

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
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## REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held **Thursday, October 22, 2015**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of August 20, 2015.**
2. **Approval of the minutes of September 16, 2015.**
3. **Executive Director's Report.**
4. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Quarter Horse Racing Association in the amount of \$28,143 to five beneficiaries.**
6. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Alamitos Racing Association in the amount of \$12,358 to five beneficiaries.**
7. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Angeles Turf Club, Inc. dba Santa Anita Park in the amount of \$190,756 to 12 beneficiaries.**
8. Discussion and action by the Board on the **nomination of members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc.**
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields, commencing December 26, 2015 through June 14, 2016, inclusive.**

10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club, (T) at Santa Anita**, commencing December 26, 2015 through July 12, 2016, inclusive.
11. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Horse Racing Association (T) at Los Alamitos**, commencing December 3, 2015 through December 20, 2015, inclusive.
12. Discussion and action by the Board regarding the **allocation of the 2016 Quarter Horse Race Dates**.
13. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Quarter Horse Racing Association (Q) at Los Alamitos**, commencing December 26, 2015 through December 18, 2016, inclusive.
14. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing December 26, 2015 through May 8, 2016, inclusive.
15. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to adjust the non-winning jockey riding fee scale for losing mounts, pursuant to Business and Profession Code section 19501(b) (1), to reflect California's minimum wage increase of 11.1 percent scheduled for January 1, 2016 and to amend the non-winning jockey riding fee scale to reflect an increase of 11.1 percent for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts in races with a gross purse of \$9,999 or less. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
16. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1689.1, Safety Vest Required**, to extend the requirement to wear a safety vest to pony riders who pony or lead a horse or who are mounted on any horse on the grounds of a facility under the jurisdiction of the Board.
17. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1843.3, Penalties for Medication Violations**, to adjust the penalties for medication violations.
18. Discussion and action by the Board regarding the **proposed addition to CHRB Rule 1846.6, Postmortem Examination Review**, to require a postmortem examination review of each equine fatality within a CHRB inclosure.
19. Discussion by the Board regarding the **report from staff explaining the process and science used for maintaining track surface conditions at California racetracks**.

20. Discussion by the Board regarding the **report from staff regarding the status of the microchip pilot project.**
21. Report from the **Pari-Mutuel/ADW, Satellite and Simulcast Committee.**
22. Discussion by the Board regarding **fantasy sports games and the potential impact on the horse racing industry in general.**
23. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Richard Rosenberg, Vice Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

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**PENDING LITIGATION  
OCTOBER 2015****COURT LITIGATION****A. Jeff Mullins vs. CHRB, et al**

Superior Court of California, County of San Diego, Case No. 37-2010-00092212

**B. San Luis Rey Racing, Inc., vs. CHRB, et al**

Superior Court of California, County of San Diego, Case No. 37-2011-00096586

**C. Donald Lockwood vs. CHRB**

District Court of Appeal, Second District, Division P, Case Number B2649743

Superior Court of California, County of Los Angeles, Case No. BS147701

**D. Jose DeLaTorre vs. CHRB**

Superior Court of California, County of Los Angeles, Case No. BS152795

**E. Dean Frey vs. CHRB**

Superior Court of California, County of Los Angeles, Case No. BS154219

**F. Gustavo DeLaTorre vs. CHRB**

Superior Court of California, County of Los Angeles, Case No. BS154412



**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place**, 2260 Jimmy Durante Blvd., Del Mar, California, on **August 20, 2015**.

Present: Chuck Winner, Chairman  
Richard Rosenberg, Vice-Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director  
Robert Miller, General Counsel

**APPROVAL OF THE MINUTES OF JULY 17, 2015**

Chairman Winner asked for approval of the minutes of the Regular Meeting of July 17, 2015.

Vice-Chairman Rosenberg **motioned** to approve the minutes. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

**EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Rick Baedeker reported that daytime handle increased 7.5 percent over 2014 and nighttime handle increased 13.3 percent, with an overall gain of 3.35 percent. Pleasanton increased handle by 1.7 percent. Sacramento had four more days than its 2014 race meeting, which resulted in a 63 percent increase in handle. Santa Rosa had a 5.7 percent increase in overall handle despite two fewer race days than in 2014. He added that the Santa Rosa on-track average daily handle increased by 14.3 percent. Satellite wagering in California was up 27.8 percent, Advanced Deposit Wagering increased 28.3 percent and out-of-state wagering increased by 21 percent from 2014. Executive Director Baedeker said the Santa Rosa race meet was a good example of how racing was during the "good old days" and he encouraged the audience to attend

any race meet held at Santa Rosa. Executive Director Baedeker reported that the next phase of the Microchip Pilot program was set to begin at Pleasanton in September 2015. He said the Jockey Club recently announced microchips would become a requirement for registration of Thoroughbred foals beginning in 2017. Executive Director Baedeker stated that the California Horse Racing Board (CHRB) decided to modernize old software already used by CHRB and incorporate it into relational databases that would make the software able to do most of the same functions at a lesser cost than purchasing a newer system.

#### **PUBLIC COMMENT**

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Gail Matthews, from Winning Hands, Equine Massage Therapy thanked the Board and the Executive Medical Director for taking action to safeguard the lives of the horses and jockeys. However, Ms. Matthews said more needed to be done to prevent race horses from being sold at auctions with kill buyers. As an example, she said in August 2015, Valley Spirit finished last at a race held in Los Alamitos and was brought to Mike's Livestock Auction in Mira Loma within a week. Commissioner Auerbach commented that Ms. Matthews did not notify CHRB in a timely manner. She said the issue was already under investigation and thanked Ms. Matthews for her concern. Commissioner Auerbach encouraged Ms. Matthews to notify the Board as soon as she was aware of horses in such circumstances. Executive Director Baedeker added that the CHRB could be contacted on-site at race tracks, or through the CHRB website. Chairman Winner said the Board felt strongly about the treatment of the horses and that it supported Ms. Matthews concerns.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB II IN THE AMOUNT OF \$39,964.66 TO TWELVE BENEFICIARIES.**

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Eric Sindler of the Santa Anita Park Race Track stated the Los Angeles Turf Club II requested approval to distribute race day charity proceeds from its 2014 Autumn Meet to twelve horse racing related charitable organizations. Commissioner Choper **motioned** to approve the request by the Los Angeles Turf Club II to distribute its race day charity proceeds in the amount of \$39,964.66. Commissioner Solis **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC (H) AT CAL EXPO, COMMENCING OCTOBER 24, 2015 THROUGH DECEMBER 20, 2015, INCLUSIVE.**

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Executive Director Baedeker stated that Watch and Wager LLC (WAW) submitted all the outstanding items listed on the Staff Analysis that could be submitted prior to the stated deadline. Christopher Schick, WAW said the application was for the fourth season WAW hosted harness racing at Cal Expo. He said WAW focused on recruiting incentives and marketing and the response was positive. Commissioner Choper asked if any WAW strategies could be used by other racing associations. Mr. Schick replied that in 2014 WAW turned down stables it felt were problematic during its 2013 meeting. WAW also added a house rule to stop excessive use of cobalt, which he believed improved racing, and the public's overall perception regarding the integrity of racing. Commissioner Choper asked if there were any major harness racing tracks that operated at the same time as WAW at Cal Expo. Mr. Schick stated Meadowlands ran concurrent with WAW. He added a circuit in Ohio ran; Pompano Park in South Florida; and several New York tracks ran during the same timeframe. Ed Cummings, President of WAW said

he thought the success in 2014 was due to international premium player money, which was something WAW specialized in. Ben Kenney, WAW, said Cal Expo spent between \$700,000 and \$800,000 in the backstretch. The work included tack room quarters for grooms. The additional tack rooms helped recruit trainers. Commissioner Choper **motioned** to approve the application to conduct a horse racing meeting by Watch and Wager LLC at Cal Expo commencing October 24, 2015 through December 20, 2015. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously** carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING OCTOBER 22, 2015 THROUGH DECEMBER 20, 2015, INCLUSIVE.**

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Cal Rainey, Golden Gate Fields (GGF), said the race meeting commencing October 22 through December 20, 2015 was for 32 days with an average of over eight races per day, which was consistent with recent meets at GGF. The first post time was at 12:45 p.m., except for the Breeders' Cup and Thanksgiving Day. The proposed stakes schedule was similar to the GGF 2014 fall meet. Mr. Rainey said GGF had a one percent increase in handle for its 2015 spring meet compared to its 2014 spring meet. He added that GGF had made improvements to its facility. Changes included: flat-screen television monitors at the clubhouse and stewards' stand, and a fiber optic cable installed to directly connect the uplink truck to improve out-of-state simulcast viewing. GGF also contracted with GeoVision for additional stable surveillance. In addition, GGF completed waste water treatment facility improvements, which were in compliance with water authority regulations. Vice-Chairman Rosenberg asked for clarification regarding the GGF's marketing plan budget. Dan Cirimile, GGF, stated that marketing funds

were spent on the facility improvements Mr. Rainey had spoken about. Vice-Chairman Rosenberg asked if the conclusion was that there was not an effective way to attract people to the track by spending more on advertising. Mr. Cirimile said the decision to spend marketing funds on the facility was made due to necessity for improvements and limited funds. Vice-Chairman Rosenberg asked how the funds would have been used if there was a larger profit. Mr. Cirimile said GGF would have spent a mix of funds on traditional advertising and increased advertising through social media. Scott Daruty, GGF, added that revenue ultimately originated from handle, and for GGF, only seven percent of the wagers came from people actually present at the race track. The remaining 93 percent was from off-track for recent meets. The result was less income. Therefore, improvements, such as improving adding fiber optic cable to improve the simulcast signal, were part of a marketing plan. Commissioner Choper asked about the May Hispanic Heritage Day. Mr. Rainey said about 11,000 adults attended. The last time GGF had a comparable crowd was at the beginning of the race meet held in August 2013. He said although the handle was higher for Hispanic Heritage Day, it was not proportionate with the attendance. Commissioner Choper asked if the attendants of Hispanic Heritage Day were given vouchers or anything to see if anyone came back. Mr. Cirimile replied that coupons were offered at designated gates for bounce-backs on certain days. Commissioner Choper said tracking the number of first-time attendants that came back would have been a good way to see if the Hispanic Heritage Day promotion met the goal to attract new people to the race track. Commissioner Beneto asked if it was wise to spend money on TVG and HRTV rather than on alternative advertising methods, such as newspapers or local television. Mr. Daruty said advertising on TVG catered to viewers already interested in racing and wagering, so it was important to broadcast races on the network. Commissioner Beneto said he had a difficult time

finding races held at GGF on TVG. Mr. Daruty explained that GGF entered into a transaction with HRTV and TVG in February 2015. GGF races were not shown on TVG prior to the February 2015 transaction. The transaction guaranteed that a percentage of races at GGF would be broadcast on TVG. Chairman Winner asked if HRTV was broadcasting races that had not been aired in the past with the new agreement. Mr. Daruty said that every race at GGF was on television; any race not shown on TVG was shown on HRTV. Chairman Winner **motioned** to approve the application to conduct a horse racing meeting by Pacific Racing Association at Golden Gate Fields commencing October 22, 2015 through December 20, 2015. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously** carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR (F), AT FRESNO, COMMENCING OCTOBER 8, 2015 THROUGH OCTOBER 18, 2015, INCLUSIVE.**

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John Alkire, of the Big Fresno Fair (Fresno), stated Fresno proposed to operate a race meeting from October 8 through October 18, 2015. He said Fresno added \$46,000 to its advertising budget for new promotions such as artwork that would be displayed on all busses in Fresno County. Fresno also worked with ESPN to develop coasters that included a QR code (barcode). The coasters would be placed throughout Fresno County. The QR code could be scanned to get information about the Fair. He stated Fresno contributed an additional \$40,000 incentive for trainers and owners to come to Fresno and Stockton. He said due to the success of its Salute to California Chrome Day, Fresno dedicated a section in its museum to California Chrome. He stated Fresno would have jumping horses between races to keep people entertained as well as K-9 dogs. Fresno was bringing back dancing horses on Sundays, as they were very popular.

Fresno County was a highly productive agricultural area, but the drought was resulting in high unemployment. So, Fresno was offering Dollar Day, Two-Dollar Day, and Food Drive Day to boost attendance. Larry Swartzlander, Director of Racing, stated Fresno had a contract with TVG to show the Pick Four races on Fridays, Saturdays, and Sundays on live TV, with the remaining thoroughbred races shown at TVG's discretion, as time permitted; while HRTV would show all of the thoroughbred races. Commissioner Krikorian **motioned** to approve the application to conduct a horse racing meeting by the Big Fresno Fair at Fresno commencing October 8, 2015 through October 18, 2015. Commissioner Auerbach **seconded** the motion, which was **unanimously** carried.

**DISCUSSION BY THE BOARD REGARDING RACING SECRETARIES ABILITY TO WRITE, AS A CONDITION FOR ANY RACE, LASIX-FREE RACES IN CALIFORNIA, WHICH WOULD PROHIBIT LASIX ADMINISTRATION WITHIN 24 HOURS OF A RACE.**

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This item was removed from the agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1734, WHIPPING, TO CURTAIL AND PREVENT EXCESSIVE USE OF THE WHIP IN HARNESS RACING.**

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Executive Director Baedeker said the proposed amendment to Rule 1734, Whipping, would clarify which actions would be considered indiscriminate use of the whip in harness racing. He said the proposed amendment was part of an effort to modify the use of the whip in all types of California horseracing. Assistant Executive Director Jacqueline Wagner said the proposed amendment was an adoption of a Cal Expo house rule regarding whipping. Christopher Schick, Watch and Wager LLC (WAW), said WAW supported the proposed amendment. Mr. Schick

stated the California Harness Horsemen's Association (CHHA) had indicated its support. However, CHHA and the harness stewards requested that the proposed text be changed to include a section that would require that drivers keep one line, rein or handhold in each hand at all times during the race, except when the horse and driver reached the 7/8 mile pole marker. Vice-Chairman Rosenberg asked what would happen if the house rule was violated. Mr. Schick answered that the house rule was under some scrutiny, as the stewards would try to impose penalties, but did not have a CHRB rule to cite. Executive Director Baedeker explained that stewards had the ability to enforce house rules on behalf of the racing association. Vice-Chairman Rosenberg asked if the language would cause a problem for the stewards when harness drivers were fined. Executive Director Baedeker and Mr. Schick confirmed the stewards had fined drivers based on the provisions of Rule 1734 as it was written. Chairman Winner asked if the purpose of the amendment was to codify a house rule that was already used. Mr. Schick said "yes." Commissioner Krikorian asked if driver opinions were taken into consideration. Mr. Schick said when the house rule was first proposed, WAW met with the drivers, and he believed drivers supported the rule. Chairman Winner said the motion was to have the rule go out for a 45-day comment period. He suggested that Mr. Schick meet with the drivers, or that the drivers could submit their positions in writing to the Board. Commissioner Krikorian requested that Mr. Schick reach out to the drivers during the 45-day period, as part of the process. Commissioner Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the amendment to Rule 1734, Whipping. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously** carried.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO REQUIRE THAT AUTHORIZED BLEEDER MEDICATION BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS.**

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Dr. Rick Arthur, CHRB Equine Medical Director, stated the proposed amendment to Rule 1845, Authorized Bleeder Medication, was part of California's ongoing commitment to the National Uniform Medication Program. Therapeutic thresholds had been adopted, and the Maddy Lab was one of the first labs to be accredited. He said third party Lasix and multiple medication violation penalties were the next step in the program. Third party Lasix had been adopted by other major racing states such as New York and Kentucky. The Thoroughbred Owners of California (TOC) and the American Association of Equine Practitioners (AAEP) supported third party Lasix, while local veterinarians had opposed third party Lasix, believing it interfered with their practices. Dr. Arthur stated AAEP was the largest equine veterinary organization in the world and its ten point action plan, which included third party Lasix, was a strategic plan to ensure the long term success of horseracing. He said the CHRB worked closely with the California Veterinary Medical Board (VMB) to resolve any conflicts with the veterinary client patient relationship. During that process CHRB staff explained the proposed amendment at VMB's public meeting. The VMB agreed unanimously that the proposed amendment addressed its concerns regarding the veterinary client patient relationship. He said while California was one of the cleanest racing jurisdictions in the United States, it could always do better. Third party Lasix was an important step in that direction, as it removed suspicion and temptation. Certain drugs could only be detected if they were administered by IV injection on race day. He stated California would benefit from Kentucky and New York's experience with third party Lasix; their procedures and protocols were shared with CHRB, and draft protocols were developed. In addition, third party Lasix was successfully conducted at two California Breeders'

Cups. He said the veterinary professionals administering the Lasix would be licensed by VMB and CHRB; administering Lasix was easy and complication-free. Private veterinarians, not State employee veterinarians, would administer the Lasix. Commissioner Auerbach asked if the racing associations were in favor of third party Lasix. Dr. Arthur said he received no objections from the racing associations. Dr. Karen Valko said she was tired of being painted that she was doing something wrong, or that her fellow veterinarians were doing something wrong. To change rules based on heresay was wrong. She said there were other options available besides a third party veterinarian, such as monitoring veterinarians while they administered the Lasix. She asked who would be responsible if something went wrong with the shot that was administered by the third party. Dr. Don Shields stated there were alternatives to third party Lasix, with several alternatives proposed to the Board in February 2013. The first alternative was impartial security personnel commissioned to supervise the administration of Lasix. Such a program was successfully implemented in Indiana. The second alternative involved private veterinarians being supervised by commission employees. He said in February 2013 the Board raised clarity issues that still remained unresolved, and the Office of Administrative Law would require clarification. Commissioner Auerbach said she had been on the Board for a year and a half, and was a member of the Medication Committee, but was not aware of any alternatives. Commissioner Choper asked if the amendment to Rule 1845 was reviewed by the Medication Committee. Dr. Shields said alternatives were submitted at the February 2013 Regular Board meeting. Executive Director Rick Baedeker said the discussions regarding the amendment to Rule 1845 began in 2012. The first time that the issue was discussed under his tenure was in March 2014. The Board's only concern at that time was the opposition of the VMB over the Vet-Client-Patient relationship. He stated the Board worked cooperatively with the VMB to

develop language that was approved by the VMB with a seven to zero vote. To date, it had been a three year process. Chairman Winner stated he was on the Medication and Track Safety Committee and at the meeting where it was discussed several times and the public had the opportunity to submit any alternatives. Dr. Shields said he submitted alternatives at that time. Vice-Chairman Rosenberg said the most recent amendment to Rule 1845 had not been discussed in detail by the Board; just because the rule was sent out for 45-day notice did not mean that the Board had to approve it as written and some of the changes he did not understand, for example, a third party veterinarian designated by the official veterinarian shall administer Lasix. He asked how that would work in practice; the official veterinarian would be in charge of who he hired. He stated he agreed with Dr. Shields; if there were simple alternatives why not implement them. Chairman Winner said the language was the same as what was approved for 45-day notice; it was not new language. Vice-Chairman Rosenberg stated it was never discussed in detail. Dr. Arthur said the process was very simple; it was implemented in Kentucky and New York successfully. The only difference was not having private veterinarians that had an interest in the outcome of a race. He stated while the majority of California veterinarians were clean, it was evident that drugs other than Lasix were being administered to horses on race day. That was what the amendment to Rule 1845 was trying to prevent to protect the integrity of racing. As done in Kentucky, New York, and Woodbine, California would hire veterinary practices that did not have an interest in the outcome of the race. Vice-Chairman Rosenberg asked Dr. Arthur what his objection was to Dr. Shields suggested alternative. Dr. Arthur said Santa Anita had a different layout than Indiana Downs; logistically it was different. He stated if there were 30 veterinarians on the backside, there would have to be as many monitors. He said Kentucky charged \$20 for race day Lasix. He stated a greater burden would be placed on the owners who

would have to pay for it if somebody had to go along and stand behind the veterinarian, watch them give a shot, and confiscate the syringe. Joe Morris, TOC, said this process of amending Rule 1845 had been three years in the making. However, it was a national movement, not just a California movement, and it was following Racing Medication and Testing Consortium and the Uniform National Medication guidelines. He stated he was surprised that other people were uninformed, since the changes had been in the works for three years. He stated the changes matched Florida, New York, Kentucky, and Toronto; it was a good program that matched other racing states, and was a necessary step as part of the uniform medication guidelines. He stated California Thoroughbred Trainers (CTT) and TOC would participate in the hiring of the third party group so they would have input regarding the level of expertise and costs. Executive Director Baedeker said the national uniform language had not changed, only the language addressing the vet-client-patient relationship. Chairman Winner asked if the VMB saw the language they voted on. Executive Director Baedeker said "yes," with the focus being on the vet-client-patient relationship. Dr. Arthur stated the language was designed to be flexible to allow for different circumstances based on different tracks; TOC, CTT, and the racing associations would contract with a veterinary practice that wanted to provide services. The official veterinarian would make the decision as to whether or not there was a conflict of interest; for example, the racetrack hired the track veterinarian and the official veterinarian would designate the individual responsible for the pre-race examination. Vice-Chairman Rosenberg said the third party veterinarian would not be in the business of being a track veterinarian; he or she would be a non-track veterinarian. Dr. Arthur said the third party veterinarian could have had experience as a racetrack veterinarian, but was no longer practicing, or a veterinarian that was retiring or trying a different sort of practice. Vice-Chairman Rosenberg asked if Dr. Arthur

would be in charge of telling the official veterinarian who and how to choose third party veterinarians. Dr. Arthur said there were draft protocols; the individuals would be required to meet the criteria in the regulation, they should not have a conflict of interest; they should be disinterested veterinarians; and capable of doing the job. Commissioner Auerbach asked what input CTT and TOC would have in hiring the third party veterinarian. Dr. Arthur said that issue would be a part of the agreement between the horsemen's organizations and the racing associations. Vice-Chairman Rosenberg asked if Request for Proposals (RFP) would be required; under state law, bidding for projects of any size was required. Dr. Arthur said the amendment would not involve a State contract. Chairman Winner asked for legal confirmation that the State RFP process was not required. Robert Miller, CHRB Board Counsel, stated Rule 1845 would not require a State contract. Commissioner Auerbach said she was concerned with the amount of flexibility that would be allowed. Dr. Arthur stated the amendment was designed to allow an enormous amount of flexibility. Los Alamitos, for example, may want to implement the program with two veterinarians and it may only cost \$15 a shot, while at Del Mar, due to the layout, there may be five veterinarians, which may cost \$75 a shot. He said the program was designed to be flexible to meet the needs of each race track. Chairman Winner asked if the selected veterinarian or organization would be a part of license application that went before the Board. Mr. Miller said the information would be included in the license application; the language was currently not included because the rule was not in effect. Chairman Winner asked if the Board would have approval of the third party Lasix agreement once the program was in effect and it was included in the license application. Mr. Miller stated that was correct. Alan Balch, CTT, stated at the Belmont Park meeting on Lasix in 2011, CTT advocated third party administration of Lasix accompanied by an increase in security to address the perception that

other drugs may be administered along with Lasix. He said with the long gap between 2011 and 2013, the discussions focused on third party administration and any alternatives were overlooked. He stated the Indiana alternative was listed as an acceptable third party administration, and even though it was not a third party administration, it achieved the same goal of preventing the administration of anything other than Lasix by the attending veterinarian. He said he believed the proposed amendment to Rule 1845 should have been sent back to the Medication Committee after the Cal Expo meeting. He stated after reviewing Dr. Shields alternatives, if the Indiana method could be implemented under existing rules, the Board should consider it. Jim Cassidy, CTT, said he asked at a prior meeting who would be responsible for a bad test, or if a horse got injured. He stated his questions still had not been answered. He said he believed the individuals that would be hired for the job would not have the best interest of the horses in mind. He stated the third party Lasix would not work for the health and safety of the horse. Dr. Arthur said the veterinarians were professionally liable for their conduct and to suggest that a veterinarian administering Lasix would not care about the animal was disappointing. Chairman Winner asked what would be required if the amendment to Rule 1845 was sent back to committee, and the committee brought it before the Board with changes, except to the client-patient issue. Would the amendment be required to go out for the 45-day notice. Mr. Miller stated that was correct. Executive Director Baedeker said if the mutually agreed upon language regarding the client-patient issue had changed, CHRB would consult with VMB. Commissioner Choper asked if a horse would be subject to the same rule if it were taken to New York, Maryland, or any of the major racing jurisdictions. Mr. Cassidy said yes, and he had experienced mistakes firsthand. Dr. Arthur said over the course of 34 months no mistakes had been made, and only one horse out of 38,000 that had Lasix injections experienced colic. Vice-

Chairman Rosenberg said no one on the Board had raised objections to the rule going into place; the objections were related to the language and the breadth of it. Dr. Arthur stated the language was written to allow flexibility. Executive Director Baedeker said when writing a regulation, the purpose was to regulate the issue, not manage it; the logistics would be worked out in order to comply with the regulation once it was in place. Commissioner Choper **motioned** to refer the issue back to the Medication and Track Safety Committee. Vice-Chairman Rosenberg **seconded** the motion which was **passed** by a five to two vote.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1843.2, CLASSIFICATION OF DRUG SUBSTANCES, TO ADD COBALT TO THE CHRB PENALTY CATEGORIES LISTING BY CLASSIFICATION, THEREBY ESTABLISHING THE VIOLATION AND PENALTY GUIDELINES FOR THE PRESENCE OF COBALT IN AN OFFICIAL BLOOD SAMPLE.**

Commissioner Auerbach said the proposed amendment to Rule 1843.2, Classification of Drug Substances was a procedural motion to codify actions that were based on a house rule at Cal Expo. The amendment added cobalt to the CHRB Penalty Categories Listing by Classification form. Dr. Arthur requested the Board approve the proposed amendment so it could become effective before harness racing returned to Cal Expo. Commissioner Auerbach **motioned** to approve the amendment to Rule 1843.2, Classification of Drug Substances. Commissioner Choper **seconded** the motion, which was **unanimously** carried.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB BOARD RULE 1887, TRAINER TO INSURE CONDITION OF HORSE, TO ADD OWNERS OF A SHIP-IN HORSE AS EQUALLY RESPONSIBLE FOR THE CONDITION OF A HORSE.**

Bing Bush, licensed owner, stated he was concerned that the amendment to Rule 1887, Trainer to Insure Condition of Horse, would make an owner responsible for the condition of the horse. He said owners usually were not involved in the day-to-day care of a horse, and could not even know the types of medication administered to their horse. He stated the definition of a ship-in horse was overbroad and would put an undue burden on owners, especially out-of-state owners. Commissioner Choper said there were many cases where a trainer received a shipped in horse that was drugged, and while the trainer was held responsible, the horse would come from the owner's ranch so the owner should also be held responsible. Mr. Bush stated he understood the purpose of the language was to address horses that came from private ranches or private training centers where the trainers were not licensed; however, the language did not specifically address horses that came from a private facility. Vice-Chairman Rosenberg asked if Mr. Bush was referring to horses that were shipped by an out-of-state trainer to a licensed owner in California, or an out-of-state trainer that was also licensed in California. Mr. Bush stated an owner that was licensed both out-of-state and in California often would not have any control or involvement in any medication issues. Executive Director Baedeker said usually when a trainer shipped a horse in to California from out-of-state the trainer was also licensed in California; therefore, the amendment to Rule 1887 would not apply as the horse would have been in the care of a licensed California trainer. He stated there were many incidents where a program trainer had never trained the horse or the horse had not been in his possession for more than a day and the ship in owner was not held responsible. He said the amendment to Rule 1887 would make the owner the joint absolute insurer along with the trainer, would protect the wagering public, and the trainers and owners. Chairman Winner said the purpose of the amendment was to create a deterrent. Vice-Chairman Rosenberg stated the amendment placed an added burden on the

owner to know who his trainer was shipping the horse to, which was a legitimate concern. Commissioner Choper asked if the owner had multiple horses at the track, and one of the horses he shipped in tested positive, what would happen to the other horses, would he be able to run the other horses. Chairman Winner said the rule would not affect the other horses; it would only affect the horse that tested positive. Commissioner Choper **motioned** to approve the proposed amendment to CHRB Rule 1887, Trainer to Insure Condition of Horse. Commissioner Auerbach **seconded** the motion, which was **passed** by a five to one vote.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE LICENSE RENEWAL FOR AMTOTE, THE TOTALIZATOR SELECTED BY SOUTHERN CALIFORNIA OFF TRACK WAGERING, INCORPORATED (SCOTWINC) AND NORTHERN CALIFORNIA OFF TRACK WAGERING, INCORPORATED (NCOTWINC), TO PROVIDE CALIFORNIA WAGERING SERVICES AND THE IMPACT THE SELECTION MAY HAVE ON CALIFORNIA RACING.**

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Scott Daruty, AmTote, stated AmTote submitted the requested information, including the application, and letter addressing the five conditions the Board previously placed on AmTote's license application. John Bucalo, Barona Casino, said he had several incidents where machines broke down and the technician for the machines was on vacation. He asked if AmTote would have technicians on-site, no less than two days a week for any repairs that could be needed. Chairman Winner asked if the equipment currently in place belonged to AmTote. Mr. Bucalo said that was correct. Chairman Winner asked if it was the tote company or the racing associations' responsibility for maintaining the tote equipment. Jeff True, AmTote, stated AmTote would have new equipment and therefore would have fewer breakdowns. Executive Director Rick Baedeker said any equipment issues at the satellite locations would be managed by Southern California Off Track Wagering, Incorporated (SCOTWINC). SCOTWINC would

work with the tote company. The mutual manager at the host track would coordinate any issues for SCOTWINC. Joe Morris, SCOTWINC, stated the process of selecting a new tote provider was a long and deliberate process. AmTote was awarded the contract for many reasons, and SCOTWINC urged the Board to approve the contract with AmTote. He said the current contract with Sportech was ending on October 25, 2015, with AmTote coming in at the close of business on the 25<sup>th</sup> - without any interruption in business. Chris Korby, California Authority of Racing Fairs (CARF), stated a very comprehensive Request for Proposal (RFP) was prepared by the racing industry. The RFP was offered to the tote companies who were interested in bidding. He urged the Board to vote in favor of the license application. Commissioner Krikorian asked who would be responsible for the costs associated for providing corporate minutes, financial audits, and other financial documents, and within what timeframe would the documents need to be provided. Executive Director Baedeker said any routine auditing would be completed by the CHRB, but if the CHRB required other audits or financial documents, those would be at the expense of AmTote. Philip Laird, CHRB Staff Counsel, stated the items were not discussed specifically, however, regular requests of routine documents such as corporate minutes would be provided by AmTote. Executive Director Baedeker said it would depend on the type of audit requested. If the CHRB requested a forensic audit that would be something the CHRB would want to control. The CHRB would pay for that type of audit. Commissioner Krikorian stated there was no requirement to provide requested documents within a certain timeframe; how would the CHRB control that. Executive Director Baedeker said that would be at the CHRB's discretion. Commissioner Krikorian asked if language should be added to the agreement to state that CHRB requested documents should be provided within a certain timeframe. Executive Director Baedeker asked if CHRB Staff Counsel addressed performance timeframes in the

conditions placed on AmTote. Mr. Laird said it could be addressed several ways. Executive Director Baedeker stated that as the regulator, the CHRB had the ability to set timeframes. Robert Miller, CHRB Board Counsel, said that was correct. The law implied a reasonable time to comply, and if the licensee failed to comply, the CHRB could take disciplinary against a license. Commissioner Krikorian asked what the timeframe was for AmTote to provide a minimum payoff amount for a winning ticket. Scott Daruty, AmTote, stated AmTote developed and deployed the transaction audit file. The file would provide detailed information about individual transactions, including details of any winning ticket with a certain minimum payoff. The file would be delivered to the Thoroughbred Racing Protective Bureau at the conclusion of each race, and would be available for review by any authorized official of any Thoroughbred Racing Association track, including the CHRB. Commissioner Krikorian asked if Xpressbet used computer equipment. Mr. Daruty said Xpressbet was an Advance Deposit Wagering (ADW) company; patrons would deposit their money in their accounts and wager via their computer, smart phone, or telephone. Commissioner Krikorian said one of the Board's conditions required that AmTote not have any ownership interest in any computer-assisted wagering company; would that be Xpressbet. Mr. Daruty said AmTote and Xpressbet were sister companies owned by the same corporate parent. He stated AmTote's understanding of the term computer assisted wagering was a computer algorithm used to look at the odds and placing wagers automatically; that was not what Xpressbet did. Xpressbet was a retail ADW in which customers could place wagers through computers, laptops, and smart phones. Executive Director Baedeker stated the language was geared toward robotic wagering; and the politically correct term of computer assisted wagering was used. Mr. Daruty said the term robotic wagering had fallen out of favor within the industry and was currently referred to as computer-assisted

wagering. Commissioner Krikorian asked the Board to consider requiring AmTote to provide a performance bond; if there were any violations, AmTote would lose the money. Mr. Daruty stated he believed there would be certain circumstances where a performance bond would be appropriate, for example, in the account wagering business. However, it would not be appropriate to require a performance bond for AmTote's license; if AmTote failed to comply with any licensing requirements, its license could be revoked. He said AmTote would not be open to providing a performance bond. Commissioner Choper said it would not be easy to pull AmTote's license, or to have machines replaced, so something less than pulling the license would be a reasonable suggestion to ensure performance. Mr. Daruty stated the amount of investment AmTote was making in new equipment for California was larger than any performance bond could provide and was a testament of AmTote's good faith and willingness to cooperate and comply with the licensing requirements. Chairman Winner asked CIIRB Board Counsel if AmTote could be fined if the Board felt that it was not performing. Mr. Miller said that was correct; AmTote could be fined any reasonable amount. Commissioner Auerbach stated the parties involved did their due diligence in selecting AmTote, and AmTote had provided everything requested of it. Commissioner Auerbach **motioned** to approve the license renewal for AmTote. Vice-Chairman Rosenberg **seconded** the motion which was **passed** by a six to one majority.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE 2016 NORTHERN CALIFORNIA RACE DATES.**

Commissioner Beneto said the race dates calendar was developed over the course of three to four months, and proposal three was selected. Chairman Winner said proposal three had been under a

great deal of discussion; he was unclear whether the California Authority of Racing Fairs (CARF) recommended it. Executive Director Rick Baedeker said proposal two was the original CARF proposal which pushed the fair season back a week. Proposal three was identical to proposal two, except it restored the start of the fair season to the pattern that existed for the last several years. He stated proposal three was nearly identical to the 2015 race dates calendar except for the changes made to accommodate Fresno. Chairman Winner asked CARF if proposal three was its position. Chris Korby, CARF, said the CARF proposed calendar started on June 24, 2016. Mr. Korby stated the parties who had negotiated the calendars consented to Scott Daruty making a presentation to inform the Board of the scope of the discussions held by the parties. Mr. Daruty said he would walk through the issues of stabling and race dates which were two issues that were intertwined with the race dates issue, and could not be discussed separately. Mr. Daruty stated Golden Gate Fields (GGF) and the Stronach Group's objective was to remain an integral part of Northern California racing long into the future; it was not to dominate Northern California racing or to put the fairs out of business. He said GGF had a loss of \$2 million in 2014, and was projected to lose \$2.2 million in 2015. He stated to increase GGF's bottom line, GGF had to focus on its expenses and revenues. The revenue side would be through race dates. He said reallocating race dates could change the economics of GGF. On the expense side, the single biggest expense was stabling; auxiliary stabling at a facility not located at the live racetrack. When GGF was not running live, stabling horses running at fairs was the largest expense. He said in 2011 GGF ran for nine weeks, one of which was overlapped; in 2012 and 2013 for eight weeks, two of which were overlapped; in 2014 and 2015 for seven weeks, two of which were overlapped; and the proposal for 2016 was down to five weeks. He stated GGF was struggling to remain financially viable, and a decline from nine weeks to five weeks of

racing got it further from the goal line, not closer. He said each lost week to GGF was \$350,000 to its bottom line, and a decline from nine weeks to seven weeks cost \$700,000. He stated GGF was \$2 million in debt and going to five weeks would cost it an additional \$700,000. He said it would be prudent to also keep an eye on purses. The summer of 2014 GGF generated \$132,350 for Northern California purses, while the fairs generated \$104,000; GGF's purse generated 26 percent more. He stated purse amounts were also impacted by patrons in Southern California wagering on Northern California meets. He said during Del Mar's season, each day of GGF's live meet generated \$28,000 for Southern purses versus \$21,000 generated by the fairs, which resulted in a difference of 31 percent. He stated GGF presented a proposal at the June 2015 Race Dates Committee meeting to restructure race dates, to allow GGF to run more during the summer. He said the proposal drew criticism from the fairs and Commissioner Beneto; therefore, GGF looked for alternative solutions on the expense side such as the stabling and vanning system. Stabling and vanning was GGF's largest expense with two components; payment to the stabling and vanning fund and the expenses incurred while keeping GGF open so fairs had a place to stable their horses. He said in 2014 GGF's contribution to the stabling fund was \$2 million, while the fairs contributed \$650,000 for a total of \$2.6 million in the fund. He stated in addition to the \$2 million contributed, the cost to GGF to operate as a stabling facility for the 13 weeks that it was not running live was \$1.2 million incremental costs of being open for stabling and did not include property taxes or management overhead. He said that \$1.2 million worked out to roughly \$16,500 for the six days a week they were open for training. He said while GGF's race weeks decreased, the time period it was open for stabling increased. He stated out of the \$2.6 million in the fund, GGF would receive \$625,000 to stay open as a summer stabling facility. He said the remaining \$2 million in the fund went to pay for stabling at

Pleasanton. He stated while Pleasanton received \$1.8 million to stay open as a stabling facility, it only had 200 to 300 horses compared to the 1,100 to 1,200 horses stabled at GGF and there was an additional amount that was paid to van horses from Pleasanton over to GGF. He said when you looked at these and you looked at every starter GGF that was stabled at Pleasanton, GGF ended up paying \$1,300 per starter. He said it was an amount that just could not continue. He said GGF had a positive discussion with the Thoroughbred Owners of California (TOC), California Thoroughbred Trainers (CTT), and CARF to develop language for a proposed legislative bill that would allow GGF to opt out of the stabling and vanning fund on the condition GGF could prove it had adequate stabling for the 200 to 300 horses that were currently located at Pleasanton; that they had somewhere else to put them. Commissioner Beneto said GGF should close down after its meets; there were approximately 4,000 stalls at fairs that were not being used. Mr. Daruty stated it was a great suggestion and GGF had discussions with CARF regarding GGF closing down after its meets. He said if the law was passed it would be up to CARF to decide how it wanted to spend money on stabling, and it would put the choice for stabling on the facilities that would be financially responsible. GGF said the most critical issue was getting the legislation passed to restructure stabling and vanning. Mr. Daruty said GGF was withdrawing any previous calendar it had submitted, and supported the 2016 CARF calendar. Chairman Winner, speaking for himself, said he believed the proposed legislation was thoughtful, prudent, and with certain minor changes that were being worked through, in the best interest of racing. Joe Morris, TOC, said modifications were made to Business and Professions Code Sections that addressed funding for stabling for Northern and Southern California. The proposed legislation would allow more flexibility for compensating auxiliary stabling in the South and North; with the additional opt out provision for the North. Chris Korby, CARF, clarified that the proposed

legislation also addressed vanning and how funds could be allocated for vanning. He said it had been encouraging to work through the transformation with the group. He stated they had started off at a point of wide divergence of opinions about how to proceed and they had narrowed those, expanding the scope to include stabling and vanning had resulted in an agreement about race dates. Mr. Korby thanked Scott Daruty, Santa Anita, and Joe Morris, TOC, for allowing it to happen. Commissioner Choper asked which race dates were being proposed for Northern California in 2016. Joe Morris, TOC, said the current proposal was similar to the 2015 calendar; GGF would close on June 12, 2016; three weeks for Pleasanton ending on July 4, 2016; three weeks to Sacramento; three weeks to Santa Rosa with two weeks during its fair and the last weekend without it; then the Humboldt-GGF overlap; Stockton and Fresno had their traditional dates. He stated, out of the five years he worked on Northern California's scheduling, this year involved the most conversations and collaborations. He added meetings were already scheduled to begin working on the 2017 race dates. Tawny Toscani, Sonoma County Fair (Sonoma), said Sonoma would like to get the dates back that it had in the past; Sonoma out-handled Sacramento by \$107,000 on a daily basis. She stated Sonoma agreed to the 2016 calendar as presented, but hoped conversations would continue regarding Sonoma County's dates for 2017. Commissioner Beneto said he would like to see Sonoma move its dates so it would run three weeks with its fair. He commented that Sonoma had a terrific fair; however, the last weekend of the fair which included a wine festival was not that successful. Ms. Toscani said if you looked at the bonus days of racing, Sonoma still outdid Sacramento. She stated Sonoma would not move its fair dates on top of a school year; while the Board had already made its decision, she would like the Board to consider where Sonoma ran. She said Sonoma's out-of-state handle was very successful, and Sonoma spent more money on TVG than in the past. Jim Morgan, Humboldt

County Fair (Humboldt), said Humboldt attended the initial Northern California Race Dates Committee meeting in June 2015; however, it was not invited to participate in subsequent discussions. He stated the original CHRB proposals allowed Humboldt to race without overlap and he did not understand why this concept would be abandoned. He said all race meets mattered, even the smallest. Mr. Morgan said Humboldt was told several years ago that to receive the support of the Board to race without overlap three things should occur: increased sponsorship; increased facilities; and attracting horses to Humboldt. He said Humboldt met its end of the bargain by increasing sponsorship by 400 percent, increased facilities by widening its tracks; and it had eight to nine races scheduled. He stated Humboldt would like consideration to allow its fair to race without overlap and have access to host status; GGF had 155 race days without overlap with six days that overlapped. He said it would mean the world to Humboldt to have equal access to host status during the six days. He said if the Board could not give Humboldt a weekend without overlap, it requested half the host status in order to sustain. Commissioner Krikorian asked what the approximate host fees would be on those days. Mr. Morgan stated the host fees on Wednesdays and Thursdays were approximately \$25,000 on average per day, and three to four times that amount on Fridays, Saturdays, and Sundays. Mr. Daruty, GGF, said how the money from the overlap was split was listed in statute. Commissioner Krikorian asked what the percentage was. Mr. Morgan said .75 percent went to Humboldt. Commissioner Beneto asked if GGF would be willing to split the host money with Ferndale. Mr. Daruty said absolutely GGF would comply with existing statute, which required the host money to be split in the proportions designated in the law. Mr. Morgan said Humboldt would be delighted to forgo the statute money for the privilege of splitting the host fees. He said Humboldt was providing the same number of races on the same dates as GGF and that they

should have equal access to the host statutes. Mr. Daruty stated it would be appropriate to operate under the existing statute. Chairman Winner asked CHRB Board Counsel, given that the percentages were statutory, could the percentages be changed based on changing host dates. Robert Miller, CHRB Board Counsel, stated he would have to research the issue. Mr. Daruty said Business and Professions Code Section 19601.2 governed the allocation of host money when Humboldt conducted a horse race meeting in the Northern Zone at the same time as another fair or association. Mr. Morgan stated it was not Humboldt's position that they were seeking to change the statute. It was their opinion that the Board had the discretion to determine which race meets shared host status at any one time, and the racing associations would agree to forgo the benefits of the statute to have equal access to host status. Mr. Korby, CARF, stated the purpose of the statute was to distribute funds during the overlap period. It only pertained to Humboldt, and it was a provision put in place to ensure Humboldt received monetary compensation during overlapping racing periods. He said he and Joe Morris, TOC, spoke with the Humboldt Fair manager prior to the August 2015 Regular Board meeting. Mr. Korby said the manager agreed to the calendar that CARF was proposing which included overlapping dates, including dates assigned where Humboldt would not race but would receive host status. Mr. Morris stated that was correct; the Humboldt Fair manager preferred to have both weeks in August overlap like he has had in the past. Mr. Morris said the Humboldt fair manager was in favor of the CARF calendar schedule that had been laid out. Mr. Morgan said there was no agreement with fair management; he was representing fair management. He stated if Humboldt was going to share race dates, it should have equal access to host status; the Board had the power to allocate that. Mr. Morgan said Humboldt County Fair was waiving the statute. Mr. Morgan said Humboldt's position was that they wanted equal access if they were sharing the dates. Mr.

Daruty, GGF, said Humboldt's proposal request would take away GGF's host status, leaving GGF with five weeks of being a host and a live track in Northern California. Mr. Daruty, said as presented earlier, GGF was trying to keep its head above water; trying to survive. Mr. Korby said the CARF board and the CARF live racing committee took formal action on a calendar that is before the Board, also known as the CARF Calendar. Humboldt voted in favor of the CARF Calendar. Mr. Korby stated CARF was not wavering from the calendar its board approved and ratified at its June 2015 meeting. Mr. Morgan, Humboldt, commented that he was present when the CARF board approved the CARF calendar. He said there was never a vote by the CARF racing committee to allow Humboldt to race with overlap. Mr. Morgan said yes, Mr. Korby was correct that the proposed dates before the Board were the ones approved by the CARF board; however Humboldt expressed concern at the meeting that they did not want to race with overlap. Mr. Morgan said if Humboldt was going to be compelled to race with overlap, they would ask the Board to equitably and equally allocate the host status. Commissioner Choper asked if GGF would not write certain kinds of claiming races, specifically claiming races under the \$5000 level. Cal Rainey, GGF said GGF would not have claiming races under the \$5000 level during the overlap. Jerome Hoban, Alameda County Fair/Oak Tree at Pleasanton, stated it appeared that Proposal Three was agreed upon by all parties involved, however, to his understanding that was not accurate; Proposal Two was the original CARF calendar that was agreed upon. He said he believed GGF also agreed to this calendar. He said Pleasanton's traditional race dates had always included the fourth of July. He said the CARF calendar was supported by the CARF fairs. He asked that the Board understand the nuances of the fairs. The fairs were good for racing because they introduced people to racing. He said his concern about moving forward with proposal number three is that the fairs would be put in a situation where they will have to decide if the fair

runs concurrent with the race meeting. He said he wanted to keep the fair and the race meeting concurrent. Santa Rosa had to do that, and Santa Rosa is actually a smaller operation and they made the decision to split the fair from its race meeting. He wanted to keep Alameda's fair and the Oak Tree race meeting concurrent. He said the calendar had seven days, but it just shifted by one day when the entire week needed to shift. Commissioner Beneto asked if Alameda was going to run the 4<sup>th</sup> of July in 2016 without a fair. Mr. Hoban guaranteed that the fair would not be dark on the 4<sup>th</sup> of July. Commissioner Beneto **motioned** to approve Proposal Three for the 2016 Northern California race dates. Commissioner Auerbach **seconded** the motion. Chairman Winner asked that the dates be stipulated and as an amendment to the motion, that it is agreed upon by Golden Gate Fields not to run less than \$5000 claimers during the overlap with Humboldt and that it is understood that this is in no way a precedent but rather it is only for 2016. Executive Director Baedeker stated the calendar as presented is correct, because it is presented per allocated dates, which include the Wednesday before, whatever the opening date is and concludes on the Tuesday following whatever the closing date is. He said the Northern California race dates are as follows: GGF would begin December 26 and run through June 14, 2016; Pleasanton would begin on June 15 and run through July 5, 2016; Cal Expo would begin on July 6 and run through July 26, 2016; Sonoma County Fair would begin on July 27 and run through August 16, 2016; Ferndale would begin on August 17 and run through August 30, 2016; GGF would begin on August 17 and run through September 20, 2016; Stockton would begin on September 21 and race through October 4, 2016; Fresno would begin on October 5 and race through October 18, 2016; and GGF would begin on October 19 and run through December 20, 2016. Chairman Winner asked for a voice vote. The motion was **unanimously** carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF  
THE 2016 SOUTHERN CALIFORNIA RACE DATES.**

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Executive Director Baedeker stated the Board allocations began on the Wednesdays before opening days and concluded on the Tuesday following a closing day, unless the Board stipulated differently. He said there were four proposed calendars for 2016; Number One jointly submitted by Del Mar and Santa Anita; Number Two by California Thoroughbred Trainers (CTT); Number Three was submitted by Los Alamitos and was a variation of CTT's calendar; and Number Four was a variation of Number Three as a result of conversations between the Committee and stakeholders. Chairman Winner expressed his appreciation to the various stakeholders for their cooperation and work in trying to reach an agreement. Commissioner Krikorian, Chairman of the Southern California Race Dates Committee, reported that he and Chairman Winner met with the various stakeholders and after all the discussions, he believed it was important to have a spring break during Santa Anita's meet in 2016 to allow Los Alamitos an opportunity to race for three weeks and to give Santa Anita's surfaces a break. Santa Anita would then be given three weeks in September to offset the three weeks lost in April as proposed in calendar Number Four. He said it is not a perfect solution; however the recommendation would be that we try this in 2016 and review the results as we move forward into 2017. He said speaking for himself that this might be the best solution and may not be the best economic solution, but in the interest of horse safety and having some respect for Los Alamitos, a track that we want to support, that was the reason for this recommendation. Chairman Winner said the Chairman of the Southern California Race Dates Committee recommended Number Four. He added the Committee

evaluated the various schedules. There was no perfect answer nor was there a way that everyone was going to be satisfied. He said for all the reasons that Commissioner Krikorian expressed Proposal Four is the recommendation of the Committee. Chairman Winner said under this proposal what would happen is the Los Angeles County Fair race meeting would move to April from September; running at Los Alamitos. He said in the Committee's view this was in the best interest of racing because it allowed Los Alamitos to run in April, which was better for Los Alamitos, as there was a grass meet that runs simultaneously in the North so grass horses can run North, and it included a break for Santa Anita as a safety consideration for the welfare and safety of horses. Commissioner Auerbach asked if the parties involved came to a solution amongst themselves without the Board or Committee putting forward a solution. Scott Daruty, Santa Anita, stated Santa Anita disagreed with the Committee's recommendation. He said a number of discussion had been held with Del Mar, the TOC and to some extent Los Alamitos, as to what was going on, which was a stabling discussion rather than a race dates discussion. He said while the parties were close to an agreement on how stabling would work for 2016, there was no final agreement as yet. Commissioner Krikorian stated he would not be making a decision based on stabling; stabling was an issue, but it was not the basis for making a decision on race dates. Josh Rubenstein, Del Mar, said Del Mar supported the calendar it submitted jointly with Santa Anita. Commissioner Krikorian asked why Del Mar/Santa Anita thought it was in horseracing's best interests to keep the three weeks in April with Santa Anita as opposed to giving the weeks to Los Alamitos and having three weeks at Santa Anita in September. Mr. Rubenstein, Del Mar, said Del Mar thought it was in the best interest of racing to submit a joint calendar and deferred the three weeks at Santa Anita question to Mr. Daruty. Scott Daruty, Santa Anita, said Santa Anita's Spring Stakes schedule had many key stakes races in April. To remove three weeks from Santa

Anita would wreak havoc on its spring schedule as a number of key stakes races are running during that time. In Arcadia, the weather in September would be in the 90s or 100s; last year there were 19 days over 90 degrees. Six of which were over 100 degrees. Santa Anita was concerned about the safety of employees and horsemen. Running race meetings in the hottest months; Santa Anita would be running straight into Del Mar in the beginning of summer and running right after Del Mar at the end of the summer. Mr. Daruty said Santa Anita questioned whether or not this was truly a fair meet that would run in April, given that it is running outside of the actual fair and in a different county. He said he personally thought maybe what was going on is a way to get around the five week cap. Joe Morris, Thoroughbred Owners of California (TOC), said the stabling issue was interwoven with the race dates, both in the North and the South. If TOC had to make a decision, it would vote for Number Four, but it preferred to come to an agreement on the industry vanning and stabling fund and to work out the schedules as a group. Mr. Daruty stated it was difficult to run race dates without stables. When Hollywood Park closed, it created two issues; where would those race dates go, and where would the horses go. He said the Stronach Group invested a significant amount of money to upgrade the 500 stalls at San Luis Rey Downs. He stated in an email submitted by Santa Anita to the TOC that Santa Anita was committed to replacing the portion of lost Hollywood Park stalls that equaled the portion of Hollywood Park dates that were granted or may be granted in the future by either building the stalls at its existing facilities or paying into a fund to build them somewhere else. Vice-Chairman Rosenberg asked Mr. Daruty if the email sent to TOC indicated that it could be at an outside facility, not an existing facility. Mr. Daruty said yes. Santa Anita was committed to funding either their portion of stalls at one of its facilities or it could be at a different facility. Commissioner Krikorian said even if Santa Anita contributed a certain amount of money to

stabling and vanning over the next three to five years, while it was appreciated, the Board did not want to make any decisions until other options were considered. Chairman Winner asked if Santa Anita had committed to 300 stalls at San Luis Rey Downs. Mr. Daruty stated yes, Santa Anita had an agreement that if 300 stalls were built at San Luis Rey Downs, Santa Anita would receive forgiveness of the \$1.5 million owed to the industry fund and Santa Anita would be authorized to use Del Mar's \$1 million in the fund. He said if Santa Anita did not build the 300 stalls that would leave \$2.5 million in funds that we as a group would have to figure out what to do with. Mr. Daruty said Santa Anita sent a letter to TOC, that said Santa Anita would commit to one of three things; put \$750,000 into an industry fund for 2016; move forward with the plan to build the 300 stalls at San Luis Rey Downs; or build 500 stalls at San Luis Rey Downs. He stated Santa Anita gave themselves until December 1, 2015, to decide which proposal to pursue. If Santa Anita decided on the stalls they would be ready for occupancy by December 1, 2016. Commissioner Auerbach asked why TOC did not accept the proposal. Joe Morris, TOC, said TOC wanted a 20 year commitment. Jack Liebau, Los Alamitos, stated Los Alamitos was not involved in the stabling and vanning or race dates discussions. He said Los Alamitos' first proposal submitted to the race dates committee meeting included two four-week meets which received opposition which was included in Proposal Four. Commissioner Beneto stated Los Alamitos did a wonderful job accepting thoroughbred horses, increasing track size, and adding stalls. Chairman Winner said the recommendation made by the Committee was not based on the issues that had or had not been resolved regarding stabling and vanning, long term stability and the industry fund. Mr. Daruty, Santa Anita, said he did not understand; the break in April meant Santa Anita had to run in September in 100 degree weather. Chairman Winner asked Mr. Daruty what he would like to see happen in September. Mr. Daruty said if the mandate of the

Board was going to be a break in April, than there were still two other Los Alamitos race meetings to place on the calendar. He said it would probably minimize risks if Los Alamitos continued to run the fair part of the meet in September. He said in his view he was not sure moving the fair out of September comports with the law, and also for Santa Anita to run in September creates, safety issues, quite frankly with the heat at Santa Anita. Mr. Daruty said by law, Los Alamitos was allotted five weeks of racing. He stated Fairplex historically ran three weeks of racing in September, so the Board should give Fairplex the three weeks; if the Board decided to give Los Alamitos three weeks in April, it would leave two weeks which would fall in July. Give these to Santa Anita and Los Alamitos would finish with two weeks in September. Chairman Winner clarified Mr. Daruty proposal request; to give the two Los Alamitos weeks in July to Santa Anita and give the September dates scheduled for Santa Anita to Los Alamitos. Mr. Daruty said that was correct. Chairman Winner asked Mr. Liebau what his response was to Mr. Daruty's proposal. Mr. Liebau said the July period had July 4<sup>th</sup>, which in the last two years has become sort of a tradition at Los Alamitos. Chairman Winner said Mr. Liebau would gain an extra week under Mr. Daruty's proposal. Mr. Daruty said no, actually they would not. They would have eight weeks. Executive Director Baedeker said they would have three weeks in April, three weeks in September, and two weeks in December. Chairman Winner said, so under the proposal they would gain a week and Santa Anita would lose a week. Mr. Daruty concurred. Commissioner Auerbach said any decision that was made for 2016 would apply to 2016 only; it would not set precedence for future years. Chairman Winner stated he agreed with Commissioner Auerbach, any proposals agreed to were for one year only. He said he understood Santa Anita's position and why it was unhappy; that was why the proposed race dates were for one year and one year only; during which time the proposed race dates would be evaluated to

determine how it affected racing. Chairman Winner said Proposal Four could be passed and amended. Commissioner Choper **motioned** to approve Proposal Four. Commissioner Beneto **seconded** the motion which was **passed** by a five to two vote. Chairman Winner asked CHRB Board Counsel how to amend a passed motion. Mr. Miller stated the motion should state the Board was amending the previous adopted motion regarding Proposal Four to switch dates. Chairman Winner said to switch the two weeks in July going to Los Alamitos to instead go to Santa Anita and the September dates to go to Los Alamitos, with Los Alamitos getting eight weeks instead of seven weeks. Mr. Daruty said the month of December required clarification; the two weeks in December would open on December 7<sup>th</sup> and close on December 20, Santa Anita would open on December 21, as a simulcast only day. Mr. Daruty said it would give Mr. Liebau nine weeks which would exceed the amount permitted. Executive Director Baedeker said under the new proposal Mr. Liebau would have five weeks plus three. Executive Director Baedeker asked CHRB Board Counsel if it could include in the motion for staff to review the legal parameters of the Board granting Los Alamitos the additional dates leading up to Christmas. Mr. Miller said they were not racing. Executive Director Baedeker stated that was correct. Commissioner Choper **motioned** to amend the prior motion to reverse the dates as suggested by Mr. Daruty and stipulated by Chairman Winner. Commissioner Beneto **seconded** the motion. Executive Director Baedeker stated under the modified Proposal Four, the 2016 Southern California Race dates are as follows: Santa Anita would start December 26 through April 12, 2016; Los Alamitos would run from April 13 through May 3, 2016; Santa Anita would run May 4 through July 12, 2016; Del Mar would run July 13 through September 6, 2016; Santa Anita would start on September 27 and run through November 8, 2016; Del Mar would start on November 9 and run through December 6; Los Alamitos would start on December 7 and run

through December 20, 2016; Fairplex at Los Alamitos would start September 7 and run through September 27, 2016; Santa Anita would start on September 28 and run through November 8, 2016. By a six to one vote **the prior motion** was **amended** and the race dates for Southern California were approved.

REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

This item was deferred.

**MEETING ADJOURNED AT 3:50 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

\_\_\_\_\_  
Executive Director

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Los Alamitos Race Course**, 4961 Katella Avenue, Los Alamitos, California, on **September 16, 2015**.

Present:       Chuck Winner, Chairman  
                  Richard Rosenberg, Vice-Chairman  
                  Madeline Auerbach, Member  
                  Steve Beneto, Member  
                  Jesse H. Choper, Member  
                  Alex Solis, Member  
                  Rick Baedeker, Executive Director  
                  Jacqueline Wagner, Assistant Executive Director  
                  Robert Miller, General Counsel

**APPROVAL OF THE MINUTES OF AUGUST 20, 2015**

Chairman Winner postponed the approval of the August 20, 2015 minutes until the October 2015 Regular Board meeting in order for staff to make corrections.

**EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Baedeker reported daytime handle increased 1.6 percent over 2014 and nighttime handle increased 7.8 percent, with an overall gain of 2.15 percent. The Sonoma County Fair had two fewer race days compared to 2014. On-track handle decreased 3.29 percent for the fair, but overall handle increased 5.7 percent. On-track handle for the Ferndale fair increased by 6.8 percent from 2014 and overall handle increased by 6.7 percent. Executive Director Baedeker said he attended the Ferndale fair and it was worth attending. Improvements were made to the track which included safety fencing and increased banking on the turns. Executive Director Baedeker stated race meet dates included a host day on the Wednesday before holding live racing and concluded on the Tuesday following racing, unless the Board stipulated otherwise. Executive Director Baedeker said that the stakeholders with an interest in

the stabling fund proposed AB 650 to the California Legislature that would revise Business and Professions Code Sections 19607.2 and 19607.3. The legislature determined there were problems with the proposed language, and there was an insufficient amount of time to make corrections before the 2015 deadline. AB 650 was intended to increase the percentage of handle from satellite wagering facilities used to fund vaning and stabling. AB 650 was edited and expected to be heard when the legislature reconvened in 2016.

#### **PUBLIC COMMENT**

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John Valenzuela, Pari-mutuel Employees Guild Local 280 (Local 280), said he wanted to inform the Board that Local 280 was negotiating the union contract. Chairman Winner asked Mr. Valenzuela to keep the CHRB informed by keeping in contact with Executive Director Baedeker or Assistant Executive Director Jacqueline Wagner. Mr. Valenzuela agreed to keep the Board informed of any updates on the contract.

#### **DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING OCTOBER 29, 2015 THROUGH NOVEMBER 29, 2015, INCLUSIVE.**

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Joe Harper, Del Mar Thoroughbred Club (DMTC), stated the application was for the second fall race meet for DMTC at Del Mar. The race meet had five more days than the first fall race meet in 2014. Josh Rubenstein, DMTC, said the fall race meet was the first meet scheduled under its new agreement with Amtote. DMTC had a new totalizator system in place and worked with Amtote and industry stakeholders to ensure a smooth transition with the new system. DMTC was planning on having plenty of customer service employees to help customers with the new

system. Tom Robbins, DMTC, said the same stakes races were scheduled to be run as the fall race meet in 2014. Three races were taken from the autumn race meet held at Santa Anita and added to this race meet. Commissioner Auerbach asked who would run the three stakes races from Santa Anita in the future. Mr. Robbins responded Santa Anita was expected to take them back in 2016. Mr. Robbins said the Ship and Win Program that began in early April continued through the end of 2015. He said Barretts scheduled a sale on Tuesday, October 27, 2015 at the Del Mar facility. Craig Dado, DMTC, said DMTC planned to spend promotional funds aggressively. Public awareness of the race meet was important to make the race meet successful. DMTC had a marketing budget for 2015 of over \$1.7 million; \$1.2 million of that was for advertising. Promotions were scheduled for every weekend, which included concerts, festivals, a college day and a family fun run. Chairman Winner agreed that the marketing plan and marketing budget were strong. Commissioner Choper said DMTC set a great standard for getting all the items in for the application to conduct a horse race meeting. He asked what factors DMTC considered when it made the post time 12:30 p.m. for this race meet. Mr. Harper responded that the main reason was determined by scheduling the final race of the day based on sunset. The schedule was set backwards from the last race time to schedule the first post time. Chairman Winner asked for confirmation that concession and parking costs were reduced for this meet. Mr. Harper said yes. The summer race meet was catered to newcomers looking for more of a party atmosphere and the prices were set accordingly. The concession and parking prices were lowered for this fall race meet to accommodate the more traditional fans that consistently attended horse racing meets. Prices were the same as the fall 2014 race meet. Vice-Chairman Rosenberg asked if prices would be reduced for the 2016 summer meet. Mr. Harper said DMTC was conducting marketing research and would make a determination based on the findings.

Commissioner Beneto **motioned** to approve Del Mar's application to conduct a horse racing meeting commencing October 29, 2015 through November 29, 2015. Commissioner Solis **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF THE 2016 HARNESS RACE DATES.**

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Executive Director Rick Baedeker stated allocating the 2016 harness race dates for Watch and Wager (WAW) would be more challenging than other race meets. WAW had to determine how many horses would be on the grounds when it opened, and over the next few months, as racing was curtailed in the eastern parts of the country. He said CHRB management was in constant contact with WAW staff regarding its proposed dates and the circumstances surrounding its requests. Christopher Schick, WAW, stated the request put forth by Cal Expo was done in collaboration with WAW, and WAW expected to be the applicant for all of the 2016 harness dates at Cal Expo. Mr. Schick stated the dates WAW requested for 2016, December 26, 2015 through May 15, 2016 and October 1, 2016 through December 24, 2016, were similar to its 2015 dates, and it would be submitting an application to the Board for the first part of 2016. Commissioner Solis **motioned** to approve the allocation of the 2016 harness race dates for WAW. Commissioner Auerbach **seconded** the motion, which was unanimously carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION TO CHRB RULE 1846.6, POSTMORTEM EXAMINATION REVIEW, TO REQUIRE A POSTMORTEM EXAMINATION REVIEW OF EACH EQUINE FATALITY WITHIN A CHRB INCLOSURE.**

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Chairman Winner asked the CHRB Board Counsel about the proposed addition of Rule 1846.6, Postmortem Examination Review, subsection (g) which stated "It is the intent of the CHRB that the postmortem examination review be conducted for education and research purposes only, and that disciplinary action will not be taken against any licensee as a direct result of the postmortem examination review panel's report." He asked if it was discovered through the postmortem report that an offense was committed, would the proposed language limit the ability to use the report as evidence. Robert Miller, CHRB Board Counsel, said the proposed language would limit the use of the report, but any investigation conducted would be independent of the report and the postmortem examination would be part of the investigative process. Vice-Chairman Rosenberg asked if enforcement staff would be prevented from using information presented at the hearing if their investigation was not completed prior to the postmortem examination hearing and before the review panel's report. Mr. Miller said the investigation would take precedence over the review panel's work; the investigation of an alleged violation as a result of information gathered from a postmortem examination would continue. He stated the panel's review was for educational research, and was independent of an investigation. Vice-Chairman Rosenberg asked if it would be better to have the investigation completed prior to the postmortem hearing. Mr. Miller said that was correct; it would be better. Commissioner Auerbach stated she did not see the language in the proposed text that indicated all of the materials from the hearing would be returned and no copies would be kept by the review panel. Philip Laird, CHRB Staff Counsel, said he believed Commissioner Auerbach's concern was addressed in subsection (d) of the proposed language which stated, "The trainer shall make available at the postmortem

examination review the training records for the expired horse.” CHRB Staff Counsel interpreted “making available” as not handing over. Commissioner Auerbach said she did not believe the proposed language was sufficient. Mr. Laird said staff would make the adjustment to the language. Executive Director Rick Baedeker said the Board could still motion to approve the proposed amendment for-45 day notice by including language in the motion for staff to make the changes suggested by Commissioner Auerbach. However, he said he believed the Board should postpone approving the proposed amendment for 45-day notice until there was new language that satisfied the concerns of the Board. Chairman Winner stated that would be helpful; he had concerns with the proposed language as did Commissioner Rosenberg and Commissioner Auerbach, and requested the revised language be presented at the next Regular Board meeting. Vice-Chairman Rosenberg asked why the proposed language in subsection (f) allowed trainers or owners to receive the written report; how would anyone know if the trainer sent a copy of the report to the owner. Mr. Laird said he did not recall if there was a specific purpose for that text so an adjustment to the language in subsection (f) could be made as well. Vice-Chairman Rosenberg said he thought changing the language in subsection (f) was a good idea. Chairman Winner concurred with Vice-Chairman Rosenberg’s suggestion.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES, AND PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO PROHIBIT THE PRESENCE OF CLENBUTEROL IN A QUARTER HORSE TEST SAMPLE.**

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Philip Laird, CHRB Staff Counsel, stated the Office of Administrative Law approved the emergency regulations that amended Rule 1844, Authorized Medication, and added Rule 1866.1,

Presence of Clenbuterol in Quarter Horses. He said the emergency regulations would remain in effect until January 2016; in the meantime, the Board was moving the emergency regulations through the regular rulemaking process which would allow the rules to become permanent. He stated the text was substantially the same as what was passed in the emergency regulations, with a few minor changes made to address issues of clarity and redundancy, and an added provision limiting the length of any single prescription to 30 days. Commissioner Choper **motioned** to direct staff to initiate a 45-day public comment period regarding the addition of Rule 1866.1, Presence of Clenbuterol in Quarter Horses, and the amendment to Rule 1844, Authorized Medication. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously** carried.

#### REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Commissioner Auerbach reported on the Medication and Track Safety Committee meeting held on August 19, 2015. She reported that Dr. Rick Arthur, CHRB Equine Medical Director, shared with the Committee that there were only four Class 1, 2, or 3 violations for Fiscal Year 2014-15, compared to 28 the prior year. Dr. Arthur also stated 41,000 samples were analyzed. The primary reason for the reduction was the elimination of clenbuterol violations at Los Alamitos. The Committee discussed Rule 1846.6, Postmortem Examination Review, which would require a postmortem examination review of each equine fatality. Commissioner Auerbach reported Executive Director Rick Baedeker stated the postmortem examination was for research and education purposes only, to help improve safety conditions for horses and riders. She reported Dr. Shields expressed concern that the information gathered [such as exercise, medication, and shoeing histories] during the postmortem examination review would infringe on privacy rights,

and questioned if CHRB had the authority to do it. Dr. Arthur stated at the Committee the information that would be gathered in compliance with the proposed Rule 1846.6 was within CHRB's authority to improve the safety of race horses by reducing injuries. Commissioner Auerbach reported Executive Director Baedeker stated the information gathered during the postmortem examination review would not be subject to the public records act. She reported Executive Director Baedeker stated CHRB would continue to move the program forward because fatality review was important. Commissioner Auerbach commented during her report that she believed that the information provided to the review panel would not be copied or retained by the CHRB; the documents would only be used for the review and once complete, the original documents would be returned to the parties that supplied them. She asked the Board members if she was correct; the Board would not retain the documents. Executive Director Baedeker stated that was correct. He said CHRB Staff Counsel had reviewed the proposed amendment and determined there were no conflicts with existing law regarding the information that would be presented to the Board for the review. Commissioner Auerbach reported the Committee discussed the proposed addition of Rule 1866.1, Presence of Clenbuterol in Quarter Horses. This rule would replace the emergency rules currently in effect. She reported the Committee discussed penalties for medication violations and how they were classified. Commissioner Auerbach said an important change that came out of the discussion was that a trainer who received a suspension for less than 30 days would not have to give up the care and control of his horse. She reported the Committee discussed Rule 1689.1, Safety Vest Required, which would extend the requirement that anyone mounted on a horse would have to wear a safety vest; the amendment would not apply to trainers. The Committee also discussed continuing education for trainers as a condition of licensure. Commissioner Auerbach said training modules were being developed, but before the Board required training the Board wanted

to ensure the information for trainers would be readily available. Commissioner Auerbach reported the Committee heard a report presented by Jeff Salmon, CHRB Staff, regarding the work CHRB did with race tracks relating to track surfaces, evaluation of dirt, synthetic, and grass and how track surfaces correlated with injury rates. The Board's goal was to develop a maintenance program at race tracks based on science to increase safety for horses and riders. She said Dr. Arthur reported on the American Association of Equine Practitioners' 10-Point Strategic Action Plan to safeguard horses in the industry, and the Uniform Medication Program which would take into account the elimination of anabolic steroids in racing, expand out-of-competition testing, and improve security at race tracks. Commissioner Auerbach said she would make improved security at race tracks, such as requiring the use of video surveillance in stable areas, a part of the license application. Scott Daruty, on behalf of Santa Anita, gave an update on the security camera installation at Santa Anita. He said Santa Anita already had a sophisticated security system on the front side, so instead of starting from scratch on the backside, Santa Anita integrated the backside security cameras into the system already in place. He stated Santa Anita installed stationary and remote-controlled cameras at both entrance gates to the backside. The remote-controlled cameras would be controlled by an operator with the ability to zoom in, zoom out, move one direction or another, while the stationary camera would record 24 hours a day, seven days a week and would capture any vehicle driving in or out, or persons walking in or out of the backside through the gates. He said approximately 600 cameras would be installed throughout the backside with cameras positioned in all shed rows and would show in high definition video any person who enters or exits any stall on the backside. In addition, six cameras would be added to cover the gap onto the race track to monitor morning trainings more closely to ensure there would be no safety issues as people rush to get onto the track in the morning. He stated Santa Anita would have a single control room with television

screens to monitor all of the cameras; while 600 cameras could not be watched at one time, the operator would be able to switch to various views to monitor different areas. He said the cameras could also be viewed remotely via a tablet or smartphone by authorized personnel. He stated the cameras would record on a motion sensing basis; the cameras sense motion in its field of vision, would back up ten seconds and start recording and would continue to record until any motion in the camera's view had ceased, and the recording would be time stamped. Mr. Daruty said the cost was approximately \$500,000. Chairman Winner asked if the same type of system would eventually be installed at Golden Gate Fields. Mr. Daruty said yes; as security was important at all of the facilities. Commissioner Auerbach said she was able to view the setup when she visited Santa Anita. She stated she was impressed with how the cameras were positioned so that no angles were missed. She thanked Santa Anita for taking the lead and hoped that Thoroughbred Owners of California (TOC) and California Thoroughbred Trainers (CTT) would fulfill their commitments to reimburse some of the costs. Vice-Chairman Rosenberg asked if there was a plan in place for who would have remote access; if a trainer asked for remote access to cameras in his barn, would that be granted. Mr. Daruty said that would not be likely; due to safety and privacy issues access would not be granted to just anyone. The race track security office and key executive personnel at the track would have access, and any recorded footage would be turned over to CHRB if there was an investigation. Commissioner Solis said it would be a good idea to have TVs on the backside so trainers could see where the tractors were before they opened the race track after each break; many horses arrived early which made the area unsafe. Mr. Daruty said it was a good suggestion and maybe arrangements could be made to have monitors on the backside that the trainers could see. Commissioner Auerbach said the cameras at the gap were a direct result of Luis Jauregui, CHRB Safety Steward, requesting it. Executive Director Baedeker stated a similar program was tried in the past, and the

difficult part was keeping the cameras clean, as well as having dedicated personnel to review the tapes and videos. He said this project should be looked at as long-term and flexible. He said the risk could be that after three years people could say it was too much trouble. Alan Balch, CTT, said CTT had asked to be involved in some of the meetings being held, but it had not been so far. He stated that matters related to the backstretch were the province of the trainer's organization, and CTT looked forward to being included moving forward. He said while he was at Keeneland he saw its camera installation which included the ability for trainers to view their horses in the stall; CTT was particularly interested in cameras in stalls and expressed that interest in a letter sent to the Board. Therefore, CTT should be involved and CTT stood by its pledge. He stated CTT agreed the gap was very important; two years ago CTT made the suggestion to Santa Anita to install cameras in the tunnel to the training track; it was a critical area for safety and for the welfare of the horses to be monitored. Commissioner Auerbach suggested that CTT contact Mr. Brackpool's office to request the ability to provide input regarding the issues. Mr. Balch stated CTT had done so informally, but CTT would submit a formal request. Commissioner Beneto asked if the proposed amendment to Rule 1689.1, Safety Vest Required, would require trainers to wear a safety vest on the track. Commissioner Auerbach stated the regulation did not stipulate that trainers had to wear a safety vest. Commissioner Beneto stated he did not agree with the proposed amendment. Commissioner Auerbach stated she agreed with Commissioner Beneto, however the Board had received opposition from trainers about wearing safety vests. Vice-Chairman Rosenberg said he was not clear on the status of the issue. Commissioner Auerbach stated it was a proposed amendment to Rule 1689.1 that would come before the Board. Executive Director Baedeker said the proposed amendment previously went through the process with the Board and was rejected by the Office of Administrative Law (OAL) because the proposed language was not clear. OAL stated the language was not clear; whether only pony

riders had to wear a safety vest or anyone on the race track had to wear a safety vest, so CHRB staff amended the language to state only pony riders had to wear the safety vest. He stated the Board could still change the proposed language as the Board saw fit. Commissioner Auerbach reported on the Medication and Track Safety Committee meeting held on September 4, 2015 at Del Mar. She reported Item one dealt with the proposed amendment to Rule 1845, Authorized Bleeder Medication, which would require the third-party administration of Lasix. The Committee reviewed three versions of the rule. The first version of the proposed language was the Originally Proposed Text; Proposed Text A was very similar to the originally proposed text but improved the definition terms; and Proposed Text B [Option #1 and Option #2] was essentially the same but added alternatives. Proposed Text B Option #1 would provide that a furosemide veterinarian administer the furosemide, or directly supervise the California registered veterinary technician in the administration of the furosemide. Proposed Text B Option #2 would provide that furosemide could be administered by the owner's veterinarian while being monitored by direct visual observation. Commissioner Auerbach reported Dr. Shields stated he was concerned that a practicing veterinarian would not be administering the Lasix. He asked if a veterinarian technician would be authorized to administer the medication under the proposed amendment. Commissioner Auerbach reported Executive Director Baedeker stated the Veterinary Medical Board approved the text of the proposed rule that covered the issues being discussed. She reported Alan Balch said CTT had always supported third-party administration, along with other security procedures. He stated CTT believed all of the procedures should be worked out before approving the amendment, and that third-party administration would not eliminate the perception of illegal activities. He stated CTT believed the most effective and immediate solution was to improve and expand upon the current Backside Stake Surveillance Program; adding security personnel, and widespread confiscation of syringes for testing. He

suggested gathering a small group of people to discuss and narrow the issues to present to the Board for consideration. Commissioner Auerbach said she indicated to Mr. Balch there was nothing stopping the industry stakeholders from gathering a group as Mr. Balch suggested; it did not require Board direction, however, the Board could still move forward with its initiatives. She reported Commissioner Solis suggested the designated detention stalls in each shed row be outfitted with cameras. She reported Joe Morris, TOC, stated TOC was 100 percent behind the National Uniform Medication Guidelines, which included third-party Lasix administration. She reported Dr. Joe Dowd, a private practitioner, praised the professionalism and ingenuity of the California Race Track Veterinary Group. Commissioner Auerbach reported she concurred with Dr. Dowd, and stated third-party Lasix administration was not a criticism of practicing veterinarians. Commissioner Auerbach said the Committee supported Proposed Text B, Option #1 that would require a designated furosemide veterinarian to administer Lasix, which would put California in line with the rest of the country. She reported Item two involved a suggestion for detention barns at California race tracks. She reported Luis Jauregui, CHRB Safety Steward, gave a report on how the detention barns worked at other race tracks. He said the New York program had detention barns, but the program did not work and the program was disbanded. Commissioner Auerbach stated the issue Executive Director Baedeker pointed out was while the detention barn system would be convenient and cost effective; it just did not seem to work.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO REQUIRE THAT AUTHORIZED BLEEDER MEDICATION BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS.**

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Chairman Winner asked if the proposed amendment to Rule 1845, Authorized Bleeder Medication, could be acted on without going through the 45-day public comment process since

the text was changed after thorough discussion at the September 4, 2015, Medication and Track Safety Committee Meeting. Executive Director Baedeker asked Chairman Winner to clarify if he meant to treat the proposed amendment to Rule 1845 like an emergency regulation. Chairman Winner confirmed that was what he was asking. Robert Miller, CHRB Board Counsel, replied that the proposed amendment could not proceed without the 45-day public comment period. Commissioner Auerbach **motioned** to direct staff to initiate the 45-day public comment period regarding the proposed amendment to Rule 1845. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

**MEETING ADJOURNED AT 10:42 A.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**OCTOBER 22, 2015**  
**REGULAR BOARD MEETING**

There is no board package material for Item 3

**CALIFORNIA HORSE RACING BOARD**

**OCTOBER 22, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 4**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION  
IN THE AMOUNT OF \$28,143 TO FIVE BENEFICIARIES

Regular Board Meeting  
October 22, 2015

#### ISSUE

Los Alamitos Quarter Horse Racing Association (LAQHRA) is requesting approval to distribute race day charity proceeds generated at its 2014 meet from December 21, 2013 through December 21, 2014. From the net race day charity proceeds, LAQHRA is proposing a total distribution of \$28,143. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to five horse racing related organizations

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Los Alamitos  
**QUARTER HORSE RACING ASSOCIATION**

August 10, 2015

Ms. Rick Baedeker  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Mr. Baedeker:

The statutory charitable contribution from our 2014 race meet was \$28,143. The meet ran from December 27, 2013 to December 21, 2014. Accordingly, we respectfully request CHRB approval at the October 22, 2015 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 7,500
California Equine Retirement Foundation	9,500
Quarter Horse Benevolent Charity Foundation	2,500
Winners Foundation	5,000
Race Track Chaplaincy (Los Alamitos)	<u>3,643</u>
Total	<u>\$28,143</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.

California Equine Retirement Foundation provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

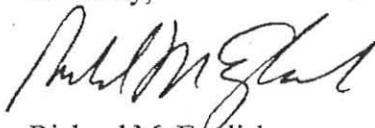
The Quarter Horse Benevolent Charity Foundation provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,

A handwritten signature in cursive script, appearing to read "Richard M. English".

Richard M. English

CHRB Analysis  
Los Alamitos 2014 Charity Day Proceeds

Nonprofit Organization	Background	Amount	Proposed Disbursement Percentage	Percentage Required by the Horse Racing Law (from the 2/10 of 1%)	Notes
Permanent Disabled Jockeys Fund	Provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.	7,500	27%	minimum of 20%	d
Race Track Chaplaincy of America (Los Alamitos Council, Region 1)	Ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.	3,643	13%	minimum of 20%	a
Winners Foundation	A support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.	5,000	18%	minimum of 5%	c
Quarter Horse Benevolent Charity Foundation	Provides welfare, charitable, and educational services to persons who have been or intend to breed, raise, train, ride or care for horses.	2,500	9%	minimum of 5%	b
California Equine Retirement Foundation	Provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.	9,500	34%	minimum of 20%	a
<b>Total to Equine Related Charities</b>		<b>28,143</b>	<b>100%</b>	<b>minimum of 50%</b>	
<b>5 charities total</b>		<b>28,143</b>			

Notes:

<b>a</b>	20% to charities associated with the horse racing industry (BP 19556 (b) )
<b>b</b>	5% to welfare fund for backstretch personnel (BP 19556 (b))
<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))
<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))
<b>e</b>	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
LOS ALAMITOS RACING ASSOCIATION  
IN THE AMOUNT OF \$12,358 TO FIVE BENEFICIARIES

Regular Board Meeting  
October 22, 2015

#### ISSUE

Los Alamitos Racing Association is requesting approval to distribute race day charity proceeds generated at its 2014 meet from July 3, 2014 through July 13, 2014 and December 4, 2014 through December 21, 2014. From the net race day charity proceeds, LAQHRA is proposing a total distribution of \$12,358. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to five horse racing related organizations

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



August 10, 2015

Ms. Rick Baedeker  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Mr. Baedeker:

The statutory charitable contribution from our Los Alamitos Racing Association 2014 race meets was \$12,358. The meets were conducted from July 3, 2014 through July 13, 2014 and from December 4, 2014 through December 21, 2014. Accordingly, we respectfully request CHRB approval at the October 22, 2015 regular meeting to make the following distributions:

Permanently Disabled Jockeys Fund	\$ 2,500
California Equine Retirement Foundation	3,000
California Thoroughbred Horsemen's Foundation	2,500
Winners Foundation	3,000
Race Track Chaplaincy (Los Alamitos)	<u>1,358</u>
Total	<u>\$12,358</u>

The Permanently Disabled Jockey's Fund provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with works with industry and medical research groups to improve the safety of both the human and equine athlete.

California Equine Retirement Foundation provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.

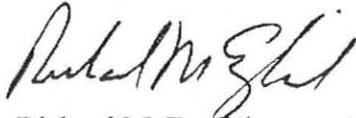
The California Thoroughbred Horsemen's Foundation provides assistance to backstretch personnel occupied with the care of thoroughbred horses at California race tracks and authorized training facilities. The organization maintain several clinics throughout the state.

The Winners Foundation is a support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.

The Race Track Chaplaincy of America (Los Alamitos Council, Region 1) ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.

All proposed beneficiaries are industry related charities. I would be pleased to discuss any questions or comments the Board may have.

Sincerely,



Richard M. English

CHRB Analysis  
Los Alamitos 2014 Charity Day Proceeds

Nonprofit Organization	Background	Amount	Proposed Disbursement Percentage	Percentage Required by the Horse Racing Law (from the 2/10 of 1%)	Notes
Permanent Disabled Jockeys Fund	Provides financial assistance to former jockeys who have suffered catastrophic on-track injuries. It works with industry and medical research groups to improve the safety of both the human and equine athlete.	2,500	20%	minimum of 20%	d
Race Track Chaplaincy of America (Los Alamitos Council, Region 1)	Ministers to the spiritual, emotional, physical, social, and educational needs of persons involved in all aspects of the horse racing industry.	1,358	11%	minimum of 20%	a
Winners Foundation	A support and referral service for employees and family members of the industry whose lives are affected by alcohol, drugs, mental illness, and gambling related problems.	3,000	24%	minimum of 5%	c
California Thoroughbred Horsemen's Foundation	Provides assistance to backstretch personnel occupied with the care of thoroughbred horses at California race tracks and authorized training facilities.	2,500	20%	minimum of 5%	b
California Equine Retirement Foundation	Provides a range of services to former racehorses in need of rehabilitation toward retirement or placement in a new career.	3,000	24%	minimum of 20%	a
<b>Total to Equine Related Charities</b>		<b>12,358</b>	<b>100%</b>	<b>minimum of 50%</b>	
<b>5 charities total</b>		<b>12,358</b>			

Notes:

<b>a</b>	20% to charities associated with the horse racing industry (BP 19556 (b) )
<b>b</b>	5% to welfare fund for backstretch personnel (BP 19556 (b))
<b>c</b>	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(BP 19556 (b))
<b>d</b>	20% to nonprofit organization that benefits qualified disabled jockeys (BP 19556 (c) (1))
<b>e</b>	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
THE LOS ANGELES TURF CLUB, INC. IN THE  
AMOUNT OF \$190,756.59 TO 12 BENEFICIARIES

Regular Board Meeting  
October 22, 2015

#### ISSUE

Los Angeles Turf Club (LATC) is requesting approval to distribute race day charity proceeds generated at its 2014-2015 winter/spring/summer meet from December 26, 2014 through June 28, 2015. From the net race day charity proceeds LATC is proposing a total distribution of \$190,756.59. The charitable organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to 12 horse racing related organizations.

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

## CHRB ANALYSIS

### Los Angeles Turf Club , Inc. 2014 Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	California Equine Retirement Foundation, Inc.	5,000	3%		a
2	California Thoroughbred Foundation	5,000	3%		a
3	CARMA	16,000	8%		a
4	Edwin J. Gregson Foundation	38,000	20%		a
5	Holy Angels Church (Backside Permanent Deacon)	1,000	1%		a
6	Racetrack Chaplaincy of American (Southern Ca Council)	1,000	1%		a
7	Southern California Equine Foundation, Inc.	5,000	3%		a
8	Tranquility Farm	5,000	3%		a
9	United Pegasus Foundation	5,000	3%		a
10	California Thoroughbred Horsemen's Foundation	18,700	10%	minimum of 5%	b
11	Winners Foundation	52,057	27%	minimum of 5%	c
12	Permanently Disabled Jockeys' Fund	39,000	20%	minimum of 20%	d
	<b>Total Horse Related Charities</b>	<b>\$ 190,756.59</b>	<b>100%</b>	<b>minimum of 50%</b>	<b>a</b>
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			

Background information for each organization is attached.



Frank De Marco, Jr., Esq.  
Vice-President, Regulatory Affairs, Secretary  
and General Counsel

September 18, 2015

California Horse Racing Board  
Attention Jacqueline Wagner  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

Re: Los Angeles Turf Club, Incorporated's Charity Days

To whom it may concern:

Los Angeles Turf Club, Incorporated, doing business as "Santa Anita Park," has determined the beneficiaries of proceeds from "Charity Days" races conducted at Santa Anita Park during its 2014-2015 winter/spring/summer meet from December 26, 2014 through June 28, 2015. The net proceeds from the "Charity Days" totaled \$190,756.59.

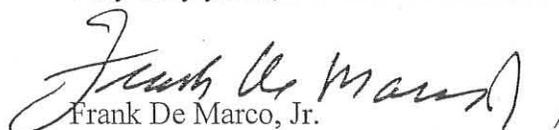
Attached hereto is a list of the organizations selected to be the beneficiaries of the Charity Days proceeds, the amount to be distributed to each and a brief statement about each recipient. Note that 100% of the distributions are to horse related charities.

Also attached is the official CHRIMS report of handle for the said race meet upon which this distribution is based.

Please calendar this matter for discussion and action by the California Horse Racing Board. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matters set forth herein, do not hesitate to contact the undersigned. Kindly do not supplement this filing with any further documents without giving us prior notice.

Very truly yours,

  
Frank De Marco, Jr.

FDM:mr

Enclosures

cc: Chairman Chuck Winner

**Los Angeles Turf Club, Inc.**

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565

Los Angeles Turf Club, IncorporatedDistribution of Charity Days Proceeds2014-2015 Winter/Spring/Summer Meet (December 26, 2014-June 28, 2015)

<u>I. Horse Related Charities – BP 19556(b) (20% minimum)</u>	<u>Amounts</u>	<u>Subtotals</u>
California Equine Retirement Foundation, Inc.	\$ 5,000.00	
California Thoroughbred Foundation	5,000.00	
CARMA	16,000.00	
Edwin Gregson Foundation	38,000.00	
Holy Angels Church (Backside Permanent Deacon)	1,000.00	
Race Track Chaplaincy of America (Southern California Council)	1,000.00	
Southern California Equine Foundation, Inc.	5,000.00	
Tranquility Farm	5,000.00	
United Pegasus Foundation	5,000.00	\$ 81,000.00
<u>II. Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	18,700.00	18,700.00
<u>III. Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	52,056.59	52,056.59
<u>IV. Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	39,000.00	39,000.00
Total		<u>\$190,756.59</u>

**LOS ANGELES TURF CLUB II, INC.**  
**ADDEMDUM TO REQUEST FOR AUTHORITY TO DISTRIBUTE**  
**2015 CHARITY DAYS PROCEEDS**

**California Equine Retirement Foundation, Inc.** – CERF is an organization that has developed into a full-care ranch to provide a full range of services to former race horses in need of rehabilitation toward retirement or placement in to a new career. CERF maintains 75 plus horses ranging in age from one year to 35 years, each having specific needs to insure proper health and optimal fitness. Each horse has its own paddock where appropriate portions are placed in feeders in the morning and again in the late afternoon. During the day hay is placed in outside feeders for grazing while the horses are turned out. Periodic re-evaluations are made to determine if any changes are needed in any individual's dietary regime. This program provides horses with proper nutrition to maintain health, fitness and longevity.

**California Thoroughbred Foundation** – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

**California Thoroughbred Horsemen's Foundation** – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**CARMA** – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Holy Angels Church (Backside Permanent Deacon)** – Holy Angels Church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

**Racetrack Chaplaincy of America (Southern California Council)** – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

**Southern California Equine Foundation, Inc.** – SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization "dedicated to the care of the injured horse."

**Tranquility Farm** – This organization is a 501(c)(3) charity whose principal activity is to accept the donation of Thoroughbred horses from the race tracks and breeding farms and whose goal is to give such horses the best opportunity to find an adoptive home. For those Thoroughbreds that are not readily adoptable, the organization offers comfortable retirement wherever possible, giving priority to horses that were significant competitors or producers. Tranquility Farm requests, but does not require, that owners contribute sponsorship to help defray the costs of rehabilitation and retraining. No horse is denied acceptance at Tranquility Farm based upon its owner's financial contribution.

**United Pegasus Foundation** – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

**Winners Foundation** – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Fairplex, Golden Gate Fields, Hollywood Park, Oak Tree, Santa Anita and the California Association of Racing Fairs.

**Permanently Disabled Jockeys' Fund** – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
ON THE NOMINATION OF MEMBERS TO THE  
BOARD OF DIRECTORS OF THE  
CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Regular Board Meeting  
October 22, 2015

## BACKGROUND

Business and Professions Code Section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Business and Professions code section 19641 state that any redistributable money in a pari-mutuel pool subject to payment to a claimant pursuant to section 19598, but not successfully claimed within that period, shall be paid as specified and an estimated payment equal to 20 percent of the preceding year's unclaimed redistributable money shall be distributed to the welfare fund as specified. Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of nine directors who are subject to Board approval. At least 40 percent of the directors must have no financial interest in horse racing as a licensed horse owner, trainer or assistant trainer. Additionally, they may not be current members of the horsemen's organization.

The California Thoroughbred Horsemen's Foundation (CTFH) is a self-administered charitable program dedicated to assisting financial needy backstretch workers and their primary dependents. The CTHF offers medical and dental care; prescriptions; eye testing and glasses; financial aid; social programs; free clothing and household items. The CTHF also provides services and support through the California Thoroughbred Trainers, the Winners Foundation and the Chaplaincy program. The CTHF is regulated by the CHRB and is funded principally through the receipt of unclaimed winning pari-mutuel ticket revenues. Additionally, donations and support are received form thoroughbred tracks the Thoroughbred Owners of California and individual donors.

## ANALYSIS

The CTHF has nominated five individuals for open board member positions. The five nominations will bring the CTHF board to a total of nine members, which is the maximum under the provision of Rule 2049. In accordance with Rule 2049(a) the nominations have been submitted to the CHRB for approval.

The following individuals were nominated for a two-year term, which will expire on June 30, 2017:

- Ken Smole, Licensed Thoroughbred Owner (serving another term).
- David Nafzger, Licensed Thoroughbred Owner (serving another term).
- Josh Rubinstein, Executive Vice President, Chief Operating Officer for the Del Mar Thoroughbred Club (serving another term).
- Gerry Piccioni, Licensed Thoroughbred Trainer
- Robert Lennox, Labor Representative

RECOMMENDATION

The item is presented for discussion and action.  
Staff recommends the Board hear from the CTHF representative.

## CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

*"Assisting Horsemen in Need"*

August 11, 2015

KEN SMOLE  
*President*

VICTOR LEVINE, M.D.  
*Vice President*

DAVID NAFTZGER.  
*Secretary*

*Board of Directors*  
ANGIE CARMONA  
JOHN GARCIA  
JORGE GUTIERREZ  
VICTOR LEVINE, M.D.  
JOE MORRIS  
DAVID NAFTZGER  
CALVIN RAINEY  
JOSH RUBINSTEIN  
KEN SMOLE

*In Memoriam*  
NOBLE THREEWITT

*Director Emeritus*  
LEONARD DORFMAN

KEVIN BOLLING  
*Executive Director*

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker,

During the June Board of Directors' meeting of the California Thoroughbred Horsemen's Foundation, Inc., nominations were held for open board member positions. The following individuals were nominated for a two-year term, expiring June 30, 2017:

Ken Smole, serving another term                      Licensed Thoroughbred Owner  
Worked in the medical malpractice insurance industry for 35 years,  
with primary responsibility in strategic planning and business  
development.

David Naftzger, serving another term                      Licensed Thoroughbred Owner  
Financial professional currently employed by RBC Capital  
Markets, LLC in Pasadena, California, with over 39 years of  
experience.

Josh Rubinstein, serving another term  
Executive Vice President, Chief Operating Officer for the Del Mar  
Thoroughbred Club.

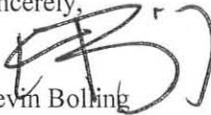
Gerry Piccioni    Licensed Thoroughbred Trainer  
Began in the Thoroughbred racing industry in 1980 and a trainer  
since 1983.

Robert Lennox, Labor Representative  
Officer of the Teamsters Local Union No 495 for 39 years, the past  
23 years as Secretary-Treasurer and Principal Officer of the Local  
Union.

Pursuant to Rule 2049(a) of the California Horse Racing Board Rules and Regulations, the nominations are submitted to the CHRB for approval. These nominations bring the board to a total of nine members.

Please let me know if there is anything we can do to assist in the process. I look forward to hearing from you.

Sincerely,

  
Kevin Bolling  
Executive Director

STAFF ANALYSIS  
October 22, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2015 THROUGH JUNE 12, 2016.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 26, 2015 through June 12, 2016, or 96 days, two days less than 2015. The association proposes to race a total of 796 races, or 8.29 races per day. In 2015 PRA raced 8.24 races per day with an average of 6.69 runners per race during the 2015 winter/spring meet. The (actual) average daily purse for the 2015 winter/spring race meeting was \$158,158. The (estimated) average daily purse for this meet is \$156,410.
- The race dates proposed are the dates the Board allocated.

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

March - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

- Racing 4 days per week. Thursday through Sunday, with the exception of Monday's, January 18<sup>th</sup>, February 15<sup>th</sup> and May 30, 2016. Dark days Thursday's January 21<sup>st</sup>, February

18<sup>th</sup>, April 28<sup>th</sup>, June 2<sup>nd</sup> and Friday, April 29, 2016. 7 races on Thursdays except December 31, 2015 where 9 races will be run. 8 races on Fridays except January 1, 2016 where 9 races will be run and January 8<sup>th</sup>, 15<sup>th</sup>, February 19<sup>th</sup>, March 4<sup>th</sup>, 11<sup>th</sup>, 18<sup>th</sup>, 25<sup>th</sup>, April 8<sup>th</sup>, 15<sup>th</sup>, 22<sup>nd</sup>, and May 27, 2016 where 7 races will be run. 9 races on Saturdays except May 7<sup>th</sup>, 21<sup>st</sup>, and June 11, 2016 where 10 races will be run and 9 races on Sundays except June 12<sup>th</sup> where 10 races will be run. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.

- First post 12:45 p.m. daily with the following exceptions:
  - Weekdays beginning April 30, 2016 – 1:15p.m.
  - Saturday, December 26, 2015 (Opening Day) – 12:15p.m.
  - Sunday, February 7, 2016 (Super Bowl) – 11:15a.m.
  - Saturday, May 7, 2016 (Kentucky Derby) – 11:45 a.m.
  - Saturday, May 21, 2016 (Preakness) – 11:45 a.m.
  - Saturday, June 11, 2016 (Belmont Stakes) – 11:45 a.m.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will be offered Friday, May 6, 2016, for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016, for the Belmont Stakes.
  - \$1 - Pick 3 on eligible races; \$1 Trifecta on eligible races.
  - \$2 – Daily Double on all races. 20% takeout.
  - ¢.10 Superfecta on all eligible races
  - ¢.50 Pick 4 on first four races and last 4 races
  - ¢.50 pick 5 on the first five races - 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners. 14% takeout.
  - ¢.20 - Pick 6 on last 6 races – 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket then 70% minor pool that day is paid to tickets selecting the most winners and the 30% major pool will be added to the carryover.
  - \$1 Super High Five in the last race – 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners.
- Specific changes from the 2015 license application:
  - Admission (club house) from \$8.00 to \$10.00
  - Admission (turf club) from \$10.00 to \$12.00
  - Club House Admission (1-10 visits) from \$6.00 to \$8.00
  - Club House Admission (11-20 visits) from \$5.00 to \$7.00
  - Club House Admission (21-30 visits) from \$4.00 to \$6.00
  - Club House Admission (31-40 visits) from \$3.00 to \$5.00
  - Club House Admission (over 40 visits) from \$2.00 to \$4.00
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, Bet America, and Watch and Wager.com.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit Wagering providers to accept wagers on races conducted in an outside of California for the applied license term.
4. Horsemen approval of Advance Deposit Wagering.
5. Promotional/marketing plans and budget.

Specific information which will need **updating** during the proposed race dates:

1. Berkeley fire clearance (expires April 21, 2016).

2. Albany fire clearance (expires February 25, 2016).

RECOMMENDATION:

If the outstanding items, with the exception of items one and two under "Specific information which will need updating during the proposed race dates," are not received by Monday, October 12, 2015, Staff recommends that the application not be heard at the October 22, 2015 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**  
**1100 Eastshore Highway**  
**Berkeley, CA 94710**  
**Phone (510) 559-7300 Fax (510) 559-7464**  
**calvin.rainey@goldengatefields.com**
- B. Breed of horse: TB  QH  H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
Yes  No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: **December 26, 2015 through June 14, 2016**
- B. Actual dates racing will be held: **December 26-27, 31; January 1-3, 7-10, 14-17, 18, 22-24, 28-31; February 4-7, 11-14, 15, 19-21, 25-28; March 3-6, 10-13, 17-20, 24-27, 31; April 1-3, 7-10, 14-17, 21-24, 30; May 1, 5-8, 12-15, 19-22, 26-29, 30; June 3-5, 9-12.**
- C. Total number of days or nights of racing: **96 days**

<b>CHRB CERTIFICATION</b>	
Application received: <i>9/24/15</i>	Hearing date: <i>10/22/15</i>
Deposit received: <i>On file</i>	Approved date:
Reviewed: <i>[Signature]</i>	License number:

- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify)

**Racing Thursdays through Sundays**

**Exceptions: Racing on Monday, 1/18/16, Monday, 2/15/16, and Monday, 5/30/16.**

**Dark days Thursdays (1/21, 2/18, 4/28, and 6/2) and Friday 4/29**

- E. Number of days or nights of racing per week: **4 days per week**

### 3. RACING PROGRAM

- A. Total number of races: **796**
- B. Number of races for each day or night: **8.29 average per day throughout the meet. We will conduct seven (7) races on Thursdays except December 31<sup>st</sup> where nine (9) races will be run; eight (8) races on Fridays except January 1<sup>st</sup> where nine (9) races will be run and January 8<sup>th</sup>, January 15<sup>th</sup>, February 19<sup>th</sup>, March 4<sup>th</sup>, March 11<sup>th</sup>, March 18<sup>th</sup>, March 25<sup>th</sup>, April 8<sup>th</sup>, April 15<sup>th</sup>, April 22<sup>nd</sup>, and May 27<sup>th</sup> where seven (7) races will be run; nine (9) races on Saturdays except May 7<sup>th</sup>, May 21<sup>st</sup>, and June 11<sup>th</sup> where 10 races will be run; and nine (9) races on Sundays except June 12<sup>th</sup> where 10 races will be run. If conditions permit, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. We will request administrative approval if we plan to exceed this amount.**
- C. Total number of stakes races: **12 (7 Non-Overnight and 5 Overnight)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**
  2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Alteration in dates only for following stake races: Golden State Series (Campanile & Silky Sullivan) changed from June 2013 to May 2015.**
  3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No If no, what racing colors are to be used:

**PRA/GGF WINTER-SPRING 2016**

(December 26 – June 12, 2016)

**STAKES SCHEDULE**

**CALIFORNIA DERBY**

\$100,000 Guaranteed

3yo – 1 1/16 Miles

Saturday, January 16

**CALIFORNIA OAKS**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo – 1 1/16 Miles

Saturday, February 6

**EL CAMINO REAL DERBY GIII**

\$200,000 Guaranteed

3yo--1 1/8 Miles

Saturday, February 13

**LOST IN THE FOG**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

4yo & up – 6 Furlongs

Monday, February 15

**CAMILLA URSO**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 4yo & up -6 Furlongs

Saturday, March 19

**SF MILE GIII**

\$100,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 30

**CAMPANILE (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo Fillies -1 Mile Turf

Sunday, May 1

**PRA/GGF WINTER-SPRING 2015**

(December 26 – June 14, 2015)

**STAKES SCHEDULE**

**CALIFORNIA DERBY**

\$100,000 Guaranteed

3yo – 1 1/16 Miles

Saturday, January 17

**CALIFORNIA OAKS**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo – 1 1/16 Miles

Saturday, February 7

**EL CAMINO REAL DERBY GIII**

\$200,000 Guaranteed

3yo—1 1/8 Miles

Saturday, February 14

**LOST IN THE FOG**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

4yo & up – 6 Furlongs

Monday, February 16

**CAMILLA URSO**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 4yo & up -6 Furlongs

Saturday, March 14

**SF MILE GIII**

\$100,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 25

**CAMPANILE (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo Fillies -1 Mile Turf

Sunday, April 26

**PRA/GGF WINTER-SPRING 2014**

(December 26 – June 15, 2014)

**STAKES SCHEDULE**

**CALIFORNIA DERBY**

\$100,000 Guaranteed

3yo– 1 1/16 Miles

Saturday, January 18

**CALIFORNIA OAKS**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo – 1 1/16 Miles

Saturday, February 8

**EL CAMINO REAL DERBY GIII**

\$200,000 Guaranteed

3yo—1 1/8 Miles

Saturday, February 15

**LOST IN THE FOG**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

4yo & up – 6 Furlongs

Monday, February 17

**CAMILLA URSO**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 4yo & up -6 Furlongs

Saturday, March 15

**SF MILE GIII**

\$100,000 Guaranteed

3yo & up – 1 Mile Turf

Saturday, April 26

**CAMPANILE (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo Fillies -1 Mile Turf

Sunday, June 8

**PRA/GGF WINTER-SPRING 2016**

(December 26 – June 12, 2016)

**STAKES SCHEDULE**

**SILKY SULLIVAN (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, May 1

**GOLDEN POPPY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 14

**ALCATRAZ**

\$75,000 Guaranteed

3yo– 1 Mile Turf

Sunday, May 15

**ALL AMERICAN GIII**

\$100,000 Guaranteed

3yo & up – 1 Mile

Monday, May 30

**ALBANY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up -5 Furlongs Turf

Saturday, June 11

**PRA/GGF WINTER-SPRING 2015**

(December 26 – June 14, 2015)

**STAKES SCHEDULE**

**SILKY SULLIVAN (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, April 26

**GOLDEN POPPY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 9

**ALCATRAZ**

\$75,000 Guaranteed

3yo– 1 Mile Turf

Sunday, May 10

**ALL AMERICAN GIII**

\$100,000 Guaranteed

3yo & up – 1 Mile

Monday, May 25

**ALBANY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up -5 Furlongs Turf

Saturday, June 13

**PRA/GGF WINTER-SPRING 2014**

(December 26 – June 15, 2014)

**STAKES SCHEDULE**

**SILKY SULLIVAN (Golden State Series)**

\$100,000 Guaranteed

(Incl. \$37,500 from CBRF & \$12,500 from CMC)

CALIFORNIA BRED 3yo -1 Mile Turf

Sunday, June 8

**GOLDEN POPPY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, May 10

**ALCATRAZ**

\$75,000 Guaranteed

3yo – 1 Mile Turf

Sunday, May 11

**ALL AMERICAN GIII**

\$100,000 Guaranteed

3yo and up – 1 Mile

Monday, May 26

**ALBANY**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up -5 Furlongs Turf

Saturday, June 14

F. List all post times for the daily racing program:

Race	12:45 Post all days except weekdays beginning April 30, 2016	Race	1:15 p.m. on weekdays beginning April 30, 2016
1st	12:45 p.m.	1st	1:15 p.m.
2nd	1:15 p.m.	2nd	1:45 p.m.
3rd	1:45 p.m.	3rd	2:15 p.m.
4th	2:15 p.m.	4th	2:45 p.m.
5th	2:45 p.m.	5th	3:15 p.m.
6th	3:15 p.m.	6th	3:45 p.m.
7th	3:45 p.m.	7th	4:15 p.m.
8th	4:15 p.m.	8th	4:45 p.m.
9th	4:45 p.m.	9th	5:15 p.m.
10 <sup>th</sup> (when applicable)	5:15 p.m.	10 <sup>th</sup> (when applicable)	5:45 p.m.

**Exceptions:**

- Saturday, December 26, 2015
- Sunday, February 7, 2016
- Saturday, May 7, 2016
- Saturday, May 21, 2016
- Saturday, June 11, 2016

- Opening Day 12:15 p.m.
- Super Bowl 11:15 a.m.
- Kentucky Derby 11:45 a.m.
- Preakness 11:45 a.m.
- Belmont Stakes 11:45 a.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

**C. CORPORATION**

1. Registered name of the corporation: **Pacific Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **0480316**  
**Federal ID #94-1585367 California ID #173-1919-5**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
  - Keith Brackpool, Chairman (Officer), 0 Shares**
  - Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares**
  - Peter W. Tunney, Executive Vice President (Officer), 0 Shares**

**Calvin Rainey, Vice President & General Manager (Officer), 0 Shares**  
**Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares**  
**Gina Lavo, Vice President, Finance (Officer), 0 Shares**  
**Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares**

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments, Inc.)**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer

- questions 7-12.      Yes                      No
7. Registered name of the LLC/Corporation: **Not applicable**
  8. State where articles of organization are filed: **Not applicable**
  9. Registry or file number for the LLC: **Not applicable**
  10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
  11. Are the shares listed for public trading?                      Yes                       No
  12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Attached**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

\*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
  - Keith Brackpool, Chairman**
  - Joe Morris, Senior Vice President West Coast Operations**
  - Peter W. Tunney, Executive Vice President**
  - Calvin J. Rainey, Vice President and General Manager**
  - Mike Rogers, Vice President, Operations**
  - Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**

Gina Lavo, Vice President, Finance  
 Sheila Jane Lynn, Assistant Secretary  
 Merry Scalzo, Director of Administration  
 Ferdinand Rebusi, Director of Hospitality and Sales  
 Doug Gooby, Mutuel Manager  
 Jon Forbes, Publicity Manager  
 Bob Hemmer, Director of Operations  
 Dan Cirimele, Senior Director of Marketing  
 T.W. Johnson, Security Manager  
 Michael Wrona, Announcer  
 Juan Meza, Track Superintendent  
 Steve Martinelli, Price Maker  
 William Vassar, Track Photographer  
 David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey  
 Vice President and General Manager  
 1100 Eastshore Hwy  
 Berkeley, CA 94710  
[calvin.rainey@goldengatefields.com](mailto:calvin.rainey@goldengatefields.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**  
**Daily Double** **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letters attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

# Golden Gate Fields

September 20, 2015

Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 200  
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 26, 2015 through June 12, 2016.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,

  
Calvin Rainey  
Vice President & General Manager

cc: Joe Morris



# TOC

Thoroughbred Owners of California  
*Serving the California  
 Thoroughbred Racehorse Owner*

August 31, 2015

#### OFFICERS

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

Ms. Jackie Wagner  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

#### DIRECTORS

ALLEN ALDRICH  
 BOB BAFFERT  
 MARK DEDOMENICO  
 RON ELLIS  
 TERRY LOVINGIER  
 WILLIAM E. MOREY  
 GEORGE TODARO  
 KATHY WALSH  
 MICHAEL WELLMAN

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 – June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

#### EXECUTIVE STAFF

JOE MORRIS  
 PRESIDENT  
 MARY FORNEY  
 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

Sincerely,

Joe Morris  
 President

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

cc: Cal Rainey  
 Mary Scalzo

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM



August 31, 2015

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

**DIRECTORS**

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2015 through June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

**EXECUTIVE STAFF**

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF  
Merry Scalzo, GGF

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

## 6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015 (12/26/14-6/14/15)	\$ 38,306,734.90	213,319 (98 live race days)
2014 (12/26/13-6/15/14)	\$ 40,229,270.40	218,081 (99 live race days)
2013 (12/26/12-6/16/13)	\$ 42,525,802.30	244,267 (101 live race days)
2012 (12/26/11-6/17/12)	\$ 43,676,090.90	252,968 (101 live race days)
2011 (12/26/10-6/12/11)	\$ 46,078,355.50	264,771 (99 live race days)

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: *Prior meet represents December 26, 2014 through June 14, 2015 (98 days)*

1. All races other than stakes:

Current meet estimate: \$12,427,872.00

Prior meet actual: \$12,932,734.50

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$129,457.00

Prior meet actual: \$131,966.68

2. Overnight stakes:

Current meet estimate: \$250,000.00

Prior meet actual: \$250,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$2,604.17

Prior meet actual: \$2,551.02

3. Non-overnight stakes:

Current meet estimate: \$675,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)

Prior meet actual: \$605,050.00

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$7,031.25

Prior meet actual: \$6,173.98

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$13,352,872.00

Prior meet actual: \$13,787,784.50

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
 Current meet estimate: **10%**  
 Prior meet actual: **9.77%**

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: **0.104%**  
 Prior meet actual: **0.100%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: **\$1,170,719.00**  
 Prior meet actual: **\$1,200,476.57**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$ 202,516.66	TOC (NTRA contribution)	\$ 210,536.17
TOC	\$ 115,723.81	TOC	\$ 120,306.38
CTT (Administration)	\$ 57,861.90	CTT (Administration)	\$ 60,153.19
CTT (Pension)	\$ <u>115,723.81</u>	CTT (Pension)	\$ <u>120,306.39</u>
Total	\$ 491,826.18	Total	\$ 511,302.13

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: **\$15,015,417.18**  
 Prior meet actual: **\$15,499,563.20**

Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: **\$156,410.60**  
 Prior meet actual: **\$158,158.81**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: **\$10,177,230.00**  
 Prior meet actual: **\$10,498,609.81**

Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: **\$106,012.81**  
 Prior meet actual: **\$107,128.67**

- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: **\$3,450,713.00**  
 Prior meet actual: **\$3,514,363.09**

Average Daily Purse ( $7 G \div$  number of days):

Current meet estimate: **\$35,944.93**

Prior meet actual: **\$35,860.85**

- H. Bank and account number for the Paymaster of Purses' purse account: **Wells Fargo PRA Account # On file**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**California Stabling & Vanning 2016 extension agreement attached**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.



September 9, 2015

Ms. Jackie Wagner, Assistant Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95828

Dear Ms. Wagner,

The Northern California Vanning and Stabling Committee will be operating under the 2012 agreement during the race dates December 26, 2015 through June 12, 2016 being run by Pacific Racing Association at Golden Gate Fields until a new agreement is finalized.

Sincerely,

Bryan Wayte, General Manager NCOTWINC

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**Stabling costs for this meet: \$1,140,048.00**  
**Stabling costs per day per stall: \$ 9.21**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
**Vanning fees for this meet: \$58,125.00**      **Vanning fee per horse: \$75.00**

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9 ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #7	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1977; 1979; 1979.1
Race #9	\$2 DD; \$1 E; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1979; 1979.1;
Race #10	\$2 DD; \$1 E; \$1 TRI; \$.10 SF; Super H5	CHRB#1957; 1959; 1979; 1979.1; ARCI #004-105 Subsection X Pick (n) Position (x) Pool

**PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)  
EQUINE EMERGENCY SERVICES**

Attachment to PRA/Golden Gate Fields License Application  
Section 9A (1)

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1. GOLDEN GATE FIELDS VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Fridays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

2. PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM Only – TBD
Fridays:	AM Only – TBD
Saturdays:	AM Only – TBD
Sundays:	AM Only - TBD

**TBD – Rotating schedule amongst list from exhibit A**

\$2 DD Rolling Daily Double on all races

\$1 PK3 - Pick 3 on all eligible races

\$1 TRI - Trifecta on all eligible races

\$.10 cent SF - Superfecta on all eligible races

\$.50 PNP4 - Pick 4 on first four and last four races

\$.50 PNP5 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

\$1 Pentafecta (Super High 5) in the last race if the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 12, 2016 for the period of December 26, 2015 through June 12, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Advance wagering will be offered Friday, May 6, 2016 for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016 for the Belmont Stakes**
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025**
- G. List below the takeout percentage for each type of wager identified in 10A:

**TAKEOUT PERCENTAGE**  
(Example) PNP5-14%

Race #1	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP5-14.00%; WPS-15.43%
Race #2	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; PN6-23.68%; WPS-15.43%
Race #3	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PN6-23.68%; WPS-15.43%
Race #4	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; PN6-23.68%; WPS-15.43%
Race #5	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; PN6-23.68%; WPS-15.43%
Race #6	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; WPS-15.43%
Race #7	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; WPS-15.43%
Race #8	DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; WPS-15.43%
Race #9	DD-20.00%; E-22.68%; TRI-23.68%; SF-23.68%; WPS-15.43%
Race #10	DD-20.00%; E-22.68%; TRI-23.68%; SF-23.68%; Super H5-23.68%; WPS-15.43%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- TwinSpires
- TVG
- Bet America (Lien Games)
- WatchandWager.com, LLC

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

The contracts signed in 2015 for Xpressbet, TVG, Bet America, and WatchandWager.com LLC are still in effect. The contract signed in 2014 for TwinSpires is still in effect. Section 16 of the simulcast agreements states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Will forward to Board upon receipt**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if : 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:

**Northern California Off-Track Wagering, Inc.**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**Attached**

Northern California  
Phone: 925-307-7040



Off-Track Wagering, Inc.  
Fax: 925-560-0522

7950 Dublin Blvd., Suite 214  
Dublin, California 94568

September 9, 2015

Mr. Rick Baedeker, Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95828

Dear Mr. Baedeker,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC contract dated April 24, 2008, will cover the race dates December 26, 2015 through June 12, 2016 being run by Pacific Racing Association at Golden Gate Fields.

It is further understood and agreed that all terms and conditions of the aforementioned contract of April 2008, shall be in force and effect during the race meet being run December 26, 2015 through June 12, 2016.

Sincerely,

Bryan Wayte, General Manager NCOTWINC

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

**Northern California**

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- CA State Fair & Exposition, Sacramento
- Club One, Fresno
- Humboldt County Fair, Ferndale
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo
- Sonoma County Fair, Santa Rosa
- Stanislaus County Fair, Turlock
- The Jockey Club, San Mateo

**Southern California**

- Antelope Valley Fair, Lancaster
- Barona Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Del Mar Thoroughbred Club, Del Mar
- Derby Club at Seaside Park, Ventura
- Fairplex Park, Pomona
- Los Alamitos Racecourse, Los Alamitos
- National Orange Show, San Bernardino
- San Bernardino County Fair, Victorville
- Santa Anita Park, Arcadia
- Shalimar Sports Center, Indio
- Sports Pavilion, Farmers Fair, Lake Perris
- Sycuan Resort & Casino, El Cajon
- Viejas Casino & Turf Club, Alpine

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

**2016 IMPORT TRACKS**

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards

Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, and The Mermaid Tavern, Thousand Oaks**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
<b>Los Alamitos</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistedown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Chester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Coeur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	Westem OTB
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	XpressBet
Connecticut OTB ADW	Lebanon	Premier Turf Club	Yonkers Raceway
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Paragon Casino	Lewiston OTB's	Raceway Park	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Caliente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
<b>Cal Expo Harness</b>	<b>Per CHRB calendar</b>	<b>Full Card</b>	

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

Association Veterinarian(s)  Clerk of Scales Assistant Clerk of Scales	<b>Sara Sporer, D.V.M (Track Veterinarian)</b> <b>Forrest Franklin, D.V.M. (CHRB Veterinarian)</b> <b>Diane Isbell, D.V.M. (Examining Veterinarian)</b> <b>Ken Sjordal</b> <b>Ross Allardyce</b>
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Clerk of the Course	<b>Tina Walker-Bryant</b>
Film Specialist/Patrol/Placing Judge	<b>Ella Robinson</b>
Horse Identifier	<b>Darrell Sparks</b>
Horseshoe Inspector	<b>Butch Fyellingness</b>
Paddock Judge	<b>Linda Anderson</b>
Patrol Judge/Steward's Aide	<b>Tammy McDuffie-Morris</b>
Placing Judge/Patrol Judge	<b>Randy Olson</b>
Starter	<b>Todd Stephens</b>
Timer	<b>Mark Martinelli</b>

B. Management officials in the racing department:

Director of Racing	<b>Patrick Mackey</b>
Racing Secretary	<b>Patrick Mackey</b>
Assistant Racing Secretary	<b>Steve Martinelli &amp; Linda Anderson</b>
Paymaster of Purses	<b>Patricia Prospero</b>

Others (identify by name and title)

Main Track Superintendent	<b>Juan Meza</b>
Turf Track Superintendent	<b>Bob Hemmer</b>
Price Maker	<b>Steve Martinelli</b>
Stable Superintendent	<b>Carrie Fawcett</b>
Steward's Secretary/Horsemen Liaison	<b>Marci Knight</b>

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli  
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**T.W. Johnson, Security Manager is responsible for security on-site  
 Security Department organizational chart is attached  
 Security Department phone number (510) 559-7370  
 Stable Security phone number (510) 542-4235 or (510) 559-7525  
 T.W. Johnson cell phone number (510) 418-3161**

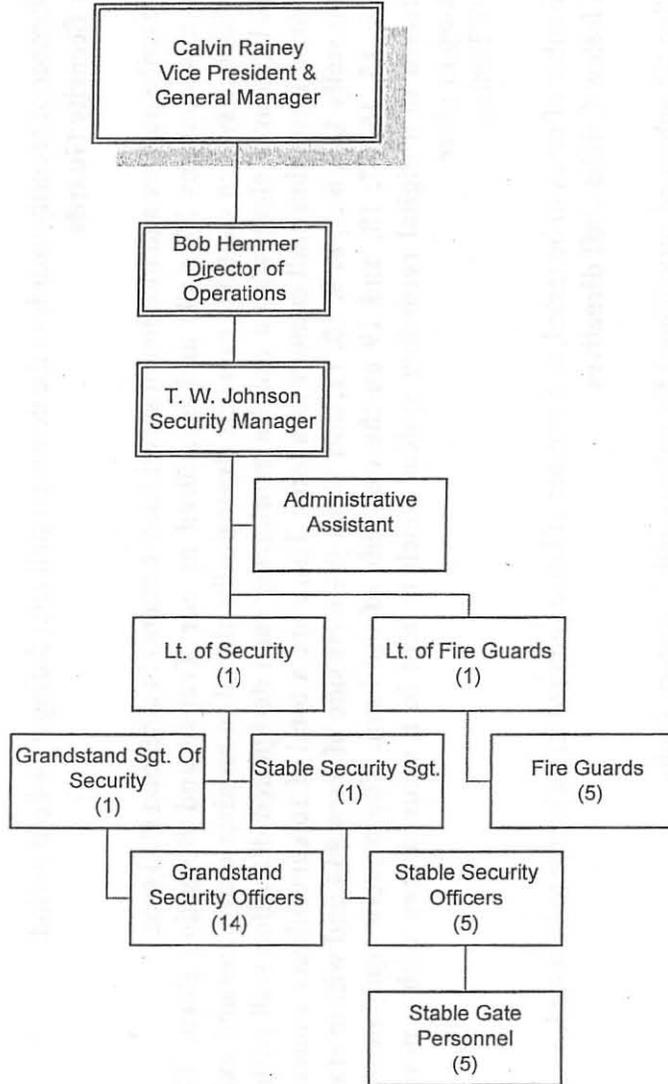
**Attachment to PRA / Golden Gate Fields License Application  
14 (E):**

Track Camera Equipment:

There are a total of six (6) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- (2) Pan Cameras on Roof

# PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 15A



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

- |                                     |  |
|-------------------------------------|--|
| <b>1 – Security Manager</b>         | <b>14 – Grandstand Security Officers</b> |
| <b>1 – Security Lieutenant</b>      | <b>5 – Stable Security Officers</b>      |
| <b>1 – Security Sergeant</b>        | <b>5 – Stable Gate Personnel</b>         |
| <b>1 – Stable Security Sergeant</b> | <b>5 – Fire Guards</b>                   |
| <b>1 – Fire Guard Lieutenant</b>    |  |

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over  
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

**Four Security Guards**

C. Describe number and location of surveillance cameras in detention stall area.

**Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives**

B. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT, and CHRB directives will be followed**

C. Plan for detention stalls for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB**

D. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff**

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot**

**Attachment to PRA / Golden Gate Fields License Application**  
15B (1) and 15B (2):

**SECURITY CONTROLS**

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
  1. Name of horse
  2. Name of trainer
  3. Barn number
  4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
  - A. An overview of the race track operating procedures
  - B. A briefing of their duties throughout the day
  - C. All guards are asked to sign out for their specific video camera
  - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
  - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
  - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
  - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
  - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
  - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

**Attachment to Golden Gate Fields License Application  
15B (2) and 15C:**

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

**Surveillance:** Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

## 16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,  
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Certification documentation attached – 2 EMT's ambulance staff; letter on file with the Board referencing current practices at our auxiliary training facility at Pleasanton**

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

**Dr. David Seftel**

**Office Number: 510-559-7375, Cell Number: 650-520-6204**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444  
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31<sup>st</sup>  
Street, Oakland, CA 94602 (510) 437-4865**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$48.50 per hour subject to change in accordance with insurance premiums.

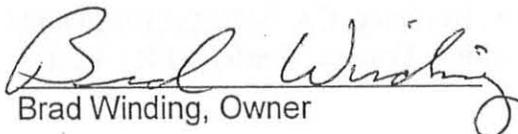
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

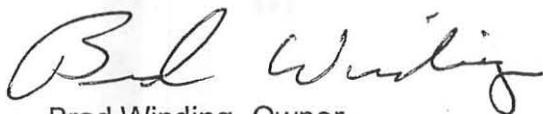
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County Public Health Department



# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

## Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Claridge  
EMS Director

*Karl Sporer*  
Karl Sporer, MD  
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



## GOLDEN GATE FIELDS

### Description of GGF Medical Clinic (Live Racing)

#### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

#### Staffing

- Physician Medical Director ("Track Physician").

#### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

#### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

September 20, 2015

**PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS  
RACE TRACK EMERGENCY MEDICAL PROTOCOL**

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

**TURF RESCUE AMBULANCE SERVICE**

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

**TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES**

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

### **SHIFT PROCEDURES**

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

## **TRAINING HOURS (A.M. SHIFT)**

**PLACEMENT OF AMBULANCE:** Horsemen's Café in barn area (close proximity to race track and Gap gate).

### **FALLEN JOCKEY/RIDER**

If there is a loose horse on the track, that indicates that the jockey/rider has fallen off his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

**A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.**

## LIVE RACING (P.M. SHIFT)

**PLACEMENT OF AMBULANCE:** Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

**FALLEN JOCKEY:** It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

**CAUTION:** Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

**NOTE:** The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

### **Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)**

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

#### **Pre-racing period**

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.

5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.
6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

### Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital –Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.

14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

**Post-racing period**

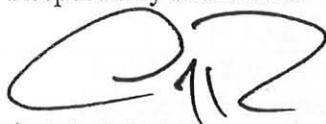
1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

**Dr. Seftel's Recommendations for Optimal Jockey Trackside Care**

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey  
Vice President and General Manager  
Golden Gate Fields



## EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

### "FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



### "ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



### "INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS-

### PARA HERIDAS A JINETES EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



#### "TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*



## EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

### "FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down"); *(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



### "ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



### "INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

### PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



#### "TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*

(REV 2.0, OCTUBRE 2011)

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Roy Roenbeck, Director of Environmental, Health & Safety**  
**Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager**
  
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Berkeley fire clearance letter attached valid through April 21, 2016**  
**Albany fire clearance letter attached valid through February 25, 2016**
  
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-015 (policy no.)**
  
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Workers' Compensation COI attached valid through June 30, 2016**

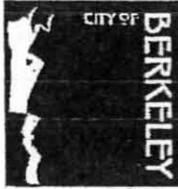
**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Tip Sheets**                      **West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510**
  
- Armored Car**                    **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144**
  
- Track Physician**               **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
  
- Official Program**              **Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706**
  
- Starting Gate**                  **Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590**
  
- Track Photographer**         **Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550**

Does the association provide its own concessions?    Yes        No



Department of Fire and Emergency Services  
Division of Fire Prevention

April 24, 2015

**Merry Scalzo**  
Director of Admissions  
Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706  
Phone (510) 559-7325  
Fax (510) 559-7465

**Subject:** Fire & Life Safety Inspection – Golden Gate Fields - Stables

On April 21, 2015 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 21, 2016. Our fire life safety report indicates that there are no outstanding violations noted or corrections needed to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez".

Stan Fernandez  
Fire Inspector / Investigator

Urban Village by the Bay

# ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE  
ALBANY, CA 94706  
510 528-5770

[www.AlbanyCA.org/fire](http://www.AlbanyCA.org/fire)

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 East shore Highway  
Berkeley, CA 94710

August 25, 2015

Mr. Rosenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on August 25, 2015. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

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Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires February 25, 2016. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,

Charles Rivers  
Fire Marshal  
City of Albany Fire Department

**Certificate of Insurance**

No.: PACII-2015-336

Dated: June 29, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9  Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706  and  Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

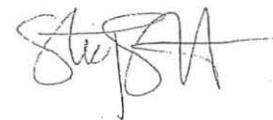
**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

**Marsh Canada Limited**

120 Bremner Boulevard  
 Suite 800  
 Toronto, ON M5J 0A8  
 Telephone: 416-868-2123  
 Fax: -  
 stephanie.leite@marsh.com

Marsh Canada Limited



By: \_\_\_\_\_  
 Stephanie Wong

## 18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: **Will forward to Board upon receipt**

B. Promotional/ Marketing budget for this race meeting: **Will forward to Board upon receipt**

Promotional/Marketing budget for prior race meeting: **Will forward to Board upon receipt**

C. Number of hosts and hostesses employed for meeting:

**1 Customer Service Representative**

**5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

### 1. Horsemen

- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Contracting with Geovision, surveillance company, to upgrade current barn area surveillance system including new DVRs, high-tech 360° fish-eye camera in Receiving Barn, and additional camera around the periphery
- Replaced old turf steel rail with Fontana aluminum turf safety rail
- Bridle path renovation in the barn area
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Building a second turf course gap entrance for 5 furlong races, building a secondary gap gate for the mile turf course entrance, and installing a 3' safety gate on the main track
- Enacted new procedure for Steward's to access the track emergency lights during racing hours
- Hooked up Wi-Fi antennae on new Stable Walk-In Gate
- Replaced and repaired smoke alarms in the barn area
- Cement work completed to repair concrete around drains in stable area
- Repaired draining section on the turf course near the 1/16 pole
- Rebuilt Walk-In Gate and area where Horsemen watch morning workouts



**Thoroughbred Club Members**

**Bundled Pricing includes free program**

**General Admission:**

1-10 Visits	\$5.00
11-20 Visits	\$4.00
21-30 Visits	\$3.00
31-40 Visits	\$2.00
Over 40 Visits	\$1.00

**Club House Admission:**

1-10 Visits	\$8.00 (previously \$6.00)
11-20 Visits	\$7.00 (previously \$5.00)
21-30 Visits	\$6.00 (previously \$4.00)
31-40 Visits	\$5.00 (previously \$3.00)
Over 40 Visits	\$4.00 (previously \$2.00)
Reserved seating	Free

**Thoroughbred Club Prepaid Admission/Program Packages**

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each

TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each

Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:

**Bundled pricing, except on \$1 Sundays, includes admission and program (see above)**

**20. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

**21. BACKSTRETCH EMPLOYEE HOUSING**

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

**CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett**

B. Number of rooms used for housing on the backstretch of the racetrack: **128**

C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**

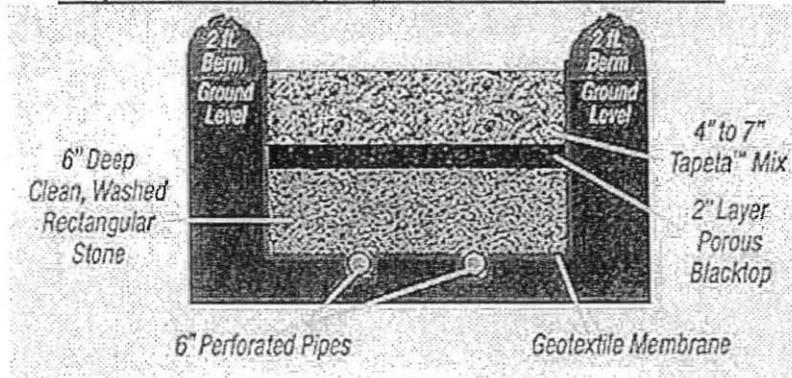
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**1 restroom for every 12 people**

## 22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.280 feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

### Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**  
 The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.  
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**TOC agreement forwarded to Board upon receipt**  
**CTT agreement forwarded to Board upon receipt**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CALVIN J. RAINY  
Print Name

  
Signature

VICE PRESIDENT + GENERAL MANAGER  
Print Title

9/24/15  
Date

STAFF ANALYSIS

October 22, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2015 THROUGH JULY 10, 2016.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 26, 2015 through July 10, 2016, or 104 days, 3 days less than 2015. The association proposes to race a total of 810 races, or 7.79 per day. In 2014 LATC raced 928 races with an average of 8.18 runners per race. The LATC application is incomplete. The stake schedule, purse program, stabling accommodations, schedule of charges and promotional marketing section(s) of the application are unfinished. The response provided to the new equine emergency services section of the application is inadequate. The new section seeks contact information for the racing veterinarian onsite and a schedule listing dates and times that a veterinarian will be available at the association and auxiliary sites. LATC has not provided contact information or a schedule for the veterinarian(s); they have reported that "There is a rotation of private veterinarians' onsite during training hours and during racing hours." CHRB staff requested a letter of explanation to address the incomplete race meet application. At the time of the printing of this Board package no letter of explanation had been received from LATC.
- The race dates proposed are the dates the Board allocated.

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

March - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing 4 days per week, Thursday through Sunday with the exception of December 26 through 27, 2015. Racing 5 days Wednesday, December 30, 2015 – Sunday, January 3, 2016. Racing 5 days Monday, July 4, 2015 – Sunday, July 10, 2016. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2016, January 18<sup>th</sup>, February 15<sup>th</sup>, May 30<sup>th</sup>, and July 4, 2016, with the option to program 10 races on such days. LATC has noted July 7<sup>th</sup>, 8<sup>th</sup>, 9<sup>th</sup>, and 10<sup>th</sup> as “optional at the determination of the licensee, but upon reasonable advance notice to the CHRB.”
- Post times for the daily racing program have not been provided.
- Wagering program will use CHRB rules and ARCI rules.
  - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
  - \$2 – Rolling Daily Double beginning with the first race– 20% takeout.
  - \$1 Trifecta on eligible races.
  - ¢.10 Superfecta on all qualifying races.
  - \$ 2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
  - ¢.50 Pick 4 on second race and the last four live races – Alternate runner provision.
  - ¢.50 Pick 5 starting first race with a 14% takeout. One tier payoff; 100% carryover.
  - \$ 1 Pentafecta selecting first five finishers in same race – 100% payout on tickets selecting five winners. – No consolation 100% carryover if no ticket has five winners. Super High-5 carded as last race of day.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, Watch and Wager, Twinspires, Bet America and TVG.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
2. Stakes schedule – total number, listing of all stakes races [current and past two race meetings], identification of stakes races that have been altered or added.
3. Purse program.
4. Stable accommodations.
5. Information on wagers that were not in the prior year's pari-mutuel program.
6. Horsemen approval of Advance Deposit Wagering.
7. Name and contact information for the ambulance service.
8. Promotional marketing plans.
9. Promotional marketing budget [current and prior].
10. Schedule of charges.
11. Thoroughbred Owners of California agreement.
12. California Thoroughbred Trainers agreement.
13. Post times.

Specific information which will need updating during the proposed race dates:

1. Workers' compensation insurance policy (expires June 30, 2016).

#### RECOMMENDATION:

If the outstanding items, with the exception of item one under "Specific information which will need updating during the proposed race dates," are not received by Monday, October 12, 2015, Staff

recommends that the application not be heard at the October 22, 2015 Board meeting.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club Incorporated**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**  
**Phone (626) 574-7223 Fax (626) 446-9565**  
**frank.demarco@santaanita.com**

B. Breed of horse: TB  QH  H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION	
Application received: 9/29/15	Hearing date: 10/22/15
Deposit received: 10/2/15	Approved date:
Reviewed: <i>[Signature]</i>	License number:

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **December 26, 2015 through July 12, 2016**

B. Actual dates racing will be held:

2015      **December 26, 27, 30 and 31 (4 days)**

2016

**January 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 22, 23, 24, 28, 29, 30 and 31 (19 days)**

**February 4, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27 and 28 (16 days)**

**March 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, 27, and 31 (17 days)**

**April 1, 2, 3, 7, 8, 9, and 10 (7 days)**

**May 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, 29 and 30 (17 days)**

**June 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, and 30 (16 days)**

**July 1, 2, 3, 4, *optional days 7, 8, 9 and 10* (8 days)**

[Optional at determination of the licensee, but upon reasonable advance notice to the CHRB]

C. Total number of days or nights of racing:      **104 days**

D. Days or nights of the week races will be held:

Wed - Sun     Tues - Sat     Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions:    Racing    **Wednesday, December 30, 2015**

**Monday, January 18, 2016**

**Monday, February 15, 2016**

**Monday, May 30, 2016**

**Monday, July 4, 2016**

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Dark    **Thursday, January 21, 2016**

**Thursday, February 18, 2016**

**Thursday June 2, 2016**

No Racing:      **Thursday, April 14 through Sunday, May 1, 2016**

E. Number of days or nights of racing per week:      **4 days per week**

Exceptions:      **2 days, Saturday, December 26 – Sunday, December 27, 2015**

**5 days, Wednesday, December 30, 2015 – Sunday, January 3, 2016**

**5 days, Monday, July 4, Thursday, July 7 – Sunday, July 10, 2016**

**3. RACING PROGRAM**

A. Total number of races:      **810 (Estimated)**

B. Number of races for each day or night:    **No less than 7 races weekdays;**

**Nine races Opening Day, Weekends, Holidays, January 1, January 18, and February 15, May 30 and July 4, 2016; with the option to program ten races on such days.**

C. Total number of stakes races: **TBD**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

**TBD**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes

aces were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

**TBD**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

**TBD**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

- The Santa Barbara Stakes (Grade III) will not run in 2016 because of the reduction of dates to the schedule.
- The Los Angeles Stakes (Grade III), Last Tycoon Stakes (Grade III) and the American Oaks (Grade I) will not run at this meet due to the reduction of dates to the schedule.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program: **TBD**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)

LLC (complete subsection D)

Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

**C. CORPORATION**

1. Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **481360**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
  - Keith Brackpool – Chairman (Officer), 0 Shares**
  - Joe Morris – Senior Vice President West Coast Operations (Officer), 0 Shares (Effective 11/9/15)**
  - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**
  - Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares**
  - Scott Daruty – Vice President (Officer), 0 Shares**
  - Gina Lavo – Controller (Officer), 0 Shares**
  - Jane Lynn – Assistant Secretary (Officer)**

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc. 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**  
**Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**TSG Developments Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? N/A Yes  No   
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No

12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Financials Submitted**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

**Keith Brackpool – Chairman**

**Joe Morris – Senior Vice President West Coast Operations (Effective 11/9/15)**

**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**

**Gina Lavo – Vice President, Finance & Controller**

**Rick Hammerle – Vice President, Racing and Racing Secretary**

**Nate Newby – Vice President, Marketing**

**Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**

**Todd DeSilva – Vice President, Food & Beverage**

**Candace Coder-Chew – Director of Print and Graphics**

**Dan Cirimele – Senior Director of Marketing**

**Randy Fowler – Director of Food and Beverage**

**Randy Hartzell – Director of Pari-Mutuels**

**Mike Lakow – Racing Director**

**Lou Scalera – Chief of Security**

**Pete Siberell – Director of Community Service and Special Projects**

**Jason Spetnegal – Director of Facilities & Grounds**

**Mike Willman – Director of Publicity and Public Relations**

**Nina Zukin – Director of Information Services**  
**Jay Cohen – Hornblower**  
**Trevor Denman – Public Address Commentator/Announcer**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**  
**Los Angeles Turf Club, Inc.**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007** Phone (626) 574-7223 Fax (626) 446-9565  
**Email: frank.demarco@santaanita.com**

## 5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%  
DD Percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**TOC and LATC Letters attached Exhibit 5A.**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014-2015	\$1,129,468,228	799,228
2013-2014	\$1,099,222,508	750,548
2012-2013	\$ 675,876,049	535,801
2011-2012	\$ 655,817,799	581,311
2010-2011	\$ 590,056,282	553,311



September 29, 2015

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.  
Vice President Regulatory Affairs & Secretary

cc: Joe Morris



# TOC

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

#### OFFICERS

MIKE PEGRAM  
CHAIR

BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.

JACK OWENS  
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER  
SECRETARY/TREASURER

MIKE HARRINGTON  
AT LARGE

ED MOGER  
AT LARGE

#### DIRECTORS

ALLEN ALDRICH

BOB BAFFERT

MARK DEDOMENICO

RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

GEORGE TODARO

KATHY WALSH

MICHAEL WELLMAN

#### EXECUTIVE STAFF

JOE MORRIS  
PRESIDENT

MARY FORNEY  
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS

JENNIFER SAAVEDRA  
BOOKKEEPER

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,  
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

September 25, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet. .

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Eric Sindler  
Tom Varela  
Mary Saenz



September 29, 2015

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

A handwritten signature in cursive script that reads "Frank De Marco, Jr." with a stylized flourish at the end.

Frank De Marco, Jr.  
Vice President Regulatory Affairs & Secretary

cc: Joe Morris

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565



# TOC

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

#### OFFICERS

MIKE PEGRAM  
CHAIR

BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.

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#### CHAIRS EMERITUS

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ARNOLD ZETCHER (2010-11)

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

September 26, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita Winter-Spring Meet 2015-16

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Eric Sindler  
Tom Varela  
Mary Saenz

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**  
**TBD**

A. Purse distribution:

1. All races other than stakes:

**Current meet estimate:**

**Prior meet actual:**

Average Daily Purse ( $7 A1 \div$  number of days):

**Current meet estimate:**

**Prior meet actual:**

2. Overnight stakes:

**Current meet estimate:**

**Prior meet actual:**

Average Daily Purse ( $7 A2 \div$  number of days):

**Current meet estimate:**

**Prior meet actual:**

3. Non-overnight stakes:

**Current meet estimate:**

**Prior meet actual:**

Average Daily Purse ( $7 A3 \div$  number of days):

**Current meet estimate:**

**Prior meet actual:**

4. Total Purses: ( $7A1+7A2+ 7A3$ )

**Current meet estimate:**

**Prior meet actual:**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

**Current meet estimate:**

**Prior meet actual:**

Average Daily Purse ( $7 B1 \div$  number of days):

**Current meet estimate:**

**Prior meet actual:**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

**Current meet estimate:**

**Prior meet actual:**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate: \_\_\_\_\_ Prior meet actual: \_\_\_\_\_

- NTRA
- TOC
- CTBA
- CTT Pension
- CTT Admin.
- Total

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

**Current meet estimate:**  
**Prior meet actual:**

Average Daily Purse (7 E ÷ number of days):

**Current meet estimate:**  
**Prior meet actual:**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):

**Current meet estimate:**  
**Prior meet actual:**

Average Daily Purse (7 F ÷ number of days):

**Current meet estimate:**  
**Prior meet actual:**

G. Purse funds to be generated from interstate handle:

**Current meet estimate:**  
**Prior meet actual:**

Average Daily Purse (7 G ÷ number of days):

**Current meet estimate:**  
**Prior meet actual:**

H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730  
 Phone: (909) 944-6465 Email: [craigbmiller@verizon.net](mailto:craigbmiller@verizon.net)

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which

CHRB-17 (Rev. 2/15)

results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **TBD**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
  - **TBD**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
 

**TBD**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
 

**TBD**
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse:
 

**TBD**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 

**There is a rotation of private veterinarian's onsite during training hours and during racing hours.**

  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
 

**There is a rotation of private veterinarian's onsite during training hours and during racing hours.**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS						APPLICABLE RULES	
Example Race: \$1 E; \$1 Double						CHRB #1959; RCI #VE	
Race #1	\$1E	\$1TRI	\$2DD	\$1PK3	\$0.50PNP5	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #2	\$1E	\$1TRI	\$2DD	\$1PK3	\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1979, 1979.1, 1976.9
Race #3	\$1E	\$1TRI	\$2DD	\$1PK3	* \$2PNP6	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #4	\$1E	\$1TRI	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #5	\$1E	\$1TRI	\$2DD	\$1PK3	* \$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #6	\$1E	\$1TRI	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #7	\$1E	\$1TRI	\$2DD	\$1PK3		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979, 1979.1
Race #8	\$1E	\$1TRI	\$2DD	\$1PK3	* SuperH5	Superfecta	CHRB #1959, 1957, 1977, ARCI-004-105-X, 1976.9, 1979, 1979.1.
* Race #9	\$1E	\$1TRI	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979, 1979.1.
* Race #10	\$1E	\$1TRI	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979, 1979.1.
* Race #11	\$1E	\$1TRI	\$2DD	*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979, 1979.1.

(\*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
  - \$1 Trifecta on all eligible races. (CHRB 1979)
  - \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
  - Incoming simulcasts will follow host track format.
  - \$2PNP6 (\*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
  - \$0.50PNP4 Starting the second race and the (\*) last four live races. Alternate runner provision. (CHRB 1976.9)
  - \$0.50PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
  - \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (\*) will be carded as last race of the day. [Applicable rule: ARCI-004-105-X]
- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **TBD**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:  
**All carryover pools from December 26, 2015 to April 10, 2016, inclusive, will be distributed April 10, 2016. All carryover pools from May 5 through July 10, 2016, inclusive, will be distributed on the last live race day of the meet.**
- D. List any options requested with regard to exotic wagering: **N/A**
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Each race day from 8:30 a.m. to 10:00 a.m.**
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Steve Keech

AmTote International Inc.

11200 Pepper Road

Hunt Valley, MD

Expires August 21, 2020

Phone: (800) 345-1566

G. List below the takeout percentage for each type of wager identified in 10A:

<b>TAKEOUT PERCENTAGE</b>	<b>(EXAMPLE) PNP5-14%</b>
15.43%	W/P/S: All Races
20.00%	DD: All Races
22.68%	\$1E: All Races
14.00%	\$0.50PNP5: Starting with Race 1
<b>All other wagers of 3 horses or 3 legs or more:</b>	
23.68%	\$1PK3, \$2PNP6, \$0.50PNP4, Trifecta, SuperH5, Superfecta,

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet	Watch and Wager.com
Twinspires	Lien Games - Bet America
TVG	

TOC approval pending.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **ADW Agreements Pending**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off-Track Wagering, Inc.**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**Exhibit 12D/E**

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**Exhibit 12D/E**

**SOUTHERN CALIFORNIA**

Barona Valley Ranch Resort & Casino  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
Indio, CA 92201

Surfside Race Place at Del Mar  
Del Mar, CA 92014

Hollywood Park  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
Lancaster, CA 93536

Santa Anita Park  
Arcadia, CA 91007

Sports Center at National Orange Show  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
Pomona, CA 91768

Sycuan Gaming Center  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
Victorville, CA 92392

Viejas Casino & Turf Club  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
San Diego, CA 92101

Striders Norco (mini-satellite)  
Norco, CA 92860

Firehouse (mini-satellite)  
Bakersfield, CA 93313

**NORTHERN CALIFORNIA**

Shasta District Fair  
Anderson, CA 96007

Big Fresno Fair  
Fresno, CA 93702

Monterey County Fair  
Monterey, CA 93940

Fresno Club One  
Fresno, CA 93721

Alameda County Fair  
Pleasanton, CA 94566

California State Fair & Exposition  
Sacramento, CA 95815

The Jockey Club at San Mateo  
San Mateo, CA 94403

Santa Clara County Fair  
San Jose, CA 95111

Sonoma County Fair  
Santa Rosa, CA 95402

San Joaquin County Fair  
Stockton, CA 95206

Stanislaus County Fair  
Turlock, CA 95380

Solano County Fair  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
Salinas, CA 93901

Exhibit 12 C/F

**2016 LATC  
Commingled Locations**

Exhibit 12 D/E

AmWest Entertainment	Dover Downs	Mahoning Valley	Scarborough Downs
Amwest Accounts	Dubuque (Iowa Greyhound)	Maronas (South America)	Scioto Downs
Greenbrier (WV)	Elite Turf Club (1 to 15)	Maryland Jockey Club	Seabrook Greyhound
Riders Up (SD)	Ellis Park	Maywood	Social Gaming
Time Out Lounge (SD)	Emerald Downs	Meadowlands	Sol Mutuel
Triple Crown (SD)	Evangeline Downs	Meadows The	Sol Mutuel - Odds Broker
Arapahoe-Mile High	Fair Grounds	Meadows The (ADW) - PA regional	Southland Greyhound
Arma Race Club	Fair Grounds ADW	Miami Valley Gaming & Racing	Sports Creek Raceway
Arlington I	Fair Meadows	Mobile Greyhound	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monmouth Park	Suffolk District OTB
Balmoral ADW (XB Illinois)	Favorites at Vineland	Montana OTB	Suffolk Downs
Bangor Raceway	Finger Lakes	Monticello	Sunland Park
Batavia	Finger Lakes ADW	Mountaineer Park	SunRay Park & Casino
Belterra Park	Fonner Park	Mt. Pleasant Meadows	Tampa Bay Downs
Bettor Racing	Freehold	Nassau Regional OTB	Taunton ADW
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Bluffs Run Greyhound	Global Wagering Solutions	Newport Jai Alai	The Downs at Albuquerque
Borgata Casino	Bwin International Ltd.	New Jersey ADW (4NJBets)	Thistledown
Buffalo Raceway	Intl Betting Assoc. Ltd	Northfield Park	Tioga Downs
Canterbury Park	Magna Bet		Tri-State GH (Mardi Gras)
Capital District OTB	Racebets	Cedar Downs OTB	Turf Paradise
Catskills OTB	Greenetrack	Northville Downs	Turf Paradise Bets (ADW)
Charles Town Race Course	Greyhound @ Post Falls	NYRA	Turfway Park
Chester Downs (Harrah's Philly)	Gulf Greyhound	NYRA ADW	TVG
Churchill Downs	Gulfstream Park	Oaklawn Park	TVG Chester
Coeur d' Alene Casino	Harrington Raceway	Oaklawn Park ADW	TVG High Volume
Colonial Downs	HarringtonBets ADW (DE regional)	Ocean Downs	TVG Prairie
Colonial Downs ADW	Hawthorne Race Course	Panama	Twin River Greyhound
Columbus Raceway	Hawthorne ADW	PARX	TwinSpires
Connecticut OTB	Hazel Park	PARX ADW	TwinSpires High Volume
Bradley Teletheater, Bristol	Hinsdale	Penn National	Velocity Wagering Ltd.
New Britain, Norwalk, Milford	Hoosier Park	Penn National ADW	Venezuela OTB
East Haven, Hartford, Putnam	Horseman's Park	Peru	Vernon Downs
Shoreline Star, Sports Haven	Idabet	Plainridge Race Course	WatchandWager
Torrington, Waterbury	Indiana Downs	Plainridge ADW	Western OTB
Manchester, New London	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Willimantic, Sanford OTB	Intermountain Racing	Pocono Downs ADW	Will Rogers Downs
John Martin's Manor Restaurant	Keeneland	Pocono Downs/OTB	Wyoming OTB
Connecticut OTB ADW	Keeneland Select ADW	Portland Meadows	Wyoming Downs
Coushatta Casino	Kentucky Downs	Potawatomi Casino/ OTB	XpressBet
Paragon Casino	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Ho-Chunk Casino and Racebook	Les Bois (Treasure Valley)	Premier Turf Club	Yonkers ADW
Mohegan Sun Casino	Lewiston OTB's	Presque Isle Downs	Youbet Group 1
Oneida Bingo and Casino	Lien Games	Racing2Day ADW	Zia Park
Pony Bar Simulcast Center	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Tote Investment Racing	Howard Johnsons OTB	Remington Park	
Randall James Racetrack	Rumors OTB	Remington OTB Network	
Millenium Racing	Skydancer Casino OTB	Retama Park	Separate Pool Locations
Royal Beach Casino	Lien Games ADW	Racing & Gaming Services	Camarero (Puerto Rico)
Divi Carina Bay Casino	BetAmerica/Offtrackbetting ADW	Rillito Park	Caymanas (Jamaica)
Winner's Circle	Lone Star	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Louisiana Downs	Rockingham Park ADW	MIR Books (Caliente)
Corpus Christi Greyhound	LVDC	Ruidoso Downs	NDS Books (Nevada)
Dayton Raceway	Atlantis Paradise Casino	Running Aces Harness Park	Tabcorp (Australia)
Delaware Park	Buffalo Thunder Resort	Sam Houston	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Valley Greyhound Park	New Zealand Racing Board
Derby Jackpot	Meskwaki Bingo & Casino	Saratoga Harness Raceway	
		Saratoga Bets (ADW)	

**Canadian Locations**

Assiniboia, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
**Exhibit 12C/F**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Fairgrounds	Monmouth	Suffolk Downs
Assiniboia Downs	Finger Lakes	Mountaineer Park	Sunland Park
Australia	Fort Erie	Northlands	Sunray Park
Belterra Park	Gulfstream Park	NYRA – Aqueduct, Belmont, Saratoga	
Canterbury	Gulfstream Park West	Penn National	Tampa Bay
Charles Town	Hastings Park	Philadelphia Park (Parx)	Thistledown
Churchill Downs	Hawthorne	Pimlico	Turf Paradise
Delaware Park	Indiana Downs	Portland Meadows	Turfway Park
Delta Downs	Keeneland	Prairie Meadows	United Kingdom
Ellis Park	Laurel Park	Presque Isle	Woodbine
Emerald Downs	Lone Star	Sam Houston	Zia Park
Evangeline Downs	Louisiana Downs	South American Racing	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races  
 Los Alamitos              Per CHRB calendar      Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Board of Directors  
Los Angeles Turf Club Incorporated  
285 W. Huntington Drive  
Arcadia, CA 91007**

- B. Names and addresses of the trustees or directors of the distributing agent:

**Frank DeMarco, Jr., Secretary  
Los Angeles Turf Club, Inc.  
285 W. Huntington Drive  
Arcadia, CA 91007**

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:

<b>Association Veterinarian(s)</b>	<b>Dr. Dana Stead</b>
<b>Clerk of Scales</b>	<b>Kevin Colosi</b>
<b>Clerk of the Course</b>	<b>Melanie Stubblefield</b>
<b>Horse Identifier</b>	<b>Jennifer Paige</b>
<b>Horseshoe Inspector</b>	<b>Victor Tovar</b>
<b>Paddock Judge</b>	<b>Karen Denovel</b>
<b>Patrol Judges</b>	<b>Karen Denovel, Randy Valdez</b>
<b>Placing Judges</b>	<b>Bob Moreno, Lisa Jones</b>
<b>Starter</b>	<b>Jay Slender</b>
<b>Timer</b>	<b>Dane Nelson</b>

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Director	Mike Lakow
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein  
 9582 Hamilton Ave., Suite 265  
 Huntington Beach, CA 92626 Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2016

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: through July 31, 2020  
 Pegasus Communications, Inc.  
 16633 Ventura Blvd., Suite 1010  
 Encino, CA 91436 Phone: 818/907-1900  
 Major video and camera equipment listing attached, Exhibit 14E.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

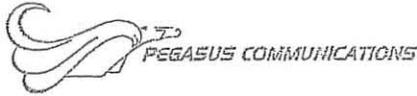
A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security  
 Front Side Security Office (626) 574-6406  
 Stable Security Office (626) 574-6469  
 Organizational Chart: (Exhibit 15A)

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	36 Security Guards
1 Secretary	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period



Jim Porep, Jr., President  
 Pegasus Communications, Inc.  
 16633 Ventura Blvd, Suite 1010  
 Encino, CA 91436  
 Office 818-907-1900  
 Cell 951-314-6348

**Contract Term:**

July 31 2012 / July 31 2017

**Major Video Equipment Listing**

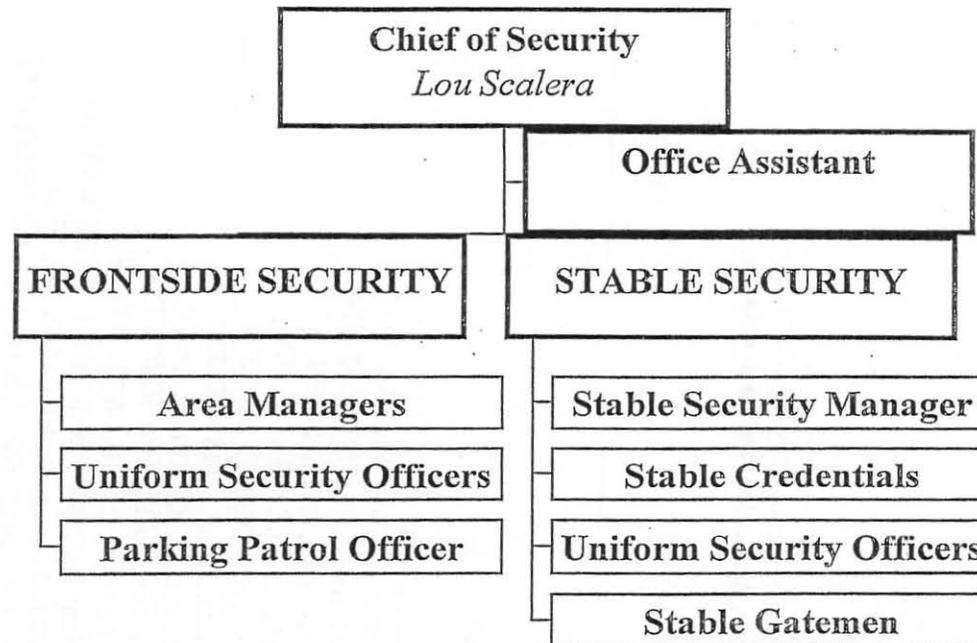
Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)  
 (2) Avid HD graphics systems with Pegasus DataLinks interface software  
 (1) GVG Karrera 4ME Switcher  
 (1) Router: Blackmagic Design Universal Video Hub  
 (1) Summit clip store  
 (2) ZPlay HD video recorders  
 (1) 3play 820 HD video recorder  
 (1) Sony DigiBeta deck  
 (1) Audio Calrec Board: 120 input  
 (1) Trakus computer (owned by Trakus)

**Camera locations and equipment description**

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

# SANTA ANITA PARK

## Security Live Racing



STAFF  
LEVELS  
INCLUDE  
DAY &  
NIGHT  
SHIFTS

SECURITY

Exhibit 15 A

10-26

and a plan for detention barns.

**Attached** (Exhibit 15B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

**Attached** (Exhibit 15B)

b. Number of security guards in the detention barn area during a 24-hour period.

**Four Security Guards**

c. Describe number and location of surveillance cameras in detention barn area.

**Attached** (Exhibit 15B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives.**

b. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT and CHRB directives will be followed.**

c. Plan for detention barns for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.**

d. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff.**

C. Describe the electronic security system:

**Attached** (Exhibit 15B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Attached** (Exhibit 15B). **In addition to Exhibit 15B, Santa Anita Park plans to install additional surveillance cameras to the backside and "gap" as discussed at the September 2015 CHRB meeting.**

D. For night racing associations. Describe emergency lighting system: N/A

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**AmbuServe, Inc.**

**Wendal Smith**

**15105 S. Broadway Ave., Gardena, CA 90248**

**Phone: (310) 644-0500**

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Staff on track ambulance includes: 2 Paramedics**

**Exhibit on file.**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**TBD**

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Exhibit 15B**DETENTION BARN PLAN**

(September, 2015)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

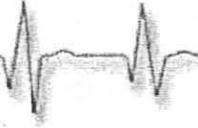
Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.



# AmbuServe Ambulance



October 6, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

**SERVICES (Mission)**

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

**STAFFING**

- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

**EQUIPMENT**

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

**AVAILABILITY**

- When gates open until after last race

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

**Dr. Salvador Sotomayor                      Phone: (888) 349-8944**  
**15771 Del Prado Drive**  
**Hacienda Heights, CA 91740**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

**Arcadia Methodist Hospital**  
**300 W. Huntington Drive**  
**Arcadia, CA 91007    Phone: (626) 445-4441**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Arcadia Methodist Hospital**  
**300 W. Huntington Drive**  
**Arcadia, CA 91007    Phone: (626) 445-4441**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**Exhibit 16F**

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**Greg Daniel, Environmental, Health & Safety Manager**  
**Lou Scalera, Chief of Security**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Exhibit 16H**



EMERGENCY MEDICAL PROCEDURES  
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
  - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
  - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
  - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
  - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS  
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
  - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
  - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
  - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
  - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



# City of Arcadia

## Fire Department

September 17, 2015

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2015-2016 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2015-2016 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2015-2016 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian  
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.  
Mary Saenz, Office Operations, L.A.T.C.  
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.  
Ed Martinez, Asst. Facility Manager, L.A.T.C.  
Mike Miser, Fire Marshal, L.A.T.C.

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
**Liberty Mutual Insurance Company**  
**PO Box 989000**  
**West Sacramento CA 95798**  
**Policy # WC2 B71-170912-015 Expires 6/30/2016**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**Exhibit 16J**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes  No

**HANDICAPPERS**

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)

Tiffany Bohland  
 6288 Highland Meadows Drive  
 Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)

% Don Harris  
 924 Knob Hill Avenue  
 Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)

c/o Harry Acquarelli  
 4615 Trieste Drive  
 Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)

Jason Karches  
 2080 Las Palmas Drive  
 Carlsbad, CA 92009



## Certificate of Insurance

No.: ANITA-2015-38

Dated: June 26, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

**Certificate Holder:**

California Horse Racing Board  
1010 Hurley Way  
Sacramento, CA 95825

Attn.: Insurance Department

**Named Insured and Address:**

Los Angeles Turf Club, Incorporated  
285 West Huntington Drive  
Arcadia, CA 91007

**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/ Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, GLO9302183-13, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-015, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

**Marsh Canada Limited**

120 Bremner Boulevard  
Suite 800  
Toronto, ON M5J 0A8  
Telephone: 416-868-2123  
Fax: -  
stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

Triangle Turf Winners  
William Archer  
1 Scott Street  
Gardnerville, NY 89410

(CHRB-25A and CHRB-87 on file with CHRB.)

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

Marketing program pending.

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:  
Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  - 1. Horsemen
  - 2. Fans
  - 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES            TBD

Proposed charges, note any changes from the previous year:

Describe any "Season Boxes" and "Turf Club Membership" fees:

Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="40+"/>    |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
|                                     |                                | <input checked="" type="checkbox"/> | Lounge area                         |
|                                     |                                | <input checked="" type="checkbox"/> | Certified platform scale            |

Note: Jockeys Room has been painted and refurbished throughout.

- B. Describe the quarters to be used for female jockeys/drivers:  
Same amenities as above, but in separate quarters. Shared food and beverage area.

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **CHRB inspection pending; Health Dept. inspection completed and approved.**
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:  
**29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,280 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: **2%**  
The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Andy LaRocco, Track Superintendent**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.  
**TOC and CTT are agreements pending.**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**24. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco, Jr.

Print Name

Signature

VP Regulatory Affairs & Secretary

Print Title

Date

9-29-15

## STAFF ANALYSIS

October 22, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION AT LOS ALAMITOS RACE COURSE DECEMBER 3, 2015 THROUGH DECEMBER 20, 2015

The Los Alamitos Racing Association (LARA) filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course December 3, 2015, through December 20, 2015, or 12 days; the same number of days as 2014. LARA proposes to race a total of 102 races, or 8.5 races per day. In 2014, LARA ran 8.5 races per day with an average of 7.46 runners per race. The average daily purse for the 2014 race meeting was \$305,331. The (estimated) average daily purse for this meet is \$244,476.

- Quarter Horse Racing, Inc. (QHRI) is the sole owner and parent Company of LARA and Horsemen's Quarter Horse Racing Association (HQHRA) d.b.a. Los Alamitos Quarter Horse Racing Association. The sole shareholder of QHRI has elected to combine the financial reporting for LARA along with QHRI, HQHRA and its subsidiaries. The financial statements submitted include accounts of QHRI, HQHRA, LARA and their subsidiaries.
- The response provided to the new equine emergency services section of the application is inadequate." The new section seeks contact information for the racing veterinarian onsite and a schedule listing dates and times that a veterinarian will be available at the association and auxiliary sites. LARA has not provided contact information or a schedule for the veterinarian(s); the association has reported that *"training hour veterinary emergency services at all locations are handled by a rotation of private practice veterinarians with one or more of these veterinarians on the grounds at the opening of training hours."*
- The race dates proposed are the dates allocated:

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- Racing concurrently with Pacific Racing Association December 3, 2015 through December 13, 2015.
- First live post 12:30 p.m., Thursday through Sunday.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 9:00 a.m. and end at 10:00 a.m.
  - \$2 Daily Double, 20% takeout. Placement of last Daily Double will depend on number of races.
  - \$1 Trifecta on eligible races.
  - \$0.10 Superfecta on all qualifying races.
  - \$2 Pick n Pool 6 on last six live races. 70% carryover, 30% minor. Alternate runner provision.
  - \$0.50 Pick n Pool 4 on second race and last 4 races. Alternate runner provision.
  - \$0.50 Pick n Pool 5 will be offered starting on the first race. Minimum \$.50 wager, 14% takeout. One tier payoff. 100% carryover.
  - \$1 Pentafecta (Super High Five) in the last race. 100% payout on all tickets selective five winners. No consolation 100% carryover if no ticket has five winners.
- Specific changes from the 2014 license application:
  - Decrease from \$3.00 to \$2.50 charge for programs purchased on-track and off-track.
  - Increase from 700 to 820 usable stalls.
  - Increase from 175 to 195 rooms used for housing on the backstretch.
  - Increase from 9 men's restrooms and 7 ladies restrooms to 11 men's and 8 ladies available on the backstretch.
  - Change in early wagering start from 8:30 a.m. to 9:00 a.m.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TwinSpines, TVG, Bet America (Lien Games) and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Fire clearance
2. Thoroughbred Owners of California Race Meet Agreement
3. California Thoroughbred Trainers Race Meet Agreement
4. Equine Emergency Services listing of schedule not provided
5. Stakes Schedule Responses

RECOMMENDATION:

If the outstanding items, with the exception of item one, are not received by Monday, October 12, 2015, Staff recommends that the application not be heard at the October 22, 2015 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Brad McKinzie 4961 Katella Ave. Los Alamitos, CA 90720 714-820-2800 / fax 714-820-2813 / bradmckinzie@aol.com
  - B. Breed of horse: TB  QH  H
  - C. Racetrack name: Los Alamitos Race Track
  - D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
  - E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
Yes  No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting: December 2 – December 22 / these dates reflect the CHRB's position that the next meet begins on the Wednesday (Dec. 23) of the week they open.
- B. Actual dates racing will be held: December 3,4,5,6,10,11,12,13,17,18,19,20
- C. Total number of days or nights of racing: 12
- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Thurs. - Sunday

**CHRB CERTIFICATION**

Application received: 9/23/15  
Deposit received: \$10K  
Reviewed: HH

Hearing date: 10/22/15  
Approved date:  
License number:

E. Number of days or nights of racing per week: 4

**3. RACING PROGRAM**

A. Total number of races: 102

B. Number of races for each day or night: Thurs. 8 Fri. 8 Sat. 9 Sun.9

C. Total number of stakes races: 5

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

- 1<sup>st</sup> race – 12:30p.m.
- 2<sup>nd</sup> race – 1:00 pm
- 3<sup>rd</sup> race – 1:30 pm
- 4<sup>th</sup> race- 2:00 pm
- 5<sup>th</sup> race – 2:30 pm
- 6<sup>th</sup> race – 3:00 pm
- 7<sup>th</sup> race – 3:30 p.m.
- 8<sup>th</sup> race – 4:00 pm
- 9<sup>th</sup> race – 4:30 pm

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

**2015 Los Alamitos Winter Proposed Stakes Schedule**

Date	Day	Type	Name	Cal Bred	Conditions	Distance	Golden State	Nom/Entry Starter Fees	2015 Gross Value	Cost Liability
12/5/2015	Sat		Soviet Problem	x	2 y-o fillies	1 mile	30,000	5,000	100,000	65,000
12/12/2015	Sat	Grade I	Starlet		2 y-o fillies	1 1/16	-	101,250	300,000	198,750
12/12/2015	Sat		King Glorious	x	2 y-o colts & geldings	1 mile	30,000	5,000	100,000	65,000
12/19/2015	Sat	Grade I	Los Alamitos Futurity		2 y-o	1 1/16		127,500	350,000	222,500
Total							60,000	238,750	850,000	551,250
Gross Guaranteed Stakes Purses Offered						850,000				
Less Nomination, Entry, Starter Fees						238,750				
Less Sponsorship						60,000				
Projected Guaranteed Stakes Liability						551,250				
Gross for Added Stakes Offered						-				
Gross For Overnight Stakes Offered						-				
Cal Bred							24%	130,000		

**2014 Winter Meet Stakes**

Date	Day	Type	Name	Cal Bred	Conditions	Distance	Golden State	Nom/Entry Starter Fees	2014 Gross Value	Cost Liability
12/5/2014	Sat	Grade II	Bayakoa		2 y-o fillies	1 1/16		16,200	200,000	183,800
12/7/2014	Sun		Soviet Problem	x	2 y-o fillies	1 mile	60,000	16,400	200,000	123,600
12/13/2014	Sat	Grade I	Starlet		2 y-o fillies	1 1/16		168,500	350,000	181,500
12/14/2014	Sun		King Glorious	x	2 y-o colts & geldings	1 mile	60,000	19,600	200,000	120,400
12/20/2014	Sat	Grade I	Los Alamitos Futurity		2 y-o	1 1/16		168,750	500,000	331,250
Total							120,000	389,450	1,450,000	940,550
Gross Guaranteed Stakes Purses Offered						1,450,000				
Less Nomination, Entry, Starter Fees						389,450				
Less Sponsorship						120,000				
Guaranteed Stakes Liability						940,550				
Gross for Added Stakes Offered						-				
Gross For Overnight Stakes Offered						-				
Cal Bred							26%	244,000		

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. See Los Alamitos Quarter Horse Assn.

C. CORPORATION

1. Registered name of the corporation: Los Alamitos Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: C1650097
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Dr. Edward C Allred, President, Director 100%  
 Brad McKinzie Vice-President, General Manager 0%  
 Rick English, Chief Financial Officer 0%  
 Tom Siebly, Director 0%  
 Don Valpredo, Director 0%  
 Frank Sherren , Director 0%  
 Cathy Monji, director 0%  
 Jack Liebau, Director 0%  
 Richard Shapiro, 0%  
 Mike Harrington, 0%

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: None
6. Number of outstanding shares in the corporation: None
7. Are the shares listed for public trading? No  Yes  No  
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
 Quarter Horse Racing, Inc.

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

## D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

## E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Edward C Allred, President, CEO  
 Jack Liebau, Vice-President  
 Brad McKinzie, Vice-President, General Manager  
 Rick English, Chief Financial Officer  
 Robert Moreno, Racing Secretary  
 Orlando Gutierrez, Publicity Director  
 Frank Sherren, Plant Superintendent  
 Rick Hughes, Track Superintendent  
 Dennis Moore, Track Consultant  
 Robert Digiovanni, Director of Mutuels  
 Frank Miramhadi, Announcer  
 Alex Soltera, Director of Security  
 Robert Hurd, Director of Operations  
 Mike Monji, Director of Food Services  
 Ken Walker, Director of labor Relations,

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Brad McKinzie, 4961 Katella Ave. Los Alamitos, CA 90720 bradmckinzie@aol.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5, Daily Double

Proposed percentage:  
 P5 14%, Daily Double 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • [www.losalamitos.com](http://www.losalamitos.com)

September 21, 2015

Brad McKinzie  
V.P./General Manager  
Los Alamitos Racing Association  
Los Alamitos, CA 90720

Mr. Rick Baedeker  
Executive Secretary  
California Horse Racing Board  
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association is requesting two takeout modifications for our upcoming winter Thoroughbred meeting. We are requesting a 14% takeout on our Pick Five wager, as well as a 20% takeout rate on our Rolling Doubles.

Thank you for your consideration on this matter.

Brad McKinzie  
Los Alamitos Racing Assn.



# TOC

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

September 29, 2015

#### OFFICERS

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Los Alamitos 2015 Winter Thoroughbred Race Meet

#### DIRECTORS

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from December 3-20, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

Please feel free to contact me with any questions.

#### EXECUTIVE STAFF

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Sincerely,

Joe Morris  
President

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



# TOC

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

September 29, 2015

#### OFFICERS

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
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AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

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RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

RE: Los Alamitos 2015 Winter Thoroughbred Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet from December 3-20, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

#### EXECUTIVE STAFF

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014	\$69,536,671	84,616

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: \$2,280,000  
 Prior meet actual: \$2,551,620

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$190,000  
 Prior meet actual: \$212,635

2. Overnight stakes:  
 Current meet estimate: 0  
 Prior meet actual: \$62,750

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate - \$0  
 Prior meet actual: \$5,229

3. Non-overnight stakes:  
 Current meet estimate: \$551,250  
 Prior meet actual: \$940,550

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$45,938  
 Prior meet actual: \$78,379

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$2,831,250  
 Prior meet actual: \$3,554,920

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 24%  
 Prior meet actual: 26%

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: \$10,833  
 Prior meet actual: \$20,333

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$61,650  
 Prior meet actual: \$64,997

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization	
Current meet estimate: TOC - \$24,935	Prior meet actual: TOC - \$25,279
CTT - \$12,467	CTT - \$12,639
NTRA - \$3,411	NTRA - \$6,142
 Total \$40,813	 Total \$44,060

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: \$2,933,713  
 Prior meet actual: \$3,663,997

Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: \$244,476  
 Prior meet actual: \$305,331

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: \$1,265,399  
 Prior meet actual: \$1,303,072

Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: \$105,450  
 Prior meet actual: \$108,559

G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$535,180  
 Prior meet actual: \$525,723

Average Daily Purse (7 G ÷ number of days):  
 Current meet estimate: \$44,598  
 Prior meet actual: \$43,810

H. Bank and account number for the Paymaster of Purses' purse account: Bank of the West  
 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen, McBeth inc. 10722 Arrow route, suite 110 Rancho Cucamonga, CA 91730 909-944-6465 – [craigbmiller@verizon.net](mailto:craigbmiller@verizon.net)

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
 Santa Anita – 1,900  
 San Luis Rey Downs – 495  
 Galway Downs – 150
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
 Agreements on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$16.25
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita - \$180, San Luis Rey Downs \$360, Galway Downs \$360

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:  
 Training hour veterinary emergency services at all locations are handled by a rotation of private practice veterinarians with one or more of these veterinarians on the grounds at the opening of training hours.
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$.50 PNP5 Superfecta	CHRB #1959,1979,1957, 1977, 1976.9,1979.1
Race #2	\$2DD \$1E \$1Tri \$1 PK3 \$.50 NP4 Superfecta	CHRB 1957,1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$2DD \$1E \$1 Tri \$1 PK3 \$.50 PNP6 Superfecta	CHRB 1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$2DD \$1E \$1 Tri \$1 PK3 \$.50 PNP6 Superfecta	CHRB 1957, 1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$2DD \$1E \$1Tri \$1 PK3 \$.50 NP4 Superfecta	CHRB 1957,1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #7	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #8	\$2DD \$1E \$1 Tri \$1 PK3 superfecta	CHRB 1957, 1959, 1979, 1977,1979.1
Race #9	\$2DD \$1E \$1Tri \$1PK3 Super H 5 Superfecta	CHRB 1957,1959,1979,1977,,1979.1,ARCI #004-105 Subsection X Pick (n) Position (x) Pools

\$2 Rolling Daily Double 20% takeout Placement of last DD will depend on # of races  
 \$1Trifecta on all eligible races (CHRB 1979)  
 \$0.10 Superfercta on all qualifying races (CHRB 1979.1)  
 Incoming simulcasts will follow host track format  
 \$2 PNP6 on last six live races. 70% carryover, 30% minor. Alternative runner provision (CHRB 1976.9)  
 \$0.50 PNP4 starting second race and last four races. Alternative runner provision (CHRB 1976.9)  
 \$0.50 PNP5 starting first race. Minimum wager fifty cents. 14% takeout. One tier payoff: 100% carryover  
 \$1 Pentafecta (Super High5) in last race of the day selecting the first five fiishers in a same race. 100% payout on all tickets selecting 5 winners.  
 No consolation. 100% carryover if no ticket has five winners.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No changes from previous year
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution Dec. 20, 2015

D. List any options requested with regard to exotic wagering: None

E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
 9:00 am through 10:00 am December 2 through December 22, every racing day

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System  
 American Totalisator Inc.  
 Primary system at Via West Data Center, Portland, Oregon  
 Jeff True 818-307-0679  
 Contract expiration – 10-28-2020

G. List below the takeout percentage for each type of wager identified in 10A:

**TAKEOUT PERCENTAGE**  
 (Example) PNP5-14%

- Race #1 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%, PNP5 14%
- Race #2 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP4 23.68%, PK3 23.68%
- Race #3 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP6 23.68%, PK3 23.68%
- Race #4 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PNP6 23.68%, PK3 23.68%
- Race #5 Exacta 22.68%, Trifecta 23.68%, DD 20%, Superfecta 23.68%, PK3NP3 23.68%, PNP4 23.68%
- Race #6 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #7 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #8 Exacta 22.68%, Trifecta 23.68%, DD 20%, PK3 23.68%, Superfecta 23.68%
- Race #9 Exacta 22.68%, Trifecta 23.68%, PK3 23.68%, DD20%, Superfecta 23.68%, Super Hi 5 23.68%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting: TVG, XpressBet, Twin Spires, Watch and Wager, Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.  
 If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

September 29, 2015



Mr. Brad McKinzie  
 Los Alamitos Racecourse  
 4961 Katella  
 Los Alamitos, CA 90720

RE: 2015 Los Alamitos Winter Race Meeting ADW Approvals

Dear Brad,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Racecourse (LARC) race signals under the terms and conditions set forth below.

LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires (subject to arbitration), WatchandWager, Game Play Network Inc. and Bet America to accept wagers on LARC and other Thoroughbred races during the 2015 Los Alamitos Winter race meeting, December 3 - 20, 2015, as follows:

1. **California wagering on LARC races:**
  - a. TVG, XpressBet, and TwinSpires (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpires (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
  - a. TVG, XpressBet, and TwinSpires (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager, Game Play Network and Bet America.

Very truly yours,

Joe Morris  
 President

cc: Tom Varela, Monarch Content Management

#### OFFICERS

MIKE PEGRAM  
 CHAIR

BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.

JACK OWENS  
 VICE CHAIR, NORTHERN CA.

NICK ALEXANDER  
 SECRETARY/TREASURER

MIKE HARRINGTON  
 AT LARGE

ED MOGER  
 AT LARGE

#### DIRECTORS

BOB BAFFERT

JIM CAHILL

MARK DEDOMENICO

RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

GEORGE TODARO

KATHY WALSH

MICHAEL WELLMAN

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 PRESIDENT

MARY FORNEY  
 DIRECTOR OF OPERATIONS

RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF  
 OPERATIONS

JENNIFER SAAVEDRA  
 BOOKKEEPER

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,  
 2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

**12. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. Agreement on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: Attached
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": attached

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":N/A

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":N/A

---

**2015 Los Alamitos (Thoroughbred) Import Tracks**

Track
Arlington
Assiniboia
Australia Racing
Beulah
Calder
Canterbury
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald
Evangeline
Fort Erie
Gulfstream
Hastings Park
Indiana Downs
Keeneland
Lone Star
Louisiana Downs
Monmouth
Mountaineer Park
Northlands
NYRA - Aqueduct, Belmont, Saratoga
Penn National
Philadelphia Park (Parx)
Pimlico
Prairie Meadows
Presque Isle
River Downs
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay
Thistledown
Turf Paradise
United Kingdom
Woodbine

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**2015 Los Alamitos (Thoroughbred) Import Tracks****Track**

Arlington  
Assiniboia  
Australia Racing  
Beulah  
Calder  
Canterbury  
Churchill Downs  
Delaware Park  
Delta Downs  
Ellis Park  
Emerald  
Evangeline  
Fort Erie  
Gulfstream  
Hastings Park  
Indiana Downs  
Keeneland  
Lone Star  
Louisiana Downs  
Monmouth  
Mountaineer Park  
Northlands  
NYRA - Aqueduct, Belmont, Saratoga  
Penn National  
Philadelphia Park (Parx)  
Pimlico  
Prairie Meadows  
Presque Isle  
River Downs  
South America Racing  
Suffolk Downs  
Sunray Park  
Tampa Bay  
Thistledown  
Turf Paradise  
United Kingdom  
Woodbine

**2015 Los Alamitos (Thoroughbred)  
Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Yobet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB		
Tote Investment Racing	Skydancer Casino OTB	Remington OTB Network	
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BetAmerica and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assinboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

**2015 Los Alamitos (Thoroughbred)  
 Commingled Locations**

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Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangelina Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangelina Downs AWA	Millers OTB	Sol Mutual
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
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Bangor Raceway	Fonner	Mt. Pleasant Meadows	Suntand Park
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Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
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Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Whaeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Williamantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB		Remington OTB Network
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
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Royal Beach Casino	Lone Star	River Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	MIR Books (Callente)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Derby Jackpot	Meskwakl Bingo & Casino	Valley Greyhound Park	

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flenboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinle Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

## SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino  
1932 Wildcat Canyon Rd.  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park  
3883 W. Century  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
46-350 Arabia St.  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

Sycuan Gaming Center  
5469 Casino Way  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Striders Norco (mini-satellite)  
2895 Hamner Ave.  
Norco, CA 92860

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

## NORTHERN CALIFORNIA

Shasta District Fair  
1890 Briggs St.  
Anderson, CA 96007

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901

## HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
Board of Directors  
Los Alamitos Racing Assn.  
4961 Katella Ave  
Los Alamitos CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:  
Rick English , Los Alamitos Racing Assn. 4961 Katella Ave Los Alamitos CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
Association Veterinarian(s) – Dr. Dana Stead, Dr Dana Stead  
Clerk of Scales – Charles McCaul  
Clerk of the Course – Melanie Stubblefield  
Film Specialist - Stewards  
Horse Identifier – Jennifer Paige  
Horseshoe Inspector – Victor Tovar  
Paddock Judge – Karen Deneval  
Patrol Judges – Karen Deneval  
Placing Judges Kevin Colosi, Dawn Schmid  
Starter – Gary Brinson  
Timer – Russ Hudak
- B. Management officials in the racing department:  
Director of Racing – Robert Moreno  
Racing Secretary – Robert Moreno  
Assistant Racing Secretary – Zachary Soto  
Paymaster of Purses – Dawn Jackson  
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
Weinstein Court Reporters  
P O Box 26634  
Santa Ana, CA 92799  
949-637-6071
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corp  
Bill O'Brien  
Expires – Dec. 31, 2015
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Jim Porep  
Pegasus Communications  
16633 Ventura Blvd Suite 1010  
Encino, CA 91436  
Expires – April 21, 2017
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
Timing devices maintained and operated by Los Alamitos Racing Assn. personnel.

**15. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Alexander Soltero, Chief of Security  
 714-820-2660 frontside / Backside 714-820-2832  
 Organization Chart – see attachment

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security, 1 Stable Security Manager, 5 Gatemen, 3 Investigators, 20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Unless directed by the CHRB, LARA does no plan to utilize a detention barn.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. n/a

B. Number of security guards in the detention stall area during a 24-hour period. n/a

C. Describe number and location of surveillance cameras in detention stall area. There are no surveillance cameras in barn area n/a

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB directives

B. Plan for enhanced surveillance for trainers with high-test results.

CHRB directives will be followed

C. Plan for detention stalls for repeat offenders. CHRB directives will be followed

D. Number of security personnel assigned to the TCO2 program. As per needed by CHRB directive

- C. Describe the electronic security system: We do not have an electronic security system

1. Location and number of video surveillance cameras for the detention stall and stable gate.

- D. For night racing associations. Describe emergency lighting system:

**16. EMERGENCY SERVICES**

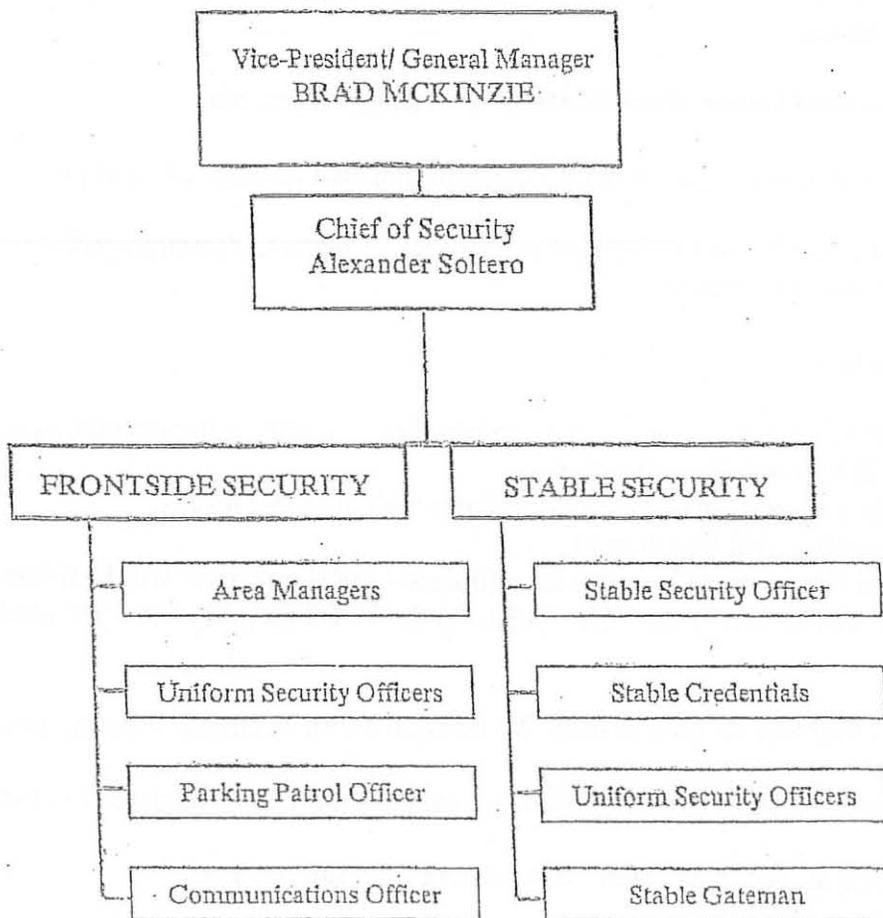
- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance  
 P O Box 45  
 Sunset Beach, CA 90742  
 562-904-1550

Track on site # - 714-820-2845

# LOS ALAMITOS RACING ASSOCIATION

## Security Live Racing



1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

San Luis Rey Downs  
North county fire Protection  
31403 Old River road  
Bonsall, CA 92003  
Phone - 911

Santa Anita  
AmbuServe  
15105 S. Broadway  
Gardena, CA 90248  
310-644-0500

Galway Downs  
Symons Ambulance  
28078 Baxter Rd  
Murrieta, CA 92563

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- C. Describe the on-track first aid facility, including equipment and medical staffing:  
Staffing – On-track contracted physician, four certified CMT’s 2 licensed emergency ambulances  
Equipment – First Aid supplies, Oxygen equipment, blood pressure monitoring, backboard, cervical collar, 3 patient beds.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Dr. Michael Morris 714-820-2810**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos General Hospital  
3571 Katella Ave.  
Los Alamitos, CA 90720  
562-598-1311

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos General Hospital  
3571 Katella Ave  
Los Alamitos, CA 90720  
562-598-1311

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way # 300  
Sacramento, CA 95825

April 6, 2015

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President  
Huntington Ambulance, LLC



# COUNTY OF SAN DIEGO

## EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2015

Expiration Date: July 31, 2016

Approved as: ALS   
BLS   
CCT

Authorized by:

*Marilyn Gray*  
Permit Officer, Emergency Medical Services

Permit Number: 15-119

EXHIBIT 11

## CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.



Symons Ambulance  
18592 Cajon Blvd.  
San Bernardino, CA 92407  
(909) 880-2979

June 16, 2015

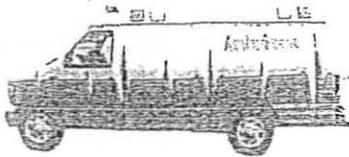
To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kurt Dye  
Operations Manager  
909-454-5093 Cell Phone  
909-880-2979



**AmbuServe**  
Ambulance



May 12, 2015

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor

Long Beach Memorial Hospital (Certified Trauma Center)  
 2801 Atlanta Ave.  
 Long Beach, CA 90806  
 562-933-2000

UC Irvine Medical Center (Certified Trauma Center)  
 101 The City Drive  
 Orange, CA 92868  
 714-456-7890

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
 Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Annual fire inspection to be completed in October. Will forward clearance at that time.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Finish Line Self Insurance Group  
 714-820-2743
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent, or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**17. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions?    Yes        No

## **EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS**

### **FIRST RESPONSE**

\*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident.

\*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

### **ON SITE EVALUATION AND JOCKEY PROTOCOL**

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

**PROCEDIMIENTOS DE EMERGENCIA MÉDICA  
PARA LESIONES A JINETES EN EL HIPODROMO  
PRIMERA RESPUESTA**

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

**EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE**

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHR.B.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.

Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

**CERTIFICATE OF CONSENT TO SELF-INSURE**

THIS IS TO CERTIFY, That  
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC, A GENERAL  
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE  
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.

(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.\*

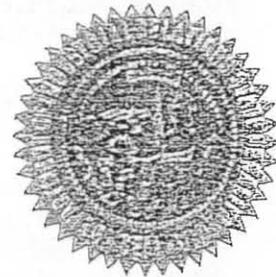
EFFECTIVE:

THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA

  
MARK T. JOHNSON, MANAGER

  
JOHN M. REA, DIRECTOR



\*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

## Handicappers

Bob's Card Inc.  
Tiffany Bohland  
6288 Highland Meadows Dr.  
Medina, OH 44256

CHRB 25-A and CHRB 87 on file

Winner's Card Corp.  
c/o Don Harris  
924 Knob Hill Ave.  
Redondo Beach, CA 90277

CHRB 25-A and CHRB 87 on file

Today's Racing Digest  
Jason Karches  
2080 Las Palmas Dr.  
Carlsbad, CA 92009

Exempt from CHRB 25A and 87

Triangle Turf Winners  
William Archer  
1 Scott St.  
Gardnerville, NY 89410

CHRB 25-A and CHRB 87 on file

## 18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: \$197,500  
Promotional/Marketing budget for prior race meeting: \$185,000
- C. Number of hosts and hostesses employed for meeting: 8
- D. Describe facilities set aside for new fans: no facilities for new fans
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit: no changes since September meeting
  1. Horsemen
  2. Fans
  3. Facilities in the restricted areas

## 19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
  - Admission (general) \$3
  - Admission (clubhouse) \$5
  - Turf club - \$10
  - Reserved seating (general) Free
  - Reserved seating (clubhouse) Free
  - Parking (general) Free
  - Parking (preferred) \$6 \$10, Premium
  - Parking (valet) n/a
  - Programs (on-track) \$2.50      (off-track) \$2.50

## 2015 Winter Meet Marketing/Promotion Ideas

### Advertising

**DRF** - \$30,000 that will include:

- **Full print advertising program,**
- On-line advertising
- **Los Alamitos Past Performances in all national DRF editions,**
  - special Pick 4 sections for major races during the meet
  - complimentary DRFs to guests in Vessels Club on special racing days.

**OC Register** - \$15,000

- Print advertisements utilizing the paper's Register Rewards program to provide ticket specials during the Winter meet.
  - Will include a fresh variety of print ads including front page strips in the sports section
  - Video ad placement on the newspaper's website.

**Long Beach Press Telegram** - \$8,000

With Los Alamitos Race Course being located in North Orange County, neighboring Long Beach is a strong and always growing market for Los Alamitos Race Course. Includes:

- e-mail marketing, (which in September 2015 generated an 11% click rate), an AdTaxi online advertising component
- Variety of main and sports section front page advertising.

**Radio** – \$10,000

Los Alamitos partners with a variety of traditional Southern California horse racing radio shows, but has also established a strong presence in some of the most popular national radio shows. This contributed to increased out of state handle of over 35 percent. Ad buy and segments on:

- **Race Day Las Vegas**
- **Steve Byk's At The Races**, which airs on **SiriusXM**
- **ESPN Deportes 1330 AM**, which promotes horse racing via ticket giveaways to the track during all of its remotes and during special on-air promotions
- **The Jim Rome Show** (New for the upcoming season)
- Pursuing new radio partners East Coast

**Television - \$22,000 (500 total spots)**

- **Time Warner** (main cable provider in OC)
  - Time Warner's **Lakers games, Prime Ticket's Ducks games, Golf Channel** and more.
  - Run of schedule advertising on **Bravo, TBS, ESPN, NFL Network, Fox,** and more.
- **Direct TV**
- **TVG**

**Online advertising – \$3,000**

Los Alamitos will purchased online banners on the popular The Paulick Report website with a strategic purchase of takeover banners and a month long banner buy, which can be changed on the fly to promote carryovers and more.

**Promotional****Saturday Handicapping Contests – \$18,000**

We will conduct a series of handicapping contest to target all types of horse players. The goals of the contest is to enhance the on-track experience. Contests will include:

- DRF/NTRA NHC Qualifiers
- “Low Rollers Handicapping Contest” modeled after popular handicapping event held in Saratoga.
- FREE Christmas Prize Bonanza handicapping contest on final Saturday with prizes that will vary from HD TVs to other popular electronics like iPads, etc.

**On-Track Promotional Giveaways – \$50,000**

The top attendance days of the five previous meets at Los Alamitos have all occurred on giveaway days. Giveaways planned are:

- **California Chrome Blanket;**
- **Los Alamitos Futurity Sweatshirt**
- **Stars of Racing magnet**
- **2016 Calendar**

**Voucher Promo and Direct Mail Piece – \$14,000**

- 10,000 Mystery Mutuel vouchers will be mailed for Starlet Day.  
Redemption rates for mystery piece are expected to be 17% to 19%.

***Fan incentive program –***

- Dollars Day
- Free Friday
- Food Trucks
- Craft Beer Court

***Win a trip to the 2016 Kentucky Derby – \$6,500***

Los Alamitos brings back this successful promotion and we will use last year's winners in promoting the trip. In 2014, nearly 3,000 people entered the contest and more than 1,500 were added to the track's database for future promotions.

***Community Outreach –***

Los Alamitos will provide local business owners with an attractive package to the race that will include complimentary Vessels Club passes and more.

**SOCIAL MEDIA AND DAILY DEALS**

Track specials on daily deal sites including:

- **Living Social**
- **Travelzoo**
- Los Alamitos and the track's Vessels Club will explore promotion with Los Angeles **BlackboardEats**, a free e-mail and website delivering exclusive deals at the best restaurants. Selections on Blackboard Eats are made by food editors and are written by food writers who enjoy the restaurants before writing the reviews. The goal is to promote the Vessels Club and the on-track experience to a new audience.

**ADW Promotional**

\$21,000 Los Alamitos has partnered with **TVG, Twin Spires, and Xpressbet** to offer various exciting promotions from TVG's popular Hit It And Split to Xpressbet's 0% Takeout Late Daily Double promotion and Twin Spires' \$10,000 Pick 5 Bonus at Los Alamitos. ADW partners promotes the Los Alamitos races with e-mail alerts, web banners, Site News and Digital Newsletters, ADW's social media outreaches and more.

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
Turf club season table - \$480 / Grandstand Season Table - \$250
- C. Describe any "package" plans such as combined parking, admission and program: None

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
 

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="60"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
		<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service - yes
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers: Female jockey quarters are segregated from the male jockey quarters and contain 5 lockers as well as its own restroom and shower facilities and its own sauna.

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 mens / 8 ladies
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1- 20

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file

- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23. DECLARATIONS

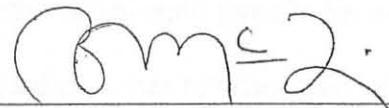
- A. - All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Not applicable
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): no exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

BRAO MCKENZIE  
Print Name

  
Signature

VP Gen Manager  
Print Title

9-22-15  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING  
THE ALLOCATION OF THE 2016 QUARTER HORSE RACE DATES

Regular Board Meeting  
October 22, 2015

ISSUE

The 2016 quarter horse race dates have not been allocated. The Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association has requested 2016 race dates for its quarter horse race meeting.

ANALYSIS

The Board at its August 20, 2015 regular meeting allocated the 2016 Northern and Southern California thoroughbred race dates. The 2016 quarter horse race dates, however, were inadvertently not allocated at the August meeting.

The Los Alamitos Quarter Horse Racing Association is requesting the allocation of quarter horse racing dates at the Los Alamitos Race Course for the inclusive period of December 26, 2015 through December 18, 2016.

The proposed actual dates of racing are:

- December 26-27
- January 1-3, 8-10, 15-17, 22-24, 29-31
- February 5-6, 12-14, 19-21, 26-28
- March 4-6, 11-13, 18-20, 25-26
- April 1-3, 8-10, 15-17, 22-24
- May 1, 6-8, 13-15, 20-22, 27-29
- June 3-5, 10-12, 17, 19, 24-26
- July 1-3, 8-10, 15-17, 22-24, 29-31
- August 5-7, 12-14, 19-21, 26-28
- September 2-14, 9-11, 16-18, 23-25, 30
- October 1, 2, 7-9, 14-16, 21-23, 28-30
- November 4-6, 11-13, 18-20, 25-17
- December 2-4, 9-11, 16-18

The proposed race dates represent approximately 153 days of quarter horse racing.

RECOMMENDATION:

Staff recommends the Board hear from the Los Alamitos Quarter Horse Racing Association.



Los Alamitos

QUARTER HORSE RACING ASSOCIATION

October 6, 2015

Ms. Jackie Wagner  
 Assistant Executive Director  
 CALIFORNIA HORSE RACING BOARD  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Ms. Wagner:

Horsemen's Quarter Horse Racing Association dba Los Alamitos Quarter Horse Racing Association respectfully requests the following race dates:

December 26, 2015 through December 18, 2016

Actual dates racing will be:

December 26-27, 2015 (2)

January 1-3,8-10,15-17,22-24,29-31(15) July 1-3,8-10,15-17, 22-24, 29-31(15)

February 5-6, 12-14, 19-21, 26-28 (11) August 5-7, 12-14, 19-21, 26-28 (12)

March 4-6,11-13,18-20,25-26 (11) September 2-4, 9-11, 16-18, 23-25, 30 (13)

April 1-3,8-10,15-17,22-24, 29,30(14) October 1, 2,7-9,14-16,21-23,28-30 14)

May 1, 6-8, 13-15, 20-22, 27-29 (13) November 4-6, 11-13, 18-20, 25-27 (12)

June 3-5, 10-12, 17-19, 24-26 (12) December 2-4, 9-11, 16-18, (9)

Thank you for your assistance and consideration.

Truly yours,

Edward C. Allred  
 Chairman/CEO

STAFF ANALYSIS  
October 22, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AT LOS ALAMITOS DECEMBER 26, 2015 THROUGH DECEMBER 18, 2016.

Los Alamitos Quarter Horse Racing Association (LAQHRA) filed its application to conduct a quarter horse racing meeting at Los Alamitos December 26, 2015 through December 18, 2016, or 153 days; the same number of days as the 2015 allocated race dates. LAQHRA proposes to race a total of 1,400 races. The (estimated) average daily purse for the 2015 meet is \$94,206. The (estimated) average daily purse for this meet is \$92,533. The 2015 meet has not been completed.

- Quarter Horse Racing, Inc. (QHRI) is the sole owner and parent Company of LARA and Horsemen’s Quarter Horse Racing Association (HQHRA) d.b.a. Los Alamitos Quarter Horse Racing Association. The sole shareholder of QHRI has elected to combine the financial reporting for LARA along with QHRI, HQHRA and its subsidiaries. The financial statements submitted include accounts of QHRI, HQHRA, LARA and their subsidiaries.
- The Board has not allocated 2016 Quarter horse race dates, consequently the race dates listed are proposed dates. The Pacific Coast Quarter Horse Racing Association is in agreement with the proposed race dates submitted by the LAQHRA.

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing 3 nights per week, Friday through Sunday, except Sunday February 7, 2016, and Sunday March 27, 2016. Seven to 15 live races per night and 8 to 16 simulcast races.
- First live post 7:00 p.m. Friday; 6:30 p.m. Saturday; 5:00 p.m. Sunday.
  - First live post 6:30 p.m. Sunday when racing concurrently with Del Mar or Santa Anita.
- Wagering program will use CHRB rules.
  - Early wagering will start at 9:30 a.m. all live race days.
- Advance Deposit Wagering (ADW) provider is TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- A copy of the license agreement between ODS Technologies, L.P. dba TVG Network and Los Alamitos Quarter Horse Racing Association has been provided to CHRB.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Juan Garcia be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Fire Clearance

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the allocation of the 2016 race dates and submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Cathy Monji  
 Horsemen's Quarter Horse Racing Assoc. dba Los Alamitos Quarter Horse Racing Assoc.  
 4961 Katella Ave  
 Los Alamitos, CA 90720  
 714-820-2800 main 714-820-2820 fax
- A. Breed of horse: TB QH-X H
- C. Racetrack name: Los Alamitos Race Course
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. See attached
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
 Yes-X No  
 If no, attach a surety bond in the amount of one hundred thousand dollars.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**notice to applicant:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION

Application received: 9/18/15  
 Deposit received: \$10K  
 Reviewed: LH

Hearing date: 10/22/15  
 Approved date:  
 License number:

## 2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: December 26, 2015-December 18, 2016
- B. Actual dates racing will be held:  
 December 26-27, 2015 (2)  
 January 1-3, 8-10, 15-17, 22-24, 29-31 (15) July 1-3, 8-10, 15-17, 22-24, 29-31 (15)  
 February 5-6, 12-14, 19-21, 26-28 (11) August 5-7, 12-14, 19-21, 26-28 (12)  
 March 4-6, 11-13, 18-20, 25-26 (11) September 2-4, 9-11, 16-18, 23-25, 30 (13)  
 April 1-3, 8-10, 15-17, 22-24, 29, 30 (14) October 1, 2, 7-9, 14-16, 21-23, 28-30 (14)  
 May 1, 6-8, 13-15, 20-22, 27-29 (13) November 4-6, 11-13, 18-20, 25-27 (12)  
 June 3-5, 10-12, 17-19, 24-26 (12) December 2-4, 9-11, 16-18, (9)
- C. Total number of days or nights of racing: 153
- D. Days or nights of the week races will be held:  
                     Wed - Sun                      Tues - Sat                      Other (specify)-X Fri-Sun
- E. Number of days or nights of racing per week: 3 nights per week except Sunday February 7, 2016 and Sunday March 27, 2016.

## 3. RACING PROGRAM

- A. Total number of races: 1,400 live and 1,800 simulcast = 3,200
- B. Number of races for each day or night: 7-15 Live, 8-16 incoming simulcast
- C. Total number of stakes races: 70
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. See Attached
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. See Attached
  2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).  
 Added Robert Zane Adair Stakes

2014			
Date	EVENT	Distance	
SUNDAY	HOLIDAY HANDICAP		\$20,000
29-Dec-13	TWO YEAR OLDS	350 YARDS	
SUNDAY	CHARGER BAR HANDICAP		\$100,000
5-Jan-14	MARES, FOUR YEAR OLDS AND UP	400 YARDS	
SUNDAY	BARBARA B HANDICAP		\$20,000
12-Jan-14	FOUR YEAR OLDS AND UPWARD	550 YARDS	
FRIDAY	CYPRESS HANDICAP		\$25,000
24-Jan-14	FOUR YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LOS ALAMITOS WINTER DERBY		\$200,000 Projected
15-Feb-14	THREE YEAR OLDS		\$100,000 Added
	TRIALS SATURDAY, JANUARY 25, 2014	400 YARDS	
SUNDAY	LOS ALAMITOS WINTER		\$200,000 Projected
16-Feb-14	FOUR YEAR OLDS AND UPWARD		\$100,000 Added
	TRIALS SUNDAY, JANUARY 26, 2014	400 YARDS	
SATURDAY	DENIM N DIAMONDS HANDICAP		\$25,000
22-Feb-14	MARES, FOUR YEAR OLDS AND UP	350 YARDS	
	BRED IN CALIFORNIA		
SUNDAY	LOS ALAMITOS MAIDEN STAKES		\$120,000 Projected
23-Feb-14	THREE YEAR OLDS		\$25,000 Added
	TRIALS SATURDAY, FEBRUARY 1, 2014	350 YARDS	
SUNDAY	KATELLA HANDICAP		\$25,000
23-Mar-14	FOUR YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LA PRIMERA DEL ANO DERBY		\$200,000 Projected
5-Apr-14	FILLIES, THREE YEAR OLDS		\$35,000 Added
	TRIALS SUNDAY, MARCH 16, 2015	400 YARDS	
SUNDAY	EL PRIMERO DEL ANO DERBY		\$200,000 Projected
6-Apr-14	COLTS AND GELDINGS, THREE YEAR OLDS		\$35,000 Added
	TRIALS SUNDAY, MARCH 16, 2015	400 YARDS	
SUNDAY	JAMES S SMITH MEMORIAL HANDICAP		\$20,000
13-Apr-14	THREE YEAR OLDS	350 YARDS	
SATURDAY	KAWEAH BAR HANDICAP		\$25,000
3-May-14	THREE YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LA PACIFICA HANDICAP		\$25,000
10-May-14	FILLIES, THREE YEAR OLDS	350 YARDS	
SUNDAY	MISS PRINCESS HANDICAP		\$25,000
11-May-14	FILLIES AND MARES	350 YARDS	
	THREE YEAR OLDS AND UPWARD		
SATURDAY	DILLINGHAM HANDICAP		\$25,000
17-May-14	THREE YEAR OLDS	400 YARDS	
SUNDAY	KINDERGARTEN FUTURITY		\$300,000 Projected
18-May-14	TWO YEAR OLDS		\$40,000 Added
	TRIALS SUNDAY, MAY 4, 2014	300 YARDS	
SATURDAY	LOS ALAMITOS CLAIMING FUTURITY		\$75,000 Projected
14-Jun-14	TWO YEAR OLDS		\$25,000 Added
	TRIALS SUNDAY, MAY 25, 2014	350 YARDS	

2015			
Date	EVENT	Distance	Purse
SUNDAY	HOLIDAY HANDICAP		\$20,000
28-Dec-14	TWO YEAR OLDS	350 YARDS	
SUNDAY	CHARGER BAR HANDICAP		\$100,000
4-Jan-15	MARES, FOUR YEAR OLDS AND UP	400 YARDS	
SUNDAY	BARBARA B HANDICAP		\$20,000
11-Jan-15	FOUR YEAR OLDS AND UPWARD	550 YARDS	
FRIDAY	CYPRESS HANDICAP		\$25,000
23-Jan-15	FOUR YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LOS ALAMITOS WINTER DERBY		\$200,000 Projected
14-Feb-15	THREE YEAR OLDS		\$100,000 Added
	TRIALS SATURDAY, JANUARY 24, 2014	400 YARDS	
SUNDAY	LOS ALAMITOS WINTER		\$200,000 Projected
15-Feb-15	FOUR YEAR OLDS AND UPWARD		\$100,000 Added
	TRIALS SUNDAY, JANUARY 25, 2015	400 YARDS	
SATURDAY	DENIM N DIAMONDS HANDICAP		\$25,000
21-Feb-15	MARES, FOUR YEAR OLDS AND UP	350 YARDS	
	BRED IN CALIFORNIA		
SUNDAY	LOS ALAMITOS MAIDEN STAKES		\$120,000 Projected
22-Feb-15	THREE YEAR OLDS		\$25,000 Added
	TRIALS SATURDAY, JANUARY 31, 2015	350 YARDS	
SUNDAY	KATELLA HANDICAP		\$25,000
22-Mar-15	FOUR YEAR OLDS AND UPWARD	350 YARDS	
FRIDAY	LA PRIMERA DEL ANO DERBY		\$225,000 Projected
3-Apr-15	FILLIES, THREE YEAR OLDS		\$35,000 Added
	TRIALS SATURDAY, MARCH 14, 2015	400 YARDS	
SATURDAY	EL PRIMERO DEL ANO DERBY		\$200,000 Projected
4-Apr-15	COLTS AND GELDINGS, THREE YEAR OLDS		\$35,000 Added
	TRIALS SUNDAY, MARCH 15, 2015	400 YARDS	
SUNDAY	JAMES S SMITH MEMORIAL HANDICAP		\$20,000
12-Apr-15	THREE YEAR OLDS	350 YARDS	
SUNDAY	WHEATLAND HANDICAP		\$20,000
19-Apr-15	THREE YEAR OLDS AND UPWARD	870 YARDS	
SATURDAY	KAWEAH BAR HANDICAP		\$25,000
2-May-15	THREE YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LA PACIFICA HANDICAP		\$25,000
9-May-15	FILLIES, THREE YEAR OLDS	350 YARDS	
SUNDAY	MISS PRINCESS HANDICAP		\$25,000
10-May-15	FILLIES AND MARES	350 YARDS	
	THREE YEAR OLDS AND UPWARD		
SATURDAY	DILLINGHAM HANDICAP		\$25,000
16-May-15	THREE YEAR OLDS	400 YARDS	
SUNDAY	KINDERGARTEN FUTURITY		\$275,000 Projected
17-May-15	TWO YEAR OLDS		
	TRIALS SUNDAY, MAY 3, 2015	300 YARDS	

Previously run in August

2016			
Date	EVENT	Distance	Purse
SUNDAY	HOLIDAY HANDICAP		\$20,000
27-Dec-15	TWO YEAR OLDS	350 YARDS	
SUNDAY	CHARGER BAR HANDICAP		\$100,000
3-Jan-16	MARES, FOUR YEAR OLDS AND UP	400 YARDS	
SUNDAY	BARBARA B HANDICAP		\$20,000
10-Jan-16	FOUR YEAR OLDS AND UPWARD	550 YARDS	
FRIDAY	CYPRESS HANDICAP		\$25,000
17-Jan-16	FOUR YEAR OLDS AND UPWARD	350 YARDS	
SATURDAY	LOS ALAMITOS WINTER DERBY		\$200,000 Projected
13-Feb-16	THREE YEAR OLDS		\$100,000 Added
	TRIALS, SATURDAY, JANUARY 23, 2016	400 YARDS	
SUNDAY	LOS ALAMITOS WINTER CHAMPIONSHIP		\$200,000 Projected
14-Feb-16	FOUR YEAR OLDS AND UPWARD		\$100,000 Added
	TRIALS SUNDAY, JANUARY 24, 2016	400 YARDS	
SATURDAY	DENIM N DIAMONDS HANDICAP		\$25,000
20-Feb-16	MARES, FOUR YEAR OLDS AND UPW	350 YARDS	
	BRED IN CALIFORNIA		
SUNDAY	LOS ALAMITOS MAIDEN STAKES		\$120,000 Projected
21-Feb-15	THREE YEAR OLDS		\$25,000 Added
	TRIALS, SATURDAY, JANUARY 30, 2015	350 YARDS	
Now Robert Zane Adair			
SATURDAY	LA PRIMERA DEL ANO DERBY		\$225,000 Projected
2-Apr-16	FILLIES, THREE YEAR OLDS		\$35,000 Added
	TRIALS SATURDAY, MARCH 12, 2016	400 YARDS	
SUNDAY	EL PRIMERO DEL ANO DERBY		\$200,000 Projected
3-Apr-16	COLTS AND GELDINGS, THREE YEAR OLDS		\$35,000 Added
	TRIALS SUNDAY, MARCH 13, 2016	400 YARDS	
SATURDAY	JAMES S SMITH MEMORIAL HANDICAP		\$20,000
9-Apr-16	THREE YEAR OLDS	350 YARDS	
SUNDAY	ROBERT ZANE ADAIR HANDICAP		\$60,000
10-Apr-16	FOUR YEAR OLDS AND UPWARD	350 YARDS	
SUNDAY	WHEATLAND HANDICAP		\$20,000
17-Apr-16	THREE YEAR OLDS AND UPWARD	870 YARDS	
	QUARTER HORSES AND THOROUGHBREDS		
SUNDAY	KAWEAH BAR HANDICAP		\$25,000
8-May-16	THREE YEAR OLDS AND UPWARD	350 YARDS	
FRIDAY	LA PACIFICA HANDICAP		\$25,000
13-May-16	FILLIES, THREE YEAR OLDS	350 YARDS	
SUNDAY	MISS PRINCESS HANDICAP		\$25,000
15-May-15	FILLIES AND MARES	350 YARDS	
	THREE YEAR OLDS AND UPWARD		
SATURDAY	DILLINGHAM HANDICAP		\$25,000
7-May-16	THREE YEAR OLDS	400 YARDS	
SUNDAY	KINDERGARTEN FUTURITY		\$275,000 Projected
15-May-16	TWO YEAR OLDS		\$40,000 Added
	TRIALS, SUNDAY, MAY 1, 2016	300 YARDS	
SATURDAY	GOLDEN STATE DERBY		\$200,000 Projected
11-Jun-16	THREE YEAR OLDS		\$50,000 Added
	TRIALS TO BE RUN, SUNDAY, MAY 22 400 YARDS		

SATURDAY	IVAN ASHMENT HANDICAP		\$25,000	SATURDAY	IVAN ASHMENT HANDICAP		\$25,000	SATURDAY	IVAN ASHMENT HANDICAP		\$25,000
21-Jun-14	FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		20-Jun-15	FILLIES AND MARES, THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		18-Jun-16	FILLIES AND MARES, THREE YEAR OLDS AND UP BRED IN CALIFORNIA	400 YARDS	
SUNDAY	ED BURKE MILLION FUTURITY		\$1,000,000 Projected	SUNDAY	ED BURKE MILLION FUTURITY		\$1,000,000 Projected	SUNDAY	ED BURKE MILLION FUTURITY		\$1,000,000 Projected
22-Jun-14	TWO YEAR OLDS TRIALS SUNDAY, JUNE 8, 2014	350 YARDS	\$250,000 Added	21-Jun-15	TWO YEAR OLDS TRIALS SUNDAY, JUNE 7, 2015	350 YARDS		19-Jun-16	TWO YEAR OLDS TRIALS TO BE RUN SUNDAY, JUNE 6, 2016	350 YARDS	\$250,000 Added
SUNDAY	ED BURKE JUVENILE		\$30,000	SUNDAY	ED BURKE JUVENILE		\$30,000	SUNDAY	ED BURKE JUVENILE		\$30,000
22-Jun-14	TWO YEAR OLDS	350 YARDS		21-Jun-15	TWO YEAR OLDS	350 YARDS		19-Jun-16	TWO YEAR OLDS	350 YARDS	
SUNDAY	VANDYS FLASH HANDICAP		\$25,000	SUNDAY	VANDYS FLASH HANDICAP		\$25,000	SUNDAY	VANDYS FLASH HANDICAP		\$25,000
29-Jun-14	THREE YEAR OLDS	400 YARDS		28-Jun-15	THREE YEAR OLDS	400 YARDS		26-Jun-16	THREE YEAR OLDS	400 YARDS	
SATURDAY	INDEPENDENCE DAY HANDICAP		\$25,000	FRIDAY	INDEPENDENCE DAY HANDICAP		\$25,000	SATURDAY	INDEPENDENCE DAY HANDICAP		\$25,000
5-Jul-14	THREE YEAR OLDS AND UPWARD	300 YARDS		3-Jul-15	THREE YEAR OLDS AND UPWARD	300 YARDS		2-Jul-16	THREE YEAR OLDS AND UPWARD	300 YARDS	
SUNDAY	VESSELS MATURITY		\$200,000 Projected	SUNDAY	VESSELS MATURITY		\$100,000 Added	SUNDAY	VESSELS MATURITY		\$175,000 Projected
6-Jul-14	FOUR YEAR OLDS AND UPWARD TRIALS SUNDAY, JUNE 15, 2014	400 YARDS	\$100,000 Added	5-Jul-15	FOUR YEAR OLDS AND UPWARD TRIALS SUNDAY, JUNE 14, 2015	400 YARDS	\$100,000 Added	3-Jul-16	FOUR YEAR OLDS AND UPWARD TRIALS SUNDAY, JUNE 12, 2016	400 YARDS	\$100,000 Added
SATURDAY	GOVERNOR'S CUP FUTURITY		\$425,000 Projected	SATURDAY	GOVERNOR'S CUP FUTURITY		\$425,000 Projected	SATURDAY	GOVERNOR'S CUP FUTURITY		\$425,000 Projected
26-Jul-14	TWO YEAR OLDS BRED IN CALIFORNIA TRIALS SATURDAY, JULY 12, 2014	350 YARDS	\$125,000 Added	25-Jul-15	TWO YEAR OLDS BRED IN CALIFORNIA TRIALS SATURDAY, JULY 11, 2015	350 YARDS	\$125,000 Added	30-Jul-16	TWO YEAR OLDS BRED IN CALIFORNIA TRIALS TO BE RUN SATURDAY, JULY 9, 2016	350 YARDS	\$125,000 Added
SATURDAY	GOVERNOR'S CUP DERBY		\$225,000 Projected	SATURDAY	GOVERNOR'S CUP DERBY		\$225,000 Projected	SATURDAY	GOVERNOR'S CUP DERBY		\$225,000 Projected
26-Jul-14	THREE YEAR OLDS BRED IN CALIFORNIA TRIALS SUNDAY, JULY 13, 2014	400 YARDS	\$100,000 Added	25-Jul-15	THREE YEAR OLDS BRED IN CALIFORNIA TRIALS SUNDAY, JULY 12, 2015	400 YARDS	\$100,000 Added	30-Jul-16	THREE YEAR OLDS BRED IN CALIFORNIA TRIALS SUNDAY, JULY 10, 2016	400 YARDS	\$100,000 Added
SATURDAY	SPENCER L CHILDERS		\$100,000	SATURDAY	SPENCER L CHILDERS		\$100,000	SATURDAY	SPENCER L CHILDERS		\$100,000
26-Jul-14	CALIFORNIA BREEDERS CHAMPION\$ THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		25-Jul-15	CALIFORNIA BREEDERS CHAMPION\$ THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		30-Jul-16	CALIFORNIA BREEDERS CHAMPION\$ THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS	
SATURDAY	CALIFORNIA BREEDERS MATRON STAKES		\$50,000	SATURDAY	CALIFORNIA BREEDERS MATRON STAKES		\$40,000	SATURDAY	CALIFORNIA BREEDERS MATRON STAKES		\$40,000
26-Jul-14	FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		25-Jul-15	FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS		30-Jul-16	FILLIES AND MARES THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	400 YARDS	
SATURDAY	CALIFORNIA BREEDERS FRESHMAN		\$25,000	SATURDAY	CALIFORNIA BREEDERS FRESHMAN		\$25,000	SATURDAY	CALIFORNIA BREEDERS FRESHMAN		\$25,000
26-Jul-14	FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS		25-Jul-15	FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS		30-Jul-16	FILLIES STAKES FILLIES, TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS	
SATURDAY	CALIFORNIA BREEDERS FRESHMAN STAKES		\$25,000	SATURDAY	CALIFORNIA BREEDERS FRESHMAN STAKES		\$25,000	SATURDAY	CALIFORNIA BREEDERS FRESHMAN STAKES		\$25,000
26-Jul-14	TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS		25-Jul-15	TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS		30-Jul-16	TWO YEAR OLDS BRED IN CALIFORNIA	350 YARDS	
SATURDAY	CALIFORNIA BREEDERS DEBUTANTE STAKES		\$25,000	SATURDAY	CALIFORNIA BREEDERS DEBUTANTE STAKES		\$25,000	SATURDAY	CALIFORNIA BREEDERS DEBUTANTE STAKES		\$25,000
26-Jul-14	FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS		25-Jul-15	FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS		30-Jul-16	FILLIES, THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS	
SATURDAY	JENS L LIST JR MEMORI		\$25,000	SATURDAY	JENS L LIST JR MEMORI		\$25,000	SATURDAY	JENS L LIST JR MEMORI		\$25,000
26-Jul-14	CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	400 YARDS		25-Jul-15	CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	400 YARDS		30-Jul-16	CALIFORNIA BREEDERS STAKES THREE YEAR OLDS BRED IN CALIFORNIA	400 YARDS	
SATURDAY	CALIFORNIA BREEDERS 550 SOPHOMORE STAKE		\$20,000	SATURDAY	CALIFORNIA BREEDERS 550 SOPHOMORE STAKE		\$20,000	SATURDAY	CALIFORNIA BREEDERS 550 SOPHOMORE STAKE		\$20,000
26-Jul-14	THREE YEAR OLDS BRED IN CALIFORNIA	550 YARDS		25-Jul-15	THREE YEAR OLDS BRED IN CALIFORNIA	550 YARDS		30-Jul-16	THREE YEAR OLDS BRED IN CALIFORNIA	550 YARDS	
SATURDAY	\$5,000 SOPHOMORE CLAIMING STAKES		\$15,000	SATURDAY	\$5,000 SOPHOMORE CLAIMING STAKES		\$15,000	SATURDAY	\$5,000 SOPHOMORE CLAIMING STAKES		\$15,000
26-Jul-14	THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	350 YARDS		25-Jul-15	THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	350 YARDS		30-Jul-16	THREE YEAR OLDS BRED IN CALIFORNIA CLAIMING PRICE \$5,000	350 YARDS	
SATURDAY	\$10,000 SOPHOMORE CLAIMING STAKES		\$17,500	SATURDAY	\$10,000 SOPHOMORE CLAIMING STAKES		\$17,500	SATURDAY	\$10,000 SOPHOMORE CLAIMING STAKES		\$17,500
26-Jul-14	THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS		25-Jul-15	THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS		30-Jul-16	THREE YEAR OLDS BRED IN CALIFORNIA	350 YARDS	

CLAIMING PRICE \$10,000				CLAIMING PRICE \$10,000				CLAIMING PRICE \$10,000			
SATURDAY	\$5,000 SENIOR CLAIMING STAKES 26-Jul-14 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	400 YARDS	\$15,000	SATURDAY	\$5,000 SENIOR CLAIMING STAKES 25-Jul-15 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	400 YARDS	\$15,000	SATURDAY	\$5,000 SENIOR CLAIMING STAKES 30-Jul-16 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$5,000	400 YARDS	\$15,000
SATURDAY	\$10,000 SENIOR CLAIMING STAKES 26-Jul-14 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	400 YARDS	\$17,500	SATURDAY	\$10,000 SENIOR CLAIMING STAKES 25-Jul-15 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	400 YARDS	\$17,500	SATURDAY	\$10,000 SENIOR CLAIMING STAKES 30-Jul-16 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA CLAIMING PRICE \$10,000	400 YARDS	\$17,500
SATURDAY	CALIFORNIA BREEDERS SPRINT STAKES 26-Jul-14 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	350 YARDS	\$25,000	SATURDAY	CALIFORNIA BREEDERS SPRINT STAKES 25-Jul-15 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	350 YARDS	\$25,000	SATURDAY	CALIFORNIA BREEDERS SPRINT STAKES 30-Jul-16 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	350 YARDS	\$25,000
				SATURDAY	SIGN OF LANTY HANDICAP 25-Jul-15 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA	870 YARDS	\$25,000	SATURDAY	SIGN OF LANTY HANDICAP 30-Jul-16 THREE YEAR OLDS AND UPWARD BRED IN CALIFORNIA QUARTER HORSES AND THOROUGHBREDS	870 YARDS	\$25,000
				FRIDAY	TRULY A PLEASURE HANDICAP 14-Aug-15 THREE YEAR OLDS AND UPWARD	870 YARDS	\$20,000	FRIDAY	TRULY A PLEASURE HANDICAP 12-Aug-16 THREE YEAR OLDS AND UPWARD QUARTER HORSES AND THOROUGHBREDS	870 YARDS	\$20,000
SATURDAY	MERIAL CALIFORNIA REGIONAL 16-Aug-14 DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS FRIDAY, AUGUST 1, 2014	400 YARDS	\$20,000 Added \$7,500 Added + \$12,500 B of A	SATURDAY	MERIAL CALIFORNIA REGIONAL 15-Aug-15 DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS FRIDAY, JULY 31, 2015	400 YARDS	\$20,000 Added \$7,500 Added + \$12,500 B of A Racin	SATURDAY	MERIAL CALIFORNIA REGIONAL 13-Aug-16 DISTAFF CHALLENGE FILLIES AND MARES THREE YEAR OLDS AND UPWARD TRIALS FRIDAY, JULY 29, 2016	400 YARDS	\$20,000 Added \$7,500 Added + \$12,500 B
SUNDAY	BANK OF AMERICA 8/17/2014 CALIFORNIA REGIONAL \$15,000 Added + \$55,000 B of A Racing Challenge THREE YEAR OLDS AND UPWARD TRIALS SATURDAY, AUGUST 2, 2014	440 YARDS	\$70,000 Added	SUNDAY	-42 BANK OF AMERICA 16-Aug-15 CALIFORNIA REGIONAL \$15,000 Added + \$55,000 B of A Racing Challenge THREE YEAR OLDS AND UPWARD TRIALS SATURDAY, AUGUST 1, 2015	440 YARDS	\$70,000 Added	SUNDAY	BANK OF AMERICA 14-Aug-16 CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS SATURDAY, JULY 23, 2016	440 YARDS	\$70,000 Added \$15,000 Added + \$55,000
FRIDAY	ADEQUAN CALIFORNIA REGIONAL 22-Aug-14 DERBY CHALLENGE THREE YEAR OLDS TRIALS SUNDAY, AUGUST 3, 2014	400 YARDS	\$30,000 Added \$7,500 Added + \$22,500 B of A	FRIDAY	ADEQUAN CALIFORNIA REGIONAL 21-Aug-15 DERBY CHALLENGE THREE YEAR OLDS TRIALS SUNDAY, AUGUST 2, 2015	400 YARDS	\$30,000 Added \$7,500 Added + \$22,500 B of A Rac	SATURDAY	ADEQUAN CALIFORNIA REGIONAL 20-Aug-16 DERBY CHALLENGE THREE YEAR OLDS TRIALS SUNDAY JULY 31, 2016	400 YARDS	\$30,000 Added \$7,500 Added + \$22,500 B
SATURDAY	JOHN DEERE JUVENILE CALIFORNIA REGIONAL 23-Aug-14 TWO YEAR OLDS TRIALS SATURDAY, AUGUST 9, 2014	350 YARDS	\$25,000 Added \$7,500 Added + \$17,500 B of A Racing Challen	SATURDAY	JOHN DEERE JUVENILE CALIFORNIA REGIONAL 22-Aug-15 TWO YEAR OLDS TRIALS SATURDAY, AUGUST 8, 2015	350 YARDS	\$25,000 Added	SUNDAY	JOHN DEERE JUVENILE CALIFORNIA REGIONAL 21-Aug-16 CHALLENGE TWO YEAR OLDS TRIALS SUNDAY, AUGUST 7, 2016	350 YARDS	\$25,000 Added \$7,500 Added + \$17,500 B
SATURDAY	ZOETIS STARTER ALLOWANCE 23-Aug-14 CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS , FRIDAY, AUGUST 8, 2014	350 YARDS	\$15,000 Added \$5,000 Added + \$10,000 B of A	SATURDAY	ZOETIS STARTER ALLOWANCE 22-Aug-15 CALIFORNIA REGIONAL CHALLENGE THREE YEAR OLDS AND UPWARD TRIALS , FRIDAY, AUGUST 7, 2015	350 YARDS	\$15,000 Added \$5,000 Added + \$10,000 B of A Racing Challenge		Cancelled by AQHA		
SUNDAY	GOLDEN STATE DERBY 24-Aug-14 THREE YEAR OLDS TRIALS SUNDAY, AUGUST 10, 2014	400 YARDS	\$200,000 Projected \$50,000 Added	SUNDAY	GOLDEN STATE DERBY 23-Aug-15 THREE YEAR OLDS TRIALS SUNDAY, AUGUST 9, 2015	400 YARDS	\$200,000 Projected \$50,000 Added		Now run on June 11		
SUNDAY	PAT HYLAND MEMORIAL HANDICAP 31-Aug-14 TWO YEAR OLDS	350 YARDS	\$25,000	SUNDAY	PAT HYLAND MEMORIAL HANDICAP 30-Aug-15 TWO YEAR OLDS	350 YARDS	\$25,000	SUNDAY	PAT HYLAND MEMORIAL HANDICAP 20-Aug-16 TWO YEAR OLDS	350 YARDS	\$25,000
SATURDAY	THE PLAN OVERNIGHT HANDICAP 6-Sep-14 TWO YEAR OLDS	350 YARDS	\$15,000	SUNDAY	SGT PEPPER FEATURE HANDICAP 6-Sep-15 THREE YEAR OLDS	350 YARDS	\$25,000	SATURDAY	SGT PEPPER FEATURE HANDICAP 3-Sep-16 THREE YEAR OLDS	350 YARDS	\$25,000
SATURDAY	HE FLYS OVERNIGHT HANDICAP 6-Sep-14 THREE YEAR OLDS AND UPWARD	350 YARDS	\$15,000	FRIDAY	THE PLAN OVERNIGHT HANDICAP 11-Sep-15 TWO YEAR OLDS	350 YARDS	\$15,000	FRIDAY	THE PLAN OVERNIGHT HANDICAP 9-Sep-16 TWO YEAR OLDS	350 YARDS	\$15,000
SUNDAY	SGT PEPPER FEATURE HANDICAP 7-Sep-14 THREE YEAR OLDS	350 YARDS	\$25,000	FRIDAY	HE FLYS OVERNIGHT HANDICAP 11-Sep-15 THREE YEAR OLDS AND UPWARD	350 YARDS	\$15,000	FRIDAY	HE FLYS OVERNIGHT HANDICAP 9-Sep-16 THREE YEAR OLDS AND UPWARD	350 YARDS	\$15,000
SATURDAY	GO MAN GO HANDICAP 20-Sep-14 THREE YEAR OLDS AND UPWARD	400 YARDS	\$100,000	SATURDAY	GO MAN GO HANDICAP 19-Sep-15 THREE YEAR OLDS AND UPWARD	400 YARDS	\$100,000	SUNDAY	GO MAN GO HANDICAP 4-Sep-16 THREE YEAR OLDS AND UPWARD	400 YARDS	\$100,000
SUNDAY	MILDRED N VESSELS MEMORIAL HANDICAP 28-Sep-14 FILLIES AND MARES	400 YARDS	\$100,000	SUNDAY	MILDRED N VESSELS MEMORIAL HANDICAP 27-Sep-15 FILLIES AND MARES	400 YARDS	\$100,000	SUNDAY	MILDRED N VESSELS MEMORIAL HANDICAP 25-Sep-16 FILLIES AND MARES	400 YARDS	\$100,000

## THREE YEAR OLDS AND UPWARD

FRIDAY	PCQHRA BREEDERS DERBY 3-Oct-14 THREE YEAR OLDS TRIALS SATURDAY, SEPTEMBER 13, 400 YARDS	\$200,000 Projected \$50,000 Added
FRIDAY	BLANE SCHVANEVELDT HANDICAP 3-Oct-14 THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
FRIDAY	KIP DIDERICKSEN OVERNIGHT HANDICAP 3-Oct-14 THREE YEAR OLDS AND UPWARD 350 YARDS	\$15,000
SATURDAY	PCQHRA BREEDERS FUTURITY 4-Oct-14 TWO YEAR OLDS TRIALS SUNDAY, SEPTEMBER 14, 20 350 YARDS	\$400,000 Projected \$50,000 Added
SATURDAY	AUTUMN HANDICAP 11-Oct-14 TWO YEAR OLDS 350 YARDS	\$35,000
SATURDAY	ROBERT L BONIFACE LOS ALAMITOS 18-Oct-14 INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD 440 YARDS	\$150,000
FRIDAY	JOHN WARD OVERNIGHT HANDICAP 24-Oct-14 THREE YEAR OLDS AND UPWARD 400 YARDS	\$15,000
SUNDAY	LOS ALAMITOS WILD WEST FUTURITY 26-Oct-14 TWO YEAR OLDS TRIALS SUNDAY OCTOBER 5, 2014 350 YARDS	\$100,000 Projected \$25,000 Added
FRIDAY	TOWN POLICY STAKES 31-Oct-14 THREE YEAR OLDS 400 YARDS	\$25,000
SATURDAY	LOS ALAMITOS SUPER DERBY 1-Nov-14 THREE YEAR OLDS TRIALS SUNDAY, OCTOBER 12, 2015 400 YARDS	\$1,000,000 Projected \$250,000 Added
SUNDAY	GOLDEN STATE MILLION FUTURITY 2-Nov-14 TWO YEAR OLDS TRIALS SUNDAY, OCTOBER 19, 2014 400 YARDS	\$1,000,000 Projected \$100,000 Added
SUNDAY	GOLDEN STATE JUVENILE 2-Nov-14 TWO YEAR OLDS 400 YARDS	\$30,000
SATURDAY	CORONA CHICK HANDICAP 15-Nov-14 TWO YEAR OLDS 400 YARDS	\$25,000
SUNDAY	Z WAYNE GRIFFIN DIRECTORS TRIAL 16-Nov-14 THREE YEAR OLDS AND UPWARD 400 YARDS TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial
SATURDAY	LAS DAMAS HANDICAP 22-Nov-14 FILLIES AND MARES THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
SATURDAY	MOUNT RUSHMORE OVE 29-Nov-14 TWO YEAR OLDS 350 YARDS	\$15,000
FRIDAY	PAUL B FORD MEMORIAL HANDICAP 12-Dec-14 THREE YEAR OLDS 550 YARDS	\$20,000
SATURDAY	CHAMPION OF CHAMPIONS 13-Dec-14 THREE YEAR OLDS AND UPWARD 440 YARDS TRIALS SUNDAY, NOVEMBER 16, 2014	\$750,000
SUNDAY	LOS ALAMITOS 2 MILLION FUTURITY 14-Dec-14 TWO YEAR OLDS TRIALS SUNDAY, NOVEMBER 23, 2014 400 YARDS	\$2,000,000 Projected \$500,000 Added

## THREE YEAR OLDS AND UPWARD

FRIDAY	PCQHRA BREEDERS DERBY 2-Oct-15 THREE YEAR OLDS TRIALS SATURDAY, SEPTEMBER 12, 400 YARDS	\$200,000 Projected \$50,000 Added
FRIDAY	BLANE SCHVANEVELDT HANDICAP 2-Oct-15 THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
FRIDAY	KIP DIDERICKSEN OVERNIGHT HANDICAP 2-Oct-15 THREE YEAR OLDS AND UPWARD 350 YARDS	\$15,000
SATURDAY	PCQHRA BREEDERS FUTURITY 3-Oct-15 TWO YEAR OLDS TRIALS SUNDAY, SEPTEMBER 13, 20 350 YARDS	\$400,000 Projected \$50,000 Added
SATURDAY	AUTUMN HANDICAP 10-Oct-15 TWO YEAR OLDS 350 YARDS	\$35,000
SATURDAY	ROBERT L BONIFACE LOS ALAMITOS 17-Oct-15 INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD 440 YARDS	\$125,000
FRIDAY	JOHN WARD OVERNIGHT HANDICAP 23-Oct-15 THREE YEAR OLDS AND UPWARD 400 YARDS	\$15,000
SUNDAY	LOS ALAMITOS WILD WEST FUTURITY 25-Oct-15 TWO YEAR OLDS TRIALS SUNDAY OCTOBER 4, 2015 350 YARDS	\$125,000 Projected \$25,000 Added
FRIDAY	TOWN POLICY STAKES 30-Oct-15 THREE YEAR OLDS 400 YARDS	\$25,000
SATURDAY	LOS ALAMITOS SUPER DERBY 31-Oct-15 THREE YEAR OLDS TRIALS SUNDAY, OCTOBER 11, 2015 400 YARDS	\$900,000 Projected \$250,000 Added
SUNDAY	GOLDEN STATE MILLION FUTURITY 1-Nov-15 TWO YEAR OLDS TRIALS SUNDAY, OCTOBER 18, 2015 400 YARDS	\$1,100,000 Projected \$100,000 Added
SUNDAY	GOLDEN STATE JUVENILE 1-Nov-15 TWO YEAR OLDS 400 YARDS	\$30,000
SATURDAY	CORONA CHICK HANDICAP 14-Nov-15 TWO YEAR OLDS 400 YARDS	\$25,000
SUNDAY	Z WAYNE GRIFFIN DIRECTORS TRIAL 15-Nov-15 THREE YEAR OLDS AND UPWARD 400 YARDS TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial
SATURDAY	LAS DAMAS HANDICAP 21-Nov-15 FILLIES AND MARES THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
SATURDAY	MOUNT RUSHMORE OVE 5-Dec-15 TWO YEAR OLDS 350 YARDS	\$15,000
SUNDAY	SNOWBOUND SUPERSTAR HANDICAP 6-Dec-15 THREE YEAR OLDS AND UPWARD 870 YARDS	\$25,000
FRIDAY	PAUL B FORD MEMORIAL HANDICAP 11-Dec-15 THREE YEAR OLDS 550 YARDS	\$20,000
SATURDAY	CHAMPION OF CHAMPIONS 12-Dec-15 THREE YEAR OLDS AND UPWARD 440 YARDS TRIALS SUNDAY, NOVEMBER 15, 2015	\$600,000
SUNDAY	LOS ALAMITOS 2 MILLION FUTURITY 13-Dec-15 TWO YEAR OLDS TRIALS SUNDAY, NOVEMBER 22, 2014 400 YARDS	\$2,000,000 Projected \$500,000 Added

## THREE YEAR OLDS AND UPWARD

FRIDAY	PCQHRA BREEDERS DERBY 30-Sep-16 THREE YEAR OLDS TRIALS SATURDAY, SEPTEMBER 10, 400 YARDS	\$200,000 Projected \$50,000 Added
FRIDAY	BLANE SCHVANEVELDT HANDICAP 30-Sep-16 THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
FRIDAY	KIP DIDERICKSEN OVERNIGHT HANDICAP 30-Sep-16 THREE YEAR OLDS AND UPWARD 350 YARDS	\$15,000
SATURDAY	PCQHRA BREEDERS FUTURITY 1-Oct-16 TWO YEAR OLDS TRIALS SUNDAY, SEPTEMBER 11, 2013 350 YARDS	\$400,000 Projected \$50,000 Added
SATURDAY	AUTUMN HANDICAP 8-Oct-16 TWO YEAR OLDS 350 YARDS	\$35,000
SATURDAY	ROBERT L BONIFACE LOS ALAMITOS 9-Oct-16 INVITATIONAL CHAMPIONSHIP THREE YEAR OLDS AND UPWARD 440 YARDS	\$125,000
SATURDAY	JOHN WARD OVERNIGHT HANDICAP 22-Oct-16 THREE YEAR OLDS AND UPWARD 400 YARDS	\$15,000
SUNDAY	LOS ALAMITOS WILD WEST FUTURITY 23-Oct-16 TWO YEAR OLDS TRIALS SUNDAY, OCTOBER 2, 2016 350 YARDS	\$125,000 Projected \$25,000 Added
	Now part of Robert Zane Adair	
SUNDAY	LOS ALAMITOS SUPER DERBY 6-Nov-16 THREE YEAR OLDS TRIALS SUNDAY, OCTOBER 15, 2016 400 YARDS	\$900,000 Projected \$250,000 Added
SUNDAY	GOLDEN STATE MILLION FUTURITY 30-Oct-16 TWO YEAR OLDS TRIALS SUNDAY, OCTOBER 16, 2016 400 YARDS	\$1,100,000 Projected \$100,000 Added
SUNDAY	GOLDEN STATE JUVENILE 30-Oct-16 TWO YEAR OLDS 400 YARDS	\$30,000
SATURDAY	CORONA CHICK HANDICAP 12-Nov-16 TWO YEAR OLDS 400 YARDS	\$25,000
SUNDAY	Z WAYNE GRIFFIN DIRECTORS TRIAL 13-Nov-16 THREE YEAR OLDS AND UPWARD 400 YARDS TRIALS FOR THE CHAMPION OF CHAMPIONS	\$15,000 per Trial
SATURDAY	LAS DAMAS HANDICAP 19-Nov-16 FILLIES AND MARES THREE YEAR OLDS AND UPWARD 400 YARDS	\$25,000
FRIDAY	MOUNT RUSHMORE OVERNIGHT HANDICAP 18-Dec-16 TWO YEAR OLDS 350 YARDS	\$15,000
SUNDAY	SNOWBOUND SUPERSTAR HANDICAP 4-Dec-16 THREE YEAR OLDS AND UPWARD 870 YARDS QUARTER HORSES AND THOROUGHBREDS	\$25,000
FRIDAY	PAUL B FORD MEMORIAL HANDICAP 9-Dec-16 THREE YEAR OLDS 550 YARDS	\$20,000
SATURDAY	CHAMPION OF CHAMPIONS 10-Dec-16 THREE YEAR OLDS AND UPWARD 440 YARDS TRIALS SUNDAY, NOVEMBER 13, 2016	\$600,000
SUNDAY	LOS ALAMITOS 2 MILLION FUTURITY 11-Dec-16 TWO YEAR OLDS TRIALS SUNDAY, NOVEMBER 20, 2014 400 YARDS	\$2,000,000 Projected \$500,000 Added

SUNDAY	LOS ALAMITOS JUVENILE INVITATIONAL	\$35,000
14-Dec-14	TWO YEAR OLDS 400 YARDS	
FRIDAY	A RANSOM HANDICAP	\$25,000
19-Dec-14	THREE YEAR OLDS 350 YARDS	
SATURDAY	FIRST DOWN DASH HANDICAP	\$35,000
20-Dec-14	THREE YEAR OLDS AND UPWARD 400 YARDS	
SUNDAY	SOUTHERN CALIFORNIA DERBY	\$200,000 Projected
21-Dec-14	THREE YEAR OLDS TRIALS SUNDAY, NOVEMBER 30, 2014 400 YARDS	\$35,000 Added

SUNDAY	LOS ALAMITOS JUVENILE INVITATIONAL	\$30,000
13-Dec-15	TWO YEAR OLDS 400 YARDS	
FRIDAY	A RANSOM HANDICAP	\$25,000
18-Dec-15	THREE YEAR OLDS 350 YARDS	
SATURDAY	FIRST DOWN DASH HANDICAP	\$35,000
19-Dec-15	THREE YEAR OLDS AND UPWARD 400 YARDS	
SUNDAY	SOUTHERN CALIFORNIA DERBY	\$200,000 Projected
20-Dec-15	THREE YEAR OLDS TRIALS SUNDAY, NOVEMBER 29, 2014 400 YARDS	\$35,000 Added

SUNDAY	LOS ALAMITOS JUVENILE INVITATIONAL	\$30,000
11-Dec-16	TWO YEAR OLDS 400 YARDS	
FRIDAY	A RANSOM HANDICAP	\$25,000
16-Dec-16	THREE YEAR OLDS 350 YARDS	
SATURDAY	FIRST DOWN DASH HANDICAP	\$35,000
17-Dec-16	THREE YEAR OLDS AND UPWARD 400 YARDS	
SUNDAY	SOUTHERN CALIFORNIA DERBY	\$200,000 Projected
18-Dec-16	THREE YEAR OLDS TRIALS SUNDAY, NOVEMBER 27, 2014 400 YARDS	\$35,000 Added

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Changed Katella Handicap to Robert Zane Adair Stakes  
 Deleted Town Policy, added money to Robert Zane Adair Stakes  
 AQHA discontinued Zoetis Starter Allowance

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes-X No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

Race	Friday	Saturday	Sunday	Sunday-Del Mar/Santa Anita
1	7:00	6:30	5:00	6:30
2	7:25	6:55	5:25	6:55
3	7:50	7:20	5:50	7:20
4	8:15	7:45	6:15	7:45
5	8:40	8:10	6:40	8:10
6	9:05	8:35	7:05	8:35
7	9:30	9:00	7:30	9:00
8	9:55	9:25	7:55	9:25
9	10:20	9:50	8:20	9:50
10	10:45	10:15	8:45	10:15
11	11:10	10:40	9:10	10:40
12	11:35	11:05	9:35	11:05
13	12:00	11:30	10:00	11:30
14		11:55	10:25	11:55
15			10:50	

notice to applicant: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)-X  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. on file

## C. corporation

1. Registered name of the corporation: Horsemen's Quarter Horse Racing Association.
2. State where incorporated: California
3. Registry or file number for the corporation: C0618259
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 

Edward C. Allred	Chairman
Cathy Monji	President/Director
Richard M. English	Chief Financial Officer/Director
John Seibly	Vice President/Director
G. Michael Lyon	Secretary
Virginia Hyland	Director Emeritus
Edward C. Allred	Director
John Andreini	Director
R. D. Hubbard	Director
Anthony Zidich	Director
Fred Scane	Director
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: Quarter Horse Racing Inc. 100%
  1. Number of outstanding shares in the corporation: 0
  2. Are the shares listed for public trading? Yes  
 No-X  
 If yes, on what exchange and how is the stock listed:
  8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Wagner, Kirkman, and Blaine
  9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: Quarter Horse Racing, Inc.
    - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes      No -X
10. Registered name of the corporation: N/A
11. State where incorporated: N/A
12. Registry or file number for the corporation: N/A
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: N/A
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A

15. Number of outstanding shares in the corporation: N/A
16. Are the shares listed for public trading? N/A Yes No  
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A

## D. llc

1. Registered name of the LLC: N/A
2. State where articles of organization are filed: N/A
3. Registry or file number for the LLC: N/A
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: N/A
5. Are the shares listed for public trading? N/A Yes No  
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: N/A
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. N/A Yes No
7. Registered name of the LLC/Corporation: N/A
8. State where articles of organization are filed: N/A
9. Registry or file number for the LLC: N/A
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: N/A
11. Are the shares listed for public trading? N/A Yes No
12. If yes, on what exchange and how the stock is listed: N/A

## E. other

1. Name(s) of partners/sole proprietor: N/A
2. If a partnership, attach partnership agreement. N/A

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

\*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

#### G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 

Dr. Allred	Chairman
Cathy Monji	President/Director
Richard M, English	Chief Financial Officer
Robert Snyder	Controller
Michael Monji	Assistant General Manager
Frank Sherren	Plant Superintendent
Alex Soltero	Chief of Security
Howard Knuchell	Director of Operations
John Ferraro	Director of Mutuels
Ed Burgart	Track Announcer
Melodie Knuchell	Director Simulcasting/Nominations
Dawn Conklin	Paymaster of Purses
Ken Walker	Director of Labor Relations
  
2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).  
 Cathy Monji, President, 4961 Katella Ave., Los Alamitos, CA 90720

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage. N/A

Yes                      No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage:  
\_\_\_\_\_ %

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. N/A

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014	225,526,240	413,174
2013	249,286,086	460,763
2012	249,548,673	495,506
2011	261,018,853	527,277
2010	262,068,119	570,583

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

- Purse distribution:

- All races other than stakes:

Current meet estimate: 2016 9,800,000

Prior meet actual: 2015 9,494,817

Average Daily Purse (7 A1 number of days):2016(153) 2015 (148)

Current meet estimate: 2016 64,052

Prior meet actual: 2015 64,154

- Overnight stakes:

Current meet estimate: N/A

Prior meet actual: N/A

Average Daily Purse (7 A2 number of days):2016(153) 2015 (148)

Current meet estimate: N/A

Prior meet actual: N/A

- 3. Non-overnight stakes:

Current meet estimate: 2016 3,307,500

Prior meet actual: 2015 3,416,275

Average Daily Purse (7 A3 number of days): 2016(153) 2015 (148)

Current meet estimate: 2016 21,618

Prior meet actual: 2015 23,083

- Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: 2016 13,017,500

Prior meet actual: 2015 12,911,092

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 2016 25.2 %

Prior meet actual: 2015 26.5 %

Average Daily Purse (7 B1 number of days):

Current meet estimate: 2016 21,618 (153)

Prior meet actual: 2015 23,083 (148)

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	2016	650,000
Prior meet actual:	2015	636,540

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization		
Current meet estimate:	2016	Prior meet actual: 2015

Total	400,000	Total	394,805
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- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate:	2016	14,157,500
Prior meet actual:	2015	13,942,437

Average Daily Purse (7 E number of days):2016(153) 2015 (148)

Current meet estimate:	2016	92,533
Prior meet actual:	2015	94,206

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	2016	12,050,000
Prior meet actual:	2015	11,763,486

Average Daily Purse (7 F number of days):2016(153) 2015 (148)

Current meet estimate:	2016	78,758
Prior meet actual:	2015	79,483

- G. Purse funds to be generated from interstate handle:

Current meet estimate:	2016	1,850,000
Prior meet actual:	2015	1,742,647

Average Daily Purse (7 G number of days):2016(153) 2015 (148)

Current meet estimate:	2016	12,092
Prior meet actual:	2015	11,775

- H. Bank and account number for the Paymaster of Purses' purse account: on file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Robert Passero, 230 Old Ranch Rd., Seal Beach, CA 90740

**notice to applicant:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income

to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1100
- B. Minimum number of stalls believed necessary for the meeting: 1100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 0
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): N/A
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

## 9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: Training hours Veterinary Services are provided by a rotation of one or more private practice veterinarians on the grounds at the opening of training hours. We have no auxiliary sites.

- Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

## 10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	<b>type of wagers</b>	<b>applicable rules</b>
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1DD, \$1PNP, \$1 TRI, \$1SF, \$1PPN	CHRB 1959, 1957, 1976.9, 1979, 1979.1, 1976.8
Race #2	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #4	\$1E, \$1PK3, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1957
Race #5	\$1E, \$1PK3, \$1TRI, \$1SF, \$2PNP6, \$1DD	CHRB 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #6	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #7	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #8	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #9	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #10	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #11	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #12	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #13	\$1E, \$1PK3, \$1TRI, \$1SF	CHRB 1959, 1977, 1979, 1979.1
Race #14	\$1E, \$1TRI, \$1SF, \$1DD	CHRB 1959, 1979, 1979.1, 1957
Race #15	\$1E, \$1TRI, \$1SF	CHRB 1959, 1979, 1979.1,

1. Late daily double will always occur in the last two races of the race card.

2. If there are not enough horses carded in a race to offer a trifecta, we will offer a \$1 quinella, CHRB 1958.

3. Late pick four will always be offered on the last four races of the race card, CHRB 1976.9.
4. Rolling pick three begins in the second race of each card and continues through the 3<sup>rd</sup> to last race of the card.
5. If a field should scratch down to 5 betting interests, LAQHRA requests administrative authority to cancel show wagering when necessary.
- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. N/A
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 18, 2016
- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? Specify days and time for "early bird" wagering: Friday, Saturday, Sunday at 9:30am
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Jeff True, contract expires 10/25/20 (with our option to extend for 5 years).
- G. List below the takeout percentage for each type of wager identified in 10A:

**Takeout percentage**  
(Example) PNP5-14%

Race #1 WPS-17.63 All Exotics 22.88  
 Race #2 WPS-17.63 All Exotics 22.88  
 Race #3 WPS-17.63 All Exotics 22.88  
 Race #4 WPS-17.63 All Exotics 22.88  
 Race #5 WPS-17.63 All Exotics 22.88  
 Race #6 WPS-17.63 All Exotics 22.88  
 Race #7 WPS-17.63 All Exotics 22.88  
 Race #8 WPS-17.63 All Exotics 22.88  
 Race #9 WPS-17.63 All Exotics 22.88  
 Race #10 WPS-17.63 All Exotics 22.88  
 Race #11 WPS-17.63 All Exotics 22.88  
 Race #12 WPS-17.63 All Exotics 22.88  
 Race #13 WPS-17.63 All Exotics 22.88  
 Race #14 WPS-17.63 All Exotics 22.88  
 Race #15 WPS-17.63 All Exotics 22.88

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## 11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
TVG
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. on file
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes                      X                      No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
SCOTWINC
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. on file
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

Alameda County Fair, Pleasanton  
Anderson  
The Jockey Club At San Mateo  
Big Fresno Fair, Fresno  
California State Fair \$ Expo, Sacramento  
Club One, Fresno  
Golden Gate Fields, Albany  
Tulare County Fair, Tulare  
Monterey County Fair, Monterey  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Stanislaus County Fair, Turlock

Southern California

Barona Casino, Lakeside  
Ventura County Fair, Ventura  
San Bernardino County Fair, Victorville  
Viejas Casino & Turf Club, Alpine  
Del Mar Thoroughbred Club, Del Mar  
Hollywood Park Casino, Inglewood  
Desert Expo Centre, Indio  
The Farmers Fair, Perris  
Antelope Valley Fair, Lancaster  
Sycuan Gaming Center, El Cajon  
National Orange Show, San Bernardino  
Fairplex Park, Pomona  
Santa Anita, Arcadia



## Pacific Coast Quarter Horse Racing Association

John Hindman  
TVG  
6701 Center Drive West  
Suite 160  
Los Angeles, CA 90045

September 21, 2015

Dear Mr. Hindman,

Pacific Coast Quarter Horse Racing Association approves the full card simulcasting of races from Los Alamitos Race Course under the Federal Interstate Horse Racing Act to the hub in Oregon operated by TVG pursuant to the terms of the agreement between O.D.S. Technologies, L.P. d/b/a TVG and Los Alamitos Race Course.

Sincerely,

A handwritten signature in dark ink, appearing to read "Dominic J. Alessio". The signature is fluid and cursive, with the first name being the most prominent.

Dominic J. Alessio  
President

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal: Mexico (including Agua Caliente and related books, Juarez and related books, and Hipodromo de las Americas and related books); and those included in E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

The Caribbean Basin (Las Caymanas Park and various books on St. Marten, Jamaica, Barbados, and Trinidad); Dominican Republic (Hipodromo V. Centenario); Canada (Assiniboia Downs, Barrie Downs, Dresden, Fraser Downs, Dawartja Downs, Elmira Raceway, Flamboro Downs, Fraser, Kawartha Downs, Marquis Downs, Queensbury Downs, Red Shores, Rideau Carlton, Hipodromo l'Hippodrome de Montreal, Calgary Stampede, Hastings Park, Northland Park, Ontario Jockey Club, Picov, Rocky Mountain Turf, Stampede Park, Western Fair, Windsor Raceway, Woodbine, Woodstock, and others); Argentina (La Plata, San Ysidro, Palermo, and related books); Austria, Belarus, Belgium, Brazil (Jockey Club and related books); Columbia, (LosComuneros); Germany, Ireland, Panama (Codere-PresidenteRemon);Russia. Grenada, United Kingdom, Uruguay (Maronas Racetrack);Venezuela (La Rinconada and related books); U.S. Virgin Islands (books);Peru, Puerto Rico (El Commandante), South Korea, South Africa.

America Tab-OR

AmWest Entertainment-KY

Arapahoe Park-CO

Arima Race Club,Trinidad

Arlington International Race Course-IL

Atlantic City Casino Assn.-NJ

Atlantis Paradise, LVDC

Avatar Ventures, LVDC

Balmoral Park-IL

BetPad, OR

Beulah Park-OH

Birmingham Race Course-AL

Blue Ribbon Downs-OK

Buffalo Trotting Association-NY

Calder Race Course-FL

Capital Sports Pty-Australia

Canterbury Park-MN

Capital District OTB-NY

Catskill Off-Track, NY

Choctaw Racing Services, OK

Churchill Downs-KY

Churchill Downs Sports Spectrum-KY

Cities of Gold, LVDC

Citizen Band Potawatomi Indian Tribe (LVDC)

Colonial Downs-VA

Columbus Races-NE

Connecticut OTB-CT

Corpus Christi Greyhound Track-TX

Cypress Bayou Casino

Dairyland Greyhound Park-WI

Day At The Track,

Delaware Park-DE

Delta Downs-LA

DGS Systems Corp, LVDC  
Dover Downs-DE  
Downs at Albuquerque-NM  
Downs at Santa Fe  
Ebet  
Ellis Park-KY  
Elite Turf Club, LVDC  
Emerald Downs, WA  
Eureka Downs, KS  
Euro Off-Track, Isle of Man  
European Wagering Services  
Evangeline Downs-LA  
Fair Grounds Racecourse-LA  
Fair Meadows, IA  
Fairmount Park-IL  
Finger Lakes Race Track-NY  
Firelake Entertainment(LVDC) OK  
Fonner Park-NE  
Foxwoods Casino (LVDC)-CT  
Freehold Raceway-NJ  
Garden State Park-NJ  
Geneva Lakes Kennel Club-WI  
Great Lakes Downs-MI  
Greentrack-AL  
Gulf Greyhound Park  
Gulfstream Park-FL  
Harrington Raceway & Simulcast Facility-DE  
Hawthorne Race Course-IL  
Hazel Park-MI  
Hialeah Park-FL  
Hinsdale Greyhound Park, NH  
Hipica De Panama-MIR  
Holiday Beach Hotel (LVDC)-NV  
Hoosier Park-IN  
Ida Racing, ID  
Indiana Downs, IN  
International All Sports, Australia  
International Racing Group, (IRG)  
Jackson Harness Raceway-MI  
Keeneland-KY  
Ladbroke at the Meadows-PA  
Lebanon Trotting Club-OH  
Lewiston OTB-ME  
Les Bois Park-ID  
Lien Games, ND  
Lodge At Belmont  
Lone Star Race Park-TX  
Louisiana Downs-LA  
Luckity  
Manor Downs-TX  
Maryland Jockey Club-MD  
Maywood Park-IL  
Meadowlands, NJ  
Megatote, LVDC  
Meskwaki Casino (LVDC)-NV  
Mobile Greyhound Park-AL

Monmouth Park-NJ  
Montana Simulcast, MT  
Monticello Raceway-NY  
Mountaineer Park-WV  
Mt. Pleasant Meadows-MI  
Multnomah Greyhound Park-OR  
Nassau Downs OTB-NY  
Nebraska State Fair Park-NE  
New Jersey Sports & Exposition  
New Mexico State Fair-NM  
New York City Off-Track Betting-NY  
New York Racing Association-NY  
Northfield Park-OH  
Northville Downs-MI  
Northville Racing Corp.-MI  
Northwest Racing Associates Ltd.(Emerald)  
Oaklawn Park-AR  
Ocean Downs-MD  
Penn National Race Course-PA  
Philadelphia Park-PA  
Phumelela Gold, South Africa  
Plainridge Racecourse-MA  
Playfair Race Course-WA  
Pojoaque Pueblo Gaming, Inc. (LVDC)-OK  
Pompano Park, FL  
Portland Meadows-OR  
Potawatomi Indian Tribe, WI  
Prairie Meadows-IA  
Premier Gateway Int.  
Premier Turf Club-ND  
Raceway Park-OH  
Racing & Gaming Services, St Kitts  
Racing2Day-FL  
Remington Park-OK  
Retama Park-TX  
Rillito Park-AZ  
River Downs-OH  
Rockingham Park-NH  
Ruidoso Downs-NM  
Running Aces Harness Park, MN  
Saddlebrook, TX  
Sam Houston Park-TX  
Sandy Downs, ID  
Saratoga Raceway-NY  
Schenectady OTB-NY  
Scioto Downs-OH  
Silks Corporation, N. Dakota Horse Park, ND  
Sol Mutuel Ltd, S. America  
Southland Greyhound Park-AR  
Spirit Mountain Casino (LVDC)-OR  
Social Gaming  
Sports Creek Raceway-MI  
Sportsman's Park-IL  
Suffolk Downs-MA  
Suffolk OTB, NY  
Sunland Park Racetrack-NM

SunRay Park and Casino-NM  
 Tampa Bay Downs-FL  
 Television Games Network-CA  
 The Downs @ Albuquerque  
 The Lodge at Belmont, NH  
 The Racing Channel,  
 The Red Mile, KY  
 The Royal Turf Club, Antigua  
 The Stables (LVDC)-OK  
 Thistledown Racing Club-OH  
 Tioga Downs, NY  
 TP Racing, LLLP (Turf Paradise)-AZ  
 TRN International, PA  
 Tucson Greyhound Park-AZ  
 Turning Stone Casino-NY  
 US Off-Track-OR  
 Valley Race Park, TX  
 Velocity  
 Vernon Downs-NY  
 Western Regional OTB-NY  
 Wichita Greyhound Park-KS  
 Will Rogers Downs-OK  
 Wonderland Greyhound Park  
 Woodlands-KS  
 Wyoming OTB-WY  
 Yonkers Raceway-NY  
 Youbet-Tote Tasmania, Australia  
 Zia Park, NM

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Bankers, Salinas	Santa Clarita Lanes
Commerce Casino, City of Commerce	Santa Maria Roadhouse
Mermaid Tavern, Thousand Oaks	Sammy's -Lake Forest
OC Tavern, San Clemente	FireHouse Restaurant-Bakersfield
Ocean's Eleven, Oceanside	Tilted Kilt, Thousand Oaks
Striders, San Diego	Striders, Norco

G. For **thoroughbred** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

**notice to applicant:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

## THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **quarter horse** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Delta Downs	April -July	Selected races
Sam Houston	June-September	Selected races
Prairie Meadows	July-September	Selected races
Remington Park	March-June	Selected races
	TBA	Full Card Remington Park Futurity (Heritage)
Ruidoso Downs	May-September	Selected races
	September 3,4,5	All American Gold Cup, Derby and Futurity
Fair Grounds	August-September	Selected races
Fair Meadows/Tulsa	May-July	Selected races
Hoosier Park	September	Selected races
Evangeline Downs	September-December	Selected races
Lone Star Park	October-December	Selected races
	TBA	Full Card Texas Classic
Canterbury Park	May-September	Selected races
Indiana Downs	May-July	Selected races
Emerald Downs	TBA	Selected races
Portland Meadows	TBA	Selected races
Zia Park	TBA	Selected races
Retama Park	TBA	Selected races

TBA

## Full Card AQHA Challenge Championships

- I. For **standardbred** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **all** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Imported	Breed of Horse	Race Dates	Number of Races to be Imported
Balmoral Standardbred	January-December	Selected races	
Maywood Standardbred	January-December	Selected races	
Meadowlands Standardbred	January - August;	Selected races	
	TBA Full Card	Meadowlands Pace	
	TBA Full Card	Cane Pace	
	TBA Full Card	Hambletonian	
Tioga Standardbred	TBA	Selected races	
Hawthorne Standardbred	TBA	Selected races	
Red Mile Standardbred	September	Selected races	
	TBA Full Card	Kentucky Futurity	
Scioto Downs Standardbred	August	Selected races	
Pompano Downs Standardbred	October	Selected races	
Dover Downs Standardbred	TBA	Selected races	
The Meadows Standardbred	TBA	Selected races	
Northfield Park Standardbred	TBA	Selected races	
Vernon Downs Standardbred	TBA	Selected races	
Mohawk Raceway Standardbred	TBA	Selected races	
	TBA Full Card	North American Cup	
Penn National Standardbred	TBA	Selected races	
Running Aces Standardbred	TBA	Selected races	
Mohawk Standardbred	TBA	Selected races	
	TBA Full Card	Breeders Crown	
Remington Park Paint	TBA	Selected races	
Emerald Downs	Tb, 4 1/2 furlong, \$5000 claimers or less	TBA	Selected
races			
Hastings	Tb, 4 1/2 furlong, \$5000 claimers or less	TBA	Selected
races			
Delta Downs	Tb, 4 1/2 furlong, \$5000 claimers or less	TBA	Selected
races			
Portland Meadows	Tb, 4 1/2 furlong, \$5000 claimers or less	TBA	Selected
races			

- K. For all racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). Agreements forwarded as needed.

notice to applicant: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
Los Alamitos Quarter Horse Charity Foundation, 4961 Katella Ave., Los Alamitos, CA 90720
- B. Names and addresses of the trustees or directors of the distributing agent:  
Robert Main 4961 Katella Ave., Los Alamitos, CA 90720  
Althea Miller 4961 Katella Ave., Los Alamitos, CA 90720  
Charles Brain 4961 Katella Ave., Los Alamitos, CA 90720
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**notice to applicant:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- |                             |                       |
|-----------------------------|-----------------------|
| Association Veterinarian(s) | Becky Fitzgerald, DVM |
| Clerk of Scales             | Glen Miki             |
| Film Specialist             | Stewards              |
| Horse Identifier            | Juan Garcia           |
| Horseshoe Inspector         | Barry Rynearson       |
| Paddock Judge               | Louie Cacioppo        |
| Patrol Judges               | Louie Cacioppo        |
| Placing Judges              | Ed Reese, Jay Conklin |
| Starter                     | Gary Brinson          |
| Timer                       | Jay Conklin           |

- B. Management officials in the racing department:
- |                            |              |
|----------------------------|--------------|
| Director of Racing         | Ron Church   |
| Racing Secretary           | Ron Church   |
| Assistant Racing Secretary | Ed Reese     |
| Paymaster of Purses        | Dawn Conklin |
- Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
Barbara Weinstein, 9582 Hamilton Ave, STE 265, Huntington Beach, CA 92646  
714 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
Plusmic Corporation USA – Bill O'Brien 12/31/16
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
Pegasus Communications - Jim Porep 12/31/17 automatically renews yearly
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
Pegasus Communications - Jim Porep 12/31/16 automatically renews yearly

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
Alex Soltero – Chief of Security 714-926-0959 cell  
714-820-2664 office
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 25
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Not used at this time.
  2. Detention Stalls: Not used at this time.
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention stall area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention stall area.

## Organizational Chart 2015

## Los Alamitos Race Course

Security Department

Chief of Security	Alex Soltero	Office (714) 820-2661 Cell (714) 926-0959
Captain of Security		
Day Shift Sergeant	Keith Hicks	Office (714) 820-2664 Cell (714) 770-1351
Night Shift Sergeant	Keith Hicks	
Day Shift Security Officers	Guillermo Chavez Jose Murillo Kenny Vu Bunthoeun Sos	Mainline Club House Desk/Dispatch Mezz Grandstand ent.
Night Shift Officers	Louie Reid Jackie Dixon Peter Scimeca Henry Chambers Gabriel Castellanos	Desk/Dispatch (Part Time) 2 / 3 days a week Mainline Mezz Paddock Club House
Stable Area Officers	Fernando Gomez Jorge Avina Jose Alvarez Timothy Ruiz Cesar Franco	Day stable Night stable Split Shift Night Receiving Barn Graveyard
Off Hour Officers	Kenneth Grant Luis Rivas Frank Wozniak	Grave Yard Split Shift Days

Stable Gate Attendants

April Dudone	Swing Gate
Ronnie White	Day West Gate
Cheri Willett	Split Shift
Arturo Sandoval	Night West Gate
Marco Avina	Grave yard Gate
Lydia Llamas	Day Gate

Total Employees: 25
---------------------

3. TCO2 Testing: Not used at this time.
  - A. Number of races to be tested, and number of horses entered in each race to be tested.
  - B. Plan for enhanced surveillance for trainers with high-test results.
  - C. Plan for detention stalls for repeat offenders.
  - D. Number of security personnel assigned to the TCO2 program.

C. Describe the electronic security system: Not used at this time.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

D. For night racing associations. Describe emergency lighting system:

## 16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

Huntington Ambulance, PO Box 145, Sunset Beach, CA 90742 562-904-1550,  
714-325-0363 cell -Stacy O'Brien

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

- C. Describe the on-track first aid facility, including equipment and medical staffing: :  
Open during live racing hours with basic first aid supplies including an Automated External Defibrillator (AED), manned by two EMT's.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Emergency Track Lighting System  
Los Alamitos Race Course

The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

April 6, 2015

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President  
Huntington Ambulance, LLC

## Los Alamitos Race Course

### EMT / Paramedic Protocols

The emergency medical services at Los Alamitos Race Course operate under the jurisdiction of the County of Orange Emergency Medical Services Dept. (OCEMS). The OCEMS supervises all paramedic services within the county as well as the licensing of all private ambulance companies.

Orange County regulations stipulate that all paramedic services within the county are provided through the Orange County Fire Authority with no licensing of any private paramedic ambulance services.

The OCEMS has reviewed and approved the protocol for handling on track accidents at Los Alamitos Race Course. This protocol calls for Huntington Ambulance EMT's to respond to any on track accident and stabilize any injured rider. At this point paramedics are called and they respond from the Orange County Fire Station #14 which is located directly adjacent to Los Alamitos Race Course.

Huntington Ambulance crews transport the injured rider to a designated area located just outside a special emergency gate built on the backstretch of the racetrack where they are met by Fire Authority paramedics. This designated area is less than 100 yards from the paramedic station.

The Orange County Fire Authority paramedics evaluate the injured rider and make the determination of whether to transport the rider to a trauma center.

This emergency protocol is in place for both morning training and evening racing accidents.

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Los Alamitos Medical Center	West Anaheim Medical Center
3751 Katella Ave	3033 West Orange Ave
Los Alamitos, CA	Anaheim, CA 92804
562-799-3212	714-827-3000

In case of a major accident/head injury they are routed to:

Long Beach Memorial	or	UCI
2801 Atlantic Ave		101 The City Dr
Long Beach, CA 90802		Orange, CA 92868
562-933-2000		714-456-7890

This will be determined by the Paramedics, not the race track or EMT's

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Same as D1
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: See attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
Allie Rodriguez/FLSIG-safety manager  
Frank Sherren-safety program director LARC
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. See attached, expires 10/15/15, in process of renewal.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
Self Insured-Finish Line Self Insurance Group, certificate # On File  
Administrator: Sig Solutions  
PO Box 905  
Seal Beach, Ca 90740
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. See attached.

F  
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H

## Finish Line Self Insurance Group, Inc.

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4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Steps Required in Reporting a Workers Compensation Accident**

- 1. Immediately Report an accident to Security AND Finish Line Self Insurance Group (714) 820-2743. You must report the incident within 24 hours.**
- 2. Obtain a treatment authorization form from the Finish Line Self Insurance Group Office or, if on a weekend, from the Security office.**
- 3. Go to the designated clinic for treatment.**  
**Healthpointe Medical Center**  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100

FINISH



## Finish Line Self Insurance Group, Inc.

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4961 Katella, Los Alamitos, CA 90720 • phone 714-820-2743 • fax 714-820-2813

### **Pasos Requeridos en Caso de un Accidente**

- 1. Inmediatamente reporten el accidente a seguridad y a la oficina de Finish Line Self Insurance Group (714) 820-2743. Tiene que ser reportado dentro de las primeras 24 horas del incidente.**
- 2. Obtengan la forma de autorización para tratamiento de la oficina de Finish Line Self Insurance Group o, en los fines de semana, de la oficina de seguridad**
- 3. Vayan a la clínica designada**  
**Healthpointe Medical Center**  
7052 Oranewood Ave, Suite 6  
Garden Grove (714) 903-1100



## ORANGE COUNTY FIRE AUTHORITY

Community Risk Reduction – Safety and Environmental Services Section / Area 1

8081 Western Av, Buena Park CA 92620 – [www.ocfa.org](http://www.ocfa.org) – (714) 527-9475 / Fax (714) 229-8801

October 15, 2014

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

Douglas A. Hartman,  
Senior Fire Prevention Specialist



**CERTIFICATE OF LIABILITY INSURANCE**

FINILIN-01 SPRZEMIELEWSKI

DATE (MM/DD/YYYY)  
9/11/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	<b>CONTACT NAME:</b> PHONE (A/C, No, Ext): (626) 799-7000		FAX (A/C, No): (626) 441-3233
	<b>E-MAIL ADDRESS:</b>		
<b>INSURED</b>  Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos, CA 90720	<b>INSURER(S) AFFORDING COVERAGE</b>		<b>NAIC #</b>
	INSURER A : New York Marine & General Ins. Co.		
	INSURER B :		
	INSURER C :		
	INSURER D :		
	INSURER E :		

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP. (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS <input type="checkbox"/> HIRED AUTOS						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB. <input type="checkbox"/> CLAIMS-MADE DED    RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	WC2015EPP00329	01/01/2015	01/01/2016	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Los Alamitos Race Course Eff. 1/1/2015

**CERTIFICATE HOLDER**

**CANCELLATION**

California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
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**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

#### 17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Centaur Hot Walkers, Inc., 5761 Ridgeview Ave., Mira Loma, CA 91752

Does the association provide its own concessions?    Yes        No

#### 18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- Attach a copy of the promotional and marketing plans for the race meeting:

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

C. Number of hosts and hostesses employed for meeting:

D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans

- Facilities in the restricted areas

## CHRB LICENSE APPLICATION

## ON TRACK ATTENDANCE/FAN DEVELOPMENT

## A. Describe any promotional plans:

1. Los Alamitos will conduct one state wide mailer featuring a mystery mutuel vouchers. The vouchers are sent to off-track players as well as on-track players. Each voucher is worth at least \$5. Vouchers in various other denominations ranging from \$10 to \$2,500 are also mailed. The tentative date for this promotion is California Breeders Champions Night in July.
2. Premium item giveaway nights will be held in February, July, September, and December. Planned giveaways include blanket in the winter, hat in July, t-shirt in September, sweatshirt and wall calendar in December of 2016.
3. Family days at Los Alamitos will be held in the summer with the running of the popular Wienerschnitzel Wiener National. One-hundred dachshunds compete for prize money and trophies. The annual event receives great pre and post event publicity on television newscasts like the top rated Channel 5 Morning News. The event annually attracts over 12,000 people, most of which get exposed to the excitement of horseracing for the first time. This event has been adopted by nearly all of the fairs conducting live racing as well as Santa Anita, Del Mar and Golden Gate Fields.
4. The Los Alamitos annual Halloween Carnival will be conducted in late fall. This event benefits a local charity, as well as the Los Alamitos Race Track Chaplaincy. A costume contest for kids and adults as well as a ton of carnival games are held in a safe, fun, and family oriented environment. This event provided first-time exposure of horseracing to hundreds of local families each year. Cash prizes are awarded.
5. Los Alamitos will partner with Living Social, Travelzoo, and Amazon to offer Night at the Races packages for its 2016 live racing program. Los Alamitos offers these packages six times per year through these partners. The packages provide a variety of packages with the most popular offering a \$65 value for \$25. The package includes passes for two people to the track's Vessels Club, preferred parking, \$10 food voucher, souvenir Los Alamitos logo glasses, and more. Guests receive VIP treatment, a brochure designed for first-time guests. Track personnel have been trained to answer frequently asked question.
6. Los Alamitos will sponsor promotional visits and over 30 handicapping contests featuring over \$17,000 at satellite facilities statewide. Promotional nights feature premium logo item giveaways including hats, t-shirts, posters, umbrellas, sweatshirt, etc. Racing personality Les Onaka is on hand at each of the contests promoting the nighttime Quarter Horse signal via handicapping seminars and question and answer sessions. Photos of winners are posted on the track's social media pages. Visits also support new

satellite locations including the new Sliders in San Diego and Sammy's Original in Lake Forest.

In 2015, Los Alamitos also visited Commerce Casino, Finish Line Grill, Surfside, Ventura, San Bernardino, Santa Clarita, Viejas, Barona, Sycuan, etc. Fan data is gathered at each location and added to the track's satellite mailing list.

#### FAN AMMENITIES

1. Los Alamitos night meet is also the only meet that continues to offer race results on the telephone. The line includes Ed Burgart's full race call. The number to call is 714-995-2222.
2. Los Alamitos employs one part-time employee to assist patrons with their Racing Rewards card, which serves as the track's loyalty program. This program rewards its members with cash vouchers for playing the nighttime Los Alamitos racing program with a 1 percent return on their money wagered. In 2016, more than \$15,000 will be awarded to frequent players.
3. Los Alamitos' senior citizen admission prices are \$2 every single day. More than 80% of the Los Alamitos parking lot is now available at no charge as part of its free general parking area.
4. On major race nights, The Vessels Club serves as the set for TVG's "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters" and the setting provides added exposure to The Vessels Club.
5. Los Alamitos has made available online video of morning workouts of 2-year-olds. Horseplayers find this a useful tool, as they're able to dissect workouts of horses that have yet to make an official start. In addition to the video footage, the site provides breeding information on each horse.

#### ONLINE

1. Los Alamitos will unveil a new, more cohesive website in 2016. The new site will bring together all of the Los Alamitos racing meets and present them in a fan-friendly, easier to navigate fashion. New sections will be added and a greater emphasis on the on-track fan experience will be featured on the site.
2. Los Alamitos provides free handicapping information featuring Quarter Horse racing experts Ed Burgart and Chris Wade at losalamitos.com. The site also features a video blog of Burgart's Horses to Watch for the Night, and a 22-minute weekly preview show of Friday's races. Burgart serves as the host with analysis provided by Les Onaka.

3. Los Alamitos offers a free nighttime pocket program and wagering sheet at [losalamitos.com](http://losalamitos.com).
4. Los Alamitos offers free live streaming and replay library of its night racing program at [losalamitos.com](http://losalamitos.com).
5. Los Alamitos will add an Instagram page in 2016. Los Alamitos already manages a Facebook page featuring nearly 40,000 Likes/Friends and a Twitter page with nearly 5,000 followers. The page is updated with handicapping information, race videos, trainer interviews, stakes photos results, etc. Los Alamitos also manages a Youtube Channel @ [losalqh](https://www.youtube.com/user/losalqh).

#### ADVERTISING & TV

1. Los Alamitos markets the night program on TVG with a year-round television advertising campaign throughout the year. Los Alamitos works closely with TVG to promote and encourage viewers to visit the track for live racing. Vessels Club and general concession menu items are often promoted on TVG to show fans what's available at the racetrack.

On major race nights, The Vessels Club serves as the set for TVG's "The Quarters" show. The live show adds to the Vessels Club experience, as Vessels Club guests are now part of "The Quarters" and the setting provides added exposure to The Vessels Club.

2. Los Alamitos buys airtime on Time Warner Cable in Orange County and DirecTV in Southern California with spots airing during Angels, Ducks, Dodgers, and Lakers games and additional spots also airing on the Golf Channel, TBS, Bravo, and Fox Sports' Trackside Live.
3. Los Alamitos markets its night program via the Mike Willman and Jay Privman weekly radio shows. In 2016, Los Alamitos will conduct a Spanish radio buy on KWKW ESPN Deportes.
4. Los Alamitos will spend over \$70,000 in 2016 in newspaper advertising. This expenditure included sponsoring Terry Turrell's nightly handicapping tip sheet in the Long Beach Press Telegram. Los Alamitos will continue to sponsor the horse racing results and entries to the Orange County Register for the nighttime Los Alamitos races, but also for all of the daytime Thoroughbred tracks.
5. The Replay Show is available on TVG mornings on a Friday through Monday basis.
6. Los Alamitos produces a weekly 22 minute preview show of its weekend races that is available on Friday mornings on TVG and all day on Friday at [www.losalamitos.com](http://www.losalamitos.com). The show is hosted by Ed Burgart and it features Les Onaka plus a bevy of top handicappers. Interviews, human interest pieces with local horsemen and stakes previews

are also part of the show. Links to the show are also made available on Twitter and Facebook.

## HORSEMEN

1. Los Alamitos will continue its DVD Scrapbook program designed to reward racehorse owners at Los Alamitos. Every time a horse wins a race at Los Alamitos, the owner will receive one DVD - completely free - featuring the horse's winning performance. The DVD includes the post parade, warm-ups, race analysis, actual race, and winner's circle.

2. In celebration of Grade 1 stakes races and its participants, Los Alamitos provides a personalized gift to the connections of each horse. Gifts will include individually embroidered polo shirts, wine glasses, horse bridles, wine bottles, full-color qualifiers posters, etc.

Promotional/Marketing budget for **2015** race-meeting: \_\_\_\_\_

- |  |           |
|--|-----------|
| 1. On-Track Marketing budget:                      | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

B. Promotional/Marketing budget for **2016** race meeting:

- |  |           |
|--|-----------|
| 1. On-Track Marketing budget:                      | \$350,000 |
| 2. Marketing budget for simulcast facilities/fans: | \$600,000 |

C. Number of hosts and hostesses employed for meeting:

One satellite host and racing analyst on the Los Alamitos racing broadcast. Host provides expert handicapping information prior to each race, while providing racing fans with his Pick 4 suggestions, plus tips and hints on how to play the races at Los Alamitos.

Group event hosts are assigned to each group party to ensure a fun night at the races. Group parties have race named in their honor and the opportunity to visit the winner's circle for their race. Handicapping seminars are available to group parties upon requests. The seminars includes a session on how to play the races, what to look in a Quarter Horse race, how to read the toteboard and what the odds mean, basic handicapping tips for the night.

One reward kiosk is located on the grandstand building and allows fans to check, track, and cash their loyalty program points. Points can be traded for prizes. Rewards representative is available to any answer any question regarding the evening's racing program, the racetrack, the horsemen, etc.

One information window is open day and night at Los Alamitos Race Course to answer any questions or inquiries about the track or horseracing in general. Fans can also pick up complimentary general information pamphlets in both English and Spanish at the Racing Rewards Center and Vessels Club.

D. Describe facilities set aside for new fans:

1. As part of the Living Social, Travelzoo and Amazon promotions, new guests enjoy premium outdoor seating in the Vessels Club patio, which overlooks the finish line.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits horsemen and fans:

1. New seating locations were added in the Los Alamitos Paddock Terrace located on the mezzanine level of the grandstand. The Paddock Terrace features tables for four equipped with a personal flat screen TV. Waiter service is available on select night. The Paddock Terrace provides fans with a closer view of the track, walking ring and winner's circle area.
2. The 2016 Los Alamitos Quarter Horse meet will continued to be offered as a high definition broadcast. A new HD overhead starting gate camera has been added, which provides horsemen and racing fans with a great view of the break out of the gate, which is so crucial to Quarter Horse racing.

Los Alamitos has increased its HD monitors throughout the plant as follows:

3. Los Alamitos continues to upgrade to HDTV monitors throughout the plant, including new HDTV monitors on the main floor of the west Vessels Club, all wall monitors in the Cypress Room area in the Clubhouse, and in several specific areas inside and outside the main level of the grandstand.
4. Permanent message boards continue to operate; one in the infield, which is a full color 8 feet tall by 20 feet wide Daktronics board featuring the broadcast of the Los Alamitos races, prices, pools, and marketing billboards; and one on the main entrance to the track, a two-color board featuring the latest messages and track news to the more than half-million motorists per year that make their way up and down Katella Avenue.



# Los Alamitos Race Course



## 2016 SEASON SEATS

Live Racing: Quarterhorse - December 26, 2015 to December 18, 2016

Satellite Racing: Year Round

### Seating Options:

**A. VESSELS CLUB EXECUTIVE \$3,000.00**

*December 26, 2015 - December 18, 2016*

*Four membership cards - each valid for one Vessels Club annual admission, two Premium Parking Passes, and guaranteed four seat table for live NIGHT racing.*

**B. VESSELS CLUB ANNUAL \$ 500.00**

*December 26, 2015- December 18, 2016*

*One membership card valid for one Vessels Club annual admission.*

**C. COMPLIMENTARY VESSELS CLUB HORSEMAN'S MEMBERSHIP**

**\$ 0.00**

*Two membership cards. (FOR QUARTERHORSE AND THOROUBRED OWNERS, TRAINERS, JOCKEYS)*

A Vessels Club Membership card includes admission for all live and satellite racing conducted at Los Alamitos from December 26, 2015 through December 18, 2016. No outside food or beverages will be allowed in the Vessels Club. Your signature below acknowledges that you have read, understood, and will abide by the rules of the Vessels Club including the dress code.

Signature of Member \_\_\_\_\_  
Date \_\_\_\_\_

**Season Seat Selection (circle one): A B C**

Please issue membership card(s) as follows: (PLEASE PRINT OR TYPE)

1. My name \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_

THIS SPACE FOR OFFICE USE ONLY	
Number	Membership Card Number
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
Table #: _____	_____

Address \_\_\_\_\_  
Street City State Zip

Residence telephone \_\_\_\_\_ Cellular telephone \_\_\_\_\_ Email: \_\_\_\_\_

**PLEASE MAKE YOUR CHECK PAYABLE TO LOS ALAMITOS RACE COURSE**

LOS ALAMITOS RACE COURSE 4961 Katella Avenue, Los Alamitos, CA 90720 (714) 820-2680  
seasonseating@losalamitos.com

**19. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
- |                              |                           |
|------------------------------|---------------------------|
| Admission (general)          | 3.00                      |
| Admission (clubhouse)        | 5.00/10.00 (Vessels club) |
| Reserved seating (general)   | N/A                       |
| Reserved seating (clubhouse) | N/A                       |
| Parking (general)            | Free                      |
| Parking (preferred)          | 5.00                      |
| Programs (on-track)          | 3.50                      |
| (off-track)                  | 4.00                      |
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: See attached
- C. Describe any "package" plans such as combined parking, admission and program: See attached

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- |                                 |   |
|---------------------------------|---|
| Corners (lockers and cubicles)x | How many 70   |
| Showers x                       | Steam room, sauna or steam cabinets x Lounge area x |
| Masseur                         | Food/beverage service x Certified platform scale x  |
- B. Describe the quarters to be used for female jockeys/drivers:  
Separate quarters with cubicles, showers, steam box, sleeping quarters

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) City of Cypress on (date) November 2014.
- B. Number of rooms used for housing on the backstretch of the racetrack:  
220 out of 344
- C. Number of restrooms available on the backstretch of the racetrack:  
13
- Estimated ratio of restroom facilities to the number of backstretch personnel:  
18/1

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5100 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: On average the track consists of 82% sand, 15% silt and clay and 3% organic.
- C. The percent of cross slope in the straight-aways is: 3%  
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing; and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a metal slant rail with metal safety cover, 40" in height from track to top of rail. Outer rail is a metal gooseneck with 6" X 4" metal tubing.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Dennis Moore/Rick Hughes
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474 On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

**23. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. see attached

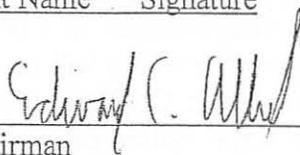
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions
- Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

notice to applicant: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

#### 24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Edward C. Allred  
Print Name      Signature



Chairman  
Print Title      Date: 09-24-15

STAFF ANALYSIS  
October 22, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC AT CAL EXPO DECEMBER 26, 2015 THROUGH MAY 8, 2016.

Watch and Wager.com, LLC (WAW) filed its application to conduct a harness race meeting at Cal Expo December 26, 2015 through May 8, 2016, or 45 days; 2 days more than WAW raced in its 2015 winter race meet. WAW proposes to race a total of approximately 639 races. The average daily purse for the Watch and Wager 2014 meet during the same time period was \$44,055. The (estimated) average daily purse for this meet is \$42,933.

- The race dates proposed are the dates allocated:

December - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

January - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

March - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing 2 nights per week, Saturday and Sunday, with the exceptions of racing on Friday February 5, 2016 and March 25, 2016. Racing 3 nights per week, Friday through Sunday, for the month of January 2016. There will be no live racing on Sunday, February 7, 2016 or March 27, 2016.
- First post 6:10 p.m. Friday, Saturday; and 4:45 p.m. Sunday.
- WAW will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits

including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board effective through September 1, 2016.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will begin at 10:00 a.m. each live race day.
  - \$0.20 Pick n Pool 4 last four races 16% takeout, 90% carryover if no correct tickets with four winners, 10% minor pool payout.
  - \$0.50 Pick n Pool 5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% minor pool.
  - \$.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no winning ticket.
  - \$0.10 Pick (n) Pool 6 on races three through eight. 100% pool plus carryover paid for unique ticket with six winners. Otherwise 70% of the daily pool is paid to ticketholders selecting the most winners, 30% of the daily pool will be added to the carryover.
  - \$25,000 guaranteed Pick-4 pool Saturdays.
  - \$25,000 guaranteed Pick-4 pool Sundays.
- WAW is requesting a takeout reduction for three wagers on its nightly harness racing program. The proposed reduction is being sought for the \$0.10 Unique Winner Pick 6; \$0.50 Pick 5, \$0.20 Pick 4 and the \$0.10 Super Hi-5. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the four wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the parimutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Advance Deposit Wagering (ADW) providers are ODS Technologies, L.P. dba TVG; XpressBet, LLC; Game Play Network and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of B&P code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.
- Game Play Network (GPN) submitted all documents required allowing it to accept ADW wagers on races conducted outside of California from a resident of California. To date, GPN has not submitted the required documentation to allow it to accept wagers on races conducted in California from a resident of California.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been completed.
- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

ITEMS STILL NEEDED

1. Financials

## RECOMMENDATION:

If the outstanding item is not received by Monday, October 12, 2015, Staff recommends that the application not be heard at the October 22, 2015 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 20 California St 7<sup>th</sup> Floor, San Francisco, Ca, 94111 415-503-4041, Ed Comins, ecomins@watchandwager.com
- B. Breed of horse: TB  QH  H
- C. Racetrack name: California Exposition & State Fair (Cal Expo)
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.  
Bond on file with CHRB.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

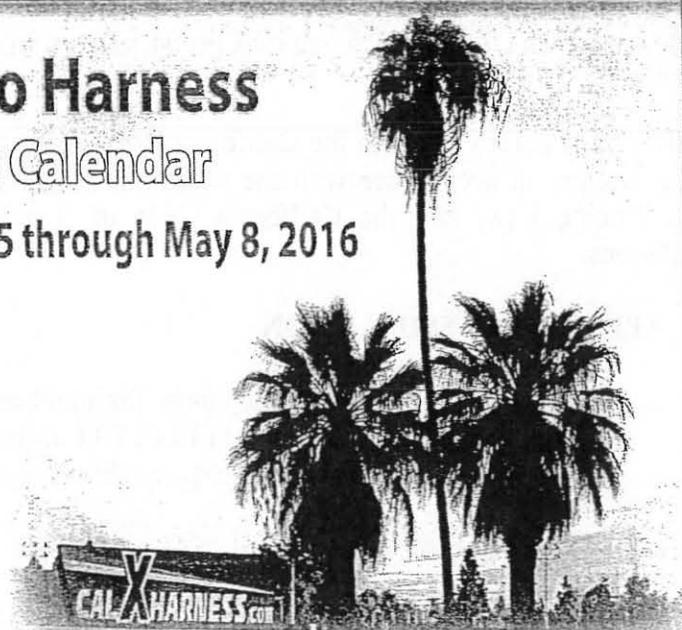
- A. Inclusive dates allocated for the entire meeting: December 26, 2015 Through May 15, 2016.
- B. Actual dates racing will be held: December 26, 27 January 1,2,3 8,9,10 15,16,17 22,23,24 29,30,31 February 5,6 13,14 20,21 27,28 March 5,6 12,13 19,20 25,26 April 2,3 9,10 16,17 23,24, 30 May 1, 7,8
- C. Total number of days or nights of racing: Forty Five (45) Calendar Attached.
- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other: Friday, Saturday, and Sunday.

<b>CHRB CERTIFICATION</b>	
Application received: 9/25/15	Hearing date: 10/22/15
Deposit received: On File	Approved date:
Reviewed: LH	License number:



# Cal Expo Harness Racing Calendar

December 26, 2015 through May 8, 2016



*December 2015*

Sat	Sun
26	27

*January 2016*

Fri	Sat	Sun
1	2	3
8	9	10
15	16	17
22	23	24
29	30	31

*February 2016*

Fri	Sat	Sun
5	6	7
12	13	14
19	20	21
26	27	28

*March 2016*

Fri	Sat	Sun
4	5	6
11	12	13
18	19	20
25	26	27

*April 2016*

Sat	Sun
2	3
9	10
16	17
23	24
30	

*May 2016*

Sat	Sun
	1
7	8



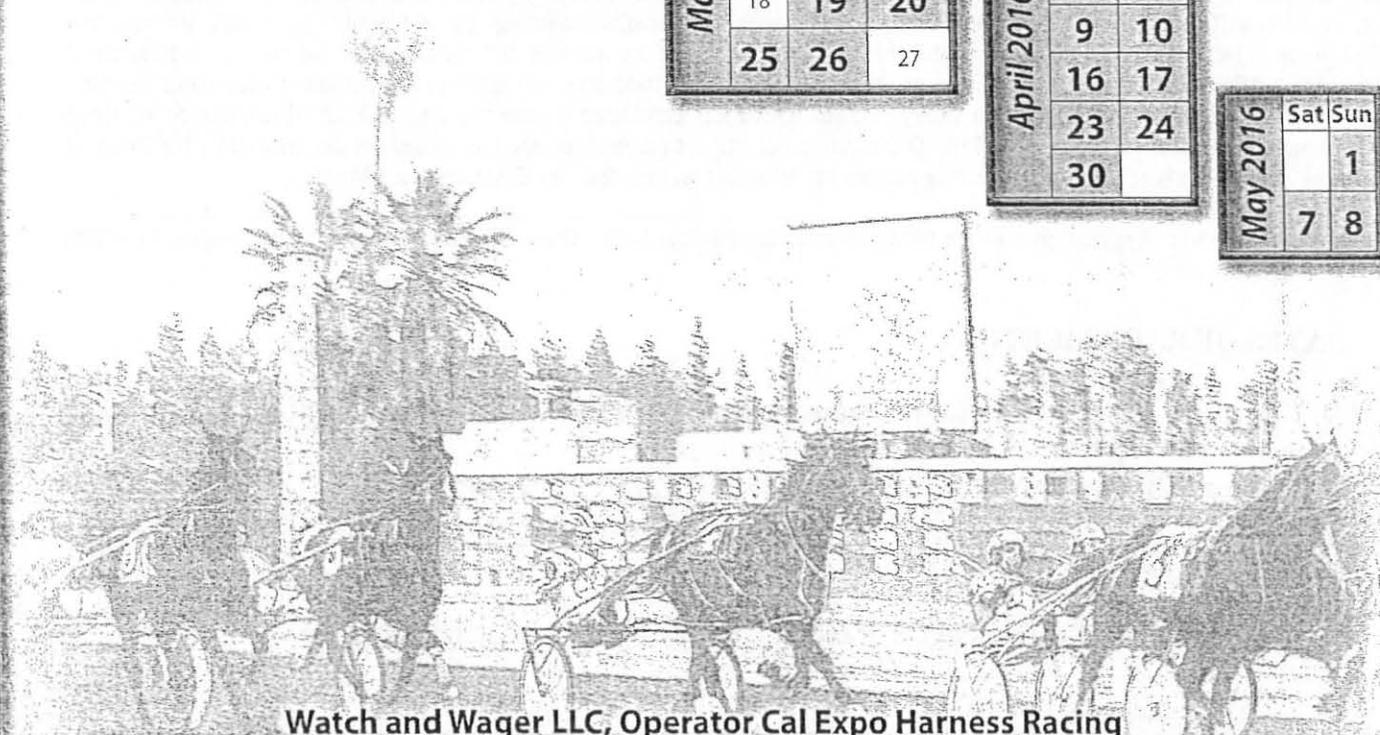
**Sunday Harness Racing**

*Post time 4:45 pm/pst*



**Friday & Saturday Harness Racing**

*Post time 6:10 pm/pst*



**Watch and Wager LLC, Operator Cal Expo Harness Racing**

25 Cadillac Drive, Suite 201 • Sacramento, CA 95825

Website: [CalXHarness.com](http://CalXHarness.com)

Email: [info@goldenbearracing.com](mailto:info@goldenbearracing.com) • Phone: 916-800-1395

- E. Number of days or nights of racing per week: Generally two nights per week.... Saturday & Sunday, Three nights per week in the month of January... Friday, Saturday, and Sunday.

### 3. RACING PROGRAM

- A. Total number of races: Up to 639
- B. Number of races for each day or night: Up to 15 races on Friday and Saturday. Up to 13 races on Sunday.
- C. Total number of stakes races: 50
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Stake schedule attached.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.
2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). A number of the California Sire Stake ( CASS) have been moved back in the Calendar. The CASS races that were raced in late December/ January last season... have been moved back to April/ May.
3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No Stakes have been dropped or deleted.

- E. Will provisions be made for owners and trainers to use their own registered colors?

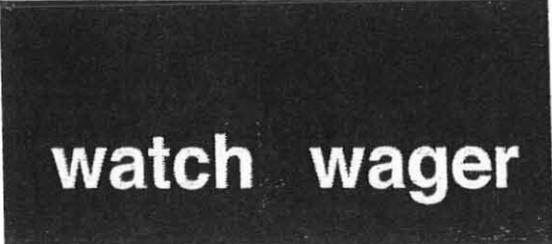
Yes  No      If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.

- F. List all post times for the daily racing program: Friday and Saturday post time 6:10 ... Sunday post time 4:45  
Post time schedule attached.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

## CAL-EXPO SIRE STAKE and LATE CLOSERS - THREE YEAR COMPARISON

2013-2014		2014-2015		2015-2016	
12/28 Gordon	6000A	12/27 Gordon	6000A	1/2 Shelly Goudreau Final	5000A
1/17 Colt	10,000	1/17 Colt	10,000	1/10 Gary Budahn Final	5000A
1/18 Filly Pace	10,000	1/18 Filly Pace	10,000	1/23 Kirk Breed Final	6500A
1/18 Trot	10,000	1/18 Trot	10,000	1/30 Lonnie Beck Final	5000A
1/31 Colt Pace	10,000	1/31 Colt Pace	10,000	1/31 Allen Kirschenbaum Final	5000A
2/14 Colt pace	10,000	2/14 Colt pace	10,000	2/5 CA Sire Stake Filly Pace	4 yo 10,000
2/15 Filly Pace	10,000	2/15 Filly Pace	10,000	2/5 CA Sire Stake Trot	4 yo 10,000
2/15 Trot	10,000	2/15 Trot	10,000	2/6 CA Sire Stake Colt Pace	4 yo 10,000
2/28 Colt Pace	10,000	2/28 Colt Pace	10,000	2/13 CA Sire Stake Colt Pace	3 yo 10,000
3/1 Filly Pace	10,000	3/1 Filly Pace	10,000	2/13 CA Sire Stake Filly Pace	3 yo 10,000
3/1 Trot	10,000	3/1 Trot	10,000	2/14 CA Sire Stake Trot	3 yo 10,000
3/14 Colt Pace	10,000	3/14 Colt Pace	10,000	2/21 Jim Grundy Final	7800A
3/15 Filly Pace	10,000	3/15 Filly Pace	10,000	2/27 Marvin Shapiro Final	7500A
3/15 Trot	10,000	3/15 Trot	10,000	2/27 CA Sire Stake Colt Pace	3 yo 10,000
3/28 Colt Pace	10,000	3/28 Colt Pace	10,000	2/27 CA Sire Stake Filly Pace	3 yo 10,000
3/29 Filly Pace	10,000	3/29 Filly Pace	10,000	2/28 CA Sire Stake Trot	3 yo 10,000
3/29 Trot	10,000	3/29 Trot	10,000	3/5 CA Sire Stake Filly Pace	4 yo 10,000
4/11 Colt Pace	10,000	4/11 Colt Pace	10,000	3/5 CA Sire Stake Trot	4 yo 10,000
4/12 Filly Pace	10,000	4/12 Filly Pace	10,000	3/6 CA Sire Stake Colt Pace	4 yo 10,000
4/12 Trot	10,000	4/12 Trot	10,000	3/12 CA Sire Stake Colt Pace	3 yo 10,000
4/25 Colt Pace	10,000	4/25 Colt Pace	10,000	3/12 CA Sire Stake Filly Pace	3 yo 10,000
4/26 Filly Pace	10,000	4/26 Filly Pace	10,000	3/12 Lloyd Arnold FFA Pace	10,000
4/26 Trot	10,000	4/26 Trot	10,000	3/13 CA Sire Stake Trot	3 yo 10,000
1/3 Colt 3 YO Pace	10,000	1/3 Colt 3 YO Pace	10,000	3/13 Stan Bergstein FFA Trot	10,000
1/4 Filly 3 YO Pace	10,000	1/4 Filly 3 YO Pace	10,000	3/25 CA Sire Stake Colt Pace	3 yo 10,000
1/10 Budahn	7000A	1/17 Colt 3 YO Pace	10,000	3/25 CA Sire Stake Filly Pace	3 yo 10,000
1/11 Beck	7000A	1/18 3 YO Trot	10,000	3/26 CA Sire Stake Trot	3 yo 10,000
1/17 Colt 3 YO Pace	10,000	1/18 Filly 3 YO Pace	10,000	4/2 CA Sire Stake Filly Pace	4 yo 10,000
1/18 3 YO Trot	10,000	1/25 Budahn	7000A	4/2 CA Sire Stake Trot	4 yo 10,000
1/18 Filly 3 YO Pace	10,000	1/30 3 YO Trot	10,000	4/3 CA Sire Stake Colt Pace	4 yo 10,000
1/30 3 YO Trot	10,000	1/30 Filly Pace	10,000	4/9 CA Sire Stake Colt Pace	3 yo 10,000
1/30 Filly Pace	10,000	1/30 Trot	10,000	4/9 CA Sire Stake Filly Pace	3 yo 10,000
1/30 Trot	10,000	2/1 Beck	7000A	4/10 CA Sire Stake Trot	3 yo 10,000
2/1 O'Brien	5000A	2/21 Goudreau	7000A	4/23 CA Sire Stake Filly Pace	3 yo 10,000
2/21 Staley	5000A	2/22 Grundy	7000A	4/23 CA Sire Stake Colt Pace	3 yo 10,000
2/21 Kirschenbaum	5500A	2/28 Arnold	10000	4/24 CA Sire Stake Trot	3 yo 10,000
2/22 Schwartz	5500A	2/28 3 YO Trot	10,000	4/30 CA Sire Stake Filly Pace	3 yo 10,000
2/22 Goudreau	7000A	3/1 Bergstein	10000	4/30 CA Sire Stake Colt Pace	3 yo 10,000
2/22 Shapiro	5000A	3/7 Colt 3 YO Pace	10,000	5/1 CA Sire Stake Trot	3 yo 10,000
2/28 3 YO Trot	10,000	3/8 Filly 3 YO Pace	10,000	5/7 CA Sire Stake Filly Pace	4 yo 10,000
3/7 Colt 3 YO Pace	10,000			5/7 CA Sire Stake Trot	4 yo 10,000
3/8 Filly 3 YO Pace	10,000			5/7 CA Sire Stake Filly Pace	3 yo 10,000
3/15 Grundy	7500A			5/7 CA Sire Stake Colt Pace	3 yo 10,000
4/4 Bergstein	8000A			5/8 CA Sire Stake Colt Pace	4 yo 10,000
4/5 Arnold	8000A			5/8 CA Sire Stake Trot	3 yo 10,000



**watch wager**

## Cal Expo Harness Racing

### 2015/16 Winter Post Time Schedule

	Fri & Sat	Sunday
Race 1	6:10	4:45
Race 2	6:36	5:13
Race 3	7:02	5:38
Race 4	7:27	6:02
Race 5	7:51	6:26
Race 6	8:15	6:50
Race 7	8:38	7:14
Race 8	9:01	7:38
Race 9	9:24	8:02
Race 10	9:46	8:25
Race 11	10:07	8:48
Race 12	10:27	9:02
Race 13	10:47	9:25
Race 14	11:07	
Race 15 *	11:26	

\* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
- C. CORPORATION
1. Registered name of the corporation:
  2. State where incorporated:
  3. Registry or file number for the corporation:
  4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
  5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
  6. Number of outstanding shares in the corporation:
  7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how is the stock listed:
  8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
  9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
    - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
  10. Registered name of the corporation:
  11. State where incorporated:
  12. Registry or file number for the corporation:
  13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
  14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
  15. Number of outstanding shares in the corporation:
  16. Are the shares listed for public trading? Yes  No   
 If yes, on what exchange and how is the stock listed:
  17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

## D. LLC

1. Registered name of the LLC: Watch and Wager .com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, Managing Member, Chief Operating Officer, 0 Shares
5. Are the shares listed for public trading? Yes  No 

If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 

European Wagering Services 100 % Shareholder

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, COO, 0 Shares.
 

Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM ( London ) United Kingdom.
11. Are the shares listed for public trading? Yes X
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

## E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.

- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Watch and Wager.com audited financials on file.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- Managing Member & Chief Operating Officer, Watch & Wager,com LLC.

Watch & Wager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day to day operations of the proposed harness meeting. Management agreement on file with the board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Phariss,	Director of Backstretch Operations
Glen Harris,	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Martin Bridges,	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney 25 Cadillac Drive #201, Sacramento, Ca 95825 E-Mail [chris@goldenbearracing.com](mailto:chris@goldenbearracing.com) [ben@goldenbearracing.com](mailto:ben@goldenbearracing.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014 ( 60 Days)	58,899,325	145,065
2013 ( 68 Days)	62,552,634	134,245
2012 ( 69 Days)	66,256,754	131,750
2011 ( 117 Days )	84,237,361	304,981
2010 ( 134 Days )	92,767,118	389,129
2009 ( 138 Days )	98,225,844	474,422

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

- A. Purse distribution: Proposed Current Meet – Dec 26, 2015 thru May 8<sup>th</sup>, 2016. 45 Racing Nights.  
 Prior meet comparison Dec 26, 2014 thru May 3<sup>rd</sup>, 2015 45 Racing Nights.

1. All races other than stakes:  
 Current meet estimate: \$ 1,498,700  
 Prior meet actual: \$ 1,495,030

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$ 33,304  
 Prior meet actual: \$ 33,223

- 2. Overnight stakes
  - Current meet estimate: \$ 103,300
  - Prior meet actual: \$ 25,600

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: \$2,296  
 Prior meet actual: \$ 569

- 3. Non-overnight stakes:
  - Current meet estimate: \$ 360,000
  - Prior meet actual: \$ 330,000

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$ 8,000  
 Prior meet actual: \$ 7,333

- 4. Total Purses: (7A1+7A2+ 7A3)
  - Current meet estimate: \$ 1,962,000
  - Prior meet actual: \$ 1,850,630

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: 65.2%  
 Prior meet actual: 43.9%

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: 1.45%  
 Prior meet actual: 0.98%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 51,265  
 Prior meet actual: \$ 30,733

- D. Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:	Prior meet actual:
Total \$ 92,405	Total \$ 89,702

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 2,105,670  
 Prior meet actual: \$ 1,971,065

Average Daily Purse (7 E ÷ number of days):  
 Current meet estimate: \$ 42,933  
 Prior meet actual: \$ 44,055

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):  
 Current meet estimate: \$ 1,204,911  
 Prior meet actual: \$ 1,213,156
- Average Daily Purse (7 F ÷ number of days):  
 Current meet estimate: \$ 26,776  
 Prior meet actual: \$ 26,959
- G. Purse funds to be generated from interstate handle:  
 Current meet estimate: \$ 246,789  
 Prior meet actual: \$ 247,125
- Average Daily Purse (7 G ÷ number of days):  
 Current meet estimate: \$ 5,484  
 Prior meet actual: \$ 5,492
- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank # on-file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916- 482-4224  
 disher\_accountancy@msn.com

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 650
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA

- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: NA
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: NA

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: No Auxiliary Sites
  - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. No Auxiliary Sites

**10. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9)

**Proposed Wagering Format**

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PNPk4)	1976.9
Pick-5 (.50 PNPk5)	1976.9
Pentafecta (.20 Super H5)	ARCI 004-105-X- Option 3
PN6 (0.10)	ARCI 004-105G- (g)

Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5  
 Race 2: 1E, 0.20 Tri, .10 SF  
 Race 3: 1E, 0.20 Tri, 0.10 PN6  
 Race 4: 1E, 0.20 Tri, .10SF, 1 P3  
 Race 5: 1E, 0.20 Tri, .10SF  
 Race 6: 1E, 0.20 Tri, .10SF  
 Race 7: 1E, 0.20 Tri, .10SF, 1 P3  
 Race 8: 1E, 0.20 Tri, .10SF  
 Race 9: 1E, 0.20 Tri, .10SF  
 Race 10: 1E, 0.20 Tri, .10SF, 1 P3  
 Race 11: 1E, 0.20 Tri, 1PNP4  
 Race 12: 1E, 0.20 Tri, .20 Super H5  
 Race 13: 1E, 0.20 Tri, .10SF, 1 P3  
 Race 14: 1E, 0.20 Tri, .10SF, 1DD  
 Race 15: 1E, 0.20 Tri, .20 Super H5

\$0.20 PNP4 on last four races. 16% takeout. 90% carryover if no correct tickets with four winners. 10% minor pool payout.

\$0.10 PN6 on races 3 thru 8. 100% Pool paid plus carryover for unique ticket with six winners. If no unique ticket selects six winners 70% of the daily pool is paid to the ticketholders selecting the most winners and 30% of the daily pool will be added to the carryover.

\$0.50 PNP5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% Minor pool

\$0.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No new wagers added or deleted. We have substituted a Pentafecta ( Super Hi Five) instead of a Superfecta in race #10. We have raised the Pentafecta denomination from \$0.10 to \$0.20
- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: May 8<sup>th</sup>, 2016
- D. Request for 16% Takeout on Pick-5 (0.50) PNP5

Request for 16% Takeout on Pick-4 ( PNP4 0.20)

Request for Saturday \$25,000 Guaranteed Pick-4 Pool

Request for Sunday \$25,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- E. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:  
 All live race days.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote International...Statewide contract, Contract expires Sept 2018.
- G. List below the takeout percentage for each type of wager identified in 10A:

**TAKEOUT PERCENTAGE**

(Example) PNP5-14%

- Race #1 WPS 17.43% E24.18% Pick-5 16% Tri 24.18% DD 24.18%
- Race #2 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #3 WPS 17.43 % E24.18% Pick-6 24.18% Tri 24.18%
- Race #4 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #5 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #6 WPS 17.43% E 24.18% Tri 24.18 Sup 24.18%
- Race #7 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #8 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #9 WPS 17.43% E 24.18% Tri 24.18% P4 16%
- Race #10 WPS 17.43% E 24.18% Tri 24.18% Penta 24.18%
- Race #11 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% DD 24.18%
- Race #13 WPS 17.43% E 24.18% Tri 24.18% Penta 24.18%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
 TVG  
 Xpressbet  
 Game Play Network  
 Watch and Wager.com
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. ADW Agreements on File
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## Promotional Wager Takeout Reduction Request

### Cal Expo Winter /Spring 2015-2016

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the \$0.50 Pick-5, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

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12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
  
Scotwinc agreement on file with the board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of  
The association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**California Harness Horsemen's Association**

P.O. Box 254767

Sacramento, CA 95865

(916) 263-7888; fax (916) 263-7887

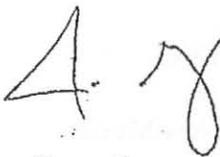
Aug 12, 2015

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats; including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 24, 2015 and concluding in May of 2016.

Sincerely,  
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'Jimmy Perez', with a large, sweeping flourish extending from the end of the signature.

Jimmy Perez,  
Executive Director

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**Watch & Wager LLC @ Cal Expo Harness**
**OUT OF STATE SIMULCAST WAGERING LOCATIONS**
**2015/2016 Winter/ Spring Meeting**

American Tab, Oregon	Northfield Park, Ohio
Atlantic City Race Course, New Jersey	Northlands Park, BC, CAN
Balmoral Park, Illinois	Northville Downs, Michigan
Capitol District OTB, New York	Ocean Downs, Maryland
Catskill Region OTB, New York	Penn National Gaming, Pennsylvania
Connecticut OTB, Connect	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Elite Turf Club,	Premiere Gateway
Fire Lake Casino, Oklahoma	Racing Channel, Oregon
Foxwoods Casino, Connecticut	Racing & Gaming Services, St. Kitts
Fraser Downs, BC, CAN	Rosecroft Raceway, Maryland
Freehold Raceway, New Jersey	Sam Houston Park, Texas
Game Play Network	Saratoga Harness, New York
Harrah's Philly, PA	Scioto Downs, Ohio
Harrington Raceway, Delaware	Southland Greyhound, Arkansas
Hastings Park, BC, CAN	Sports Creek Raceway, Michigan
Hawthorne Racecourse, Illinois	Suffolk Downs, MA
Hazel Park, Mi	Suffolk Regional OTB, New York
Hippodrome de Montreal, QUE, CAN	Sunland Park, New Mexico
Hoosier Park, Indiana	Television Games Network (TVG)
Illinois OTB, Illinois	Thistledown, Ohio
Indianapolis Downs, Indiana	The Downs at Albuquerque, New Mexico
Las Vegas Dissemination, Nevada	The Meadows, PA
Les Bois Park, Idaho	The Red Mile, Kentucky
Lien Games LLC	Tri-State Greyhound, WV
Lone Star Park, Texas	TwinSpires.com
Maywood Park, Illinois	Watch and Wager.com LLC, ND
Meadowlands Race Track, New Jersey	Western Fair, ONT, CAN
Mesquaki Casino, Iowa	Western Region OTB, New York
Mohawk Racetrack, ONT, CAN	Windsor Raceway, ONT, CAN
Nassau Region OTB, New York	Woodbine Entertainment. Group, ONT, CAN
Nevada Pari-mutuel Association, Nevada	Yonkers Raceway, New York
New Jersey Casinos, New Jersey	XPressBet.com

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**Watch & Wager LLC @Cal Expo 2015/16 Winter/ Spring Harness Meet**

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR  
POOLS WITH CAL EXPO.**

American Tab, Oregon	Northfield Park, Ohio
Atlantic City Race Course, New Jersey	Northlands Park, BC, CAN
Balmoral Park, Illinois	Northville Downs, Michigan
Batavia Downs, New York	Ocean Downs, Maryland
Capitol District OTB, New York	Penn National Gaming, Pennsylvania
Catskill Region OTB, New York	Philadelphia Park, Pennsylvania
Connecticut OTB, Conn.	Plainridge Racecourse, Massachusetts
Downs at Albuquerque, New Mexico	Pompano Park, Florida
Elite Turf Club	Premiere Gateway
Empire City Bets, New York	Racing Channel, Oregon
ExpressBet, California	Racing & Gaming Services, St. Kitts
European Wagering Services, LTD. Oregon	Running Aces, Minnesota
Fire Lake Casino, Oklahoma	Sam Houston Park, Texas
Foxwoods Casino, Connecticut	Saratoga Harness, New York
Fraser Downs, BC, CAN	Scioto Downs, Ohio
Freehold Raceway, New Jersey	Southland Greyhound, Arkansas
Game Play Network, Ca	Sports Creek Raceway, Michigan
Harrington Raceway, Delaware	Suffolk Downs, MA
Harrah's Philly, Pa	Suffolk Regional OTB, New York
Hastings Park, BC, CAN	Sunland Park, New Mexico
Hawthorne Racecourse, Illinois	Television Games Network (TVG)
Hazel Park, Mich	The Meadows, Pa
Hippodrome de Montreal, QUE, CAN	Thistledown, Ohio
Hoosier Park, Indiana	The Downs at Albuquerque, New Mexico
Illinois OTB, Illinois	The Red Mile, Kentucky
Indianapolis Downs, Indiana	Tri-State Greyhound, WV
Las Vegas Dissemination, Nevada	TwinSpires.com
Les Bois Park, Idaho	Watch and Wager.com LLC
Lien Games, North Dakota	Western Fair, ONT, CAN
Lone Star Park, Texas	Western Region OTB, New York
Maywood Park, Illinois	Windsor Raceway, ONT, CAN
Meadowlands Race Track, New Jersey	Woodbine Entertainment. Group, ONT, CAN
Mesquaki Casino, Iowa	XpressBet.com
Mohawk Racetrack, ONT, CAN	Yonkers Raceway, New York
Nassau Region OTB, New York	
New Jersey Casinos, Atlantic City, NJ	
Nevada Pari-Mutuel Association	

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Balmoral Park	TBD	Selected Feature
Maywood Park	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Mohawk	TBD	Selected Feature

Under current statute, the association proposes to import eight (8) out of state races nightly during live Racing nights overlapped with Los Alamitos.

On non-overlapped racing nights, the association proposes to import sixteen (16) out of state races.

Association requests approval to import the entire Breeders Crown program from Mohawk Saturday October 24<sup>th</sup>, 2015. This request is consistent with 19596 #1 which allows harness associations racing live that evening to import the entire Breeders Crown program.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, Ca. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, Ca 95821 501 C4 - 68-0031464

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

#### 14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s) : Eduardo De La Cruz D.V.M  
 Clerk of Scales : NA  
 Clerk of the Course: Patricia Petersen  
 Film Specialist: Plusmic Inc.  
 Horse Identifier: Carl Sobey  
 Horseshoe Inspector: Larry Goshman  
 Paddock Judge: Walter Petersen  
 Patrol Judges: Kenneth Fowler  
 Placing Judges: Nancy Barney  
 Starter: Kenneth Fowler  
 Timer: Nancy Barney

B. Management officials in the racing department;

Director of Racing: Robin Schadt  
 Racing Secretary: Robin Schadt  
 Asst. Racing Secretary: Brent Bridges  
 Paymaster of Purses: Glen Harris

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916- 956- 3914 breezecs@att.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 15, 2016
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2016.  
 Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races; name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 2016.

## 15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2015 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
  1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

### 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.
- B. Number of security guards in the detention stall area during a 24-hour period. Two
- C. Describe number and location of surveillance cameras in detention stall area.  
The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

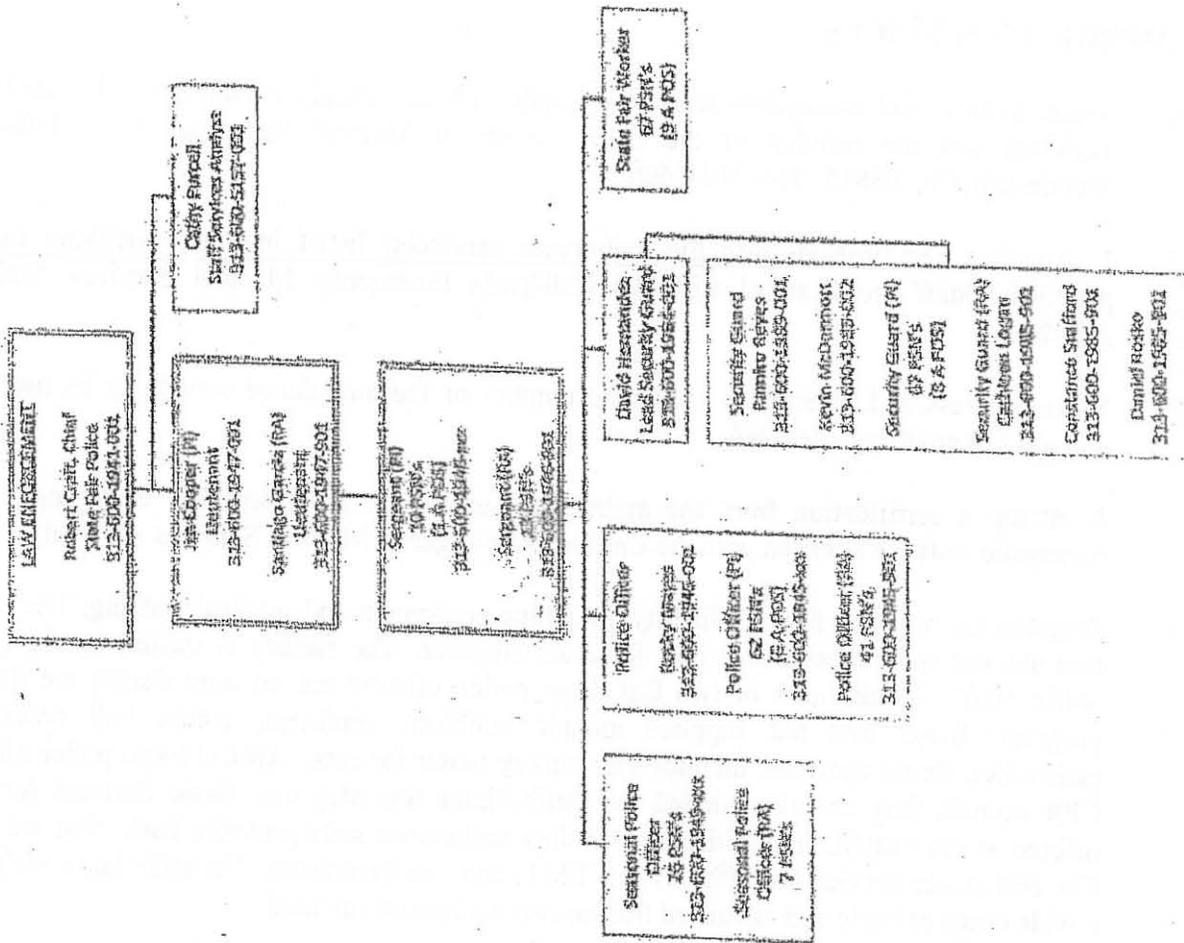
Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergency's only. All ingress or egress is documented in the log book.

### 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.  
Currently seven races nightly, two horses per race, randomly drawn by the stewards.  
Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

# California Exposition & State Fair Law Enforcement

March 2014



## C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.

## D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

## 16. EMERGENCY SERVICES

## A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916-563-0600

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached.

## B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA

## C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Na**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 ( 1.5 miles)

## E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)

## F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached



AMERICAN MEDICAL RESPONSE

July 14, 2015

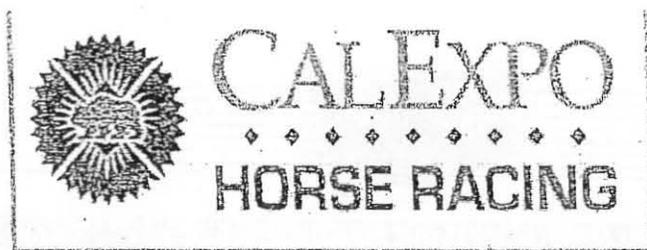
To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP.

Please feel free to contact me if you require further information.

Sincerely,

Jennifer L. Bales, MICP  
Operations Manager  
AMR – Sacramento County



## *Watch & Wager,com LLC @ Cal Expo*

### FOR ON TRACK INJURY TO DRIVERS

#### "FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

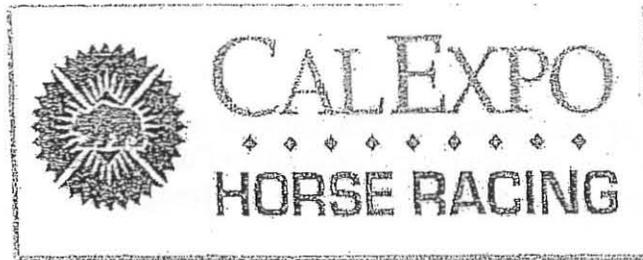
#### "ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

#### "INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



## Watch & Wager.com LLC @ Cal Expo

### "PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

### "EVALUACIO AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

### "ATENCION AL ACSIDENTADO"

- El accidentado sera tratado de inmediato por (EMT).  
Sies demaciado grabe el accidente sera transportado por ambulancia

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Cypress Insurance Company Policy# WAWC602366
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. Attached Certificate

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first-aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Ovations

Does the association provide its own concessions? Yes  No

## 18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: Attached
- B. Promotional/ Marketing budget for this race meeting: \$ 30,500  
Promotional/Marketing budget for prior race meeting: \$ 34,000
- C. Number of hosts and hostesses employed for meeting: 2
- D. Describe facilities set aside for new fans: None



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
 Fire & Life Safety Division - South  
 602 E. Huntington Dr. Ste A  
 Monrovia, CA 91016  
 (626) 305-1808  
 (626) 305-5173 - fax  
 Website: [www.fire.ca.gov](http://www.fire.ca.gov)



### SPECIAL EVENT APPLICATION AND PERMIT

Facility Name California Exposition & State Fair  
 Facility Address 1600 Exposition Blvd. Fax (916) 263-7904  
 City Sacramento Zip Code 95815 County Sacramento  
 Facility Representative Paul Gillingham Phone (916) 416-1260  
 E-Mail pgillingham@calexpo.com

#### TYPE OF ACTIVITY

FESTIVAL  CONCERT  TRADE SHOW  OTHER Harness Racing

Event Sponsor Watch and Wager

Event Location/Building Grandstand, Backstretch and lot 1

Event Date(s) October 24, 2015 through May 8, 2016 Estimated Daily Attendance 500 to 800

Event Time(s) Start 4:00 to 6:00 PM am/pm Finish 8:00 to 10:00 PM am/pm

Event Description Live Harness Racing and Horse Training

Racing possible Friday-Saturday-Sunday depending on the number of horses.

24/7 horse stabling and use of efficiency dwelling units by grooms. Stabling starts August 17, 2015 through April 30, 2016.

A site and floor plan must be submitted to the Office of the State Fire Marshal for approval 10 days prior to the event. At a minimum the items listed below shall be shown and identified on the plans;

1. Emergency access for fire equipment must be provided
2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths
4. Location of tables and chairs and/or chair rows
5. Location of fire extinguishers and electrical panels

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.

#### For Dept. Use Only

Comments/Conditions: Compliance with SFM regulation and the Watch & Wager Plan for Grooms & Trainers is required. See report and supporting documentation. All conditions subject to change pending DSFM inspections.

**PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL**

DSFM Signature [Signature] Print Name C. Fowler Date 8-28-15  
 Application  Approved  Denied SFM File # 04-34-44-0001



## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

7/23/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski	FAX (A/C, No): (626) 441-3233	
	PHONE (A/C, No, Ext): (626) 799-7000	E-MAIL ADDRESS: info@boltonco.com	
INSURED  WatchandWager.com, LLC 25 Cadillac Drive, Suite 201 Sacramento, CA 95825	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A : Cypress Insurance Co.		10855
	INSURER B :		
	INSURER C :		
	INSURER D :		
	INSURER E :		
INSURER F :			

## COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE	\$
							DAMAGE TO RENTED PREMISES (Ea occurrence)	\$
							MED EXP (Any one person)	\$
							PERSONAL & ADV INJURY	\$
							GENERAL AGGREGATE	\$
							PRODUCTS - COMP/OP AGG	\$
								\$
	AUTOMOBILE LIABILITY  <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident)	\$
							BODILY INJURY (Per person)	\$
							BODILY INJURY (Per accident)	\$
							PROPERTY DAMAGE (Per accident)	\$
								\$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE	\$
							AGGREGATE	\$
								\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N	N/A	WAWC602366	08/03/2015	08/03/2016	X PER STATUTE	OTH-ER
							E.L. EACH ACCIDENT	\$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	\$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of Insurance

## CERTIFICATE HOLDER

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

## CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

## Watch and Wager.com LLC @ Cal Expo

### Marketing and Promotional Plan

#### 2015/2016 Winter/ Spring Meeting

**New Stable Recruitment :** We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$300,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product.

**Improved Simulcast Production.:** Our on air team of Gary Siebel and Dave Brower provide some of the best insight in the industry. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Five percent of harness handle comes from off-track sources.

**Website:** We have given our website a complete facelift. We continue to offer free live video streaming and archived replays.

**Social Media:** California harness racing has very little social media exposure. We plan on immediately establishing Facebook, Twitter and You Tube accounts and start interacting with a younger crowd.

**On Track Handle:** We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

**Parking/ Admission:** We will continue the night policy of free parking and admission.

**Concessions:** We will continue to work with the Cal Expo master caterer ( Ovations ) to provide live fans with food and beverage nightly specials. We have agreed to a \$1 night the final Saturday of each month.

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Handicappers: We will be sharply focused on the gambling public. In addition to Gary Siebel and Dave Brower for our Pick-4 analysis... We have added Derick Giwner of the DRF Harness to do nightly Pick-5 analysis. Each night we propose to offer two low takeout wagers. Each night we will have a guarantee on our Pick-4. We hope to implement a guaranteed pool on our Pick-5. We have limited our gimmick schedule somewhat to gives our fans bigger wagering pools.

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- E. Describe any improvements to the physical facility in advance of the meeting that directly Benefit:
1. Horsemen: None at this time.
  2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation.
  3. Facilities in the restricted areas: None at this time.

**19. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
- Admission (general) Free
  - Admission (clubhouse) Free
  - Reserved seating (general) Na
  - Reserved seating (clubhouse) Na
  - Parking (general) Free
  - Parking (preferred) Na
  - Parking (valet) Na
  - Programs (on-track) 3.50
  - (off-track) 4.00 Program is a joint Los Alamitos/ Cal Expo night program.
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA
- C. Describe any "package" plans such as combined parking, admission and program: Na

**20. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:
- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="46"/>                   |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area   |
| <input type="checkbox"/> Masseur                                   | <input checked="" type="checkbox"/> Food/beverage service               | <input type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

**21. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by Carol Nolan on September 11, 2015.
- B. Number of rooms used for housing on the backstretch of the racetrack: Currently 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

**22. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%  
The percent of cross slope in the center of the turns is: 5-6 %
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J,R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

### 23. DECLARATIONS

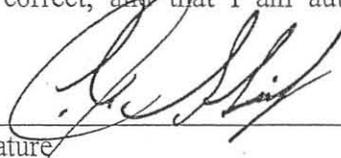
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Lease agreement between Cal Expo and Watch and Wager LLC is on file with the board.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exception

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHICK  
Print Name

  
Signature

GENERAL MANAGER  
Print Title

9/24/2015  
Date

## STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501(B)(1), TO REFLECT CALIFORNIA'S MINIMUM WAGE INCREASE OF 11.1 PERCENT SCHEDULED FOR JANUARY 1, 2016 AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 11.1 PERCENT FOR THE 2<sup>ND</sup> AND 3<sup>RD</sup> PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS.

Regular Board Meeting  
October 22, 2015

## ISSUE

This will be the second amendment to Rule 1632, Jockey's Riding Fee, to comply with Business and Professions Code Section 19501(b)(1) which requires the minimum jockey riding fees for losing mounts to be increased whenever the California minimum wage rate is increased. The proposed changes are for the January 1, 2016, minimum wage rate increase of 11.1 percent. The first amendment to Rule 1632 adjusted the non-winning jockey riding fee scale to reflect the July 1, 2014, minimum wage rate increase of 12.5 percent. The amendment complying with the July 2014 minimum wage rate increase was approved by the Office of Administrative Law on July 23, 2015, and became effective October 1, 2015.

Assembly Bill 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the state minimum wage is increased by the percentage of that increase. As of January 1, 2016, the California minimum wage rate will increase 11.1 percent per hour for all hours worked. The January 2016 minimum wage increase necessitates an amendment to Board Rule 1632, Jockey's Riding Fee, which currently provides a scale of jockey riding fees to be used in the absence of a contract or special agreement between the trainer/owner and jockey. The 11.1 percent increase to the losing mount fees causes the losing mount to earn more than the third place mount finishing with a gross purse of less than \$9,999, which could remove any incentive for a jockey to put forth his best effort. Therefore, it is necessary to amend not only the losing mount fees pursuant to Business and Professions Code section 19501; but also the 2<sup>nd</sup> and 3<sup>rd</sup> place mount fees in order to balance the Non-Winning Jockey Riding Fee scale.

## ANALYSIS

Business and Professions Code subsection 19501(b)(1) provides for an increase for losing mounts on the scale of minimum jockey riding fees whenever the state minimum wage is increased by the percentage of that increase; however, it does not provide for an increase for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts. The 11.1 percent increase to the losing place mount produces a disparity for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts with a gross purse of \$9,999 or less. It is necessary to

make an adjustment to the scale, otherwise jockey riding fees for 2<sup>nd</sup> and 3<sup>rd</sup> place finishers in races with purses of \$9,999 would be lower than the fee paid for a losing mount.

The proposed amendment to Rule 1632 will adjust the scale of jockey riding fees for losing mounts by 11.1 percent, as directed by Business and Professions Code section 19501. The proposal also applies the 11.1 percent adjustment to the scale of the jockey riding fees for all mounts not sharing in purse monies, to ensure that riders with 2<sup>nd</sup> and 3<sup>rd</sup> place mounts in races with a gross purse of \$9,999 or less receive more than a losing mount.

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$151.88</del> <u>\$168.74</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>123.75</del> <u>137.49</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>106.88</del> <u>118.74</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.63</del> <u>106.24</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>90.00</del> <u>99.99</u>
5,000-9,999: . . . . .	<del>\$101.25</del> <u>\$112.49</u>	<del>\$86.63</del> <u>96.25</u>	<del>84.38</del> <u>93.75</u>
3,500-4,999: . . . . .	<del>90.00</del> <u>99.99</u>	<del>78.75</del> <u>87.49</u>	<del>73.13</del> <u>81.25</u>
2,000-3,499: . . . . .	<del>78.75</del> <u>87.49</u>	<del>73.13</del> <u>81.25</u>	<del>70.88</del> <u>78.75</u>
1,500-1,999: . . . . .	<del>67.50</del> <u>74.99</u>	<del>65.25</del> <u>72.49</u>	<del>65.25</del> <u>72.49</u>

## BACKGROUND

Business and Professions Code section 19401(a) and (d) provides that the intent of Chapter 4 is to allow pari-mutuel wagering on horse races, while assuring protection of the public and providing uniformity of regulation for each type of horse racing. Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the State minimum wage is increased by the percentage of that increase.

Board Rule 1632, Jockey's Riding Fee, provides a scale of jockey riding fees to be used in the absence of a contract or special agreement.

Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Section 19501(b)(1) provides that effective January 1, 2012, the scale of minimum jockey riding fees for losing mounts shall be increased by \$10 per mount over the rate in effect on December 31, 2011, except the lowest fees on the scale shall be increased by \$5.

Section 19501(b)(2) provides that effective January 1, 2012, the minimum amount awarded to the jockey who finishes second or third in a race shall be increased by \$5 over the amount required to be paid on December 31, 2011. This subdivision shall apply to races in which the purse is nine thousand nine hundred ninety-nine dollars (\$9,999) or less.

Rule 1632, Jockey's Riding Fee, was amended November 28, 2011, to comply with Business and Professions Code section 19501(b)(1) and (b)(2).

#### RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day comment period.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 6. ENTRIES AND DECLARATIONS  
PROPOSED AMENDMENT TO  
RULE 1632. JOCKEY'S RIDING FEE

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

GROSS PURSE	Winning Mount
\$100,000 and up: . . . .	10% of Win Purse
50,000-99,999: . . . .	10% of Win Purse
25,000-49,999: . . . .	10% of Win Purse
15,000-24,999: . . . .	10% of Win Purse
10,000-14,999: . . . .	10% of Win Purse
5,000-9,999: . . . . .	10% of Win Purse
3,500-4,999: . . . . .	10% of Win Purse
2,000-3,499: . . . . .	10% of Win Purse
1,500-1,999: . . . . .	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) Non-winning jockey riding fees are:

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$151.88</del> <u>\$168.74</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>123.75</del> <u>137.49</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>106.88</del> <u>118.74</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.63</del> <u>106.24</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>90.00</del> <u>99.99</u>
5,000-9,999: . . . . .	<del>\$101.25</del> <u>\$112.49</u>	<del>\$86.63</del> <u>96.25</u>	<del>84.38</del> <u>93.75</u>
3,500-4,999: . . . . .	<del>90.00</del> <u>99.99</u>	<del>78.75</del> <u>87.49</u>	<del>73.13</del> <u>81.25</u>
2,000-3,499: . . . . .	<del>78.75</del> <u>87.49</u>	<del>73.13</del> <u>81.25</u>	<del>70.88</del> <u>78.75</u>
1,500-1,999: . . . . .	<del>67.50</del> <u>74.99</u>	<del>65.25</del> <u>72.49</u>	<del>65.25</del> <u>72.49</u>

(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race;

(1) Entry, nomination or other fees paid shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this rule.

(f) A jockey may not share in the fees of another jockey.

Authority: Sections 19440, 19501 and 19562,  
Business and Professions Code.

Reference: Sections 19401 (a), 19401(d), 19420, 19440, 19501, and 19502,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION REGARDING THE PROPOSED AMENDMENT TO CHRB  
RULE 1689.1, SAFETY VEST REQUIRED, TO EXTEND THE REQUIREMENT TO  
WEAR A SAFETY VEST TO PONY RIDERS WHO PONY OR LEAD A HORSE OR WHO  
ARE MOUNTED ON ANY HORSE ON THE GROUNDS OF A FACILITY UNDER THE  
JURISDICTION OF THE BOARD

Regular Board Meeting  
October 22, 2015

#### ISSUE

The California Horse Racing Board (Board) is proposing to amend Rule 1689.1, Safety Vest Required. There are two proposed text options for consideration by the Board. Proposed Text A would include pony riders on the list of those required to wear a safety vest. Proposed Text B would require any person licensed by the Board to wear a safety vest while ponying or leading a horse. The rule currently requires jockeys, apprentice jockeys, exercise riders, and drivers to wear a safety vest on the grounds of a racing association or racing fair.

#### ANALYSIS

##### **Proposed Text "A":**

The language in proposed Text A would add subsection (a)(1) to state that no person licensed by the Board as a pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest. The proposed amendment would add pony riders to the list of those who must wear a safety vest. This text is the proposed text heard at the August 2015 Medication and Track Safety Committee meeting.

##### **Proposed Text "B":**

The language in proposed Text B would add subsection (a)(1) to state that no person licensed by the Board shall pony or lead a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest. The proposed amendment would require any person licensed by the Board to wear a safety vest while ponying or leading a horse.

#### BACKGROUND

In March 2015 the proposed amendment to Board Rule 1689.1, Safety Vest Required, was submitted to the Office of Administrative Law (OAL) for review. The proposed amendment provided that no pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest. The transcript of the hearing for adoption of Rule 1689.1 submitted to OAL with the closing file revealed that the Board was unclear on who would be required to wear a safety vest. The

discussion during the adoption hearing questioned if the proposed regulation also included trainers who would be required to wear a safety vest, or if everybody who is on a horse while on the race track would be required to wear a safety vest. The OAL disapproved the rulemaking action based on the Clarity standard of Government Code section 11349.1. The OAL disapproval stated the language of the regulation that no "pony rider" shall pony or lead a horse unless wearing a safety vest appeared to conflict with the Board's description of the intent of the regulation at the adoption hearing and in the Final Statement of Reasons that "...everybody who is on a horse on the race track should be wearing a vest." Proposed Text A has been modified to address OAL's concerns. Proposed Text B subsection (a)(1) would require anyone ponying or leading a horse to wear a safety vest.

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19481 states that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider to improve the safety of horses, riders, and workers in the racing inclosure. Board Rule 1689.1 requires jockeys and apprentice jockeys to wear safety vests when riding in a race. Additionally, the rule provides that jockeys, apprentice jockeys and exercise riders must wear a safety vest when they train or exercise any horse on the grounds of a racing association or racing fair. Rule 1689.1 specifies that safety vests shall meet the British Equestrian Trade Association (BETA) standard for horse riders' body and shoulder protectors. Safety vests protect the inner organs, ribs and spine. The padding helps prevent or lessen an injury from the impact of a fall, and reduce the amount of damage should the wearer be hit by a hoof.

Rule 1689.1 was last amended in July 2010 when harness drivers and assistant starters were added to the list of those who must wear a safety vest. The starting gate crews are addressed in the current rule under subsection 1689.1 (b), which provides that an assistant starter shall not handle any horse unless wearing a safety vest.

The proposed amendment to Rule 1689.1, Safety Vest Required, was heard at the August 19, 2015, Medication and Track Safety Committee Meeting, and was approved to go before the full Board.

#### RECOMMENDATION

This item is presented for Board discussion and action.

Text A

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT TO  
RULE 1689.1. SAFETY VEST REQUIRED

1689.1. Safety Vest Required.

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(1) No person licensed by the Board as a pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(b) No driver shall be mounted in or riding on a sulky, nor shall an assistant starter handle any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(c) Safety vests required to be worn in accordance with this regulation shall:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards:

(A) "Level 1" under the British Equestrian Trade Association (BETA) 2009 Standard for Horse Riders' Body and Shoulder Protectors, or

(B) American Society for Testing Materials (ASTM) standard F2681-08, or

(C) Shoe and Allied Trades Research Association (SATRA) (1999) Jockey Vest Standard, Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

## Text A

(3) Weigh no more than 2 pounds.

(4) No vest shall be altered from its original manufactured design. This includes, but is not limited to:

(A) Cutting the vest to customize fit.

(B) Removal of manufacturer's labels.

(C) Removal of protective padding.

(d) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562,  
Business and Professions Code.

Reference: Sections 19481,  
Business and Professions Code.

Text B

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT TO  
RULE 1689.1. SAFETY VEST REQUIRED

1689.1. Safety Vest Required.

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(1) No person licensed by the Board shall pony or lead a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(b) No driver shall be mounted in or riding on a sulky, nor shall an assistant starter handle any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(c) Safety vests required to be worn in accordance with this regulation shall:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards:

(A) "Level 1" under the British Equestrian Trade Association (BETA) 2009 Standard for Horse Riders' Body and Shoulder Protectors, or

(B) American Society for Testing Materials (ASTM) standard F2681-08, or

(C) Shoe and Allied Trades Research Association (SATRA) (1999) Jockey Vest Standard, Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

## Text B

(4) No vest shall be altered from its original manufactured design. This includes, but is not limited to:

(A) Cutting the vest to customize fit.

(B) Removal of manufacturer's labels.

(C) Removal of protective padding.

(d) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562,  
Business and Professions Code.

Reference: Sections 19481,  
Business and Professions Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION REGARDING A PROPOSED AMENDMENT TO  
CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS, TO ADJUST THE  
PENALTIES FOR MEDICATION VIOLATIONS

Regular Board Meeting  
October 22, 2015

ISSUE

The proposed amendment to Board Rule 1843.3, Penalties for Medication Violations, was last discussed at the August Medication and Track Safety Committee meeting. At that time, the California Thoroughbred Trainers (CTT) raised issues it had with the amended text. The CTT stated it believed that prohibiting a suspended licensee from transferring horses to persons who had worked for the licensee within the past year would prove to be an unreasonable burden. After discussion, the committee agreed to modify subsection 1843.3(k) so that the prohibition applied only to licensees whose period of suspension was greater than 30-days.

In addition to making several clarifying changes to the text, the proposed amendment to Rule 1843.3 would: 1) eliminate the Category D penalties for phenylbutazone violations; 2) cause medication violations that occur within a specified time period to count as either a prior offense, or as an aggravating factor with regards to the determination of penalties for subsequent violations; and 3) prohibit licensees whose suspension is for more than 30 days from transferring their horses to any other licensee who has been an employee within the previous year.

ANALYSIS

At the May 2015 Medication and Track Safety Committee meeting, the committee heard comments from the CTT. Specifically, the CTT objected to the proposal to deny the privileges of the inclosure to trainers whose license had been suspended for 45 days. The current version of Rule 1843.3 provides that a trainer whose license is suspended for 60 days shall be denied access to the inclosure. The CTT argued that the practical effect of the amendment would make a 45 day suspension far more onerous and may result in trainers' receiving lesser rather than greater lengths of suspension. The committee did not disagree, so the proposed amendment of subparagraph 1843.3 (I)(1) has been modified to reinstate the original period of 60 days suspension. The CTT also stated that the current language of the 1843.3 text (not the language of the proposed amendment) included "revocation" of license within the same subparagraph(s) as "suspension" of license. The CTT suggested that the concepts belonged in separate paragraphs. The text of the regulation has been modified to provide for new subsections (k)(1) and (l)(2) to specifically address the revocation of a license.

At the August 2015 Medication and Track Safety Committee meeting, the CTT stated it believed that prohibiting a suspended licensee from transferring horses to persons who had worked for the licensee within the past year would prove to be an unreasonable burden. After discussion, the committee agreed to modify subsection 1843.3(k) so that the prohibition applied only to licensees whose period of suspension was greater than 30-days.

In addition to the modifications made as a result of the May 2015 and August 2015 Medicaiton and Track Safety Committee meetings, other modifications to the text of the proposed regulation include: Subsection 1843.3(d), which stated all penalties incurred for a category A, B, C and D violation within a 12 month time frame would be considered cumulative increasing in severity with the repetition of an offence, has been modified for clarification. The proposed text has been changed to eliminate subsection 1843.3(d). Instead, a new subsection 1843.3(f) has been added to provide that if a licensee has received a penalty for a Class A, B or C medication violation, and with a period of 12 months has a subsequent lesser violation (e.g. an A violation followed by a B violation), the earlier violation shall count as a "first violation" for the purposes of determining the penalty for the subsequent lesser violation. This means that if a trainer has had a violation at the same level or higher within 12 months of a subsequent violation, he or she does not get to "start over again" and the previous violation will count as a prior offense. A new subsection 1843.3(g) has been added to provide that if a licensee has received a penalty for a Class B, C or D medication violation, and within a period of 12 months has a subsequent greater violation (e.g. a D violation followed by a C violation), the earlier violation shall count as an aggravating factor for the purposes of determining the penalty for the subsequent greater violation. This means the first Class D violation will not automatically push the trainer into a second offense for the subsequent Class C violation.

In addition to the new subsections 1843.3(f) and (g) described above, the proposed amendment to Rule 1843.3 would eliminate the separate Category D penalties for phenylbutazone at the 2.1 ug/ml to 5.0 ug/ml level. Instead, such phenylbutazone violations would be Category C penalties. In addition, subsection 184.3.3(k) has been amended to prohibit suspended trainers from transferring their horses to any other licensee who has been an employee within the previous year.

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19461 states every license granted under this chapter is subject to suspension or revocation by the Board in any case where the Board has reason to believe that any condition regarding it has not been complied with, or that any law, or any rule or regulation of the Board affecting it has been broken or violated. Business and Professions Code section 19580 provides the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Those policies, guidelines and penalties shall include, at a minimum, the provisions set forth in this article. Business and Professions Code section 19581 states no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and the composition thereof. Business and Professions Code section 19582 states violations of Section 19581, as determined by the Board are punishable as set forth in regulations adopted by the Board. The Board may classify violations of section 19581 based on each class of prohibited drug substances, prior violations within the previous three years, and prior violations within the

violator's lifetime. The Board may provide for the suspension of a license for not more than three years, except as provided in subdivision (b), or a monetary penalty of not more than one hundred thousand dollars, or both, and disqualification from purses, for a violation of Section 19581. The actual amount of the monetary penalty imposed pursuant to this paragraph shall be determined only after due consideration has been given to all the facts, circumstances, acts, and intent of the licensee, and shall not be solely based on the trainer-insurer rule, as established in Section 1843 and 1887 of Title 4 of the California code of Regulations. The punishment for second and subsequent violations of section 19581 shall be greater than the punishment for a first violation of section 19581 with respect to each class of prohibited drug substances, unless the administrative law judge, in findings of fact and conclusions of law filed with the Board, concludes that a deviation from this general rule is justified. A third violation of section 19581 during the lifetime of the licensee, determined by the Board to be at a class I or class II level, may result in the permanent revocation of the person's license. The administrative law judge shall, after consideration of the circumstances surrounding a violation specified in paragraph (1), file a decision with the Board that includes findings of fact and conclusions of law. Any person whose license is suspended or revoked pursuant to this section shall not be entitled to receive any material benefit or remuneration in any capacity or from any business activity permitted or allowed by the license during any period of its suspension or revocation. The penalties provided by this section are in addition to any other civil, criminal, and administrative penalties or sanctions provided by law, and do not supplant, but are cumulative to, other penalties or sanctions. Business and Professions Code section 19461 provides that every license granted under this chapter is subject to suspension or revocation by the Board in any case where the Board has reason to believe that any condition regarding it has not been complied with, or that any law, or any rule or regulation of the Board affecting it has been broken or violated. All proceedings to revoke a license shall be conducted in accordance with Chapter 5 of Part 1 of Division 3 of Title 2 of the Government Code. Government Code section 11425.50 states the decision shall be in writing and shall include a statement of the factual and legal basis for the decision. Board Rule 1843, Medication, Drugs and Other Substances, provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules. Board Rule 1843.2, Classification of Drug Substances, categorizes and defines drug substances based on the Association of Racing Commissioners International (ARCI) drug classifications. Board Rule 1843.3, Penalties for Medication Violations, defines the penalties medication violations involving the substances defined and categorized in Board Rule 1843.2. 1843.3(i) prohibits a person whose license is suspended or revoked due to a medication violation from transferring horses to licensed family members.

#### RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
PROPOSED AMENDMENT OF  
RULE 1843.3. PENALTIES FOR MEDICATION VIOLATIONS.

Regular Board Meeting  
October 22, 2015

(a) In reaching a decision on a penalty for a violation of Business and Professions Code section 19581, the Board, the board of stewards, the hearing officer or the administrative law judge shall consider the penalties set forth in subsections (d) and (e) of this Rule and any aggravating and mitigating circumstances. Deviation from these penalties is appropriate where the facts of the particular case warrant such a deviation, for example: there may be mitigating circumstances for which a lesser or no penalty is appropriate, and aggravating factors for which a greater penalty is appropriate may increase the penalties beyond the minimum.

(b) Mitigating circumstances and aggravating factors, which must be considered, include but are not limited to:

- (1) The past record of the licensee regarding violations of Business and Professions Code section 19581;
- (2) The potential of the drug(s) to influence a horse's racing performance and the amount of the drug present;
- (3) The legal availability of the drug and whether the drug was prescribed by a California Horse Racing Board (CHRB) licensed veterinarian to the horse;
- (4) Whether there is reason to believe the responsible party knew of the administration of the drug or intentionally administered the drug;
- (5) The steps taken by the trainer to safeguard the horse;
- (6) The steps taken by an owner to safeguard against subsequent medication violations including, but not limited to, the transfer of the horse(s) to an unaffiliated trainer;

(A) For the purpose of this regulation "unaffiliated trainer" means a trainer or an assistant trainer who is not related by blood, marriage or domestic partnership, or who is not or was never employed by the trainer from whose care such horse(s) were transferred.

(7) The probability of environmental contamination or inadvertent exposure due to human drug use or other factors;

(8) The purse of the race;

(9) Whether the drug found to be present in the official test sample was one for which the horse was receiving treatment as determined and documented through the process described in Rule 1842 of this division;

(10) Whether there was any suspicious wagering pattern on the race;

(11) Whether the licensed trainer was acting under the advice of a CHRB licensed veterinarian.

(c) For the purpose of this regulation, the Board shall consider the classification of a drug substance as referred to in Rule 1843.2 of this division and the California Horse Racing Board (CHRB) Penalty Categories Listing By Classification, (4/15 ~~1/08~~), which is hereby incorporated by reference, if a determination is made that an official test sample from a horse contained:

(1) Any drug substance, medication, metabolites or analogues thereof foreign to the horse, whose use is not expressly authorized in this division, or

(2) Any drug substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in the article.

(d) Penalties for violation of each classification level are as follows:

## CATEGORY "A" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category A penalty are as follows:

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> LIFETIME offense</b>	<b>3<sup>rd</sup> LIFETIME offense</b>
<ul style="list-style-type: none"> <li>◦ Minimum one - year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$10,000 or 10% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000 or 25% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Board for any further action deemed necessary by the Board.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$20,000 or 25% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 50% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Board for any further action deemed necessary by the Board.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum three -year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of permanent license revocation.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$25,000 or 50% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Board for any further action deemed necessary by the Board.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> LIFETIME offense in owner's stable</b>	<b>3<sup>rd</sup> LIFETIME offense in owner's stable</b>
<ul style="list-style-type: none"> <li>◦ Disqualification of horse and loss of purse.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <p>Horse may be placed on the veterinarian's list for up to 90 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered.</p> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification of horse and loss of purse.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for up to 120 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification of horse, loss of purse and absent mitigating circumstances, minimum fine of \$10,000. The presence of aggravating factors could be used to impose a maximum fine of \$50,000.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for up to 180 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Referral to the Board with a recommendation of a suspension of owners license for a minimum of 90 days.</li> </ul>

**CATEGORY "B" PENALTIES**

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category B penalty are as follows:

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (two years <u>time period</u>)</b>	<b>3<sup>rd</sup> offense (five years <u>time period</u>)</b>
<ul style="list-style-type: none"> <li>◦ Minimum 30 -day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <b>AND/OR</b></li> <li>◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <b>AND/OR</b></li> <li>◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$20,000.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <b>AND/OR</b></li> <li>◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 10% of purse (greater of the two). <b>AND</b></li> <li>◦ May be referred to the Board for any further action deemed necessary by the Board.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense in stable (two years <u>time period</u>)</b>	<b>3<sup>rd</sup> offense in stable (five years <u>time period</u>)</b>
<ul style="list-style-type: none"> <li>◦ Disqualification of horse and loss of purse. <b>AND</b></li> <li>◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <b>AND</b></li> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification of horse and loss of purse. <b>AND</b></li> <li>◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <b>AND</b></li> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification of horse, loss of purse and absent mitigating circumstances minimum fine of \$5,000. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. <b>AND</b></li> <li>◦ Horse shall be placed on the veterinarian's list for up to 45 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <b>AND</b></li> <li>◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.</li> </ul>

**CATEGORY "B" PENALTIES FOR RULE 1843.6 TOTAL CARBON DIOXIDE (TCO<sub>2</sub>) TESTING**

Penalties for violations due to exceeding permitted levels of TCO<sub>2</sub> as defined in Rule 1843.6 are as set forth below. All concentrations are for measurements in serum or plasma.

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>	<b>2<sup>nd</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>	<b>3<sup>rd</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>
<ul style="list-style-type: none"> <li>Up to a 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a-60-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$1,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$5,000.</li> </ul>	<ul style="list-style-type: none"> <li>Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 120-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000.</li> </ul>	<ul style="list-style-type: none"> <li>Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>	<b>2<sup>nd</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>	<b>3<sup>rd</sup> offense TCO<sub>2</sub> (&gt; 37.0mm/l/-&lt;39mm/l)</b>
<ul style="list-style-type: none"> <li>Disqualification of horse and loss of purse.</li> </ul>	<ul style="list-style-type: none"> <li>Disqualification of horse and loss of purse.</li> </ul>	<ul style="list-style-type: none"> <li>Disqualification of horse, loss of purse and in the absence of mitigating circumstances, \$2,500 fine.</li> </ul>
<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>	<b>2<sup>nd</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>	<b>3<sup>rd</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>
<ul style="list-style-type: none"> <li>Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a-60-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000.</li> </ul>	<ul style="list-style-type: none"> <li>Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.</li> </ul>	<ul style="list-style-type: none"> <li>Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 365-day suspension.</li> </ul> <p style="text-align: center;"><b>AND/OR</b></p> <ul style="list-style-type: none"> <li>Minimum fine of \$10,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>	<b>2<sup>nd</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>	<b>3<sup>rd</sup> offense TCO<sub>2</sub> (≥ 39.0mm/l)</b>
<ul style="list-style-type: none"> <li>Disqualification of horse and loss of purse.</li> </ul>	<ul style="list-style-type: none"> <li>Disqualification of horse and loss of purse.</li> </ul>	<ul style="list-style-type: none"> <li>Disqualification of horse, loss of purse and a fine ranging from a minimum of \$5,000, up to a maximum of \$20,000.</li> </ul>

**CATEGORY "C" PENALTIES**

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category C penalty and for the presence of more than one non-steroidal anti-inflammatory (NSAID) in a plasma/serum sample, as defined in Rule 1844 of this division, and furosemide as defined in Rule 1845 of this division in an official test sample are as set forth below. All concentrations are for measurements in serum or plasma.

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period)</b>	<b>3<sup>rd</sup> offense (365-day period)</b>
◦ Minimum fine of \$500 to a maximum fine of \$1,000 absent mitigating circumstances.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500, and up to a 15 - day suspension absent mitigating circumstances.	◦ Minimum fine of \$2,500 and up to a 30 - day suspension absent mitigating circumstances

**CATEGORY "C" PENALTIES FOR RULE 1844, AUTHORIZED MEDICATION (C) (1), (2), (3)**

Penalties for violations due to overages for permitted non-steroidal anti-inflammatory drug substances (NSAIDs) as defined in Rule 1844 (c) (1), (2) and (3) of this division. All concentrations are for measurements in serum or plasma.

The official veterinarian shall consult with the treating veterinarian in all violations of 1844 (c). ~~With permission of the official veterinarian the trainer may elect to pay the minimum fine in lieu of a stewards' hearing.~~ If the trainer has not had an 1844 (c) violation within the previous three years, the official veterinarian or the board of stewards may issue a warning in lieu of a fine for violations of 1844 (c)(1), phenylbutazone, provided the reported level is below 5.1 mcg/ml.

<b>LICENSED TRAINER:</b>	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period)</b>	<b>3<sup>rd</sup> offense (365-day period)</b>
◦ Minimum fine of \$500 to a maximum fine of \$1,000.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.
<b>LICENSED OWNER:</b>	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period)</b>	<b>3<sup>rd</sup> offense (365-day period)</b>
No penalty administered.	No penalty administered.	No penalty administered.
<b>LICENSED TRAINER:</b>	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period)</b>	<b>3<sup>rd</sup> offense (365-day period)</b>
◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.	◦ Minimum fine of \$5,000 to a maximum fine of \$10,000.
<b>LICENSED OWNER:</b>	Phenylbutazone (≥ 5 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 5 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period)</b>	<b>3<sup>rd</sup> offense (365-day period)</b>
◦ Horse must pass Board-approved examination pursuant to Rule 1846 before being eligible to run	◦ Disqualification of horse and loss of purse. If same horse, placed on veterinarian's list for up to 45-days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.

(e) Violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category "D" penalty, may result in a written warning to the licensed trainer and owner. A Category "D" penalty for a first offense may result in a written warning or fine that will remain on the licensee's record for a period of two years. After the two year period, if the licensee has had no further violations of CHRB Rule 1843, the Category "D" penalty will be expunged from the licensee's record for penalty purposes.

**CATEGORY "D" PENALTIES**

1 <sup>st</sup> offense (365 day period)	2 <sup>nd</sup> offense (365 day period)	3 <sup>rd</sup> offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

**CATEGORY "D" PENALTIES FOR RULE 1844(e)(1) VIOLATIONS**

<b><u>Phenylbutazone 2.1ug/ml to 5.0 ug/ml</u></b>		
1 <sup>st</sup> offense (365 day period)	2 <sup>nd</sup> offense (365 day period)	3 <sup>rd</sup> offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

(f) If a licensee has received a penalty for a Class A, B or C medication violation, and within a period of 12 months has a subsequent lesser violation (e.g. an A violation followed by a B violation), the earlier violation shall count as a "first violation" for the purposes of determining the penalty for the subsequent lesser violation.

(g) If a licensee has received a penalty for a Class B, C or D medication violation, and within a period of 12 months has a subsequent greater violation (e.g. a D violation followed by a C violation), the earlier violation shall count as an aggravating factor for the purposes of determining the penalty for the subsequent greater violation.

(fh) Any drug or its metabolite or analogue thereof found to be present in an official test sample that is not classified in Rule 1843.2 of this division shall be classified as a Class 1 substance and a Category "A" penalty until classified by the Board.

(gi) The administration of a drug substance to a race horse must be documented by the treating veterinarian through the process described in Rule 1842 of this division.

(hj) Any licensee found to be responsible for the administration of any drug substance resulting in a positive test may be subject to the same penalties set forth for the licensed trainer and his presence may be required at any and all hearings relative to the case.

(1) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "A" shall be referred to the California Veterinary Medical Board (CVMB) for consideration of further disciplinary action.

(2) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "B" or "C" may be referred to the CVMB for consideration of further disciplinary action upon the recommendation of the Equine Medical Director, the board of stewards or hearing officers.

(ik) A licensee who is suspended, ~~or whose license is revoked,~~ because of a medication violation is not able to benefit financially during the period of suspension ~~or revocation~~. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or, for any licensee whose suspension is for more than 30 days, to any other licensee who has been an employee of the suspended licensee within the previous year.

(1) A licensee whose license is revoked because of a medication violation is not able to benefit financially following the revocation of his or her license. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or to any other licensee who has been an employee of the licensee whose license is revoked within the previous year.

(jl) ~~For the purpose of this regulation~~ "Licensed licensed-family members" means any person who holds an occupational license issued by the CHRB and who is related to the suspended licensee, or the licensee whose license is revoked, by blood, or by marriage or domestic partnership, or who is related by blood to the spouse or domestic partner of such licensee.

(1) ~~For the purpose of this regulation,~~ Licensed licensed-trainers suspended 60 days or more, ~~or whose license is revoked,~~ shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, during the period of suspension, ~~or revocation,~~ such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

(2) A trainer whose license is revoked shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, such trainer shall forfeit all assigned stall space and shall remove from the inclosures

all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

Authority: Sections 19440, 19461 and 19580,  
Business and Professions Code.

Reference: Sections 19461, 19580, 19581 and 19582,  
Business and Professions Code. Section 11425.50, Government Code.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
THE PROPOSED ADDITION TO CHRB RULE 1846.6, POSTMORTEM EXAMINATION  
REVIEW, TO REQUIRE A POSTMORTEM EXAMINATION REVIEW OF EACH EQUINE  
FATALITY WITHIN A CHRB INCLOSURE

Regular Board Meeting  
October 22, 2015

BACKGROUND

Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter. Business and Professions Code section 19444(c) further states that in performing its responsibilities, the Board may conduct research to determine more fully the cause and prevention of horse racing accidents, the effects of drug substances on the race horses, and the means for detection of foreign drug substances. Additionally, CHRB Rule 1527, General Authority of Stewards, gives the Stewards at each racetrack the general authority and supervision over all licensees and other persons attendant on horses, and also over the inclosures of any recognized meeting. CHRB Rule 1541, Power to Order Examination of Horse, also gives Stewards the specific authority to order an examination of any horse within the inclosure at any time by such persons as they see fit. CHRB Rule 1560, Duties of the Official Veterinarian, requires that the Official Veterinarian at each race track report to the Board the names of all horses humanely destroyed or which otherwise expire at the meeting and the reasons there for. Finally, CHRB Rule 1846.5, Postmortem Examination, presently requires a postmortem examination of every horse which dies or is euthanized within an area under the jurisdiction of the Board at a designated diagnostic laboratory.

Currently, under CHRB Rule 1846.5, a postmortem examination (also referred to as a "necropsy") is performed in a diagnostic laboratory operated by the California Animal Health and Food Safety laboratory system on every horse that dies within the inclosure in California. Additionally, Safety Stewards regularly interview jockeys and trainers whenever a horse suffers a fatal injury on the racetrack in training or competition. Finally, inquiry into the cause and circumstances behind an equine fatality also arises when a law or rule violation is suspected. Nevertheless, a thorough review of a horse's recent training and medication history is rarely conducted in the absence of suspicious or illegal circumstances, and consequently there is little opportunity for the CHRB to identify trends and behaviors that could help prevent future injuries.

To date, several racing jurisdictions, including New York and Kentucky, have implemented similarly structured equine fatality review panels with the purpose of better understanding the circumstances leading up to a fatal injury with the long-term goal of reducing overall injuries. These fatality review panels are geared towards fact gathering and educating all involved parties, and have generally received positive reception.

## ANALYSIS

The proposed addition of Board Rule 1846.6, Postmortem Examination Review, will establish a review process for every equine fatality that occurs within a CHRB inclosure, to be conducted by a three-person panel. Specifically, that panel will include a member of the Board of Stewards, a Safety Steward, and either the Equine Medical Director or a designated Official Veterinarian. The trainer and veterinarian for the deceased horse, as well as any other requested licensees, will be required to appear before the panel, and produce for review certain documents pertaining to the horse's training and medical history. Upon conclusion of the review, the panel will prepare and file a written report for the Executive Director which details their findings.

The creation of a postmortem examination review is meant to improve and encourage equine safety and welfare on the race track. The purpose of the postmortem examination review is to conduct an investigation into the circumstances surrounding an equine fatality in order to gain an understanding of all events that may have contributed to the incident. The act of conducting a postmortem examination review honors the deceased horse, provides case-specific recommendations to the horse's connections in order to prevent future injuries, and sends an unequivocal message to racing stakeholders and the general public that reducing equine fatalities is a major priority for the CHRB.

The postmortem examination review is intended to be an educational process for trainers and veterinarians, rather than a punitive effort, and will further advance the Board's research into the cause and prevention of horse racing accidents. The overall goal of the postmortem examination review is to establish a more interactive process to investigate and understand equine fatality more thoroughly, and importantly, provide feedback to licensees that may be of use in improving safety.

In May 2015, the Medication and Track Safety Committee considered an initial draft of proposed Rule 1846.6. At that time, the Committee indicated that they wanted language added that would further assure licensees that this process is purely meant for education and research purposes only, and would not be used as a basis for punishing misconduct. Accordingly, staff revised proposed Rule 1846.6 to add such intent language, and also made several minor additions to clarify procedural details. The Committee considered this revised version of proposed Rule 1846.6 at their August 2015 meeting, where they approved the item to go before the full Board.

At its September 2015 Regular Board meeting, the Board expressed concerns about the effect the review panel would have on investigations, as well as potential conflicts in timing between the two processes. The Board also indicated that the regulation should expressly state that documents provided by licensees will not be retained by the Board. Finally, the Board stated that the reports generated by the postmortem examination review panel should be provided to both the trainer and owner, as opposed to either-or. Staff has amended the text language to address the Board's requests and concerns.

## RECOMMENDATION

This item is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARIAN PRACTICES.  
PROPOSED ADDITION OF  
RULE 1846.6. POSTMORTEM EXAMINATION REVIEW

1846.6. Postmortem Examination Review.

(a) The Board shall conduct a postmortem examination review to determine the circumstances of each equine fatality within a California Horse Racing Board (CHRB) inclosure.

(b) The postmortem examination review shall be conducted by a member of the board of stewards, a safety steward and the Equine Medical Director or an official veterinarian designated by the Executive Director and Equine Medical Director.

(c) The trainer of the expired horse will be required to appear before the postmortem examination review panel. Additional licensees may also be required to appear at the discretion of the postmortem examination review panel. All licensees required to come before the postmortem examination review panel shall receive at least 10 days written notice before the date of the review panel.

(d) The trainer shall make available at the postmortem examination review the training records for the expired horse, which shall include exercise, medication and shoeing histories for a minimum of 60 days prior to the date of death of the horse.

(e) All CHRB licensed veterinarians attending or treating a horse having died within a CHRB inclosure shall make available at the postmortem examination review a summary medical record covering a minimum of 60 days prior to the date of death of the horse, or longer if requested by the postmortem review panel. The summary medical record shall include:

(1) A history or pertinent information as it pertains to the horse's medical status, including an interpretation of all diagnostic imaging and laboratory findings.

(2) Data, including that obtained by instrumentation, from the physical examination.

(3) Treatment and intended treatment plan, including medications, dosage and frequency of use.

(4) All medications and treatments prescribed and dispensed, including strength, dosage, route of administration, quantity, and frequency of use.

(5) Daily progress and disposition of the case.

(6) Copies of laboratory data, if requested by the postmortem review panel.

(7) Copies of diagnostic images including but not limited to radiographs, ultrasounds and nuclear scintigraphies, if requested by the postmortem review panel.

(f) All documents provided by licensees under subsections (d) and (e) for purposes of conducting the postmortem examination review shall not be retained by the CHRB beyond the duration of the postmortem examination review, and shall be promptly returned to the licensee providing them.

(g) Upon completion of the postmortem examination review, the postmortem examination review panel shall file a written report detailing their findings with the Executive Director and the owner and trainer of the expired horse within 90 days of the postmortem examination review.

(h) It is the intent of the CHRB that the postmortem examination review be conducted for education and research purposes only. The postmortem examination review shall be conducted separate and apart from any disciplinary investigation conducted by the CHRB Enforcement Division. In the event a disciplinary investigation into the circumstances surrounding a horse fatality is initiated by the CHRB Enforcement Division, the postmortem examination review shall be postponed, to the extent possible, until such investigation is complete. The postmortem examination review panel's report shall not be the basis for any disciplinary investigation.

Authority: Section 19440 and 19444(c),

Business and Professions Code.

Reference: Sections 19345 and 19444(c),  
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARIAN PRACTICES.  
PROPOSED ADDITION OF  
RULE 1846.6. POSTMORTEM EXAMINATION REVIEW

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(c) The trainer of the expired horse will be required to appear before the postmortem examination review panel. Additional licensees may also be required to appear at the discretion of the postmortem examination review panel. All licensees required to come before the postmortem examination review panel shall receive at least 10 days written notice before the date of the review panel.

(d) The trainer shall make available at the postmortem examination review the training records for the expired horse, which shall include exercise, medication and shoeing histories for a minimum of 60 days prior to the date of death of the horse.

(e) All CHRB licensed veterinarians attending or treating a horse having died within a CHRB inclosure shall make available at the postmortem examination review a summary medical record covering a minimum of 60 days prior to the date of death of the horse, or longer if requested by the postmortem review panel. The summary medical record shall include:

(1) A history or pertinent information as it pertains to the horse's medical status, including an interpretation of all diagnostic imaging and laboratory findings.

(2) Data, including that obtained by instrumentation, from the physical examination.

## SEPTEMBER TEXT WITH REVISIONS

(3) Treatment and intended treatment plan, including medications, dosage and frequency of use.

(4) All medications and treatments prescribed and dispensed, including strength, dosage, route of administration, quantity, and frequency of use.

(5) Daily progress and disposition of the case.

(6) Copies of laboratory data, if requested by the postmortem review panel.

(7) Copies of diagnostic images including but not limited to radiographs, ultrasounds and nuclear scintigraphies, if requested by the postmortem review panel.

-(f) All documents provided by licensees under subsections (d) and (e) for purposes of conducting the postmortem examination review shall not be retained by the CHRB beyond the duration of the postmortem examination review, and shall be promptly returned to the licensee providing them.

(g) Upon completion of the postmortem examination review, the postmortem examination review panel shall file a written report detailing their findings with the Executive Director and the owner ~~and~~ trainer of the expired horse within 90 days of the postmortem examination review.

(hg) It is the intent of the CHRB that the postmortem examination review be conducted for education and research purposes only, and that The postmortem examination review shall be conducted separate and apart from any disciplinary investigation conducted by the CHRB Enforcement Division. In the event a disciplinary investigation into the circumstances surrounding a horse fatality is initiated by the CHRB Enforcement Division, the postmortem examination review shall be postponed, to the extent possible, until such investigation is complete. disciplinary action will not be taken against any licensee as a direct result of the pThe postmortem examination review panel's report shall not be the basis for any disciplinary investigation.

Authority: Section 19440 and 19444(c),  
Business and Professions Code.

Reference: Sections 19345 and 19444(c),  
Business and Professions Code.

## STAFF ANALYSIS

DISCUSSION BY THE BOARD REGARDING THE REPORT FROM STAFF  
EXPLAINING THE PROCESS AND SCIENCE USED FOR MAINTAINING TRACK  
SURFACE CONDITIONS AT CALIFORNIA RACETRACKSRegular Board Meeting  
October 22, 2015

## BACKGROUND

Business and Professions Code section 19841 requires the Board to establish safety standards to govern the uniformity and content of the track base and racing surface in order to improve the safety of horses, riders and workers at the racetrack. Article 3.5 Track Safety Standards of the Board's Rules and Regulations contains the rules that govern the CHRB Track Safety program. These safety standards primarily describe rail and track design specifications. The rules do not reflect the racing surface test and evaluation technology that has developed in the industry over the last 10 years.

The California Horse Racing Board (CHRB) Racing Safety Program was initiated in 2010 to establish standards for the evaluation of dirt, synthetic and turf racing surfaces. An understanding of critical issues for minimizing the incidence of fatal injuries at the racetrack has been developed by this program:

- Fatal injuries are predominately due to cumulative damage to a particular musculoskeletal component. This cumulative damage occurs as the result of repetitive training and racing.
- The racing surface plays a role in establishing the load, and therefore the cumulative damage, to the musculoskeletal system during racing and training.
- For over 80% of fatal musculoskeletal injuries, a pre-existing condition has developed over time that leads to the fatal breakdown.
- The hardness and consistency of the racing surface are the critical surface variables that affect the load transferred to the horse's musculoskeletal system.
- The CHRB has focused on verifying technology and test processes to establish acceptable operating ranges for California's racing surfaces.

The CHRB has established two test protocols for all three types of racing surfaces. A full evaluation of the track is conducted at the beginning of every race meeting. Daily measurements are taken on a selective basis to monitor variations that may require the attention of the track superintendent.

## ANALYSIS

The CHRB uses a two-tiered process for monitoring track surface conditions at California racetracks. A track Safety Steward in Sacramento is dedicated to track safety issues. A field Safety Steward is assigned to each racetrack meeting. At the beginning of each race meeting, the track Safety Steward and field Safety Steward mutually conduct a comprehensive evaluation of the racing surfaces. Subsequently, on each racing day, the field Safety Steward gathers the data to measure critical track performance parameters. He delivers the data to the track Safety Steward and they discuss issues and trends. They mutually agree on whether the data needs to be discussed with the association Track Superintendent. This data is presented to the Track Superintendent as information to be considered during formulation of maintenance and renovation plans.

Dirt racing surfaces provide the most variability in performance, primarily because of the effect of moisture content on material properties and maintenance procedures on surface hardness. The redesign of the Santa Anita racetrack in 2010 and 2011 from a synthetic to a dirt surface afforded the CHRB the opportunity to develop an extensive data base on the variables that affect dirt track performance. The mechanical hoof tester is used at least once a year on every California dirt track to measure the overall hardness of the surface. The variables that are now measured on a regular basis (that directly affect the hardness of the surface) include the moisture content of the cushion material, composition of the cushion material, the cushion depth and the pad hardness. Pad hardness is measured with a Clegg Impact Tester.

There is one synthetic race track still operating in California at Golden Gate Fields (GGF). The surface was put into operation in 2008. The performance of the GGF surface has been shown to be primarily dependent on changes in the wax and fiber content of the Tapeta surface. GGF has adopted a regular maintenance protocol that restores the resilience of the surface through the addition of new fiber material. The mechanical hoof tester is used at least once a year to measure the overall hardness of the synthetic surface.

Turf course racing surfaces are used at four California racetracks (Santa Anita, Del Mar, Golden Gate Fields and Santa Rosa). The installation of a new turf course at Del Mar in 2014 afforded the CHRB the opportunity to identify acceptable ranges for surface hardness. Surface hardness for each turf course is now regularly measured at the start of each meeting with the Clegg Impact Tester and the Going Stick Penetrometer. Results at Del Mar and Santa Anita have shown a strong correlation between vertical forces measured by the two instruments. During the meeting, Clegg measurements are taken on a daily basis to check the hardness of the surface. Turf course hardness is primarily impacted by moisture content. Regular moisture measurements can be correlated with hardness measurements to optimize watering schedules. Turf course hardness is also affected by aeration maintenance procedures. Turf course safety also depends on the consistency of the turf course. During the racing meeting, the field Safety Steward conducts regular visual inspections of the turf course to determine if divots and uneven areas are a concern.

The CHRB has been working with Biomechanically Applied Engineering (BAE) (under the direction of Dr. Michael Peterson) since 2012 to help establish a data base of the measurements

taken during the Track Safety Program. BAE is developing the national Maintenance Quality System that will ultimately be used to correlate injury data, track design and setup information, seasonal and daily race meeting test data and maintenance operations tracking. Nine different race tracks are participating in the program including Del Mar and Santa Anita in California and all three major racetracks in New York.

#### RECOMMENDATION

This item is presented for discussion by the Board.

## STAFF ANALYSIS

DISCUSSION BY THE BOARD REGARDING THE REPORT FROM STAFF  
REGARDING THE STATUS OF THE MICROCHIP PILOT PROJECTRegular Board Meeting  
October 22, 2015

## BACKGROUND

In late 2014, the CHRB announced the initiation of the Microchip Pilot Project. The objectives of the project are to enhance racehorse identification with state-of-the-art microchip technology and to develop an accurate process for regular inventory reporting and horse movement tracking at all licensed racetrack and training facilities.

The CHRB is collaborating with The Jockey Club's InCompass Solutions and the California Department of Food and Agriculture (CDFA) on the Microchip Pilot project. InCompass has developed a microchip module for its Racetrack Operations (RTO) software. The CDFA is participating because it is responsible for Equine Disease Control Response and Management in California.

Radio-frequency Identification (RFID) Microchips contain a passive transponder programmed with a unique ISO-compliant number. A veterinarian implants the microchip using a specially designed single-use syringe. Once in place, these microchips can be read by any ISO-compliant scanner. The scanner is passed over the neck of the horse and reads the microchip. The scanner transmits the information to a hand-held tablet computer that is connected via the internet to the InCompass Solutions software. The information is automatically uploaded to InCompass in less than one minute, eliminating the need for labor-intensive paperwork. Subsequently, the data can be analyzed by the racing office for a single facility or to track movement specific to a particular horse. Implementation of this system approach will ensure consistent and accurate identification, inventory assessment and movement tracking at all of our racing and training facilities.

## ANALYSIS

InCompass Solutions has completed development of the microchip module for the Racetrack Operations software. The CHRB has acquired scanner and tablet computer hardware that is necessary to transmit the microchip data to InCompass Solutions. The CHRB has tested the software and uploaded microchip records to the InCompass software.

The CHRB has initiated Pilot Project operations at the Golden Gate Fields and Alameda County Fairgrounds racetracks. This approach is being taken for three reasons. First, these two racetracks are located in Northern California close to CHRB headquarters in Sacramento. Second, there are relatively small horse populations at these two tracks that make it more cost effective to fully implement and test the new operating system. Lastly, these two racetracks have significant back-and-forth shipping that will be used to verify the utility and accuracy of the

horse movement tracking process. Both racetracks have been instrumental in defining operating requirements and have provided consistent support for the pilot project.

The CHRB has purchased 1200 microchips for use at Golden Gate Fields and 500 microchips for use at the Alameda County Fairgrounds. The CHRB has micro-chipped 124 horses to date and there are zero reports of complications. It is the CHRB's goal to microchip all of the horses at these two facilities. Pilot demonstration of the InCompass software will be initiated before the end of 2015. The outcomes and lessons learned from the pilot project will resolve how to best implement a statewide microchip requirement.

In August of this year, The Jockey Club announced that, starting in 2017, microchips will become a requirement for the registration of all foals. A microchip will be provided by The Jockey Club with all registration application kits. Additionally, in 2016 owners will have the option to request free microchips with registration application kits when they report the birth of a live foal. As a result, some 2016 foals with microchips will arrive at California racing and training facilities as soon as 2018.

Staff has performed an analysis of the project timeline that would be required to have a fully functioning microchip program in place prior to 2018. A tested and verified system would allow the seamless inclusion of the 2018 two-year olds into the microchip operating system. The critical schedule gates are summarized below:

- Complete the Pilot Program by mid 2016.
- Micro-chip regulation in place by the beginning of 2017.
- All horses in training micro-chipped by the end of 2017.
- Hardware implementation and software training complete at all facilities by the end of 2017.

In order to have a regulation in place in about 15 months, it is concluded that the regulatory process should be initiated.

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

**CALIFORNIA HORSE RACING BOARD**

**OCTOBER 22, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 21**

DISCUSSION REGARDING FANTASY SPORTS AND THE POTENTIAL IMPACT  
ON THE HORSE RACE INDUSTRY IN GENERAL

Regular Board Meeting  
October 22, 2015

BACKGROUND

Fantasy Sports Generally

A “fantasy sport” is a game where participants act as fictitious team owners to build an imaginary or virtual team of real players from a professional sport that then compete against other fantasy teams. In general, scores are determined by converting performance statistics of each real player on a fantasy team into points that are compiled and totaled according to a roster selected by the fantasy team owner. There is also typically an ability to trade, cut, and sign players over the course of a season, like a real sports owner. Fantasy sports fans are considered an ideal demographic for advertisers and sports leagues because many fantasy players will watch a game even if it is no longer competitive, so long as a member of their team can still score them points in their fantasy game. Furthermore, while a traditional sports fan might only follow and watch a single team, fantasy sports fans tend to watch more games, since their players are often selected from a variety of real teams. According to one article, non-betting, yearly-based fantasy sports users have grown 25% since 2011, totaling an estimated 51.8 million players in the US and Canada in 2015. Another source estimates that Americans will spend approximately \$15 billion playing fantasy sports games this year, and that the economic value of all tangible and intangible fantasy sports market activity (e.g. value of time spent on fantasy sports, costs of advertising, actual expenditures, etc.) totals somewhere between \$40 billion and \$70 billion annually.

Daily Fantasy Sports

In recent years, a narrower iteration of the fantasy sports concept called “Daily Fantasy Sports” (DFS) has enjoyed immense growth in the United States. DFS games are single-day contests that have the participants draft teams of players under some sort of guidelines (the most popular being a “salary cap” game, where the player has to pick their team within the confines of a fictional budget for athlete salaries). Like all fantasy sports, the participant with the most statistical performance points wins the game. The major difference between traditional season-long fantasy sports games and DFS games though is the ability to adjust teams over the course of the season by trading, cutting, signing, and benching players. Due to the short duration of DFS games, players are often given only a single opportunity to draft their team, locking in their selections at the beginning of the first game of the day. Ultimately, this eliminates a player’s ability to make ongoing strategic decisions during a contest, and instead requires a single effort to draft a winning team.

Although only approximately six years old, DFS games have exploded in popularity. While currently only a small subset of traditional fantasy sports players participate in DFS games, one research company estimates that DFS games will generate \$2.6 billion in entry fees in 2015, and continue to grow 41% annually, reaching \$14.4 billion by 2020.

The market leaders of pay-to-play DFS games in the United States are Draft Kings and FanDuel. With financial backing coming from major media companies, professional sports leagues, and other venture capitalists, both companies have recently raised over \$350 million each to help fund their enterprises, which are now both valued in excess of \$1 billion. Various sources indicate these companies are anticipated to accrue up to \$200 million in revenue this year alone while still paying out a combined \$3 billion in prizes.

#### Legality of Fantasy Sports

Given the rapid expansion of the fantasy sports industry, these contests—especially DFS games—have received heightened legal scrutiny in recent months. Although a federal exception exists for the operation of fantasy sports contests under the Unlawful Internet Gaming Enforcement Act of 2006 (UIGEA), individual states have begun to consider bans and regulations on such games.

Washington, Montana, Iowa, Arizona, and Louisiana already have such strict laws in place when it comes to online contests that almost none of the major DFS companies operate in those jurisdictions. Furthermore, the head of Michigan's Gaming Control Board recently asserted that DFS games are "illegal under current Michigan law." Other states, such as Nevada and Massachusetts, have announced that they are in the process of reviewing the legality of such games, with legal commentators suggesting that DFS games could be presently unlawful in as many as twelve states. These inquiries come amid a recent "insider-trading" scandal involving a Draft Kings employee winning a \$350,000 payout on a FanDuel contest.

In California, Fantasy Sports and DFS games are operating with little to no restraint. Because it is considered a "skill-based" game, DFS operators have been successful in running their websites as legal "contests," as opposed to illegal lotteries. Under Penal Code section 319, a "lottery" is defined as a scheme containing the elements of (1) a prize, (2) distribution by chance, and (3) consideration. A fantasy sports contest with an entry fee and a prize automatically satisfies the first and third elements, and therefore the key issue is whether or not distribution of that prize is determined predominantly by skill or chance. Known in California courts as the "dominating factor test," the line between skill and chance remains grey at best. Nevertheless, DFS games have yet to be challenged in California as an illegal lottery, although efforts in the legislature could substantially alter the operation of these games moving forward.

In September 2015, Assemblymember Adam Gray introduced legislation in California that would establish state regulation and licensure of fantasy sports games. AB 1437 would enact the "Internet Fantasy Sports Games Consumer Protection Act," which would require a person or entity to apply for, and receive, a license from an overseeing department prior to offering an internet fantasy sports game for play in California. The bill would also require certain internet security standards and the payment of annual fees. Finally, the bill would place restrictions on fantasy sports games very similar to those laid out in UIGEA. AB 1437 is a two-year bill that was introduced in February 2015, and amended to its current form in September 2015. It is slated to next be heard by the Assembly Committee on Governmental Organization.

## ANALYSIS

### Fantasy Horse Racing

While the majority of fantasy sports games and contests revolve around major team sports such as basketball, football, and baseball, the concept has managed to permeate nearly every other type of sport in the United States as well, including horse racing.

Fantasy horse racing (FHR) has taken on a wide variety of formats depending on the provider. Some FHR leagues try hard to mimic traditional fantasy sports by allowing the player to choose a “team” of horses, jockeys, and trainers. The player then selects who they want placed in their “starting gate” each week, and at the end of the league’s season, the player who has accumulated the most fictional purse earnings wins their league. Other websites allow players to bet fantasy money on the outcome of weekly races. At the end of the season, the players with the highest number of winning fantasy wagers receive various prizes.

The key component of many of these websites, however, is that the player does not have to pay money to join or participate. Whether it is for entertainment or promotional purposes, such leagues do not likely violate any federal or state gambling statutes because they do not actually require the player to pay any consideration.

### Effect of Fantasy Sports on Horse Racing

With fantasy sports continuing to grow in popularity in the United States, the horse racing industry is tasked with understanding and responding to the potential impacts this will have on California racing. Media commentators have already been quick to acknowledge the strong similarities between DFS games and horse racing’s handicapping, both of which involve deep analysis of a variety of statistical and physical factors to determine which player (or horse) is likely to perform the best. Accordingly, many have come to the conclusion that DFS contestants would make ideal horseplayers given the comparable skills required for successful wagering. This concept is encouraged by the fact that the average fantasy sports player is a 34 to 37 year-old Caucasian male—a demographic that is otherwise waning in the horse racing industry.

Until these younger DFS players turn their sights towards racing though, the fantasy sports market will likely continue to siphon this demographic’s interest and money away from the horse racing industry. This is especially likely considering that DFS “takeout” makes up only about 10% of entry fees, which is more than half of horse racing’s average takeout.

Nevertheless, there still lies some uncertainty ahead for fantasy sports games in general. With regulation of the industry appearing more and more imminent, the restrictions that state and federal lawmakers put on this market could vary widely. As such, horse racing’s stakeholders may be inclined to involve themselves in this regulatory process to protect and best serve the interests of horse racing in California.

## RECOMMENDATION

This item is presented for Board discussion.

**The New York Times** | <http://nyti.ms/1GHNGaH>

SPORTS

# Fantasy Sports Said to Attract F.B.I. Scrutiny

By JOE DRAPE and JACQUELINE WILLIAMS OCT. 14, 2015

The Federal Bureau of Investigation has begun an inquiry into the practices of booming daily fantasy sports websites after players of the games and lawmakers made allegations of predatory tactics and questioned the use of insider information, according to fantasy players who said they had been contacted by investigators.

The F.B.I. began contacting several prominent competitors in the contests, the players said, shortly after an employee of DraftKings, one of the two most prominent daily fantasy companies, admitted to inadvertently releasing data before lineups for the start of the third week of N.F.L. games were locked in. The employee, a midlevel content manager, then won \$350,000 at a rival site, although DraftKings said he did not have an advantage.

The players said that they were interviewed by agents from the bureau's Boston office, who seemed to focus primarily on DraftKings, a Boston-based company. They also said that agents were examining whether the site encouraged and accepted deposits and bets from states where the contests were prohibited.

The information under review includes a post by Jon Aguiar, an executive in charge of developing high-volume fantasy players, on a public thread informing players how to deposit funds and play in contests in states and countries where the games are prohibited.

The daily fantasy sites, worth billions of dollars on paper because of a surge of investors, have exploded in popularity and this season have blanketed football game broadcasts with ubiquitous advertisements to lure more participants. Players pay an entry fee, build virtual rosters of players from actual teams and win prizes, from \$22 to \$2 million, based on the performance of the chosen players in real games. Major League Baseball and the National Basketball Association, along with networks such as NBC, Comcast and Fox and the team owners Robert K. Kraft of the New England Patriots and Jerry Jones of the Dallas Cowboys, are among the investors in the sites.

The F.B.I. agents also wanted to know whether employees of DraftKings passed on proprietary information or preyed on fantasy players in contests, the players said.

It was unclear how far the investigation had proceeded. The F.B.I. declined to comment.

DraftKings and the rival site FanDuel have acknowledged that their employees — many of whom regularly rank among the most consistent big winners — have played and won significant money on each other's sites.

A FanDuel spokeswoman has said that DraftKings employees have won less than \$10 million on FanDuel. In the wake of allegations concerning the use of insider information, the websites barred their employees from competing in daily fantasy sports.

The allegations have also raised questions for the unregulated industry, including whether or not professional fantasy players have an unfair advantage over regular players. Sports Business Daily found that over the first half of this year's Major League Baseball season, 91 percent of player profits in daily fantasy sports were won by just 1.3 percent of the players. In fact, the top 11 players on average paid \$2 million in entry fees and made \$135,000 in profit while accounting for 17 percent of all entry fees.

Many of the professionals use automated processes that let them change hundreds, if not thousands, of lineups in seconds, a decided advantage when last-minute changes are made in the lineups of real football, basketball or baseball teams.

The Wall Street Journal first reported on the F.B.I. interviews of players.

Last week, the New York attorney general opened an inquiry into the prospect that employees of DraftKings and FanDuel won lucrative payouts based on information not available to the public. In addition, three class-action lawsuits have been filed alleging fraud — the most recent in Louisiana, where the operation of daily fantasy sports sites is prohibited. The plaintiff, Artem Genchanok, a New Orleans resident, said he had paid entry into DraftKings and FanDuel contests and deposited money on their websites despite the prohibition.

Lawmakers have been intensifying calls for federal regulation and inquiries into the industry.

In Washington, Representative Hakeem Jeffries, Democrat of New York and a member of the House Judiciary Committee, this week called on the panel to examine “whether permitting a multibillion-dollar industry to police itself serves the best interests of the American people.”

Senator Robert Menendez and Representative Frank Pallone Jr., Democrats of New Jersey, held a news conference Tuesday outside MetLife Stadium, the home of the Giants and the Jets, to reiterate their calls on the Federal Trade Commission to implement safeguards and ensure a fair playing field.

And Senator Richard Blumenthal, Democrat of Connecticut, on Monday formally called for a federal investigation into any deceptive or fraudulent practices at daily fantasy sports leagues.

“Consumers had no foreseeable knowledge that these companies were facilitating employees’ use of proprietary data to provide themselves with an advantage when playing users on their rival site,” Blumenthal said in a letter to the Justice Department and the F.T.C. “If employees are using insider information to unfairly advantage themselves over others, this may constitute fraud regardless of any other federal or state gambling statutes.”

A version of this article appears in print on October 15, 2015, on page A1 of the New York edition with the headline: Fantasy Sports Said to Attract F.B.I. Scrutiny.