

9. Discussion and action by the Board regarding the **request from Del Mar Thoroughbred Club for a waiver to CHRB Rule 1472, (b), and (g) Rail Construction and Track Specifications, to facilitate the installation of the Mawsafe Rail System as its outside turf rail.**
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1688, Use of Whips, to revise the jockey's use of the whip during races.**
11. Discussion and action by the Board regarding the **proposed addition of CHRB Rule, 1891.1, Penalty for Possession or Use of Electrical Device, to establish penalties for the use of a buzzer on a horse.**
12. Report from the **Legislative, Legal and Regulations Committee.**
13. Discussion and action by the Board regarding the **matter of the San Luis Rey Racing, Inc. (dba San Luis Rey Downs) July 18, 2013 proposed decision.**
14. Discussion and action by the Board regarding **approval of the revised CHRB Governing Procedures notice for disciplinary hearing.**
15. Report from the **Pari-mutuel/ADW and Simulcast Committee.**
16. Discussion and action by the Board regarding the **request from Xpressbet, LLC (Xpressbet) to amend its current Advance Deposit Wagering (ADW) application to allow for the operation of the new Wagering Tablet at Santa Anita Park and Golden Gate Fields race tracks.**
17. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Bo Derek, 1st Vice Chairman
Richard Rosenberg, 2nd Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Madeline Auerbach, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING LITIGATION
APRIL 2014

COURT LITIGATION

- A. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
Court of Appeal, Fourth Appellate District, D062226
- B. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
Court of Appeal, Third Appellate District, C074852
- C. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-00102154
Court of Appeal, Third Appellate District, C069875
- D. San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- E. Donald Lockwood vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147701
- F. James Hanson vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147729

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING ADMINISTRATIVE ADJUDICATIONS
APRIL 2014

CASE

- A. Proposed Decision**
Trainer Gerardo Amezcua
Case Number: 14LA0013

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **California Exposition and State Fair, Grandstand Club House**, 1600 Exposition Boulevard, Sacramento, California, on **March 21, 2014**.

Present: Chuck Winner, Chairman
 Bo Derek, 1st Vice-Chairman
 Richard Rosenberg, 2nd Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 Jesse H. Choper, Member
 George Krikorian, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF FEBRUARY 21, 2014

Chairman Winner asked for approval of the minutes of the Regular Meeting of February 21, 2014. 2nd Vice-Chairman Rosenberg **motioned** to approve the minutes, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FOR A LETTER OF SUPPORT FROM THE CALIFORNIA HORSE RACING BOARD FOR SENATE CONCURRENT RESOLUTION (SCR) NO. 95. (THIS ITEM OF BUSINESS DID NOT APPEAR ON THE ORIGINAL POSTED AGENDA, AND ANY BOARD ACTION ON THE ADDED ITEM IS CONDITIONED UPON, AT THE UPCOMING MEETING, THE PRELIMINARY BOARD DETERMINATION SPECIFIED IN SUBSECTION (A) (2) OF GOVERNMENT CODE SECTION 11125.3).

Robert Miller, CHRB General Counsel, stated the Board first needed to vote to consider the item. 1st Vice-Chairman Derek **motioned** to allow discussion and action by the Board on the request for a letter of support for Senate Concurrent Resolution No. 95 (SCR 95). 2nd Vice-Chairman Rosenberg seconded the **motion**, which was **unanimously carried**. Mary Ann Breed, widow of the late Executive Director Kirk Breed, stated SCR 95 renamed the Cal Expo Race Track the Kirk Breed Memorial Racetrack. She asked that the Board support SCR 95 and invited the

commissioners to attend the Senate Government Organization Committee hearing regarding the resolution. Ms. Breed stated she had received positive feedback from the industry and expected many supporting letters. Chairman Winner **motioned** to approve that the Board send a letter of support for SCR 95. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

Gail Matthews, of Winning Hands Equine Massage Therapy, stated the industry was irresponsibly running older horses. She recommended setting an age limit for active race horses, and the imposition of a stiff fine for any kind of equine fatality. 1st Vice-Chairman Derek stated the issue could be further discussed at the committee level.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE NORTHERN CALIFORNIA 2015 RACING CALENDAR AND RELATED ISSUES FOR 2015.

Executive Director Baedeker stated the item had been removed from the January and February 2014 Regular Board Meetings, and the March 2014 Regular Board Meeting was relocated to Cal Expo, all at the request of the legislators representing the Sonoma County area. Commissioner Beneto stated the 2015 racing calendar dates were agreed upon at the ad hoc committee's last meeting; however, some issues remained. He then summarized the details of the race dates ad hoc committee's recommended 2015 racing calendar. 2nd Vice-Chairman Rosenberg asked why there was an option B included in the proposed calendar. Commissioner Beneto said it was created in case the Sonoma County Fair (Santa Rosa) chose to run for two weeks instead of three weeks; however, after including option B, Tawny Tesconi, of Santa Rosa, stated Santa Rosa

Proceedings of the Regular Meeting of March 21, 2014 3

would run three weeks. Chairman Winner stated the allocated dates for the 2014 calendar, as already approved by the Board, included giving three weeks of racing to Cal Expo in 2015. Commissioner Krikorian asked if the 2014 allocated race dates were subject to passing the 2015 allocated race dates. Chairman Winner stated no, the allocation of 2014 race dates were final. Michael Miller, representing Assembly Member Mark Levine, read from a letter signed by Assemblyman Levine which asked the Board to delay the final decision on the 2015 calendar until all of the suggested options, including moving the calendar a week earlier or Cal Expo running only harness racing during its third week, had been fully explored. The letter stated if a consensus on an alternative could not be reached the Board should rescind the decision to allocate a third week of racing to Cal Expo. Brandon Minto, representing Congressman John Garamendi, stated that Congressman Garamendi strongly supported three weeks of racing, concurrent with its fair, at Cal Expo. Cal Rainey, of Golden Gate Fields (GGF), stated GGF preferred the option B, where GGF began racing on August 14, as August had GGF's highest attendance. He said GGF believed Santa Rosa should not be running a week without its fair. Commissioner Beneto asked if GGF's overall handle was down from January to March of 2014. Mr. Rainey stated the low horse population had depressed the GGF on-track handle, but GGF was hoping that the April turf racing would bring in more horses and increase the field sizes. The most recent count showed 1,000 to 1,150 horses stabled at GGF and 200 more stabled at the Pleasanton Fair grounds; normally in March there would be 1700 to 1900 horses stabled at both locations. Commissioner Choper asked if GGF could estimate how many horses it lost due to the renovation of its turf course. Mr. Rainey stated fifteen to twenty horses raced daily on the turf course, but the problem was fewer thoroughbred horses in California. 2nd Vice-Chairman Rosenberg asked if Humboldt County Fair (Humboldt) was getting more host days in 2015. Mr.

Rainey stated Humboldt received one more for 2014, increasing the number of host days to 4, which would stay the same for 2015. Willy Pelote, representing Cal Expo, stated the Cal Expo Board was unanimous in its support for three weeks of Cal Expo racing, running concurrent with the State Fair. He spoke about recent changes in Cal Expo management and how it would move forward with three weeks of racing, and how it would positively impact the industry. Joe Morris, of the Thoroughbred Owners of California (TOC), stated the TOC preferred option B, where GGF would keep the same schedule it had run since 2011. He said taking a week away from GGF would cost GGF about \$500,000 in commissions, and owners about \$100,000 in purses. Mr. Morris said GGF stabled the majority of horses in Northern California which helped keep the fair circuit going. He stated the TOC continued to be in favor of fairs running their racing concurrent with their fairs. Chairman Winner stated the discussion should be limited to option A. Commissioner Beneto stated that Santa Rosa decided to race three weeks, therefore option B should no longer be considered. 1st Vice-Chairman Derek asked if the heat index rule would be in place in time for the proposed longer race meet at Cal Expo. Dr. Rick Arthur, Medical Equine Director, stated the heat index rule was already in place. Charlie Daugherty, of the California Thoroughbred Trainers (CTT), stated the CTT polled Northern California trainers and 90 percent of those that responded stated they would like to see the 2015 schedule stay the same as the 2014 calendar. Chairman Winner stated that the CTT was part of the negotiation that reached a compromise to approve the 2014 schedule, and that compromise included three weeks of racing for Cal Expo on the 2015 Calendar. Alan Balch, of the CTT, said the Board's decision should be based on fairness and the welfare of the horses. There was no compelling reason to change the schedule, and Santa Rosa's weather and turf course made for a safer race meet. Chairman Winner said Santa Rosa was still running three weeks, just shifted a week later. Mr. Balch stated

Santa Rosa had not definitively committed to running three weeks if one of the weeks was without its concurrent fair. Chris Korby, representing the California Authority of Racing Fairs (CARF), stated CARF supported the ad hoc committee's recommendations. He said if Santa Rosa chose not to run three weeks CARF would also support option B. 2nd Vice-Chairman Rosenberg asked if CARF supported the committee's recommendations because Santa Rosa was no longer a member of CARF. Mr. Korby stated CARF supported both fairs racing three weeks. 2nd Vice-Chairman Rosenberg asked why CARF supported Santa Rosa moving its race meet to a week later. Mr. Korby said the proposed calendar would bring some balance to the Northern California Schedule by returning a week in August to the fairs. He stated GGF received a windfall of race days when Bay Meadows closed and was now racing almost nine months a year. Mr. Korby said fairs were going to play a bigger part in the future of California horse racing as large private tracks struggled to stay open. 2nd Vice-Chairman Rosenberg stated the so called windfall in race dates GGF received did not put it in a position where it could afford to lose a week of racing. He asked why CARF supported the proposed calendar when Humboldt shared some of its race dates with GGF. Mr. Korby stated CARF wanted Humboldt to race without shared dates, but that would mean GGF losing even more dates. He said CARF viewed the proposed calendar as a fair compromise. Mr. Korby stated CARF had done more to keep Humboldt open than most people were aware of, dating further back than the present discussion over Northern California race dates. He said there was strong opposition throughout the industry, not just from GGF, to Humboldt running without overlap. Commissioner Krikorian asked if CARF had any evidence that the industry was better off running a week at a fair versus running that same week at GGF. Mr. Korby said it would depend on the criteria for the comparison. Commissioner Krikorian stated handle and attendance were good barometers of

race meets. Mr. Korby said economic impact should be measured by more than handle and attendance, such as the role of the entity in the community, job generation, and its role in the viability to the industry. Commissioner Beneto stated the fairs also had satellite facilities. Mr. Korby said a large part of GGF handle was generated from the satellite facilities located at fairs. Executive Director Baedeker stated staff had compared potential handle for the proposed 2015 calendar with the handle from 2013, and there was a gain of \$700,000 in total handle with the proposed 2015 calendar. Commissioner Choper asked if Santa Rosa would commit to racing three weeks in 2015 if the Board approved the proposed 2015 calendar. Richard Lewis, of Santa Rosa, stated Santa Rosa intended on racing three weeks; however, it could change based on the decisions of vendors and the carnival. Chairman Winner stated the discussion on the calendar had been going on for months. He asked if Santa Rosa had discussed the potential calendar change with its vendors. Mr. Lewis said there were preliminary discussions and the vendors had not yet committed to anything, but it was likely that they would. Commissioner Auerbach stated with the consolidation of race tracks, Santa Rosa's turf course had become very important. She encouraged Santa Rosa to commit to a third week of racing in 2015; it could then use the financial results of 2015 in the discussion for the Northern California calendar beyond 2015. Mr. Lewis stated he would do everything he could to ensure Santa Rosa raced three weeks, but the final decision would be up to the Sonoma County Fair Board. Commissioner Beneto said he contacted the two biggest vendors for Santa Rosa, the carnival and Ovations, and they both said the move would not create issues. Commissioner Krikorian stated if Santa Rosa would not commit to three weeks until the Board decided on a calendar, the Board needed to agree on an alternative calendar in case Santa Rosa decided against running a third week. Mr. Lewis stated he believed Santa Rosa would get the agreements with the vendors for 2015, but if it was a

Proceedings of the Regular Meeting of March 21, 2014

7

losing proposition for them in the future, the commitments might change. 2nd Vice-Chairman Rosenberg asked if the Board could change the calendar if Santa Rosa decided to not run three weeks. Robert Miller, CHRB General Counsel, stated the Board could change the calendar. Lisa Carreno, representing Santa Rosa, stated it would be complicated to move the fair in 2015 so that it ran all three weeks concurrent with the proposed race schedule. She asked that the Board base its decision on a more in depth analysis than just a comparison of total handle. Chairman Winner said the Board had received a lot of economic analysis from various parties and would consider all the data in its decision. He asked Ms. Carreno if she could commit Santa Rosa to running its fair during all three weeks of its race meet. She said she could not. Jim Morgan, representing Humboldt, stated Santa Rosa, Cal Expo, and GGF would all survive any Board decision on the Northern California 2015 calendar; however, if the Board chose to approve the proposed calendar, Humboldt would not survive. He said Humboldt was the only racing fair that had race day overlap, and the host status given to Humboldt was for Wednesday and Thursday, which had daily commissions of \$20,000, compared to \$100,000 on weekend days. 2nd Vice-Chairman Rosenberg asked if Humboldt opposed all of the proposed schedules. Mr. Morgan stated Humboldt approved of the new option B where it had a week of racing without overlap. Commissioner Beneto said that option was off the table as Santa Rosa was racing three weeks. Chairman Winner stated option B should not be taken off the table unless Santa Rosa could commit to racing three weeks with a concurrent fair. Mr. Morgan said Humboldt would support the new option B because there was no overlap and the race meet ended before school started. Commissioner Choper asked about Cal Expo's issue with the State Fire Marshal. Rick Pickering, representing Cal Expo, stated plans had been developed that were awaiting the State Fire Marshal's approval, and a budget for the renovations had been set aside.

He said the stable employees were now quartered in the RV park. Chairman Winner said the situation was an added burden to a group that already had it hard enough. He asked if Cal Expo would be willing to offset the living expenses of the backstretch workers if the issue was not solved by the 2015 thoroughbred meet. Mr. Pickering stated Cal Expo could for the thoroughbred meet; however, for the harness racing Watch and Wager leased the entire area and would need to be involved. 2nd Vice-Chairman Rosenberg asked if Cal Expo could find a way for employees to quarter near the horses during the meet for the safety of the horses. Mr. Pickering stated Cal Expo's goal was to accommodate the employees that support horse racing. 2nd Vice-Chairman Rosenberg asked how Cal Expo hoped to sustain its average attendance while adding a week of racing. Mr. Pickering said adding the third week would increase horse population and attendance, as it did when Santa Rosa added a third week. Chairman Winner asked if Cal Expo could commit to offset the costs for the 2014 thoroughbred meet, as it already had for the 2015 meet. Mr. Pickering stated Cal Expo would. 2nd Vice-Chairman Rosenberg asked if Cal Expo could commit to facilitate grooms staying near the horses in 2014. Mr. Pickering said Cal Expo could if the State Fire Marshall approved it. Chairman Winner asked for Cal Expo to have an alternative plan in case the State Fire Marshal did not approve the plan. Mr. Pickering stated any other plan would also have to be approved by the State Fire Marshal. Russell Stiger, representing California State Senator Darrel Steinberg, stated the Senator was in full support of Cal Expo receiving the third week of race dates. Warren Smith, of the Sacramento Republic Football Club, stated his support for Cal Expo receiving the third week of race dates. He said the Sacramento Kings and the Sacramento River Cats were examples of how Sacramento was a place where teams had strong attendance; it was a place where horse racing could grow. Rich Byrum, of Butler Amusements, stated his support for Cal Expo receiving the

third week of racing. Kula Koenig, representing Assemblyman Roger Dickinson, stated Assemblyman Dickinson's support for a third week of racing at Cal Expo. Pamela Howard, of the Friends of the California State Fair; Doug Warne, of the Sacramento Hotel Association; and Christopher Schick, of Watch and Wager, stated its support for Cal Expo receiving the third week of racing. Mr. Schick stated Watch and Wager took the issue of horse safety very seriously. He said it now had a night watch person dedicated to the backstretch in addition to the regular roving patrols. Chairman Winner stated he hoped Mr. Schick could be present at the next Jockey and Driver Welfare Committee meeting which would be looking into the recent accident at Cal Expo. Mr. Schick stated he would be there. Commissioner Auerbach asked how many horses were being patrolled by the single security guard on the Cal Expo backstretch. Mr. Schick stated about 480. Chairman Winner asked if the San Joaquin County Fair (Stockton) could commit to run the fair concurrent with the race meet. Brian May, representing Stockton, stated there were planned events running concurrent with both weekends of the Stockton race meeting. Kelly Olds, of Stockton, stated the first weekend was a western festival/carnival, and the second weekend had a Mexican fiesta theme. Chairman Winner asked if there were carnival rides and animal activities. Mr. Olds stated there would be a carnival, but the Junior Livestock Auction was moved to June, when the schools were out of session. Commissioner Benito **motioned** to approve the allocation of the Northern California 2015 racing calendar recommended by the ad hoc committee (pg. 3-3 to 3-6 of the Board package):

- Alameda County Fair in Pleasanton (June 17 through July 6)
- State Fair at Cal Expo (July 9 through July 26)
- Sonoma County Fair in Santa Rosa (July 30 through August 16)
- Humboldt County Fair in Ferndale (August 19 through August 30)

- Golden Gate Fields (August 21 through September 13)
- San Joaquin County Fair in Stockton (September 18 through September 27)
- Big Fresno Fair in Fresno (October 1 through October 12)
- Golden Gate (October 15 through December 20).

1st Vice-Chairman Derek requested to amend the motion to be contingent on Cal Expo retaining the proper night safety backstretch personnel. Commissioner Beneto **seconded** the amendment, which passed unanimously. 1st Vice-Chairman Derek **seconded** the amended motion. 2nd Vice-Chairman Rosenberg stated he had a problem voting for a Northern California calendar that was not supported by the owner and trainer organizations, or GGF. The motion **carried**, with Commissioner Auerbach and 2nd Vice-Chairman Rosenberg voting “no”.

REPORT FROM THE DEPARTMENT OF FINANCE, OFFICE OF STATE AUDITS AND EVALUATIONS, TO ADVISE THE BOARD OF THE OPERATIONAL AUDIT BEING CONDUCTED BY THE DEPARTMENT OF FINANCE.

Susan Bodkin stated the Department of Finance, Office of State Audits and Evaluations (DOF), had begun performing its operational audit of the CHRB. She said it would address the management and Board oversight of the operations, accounting, hiring and promotions, contracting, and licensing. Ms. Bodkin stated the audit would be completed in the summer of 2014. 2nd Vice-Chairman Rosenberg asked why and under what authority was the audit taking place. Ms. Bodkin stated the audit was requested by the Secretary of the Business, Consumer Services, and Housing Agency, of which the CHRB was a department. She said the DOF had fiduciary responsibility over all state government operations throughout California. 2nd Vice-Chairman Rosenberg asked if the audit was primarily financial. Ms. Bodkin stated it was not. Commissioner Krikorian asked when the last time such an audit was performed on the CHRB.

Ms. Bodkin stated she was not aware that such an audit had ever been done. She stated there had been a partial review of the satellite percentages when satellite wagering was instituted in the 1980's, and a couple of other small reviews on management and enforcement of certain rules. Commissioner Krikorian asked how far back the audit would go. Ms. Bodkin said, in general, it would only go back to 2013. 2nd Vice-Chairman Rosenberg asked who paid for the audit. Ms. Bodkin stated it was paid for by the CHRB. Robert Miller, CHRB General Counsel, stated the audit was not in the approved 2014 budget; items were shifted to be able to fund it. Assistant Executive Director Jacqueline Wagner stated the funds had been allocated without impacting the budget in any negative way. 1st Vice-Chairman Derek asked how much the audit would cost. Ms. Bodkin stated the estimated cost was \$300,000. Executive Director Baedeker stated the audit could not have come at a better time for him. He said the review of CHRB operations had been helpful, and could end up bringing about more efficient ways to do business. Commissioner Krikorian stated it was a good thing; however, he wished it went back further than 2013 for a clearer picture of operations. Ms. Bodkin stated the audit was only for current operations; if there was an issue with how things were being done the audit would go further back to find where the issue began. Ms. Wagner stated she wished to assure the Board that no money was taken out of the Equine Medical Program budget to fund the audit.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE SCHEDULE OF STAKE RACES HISTORICALLY RUN IN SOUTHERN CALIFORNIA.

Commissioner Auerbach stated she met with Rick Hammerle and Keith Brackpool, representatives of Santa Anita Park. They identified the five races of concern and found room for them on the schedule. She said the Inglewood Stakes, a grade III stakes, would be run on

April 25, 2014, under the new name: the Last Tycoon Stakes; the Laz Barrera, also grade III, would be run on May 10, 2014; the Beverly Hills Stakes, grade III, would be run at the Del Mar fall race meeting; the Moccasin Stakes would also be at the Del Mar Fall race meeting; and the Alcatraz Stakes would be run at Golden Gate Fields.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE INSURANCE COVERAGE FOR JOCKEYS IN CALIFORNIA INCLUDING THE LIABILITY INSURANCE POLICIES PROVIDED BY CALIFORNIA RACE TRACKS AS WELL AS THE CALIFORNIA MANDATED WORKERS COMPENSATION PROGRAM.

Barry Broad, of the Jockeys' Guild, stated California horse racing had workers' compensation as well as catastrophic injury insurance, carried by AIG, which acted as a supplement for permanent disability; however, the Department of Insurance ruled that the catastrophic injury insurance policy was not consistent with California law. He said the catastrophic injury policy was needed to compensate above and beyond the direct costs of injury for jockeys disabled in a horse racing accident. Mr. Broad asked the Board to write a letter to the State Insurance Commissioner, requesting a resolution that would allow the horse racing industry to keep the catastrophic injury insurance that it has had for decades. Commissioner Choper asked if the workers' compensation was affected by the Department of Insurance ruling. Mr. Broad stated the ruling did not affect the workmens' compensation. Chairman Winner stated the Jockeys' Guild should produce the letter for the Board to review. Brad McKenzie, of Finish Line Self-Insurance Group, stated the industry's workers' compensation covered 100 percent of the cost of injury, with no cap on costs. The catastrophic insurance from AIG was not gap coverage, but a kind of bonus coverage, beyond the costs associated with permanent disability. Mr. Broad stated the portion of workers' compensation that covered the costs associated with medical coverage had no cap; however, the

indemnity portion which covered lost wages associated with permanent disability, did have a cap.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING**, TO: 1) DELETE SUBSECTION 1433(B) WHICH REQUIRES RACING ASSOCIATIONS RUNNING FOUR WEEKS OR MORE OF CONTINUOUS THOROUGHBRED RACING IN A CALENDAR YEAR TO INSTALL A POLYMER SYNTHETIC TYPE RACING SURFACE; 2) REVISE THE APPLICATION TO INCLUDE THE APPLICANT'S PRIOR TWO YEARS OF STAKE SCHEDULES; 3) ADD THE TAKEOUT RATE ON THE PROPOSED PARI-MUTUEL WAGERING SCHEDULE; 4) COLLECT THE NAME OF THE RACING VETERINARIAN, THE HOURS THE RACING VETERINARIAN WILL BE ONSITE DURING MORNING WORKOUTS, TRAINING AND DURING RACING HOURS.

Chairman Winner stated there were two parts of the amendment to Rule 1433, Application for License to Conduct a Horse Racing Meeting. The first was to remove the requirement of a synthetic track. He stated the requirement was obsolete and the Board should remove it instead of continuing to issue waivers to associations that wished to return to dirt tracks. Executive Director Baedeker stated the second part of the amendment would add language to the application requesting the previous year's stakes schedule and wagering schedule. He said doing so would alleviate the confusion about changes in the schedules from year to year. Commissioner Auerbach stated she supported the language requesting the previous year's schedules. Commissioner Krikorian stated there needed to be a rule that required voided saddle cloths on all stakes races. Commissioner Auerbach said there was a requirement for voided saddle cloths in the race agreement. She suggested the owner's organizations might better handle the issue. Assistant Executive Director Jacqueline Wagner stated the requirement for voided saddle clothes could be added to 1433, but suggested there might be a better place to add it to the rules. Commissioner Krikorian stated the associations had been asked before, and they

do it for a while, but then the issue returns. He said if the voided saddle clothes were required the problem would go away. 2nd Vice-Chairman Rosenberg stated Rule 1433 was not the best place for requiring voided saddle clothes. Commissioner Choper **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to CHRB Rule 1433. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION**, TO 1) REQUIRE THAT AUTHORIZED BLEEDER MEDICATION BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS; 2) INCLUDE A PRESCRIPTION FOR FUROSEMIDE (LASIX) AS PART OF THE CHRB FORM -194 AUTHORIZED BLEEDER MEDICATION REQUEST AND PRESCRIPTION.

Dr. Rick Arthur, Equine Medical Director, stated the Board had recently initiated a 45 day comment period for the amendment to Rule 1845, Authorized Bleeder Medication; however, because of comments from the California Veterinary Medical Board (VMB) citing conflicts with California statute and the California Code of Regulations, changes to the amendment were needed. He said the VMB argued the amendment violated the Veterinary Medical Practice Act by interfering with the veterinarian-client/patient-relationship (VCPR). To resolve the issue a prescription had been added to CHRB Form-194, Authorized Bleeder Medication Request. Alan Balch, of the California Thoroughbred Trainers (CTT), stated the trainers supported the proposed amendment to Rule 1845, but only if security or surveillance was increased. He stated the CTT also had issues with the wide allowable range of furosemide, who would choose the dosage, and who would be responsible for improper administration. Mr. Balch said generally speaking the trainers would be ultimately responsible, and it was in their interest that these issues were resolved. Jim Cassidy, of the CTT, stated there was a need for clarification on administration

Proceedings of the Regular Meeting of March 21, 2014 15

time; he asked if there would be a grace period to allow for all the horses scheduled to be treated at the same time. Mr. Balch stated the practicing veterinarians were able to treat at approximately the same time, depending on the race time; but with the proposed protocol, some horses could have an advantage depending on the time of their treatment. Dr. Arthur stated there was already a rule preventing the administration of furosemide within four hours of race time. He said the proposed protocol had been used without issue during the Breeder's Cup, when there were 14-horse fields; the time between the first horse treated and the last horse treated was never more than 15 minutes. Dr. Arthur stated the protocol proposed by the amendment had been proven to work in both Kentucky and New York. Chairman Winner asked about the issue of security. Dr. Arthur agreed that there needed to be an increase in backstretch security but the argument for increased security should not be tied to the proposed amendment. Mr. Cassidy asked about the question of accountability when there was a mistake with the third party administration. He said Kentucky had issues with accountability when it switched to a similar protocol. Dr. Arthur stated the administering veterinarian would be licensed, and would be held accountable. He said Dr. Mary Scollay, the Equine Medical Director in Kentucky, had offered to share her experiences to help prevent the CHRB from making the same mistakes. Chairman Winner asked if the trainers should be indemnified for mistakes made by third party administration. Dr. Arthur stated he could not think of a situation where trainers would be held accountable for a mistake by the third party veterinarian. Chairman Winner said trainers were typically held accountable for anything that occurred in their barn. Dr. Arthur said under current procedures if a trainer told a veterinarian to administer furosemide and the horse never got the shot, it was the veterinarian that was fined for the mistake, not the trainer. He said current regulations already called for a representative of the trainer to be present during furosemide

administration, but they were often absent. Mr. Balch stated the Breeder's Cup utilized a large staff of veterinarians and veterinarian assistants, and was not comparable to day-to-day racing. Annemarie Del Mugnaio, representing the VMB, stated the VMB would not have a formal position on the current amendment until it met to discuss it on April 23 and 24, 2014; however, it appeared there were still issues of conflict with existing statutes and regulations including the VCPR. She requested the CHRB work with the VMB to resolve the issues with the amendment, especially the language in conflict with existing statute. 2nd Vice-Chairman Rosenberg asked if the VMB had been involved in approving the language in the revised amendment. Dr. Arthur stated Steve Schwartz, representing the Breeder's Cup, had discussed the amendment with the VMB. Ms. Del Mugnaio agreed the VMB had been contacted by Mr. Schwartz, but there had not yet been an opportunity to discuss the issues with the amendment. Commissioner Choper stated the 45 day comment period could be used to make the needed changes. Ms. Del Mugnaio stated it might be easier to deal with the issues before the notice to avoid the extra work of having all of the discussions on the record. Commissioner Auerbach asked why Ms. Del Mugnaio called furosemide a dangerous drug. Dr. Grant Miller, representing VMB, stated Business and Professions Code, Section 4022 defined a dangerous drug as a prescription only medication. Commissioner Auerbach stated the word dangerous had a different connotation outside of the medical field; she said for clarification purposes furosemide should be called a prescription medication if that was what was meant. Valerie Fenstermacher, representing the VMB, stated there were clear conflicts between the proposed amendment and the VCPR, which was defined by the Veterinary Medicine Practice Act (VPA). She stated the associated prescription form did not meet the requirements in the California Code of Regulations Title 16, Division 20, section 2032.2, Written Prescriptions; there was also an issue with veterinarians

dispensing prescriptions as it conflicted with Business and Professions Code, Section 4170. Chairman Winner stated the development of the proposed amendment had been going on for two years. He asked why the VMB was raising issues so late in its development. Ms. Fenstermacher stated the VMB first became aware of the amendment in July, 2013, and had been actively following its development since. Dr. Arthur stated if the proposed amendment was in violation of the VPA, then so were the current procedures for furosemide administration. He said the Board should initiate the 45-day comment period and see what the VMB had to say on the record. 1st Vice-Chairman Derek agreed that the Board should initiate the 45-day comment period. 2nd Vice-Chairman Rosenberg stated initiating the 45-day comment period might be premature. He said finding resolution before going on the record would be the better option. Dr. Arthur stated both boards were under the same agency, which could facilitate discussions. 1st Vice-Chairman Derek **motioned** to initiate a 45-day public comment period regarding the proposed amendment to CHRB Rule 1845. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

REPORT FROM THE STEWARD'S COMMITTEE.

Chairman Winner stated the primary item of discussion at the Steward's Committee was consistency in decision making. He said it was a robust discussion, the California Thoroughbred Trainers were in attendance, and progress was made.

MEETING ADJOURNED AT 2:00 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

APRIL 25, 2014
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

APRIL 25, 2014
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE CALIFORNIA
EXPOSITION AND STATE FAIR (Harness) IN THE AMOUNT OF \$ 16,157.49 TO FOUR
BENEFICIARIES.

Regular Board Meeting
 April 25, 2014

ISSUE

California Exposition and State Fair (Cal Expo) is requesting approval to distribute the remaining balance of its race day charity proceeds, which were generated during the spring 2008 to spring 2012 harness race meetings, operated by Cal Expo. The total race day charity proceeds for the 2008 to 2012 harness race meetings were \$39,674.06. Due to a CHRB staff inquiry, it was revealed that previous Cal Expo management failed to obtain Board approval to make charity race day distributions, and between 2008 and 2010 distributed \$23,516.57 of the \$39,674.06. Current Cal Expo management has requested approval to distribute the remaining \$16,157.49. Table 1 is the amount previously distributed. Table 2 shows the proposed race day charity distribution.

Table 1

Charity	Amount Distributed
Friends of the Fair*	4,701.64
Racetrack Chaplaincy of America*	<u>18,814.93</u>
Total	<i>23,516.57</i>

Table 2

Charity	Amount Proposed
Grayson Jockey Club Research Foundation	4,255.28
Edwin J. Gregson Foundation	1,983.70
Winners Foundation	1,983.70
Disabled Jockeys Fund	<u>7,934.81</u>
Total	<i>16,157.49</i>

*Friends of the Fair and Racetrack Chaplaincy of America charity distributions were made from 2008 to 2010.

Staff notes that 88% of the charity distribution is to Equine Related Charities.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each

licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



CAL EXPO

February 3, 2014

Mr. Rick Baedeker
 Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

RE: Request for Formal Approval of Charitable Distributions from Harness Racing

Dear Mr. Baedeker:

It is respectfully requested that the California Horse Racing Board formally approve the distribution of charitable funds generated during Cal Expo's Harness Race Meets. The new management team at Cal Expo greatly appreciates your assistance as we discover items of this nature and move forward to address them in a timely and prudent manner. Chart A outlines the amount of charitable funds that were generated. Chart B outlines the charitable distributions that have already been made. Chart C outlines the proposed distribution of the remaining charitable funds.

CHART A

\$39,674.06 in charitable funds were generated during the period when Cal Expo was the operator of harness racing.

Harness Racing Year	Charity Funds Generated
2008	\$ 9,138.33
2009	\$ 10,496.16
2010	\$ 8,550.33
2011	\$ 7,328.23
2012	\$ 4,161.01
Total	\$ 39,674.06

CHART B

\$23,516.57 in charitable funds have already been distributed to the identified charitable organizations in 2008, 2009 and 2010.

Harness Race Meet	Charity	Amount Distributed
Spring 2008	Friends of the Fair	\$1,190.82
	Racetrack Chaplaincy of America	\$4,770.00
Fall 2008/Spring 2009	Friends of the Fair	\$2,320.00
	Racetrack Chaplaincy of America	\$9,274.93
Fall 2009/Spring 2010	Friends of the Fair	\$1,190.82
	Racetrack Chaplaincy of America	\$4,770.00
Total		\$23,516.57

CHART C

\$16,157.49 is remaining to be distributed as respectfully proposed below.

Proposed Charitable Recipient	Amount Proposed
Grayson Jockey Club Research Foundation (a)	\$4,255.28
Edwin J. Gregson Foundation (b)	\$1,983.70
Winners Foundation (c)	\$1,983.70
20% for disabled jockeys* (d)	\$7,934.81
Total	\$16,157.49

**It is Cal Expo's understanding that ongoing discussions are being held regarding the fact that these charitable funds were generated by harness racing, but may be required to be donated to support "non-harness" racing disabled jockeys. Consequently, Cal Expo appreciates any guidance that the CHRB may provide in how best to distribute the related \$7,934.81.*

By combining the amounts already distributed with the amounts yet to be distributed, the following represents the percentage of charitable funds to "equine related" and "non-equine related" charities.

Support to Equine Related Charities	\$34,972.42	88%
<u>Support to Non-Equine Related Charities</u>	<u>\$ 4,701.64</u>	<u>12%</u>
Total Distributions	\$39,674.06	100%

Please note Watch and Wager, LLC. became the official operator of harness racing at Cal Expo in mid-2012. Consequently, Watch and Wager has become responsible for charitable racing distributions since the time that it assumed operation of harness racing at Cal Expo.

Thank you in advance for the Board's review and action of the above outlined charity contributions. We appreciate your assistance as we discover and seek to rectify items from our past. Please let me know if you or your staff require any additional information. You may reach me directly at (916) 263-3280 or sbrown@calexpo.com.

Sincerely,



Samantha Brown
Chief Financial Officer
California Exposition & State Fair

CHRB ANALYSIS

California Exposition & State Fairs 2008 to 2012 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	20% for Disabled Jockeys*^	7,934.81	20%	minimum of 20%	d
2	Grayson-Jockey Club Research Foundation*	4,255.28	11%		
3	Racing Track Chaplaincy*	18,814.93	47%		
4	Winners Foundation*	1,983.70	5%	minimum of 5%	c
5	Edwin J. Gregson Foundation*	1,983.70	5%	minimum of 5%	b
6	Friends of the Fair	4,701.64			
	Total	\$ 39,674.06	88%		e
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch			
	d	20% to nonprofit organization that benefits qualified disabled			
	e	overall a minimum of 50% of the charity distribution should go to			
	* Associations related to horse racing industry				
	Background information for each organization is attached.				

^= Harness industry does not have jockeys. Harness drivers are not consider jockeys, currently there is not a law and/ or a fund set up to benefit the disable drivers. The CHRB will collect this amount, pending a decision by the board on how to handle these monies.

STAFF ANALYSIS
 DISTRIBUTION OF THE RACE DAY CHARITY PROCEEDS OF THE WATCH AND
 WAGER LLC RACING ASSOCIATION IN THE AMOUNT OF \$5,200 TO FOUR
 BENEFICIARIES.

Regular Board Meeting
 April 25, 2014

ISSUE

Watch and Wager LLC (Watch and Wager) is requesting approval to distribute the remaining balance of its 2012 fall to 2013 spring racing meetings race day charity proceeds. The total race day charity proceeds were \$5,200. Due to an inquiry by CHRB staff, it was revealed that Watch and Wager failed to obtain Board approval to make charity race day distributions in 2013, and distributed \$2,400 of the \$5,200 collected in charity race day proceeds. Watch and Wager is requesting approval to distribute the remaining \$2,800. Table 1 is the amount previously distributed. Table 2 shows the proposed distribution of the balance of the Watch and Wager charity race day proceeds.

Table 1

Charity	Amount Distributed
Sacramento Horsemen's Association*	1,400
California Historical Artillery Society*	<u>1,000</u>
Total	2,400

Table 2

Charity	Amount Proposed
Standardbred Retirement Foundation	1,100
CA. Harness Horsemen's Benevolent Foundation	300
Winners Foundation	300
Disabled Jockeys Fund	<u>1,100</u>
Total	2,800

*Sacramento Horsemen's Association and California Historical Artillery Society charity distributions were made in 2013.

Staff notes that 100% of the proposed charity distributions are made to equine related charities.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing

days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS
Watch and Wager 2012/2013 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	20% for Disabled Jockeys*^	1,100.00	21%	minimum of 20%	d
2	California Historical Artillery Society*	1,000.00	19%		a
3	Standardbred Retirement Foundation*	1,100.00	21%		a
4	Winners Foundation*	300.00	6%	minimum of 5%	c
5	CA Harness Horsemen's Benevolent Foundation*	300.00	6%	minimum of 5%	b
6	Sacramento Horsemen's Association*	1,400.00	27%		
	Total	\$ 5,200.00	100%		e
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related			

*= Associations related to horse racing industry

^= Harness industry does not have jockeys. Harness drivers are not consider jockeys, currently there is not a law and/ or a fund set up to benefit the disable drivers. The CHRB will collect this amount, pending a decision by the board on how to handle these monies.

Background information for each organization is attached.

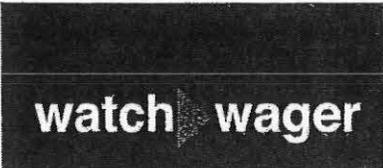
Sacramento Horsemen's Association: non-profit regional equestrian club established in 1942, hosts horse shows and provides boarding. (contact phone: 916-470-1337; <http://www.sachorsemen.com>)

California Historical Artillery Society: non-profit, educational organization dedicated to preserving the life and times of historic artillery. CHAS has been recognized as the most authentic and highly trained horse drawn artillery unit in the country, second only to the present day United States Army's permanent demonstration detachment at Fort Sill, Oklahoma. Retired Standardbreds, including some that raced at Cal Expo in the past, are members of the horse drawn artillery unit. (<http://www.warhorse.org>)

Standardbred Retirement Foundation: non-profit, tax exempt organization created to care for, rehabilitate, and secure lifetime adoption of non-competitive Standardbred racehorses, to ensure their proper care with follow-up, and combine the needs of youth at risk with these horses in therapeutic equine programs to benefit both. (contact phone: 732-446-4422; <http://www.adoptahorse.org>)

CA Harness Horsemen's Benevolent Foundation: non-profit established by the CA Harness Horsemen's Association to provide funding and support for backstretch employees in need. (contact phone: 916-263-7888)

Winners Foundation: established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through this group, which has aided and guided thousands of individuals. (Contact phone: 626-574-6498; <http://winnersfoundation.org>)



Operating Harness Racing at Cal Expo

March 4, 2014

Ms. Jackie Wagner
 Assistant Executive Director
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

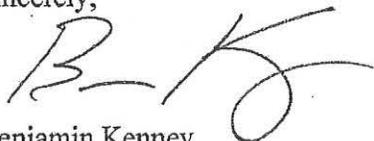
Dear Ms. Wagner:

Watchandwager.com, LLC has allocated charity day proceeds from our 2012/2013 Race Meet (11/2/2012 – 5/25/2013) as set forth below for the California Horse Racing Board's consideration and approval:

Sacramento Horsemen's Association (a)	\$1,400.00
California Historical Artillery Society (a)	\$1,000.00
Standardbred Retirement Foundation (a)	\$1,100.00
CA Harness Horsemen's Benevolent Foundation (b)	\$300.00
Winners Foundation (c)	\$300.00
CHRB (to allocate to disabled jockeys) (d)	\$1,100.00
 Total:	 \$5,200.00
 Equine Related Charities	 \$5,200.00
Non-Equine Related Charities	\$ 0.00
 Percentage of Equine Related Charities	 100%

Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information, please contact Kate Phariss or me.

Sincerely,



Benjamin Kenney
 CFO, Harness Racing at Cal Expo
 Watchandwager.com, LLC

STAFF ANALYSIS

April 25, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 18, 2014 THROUGH JULY 6, 2014

Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton:

- June 18 through July 6, 2014, or 12 days, the same as (Pleasanton) 2013. The fair proposes to race a total of 138 races. OTRA will be simulcasting on June 18, 25 and July 2, 2014 and live racing will begin on June 19, 2014.

Assembly Bill 1303, (Hall), Chapter 7, Statutes of 2014 added Business and Professions Code section 19549.16, which authorizes the Board to allocate racing days to a fair in the northern zone to be conducted by the fair, or at the fair's request, allow the Board to license a racing association that was licensed to conduct racing meetings in California before 2010, to operate the fair's race meeting during its allocated dates. OTRA conducted a thoroughbred horse racing meeting at Santa Anita in 2009 and a race meeting at Hollywood Park in 2010.

June – 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4 H	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing Thursday through Sunday for three weeks. 10 races on Thursday and 12 races on Fridays, Saturdays, and Sundays.
- 2013 Race Meeting: Average number of runners per race (TB): 7.70
- 2013 Race Meeting: Average number of runners per race (Arabian): 8.00
- 2013 Race Meeting: Average number of runners per race (Quarterhorse): 6.11
- 2013 Race Meeting: Average number of runners per race (Mules): 7.00
- First post 1:15 p.m.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the Oak Tree Racing Association may request approval from the CHRB to allow an entry to run for purse only.
- Wagering program will use CHRB rules and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 races – Pick five on last 5 races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

- \$0.20 Pick 6 on last 6 races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers. 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires and Bet America - Lien Games.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted a hub agreement between BAM Software and Services LLC (BAM) and California Authority of Racing Fairs.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

- Inspection of backstretch worker housing has been requested and will be completed prior to race meet.
- Track safety inspection has been requested and will be completed prior to race meet.

ITEMS STILL NEEDED:

1. Thoroughbred Horsemen's agreement
2. California Thoroughbred Trainers agreement
3. Fire clearance

Outstanding items required before wagers can be accepted on **races conducted in California** from a resident of California:

1. Thoroughbred, Arabian, Quarterhorse and Mule horsemen approval of Bet America ADW agreement.
2. Thoroughbred horsemen approval of Monarch Content Management LLC [Xpressbet, TVG and Twinpires] ADW agreement.

Outstanding items required before wagers can be accepted on **races conducted outside of California** from a resident of California:

1. Verification that the signatory parties [BAM Software and Services LLC and California Authority of Racing Fairs] of the hub agreement have provided a copy of the hub agreement to the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted for each race conducted outside of California on which California residents may place advance deposit wagers pursuant Business and Professions Code section 19604(b)(2)(D).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.



April 8, 2014

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA

Dear Mr. Baedeker,

Please accept this as a formal request to the California Horse Racing Board to permit Oak Tree Racing Association to conduct live racing at Alameda County Fair during the dates allocated to Pleasanton. This request is made pursuant to Business and Professions Code Section 19549.16.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jerome P. Hoban", is written over the typed name.

Jerome Hoban
CEO

Cc: Oak Tree Racing Assn.
California Authority of Racing Fairs

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**Oak Tree Racing Association at Pleasanton
Alameda County Fair Agricultural Association
4501 Pleasanton Avenue, Pleasanton, CA 94566
Phone: 925-426-7600 Fax: 925-426-7599**

(Oak Tree Racing Assn mailing address after July 18, 2014)

201 Colorado Place, Arcadia, CA 91007

Phone: 626-833-2209 Fax: 626-574-0852

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **June 18 – July 6, 2014**

B. Actual dates racing will be held: **June 19, 20, 21, 22, 26, 27, 28, 29 & July 3, 4, 5, 6**

C. Dates racing will NOT be held: **June 16, 17, 23, 24, 30 & July 1. June 18, 25 & July 2 will be simulcast only days.**

D. Total number of racing days: **12**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Thurs-Sun

3. RACING PROGRAM

A. Total number of races: **138**

CHRB CERTIFICATION

Application received: **3/21/14**
Reviewed: 

Hearing date: **4/25/14**
Approved date:
License number:

B. Number of races by breed:

<input type="text" value="108"/>	Thoroughbreds	<input type="text" value="10"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

C. Number of races daily:

	Thurs 6/19	Fri 6/20	Sat 6/21	Sun 6/22	Thurs 6/26&7/3	Fri 6/27 &7/4	Sat 6/28 & 7/5	Sun 6/29 & 7/6
Thoroughbred	8	9	9	10	8	9	9	10
Other Breeds	2	3	3	2	2	3	3	2
Total	10	12	12	12	10	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Thoroughbred Stakes

Oak Tree Handicap Three-year olds & Upward - One Mile 1/8	\$100,000 Stakes (Guaranteed)	Sat, Jun21
Oak Tree Distaff CAL BREDS Three Year Olds & Upward F/M - Six Furlongs	\$100,000 Stakes	Sat, Jun28
Oak Tree Sprint Three-year olds & Upward - Six Furlongs	\$75,000 Stakes (Guaranteed)	Sat, Jul 4
Juan Gonzalez Memorial Overnight Stakes-36 th Running Fillies Two-years old - Five & ½ Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat, Jul 5
Everett Nevin Alameda County Stakes-53 rd Running Two-year olds - Five & ½ Furlongs	\$50,000 Added Overnight Stakes (Plus up to 15,000 to Cal-Breds)	Sun, Jul 6

Arabian Stakes

Grade III H.H. Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup Three Year-Olds and Upward - Six Furlongs	\$48,000 Added	Sat., Jul 5
H.H. Sheikh Fatima Bint Mubarak Ladies IFAHR Cup Three Year-Olds and Upward - Six Furlongs	\$41,000 Added Amateur Jockeys	Sat., Jul 5

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Weekdays</i>	<i>Fridays</i>	<i>Weekends</i>
Race # 1	1:15p.m.	2:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	2:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	3:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	3:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	4:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	4:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	5:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	5:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	6:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.	6:45 p.m.	5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

** As much as possible, we intend to align our post times to complement the Santa Anita and Los Alamitos post times*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the Oak Tree Directors: **Dr. Jack K. Robbins, Sherwood C. Chillingworth, Thomas R. Capehart, Dr. Rick Arthur, John H. Barr, Richard Mandella, Warren B. Williamson and Robert W. Zamarripa Sr.**
- B. Names of the fair directors: **Jared Amgott-Kwan, Jack Balch, Paul Banke, Jason Chin, Dawna Dowdell, Gordon Galvan, Patsy Gilbert, Frank Imhof, Jack Kavanagh, Janet Lockhart, Michael Mahoney, Bill McCammon, Jim McGrail, Chuck Moore, George Pacheco, Richard Sealana, Don Sherratt, Robert Silva, Craig Smith, Harvey Smith, John Smith, Naomi Wallace, Tim Weaver, Eric Wente, Robin Wilma, & Ron Zeno**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chair Frank Imhof, Vice Chair Jack Kavanagh, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Chuck Moore, Don Sherratt, John Smith, Naomi Wallace & Ron Zeno**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and Oak Tree Staff other than those listed in 12B, who will be listed in the official program:
- Alameda County Fair: **C.E.O. Jerome Hoban, Maintenance Operations Manager Ed Johnson, Director of Racing Jeanne Wasserman & Stable Manager Jim Burns**
 - Oak Tree Racing: **President John Barr, Vice-President Dr. Rick Arthur & Executive Vice-President Sherwood C. Chillingworth**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
- Jerome Hoban, C.E.O.**
4501 Pleasanton Avenue
Pleasanton, CA 94566
jhoban@alamedacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2013	\$23,462,680	82,874	12 Days
2012	\$25,157,259	94,572	13 Days
2011	\$26,456,203	95,213	13 days
2010	\$27,991,722	102,728	14 days
2009	\$31,941,942	107,607	15 days
2008	\$29,167,713	90,336	11 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$1,411,949
 Prior meet actual: \$1,280,949

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$117,662
 Prior meet actual: \$106,745

2. Overnight stakes:
 Current meet estimate: \$189,000
 Prior meet actual: \$380,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$15,750
 Prior meet actual: \$31,666

3. Non-overnight stakes:
 Current meet estimate: 275,000
 Prior meet actual: 0

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: 22,917
 Prior meet actual: 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,875,949
 Prior meet actual \$1,660,949

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$153,491
 Prior meet actual: \$135,833

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 4,309	\$ 4,184
TOC	\$ 9,455	\$ 8,368
NTRA	\$ 3,106	\$ 2,749
PCQHRA	\$ 2,143	\$ 1,879
CWAR	\$ -0-	\$ -0-
ARAC	\$ 3,978	\$ 3,521
AMRA	\$ 5,570	\$ 4,930
CHBPAPEN	\$ 14,183	\$ 12,552
CTHF	<u>\$ 14,183</u>	<u>\$ 12,552</u>
Total	\$ 56,927	Total \$50,735

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: \$2,086,367
 Prior meet actual: \$1,847,157

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$173,863
 Prior meet actual: \$153,959

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$1,383,168
 Prior meet actual: \$1,459,038

Average Daily Purse (7E ÷ number of days):
 Current meet estimate: \$115,264
 Prior meet actual: 121,586

- F. Purse funds to be generated from interstate handle:
 Current meet estimate: \$192,446
 Prior meet actual: \$203,002

Average Daily Purse (7F ÷ number of days):
 Current meet estimate: \$16,037
 Prior meet actual: \$16,916

- G. Bank and account number for the Paymaster of Purses' purse account:
 West America Bank (C.A.R.F.) TOC Account No. On file. Emerging Breeds Account No. On file.

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
684 (100 Portables available if needed)
- B. Minimum number of stalls believed necessary for the meeting:
2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,440**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Golden Gate Field – 1,440 stalls**

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Northern California Stabling and Vanning Fund (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).

884

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

\$7.91

- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No **(On File with CHRB)**

- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.20 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9
PK 6	(.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)		
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4		
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4		
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4		
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4		
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4		



March 31, 2014

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2014 Race Meet Application Review of the Alameda County Fair:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,

[Type text]

[Type text]



	\$2DD, \$10SF, \$.50 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

11B: The NCOTWINC agreement is current.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **July 6, 2014 (Closing Day of Alameda County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- E. Will "advance" or "early bird" wagering be offered? Yes No
- If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
Sport Tech (Anthony Lovell) Expiration: October 30, 2015.
Equipment description on file with Board.

10. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires & Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. To Be provided by CARF.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
- If yes, attach a copy of the approval. -To Be Provided by C.A.R.F.
 If no, explain the status of the approval.

March 10, 2014

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as ARAC's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2014, continuing through October 13, 2014.

As a condition of ARAC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez, ARAC

Chairperson



[Type text]

[Type text]



Pacific Coast Quarter Horse Racing Association

March 4, 2014

Chief Operating Officer
 CARF
 1776 Tribute Road, Suite 205
 Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as PCQHRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Quarter Horse races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to PCQHRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 18, 2014, continuing through October 13, 2014.

As a condition of PCQHRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. PCQHRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. PCQHRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Bud Alessio

President

[Type text]

[Type text]

March 5, 2014

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as AMRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 18, 2014, continuing through October 13, 2014.

As a condition of AMRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



[Type text]

[Type text]

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Bankers Casino, Salinas
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura
 Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2014 IMPORT TRACKS	
ARLINGTON	6/18-7/6
BELMONT	6/18-7/6
ASSINIBOIA	6/18-7/6
AUSTRALIAN RACING	6/18-7/6
BEULAH	6/18-7/6
CALDER RACECOURSE	6/18-7/6
CANTERBURY DOWNS	6/18-7/6
CHARLES TOWN	6/18-7/6
CHURCHILL DOWNS	6/18-7/6
DELAWARE	6/18-7/6

DOWNS AT ALBUQUERQUE	6/18-7/6
ELLIS PARK	6/18-7/6
EMERALD DOWNS	6/18-7/6
EVANGELINE DOWNS	6/18-7/6
FORT ERIE	6/18-7/6
GULFSTREAM	6/18-7/6
HASTINGS PARK	6/18-7/6
HAWTHORNE	6/18-7/6
INDIANA DOWNS	6/18-7/6
KEENLAND	6/18-7/6
KENTUCKY DOWNS	6/18-7/6
LAUREL	6/18-7/6
LONE STAR	6/18-7/6
LOUISIAN DOWNS	6/18-7/6
MONMOTH	6/18-7/6
MOUNTAINEER PARK	6/18-7/6
NYRA-AQUEDUCT	6/18-7/6
NYRA-BELMONT	6/18-7/6
NYRA-SARATOGA	6/18-7/6
PENN NATIONAL	6/18-7/6
PHILADELPHIA PARK (PARX)	6/18-7/6
PIMLICO	6/18-7/6
PRAIRIE MEADOWS	6/18-7/6
PRESQUE ISLE	6/18-7/6
RIVER DOWNS	6/18-7/6
SOUTH AMERICAN RACING	6/18-7/6
SUFFOLK DOWNS	6/18-7/6
SUNLAND PARK	6/18-7/6
SUNRAY PARK	6/18-7/6
TAMPA BAY	6/18-7/6
THISTLEDOWN	6/18-7/6
TURFWAY PARK	6/18-7/6
TURF PARADISE	6/18-7/6
UNITED KINGDOM	6/18-7/6
WOODBINE	6/18-7/6

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

CARF 2014 Meets

Commingled Locations

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The	Sports Creek Raceway
Bangor Raceway	Fonner	Meadows The (ADW) - PA regional	State Fair (Lincoln, NE)

Batavia	Freehold	Mobile Greyhound	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Monmouth Park	Suffolk Downs
Beulah Park	Global Wagering Solutions	Montana OTB	Pat's Pizza
Birmingham	Bwin International Ltd.	Monticello	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mountaineer Park	SunRay Park & Casino
Buffalo Raceway	Magna Bet	Mt. Pleasant Meadows	Tampa Bay Downs
Calder Racecourse	Racebets	Nassau Regional OTB	Taunton Acct Wagering
Canterbury Park	Greenetrack	New Jersey Casino Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	Newport Jai Alai	Thistledown
Charles Town Race Course	Gulfstream	NJ Mobile	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Northfield	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Cedar Downs OTB	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	Northville	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA	TVG Chester
Colonial Downs	Hoosier Park	NYRA Account Wagering	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Oaklawn	TVG Prairie
Columbus Raceway	Indiana Downs	Ocean Downs	Twin River Greyhound
Connecticut OTB	Evansville OTB	Panama	TwinSpires
Bradley Teletheater, Bristol	Clarkeville OTB	Penn National	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Penn National Telebet	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Peru	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philadelphia Park	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Philly Park Phone Bet	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Race Course	Western OTB
Willimantic	Kentucky OTB	Plainridge Telephone Wagering	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Player Management Group	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Account Wagering	Wyoming
Coushatta Casino	Lewiston OTB's	Pocono Downs and OTB	XpressBet
Paragon Casino	Lien Games	Portland Meadows	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Potawatomi Casino/ OTB	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Prairie Meadows	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Gateway (Phumelela)	
Pony Bar Simulcast Center	Skydancer Casino OTB	Premier Turf Club	
Tote Investment Racing	Lien Games ADW	Presque Isle Downs	
Randall James Racetrack	BetAmerica	Raceway Park	
Millenium Racing	BestFastNow	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
Corpus Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
Delaware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,

Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters

Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":
 N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:
 N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar	Full Card
Cal Expo	Harness Horses	Per CHRB Calendar	Full Card

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
 N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
 Clerk of Scales – **Kenneth Sjoldal**
 Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)**
 Film Specialist – **Danny Winick & Assistant Clerk of Scales**
 Horse Identifier – **Darrel Sparks**
 Horseshoe Inspector – **Jack Hammonds**
 Paddock Judge – **Dee Robinson**
 Patrol Judges – **Lisa Jones & Dee Robinson**
 Placing Judges – **Greg Brent & Cheryl White**
 Starter – **Todd Stephens**
 Timer – **Melody Truitt**
- B. Management officials in the racing department:
 Oak Tree Director of Racing – **Larry A. Swartzlander**
 Pleasanton Director of Racing – **Jeanne Wasserman**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Linda Anderson**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Niccoli Reporting
619 Pilgrim Dr.
Foster City, CA 94404
Telephone: 650-573-9339
pdqcsr@comcast.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 12/7/15)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/18)
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2018)

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**
Racetrack/Grandstand: Alameda County Sheriff Chief in Charge
Liaison Officer yet to be assigned (925-426-7525)

Item #13 A:**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Ed Johnson, Manager of Maintenance Operations	925-567-6043
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519
Roadway 12 Guard Shack, various personnel	925-426-7519

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Sergeant J.D. Nelson, Sheriff Liaison Officer	510-272-6901

Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

12 E - EXHIBIT A
EQUIPMENT

- A. 2 Sony SP870 Video Tape Recorders; 2 Panasonic MII Digital Video Tape Recorders
- B. Yamaha 1604 Audio Mixer
- C. Sierra Video systems Routing Switcher
- D. 6 DXC M-7 Cameras with lenses, viewfinders, pan heads, support equipment
- E. Window NT Running Lightware
- F. FORE-A Video Typewriter
- G. FORE-A Frame Sync
- H. AUX Frame Syuc
- I. VIDEO FLYER
- J. 4 Sony 9800 Video Tape Recorders
- K. Microtime IMPACT DVE
- L. GVG 200 with Chroma Key, Silhouette Key, Borderline Option
- M. Remote Production Vehicle
- N. Onboard Isolation Transformer & Voltage Regulator

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Racetrack/Grandstand: 8 Sheriff's Deputies

Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

N/A. Addressed in B1 above.

B. Number of security guards in the detention stall area during a 24-hour period.

One

C. Describe number and location of surveillance cameras in detention stall area.

Surveillance cameras at each end of the detention shed row and two in between.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.

B. Plan for enhanced surveillance for trainers with high-test results.

Trainer with high test results will be moved to the detention area.

C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

- C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

4 surveillance cameras monitoring this area

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, 510-504-3616.**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached



March 12, 2014

Christopher A. Seymour
Westmed Ambulance Service
2424 Whipple Rd.
Hayward CA, 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at cseymour@westmedambulance.com.

Best regards,

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour EMT-P, BA

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

December 30, 2013

California Horse Racing Board
1010 Hurley Blvd. Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2014

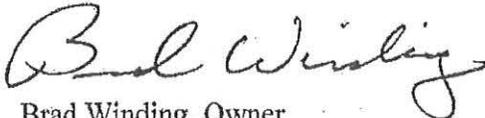
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
Golden Gate Fields
Turf Rescue LLC
4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Peter Wong, 925-997-0015 cell
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley-Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Vicki Hunter, Assistant Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
April 2, 2014 (scheduled fire inspection)
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self-Insured, see 14J attachment
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

Item #14 C:**ALAMEDA COUNTY FAIR EMERGENCY CARE AND MEDICAL FACILITIES**

Alameda County Fair as well as all Racing Fairs provides emergency care including comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital facilities.

Physical Resources & Staffing

The Alameda County Fair provides multiple levels of safety and medical support for its many racing participants. Specifically: an Ambulance, a fully equipped Alameda County Fire Department Paramedic Engine, a permanent First Aid building, a licensed Physician, Sheriff Deputies and security personnel, redundant communications system, local Fire Station, Horse Ambulance, etc...

Ambulance - A fully staffed and equipped ambulance is provided through a contract with Westmed Ambulance Service and located at the Track. Two Emergency Medical Technicians and one Paramedic from the Alameda County Fire Dept. will be on this on-track ambulance. This ambulance and crew are at the Track whenever horses are on the Track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care and transport to medical care facilities. This ambulance follows the horses during each race, at a safe distance. This is a competitively bid contract.

Fire Department Paramedic Engine – During the Live Race Meet, a fully equipped Alameda County Fire Department Paramedic Engine is parked roughly 100 feet from the Jockey's Clubhouse. The Engine is staffed with four County of Alameda Firefighters, including at least two licensed Paramedics. The Alameda County Fire Department has provided the attached 10 page listing of major equipment and supplies carried by its various Fire Engines.

Permanent First Aid Building – A permanent First Aid building is located approximately 100 feet west of the Jockey's Club House. During the Live Race Meet, the Alameda County Fire Department staffs the First Aid Building with a full Engine Company, which includes at least two certified Paramedics. The First Aid Building includes two beds, restrooms, receiving area, triage area, medical equipment, medications, etc... In addition to the array of emergency medical equipment and supplies on the Paramedic Fire Engine, the Alameda County Fire Department annually determines the equipment and supply needs of the First Aid Building.

Licensed Physician - During the Live Race Meet, a licensed Physician is on duty and is responsible for care on an ongoing basis for jockeys, track staff and allied personnel requiring non-emergency medical care. The Licensed Physician is also associated with Valley Care Hospital, which is located 2.7 miles from the Track.

Sheriff Deputies and security personnel – Around the clock protection of the Track, Barn Area and Grandstands are provided by numerous Alameda County Sheriff Deputies and trained Security Guards (both in uniform and undercover.) For security purposes, the Fair Association does not publish the precise details of its security plans.

Redundant Communications System – During the Live Race Meet and Annual Fair redundant communications are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The Fair also has an emergency response team which responds to all emergencies both medical as well as non-medical.

Local Fire Station – A fully staffed Fire Station is located immediately across the street from the Fairgrounds on Valley Avenue. This Fire Station provides emergency medical support as requested by the County of Alameda.

Horse Ambulance – The Alameda County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

07-000.1115

ALAMEDA COUNTY FIRE DEPARTMENT**MEMORANDUM**

DATE: June 1, 2013

TO: All Personnel

FROM: David Lord, Deputy Chief
Brian Caminada, Division Chief

SUBJECT: Fair Coverage

The Alameda County Fire Department will again provide fire and emergency medical coverage for the Alameda County Fair.

The event will be covered by 1 crew for both day and night hours. The four positions will be filled with overtime - 1 Captain; 1 Engineer; 2 Firefighters. Their assignment will be to staff the designated Fair Engine (FE) and EMS first aid station for the entire duration of the daily operational period of the Fair. *Two of the positions (any rank) assigned to the FE must be filled with paramedics.*

The FE will be stored behind station 17 for the duration of the Fair. It will depart and return back to station 17 at the end of the daily assignment.

This year, there will be a separate paramedic position dedicated to the race track during horse racing hours each Thursday, Friday Saturday and Sunday. The assigned times are from approximately noon through 6:00 pm on these days. This position is in addition to the 4 person crew covering the Fair and will be a currently licensed paramedic of any rank. This position will be assigned to ride on the Westmed ambulance with a set of ALS gear similar to that found on any ACFD engine or truck company. The Westmed ambulance is not an ALS transport unit and will not leave the fairgrounds. The primary function is to follow each race and remain in a position to render care on the track. In the event of an injury to any jockey, attendant, official or other employee affiliated with the track, the assigned ACFD medic with the BLS Westmed crew will request the response of the ACFD crew staffing the Fair engine. More specific description of the job duties and safety briefing will be provided to the assigned medic prior to beginning the shift. This position is not required to report to station 17 prior to arriving at the Fair. The paramedic receiving this assignment will arrive via POV through the red gate (Gate 12) and park in the ACSO dedicated parking area.

Memo 07-000.1115
 June 06, 2012
 Page Two

Fair Dates: June 19 through July 7, 2013
 Times: Tuesday through Thursday 11:00 am to 10:00 pm (main carnival open until midnight) **The Fair is closed every Monday**
 Friday through Sunday 10:00 am to 10:00 pm
 July 4th 10:00 am to 9:00 pm

Horse racing June 20-23; June 27-30; July 4-7

Fireworks shows every Friday @ 9:30 pm

Fair Engine Radio Identifier: To Be Determined

Radio Contact: Radio Channel TBD
 ACFD Control 2 to call additional resources including Paramedics Plus for medical transport

ACSO Fairground Command Post Emergency Phone number is 925-426-7525

- When the FE arrives at the fairgrounds, the Captain shall contact ACRECC on Control 2 and place the FE in service, available to respond.
- The Captain of the FE must also contact the ACSO Command Post and advise them of the engine's availability.
- The FE will stay in service until released by either the Fair Director or the ACSO Command Post.
- When the FE leaves the fair, they will contact ACRECC on Control 2 and place the FE out of service, unavailable to respond.

The FE shall arrive at the fairgrounds no later than 09:30 daily.

The FE crew will handle all fire and medical incidents at the fair and will be dispatched by the ACSO Command Post. It will not be necessary to contact ACRECC for these calls. ACRECC will reserve a TAC channel for internal Fair usage only during the run of the Fair.

If an ambulance or other resources are needed, the Company Officer or Division Chief will contact ACRECC on Control 2. This will include structure fires, hazardous materials incidents, and mass casualty responses. Dispatch will start an incident and assign an incident number. **If the IC requires additional resources, operate on ALCO TAC 6,8,10 or 14. This will allow a common TAC channel with LPFD. The address of the fairgrounds is 4501 Pleasanton Avenue.**

If additional resources are ordered, the Company Officer or Division Chief should give the type of incident, location on the fairgrounds, entry gate and staging area. Be sure to contact the ACSO Command Post and inform them of incoming resources. ACSO can be used to escort resources to the proper area.

Memo 07-000.1115

June 06, 2012

Page Two

The FE will be staged behind station 17 when not in service at the fairgrounds. Narcotics will be returned from the fairgrounds to station 17 each night for safekeeping and brought back to the Fair the next day.

Incident Reports: All ALS, Refusals of Care, or other emergency incidents will require an incident report. All incident reports will be completed at the fairgrounds, prior to the FE leaving the fairgrounds. Contact ACRECC and request an incident number.

A Division Chief will be on duty each day of the Fair from 10:00 am to 0000 hours.

During off hours, emergency responses will be handled by Livermore Pleasanton Fire through their dispatch center.

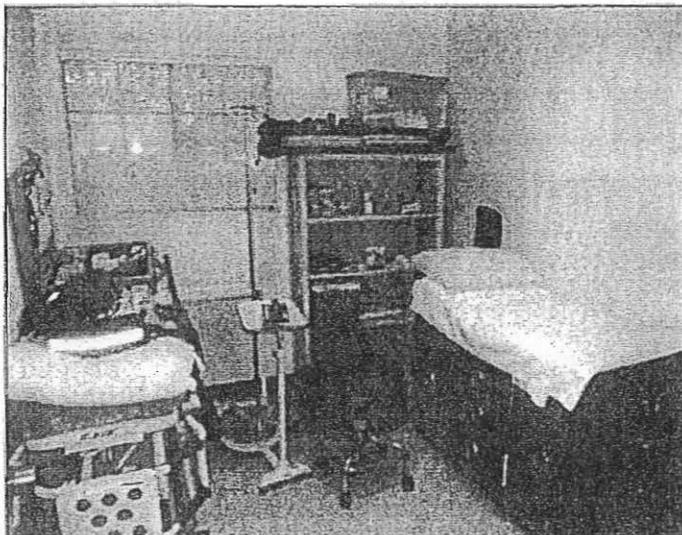
Telestaff prescheduled overtime will be charged to the short list.

Attached please find the 2014 Alameda County Fair Event Action Plan. Emergency Operations and Medical Care will be provided by the Alameda County Fire Department. Alameda County Fire Department will also provide an Advance Life Support Paramedic on a Westmed Ambulance at track side for all Horse Races. The Ambulance will be staffed with a California Licensed Advanced Life Support Paramedic. The unit will be equipped with a Cardiac Monitor, All Advance Life Support medications, Intravenous Fluids, Backboard and spinal immobilization equipment. The unit will be fully equipped per Alameda County and State regulations for Advance Life Support Units. In addition, we will be fully staffing the First Aid booth at the Fair with multiple sets of Advance Life Support equipment and supplies. We remain available to answer any questions you have.

ALAMEDA COUNTY FIRE DEPARTMENT



2014 Alameda County Fair Event Action Plan



INCIDENT OBJECTIVES	1. Incident Name Alameda County Fair	2. Date	3. Time 10:00 until closing									
4. Operational Period June 18 – July 6 2014												
5. General Control Objectives for the Incident (include alternatives) During normal hours of operations: Provide basic and advanced life support to the patrons and employees of the Alameda County Fair. Provide on-track ALS service as part of the Westmed ambulance response during racing hours. Respond to fires, reported fires, and alarms sounding anywhere on the fairgrounds. Respond to hazardous materials incidents or investigations; provide fires responder operations level service and determine the need for additional resources. Respond to any reports of suspicious odors, powders, or packages and coordinate mitigation efforts with the appropriate public safety agency and AC Fair management staff. Document all responses appropriately and make necessary notifications to the AC Fair management staff in a timely fashion.												
6. Weather Forecast for Period Normal summer weather patterns are expected during the course of the Fair.												
7. General Safety Message Stay hydrated and wear sunscreen. Be aware when operating any motorized apparatus at the fairgrounds. DO NOT back-up without a spotter in place. Ensure safe work practices around energized electrical equipment. Observe lock out/tag out procedures if performing rescue in or around machinery or carnival rides. Ride technicians should be summoned through AC Fair management staff on any ride failure. Watch out for, and mitigate any obvious trip hazards on the fairgrounds. Always use the lights and siren when responding on the first aid cart.												
8. Attachments (mark if attached)												
<table border="0"> <tr> <td></td> <td><input checked="" type="checkbox"/> Medical Plan - ICS 206</td> <td><input checked="" type="checkbox"/> Safety message</td> </tr> <tr> <td><input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204</td> <td><input checked="" type="checkbox"/> Hydrant map</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> Communications Plan - ICS 205</td> <td></td> <td></td> </tr> </table>					<input checked="" type="checkbox"/> Medical Plan - ICS 206	<input checked="" type="checkbox"/> Safety message	<input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204	<input checked="" type="checkbox"/> Hydrant map		<input checked="" type="checkbox"/> Communications Plan - ICS 205		
	<input checked="" type="checkbox"/> Medical Plan - ICS 206	<input checked="" type="checkbox"/> Safety message										
<input checked="" type="checkbox"/> Div. Assignment Lists - ICS 204	<input checked="" type="checkbox"/> Hydrant map											
<input checked="" type="checkbox"/> Communications Plan - ICS 205												
9. Prepared by Schnepf, R		10. Approved by (Safety Officer) Benfield, D										

DIVISION ASSIGNMENT LIST				1. Branch		2. Division/Group Fire	
3. Incident Name Alameda County Fair				4. Operational Period Date: June 18 - July 6 2014 Time: 10:00 am-closing			
5. Operations Personnel							
Operations Chief		AC Fair Chief Officer		Division/Group Supervisor		Fair Engine Captain	
Branch Director				Air Attack Supervisor No.			
6. Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off PT./Time	Pick Up PT./Time		
Fair Engine (E36)					On scene		
E17					1 st alarm response		
LFPD Engine					1 st alarm response		
T17					1 st alarm response		
Battalion 3					Battalion Chief		
7. Control Operations: Address life safety - Aggressive crowd control and/or rescue may be required. Utilize ACSO when necessary. Locate appropriate water supply. Establish staging areas early. Secure utilities - AC Fair maintenance staff may be necessary. Establish RIC companies. Personnel Accountability							
8. Special Instructions Full PPE must be worn on all working fires or reports of fires. Request mutual aid or other resources on ACRECC control 2. Additional resources needed for a working fire will go to MASR 1. If a significant incident is in progress, or the IC requires additional resources, operations should move to an ACRECC TAC channel (6, 8, 10). LAP will operate on ACRECC channels on their "B" zone. This will allow common TAC channels with LAP. The address of the fairgrounds is 4501 Pleasanton Avenue. Release incident information in conjunction with AC Fair management staff							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
ALCO TAC 14	A2	Fair Operations	154.2650				
Tactical Div./Group	800	Mutual aid if needed	TAC 6,8,10	Air to Ground	VHF 156.075		CalCord
Prepared by R.Schnepf		Approved by: (Safety officer) D. Benfield			Date		Time 10:00 am

DIVISION ASSIGNMENT LIST		1. Branch		2. Division/Group HAZMAT			
3. Incident Name Alameda County Fair		4. Operational Period Date: June 18 – July 6 2014 Time: 10:00 am - closing					
5. Operations Personnel							
Operations Chief	AC Fair Chief Officer	Division/Group Supervisor	Fair Engine Captain				
Branch Director		Air Attack Supervisor No.					
6. Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off Pt./Time	Pick Up Pt./Time		
Fair Engine (E36)					On scene		
HM 20					1 st alarm		
Engine 17					1 st alarm		
Battalion 3					1 st alarm		
7. Control Operations Isolate and deny entry Provide for life safety of responders and civilians Request additional resources as needed Mitigate the hazard(s) as necessary Provide emergency, technical, and mass casualty decontamination as required Notify appropriate agencies Complete a site safety plan for all incidents requiring a level A or B entry							
8. Special Instructions Be prepared for mass casualty events Request mutual aid or other resources on ACRECC control 2. For additional resources, request a MASR 1 and/or specific hazmat related equipment and personnel. If a significant incident is in progress, or the IC requires additional resources, operations should move to an ACRECC TAC channel (6, 8, 10). LAP will operate on ACRECC channels on their "B" zone. This will allow common TAC channels with LAP. The address of the fairgrounds is 4501 Pleasanton Avenue. Release incident information in conjunction with AC Fair management staff There are no fixed facilities for mass casualty decontamination							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
ALCO TAC 14	A2	Fair operations	154 2650				
Technical Div/Group	800	tactical	TAC 6,8,10	Air to Ground	VHF 156.075	King NRC	Cal Cord
Prepared by R. Schnepf	Approved by (Safety officer) D. Benfield		Date	Time		10:00 am	

DIVISION ASSIGNMENT LIST		1. Branch		2. Division/Group MCI			
3. Incident Name Alameda County Fair		4. Operational Period Date: June 18-July 6 2014 Time: 10:00 am - closing					
5. Operations Personnel							
Operations Chief	AC Fair Chief Officer	Division/Group Supervisor		Fair Engine Captain			
Branch Director		Air Attack Supervisor No.					
6 Resources Assigned this Period							
Strike Team/Task Force/ Resource Designator	Leader	Number Persons	Trans. Needed	Drop Off Pt./Time	Pick Up Pt./Time		
Fair Engine (E36)		4			On scene		
E 17		3			1 st alarm response		
LPPD Engine		4			1 st alarm response		
T17		3			1 st alarm response		
Battalion 3		1			Battalion Chief		
ALS transport units		2			staging		
Paramedics Plus Supervisor		1			ICP		
7. Control Operations							
Aggressive crowd control and/or rescue may be required. Utilize ACSO when necessary							
Establish staging areas early							
Initiate START triage							
Transport patients in accordance with ALCO EMS Policy #8070							
8. Special Instructions							
Request mutual aid or other resources on ACRECC control 2. For additional resources, request a MASR 1 and/or specific medical related equipment and personnel.							
If a significant incident is in progress, or the IC requires additional resources, operations should move to an ACRECC TAC channel (6, 8, 10). LAP will operate on ACRECC channels on their "B" zone. This will allow common TAC channels with LAP. The address of the fairgrounds is 4501 Pleasanton Avenue.							
Release incident information in conjunction with AC Fair management staff							
Establish LZ for air ambulances when appropriate							
Primary LZ: center of horse racing track - GPS coordinates latitude N 37° 39' 40" Longitude W 121° 52' 59"							
Secondary LZ: driving range near the RED GATE - GPS coordinates latitude N 37° 39' 38" longitude W 121° 53' 39"							
9. Division/Group Communication Summary							
Function	Frequency	System	Channel	Function	Frequency	System	Channel
ALCO TAC 14	A2	Fair Operations	154.2650				
Tactical Div/Group	800		TAC 6,8,10	Air to Ground	VHF 156.075		Cal cord
Prepared by R. Schnepf	Approved by (Safety Officer) D. Benfield			Date		Time 10:00 am	

MEDICAL PLAN	1. Incident Name	2. Date Prepared	3. Time Prepared	4. Operational Period					
	Alameda County Fair			Continuous					
5. Incident Medical Aid Station									
Medical Aid Stations		Location			Paramedics				
					Yes	No			
Alameda County Fair First Aid Station		Adjacent to Security Eye Command Post			X				
ACFD mobile response vehicle		Staged at the Alameda County Fair aid station			X				
Fair Engine (E36)		Staged at the Alameda County Fair aid station			X				
6. Transportation									
A. Ambulance Services									
Name		Address		Phone		Paramedics			
						Yes	No		
Paramedics Plus		Normal posting assignment				X			
ACFD		Livermore Lab property – ACFD station 20				X			
WESTMED		Near horse track during race hours only				X			
B. Air Ambulances									
Name		Location			Paramedics				
					Yes	No			
CALSTAR		Concord			X				
Life Flight		Stanford			X				
REACH		Vacaville			X				
EBRP		Hayward			X				
7. Hospitals									
Name		Address		Travel Time		Helipad		Trauma Center	
				Air	Ground	Yes	No	Yes	No
Valley Care		5555 W Los Positas Pleasanton			10 min	925-416-6585		X	X
San Ramon Hospital		6001 Norris Canyon Road San Ramon			20 min	925-275-8280		X	X
Eden Medical Center		20103 Lake Chabot Road Castro Valley		10 min	25 min	510-889-5015	X		X
Children's Hospital		747 52 nd Avenue Oakland		20 min	60 min	510-428-3240	X		X

Alameda County Medical Center	1411 31 st Ave. Oakland	15 min	50 min	510-437-5158		X	X	
8. Medical Emergency Procedures								
<p>Emergency Medical calls may be initiated by the ACSO command post or by ACRECC</p> <p>Air Ambulance primary LZ is the center of the horse racing track *See MCI division assignment for lat and long coordinates. Secondary LZ is the driving range adjacent to the RED GATE</p> <p>Notify Fair management staff (Human resources rep) in the event of any injury to an AC Fair employee – ACFD Chief Officer at the Fair is the primary point of contact for these notifications. *See phone list at medic station for contact numbers*</p> <p>Staging areas for MCI's are Gates 2 and/or 12</p> <p>Notify Paramedics Plus of the most appropriate place to pick up the patient. When possible, the patient should be transported back to the aid station prior to transport.</p>								
Prepared by R. Schnepf				10. Reviewed by (Safety Officer) D. Benfield				

ICS Form 205

INCIDENT RADIO COMMUNICATIONS PLAN		1. Incident Name		2. Date/Time Prepared		3. Operational Period Date/Time	
DUAL BAND APX 7000 XE PORTABLES		Alameda County Fair 2014				June 18, 2014 – July6, 2014	
4. Basic Radio Channel Utilization							
System/Cache	Channel	Function	Frequency/Tone	Assignment		Remarks	
Control 2	A1	Dispatch	TRS	ACF and PMP		ACRECC Resource Ordering	
ALCO TAC 14	A2	Tactical	TRS	ACF, Sherriff's CMD, PMP, Westmed		Fairground response coordination	
ALCO TAC 6	A3	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
ALCO TAC 8	A4	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
ALCO TAC 10	A5	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
ALCO TAC 12	A5	Tactical	TRS	Tactical		Batt 2, 3, 4, 9	
XAL TAC 4	A7	Mutual Aid	154.0700 N	Mutual Aid Tactical		Multijurisdictional incident	
XAL TAC 5	A8	Mutual Aid	154.2600 N	Mutual Aid Tactical		Multijurisdictional incident	
SCU L	A8	Mutual Aid	TX 159.3450 T1 RX 151.44500	CAL Fire Local Net		CAL Fire Incidents use Tone 1	
CDF T2	A10	Mutual Aid	151.1600	CAL Fire TAC Net		CAL Fire Tactical	
VFIRE 22	A11	Mutual Aid	154.2650	Mutual Aid Tactical		Multijurisdictional incident	
VFIRE 23	A12	Mutual Aid	154.2950	Mutual Aid Tactical		Multijurisdictional incident	
CDF T6	A13	Mutual Aid	151.3250	CAL Fire Tactical		CAL Fire Tactical	
CALCORD	A14	Mutual Aid	156.0750	Mutual Aid Tactical		Helicopter Operations	
CONTROL 4	A15	800 Emergency	TRS	Emergency Activation		Emergency button revert	
DIR FIR1	A16	800 Conventional	ALCO Radio	Line of site		Line of site only	
5. Prepared by (Communications Unit) Division Chief R. Schnepf – ACF ACRECC Dispatch – 925.447.6880 / ACSO Command Post – 925.426.7525							

Safety Message.....Safety Message

Hydrate – Hydrate - Hydrate

- Every year we experience dehydration emergencies. This is predictable and therefore preventable. Drink at least 1 small bottle of water per hour.
- Officers- remind your crews to hydrate on a regular basis. Wear sunscreen!

Maintain Situational Awareness

- Know the plan and your assignment.
- Understand all division assignments and what equipment is available for each type of incident.
- Water Supply is a big problem, locate your hydrants and check them regularly.
- Look at the big picture – factor in crowd control measures for all types of incidents.
- What is the weather doing?
- How many people are in attendance?
- Where are the staging areas? Helo? Haz-mat? Fire? MCI?

Practice LCES

- LCES is not just for Wildland Firefighting.
- Lookouts - make sure that you have a good vantage point, especially during violent situations.
- Communications - know the communications plan. Can you contact ACSO? Do you know how to talk with the helicopter?
- Escape Routes - with mass gathering events, it may be necessary to create accessible escape routes for patrons.
- Safety Zones - establish Safety Zones at your scene for security. Use ACSO for perimeter control.

PPE

- Full PPE must be worn for the appropriate task.

Driving

- Be mindful of pedestrian traffic; their actions are unpredictable. Remember that there are all kinds of lights and sirens at the Fair – yours may not stand out in the minds of the patrons.
- Refer to traffic plan and be familiar with fairgrounds map and gates for ambulance response.
- Do not exceed the ability of your apparatus or your drivers.

Item #14F English:**Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

14F Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a tapar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

Locutor

El locutor deberá informar a los jinetes acerca de los detalles de la situación como

la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



April 9, 2014

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair Agricultural Association
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair Agricultural Association is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000
Coverage provided by Allied World Assurance Company
Term: 01/01/2014 to 01/01/2015

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

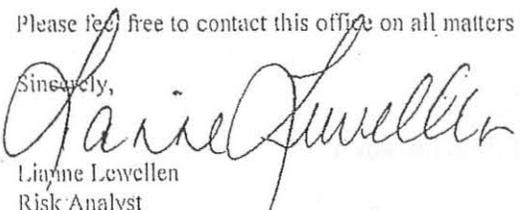
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2014 to 07/01/2015

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair Agricultural Association as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,


Lianne Lewellen
Risk Analyst

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
- Food & Beverage:** Stroud Enterprises, Tom Stroud, 5119 North Archerdale, Linden, CA 95236
Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001
Sharp Concepts, Inc.: Roger Sharp, P.O. Box 3767, Paso Robles, CA 93447, G/S Downstairs
Food Service: Ovations Fanfare, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566
Tip Sheets: West Coast Wheelie
Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005
Program: Delmar Graphics, Del Scott, 7806 Honors Ct., Pleasanton, CA 94588
Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas.CA 94566
Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590
Sound System: Speeda Sound, Mike King, 5617 W. San Madele, Fresno, CA 93722
Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
See Attached
- B. Promotional/ Marketing budget for this race meeting:
 Promotional/Marketing budget for prior race meeting:
See Attached
- C. Number of hosts and hostesses employed for meeting:
 N/A
- D. Describe facilities set aside for new fans:
See Attached
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: **See Attached**
1. Horsemen
 2. Fans
 3. Facilities in the restricted areas

17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
- | | |
|--------------------------------|---|
| Admission/Grandstand (general) | Free with fair admission |
| Fair Admission | \$10 |
| Advanced Sale Tickets | 30% discount (on-line) |
| Fair Admission/Children (6-12) | \$8 (free on Fridays)
(Increased from \$6 in 2013) |
| Fair Admission/Seniors | \$8 (free on Thursdays) |
| Fair Admission/V.I.P. discount | \$5 |

ALAMEDA COUNTY FAIRGROUNDS

P L E A S A N T O N

Alameda County Fair – Trackside Terrace Expansion 2014

The Alameda County Fair has expanded the race track's Trackside Terrace, doubling the seating capacity to 300 seats from 150!

The newly expanded Trackside Terrace invites you to experience live horse racing, up close and in style! Casually elegant buffet-style dining and spectacular, open-air views of the race track and lush golf course lawns make the Terrace an ideal spot for corporate and group events, parties, celebrations and more. Corporate rates are available for parties of 50 or more.

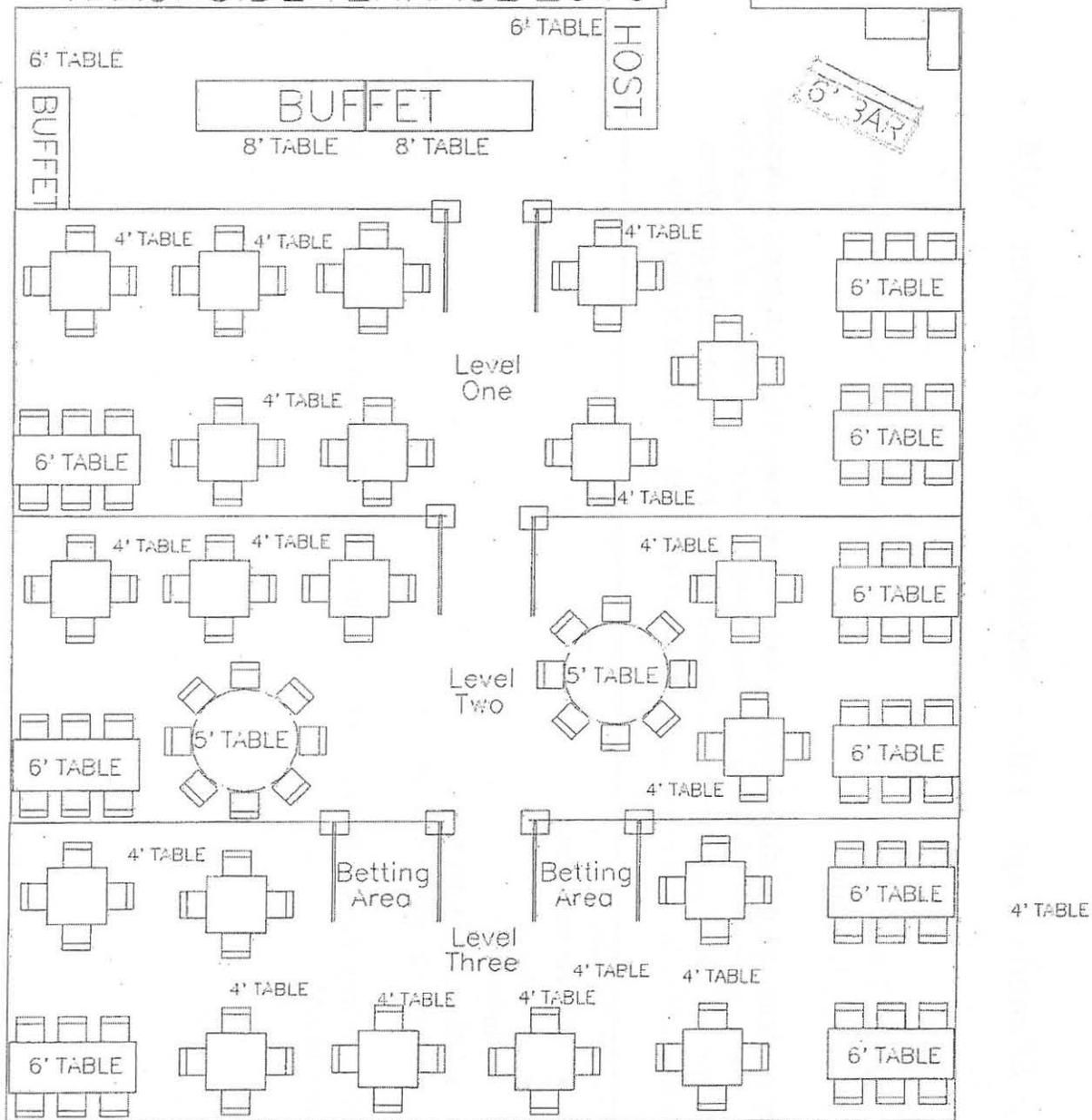
Amenities include:

- Reserved VIP seating
- Upscale buffet dining
- No-host bar
- Wagering Terminals
- Tables with TV monitor available

NOTE: Seating diagrams from both the previous Trackside Terrace and new Trackside Terrace are attached.

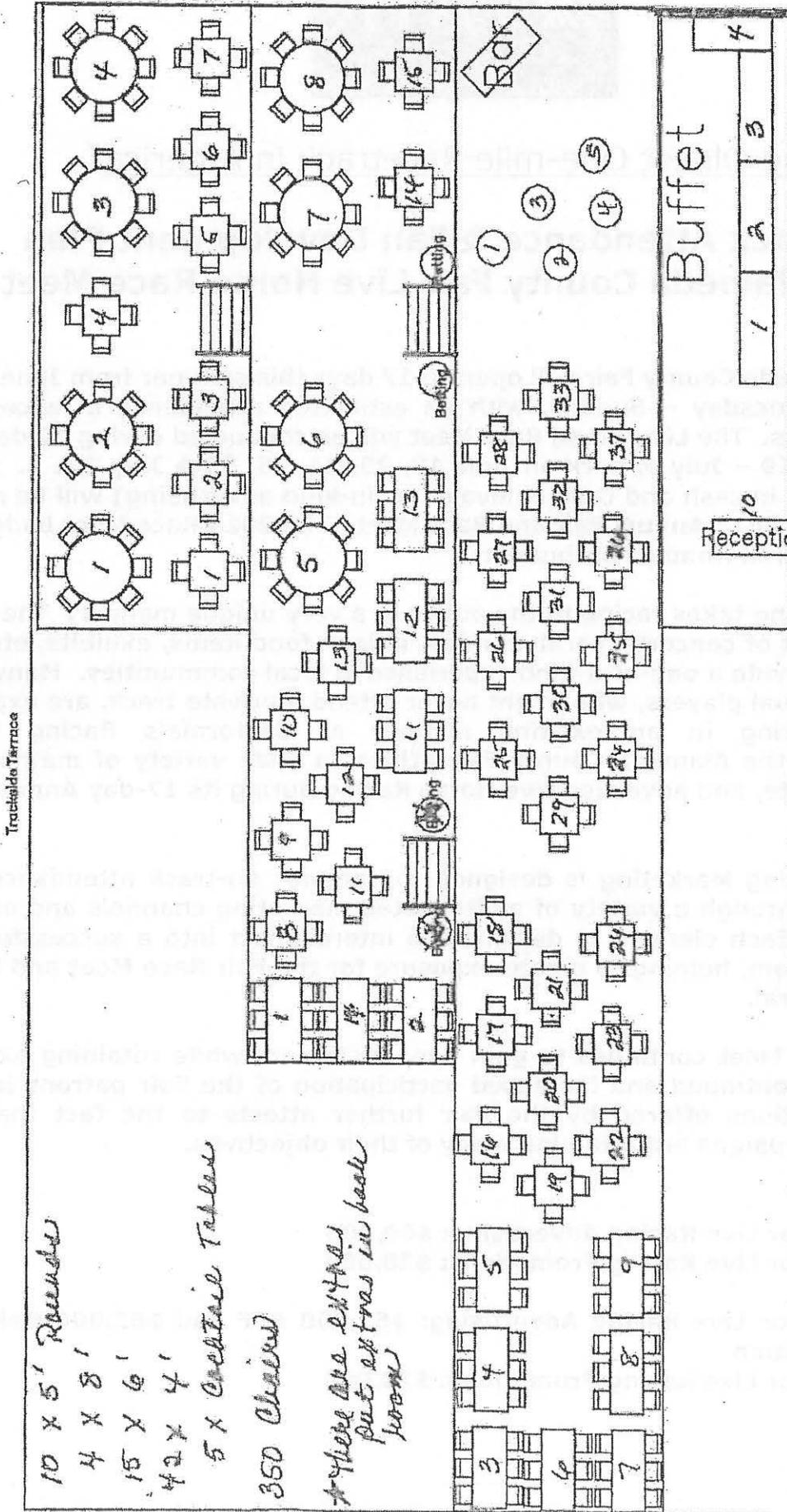


TRACKSIDE TERRACE 2010



ALAMEDA COUNTY FAIR TRACKSIDE TERRACE 2014

Duplicate



- 10 x 5' Round
- 4 x 8'
- 15 x 6'
- 42 x 4'
- 5 x Crestline Tables

350 Chairs

*These are extras
Put extras in back
room

Trackside Terrace



"The Oldest One-mile Racetrack in America"

On-Track Attendance & Fan Development Plan 2014 Alameda County Fair Live Horse Race Meet

The 2014 Alameda County Fair will operate 17 days this summer from June 18 – July 6, open Tuesday – Sunday, with an estimated attendance in excess of 450,000 patrons. The Live Horse Race Meet will be conducted during 12 days of the Fair, June 19 – July 6, dark on June 18, 23, 24, 25, 30 & July 1 & 2. More than \$2 million in cash and cash equivalents (in-kind advertising) will be spent to promote the 2014 Annual Fair and Race Meet. The 2014 Race Meet budget is 22% of the overall Annual Fair budget.

Fair Horse Racing takes racing to the public in a very unique manner. The thrill and excitement of concerts, carnival rides, unique food items, exhibits, etc., all combine to provide a one-of-a-kind experience in local communities. Many first timers and casual players, who might never attend a private track, are exposed to Horse Racing in an exciting manner at California's Racing Fairs. Consequently, the Alameda County Fair utilizes a wide variety of methods to market, promote, and advertise Live Horse Racing during its 17-day Annual Fair event.

Our Horse Racing Marketing is designed to enhance on-track attendance and develop fans through a variety of multifaceted marketing channels and on-site experiences. Each element is designed to interconnect into a successful and effective program, helping to create exposure for the Fair Race Meet and Horse Racing in general.

The Live Race Meet continues to gain new customers while retaining existing patrons. The continued and increased participation of the Fair patrons in Live Racing promotions offered by the Fair further attests to the fact that our marketing campaigns are attaining many of their objectives.

2013 Budget for Live Racing Advertising: \$60,000

2013 Budget for Live Racing Promotions: \$20,000

2014 Budget for Live Racing Advertising: \$60,000 ACF and \$65,000 Oak Tree Racing Association

2014 Budget for Live Racing Promotions: \$20,000

Traditional Advertising:

Traditional Advertising will be purchased for general horse racing market and ADW (Advanced Deposit Wagering) advertising on radio, television, outdoor billboards, buses, and print. The Live Racing marketing strategy is to create highly targeted marketing pieces that promote the Race Meet. A Live Racing TV commercial will focus on the excitement of Live Racing. Fair Staff targets sports-related and older generation-formatted radio stations to advertise the Live Race Meet. Print is especially important in advertising the Horse Races. Advertising for the Live Race Meet is included in all general Fair print ads. Additionally, racing-specific ads are created for the sports sections of the newspapers and for sports-focused print media such as the Daily Racing Forum. Direct Mail will target business, chambers and fairgoers in a highly targeted demographic to promote the Trackside Terrace and race track promotions.

ADW:

The Alameda County Fair has contracts with the following ADW providers: TVG, Xpressbet, BetAmerica and Twinspires. In addition, the Alameda County Fair will be promoting the race meet stakes races on HRTV with advertising and the viewing of the stakes races live. A contract for viewing Alameda County Fair races on TVG is in the process of being developed at the time of this writing.

Digital Advertising:

The Alameda County Fair utilizes Digital Marketing to communicate and promote Live Racing. The **website** is an informative and functional tool because of the number of ways it can be used. The website lists the daily racing schedule and links to real-time race results. Daily racing picks by the Fair's Racing Publicist and well-known sports writer Dennis Miller are posted on the website along with his blog of daily horse racing activity. **Email newsletters** with Horse Racing promotions, contests, and highlights are sent via email to the Fair's continually growing database of over 95,000 subscribers. **Digital and Mobile advertising** is a highly effective in promoting horse racing to a targeted demographic based on specific data, including geo-targeting. **Search Engine Optimization** is used to create greater visibility of the Fair's website and search engine ranking.

Social Media Marketing:

Social Media Marketing is be used to increase awareness, promote attendance to the Race Meet, and offer experiences available only via social networks. The Marketing Team will focus efforts on **Facebook** (nearly 42,000 followers), **Twitter** (over 2,300 followers), **Instagram** (1,264 followers) and a **Horse Racing Blog** to promote the 2014 Race Meet. Each Social Network has a slightly different demographic; and a strategic approach is given to each audience. A strong social media campaign coupled a targeted digital campaign with elevate the Fair's search engine rankings.

Grassroots Marketing:

Community Outreach is an effective marketing medium used to promote horse racing. The Marketing Team attends events throughout Alameda County such as: Chamber Expos, Chamber Mixers, Rotary, Leadership, Parades, Rodeo's, Farmer's Markets, Senior Events, Wine Festivals, etc...Print media (brochures, posters, club cards, etc.) is a grassroots marketing method employed by the Fair. Businesses and

public organizations display posters, flyers, and brochures advertising the Fair and the Live Race Meet; showing their community support and enthusiasm for the Fair and Live Racing. Organizations in Alameda County continually support the Fair by allowing Fair information to be included in their internal and external communications, such as newsletters, email programs, direct mail pieces, etc.

Publicity:

The Racing Publicists and Marketing Manager will meet with media prior to the Race Meet to disseminate information on the Race Meet and the many promotions occurring at the Race Track. Print, radio and television media are all used to promote the Race Meet. The Racing Publicist and Marketing Manager will provide information and statistics to various media outlets daily during the Race Meet. Well-known sports writer, Dennis Miller, the Fair's Racing Publicist writes articles, transmits Daily Picks in local papers and they are posted on the Fair's website as well as local news websites. Video clips of races and special events at the track will be created to send directly to news media for promotion of the race meet.

Sponsorships and Partnerships:

The Marketing Department works year-round to develop strong relationships with local and national companies. Additionally, the Fair develops new advertising partnerships, and strengthens existing partnerships, in an effort to incorporate more "in-kind" trade and promotion into the advertising mix. Cross-promotions with Budweiser are currently being planned to increase on-track attendance and the guest experience, including \$1 beers every Thursday. Ovation's will partner for "drink specials" during the race meet. Jetter Golf partners with the Fair to sponsor the \$10,000 Putting Contest and the New Jr. Putting Contest; while radio and TV partner Univision will partner to promote Festival Latino and bring events to the race meet on Sunday, June 22.

Box Seat Sales:

The Marketing Department is responsible for selling the over 150 Box Seats in the Race Track Grandstands. A number of methods are used to achieve the sale of Box Seats including direct mail, print advertising and online banner ads at partner websites. Promotion of the Fair's Live Horse Race Meet is incorporated in the Box Seat Sales strategy.

Educational Programs:

The Fair, in partnership with CARF, holds educational seminars every morning before the first Post Time to educate beginners on how to wager at the races. The panel consists of experienced and well-known personalities within the racing industry. Seminars have included such guests as owners, trainers, jockeys, handicappers, racing officials and information on how to make various wagers. The Fair, CARF and the Daily Racing Form sponsor the daily racing seminars. The Racing Seminars have become quite popular, with attendance increasing every year. The Racing Publicist, Dennis Miller, and the race track announcer are the hosts for the Racing Seminars.

Educational tools titled "How To Wager 101" and "Talkin' Track" were developed by the Fair and offered to racing fans to make racing terminology simple to understand.

These cards are offered all Program/Racing Form stands and Information Booths during the race meet and at marketing events before the annual Fair. Both cards were designed with the racing novice in mind to help those new to the game get comfortable with how to wager. These cards are also available for patrons at the Off-Track Betting Facility on a year-round basis.

Trackside Terrace:

The Trackside Terrace is an open-air, multi-level, terrace overlooking the racetrack for the dining and viewing pleasure of Racing Fans. This site is perfect for corporate parties and group reservations; guests experience a winning view of the races all while enjoying a catered buffet, private betting machines and a full service bar. New this year is the expansion of the terrace, doubling the seating from 150 people to 300. The Trackside Terrace is marketed in several ways which include county wide Chamber of Commerce marketing channels, local events, guerilla marketing, electronic newsletters and Fair website.

Non-profit Outreach:

Each year the Marketing Department makes available on a daily basis a select number of Box Seats to various non-profit organizations. The non-profits in turn raffle off "A Day at the Races." This includes parking, Fair admission and box seat admission.

Promotions:

Promotions have been developed for the 2014 Live Horse Race Meet. Some are designed to attract new patrons, while others cater to existing customers. A sampling includes:

- **Senior Citizens Free Admission** - on Thursdays. Seniors age 62 & up are provided free admission to the Fair and Race Track each Thursday.
- **First Tee Youth Golf Putting Exhibit** - Youth will enjoy a golf putting exhibit at the racetrack which has been a great tool to keep families at the track.
- **Win, Place and Show Contest** - is a randomly drawn voucher giveaway to those who enter the correct Win, Place and Show horses in the ballot box. The prize amount is \$225 per day: \$100 Win, \$75 Place & \$50 Show. The winners are drawn every day after the 8th Race.
- **Horse Racing Themed T-shirt Giveaway** -to the first 1000 people who enter the Win, Place, and Show contest - date TBD
- **NEW Beer Stein Giveaway** - Co-branded beer stein with Oak Tree and Alameda County Fair logos to the first 1,500 to enter the Win, Place, and Show Contest - date TBD
- **NEW Baseball Hat Giveaway** - Co-branded baseball hat with Oak Tree and Alameda County Fair logos to the first 1,500 to enter the Win, Place, and Show Contest - date TBD
- **\$10,000 Putting Contest** - This popular daily program randomly selects fairgoers who try to qualify for the chance to compete in a 40-yard, \$10,000 Putt on Saturday July 5th
- **NEW Jr Putting Contest** - will engage youth in the popular Putting Contest. Youth up to 18 years of age will register for a chance to qualify for finals on Saturday, July 5th for a CASH prize.
- **Morning Workout Seminar** - Dennis Miller (Fair Publicist), the Racetrack Announcer and one guest will provide analysis of the days

racing card to the public attending this special event. This is designed to educate both new and seasoned players and create more interest in horse racing for all players.

- **Chamber of Commerce Days at the Races** - Chambers within Alameda County are invited to attend the Race Meet on a designated day. Chamber Presidents and members are hosted in the Fair Director's V.I.P. Lounge. These honored guests in-turn present a gift in the Winner's Circle during their named race.
- **City Days at the Races** -each Mayor, City Council and City Manager in Alameda County are invited to attend the Race Meet together with their local Chamber of Commerce. City Officials are hosted as VIP's and participate in the Winner's Circle presentation.
- **VIP Director's Lounge** - each Director of the Fair Association Board has the opportunity to entertain dignitaries, VIPs, elected officials, business leaders, etc., daily in an air-conditioned lounge at the top of the Race Track Grandstand.
- **The Gastro Pub** - offers patrons the opportunity to enjoy a farm to table dining experience, more than 17 local beers on tap, and a full bar alongside the Rail of the Race Track. Guests may also place their wagers in The Gastro Pub.
- **Advertising of Local Businesses** - is an advertising opportunity on the Jumbotron and in the Racing Program during the race meet. **Naming of Races** - is another opportunity provided to businesses, families, local wineries, etc., to showcase their name in the Racing Program and have their photo taken in the Winner's Circle with the winning horse and jockey, \$100.
- **Public Service Announcements** - appear on the Jumbotron during racing.

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Jim Burns.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

21. DECLARATIONS

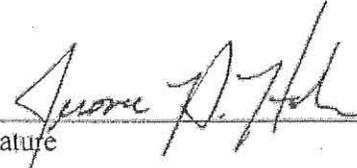
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
CTT & Horsemen's Agreements will be forward to CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban
Alameda County Fair CEO

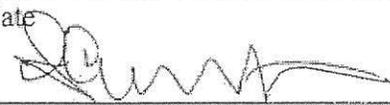


Signature

3-21-14

Date

Sherwood C. Chillingworth
Executive Vice-President, Oak Tree



Signature

3/21/14

Date

STAFF ANALYSIS
April 25, 2014

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY THE FIREHOUSE SPORTS BAR AND RESTAURANT.

Firehouse Sports Bar and Restaurant (Firehouse), filed an application for a license to operate a minisatellite wagering site in its Firehouse restaurant in Bakersfield. Firehouse is owned and managed by CNR Enterprises, Inc. Southern California Off-Track Wagering Inc. (SCOTWINC) has acted as the coordinator during the application process and will provide the teller staffing and financial reconciliation for the location to include organizing bank runs from the property as appropriate. Sportech will provide all the required audio visual and wagering equipment, installation and maintenance as per the SCOTWINC agreement. Firehouse minisatellite application requests that the CHRB grant a conditional license from April 25, 2014 through April 24, 2018, for a period of up to five years; the condition being that Firehouse submits its worker's compensation and fire clearance. If granted a conditional license by the CHRB, Firehouse will begin remodeling of the facility to allow a minisatellite wagering area. The expansion should be completed by early July 2014. Once the outstanding items are received and the conditions lifted from the CHRB, Firehouse minisatellite will become operational until April 24, 2018.

- Firehouse is a restaurant located in Bakersfield, California. The proposed wagering site will be located in the Southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- Sportech has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 radius miles of the applicant.
- Firehouse has contracts/agreement with the following racing associations:

- California Authority of Racing Fairs
- Del Mar Thoroughbred Club
- Los Angeles County Fair
- Los Alamitos Quarter Horse Racing Association
- Los Angeles Turf Club
- Pacific Racing Association
- Watch and Wager LLC

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Southern California Off Track Wagering, Inc. (SCOTWINC).

- Applicant proposes to operate the minisatellite wagering site 10 a.m. until 6 p.m. on Wednesdays, Thursdays and holiday Mondays, and 10 a.m. to 11 p.m. on Fridays, Saturdays and Sundays. The hours may vary according to host track schedules and post times.
- Estimated number of pari-mutuel terminals machines available: 7 convertible teller/self-service terminals, 3 dedicated self-service terminals, and 1 dedicated voucher terminal. Seating Capacity is 150; the number of tables in the minisatellite wagering area is 45.
- Staffing: The host track mutual manager will assign one or two clerks on most weekdays and up to four on weekend/special event days as needed.

Specific information still needed to complete this application include:

1. Worker's Compensation
2. Fire Clearance
3. Financials: balance sheet and copy of a report made during the preceding 12 months to shareholders in the corporation

RECOMMENDATION:

Staff recommends the Board hear from the applicant.

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.



Mini-Satellite Application

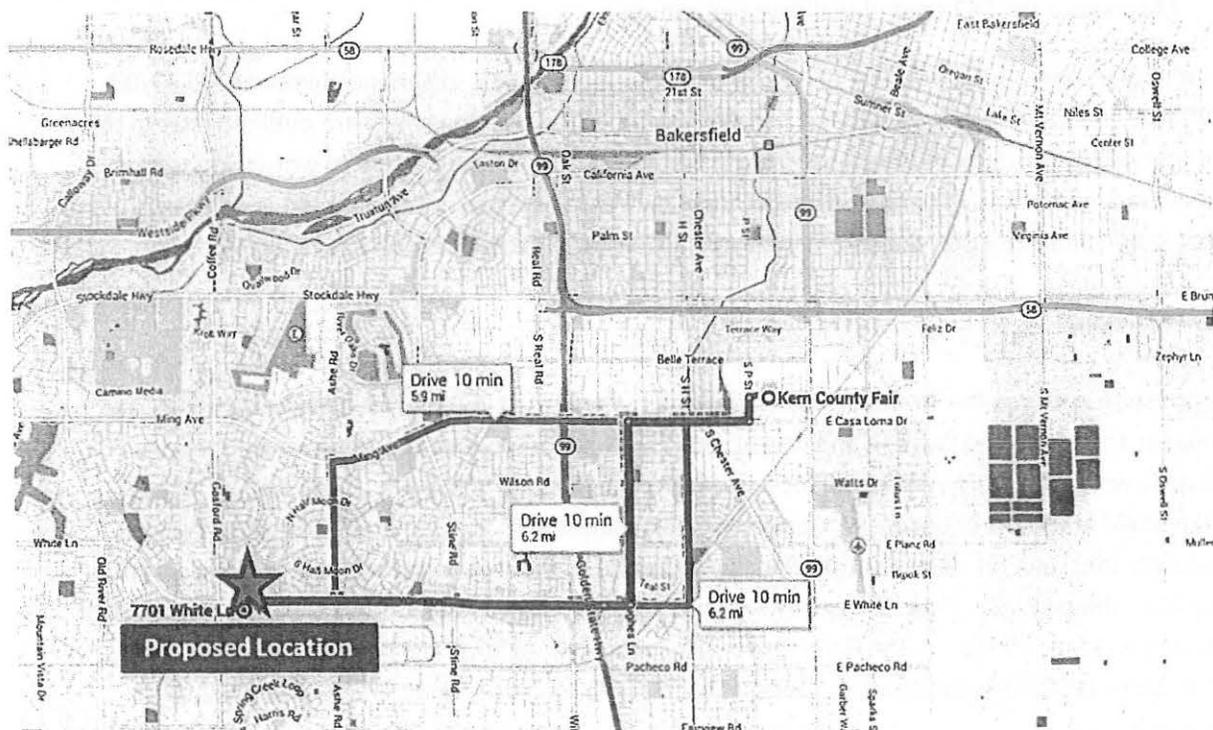
The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA, 93313

Background

The Bakersfield satellite wagering facility located at the Kern County Fairgrounds closed in 2013 due to declining handle and high operational expenses making the facility commercially unviable. Whilst customer numbers and handle had been declining in this location during the past decade it is strongly believed that there was still an opportunity within the Bakersfield market for a smaller mini-satellite facility which could successfully operate under reduced financial burdens and a more easily accessible location.

Market Opportunity

Whilst Bakersfield is not a huge city, it does have a population of 358,000 people and a median household income of \$54,000. Geographically Bakersfield is also a relatively captive market with low population densities once outside the major city and suburb areas.



Bakersfield map showing newly proposed location and prior fairgrounds location.



Whilst handle had been declining during the past decade, it is still worth noting that handle has been as high as over \$9m annually in prior years.

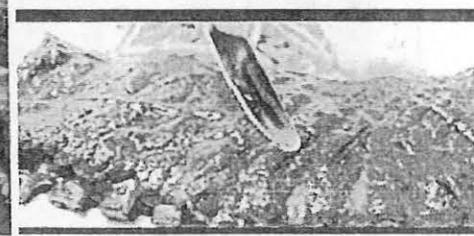
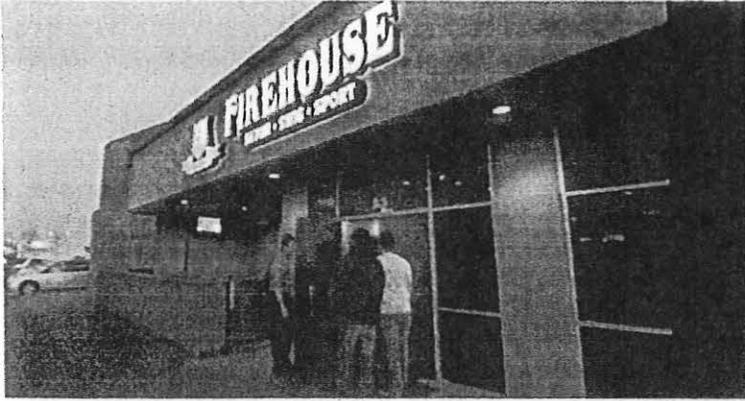
YEAR	2007/08	2008/09	2009/10	2010/11	2011/12	2012/13
HANDLE	\$9.48m	\$8.98m	\$7.10m	\$6.36m	\$6.51m	\$5.53m
ANNUAL ATTENDANCE	42,869	41,811	36,817	33,901	32,250	28,461
AVERAGE DAILY ATTENDANCE	170	166	146	135	128	113
AVERAGE DAILY SPEND PER CUSTOMER	\$221	\$215	\$193	\$187	\$202	\$194

*Assumes a flat 252 operational days per annum.

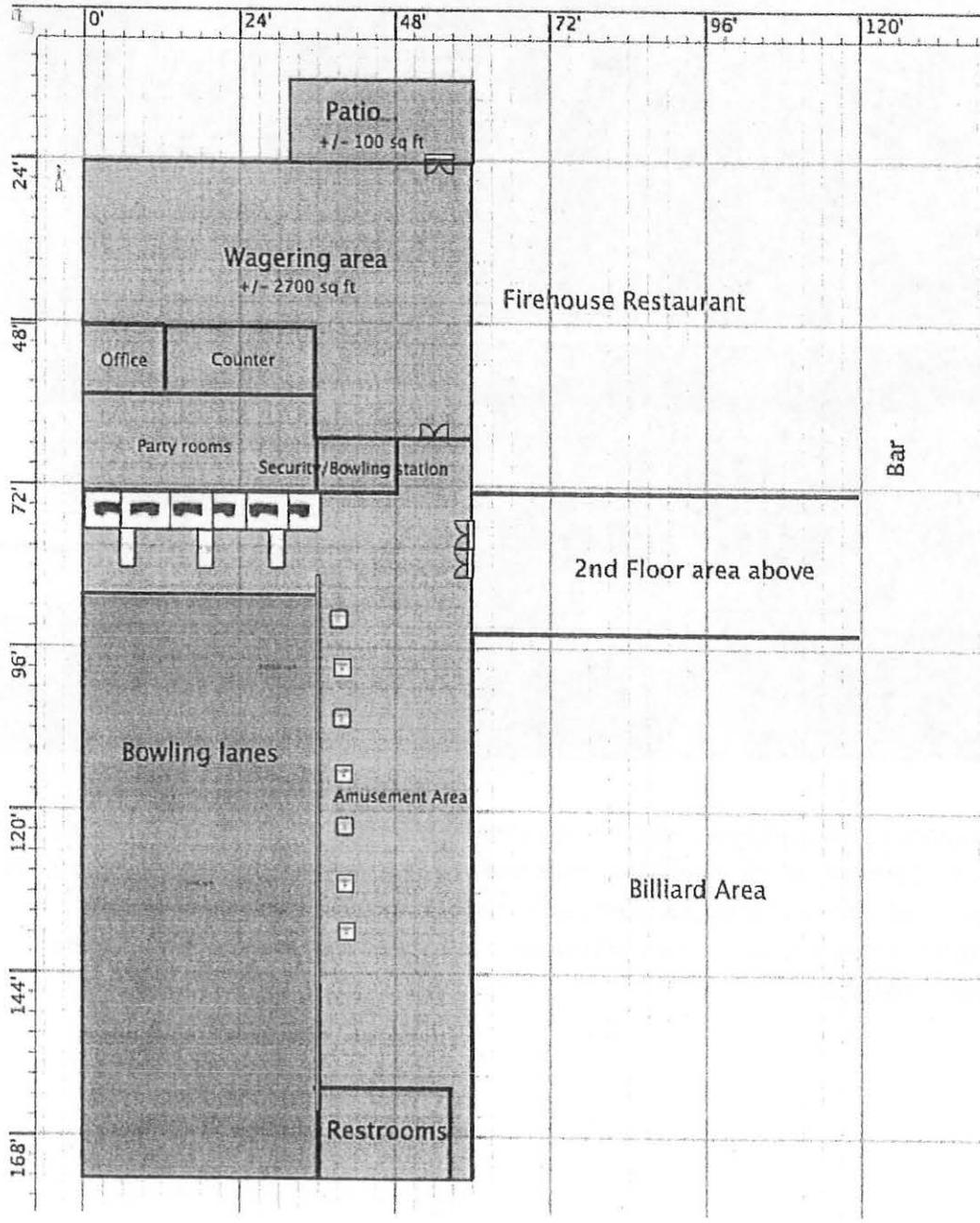
Whilst it may be unrealistic to expect handle to return to the numbers experienced between 2007/08 and 2008/09, we do believe that with the benefits the proposed location which are outlined later in this report, between \$6m - \$8m in annual handle can be realistically achieved. It is also worth noting that due to the fact horse race wagering no longer has a land-based market presence there is no chance of cannibalization and a risk that the \$5.5m in handle achieved in 2012/13 will not be replaced.

Proposed Location

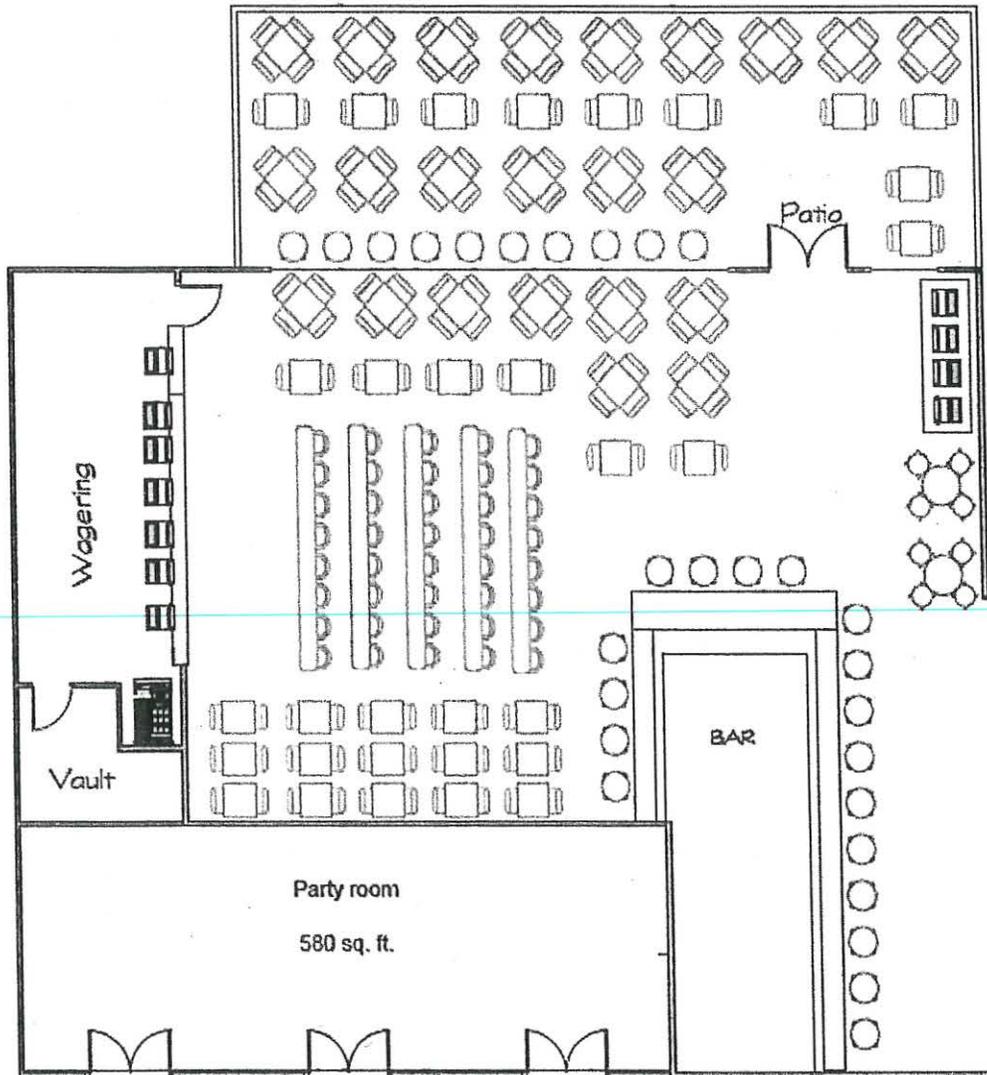
Our proposed location is the Firehouse sports bar and restaurant at 7701 White Lane Bakersfield. The Firehouse is an established location and was purpose built almost 4 years ago. It currently operates successfully with circa \$2.3m an annual food and beverage revenue which is a strong performance for the Bakersfield area. The Firehouse is a family owned business with the principal being Russ Johnson (90% shareholder) and his sister Cynthia Blanchard-Woolfe as the remaining 10% shareholder. As you can see from the pictures below, the current Firehouse location is a vibrant and popular restaurant with high ceiling and modern décor. The Firehouse is also located directly on a main road as part of a mall complex which includes high-volume businesses such as McDonalds and has ample free parking for customers to use.



In order to incorporate mini-satellite wagering into the Firehouse location the owners have secured the lease of an adjacent property which enables the addition of a new bar area, 8 bowling lanes and a 2,900 square foot dedicated mini-satellite wagering area with a patio. This area will be able to seat 150 customers as well as having extended access for customers to wager in the bar area. Please see the provisional floor plans below:



Extension total floor plan to include bowling lanes



Provisional floor plan for mini-satellite wagering area.

These upgrades will ensure that the facility will both provide adequate space to be a mini-satellite and that the location now has the additional benefit of bowling to attract more customers as well as the existing benefit of being a full service bar and restaurant.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION

Name of applicant: THE FIREHOUSE RESTAURANT		
The applicant is:		
<input type="checkbox"/> Racing Association	<input type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Fair	<input checked="" type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Federally Recognized Indian Tribe	<input type="checkbox"/> Other Business Entity	
Facility street address: THE FIREHOUSE RESTAURANT, 7701 WHITE LANE A3		
Mailing address (if different from above):		
E-mail address: RUSS@FIREHOUSEEATS.COM		
City: BAKERSFIELD	State: CALIFORNIA	Zip Code: 93309
Phone: 661-831-4688	Fax: 661-835-0805	Website: FIREHOUSEEATS.COM
County: KERN	Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input checked="" type="checkbox"/>	
(To be completed by CHRB staff)		

CONTACT PERSON
 (Authorized Representative)

Name and title of the contact person: RUSSELL G JOHNSON		
Business street address: CNR ENTERPRISES INC., 7701 WHITE LANE		
Mailing address (if different from above):		
City: BAKERSFIELD County: KERN	State: CA	Zip Code: 93309
Phone: 661-831-4688	E-mail: AS ABOVE	Fax: 661-835-0805

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:
5 YEAR MINI-SATELLITE LICENSE. ESTIMATED OPENING IS JULY 2014.

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No

Do you currently operate a licensed gaming operation in California or another state? Yes No

If yes to either question above, provide the following:

Valid licensure period: Begin Date:		End Date:
Facility name and address:		
City:	State:	Zip Code:
County:		Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input type="checkbox"/> (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No

If yes, provide the following if different from above:

Facility name and address:		
City:	State:	Zip Code:
Racing Jurisdiction:		

BUSINESS STRUCTURE

<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Public <input checked="" type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
---	--	--

Registered Business Name: CNR ENTERPRISES INC
Fictitious Business Name: FIREHOUSE RESTAURANT
Address: 7701 WHITE LANE A3

E-mail Address: PHIL.BALDERAMOS@SPORTECH.NET		
City: BAKERSFIELD	State: CA	Zip Code: 93309
Phone: 661-831-4688	Fax: N/A	
State where registered or Articles of Organization are filed: CALIFORNIA	Registry or File number: 77-0232541	

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
RUSSELL G JOHNSON (CEO)	THE FIREHOUSE	90%	
CYNTHIA BLANCHARD-WOLFE (VP)	RESTAURANT	10%	
RUSSELL JOHNSON (OFFICER)	7701 WHITE LANE A3	0%	
NEDDIE JOHNSON (OFFICER)	BAKERSFIELD	0%	
JACOB CADENA (MANAGER)	KERN COUNTY CA 93309	0%	

Are shares listed for public trade?
 Yes No

If yes on what stock exchange? N/A

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
RUSSELL G JOHNSON	CEO
JACOB CADENA	GENERAL MANAGER

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:

FULL SIMULCAST SCHEDULE AS PERSUANT TO SICOTWING (EXHIBIT A3)

Addresses of racing association(s):

Racing association(s) phone numbers:

Proposed contract dates: From: To: (inclusive).

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 4/1/14

30-day Notice Letter: 4/3/14

Fee received by: 4/14/14

Approved on:

Reviewed by: *GW*

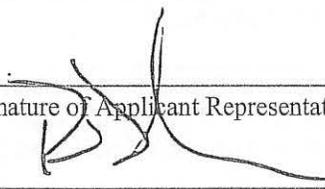
License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name RUSSELL G JOHNSON

Signature of Applicant Representative



Title CEO, CNR ENTERPRISES INC

Date:

03/24/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: PACIFIC RACING ASSOCIATION

Address: 1100 EAST SHORE HIGHWAY, BERKELEY, CA. 94710

Telephone: 510 559 7326 Fax number: 510-559-7518

Racetrack name: GOLDEN GATE FIELDS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name CALVIN J RAINY

Title VICE PRESIDENT

Signature of association representative: 

Date: 3/25/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: Los Angeles County Fair Association

Address: 1101 W. McKinley Ave., Pomona, Ca. 91768

Telephone: 909-865-4203 Fax number: 909-865-2481

Racetrack name: Barretts Racing

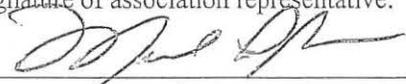
Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Michael D. Seder

Name Michael D. Seder

Title efo

Signature of association representative:



Date: 4/7/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: LOS ANGELES TORP CLUB, INC.

Address: 285 W. HUNTINGTON DR ARCADIA, CA 91007

Telephone: 626 574 7223 Fax number: 626 574 8215

Racetrack name: SANTA ANITA PARK

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:

Name GEORGE HAINES



Title PRESIDENT

Date: 3/23/14

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: California Authority of Racing Fans

Address: 1776 Tribute Rd.

Telephone: 916-927-7223 Fax number: 916-263-3341

Racetrack name: CAKR

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Christopher Korsy Executive Director

Name CHRISTOPHER KORSY Signature of association representative: Christopher Korsy

Title Exec Dir Date: 3/24/2014

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

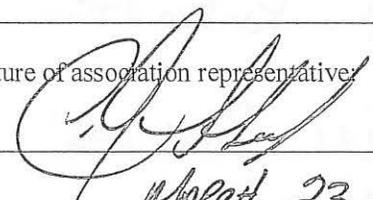
The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: WATCH AND WAGER LTD
Address: 25 CADILLAC DR # 201, SACRAMENTO, CA 95825
Telephone: 916-800-1395 Fax number: 916-760-7410
Racetrack name: CAL EXPO

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

CHRISTOPHER SCHICK

Name CHRISTOPHER J. SCHICK
Title General Manager

Signature of association representative: 
Date: March 23, 2014

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: Del Mar Thoroughbred Club

Address: Po Box 700 Del Mar, CA 92014

Telephone: 858.755.1141 Fax number: 858.794.1007

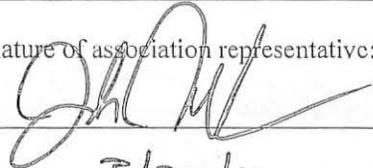
Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

José Rubinstein

Signature of association representative:

Name José Rubinstein



Title COO

Date: 5/24/14

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Firehouse Sports Bar & Restaurant, 7701 White Lane, Bakersfield, CA

Name of Association: LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

Address: 4961 KATELLA AVE, LOS ALAMITOS, CA 90720

Telephone: (714) 820-2800 Fax number: (714) 820-2820

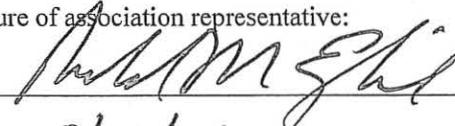
Racetrack name: LOS ALAMITOS RACE COURSE

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name RICHARD M. ENGLISH

Title CHAIRMAN

Signature of association representative:



Date: 3/24/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

**PART III
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering:

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Submit a copy of each horsemen's written approvals. **SUBMITTED DIRECTLY TO CHRB**

Hours for operation of the facility: **10AM TO 2AM DAILY (MAXIMUM HOURS)**

Hours for operation of the minisatellite wagering site: **10AM - 6PM DAYS / 6PM - 11PM NIGHTS**

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): **NONE**

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

SEE EXHIBIT A3		

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Estimated number of pari-mutuel terminals machines available: **11**

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
BRYAN WAYTE, GENERAL MANAGER	LIC# 289390
NCOTWINC (REPRESENTING NCOTWINC)	EXP. 09/15

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART III
SIMULCAST ORGANIZATION INFORMATION

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: 10AM TO 2AM DAILY (MAXIMUM HOURS)

Hours for operation of the minisatellite wagering site: 10AM -10PM WEDNESDAY - SUNDAY

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): NONE

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

SEE EXHIBIT A3		

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": SEE EXHIBIT A4

Estimated number of pari-mutuel terminals machines available: 11

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s)
 must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
RANDY HARTZELL, DIRECTOR OF MUTUELS SANTA ANITA (REPRESENTING SCOTWINC)	306778 EXP. 02/2017

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

SEE EXHIBIT C

Attach a fire clearance from the fire authority having jurisdiction.

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility.

SEE EXHIBIT A7

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site.

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **FULL SERVICE BAR & RESTAURANT MENU (ATTACHED)**

The seating capacity in the minisatellite wagering facility is: **150**

The number of tables in the minisatellite wagering area is: **45 (APPROX)**

Overall square footage in the minisatellite wagering area is: **2,900 SQ.FT**

Attach a photograph of the minisatellite wagering area.

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

TBD AT TIME OF FINAL FIRE DEPARTMENT INSPECTION PRIOR TO OCCUPANCY

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **300 ON SITE & 100 ON STREET PARKING SPACES AVAILABLE**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **NONE**

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: **SEE EXHIBIT A8**

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

SEE EXHIBIT A8

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: **SEE EXHIBIT A9**

Describe any improvements to the facility that will directly benefit minisatellite wagering:
SEE EXHIBIT A8

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	NONE, OPTIONAL FOR BIG EVENT DAYS
Parking charges, if any, are:	NONE. SIZEABLE PARKING AS PART OF PREMISES.
Program charges, if any, are:	RETAIL PRICE DETERMINED BY HOST TRACK
Seating charges, if any, are:	NONE. FOOD & BEVERAGE MINIMUMS MAY APPLY.

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility? **NONE**

AGREEMENTS

Attach copies of **all applicable county, city or agency agreements** that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

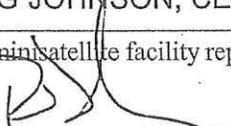
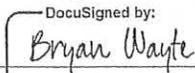
All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: RUSSELL G JOHNSON, CEO CNR ENTERPRISES	Date: 03/24/14
Signature of minisatellite facility representative: 	Date: 03/24/14
Print name of association representative: SEE PART II FROM EACH	Date: _____
Signature of association representative: 	Date: _____
Print name of simulcast organization representative: BRYAN WAYTE, GM NCOTWING	Date: 03/24/14
Signature of simulcast organization representative: 	Date: 3/24/2014



COMMUNITY DEVELOPMENT DEPARTMENT
Douglas N. McIsaac, Director

Phil Burns, Building Director
Building Division
Phone: (661) 326-3720
FAX: (661) 325-0266

Jim Eggert, Planning Director
Planning Division
Phone: (661) 326-3733
FAX: (661) 852-2135

February 21, 2013

Mr. Russ Johnson, President
CNR Enterprises Inc.
7701 White Ln. A2
Bakersfield, California 93309

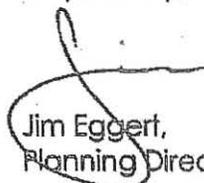
Dear Russ,

Your property located at 7701 White Lane is currently zoned M-1 (Light Manufacturing). The addition of bowling lanes and an off track satellite horse wagering use would be permitted by right within that zone district.

You indicated that the intended uses will be added to a vacated portion of an existing building. A building permit will be required for the interior changes; please contact the Building Department for permit information. Regarding any Planning review, since the work involves a tenant improvement to interior space, no additional review is required as the uses are permitted and parking requirements will remain unchanged; Planning staff would just counter sign the building plans confirming the uses and zoning.

Please let me know if I can be of further assistance.

Respectfully,



Jim Eggert,
Planning Director

EXHIBIT A

Details of Pari-Mutuel Wagering Operations

(Attached)

The Firehouse Sports Bar & Restaurant,
7701 White Lane, Bakersfield, CA, 93309

Mini-Satellite Application

March 2014

EXHIBIT A

1. Applicant/Dates/Hours. The Bakersfield Mini-Satellite is applying for a five-year minisatellite license. Estimated opening is early July, 2014, with continuing operation until expiration of license, anticipated to be end of June, 2019.

The Mini-Satellite will operate Wednesday through Sunday and holiday Mondays as per the CHRB race dates calendar. Hours will be approximately 10am to 10pm, or as deemed appropriate, based on the agree simulcast wagering schedule.

2. Simulcast Organization.

Southern California Off-Track Wagering, Inc.
C/O CHRIMS
7950 Dublin Boulevard, Suite 216
Dublin CA 94568
Phone: (925) 307-7020
Fax: (925) 803-8168

3. California Racing Associations. The Mini-Satellite will offer the same program of California races as all other California satellites as determined by the local day/night host track and approved by the CHRB, as follows:

Watch & Wager c/o Cal Expo
1600 Exposition Blvd.
Sacramento, CA 95815
(916) 263-3000

CARF
1776 Tribute Rd. Suite 205
Sacramento, CA 95815
(916) 927-7223

Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014
(858) 755-1141

Fairplex (L.A. County Fair)
1101 W. McKinley Ave.
Pomona, CA 91768
(909) 426-7600

Golden Gate Fields
1100 E. Shore Highway
Albany, CA 94710
(510) 559-7430

Los Alamitos
4961 Katella Ave.
Los Alamitos, CA 90720
(714) 820-2760

Los Angeles Turf Club (Santa Anita)
285 W. Huntington Dr.
Arcadia, CA 91007
(626) 574-7223

4. **Imports.** The Mini-Satellite will offer the same program of out-of-state and out-of-country races as all other California Satellites as determined by the local day/night host track and approved by the CHRB.

Arlington/Hawthorne
 Balmoral/Maywood
 Calder/Gulfstream
 Churchill Downs/Keeneland/Turfway
 Laurel/Pimlico
 Meadowlands/Monmouth
 Northfield
 NYRA
 Remington
 Retama/Sam Houston/Lone Star
 Turfway Park
 Turf Paradise
 Woodbine
 Hastings
 Australia
 South America

5. **Pari-Mutuel Terminals:** A total of 11 Sportech Racing wagering terminals will be available. (7) convertible teller/self-service terminals, (3) dedicated self-service terminals & (1) dedicated voucher terminal.
6. **Food & Beverage.** A full menu and full bar is available daily. See sample menu, Exhibit E.
7. **Staffing Plan.**
- **Mutuels:** The host track mutuel manager will assign mutuel clerks according to the projected daily handle. On most weekdays, one or two clerks will be assigned, with up to four on some weekend/special event days as needed.
 - **Security:** A security officer will be present at all times at the security station, located at the entrance to the minisatellite area, to restrict access to wagering terminals to persons 21 and older. All wagering terminals are within the sight lines of the security officer.
 - **Responsible party:** Russ Johnson, CEO, CNR Enterprises Inc., (cell - 661.213.6286).
 - **Local Police:** Bakersfield, Police Department; Chief Greg Williamson, 661-327-7111. 1601 Truxtun Ave, Bakersfield, CA 93301
8. **Television Equipment.**
- A total of 45 flat screen televisions will be installed as follows: One video wall each consisting of a 2 X 3 configuration of 47" LCDTVs; 15 x 55" LCDTVs; 24 x 42" LCDTVs (see Exhibit E, Display Elevation, page 3 of 6) - Some TV's spread across bar/restaurant area not documented on drawing
 - (6) 2 channel - Decoders: Roberts
 - Satellite: Dish Network
 - Public Address Equipment: hand-held microphone input at A/V rack located at teller line; audio through TVs and/or ceiling speakers.

9. Advertising and Promotional Plans. Pending CMC approval, a co-op advertising budget (maximum \$15,000) will be used to promote the opening of the facility. A subsequent, continual advertising campaign will utilize major media as well as new media and customer database marketing.
10. Facility Improvements. The former restaurant facility will be completely renovated for use as a full-service restaurant and purpose fitted state of the art Mini-Satellite facility.
11. 20 Mile Radius. The facility is not located within any current restricted radius zones.

EXHIBIT C

Certificate of Workers Compensation Insurance

*(To be provided before proposed mini-satellite
wagering facility opens for business.)*

EXHIBIT D

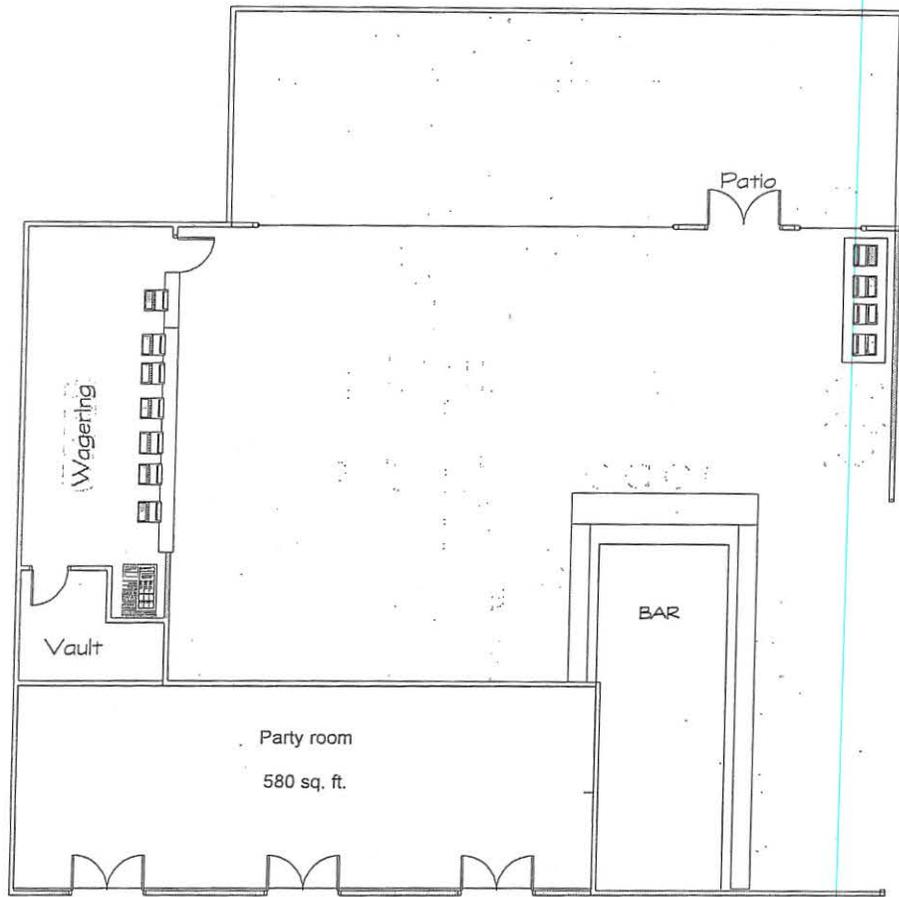
Fire Clearance

(To be provided prior to proposed mini-satellite location opening for business as waging the area is currently being fitted out as an extension to the existing business.)

EXHIBIT E

Facility Plans, Photos & Sample Menu

(Attached)



Fire Exit via patio

The wagering area will be restricted to only allow patrons 21 years and over

Fire Exit via main bar area



PROJECT

Firehouse restaurant
7701 White
Bakersfield, CA 93313

General Concept

Designed by:

Lance Ku
lance.ku@sportech.net
Mobile: (310) 200-9666

DATE



4961 Katella Ave.
Los Alamitos, CA 90270

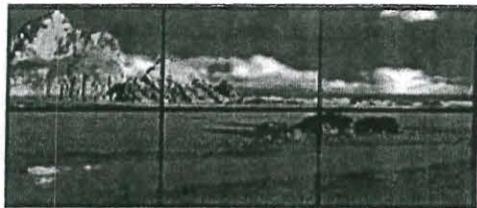
PROJECT
A - Mar 10 2014

DATE

Sheet:

1 of 6

1



2

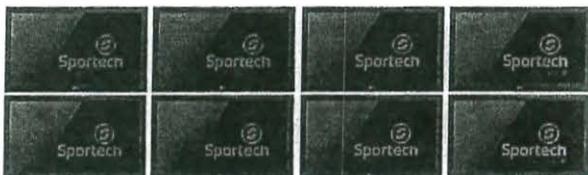


3



Displays will be double sided for patio displays

4



Sportech

PROJECT

Firehouse
restaurant
7701 White
Bakersfield, CA 93313

Display
elevation

Drawing by:

Lance Ku
lance.ku@sportech.net
Mobile: (310) 200-9666

Changes:

Sportech

4861 Katella Ave.
Los Alamitos, CA 90270

Revised:

A - Feb 28 2014

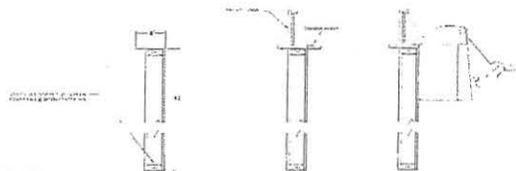
Scale:

Sheet:

3 of 6

Privacy hood goes in between each terminal
Change shelf goes on left of term. Aprx. 4" wide and deep

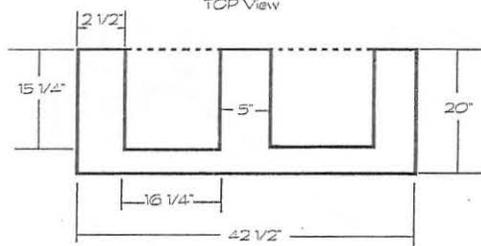
(A)



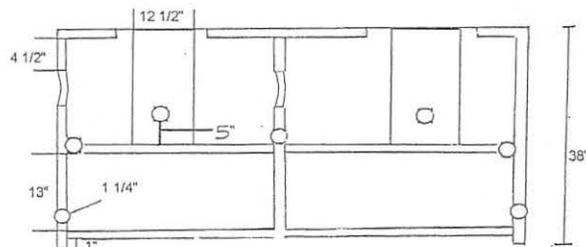
Counter 42" Tall, 6-8" w MAX
Backside must be flush

Standard portable cart

TOP View

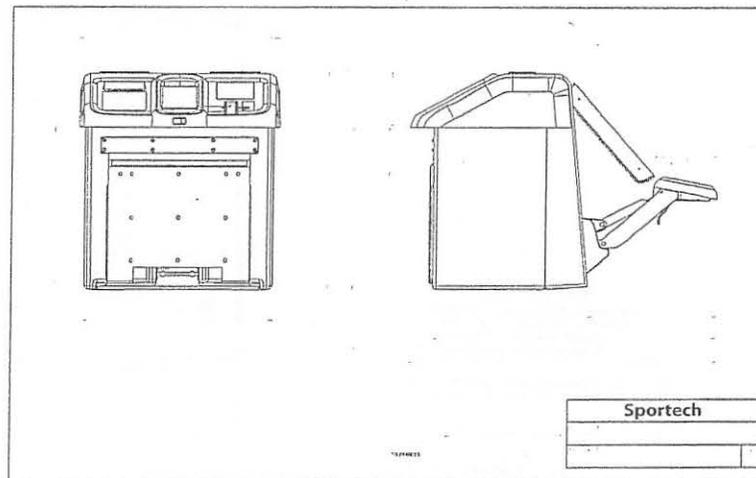


(B)



Front: 42.5 x 37 1/4
Sides: 37 1/4 x 19 1/4
Bottom: 41 x 19 1/4

Wheels: 1/2" from sides
Center Hole: 2 1/2 from front & shelf.
Bottom Holes: centered 4" from bottom and back.



PROJECT

Firehouse
restaurant
7701 White
Bakersfield, CA 93313

Detail

Drawing by:

Lance Ku
lance.ku@sportech.net
Mobile: (310) 200-9666

Changes:

Sportech

4951 Katella Ave.
Los Alamitos, CA 90270

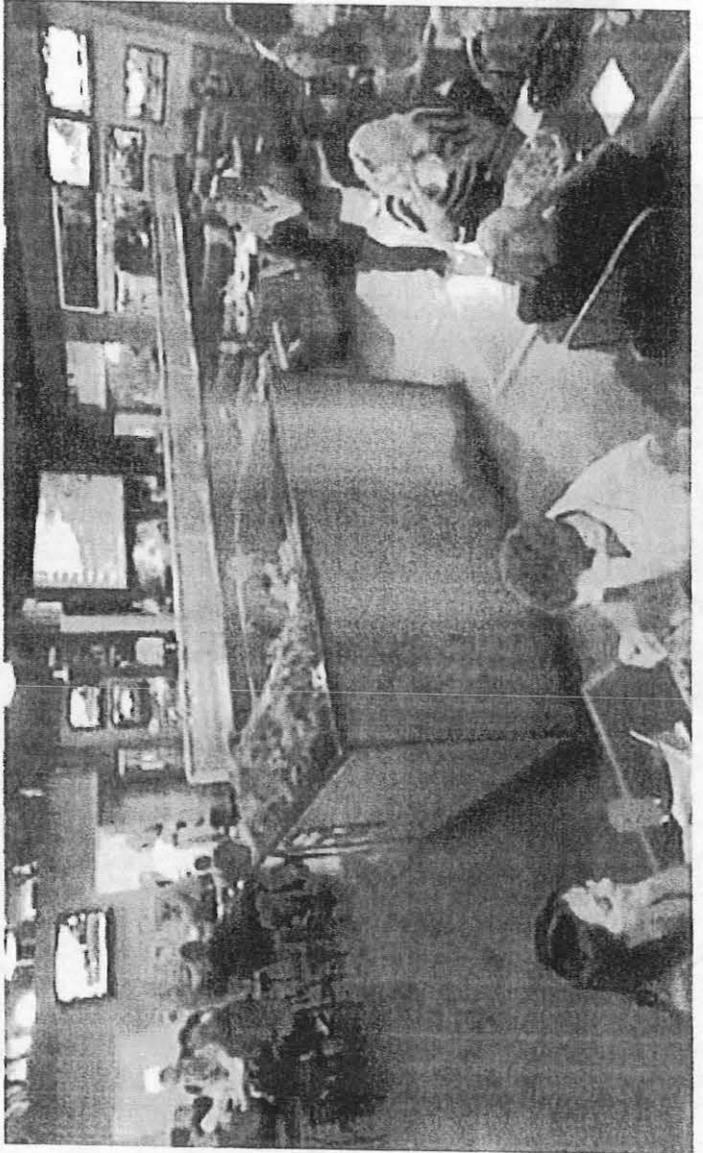
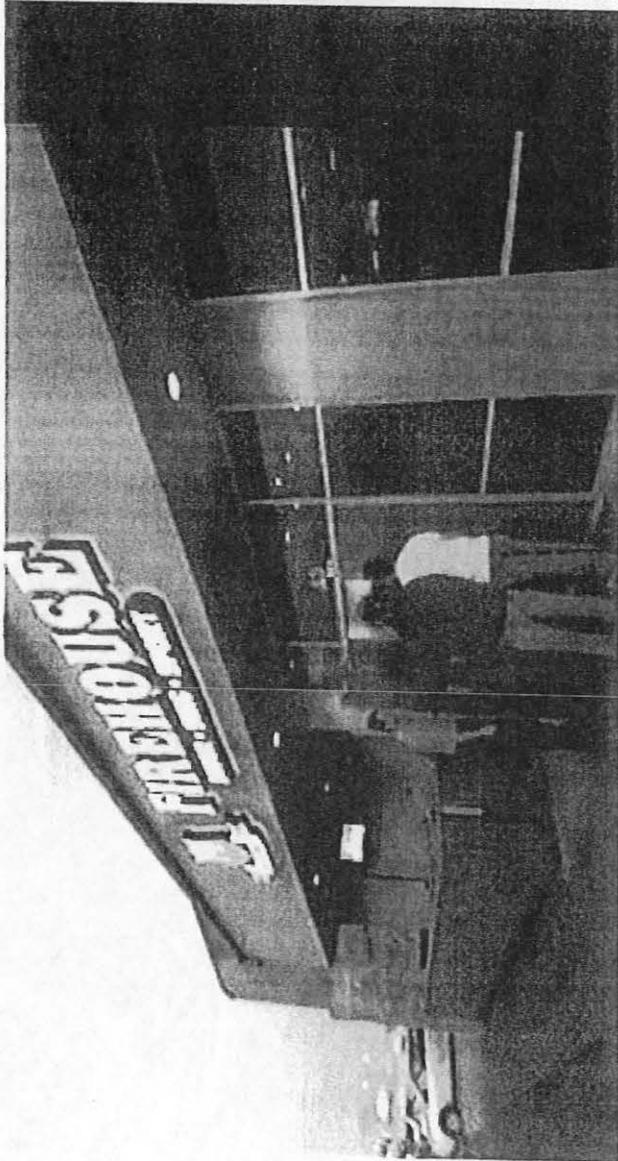
Revision:

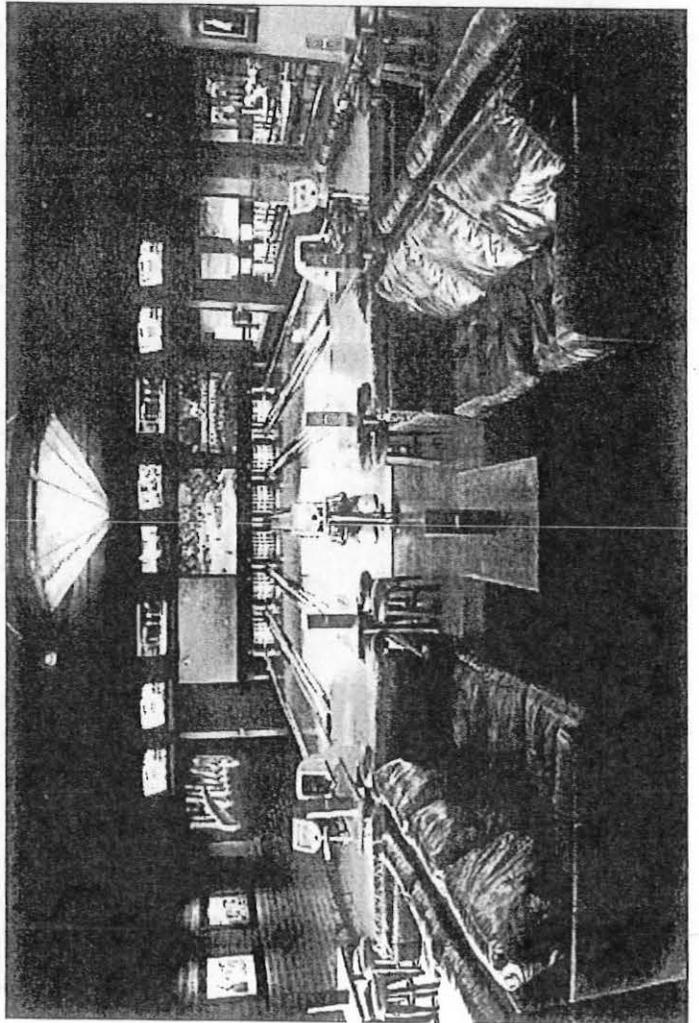
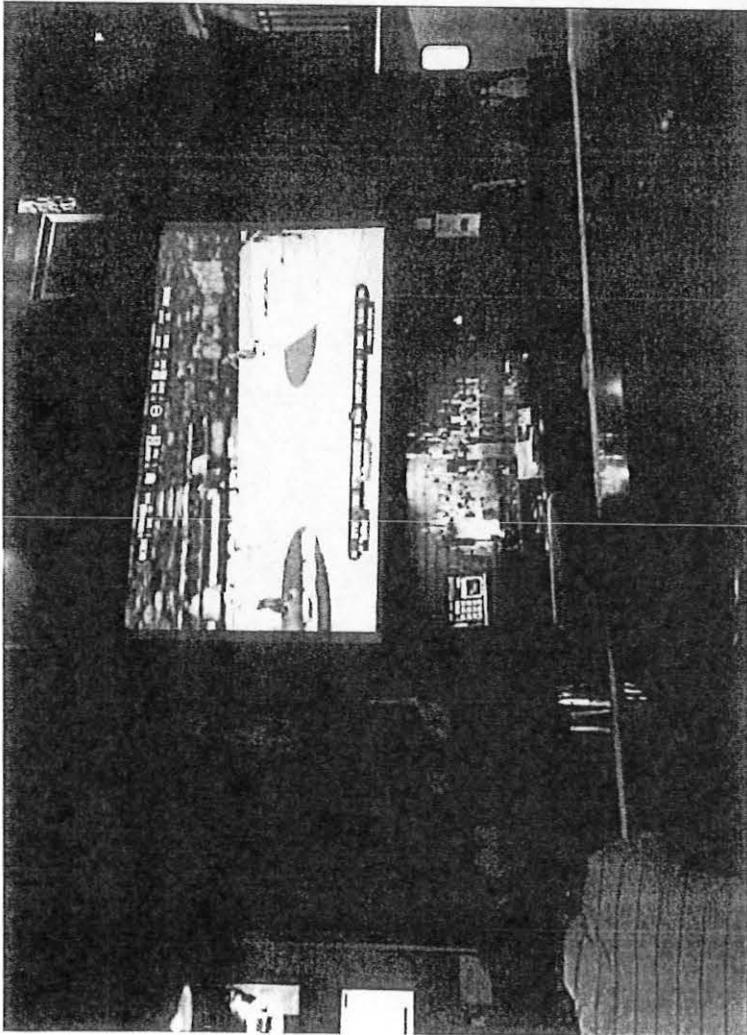
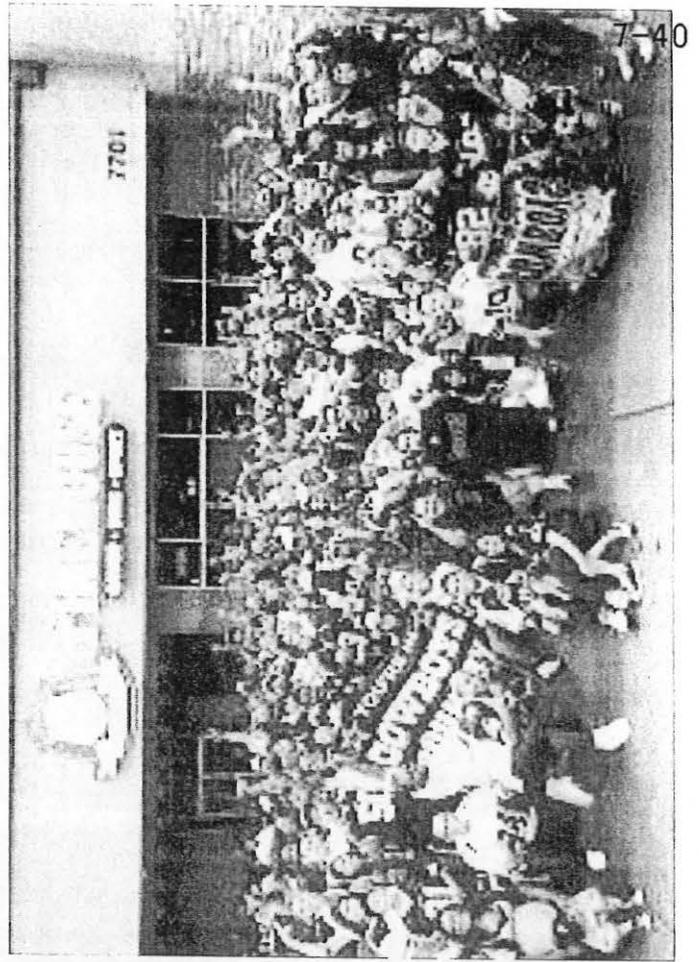
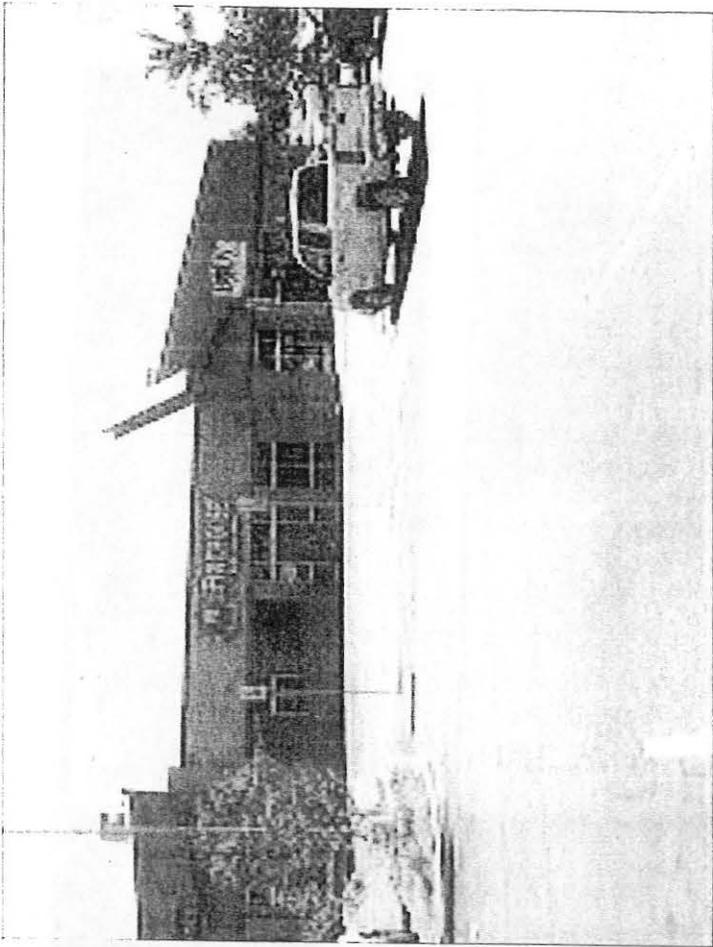
A - Feb 28 2014

Scale:

Sheet:

6 of 6





IGNITERS

FLASH POINT FRIES

Crispy fries smothered with cheese, smoked bacon bits & jalapenos 5.99



FIRE LANE CHEESY GARLIC BREAD

Hot & cheesy three cheese garlic blend, spread over a French loaf and baked to perfection 6.99

CROSS ROADS CHICKEN STRIPS

Crispy chicken tenders served with ranch 7.99
For some Firehouse flair ask to have your strips tossed in one of our wing sauces for an additional .99

STATION COMMANDER NACHOS

A full load of chips topped with our homemade Firehouse chili, nacho cheese sauce, freshly made pico de gallo, and jalapenos, finished with guacamole and sour cream.

Full Order - 8.99

Half Order - 6.99

Add steak or chicken for 1.99

BATTALION POTATO SKINS

Potato shells topped with cheese and crispy smoked bacon bits. Served with sour cream and green onions 6.99

SMOKY QUESADILLA

Grilled tortilla filled with jack and cheddar cheese, pico de gallo, and your choice of chicken for 7.99 or steak for 8.49

ROAD FLARE MOZZARELLA STICKS

Mozzarella cheese breaded with Italian crumbs and served with marinara or ranch 7.99

STRIKE TEAM SAMPLER

A combo of our best offerings including road flares, flash point fries, battalion potato skins, and wings tossed in your choice of sauce 12.99

STATION HOUSE SOUP & SALADS

HOT SHOT SOUP & SALAD

A side house salad and a cup of soup 6.99



WATER HAMMER WEDGE

A wedge of crisp iceberg lettuce topped with bleu cheese dressing and topped with smoked bacon, red onions and diced tomatoes 5.99

SMOKE EATER SESAME CHICKEN

Fresh greens mixed with cucumber, celery, carrots, red bell peppers, and cabbage, all tossed in a creamy sesame peanut dressing and topped with marinated chicken skewers, chopped peanuts, and crispy noodles 9.99

BACK DRAFT BUFFALO BLEU

Fresh greens, diced tomatoes, bleu cheese dressing and crumbles. Topped with our hotspot chicken strips 9.99

SEARCH AND RESCUE POTATO SOUP

Our chef creates our potato soup each day to keep our offering fresh. Topped with cheese, bacon, and chives - Not available on Splash Cafe Fridays

Cup - 3.99

Bowl - 5.99

CLASS A CAESAR

Crisp romaine lettuce tossed with Caesar dressing and topped with croutons and parmesan cheese 6.99
Add chicken - 1.99

Add grilled salmon - 3.99

STATION HOUSE SANTA FE

Fresh greens tossed in our chipotle ranch dressing and topped with Cajun chicken, Ortega chili, crispy tortilla chips, diced tomatoes, and jack and cheddar cheeses 8.99

PILOT PARADISE

Fresh greens, carrots, cucumber, apples, mandarin oranges, pico de gallo, diced chicken, and candied walnuts tossed in balsamic vinegar 9.99

CHAINSAW CHILI

Our family recipe is made with fresh ingredients and prepared in our kitchen daily

Cup - 3.99

Bowl - 5.99

SPLASH CAFE CLAM CHOWDER

(Served On Friday's Only)

THE FAMOUS CHOWDER from the coast in our kitchen and on your table

Cup - 4.99 - in a bread bowl for 7.49

Bowl - 6.99



TOKENS

experience. With the purchase of a regular price entrée you can add the following bonus game features to your check:

• 50 tokens - \$10 (\$12.50 Value) • 100 tokens - \$20 (\$25 Value)

No refunds on tokens purchase

SECTOR SANDWICHES & TACOS

Sandwiches served with fries or substitute fries for a Fire Side 1.49

SMOKED TURKEY CLUB

Cold Smoked turkey topped with avocado, lettuce, tomato, herb mayo and served on thick sliced whole wheat 8.99

CHARGED CAJUN CHICKEN

Grilled blackened chicken breast seasoned with our special Cajun spice, topped with pepper jack cheese, lettuce, tomato, and mayo. Served on a hoagie roll 8.99

HOOK AND LADDER

Grilled ham, turkey, and smoked bacon with Swiss and cheddar cheese, lettuce, tomato, and mayo on thick sliced whole wheat toast 8.99

FISH TACOS

Our beer battered Cod, deep fried to a golden brown and topped with cabbage, crème fresh, and homemade salsa in a crispy corn tortilla, and served with fries 9.99

FLASHOVER SHRIMP TACOS

Two shrimp tacos with cabbage, chipotle ranch, pico de gallo, and avocado. Served with fries 8.99

CODE 2 FAJITA STYLE CHICKEN TACOS

Two fajita chicken tacos with sautéed peppers and onion, topped with guacamole and crème fresh. Served with fries 7.99

FULLY INVOLVED CHICKEN MELTDOWN

Grilled marinated chicken breast topped with smoked bacon, sautéed onion and mushrooms, avocado, lettuce, tomato, mozzarella cheese, mayo, and served on thick sliced white bread 9.99



PAST ATTACK FRENCH DIP

Slow roasted, thinly sliced roast beef served on a hoagie roll and served with au jus 8.99

Add sautéed onions - .99

Add cheese - .99

TYPE III CHEESY BREAD TRI-TIP SANDWICH

Tri-Tip, thinly sliced and served on top of our cheesy garlic bread and topped with pico de gallo 10.99



MAYDAY MINI CARNE ASADA TACOS

Three carne asada mini tacos, topped with diced onion and chopped cilantro, and served with a side of homemade salsa 5.99

SQUAD 1 WRAP

Crossroads chicken strips, cheddar and Monterey jack cheeses, romaine lettuce, tomatoes, and mayo wrapped in a flour tortilla. Served with fries 8.99

FIREHOUSE PIZZAS

Indulge yourself with our traditional 12" crust, brushed with garlic butter and served hot from our ovens



FIREMAN'S MEAT LOVER

This meat lover's delight features our homemade marinara sauce topped with mozzarella cheese, smoked bacon, ham, sausage, and pepperoni 14.99

BUMPER LINE BBQ CHICKEN

Delightfully sweet and smokey BBQ sauce topped with mozzarella cheese, grilled chicken breast, cilantro, and red onions 13.99

HIGH PRESSURE HAWAIIAN

A perfectly baked pizza dough topped with our traditional homemade marinara sauce, mozzarella cheese, ham, and pineapple, and finished with a sprinkle of parmesan cheese 11.99

PLATOON PEPPERONI PIZZA

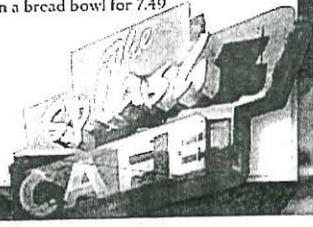
Traditional homemade marinara sauce, topped with mozzarella cheese, and finished off with a generous amount of pepperoni 10.99

DRY BARREL MARGHERITA

Don't like marinara sauce? We offer the Margherita! Olive oil, fresh garlic, tomatoes, mozzarella and fresh basil. Yum! 12.99



Firehouse Favorites



Firehouse Favorites

BACKDRAFT BURGERS



Our burgers are handmade half-pound, USDA Choice ground chuck patties. Served with fries or substitute a Fire Side for 1.49

RESCUE TURKEY BURGER

A healthier choice for our Firehouse fans. Served with Swiss cheese, lettuce, tomato, avocado, and herb mayo 7.99

SMOKEHOUSE BBQ BURGER

Cheddar cheese, lettuce, fried onion strings, tomato, pickles, smoked bacon strips and our Firehouse BBQ sauce 9.99

CHAINSAW CHILI CHEESE BURGER

Served open faced and smothered with our Chainsaw chili, topped with our cheese mix 8.99

ON CALL ORTEGA CHORIZO BURGER

This zesty burger combines the subtle Ortega chili with our handmade choice ground chuck and chorizo patty for a perfect combination. Topped with lettuce, tomato, onion, pepper jack cheese, and chipotle mayo 9.99

FIRE DRILL GUACAMOLE BURGER

Swiss cheese, lettuce, red onion, tomato, mayo, smoked bacon and topped with our homemade fresh guacamole 9.99



CROSS LAY CLASSIC BURGER

Cheddar cheese, lettuce, onion, pickles, and tomato, and our special homemade sauce 7.99

TASK FORCE TERIYAKI BURGER

With a taste of Hawaii we marinate our burger with a rich teriyaki sauce, topped with lettuce, tomato, onion, mayo, cheddar cheese, and a grilled pineapple ring 8.99

EN FUECO BURGER

Topped with lettuce, tomato, onion ring, smoked bacon, chipotle mayo, jalapenos and fresh avocado slices for a perfect balance of savory spice 9.99

CAPTAIN'S 5 ALARM BURGER

Our handmade combination of USDA choice ground chuck and chorizo patty topped with hot link sausage for added heat. Finished with pepper jack cheese, lettuce, tomato, onion, mayo, and our signature 5-alarm sauce 9.99

LIFE LINE MUSHROOM AND BLEU BURGER

Bleu cheese crumbles, sautéed mushrooms, onions, smoked bacon, lettuce, tomato, and herb mayo 10.59

ENTREES

MAYDAY BBQ CHICKEN

Grilled chicken, brushed with our Firehouse BBQ sauce and served with your choice of two Fire Sides 10.99

CADET SIRLOIN STEAK

Juicy char grilled 6 oz cut of USDA Choice sirloin steak served with your choice of two Fire Sides 11.99

MASTER STREAM FISH & CHIPS

Beer battered Cod strips deep fried to perfection and served with fries and coleslaw 10.99

BACK BURN BLACKENED SALMON

Pan seared blackened Atlantic salmon filet, brushed with garlic butter, and served with your choice of two Fire Sides 16.99



TRUCKIE TERIYAKI CHICKEN

Grilled chicken, glazed with teriyaki sauce and served with your choice of two Fire Sides 11.99

SPOT FIRE BLACKENED PASTA

Blackened chicken breast served atop Linguini pasta, with mushrooms, shredded Parmesan in a homemade creamy Alfredo sauce. Served with garlic toast 10.99

SOS RIBS

Pork ribs seasoned with our homemade dry rub and smothered with our Firehouse BBQ sauce. Served with coleslaw and fries
Half rack - 13.99 Full rack - 18.99

CHIEF RIBEYE

A boneless, USDA Choice, 12 oz cut Ribeye, rich with marbling for the perfect taste, and served with your choice of two Fire Sides 17.99

FIRE SIDE CHOICES

Add an additional FIRE SIDE to your entrée for 1.99

- BAKED POTATO
- GARLIC MASHED POTATOES
- SEASONAL VEGETABLES
- STEAMED BROCCOLI
- FRENCH FRIES
- COLESLAW
- SIDE SALAD
- SWEET POTATO FRIES



Firehouse Favorites

DINNER FOR TWO

Enjoy two entrées and an appetizer to share for \$20

APPETIZERS

- Fire Lane Cheesy Garlic Bread
- Half-Order Station Commander Nachos
- Flash Point Fries
- Smoky Quesadilla
- Battalion Potato Skins

ENTREES

- Cross Lay Classic Burger
- Truckie Teriyaki Chicken
- Mayday BBQ Chicken
- Hook And Ladder
- Spot Fire Blackened Pasta
- Class A Chicken Caesar Salad
- Type III Cheesy Bread Steak Sandwich

FIREHOUSE WINGS

Your choice of sauce:

- FALSE ALARM - Mild
- HOTSPOT - Hot
- 5-ALARM - Very Hot
- GARLIC PARMESAN
- SWEET and SOUR
- STATION 1 - BBQ
- TERIYAKI

- 8 PIECE - 7.99 - BONELESS - 8.99
- 16 PIECE - 14.99 - BONELESS - 16.99
- 40 PIECE - 32.99 - BONELESS - 36.99

BOMBER DESSERTS

PROBIE VANILLA ICE CREAM

For those of you who want it simple and delicious we serve two scoops of our vanilla ice cream, plain, for you to enjoy 3.99
Make it a sundae for an additional 1.99

COMMISSIONER'S CHEESE CAKE WITH STRAWBERRIES

A traditional New York cheesecake topped with a fresh strawberry and strawberry puree 5.99



SEASONAL FRUIT COBBLER

Our warm homemade cobbler topped with crumbled brown sugar and vanilla ice cream 4.99

MASTER PLAN CARROT CAKE

Three delicious layers of moist cake loaded with shredded carrots, pecan pieces and crushed pineapple, finished with real cream cheese icing 5.99

CHIEF OFFICER BROWNIE SUNDAE

Fresh baked Ghirardelli chocolate brownie wedges, served warm, with a scoop of vanilla ice cream and drizzled with caramel sauce 6.99



Firehouse Favorites

FAST LANE LUNCH SPECIALS

Our goal is to get you these Fast Lane entrees in 15 minutes so you can get on your way. Offered Monday through Friday from 11am to 3pm

CLASS A CHICKEN CAESAR

Crisp romaine lettuce tossed with Caesar dressing and topped with grilled chicken, croutons, and parmesan cheese 8.98

FISH TACOS

Our beer battered Cod fried to a golden brown and topped with cabbage, crème fresh, and homemade salsa in a crispy corn tortilla. Served with fries 9.99

HOT SHOT SOUP & SALAD

A side house salad and a cup of soup 6.99

FAST ATTACK FRENCH DIP

Slow roasted beef served on a hoagie roll and served with au jus 8.99

MASTER STREAM FISH & CHIPS

Beer battered Cod strips deep fried to perfection and served with French fries and coleslaw 10.99

CODE 2 FAJITA STYLE CHICKEN TACOS

Two fajita chicken tacos with sautéed peppers and onion, topped with guacamole and crème fresh. Served with fries 7.99



FIREHOUSE

SAVOR • SWIG • SPORT

Reference: Bakersfield Mini-Satellite Application

Jim Perez
Executive Director
California Harness Horseman's Association
P.O. Box 254767
Sacramento
CA 95865

Wednesday, April 9, 2014

This letter acts as formal approval from the California Harness Horseman's Association for the establishment and operation of a mini-satellite location at **The Firehouse Restaurant, 7701 White Lane A3, Kern County, CA 93309.**

Please indicate your agreement to the above by signing below where indicated.

AGREED TO AND ACCEPTED THIS 9th DAY OF APRIL, 2014

CALIFORNIA HARNESS HORSEMAN'S ASSOCIATION

P.O. Box 254767
Sacramento
CA 95865

By: Jim Perez

Name: Jim A. Perez

Title: EXECUTIVE DIRECTOR

Date: 4/9/2014

Reference: Bakersfield Mini-Satellite Application

Joe Morris
President
Thoroughbred Owners of California
285 W. Huntington Drive
Arcadia, CA 91007

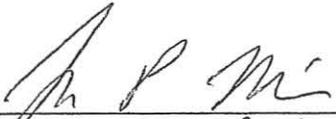
Wednesday, April 9, 2014

This letter acts as formal approval from the Thoroughbred Owners of California for the establishment and operation of a mini-satellite location at **The Firehouse Restaurant, 7701 White Lane A3, Kern County, CA 93309.**

Please indicate your agreement to the above by signing below where indicated.

AGREED TO AND ACCEPTED THIS 9th DAY OF April, 2014

THOROUGHbred OWNERS OF CALIFORNIA
285 W. Huntington Drive
Arcadia, CA 91007

By: 
Name: Joseph P Morris
Title: President
Date: 4/7/14



Pacific Coast Quarter Horse Racing Association

Reference: Bakersfield Mini-Satellite Application

Dominic Alessio
 President
 Pacific Coast Quarter Horse Racing Association
 4961 Katella Ave.
 Los Alamitos, CA 90720

Friday April 11, 2014

This letter acts as formal approval from the Pacific Coast Quarter Horse Racing Association for the establishment and operation of a mini-satellite location at The Firehouse Restaurant, 7701 White Lane A3, Kern County, CA 93309.

Please indicate your agreement to the above by signing below where indicated.

AGREED TO AND ACCEPTED THIS 11 DAY OF April, 2014

Pacific Coast Quarter Horse Racing Association
 4961 Katella Ave.
 Los Alamitos, CA 90720

By: _____

Name: Dominic Alessio

Title: President

Date:

STAFF ANALYSIS
April 25, 2014

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY S&S VENUES CALIFORNIA, LLC.

S&S Venues California, LLC (S&S Venues) filed an application for a license to operate a minisatellite wagering facility at 100 Harbor Drive, Suite 101 - San Diego, CA. S&S Venues is a joint venture between Sportech Venues California, LLC and the Silky Sullivan's Group, LLC. S&S Venues was approved by the Board on December 12, 2013 to operate a minisatellite wagering facility at 2895 Hamner Avenue, Norco, CA. S&S Venues proposes to begin operations at the San Diego facility autumn 2014 and requests a license through autumn 2019 for a period of five years.

Civic San Diego approved the S&S Venues request to incorporate an accessory minisatellite wagering facility within a proposed bona-fide eating and drinking establishment located at 100 Harbor Drive, Suite 101 - San Diego, CA. The approval is subject to conditions such as the primary use of the establishment shall be maintained as a bona-fide eating and drinking establishment; the minisatellite wagering facility shall be limited to no more than 794 square feet and all wagering shall be limited to this area; the bona-fide eating and drinking establishment shall be open and serving made-to-order meals from a commercial kitchen anytime the minisatellite wagering facility is operating.

- The facility is located in San Diego, California. The proposed wagering facility will be located in the Southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- S&S Venues has provided that Del Mar Thoroughbred Club and the 22nd District Agricultural Association (Surfside) are the only Satellite Wagering facilities located within the 20 mile radius of 100 Harbor Drive, San Diego. Del Mar Thoroughbred Club and Surfside have waived the 20 mile radius provision.

The Sycuan Band of Mission Indians wagering facility is located 20.20 miles from the S&S Venues proposed San Diego wagering facility. The mileage was obtained using Free Map Tools.com and provides the shortest possible distance between two places "distance as the crow flies".

S&S Venues has contracts/agreements with the following racing associations:

- California Authority of Racing Fairs
- Del Mar Thoroughbred Club
- Los Alamitos Quarter Horse Racing Association

- Los Angeles County Fair
- Los Angeles Turf Club
- Pacific Racing Association
- Sonoma County Fair
- Watch and Wager LLC

The Simulcast organization engaged by the contracted associations to conduct simulcast wagering is Southern California Off Track Wagering, Inc., (SCOTWINC).

Applicant proposes to operate the minisatellite wagering facility 10:00 a.m. to 6:00 p.m. days and 10:00 a.m. to 11:00 p.m. evenings.

Estimated number of pari-mutuel terminals machines available: 15 pari-mutuel machines will be available. Seating Capacity will be 220; the wagering area will have 50-65 tables. 85 flat screen televisions will be installed as follows: two video walls each consisting of multiple TV video walls - 100 inch and 85 inch TV screens as well as smaller TV screens where appropriate.

Staffing: The host track mutuel manager will assign mutuel clerks according to the projected daily handle. On most weekdays, one or two clerks will be assigned, with up to four on most weekend/special event days as needed. A security officer will be present at all times at the security station, located at the entrance to the minisatellite area, to restrict access to wagering terminals to persons 21 and older. All wagering terminals are within the sight lines of the security officer.

Specific information still needed to complete this application include:

1. Fire Clearance
2. Workers Compensation Certificate

S&S Venues has stated that the outstanding items listed above will be completed prior to opening of the facility.

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon the submission of outstanding items.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I
 MINISATELLITE WAGERING APPLICANT INFORMATION**

APPLICANT INFORMATION

Name of applicant: S&S VENUES CALIFORNIA LLC			
The applicant is:		<input type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Racing Association		<input checked="" type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Fair		<input type="checkbox"/> Other Business Entity	
<input type="checkbox"/> Federally Recognized Indian Tribe			
Facility street address: 100 HARBOR DRIVE, SAN DIEGO, CA 90210			
Mailing address (if different from above):			
E-mail address: PHIL.BALDERAMOS@SPORTECH.NET			
City: SAN DIEGO	State: CALIFORNIA	Zip Code: 92101	
Phone: 203-361-7134	Fax: N/A	Website: N/A	
County: SAN DIEGO		Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)			

**CONTACT PERSON
 (Authorized Representative)**

Name and title of the contact person: PHILIP BALDERAMOS / MANAGER		
Business street address: 800 THE MARK LANE APPT.507		
Mailing address (if different from above):		
City: SAN DIEGO County: SAN DIEGO	State: CA	Zip Code: 92101
Phone: 203-361-7134	E-mail: AS ABOVE	Fax: N/A

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

5 YEAR MINI-SATELLITE LICENSE FROM
 AUTUMN 2014 TO AUTUMN 2019

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No

Do you currently operate a licensed gaming operation in California or another state? Yes No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: _____ End Date: _____

Facility name and address:

City:	State:	Zip Code:
County:		

Zone Location:

North South Central
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No

If yes, provide the following if different from above:

Facility name and address:

City:	State:	Zip Code:
Racing Jurisdiction:		

BUSINESS STRUCTURE

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Limited Liability Company	<input checked="" type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
--	---	--

Registered Business Name: S & S VENUES CALIFORNIA LLC

Fictitious Business Name: STRIDERS SPORTS BAR, GRILL & RACE LOUNGE

Address: 555 LONG WHARF DRIVE, 11TH FLOOR

E-mail Address: PHIL.BALDERAMOS@SPORTECH.NET

City: NEW HAVEN	State: CT	Zip Code: 06511
Phone: 203-361-7134	Fax: N/A	

State where registered or Articles of Organization are filed: DELAWARE	Registry or File number: 46-3788997
--	-------------------------------------

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
SEE SCHEDULE 1			

Are shares listed for public trade?

Yes No

If yes on what stock exchange? N/A

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
PHILIP BALDERAMOS	MANAGER
DENNY KANAKARIS	MANAGER

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:

FULL SIMULCAST SCHEDULE AS PERSUANT TO SCOTWINC (SEE PART II)

Addresses of racing association(s):

Racing association(s) phone numbers:

Proposed contract dates: From: To: (inclusive).

S&S Venues California, LLC
(Delaware)

Domicile: Delaware
File No.:

Date of Formation: 10/01/2013

FEIN:

Corporate Headquarters: 555 Long Wharf Drive, 11th Floor
New Haven, CT 06511

Registered Agent: Corporation Service Company
2711 Centerville Road, Suite 400
Wilmington, DE 19808

Member(s): Sportech Venues CA Holdco, LLC 50%
Silky Sullivan's Group, LLC 50%

Board of Managers (6 members)

SVC Managers: Philip Balderamos
James D. Birney
Frank J. Chesky III

SSG Managers: Demosthenis Kanakaris
Riyad Kassab
Eddie Zennedjian

Officers: Demosthenis Kanakaris President
James D. Birney Treasurer
Eddie Zennedjian Asst. Treasurer
Frank J. Chesky III Secretary

Foreign Qualification(s):

STATE	STATUS	STATE ID	REGISTRATION DATE	WITHDRAWAL DATE
CA	A		10/01/2013	

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 4/2/14	30-day Notice Letter: 4/9/14
Fee received by: <i>[Signature]</i>	Approved on:
Reviewed by: <i>[Signature]</i>	License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name PHILIP BALDERAMOS	Signature of Applicant Representative <i>[Signature]</i>
Title MANAGER	Date: 03/29/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

Name of Association: California Authority of Racing Fairs

Address: 1776 Tribute Rd

Telephone: 916-927-7223

Fax number: 916-263-3341

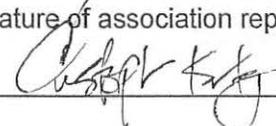
Racetrack name: California Authority of Racing Fairs (CARF)

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Christopher Korby, Executive Director

Signature of association representative:

Name: Christopher Korby



Title Executive Director

Date: 2-24-2019

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

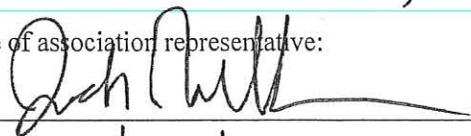
100 Harbor Drive, San Diego, CA 92101

Name of Association: Del Mar Thoroughbred Club
 Address: Po Box 700 Del Mar, CA 92014
 Telephone: 858.755.1141 Fax number: 858.794.1007
 Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

100 Harbor Drive, Downtown San Diego, CA

Signature of association representative:

Name Josh Rubinstein 
 Title Chief Operating Officer Date: 2/24/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

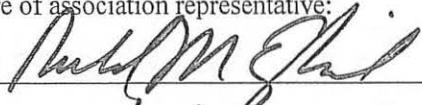
Name of Association: Los Alamitos Quarter Horse Racing Association

Address: 4961 KATELLA AVE Los Alamitos, CA 90720

Telephone: (714) 820 - 2800 Fax number: (714) 820 - 2820

Racetrack name: Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

	Signature of association representative:
Name <u>Richard M ENGLISH</u>	<u></u>
Title <u>Chairman</u>	Date: <u>2/24/14</u>

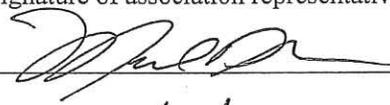
State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

Name of Association: Los Angeles County Fair Assn
Address: 1101 W. McKinley Ave, Pomona CA 91768
Telephone: 909-865-4203 Fax number: 909-865-2481
Racetrack name: BARRETT'S RACING

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:
Name MICHAEL D SEDER 
Title CFO Date: 2/16/14

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

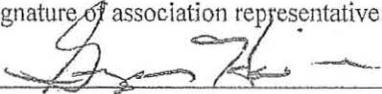
Name of Association: LOS ANGELES TURF CLUBS

Address: 585 W. HUNTINGTON DR, ARCADIA, CA 91007

Telephone: 626 574 7223 Fax number: 626 821 1514

Racetrack name: SANTA ANITA PARK

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>	
Name <u>GEORGE HAINES</u>	Signature of association representative: <u></u>
Title <u>PRESIDENT</u>	Date: <u>2/16/14</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

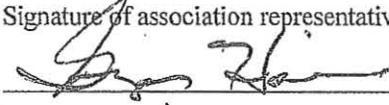
Name of Association: PACIFIC RACING ASSOCIATION

Address: _____

Telephone: _____ Fax number: _____

Racetrack name: GOLDEN GATE FIELDS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name <u>GEORGE HAINES</u>	Signature of association representative: 
Title <u>PRESIDENT</u>	Date: <u>2/16/14</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

Name of Association: Sonoma County Fair + Exposition, Inc

Address: 1350 Bennett Valley Rd. Santa Rosa CA 95404

Telephone: (707) 545-4218 Fax number: _____

Racetrack name: Wine Country Racing

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Tawny Tesconi, Fair Manager

Signature of association representative:

Name Tawny Tesconi

Tawny Tesconi

Title Fair Manager

Date: 2/24/14

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

100 Harbor Drive, San Diego, CA 92101

Name of Association: WATCH AND WAGER LTD.
 Address: 25 CADILLAC DR #201, SACRAMENTO, CA
 Telephone: 916 800 1395 Fax number: 916 760-7410
 Racetrack name: CAL EXPO HARNESS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

CHRISTOPHER SCHICK

Name CHRISTOPHER SCHICK
 Title GENERAL MANAGER

Signature of association representative

Date: 4/10/2014

CONSENT TO ESTABLISHMENT OF A MINI-SATELLITE WAGERING
FACILITY

WHEREAS, Southern California Off Track Wagering, Inc., a California Corporation ("Scotwinc") has entered, or is about to enter, into an agreement with S & S Venues California LLC respect to the establishment of a Restaurant and Minisatellite Wagering facility at 100 Harbor Drive, San Diego, California 92101 (the "San Diego Minisatellite"), and

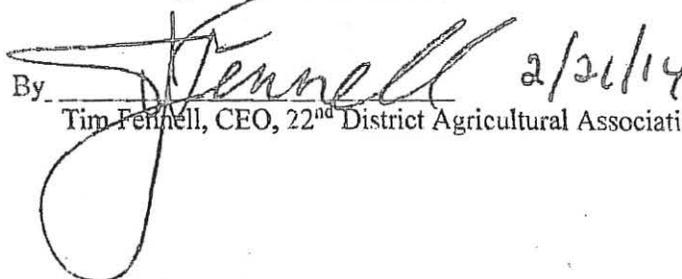
WHEREAS, such facility will be located within twenty (20) statute miles of the 22nd District Agricultural Association at Del Mar Fairgrounds which operates a Racecourse and Satellite Wagering Facility at 2260 Jimmy Durante Boulevard, Del Mar, California 92014 ("22nd District Agricultural Association"), and

WHEREAS, Section 19605.25(a)(1) of the California Business and Professions Code prohibits, absent the consent of the operator of the Satellite Wagering Facility, the location of a Minisatellite within twenty (20) statute miles of an existing Satellite Wagering facility.

NOW THEREFORE, the 22nd District Agricultural Association gives its consent to the establishment and operation of the San Diego Minisatellite for such period of time that said San Diego Minisatellite is licensed and approved by the California Horse Racing Board and in addition, S & S Venues California LLC must maintains a separate fully executed coexisting primary agreement for the Operation of a Minisatellite Wagering Site with the 22nd District Agricultural Association.

22nd District Agricultural Association

By

 2/21/14

Tim Fennell, CEO, 22nd District Agricultural Association

**CONSENT TO ESTABLISHMENT OF A MINI-SATELLITE WAGERING
FACILITY**

WHEREAS, Southern California Off Track Wagering, Inc., a California Corporation ("Scotwinc") has entered, or is about to enter, into an agreement with S & S Venues California LLC respect to the establishment of a Restaurant and Minisatellite Wagering facility at 100 Harbor Drive, San Diego, California 92101 (the "San Diego Minisatellite"), and

WHEREAS, such facility will be located within twenty (20) statute miles of the Del Mar Thoroughbred Club which operates a Racecourse Facility at 2260 Jimmy Durante Boulevard, Del Mar, California 92014 ("DMTC"), and

WHEREAS, Section 19605.25(a)(1) of the California Business and Professions Code prohibits, absent the consent of the operator of the Satellite Wagering Facility, the location of a Minisatellite within twenty (20) statute miles of an existing Racetrack or Satellite Wagering facility.

NOW THEREFORE, DMTC gives its consent to the establishment and operation of the San Diego Minisatellite for such period of time that said San Diego Minisatellite is licensed and approved by the California Horse Racing Board.

Del Mar Thoroughbred Club

By



Josh Rubinstein, COO, DMTC

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

**PART III
 SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: 10AM TO 1AM DAILY (MAXIMUM HOURS)

Hours for operation of the minisatellite wagering site: 10AM - 6PM DAYS / 10AM - 11PM NIGHTS

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): NONE

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

SEE EXHIBIT A3		
----------------	--	--

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Estimated number of pari-mutuel terminals machines available: 15

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s)
 must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
RANDY HARTZELL, DIRECTOR OF MUTUELS	306778
SANTA ANITA (REPRESENTING SCOTWINC)	EXP. 02/2017

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

SEE EXHIBIT C

Attach a fire clearance from the fire authority having jurisdiction.

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility.

SEE EXHIBIT A7

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site.

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a)(4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **FULL SERVICE BAR & RESTAURANT MENU (ATTACHED)**

The seating capacity in the minisatellite wagering facility is: 220	The number of tables in the minisatellite wagering area is: 50-65 (APPROXIMATE GUIDE)
--	--

Overall square footage in the minisatellite wagering area is: **3,581 SQUARE FOOT**

Attach a photograph of the minisatellite wagering area.

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:
TBD AT TIME OF FINAL FIRE DEPARTMENT INSPECTION PRIOR TO OCCUPANCY

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **30 UNDERGROUND. 200 IN PUBLIC PARKING LOT OPPOSITE.**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **NONE**

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: **SEE EXHIBIT A8**

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:
SEE EXHIBIT A8

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: **SEE EXHIBIT A9**

Describe any improvements to the facility that will directly benefit minisatellite wagering:
SEE EXHIBIT A10

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	NONE, OPTIONAL FOR BIG EVENT DAYS
Parking charges, if any, are:	VARIOUS NEARBY PARKING LOTS (\$5-\$8 ALL DAY)
Program charges, if any, are:	RETAIL PRICE DETERMINED BY HOST TRACK
Seating charges, if any, are:	NONE. FOOD & BEVERAGE MINIMUMS MAY APPLY.

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility? **LESS THAN 1 YEAR**

AGREEMENTS

Attach copies of **all applicable county, city or agency agreements** that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: PHILIP BALDERAMOS	Date: 03/29/14
Signature of minisatellite facility representative: 	Date: 03/29/14
Print name of association representative: SEE PART II FROM EACH	Date:
Signature of association representative:	Date:
Print name of simulcast organization representative: GEORGE HAINES / JOE MORRIS	Date: 03/29/14
Signature of simulcast organization representative:	Date: 03/29/14

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to establish and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the mini-satellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Adverse natural disasters or events beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital services to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code and the Federal Indian Gaming Act to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust, association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horse racing application pursuant to Article 4, section 19487 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of mini-satellite facility applicant representative: PHILIP BALDERAMOS	Date: 03/29/14
Signature of mini-satellite facility representative: 	Date: 03/29/14
Print name of association representative: SEE PART II FROM EACH	Date:
Signature of association representative:	Date:
Print name of simulcast organization representative: GEORGE HAINES / JOE MORRIS	Date: 03/29/14
Signature of simulcast organization representative: 	Date: 03/29/14

EXHIBIT A

Details of Pari-Mutuel Wagering Operations

(Attached)

100 Harbor Drive
San Diego CA 92101

Mini-Satellite Application

February 28, 2014

EXHIBIT A

1. **Applicant/Dates/Hours.** San Diego Mini-Satellite is applying for a five-year minisatellite license. Estimated opening is early autumn 2014, with continuing operation until expiration of license, anticipated to be autumn 2019.

The Mini-Satellite will operate Wednesday through Sunday and holiday Mondays as per the CHRB race dates calendar. Hours will be approximately 10am to 6pm on Wednesdays, Thursdays and holiday Mondays, and 10am to 11pm on Fridays, Saturdays and Sundays. Hours may vary according to host track schedules and post times.

2. **Simulcast Organization.**

Southern California Off-Track Wagering, Inc.
C/O CHRIMS
7950 Dublin Boulevard, Suite 216
Dublin CA 94568
Phone: (925) 307-7020
Fax: (925) 803-8168

3. **California Racing Associations.** The Mini-Satellite will offer the same program of California races as all other California satellites as determined by the local day/night host track and approved by the CHRB, as follows:

Watch & Wager c/o Cal Expo
1600 Exposition Blvd.
Sacramento, CA 95815
(916) 263-3000

CARF
1776 Tribute Rd. Suite 205
Sacramento, CA 95815
(916) 927-7223

Del Mar Thoroughbred Club
2260 Jimmy Durante Blvd.
Del Mar, CA 92014
(858) 755-1141

Fairplex (L.A. County Fair)
1101 W. McKinley Ave.
Pomona, CA 91768
(909) 426-7600

Golden Gate Fields
1100 E. Shore Highway
Albany, CA 94710
(510) 559-7430

Los Alamitos
4961 Katella Ave.
Los Alamitos, CA 90720
(714) 820-2760

Los Angeles Turf Club (Santa Anita)
285 W. Huntington Dr.
Arcadia, CA 91007
(626) 574-7223

4. **Imports.** The Mini-Satellite will offer the same program of out-of-state and out-of-country races as all other California Satellites as determined by the local day/night host track and approved by the CHRB.

Arlington/Hawthorne
 Balmoral/Maywood
 Calder/Gulfstream
 Churchill Downs/Keeneland/Turfway
 Laurel/Pimlico
 Meadowlands/Monmouth
 Northfield
 NYRA
 Remington
 Retama/Sam Houston/Lone Star
 Turfway Park
 Turf Paradise
 Woodbine
 Hastings
 Australia
 South America

5. **Pari-Mutuel Terminals:** A total of 15 Sportech Racing, LLC wagering terminals will be available.

6. **Food & Beverage.** A full menu and full bar is available daily. See sample menu, Exhibit E.

7. **Staffing Plan.**

- **Mutuels:** The host track mutuel manager will assign mutuel clerks according to the projected daily handle. On most weekdays, one or two clerks will be assigned, with up to four on most weekend/special event days as needed.
- **Security:** A security officer will be present at all times at the security station, located at the entrance to the minisatellite area, to restrict access to wagering terminals to persons 21 and older. All wagering terminals are within the sight lines of the security officer.
- **Responsible party:** Phil Balderamos, Manager, (203) 361-7134;
- **Local Police:** San Diego Police Department; Chief Shelley Zimmerman. 1401 Broadway, San Diego, CA 92101. 619-531-2000.

8. **Television Equipment.**

A total of 85 flat screen televisions will be installed as follows: two video walls each consisting of multiple TV video walls, 100 inch and 85 inch TV screens as well as smaller TV screens where appropriate.

Decoder: Roberts

Satellite: Dish Network

Public Address Equipment: hand-held microphone input at A/V rack located at teller line; audio through TVs and/or ceiling speakers.

9. **Advertising and Promotional Plans.** Pending CMC approval, a co-op advertising budget (maximum \$15,000) will be used to promote the opening of the facility. A subsequent, continual advertising campaign will utilize major media as well as new media and customer database marketing.
10. **Facility Improvements.** The former restaurant facility will be completely renovated for use as a full-service restaurant and purpose fitted state of the art Mini-Satellite facility. This will be a high-end facility as the very first downtown city center mini-satellite location in the state of California.
11. **20 Mile Radius.** The facility is located within 20 miles of Del Mar Thoroughbred Club and 22nd DAA (Surfside) facilities. Waivers have been obtained from each.

EXHIBIT C

Certificate of Workers Compensation Insurance

*(To be provided before proposed mini-satellite
wagering facility opens for business.)*

EXHIBIT D

Fire Clearance

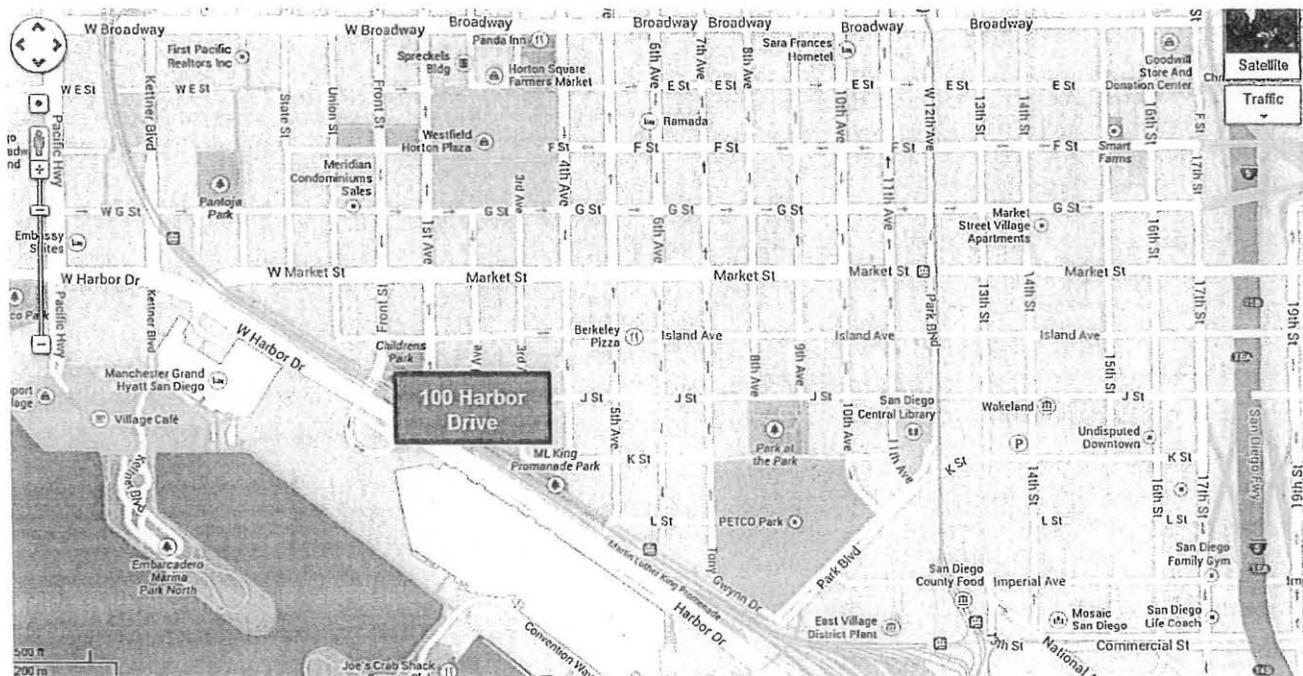
(To be provided prior to proposed mini-satellite location opening for business as waging the area is currently being fitted out as an extension to the existing business.)

EXHIBIT E

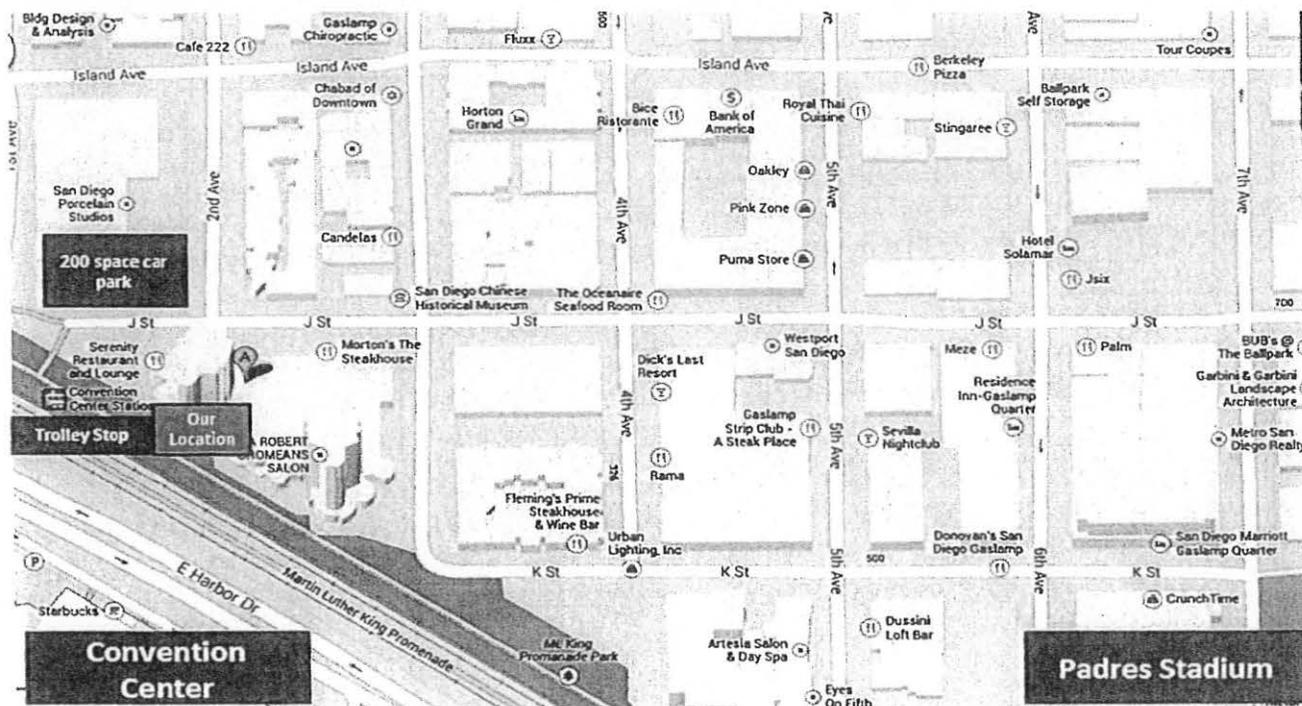
Facility Plans, Photos & Sample Menu

(Attached)

100 Harbor Drive Mini-Satellite Location Overview



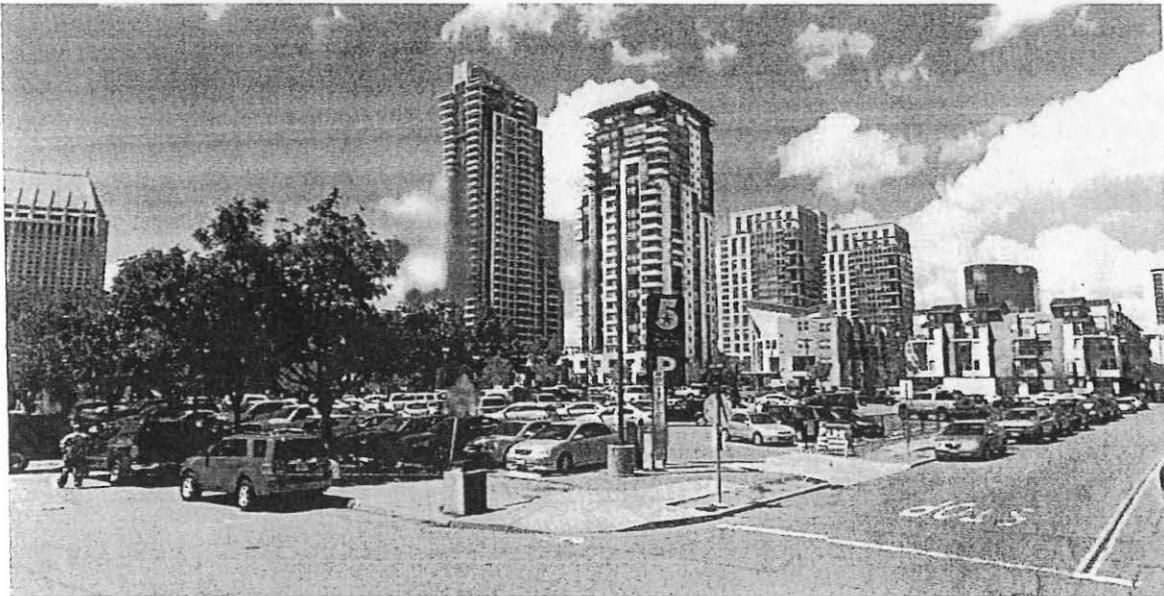
Our location is situated in the heart of downtown San Diego & close to the I-5 freeway



Our location is nearby the Padres baseball stadium, directly opposite the convention center, has a local trolley stop in front of it and and 200 space car park behind it.



The frontage of our location from the trolley stop and convention center (outlined in red).



200 space car park behind our location (\$5 & \$8 all day parking)



Current interior of our Location (Ground floor – restaurant, bar and kitchen).



Current interior of our Location (1st Floor – bar and main floor to be converted into wagering area).

APPETIZERS AND SOUPS

Crispy Calamari...\$7.99

Served with Zesty Marinara
& Tartar Sauce

Shrimp Cocktail...\$11.99

Fresh Jumbo Shrimp Served with
Our Own Tangy Cocktail Sauce

Wagon Wheel Onion Rings...\$7.99

Large Fresh Cut Onions Beer
Battered and Fried

Loaded Potato Skins...\$7.99

With Freshly Grated Jack and Cheddar,
Crumbled Bacon, Sour Cream & Green Onion

Mozzarella Stix...\$5.99

Served with Marinara Sauce

Chili Cheese Fries...\$5.99

Steak Fries Topped with Chili & Melted
Cheddar Cheese

Classic Sliders...\$9.99

Three Mini Burgers Served with
Grilled Onions & Pickles

Chicken Wings...\$7.99

Traditional Wings served Spicy or BBQ
with celery, carrots & Ranch dressing

Margherita Flatbread Pizza...\$6.99

Fresh Mozzarella, Sweet Tomato Sauce, Fresh
Basil & Parmesan Cheese

Prime Rib Chili...\$5.99

Served with Diced Red Onion &
Cheddar Cheese

Soup of the Day...\$4.99

SALADS

ALL OF OUR DRESSINGS PREPARED FRESH DAILY
CHOOSE FROM BLUE CHEESE, RANCH, ITALIAN,
HONEY MUSTARD OR BALSAMIC VINAIGRETTE

House Salad...\$4.99

Crisp Mixed Greens, Grape
Tomatoes, Garlic Crutons,
Red Onions, Cucumbers & Carrots

BLT Wedge...\$8.99

Crispy Iceberg Wedge Topped
with Blue Cheese Crumbles,
Bacon, Tomato & Red Onion

Asian Salad...\$9.99

Napa & Red Cabbage Lettuce, Chicken
& Wontons Tossed with Scallions, Cilantro,
Sesame Seed & Hoisingsinger Vinaigrette

Caesar Salad...\$8

Add Chicken Breast, Salmon Filet
Or Shrimp...\$4
Fresh Romaine Lettuce,
Shredded Parmesan, Crispy
Garlic Crutons With Caesar
Dressing

Classic Cobb...\$10.99

Fresh Greens with Turkey Breast,
Chopped Tomato and Bacon, Topped with
Avocado, Blue Cheese Crumbles & Egg

Shrimp Louie...\$11.99

Bed of Iceberg Lettuce Topped with
Fresh Shrimp, Tomato and Egg
Served with our Dressing

Sirloin Steak Wedge...\$11.99

Crisp Chilled Iceberg Wedge Topped
with Grilled and Sliced Marinated Sirloin
Steak, Ranch Dressing, Tomatoes, Red Onion
& Chopped Egg

Grilled Salmon Salad...\$11.99

Grilled Salmon Filet Atop a Bed of Fresh
Mixed Greens, Topped With Fresh
Vegetables

Stuffed Avocado...\$9.99

Whole Avocado Filled with Tuna Salad
& Served Atop Fresh Greens

LUNK TUNE UP

Served With Choice of Steak Fries or Coleslaw

Striders Famous BBQ Beef...\$10.99
Tender Basket of Beef Served on a
French Roll & Smothered in BBQ Sauce

Deli Reuben...\$9.99

Your Choice of Corned Beef, Pastrami
or Turkey Served on Grilled Rye with
Swiss & Sauerkraut

Del Mar Dip...\$11.99

Classic French Dip With Our
Own Roasted Beef, Served on a
French Roll with Au Jus

California Club Sandwich...\$10.99

Fresh Grilled Chicken Breast, Melted
Swiss Cheese, Bacon, Avocado,
Lettuce and Tomato. Served on Grilled
Sourdough

Tuna Sandwich...\$7.99

Fresh White Albacore Tuna Piled High
Atop Multigrain Wheat and Served with
Lettuce, Tomato and Red Onion

Buffalo Chicken Sandwich...\$9.99

Tender Grilled Chicken Breast Topped with
Bleu Cheese Crumbles & Spicy Buffalo Sauce
Atop Lettuce, Tomato & Red Onion

Classic Burger... \$8.99

Half Pound Grilled Burger, Lettuce
Tomato, Onion and Pickles
Served on a Kaiser Roll
Add Cheese... \$1.00

Cobb Burger...\$10.99

Half Pound Burger Grilled to Perfection and
Topped with Melted Bleu Cheese Crumbles,
Bacon, Tomato & Avocado

Frisco Burger...\$9.99

Half Pound Burger Served on Grilled Parmesan
Sourdough with Swiss, Tomato & Russian Dressing

Fish Tacos...\$7.99

Beer Battered Cod, Shredded Cabbage
With Our Own Ranchito Sauce

Deli Dog...\$9.99

All Beef Footlong Dog Topped with Pastrami,
Sauerkraut & Brown Mustard

Italian Torpedo...\$9.99

Mortadella, Salami, Capicola, Provolone,
Lettuce, Tomato, Onion, Green Peppers &
Black Olives. Served Toasted

Philly Cheesesteak... \$12.99

Tender Philly Steak Topped with
Sautéed Mushrooms, Grilled Onions,
Onions and Melted Provolone Cheese

Triple Crown Club...\$10.99

Fresh Roasted Turkey, Bacon,
Lettuce and Tomato Served on
Your Choice of Toasted Bread
Add Avocado... \$1.99

Grilled Patty Melt on Rye...\$9.99

Half Pound Charbroiled Burger Patty,
Grilled Onions and American Cheese.
Served on Grilled Sourdough or Rye Bread

New York Steak Sandwich...\$12.99

Grilled to Perfection and Served
French Roll, Topped With Sautéed
Mushrooms and Onion Rings

Classic B.L.T...\$7.99

Crispy Bacon, Lettuce, Tomato
and Mayonnaise. Served on
Choice of Toast
Add Avocado... \$1.99

Deli Burger...\$11.99

N.Y.C Style, Grilled Half Pound Burger
Smothered with Pastrami, Melted Swiss
Cheese, Coleslaw and Russian Dressing

Chili Size...\$9.99

Half Pound Burger Grilled to Perfection and
Served Open Face Smothered in Chili

Classic Dog...\$5.99

All Beef Footlong Dog Served on
French Roll

Chili Cheese Dog...\$8.99

All Beef Footlong Dog Topped With Chili
& Cheddar Cheese

Fish & Chips...\$9.99

Our Famous Cod Filet Dipped in Housemade
Beer Batter, Fried Golden Brown & Served
Over A Bed of Steak Fries & Cole Slaw

SIGNATURE STEAKS

Served With Steamed Vegetable & Choice of Steak Fries or Baked Potato

Filet 8oz...\$25.99

The Most Tender Cut of Corn-Fed
Midwestern Beef, Broiled to Your Liking

Cowboy Ribeye 22oz...\$34.99

A Huge Bone-in Version of this USDA Prime
Cut

Ribeye 12oz...\$22.99

An Outstanding Example of USDA Prime
at its best.

New York Strip...\$19.99

This USDA Prime Cut Has a Full Bodied
texture that is Slightly Firmer than a Ribeye

Prime Rib...\$14.99

Slow Roasted, Served with Au Jus &
Creamy Horseradish

10 oz ... \$14.99

12 oz... \$17.99

20 oz... \$ 24.99

SEAFOOD

Served With Steamed Vegetable & Choice of Steak Fries or Baked Potato

Fish & Chips...\$11.99

Three Pieces of Cod Filets Dipped in
Beer Batter & Fried Golden Brown.
Served with Tarter Sauce, Fries & Coleslaw

Talipia...\$12.99

Bredden in A Crusted Pecan Batter
And Pan Fried To Perfection. Served
With A Baked Potato & Steamed
Vegetables

Barbecued Shrimp...\$14.99

Our Signature Jumbo Shrimp Sauteed Now
Orleans-Style in Reduced White Wine, Butter,
Garlic and Spices, on a Bed of Roasted Garlic
Mashed Potatoes

Perfectly Grilled Salmon...\$17.99

Flame Broiled or Blackened to Your
Liking

PIZZA

All 10"

Meat Lovers...\$12.99

Housemade Meatballs, Pepperoni,
Ham, Italian Sausage & Fresh Mozzarella

BBQ Chicken...\$10.99

Hickory Smoked BBQ Sauce, Red Onion,
Cilantro, BBQ Chicken Breast & Fresh Mozzarella

Hawaiian...\$10.99

Oven Roasted Ham, Pineapple &
Fresh Mozzarella Cheese

Cheese...\$9.99**Veggie Lovers...\$10.99**

Tomato, Mushroom, Bell Pepper, Black Olive,
Red Onion & Fresh Mozzarella

Striders White...\$10.99

Olive Oil, Roasted Garlic, Fresh Basil,
Parmesan, Ricotta & Fresh Mozzarella

Striders Works...\$14.99

Pepperoni, Sausage, Fresh Mushroom,
Onion, Green Pepper, Canadian Bacon,
Black Olive & Fresh Mozzarella

ALCOHOLIC BEVERAGES

WINES BY THE BOTTLE

Chardonnay

Sutter Home Split	\$	3.75
Sycamore Lane	\$	15.00
Kendall Jackson	\$	25.00
Raymond	\$	35.00
J Lohr	\$	28.00
Cakebread	\$	52.00
Far Niente	\$	62.00

Cabernet Sauvignon

Sycamore Lane	\$	15.00
Kendall Jackson	\$	28.00
J Lohr	\$	30.00
Robert Mondavi	\$	30.00
Lucas and Lewellen	\$	36.00
Jordan	\$	68.00
Far Niente	\$	145.00

White Zinfandel

Sutter Home Split	\$	3.75
Beringer	\$	20.00

Merlot

Sutter Home Split	\$	3.75
Blackstone	\$	28.00

Other Whites

KJ Riesling	\$	24.00
Edomami Pinot Grigio	\$	22.00

Zinfandel

Sycamore Lane	\$	15.00
Rancho Zabacco	\$	26.00

Champagne

Tanglewood Split	\$	6.00
William Wychiff	\$	15.00
Roederer Estates	\$	45.00
Dom Perignon	\$	190.00
Crystal	\$	375.00

Pinot Noir

Lucas and Lewellen	\$	28.00
Kendall Jackson	\$	30.00

Meritage

Kendall Jackson	\$	28.00
-----------------	----	-------

ALCOHOLIC BEVERAGES

BEERS

ON TAP

Budweiser		Coors Light	
	Bud Light		
Pint	\$ 3.75		
Tall	\$ 4.25		

Widmer		New Castle	
Shock Top		Stella Artois	
Anchor Steam		Modelo Lager	
Pint	\$ 4.50		
Tall	\$ 5.75		

IN THE BOTTLE

Budweiser		Miller	
Bud Light		Coors Light	
Miller Light		Michelob Ultra	
Coors		Bluebird	
	\$ 3.75		

Corona		Heineken	
Corona Light		Heineken Light	
Pacifico		Bohemia	
Modelo Lager		Samuel Adams	
Amstel Light		New Castle	
Guinness		Sierra Nevada	
Dos Equis Lager		Lucky Buddha	
	\$ 4.50		

WINE

BY THE GLASS

Chardonnay

Sycamore Lane	\$ 4.25
Kendall Jackson	\$ 6.00
J Lohr	\$ 7.00
Lucas and Lewellen	\$ 7.00
Raymond	\$ 8.00

Cabernet Sauvignon

Sycamore Lane	\$ 4.25
Robert Mondavi	\$ 7.00
Lucas and Lewellen	\$ 8.00
Kendall Jackson	\$ 7.00
J Lohr	\$ 9.00

White Zinfandel

Sycamore Lane	\$ 4.25
Beringer	\$ 5.25

Merlot

Sycamore Lane	\$ 4.25
Blackstone	\$ 7.00

White Varietals

Ecce Pinot Grigio	\$ 5.75
Kendall Jackson Reisling	\$ 7.00

Pinot Noir

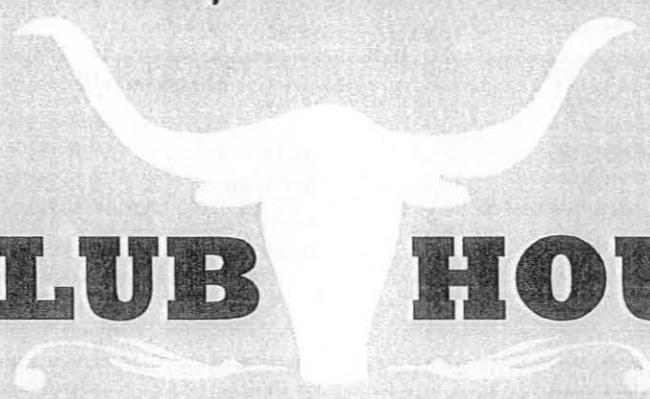
Kendall Jackson	\$ 7.00
Lucas and Lewellen	\$ 7.00

House Offerings

House Burgandy	\$ 3.25
House Chablis	\$ 3.25

Other Reds

K J Meritage	\$ 7.00
Rancho Zabaco Zin	\$ 7.00



CLUB HOUSE

MARTINIS

Daily Double Cosmo

Tito's Handmade Vodka, Cointreau, cranberry juice, fresh lime juice \$8.00

Blueberry Lemondrop

Hangar 1 Blueberry Vodka, fresh lemon sour with sugared rim \$8.00

Pear Flower Martini

Grey Goose Le Poire, St Germain, Lemon sour \$8.00

Strawberry Madness Martini

Three Olives Strawberry Vodka, lemon sour, fresh strawberries, topped with Champagne \$8.00

Cable Car Martini

Sailor Jerry, Cointreau, lemon juice, simple syrup, sugared rim

Perfect Margarita

1800 Blanco, Cointreau, fresh lime juice, agave nectar \$10.00

Chocolate Martini

Three Olives Chocolate Vodka, cream and a chocolate syrup rim \$8.00

The Back Stretch

Grey Goose Vodka, Martini & Rossi dry vermouth \$9.00

COCKTAILS

Old Fashioned Bookie

Jack Daniels, sugar, Angostura Bitters, muddled oranges, maraschino cherries with splash of soda \$8.00

The Front Runner Tea

Grey Goose Citron, Seagrams Sweet Tea, fresh squeezed lemonade, ice tea, mint infused simple syrup \$8.00

Wildberry Mojito

Bacardi Superior, wildberry syrup, lime juice, mint, soda water \$7.00

Ranch Hand Lemonade

Jack Daniels, Chambord, lemonade, fresh mint \$8.00

Saddle Sunset

Sailor Jerry Spiced Rum, orange juice, grenadine \$8.00

Moscow Mule

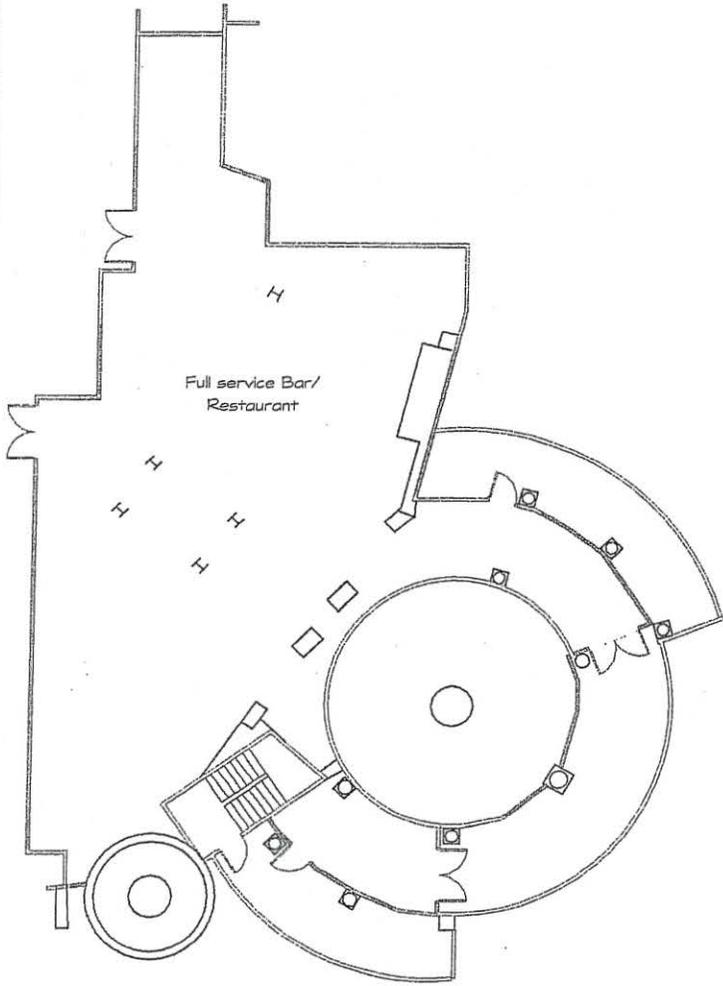
Russian Standard Vodka, Cock n Bull ginger beer, fresh lime juice \$7.00

Pete Rose Margarita

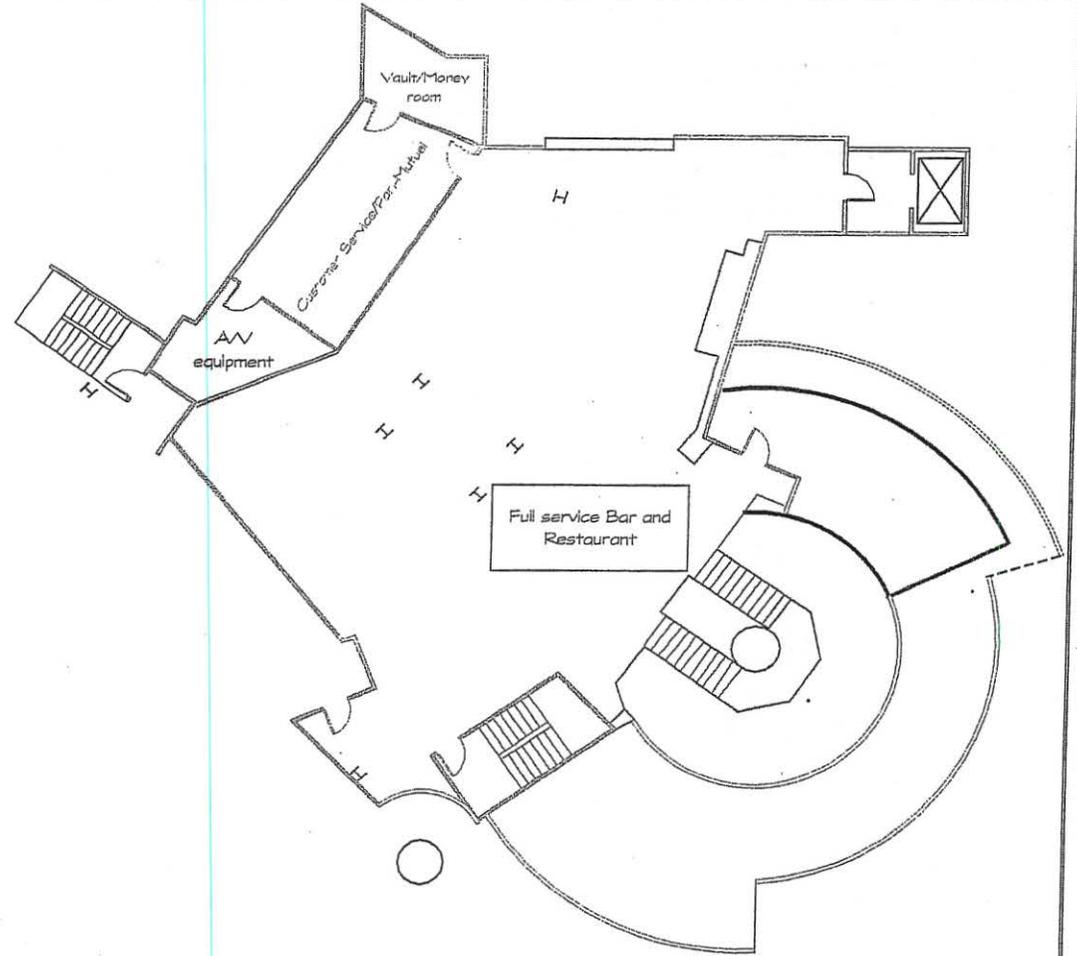
Herradura Blanco Tequila, Chambord, triple sec, citrus sour \$9.00

Skinny Cowgirl Margarita

Casa Noble, fresh lime juice, agave nectar and a splash of soda \$9.00

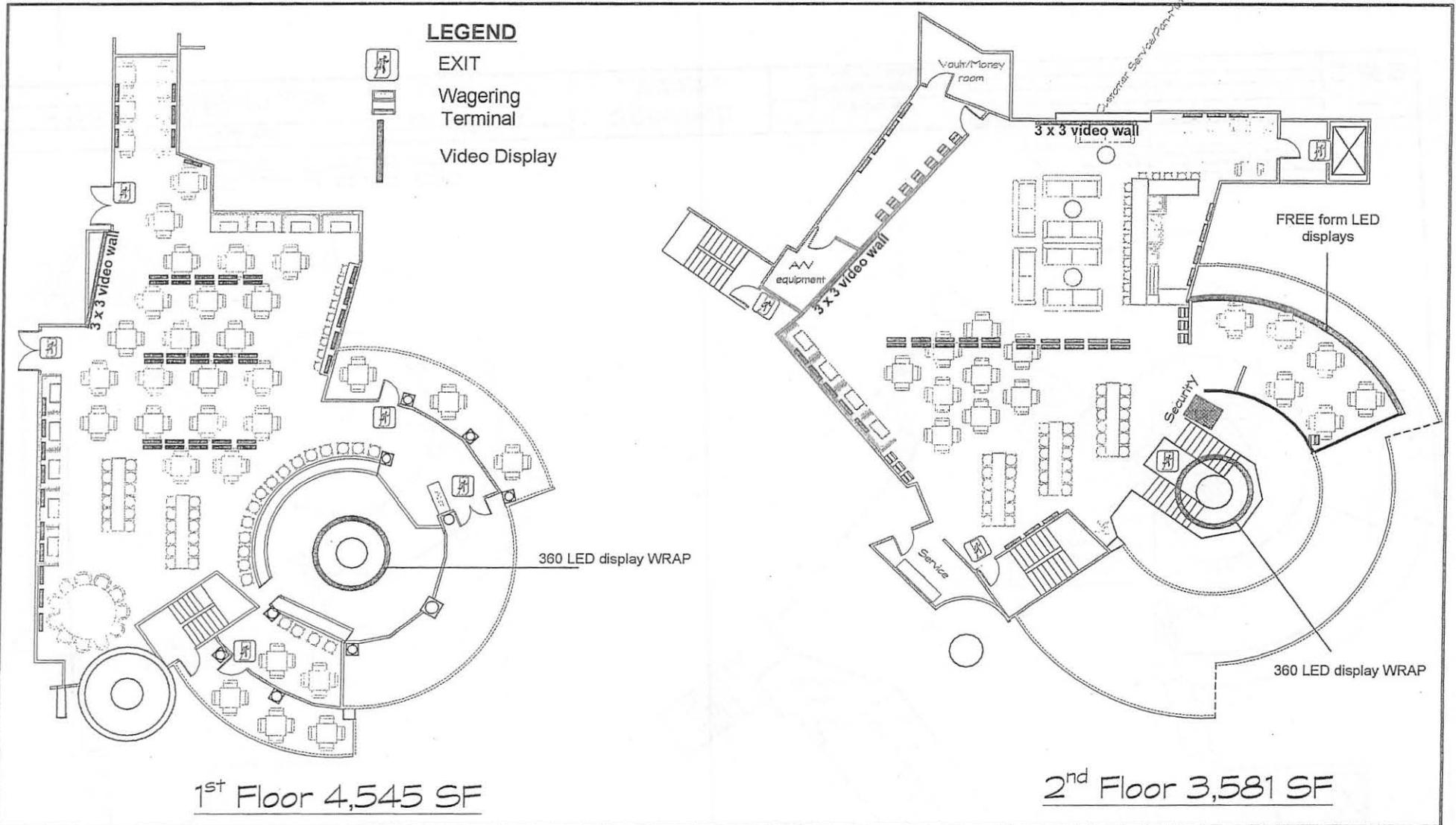


1st Floor 4,545 SF



2nd Floor 3,581 SF

Revision:	A	Harbor 100	PROJECT	Sportech	Drawing by:	Lance Ku	Changes:	Sheet:
	Dec. 8, 2013	Building Division	SVC_Harbor 100	4961 Katella Ave. Los Alamitos, CA 90270		lance.ku@sportech.net		2 of 2
Scale:			<<address>>			Mobile: (310) 200-9666		



Revision: A	Harbor 100	PROJECT	Sportech 4961 Katella Ave. Los Alamitos, CA 90270	Drawing by: Lance Ku	Changes:	Sheet: 1 of 1
Dec. 8, 2013	Concept_v2	SVC_Harbor 100		lance.ku@sportech.net Mobile: (310) 200-9666		

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM DEL MAR THOROUGHBRED CLUB FOR A WAIVER TO CHRB RULE 1472, (B), AND (G) RAIL CONSTRUCTION AND TRACK SPECIFICATIONS, TO FACILITATE THE INSTALLATION OF THE MAWSAFE RAIL SYSTEM AS ITS OUTSIDE TURF RAIL

Regular Board Meeting
April 25, 2014

ISSUE:

Construction of the new turf course at Del Mar has caused the potential for violation of CHRB Rule 1472 (g), Rail Construction and Track Specifications, due to the inside rail of the main course and the outside rail of the turf course being within ten feet of each other.

Del Mar Thoroughbred Club (DMTC) requests a waiver of CHRB Rule 1472 (b) and (g).

ANALYSIS:

CHRB Rule 1472 (b) reads in part...*Notwithstanding the above, no permanent or portable turf post or rail shall be constructed of poly vinyl chloride (P.V.C.), or wood and hedges shall not be used as a post or rail.*

Due to the close proximity of the main course and turf course, a rigid, metal based rail system for the turf course is deemed extremely hazardous. Advancements in technology have made PVC based running rails safer than conventional aluminum or steel rails. The nearness of the outside rail of the turf course to the inside rail of the main course requires mitigation to ensure rider safety.

Global Barrier Systems installed the inside rail (PVC based) for the Del Mar turf course in May 2013.

CHRB Rule 1472 (g) reads, *No objects shall be placed within 10 feet from the face of the inside rail. Marker poles that are placed within 10 feet from the face of the inner rail shall be flexible enough to collapse upon impact of a horse and/or rider or driver.* At Del Mar, the aforementioned objects are both the marker poles for the main course and the outside rail of the turf course.

Being within 10' of the main course inside rail, the turf course outside rail must be able to collapse upon impact. Using the aluminum inside rail from the old turf course as the outside rail will not work due to the rigidity of the uprights.

DMTC proposes to install the MawSafe rail as its permanent outside rail.

The MawSafe vertical posts are designed to break away if a horse or jockey falls into the

posts. This feature insures that the horse or jockey does not absorb the post impact load and bounce back into the racing surface. Since the rail is hinged to the horizontal rail, it is not scattered around the impact point.

Staff reviewed all engineering information available on the MawSafe website, globalbarriersystems.com. The MawSafe rail system uses a design approach that is completely different than the rigid rail systems typically used in California and across the nation.

Two major components, the vertical upright and the horizontal rail section, are made of high impact strength, high UV resistant PVC. These two components are designed to deflect when impacted by the horse or rider. As the curved vertical pole deflects and starts to straighten the height of the horizontal rail section increases in height. The higher the rail section discourages the horse in attempting to jump the rail. The deflection of the horizontal rail section inward supports the horse and jockey, keeps them separated from the other horses and provides the jockey the opportunity to straighten the horse and return to the racing surface. If the horse or jockey impacts a vertical upright, the upright breaks away at the bottom and rotates around the attachment point to the horizontal rail section. This allows a minimum impact load to the jockey and horse and ensures that they do not rebound back into the path of oncoming horses.

The MawSafe design is based on the engineering principle of absorbing an impact load by having the structure deflect. A typical portable turf rail system, as employed in California, is rigid (vertical metal poles that are anchored in a sleeve). Upon impact, the rigid rail system can impart a very high load to a jockey or horse. The deflecting system minimizes the impact load to the horse or jockey.

The MawSafe rail system has been endorsed by the Jockey's Guild, Melbourne Racing Club, Racing Victoria, Victorian Jockey's Association, Emirates Racing Authority and Racing and Wagering Western Australia.

The Board at its April 2013 Regular Board meeting, approved Del Mar's request for waiver to facilitate the installation of the MawSafe rail system as Del Mar's inside turf rail.

RECOMMENDATION:

Staff recommends the Board grant DMTC a waiver to CHRB Rule 1472 (b) and (g) for the installation of the MawSafe rail system as the permanent outside rail on its turf course.



April 14, 2014

Mr. Rick Baedeker
 Executive Director
 California Horse Racing Board
 1010 Hurley Way
 Sacramento, California 95825

Dear Rick,

As you are aware the Del Mar Thoroughbred Club (DMTC) has been working on the enlargement of its turf course. This project is advancing and will be ready for racing for our 2014 summer meet.

As you also know, DMTC installed a new inner turf rail (Mawsafe) which we used for racing in 2013. While the rail provided optimum safety features, its unique design required a waiver from the CHRB as it didn't comply with all existing demands of CHRB Rule 1472—Rail Construction and Track Specifications.

Again, the key features of the Mawsafe Rail System:

- The ability of the rail posts to kick out and release from a fallen horse or rider
- The rail, which is positioned several inches higher than our existing rails, when brushed by a horse adds support to aid in keeping such horse on course—jumping, or horses attempting to jump, has been virtually eliminated.
- Rail pieces are 40 feet long reducing the number of connections.
- Quick and easier rail moves will allow for more turf recovery time which will allow horses to run on more consistent ground.

The Jockeys' Guild gave its full support for the new inner rail as did the CHRB. Because of its unique safety features, DMTC would like to install the Mawsafe rail for use as its permanent outside turf rail. Again, DMTC is requesting a waiver from the CHRB addressing certain requirements of current CHRB Rule 1472 as they pertain to the specifications of an outside rail:

Rule 1472 - (b) All rail posts, except portable, auxiliary, or chute rail posts, must be set in concrete at least 6 inches below the racetrack surface and shall be at least 24 inches deep. Permanent rails shall be designed not to collapse or break away when a horse that is running parallel to the rail bumps, lugs or falls into the rail or posts during normal training or racing. Notwithstanding the above, no permanent or portable turf post or rail shall be constructed of fiberglass, poly vinyl chloride (P.V.C.), or wood, and hedges shall not be used as a post or rail.

The Mawsafe outside turf rail will be installed as it is for the CHRB approved inside turf rail. DMTC believes the unique breakaway rail post system is safer than the requirement that the posts be set in concrete. We covered the P.V.C. materials explanation in our 2013 waiver request – CHRB accepted.

Rule 1472- (c) The height of all outside and inside rails shall be between 38 and 42 inches from the top of the racing surface to the top of the rail.

The height issue was covered in our 2013 waiver request – CHRB accepted.

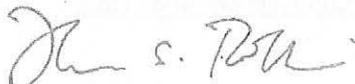
Rule 1472 - (g) No objects shall be placed within 10 feet from the face of the inside rail.

There are some areas where the face of the inside main track rail are less than 10 feet from the posts of the outside turf rail, which has always been the case. As covered in the response to Rule 1472 (b), should a horse make contact with the posts of the Mawsafe outside turf rail, DMTC believes the special release safety design will mitigate the severity of the contact more so than the current regulation of an immovable post set in concrete.

The Mawsafe rail is manufactured by Global Barrier Systems. If you haven't already, we encourage you to visit its website – www.globalbarriersystems.com to learn more about its product and see the extensive list of tracks in Australia that have installed the rail system. You will also find video of race accidents and how the rail performed.

Thank you for your consideration.

Sincerely,



Thomas S. Robbins
Executive Vice President, Racing & Industry Relations

STAFF ANALYSIS
DISCUSSION AND ACTION
REGARDING THE PROPOSED AMENDMENT TO
CHRB RULE 1688, USE OF WHIPS
TO REVISE THE JOCKEYS' USE OF THE WHIP
DURING RACES

Regular Board Meeting
April 25, 2014

ISSUE

In 2010 the Board amended Rule 1685, Equipment Requirement, to update the allowed design of whips used by California jockeys. The modifications were intended to eliminate injuries to the horse caused by the whip. However, Rule 1688, Use of whips, has not been updated to conform to developments in other jurisdictions.

ANALYSIS

The proposed amendment to Board Rule 1688 adds two subsections that place additional limits on the jockey's use of the whip during a race. A new subsection 1688(b)(6) will prohibit the jockey from raising the whip above his or her shoulder. A new subsection 1688(b)(7) prohibits the jockey from using the whip more than twice in succession without allowing the horse to take two full strides. The purpose of subsection 1688(b)(7) is to allow the jockey time to show the horse the whip, and provide the horse with time to respond.

- Kentucky requires that the jockey show the horse the riding crop and give it time to respond before striking the horse. Having struck the horse, the jockey must give the horse a chance to respond before using the whip again.
- New York requires the jockey to show the horse the whip before using it again.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF RULE 1688, USE OF WHIPS

Regular Board Meeting
April 25, 2014

1688. Use of Whips.

(a) In all races where a jockey will not ride with a whip, an announcement shall be made over the public address system of such fact.

(b) Although the use of a whip is not required, any jockey who uses a whip during a race is prohibited from whipping a horse:

(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;

(2) during the post parade except when necessary to control the horse;

(3) excessively or brutally causing welts or breaks in the skin;

(4) when the horse is clearly out of the race or has obtained its maximum placing; or

(5) persistently even though the horse is showing no response under the whip;

(6) with a whip that has been raised above the jockey's shoulder;

(7) more than twice in succession without allowing the horse to take two full strides.

(c) Correct uses of the whip are:

(1) showing horses the whip before hitting them;

(2) using the whip in rhythm with the horse's stride; and

(3) using the whip as an aid to maintain a horse running straight.

Authority: Sections 19420, 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440, 19481 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE PROPOSED ADDITION OF CHRB RULE
1891.1, PENALTY FOR POSSESSION OR USE OF ELECTRICAL DEVICE,
TO ESTABLISH PENALTIES FOR THE USE OF A
BUZZER ON A HORSE

Regular Board Meeting
April 25, 2014

ISSUE

Board Rule 1890, Possession of Contraband, prohibits any person from having in his possession on the premises during any recognized meeting any electrical stimulating or shocking device commonly referred to as a battery, or any mechanical stimulating device, or any other appliance which might affect the speed or actions of a horse. However, Rule 1890 does not provide for penalty guidelines for those found to have possessed or to have used electrical devices on a horse.

ANALYSIS

The proposed addition of Rule 1891.1, Penalty for Possession or Use of Electrical Device on a Horse, provides penalty guidelines for cases involving the violation of Board Rule 1890(c). The proposed regulation states that a case against any licensee for the possession or use of an electrical device, as prohibited under Rule 1890(c), shall be referred to the Board for hearing. If the Board finds that a licensee has violated, attempted to violate, or conspired to violate Rule 1890(c), the licensee shall have his or her license permanently revoked.

The proposed regulation addresses all licensees under the jurisdictions of the Board, as the possible use or possession of electrical stimulating or shocking devices may not be limited to jockeys or exercise riders. Referring such cases to the Board will allow Commissioners to consider the matter and impose the maximum penalty allowable; permanent revocation of the person's occupational license. In addition, the proposed regulation requires that if the Board finds that a violation of Rule 1890(c) has occurred, the matter shall be referred to the district attorney for the county in which the violation occurred. Under Penal Code section 337f(a)(1), any person who influences, or induces, or conspires with any owner, trainer jockey, groom or other person to affect the result of a race by stimulating a horse through the use of any electrical device or any electrical equipment or by any mechanical or other device not generally accepted as regulation racing equipment is punishable by a fine not exceeding five thousand dollars, or by imprisonment in a county jail not exceeding one year, or by imprisonment pursuant to subdivision (h) of Section 1170, or by both that fine or imprisonment.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 16. GENERAL CONDUCT
PROPOSED ADDITION OF
RULE 1891.1. PENALTY FOR POSSESSION OR USE OF ELECTRICAL DEVICE

Regular Board Meeting
April 25, 2014

1891.1. Penalty for Possession or Use of Electrical Device.

(a) A complaint against a licensee for a violation of Rule 1890(c) of this division shall be referred to the Board for hearing and adjudication.

(b) Any licensee whom the Board finds to have violated, attempted to violate, or conspired to violate Rule 1890(c) as it pertains to an electrical stimulating or shocking device commonly known as a battery, or any mechanical stimulating device, or any other appliance, shall have his or her license permanently revoked.

(c) A finding by the Board of a violation of Rule 1890(c) shall be referred to the district attorney for the county in which the violation occurred, pursuant to California Penal Code section 337f(a)(1).

Authority: Sections 19420, 19440 and 19580,
Business and Professions Code.

Reference: Sections 19460, 19580 and 19581,
Business and Professions Code.
Section 337f(a)(1), Penal Code.

DISCUSSION AND ACTION BY THE BOARD
REGARDING THE PROPOSED ADDITION OF CHRB RULE
1891.1, PENALTY FOR POSSESSION OR USE OF ELECTRICAL DEVICE,
TO ESTABLISH PENALTIES FOR THE USE OF A
BUZZER ON A HORSE

Regular Board Meeting
April 25, 2014

PENAL CODE SECTION 337F

337f. (a) Any person who does any of the following is punishable by a fine not exceeding five thousand dollars (\$5,000), or by imprisonment in a county jail not exceeding one year, or by imprisonment pursuant to subdivision (h) of Section 1170, or by both that fine and imprisonment:

(1) Influences, or induces, or conspires with, any owner, trainer, jockey, groom, or other person associated with or interested in any stable, horse, or race in which a horse participates, to affect the result of that race by stimulating or depressing a horse through the administration of any drug to that horse, or by the use of any electrical device or any electrical equipment or by any mechanical or other device not generally accepted as regulation racing equipment, or so stimulates or depresses a horse.

(2) Knowingly enters any horse in any race within a period of 24 hours after any drug has been administered to that horse for the purpose of increasing or retarding the speed of that horse.

(3) Willfully or unjustifiably enters or races any horse in any running or trotting race under any name or designation other than the name or designation assigned to that horse by and registered with the Jockey Club or the United States Trotting Association or willfully sets on foot, instigates, engages in or in any way furthers any act by which any horse is entered or raced in any running or trotting race under any name or designation other than the name or designation duly assigned by and registered with the Jockey Club or the United States Trotting Association.

(b) For purposes of this section, the term "drug" includes all substances recognized as having the power of stimulating or depressing the central nervous system, respiration, or blood pressure of an animal, such as narcotics, hypnotics, Benzedrine or its derivatives, but shall not include recognized vitamins or supplemental feeds approved by or in compliance with the rules and regulations or policies of the California Horse Racing Board.

CALIFORNIA HORSE RACING BOARD

APRIL 25, 2014
REGULAR BOARD MEETING

There is no board package material for Item 12

CALIFORNIA HORSE RACING BOARD

APRIL 25, 2014
REGULAR BOARD MEETING

There is no board package material for Item 13

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING AN
UPDATE OF THE CHRB GOVERNING PROCEDURES FOR HEARINGS

Regular Board Meeting
April 25, 2014

BACKGROUND

When the California Horse Racing Board Enforcement Unit serves a disciplinary Complaint against a Licensee, the Licensee also receives a copy of the Governing Procedures that will be used in the prosecution of the matter.

Attachment 1 is the current version of the Governing Procedures served by the Enforcement Unit.

The Governing Procedures are required to be given to the Licensee to inform him or her how the disciplinary hearing will be conducted. Importantly, the Governing Procedures set forth the rights of the Licensee, including the right to discover the evidence that supports the allegations in the Complaint, the right to have counsel represent the Licensee at the Hearing, the right cross examine witnesses and present rebuttable evidence, as well as the appeal rights of the Licensee should the Board of Stewards uphold the Complaint.

Presently, there is only one form of Governing Procedures provided to the Licensee, regardless of the type of Rule violation alleged or the penalty to be requested. Staff Counsel and the Office of the Attorney General have reviewed the current Governing Procedures and propose adopting the three separate Governing Procedures, depending upon the type of Rule violation alleged and the penalty sought.

ANALYSIS

A: Attachment 2 is the proposed Governing Procedures for non-drug related violations before the Board of Stewards.

Attachment 2 mirrors the current Governing Procedures with one important addition. Paragraph 9 distinguishes between a hearing seeking to revoke a license and a non-revocation hearing. The current Governing Procedures are silent in this

important regard and do not provide the Licensee with a clear understanding of his or her rights. In the cases where the revocation of a license is sought, the totality of Chapter 5 (Administrative Adjudication: Formal Hearing) of the Administrative Procedure Act applies, Government Code section 11500 et seq, as mandated by Business and Professions Code section 19461. A revocation hearing will not be conducted by the Board of Stewards, but will be heard by an Administrative Law Judge, hearing officer or referee who will be taking evidence and drafting a proposed decision on behalf of the California Horse Racing Board to consider. Attachment 2 makes clear that the Board of Stewards may refer a matter to the California Horse Racing Board if license revocation is determined to be appropriate.

However, if license revocation is **not** sought, Business and Professions Code section 19461 does not require the disciplinary hearing to be conducted pursuant to Chapter 5 of the APA. In the past and currently, non-revocation hearings before the Board of Stewards are conducted pursuant to the Governing Procedures in Attachment 1. Attachment 2 more clearly delineates how non-revocation disciplinary hearings before the Board of Stewards are to be conducted. Attachment 2 provides the non-revocation hearing will be conducted under Government Code section 11513 (Evidence; examination of witness), Government Code section 11514 (Affidavits) and Government Code section 11515 (Official Notice). Further, Attachment 2 conforms to the Administrative Adjudication Bill of Rights stated in Government Code section 11425.10.

Finally, Attachment 2 authorizes hearing officers to hear appeals in addition to Administrative Law Judges and referees.

B. Attachment 3 is the proposed Governing Procedures for non-revocation Class 1, 2 or 3 Drug Violation cases.

Attachment 3 is consistent with the Attachment 2, with an additional provision regarding a Licensee's right to elect to have the matter heard by the Board of Stewards or a hearing officer as permitted by Business and Professions Code section 19517.5.

Appeals from a non-revocation drug violation case will be heard directly by the California Horse Racing Board.

C. Attachment 4 is the proposed Governing Procedures for Class 1, 2 or 3 Drug Violation cases where revocation of license is the penalty sought.

Revocation of a California Horse Racing Board license requires the proceedings to be conducted pursuant to Chapter 5 of the APA, Government Code section 11500 et seq.

Representatives of the California Thoroughbred Trainers organization have voiced objections to the Proposed Governing Procedures. Primarily, the Representatives assert Chapter 5 of the Administrative Procedures Act should apply to all California Horse Racing Board disciplinary hearings. While Chapter 5 of the Administrative Procedures Act is required for any disciplinary hearing seeking revocation of a California Horse Racing Board License, Counsel for the Board and the Office of Attorney General Liaison Counsel do not believe Chapter 5 is required or warranted for disciplinary hearings not involving revocation of a License.

Attached is language which can be incorporated into the official notice of disciplinary action from the California Horse Racing Board. The language is submitted to allay the concerns of Representatives of the California Thoroughbred Trainers organization.

RECOMMENDATION:

Staff recommends the proposed Governing Procedures (Attachments 2, 3 and 4) be adopted by the California Horse Racing Board.

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
CHRB 204 A



decision will also include a description of the penalty imposed, if any. The Board of Stewards will take action upon a majority decision. In the event of a split vote, each steward shall file a separate written decision on the matter.

11. You have 72 hours from the receipt of the written decision to appeal the Board of Stewards decision to the CHRB. You may request a stay of any penalty pending appeal.
12. If you timely appeal a Board of Stewards' decision, the matter will be heard on behalf of the CHRB by an administrative law judge (ALJ) or referee designated by the CHRB. On appeal, you bear the burden of showing that the law was improperly interpreted or applied by the Stewards and/or that the steward's factual determination were not supported by substantial evidence or that the best interest of racing in the State may be better served by reversal or modification of the stewards decision. The ALJ/referee will draft a proposed decision and submit it to the CHRB.
13. The CHRB may adopt, modify and adopt, or reject the proposed decision of the ALJ/referee.
14. If you are dissatisfied with the CHRB's decision, you may appeal that decision to Superior Court.

**GOVERNING PROCEDURE FOR DISCIPLINARY HEARING
BEFORE BOARD OF STEWARDS**

1. You are entitled to reasonable advance notice of the charges against you. You are also entitled to receive, in advance of the hearing, copies of all investigative reports and support documentation which the California Horse Racing Board (CHRB) investigators relied upon in bringing the charges against you, and the CHRB will exchange with you copies of all documents which each party intends to put in evidence at the hearing.
2. You will have an opportunity to present your side of the case and rebut the case against you, including the opportunity to cross-examine witnesses who testify against you. You must bring all of your witnesses and all of your evidence to the hearing.
3. You are entitled to be represented by an attorney at the hearing.
4. The hearing shall be open to the public.
5. All or part of the hearing may be conducted telephonically to the extent that all parties agree and to the extent that each participant has an opportunity to participate in and to hear the proceeding while it is taking place.
6. If you believe that a steward on the Board of Stewards who will hear your case is biased or prejudiced against you or has an interest in the outcome of the proceeding, you may challenge that steward. Upon such challenge, the steward may either disqualify him/herself or put the decision to the Board of Stewards, who must then decide whether you have stated a basis for disqualification of that steward. If a steward is disqualified, another steward, will be appointed for purposes of hearing the matter. If the steward is not disqualified, you may challenge the decision not to disqualify the steward as a separate matter on appeal. The decision of the Board of Stewards regarding such challenge is final.
7. While the proceeding is pending, beginning with the issuance of the Complaint and Notice to Appear, you may not communicate, in any manner, directly or indirectly, with the Board of Stewards regarding any issue in the proceeding, unless, at or before the time the communication is made, the communication is shared with all the parties to the proceeding including the CHRB, and an opportunity is afforded to all parties to participate in the communication. This rule applies equally to the CHRB and its representatives. Nothing herein precluded communications made on the record at the hearing. Applicable exception to, and clarifications of, this rule are found in Government Code sections 11430.10 through 11430.80.
8. You may request a subpoena for the production of witnesses at the hearing and/or the production of documents at a reasonable time and place or at the hearing. The Executive Director of the CHRB shall issue subpoenas at the request of any party to the matter for the purpose of compelling attendance of a witness at the hearing or the production of documents. The Board of Stewards, hearing officer or referee will decide disputes.

GOVERNING PROCEDURE

CHRB-204A-1

9. The Stewards may refer your matter to the CHRB if license revocation is determined to be appropriate. In that case, Chapter 5 of the Administrative Procedure Act will apply to the proceedings to revoke your license and will supplant any inconsistent provisions herein. Chapter 5 is Government Code sections 11500 et seq. If the matter is not referred to the CHRB, the proceedings will be held before the Board of Stewards, Hearing Officer or Referee under the evidentiary provisions of Government Code sections 11513, 11513 and 11515, but Chapter 5 will not otherwise apply.
10. A verbatim record of the proceedings will be made, using either a shorthand reporter or a tape recorder. You may request a record of the hearing from the CHRB. A tape or transcript of the hearing will be provided to you free of charge.
11. You will receive a written decision after the hearing. The written decision will include a statement of the factual and legal basis for the decision. The statement of the factual basis for the decision shall be based on the evidence of record and on matters officially noticed in the proceeding. The decision will also include a description of the penalty imposed, if any. The Board of Stewards will take action upon a majority decision. In the event the decision is not unanimous, one or more dissenting or concurring decisions shall be filed. Each steward shall file his/her own written decision or join in a written decision on the matter.
12. You may appeal the decision of the Board of Stewards' decision to the CHRB. Appeals must be received by a CHRB employee at any of its offices not later than 72 hours from the date of the decision.
13. If you timely appeal a decision, the matter will be heard on behalf of the CHRB by an administrative law judge (ALJ), a referee or a hearing officer designated by the CHRB. The ALJ, referee or hearing officer will draft a proposed decision and submit it to the CHRB. On appeal, you bear the burden of proving the facts necessary to sustain the appeal. The CHRB will consider evidence that was presented to the Board of Stewards. It will also consider whether the stewards mistakenly interpreted the law, whether new evidence of a convincing nature is produced, and whether the best interests of racing and the state may be better served by overruling the stewards' decision.
14. The CHRB may adopt, modify and adopt, or reject the proposed decision of the ALJ, referee or hearing officer.
15. If you are dissatisfied with the CHRB's decision, you may appeal that decision to the Superior Court.

**GOVERNING PROCEDURE FOR DISCIPLINARY HEARING
BEFORE BOARD OF STEWARDS OR HEARING OFFICER
NON-REVOCAION ENFORCEMENT PROCEEDINGS
ALLEGING CLASS 1, 2, OR 3 DRUG POSITIVE VIOLATION**

1. Your matter will be heard by the Board of Stewards or by a hearing officer. Below, the term "Presiding Officer" shall refer to the individual or individuals who will hear your case whether it be stewards or a hearing officer.
2. You are entitled to reasonable advance notice of the charges against you. You are also entitled to receive, in advance of the hearing, copies of all investigative reports and support documentation which the California Horse Racing Board (CHRB) investigators relied upon in bringing the charges against you, and the CHRB will exchange with you copies of all documents which each party intends to put in evidence at the hearing.
3. You will have an opportunity to present your side of the case and rebut the case against you, including the opportunity to cross-examine witnesses who testify against you. You must bring all of your witnesses and all of your evidence to the hearing.
4. You are entitled to be represented by an attorney at the hearing.
5. The hearing shall be open to the public.
6. All or part of the hearing may be conducted telephonically to the extent that all parties agree and to the extent that each participant has an opportunity to participate in and to hear the proceeding while it is taking place.
7. If you believe that the Presiding Officer is biased or prejudiced against you or has an interest in the outcome of the proceeding, you may challenge the Officer. Upon such challenge, the Officer may disqualify him/herself. If your challenge is to a steward, the steward may put the decision to the Board of Stewards, who must then decide whether you have stated a basis for disqualification of the steward. If your challenge is to a hearing officer, the hearing officer may put the decision to the Executive Director. If a Presiding Officer is disqualified, another Presiding Officer will be appointed as a replacement. If the challenged Officer is not disqualified, you may challenge the decision not to disqualify the Officer as a separate matter on appeal. The decision of the challenged Presiding Officer, the Board of Stewards or Executive Director regarding such challenge is final.
8. From the time the Complaint and Notice to Appear issues until the CHRB renders its decision, you may not communicate, in any manner, directly or indirectly, with the Presiding Officer regarding

any issue in the proceeding, unless, at or before the time the communication is made, the communication is shared with all parties to the proceeding including the CHRB, and an opportunity is afforded to all parties to participate in the communication. This rule applies equally to the CHRB and its representatives. Nothing herein precludes communications made on the record at the hearing. Applicable exceptions to, and clarifications of, this rule are found in Government Code sections 11430.10 through 11430.80.

9. You may request a subpoena for the production of witnesses at the hearing and/or the production of documents at a reasonable time and place or at the hearing. The Executive Director of the CHRB shall issue subpoenas at the request of any party to the matter for the purpose of compelling attendance of a witness at the hearing or the production of documents. The Presiding Officer will decide disputes.
10. The hearing will be conducted under the evidentiary provisions of Government Code sections 11513, 11514 and 11515. Otherwise, the only disciplinary proceedings to which Chapter 5 of the Administrative Procedure Act apply are license revocation proceedings, and the Board does not anticipate seeking a revocation of your license in this matter. Chapter 5 is Government Code sections 11500 et seq.
11. A verbatim record of the proceedings will be made, using either a shorthand reporter or a tape recorder. You may request a record of the hearing from the CHRB. A tape or transcript of the hearing will be provided to you free of charge.
12. The Presiding Officer will draft a proposed decision and submit it to the CHRB. The CHRB may adopt, modify and adopt, or reject the proposed decision of the Presiding Officer. The CHRB's written decision will include a statement of the factual and legal basis for the decision. The statement of the factual basis for the decision shall be based on the evidence of record and on matters officially noticed in the proceeding. The decision will also include a description of the penalty imposed, if any.
13. If you are dissatisfied with the CHRB's decision, you may appeal that decision to the Superior Court.

**GOVERNING PROCEDURE FOR DISCIPLINARY HEARING
BEFORE BOARD OF STEWARDS OR HEARING OFFICER
REVOCATION ENFORCEMENT PROCEEDINGS
ALLEGING CLASS 1, 2, OR 3 DRUG POSITIVE VIOLATION**

1. Your matter will be heard by the Board of Stewards or by a hearing officer. Below, the term "Presiding Officer" shall refer to the individual or individuals who will hear your case whether it be stewards or a hearing officer.
2. You are entitled to reasonable advance notice of the charges against you. You are also entitled to receive, in advance of the hearing, copies of all investigative reports and support documentation which the California Horse Racing Board (CHRB) investigators relied upon in bringing the charges against you, and the CHRB will exchange with you copies of all documents which each party intends to put in evidence at the hearing.
3. You will have an opportunity to present your side of the case and rebut the case against you, including the opportunity to cross-examine witnesses who testify against you. You must bring all of your witnesses and all of your evidence to the hearing.
4. You are entitled to be represented by an attorney at the hearing.
5. The hearing shall be open to the public.
6. All or part of the hearing may be conducted telephonically to the extent that all parties agree and to the extent that each participant has an opportunity to participate in and to hear the proceeding while it is taking place.
7. If you believe that the Presiding Officer is biased or prejudiced against you or has an interest in the outcome of the proceeding, you may challenge the Officer. Upon such challenge, the Officer may disqualify him/herself. If your challenge is to a steward, the steward may put the decision to the Board of Stewards, who must then decide whether you have stated a basis for disqualification of the steward. If your challenge is to a hearing officer, the hearing officer may put the decision to the Executive Director. If a Presiding Officer is disqualified, another Presiding Officer will be appointed as a replacement. If the challenged Officer is not disqualified, you may challenge the decision not to disqualify the Officer as a separate matter on appeal. The decision of the challenged Presiding Officer, Board of Stewards or Executive Director regarding such challenge is final.
8. From the time the Complaint and Notice to Appear issues until the CHRB renders its decision, you may not communicate, in any manner, directly or indirectly, with the Presiding Officer regarding

GOVERNING PROCEDURE

CHRB-204A-3

any issue in the proceeding, unless, at or before the time the communication is made, the communication is shared with all parties to the proceeding including the CHRB, and an opportunity is afforded to all parties to participate in the communication. This rule applies equally to the CHRB and its representatives. Nothing herein precludes communications made on the record at the hearing. Applicable exceptions to, and clarifications of, this rule are found in Government Code sections 11430.10 through 11430.80.

9. You may request a subpoena for the production of witnesses at the hearing and/or the production of documents at a reasonable time and place or at the hearing. The Executive Director of the CHRB shall issue subpoenas at the request of any party to the matter for the purpose of compelling attendance of a witness at the hearing or the production of documents. The Presiding Officer will decide disputes.
10. Chapter 5 of the Administrative Procedure Act (Government Code section 11500 et seq.) is applicable to this proceeding except for the provisions of Chapter 5 that are inconsistent with Business & Professions Code, section 19517.5 or any other section of the Horse Racing Law (Chapters 4 and 4.5, Division 8 of the Business & Professions Code.)
11. A verbatim record of the proceedings will be made, using either a shorthand reporter or a tape recorder. You may request a record of the hearing from the CHRB. A tape or transcript of the hearing will be provided to you free of charge.
12. The Presiding Officer will draft a proposed decision and submit it to the CHRB. The CHRB may adopt, modify and adopt, or reject the proposed decision of the Presiding Officer. The CHRB's written decision will include a statement of the factual and legal basis for the decision. The statement of the factual basis for the decision shall be based on the evidence of record and on matters officially noticed in the proceeding. The decision will also include a description of the penalty imposed, if any.
13. If you are dissatisfied with the CHRB's decision, you may appeal that decision to the Superior Court.

Miller, Robert

From: Wagner, Jacqueline
Sent: Friday, September 13, 2013 9:15 AM
To: Miller, Robert
Subject: FW: CTT Proposed Governing Procedures
Attachments: CTT, Governing Procedures.docx

Bob

This is what I received from Carlo Fisco.

Jackie

From: CFisco@aol.com [<mailto:CFisco@aol.com>]
Sent: Thursday, August 15, 2013 11:39 AM
To: choperj@law.berkeley.edu; rosenberglt@yahoo.com
Cc: dichrb@gmail.com; cwinnr@gmail.com; gk@kptmovies.com; afbalch@gmail.com; Wagner, Jacqueline
Subject: CTT Proposed Governing Procedures

Dear Cmmrs. Choper and Rosenberg,

In anticipation of the scheduled agenda item regarding revision of the CHRB Governing Procedures notice for disciplinary hearing appearing on both the CHRB Board Meeting agenda as well as your Legislative, Legal and Regulations Committee agenda, please find attached hereto the California Thoroughbred Trainers' proposed language for the sought-after revision. You will note, as follows:

1. The CTT proposal addresses the need for clarification of the governing procedures by clearly stating the particular disciplinary situation and the rules which will apply thereto. Everyone agreed at the committee meeting that the notice needed to be clarified.
2. The CTT proposal clarifies the application of Chapter 5 of the APA to those situations involving license revocation proceedings or Class 1, 2, or 3 Drug Violation Positives proceedings. This is in keeping with the overwhelming evidence received at the committee meeting in support of this rule.
3. The document has a box before each separate disciplinary situation. The filing investigator will merely check the box which applies to the particular case before serving the notice on the licensee. This helps clarify and streamline the applicable governing procedures.
4. Most all of the language in the CTT proposal is taken from the previous CHRB Governing Procedures language.

CTT feels the attached proposal best addresses, in a clear and concise manner, the issues raised during the previous committee meeting. The CTT proposal was prepared in collaboration with Darrell Vienna who was an integral part of the committee discussion and agrees to the proposal's form and content.

Should any questions persist, please feel free to contact me at your earliest convenience. Thank you in advance for your consideration in this matter.

Carlo Fisco
 General Counsel
 California Thoroughbred Trainers

GOVERNING PROCEDURES FOR DISCIPLINARY HEARINGS BEFORE THE BOARD OF STEWARDS OR HEARING OFFICER

1. Your matter will be heard before the Board of Stewards or a Hearing Officer. The term "Presiding Officer(s)" as used herein shall refer to the individual(s) who will hear your case whether it be the Board of Stewards or a Hearing Officer.
2. You are entitled to reasonable advance notice of the charges against you. You are also entitled to receive, in advance of the hearing, copies of all investigative reports and support documentation which the California Horse Racing Board (CHRB) relied upon in bringing the charges against you, and the CHRB will exchange with you copies of all documents which each party intends to put in evidence at the hearing.
3. You will have an opportunity to present your side of the case and rebut the case against you, including the opportunity to cross-examine witnesses who testify against you. You must bring all of your witnesses and all of your evidence to the hearing.
4. You are entitled to be represented by an attorney at the hearing.
5. The hearing shall be open to the public.
6. All or part of the hearing may be conducted telephonically, to the extent that all parties agree, and to the extent that each participant has an opportunity to participate in and to hear the proceeding while it is taking place.
7. If you believe that the Presiding Officer(s) is/are biased or prejudiced against you or has an interest in the outcome of the proceedings, you may challenge the Officer. Upon such challenge the Officer may recuse him/herself. If the Presiding Officer(s) choose/chooses not to recuse him/herself/themselves, you may put the decision to the Executive Director. If a Presiding Officer(s) is/are disqualified, another Presiding Officer will be appointed by the Executive Director as a replacement. The decision of the Executive Director regarding disqualification is final. You may challenge the decision regarding disqualification as a separate matter on appeal.
8. From the time that the Complaint and/or Notice to Appear issues until the Presiding Officer(s) render/renders its decision, you may not communicate, in any manner, directly or indirectly, with the Presiding Officer(s) regarding any issue in the proceeding, unless at the same time or before the time the communication is made, the communication is

shared with all parties to the proceedings including the CHRB, and an opportunity is afforded all parties to participate in the communication. This rule applies equally to the CHRB and its representatives.

9. You may request a subpoena for the production of witnesses or documents at the hearing. The Executive Director of the CHRB shall issue subpoenas at the request of any party to the matter for the purpose of compelling attendance of a witness or the production of documents at the hearing. The Presiding Officer(s) will decide disputes.
10. A verbatim record of the proceedings will be made, either using a shorthand reporter or a tape recorder. You may request a tape recording or a transcript of the hearing from the CHRB. It will be provided to you free of charge.

This Hearing does not involve revocation enforcement proceedings or enforcement proceedings alleging Class 1, 2, or 3 Drug Positive Violations

- A. The proceeding will be heard by the Presiding Officer(s) under the evidentiary provisions of Government Code §§ 11513, 11514 and 11515. Chapter 5 (Govt. Code §11500, et seq.), of the Administrative Procedures Act will not otherwise apply.
- B. You will receive a written decision after the hearing. The written decision will include a statement of the factual and legal basis for the decision. The statement of the factual basis for the decision shall be based on the evidence of record and on matters officially noticed in the proceeding. The decision will also include a description of the penalty imposed, if any. If the Board of Stewards acts as the Presiding Officer(s), they will take action upon a majority decision. In the event the decision is not unanimous, one or more dissenting or concurring decisions shall be filed. Each Steward shall file his/her own written decision or join in a written decision on the matter.
- C. If you timely appeal a decision, the matter will be heard on behalf of the CHRB by an administrative law judge, a referee, or a Hearing Officer designated by the CHRB. The designee will draft a proposed decision and submit it to the CHRB. On appeal, you bear the burden of proving the facts necessary to sustain the appeal. The CHRB will consider evidence that was presented at the initial hearing. It will also consider whether the Stewards mistakenly interpreted the law, whether new evidence of a convincing nature is produced, and whether the best interests of racing and the state may be better served by overruling the underlying decision.

☐ This Hearing involves revocation enforcement proceedings and/or enforcement proceedings alleging Class 1, 2, or 3 Drug Positive Violations

- A. Chapter 5 of the Administrative Procedures Act (Government Code §11500 et. seq.) except for the provisions of Chapter 5 that are inconsistent with Business and Professions Code §19517.5 will apply to this proceeding.
- B. At the conclusion of the proceeding, the Presiding Officer(s) will draft a proposed decision and submit it to the CHRB. The CHRB may adopt, modify and adopt, or reject the proposed decision of the Presiding Officer(s). The CHRB's written decision will include a statement of the factual and legal basis for the decision. The statement of the factual basis for the decision shall be based on the evidence of record and on matters officially noticed in the proceeding. The decision will include a description of the penalty imposed, if any.
- C. If you are dissatisfied with the CHRB's decision with regard to a hearing involving revocation enforcement proceedings and/or enforcement proceedings alleging Class 1, 2, or 3 Drug Positive Violations, you may appeal that decision to the Superior Court.

CALIFORNIA HORSE RACING BOARD

APRIL 25, 2014
REGULAR BOARD MEETING

There is no board package material for Item 15

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE REQUEST FROM XPRESSBET, LLC (XPRESSBET) TO AMEND ITS CURRENT
ADVANCE DEPOSIT WAGERING (ADW) APPLICATION
TO ALLOW FOR THE OPERATION OF THE NEW WAGERING TABLET AT
SANTA ANITA PARK AND GOLDEN GATE FIELDS RACE TRACKS

Regular Board Meeting
April 25, 2014

ISSUE

In February 2014, Xpressbet, LLC (Xpressbet) submitted a letter to the CHRB in which it:

- 1) Proposed to amend its 2014 advance deposit wagering (ADW) application to include the operation of a self-service wagering tablet (Wagering Tablet) at Santa Anita Park and Golden Gate Fields, and
- 2) Requested that the CHRB waive the terms of specific ADW regulations to facilitate the operation of the self-service Wagering Tablets.

ANALYSIS

Xpressbet, LLC (Xpressbet) has submitted a request to amend its 2014 ADW application to include the operation of its new self-serve Wagering Tablet at Santa Anita Park and Golden Gate Fields. Xpressbet stated the Wagering Tablet is:

- A cashless, paperless, self-service pari-mutuel wagering device designed to raise the level of convenience at the racetracks.
- The patron may use the Wagering Tablet to place all available wagers on live thoroughbred pari-mutuel wagering events which are offered to Xpressbet customers located in the State of California.
- To use the Wagering Tablet at a racetrack the patron must have a Player Card, which will be available from an authorized teller at the racetrack.
- The Player Cards will require the patron to use a personal identification number before the Wagering Tablet can be used.
- Patrons may make deposits or withdraw funds from their Wagering Tablet accounts by presenting Player Cards to authorized tellers at one of the racetracks.
- All accounts established for use of the Wagering Tablet will be maintained on the Am Tote totalizator hub.

Xpressbet is requesting to amend its ADW application to operate the proposed Wagering Tablets at Santa Anita Park and Golden Gate Fields with exemptions to the terms of specific ADW regulations. The regulations are:

- 2071, License to Conduct Advance Deposit Wagering by a California Applicant;
- 2073, Operation of an Advance Deposit Wagering Account for all Entities;
- 2074, Requirements to Establish an Advance Deposit Wagering Account with a California Entity;

Rule 2071, License to Conduct Advance Deposit Wagering by a California Applicant:

Xpressbet has stated it proposes to operate the Wagering Tablets at each Racetrack during the hours they are open for business, live and non-live seasons. This is not contrary to any of the Board's regulations, as the application for license to conduct ADW merely asks for the applicant's hours of operation. Xpressbet wishes to modify its application to include the Wagering Tablet hours of operation.

Rule 2073, Operation of an Advance Deposit Wagering Account for all Entities, and Rule 2074, Requirements to Establish an Advance Deposit Wagering Account with a California Entity.

Xpressbet provided that it will collect personal information from the player to establish a Wagering Tablet account; however, providing a social security number will be voluntary. If a patron has winnings that require completion of IRS forms, the social security number will be collected. In order to make the collection of social security numbers required only in cases of large winnings, Xpressbet will need a waiver of Rule 2074(c)(4) and 2074(d), which provides that social security numbers shall be collected at the time an ADW account is opened.

Xpressbet provides that deposits to a Wagering Tablet account must be made in cash. Board Rule 2073(l) and Rule 2074(a)(6) require a person opening an ADW account to state if he or she wishes to use a credit card to make deposits to the account. Xpressbet requests a waiver from the provisions of Rules 2073(l) and 2074(a)(6) to allow for cash transactions.

Designation of Wager. Xpressbet provides that all wagers made through the Wagering Tablet shall be considered an on-track wager. All distributions and host fees will be made in the same manner as a traditional on-track wager. The enabling statute for ADW, Business and Professions Code section 19604(g), provides that a racing association may enter into an agreement with an ADW provider to accept and facilitate the placement of any wager from a patron at its facility that a California resident could make through that ADW provider. Deductions from wagers made pursuant to such an agreement shall be distributed in accordance with the provisions of this chapter governing wagers placed at that facility. The ADW wagers made on-track through the Wagering Tablet may be distributed as if they were on-track wagers.

Ability to place ADW wagers: Any patron with a valid ADW account can attend a live race meeting and use his or her personal telephone or other internet capable device to place an ADW wager. The Wagering Tablet is a wagering device that may only be used on track (Santa Anita and Golden Gate Fields exclusively) with a pre-funded Player Card. According to Xpressbet, the Wagering Tablet:

- Can only be used at the race track,
- Cannot be used for telephone or internet wagering on Xpressbet.

Identification of account holders: Business and Professions Code section 19604 provides that applicants for ADW accounts must provide personal information to verify that they are

California residents, and are of age. Xpressbet provides that it will collect such personal information from applicants to verify residency and age.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the Pari-Mutuel, ADW, and Simulcasting Committee.



Parimutuel Operations Committee
April 16, 2014
Los Alamitos Race Course

Xpressbet Tablet Project Description

Scott Daruty

Eric Sindler

Overview of Wagering Tablet

1. Create an on-track ADW experience to benefit customers.
2. Provide on-track economics for horsemen and track.
3. Adhere to the CA ADW laws w/o disrupting existing agreements.
4. Deploy new technology for fans.

California Business and Professions Code § 19604(g)

A racing association... **may enter into an agreement with an ADW provider** to accept and facilitate the placement of **any wager from a patron at its facility** that a California resident could make through that ADW provider. **Deductions from wagers** made pursuant to the agreement **shall be distributed in accordance with the provisions of this chapter governing wagers placed at that facility**, except that the board may authorize alternative distributions as agreed to by the ADW provider, the operator of the facility accepting the wager, the association or fair conducting that breed of racing in the zone where the wager is placed, and the respective horsemen's organization.

4 CCR 2074(c)(4) – Limited Waiver

(c) The information required to establish an Account **shall** include:

(4) Social security number.

Rationale: In the event that an Account Holder wins a wager that triggers a tax event and such Account Holder does not have their social security number on file, he/she will not be able to access any of the funds associated with the taxing event until the social security number is provided and all necessary forms are filled out.

4 CCR 2074(d) – Waiver

The entity **shall** employ electronic verification with respect to each Account Holder's name, principal residence address, date of birth and Social Security number at the time of Account establishment by a Board-approved national, independent, individual reference company or another independent technology approved by the Board which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies.

Rationale: The account will be created in person and the teller will be able to personally check the Account Holders driver's license (or other validly issued government identification).

Waiver – All sections relating to funding by means other than cash

The accounts will only be funded by cash deposits. The following regulations deal with funding the account by means other than cash:

- 4 CCR 2073(l)
- 4 CCR 2074(c)(6)
- 4 CCR 2076(a)(2)-(4)

4 CCR 2073(I)

Account Holders **shall** designate if they want to use a credit card to make deposits to their Account. Changes to the designation shall require 24 hours' notice to the entity.

4 CCR 2074(c)(6)

(c) The information **required** to establish an Account **shall include**:

(6) Whether the Account Holder wants to use a **credit card** to make deposits to their Account.

4 CCR 2076

(a) Deposits to an Account **shall be made** in the following form:

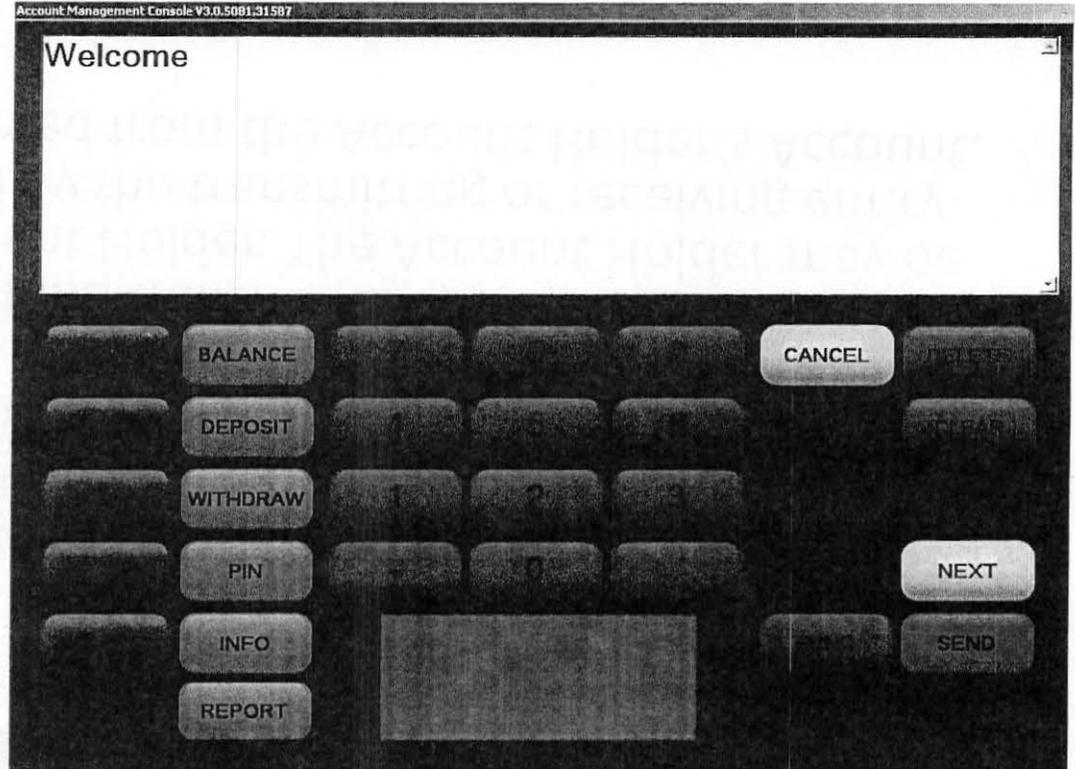
(2) Personal checks, cashier's checks and money orders made directly or mailed.

(3) Debits to an Account Holder's credit card or debit card, upon direct instructions of the Account Holder, providing the use of such card has been pre-approved by the entity and designated by the Account Holder.

(4) Debits by electronic fund transfer (EFT) from a monetary account controlled by an Account Holder. The Account Holder may be liable for any charges imposed by the transmitting or receiving entity and the charges may be deducted from the Account Holder's Account.

Teller Module

- Stand-alone administrative console located at teller stations in Mezzanine and Frontrunner
- Operated by Santa Anita teller staff
- Connected via VPN to Xpressbet Community in Portland Oregon tote hub
- Includes touch screen capability, integrated mag card reader, auto cash drawer, receipt printer, keyboard, PIN pad
- Provides for maintenance of independent balance applied on to Tablet Accounts.



Santa Anita Park
4/19/2014 6:58 PM
DV SAND WIN 1 1001
Agent Sign In
1001



Santa Anita Park
4/19/2014 7:05 PM
DV SAND WIN 1 1001
Agent Cash Draw
Messenger # 1001
Draw Amount \$10.00



Santa Anita Park
4/19/2014 7:05 PM
DV SAND WIN 1 1001
Agent Cash Return
Messenger # 1001
Return Amount \$10.00



Santa Anita Park
4/19/2014 7:07 PM
DV SAND WIN 1 1001
Agent Sign Out

Teller Module

Account Creation

- Customer information input by teller
- Account card selected from inventory
- SS# not required until IRS transaction
- Provides ADW rule/statute adherence
- ID verification performed by teller
- ID record indicator to be added to interface as shown

The screenshot shows a window titled "AccountInfoForm" with a sub-header "Account Information". The form contains the following fields:

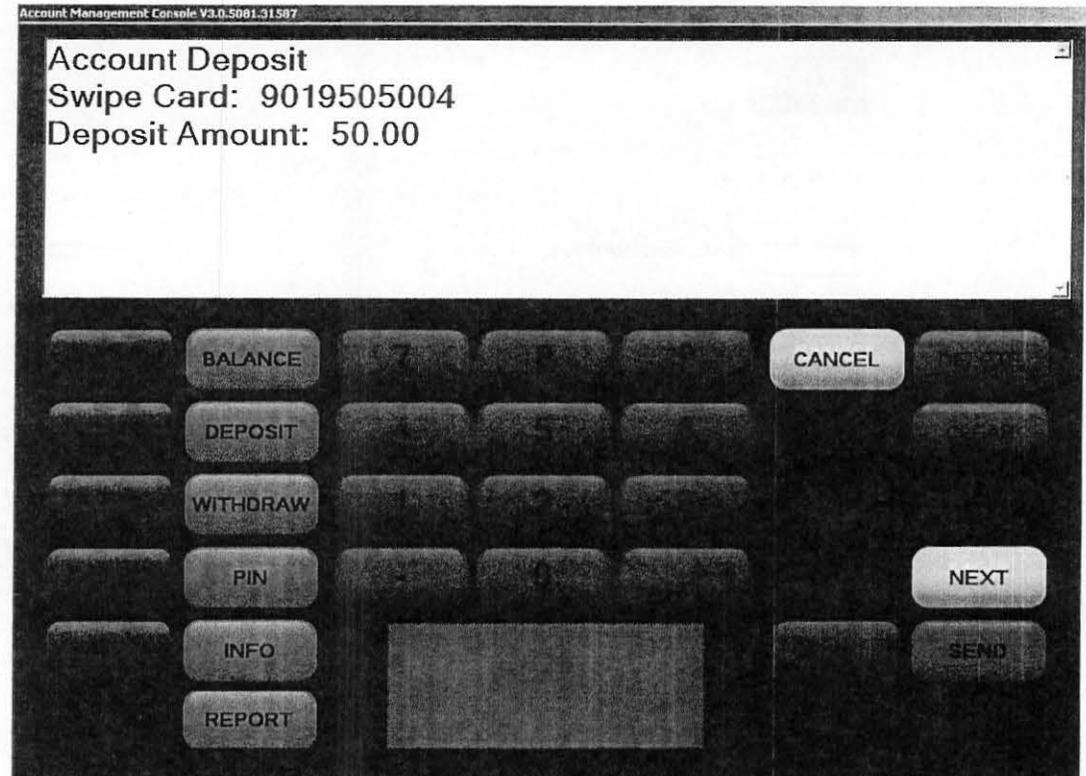
- First Name: _____
- Last Name: _____
- Social Security #: 000000000
- Address 1: _____
- Address 2: _____
- Address 3: _____
- City: _____
- State: _____
- Zip Code: _____
- Country: _____
- Telephone #: _____
- Identification type:

At the bottom of the form, there are two buttons: "Cancel" on the left and "Update" on the right.

Teller Module

Account Deposit

- Teller logs in with agent and PIN
- Customer inputs PIN on PIN pad after teller card swipe
- Deposits made to Xpressbet Tote Community in Portland, OR hub



Teller Module

Balance Inquiry

- Requires Card Swipe and PIN
- Not printable
- Used for customer inquiries only



Santa Anita Park
 4/10/2014 7:06 PM
 DV SAND WN 1 1001
Account Balance
 Account Number 9019505004
 Balance 100.00

http://10.16.200.72/gwsamc/Reports/AS9019505004.txt - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites

10APR14 ACCOUNT STATUS REPORT Amt/NW1 16.4.2 ASR0001
 16:03:58 (PDT) REPORTING DATE: 10APR14 PAGE# 1

SMMU ACCOUNT: 9019505004

START OF DAY ACCOUNT STATUS: OPEN START OF DAY ACCOUNT TYPE: REGULAR

STARTING BALANCE: \$0.00

	PROG	RC	VALUE	POOL	MOD	M	RNR	TOTAL	WON	BALANCE
HANG UP							TIME 14:20:31			
			Amount bet this callup/hangup session					\$0.00		
CALL UP			Div SANB WINDOW 4				TIME 14:29:20			
HANG UP							TIME 14:29:54			
			Amount bet this callup/hangup session					\$0.00		
HANG UP							TIME 15:58:36			
			Amount bet this callup/hangup session					\$0.00		
HANG UP							TIME 16:02:14			
			Amount bet this callup/hangup session					\$0.00		
DEPOSIT							TIME 16:02:32	\$100.00		\$100.00
			Reason = AGT:1001							
HANG UP							TIME 16:02:32			
			Amount bet this callup/hangup session					\$0.00		
HANG UP							TIME 16:02:52			
			Amount bet this callup/hangup session					\$0.00		

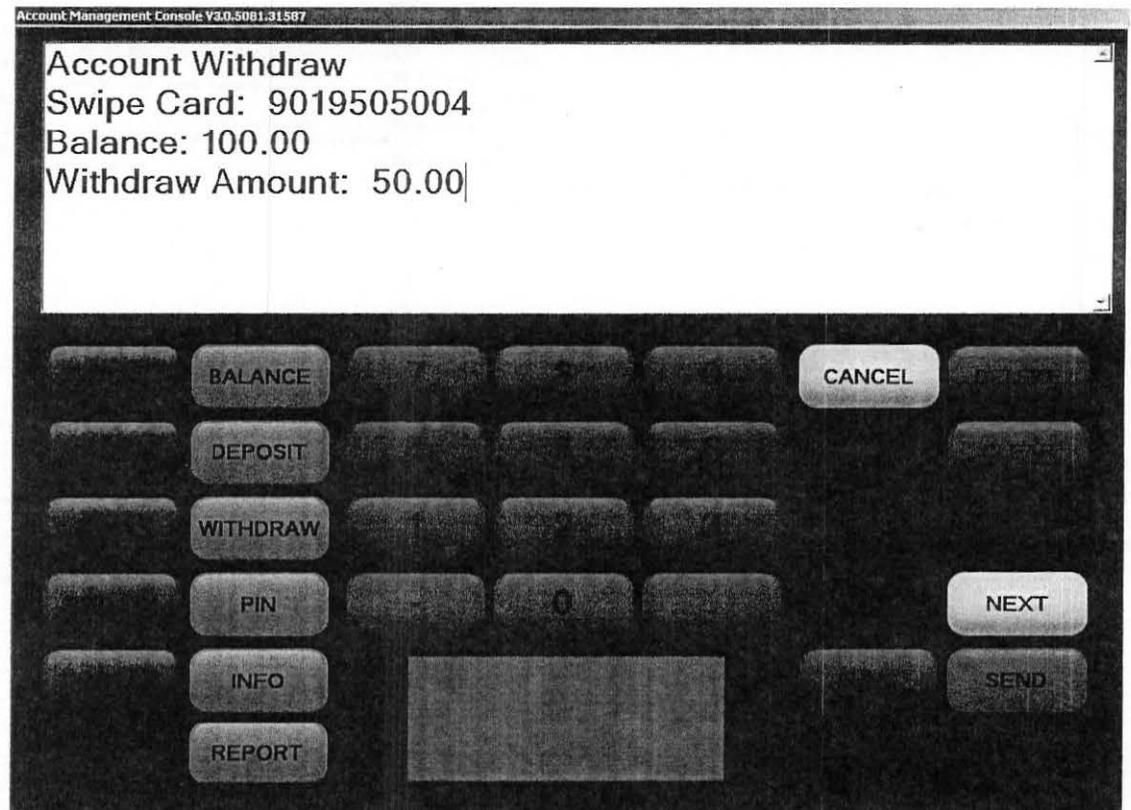
ACCOUNT: 9019505004

Start Account Management Co... Withdraw Screen.bmp ... http://10.16.200.72/... 7:04 PM

Teller Module

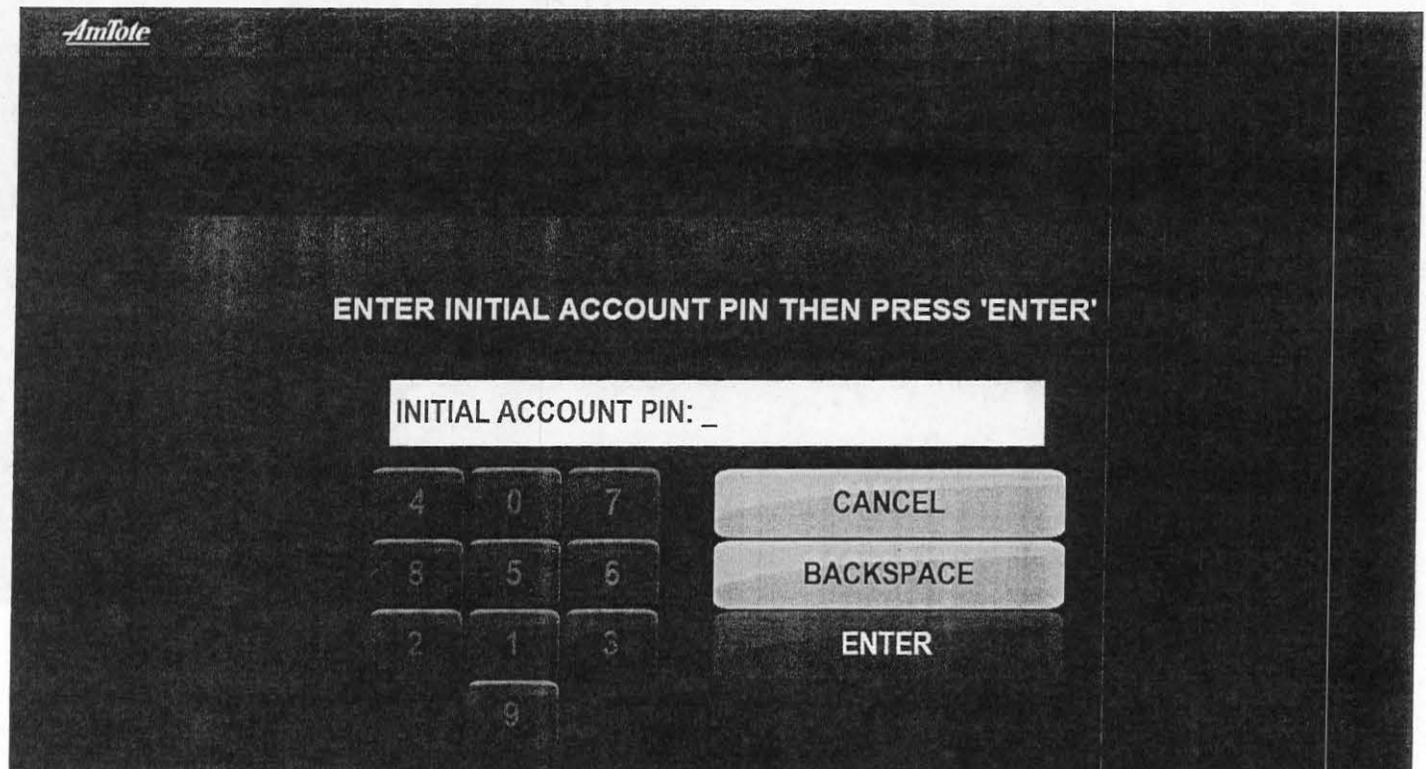
Account Withdrawal

- Requires card swipe by teller and PIN input by customer
- Excludes any IRS funds from withdrawal until SSN# is input



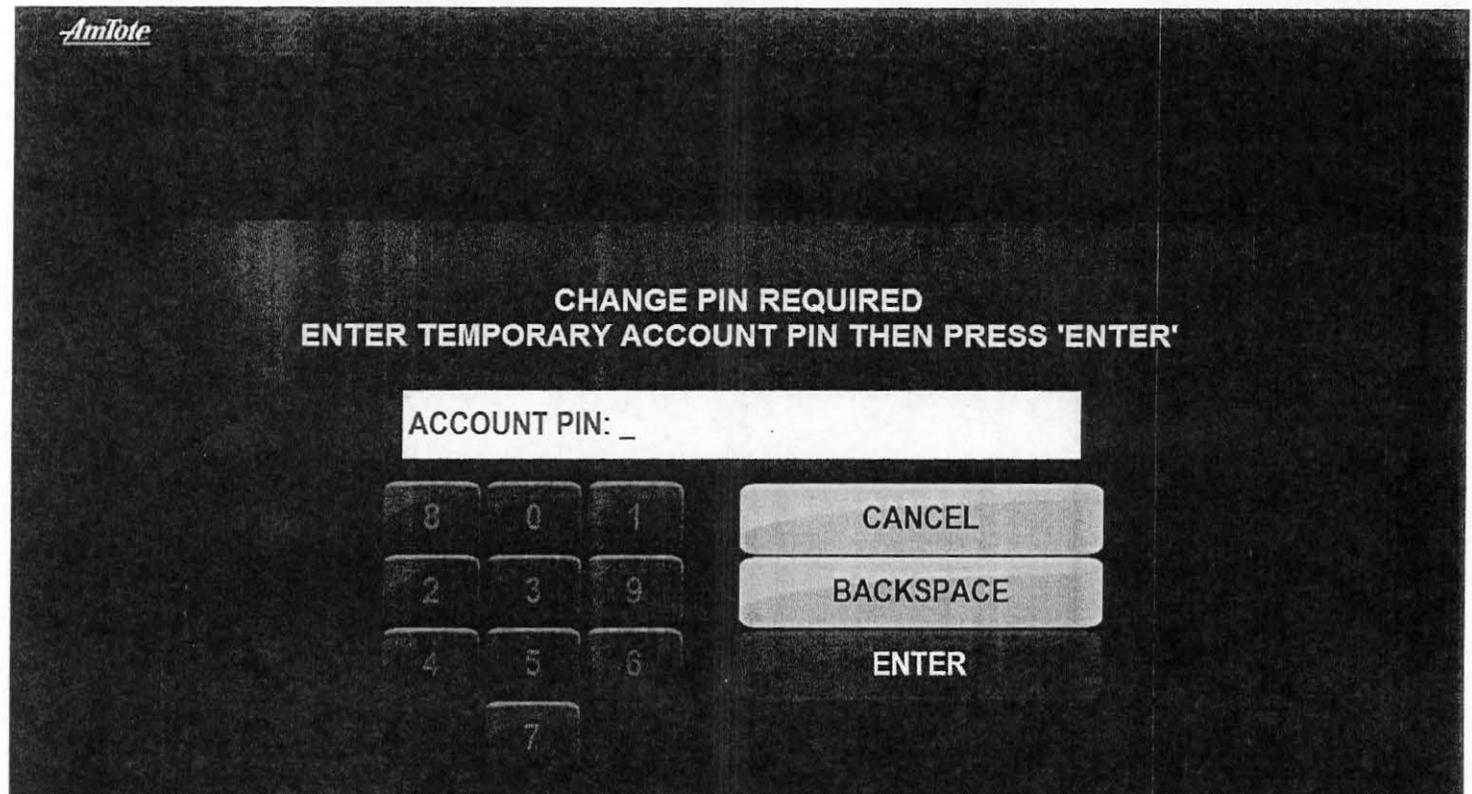
Tablet User Interface

Customer is prompted to enter account number or swipe card in reader (optional) and then enter PIN.



Tablet User Interface

PIN changes are
easy and secure



Tablet User Interface

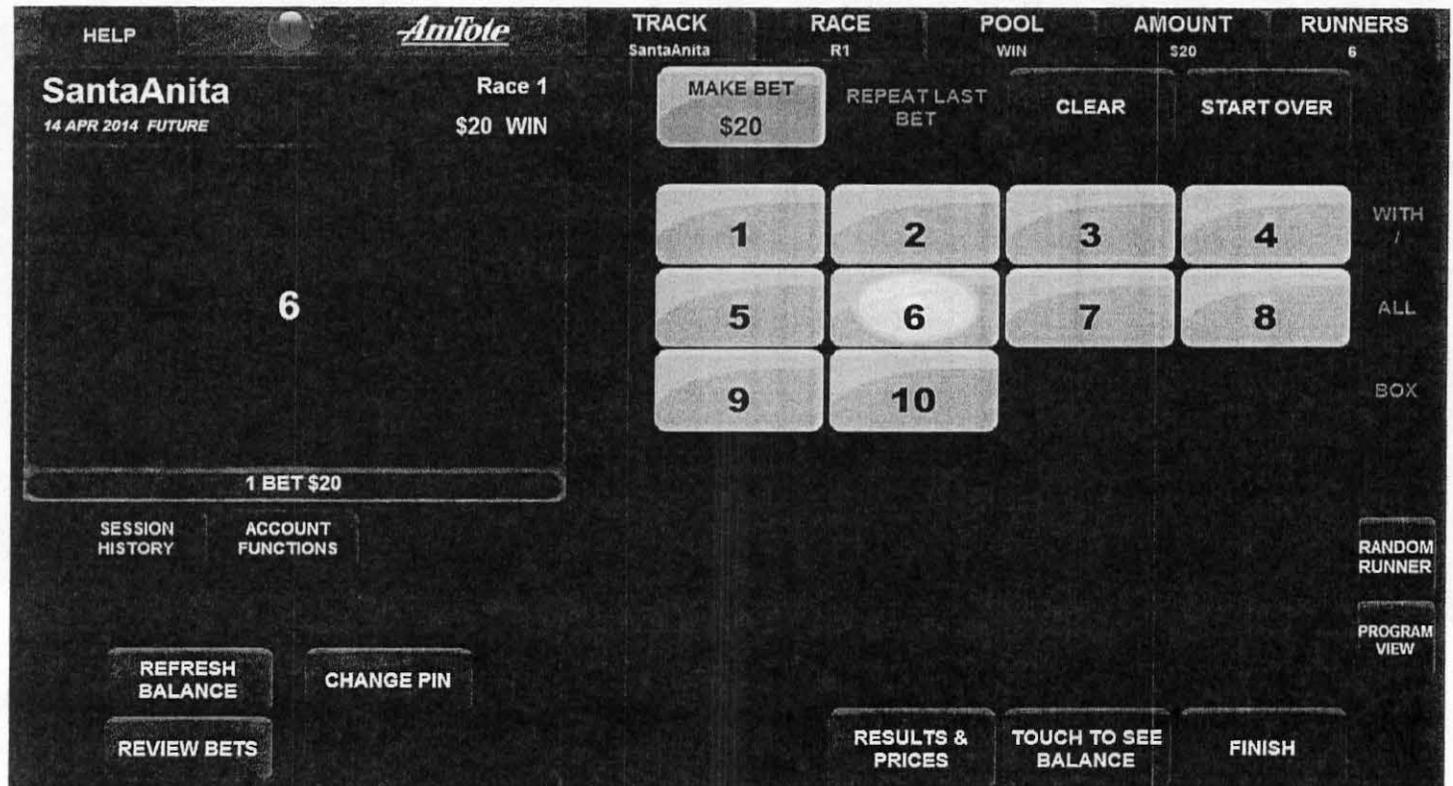
Track selections appear in name or MTP order.

The screenshot shows the Amilote mobile betting app interface for Santa Anita Race 1. The interface is dark-themed with white text. At the top, there is a header with 'HELP', the Amilote logo, and navigation options: TRACK (Santa Anita), RACE (R1), POOL, AMOUNT, and RUNNERS. Below the header, the main content area displays 'Santa Anita' and 'Race 1 87 MTP' with the date '14 APR 2014 FUTURE'. There are three buttons: 'MAKE BET', 'REPEAT LAST BET', and 'CLEAR'. Below these, there are three track selection buttons: 'GULFSTREAM PARK RACE 1 35 MTP', 'PIMLICO RACE 1 60 MTP', and 'SANTAANITA RACE 1 87 MTP'. At the bottom, there are several navigation buttons: 'SESSION HISTORY', 'ACCOUNT FUNCTIONS', 'REFRESH BALANCE', 'CHANGE PIN', 'SORT BY NAME', 'SORT BY MTP', 'ALL TRACKS' (with a horse icon), 'REVIEW BETS', 'RESULTS & PRICES', 'ACCT XXXXX20 BAL: \$125.00', and 'FINISH'.

Tablet User Interface

User interface provides logical left to right, tab oriented bet editing and placement with voice prompts and cues to advance the wagering process.

Wagers appear in Session History tab



Contact

Scott Daruty

(626) 574-6322

Scott.Daruty@hrtv.com

Eric Sindler

(626) 574-6361

Eric.Sindler@monarchmgmt.com