

CALIFORNIA HORSE RACING BOARD
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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held **Thursday, April 27, 2017**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under “Webcasts.”

AGENDA

Action Items:

1. **Approval of the minutes of April 6, 2017.**
2. **Executive Director’s Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club’s 2016 summer race meeting in the amount of \$113,084, to eight beneficiaries.**
5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club’s 2016 fall race meeting in the amount of \$18,114, to four beneficiaries.**
6. Discussion and action by the Board on the **request from the California Authority of Racing Fairs, (CARF) to designate the following 2017 allocated race dates as a combined fair horse racing meeting, pursuant to Business and Professions Code section 19549.1:** Oak Tree at Pleasanton, June 21, 2017 through July 11, 2017; California Exposition and State Fair, July 12, 2017 through August 1, 2017; Humboldt County Fair at Ferndale, August 25, 2017 through September 3, 2017; CARF with Oak Tree at Pleasanton, September 20, 2017 through October 3, 2017 and the Big Fresno Fair, October 4, 2017 through October 17, 2017.
7. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Oak Tree Racing Association at the Alameda County Fair (F), at Pleasanton, (Race meeting to be called “Oak Tree at Pleasanton”)** commencing June 21, 2017 through July 11, 2017, inclusive.

8. Discussion and action by the Board on the **Application for renewal of License to Operate a Minisatellite Wagering Facility at the OC Tavern, San Clemente**, for a period of up to but not exceeding five years.
9. Discussion by the Board regarding a **report from Santa Anita management on the results of the winter portion of its current racing season as well as highlights of the marketing and racing programs for the remainder of its race meeting**.
10. Discussion and action by the Board regarding the **status of the financial and operational plan of the organization administering the offsite stabling and vanning program in the northern zone and the organization's request for approval to adjust the allowable statutory deduction from 1.25% to 2%, effective May 1, 2017 pursuant to Business and Professions Code Section 19607.3(g)**.
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1696, The Start**, to provide that the stewards may declare a horse a non-starter in any instance where a horse receives an unfair start through no fault of the horse. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Discussion and action by the Board regarding an **update on the 2017 horse racing related legislation**.
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman

George Krikorian, 1st Vice Chairman

Madeline Auerbach, 2nd Vice Chairman

Jesse H. Choper, Member

Araceli Ruano, Member

Alex Solis, Member

Rick Baedeker, Executive Director

Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Bayview Lounge (Turf Club) at Golden Gate Fields**, 1100 Eastshore Hwy, Berkeley, California, on **April 6, 2017**.

Present: Chuck Winner, Chairman
 Madeline Auerbach, Second Vice-Chairman
 Jesse H. Choper, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 John McDonough, General Counsel

APPROVAL OF THE MINUTES OF FEBRUARY 23, 2017

Chairman Winner asked for approval of the minutes of the Regular Meeting of February 23, 2017. Commissioner Choper **motioned** to approve the minutes. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Winner, Auerbach, Choper. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported the microchip program was reaching the point where it would transition from pilot program to implementation. Associations and horsemen were asked to help move the program to California Horse Racing Board (CHRB) enclosures throughout the state. Executive Director Baedeker reported the Necropsy Review Program was ready to launch. He said the program was a review to determine if there were any common factors in horses that died, and based on the results, what could be done to reduce the number of losses. He stated the program was currently being conducted in Kentucky and New York on a voluntary basis. He said there were concerns that no one would want to participate, but stakeholders in the industry were willing to participate because everyone had the common goal of reducing the number of fatalities.

Executive Director Baedeker reported Del Mar recently made changes to its track surface in anticipation of the upcoming racing season. He said Dennis Moore was hired to oversee the track surface at Del Mar. Plans included enhancing the banking of the turns. He said CHRB staff and Commissioners were pleased with the commitment that Del Mar had made to provide the best possible racing surface. He stated CHRB staff would be following up with its own track surface testing. If there were any concerns, CHRB staff would contact management at Del Mar, and collaborate to reach a solution. Executive Director Baedeker reported on the financials for February 2017. February was a difficult month due to the rain in the North and South. Daytime handle decreased 6.96 percent, nighttime handle decreased 7.16 percent, with an overall handle decrease of 6.98 percent. He stated what was interesting was that in February there was almost a direct correlation between the results and the field size. In February 2016, average field size was 8.04, and in 2017 it was 6.99, across the state; all races and all breeds. He said there was a decline of 13 percent for field sizes alone, and there appeared to be a direct correlation between the drop in handle and field sizes. He stated for March 2017, there was one additional day of racing and two additional evening programs. The early results for March showed an increase of 1.5 percent during the day, and a 12.9 percent increase at night, with an overall increase of 2.7 percent over 2016, which brought the year-to-date number down from a negative 14 percent to 8.6 percent in handle.

PUBLIC COMMENT

Kim Lloyd, Barretts Sales (Barretts), said 2016 was the first year Barretts held its sales at Del Mar Racetrack (Del Mar). Barretts sold 43 horses at its March 2016 sale and grossed \$5,357,000. Barretts sold 47 horses and grossed \$6.8 million at its March 2017 sale; more than a 20 percent

increase from 2016. He said the sales at Del Mar attracted new buyers who attended the sales for the first time with their agents. Barretts thanked Del Mar for an enjoyable experience for the sales and stabling leading up to them. Chairman Winner said Barretts held a great sale. Greg Avioli, Thoroughbred Owners of California (TOC), stated TOC withdrew its consent for Churchill Downs Technology Initiatives Company, dba Twinspires.com (Twinspires) to accept wagers on California horse races as of April 6, 2017. He said the decision was made after intense negotiations. In December 2016 TOC had written agreements with four licensed ADW providers, including Twinspires. The agreements required ADW providers to identify on-track wagers by implementing geolocation technology. Twinspires was the only ADW provider that had not implemented geolocation technology. Lack of compliance put the other ADW providers at a competitive disadvantage. Mr. Avioli said TOC granted Twinspires multiple extensions to no avail, though geolocation technology was readily available. He stated Twinspires was notified April 6, 2017 that TOC withdrew consent for it to conduct ADW on California races. TOC was willing to give Twinspires consent as soon as it complied with its December 2016 geolocation agreement. Commissioner Choper asked if the terms were the same for the agreements between TOC and each ADW provider. Mr. Avioli said the geolocation terms were exactly the same for each ADW provider. Chairman Winner said the geolocation compliance was believed to be going well at the end of March 2017. Mr. Avioli stated at the time, TOC had been led to believe that if granted an extension, Twinspires and another ADW provider, ODS Technologies, L.P., dba TVG (TVG), would be in compliance with the agreement within one week. TVG implemented geolocation technology, fulfilling the requirements for the agreement. Twinspires remained non-compliant. Second Vice-Chairman Auerbach asked what impact TOC's action had on California handle. Mr. Avioli said Twinspires earned about 20 percent of California ADW handle.

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Commissioner Choper asked if most customers placed all their wagers through one ADW provider, or if customers generally placed wagers with multiple ADW providers. Mr. Avioli said most customers had multiple ADW accounts. Commissioner Choper said since customers frequently had more than one ADW account, the industry losses would probably be less than Twinspire's California handle. Chairman Winner asked if TOC and Twinspires continued communicating about the geolocation agreement. Mr. Avioli stated Twinspires told TOC it would have a geolocation program running by mid-April 2017. Commissioner Choper asked if Mr. Avioli knew why Twinspires had not complied. Mr. Avioli stated Twinspires indicated it was focused on preparing for the 2017 Kentucky Derby, which was a main event for Twinspires, and its resources were limited. Second Vice-Chairman Auerbach said she was proud TOC had taken a stand to protect California's horse racing industry. She said the situation was not pleasant, and she hoped it would be resolved quickly. Chairman Winner thanked TOC for keeping the Board informed.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB'S 2016 SUMMER RACE MEETING IN THE AMOUNT OF \$113,084, TO EIGHT BENEFICIARIES.

This item was moved to the April 27, 2017 Regular Board Meeting Agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB'S 2016 FALL RACE MEETING IN THE AMOUNT OF \$18,114, TO FOUR BENEFICIARIES.

This item was moved to the April 27, 2017 Regular Board Meeting Agenda.

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DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A SATELLITE WAGERING FACILITY BY THE PECHANGA BAND OF LUISENO INDIANS OF THE PECHANGA RESERVATION AT THE PECHANGA RESORT AND CASINO IN TEMECULA, CALIFORNIA.

George Haines, Southern California Off Track Wagering, Inc (SCOTWINC), stated SCOTWINC worked with Pechanga Casino and Resort (Pechanga) to establish an operation at its facility. Mr. Haines said the process happened very quickly and wanted to thank Executive Director Rick Baedeker and CHRB staff for its assistance moving the application along. The goal was to open by May 1, 2017, in time for the Kentucky Derby. Joe Morris, SCOTWINC, stated SCOTWINC voted unanimously to approve the proposed satellite facility. Pechanga was a world class casino and resort, and SCOTWINC was excited to have a satellite facility at that location. Chairman Winner said he worked with Pechanga Chairman Macarro and other members of the tribe, and he believed horse racing would greatly benefit from the relationship. Chairman Winner **motioned** to approve the application for license to operate a satellite wagering facility by Pechanga. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis. Nay: None. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE JOCKEY RIDING FEE SCALE PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501.

Philip Laird, CHRB Staff Counsel, stated the proposed amendment to CHRB Rule 1632, Jockey's Riding Fee, had not received any comments during the 15-day public comment period. The proposed language was changed due to a request from the Office of Administrative Law, as well as a request by the Jockeys' Guild (Guild) at the February 23, 2017 Board meeting. Darrell Haire, Guild, stated he wanted to thank the Board for working with the Guild regarding amending Rule

1632. Second Vice-Chairman Auerbach said she wanted to know if there was a way to increase the jockey's riding fees without amending Rule 1632 each time California minimum wage increased. Mr. Laird stated the Business and Professions Code required the jockey's riding fees to increase with every California minimum wage increase. He said the language in the proposed amendment to Rule 1632 should make it possible for staff to handle future increases administratively. Second Vice-Chairman Auerbach **motioned** to approve the amendment to Rule 1632. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis. Nay: None. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING, TO REQUIRE THAT RACING ASSOCIATIONS INCLUDE A FUROSEMIDE AGREEMENT WHEN SUBMITTING AN APPLICATION FOR LICENSE AND PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO REQUIRE THAT RACE DAY AUTHORIZED BLEEDER MEDICATION BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS.

Philip Laird, CHRB Staff Counsel, stated the proposed amendments to Rule 1433, Application for License to Conduct a Horse Racing Meeting, and Rule 1845, Authorized Bleeder Medication, were initially disapproved by the Office of Administrative Law (OAL). OAL had a number of technical changes and a few substantive requests, so CHRB staff addressed those concerns. A few of the substantive changes provided more detail on the process, such as chain of custody and required racing associations to submit a copy of its race-day furosemide agreement. He said he believed all of the changes made to the proposed amendments resolved the issues raised by OAL. Second Vice-Chairman Auerbach **motioned** to adopt the proposed amendments to Rule 1433 and Rule 1845. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Solis, Winner, Auerbach, Choper. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM HUMBOLDT COUNTY FAIR FOR A WAIVER TO CHRB RULE 1472, RAIL CONSTRUCTION AND TRACK SPECIFICATIONS SUBSECTIONS (B)(C)(E) AND (G), TO FACILITATE THE INSTALLATION OF A NEW INSIDE TURF RAIL.

Executive Director Rick Baedeker stated CHRB safety stewards conducted facility inspections prior to every California horse racing meeting. He said the Humboldt County Fair (Humboldt) track's inside turf rail was worn beyond repair. Staff notified Humboldt and California Authority of Racing Fairs (CARF) management that a new inside turf rail should be installed. Executive Director Baedeker stated the new rail was similar to rails installed at Del Mar Racetrack and Santa Anita Park. Commissioner Solis said he wanted to know what type of rail was previously installed at Humboldt. Richard Conway, Humboldt, stated Humboldt previously had a Fontana inside turf rail. Commissioner Solis said the new material was softer than Fontana rails. A horse could break through if it ran into the railing. He said at least 10 feet inside the rail had to be free of obstacles and ditches in order to maintain safety standards. He asked if Humboldt had checked the area. Tom McCarthy, CHRB staff, said the track conformed to safety standards. Humboldt did not have any obstacles or markers within 10 feet of the inner rail. He said the grade from inside the rail sloped more than other tracks in California, but it was still within standard requirements. Commissioner Solis asked where the marker poles were and if the poles were cushioned. Mr. McCarthy stated the poles were past the ten foot area of the inside turf rail. Mr. Conway said the poles were nearly as soft as cardboard and slipped into a base. Commissioner Choper **motioned** to approve the request from Humboldt for a waiver to CHRB Rule 1472(B)(C)(E) and (G), Rail Construction and Track Specification, to facilitate the installation of a new inside turf rail. Second Vice-Chairman Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis. Nay: None. Motion Carried.

DISCUSSION BY THE BOARD REGARDING A REPORT AND PRESENTATION BY THE SQUARE PEG FOUNDATION, WHICH PROVIDES AN ADAPTIVE RIDING PROGRAM THAT BRINGS TOGETHER RESCUED, RE-TRAINED HORSES WITH SPECIAL NEEDS AND AT-RISK CHILDREN AND YOUTH.

Joell Dunlap, Square Peg Foundation (Square Peg), stated thoroughbreds and racing were an important part of her life. Square Peg Ranch was located ten minutes from what used to be Bay Meadows. She said the organization was created in 2004 and horse racing became its most important source of funding. Square Peg used thoroughbreds to work primarily with people with autism spectrum disorder. The program specifically used thoroughbreds because unlike any other breed, thoroughbreds were connected to humans from the minute they were born. Second Vice-Chairman Auerbach asked how many thoroughbreds had been taken off the race track. Ms. Dunlap said Square Peg took the horses that could not go to sport homes due to their injury levels. Square Peg placed four or five horses a year, and had 15 horses in the program at any given time, with a total capacity of 20 horses. She stated Square Peg was accredited by the Thoroughbred Aftercare Alliance (TAA) and obtaining accreditation was a very rigorous process. TAA knew what it meant to be a fiscally-responsible program, as well as a program with the horsemanship to care for, manage and place the horses mindfully. Commissioner Choper asked if other Square Peg programs had been started outside of California. Ms. Dunlap said she was starting a program in Kauai, and Square Peg was also working with Xpressbet to start a program in Pennsylvania. She stated Square Peg had placed around 60 horses since its inception, with an additional 15 horses in the program that could not be placed due to injury or personality issues. Chairman Winner asked how many people received treatment through the program. Ms. Dunlap said the program saw an average of 50 to 55 families a week, on a budget of less than \$400,000 a year. Commissioner Solis stated when thoroughbreds left the track they were still very racy. What was done to calm them down? Ms. Dunlap said it usually took two years to bring a horse into the program. She would

ride them a lot. The Square Peg Ranch was on 100 acres, and the horses could go out and gallop when needed. Chairman Winner asked if Ms. Dunlap was familiar with other programs that re-trained retired horses to work with sightless children, and battered and abused women. Ms. Dunlap said yes, there were a lot of great programs. She stated changing the perception of the thoroughbreds; they were racy, big, fragile, and fast, but as long as their diets and exercise schedules were managed, and treated as retired athletes, they were beautiful horses that worked well with sensitive humans. Chairman Winner asked how long clients participated in the program. Ms. Dunlap stated it varied. Square Peg had kids who had started with the program in 2004 and were now participating in a job training program. Second Vice-Chairman Auerbach said in the aftercare world for retired horses as it currently existed, almost all emphasis was placed on re-training and re-homing horses. The problem with that approach was that too many of the horses coming off of the track had injuries that could not handle the training required to be a hunter-jumper or barrel racer, etc. She stated every horse had a purpose. A horse with a disability would not let that disability bother him, and people with disabilities would learn that their disabilities did not disenfranchise them from the world – that they did fit in. Square Peg's whole idea was that everybody fits in somewhere. People and animals just had to find their place and take advantage of it. Executive Director Rick Baedeker stated it was a shame that more people did not know of the good work that was done as a result of the horses involved in horse racing, during and after their racing careers. It was not fair to everyone that loved the horses, that worked with them day in and day out, that their stories were not told. He said the Board had conversations with the California Marketing Committee about rebranding some of the webpage; however, it would be great to see the positive stories shared. Second Vice-Chairman Auerbach suggested the Board could acknowledge the positive things that racing did on a regular basis as part of the monthly

Regular Board meeting agendas. Executive Director Baedeker stated the Board would look at adding that.

DISCUSSION AND ACTION BY THE BOARD REGARDING DELEGATING AUTHORITY TO THE CHRB'S BOARDS OF STEWARDS TO WAIVE THE REQUIREMENT FOR A PLACE OR SHOW POOL IN ANY RACE, UPON THE SHOWING OF "GOOD CAUSE" BY THE ASSOCIATION, PURSUANT TO CHRB RULE 1954, PARI-MUTUEL POOLS.

Executive Director Rick Baedeker stated Los Alamitos Quarter Horse Racing Association (LAQHRA) requested the Board delegate CHRB's board of stewards authority to grant waivers for the requirement for a place or show pool upon the showing of good cause pursuant to CHRB Rule 1954, Pari-Mutuel Pools. LAQHRA had smaller pool sizes than the other California racing associations, and a sizeable wager caused a minus show pool. He stated LAQHRA simulcast races from outside California, including Australia. Darrel McHargue, CHRB Chief Steward, said LAQHRA recently had a minus pool because of a wager on a horse from Australia called Winx. Executive Director Baedeker said the Board delegated authority to the Executive Director and Assistant Executive Director to waive Rule 1954 in extraordinary circumstances; however, the proposed delegation to grant a waiver was meant to be used in cases where the Executive staff were unavailable and a racing association had good cause to seek a waiver. Mr. McHargue said once wagering started, a waiver should not be granted, so the minus pool with Winx may not have been prevented with the proposal in place. Executive Director Baedeker said the language was restrictive; a waiver could only be granted before a wagering pool was opened. Chairman Winner said a minus pool should be explained for the public before continuing the discussion. Executive Director Baedeker stated under the law, wagering pools had a minimum 5 percent payoff of the amount of the wager. When the net pool was determined, and the amount available to be paid to

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a player was less than the 5 percent payoff, the association had to absorb the difference and pay the customer the full amount. LAQHRA was familiar with horses racing at its own track, and was able to prevent minus pools for Los Alamitos races. Chairman Winner stated LAQHRA was not the only association that experienced minus pools. He said the problem was more acute for LAQHRA, as it generally had smaller pools than associations such as Pacific Racing Association or Los Angeles Turf Club. Oftentimes, the minus pools could not be prevented because there was not a problem until a customer wagered a significantly larger amount for a horse to show than the other customers wagering on the same race. Since this did not happen until after wagering opened, it could not be prevented with the waiver. Mr. McHargue said pari-mutuel employees expressed the importance of notifying customers in advance. He said it was best to make any wagering changes prior to printing the racing program. Chairman Winner asked how the associations determined when they needed to seek a waiver. Mr. McHargue said the thoroughbred associations had not had a problem with minus pools because they knew three to five days in advance which horses were running in each race, and they knew if there was a possibility of an overwhelming standout. Commissioner Choper said the Board's Executive Director already had authority to grant a waiver, and it seemed the request before the Board was that if the Executive Director was unavailable, the stewards could grant the waiver in his place. Chairman Winner said the Executive Director and Assistant Executive Director had the authority to grant the waiver; the request was to allow stewards to grant authority if they were not available. Regardless of who granted the waiver, the association had to request it prior to accepting wagers. Commissioner Choper said the associations would only ask the stewards for a waiver in the most unusual circumstances, so it would be done rarely, if ever. Executive Director Baedeker said LAQHRA was the most likely association to request a waiver because it was more susceptible to a minus pool. LAQHRA could

easily foresee a minus pool on its own races; however it was more difficult to anticipate a minus pool for simulcast races. It was unlikely, but possible, the association would not be able to reach the Executive Director or Assistant Executive Director in the event it needed to waive the show or place pool requirement. Chairman Winner asked why the Board had to approve the ability to grant a place or show pool waiver to the stewards. Executive Director Baedeker said it was a proactive measure to ensure an association could act quickly if a situation occurred at the last minute, prior to accepting wagers. Chairman Winner stated the stewards could be trusted with the authority; he held the stewards in high regard. He said it was clear LAQHRA felt strongly about the request to delegate the board of stewards the ability to grant such a waiver. Commissioner Solis **motioned** to delegate authority to CHRB'S boards of stewards to waive the requirement for a place or show pool in any race, upon the showing of "good cause" by the association. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Auerbach, Winner, Solis. Nay: None. Motion Carried.

REPORT FROM THE RACING, BREEDING AND STABLING INITIATIVES COMMITTEE.

Second Vice-Chairman Auerbach reported on the Racing, Breeding and Stabling Initiatives Committee (Committee) meeting held on March 23, 2017. She stated the purpose of the meeting was to discuss the stabling conditions at Golden Gate Fields. Pacific Racing Association's (PRA) winter race meet application indicated it had sufficient stalls to conduct its race meet without utilizing off-site stabling at Pleasanton. The Board previously informed PRA it would follow up in the spring to check on PRA's stabling to ensure PRA had adequate stalls available. Commissioner Solis and 2nd Vice-Chairman Auerbach inspected the new barns, spoke to members

of the community, and determined there was enough stall space. Second Vice-Chairman Auerbach said the area was well put together and she was reassured after seeing the area. Commissioner Solis concurred.

DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT AND UPDATE FROM THE PACIFIC RACING ASSOCIATION (GOLDEN GATE FIELDS) AND THE NORTHERN CALIFORNIA STABLING AND VANNING COMMITTEE ON THE PROJECTED AVAILABILITY OF STALLS AT GOLDEN GATE FIELDS THROUGH THE REMAINDER OF ITS RACE MEETING AND THE PROJECTED TIMELINE FOR REOPENING THE ALAMEDA COUNTY FAIRGROUNDS AT PLEASANTON FOR OFF-SITE STABLING AND TRAINING.

Joe Morris, Pacific Racing Association (Golden Gate Fields), said Golden Gate Fields had enough stalls for its December 2016 race meet running through June 2017 without needing an auxiliary stabling site. Golden Gate Fields had a Stabling and Vanning Agreement signed by the Thoroughbred Owners of California (TOC) and California Authority of Racing Fairs (CARF), which included a May 1, 2017 opening date for Alameda County Fairgrounds at Pleasanton (Pleasanton) to be used as an auxiliary site with an agreed-upon stabling rate. Larry Swartzlander, CARF on behalf of Pleasanton, said the 2017 Stabling and Vanning Agreement was discussed at the March 23, 2017 Racing, Breeding and Stabling Initiatives Committee (Committee) meeting. The fairs were concerned that auxiliary stabling during the 2017 summer fair meetings included a three-week period without a dirt track or a facility for emerging breeds. Pleasanton was the only stabling site available to fairs in Northern California. Second Vice-Chairman Auerbach asked if Golden Gate Fields was stabling any horses in its newest barns. Mr. Morris said the barns were not needed and would not be needed until the two-year old horses began arriving for training later in 2017. Allen Aldrich, horse owner, stated the Board should ensure the new barns at Golden Gate Fields were built as advertised. He asked if the safety stewards inspected the construction and

location of the new barns and if the barns met all city and state safety codes. Mr. Aldrich said trainers were moving younger horses for training elsewhere, which diminished future racing stock. Owners were buying fewer horses and were discouraged by the Pleasanton closure as an auxiliary stabling site. He asked if the Board had a proactive solution to resolve any negative impacts from the Pleasanton closure. Chairman Winner asked if Mr. Aldrich sought answers to his questions at the Committee meeting. Mr. Aldrich said he was unable to attend the meeting. Executive Director Rick Baedeker stated nobody expressed any concern regarding the new barns at Golden Gate Fields at the Committee meeting. Second Vice-Chairman Auerbach said the industry was responsible for notifying the Board of any concern. Board members had carefully looked at the new barns at Golden Gate Fields. Chairman Winner stated the Board had discussed the Northern California situation over several years. The Board had to work within laws regarding the Board's responsibility for stabling and vanning. The law required the Board make sure there were enough available stalls for each California horse race meeting. Anything else involving stabling and vanning was in the hands of industry stakeholders. He said the Board took an interest in safety issues, and 2nd Vice Chairman Auerbach and Commissioner Solis held the March 23, 2017 Committee meeting specifically to discuss any concerns regarding Northern California stabling. He said both Board members went through Golden Gate Fields personally to ensure adequate stabling. Greg Avioli, TOC, stated Liz Morey attended the Committee meeting for TOC and TOC appreciated the efforts made by 2nd Vice-Chairman Auerbach and Commissioner Solis to walk through Golden Gate Fields. He said the new stalls were well-built. Terri Eaton, Arabian Racing Association of California (ARAC), said she did not purchase additional horses in 2017 due to the Northern California stabling situation. Emerging breeds' owners did not have a place to prepare and train horses for upcoming fairs in Northern California. She said closing Pleasanton for the

winter did not impact the emerging breeds, but it should have been reopened earlier to give the horses sufficient time to prepare for the fair race meetings. Ms. Eaton stated Golden Gate Fields made stalls available for Arabian horses that were preparing to race at Santa Anita Park, which was greatly appreciated, but owners needed somewhere to place horses that were not stakes-level horses. Chairman Winner said the parties involved in the vanning and stabling agreements should keep emerging breeds in mind and find a solution to Ms. Eaton's concerns. Ms. Eaton stated Golden Gate Fields had about 200 open stalls, but trainers with multiple horses could not necessarily get stalls next to each other. It was inefficient and labor-intensive for trainers when horses were kept in different barns around the facility. Second Vice-Chairman Auerbach said it would be nice if everyone could move their horses into stalls grouped together, but it was impossible to make happen. Chairman Winner stated owners and trainers had expressed concern for stabling at Golden Gate Fields because its track had Tapeta footing, rather than dirt. Ms. Eaton said many owners and trainers felt that way. In fact, the Tapeta enabled the horses to run on the track during the wet 2016-2017 winter. She said she requested more stalls to bring in an additional 14 horses, but had not heard back from Golden Gate Fields. Patrick Mackey, Golden Gate Fields, stated he was unaware of Ms. Eaton's request for additional stalls. There was a bit of back and forth between Ms. Eaton and Golden Gate Fields prior to her using the stalls she had at Golden Gate Fields. Ms. Eaton said Mr. Mackey had helped with the stalls she had, but she had asked for three more stalls for two-year-olds and had not heard from Golden Gate Fields. Commissioner Choper asked if Golden Gate Fields could set aside a section of available stalls for the emerging breeds. Mr. Mackey said horses were coming in daily and it would be difficult to designate stalls together for horses that were not at the facility. There were many barns at Golden Gate Fields and it was not easy to find 30 to 40 stalls together. Chairman Winner asked how many stalls were

presently vacant at Golden Gate Fields. Mr. Morris said Golden Gate Fields counted horses at the beginning of each month; horses arrived and left daily, however Golden Gate Fields had about 250 stalls available. He said the stabling costs for the emerging breeds would be added to Golden Gate Fields' expense, so the emerging breeds had to partake in races. He said Golden Gate Fields considered adding the six Arabian races that thoroughbred associations were allowed annually under the law; however, there were issues to resolve, including funding for purse monies, stabling costs and workers' compensation for Arabians. Second Vice-Chairman Auerbach asked how Los Angeles Turf Club solved the ARAC issue with workers' compensation when it held an Arabian race in 2016. Mr. Morris stated the Finish Line Group covered the horses for the race. Ms. Eaton said the 2016 Arabian race purse money was from a sponsor, and the race brought in handle for the industry. Some of the return in handle could be used for future purse money. Ms. Eaton said horses were available, but did not have a place to train to compete in a race at Golden Gate Fields prior to the fair race meetings in 2017. Mr. Morris said Golden Gate Fields was available for Arabian use. Michael Brown, Pleasanton Arabian Racing Club (PARC), said PARC was concerned about diminishing Arabian purses and fields. He said a lot of owners and trainers, both of thoroughbreds and Arabians, were dissatisfied, some were angry enough that they moved their horses out of Northern California because of the Stabling and Vanning Fund problems. Fewer horses resulted in fewer wagers, and vice-versa. Mr. Brown said changes had to be made. Trainers were upset when they had horses spread throughout the barns at Golden Gate Fields. Mr. Brown stated the larger organizations like TOC and CARF needed guidance or pressure from the Board to improve the stabling and vanning situation. He said the industry needed help to get some cooperation between the organizations. Mr. Morris said a Stabling and Vanning agreement was in place, which had not happened in the past. Mr. Brown stated CARF had 25 percent of the vote.

and was outvoted. Mr. Morris said there were only three parties involved in the agreement. Mr. Brown said one party held 50 percent of the vote, so it was not an equal three-party agreement; it only took the party with 50 percent to agree with one of the other parties to have a majority. Executive Director Baedeker stated the percentage was based on state law and had to go through the legislature to be changed. He said the Board had to have a direct connection with a rule, regulation or statute in order to act. Mr. Brown said he understood the Board could not order the parties to do anything, however an extra day of racing for emerging breeds or an extra race for each race day would increase the number of thoroughbreds in the thoroughbred races, which would result in more wagers placed, which would generate greater handle and resolve many problems within the industry. Cal Rainey, Golden Gate Fields, stated Golden Gate Fields received nearly 200 horses from Pleasanton in December 2016 and January 2017. Golden Gate Fields had a higher start rate from the Pleasanton horses during its race meeting that began in December 2016. He said the horsemen were appreciated for moving from Pleasanton to Golden Gate Fields. Commissioner Choper said he encouraged anyone who sought an opportunity for Arabian racing to continue searching for a solution to expand the Arabian presence in the California horse racing industry. He said Arabian racing was similar to thoroughbred racing in terms of wagering data, so there was potential for customers who wagered on thoroughbreds to easily learn handicapping for Arabian races as well, which could help the industry. Mr. Brown stated the Arabian Horse Registry indicated the number of Arabian horses bred for racing had increased in 2016, which meant horses needed a place to train so they could be ready to race in about three years. The California horse racing industry had to consider its future. Second Vice-Chairman Auerbach stated the issue with Arabian races was dependent on workers' compensation insurance. Unless ARAC, PARC and other Arabian stakeholders went to the legislature to change the laws to resolve their problems

with workers' compensation, the remaining concerns regarding Arabian racing could not be resolved. There was no point in making stalls available for horses who could not utilize the backside due to lack of insurance. The Board and the California racing associations wanted a larger horse inventory; horses of any of the emerging breeds were welcome, but had to get past the workers' compensation obstacle first. Mr. Brown said PARC was working with the State Workers' Compensation Appeals Board to find a solution to the Workers' Compensation problem for emerging breeds.

MEETING ADJOURNED AT 12:42 P.M.

Proceedings of the Regular Meeting of April 6, 2017

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

California Horse Racing Board

April 27, 2017
REGULAR BOARD MEETING

There is no board package material for Item 2

California Horse Racing Board

April 27, 2017
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB'S 2016 SUMMER RACE MEETING IN THE AMOUNT OF \$113,084 TO EIGHT BENEFICIARIES

Regular Board Meeting
April 27, 2017

ISSUE

Del Mar Thoroughbred Club is requesting approval to distribute race day charity proceeds generated at its 2016 summer racing meet. Del Mar Thoroughbred Club is proposing a total distribution of \$113,084. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Josh Rubinstein
Executive Vice President &
Chief Operating Officer

March 3, 2017

Mr. Rick Baedecker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedecker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2016 Summer Race Meet as set forth below for the California Horse Racing Board's consideration and approval:

California Retirement Management Account (CARMA)*	\$ 49,685
Permanently Disabled Jockeys Fund *	22,617
Racing & Medication Consortium*	10,974
Winners Foundation*	5,654
Edwin J. Gregson Foundation*	11,000
California Thoroughbred Horsemen's Foundation*	5,654
California Center for Equine Health and Performance*	4,000
Race Track Chaplaincy/Southern California*	<u>3,500</u>

TOTALS: \$113,084

*Equine Related Charities	\$113,084
Non - Equine Related	-0-

Percentage of Equine Related Charities 100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
Chief Operating Officer

cc: Jacqueline Wagner



2016 CHARITABLE ORGANIZATIONS - SUMMER

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Madeline Auerbach is CARMA's Founders Chair, Howard Zucker, President, Billy Koch is the Board Secretary. (Contact phone: 626-574-6654; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and many others who had a vision of a program that would bring much-needed financial assistance to a group of athletes who have given so much to the sport of horse racing. The PDJF is governed by an independent board comprised of stakeholders from a broad cross-section of the horse racing industry. The mission of PDJF is to promote financial support to permanently disabled jockeys living with a catastrophic on-track injury; provide financial support and assistance for permanently disabled jockeys; and to promote medical research dedicated to reducing catastrophic injuries within the horse racing industry. Nancy LaSala is the group's president. (Contact phone: 630-595-7655; www.pdjf.org/)

Racing Medication and Testing Consortium -- Founded in 2000 by a cross-section of racing leaders, the Racing Medication and Testing Consortium (RMTTC) has a primary goal of developing and promoting uniform rules, policies and testing standards at the national level for racehorses. The tax-deductible organization strives to develop and promote uniform rules, policies and testing standards at the national level; coordinate research and educational programs that seek to ensure the integrity of racing and the health and welfare of racehorses and participants; and to protect the interest of the racing public. It is currently governed by a Board of Directors consisting of 23 racing industry stakeholders. Executive assistant for administration is Robin Comer. (Contact phone: 859-224-2844; www.rmtcnet.com/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Bob Fletcher. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

Edwin J. Gregson Foundation – The foundation has a primary goal of benefitting and enhancing the quality of life of California's backstretch workers and their families. It was originally founded by the California Thoroughbred Trainers (CTT) in 1998 and renamed in memory of veteran trainer and past CTT president Edwin J. Gregson, who was the driving force behind its start. Notable among its benefits are the college scholarships it provides annually to family members of backstretch workers. Jenine Sahadi currently heads the foundation. (Contact phone: 626-447-2145; www.gregsonfoundation.com)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Ken Smole is President and Chair of CTHF. (Contact phone: 626-446-0169; www.cthf.info/)

California Center for Equine Health and Performance – The Center for Equine Health (CEH) was established at University of California Davis School of Veterinary Medicine in 1973. The primary purpose of CEH is to serve as an administrative and academic umbrella for research into means and methods of improving equine health and performance. In conjunction with this, it produces a series of publications that disseminate its findings and pertinent information. Additionally, it serves as the West Coast's quarantine and treatment station for the potentially devastating venereal disease contagious equine metritis. The center is headed by the renowned veterinarian Gregory L. Ferraro, current Director is Dr. Claudia Sonder, DVM, csonder@ucdavis.edu (Contact phone: 530-752-6433;

Race Track Chaplaincy/Southern California (RTCA/SC) – The RTCA/SC is a nonprofit organization that was established in 1971 and ministers to the spiritual, emotional, physical and social/educational needs of horse racing's workers. RTCA/SC is an affiliate of Race Track Chaplaincy of America, which has 77 chaplains serving over 117 race tracks throughout the United States and Canada. RTCA/SC provides services at Santa Anita, Los Alamitos and Del Mar. Nolton Patio, DVM, is its president. (Contact phone: 626-574-6438; <http://rtcasc.com/>)

CHRB ANALYSIS

Del Mar Thoroughbred Club, 2016 Summer Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	California Center for Equine Health and Performance	4,000.00	4%		a
2	California Thoroughbred Horsemen's Foundation	5,654.00	5%	minimum of 5%	b
3	CARMA	49,685.00	44%		a
4	Edwin J. Gregson Foundation	11,000.00	10%		a
5	Racing & Medication Consortium	10,974.00	10%		a
6	Racetrack Chaplaincy of American (Southern Ca Council)	3,500.00	3%		a
7	Winners Foundation	5,654.00	5%	minimum of 5%	c
8	Permanently Disabled Jockeys' Fund	22,617.00	20%	minimum of 20%	d
	Total Horse Related Charities	\$ 113,084.00	100%	minimum of 60%	a
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			

Background information for each organization is attached.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB 2016
FALL RACE MEETING IN THE AMOUNT OF \$18,114 TO FOUR BENEFICIARIES

Regular Board Meeting
April 27, 2017

ISSUE

Del Mar Thoroughbred Club is requesting approval to distribute race day charity proceeds generated at its 2016 fall racing meet. Del Mar Thoroughbred Club is proposing a total distribution of \$18,114. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Josh Rubinstein
Executive Vice President &
Chief Operating Officer

March 3, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurlley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2016 Fall Race Meet as set forth below for the California Horse Racing Board's consideration and approval:

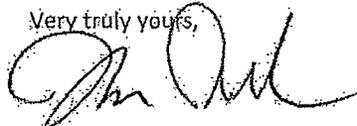
California Retirement Management Account (CARMA)*	\$ 12,679
Permanently Disabled Jockeys Fund*	3,623
Winners Foundation*	906
California Thoroughbred Horsemen's Foundation*	<u>906</u>

TOTALS: \$ 18,114

*Equine Related Charities	\$ 18,114
Non - Equine Related	-0-

Percentage of Equine Related Charities 100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
Chief Operating Officer

cc: Jacqueline Wagner



2016 CHARITABLE ORGANIZATIONS - FALL

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Madeline Auerbach is CARMA's Founders Chair, Howard Zucker, President, Billy Koch is the Board Secretary. (Contact phone: 626-574-6654; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and many others who had a vision of a program that would bring much-needed financial assistance to a group of athletes who have given so much to the sport of horse racing. The PDJF is governed by an independent board comprised of stakeholders from a broad cross-section of the horse racing industry. The mission of PDJF is to promote financial support to permanently disabled jockeys living with a catastrophic on-track injury; provide financial support and assistance for permanently disabled jockeys; and to promote medical research dedicated to reducing catastrophic injuries within the horse racing industry. Nancy LaSala is the group's president. (Contact phone: 630-595-7655; www.pdjf.org/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Bob Fletcher. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

California Thoroughbred Horsemen's Foundation - The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Ken Smole is President and Chair of CTHF. (Contact phone: 626-446-0169; www.cthf.info/)

CHRB ANALYSIS

Del Mar Thoroughbred Club, 2016 Fall Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	California Thoroughbred Horsemen's Foundation	906.00	5%	minimum of 5%	b
2	California Retirement Management Account	12,679.00	70%		a
3	Winners Foundation	906.00	5%	minimum of 5%	c
4	Permanently Disabled Jockeys' Fund	3,623.00	20%	minimum of 20%	d
	Total Horse Related Charities	\$ 18,114.00	100%	minimum of 60%	a
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			

Background information for each organization is attached.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS, (CARF) TO DESIGNATE THE FOLLOWING 2017 ALLOCATED RACE DATES AS A COMBINED FAIR HORSE RACING MEETING, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19549.1: OAK TREE AT PLEASANTON, JUNE 21, 2017 THROUGH JULY 11, 2017; CALIFORNIA EXPOSITION AND STATE FAIR, JULY 12, 2017 THROUGH AUGUST 1, 2017; HUMBOLDT COUNTY FAIR AT FERNDALE, AUGUST 23, 2017 THROUGH SEPTEMBER 3, 2017; CARF WITH OAK TREE AT PLEASANTON, SEPTEMBER 20, 2017 THROUGH OCTOBER 3, 2017 AND THE BIG FRESNO FAIR, OCTOBER 4, 2017 THROUGH OCTOBER 17, 2017

Regular Board Meeting
April 27, 2017

BACKGROUND

Business and Professions Code section 19549.1 states the Board may allocate horse racing days for mixed breed meetings and combined fair horse racing meetings pursuant to Food and Agricultural Code section 4058. Food and Agriculture Code section 4058(a) explains that county fairs in the northern zone may form an entity for conducting combined fair horseracing meetings on days other than the days on which general fair activities are conducted.

The Board approved the 2017 race dates calendar for Northern California at its November 17, 2016 Regular Board Meeting. The following fairs were approved to conduct a mixed breed horse racing meeting:

- Oak Tree/Alameda County Fair (Pleasanton) – 06/21/17 - 07/11/17
- State Fair/Cal Expo (Sacramento) – 07/12/17 - 08/01/17
- Sonoma County Fair (Santa Rosa) – 08/02/17 - 08/22/17
- Humboldt County Fair (Ferndale) – 08/23/17 - 09/05/17
- CARF with Oak Tree (Pleasanton) – 09/20/17 - 10/03/17
- Fresno County Fair (Fresno) – 10/04/17 - 10/17/17

In 2007 the Board approved a request from the Solano and Sonoma County Fair to conduct a combined fair horse racing meeting. The Solano County Fair Association and the Sonoma County Fair and Exposition, Inc. entered into a Joint Powers Agreement to combine their fair dates and conduct a four-week mixed breed horse racing meet called the "Sonoma Solano Wine Country Racing." The combined race meeting was introduced to increase handle and attendance. Additionally, the change in the Sonoma County Fair race dates gave fans one more week of turf racing in Northern California. Upon approval of the combined fair horse racing meeting, Sonoma and Solano submitted individual license applications for their respective segments of the combined Sonoma Solano Wine Country Racing meeting; however, specific sections of the applications were modified to capture information reflecting the combined race meeting.

In 2009, the Board approved a request from the California Authority of Racing Fairs (CARF) to conduct a combined fair horse racing meeting, which consisted of the San Joaquin County Fair,

the Alameda County Fair, the Solano County Fair, CARF at Golden Gate Fields, and the Fresno County Fair. In submitting its request, CARF asserted that the purpose of the combined fair horse racing meeting was to allow Northern California racing Fairs the mechanism needed to conduct the dates allocated by the Board and to allow flexibility in planning for a changing calendar in Northern California. Upon approval of the combined fair horse racing meeting, each fair submitted individual license applications for their respective segments of the combined fair horse racing meeting; however, specific sections of the applications were modified to capture information reflecting the combined race meeting.

In 2010, CARF again submitted a request to conduct a combined fair horse racing meeting; however, the request was subsequently withdrawn after CHRB staff recommended against its approval, citing issues with under-payment of purses, distribution of the supplemental purse fund for the 2010 fairs, and the criteria used for the distribution.

In 2016, CARF once more requested that all of its member fairs be designated as a combined fair horse racing meeting. Specifically, CARF stated that the recognition of their dates as a combined fair horse racing meeting would provide a mechanism needed to conduct the dates allocated to Fairs by the Board for 2016. In addition, CARF noted that in the future the combined Fair meeting would allow flexibility in planning for a changing calendar in Northern California. At its April 2016 Regular Meeting, the Board approved this request, and allowed for CARF's licensed applications to be submitted and processed for each segment of the combined meeting.

ANALYSIS

CARF has requested that the Board designate the following dates as a combined Fair horse racing meeting in 2017:

- Oak Tree at Pleasanton – 06/21/17 - 07/11/17
- California State Fair – 07/12/17 - 08/01/17
- Humboldt County Fair – 08/25/17 - 09/03/17
- CARF with Oak Tree at Pleasanton – 09/20/17 – 10/03/17
- The Big Fresno Fair – 10/04/17 – 10/17/17

In its request, CARF states that the recognition of these dates as a combined fair horse racing meeting will provide a mechanism needed to conduct the dates allocated to Fairs by the Board for 2017. In support of its request, CARF has submitted an agreement signed by all of the abovementioned Fairs to participate in a combined Fair horse racing meeting (attached).

CARF also requests that license applications be processed for each segment of the combined meeting as has been done in the past, with each Fair submitting an individual application for its own portion of the race meeting.

RECOMMENDATION

This item is presented for Board discussion and action.



a California joint powers agency

1776 Tribute Road, Suite 205
 Sacramento, CA 95815
 Office: 916.927.7223 Fax: 916.263.3341
 www.calfairs.com

April 4, 2017

Rick Baedeker, Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Dear Rick,

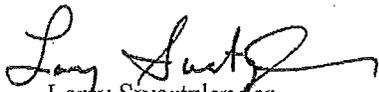
Pursuant to Ag Code Section 4058 and Business Professions Code Section 19549.1, the California Authority of Racing Fairs (CARF) requests that the Board designate the following 2017 racing dates as a combined Fair horse racing meeting.

- **Oak Tree at Pleasanton – June 21 – July 11, 2017**
- **California State Fair – July 12 – August 1, 2017**
- **Humboldt County Fair – August 25 – September 3, 2017**
- **CARF with Oak Tree at Pleasanton – September 20 – October 3, 2017**
- **The Big Fresno Fair – October 4 – October 17, 2017**

We are requesting that the license applications for each segment of the combined Fair horse racing meeting be filed in the usual manner. California Authority of Racing Fairs, a California joint powers authority operating on behalf of its member Fairs, will be the applicant for the segment conducted at Pleasanton in September.

A combined Fair horse racing meeting will allow Northern California racing Fairs the mechanism needed to conduct the dates allocated by the Board for 2017. We note a precedent: In 2016, the Board granted a request that the Ferndale, Fresno, Pleasanton, Sacramento and Stockton Fair (CARF with Oak Tree at Pleasanton) meetings be conducted as a combined Fair horse racing meeting, with CARF licensed to conduct racing during that combined meeting.

Respectfully submitted,


 Larry Swartzlander
 Interim Executive Director

-AGREEMENT-
COMBINED FAIR HORSE RACING MEETING

The undersigned Fairs agree to and confirm their participation in a combined Fair horse racing meeting pursuant to the California Food and Agricultural Code Section 4058 and Business and Professions Code Section 19549.1 ff. This agreement is effective April 4, 2017 and will remain in effect until a participating Fair gives notice in writing to the California Authority of Racing Fairs (CARF) that withdraws from them participation. These Fairs designate CARF to act on their behalf to conduct the combined Fair horse racing meeting.



Jerome Hoban
Alameda County Fair



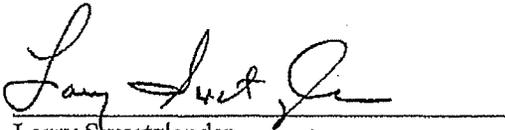
John Alkire
The Big Fresno Fair



Rick Pickering
California State Fair



Richard Conway
Humboldt County Fair



Larry Swartzlander
California Authority of Racing Fairs

STAFF ANALYSIS

Item 7

April 27, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 21, 2017 THROUGH JULY 11, 2017

Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton:

- June 22 through July 9, 2017, or 12 days, the same as 2016. The fair proposes to race a total of 130 races. OTRA will be simulcasting on June 21, 28, July 5, 6 and live racing will begin on June 22, 2017.
- The OTRA application to conduct a horse race meeting requires the submission of additional documents for completion. OTRA has not provided its: Horsemen's Agreement [Thoroughbred], California Thoroughbred Trainers agreement, fire clearance, or horsemen approval of the ADW agreements [Quarter]. A letter of explanation addressing the outstanding items has been submitted.
- Assembly Bill 1303, (Hall), Chapter 7, Statutes of 2014 added Business and Professions Code section 19549.16, which authorizes the Board to allocate racing days to a fair in the northern zone to be conducted by the fair, or at the fair's request, allow the Board to license a racing association that was licensed to conduct racing meetings in California before 2010, to operate the fair's race meeting during its allocated dates. OTRA conducted a thoroughbred horse racing meeting at Santa Anita in 2009 and a race meeting at Hollywood Park in 2010.
- At the April 27, 2017 regular meeting, the Board will hear a request from the California Authority of Racing Fairs (CARF) to designate the 2017 allocated race dates of the Alameda County Fair (6/21/17 - 7/11/17), Cal-Expo State Fair (7/12/17 - 8/1/17), Humboldt County Fair (8/23/17 - 9/5/17), CARF at Pleasanton (9/20/17 - 10/3/17), and the Big Fresno Fair (10/4/17 - 10/17/17) as a combined fair horse racing meeting. One characteristic of the proposed 2017 combined fair race meeting is the extended distribution time for the carryover pool. There are no additional attributes identified in relation to the combined fair race meeting.
- Applicant is requesting the distribution date of the carryover pool to be October 15, 2017 (Closing Day of the Big Fresno Fair).
- The proposed race dates are the approved race dates allocated to the Alameda County Fair, these dates will become a part of the 2017 combined fair racing meeting pending approval.

June - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

July - 2017						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Thursday through Sunday for two weeks. Racing Friday through Sunday for one week, and Tuesday, July 4, 2017. 9 races on Thursdays, 10 races on Fridays and Tuesday, 12 races on Saturdays and Sundays.
- 2016 Race Meeting: Average number of runners per race (TB): 6.92
- 2016 Race Meeting: Average number of runners per race (Arabian): 6.5
- 2016 Race Meeting: Average number of runners per race (Quarterhorse): 7
- 2016 Race Meeting: Average number of runners per race (Mules): 5.38
- First post 1:45 p.m. weekdays and weekends. 2:15 p.m. on Fridays
- Wagering program will use CHRB rules and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races – Pick five on last 5 thoroughbred races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no unique ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers. 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
 - Early wagering will not be offered.
- Specific changes from the 2016 license application:
 - Admission Adult (Fair) from \$12 to \$15
 - Admission Adult (Advanced) from \$10 to \$12
 - Fair Admission/Children (6-12) from \$8 to \$10
 - Reserved Seating (1 box seat) from \$5 to \$6
 - Season Pass from \$36 to \$40
 - Family Fun Pack from \$40 to \$50
 - Box seats for the Fall Race Meet are discounted 50% if purchased with the Fair Race Meet (new)
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires, Bet America - Lien Games, Watch and Wager, and NYRA.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code 19604

To accept wagers on races conducted in California from a resident of California.

- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California*, except the horsemen's approval [Quarter].

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- NYRAbets, LLC
- Churchill Downs Technology Initiatives Company, dba TwinSpires

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted outside of California from a resident of California*:

- Lien Games Racing LLC, dba Bet America.
- Watch and Wager.com LLC
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Track safety inspection has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Horsemen's agreement [Thoroughbred].
2. California Thoroughbred Trainers agreement.
3. Fire Clearance.
4. Horsemen approval [Quarter] of ADW agreement.

RECOMMENDATION:

Staff recommends that the application not be heard at the April 27, 2017 Regular Board meeting.



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.263.3349 Fax: 916.263.3341
www.calairs.com

April 17, 2017

Rick Baedeker
Executive Director
California Horse Racing Board

Dear Rick,

Currently the following items are missing from the 2017 OTP Summer Racing License Application:

Thoroughbred Owners of California Horsemen's Agreement
California Thoroughbred Trainer's Horsemen Agreement
American Mule Racing Association Horsemen's Agreement
Arabian Racing Association of California Horsemen's Agreement
Horsemen's approval (QH, Arabs and Mules) of ADW agreement
Fire Clearance

The horsemen's' approvals for ADW were just sent out because of waiting for the TOC approval letter which has been submitted today. I expect them all to be signed by the end of the week. All horsemen's agreements have been finalized - awaiting signed copies. I expect to have them all by the end of this week. Final Fire inspection is scheduled for this Friday. Don't expect any concerns.

Respectfully Yours,

Larry A. Swartzlander
Interim Executive Director

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Oak Tree Racing Association at Pleasanton Summer Meet
Alameda County Fair Agricultural Association
 4501 Pleasanton Avenue, Pleasanton, CA 94566
 Phone: 925-426-7600 Fax: 925-426-7599

B. Fair association is a: District Fair County Fair Citrus Fruit Fair

California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **June 21 – July 11, 2017**

B. Actual dates racing will be held: **June 22, 23, 24, 25, 29, 30 & July 1, 2, 4, 7, 8 & 9.**

C. Dates racing will NOT be held: **Dark days will be June 26, July 3, 5, 10, 11 and June 21, 28, July 5 & 6 will be simulcast only days.**

D. Total number of racing days: **12**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **Thurs-Sun; Frid-Sun second week and Tuesday, July 4.**

3. RACING PROGRAM

A. Total number of races: **130**

CHRB CERTIFICATION

Application received: **3/22/17**

Hearing date: **4/27/17**
 Approved date:



March 28, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA

Dear Mr. Baedeker,

Please accept this as a formal request to the California Horse Racing Board to permit the Oak Tree Racing Association to conduct live racing at Alameda County Fair during the dates allocated to Pleasanton. This request is made pursuant to Business and Professions Code Section 19549.16.

Respectfully submitted,



Jerome Hoban
CEO

Cc: Oak Tree Racing Association
California Authority of Racing Fairs

B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

C. Number of races daily:

	<u>Thurs 6/22</u>	<u>Fri 6/23</u>	<u>Sat 6/24</u>	<u>Sun 6/25</u>	<u>Thurs 6/29</u>	<u>Fri 6/30 & 7/1</u>	<u>Sat 7/1 & 7/8</u>	<u>Sun 7/2 & 7/9</u>	<u>Tue Jul 4</u>
Thoroughbred	7	8	9	10	7	8	9	10	8
Other Breeds	2	2	3	2	2	2	3	2	2
Total	9	10	12	12	9	10	12	12	10

D. Total number of stakes races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

See Attached.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

THOROUGHBRED STATES

17
– July 11, 2017)
S SCHEDULE

ANTON OAKS
ad

REE HANDICAP
ed

ed

ton Oaks and Oaktree Handicap postponed due to lack of field size and large purse overpayment status. Postponed stakes approved by TOC.

GONZALEZ MEMORIAL
0 Added Overnight Stakes
Plus up to \$15,000 to Cal-Breds
ear Old Fillies – Five & ½ Furlongs
y, July 1

ETT NEVIN FUTURITY (CAL-BREDS)
00 Guaranteed (\$40,000 CTBA)
State Series
ear Old Fillies – five & ½ Furlongs
, Jul 8

REE SPRINT
0 Added Overnight Stakes
Plus up to \$15,000 to Cal-Breds
Year Olds & Upward – Six Furlongs
y, July 4

OTP 2016
(June 15 – July 5, 2016)
STAKES SCHEDULE

PLEASANTON OAKS
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
3 Year Old Fillies – Six Furlongs
Sunday, June 18

OAKTREE HANDICAP
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – One and 1/16 Miles
Saturday, June 25

OAK TREE DISTAFF
Postponed

JUAN GONZALEZ MEMORIAL
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two Year Old Fillies – Five & ½ Furlongs
Saturday, July 52

EVERETT NEVIN FUTURITY (CAL-BREDS)
\$100,000 Added (Plus up to \$15,000 to Cal-Breds)
Golden State Series
Two Year Old Fillies – five & ½ Furlongs
Sunday, July 3

OAK TREE SPRINT
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – Six Furlongs
Saturday, July 4

OTP 2015
(June 17 – July 5, 2015)
STAKES SCHEDULE

PLEASANTON OAKS
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
3 Year Old Fillies – Six Furlongs
Sunday, June 21

OAKTREE HANDICAP
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – One and 1/16 Miles
Saturday, June 28

OAK TREE DISTAFF
\$100,000 Guaranteed (\$40,000 CTBA)
Three Year Olds & Upward – Six Furlongs
Saturday, July 27

JUAN GONZALEZ MEMORIAL
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two Year Old Fillies – Five & ½ Furlongs
Saturday, July 5

EVERETT NEVIN FUTURITY (CAL-BREDS)
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Golden State Series
Two Year Old Fillies – five & ½ Furlongs
Sunday, Jul 6

OAK TREE SPRINT
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – Six Furlongs
Saturday, July 5

ARABIAN STAKES

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
\$48,500 Added – Grade III
Three Year Olds & Upward – Six Furlongs
Saturday, July 8

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
\$48,500 Added – Grade III
Three Year Olds & Upward – Six Furlongs
Saturday, Jul 2

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
\$48,500 Added – Grade III
Three Year Olds & Upward – Six Furlongs
Saturday, July 5

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
\$41,000 Added
Three Year Olds & Upward – Six Furlongs
Saturday, July 8

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
\$41,000 Added
Three Year Olds & Upward – Six Furlongs
Saturday, Jul 2

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
\$41,000 Added
Three Year Olds & Upward – Six Furlongs
Saturday July 5

G. List all post times for the daily racing program:

Race Number	Weekdays	Fridays	Weekends
Race # 1	1:45p.m.	2:15 p.m.	1:45 p.m.
Race # 2	2:15 p.m.	2:45 p.m.	2:15 p.m.
Race # 3	2:45 p.m.	3:15 p.m.	2:45 p.m.
Race # 4	3:15 p.m.	3:45 p.m.	3:15 p.m.
Race # 5	3:45 p.m.	4:15 p.m.	3:45 p.m.
Race # 6	4:15 p.m.	4:45 p.m.	4:15 p.m.
Race # 7	4:45 p.m.	5:15 p.m.	4:45 p.m.
Race # 8	5:15 p.m.	6:45 p.m.	5:15 p.m.
Race # 9	5:45 p.m.	7:15 p.m.	5:45 p.m.
Race # 10		7:45 p.m.	6:15 p.m.
Race # 11			6:45 p.m.
Race # 12			7:15 p.m.

** As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors: **President Frank Imhof, Vice President Gordon Galvan, Jack Balch, Paul Banke, Jason Chin, Dawna Dowdell-Dos Santos, Passy Gilbert, Rose Johnson, Jack Kavanah, Michael Mahoney, Jim McGrail, Chuck Moore, George Pacheco, Jr., Don Sherratt, Robert Silva, Craig Smith, Harvey Smith, John Smith, Tim Weaver, Eric Wentz, Robin Wilma and Ron Zino**

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chair: Chuck Moore/Vice-Chair, Jack Kavanagh, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Don Sherratt, Craig Smith, Harvey Smith, John Smith, Ron Zeno**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

Alameda County Fair: Jerome Hoban, C.E.O., Jeanne Wasserman, Director of Racing, Richard Sims, Maintenance Operations Manager, Steve Wood, Track Superintendent, Chris Griffin, Track Announcer and Kevin Ingram, Stable Superintendent.

Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Jerome Hoban, C.E.O.
4501 Pleasanton Avenue
Pleasanton, CA 94566
jhoban@alamedacountyfair.com**

**Jeanne Wasserman, Director of Racing
4501 Pleasanton Avenue**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2016	\$29,513,427	71,822	12 Days
2015	\$31,461,781	75,049	12 Days
2014	\$30,932,233	83,682	12 Days
2013	\$30,357,012	83,874	12 Days
2012	\$32,260,001	94,572	13 Days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$1,296,060
 Prior meet actual: \$1,378,787

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$108,005
 Prior meet actual: \$114,890

2. Overnight stakes:
 Current meet estimate: \$189,500
 Prior meet actual: \$289,500

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$15,792
 Prior meet actual: \$24,125

3. Non-overnight stakes:
 Current meet estimate: \$100,000
 Prior meet actual: \$100,000
4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,585,560
 Prior meet actual \$1,768,287

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$8,333
 Prior meet actual: \$8,333

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$111,876
 Prior meet actual: \$119,018

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 3,770	\$ 4,011
TOC \$ 7,540	\$ 8,022
NTRA \$ 1,593	\$ 1,695
PCQHRA \$ 378	\$ 403
CWAR \$ -0-	\$ -0-
ARAC \$ 2,489	\$ 2,648
AMRA \$ 2,445	\$ 2,601
CHBPAPEN \$ 11,311	\$ 12,033
CTHF <u>\$ 11,311</u>	<u>\$ 12,033</u>
Total \$ 40,837	Total \$43,446

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: \$1,738,273
 Prior meet actual: \$1,930,751

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$144,856
 Prior meet actual: \$160,895

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$1,244,485

Prior meet actual: \$1,324,310

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$103,707

Prior meet actual: 110,359

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$179,525

Prior meet actual: \$190,984

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$14,960

Prior meet actual: \$15,915

- G. Bank and account number for the Paymaster of Purses' purse account:

On File

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

684

- B. Minimum number of stalls believed necessary for the meeting:

2,000

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 1,440

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Northern California Stabling and Vanning Fund (On-file at CHRB)

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$9.38
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
- Dr. Forrest Franklin 916-585-2050
 - Dr. Sara Sporer 510-220-4860
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
Pleasanton – June 10 – July 10; 6:00 am till 11:00 am.
GGF June 10 – July 10; 6:00 am till 11:00 am.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No **(On File with CHRB)**
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

PRA/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES

Attachment to PRA / Golden Gate Fields License Application
 Section 9A (1)

<u>A.</u>	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

<u>1.</u>	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer

TBD – Rotating schedule amongst list from exhibit A



March 28, 2017

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2017 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander

Larry A. Swartzlander
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

Type	Rule Number
Exacta (1E)	1959
Trifecta (1TRI)	1979
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)		
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4		
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4		
	\$1E, \$1PK3, \$1TRI	CHRB #1959, CHRB #1977, CHRB #1979,		

	\$.20 SUPER HIGH 5	ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 15, 2017.**

E. List any options requested with regard to exotic wagering:

- \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**
- \$0.50 PNP4 on the first four races and the last four thoroughbred races each day**
- \$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**
- \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**
- \$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (Dave Calendine) Expiration: October 30, 2020.
Equipment description on file with Board.**

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE
Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%
Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Race #1
 Race #2

- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, Watch & Wager, and NYRabets.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. To Be provided by CARF.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. -To Be Provided by C.A.R.F.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code-section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made;3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wager are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA
 Alameda County Fair, Pleasanton
 Bankers Casino, Salinas
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Firehouse Restaurant, Bakersfield
 Golden Gate Fields, Albany
 *Humboldt County Fair, Ferndale

SOUTHERN CALIFORNIA
 Barona Valley Ranch Resort & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura
 Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks

April 15, 2017

7-22

Mr. Larry Swartzlander
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2017 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, and the Geo-Location Agreement (Exhibit A) between TOC and each ADW provider, CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires and NYRA, to accept wagers on CARF and other Thoroughbred races during the 2017 CARF Racing meeting, June 22, 2017 through October 15, 2017, as follows:

1. **California wagering on CARF races:**
 - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - c. For all wagers identified in sections 1.a. and 1.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 5.0% of gross handle for ADW wagers accepted by California residents at all locations other than within the enclosures of Thoroughbred racetracks and fairs on days when they are running live race meets.
 - b. TVG, XpressBet, TwinSpires and NYRA may deduct a Hub Fee of 1.5% of gross handle for ADW wagers accepted by California residents located within the enclosure of a Thoroughbred racetrack or fair on days when they are running live race meets, as more fully set forth in Exhibit A.
 - c. For all wagers identified in sections 2.a. and 2.b. above, the remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
 - a. TVG, XpressBet, TwinSpires and NYRA shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, and NYRA.

Very truly yours,

Greg Avioli



OFFICERS

NICK ALEXANDER
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
JACK OWENS
VICE CHAIR, NORTHERN CA.
MIKE PEGRAM
AT LARGE
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
JOE CIAGLIA
MARK DEDOMENICO
RON ELLIS
GARY FENTON
TERRY LOVINGIER
SAMANTHA SIEGEL
KATHY WALSH

EXECUTIVE STAFF

GREG AVIOLI
PRESIDENT & CEO
MARY FORNEY
EXECUTIVE DIRECTOR
LIZ MOREY
NORTHERN CALIFORNIA LIAISON
ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515

April 15, 2017

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers: Lion Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

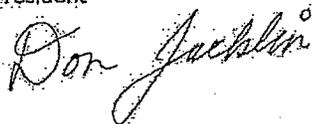
It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



April 15, 2017

Executive Director
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2017 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2017 racing season, commencing June 21, 2017 and continuing through October 15, 2017, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and NYRA may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement dated April 15, 2017.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa

 Stanislaus County Fair, Turlock

Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Tilted Kilt, Thousand Oaks
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2017 IMPORT TRACKS	
ARLINGTON	6/21-7/9
BELMONT	6/21-7/9
ASSINIBOIA	6/21-7/9
AUSTRALIAN RACING	6/21-7/9
BEULAH	6/21-7/9
CALDER RACECOURSE	6/21-7/9
CANTERBURY DOWNS	6/21-7/9
CHARLES TOWN	6/21-7/9
CHURCHILL DOWNS	6/21-7/9
DELAWARE	6/21-7/9
DOWNS AT ALBUQUERQUE	6/21-7/9
ELLIS PARK	6/21-7/9
EMERALD DOWNS	6/21-7/9
EVANGELINE DOWNS	6/21-7/9
FORT ERIE	6/21-7/9
GULFSTREAM	6/21-7/9
HASTINGS PARK	6/21-7/9
HAWTHORNE	6/21-7/9
INDIANA DOWNS	6/21-7/9
KEENLAND	6/21-7/9
KENTUCKY DOWNS	6/21-7/9
LAUREL	6/21-7/9
LONE STAR	6/21-7/9
LOUISIAN DOWNS	6/21-7/9
MONMOTH	6/21-7/9
MOUNTAINEER PARK	6/21-7/9
NYRA-AQUEDUCT	6/21-7/9
NYRA-BELMONT	6/21-7/9
NYRA-SARATOGA	6/21-7/9
PENN NATIONAL	6/21-7/9
PHILADELPHIA PARK (PARX)	6/21-7/9
PIMLICO	6/21-7/9
PRAIRIE MEADOWS	6/21-7/9
PRESQUE ISLE	6/21-7/9
RIVER DOWNS	6/21-7/9

SUNLAND PARK	6/21-7/19
SUNRAY PARK	6/21-7/19
TAMPA BAY	6/21-7/19
THISTLEDOWN	6/21-7/19
TURFWAY PARK	6/21-7/19
TURF PARADISE	6/21-7/19
UNITED KINGDOM	6/21-7/19
WOODBINE	6/21-7/19

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

2017 CARF Meets
Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Cedar Downs OTB	Thistledown
Canterbury	Race bets	Northville	Tioga Downs
Capital District OTB	Greenetrack	NYRA	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA Account Wagering	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Oaklawn	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Ocean Downs	Turfway Park
Churchill Downs	Harrington Raceway	Panama	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	PARX	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX Phone Bet	TVG Prairie
Colonial Downs	Hawthorne ADW	Penn National	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National Telebet	TwinSpires
Columbus Raceway	Hoosier Park	Peru	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Plainridge Race Course	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Telephone Wagering	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Player Management Group	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Pocono Account Wagering	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Downs and OTB	Western OTB
Winstar Waterbury	Keeneland		Wheeling Downs

John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	Bet America and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meškaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant Mermaid Tavern, Original Road House Grill; Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Striders, San Diego, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":
 N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card	
Cal Expo	Harness Horses	Per CHRB Calendar Full Card	

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

- Association Veterinarian(s) – Sarah Sporer, D.V.M.
- Clerk of Scales – Kenneth Sjoldal
- Clerk of the Course – Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)
- Film Specialist – Danny Winick & Assistant Clerk of Scales
- Horse Identifier – Darrel Sparks
- Horseshoe Inspector – Augustine Rayas
- Paddock Judge – Matt Nichols
- Patrol Judges – Lisa Jones & Matt Nichols
- Placing Judges – McKenzie Talbot & Cheryl White
- Starter – Todd Stephens
- Timer – Melody Truitt

B. Management officials in the racing department:

- Director of Racing, OTP – Larry A. Swartzlander
- Racing Secretary – Tom Doutrich
- Assistant Racing Secretary – Linda Anderson
- Paymaster of Purses – Victoria Layne (C.A.R.F.)
- Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Niccoli Reporting
619 Pilgrim Dr.
Foster City, CA 94404
Telephone: 650-573-9339
pdqcsr@comcast.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/18)
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2018)

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. See Attached
Racetrack/Grandstand: Alameda County Sheriff Chief in Charge
Liaison Officer: Commander Don Buchanan 510-225-5889
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
Racetrack/Grandstand: 8 Sheriff's Deputies
Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
N/A. Addressed in B1 above.
 - B. Number of security guards in the detention stall area during a 24-hour period.
One
 - C. Describe number and location of surveillance cameras in detention stall area.
Surveillance cameras at each end of the detention shed row and two in between.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.

Item #14 A:**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Richard Sims, Manager of Maintenance Operations	925-596-5744
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Commander Don Buchanan	510-225-5889

Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

- B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.
- C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
- D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.
- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
 - 1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, 510-504-3616.**
 - 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
**Golden Gate Fields
Turf Rescue LLC
4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470**
 - 1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Tom Streeter Cell 209-608-8009
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Scheduled for April 7.



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 16, 2016** to **December 16, 2018**

for

BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT

Travis Kusman
Alameda County EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 18, 2016

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2017

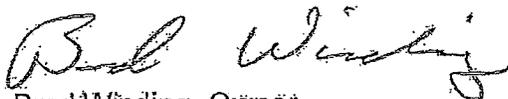
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 18, 2016

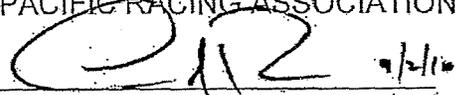
Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2017 race meetings.

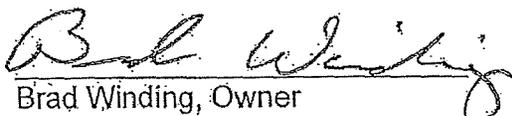
It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS


Calvin Rainey, Vice President & General Manager

TURF RESCUE, LLC


Brad Winding, Owner

Item #15 C:**ALAMEDA COUNTY FAIR EMERGENCY CARE AND MEDICAL FACILITIES**

Alameda County Fair as well as all Racing Fairs provides emergency care including comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital facilities.

Physical Resources & Staffing

The Alameda County Fair provides multiple levels of safety and medical support for its many racing participants. Specifically: an Ambulance, a fully equipped Alameda County Fire Department Paramedic Engine, a permanent First Aid building, a licensed Physician, Sheriff Deputies and security personnel, redundant communications system, local Fire Station, Horse Ambulance, etc...

Ambulance - A fully staffed and equipped ambulance is provided through a contract with Westmed Ambulance Service and located at the Track. Two Emergency Medical Technicians are on the ambulance. One Paramedic from the Alameda County Fire Dept. is stationed on a golf cart with stretcher and equipment at the entrance to the race track, ready to go out, if needed, for each race. The ambulance and crew are at the Track whenever horses are on the Track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care and transport to medical care facilities. This ambulance follows the horses during each race, at a safe distance. This is a competitively bid contract.

Fire Department Paramedic Engine – During the Live Race Meet, a fully equipped Alameda County Fire Department Paramedic Engine is parked roughly 100 feet from the Jockey's Clubhouse. The Engine is staffed with four County of Alameda Firefighters, including at least two licensed Paramedics. The Alameda County Fire Department has provided the attached 10 page listing of major equipment and supplies carried by its various Fire Engines.

Permanent First Aid Building – A permanent First Aid building is located approximately 100 feet west of the Jockey's Club House. During the Live Race Meet, the Alameda County Fire Department staffs the First Aid Building with a full Engine Company, which includes at least two certified Paramedics. The First Aid Building includes two beds, restrooms, receiving area, triage area, medical equipment, medications, etc... In addition to the array of emergency medical equipment and supplies on the Paramedic Fire Engine, the Alameda County Fire Department annually determines the equipment and supply needs of the First Aid Building.

Licensed Physician - During the Live Race Meet, a licensed Physician is on duty and is responsible for care on an ongoing basis for jockeys, track staff and allied personnel

requiring non-emergency medical care. The Licensed Physician is also associated with Valley Care Hospital, which is located 2.7 miles from the Track.

Sheriff Deputies and security personnel – Around the clock protection of the Track, Barn Area and Grandstands are provided by numerous Alameda County Sheriff Deputies and trained Security Guards (both in uniform and undercover.) For security purposes, the Fair Association does not publish the precise details of its security plans.

Redundant Communications System – During the Live Race Meet and Annual Fair redundant communications are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The Fair also has an emergency response team which responds to all emergencies both medical as well as non-medical.

Local Fire Station – A fully staffed Fire Station is located immediately across the street from the Fairgrounds on Valley Avenue. This Fire Station provides emergency medical support as requested by the County of Alameda.

Horse Ambulance – The Alameda County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Item #15F English:**Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:**Procedimiento en caso de Accidente en Alameda County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

See attached

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Food & Beverage:

Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001

Sharp Concepts, Inc.: Roger Sharp, P.O. Box 3767, Paso Robles, CA 93447, G/S Downstairs

Food Service: Spectra, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Tip Sheets: West Coast Wheelie

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas.CA 94566

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
See Attached

- B. Promotional/ Marketing budget for this race meeting:

Fair Marketing budget of \$2 million in cash and in-kind advertising spent to promote the fair which includes the race meet. \$95,000 is dedicated to race-meet specific marketing,



February 12, 2017

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)
Coverage continuous until cancelled

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2016 to 07/01/2018

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen
Risk Analyst

Promotional/Marketing budget for prior race meeting:

More than \$2 million in cash and cash equivalents (in-kind advertising) was spent to promote the fair which includes the race meet. \$87,000 was dedicated to race-meet specific marketing, promotions and events.

C. Number of hosts and hostesses employed for meeting:

N/A

D. Describe facilities set aside for new fans:

Daily Racing Seminars, located on the Grandstand Stage

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. **Horsemen and Fans: Upper Grandstand has been painted.**
2. **The OTP races are broadcasted in HD in our grandstand.**
3. **Facilities in the restricted areas: Tack rooms, shed rows, hot walker areas, stalls and common areas have been thoroughly cleaned. New door handles have been installed on all tack room doors. Broken and missing windows have been replaced.**

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission, Grandstand (general)	Free With Fair Admission
Admission Adult, Fair	\$15 (up from \$12 previous year)
Admission Adult, <u>Advanced</u>	\$12 (up from \$10 previous year)
Admission, Seniors 62+	\$10 (Free on Thursday's)
Admission, Seniors 62+ <u>Advanced</u>	\$8
Fair Admission/Children (6-12)	\$10 (free on Fridays) (Increase from \$8)
Fair Admission/Children <u>Advanced</u> (6-12)	\$8
Admission (clubhouse)	N/A
Reserved seating, 1 box seat	\$6 (Increase from \$5)
Reserved seating general	\$5 (Increase from \$3.50)
Reserved seating (clubhouse)	N/A
Parking (general)	\$10 (pre fair \$8 on-line)
Parking (preferred)	\$15
Parking, WP Lot	\$20
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees:

Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. Box seat holders are offered a promotional 5% discounted price if paid for by May 15, 2017. Box seats for the Fall Race Meet are discounted 50% if purchased with the Fair Race Meet.

C. **Family Fun Pack: (\$50 4-General Admission, 1 General Parking**

Season Pass: (\$40.00) Fair Admission for all 18 days (Increased from \$36.00)

Trackside Terrace: Table for the day with upscale buffet lunch: \$45 Pre-Fair, includes Fair admission. \$40 walk-in's after Fair opens. Reservations accepted beginning May 1, 2017.

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="40"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys:

Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **95**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood.**

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**

G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of

notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing: No.

22. DECLARATIONS

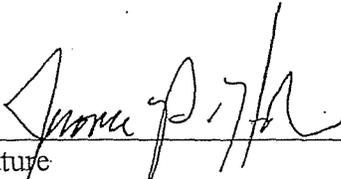
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
All Horsemen's agreements will be forwarded to CHRB NLT 5 April, 2017.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban
Alameda County Fair CEO



Signature

3-22-17

Date

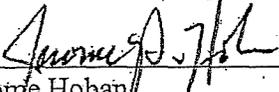
ANNUAL FAIR RACING SCHEDULE LETTER OF INTENT

This ANNUAL FAIR RACING SCHEDULE LETTER OF INTENT is by and between the Alameda County Fair Association, hereafter ASSOCIATION, Oak Tree Racing Association, hereafter OAK TREE, and California Authority of Racing Fairs, hereafter CARF.

It is hereby the mutual and express intent of ASSOCIATION, OAK TREE and CARF to enter into a future Operating Agreement for the annual Alameda County Fairgrounds horse racing meet(s) for the year 2017. All racing activities shall take place on and within the Alameda County Fairgrounds, located at 4501 Pleasanton Ave., Pleasanton, CA 94566.

Upon the assignment and approval of the 2017 racing dates to ASSOCIATION by the California Horse Racing Board, ASSOCIATION shall work with OAK TREE and CARF to conduct horse racing on such dates for and in consideration of the same or similar covenants and conditions as the previously contracted 2016 Operating Agreement between the parties.

Alameda County Agricultural Fair Association

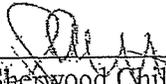


 Jerome Hoban
 CEO

11-16-16

 Date

Oak Tree Racing Association

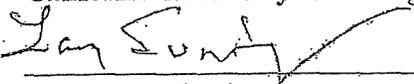


 Sherwood Chillingworth
 Vice President

11/16/16

 Date

California Authority of Racing Fairs



 Larry Swartzlander
 Executive Director

11-16-16

 Date

STAFF ANALYSIS
April 27, 2017

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE OC TAVERN, SAN CLEMENTE, CALIFORNIA, FOR A PERIOD OF UP TO FIVE YEARS

Southern California Off Track Wagering, Inc. (SCOTWINC) filed an application for a license to operate a minisatellite wagering site on behalf of OC Tavern, for a period of up to five years. SCOTWINC has acted as the coordinator during the application process. OC Tavern is currently licensed through June 22, 2017 as a minisatellite wagering facility.

- OC Tavern is a restaurant, bar and concert hall facility located in San Clemente, California. The proposed wagering site will be located in the Southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- SCOTWINC has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within a 20 radius miles of the applicant.
- OC Tavern has contracts/agreement with the following racing associations:

California Authority of Racing Fairs
Del Mar Thoroughbred Club
Los Angeles County Fair Association
Los Alamitos Racing Association
Los Angeles Turf Club
Los Alamitos Quarter Horse Racing Association
Pacific Racing Association
Sonoma County Fair
Watch and Wager.com LLC

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Southern California Off Track Wagering, Inc. (SCOTWINC).
- Applicant proposes to operate minisatellite wagering site Wednesday through Sunday and selected Mondays and holidays. Opening 10:30 a.m. – closing after the last Thoroughbred race of the day.

- Estimated number of pari-mutuel terminals machines available: Five. Seating Capacity is 75 inside and 30 patio; the number of tables in the ministatellite wagering area is 15. Flat screen televisions, dish and receivers for racing; and Direct TV for sports.
- Staffing: Mutuel Supervisor or clerks to be determined by attendance.

Specific information which will need **updating** during the proposed approval period:

1. Fire Clearance (Inspection expected April 2017).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I
 MINISATELLITE WAGERING APPLICANT INFORMATION**

APPLICANT INFORMATION

Name of applicant: OC Tavern			
The applicant is:		<input type="checkbox"/> Card Room/Gambling Establishment <input checked="" type="checkbox"/> Restaurant/Bar <input type="checkbox"/> Other Business Entity	
<input type="checkbox"/> Racing Association <input type="checkbox"/> Fair <input type="checkbox"/> Federally Recognized Indian Tribe			
Facility street address: 2369 S. El Camino Real			
Mailing address (if different from above):			
E-mail address: octavern@gmail.com			
City: San Clemente	State: CA	Zip Code: 92672	
Phone: (949) 542-8877	Fax: (949) 542-8878	Website: www.octavern.com	
County: Orange County		Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)			

**CONTACT PERSON
 (Authorized Representative)**

Name and title of the contact person: Michael Merrigan – Managing Member		
Business street address: 2369 S. El Camino Real		
Mailing address (if different from above):		
City: San Clemente	State: CA	Zip Code: 92672
County: Orange County		
Phone: (858) 518-1122	E-mail: octavern@gmail.com	Fax: (949) 542-8878

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

June 22, 2017 until expiration.

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes X No

Do you currently operate a licensed gaming operation in California or another state? Yes X No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: June, 22, 2010 End Date: June, 21, 2017

Facility name and address: OC Tavern, 2369 S. El Camino Real

City: San Clemente State: CA Zip Code: 92672
County: Orange County

Zone Location:
North South Central
(To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No X

If yes, provide the following if different from above:

Facility name and address:
City: State: Zip Code:

Racing Jurisdiction:

BUSINESS STRUCTURE

X Corporation Partnership & Joint Venture Other
 Public Sole Proprietorship
 Private
X Sub-S If you have listed your company as other
 Sub-C please identify your company structure:
 Limited Partnership
 Limited Liability Company

Registered Business Name: OCT Grill & Sports Bar, Inc.

Fictitious Business Name: OC Tavern Grill & Sports Bar

Address: 2369 S. El Camino Real

E-mail Address: octavern@gmail.com

City: San Clemente State: CA Zip Code: 92672

Phone: (949) 542-8877 Fax: (949) 542-8878

State where registered or
Articles of Organization are filed: California Registry or File number: 200612510169

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Michael Merrigan, Managing Member	1204 Avenida Buena Suerte San Clemente, CA 92672	55%	\$6,000 Mo.
Brandon Ramminger, General Manager	29308 Grand Slam Lake Elsinore, CA 92530	2%	\$4,400 Mo.

Are shares listed for public trade?
 Yes No X

If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.
 All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Michael Merrigan	Managing Member
Brandon Ramminger	General Manager

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:
 See Exhibit A

Addresses of racing association(s):
 See Exhibit A

Racing association(s) phone numbers:
 See Exhibit A

Proposed contract dates: From: June, 22, 2017 To: Expiration (inclusive).

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs
1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

Fax number:

(916) 263-3341

Racetrack name:

Ferndale, Fresno, Pleasanton, and Sacramento

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Larry A. Swartzlander	Signature of association representative 
Title Executive Director	Date: 3/13/2017

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd, Del Mar, CA 92014

Telephone:

(858) 755-1141

Fax number:

(858) 794-1007

Racetrack name:

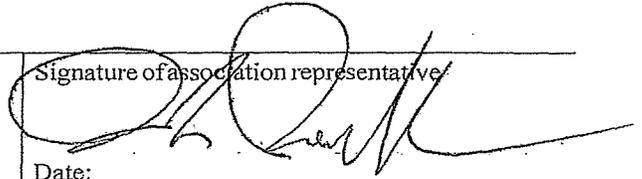
Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Josh Rubinstein

Title
COO

Signature of association representative



Date:

3/13/17

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles County Fair Association
1101 W. McKinley Avenue, Pomona, CA 91768

Telephone:

(909) 865-4203

Fax number:

(909) 865-2481

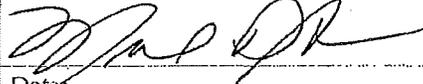
Racetrack name:

Los Angeles County Fair, racing at Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Michael D. Seder

Signature of association representative



Title
CFO

Date:

3/13/17

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles Turf Club, Inc.
285 W. Huntington Drive, Arcadia, CA 91007

Telephone:

(626) 574-7223

Fax number:

(626) 821-1514

Racetrack name:

Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Joe Morris

Signature of association representative



Title

Senior Vice President, West Coast Operations

Date:

3-15-17

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Alamitos Racing Association
4961 Katella Avenue, Los Alamitos, CA. 90720

Telephone:

(714) 820-2800

Fax number:

Racetrack name:

Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Brad McKinzie

Title
General Manager

Signature of association representative



Date:

3-15-17

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Alamitos Quarter Horse Racing Association
4961 Katella Avenue, Los Alamitos, CA. 90720

Telephone:

(714) 820-2800

Fax number:

Racetrack name:

Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

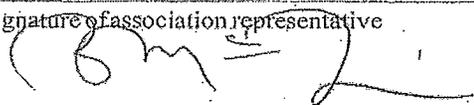
Name

Brad McKinzie

Title

General Manager

Signature of association representative



Date:

3-15-17

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Pacific Racing Association
1100 Eastshore Highway, Albany, CA 94706

Telephone:

(510) 559-7300

Fax number:

(510) 559-7465

Racetrack name:

Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name
Joe Morris

Signature of association representative



Title
Senior Vice President, West Coast Operations

Date:

3-15-17

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Sonoma County Fair & Exposition, Inc.
1350 Bennett Valley Road, Santa Rosa, CA 95404

Telephone:

(707) 545-4200

Fax number:

(707) 573-9342

Racetrack name:

Santa Rosa Racetrack - Sonoma County Fair

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Rebecca Bartling

Signature of association representative



Title

CEO

Date:

3 / 13 / 17

OC Tavern

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Watch and Wager.com LLC
20 California Street, 7th Floor, San Francisco, CA 94111

Telephone:

(916) 800-1390

Fax number:

(916) 800-1395

Racetrack name:

California State Fair & Exposition - Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Ben Kenney

Title

CFO

Signature of association representative



Date:

3-13-17

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

**PART III
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
To be submitted under separate cover.

Submit a copy of each horsemen's written approvals. To be submitted under separate cover.

Hours for operation of the facility: 11:30 a.m. - 2:00 a.m. Monday thru Sunday.

Hours for operation of the minisatellite wagering site: See Exhibit A

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): None expected.

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): See Exhibit A

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See Exhibit A

Estimated number of pari-mutuel terminals machines available:

5 convertible teller/self-service; 4 dedicated self-service

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). See Exhibit A

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:
Michael Merrigan, Brandon Ramminger

Name and Title	CHRB License No. and Expiration Date
Randy Hartzell, Director of Mutuels, representing SCOTWINC	#306778, expires 2/2017



March 13, 2017

Ms. Laurel Houle
 Regulations Analyst
 California Horse Racing Board
 1010 Hurley Way, Suite #300
 Sacramento, CA 95825

RE: OC Tavern, Minisatellite Facility

Dear Ms. Houle,

The Thoroughbred Owners of California approves the renewal of licensing by the California Horse Racing Board of OC Tavern as a minisatellite.

Sincerely,

Greg Avioli
 President

xc: Rick Baedeker, CHRB Executive Director
 George Haines, SCOTWINC General Manager

OFFICERS

- NICK ALEXANDER
CHAIR
- BILL STRAUSS
VICE CHAIR, SOUTHERN CA. &
SECRETARY/TREASURER
- JACK OWENS
VICE CHAIR, NORTHERN CA.
- MIKE PEGRAM
AT LARGE
- MIKE HARRINGTON
AT LARGE
- ED MOGER
AT LARGE

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- ALLEN ALDRICH
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- JOE CIAGLIA
- MARK DEDOMENICO
- RON ELLIS
- GARY FENTON
- TERRY LOVINGIER
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- KATHY WALSH

EXECUTIVE STAFF

- GREG AVIOLI
PRESIDENT & CEO
- MARY FORNEY
EXECUTIVE DIRECTOR
- LIZ MOREY
NORTHERN CALIFORNIA LIAISON
- ELSA PERON
ADMINISTRATIVE ASSISTANT

CHAIRS EMERITUS

- ED FRIENDLY (1996-97)
- ROBERT B. LEWIS (1997-2001)
- GARY BURKE (2001)
- JACK B. OWENS (2001-03, 04-05,
2011)
- RON CHARLES (2003-04)
- ALAN LANDSBURG (2005-07)
- MARSHA NAIFY (2007-10)
- ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM



Pacific Coast Quarter Horse Racing Association

March 13, 2017

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: OC Tavern, Minisatellite Facility

Dear Ms. Houle,

The Pacific Coast Quarter Horse Racing Association approves the renewal of licensing by the California Horse Racing Board of OC Tavern as a minisatellite.

Sincerely,

Dominic Alessio
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

March 13, 2017

Ms. Laurel Houle
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite #300
Sacramento, CA 95825

RE: OC Tavern, Minisatellite Facility

Dear Ms. Houle,

The California Harness Horsemen's Association approves the renewal of licensing by the California Horse Racing Board of OC Tavern as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read "David Neumeister", with a long horizontal flourish extending to the right.

David Neumeister
President

xc: Rick Baedeker, CHRB Executive Director
George Haines, SCOTWINC General Manager

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CHRB-228 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):
To be submitted under separate cover.

Attach a fire clearance from the fire authority having jurisdiction. To be submitted under separate cover.

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility.

See Exhibit A

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site.

See attached scale plan. Security staff will insure that the wagering area is restricted to those 21 years of age and older.

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): Full service bar and menu (breakfast, lunch and dinner)

The seating capacity in the minisatellite wagering facility is: 75 inside; 30 patio

The number of tables in the minisatellite wagering area is: 15 inside; 8 patio

Overall square footage in the minisatellite wagering area is: 900 square feet inside, 400 square feet patio

Attach a photograph of the minisatellite wagering area.

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

169 standing room capacity in mini-satellite inside; 50 standing room patio

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): 38 in primary lot; over 100 in nearby city parking

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: N/A



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
03/02/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER AVI COMMERCIAL INSURANCE, INC. 200 S. OLA VISTA SAN CLEMENTE, CA 92672 LICENSE# OE40889,	CONTACT NAME: DOUG KRUMPOLS PHONE (A/C, No, Ext): 949-940-1856 E-MAIL ADDRESS: DOUG@AVIINSURANCE.COM FAX (A/C, No): 949-940-1857														
INSURED OCT GRILL & SPORTS BAR INC. DBA: OC TAVERN GRILL & SPORTS BAR 2369-71 S. EL CAMINO REAL SAN CLEMENTE, CA 92672	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">INSURER(S) AFFORDING COVERAGE</th> <th style="text-align: left;">NAIC #</th> </tr> <tr> <td>INSURER A: FIRST MERCURY INSURANCE COMPANY</td> <td>10657</td> </tr> <tr> <td>INSURER B:</td> <td></td> </tr> <tr> <td>INSURER C:</td> <td></td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </table>	INSURER(S) AFFORDING COVERAGE	NAIC #	INSURER A: FIRST MERCURY INSURANCE COMPANY	10657	INSURER B:		INSURER C:		INSURER D:		INSURER E:		INSURER F:	
INSURER(S) AFFORDING COVERAGE	NAIC #														
INSURER A: FIRST MERCURY INSURANCE COMPANY	10657														
INSURER B:															
INSURER C:															
INSURER D:															
INSURER E:															
INSURER F:															

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> LIQUOR LIABILITY \$1,000,000/\$1,000,000 GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	X		FMEV105894	02/28/2017	02/28/2018	EACH OCCURRENCE \$ \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ \$100,000 MED EXP (Any one person) \$ EXCLUDED PERSONAL & ADV INJURY \$ \$1,000,000 GENERAL AGGREGATE \$ \$2,000,000 PRODUCTS - COM/OP AGG \$ \$2,000,000 \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE EACH OCCURRENCE \$ AGGREGATE \$ \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	WWW0037483-05	08/17/16	08/17/2017	<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$ \$1,000,000 E.L. DISEASE - POLICY LIMIT \$ \$1,000,000
A	BUSINESS PERSONAL PROPERTY	X		FMEV105894	02/28/2017	02/28/2018	LIMIT - \$300,000 DEDUCTIBLE - \$1,000

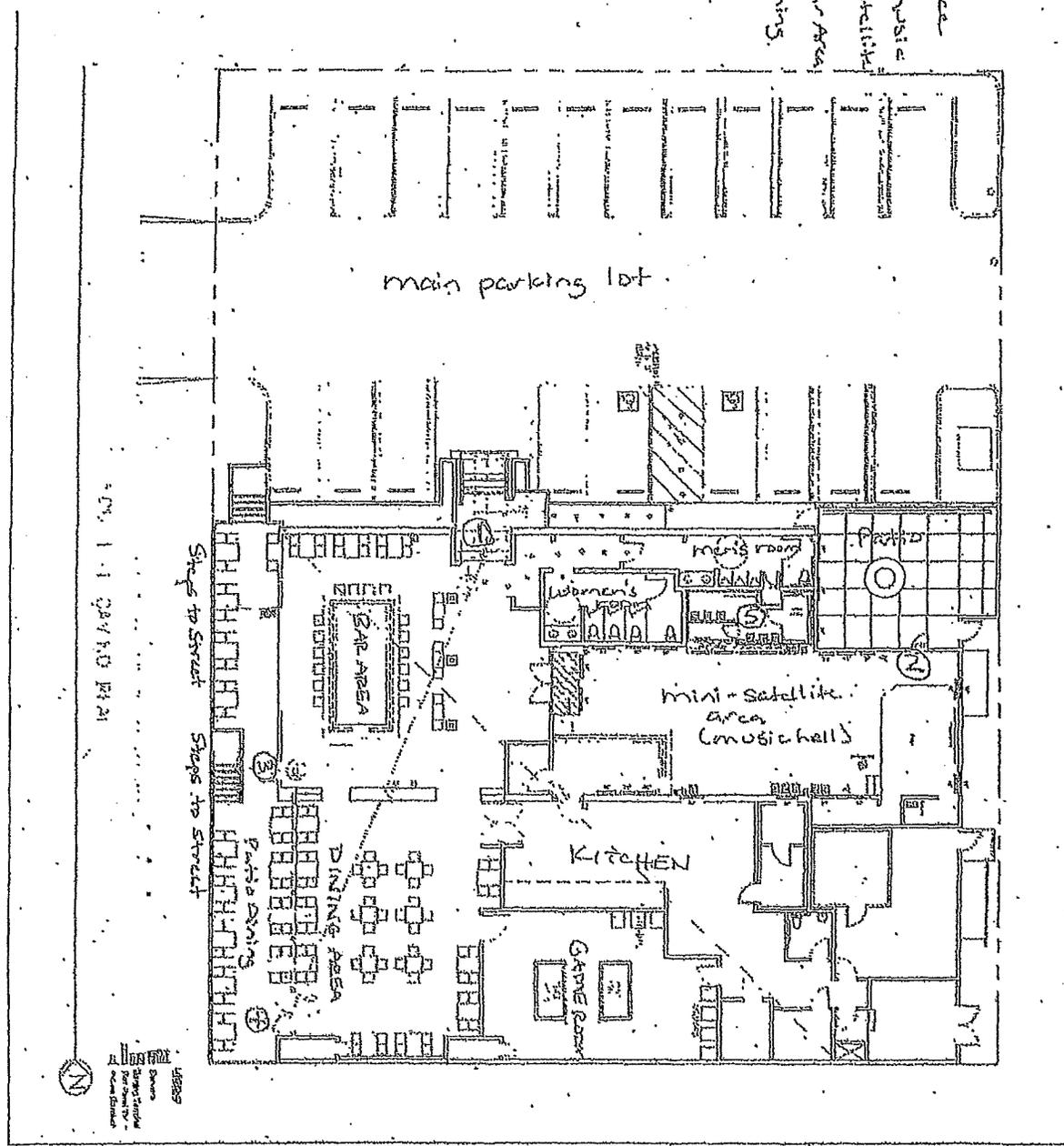
DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 CERTIFICATE HOLDER IS LISTED ON POLICY AS ADDITIONAL INSURED.
 *10 DAY NOTICE OF CANCELLATION FOR NON PAYMENT OF PREMIUM

CERTIFICATE HOLDER ONGYAH INC. P.O. BOX 3852 SAN CLEMENTE, CA 92674	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
---	--

Digitally signed by Doug Krumpols
 DN: cn=Doug Krumpols, ou=AVI Commercial Insurance Marketing, o=AVI Commercial Insurance, email=doug.krumpols@aviinsurance.com, c=US
 Date: 2017.03.13 09:33:55 -0700

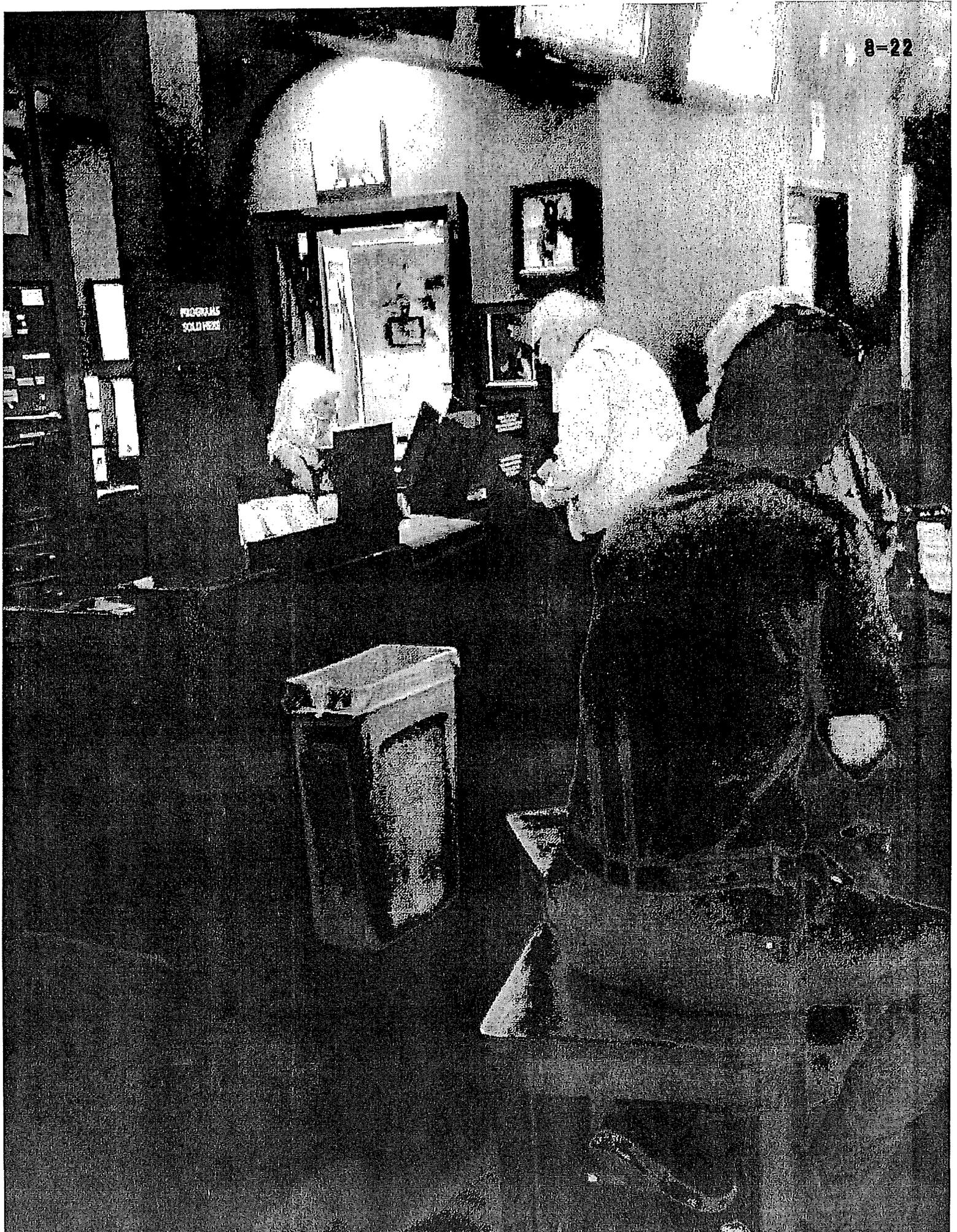
- Main Entrance
- Exit from music
- Mini-satellite
- Exit from Bar Area
- Exit from Dining Area
- Musical Area

Back Parking Area



<p>111 CAMINO REAL</p>		<p>SCIENTIFIC GAMES</p>		<p>OCTAVERN</p>		<p>2959 SOUTH EL CAMINO REAL SAN CLEMENTE, CA. 92674</p>	
<p>DATE: 2/24/82</p>		<p>BY: [Signature]</p>		<p>APPROVED BY: [Signature]</p>		<p>SCALE: 1/4" = 1'-0"</p>	
<p>NO. 111 CAMINO REAL</p>		<p>PROJECT NO. 111</p>		<p>DATE: 2/24/82</p>		<p>BY: [Signature]</p>	
<p>NO. 111 CAMINO REAL</p>		<p>PROJECT NO. 111</p>		<p>DATE: 2/24/82</p>		<p>BY: [Signature]</p>	

PROGRAMS
SOLD HERE





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 CHRB-228 (New 11/08)

V. EQUIPMENT PROVIDED BY THE MINISATILLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: Flat screen televisions, dish and receivers for racing; DIRECT TV for sports

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: PA and speakers

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans:
 Website; email alerts; local press; etc.

Describe any improvements to the facility that will directly benefit minisatellite wagering:

Bench seating, additional tables and chairs

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	N/A
Parking charges, if any, are:	N/A
Program charges, if any, are:	Price determined by host track.
Seating charges, if any, are:	N/A

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change. Michael Merrigan purchased 1% interest from investor CJ Langer.

How many years have you been an approved minisatellite wagering facility?

6 + years

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AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state): No exceptions.

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state): No exceptions.

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state): No exceptions.

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-228 (New 11/08)

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

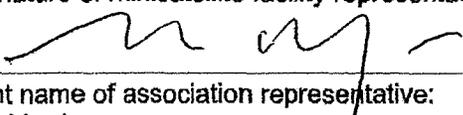
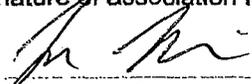
Print name of minisatellite facility applicant representative: Michael Merrigan	Date: 3-14-17
Signature of minisatellite facility representative: 	Date: 3-14-17
Print name of association representative: Joe Morris	Date: 3-15-17
Signature of association representative: 	Date: 3-15-17
Print name of simulcast organization representative: George Haines	Date: 3-14-17
Signature of simulcast organization representative: 	Date: 3-14-17

Exhibit A

OCT Grill & Sports Bar Inc. Minisatellite Wagering Application

8-27

Part 1 – Minisatellite Wagering Applicant Information

Association Contract/Agreement:

Name(s) of racing associations(s) with a contract or agreement:

California Authority of Racing Fairs
1776 Tribute Road, Suite 205
Sacramento, CA 95812

Phone: (916) 927-7223
Fax: (916) 263-3341

Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd
Del Mar, CA 92014

Phone: (858) 755-1141
Fax: (858) 794-1007

Los Angeles County Fair
1101 W. McKinley Avenue
Pomona, CA 91768

Phone: (909) 865-4093
Fax: (909) 622-5652

Los Angeles Turf Club
285 W. Huntington Drive
Arcadia, CA 91007

Phone: (626) 574-7223
Fax: (626) 821-1514

Los Alamitos Racing Association (Thoroughbred) & Los Alamitos Quarter Horse Racing Association
4961 Katella Avenue
Los Alamitos, CA 90720

Phone: (714) 820-2760
Fax: (714) 820-2813

Pacific Racing Association
1100 Eastshore Highway
Berkeley, CA 94710

Phone: (510) 559-7300
Fax: (510) 559-7464

Exhibit A**OCT Grill & Sports Bar, Inc. Minisatellite Wagering Application****Association Contract/Agreement:**

Name(s) of racing associations(s) intended to have a contract or agreement:

Sonoma County Fair
1350 Bennett Valley Drive
Santa Rosa, CA 95404

Phone: (707) 545-4200
Fax: (707) 545-9342

Watch & Wager.com, LLC
1600 Exposition Road
Sacramento, CA 95815

Phone: (916) 263-3000
Fax: (916) 800-1395

Part III – Simulcast Organization Information**Operation of the Minisatellite Wagering Facility:**

Parimutuel wagering will be offered Wednesday through Sundays, plus Holidays, on all California cards plus races imported by all California racing associations from out of state and out of country tracks.

Wagering will be offered on live race meetings conducted by:

Del Mar Thoroughbred Club, Los Angeles Turf Club, Los Angeles County Fair, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Watch and Wager.com, LLC, Pacific Racing Association, CARE, and the Sonoma County Fair

Wagering will be offered on the same imports from out of state and international tracks as the California host tracks offer.

The host track pari-mutuel manager will determine the number of mutuel tellers based upon the projected handle and attendance for the day or night card. On most days, one teller will be assigned, with more as needed. At least one security officer will be present at all times.

Supervision, Security and Fire Prevention

Michael Merrigan is responsible for security operations. His phone number is (858) 518-1122. Criminal law enforcement services are provided by the San Clemente Police Department.

The facility will be staffed according to needs of the property. At least one security person will be present during hours of operation.

VI Advertising and Patron Development

Web site, email and local press, Daily Racing Form and track programs, horse racing affiliations and partnerships, co-branded marketing.

California Horse Racing Board

APRIL 27, 2017

REGULAR BOARD MEETING

There is no board package material for Item 9

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE STATUS OF THE FINANCIAL AND OPERATIONAL PLAN OF THE ORGANIZATION ADMINISTERING THE OFFSITE STABLING AND VANNING PROGRAM IN THE NORTHERN ZONE AND THE ORGANIZATION'S REQUEST FOR APPROVAL TO ADJUST THE ALLOWABLE STATUTORY DEDUCTION FROM 1.25% TO 2%, EFFECTIVE MAY 1, 2017 PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19607.3(g)

Regular Board Meeting
April 27, 2017

BACKGROUND

Business and Professions Code section 19607.2, provides that when satellite wagering is conducted on thoroughbred racing associations or fairs for the northern zone a maximum of 2 percent of the total amount handled be deducted to provide reimbursement for offsite stabling and vanning of starters from those additional stalls on race days for thoroughbred horses. Further, Business and Professions Code section 19607.3(g) provides for adjustments to the stabling and vanning fund rate at the discretion of the Board, however, the adjusted amount may not exceed 2 percent.

ANALYSIS

The 2017 Northern California Stabling and Vanning Agreement was signed by the Thoroughbred Owners of California, Golden Gate Fields, California Authority of Racing Fairs, and the Sonoma County Fair. All parties agreed it was in the best interest of northern California racing for the stabling and vanning deduction to increase from its current level of 1.25% to 2.00% effective on the payment by Golden Gate Fields of its share of the deficit that existed on December 31, 2016, with the payoff occurring on or about May 1, 2017.

Pacific Racing Association (Golden Gate Fields) is requesting the Board approve the stabling vanning fund rate of 2% effective May 1, 2017.

Please see attached document submitted by the Golden Gate Fields in support of its request.

RECOMMENDATION

This item is presented for Board discussion and action.

Golden Gate Fields

April 12, 2017

Rick Baedeker
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Increase of the Northern California Stabling and Vanning Deduction

Rick,

Last year, as a result of the California racing industry working together, we were able to make much needed changes to the stabling and vanning laws. One such change—California Business and Professions Code §19607.3(g)—permits the CHRB to increase the stabling and vanning fund deduction for Golden Gate Fields and the northern Fairs to an amount not to exceed 2.00%.

As part of the 2017 Northern California Stabling and Vanning Agreement which was signed by the TOC, Golden Gate Fields, CARF, and the Sonoma County Fair, all parties decided it was in the best interest of northern California racing for the stabling and vanning deduction to increase from its current level of 1.25% to 2.00% effective on the payment by Golden Gate Fields of its share of the deficit that existed on December 31, 2016. That payoff will occur on or about May 1, 2017.

We are hereby requesting that the CHRB approve that the amount deducted for the stabling and vanning fund from Golden Gate Fields and the northern Fairs increase to 2.00% effective as of May 1, 2017.

Please let me know if you have any questions.

Sincerely,


Calvin Rainey

cc: Chuck Winner
Jackie Wagner
Elizabeth Morey
Larry Swartzlander
Bryan Wayte

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1696, THE START,
TO PROVIDE THAT THE STEWARDS MAY
DECLARE A HORSE A NON-STARTER IN ANY INSTANCE
WHERE A HORSE RECEIVES AN UNFAIR START
THROUGH NO FAULT OF THE HORSE

Regular Board Meeting
April 27, 2017

ISSUE

Rule 1696, The Start, currently provides that the stewards may declare a horse a non-starter if the starting gate stall should not open due to mechanical failure, or if the horse is not in the starting gate stall when the starter dispatches the field. This limits the ability of the stewards to declare a horse a non-starter in any instance where a horse receives an unfair start when the horse is not at fault. In addition, the regulation does not address instances when a horse may gain an unfair advantage at the start.

ANALYSIS

The proposed amendment to Rule 1696 will allow the stewards more flexibility to declare a horse a non-starter when, in the stewards' opinion, a horse receives an unfair start and the horse is not at fault, or when a horse gains an unfair advantage at the start. A new subsection 1696(b) gives the stewards the ability to declare a horse a non-starter if they believe it did not receive a fair start or an unfair advantage. Under subsection 1696(b), the stewards may also decide to declare a horse a starter for purse money only and disregarded for pari-mutuel purposes. The changes will allow the wagering public to receive a refund on its wagers when a horse that is not at fault receives an unfair start. A new subsection 1696(b)(1) provides that the stewards shall void any claim deposited for a horse declared to be a non-starter. This is consistent with Board Rule 1658, Vesting of Title to Claimed Horse, which provides that title to a claimed horse is vested when it becomes a starter. Rule 1658 also states only a horse which is officially a starter in the claiming race may be claimed. A new subsection 1696(b)(2) states a horse declared a starter for purse money only is eligible to be claimed. The subsection will avoid confusion regarding whether such a horse is eligible to be claimed.

BACKGROUND

Business and Professions Code section 19420 provides that the Board shall have jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to adopt rules and regulations for the protection of the public and the control of horse racing. Business and Professions Code section 19562 provides that the Board

may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this State.

The 45-day public notice period for the proposal to amend Rule 1696 began March 3, 2017, and ended April 17, 2017. No comments were received during the 45-day period.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1696. THE START

Regular Board Meeting
April 27, 2017

1696. The Start

~~(a) Except in cases of emergency, every horse shall be started from an approved starting gate by the starter. If the doors at the front of the starting gate stall should not open due to a mechanical failure or a malfunction of the starting gate, when the starter dispatches the field, or should a horse not be in the starting gate stall when the field is dispatched, thereby causing such horse to be left, the starter shall immediately report such fact to the stewards, and the stewards may declare such left horse to be a non-starter.~~

(b) If, in the opinion of the stewards, a horse did not receive a fair start through no fault of the horse, or gained an unfair advantage at the start, such horse may be declared a non-starter or may be declared a starter for purse money only and disregarded for pari-mutuel purposes.

(1) The stewards shall void any claim deposited for a horse declared to be a non-starter.

(2) Any horse determined to be a starter for purse money only is eligible to be claimed.

Authority: Sections 19420, 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE ON THE 2017
HORSE RACING RELATED LEGISLATION.

Regular Board Meeting
April 27, 2017

CURRENT LEGISLATION

AB 253 (Bigelow) Horse racing: out-of-state thoroughbred races: Florida Derby.

- Background: The Horse Racing Law provides that a thoroughbred racing association or fair may distribute the audiovisual signal and accept wagers on the results of out-of-state thoroughbred races during the time the thoroughbred association or fair is conducting races, provided that the total number of imported out-of-state races does not exceed 50 races per day on days when live thoroughbred or fair racing is being conducted in the state. Exempted from the imported 50 race per day cap are races that are part of the race card of certain prominent races, including the Kentucky Derby, Kentucky Oaks, the Preakness Stakes, the Belmont Stakes, the Jockey Club Gold Cup, the Travers Stakes, the Arlington Million, the Breeders' Cup, the Dubai Cup, the Arkansas Derby, the Apple Blossom Handicap, or the Haskell Invitational.
- Effect: This bill would exempt from the 50 imported race per day limitation, races imported that are part of the race card of the Florida Derby.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 460 (Bigelow) Horse racing: satellite wagering facilities: fairs.

- Background: The Horse Racing Law authorizes fairs to contract for the operation and management of a satellite wagering facility with an individual racing association or a partnership, joint venture, or other affiliation of two or more racing associations that are licensed to conduct thoroughbred meetings within the northern zone.
- Effect: This bill would authorize a fair to contract with two or more fairs that are licensed to conduct thoroughbred meetings within the northern zone for the operation and management of a satellite wagering facility.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 865 (Levine) California Horse Racing Board: public records: votes.

- Background: The Horse Racing Law establishes the California Horse Racing Board and requires the board, among other things, to maintain a general office for the transaction of its business in Sacramento and to maintain a public record of every vote at the board's general office.
- Effect: This bill would require the board to post the record of its vote on its Internet Web site.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 924 (Gray) Horse racing: minisatellite wagering facilities.

- Background: Existing law authorizes the California Horse Racing Board to approve an additional 15 minisatellite wagering sites in each zone under certain conditions, including that no site is within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. Existing law provided that until January 1, 2013, if a proposed minisatellite wagering site is in the northern zone in a fair district where the fair has operated a satellite wagering facility for the previous 5 years, the approval of the fair must be obtained even if the proposed location is more than 20 miles from the existing satellite wagering facility operated by the fair.
- Effect: This bill would delete the provision requiring approval from Northern California fair satellite facilities through 2013 (which is now obsolete).
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 969 (Acosta) Horse racing: license fees.

- Background: Current law requires a racing association to pay any license fee theretofore unpaid, or to have refunded to it any excess license fee theretofore paid, within 7 days after the close of a race meeting.
- Effect: This bill would specify that the payment of the license fee or refund of any excess license fee payment shall be made within 7 business days after the close of a race meeting.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 1167 (Gray) Horse racing: quarter horse races.

- Background: Existing law establishes the California Horse Racing Board, and gives that board specified duties, including authorizing a licensed quarter horse racing association that is conducting a live racing meeting in this state to accept wagers on specified races, including the American Quarter Horse Racing Challenge.
- Effect: This bill would authorize the board to authorize a licensed quarter horse racing association that is conducting a live racing meeting in this state to accept wagers on any quarter horse stakes race with a purse equal to or greater than \$100,000.
- Status: This bill passed the Assembly Committee on Governmental Organization and has been sent to the Assembly Appropriations Committee.

AB 1499 (Gray) Horse racing: state-designated fairs: allocation of revenues: gross receipts for sales and use tax.

- Background: The Horse Racing Law provides that any unallocated balance from the total revenue received by the Department of Food and Agriculture, except as specified, is hereby appropriated without regard to fiscal years for allocation by the Secretary of Food and Agriculture for capital outlay to California fairs, including state-designated fairs, for among other things, fair projects involving public health and safety, and projects that are required to protect fair property. That law also provides that a portion of these funds may be allocated to California fairs for general operational support.

- Effect: This bill would require a return filed with the State Board of Equalization to report gross receipts for sales and use tax purposes to segregate the gross receipts of the seller and the sales price of the property on a form prescribed by the state board when the place of sale in this state or for use in this state is on or within the real property of a state-designated fair, as defined, or any real property of a state-designated fair that is leased to another party. The bill would require the state board to report the amount of the total gross receipts segregated on the returns filed pursuant to these provisions to the Department of Finance on or before April 30 of each year. The bill would require that an amount equal to 3/4 of 1% of the total amount of gross receipts reported to the Department of Finance be included in the next annual Governor's Budget for the Department of Food and Agriculture for allocation to fairs pursuant to the provisions described above. The bill would require, upon the enactment of the annual Budget Act, that this amount be transferred by the Controller to the Fair and Exposition Fund, which is continuously appropriated, and would allocate these moneys to state-designated fairs, as specified. The bill would provide that the state board be paid the actual cost for administering those provisions, as specified.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 1508 (Chau) Horse racing: advance deposit wagering.

- Background: The Horse Racing Law authorizes advance deposit wagering to be conducted with the approval of the California Horse Racing Board. The Horse Racing Law also authorizes every association that conducts a racing meeting to elect permanently to deduct an additional amount up to 0.33 of 1% from the total parimutuel wagers placed within its inclosure and any association that conducts a racing meeting or any fair that operates a satellite wagering facility to elect to deduct an additional amount of 0.33 of 1% from the total parimutuel wagers placed within its inclosure or at its satellite wagering facility. That law provides that the amounts deducted pursuant to these provisions be retained by the association or fair for the payment of possessory interest taxes, if any, assessed against the organization that operates the audiovisual signal system, the racing association, or fair, and after payment of these taxes be distributed to the city, or to the city or county, in which the racing meeting or wagering is conducted.
- Effect: This bill would require the California Horse Racing Board to review the payments made to a city pursuant to the above-described provisions to ensure that the additional amounts paid to a city based on 0.33 of 1 percent from the total parimutuel wagers and 0.33 of the 1 percent of the total amount handled by each satellite wagering facility include the total amount that was waged by advance deposit wagers at the racetrack. The bill would require the board to determine whether the total amount paid to a city included compensation from advance deposit wagers. The bill would also require the board to release its findings at a publicly noticed hearing and make its findings available to the public on its Internet Web site.
- Status: This bill was amended to its current form on March 28, 2017, and has been referred to the Assembly Committee on Governmental Organization.

AB 1667 (Jones-Sawyer) Gambling: Internet poker.

- Effect: This bill would enact the Internet Poker Consumer Protection Act of 2016, establishing a framework to authorize intrastate Internet poker, as specified. The bill would

authorize eligible entities to apply for a 7-year license to operate an authorized poker Website offering the play of authorized Internet poker games to registered players within California. This bill would require 95% of the first \$60,000,000 collected each fiscal year under the license deposit and annual fees provisions to be deposited into the California Horse Racing Internet Poker Account, which the bill would establish in the General Fund, and would continuously appropriate to the California Horse Racing Board for distribution, as specified (95.4% to commissions and purses; 2.3% to parimutuel employees' pension plan; 1.15% to jockey health & welfare benefits; and 1.15% to the jockeys retirement plan).

- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

AB 1723 (Assembly G.O. Committee) Horse Racing Law.

- Background: Until January 1, 2018, existing law requires any racing association, including a fair, which conducts thoroughbred racing to pay to the owners' organization contracting with the association an additional 1¾ % of the portion required to be deducted for purses for a national marketing program, as specified. The law further requires the owners' organization to annually file a report with the board and specified committees of the Legislature accounting for the receipt and expenditure of these funds. Existing law also provides that all money representing penalties or fines imposed by the stewards of a horse race meeting be collected by the licensee of the meeting and paid to the board within 10 days after its close.
- Effect: This bill would remove the requirement that owners' organizations file the report with the legislative committees, and would clarify that penalty/fine moneys be paid to the board within 10 *business* days.
- Status: This bill has been referred to the Assembly Committee on Governmental Organization.

SB 165 (Portantino) Horse racing: national thoroughbred racing marketing program.

- Background: The Horse Racing Law, requires, until January 1, 2018, any racing association, including a fair, that conducts thoroughbred racing to pay to the owners' organization contracting with the association an additional 1¾ % of the portion required to be deducted for purses for a national marketing program, as specified.
- Effect: This bill would extend the operation of those provisions to January 1, 2022.
- Status: This bill passed the Senate on April 6, 2017, and is now being held in the Assembly.

SB 274 (Glazer) Horse racing: fairs: funding.

- Background: The Horse Racing Law requires, except as specified, for a fair conducting a live racing meeting, that 1% of the total amount handled on live races, excluding wagering at a satellite facility, be retained by the fair association for payment to the state as a license fee. That law also requires any fair racing association to additionally deduct 1% from the total amount handled in its daily conventional and exotic parimutuel pools and requires that this additional amount be deposited in the Fair and Exposition Fund and, with the approval of the Department of Food and Agriculture, authorizes the expenditure of these funds for the construction or operation of recreational and cultural facilities of general public interest.

- Effect: This bill would provide that the 1% retained for payment to the state as a license fee and the additional 1% deducted from the total amount handled by any fair racing association in its daily conventional and exotic parimutual pools may be used by the state as payment to a fair conducting a live racing meeting at a fair or as payment to a joint powers authority involved in conducting live racing meetings at fairs for purposes of improving a fair enclosure, racetrack maintenance, safety at fairs, or other similar activities. The bill would provide that these provisions are retroactive to January 1, 2016.
- Status: This bill is awaiting a vote on the Senate Floor.

SB 368 (McGuire) Horse racing: fairs: funding.

- Background: The Horse Racing Law provides that any unallocated balance from the total revenue received by the Department of Food and Agriculture pursuant to that law, except as specified, is hereby appropriated without regard to fiscal years for allocation by the Secretary of Food and Agriculture for capital outlay to California fairs for, among other things, fair projects involving public health and safety and projects that are required to protect fair property. That law also provides that a portion of these funds may be allocated to California fairs for general support.
- Effect: This bill would revise these provisions to include revenue deposited into the Fair and Exposition Fund pursuant to a specified provision and funding appropriated by the Legislature or otherwise designated for California fairs pursuant to the Horse Racing Law or any other law that is to be appropriated without regard to fiscal years for allocation by the secretary for those capital outlay purposes.
- Status: This bill passed the Senate Agriculture Committee on April 18, 2017, and has been referred to Senate Appropriations.

SB 495 (Vidak) Horse racing: wagering: fairs: facilities maintenance and improvement funds.

- Background: The Horse Racing Law authorizes a fair, a combination of fairs, or an association conducting racing at a fair, to contribute 1% of the total amount handled daily in conventional and exotic pools for facilities maintenance and improvements at a fair's racetrack inclosure, for those fairs that contribute or those fairs where an association conducting racing at that fair contributes. Existing law requires that the money raised be deposited into the Inclosure Facilities Improvement Fund, which is created as a special fund in the State Treasury, and that money be made available upon appropriation by the Legislature in the annual Budget Act. Existing law requires the Secretary of Food and Agriculture to appoint a committee to advise on the administration of the funds, and requires the secretary to include in the annual expenditure plan any allocations made pursuant to these provisions, as specified.
- Effect: This bill would remove the requirement that the secretary include in the annual expenditure plan any allocations made pursuant to these provisions.
- Status: This bill is on the Senate Floor.

SB 678 (Galgiani) Horse racing: thoroughbred racing: racing weeks.

- Background: The Horse Racing Law authorizes the California Horse Racing Board to make allocations of racing weeks, including simultaneous racing between zones, as it deems appropriate, and allocates, for thoroughbred racing, a maximum of 44 weeks per year of racing weeks in the northern zone and a maximum of 49 weeks per year in the combined

central and southern zones. That law also authorizes the board to allocate from the weeks available in the combined central and southern zones a maximum of 5 weeks per year to a thoroughbred racing association to conduct thoroughbred racing at a racetrack in the southern zone that was not used to conduct a thoroughbred race meeting in the southern zone before 2012.

- Effect: This bill would instead authorize the board to allocate from the weeks available in the combined central and southern zones a maximum of 5 weeks per year to a thoroughbred racing association to conduct thoroughbred racing at a racetrack in the southern zone that was not used to conduct a thoroughbred race meeting in the southern zone before 2011.
- Status: This bill has been referred to the Senate Committee on Governmental Organization.

SB 685 California Horse Racing Board: public records: votes.

- Background: The Horse Racing Law establishes the California Horse Racing Board and requires the board, among other things, to maintain a general office for the transaction of its business in Sacramento and to maintain a public record of every vote at the board's general office.
- Effect: This bill would require the board to post the record of its vote on its Internet Web site within 48 hours of the vote.
- Status: This bill will be heard by the Senate Committee on Governmental Organization on April 25, 2017.