

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, August 24, 2017**, commencing at **9:30 a.m.**, at the **Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of July 20, 2017.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F)**, at Los Alamitos, commencing September 6, 2017 through September 26, 2017, inclusive.
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T)**, at Santa Anita, commencing September 27, 2017 through October 31, 2017, inclusive.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T)**, at Golden Gate Fields, commencing October 18, 2017 through December 19, 2017, inclusive.
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H)**, at Cal Expo, commencing October 1, 2017 through December 24, 2017, inclusive.

8. Discussion and action by the Board regarding the **request from the Jockey Defined Contribution Retirement Plan pension trustees, to amend the Plan to eliminate the cap on lump sum distributions.**
9. Discussion and action by the Board regarding a **proposed amendment to CHRB Rule 1699, Riding Rules**, to consider establishing a minimum suspension penalty for a jockey riding contrary to Rule 1699 and to consider the length of the minimum suspension.
10. Report from the **Race Dates Committee.**
11. Report from the **Medication and Track Safety Committee.**
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
George Krikorian, 1st Vice Chairman
Madeline Auerbach, 2nd Vice Chairman
Jesse H. Choper, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place**, 2260 Jimmy Durante Blvd., Del Mar, California, on **July 20, 2017**.

Present: Chuck Winner, Chairman
George Krikorian, First Vice-Chairman
Madeline Auerbach, Second Vice-Chairman
Jesse H. Choper, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF JUNE 22, 2017

Chairman Winner asked for approval of the minutes of the Regular Meeting of June 22, 2017.

Commissioner Ruano **motioned** to approve the minutes. Commissioner Solis **seconded** the motion, which was **passed** five to zero with one abstention. Roll Call Vote: Aye: Solis, Auerbach, Krikorian, Ruano, Choper. Nay: None. Abstain: Winner. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported the CHRB worked with Producer Scott Everly to develop several short videos designed to highlight the many subject areas involved in the regulation of horse racing. He said the videos would appear on the CHRB web page and were currently available on YouTube. He stated five videos were complete, with topics covering the drug testing process; work done by the official veterinarians and track veterinarians throughout the day; information on the riding crop; weighing in and out; and track safety and the process of caring for a racetrack. He said two more videos were currently being developed – one provided information on stewards, and the other discussed a day in the life of a racehorse. Anyone could view the videos on YouTube by searching “California Horse Racing Board.” Once the new CHRB

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website was available, the videos would be on the CHRB website. He stated the CHRB was working closely with the Del Mar Thoroughbred Club (DMTC), California Thoroughbred Trainers, and owners to implement additional safeguards beginning with DMTC's meet. Dr. Rick Arthur, CHRB Equine Medical Director, worked with InCompass to develop a program that would flag horses that required additional scrutiny, such as horses that had received multiple examinations before they ran; four year olds that never raced; horses placed on the veterinarian's list for injury or lameness; horses placed on the steward's list for poor performance; or horses that had not started in 120 days or more. The flagged horses would be examined prior to race day. Executive Director Baedeker said DMTC reduced its stall allocation from 2,050 to 1,850, which helped reduce the traffic on the racetrack, and during the first 10 minutes in the morning and after breaks, only horses conducting timed workouts were permitted on the racetrack. He said DMTC invested a lot of money during the off season renovating its racetrack. He stated Dennis Moore was hired to work on the track and had significantly changed the banking, which now started earlier, ended later and was increased to 4 percent. He said DMTC worked with Dr. Mick Peterson, who had data that showed it took three to four days of racing for a track to get to a point where it was consistent for racing thereafter. So, DMTC began replicating the race date maintenance program three days in advance of opening day. Executive Director Baedeker said a meeting comprised of himself, Chairman Winner, and Dr. Rick Arthur was held with the San Diego Humane Society. The meeting was very constructive, and it provided an opportunity to share what was being done to make racing as safe as possible for the horses and everyone involved. Executive Director Baedeker reported that the month of June 2017 saw the same number of days during daytime racing and one additional day during nighttime racing as June 2016. The month of June 2017 saw a 0.53 percent increase in daytime handle, a 19.45 percent increase in nighttime handle,

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and an overall increase in handle of 2.41 percent. Year-to-date daytime handle decreased by 3.7 percent, nighttime handle increased .5 percent, with an overall decline of 3.29 percent as compared to 2016. Second Vice-Chairman Auerbach said track safety and medication was an important issue and 2017 had not been easy; it was difficult for everyone involved in terms of trying to guarantee not only the product, but the safety of all participants, equine and human. She stated she wanted to commend DMTC since it would always be the focal point, especially due to the Breeders' Cup in November 2017. Chairman Winner agreed that DMTC did a wonderful job and thanked it for the effort it put in to make the track at DMTC as safe as possible. He said DMTC invested a lot of time, effort, and money, and it was appreciated. Chairman Winner also thanked the San Diego Humane Society for meeting with the Board. The meeting was very helpful, instructive, and collegial. He also thanked all of the individuals involved in producing the videos; they did a great job at a relatively low cost. The Board was trying to inform the public regarding the industry's concern for the health and safety of horse and rider.

PUBLIC COMMENT

Joanne Nor, concerned citizen, stated the California Environmental Protection Agency added glyphosate to the proposition 65 carcinogen list on July 7, 2017. She said she provided the Board with a proposal document to collect blood, urine or tissue samples to examine the parts per million of glyphosate from any racehorse who was a beaten favorite, suffered a breakdown or a fatality within Board jurisdiction. The proposal had an initial 30 to 45 day data screening with the option to pursue further data depending on the results. She stated she believed the study would show a connection between toxicity level and liver disease. Santiago Gonzalez de Cosio, MIR International on behalf of Grupo Caliente (Caliente), stated he represented Caliente in place of

Timothy McNally, chairman of the Compliance Committee for Caliente, who was unable to attend. He said Caliente wanted the Board to reconsider its approval for Turf Del Norte (Norte) as a simulcast facility. He said Norte had 12 locations in Mexico which pooled wagering on California horse racing. He stated both Norte and Caliente had agreements with Monarch Content Management (Monarch). Caliente worked with Monarch and the California racetrack associations for the past 25 years, paid over \$30 million in fees and was in compliance with all California and Mexico rules and regulations. The Caliente legal team met with the Mexican gaming authority to confirm Caliente did business legally in the United States. He said he provided the Board with a written response from the Mexican gaming authority regarding specific questions on commingled pools with entities located outside of Mexico. Caliente requested the Board pursue the legal terms for businesses from Mexico doing business in California. Second Vice-Chairman Auerbach asked Mr. Gonzalez de Cosio to clarify his concern. Mr. Gonzalez de Cosio stated the Board approved Norte, which used a common pooling method for wagers from Mexico for California horse racing. Caliente believed common pooling was illegal based on Mexican law. He stated Caliente collected wagers and mimicked prices from the host tracks, but with limits. Caliente had to purchase the simulcast rights to provide audiovisual signals to its brick-and-mortar locations in Mexico. Scott Daruty, Monarch, said Monarch was the sales agent for all California horse racing. California racing associations and fairs worked with Caliente for many years, and Caliente had always been a good business partner. The racing associations and fairs preferred distributing their products with commingled pools, where wagers would be added to United States pools with wagers from all over the world. He stated Caliente repeatedly stated the company ran bookshops and not only would it not commingle, but Caliente believed commingling pools from locations in Mexico was illegal. He said Monarch had done its due diligence reviewing the legality of a new operator in

Mexico who presented Monarch with a license to permit commingling pools for the California racing associations and fairs. Monarch also received a letter from a reputable law firm indicating the legality of commingling. Mr. Daruty stated Monarch wanted to continue working with Caliente. Monarch also wanted to commingle pools from other simulcast facilities in Mexico. Second Vice-Chairman Auerbach asked if Monarch was likely to change how it distributed its products in Mexico now that it had more entities to work with. Mr. Daruty stated Monarch planned to use multiple distribution partners. He said Caliente would run book simulcast locations, and other operators, such as Norte, would run simulcast locations with commingled pools. Mr. Daruty said some customers might prefer one method over the other; having both methods available gave them a choice. He said some customers preferred the book method because they could place as large a wager as they wanted and not worry about moving the pools. Although moving pools was not an issue for larger track associations such as Del Mar Thoroughbred Club (DMTC) and Los Angeles Turf Club, moving pools could affect the fairs, which were smaller. Mr. Daruty said Monarch presented the Board with the list of simulcast locations to which California racing associations and fairs sent their signals prior to each race meeting and the Board approved or denied the facilities. He said Caliente wanted the Board to deny Norte as a commingled pool simulcast location. Second Vice-Chairman Auerbach said Caliente advised the Board against approving Norte due to legality questions surrounding commingled pools in Mexico. Mr. Daruty stated Monarch made sure to comply with all laws, and would stop distribution to Norte if presented with evidence that Norte acted illegally. Chairman Winner asked if Monarch reviewed Norte as an operator. Mr. Daruty stated Monarch performed background checks on the owners and made sure all required licenses were obtained. Greg Avioli, Thoroughbred Owners of California (TOC) stated TOC reduced fees and day rates for Caliente in return for Caliente

committing to make Advance Deposit Wagering (ADW) on California horse wagering available at reasonable rates. Caliente had not followed through with its commitment. He said TOC wanted Caliente to honor the deal, and resolve the commingled pool issue. Arturo Alemany, MIR International, stated Caliente had not completed the ADW project, however Caliente planned to honor its commitment to TOC. Mr. Alemany said the Mexican Secretary of Interior regulated gaming in Mexico. Several groups working with Caliente were concerned Mexico's regulations prohibited commingling pools for anti-money laundering reasons. He stated if the regulations were not followed properly, the Secretary of Interior of Mexico could shut down casinos with racing sports books altogether. Mr. Alemany said Caliente wanted the Board to speak with Mexico and evaluate the law government-to-government to ensure compliance. The casinos that accepted wagering on horseraces had billions of dollars at risk for non-compliance. He stated Mr. McNally offered to be the catalyst to meet with the Secretary of Interior of Mexico and the CHRB to review the law. Executive Director Rick Baedeker stated the discussion was regarding a possible violation of Mexican law, therefore, the Board had to have a direct relationship with the Mexican government to act. He asked if the Mexican government wanted to discuss the law with the Board. Mr. Alemany said the Mexican government was not represented by MIR International. Executive Director Baedeker stated the Board should only act upon request by the Mexican government. Mr. Alemany said Mr. McNally wanted to work with the Board and the Mexican government to make the request happen. Executive Director Baedeker stated going forward, the request should be directed to the Board's legal staff. J. Russell Lemon, concerned citizen, stated he was concerned about poisons in the air and added to feed. Fluorosilicic acid was added to water. Glyphosate and 2,4-Dichlorophenoxyacetic acid (2,4-D) were added to food. Glyphosate was invented in the 1930s for chemical warfare and was banned during World War II. Glyphosate was later

repurposed as a weed killer, however it also killed crops until they were designed to grow without certain nutrients to withstand glyphosate application. The lack of nutrients affected horse performance. Mr. Lemon said fluorosilicic acid made bones brittle, which made bone fractures more likely to occur. Mr. Lemon stated 2,4-D was an ingredient in Agent Orange. Vietnam had a high rate of birth defects from Agent Orange, and 2,4-D should not be fed to horses. 2,4-D and glyphosate were combined to develop an herbicide called Enlist used on weeds. Alfalfa hay and other horse feed were developed to withstand 2,4-D, which reduced the vital nutrients available in the food. He said reduced cobalt affected cell division and a lack of chromium resulted in an inability to metabolize sugar. Mr. Lemon stated the Board would find a correlation between poor performance and high levels of the compounds: fluorosilicic acid, 2,4-D and glyphosate if the Board tested for them. Zen Honeycutt, Moms Across America (MAA), stated MAA was a nationwide nonprofit that raised awareness about the health risks of GMOs and related toxins, and offered support, solutions and leadership to create healthy communities. She said she extensively researched the food supply after her son almost died from an allergic reaction. MAA instigated the first testing in the United States for glyphosate in breast milk. In 2014 an accredited laboratory found Glyphosate in children's urine, tap water and breast milk. The levels found were up to 3,000 times higher than the level shown to cause organ damage. Since 2014, government agencies and consumer groups detected glyphosate in excess of allowable levels in beverages foods and grains. Ms. Honeycutt stated the Environmental Protection Agency (EPA) admitted to not having any long-term animal studies with blood analysis on the final formulation of products such as Roundup and other herbicides. The EPA only required safety testing on one declared active chemical ingredient, rather than all chemicals in their formulas. She said the claim that Roundup and other herbicides were safe was unfounded. MAA found hundreds of studies showing harm to animals

from these products. Ms. Honeycutt stated the EPA previously only allowed two parts per million of glyphosate residue on corn, but raised the level to 13 parts per million in 2013 after a request from Monsanto, the company that produced Roundup. Increased heart attacks and health issues for horses were recorded after the allowable glyphosate level was raised to 400 parts per million in grains. She said it took only 0.1 parts per million to destroy gut bacteria. Ms. Honeycutt stated the Track Safety Committee should be aware that studies have shown that destroyed gut bacteria could lead to botulism. Glyphosate could destroy the liver's ability to detox, causing liver disease and heart damage. Bioaccumulation of toxins were released during a sudden burst of exercise, such as when a horse ran in a race, and the sudden release of a large amount of toxins could cause a heart attack. She said the Board should require non-GMO glyphosate-free and toxin-free grains; which would protect the racing industry's horses, farmers and consumers. Ms. Honeycutt stated a Monika Kruger study showed activated charcoal and sauerkraut juice healed cow's guts who ate glyphosate-sprayed grains. Chairman Winner asked if Ms. Honeycutt had presented the studies to the EPA. He said the EPA was the agency de-regulating glyphosate. Ms. Honeycutt stated she discussed her concerns with the EPA on two separate occasions. She said the EPA was currently assessing its decision to reapprove glyphosate for 15 years. Commissioner Choper asked if the EPA glyphosate assessment preceded the Trump administration. Ms. Honeycutt said EPA had been reviewing glyphosate for the past seven years. She said the process was slowed due to pressure from corporations that made about \$40 billion annually from glyphosate. First Vice-Chairman Krikorian asked Ms. Honeycutt what she sought from the Board. Ms. Honeycutt stated she would like the Board to recommend industry breeders stop using glyphosate-sprayed grains and buy organic food for their horses instead. She said she wanted the Board to encourage owners to use activated charcoal and sauerkraut juice to heal the horse's guts. She stated the horses would

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become healthier with these changes. Ms. Honeycutt said her son completely recovered from allergies, autistic symptoms and asthma after he started an organic diet. Commissioner Solis asked if Ms. Honeycutt's research was on the MAA website. Ms. Honeycutt stated the website, momsacrossamerica.org, had links to about 80 studies. Dr. Rick Arthur, CHRB Equine Medical Director, said he skimmed the material from Ms. Nor and considered most of the information to be speculative, however he would share it with toxicologists at the School of Veterinary Medicine at UC Davis and would be happy to determine if anything in the documents was worth pursuing. He said racehorse owners could buy organically grown feed at their discretion, and he was not aware of any major problems with racehorses due to the compounds mentioned. Second Vice-Chairman Auerbach said telling the industry what it could and could not feed was premature and beyond the realm of expertise for the Board. Chairman Winner said there were many studies on each side of the issues presented by Ms. Nor, Mr. Lemon and Ms. Honeycutt. First Vice-Chairman Krikorian asked if the Board could provide some support to MAA by taking blood samples from injured racehorses. Dr. Arthur stated if there was legitimate concern, there were specific ways to design academically defensible studies. The Board could not necessarily provide samples for an advocate wanting to prove one result or another. The Grayson-Jockey Club Research Foundation (Foundation) conducted and financed studies. He said if someone designed a study and collaborated with legitimate researchers, the study could be submitted to the Foundation, which received funding from horseracing. If the Foundation found the study to be scientifically viable, then the Board could help. He said there was a rigorous process for scientific studies. Chairman Winner said the Board would appreciate a recommendation from Dr. Arthur and his colleagues on whether the Board should pay closer attention to the compounds mentioned. Second Vice-Chairman Auerbach asked if the Foundation had any studies related to the request from MAA. Dr.

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Arthur said the compounds were not currently recognized by the scientific community as equine issues. Chairman Winner stated he appreciated Ms. Honeycutt's time and strong views regarding the compounds and the Board was delighted her son overcame his allergies. Kim Lloyd, Barretts Sales (Barretts), said Mike Seider retired from Barretts and would be missed. Mr. Lloyd stated Barretts had a paddock sale scheduled on July 26, 2017. He said the paddock sale had increased exposure since it was held at DMTC. Mr. Lloyd stated the third annual select yearling sale was scheduled for August 29, 2017. Second Vice-Chairman Auerbach said she wished Barretts good luck on the upcoming sales. Darrell Haire, Jockeys' Guild (Guild), stated he wanted to thank DMTC for making horseracing safer for the horses and jockeys. He said DMTC worked with the Guild on the scale of weights in 2016 and the jockeys were healthier and stronger because of the changes made. Oregon, Washington and Arizona were making similar changes. Chairman Winner stated the Board appreciated all the work from the Guild, jockeys and DMTC on such an important issue for the horseracing industry.

DISCUSSION BY THE BOARD REGARDING THE CITY OF ARCADIA'S REQUEST TO DISCUSS ON-TRACK ADVANCE DEPOSIT WAGERING (ADW) AND THE DISTRIBUTIONS CURRENTLY MADE TO CITIES AND COUNTIES, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTIONS 19610.3 AND 19610.4.

Dominic Lazzaretto, City Manager for the City of Arcadia (Arcadia), stated he was before the Board to ask for a broad and fair interpretation of existing law in response to changing technologies. He said in the mid to late 1980's, the Tucker Bill was an agreement between cities and operators in the horseracing industry to ensure everyone was fairly compensated. Arcadia was home to Santa Anita Race Track (SA), which was integral to Arcadia. The Tucker Bill stated that one-third of one percent of all handle that occurred at SA would go to Arcadia, and in exchange, Arcadia could not charge for gate tax, public safety services provided by Arcadia, the roads

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maintained by Arcadia, or for fire and emergency medical services that occurred on the track. He said in 1987 the bill was considered a good deal, and Arcadia received almost five million dollars per year in today's dollars to compensate for services provided. However, those dollars today equated to three-quarters of a million dollars. He stated while it could be said the decline was a result of a lull in the horseracing industry, SA's race days increased 48.2 percent, attendance increased 33 percent, and total handle increased 49.6 percent in the last 10 years. However, SA's on-track portion decreased by 8 percent, and revenues to Arcadia decreased by 37 percent, while the services provided, attendance, and money spent had increased. Arcadia believed it was due to technology; people that went to the track could place a wager at the window, or on their cell phones and electronic devices. He said the internet did not exist when the Tucker Bill was developed and went into effect. Arcadia was asking for a broad interpretation of the Tucker Bill to state if a person wagered on a race at SA, that Arcadia would enjoy the same benefit today as it did when wagering occurred at the window prior to the advancements in technology. He stated Arcadia was sponsoring a piece of legislation, AB 1508, Advance Deposit Wagering, by Assembly Member Chau. Arcadia would like the Board's support of AB 1508. Tom Beck, Arcadia City Council Member, stated when the Tucker Bill passed, it represented a partnership between the stakeholders, but things had changed since then due to technology. He said SA regularly came to the city council for help, and the city was always there to help it, because they were partners. He stated Arcadia was asking for a fair shake. If someone came to the track, should it matter whether the wager was made at the window or from their cell phone? He said patrons were still using the roads and services provided, and they were on the premises. Arcadia's view was that wagers made on the premises of SA should be treated equally – whether made online or at the window. Executive Director Rick Baedeker asked if Arcadia was limiting the scope of its request to wagers made

through Advance Deposit Wagering (ADW) by a patron that was on the track. Mr. Lazzaretto said yes, Arcadia was limiting its request to on-track handle and on-track activity. Chairman Winner asked if CHRB legal counsel had a chance to review the current law (Tucker Bill) to determine if it could be interpreted in a way that would satisfy Arcadia. John McDonough, CHRB General Counsel, said staff had not reviewed the statute. Chairman Winner clarified that Arcadia had two requests: interpretation of the Tucker Bill, and support of the proposed legislation. Mr. Beck said that was correct. Second Vice-Chairman Auerbach said the issue was recently resolved with the ADWs in terms of using geo location to identify wagers on the track. She asked if that was included. Greg Avioli, Thoroughbred Owners of California (TOC), said there was currently no money going from the on-track ADW to any city government. The agreement reached with all the ADWs ran through 2018. The agreement required that when ADW wagers were made within the enclosure of a race track on a live race day, the ADW provider would receive a fee of 1.5 percent versus the 5 percent fee received on all other wagers placed by California ADW patrons. The 3.5 percent difference was distributed equally between tracks and horsemen's purses. Second Vice-Chairman Auerbach said there was no room for this particular issue. Mr. Avioli said that was correct. Mr. Lazzaretto said Arcadia was left out of the conversations with ADWs; the city was forgotten. However, the city hosted the tracks and there was an impact to the city. Chairman Winner said the Board would get an opinion from legal counsel regarding an interpretation of current law, and then discuss its ability and desire with respect to the proposed legislation. Mr. Beck said Arcadia was not asking for anything more than one-third of one percent. Chairman Winner asked if the item was the same as the geo-location issue with the ADWs. Mr. Beck said yes; if the Board could not interpret the current law that one-third of one percent of on-track handle that occurred at SA would go to Arcadia, he asked the Board to support its proposed legislation.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR (F), AT LOS ALAMITOS, COMMENCING SEPTEMBER 6, 2017 THROUGH SEPTEMBER 26, 2017, INCLUSIVE.

This item was moved to the August 2017 Regular Board Meeting Agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OAK TREE AT PLEASANTON") COMMENCING SEPTEMBER 20, 2017 THROUGH OCTOBER 3, 2017, INCLUSIVE.

Larry Swartzlander, California Authority of Racing Fairs (CARF), requested approval of the application for license to conduct a horse racing meeting of CARF at Pleasanton (to be called Oak Tree at Pleasanton (OTP)) commencing September 20, 2017 through October 3, 2017. He said OTP was an eight day meet, with a \$75,000 stakes race for two year olds, and \$825,000 in overnight purses. He stated an incentive program would be utilized for the race meet. Trainers that ran five to ten horses would receive \$1,000; \$500 for five horses; and \$300 for two to four horses. He said out-of-state horses would receive \$300 for the first start, and \$150 for the second start. Angel Moore, OTP, stated OTP ran an integrated marketing campaign with its summer meet to encompass its fall meet by tying together its press releases, social media, and TV advertising to promote its fall race meet during its summer meeting. She stated people loved to come to events, and the 2016 fall race meet included a wine and beer festival. This year's meet included a mixology festival and a poker run. All of these events brought in new people who placed wagers. Sherwood Chillingworth, of OTP, said last year's meet broke even, but he believed this year's meet would do much better. John Valenzuela, Local 280, asked for clarification regarding the three designated carryover pools for the Pick 5, Pick 6, and High 5 as listed on the race meet

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application. Larry Swartzlander said he would have to look at the application and provide a response. Chairman Winner stated he believed the motion to approve the application should be pending clarification on Section 10 Pari-Mutuel Wagering Program. Commissioner Solis **motioned** to approve the OTP application to conduct a horse racing meeting commencing September 20, 2017 through October 3, 2017 pending clarification of Section 10 Pari-Mutuel Wagering Program. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 27, 2017 THROUGH OCTOBER 31, 2017, INCLUSIVE.

This item was moved to the August 2017 Regular Board Meeting Agenda.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR (F), AT FRESNO, COMMENCING OCTOBER 4, 2017 THROUGH OCTOBER 17, 2017, INCLUSIVE.

Larry Swartzlander, Big Fresno Fair (Fresno), requested approval of the application for license to conduct a horse racing meeting of the Big Fresno Fair at Fresno, commencing October 4, 2017 through October 17, 2017. He stated Fresno was a nine day race meet, with two \$100,000 guaranteed stakes races, and one \$50,000 stakes race, with total purses approximately \$939,000. He said the one major change for the venue was the incentive program - \$2,000 for running 10 or more horses; \$1,000 for 5-10 horses; and \$500 for 2-4 horses. He stated the incentive program was very important for the fairs because it increased the horse inventory. Lauri King, Fresno, said

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the public was looking for an event within an event, so Fresno would have a derby hat contest, and craft brew festival, and it hoped to add a wine festival in the future. Fresno also created a new venue to host corporate parties. Fresno also partnered with 30 local sports bars to distribute coasters that had a "Text to Win" campaign. She stated another promotion that had worked well was the "Even Losers Can Be Winners" promotion. Patrons could enter losing tickets for a chance to win vouchers to continue wagering. First Vice-Chairman Krikorian **motioned** to approve the Fresno race meet application commencing October 4, 2017 through October 17, 2017. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Krikorian, Winner, Auerbach, Solis. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF LIEN GAMES RACING, LLC, DBA OFFTRACKBETTING.COM, FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

John Ford, Lien Games Racing, LLC, dba OffTrackBetting.com (Lien Games), stated the Lien Games website: BetAmerica.com, was sold to Churchill Downs Technology Initiatives Company. Lien Games requested approval from the Board to continue its advance deposit wagering (ADW) operations for California through its website: OffTrackBetting.com. Second Vice-Chairman Auerbach said the Board heard requests for approval to conduct ADW from five providers at its June 2017 Board Meeting. She asked if Lien Games was requesting approval for its application now due to the BetAmerica.com sale. Mr. Ford stated he represented Lien Games for approval as an out-of-state multi-jurisdictional wagering hub. Chairman Winner said it would be sensible to grant an approval for the same term as the ADW providers who were approved at the June 2017 Board Meeting. Greg Avioli, Thoroughbred Owners of California (TOC), stated TOC had

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geolocation agreements with the other ADW providers, but the agreement had been opposed by Lien Games. He said Lien Games had not implemented changes for geolocation. TOC would not grant an approval for Lien Games as an ADW provider until Lien Games complied with the geolocation agreement. Mr. Ford stated Lien Games had a TOC approval for the Del Mar Thoroughbred Club race meeting which began July 19, 2017. Lien Games recognized geolocation was an issue and was happy to accommodate TOC. He said wagering would not be offered on OffTrackBetting.com for California Thoroughbred racetracks until the geolocation issues were resolved. Second Vice-Chairman Auerbach said Lien Games and the TOC could work out a geolocation agreement apart from Board approval for Lien Games to conduct ADW. Mr. Avioli stated he and Mr. Ford reviewed the documents and confirmed TOC had not given consent for Lien Games to accept wagers from California. He said Mr. Ford understood Lien Games was not authorized to accept wagers from California under the law until TOC gave consent. The consent Mr. Ford mentioned about Del Mar Thoroughbred Club allowed interstate simulcasting outside of California. Second Vice-Chairman Auerbach **motioned** to approve the application to conduct advance deposit wagering of Lien Games Racing, LLC, dba OffTrackBetting.com through January 31, 2019; to coincide with the advance deposit wagering providers approved at the June 2017 Board Meeting. First Vice-Chairman Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Winner, Krikorian, Choper, Ruano. Nay: None. Motion Carried.

Proceedings of the Regular Meeting of July 20, 2017**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1663, ENTRY OF CLAIMED HORSE, TO PROVIDE THAT A HORSE CLAIMED OUT OF A CLAIMING RACE IS NOT ELIGIBLE TO RACE IN ANY STATE OTHER THAN CALIFORNIA UNTIL 60 DAYS AFTER THE CLOSE OF THE MEETING FROM WHERE IT WAS CLAIMED EXCEPT IN A STAKES RACE.**

Executive Director Rick Baedeker stated the proposed amendment to Rule 1663, Entry of Claimed Horse, was a result of considerable discussion over many months about short fields due to the claiming and removal of horses from California to the east coast. He said while Del Mar and Santa Anita had a house rule in place that required any horse claimed at its meet to stay at the meet, the horse could still be claimed and show up in the east coast a day after a meet closed. He stated he believed the last time the Board considered amending Rule 1663 was in 2003, but there was case law that could not justify the amendment. John McDonough, CHRB General Counsel, stated in 2003 there was an attorney general opinion that suggested instituting the proposed amendment would be a violation of interstate commerce. However, since that time 27 of 38 states that allowed wagering on horses instituted a similar rule, and in the last few years there were Supreme Court decisions that narrowed the scope of interstate commerce. Therefore, the CHRB legal unit believed the Board could institute the proposed amendment; however, it recommend obtaining an opinion by the attorney general. Executive Director Baedeker stated the Board could decide to move forward with the proposed amendment by sending it out for the 45-day public comment period. Executive Director Baedeker was confident the opinion from the attorney general would be received during that time. Commissioner Solis asked if the fairs would be considered one meet. Executive Director Baedeker said no, each meet would be considered independent because each fair meet submitted a race meet application. Chairman Winner stated as an example, a horse claimed out of a claiming race could not race in another state other than California until 60 days after the Pleasanton meet. The purpose of the proposed amendment was to protect field sizes.

Proceedings of the Regular Meeting of July 20, 2017

Alan Balch, California Thoroughbred Trainers (CTT), said CTT believed a rule was needed; however, CTT was concerned that the rule as written was a “one size fits all” for the entire State of California, and that was not the case. He stated there were situations in which Santa Anita raced for six consecutive months and Del Mar had its ship and win program. He stated CTT questioned the 60 day timeframe, and after considering the longer meets at Golden Gate Fields, would 45 days be more appropriate. He asked if the issues could be discussed at a committee meeting. Chairman Winner recommended the issue be sent to the Legislative, Legal and Regulations committee meeting for further discussions. Joe Morris, Santa Anita, stated Santa Anita supported the proposal as well, and agreed it was a good idea to send it to committee to work out some of the details. Josh Rubenstein, Del Mar, said Del Mar also appreciated the Board taking up the matter. He stated Del Mar wanted to participate in the committee meeting so that all stakeholders could voice their opinions on the matter. Executive Director Baedeker stated the Board needed to consider whether or not the proposed amendment was appropriate in the long term for racing in California. If so, would it be more appropriate that the Board adopt a rule. Chairman Winner said there were many issues that needed to be discussed and directed that the proposal to amend Rule 1663 be discussed at the next Legislative, Legal and Regulations committee meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1865, ALTERING OF SEX OF HORSE, TO PROVIDE THAT A HORSE BE SCRATCHED IF THE TRUE SEX OF THE HORSE IS NOT REPORTED TO THE RACING OFFICE PRIOR TO THE OPENING OF WAGERING FOR THE RACE IN WHICH THE HORSE IS ENTERED.

Executive Director Rick Baedeker stated Los Angeles Turf Club (LATC) announced that a horse named Fly to Mars was a gelding just before the horse ran in the final race of a Pick 6 jackpot during the last LATC race meeting. Late notice of a recently gelded horse was concerning, and

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the incident at Santa Anita Park brought the problem to the forefront. In late 2016 Staff surveyed horse players regarding how the industry should handle the late reporting of the altering of the sex of a horse. The poll showed overwhelming support for scratching the horse, which would require an amendment to Rule 1865, Altering of Sex of Horse. Executive Director Baedeker stated the proposed amendment added a new subparagraph to Rule 1865. The new subsection would require the stewards to declare a horse from the race in which it is entered if the true sex of the horse was not reported to the racing office, and to the public, prior to the opening of wagering on the race. The proposed amendment would provide an opportunity to correct the mistake and advise the public prior to wagering. Alan Balch, California Thoroughbred Trainers, stated most people in the horse racing industry could have anticipated the polling result. He said CTT spoke at Board meetings over the years as situations pertaining late notice of gelding a horse occurred. He said the industry worked together to reduce the number of occurrences of first-time geldings not being reported prior to the opening of wagering; there were only six or seven instances in 2016. He said the small field sizes at California race meetings would be negatively affected by scratching horses. The number of horses in violation of Rule 1865 in 2016 was equivalent to a field of horses for one race held at LATC in 2016. Mr. Balch stated Fly to Mars was the second-favorite to win, and ultimately won the race. Think of what the reaction would have been if Fly to Mars was scratched immediately prior to the race. He said the headlines would have suggested LATC scratched Fly to Mars to create a carryover, instead of the actual headlines that Fly to Mars' win triggered an \$890,000 payoff. Mr. Balch stated he was appealing to the Board to think about what was fair to everyone affected. The proposed amendment to Rule 1865 elevated the late notice that a horse was gelded to a critical factor for handicapping. He said gelding a horse was a factor for handicapping, but should not be considered critical. Although the last incident involved a Pick 6,

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scratching Fly to Mars would have affected exactas and many other kinds of win, place and show wagers, as well as owners, trainers, and the racetrack. Commissioner Solis stated he had raced and bred horses over the past 27 years, and there was a noticeable difference riding a horse after it was gelded. The horse may not win right after the procedure, but it matured faster and was mentally more focused than colts. He said the Board had an obligation to protect the wagering public. First Vice-Chairman Krikorian stated changes in weights and whether blinkers would be used or not were announced, however the changes were not as substantial as gelding a horse before a race. He said despite the CTT's concerns, the Board should move forward with amending Rule 1865. Mr. Balch said the changes to blinkers and weights were announced; however, they did not result in scratches. He said jockey changes did not result in scratches; surface changes did not result in cancelling races. The proposed amendment to Rule 1865 raised the significance of late notice for a first-time gelding as a handicapping factor above the other changes. He said the proposed amendment to Rule 1865 would make late notice of a first-time gelding equal to an error involving Lasix. Second Vice-Chairman Auerbach said the Board could allow the horse to run for purse money only to protect the owner and jockey from a trainer's mistake. The Board had a lot of options for amending the Rule, however the headlines involved with the Fly to Mars winning the race involved in the LATC Pick 6 had a lot of undue drama based on speculation until the winner came forward. Whenever there was a late notice involving a first-time gelding, there always seemed to be speculation that at least one of the people involved did something intentionally. The Board had to amend Rule 1865 to maintain the wagering public's trust. Chairman Winner said Fly to Mars was a second-favorite, however the entire discussion would have changed if Fly to Mars was a long shot. Chairman Winner stated no matter how the Board regulated late notifications of first-time geldings, people on either side of the issue were going to

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be upset, and some would think the Board's intentions favored one side or another. The Board wanted to make sure patrons who wagered on horses felt they had a level playing field. He said increasing the fine for a trainer who did not notify the racing office that a horse was gelded was another option for the Board. Rick Hammerle, LATC, said LATC required veterinarians to confirm the horse's sex during morning checks. He stated the industry protected the wagering public. The Board should consider the wagering public that researched handicapping information thoroughly and did not care if a horse was recently gelded or not, and had a multiple-race wager like the Pick 6. Imagine if a member of the wagering public was looking at a good payoff dependent on a specific horse, and the horse was scratched just before the race began. This would mean the entire wager would be changed and the patron may have not even taken into account whether or not the horse was a gelding. Mike Marten, CHRB staff, said staff used a survey to determine how the wagering public felt. Members of Horseplayers Association of North America (HANA) were asked a series of questions in the survey. One of the questions stated that a scratch could change the race's pace. Executive Director Baedeker said 92 percent were in favor of a scratch originally; 85 percent were still in favor after HANA members were reminded on the survey that the race's pace could be affected. Chairman Winner asked how many HANA members participated in the survey. Mr. Marten said there were about 300 responses to the email survey. Chairman Winner stated the survey responses were something to consider. The survey was not a scientifically representative sample. However, the responses to questions regarding scratching a horse for late notification of gelding were the most unanimous of any issues in the survey. Dr. Arthur said the latest incident was unusual; Fly to Mars was castrated on a CHRB facility. The change was not reported by the veterinarian, the owner or the trainer, and was missed by the examination veterinarian. There were generally hundreds of horses to be examined by only two

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examining veterinarians. He said there was always a chance something like the Fly to Mars incident could happen again, and one should expect horses to be scratched if the proposed amendment to Rule 1865 was adopted. Chairman Winner said the issue the Board wanted to resolve with the proposed amendment to Rule 1865 was complex and the 45-day comment period would give the industry time to consider the ramifications. First Vice-Chairman Krikorian **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1865, Altering of Sex of Horse. Commissioner Ruano **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Auerbach, Krikorian, Ruano, Choper, Winner. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING A PRESENTATION FROM NORTHERN CALIFORNIA OFF TRACK WAGERING INC. (NCOTWINC) DESCRIBING THE RESPONSIBILITIES OF THE ORGANIZATION AND THE STATUS OF DEVELOPMENT OF MINI-SATELLITE WAGERING FACILITIES IN NORTHERN CALIFORNIA.

Bryan Wayte, General Manager of Northern California Off-Track Wagering, Inc. (NCOTWINC), stated NCOTWINC was formed under Business and Professions Code section 19608.2, which provided for the associations, fairs, and horsemen to form an organization to oversee and administer simulcast operations. The mission and oversight of NCOTWINC relied on the oversight of audiovisual signals and wagering data, pari-mutuel and totalizator equipment, expenses, administration of satellite wagering program, and banking and accounting services. The current structure of NCOTWINC included the California Authority of Racing Fairs (CARF), Golden Gate Fields (GGF), and the Thoroughbred Owners of California (TOC). Its Board of Directors included Chairman Larry Swartzlander (CARF), Calvin Rainey (GGF), and Elizabeth Morey (TOC). He stated in regards to audiovisual signals and wagering data, NCOTWINC had

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the responsibility to incur the expenses involved with the satellite uplink, encryption and transponder time required to transmit the wagering product to off-track locations, and ensure the data for wagering and displays were in place for patrons. He said NCOTWINC's largest responsibility was pari-mutuel labor and equipment. It was responsible for the expenses and management of all pari-mutuel labor off-track, and was also responsible for negotiating and managing contracts involving pari-mutuel labor and armored car services. NCOTWINC employed a pari-mutuel supervisor located at each Northern California satellite facility to manage day-to-day operations. Pari-mutuel supervisors ensured off-track locations were properly staffed. They managed and balanced the wagering activity on a daily basis, and resolved pari-mutuel questions or complaints from customers. He stated NCOTWINC provided and maintained all the equipment necessary for pari-mutuel operations, and also provided pari-mutuel statistical reports and analysis of off-track wagering locations, as well as add-on reports for the Board, as directed. NCOTWINC was also responsible for the expense and oversight of the totalizator operations, the off-track satellite locations, negotiating and managing contracts involving totalizator services, and it served as liaison between the satellite locations and tote companies. In October 2015, NCOTWINC oversaw the conversion of the totalizator services at 13 off-track and racetrack locations. He said NCOTWINC also provided banking and accounting services. It coordinated the collection of accounts; coordinated and transferred the wagering revenue to host tracks from the off-track locations; provided accounting reports and analysis of expense and revenue; and provided expense and revenue reports for the Northern California Vanning and Stabling Committee. He stated NCOTWINC was responsible for prospecting potential mini-satellite operators, which included performing site surveys, providing requirements, information, and revenue projections to potential operators. Larry Swartzlander, NCOTWINC, said while mini-satellite development in the South

was very successful, the North had lost two or three mini-satellite locations over the last several years. Currently, there were eight on-going mini-satellite developments in Humboldt, Fresno, Tulare, Sacramento, Vallejo, Pleasanton, Stockton, and Modesto. NCOTWINC was looking at partnering with personnel from the South and hoped to have the eight new mini-satellite locations up and running next year. He stated in the future there would come a time to consider operating "unmanned sites" similar to walking into a 7-Eleven and purchasing a lottery ticket. He said there was a demand for mini-satellites, but was unable to penetrate the suburbs of Sacramento and San Francisco, partially due to the 20 mile radius requirement pursuant to Business and Professions Code section 19605.25. He stated CARF's position on the 20 mile radius requirement was that CARF wanted mini-satellites, but did not want to hurt the brick-and-mortar facilities. Second Vice-Chairman Auerbach said she wanted to remind the Board why NCOTWINC was providing an overview of its operations. The purpose was to educate the new Board members on what NCOTWINC did and how it functioned. She stated NCOTWINC's funding came directly out of the handle. Second Vice-Chairman Auerbach said as the handle increased or decreased, it directly affected what NCOTWINC could or could not do, and its responsibility was to create new funding for the handle. She asked since most of NCOTWINC's staff were busy with clerical functions, scheduling and accounting, how did it have the time and opportunity to bring in more locations for the mini-satellites. Mr. Wayte said CARF hired Richard Scheidt to work directly with him to promote the expansion of mini-satellites in Northern California. He stated he felt very optimistic about the potential of the locations. Second Vice-Chairman Auerbach stated she noticed Southern California Off-Tracking Wagering, Inc., was very proactive and had sites that were generating money. Mr. Wayte said George Haines had referred several individuals that were interested in coming to Northern California and expanding their involvement in building mini-satellites.

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Second Vice-Chairman Auerbach said the Board would like to hear from NCOTWINC in the near future regarding the status of new mini-satellite locations in development. Mr. Swartzlander said as past CEO, and now as executive director of CARF, he did not have the time for mini-satellite development. He stated that was why he hired Mr. Scheidt whose primary responsibilities were simulcast development, mini-satellite development, and legislation. Calvin Rainey, NCOTWINC and GGF, stated GGF worked very hard developing new mini-satellite locations with Mr. Wayne and CARF. He said Mr. Swartzlander's predecessor was not open to reducing the 20 mile radius requirement; however, it seemed as though Mr. Swartzlander was more open to changes in the radius and developing new mini-satellites. Executive Director Baedeker said he believed the industry needed to dedicate funds, resources and personnel to include an attorney that specialized in zoning laws and could talk one-on-one with city attorneys. He stated the success of Sammy's, which recently earned \$1.5 million in a month, was due to the mutual clerks providing excellent customer service. John Valenzuela, Local 280, stated Local 280 was opposed to "manless" operations, and language in SB 14 stated satellite wagering had to be manned by the historical union.

MEETING ADJOURNED AT 12:22 P.M.

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A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

California Horse Racing Board

August 24, 2017

REGULAR BOARD MEETING

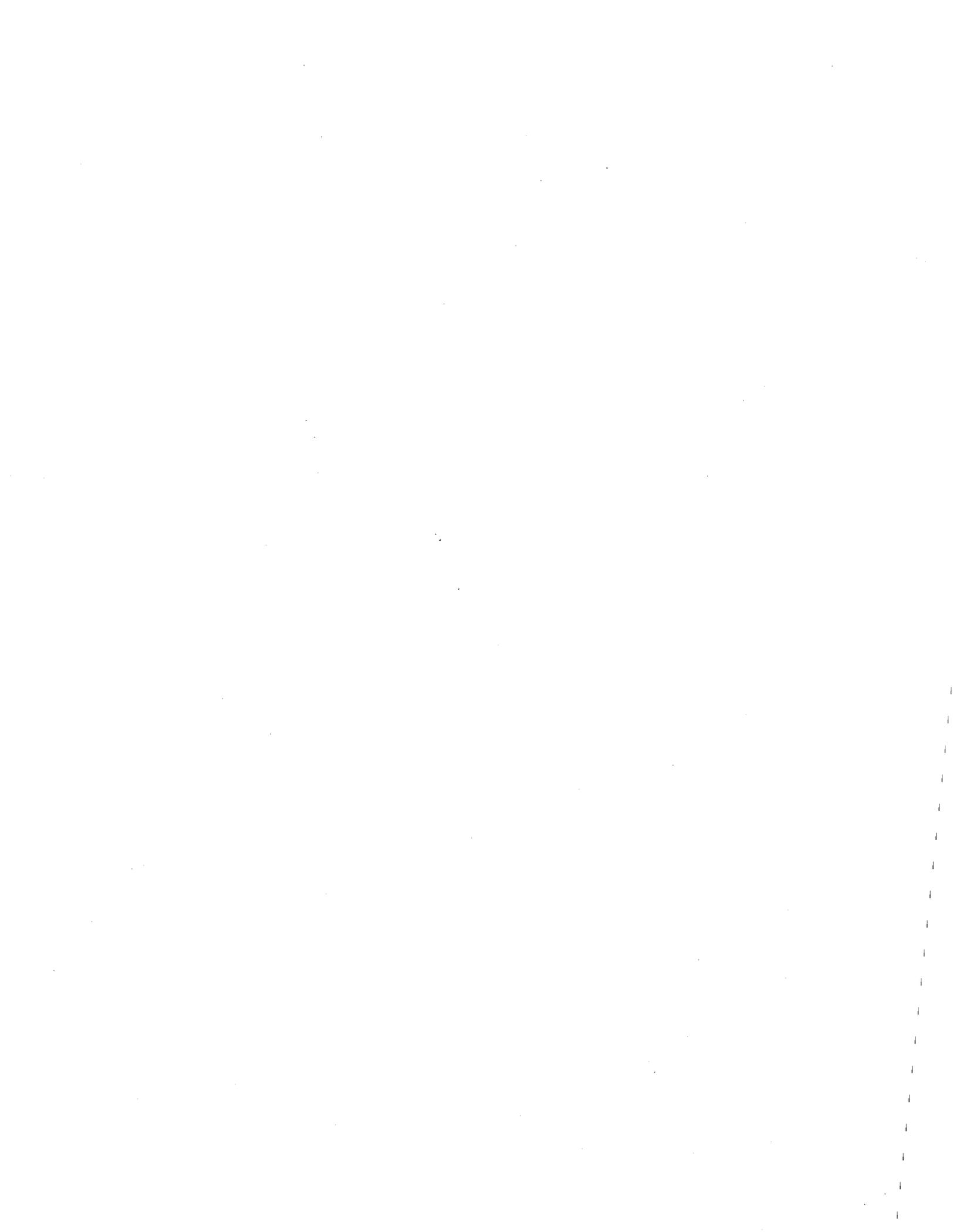
There is no board package material for Item 2



CALIFORNIA HORSE RACING BOARD

AUGUST 24, 2017
REGULAR BOARD MEETING

There is no board package material for Item 3



Item 4

STAFF ANALYSIS
August 24, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT LOS ALAMITOS RACE COURSE SEPTEMBER 6, 2017 THROUGH SEPTEMBER 26, 2017.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Los Alamitos Race Course September 6, 2017 through September 26, 2017, or 12 days; the same number of days as in 2016. LACF proposes to race a total of 102 races; the same as in 2016. Live racing will commence September 7, 2017 through September 24, 2017. LACF is not participating in the California Authority of Racing Fairs (CARF) combined horse racing meeting.

At its July 20, 2017 regular meeting, the Board deferred the LACF race meet application until August 24, 2017, as the LACF race meet application required the submission of additional documents for completion. LACF had not provided its Thoroughbred Owners of California (TOC) Race Meet Agreement, California Thoroughbred Trainers (CTT) Race Meet Agreement, Horsemen approval for Advance Deposit Wagering (ADW) Agreements, Audiovisual agreement with Southern California Off-Track Wagering, Inc. or Letter of explanation; therefore, the Board asked the applicant to return to the August 2017 Board meeting. LACF submitted the TOC race meet agreement, CTT race meet agreement, Horsemen approval for ADW, audiovisual agreement and letter of explanation as of August 3, 2017.

- The proposed race dates are the approved dates allocated to the fair.

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- Racing Thursday through Sunday. 9 races per day Saturdays and Sundays; 8 races per day on Thursdays and Fridays.
- Racing concurrently with California Authority of Racing Fairs at Pleasanton September 20-26, 2017 and Golden Gate Fields September 6-19, 2017.
- 2016 Race Meeting at Los Alamitos ran Thoroughbreds only: Average number of runners per race: 7.05.
- First post 2:00 p.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. – 10:00a.m.
 - \$2 Daily Double (DD) – placement of last DD will depend on number of races. DD takeout rate of 20%.

- \$1 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all qualifying races.
 - Incoming simulcasts will not follow host track format.
 - \$2 Pick 6 last six live races 70% carryover, 30% minor. Alternate runner provision
 - \$0.50 Pick 4 starting second race and last four races. Alternate runner provision.
 - \$0.50 Pick 5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.
 - \$1 Pentafecta (Super H5) in the last race of the day only selecting the first five finishers in a same race. 100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners.
- The ADW providers are TVG, Xpressbet, Twinspires, Watch and Wager and NYRAbets.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604 19604 to accept wagers on races conducted in and outside of California for a resident of California::

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba Twinspires.
- Watch and Wager.com LLC.
- NYRAbets, LLC.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires and in 2016 with NYRAbets and Watch and Wager is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

RECOMMENDATION:

Staff recommends the Board approve the application.



July 14, 2017

Ms. Laurel Houle
 Policy and Regulation Analyst
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Dear Laurel:

Yesterday, the proposed stakes schedule for the upcoming meet of the Los Angeles County Fair ("LACF") was approved by the TOC and a definitive agreement was reached with the CTT. The CTT Agreement is now in hand and a copy will be forwarded to you. The definitive Race Meet Agreement with TOC should be forthcoming in the next day or so. LACF's negotiations with TOC and CTT have proceeded in an amicable and cooperative manner.

LACF's pending License Application for its upcoming meet is hereby supplemented in the following particulars:

1. Letter re effectiveness of Audiovisual Agreement with SCOTWINC to be forwarded by Mike Seder of LACF.
2. Letter re TOC Approval of ADW Agreements to be forwarded by Mary Forney of TOC.
3. Stakes Schedule for upcoming LACF Meet and 2015 and 2016 Stakes Schedules for those LACF Meets are herewith forwarded. In the pending Application, the purse for the Beverly Lewis was noted as being \$100,000. It has been reduced to \$75,000 as a result of the inclusion of an additional stakes race, the E.B. Johnston, also at \$75,000. This revision has increased purses for stakes by \$50,000 (\$100,000 minus \$25,000 plus \$75,000).
4. As to the On-Track Attendance/Fan Development, reference is directed to the previously submitted Marketing Plan. The following is specifically directed at On-Track Attendance/Fan Development: (a) Cross marketing with Los Angeles County Fair at Fairplex, (b) Giveaway Promotions, (c) Local Television, and (d) Radio and Direct Mail.
5. The 2017 Marketing Budget is \$248,000 and was \$300,000 in 2016. The reduction is attributable to less being spent for Giveaway Promotions, which was considered to be less effective than other methods.
6. Subsequent to LACF 2016 Meet, the facility has been improved in the following respects: (a) Additional and more convenient parking for horsemen, (b) Additional television monitors throughout the facility, and (c) An increase in stalls and living accommodations on backside.
7. Reference to Triangle Turf in the Application should be deleted.
8. Five hosts and hostesses will be employed for the Meet.
9. For newcomers, there is a promotion through Groupon under which a newcomer and his or her guest receives a day at the races including: free admission, discount for food and

souvenirs, and preferred seating and parking. There are also mutual windows marked for "Newcomers"

LACF's delay in submittal of some information resulted from a late start in the preparation of its License Application due to unforeseen circumstances. It is anticipated that all required documentation and information will be submitted prior to the upcoming CHRB Meeting. The LACF is appreciative of TOC and CTT's cooperation in the negotiation of their respective Agreements in a shorter period of time than is ordinarily the case.

Thank you for your continued cooperation in processing LACF's License Application.

Very truly yours,

Los Alamitos Racing Association

By



Jack Liebau

cc: Jacqueline Wagner, CHRB



Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair: Los Angeles County Fair /c/o Los Alamitos Race Course 4961 Katella Ave Los Alamitos, CA 90720
714-820-2800 / fax 714-820-2743

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Jack Liebau, (650) 222-8150, jackliebau@yahoo.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: Sept. 7 – Sept. 27
B. Actual dates racing will be held: Sept. 8,9,10,11,15,16,17,18,22,23,24,25
C. Dates racing will NOT be held: Sept. 7, 12,13,14,19,20,21,26,27
D. Total number of racing days: 12
E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thurs. - Sunday

3. RACING PROGRAM

A. Total number of races: 102
B. Number of races by breed:
 102 Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

CHRB CERTIFICATION

Application received: 7/5/17
Reviewed: LH

Hearing date: 8/24/17
Approved date:
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9				8	8	9
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text" value="4"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

The \$200,000 Los Alamitos Derby was moved to the summer Los Alamitos Race Course meet.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

- 1st race – 2:00 pm
- 2nd race – 2:30 pm
- 3rd race – 3:00 pm
- 4th race – 3:30 pm
- 5th race – 4:00 pm
- 6th race – 4:30 pm
- 7th race – 5:00 pm
- 8th race – 5:30 pm
- 9th race – 6:00 pm (Saturday and Sunday only)

**LOS ALAMITOS RACE COURSE
STAKES SCHEDULE**

Closing Wednesday, June 14, 2017

Saturday, September 16, 2017 \$100,000 Guaranteed

BARRETTS DEBUTANTE

For Fillies Two Year Olds
Six And One Half Furlongs

Closing Wednesday, June 14, 2017

Saturday, September 23, 2017 \$100,000 Guaranteed

BARRETTS JUVENILE

For Colts And Geldings Two Year Olds
Six And One Half Furlongs

Closing Friday, September 1, 2017

Saturday, September 9, 2017 \$75,000 Guaranteed

BEVERLY J. LEWIS

For Fillies Three Year Olds
Six Furlongs

Closing Friday, September 1, 2017

Saturday, September 9, 2017 \$75,000 Guaranteed

E.B. JOHNSTON STAKES (Cal Bred)

For Three Year Olds and Upward
One Mile



2017 Proposed Los Alamitos Fall Stakes Schedule

Date	Name	Type	Conditions	Distance	Advertised Gross	Est Barrett's	Nomination-Entry-Starter Fees	Net Cost	
Sat, 9/9/17	Beverly Lewis	G	3-year-old fillies	6 Fur.	75,000		5,000	70,000	
Sat, 9/16/17	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000	
Sat, 9/23/17	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000	
TBD	E.B. Johnston Stakes		Cal Bred 3 & Up	1 M	75,000		5,000	70,000	
TOTAL					350,000	80,000	30,000	240,000	

Los Al Derby moved to July Meet

2016 Los Alamitos Fall Stakes Schedule

Date	Name	Type	Conditions	Distance	Advertised Gross	Barrett's	Nomination-Entry-Starter Fees	Net Cost	Starters
Sat, 9/10/16	E.B. Johnston Stakes	G	Cal Bred 3 & Up	1 M	75,000		3,600	71,400	4
Sun, 9/11/16	Beverly Lewis	G	3-year-old fillies	6 Fur.	75,000		4,500	70,500	5
Sat, 9/17/16	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	32,950	6,000	61,050	6
Sun, 9/18/16	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	36,500	6,000	57,500	6
Sat, 9/24/16	Los Alamitos Derby-Grade III	G	3 year-olds	1-1/8th mile	200,000		26,800	173,200	8
TOTAL					550,000	69,450	46,900	433,650	29

<i>2016 Los Alamitos Fall Stakes Schedule</i>							
Date	Name	Type	Conditions	Distance	Advertised Gross	Fees and Supplements	Net Cost
Sat, 9/10/16	E.B. Johnston Stakes	G	Cal Bred 3 & Up	6.5 furlongs	75,000	3,600	71,400
Sun, 9/11/16	Beverly Lewis Stakes	G	3-year-old fillies	6 furlongs	75,000	4,500	70,500
Sat, 9/17/16	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 furlongs	100,000	38,950	61,050
Sun, 9/18/16	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 furlongs	100,000	42,500	57,500
Sat, 9/24/16	Los Alamitos Derby-Grade III	G	3 year-olds	1-1/8th mile	200,000	26,800	173,200
TOTAL					550,000	116,350	433,650

<i>2015 Los Alamitos Fall Stakes Schedule</i>							
Date	Name	Type	Conditions	Distance	Advertised Gross	Fees and Supplements	Net Cost
Thur, 9/10/15	Beverly Lewis Stakes	G	3-year-old fillies	6.5 furlongs	75,000	5,750	69,250
Sat, 9/12/15	E.B. Johnston Stakes	G	Cal Bred 3 & Up	1 mile	75,000	6,650	68,350
Sun, 9/13/15	Contender Stakes	G	2 year olds	6 furlongs	75,000	3,250	71,750
Sat, 9/19/15	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 furlongs	100,000	42,500	57,500
Sun, 9/20/15	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 furlongs	100,000	47,150	52,850
TOTAL					425,000	105,300	319,700

4. FAIR ASSOCIATION

A. Names of the fair directors:

J. Michael Ortiz, Chairman of the Board

Cid Pinedo, Vice Chairman

Linda Bosserman

Ron Bolding

Bill Fox

Heidi Hanson

John Landherr

Tina Loza

Richard Martinez

Steve Morgan

John Solomon

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Miguel Santana, Michael Seder, Kim Lloyd

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Kim Lloyd

J Michael Ortiz

Cid Pinedo

Miguel Santana

Michael Seder

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Jack Liebau – jackliebau@yahoo.com 4961 Katella Ave, Cypress, Ca 90720

Michael Seder – seder@fairplex.com 1101 W. McKinley Ave. Pomona, CA 91768

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double
 Proposed percentage: Pick 5 - 14%, Daily Double - 20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2016 – 12 racing days	64,292,747	75,645
2015 – 10 racing days	54,295,082	63,962
2014 – 11 racing days	63,631,510	84,091
2013 – 13 racing days	59,394,551	111,875
2012 – 13 racing days	67,835,280	115,089

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$2,466,568
 Prior meet actual: \$2,514,952

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$205,547
 Prior meet actual: \$209,579

2. Overnight stakes:
 Current meet estimate:
 Prior meet actual:

FAIRPLEX

LOS ANGELES COUNTY FAIR

July 5, 2017

Mr. Rick Baedeker
Executive Secretary
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker:

Los Angeles County Fair ("LACF") requests two takeout changes for our upcoming September Fall Thoroughbred racing season.

LACF requests that we be approved to run a 14% takeout Pick 5 and a 20% takeout Daily Double.

Both of these requests will allow LACF to offer wagers that are being offered at all other southern California meets.

Thank you for your attention to this matter.

Sincerely,



Mike Seder
Los Angeles County Fair



July 1, 2017

OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2017 LA County Fair Race Meet at Los Alamitos

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Los Angeles County Fair race meet from September 6 – 26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Jack Liebau, Los Alamitos

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



July 1, 2017

OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2017 LA County Fair Race Meet at Los Alamitos

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Los Angeles County Fair race meet from September 6 – 26, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

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GARY BURKE (2001)
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2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Jack Liebau, Los Alamitos

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WWW.TOCONLINE.COM

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

3. Non-overnight stakes:

Current meet estimate: \$240,000

Prior meet actual: \$433,650

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$20,000

Prior meet actual: \$36,138

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$2,706,568

Prior meet actual \$2,948,602

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$233,449

Prior meet actual: \$239,857

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

CTT \$7,988

TOC 15,977

NTRA 2,624

CTT PENSION 23,965

CTHF 23,965

Total 74,520

Prior meet actual:

8,348

16,697

2,624

25,045

25,045

77,759

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$3,014,538

Prior meet actual: \$3,266,218

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$251,211

Prior meet actual: \$272,185

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$2,030,504

Prior meet actual: \$2,134,757

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$169,209

Prior meet actual: \$177,896

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$756,020
Prior meet actual: \$761,493

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$63,002
Prior meet actual: \$63,458

G. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Inc. 10722 Arrow route Suite 110 Rancho Cucamonga, CA 91730
909-944-6465, craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,395
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita – 1,900, San Luis Rey Downs – 495
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Southern California Off-Track Stabling Agreement on file with CHRB

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c). n/a-1986 meet was conducted at Fairplex Park
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: \$14.43
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita - \$180 round trip, SLRD - \$320 round trip

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site at Los Alamitos and at all auxiliary training sites during all training hours
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private vets on site at Los Alamitos and all auxiliary training sites during all training, workout and racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering at. No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes	No
Race #1	\$1E, \$1 Tri \$2DD \$1PK3 0.50P5 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #2	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #3	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #4	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #5	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 SF	CHRB #1959,1979, 1957,1977,1976.9,1979.1		
Race #6	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #7	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #8	\$1E, \$1 Tri \$2DD \$1PK3 SF	CHRB #1959,1979, 1957,1977, ,1979.1		
Race #9	\$1E, \$1 Tri \$2DD \$1PK3 Super H5 SF	CHRB #1959,1979, 1957,1977, ,1979.1	ARCI #004-105 Subsection X Pick (n) Position (x) Pools	

\$2 Rolling Daily double 20% takeout Placement of last DD will depend on # of races
\$1 Trifecta on all eligible races (CHRB 1979.1)
\$0.10 Superfecta wagering on all eligible races (CHRB 1979.1)
Incoming simulcasts will not follow host track format
\$2 PNP6 last six races 70% carryover, 30% minor alternate runner provision (CHRB 1976.9)
\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)
\$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout, one tier payoff, 100% carryover
\$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race
100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No changes from last year's format.
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution September 24, 2017
- E. List any options requested with regard to exotic wagering:
- F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:
Each racing day from 8:30 am to 10:00 am

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote. Contract expires August 2020
Keith Johnson, 11200 Pepper Rd Hunt Valley MD 800-345-1566
- H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

Race #1 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK5 - 14%, SF 25.02%
Race #2 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, P4 - 25.02% SF 25.02%
Race #3 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK6 - 25.02%, SF 25.02%
Race #4 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK6 - 25.02%, SF 25.02%
Race #5 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, PK4 - 25.02%, SF 25.02%
Race #6 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%
Race #7 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%
Race #8 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, SF 25.02%
Race #9 \$1E 24.02%, \$1Tri 25.02%, \$2 DD 20%, PK3 - 25.02%, Super H5 - 25.02% SF 25.02%

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
TVG, XpressBet, Twin Spires, Watch and Wager, NYRAbets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Agreements on file with CHRB

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. On file with CHRB

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering: SCOTWINC

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. Agreement on file with CHRB

C. California simulcast facilities the fair proposes to offer its live audiovisual signal: Attached

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair: Attached

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal: Attached

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

4-21



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

July 17, 2017

Mr. Jack Liebau
Los Alamitos Racecourse
4961 Katella
Los Alamitos, CA 90720

RE: 2017 LA County Fair Meet at Los Alamitos ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Racecourse (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section XXXIV, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires and NYRA, to accept wagers on LARC and other Thoroughbred races during the 2017 LA County Fair Meet at Los Alamitos, September 6-24, 2017, as follows:

1. **California wagering on LARC races:**
 - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - c. For all wagers identified in sections 1.a. and 1.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - c. For all wagers identified in sections 2.a. and 2.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
 - a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, and NYRA.

Very truly yours,

Greg Avioli, President & CEO

OFFICERS

- NICK ALEXANDER
CHAIR
- BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
- JACK OWENS
VICE CHAIR, NORTHERN CA.
- MIKE PEGRAM
AT LARGE
- MIKE HARRINGTON
AT LARGE
- ED MOGER
AT LARGE

DIRECTORS

- ALLEN ALDRICH
- BOB BAFFERT
- JOE CIAGLIA
- MARK DEDOMENICO
- RON ELLIS
- GARY FENTON
- TERRY LOVINGIER
- SAMANTHA SIEGEL
- KATHY WALSH

EXECUTIVE STAFF

- GREG AVIOLI
PRESIDENT & CEO
- MARY FORNEY
EXECUTIVE DIRECTOR
- LIZ MOREY
NORTHERN CALIFORNIA LIAISON
- ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

- ED FRIENDLY (1996-97)
- ROBERT B. LEWIS (1997-2001)
- GARY BURKE (2001)
- JACK B. OWENS (2001-03, 04-05, 2011)
- RON CHARLES (2003-04)
- ALAN LANDSBURG (2005-07)
- MARSHA NAIFY (2007-10)
- ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club; Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

~~Striders Norco (mini-satellite)~~
~~2895 Hammer Ave.~~
~~Norco, CA 92860~~

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592

NORTHERN CALIFORNIA

~~Shasta District Fair
4890 Briggs St.
Anderson, CA 96007~~

~~Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380~~

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

~~Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901~~

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

7 Los Alamitos (TB) Import Tracks

Track	Track
gton	Mountaineer Park
niboia	Northlands
ralia Racing	NYRA - Aqueduct, Belmont, Saratoga
terbury	PARX
rchill Downs	Penn National
ware Park	Pimlico
a Downs	Prairie Meadows
Park	Presque Isle
erald	River Downs
ngeline	South America Racing
Erie	Suffolk Downs
istream	Sunray Park
tings Park	Tampa Bay
ana Downs	Thistledown
eneland	Turf Paradise
rel	Turfway Park
e Star	United Kingdom
isiana Downs	Woodbine
oning Valley	
mouth	

2017 Los Alamitos
Gaming and Locations

AirWest Entertainment	Evangelina Downs	Maywood	Sol Mutual
Amyst Accounts	Fair Grounds	Meadowlands	Sol Mutual - Odds Broker
Greenbrier (WV)	Fair Grounds ADW	Meadows The	Sol Mutual - Orobanda Casino
Riders Up (SD)	Fair Meadows	Meadows The (ADW) - PA regional	Southland Greyhound
Time Out Lounge (SD)	Favorites at Gloucester	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Triple Crown (SD)	Favorites at Vineland	Mobile Greyhound	Súaposta ADW (Brazil)
Arapahoe Mile High	Finger Lakes	Monmouth Park	Suffolk District OTB
Arma Race Club	Finger Lakes ADW	Montana OTB	Suffolk Downs
Arlington I	Fonner Park	Monticello	Sunland Park
Bahgor Raceway	Freehold	Mountaineer Park	SunRay Park & Casino
Balavia	Gillespie County Fair	Nassau Regional OTB	Tampa Bay Downs
Bellerra Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton ADW
Bellor Racing	Belison, Planetwin, Big Bet World	Newport Jai Alai	Taunton Dog Track Inc.
Birmingham Greyhound	Racebets, Intl Betting Assoc.	New Jersey ADW (4NJBets)	The Downs at Albuquerque
Borgata Casino	Magna Bet, Pferdevetton, Danzlo	Northfield Park	Thistledown
Buffalo Raceway	Stan James, Bytesports	Cedar Downs OTB	Toga Downs
Canterbury Park	Intelligent Gaming, Ledbrokes Australia	Northville Downs	Tri-State GH (Mardi Gras)
Capital District OTB	Greentrack	NYRA	Turf del Norte (Mexico)
Catskills OTB	Greyhound at Post Falls	NYRA ADW	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn Park	Turf Paradise Bets (ADW)
Chester Downs (Harris's Philly)	Gulfstream Park	Oaklawn Park ADW	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG
Codere (Brazil)	Harrington Bets ADW (DE regional)	Panamia	TVG High Volume
Columbus Raceway	Hawthorne Race Course	Paribet ADW	Twin River Greyhound
Connecticut OTB	Hawthorne ADW	PARX	Twin Spires
Bradley Thelthester, Bristol	Hazel Park	PARX ADW	Twin Spires High Volume
New Britain, Norwalk, Milford	Hinsdale	Penn National	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	Hoosier Park	Penn National ADW	Venezuela OTB
Shoreline Star, Sports Haven	Horseman's Park	Paju	Vernon Downs
Tonington, Waterbury	Horseshoe Council Bluffs (William Hill)	Plainridge Race Course	Virginia Equine Alliance
Manchester, New London	Idabel	Plainridge ADW	Walchand Wager
Williamette, Sanford OTB	Indiana Downs	Player Management Group	Western OTB
John Martin's Manor Restaurant	Clarksville/Evansville OTB	PMU (Brazil)	Wheeling Downs
Connecticut OTB ADW	Intermountain Rating	Pocono Downs ADW	Will Rogers Downs
Coushatta Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming OTB
Paragon Casino	Keeneland	Portland Meadows	Wyoming Downs
Ho-Chunk Casino	Keeneland Select ADW	Polawatomi Casino/OTB	XpressBet
Mohagan Sun Casino	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Oneida Bingo and Casino	Kentucky OTB	Premier Turf Club	Yonkers ADW (Empire City Bets)
Pony Bar Simulcast Center	Les Bols (Treasure Valley)	Premier Gateway	Youbet Group 1
Tote Investment Racing	Lewiston OTB's	Presque Isle Downs	Zia Park
Randall James Racetrack	Lien Games	Remington Park	123 Gaming
Milkenum Racing	Chips Lounge/Rumors OTB	Remington OTB Network	
Royal Beach Casino	Howard Johnsons OTB	Relama Park	Separate Pool Locations
DWI Carina Bay Casino	Skydancer Casino OTB	Racing & Gaming Services	Campanero (Puerto Rico)
Winner's Circle	Lien Games ADW	Rillito Park	Caymanas (Jamaica)
Camouflage Gaming	BetAmerica/Offtrackbetting ADW	Ruidoso Downs	Codere (Mexico/Spain)
Corpus Christi Greyhound	Lone Star	Running Aces Harness Park	MIR Books (Callente)
Dayton Raceway	Louisiana Downs	Sarah Houston	NDS Books (Nevada)
Delaware Park	LVDC	Valley Greyhound Park	PMU (Brazil)
Delta Downs	Allantis Paradise Casino	Saratoga Harness Raceway	Tabcorp (Australia)
Dover Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)	Talitel (Australia)
Dubuque (Iowa Greyhound)	Foxwoods Resort Casino	Scarborough Downs	Turf TV
Ellie Turf Club (1 Jo 18)	Meskwaki Bingo & Casino	Scioto Downs	New Zealand Racing Board
Ellis Park	Mahoning Valley	Seabrook Greyhound	
Emerald Downs	Maónas (Uruguay)	Seabrook Greyhound ADW	
	Maryland Jockey Club		
Canadian Locations			
Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flemboro Downs, Fraser Downs			
Fort Erie, Frédérickton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlaivatha, Hipodrome de Quebec, Kivariha Downs, Marquis Downs			
Mohawk, Northlands, Northside Downs, Plover Downs, Quinle Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres			
Western Fair, Wadobine, Xpressbet Southern Alberta			

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) - Dr. Dana Steed DVM
 Clerk of Scales - Charles McCaul
 Clerk of the Course - Lisa Jones
 Film Specialist - Board of Stewards
 Horse Identifier - Jennifer Paige
 Horseshoe Inspector - Victor Tovar
 Paddock Judge - Karen Denoval
 Patrol Judges - Randy Valdez, Karen Denoval
 Placing Judges - Kevin Colosi, Dawn Schmid
 Starter - Gary Brinson
 Timer - Russ Hudak
- B. Management officials in the racing department:
 Director of Racing - Robert Moreno
 Racing Secretary - Robert Moreno
 Assistant Racing Secretary - Chris Merz
 Paymaster of Purses - Dawn Jackson
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Weinstein court Reporters
 P O box 26634 Santa Ana, CA 92799 949-637-6071
 Mderieg@weinsteinreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
 Plusmic Corp USA, Bill O'Brien, contract expires Dec. 31, 2017

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communications
Jim Porep, President
16633 Ventura Blvd Suite 1010 Encino, CA 91436, contract through April 21, 2017
1 camera end of homestretch
1 camera end of backstretch
1 camera coming out of final turn
4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: electronic timing devices owned and operated by Los Alamitos Race course

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, Chief of Security
714-820-2660 frontside / 714-820-2832 backside
Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators
20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 2. Detention Stalls: Los Alamitos does not use detention stalls
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed
 - B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed
 - C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed
 - D. Number of security personnel assigned to the TCO2 program.
CHRB directives will be followed



Organizational Chart 2017

Los Alamitos Race Course
Security Department

Chief of Security	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
Captain of Security		
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Night Shift Sergeant	Fernando Gomez	Cell (951) 768-7094
Day Shift Security Officers	Guillermo Chavez Jose Murillo Lydia Llamas Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezz
Night Shift Officers	Lydia Llamas Jackie Dixon Peter Scimeca Henry Chambers	Desk/Dispatch Mainline Mezz Paddock
Stable Area Officers	Kenny Vu Jorge Avina Javier Bonilla Cesar Franco Jose Alvarez	Day stable Night stable Night Receiving Barn Graveyard Split Shift
Off Hour Officers	Kenneth Grant Frank Wozniak Luis Rivas	Grave Yard Days Split Shift

Stable Gate Attendants

April Dudone	Swing Gate
Ronnie White	Day West Gate
Cheri Willett	Split Shift
Arturo Sandoval	Night West Gate
Sharril Janisky	Grave yard Gate
Marco Avina	Day Gate

Total Employees: 24

- C. Describe the electronic security system.
Los Alamitos does not have an electronic security system
1. Location and number of video surveillance cameras for the detention stall and stable gate.
No video surveillance in those areas

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
Huntington Ambulance Service
P O Box 145
Sunset Beach, CA 90742
Sunset Beach, CA 90742
Track # 714-820-2845

1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
San Luis Rey Downs
North County Fire Protection District
31403 Old River Rd
Bonsall, CA 92003

Santa Anita
AmbuServe
15105 S Broadway
Gardena, CA 90248
Bryan Rushing
310-644-0500

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached

- C. Describe the on-track first aid facility, including equipment and medical staffing:
Contracted track physician: Oxygen equipment, blood pressure monitoring, backboard, cervical spine collar, 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Michael Morris, 714-820-2710
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center
3571 Katella Ave
Los Alamitos, CA 90720 562-598-1311

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

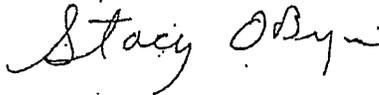
May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

April 13, 2017

Brad McKinzie
Los Alamitos Racing Assn.

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

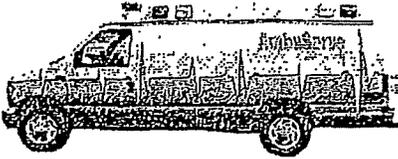
Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations



AmbuServe
Ambulance



May 8, 2017

Josh Rubinstein
Del Mar Thoroughbred Club

RE: Ambulance Certification

To whom it may concern:

Please accept this letter as confirmation that AmbuServe, Inc. meets all the required certifications to operate as an approved paramedic (ALS) and Basic Life Support (BLS) provider in the State of California and within Los Angeles County.

All of AmbuServe, Inc. Paramedics and Emergency Medical Technicians have and maintain all the required certifications as mandated by the State of California Emergency Medical Services and the Los Angeles County Emergency Medical Services.

Thank you very much for your time.

Sincerely,

Scott Smith
Vice President
AmbuServe, Inc.

Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave
Long Beach, CA 90806 562-933-2000

UC Irvine Medical Center
101 The City Drive
Orange, CA 92868 714-456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self Insured – Finish Line Self Insurance Group, Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Handicappers –

Bob's Card, Tiffany Bohland, 6288 Highland Meadows Dr. Medina OH 44256
Winner's Card Corp, Don Harris 924 Knob Hill Ave. Redondo Beach, CA 90277
Today's Racing Digest, Jack Karches, 2080 Las Plamas Carlsbad CA 92009



FINISH

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

Steps Required in Reporting a Workers Compensation Accident

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100



FINISH

Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813.

Pasos Requeridos en Caso de un Accidente

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada
Healthpointe Medical Center
7052 Oranewood Ave, Suite 6
Garden Grove (714) 903-1100**



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal

(714) 573-6000

www.ocfa.org

September 28, 2016

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

Douglas A. Hartman,
Senior Fire Prevention Specialist.

FINILIN-03

PRZEMIELEWSKIS



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
12/21/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 240 Pleasanton, CA 94588	CONTACT NAME: Sara Przemielewski	
	PHONE (A/C, No, Ext): (925) 660-3531 50035	FAX (A/C, No):
E-MAIL ADDRESS: Sara.Przemielewski@ioausa.com		
INSURER(S) AFFORDING COVERAGE		NAIC #
INSURER A: New York Marine & General Insurance Company		16608
INSURED Los Alamitos Race Course 4961 Katella Avenue Los Alamitos, CA 90720	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE	\$
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$
							MED EXP (Any one person)	\$
							PERSONAL & ADV INJURY	\$
							GENERAL AGGREGATE	\$
							PRODUCTS - COM/POP AGG	\$
								\$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident)	\$
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
								\$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE	\$
							AGGREGATE	\$
								\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	WC2016EPP00425	10/01/2016	01/01/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER	
							E.L. EACH ACCIDENT	\$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	\$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Evidence of Coverage

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE

~~Triangle Turf Winners, William Archer 1 Scott St. Gardnerville, NY 89410~~

B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
 Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
 - 1. Horsemen – additional horsemen parking
 - 2. Fans – additional televisions throughout plant
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
 - Admission (general) \$3
 - Admission (clubhouse) \$5
 - Vessels Club - \$10
 - Reserved seating (general) free
 - Reserved seating (clubhouse) free
 - Parking (general) free
 - Parking (preferred) \$6 / \$10 premium
 - Parking (valet) n/a
 - Programs (on-track) \$3.00
 - (off-track) \$3.00
- B. Describe any "Season Boxes" or other special accommodation fees: n/a
- C. Describe any "package" plans such as combined parking, admission and program: n/a

Marketing Plan

2017 Los Alamitos Fall Thoroughbred Meet

Overview: As detailed on the following pages, the 2017 Los Alamitos Fall Meet marketing efforts will be supported by a variety of programs including continuing cross-marketing efforts with Fairplex Park and the Los Angeles County Fair, and increased budgets for cooperative promotions with our partners at TVG, Twin Spires, NYRA Bets, and Daily Racing Form. The Los Alamitos Fall meet will also feature a solid local television and radio advertising presence. In addition, Los Alamitos will host one National Handicapping Championship qualifying handicapping tournament, which is traditionally among our most popular on-track promotions each racing meet.

Cross Marketing with the Los Angeles County Fair

In continuing to increase awareness for the Los Alamitos Racing Association Fall Meet among attendees at the popular Los Angeles County Fair, Los Alamitos Race Course and Fairplex Park has built a cost effective, cross-marketing plan that will include complimentary ticket opportunities at both Los Alamitos Race Course and Fairplex Park. On-track visitors to Los Alamitos on selected days will have an opportunity to receive a free ticket to the Los Angeles County Fair (LACF) while supplies last, while attendees to the LACF will receive free admission to the Los Alamitos Race Course with their fair ticket. The promotion will be supported by advertisements in the Daily Fun Guide available at the LACF plus direct mail pieces and online link exchanges. We are currently discussing special on-track opportunity raffles throughout the Fall meet for tickets to the most popular concerts to be held at the LACF in September.

Los Alamitos Fall Handicapping Championship – Saturday, September 16

This handicapping contest will offer a minimum of three seats to the NTRA National Handicapping Contest and up to four seats depending on the number of entrants. The amount of seats offered by Los Alamitos Race Course is in line with previous contested offered here to this year-end national event and will be held during the second weekend of the three-week meet. This will allow us an opportunity for greater advertising of the contest. The event will follow a successful series of live-money contests held during the Thoroughbred meets in 2016, which featured over 300 total participants and approximately \$70,000 of guaranteed on-track

wagering money as part of these contests. As in previous years, the goal of this event will be to drive on-track handle and attendance from southern California's major players while continuing to establish Los Alamitos as a great place to play NHC contests. The contests are affordable with a planned total entry fee of \$400 per entry. Los Alamitos has promoted the event by running TV spots as part of the track's TVG annual commercial buy.

Promotional Giveaway Days

Most of the top on-track attendance days of the past meets at Los Alamitos have traditionally occurred on days when we offered a promotional giveaway. In an effort to try and continue our on-track awareness among fans in our immediate area, Los Alamitos will offer four promotional giveaway days during our 12-day meet. The giveaway items may include a Los Alamitos logo cap, sunglasses, sports bottle, or wall mount bottle opener. Giveaway items are subject to change. A media-list driver will also be part of the closing day festivities for the Fall Meeting on Sunday, September 24.

Mystery Mutuel Vouchers

One of our most successful driver of on-track handle has been our Mystery Mutuel voucher promotion, which in the upcoming fall meet will target 12,000 active fans on the Los Alamitos mailing list. This promotion will be a part of a pre-meet direct mail campaign that will also offer our customer base such incentives as free admission passes and free preferred parking passes. The Mystery Mutuel Voucher will be held on the first Saturday of meet on September 9. The goal is to have a redemption rate of 15% or higher for the promotion.

2017 Los Alamitos Fall Meet Marketing Budget

Daily Racing Form –Up to \$35,000

Our partnership with the DRF will focus on player retention following a successful 2016 Winter Meeting and continued efforts to market and attract players not engaging in the Los Alamitos races. During the 2017 summer meet, Los Alamitos expanded its Daily Racing Form distribution of our Thursday thru Sunday past performance pages by sponsoring the printing of the PPs in the Midwest and South AM editions of the Daily Racing Form. We will analyze the success of this promotion and will consider extending for the fall meet. Other highlights to include:

- National print advertising campaign
- Digital advertising campaign
- Bonus digital Past Performances for 8 days of meet.
- Inclusion in DRF Bets Morning Line eNewsletter & PP offer to PP purchasers

- Special Pick 4 sections for the featured weekend days of the meet.
- Promotional support for the handicapping contest via e-mail blasts

TVG, Twin Spires, NYRA – Up to \$60,000

- National commercial spots on TVG leading up to meet and prior to major races
- Partnering with ADW providers with popular promotions like TVG's Hit and Split, and the Twin Spires \$10,000 Pick 5 Los Alamitos Bonus. TVG conducted a "Los Alamitos Summer Spectacular" Promotion in July and based on the results, a similar promotion could be a part of the fall meet.
In one example, the total Twin Spires brand handle was up 16% and the Pick 5 handle was up 26% during the Los Alamitos Winter 2016 meet.
- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters
- NYRA to offer bonuses to its players participating in the Los Alamitos early Pick 4.

Local Television Advertising - \$12,000

- Spectrum Cable / Orange County cable provider. Approximately 500 total spots concentrating on Angels, Dodgers, NFL and college football games, and racing shows on Prime Ticket/Fox Sports West. The buy also includes The Golf Channel and run of schedule spots on the Prime Ticket, Fox Sports West, NBC Sports, Bravo, ESPN and TBS networks.
- Spectrum Long Beach. This spot buy will concentrate on the nearby Long Beach market with special emphasis on Angels and Dodgers games.
- Direct TV. Over 100 units to be purchased during Angels games and a run of schedule buy on Prime Ticket Channel.

Local/National Radio - \$25,000

- In addition to supporting the local racing radio shows, the Los Alamitos radio buy will also include national racing radio shows like Race Day Las Vegas, plus Steve Byk At The Races, and Down The Stretch on SiriusXM, plus Southern California staples like ESPN 830 AM horse racing shows. To note, the national shows are a part of our effort to reach our growing out of state market and East Coast-based horse players.
- ESPN Deportes. Los Alamitos will continue its solid partnership with ESPN Deportes which will include ticket giveaways and on-air interviews promoting the racing weekend.

On-line Marketing - \$15,000

- Partnering with Los Angeles News Group, Los Alamitos will conduct a significant on-line marketing campaign that will include web banners and on-line videos.

Program will include Geo Fencing Mobile Marketing and a continued strong Social Media presence.

Pre-Meet Direct Mail Campaign - \$27,000

- Our 12,000 active fans will receive a Mystery Mutuel voucher and other on-track attendance incentives with the mailing targeting our opening weekend. Past redemption rates for the Mystery Mutuel promotion have reached between the 12% to 15% level.

Los Alamitos Handicapping Championship - \$13,500

- Los Alamitos is guaranteeing three seats to the popular NTRA National Handicapping Contest during a summer contest on Saturday, July 8.

On-Track Promotional Giveaway Items - \$25,000

- Two special giveaway days are scheduled during the 8-day Summer Meeting. The dates of the giveaways will be Sunday, July 9 and Saturday, July 15.

On-Line Industry Advertising - \$4,000

- Concentrated on web sites like DRF.com, Equibase.com, and The Paulick Report.

Summer Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and social media personnel.

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="60"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys: Separate quarters with own showers, sauna, lockers. Shared common area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men / 8 ladies
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 – 20

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81 % sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-always is: - 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes, track superintendent / Dennis Moore track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2.

CHRB-18 (Rev. 2/15)

The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

MICHAEL D. SEDER
Print Name


Signature

CFO
Print Title

7/5/17
Date



Item 5

STAFF ANALYSIS
August 24, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 27, 2017 THROUGH OCTOBER 31, 2017.

Los Angeles Turf Club II (LATC II) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita:

- At its July 20, 2017 regular meeting, the Board deferred the LATC II race meet application until August 24, 2017, as the LATC II application was incomplete. LATC II had not provided its: race meet agreements with California Thoroughbred Trainers and Thoroughbred Owners of California (TOC), horsemen approval of advance deposit wagering (TOC), and TOC approval of takeout for Super Hi-5 wager; therefore, the Board asked the applicant to return to the August 2017 Board meeting.
- CHRB staff contacted an LATC II representative on July 24, 2017 and requested the submission of the outstanding application items by August 11, 2017. The race meet agreement with TOC, horsemen approval of advance deposit wagering (TOC), and TOC approval of takeout for Super Hi-5 wager remain outstanding.
- September 27, 2017, through October 31, 2017, or 19 days; two days less than 2016. LATC II proposes to race a total of 155 to 195 races, or 8.16 to 10.26 races per day. In 2016, LATC II ran 8.76 races per day with an average of 8.3 runners per race. The average daily purse for the 2016 race meeting was \$457,558. The (estimated) average daily purse for this meet is \$490,523.

September - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing Friday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 9, 2017 and no racing on Thursday, October 12, 2017. 7 to 9 races weekdays; 9 to 11 races opening day, weekends and holidays; 9-12 races September 30, 2017 and October 7, 2017.
- Racing concurrently with the dates allocated to Golden Gate Fields, California Authority of Racing Fairs at Pleasanton, and Fresno County Fair.
- First post 1:00 p.m., weekdays, 12:30 p.m., weekends and Holidays:

- Post times may vary by up to 30 minutes.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. to 10:00 a.m. daily.
 - Rolling \$2 Daily Doubles beginning with the first race – 20% takeout.
 - \$1.00 Exacta on all eligible races.
 - \$0.50 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all eligible races.
 - \$1 Pick 3.
 - \$0.50 Pick 4 on races 2-5 and the last four races; No consolation; 100% payout; Alternate runner provision.
 - \$0.50 Pick 5 on the first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
 - \$1 Pentafecta on all eligible races – 14% takeout; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners. No consolation; 100% carryover to next race (including to the next race day, if applicable).
 - \$2 Pick 6 Last six live races; First share - 70%; Second share – 15%; Third share – 15%:
 - Payout distribution will be in accordance with ARCI 004-105(G)(2)(i):
 - In the event that there is no unique winning ticket, that day's unique share (i.e. third share), as well as any applicable carryover, be paid to ticket holders who selected the winner.
 - In the event that there is no ticket which correctly selected the winner, that day's first share and any carryover, and that day's third share and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races.
 - Late Surface Change:
 - Any day there is a surface change the net pool shall be distributed as a single price pool for those whose selection finished first.
 - Santa Anita requests that any day in which a surface change after the Pick 6 pool has closed, the race(s) are declared a "Win All," not a "no contest."
 - Santa Anita also request that on any day in which there is a surface change after the Pick 6 pool has closed, the payout distribution in ARCI 004-105(G)(2)(i) will be used. If there is no unique ticket that day, that day's unique share (i.e. third share) will be added to the day's first share.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2017; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, and NYRAbets.
Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- XpressBet, LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpire.
- ODS Technologies, L.P. dba TVG Network
- NYRAbets.com, LLC

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpire and in 2016 with NYRAbets.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on

Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California race meet agreement
2. Thoroughbred Owners of California approval of Advance Deposit Wagering agreement
3. Thoroughbred Owners of California approval of takeout for Super Hi-5 wager
4. Bond expires September 28, 2017

RECOMMENDATION:

Staff recommends the Board hears from a representative regarding the outstanding items.



June 29, 2017

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Pick 6 Payout on Mandatory Payout Days and on Days with a Late Surface Change

Rick,

As specified in Santa Anita Park's 2017 Autumn race meet license application (license commencing September 27, 2017 and continuing through October 31, 2017) we will be using ARCI 004-105(G)(2)(i) to govern the payout of our Pick 6.

Mandatory Payout Days

Pursuant to ARCI 004-105(G)(12), Santa Anita Park is requesting that on any mandatory distribution payout date which is approved by the California Horse Racing Board ("CHRB") during this license period, the following payout distribution method be used:

1. The payout distribution method, with all three shares being paid, as specified in ARCI 004-105(G)(2)(i); provided, however,
2. In the event that on such mandatory distribution payout date there is no unique winning ticket, that day's unique share (i.e. third share), as well as an applicable carryover, be paid to ticket holders who correctly selected the winner in each of the races included in the Pick 6; provided further that,
3. In the event that on such mandatory distribution payout date there is no ticket which correctly selected the winner in each of the Pick 6 races, that day's first share, and any carryover, and that day's third share, and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races included in the Pick 6.

In order to avoid any doubt, on any approved mandatory distribution payout date, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

Late Surface Change

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, "the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such

distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.”

Santa Anita Park requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a “Win All,” not a “no contest.”

Santa Anita Park also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used:

1. The payout distribution method as provided for in ARCI 004-105(G)(2)(i); provided, however,
2. If there is no unique winning ticket that day, that day’s unique share (i.e. third share) will be added to the day’s first share.

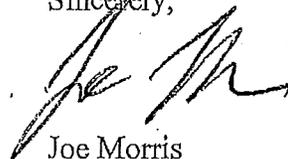
In other words, Santa Anita Park is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day’s unique share (i.e. third share) will be added to the first share and will either be paid to the ticketholders that correctly selected the winner in each of the races included in the Pick 6 or if there is no such ticket, it would then be added to the first share’s carryover. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover (i.e. third share carryover) can be paid out, however, it cannot grow.

In order to avoid any doubt, on any day in which there is a surface change after the Pick 6 pool closes, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the mandatory payout date rules would control with respect to payout distributions.

Santa Anita Park request these modifications be approved as part of its license application.

Sincerely,



Joe Morris

cc: Jackie Wagner
Phil Laird
Scott Daruty

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club II, Inc.**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond has been extended through October 29, 2017 November 6, 2016. The renewal certificate will be forwarded to the Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION	
Application received: 6/29/17	Hearing date: 8/24/17
Deposit received: 6/30/17	Approved date:
Reviewed: <i>[Signature]</i>	License number:

5-8
2.

DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **September 27 – October 31, 2017**

B. Actual dates racing will be held:

September: 29-30

October: 1, 5-9, 13-15, 19-22, 26-29

C. Total number of days or nights of racing: **19 Days**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing – Monday, October 9, Columbus Day

No Racing – Thursday, September 28 and October 12

E. Number of days or nights of racing per week: **4 days per week**

Exceptions: 3 days: September 29 and 30, October 1

3. RACING PROGRAM

A. Total number of races: **Estimated: Between 155 and 195**

B. Number of races for each day or night:

- **7 – 9 races on weekdays**
- **9 – 11 races on opening day, weekends and holidays**
- **Exceptions: Saturday, September 30th and October 7th will have 9 – 12 races**

C. Total number of stakes races: **21**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Exhibit 3D1

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Stakes renamed and decreased for 2017:

Sun, Oct 30	COMMA TO THE TOP STAKES (LURE STAKES @)	\$70,000-	3 & UP	1 M (T)
Stakes purse decreased for 2017: 2015-\$70 2016-\$75				
2017-\$70				
Sat, Sep 30	UNZIP ME STAKES	\$70,000-	F, 3 YO	abt 6 1/2 F (T)
Sat, Oct 7	SWINGTIME STAKES @	\$70,000-	F/M, 3 & UP	1 M (T)
Sun, Oct 15	SPEAKEASY STAKES	\$70,000-	2 YO	6 F

2017 Santa Anita AUTUMN STAKES

RACE DATE	RACE	2017 PURSE	2016 PURSE	2015 PUSE	AGE	DISTANCE	CLOSES
Fri, Sep 29	EDDIE D STAKES (G III)	\$100,000	\$100,000	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Sep 30	FRONTRUNNER STAKES (G I)	\$300,000	\$300,000	\$300,000	2 YO	1 1/16 M	
Sat, Sep 30	AWESOME AGAIN STAKES (G I)	\$300,000	\$300,000	\$300,000	3 & UP	1 1/8 M	
Sat, Sep 30	RODEO DRIVE STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/4 M (T)	
Sat, Sep 30	ZENYATTA STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/16 M	
Sat, Sep 30	CHANDELIER STAKES (G I)	\$300,000	\$300,000	\$300,000	F, 2 YO	1 1/16 M	
Sat, Sep 30	UNZIP ME STAKES	\$70,000+	\$70,000	\$70,000	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 1	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	\$200,000	did not run	3 & UP	1 1/4 M (T)	
Sat, Oct 7	CITY OF HOPE MILE (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 M (T)	
Sat, Oct 7	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	\$300,000	\$300,000	3 & UP	6 F	
Sat, Oct 7	SWINGTIME STAKES @	\$70,000+	\$70,000	\$70,000	F/M, 3 & UP	1 M (T)	
Sun, Oct 8	LA WOMAN STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 9	ZUMA BEACH STAKES	\$100,000	\$100,000	\$100,000	2 YO	1 M (T)	
Mon, Oct 9	SURER GIRL STAKES	\$100,000	\$100,000	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 14	CALIFORNIA DISTAFF	\$100,000	\$100,000	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 15	SPEAKEASY STAKES	\$70,000+	\$70,000	\$70,000	2 YO	6 F	
Sat, Oct 21	CALIFORNIA FLAG STAKES	\$100,000	\$100,000	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 22	ANOAKIA STAKES	\$70,000+	\$70,000	\$70,000	F, 2 YO	6 F	
Sat, Oct 28	TWILIGHT DERBY (G II)	\$200,000	\$200,000	\$200,000	3 YO	1 1/8 M (T)	
Sat, Oct 28	BIG BEAR STAKES	\$70,000+	\$70,000	\$70,000	3 & UP	1 MILE	
Sun, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	\$100,000	\$100,000	F, 3 YO	1 M (T)	

Supplemental nominations available for all stakes...

3. Identify the stakes races listed under item D1 that have been dropped or deleted and the reasons the stakes were dropped or deleted. **(Moved to Del Mar for Breeders' Cup)**

Stakes removed from schedule for 2017:

Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7F
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 UP	7F
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7F
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program**:

Race Number	Weekday	Weekend & Holidays		
1	1:00 p.m.	12:30 p.m.		
2	1:30 p.m.	1:00 p.m.		
3	2:00 p.m.	1:30 p.m.		
5	3:00 p.m.	2:00 p.m.		
6	3:30 p.m.	3:00 p.m.		
7	4:00 p.m.	3:30 p.m.		
8	*4:30 p.m.	4:00 p.m.		
9	*5:00 p.m.	4:30 p.m.		
10		*5:00 p.m.		
11		*5:30 p.m.		
12		*6:00 p.m.		
(*When Applicable)				

**Post times may vary by up to 30 minutes.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

- Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
- State where incorporated: **California**
- Registry or file number for the corporation: **#3481872**
- Names of all officers and directors, titles, and the number of shares of the corporation held

by each:

- Scott Daruty – (Director), 0 Shares**
- Keith Brackpool – Chairman (Officer), 0 Shares**
- Mike Rogers – Vice President, Operations (Officer), 0 Shares**
- Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**
- Jane Lynn – Assistant Secretary (Officer), 0 Shares**
- Scott Daruty – Vice President (Officer), 0 Shares**
- Joe Morris – Senior VP, West Coast Operations (Officer), 0 Shares**

6. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
7. Number of outstanding shares in the corporation: **100 Shares**
8. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, Assistant Secretary**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? N/A Yes No
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:

8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. **On file with the board.**

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool – Chairman
Joe Morris – Senior Vice President West Coast Operations
Scott Daruty – Vice President
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Rick Hammerle – Vice President, Racing and Racing Secretary
Nate Newby – Vice President, Marketing
Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting
Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Randy Hartzell – Director of Pari-Mutuels
Lou Scalera – Chief of Security
Pete Siberell – Director of Community Service and Special Projects



June 16, 2017

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.1, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2017 Autumn Meet, September 27, 2017 through October 31, 2017.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Super Hi-5 - \$1.00 minimum wager offered every race on each day's card subject to a 14% takeout rate.
- Players Pick-5 - A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

FDM:sm

cc: Joe Morris



June 28, 2017

Ms. Jackie Wagner
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

RE: Santa Anita (LATC II) 2017 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, September 27, 2017 through October 31, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
 President & CEO

cc: Eric Sindler
 Tom Varela
 Mary Saenz

OFFICERS

NICK ALEXANDER
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA. &
 SECRETARY/TREASURER
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 MIKE PEGRAM
 AT LARGE
 MIKE HARRINGTON
 AT LARGE
 ED MOGER
 AT LARGE

DIRECTORS

ALLEN ALDRICH
 BOB BAFFERT
 JOE CIAGLIA
 MARK DEDOMENICO
 RON ELLIS
 GARY FENTON
 TERRY LOVINGIER
 SAMANTHA SIEGEL
 KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
 PRESIDENT & CEO
 MARY FORNEY
 EXECUTIVE DIRECTOR
 LIZ MOREY
 NORTHERN CALIFORNIA LIAISON
 ELSA PERON
 ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM



June 28, 2017

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC II) 2017 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC II) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Autumn race meet, September 27, 2017 through October 31, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
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TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

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LIZ MOREY
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CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
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WWW.TOCONLINE.COM

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- 1. All races other than stakes:
Current meet estimate: \$ 5,119,542
Prior meet actual: \$ 5,268,321

Average Daily Purse (7 A1 ÷ number of days):
Current meet estimate: \$ 269,450
Prior meet actual: \$ 250,872

- 2. Overnight stakes:
Current meet estimate: \$ 350,000
Prior meet actual: \$ 375,000

Average Daily Purse (7 A2 ÷ number of days):
Current meet estimate: \$ 18,421
Prior meet actual: \$ 17,857

- 3. Non-overnight stakes:
Current meet estimate: \$ 2,684,000
Prior meet actual: \$ 2,776,600

Average Daily Purse (7 A3 ÷ number of days):
Current meet estimate: \$ 141,263
Prior meet actual: \$ 132,219

- 4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate: \$ 8,153,542
Prior meet actual: \$ 8,419,921

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
Current meet estimate: 05.6%
Prior meet actual: 07.2%

Average Daily Purse (7 B1 ÷ number of days):
Current meet estimate: \$ 7,895
Prior meet actual: \$ 9,524

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate: \$ 915,630
Prior meet actual: \$ 939,354

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:	Prior meet actual:
NTRA \$ 9,532	\$ 9,539

TOC	73,099	72,337
CTBA	58,482	59,067
CTT Pension	73,099	72,337
CTT Admin.	<u>36,549</u>	<u>36,169</u>
Total	\$ 250,761	\$ 249,449

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 9,319,933
Prior meet actual: \$ 9,608,724

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 490,523
Prior meet actual: \$ 457,558

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 3,688,083
Prior meet actual: \$ 3,714,021

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 194,110
Prior meet actual: \$ 176,858

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 4,711,748
Prior meet actual: \$ 4,782,314

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 247,987
Prior meet actual: \$ 227,729

H. Bank and account number for the Paymaster of Purses' purse account:

On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465 **Email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 850**
 - **SLR Training Center – 495**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
The 2016 Stabling and Vanning agreement is on file with the Board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.53 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarian's onsite during training hours and during racing hours.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta,

and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS
Example Race: \$1 E; \$1 Double

APPLICABLE RULES
CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **\$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket replaces the \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution October 29, 2017
- D. List any options requested with regard to exotic wagering: **N/A**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Keith Johnson
AmTote International Inc. Expires August 21, 2020
11200 Pepper Road
Hunt Valley, MD Phone: (800) 345-1566
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5; SuperH5
23.68%	TRI; SF; PK3; PK4; PNP6

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
Twinspires
TVG
NYRABets
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

Santa Anita Park Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		X
2	X	X	X	X	X	X	X		*	X
3	X	X	X	X	X	X			*	X
4	X	X	X	X	X	X	*		*	X
5	X	X	X	X	X	X	*		*	X
6	X	X	X	X	X	*	*		*	X
7	X	X	X	X	*	*	*		*	X
8	X	X	X	X	*	*	*		*	X
9	X	X	X	X	*	*	*		*	X
10	X	X	X	X	*	*	*			X
11	X	X	X	X	*	*	*			X
12	X	X	X	X	*	*				X
13	X	X	X	X	*					X
14	X	X	X	X						X

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(2)(i) ¹ ; ARCI 004-105(G)(16)(b)(i)	\$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 on all eligible races; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.

If yes, attach a copy of the approval. **To be provided to the board.**
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATCHI and SCOTWINC is on file with the Board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

- | | |
|------------------|------------------------------------|
| Arlington | Mountaineer Park |
| Assiniboia | Northlands |
| Australia Racing | NYRA - Aqueduct, Belmont, Saratoga |
| Canterbury | Parx (Philly Park) |
| Churchill Downs | Penn National |
| Delaware Park | Pimlico |
| Delta Downs | Portland Meadows |
| Ellis Park | Prairie Meadows |
| Emerald | Presque Isle |
| Evangeline | River Downs |
| Fort Erie | South America Racing |
| Gulfstream | Suffolk Downs |
| Hastings Park | Sunray Park |
| Indiana Downs | Tampa Bay |
| Keeneland | Thistledown |
| Laurel | Turf Paradise |
| Lone Star | Turfway Park |
| Louisiana Downs | United Kingdom |

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

~~Striders Norco (mini-satellite)
2895 Hammer Ave.
Norco, CA 92860~~

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

~~Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592~~

NORTHERN CALIFORNIA

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Golden Gate Fields
1101 Eastshore Highway
Berkeley, CA 94710

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

2016/17 Santa Anita
Commingled Locations

AmWest Entertainment	Evangeline Downs	Maronas (Uruguay)	Sol Mutuel
Amwest Accounts	Fair Grounds	Maryland Jockey Club	Sol Mutuel - Odds Broker
Greenbrier (WV)	Fair Grounds ADW	Meadowlands	Sol Mutuel - OTB
Couer D'Alene Casino (ID)	Fair Meadows	Meadows The	Barbados/Otrabanda
Triple Crown (SD)	Favorites at Gloucester	Meadows The (ADW) - PA regional	Southland Greyhound
Vapahoe-Mile High	Favorites at Vineland	Miami Valley Gaming & Racing	State Fair (Lincoln, NE)
Arima Race Club	Finger Lakes	Mobile Greyhound	Suffolk District OTB
Arlington I	Finger Lakes ADW	Monmouth Park	Suffolk Downs
Angar Raceway	Fonner Park	Montana OTB	Sunland Park
Batavia	Freehold	Monticello	SunRay Park & Casino
Belterra Park	Gillespie County Fair	Mountaineer Park	Tampa Bay Downs
Bettor Racing	Global Wagering Group	Nassau Regional OTB	Taunton ADW
Birmingham Greyhound	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Borgata Casino	Betsson, OneXTwo, German Tote	Newport Jai Alai	The Downs at Albuquerque
Buffalo Raceway	Racebets, Sportech Netherlands, SBO	New Jersey ADW (4NJBets)	Thistledown
Canterbury Park	Magna Bet, Pferdewetten, Danloto	Northfield Park	Tioga Downs
Capital District OTB	AHDI,Boylesports, Ladbrokes Australia	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greenetrack	Northville Downs	Turf del Norte (Mexico)
Charles Town Race Course	Greyhound at Post Falls	NYRA	Turf Paradise
Chester Downs (Harrah's Philly)	Gulf Greyhound	NYRA ADW	Turf Paradise Bets (ADW)
Churchill Downs	Gulfstream Park	Oaklawn Park	Turfway Park
Codere (Brazil)	Harrington Raceway	Oaklawn Park ADW	TVG
Columbus Raceway	HarringtonBets ADW (DE regional)	Ocean Downs	TVG High Volume
Connecticut OTB	Hawthorne Race Course	Panama	Twin River Greyhound
Bradley Teletheater, Bristol	Hawthorne ADW	PariBet ADW	TwinSpires
New Britain, Norwalk, Millford	Hazel Park	PARX	TwinSpires High Volume
East Haven, Hartford, Putnam	Hinsdale	PARX ADW	Velocity Wagering Ltd.
Shoreline Star, Sports Haven	Hoosier Park	Penn National	Venezuela OTB
Torrington, Waterbury	Horseman's Park	Penn National ADW	Vernon Downs
Manchester, New London	Horseshoe Council Bluffs (William Hill)	Peru	Virginia Equine Alliance
Williamantic, Sanford OTB	Idabet	Plainridge Race Course	WatchandWager
John Martin's Manor Restaurant	Indiana Downs	Plainridge ADW	Western OTB
Connecticut OTB ADW	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Coushatta Casino	Intermountain Racing	PMU (Brazil)	Will Rogers Downs
Paragon Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs ADW	Wyoming OTB
Ho-Chunk Casino	Keeneland	Pocono Downs/OTB	Wyoming Downs
Mohegan Sun Casino	Keeneland Select ADW	Portland Meadows	XpressBet
Oneida Bingo and Casino	Kentucky Downs	Potawatomi Casino/ OTB	Yonkers Raceway
Pony Bar Simulcast Center	Kentucky OTB	Prairie Meadows	Yonkers ADW (EmpireCityBets)
Tote Investment Racing	Les Bois (Treasure Valley)	Premier Turf Club	Youbet Group 1
Randall James Racetrack	Lewiston OTB's	Presque Isle Downs	Zia Park
Millenium Racing	Lien Games	Remington Park	123Gaming
Royal Beach Casino	Chips Lounge/Rumors OTB	Remington OTB Network	
Divi Carina Bay Casino	Howard Johnsons OTB	Retama Park	Separate Pool Locations
Winner's Circle	Skydancer Casino OTB	Racing & Gaming Services	Camarero (Puerto Rico)
Camouflage Gaming	X the Spot (Virgin Islands)	Rillito Park	Caymanas (Jamaica)
Corpus Christi Greyhound	Offtrackbetting ADW	Ruidoso Downs	Chile
Dayton Raceway	Lone Star	Running Aces Harness Park	Codere (Mexico/Spain)
Delaware Park	Louisiana Downs	Sam Houston	MIR Books (Caliente)
Delta Downs	LVDC	Valley Greyhound Park	NDS Books (Nevada)
Dover Downs	Atlantis Paradise Casino	Saratoga Harness Raceway	PMU (Brazil)
Dubuque (Iowa Greyhound)	Buffalo Thunder Resort	Saratoga Bets (ADW)	Tabcorp (Australia)
Elite Turf Club (1 to 19)	Foxwoods Resort Casino	Scarborough Downs	Tattsbet (Australia)
Ellis Park	Meskwaki Bingo & Casino	Scioto Downs	Turf TV
Emerald Downs	Mahoning Valley	Seabrook Greyhound	New Zealand Racing Board
		Seabrook Greyhound ADW	
Canadian Locations			
Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs			
Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs			
Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres			
Western Fair, Woodbine, Xpressbet Southern Alberta			

Mahoning Valley
Monmouth

Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2018
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Jim Porep, Jr., President Contract term: through July 31, 2020
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 Phone: 818/907-1900
Major video and camera equipment listing attached, Exhibit 14E.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
- | | |
|----------------------------------|---------------------------------------|
| 1 Chief of Security | 4 Part Time Investigators |
| 1 Secretary | 36 Security Guards |
| 1 Stable Security Manager | 13 Gatemen |
| 1 Supervisor Investigator | 1 Stable Credentials Secretary |
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
Attached (Exhibit 15B)
2. Detention Barns:
- Attach a plan for use of graded stakes or overnight races.
Attached (Exhibit 15B)
 - Number of security guards in the detention barn area during a 24-hour period.
Four Security Guards
 - Describe number and location of surveillance cameras in detention barn area.
Attached (Exhibit 15B)
3. TCO2 Testing:
- Number of races to be tested, and number of horses entered in each race to be tested.
Will follow CHRB staff directives.
 - Plan for enhanced surveillance for trainers with high-test results.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2020

Major Video Equipment Listing, June 2017

Broadcast Video Production Truck, Executive parking lot (E Lot)
 Avid HD graphics systems with Pegasus DataLinks interface software
 GVG HD Karrera 4ME Switcher

Recording systems:

3ea ZPlay HD video recorder, slow motion playback,
 3play 820,

Audio Calrec Board 120 input

Stewards Review System

HD Cameras, locations and equipment description

1. Pan camera 1: Sony HXC 100 triax chain Fuji 50x lens
2. Pan camera 2: Sony HXC 100 triax chain Fuji 50x lens
3. Dirt 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
4. Turf 7/8 tower: Sony HXC 100 triax chain Canon 50x lens
5. Dirt 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
6. Turf 3/8 tower: Sony HXC 100 triax chain Canon 50x lens
7. 1/4 pole tower: Sony HXC 100 triax chain Canon 33x lens
8. Turf Hill camera: Sony HXC 100 triax chain Canon 20x lens
9. Tote board: Sony HXC 100 triax chain Canon 20x lens
10. Shutter Camera, fixed Sony super slow motion at finish line
11. Walking ring (WR) 12ft jib, Sony HXC 100 triax chain Canon 50x lens
12. Walking ring tower: Sony HXC 100 triax chain Canon 50x lens
13. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
14. Winner's Circle/Finish Line (WC) 12' jib: Sony HXC 100 triax chain cannon 20 x lens
15. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens
16. RF Gate Camera: JVC Camera, proprietary wireless systems - 16x lens
17. Overhead Gate Camera 1: Proprietary RF remote, overhead gate break and follow
18. Overhead Gate Camera 2: Proprietary RF remote, overhead gate break and follow
19. Fixed tunnel camera: Pan Tilt Zoom
20. Saddling Barn West camera: Proprietary remote camera, "spider cam"
21. Saddling Barn East camera: Proprietary remote camera, "spider cam"
22. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
23. Fixed Pan tilt zoom Paddock (Saddling Barn) camera
24. Stewards 5/16th remote Pan tilt zoom

SANTA ANITA PARK Security Live Racing

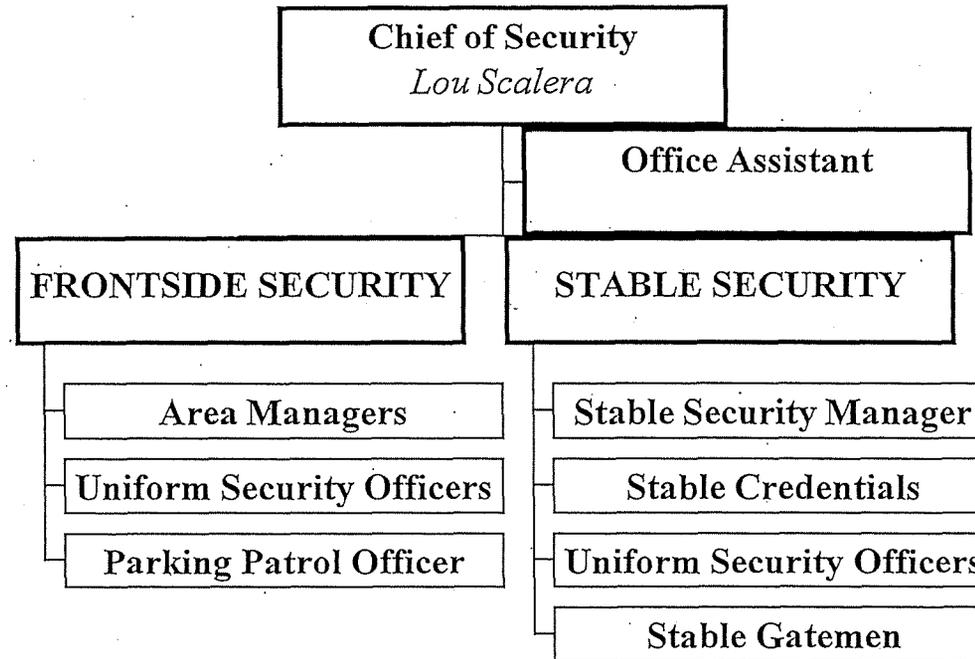


Exhibit 15B**DETENTION BARN PLAN**

(June, 2017)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

C. Describe the electronic security system:

Attached (Exhibit 15B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). **In addition to Exhibit 15B, Santa Anita Park has installed additional surveillance cameras to the backside and "gap," approximately 1,000.**

D. For night racing associations. Describe emergency lighting system: N/A

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit 16A

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

• **Los Alamitos:** Staff by Paramedics with support from Orange County Fire-paramedics. **Exhibit 16B**

• **SLR Training Center:** On track ambulance includes two paramedics. **Exhibit 16B**

C. Describe the on-track first aid facility, including equipment and medical staffing:

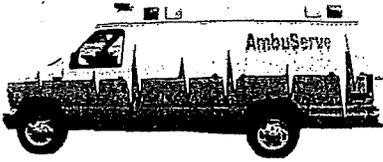
Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- **Yearly Jockey Physicals;**
- **Preventive and curative medical services for jockeys;**
- **Urgent care facility for jockeys;**
- **Urgent care for patrons and Santa Anita employees.**

STAFFING

- **Contracted Track Physician**



AmbuServe
Ambulance

5-33
Exhibit 16A

June 14, 2017

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales PM
Operation Manager
Paramedic Coordinator
(562) 841-4269

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

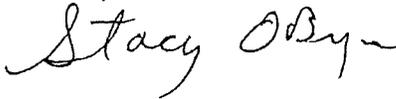
May 3, 2017

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

June 11, 2017

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W. Huntington Drive

RE: Ambulance Certification Letter

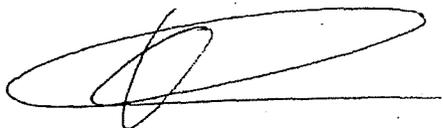
To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. Moreover, all required Paramedic certifications and/or licenses are updated on an annual basis. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). More importantly, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations
Mercy Medical Transportation, Inc.

- **Contracted Paramedics (on the track) and EMT's (2 in First Aid)**
- **Licensed Emergency Ambulance**

EQUIPMENT

- **Basic First Aid Supplies (i.e. bandages and wound care);**
- **Oxygen equipment;**
- **Backboard, cervical collars, and splints;**
- **Blood pressure monitoring.**

AVAILABILITY

- **When gates open until after last race**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association sees D (1) :

Dr. Heriberto Nunez Phone: (310) 780-1495
409 N. Stoneman Avenue
Alhambra, CA 91801

Dr. Davis Skinner Phone: (323) 567-2425
10010 California Avenue
South Gate, CA 90280

Dr. Tri Minh Vo Phone: (734) 277-3087
10107 Valley Boulevard
El Monte, CA 91731

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital Phone: (626) 445-4441
300 W. Huntington Drive
Arcadia, CA 91007

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital Phone: (626) 445-4441
300 W. Huntington Drive
Arcadia, CA 91007

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 16F

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Lou Scalera, Chief of Security

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Exhibit 16H attached.

I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Liberty Mutual Insurance Company
PO Box 989000



**EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS.**

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41 Paramedics” which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



“ON-SITE EVALUATION”

- Based on the Paramedics “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
 - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
 - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
 - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys’ Room or be taken off his mounts for the remainder of the day.
 - If more than two jockeys need to be transported to the hospital, Arcadia Fire



PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41 Paramedicos” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41 Paramedicos.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
 - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
 - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
 - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Cuidad de Arcadia 911.
 - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



City of Arcadia

June 20, 2017

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire Department

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

Michael Lang
Fire Chief

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2017 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Joe Morris, Senior Vice President
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.

West Sacramento CA 95798
Policy # WC2 B71-170912-015 Expires 6/30/2017

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 16J 2017/2018 certificate will be submitted upon receipt, July 1, 2017.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Jeff Bohland
5090 Montville Trail
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Toby Turrell
532 1/2 D Via De La Valle
Solana Beach, CA 90275

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o John Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
5790 Miramar Road, Suite 203
San Diego, CA 92121

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)
Owned by Today's Racing Digest, Inc.



Certificate of Insurance ⁵⁻⁴¹

No.: 2017-99-ANITA

Dated: June 30, 2017

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
---	--

This certificate is issued regarding:
Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-15	Jun 30, 2017 to Jun 30, 2018	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-017	Jun 30, 2017 to Jun 30, 2018	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 1-844-990-2378 Fax: - Certs.Stronach@marsh.com	Marsh Canada Limited  By: _____ Matthew Warnholtz
---	---

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided to the board.**
Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:

- 10* - Group Sales handicapping aides to assist newcomers
- 11* - Food and Beverage hostess's (11 weekends, 4 weekdays)
- 16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

- 1. Horsemen
Enhanced video system installed throughout the barn area providing 24 hour surveillance of each shedrow.
- 2. Fans
- 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$5.00	Sold on premium days only.
CH Box Seat	\$10.00	Non-Premium Days
	\$30.00	Premium Days
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)

Parking (valet)	\$10.00
Programs (on-track)	\$2.50
Programs (off-track)	\$2.50

B. Describe any "Season Boxes" and "Turf Terrace Membership" fees:

Season Box Seat: \$ N/A

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="checkbox"/> 40+ |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:
Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **3%**
 The percent of cross slope in the center of the turns is: **5%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Dennis Moore, Track Superintendent

- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file

- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
Will be forwarded to the board upon completion.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file with the board.**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris

Print Name



Signature

Senior Vice President West Coast Operations

Print Title

6-29-17

Date

STAFF ANALYSIS
August 24, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS OCTOBER 18, 2017 THROUGH DECEMBER 19, 2017.

- Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) October 18, 2017 through December 19, 2017, or 32 days, the same number of days as 2016. PRA proposes to race a total of 258 to 324 races, or 8.06 to 10.13 races per day. Live racing will commence October 19, 2017 through December 10, 2017. In the fall of 2016, PRA ran 8.38 races per day with an average of 7.34 runners per race. The average daily purse for the 2016 fall race meeting was \$158,826. The (estimated) average daily purse for this meet is \$158,401.

October – 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

November – 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December – 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing 4 days per week, Thursday through Sunday.
- 7 to 9 races on weekdays; 9 to 11 races on weekends with the following exceptions:
 - Friday, November 3, 2017 will have 8-10 races
 - Saturday, November 4, 2017 will have 9-12 races
 - Thursday, November 23, 2017 will have 7-10 races
 - Friday, November 24, 2017 will have 8-10 races
- First post 12:45 p.m. daily with the following exceptions:
 - Friday, November 3, 2017 Breeders' Cup 12:05 p.m. (subject to change)
 - Saturday, November 4, 2017 Breeders' Cup 11:40 a.m. (subject to change)
 - Thursday, November 23, 2017 Thanksgiving 11:15 a.m.
- Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Advance wagering offered Friday, November 3, 2017 for Breeder's Cup.
 - Pick (n) contests – requests that Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests.
 - \$2 Rolling Daily Double on all eligible races - 20% takeout.
 - \$1 Pick 3 on all eligible races; \$.50 Trifecta on eligible races; \$.10 Superfecta on eligible races; \$1 Exacta on all eligible races.
 - \$.50 Pick (n) Pool 4 on races 2-5 and last four races each day. No consolation; 100% payout; Alternate runner provision.

- \$.50 Pick (n) Pool 5 on first five races; 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. Request 14% takeout.
 - \$.20 Pick (n) Pool 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
 - \$1 Super High Five on all eligible races; selecting the first five finishers in the race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover to next race (including to the next race day, if applicable). Request 14% takeout.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, and NYRAbets.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604:

- XpressBet, LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpire.
- ODS Technologies, L.P. dba TVG Network
- NYRAbets.com, LLC

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpire and in 2016 with NYRAbets.com are still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information still needed to complete this application includes:

1. Thoroughbred Owners of California approval letter for the Super Hi-5 at 14% takeout.

RECOMMENDATION:

Staff recommends that the application not be heard at the August 24, 2017 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**

**1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
calvin.rainey@goldengatefields.com**

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **October 18, 2017 through December 19, 2017**

B. Actual dates racing will be held: **October 19-22, 26-29; November 2-5, 9-12, 16-19, 23-26, 30; December 1-3, 7-10**

C. Total number of days or nights of racing: **32 days**

CHRB CERTIFICATION

Application received: 7/17/17
Deposit received: on file
Reviewed: nfg

Hearing date: 8/24/17
Approved date:
License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: No racing 12/14 through 12/17/17

Dark days: Monday 12/18 and Tuesday 12/19/17

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **Estimated: 258 – 324**

- C. Number of races for each day or night:

- 7 – 9 races on weekdays
- 9 – 11 races on weekends
- **Exceptions:**
 - Friday, November 3rd will have 8 – 10 races
 - Saturday, November 4th will have 9 – 12 races
 - Thursday, November 23rd will have 7 – 10 races
 - Friday, November 24th will have 8 – 10 races

- C. Total number of stakes races: **8 (4 Non-Overnight Stakes, 4 Overnight Stakes)**

- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

PRA/GGF FALL 2017

(October 19 – December 10, 2017)

STAKES SCHEDULE

PIKE PLACE DANCER

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Saturday, October 28

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 11

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 18

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Friday, November 24

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Saturday, November 25

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 2

BEAR FAN

\$75,000 Guaranteed

(Includes \$25,000 CBRF supplement)

Fillies & Mares 3yo & up Cal Bred–6 Furlongs

Saturday, December 9

PRA/GGF FALL 2016

(October 20 – December 11, 2016)

STAKES SCHEDULE

PIKE PLACE DANCER

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Saturday, October 29

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 12

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 19

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Saturday, November 25

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Saturday, November 26

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 3

BEAR FAN

\$75,000 Guaranteed

(Includes \$25,000 CBRF supplement)

Fillies & Mares 3yo & up Cal Bred–6 Furlongs

Sunday, December 4

PRA/GGF FALL 2015

(October 22 – December 13, 2015)

STAKES SCHEDULE

PIKE PLACE DANCER

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Sunday, November 1

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 7

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 14

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Saturday, November 28

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Sunday, November 29

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 5

NOT APPLICABLE -- NEW IN 2016

PRA/GGF FALL 2017
(October 19 – December 10, 2017)
STAKES SCHEDULE

MISS AMERICA
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up – 1 1/16 Turf
Sunday, December 10

PRA/GGF FALL 2016
(October 20 – December 11, 2016)
STAKES SCHEDULE

MISS AMERICA
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, December 10

PRA/GGF FALL 2015
(October 22 – December 13, 2015)
STAKES SCHEDULE

MISS AMERICA
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Sunday, December 13

F. List all post times for the daily racing program*:

Race	Post Time Daily Except 11/3, 11/4, and 11/23
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 th (when applicable)	5:15 p.m.
11 th (when applicable)	5:45 p.m.
12 th (when applicable)	6:15 p.m.

Exceptions:

Friday, November 3, 2017	Breeders' Cup	12:05 p.m. (subject to change)
Saturday, November 4, 2017	Breeders' Cup	11:40 a.m. (subject to change)
Thursday, November 23, 2017	Thanksgiving	11:15 a.m.

*Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares

Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the Board**
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
- Keith Brackpool, Chairman**
Joe Morris, Senior Vice President West Coast Operations
Calvin J. Rainey, Vice President and General Manager
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Sheila Jane Lynn, Assistant Secretary
Merry Scalzo, Director of Administration
Mark Ventresca, Director of Hospitality and Sales
Doug Gooby, Mutuel Manager

Patrick Mackey, Director of Racing/Racing Secretary
Bob Hemmer, Director of Operations
Marciano "Rocky" Alado, Public Safety Director
Frank Mirahmadi, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**
Daily Double **20%**
Super Hi-5 **14%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

Golden Gate Fields

July 6, 2017

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

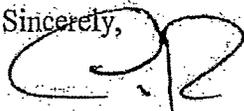
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA fall race meet, October 18, 2017 through December 19, 2017.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

Golden Gate Fields

July 17, 2017

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

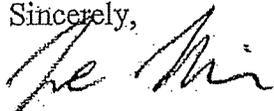
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting a takeout rate, as set forth below, to be offer during PRA's fall race meet, October 18, 2017 through December 19, 2017.

- **Super Hi-5** – a \$1 minimum wager, offered every eligible race on each day's race card subject to a **takeout rate of 14%**.

For reference, the Super Hi-5 is noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Joe Morris

Senior Vice-President, West Coast Operations



June 29, 2017

OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2017 Fall Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, October 18 - December 19, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Cal Rainey
Mary Scalzo

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

6-15



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

June 29, 2017

OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2017 Fall Race Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Summer meet from October 18 - December 19, 2017. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2016 (10-19 - 12/20/16)	\$ 10,580,154.00	50,420 (32 live race days)
2015 (10-21 - 12/22/15)	\$ 10,610,110.00	53,055 (32 live race days)
2014 (10/16 - 12/23/14)	\$ 12,044,708.00	59,935 (35 live race days)
2013 (10/17 - 12/24/13)	\$ 13,074,833.00	68,675 (36 live race days)
2012 (10/17 - 12/25/12)	\$ 13,070,451.20	71,568 (35 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

- A. Purse distribution: *Prior meet represents October 19, 2016 through December 20, 2016 (32 race days)*

1. All races other than stakes:

Current meet estimate: \$ 4,058,880.00

Prior meet actual: \$ 4,093,870.72

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 126,840.00

Prior meet actual: \$ 127,933.46

2. Overnight stakes:

Current meet estimate: \$ 200,000.00

Prior meet actual: \$ 200,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 6,250.00

Prior meet actual: \$ 6,250.00

3. Non-overnight stakes:

Current meet estimate: \$ 275,000.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 249,650.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 8,593.75

Prior meet actual: \$ 7,801.56

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 4,533,880.00

Prior meet actual: \$ 4,543,520.72

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **10.5 %**

Prior meet actual: **9.6 %**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.33 %**

Prior meet actual: **.30 %**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$ 370,222.00**

Prior meet actual: **\$ 378,511.47**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC (NTRA contribution) \$ 67,835.64

TOC \$ 38,763.22

CTT (Administration) \$ 19,381.61

CTT (Pension) \$ 38,763.22

Total \$164,743.69

Prior meet actual:

TOC (NTRA contribution) \$ 66,054.87

TOC \$ 37,745.64

CTT (Administration) \$ 18,872.82

CTT (Pension) \$ 37,745.64

Total \$160,418.97

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 5,068,845.69**

Prior meet actual: **\$ 5,082,451.16**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 158,401.43**

Prior meet actual: **\$ 158,826.60**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$ 3,273,086.00**

Prior meet actual: **\$ 3,089,847.46**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 102,283.94**

Prior meet actual: **\$ 96,557.73**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 1,228,926.00**

Prior meet actual: **\$ 1,318,869.56**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$ 38,403.94**

Prior meet actual: **\$ 41,214.67**

- H. Bank and account number for the Paymaster of Purses' purse account: **On file**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **December 10, 2017 for the period of October 19, 2017 through December 10, 2017**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advanced wagering on Breeders' Cup (Saturday) will be offered Friday, November 3, 2017 [time will depend on Del Mar's first post].
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote International expiration date of October 2020 with option to extend contract to October 2025

***PRA II/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES***

**Attachment to PRA II/Golden Gate Fields License Application
Section 9A (1)**

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

TBD – Rotating schedule amongst list from exhibit A

Golden Gate Fields Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		X
2	X	X	X	X	X	X	X		*	X
3	X	X	X	X	X	X			*	X
4	X	X	X	X	X	X	*		*	X
5	X	X	X	X	X	X	*		*	X
6	X	X	X	X	X	*	*		*	X
7	X	X	X	X	*	*	*		*	X
8	X	X	X	X	*	*	*			X
9	X	X	X	X	*	*	*			X
10	X	X	X	X	*	*				X
11	X	X	X	X	*					X
12	X	X	X	X						X

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$.50 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races each day; No consolation; 100% payout; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(2)(g) ¹ ; ARCI 004-105(G)(16)(b)(i)	\$.20 Pick 6 on the last six races each day; If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 on all eligible races; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race (including to the next race day, if applicable).

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

**WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%;
 PNP5-14.00%; PN6-23.68%; Super H5-14.00%**

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet
TwinSpires (BetAmerica is not included)
TVG
NYRABets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the Board

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file with the Board

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2017 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Attached**

Pari-Mutuel Satellite Supervisor Contact List - Day Meets

Southern California Locations:

Location	Bakersfield-Firehouse	Location	OC Tavern Sports Bar and Grill
Address	7701 White Lane	Address	2369 S. El Camino Real
City	Bakersfield, CA 93307	City	San Clemente, CA 92672
Location	Bonita-58 Flat	Location	Original Roadhouse Grill / Santa Maria
Address	4110 Bonita Road	Address	1423 S. Bradley Road
City	Bonita, CA 91902	City	Santa Maria, CA 93454
Location	Cabazon-Fantasy Springs Casino	Location	Sammy's Original
Address	84-245 Indio Springs Drive	Address	23221 Lake Center Drive
City	Indio, CA 92201	City	Lake Forest, CA 92630
Location	Commerce Casino Racebook	Location	Santa Anita
Address	6131 East Telegraph Road	Address	285 W. Huntington Drive
City	Commerce, CA 90040	City	Arcadia, CA 91007
Location	Fairplex Park	Location	Santa Clarita
Address	2201 North White Avenue	Address	21615 W. Soledad Canyon Road
City	Pomona, CA 91768	City	Saugus, CA 91350
Location	Hollywood Park	Location	Striders
Address	3383 W. Century Blvd.	Address	100 Harbor Drive, Suite 100
City	Inglewood, CA 90303	City	San Diego, CA 92101
Location	Lake Elsinore Hotel & Casino	Location	Surfside Race Place at Del Mar
Address	20930 Malaga Road	Address	2260 Jimmy Durante Blvd
City	Lake Elsinore, CA 92530	City	Del Mar, CA 92014
Location	Lake Perris-Sports Pavillion at The Farmer's Fair	Location	Thousand Oaks -Tilted Kilt
Address	18700 Lake Perris Drive	Address	1345 E. Thousand Oaks Blvd.
City	Perris, CA 92571	City	Thousand Oaks, CA 91360
Location	Lancaster-Watch and Wager, Antelope Valley Fair	Location	Ventura-The Derby Club
Address	2551 West Avenue - H	Address	10 West Harbor Blvd.
City	Lancaster, CA 93536	City	Ventura, CA 93001
Location	Los Alamitos	Location	Victorville-San Bernardino Fair Sports Pavilion
Address	4961 Katella Avenue	Address	14800 7th Street
City	Los Alamitos, CA 90720	City	Victorville, CA 92395
Location	National Orange Show-Sports Show	Location	Viejas Casino & Turf Club
Address	930 South Arrowhead Avenue	Address	5000 Willows Road
City	San Bernardino, CA 92408	City	Alpine, CA 91901
Location	Ocean's 11	Location	Pechanga Resort and Casino
Address	121 Brooks Street	Address	45000 Pechanga Pkwy
City	Oceanside, CA 92054	City	Temecula, CA 92592

Northern California Locations

Location **Club One-Fresno**
 Address 1033 Van Ness Avenue
 City Fresno, CA 93721

Location **San Jose-Santa Clara Fair**
 Address 344 Tully Road
 City San Jose, CA 95111

Location **Fresno-The Big Fresno Fair**
 Address 1121 Chance Avenue
 City Fresno, CA 93702

Location **San Mateo-The Jockey Club**
 Address 2495 S. Delaware Street
 City San Mateo, CA 94403

Location **Golden Gate Fields**
 Address 1100 Eastshore Highway
 City Albany, CA 94710

Location **Santa Rosa-Sonoma County Fair**
 Address 1350 Bennett Valley Road
 City Santa Rosa, CA 95404

Location **Monterey-Monterey County Fair**
 Address 2004 Fairgrounds Road
 City Monterey, CA 93940

Location **Stockton-San Joaquin County Fair**
 Address 1658 South Airport Way
 City Stockton, CA 95206

Location **Pleasanton-Alameda County Fair**
 Address 4501 Pleasanton Avenue
 City Pleasanton, CA 94566

Location **Vallejo-Solano County Fair**
 Address 900 Fairgrounds Drive
 City Vallejo, CA 94589

Location **Sacramento-California State Fair**
 Address 1600 Exposition Blvd
 City Sacramento, CA 95815

2017 Golden Gate
Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Sojoto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Soj Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monicello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Beltor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Int'l Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	VeLOCITY Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Polawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB		Remington OTB Network
Tote Investment Racing	Skydancer Casino OTB	Relama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Callente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tallsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwakl Bingo & Casino		
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering,

interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjoldal
Assistant Clerk of Scales	Ross Allardyce
Clerk of the Course	Tina Walker-Bryant
Placing Judge/Stakes Coordinator	Lisa Jones
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Agustin Rayas
Paddock Judge	Linda Anderson
Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Film Analyst	Chad Schvaneveldt
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike
- B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Patricia Prospero

Others (identify by name and title)

Main Track Superintendent

Juan Meza

Turf Track Superintendent

Bob Hemmer

Price Maker

Steve Martinelli

Stable Superintendent

Carrie Fawcett

Steward's Secretary/Horsemen Liaison **Marci Knight**

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien; Expires: December 31, 2019**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
**Marciano "Rocky" Alado, Public Safety Director is responsible for security on-site
Security Department organizational chart on file with Board
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
Marciano "Rocky" Alado cell phone number (510) 499-9364**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**
- | | |
|-------------------------------------|---------------------------------------|
| 1 – Public Safety Director | 5 – Security Corporals/Guards |
| 1 – Security Lieutenant | 7 – Stable Security Officers |
| 3 – Security Sergeants | 5 – Stable Gate Personnel |
| 1 – Stable Security Sergeant | 5 – Fire Guards |
| 1 – Fire Guard Lieutenant | 5 – Contracted Security Guards |
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

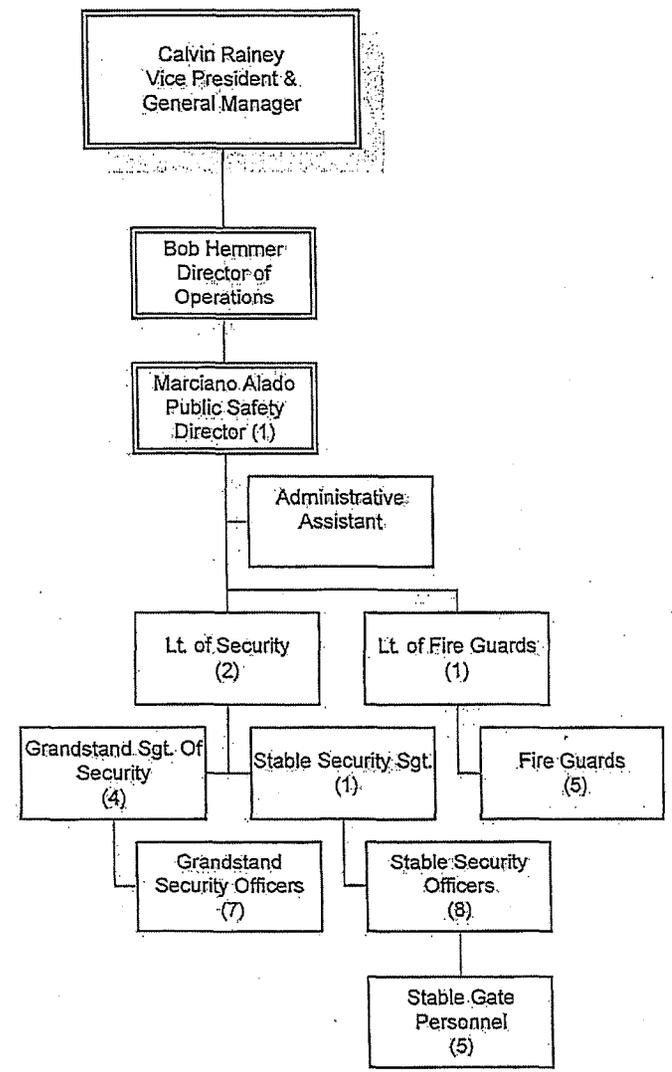
**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

There are a total of 13 high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Finish Line shutter camera
Paddock (Gliding)
(2) Pan Cameras on Roof
Jib camera in Paddock
(2) Starting Gate (Robo) – Front and Back of Starting Gate
Parking Lot (Robo-scenic)
5/16 Pole
7/8 Turf Camera

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to Marciano "Rocky" Alado, Public Safety Director which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

**Attachment to Golden Gate Fields License Application
15B (2) and 15C (1):**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation on file with the Board – 1 Paramedic and 1 EMT (1A) ambulance staff

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

N/A

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association sees D (1) :

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st
Street, Oakland, CA 94602 (510) 437-4865**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Albany fire clearance letter attached valid through December 31, 2017

Berkeley fire clearance letter attached valid through May 28, 2018

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 18, 2016

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

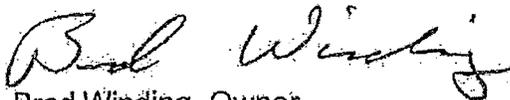
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



**DESCRIPTION OF GGF MEDICAL CLINIC
(LIVE RACING)**

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon Request from track management or CHRB.

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen off his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.
5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that

include blood testing and drug testing as proscribed by the California Horse Racing Board.

6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.
14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields



EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



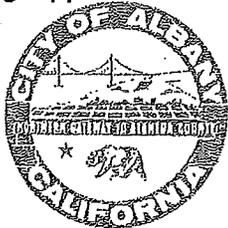
"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.



City of Albany

1000 SAN PABLO AVE. • ALBANY, CALIF. 94706 • TELEPHONE (510) 528-5770 • FAX (510) 528-5774

FIRE DEPARTMENT

Roy Roenbeck
 Environmental Health & Safety Director
 Pacific Racing Association/Golden Gate Fields
 1100 Eastshore Highway
 Berkeley, CA 94710

January 12, 2017

Mr. Roenbeck,

Inspectors from the Fire Prevention Office of the Albany Fire Department conducted a Fire and Life Safety Inspection on January 3, 2017. The purpose of this inspection was for determination the facility is prepared for public attendance in the upcoming racing season as well as ensuring a safe working environment for GGF employees. Most areas of the facility within the jurisdiction of the City of Albany were inspected with the following exceptions: the GGF Maintenance/Corp Yard; Track Equipment Operators break shack; track Steward's observation towers; AND several locked rooms within the Grand Stand and Office structure in which the GGF Fire Watch has no keyed access (these were rooms with unapproved lock changes and/or offices requiring high level security)

RECOMMENDATION: Do not allow anyone to change locks or add padlocks without permission from Safety Director; ensure Fire Watch and Security Personnel are equipped with keyed access to every space on the premises. AFD Fire Inspectors will be returning for follow up inspection when the facility is in operation to observe patron behaviors during business hours. Entry to locked areas will be checked at this time utilizing Fire Watch or Security personnel.

During the inspection, it became evident there is confusion with the inventory and locations of exit and egress lighting. Accountability issues and non-operational exit signs/emergency lighting were judged excessive during the January 3rd inspection, especially since this inspection was planned (not a surprise visit.)

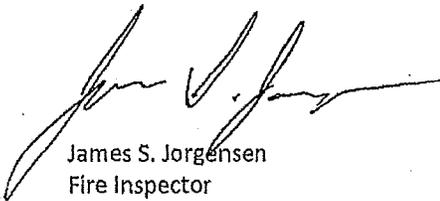
Additionally, it was noted that there are approximately two dozen exit signs that are not battery backed up. These units are 110-115v ac only. It is important to note that GGF employs three backup generators. These generators are utilized for the uninterrupted operation of the facility characterized as entertainment with food and beverage service together with the various gambling services associated with horse racing activities, all of which rely on electricity. In the event of a fire, the circuits that supply energy to exit signs and/or egress lighting units have the potential for failure due to heat and/or direct flame impingement, backup generators cannot ensure their operation. Only battery backed-up units, by design, will guarantee uninterrupted service.

RECOMMENDATION: We suggest employing an identification system where each device is labeled with a unique number together with a listed location. During servicing and testing, it would be easy to affix an adhesive tag to the unit and by providing different colors to the tags for each level of service or date of service, immediate recognition of which devices lack needed attention would be evident. Additionally, begin a program of replacing exit signs that do not contain battery backup with styles that do include batteries and perhaps consider standardizing with styles that are combination exit and egress lights.

Golden Gate Fields is over 75 years old and occupies approximately 140 acres. The grandstand and associated decks can easily accommodate upwards of 15 thousand patrons on a daily basis. That said, the facility is well maintained and has a history of safe operation. The administrative staff runs efficiently and has outstanding rapport with the various City of Albany Departments. Communication between GGF and the Albany Fire Department has a long history of excellent cooperation.

Attached you will find a partial list of Inspectors findings from the January 3rd inspection. It is incomplete due to several areas our crew could not gain access to during our tour of the facility. Fire Clearance is hereby granted to The Pacific Racing Association/Golden Gate Fields for a term ending on December 31, 2017. This Clearance is conditional based on the following items: It is expected that maintenance staff with GGF will be actively involved with testing and upgrading the deficiencies identified with exiting and egress lighting systems; correction of the lack of access to several spaces noted in the attached report and vigilance with prevention of future access issues; Albany Fire Department Inspectors will continue to periodically inspect areas of the facility not yet observed for this period of operation with any findings reported to the Safety Director for correction.

Feel free to contact the Fire Prevention Office for any questions.



James S. Jorgensen
Fire Inspector
Albany Fire Department



Department of Fire and Emergency Services
Division of Fire Prevention

May 31, 2017

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 (main office)
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, April 10, 2017, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, May 25, 2017. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank you for all the hard work you and Camillo Lopez's security team put into correcting the fire code violations and making the horse stables and associated buildings fire code compliant.

Sincerely,

Dorl Tieu
Fire Prevention Inspector, City of Berkeley Fire Department

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-017 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2018. Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Tip Sheets** **West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510**
- Armored Car** **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
- Track Physician** **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program** **Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706**
- Starting Gate** **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
- Track Photographer** **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: **On file with the Board**
- B. Promotional/ Marketing budget for this race meeting: **On file with the Board**
Promotional/Marketing budget for prior race meeting: **On file with the Board**

Certificate of Insurance

No.: 2017-38-PACIF

Dated: June 30, 2017

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder:

California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825
Attn.: Insurance Department

Named Insured and Address:

445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries
455 Magna Drive
Aurora, ON L4G 7A9

Subsidiary/Divisions:
Pacific Racing Association
1100 Eastshore Highway
Albany, CA 94706

and

Pacific Racing Association II
dba Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/ Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY • Non-Owned Automobile	Zurich American Insurance Company	GLO 9302183-15	Jun 30, 2017 to Jun 30, 2018	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912- 017	Jun 30, 2017 to Jun 30, 2018	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
Suite 800
Toronto, ON M5J 0A8
Telephone: 1-844-990-2378
Fax: -
Certs.Stronach@marsh.com

Marsh Canada Limited



By:

Matthew Warnholtz

C. Number of hosts and hostesses employed for meeting:

- 1 Customer Service Representative**
- 5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Added 69 stalls (5 new barns) complete with sprinklers and alarms, 12 tack rooms to barn area, and nine (9) new hay storage sheds
- Upgrading entire fire alarm system in the barn area
- Purchased new BLS (basic life support) ambulance for racing and morning training
- Adding surveillance cameras in the barn
- Purchased new turf course vacuum and mower to enhance yearly renovations
- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Added storage room to the Recreation Hall for CTT usage
- Adding AED unit to backside Security office
- Replaced old tubes on turf course to allow easier movability
- Ordered new barn area parking lot signage
- Installed new light pole/lights in Groom's parking lot
- Continuation of laundry service for the Jockey's Room by GGF
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Electrical repair work completed in barn area
- Winners Foundation trailer relocated in barn area with new electrical
- Completed the winter/spring maintenance to the Tapeta® surface in January, April, and June 2017
- Continual roadway, horse paths, and barn repairs

2. Fans

- Pegasus Communication high definition (HD) conversion; on-site and off-site HD broadcast
- Jib camera in Paddock will be used for Winners' Circle, Paddock, crowd, and beauty shots
- Implemented new GGF television graphics with the rollout of HD broadcast to

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="23"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

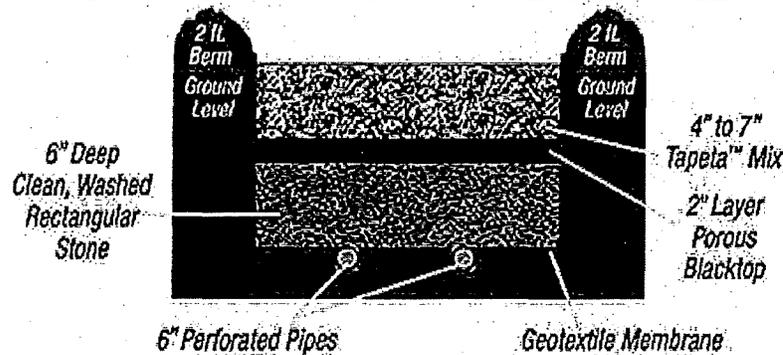
- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **140**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta ® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

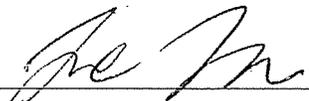
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement – on file with the board
CTT agreement – on file with the board
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

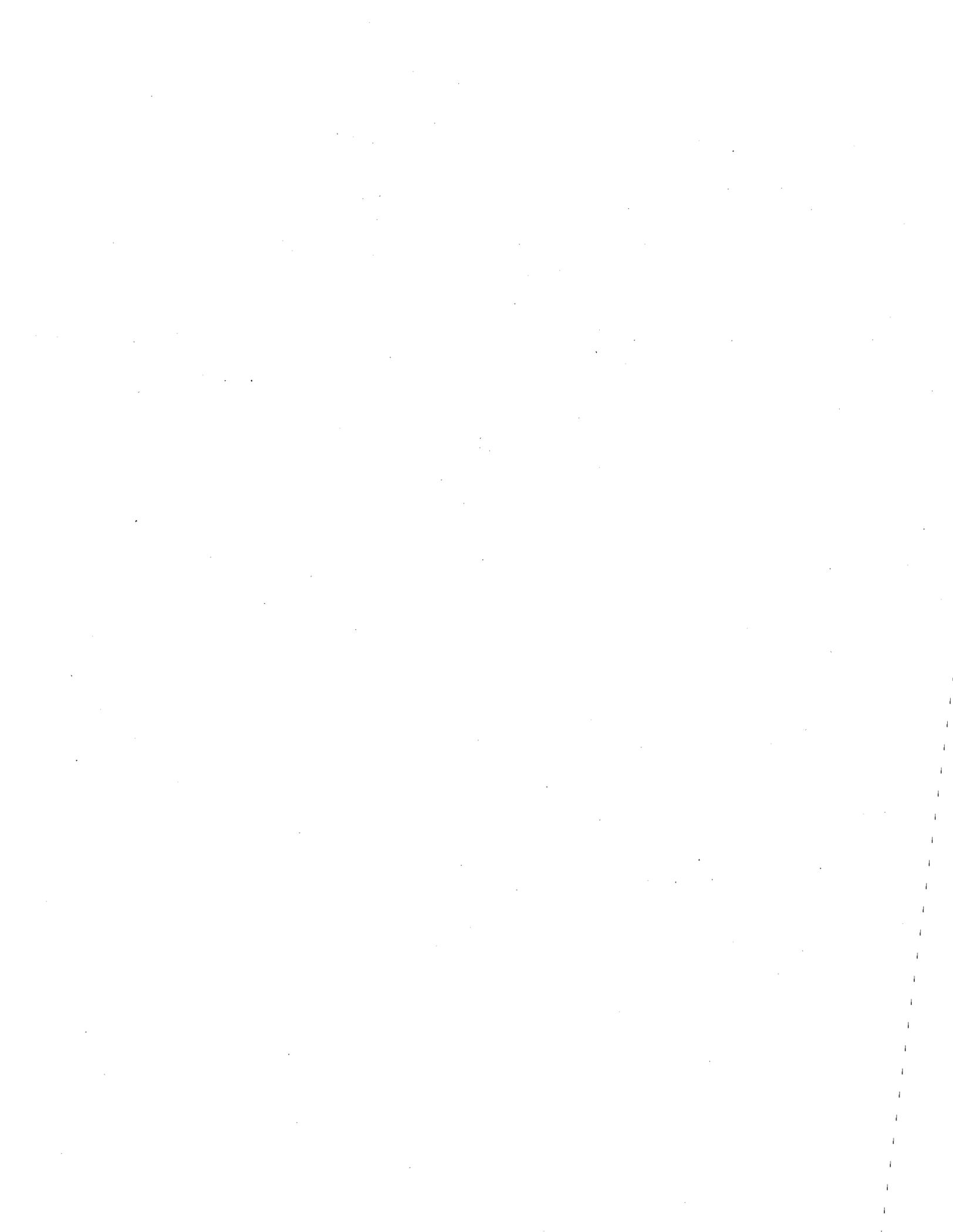
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name


Signature

Senior Vice President West Coast Operations
Print Title

7-17-17
Date



STAFF ANALYSIS
August 24, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE WATCH AND WAGER, LLC AT CAL EXPO OCTOBER 1, 2017 THROUGH DECEMBER 24, 2017.

Watch and Wager.com, LLC (WAW) is applying to run a harness race meeting at Cal Expo October 1, 2017 through December 24, 2017 or 15 days; the same as 2016. Live racing will begin October 28, 2017. WAW proposes to race a total of approximately 210 races. The (actual) average daily purse for the Watch and Wager 2016 meet during the same time period was \$46,431. The (estimated) average daily purse for this meet is \$47,167.

- The race dates proposed are the dates allocated:

October - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

November - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing two nights per week, Fridays and Saturdays, except opening week will race Saturday, October 28, 2017 only.
- First post 6:10 p.m.
 - Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.
 - Racing concurrently with Pacific Racing Association October 28, 2017 through December 19, 2017 and Del Mar November 3, 2017 through November 25, 2017.
 - Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board; it expires September 1, 2018.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will begin at 10:00 a.m. each live race day.
 - \$0.20 Pick n Pool 4 races 10 through 13, 16% takeout, 90% carryover if no correct tickets with four winners, 10% minor pool payout.
 - \$0.50 Pick n Pool 5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% minor pool, 75% carryover if no tickets correctly select five winners.
 - \$.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no winning ticket.
 - \$0.50 Pick (n) Pool 6 on races 6 through 11. 70% major pool, 30% minor pool. 70% carryover if no correct tickets with six winners are sold.
 - \$30,000 guaranteed Pick n Pool 4 Fridays.
 - \$40,000 guaranteed Pick n Pool 4 Saturdays.
- WAW is requesting a takeout reduction for two wagers on its nightly harness racing program. The proposed reduction is being sought for the \$0.50 Pick 5 and the \$0.20 Pick 4. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the two wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Specific change from the 2016 license application:
 - On-track program from \$3.50 to \$4.00
 - Off-track program from \$4.00 to \$4.50
- Advance Deposit Wagering (ADW) providers are BetAmerica, NYRAbets, TVG, TwinSpire, Watch and Wager, and XpressBet.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California:*

- Churchill Downs Technology Initiatives Company, dba BetAmerica.
- NYRAbets, LLC.
- ODS Technologies, L.P. dba TVG Network.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.
- Watch and Wager.com LLC.
- XpressBet LLC. dba XpressBet.com

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires and in 2016 with Watch and Wager and NYRAbets, LLC are still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Association Veterinarian
2. Letter of explanation

RECOMMENDATION:

If the outstanding item is not received by Friday, August 11, 2017, Staff recommends that the application not be heard at the August 24, 2017 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, Ca.94939 Ed Comins, ecomins@watchandwager.com 925-336-4499

B. Breed of horse: TB QH H

C. Racetrack name: California Exposition & State Fair (Cal Expo)

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On file with Board.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond is on file with the Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: October 1, 2017 thru December 24, 2017

B. Actual dates racing will be held: October 28, November 3,4, 10, 11, 17,18, 24,25 December 1,2, 8,9 15,16.

C. Total number of days or nights of racing: 15 (Fifteen) Calendar Attached.

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Friday and Saturday evenings

CHRB CERTIFICATION

Application received: 7/5/17
Deposit received: On File
Reviewed: LA

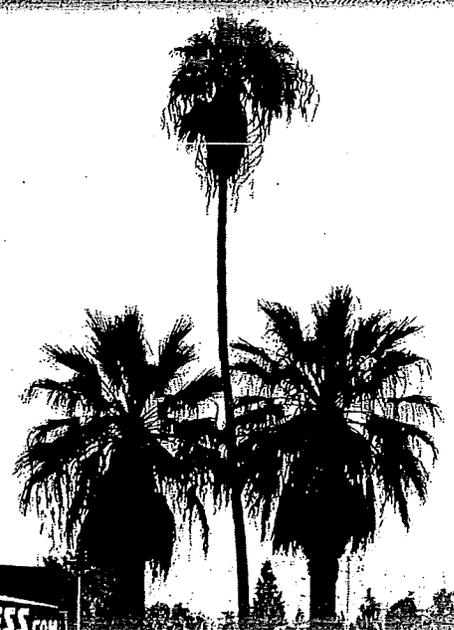
Hearing date: 8/24/17
Approved date:
License number:



CALEXPO Racing CALENDAR

2017 Fall Meet
15 Racing nights!

October 28 through
December 16, 2017



October 2017

S	M	T	W	Th	F	Sat
22	23	24	25	26	27	28
29	30	31				

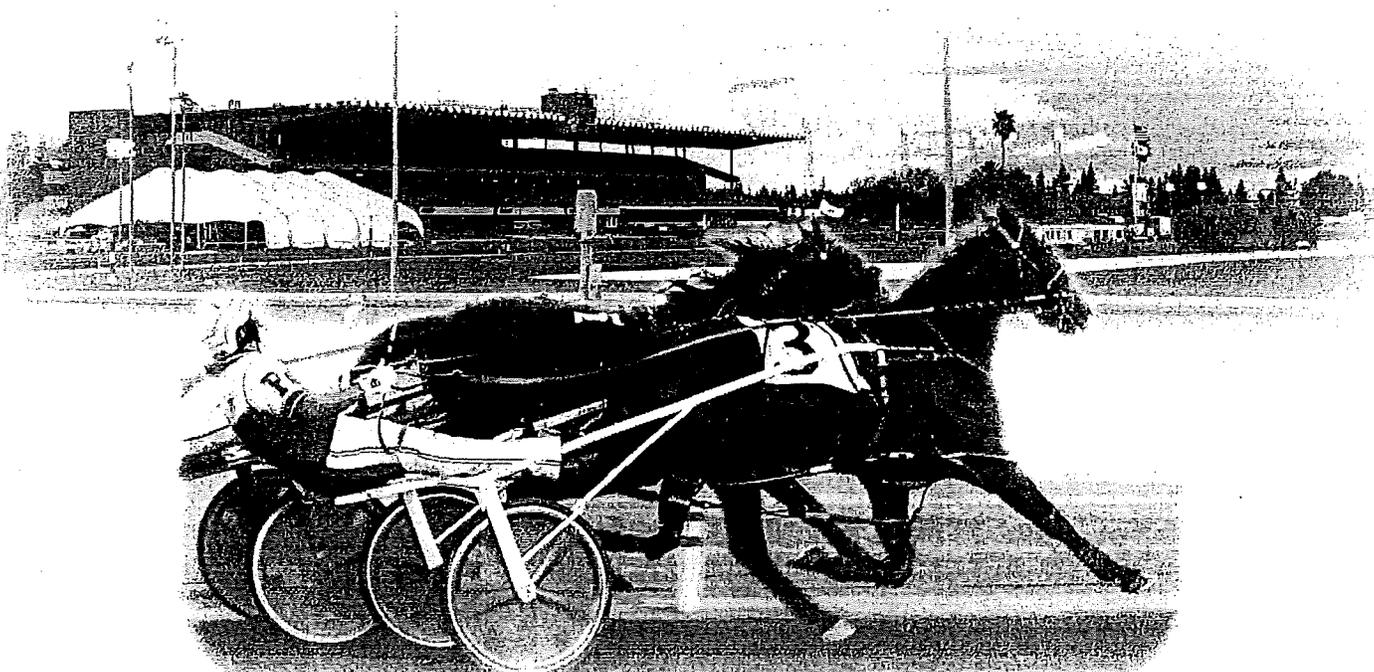
November 2017

Su	M	T	W	Th	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

December 2017

Su	M	T	W	Th	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24 31	25	26	27	28	29	30

Friday & Saturday Harness Racing
Post time 6:15 pm/pst



Watch and Wager LLC, Operator Cal Expo Harness Racing
25 Cadillac Drive, Suite 201 • Sacramento, CA 95825
Website: CalXHarness.com
Email: info@goldenbearracing.com • Phone: **916-800-1395**

**Watch and Wager LLC @
Cal Expo Harness Fall
2017 Overnight Stake
Schedule**

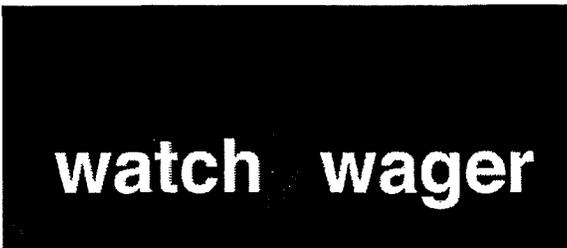
11/18/2017	The Alan Horowitz	\$20,000G
11/24/2017	The Bill Conlin	\$7,000A
11/25/2017	The Annette Funicello	\$7,000A
12/9/2017	The Robert Staats	\$9,000A
12/15/2017	The Joe Lighthill	\$9,000A
12/16/2017	The Robert Gordon	\$10,000A

**Watch and Wager LLC @
Cal Expo Harness Fall
2016 Overnight Stake
Schedule**

11/19/2016	The Bill Conlin	\$7,000A
11/25/2016	The Annette Funicello	\$7,000A
12/9/2016	The Robert Staats	\$9,000A
12/16/2016	The Joe Lighthill	\$9,000A
12/17/2016	The Robert Gordon	\$10,000A

**Watch and Wager LLC @
Cal Expo Harness Fall
2015 Overnight Stake
Schedule**

10/31/15	The Bill Conlin	\$6,000A
11/7/15	The Annette Funicello	\$6,000A
11/29/15	The Robert Staats	\$7,500A
12/6/15	The Joe Lighthill	\$7,500A
12/20/15	The Robert Gordon	\$7,800A



watch wager

Cal Expo Harness Racing

2017 Fall Post Time Schedule

	Friday	Saturday
Race 1	6:10	6:10
Race 2	6:38	6:38
Race 3	7:06	7:06
Race 4	7:34	7:34
Race 5	8:02	8:02
Race 6	8:30	8:30
Race 7	8:57	8:58
Race 8	9:24	9:25
Race 9	9:51	9:47
Race 10	10:13	10:09
Race 11	10:35	10:31
Race 12	10:56	10:52
Race 13*	11:17	11:13
Race 14*	11:38	11:34

* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

C. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch and Wager .com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
Ed Comins, President, Managing Member, 0 Shares
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
European Wagering Services 100 % Shareholder
- A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares. Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watch & Wager.com LLC.

Watch & Wager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day to day operations of the proposed harness meeting. A copy of that management agreement is on file with the Board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Phariss,	Director of Backstretch Operations
Glen Harris,	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Martin Bridges,	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney 25 Cadillac Drive #201, Sacramento, CA 95825 E-Mail chris@goldenbearracing.com ben@goldenbearracing.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY.

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2016 (54 Days)	57,780,966	144,224
2015 (57 Days)	61,174,025	146,084
2014 (60 Days)	58,899,325	145,065
2013 (68 Days)	62,552,634	134,245
2012 (69 Days)	66,256,754	131,750
2011 (117 Days)	84,237,361	304,981

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

- A. Purse distribution: October 28, 2017 thru December 16, 2017 15 Racing Nights
 Prior meet comparison October 22, 2016 thru December 17, 2016 15 Racing Nights

1. All races other than stakes:
 Current meet estimate: \$ 603,000
 Prior meet actual: \$ 601,555

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$ 40,200
 Prior meet actual: \$ 40,104

2. Overnight stakes:
 Current meet estimate: \$ 62,000
 Prior meet actual: \$ 52,400

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$ 4,133
 Prior meet actual: \$ 3,493

3. Non-overnight stakes:
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$ 665,000
 Prior meet actual: \$ 653,955

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: 0

Prior meet actual: 0

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 10,500

Prior meet actual: \$ 10,915

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 32,000

Total \$ 31,595

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 707,500

Prior meet actual: \$ 696,465

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 47,167

Prior meet actual: \$ 46,431

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 475,000

Prior meet actual: \$ 469,828

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 31,667

Prior meet actual: \$ 31,322

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 75,000

Prior meet actual: \$ 78,076

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 5,000

Prior meet actual: \$ 5,205

- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank # on-file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916- 482-4224 disher_ accountancy@msn.com

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
- B. Minimum number of stalls believed necessary for the meeting: 625
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: NA
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: NA

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: Attached
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. List attached.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Proposed Wagering Format
The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PNP4)	1976.9
Pick-5 (.50 PNP5)	1976.9
Pentafecta (.20 Super H5)	ARCI 004-105-X- Option 3
PN6 (0.50)	1976.9

- Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5
- Race 2: 1E, 0.20 Tri, .10 SF
- Race 3: 1E, 0.20 Tri, .10SF
- Race 4: 1E, 0.20 Tri, .10SF, 1 P3
- Race 5: 1E, 0.20 Tri, .10SF
- Race 6: 1E, 0.20 Tri, .50 PN6
- Race 7: 1E, 0.20 Tri, .10SF, 1 P3
- Race 8: 1E, 0.20 Tri, .10SF
- Race 9: 1E, 0.20 Tri, .10SF
- Race 10: 1E, 0.20 Tri, .20 PNP4
- Race 11: 1E, 0.20 Tri, .20 Super H5

sf

- Race 12: 1E, 0.20 Tri, .10 Super, 1P3
- Race 13: 1E, 0.20 Tri, .10SF, 1DD
- Race 14: 1E, 0.20 Tri, .20Super H5

**Watch and Wager LLC
Equine Emergency Services**

Attachment to Watch and Wager License Application
Section 9A

VETERINARIAN	EMERGENCY CONTACT NUMBER
Eduardo DeLaCruz, D.V.M.	706-254-0924
Kathy Jones, D.V.M.	530-666-4019
Noel Muller, D.V.M.	209-334-1660
David Valcheck, D.V.M.	916-606-1616

Schedule

Sunday	Closed
Monday	AM only/TBD
Tuesday	AM only/TBD
Wednesday	AM only/Eduardo DeLaCruz, D.V.M.
Thursday	AM only/Eduardo DeLaCruz, D.V.M.
Friday	AM/TBD PM/Eduardo DeLaCruz, D.V.M.
Saturday	AM/TBD PM/Eduardo DeLaCruz, D.V.M.

TBD=rotate from list above

\$0.20 PNP4 on races 10 thru 13, reduced 16% takeout, 90% carryover if no correct tickets with four winners. 10% minor pool payout.

\$0.50 PN6 on races 6 thru 11. 70% Major pool payout to tickets with six winners. 30% payout to minor pool winners. 70% carryover if no correct tickets with six winners are sold.

\$0.50 PNP5 starting on the first race. Reduced 16% takeout, two tiered payout 75% major Pool payout, 25% minor pool. 75% carryover if no tickets correctly select five winners.

\$.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X- Option3.

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No new wagers added or deleted.

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 16, 2017

D. List any options requested with regard to exotic wagering:
Request for 16% Takeout on Pick-5 (0.50) PNP5
Request for 16% Takeout on Pick-4 (PNP4 0.20)

Request for Friday \$30,000 Guaranteed Pick-4 Pool
Request for Saturday \$40,000 Guaranteed Pick-4 Pool
Attached is a letter requesting the reduced takeout promotional wagers.

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:
All live race days.

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Amtote International...Statewide contract, Contract expires Sept 2018.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

Race #1	WPS 17.43%	E24.18%	Pick-5 16%	Tri 24.18%	DD 24.18%
Race #2	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	
Race #3	WPS 17.43 %	E24.18%	Tri 24.18%	Sup 24.18%	
Race #4	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	P3 24.18%
Race #5	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	
Race #6	WPS 17.43%	E 24.18%	Tri 24.18	Pick-6 24.18%	
Race #7	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	P3 24.18%
Race #8	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	
Race #9	WPS 17.43%	E 24.18%	Tri 24.18%	Sup 24.18%	
Race #10	WPS 17.43%	E 24.18%	Tri 24.18%	P4 16.00 %	

Promotional Wager Takeout Reduction Request

Cal Expo Fall 2017 Meeting

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the \$0.50 Pick-5, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

California Harness Horsemen's Association
P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888; fax (916) 263-7887

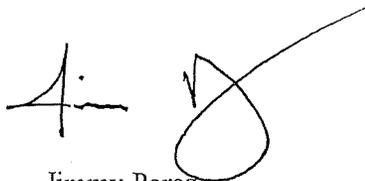
July 21, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 28, 2017 and concluding in May of 2018.

Sincerely,
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to be 'Jimmy Perez', with a large, stylized flourish extending from the end of the signature.

Jimmy Perez,
Executive Director

Race #11 WPS 17.43% E 24.18% Tri 24.18% Super Hi 5 24.18%
 Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
 Race #13 WPS 17.43% E 24.18% Tri 24.18% Super 24.18% DD 24.18%
 Race #14 WPS 17.43 E 24.18% Tri 24.18 Super Hi Five 24.18%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- TVG
- Xpressbet
- Twin Spires
- Watch and Wager.com
- NYRA Bets (Newco)
- Bet America

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
 ADW Agreements on File

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off Track Wagering Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Scotwinc and Watch and Wager agreement is on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
 All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
 Attached

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888

July 21, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

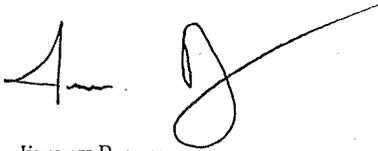
This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on October 28, 2017 and extends through the final night of racing in May of 2018. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are please to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'Jimmy Perez', with a long, sweeping flourish extending to the right.

Jimmy Perez

Executive Director

California Harness Horsemen's Association

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888

July 21, 2017

Mr. Rick Baedeker

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

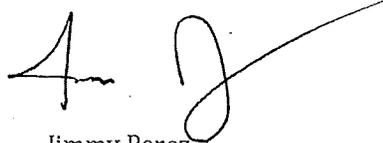
These approvals shall commence with the scheduled harness meet on October 28, 2017 and extend through the final day of racing in May of 2018. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are pleased to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing Board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

2017 Fall Meeting

American Tab, Oregon	Northfield Park, Ohio
Atlantic City Race Course, New Jersey	Northlands Park, BC, CAN
Balmoral Park, Illinois	Northville Downs, Michigan
Capitol District OTB, New York	Ocean Downs, Maryland
Catskill Region OTB, New York	Penn National Gaming, Pennsylvania
Connecticut OTB, Connect	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Elite Turf Club,	Premiere Gateway
Fire Lake Casino, Oklahoma	Racing Channel, Oregon
Foxwoods Casino, Connecticut	Racing & Gaming Services, St. Kitts
Fraser Downs, BC, CAN	Rosecroft Raceway, Maryland
Freehold Raceway, New Jersey	Sam Houston Park, Texas
Game Play Network	Saratoga Harness, New York
Harrah's Philly, PA	Scioto Downs, Ohio
Harrington Raceway, Delaware	Southland Greyhound, Arkansas
Hastings Park, BC, CAN	Sports Creek Raceway, Michigan
Hawthorne Racecourse, Illinois	Suffolk Downs, MA
Hazel Park, Mi	Suffolk Regional OTB, New York
Hippodrome de Montreal, QUE, CAN	Sunland Park, New Mexico
Hoosier Park, Indiana	Television Games Network (TVG)
Illinois OTB, Illinois	Thistledown, Ohio
Indianapolis Downs, Indiana	The Downs at Albuquerque, New Mexico
Las Vegas Dissemination, Nevada	The Meadows, PA
Les Bois Park, Idaho	The Red Mile, Kentucky
Lien Games LLC	Tri-State Greyhound, WV
Lone Star Park, Texas	TwinSpires.com
Maywood Park, Illinois	Watch and Wager.com LLC, ND
Meadowlands Race Track, New Jersey	Western Fair, ONT, CAN
Mesquaki Casino, Iowa	Western Region OTB, New York
Mohawk Racetrack, ONT, CAN	Windsor Raceway, ONT, CAN
Nassau Region OTB, New York	Woodbine Entertainment. Group, ONT, CAN
Nevada Pari-mutuel Association, Nevada	Yonkers Raceway, New York
New Jersey Casinos, New Jersey	XPressBet.com

Watch and Wager LLC @ Cal Expo Fall 2017**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR POOLS WITH CAL EXPO.**

American Tab, Oregon
 Atlantic City Race Course, New Jersey
 Atlantis Paradise Island Casino, Fla

Batavia Downs, New York
 Borgata Casino, Atlantic City, NJ
 Buffalo Thunder Resort, New Mexico
 Capitol District OTB, New York
 Catskill Region OTB, New York
 Connecticut OTB, Conn.
 Downs at Albuquerque, New Mexico
 Elite Turf Club
 Empire City Bets, New York
 ExpressBet, California

Fire Lake Casino, Oklahoma
 Foxwoods Casino, Connecticut
 Fraser Downs, BC, CAN
 Freehold Raceway, New Jersey
 Game Play Network, Ca
 Harrington Raceway, Delaware
 Harrah's Philly, Pa
 Hastings Park, BC, CAN
 Hawthorne Racecourse, Illinois
 Hazel Park, Mich
 Hippodrome de Montreal, QUE, CAN
 Hoosier Park, Indiana
 Illinois OTB, Illinois
 Indianapolis Downs, Indiana
 Las Vegas Dissemination, Nevada
 Les Bois Park, Idaho
 Lien Games, North Dakota
 Lone Star Park, Texas
 Maywood Park, Illinois
 Maryland Jockey Club, Maryland
 Meadowlands Race Track, New Jersey
 Megatote LLC, WI
 Mesquaki Casino, Iowa
 Mohawk Racetrack, ONT, CAN
 Nassau Region OTB, New York

New Jersey Casinos, Atlantic City, NJ Nevada
 Pari-Mutuel Association, Nevada
 Newco Ventures... NYRA bets.com
 Northfield Park, Ohio
 Northlands Park, BC, CAN
 Northville Downs, Michigan
 Ocean Downs, Maryland
 Penn National Gaming, Pennsylvania
 Philadelphia Park, Pennsylvania
 Plainridge Racecourse, Massachusetts
 Pompano Park, Florida
 Potawatomi Bingo Casino, Wisconsin
 Premiere Gateway
 Racing Channel, Oregon
 Racing & Gaming Services, St. Kitts
 Running Aces, Minnesota
 Sam Houston Park, Texas
 Saratoga Harness, New York
 Scioto Downs, Ohio
 Southland Greyhound, Arkansas
 Sports Creek Raceway, Michigan
 Suffolk Downs, MA
 Suffolk Regional OTB, New York
 Sunland Park, New Mexico
 Television Games Network (TVG)
 The Meadows, Pa
 Thistledown, Ohio
 The Downs at Albuquerque, New Mexico
 The Red Mile, Kentucky
 Tri-State Greyhound, WV
 TwinSpires.com
 Watch and Wager.com LLC
 Western Fair, ONT, CAN
 Western Region OTB, New York
 Windsor Raceway, ONT, CAN
 Woodbine Entertainment. Group, ONT, CAN
 XpressBet Com
 Yonkers Raceway, New York

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Hawthorne	TBD	Select Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Mohawk	TBD	Selected Feature

Under current statute, the association proposes to import 10 (ten) out of state races nightly during live racing nights overlapped with Los Alamitos.

On any live racing night when not overlapped with Los Alamitos, consistent with the statute, association will import 20 harness or quarter horse out of state races.

Association requests approval to import the entire Breeders Crown program from Hoosier Park, Saturday October 28th, 2017. This request is consistent with 19596 #1 which allows harness associations racing live that evening to import the entire Breeders Crown program.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 - 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s):
 - Clerk of Scales NA
 - Clerk of the Course Patricia Petersen
 - Film Specialist Plusmic Inc.

Horse Identifier	Carl Sobey
Horseshoe Inspector	Larry Goshman
Paddock Judge	Walter Petersen
Patrol Judges	Kenneth Fowler
Placing Judges	Tina Waters
Starter	Kenneth Fowler
Timer	Tina Waters

B. Management officials in the racing department:

Director of Racing:	Robin Schadt
Racing Secretary:	Robin Schadt
Assistant Racing Secretary:	Brent Bridges
Paymaster of Purses:	Glen Harris

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916- 956- 3914 breezecs@att.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2018
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2018.
Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 10, 2018.

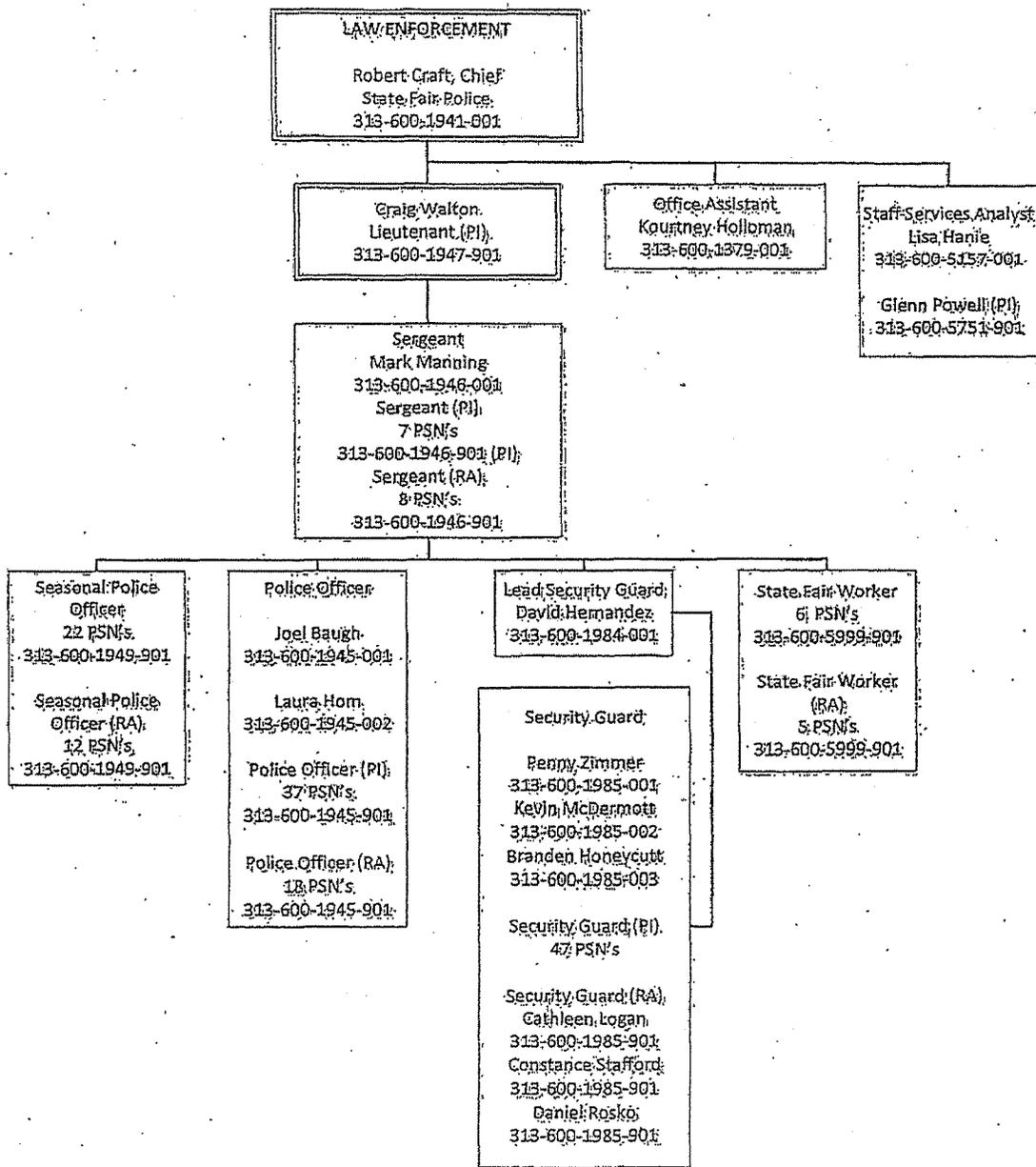
15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2017 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

California Exposition & State Fair Law Enforcement

March 2017



*1 vacant as of 08/06/14

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.
- B. Number of security guards in the detention stall area during a 24-hour period. Two
- C. Describe number and location of surveillance cameras in detention stall area.
The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergency's only. All ingress or egress is documented in the log book.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

C. Describe the electronic security system:

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.
One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.
- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600

Watch and Wager Detention Barn Procedures

2017 Fall Meeting

The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to be sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA
 1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA
- C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association sees D (1) : NA
 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Cypress Insurance Company Policy# WAWC602366
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached Certificate



July 13, 2017

To Whom It May Concern;

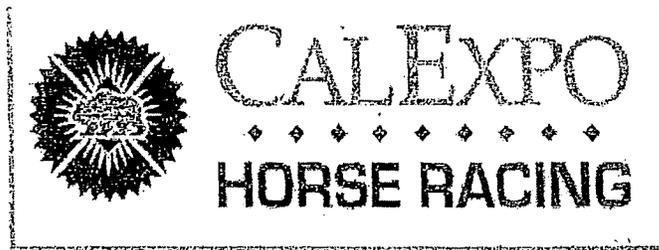
American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

Daniel Iniguez
Operations Manager
AMR – Sacramento County

A handwritten signature in black ink, appearing to read 'D. Iniguez', is written below the typed name.



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter, Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

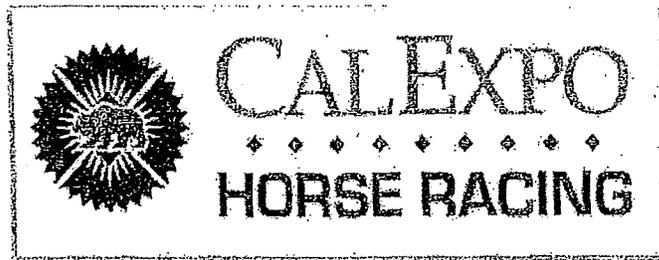
"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACSIDENTADO"

- El accidentado sera tratado de inmediato por (EMT).
Sies demaciado grabe el accidente sera transportado por ambulancia



DEPARTMENT OF FORESTRY AND FIRE PROTECTION
Fire and Life Safety - South Division
602 E. Huntington Dr. Ste A
MONROVIA, CA 91016
T: (626) 305-1908 F: (626) 305-5173
Website: www.fire.ca.gov



SPECIAL EVENT APPLICATION AND PERMIT

Facility Name California Exposition & State Fair
Facility Address 1600 Exposition Blvd Fax (916) 274-2901
City Sacramento Zip Code 95815 County Sacramento
Facility Representative Marcia Shell, Assistant General Manager Operations Phone (916) 263-3040
E-Mail mshell@calexpo.com

TYPE OF ACTIVITY

FESTIVAL CONCERT TRADE SHOW OTHER Live Horse Racing-Backstretch Operations

Event Location/Building Racetrack Backstretch State Fair: 7/14-7/30/17 & Harness: 9/15/17-5/20/18.
Event Date(s) 7/14/17 Estimated Daily Attendance 450.00
Event Time(s) Start 06:00 am/pm Finish 22:30 am/pm
Event Description Backstretch Operations which support live horse racing during the California State Fair - July 14-30, 2017.
Backstretch Operations which support live horse racing during the Watch & Wager Harness Meet- September 15, 2017-May, 20, 2018.

A site and floor plan must be submitted to the Office of the State Fire Marshal for approval 10 days prior to the event. At a minimum the items listed below shall be shown and identified on the plans;

- 1. Emergency access for fire equipment must be provided.
- 2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
- 3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths.
- 4. Location of tables and chairs and/or chair rows.
- 5. Location of fire extinguishers.

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.

For Dept. Use Only

Comments/Conditions: See the 2017 SFM Annual Report.

PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL

DSFM Signature C. Fowler Print Name C. Fowler Date 7/6/17
Application Approved Denied SFM File # 04-84-04-0001

Contacted Local Government Department Contact _____
Date Notified _____ Name & Title _____
Phone _____

Watch and Wager, LLC
Plan for Backstretch Grooms and Trainers
September 2017 through May 2018

For the duration race horses are housed on the backstretch for the Watch and Wager harness meet at Cal Expo, the following procedures will be implemented.

From September 15, 2017 through May 15, 2018:

1. Grooms will be allowed to live in tack rooms that have been formally converted to efficiency dwelling units – specifically Barns B, C, F, G, J, K, M, Q, T (handicapped accessible), U and Y. Absolutely no one will be allowed to live in tack rooms that have not been converted to efficiency dwelling units.
2. Grooms will be assigned to living units by Director of Backstretch Operations. A list of said grooms and their CHRB license numbers, will be kept on file in the Director's office.
3. One efficiency dwelling unit with wheelchair access has been designated as a handicapped room. Designated handicapped parking spaces are available directly across from the access doors to this room.
4. No hot plates or other methods of cooking are to be used in the efficiency dwelling units,
5. A Firewatch will patrol the backstretch from 9:00 p.m. to 6:00 a.m.
6. Director of Backstretch Operations and Firewatch will ensure that no one is living anywhere on the backstretch but in the designated efficiency dwelling units.
7. Telephone numbers for the Director of Backstretch Operations and Gate 12 will be posted in the Racing Office building in case of emergency.
8. Fire extinguishers are located in the barn area per the Fire Marshal's regulations (four in each barn).
9. Evacuation plans written in both English and Spanish are posted on each barn.

Dated: July 6, 2017



Kate Phariss, Director of Backstretch Operations



WATCLLC-02

SAENZA

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
8/2/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768 IOA Insurance Services 3875 Hopyard Road Suite 240 Pleasanton, CA 94588	CONTACT NAME: PHONE (A/C, No, Ext): (925) 416-7862 FAX (A/C, No): (925) 416-7869 E-MAIL ADDRESS: INSURER(S) AFFORDING COVERAGE INSURER A: Cypress Insurance Company (CA) NAIC # 10855 INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:
INSURED WatchandWager.com, LLC 25 Cadillac Drive, Suite 201 Sacramento, CA 95825	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE	\$
	AUTOMOBILE LIABILITY ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	\$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$						EACH OCCURRENCE AGGREGATE	\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input checked="" type="checkbox"/> Y <input type="checkbox"/> N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	WAWC806720	08/03/2017	08/03/2018	<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	\$ 1,000,000 \$ 1,000,000 \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
RE: Evidence of Coverage

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE
John Patrick Unruh

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Ovations

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting: Attached

B. Promotional/ Marketing budget for this race meeting: \$33,000
 Promotional/Marketing budget for prior race meeting: \$30,500

C. Number of hosts and hostesses employed for meeting: 2

D. Describe facilities set aside for new fans: None

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen: None at this time.
2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation.
3. Facilities in the restricted areas: None at this time.

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) Free
- Admission (clubhouse) Free
- Reserved seating (general) NA
- Reserved seating (clubhouse) NA
- Parking (general) Free
- Parking (preferred) NA
- Parking (valet) NA
- Programs (on-track) 4:00
- (off-track) 4.50 Program is a joint Los Alamitos/ Cal Expo night program.

Watch and Wager.com LLC @ Cal Expo

Marketing and Promotional Plan

2017 Fall

New Stable Recruitment: We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$275,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product. Incentive plan brochure attached.

Improved Simulcast Production. Our on air team including Gary Siebel and Derrick Giwner provide some of the best insight in the industry. We are also pursuing other National analyst talent as contributors. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Three percent of harness handle comes from off-track sources.

Guaranteed Pools. We guarantee a \$30,000 Pick-4 on Friday, and a \$40,000 Pick-4 guarantee on Saturday. This is the second highest guarantee of any harness track in the country.

Website: We have given our website a complete facelift. We continue to offer free live video streaming and free archived replays.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the policy of **free** parking and admission every live racing night.

Concessions: We will continue to work with the Cal-Expo master caterer Ovations to provide live fans with food and beverage nightly specials. We have agreed to a \$1 night the final Saturday of each month. We plan to promote this final Saturday of each month as the " Best Entertainment Value" in Sacramento.



CALEXPO Incentive Plan 2017-2018

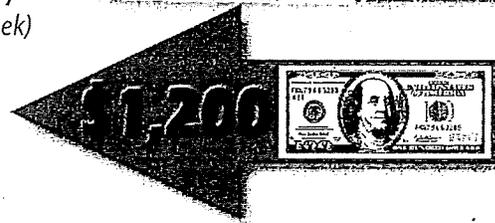


New Horse Incentive Program*

Two-tiered structure includes *higher* incentive for horses starting early:

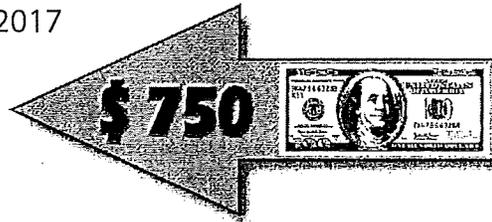
- (a) If first start on or *before* November 4, 2017
(first two-day week)

\$ 800 • 1st start
+
\$ 400 • 8th start



- (b) If first start *after* November 4, 2017 and,
on or *before* November 25, 2017

\$ 500 • 1st start
+
\$ 250 • 8th start



Returning Horse Incentive Program*

- (a) \$ 400 per horse, paid at first start if start is *on or before* November 4, 2017
(b) \$ 250 per horse, if starts *after* November 4, 2017 and *on or before* November 25, 2017

Conditions:

- "New horses" must not started at Cal-Expo since 6/1/2016.
- Must have at least one (1) race in 2017 for a purse greater than or equal to \$1,500 at a track other than Cal-Expo (pari-mutuel or non pari-mutuel).
- Must not have raced for purse greater than or equal to \$15,000 in each of its last five (5) overnight starts.
- All payments will be made to the owner of record as of the date the new horse records its first Cal-Expo start.
- The 8th start must be made prior to the end of the meet in May of 2018.
- An individual trainer and/or owner is entitled to a maximum of 20 "new horse" incentives.

***CHHA Membership:** All owners are *required* to be a current member of the CHHA to receive new or returning horse payment.

For more information please contact us at:
Email: info@goldenbearracing.com • Phone: 916-800-1395
Check out our website: CalXHarness.com

- B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA
- C. Describe any "package" plans such as combined parking, admission and program: NA

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="46"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J,R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File.

- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Lease agreement between Cal Expo and Watch and Wager LLC is on file with the board. Lease extension till May 2022 attached.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHICK
Print Name


Signature

GENERAL MANAGER
Print Title

JULY 5, 2017
Date

Item 8

DISCUSSION AND ACTION BY THE BOARD
REGARDING THE REQUEST FROM THE JOCKEY DEFINED CONTRIBUTION
RETIREMENT PLAN PENSION TRUSTEES,
TO AMEND THE PLAN TO
ELIMINATE THE CAP ON LUMP SUM DISTRIBUTIONS

Regular Board Meeting
August 24, 2017

BACKGROUND

Business and Professions Code section 19604(i) provides that advance deposit wagering (ADW) distributions shall be proportionally reduced, and 50 percent of the amount deducted shall be distributed to the California Horse Racing Board (CHRB) to establish and administer jointly with the organization certified as the majority representative of California licensed jockeys, a defined contribution retirement plan for California licensed jockeys who retired from racing on or after January 1, 2009.

In November 2010 the Board approved the adoption of a defined contribution jockeys retirement plan (Plan). To implement the Plan, a competitive bidding process was initiated to name a third party Plan Administrator. The process was overseen by the CHRB staff. The Pentegra Retirement Services Group, a financial planning firm based in White Plains, New York, was selected to serve as Plan Administrator. The selection was made jointly by representatives of the Jockey's Guild (Guild) and the CHRB, and was based upon cost of services, investment track record, and ability to serve a diverse retiree community. Representatives of the CHRB and the Guild were named as Plan Trustees. After a thorough review of investment options, the Trustees selected a fifty percent equity/fifty percent fixed income asset allocation portfolio to be shared by each participating jockey. To serve as the Plan Auditor, the Los Angeles accounting firm of Miller, Kaplan and Arase was selected by the Guild.

ISSUE

Barry Broad, Chairman of the Board of Trustees for the Plan, has requested that the CHRB, consider amending the Plan. The current Plan limits lump sum distributions to participating and qualified beneficiaries to a maximum lump sum payment of \$5,000. Most defined contribution retirement plans allow participants a lump sum withdrawal without placing limits on the withdrawal amount, so that retirees with smaller pensions can take a lump sum distribution. A case arose earlier this year where a permanently disabled rider wanted access to his \$40,000 pension, however the Plan's current rules only allow a lump sum distribution of \$5,000.

The Plan's Trustees would like to amend the Plan, effective October 1, 2017 to add single-sum distribution, without a limit on the amount that can be withdrawn, to the forms of distributions allowed under the Plan.

ANALYSIS

California is one of a very few states that has established a Defined Contribution Plan for Jockeys. This fund was established in 2010 and currently has an approximate operating balance of \$1.2 million, which comprises the funds earned in 2016 and 2017 to date. Jockeys licensed to ride in California and retired on or after January 1, 2009 are Plan eligible. Pursuant to Business and Professions Code section 19604(i) the Plan is funded through half, or fifty percent (50%), of a percentage (.00295) deduction of all ADW that originates in California.

Currently there are 295 jockey's licensed with the CHRB. Jockeys are automatically Plan eligible upon becoming licensed as a jockey with the CHRB. The amount of each jockey retirement account is determined solely by the number of mounts ridden in California during their career. A jockey can apply for account disbursement when they reach the age of 50 and retire from racing, or in some limited circumstances files a hardship request. Currently, participants are limited to a maximum lump sum distribution of no more than \$5,000.

The Plan Trustees have requested that the Plan be amended to allow jockeys to elect a lump sum distribution without placing limits on the amount withdrawn.

RECOMMENDATION

This item is presented for Board discussion and action.

Staff recommends the Board hear from the Plan representatives.

**THIRD AMENDMENT TO THE
CALIFORNIA HORSE RACING BOARD
DEFINED CONTRIBUTION RETIREMENT PLAN
FOR CALIFORNIA LICENSED JOCKEYS**

This Third Amendment (Amendment) to the California Horse Racing Board Defined Contribution Retirement Plan For California Licensed Jockeys (Plan) is adopted by the California Horse Racing Board (Board) to be effective on and as of the date(s) set forth below.

RECITALS

- A. Effective January 1, 2011, the Board adopted the Plan.
- B. Effective May 1, 2012, the Plan was amended to clarify the definition of 415 compensation in situations involving non-highly compensated employees and disabilities.
-
- C. Effective May 1, 2012, the Plan was amended to allow Participants who retire and elect distributions of their benefit under the Plan to be excluded from future participation in the Plan as active participants and not to accrue any additional benefits under the Plan; and
- D. Effective May 1, 2012, the Plan was amended to allow retired Participants to elect a lump sum distribution of their Plan account balance if that account balance is \$5,000 or less.
- E. The Board amended the Plan as follows:
1. Effective October 1, 2012, in the event the Plan adopts a new Life Expectancy Table, any Participants who have previously commenced benefit distributions shall have their benefits redetermined as of the first day of the Plan Year following the adoption of the new Life Expectancy Table.
 2. Effective October 1, 2012, update the Life Expectancy Table of the Plan to the table from the required minimum distribution regulations found at Treas. Reg. section 1.401(a)(9)-9 Q & A 1 as permitted under Rev. Rul. 2002-62, in order to more accurately reflect the expected life span of the Plan Participants.
- F. The Board now wishes to amend the Plan effective October 1, 2017 to add single-sum distributions to the forms of distribution allowed under the Plan.

OPERATIVE PROVISIONS

Effective as of October 1, 2017, and in accordance with the foregoing recitals, the Board hereby amends the Plan as follows:

Plan Section 10.02 is hereby amended in its entirety to read as follows:

10.02. Method Of Payment Of Benefits.

The Administrator shall determine the amount of the Participant's Account and the time when benefit payments commence. The Administrator shall distribute the Participant's Account in accordance with the method of payment of benefits selected by the Participant (or the Beneficiary of a deceased Participant) in accordance with the provisions of the Plan from among the following:

A. Form Of Distribution.

1. *Except as provided in the Cash Outs subsection, below, or the Hardship Distributions section, below, a Participant shall receive the Participant's Account in the form of:*
 - a. *substantially equal annual installments over a period equal to the Participant's life expectancy for the Participant's attained age as of the commencement of such distributions. A Participant's life expectancy shall not be redetermined after the commencement of such distributions unless the Plan adopts a new life expectancy table. For this purpose, a Participant's life expectancy shall be determined using the table set forth in Appendix A to this Plan. Each such annual installment shall be in an amount equal to the quotient derived by dividing the value of the Participant's Account as of the Valuation Date immediately preceding the payment by the number of installments remaining to be paid as of the Valuation Date; or*
 - b. *a single-sum distribution.*
2. *In the event the Plan adopts a new life expectancy table, any Participant who has previously elected to receive their benefit in the form of annual installment payments shall have their payments redetermined based on the new life expectancy table on the first day of the Plan Year after the new life expectancy table is adopted.*

B. Cash Outs.

1. *If the value of the Participant's Account does not exceed one thousand dollars (\$1,000), the Administrator may distribute such benefit as soon as is administratively feasible after either the later of (i) the Participant's Severance From Employment or (ii) the Participant's attainment of Normal Retirement Age, or, if applicable the Administrator's determination of the Participant's death or Disability. If the value of the Participant's Account exceeds one thousand dollars (\$1,000), the Administrator shall not distribute such benefit without the written consent of the Participant; provided, however, that if the value of a Participant's Account exceeds one thousand dollars (\$1,000) and the Participant has a Severance From Employment and has attained the later of (i) age sixty-two (62) or (ii) Normal Retirement Age, the Administrator may distribute such benefit without the Participant's consent.*
2. *If the value of the Participant's Account does not exceed five thousand dollars (\$5,000) the Participant may elect to receive a single sum distribution of such benefit after either the later of (i) the Participant's Severance From Employment or (ii) the Participant's attainment of Normal Retirement Age, or, if applicable the Administrator's determination of the Participant's Disability.*
3. *If a Participant's consent is required before a distribution commences, the consent of the Participant must be obtained in writing during the one hundred eighty (180) day period ending on the date the distribution commences. The Participant's consent will be valid only if the Administrator provides the Participant with a written notice no less than thirty (30) and no more than one hundred eighty (180) days before the date the distribution commences, which notice must include:*
 - a. *A general description of the material features of the benefit available under the Plan;*
 - b. *The Participant's right to defer receipt of a Plan distribution; and*

- c. *The Participant's right to have the distribution transferred to an eligible retirement plan as defined in Code section 402(c)(8)(B).*

Notwithstanding the foregoing, distribution may commence less than thirty (30) days after the notice described in the preceding sentence is given, provided the distribution is one to which Code sections 401(a)(11) and 417 do not apply, if (i) the Administrator clearly informs the Participant that the Participant has a right for at least thirty (30) days after receiving the notice to consider the decision of whether or not to elect a distribution (and, if applicable, a particular distribution option), and (ii) the Participant, after receiving the notice, affirmatively elects a distribution.

C. *Incidental Benefits.*

Death and other nonretirement benefits payable under the Plan shall be incidental to the primary purpose of the Plan. Thus, distributions to the Participant under the Plan shall be in sufficient amounts so that the relationship of a Participant's total benefits under the Plan to the deferred compensation payable to the Participant under the Plan is such that the primary purpose of the Plan is to provide deferred compensation to the Participant, all as required by Code section 401(a)(9)(G) and the Treasury regulations promulgated thereunder.

D. *Eligible Rollover Distributions.*

1. *Notwithstanding any provision of the Plan to the contrary that would otherwise limit a Distributee's election under this Method Of Payment Of Benefits section, a Distributee may elect, at the time and in the manner prescribed by the Administrator, to have any portion of an Eligible Rollover Distribution paid directly to an Eligible Retirement Plan specified by the Distributee in a payment by the Plan to the Eligible Retirement Plan specified by the Distributee.*
2. *Notwithstanding the foregoing, in the event that the Participant or the Participant's Beneficiary elects, in the manner set forth above, a distribution that constitutes an Eligible Rollover Distribution, and if the Distributee of the Eligible Rollover Distribution (i) elects to have such distribution paid directly to an Eligible Retirement Plan and*

(ii) specifies the Eligible Retirement Plan to which such distribution is to be paid, in such form and at such time as the Administrator may prescribe, then such distribution shall be made in the form of a direct trustee-to-trustee transfer to the Eligible Retirement Plan so specified.

Approved by the California Horse Racing Board at a regular meeting of the California Horse Racing Board, at Del Mar Race Track, in Del Mar, California, on August 24, 2017.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
A PROPOSED AMENDMENT TO CHRB RULE 1699, RIDING RULES, TO CONSIDER
ESTABLISHING A MINIMUM SUSPENSION PENALTY FOR A JOCKEY RIDING
CONTRARY TO RULE 1699 AND TO CONSIDER THE LENGTH OF THE
MINIMUM SUSPENSION

Regular Board Meeting
July 17, 2015

ISSUE

At the August 2017 Stewards' Committee meeting, a discussion was held regarding the length of suspensions issued to jockeys for violating CHRB Rule 1699, Riding Rules. Concerns about uniformity of suspension lengths were raised, and a proposal was made that the California Horse Racing Board should consider establishing a minimum suspension penalty within the regulation.

BACKGROUND

On January 1, 2017, an amendment to Rule 1699 went into effect, which a) more specifically defined the term "interference," and b) required enhanced penalties against jockeys who commit multiple infractions within a 60-day period or who jeopardize the safety of another horse or jockey. Since then, Boards of Stewards throughout the state have routinely issued three-day suspensions for first infractions of the regulation. For subsequent infractions within a 60-day period, however, the Boards of Stewards have either issued four then five day suspensions (for second and third offenses), or five then seven day suspensions.

ANALYSIS

Currently, there is no minimum penalty for a violation of Rule 1699, and therefore each Board of Stewards may exercise their discretion in assessing initial penalties against jockeys for riding infractions. The proposed amendment to Rule 1699 would instead require a minimum three-day suspension for each violation of the rule. Such a requirement would create a uniform penalty against jockeys throughout California for initial riding infractions.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1699. RIDING RULES.

1699. Riding Rules.

During the running of the race:

(a) A horse shall not interfere with any other horse. Interference is defined as bumping, impeding, forcing or floating in or out or otherwise causing any other horse to lose stride, ground, momentum or position.

(b) A horse which interferes with another as defined in subsection (a) may be disqualified and placed behind the horse so interfered with if, in the opinion of the Stewards, the horse interfered with was not at fault and due to the interference lost the opportunity for a better placing.

(c) Jockeys shall not ride carelessly, or willfully, so as to permit their mount to interfere with any other horse.

(d) Jockeys shall not strike or strike at another horse or jockey so as to impede, interfere with, intimidate, or injure.

(e) If a jockey rides in a manner contrary to this rule, the mount may be disqualified and the jockey may be suspended or otherwise disciplined by the Stewards.

(f) When penalizing a jockey for riding contrary to this rule, the Stewards shall issue a minimum suspension of three days, and shall issue a greater penalty for (1) more than one infraction of this rule by the jockey within any contiguous 60 day calendar period or (2) any infraction which, in the opinion of the stewards, jeopardized the safety of another horse or jockey.

NOTE: Authority cited: Section 19562, Business and Professions Code.

Reference: Sections 19461 and 19562, Business and Professions Code.

BROAD & GUSMAN, LLP
ATTORNEYS AT LAW

August 16, 2017

Chuck Winner
Chairperson
CA Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Re: Comments of the Jockeys' Guild on Proposed Amendment to CHRB Rule 1699

Dear Chairperson Winner and Members of the Board,

I am writing on behalf of the Jockeys' Guild, which is a labor organization representing the majority of California licensed jockeys. We are, of course, very concerned with the penalties imposed by the CHRB on jockeys for violations of Rule 1699.

Rule 1699 establishes a set of standards for governing jockey behavior during a race. Most violations of Rule 1699 are considered "minor" offenses. The Stewards enforce the rule and have significant latitude whether to cite a jockey and, if a citation is issued, whether to suspend the jockey for a day or multiple days from racing. Rule 1699 provides that punishment is to be increased if a jockey violates the rule more than once in a 60-day period.

While there is no official policy regarding the minimum number of suspension days that are to be imposed, informal customs regarding minimum suspension periods have developed along geographical lines. In northern California, typically a jockey will receive a minimum three day suspension for a first offense, five day suspension for a second offense, and a seven day suspension for a third offense committed within a 60-day period. In southern California, the stewards typically impose suspensions of three days for a first offense, four days for a second offense, and five days for a third offense. However, there is some variability based on individual steward determinations.

The Guild Supports the establishment of a policy of minimum suspensions that is enforced uniformly statewide.

While the Guild does agree that minimum suspension periods need to be established, we strongly believe that they should be enforced uniformly throughout the state. There is simply no justification for imposing harsher punishment for violations committed in one part of the state versus another. This has undermined the confidence of California jockeys in the CHRB's disciplinary system, which appears increasingly arbitrary and selective rather than fair and impartial.

The Guild believes that, in light of much shorter racing schedules than existed in the past, the current customary suspensions are excessive and should be reduced.

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A decade ago, most tracks had five or six days per week racing schedules. Currently, the major tracks typically have four days per week racing schedules and field size is significantly smaller than in the past.¹ At Los Alamitos, the quarter horse racing schedule is only three days per week. As a consequence, the current number of days that are being routinely imposed for violations is excessive, causing jockeys to suffer disproportionate economic loss for minor acts of misconduct.

Moreover, when jockeys are suspended, the trainers still expect them to work horses in the morning without compensation. While such conduct is a clear violation of state law, the stewards have never enforced the law.² When suspended, California jockeys suffer a form of double punishment, being expected to work for free and being unable to race.

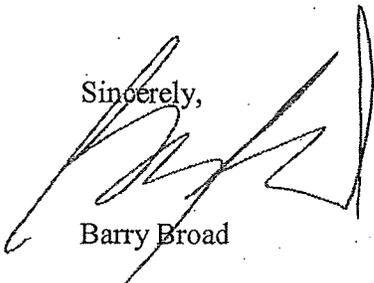
The Guild supports the establishment of a uniform standard of minimum standard of 2,3, and 4 day suspensions.

It is the Guild's position that a fairer and more appropriate standard of punishment for violations of Rule 1699 would be as follows:

- A minimum of 2 days for the first offense;
- A minimum of 3 days for a second offense within a 60-day period;
- A minimum of 4 days for a third offense within a 60-day period.

We respectfully request that the Board adopt regulations modifying Rule 1699 consistent with these comments.

Sincerely,



Barry Broad

¹ Del Mar is an exception. It runs five days a week but it has a much shorter meet than the other major tracks.

² Business and Professions Code section 19500(a) provides:

(a) A jockey who agrees to exercise a racehorse shall be paid no less than the standard rate that is paid to exercise riders unless the jockey has been employed to ride that racehorse in a parimutuel race or the jockey is engaged in an official timed and recorded workout. If there is a dispute over the standard rate for exercising a horse, the steward shall determine the rate.

CALIFORNIA HORSE RACING BOARD

AUGUST 24, 2017
REGULAR BOARD MEETING

There is no board package material for Item 10



CALIFORNIA HORSE RACING BOARD

AUGUST 24, 2017
REGULAR BOARD MEETING

There is no board package material for Item 11