

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the California Horse Racing Board will be held on **Thursday, April 19, 2018**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of February 22, 2018.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club's 2017 summer race meeting in the amount of \$105,656, to nine beneficiaries.**
5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club's 2017 fall race meeting in the amount of \$17,929, to four beneficiaries.**
6. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club's 2017 Breeders' Cup Race Days in the amount of \$50,363, to four beneficiaries.**
7. Discussion and action by the Board on the **request from the California Authority of Racing Fairs, (CARF) to designate the following 2018 allocated race dates as a combined fair horse racing meeting, pursuant to Business and Professions Code section 19549.1:** Oak Tree at Pleasanton, June 13, 2018 through July 10, 2018; California Exposition and State Fair, July 11, 2018 through July 31, 2018; Humboldt County Fair at Ferndale, August 15, 2018 through August 28, 2018; and the Big Fresno Fair, October 3, 2018 through October 16, 2018.

8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Oak Tree Racing Association at the Alameda County Fair (F), at Pleasanton, (Race meeting to be called "Oak Tree at Pleasanton")** commencing June 13, 2018 through July 10, 2018, inclusive.
9. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race** to provide that a horse that receives an intra-articular injection (glucocorticosteroid/cortisone) is ineligible to race for five (5) days (120 hours) after the treatment and the **proposed addition of CHRB Rule 1842.1, Additional Report for Intra-Articular Treatments**, to require veterinarians administering medication or treatment into an articular structure of a horse located within the inclosure to provide an intra-articular treatment record to the trainer who shall maintain the records for a period of one year, and make the records available to the examining veterinarian for the purpose of assisting with pre-race veterinary examinations and other examinations as required by the Board. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1865, Altering of Sex of Horse**, to require that if the public is not notified of the true of sex of the horse prior to the opening of wagering, the stewards shall declare the horse from the race.
11. Report from the **Medication, Safety and Welfare Committee**.
12. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1503.5, Continuing Education for Trainers and Assistant Trainers**, to require continuing education as a condition of renewal of license for Trainer or Assistant Trainer.
13. Discussion and action by the Board regarding the **proposed addition of CHRB Rule 1660.1, Delivery of Medical Records**, to require the transfer of medical records of horses claimed in a claiming race.
14. Report from the **Special Committee on Northern California Racing**.
15. Discussion regarding a **report and update from the Pacific Racing Association (Golden Gate Fields), the Thoroughbred Owners of California, and the California Association of Racing Fairs (CARF) on organizational changes to Northern California Off-Track Wagering, Inc. (NCOTWINC) and projected changes, if any, to the operation of satellite wagering in Northern California**.
16. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.

- A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
- B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
- C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Madeline Auerbach, Vice Chairman
Jesse H. Choper, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California, on February 22, 2018.**

Present: Chuck Winner, Chairman
 Madeline Auerbach, Vice-Chairman
 Jesse H. Choper, Member
 Araceli Ruano, Member
 Alex Solis, Member
 Fredric Maas, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

Chairman Winner stated Governor Brown reappointed Vice-Chairman Auerbach and Commissioner Solis to the Board. He said the reappointments were great for the horse racing industry. The Board was appreciative of Governor Brown's decision.

APPROVAL OF THE MINUTES OF JANUARY 25, 2018

Chairman Winner asked for approval of the minutes of the Regular Meeting of January 25, 2018. Commissioner Maas **motioned** to approve the minutes. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Ruano, Choper, Auerbach, Winner, Solis, Maas. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported that Robert Miller would temporarily be acting as General Counsel for the Board in John McDonough's absence. He stated the hiring process for a new CHRB Staff Counsel was underway. Executive Director Baedeker reported year-to-date daytime handle increased in 2018 by 15.3 percent and nighttime handle decreased .04 percent,

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with an overall gain of 13.5 percent over the same time in 2017. He said the average runners per race increased from 7.3 to 8.3 in the same timeframe. The significant difference in the average runners per race along with a dry January 2018, compared to heavy rains in January 2017, improved handle and made up for one fewer live racing day in 2018. Executive Director Baedeker reported many changes were made to reduce the number of equine fatalities beginning with the 2017 Del Mar Thoroughbred Club summer race meeting. The 2017 Annual Report included statistics that did not fairly reflect these changes as they were based on the fiscal year, rather than the calendar year. The number of equine fatalities was reduced by 25 percent, from 152 in 2016 to 114 in 2017 thanks to a collaborative effort from industry stakeholders including the racing associations and horsemen.

PUBLIC COMMENT

Darrell Haire, Jockeys Guild (Guild), stated for many years the use of the riding crop was the subject of debate. In 2008 the Jockey Club, the Association of Racing Commissioners International (ARCI), and the Guild agreed on modifications to the popper, and an ARCI model rule was adopted. The approved riding crops as adopted in the ARCI Rule were softer and more humane, and veterinarians said cuts and welts were significantly reduced. He stated the Guild supported the current ARCI model rules regarding the riding crop specifications and expected jockeys to only use the riding crop for safety, correction and encouragement. He said prior to the CHRB adopting a rule requiring the use of the ProCush, Lite Touch and other riding crops that met the specifications as outlined in the ARCI model rule, the California riding colony was one of the first to voluntarily use the humane riding crops. He said when the California riding colony and the Guild was approached to change the rules regarding the use of the riding crop, they agreed to

work with the CHRB to make improvements. However, since Rule 1688, Use of Riding Crop, was amended it was not necessarily being applied as they were led to believe. He stated the adoption of a limited number of strikes before giving the horse a chance to respond was an attempt to get the jockeys to concentrate more on the correct use of the riding crop, and not to overuse the riding crop out of habit. However, not all horses responded the same, and the use of the riding crop needed to be at the discretion of the jockey. He said since the implementation of the new rule, use of the riding crop had been the main focus of the vast majority of fines or suspensions of jockeys. Additionally, the Guild believed the stewards were continually making modifications to their interpretation of the rule, and were inconsistent with the intent of the rule. He said most recently there was an incident at Santa Anita on February 16, 2018 in the sixth race. The Guild believed it was an unjust decision given the circumstances. The Guild respectfully requested the CHRB review the current rule regarding the use of the riding crop, and consider the most recent changes to the ARCI model rule regarding the definition of the chance to respond. He stated the Guild had proposed changes that were supported by the Thoroughbred Owners of California and the American Quarter Horse Association, and requested the issue be included on the next Stewards Committee meeting agenda. Chairman Winner thanked Mr. Haire for his comments. He said Mr. Haire and any jockeys were invited to attend the last Stewards Committee meeting to discuss the issue and there did not appear to be full agreement from the other jockeys on Mr. Haire's current position. He recommended that Mr. Haire and other jockeys attend the next Stewards Committee meeting to have another discussion. Vice-Chairman Auerbach asked if Mr. Haire could provide the Board with a copy of the Guild's proposed language so it could be reviewed. She stated it may be difficult for jockeys to go before the stewards and tell them they did not like their rulings; it could be uncomfortable for them to really say what they thought. Chairman Winner said she was

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right; jockeys could believe it would have a negative impact on them if the stewards heard complaints against them. He stated they were not really complaints against the stewards, they were complaints against the rule. Chairman Winner said he wanted to review the race Mr. Haire mentioned. Commissioner Solis suggested the races in question be reviewed with the jockeys at the next Stewards meeting. Chairman Winner agreed. Commissioner Choper asked if a questionnaire should be sent out to the jockeys so they could write their comments. Chairman Winner said that was a good idea; it would allow more candid comments since they would be anonymous. Commissioner Ruano suggested doing an online survey. Chairman Winner stated it may be difficult for some of the jockeys to do an online survey. He stated a Stewards Committee meeting would be scheduled soon.

DISCUSSION BY THE BOARD REGARDING A STATUS REPORT REGARDING THE REOPENING OF SAN LUIS REY DOWNS.

Tim Ritvo, San Luis Rey Downs training facility (SLRD), stated the SLRD facility was damaged during the 2017 Lilac Fire. He said the debris had been cleaned up, and stalls and buildings were being replaced. SLRD had agreements with vendors to complete work by April 1, 2018; the work appeared to be on target with the deadline. SLRD expected to move horses into the new stalls shortly after completion. Vice-Chairman Auerbach stated Sam Nichols won his first race as a trainer with a horse named Miss Napper Tandy. Some of the charitable donations made through the GoFundMe account that was set up to aid Lilac Fire victims paid for all his equipment. She said the horse racing industry worked together and found a way to successfully proceed in the aftermath of the Lilac Fire tragedy, and Mr. Nichol's win was a positive result from the group effort. Mr. Ritvo stated the entire industry worked together, and Del Mar Thoroughbred Club

(DMTC) deserved appreciation for the immediate support it provided to SLRD after the Lilac Fire. He said he hoped everyone would be proud of SLRD's progress in April 2018. Chairman Winner said the quick completion of repairs at SLRD was important to the California horse racing industry. The Board thanked DMTC and everyone who provided goods, services and contributions for the Lilac Fire victims. Vice-Chairman Auerbach stated she would like an update on the funds for the Lilac Fire victims. Alan Balch, California Thoroughbred Trainers (CTT), said \$36,000, or \$2,000 per individual, was spent at Western Saddlery to aid 18 trainers whose businesses were destroyed in the Lilac Fire. He stated Thoroughbred Charities of America (TCA) was working with the CTT, California Thoroughbred Horsemen's Foundation (CTHF), DMTC and the Stronach Group to invest in backstretch improvements that would benefit the community. These improvements were in the brainstorming stages, but suggestions included a learning center and a recreational area. He said TCA wanted to move forward with a plan straightaway. Vice-Chairman Auerbach stated she would like Mr. Balch to report back periodically to ensure the funds were used to benefit the backstretch community as intended. Commissioner Ruano asked if a non-profit organization would be created to fund the backstretch improvement project. Mr. Balch stated the Thoroughbred Owners of California (TOC) and CTHF were made responsible for backstretch programs through legislation. The affected properties were owned by associations, who worked cooperatively with the TOC, CTHF and TCA. He said the main goal was to find a project that would have lasting benefits.

DISCUSSION AND ACTION BY THE BOARD REGARDING A REPORT FROM THE CALIFORNIA MARKETING COMMITTEE (CMC) REGARDING ITS 2018 MARKETING AND PROMOTION PLANS PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19605.73(B).

Greg Avioli, California Marketing Committee (CMC), said CMC presented its 2018 marketing and promotion plans at the January 2018 Regular Board. However, due to several unresolved questions, CMC was asked to return to the February 2018 Regular Board meeting. He stated he submitted a written response to each question. He said the first question was if CMC could explain the 18 percent decrease in the total allocation for the Significant Player Program. He said there were actually two Significant Player Programs. The first program was a standard program with a one percent rebate to anyone that signed up and placed wagers with their Significant Player card anywhere in California. The second program, called the High Volume Program, was for patrons who placed a high volume of wagers at the racetrack. The program was offered at Santa Anita and Del Mar, with the players getting a rebate based on their significant wagering amounts. He said the 2018 budget for the one percent program was the same as 2017 at \$165,000. The decrease that was questioned was the decrease in the High Volume Program. He stated due to the 2017 actuals of the High Volume Program, \$127,000 that was allocated to the High Volume program was moved to the Ship and Win program. The next question was regarding the breakdown of product and handle enhancement. He said the current budget of \$845,000 included allocations for the High Volume Reward Program at \$389,000 and \$455,000 for horse recruitment. He stated the \$30,000 satellite marketing budget was a Santa Anita calendar that was given away in the north and south at the off-track wagering facilities, and that amount had not changed. He said after the original budget was passed, the CMC board increased the budget in a couple of areas, including the CalRacing.com website at a budget of \$25,000. The digital program and the CalRacingCares.com website's 2017 budget was \$200,000. CMC hired a firm out of Boston called Conover Tuttle Pace

(CTP) that had a lot of experience in the racing industry. CTP created the Cal Racing Cares website, placed original videos on the website, and made some digital media buys. He stated when the program came up for renewal in 2018, the Stronach Group, which was a member of CMC, said it had a company in Santa Anita led by Phil Kubel at XBTV. XBTV could do the same work for less cost, utilize thousands of hours of video from its time with HRTV, and it was savvy with social media. He said the CMC Board decided to retain XBTV to run the program in 2018; their costs were slightly less and last year's budget included a \$50,000 startup fees which explained why the budget was \$150,000 for 2018. Vice-Chairman Auerbach stated she was surprised CMC went with XBTV since CTP did a great job. Mr. Avioli said his initial reaction was the same, however, he changed his mind after he learned XBTV had more resources specific to what CMC needed, and it was located in California versus Boston. Chairman Winner stated he agreed with Vice-Chairman Auerbach; CTP did a superior job and the change was surprising. Mr. Avioli said the change was handled professionally, and if it was collectively decided that XBTV was not doing the job CMC wanted, CTP would be happy to return at any time. Commissioner Ruano asked if Mr. Avioli could provide a little more information about the websites; pros and cons of the current website, what they were looking at doing, and social media. Mr. Avioli stated the first website was the traditional website for Cal Racing that people visited to watch races. Therefore, there would not be a big upgrade to that website but enough to make it feel more like 2018 than 2012. The Cal Racing Cares website was the one that would need to be constantly updated with new content in order to succeed, and would have a social media campaign to attract people to it. The site was designed to be proactive about what was good about racing; if there was a catastrophic injury and people searched for more information about it, they would find the Cal Racing Cares website. Commissioner Maas asked if the Cal Racing website could be accessed through a mobile

app, so people could watch videos and place wagers from their phones. Scott Daruty, Stronach Group, said previously on the Cal Racing website, every race in California could be viewed for free in a standard definition and non-mobile video product. Currently, for \$5.00 a month, a subscriber had access to high-definition video streaming of every race in California which could be watched on a laptop, computer, mobile or a home TV. Commissioner Maas asked if it was accessible through an app? Mr. Daruty stated he believed the website was app-based. Commissioner Maas asked how one could access the website. Mr. Daruty said he believed one had to go through the Cal Racing website, and sign up for the \$5.00 a month access. He said he wanted to shed a little bit of light from the Stronach Group's perspective as to why it lobbied for the change from CTP to XBTV. He stated last year CTP was paid \$200,000. He said he did not have the exact figures, but XBTV would be paid either \$110,000 or \$115,000, with some money being paid to CTP as a transition fee. He said besides the lower cost, XBTV was located in California at Santa Anita Park, which would make it easier to create more video content. This was because XBTV would have more access to the owners, trainers, and jockeys. The Stronach Group believed XBTV would be able to deliver a better product at a lower price. Chairman Winner said production value was critical; paying a little extra was helpful in getting more viewership and one hoped it would be as good, if not better than before.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1699, RIDING RULES, TO ESTABLISH A MINIMUM SUSPENSION PENALTY FOR A JOCKEY RIDING CONTRARY TO THE RULE.

Executive Director Rick Baedeker stated the proposed amendment to CHRB Rule 1699, Riding Rules, would provide that the stewards shall issue a minimum suspension of two riding days when suspending a jockey for riding contrary to Rule 1699, and issue a suspension greater than the

minimum for subsequent violations within a contiguous 60 day period. Rule 1699 did not currently provide for a minimum suspension. Chairman Winner said stewards commonly issued suspensions for three riding days. Executive Director Baedeker stated the suspension length historically matched the number of live race days per week. Chairman Winner said Rule 1699 was last amended January 1, 2017 to require a longer suspension for a second violation within a contiguous 60 day period. The stewards came up with the current proposed amendment to reduce the suspension length for initial suspensions and make suspensions uniform throughout California after the last amendment went into effect. Darrell Haire, Jockeys' Guild (Guild), stated minor riding infraction suspensions greatly affected a rider's income due to the reduced number of race days per week. The proposed amendment would still give the stewards the discretion to suspend a jockey for a longer period if they deemed the infraction deserving. Executive Director Baedeker stated the proposed amendment required a minimum suspension of two days; the stewards were not expected to automatically allot a two-day suspension for an ordinary infraction. He said stewards could continue to give three or four-day suspensions as they saw fit. Mr. Haire said the stewards should consider issuing two-day suspensions for infractions that currently received three-day suspensions. Chairman Winner stated the intent was for the stewards to recognize that an infraction should result in a two-day suspension unless it merited a stricter penalty; the suspension length was still their discretion. He said three-day suspensions caused a significant loss in income for jockeys, especially those struggling to find work day-to-day on the fair circuit. Vice-Chairman Auerbach said many within the industry thought a three-day suspension was a minimum requirement due to the way stewards handled infractions. She said jockey suspensions had a domino effect. Jockeys were often prepared to ride specific horses in upcoming races and owners and trainers were at a loss to find last-minute replacements for suspended jockeys. Commissioner

Choper said he would like more information regarding the difference and significance between a two-day and a three-day suspension. Executive Director Baedeker said the proposed amendment was written in response to the effects of amending Rule 1699 to provide a greater penalty if a jockey committed more than one infraction within any contiguous 60 day calendar period. The second violations received a lot of attention and complaints from jockeys. Jockeys received four and five-day suspensions for second violations because the original infractions resulted in three-day suspensions. Chairman Winner stated the proposed amendment text was agreed upon by the stewards and jockeys present at the August 2017 Stewards Committee meeting. The proposed amendment to Rule 1699 was noticed to the public for a 45-day comment period and did not receive any written comments. He said he personally believed the proposed amendment to Rule 1699 should be approved; the Board could discuss the language again at the next Stewards Committee meeting to determine if it needed to be amended further. Tim Ritvo, the Stronach Group (Stronach), stated jockeys that appealed suspensions near the end of the annual winter Del Mar Thoroughbred Club race meeting were not allowed to serve their suspension days during the following Los Alamitos Racing Association (LARA) race meeting. This caused harm to the Los Angeles Turf Club race meeting that began each December because those jockeys were not available to ride during opening week. Trainers and owners pulled their horses from opening week races because they did not want to hire the available jockeys. He said the Board should allow jockeys to serve their time during the LARA race meetings. Chairman Winner stated the decision not to include the LARA race meet as suspension days was based on the number of jockeys that did not ride at LARA, and the expectation that many would try to serve their suspensions during the LARA race meeting instead of during the larger meets by appealing stewards' decisions. Chairman Winner said the Board should evaluate the problem further, however, the issue was not

related to the current discussion regarding the proposed amendment to Rule 1699. Alan Balch, California Thoroughbred Trainers (CTT), said CTT was remiss for not bringing up concerns regarding the proposed amendment to Rule 1699 earlier. He said the proposed amendment to Rule 1699 deserved further discussion before going forward. CTT was not involved in the discussion at the Stewards Committee meeting and did not understand the urgency to adopt the language. He stated CTT believed there would be unintended consequences because two-day suspensions would become the norm for infractions. Rule 1699 was meant to deter rough, dangerous and unfair riding. Despite some stewards objecting to having too much discretion, the stewards were consistent with their judgments. The staff analysis indicated the issue of uniformity would be addressed by the proposed amendment to Rule 1699. Today's discussion included statements that stewards would be encouraged to use their discretion; which may not provide uniformity. The Board should reconsider the proposed amendment to establish a minimum number of days for a suspension. Chairman Winner asked why CTT had not expressed concern earlier in the process to amend Rule 1699. Mr. Balch stated recent events caused CTT board members to question the proposed amendment to Rule 1699. Chairman Winner stated he had heard complaints from many trainers regarding three-day suspensions. The trainers felt they were being penalized along with the jockeys when they wanted the suspended jockey to ride a particular horse in a race. Mr. Balch said CTT represented a constituency; not all trainers felt the same way about the proposed amendment. Commissioner Choper **motioned** to approve the amendment to Rule 1699. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Maas, Solis, Winner, Auerbach, Choper, Ruano. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM NORTHERN CALIFORNIA OFF TRACK WAGERING, INC. (NCOTWINC) TO MODIFY THE DISTRIBUTION OF MARKET ACCESS FEES FROM ADVANCE DEPOSIT WAGERING (ADW) AS PERMITTED UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E) FOR WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS IN THE NORTHERN ZONE FROM FEBRUARY 1, 2018 THROUGH DECEMBER 31, 2018.

Executive Director Rick Baedeker said at the January 2018 Regular Board meeting, the Board approved a motion to allow Pacific Racing Association (PRA) and Thoroughbred Owners of California (TOC) to agree to take up to 3.5 percent from Advance Deposit Wagering and allocate the funds for the additional expenses incurred by Northern California Off Track Wagering, Inc. (NCOTWINC). Executive Director Baedeker said the Board asked NCOTWINC to notify staff when a final percentage was negotiated. However, to date, the Board had received no notification of any agreed upon percentage. Greg Avioli, TOC, said there were a couple of different agreements with NCOTWINC; one was a shareholder agreement, and another was a host site agreement. The shareholder agreement covered shortfalls or situations wherein expenses were higher than revenues. The NCOTWINC director could notify the host track that it had to provide revenue sufficient to make up the shortfall. That provision allowed for full funding of any debt owed by Golden Gate Fields (GGF). The track had the right, under the same agreement, to get half of the payment made to cover the shortfall from the horsemen. He stated under the statute, the allowable amount to pay satellite operating expenses was capped at 2.5 percent, and was capped at 6 percent when the fairs were running. He stated during the first few decades this was sufficient. However, more recently, the 2.5 percent only covered about half the satellite operating expenses. Vice-Chairman Auerbach stated the enabling legislation, which was passed many years ago, was a broken model in today's racing world. She said she would look to TOC to spearhead legislation that more closely mirrored what the business model looked like today. Mr. Avioli said

for the last ten years the industry knew change was needed, but it was not something that could be accomplished in a month and should not be limited to NCOTWINC and Southern California Off Track Wagering, INC (SCOTWINC). Vice-Chairman Auerbach agreed; the entire structure should be changed. Mr. Daruty stated GGF agreed. The industry was working under an arcane statute that no longer worked. He said while an omnibus bill to restructure some of the provisions of horse racing was a good idea, and GGF would work with the industry to help achieve that, it would be a long and challenging process. He stated GGF was seriously considering terminating its host agreement with NCOTWINC, which meant GGF would no longer use NCOTWINC to conduct its simulcast services. One solution was to change the statute so NCOTWINC could operate differently. Another solution was for GGF to remain a member and shareholder of NCOTWINC, but as a racetrack, GGF would not contract with NCOTWINC to provide its simulcast service. GGF would work directly with TOC and go directly to the satellite facilities to make new deals. GGF believed it was permissible to make such deals outside of the NCOTWINC structure. Vice-Chairman Auerbach said it sounded like GGF was trying to figure out ways to restructure NCOTWINC. Mr. Daruty said he believed GGF had the ability to restructure NCOTWINC by an agreement of all parties, without having to go to the legislature. He stated an omnibus bill to revise horse racing law was a great idea; but it would take a long time. In the meantime, the issue could be fixed without legislation. Chairman Winner said even though the agenda item called for action, the Board did not have to act. Robert Miller, CHRB Counsel, stated that was correct. Mr. Miller said the item was approved at the January 2018 Regular Board meeting. The item was before the Board so it could hear about the agreement. Since there was no agreement, there was no action to be taken. Chairman Winner stated from the Board's standpoint, the NCOTWINC issue should be referred to the Legislation, Legal and Regulatory Committee.

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The parties should work out their agreements internally and bring them to the Committee. Commissioner Mass asked if there was an analysis to determine the impact to NCOTWINC if GGF withdrew. Mr. Daruty said he did not believe NCOTWINC was sustainable without GGF's participation. NCOTWINC was an entity with declining revenues and increasing costs, it was a matter of time before it became defunct. Mr. Avioli stated PRA did not have a director on NCOTWINC's board since Cal Rainey left. The only directors on the board were Larry Swartzlander from California Authority of Racing Fairs and Elizabeth Morey from TOC. He said as far as he knew, PRA did not plan on appointing a director moving forward. So, the NCOTWINC board would be taking action as a two-person entity, versus a three-person entity. The NCOTWINC agreement had a withdrawal provision. There was nothing anyone could do to stop PRA from withdrawing from the agreement; PRA only had to give notice. Executive Director Baedeker said when an association came before the Board with its race meet application, the Board had the right to require proof that the receiving satellite had an agreement with NCOTWINC, as required by law.

MEETING ADJOURNED AT 11:05 A.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB 2017
SUMMER RACE MEETING IN THE AMOUNT OF \$105,656 TO NINE BENEFICIARIES

Regular Board Meeting
April 19, 2018

ISSUE

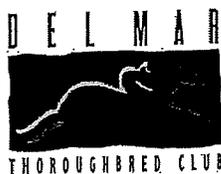
The Del Mar Thoroughbred Club (DMTC) is requesting approval to distribute race day charity proceeds generated at its 2017 summer racing meet. DMTC is proposing a total distribution of \$105,656. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Josh Rubinstein
President & COO

March 21, 2018

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2017 Summer Race Meet as set forth below for the California Horse Racing Board's consideration and approval:

California Retirement Management Account (CARMA)*	\$ 48,234
Permanently Disabled Jockeys Fund *	21,131
Racing & Medication Consortium*	10,225
California Thoroughbred Horsemen's Foundation*	5,283
Winners Foundation*	5,283
Race Track Chaplaincy/Southern California*	5,000
Edwin J. Gregson Foundation*	4,500
California Center for Equine Health and Performance*	4,000
UC Davis-J.D. Wheat Veterinary Research*	2,000
TOTALS:	\$105,656

*Equine Related Charities	\$105,656
Non - Equine Related	-0-
Percentage of Equine Related Charities	100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
President and COO

cc: Jacqueline Wagner



2018 CHARITABLE ORGANIZATIONS

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Madeline Auerbach is CARMA's Founders Chair, Howard Zucker, President, Candace Coder-Chew is Vice-President, and Billy Koch is the Board Secretary. (Contact phone: 626-574-6654; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and many others who had a vision of a program that would bring much-needed financial assistance to a group of athletes who have given so much to the sport of horse racing. The PDJF is governed by an independent board comprised of stakeholders from a broad cross-section of the horse racing industry. The mission of PDJF is to promote financial support to permanently disabled jockeys living with a catastrophic on-track injury; provide financial support and assistance for permanently disabled jockeys; and to promote medical research dedicated to reducing catastrophic injuries within the horse racing industry. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

Racing Medication and Testing Consortium -- Founded in 2000 by a cross-section of racing leaders, the Racing Medication and Testing Consortium (RMTC) has a primary goal of developing and promoting uniform rules, policies and testing standards at the national level for racehorses. The tax-deductible organization strives to develop and promote uniform rules, policies and testing standards at the national level; coordinate research and educational programs that seek to ensure the integrity of racing and the health and welfare of racehorses and participants; and to protect the interest of the racing public. It is currently governed by a Board of Directors consisting of 23 racing industry stakeholders. RMTC Administrative Manager is Julie Sisoumankhara (Contact phone: 859-759-4081; www.rmtcnet.com/), Communications & Development Consultant is Hallie Roach-Lewis (Contact phone: 858-224-2848)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Ken Smole is President and Chair of CTHF, Cliff Goodrich, Executive Director. (Contact phone: 626-446-0169; www.cthf.info/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Darin Scharer, Executive Director. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

Race Track Chaplaincy/Southern California (RTCA/SC) – The RTCA/SC is a nonprofit organization that was established in 1971 and ministers to the spiritual, emotional, physical and social/educational needs of horse racing's workers. RTCA/SC is an affiliate of Race Track Chaplaincy of America, which has 77 chaplains serving over 117 race tracks throughout the United States and Canada. RTCA/SC provides services at Santa Anita, Los Alamitos and Del Mar. Nolton Patio, DVM, is its president. (Contact phone: 626-574-6438; <http://rtcasc.com/>)

Edwin J. Gregson Foundation – The foundation has a primary goal of benefitting and enhancing the quality of life of California's backstretch workers and their families. It was originally founded by the California Thoroughbred Trainers (CTT) in 1998 and renamed in memory of veteran trainer and past CTT president Edwin J. Gregson, who was the driving force behind its start. Notable among its benefits are the college scholarships it provides annually to family members of backstretch workers. Jenine Sahadi currently heads the foundation. (Contact phone: 626-447-2145; www.gregsonfoundation.com)

California Center for Equine Health and Performance – The Center for Equine Health (CEH) was established at University of California Davis School of Veterinary Medicine in 1973. The primary purpose of CEH is to serve as an administrative and academic umbrella for research into means and methods of improving equine health and performance. In conjunction with this, it produces a series of publications that disseminate its findings and pertinent information. Additionally, it serves as the West Coast's quarantine and treatment station for

the potentially devastating venereal disease contagious equine metritis. The center is headed by the renowned veterinarian Gregory L. Ferraro, current Director is Dr. Claudia Sonder, DVM, csonder@ucdavis.edu (Contact phone: 530-752-6433).

UC Davis - JD Wheat Veterinary Orthopedics Laboratory Research Laboratory, School of Veterinary Medicine – The JD Wheat Veterinary Orthopedics Laboratory at UC Davis has been in the forefront of the state's efforts to understand, treat and prevent musculoskeletal injuries in horses. Using research, education and a range of training tools and methods, the JD Wheat Laboratory has been a key cog in stemming catastrophic equine losses and providing means and methods to enhance safety on the racetrack. Contact: Rick M. Arthur, DVM, Equine Medical Director (626) 574-6351, rmarthur@ucdavis.edu.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB 2017
FALL RACE MEETING IN THE AMOUNT OF \$17,929 TO FOUR BENEFICIARIES

Regular Board Meeting
April 19, 2018

ISSUE

The Del Mar Thoroughbred Club (DMTC) is requesting approval to distribute race day charity proceeds generated at its 2017 fall racing meet. DMTC is proposing a total distribution of \$17,929. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS

Del Mar Thoroughbred Club, 2017 Fall Meet Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	CARMA	12,329.00	69%		a
2	Permanently Disabled Jockeys' Fund	3,600.00	20%	minimum of 20%	d
3	California Thoroughbred Horsemen's Foundation	1,000.00	6%	minimum of 5%	b
4	Winners Foundation	1,000.00	6%	minimum of 5%	c
	Total Horse Related Charities	\$ 17,929.00	100%	minimum of 60%	e
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



Josh Rubinstein
President & COO

March 21, 2018

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2017 Fall Race Meet as set forth below for the California Horse Racing Board's consideration and approval:

California Retirement Management Account (CARMA)*	\$ 12,329
Permanently Disabled Jockeys Fund *	3,600
California Thoroughbred Horsemen's Foundation*	1,000
Winners Foundation*	<u>1,000</u>
TOTALS:	\$ 17,929
*Equine Related Charities	\$ 17,929
Non - Equine Related	-0-
Percentage of Equine Related Charities	100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
President and COO

cc: Jacqueline Wagner



2018 CHARITABLE ORGANIZATIONS

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Madeline Auerbach is CARMA's Founders Chair, Howard Zucker, President, Candace Coder-Chew is Vice-President, and Billy Koch is the Board Secretary. (Contact phone: 626-574-6654; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and many others who had a vision of a program that would bring much-needed financial assistance to a group of athletes who have given so much to the sport of horse racing. The PDJF is governed by an independent board comprised of stakeholders from a broad cross-section of the horse racing industry. The mission of PDJF is to promote financial support to permanently disabled jockeys living with a catastrophic on-track injury; provide financial support and assistance for permanently disabled jockeys; and to promote medical research dedicated to reducing catastrophic injuries within the horse racing industry. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Ken Smole is President and Chair of CTHF, Cliff Goodrich, Executive Director. (Contact phone: 626-446-0169; www.cthf.info/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Darin Scherer, Executive Director. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB,
BREEDERS' CUP RACE DAYS, NOVEMBER 3, 2017 AND NOVEMBER 4, 2017, IN THE
AMOUNT OF \$50,363 TO FOUR BENEFICIARIES

Regular Board Meeting
April 19, 2018

ISSUE

The Del Mar Thoroughbred Club (DMTC) is requesting approval to distribute race day charity proceeds generated during its Breeders' Cup event, November 3, 2017 and November 4, 2017. DMTC is proposing a total distribution of \$50,363. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds therefrom to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS

Del Mar Thoroughbred Club, 2017 Breeders' Cup Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (2/10 of 1% on-track, live, handle)	Notes
1	CARMA	35,254.00	70%		a
2	Permanently Disabled Jockeys' Fund	10,073.00	20%	minimum of 20%	d
3	California Thoroughbred Horsemen's Foundation	2,518.00	5%	minimum of 5%	b
4	Winners Foundation	2,518.00	5%	minimum of 5%	c
	Total Horse Related Charities	\$ 50,363.00	100%	minimum of 60%	e
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 60% of the charity distribution should go to horse racing industry related nonprofit organizations.			



Josh Rubinstein
President & COO

March 21, 2018

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from our 2017 Breeders' Cup Race Days as set forth below for the California Horse Racing Board's consideration and approval:

California Retirement Management Account (CARMA)*	\$ 35,254
Permanently Disabled Jockeys Fund *	10,073
California Thoroughbred Horsemen's Foundation*	2,518
Winners Foundation*	<u>2,518</u>
TOTALS:	\$ 50,363
*Equine Related Charities	\$ 50,363
Non - Equine Related	-0-
Percentage of Equine Related Charities	100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please contact me.

Very truly yours,

Josh Rubinstein
President and COO

cc: Jacqueline Wagner



2018 CHARITABLE ORGANIZATIONS

CARMA – CARMA is a charitable 501 (c) (3) organization that was created to raise money for retired California racehorses. It is dedicated to the goal of providing funding for their rehabilitation, retraining and/or retirement. CARMA collects funds from racehorse owners, racetracks and other racing groups and organizations, then redistributes the funds to those farms and facilities that prove themselves worthy and capable of fulfilling the group's goal. Madeline Auerbach is CARMA's Founders Chair, Howard Zucker, President, Candace Coder-Chew is Vice-President, and Billy Koch is the Board Secretary. (Contact phone: 626-574-6654; www.carma4horses.org)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and many others who had a vision of a program that would bring much-needed financial assistance to a group of athletes who have given so much to the sport of horse racing. The PDJF is governed by an independent board comprised of stakeholders from a broad cross-section of the horse racing industry. The mission of PDJF is to promote financial support to permanently disabled jockeys living with a catastrophic on-track injury; provide financial support and assistance for permanently disabled jockeys; and to promote medical research dedicated to reducing catastrophic injuries within the horse racing industry. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; www.pdjf.org/)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation (CTHF) is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. Ken Smole is President and Chair of CTHF, Cliff Goodrich, Executive Director. (Contact phone: 626-446-0169; www.cthf.info/)

Winners Foundation – The Winners Foundation was established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. It is currently headed by Darin Scharer, Executive Director. (Contact phone: 626-574-6498; <http://winnersfoundation.org/>)

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS, (CARF) TO DESIGNATE THE FOLLOWING 2018 ALLOCATED RACE DATES AS A COMBINED FAIR HORSE RACING MEETING, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19549.1: OAK TREE AT PLEASANTON, JUNE 13, 2018 THROUGH JULY 10, 2018; CALIFORNIA EXPOSITION AND STATE FAIR, JULY 11, 2018 THROUGH JULY 31, 2018; HUMBOLDT COUNTY FAIR AT FERNDALE, AUGUST 15, 2018 THROUGH AUGUST 28, 2018 AND THE BIG FRESNO FAIR, OCTOBER 3, 2018 THROUGH OCTOBER 16, 2018

Regular Board Meeting
April 19, 2018

BACKGROUND

Business and Professions Code section 19549.1 states the Board may allocate horse racing days for mixed breed meetings and combined fair horse racing meetings pursuant to Food and Agricultural Code section 4058. Food and Agriculture Code section 4058(a) explains that county fairs in the northern zone may form an entity for conducting combined fair horseracing meetings on days other than the days on which general fair activities are conducted.

The Board approved the 2018 race dates calendar for Northern California at its October 26, 2017 and November 16, 2017 Regular Board Meetings. The following fairs were approved to conduct a mixed breed horse racing meeting:

- Oak Tree/Alameda County Fair (Pleasanton) – 06/13/18 - 07/10/18
- State Fair/Cal Expo (Sacramento) – 07/11/18 - 07/31/18
- Sonoma County Fair (Santa Rosa) – 08/01/18 - 08/14/18
- Humboldt County Fair (Ferndale) – 08/15/18 - 08/28/18
- Fresno County Fair (Fresno) – 10/03/18 - 10/16/18

In 2016 the Board approved a request from CARF that all of its member fairs be designated as a combined fair horse racing meeting. Specifically, CARF stated that the recognition of their dates as a combined fair horse racing meeting would provide a mechanism needed to conduct the dates allocated to Fairs by the Board for 2016. In addition, CARF noted that in the future the combined Fair meeting would allow flexibility in planning for a changing calendar in Northern California. At its April 2016 Regular Meeting, the Board approved this request, and allowed for CARF's licensed applications to be submitted and processed for each segment of the combined meeting.

In 2017, CARF once more requested that all of its member fairs be designated as a combined fair horse racing meeting. At its April 2017 Regular Meeting, the Board approved this request, and allowed for CARF's licensed applications to be submitted and processed for each segment of the combined meeting.

ANALYSIS

CARF has requested that the Board designate the following dates as a combined Fair horse racing meeting in 2017:

- Oak Tree at Pleasanton – 06/13/18 - 07/10/18
- California State Fair – 07/11/18 - 07/31/18
- Humboldt County Fair – 08/15/18 - 08/28/18
- The Big Fresno Fair – 10/03/18 - 10/16/18

In its request, CARF states that the recognition of these dates as a combined fair horse racing meeting will provide a mechanism needed to conduct the dates allocated to Fairs by the Board for 2018. In support of its request, CARF has submitted an agreement signed by all of the abovementioned Fairs to participate in a combined Fair horse racing meeting (attached).

CARF also requests that license applications be processed for each segment of the combined meeting as has been done in the past, with each Fair submitting an individual application for its own portion of the race meeting.

RECOMMENDATION

This item is presented for Board discussion and action.



a California joint powers agency

1776 Tribute Road, Suite 205
 Sacramento, CA 95815
 Office: 916.927.7u3 Fax: 916.263.3341
 www .calfairs.com

March 20, 2018

Rick Baedeker, Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Dear Rick,

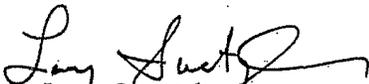
Pursuant to Ag Code Section 4058 and Business Professions Code Section 19549.1, the California Authority of Racing Fairs (CARF) requests that the Board designate the following 2018 racing dates as a combined Fair horse racing meeting.

- **Alameda County Fair – June 13 – July 10, 2018**
- **California State Fair – July 11 – July 31, 2018**
- **Humboldt County Fair – August 15 – August 28, 2018**
- **The Big Fresno Fair – October 3 – October 16, 2018**

We are requesting that the license applications for each segment of the combined Fair horse racing meeting be filed in the usual manner.

A combined Fair horse racing meeting will allow Northern California racing Fairs the mechanism needed to conduct the dates allocated by the Board for 2018. We note a precedent: In 2017, the Board granted a request that the Ferndale, Fresno, Pleasanton, Sacramento and Stockton Fair (CARF with Oak Tree at Pleasanton) meetings be conducted as a combined Fair horse racing meeting, with CARF licensed to conduct racing during that combined meeting.

Respectfully submitted,

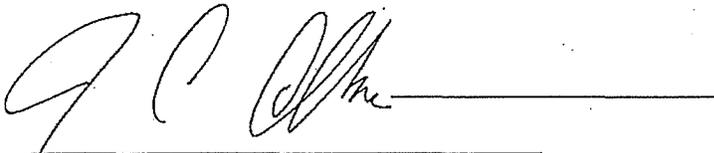

 Larry Swartzlander
 Executive Director

-AGREEMENT-
COMBINED FAIR HORSE RACING MEETING

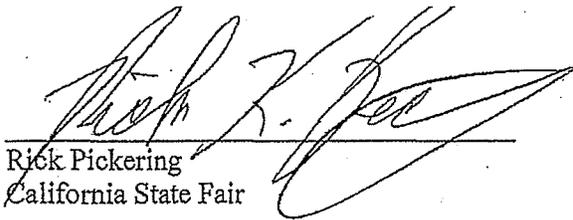
The undersigned Fairs agree to and confirm their participation in a combined Fair horse racing meeting pursuant to the California Food and Agricultural Code Section 4058 and Business and Professions Code Section 19549.1 ff. This agreement is effective April 4, 2017 and will remain in effect until a participating Fair gives notice in writing to the California Authority of Racing Fairs (CARF) that withdraws from their participation. These Fairs designate CARF to act on their behalf to conduct the combined Fair horse racing meeting.



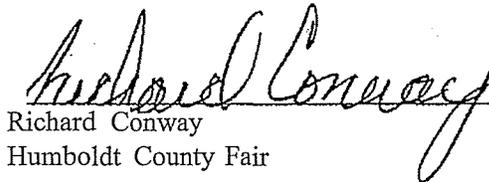
Jeronfo Hoban
Alameda County Fair



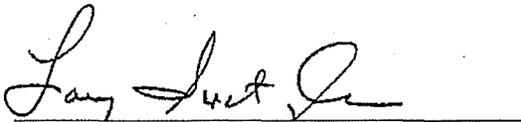
John Alkire
The Big Fresno Fair



Rick Pickering
California State Fair



Richard Conway
Humboldt County Fair



Larry Swartzlander
California Authority of Racing Fairs

STAFF ANALYSIS
April 19, 2018

Item 8

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 13, 2018 THROUGH JULY 10, 2018

Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton:

- June 13 through July 10, 2018, or 15 days, three more days than 2017. The fair proposes to race a total of 164 races. OTRA will be simulcasting on June 13, 14, 20, 27 and July 5, 2018. Live racing will begin on June 15, 2018.
- The OTRA application to conduct a horse race meeting requires the submission of additional documents for completion. OTRA has not provided its: Horsemen’s agreements, California Thoroughbred Trainers agreement, fire clearance, horsemen approval of the ADW agreements, or race day furosemide administration agreement.
- Assembly Bill 1303, (Hall), Chapter 7, Statutes of 2014 added Business and Professions Code section 19549.16, which authorizes the Board to allocate racing days to a fair in the northern zone to be conducted by the fair, or at the fair’s request, allow the Board to license a racing association that was licensed to conduct racing meetings in California before 2010, to operate the fair’s race meeting during its allocated dates. OTRA conducted a thoroughbred horse racing meeting at Santa Anita in 2009 and a race meeting at Hollywood Park in 2010.
- At the April 19, 2018 regular meeting, the Board will hear a request from the California Authority of Racing Fairs (CARF) to designate the 2018 allocated race dates of the Alameda County Fair (6/13/18 - 7/10/18), Cal-Expo State Fair (7/11/18 - 7/31/18), Humboldt County Fair (8/15/18 - 8/28/18), and the Big Fresno Fair (10/3/18 – 10/16/18) as a combined fair horse racing meeting. One characteristic of the proposed 2018 combined fair race meeting is the extended distribution time for the carryover pool. There are no additional attributes identified in relation to the combined fair race meeting.
- Applicant is requesting the distribution date of the carryover pool to be October 14, 2018 (Closing Day of the Big Fresno Fair).
- The proposed race dates are the approved race dates allocated to the Alameda County Fair, these dates will become a part of the 2018 combined fair racing meeting pending approval.

June - 2018						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July - 2018						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing Thursday through Sunday for two weeks. Racing Friday through Sunday for opening and closing week, and Wednesday, July 4, 2018. 9 races on Thursdays, 10 races on Fridays and Wednesday, 12 races on Saturdays and Sundays.
- 2017 Race Meeting: Average number of runners per race (TB): 6.56
- 2017 Race Meeting: Average number of runners per race (Arabian): 6.86
- 2017 Race Meeting: Average number of runners per race (Quarterhorse): 6.5
- 2017 Race Meeting: Average number of runners per race (Mules): 5.88
- First post 1:45 p.m. weekdays and weekends. 2:15 p.m. on Fridays.
- Wagering program will use CHRB rules and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races each day.
 - \$0.50 Pick five on last 5 thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no unique ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers. 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- Early wagering will not be offered.
- Specific changes from the 2017 license application:
 - Number of rooms used for housing on the backstretch increased from 95 to 107.
 - The pick four will be \$30,000 guaranteed on Saturdays, Sundays and Wednesday, July 4, 2018 - for this meet only.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires, Bet America, and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races *conducted outside of California* from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604 *to accept wagers on races conducted in and outside of California for a resident of California*, except the horsemen's approvals [Mule, Arabian, and Quarter].

- ODS Technologies, L.P. dba TVG Network.
- XpressBet LLC. dba XpressBet.com.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Track safety inspection has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Oak Tree request to host live racing.
2. Horsemen's agreement [Thoroughbred, Mule, Arabian, and Quarter].
3. California Thoroughbred Trainers agreement.
4. Race-day furosemide administration agreement.
5. Letter of explanation [status of outstanding items].
6. Pertinent 2018 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Oak Tree Racing Association at Pleasanton designated Advance Deposit Wagering providers [Churchill Downs Technology Initiatives Company, dba Twinpires and dba BetAmerica, and Watch and Wager.com LLC] to accept wagers on races conducted in and outside of California for the applied license term.
7. Horsemen approval of Advance Deposit Wagering [Mule, Arabian, and Quarter].
8. Fire clearance.
9. Turf Rescue LLC ambulance certification [auxiliary site].
10. Section 8 [Stable Accommodations] updated to coincide with Section 15B.

RECOMMENDATION:

Staff recommends that the application not be heard at the April 19, 2018 Regular Board meeting.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.1/16)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**Oak Tree Racing Association at Pleasanton Summer Meet
 Alameda County Fair Agricultural Association
 4501 Pleasanton Avenue, Pleasanton, CA 94566
 Phone: 925-426-7600 Fax: 925-426-7599**

B. Fair association is a: District Fair County Fair Citrus Fruit Fair

California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **June 13 – July 10, 2018**

B. Actual dates racing will be held: **June 15, 16, 17, 21, 22, 23, 24, 28, 29, 30 & July 1, 4, 6, 7 & 8.**

C. Dates racing will NOT be held: **Dark days will be June 18, 19, 25, 26 July 2, 3 and June 13, 14, 20, 27 July 5 will be simulcast only days.**

D. Total number of racing days: **15**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **Thurs-Sun, 2nd & 3rd weeks; Fri-Sun opening and closing weeks and Wednesday, July 4, 2018.**

Application received: **3/3/18**
 Reviewed: **AP**

CHRB CERTIFICATION

Hearing date: **4/19/18**
 Approved date:
 License number:

3. RACING PROGRAM

A. Total number of races: 164

B. Number of races by breed:

<input type="text" value="130"/>	Thoroughbreds	<input type="text" value="12"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="12"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

C. Number of races daily:

	<u>Thurs 6/21 & 6/28</u>	<u>Fri 6/15, 6/22, 6/29 & 7/6</u>	<u>Sat 6/16, 6/23, 6/30 & 7/7</u>	<u>Sun 6/17, 6/24, 7/1 & 7/8</u>	<u>Wed Jul 4</u>
Thoroughbred	7	8	9	10	8
Other Breeds	2	2	3	2	2
Total	9	10	12	12	10

D. Total number of stakes races by breed:

<input type="text" value="3"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

See Attached.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

THOROUGHBRED STATES

OTP 2018
(June 15 – July 8, 2018)
STAKES SCHEDULE

PLEASANTON OAKS
Postponed

OAKTREE HANDICAP
Postponed

Postponed

JUAN GONZALEZ MEMORIAL
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two Year Old Fillies – Five & ½ Furlongs
Saturday, June 30

EVERETT NEVIN FUTURITY (CAL-BREDS)
\$100,000 Guaranteed (\$40,000 CTBA)
Golden State Series
Two Year Old Fillies – five & ½ Furlongs
Saturday, Jul 7

OAK TREE SPRINT
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – Six Furlongs
Wednesday, July 4

OTP 2017
(June 21 – July 11, 2017)
STAKES SCHEDULE

PLEASANTON OAKS
Postponed

OAKTREE HANDICAP
Postponed

OAK TREE DISTAFF
Postponed

JUAN GONZALEZ MEMORIAL
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two Year Old Fillies – Five & ½ Furlongs
Saturday, July 1

EVERETT NEVIN FUTURITY (CAL-BREDS)
\$100,000 Added (Plus up to \$15,000 to Cal-Breds)
Golden State Series
Two Year Old Fillies – five & ½ Furlongs
Sunday, July 8

OAK TREE SPRINT
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – Six Furlongs
Saturday, July 4

OTP 2016
(June 15 – July 5, 2016)
STAKES SCHEDULE

PLEASANTON OAKS
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
3 Year Old Fillies – Six Furlongs
Sunday, June 21

OAKTREE HANDICAP
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – One and 1/16 Miles
Saturday, June 28

OAK TREE DISTAFF
Postponed.

JUAN GONZALEZ MEMORIAL
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Two Year Old Fillies – Five & ½ Furlongs
Saturday, July 5

EVERETT NEVIN FUTURITY (CAL-BREDS)
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Golden State Series
Two Year Old Fillies – five & ½ Furlongs
Sunday, Jul 3

OAK TREE SPRINT
\$50,000 Added Overnight Stakes
(Plus up to \$15,000 to Cal-Breds)
Three Year Olds & Upward – Six Furlongs
Saturday, July 4

ARABIAN STAKES

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
Cancelled

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
\$48,500 Added – Grade III
Three Year Olds & Upward – Six Furlongs
Saturday, Jul 8

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup
\$48,500 Added – Grade III
Three Year Olds & Upward – Six Furlongs
Saturday, July 2

8-7

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
Cancelled

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
\$41,000 Added
Three Year Olds & Upward – Six Furlongs
Saturday, Jul 8

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP
\$41,000 Added
Three Year Olds & Upward – Six Furlongs
Saturday July 2

G. List all post times for the daily racing program:

Race Number	Weekdays	Fridays	Weekends
Race # 1	1:45p.m.	2:15 p.m.	1:45 p.m.
Race # 2	2:15 p.m.	2:45 p.m.	2:15 p.m.
Race # 3	2:45 p.m.	3:15 p.m.	2:45 p.m.
Race # 4	3:15 p.m.	3:45 p.m.	3:15 p.m.
Race # 5	3:45 p.m.	4:15 p.m.	3:45 p.m.
Race # 6	4:15 p.m.	4:45 p.m.	4:15 p.m.
Race # 7	4:45 p.m.	5:15 p.m.	4:45 p.m.
Race # 8	5:15 p.m.	6:45 p.m.	5:15 p.m.
Race # 9	5:45 p.m.	7:15 p.m.	5:45 p.m.
Race # 10		7:45 p.m.	6:15 p.m.
Race # 11			6:45 p.m.
Race # 12			7:15 p.m.

** As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors: Jack Balch, Paul Banke, Jason Chin, Dawna Dowdell-Dos Santos, Gordon Galvan, Fill Harrison, Ed Hernandez, Frank Imhof, Rose Johnson, Michael Mahoney, Jim McGrail, Chuck Moore, George Pacheco, Don Sherratt, Robert Silva, Craig Smith, Harvey Smith, John Smith, Tim Weaver, Eric Wente, Robin Wilma
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Chair: Chair, Chuck Moore, Vice-Chair, Harvey Smith, Directors, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Don Sherratt, Craig Smith and John Smith
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

Alameda County Fair: **Jerome Hoban, C.E.O., Jeanne Wasserman, Director of Racing, Richard Sims, Maintenance Operations Manager, Steve Wood, Track Superintendent, Chris Griffin, Track Announcer and Kevin Ingram, Stable Superintendent.**

- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Jerome Hoban, C.E.O.
4501 Pleasanton Avenue
Pleasanton, CA 94566
jhoban@alamedacountyfair.com

Jeanne Wasserman, Director of Racing
4501 Pleasanton Avenue
Pleasanton, CA 94566
jeanne@alamedacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2017	\$29,086,735	71,641	11 Days
2016	\$29,513,427	71,822	12 Days
2015	\$31,461,781	75,049	12 Days
2014	\$30,932,233	83,682	12 Days
2013	\$30,357,012	83,874	12 Days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$1,584,532
 Prior meet actual: \$1,218,871

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$105,635
 Prior meet actual: \$110,806

- 2. Overnight stakes:
 Current meet estimate: \$100,000
 Prior meet actual: \$189,500

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$6,667
 Prior meet actual: \$17,227

- 3. Non-overnight stakes:
 Current meet estimate: \$100,000
 Prior meet actual: \$100,000

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,784,532
 Prior meet actual \$1,508,371

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$118,969
 Prior meet actual: \$137,125

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$158,869
 Prior meet actual: \$122,207

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 4,698	\$ 3,614
TOC \$ 9,396	\$ 7,228
NTRA \$ 1,086	\$ 835
PCQHRA \$ 1,166	\$ 897
CWAR \$ -0-	\$ -0-
ARAC \$ 4,358	\$ 3,352
AMRA \$ 4,289	\$ 3,299
CHBPAPEN \$ 14,096	\$ 10,843
CTHF <u>\$ 14,096</u>	<u>\$ 10,843</u>
Total \$ 53,185	Total \$40,911

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: \$1,996,586
 Prior meet actual: \$1,671,489

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$133,106
 Prior meet actual: \$151,954

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :
Current meet estimate: \$1,629,445
Prior meet actual: \$1,253,419

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$108,630

Prior meet actual: 113,947

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$232,084

Prior meet actual: \$178,526

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$15,472

Prior meet actual: \$16,230

- G. Bank and account number for the Paymaster of Purses' purse account:

On file

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

684 + 60 portables

- B. Minimum number of stalls believed necessary for the meeting:

1300

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **760**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be

maintained at each site: **Sacramento – 760 stalls**

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Sacramento is the auxiliary stable and is part of CARF and a combined fair meet. There is no need for an agreement.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$10.30
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
- Dr. Forrest Franklin 916-585-2050
 - Dr. Sara Sporer 510-220-4860
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
Pleasanton – June 5 – July 8; 6:00 am till 11:00 am.
Sacramento - June 12 – July 8; 6:00 am till 11:00 am.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No **On File with CHRB**
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).



March 20, 2018

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2018 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair and the Big Fresno Fair. The Alameda County Fair will have a \$30,000 Guaranteed pool on Saturdays, Sundays and Wednesday, July 4. No guarantees will be used at any other CARF fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid. The NCOTWINC audio visual agreement on file dated January 2005 is still valid.

Respectfully Yours,

Larry A. Swartzlander
Executive Director

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

Type	Rule Number
Exacta (1E)	1959
Trifecta (1TRI)	1979
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g).1		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)		
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4		
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4		
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,		

	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: 10/14/2018.

E. List any options requested with regard to exotic wagering:

- \$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
- \$0.50 PNP4 on the first four races and the last four thoroughbred races each day
- The pic four will be \$30,000 guaranteed on Saturdays, Sundays and Wednesday, July 4.
- \$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (Dave Calendine) Expiration: October 30, 2020.
Equipment description on file with Board.

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%
Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Race #1
Race #2
Race #3

- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America & Watch & Wager.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **To Be provided by CARF.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
Yes No

If yes, attach a copy of the approval. **-To Be Provided by C.A.R.F.**
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

- Alameda County Fair, Pleasanton
- Bankers Casino, Salinas
- Big Fresno Fair, Fresno
- California State Fair & Exposition, Sacramento
- Club One, Fresno
- Firehouse Restaurant, Bakersfield
- Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

SOUTHERN CALIFORNIA

- Barona Valley Ranch Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Commerce Casino Racebook, Commerce
- Derby Club, Seaside Park, Ventura
- Fairplex Park, Pomona
- Fantasy Springs Casino, Indio
- Los Alamitos Race Course, Los Alamitos
- Mermaid Tavern, Thousand Oaks
- OC Tavern & Sports Bar, San Clemente

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa

Stanislaus County Fair, Turlock

Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Tilted Kilt, Thousand Oaks
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2018 IMPORT TRACKS	
ARLINGTON	6/13-7/8
BELMONT	6/13-7/8
ASSINIBOIA	6/13-7/8
AUSTRALIAN RACING	6/13-7/8
BEULAH	6/13-7/8
CALDER RACECOURSE	6/13-7/8
CANTERBURY DOWNS	6/13-7/8
CHARLES TOWN	6/13-7/8
CHURCHILL DOWNS	6/13-7/8
DELAWARE	6/13-7/8
DOWNS AT ALBUQUERQUE	6/13-7/8
ELLIS PARK	6/13-7/8
EMERALD DOWNS	6/13-7/8
EVANGELINE DOWNS	6/13-7/8
FORT ERIE	6/13-7/8
GULFSTREAM	6/13-7/8
HASTINGS PARK	6/13-7/8
HAWTHORNE	6/13-7/8
INDIANA DOWNS	6/13-7/8
KEENLAND	6/13-7/8
KENTUCKY DOWNS	6/13-7/8
LAUREL	6/13-7/8
LONE STAR	6/13-7/8
LOUISIAN DOWNS	6/13-7/8
MONMOTH	6/13-7/8
MOUNTAINEER PARK	6/13-7/8
NYRA-AQUEDUCT	6/13-7/8
NYRA-BELMONT	6/13-7/8
NYRA-SARATOGA	6/13-7/8
PENN NATIONAL	6/13-7/8
PHILADELPHIA PARK (PARX)	6/13-7/8
PIMLICO	6/13-7/8
PRAIRIE MEADOWS	6/13-7/8
PRESQUE ISLE	6/13-7/8
RIVER DOWNS	6/13-7/8
SOUTH AMERICAN RACING	6/13-7/8

SUFFOLK DOWNS	6/13-7/8
SUNLAND PARK	6/13-7/8
SUNRAY PARK	6/13-7/8
TAMPA BAY	6/13-7/8
THISTLEDOWN	6/13-7/8
TURFWAY PARK	6/13-7/8
TURF PARADISE	6/13-7/8
UNITED KINGDOM	6/13-7/8
WOODBINE	6/13-7/8

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2018 CARF Meets
Commingled
Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Cedar Downs OTB	Thistledown
Canterbury	Race bets	Northville	Tioga Downs
Capital District OTB	Greenetrack	NYRA	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA Account Wagering	Turf Paradise Turf Paradise Bets (ADW)
Charles Town Race Course	Gulf Greyhound	Oaklawn	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Ocean Downs	TVG Chester
Churchill Downs	Harrington Raceway	Panama	TVG Network
Club Hipica InTurf	Harrington Bets (DE regional)	PARX	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX Phone Bet	Twin River Greyhound
Colonial Downs	Hawthorne ADW	Penn National	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National Telebet	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Peru	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Plainridge Race Course	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Telephone Wagering	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Player Management Group	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Pocono Account Wagering	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Downs and OTB	Wheeling Downs
Torrington, Waterbury	Keeneland	Portland Meadows	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Potawatomi Casino/ OTB	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Prairie Meadows	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Premier Turf Club	XpressBet
Connecticut OTB ADW	Lebanon	Presque Isle Downs	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Raceway Park	Yonkers ADW
Paragon Casino	Lewiston OTB's	Racing2Day LLC	Yobet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day Intl. (Stan James)	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino		

Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW Bet America and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Millenium Racing		Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:

OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Bakersfield, 58 Flat Sports Bar & Casino, Bonita, Ocean's 11, Oceanside, Original Road House Grill, Santa Maria, Santa Clarita Lanes, Santa Clarita, Tilted Kilt, Thousand Oaks, Striders, San Diego, Sammy's Restaurant & Bar, Mission Viejo.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar	Full Card
Cal Expo	Harness Horses	Per CHRB Calendar	Full Card

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s) – **Sarah Sporer, D.V.M.**

Clerk of Scales – **Kenneth Sjoldal**

Clerk of the Course – **Tina Walker**

Assistant Clerk of Scales – **Chad Schvaneveldt**

Film Specialist – **Chad Schvaneveldt**

Horse Identifier – **Darrel Sparks**

Horseshoe Inspector – **Augustine Rayas**

Paddock Judge – **Danny Winnick**

Patrol Judges – **Danny Winnick & Steve Martinelli**

Placing Judges – **Lisa Jones & Dee Collins**

Starter – **Todd Stephens**

Timer – **Melody Truitt**

- B. Management officials in the racing department:

Director of Racing, OTP – **Larry A. Swartzlander**

Racing Secretary – **Tom Doutrich**

Assistant Racing Secretary – **Linda Anderson**

Paymaster of Purses – **Victoria Layne (C.A.R.F.)**

Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Niccoli Reporting

619 Pilgrim Dr.

Foster City, CA 94404

Telephone: 650-573-9339

pdqcsr@comcast.net

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/21)
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2021)

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**
Racetrack/Grandstand: Alameda County Sheriff Chief in Charge
Liaison Officer: Commander Don Buchanan 510-225-5889
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
Racetrack/Grandstand: 8 Sheriff's Deputies
Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
N/A. Addressed in B1 above.
 - B. Number of security guards in the detention stall area during a 24-hour period.
One
 - C. Describe number and location of surveillance cameras in detention stall area.
Surveillance cameras at each end of the detention shed row and two in between.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.
 - C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
 - D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.
- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area

Item #14 A:**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Richard Sims, Manager of Maintenance Operations	925-596-5744
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Commander Don Buchanan	510-225-5889

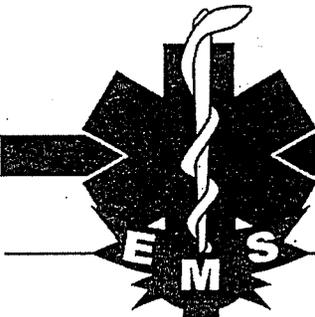
Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

15. EMERGENCY SERVICES

Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Royal Ambulance Service, Steve Grau, President, 14472 Wicks Blvd, San Leandro, CA 94577. 877-995-6161.**

- A. 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
**Golden Gate Fields
Turf Rescue LLC
4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470**
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
EMS documents have been requested from GGFs.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Tom Streeter Cell 209-608-8009
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Scheduled for April 6.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority**
- J. **See Attached**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Royal Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 16, 2016** to **December 16, 2018**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Travis Kusman
Alameda County EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box

BUSINESS LICENSE

COUNTY OF ALAMEDA

Issuance of this license does not grant compliance with any other state law or county ordinance. 224 W. Winton Avenue, Suite 169, Hayward, CA 94544
This license is valid only for the following unincorporated areas: Castro Valley, San Lorenzo & Phone: (510) 670-6400
Sunol in their entirety, and the unincorporated portions of: Hayward, San Leandro, Livermore and Pleasanton.

BUSINESS NAME: Royal Ambulance, Inc.
BUSINESS LOCATION: 14472 Wicks Blvd
San Leandro, CA 94577-6712
BUSINESS OWNER: Royal Ambulance, Inc.

BUSINESS CLASS NO: 9960
DESCRIPTION: Non-Emergency Ambulance Service

Business License Number: 134648
Effective Date: January 01, 2018
Expiration Date: December 31, 2018

ROYAL AMBULANCE, INC
14472 WICKS BLVD
SAN LEANDRO, CA 94577-6712

HENRY C. LEVY, TREASURER-TAX COLLECTOR



DEPUTY

TO BE POSTED IN A CONSPICUOUS PLACE

NOT TRANSFERABLE



March 1, 2018

Mr. Rick Baedeker, Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95835

Dear Mr. Baedeker,

RE: Item 15, Oak Tree/Pleasanton Racing License: Emergency Services

Emergency services for training year around and during the annual race meet, at the Pleasanton Race Track, is provided by Royal Ambulance. The information for Royal Ambulance has been provided for the license as an attachment to the license. Royal provides two EMT's on their ambulance.

In the past the Alameda County Fire Department provided a Paramedic, with equipment, to ride along on the track Ambulance during the annual race meet. Due to Union restrictions, with the Union that the Alameda County Fire Department Paramedics belong to, the Paramedics can no longer ride along on the track ambulance. The following is the plan that we implemented in 2015 and has been followed in years since: developed by Assistant Fire Chief Brian Caminada with input from management at the Alameda County Fair.

- The morning training will have two EMT's on the Royal ambulance..
- The afternoon live race meet will have two EMT's on the Royal ambulance and one Paramedic stationed at the entrance to the track on a mini-ambulance, complete with all paramedic equipment and a stretcher. The mini ambulance is an ambulance that has been built using a type of golf cart for easy access in small or crowded areas. The Alameda County Fire Department will be bringing two of these units to the Fair this year; one dedicated to the race track and one to the fair. The mini ambulance will not be driving around the race track following each race with Westmed, but will be stationed at the entrance, ready to go out if needed.
- In addition to the paramedic on the mini ambulance there are additional paramedics at the first aid station, located directly across from the paddock, Jockeys room and race track.

Assistant Fire Chief Brian Caminada reminded us that the Paramedics have to perform a basic life support assessment before they can treat, which is the same as what the BLS EMT's have to perform. In case of an accident the EMT's in the ambulance would start the basic life support assessment and the paramedic would arrive at the site of the accident to provide advanced life support if/or as needed.

Regards,

Jeane Wasserman
 Director of Racing
 Alameda County Fair

Item #15F English:

Alameda County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:

Procedimiento en caso de Accidente en Alameda County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para aguardar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia...
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



March 21, 2018

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$100,000 self-insured retention California Fair Services Authority
(includes liquor liability)
Coverage continuous until cancelled
- B. Excess Coverage \$24,900,000 in excess of \$100,000 (includes Liquor Liability)
Coverage provided by CSAC Excess Insurance Authority
Term: 1/1/18 to 7/1/18

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 7/01/2017 to 7/01/2019

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen
Risk Analyst



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

05/16/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Suhr Risk Services 5300 Stevens Creek Blvd. San Jose, CA 95129 Jeff State, CRIS, CWCS	CONTACT NAME: Jeff State, CRIS, CWCS PHONE (A/C, No, Ext): 408-510-5440 E-MAIL ADDRESS:	FAX (A/C, No):	
	INSURER(S) AFFORDING COVERAGE		NAIC #
INSURED Royal Ambulance, Inc. 14472 Wicks Boulevard San Leandro, CA 94577	INSURER A: Colony Insurance Company		39993
	INSURER B:		
	INSURER C:		
	INSURER D:		
	INSURER E:		
	INSURER F:		

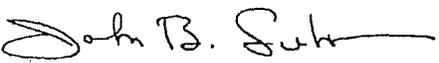
COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	X		AP2037922	05/13/2017	05/13/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 3,000,000 PRODUCTS - COMP/OP AGG \$ Included Emp Ben. \$ 1,000,000
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 0			XS2426912	05/13/2017	05/13/2018	EACH OCCURRENCE \$ 2,000,000 AGGREGATE \$ 2,000,000 \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A				<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	Professional Liab			AP2037922	05/13/2017	05/13/2018	Occur/Agg \$1M/\$3M
A	Sexual Abuse & Mol			AP2037922	05/13/2017	05/13/2018	Claim/Agg \$100k/\$300k

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

The State of California, the County of Alameda, and the Alameda County Agricultural Fair Association (Alameda County Fairgrounds), and each of their respective agents, officers, directors, employees, and Board of Supervisors, whether sued as individuals or in their official capacities, whether singly and/or collectively, are named as additional insured.

CERTIFICATE HOLDER ALAMEDA Alameda County Fair Grounds 4501 Pleasanton Ave Pleasanton, CA 94566	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
--	--

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – DESIGNATED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

MISCELLANEOUS HEALTH CARE FACILITIES POLICY

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s):
ALL PERSONS OR ORGANIZATIONS ARE INCLUDED AS ADDITIONAL INSUREDS AS REQUIRED BY WRITTEN CONTRACT WITH THE INSURED
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

- A. **SECTION III – WHO IS AN INSURED** within the Common Policy Provisions is amended to include as an additional insured the person(s) or organization(s) indicated in the Schedule shown above, but only with respect to liability for "wrongful acts" resulting in "bodily injury", "property damage" or "personal and advertising injury":
1. caused, or alleged to be caused, in whole or in part, solely by your acts or omissions or solely by the acts or omissions of those acting on your behalf in the performance of your "ongoing operations"; or
 2. caused, in whole or in part, solely out of an alleged agency or respondeat superior relationship between you and the person(s) or organization(s) indicated in the Schedule shown above.
- B. Solely as respects the coverage provided by this Endorsement, **SECTION VII – DEFINITIONS** within the Common Policy Provisions is amended by the addition of the following:
- "Ongoing operations" means the business described in **BUSINESS DESCRIPTION** in the Declarations.

ALL OTHER TERMS AND CONDITIONS OF THE POLICY REMAIN UNCHANGED.



CERTIFICATE OF LIABILITY INSURANCE

8-36

DATE (MM/DD/YYYY)

5/21/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER (WC) Heffernan Insurance Brokers 1350 Carlbak Avenue Walnut Creek CA 94596	CONTACT NAME: Cheryl vanWestrop
	PHONE (A/C, No, Ext): 925-934-8500 FAX (A/C, No): 925-934-8278
	E-MAIL ADDRESS: Cherylv@Heffins.com
	INSURER(S) AFFORDING COVERAGE NAIC #
	INSURER A: Old Republic Insurance Company 24147
INSURED ROYAAMB-03 Royal Ambulance, Inc. 14472 Wicks Boulevard San Leandro CA 94577	INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:

COVERAGES CERTIFICATE NUMBER: 1044137216 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD - WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER:					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY	Y	MWTB310584	6/1/2017	6/1/2018	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	MWC310585	6/1/2017	6/1/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Re: As Per Contract or Agreement on File with Insured. The State of California, the County of Alameda and the Alameda County Agricultural Fair Association (Alameda County Fairgrounds) and each of their respective agents, officers, directors, employees and Board of Supervisors, whether sued as individuals or in their official capacities, whether singly and/or collectively are included as an additional insured on Automobile Liability policy per the attached endorsement, if required.

CERTIFICATE HOLDER Alameda County Fair Grounds 4501 Pleasanton Avenue Pleasanton, CA 94566	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE

racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

A. Names and addresses of all persons to whom a concession or service contract has been given, Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Food & Beverage:

Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001

Sharp Concepts, Inc.: Roger Sharp, P.O. Box 3767, Paso Robles, CA 93447, G/S Downstairs

Food Service: Spectra, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Jetter Golf: Dana and Greg Jetter, 4501 Pleasanton Ave., Pleasanton, CA 94566

Tip Sheets: West Coast Wheelie

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas.CA 94566

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

See Attached

B. Promotional/ Marketing budget for this race meeting:

Fair Marketing budget of \$2 million in cash and in-kind advertising spent to promote the fair which includes the race meet. \$95,000 is dedicated to race-meet specific marketing, promotions and events.

Promotional/Marketing budget for prior race meeting:

More than \$2 million in cash and cash equivalents (in-kind advertising) was spent to promote the fair which includes the race meet. \$87,000 was dedicated to race-meet specific marketing, promotions and events.

C. Number of hosts and hostesses employed for meeting:

N/A

D. Describe facilities set aside for new fans:

Daily Racing Seminars, located on the Grandstand Stage

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- 1. Horsemen and Fans: Fan Cave for sport in the upper level.
- 2. The OTP races are broadcasted in HD in our grandstand.

3. **Facilities in the restricted areas: Tack rooms, shed rows, hot walker areas, stalls and common areas have had a thorough cleaning. The odd side of the barns has had stall doors repaired and painted. Feed storage sheds have been painted, as have the support poles.**

18. **SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from previous year:

Admission, Grandstand (general)	Free With Fair Admission
Admission Adult, Fair	\$15
Admission Adult, <u>Advanced</u>	\$12
Admission, Seniors 62+	\$10
Admission, Seniors 62+ <u>Advanced</u>	\$8
Fair Admission/Children (6-12)	\$10 (free on Fridays)
Fair Admission/Children <u>Advanced</u> (6-12)	\$8
Admission (clubhouse)	N/A
Reserved seating, 1 box seat	\$6
Reserved seating general	\$5
Reserved seating (clubhouse)	N/A
Parking (general)	\$10
Parking (preferred)	\$15
Parking, WP Lot	\$20
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees:
Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. Box seat holders are offered the 2017 price if paid for by April 15, 2018.

C. **Family Fun Pack: (\$50 4-General Admission, 1 General Parking Season Pass: (\$40.00) Fair Admission for all 18 days. Adult daily \$12, Senior \$8, child \$8 Trackside Terrace: Table for the day with upscale buffet lunch: \$45 Pre-Fair, includes Fair admission. \$40 walk-in's after Fair opens. Reservations accepted beginning May 1, 2018.**

19. **JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys:
Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 107
- C. Number of restrooms available on the backstretch of the racetrack: 9 (Total commodes =17)
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 to 10.

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line 5,280 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Steve Wood.
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
All Horsemen's agreements will be forwarded to CHRB NLT 5 April, 2018.

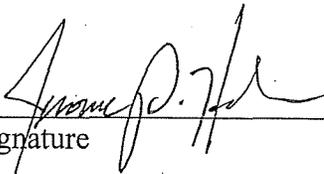
- C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- E. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban
Alameda County Fair CEO



Signature
3-13-18

Date

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

**There is no board package material for Item 9 it will be
postponed until May 24, 2018**

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1865, ALTERING OF SEX OF HORSE, TO
REQUIRE THAT IF THE PUBLIC IS NOT NOTIFIED OF
THE TRUE SEX OF THE HORSE
PRIOR TO THE OPENING OF WAGERING
THE STEWARDS SHALL DECLARE THE HORSE FROM THE RACE

Regular Board Meeting
April 19, 2017

ISSUE

Rule 1865, Altering of Sex of Horse, provides that a trainer who enters a horse, or who causes a horse to be entered on his behalf, is responsible for ensuring that the true sex of the entered horse is listed on its certificate of registration on file in the racing office. The rule currently states that if the true sex of a horse is not correctly identified in the official program for the race in which the horse is entered, the trainer of the horse shall be subject to a minimum fine of \$1,000. Despite the possibility of a fine, and concerted efforts by the CHRB and racing officials, violations of Rule 1865 continue – usually because the trainer fails to notify the racing office that a horse has been gelded. However, any number of circumstances can result in an unreported gelding. The trainer may properly report the change, but a racing office error may leave the information out of the program. The owner may geld the horse away from the track, change trainers, and the horse is entered to race. Or, the trainer may fail to check the horse against its papers. By the time the stewards are notified of the sex change, it is too late to adequately inform the public.

ANALYSIS

Rule 1865 was last amended in 2017 to require the trainer to notify the racing office of a change in sex of a horse entered to race (if the change in sex had not been previously reported) prior to the opening of wagering on the race. However, after the amended regulation was approved by the Office of Administrative Law, the stewards asserted the wording of the amendment was inadequate, as there were instances wherein the racing office failed to notify the stewards. The proposed amendment to Rule 1865 will amend subparagraph 1865(e)(2) to require that if a horse has been altered after starting in any race, the stewards shall declare the horse from the race in which it is entered if the public is not informed of its true sex prior to the opening of wagering on the race. Subparagraph 1865(e)(2) currently requires that the racing office be informed prior to the opening of wagering, but that does not guarantee the public will be informed of the true sex of the horse. The proposed amendment modifies subparagraph 1865(e)(2) to state that if the public is not informed of the true sex of the horse prior to the opening of wagering, the horse shall be scratched. The proposed amendment does not address horses that are first time starters as there is no past performance that may change due to the condition of the horse. In addition, subparagraph 1865(e)(1) has been modified to remove the minimum \$1,000 fine. An unreported gelding will result in the horse being scratched, and the trainer may be subject to a fine under Rule 1629, Penalty for Late Declaration. Instead, subsection 1865(e)(1) has been changed to state that the responsible party may be sanctioned by the stewards. After an investigation regarding the circumstances

surrounding the unreported gelding, the stewards may take action against any responsible party. The proposed amendment to Rule 1865 is designed to ensure the public will have equal information regarding the horses entered to race, so none are at a disadvantage.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19460(b) states that all licenses granted under this chapter are subject to all rules, regulations, and conditions prescribed by the Board. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1865. ALTERING OF SEX OF HORSE

Regular Board Meeting
April 19, 2018

1865. Altering of Sex of Horse.

(a) Any alteration to the sex of a horse from the sex as recorded on the certificate of foal registration or the eligibility certificate or other official registration certificate of the horse shall be reported to the racing secretary and the official horse identifier if the horse is entered to race at any race meeting.

(b) If a racehorse is gelded or castrated on the premises of a licensed racing association, or other facility under the jurisdiction of the Board, the trainer shall report the alteration within 72 hours.

(c) If a racehorse is gelded or castrated off the premises of a licensed racing association, or other facility under the jurisdiction of the Board, and the horse has been previously entered to race at any race meeting in this State, the owner and/or trainer shall report the alteration at the time the horse is next entered to race.

(d) A report of gelding or castration will include the name of the veterinarian performing the alteration and the date of the alteration, and shall be recorded on the official registration certificate and the official horse identification record of the horse.

(e) A trainer who enters a horse, or who causes a horse to be entered on his behalf, is responsible for ensuring that the true sex of the entered horse is listed on its certificate of registration on file in the racing office.

(1) If the true sex of a horse is not correctly identified in the official program for the race in which the horse is entered, the responsible party may be sanctioned by the stewards-trainer of the horse shall be subject to a minimum fine of \$1,000.

(2) If, after starting any race, the sex of the horse has been altered and the public is not informed of the true sex of the a horse is not reported to the racing office prior to the opening of wagering for the race in which the horse is entered, the stewards shall declare the horse from the race.

~~(3) Deviation from the minimum fine in subsection (e)(1) of this regulation is appropriate if the trainer can demonstrate mitigating circumstances. Mitigating circumstances may include, but are not limited to:~~

~~(A) Errors made by other parties in recording information correctly provided by the trainer.~~

Authority: Sections 19420, 19440, 19460 and 19562,
Business and Professions Code.

Reference: Sections 19420, 19562 and 19661,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

There is no board package material for Item 11

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING
THE PROPOSED ADDITION OF
RULE 1503.5. CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS
TO REQUIRE CONTINUING EDUCATION AS A CONDITION OF RENEWAL OF
LICENSE FOR TRAINER OR ASSISTANT TRAINER

Regular Board Meeting
April 19, 2018

ISSUE

The Board requires first time applicants for license as trainer or assistant trainer to pass written, oral and practical examinations prior to issuance of a license. However, upon renewal of license, the applicant is only required to fill out the application forms and pays a fee. The Board currently does not require trainers or assistant trainers to demonstrate that they have taken any actions to improve their level of horsemanship, or have made efforts to keep abreast of equine medication, health or safety issues. The proposed addition of Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, will address the issue by requiring that applicants for renewal of a CHRB license as trainer or assistant trainer certify that they have completed a total of 12 hours of approved continuing education (ACE) coursework over the previous 36 month period.

ANALYSIS

Trainers' and assistant trainers' duties involve considerable responsibility, complexity and variety. They decide the day-to-day preparations needed to train a horse to run in a race. They manage the care, feeding and grooming regimen of the horse, as well as the horse's exercise and rest. In addition, trainers in large part make decisions regarding the drugs and physical therapy treatments their horses receive. Ideally, trainers and assistant trainers work to run stables that are efficient, cost effective and successful, and they hire and train employees in the best practices. All of this requires that trainers and assistant trainers must be informed about changing theories in training methods, or discoveries regarding the physiological effects of exercise, or research regarding medications and its effects on the horses in their care. They must also be aware of issues that involve stable management and their employees. To ensure California's trainers and assistant trainers are keeping abreast of changes in their profession, the proposed addition of Rule 1503.5 requires that applicants for renewal of a CHRB license as trainer or assistant trainer certify that they have completed a total of 12 hours of ACE coursework over the previous 36 month period. The rule defines such coursework as instruction intended to foster competence in horsemanship, and which specifically promotes compliance with horse racing law and regulations, equine health, safety and welfare in racing, or promotes human safety and welfare. Under Rule 1503.5, the Board is required to keep a current posting of approved ACE courses on its website and at all CHRB offices. If a trainer or assistant trainer fails to keep adequate ACE coursework records, he or she may be ineligible to renew a license, and must complete the required education prior to renewal. Falsification of ACE coursework records will result in the issuance of fines and suspension or revocation of license. Applicants for renewal of a trainer or assistant trainer license who are not

domiciled in California and who have has 12 or fewer starts in races other than stakes races in California may obtain an exemption from the ACE coursework requirements.

BACKGROUND

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in this state where horse races with wagering on their results are held or conducted, and over all persons or things having to do the operation of such meetings is vested in the CHRB. Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19460 states all licenses granted under this chapter shall be in writing and shall contain such conditions as are deemed necessary or desirable by the Board for the best interests of horse racing. Business and Professions Code section 19520 provides that every person who participates in, or has anything to do with, the racing of horses, shall be licensed by the Board pursuant to the rules and regulations that the Board may adopt.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED ADDITION OF
1503.5. CONTINUING EDUCATION FOR TRAINERS AND ASSISTANT TRAINERS

Regular Board Meeting
April 19, 2018

1503.5. Continuing Education for Trainers and Assistant Trainers

(a) Commencing January 1, 2020, an applicant for renewal of license as trainer or assistant trainer shall certify that during the preceding 36-month period, he or she has completed a total of 12 hours of approved continuing education (ACE) coursework.

(1) Certification of completion of ACE coursework shall be provided on the form CHRB-59a Certification of Approved Continuing Education (ACE) Coursework (New 06/18), which is hereby incorporated by reference. The CHRB-59a shall be submitted with the application for renewal of license.

(b) Records of completed ACE coursework shall be maintained for a period of four years from the date the course was completed, and licensees shall provide such records to the Board upon request. Records of ACE coursework may be in a form provided by an ACE course, meeting or conference, or shall be recorded on the form CHRB-242 Continuing Education Certificate of Attendance (New 6/18), which is hereby incorporated by reference. The CHRB-242 shall be available at all CHRB offices and on the Board's website. Any record of ACE coursework shall state at least:

- (1) The title of the ACE course, meeting or conference;
- (2) The date, location and provider of the ACE course, meeting or conference;
- (3) The number of continuing education hours earned.

(4) Failure to maintain or produce records of completed ACE coursework may result in the applicant's inability to renew a license as trainer or assistant trainer.

(c) The stewards shall fine and/or suspend or revoke the license of any applicant for renewal of license as trainer or assistant trainer who is found to have provided false certification of completed ACE coursework.

(d) The stewards may waive the ACE requirements for applicants for renewal of license as trainer or assistant trainer who are not domiciled in California, and who have had twelve or fewer starts in races other than stakes races in California during the previous 36-month period.

(1) Requests for ACE waivers shall be submitted to the Board of Stewards at the time of application for renewal of license on the form CHRB-59b Request for Waiver of Approved Continuing Education (ACE) Requirements (New 06/18), which is hereby incorporated by reference.

(2) Upon the stewards' verification of the CHRB Form 59b, waiver may be granted.

(3) Denial of a waiver will result in the applicant being ineligible for renewal of license until he or she has completed the requirements of subsection (a) of this regulation.

(e) A current list of ACE courses shall be available at all CHRB offices and on the Board's website.

(1) If a licensee has completed ACE coursework, and the course is subsequently removed from the Board's listing of ACE courses, the ACE course completed by the licensee will satisfy the requirements under subsection (a) of this regulation.

(f) For the purposes of this regulation, ACE coursework means instruction intended to foster competence and knowledge in horsemanship, and which specifically promotes: compliance with California's horse racing law and regulations; equine health, safety, and welfare in racing; or

promotes human safety and welfare.

Authority: Sections 19420, 19440, 19460 and 19520,
Business and Professions Code.

Reference: Sections 19420 and 19440,
Business and Professions Code.

State of California
CALIFORNIA HORSE RACING BOARD
CHRB-59a (New-06/18)

License Number: _____

CERTIFICATION OF APPROVED CONTINUING EDUCATION (ACE) COURSEWORK

I _____, certify that I have completed _____ hours of ACE
(NAME)

Coursework during the period of _____ through _____
(DATE) (DATE)

Per Rule 1503.5, applicants shall maintain records of completed ACE coursework for a period of four years from the date of completion, and shall provide such records to the Board upon request.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

(DATE)

(SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

State of California
 CALIFORNIA HORSE RACING BOARD
 CHRB-59b (New-06/18)

License Number: _____

REQUEST FOR WAIVER OF APPROVED CONTINUING EDUCATION (ACE) REQUIREMENTS

I _____, certify that I am a resident of _____,
 (NAME) (CITY/STATE)

And have had twelve or fewer starts in California for the period of _____
 through _____.

List all starts in California over the previous 36-month period on the reverse of this form. Per Rule 1503.5, stakes races are not counted in the number of starts.

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

 (DATE)

 (SIGNATURE)

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

List all California starts, including track & date, over the previous 36-months. Do NOT list stakes races.

- | | |
|----------|-----------|
| 1. _____ | 8. _____ |
| 2. _____ | 9. _____ |
| 3. _____ | 10. _____ |
| 4. _____ | 11. _____ |
| 5. _____ | 12. _____ |
| 6. _____ | 13. _____ |

Approve: _____ Disapprove: _____

(Steward's Signature and Date)

I hereby make application for license to be issued in accordance with the terms and provisions of the Rules and Regulations of the California Horse Racing Board. I certify under penalty of perjury that the statements and answers I have made in this application are true and correct.

INFORMATION PROVIDED ABOVE IS PUBLIC PURSUANT TO THE CALIFORNIA PUBLIC RECORDS ACT (Government Code section 6250 et seq.)

State of California
California Horse Racing Board
CHRB-242 (New 06/18)

CONTINUING EDUCATION CERTIFICATE OF ATTENDANCE

Meeting/Conference Title: _____

Date: _____ Location: _____

Provider: _____

Presentation/Topic: _____

Program Speaker(s) (If any): _____

Name of Licensee (Print): _____

CHRB License Number: _____

I certify that I attended the ACE coursework described above for a total of ____
Continuing education hour(s).

Signature: _____ Date: _____

INSTRUCTIONS

Per Rule 1503.5, Continuing Education for Trainers and Assistant Trainers, licensees shall maintain records of completed ACE coursework for a period of four years from the date the course was completed and shall provide such records to the Board upon request. Individual licensees are responsible for the completeness and accuracy of their own ACE records. By signing this form you are certifying under penalty of perjury that the statements and answers you have made on this form are true and correct.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED ADDITION OF
CHRB RULE 1660.1, DELIVERY OF MEDICAL RECORDS,
TO REQUIRE THE TRANSFER OF MEDICAL RECORDS TO HORSES CLAIMED IN A
CLAIMING RACE.

Regular Board Meeting
April 19, 2018

ISSUE

Upon purchase of a horse in a claiming race, the medical record of the horse is not routinely transferred to the new owner. As a result, the horse's pre-existing medical conditions and treatments are not known to the new owner. Consequently, a horse may be treated with an injection a week before it races, be claimed and transferred to a new owner, and the new veterinarian will have no way of knowing the horse's history, leaving open the possibility of the immediate injection of the same joint. The proposed addition of CHRB Rule 1660.1, Delivery of Medical Records, seeks to remedy this issue by requiring all existing medical records from a horse claimed in a claiming race to be transferred from the horse's former attending veterinarian(s) to the horse's new owner or designee within five (5) days of the claim.

ANALYSIS

Claiming Races are an important part of horse racing in California. In a claiming race, all horses participating are for sale and can be "claimed" or purchased prior to the beginning of the race. Claiming races account for 60% of all races run at California racetracks and represent a common practice in which horses are bought and sold among owners. When a horse is purchased in a claiming race, the medical history of the horse is rarely provided to the new owner. The lack of relevant medical records creates a risk for the health and safety of both horse and rider. Without access to the horse's medical history veterinarians and trainers may be impaired in accurately assessing the horse's soundness.

On February 21, 2018, the Executive Director of the California Horse Racing Board brought this matter before the California Veterinary Medical Board during its regularly scheduled meeting. After discussion, the California Veterinary Medical Board approved and endorsed the CHRB's proposal of requiring the transfer of all existing veterinary medical records for a horse claimed in a claiming race to the horse's new owner. The vote by the California Veterinary Medical Board was unanimous.

This proposed addition of Rule 1660.1, Delivery of Medical Records, would create an exception for veterinarians, as permitted under Business and Profession Code section 4857(a), to disclose medical records of horses claimed in a claiming race, without violating rules regarding confidentiality. Rule 1660.1 provides an additional safeguard for horses claimed in a claiming race by ensuring the horse's medical record are transferred to the new owner.

BACKGROUND

Business and Professions Code section 4857 prohibits veterinarians from releasing medical records relating to veterinarian services performed on an animal unless certain exceptions are met. One exception recognized under Business and Professions Code section 4857(a)(4) provides that the release of information is required in order to comply with "any federal, state, county or city law or regulation."

Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State. Business and Professions Code section 19580 provides that authority is vested with the Board to adopt regulations to establish policies, guidelines and penalties related to equine medication in order to preserve and enhance the integrity of horse racing in the state.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
PROPOSED ADDITION OF
RULE 1660.1. DELIVERY OF MEDICAL RECORDS

Regular Board Meeting
April 19, 2018

1660.1. Delivery of Medical Records.

(a) Consistent with CVMB CCR 2032.3(b) a copy of all existing veterinary medical records for a horse claimed in a claiming race shall be transferred from the horse's former attending veterinarian(s) to the horse's new owner or their designee within five days of the claim.

(b) Veterinarians attending a horse for the first time after it is claimed shall review all medical records provided to the owner or their designee pursuant to subsection (a) prior to performing any intra-articular injections, any other intra-lesional musculoskeletal corticosteroid treatment, or extracorporeal shock wave therapy to the horse

(1) In case of a medical emergency or medical necessity, corticosteroid treatment may be initiated prior to review of the medical records after which the horse shall be placed on the Veterinarians List for a minimum of 14 days.

Authority: Sections 19440, 19562, and 19580,
Business and Professions Code.

Reference: Sections 19440, 19562, and 19580,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

There is no board package material for Item 14

CALIFORNIA HORSE RACING BOARD

APRIL 19, 2018
REGULAR BOARD MEETING

There is no board package material for Item 15