Accupril</i>	3	A	
Quinbolone		3	A	
Quinidine	<i>Quinidex, Quinicardine</i>	4	B	
Rabeprazole	<i>Aciphex</i>	5	D	
Racemethorphan		2	A	
Racemorphan		2	A	
Raclopride		2	A	
Ractopamine	<i>Paylean</i>	2	A	If the Board, the board of stewards, the hearing officer, or the administrative law judge determines that the finding of ractopamine was unintentional and not based upon an attempt to affect the outcome of a race, they may elect to assign a Class B penalty.
<u>Raloxifene</u>		<u>3</u>	<u>B</u>	
Ramipril, metabolite Ramiprilat	<i>Altace</i>	3	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Ranitidine	<i>Zantac</i>	5	D	
Remifentanil	<i>Ultiva</i>	1	A	
Remoxipride	<i>Roxiam</i>	2	A	
Reserpine	<i>Serpasil</i>	2	B	
Rilmazafone		2	A	
Risperidone		2	A	
Ritanserlin		2	A	
Ritodrine	<i>Yutopar</i>	3	B	
Rivastigmine	<i>Exelon</i>	2	A	
Rizatriptan	<i>Maxalt</i>	3	B	
Rocuronium	<i>Zemuron</i>	2	A	
Rofecoxib	<i>Vioxx</i>	2	B	
Romifidine	<i>Sedivet</i>	3	B	
Ropivacaine	<i>Naropin</i>	2	A	
Roxadustat (FG-4592)		<u>1</u>	<u>A</u>	
Salicylamide		4	C	
Salicylate		4	C	
Salmeterol		3	B	
Scopolamine (Hyoscine)	<i>Triptone</i>	4	C	
Secobarbital (Quinalbarbitone)	<i>Seconal</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
<u>Selective Androgen Receptor Modulators (SARMs)</u>		<u>2</u>	<u>A</u>	
Selegiline	<i>Eldepryl, Jumex, etc.</i>	2	A	
Sertraline	<i>Lustral, Zoloft</i>	2	A	
Sibutramine	<i>Meridia</i>	3	B	
Sildenafil	<i>Viagra</i>	3	A	
<u>Snake Venoms</u>		<u>1</u>	<u>A</u>	
Somatrem	<i>Protropin</i>	2	A	
Somatropin	<i>Nutropin</i>	2	A	
Sotalol	<i>Betapace, Sotacor</i>	3	B	
Spiclomazine		2	A	
Spiperone		2	A	
Spirapril, metabolite Spiraprilat	<i>Renomax</i>	3	A	
Spironalactone	<i>Aldactone</i>	4	B	
<u>Spiroinolactone</u>	<i>Diuretic</i>	<u>3</u>	<u>C</u>	
Stanozolol	<i>Winstrol-V</i>	3	B	
Stenbolone		3	A	
Strychnine		1	A	
Succinylcholine	<i>Sucostrin, Quelin, etc.</i>	2	A	
Sufentanil	<i>Sufenta</i>	1	A	
Sulfasalazine	<i>Azulfidine, Azaline</i>	4	C	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Sulfondiethylmethane		2	A	
Sulfonmethane		2	A	
Sulforidazine	<i>Inofal</i>	2	A	
Sulindac	<i>Clinoril</i>	3	B	
Sulpiride	<i>Aiglonyl, Sulpitol</i>	2	A	
Sultopride	<i>Barnetil</i>	2	A	
Sumatriptan	<i>Imitrex</i>	3	B	
Synthetic cannabis	<i>Spice, K2, Kronic</i>	1	A	
Tadalafil	<i>Cialis</i>	3	A	
Talbutal	<i>Lotusate</i>	2	A	
<u>Tamoxifen</u>		<u>3</u>	<u>B</u>	
Tandospirone		2	A	
TCO2		3	B	
Telmisartin	<i>Micardis</i>	3	B	
Temazepam	<i>Restoril</i>	2	A	
Tenoxicam	<i>Alganex, etc.</i>	3	B	
Tepoxalin		3	B	
Terazosin	<i>Hytrin</i>	3	A	
Terbutaline	<i>Brethine, Bricanyl</i>	3	B	
Terfenadine	<i>Seldane, Triludan</i>	4	C	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Testolactone	<i>Teslac</i>	3	B	
<u>Testolone</u>		<u>2</u>	<u>A</u>	
Testosterone		3	B	
Tetrabenazine	<i>Nitoman</i>	2	A	
Tetracaine	<i>Pontocaine</i>	2	A	
Tetrahydrogestrinone		3	A	
Tetrahydrozoline	<i>Tyzine</i>	4	B	
Tetrazepam	<i>Musaril, Myolastin</i>	2	A	
<u>THC (tetrahydrocannabinol)²</u>	<u>Drug of human abuse</u>	<u>1</u>	<u>A</u>	
Thebaine		2	A	
Theobromine		4	B	
Theophylline	<i>Aqualphyllin, etc.</i>	3	B	
Thialbarbital	<i>Kemithal</i>	2	A	
Thiamylal	<i>Surital</i>	2	A	
Thiethylperazine	<i>Torecan</i>	2	A	
Thiopental	<i>Pentothal</i>	2	A	
Thiopropazate	<i>Dartal</i>	2	A	
Thiopropazine	<i>Majeptil</i>	2	A	
Thioridazine	<i>Mellaril</i>	2	A	
Thiosalicylate		4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Thiothixene	<i>Navane</i>	2	A	
Thiphenamil	<i>Trocinate</i>	4	B	
<u>Thyroxine and thyroid modulators/hormones, including but not limited to those containing T4 (tetraiodothyronine/thyroxine), T3 (triiodothyronine), or combinations thereof.</u>	<i>Levothyroxine</i>	<u>3</u>	<u>C</u>	
Tiapride	<i>Italprid, Luxoben, etc.</i>	2	A	
Tiaprofenic acid	<i>Surgam</i>	3	B	
<u>Tibolone</u>		<u>3</u>	<u>A</u>	
<u>Tildronate Sodium</u>		<u>3</u>	<u>A</u>	
Tiletamine	<i>Component of Telazol</i>	2	A	
Timiperone	<i>Tolopelon</i>	2	A	
Timolol	<i>Blocardrin</i>	3	B	
Tocainide	<i>Tonocard</i>	4	B	
Tofisopam	<i>Grandaxain, Seriel</i>	2	A	
Tolazoline	<i>Priscoline</i>	3	B	
Tolfenamic Acid		4	B	
Tolmetin	<i>Tolectin</i>	3	B	
Topirimate	<i>Topamax</i>	2	A	
<u>Toremifene</u>		<u>3</u>	<u>B</u>	
Torseamide (Torasemide)	<i>Demadex</i>	3	A	
Tramadol	<i>Ultram</i>	2	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Trandolapril (and metabolite, trandolaprilat)	<i>Tarka</i>	3	B	
Tranexamic acid		4	C	
Tranylcypromine	<i>Parnate</i>	2	A	
Trazodone	<i>Desyrel</i>	2	A	
Trenbolone	<i>Finoplix</i>	3	B	
Tretoquinol	<i>Inolin</i>	2	A	
Triamcinolone	<i>Vetalog, etc.</i>	4	C	
Triamterene	<i>Dyrenium</i>	4	B	
Triazolam	<i>Halcion</i>	2	A	
Tribromethanol		2	A	
Tricaine methanesulfonate	<i>Finquel</i>	2	A	
Trichlormethiazide	<i>Naqua, Naquasone</i>	4	C	
Trichloroethanol		2	A	
Trichloroethylene	<i>Trilene, Trimar</i>	2	A	
Triclofos	<i>Triclos</i>	2	A	
Tridihexethyl	<i>Pathilon</i>	4	B	
Trifluomeprazine	<i>Nortran</i>	2	A	
Trifluoperazine	<i>Stelazine</i>	2	A	
Trifluoperidol	<i>Triperidol</i>	2	A	
Triflupromazine	<i>Vetame, Vesprin</i>	2	A	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Trihexylphenidyl	<i>Artane</i>	3	A	
Trimeprazine	<i>Temaril</i>	4	B	
<u>Trimetazidine</u>		<u>3</u>	<u>B</u>	
Trimethadione	<i>Tridione</i>	3	B	
Trimethaphan	<i>Arfonad</i>	3	A	
Trimipramine	<i>Surmontil</i>	2	A	
Tripelennamine	<i>PBZ</i>	3	B	
Tripolidine	<i>Actidil</i>	3	B	
Tubocurarine (Curare)	<i>Metubin</i>	2	A	
Tybamate	<i>Benvil, Nospan, etc.</i>	2	A	
Urethane		2	A	
Valdecoxib		2	B	
Valerenic acid		3	A	
Valnoctamide	<i>Nirvanyl</i>	2	A	
Valsartan	<i>Diovan</i>	3	B	
Vardenafil	<i>Levitra</i>	3	A	
Vedaprofen		4	B	
Venlafaxine	<i>Efflexor</i>	2	A	
Veralipride	<i>Accional, Veralipril</i>	2	A	
Verapamil	<i>Calan, Isoptin</i>	4	B	

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation
Vercuronium	<i>Norcuron</i>	2	A	
Viloxazine	<i>Catatrol, Vivalan, etc.</i>	2	A	
Vinbarbital	<i>Delvinol</i>	2	A	
Vinylbital	<i>Optanox, Speda</i>	2	A	
Warfarin	<i>Coumadin, Coufarin</i>	5	D	
Xylazine	<i>Rompun, Bay Va 1470</i>	3	B	
Xylometazoline	<i>Otrivin</i>	4	B	
Yohimbine		2	B	
Zafirlukast	<i>Accolate</i>	4	C	
Zaleplon	<i>Sonata</i>	2	A	
Zeranol	<i>Ralgro</i>	4	C	
Ziconotide		1	A	
Zileuton	<i>Zyflo</i>	4	C	
Zilpaterol hydrochloride	<i>Zilpaterol</i>	2	A	If the Board, the board of stewards, the hearing officer, or the administrative law judge determines that the finding of Zilpaterol was unintentional and not based upon an attempt to affect the outcome of a race, they may elect to assign a Class B penalty.
Ziprasidone	<i>Geoden</i>	2	A	
Zolazepam		2	A	
Zolmitriptan	<i>Zomig</i>	3	B	
Drug/Substance	Trade Name(s)	Drug	Penalty	Special Notation

CHRB CLASSIFICATION OF FOREIGN SUBSTANCES

		Class	Class	
Zolpidem	<i>Ambien, Stilnox</i>	2	A	
Zomepirac	<i>Zomax</i>	2	B	
Zonisamide	<i>Zonegran</i>	3	B	
Zopiclone	<i>Imovan</i>	2	A	
Zotepine	<i>Lodopin</i>	2	A	
Zuclopenthixol	<i>Ciatyl, Cesordinol</i>	2	A	



**DRUG TESTING STANDARDS AND
PRACTICES PROGRAM.**

**Uniform Classification Guidelines for Foreign Substances
And Recommended Penalties Model Rule.**
January, 2020 (V.14.1)

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Preamble to the Uniform Classification Guidelines of Foreign Substances

The Preamble to the Uniform Classification Guidelines was approved by the RCI Drug Testing and Quality Assurance Program Committee (now the Drug Testing Standards and Practices Program Committee) on August 26, 1991. Minor revisions to the Preamble were made by the Drug Classification subcommittee (now the Veterinary Pharmacologists Subcommittee) on September 3, 1991.

"The Uniform Classification Guidelines printed on the following pages are intended to assist stewards, hearing officers and racing commissioners in evaluating the seriousness of alleged violations of medication and prohibited substance rules in racing jurisdictions. Practicing equine veterinarians, state veterinarians, and equine pharmacologists are available and should be consulted to explain the pharmacological effects of the drugs listed in each class prior to any decisions with respect to penalties to be imposed. The ranking of drugs is based on their pharmacology, their ability to influence the outcome of a race, whether or not they have legitimate therapeutic uses in the racing horse, or other evidence that they may be used improperly. These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses. The facts of each case are always different and there may be mitigating circumstances which should always be considered. These drug classifications will be reviewed frequently and new drugs will be added when appropriate."

Notes Regarding Classification Guidelines

- Where the use of a drug is specifically permitted by a jurisdiction, then the jurisdiction's rule supersedes these penalty guidelines.
- Regulators should be aware that a laboratory report may identify a drug only by the name of its metabolite. The metabolite might not be listed here, but the parent compound may be.
- These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses.
- The facts of each case are different and there may be mitigating circumstances that should be considered.
- These drug classifications will be reviewed periodically. New drugs will be added or some drugs may be reclassified when appropriate.
- Racing Commissioners International (RCI) and/or the Racing Medication and Testing Consortium (RMTC) should be consulted for found substances or drugs not included in these guidelines and treated as Class 1 violations warranting a Class A penalty unless otherwise advised.

Classification Criteria

The RCI Drug Classification Scheme is based on 1) pharmacology, 2) drug use patterns, and 3) the appropriateness of a drug for use in the racing horse. Categorization is decided using the following general guidelines:

- **Pharmacology.** Drugs that are known to be potent stimulants or depressants are placed in higher classes, while those that have (or would be expected to have) little effect on the outcome of a race are placed in lower classes.
- **Drug Use Patterns.** Some consideration is given to placement of drugs based on practical experience with their use and the nature of positive tests. For example, procaine positives have in the past been associated primarily with the administration of procaine penicillin, and this has been taken into consideration in the placement of procaine into Class 3 instead of Class 2 with other injectable local anesthetics.
- **Appropriateness of Drug Use.** Drugs that clearly are intended for use in equine therapeutics are placed in lower classes. Drugs that clearly are not intended for use in the horse are placed in higher classes, particularly if they might affect the outcome of a race. Drugs that are recognized as legitimately useful in equine therapeutics but could affect the outcome of a race are placed in the middle or higher classes.

The list includes most drugs that have been reported as detected by racing authority laboratories in the United States, Canada, the United Kingdom and other Association of Official Racing Chemists (AORC) laboratories, but does not include those which would seem to have no effect on the performance of the horse or drug detectability. For example, it does not include antibiotics, sulfonamides, vitamins, anthelmintics, or pangamic acid, all of which have been reported.

The list contains many drugs that have never been reported as detected. Usually, these are representatives of chemical classes that have the potential for producing an effect, and in many cases, for which at least one drug in that chemical class has been reported.

Most drugs have numerous effects, and each was judged on an individual basis. There are instances where there is a rather fine distinction between drugs in one category and those in the next. This is a reflection of a nearly continuous spectrum of effects from the most innocuous drug on the list to the drug that is the most offensive.

Classification Definitions

- **Class 1:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylentetrazol. Though not used as therapeutic agents, all DEA Schedule 1 agents are included in Class 1 because they are potent stimulant or depressant substances with psychotropic and often habituating actions. This class also includes all erythropoietin stimulating substances and their analogues.
- **Class 2:** Drugs that have a high potential to affect performance, but less of a potential than drugs in Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse. Drugs in this class include: psychotropic drugs, certain nervous system and cardiovascular system stimulants, depressants, and neuromuscular blocking agents. Injectable local anesthetics are included in this class because of their high potential for abuse as nerve blocking agents.
- **Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.
- **Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3. Drugs in this class include less potent diuretics; corticosteroids; antihistamines and skeletal muscle relaxants without prominent central nervous system (CNS) effects; expectorants and mucolytics; hemostatics; cardiac glycosides and anti-arrhythmics; topical anesthetics; antidiarrheals and mild analgesics. This class also includes the non-steroidal anti-inflammatory drugs (NSAIDs), at concentrations greater than established limits.
- **Class 5:** This class includes those therapeutic medications that have very localized actions only, such as anti-ulcer drugs, and certain anti-allergic drugs. The anticoagulant drugs are also included.

- **Prohibited Practices:**

- A) The possession and/or use of a drug, substance or medication, specified below, on the premises of a facility under the jurisdiction of the regulatory body for which a recognized analytical method has not been developed to detect and confirm the administration of such substance; or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider or driver; or the use of which may adversely affect the integrity of racing:
 - 1) Erythropoietin
 - 2) Darbepoetin
 - 3) Oxyglobin
 - 4) Hemopure
- B) The possession and/or use of a drug, substance, or medication on the premises of a facility under the jurisdiction of the regulatory body that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.
- C) The practice, administration, or application of a treatment, procedure, therapy or method identified below, which is performed on the premises of a facility under jurisdiction of a regulatory body and which may endanger the health and welfare of the horse or endanger the safety of the rider or driver, or the use of which may adversely affect the integrity of racing:

Drug Classification Scheme

- **Class 1:** Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines, and all DEA Schedule I substances (see <http://www.deadiversion.usdoj.gov/schedules/#list>), and many DEA Schedule II drugs. Also found in this class are drugs that are potent stimulants of the CNS. Drugs in this class have no generally accepted medical use in the racing horse and their pharmacologic potential for altering the performance of a racing horse is very high. This class also includes all erythropoietin stimulating substances and their analogues.

- **Class 2:** Drugs placed in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the racing horse. Many are products intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some, such as injectable local anesthetics, have legitimate use in equine medicine, but should not be found in a racing horse. The following groups of drugs are placed in this class:
 - A. Opiate partial agonists, or agonist-antagonists.
 - B. Non-opiate psychotropic drugs. These drugs may have stimulant, depressant, analgesic or neuroleptic effects.
 - C. Miscellaneous drugs, which might have a stimulant effect on the CNS.
 - D. Drugs with prominent CNS depressant action.
 - E. Anti-depressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects.
 - F. Muscle blocking drugs - those that have a direct neuromuscular blocking action.
 - G. Local anesthetics that have a reasonable potential for use as nerve-blocking agents (except procaine).
 - H. Snake venoms and other biologic substances that may be used as nerve-blocking agents.

- **Class 3:** Drugs placed in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a racing horse. The following groups of drugs are placed in this class:
 - A. Drugs affecting the autonomic nervous system that do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects. Bronchodilators are included in this class.
 - B. A local anesthetic that has nerve-blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine).
 - C. Miscellaneous drugs with mild sedative action, such as the sleep-inducing antihistamines.
 - D. Primary vasodilating/hypotensive agents.
 - E. Potent diuretics affecting renal function and body fluid composition.
 - F. Anabolic and/or androgenic steroids and other drugs.

- **Class 4:** Drugs in this category comprise primarily therapeutic medications routinely used in racehorses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:
 - A. Non-opiate drugs that have a mild central antipyretic effect.
 - B. Drugs affecting the autonomic nervous system that do not have prominent CNS, cardiovascular, or respiratory effects:
 1. Drugs used solely as topical vasoconstrictors or decongestants.
 2. Drugs used as gastrointestinal antispasmodics.
 3. Drugs used to void the urinary bladder.
 4. Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
 - C. Antihistamines that do not have a significant CNS depressant effect. This does not include the H2 blocking agents, which are in Class 5.
 - D. Mineralocorticoid drugs.
 - E. Skeletal muscle relaxants.
 - F. Anti-inflammatory drugs. These drugs may reduce pain as a consequence of their anti-inflammatory action.
 1. Non-steroidal anti-inflammatory drugs (NSAIDs). (Aspirin-like drugs).
 2. Corticosteroids (glucocorticoids).
 3. Miscellaneous anti-inflammatory agents.
 - G. Less potent diuretics.
 - H. Cardiac glycosides and antiarrhythmic agents.
 1. Cardiac glycosides.
 2. Antiarrhythmic agents (exclusive of lidocaine, bretylium, and propranolol).
 3. Miscellaneous cardiotoxic drugs.
 - I. Topical Anesthetics - agents not available in injectable formulations.
 - J. Antidiarrheal drugs.
 - K. Miscellaneous drugs:
 1. Expectorants with little or no other pharmacologic action.
 2. Stomachics.
 3. Mucolytic agents.
- **Class 5:** Drugs in this category are therapeutic medications that have very localized actions only, such as anti-ulcer drugs, and certain antiallergic drugs. The anticoagulant drugs are also included.

Δ-1-androstene-3, 17-diol		3	A		
Δ-1-androstene-3, 17-dione					
Δ-1-dihydrotestosterone		3	A		
1-androstenediol (5α-androst-1-ene-3β, 17β-diol)		3	B	Steroid - endogenous weak androgen steroid hormone and intermediate in the biosynthesis of testosterone from dehydroepiandrosterone (DHEA) and of estrone.	Endogenous AAS
1-androstenedione (5α-androst-1-ene-3, 17-dione)		3	B	Steroid - endogenous weak androgen steroid hormone and intermediate in the biosynthesis of testosterone from dehydroepiandrosterone (DHEA) and of estrone.	Endogenous AAS
1-testosterone (17β-hydroxy-5α-androst-1-en-3-one)		3	A	Steroid - chemically related to anabolic steroids.	AAS lacking FDA approval
19-Norandrostenediol		3	B		
19-Norandrostenedione		3	B		
19-noretiocholanolone.		3	B	Nandrolone Link - a metabolite of nandrolone (19-nortestosterone) and bolandione (19-norandrostenedione).	Metabolite of a B substance
2-Aminoheptane	<i>Tuamine</i>	4	B		
3-Methoxytyramine	<i>3-MT</i>	2	A		
3,4-methylenedioxypropylamphetamine	<i>MDPV, "bath salts"</i>	1	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
<i>4-androstene-3,6,17-trione (6-oxo)</i>		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Aromatase inhibitors.	Testolactone has B classification
<i>4-androstenediol (androst-4-ene-3β,17β-diol)</i>		3	B	Testosterone Link - androstenediol that is converted to testosterone.	Metabolized to a B substance
4-Hydroxytestosterone		3	B		
<i>5-androstenedione (androst-5-ene-3,17-dione)</i>		3	B	Testosterone Link - prohormone of testosterone.	Metabolized to a B substance
<i>5α-androstane-3α,17α-diol</i>		3	B	Testosterone Link - testosterone metabolite.	Metabolite of a B substance
<i>5α-androstane-3α,17β-diol</i>		3	B	Testosterone Link - testosterone metabolite.	Metabolite of a B substance
<i>5α-androstane-3β,17α-diol</i>		3	B	Testosterone Link - testosterone metabolite.	Metabolite of a B substance
<i>5α-androstane-3β,17β-diol</i>		3	B	Testosterone Link - testosterone metabolite.	Metabolite of a B substance
<i>5β-androstane-3α,17β-diol, androst-4-ene-3α,17α-diol</i>		3	B	Testosterone Link - androstenediol that is converted to testosterone.	Metabolized to a B substance
<i>7-keto-dhea;19-</i>		3	B	DHEA Link - a steroid produced by metabolism of the prohormone dehydroepiandrosterone (DHEA).	Metabolite of a B substance
<i>7α-hydroxy-dhea</i>		3	B	DHEA Link - naturally occurring steroid and a major metabolite of dehydroepiandrosterone (DHEA).	Metabolite of a B substance
<i>7β-hydroxy-dhea</i>		3	B	DHEA Link - naturally occurring steroid and a major metabolite of dehydroepiandrosterone (DHEA).	Metabolite of a B substance
a-Cobratoxin		1	A		
Acebutolol	<i>Sectral</i>	3	B		

Accecarbromal		2	A		
Acenocoumarol					
Acepromazine	<i>Atrovet, Notensil, PromAce®</i>	3	B		
Acetaminophen (Paracetamol)					
Acetanilid		4	B		
Acetazolamide					
Acetophenazine	<i>Tindal</i>	2	A		
Acetophenetidin (Phenacetin)					
Acetylcysteine		4	C		
Acetylsalicylic acid (Aspirin)		4	C		
<i>Activators of the AMP-activated protein kinase (AMPK) - E.g., AICAR, and Peroxisome Proliferator Activated Receptor δ (pparδ) agonists (e.g., GW 1516).</i>					
Adinazolam		2	A		
Adrenochrome monosemicarbazone salicylate					
Albuterol (Salbutamol)	<i>Proventil, Ventolin</i>	3	B	NOTE: "A" penalty for quarter horse races.	
Alclofenac		2	B		
Alclometasone	<i>Aclovate</i>	4	C		
Alcuronium	<i>Alloferin</i>	2	A		
Aldosterone	<i>Aldocortin, Electro cortin</i>	4	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Alfentanil	<i>Alfenta</i>	1	A		
Almotriptan	<i>Axert</i>	3	A		
Alphaprodine	<i>Nisentil</i>	2	A		
Alpidem	<i>Anaxyl</i>	2	A		
Alprazolam	<i>Xanax</i>	2	A		
Alprenolol		2	A		
Althesin	<i>Saffan</i>	2	A		
Altrenogest	<i>Regumate</i>	4	C	*Classification for geldings, colts, adult intact males, spayed females only.	
Ambenonium	<i>Mytelase, Myeuran</i>	3	B		
Ambroxol	<i>Ambiril, etc.</i>	4	B		
Amcinonide	<i>Cyclocort</i>	4	C		
Amiloride	<i>Moduretic; Midamor</i>	4	B		
Aminocaproic acid	<i>Amicar, Caprocid</i>	4	C		
Aminoglutethimide		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines.	Testolactone has B classification
Aminophylline	<i>Aminophyllin, etc.</i>	3	B		
Aminopyrine		4	B		
Aminorex	<i>Aminoxafen, Aminoxaphen, Apiquel, McN-742, Menocil</i>	1	A		
Amiodarone		4	B		
Amisometradine	<i>Rolictron</i>	4	B		
Amisulpride	<i>Solian</i>	2	A		
Amitraz	<i>Mitaban</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Amitriptyline	<i>Elavil, Amitril, Endep</i>	2	A		
Amlodipine	<i>Ammivin, Norvasc</i>	3	B		
Amobarbital	<i>Amytal</i>	2	A		
Amoxapine	<i>Asendin</i>	2	A		
Amperozide		2	A		
Amphetamine		1	A		
Amrinone		4	B		
Amyl nitrite		2	A		
Anastrozole		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Aromatase inhibitors.	Testolactone has B classification
Andarine		2	A		SARM
Androst-4-ene-3α,17β-diol		3	B	Testosterone Link - an androstenediol that is converted to testosterone.	Metabolized to a B substance
Androst-4-ene-3β,17α-diol		3	B	Testosterone Link - an androstenediol that is converted to testosterone.	Metabolized to a B substance
Androst-5-ene-3α,17α-diol		3	B	Testosterone Link - androstenediol that is converted to testosterone.	Metabolized to a B substance
Androst-5-ene-3α,17β-diol		3	B	Testosterone Link - prohormone of testosterone.	Metabolized to a B substance
Androst-5-ene-3β,17α-diol		3	B	Testosterone Link - prohormone of testosterone.	Metabolized to a B substance
Androsta-1,4,6-triene-3,17-dione (androstatienedione)		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Aromatase inhibitors.	Testolactone has B classification

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Androstenediol (androst-5-ene-3β, 17β-diol)		3	B	Steroid: weak androgen and estrogen steroid hormone and intermediate in the biosynthesis of testosterone from dehydropiandrosterone (DHEA)	Metabolite of a B substance
Androstenedione (androst-4-ene-3, 17-dione)		3	B	Steroid: endogenous weak androgen steroid hormone and intermediate in the biosynthesis of testosterone from dehydroepiandrosterone (DHEA) and of estrone.	Endogenous AAS
<i>Androsterone (3 β-hydroxy-5 α-androstan-17-one)</i>		3	B	Testosterone Link - a metabolite of testosterone and dihydrotestosterone (DHT).	Metabolite of a B substance
Anileridine	<i>Leritine</i>	1	A		
Anilopam	<i>Anisine</i>	2	A		
Anisindione		5	D		
Anisotropine	<i>Valpin</i>	4	B		
Antipyrine		4	B		
Apazone (Azapropazone)	<i>Rheumox</i>	4	B		
Apomorphine		1	A		
Aprindine		4	B		
Aprobarbital	<i>Alurate</i>	2	A		
<i>ARA-290</i>		1	A	Erythropoietin Link - a nonerythropoietic peptide engineered from erythropoietin.	Blood doping agent
Arecoline		3	A		
Arformoterol		3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
<i>Aromatase inhibitors listed:</i>					
Articaine	<i>Septocaine; Ultracaine,</i>	2	B		
Asialo EPO		1	A	Erythropoietin Link - desialylated form of human glycoprotein hormone erythropoietin (EPO), which has been reported to be neuro-, cardio-, and renoprotective in animal models of organ injuries.	Blood doping agent
Atenolol	<i>Tenormin</i>	3	B		
Atipamazole		2	B		
Atomoxetine	<i>Strattera</i>	2	A		
Atracurium	<i>Tracrium</i>	2	A		
Atropine		3	B		
Azacylonol	<i>Frenque</i>	2	A		
Azaperone	<i>Stresnil, Suicalm, Fentaz (with Fentanyl)</i>	2	A		
Baclofen	<i>Lioresal</i>	4	B		
Barbital	<i>Veronal</i>	2	A		
Barbiturates		2	A		
Beclomethasone	<i>Propaderm</i>	4	C		
Bemegride	<i>Megimide, Mikedimide</i>	2	A		
Benazepril	<i>Lotrel, Lotensin</i>	3	A		
Bendroflumethiazide	<i>Naturetin</i>	4	B		
Benoxaprofen		2	B		
Benoxinate	<i>Dorsacaine</i>	4	C		

Benperidol					
Bentazepam	<i>Tiadipona</i>	2	A		
Benzactizine					
Benzocaine		4	B		
Benzoctamine					
Benzodiazepines		2	A		
Benzonatate					
Benzphetamine	<i>Didrex</i>	2	A		
Benzthiazide					
Benztropine	<i>Cogentin</i>	2	A		
Benzylpiperazine (BZP)					
Bepriidil	<i>Bepadin</i>	4	B		
Betamethasone					
Betaxolol	<i>Kerlone</i>	3	B		
Bethanechol					
Bethanidine	<i>Esbatal</i>	3	A		
Biperiden					
Biriperone		2	A		
Bisoprolol					
Bisphosphonates (any)		3	A		
Bitolterol	<i>Effectin</i>	3	A		
Bolandiol (estr-4-ene-3β, 17β-diol)		3	A	Steroid	AAS lacking FDA approval

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Bolasterone		3	A		
Boldenone	<i>Equipose</i>	3	B		
Boldione		3	A		
Botulinum toxin		2	A		
Bretylium	<i>Bretylol</i>	3	B		
Brimonidine	<i>Alphagan</i>	2	A		
Bromazepam	<i>Lexotan, Lectopam</i>	2	A		
Bromfenac	<i>Duract</i>	3	A		
Bromhexine	<i>Oletor, etc.</i>	4	B		
Bromisovalum	<i>Diffucord, etc.</i>	2	A		
Bromocriptine	<i>Parlodel</i>	2	A		
Bromodiphenhydramine		3	B		
Bromperidol	<i>Bromidol</i>	2	A		
Brompheniramine	<i>Dimetane, Disomer</i>	3	B		
Brotizolam	<i>Brotocol</i>	2	A		
Budesonide	<i>Pulmacort, Rhinocort</i>	4	C		
Bufexamac		3	A		
Bumetanide	<i>Bumex</i>	3	B		
Bupivacaine	<i>Marcaine</i>	2	A		
Buprenorphine	<i>Temgesic</i>	2	A		
Bupropion	<i>Wellbutrin</i>	2	A		
Buspirone	<i>Buspar</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Butabarbital (Secbutobarbitone)	<i>Butacaps, Butasol, etc.</i>	2	A		
Butacaine	<i>Butyn</i>	2	A		
Butalbital (Talbutal)	<i>Fiorinal</i>	2	A		
Butamben (butyl aminobenzoate)	<i>Butesin</i>	4	C		
Butanilicaine	<i>Hostacain</i>	2	A		
Butaperazine	<i>Repoise</i>	2	A		
Butoctamide	<i>Listomin</i>	2	A		
Butorphanol	<i>Stadol, Torbugesic</i>	3	B		
Butoxycaine	<i>Stadacain</i>	4	B		
Caffeine		2	B		
Calusterone	<i>Methosorb</i>	3	A		
Camazepam	<i>Paxor</i>	2	A		
Camphor		4	C		
Candesartan	<i>Atcand</i>	3	B		
Cannabidiol (CBD) ¹	Anti-epileptic, analgesic	2	B		
Canrenone		4	C	Metabololite of a C substance - steroidal antimineralocorticoid, active metabolite of spironolactone (a diuretic).	
Capsaicin		2	B		
Captodiame	<i>Covatine</i>	2	A		
Captopril	<i>Capolen</i>	3	B		
Carazolol	<i>Carbacel, Conductor</i>	3	A		
Carbachol	<i>Lentin, Doryl</i>	3	B		
Carbamezapine	<i>Tegretol</i>	3	B		

Carbamylated EPO					
Carbazochrome		4	B		
Carbidopa + levodopa					
Carbinoxamine	<i>Clistin</i>	3	B		
Carbromol					
Cardarine (GW-501516)		2	A	No legit use in the racehorse. Lacks FDA approval	
Carfentanil					
Carisoprodol	<i>Rela, Soma</i>	2	B		
Carphenazine					
Carpipramine	<i>Prazinil</i>	2	A		
Carprofen					
Carteolol	<i>Cartrol</i>	3	B		
Carticaine (see artiacaine)					
Carvedilol	<i>Coreg</i>	3	B		
Cathinone					
Celecoxib	<i>Celebrex</i>	3	B		
Cetirizine					
Chloral betaine	<i>Beta-Chlor</i>	2	A		
Chloral hydrate					
Chloraldehyde (chloral)		2	A		
Chloralose (Alpha-Chloralose)					

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Chlordiazepoxide	<i>Librium</i>	2	A		
Chlorhexidol		2	A		
Chlormerodrin	<i>Neohydrin</i>	4	B		
Chlormezanone	<i>Trancopal</i>	2	A		
Chloroform		2	A		
Chlorophenesin	<i>Maolate</i>	4	C		
Chloroprocaine	<i>Nesacaine</i>	2	A		
Chloroquine	<i>Avloclor</i>	4	C		
Chlorothiazide	<i>Diuril</i>	4	B		
Chlorpheniramine	<i>Chlortrimeton, etc.</i>	4	B		
Chlorproethazine	<i>Newiplege</i>	2	A		
Chlorpromazine	<i>Thorazine, Largactil</i>	1	A		
Chlorprothixene	<i>Taractan</i>	2	A		
Chlorthalidone	<i>Hydroton</i>	4	B		
Chlorzoxazone	<i>Paraflex</i>	4	B		
Chorionic Gonadotropin (CG)		3	B		Hormone and behavioral effects - a water soluble glycoprotein derived from human pregnancy urine. Used for behavior modification in colts / horses. There should be no restriction/regulation in fillies and mares.
Ciclesonide		4	C		
Cilostazol	<i>Pletal</i>	4	B		
Cimeterol		3	A		
Cimetidine	<i>Tagamet</i>	5	D		
Cinchocaine	<i>Nupercaine</i>	2	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Citalopram	<i>Celex</i>	2	A		
Clanobutin		4	B		
Clemastine	<i>Tavist</i>	3	B		
Clenbuterol	<i>Ventipulmin</i>	3	B	NOTE: "A" penalty for quarter horse races.	
Clibucaine	<i>Batrax</i>	2	A		
Clidinium	<i>Quarezan, Clindex, etc.</i>	3	B		
Clobazam	<i>Urbanyl</i>	2	A		
Clobetasol	<i>Temovate</i>	4	C		
Clocapramine		2	A		
Clocortolone	<i>Cloderm</i>	4	C		
Clodronate	<i>OsPhos</i>	3	A	Bisphosphonate	
Clofenamide		4	B		
Clomethiazole (Chlormethiazole)		2	A		
Clomiphene		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Estrogen modulator.	Testolactone has B classification
Clomipramine	<i>Anafranil</i>	2	A		
Clonazepam	<i>Klonopin</i>	2	A		
Clonidine	<i>Catapres</i>	3	B		
Clorazepate	<i>Tranxene</i>	2	A		
Clormecaine	<i>Placacid</i>	2	A		
Clostebol		3	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Clothiapine	<i>Entermin</i>	2	A		
Clotiazepam	<i>Trecalmo, Rize</i>	2	A		
Cloxazolam	<i>Enadel, Sepazon, Tolestan</i>	2	A		
Clozapine	<i>Clozaril, Leponex</i>	2	A		
CNTO 530		1	A	Erythropoietin Link - a biopharmaceutical consisting of a novel peptide that mimics the actions of erythropoietin, CNTO 530 produced sustained increases in red blood cell parameters.	Blood doping agent
Cobalt (check note)		3	B1	For cobalt concentrations of less than 25 parts per billion (ppb) of blood serum or plasma no penalty is recommended. For concentrations of 25 ppb or greater but less than 50 ppb of blood plasma or serum the recommended penalty is a written warning, the placement of the horse on the Veterinarians List with removal from list only after a blood test confirms that the concentration is below 25 ppb of blood plasma or serum. Testing shall be paid by the owner(s) of the horse. Concentrations of 50 ppb or greater in blood plasma or serum have a recommended "B" penalty.	
Cocaine		1	A3	If it is determined by the State Veterinarian/Equine Medical Director; the Stewards, or the Racing Authority that the finding of cocaine or morphine was unintentional and not based upon an attempt to affect the outcome of a race, the Stewards or Racing Authority may elect to assign a Class B penalty to the trainer.	
Codeine		1	A		
Colchicine		4	B		
Conorphone		2	A		
Corticaine	<i>Ultracain</i>	2	A		
Corticotrophind		3	B	Peptide hormone involved in the stress response.	
Cortisone	<i>Cortone, etc.</i>	4	C		
Cromolyn	<i>Intel</i>	5	D		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Crotetamide		2	A		
Cyamemazine	<i>Tercian</i>	2	A		
Cyclandelate	<i>Cyclospasmol</i>	3	A		
Cyclizine	<i>Merazine</i>	3	B		
Cyclobarbital	<i>Phanodorm</i>	2	A		
Cyclobenzaprine	<i>Flexeril</i>	4	B		
Cyclofenil		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - selective estrogen receptor modulator (SERM).	Testolactone has B classification
Cyclomethycaine	<i>Surfacaine</i>	4	C		
Cyclothiazide	<i>Anhydron, Renazide</i>	4	B		
Cycrimine	<i>Pagitane</i>	3	B		
Cyproheptadine	<i>Periactin</i>	3	B		
Danazol	<i>Danocrine</i>	3	B		
Dantrolene	<i>Dantrium</i>	4	C		
Darbepoetin	<i>Aranesp</i>	1	A		
Darbepoetin (depo)		1	A	Erythropoietin Link - Bone marrow stimulant (Erythropoiesis-stimulating agents are medications which stimulates the bone marrow to make red blood cells).	Blood doping agent
Decamethonium	<i>Syncurine</i>	2	A		
Dehydrochloromethyltestosterone		3	A		

Dembroxol (Dembrexine)					
Demoxepam		2	A		
Deoxycorticosterone					
Deracoxib	<i>Deremaxx</i>	3	B		
Dermorphin					
Desipramine	<i>Norpromine, Pertofrane</i>	2	A		
Desonide					
Desoximetasone	<i>Topicort</i>	4	C		
Desoxymethyltestosterone					
Detomidine	<i>Dormosedan</i>	3	B		
Dexamethasone	<i>Azium, etc.</i>	4	C		
Dextromethorphan		4	B		
Dextromoramide	<i>Palfium, Narcolo</i>	1	A		
Dextropropoxyphene	<i>Darvon</i>	3	B		
Dezocine	<i>Dalgan</i>	2	A		
Diamorphine		1	A		
Diazepam	<i>Valium</i>	3	B		
Diazoxide	<i>Proglycem</i>	3	B		
Dibucaine	<i>Nupercainal, Cinchocaine</i>	2	B		
Dichloralphenazone	<i>Febenol, Isocom</i>	2	A		
Dichlorphenamide	<i>Daramide</i>	4	C		
Diclofenac	<i>Voltaren, Voltarol</i>	4	C		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Dicumarol	<i>Dicumarol</i>	5	D		
Diethylpropion	<i>Tepanil, etc.</i>	2	A		
Diethylthiambutene	<i>Themalon</i>	2	A		
Diflorasone	<i>Florone, Maxiflor</i>	4	C		
Diflucortolone	<i>Flu-Cortinest, etc.</i>	4	C		
Diflunisal		3	B		
Digitoxin	<i>Crystodigin</i>	4	B		
Digoxin	<i>Lanoxin</i>	4	B		
Dihydrocodeine	<i>Parcodin</i>	2	A		
Dihydroergotamine		4	B		
Dihydrotestosterone (17β-hydroxy-5α-androstan-3-one)		3	B	Steroid - endogenous androgen sex steroid and hormone.	Endogenous AAS
Dilorazepam	<i>Briantum</i>	2	A		
Diltiazem	<i>Cardizem</i>	4	B		
Dimeflin		3	A		
Dimethisoquin	<i>Quotane</i>	4	B		
Dimethylsulfoxide (DMSO)	<i>Domoso</i>	4	C		
Diphenadione		5	C		
Diphenhydramine	<i>Benadryl</i>	3	B		
Diphenoxylate	<i>Difenoxin, Lomotil</i>	4	B		
Diprenorphine	<i>M50/50</i>	2	A		
Dipyridamole	<i>Persantine</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Dipyron	<i>Novin, Methampyrone</i>	4	B		
Disopyramide	<i>Norpace</i>	4	B		
Divalproex	<i>Depakote</i>	3	A		
Dixyrazine	<i>Esucos</i>	2	A		
Dobutamine	<i>Dobutrex</i>	3	B		
Donepezil	<i>Aricept</i>	1	A		
Dopamine	<i>Intropin</i>	2	A		
Doxacurium	<i>Nuromax</i>	2	A		
Doxapram	<i>Dopram</i>	2	A		
Doxazosin		3	A		
Doxefazepam	<i>Doxans</i>	2	A		
Doxepin	<i>Adapin, Sinequan</i>	2	A		
Doxylamine	<i>Decapryn</i>	3	B		
Dromostanolone	<i>Drolban</i>	3	B		
Droperidol	<i>Inapsine, Droleptan, Innovar-Vet (with Fentanyl)</i>	2	A		
Drostanolone		3	A	Steroid	AAS lacking FDA approval
Duloxetine		2	A		
Dyclonine	<i>Dyclone</i>	4	C		
Dyphylline		3	B		
Edrophonium	<i>Tensilon</i>	3	B		
Eletripan	<i>Relpax</i>	3	A		
Eltenac		4	B		

Enalapril (metabolite enalaprilat)	<i>Vasotec</i>	3	A		
Enciprazine					
Endorphins		1	A		
Enkephalins					
Ephedrine		2	A		
Epi-dihydrotestosterone					
Epibatidine		2	A		
Epinephrine					
Epitestosterone		3	B	Testosterone Link - endogenous steroid and an epimer of the androgen sex hormone testosterone.	Endogenous, stereoisomer of a B substance.
EPO-Fc					
<i>EPO-mimetic peptides (EMP):</i>		1	A		
Ergoloid mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate, and dihydroergocryptine mesylate)					
Ergonovine	<i>Ergotrate</i>	4	C		
Ergotamine	<i>Gynergen, Cafergot, etc.</i>	4	B		
Erthryl tetranitrate	<i>Cardilate</i>	3	A		
Erythropoietin (EPO)	<i>Epogen, Procrit, etc.</i>	1	A		
Esmolol	<i>Brevibloc</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Esomeprazole	<i>Nexium</i>	5	D		
Estazolam	<i>Domnamid, Eurodin, Nuctalon</i>	2	A		
Eszopiclone		2	A		
Etacrynic acid		3	C		
Etamiphylline		3	B		
Etanercept	<i>Enbrel</i>	4	B		
Ethacrynic acid	<i>Edecrin</i>	3	B		
Ethamivan		2	A		
Ethanol		2	A		
Ethchlorvynol	<i>Placidyl</i>	2	A		
Ethinamate	<i>Valmid</i>	2	A		
Ethoheptazine	<i>Zactane</i>	2	A		
Ethopropazine	<i>Parsidol</i>	2	A		
Ethosuximide	<i>Zarontin</i>	3	A		
Ethotoin	<i>Peganone</i>	4	B		
Ethoxzolamide	<i>Cardrase, Ethamide</i>	4	C		
Ethylaminobenzoate (Benzocaine)	<i>Semets, etc.</i>	4	C		
Ethylestrenol	<i>Maxibolin, Organon</i>	3	B		
Ethylisobutrazine	<i>Diquel</i>	2	A		
Ethylmorphine	<i>Dionin</i>	1	A		
Ethylnorepinephrine	<i>Bronkephrine</i>	3	A		
Ethylphenidate		1	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Etidocaine	<i>Duranest</i>	2	A		
Etifoxin	<i>Stresam</i>	2	A		
Etiocholanolone		3	B	Testosterone Link - etiocholane steroid as well as an endogenous 17-ketosteroid that is produced from the metabolism of testosterone.	Metabolite of a B substance
Etizolam	<i>Depas, Pasaden</i>	2	A		
Ethamsylate		4	B		
Etodolac	<i>Lodine</i>	3	B		
Etodroxizine	<i>Indunox</i>	2	A		
Etomidate		2	A		
Etorphine HCl	<i>M99</i>	1	A		
Exemestane	Aromatase inhibitors	3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Aromatase inhibitors.	Testolactone has B classification
Famotidine	<i>Gaster, etc.</i>	5	D		
Felbamate	<i>Felbatol</i>	3	B		
Felodipine	<i>Plendil</i>	4	B		
Fenarbamate	<i>Tymium</i>	2	A		
Fenbufen	<i>Cincopal</i>	3	B		
Fenclozic acid	<i>Myalex</i>	2	B		
Fenfluramine	<i>Pondimin</i>	2	A		
Fenoldopam	<i>Corlopam</i>	3	B		
Fenopropfen	<i>Nalfon</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Fenoterol	<i>Berotec</i>	3	B		
Fenspiride	<i>Respiride, Respan, etc</i>	3	B		
Fentanyl	<i>Sublimaze</i>	1	A		
Fentiazac		3	B		
Fexofenadine	<i>Allegra</i>	4	C		
Fibroblast Growth Factors (fgfs), Hepatocyte Growth Factor (HGF), Insulin-like Growth Factor-1 (IGF-1) and its analogues, Mechano Growth Factors (mgfs), Platelet-Derived Growth Factor (PDGF), Vascular-Endothelial Growth Factor (VEGF) and any other growth factor affecting muscle, tendon or ligament protein synthesis/degradation, vascularization, energy utilization, regenerative capacity or fiber type switching.		3	A	Cardiac, Muscle effects - a family of peptide cytokines that are important in the regulation of many tissues.	Lack FDA approval; no legitimate use in race horse.
Firocoxib		4	C		
Flecainide	<i>Idalon</i>	4	B		
Floctafenine	<i>Idalon, Idarac</i>	4	B		
Fluanisone	<i>Sedalande</i>	2	A		
Fludiazepam	<i>Erispam</i>	2	A		
Fludrocortisone	<i>Alforone, etc.</i>	4	C		
Flufenamic acid		3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Flumethasone	<i>Flucort, etc.</i>	4	C		
Flumethiazide	<i>Ademol</i>	4	B		
Flunarizine	<i>Sibelium</i>	4	B		
Flunisolide	<i>Bronilide, etc.</i>	4	C		
Flunitrazepam	<i>Rohypnol, Narcozep, Darkene, Hypnodorm</i>	2	A		
Flunixin	<i>Banamix</i>	4	C*		
Fluocinolone	<i>Synalar</i>	4	C		
Fluocinonide	<i>Licon, Lidex</i>	4	C		
Fluopromazine	<i>Psyquil, Siquil</i>	2	A		
Fluoresone	<i>Caducid</i>	2	A		
Fluorometholone	<i>FML</i>	4	C		
Fluoroprednisolone		4	B		
Fluoxetine	<i>Prozac</i>	2	A		
Fluoxymesterone	<i>Halotestin</i>	3	B		
Flupenthixol	<i>Depixol, Fluanxol</i>	2	A		
Fluphenazine	<i>Prolixin, Permitil, Anatensol, etc.</i>	2	B		
Flupirtine	<i>Katadolone</i>	3	A		
Fluprednisolone	<i>Alphadrol</i>	4	C		
Flurandrenolide	<i>Cordran</i>	4	C		
Flurazepam	<i>Dalmane</i>	2	A		
Flurbiprofen	<i>Froben</i>	3	B		
Fluspirilene	<i>Imap, Redeptin</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Fluticasone	<i>Flixonase, Flutide</i>	4	C		
Flutoprazepam	<i>Restas</i>	2	A		
Fluvoxamine	<i>Dumirox, Faverin, etc.</i>	2	A		
Formebolone		3	A		
Formestane	Aromatase inhibitors	3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Aromatase inhibitors.	Testolactone has B classification
Formoterol	<i>Altram</i>	3	B		
Fosinopril	<i>Monopril</i>	3	A		
Fosphenytoin	<i>Cerebyx</i>	3	B		
Fulvestrant		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Estrogen receptor antagonist antineoplastic agent.	Testolactone has B classification
Furazabol		3	A		
Furosemide	<i>Lasix</i>	N/A			
Gabapentin	<i>Neurontin</i>	3	B		
Galantamine	<i>Reminyl</i>	2	A		
Gallamine	<i>Flaxedil</i>	2	A		
Gamma Aminobutyric Acid (GABA)	<i>Carolina Gold</i>	3	B		
Gepirone		2	A		
Gestrinone		3	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
<i>GH-Releasing Peptides (ghrps), e.g., alexamorelin, GHRP-6, hexarelin and pralmorelin (GHRP-2)</i>		3	A	Anabolic Effects - a synthetic GH secretagogue.	Anabolic agent lacking FDA approval
Glutethimide	<i>Doriden</i>	2	A		
Glycopyrrolate	<i>Robinul</i>	4	C		
<i>Growth Hormone Releasing Hormone (GHRH) and its analogues, e.g., CJC-1295, sermorelin and tesamorelin</i>		3	A	Anabolic Effects - peptide analogue of growth hormone-releasing hormone which is used as a diagnostic agent to assess growth hormone secretion for the purpose of diagnosing growth hormone deficiency.	Anabolic agent lacking FDA approval
<i>Growth Hormone Secretagogues (GHS), e.g., ghrelin and ghrelin mimetics, e.g., anamorelin and ipamorelin</i>		3	A	Anabolic Effects - hunger hormone, appetite-enhancing and anabolic effects.	Anabolic agent lacking FDA approval
Guaifenesin (glycerol guaiacolate)	<i>Gecolate</i>	4	C		
Guanabenz	<i>Wytensin</i>	3	B		
Guanadrel	<i>Hylorel</i>	3	A		
Guanethidine	<i>Ismelin</i>	3	A		
Halazepam	<i>Paxipam</i>	2	A		
Halcinonide	<i>Halog</i>	4	C		
Halobetasol	<i>Ultravate</i>	4	C		
Haloperidol	<i>Haldol</i>	2	A		
Haloxazolam	<i>Somelin</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Hemoglobin glutamers	<i>Oxyglobin Hemopure</i>	2	A		
Heptaminol	<i>Corofundol</i>	3	B		
Heroin		1	A		
Hexafluorenum	<i>Myalexen</i>	2	A		
Hexobarbital	<i>Evipal</i>	2	A		
Hexocyclium	<i>Tral</i>	4	B		
Hexylcaine	<i>Cyclaine</i>	2	B		
HIF activators (e.g. Argon, xenon)		3	A	Cardiovascular Effects - a key mediator of oxygen homeostasis that was first identified as a transcription factor that is induced and activated by decreased oxygen tension.	Blood doping agent
Homatropine	<i>Homapin</i>	3	B		
Homophenazine	<i>Pelvichthol</i>	2	A		
Hydralazine	<i>Apresoline</i>	3	B		
Hydrochlorthiazide	<i>Hydrodiuril</i>	4	B		
Hydrocodone (dihydrocodienone)	<i>Hycodan</i>	1	A		
Hydrocortisone (Cortisol)	<i>Cortef, etc.</i>	4	C		
Hydroflumethiazide	<i>Saluron</i>	4	B		
Hydromorphone	<i>Dilaudid</i>	1	A		
Hydroxyamphetamine	<i>Paradrine</i>	1	A		
Hydroxyzine	<i>Atarax</i>	2	B		
Ibomal	<i>Noctal</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Ibuprofen	<i>Motrin, Advil, Nurpin, etc.</i>	4	C		
Ibutilide	<i>Corvert</i>	3	B		
Iloprost	<i>Ventavis</i>	3	A		
Imipramine	<i>Imavate, Presamine, Tofranil</i>	2	A		
Indapamide	Diuretic	3	C		
Indomethacin	<i>Indocin</i>	3	B		
Infliximab	<i>Remicade</i>	4	B		
Insulins		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - protein hormone that is used as a medication to treat high blood sugar.	
Ipratropium		3	B		
Irbesarten	<i>Avapro</i>	3	A		
Isapirone		2	A		
Isocarboxazid	<i>Marplan</i>	2	A		
Isoetharine	<i>Bronkosol</i>	3	B		
Isoflupredone	<i>Predef 2x</i>	4	C		
Isomethadone		2	A		
Isometheptene	<i>Octin, Octon</i>	4	B		
Isopropamide	<i>Darbid</i>	4	B		
Isoproterenol	<i>Isoprel</i>	2	A		
Isosorbide dinitrate	<i>Isordil</i>	3	B		
Isoxicam	<i>Maxicam</i>	2	B		
Isoxsuprine	<i>Vasodilan</i>	4	D		
Isradipine	<i>DynaCirc</i>	4	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Kebuzone		3	B		
Ketamine	<i>Ketalar, Ketaset, Vetalar</i>	2	B		
Ketazolam	<i>Anxon, Lafiram, Solatran, Loftran</i>	2	A		
Ketoprofen	<i>Orudis</i>	4	C*		
Ketorolac	<i>Toradol</i>	3	A		
Labetalol	<i>Normodyne</i>	3	B		
Lamotrigine	<i>Lamictal</i>	3	A		
Lansoprazole		5	D		
Lenperone	<i>Elanone-V</i>	2	A		
Letosteine	<i>Viscotiol, Visiotal</i>	4	B		
Letrozole		3	A		
Levamisole		2	B		
Levobunolol	<i>Betagan</i>	3	B		
Levomethorphan		2	A		
Levorphanol	<i>Levo-Dremoran</i>	1	A		
Lidocaine	<i>Xylocaine</i>	2	B		
Ligandrol		2	A		SARM
Lisinopril	<i>Prinivil, Zestril</i>	3	A		
Lithium	<i>Lithizine, Duralith, etc.</i>	2	A		
Lobeline		2	A		
Lofentanil		1	A		
Loflazepate, Ethyl	<i>Victan</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Loperamide	<i>Imodium</i>	3	B		
Loprazolam	<i>Dormonort, Havlane</i>	2	A		
Loratidine	<i>Claritin</i>	4	C		
Lorazepam	<i>Ativan</i>	2	A		
Lormetazepam	<i>Noctamid</i>	2	A		
Losartan	<i>Hyzaar</i>	3	B		
Loxapine	<i>Laxitane</i>	2	A		
Luteinizing Hormone (LH)		3	B		Hormone and behavioral effects - a hormone produced by gonadotropic cells in the anterior pituitary gland. In females, an acute rise of LH triggers ovulation and development of the corpus luteum. Used for behavior modification in colts / horses. There should be no restriction/regulation in fillies and mares.
Mabuterol		3	A		
Maprotiline	<i>Ludiomil</i>	2	A		
Mazindol	<i>Sanorex</i>	1	A		
Mebutamate	<i>Axiten, Dormate, Capla</i>	2	A		
Mecamylamine	<i>Inversine</i>	3	B		
Meclizine	<i>Antivert, Bonine</i>	3	B		
Meclofenamic acid	<i>Arquel</i>	4	C		
Meclofenoxate	<i>Lucidril, etc.</i>	2	A		
Medazepam	<i>Nobrium, etc.</i>	2	A		
Medetomidine	<i>Domitor</i>	3	B		
Medrysone	<i>Medriusar, etc.</i>	4	C		
Mefenamic acid	<i>Ponstel</i>	3	B		
Meldonium	<i>Mildronate, et al</i>	1	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Meloxicam	<i>Mobic</i>	4	B		
Melperone	<i>Eunerpan</i>	2	A		
Memantine	<i>Namenda</i>	2	A		
Meparfynol	<i>Oblivon</i>	2	A		
Mepazine	<i>Pacatal</i>	2	A		
Mepenzolate	<i>Cantil</i>	3	B		
Meperidine	<i>Demerol</i>	1	A		
Mephesisin	<i>Tolserol</i>	4	B		
Mephenoaloxone	<i>Control, etc.</i>	2	A		
Mephentermine	<i>Wyamine</i>	1	A		
Mephénytoin	<i>Mesantoin</i>	2	A		
Mephobarbital (Methylphenobarbital)	<i>Mebaral</i>	2	A		
Mepivacaine	<i>Carbocaine</i>	2	B		
Meprobamate	<i>Equanil, Miltown</i>	2	A		
Meralluride	<i>Mercurhydrin</i>	4	B		
Merbaphen	<i>Novasural</i>	4	B		
Mercaptomerin	<i>Thiomerin</i>	4	B		
Mercumatilin	<i>Cumertilin</i>	4	B		
Mersalyl	<i>Salyrgan</i>	4	B		
Mesalamine	<i>Asacol</i>	5	C		
Mesoridazine	<i>Serentil</i>	2	A		
Mestanolone		3	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Mesterolone		3	A		
Metaclazepam	<i>Talis</i>	2	A		
Metandienone		3	A	Steroid	AAS lacking FDA approval
Metaproterenol	<i>Alupent, Metaprel</i>	3	B		
Metaraminol	<i>Aramine</i>	1	A		
Metaxalone	<i>Skelaxin</i>	4	B		
Metazocine		2	A		
Metenolone		3	A	Steroid	AAS lacking FDA approval
Metformin		2	B		
Methacholine		3	A		
Methadone	<i>Dolophine</i>	1	A		
Methamphetamine	<i>Desoxyn</i>	1	A4	Recommended Penalty B if testing can prove presence of only levo-methamphetamine is present in sample.	
Methandriol (Methylandrostenediol)	<i>Probolis</i>	3	A		
Methandrostenolone	<i>Dianobal</i>	3	A		
Methantheline	<i>Banthine</i>	3	B		
Methapyrilene	<i>Histadyl, etc.</i>	3	B		
Methaqualone	<i>Quaalude</i>	1	A		
Metharbital	<i>Gemonil</i>	2	A		
Methasterone		3	A		
Methazolamide	<i>Naptazane</i>	4	C		
Methcathinone		1	A		
Methdilazine	<i>Tacaryl</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Methenolone	<i>Primobolan</i>	3	A		
Methixene	<i>Trest</i>	3	A		
Methocarbamol	<i>Robaxin</i>	4	C		
Methohexital	<i>Brevital</i>	2	A		
Methotrexate	<i>Folex, Nexate, etc.</i>	4	B		
Methotrimeprazine	<i>Levoprome, Neurocil, etc.</i>	2	A		
Methoxamine	<i>Vasoxyl</i>	3	A		
Methoxyphenamine	<i>Orthoxide</i>	3	A		
<i>Methoxypolyethylene glycol-epoetin beta (CERA)</i>		1	A	Erythropoietin Link - an erythropoiesis-stimulating agent (ESA) indicated for the treatment of anemia associated with chronic kidney disease (CKD in adult patients on dialysis and patients not on dialysis.	Blood doping agent
Methoxyprogesterone		4	C	*Classification for geldings, colts, adult intact males, spayed females only.	
Methscopolamine	<i>Pamine</i>	4	B		
Methsuximide	<i>Celontin</i>	4	B		
Methyclothiazide	<i>Enduron</i>	4	B		
Methyl-1-testosterone		3	A		
Methylatropine		3	B		
Methyldienolone		3	A		
Methyldopa	<i>Aldomet</i>	3	A		
Methylergonovine	<i>Methergine</i>	4	C		

Methylhexanamine (Methylhexanamine)					
Methylnortestosterone (Trestolone)		3	A		
Methylphenidate					
Methylprednisolone	<i>Medrol</i>	4	C		
Methyltestosterone					
Methypylon	<i>Noludar</i>	2	A		
Methysergide					
Metiamide		4	B		
Metoclopramide					
Metocurine	<i>Metubine</i>	2	A		
Metolazone					
Metomidate	<i>Hypnodil</i>	2	A		
Metopon (methyldihydromorphine)					
Metoprolol	<i>Lopressor</i>	3	B		
Metribolone		3	A	Steroid	AAS lacking FDA approval
Mexazolam	<i>Melex</i>	2	A		
Mexiletine	<i>Mexitil</i>	4	B		
Mibefradil	<i>Posicor</i>	3	B		
Mibolerone		3	B		
Midazolam	<i>Versed</i>	3	B		
Midodrine	<i>Pro-Amiline</i>	3	B		

Milrinone		4	B		
Minoxidil					
Mirtazepine	<i>Remeron</i>	2	A		
Misoprostol					
Mitragynine	<i>Kratom</i>	1	A		
Mivacurium					
Modafinil	<i>Provigil</i>	2	A		
Moexipril (metabolite, moexiprilat)					
Molindone	<i>Moban</i>	2	A		
Mometasone					
Montelukast	<i>Singulair</i>	4	C		
Moperone					
Morphine		1	A6	If it is determined by the State Veterinarian/Equine Medical Director; the Stewards, or the Racing Authority that the finding of cocaine or morphine was unintentional and not based upon an attempt to affect the outcome of a race, the Stewards or Racing Authority may elect to assign a Class B penalty to the trainer.	
Mosaprimine					
Muscarine		3	A		
myo-inositol trispyrophosphate (ITPP)					
N-Butylscopolamine		4	C		
Nabumetone	<i>Anthraxan, Relafen, Reliflex</i>	3	A		
Nadolol	<i>Corgard</i>	3	B		
Naepaine	<i>Amylsine</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Nalbuphine	<i>Nubain</i>	2	A		
Nalorphine	<i>Nalline, Lethidrone</i>	2	A		
Naloxone	<i>Narcan</i>	3	B		
Naltrexone	<i>Revia</i>	3	B		
Nandrolone	<i>Nandrolin, Laurabolin, Durabolin</i>	3	B		
Naphazoline	<i>Privine</i>	4	B		
Naproxen	<i>Equiproxen, Naprosyn</i>	4	C		
Naratriptan	<i>Amerge</i>	3	B		
Nebivolol		3	A		
Nedocromil	<i>Tilade</i>	5	D		
Nefazodone	<i>Serzone</i>	2	A		
Nefopam		3	A		
Neostigmine	<i>Prostigmine</i>	3	B		
Nicardipine	<i>Cardine</i>	4	B		
Nifedipine	<i>Procardia</i>	4	B		
Niflumic acid	<i>Nifluril</i>	3	B		
Nikethamide	<i>Coramine</i>	1	A		
Nimesulide		3	B		
Nimetazepam	<i>Erimin</i>	2	A		
Nimodipine	<i>Nemotop</i>	4	B		
Nitrazepam	<i>Mogadon</i>	2	A		
Nitroglycerin		2	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Nizatidine	<i>Axid</i>	5	D		
Norandrosterone		3	B	Nandrolone Link - a detectable metabolite of nandrolone, an anabolic-androgenic steroid.	Metabolite of a B substance
Norbolethone/Norboletone		3	A		
Norclostebol		3	A		
Nordiazepam	<i>Calmday, Nordaz, etc.</i>	2	A		
Norepinephrine		2	A		
Norethandrolone		3	A		
Nortestosterone		3	B		
Nortriptyline	<i>Aventyl, Pamelor</i>	2	A		
Nylidrine	<i>Arlidin</i>	3	A		
Olanzapine	<i>Zyprexa</i>	2	A		
Olmesartan	<i>Benicar</i>	3	A		
Olsalazine	<i>Dipentum</i>	5	C		
Omeprazole	<i>Prilosec, Losec</i>	5	D		
Orphenadrine	<i>Norlfex</i>	4	B		
Ostarine		2	A		SARM
Oxabolone		3	A		
Oxandrolone	<i>Anavar</i>	3	B		
Oxaprozin	<i>Daypro, Deflam</i>	4	B		
Oxazepam	<i>Serax</i>	2	A		
Oxazolam	<i>Serenal</i>	2	A		

Oxcarbazepine	<i>Trileptal</i>	3	A		
Oxilofrine (hydroxyephedrine)					
Oxprenolol	<i>Trasicor</i>	3	A		
Oxycodone	<i>Percodan</i>	1	A		
Oxymesterone		3	A		
Oxymetazoline	<i>Afrin</i>	4	B		
Oxymetholone	<i>Adroyd, Anadrol</i>	3	B		
Oxymorphone	<i>Numorphan</i>	1	A		
Oxyperitine	<i>Forit, Integrin</i>	2	A		
Oxyphenbutazone	<i>Tandearil</i>	4	C		
Oxyphencyclimine	<i>Daricon</i>	4	B		
Oxyphenonium	<i>Antrenyl</i>	4	B		
Paliperidone		2	A		
Pancuronium	<i>Pavulon</i>	2	A		
Pantoprazole	<i>Protonix</i>	5	D		
Papaverine	<i>Pavagen, etc.</i>	3	A		
Paraldehyde	<i>Paral</i>	2	A		
Paramethadione	<i>Paradione</i>	3	A		
Paramethasone	<i>Haldrone</i>	4	C		
Pargyline	<i>Eutonyl</i>	3	A		
Paroxetine	<i>Paxil, Seroxat</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Peginesatide		1	A	Erythropoietin Link - an erythropoiesis-stimulating agent (ESA) indicated for the treatment of anemia due to chronic kidney disease (CKD) in adult patients on dialysis.	Blood doping agent
Pemoline	<i>Cylert</i>	1	A		
Penbutolol	<i>Levatol</i>	3	B		
Penfluridol	<i>Cyperon</i>	2	A		
Pentaerythritol tetranitrate	<i>Duotrate</i>	3	A		
Pentazocine	<i>Talwin</i>	3	B		
Pentobarbital	<i>Nembutal</i>	2	A		
Pentoxyfylline	<i>Trental, Vazofirin</i>	4	D		
Pentylentetrazol	<i>Metrazol, Nioric</i>	1	A		
Perazine	<i>Taxilan</i>	2	A		
Perfluorocarbons		2	A		
Perfluorodecahydronop hthalene		2	A		
Perfluorodecolin		2	A		
Perfluorooctylbromide		2	A		
Perfluorotripropylamin e		2	A		
Pergolide	<i>Permax</i>	3	B		
Periciazine	<i>Alodept, etc.</i>	2	A		
Perindopril	<i>Biprel</i>	3	A		
Perlapine	<i>Hypnodin</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Perphenazine	<i>Trilafon</i>	2	A		
Phenacemide	<i>Phenurone</i>	4	B		
Phenaglycodol	<i>Acalo, Alcamid, etc.</i>	2	A		
Phenazocine	<i>Narphen</i>	1	A		
Phencyclidine (PCP)	<i>Sernylan</i>	1	A		
Phendimetrazine	<i>Bontril, etc.</i>	1	A		
Phenelzine	<i>Nardelzine, Nardil</i>	2	A		
Phenindione	<i>Hedulin</i>	5	D		
Phenmetrazine	<i>Preludin</i>	1	A		
Phenobarbital	<i>Luminal</i>	2	A		
Phenoxybenzamine	<i>Dibenzyline</i>	3	B		
Phenprocoumon	<i>Liquamar</i>	5	D		
Phensuximide	<i>Milontin</i>	4	B		
Phentermine	<i>Iomamin</i>	2	A		
Phentolamine	<i>Regitine</i>	3	B		
Phenylbutazone	<i>Butazolidin</i>	4	C*		
Phenylephrine	<i>Isophrin, Neo-Synephrine</i>	3	B		
Phenylpropanolamine	<i>Propadrine</i>	3	B		
Phenytoin	<i>Dilantin</i>	4	B		
Physostigmine	<i>Eserine</i>	3	A		
Picrotoxin		1	A		
Piminodine	<i>Alvodine, Cimadon</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Pimobendan		2	B		
Pimozide	<i>Orap</i>	2	A		
Pinazepam	<i>Domar</i>	2	A		
Pindolol	<i>Viskin</i>	3	B		
Pipamperone	<i>Dipiperon</i>	2	A		
Pipecuronium	<i>Arduan</i>	2	A		
Pipequaline		2	A		
Piperacetazine	<i>Psymod, Quide</i>	2	A		
Piperocaine	<i>Metycaine</i>	2	A		
Pipotiazine	<i>Lonseren, Piportil</i>	2	A		
Pipradrol	<i>Dataril, Gerondyl, etc.</i>	2	A		
Piquindone		2	A		
Pirbuterol	<i>Maxair</i>	3	B		
Pirenzepine	<i>Gastrozepin</i>	5	C		
Piretanide	<i>Arelix, Tauliz</i>	3	B		
Piritramide		1	A		
Piroxicam	<i>Feldene</i>	4	B		
Plasma expanders (e.g. Bycerol; intravenous administration of albumin, dextran, hydroxyethyl starch and mannitol)		3	A	No legit use in the racehorse. Lacks FDA approval.	
Polyethylene glycol		5	D		
Polythiazide	<i>Renese</i>	4	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Pramoxine	<i>Tronothaine</i>	4	C		
Prasterone (dehydroepiandrosterone, DHEA, 3β-hydroxyandrost-5-en-17-one)		3	B	Steroid - inactive endogenous steroid.	Endogenous AAS
Prazepam	<i>Verstran, Centrax</i>	2	A		
Prazosin	<i>Minipress</i>	3	B		
Prednisolone	<i>Delta-Cortef, etc.</i>	4	C		
Prednisone	<i>Meticorten, etc.</i>	4	C		
Prilocaine	<i>Citanest</i>	2	B		
Primidone	<i>Mysoline</i>	3	B		
Probenecid		4	C		
Procainamide	<i>Pronestyl</i>	4	B		
Procaine		3	B		
Procaterol	<i>Pro Air</i>	3	A		
Prochlorperazine	<i>Darbazine, Compazine</i>	2	A		
Procyclidine	<i>Kemadrin</i>	3	B		
Promazine	<i>Sparine</i>	3	B		
Promethazine	<i>Phenergan</i>	3	B		
Propafenone	<i>Rythmol</i>	4	B		
Propanidid		2	A		
Propantheline	<i>Pro-Banthine</i>	3	B		
Proparacaine	<i>Ophthaine</i>	4	C		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Propentophylline	<i>Karsivan</i>	3	B		
Propiomazine	<i>Largon</i>	2	A		
Propionylpromazine	<i>Tranvet</i>	2	A		
Propiram		2	A		
Propofol	<i>Diprivan, Disoprivan</i>	2	A		
Propoxycaine	<i>Ravocaine</i>	2	A		
Propranolol	<i>Inderal</i>	3	B		
Propylhexedrine	<i>Benzedrex</i>	4	B		
Prostanazol		3	A		
Prothipendyl	<i>Dominal</i>	2	A		
Protokylol	<i>Ventaire</i>	3	A		
Protriptyline	<i>Concordin, Triptil</i>	2	A		
Proxibarbital	<i>Axeen, Centralgol</i>	2	A		
Pseudoephedrine	<i>Cenafed, Novafed</i>	3	B		
Pyridostigmine	<i>Mestinon, Regonol</i>	3	B		
Pyrilamine	<i>Neoantergan, Equihist</i>	3	B		
Pyrithyldione	<i>Hybersulfan, Sonodor</i>	2	A		
Quazipam	<i>Doral</i>	2	A		
Quetiapine	<i>Seroquel</i>	2	A		
Quinapril, Quinaprilat	<i>Accupril</i>	3	A		
Quinbolone		3	A		
Quinidine	<i>Quinidex, Quinocardine</i>	4	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Rabeprazole	<i>Aciphex</i>	5	D		
Racemethorphan		2	A		
Racemorphan		2	A		
Raclopride		2	A		
Ractopamine	<i>Paylean</i>	2	A		
Raloxifene		3	B	Estrogen effects, same classification as Testolactone on Human Olympic Guidelines - selective estrogen receptor modulators-SERMs.	Testolactone has B classification
Ramipril, metabolite Ramiprilat	<i>Altace</i>	3	A		
Ranitidine	<i>Zantac</i>	5	D		
Remifentanyl	<i>Ultiva</i>	1	A		
Remoxipride	<i>Roxiam</i>	2	A		
Reserpine	<i>Serpasil</i>	2	B		
Rilmazafone		2	A		
Risperidone		2	A		
Ritanserin		2	A		
Ritodrine	<i>Yutopar</i>	3	B		
Rivastigmine	<i>Exelon</i>	2	A		
Rizatriptan	<i>Maxalt</i>	3	B		
Rocuronium	<i>Zemuron</i>	2	A		
Rofecoxib	<i>Vioxx</i>	2	B		
Romifidine	<i>Sedivet</i>	3	B		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Ropivacaine	<i>Naropin</i>	2	A		
Roxadustat (FG-4592)		1	A	Erythropoietin Link - HIF prolyl-hydroxylase inhibitor and thereby increases endogenous production of erythropoietin, which stimulates production of hemoglobin and red blood cells.	Blood doping agent
Salicylamide		4	C		
Salicylate		4	C		
Salmeterol		3	B		
Scopolamine (Hyoscine)	<i>Triptone</i>	4	C		
Secobarbital (Quinalbarbitone)	<i>Seconal</i>	2	A		
Selective Androgen Receptor Modulators (SARMs)		2	A		
Selegiline	<i>Eldepryl, Jumex, etc.</i>	2	A		
Sertraline	<i>Lustral, Zoloft</i>	2	A		
Sibutramine	<i>Meridia</i>	3	B		
Sildenafil	<i>Viagra</i>	3	A		
Snake Venoms		1	A		
Somatrem	<i>Protropin</i>	2	A		
Somatropin	<i>Nutropin</i>	2	A		
Sotalol	<i>Betapace, Sotacor</i>	3	B		
Spiclomazine		2	A		
Spiperone		2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Spirapril, metabolite Spiraprilat	<i>Renomax</i>	3	A		
Spiro lactone	<i>Aldactone</i>	4	B		
Spironolactone	Diuretic	3	C		
Stanozolol	<i>Winstrol-V</i>	3	B		
Stenbolone		3	A		
Strychnine		1	A		
Succinylcholine	<i>Sucostrin, Quelin, etc.</i>	2	A		
Sufentanil	<i>Sufenta</i>	1	A		
Sulfasalazine	<i>Azulfidine, Azaline</i>	4	C		
Sulfondiethylmethane		2	A		
Sulfonmethane		2	A		
Sulforidazine	<i>Inofal</i>	2	A		
Sulindac	<i>Clinoril</i>	3	B		
Sulpiride	<i>Aiglonyl, Sulpitil</i>	2	A		
Sultopride	<i>Barnetil</i>	2	A		
Sumatriptan	<i>Imitrex</i>	3	B		
Synthetic cannabis	<i>Spice, K2, Kronic</i>	1	A		
Tadalafil	<i>Cialis</i>	3	A		
Talbutal	<i>Lotusate</i>	2	A		
Tamoxifen		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Estrogen receptor antagonist antineoplastic agent.	Testolactone has B classification

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Tandospirone		2	A		
TCO2		3	B		
Telmisartn	<i>Micardis</i>	3	B		
Temazepam	<i>Restoril</i>	2	A		
Tenoxicam	<i>Alganex, etc.</i>	3	B		
Tepoxalin		3	B		
Terazosin	<i>Hytrin</i>	3	A		
Terbutaline	<i>Brethine, Bricanyl</i>	3	B		
Terfenadine	<i>Seldane, Triludan</i>	4	C		
Testolactone	<i>Teslac</i>	3	B		
Testolone		2	A		SARM
Testosterone		3	B		
Tetrabenazine	<i>Nitoman</i>	2	A		
Tetracaine	<i>Pontocaine</i>	2	A		
Tetrahydrogestrinone		3	A		
Tetrahydrozoline	<i>Tyzine</i>	4	B		
Tetrazepam	<i>Musaril, Myolastin</i>	2	A		
THC (tetrahydrocannabinol)²	Drug of human abuse	1	A	Drug of human abuse.	
Thebaine		2	A		
Theobromine		4	B		
Theophylline	<i>Aqualphyllin, etc.</i>	3	B		
Thialbarbital	<i>Kemithal</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Thiamylal	<i>Surital</i>	2	A		
Thiethylperazine	<i>Torecan</i>	2	A		
Thiopental	<i>Pentothal</i>	2	A		
Thiopropazate	<i>Dartal</i>	2	A		
Thioproperazine	<i>Majeptil</i>	2	A		
Thioridazine	<i>Mellaril</i>	2	A		
Thiosalicylate		4	B		
Thiothixene	<i>Navane</i>	2	A		
Thiphenamil	<i>Trocinate</i>	4	B		
Thyroxine and thyroid modulators/hormones, including but not limited to those containing T4 (tetraiodothyronine/thyroxine), T3 (triiodothyronine), or combinations thereof.	Levothyroxine	3	C		FDA approved but has (limited) legitimate use in care of racehorses.
Tiapride	<i>Italprid, Luxoben, etc.</i>	2	A		
Tiaprofenic acid	<i>Surgam</i>	3	B		
Tibolone		3	A	Steroid - synthetic steroid.	AAS lacking FDA approval
Tildronate Sodium	<i>Tildren</i>	3	A	Bisphosphonate	
Tiletamine	<i>Component of Telazol</i>	2	A		
Timiperone	<i>Tolopelon</i>	2	A		
Timolol	<i>Blocardrin</i>	3	B		
Tocainide	<i>Tonocard</i>	4	B		
Tofisopam	<i>Grandaxain, Seriel</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Tolazoline	<i>Priscoline</i>	3	B		
Tolfenamic Acid		4	B		
Tolmetin	<i>Tolectin</i>	3	B		
Topiramate	<i>Topamax</i>	2	A		
Toremifene		3	B		Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - Selective estrogen receptor modulator.
Torseamide (Torasemide)	<i>Demadex</i>	3	A		
Tramadol	<i>Ultram</i>	2	B		
Trandolapril (and metabolite, trandolaprilat)	<i>Tarka</i>	3	B		
Tranexamic acid		4	C		
Tranlycypromine	<i>Parnate</i>	2	A		
Trazodone	<i>Desyrel</i>	2	A		
Trenbolone	<i>Finoplix</i>	3	B		
Tretoquinol	<i>Inolin</i>	2	A		
Triamcinolone	<i>Vetalog, etc.</i>	4	C		
Triamterene	<i>Dyrenium</i>	4	B		
Triazolam	<i>Halcion</i>	2	A		
Tribromethanol		2	A		
Tricaine methanesulfonate	<i>Finquel</i>	2	A		
Trichlormethiazide	<i>Naqua, Naquasone</i>	4	C		
Trichloroethanol		2	A		
Trichloroethylene	<i>Trilene, Trimar</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Triclofos	<i>Triclos</i>	2	A		
Tridihexethyl	<i>Pathilon</i>	4	B		
Trifluomeprazine	<i>Nortran</i>	2	A		
Trifluoperazine	<i>Stelazine</i>	2	A		
Trifluperidol	<i>Triperidol</i>	2	A		
Triflupromazine	<i>Vetame, Vesprin</i>	2	A		
Trihexylphenidyl	<i>Artane</i>	3	A		
Trimeprazine	<i>Temaril</i>	4	B		
Trimetazidine		3	B	Hormone and Metabolic effects, same classification as Testolactone on Human Olympic Guidelines - a drug for angina pectoris, the first cytoprotective anti- ischemic agent.	
Trimethadione	<i>Tridione</i>	3	B		
Trimethaphan	<i>Arfonad</i>	3	A		
Trimipramine	<i>Surmontil</i>	2	A		
Tripelennamine	<i>PBZ</i>	3	B		
Tripolidine	<i>Actidil</i>	3	B		
Tubocurarine (Curare)	<i>Metubin</i>	2	A		
Tybamate	<i>Benvil, Nospan, etc.</i>	2	A		
Urethane		2	A		
Valdecoxib		2	B		
Valerenic acid		3	A		
Valnoctamide	<i>Nirvanyl</i>	2	A		
Valsartan	<i>Diovan</i>	3	B		
Vardenafil	<i>Levitra</i>	3	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Vedaprofen		4	B		
Venlafaxine	<i>Efflexor</i>	2	A		
Veralipride	<i>Accional, Veralipril</i>	2	A		
Verapamil	<i>Calan, Isoptin</i>	4	B		
Vercuronium	<i>Norcuron</i>	2	A		
Viloxazine	<i>Catatrol, Vivalan, etc.</i>	2	A		
Vinbarbital	<i>Delvinol</i>	2	A		
Vinylbital	<i>Optanox, Speda</i>	2	A		
Warfarin	<i>Coumadin, Coufarin</i>	5	D		
Xylazine	<i>Rompun, Bay Va 1470</i>	3	B		
Xylometazoline	<i>Otrivin</i>	4	B		
Yohimbine		2	B		
Zafirlukast	<i>Accolate</i>	4	C		
Zaleplon	<i>Sonata</i>	2	A		
Zeranol	<i>Ralgro</i>	4	C		
Ziconotide		1	A		
Zileuton	<i>Zyflo</i>	4	C		
Zilpaterol hydrochloride	<i>Zilpaterol</i>	2	A		
Ziprasidone	<i>Geoden</i>	2	A		
Zolazepam		2	A		
Zolmitriptan	<i>Zomig</i>	3	B		
Zolpidem	<i>Ambien, Stilnox</i>	2	A		

Drug/Substance	Trade Name(s)	Drug Class	Penalty Class	Special Notation	Note.
Zomepirac	<i>Zomax</i>	2	B		
Zonisamide	<i>Zonegran</i>	3	B		
Zopiclone	<i>Imovan</i>	2	A		
Zotepine	<i>Lodopin</i>	2	A		
Zuclopenthixol	<i>Ciatyl, Cesordinol</i>	2	A		

UNIFORM CLASSIFICATION OF FOREIGN SUBSTANCES
Version 14.1 (January, 2020)

PENALTY GUIDELINES

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PENALTY CATEGORY “A”

The following are recommended penalties for violations due to the presence of a drug carrying a Category “A” penalty and for violations of ARCI-011-015 and ARCI-025-015: Prohibited Practices:

LICENSED TRAINER:		
1st Offense	2nd LIFETIME offense in any jurisdiction	3rd LIFETIME offense in any jurisdiction
<ul style="list-style-type: none"> Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$10,000 or 10% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$25,000 or 25% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<ul style="list-style-type: none"> Minimum three-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a three-year period. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$25,000 or 25% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$50,000 or 50% purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission. 	<ul style="list-style-type: none"> Minimum five-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a five-year period. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$50,000 or 50% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:		
1st Offense	2nd LIFETIME offense in owner’s stable any jurisdiction	3rd LIFETIME offense in owner’s stable in any jurisdiction
<ul style="list-style-type: none"> Disqualification and loss of purse <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian’s List for 180 days and must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Disqualification and loss of purse <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian’s List for 180 days and must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Disqualification, loss of purse and \$50,000 fine <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian’s List for 180 days and must pass a commission-approved examination before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Referral to the Commission with a recommendation of a suspension for a minimum of 90 days.

PENALTY CATEGORY “B”

The following are recommended penalties for violations due to the presence of a drug carrying Category “B” penalty, for the detection of two or more NSAIDs in a plasma/serum and/or urine sample, the detection of two or more corticosteroids in a plasma/serum and/or urine sample subject to the provisions set forth in ARCI-011-020(E) and ARCI-025-020(E) and for violations of the established levels for total carbon dioxide:

LICENSED TRAINER:		
1st Offense	2nd offense (365-day period) in any jurisdiction	3rd offense (365-day period) in any jurisdiction
<ul style="list-style-type: none"> Minimum 15-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$1,000. 	<ul style="list-style-type: none"> Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$2,500. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000 or 5% purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> May be referred to the Commission for any further action deemed necessary by the Commission.
LICENSED OWNER:		
1st Offense	2nd offense (365-day period) in owner’s stable any jurisdiction	3rd offense (365-day period) in owner’s stable in any jurisdiction
<ul style="list-style-type: none"> Disqualification and loss of purse [in the absence of mitigating circumstances]* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Disqualification and loss of purse [in the absence of mitigating circumstances]* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse must pass a commission-approved examination before becoming eligible to be entered. 	<ul style="list-style-type: none"> Disqualification, loss of purse, and in the absence of mitigating circumstances a \$5,000 fine.* <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> Horse shall be placed on the Veterinarian’s List for 45 days and must pass a commission-approved examination before becoming eligible to be entered.

PENALTY CATEGORY “C”

The following are recommended penalties for violations due to the presence of a drug carrying a Category “C” penalty and overages for permitted NSAIDs and furosemide: **(All concentrations are for measurements in serum or plasma.)**

LICENSED TRAINER	Furosemide (>100 ng/ml) and/or no furosemide when identified as administered	Phenylbutazone (>0.3 mcg/ml) Flunixin (> 5.0 ng/ml) Ketoprofen (> 2.0 ng/ml) and CLASS C Violations
1 st Offense (365-day period) in any jurisdiction	Minimum of a written warning to maximum fine of \$500	Minimum fine of \$1,000 absent mitigating circumstances
2 nd Offense (365-day period) in any jurisdiction	Minimum of a written warning to maximum fine of \$750	Minimum fine of \$1,500 and 15-day suspension absent mitigating circumstances
3 rd Offense (365-day period) in any jurisdiction	Minimum fine of \$500 to a maximum fine of \$1,000	Minimum fine of \$2,500 and 30-day suspension absent mitigating circumstances
LICENSED OWNER	Furosemide (>100 ng/ml) and/or no furosemide when identified as administered	Phenylbutazone (>0.3 mcg/ml) Flunixin (> 5.0 ng/ml) Ketoprofen (> 2.0 ng/ml) and CLASS C Violations
1 st Offense (365-day period) in any jurisdiction	Horse may be required to pass commission-approved examination before being eligible to run	Disqualification and loss of purse in the absence of mitigating circumstances. Horse must pass commission-approved examination before being eligible to run.
2 nd Offense (365-day period) in any jurisdiction	Horse may be required to pass commission-approved examination before being eligible to run	Disqualification and loss of purse in the absence of mitigating circumstances. If same horse, placed on veterinarian’s list for 45 days, must pass commission-approved examination before being eligible to run
3 rd Offense (365-day period) in any jurisdiction	Disqualification and loss of purse. Horse must pass commission-approved examination before being eligible to run	Disqualification and loss of purse in the absence of mitigating circumstances. Minimum \$5,000 fine. If same horse, placed on veterinarian’s list for 60 days, must pass commission-approved examination before being eligible to run

*If the trainer has not had more than one violation within the previous two years, the Stewards/Judges are encouraged to issue a warning in lieu of a fine provided the reported level is below 3.0 mcg/ml absent of aggravating factors.

After a two-year period, if the licensee has had no further violations, any penalty due to an overage in the 2.0-5.0 category will be expunged from the licensee’s record for penalty purposes.

PENALTY CATEGORY “D”

The recommended penalty for a violation involving a drug that carries a Category “D” penalty is a written warning to the trainer and owner. Multiple violations may result in fines and/or suspension.

MMV Point System

Multiple Medication Violation Model Rule.

ARCI-011-020 (B)(13)

Officials are advised to check a licensee’s ARCI regulatory record to see if multiple medication violations should be considered as an aggravating factor in the determination of an appropriate penalty.

- (1) Multiple Medication Violations (MMV)
- (a) A trainer who receives a penalty for a medication violation based upon a horse testing positive for a Class 1-5 medication with Penalty Class A-C, as provided in the most recent version of the ARCI Uniform Classification Guidelines for Foreign Substances, or similar state regulatory guidelines, shall be assigned points as follows:

Penalty Class	Points If Controlled Therapeutic Substance	Points If Non-Controlled Substance
Class A	N/A	6
Class B	2	4
Class C	½ for first violation with an additional ½ point for each additional violation within 365 days ¹	1 for first violation with an additional ½ point for each additional violation within 365 days
Class D	0	0

¹ Points for NSAID violations only apply when the primary threshold of the NSAID is exceeded. Points are not to be separately assigned for a stacking violation.

If the Stewards or Commission determine that the violation is due to environmental contamination, they may assign lesser or no points against the trainer based upon the specific facts of the case.

- (b) The points assigned to a medication violation by the Stewards or Commission ruling shall be included in the ARCI official database. The ARCI shall record points consistent with Section 13(a) including when appropriate, a designation that points have been suspended for the medication violation. Points assigned by such regulatory ruling shall reflect, in the case of multiple positive tests as described in paragraph (d), whether they constitute a single violation. The Stewards’ or Commission Ruling shall be posted on the official website of the Commission and within the official database of the Association of Racing Commissioners International. If an appeal is pending, that fact shall be noted in such Ruling. No points shall be applied until a final adjudication of the enforcement of any such violation.

- (c) A trainer’s cumulative points for violations in all racing jurisdictions shall be maintained by the ARCI. Once all appeals are waived or exhausted, the points shall immediately become part of the trainer’s official ARCI record and shall be considered by the Commission in its determination to subject the trainer to the mandatory enhanced penalties by the Stewards or Commission as provided in this regulation.
- (d) Multiple positive tests for the same medication incurred by a trainer prior to delivery of official notice by the commission may be treated as a single violation. In the case of a positive test indicating multiple substances found in a single post-race sample, the Stewards may treat each substance found as an individual violation for which points will be assigned, depending upon the facts and circumstances of the case.
- (e) The official ARCI record shall be used to advise the Stewards or Commission of a trainer’s past record of violations and cumulative points. Nothing in this administrative regulation shall be construed to confer upon a licensed trainer the right to appeal a violation for which all remedies have been exhausted or for which the appeal time has expired as provided by applicable law.
- (f) The Stewards or Commission shall consider all points for violations in all racing jurisdictions as contained in the trainer’s official ARCI record when determining whether the mandatory enhancements provided in this regulation shall be imposed.
- (g) In addition to the penalty for the underlying offense, the following enhancements shall be imposed upon a licensed trainer based upon the cumulative points contained in his/her official ARCI record:

Points	Suspension in days
5-5.5	15 to 30
6-8.5	30 to 60
9-10.5	90 to 180
11 or more	180 to 360

MMV penalties are not a substitute for the current penalty system and are intended to be an additional uniform penalty when the licensee:

- (i) Has had more than one medication violation for the relevant time period, and
- (ii) Exceeds the permissible number of points.

The Stewards and Commission shall consider aggravating and mitigating circumstances, including the trainer's prior record for medication violations, when determining the appropriate penalty for the underlying offense. The MMP is intended to be a separate and additional penalty for a pattern of violations.

- (h) The suspension periods as provided in Section 13(g) shall run consecutive to any suspension imposed for the underlying offense.
- (i) The Stewards' or Commission Ruling shall distinguish between the penalty for the underlying offense and any enhancement based upon a Stewards or Commission review of the trainer's cumulative points and regulatory record, which may be considered an aggravating factor in a case.
- (j) Points shall expire as follows:

Penalty Classification	Time to Expire
A	3 years
B	2 years
C	1 year

In the case of a medication violation that results in a suspension, any points assessed expire on the anniversary date of the date the suspension is completed.

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REVISIONS TO ARCI UNIFORM CLASSIFICATION GUIDELINES FOR FOREIGN SUBSTANCES

Version	Date	Drug/Substance	Notes
14.1	December 2019	Ethamsylate	Added as Drug Class 4, Penalty Class B.
14.1	December, 2019	Botulinum Toxin	Added as Drug Class 2, Penalty Class A.
14.1	December, 2019	Selective Androgen Receptor Modulators (SARMs)	Entire Class of drugs added with specific entries for Andarine, Ostarine, Ligandrol, and Testolone. Drug Class 2, Penalty Class A.
14.1	December, 2019	Bisphosphonates	Entire Class added with specific entries for Tildronate Sodium and Clodronate. Drug Class 3, Penalty Class A.
14.1	December, 2019	Altrenogest	Modified footnote.
14.1	December, 2019	Methoxyprogesterone	Modified footnote.
14.1	December, 2019	Acetylcysteine	Added. Class 4 Substance, Penalty Class C.
14	January, 2019	1-testosterone, Bolandiol, Drostanolone, Drostanolone, Metandienone, Metenolone, Metribolone	Added. Class 3 Substance, Penalty Class A.
14	January, 2019	Androstenediol, Androstenedione, Dihydrotestosterone, Prasterone	Added. Class 3 Substances, Penalty Class B,
14	January, 2019	5a-androstane-3(x)	Numerous testosterone metabolites added as Class B Substance, Penalty Class B,
14	January, 2019	Androstenediols that are converted to testosterone.	Added. Class 3 Substances, Penalty Class B.
14	January, 2019	Androst-5-ene-3 α ,17 β -diol, Androst-5-ene-3 β ,17 α -diol, 5-androstenedione	Added. Class 3 Substances, Penalty Class B.
14	January, 2019	ARA-290, Asialo EPO, Carbamylated EPO	Non-erythroietic EPO-Receptor antagonists added as Class 1 Substances, Penalty Class A.
14	January, 2019	CNTO 530, Peginesatide, Methoxypolyethylene glycol-epoetin beta (CERA)	EPO-mimetic peptides (EMP) added as Class 1 Substances, Penalty Class A.
14	January, 2019	Epitestosterone	Added. Class 3 Substance, Penalty Class B.
14	January, 2019	Etiocholanolone	Added. Class 3 Substance, Penalty Class B.
14	January, 2019	7 α -hydroxy-dhea, 7 β -hydroxy-dhea, 7-keto-dhea;19-	Added. Class 3 Substances, Penalty Class B.
14	January, 2019	Norandrosterone, 19-noretiocholanolone	Added. Class 3 Substances, Penalty Class B.
14	January, 2019	Tibolone	Added. Class 3 Substances, Penalty Class A.
14	January, 2019	Darbepoetin (depo)	Added. Class 1 Substance, Penalty Class A.
14	January, 2019	EPO-Fc	Added. Class 1 Substance, Penalty Class A.
13.4.1	August 2018	Altrenogest	Added a missing footnote.
13.4	January 2018	Dipyrene	Penalty Classification changed from C to B; Drug Class remains 4
13.4	January 2018	Tolfenamic Acid	Added as Drug Class 4, Penalty Class B
13.4	January 2018	Pimobendan	Added as Drug Class 2, Penalty Class B
13.4	January 2018	Mitragynine	Added as Drug Class 1, Penalty Class A
13.4	January 2018	Metformin	Added as Drug Class 2, Penalty Class B
13.4	January 2018	Letrozole	Added as Drug Class 3, Penalty Class A
13.4	January 2018	Capsaicin	Added as Drug Class 2, Penalty Class B
13.4	January 2018	Altrenogest	Added as Drug Class 4, Penalty Class C in male horses only; no restriction in female horses.
13.3	July 2017	Penalty C Guideline Modification	Added "[in the absence of mitigating circumstances]" for owner 1st offense
13.2	April 2017	Penalty A Guideline Modification	Increase time on vets list to 180 days
13.2	April 2017	Articaine, Carticaine, and Priolocaine	Penalty Class Changed from A to B
13.2	April 2017	Cinchocaine	Penalty Class Changed from A to B
13.2	April 2017	Formebolone	Penalty Class Change from B to A

Version	Date	Drug/Substance	Notes
13.2	April 2017	Methyltestosterone	Penalty Class Change from A to B
13.2	April 2017	Methyl-1-testosterone	Added; Class 3, Penalty Class A
13.2	April 2017	Oxymesterone	Penalty Class Change from B to A
13.2	April 2017	Sulindac	Penalty Class Change from A to B
13.2	April 2017	Valdecoxib	Penalty Change from A to B
13.2	April 2017	Benazepril	Penalty Class Changed to A
13.2	April 2017	Eszopiclone	Added Class 2; Penalty Class A
13.2	April 2017	Propantheline	Penalty Class B assigned.
13	January 11, 2017		Version 13.00 Publication Date
13	December 2016	Methdilazine	Changed from Class 4 to Class 3
13	December 2016	Naepaine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Nortestosterone	Changed from Class 4/Penalty C to Class 3/Penalty B
13	December 2016	Olsalazine	Changed from Class 4/Penalty B to Class 5/Penalty C
13	December 2016	Oxaprozín	Changed from Penalty C to Penalty B
13	December 2016	Pentoxifylline	Changed from Penalty C to Penalty D
13	December 2016	Terfenadine	Changed from Penalty B to Penalty C
13	December 2016	Thiosalicylate	Changed from Penalty C to Penalty B
13	December 2016	Tripolidine	Changed from Class 4 to Class 3
13	December 2016	Anisindione	Changed from Penalty C to Penalty D
13	December 2016	Cilostazol	Changed from Class 5/Penalty C to Class 4/Penalty B
13	December 2016	Cromolyn	Changed from Penalty C to Penalty D
13	December 2016	Dimethylsulphone (MSM)	Removed from classifications; recommended "do not report"
13	December 2016	Misoprostol	Changed from Penalty C to Penalty D
13	December 2016	Nedocromil	Changed from Penalty C to Penalty D
13	December 2016	Phenindione	Changed from Penalty C to Penalty D
13	December 2016	Polyethylene Glycol	Changed from Penalty C to Penalty D
13	December 2016	Warfarin	Changed from Penalty C to Penalty D
13	December 2016	Pirbuterol	Changed from Penalty A to Penalty B
13	December 2016	Piroxicam	Changed from Class 3 to Class 4
13	December 2016	Prostanazol	Changed from Penalty B to Penalty A
13	December 2016	Quinbolone	Changed from Penalty B to Penalty A
13	December 2016	Scopolamine	Changed from Class 3/Penalty B to Class 4/Penalty C
13	December 2016	Stenbolone	Changed from Penalty B to Penalty A
13	December 2016	TCO ₂	Changed from Unclassified with Penalty B recommended to Class 3/Penalty B
13	December 2016	Acetazolamide	Changed from Penalty B to Penalty C
13	December 2016	Ambroxol	Changed from Penalty C to Penalty B
13.01	December 2016	Cocaine and Morphine	Added a footnote inadvertently excluded from V.13.0
13	December 2016	Brompheniramine	Changed from Class 4 to Class 3
13	December 2016	Butacaine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Carbazochrome	Changed from Penalty C to Penalty B
13	December 2016	Ciclesonide	Changed from Penalty B to Penalty C
13	December 2016	Cinchocaine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Clibucaine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Clormecaine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Cyclizine	Changed from Class 4 to Class 3
13	December 2016	Cyproheptadine	Changed from Class 4/Penalty C to Class 3/Penalty B
13	December 2016	Dibucaine	Changed from Class 4/Penalty C to Class 2/Penalty B
13	December 2016	Eltenac	Changed from Penalty C to Penalty B
13	December 2016	Ethoheptazine	Changed from Class 4/Penalty C to Class 2/Penalty A
13	December 2016	Fluorometholone	Changed from Penalty B to Penalty C
13	December 2016	Fluoroprednisolone	Changed from Penalty C to Penalty B
13	December 2016	Hexylcaine	Changed from Class 4/Penalty C to Class 2/Penalty B
13	December 2016	Isoxsuprine	Changed from Penalty C to Penalty D
13	December 2016	Letosteine	Changed from Penalty C to Penalty B
13	December 2016	Loratidine	Changed from Penalty B to Penalty C
13	December 2016	Meclizine	Changed from Class 4 to Class 3
13	December 2016	Methapyrilene	Changed from Class 4 to Class 3

Version	Date	Drug/Substance	Notes
13	December 2016	Amyl Nitrite	Changed from Class 3 to Class 2
13	December 2016	Arformoterol	Changed from Penalty A to Penalty B
13	December 2016	Calusterone	Changed from Penalty B to Penalty A
13	December 2016	Clostebol	Changed from Penalty B to Penalty A
13	December 2016	Dehydrochloromethyltestosterone	Changed from Penalty B to Penalty A
13	December 2016	Desoxymethyltestosterone	Changed from Penalty B to Penalty A
13	December 2016	Enalapril	Changed from Penalty B to Penalty A
13	December 2016	Felbamate	Changed from Penalty A to Penalty B
13	December 2016	Furazabol	Changed from Penalty B to Penalty A
13	December 2016	Glycopyrrolate	Changed from Class 3/Penalty B to Class 4/Penalty C
13	December 2016	Mepenzolate	Changed from Penalty A to Penalty B
13	December 2016	Mestanolone	Changed from Penalty B to Penalty A
13	December 2016	Mesterolone	Changed from Penalty B to Penalty A
13	December 2016	Methandrostenolone (Methandienone)	Added alternate name, Changed from Penalty B to Penalty A
13	December 2016	Methandriol (Methylandrostenediol)	Added alternate name, Changed from Penalty B to Penalty A
13	December 2016	Metenolone	Changed from Penalty B to Penalty A
13	December 2016	Methyldienolone	Changed from Penalty B to Penalty A
13	December 2016	Methylnortestosterone (Trestolone)	Added alternate name, Changed from Penalty B to Penalty A
13	December 2016	Methsuximide	Changed from Class 3/Penalty A to Class 4/Penalty B
13	December 2016	Methyltestosterone	Changed from Penalty B to Penalty A
13	December 2016	Naloxone	Changed from Penalty A to Penalty B
13	December 2016	Naltrexone	Changed from Penalty A to Penalty B
13	December 2016	N-Butylscopolamine	Changed from Class 3/Penalty B to Class 4/Penalty C
13	December 2016	Nitroglycerin	Changed from Class 3 to Class 2
13	December 2016	Norbolethone/Norboletone	Added alternate spelling, Changed from Penalty B to Penalty A
13	December 2016	Norclostebol	Changed from Penalty B to Penalty A
13	December 2016	Oxabolone	Changed from Penalty B to Penalty A
13	December 2016	Oxprenolol	Changed from Penalty B to Penalty A
13	December 2016	Physostigmine	Changed from Penalty B to Penalty A
13	December 2016	Pindolol	Changed from Penalty A to Penalty B
13	December 2016	Amitraz	Changed from Penalty A to Penalty B
13	December 2016	Alprenolol	Changed from Class 3 to Class 2
13	December 2016	Zomepirac	Changed from Penalty A to Penalty B
13	December 2016	Yohimbine	Changed from Penalty A to Penalty B
13	December 2016	Snake Venoms	Changed from Class 2 to Class 1
13	December 2016	Romifidine	Changed from Class 2 to Class 3
13	December 2016	Rofecoxib	Changed from Penalty A to Penalty B
13	December 2016	Reserpine	Changed from Penalty A to Penalty B
13	December 2016	Midazolam	Changed from Class 2/Penalty A to Class 3/Penalty B
13	December 2016	Loperamide	Changed from Class 2/Penalty A to Class 3/Penalty B
13	December 2016	Isoxicam	Changed from Penalty A to Penalty B
13	December 2016	Fluphenazine	Changed from Penalty A to Penalty B
13	December 2016	Fenclozic Acid	Changed from Penalty A to Penalty B
13	December 2016	Erythropoietin	Changed from Class 2 to Class 1
13	December 2016	Diazepam	Changed from Class 2 to Class 3
13	December 2016	Darbepoetin	Changed from Class 2 to Class 1; Corrected spelling under "Prohibited Practices"
13	December 2016	Chlorpromazine	Changed from Class 2 to Class 1
13	December 2016	Benoxaprofen	Changed from Penalty A to Penalty B

Version	Date	Drug/Substance	Notes
13	December 2016	Alclofenac	Changed from Penalty A to Penalty B
13	December 2016	Atipamazole	Added to Uniform Classification Guide as Class 2, Penalty B
13	December 2016	Cocaine	Changed from Penalty B to Penalty A
13	December 2016	Ethylphenidate	Added to Uniform Classification Guide as Class 1, Penalty A
13	December 2016	Meldonium	Added to Uniform Classification Guide as Class 1, Penalty A
13	December 2016	Morphine	Changed from Penalty B to Penalty A
13	December 2016	Strychnine	Changed from Penalty B to Penalty A
12	March 2016	Methamphetamine	Added footnote language recommending Penalty B if testing can prove presence of only levo-methamphetamine is present in sample.
12	March 2016	Tramadol	Changed from Penalty A to Penalty B
12	March 2016	Cetirizine	Changed from Penalty B to Penalty C after inclusion into ARCI Controlled Therapeutic Medication Schedule
12	March 2016	Morphine	Added footnote language recommending Penalty A if intentional administration can be proven by regulators.
12	March 2016	Cocaine	Added footnote language recommending Penalty A if intentional administration can be proven by regulators.
12	March 2016	Methacholine	Corrected spelling error in Alphabetical Listing by Substance Section and Listing by Classification Section
12	March 2016	myo-inositol trispyrophosphate (ITPP)	Corrected spelling error in Alphabetical Listing by Substance Section and Listing by Classification Section
11	December 2015	2-Aminoheptane	Corrected typographical error to reflect Class 4, Penalty B Substance
11	December 2015	Xylometazoline	Corrected typographical error to reflect Class 4, Penalty B Substance
11	December 2015	Rivastigmine	Corrected typographical error to reflect Class 2, Penalty A Substance
11	December 2015	Rabeprazole	Corrected typographical error to reflect Class 5, Penalty D Substance
11	December 2015	Prilocaine	Corrected typographical error to reflect Class 2, Penalty A Substance
11	December 2015	Hexocyclium	Corrected typographical error to reflect Class 4, Penalty B Substance
11	December 2015	Gabapentin	Corrected typographical error to reflect Class 3, Penalty B Substance
11	December 2015	Ergoloid Mesylates	Corrected typographical error to reflect Class 2, Penalty A Substance
11	December 2015	Butacaine	Corrected typographical error to reflect Class 4, Penalty B Substance
11	December 2015	Budesonide	Corrected typographical error to reflect Class 4, Penalty C Substance
11	December 2015	Brimonidine	Corrected typographical error to reflect Class 2, Penalty A Substance
11	December 2015	Benazepril	Corrected typographical error to reflect Class 3, Penalty B Substance
11	December 2015	Amlodipine	Corrected typographical error to reflect Class 3, Penalty B Substance
11	December 2015	3-Methoxytyramine	Added as Class 2, Penalty A Substance
10	July 2015	Methylhexanamine	Added alternative spelling
10	July 2015	Gamma Aminobutyric Acid (GABA)	Added as Class 3, Penalty B Substance

Version	Date	Drug/Substance	Notes
9	April 2015	Cobalt	Added as Class 3, Penalty B with note to refer to ARCI Endogenous, Dietary, or Environmental Substances Schedule for threshold and penalty information for concentrations of less than 50 parts per billion (ppb) in blood serum or plasma.
8	December 2014	Firocoxib	Changed Penalty Class from "B" to "C" to conform to the ARCI Controlled Therapeutic Medication Schedule
8	December 2014	Acenocoumarol	Had been previously omitted from Listing by Classification Section, Added to section
8	December 2014	Deracoxib	Corrected Spelling in Alphabetical Listing by Substance Section
8	December 2014	Norclostebol	Corrected Spelling in Alphabetical Listing by Substance Section
8	December 2014	Rizatriptan	Corrected Spelling in Alphabetical Listing by Substance Section
8	December 2014	Dehydrochloromethyl testosterone	Corrected Spelling in Alphabetical Listing by Substance Section
8	December 2014	Amiodarone	Corrected Spelling in Listing by Classification Section
8	December 2014	2-Aminoheptane	Corrected Spelling in Listing by Classification Section
8	December 2014	Bupropion	Corrected Spelling in Listing by Classification Section
8	December 2014	Alclofenac	Assigned Penalty Class A
8	December 2014	Recommended Penalties for Ketoprofen	Updated the recommended penalty for Ketoprofen to comply with the primary threshold established in the ARCI Controlled Therapeutic Medication Schedule.
8	December 2014	Class B Recommended Penalties	Corrected typographical error on recommended penalties for Class B substances for licensed owners. Version 7.00 incorrectly recommended penalties for second or third offense in the owner's lifetime. Version 8.00 corrects error and recommends penalty for second or third offense in 365-day period.
8	December 2014	Aminorex	Addition is not a change of the Uniform Classification Guidelines. Aminorex has been a DEA Schedule 1 substance. All DEA 1 substances are considered Class 1, Penalty A substances by reference. By request, Aminorex has been listed to avoid confusion.
8	December 2014	Bufotenine	Corrected the precursor to 5-methoxy-N-N dimethyltryptamine
7	January 2014	Pergolide	Added as Class 3, Penalty B
6	December 2013	Methylhexaneamine	Added as Class 1, Penalty A
5	December 2012	Zilpaterol hydrochloride	Moved from Class 3 to Class 2 Substance, Penalty Remains Unchanged
5	December 2012	Tetramisole hydrochloride	Removed from Non-Classified Substance list
5	December 2012	Ambroxol	Moved from Class 4, Penalty B to Class 4, Penalty C
4.01	October 2012	Ractopamine	Corrected typographical error in Drug Class (Incorrectly listed as a Class 3 substance)
4.01	October 2012	Pyrilamine	Corrected typographical error on Penalty Class (Listed as Penalty Class A in "Listing by Classification" section).
4	July 2012	myo-inositol trispyrophosphate (ITPP)	Added as Class 1, Penalty A
4	July 2012	Benzonatate	Added as Class 2, Penalty A
3	December 2011	Almotriptan	Corrected Penalty Class omission in Alphabetical Listing section of document

Version	Date	Drug/Substance	Notes
3	December 2011	Naltrexone	Corrected Penalty Class omission in Alphabetical Listing section of document
3	December 2011	Amiloride	Corrected Penalty Class omission in Alphabetical Listing section of document
3	December 2011	Butanilicaine	Corrected Penalty Class omission in Alphabetical Listing section of document
3	December 2011	3,4-methylenedioxy-pyrovalerone, aka MDPV, "Bath Salts"	Corrected typographical error in Trade Name sections
3	December 2011	Carbazochrome	Added as Class 4, Penalty C
2.01	August 2011	Dimethylsulfoxide (DMSO)	Edited Drug Classification definitions to remove mention of DMSO from Class 5 definition
2	July 2011	<<Drugs Not Listed>>	Language pertaining to all drugs/substances not found in this document shall be considered a Class I, Penalty A Substance
2	July 2011	Phenylbutazone	Penalties for tests over 2.0 micrograms per milliliter of plasma or serum but less than 5.0 micrograms per milliliter of plasma or serum added.
2	July 2011	Dermorphin	Added as Class 1, Penalty A
2	July 2011	3,4-methylenedioxy-pyrovalerone, aka MDPV, "Bath Salts"	Added as Class 1, Penalty A
2	July 2011	Synthetic cannabis	Added as Class 1, Penalty A
2	July 2011	Alclomethasone	Corrected typographical error in spelling
1.01	January 2011	Methocarbamol	Corrected typographical error on Penalty Class from Class B to Class C
1	December 2010	Zilpaterol	Added as Class 3, Penalty a
1	December 2010	Dimethylsulfoxide (DMSO)	Changed from Class 5 to Class 4

STAFF ANALYSIS
PUBLIC HEARING AND ACTION REGARDING THE PROPOSED AMENDMENT TO
RULE 1842. VETERINARIAN REPORT TO REQUIRE SUCH REPORTS BE
SUBMITTED ELECTRONICALLY

Regular Board Meeting
August 20, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1842](#), Veterinarian Report, requires every veterinarian who treats a horse within the inclosure to report to the official veterinarian in writing in a manner prescribed by the official veterinarian the name of the horse treated, the name of the trainer of the horse, the time of treatment, any medication administered to the horse, and any other veterinary medical information requested. The proposed amendment to Board Rule 1842 will remove the words “in writing” from the text and instead require the treating veterinarian to use an electronic on-line form prescribed by the Board. Additionally, the proposed amendment provides clarification and specificity around what type of information the official veterinarian will request be included in a report and what circumstances will cause the official veterinarian to make the request.

ANALYSIS:

Board Rule 1842, Veterinarian Report, requires veterinarians to complete a confidential veterinarian report when treating a horse within the inclosure. The report asks for information regarding the name of the horse treated, the name of the trainer of the horse, the time and date of the treatment, any medication administered to the horse, and any other information requested by the official veterinarian. The report is confidential, and its content may not be disclosed except in a proceeding before the stewards, or in an exercise of the Board's jurisdiction.

The proposed amendment to Board Rule 1842 was originally approved at the March 26, 2020 regular Board meeting and the rulemaking was submitted to the Office of Administrative Law (OAL) on May 18, 2020. OAL reviewed the file and made recommendations to improve the language's clarity. The language was subsequently modified, initiating a 15-day notice and comment period from July 14, 2020 through July 29, 2020. Two comments were received and are included for reference and consideration. Modifications to the language include:

Subsection (a): The sentence reading “Every veterinarian who treats a horse within the inclosure shall, using an electronic on-line form prescribed by the Board, report to the official veterinarian in a manner prescribed by *him*” was modified to state “Every veterinarian who treats a horse within the inclosure shall, using an electronic on-line form prescribed by the Board, report to the official veterinarian in a manner prescribed by *the official veterinarian*”. The modified language is clearer about who will prescribe the manner in which reporting will occur and also maintains gender neutrality.

Subsection (a)(4): New subsection (a)(4) was added to provide that treating veterinarians shall report “any medication administered to the horse”. The modified language amends Board regulation to be consistent with Business and Professions Code (BPC) section 19583 by restating the requirement for treating veterinarians to report any medication administered to a horse to the official veterinarian.

Subsection (a)(5): The modified subsection (a)(5) specifies that any other veterinary medical information related to the treated horse’s health requested by the official veterinarian must be reported to help ensure compliance with California Code of Regulations (CCR) Title 4, Division 4, or BPC Division 8, Chapter 4, or to promote horse health and safety. The modified language serves to define the scope and nature of the information able to be requested by the official veterinarian, specifically any other veterinary medical information not already covered in subsections (a)(1) through (a)(4). Additionally, the modified language clarifies the purpose of the official veterinarian in gathering the information described. This clarification provides a regulatory trigger that defines circumstances in which the official veterinarian will request such information.

BACKGROUND:

[BPC section 19440v](#) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19580](#) provides that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. [BPC section 19583](#) provides every veterinarian who treats a horse within the inclosure shall, in writing, on a form prescribed by the Board, report to the official veterinarian in a manner prescribed by the official veterinarian, the name of the horse treated, the name of the trainer of the horse, the item of treatment, any medication administered to the horse, and any other medication requested by the official veterinarian.

RECOMMENDATION:

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1842. VETERINARIAN REPORT

1842. Veterinarian Report.

(a) Every veterinarian who treats a horse within the inclosure shall ~~in writing on a~~
using an electronic on-line form prescribed by the Board, report to the official veterinarian
in a manner prescribed by ~~him~~ the official veterinarian;

(1) the name of the horse treated,

(2) the name of the trainer of the horse,

(3) the time of treatment, ~~and~~

(4) any medication administered to the horse, and

(5) any other veterinary medical information related to the treated horse's health
requested by the official veterinarian to help ensure compliance with California Code of
Regulations Title 4, Division 4, or Business and Professions Code Division 8, Chapter 4,
or to promote horse health and safety.

(b) Any such report is confidential, and its content shall not be disclosed except in
a proceeding before the stewards or the Board, or in exercise of the Board's jurisdiction.

Authority: Sections 19440, 19580 and 19583,
Business and Professions Code.

Reference: Sections 19440, 19580 and 19583,
Business and Professions Code.

MEMBER
ARIZONA BAR
CALIFORNIA BAR

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July 27, 2020

Zachary Voss
Regulation Analyst
California Horse Racing Board
Policy and Regulation Unit
1010 Hurley Way, Suite 300
Sacramento, CA 95825

SENT VIA EMAIL (zavoss@chrb.ca.gov)

Dear Mr. Voss:

It is my understanding that at the March 26, 2020 meeting of the California Horse Racing Board, the Board approved a requirement for practicing veterinarians to use an electronic on-line form prescribed by the Board when submitting their required veterinarian reports to the Official Veterinarian.

It has come to my attention that the California Horse Racing Board Amended Notification for the Proposed Amendment of Rule 1842 related to the aforementioned requirement (copy attached) was defective in that it referred to the amendment of Rule 1503 rather than Rule 1842. Consequently, please accept this letter as a formal request for the California Horse Racing Board to rescind its prior adoption of amendments to Rule 1842 and to refrain from engaging further in the rulemaking process regarding Rule 1842 until such time as the public receives proper notice consistent with the Administrative Procedures Act.

Sincerely,

Darrell Vienna

Darrell Vienna

DJV/cw
Attach. (1)

CALIFORNIA HORSE RACING BOARD
AMENDED NOTIFICATION OF POSTPONEMENT
OF REGULATORY HEARING
FOR THE PROPOSED AMENDMENT OF
RULE 1842, VETERINARIAN REPORT

Pursuant to the requirements of Government Code section 11346.8(b) of the California Code of Regulations, the California Horse Racing Board is providing notice that the hearing for the proposed adoption of Board Rule 1842, Veterinarian Report, will be held by teleconference only based on recommendations from the Governor's Office and California public health officials. The telephonic hearing will take place on **March 26, 2020 at 9:30 a.m.**

In accordance with the guidance from Executive Order N-29-20, a physical location will not be provided. Members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted.

The proposal to amend Rule 1503 was originally noticed to the public on January 24, 2020. The proposed amendment was originally scheduled to be heard on Thursday, March 19, 2020; however, notice was sent on March 17, 2020 that the hearing has been postponed until March 26, 2020.

Any interested person who has questions regarding this notice of postponement should contact

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July 29, 2020

Zachary Voss
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California Horse Racing Board
Policy and Regulation Unit
1010 Hurley Way, Suite 300
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SENT VIA EMAIL (zavoss@chrb.ca.gov)

Re: Proposal to Amend Rule 1842 Veterinarian Report

Dear Mr. Voss:

I represent a group of equine veterinarians who practice within all racing inclosures under the control of the California Horse Racing Board ("Board"). The following comments are submitted on their behalf to the Board regarding its proposed amendments to Rule 1842 Veterinarian Report. Those amendments were adopted at the March 2020 meeting of the Board and are to be addressed by the Board for further amendment at the upcoming August 2020 meeting of the Board. In that there was an alleged defective notice regarding the March 2020 action of the Board, the amendments, in their entirety, are addressed herein and are summarized as follows:

The existing version of Rule 1842 provides that every veterinarian who treats a horse within racing inclosures shall **in writing** on a form prescribed by the Board report to the official veterinarian, the name of the horse treated, the name of the trainer of the horse, the time of treatment, and other information requested by the official veterinarian. The proposed amendments to Rule 1842 require that veterinarians who treat horses within the inclosure shall report such treatments to the official veterinarian in an **electronic, on-line form** prescribed by the Board.

The objective or purpose of the proposed amendments is to provide consistency, legibility, and manageability, as well as to create an easily searchable database for purposes of enforcement and analysis. By switching to an electronic, on-line reporting format, the Board hopes to reduce the high volume of paper veterinary records and improve efficiency for veterinarians, while improving accuracy and precision of data entry.

It is asserted that the switch from handwritten to electronic reporting promote the health and safety of race horses, and, by extension, the health and safety of jockeys and drivers. Additionally, it is purported that these efficiencies will assist in investigatory and analytical efforts related thereto.

While a significant number of the veterinarians I represent are supportive of the transition to electronic reporting, they are concerned that the implementation of the currently available reporting system is premature as the system is inchoate, cumbersome, time consuming, and may result in several reporting errors. The concerns of these CHRB licensed veterinarians are summarized in the following email, recently received from Dr. Ryan Carpenter:

As a veterinarian I am very supportive of a digital format for veterinary records and reporting. In order for this to be an effective tool, several criteria must be met. If we implement a system that does not meet these criteria, it will merely create busy work for us and frustration. I had the opportunity to beta test the Jockey Clubs treatment reporting system that is being implemented by the CHRB and it was clear the best way to utilize this system was at the end of the day, i.e. a data entry person as it did not meet the needs of a private practitioner and this was shared by Dr. Jeff Blea who also beta tested this program.

In order to move forward in a productive manner, we must have a system that:

- 1. Is user friendly for the veterinary practitioner and can be used continually throughout the day as the primary means of maintaining medical records. In order for the current system to move towards this once must essentially create a list of "favorites" to work of meaning everyone will need to code a system that works for them. We should all be working off the same code meaning we all need to be speaking the same language.*
- 2. Must communicate with the practice billing software so the workload is not doubled up.*
- 3. Be a system that can be used by ALL veterinarians. Meaning the regulatory, association and private practitioners should all be on the same platform and the records kept by each group should be shared with all parties involved in the care of the horse.*
- 4. As practitioners we are required to submit numerous different forms, i.e. put a horse on Lasix, request an examination for a horse to work off the vets list, and generate a medical summary for a horse recently claimed. The system we utilize should be able to extract this information in a manner that would eliminate the need for separate forms.*

I also believe we need to look at the big picture and have a system that the trainer can be responsible for imputing the medications the horse receives, as this is already a CHRB requirement that a trainer has to maintain records and the training records. The goal with this concept is to accumulate data and the only way this works is if everyone is on the same page. Dr. Tim Parkin at the university of Glasgow has done tremendous work in creating models that can predict horses at risk for a catastrophic breakdown. This work has led to a number of changes implemented by the CHRB. When discussing the models with him in Newmarket in March of 2020 at a veterinary conference he stated that the models he has created are as good as they are ever going to get. The only way we can improve them is by acquiring more data and the data he needs is veterinary records both private and regulatory, training charts and medication reports of what the horse receives. Let's work towards a system that can provide the data he needs to improve upon the existing models as this will have a direct correlation with the safety of our athletes.

The unfortunate reality is the system that we are asked to use does not meet these basic requirements and does not fulfill the mission. So, I would ask the board to pursue a system that will have a measurable impact on the health and safety of our athletes and build on the work of Dr. Parkin. Let's not just create more busy work with no measurable results. A good friend of mine works in Minnesota, Dr. Zach Badura, and the Minnesota racing commission under the direction of Dr. Linda Hovda has currently made this reporting system mandatory. Shortly after its implementation Dr. Badura quit using the system, as it was not user friendly, required a tremendous amount of time and he ran in to several reporting errors. I urge the board not to follow the same path that has not worked in Minnesota but allow us as veterinarians to work towards a system that will work and one that will be the national standard. Just because the Jockey Club designed a system does not mean it can't be improved upon or design a system that fulfills the mission better.

While my clients generally support the digitalization of veterinary reports and appreciate the benefits of readily accessible data and accept the imposition of responsibility to report medical treatments pursuant to Rule 1842, they do not believe that the conversion of their required reporting of confidential veterinary information into a digital form is rightly their responsibility. Notwithstanding that sentiment, my clients would willingly engage in new procedures that provide the benefits of digital reporting so long as the procedures do not interfere with the practice of veterinary medicine; are not overly time-consuming; and, produce reliable results. Unfortunately, the presently proposed procedure and related software do not conform to those goals.

My clients do not argue against the digital entry of veterinary information as required by the amendments to Rule 1842; rather, they suggest that Board forebear from the implementation of such digital reporting until such time as the problems related to such digital reporting, as discussed herein, are resolved. The ability to forebear from the immediate implementation of digital reporting while proceeding with the adoption of modifications to the existing rule could be accomplished by the following further amendment to section (a) of the existing language of the currently proposed amendment:

Currently proposed amendment to Rule 1842:

(a) Every veterinarian who treats a horse within the inclosure shall, ~~in writing on a~~ using an electronic on-line form prescribed by the Board, report to the official veterinarian in a manner prescribed by ~~him~~ the official veterinarian:

Amendment proposed by race track veterinary practitioners:

(a) Every veterinarian who treats a horse within the inclosure shall, at the option of the Board, either, in writing on a form prescribed by the Board or using an electronic on-line form prescribed by the Board, report to the official veterinarian in a manner prescribed by the official veterinarian:

In conclusion, the suggested modification of the proposed amendments to rule 1842 would allow the Board to expeditiously proceed with the rulemaking process while providing the Board the ability to implement the transition to digital reporting of veterinary treatments when existing problems with such implementation have been resolved. My clients ask that you give serious consideration to their suggested amendment to Rule 1842 and look forward to working with the Board in resolving the aforementioned problems related to electronic on-line reporting.

Thanking you in advance for your consideration, I am . . .

Sincerely,

Darrell Vienna

Darrell Vienna

DJV/cw

CALIFORNIA HORSE RACING BOARD

August 20, 2020
REGULAR BOARD MEETING

There is no board package material for Item 15

CALIFORNIA HORSE RACING BOARD

August 20 2020
REGULAR BOARD MEETING

There is no board package material for Item 16