

**CALIFORNIA HORSE RACING BOARD**

1010 Hurley Way, Suite 300

Sacramento, CA 95825

[www.chrb.ca.gov](http://www.chrb.ca.gov)

(916) 263-6000 Fax (916) 263-6042



## **REGULAR MEETING**

of the **California Horse Racing Board** will be held on **Thursday, August 22, 2019**, commencing at **9:30 a.m.**, at the **Del Mar Hilton, (Derby Room) 15575 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### **AGENDA**

#### **Action Items:**

1. **Approval of the minutes of July 18, 2019.**
2. **Executive Director's Report.**
3. Discussion and action by the Board regarding the **Los Alamitos Equine Sale Company, LLC's request for authorization of its 15<sup>th</sup> Annual Quarter Horse Yearling and Mixed Stock Sale, pursuant to CHRB Rule 1807, Authorized Horse Sales.**
4. Discussion and action by the Board regarding the **request for approval of the nominated members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc., pursuant to CHRB Rule 2049(a), Designation and Approval of Horsemen's Welfare Fund.**
5. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 2049, Designation and Approval of Horsemen's Welfare Fund**, to 1) increase the minimum number of directors or trustees from nine to eleven; 2) eliminate the requirement that directors or trustees have no financial interest in horse racing as a licensed owner, trainer or assistant trainer and are not a current member of the horsemen's organization and 3) increase the term for directors and trustees from two to three years.

6. Discussion and action by the Board regarding the **submission of the proposed emergency addition of CHRB Rule 1435, Suspension of License to Conduct a Race Meeting**, to the Office of Administrative Law, in response to Senate Bill 469, which requires the Board to adopt emergency regulations to implement Business and Professions Code section 19481.7.
7. Discussion and action by the Board regarding the proposed amendment to **CHRB Rule 1588, Horse Ineligible to Start in a Race**, to provide that a horse that has not been in the care of a CHRB licensed trainer and stabled within an inclosure licensed by the Board for seven (7) consecutive days prior to the race is ineligible to start in a race.
8. Discussion and action by the Board regarding the proposed amendment to **CHRB Rule 1866, Veterinarian's List**, to require a horse that receives intra-articular therapy be placed on the Veterinarian's List and to revise the number of hours that a horse placed on the Veterinarian List must wait before a workout from 72 to 120 hours after being placed on the list.
9. Public hearing and action by the Board regarding the proposed amendment to **CHRB Rule 1844, Authorized Medication, to revise subsection 1844(g) to agree with the re-numbering of subsection 1844(e) as a result of clenbuterol being removed from the list of metabolites analogs or drug substances that may be present in an official urine test sample.** (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented.)
10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T), at Golden Gate Fields**, commencing October 17, 2019 through December 14, 2019, inclusive.
11. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the PRA at its October 17, 2019 through December 14, 2019 race meeting at the Golden Gate Fields Racetrack.**
12. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Big Fresno Fair (F), at Fresno**, commencing October 4, 2019 through October 14, 2019, inclusive.

13. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by CARF at the October 4, 2019 through October 14, 2019 race meeting at the Big Fresno Fair.**
14. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Angeles Turf Club II (LATC II) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the LATC II at its September 25, 2019 through November 5, 2019 race meeting, at the Santa Anita Racetrack.**
15. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Angeles County Fair (LACF) and the Thoroughbred Owners of California (TOC), regarding entry conditions and specified drug substances or medications to be implemented by the LACF at its September 4, 2019 through September 24, 2019 race meeting, at the Los Alamitos Racetrack.**
16. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H), at Cal Expo, commencing October 27, 2019 through December 23, 2019, inclusive.**
17. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between Watch and Wager LLC and the California Harness Horsemen Association (CHHA), regarding entry conditions and specified drug substances or medications to be implemented by Watch and Wager LLC at the Cal Expo Racetrack.**
18. Discussion and action by the Board regarding **2020 Southern California race dates.**
19. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.

20. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
- A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Madeline Auerbach, Vice Chairman  
Dennis Alfieri, Member  
Gregory L. Ferraro, DVM, Member  
Fredric Maas, Member  
Araceli Ruano, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Steeplechase Room** at the **Del Mar Hilton, 15575 Jimmy Durante Blvd., Del Mar, California, on July 18, 2019.**

Present: Chuck Winner, Chairman  
Madeline Auerbach, Vice-Chairman  
Araceli Ruano, Member  
Alex Solis, Member  
Fredric Maas, Member  
Dennis Alfieri, Member  
Gregory Ferraro, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
John McDonough, General Counsel

#### **APPROVAL OF THE MINUTES OF JUNE 20, 2019**

Chairman Winner asked for approval of the minutes of the Regular Meeting of June 20, 2019. Vice-Chairman Auerbach **motioned** to approve the minutes. Commissioner Alfieri **seconded** the motion, which was **passed** four to zero with three abstentions. Roll call vote: Aye: Alfieri, Maas, Auerbach, Winner. Nay: None. Abstain: Solis, Ruano, Ferraro. Motion carried.

#### **EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Rick Baedeker said he would have Dr. Rick Arthur, CHRB Equine Medical Director, speak about the Board's new commissioner, Dr. Greg Ferraro. Dr. Arthur said he was very excited to work with Dr. Ferraro and welcomed him to the Board. He stated that Dr. Ferraro was a very talented surgeon and was for many years the Director of the Center for Equine Health at the University of California, Davis. Executive Director Baedeker reported that total handle was down 17 percent for June 2019 compared to June 2018, with 43 programs versus 49, respectively. However, average

daily handle was down only 5 percent. There were 282 daytime and nighttime programs in 2019 through June, compared to 311 for the same period in 2018.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR (F), AT LOS ALAMITOS, COMMENCING SEPTEMBER 4, 2019 THROUGH SEPTEMBER 24, 2019, INCLUSIVE.**

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Jacqueline Wagner, Assistant Executive Director, stated that the Los Angeles County Fair (LACF) proposed to operate a race meeting at the Los Alamitos Race Course (LARC) commencing September 4, 2019 through September 24, 2019, inclusive. Chairman Winner asked if there were any outstanding items. Ms. Wagner said the Thoroughbred Owners of California (TOC) race meet agreement had not been submitted, and the stabling and vanning agreement had not been signed by the Santa Anita Race Track (SA) and San Luis Rey Downs (SLRD). Jack Liebau, LARC, said that SA and SLRD had been accepting monthly payments, so there was a contract in place. He stated that TOC wanted to make changes to the race meet agreement, and he did not think any racing association would be opposed to those changes. He said LACF no longer had the two Barrett's stakes races, but there would still be five stakes races, as with the 2018 race meet. Vice-Chairman Auerbach asked if the Board wanted to hear the agenda item at its August 2019 meeting so that LACF could resolve the issues with missing items. Chairman Winner stated that the Board needed to move forward on the agenda item. Greg Avioli, TOC, said there was one key provision that had not yet been negotiated, and the TOC Board directed him not to sign the race meet agreement until it was negotiated. The provision addressed the issue of a track that wanted an owner and the owner's trainer to leave the

premises. The TOC wanted to have the same provision in its agreements with all of the tracks in California. He stated that some tracks could take the position that the track was private property, so the track would dictate who could stay or had to leave. However, he explained to racetrack management throughout the state that the private property argument did not hold when it involved thoroughbred racing on the property. There were established rules and procedures that had to be followed pursuant to an agreement with the horsemen. He said the Stabling and Vanning Committee had voted unanimously on the stabling and vanning agreement, and it had been in place and funded throughout the year. The Stronach Group had not signed it, but in his opinion, it was in full force. Robert Brodnik, CHRB Staff Counsel, stated that the TOC's contracting powers were related to the purse agreements, simulcast agreements, and all other agreements related to the conduct of racing that affected the owners. Executive Director Rick Baedeker said that under CHRB Rule 1989, any person could be removed or denied access for any reason deemed appropriate by the association. He asked if it was permissible for an agreement submitted to the Board to contradict or supersede a CHRB rule. Mr. Brodnik stated that the reason for removal or denial of access under Rule 1989, Removal or Denial of Access, was not specified in the rule. Mr. Liebau asked if someone ejected by the track had the right to a hearing. Mr. Brodnik said that under Rule 1980, Persons Prohibited from Wagering, the track was obligated to exclude certain classes of people, such as people convicted of bookmaking, from being within its premises. A person excluded in that case could apply for a hearing to establish that he or she either was not in that class of people, had been misidentified, or had been rehabilitated and should not be excluded from the premises. He said the same process did not exist for Rule 1989 because the track was

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acting as it saw fit. Executive Director Baedeker stated that the issue was complex, and it was unfair to all parties to discuss it ad hoc without enough preparation. Chairman Winner said the Board could grant the license based on the race meet agreement from the 2018 meet. Mr. Avioli stated it was not TOC's intent to stop any of the race meets from taking place. He asked that the Board approve the license with the condition that LACF and TOC reach an agreement by a certain date, and if there was no agreement by said date, the Board would decide the conditions under which the race meet would operate. Alan Balch, California Thoroughbred Trainers (CTT), said the CTT race meet agreements were in place for all the race meet applications on the agenda. He stated there was a formal letter to the Board seeking the immediate investigation of a dispute between CTT and the Del Mar Thoroughbred Club (DMTC). He said he wanted CTT to be involved in the discussions regarding the issue of an individual being removed from a track. The CTT believed strongly that "fair procedure" should be provided to an individual who was subject to exclusion. Mr. Liebau stated he had no problem with CTT being involved in the discussions. Eric Sindler, SA and SLRD, said there was no problem with executing the stabling and vanning agreement. Vice-Chairman Auerbach stated that when the regulations and rules were first written, it was clear which organizations represented owners and trainers. It was no longer clear-cut. Mr. Balch said the law specifically stated and anticipated there would be differences of opinion about what applied to the owner and the trainer, and when there was a dispute, it was to be resolved by the Board. Vice-Chairman Auerbach asked if it was realistic to race four days per week. Mr. Liebau stated he was not sure that LACF could do better than three days per week. Executive Director Baedeker asked if there was any disagreement regarding the Board

setting aside the issue of an individual being removed from or denied access to a track, which was holding up the execution of the TOC race meet agreement. Mr. Avioli asked if the race meet would be run under the same conditions that were in effect in 2018, and the issue would be addressed when the DMTC fall 2019 race meet application was heard. Executive Director Baedeker said "yes." Mr. Avioli stated that the solution sounded reasonable. Chairman Winner said the Board would ask the parties to continue discussions in good faith to try to reach an agreement. If an agreement could not be reached, the Board would step in and help negotiate. He asked if Mr. Liebau agreed with the compromise. Mr. Liebau said he agreed if Mr. Avioli assured him that the language in the race meet agreement was the same as what had always been in the agreement for each race meet. Mr. Avioli stated he was not aware of anything new in the agreement, and it was the same as in 2018. Mr. Liebau said he agreed. Chairman Winner asked if Mr. Balch agreed. Mr. Balch said the CTT race meet agreement was already in place. Commissioner Ruano stated she wanted to hear about the cross-marketing with LACF. Orlando Gutierrez, LARC, said that LARC had several different initiatives over the years that it had implemented with LACF. The LARC and LACF conducted ticket promotions on-track and sent mailers with cross-marketing, whereby LARC ticketholders could visit LACF. He stated that in the next few weeks, LARC would be working on a promotion for 2019. Mr. Brodник said he had just witnessed LACF sign the TOC agreement, so that outstanding item had been fulfilled. Vice-Chairman Auerbach **motioned** to approve the LACF application for license to conduct a horse racing meeting at Los Alamitos commencing September 4, 2019 through September 24, 2019, inclusive. Commissioner

Ruano **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano, Ferraro. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 25, 2019 THROUGH NOVEMBER 5, 2019, INCLUSIVE.**

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Jacqueline Wagner, Assistant Executive Director, stated that the Los Angeles Turf Club II (LATC II) proposed to operate a race meeting at Santa Anita (SA) commencing September 25, 2019 through November 5, 2019, inclusive. Eric Sindler, LATC II, said an agreement had been reached with the Thoroughbred Owners of California (TOC), and he expected to get a signed race meet agreement to CHRB staff as soon as possible. He stated LATC II was thrilled to host the Breeders' Cup for a record tenth time. He said more than \$5 million had been invested into the facility, with new outdoor suites having a lounge set-up, a new Finish Line dining area, and additional clubhouse suites. Also, the fifth-floor restaurant was remodeled, along with a new player's room that would host the Breeders' Cup Betting Challenge and potentially several other handicapping contests. He stated LATC II had a busy promotion schedule. Opening weekend would start with Oktoberfest on the track side and a concert in the infield featuring some popular Chinese musicians. He said that at all of the events throughout the six weeks, there would be wagering ambassadors who would teach new fans how to wager and hopefully convert some new fans to lifelong fans. The LATC II wagering menu was the same as with its Winter/Fall meet. He stated that the two-day special Breeders' Cup wagering menu was still being discussed and would be submitted once finalized. He said the stakes schedule would be

similar to the stakes schedule in 2016, which was the last time Santa Anita hosted the Breeders' Cup. He stated that opening weekend would feature a number of prep races for the Breeders' Cup, and he hoped to get a few shippers from the East Coast to come out. LATC II hoped to open its race meet with strong prep races and have a fantastic closing weekend anchored with the Breeders' Cup. He said LATC II would perform routine track maintenance that was done every summer, with the exception of the installation of a new drainage system. Vice-Chairman Auerbach stated that the stakes schedule showed six-and-a-half turf races. She asked if those races would definitely occur. Mr. Sindler stated it was still being decided if LATC II would run those races. Tim Ritvo, The Stronach Group (TSG), said LATC II placed the races on the schedule because it was exploring the downhill turf course on selective races. Commissioner Solis stated he had concern about the five-furlong grass races. He said the main concern was the rail coming out. If the rail came out, as jockeys were saying, it would throw the horses out, no matter how slow or fast they were running. If there were three or four horses coming into that turn, it was going to be a problem. Mr. Ritvo said they were willing to work with the jockeys on whether five-eighths grass races should be run with a temporary rail at all, and if they decided to not use the six-and-a-half, then they would alleviate that by banking the turn. He stated that the reason it was not banked now was because of the pass—coming off the six-and-a-half, a flat crossover was needed. Commissioner Ferraro asked who would be in charge of the track maintenance. Mr. Ritvo stated Dennis Moore. He said Mr. Moore was overseeing the engineering process of the drainage system and was looking at the five-and-a-half gap being installed. Chairman Winner said there was a lot of concern about the downhill six-and-a-half-furlong turn. The question was whether the use of the

downhill six-and-a-half should be determined by the association without Board approval. Mr. Ritvo stated that TSG's discussions were always open with the Board, jockeys, and trainers. He said it would not be a single entity making a decision. Chairman Winner suggested that if the Board approved LATC II's license, Executive Director Rick Baedeker should be informed before any decision was made with respect to six-and-a-half or five-furlong turn. Therefore, LATC II would not have to come back to the full Board. The Executive Director would have authority to agree to approve those races. Mr. Ritvo said that TSG would be fine with that. Vice-Chairman Auerbach asked if the Breeders' Cup was using the six-and-a-half on the hill. Craig Fravel, Breeders' Cup (BC), said BC recently announced it was going to use the five furlongs on the turf for both the juvenile turf sprint and the turf sprint. Vice-Chairman Auerbach said it was more important for the five-furlong situation to be resolved in a way that was a lot safer than it was currently. Mr. Fravel stated that BC was unaware of any safety concerns related to five furlongs until now. Vice-Chairman Auerbach said if one went back and watched the races on five, there was no banking on the turf. So, when the horses came around the turn to come into the stretch, they tended to go really wide and seemed to get away from the riders. She stated it was a concern, and the Board wanted everyone to be safe. She said that Mr. Fravel could work with the people at Santa Anita to figure out how to make it better. Mr. Fravel stated that BC would certainly have those conversations as soon as it could. Commissioner Ferraro asked if there were statistics that showed the injury rate coming down the hill compared to what the injury rate was on the regular turf course. Mr. Ritvo said yes. There was a ten-year statistic showing the injury rate was a touch higher than on a traditional grass course over the ten years. He stated that TSG could send a copy

to the Board. He said there were years when it was less, and then there were a couple of years when it spiked. Chairman Winner asked if there were spikes coming down the hill this last year, especially when the horse hit the dirt. Mr. Ritvo said yes, the spikes occurred on the crossover. Darrell Haire, Jockeys' Guild, said he and Commissioner Solis had been talking to riders, and the concern was going into the turn. He stated that the configuration was sharp and caused the horses to go to the right when they made the turn. The horses could not negotiate it properly, and riders expressed concerns. Chairman Winner asked if everyone would agree to SA working with the Jockeys' Guild, keeping Commissioner Solis involved in the process, and informing Executive Director Baedeker for final approval. Mr. Haire said absolutely. Mr. Ritvo agreed. Mary Forney, TOC, stated that TOC asked the Board to consider putting the approval of the race meet off until August 2019. She said TOC had not yet received a race meet agreement proposal, purse proposals, or overnights or stakes proposals. Commissioner Alfieri wanted to commend TSG on its marketing plan, as it included a lot of detail and information on horse care and safety education. Vice-Chairman Auerbach **motioned** to approve the license with the condition that any decision about five-furlong turf races and six-and-a-half-furlong turf races be agreed upon by the Executive Director before any decisions were implemented and conditioned upon a TOC agreement being filed with the Board by August 2, 2019: Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **STATUS OF OUTSTANDING ITEMS FROM THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS**, COMMENCING AUGUST 15, 2019 THROUGH SEPTEMBER 29, 2019, INCLUSIVE, WHICH WAS APPROVED AT THE JUNE 20, 2019 REGULAR MEETING OF THE BOARD, CONTINGENT UPON THE RECEIPT OF OUTSTANDING ITEMS.

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Jacqueline Wagner, Assistant Executive Director, stated that the Pacific Racing Association II (PRA II) proposed to operate a race meeting at Golden Gate Fields (GGF) commencing August 15, 2019 through September 29, 2019, inclusive. Chairman Winner asked if PRA II had submitted the satellite agreements. Ms. Wagner said yes, but the Thoroughbred Owners of California agreement had not been submitted. Chairman Winner asked if the agreement had been approved. Eric Sindler, PRA II, stated it just needed to be signed. Executive Director Rick Baedeker said the outstanding items were received, so the conditions placed on the license were removed. Commissioner Maas **motioned** to approve the PRA II application for license to conduct a horse racing meeting at GGF, commencing August 15, 2019 through September 29, 2019, inclusive. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano, Ferraro. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **POTENTIAL REALLOCATION OF 2019 NORTHERN CALIFORNIA RACE DATES FROM PACIFIC RACING ASSOCIATION (II) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 15, 2019 THROUGH SEPTEMBER 29, 2019, INCLUSIVE, IN THE EVENT OUTSTANDING ITEMS ARE NOT RECEIVED.**

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This agenda item was set aside due to the resolution of agenda item #5.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE PACIFIC RACING ASSOCIATION (GOLDEN GATE FIELDS) FOR A WAIVER TO CHRB RULE 1472, RAIL CONSTRUCTION AND TRACK SPECIFICATIONS (SUBSECTIONS (b), (c), (e) AND (g)), TO FACILITATE THE INSTALLATION OF A NEW INNER AND OUTER TURF RAIL.**

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Eric Sindler, Pacific Racing Association (PRA), stated there was a new door lock rail currently being used at Santa Anita Park (SA), and it was very safe. The Stronach Group had success with it at SA and planned on installing one at Golden Gate Fields. Executive Director Rick Baedeker said the Board had granted waivers in the past that were similar to what PRA was requesting. Commissioner Maas **motioned** to approve the PRA request for a waiver to CHRB Rule 1472, Rail Construction and Track Specifications, to facilitate the installation of a new inner and outer turf rail. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE SUBMISSION OF THE PROPOSED EMERGENCY ADDITION OF CHRB RULE 1435, SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING, TO THE OFFICE OF ADMINISTRATIVE LAW, IN RESPONSE TO SENATE BILL 469, WHICH REQUIRES THE BOARD TO ADOPT EMERGENCY REGULATIONS TO IMPLEMENT BUSINESS AND PROFESSIONS CODE SECTION 19481.7.**

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Robert Brodnik, CHRB Staff Counsel, stated that the proposed emergency addition of CHRB Rule 1435, Suspension of License to Conduct a Race Meeting, would create a process for the Board to follow in order to suspend a race meet license or place restrictions, if necessary, to protect the health and safety of the horse or rider. This process would begin with the filing of a petition with supporting affidavits that established the necessity to act for the health and safety of the horse or rider. The licensee, which

would be the association, would be given 24 hours' notice, and at the hearing they could be represented by counsel, provide their own declarations, and make arguments. The Board would then have up to five days to decide what, if any, action to be taken. If the Board did take action, it would be required to review that decision within ten calendar days. The Board would be able to keep any suspension in place until the Board determined that the matters jeopardizing the health and safety of the horse or rider had been addressed. He said staff believed this offered a fair procedure while still allowing the Board to take prompt action, as was intended under Senate Bill 469. Vice-Chairman Auerbach asked if the emergency regulations had to go out for the 45-day public comment period. Mr. Brodnik stated that the statute required the Board to implement emergency regulations, which was a different process than the standard rulemaking process. He said the emergency regulation would be mailed out to individuals on the mailing list and would be posted on the CHRB website for five business days. Then the noticed text and supporting documents would be submitted to the Office of Administrative Law (OAL). The OAL would then have ten calendar days to review the emergency regulation. At the end of the ten calendar days, OAL would then make a determination. If approved, the emergency regulation would be filed with the Secretary of State and would go into effect that day. While the emergency regulations were in place, similar language would go through the standard rulemaking process. Commissioner Ruano **motioned** to approve the submission of the proposed emergency addition of CHRB Rule 1435 to OAL. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Alfieri, Maas, Solis, Winner, Auerbach, Ruano, Ferraro. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING REQUIRED CHANGES TO CHRB RULES WITH RESPECT TO THE LIMITATIONS OF FUROSEMIDE (LASIX) USE BEGINNING IN 2020, AS WELL AS THE NEED FOR RELATED REGULATORY LANGUAGE AS MAY BE NECESSARY TO PROTECT THE HEALTH AND WELFARE OF THE HORSE.**

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This item was removed from the agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO CHANGE THE ALLOWABLE DOSAGE OF FUROSEMIDE THAT CAN BE ADMINISTERED FROM NOT LESS THAN 150 MG AND NOT MORE THAN 500 MG TO 250 MG; AND TO PROHIBIT THE ADMINISTRATION OF FUROSEMIDE IN FOALS BORN IN 2018 AND SUBSEQUENT YEARS.**

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Chairman Winner stated that the proposed amendment to Rule 1845, Authorized Bleeder Medication, was based on the Lasix agreement that was reached by the Thoroughbred Owners of California and The Stronach Group (TSG). Dr. Dionne Benson, TSG, said TSG was aware of only one horse that had an instance of epistaxis, or bleeding from the nose, after the agreement was instituted. She stated this was not outside of the numbers they would expect with full doses of Lasix, so horses were able to run under the allowable dosage. Dino Perez, Pacific Coast Quarter Horse Racing Association, asked if the proposed amendment applied to quarter horses. Executive Director Rick Baedeker said the proposed amendment applied to all breeds. Mr. Perez stated he had not yet spoken to his board about the proposed amendment, so there could be some opposition. He asked if they would have the 45-day period to provide comments. Executive Director Baedeker said yes. Commissioner Maas **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1845. Vice-Chairman

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Auerbach **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Ruano, Auerbach, Winner, Solis, Maas, Alfieri. Nay: None. Motion carried.

**PUBLIC COMMENT**

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There were no public comments.

**MEETING ADJOURNED AT 11:12 A.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 22, 2019**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
LOS ALAMITOS EQUINE SALE COMPANY, LLC'S REQUEST FOR  
AUTHORIZATION OF ITS 15<sup>TH</sup> ANNUAL QUARTER HORSE YEARLING AND MIXED  
STOCK SALE, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting  
August 22, 2019

#### ISSUE

The Pacific Coast Quarter Horse Racing Association, (PCQHRA) has presented its request for the 15<sup>th</sup> Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale, and has requested the Board's authorization for the sale.

- The 15<sup>th</sup> Annual Los Alamitos Equine Quarter Horse Yearling and Mixed Stock Sale is scheduled for Thursday and Friday, October 5 and 6, 2019 at the Los Alamitos Race Course. The owners of the sale company are: Edward C. Allred, John Andreini Estate, Steve Burns, DVM (Burns Ranch) and Dawn and Rhonda List (Double Bar \$ Ranch). The sale is managed by the PCQHRA.

#### BACKGROUND

CHRB Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horse or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sales or horse auction sale shall be upon such conditions as may be imposed by the Board.

#### RECOMMENDATION

This item is presented for Board discussion and action. PCQHRA representatives are prepared to update and answer any questions the Board may have regarding the sale.



**LOS ALAMITOS**  
*Equine Sale*



Mr. Rick Baedeker  
California Horse Racing Board  
Executive Director  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

July 22, 2019

Dear Rick,

The Los Alamitos Equine Sale Company, LLC is requesting CHRB approval under Rule 1807 (Authorized Horse Sales) for its 15<sup>th</sup> Annual Quarter Horse Yearling and Mixed Stock Sale. The sale will be held on October 5<sup>th</sup> and 6<sup>th</sup>, 2019 at Los Alamitos Race Course.

The owners of the sale company are Edward C. Allred, John Andreini Estate, Steve Burns, DVM (Burns Ranch) and Dawn and Rhonda List (Double Bar \$ Ranch). The sale is managed by the Pacific Coast Quarter Horse Racing Association.

If you have any questions please feel free to contact me.

Sincerely,



Dino Perez

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING THE REQUEST FOR APPROVAL  
OF THE NOMINATED MEMBERS TO  
THE BOARD OF DIRECTORS OF THE CALIFORNIA THOROUGHBRED  
HORSEMEN'S FOUNDATION, INC., PURSUANT TO CHRB RULE 2049(a),  
DESIGNATION AND APPROVAL OF HORSEMEN'S WELFARE FUND

Regular Board Meeting  
August 22, 2019

## ISSUE

Pursuant to Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, the California Thoroughbred Horsemen's Foundation (CTHF) has requested Board approval of the nominated members to its Board of Directors.

## ANALYSIS

The CTHF has nominated five individuals for open board member positions. The five nominations will bring the CTHF board to a total of eight members, which is within the range of the number of members permitted under Rule 2049. In accordance with Rule 2049(a) the nominations have been submitted to the CHRB for approval.

The following individuals were nominated for a two-year term, expiring on June 30, 2021:

- Thomas R Bell, (new board member).
- Ken Smole, (returning board member).
- Rick Gold, (returning board member).
- Damascus Castellanos, (returning board member)
- Josh Rubinstein, (returning board member).

Pursuant to CHRB Rule 2049(a), the nominees are submitted to the Board for approval.

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Business and Professions Code section 19641 states that any redistributable money in a pari-mutuel pool subject to payment to a claimant pursuant to section 19598, but not successfully claimed within that period, shall be paid as specified and an estimated payment equal to 20 percent of the preceding year's unclaimed redistributable money shall be distributed to the welfare fund as specified.

Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of nine directors who are subject to Board approval. The directors or trustees of the charitable corporation may be common directors of the horsemen's organization if at

least 40% of the directors or trustees have no financial interest in horse racing as a licensed owner, trainer, or assistant trainer and are not a current member of the horsemen's organization.

The CTHF is a self-administered charitable program dedicated to assisting financial needy backstretch workers and their primary dependents. The CTHF offers medical and dental care; prescriptions; eye testing and glasses; financial aid; social programs; free clothing and household items. The CTHF also provides services and support through the California Thoroughbred Trainers, the Winners Foundation and the Chaplaincy program. The CTHF is regulated by the CHRB and is funded principally through the receipt of unclaimed winning pari-mutuel ticket revenues and a share of Advance Deposit Wagering receipts. Additionally, donations and support are received from thoroughbred tracks the Thoroughbred Owners of California and individual donors.

#### RECOMMENDATION

The item is presented for discussion and action.

Staff recommends the Board hear from the CTHF representative.



July 22, 2019

KEN SMOLE  
*President*

VICTOR LEVINE, M.D.  
*Vice President*

ANGIE CARMONA  
*Secretary*

*Board of Directors*  
ANGIE CARMONA  
DAMASCUS CASTELLANOS  
RICK GOLD  
VICTOR LEVINE, M.D.  
GERRY PICCIONI  
JOSH RUBINSTEIN  
ERIC SINDLER  
KEN SMOLE

*In Memoriam*  
NOBLE THREEWITT

*Director Emeritus*  
LEONARD DORFMAN

CLIFF GOODRICH  
*Executive Director*

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

Dear Jackie:

CHRB Rule 2049 (a) requires our foundation to gain approval of the CHRB for directors elected to our board, whether serving another term, or new directors to our board.

During the June Board of Directors' meeting of the California Thoroughbred Horsemen's Foundation, Inc., nominations were held for open board member positions, after soliciting possible nominees from our Industry partners. The following individuals were elected for a two-year term, expiring June 30, 2021, subject to CHRB approval.

**Thomas R Bell** (new board member). "Ray" has been involved in the Thoroughbred Industry as a trainer, owner and breeder for most of his life. Currently a member of the CTT board of directors, Ray's father and grandfather were also heavily involved in the Industry - his grandfather's participation dating back to when Del Mar originally opened in the late 1930's. Having grown up in Arcadia, near Santa Anita, there haven't been many days when Ray has missed visiting or working in the backstretch. Ray has seen the benefits the CTHF offers to his employees, and all backstretch workers, and is pleased to be able to represent his fellow stable workers with a seat on the CTHF board.

**Ken Smole** (returning board member). Ken is a licensed Thoroughbred owner and worked in the medical malpractice Industry for 35 years with primary responsibility in strategic planning and business development.

CTHF Letter to CHRB – 2019

Re: CHRB Approval of CTHF Directors

Page 2

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**Rick Gold** (returning board member). Rick is a retired Silicon Valley entrepreneur, executive and investor. Rick is a licensed horse owner and also currently serves on the TOC board of directors. He has extensive experience in board governance, having served on the boards of over 20 organizations in the public, private and non-profit sectors.

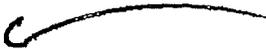
**Damascus Castellanos** (returning board member). Damascus is the Business Representative for Teamsters' Local 495, representing a host of racetrack workers. By statute, he is the labor representative on our board and has been a major asset during his tenure.

**Josh Rubinstein** (returning board member). Josh currently serves as the President and Chief Executive Officer of the Del Mar Thoroughbred Club. He serves on numerous Industry committees and boards and is heavily involved in the challenges the Industry currently faces.

Pursuant to Rule 2049 (a) of the California Horse Racing Board Rules and Regulations, the nominations are submitted to the CHRB for approval at a public meeting of the CHRB's choice. These nominations bring the board to a total of eight members, within the scope of the number allowed by Rule.

Please let me know if there is anything we can do to assist in the process.

Best regards,



Cliff Goodrich  
Executive Director

**STAFF ANALYSIS**

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 2049, DESIGNATION AND APPROVAL OF HORSEMEN'S WELFARE FUND, TO 1) INCREASE THE MINIMUM NUMBER OF DIRECTORS OR TRUSTEES FROM NINE TO ELEVEN; 2) ELIMINATE THE REQUIREMENT THAT DIRECTORS OR TRUSTEES HAVE NO FINANCIAL INTEREST IN HORSE RACING AS A LICENSED OWNER, TRAINER OR ASSISTANT TRAINER AND ARE NOT A CURRENT MEMBER OF THE HORSEMEN'S ORGANIZATION AND 3) INCREASE THE TERM FOR DIRECTORS AND TRUSTEES FROM TWO TO THREE YEARS**

Regular Board Meeting  
August 22, 2019

**ISSUE**

The California Thoroughbred Horsemen's Foundation, Inc. (CTHF) has submitted a request for proposed amendments to CHRB Rule 2049, Designation and Approval of Horsemen's Welfare Fund, to the Board. The proposed changes to Rule 2049 would increase the maximum allowable number of directors or trustees of the Horsemen's Welfare Fund from nine to eleven and increase the length of the term for a director or trustee from two years to three years. The proposed changes would also eliminate the requirement that at least forty percent of the directors or trustees have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer and not be current members of the horsemen's organization responsible for establishing the Horsemen's Welfare Fund.

**ANALYSIS**

The CTHF's request to increase the maximum number of directors or trustees would allow the Horsemen's Welfare Fund to expand its activities beyond the maintenance of the health of backstretch workers. In 2019, the CTHF began an initiative to offer, as part of its healthcare to backstretch workers, programs and activities that provide recreational, cultural, educational, nutritional, social, spiritual, psychological, and physical fitness benefits. The CTHF has determined that a slightly larger board is needed to accomplish its endeavors. Additionally, the change from a two-year term for a director or trustee to a three-year term would provide greater stability, be less disruptive to the nomination process, and allow for a better determination of a director or trustee's merit.

The CTHF's request to eliminate the requirement that at least forty percent of the directors or trustees have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer and not be current members of the horsemen's organization responsible for establishing the Horsemen's Welfare Fund would support efforts to recruit qualified individuals who have a keen interest in the welfare of backstretch workers. The CTHF states it has found that the present rule is too restrictive, as individuals with a genuine interest in the welfare of backstretch workers are already licensed owners,

trainers, or assistant trainers or serve as directors for the Thoroughbred Owners of California or the California Thoroughbred Trainers.

#### BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund. Rule 2049 requires that the charitable corporation have at least five and no more than nine directors or trustees who are subject to Board approval. At least forty percent of the directors or trustees must have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer and must not be current members of the horsemen's organization responsible for establishing the charitable corporation.

#### RECOMMENDATION

This item is presented for Board discussion and action.

The Board may wish to hear from a CTHF representative.

CALIFORNIA HORSE RACING BOARD  
 TITLE 4. CALIFORNIA CODE OF REGULATIONS  
 ARTICLE 23. CHARITY FOUNDATIONS AND WELFARE FUNDS  
 PROPOSED AMENDMENT OF  
 RULE 2049. DESIGNATION AND APPROVAL OF HORSEMEN'S WELFARE FUND

Regular Board Meeting  
 August 22, 2019

2049. Designation and Approval of Horsemen's Welfare Fund.

The horsemen's organization acknowledged by the Board under Rule 2040 of this division shall establish a charitable corporation to administer its welfare fund for the benefit of horsemen. The charitable corporation shall register with the Registry of Charitable Trusts and be in compliance with the provisions of the Uniform Supervision of Trustees for Charitable Purposes Act (Government Code Sections 12580 et seq.). The Board shall designate the charitable corporation as the "welfare fund" for the purposes intended under Section 19641(b) of the Business and Professions Code (~~B&P Code~~); if:

(a) The charitable corporation shall have a minimum of five and a maximum of ~~nine~~eleven directors or trustees who are subject to Board approval. The charitable corporation may not be a subsidiary or division of the horsemen's organization. ~~The directors or trustees of the charitable corporation may be common directors of the horsemen's organization if at least forty percent of the directors or trustees of the charitable corporation have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer and are not a current member of the horsemen's organization.~~ The charitable corporation shall select at least one director or trustee without financial interest in horse racing pursuant to ~~B&P~~Business and Professions Code ~~Section~~Section 19641.2(c). The term of a director or trustee ~~is~~shall be ~~two~~three years. A director or trustee may serve succeeding terms.

(b) The charitable corporation shall establish its fiscal year to be July 1 through June 30 and shall establish a principal office for the conduct of its activities and as the repository for its records. Its records shall be open to inspection by the Board during normal business hours. The corporation shall publish a report of its activities biennially.

(c) The directors or trustees shall appoint an administrator of the welfare fund who shall procure a license from the Board and perform the duties directed by the directors or trustees.

(d) The directors or trustees shall establish a constitution or bylaws setting forth criteria for eligibility of the beneficiaries of the various programs and activities to be funded under Section 19641(b) of the B&P Business and Professions Code and submit to the Board on or before October 31 of each year a proposed schedule of expenditures from the fund for the next fiscal year specifying therein the categories, programs or activities for which funds will be expended.

Authority: Section 19440,  
Business and Professions Code.

Reference: Sections 19641 and 19641.2,  
Business and Professions Code; and  
Section 9.00, Chapter 324, Statutes of 1983 (Budget Act of 1983).

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE SUBMISSION OF  
PROPOSED EMERGENCY ADDITION CHRB RULE 1435, SUSPENSION OF  
LICENSE TO CONDUCT A RACE MEETING, TO THE OFFICE OF ADMINISTRATIVE  
LAW, IN RESPONSE TO SEANTE BILL 469, WHICH REQUIRES THE BOARD TO,  
ADOPT EMERGENCY REGULATIONS

Regular Board Meeting  
August 22, 2019

## ISSUE

In response to the recent equine fatalities at Santa Anita Park, the California Legislature passed Senate Bill 469 which expands the Board's ability suspend a license to conduct a horse race meeting when necessary to protect the health and safety of either the horse or rider. SB 469 was signed into law by California Governor Gavin Newsom on June 24, 2019. SB 469 requires the Board to adopt emergency regulations to implement the authority provided by the new law. This requirement has created an emergency situation whereby the Board will be unable to utilize the authority provided by SB 469 until emergency regulations are passed.

At the Board's regularly scheduled meeting in July, the Board directed staff to file the proposed emergency regulation with the Office of Administrative Law (OAL). During its review of the file, OAL identified areas that required amendment in order to pass OAL scrutiny. These areas included clarifying the written notice requirement to delete "other electronic means" and inserting "license restriction order" throughout the regulation for consistency. In response, staff has made the required changes and is prepared to file the emergency regulation with the Office of Administrative Law.

## ANALYSIS

Filing an emergency regulation with the Office of Administrative Law (OAL) differs procedurally from the standard rulemaking process. Essentially, the accelerated filing allows the emergency regulation to take effect approximately 17 days after it is noticed to the public. Specifically, the process entails the following:

- At least 5 working days before filing with OAL, the state agency must mail and post an emergency Notice. The Notice must include the proposed text and the "Finding of Emergency."
- After at least 5 working days have passed, the agency may file the emergency regulation with OAL. OAL then has 10 days to approve or deny the emergency regulation.
- During the first 5 days of OAL's review, the public may submit comments to OAL with a copy to the state agency.
- The agency has until the 8th day of OAL's 10-day review to submit rebuttal to any public comments made to OAL; however the agency is not required to respond to public comments.

- On the 10th day after the emergency regulation is filed, OAL will either approve or deny the emergency regulation, and if approved, it will be filed with the Secretary of State and become effective immediately.
- Once an emergency regulation is approved, it is effective for 180 days. If the agency wishes to make the emergency regulation a permanent regulation, it must undergo the regular rulemaking process during that 180-day period. Only if the agency has made substantial progress towards adopting a permanent regulation may it readopt the emergency regulation for two additional 90-day periods.

Senate Bill 469 specifically states that “the initial adoption of emergency regulations and the readoption of emergency regulations authorized by this section shall be deemed an emergency and necessary for the immediate preservation of the public peace, health, safety, or general welfare.” Additionally, CHRB staff has determined that without the passage of emergency regulations as required by statute, the Board will be unable to utilize the authority conferred within Senate Bill 469.

The proposed emergency Rule 1435, Suspension of License to Conduct a Race Meeting, would directly address this emergency situation by implementing a procedure for the Board to follow in suspending a license to conduct a race meeting license when necessary to protect the health and safety of the horse or rider.

The proposed addition of Rule 1435 will establish that the Board may, upon petition, issue an order suspending a license to conduct a race meeting or imposing restrictions. The petition shall include documents in support of the petition. Prior to the hearing on the petition, the Board shall notify the licensee in writing at least 24 hours before the hearing. At the hearing, the licensee will have the opportunity to be represented by counsel, present written evidence and make oral argument. A recording of the proceedings will be made. The Board will have five days following the hearing to issue a decision. Any decision to suspend a license or impose restrictions shall be reviewed by the Board within 10 calendar days. During the review, the licensee will be afforded the same rights as the initial hearing. Finally, any suspension issued by the Board shall remain in effect until the Board determines that the matters jeopardizing the health and safety of the horse or rider at the race meeting have been adequately addressed.

## RECOMMENDATION

The submission of these emergency regulations is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 2. RACING ASSOCIATION  
PROPOSED ADDITION OF  
RULE 1435 SUSPENSION OF LICENSE TO CONDUCT A RACE MEETING

6-3

1435. Suspension of License to Conduct a Race Meeting.

(a) When taking any action against a licensed racing association to suspend racing or impose conditions pursuant to Business and Professions Code section 19481.7, the following procedures shall be followed:

(b)(1) The Board may, upon the filing of a written petition, issue an order suspending a license to conduct a racing meeting or imposing license restrictions. The petition shall include a declaration or declarations that demonstrate, to the satisfaction of the Board, that permitting the licensee to continue to engage in the licensed activity, or permitting the licensee to continue in the licensed activity without restrictions, would endanger the health and safety of the horses or riders that are present at the racing meeting.

(2) For purposes of this section, the health and safety of horses or riders may be endangered when:

- (A) An above-average number of injuries to horses and/or riders participating in the race meeting have occurred. The Board shall have discretion to determine the period of time used to measure whether an above-average number of injuries have occurred when compared with historic data.
- (B) A condition exists within the inclosure that is likely to endanger the health and safety of the horses or riders that are present at the racing meeting.
- (C) For any other reason the Board finds the health and safety of horses or riders is endangered.

(c)(1) The licensed racing association shall be given at least 24 hours' notice of the hearing on the petition for a suspension or license restriction order. The notice shall include the petition and declarations submitted to the board in support of the petition.

(2) Notice shall be given in writing, and may be provided by personal service, mail, facsimile transmission or electronic mail.

(3) Notice shall be given to either the licensee, its designee, or any officer or director associated with the licensee.

(d)(1) At the hearing on the petition for a suspension order or license restriction order, the licensee may:

- (A) Be represented by counsel.
- (B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.
- (C) Present written evidence in the form of relevant declarations, affidavits, and documents.
- (D) Present oral argument.

(e) The Board shall issue a decision on the petition for suspension order or license restriction order within five business days following submission of the matter.

(f) The Board shall review any decision to suspend a racing license or impose license restrictions within 10 calendar days of that decision.

(g)(1) At the hearing to review a decision by the Board under this section, the licensee may:

- (A) Be represented by counsel.
- (B) Have a record made of the proceedings, copies of which may be obtained by the licensee upon payment of any reasonable charges associated with the record.
- (C) Present written evidence in the form of relevant declarations, affidavits, and documents.
- (D) Present oral argument.

(h) Any suspension order or license restriction order shall remain in effect until the Board, at a meeting, determines that the matters jeopardizing the health and safety of the horses or riders that are present at the racing meeting have been adequately addressed.

(i) As a condition of lifting a suspension order or license restriction order, the Board may require a licensee to comply with additional safety standards or other requirements as it deems necessary or desirable for the best interests of horse racing and the purposes of this division.

(j) Failure to comply with a suspension order or license restriction order issued pursuant to subdivision (b)(1) shall constitute a separate cause for disciplinary action against any licensee.

(k) The orders provided for by this section shall be in addition to, and not a limitation on, the authority to seek injunctive or other relief provided in any other provision of law.

(l) A petition for an order suspending a license to conduct a racing meeting or imposing license restrictions may be filed by the Executive Director or their designee, or by the Equine Medical Director of the Board.

Authority Cited: Sections 19481.7, 19440, and 19460, Business and Professions Code. Reference: Sections 19481.7, 19440, and 19460, Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
PROPOSED AMENDMENT TO  
CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE,  
TO PROVIDE THAT A HORSE THAT HAS NOT BEEN IN THE CARE OF  
A CHRB LICENSED TRAINER AND STABLED WITHIN AN INCLOSURE  
LICENSED BY THE BOARD FOR SEVEN (7) CONSECUTIVE DAYS PRIOR TO THE  
RACE IS INELIGIBLE TO START IN A RACE

Regular Board Meeting  
August 22, 2019

## ISSUE

In 2015, the Board amended Rule 1877, Trainer to Insure Condition of Horse, to add owners of ship-in horses as joint-absolute insurers and equally responsible for the condition of the horse entered to race. The title of the rule was changed to "Trainer or Owner to Insure Condition of Horse." The primary purpose of the amendment was to address the use of "program" or "paper" trainers. The proposed amendment to Rule 1588, Horse Ineligible to Start in a Race, will strengthen the Board's ability to prevent program training. A new subsection (11)(B) provides that, except with prior approval of the stewards, and except for horses shipped directly from another racing jurisdiction, a horse that has not been in the care of a licensed trainer and stabled within a CHRB inclosure for seven consecutive days prior to the race, is ineligible to start in a race.

## ANALYSIS

A program trainer is the person whose name is listed on the official track program as the trainer of a horse that has actually been in the care of another. Sometimes the horse has been conditioned by an individual whose license has been suspended or revoked. The horse may not have been in the care of the program trainer long enough for him or her to train the horse, even minimally. As a result, horses may be running while unfit or, more likely, with a prohibited substance in their systems. The requirement that the horse be in the care of a licensed trainer within the inclosure for seven days prior to racing will give the trainer time to ensure the horse is sound and in fit condition to race. The seven days may also provide time for any prohibited substances to no longer be present in the horse. While the proposed amendment to Rule 1588 will address program training, it will also help to reduce the associated medication violations which affect the integrity of the race.

The proposed amendment provides an exemption for horses shipped directly from another racing jurisdiction. The regulation also allows the stewards to give prior approval for a horse not on the grounds for seven days.

## BACKGROUND

Business and Professions Code section 19440 provides the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California.

## RECOMMENDATION

This item is presented for Board discussion and action

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 6. ENTRIES AND DECLARATIONS  
PROPOSED AMENDMENT OF  
RULE 1588, HORSE INELIGIBLE TO START IN A RACE

Regular Board Meeting  
August 22, 2019

1588. Horse Ineligible to Start in a Race.

(a) In addition to any other valid ground or reason, a horse is ineligible to start in any race:

(1) if such horse is not registered by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(2) if the parentage verification to both the sire and the dam of all horses foaled in 1992 and thereafter has not been certified by the Jockey Club if a thoroughbred, the United States Trotting Association if a standardbred, the American Quarter Horse Association if a quarter horse, the Appaloosa Horse Club if an appaloosa horse, the Arabian Horse Registry of America if an Arabian horse, or the American Paint Horse Association if a paint horse;

(3) if, unless the stewards permit otherwise, the certificate of foal registration, eligibility papers, or other registration issued by the official registry for such horse is not on file with the racing secretary at the time of entry;

(4) if such horse has been entered or raced at any recognized race meeting under any name or designation other than the name or designation duly assigned by and registered with the official registry;

(5) if the certificate of foal registration, eligibility papers or other registration issued by the official registry has been altered, erased, or forged;

(6) if the identification markings of the horse do not agree with the identification markings as set forth in the registration of such horse;

(7) unless he is eligible to enter said race and is duly entered for such race;

(8) when such horse is owned in whole or in part by an unlicensed person or is in the care of an unlicensed trainer;

(9) when such horse is on the Steward's List, the Starter's List or the Veterinarian's List;

(10) when, except with prior approval of the stewards for good cause, such horse is on the Veterinarian's List in another racing jurisdiction. Good cause includes:

(A) unforeseen administrative issues in removing the horse from the Veterinarian's List of another racing jurisdiction;

(B) the location of the horse prevents it from being evaluated by the official veterinarian of another racing jurisdiction and cleared from that jurisdiction's Veterinarian's List, and the horse is approved to race by a California official veterinarian;  
or

(C) any other unforeseen event or reason that would prevent a horse that would otherwise not be on a Veterinarian's List from being cleared from the Veterinarian's List of another racing jurisdiction.

(11) when, except with prior approval of the stewards;

(A) such horse has not been on the grounds of the association or its approved auxiliary stable area for at least 24 hours prior to the time the race is to be run, or

(B) except for horses being shipped directly from another racing jurisdiction, such horse has not been in the care of a trainer licensed by the Board and stabled within a CHRB inclosure, including auxiliary stabling areas, for seven consecutive days prior to the race.

(12) when such horse does not carry a microchip or has not received a waiver from the stewards in accordance with Rule 1597.5.

(13) when such horse has received an intra-articular injection within the previous five days (120 hours) prior to the scheduled post-time for the race in which it is entered.

(14) when such horse has not raced at a recognized race meeting within 12 consecutive months since its previous start, until the horse has performed satisfactorily in a workout or standardbred qualifying race as provided in Rule 1866(e) and (f).

(15) when such horse is a first-time starter four (4) years of age or older, until the horse has performed satisfactorily in a workout or standardbred qualifying race as provided in Rule 1866(e) and (f).

Authority: Sections 19440 and 19562,  
Business and Professions Code.  
Reference: Sections 19440 and 19562,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
PROPOSED AMENDMENT OF  
CHRB RULE 1866, VETERINARIAN'S LIST  
TO REQUIRE A HORSE THAT RECEIVES  
INTRA-ARTICULAR THERAPY TO BE PLACED ON THE VETERINARIAN'S LIST AND  
TO REVISE THE NUMBER OF HOURS THAT A HORSE PLACED ON THE  
VETERINARIAN'S LIST MUST WAIT BEFORE A WORKOUT FROM  
72 TO 120 HOURS AFTER BEING PLACED ON THE LIST

Regular Board Meeting  
August 22, 2019

#### ISSUE

Rule 1588, Horse Ineligible to Start in a Race, lists intra-articular injection within the previous 120 hours as a condition which will cause a horse to be ineligible to start in a race. However, there is nothing preventing the trainer from working the horse during that time. The proposed amendment to Rule 1866, Veterinarian's List will add intra-articular injections as a reason to place a horse on the Veterinarian's List. Placing the horse on the list will ensure the horse is rested and will provide for extra scrutiny by the Official Veterinarian or racing veterinarian. The proposed amendment to Rule 1866 will also increase from 72 hours to 120 hours the number of hours a horse may not work out after being placed on the Veterinarian's List. Horses are placed on the list due to being sick, unsound or lame, so it is reasonable to prohibit strenuous exercise for at least five days.

#### ANALYSIS

The proposed amendment to Rule 1866 amends subsection 1866(b) to provide that a horse placed on the Veterinarian's List as injured, unsound or lame may not work out for 120 hours after being placed on the list without permission of the official veterinarian. The expanded hours of rest will provide time for horses to rest and recover prior to any works. A new subsection 1866(b)(6) states that horses having received intra-articular therapy must also be placed on the Veterinarian's List. This is consistent with Rule 1588, horse ineligible to Start in a Race, which currently provides that a horse receiving intra-articular treatments may not enter to race for 120 hours following such treatment.

#### BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering.

Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State.

#### RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
PROPOSED AMENDMENT OF  
RULE 1866. VETERINARIAN'S LIST

Regular Board Meeting  
August 22, 2019

1866. Veterinarian's List.

(a) The official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to veterinary treatment, physical distress, injury, lameness, unsoundness or infirmity.

(1) When a horse is placed on the Veterinarian's List, the trainer of such horse shall be notified within 72 hours.

(b) A horse placed on the Veterinarian's List as:

(1) sick;

(2) having received veterinary treatment-shockwave therapy;

(3) injured;

(4) unsound; or

(5) lame; or

(6) having received veterinary treatment: intra-articular therapy,

may not workout for ~~72~~ 120 hours after being placed on the list without the permission of the official veterinarian.

(c) The official veterinarian may require any horse placed on the Veterinarian's List to undergo a veterinary examination prior to resuming training at any facility under the jurisdiction of the Board.

(d) A horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing veterinarian that the horse is then raceably sound and in fit physical condition to exert its best effort in a race.

(1) A horse placed on the Veterinarian's List as lame or unsound for the first time within a 365 day period must stay on the Veterinarian's List for a minimum of 10 days before the horse is eligible to be removed from the list.

(2) A horse placed on the Veterinarian's List as lame or unsound for the second time in 365 days must stay on the Veterinarian's List for a minimum of 30 days before the horse is eligible to be removed from the list.

(3) A horse placed on the Veterinarian's List as lame or unsound for the third time in 365 days must stay on the Veterinarian's List for a minimum of 60 days before the horse is eligible to be removed from the list.

(4) A horse placed on the Veterinarian's List as lame or unsound for the fourth time in 365 days must stay on the Veterinarian's List for a minimum of 180 days before the horse is eligible to be removed from the list.

(e) A horse may be required to perform satisfactorily in a workout or standardbred qualifying race to demonstrate its physical fitness, and if so a blood and/or urine post-work test sample shall be taken from the horse and the provisions of this article shall apply to such official workout in the same manner as to a scheduled race.

(f) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

Authority: Sections 19440 and 19562,  
Business and Professions Code.  
Reference: Sections 19440 and 19562,  
Business and Professions Code.

STAFF ANALYSIS  
PUBLIC HEARING AND ACTION BY THE BOARD  
REGARDING THE PROPOSED AMENDMENT TO  
CHRB RULE 1844, AUTHORIZED MEDICATION, TO REVISE  
SUBSECTION 1844(G) TO AGREE WITH THE RE-NUMBERING OF  
SUBSECTION 1844(E) AS A RESULT OF CLENBUTEROL BEING  
REMOVED FROM THE LIST OF METABOLITES ANALOGS OR DRUG  
SUBSTANCES THAT MAY BE PRESENT IN AN OFFICIAL URINE TEST SAMPLE

Regular Board Meeting  
August 22, 2019

## ISSUE

The proposed amendment of Rule 1844 was originally noticed to the public in March 2019. The amended regulation revised subsection 1844(e) to remove clenbuterol, or its metabolites or analogs, as a drug substance that may be present in the official urine test sample for any horse competing in a race. The deletion of clenbuterol necessitated the renumbering of other drug substances listed under subsection 1844(e).

The rulemaking was submitted to the Office of Administrative Law (OAL) for review in June 2019. On July 24, 2019 the OAL stated that subsection 1844(g) of the proposed regulation needed to be revised to agree with the re-numbering of subsection 1844(e). In response, the CHRB withdrew the proposed amendment in order to initiate a 15-day public comment period regarding the revision of subsection 1844(g).

## ANALYSIS

The proposed text of Rule 1844 has been modified to change subsection 1844(g). The subparagraph currently states that the official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to (6) and (e)(8) to (11). However, the proposed amendment removed clenbuterol from subsection 1844(e), which meant that the subsections referred to in subsection 1844(g) should have been renumbered to agree with subsection 1844(e). To correct the text, subsection 1844(g) has been changed to read: "Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to (5) and (e)(7) to (10)."

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall

be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of section 19581, as determined by the Board, are punishable as set forth in regulations adopted by the Board.

#### RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 15 day public comment period.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARIAN PRACTICES  
PROPOSED AMENDMENT OF  
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting  
August 22, 2019

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 2 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

(1) Acepromazine; 10 nanograms per milliliter

(2) Mepivacaine; 10 nanograms per milliliter

(3) Albuterol; 1 nanograms per milliliter

(4) Procaine; 25 nanograms per milliliter

(5) Salicylates; 750 micrograms per milliliter

~~(6) Clenbuterol; 140 picograms per milliliter, except for any horse participating in a quarter horse race for which no level of clenbuterol is authorized.~~

~~(67) Detomidine; 2 nanograms per milliliter~~

~~(78) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.~~

~~(89) Boldenone; 15 nanograms per milliliter in males other than geldings.~~

~~(949) Testosterone; 20 nanograms per milliliter in geldings.~~

(A) Testosterone at any level in males other than geldings is not a violation of this regulation.

(1044) Testosterone; 55 nanograms per milliliter in fillies or mares (unless in foal)

(1142) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

(1) Bethamethasone; 10 picograms per milliliter

(2) Dantrolene; 100 picograms per milliliter

(3) Detomidine; 1 nanogram per milliliter

(4) Dexamethasone; 5 picograms per milliliter

(5) Diclofenac; 5 nanograms per milliliter

(6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter

(7) Firocoxib; 20 nanograms per milliliter

(8) Lidocaine; 20 picograms per milliliter

(9) Methocarbamol; 1 nanogram per milliliter

(10) Methylprednisolone; 100 picograms per milliliter

(11) Glycopyrrolate; 3 picograms per milliliter

(12) Prednisolone; 1 nanogram per milliliter

(13) Triamcinolone Acetonide; 100 picograms per milliliter

(14) Xylazine; 200 picograms per milliliter

(15) Butorphanol; 2 nanograms per milliliter

(16) Isoflupredone; 100 picograms per milliliter

- (17) Cetirizine; 6 nanograms per milliliter
- (18) Cimetidine; 400 nanograms per milliliter
- (19) Guaifenesin; 12 nanograms per milliliter
- (20) Omeprazole; 10 nanograms per milliliter
- (21) Ranitidine; 40 nanograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1) to ~~(5)(6)~~ and (e)~~(7)(8)~~ to ~~(10)(11)~~.

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562  
Business and Professions Code

Reference: Sections 19580 and 19581  
Business and Professions Code

**STAFF ANALYSIS**  
 August 22, 2019

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T), AT GOLDEN GATE FIELDS, COMMENCING OCTOBER 17, 2019 THROUGH DECEMBER 14, 2019, INCLUSIVE**

The Pacific Racing Association (PRA) has filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) as follows:

- October 16, 2019 through December 17, 2019, with 36 racing days, four more than approved for 2018. Live racing will commence October 17, 2019 and continue through December 15, 2019. The race dates allocated to PRA by the Board at its September 2018 Regular Meeting are October 17, 2019 through December 14, 2019.
- The license period begins on October 16, 2019, the Wednesday before Opening Day, and continues through December 17, 2019, the Tuesday after Closing Day, and allows for simulcasting (import races) to occur before and after the allocated race dates.
- The PRA proposes to race a total of 290 to 365 races, or 8.06 to 10.14 races per day. In fall 2018, PRA ran 9.00 races per day with an average of 7.41 runners per race. The average daily purse for the fall 2018 race meeting was \$187,459. The (estimated) average daily purse for this meet is \$177,338.

October 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

November 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing four days per week, Thursday through Sunday, except for racing on Monday, November 11, 2019, and no racing on Thursday, November 14, 2019.

- Seven to nine races on weekdays, nine to 11 races on weekends, with the following exceptions:
  - Friday, November 1, 2019 will have 8–10 races.
  - Saturday, November 2, 2019 will have 9–12 races.
  - Monday, November 11, 2019 will have 7–10 races.
  - Thursday, November 28, 2019 will have 7–10 races.
  - Friday, November 29, 2019 will have 8–10 races.
- First post 12:45 p.m. daily, with the following exceptions:
  - Friday, November 1, 2019 Breeders' Cup 12:05 p.m. (subject to change)
  - Saturday, November 2, 2019 Breeders' Cup 11:40 a.m. (subject to change)
  - Thursday, November 28, 2019 Thanksgiving 11:15 a.m.
- Requests approval to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.

The PRA requests approval to use a modified version of ARCI rule for its Pick 6 contest. The PRA also requests approval to allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched.

#### Alternate Wagering Interest

The PRA requests that the Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event that their selected wagering interest is scratched. Pursuant to ARCI 004-105(G)(4), the Association must receive authorization from the Board to allow patrons to select an alternate wagering interest.

#### Pick 6

The PRA requests approval to use an alternative definition of "unique" to govern the Pick 6 payout. Pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition of "unique" under ARCI 004-105(G)(16)(b) it will use to govern the Pick 6. Under the ARCI model rule, there are two possible definitions for "unique." The PRA is requesting approval to use an alternative definition that is not referenced in the ARCI model rule or in the CHRB's rules. Under ARCI 004-105(G)(1), the Board has the authority to approve the proposed PRA definition.

The PRA also requests approval of a change to the ARCI model rules during instances of late surface changes. The PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the race(s) are declared a "Win All," not a "no contest." Also, PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(g) be used. If there is no unique ticket, the entire day's net pool is to be paid to ticketholders who correctly selected the most first-place finishers in

the Pick 6 contests. Under ARCI 004-105(G)(1), the Board has the authority to approve these requested changes.

- Additional Wagering Information
  - Advance wagering on Breeders' Cup (Saturday) will be offered Friday, November 1, 2019.
  - New \$2 Group Bet (Horse Racing Roulette) available on every eligible race.
  - \$2 Win, Place, and Show parlay available on every eligible race. Wager must combine at least two but not more than six races.
  - \$1 Exacta on all eligible races.
  - \$.50 Trifecta on all eligible races.
  - \$.10 Superfecta on all eligible races.
  - \$2 Daily Double; requests 20% takeout.
  - \$.50 Pick 3
  - \$.50 Pick 4 on races 2-5 and last four races; no consolation; 100% payout; alternate runner provision.
  - \$.50 Pick 5 on first five races; requests 14% takeout; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; alternate runner provision.
  - \$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; no consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; alternate runner provision.
  - \$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; no consolation; 100% carryover to next race (including to next race day, if applicable).
  - \$0.20 Pick 6 on last six races each day. If no unique ticket, 70% will be paid to minor pool, and 30% will be added to major pool carryover.  
Payout distribution will be in accordance with ARCI 004-105(G)(2)(g), as follows:
    - Net pool and any carryover will be paid to unique winning ticketholder who selected all the first-place finishers.
    - If no unique winning ticket, minor share of pool will be distributed to those who selected greatest number of first-place finishers, and major share will be added to carryover.
    - If no correct selection of at least one first-place finisher, day's net pool will be refunded, and any previous carryover pool will be carried over to next scheduled corresponding pool.
- Specific changes from 2018 license application:
  - Parking (general) from \$5 to \$6
  - Parking (preferred) from \$10 to \$12
  - Parking (valet) from \$10 to \$12
  - Programs (on-track) from \$2.50 to \$2.75
  - Programs (off-track) from \$2.50 to \$2.75

- Advance Deposit Wagering (ADW) providers are Churchills Downs Technology Initiatives Company (CDTIC) dba BetAmerica; CDTIC dba TwinSpires; NYRABets, LLC; ODS Technologies, L.P. dba TVG Network; and XpressBet, LLC.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

- Documents received in compliance with Business and Professions Code section 19604:
  - Account wagering agreement between NYRABets, LLC and Monarch Content Management, LLC (MCM) has been provided to CHRB.
  - Account wagering agreement between TVG Network and MCM has been provided to CHRB.
  - Account wagering agreement between TwinSpires and MCM has been provided to CHRB.
  - Account wagering agreement between XpressBet, LLC and MCM has been provided to CHRB.
  - Thoroughbred Owners of California (TOC) approval of the ADW agreements.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602 and with authorized locations in southern California.
- Requests that Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Board approved PRA request for waiver to subsections (b), (c), and (e) of CHRB Rule 1472, Rail Construction and Track Specification, to facilitate installation of new inner and outer turf rail.
  - Replacing metal gooseneck inner and outer railings by Fontana Safety Rail with PVC by Duralock.
  - Height of top of inner railing will be increased from 42 inches to approximately 50 inches.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Simulcasting in southern California only.

The PRA application indicates that it will be conducting simulcast wagering with southern California satellites only. In the application submitted by PRA for the same time period in 2017, all licensed satellite wagering facilities were listed. The present application, as submitted, envisions the elimination of satellite wagering opportunities at all northern locations. Each of these locations is in northern California, meaning that the PRA application intends for there to be no satellite wagering in northern California during its race meet.

Staff views this portion of the application to be impermissible based on the applicable laws and regulations.

Specifically, California Business and Professions Code section 19608 requires an association that makes more than \$1.5 million in average daily handle to make its audio-visual signal available to authorized satellite wagering facilities. Section 19619.6 requires every association that provides its live audio-visual signal to also accept wagers from the satellite wagering facilities. Moreover, subsection (e) of CHRB Rule 2058, Duties of a Racing Association or Fair Offering Simulcast Wagering, states that all wagers made available by a host association shall be made available to all simulcast facilities.

As stated, staff views the PRA application, which proposes to offer simulcast wagering to southern California satellites only, to be in conflict with the provisions mentioned above.

Specific information **still needed** to complete this application:

1. Agreement allowing BetAmerica to accept ADW wagers on races conducted at GGF for the applied license term.
2. Agreements with all licensed northern California satellites.
3. TOC race meet agreement.

Specific information that **will need to be updated** during the race meet:

1. ADW agreement with NYRABets, LLC will expire on December 1, 2019.

RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the submission of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**  
**1100 Eastshore Highway**  
**Berkeley, CA 94710**  
**Phone (510) 559-7300 Fax (510) 527-5622**  
**david.duggan@goldengatefields.com**
- B. Breed of horse: TB  QH  H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: **October 16, 2019 through December 17, 2019**
- B. Actual dates racing will be held: **October 17-20, 24-27, 31; November 1-3, 7-11, 15-17, 21-24, 28-30; December 1, 5-8, and 12-15.**
- C. Total number of days or nights of racing: **36 days**

<b>CHRB CERTIFICATION</b>	
Application received: 7/23/19	Hearing date: 8/22/19
Deposit received: On file	Approved date:
Reviewed: RP	License number:

- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)
- E. Number of days or nights of racing per week: **4 days per week**  
**Racing Thursdays through Sundays**  
**Exceptions:**  
**Racing on Monday, 11/11/19**  
**No racing 11/14/19**  
**Dark days: Monday 12/16/19 and Tuesday 12/17/19**

**3. RACING PROGRAM**

- A. Total number of races: **Estimated: 290 – 365**
- B. Number of races for each day or night:
- 7 – 9 races on weekdays
  - 9 – 11 races on weekends
  - **Exceptions:**
    - Friday, November 1<sup>st</sup> will have 8 – 10 races
    - Saturday, November 2<sup>nd</sup> will have 9 – 12 races
    - Monday, November 11<sup>th</sup> will have 7-10 races
    - Thursday, November 28<sup>th</sup> will have 7 – 10 races
    - Friday, November 29<sup>th</sup> will have 8 – 10 races
- C. Total number of stakes races: **9 (4 Non-Overnight Stakes, 5 Overnight Stakes); Added one (1) overnight stake race in fall 2019**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
  2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **New stake race; Joseph T. Grace - \$50,000 Added Overnight Stakes**
  3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No    If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Post Time Daily Except 11/1, 11/2, and 11/28
1st	12:45 p.m.

**A/GGF FALL 2019**  
(October 17 – December 15, 2019)  
**STAKES SCHEDULE**

**PIKE PLACE DANCER**  
\$75,000 Guaranteed  
(Includes \$25,000 CMC supplement)  
2yo Fillies – 1 Mile Turf  
Saturday, October 26

**JOSEPH T. GRACE**  
\$10,000 Added (plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
3yo & up – 1 1/16 Turf  
Saturday, November 2

**OLDEN NUGGET**  
\$10,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo – 6 Furlongs  
Saturday, November 9

**OAKLAND**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
3yo & up – 6 Furlongs  
Saturday, November 16

**GOLDEN GATE DEBUTANTE**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo Fillies – 6 Furlongs  
Friday, November 29

**BERKELEY HANDICAP GIII**  
\$100,000 Guaranteed  
3yo & up – 1 1/16  
Saturday, November 30

**PRA/GGF FALL 2018**  
(October 18 – December 9, 2018)  
**STAKES SCHEDULE**

**PIKE PLACE DANCER**  
\$75,000 Guaranteed  
(Includes \$25,000 CMC supplement)  
2yo Fillies – 1 Mile Turf  
Saturday, October 27

Stake not offered in 2018

**GOLDEN NUGGET**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo – 6 Furlongs  
Saturday, November 10

**OAKLAND**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
3yo & up – 6 Furlongs  
Saturday, November 17

**GOLDEN GATE DEBUTANTE**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo Fillies – 6 Furlongs  
Friday, November 23

**BERKELEY HANDICAP GIII**  
\$100,000 Guaranteed  
3yo & up – 1 1/16  
Saturday, November 24

**PRA/GGF FALL 2017**  
(October 19 – December 10, 2017)  
**STAKES SCHEDULE**

**PIKE PLACE DANCER**  
\$75,000 Guaranteed  
(Includes \$25,000 CMC supplement)  
2yo Fillies – 1 Mile Turf  
Saturday, October 28

Stake not offered in 2017

**GOLDEN NUGGET**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo – 6 Furlongs  
Saturday, November 11

**OAKLAND**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
3yo & up – 6 Furlongs  
Saturday, November 18

**GOLDEN GATE DEBUTANTE**  
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
Overnight Stakes  
2yo Fillies – 6 Furlongs  
Friday, November 24

**BERKELEY HANDICAP GIII**  
\$100,000 Guaranteed  
3yo & up – 1 1/16  
Saturday, November 25

**PRA/GGF FALL 2019**

(October 17 – December 15, 2019)

**STAKES SCHEDULE****GOLD RUSH**

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 7

**BEAR FAN**

\$75,000 Guaranteed

*(Includes \$25,000 CBRF supplement)*

Fillies &amp; Mares 3yo &amp; up Cal Bred–6 Furlongs

Saturday, December 14

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies &amp; Mares 3yo &amp; up – 1 1/16 Turf

Sunday, December 15

**PRA/GGF FALL 2018**

(October 18 – December 9, 2018)

**STAKES SCHEDULE****GOLD RUSH**

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 1

**BEAR FAN**

\$75,000 Guaranteed

*(Includes \$25,000 CBRF supplement)*

Fillies &amp; Mares 3yo &amp; up Cal Bred–6 Furlongs

Saturday, December 8

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies &amp; Mares 3yo &amp; up -1 1/16 Turf

Saturday, December 8

**PRA/GGF FALL 2017**

(October 19 – December 10, 2017)

**STAKES SCHEDULE****GOLD RUSH**

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 2

**BEAR FAN**

\$75,000 Guaranteed

*(Includes \$25,000 CBRF supplement)*

Fillies &amp; Mares 3yo &amp; up Cal Bred–6 Furlongs

Saturday, December 9

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies &amp; Mares 3yo &amp; up -1 1/16 Turf

Sunday, December 10

2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 <sup>th</sup> (when applicable)	5:15 p.m.
11 <sup>th</sup> (when applicable)	5:45 p.m.
12 <sup>th</sup> (when applicable)	6:15 p.m.

**Exceptions:**

Friday, November 1, 2019	Breeders' Cup	12:05 p.m. (subject to change)
Saturday, November 2, 2019	Breeders' Cup	11:40 a.m. (subject to change)
Thursday, November 28, 2019	Thanksgiving	11:15 a.m.

\*Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

**C. CORPORATION**

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**  
**Federal ID #94-1585367 California ID #173-1919-5**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
**Tim Ritvo, President (Officer), 0 Shares**  
**Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares**  
**Rebecca Neimark, Chief Financial Officer (Officer), 0 Shares**  
**Greg Harnish, Assistant Secretary (Officer/Director), 0 Shares**  
**Scott Daruty, Senior Vice President (Officer), 0 Shares**  
**David Duggan, General Manager (Officer), 0 Shares**
- Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**

6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Laura Proniuk in corporate office (Canada); Email: Laura.Proniuk@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**TSG Development Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the Board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:  
**Not applicable**
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**Not applicable**
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed: **Not applicable**

## E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with Board**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

## G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
  - Tim Ritvo, President**
  - Mike Rogers, Vice President, Operations**
  - Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**
  - Greg Harnish, Assistant Secretary**
  - Scott Daruty, Senior Vice President**
  - David Duggan, Vice President & General Manager**
  - Rebecca Neimark, Chief Financial Officer**
  - Arous Mouradian, Controller**
  - Merry Scalzo, Director of Administration**
  - Mark Ventresca, Director of Hospitality and Sales**
  - Doug Gooby, Mutuel Manager**
  - Bill Rizzuto, Facilities Manager**
  - Dan Cirimele, Senior Director of Marketing**
  - Ryan Hilton, Marketing/Publicity Manager**
  - William Rossi, Public Safety Director**
  - Matt Dinerman, Announcer**
  - Juan Meza, Track Superintendent**

**Steve Martinelli, Price Maker**  
**William Vassar, Track Photographer**  
**David Seftel, M.D., Track Physician**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**David Duggan**  
**Vice President & General Manager**  
**1100 Eastshore Hwy**  
**Berkeley, CA 94710**  
[david.duggan@goldengatefields.com](mailto:david.duggan@goldengatefields.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **Pick 5** Proposed percentage: **14%**  
**Daily Double** **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018 (10/17 – 12/18/18) **	\$ 10,686,120.31	45,987 (30 live race days)
2017 (10/18 – 12/19/17)	\$ 11,396,960.70	50,548 (32 live race days)
2016 (10/19 - 12/20/16)	\$ 10,580,154.00	50,420 (32 live race days)
2015 (10-21 - 12/22/15)	\$ 10,610,110.00	53,055 (32 live race days)
2014 (10/16 – 12/23/14)	\$ 12,044,708.00	59,935 (34 live race days)

\*\* Canceled two (2) days of racing in November 2018 due to the CA. wildfires

# Golden Gate Fields

June 27, 2019

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 200  
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), in consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA fall race meet, October 16, 2019 through December 17, 2019 all inclusive.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



David Duggan

Vice President & General Manager



June 27, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019 Fall Race Meet – Players Pick 5

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, October 16 – December 17, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Merry Scalzo



June 27, 2019

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2019 Fall Race Meet – Rolling Doubles Wagers

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its Fall meet from October 16 – December 17, 2019. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli  
President & CEO

cc: Merry Scalzo, GGF

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet represents October 18, 2018 through December 18, 2018 (30 race days)*

1. All races other than stakes:

Current meet estimate: \$ 5,178,679.00

Prior meet actual: \$ 4,505,578.00

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 143,852.19

Prior meet actual: \$ 150,185.93

2. Overnight stakes:

Current meet estimate: \$ 250,000.00

Prior meet actual: \$ 250,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 6,944.44

Prior meet actual: \$ 8,333.33

3. Non-overnight stakes:

Current meet estimate: \$ 275,000.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 262,800.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 7,638.89

Prior meet actual: \$ 8760.00

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 5,703,679.00

Prior meet actual: \$ 5,018,378.00

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 11.1%

Prior meet actual: 12.5%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: .31 %

Prior meet actual: .42%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 440,525.00

Prior meet actual: \$ 392,106.00

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization  
 Current meet estimate:

Prior meet actual:

**TOC (NTRA contribution) \$ 98,806.00**  
**TOC \$ 56,461.00**  
**CTT (Administration) \$ 28,230.00**  
**CTT (Pension) \$ 56,461.00**  
**Total \$239,958.00**

**TOC (NTRA contribution) \$ 87,828.00**  
**TOC \$ 50,187.00**  
**CTT (Administration) \$ 25,094.00**  
**CTT (Pension) \$ 50,187.00**  
**Total \$213,296.00**

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 6,384,162.00  
 Prior meet actual: \$ 5,623,780.00

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 177,337.83  
 Prior meet actual: \$ 187,459.33

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 3,818,053.00  
 Prior meet actual: \$ 3,595,456.00

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 106,057.03  
 Prior meet actual: \$ 119,848.53

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 1,933,216.00  
 Prior meet actual: \$ 1,651,452.00

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 53,700.44  
 Prior meet actual: \$ 55,048.40

H. Bank and account number for the Paymaster of Purses' purse account: **On file**

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding

meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

***PRA /PRA II/GOLDEN GATE FIELDS  
EQUINE EMERGENCY SERVICES***

**Attachment to PRA/Golden Gate Fields License Application  
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	510-559-7300
	Dr. Steve Boyer	510-559-7300
	Dr. William Grantham *	510-559-7300
	Dr. Kim Kuhlmann	510-559-7300
	Dr. Steve Matuszak	510-559-7300
	Dr. Jerry Parker	510-559-7300
	Dr. Raina Petrov	510-559-7300
	Dr. Don Smith	510-559-7300
	Dr. Sara Sporer	510-559-7300
	Dr. Ashton Cloninger *	510-559-7300

\* Race day Lasix veterinarian

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>
	Mondays: Closed
	Tuesdays: AM Only – TBD
	Wednesdays: AM Only – TBD
	Thursdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Fridays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Saturdays: AM - Dr. Sara Sporer PM - Dr. William Grantham
	Sunday: AM – Dr. Sara Sporer PM – Dr. William Grantham

TBD – Rotating schedule amongst list from exhibit A

Example Race: TYPE OF WAGERS \$1 E; \$1 Double APPLICABLE RULES CHRB #1959; RCI #VE  
See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. Group Bet. See attached wagering menu.
- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: December 15, 2019 for the period of October 17, 2019 through December 15, 2019
- D. List any options requested with regard to exotic wagering: 2 Tier – 70%30% split (see above)
- E. Will "advance" or "early bird" wagering be offered? Yes [X] No [ ]  
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Advanced wagering on Breeders' Cup (Saturday) will be offered Friday, November 1, 2019

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10.A.:

TAKEOUT PERCENTAGE  
(Example) PNP5-14%

See attached wagering menu

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
Xpressbet  
TwinSpires\* (BetAmerica is not included)  
TVG  
NYRABets  
BetAmerica (Pending)
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
On file with the Board, except as noted above
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
Yes [X] No [ ]

If yes, attach a copy of the approval. Attached  
If no, explain the status of the approval.

Golden Gate Fields Wagering Menu and Information

	W/P/S	Parlay	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	E PNP 5	L PNP 5	PNP 6	Pentafecta	Group Bet
1	X	X	X	X	X	X	X		X			X	*
2	X	X	X	X	X	X	X	X			*	X	*
3	X	X	X	X	X	X	X			*	*	X	*
4	X	X	X	X	X	X	X	*		*	*	X	*
5	X	X	X	X	X	X	X	*		*	*	X	*
6	X	X	X	X	X	X	*	*		*	*	X	*
7	X	*	X	X	X	*	*	*		*		X	*
8	X	*	X	X	X	*	*	*		*		X	*
9	X	*	X	X	X	*	*	*				X	*
10	X	*	X	X	X	*	*					X	*
11	X	*	X	X	X	*						X	*
12	X		X	X	X							X	*

\*When applicable

Wager	Rule	Takeout	Minimum Wager Amount and other Information
Parlay	CHRB 1954.1	15.43%	\$2 Win, Place, and Show parlay is available on every eligible race. The wager must combine at least two but not more than six races.
Exacta	CHRB 1959	22.68%	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	23.68%	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	23.68%	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	20.00%	\$2 Daily Double.
Pick 3	CHRB 1977	23.68%	\$.50 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	23.68%	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
Early PNP5 (Early Pick 5)	CHRB 1976.9	14.00%	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Early Pick 5 if no ticket has five winners; Alternate runner provision.
Late PNP5 (Late Pick 5)	CHRB 1976.9	23.68%	\$.50 Pick 5 on last five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover to next day's Late Pick 5 if no ticket has five winners; Alternate runner provision.
PNP6 (Pick 6)	ARCI 004-105(G)(2)(g) <sup>1</sup> ; ARCI 004-105(G)(16)(b)(ii) <sup>2</sup>	23.68%	\$.20 Pick 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super High 5)	ARCI 004-105(X)(4)	23.68%	\$1 Super High 5 on all races that have 7 or more declared starters; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).
Group Bet (Horse Racing Roulette)	ARCI-004-105 Part II(D) Group Bet Method 2	15.43%	\$2 Horse Racing Roulette bet is available on every eligible race.

<sup>1</sup> We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

<sup>2</sup> Only if the request made in the letter dated July 19, 2019 is denied.

# GOLDEN GATE FIELDS

July 19, 2019

Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Rainbow Pick 6 and Mandatory Payout Day

Rick,

This letter is in regards to Pacific Racing Association's ("PRA") "Application for License to Conduct a Horse Racing Meeting" for the time period of October 16, 2019 through December 17, 2019 (the "Race Meet Application").

As specified in the Race Meet Application, PRA wishes to use ARCI 004-105(G)(2)(g) to govern the payout of our Pick 6.

## Definition of Unique

Additionally, pursuant to ARCI 004-105(G)(2)(g), PRA is required to identify which definition under ARCI 004-105(G)(16)(b) PRA will use to identify a unique ticket. PRA requests that a combination of the two definitions to define unique be used. Specifically, PRA wishes "unique" to be defined as:

"1) The total amount wagered on one and only one winning combination selecting the first-place finisher in each of the Pick (n) contests, based up on the official order of finish, is equal to the minimum allowable wager, 2) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a wagering interest being scratched and replaced with the post time favorite; 3) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of a dead heat; 4) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of there is a surface change after the Pick 6 pool has closed and as a result the race is a "Win All"; or 5) there is more than one combination selecting the first-place finisher in each of the Pick (n) equal to the minimum allowable wager and all such winning combinations are on one ticket that has a unique serial number assigned by the tote company that issued the ticket and the multiple winning combinations is solely a result of some combination of (2), (3), and (4) above."

If our proposed definition of unique is not approved, we will use ARCI 004-105(G)(16)(b)(ii) to define "unique."

Each day that there is no unique ticket, 70% will be paid out as the minor share to ticketholders which correctly selected the most first place finishers in the Pick(n) contests and 30% will be carried over to the major share (i.e. unique share).

### Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances."

PRA requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a "Win All," not a "no contest."

PRA also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used: the payout distribution method as provided for in ARCI 004-105(G)(2)(g) if there is a unique ticket and if there is not a unique ticket, the entire day's net pool be paid to ticketholders which correctly selected the most first place finishers in the Pick(n) contests. In other words, PRA is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day's major share (unique share) will be added to day's minor share. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover can be paid out, however, it cannot grow.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the day's net pool and any carryover will be divided among the ticket holders that correctly selected the greatest number of first-place finishers.

PRA requests these modifications be approved as part of its license application.

Sincerely,



Eric Sindler

cc: Rob Brodrik  
John McDonough  
Tim Ritvo



June 27, 2019

Mr. Eric Sindler  
 Santa Anita Racetrack  
 285 W. Huntington Dr.  
 Arcadia, CA 91007

RE: Golden Gate Fields (PRA) 2019 Fall Race Meeting ADW Approvals

Dear Eric,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Golden Gate Fields (PRA) race signals under the terms and conditions set forth below.

Pursuant to Section 29, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and PRA, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, PRA shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, and BetAmerica to accept wagers on PRA and other Thoroughbred races during the 2019 Fall race meeting, October 16 through December 17, 2019, as follows:

**1. California wagering on PRA races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**2. California wagering on out-of-state Thoroughbred races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.

- b. BetAmerica may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. TVG, XpressBet, TwinSpires, NYRA, and BetAmerica may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- d. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on PRA races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica shall pay a Host Fee of no less than 55% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, and BetAmerica

Very truly yours,



Greg Avioli, President & CEO

cc: Tom Varela, Merry Scalzo

wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **N/A**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **N/A**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: **Attached (Southern California only)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: **Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Attached**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Attached.**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>Los Alamitos</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavillion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

2018 10-30 Gate (PRA & PRA II)

Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elle Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangelina Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangelina Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabel	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Polawalomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tole Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codera (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Cajente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

<b>2019 IMPORT TRACKS</b>
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Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>Cal Expo Harness</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>None</b>			

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Forwarded to the Board upon receipt**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

#### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- |                                  |   |
|----------------------------------|---|
| Association Veterinarian(s)      | <b>Sara Sporer, D.V.M</b> (Track Veterinarian)<br><b>Forrest Franklin, D.V.M.</b> (CHRB Veterinarian)<br><b>William Grantham, D.V.M.</b> (Examining Veterinarian) |
| Clerk of Scales                  | <b>Ken Sjordal</b>  |
| Assistant Clerk of Scales        | <b>Ross Allardyce</b>   |
| Clerk of the Course              | <b>Tina Walker-Bryant</b>   |
| Placing Judge/Stakes Coordinator | <b>Lisa Jones</b>   |
| Horse Identifier                 | <b>Darrell Sparks</b>   |
| Horseshoe Inspector              | <b>Agustin Rayas</b>  |
| Paddock Judge                    | <b>Linda Anderson</b>   |
| Patrol Judge/Steward's Aide      | <b>Tammy McDuffie-Morris</b>  |
| Placing Judge/Film Analyst       | <b>Morgann Schlessler</b>   |
| Patrol Judge/Placing Judge       | <b>John Leon</b>  |
| Starter                          | <b>Todd Stephens</b>  |
| Timer                            | <b>Jelina Pike</b>  |
- B. Management officials in the racing department:
- |                            |  |
|----------------------------|--|
| Director of Racing         | <b>Patrick Mackey</b>                        |
| Racing Secretary           | <b>Patrick Mackey</b>                        |
| Assistant Racing Secretary | <b>Steve Martinelli &amp; Linda Anderson</b> |
| Paymaster of Purses        | <b>Austin Rainey</b>                         |
- Others (identify by name and title)
- |                                      |                         |
|--------------------------------------|-------------------------|
| Main Track Superintendent            | <b>Juan Meza</b>        |
| Turf Track Superintendent            | <b>Hugo Sandoval</b>    |
| Price Maker                          | <b>Steve Martinelli</b> |
| Stable Superintendent                | <b>Carrie Fawcett</b>   |
| Steward's Secretary/Horsemen Liaison | <b>Devon Dunham</b>     |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**  
**Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2019.**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **GPS timing system; Axcis Information Network, Inc., dba TrackMaster, an Equibase Company (effective 3-1-18). Contract expires 12/31/19.**

**Attachment to PRA / Golden Gate Fields License Application  
14 (E):**

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole  
3/8 Pole  
1/4 Pole  
Paddock  
Paddock (Gliding)  
(2) Pan Cameras on Roof  
Jib camera in Paddock  
Starting Gate (Robo)  
Parking Lot (Robo-scenic)

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**William Rossi, Public Safety Director is responsible for security on-site**  
**Security Department organizational attached**  
**Security Department phone number (510) 559-7370**  
**Stable Security phone number (510) 542-4235 or (510) 559-7525**  
**William Rossi cell phone number (510) 773-7873**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

<b>1 – Public Safety Director</b>	<b>7 – Security Corporals/Guards</b>
<b>2 – Security Lieutenant</b>	<b>8 – Stable Security Officers</b>
<b>4 – Security Sergeants</b>	<b>5 – Stable Gate Personnel</b>
<b>1 – Stable Security Sergeant</b>	<b>5 – Fire Guards</b>
<b>1 – Fire Guard Lieutenant</b>	

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

- 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over**  
**24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

- B. Number of security guards in the detention stall area during a 24-hour period.

**Four Security Guards**

- C. Describe number and location of surveillance cameras in detention stall area.

**Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place**

- 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives**

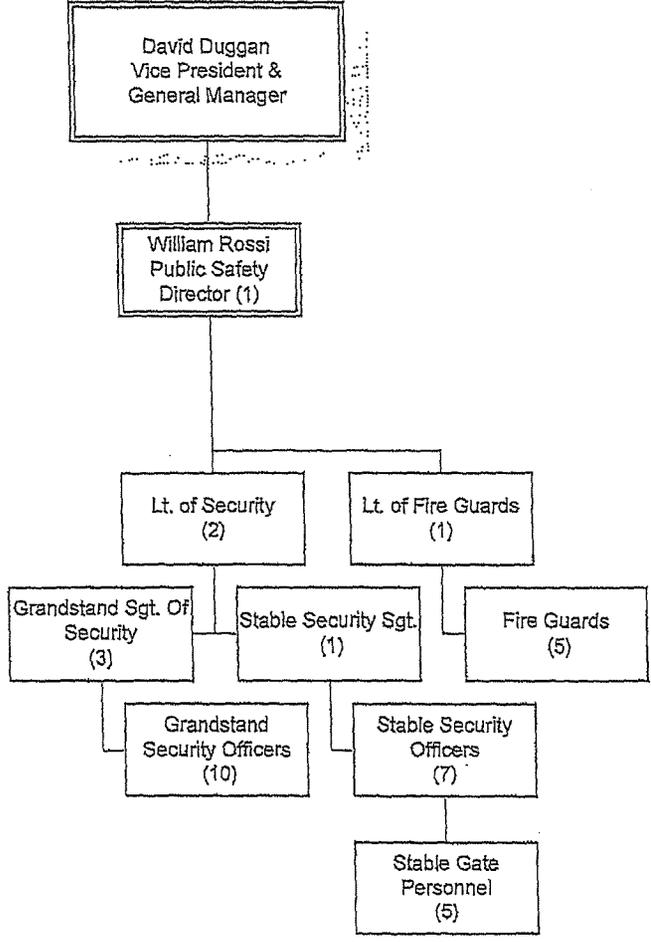
- B. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT, and CHRB directives will be followed**

- C. Plan for detention stalls for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB**

PACIFIC RACING ASSN / GOLDEN GATE FIELDS  
SECURITY DEPARTMENT ORGANIZATIONAL CHART  
ATTACHMENT 15A



## Attachment to PRA / Golden Gate Fields License Application

15B (1) and 15B (2):

### SECURITY CONTROLS

#### Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to William Rossi, , Public Safety Director which includes the following information:
  1. Name of horse
  2. Name of trainer
  3. Barn number
  4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Public Safety Director for the following instruction:
  - A. An overview of the race track operating procedures
  - B. A briefing of their duties throughout the day
  - C. All guards are asked to sign out for their specific video camera
  - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
  - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
  - F. Instruction is given to monitor any and all suspicious activity and to contact Public Safety Director if and when suspicious activity occurs.
  - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
  - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
  - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Public Safety Director. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

**Attachment to PRA / Golden Gate Fields License Application  
15B (2) and 15C (1):**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

D. Number of security personnel assigned to the TCO2 program.  
As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, one (1) 360° fish-eye in the Receiving Barn, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not applicable**

## 16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,  
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**

1. Attach a certification from the ambulance service (s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**N/A**

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**If quarter horse racing association sees D.1.:**

**Dr. David Seftel**

**Office Number: 510-559-7375, Cell Number: 650-520-6204**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444**

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 7, 2018

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2019

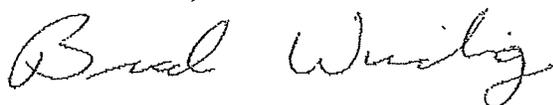
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 7, 2018

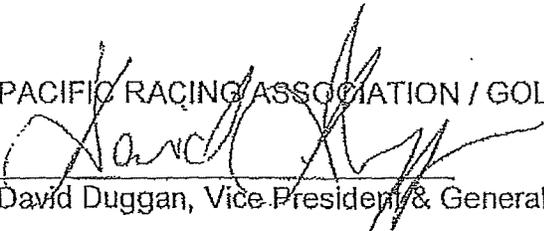
David Duggan  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2019 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS

  
David Duggan, Vice President & General Manager

TURF RESCUE, LLC

  
Brad Winding, Owner



# GOLDEN GATE FIELDS

## DESCRIPTION OF GGF MEDICAL CLINIC (LIVE RACING)

### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

### Staffing

- Physician Medical Director ("Track Physician").

### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.

**or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31<sup>st</sup> Street, Oakland, CA 94602 (510) 437-4865**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Roy Roenbeck, Director of Environmental, Health & Safety**  
**Bill Rizzuto, Facility Manager serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Albany fire clearance letter attached valid through December 31, 2019**  
**Berkeley fire clearance letter attached valid through June 3, 2020**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-019 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Workers' Compensation COI attached valid through June 30, 2020 – Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car**                    **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician**            **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program**           **Wesco Graphics Inc., 410 East Grant Line Rd, Tracy, CA 95376**
- Starting Gate**                **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer**       **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**



## EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

### FOR ON-TRACK INJURY TO JOCKEYS

#### "FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



#### "ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



#### "INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



#### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS-

### PARA HERIDAS A JINETES EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



#### "TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*



## EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

### FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

#### "FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down"); *(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



#### "ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



#### "INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



#### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*

## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

### "PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



### "TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

# ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT  
1000 SAN PABLO AVENUE  
ALBANY, CA 94706  
510 528-5770

[www.AlbanyCA.org/fire](http://www.AlbanyCA.org/fire)

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Albany California' 94706

December 17, 2018

Mr. Roenbeck,

Fire Inspectors from the Albany Fire Department together with Leonard Townsend of Golden Gate Fields (Fire Watch) conducted a Fire and Life Safety inspection on December 11, 2018. Public Areas within the Grandstand and the associated facilities were evaluated for preparation of the 2019 Racing Season. Clearance for the facility is granted for all aspects of your operations.

It is important to note that operations beyond the scope of normal racetrack activities may require additional inspections as needed, such as temporary tent structures in the North Parking Lot, etc.

Please contact our office with any questions.

James S Jorgensen  
Fire Inspector  
Albany Fire Department  
(510) 528-5775



Department of Fire and Emergency Services  
Division of Fire Prevention

June 3, 2019

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Berkeley, CA 94710  
Phone (510) 559-7300 (main office)  
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, May 15, 2019, the City of Berkeley Fire Prevention Division conducted an annual inspection of the barns and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Monday, June 3, 2019. Upon re-inspection of the facilities, it was found that there were nine outstanding fire code corrections needed. It is our understanding that these shall be abated by the end of the week.

Continual improvements that Golden Gate Fields has agreed to complete include:

- Replacing all existing standalone smoke alarms with combination carbon monoxide and smoke alarms with a 10-year battery.
- Painting a red line in the barns to indicate the maximum height of hay storage.
- Educating boarders on the use of extension cords by posting flyers in each boarding room stating that extension cords are not allowed to be used, surge protectors are allowed, and that large appliances shall be plugged directly to a wall receptacle.
- Maintaining the sprinklers to be clear of dust and cobweb build-up.
- Installing hand grips to the exterior escape ladders on barns with boarding rooms on the second level to assist in an emergency escape using the ladders.

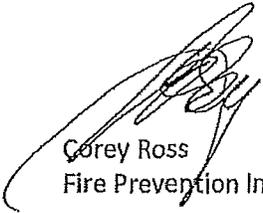
These facilities are considered to be compliant with the fire code until the next scheduled inspection unless and until we receive information to the contrary. Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or [dtieu@cityofberkeley.info](mailto:dtieu@cityofberkeley.info).

Thank YOU and the ENTIRE Golden Gate Fields family in working diligently to improve the fire & life safety of the barns and stables.

Sincerely,



Dori Tieu  
Fire Prevention Inspector, City of Berkeley Fire Department



Corey Ross  
Fire Prevention Inspector, City of Berkeley Fire Department



Ryan Adams  
Fire Prevention Inspector, City of Berkeley Fire Department



# Certificate of Insurance

No.: 2019-1-PACIF

Dated: June 28, 2019

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9  <b>Subsidiary/Divisions:</b> Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706  and  Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY * Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-17	Jun 30, 2019 to Jun 30, 2020	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-019	Jun 30, 2019 to Jun 30, 2020	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

<b>Marsh Canada Limited</b> 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited           By: _____ Matthew Warnholtz
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Does the association provide its own concessions? Yes  No

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting: **On file with the Board.**

B. Promotional/ Marketing budget for this race meeting: **On file with the Board**

Promotional/Marketing budget for prior race meeting: **On file with the Board**

C. Number of hosts and hostesses employed for meeting:

**1 Customer Service Representative**

**5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

**Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance**

**Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards**

**Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service**

**Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Replaced turf course rail (inside and outside) with PVC by Duralock
- Remodeled Racing Office and balcony viewing area
- Adding surveillance cameras in barn area
- Re-designed Winners Circle to improve winning experience
- Built new office for the TOC (Horse Wizard in Club House) in close proximity to Turf Club/Club House entrance
- Capital barn improvements in the barn area
- Ordered new barn area parking lot signage
- Continuation of laundry service for the Jockey’s Room by GGF
- Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December
- Continual roadway, horse paths, and barn repairs

2. Fans

- Created new family area on A Deck complete with fencing, artificial turf, BBQ’s, etc. (Golden Gate Green)
- Hired Wagering Ambassadors to assist fans with questions regarding racing and wagering

- o Adding surveillance cameras throughout the facility
  - o Created a red top area on Mezzanine to add additional customer service
  - o Monthly Podcast on GGF website and Apple iTunes
  - o Heavy GGF Social Media presence in the Bay Area
  - o Replaced carpet in Trackside Court group sales area
  - o Renovated the Turf Club buffet stand
  - o Continual parking lot and roadway repairs
3. Facilities in the restricted areas **Equine Hospital**

**19. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00
Admission (club house)	\$10.00
Admission (turf club)	\$12.00 Weekdays
Admission (turf club)	\$15.00 Weekends/Holidays
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 6.00 (previously \$5.00)
Parking (preferred)	\$12.00 (previously \$10.00)
Parking (valet)	\$12.00 (previously \$10.00)
Programs (on-track)	\$ 2.75 (previously \$2.50)
(off-track)	\$ 2.75 (previously \$2.50)

**Thoroughbred Club Members**

**Bundled Pricing includes free program**

<b>General Admission:</b>		<b>Club House Admission:</b>	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

**Thoroughbred Club Prepaid Admission/Program Packages**

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each  
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each  
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

**Designated Group Sales packages include parking, admission, program, and buffet**

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="23"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

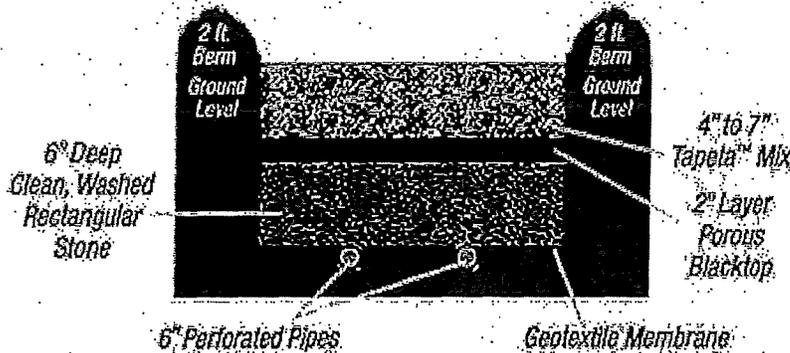
21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **140**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**1 restroom for every 12 people**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Main Track:** Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.

**Turf Course:** Replacing inside and outside turf course rails with PVC by Duralock. Approximate height of the top of the inner railing is 50 inches.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

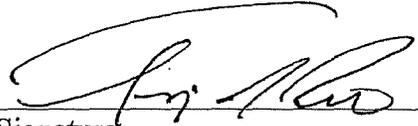
### 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**TOC agreement forwarded to Board upon receipt**  
**CTT – on file with the board**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **On file with the Board**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Tim Ritvo  
Print Name

  
Signature

President  
Print Title

7/19/19  
Date

**STAFF ANALYSIS****DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE PACIFIC RACING ASSOCIATION (PRA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE PRA AT ITS OCTOBER 17, 2019 THROUGH DECEMBER 14, 2019 RACE MEETING AT THE GOLDEN GATE FIELDS RACETRACK**

Regular Board Meeting  
August 22, 2019

**ISSUE**

CHRB Rule 1581, Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. Pacific Racing Association desires to condition all races run at Golden Gate Fields to be run with reduced levels of furosemide (Lasix) as well as requiring a 14-day stand down for all intra-articular injections.

**BACKGROUND**

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

**ANALYSIS**

Pacific Racing Association's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are

based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

PRA's proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg. Additionally, PRA will impose a 14-day stand down for all intra-articular injections.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horseman's organization, which in the case of thoroughbreds; is the Thoroughbred Owners of California (TOC). On March 16, 2019, an agreement was reached between the TOC and the Stronach Group regarding future races to be run at Santa Anita Race Track and Golden Gate Fields.

#### RECOMMENDATION

This item is presented for Board discussion and action.

**STAFF ANALYSIS**  
 August 22, 2019

**Issue:** APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR AT FRESNO OCTOBER 4 THROUGH OCTOBER 14, 2019.

The Big Fresno Fair (Fresno) filed its application to conduct a horse racing meeting at Fresno as part of the 2019 combined fair horse racing meeting:

- October 2 through October 15, 2019, or 9 days, same as in 2018. Fresno proposes to race a total of 98 races, same as in 2018. Fresno will be simulcasting on October 2 and October 9, 2019, and live racing will begin on October 3, 2019. Fresno is a participant in the 2019 combined fair horse racing meeting. The race dates allocated to Fresno by the Board at its September 2018 Regular Meeting are October 4, 2019 through October 14, 2019.
- The license period begins on October 2, 2019, the Wednesday before Opening Day, and continues through October 15, 2019, the Tuesday after Closing Day, which allows for simulcasting (import races) to occur before and after the allocated race dates.
- At its March 28, 2019 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs to designate the 2019 allocated race dates of the Alameda County Fair (6/13/19-7/7/19), Cal-Expo State Fair (7/12/19-7/28/19), Humboldt County Fair (8/16/19-8/25/19), and The Big Fresno Fair (10/4/19-10/14/19) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

October 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 4 days the first week and 5 days the second week. Ten races Thursdays, Fridays, and Monday; 12 races Saturdays and Sundays.
- Racing concurrently with the Los Angeles Turf Club II, Inc. racing association at Santa Anita Park.
- Number of horses available determines the number of daily races programmed by breed.

- 2018 Race Meeting: Average number of runners per race (TB): 6.61
- 2018 Race Meeting: Average number of runners per race (Quarter Horse): 5.50
- 2018 Race Meeting: Average number of runners per race (Mules): 5.25
- 2018 Race Meeting: Average number of runners per race (Arabian): 5.80
  
- First post 1:15 p.m. daily.
  
- Wagering program will use CHRB and ARCI rules.
  - The distribution of the carryover pool will be October 14, 2019 (Closing Day).
  - Early wagering will not be offered.
  - \$1 Place Pick when applicable; \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
  - \$0.50 Pick (n) Pool 5 on last 5 races each day; with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool paid to most winners.
  - \$0.20 Pick (n) Pool 6 on the last 6 races each day. 100% pools paid and any prior carryovers for unique ticket selecting 6 winners. If no ticket selects six winners, then the 40% minor pool that day is paid to the tickets selecting the most winners, and the 60% major pool will be added to the carryover.
  - \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers, then 40% minor pool paid to tickets selecting the first 5 finishers, and 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers, 100% of the pool will be added to the carryover. Super High 5 will be carded on the last race of the day.
  
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TVG Network, BetAmerica, Twinspires, NYRA, and Watch & Wager.
  
- Pursuant to Business and Profession Code Section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code Section 19604*

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- The following ADW providers have submitted all documents required in compliance with Business and Professions Code Section 19604 *to accept wagers on races conducted in and outside of California for a resident of California.*
  - Xpressbet, LLC. dba XpressBet.com
  - ODS Technologies, L.P. dba TVG Network
  - NYRAbets, LLC
  - WatchandWager.com LLC
  - Churchill Downs Technology Initiatives Company, dba Twinpires and BetAmerica.
- Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to Business and Professions Code Section 19602, and with authorized locations throughout California.
- Request Darrell Sparks as horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed prior to start of race meet.
- Track safety inspection has been requested and will be completed prior to start of race meet.

RECOMMENDATION:

Staff recommends the Board approve the application.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A Name, mailing address, telephone, and fax numbers of fair:

**21<sup>st</sup> District Agricultural Association**  
**The Big Fresno Fair**  
**1121 South Chance Avenue**  
**Fresno, Ca. 93702**  
**(559) 650-3247; FAX (559) 650-3226**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**John C. Alkire, CEO, 559-650-3355; [jalkire@fresnofair.com](mailto:jalkire@fresnofair.com)**  
**Lauri King, Deputy Manager, 559-994-3135; [lking@fresnofair.com](mailto:lking@fresnofair.com)**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **October 2 – October 15, 2019**
- B. Actual dates racing will be held: **October 3 - 6 & October 10 – 14, 2019**
- C. Dates racing will NOT be held: **October 2 & 9 are simulcast days; October 7, 8 & 15 are dark days.**
- D. Total number of racing days: **9**
- E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) **Thu-Sun, 1<sup>st</sup> week; Thu – Mon, 2<sup>nd</sup> week.**

Application received: <i>7/1/19</i>	CHRB CERTIFICATION	Hearing date: <i>8/22/19</i>
Reviewed: <i>[Signature]</i>		Approved date:
		License number:

**3. RACING PROGRAM**

A. Total number of races: 98

B. Number of races by breed:

78	Thoroughbreds	5	Quarter Horses	0	Appaloosas
7	Arabians	0	Paints	8	Mules

C. Number of races daily:

	1 <sup>st</sup> Sun.	2 <sup>nd</sup> Sun.	2 <sup>nd</sup> Mon.	1 <sup>st</sup> Thurs.	2 <sup>nd</sup> Thurs.	1 <sup>st</sup> Fri.	2 <sup>nd</sup> Fri.	1 <sup>st</sup> Sat.	2 <sup>nd</sup> Sat.
Thoroughbred	9	10	8	8	8	8	8	9	10
Other Breeds	3	2	2	2	2	2	2	3	2
<b>Total</b>	<b>12</b>	<b>12</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>10</b>	<b>12</b>	<b>12</b>

D. Total number of stakes races by breed:

2	Thoroughbreds	0	Quarter Horses	0	Appaloosas
0	Arabians	0	Paints	0	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stake's races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). None.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No Changes.

**2019**

Harris Farms Stakes (CAL BREDS)  
 \$100,000 Guaranteed (\$40,000 CTBA)  
 Golden State Series  
 Three-year olds & Upward – Six Furlongs  
 Sat, Oct 5

Bulldog Hdcp, 25th Running  
 \$50,000 Added Overnight Hdcp  
 (Plus up to \$15,000 to Cal-Breds)  
 Three-Year Olds & Upward, One & 1/8 Miles  
 Sunday, Oct 13

**2018**

Harris Farms Stakes (CAL BREDS)  
 \$100,000 Guaranteed (\$40,000 CTBA)  
 Golden State Series  
 Three-year olds & Upward – Six Furlongs  
 Sat, Oct 6

Bulldog Hdcp, 24th Running  
 \$50,000 Added Overnight Hdcp  
 (Plus up to \$15,000 to Cal-Breds)  
 Three-Year Olds & Upward, One & 1/8 Miles  
 Sun, Oct 14

**2017**

Harris Farms Stakes (CAL BREDS)  
 \$100,000 Guaranteed (\$40,000 CTBA)  
 Golden State Series  
 Three-year olds & Upward – Six Furlongs  
 Sat, Oct 7

Bulldog Hdcp, 23rd Running  
 \$75,000 Guaranteed  
 Three-Year Olds & Upward, One & 1/8 Miles  
 Sun, Oct 15

F. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

*\* As much as possible, we intend to align our post times to compliment the Santa Anita post times*

	MON//THURS//FRI	SATURDAY//SUNDAY
Race #1	1:15 p.m.	1:15 p.m.
Race #2	1:45 p.m.	1:45 p.m.
Race #3	2:15 p.m.	2:15 p.m.
Race #4	2:45 p.m.	2:45 p.m.
Race #5	3:15 p.m.	3:15 p.m.
Race #6	3:45 p.m.	3:45 p.m.
Race #7	4:15 p.m.	4:15 p.m.
Race #8	4:45 p.m.	4:45 p.m.
Race #9	5:15 p.m.	5:15 p.m.
Race #10	5:45 p.m.	5:45 p.m.
Race #11		6:15 p.m.
Race #12		6:45 p.m.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:  
**Larry Serpa, Vice-President**  
**Leta Ciavaglia**  
**Jerry Pacheco**  
**Larry Salinas**

**Debbie Jacobsen, President**  
**Linda Mae Balakian Hunsucker**  
**Gabriel Hernandez**  
**Charles Riojas**

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:  
**Chief Executive Officer John C. Alkire and Deputy Manager II Lauri King**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

<b>John C. Alkire, CEO</b>	<b>Stacy Rianda, Deputy Manager II</b>
<b>Lauri King, Deputy Manager II</b>	<b>Doug Gooby, Pari-Mutuel Manager</b>
<b>Steve Wood, Track Superintendent</b>	<b>Rod Seitz, Maintenance Supervisor</b>
<b>Chris Griffin, Race Announcer</b>	<b>Larry Swartzlander, Director of Racing</b>
<b>Odds Maker</b>	

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

<b>Chief Executive Officer</b>	<b>Deputy Manager II</b>
<b>John C. Alkire</b>	<b>Lauri King</b>
<b>1121 S. Chance Avenue</b>	<b>1121 S. Chance Ave</b>

Fresno, Ca 93702  
jalikire@fresnofair.com

Fresno, Ca 93702  
lking@fresnofair.com

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2018	\$18,381,799	77,240	9 days
2017	\$17,718,170	79,289	9 days
2016	\$18,097,900	83,881	9 days
2015	\$18,867,088	86,743	9 days
2014	\$19,194,952	89,178	9 days

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$818,509  
 Prior meet actual: \$789,309

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$90,945  
 Prior meet actual: \$87,701

- 2. Overnight stakes:  
 Current meet estimate: \$50,000  
 Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$5,555  
 Prior meet actual: \$5,555

- 3. Non-overnight stakes:  
 Current meet estimate: \$100,000  
 Prior meet actual: \$100,000

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$11,111  
 Prior meet actual: \$11,111

- 4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$968,509  
 Prior meet actual \$939,309

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$76,560  
 Prior meet actual: \$74,330

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 3,488	\$ 3,386
TOC	\$ 4,915	\$ 4,772
NTRA	\$ 1,024	\$ 1,024
PCQHRA	\$ 460	\$ 447
CWAR	\$ -0-	\$ -0-
ARAC	\$ 1,566	\$ 1,849
AMRA	\$ 2,468	\$ 2,328
CHBPAPEN	\$ 7,374	\$ 7,159
C'THF	<u>\$ 7,374</u>	<u>\$ 7,159</u>
Total	\$28,669	\$28,124

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,073,738  
 Prior meet actual: \$1,041,763

Average Daily Purse (7D ÷ number of days):  
 Current meet estimate: \$119,304  
 Prior meet actual: \$115,751

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
Current meet estimate: \$862,172  
Prior meet actual: \$837,060

Average Daily Purse (7E ÷ number of days):  
Current meet estimate: \$95,797  
Prior meet actual: \$93,007

- F. Purse funds to be generated from interstate handle:  
Current meet estimate: \$87,456  
Prior meet actual: \$84,909

Average Daily Purse (7F ÷ number of days):  
Current meet estimate: \$9,717  
Prior meet actual: \$9,434

- G. Bank and account number for the Paymaster of Purses' purse account:  
**West America Bank (C.A.R.F. Account) No. On File.**

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**624**
- B. Minimum number of stalls believed necessary for the meeting:  
**624**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:  
**None**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**1,037**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
Dr. Frosty Franklin 916-585-2050. No Auxiliary sites.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. Dr. Franklin will be on site and available 24/7.

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE		RULE NUMBER
EXACTA	(1E)	1959
DAILY DOUBLE	(2DD)	1957
SUPERFECTA	(.10SF)	1979.1
PENTAFECTA	(.20PF)	ARCI 004-105 X-PENTAFECTA (5) OPTION 4
PK3	(1PK3)	1977
PK4	(.50PN4)	1976.9
PK5	(.50NP5)	1976.9
PK6	(.20PNP6)	ARCI 004-105 G. (G) METHOD 7

	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g) Method 7	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	



July 30, 2019

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2019 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977; CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Executive Director

**\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

**The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 14, 2019, which is the Closing Day of The Big Fresno Fair.**

Race #12

\$1E, \$1TRI, \$.10SF  
\$.20 SUPER HIGH 5

CHRB #1959, CHRB #1979, CHRB #1979.1  
ARCI 004-105 X-Pentafecta (5) Option 4

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 14<sup>th</sup>, 2019 (Closing Day of The Big Fresno Fair)**

E. List any options requested with regard to exotic wagering:  
**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.**  
**\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover. If six thoroughbred races are not available emerging breed races can be used.**  
**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
**Amtote (Dave Calendine) Expiration: December 30, 2020.**  
**Equipment description on file with Board.**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10

Race #10  
Race #11  
Race #12

11. **ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Bet America, NYRA, Twin Spires & Watch & Wager.**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
Yes  No   
If yes, attach a copy of the approval.  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. **SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NCOTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

- |                                     |   |
|-------------------------------------|---|
| Golden Gate Fields, Albany          | Fairplex Park, Pomona                                   |
| *Humboldt County Fair, Ferndale     | Fantasy Springs Casino, Indio                           |
| Jockey Club at San Mateo, San Mateo | Los Alamitos Race Course, Los Alamitos                  |
|                                     | Mermaid Tavern, Thousand Oaks                           |
|                                     | OC Tavern & Sports Bar, San Clemente                    |
|                                     | Roadhouse Grill, Santa Maria                            |
|                                     | Sammy's Restaurant & Bar, Lake Forrest                  |
|                                     | Santa Anita Park, Arcadia                               |
|                                     | Santa Clarita Lanes, Santa Clarita                      |
| Monterey County Fair, Monterey      | Shalimar Sports Center, Indio                           |
| San Joaquin County Fair, Stockton   | Sports Center, San Bernardino                           |
| Santa Clara County Fair, San Jose   | Sports Pavillion at The Farmers Fair, Lake Perris       |
| Shasta District Fair, Anderson      | Sports Pavillion, San Bernardino Cty. Fair, Victorville |
| Solano County Fair, Vallejo         | Surfside Race Place at Del Mar, Del Mar                 |
| Sonoma County Fair, Santa Rosa      | Sycuan Gaming Center, El Cajon                          |
|                                     | Tilted Kilt, Thousand Oaks                              |
| Stanislaus County Fair, Turlock     | Viejas Casino & Turf Club, Alpine                       |
|                                     | Watch & Wager, Antelope Valley Fgds, Lancaster          |

\*Open only during Humboldt/Ferndale Fair Racing

April 4, 2019

Executive Director  
CARF  
1776 Tribute Road, Suite 150  
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, Watch and Wager (WAW), Game Play Network (GPN) and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



Cory Soltan

Chairperson

12-17



## Pacific Coast Quarter Horse Racing Association

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April 4, 2019

Executive Director  
CARF  
1776 Tribute Road, Suite 150  
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'Dino Perez', written over a horizontal line.

Dino Perez

General Manager

[Type text]

[Type text]

April 4, 2019

Executive Director  
CARF  
1776 Tribute Road, Suite 150  
Sacramento, Ca 95815

RE: 2019 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2019 racing season, commencing June 12, 2019 and continuing through October 15, 2019, California-licensed ADW providers Bet America, TVG, Xpressbet, TwinSpires, Watch and Wager (WAW), Game Play Network (GPN) and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 4, 2019.

Please feel free to contact me if you have any questions.

Sincerely,



4/6/19

Don Jacklin

President AMRA



April 12, 2019

Mr. Larry Swartzlander  
 Executive Director, CARF  
 1776 Tribute Road, Suite  
 205 Sacramento, CA 95815

RE: 2019 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on CARF and other Thoroughbred races during the 2019 race meetings, as follows:

**1. California wagering on CARF races:**

- a. TVG, XpressBet, TwinSpire, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpire, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

---

285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER

PRESIDENT & CEO: GREG AVIOLI

EXECUTIVE DIRECTOR: MARY FORNEY

DIRECTOR OF RACING & NO. CALIF. OPERATIONS: ELIZABETH MOREY

ADMINISTRATIVE ASSISTANT: ELSA PERON

**2. California wagering on out-of-state Thoroughbred races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on CARF races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:  
**Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

<b>DOMESTIC</b>	
ARLINGTON	10/2-10/15
BELMONT	10/2-10/15
CALDER RACECOURSE	10/2-10/15
CANTERBURY DOWNS	10/2-10/15
CHARLES TOWN	10/2-10/15
CHURCHILL DOWNS	10/2-10/15
COLONIAL DOWNS	10/2-10/15
DELAWARE	10/2-10/15
EMERALD DOWNS	10/2-10/15
EVANGELINE DOWNS	10/2-10/15
INDIANA DOWNS	10/2-10/15
LONE STAR	10/2-10/15
LOUISIANA DOWNS	10/2-10/15
MONMOUTH	10/2-10/15
MOUNTAINEER	10/2-10/15
PENN NATIONAL	10/2-10/15
PHILADELPHIA PARK/PARX	10/2-10/15
PIMLICO	10/2-10/15
PRAIRIE MEADOWS	10/2-10/15
PRESQUE ISLE DOWNS	10/2-10/15
RIVER DOWNS	10/2-10/15
RUIDOSO	10/2-10/15
SUFFOLK DOWNS	10/2-10/15
THISTLEDOWN	10/2-10/15
YAVAPAI DOWNS	10/2-10/15
<b>INTERNATIONAL</b>	
ASSINIBOIA	10/2-10/15
AUSTRALIAN RACING	10/2-10/15
FORT ERIE	10/2-10/15
HASTINGS	10/2-10/15
NORTHLANDS PARK	10/2-10/15
SOUTH AMERICAN RACING	10/2-10/15
UNITED KINGDOM	10/2-10/15
WOODBINE	10/2-10/15

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**ARF 2019 Meets  
Commingled Locations**

West Entertainment	Fair Meadows	Monmouth Park	Tampa Bay Downs
Amwest Accounts	Favorites at Gloucester	Montana OTB	Taunton ADW
Greenbrier (WV)	Favorites at Vineland	Monticello	Taunton Dog Track Inc.
Cover D' Alene Casino (ID)	Finger Lakes	Mountaineer Park	The Downs at Albuquerque
Triple Crown (SD)	Finger Lakes ADW	Nassau Regional OTB	Thistledown
Apahoe-Mile High	Fonner Park	Nevada Pari-Mutuel Assoc.	Tioga Downs
Alma Race Club	Freehold	Newport Jai Alai	Tri-State GH (Mardi Gras)
Alington I	Gillespie County Fair	New Jersey ADW (4NJBets)	Turf del Norte (Mexico)
Algonor Raceway	Global Wagering Solutions	Northfield	Turf Paradise
Altavia	Betsson, OneXTwo, German Tote	Cedar Downs OTB	Turfway Park
Alterra Park	Racebets, Sportech Netherlands	Northville Downs	TVG
Altor Racing	SBO, Magna Bet, Pferdewetten	NYRA	TVG High Volume
Altingham Greyhound	Dantoto	NYRA ADW	Twin River Greyhound
Alrgata Casino	Greenetrack	Oaklawn Park	TwinSpires
Alrfalo Raceway	Greyhound at Post Falls	Oaklawn Park ADW	TwinSpires-High Volume
Alrterbury Park	Gulf Greyhound	Ocean Downs	Velocity Wagering Ltd.
Alrpital District OTB	Gulfstream Park	Panama	Venezuela OTB
Alrskill OTB	Harrington Raceway HarringtonBets ADW (DE regional)	PariBet ADW	Vernon Downs
Alrles Town Race Course	Hawthorne Race Course	PARX	Virginia Equine Alliance
Alrster Downs (Harrah's Philly)	Hawthorne ADW	PARX ADW	WatchandWager
Alrurchill Downs	Hazel Park	Penn National	Western OTB
Alridere (Brazil)	Hinsdale	Penn National ADW	Wheeling Downs
Alrlumbus Raceway	Hoosier Park	Peru	Will Rogers Downs
Alrnecticut OTB	Horseman's Park Horsehow Council Bluff (William Hill)	Plainridge Race Course	Wyoming OTB
Bradley Teletheater, Bristol	Idabet	Plainridge ADW	Wyoming Downs
Alrmouflage Gaming	Indiana Downs	Player Management Group	XpressBet
Connecticut OTB ADW	Evansville OTB	PMU (Brazil)	Yonkers Raceway
Coushatta Casino	Clarksville OTB	Pocono Downs ADW	Yonkers ADW (Empire City Bets)
Divi Carina Bay Casino	Intermountain Racing	Pocono Downs/OTB	Youbet Group 1
East Haven, Hartford, Putnam	Jockey Club Pernambuco (Brazil)	Portland Meadows	Zia Park
Winner's Circle	Keeneland	Potawatomi Casino/ OTB	123Gaming
Ho-Chunk Casino and Racebook	Keeneland Select ADW	Prairie Meadows	
John Martin's Manor Restaurant	Kentucky Downs	Premier Turf Club	
Manchester, New London	Kentucky OTB	Presque Isle Downs	
Millenium Racing	Les Bois (Treasure Valley)	Remington Park	
Mohegan Sun Casino	Lewiston OTB's	Remington OTB Network	
New Britain, Norwalk, Milford	Lien Games	Retama Park	
Oneida Bingo & Casino	Chips Lounge/Rumors OTB	Racing & Gaming Services	
Paragon Casino	Howard Johnsons OTB	Rillito Park	
Pony Bar Simulcast Center	Skydancer Casino OTB	Ruidoso Downs	
Randall James Racetrack	Offtrackbetting ADW	Running Aces Harness Park	
Royal Beach Casino	X the Spot (Virgin Island)	Sam Houston	
Shoreline Star, Sports Haven	Lone Star	Valley Greyhound Park	
Torrington, Waterbury	Louisiana Downs	Saratoga Harness Raceway	
Tote Investment Racing		Saratoga Bets (ADW)	
Willimantic, Stanford OTB		Scarborough Downs	

Winner's Circle

LVDC

Scioto Downs

Trampus Christi Greyhound  
 Dayton Raceway  
 Delaware Park  
 Delta Downs  
 Dover Downs  
 Dubuque (Iowa Greyhound)  
 Eate Turf Club (1-19)  
 Elys Park  
 Emerald Downs  
 Evangeline Downs  
 Fair Grounds  
 Fair Grounds ADW

Atlantis Paradise Casino  
 Buffalo Thunder Resort  
 Foxwoods Resort Casino  
 Meskwaki Bingo & Casino  
 Mahoning Valley  
 Maronas (Uruguay)  
 Maryland Jockey Club  
 Meadowlands  
 Meadows The  
 Meadows The (ADW) - PA regional  
 Miami Valley Gaming & Racing  
 Mobile Greyhound

Seabrook Greyhound  
 Seabrook Greyhound ADW  
 Sol Mutuel  
 Sol Mutuel – Odds Broker  
 Sol Mutuel – OTB  
 Barbados/Otrabanda  
 Southland Greyhound  
 State Fair (Lincoln, NE)  
 Suffolk District OTB  
 Suffolk Downs  
 Sunland Park  
 SunRay Park & Casino

Separate Pool Locations

Camarero (Puerto Rico)  
 Caymanas (Jamaica)  
 Chile  
 Codere (Mexico/Spain)  
 MIR Books (Caliente)  
 NDS Books (Nevada)  
 PMU (Brazil)  
 Tabcorp (Australia)  
 Tattsbet (Australia)  
 Turf TV  
 New Zealand Racing Board

Indian Locations

Ashcroft Downs, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Hiawatha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britiana Hub, Sudbury Downs, Summerside, TBC Teletheaters  
 Western Fair, Woodbine, Xpressbet Southern Alberta

**F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:**

OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Bakersfield, 58 Flat Sports Bar & Casino, Bonita, Ocean's 11, Oceanside, Original Road House Grill, Santa Maria, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Striders, San Diego, Sammy's Restaurant & Bar, Mission Viejo.

**G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

**H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:**

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

**I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).**

N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

#### A. Racing officials nominated:

Association Veterinarian(s)	Sarah Sporer - DVM Track & Fitness Veterinarian F.G. Franklin – CHRB Veterinarian
Clerk of Scales	Matt Nichols
Clerk of the Course TB	Dawn Schmid
Clerk of the Course EB	Dolores Collins
Film Specialist	Myra Troske
Asst. Clerk of Scales	Kenneth Fowler
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Jackie Payton
Paddock Judge/Patrol Judge	Danny Winnick
Patrol Judge	Cheryl White
Placing Judges	McKenzie Talbot/Myra Troske
Starter	Robert Mooneyhan
Timer	Melody Truitt

#### B. Management officials in the racing department:

Director of Racing – **Larry Swartzlander**  
 Racing Secretary – **Tom Doutrich**  
 Assistant Racing Secretary – **Lisa Jones**  
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**  
 Others (identify by name and title)  
 Mutual Manager – **Doug Gooby**

#### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Esquire Deposition Services, 155 E. Shaw Ave, Suite 201, Fresno, Ca. 93704 (559) 261-2324**  
**Tricia Martinez**

#### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/21)**

#### E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communication, Inc. – (Jim Porep) Contract Expires: April 30, 2021  
Equipment description on file with the Board**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021**

**14. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Larry Swartzlander, Director of Racing**

**Cell: (916) 799-7084**

**Organizational Chart Attached**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**Grandstand Security Guards: 10 Security Guards plus 2 Fresno Police Officers.**

**Stable Gate Area Security Guards: 6 Gatemen; 2 Stable Area Patrol; 6 Security Guards; and an on-ground stall superintendent live in the backside area and makes rounds throughout the night checking the horses and stalls.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**Addressed in B1 above.**

B. Number of security guards in the detention stall area during a 24-hour period.

**N/A**

C. Describe number and location of surveillance cameras in detention stall area.

**N/A**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

14 A. FRESNO SECURITY ORGANIZATION CHART

John Alkire

Chief Executive  
Officer

Office: 559-650-3215

Cell: 559-994-3101



Big Fresno Fair  
Deputy Manager II  
Lauri King

Cell: 559-994-3135



**Big Fresno Fair**

**Ruben Gastelo**  
Cell: 559-994-3105



**Rob Simpson**

Security CEO

Cell: 559-433-5023



**Fresno County  
Private Security  
Company**

559-233-9800



**Fresno Police  
Department**  
**Sgt. Dave Gibeault**  
Cell: 559-978-4027

- C. Plan for detention stalls for repeat offenders.  
**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**
- D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.  
**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**
  - 1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**4 surveillance cameras monitoring this area**

## 15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**American Ambulance shall provide an Advanced Life Support (ALS) ambulance with minimum staffing of one paramedic and one emergency medical technician at racetrack workouts from 06:30 until 10:00 daily as requested prior to the opening of the Big Fresno Fair. In addition to scheduled racetrack workouts, an ambulance will be provided from 12:00 until the end of racing each day, for the duration of the Big Fresno Fair, October 2-14, 2019.**

**American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900  
Emergency Contact: Russ Richardson  
CCEMSA Communications Center Supervisor (559) 600-7406 or 911**

- 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification as well contract compliance is monitored by the Central California Emergency Medical Services Authority as well as the California Emergency Medical Services Authority**

**See Attached Letter from American Ambulance.**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900  
Emergency Contact Numbers:  
CCEMSA Communications Center Supervisor: (559) 600-7406 or 911  
American Ambulance Field Supervisor: (559) 259-0054  
Fresno Fair First-Aid Station: (559) 253-7417**

**The Fresno Fair First-Aid Station is manned by 2 medical teams during Fair hours of 11:00am-11:00pm on weekdays and 10:00am-12:00am on weekends. These teams have a minimum staffing of one paramedic and one emergency medical technician.**



June 27, 2019

Lauri King  
Deputy Manager  
Big Fresno Fair  
1121 S. Chance Ave  
Fresno, CA 93702

Dear Lauri:

All of the personnel staffing ambulances supplied by American Ambulance are currently certified and have met the certification requirements set forth by the Central California Emergency Medical Services Agency (CCEMSA) in addition to meeting the licensure and/or certification requirements of the California Emergency Medical Services Authority.

Paramedic's meet all of the requirements outlined in CCEMSA policy 133 (attached).

Emergency Medical Technician's meet all of the requirements outlined in CCEMSA policy 112 (attached).

All of the paramedic ambulances providing service to the Big Fresno Fair are defined as Advanced Life Support Unit (ALSU): ***An ambulance unit which is equipped and staffed to provide Paramedic level patient care***, as outlined in CCEMSA policy 291 (attached).

Please contact me if you require further information about the credentialing or licensure of EMT's and Paramedics that will be providing emergency medical services to participants and patrons of the Big Fresno Fair.

*Russ Richardson*

Russ Richardson  
Quality Improvement Manager  
American Ambulance  
2911 East Tulare Ave  
Fresno, CA 93721  
(559) 443-5915

**Please See Attached FORM 112, 133, 200, 291 & FORM 547 in this section with Fresno County Procedures.**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attached Letter from American Ambulance.**

**Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification, as well contract compliance is monitored by the Central California Emergency Medical Services Authority.**

**See Attachment from Fresno County.**

**Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

**Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**Breana Siliznoff  
614 W. Muncie  
Clovis, CA 93619  
559-977-8477**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Community Medical Centers, 2823 Fresno Street, Fresno, Ca. 93715 (559) 459-6000.**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**See Attached**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**John C. Alkire, CEO and Lauri King, Deputy Manager II**

**15C First aid and Medical Staffing**

The Big Fresno Fair provides emergency medical services which support comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilization, comfort and transportation of injured racetrack personnel to appropriate local hospitals.

The on-track ambulance is a paramedic staffed advanced life support unit, dedicated solely to racing and racing related events. The ambulance is present trackside during training and on the track during racing. This ambulance is responsible for providing emergency medical services and transportation. When the services of the on-track ambulance are required, an additional ambulance will immediately be sent to replace the on-track ambulance.

During regular fair hours, at least one or more advanced life support ambulances are available onsite, as well as a fully staffed first-aid station that can provide advanced and basic life support. Additional EMS resources, including rescue and air ambulance, are immediately available in the local area.

Patients will be transported per CCEMSA policy 547, based on medical necessity and/or patient preference. There are 4 local receiving facilities that provide comprehensive emergency medical services, including a Level One Trauma Center.

**Community Regional Medical Center (Level One Trauma Center),  
2823 Fresno St. Fresno CA 93721  
(559) 459-33308.**

**Saint Agnes Medical Center. 1303 E. Herndon, Fresno, CA 93711  
(559) 449-3205**

**Clovis Community Hospital, 2755 Herndon, Clovis CA 93611  
(559) 324-4040**

**Kaiser Foundation Medical Center, 7300 N Fresno St. Fresno, CA 93720  
(559) 448-5555**

Onsite communication services are provided to ensure contact between all emergency care personnel. Communications are maintained between racing operations and the on-track ambulance via VHF radio, utilizing cell phones as a secondary resource. The on-track ambulance, additionally, maintains contact with the CCEMSA communications center through VHF and UHF radio, utilizing cellular service as a backup system.

The CCEMSA communications center is a Public Safety Answering Point. All personnel are informed to call 911 in the event emergency medical services are needed and other communications systems are not functional, and/or the on-track ambulance is disabled or otherwise unavailable.

The Big Fresno Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

**15F Medical Protocols and Procedures: English**

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to

monitor the scene and access the extent of video coverage to be transmitted to the public.

3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

#### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

**15F Medical Protocols and Procedures: Spanish****Artículo #14F Español**

Procedimientos del Gran Ferira de Fresno en los accidentes de carreras de caballo.

**Ambulancia de Pista**

La ambulancia de pista llegara in mediatamente al escena del accidente y asumira triage y las responsabilidades de cuidado del paciente y evacuar.

**La Seguridad**

- 1.) Tan pronto como seaposible, un miembro de personal de seguridad de pista in formará a la escena del accidente y posterior mente tomará la dirección de la EMT responsable del manejo de la escena.
- 2.) Un miembro del personal de seguridad de pista procederá a la sala de jockey para a segurar el área de traslado de ambulancia y evitar visitas de personas de la zona del accidente.
- 3.) Un miembro del personal de seguridad de pista se encargará de escoltar vehiculos de emergencia.
- 4.) Personal de seguridad sera responsable para toda las actividades de control de la gente.

**Carreras Pista de Personal/Veterinario**

- 1.) A su llegada a la escena el outrider debe tener el caballo lesionado con el fin devitar más daños a personas, caballos y propiedad.
- 2.) Caballos con lesiones graves deben ser transportados fuera de la pista a través de la ambulancia de caballos siempre que sea práctico hacerlo.
- 3.) El veterinario de la pista deberá tomar la decisión acerca de la necesidad de la eutanasia en la pista.
- 4.) L9a pantalla de bloqueo del publico del caballo lesionado se establecerá antes del procedimiento del eutanasia.
- 5.) Outriders son responsables de la eliminación de cualquier residuo de la pista después de la extirpación de la persona lesionada o caballo de la pista.

**Personal de Planta**

- 1.) La ambulancia del caballo deberá via jar in mediatamente a la escena del accidente siempre y cuando parece que un caballo requieve transporte.
- 2.) Miembros del personal de planta que se encuentran cerca del accidente se prestarán asistencia en el bloquero de la accidente de la vista publica y deberá tomar la dirección de la EMT responsable del manejo de la escena de accidente.

**Anunciador**

El anunciador hará jinetes tanto de la situación como la (ubicación de un caballo suelto o la necesidad de parar el caballo etc.) que les permita tomar las medidas necesarias para mitiqar los problemas adicionales.

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**See Attached**

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):

**See Attached**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

**See Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



# DEPARTMENT OF FORESTRY AND FIRE PROTECTION

OFFICE OF THE STATE FIRE MARSHAL

Fire and Life Safety Division - South

602 E Huntington Dr, Suite A, Monrovia, CA 91016

(626) 305-1908

## SPOT INSPECTION REPORT

### LOCATION INFORMATION

Street Address: 1121 S. Chance Ave.

County: Fresno

City, State, Zip code: Fresno, CA, 93702

Lot Number:

Suite Number: Barn 18

Floor Number:

Agency: DAA Big Fresno Fair (60030)

SFM File No.:

### INSPECTION INFORMATION

Inspection Number : 75950

Inspection Type: Spot Inspection

Inspection Date : 06/18/2019

Inspection Status : Passed

Inspector: Polly Parenti

Inspection Hours : 0.00

Inspector Signature: *Polly Parenti*

Travel Hours : 0.00

Inspection Comments:

Discussed With: Rod Seitz

Title:

Accompanied By:

Title:

\_\_\_\_\_  
Rod Seitz

Signed:

**Inspection, Testing, and Maintenance Cover Sheet**  
**NFPA 25 as amended by CCR, Title 19**

**Property Information**

Name: Fresno Co. Fair Grounds (Horse Barns) Occupancy/Use: \_\_\_\_\_

Address: 1121 S. Chance Ave. Construction Type: \_\_\_\_\_

City: Fresno, Ca No. Stories: 1

ZIP: 93702 Year Constructed: \_\_\_\_\_

Contact: Red

Telephone: \_\_\_\_\_



**Contractor Information** Number of System Risers: \_\_\_\_\_

Name: Jorgensen Company Copy sent to: \_\_\_\_\_

Address: 2467 Foundry Park Avenue  Owner Date: 7/18/19

City: Fresno  Fire AHJ Date: \_\_\_\_\_

State: CA  Contractor Date: 7/18/19

Telephone: (800) 481-3473

CA License#: 262995

Job #: 1915521

Performed by: R. Smith & Dustin R.

**NOTES:**  
 1) For specific inspection, testing, and maintenance requirements and information, see NFPA 25, 2011 Edition as amended by California Code of Regulations, Title 19, §901 to §906.  
 2) Inspection items may be performed by the owner in accordance with California Code of Regulations, Title 19, §904.1(a)

Check the box for each system inspected and enter the number of forms used for inspection. Checkboxes allow for multiple systems inspected. **Number of Forms** **N/A** **Fail** **Pass**

Forms Included with this Report	NFPA 25 Chapter	Number of Forms	N/A	Fail	Pass
<input checked="" type="checkbox"/> Automatic Sprinkler System	5	0	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input type="checkbox"/> Standpipe and Hose System	6	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Private Water Supply System	7	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Fire Pump	8	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Water Storage Tank	9	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Water Spray System	10	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Foam Water Sprinkler System	11	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Water Mist System	12	0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Concerns that are not deficiencies (i.e. Non-Sprinklered Areas)				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

<b>Wet Pipe Fire Sprinkler System</b>		<b>California Code of Regulations, Title 19 Inspection, Testing, and Maintenance</b>		<b>Quarterly and Annual Report</b>		<b>1 of 3</b>	
Property Information				Contractor or Licensed Owner Information			
Building Name <i>Fresno Fair Horse Barns</i>				Name <i>Jorgensen Company</i>			
Address <i>1121 S. Chance</i>				Address <i>2467 Foundry Park Avenue</i>			
City <i>Fresno, Ca</i>				City <i>Fresno St CA Zip 93706</i>			
Contact Person <i>Rod</i>				License #		Phone <i>(559) 268-6241</i>	
				<input type="checkbox"/> SFM		Job # <i>1915521</i>	
				<input checked="" type="checkbox"/> CSLB 262995		Misc.	



Riser Information			Main Drain Test (Annual)				
Riser No.	Location	Riser Diameter	Main Drain Diameter	Initial Static Pressure	Residual Pressure	Final Static Pressure	Pass/Fail/N/A
1	<i>Pole Barn</i>	<i>6"</i>	<i>2"</i>	<i>85</i>	<i>50</i>	<i>75</i>	<i>P</i>
2	<i>Barn # 42</i>	<i>3"</i>	<i>1 1/4"</i>	<i>60</i>	<i>50</i>	<i>60</i>	<i>P</i>
3	<i>Barn # 41</i>	<i>3"</i>	<i>1 1/4"</i>	<i>58</i>	<i>50</i>	<i>55</i>	<i>P</i>
4	<i>Barn # 40</i>	<i>3"</i>	<i>1 1/4"</i>	<i>55</i>	<i>50</i>	<i>60</i>	<i>P</i>
5	<i>Barn # 39</i>	<i>3"</i>	<i>1 1/4"</i>	<i>60</i>	<i>50</i>	<i>60</i>	<i>P</i>

This building has more than 5 risers. See additional AES 2.9 form attached. Number of AES 2.9 forms attached *1*

Quarterly Inspections							
I = Inspection T = Test M = Maintenance			P = Pass F = Fail N/A = Not Applicable				
Item		Description	Reference	Date	Date	Date	Date
1.1	I	Control Valves - Identification Sign	13.3.1		<i>P</i>		
1.2	I	Control Valves - Inspection	13.3.2		<i>P</i>		
1.3	I	Waterflow Alarm Devices	5.2.5		<i>P</i>		
1.4	I	Supervisory Devices	5.2.5		<i>P</i>		
1.5	I	Gauges (Wet Pipe Systems)	5.2.4.1		<i>P</i>		
1.6	I	Enter Water Supply Pressure Below Riser Check	5.2.4.1	psi	<i>75 psi</i>	psi	psi
1.7	I	Enter Water Supply Pressure Above Riser Check	5.2.4.1	psi	<i>75 psi</i>	psi	psi
1.8	I	Pressure Readings Acceptable	5.2.4.1		<i>P</i>		
1.9	I	Hydraulic Design Information Sign (for hydraulically designed systems)	5.2.6		<i>N/A</i>		
1.10	I	General Information Sign (not required for system prior to 2007 edition of NFPA 13)	5.2.8		<i>N/A</i>		
1.11	I	Heat Tape	5.2.7		<i>N/A</i>		
1.12	I	Spare Sprinklers	5.2.1.4		<i>P</i>		
1.13	I	Fire Department Connections	13.7		<i>N/A</i>		
1.14	I	Alarm Valves - Exterior Inspection	13.4.1		<i>N/A</i>		
1.15	I	Pressure Reducing Valves	13.5.1.1		<i>N/A</i>		
1.16	I	Backflow Preventers	13.6.1		<i>N/A</i>		
1.17	I	Small Hose Connections - Hose Valve*	5.1.6, 13.5.2, 13.5.5.1		<i>N/A</i>		
1.18	I	PRV - Fire Sprinkler Systems	13.5.1.1		<i>N/A</i>		

Small hose connections are hose valves and optional hose supplied by the fire sprinkler system. They do not include Class I, II, or III standpipe systems.

<b>Wet Pipe Fire Sprinkler System</b>	<b>California Code of Regulations - Title 19 Inspection, Testing, and Maintenance</b>	<b>Quarterly and Annual Report</b>
		2 of 3
Property Information		Contractor or Licensed Owner Information
Building Name <i>Fresno Fair Horse Barns</i>	Name <i>Jorgensen Company</i>	
Address <i>1121 S. Chance Ave</i>	Job # <i>1915521</i>	
City <i>Fresno, Ca</i>		

**ANNUAL INSPECTION, TESTING, AND MAINTENANCE**  
*Include ALL Quarterly Inspections*

		I = Inspection	T = Test	M = Maintenance	P = Pass	F = Fail	N/A = Not Applicable	
Item	Description	Code Reference	Date	Comment Only	P/F/N/A			
1.19	I Sprinklers	5.2.1	<i>6/18</i>				P	
1.20	I Buildings (Freeze Protection)	4.1.1.1	/	<i>Owner's Responsibility</i>			<i>N/A</i>	
1.21	I Pipe and Fittings	5.2.2					P	
1.22	I Hangers	5.2.3					P	
1.23	I Seismic Braces	5.2.3					P	
2.1	T Field Service Test Required <i>(Send Report to Fire Code Official)</i>	5.3.1			<i>IF REQUIRED, Enter 'F' until results are returned from Lab</i>			<i>N/A</i>
2.2	T Recalled Sprinklers <i>If not present = Pass; If present = Fail</i>	Title 19 904.1(c)						P
2.3	T Water Flow Alarm Devices <i>90 sec. maximum - (Enter Time)</i>	5.3.3 13.2.6			<i>All under 90 sec.</i>			P
2.4	T Main Drain Test <i>(Enter data on Page 1 of this form)</i>	13.2.5 13.3.3.4						P
2.5	T Control Valve - Position	13.3.3.2						P
2.6	T Control Valve - Operation	13.3.3.1						P
2.7	T Supervisory Devices	13.3.3.5					P	
2.8	T Backflow Preventer Assemblies	13.6.2					<i>N/A</i>	
2.9	T Small Hose Connections* <i>w/PRV Hose Valves - Partial Flow Test</i>	13.5.2.3 13.5.3.3					<i>N/A</i>	
2.10	T PRV - Fire Sprinkler Systems	13.5.1.3					<i>N/A</i>	
3.1	M Control Valves	13.3.4					<i>N/A</i>	
3.2	M Small Hose Connections*	13.5.6.3					<i>N/A</i>	
3.3	M Obstruction Investigation required <i>(If "Yes", see Deficiencies and Comments Section for Results.)</i>	14.3		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			<i>N/A</i>	
3.4	M System Returned to Service	4.5.3		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>R.F.E</i>		P	

\* Small hose connections are hose valves and optional hose supplied by the fire sprinkler system. They do not include Class I, II, or III standpipe systems.

D = Deficiency C = Comment (Indicate type)

Item	Date	Req.	D	C	Deficiencies and Comments
					<i>N/A</i>



Main Drain Test Continuation Form		California Code of Regulations - Title 19 Inspection, Testing, and Maintenance		1 of 1
Property Information			Contractor or Licensed Owner Information	
Building Name <i>Fresno Fair Grounds</i>			Name <i>Jorgensen Company</i>	
Address <i>1121 S. Chance</i>			Address <i>2467 Foundry Park Ave</i>	
City <i>Fresno, Ca</i>			City <i>Fresno</i>	St. <i>CA</i>
Contact Person <i>Bob</i>		License #	Phone <i>559-268-6241</i>	
Phone <i>(559)</i>		<input type="checkbox"/> SFM	Job # <i>1915521</i>	
		<input checked="" type="checkbox"/> CSLB 262995	Misc.	

Riser Information		Main Drain Test					
Riser No.	Location	Riser Diameter	Main Drain Diameter	Initial Static Pressure	Residual Pressure	Final Static Pressure	P/N/A
6	Barn # 38	3"	1 1/4"	60	50	55	P
7	Barn # 37	3"	1 1/4"	55	50	65	P
8	Barn # 36	3"	1 1/4"	65	50	65	P
9	Barn # 35	3"	1 1/4"	65	50	60	P
10	Barn # 34	3"	1 1/4"	60	50	60	P
11	Barn # 18	3"	2"	55	50	55	P
12	Barn # 19	3"	2"	55	50	55	P
13	Barn # 20	3"	2"	55	50	55	P
14	Barn # 21	3"	2"	52	50	55	P
15	Barn # 22	3"	2"	50	45	50	P
16	Barn # 23	3"	2"	55	50	55	P
17	Barn # 24	3"	2"	53	48	55	P
18	Barn # 25	3"	2"	55	50	53	P
19	Barn # 26	3"	2"	55	45	50	P
20	Barn # 27	3"	2"	50	45	50	P
21	Barn # 28	3"	2"	50	45	50	P
22	Barn # 29	3"	2"	50	45	55	P
23	Barn # 30	3"	2"	55	50	55	P
24	Barn # 31	3"	2"	55	50	54	P
25	Barn # 32	3"	2"	55	45	52	P
26	Barn # 33	3"	2"	55	50	54	P

I hereby certify that the fire protection equipment listed above has been fully inspected, tested, and maintained on this date by the company indicated above, in accordance with CCR, Title 19, Sections 901 to 906 and that the equipment is fully operable except as noted in the "Deficiencies and Comments" section of this form.

Print Name	<i>R. Smith</i>
Signature	<i>R. Smith</i>
Date	<i>06-17-2019</i>



**Jorgensen & Co.**

2467 Foundry Park Ave  
 Fresno, California 93706  
 Tel 559-268-6241 Fax 559-268-5239

W/O # 1915519

An Employee Owned Company

PASS  FAIL

**SYSTEM RECORD OF INSPECTION AND TESTING**

**PROPERTY INFORMATION**

Name	<u>BIG FRESNO FAIR</u>	Date	<u>6/18/2019</u>
Address	<u>1121 S CHANCE AVE FRESNO CA 93702</u>	Frequency	<u>ANNUAL</u>
Contact	<u>NICK HECKMAN</u>	Phone	<u>559-916-2629</u>

**MONITORING INFORMATION**

Transmits to: RFI Phone 1 1-800-341-9292 Phone 2 \_\_\_\_\_  
 Transmitter: ON-BOARD DACT Account # CC39-511

**DESCRIPTION OF SYSTEM**

Fire Alarm Control Unit SILENT KNIGHT Model # 5700 Software Revision # \_\_\_\_\_

**SYSTEM POWER**

Primary Power: Voltage 120VAC Panel N/A Breaker # N/A

**SECONDARY POWER**

Battery PRB 127T Qty. 2 Amphr 7 Age 1/1/2018 Location RACP  
 Load Voltage 26.90 Discharge Test 1.00 Charger Voltage 27.50 Condition PASS

**DEVICE QUANTITIES AND RESULTS**

Qty.	Style		Qty.	Style	
		Horn/strobes	9	B	Manual Pulls
		Relays			Ion Detectors
		Strobes			Photo Detectors
		Spkr/Strobes			Duct Detectors
		Bells	25	B	Water flow Detectors
		Chimes	25	B	Supervisory Switches
1	Y	Mini - Horn			Heat Detector

**CONTROL UNIT AND RELATED EQUIPMENT**

	Visual	Functional		Visual	Functional
Control Panel	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ground Fault Monitoring	<input type="checkbox"/>	<input type="checkbox"/>
Lamps/Leds	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Supervision	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Fuses	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Local Annunciator	<input type="checkbox"/>	<input type="checkbox"/>
Disconnect Switches	<input type="checkbox"/>	<input type="checkbox"/>	Remote Annunciator	<input type="checkbox"/>	<input type="checkbox"/>
Trouble Signals	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Remote Power Supplies	<input type="checkbox"/>	<input type="checkbox"/>

Comments

**SUPERVISING STATION MONITORING**

	Visual	Functional	Time		Visual	Functional	Time
Alarm Signal	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>6:00:00</u>	Alarm Restore	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>15:00:00</u>
Trouble Signal	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>6:00:00</u>	Trouble Restore	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>15:00:00</u>
Supervisory Signal	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>6:00:00</u>	Supervisory Restore	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<u>15:00:00</u>

Comments



**Jorgensen & Co.**  
 2267 Foundry Park Ave  
 Fresno, California 93706  
 Tel 559-268-6241 Fax 559-268-5239

An Employee-Owned Company

**FIRE ALARM SYSTEM SERVICE REPORT**

**NOTIFICATION THAT SYSTEM IS COMPLETE**

Monitoring Organization	Contact: RFI	Time: 3:00:00	Date: 6/19/2019
Building Organization	Contact: FRESNO FAIR GROUNDS	Time: 3:00:00	Date: 6/19/2019
Building Occupants	Contact: STAFF	Time: 3:00:00	Date: 6/19/2019
Authority Having Jurisdiction	Contact: _____	Time: _____	Date: _____
Other, If Required	Contact: _____	Time: _____	Date: _____

**SYSTEM RESTORED TO NORMAL OPERATION**

Date: Tuesday, June 18, 2019 Time: 3:00:00 PM

**CERTIFICATION**

This System As Specified Has Been Herein Has Been Inspected And Tested According to NFPA 72, 2014 Edition, Chapter 14.

Signed: *N. Cantu* Printed Name: NICK CANTU Date: 6/18/2019  
 Organization: JORGENSEN CO Title: FIRE ALARM TECHNICIAN Phone: 559-236-3836

**FIRE ALARM SYSTEM SERVICE REPORT NOTES AND DEFICIENCIES**

PHONE LINE 1 IS DOWN AND WILL NEED PHONE COMPANY TO COME OUT AND TROUBLESHOOT.  
 SPRINKLER TECHNICIANS TESTED ALL SPRINKLER DEVICES.

**INSPECTION RESULT**

	PASS	FAIL	N/A	NOTES
OVERALL INSPECTION RESULT	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
INITIATING DEVICE RESULTS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NOTIFICATION DEVICE RESULTS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
BATTERY CALCULATIONS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SENSITIVITY RESULTS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
FIRE DOOR / MAGNETIC LOCKS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
FIRE SMOKE DAMPERS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
FUSIBLE LINK DAMPERS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**ACCEPTANCE BY OWNER OR OWNER'S REPRESENTATIVE**

Signed: \_\_\_\_\_ Printed Name: \_\_\_\_\_ Date: 6/18/2019  
 Organization: \_\_\_\_\_ Title: \_\_\_\_\_ Phone: \_\_\_\_\_



An Employee Owned Company

FIRE ALARM SYSTEM SERVICE REPORT

Name	BIG FRESNO FAIR	Date	6/18/2019
Address	1121 S CHANCE AVE	Frequency	ANNUAL

Device	Type	Tested	Calibrated	Location
3-1	TAMPER	PASS		BUILDING 18
3-2	TAMPER	PASS		BUILDING 19
3-3	TAMPER	PASS		BUILDING 20
3-4	TAMPER	PASS		BUILDING 21
3-5	TAMPER	PASS		BUILDING 22
3-6	TAMPER	PASS		BUILDING 23
3-7	TAMPER	PASS		BUILDING 24
3-8	TAMPER	PASS		BUILDING 25
3-9	TAMPER	PASS		BUILDING 26
3-10	TAMPER	PASS		BUILDING 27
3-11	TAMPER	PASS		BUILDING 28
3-12	TAMPER	PASS		BUILDING 29
3-13	TAMPER	PASS		BUILDING 30
3-14	TAMPER	PASS		BUILDING 31
3-15	TAMPER	PASS		BUILDING 32
3-16	TAMPER	PASS		BUILDING 33
4-1	TAMPER	PASS		BUILDING 34
4-2	TAMPER	PASS		BUILDING 35
4-3	TAMPER	PASS		BUILDING 36
4-4	TAMPER	PASS		BUILDING 37
4-5	TAMPER	PASS		BUILDING 38
4-6	TAMPER	PASS		BUILDING 39
4-7	TAMPER	PASS		BUILDING 40
4-8	TAMPER	PASS		BUILDING 41
4-9	TAMPER	PASS		BUILDING 42
5-1	WFD	PASS	12 SEC	BUILDING 18
5-2	WFD	PASS	22 SEC	BUILDING 19
5-3	WFD	PASS		BUILDING 20
5-4	WFD	PASS	15 SEC	BUILDING 21
6-1	WFD	PASS	16 SEC	BUILDING 22
6-2	WFD	PASS	32 SEC	BUILDING 23
6-3	WFD	PASS	55 SEC	BUILDING 24
6-4	WFD	PASS	34 SEC	BUILDING 25
7-1	WFD	PASS	50 SEC	BUILDING 26
7-2	PULL STATION	PASS	N/O	BUILDING 27
7-3	WFD	PASS		BUILDING 27
7-4	WFD	PASS	41 SEC	BUILDING 28
7-5	PULL STATION	PASS	N/O	BUILDING 29
7-6	WFD	PASS	14 SEC	BUILDING 29
8-1	WFD	PASS	41 SEC	BUILDING 30
8-2	PULL STATION	PASS	N/O	BUILDING 31
8-3	WFD	PASS	45 SEC	BUILDING 31
8-4	WFD	PASS	39 SEC	BUILDING 32
8-5	WFD	PASS	40 SEC	BUILDING 33
9-1	PULL STATION	PASS	N/O	BUILDING 34
9-2	WFD	PASS	19 SEC	BUILDING 34
9-3	WFD	PASS	12 SEC	BUILDING 35



June 27, 2019

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Big Fresno Fair/21<sup>st</sup> DAA  
1121 South Chance Avenue  
Fresno, CA 93702

Please be advised that the Big Fresno Fair/21<sup>st</sup> DAA is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. GENERAL LIABILITY PROGRAM**

- |    |                  |   |
|----|------------------|---|
| A. | Primary Coverage | \$100,000 self-insured retention California Fair Services Authority<br>(includes liquor liability)<br>Coverage continuous until cancelled         |
| B. | Excess Coverage  | \$24,900,000 in excess of \$100,000 (includes Liquor Liability)<br>Coverage provided by CSAC Excess Insurance Authority<br>Term: 7/1/19 to 7/1/20 |

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM**

- |    |                  |   |
|----|------------------|---|
| A. | Primary Coverage | \$500,000 self-insured retention California Fair Services Authority<br>Coverage continuous until cancelled  |
| B. | Excess Coverage  | (a) Workers' Compensation: Statutory Limit in excess of \$500,000<br>(b) Employers' Liability: \$4,500,000 in excess of \$500,000<br>Coverage provided by CSAC Excess Insurance Authority<br>Term: 7/01/2019 to 7/01/2020 |

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Big Fresno Fair/21<sup>st</sup> DAA as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

*Mario Castagnola*  
Mario Castagnola  
Risk Analyst

**16. CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:  
 Food & Non-Alcoholic Beverages

COMPANY	OWNER	ADDRESS	CONCESSION SERVICE
Pardini Fair Ventures, L.P.	James Pardini	2257 W. Shaw Fresno, CA 93711	Food & Beverage, Alcoholic & Non-Alcoholic Beverage
Winners Circle	Don Fowler	916-205-5384	Providing food and non-alcoholic beverages to the Jockey Room
Speeda Sound	Mike King	5617 W. San Madele Fresno, Ca. 93722	Sound Equipment
Bob's Card, Inc.	Tiffany Bohland	6288 Highland Meadows Medina, OH 44256	Tip Sheets
Cal Horse	Rick Harris	26807 Proveda Mission Viejo, Ca. 92691	Tip Sheets
Vassar Photography	Bill Vassar	5075 Double Point Way Discovery Bay, CA 94505	Winner's Circle Photos
1 Sound View Loop	CARF Contract	South Salem, NY 10590	Starting Gate
Bailey Mobile Laundry	Frances Lorene Dutton	1129 Avenida Sevilla #7-B Walnut Creek, Ca. 94595-3741	Jockeys Laundry
Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 94588	Program Printing
NOTWINC	Bryan Wayte	11875 Dublin Blvd. Dublin, Ca. 94568	Armored Car Service

- B. Does the fair plan to provide its own concessions? Yes  No

**17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**Please See Attached Marketing Plan**
- B. Promotional/ Marketing budget for this race meeting:  
**Please See Attached Marketing Plan**

Promotional/Marketing budget for prior race meeting:

As noted in our plan, the overall Operating Expense of Live Horse Racing is \$1,121,450. The overall Operating Expense of the Fair is \$12,506,297. The Live Racing expenses accounts for 11.15% of total Fair expenses. The overall Revenue from Live Horse Racing is \$891,000. The overall Revenue of the Fair is \$12,943,650. The Live Horse Racing revenue accounts for 14.53% of total revenue.

**Please See Attached Promotional & Marketing budget for 2015, 2016, 2017, 2018 and 2019.**

C. Number of hosts and hostesses employed for meeting:

22

D. Describe facilities set aside for new fans:

**Weekend and Holiday Handicapping Seminars, Created Beginner's Guide to Betting that will be available at each window, NEW Ask Me employees to help answer questions of customers. We have also created a NEW event/corporate area to increase the opportunity to bring clients or companies to the Fair in the Racing facility.**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- More than 6,350 trees planted as well as 156 boxed mature olive trees.
- Backside improvements to the tack rooms and new stall doors.
- Grandstand second floor restrooms, new tile floors and new paint & water efficient fixtures.
- Permanent grass planted in the infield; over two miles of sprinklers installed.
- Paddock: New recycled rubber tile floor and drainage system installed. New flowers added in 2017.
- Completely re-built eight barns and built 40 wash racks.
- Remodeled backside restaurant and new Satellite Wagering facility including new restrooms.
- Completely painted all barns and buildings on the backstretch.
- Tote Board refurbished with rock, plus a new 14' waterfalls.
- Outside rail raised to meet CHRB standards for safety.
- New Infield Race Gate Entrance
- New Sports Bar in the Grandstand; "Starter's Sports Bar"
- New Churchill Downs gazebo in the VIP Horse Racing Parking Lot (Chance Parking Lot)
- Half a mile of privacy fencing added to the Race Track.
- Re-Painted the 1<sup>st</sup> floor of the Grandstand.
- Placement of a new fire hydrant at the Grandstand.
- New paint in the Box Seat area in the Grandstand.
- New drip to all trees in the backside area.
- Working to improve and upgrade tack rooms.

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Admission (general)	\$12.00	\$8.00 Child	\$8.00 Seniors
Admission (clubhouse)	\$10.00		
Reserved seating (general)	\$5.00		
Reserved seating (clubhouse)	\$10.00		
Parking (general)	\$5.00		
Parking (preferred)	\$10.00		
Parking (Chance Lot)	\$15.00		
Parking (valet)	N/A		
Parking (season)	\$100		
Programs (on-track)	\$2.50		
(off-track)	\$2.50		

B. Describe any "Season Boxes" or other special accommodation fees:

**Box seats are sold in advance - \$642.00 (Box of 4)**

**Daily box seats are sold individual at \$7/ea. (if available)**

- C. Describe any "package" plans such as combined parking, admission and program:  
**Season Passes will be sold for only \$38.00 which allows the horse players admission to the Fair everyday of the Fair, a total of 12 days for only \$38.00**

## 19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="23"/>		
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys:

**Quarters for female jockeys are the same as the men's quarters. Built in 1990 and 1991. Separate locker rooms, restrooms, sauna room, bunkroom, and shower room for female jockeys.**

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack:  
126 Tack Rooms

- C. Number of restrooms available on the backstretch of the racetrack:

**Five trailers—one, 20-foot restroom trailers with two restrooms each available for both men and women; and two, 28-foot shower trailers with four showers and 1 restroom each available for both men and women and two 40 – foot trailers with two restrooms and four showers each available for both men and women.**

- D. Estimated ratio of restrooms to the number of backstretch personnel:

**1 – Receiving Barn; 58 in RV Trailer Park; 3 Portable Toilets (2 @Gate #10; 1 @Gap)**

**1 – Racing Secretary's Office; 1 – Winner's Circle Café.**

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark**

- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
The percent of cross slope in the center of the turns is: **5.6%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail, metal (powder coated); outer rail, metal, aluminum and galvanized chainlink; inner rail supports, metal gooseneck; inner rail top shield is made of sheet metal; shield is 39" above the track surface.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Horsemen's Agreement on file with CHRB.**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845
- ~~D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):~~  
**No Exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

John C. Alkire  
Print Name  
CEO  
Print Title

  
Signature.  
July 3, 2019

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA  
AUTHORITY OF RACING FAIRS (CARF) AND THE THOROUGHBRED OWNERS  
OF CALIFORNIA (TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED  
DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE  
OCTOBER 4, 2019 THROUGH OCTOBER 14, 2019 RACE MEETING AT THE BIG  
FRESNO FAIR

Regular Board Meeting  
August 22, 2019

## ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The California Authority of Racing Fairs (CARF) desires to condition the races run during its 2019 race meeting to be run with reduced levels of furosemide (Lasix) as well as requiring a 14-day stand down for all intra-articular injections.

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

CARF's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the

acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

CARF's proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg. Additionally, CARF will impose a 14-day stand down for all intra-articular injections.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

**STAFF ANALYSIS**

**DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES TURF CLUB II (LATC II) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC) REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR MEDICATIONS TO BE IMPLEMENTED BY THE LATC II AT ITS SEPTEMBER 25, 2019 THROUGH NOVEMBER 5, 2019 RACE MEETING AT THE SANTA ANITA RACETRACK**

Regular Board Meeting  
August 22, 2019

**ISSUE**

CHRB Rule 1581, Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. Los Angeles Turf Club II desires to condition all races run at Santa Anita Racetrack to be run with reduced levels of furosemide (Lasix) as well as requiring a 14-day stand down for all intra-articular injections.

**BACKGROUND**

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

**ANALYSIS**

LATC II's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or

medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

LATC II's proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg. Additionally, LATC II will impose a 14-day stand down for all intra-articular injections.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horseman's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC). On March 16, 2019, an agreement was reached between the TOC and the Stronach Group regarding future races to be run at Santa Anita Race Track and Golden Gate Fields.

## RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN THE LOS ANGELES  
COUNTY FAIR (LACF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA  
(TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES  
OR MEDICATIONS TO BE IMPLEMENTED BY THE LACF AT ITS SEPTEMBER 4,  
2019 THROUGH SEPTEMBER 24, 2019 RACE MEETING, AT THE LOS ALAMITOS  
RACETRACK

Regular Board Meeting  
August 22, 2019

#### ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the Thoroughbred Owners of California and the Board. The Los Angeles County Fair (LACF) desires to condition the races run at the Los Alamitos Racetrack to be run with reduced levels of furosemide (Lasix) as well as requiring a 14-day stand down for all intra-articular injections.

#### BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

#### ANALYSIS

The Los Angeles County Fair's request to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the

acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

LACF's proposed condition will reduce the maximum amount of furosemide to half of the current authorized level. CHRB Rule 1845, Authorized Bleeder Medication, subsection (f) currently allows up to 500 mg to be administered in consultation with the trainer, owner, and the furosemide veterinarian. This proposal will reduce that amount to 250 mg. Additionally, LACF will impose a 14-day stand down for all intra-articular injections.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbreds, is the Thoroughbred Owners of California (TOC).

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

**STAFF ANALYSIS**  
**August 22, 2019**

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCHANDWAGER.COM, LLC AT CAL EXPO, COMMENCING OCTOBER 27, 2019 THROUGH DECEMBER 23, 2019, INCLUSIVE**

Watchandwager.com, LLC (WAW) has filed its application to conduct a harness horse racing meeting at Cal Expo as follows:

- October 27, 2019 through December 23, 2019, with 11 racing days, the same as proposed for the same period in 2018. Live racing will commence November 9, 2019 and continue through December 14, 2019.
- WAW proposes to race a total of approximately 154 races. The (actual) average daily purse of the WAW 2018 meet during the same period was \$48,908. The (estimated) average daily purse for this meet is \$50,935.
- The race dates proposed are within the dates allocated as follows:

November 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

December 2019						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing two nights per week, Fridays and Saturdays, except opening week will race only Saturday, November 9, 2019.
- First post 6:10 p.m.
- WAW will coordinate its post time with the Los Alamitos Racing Association (LARA) and any other twilight or nighttime thoroughbred signal.
- Racing concurrently with the Pacific Racing Association, October 17, 2019 through December 14, 2019; Del Mar Thoroughbred Club, November 6, 2019 through December 3, 2019; and LARA, December 4, 2019 through December 17, 2019.
- Business and Professions Code section 19464(b) specifies that no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, that is sufficient to ensure payment of employee

wages and benefits, including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting in which all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse racing meeting prior to January 1, 2001, that has conducted a race meeting in each of the immediate three previous consecutive calendar years. The WAW's initial race meeting was conducted after 2001. Therefore, WAW is subject to the provisions of Business and Professions Code section 19464(b). WAW has a bond on file with the Board that expires September 1, 2020.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will begin at 10:00 a.m. each live race day.
  - \$0.20 PNP4 of races 8 through 11; requests reduced 16% takeout; 90% carryover if no correct tickets with four winners; 10% minor pool payout.
  - \$0.20 PNP5 (\$0.50 in 2018) start on the first race; requests reduced 16% takeout; no consolation; 100% carryover if no ticket correctly selects five winners; CHRB Rule 1976.9.
  - \$0.10 PNP6 on races 6 through 11, or last six races if less than 11; 100% major pool paid plus any carryover for a unique serial ticket number wager selecting all six winners; if no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to carryover.
  - \$0.10 Pentafecta selecting first five finishers in same race; 100% payout to all tickets selecting five winners; no consolation; 100% carryover if no winning ticket; ARCI-004-105-X-Option 3.
- Advance Deposit Wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba TwinSpires; ODS Technologies, L.P. dba TVG Network; and Watchandwager.com, LLC (Watch and Wager).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

#### Summary of Business and Professions Code section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Agreement between WAW and Watch and Wager that allows Watch and Wager to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term has been provided to CHRB
- Agreement between WAW and TVG Network that allows TVG Network to accept ADW wagers on races conducted by WAW at Cal Expo for the applied license term has been provided to CHRB.
- Horsemen's approval of ADW.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602 and with authorized locations throughout California.
- Requests that Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meet begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application includes the following:

1. Agreement allowing TwinSpires to accept ADW wagers on races conducted at Cal Expo for the applied license term [expires October 22, 2019].

Specific information that **will need updating** during the meet includes the following:

1. ADW agreement with TVG Network [expires November 10, 2019].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends that the approval be contingent upon the receipt of the outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, CA, 94939 Ed Comins, [ecomins@watchandwager.com](mailto:ecomins@watchandwager.com) 925-336-4499

B. Breed of horse: TB  QH  H

C. Racetrack name: California Exposition & State Fair (Cal Expo)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

License fee on deposit with Racing Board.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond is on file with the Board

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **October 27, 2019 through December 23, 2019**

B. Actual dates racing will be held: **November 9, 15, 16, 22, 23, 29, 30, December 6, 7, 13, 14.**

C. Total number of days or nights of racing: **Eleven (11). Calendar Attached**

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  other (specify): **Friday and Saturday evenings**

E. Number of days or nights of racing per week: **2 (Two)**

**CHRB CERTIFICATION**

Application received: 7/25/19

Deposit received: on file

Reviewed: RP

Hearing date: 8/22/19

Approved date:

License number:



# CALEXPO Racing CALENDAR

**FALL  
Harness Racing  
Meet**

November 9, 2019  
through  
December 14, 2019



**Friday & Saturday Harness Racing**  
Post time 6:15 pm/pst

CALEXPO HARNES.COM

**November 2019**

Su	M	T	W	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

**December 2019**

Su	M	T	W	Th	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Watch and Wager LLC, Operator, Cal Expo Harness Racing

1000 Cadillac Drive, Suite 201, Sacramento, CA 95825

Website: CalWHarness.com • Email: info@goldenbearracing.com • Phone: 276-310-5225

**3. RACING PROGRAM**

- A. Total number of races: **154**
- B. Number of races for each day or night: **Up to 14 (Fourteen) races nightly.**
- C. Total number of stakes races: **6**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
  - 1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached
  - 2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Added: Dave Goldschmidt Memorial FFA Pace \$10,000**
  - 3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None
- E. Will provisions be made for owners and trainers to use their own registered colors?
 

<input type="checkbox"/>	<input checked="" type="checkbox"/>	Yes	No	If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.
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- F. List all post times for the daily racing program: **6:10 pm every Friday and Saturday.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:
 

<input type="checkbox"/>	Corporation (complete subsection C)
<input checked="" type="checkbox"/>	LLC (complete subsection D)
<input type="checkbox"/>	Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
  - 1. Registered name of the corporation:
  - 2. State where incorporated:
  - 3. Registry or file number for the corporation:



**Watch and Wager LLC @  
Cal Expo Harness Fall  
2019 Overnight Stake  
Schedule**

11/22/2019	Bill Conlin	\$7,000A
11/23/2019	Funicello/Holt	\$7,000A
11/29/2019	Alan Horowitz	\$20,000G
11/30/2019	Dave Goldschmidt	\$10,000
12/7/2019	Robert Staats	\$9,000A
12/13/2019	Joe Lighthill	\$10,000

**Watch and Wager LLC @  
Cal Expo Harness Fall  
2018 Overnight Stake  
Schedule**

11/17/2018	Alan Horowitz	\$20,000G
11/23/2018	Bill Conlin	\$7,000A
11/24/2018	Annette Funicello	\$7,000A
12/7/2018	Robert Staats	\$9,000A
12/8/2018	Joe Lighthill	\$9,000A
12/15/2018	Robert Gordon	\$10,000A

**Watch and Wager LLC @  
Cal Expo Harness Fall  
2017 Overnight Stake  
Schedule**

11/18/2018	Alan Horowitz	\$20,000G
11/24/2017	Bill Conlin	\$7,000A
11/25/2017	Annette Funicello	\$7,000A
12/9/2017	Robert Staats	\$9,000A
12/15/2017	Joe Lighthill	\$9,000A
12/16/2017	Robert Gordon	\$10,000A



**watch wager**

## Cal Expo Harness Racing

### 2019 Fall Meeting

	Friday	Saturday
Race 1	6:10	6:10
Race 2	6:38	6:38
Race 3	7:06	7:06
Race 4	7:34	7:34
Race 5	8:02	8:02
Race 6	8:30	8:30
Race 7	8:57	8:58
Race 8	9:24	9:25
Race 9	9:51	9:47
Race 10	10:13	10:09
Race 11	10:35	10:31
Race 12	10:56	10:52
Race 13*	11:17	11:13
Race 14*	11:38	11:34

\* If applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

## D. LLC

1. Registered name of the LLC: Watch and Wager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:  
Ed Comins, President, Managing Member
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
European Wagering Services 100 % Shareholder  
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares.

Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.

11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Attached

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watchandwager.com LLC.

Watchandwager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in state of California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watchandwager.com LLC the day-to-day operations of the proposed harness meeting. A copy of that management agreement is on file with the Board.

Christopher Schick	General Manager
Benjamin Kenney	Assistant General Manager

Kate Phariss	Director of Backstretch Operations
Glen Harris	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel	Announcer/ Simulcast Host
Martin Bridges	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney, 25 Cadillac Drive #201, Sacramento, CA 95825. E-Mail: [chris@goldenbearracing.com](mailto:chris@goldenbearracing.com); [ben@goldenbearracing.com](mailto:ben@goldenbearracing.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2018 ( 47 Days)	52,681,876	121,562
2017 ( 54 Days)	58,411,829	142,945
2016 ( 54 Days)	57,780,966	144,224
2015 ( 57 Days)	61,174,025	146,084
2014 ( 60 Days)	58,899,325	145,065
2013 ( 68 Days)	62,552,634	134,245

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

- A. Purse distribution: November 9, 2019 through December 14, 2019 11 Race Nights  
 Prior meet comparison: November 10, 2018 through December 15, 2018 11 Race Nights

1. All races other than stakes:  
 Current meet estimate: \$ 470,195  
 Prior meet actual: \$ 456,500

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$ 42,745  
 Prior meet actual: \$ 41,500

2. Overnight stakes:  
 Current meet estimate: \$ 63,000  
 Prior meet actual: \$ 54,000

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: \$ 5,727  
 Prior meet actual: \$ 4,909

3. Non-overnight stakes:  
 Current meet estimate: \$ 0  
 Prior meet actual: \$ 0

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$ 0  
 Prior meet actual: \$ 0

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$ 533,195  
 Prior meet actual: \$ 510,500

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 0  
 Prior meet actual: 0

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: 0  
 Prior meet actual: 0

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 4,435  
 Prior meet actual: \$ 4,400

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization: California Harness Horseman's Association	
Current meet estimate:	Prior meet actual:
Total \$ 22,600	Total \$ 22,000

16-13

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: \$ 560,230  
 Prior meet actual: \$ 536,900
- Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: \$ 50,930  
 Prior meet actual: \$ 48,908
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):  
 Current meet estimate: \$ 314,975  
 Prior meet actual: \$ 305,800
- Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: \$ 28,634.09  
 Prior meet actual: \$ 27,800
- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$ 230,400  
 Prior meet actual: \$ 243,592
- Average Daily Purse (7 G ÷ number of days):  
 Current meet estimate: \$ 20,945.45  
 Prior meet actual: \$ 22,144.73
- H. Bank and account number for the Paymaster of Purses' purse account: Account number is on file with the Board.
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
 Kevin Riley CPA, 3838 Watt Ave Suite E-500, Sacramento, Ca 95821 916 488 8360,  
 Kevin@rmb-cpa.com

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**8. STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
- B. Minimum number of stalls believed necessary for the meeting: 625
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: See Attached
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1		

Watch and Wager LLC  
Equine Emergency Services

Attachment to Watch and Wager License Application  
Section 9A

VETERINARIAN	EMERGENCY CONTACT NUMBER
Eduardo DeLaCruz, D.V.M.	706-254-0924
Kathy Jones, D.V.M.	530-666-4019
Noel Muller, D.V.M.	209-334-1660
David Valocheck, D.V.M.	916-606-1616

Schedule

Sunday	Closed
Monday	AM only/TBD
Tuesday	AM only/TBD
Wednesday	AM only/Eduardo DeLaCruz, D.V.M.
Thursday	AM only/Eduardo DeLaCruz, D.V.M.
Friday	AM/TBD
	PM/Eduardo DeLaCruz, D.V.M.
Saturday	AM/TBD
	PM/Eduardo DeLaCruz, D.V.M.

TBD=rotate from list above

**Proposed Wagering Format**

**The following CHRB and ARCI wagering rules are requested.**

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PNP4)	1976.9
Pick-5 (0.20 PNP5)	1976.9
Pentafecta (.20 Super H5)	ARCI 004-105-X- Option 3
PN6 (0.10)	ARCI 004-105-G- Method 7

- Race 1: 1E, 1DD, 0.20 Tri, 0.20 PNP5
- Race 2: 1E, 0.20 Tri, .10 SF
- Race 3: 1E, 0.20 Tri, .10SF
- Race 4: 1E, 0.20 Tri, .10SF, 1 P3
- Race 5: 1E, 0.20 Tri, .10SF
- Race 6: 1E, 0.20 Tri, .10 PNP6
- Race 7: 1E, 0.20 Tri, .10SF, 1 P3
- Race 8: 1E, 0.20 Tri, .10SF
- Race 9: 1E, 0.20 Tri, .10SF
- Race 10: 1E, 0.20 Tri, .20 PNP4
- Race 11: 1E, 0.20 Tri, .10 Super H5
- Race 12: 1E, 0.20 Tri, .10 Super, 1P3
- Race 13: 1E, 0.20 Tri, .10SF, 1DD
- Race 14: 1E, 0.20 Tri, .10 Super H5

- \$0.20 PNP4 on races 8 thru 11, reduced 16% takeout, 90% carryover if no correct tickets with four winners. 10% minor pool payout.
- \$0.10 PNP6 Races 6 through 11, or last six races if less than eleven. 100% Major pool paid plus any carryover for a unique serial ticket number wager selecting all six winners. If no unique ticket selects six winners, then 50% minor pool is paid to tickets selecting the most winners, and 50% major pool will be applied to the carryover.
- \$0.20 PNP5 starting on the first race. Reduced 16% takeout. No consolation. 100% carryover if no ticket correctly selects five winners. CHRB 1976.9
- \$0.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None Note: The Pick-5 is now a base \$0.20 wager versus \$0.50 prior.

- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 14, 2019
- D. List any options requested with regard to exotic wagering: We request to continue our promotional **16% takeout** on both our PN4 and PN5 wagers. These reduced takeout promotional rates have proven very popular.

Request for Friday \$30,000 Guaranteed Pick-4 Pool  
 Request for Saturday \$40,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:  
 All live race days.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
 Amtote International...Statewide contract, Contract expires Sept 2021.
- G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**  
 (Example) PNP5-14%

- Race #1 WPS 17.43% E24.18% Pick-5 16% Tri 24.18% DD 24.18%
- Race #2 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #3 WPS 17.43 % E24.18% Tri 24.18% Sup 24.18%
- Race #4 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #5 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #6 WPS 17.43% E 24.18% Tri 24.18 Pick-6 24.18%
- Race #7 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #8 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #9 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #10 WPS 17.43% E 24.18% Tri 24.18% P4 16.00 %
- Race #11 WPS 17.43% E 24.18% Tri 24.18% Super Hi 5 24.18%
- Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #13 WPS 17.43% E 24.18% Tri 24.18% Super 24.18% DD 24.18%
- Race #14 WPS 17.43 E 24.18% Tri 24.18 Super Hi Five 24.18%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

TVG  
 Watch and Wager.com  
 Twin Spires

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
 Attached and on file.

## Promotional Wager Takeout Reduction Request

### Cal Expo 2019 Fall Meeting

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the \$0.20 Pick-5, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

**California Harness Horsemen's Association**  
**P.O. Box 254767**  
**Sacramento, CA 95865**  
**(916) 263-7888; fax (916) 263-7887**

August 1, 2019

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning November 9, 2019 and concluding in April of 2020.

Sincerely,  
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'A. A. J.', with a large, stylized flourish at the end.

Jimmy Perez,  
Executive Director

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off Track Wagering Inc (SCOTWINC).

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**The agreement between SCOTWINC and Watch and Wager is on file with the Board.**

C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
See Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
All licensed California mini-satellite sites.

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

<u>Name of Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and Stakes Races</u>
Hawthorne	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Mohawk	TBD	Selected Feature
Hoosier	TBD	Selected Feature

Under current statute, the association proposes to import 10 (ten) domestic out of state races nightly during live racing nights overlapped with Los Alamitos. We also plan to import under statute one full International card of Harness racing per live race night. We generally expect those races to be from Woodbine Mohawk Park.

On any live racing night when not overlapped with Los Alamitos, consistent with the statute, association will import 20 harness or quarter horse out of state races.

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

**California Harness Horsemen's Association.**

**P.O. Box 254767**

**Sacramento, CA 95865**

**(916) 263-7888**

August 1, 2019

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

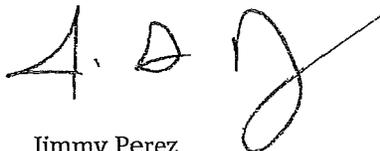
These approvals shall commence with the scheduled harness meet on November 9, 2019 and extend through the final day of racing in April of 2020. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are please to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

## Watch & Wager LLC @ Cal Expo Harness

### OUT OF STATE SIMULCAST WAGERING LOCATIONS

#### 2019 Fall Meeting

American Tab, Oregon	Northlands Park, BC, CAN
Atlantic City Race Course, New Jersey	Northville Downs, Michigan
Capitol District OTB, New York	Ocean Downs, Maryland
Catskill Region OTB, New York	Penn National Gaming, Pennsylvania
Connecticut OTB, Connecticut	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
Elite Turf Club,	Pompano Park, Florida
Fire Lake Casino, Oklahoma	Premiere Gateway
Foxwoods Casino, Connecticut	Racing Channel, Oregon
Fraser Downs, BC, CAN	Racing & Gaming Services, St. Kitts
Freehold Raceway, New Jersey	Rosecroft Raceway, Maryland
Game Play Network	Sam Houston Park, Texas
Harrah's Philly, PA	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Mi	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
Las Vegas Dissemination, Nevada	The Downs at Albuquerque, New Mexico
Les Bois Park, Idaho	The Meadows, PA
Lien Games LLC	The Red Mile, Kentucky
Lone Star Park, Texas	Tri-State Greyhound, WV
Maywood Park, Illinois	TwinSpires.com
Meadowlands Race Track, New Jersey	Watch and Wager.com LLC, ND
Mesquaki Casino, Iowa	Western Fair, ONT, CAN
Mohawk Racetrack, ONT, CAN	Western Region OTB, New York
Nassau Region OTB, New York	Windsor Raceway, ONT, CAN
Nevada Pari-mutuel Association, Nevada	Woodbine Entertainment. Group, ONT, CAN
Northfield Park, Ohio	Yonkers Raceway, New York
	XpressBet.com

Watch and Wager LLC @ Cal Expo 2019 Fall Meeting**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR  
POOLS WITH CAL EXPO.**

American Tab, Oregon  
 Atlantic City Race Course, New Jersey  
 Atlantis Paradise Island Casino, Fla  
 Batavia Downs, New York  
 Borgata Casino, Atlantic City, NJ  
 Buffalo Thunder Resort, New Mexico  
 Capitol District OTB, New York  
 Catskill Region OTB, New York  
 Connecticut OTB, Conn.  
 Downs at Albuquerque, New Mexico  
 Elite Turf Club  
 Empire City Bets, New York  
 Fire Lake Casino, Oklahoma  
 Foxwoods Casino, Connecticut  
 Fraser Downs, BC, CAN  
 Freehold Raceway, New Jersey  
 Game Play Network, Ca  
 Global Wagering Inc, ND  
 Harrington Raceway, Delaware  
 Harrah's Philly, Pa  
 Hastings Park, BC, CAN  
 Hawthorne Racecourse, Illinois  
 Hazel Park, Mich  
 Hippodrome de Montreal, QUE, CAN  
 Hoosier Park, Indiana  
 Illinois OTB, Illinois  
 Indianapolis Downs, Indiana  
 Las Vegas Dissemination, Nevada  
 Les Bois Park, Idaho  
 Lien Games, North Dakota  
 Lone Star Park, Texas  
 Maywood Park, Illinois  
 Maryland Jockey Club, Maryland  
 Meadowlands Race Track, New Jersey  
 Megatote LLC, WI  
 Mesquaki Casino, Iowa  
 Mohawk Racetrack, ONT, CAN  
 Nassau Region OTB, New York  
 Nevada Pari Mutuel Association  
 Newco Ventures... NYRA bets.com  
 Northfield Park, Ohio  
 Northlands Park, BC, CAN  
 Northville Downs, Michigan  
 Ocean Downs, Maryland  
 Penn National Gaming, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Plainridge Racecourse, Massachusetts  
 Pompano Park, Florida  
 Potawatomi Bingo Casino, Wisconsin  
 Premiere Gateway  
 Premier Turf Club, Florida  
 Racing Channel, Oregon  
 Racing & Gaming Services, St. Kitts  
 Running Aces, Minnesota  
 Sam Houston Park, Texas  
 Saratoga Harness, New York  
 Scioto Downs, Ohio  
 Southland Greyhound, Arkansas  
 Sports Creek Raceway, Michigan  
 Suffolk Downs, MA  
 Suffolk Regional OTB, New York  
 Sunland Park, New Mexico  
 Television Games Network (TVG)  
 The Meadows, Pa  
 Thistledown, Ohio  
 The Downs at Albuquerque, New Mexico  
 The Red Mile, Kentucky  
 Tri-State Greyhound, WV  
 TwinSpires.com  
 Watch and Wager.com LLC  
 Western Fair, ONT, CAN  
 Western Region OTB, New York  
 Windsor Raceway, ONT, CAN  
 Woodbine Entertainment Group, ONT, CAN  
 XpressBet Com  
 Yonkers Raceway, New York

**California Harness Horsemen's Association**

**P.O. Box 254767**

**Sacramento, CA 95865**

**(916) 263-7888**

August 1, 2019

Mr. Rick Baedeker

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Baedeker:

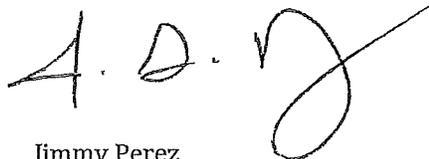
This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on November 9, 2019 and extends through the final night of racing in April of 2020. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are pleased to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'A. D. J.', with a large, stylized flourish extending from the end of the signature.

Jimmy Perez

Executive Director

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 - 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

Association Veterinarian(s):	Dr. Leticia O'Hara, DVM
Clerk of Scales	NA
Clerk of the Course	Patricia Petersen
Film Specialist	Plusmic Inc.
Horse Identifier	Carl Sobey
Horseshoe Inspector	Larry Goshman
Paddock Judge	Walter Petersen
Patrol Judges	Kenneth Fowler
Placing Judges	Tina Waters
Starter	Kenneth Fowler
Timer	Tina Waters
- B. Management officials in the racing department:
 

Director of Racing:	Robin Schadt
Racing Secretary:	Robin Schadt
Assistant Racing Secretary:	Brent Bridges
Paymaster of Purses:	Glen Harris
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd., Herald, CA, 95638 916-956-3914 breezecsr@att.net

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2020.
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications: Jim Porep, President. Contract expires May 2020. Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 1, 2020.

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2019 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
  - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

### 2. Detention Stalls:

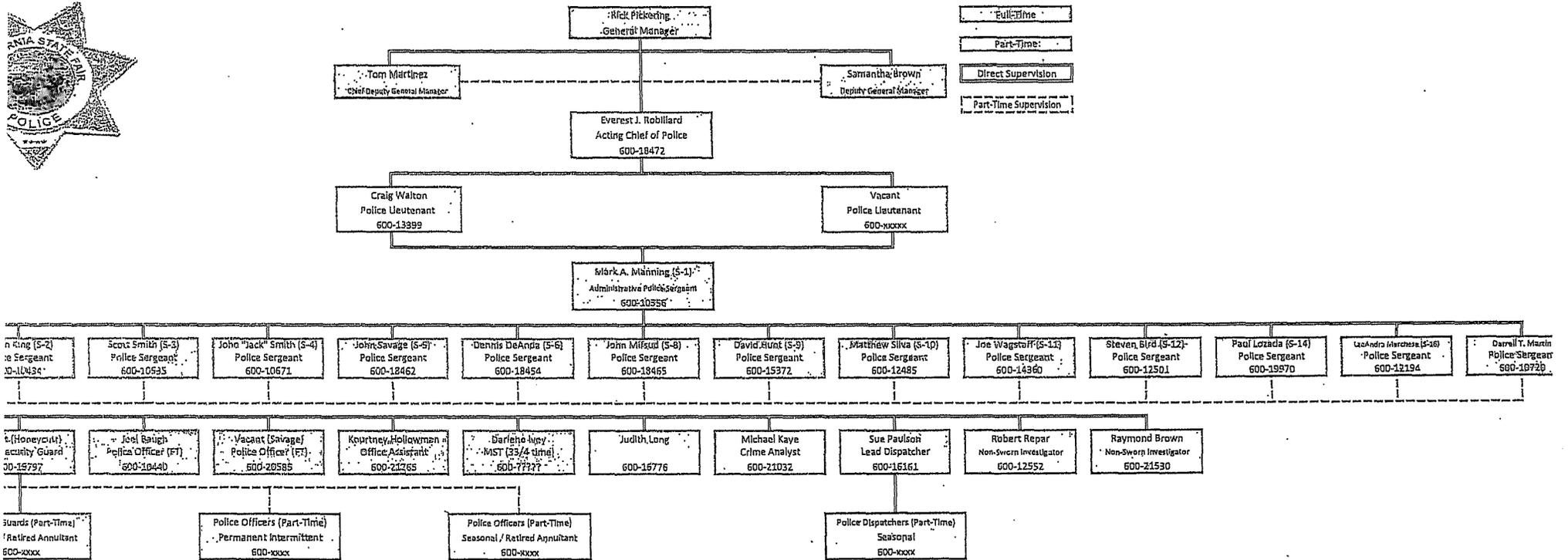
- B. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.
- C. Number of security guards in the detention stall area during a 24-hour period. Three (3)
- D. Describe number and location of surveillance cameras in detention stall area. The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information: The Cal Expo Detention Barn is a stand alone fully secure 20-stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergencies only. All ingress or egress is documented in the log book.

### 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.

California Exposition and State Fair Police Department



## Watch and Wager Detention Barn Procedures 2019 Fall Meeting

The Cal Expo Detention Barn is a stand-alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
  - C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
  - D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.
- C. Describe the electronic security system:
- 1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 main gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

## 16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, CA, 95815 916-563-0600
  - 1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A
  - 1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A
- C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1 N/A**



July 25, 2019

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

A handwritten signature in black ink, appearing to read 'Jennifer L. Bales', is written over a faint, larger version of the same signature.

Jennifer L. Bales  
Regional Safety & Risk Director  
AMR – Northern California & Hawaii

Cc: Daniel Iniguez, Operations Manager

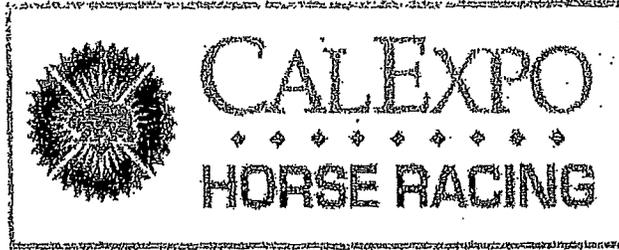
- 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Oak River (Berkshire Hathaway) Policy# WAWC011195
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. See Attached

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions?    Yes        No



*Watch & Wager, com LLC @ Cal Expo*

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter, Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room

CALIFORNIA EXPOSITION & STATE FAIR

P.O. Box 18540 \* Sacramento, CA 95812-1840 State of California \* Jerry Brown, Governor



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el ponfador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para acsidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al Instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACSIDENTADO"

- El acidentado sera tratado de inmediato por (EMT).  
Sies demaciado grabe el acsidente sera transportado por ambulancia


**DEPARTMENT OF FORESTRY AND FIRE PROTECTION**

OFFICE OF THE STATE FIRE MARSHAL  
 Fire and Life Safety Division - North  
 2251 Harvard Street, Suite 130, Sacramento, CA 95815  
 (916) 558-2962

**SPOT INSPECTION REPORT**
**LOCATION INFORMATION**

Street Address: 600 Exposition Blvd County: Sacramento  
 City, State, Zip code: Sacramento, CA 95815  
 Lot Number: Suite Number:  
 Floor Number:  
 Agency: DVA California Exposition & State Fair (60050)  
 SFM File No:

**INSPECTION INFORMATION**

Inspection Number: 76273 Inspection Type: Spot Inspection  
 Inspection Date: 07/08/2019 Inspection Status: Passed  
 Inspector: Chad Wilkins Inspection Hours: 1.50  
 Inspector Signature: *[Signature]* Travel Hours: 0.50  
 Inspection Comments: All efficiency dwellings within the backbatch area have been inspected and are compliant.  
 Discussed With: Robert Stroud Title: Chief, Plant Operations  
 Accompanied By: *[Signature]* Title: Chief, Plant Operations  
 Signed: 07/09/2019  
 Robert Stroud



WATCLLC-02

16-36  
PRZEMIELEWSKIS

# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
7/19/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 200 Pleasanton, CA 94588	<b>CONTACT NAME:</b> Sara Przemielewski	
	<b>PHONE (A/C, No, Ext):</b> (925) 660-3531	<b>FAX (A/C, No):</b>
<b>E-MAIL ADDRESS:</b> Sara.Przemielewski@ioausa.com		
<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
<b>INSURER A:</b> Oak River Insurance Company		34630
<b>INSURER B:</b>		
<b>INSURER C:</b>		
<b>INSURER D:</b>		
<b>INSURER E:</b>		
<b>INSURER F:</b>		

**INSURED**  
  
WatchandWager.com, LLC  
25 Cadillac Drive, Suite 201  
Sacramento, CA 95825

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$	
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$	
	<b>UMBRELLA LIAB.</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED    RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$	
A	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)    Y/N <input checked="" type="checkbox"/> Y    N/A If yes, describe under DESCRIPTION OF OPERATIONS below			WAWC011195	8/3/2019	8/3/2020	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$    1,000,000 E.L. DISEASE - EA EMPLOYEE \$    1,000,000 E.L. DISEASE - POLICY LIMIT \$    1,000,000	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

<b>CERTIFICATE HOLDER</b>  California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	<b>AUTHORIZED REPRESENTATIVE</b> 

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: See Attached
- B. Promotional/ Marketing budget for this race meeting: \$ \$ 42,000  
Promotional/Marketing budget for prior race meeting: \$ 39,000
- C. Number of hosts and hostesses employed for meeting: 2
- D. Describe facilities set aside for new fans: none
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  - 1. Horsemen: None at this time
  - 2. Fans: We have totally upgraded our website. Our simulcast signal has been upgraded to SDI from Analog.
  - 3. Facilities in the restricted areas: None at this time

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
  - Admission (general) Free
  - Admission (clubhouse) Free
  - Reserved seating (general) NA
  - Reserved seating (clubhouse) NA
  - Parking (general) Free
  - Parking (preferred) NA
  - Parking (valet) NA
  - Programs (on-track) 4.25
  - (off-track) 4.75 Program is a joint Los Alamitos/ Cal Expo night program.
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: N/A
- C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="46"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input type="checkbox"/> Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

## Watch and Wager.com LLC @ Cal Expo

### Marketing and Promotional Plan

2019 Fall

**New Stable Recruitment:** Watch and Wager and the California Harness Horseman's Association advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$275,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product. A copy of our new and returning horse incentive plan is attached.

**Improved Simulcast Production.** Our on air team including Gary Siebel and newly hired Jenn Bongiorno and Wendy Ross will provide some of the best insight in the industry. Watch and Wager has nationally known Derrick Givner of DRF Harness doing nightly Pick-5 analysis. We are also pursuing other National analyst talent as contributors. Our goal is to give the betting public as much insight in to our product as possible. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Three percent of harness handle comes from off-track sources. Our signal has been upgraded from Analog to SDI. We are exploring the cost/benefit analysis of going HD.

**Guaranteed Pools.** We guarantee a \$40,000 Pick-4 on Friday, and a \$50,000 Pick-4 guarantee on Saturday. This is the highest guarantee of any harness track in the country.

**Website:** We have a new and improved website that went live on July 1<sup>st</sup> of 2019. We continue to offer free live video streaming and free archived replays.

**TVG:** We are an exclusive partner of the Television Games Network.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the policy of **free** parking and admission every live racing night.

Concessions: We will continue to work with the Cal Expo master caterer Spectra to provide live fans with food and beverage nightly specials. We have agreed to a \$2 night the final Saturday of each month. We plan to promote this final Saturday of each month as the "Best Entertainment Value" in Sacramento.



# CALEXPO Incentive Plan 2019 - 2020



## New Horse Incentive Program\*

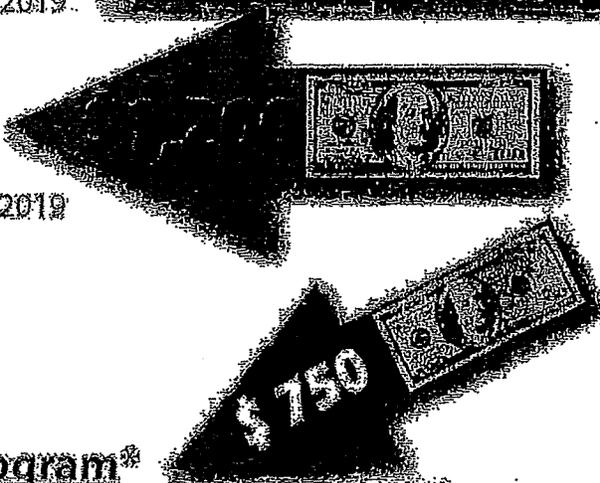
Two-tiered structure includes *higher* incentive for horses starting early:

(a) If first start on or *before* November 30, 2019:

\$ 800 • 1<sup>st</sup> start  
+  
\$ 400 • 8<sup>th</sup> start

(b) If first start on or *before* December 28, 2019:

\$ 500 • 1<sup>st</sup> start  
+  
\$ 250 • 8<sup>th</sup> start



## Returning Horse Incentive Program\*

(a) \$ 750 per horse paid at first start if start is *on or before* November 30, 2019.

(b) \$ 400 per horse if start *on or before* December 28, 2019.

### Conditions:

- (a) "New horses" must not have started at Cal Expo since January 15, 2018.
- (b) Must have at least one (1) race in 2019 for a minimum of \$1,500 in purse (including all taxes and annual license fees) or \$1,500 in purse (including all taxes and annual license fees) for the 2019 season.
- (c) Must not have a record of a purse greater than or equal to \$1,500 in purse (including all taxes and annual license fees) for the 2019 season.
- (d) All payments will be made to the owner of record and will be made from the record of the Cal Expo purse.
- (e) The 8<sup>th</sup> start must be made prior to the end of the race on April 25, 2020.
- (f) All 8<sup>th</sup> start new horse earned incentive purse will be payable on 1/1/2020.
- (g) An individual owner and/or owner's agent, who has the maximum of 2019 new horse incentives.

**CHHA Membership:** Allowance required to be a regular member of the CHHA to receive new or returning horse incentives.

For more information please contact us at:  
Email: [info@goldenbearracing.com](mailto:info@goldenbearracing.com) • Phone: 916-800-1395  
Check out our website: [CalXHarness.com](http://CalXHarness.com)

- B. Number of rooms used for housing on the backstretch of the racetrack: 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5280
------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%  
The percent of cross slope in the center of the turns is: 5-6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J.R. Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. See Attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. See Attached
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. **Agreement Attached**
- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a

request for an extension pursuant to CHRB Rule 1407 shall be made. **Lease agreement between Cal Expo and Watch and Wager which is through May of 2022 is on file with the board.**

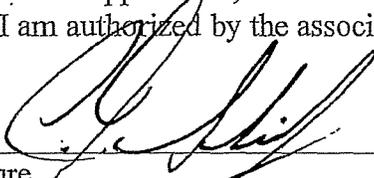
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHICK  
Print Name

  
Signature

GENERAL MANAGER  
Print Title

JULY 25, 2019  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
APPROVAL OF AN AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING  
SECRETARY TO ESTABLISH CONDITIONS, BETWEEN WATCH AND WAGER LLC  
AND THE CALIFORNIA HARNESS HORSEMEN ASSOCIATION (CHHA),  
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR  
MEDICATIONS TO BE IMPLEMENTED BY WATCH AND WAGER AT THE CAL  
EXPO RACETRACK

Regular Board Meeting  
August 22, 2019

#### ISSUE

CHRB Rule 1581, Racing Secretary to Establish conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a 14-day stand down for intra articular injections.

Presently, there is no agreement between Watch and Wager LLC and the California Harness Horsemen Association. Without an agreement approved by the Board, Watch and Wager LLC will be unable to set racing conditions based on a participating horse's use or non-use of a drug substance or medication.

#### BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Profession Code section 19590 states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

## ANALYSIS

The ability to set conditions on a race is governed by CHRB Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to CHRB Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of harness racing, is the California Harness Horsemen Association (CHHA). Additionally, any agreement must be approved by the Board before entries are taken.

## RECOMMENDATION

Staff recommends the Board hear from a representative of Watch and Wager LLC and the California Harness Horsemen Association.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING 2020 SOUTHERN CALIFORNIA RACE DATES

Regular Board Meeting  
August 22, 2019

ISSUE:

In preparation for allocation of 2020 race dates, the Race Dates Committee met with industry stakeholders on August 1, 2019. At that meeting rather than focus on specific race date allocation, the Committee encouraged stakeholders to continue to meet; and come to agreement on 2020 race dates proposals.

Del Mar Thoroughbred Club (Del Mar), Los Angeles Turf Club (Santa Anita) and the Thoroughbred Owners of California (TOC) have reached an agreement on a proposed calendar for 2020 Southern California race dates as follows:

Santa Anita - December 18, 2019 through June 23, 2020  
Los Angeles County Fair - June 23 through June 29, 2020  
Los Alamitos - July 1 through July 7, 2020  
Del Mar - July 8 through September 8, 2020  
Santa Anita - September 9 through October 27, 2020  
Del Mar - October 28 through December 1, 2020  
Los Alamitos - December 2 through December 15, 2020

Santa Anita plans to take off three (3) weeks of racing through the Winter/Spring race meeting. They note that during the Santa Anita Winter/Spring race meeting, Santa Anita plans to take off 12 days of racing. The actual days will be determined by Santa Anita based upon then-current circumstances. In the event circumstances do not warrant taking off all, or any, of the 12 days, Santa Anita will consult with the CHRB and upon approval, will conduct racing on the balance of the 12 days.

Los Alamitos Racing Association requests that it be allocated 2020 racing dates substantially similar to those it was awarded in 2019. The California Thoroughbred Trainers (CTT) also submitted a letter highlighting its considerations related to the allocation of race dates for 2020.

RECOMMENDATION

This item is presented for discussion and action.  
Staff recommends the Board hear from the industry representatives.

2020 S-Cal TB Calendar  
 Indicates day normally raced  
 Weeks counted by # of Sundays

8/14

Host Weeks 2020

SA WS	26
SA Autumn	7
Los Alamitos TB	1
LACF	3
DM Summer	8
DM Fall	5
DMS Imports only	1
SA WS Imports only	1

Cards 2020

SA WS*	104
SA Autumn	25
Los Alamitos TB	3
LACF	11
DM Summer	36
DM Fall	15

\*SA plans to take off 3 weeks worth of racing through the Winter/Spring meet  
 License Periods  
 SA - December 18, 2019 through June 23, 2020  
 LACF - June 24 through June 29, 2020  
 Los AI - July 1 through July 7, 2020  
 DMR - July 8 through September 8, 2020  
 SA - September 9 through October 27, 2020  
 DMR - October 28 through December 1, 2020  
 LACF - December 2 through December 15, 2020

Dec-19						
Su	Mo	Tu	We	Th	Fr	Sa
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Live Cards 4  
 Weeks 1

Total 52

Total 194

January						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Live Cards 18  
 Weeks 4

February						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

Live Cards 17  
 Weeks 4

March						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Live Cards 17  
 Weeks 5

April						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Live Cards 17  
 Weeks 4

May						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Live Cards 19  
 Weeks 5

June						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Live Cards 3  
 Weeks 1

July						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Live Cards 10  
 Weeks 2

August						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Live Cards 21  
 Weeks 5

September						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

Live Cards 5  
 Weeks 1

October						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Live Cards 1  
 Weeks 0

November						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

Live Cards 14  
 Weeks 5

December						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Live Cards 8  
 Weeks 2

Assumptions SA WS - 4 cards a week with provision for canceling a minimum of 12 flex cards due to weather/inventory concerns; imports only 12/16 - 12/25/20  
 LACF - 3 cards a week in June, 4 cards a week in December Los AI Summer - 3 cards a week in July DMS - 5 cards a week except 4 during w/e 8/30; imports only 7/8 - 7/17/20  
 SA Autumn - 3 cards per week in Sept, 4 per week in October DMF 3 cards a week except 4 during Thanksgiving holiday week

**Brodnik, Robert@CHRB**

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From: jack liebau [REDACTED]  
Sent: Tuesday, August 13, 2019 3:16:05 AM  
To: Baedeker, Rick@CHRB [REDACTED]  
Cc: Charles Winner [REDACTED]; Cathy Monji [REDACTED]; Cathy Monji [REDACTED]  
Subject: Fw: 2020 Dates for SoCal

Rick:

I would appreciate being immediately advised as to the identity of the "stakeholders" who according to your email of August 12 requested an agenda item at the upcoming CHRB Meeting "dealing with 2020 Dates for Southern California."

Since you state in your email that "we will continue to search for a workable committee date to discuss dates for Northern California", is it correct to infer that there is considered to be no need for such a discussion with respect to dates for Southern California?

In the absence of further information, Los Alamitos Racing Association is inclined to submit by COB tomorrow, Wednesday, August 14, as required, the following for inclusion in the Board Book: "Los Alamitos Racing Association requests it be allocated 2020 Racing Dates substantially similar to those it was awarded in 2019."

Your anticipated cooperation is appreciated. Jack



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GLORIA HALEY

SO CAL VICE PRESIDENT  
TIM YAKTEEN

TREASURER  
RAY BELL

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## SO. CALIFORNIA - MAIN OFFICE

Santa Anita Park  
285 W. Huntington Drive  
Arcadia, CA 91007  
P.O. Box 860039  
Arcadia, CA 91066-0039  
(626) 447-2145 (Office)  
(626) 446-0270 (Fax)  
E-Mail: info@caltrainers.org

## NO. CALIFORNIA - FIELD OFFICE

Golken Gate Fields  
1100 Eastshore Highway  
Berkeley, CA 94710  
P.O. Box 6027  
Berkeley, CA 94706  
(510) 524-3081 (Office)  
(510) 524-5280 (Fax)  
E-Mail: nocact@aol.com

www.caltrainers.org

DATE: August 14, 2019

TO: California Horse Racing Board

COPY: California Racing Stakeholders

FROM: California Thoroughbred Trainers [CTT],  
Alan F. Balch – Executive Director

SUBJECT: Development of 2020 Thoroughbred Racing Calendar

As requested by Executive Director Baedeker, here are considerations submitted by CTT for your review as to allocations of dates for 2020.

1. We very much regret that the trainers' organization has not been involved in any informal or formal discussion of potential dates for 2020. Due to a scheduling problem, we were unable to attend the one open meeting we understand was held at Del Mar, chaired by Commissioner Auerbach with Commissioner Maas and other stakeholders in attendance. Nevertheless, we were informed a proposed schedule was circulated at that meeting, of which we received a copy, that most if not all other stakeholders apparently had already agreed to.
2. The foal crop is now at levels of the mid-1960s, when Santa Anita raced 11 weeks, and Hollywood Park raced 11 weeks, and Del Mar raced 7 weeks. There were no overlaps between north and south in California except during the summer Fair season. The trainers are acutely aware of the pressure on the horse population in California due to a year-around calendar, north and south, with a foal crop that is less than half of what it was when the calendar was expanded to approximately its present format in the early 1980s. We believe that if the industry is serious about its commitment to horse welfare, that commitment must be recognized in the allocation of racing dates.
3. It is inappropriate to have excluded any stakeholder from serious private discussions resulting in recommendations to the California Horse Racing Board. Individual stakeholders should have their own private discussions with each other, of course, but the intentional exclusion of CTT from discussions when all or most others are invited is puzzling and counter-productive. Trainers, after all, are the "absolute insurers" of the racing condition of our horse population, and are bearing a greater burden than ever before in the media/public eye, from associations, and from regulators.
4. We recognize the economic pressure faced by racing associations and owners. We share it! Isn't it therefore incumbent upon us all to share the decision-making process as to the racing schedule?

California Horse Racing Board  
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5. As we have stated repeatedly in the past, the California racing schedule should be considered as a whole, not separately as to north and south. After all, one ownership entity controls both Golden Gate Fields and Santa Anita. Horses are shipping to race from their bases, at whatever location, to race elsewhere more than ever before. We believe there must be much greater synergy between those two schedules, particularly as to turf racing opportunities beginning in April, and coordination of racing programs.
6. Here are the givens in the racing calendar, as far as we're concerned: first, Del Mar's seasons of 7 weeks in the summer and 4 weeks in the fall must be preserved. Those two meets are now the peak of our state's racing program. Second, the annual calendars both north and south should begin the day after Christmas, continuing a commencement date on which California's nationwide prominence depends. From that point forward, however, through the late December holiday period on to July, we simply must become more creative and sensible about racing weeks and numbers of racing days, and races, per week.
7. We do not believe that a calendar of six uninterrupted **\*\*months\*\*** of racing at Santa Anita can easily or properly be sustained, especially if followed by another six or seven uninterrupted weeks of Santa Anita racing in September/October/November. There is too much uninterrupted racing at Santa Anita, and "simulcast" interruptions of four days on only three occasions during the entire year is not enough. We need to consider not only the economic consequences of more sustained "breaks," but also the consequences as to horse welfare if we do not have such breaks, not to mention the near-constant pounding of the turf course and track surfaces at Santa Anita. We need to have serious soul-searching as to Santa Anita's stakes, overnight, and turf programs throughout the year, with input from the trainers as well as the owners and the other associations.
8. The economic consequences of longer or different breaks need to be better understood, as to association profitability, purse generation, and funding of industry programs; we have not been included in any such discussions.
9. Indirectly, we have been advised that Del Mar is unwilling or unable to operate a race meeting in the spring. This possibility should be re-visited and better understood to see if the obstacles/problems as to such a meeting can be resolved, either in 2020 or the future.
10. The obvious drawback to scheduling racing weeks at Los Alamitos is the absence of a turf course. However, with proper advance consideration of timing, any weeks awarded there would seem to be an ideal opportunity to expand turf racing at Golden Gate, and perhaps even to add days at Golden Gate during weeks when Santa Anita might be dark.

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11. Although not strictly related to scheduling racing weeks, racing's leadership should look to traditional purse distribution as inhibiting current field size, given the much-reduced horse population. If economic factors require more racing weeks than the historic foal crops would indicate, then we need to consider seriously altering purse distribution to provide economic incentives for more participation. When greater than 80% of purses are won by fewer than 20% of connections, it's obvious that that economic model cannot be sustained in the present situation. And a robust program of overnights is critical to generating stakes purses.
  
12. Finally, bear in mind that the many holiday periods from December through July could potentially be exploited to give differing race schedules/meetings special and separate identities: the obvious holiday period from Christmas through New Year's Day weekend can sustain more racing days; mid-January where the Martin Luther King holiday results in a three-day weekend; President's Day weekend in February; Memorial Day weekend in May; Fourth of July week. The greater likelihood of inclement weather in January through February should be a consideration in the southern schedule, where Golden Gate has the advantage of its synthetic all-weather main track. While there is something to be said for a steady schedule of three- or four-day racing weeks, to be sure, it's possible to envision an entirely new approach of more racing days within specific periods, followed by only weekend racing, or even some lengthier breaks. Such "alternative schedules" should be considered for reasons of both relief to the horse population and marketing opportunities to refresh public interest. From a public perception or media standpoint, more short race meetings (each with an individual identity) within the extended period of December through July ought to be considered seriously, especially based on coordination between Santa Anita and Golden Gate Fields.

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 22, 2019**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 19**