

**CALIFORNIA HORSE RACING BOARD**

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Sacramento, CA 95825

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## **REGULAR MEETING**

Of the **California Horse Racing Board (CHRB/Board)** on **Wednesday, April 22, 2020**, commencing at **9:30 a.m. via teleconference**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under “Webcasts.”

Please be advised, in accordance with Executive Order N-29-20, the CHRB will provide a teleconference option for its upcoming public meeting, to be held on **April 22, 2020 at 9:30 a.m.** Board members and members of the public are strongly encouraged to participate using the teleconference phone number provided below so as to minimize the spread of COVID-19 and reduce the risk of infection during this current state of emergency. No physical location will be provided.

To participate in the teleconference, dial 888-392-4564 and enter 6896291# when prompted.

The agenda for the regular meeting will consist of the following matters:

### **AGENDA**

#### **Action Items:**

1. **Approval of the minutes of March 26, 2020.**
2. **Executive Director’s Report.**
3. **Equine Medical Director’s Report.**
4. Discussion and action by the Board on the **request from Watch and Wager LLC (H) at Cal Expo to approve the carryover of funds into a mandatory payout upon reopening.**
5. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Alameda County Fair (F)**, at Pleasanton, commencing June 17, 2020 through July 14, 2020, inclusive.
6. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the California Authority of Racing Fairs (CARF) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by CARF at the California Exposition and State Fair.

7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T)** at Los Alamitos Race Course, commencing June 24, 2020 through July 7, 2020, inclusive.
8. Discussion and action by the Board regarding **approval of an agreement, pursuant to CHRB Rule 1581, Racing Secretary to Establish Conditions, between the Los Alamitos Horse Racing Association (LARA) and the Thoroughbred Owners of California (TOC)**, regarding entry conditions and specified drug substances or medications to be implemented by the LARA at the Los Alamitos Racetrack.
9. Discussion and action by the Board regarding the **proposal to partially suspend the current 45-day requirement under CHRB Rule 1488, Temporary Occupational License**, for fingerprints submitted to the Board and extend the requirement to 120 days, pursuant to CHRB Rule 1406, Suspension of Rule.
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1560, Duties of the Official Veterinarian, and Rule 1561, Duties of the Racing Veterinarian**, to stipulate that the racing veterinarian is under the direct supervision of the official veterinarian.
11. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1846.1, Veterinary Records for Horses Shipping into an Inclosure to Race**, to require trainers to make available to the official veterinarian, the previous 14-day veterinary treatment record.
12. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** During the public comment period, a total of thirty minutes will be allowed for public comments via the teleconference line. After thirty minutes, no further comments will be accepted. Each person will be limited to two minutes.
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding pending administrative licensing or disciplinary matters as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Amanda Drummond. Requests will be swiftly resolved by CHRB staff.

**CALIFORNIA HORSE RACING BOARD**

Gregory L. Ferraro, DVM, Chairman

Oscar Gonzales, Vice Chair

Dennis Alfieri, Member

Damascus Castellanos, Member

Wendy Mitchell, Member

Alex Solis, Member

Cynthia Alameda, Assistant Executive Director

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board (Board)** held on **March 26, 2020**, commencing at **9:30 a.m. via teleconference**.

Present: Gregory Ferraro, Chairman  
Oscar Gonzales, Vice-Chairman  
Alex Solis, Member  
Dennis Alfieri, Member  
Wendy Mitchell, Member  
Demascus Castellanos, Member  
Rick Baedeker, Executive Director  
Cynthia Alameda, Assistant Executive Director

**APPROVAL OF THE MINUTES OF JANUARY 22, 2020**

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Commissioner Alfieri **motioned** to approve the minutes of the January 22, 2020 regular Board meeting. Chairman Ferraro **seconded** the motion, which was **passed** four to zero with one abstention. Roll call vote: Aye: Alfieri, Mitchell, Gonzales, Ferraro. Nay: None. Abstain: Solis. Motion carried.

**APPROVAL OF THE MINUTES OF FEBRUARY 20, 2020**

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Commissioner Solis **motioned** to approve the minutes of the February 20, 2020 regular Board meeting. Vice-Chairman Gonzales **seconded** the motion, which was **passed** four to zero with one abstention. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Solis. Nay: None. Motion carried.

**EXECUTIVE DIRECTOR'S REPORT.**

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Executive Director Rick Baedeker reported that an advisory was sent that licensing offices were closed due to the coronavirus pandemic. He stated that expiring licenses would be considered valid until the licensing offices reopened. He reported that for February 2020,

daytime handle was down 10.4 percent, with two fewer programs this year than last; nighttime handle was up 3.5 percent, with an additional two programs. Further comments regarding this agenda item can be found in the Board transcript, pages 7–9.

#### **MEDICAL DIRECTOR'S REPORT.**

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Dr. Rick Arthur, Board Equine Medical Director, reported that he had fielded numerous questions about horses and coronaviruses. He reported that there were ten necropsy submissions in February, three racing, five training, and two non-exercise. He reported that Board Rule 1846.6, Postmortem Examination Review, would become effective July 1, 2020, and was currently working to ensure the fatality review and investigation programs would be coordinated as efficiently as possible. He reported on the success of the new PET and MRI at Santa Anita. He reported there were eight positive test notices issued either post-race or for post official work samples, all being Class 4 medications, and four of the eight would not have been a violation in other states. Further comments regarding this agenda item can be found in the Board transcript, pages 9–11.

#### **DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB 2019 SUMMER RACE MEETING IN THE AMOUNT OF \$90,839 TO NINE BENEFICIARIES.**

Josh Rubinstein, Del Mar Thoroughbred Club (DMTC), stated that in a letter dated February 14, 2020, DMTC requested that \$90,839 be distributed to nine charities as race day charity proceeds from its summer 2019 race meeting. Commissioner Castellanos **motioned** to approve the distribution of race day charity proceeds of the DMTC 2019 summer race meeting in the amount of \$90,839 to nine beneficiaries. Commissioner Solis

**seconded** the motion, which was **passed** five to zero. Roll call vote: Aye: Ferraro, Gonzales, Castellanos, Mitchell, Solis. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB 2019 FALL RACE MEETING IN THE AMOUNT OF \$13,744 TO FOUR BENEFICIARIES.**

Mr. Rubenstein said that DMTC was requesting that \$13,744 from the fall 2019 race meeting be distributed to four charities. Commissioner Solis **motioned** to approve the distribution of race day charity proceeds from the DMTC 2019 fall race meeting to four beneficiaries. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call: Aye: Castellano, Ferraro, Gonzales, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 38–41.

**DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) TO DESIGNATE THE FOLLOWING 2020 ALLOCATED RACE DATES AS A COMBINED FAIR HORSE RACING MEETING, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19549.1: ALAMEDA COUNTY FAIR AT PLEASANTON, JUNE 17, 2020 THROUGH JULY 14, 2020; CALIFORNIA EXPOSITION AND STATE FAIR, JULY 15, 2020 THROUGH AUGUST 04, 2020; HUMBOLDT COUNTY FAIR AT FERNDALE, AUGUST 19, 2020 THROUGH SEPTEMBER 1, 2020; AND THE BIG FRESNO FAIR, OCTOBER 7, 2020, THROUGH OCTOBER 20, 2020.**

Larry Swartzlander, California Authority of Racing Fairs (CARF), stated that the sole purpose for the request was to allow the fairs to carry over pools beginning with the Alameda County Fair at Pleasanton to the end of The Big Fresno Fair, which was a big incentive for wagering. Vice-Chairman Gonzales **motioned** to approve the request by CARF to combine the currently allocated race dates of the Alameda County Fair,

California Exposition and State Fair, Humboldt County Fair, and The Big Fresno Fair as a combined fair pursuant to California Business and Professions Code section 19549.1. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 41–47.

**DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN AGREEMENT, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19604(f)(5)(E), REGARDING APPROVAL FOR DISTRIBUTION FROM ADVANCE DEPOSIT WAGERING (ADW) MARKET ACCESS FEES FOR WAGERS PLACED ON ALL LIVE AND IMPORTED RACES FOR THE PERIOD MARCH 1 THROUGH DECEMBER 31, 2020, TO BE UTILIZED TO FUND A CALIFORNIA CO-OP MARKETING PROGRAM.**

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Amanda Brown, Board Staff Counsel, stated that an agreement had been fully executed. A similar request was presented to and approved by the Board in May 2019. Greg Avioli, Thoroughbred Owners of California, said money would come from what would otherwise have gone directly into purses. The horsemen agreed to direct a percentage of that money to fund a California cooperative marketing program. Commissioner Solis **motioned** to approve the agreement regarding distribution from ADW market access fees for wagers placed from March 1 to December 31, 2020, to fund a marketing program. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 48–58.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1560, DUTIES OF THE OFFICIAL VETERINARIAN, AND RULE 1561, DUTIES OF THE RACING VETERINARIAN, TO STIPULATE THAT THE RACING VETERINARIAN IS UNDER THE DIRECT SUPERVISION OF THE OFFICIAL VETERINARIAN.**

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Dr. Arthur stated that it was important to make clear that the official veterinarian had authority over the racing veterinarian. Dr. Arthur said all veterinarians needed to be under the supervision of the official veterinarian to eliminate any possibilities of conflict of interest and official veterinarian supervision would ensure samples were properly collected from injured horses and that treatments to those horses were properly reported to the official veterinarian. Dr. Dionne Benson, The Stronach Group (TSG), stated that TSG was concerned that racing associations would not have authority to reprimand or fire veterinarians for poor conduct. The agenda item was tabled for action and further discussion until the next regular Board meeting. Further comments regarding this agenda item can be found in the Board transcript, pages 59–65.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE CHRB MEDICATION PENALTY GUIDELINES PURSUANT TO CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, AND PROPOSED GUIDELINES FOR RELATED VIOLATIONS.**

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Dr. Arthur stated that the multiple medication violation (MMV) policy was one of the last remaining pieces of the National Uniform Medication Program that California had not yet adopted. He stated that if an individual had a violation in another state and then had a violation in California, the violations would be added up, and the individual would be sanctioned for multiple violations that would not be distinguished between states. The only change the Board was considering was the proposed amendment to subsection (j).

Robert Brodник, Board Staff Counsel, stated in light of the way the MMV model rule was written, it would benefit the Board to have it drafted in a way that fell within the Board's regulatory book and could be brought before the board the following month. Chairman Ferraro **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Board Rule 1843.3, Penalties for Medication Violations, and to postpone the issue of MMV until the next regular Board meeting. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 65–78.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO PARTIALLY SUSPEND THE CHRB EMERGENCY RULE 1843.5, MEDICATION, DRUGS AND OTHER SUBSTANCES PERMITTED AFTER ENTRY IN A RACE, PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.**

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Mr. Brodnik stated that Board Rule 1406, Suspension of Rule, allowed the Board to temporarily suspend any of its rules upon any condition it may impose, which would allow the Board to suspend a portion of Board Rule 1483.5, Medication, Drugs and Other Substances Permitted After Entry in a Race, and impose a condition that the prior text be reinstated. Commissioner Castellanos **motioned** to approve the proposal to partially suspend the Board Emergency Rule 1843.5. Commissioner Alfieri **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this Board items can be found in the Board transcript, pages 78–86.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENTS OF CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS; CHRB RULE 1843.5, MEDICATION, DRUGS, AND OTHER SUBSTANCES PERMITTED AFTER ENTRY IN A RACE; AND CHRB RULE 1844, AUTHORIZED MEDICATION**, TO CODIFY THE BOARD'S PRIOR TEMPORARY SUSPENSION OF AUTHORIZED MEDICATION FOR ALL HORSES PARTICIPATING IN ALL LICENSED HORSE RACING MEETINGS.

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Amanda Drummond, Board Policy and Regulations Manager, said that concerns were raised at the February 2020 regular Board meeting about the definition of “entered to race.” Dr. Arthur stated that the proposed amendment would revert 1843.5(a) to the original language, providing that a horse was entered to race 48 hours before post time of the running of the race. Commissioner Castellanos **motioned** to approve the proposed amendment to Board Rule 1843.5, Medication, Drugs, and Other Substances Permitted After Entry in a Race, and Board Rule 1844, Authorized Medication. Commissioner Alfieri **seconded** the motion, which **unanimously carried**. Roll Call: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 87–94.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1503, QUALIFICATIONS FOR LICENSE AS TRAINER OR ASSISTANT TRAINER**, TO REQUIRE THAT INDIVIDUALS HOLD AN ASSISTANT TRAINER LICENSE IN GOOD STANDING FOR AT LEAST ONE YEAR AS A PREREQUISITE FOR A TRAINER LICENSE.

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Ms. Drummond stated that the proposed amendment to Board Rule 1503, Qualifications for License as Trainer or Assistant Trainer, would require that individuals hold an Assistant Trainer license in good standing for at least one year as a prerequisite to obtaining a Trainer License. Commissioner Solis **motioned** to approve the amendment to Board Rule 1503. Chairman Ferraro **seconded** the motion, which was **unanimously**

**carried.** Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 95–100.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1842, VETERINARIAN REPORT, TO REQUIRE SUCH REPORTS BE SUBMITTED ELECTRONICALLY.**

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Dr. Arthur stated that the proposed amendment to Board Rule 1842, Veterinarian Report, addressed a longstanding need, as treatment records were handwritten, difficult to read, and nearly impossible to search. The proposed amendment would require that treatment reports be submitted electronically, which would allow veterinarians to search by day, horse, trainer, drug treatment, or track. Commissioner Alfieri **motioned** to approve the amendment to Board Rule 1842. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 101–121.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1842.5, TRAINERS TO MAINTAIN MEDICATION TREATMENT RECORDS, OF ALL TREATMENTS GIVEN TO A HORSE, INCLUDING VETERINARY PROCEDURES PERFORMED AND ALL MEDICATIONS ADMINISTERED; SUCH RECORDS TO BE AVAILABLE FOR INSPECTION BY REPRESENTATIVES OF THE CHRB IN THEIR OFFICIAL DUTIES.**

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Mr. Brodnik stated that Board Rule 1842.5, Trainers to Maintain Medication Treatment Records, would require trainers to maintain a minimum record of all treatments administered to a horse in their care. Dr. Arthur clarified that the proposed regulation only

governed prohibited and prescribed medications and substances. Commissioner Castellanos **motioned** to adopt the proposed addition of Board Rule 1842.5. Commissioner Solis **seconded** the motion, which was **passed** five to one. Roll Call: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Solis. Nay: Mitchell. Motion carried. Further comments regarding this agenda item can be found in the Board transcript, pages 122–127.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO CHANGE THE ALLOWABLE DOSAGE OF FUROSEMIDE THAT CAN BE ADMINISTERED FROM NOT LESS THAN 150 MG AND NOT MORE THAN 500 MG TO 250 MG; AND TO PROHIBIT THE ADMINISTRATION OF FUROSEMIDE IN FOALS BORN IN 2018 AND SUBSEQUENT YEARS.**

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Ms. Drummond stated that the proposed amendment to Board Rule 1845, Authorized Bleeder Medication, would change the allowable dosage of Lasix that could be administered from not less than 150 milligrams and not more than 250 milligrams and would prohibit the administration of Lasix to foals born in 2018 and subsequent years. She said an alternative amendment to subsection (a)(1) was proposed that would prohibit the use of Lasix for two-year-old horses only. Josh Rubenstein, DMTC, stated the reasoning behind the proposed alternative amendment would put California on par with the rest of the country in that no two-year-old horses would receive Lasix beginning this year. Commissioner Alfieri **motioned** to direct staff to initiate a 15-day public comment period regarding the proposed amendment to Board Rule 1845. Commissioner Castellanos **seconded** the motion, which was **unanimously carried**. Roll call vote: Aye: Ferraro, Gonzales, Alfieri, Castellanos, Mitchell, Solis. Nay: None. Motion carried. Further

comments regarding this agenda item can be found in the Board transcript, pages 127–132.

**PUBLIC COMMENT**

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Substantial public comment was received and can be reviewed in depth in the Board transcript, pages 132–156.

**MEETING ADJOURNED AT 12:55 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

CALIFORNIA HORSE RACING BOARD

April 22, 2020  
REGULAR BOARD MEETING

**There is no board package material for Item 2**

CALIFORNIA HORSE RACING BOARD

April 22, 2020  
REGULAR BOARD MEETING

**There is no board package material for Item 3**

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM WATCH AND WAGER LLC (H) AT CAL EXPO TO APPROVE THE CARRYOVER OF FUNDS INTO A MANDATORY PAYOUT UPON REOPENING.

Regular Board Meeting  
April 22, 2020

ISSUE:

On April 1, 2020, harness racing at Cal Expo was suspended by order of the Sacramento County Health Department. Watch and Wager (WAW) is requesting approval to carry over money from betting pools that existed prior to the order to cease racing. WAW indicates they have \$61,650.75 in the Single6 pool, and \$9,814.70 in the Hi Five pool. The funds are currently being held by Northern California Off-Track Wagering, Inc. (NCOTWINC). WAW is requesting permission to have a mandatory payout on a specified date when their meet reopens in the fall.

ANALYSIS:

The race meet application submitted by WAW delineates the regulations which govern the Pick 6 and Pick 5 pool. The Pick 6 is governed by Association of Racing Commissioners International (ARCI) [Rule 004-105-G](#), Method 7. The Pick 5 is governed by California Horse Racing Board (Board) [Rule 1976.9](#).

Pick 5

Board Rule 1976.9 provides the rules governing the Pick 5. Subsection (h) states:

“Permission to distribute the Pick (n) carryover on a specific date and performance shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution.”

Here, the request to designate a mandatory payout date during the next race meet must be approved by the Board.

Additionally, subsection (j) states:

“If the Pick (n) carryover must be carried over to the corresponding Pick (n) pool of a subsequent meet, it shall be deposited in an interest-bearing account approved by the Board. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance designated by the association, with Board approval.”

Here, the interest-bearing account which will hold the funds until the approved mandatory payout date must also be approved by the Board.

Staff recommends the Board hear from WAW on the specified date of performance and the interest-bearing account the funds will be held in.

### Pick 6

The Pick 6 is governed by ARCI Rule 004-105-G, Method 7. Section 004-105-G-9 states:

A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must be for a specified date no greater than one (1) year from the date the request is submitted and contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution.

Here, a request from WAW to designate a mandatory payout date during the next race meet must be approved by the Board. The request cannot exceed 1 year from the date the request is submitted.

Additionally, Section 004-105-G-11 states:

“A written request for permission to transfer the Pick (n) carryover to another Pick (n) pool operated by the same pool host may be submitted to the Commission. The request must contain justification for the transfer, including an explanation of the benefit to be derived, a description of the method by which the pool host will present the information to the public that identifies the racetrack(s) for which the pool will be operated and the intended date(s) and performance(s) of the transfer.”

Section 004-105-G-13 states:

“Notwithstanding subsections (9) and (11), if for any reason the Pick (n) carryover must be held over to the corresponding Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.”

Similarly, the interest-bearing account which will hold the funds until the approved mandatory payout date must also be approved by the Board.

Staff recommends the Board hear from WAW on the specified date of performance and the interest-bearing account the funds will be held in.

### RECOMMENDATION:

This item is presented for Board discussion and action.

STAFF ANALYSIS  
April 22, 2020

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 17, 2020, AND CONTINUING THROUGH JULY 14, 2020.

The Alameda County Fair (ACF) has filed its application to conduct a horse racing meeting at Pleasanton as part of the 2020 combined fair horse racing meeting as follows:

- June 17 through July 14, 2020, with 15 race days, the same as in 2019. The ACF proposes to race a total of 163 races, the same as in 2019. It will be simulcasting on June 12, 13, 19, and 26 and July 3, 2020. Live racing will begin on June 19, 2020.
- At its March 2020 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs to designate the 2020 allocated race dates of the ACF (6/17/20–7/14/20), California Exposition and State Fair (7/15/20–8/4/20), Humboldt County Fair (8/19/20–9/1/20), and The Big Fresno Fair (10/7/20–10/20/20) as a combined fair horse racing meeting. The 2020 combined fair race meeting allows for the extended distribution time of the carryover pool.
- The proposed race dates are within the approved race dates allocated to the ACF, which are now part of the 2020 combined fair horse racing meeting.

June 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing Friday through Sunday for opening week, Thursday through Sunday for three weeks. Nine races on Thursdays, 10 races on Fridays, and 12 races on Saturdays and Sundays.
- Average number of runners per race, by breed, for 2019 Race Meeting:
  - Thoroughbred: 6.67
  - Quarter horse: 6.20
  - Arabian: 5.33
  - Mule: 5.11

- First post 1:45 p.m. on weekdays and weekends.
- Wagering program will use CHRB and Association of Racing Commissioners International rules.
  - Early wagering will not be offered.
  - \$1 TRI and \$0.10 SF when applicable.
  - \$.50 PNP4 on first four races and last 4 thoroughbred races each day.
  - \$.50 PNP5 on last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$.20 PNP6 on last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners, then 40% minor pool that day is paid to tickets selecting the most winners, and 60% major pool will be added to the carryover.
  - \$.20 Pentafecta (Super High 5) selecting first five finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers, then 40% minor pool paid to tickets selecting the first five finishers, and 60% of major pool will be added to the carryover. If no ticket selects first five finishers, 100% of the pool will be added to carryover. Super High 5 will be carded on last race of the day. The sixth race of each card will offer a player's exacta wager with a 14% takeout.
- Specific changes from the 2019 license application:
  - Programs, on-track, \$2.75 versus \$2.50 for 2019
  - Programs, off-track, \$2.75 versus \$2.50 for 2019
- The advance deposit wagering (ADW) providers are Churchill Downs Technology Initiatives Company dba BetAmerica; Churchill Downs Technology Initiatives Company dba TwinSpires; ODS Technologies, L.P. dba TVG Network; Watchandwager.com, LLC (Watch and Wager); and XpressBet, LLC (Xpressbet).

Pursuant to Business and Professions Code (BPC) section 19604, specific provisions must be met before an ADW provider can accept wagers.

#### Summary of BPC Section 19604

*To accept wagers on races **conducted in California** from a resident of California:*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California:*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.
- Documents received in compliance with BPC section 19604: None.
- Simulcasting conducted with other out-of-state racing jurisdictions, pursuant to BPC section 19602, and with authorized locations throughout California.
- Requests that Darrel Sparks be appointed horse identifier pursuant to Board Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the race meet begins.
- Track safety inspection has been requested and will be completed before the race meet begins.

Specific information **still needed** to complete this application:

1. 2020 ADW agreements with BetAmerica, TVG Network, TwinSpire, Watch and Wager, and XpressBet that allow said providers to accept wagers from California residents on races conducted at the ACF for the term of the proposed race meet.
2. Approval of the ADW agreements from the Arabian Racing Association of California.  
*The approval letters from the Pacific Coast Quarter Horse Racing Association and the American Mule Racing Association does not include Watch and Wager (WAW), which was listed on the application. Therefore, WAW is not allowed to accept wagers on Quarter Horse or Mule races conducted by CARF, unless CARF provides a revised letter.*
3. 2020 race meet agreements with the California Thoroughbred Trainers, Thoroughbred Owners of California, and Arabian Racing Association of California.
4. Lasix agreement that covers the term of the proposed race meet.

Specific information that will **need to be updated** during the race meet:

1. Worker's compensation coverage [expires July 1, 2020].

#### RECOMMENDATION:

If the Board approves the application, staff recommends that it be approved contingent upon the receipt of the missing items prior to the beginning of the race meet.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

**Alameda County Fair**  
**Alameda County Fair Agricultural Association**  
**4501 Pleasanton Avenue, Pleasanton, CA 94566**  
**Phone: 925-426-7600 Fax: 925-426-7599**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:  
**Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for race meeting: **June 17 – July 14, 2020**

B. Actual dates racing will be held: **June 19, 20, 21, 25, 26, 27, 28, July 2, 3, 4, 5, 9, 10, 11 & 12**

C. Dates racing will NOT be held: **Dark days will be June 17, 18, 22, 23, 24, 29, 30 July 1, 6, 7, 8, 13, 14**

D. Total number of racing days: **15**

E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) **Fri-Sun 1<sup>st</sup> Week; Thurs-Sun, 2<sup>nd</sup>, 3<sup>rd</sup> & 4<sup>th</sup> weeks 2020**

**3. RACING PROGRAM**

A. Total number of races: 163

B. Number of races by breed:

<input type="text" value="131"/>	Thoroughbreds	<input type="text" value="10"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="12"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

<b>CHRB CERTIFICATION</b>		
<b>Application received:</b> 3/16/2020		<b>Hearing date:</b> 4/22/2020
<b>Reviewed:</b> RP		<b>Approved date:</b>
		<b>License number:</b>

C. Number of races daily:

	Thurs 6/25&, Jul 2,9	Fri 6/19, 6/26, 7/3 & 7/10	Sat 6/20, 6/27, 7/4 & 7/11	Sun 6/21, 6/28, 7/5 & 7/12
Thoroughbred	7	8	9	10
Other Breeds	2	2	3	2
<b>Total</b>	<b>9</b>	<b>10</b>	<b>12</b>	<b>12</b>

D. Total number of stakes races by breed:

<input type="text" value="2"/> Thoroughbreds	<input type="text" value="0"/> Quarter Horses	<input type="text" value="0"/> Appaloosas
<input type="text" value="0"/> Arabians	<input type="text" value="0"/> Paints	<input type="text" value="0"/> Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stake’s races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **See Attached.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Weekdays	Weekends
Race # 1	1:45p.m.	1:45 p.m.
Race # 2	2:15 p.m.	2:15 p.m.
Race # 3	2:45 p.m.	2:45 p.m.
Race # 4	3:15 p.m.	3:15 p.m.
Race # 5	3:45 p.m.	3:45 p.m.
Race # 6	4:15 p.m.	4:15 p.m.
Race # 7	4:45 p.m.	4:45 p.m.
Race # 8	5:15 p.m.	5:15 p.m.
Race # 9	5:45 p.m.	5:45 p.m.
Race # 10		6:15 p.m.
Race # 11		6:45 p.m.
Race # 12		7:15 p.m.

*\* As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors: **Jack Balch, Dawna Dowdell-Dos Santos, Gordon Galvan, Bill Harrison, Ed Hernandez, Frank Imhof, Rose Johnson, Clayton Koopmann, Michael**

**2020 THOROUGHBRED STAKES**

***Alameda County Fair 2020***

(June 19 – July 12, 2020)

**STAKES SCHEDULE**

**JUAN GONZALEZ MEMORIAL**

Postponed

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$100,000 Guaranteed (\$40,000 CTBA)

*Golden State Series*

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, June 27

**OAK TREE SPRINT**

\$50,000 Added Overnight Stakes

*(Plus up to \$15,000 to Cal-Breds)*

Three Year Olds & Upward – Six Furlongs

Saturday, July 4

***OTP 2018***

(June 12 – July 19, 2019)

**STAKES SCHEDULE**

**JUAN GONZALEZ MEMORIAL**

Postponed

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$100,000 Guaranteed (\$40,000 CTBA)

*Golden State Series*

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, July 6

**OAK TREE SPRINT**

\$50,000 Added Overnight Stakes

*(Plus up to \$15,000 to Cal-Breds)*

Three Year Olds & Upward – Six Furlongs

Thursday, July 4

***OTP 2018***

(June 23 – July 17, 2018)

**STAKES SCHEDULE**

**JUAN GONZALEZ MEMORIAL**

\$50,000 Added Overnight Stakes

*(Plus, up to \$15,000 to Cal-Breds)*

Two-Year-Old Fillies – Five & ½ Furlongs

Saturday, June 30

**EVERETT NEVIN FUTURITY (CAL-BREDS)**

\$100,000 Guaranteed (\$40,000 CTBA)

*Golden State Series*

Two-Year-Old Fillies – five & ½ Furlongs

Saturday, Jul 7

**OAK TREE SPRINT**

\$50,000 Added Overnight Stakes

*(Plus up to \$15,000 to Cal-Breds)*

Three Year Olds & Upward – Six Furlongs

Wednesday, July 4

**Mahoney, Chuck Moore, George Pacheco, Don Sherratt, Robert Silva, Craig Smith, John Smith, Christopher C. Walvoord, Tim Weaver, Eric Wente, Robin Wilma**

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chair, Gordon Galvan, Vice Chair, Frank Imhof, Directors, Chuck Moore, Don Sherratt, Bob Silva, Craig Smith and John Smith.**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program: **Alameda County Fair: Jerome Hoban, C.E.O., Jeanne Wasserman, Racing Manager, Richard Sims, Maintenance Operations Manager, Steve Wood, Track Superintendent, TBD, Track Announcer and Kevin Ingram, Stable Superintendent.**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Jerome Hoban, C.E.O.**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
[jhoban@alamedacountyfair.com](mailto:jhoban@alamedacountyfair.com)

**Jeanne Wasserman, Racing Manager**  
**4501 Pleasanton Avenue**  
**Pleasanton, CA 94566**  
[jeanne@alamedacountyfair.com](mailto:jeanne@alamedacountyfair.com)

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2019	\$36,768,682	75,325	15 days
2018	\$33,979,631	81994	15 days
2017	\$29,086,735	71,641	11 Days
2016	\$29,513,427	71,822	12 Days
2015	\$31,461,781	75,049	12 Days

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: **\$1,879,442**  
 Prior meet actual: **\$1,879,442**

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: **\$125,296**

Prior meet actual: **\$125,296**

2. Overnight stakes:  
 Current meet estimate: **\$100,000**  
 Prior meet actual: **\$100,000**

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: **\$6,667**

Prior meet actual: **\$6,667**

3. Non-overnight stakes:  
 Current meet estimate: **\$50,000**  
 Prior meet actual: **\$50,000**

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: **\$3,333**

Prior meet actual: **\$3,333**

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: **\$2,029,442**  
 Prior meet actual: **\$2,029,442**

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$164,342**

Prior meet actual: **\$164,342**

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

CTT **\$ 5,284**

TOC **\$ 10,408**

Prior meet actual:

**\$ 5,284**

**\$ 10,408**

NTRA	\$ 835	\$ 835
PCQHRA	\$ 1,402	\$ 1,402
CWAR	\$ -0-	\$ -0-
ARAC	\$ 2,648	\$ 2,648
AMRA	\$ 2,574	\$ 2,574
CHBPAPEN	\$ 15,612	\$ 15,612
CTHF	<u>\$ 15,612</u>	<u>\$ 15,612</u>
Total	\$ 54,375	Total \$54,375

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$2,248,159**

Prior meet actual: **\$2,248,159**

Average Daily Purse (7D ÷ number of days):

Current meet estimate: **\$149,877**

Prior meet actual: **\$149,877**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: **\$1,884,051**

Prior meet actual: **\$1,884,051**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$125,603**

Prior meet actual: **\$125,603**

- F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$196,063**

Prior meet actual: **\$196,063**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$13,071**

Prior meet actual: **\$13,071**

- G. Bank and account number for the Paymaster of Purses' purse account:

**On file**

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Rossman, MacDonald & Benetti, 3838 Watt Ave. Suite E500, Sacramento, CA. 95821, (916) 488-8360**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the

account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**684 stalls**
- B. Minimum number of stalls believed necessary for the meeting:  
**1200**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **800**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**California State Fair**  
**1600 Exposition Blvd., Sacramento, CA 95825**  
**916-263-3000/Fax- 916-263-3230**  
**800 Stalls.**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**California State Fair is a CARF member, no agreement is necessary.**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**884**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$13.18**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
**Dr. Forrest Franklin 916-585-2050**  
**Dr. Sara Sporer 510-220-4860**
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.  
**Pleasanton – June 4 – July 7; 6:00 am till 11:00 am.**  
**Golden Gate Fields - June 4 – July 7; 6:00 am till 11:00 am.**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No **(On File with CHRB)**



March 17, 2020

California Horse Racing Board  
 Attn: Jackie Wagner  
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2020 Race Meet Applications for the Alameda County Fair, California State Fair, Humboldt County Fair and The Big Fresno Fair:

The following is the CARF wagering format:

	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
<b>Race #1</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9</b>
<b>Race #2</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1</b>
<b>Race #3</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7</b>
<b>Race #4</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g) Method 7</b>
<b>Race #5</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7</b>
<b>Race #6</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7</b>
<b>Race #7</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7</b>
<b>Race #8</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4</b>
<b>Race #9</b>	<b>\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5</b>	<b>CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4</b>



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Executive Director

**\$.20 PNP6 on the last six races each day. 100% major pool paid and any prior carryovers for unique serial number wager selecting all six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique serial number wager selecting the first five finishers in exact order. If no unique serial number wager selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers in exact order and 60% of major pool will be added to the carryover. If no wager selects the first five finishers in exact order, then 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

**The aforementioned Pari-Mutuel Carryover Pools can be carried over from CARF Meet to CARF Meet and run as 1 (one) continuous meet. There will be a Mandatory Payout Date of Sunday, October 18, 2020, which is the Closing Day of The Big Fresno Fair.**

- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.20 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT	
			Yes X	No
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	<input type="checkbox"/>	<input type="checkbox"/>
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	<input type="checkbox"/>
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	<input type="checkbox"/>
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	<input type="checkbox"/>
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	<input type="checkbox"/>
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g) Method 7	<input type="checkbox"/>	<input type="checkbox"/>
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	<input type="checkbox"/>	<input type="checkbox"/>

Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**

D. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **10/18/2020.**

E. List any options requested with regard to exotic wagering:  
**\$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day**  
**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**  
**\$.020 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day. The sixth race of each card will offer a player's exacta wager with a 14% takeout.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
**Amtote (Tony Furtado) Expiration: October 30, 2020.**  
**Equipment description on file with Board.**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%. Exacta in the 6<sup>th</sup> race 14%. Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

**11. ADVANCE DEPOIST WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Watch & Wager; Twin Spires; Express Bet, T.V.G. (Television Games Network) and Bet America.**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?  
Yes  No

If yes, attach a copy of the approval. **On file with CHRB.**  
 If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

**NORTHERN CALIFORNIA**

- Alameda County Fair, Pleasanton**
- Bankers Casino, Salinas**
- Big Fresno Fair, Fresno**
- California State Fair & Exposition, Sacramento**
- Club One, Fresno**
- Firehouse Restaurant, Bakersfield**

**SOUTHERN CALIFORNIA**

- Barona Valley Ranch Resort & Casino, Lakeside**
- Cabazon Fantasy Springs Casino, Indio**
- Commerce Casino Racebook, Commerce**
- Derby Club, Seaside Park, Ventura**

**Golden Gate Fields, Albany**

**\*Humboldt County Fair, Ferndale**

**Jockey Club at San Mateo, San Mateo**

**Monterey County Fair, Monterey  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa**

**Stanislaus County Fair, Turlock**

**Fairplex Park, Pomona  
 Fantasy Springs Casino, Indio  
 Los Alamitos Race Course, Los Alamitos  
 Mermaid Tavern, Thousand Oaks  
 OC Tavern & Sports Bar, San Clemente  
 Roadhouse Grill, Santa Maria  
 Sammy's Restaurant & Bar, Lake Forrest  
 Santa Anita Park, Arcadia  
 Santa Clarita Lanes, Santa Clarita  
 Shalimar Sports Center, Indio  
 Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 Sycuan Gaming Center, El Cajon  
 Tilted Kilt, Thousand Oaks  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster**

**\*Open only during Humboldt/Ferndale Fair Racing**

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

**Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)**

<b>2020 IMPORT TRACKS</b>	
ARLINGTON	6/17/20
BELMONT	6/17/20
ASSINIBOIA	6/17/20
AUSTRALIAN RACING	6/17/20
BEULAH	6/17/20
CALDER RACECOURSE	6/17/20
CANTERBURY DOWNS	6/17/20
CHARLES TOWN	6/17/20
CHURCHILL DOWNS	6/17/20
DELAWARE	6/17/20
DOWNS AT ALBUQUERQUE	6/17/20
ELLIS PARK	6/17/20
EMERALD DOWNS	6/17/20
EVANGELINE DOWNS	6/17/20
FORT ERIE	6/17/20
GULFSTREAM	6/17/20
HASTINGS PARK	6/17/20
HAWTHORNE	6/17/20
INDIANA DOWNS	6/17/20
KEENLAND	6/17/20
KENTUCKY DOWNS	6/17/20
LAUREL	6/17/20
LONE STAR	6/17/20
LOUISIAN DOWNS	6/17/20
MONMOTH	6/17/20
MOUNTAINEER PARK	6/17/20
NYRA-AQUEDUCT	6/17/20
NYRA-BELMONT	6/17/20
NYRA-SARATOGA	6/17/20
PENN NATIONAL	6/17/20
PHILADELPHIA PARK (PARX)	6/17/20
PIMLICO	6/17/20
PRAIRIE MEADOWS	6/17/20
PRESQUE ISLE	6/17/20

RIVER DOWNS	6/17/20
SOUTH AMERICAN RACING	6/17/20
SUFFOLK DOWNS	6/17/20
SUNLAND PARK	6/17/20
SUNRAY PARK	6/17/20
TAMPA BAY	6/17/20
THISTLEDOWN	6/17/20
TURFWAY PARK	6/17/20
TURF PARADISE	6/17/20
UNITED KINGDOM	6/17/20
WOODBINE	6/17/20

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2020 CARF Meets  
Commingled  
Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Cedar Downs OTB	Thistledown
Canterbury	Race bets	Northville	Tioga Downs
Capital District OTB	Greenetrack	NYRA	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA Account Wagering	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Ocean Downs	Turfway Park
Churchill Downs	Harrington Raceway	Panama	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	PARX	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX Phone Bet	TVG Prairie
Colonial Downs	Hawthorne ADW	Penn National	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National Telebet	TwinSpires
Columbus Raceway	Hoosier Park	Peru	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Plainridge Race Course	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Telephone Wagering	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Player Management Group	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Pocono Account Wagering	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing		Western OTB

Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomini Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW Bet America and Offtrackbetting	Racing & Gaming Services	<b>Separate Pool Locations</b>
Millenium Racing	Lone Star	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	LVDC	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot		Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Bakersfield, 58 Flat Sports Bar & Casino, Bonita, Ocean's 11, Oceanside, Original Road House Grill, Santa Maria, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Mission Viejo.**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>See 11D above.</b>	<b>TBD</b>	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **N/A**

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>Los Alamitos</b>	<b>Quarter Horses</b>	<b>Per CHRB Calendar Full Card</b>	
<b>Cal Expo</b>	<b>Harness Horses</b>	<b>Per CHRB Calendar Full Card</b>	

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).  
**N/A**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### **13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
 Association Veterinarian(s) – **Sarah Sporer, D.V.M.**  
 Clerk of Scales – **Kenneth Sjoldal**  
 Clerk of the Course – **Tina Walker**  
 Assistant Clerk of Scales – **Kenny Fowler**  
 Film Specialist – **Kenny Fowler**  
 Horse Identifier – **Darrel Sparks**  
 Horseshoe Inspector – **Augustine Rayas**  
 Paddock Judge – **Danny Winnick**  
 Patrol Judges – **Danny Winnick**  
 Placing Judges – **Steve Martinelli & Morgann Schlessler**  
 Starter – **Todd Stephens**  
 Timer – **Melody Truitt**
- B. Management officials in the racing department:  
 Director of Racing – **Larry A. Swartzlander**  
 Racing Secretary – **Tom Doutrich**  
 Assistant Racing Secretary – **Linda Anderson**  
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**  
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Niccoli Reporting**  
**619 Pilgrim Dr.**  
**Foster City, CA 94404**  
**Telephone: 650-573-9339**  
[pdqcsr@comcast.net](mailto:pdqcsr@comcast.net)

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA – Bill O’Brien (Expires 12/7/21)**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/21)**  
**Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2021)**

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**  
**Racetrack/Grandstand: Alameda County Sheriff Chief in Charge 925-426-7525**  
**Liaison Officer: Commander Colby Staysa 510-529-5451 and Sergeant Kevin Estep 925-321-1796.**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:  
**Racetrack/Grandstand: 8 Sheriff’s Deputies**  
**Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.  
**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**
  2. Detention Stalls:
    - A. Attach a plan for use of graded stakes or overnight races.  
**N/A. Addressed in B1 above.**
    - B. Number of security guards in the detention stall area during a 24-hour period.  
**One**
    - C. Describe number and location of surveillance cameras in detention stall area.  
**Surveillance cameras at each end of the detention shed row and two in between.**
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in thoroughbred races where the number is determined by a random algorithm generator.**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Trainer with high test results will be moved to the detention area.**

**Item #14 A:**

**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

**Alameda County Agricultural Fair Association**

Jerome Hoban, CEO	925-567-6032
Richard Sims, V.P. of Maintenance Operations	925-596-5744
Judy Carrico, Senior Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

**Security Eye**

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Office, various personnel	925-426-7519

**Alameda County Sheriff Department**

Fairgrounds Command Center	925-426-7525
Commander Colby Staysa	510-529-5451
Sergeant Kevin Estep	925-321-1796

***Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.***

- C. Plan for detention stalls for repeat offenders.  
**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**
- D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.  
**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**
  - 1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**4 surveillance cameras monitoring this area**

## 15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.**
  - 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See Attached**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
**American Medical Response, 1101 Fee Dr., Sacramento, CA 95815, (916) 563-0838**
  - 1. Attach a certification from the Ambulance Company(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**See Attached**
- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**N. P. Breena Silzinos, 559-977-8477.**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Attached.**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):  
**California Fair Services Authority**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be



# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

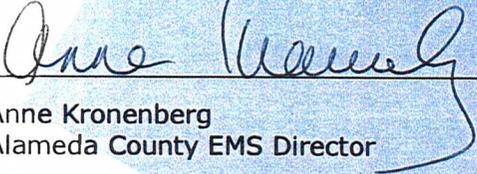
This is to certify that:

## Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 7, 2018** to **December 6, 2020**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

  
Anne Kronenberg  
Alameda County EMS Director

  
Karl Sporer, MD.  
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



Compassion. Commitment. Caring

March 2, 2020

Jeanne Wasserman  
Alameda County Fairgrounds  
4501 Pleasanton Ave  
Pleasanton, CA 94566

Royal Ambulance Inc. is compliant with all Federal, State and local requirements to operate as an Ambulance Company in Alameda County and other jurisdictions where we provide service. Our EMT's are Certified by the California Emergency Medical Services Authority and copies of the Certifications will be provided upon request

Sean Young

*Sean Young*

Operations Manager  
14472 Wicks Blvd  
Sant Leandro, CA 94577  
510-568-6161



March 16, 2020

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

Daniel Iniguez

(916) 563-0835

Operations Manager AMR Sacramento County

March 7, 2020

Paramedic for Live Race Meet:

The Alameda County Fair contracts with Royal Ambulance for training a live racing. Royal is a BLS, as required for training.

To meet the requirement for a Paramedic during the live races two Alameda County Fire Department Paramedics will be on a full medically equipped modified golf cart with a stretcher. This vehicle is equipped to handle medical emergencies just as an ambulance would be. The paramedic will be staged at the entrance to the race track, ready to go should an emergency occur.

## **Item #15F English:**

### **Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

#### **Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

#### **Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

#### **Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

## **Plant Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

## **Announcer**

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

## **Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

## **All Department Heads**

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## **Item #15 F Spanish:**

### **Procedimiento en caso de Accidente en Alameda County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### **El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

#### **Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

#### **Personal de Carreras/Veterinario del hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

## **Personal de Planta/Plant Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

## **Locutor**

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

## **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

## **Todo los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



**Alameda County Fire Department**  
**FIRE PREVENTION**

[www.acgov.org/fire](http://www.acgov.org/fire)

March 24, 2020

DAVID A. ROCHA  
Fire Chief

COUNTY  
FIRE PREVENTION  
399 Elmhurst, Room 120  
Hayward, CA 94544  
tel (510) 670-5853  
fax (510) 887-5836

DUBLIN  
FIRE PREVENTION  
100 Civic Plaza  
Dublin, CA 94568  
Tel (925) 833-6606  
Fax (925) 829-9248

EMERYVILLE  
FIRE PREVENTION  
1333 Park Avenue  
Emeryville, CA 94608  
Tel (510) 596-3759  
Fax (510) 450-7812

NEWARK  
FIRE PREVENTION  
37101 Newark Blvd  
Newark, CA 94560  
Tel (510) 578-4218  
Fax (510) 578-4281

SAN LEANDRO  
FIRE PREVENTION  
835 E 14th Street  
San Leandro, CA 94577  
Tel (510) 577-3317  
Fax (510) 618-3445

UNION CITY  
FIRE PREVENTION  
34009 Alvarado-Niles Road  
Union City, CA 94587  
Tel (510) 675-5470  
Fax (510) 487-2117

Jerome Hoban, CEO  
Alameda County Fair  
4501 Pleasanton Avenue  
Pleasanton, CA 94566

RE: Annual Stable Area Inspections

Dear Mr. Hoban:

ACFD conducted inspections of the stable area on 2/27/2020 and 3/2/20. The facility was found to be empty and without any violations as of the 3/2/20 inspection.

Sincerely,

Bonnie S. Terra  
Division Chief



June 20, 2019

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Alameda County Fair  
4501 Pleasanton Avenue  
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. GENERAL LIABILITY PROGRAM**

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority (includes liquor liability)  
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)  
Coverage provided by CSAC Excess Insurance Authority  
Term: 7/1/19 to 7/1/20

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM**

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 7/01/2019 to 7/01/2020

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

*Mario Castagnola*

Mario Castagnola  
Risk Analyst

**1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815  
PHONE: (916) 921-2213 | FAX: (916) 646-1238**

**WWW.CFSA.ORG**

available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Food & Beverage:**

**Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001**

**Food Service: Ovations Fanfare, LP, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566**

**Jetter Golf: Dana and Greg Jetter, 4501 Pleasanton Ave., Pleasanton, CA 94566**

**Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005**

**Program: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005**

**Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514**

**Jockey Laundry Service Winner's Circle Laundry, Donald Fowler, 539 Woodside Oaks #3, Sacramento, CA 95825**

**Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590**

**Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492**

**Armor Car: NOTWINC, 6801 Koll Center Parkway, Suite 140, Pleasanton, CA 94566**

- B. Does the fair plan to provide its own concessions? Yes  No

## 17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

**See Attached**

- B. Promotional/ Marketing budget for this race meeting:

**Fair Marketing budget of \$2 million in cash and in-kind advertising spent to promote the fair which includes the race meet. \$95,000 is dedicated to race-meet specific marketing, promotions and events.**

Promotional/Marketing budget for prior race meeting:

**More than \$2 million in cash and cash equivalents (in-kind advertising) was spent to promote the fair which includes the race meet. \$87,000 was dedicated to race-meet specific marketing, promotions and events.**

- C. Number of hosts and hostesses employed for meeting:

**4: 2 at Trackside Terrace and 2 in the Sky Lounge**

- D. Describe facilities set aside for new fans:

**Daily Racing Seminars, located on the Grandstand Stage**

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. **Horsemen and Fans: Fan Cave for sport in the upper level.**

2. **Fans: Dedicated wagering lounge; no one under 18 admitted, no charge to enter.**

**Sky Lounge. This room was formally our Directors VIP Lounge. We have opened it up to the public and renamed it. Horsemen and the public will be able to make reservations.**

**The cost is \$50 and includes a buffet lunch.**

**The racing signal is broadcast in HD to both our grandstand and Satellite Wagering Facility.**

- 3. Facilities in the restricted areas: **Tack rooms, shed rows, hot walker areas, stalls and common areas have had a thorough cleaning. Last year the odd side of the barns had stall doors repaired and painted. This year we have worked on the even side of barns.**

**18. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from previous year:

Admission rates are held at the same prices from last year.

Admission, Grandstand (general)	Free With Fair Admission
Admission Adult, Fair	\$15
Admission Adult, <u>Advanced</u>	\$12
Admission, Seniors 62+	\$10 (Senior Free day on Fridays)
Admission, Seniors 62+ <u>Advanced</u>	\$8
Fair Admission/Children (6-12)	\$10 (Free on Fridays)
Fair Admission/Children <u>Advanced</u> (6-12)	\$8
Admission (clubhouse)	N/A
Admission Trackside Terrace	\$40 pre-fair, includes fair admission and buffet
Admission Trackside Terrace	\$40 after fair opens, includes buffet
Admission Sky Lounge	\$50 pre-fair, includes, fair admission and buffet
Admission Sky Lounge	\$50 after fair opens, includes buffet
Reserved seating, 1 box seat	\$6
Reserved seating general	\$5
Reserved seating (clubhouse)	N/A
Parking (general)	\$10
Parking (preferred)	\$15
Parking, WP Lot	\$20
Parking (valet)	N/A
Programs (on-track)	\$2.75
(Off-track)	\$2.75

- B. Describe any "Season Boxes" or other special accommodation fees:  
**Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. There are no price increases for seasonal boxes. Prices are held at the 2017 rates.**

- C. Describe and "package" plans such as combined parking, admission and program:  
**Family Fun Pack:** (\$50 4-General Admission, 1 General Parking  
**Season Pass:** (\$40.00) Fair Admission for all 18 days. Premium Season Pass \$80, includes parking.

**19. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:  
**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.  
 Jockeys & Jockettes share the scale.**

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **107**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,280
-------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner Rail: Fontana Safety Rail**  
**Outer Rail: Aluminum gooseneck, supports with aluminum top rail**  
**Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to

conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

**No Exceptions**

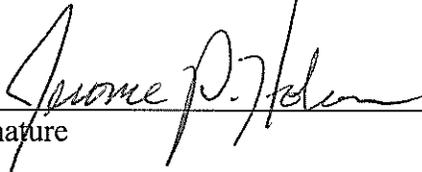
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**All Horsemen's agreements will be forwarded to CHRB NLT 5 April, 2019.**
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban  
Alameda County Fair CEO

  
\_\_\_\_\_  
Signature  
  
3-27-20  
\_\_\_\_\_  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN  
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO  
ESTABLISH CONDITIONS, BETWEEN THE CALIFORNIA AUTHORITY OF RACING  
FAIRS (CARF) AND THE THOROUGHBRED OWNERS OF CALIFORNIA (TOC),  
REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES OR  
MEDICATIONS TO BE IMPLEMENTED BY CARF AT THE CALIFORNIA  
EXPOSITION AND STATE FAIR.

Regular Board Meeting  
April 22, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are

necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the Thoroughbred Owners of California (TOC). Additionally, any agreement must be approved by the Board before entries are taken.

RECOMMENDATION:

Staff recommends the Board hear from a representative of the Alameda County Fair and the TOC.

STAFF ANALYSIS  
April 22, 2020

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE  
COURSE JUNE 24, 2020 THROUGH JULY 7, 2020

Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course June 24, 2020 through July 7, 2020, or 6 days; 3 less than in 2019. Live racing will commence June 26, 2020 through July 5, 2020. LARA proposes to race a total of 52 races, or 8.6 races per day. In 2019, LARA ran 8.67 races per day with an average of 6.49 runners per race. The (actual) average daily purse for the 2019 LARA meet during the same time period was \$288,895. The (estimated) average daily purse for this meet is \$317,771.

June - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July - 2020						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing 3 nights per week, Friday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with the Alameda County Fair at Pleasanton.
- First live post 1:00 p.m. daily.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 8:30 a.m. and end at 10:00 a.m.
  - \$2 Rolling Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
  - \$1 Trifecta on eligible races.
  - \$0.10 Superfecta on all qualifying races.
  - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
  - \$1 Pick n Pool 4 starting on second race and last 4 races. Alternate runner provision.
  - \$0.50 Pick n Pool 5 will be offered starting on the first race. 14% takeout. One tier payoff, 100% carryover.
  - \$1 Pentafecta (Super High Five) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation, 100% carryover if no ticket has five winners.

- The ADW providers are XpressBet, TVG, TwinSpires and NYRABets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

*To accept wagers on races **conducted in California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races **conducted outside of California** from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- TwinSpires has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Audited Financials.
2. Pertinent 2020 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows LARA designated ADW providers [Xpressbet, TVG, and NYRABets, LLC] to accept wagers on races conducted in and outside of California for the applied license term.
3. Race-day furosemide administration agreement.

4. Pari-Mutuel Employees' Guild Local 280 negotiation in progress [current agreement expires 6/23/2020].

Specific information which will **need updating** during the proposed race dates:

1. Bond [expires July 1, 2020]

**RECOMMENDATION:**

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: F. Jack Liebau, c/o Los Alamitos Racing Association, 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2800, Fax (714) 820-2743. jackliebau@yahoo.com

B. Breed of horse: TB  QH  H

C. Racetrack name: Los Alamitos Racing Association

C. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. Deposit on file with the CHRB

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars. Bond on file with CHRB

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: June 24, 2020 through July 7, 2020

B. Actual dates racing will be held: 6/26, 6/27, 6/28, 7/3, 7/4, 7/5

C. Total number of days or nights of racing: 6

D. Days or nights of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) Fri - Sun

**CHRB CERTIFICATION**

Application received: 3/20/20  
Deposit received: On file  
Reviewed: NLG

Hearing date: 4/22/20  
Approved date:  
License number:

E. Number of days or nights of racing per week: 3

**3. RACING PROGRAM**

A. Total number of races: 52

B. Number of races for each day or night: 8 races on weekdays, 9 on weekends

C. Total number of stakes races: 3

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Attached

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). Attached

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

List all post times for the daily racing program:

- Race 1 – 1:00 pm
- Race 2 – 1:30 pm
- Race 3 – 2:00 pm
- Race 4 – 2:30 pm
- Race 5 – 3:00 pm
- Race 6 – 3:30 pm
- Race 7 – 4:00 pm
- Race 8 – 4:30 pm
- Race 9 – 5:00 pm

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

2020	2019	2018
\$100,000 Soi Phet Stakes Cal Bred	\$100,000 Bertrando Stakes Cal Bred	\$100,000 Bertrando Stakes Cal Bred
\$200,000 Great Lady M Stakes GrII	\$200,000 Great Lady M Stakes GrII	\$200,000 Great Lady M Stkes GrII
\$100,000 Los Alamitos Derby GrIII	\$150,000 Los Alamitos Derby GrIII	\$150,000 Los Alamitos Derby GrIII

Copy of LA Purses Summer 2020

TYPE	PURSE	X	TYPE 1	PURSE 1
MDN CLM 20,000	\$15,000.00			
MDN CLM 30,000	\$17,000.00		CLM 32000 NWY	\$25,000.00
MDN CLM 40,000	\$18,000.00		CLM 25,000 NW2 LIFETIME	\$22,000.00
MDN CLM 50,000	\$21,000.00		CLM 25,000	\$24,000.00
MDN CLM 75,000	\$26,000.00		SA 25,000	\$25,000.00
MDN ALW	\$40,000.00		CLM 32,000	\$30,000.00
			SA 40,000 NW2 LIFETIME	\$26,000.00
CLM 6,250 NW2 CLAUSE OR YEAR	\$12,000.00		CLM 40,000	\$32,000.00
CLM 6,250	\$13,000.00		CLM 50,000	\$35,000.00
CLM 8,000 NW2 CLAUSE OR YEAR	\$14,000.00		SA 50000 NW2 LIFETIME	\$28,000.00
SA 8000	\$15,000.00		CLM 62,500	\$38,000.00
CLM 8,000	\$15,000.00			
CLM 10,000 NW2 CLAUSE OR YEAR	\$15,000.00			
CLM 10,000	\$16,000.00		ALW 1X OPT/CLM 40-80	\$45,000.00
CLM 12,500 NW2 CLAUSE OR YEAR	\$16,000.00		ALW 2X OPT/CLM 62,500	\$48,000.00
CLM 12,500	\$17,000.00		ALW 3X OPT/CLM 80,000	\$55,000.00
SA 12,500	\$18,000.00		OVERNIGHT STAKE	\$75,000.00
CLM 16,000 NW2 LIFETIME	\$17,000.00		STAKE	\$100,000.00
CLM 16,000	\$18,000.00			
SA 16,000	\$20,000.00			
CL20,000	\$21,000.00			

# LOS ALAMITOS RACE COURSE

## STAKES SCHEDULE

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*Closing Saturday, June 20, 2020*

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Saturday, June 27, 2020

\$100,000 Guaranteed



### **SOI PHET STAKES (Cal Bred)**

For Three Year Olds and Upward

*One Mile*

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*Closing Saturday, June 27, 2020*

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Saturday, July 4, 2020

\$200,000 Guaranteed

### **GREAT LADY M STAKES - Grade II**

For Fillies And Mares Three Year Olds and Upward

*Six And One Half Furlongs*

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*Closing Saturday, June 27, 2020*

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Saturday, July 4, 2020

\$100,000 Guaranteed

### **LOS ALAMITOS DERBY - Grade III**

For Three Year Olds

*One Mile And One Eighth*

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B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. On file with CHRB

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: : C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
  - Dr. Edward Allred – President, Director 100%
  - Rick English 0%, CFO
  - Tom Siebly 0%, Director
  - Don Valpredo 0%, Director
  - Frank Sherren 0%, Director
  - Cathy Monji 0%, Director and Secretary
  - Jack Liebau 0%, Director
  - Richard Shapiro 0%, Director
  - Mike Harrington 0%, Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: 2,000
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: n/a
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
 n/a
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:

3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Most current statement on file with CHRB
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C. Allred, President, CEO  
 F. Jack Liebau, Vice-President  
 Rick English, CFO  
 Orlando Guterrez, Director of Publicity & Marketing  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 John Ferrero, Director of Mutuels  
 Michael Wrona, Track Announcer  
 Cathy Monji, Director of Security  
 Robert Hurd, Director of Operations

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). F. Jack Liebau, 4961 Katella Ave., Los Alamitos, CA 90720. jackliebau@yahoo.com

**5. TAKE OUT PERCENTAGE**

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double  
 Proposed percentage: Pick 5 – 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



April 3, 2020

Ms. Carmen Luera  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2020 Los Alamitos Summer Race Meet – Players Pick 5

Dear Ms. Luera,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of the “Players Pick 5” on the first five (5) races of the day subject to a 14% takeout at its upcoming Summer race meet from June 25 through July 5, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads 'Greg Avioli'. The signature is written in a cursive, flowing style.

Greg Avioli  
President & CEO

cc: Jack Liebau, Los Alamitos  
Mike Marten, CHRB



April 3, 2020

Ms. Carmen Luera  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: 2020 Los Alamitos Summer Race Meet – Rolling Doubles

Dear Ms. Luera,

Los Alamitos has contacted the Thoroughbred Owners of California (“TOC”) regarding the conducting of “Rolling Doubles” wagers, subject to a 20% takeout, on each racing day of its upcoming Summer race meet from June 25 through July 5, 2020. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

A handwritten signature in black ink that reads "Greg Avioli". The signature is written in a cursive, flowing style.

Greg Avioli  
President & CEO

cc: Mike Marten, CHRB  
Jack Liebau, Los Alamitos



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • [www.losalamitos.com](http://www.losalamitos.com)

March 20, 2020

California Horse Racing Board

Pursuant to California Business and Professions Code Section 19601.1, the Los Alamitos Racing Association, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during the Los Alamitos Summer Meet, June 24, 2020 through July 7, 2020.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick 5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

Very truly yours,

F. Jack Liebau

Year	Handle	Attendance
'19 - 9 summer race days	67,587,735	71,130
'18 -12 summer race days	72,091,294	75,215
'17 - 8 summer race days	45,954,114	51,273
'16 -12 spring race days	88,474,295	88,205
'15 - 8 summer race days	57,868,885	66,829

Note that the 2019 meet was a three week meet that included 9 live cards and 12 simulcast only days.

**7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):**

**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate: 1,280,801  
 Prior meet actual: 1,876,545

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: 213,467  
 Prior meet actual: 208,505

2. Overnight stakes:

Current meet estimate:  
 Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:  
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: 380,967  
 Prior meet actual: 379,700

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: 63,494  
 Prior meet actual: 42,189

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 1,661,768  
 Prior meet actual: 2,256,245

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 16.5%  
 Prior meet actual: 17.0%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 2.75%  
 Prior meet actual: 1.89%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: 201,722  
 Prior meet actual: 282,788

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:
TOC	15,034	23,317
CTT	22,551	34,977
NTRA	5,552	2,725
Total	43,137	Total 61,019

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: 1,906,627  
 Prior meet actual: 2,600,051

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: 317,771  
 Prior meet actual: 288,895

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: 1,254,641  
 Prior meet actual: 1,946,581

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: 209,107  
 Prior meet actual: 216,288

G. Purse funds to be generated from interstate handle:

Current meet estimate: 386,965  
 Prior meet actual: 642,417

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: 64,494  
 Prior meet actual: 71,380

H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West  
 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen & McBeth Inc. 10722 Arrow Route, Suite 110  
 Rancho Cucamonga, CA 91730 (909) 944-6465 email – craigbmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 850
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,300
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita--1,900; San Luis Rey Downs -- 400
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

The 2020 Southern California off-site Stabling Agreement is on file with CHRB

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): n/a
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$15.28
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita – \$115 round trip San Luis Rey Downs - \$215 round trip

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours,

workouts and during racing for the association and auxiliary sites: See attachment.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. See attachment.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race:	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 0.10 SF	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1,
Race #2	\$2DD \$1E \$1Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD\$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1PK3 \$2PNP6 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1 Tri \$1PK3 \$1PNP4 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1PK3 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1979.1
Race #7	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #8	\$2DD \$1E \$1 Tri \$1PK3 \$2DD 0.10 SF	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1
Race #9	\$2DD \$1E \$1 Tri \$1PK3\$2DD 0.10 SF \$1 Super Hi5	CHRB #1957, 1959, 1979, 1977, 1957, 1979.1, ARCI #004-105Subsection X Pick (n) Position (x) Pools)

- \*\$2 Rolling Daily Double. 20% takeout. Placement of last DD will depend on # of races.
- \*\$1 Trifecta on all eligible races. (CHRB 1979)
- \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- \* Incoming simulcasts will follow host track format.
- \* \$2 PNP6 last six live races 70% carryover, 30% minor, alternate runner provision (CHRB 1976.9)
- \*\$1 PNP4 starting second race and last four races, alternate runner provision (CHRB 1976.9)
- \*\$0.50 PNP5 starting first race. 14% takeout. One tier payoff: 100% carryover
- \*\$1 Super High 5 in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation: 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. None
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited, final distribution 7/5/2020
- D. List any options requested with regard to exotic wagering:
- E. Will "advance" or "early bird" wagering be offered? Yes  No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

Supplemental Answer to Question 9 of Los Alamitos Racing Association's License Application

The Track Veterinarian is Dana Steed (970)310-4449. In the morning, the track veterinarian pre-checks fifty percent of the horses entered on a particular day. Thereafter, he observes horses when they are in the paddock, views them in the post parade, accompanies them to the Starting Gate and then follows them during the race. When they return to be unsaddled, he will again observe them for "soundness". In short, the Track Veterinarian is on site in the morning inspecting the horses entered on a particular day as well as during the races. Dr. Steed will be at Los Alamitos Racecourse from ~~12/5/2019~~ <sup>6/24/2020</sup> to ~~12/17/2019~~ <sup>7/7/2020</sup> checking horses in the morning from 6:00am to 10:00am and during the races from 1:00pm to 6:00pm.

The other fifty percent of the horses entered on a particular day will be inspected by Dr. Grande (626) 476-8743. In the morning, Dr. Grande would spend the same time checking horses as Dr. Steed. In the afternoon, Dr. Grande's hours are prescribed by his employer, the CHRB.

Between ~~12/5/2019~~ <sup>6/24/2020</sup> and ~~12/17/2019~~ <sup>7/7/2020</sup>, there will be a rotation of private veterinarians on site (Los Alamitos Racecourse) and at the auxiliary sites (Santa Anita and San Luis Rey Downs) during training and work outs, customarily between 5:00am and Noon. On site, the private veterinarians are also present during the races, usually between 1:00pm and 6:00pm. The specific hours of the private veterinarians are not controlled by Los Alamitos because they are retained by the owners/trainers of the horses. At night, the private veterinarians may be called should a horse experience colic, become cast in a stall or develop some other ailment. Also, the day to day operation of the auxiliary sites are maintained/controlled by their ownership, the Stronach Group, not Los Alamitos Racing Association. The Stronach Group is compensated for the maintenance of the auxiliary sites by the Stabling and Vanning Committee, not Los Alamitos Racing Association.

Attached is a list of the private veterinarians who are understood to care for horses on site as well as at the auxiliary sites and the cell numbers of each such private veterinarian. We understand that these cell numbers are the business numbers of the private veterinarians and therefor are not private or confidential numbers. Stable offices at Los Alamitos as well as offsite facilities at Santa Anita and San Luis Rey Downs have the cell phone numbers of the private veterinarians available in case of emergency.

## Veterinarians Available for Emergency Services

### Attachment for 9A

### Los Alamitos Summer Thoroughbred Meet

Vet	Phone	Name of Practice
<u>Los Alamitos:</u>		
Arthur, Rick	(626) 665-8130	UCD-SVM/CHRB
Baker, Vince	(714) 269-1301	Baker
Bergstrom, Thomas*	(951) 522-0722	Equine Sports Medicine
Birch, Sarah	(614) 783-1237	Simpson/Dowd
Blea, Jeff	(626) 862-6149	Blea/Von Bluecher
Bradley, Sam	(310) 339-7666	Baker
Brokken, Todd	(626) 862-6198	Blea/Von Bluecher
Canfield, Cathy	(626) 372-4571	Baker
Equine Sports Medicine Pager	(714) 212-0200	Equine Sports Medicine
Grande, Tim	(626) 476-8743	LATC/CHRB
Hargrove, Nicola	(310) 617-6727	
Hunkin, Dawn	(626) 862-6150	Blea/Von Bluecher
Lorbiecki, Jocelyn	(949) 939-3547	Equine Sports Medicine
McCaughan, Mark	(562) 400-3298	
Overly, Rick	(714) 270-9425	Equine Sports Medicine
Schmidt, Stephanie	(561) 425-5401	Equine Sports Medicine
Stead, Dana	(970) 310-4449	Los Alamitos
Troncatty, Ashley	(818) 414-2040	Equine Sports Medicine
Von Bluecher, Helmuth	(626) 862-6147	
Warren, Herb	(714) 397-4206	
Wharton, Heather	(805) 218-8489	Blue
Yacoub, Anita	(951) 206-7496	Equine Sports Medicine
<u>San Luis Rey Downs (off-track training)</u>		
Jenkins, Chuck	(970) 217-8132	Magrini
Manno, Mike	(619) 871-4541	San Dieguito Equine Group
Magrini, Ron	(760) 880-1925	Magrini
Porubovich, Lindsay	(602) 290-0623	Magrini
Robinson, Brett	(818) 679-1114	San Dieguito Equine Group
<u>Santa Anita (off-track training)</u>		
Anderson, Elizabeth	(626) 808-2508	Shields
Araujo, John	(626) 893-7612	Self
Blue, Melinda	(626) 233-9922	Blue
Bohannon, Laura	(626) 710-7281	Shields
Buerchler, Sabina	(626) 536-8080	
Byrd, Wade	(210) 245-7000	Baker
Carpenter, Ryan	(805) 320-4811	Baker
Dowd, Joe	(818) 400-7498	Simpson/Dowd
Finley, Jenn	(626) 422-6412	Blea/Von Bluecher
Shields, Don	(626) 485-0374	
Simpson, Ted	(818) 970-1536	
Steppe, Mike	(951) 318-7335	

\* Dr. Bergstrom will start towards 2nd half of July

Dr. Karen Valko at 951-317-7935 - not available during Los Alamitos meets

Each race day from 8:30 am to 10:00 am

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

AmTote-Keith Johnson, 11200 Pepper Rd, Hunt Valley MD 800 345-1566, expires August, 2020

G. List below the takeout percentage for each type of wager identified in 10.A.:

**TAKEOUT PERCENTAGE**

(Example) PNP5-14%

Win/Place/Show -15.43%

DD - 20.00%

E - 22.68%

PNP5 - 14%

Tri/SF/PK3/PK4/PNP6/SuperHi5 - 23.68%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

XpressBet

TVG

Twin Spires

NYRABets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off-Track Wagering Inc. (SCOTWINC)

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

SCOTWINC agreement is on file with CHRB



April 3, 2020

Mr. Jack Liebau  
Los Alamitos Racecourse  
4961 Katella  
Los Alamitos, CA 90720

RE: Los Alamitos Race Course (LARC) 2020 Summer Race Meeting ADW Approvals

Dear Jack,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section 30, Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network to accept wagers on LARC and other Thoroughbred races during the 2020 Summer race meeting, June 25 through July 5, 2020, as follows:

**1. California wagering on LARC races:**

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 1.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**2. California wagering on out-of-state Thoroughbred races:**

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285 W. HUNTINGTON DR., ARCADIA, CA 91007 (626) 574-6620

CHAIRMAN: NICK ALEXANDER  
PRESIDENT & CEO: GREG AVIOLI  
EXECUTIVE DIRECTOR: MARY FORNEY  
ADMINISTRATIVE ASSISTANT: ELSA PERON

- a. TVG, XpressBet, TwinSpires, and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- b. BetAmerica and Watch and Wager may deduct a Hub Fee of 4.2% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- c. Game Play Network (GPN) may deduct a Hub Fee of 4.0% of gross handle for ADW wagers accepted by California residents through GPN's Traditional ADW and 5.0% of gross handle for ADW wagers accepted by California residents through GPN's Game ADW at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
- d. TVG, XpressBet, TwinSpires, NYRA, BetAmerica, Watch and Wager, and Game Play Network may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
- e. For all wagers identified in sections 2.a.-c. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).

**3. Out-of-state wagering on LARC races:**

- a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
- b. BetAmerica, Watch and Wager, and Game Play Network shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, NYRA, BetAmerica, Game Play Network, and Watch and Wager.

Very truly yours,



Mary W. Forney, Executive Director

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of  
the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes  
to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races  
will be held, and whether or not a full card will be accepted. If the full card will not be imported,  
state "selected feature and/or stakes races": Attached

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes  
to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races  
will be held, and whether or not a full card will be accepted. If the full card will not be imported,  
state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes  
to import out-of-state and/or out-of-country harness races. Include the dates imported races will  
be held, and whether or not a full card will be accepted. If the full card will not be imported, state  
"selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which  
use breeds other than the breed of the majority of horses racing at its live horse racing meeting.  
Include the name of the host track, the dates imported races will be held, and how many races will  
be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of  
the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3,  
attach a copy showing the agreement by the appropriate racing association(s).

## Exhibit 12C

### Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park @ Barretts, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park Casino, Inglewood  
Humboldt County Fair, Ferndale  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
Pechanga Resort Casino, Temecula  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
San Mateo Event Center, San Mateo  
Santa Anita Park, Arcadia  
Santa Clara County Fair, San Jose  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion, the Farmers Fair, Lake Perris  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

## Exhibit 12D

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantic City Casino Assn., New Jersey  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Caliente (MIR), Mexico  
Camarero, Puerto Rico  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Caymanas Race Track, Jamaica  
Century Downs, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Codere, Mexico  
Codere, Spain  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Park, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Elite Turf Club, Curacao  
Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Favorites at Gloucester, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Grand River, Canada  
Greentrack, Alabama  
GWS German Tote, Germany  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Idaho Fall OTB, Idaho  
Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario  
Keeneland, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky

## Exhibit 12D

Las Vegas Dissemination Company, Nevada  
Les Bois (Treasure Valley), Idaho  
Lewiston OTB, Maine  
Lien Games, North Dakota  
Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Dissemination Services, Nevada  
Nevada Pari-Mutuel Association, Nevada  
NJSEA, New Jersey  
New Jersey Mobile, New Jersey  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada  
Northville Downs, Michigan  
Oaklawn Park, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma  
Ontario Teletheatre, Ontario  
Paragon Casino, Louisiana  
Penn National Race Course, Pennsylvania  
Philadelphia Park, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge Telephones, Massachusetts  
Player Management Group, CA  
Pocono Downs, Pennsylvania  
Portland Meadows, Oregon  
Pojoaque Casino, Oklahoma  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Shoreline Star Greyhounds, Connecticut  
Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
Suaposta, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
TabCorp, Australia  
Tampa Bay Downs, Florida  
TattsBet, Australia  
Taunton Dog Track, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
Thistledown, Ohio

## Exhibit 12D

Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania  
TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## Exhibit 12E

AmWest Entertainment, KY  
Arapahoe Park, Colorado  
Arima Race Course, Trinidad  
Arlington International Race Course, Illinois  
Assiniboia Downs, Canada  
Atlantic City Casino Assn., New Jersey  
Atlantis Resort and Casino, Bahamas  
Bangor Raceway, Maine  
Barrie Raceway, Ontario  
Batavia Downs, New York  
Belterra Race Course, OH  
Bet America, LLC, North Dakota  
Bet Fair Games Limited, UK  
Bettor Racing OTB, South Dakota  
Birmingham Race Course, Alabama  
Bordertown OTB, Oklahoma  
Buffalo Trotting Association, New York  
Bwin International, Ltd.  
Canterbury Park, Minnesota  
Capital District OTB, New York  
Catskill Regional OTB, New York  
Century Downs, Alberta, Canada  
Charles Town Races, West Virginia  
Chester Downs - Pennsylvania  
Churchill Downs, Kentucky  
Clinton Teletheatre, Canada  
Columbus Raceway, Nebraska  
Connecticut OTB, Connecticut  
Corpus Christi Greyhound, Texas  
Coushatta Casino Resort, Louisiana  
Dayton Park, OH  
Divi Carina Bay Casino, Louisiana  
Delaware Park, Delaware  
Delta Downs, Louisiana  
Dover Downs, Delaware  
Downs at Albuquerque, New Mexico  
Ebet Online, Oregon  
Elite Turf Club, Curacao  
Ellis Park, Kentucky  
Elmira Raceway, Ontario  
Equus St. Thomas, St. Thomas  
Emerald Downs, Washington  
Euro Offtrack, Isle of Man  
Evangeline Downs, Louisiana  
Evansville/Clarksville OTB, Indiana  
Fairgrounds ADW, Louisiana  
Fairgrounds Racecourse, Louisiana  
Fair Meadows, Texas  
Fairmont Park, IL  
Favorites at Gloucester, New Jersey  
Finger Lakes Bets, NY  
Finger Lakes Race Track, New York  
Flamboro Downs, Ontario  
Fonner Park, Nebraska  
Fort Erie Racetrack, Canada  
Foxwoods Casino, Connecticut  
Fraser Downs, British Columbia  
Freehold Raceway, New Jersey  
Gillespie County Downs, Texas  
Grand River, Canada  
Greentrack, Alabama  
GWS German Tote, Germany  
Gulf Greyhound, Texas  
Gulfstream Park, Florida  
Harrington Bets, Delaware  
Harrington Raceway, Delaware  
Hastings Park, Canada  
Hawthorne Race Course, Illinois  
Hiawatha Downs, Ontario  
Hipica de Panama, Panama  
Hipodromo Camarero, Puerto Rico  
Hipodromo Montericco, Peru  
Ho-Chuck Casino, Connecticut  
Hodge OTB, Connecticut  
Hoosier Park, Indiana  
Horsemen's Park, Nebraska  
Idaho Fall OTB, Idaho  
Indiana Downs, Indiana  
Inverness Raceway, Canada  
Iowa Greyhounds, Iowa  
Intermountain Racing, Idaho  
John Martins Manor, Maine  
Kawartha Downs, Ontario  
Keeneland, Kentucky  
Kentucky Downs, Kentucky  
Kentucky OTB, Kentucky  
Las Vegas Dissemination Company, Nevada  
Les Bois (Treasure Valley), Idaho  
Lewiston OTB, Maine  
Lien Games, North Dakota

## Exhibit 12E

Lincoln Greyhound Park, Rhode Island  
Lone Star Race Park, Texas  
Louisiana Downs, Louisiana  
Magna Bet, Austria  
Mahoning Valley Race Course, OH  
Maronas Race Track, Uruguay  
Marquis Downs, Saskatchewan  
Maryland Jockey Club, Maryland  
Maywood Park, Illinois  
Meskwaki Casino, Oklahoma  
Miami Valley Gaming, Ohio  
Millennium Racing, Trinidad  
Mobile Greyhound Park, Alabama  
Mohegan Sun, Pennsylvania  
Monmouth Park, New Jersey  
Montana OTB, Montana  
Montericco Simulcasting, Peru  
Monticello Raceway, NY  
Mountaineer Park, West Virginia  
Nassau Regional OTB, New York  
Nebraska State Fair Park, Nebraska  
Nevada Pari-Mutuel Association, Nevada  
NJSEA, New Jersey  
New Jersey Mobile, New Jersey  
NYRA, New York  
NYRA Bets, New York  
Newport Jai-Alai, Rhode Island  
Northfield Park, Ohio  
Northlands Park, Canada  
Northville Downs, Michigan  
Oaklawn Park, Arkansas  
Ocean Downs, Maryland  
Offtrackbetting.com, Oregon  
Oneida Bingo and Casino, Oklahoma  
Ontario Teletheatre, Ontario  
Paragon Casino, Louisiana  
Penn National Race Course, Pennsylvania  
Philadelphia Park, Pennsylvania  
Picov Downs, Canada  
Pimlico Race Course, Maryland  
Plainridge Race Course, Massachusetts  
Plainridge Telephones, Massachusetts  
Player Management Group, CA  
Pocono Downs, Pennsylvania  
Pojoaque Casino, Oklahoma  
Portland Meadows, Oregon  
Potawatomi Casino, Wisconsin  
Prairie Meadows, Iowa  
Premier Gateway International, S.A.  
Premier Turf Club, North Dakota  
Presque Isle Downs, Pennsylvania  
Racing and Gaming Services, St Kitts  
Remington Park, Oklahoma  
Retama Park, Texas  
Rideau Carleton Raceway, Canada  
Riders Up OTB, Oklahoma  
Rockingham Park, New Hampshire  
Rocky Mountain Turf Club, Canada  
Rosecroft Raceway, Maryland  
Royal Beach Casino, St Kitts  
Ruidoso Downs, New Mexico  
Running Aces Harness Park, Minnesota  
Sam Houston Park, Texas  
Saratoga Harness, New York  
Scioto Downs, Ohio  
Seabrook Greyhound Park, NH  
Shoreline Star Greyhounds, Connecticut  
Skydancer Casino, Oklahoma  
Sol Mutuel, St Kitts  
Sol Mutuel Otrobanda, St Kitts  
Southland Greyhound Park, Arkansas  
Sports Center, Kentucky  
Suaposta, Brazil  
Suffolk District OTB, New York  
Suffolk Downs, Massachusetts  
Sunland Park Racetrack, New Mexico  
Sun Ray Park, New Mexico  
Tampa Bay Downs, Florida  
Taunton ADW, New Hampshire  
Taunton Dog Track, New Hampshire  
TBC Teletheatre, British Columbia  
The Greenbrier Resort, West Virginia  
The Meadows, Pennsylvania  
Thistledown, Ohio  
Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania

## Exhibit 12E

TVG, Los Angeles  
TVG, Massachusetts  
TVG Prairie Meadows, Iowa  
Turf del Norte, Mexico  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Virginia Equine Alliance, Virginia  
Watch and Wager, LLC, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Xpressbet, Southern Alberta  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## Exhibit 12F

### Mini-Satellites

**M1. Commerce Casino**  
6131 East Telegraph Road  
Commerce, CA 90040  
Phone: (323) 803-3693  
Contact: Edgar Wong

**M2. OC Tavern**  
2369 South El Camino Real  
San Clemente, CA 92672  
Phone (949) 542-8877  
Contact: Micheal Merrigan

**M3. Santa Maria**  
Original Roadhouse Grill  
1423 S. Bradley Rd.  
Santa Maria, CA 93454  
Phone: (805) 614-0586  
Contact John Tintle

**M4. Santa Clarita Lanes**  
21615 W. Soledad Canyon Rd.  
Saugus, CA 93454  
Phone: (661) 254-0540  
Contact: Tom Cristi

**M5. Sammy's Original**  
23221 Lake Center Drive  
Lake Forest, CA 92630  
Phone: (949) 716-2367  
Contact: Brad McKinzie

**M6. Tiled Kilt**  
1345 E. Thousand Oaks Blvd.  
Thousand Oaks CA. 91362  
Phone: (805) 777-7418  
Contact: Robert Haupt

**M7. Ocean's 11 Casino**  
121 Brooks Street  
Oceanside, CA 92054  
Phone: (888) 439-6988  
Contact: Steve Gallagher

## Exhibit 12F

**M8. Striders SD Bar & Grill**  
100 Harbor Drive  
San Diego, CA 92101  
PH: 619-363-7166  
Contact: Tony Martineau

**M9. Firehouse Restaurant**  
7701 White Avenue  
Bakersfield, CA 93313  
PH: 661-831-4688  
Contact: Russ Johnson

**M10. Lake Elsinore Casino**  
20930 Malaga Road  
Lake Elsinore, CA 92530  
PH: 951-674-5160  
Contact: Pat Wilmes

**M11. 58 Flat**  
4110 Bonita Road  
Bonita, CA 91902  
PH: 619-884-1291  
Contact: Denny Kanakaris

**M12. The Derby Club**  
3230 Hamner Avenue  
Norco, CA 92570  
PH: 925-566-2973  
Contact: Jim Baerwald

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:  
Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:  
Association Veterinarian(s) Dr. Dana Steed, DVM  
Clerk of Scales Charles McCaul  
Clerk of the Course – Dawn Schmid  
Film Specialist – Board of Stewards  
Horse Identifier – Jennifer Paige  
Horseshoe Inspector – Victor Tovar  
Paddock Judge – Karen Denovel  
Patrol Judges – Heather Correra, Karen Denovel  
Placing Judges – Don August, Randy Valdez  
Starter – Gary Brinson

Timer – Russ Hudak

- B. Management officials in the racing department:  
 Director of Racing – Robert Moreno  
 Racing Secretary – Robert Moreno  
 Assistant Racing Secretary – Kevin Colosi  
 Paymaster of Purses – Dawn Jackson  
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
 Weinstein Court Reporters  
 P O Box 26634  
 Santa Ana, CA 92799  
 (949) 637-6071  
 Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
 Plusmic Corp, USA Bill O'Brien  
 Service contract expires TBD
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
 Pegasus Communications, Jim Porep, President  
 16633 Ventura Blvd Suite 1010 Encino, CA 91436  
 Service contract expires 5/31/2022
- 1 camera end of homestretch  
 1 camera end of backstretch  
 1 camera coming out of final turn  
 4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timing devices are owned and operated by Los Alamitos Race Course

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Cathy Monji, Chief of Security (714) 820-2660/frontside (714) 820-2832/backside. Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
- 1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached



LOS ALAMITOS RACING ASSOCIATION  
Security Live Racing  
2018

President

Chief of Security

Frontside Security

Operations Section

Area Sergeant

Uniform Officers  
Communications Officer

Off-Hours Section

Uniform Officers

Stable Security

Stable Security Section

Stable Security Sergeant

Stable Security Officers  
Stable Credentials Officer

Stable Gate Section

Stable Gate Attendants

## Organizational Chart

### LOS ALAMITOS RACE COURSE SECURITY DEPARTMENT

DIRECTOR OF SECURITY	CATHY MONJI	OFFICE (714) 820-2810 CELL (949) 355-2203
SERGEANT-DAY SHIFT	FRANK WOZNIAK	OFFICE (714) 820-2661 CELL (562) 275-5373
SERGEANT-NIGHT SHIFT	LUIS RIVAS	OFFICE CELL (626) 675-3663
SERGEANT-DAY STABLE	FERNANDO GOMEZ	OFFICE CELL (951) 768-7094
SERGEANT-NIGHT STABLE	KEITH HICKS	OFFICE (714) 820-2664 CELL (714) 770-1351
DAY SHIFT SECURITY OFFICERS	LUIS RIVAS, JR. GUILLERMO CHAVEZ JOSE MURILLO BUNTHOEUN SOS	DESK/DISPATCH MAINLINE CLUBHOUSE MEZZ
NIGHT SHIFT OFFICERS	JACKIE DIXON HENRY CHAMBERS	MAINLINE Paddock
STABLE AREA OFFICERS	JORGE AVINA CESAR FRANCO JOSE ALVAREZ	NIGHT STABLE GRAVEYARD SPLIT SHIFT
OFF HOUR OFFICERS STABLE GATE	KENNETH GRANT APRIL DUDONE RONNIE WHITE CHERI WILLETT ARTURO SANDOVAL SHARRIL JANISKY MARCO AVINA	GRAVEYARD SWING GATE DAY WEST GATE SPLIT SHIFT NIGHT WEST GATE GRAVEYARD GATE DAY GATE

2. Detention Stalls:
  - A. Attach a plan for use of graded stakes or overnight races. Attached
  - B. Number of security guards in the detention stall area during a 24-hour period.  
No detention stall area
  - C. Describe number and location of surveillance cameras in detention stall area. N/A
3. TCO2 Testing:
  - A. Number of races to be tested, and number of horses entered in each race to be tested.  
CHRB directives will be followed
  - B. Plan for enhanced surveillance for trainers with high-test results.  
CHRB directives will be followed
  - C. Plan for detention stalls for repeat offenders.  
CHRB directives will be followed
  - D. Number of security personnel assigned to the TCO2 program.  
CHRB directives will be followed
- C. Describe the electronic security system:  
Los Alamitos does not have an electronic security system
  1. Location and number of video surveillance cameras for the detention stall and stable gate.  
Los Alamitos does not have video surveillance in these locations
- D. For night racing associations. Describe emergency lighting system: n/a

## 16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:  
Huntington Ambulance Service  
P O Box 145  
Sunset Beach, CA 90742  
Track (714) 820-2845
  1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
San Luis Rey Training Center:  
Mercy Medical Transportation Inc.  
2538 Old San Pasqual Road  
Escondido, CA 92027  
Richard Roesch - (760) 525-2688

## LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

All additional personnel will be under the direction and supervision of the Los Alamitos Chief Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

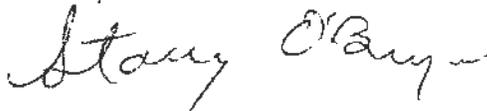
April 3, 2020

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the CHRB.

Sincerely,

A handwritten signature in cursive script that reads "Stacey O'Bryan".

Stacey O'Bryan - President  
Huntington Ambulance, LLC

Santa Anita:  
 Symons Ambulance  
 18592 Cajon Blvd.  
 San Bernardino, CA 92407  
 Juan Espinoza (626) 222-4236

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing: Contracted track physician; Oxygen equipment; blood pressure monitoring; backboard; cervical collar; 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **If quarter horse racing association sees D.1.:** Dr. Michael Morris ( 714) 820-2710
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Los Alamitos Medical Center  
 3571 Katella Ave.  
 Los Alamitos, CA 90720  
 (562) 598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)  
 2801 Atlanta Ave  
 Long Beach, CA 90806  
 (562) 933-2000
- UC Irvine Medical Center (certified trauma center)  
 101 The City Drive  
 Orange, CA 92868  
 (714) 456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
 Frank Sherren, manager, Juan Ramos, assistant manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
 Self-insured through Finish Line Self Insurance Group  
 Group Certificate # 4524-001

# MERCY

*Medical  
Transportation  
Inc.*

Valley Center Office  
27350 Valley Center Road  
Valley Center, CA 92082  
(760) 751-9797 – Office  
(760) 751-8880 – Fax

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April 5, 2020

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Ambulance Certification Letter

To Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics and Emergency Medical Technicians (EMT) that are licensed in the State of California and the County of San Diego. All our Paramedics and EMTs endure a comprehensive interview and skills assessment, drug and hearing tests and all our employees are subjected to a thorough Department of Justice (DOJ) background investigation through AVESTA, OIG, System for Award Management (SAM) and Medi-Cal as required by the San Diego County Emergency Medical Services.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations  
Mercy Medical Transportation, Inc.



# COUNTY OF SAN DIEGO

## EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: MERCY MEDICAL TRANSPORTATION, INC.

Effective Date: December 1, 2019

Expiration Date: November 30, 2020

Approved as: ALS

BLS

CCT

Authorized by:

Permit Officer, Emergency Medical Services

Permit Number: 19-130



April 9, 2020

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

*Ryan Merchant*

Ryan Merchant (Apr 9, 2020)

Ryan Merchant  
General Manager  
(951) 880-6263  
rmerchant@symonsambulance.com

## EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

### FIRST RESPONSE

\*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

\*Paramedic and EMT's will make an evaluation of the injurer and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

### ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.

In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

## PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPÓDROMO PRIMERA RESPUESTA

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Aficionados Racing Asociados), que sigue a cada carrera y es staffeada por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de Tampa a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

### EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

\* Si está involucrado en un accidente durante una carrera, independientemente de la gravedad de la consideración del jinete, se le instruye que se asegure y que estén libres de cualquier cable suelto, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.

\* Si la situación del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.

\* Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre-diseñado a las afueras de los Abiertos en la Villa al fondo de las pistas. Los Bomberos Paramédicos del Comando de Orden evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de trauma.

\* Si las lesiones no se consideran graves por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se le pedirá que firme un formulario de Rechazo de Tratamiento Médico y se esperará para continuar de montar en las carreras del programa de ese día, será determinado por los Comités Deportivos de CHRB.

\* En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre está abierta.



# ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602  
Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000 [www.ocfa.org](http://www.ocfa.org)

## FIRE AND LIFE SAFETY INSPECTION CLEARANCE LETTER

December 19, 2019

Los Alamitos Race Course  
4961 Katella ave.  
Cypress, CA 90720

The Orange County Fire Authority is committed to providing your facility and the communities we serve the finest in fire prevention and safety services. We would like to help you through your accreditation process. We are currently working with Frank Sherren regarding our annual fire and life safety inspection. Please accept this letter as your fire clearance with an action plan from our agency. Please let me know if you have any questions or need any help.

Thank you for your help and support,

A handwritten signature in black ink, appearing to read "C. Bird", followed by a period.

Christopher Bird  
Fire Prevention Specialist  
Prevention Field Services Section  
714-229-8801 [ChristopherBird@ocfa.org](mailto:ChristopherBird@ocfa.org)

Serving the Cities of: Aliso Viejo • Buena Park • Cypress • Dana Point • Irvine • Laguna Hills • Laguna Niguel • Laguna Woods • Lake Forest • La Palma  
Los Alamitos • Mission Viejo • Placentia • Rancho Santa Margarita • San Clemente • San Juan Capistrano • Santa Ana • Seal Beach • Stanton • Tustin • Villa Park  
Westminster • Yorba Linda • and Unincorporated Areas of Orange County

**RESIDENTIAL SPRINKLERS AND SMOKE ALARMS SAVE LIVES**



Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

**CERTIFICATE OF CONSENT TO SELF-INSURE**

THIS IS TO CERTIFY, THAT

LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC., A GENERAL PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE  
(Name of Employer)

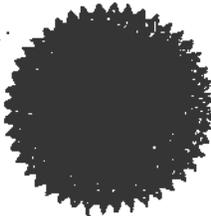
FINISH LINE SELF INSURANCE GROUP, INC.  
(Name of Insurer)

has complied with the requirements of the Director of Industrial Relations under the provisions of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.\*

GIVEN  
this DAY OF JULY 1957

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA



[Signature]  
T. JOHNSON, MANAGER

[Signature]  
JOHNSON, HILL, DIRECTOR

repeal of Certificate. - "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause shown. Good cause includes, but is not limited to, the negligence of the employer of such employees, the insolvency of the employer to meet his obligations, or the failure by such employer to file with the Director of Industrial Relations under this division of any of the following: (a) Financial statement, or a statement of position and assets, including statement for compensation to be paid, for each of the days for which it is necessary for them to secure it pursuant to the employer to secure the compensation due; (b) Discharge of his compensation obligations by a statement of discharge of his compensation obligations to such a member of the public or those dealing with him." (Section 3705 of Labor Code.) The Certificate may be revoked pursuant with Title 2, California Administrative Code, Chapter 2 - Administration of Self-Insurance.

FINISH LINE  
Finish Line Self Insurance Group, Inc.

4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • Box 714-820-2813

### Steps Required in Reporting a Workers Compensation Accident

1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.
2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.
3. Go to the designated clinic for treatment.  
Healthpointe Medical Center  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100



## Finish Line Self-Insurance Group, Inc.

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4961 Kestell, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### Pasos Requeridos en Caso de un Accidente

1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.
2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad
3. Vayan a la clínica designada  
Healthpointe Medical Center  
7052 Orangewood Ave, Suite 6  
Garden Grove (714) 903-1100



LOSALAM-04

SAENZA

# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

9/26/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER License # 0E67768

IOA Insurance Services  
3875 Hopyard Road  
Suite 200  
Pleasanton, CA 94588

CONTACT  
NAME:  
PHONE  
[A/C, No, Ext]: (925) 416-7862  
E-MAIL  
ADDRESS:

FAX  
[A/C, No]: (925) 416-7869

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: New York Marine & General Insurance Company 16608

INSURER B:

INSURER C:

INSURER D:

INSURER E:

INSURER F:

INSURED

Los Alamitos Racing Association  
4961 Katella Ave.  
Los Alamitos, CA 90720

### COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PROJ. <input type="checkbox"/> LOC <input type="checkbox"/> OTHER		PK201900018727	8/1/2019	8/1/2020	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 5,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 LIQUOR LIABIL \$ 1,000,000
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A			PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E L EACH ACCIDENT \$ E L DISEASE - EA EMPLOYEE \$ E L DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
RE: Evidence of Coverage

### CERTIFICATE HOLDER

### CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

*John Patrick United*

Evidence of Coverage

## CONDITIONS

This Company binds the kind(s) of insurance stipulated on page 1 of this form. The Insurance is subject to the terms, conditions and limitations of the policy(ies) in current use by the Company.

This binder may be cancelled by the Insured by surrender of this binder or by written notice to the Company stating when cancellation will be effective. This binder may be cancelled by the Company by notice to the Insured in accordance with the policy conditions. This binder is cancelled when replaced by a policy. If this binder is not replaced by a policy, the Company is entitled to charge a premium for the binder according to the Rules and Rates in use by the Company.

### Applicable in Arizona

Binders are effective for no more than ninety (90) days.

### Applicable in California

When this form is used to provide insurance in the amount of one million dollars (\$1,000,000) or more, the title of the form is changed from "Insurance Binder" to "Cover Note".

### Applicable in Colorado

With respect to binders issued to renters of residential premises, home owners, condo unit owners and mobile home owners, the insurer has thirty (30) business days, commencing from the effective date of coverage, to evaluate the issuance of the insurance policy.

### Applicable in Delaware

The mortgagee or Obligee of any mortgage or other instrument given for the purpose of creating a lien on real property shall accept as evidence of insurance a written binder issued by an authorized insurer or its agent if the binder includes or is accompanied by: the name and address of the borrower; the name and address of the lender as loss payee; a description of the insured real property; a provision that the binder may not be canceled within the term of the binder unless the lender and the insured borrower receive written notice of the cancellation at least ten (10) days prior to the cancellation; except in the case of a renewal of a policy subsequent to the closing of the loan, a paid receipt of the full amount of the applicable premium, and the amount of insurance coverage.

Chapter 21 Title 25 Paragraph 2119

### Applicable in Florida

Except for Auto Insurance coverage, no notice of cancellation or nonrenewal of a binder is required unless the duration of the binder exceeds 60 days. For auto insurance, the insurer must give 5 days prior notice, unless the binder is replaced by a policy or another binder in the same company.

### Applicable in Maryland

The insurer has 45 business days, commencing from the effective date of coverage to confirm eligibility for coverage under the insurance policy.

### Applicable in Michigan

The policy may be cancelled at any time at the request of the insured.

### Applicable in Nevada

Any person who refuses to accept a binder which provides coverage of less than \$1,000,000.00 when proof is required: (A) Shall be fined not more than \$500.00, and (B) is liable to the party presenting the binder as proof of insurance for actual damages sustained therefrom.

### Applicable in Oklahoma

All policies shall expire at 12:01 a.m. standard time on the expiration date stated in the policy.

### Applicable in Oregon

Binders are effective for no more than ninety (90) days. A binder extension or renewal beyond such 90 days would require the written approval by the Director of the Department of Consumer and Business Services.

### Applicable in the Virgin Islands

This binder is effective for only ninety (90) days. Within thirty (30) days of receipt of this binder, you should request an insurance policy or certificate (if applicable) from your agent and/or insurance company.

Promotional/Marketing budget for prior race meeting: Attached

- C. Number of hosts and hostesses employed for meeting: 8
- D. Describe facilities set aside for new fans:  
Special mutuel windows designated for newcomers
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  1. Horsemen
  2. Fans--Construction and furnishing of the Ed Burgart Sports Bar, a facility having table seating for 200, plus seating at the bar, 60 HD TVs, pool tables, juke box and wagering ability via mutuel clerks and self service terminals.
  3. Facilities in the restricted areas

**19. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:  
 Admission (general) - \$3  
 Admission (clubhouse) - \$5  
 Vessels Club (Turf Club) - \$10  
 Reserved seating (general) - free  
 Reserved seating (clubhouse) - free  
 Parking (general) – free  
 Parking (preferred) - \$6 / \$10 premium  
 Parking (valet) – not offered  
 Programs (on-track) - \$3  
 (off-track) - \$3
- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
 Turf Club Season Table - \$480 Grandstand Season Table - \$250
- C. Describe any "package" plans such as combined parking, admission and program:  
 n/a

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:  

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="60"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with showers, lockers, sauna.

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) \_\_\_\_\_ on (date)\_\_\_\_\_. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet

# Marketing Plan 2020 Los Alamitos

## Summer Thoroughbred Meet

**Overview:** Eight days of racing will be held as part of the Los Alamitos Summer Thoroughbred Meet in 2020. The following is a streamlined but fluid marketing plan geared towards maximizing the national horse racing consumer's enjoyment of the California horse racing action, particularly through our partner advanced deposit wagering platforms. The meet will be highlighted by Grade 3 Los Alamitos Derby, a race with a rich history now positioned to possibly serve as a steppingstone for 3-year-old Thoroughbreds being pointed to the 146<sup>th</sup> running of the Kentucky Derby on Saturday, September 5.

In addition, the Los Alamitos Summer Meet will also feature a holiday racing card on Independence Day on Saturday, July 4. Marketing resources will be used to increase the engagement of the Los Alamitos existing daytime fan base for this special day, as well for the opening Saturday of the meet on Saturday, June 27.

Detailed below is the cross- marketing efforts and cooperative promotions with Los Alamitos partners at TVG, NYRA Racing and Twin Spires and the NTRA National Horse Players Championship as well as continued increased exposure on DRF.com, the online platform for the Daily Racing Form.

*Please note that the 2019 Los Alamitos Summer Meet consistent of three racing weeks, as opposed to the current 2020 schedule which will have two weeks of racing.*

## **2020 Los Alamitos Summer Meet Marketing Promotions & Budget TVG, Twin Spires, NYRA – Up to \$40,000**

Los Alamitos Race Course will continue to work closely with our leading ADW partners to build awareness and general player excitement for the Los Alamitos Summer meeting.

- Los Alamitos will work closely with key ADW partner TVG to build promotions that are surefire to generate interest. Examples include the popular Pick 4 Insurance and Money Back Special, which have proven to be successful during past meet. Los Alamitos will also partner with key ADW provider Twin Spires with the goal of building popular promotions like Bet Club Bonus Days. These promotions could change based on market response and promotion availability. Los Alamitos will also work with NYRA Bets to build a promotion that can be enjoyed by customers using this popular platform.
  - The activation of TVG promotions consistently serve as handle drivers during the Los Alamitos Thoroughbred Meets. We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.
  - TVG will continue to have a live on-track presence during all 8 of our scheduled racing days, both on set and as special hosts in between races during our broadcast signal. Los Alamitos will build television spots to run during prime-time hours on TVG to promote opening and key races of the meet.

## **Daily Racing Form –Up to \$18,000**

Our partnership with Daily Racing Form will continue to focus on key player engagement and exposure. Our partnership with DRF has always been flexible and consistently introduces new and fresh initiatives. For March of 2020, the Los Alamitos Quarter Horse Racing Association tested a robust marketing program with a strong online presence to take advantage of increase online traffic on DRF.com with encouraging results. At this time, we are expecting to continue this program including during the two-week Los Alamitos Summer Meet. Here are some of the key elements that we are expecting to test during the Los Alamitos Summer Meet.

- Improved Database Marketing Elements and better visibility of our Bonus Card PPs offered on DRF.com.
  - Email Blast to DRF Digital PP Buyer database of 65,000 active core players across the country promoting our top racing cards. Blast will include a direct link to free digital Past Performances offer. Promo will increase digital exposure by 50%.
  - Digital Past Performance banner ads to run Thursday through Sunday on the pages of the top East Coast track racing in mid-June through July 5.
  - Front cover bursts and strip advertisements to promote key wagers, while Past Performances will be offered in a highlighted form.
  - Promotion of Los Alamitos morning workout reports.

### **Television Advertising – Up to \$5,000**

- TVG & TVG2 – A consistent schedule of television ads will be featured daily on this popular horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run would start on June 20.

### **Local/National Radio - \$6,500**

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas, Ron Flatter Podcast on VSN and Down The Stretch on SiriusXM. The national shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and track announcer Michael Wrona are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will be produced.

### **Los Alamitos Winter Handicapping Championship – Saturday, July 4 - Up to \$12,500.**

With up to two National Handicapping Championship berths up for grabs and other prizes, the Los Alamitos Summer Handicapping Championship should continue to build on the popularity of our handicapping format. The contests will be conducted during our marquee day of the meet, the Independence Day program on Saturday, July 4. This live-money contest will be promoted to our list of VIP daytime players, on track advertisements and radio and TVG ads. ***This on-track marketing element is tentatively scheduled.***

**Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 27 & July 5. Up to \$15,000**

Historically, one of our most successful drivers of on-track handle has been the Mystery Mutuel Voucher (MMV) promotion. Since the summer of 2018, two separate loyal Los Alamitos daytime fans have cashed in the \$2,500 “big-prize” mystery voucher on-track. A “second chance” MMV promotion could also be offered on Sunday, July 5. ***This on-track marketing element is tentatively scheduled.***

**California Satellite Promotions - Up to \$2,500**

Promotional visits to be made at key daytime simulcast locations if permitted. Locations to be visited include Sammy’s in Lake Forest, Santa Clarita Bowling Lanes, Surfside, and Hollywood Park. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program will also be used to expand the Los Alamitos mailing list with active players. ***This marketing element is tentatively scheduled.***

**Summer Meet Support Staff –\$15,000 est.**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$80,500**

**(Est. \$30,000 could additionally be invested as part of on-track and satellite promotions. Marketing program could be revised pending various developments.)**

# Marketing Plan

## 2019 Los Alamitos

### Summer Thoroughbred Meet

**Overview:** The Southern California Thoroughbred racing circuit returns to Los Alamitos Race Course with a 12-day Summer Meet beginning on Thursday, June 28 and continuing through closing day on Sunday, July 14. The marketing plan will be geared towards building fan friendly events and promotions; showcasing the meet to a national audience; and promoting a stakes schedule highlighted by major races on each of the meet's three Saturdays.

Los Alamitos continues to grow in reputation as a top place to race and train. Already this year, stars like Eclipse Award recipient **Game Winner**, Grade I Los Alamitos CashCall Futurity winner **Improbable**, Grade I Starlet and Sunland Park Oaks winner **Chasing Yesterday**, Grade II Rebel Stakes winner **Omaha Beach**, Grade II Great Lady M Stakes winner **Marley's Freedom**, and major local stakes winners **McKinzie** and **Dabster** have trained and posted key workouts at Los Alamitos.

More exciting stars should be in action here in the months to come, as the Summer Meet's stakes schedule will feature the running of the Bertrando Stakes on Saturday, June 29; the (GII) Great Lady M Stakes on Saturday, July 6; and the Grade III Los Alamitos Derby on Saturday, July 13. Los Alamitos Race Course should once again draw national attention as many of the top stars of Thoroughbred racing figure to compete over our one-mile oval. As mentioned earlier, Saturdays will be heavily emphasized on the marketing plan due to the presence of these three well-known stakes races.

In terms of new marketing initiatives, Los Alamitos will be the host venue of the Honoring Our Fallen Car Show for the first time on Saturday afternoon, July 13. This event will provide an opportunity to showcase the sport and facility to a large group of new visitors. In addition, Los Alamitos is also excited to announce for the first time the new Vessels Sports Bar located on-track on the ground level of the Clubhouse building – formerly the Post & Paddock Room. The Vessels Sports Bar is scheduled to be open in time for opening day of the Summer Thoroughbred meet and it will offer sports fans a fun, new and lively atmosphere to enjoy all the major sports action from around the world. The 2019 Summer Meet will also include a racing program on Thursday, July 4, which we'll be heavily promoted and should kick-off an exciting second weekend of racing at Los Alamitos.

Detailed below is the continued cross-marketing efforts and cooperative promotions with Los Alamitos established partners at TVG, Twin Spires, NYRA Bets and the NTRA

National Horse Players Championship as well as some innovative ideas in partnership with the Daily Racing Form with the purpose of bringing Los Alamitos racing information to more racing fans than ever before. As in previous years, the Los Alamitos Summer Meet will also have a well-planned local television and national radio advertising presence.

#### **2019 Los Alamitos Summer Meet Marketing Promotions & Budget TVG, Twin Spires, NYRA – Up to \$65,000**

Los Alamitos Race Course will continue to make a robust effort to enhance and expand its presence with our leading partners - headed by TVG through television spots and popular promotions.

- Partnering with key ADW providers, Los Alamitos will offer popular promotions like TVG's Pick 4 Insurance and Money Back Special and Twin Spires' Bet Club Bonus Days.
- The activation of TVG promotions consistently served as handle drivers during the 2018 Summer Meet. We are continuously fine-tuning the offers to maximize appeal to day-to-day horseplayers.
- *NEW* for the 2019 Summer Meet, Los Alamitos Race Course and TVG will partner to offer a \$5,000 Handicapping Contest on the meet's opening Saturday of June 29.
- In addition, TVG will continue to have a live on-track presence during all 12 of our scheduled racing days, both on set and as special hosts in between races during our broadcast signal.

#### **Daily Racing Form –Up to \$30,000**

Our partnership with the DRF continues to focus on player acquisition. Here are some of the key elements that we are working on for the upcoming meet.

- NEW Homepage Header placement on DRF.com
- Improved Database Marketing Elements and better visibility of our Bonus Card PPs.
  - Email Blast to DRF Digital PP Buyer database of 65,000 active core players across the country promoting our top racing cards. Promotion to include direct link to free Digital PPs for Los Alamitos.

**Local Television Advertising – New buy of up to \$12,000 to complement our year-round advertising schedule with TVG.**

- Working with Spectrum and DirecTV, Los Alamitos Race Course will execute a media buy consisting of TV spots airing during live broadcasts of the popular LA Angels and LA Dodgers plus in live events on ESPN, Golf Channel, Prime Ticket, Fox Sports, Time Warner Cable Sports and more. The ad run will start on June 20.
- TVG & TVG2 – A consistent schedule of television ads will be featured daily on this popular horse racing network in both the major daytime racing shows and the nightly The Quarters shows. The ad run will start on June 1 with *Los Alamitos Handicapping Contest* spots and followed by *Stars of Racing at Los Alamitos* Spot. In previous years, nationally recognized trainers Bob Baffert and Art Sherman have been featured as spokespersons in our commercials.

#### Local/National Radio - \$14,000

In addition to supporting the local racing radio shows, the radio buy will also include national racing radio shows like Race Day Las Vegas and Down The Stretch on SiriusXM. The shows are a part of our continued efforts to reach the out of state market and East Coast-based horse players. Los Alamitos racing personalities like Bob Mieszerski and Ed Burgart are regular guests on these shows. Fresh weekly radio ads tailored for each radio market will continue to be produced.

#### Los Alamitos Summer Handicapping Championship – Saturday, December 6 & 13 - Up to \$32,000.

Based upon past successes of the handicapping contests held Los Alamitos Race Course, two handicapping contests will be offered during our Summer Meet, each offering a minimum of three seats to the NTRA National Horseplayers Contest. The contests will be conducted during our marquee days – the first on Great Lady M Stakes Day on July 6<sup>th</sup> and the second to be held in conjunction with the Los Alamitos Derby on July 13. The amount of seats offered is in line with previous contests offered and the formats will once again feature live-money contests. The contests will be promoted by direct contact of prior participants, on track advertisement, direct mail, and by running TV spots on TVG. We are working on enhancing these events even further in the months ahead.

#### Pre-Meet Direct Mail Campaign - Mystery Mutuel Vouchers on Saturday, June 29 & July 4. Up to \$17,500

Our Mystery Mutuel voucher promotions continue to be popular and consistent drivers of on-track handle. We'll continue with our popular "Second Chance Voucher" Promo during this Summer Meet. The promo gives racing fans two separate chances to cash-in on a mystery

voucher, first on Saturday, June 29 and then when they return to the track on Thursday, July 4. Up to 12,000 racing fans on the Los Alamitos mailing list will be targeted with this pre-meet direct mail campaign. The direct mail piece will also offer daily track coupons and an overview of the upcoming meet.

**California Satellite Promotions - Up to \$7,000**

Promotional visits will be at key daytime simulcast locations like Sammy's in Lake Forest, Santa Clarita Bowling Lanes, Surfside, Hollywood Park, and more. Special handicapping seminars and cash contests will be hosted by a well-known racing personality. This program has been used successfully to expand the Los Alamitos mailing list with active players.

**Honoring Our Fallen Car Show on Saturday, July 13**

Honoring Our Fallen provides support for Families of Our Fallen specifically during the transfer of dignified remains. The Honoring Our Fallen Car Show is a fundraiser to help the families of these military heroes. Los Alamitos will have an event presence at the car show and will promote the live racing action via free racetrack admission passes, raffles for prizes and more as part of the afternoon's activities at the Car Show.

**Additional Promotional Marketing - \$12,000**

Promotional giveaways will include a 4<sup>th</sup> of July Giveaway and an on-track inailing list driver on Los Alamitos Derby Day on Sunday, July 14.

**On-Line Industry Advertising - \$2,000**

Promoting key days on national website like Equibase and The Paulick Report.

**Summer Meet Support Staff - \$32,000**

Includes additional in-house race day television producers, additional marketing staff and social media personnel.

**TOTAL: \$225,000**

- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men, 8 women's
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 20

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5.100
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 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A Height of 40 inches in maintained on both rails.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes track superintendent / Dennis Moore – track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): The Collective Bargaining Agreement with Local 280 expires 6/23/2020 and negotiations are underway.
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.

- D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). n/a
- E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

F. JACK LIEBAU  
 Print Name  
Vice - President  
 Print Title

F. Jack Liebau  
 Signature  
3/20/2020  
 Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF AN  
AGREEMENT, PURSUANT TO CHRB RULE 1581, RACING SECRETARY TO  
ESTABLISH CONDITIONS, BETWEEN THE LOS ALAMITOS HORSE RACING  
ASSOCIATION (LARA) AND THE THOROUGHBRED OWNERS OF CALIFORNIA  
(TOC), REGARDING ENTRY CONDITIONS AND SPECIFIED DRUG SUBSTANCES  
OR MEDICATIONS TO BE IMPLEMENTED BY THE LARA AT THE LOS ALAMITOS  
RACETRACK.

Regular Board Meeting  
April 22, 2020

ISSUE:

California Horse Racing Board (Board) [Rule 1581](#), Racing Secretary to Establish Conditions, allows the racing secretary to establish the conditions for any race run during the race meeting. In instances where the racing secretary wishes to set a condition based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication, the association must first obtain approval from the horseman's organization and the Board. Beginning in March 2019, all racing associations in California have come to the Board seeking approval of agreements with the respective horseman's organizations involving the reduction of Lasix as well as a mandatory stand down for intra articular injections.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. [BPC section 19590](#) states the Board shall adopt rules governing, permitting, and regulating wagering on horse races under the system known as the pari-mutuel method of wagering. [BPC section 19562](#) provides that the Board may prescribe rules, regulations and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

ANALYSIS:

The ability to set conditions on a race is governed by Board Rule 1581. Rule 1581 states:

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established or specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are

necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance in writing by the acknowledged horsemen's organization, which, in the case of Thoroughbreds, shall be the owner's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

Pursuant to Board Rule 1581, any condition involving medication must be agreed to by the horsemen's organization, which in the case of thoroughbred racing, is the Thoroughbred Owners of California (TOC). Additionally, any agreement must be approved by the Board before entries are taken.

RECOMMENDATION:

Staff recommends the Board hear from a representative of the Los Alamitos Horse Racing Association and the TOC.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO  
PARTIALLY SUSPEND THE CURRENT 45-DAY REQUIREMENT UNDER CHRB  
RULE 1488, TEMPORARY OCCUPATIONAL LICENSE, FOR FINGERPRINTS  
SUBMITTED TO THE BOARD AND EXTEND THE REQUIREMENTS TO 120 DAYS,  
PURSUANT TO CHRB RULE 1406, SUSPENSION OF RULE.

Regular Board Meeting  
April 22, 2020

ISSUE:

In support of the State's efforts to mitigate the spread of COVID-19, the California Horse Racing Board (CHRB) closed all CHRB licensing offices until further notice. During this closure, the CHRB will not process in-person license applications and/or renewals. The issuance of new licenses will not occur until the Licensing Offices are re-opened, however, renewals may be done via email, mail, or phone. Under existing Board Rules, temporary licenses issued by the CHRB are currently terminated if a licensee's fingerprints are not received within 45-days of license issuance. With the current closure of licensing offices, impacted licensees are unable to comply with the CHRB's fingerprinting requirements leaving them unable to retain a temporary license.

ANALYSIS:

[Board Rule 1488](#) governs the requirements for temporary occupational licenses. Subsection (b) of the Rule requires temporary licenses to be terminated if an applicant's fingerprints are not submitted to the Board within 45 calendar days of the date of issuance of the temporary license. Further, subsection (c) of Rule 1488 provides that no more than one temporary license may be issued to an applicant without the applicant first submitting to the Board their fingerprints and other application materials.

[Board Rule 1406](#), Suspension of Rule, allows the Board, for good cause, with or without a hearing, to temporarily suspend the application of any of its rules upon any conditions it may impose. Every application for such action and any such action by the Board shall, insofar as possible, be in writing. If not in writing, it shall be confirmed in writing as soon thereafter as possible.

Should the Board desire to utilize Board Rule 1406, staff believes the Board could suspend a portion of Rule 1488 upon any condition it wishes to impose. Accordingly, staff recommends that the Board partially suspend Board Rule 1488 and set the following conditions:

- (1) Compliance with the fingerprinting requirements is extended from 45 calendar days to 120 calendar days; and

- (2) The partial suspension of Rule 1488 will end 60 days after the CHRB licensing offices reopen to the public.

**BACKGROUND:**

[Business and Professions Code \(BPC\) section 19460](#) provides that all licenses granted under the Horse Racing Law are subject to the rules, regulations, and conditions prescribed by the Board. Under this authority, the Board developed and instituted Board Rule 1488, Temporary Occupational License, which instituted, among other requirements, the existing fingerprinting rules for temporary licenses.

**RECOMMENDATION:**

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 4. OCCUPATIONAL LICENSES  
RULE 1488. TEMPORARY OCCUPATIONAL LICENSE

Regular Board Meeting  
April 22, 2020

(a) Pending completion of the investigation of the qualifications and fitness of an applicant for a license, any initial occupational license granted by the Board is temporary, shall carry no presumption of the qualifications or fitness of the applicant, and may be summarily terminated by the Board. Unless terminated earlier, a temporary license shall be made permanent upon completion of an investigation by the Board of all facts relative to the applicant's qualifications, and a determination that the applicant is fit to hold a license.

(b) A temporary license shall be terminated if the applicant's fingerprints, completed application(s), and such other documentation as may be required for license are not submitted to the Board within ~~45~~ 120 calendar days following the date of issuance of the license. In the event of termination of a temporary license, the occupational license fee shall be forfeited. Termination of temporary license is without prejudice to the applicant unless the Board finds that the applicant has made a material misrepresentation or false statement to the Board to obtain a license privilege.

(c) No more than one temporary license shall be issued to an applicant without that applicant first submitting to the Board such fingerprints and completed applications as required under this article.

NOTE:

Authority cited: Sections 19440, 19460, 19461 and 19520,  
Business and Professions Code.

Reference: Sections 19460 and 19510,  
Business and Professions Code.

## STAFF ANALYSIS

### DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1560, DUTIES OF THE OFFICIAL VETERINARIAN, AND RULE 1561, DUTIES OF THE RACING VETERINARIAN, TO STIPULATE THAT THE RACING VETERINARIAN IS UNDER THE DIRECT SUPERVISION OF THE OFFICIAL VETERINARIAN

Regular Board Meeting  
April 22, 2020

#### ISSUE:

Currently, the Racing Veterinarian and examining veterinarians are supervised by the racing association's racing office, which presents a conflict of interest. Rather, the Racing Veterinarian and examining veterinarians should be under the direct supervision of the official Veterinarian and will report directly to both the official veterinarian and the Board of Stewards.

#### ANALYSIS:

The proposed amendment to Board Rule 1560, Duties of the Official Veterinarian, would provide that the official veterinarian shall have the authority and jurisdiction to supervise the Racing Veterinarian. The proposed amendment to Board Rule 1561, Duties of the Racing Veterinarian, would provide that the Racing Veterinarian will report directly to the official veterinarian and the Board of Stewards.

#### BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually its responsibilities, including the administration and enforcement of all laws, rules, and regulations affecting horse racing and pari-mutuel wagering. [BPC section 19460](#) provides that all licenses granted by the Board are subject to all rules, regulations, and conditions prescribed by the Board and shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing. [BPC section 19562](#) states that the Board shall adopt rules, regulations, and conditions consistent with the provisions of this chapter, under which horse races with wagering on the results shall be conducted in California.

#### RECOMMENDATION:

This item is presented for Board discussion and action.

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 5. RACING OFFICIALS  
PROPOSED AMENDMENT OF  
RULE 1560. DUTIES OF THE OFFICIAL VETERINARIAN**

1560. Duties of the Official Veterinarian.

The official veterinarian shall be responsible to the stewards for the conduct of horses and their attendants in the receiving and detention barn. ~~He~~They shall have authority and jurisdiction to supervise the Racing Veterinarian and practicing licensed veterinarians and shall enforce the Board's rules and regulations relating to veterinary practices. The official veterinarian shall recommend discipline for the licensed veterinarians who fail to comply with the rules and regulations and accepted veterinary practices. ~~He~~They shall report to the Board the names of all horses humanely destroyed or which otherwise expire at the meeting and the reasons therefor. ~~He~~They shall maintain a list of all infirm horses on the grounds. No official veterinarian, during ~~his~~their term of appointment, shall directly treat or prescribe for any horse registered to race at any recognized meeting except in emergency, the details of which shall be immediately reported to the stewards.

Authority: Sections 19440, 19460, and 19562,  
Business and Professions Code.

Reference: Sections 19440, 19460, and 19562,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 5. RACING OFFICIALS  
PROPOSED AMENDMENT OF  
RULE 1561. DUTIES OF THE RACING VETERINARIAN**

1561. Duties of the Racing Veterinarian.

The Racing Veterinarian shall examine each horse when it is first entered to race at the race meeting and ~~he~~they shall report to the stewards any horse which in ~~his~~their opinion is not of the age or condition which is satisfactory for the type of racing to be conducted at the meeting. The stewards may declare any such horse so reported as ineligible to be entered or started at the meeting until such time as the Racing Veterinarian certifies such horse to be raceably sound. The Racing Veterinarian shall be present in the paddock, on the race course, and at the starting gate during the saddling, the parade, and until the horses are dispatched from the gate for the race, and ~~he~~they shall examine any horse when there is a question as to the physical condition of such horse. ~~He~~They shall report any horse which in ~~his~~their opinion is incapable of physically exerting its best effort to win to the stewards who may declare such horse from the race. The Racing Veterinarian shall examine any horse which appears in physical distress during the race, at the finish of the race and ~~he~~they shall report such horse together with ~~his~~their opinion as to the cause of the distress to the stewards and to the official veterinarian. The Racing Veterinarian has the authority to treat any horse in event of an emergency, accident or injury, and ~~he is~~they are authorized to humanely destroy any horse which in ~~his~~their opinion is so seriously injured that it is in the best interests of racing to so act, and every horse owner and trainer in participating in a race in this State does consent thereto. The Racing Veterinarian shall recommend to the official veterinarian those horses which by reason of physical disability should be placed on the Veterinarian's List and ~~he~~they may recommend removal from the list of those horses which in ~~his~~their opinion can satisfactorily compete in a race.

The Racing Veterinarian shall have direct reporting responsibility to the official Veterinarian and the Board of Stewards and in exercising their duties under this section shall make required reports to the official Veterinarian and the Board of Stewards as provided herein. No licensed official or other employee of the Association or fair shall have authority to override or interfere with that reporting responsibility or the proper exercise of the duties and responsibilities of the Racing Veterinarian.

Authority: Sections 19440, 19460, and 19562,  
Business and Professions Code.

Reference: Sections 19440, 19460, and 19562,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 5. RACING OFFICIALS  
PROPOSED AMENDMENT OF  
RULE 1560. DUTIES OF THE OFFICIAL VETERINARIAN**

1560. Duties of the Official Veterinarian.

The official veterinarian shall be responsible to the stewards for the conduct of horses and their attendants in the receiving and detention barn. ~~He~~They shall have authority and jurisdiction to supervise the Racing Veterinarian and practicing licensed veterinarians and shall enforce the Board's rules and regulations relating to veterinary practices. The official veterinarian shall recommend discipline for the licensed veterinarians who fail to comply with the rules and regulations and accepted veterinary practices. ~~He~~They shall report to the Board the names of all horses humanely destroyed or which otherwise expire at the meeting and the reasons therefor. ~~He~~They shall maintain a list of all infirm horses on the grounds. No official veterinarian, during ~~his~~their term of appointment, shall directly treat or prescribe for any horse registered to race at any recognized meeting except in emergency, the details of which shall be immediately reported to the stewards.

Authority: Sections 19440, 19460, and 19562,  
Business and Professions Code.

Reference: Sections 19440, 19460, and 19562,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 5. RACING OFFICIALS  
PROPOSED AMENDMENT OF  
RULE 1561. DUTIES OF THE RACING VETERINARIAN**

1561. Duties of the Racing Veterinarian.

The Racing Veterinarian shall examine each horse when it is first entered to race at the race meeting and ~~he~~they shall report to the stewards any horse which in ~~his~~their opinion is not of the age or condition which is satisfactory for the type of racing to be conducted at the meeting. The stewards may declare any such horse so reported as ineligible to be entered or started at the meeting until such time as the Racing Veterinarian certifies such horse to be raceably sound. The Racing Veterinarian shall be present in the paddock, on the race course, and at the starting gate during the saddling, the parade, and until the horses are dispatched from the gate for the race, and ~~he~~they shall examine any horse when there is a question as to the physical condition of such horse. ~~He~~They shall report any horse which in ~~his~~their opinion is incapable of physically exerting its best effort to win to the stewards who may declare such horse from the race. The Racing Veterinarian shall examine any horse which appears in physical distress during the race, at the finish of the race and ~~he~~they shall report such horse together with ~~his~~their opinion as to the cause of the distress to the stewards and to the official veterinarian. The Racing Veterinarian has the authority to treat any horse in event of an emergency, accident or injury, and ~~he is~~they are authorized to humanely destroy any horse which in ~~his~~their opinion is so seriously injured that it is in the best interests of racing to so act, and every horse owner and trainer in participating in a race in this State does consent thereto. The Racing Veterinarian shall recommend to the official veterinarian those horses which by reason of physical disability should be placed on the Veterinarian's List and ~~he~~they may recommend removal from the list of those horses which in ~~his~~their opinion can satisfactorily compete in a race.

The Racing Veterinarian shall have direct reporting responsibility to the Official Veterinarian and the Board of Stewards and in exercising their duties under this section shall make required reports to the Official Veterinarian and the Board of Stewards as provided herein. No licensed official or other employee of the Association or fair shall have authority to override or interfere with that reporting responsibility or the proper exercise of the duties and responsibilities of the Racing Veterinarian.

Authority: Sections 19440, 19460, and 19562,  
Business and Professions Code.

Reference: Sections 19440, 19460, and 19562,  
Business and Professions Code.

STAFF ANALYSIS  
PUBLIC HEARING AND ACTION REGARDING  
THE PROPOSED ADDITION OF  
CHRB RULE 1846.1. VETERINARY RECORDS  
FOR HORSES SHIPPING INTO AN INCLOSURE TO RACE  
TO REQUIRE TRAINERS TO MAKE AVAILABLE TO THE OFFICIAL VETERINARIAN  
THE PREVIOUS 30-DAY VETERINARY TREATMENT RECORD

Regular Board Meeting  
April 22, 2020

ISSUE:

Access to medical records for a horse can be important in determining whether it is sound for racing. When horses ship into a track within days of a race, such information is not often available to the official veterinarian or racing veterinarian making decisions on race day. Ensuring that regulatory veterinarians have access to health records for horses shipping into the inclosure will result in racing officials being better equipped to determine the soundness of horses for racing and training, which will better protect horses and jockeys.

ANALYSIS:

The proposed addition of California Horse Racing Board (Board) Rule 1846.1, Veterinary Records for Horses Shipping in to Race, will require that the trainer submit to the official veterinarian or racing veterinarian the previous 30-day veterinary treatment record of a horse if the horse has not been stabled at a facility under the jurisdiction of the Board for 30 days prior to a race, or for 30 days prior to working off the Veterinarian's List. The veterinary record shall include the name of the horse, any medication, drug, substance, or procedure administered or prescribed during the previous 30 days and the name of the prescribing veterinarian. The veterinary record shall be considered confidential and cannot be disclosed except in a proceeding before the stewards or the Board. Failure to provide accurate and complete veterinary records shall result in disciplinary action. The proposed addition of Board Rule 1846.1 is meant to help ensure the health and welfare of the race horse, and by extension the health and safety of those who must exercise, train, or ride the horse in a race. Ensuring that regulatory veterinarians have access to veterinary records of horses shipping into the inclosure means that such veterinarians are better equipped to determine the soundness of the horses for racing or training. The proposed regulation will also facilitate dialogue between the private practice veterinarians and the official or racing veterinarian. In cases where a horse has been trained off the grounds of a Board-licensed facility and then shipped in a few days before a race and placed with trainers who have little or no involvement in the prior care of the horse, access to the veterinary records provides a greater picture of the horse's overall health.

BACKGROUND:

[Business and Professions Code \(BPC\) section 19440](#) provides that the Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter. [BPC section 19562](#) states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California. The proposed regulation was noticed to the public on February 21, 2020. The 45-day public comment period opened February 21, 2020 and closed on April 6, 2020. No comments were received.

RECOMMENDATION:

This item is presented for public hearing and action. Staff recommends the that the Board adopt the proposed regulation as presented.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
PROPOSED ADDITION OF  
RULE 1846.1. VETERINARY RECORDS FOR HORSES SHIPPING  
INTO AN INCLOSURE RACE

Regular Board Meeting  
April 22, 2020

1846.1. Veterinary Records for Horses Shipping into an Inclosure to Race.

(a) If a horse has not been stabled at a facility under the jurisdiction of the Board for -30 days prior to a race, or for 30 days prior to working off the Veterinarian's List, the trainer shall submit to the official veterinarian the previous 30-day veterinary treatment record. The veterinary treatment record shall include:

(1) the name of the horse treated,

(2) any medication, drug, substance, or procedure administered or prescribed or required to be prescribed by a veterinarian during the previous 30 days, and

(3) the name of the prescribing or treating veterinarian.

(b) Any such veterinary treatment record is confidential, and its content shall not be disclosed except in a proceeding before the stewards or the Board, or in exercise of the Board's jurisdiction.

(c) Failure by the trainer to provide accurate and complete veterinary treatment records shall result in disciplinary action.

Authority: Sections 19440 and 19562,  
Business and Professions Code.

Reference: Sections 19440 and 19562,  
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

April 22, 2020  
REGULAR BOARD MEETING

**There is no board package material for Item 12**

CALIFORNIA HORSE RACING BOARD

April 22, 2020  
REGULAR BOARD MEETING

**There is no board package material for Item 13**