

**CALIFORNIA HORSE RACING BOARD**

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## REGULAR MEETING

of the California Horse Racing Board (CHRB) will be held **Thursday, May 26, 2016**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of April 21, 2016.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Angeles Turf Club, Inc. dba Santa Anita Park in the amount of \$108,891 to ten beneficiaries.**
5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of Watchandwager.com, LLC in the amount of \$4,700 to six beneficiaries.**
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Oak Tree Racing Association at the Alameda County Fair (F), at Pleasanton, (Race meeting to be called "Oak Tree at Pleasanton") commencing June 15, 2016 through July 5, 2016, inclusive.**
7. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento, commencing July 6, 2016 through July 26, 2016, inclusive.**

8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing July 13, 2016 through September 6, 2016, inclusive.
9. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing July 27, 2016 through August 16, 2016, inclusive.
10. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at the Race & Sports Authority LLC, in Bonita, California**.
11. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to adjust the jockey riding fee scale pursuant to Business and Profession Code section 19501.
12. Discussion and action by the Board regarding **the proposed amendment to CHRB Rule 1688, Use of Riding Crop**, to allow a jockey to use the riding crop four times in succession, allowing the horse to respond before using the riding crop again, during the last 16<sup>th</sup> of a mile in a thoroughbred race.
13. Report from the **Pari-Mutuel/ADW, Satellite and Simulcast Committee**.
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Richard Rosenberg, Vice Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

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**PENDING LITIGATION**  
**MAY 2016**

**COURT LITIGATION**

- A. Jose DeLaTorre vs. CHRB**  
District Court of Appeal
- B. San Luis Rey Racing, Inc. (SLRR) vs. CHRB, et al**  
District Court of Appeal
- C. Jeff Mullins vs. CHRB, et al**  
Superior Court of California, County of San Diego
- D. Gustavo DeLaTorre vs. CHRB and LAQH Racing Association**  
Superior Court of California, County of Los Angeles
- E. Julio Canani vs. CHRB**  
Superior Court of California, County of Los Angeles
- F. Donald Lockwood vs. CHRB, et al**  
Superior Court of California, County of Los Angeles

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**PENDING ADMINISTRATIVE ADJUDICATIONS  
MAY 2016**

**CASE**

**A. Proposed Decision**

**Owner Harold McPherson**

Appeal of the Board of Stewards Official Ruling #41, Pacific Racing Association.

**B. Proposed Decision**

**Assistant Trainer Ramon Solis Pulido**

Appeal of the Board of Stewards Official Ruling #42, Pacific Racing Association.

**C. Revised Proposed Decision**

**Trainer Miguel Gonzalez**

Case Number: 15GG0118

And

**Revised Proposed Decision**

**Owner Candido Galeote**

Case Number: 15GG0126

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Golden Gate Fields, Bayview Lounge**, 1100 Eastshore Highway, Berkeley, California, on **April 21, 2016**.

Present:       Chuck Winner, Chairman  
                  Richard Rosenberg, Vice-Chairman  
                  Madeline Auerbach, Member  
                  Steve Beneto, Member  
                  Jesse H. Choper, Member  
                  Rick Baedeker, Executive Director  
                  Jacqueline Wagner, Assistant Executive Director  
                  Robert Miller, General Counsel

#### **APPROVAL OF THE MINUTES OF MARCH 24, 2016**

Chairman Winner asked for approval of the minutes of the Regular Meeting of March 24, 2016.

Vice-Chairman Rosenberg stated that page 1-10 had a punctuation error. He said the first sentence period should be a colon to make it clear that everything said after that was from the committee, rather than at the Board meeting. Commissioner Beneto **motioned** to approve the minutes as amended. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None.

Motion carried.

#### **EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Rick Baedeker reported that the amendment of Rule 1489, Grounds for Denial or Refusal of License, was adopted by the Office of Administrative Law (OAL) and will become effective July 1, 2016. Executive Director Baedeker said at its February 2016 regular meeting, the Board amended the proposed text to Rule 1699, Riding Rules. He stated the OAL determined the changes were minor, and allowed the proposed amendment to Rule 1699 to have a 15-day public comment period. Executive Director Baedeker reported staff was reviewing the

trainer's testing procedures. He said the trainer's test required an applicant to pass a written test, a practical horsemanship exam, and an interview with an official veterinarian to prove competency. He said antiquated questions for the written test have been removed, and staff created 80 new questions, some of which were supplied by the National Thoroughbred Racing Association Safety Committee. The next step was to work with the California Thoroughbred Trainers to better coordinate the horsemanship portion of the exam. He stated staff was developing a proposal to add a fourth element to the process to determine if the applicant should serve an apprenticeship period before becoming a licensed trainer. Executive Director Baedeker said staff would be conducting a workshop in May 2016 to review the out-of-competition testing program, and related enforcement topics, to determine how to expand the program over the next few years. Executive Director Baedeker reported that on-track year-to-date handle decreased by .65 percent. He said despite an additional day of racing, on-track daytime handle was down .5 percent for January through March 2016. Nighttime handle was down 1.8 percent. He reported that for the month of March 2016, overall daytime handle decreased 0.25 percent and nighttime handle decreased nearly 11 percent. He said the significant increase in rain from March 2015 compared to March 2016 was a significant factor to the change in handle.

#### **PUBLIC COMMENT**

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John Valenzuela, Pari-Mutuel Employees Guild - Local 280 (Local 280), stated he would like the Advance Deposit Wagering (ADW) licensing issue with Local 280 to be placed on the May 2016 Regular Board meeting agenda. He said to follow up his verbal request he would submit a letter in writing addressed to Executive Director Rick Baedeker, Assistant Executive Director Jacqueline Wagner, and Staff Counsel Philip Laird. He stated it was brought to his attention that

on the TVG website and Channel 605 on DIRECTV there was advertising that stated individuals could go to any 7-Eleven store throughout the State of California and deposit money into their ADW accounts for wagering purposes. He said he was concerned that this would draw people away from on-track wagering, which would affect purse money, on-track handle, and attendance. He stated the company was called PayNearMe and was subcontracted by TVG, but was not licensed by the State of California. PayNearMe had over 8,500 locations throughout the state. He stated he believed the company was targeting people that did not have the electronic means or debit cards to deposit money into their online wagering accounts; the demographic of people that paid with cash was 25-28 percent. Vice-Chairman Rosenberg asked if an individual could open a TVG account at a 7-Eleven, or were they only able to make deposits to an existing account. Mr. Valenzuela stated he was not sure. Chairman Winner said that was a key question that should be answered. Mr. Valenzuela stated his main concern was that anyone that was involved in horse racing, such as individuals working on a race track, had to be licensed by the CHRB, but PayNearMe was not licensed. Executive Director Baedeker said he thought the function of PayNearMe was similar to PayPal; which was licensed by different California regulatory bodies as part of the banking industry. He stated PayNearMe would not be licensed by the CHRB unless it was involved in opening an ADW account, or involved in the wagering. Mr. Valenzuela said PayNearMe was used by individuals to pay rent or utility bills; however, it was also used to deposit into ADW accounts for the means of pari-mutuel wagering, which he believed extended into the realm of horse racing. Chairman Winner stated the question was whether or not the PayNearMe locations affected wagering at the track. He said he did not know the answer to that; however, his understanding was people could deposit with a debit card or wire transfer to their ADW accounts. For example, when an individual had a TVG or XpressBet account, the individual would not go to the race track to make a deposit into his or her account, it

would be done online. He stated Executive Director Baedeker would find out more how the process worked and put it in his report for the next meeting. Alan Balch, California Thoroughbred Trainers (CTT), stated on behalf of the Arcadia Historical Society Walk of Champions, California Thoroughbred Horsemen's Foundation, the City of Arcadia, the Stronach Group, Del Mar Thoroughbred Club, Los Alamitos, Thoroughbred Owners of California, and the CTT, the Board was invited to attend the Walk of Champions Gala Celebration on May 24, 2016. Dorothy Burt, member of the Arabian Racing Association of California, stated she would provide the Board a summary from last year's purses, handles, overnight stakes, and the stakes races for Arabians. She said the summary showed Arabians filled races and made it possible for thoroughbreds to move higher on the card and not run for small purses. She stated it was more economical to pay Arabians to fill a race or a card and that was okay, but she was concerned because Arabians were losing races and venues in 2016. She said a major concern was the cost of insuring Arabians. Insurance was only available through the State Fund insurance, which cost \$293 a horse per start and the high cost was driving people out of the industry. She stated California was the only state that required insurance to start a horse; in other states jockeys were considered independent contracts and carried their own insurance.

**DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS, (CARF) TO REALLOCATE SAN JOAQUIN COUNTY FAIR AT STOCKTON'S SEPTEMBER 21, 2016 THROUGH OCTOBER 4, 2016 RACE DATES TO CARF, TO BE RUN AT THE ALAMEDA COUNTY FAIRGROUNDS.**

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Philip Laird, CHRB Staff Counsel, stated the California Authority of Racing Fairs (CARF) was requesting to reallocate the San Joaquin County Fair at Stockton's (SJCF) race meeting dates of September 21, 2016 through October 4, 2016 to CARF, to be run at the Alameda County Fairgrounds (Alameda) in accordance with Business and Professions Code Section 19530. He

said the Board allocated the SJCF race dates in August 2015. SJCF informed staff in March 2016 that it did not intend to run its 2016 race meeting. SJCF voted to transfer its allocated racing dates to CARF. Mr. Laird said the situation fell within CHRB Rule 1430, Allocation of Racing Weeks and Dates, which allowed the Board to reconsider or amend race dates for unforeseen conditions at the time of the allocations. Commissioner Auerbach asked if staff inquired why SJCF asked the Board for racing dates and later decided it did not want the dates. Mr. Laird replied staff had only received a letter from SJCF which was included in the Board package. Chris Korby, CARF, said CARF and other industry leaders previously appeared before the Board with a variety of issues involving two or more parties and were consistently asked to work it out amongst themselves prior to presenting the issue to the Board. He said with that in mind, CARF presented a solution by asking the Board to reallocate the dates from SJCF to CARF and hold the race meeting at Alameda. He stated SJCF concluded that the allocated race dates in September 2016 were not economically feasible. SJCF worked with CARF and other fair representatives to determine how to reallocate its race meeting dates in the best interest of all the California fairs. Commissioner Auerbach stated the Board awarded dates, and then racing associations and fairs cut a date here and there from their race meetings. She said associations and fairs should not ask for unwanted race dates. Commissioner Auerbach asked why SJCF asked for race dates in August 2015 and then decided not to keep them. Kelly Olds, SJCF, said the dates were allocated in August, before SJCF ran its 2015 race meeting. San Joaquin County had fires in 2015 that required thousands to evacuate during the fair, which caused poor attendance. The fires and net loss were the unforeseen circumstances in August 2015 when the race dates for 2016 were requested. The SJCF 2015 race meeting lost money, and SJCF could not risk further loss. Mr. Korby said the SJCF had repeatedly asked the Board to restore its dates

to a June timeframe, which was its traditional fair time period. September race dates made it difficult for SJCF to promote racing. Commissioner Auerbach asked if the economic downturn was something that could have been expected, regardless of the fires. Mr. Olds stated the 2014 race meeting was profitable. Commissioner Beneto said the SJCF race dates were moved two weeks in 2015 because of the Breeders' Cup, causing the SJCF race meeting to coincide with the area's harvest season, which had a negative impact on attendance for the race meeting. Mr. Korby said Alameda had one of the finest racing venues in Northern California and conducted a very successful fair. He said the marketing demographics were second to none in California. Mr. Korby stated the California Thoroughbred Breeders Association (CTBA) provided funds to help with Cal-Bred race purses, and funds would be made available for the additional Alameda purses. Mr. Korby stated reallocating the dates to Alameda was a show of support from the industry for the stabling Alameda hosted year-round, which was a money-losing proposition for Alameda, but an aid to the industry. Despite the stabling costs, Alameda remained strong financially overall. Vice-Chairman Rosenberg asked Mr. Korby to explain how approving the request would help Alameda in terms of stabling and training year-round, as well as how it fit into present proposed changes to vanning and stabling legislation. Mr. Korby said approving the request would not have any bearing on the proposed legislation for vanning and stabling. He stated providing stabling and training was part of a long-term vision for Alameda. Mr. Korby said if the additional dates were allocated to CARF and run in Alameda, the increase in revenue would help offset the costs of providing stabling and training facilities for Northern California racing. Alameda would have additional revenue from the additional racing days. Vice-Chairman Rosenberg asked if approving the request would reduce the stabling and vanning costs overall. Mr. Korby said if Alameda conducted racing, it would not be compensated out of the

Vanning and Stabling Fund, so in that regard, there would be a direct financial consequence. Mr. Korby said CARF provided a cooperative arrangement under which the fairs pooled their resources to contract services as a group and CARF allocated expenses incurred based largely on racing days. If the Board chose not to allocate the dates to CARF, all the fairs would be impacted because the formulas used for these funds would be altered. Mr. Korby stated CARF would add a stakes race to the September race meeting schedule to enhance the handle at Alameda if the Board approved the race meeting reallocation. Mr. Korby requested approval by the Board in order to proceed with planning and marketing. Jerome Hoban, Alameda, said Alameda had a wide range of support for adding the race dates. Mr. Hoban stated Alameda had about 500,000 attendees each year and an additional 1.5 million people visited the property annually. He said Alameda planned to hold events including a brew fest, bourbon and barbeque festival and family days in conjunction with each live racing day. John Barr, Oak Tree Racing Association (OTRA), said the OTRA mission was to make money in horse racing to support charitable efforts focused on horse racing. OTRA invested a lot of money in Alameda for its 2014 and 2015 race meetings. Mr. Barr stated OTRA supported the request from CARF to change the race meeting venue from Stockton to Alameda. Sherwood Chillingworth, OTRA, stated OTRA began a great relationship with Alameda staff in 2014. OTRA has invested over \$600,000 into Alameda since 2014. Commissioner Choper asked if any statute required the allocated fair race dates to remain with a fair. Executive Director Baedeker said it was not required by statute. Vice-Chairman Rosenberg asked if SJCF was relinquishing the dates for the future, or if it was requesting to transfer the dates for 2016 only. Mr. Korby stated it was a request for 2016 only. Vice-Chairman Rosenberg asked if theoretically that meant Stockton could come back in 2017 and request dates again. Mr. Korby said yes, SJCF requested to

transfer the dates to be conducted at Alameda in 2016; it had not acted to relinquish the dates altogether. Chairman Winner asked if there was a legal difference between SJCF relinquishing dates versus if it was running the SJCF race meeting at Alameda, and if either took away the ability from the Board to change the allocated fair race meeting dates to non-fair racing dates. Vice-Chairman Rosenberg stated the Board had to approve the change either way. Robert Miller, CHRB Board Counsel, said the move was no different than the Solano County Fair transferring dates to Pacific Racing Association (PRA) in the past. He said the dates were transferred by agreement; statutes did not need to change. Vice-Chairman Rosenberg asked if the statutes had a maximum or minimum number of weeks that the Board had to allocate to the fairs. Mr. Miller said there were limitations as to the number of Thoroughbred races to be run and number of weeks. Mr. Laird said there was not a minimum in this context. Executive Director Baedeker stated there was a difference between SJCF giving up the dates completely versus a request to run dates at another location. He said a fair in the Northern Zone was limited to four weeks of racing, so the dates could not be allocated directly to Alameda. Scott Daruty, PRA, said although it was commendable for the fairs to reach a consensus before bringing the request to reallocate the SJCF dates to the Board, it was inaccurate to say the entire industry was in agreement. Mr. Daruty said the allocation process required much deliberation and race dates were granted to SJCF based on representation that it was committed to racing. He said PRA was not consulted, and first learned about the reallocation request when the Board agenda came out for its April 2016 Board meeting. He stated if PRA representatives were made aware of SJCF intending to give up race meeting dates, PRA would have requested to have them added to its Fall 2016 race meeting. Mr. Daruty stated there were possibilities that were not considered during the Board allocation process due to the request from SJCF to keep its dates. Chairman

Winner asked Mr. Daruty if PRA was opposed to the motion to reallocate the dates to Alameda. Mr. Daruty said it should be discussed with industry leaders before a decision was made. PRA was opposed to the SJCF dates being reallocated to CARF. Vice-Chairman Rosenberg stated if the request from CARF was denied, the Board would not be able to force SJCF to run the race meeting. He asked if PRA would have enough time to negotiate with SJCF if the Board postponed the item to the next Board meeting. Mr. Daruty said delaying the decision would be the fairest approach. Joe Morris, PRA, stated PRA was running a race meeting through September 18, 2016. If the Board reallocated the race meeting dates to PRA, the same meeting could continue without a break. If not, California would not have any turf racing from September 21, 2016 to September 27, 2016. Commissioner Auerbach asked how many days Alameda proposed to run if given the dates. Mr. Korby said Alameda proposed to run the same six days SJCF would have run. Commissioner Auerbach asked if PRA would run six days or eight days if it was given the dates. Mr. Morris said PRA would continue its Thursday through Sunday live racing schedule both weeks and run eight days. Mr. Chillingworth said Alameda was prepared to run four days each week. Chairman Winner asked for confirmation that Alameda would consider racing four days each week. Mr. Chillingworth stated Alameda thought compressing four days to three might result in more horses per race, and better purses. Commissioner Auerbach asked Mr. Korby if Alameda considered adding a turf course to its location. Mr. Hoban stated Alameda had completed a 2015 vision plan that included a turf course. OTRA was part of the discussion, and if the industry continued to grow in Northern California, OTRA would be willing to invest in the turf course. Mr. Chillingworth said the turf course was an expensive addition, but if Alameda progressed financially, a turf course would be added. Commissioner Auerbach asked if Mr. Chillingworth could provide more details, such as

economic markers that OTRA wanted Alameda to reach before it would invest in a turf course for Alameda. John Barr, OTRA, said the details Commissioner Auerbach sought were difficult to determine based on the existing three weeks of racing in Alameda. The markers would only become available after obtaining additional dates, such as the requested dates from SJCF. Mr. Korby stated in 2009 CARF undertook a major planning effort that included a turf course for Alameda. Mr. Korby said the main impediment to proceeding with a turf course was the number of racing days Alameda was allocated. Alameda had not produced sufficient revenue from the racing days it had annually to make the cost of adding a turf course worthwhile. He said if Alameda could look at scenarios where racing days were expanded, the financial possibilities and prospects for a turf course would increase accordingly. Commissioner Choper stated developing dates in Alameda was a good idea. He said the race tracks, particularly turf courses, had a limited number of times they could be run on without a recovery period. In order to close the Santa Anita Park turf course for maintenance, Los Angeles Turf Club has offered dates to Del Mar Thoroughbred Club on past occasions. He said Mr. Daruty made a fair point that PRA was not part of the conversation; however the Board should give Alameda the dates and encourage it to develop a turf course. Mr. Daruty stated PRA was supportive of Alameda running more than three weeks. He said Del Mar Thoroughbred Club took dates from Los Angeles Turf Club; however, the dates were not added into the summer race meeting. Del Mar Thoroughbred Club ran two separate meets at two different times of year. He stated the Board needed to look at what made sense for the entire calendar. Mr. Chillingworth stated PRA was already allocated about 75 percent of the racing dates in Northern California, and the request from CARF was an opportunity to try an additional two weeks in Alameda. Commissioner Choper asked if SJCF thought it was in its best interest to reallocate the dates to CARF and run

the race meeting in Alameda. Mr. Olds stated it would benefit SJCF and Alameda. He said the fans from the Stockton area could travel to Alameda as easily as if the race dates were held in Stockton. Commissioner Choper asked if Mr. Olds believed people from the Stockton area would drive to Alameda. Mr. Olds said he had already received phone calls from members of the public who intended to attend the race meeting if it was held in Alameda. Commissioner Beneto asked if the SJCF simulcast facility would remain open. Mr. Olds said the facility would remain open. Commissioner Beneto said there was concern from the Secretary of Agriculture about the SJCF dates, and she supported the reallocation of race meeting dates from SJCF to Alameda. Commissioner Beneto said Alameda had been a backup for PRA since as far back as 1966. He stated he was disappointed PRA did not back Alameda. He said Alameda was the only place in Northern California that operated year-round training, and the Board should award the reallocated race dates to Alameda for 2016. Dorothy Burt, Arabian Racing Association of California, stated she supported reallocating the dates to Alameda. SJCF had been a ghost town for the last few years, and it was obvious why SJCF was losing money on its races. Ms. Burt said when Solano County Fair closed and the dates went to PRA, its Arabian races were lost. PRA could not run a fair meet; mule and Arabian races would be lost. Commissioner Choper said it would not be too difficult to run Arabian horses at Golden Gate Fields. Chairman Winner stated the law would need to be changed; PRA could not run a mixed race meeting. Commissioner Choper stated the law could be changed, just like it was changed for Southern California, which allowed Los Angeles Turf Club to hold an Arabian Race in 2016. George Schmidt, horse owner, stated Alameda had the longest running one-mile race course in the United States of America. He stated he moved most of his horses out of state, rather than run at Golden Gate Fields. He said the Alameda race meeting had always been successful, however it

had never run separate from the fair. The addition of OTRA was magnificent for Alameda; the facilities were upgraded. He stated this was a good time to determine if Alameda could hold a race meeting without a fair. Nick Coukos, Thoroughbred Owners of California (TOC), said TOC did not support the move from SJCF to Alameda. He said TOC was supportive of fair racing, however fair race meetings were meant to coincide with fairs. He stated PRA was already running August 19, 2016 to September 12, 2016, so the additional days would flow well and cause the least disruption for racing participants. He said PRA had a turf course and could hold a turf festival if allocated the SJCF race dates. If Alameda received the dates, California would not have any turf racing September 21, 2016 through September 27, 2016. He stated the purse projection was greater for PRA than Alameda during the same timeframe. He said if the race meeting was moved to Alameda, the cost for the Off-Track Stabling Fund would be \$120,000 greater than if it was moved to Golden Gate Fields. He stated the CTBA contribution would go to Alameda or PRA, so the contribution should not be considered by the Board as a benefit for Alameda receiving the dates. Mr. Schmidt, CTBA, said CTBA agreed to support PRA on May 1, 2016 for its turf festival and to support Alameda if the dates were moved to Alameda. The CTBA was not going to provide additional funding to PRA. Mr. Coukos stated TOC was a significant stakeholder in the California racing industry and it was not part of the discussions regarding the SJCF race meeting dates. He stated the Board should move the agenda item to its May 2016 Board meeting in order to allow PRA to make a proper presentation. He said the decision by the Board had to be for the industry as a whole moving forward. Commissioner Auerbach stated the request should have been presented to the industry owners. She said PRA did not get an opportunity to give a presentation similar to Alameda, making it difficult to determine which request to reallocate was better for the entire California horse racing

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industry. Chairman Winner asked Mr. Korby why industry leaders such as the TOC and PRA representatives were not included in the deliberation and decision before it came to the Board. Mr. Korby said it was a matter of timing. Formal approvals from the SJCF Board were not finalized until mid-March 2016. Mr. Korby said he contacted CHRB staff and TOC shortly thereafter. He stated he personally contacted representatives of PRA, before the material was submitted to the Board. He stated the request had to be heard immediately in order to get the Board's approval and begin preparations at the new location and marketing. Mr. Korby stated the request was brought to the Board knowing anyone interested in the outcome could state his opinion at the Board meeting. Alan Balch, California Thoroughbred Trainers (CTT), said CTT was advised informally of the possibility the request to reallocate race dates would be made by CARF. CTT trainers were divided on the matter and felt they needed more information to properly review the situation. CTT was in favor of the Board moving the item to its next Board meeting. Larry Swartzlander, CARF, said CARF held a conference call which included Mr. Balch representing CTT. He stated the call was regarding a separate matter; however the reallocation of race meet dates from SJCF was discussed. Mr. Balch said CTT sought assurance from Alameda that its facility would remain open year-round for stabling and training if given the SJCF racing dates. Mr. Hoban stated Alameda supported continuing its use as a stabling and training facility, however use of the facility for stabling and training was a separate issue from the CARF request for the SJCF race dates. Chairman Winner asked Mr. Korby if there would be any damage in moving the item to the following meeting. Mr. Korby stated delaying for a month would set back preparation, planning and marketing for the event. Commissioner Choper asked how much delaying the decision to reallocate the SJCF race meeting dates would set back the preparations for Alameda. Mr. Korby said CARF would have to decide if it wanted to even

pursue the request at all. Chairman Winner stated that would keep the race dates at SJCF. Vice-Chairman Rosenberg stated the fact that CARF would not follow through with the request if it was not approved today should have been made clear earlier. Mr. Korby said CARF put in considerable effort to make sure the request would strengthen fair racing and racing in Northern California. He stated it would impair CARF from having a successful race meeting in Alameda if planning was postponed by one month. Mr. Hoban said Alameda already had a \$500,000 marketing buy in the San Francisco Bay Area. It cost more and more money to make a buy for marketing each second Alameda waited, especially since it was an election year. He stated if Alameda had to rearrange the marketing buy, it would not be worth the effort to move the race meeting from SJCF. Chairman Winner said early purchasing of advertising could save up to 50 percent on a buy. He stated during an election year, the candidates got the lowest rates and everyone else paid the difference. He said marketing costs for Alameda could cost 40 to 50 percent more if it had to wait 30 days. Commissioner Choper said the parties involved should speak with representatives from PRA and try to work out some accommodation for its position. Chairman Winner stated the circumstances put the Board in an unacceptable position and would not be tolerated in the future. The circumstances were unfair to other participants in the industry, such as TOC, CTT and PRA. Vice-Chairman Rosenberg **motioned** to move the agenda item to the next Board meeting. Commissioner Choper **seconded** the motion, which resulted in **no action** by the Board. Roll Call Vote: Aye: Auerbach, Rosenberg. No: Winner, Choper, Beneto. Commissioner Beneto **motioned** to approve the request from CARF to reallocate the SJCF race dates to CARF, to be run at Alameda. Chairman Winner **seconded** the motion, which was **carried**. Roll Call Vote: Aye: Winner, Rosenberg, Choper, Beneto. Nay: Auerbach. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS, (CARF) TO DESIGNATE THE FOLLOWING 2016 ALLOCATED RACE DATES AS A COMBINED FAIR HORSE RACING MEETING, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19549.1: ALAMEDA COUNTY FAIR AT PLEASANTON, JUNE 15, 2016 THROUGH JULY 5, 2016; CALIFORNIA EXPOSITION AND STATE FAIR, JULY 6, 2016 THROUGH JULY 26, 2016; HUMBOLDT COUNTY FAIR AT FERNDALE, AUGUST 17, 2016 THROUGH AUGUST 30, 2016; SAN JOAQUIN COUNTY FAIR AT STOCKTON OR (CARF AT ALAMEDA COUNTY FAIRGROUNDS), SEPTEMBER 21, 2016 THROUGH OCTOBER 4, 2016 AND THE BIG FRESNO FAIR, OCTOBER 5, 2016 THROUGH OCTOBER 18, 2016.**

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Philip Laird, CHRB Staff Counsel, stated the request from the California Authority of Racing Fairs (CARF) to designate the fairs listed in the staff analysis as a combined race meeting was similar to combined fair race meeting requests the Board approved in 2007 and 2009. Chris Korby, CARF, said CARF was requesting that the Board designate the fairs that were CARF members as a combined fair meet for 2016. Commissioner Auerbach asked if the purpose for combining the fair meets was to run one purse meet? Mr. Korby said that was one of the considerations; CARF offered a program that allowed for consolidated purses at all of the fairs. Scott Daruty, Golden Gate Fields (GGF), said if CARF wanted to do something and it did not impact GGF, it would not be an issue; however this particular issue was a little tricky since GGF did not understand how this proposal worked under the law. He stated there could be potential conflicts and the combined fair meet could set a precedent which could negatively impact GGF in the future. Mr. Daruty read a section from the California Food and Agricultural Code section 4058, the operative provision that authorized combined fair meets, which stated "The State Fair and a district agricultural fair and a county fair in the Northern Zone with the approval of the Department of Food and Agriculture may form an entity for conducting combined fair horse racing meetings and utilize the racing facilities for conducting horse racing meetings with pari-mutuel wagering on days other than the days on which general fair activities are conducted." He

stated his first point was that he was unaware if the Department of Food and Agriculture granted permission for the proposed combined fair meet. His second point was that the combined fair meet would be racing at a fair when a fair was not currently taking place which was what he thought the statute was intended to do. However, how the combined fair meeting under the statute would run in June when the Pleasanton Fair was taking place or run during the State Fair in July when State Fair activities were taking place, GGF did not see how that fit under the statute. He stated his third point was it was not clear to GGF who would be conducting the fair meeting; would it be the combined fair, or would each of the individual fairs make its own application. His final point was it was not clear to GGF if the combined fair meeting started in June and ended in October, and GGF ran in August; how would that impact GGF? Chairman Winner asked Mr. Korby if CARF had approval from the Department of Food and Agriculture (DFA). Mr. Korby said while it did not have an approval letter from DFA, DFA was a member of Joint Powers Authority which acted in support of the combined fair meet. He stated if the Board wanted an approval letter it would not be a problem. Mr. Daruty said GGF wanted assurance from the Board that the combined fair that would take place mid-August through the beginning of September would not impact GGF's simulcast revenue or shares, the ability to run live races, or in any way impact GGF. Mr. Korby stated in CARF's opinion there would be no impact to GGF. Robert Miller, CHRB Board Counsel, said CARF's application had no interference with GGF. Nick Coukos, Thoroughbred Owners of California (TOC), asked what the intent of the item was; were the dates being allocated going to be CARF dates? Mr. Korby, CARF, stated it would be combined fair dates and licensed to each fair in segments as part of a combined fair meet. Commissioner Auerbach stated the intent behind the combined fair race meets in the past was to create a CARF purse account so the fairs shared the burden and

straightened out the insecurity for the owners and the trainers and everyone involved. Mr. Korby said that was correct; that approach proved to be a great benefit to the parties involved, horsemen and fairs. Mr. Coukos asked if over and under payments would be balanced out between the fairs that were participating. Mr. Korby stated there would be a single overpayment/underpayment that would be managed by the consolidated purse account. Mr. Coukos asked if there was an underpayment in one fair, and an overpayment in another, would the two be offset? Mr. Korby said yes, for the participating CARF-member fairs. Commissioner Beneto **motioned** to approve the request from CARF to designate the 2016 allocated race dates as a combined fair horse racing meeting. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, and Auerbach. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE OTRA RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OTRA AT PLEASANTON") COMMENCING JUNE 15, 2016 THROUGH JULY 5, 2016, INCLUSIVE.**

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This item was moved to the May 2016 Regular Board Meeting Agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION AND THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION TO SUSPEND THE AUTHORIZED ADMINISTRATION OF ALBUTEROL FOR ALL HORSES PARTICIPATING IN QUARTER HORSE RACES AT LOS ALAMITOS FOR 12 MONTHS PURSUANT TO CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION.**

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Philip Laird, CHRB Staff Counsel, stated the discussion involved the use of Albuterol at the Los Alamitos Quarter Horse meet for 2016. Albuterol was a beta-2 agonist used as a bronchial

dilator in human and veterinary medicine. He said while Albuterol was non-FDA approved for use in equine, its use was legal with a veterinary prescription. Albuterol was authorized by the CHRB since the mid-1990s, with the current Albuterol threshold at one nanogram per millimeter in urine in a post-race test sample. He stated Albuterol was available in tablets, syrup, and dose syringe form. He said oral Albuterol was poorly absorbed in equines and Dr. Stanley estimated that the bioavailability was less than 20 percent. The Racing Medication and Testing Consortium recommended withdrawal time for inhaled Albuterol was 72 hours, and the unofficial withdrawal recommendation for oral Albuterol was 5 days. Mr. Laird said published research in humans showed oral Albuterol had an effect on muscles, and could improve performance in long distance runners. The World Anti-Doping Agency restricted the use of Albuterol in human athletes. He stated there were nine CHRB Albuterol violations in the last ten years. He said CHRB investigators found Albuterol mixed with Clenbuterol on two occasions during barn searches at Los Alamitos, and trainers told investigators they used Albuterol closer to racing because it could be used without being detected. Commissioner Choper asked if there was any opposition to suspending the authorized administration of Albuterol. Chairman Winner stated there were two parties opposed; Darrell Vienna and Mr. Fisco. Commissioner Auerbach asked if the two individuals that were opposing were representing anyone. Commissioner Choper stated he believed the letters submitted by Mr. Vienna and Mr. Fisco were acting in the capacity as a licensee and as a letter from the public. Dan Schiffer, Pacific Coast Quarter Horse Racing Association, stated he did not believe that Mr. Vienna or Mr. Fisco were licensed as quarter horse owners or trainers. Chairman Winner stated they were not licensed; however, anyone in the public could bring forth any issues they wanted before the Board. Commissioner Choper asked Mr. Laird for his views of the letters. Mr. Laird said the individuals that submitted

the letters were opposed to the temporary suspension because Albuterol had therapeutic value, was not abused in recent history, and there was no scientific evidence of performance enhancement. He stated staff did not necessarily have a position on the validity of the statements made in the letters. Executive Director Baedeker said it had never been proven scientifically that any drug was a performance enhancer and to certify from a scientific standpoint that an individual or a certain horse performed faster or better because of a certain drug was not possible. He stated the Board dealt with many Clenbuterol violations over the last several years; the point in Mr. Vienna's letter that there had not been a significant number of Albuterol violations was accurate. Dr. Rick Arthur, CHRB Equine Medical Director, said there were 10 Albuterol violations in the last 11 years. He stated in regards to proving a performance enhancement, as an example, there was no scientific evidence that taking THG allowed a baseball player to hit more home runs, but the potential was there. Vice-Chairman Roseberg said he read the letters and there was a question of whether a track had jurisdiction to put something in a race meet agreement that the CHRB had jurisdiction over. Mr. Laird said the issue was the subject of ongoing litigation for the Board. He stated it was within the Board's authority to move forward with the temporary suspension. Under Board Rule 1844.1, Suspension of Authorized Medication, the Board could suspend an authorized medication for any reason. Commissioner Auerbach stated she believed the Board should support Dr. Allred in his attempt to eliminate medication violations at Los Alamitos. Commissioner Auerbach **motioned** to approve the request from the Los Alamitos Quarter Horse Racing Association and the Pacific Coast Quarter Horse Racing Association to suspend the authorized administration of Albuterol for all horses participating in Quarter Horse races at Los Alamitos for 12 months. Commissioner

Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, and Auerbach. Nay: None. Motion carried.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE, 1689.1, SAFETY VEST REQUIRED, TO INCLUDE ANY PERSON LICENSED BY THE BOARD THAT IS MOUNTED ON A HORSE WHILE ON A RACETRACK TO THE LIST OF THOSE REQUIRED TO WEAR A SAFETY VEST.**

The proposed amendment to Rule 1689.1, Safety Vest Required, would include any person licensed by the Board that is mounted on a horse while on a racetrack to the list of those required to wear a safety vest. Chairman Winner stated there were no comments during the 45-day comment period. Chairman Winner **motioned** to approve the amendment to Rule 1689.1, Safety Vest Required. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Auerbach, Winner, Rosenberg, Choper, Beneto. Nay: None. Motion carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 2071, LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING BY A CALIFORNIA APPLICANT AND CHRB RULE 2072, APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING BY AN OUT-OF-STATE APPLICANT, TO AMEND THE APPLICATION FORMS TO REQUIRE ALL APPLICANT BUSINESS STRUCTURES TO SUBMIT FINANCIAL INFORMATION.**

Philip Laird, CHRB Staff Counsel, stated the purpose of amending Rule 2071, License to Conduct Advance Deposit Wagering by a California Applicant, and Rule 2072, Approval to Conduct Advance Deposit Wagering by an Out-of-State Applicant, was to close a loophole that existed and was potentially being exploited. Commissioner Auerbach asked if requiring only the preceding 12 months of financial information for sole proprietors/partnerships would be enough? Executive Director Rick Baedeker stated requiring 12 months of financial information was

probably currently in place for the other financial documents CHRB received from other entities. Commissioner Auerbach said it would be better to have several years worth of financial information when reviewing an application for the first time. Commissioner Choper said Commissioner Auerbach made a good point – looking at the background of an organization that would be handling a lot of racing money, having two or three year's worth of financial information would be better. Jacqueline Wagner, Assistant Executive Director, stated if the Board wanted to change the requested financial history information from 12 months to 24 months, it was possible. Executive Director Baedeker asked if the ADW license term was 24 months wouldn't it be better to request 24 months of financial history in order to align with the license term? Ms. Wagner stated yes, it would match the term of the ADW license. Commissioner Auerbach **motioned** to adopt the motion as amended to collect the preceding 24 months of financial information. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Auerbach, Winner, Rosenberg, Choper, and Beneto. Nay: None. Motion Carried.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULES 1597.5, MICROCHIPS REQUIRED FOR ALL HORSES ON GROUNDS; RULE 1597.6, TAMPERING WITH MICROCHIPS AND THE PROPOSED AMENDMENT TO CHRB RULES 1554, DUTIES OF HORSE IDENTIFIER; RULE 1581.1 ENTRIES; RULE 1588, HORSE INELIGIBLE TO START IN A RACE AND RULE 1597, ASSOCIATION TO MAINTAIN RECORDS OF HORSES ON ITS GROUNDS, TO IMPLEMENT THE REQUIREMENT THAT HORSES BE MICROCHIPPED IN ORDER TO RACE IN CALIFORNIA.**

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Philip Laird, CHRB Staff Counsel, stated staff was proposing the addition of CHRB Rules 1597.5, Microchips Required For All Horses On Grounds; Rule 1597.6, Tampering With Microchips, and the Proposed Amendment to CHRB Rules 1554, Duties of Horse Identifier;

Rule 1581.1 Entries; Rule 1588, Horse Ineligible to Start in a Race, and Rule 1597, Association To Maintain Records of Horses On Its Grounds, in preparation of moving forward with a microchip requirement for all horses racing in California. CHRB conducted a pilot program to test the viability and proper procedures for carrying out microchipping. He stated the proposed additions and amendments were presented to the Board to consider a 45-day comment period. He said the proposed additions and amendments had been heard by the Legislative, Legal and Regulations Committee. Commissioner Choper stated the Legislative, Legal and Regulations Committee fully supported the proposed additions and amendments. Commissioner Auerbach asked if the dates given in the proposal created a reasonable timeframe to implement microchipping. Mr. Laird said staff made an effort to make the timeframe realistic. He stated the Board had the authority to request when the proposed additions and amendments would become enacted, so if the dates needed to be extended out, the Board had the authority to amend them. Commissioner Choper **motioned** to initiate a 45-day public comment period regarding the addition of CHRB Rules 1597.5, Microchips Required For All Horses On Grounds; Rule 1597.6, Tampering With Microchips and the Proposed Amendment to CHRB Rules 1554, Duties of Horse Identifier; Rule 1581.1 Entries; Rule 1588, Horse Ineligible to Start in a Race and Rule 1597, Association To Maintain Records of Horses On Its Grounds. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Choper, Rosenberg, Winner, and Auerbach. Nay: None. Motion carried.

**MEETING ADJOURNED AT 1:08 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**MAY 26, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**CALIFORNIA HORSE RACING BOARD**

**MAY 26, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
THE LOS ANGELES TURF CLUB, INC. IN THE  
AMOUNT OF \$108,891.61 TO 10 BENEFICIARIES

Regular Board Meeting  
May 26, 2016

#### ISSUE

Los Angeles Turf Club (LATC) is requesting approval to distribute race day charity proceeds generated at its 2015-2016 winter/spring meet from December 26, 2015 through April 10, 2016. From the net race day charity proceeds LATC is proposing a total distribution of \$108,891.61. The charitable organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proceeds will be given to 10 horse racing related organizations.

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

CHRB ANALYSIS

Los Angeles Turf Club , Inc. 2015-16 (Winter/Spring Meet) Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	California Thoroughbred Foundation	3,400	3%		a
2	CARMA	10,000	9%		a
3	Edwin J. Gregson Foundation	21,600	20%		a
4	Holy Angels Church (Backside Permanent Deacon)	1,000	1%		
5	Racetrack Chaplaincy of American (Southern Ca Council)	1,000	1%		a
6	Southern California Equine Foundation, Inc.	3,400	3%		a
7	United Pegasus Foundation	3,400	3%		a
8	California Thoroughbred Horsemen's Foundation	10,092	9%	minimum of 5%	b
9	Winners Foundation	30,000	28%	minimum of 5%	c
10	Permanently Disabled Jockeys' Fund	25,000	23%	minimum of 20%	d
	<b>Total Horse Related Charities</b>	<b>\$ 108,891.61</b>	<b>100%</b>	<b>minimum of 50%</b>	<b>a</b>
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			

Background information for each organization is attached.



Frank De Marco, Jr., Esq.  
Vice-President, Regulatory Affairs and Secretary

April 29, 2016

California Horse Racing Board  
Attention Jacqueline Wagner  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

Re: Los Angeles Turf Club, Incorporated's Charity Days

To whom it may concern:

Los Angeles Turf Club, Incorporated, doing business as "Santa Anita Park," has determined the beneficiaries of proceeds from "Charity Days" races conducted at Santa Anita Park during its 2015-2016 winter meet from December 26, 2015 through April 10, 2016. The net proceeds from the "Charity Days" totaled \$108,891.61. Please disregard the letter dated April 20 previously submitted for the "winter/spring meet," as the numbers have been revised.

Attached hereto is a list of the organizations selected to be the beneficiaries of the Charity Days proceeds, the amount to be distributed to each and a brief statement about each recipient. Note that 100% of the distributions are to horse related charities.

Also attached is the official CHRIMS report of handle for the said race meet upon which this distribution is based.

Please calendar this matter for discussion and action by the California Horse Racing Board. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matters set forth herein, do not hesitate to contact the undersigned. Kindly do not supplement this filing with any further documents without giving us prior notice.

Very truly yours,

Frank De Marco, Jr.

FDM:mr

Enclosures

cc: Chairman Chuck Winner

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565

Los Angeles Turf Club, Incorporated  
Distribution of Charity Days Proceeds

2015-2016 Winter Meet (December 26, 2015-April 10, 2016)

	<u>Amounts</u>	<u>Subtotals</u>
I. <u>Horse Related Charities – BP 19556(b) (20% minimum)</u>		
California Thoroughbred Foundation	\$ 3,400.00	
CARMA	10,000.00	
Edwin Gregson Foundation	21,600.00	
Holy Angels Church (Backside Permanent Deacon)	1,000.00	
Race Track Chaplaincy of America (Southern California Council)	1,000.00	
Southern California Equine Foundation, Inc.	3,400.00	
United Pegasus Foundation	3,400.00	\$ 43,800.00
II. <u>Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	10,091.61	10,091.61
III. <u>Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	30,000.00	30,000.00
IV. <u>Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	25,000.00	25,000.00
Total		<u>\$108,891.61</u>

**LOS ANGELES TURF CLUB, INCORPORATED**  
**ADDEMDUM TO REQUEST FOR AUTHORITY TO DISTRIBUTE**  
**2015-2016 WINTER CHARITY DAYS PROCEEDS**

**California Thoroughbred Foundation** – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

**California Thoroughbred Horsemen's Foundation** – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**CARMA** – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Holy Angels Church (Backside Permanent Deacon)** – Holy Angels Church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

**Racetrack Chaplaincy of America (Southern California Council)** – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

**Southern California Equine Foundation, Inc.** – SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly

known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization "dedicated to the care of the injured horse."

**United Pegasus Foundation** – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

**Winners Foundation** – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community free of charge. This includes backstretch workers, as well as all employees of Del Mar Thoroughbred Club, Golden Gate Fields, Santa Anita Park, Oak Tree Racing Association and the California Association of Racing Fairs.

**Permanently Disabled Jockeys' Fund** – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

STAFF ANALYSIS  
DISTRIBUTION OF THE RACE DAY CHARITY PROCEEDS OF THE WATCH AND  
WAGER LLC RACING ASSOCIATION IN THE AMOUNT OF \$4,700 TO SIX  
BENEFICIARIES

Regular Board Meeting  
May 26, 2016

ISSUE

Watch and Wager LLC (Watch and Wager) is requesting approval to distribute race day charity proceeds generated at its 2014 fall to 2015 spring racing meetings. Watch and Wager is proposing a total distribution of \$4,700. The organizations selected and amount to be distributed is listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 30 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.

**watch wager**

Operating Harness Racing at Cal Expo

April 30, 2016

Ms. Jackie Wagner  
 Assistant Executive Director  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Ms. Wagner:

Watchandwager.com, LLC has allocated charity day proceeds from our 2014/2015 Race Meet (10/4/2014 – 5/3/2015) as set forth below for the California Horse Racing Board's consideration and approval:

Sacramento Horsemen's Association (a)	\$1,000.00
Kirk Breed Memorial (a)	\$1,000.00
Standardbred Retirement Foundation (a)	\$1,000.00
CA Harness Horsemen's Benevolent Foundation (b)	\$250.00
Winners Foundation (c)	\$500.00
Disabled Jockeys Fund (d)	\$950.00

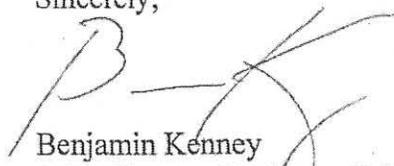
Total:	\$4,700.00
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Equine Related Charities	\$4,700.00
Non-Equine Related Charities	\$ 0.00

Percentage of Equine Related Charities	100%
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Attached you will find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information, please contact Kate Phariss or me.

Sincerely,



Benjamin Kenney  
 CFO, Harness Racing at Cal Expo  
 Watchandwager.com, LLC

Sacramento Horsemen's Association: non-profit regional equestrian club established in 1942, hosts horse shows and provides boarding. (contact phone: 916-470-1337; <http://www.sachorsemen.com>)

Standardbred Retirement Foundation: non-profit, tax exempt organization created to care for, rehabilitate, and secure lifetime adoption of non-competitive Standardbred racehorses, to ensure their proper care with follow-up, and combine the needs of youth at risk with these horses in therapeutic equine programs to benefit both. (contact phone: 732-446-4422; <http://www.adoptahorse.org>)

CA Harness Horsemen's Benevolent Foundation: non-profit established by the CA Harness Horsemen's Association to provide funding and support for backstretch employees in need. (contact phone: 916-263-7888)

Winners Foundation: established in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through this group, which has aided and guided thousands of individuals. (Contact phone: 626-574-6498; <http://winnersfoundation.org>)

Kirk Breed Memorial Fund (through the registered non-profit organization, Friends of the California State Fair): Initiative to memorialize Kirk Breed at the Cal Expo Fairgrounds (Contact address: PO Box 15649, Sacramento, CA 95852; <http://www.kirkbreedmemorial.org>)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. It recently was the winner of the National Turf Writers and Broadcasters prestigious Joe Palmer Award for its exceptional work. Nancy LaSala is the group's president. (Contact phone: 630-595-7660; [www.pdjf.org/](http://www.pdjf.org/))

	Notes:			
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))		
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))		
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))		
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))		
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.		
	<b>* Organizations related to horse racing industry</b>			
	Background information for each organization is attached.			

CHRB ANALYSIS

Watch and Wager Charity Day Proceeds (10/4/14- 5/03/2015)

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Disabled Jockeys Fund	950.00	20%	minimum of 20%	d
2	Standardbred Retirement Foundation	1,000.00	21%	minimum of 20%	a
3	Sacramento Horsemen's Association	1,000.00	21%	minimum of 20%	a
4	Winners Foundation	500.00	11%	minimum of 5%	c
5	CA Harness Horsemen's Benevolent Foundation	250.00	5%	minimum of 5%	b
6	Kirk Breed Memorial	1,000.00	21%	minimum of 20%	a
	Total	4,700.00	100%	minimum of 50%	e
	Notes:				
	a	30% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist alcohol abuse victims (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% should go to horse racing industry related nonprofit organizations.			
	Background information for each organization is attached.				

## STAFF ANALYSIS

May 26, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR AT PLEASANTON, COMMENCING JUNE 15, 2016 THROUGH JULY 5, 2016

Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton:

At its April 21, 2016 regular meeting, the Board deferred the OTRA race meet application until May 26, 2016, as the OTRA race meet application required the submission of additional documents for completion. OTRA had not provided its fire clearance, California Thoroughbred Trainers (CTT) agreement, or horsemen approvals for advance deposit wagering (ADW) agreements; therefore, the Board asked the applicant to return to the May 2016 Board meeting. OTRA submitted the fire clearance, CTT agreement, and horsemen approvals for ADW agreements as of May 2, 2016.

- June 15 through July 5, 2016, or 12 days, the same as 2015. The fair proposes to race a total of 140 races. OTRA will be simulcasting on June 15, 22, 29, 30 and live racing will begin on June 16, 2016.
- Assembly Bill 1303, (Hall), Chapter 7, Statutes of 2014 added Business and Professions Code section 19549.16, which authorizes the Board to allocate racing days to a fair in the northern zone to be conducted by the fair, or at the fair's request, allow the Board to license a racing association that was licensed to conduct racing meetings in California before 2010, to operate the fair's race meeting during its allocated dates. OTRA conducted a thoroughbred horse racing meeting at Santa Anita in 2009 and a race meeting at Hollywood Park in 2010.
- At the April 21, 2016 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2016 allocated race dates of the Alameda County Fair (6/15/16 - 7/5/16), Cal-Expo State Fair (7/6/16 - 7/26/16), Humboldt County Fair (8/17/16 - 8/30/16), Los Angeles County Fair (9/7/16 - 9/27/16), CARF at Alameda County Fairgrounds (9/21/16-10/4/16), and the Big Fresno Fair (10/5/16 - 10/18/16) as a combined fair horse racing meeting.
- Applicant is requesting the distribution date of the carryover pool to be October 16, 2016 (Closing Day of the Big Fresno Fair).

June - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing Thursday through Sunday for two weeks. Racing Friday through Monday for one week. 10 races on Thursday and 12 races on Fridays, Saturdays, Sundays, and Monday.

- 2015 Race Meeting: Average number of runners per race (TB): 6.76
- 2015 Race Meeting: Average number of runners per race (Arabian): 6.83
- 2015 Race Meeting: Average number of runners per race (Quarterhorse): 5.88
- 2015 Race Meeting: Average number of runners per race (Mules): 5.89
  
- First post 1:15 p.m. daily and 2:15 p.m. on Fridays
  
- Wagering program will use CHRB rules and ARCI rules.
  - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races – Pick five on last thoroughbred 5 races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
  - \$0.20 Pentafecta (Super High 5) selecting first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers. 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
  
- Early wagering will not be offered.
  
- Specific changes from the 2015 license application:
  - Admission (Advanced) from 30% percent discount online to \$10 Adult/\$8 Senior & Children
  - Admission (Seniors) from \$8.00 to \$10.00
  - Reserved Seating from \$3.50 to \$5.00
  - Fair Admission/Children (6-12) from \$8.00 to \$10.00
  - Season Pass from \$49.99 to \$36.00
  
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires, Bet America - Lien Games and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Profession Code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Track safety inspection has been requested and will be completed before the race meeting begins.

#### RECOMMENDATION:

Staff recommends the Board approve the application.



March 29, 2016

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way  
Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker,

Please accept this as a formal request to the California Horse Racing Board to permit the Oak Tree Racing Association to conduct live racing at Alameda County Fair during the dates allocated to Pleasanton. This request is made pursuant to Business and Professions Code Section 19549.16.

Respectfully submitted,

A handwritten signature in cursive script that reads "Jerome P. Hoban".

Jerome Hoban  
CEO

cc: Oak Tree Racing Association  
California Authority of Racing Fairs

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev.2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code; Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

- A. Name, mailing address, telephone, and fax numbers of fair:

**Oak Tree Racing Association at Pleasanton  
 Alameda County Fair Agricultural Association  
 4501 Pleasanton Avenue, Pleasanton, CA 94566  
 Phone: 925-426-7600 Fax: 925-426-7599**

**Oak Tree Racing Association  
 201 Colorado Place, Arcadia, CA 91007  
 Phone: 626-833-2209 Fax: 626-574-0852**

- B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

- C. Provide the name, telephone, and email address for the fair contact person:

**Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for race meeting: **June 15 – July 5, 2016**
- B. Actual dates racing will be held: **June 16, 17, 18, 19, 23, 24, 25, 26 & July 1, 2, 3, 4**
- C. Dates racing will NOT be held: **June 20, 21, 27, 28 & July 5. June 15, 22, 29 & 30 will be simulcast only days.**
- D. Total number of racing days: **12**
- E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (specify) Thurs-Sun

CHRB CERTIFICATION

Application received: 3/17/16  
 Reviewed: *ngj*

Hearing date: 5/26/16  
 Approved date:  
 License number:

## 3. RACING PROGRAM

A. Total number of races: 140

B. Number of races by breed:

<input type="text" value="110"/>	Thoroughbreds	<input type="text" value="10"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

C. Number of races daily:

	Thurs 6/16	Fri 6/17	Sat 6/18	Sun 6/19	Thurs 6/23	Fri 6/24 & 7/1	Sat 6/25 & 7/2	Sun 6/26 & 7/3	Mon Jul 4
Thoroughbred	8	9	9	10	8	9	9	10	10
Other Breeds	2	3	3	2	2	3	3	2	2
Total	10	12	12	12	10	12	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

**Thoroughbred Stakes & Arabian Stakes please see attached.**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

# THOROUGHBRED STATES

## OTP 2016

(June 15 – July 5, 2016)

### STAKES SCHEDULE

#### PLEASANTON OAKS

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Old Fillies – Six Furlongs  
Sunday, June 18

#### OAKTREE HANDICAP

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – One and 1/16 Miles  
Saturday, June 25

Postponed

Moved Golden State Series to the Everett Nevin Futurity. Last year the race went with only three entries. Southern California runs two stakes before and after this date with same conditions. There is a lack of 1/16 mile sprinters in northern California. Golden Gate ran only one stake with these conditions between Dec 26 and Jun 12.

#### JUAN GONZALEZ MEMORIAL

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two Year Old Fillies – Five & ½ Furlongs  
Saturday, July 2

#### EVERETT NEVIN FUTURITY (CAL-BREDS)

\$100,000 Guaranteed (\$40,000 CTBA)  
*Golden State Series*  
Two Year Old Fillies – five & ½ Furlongs  
Sunday, Jul 3

#### OAK TREE SPRINT

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – Six Furlongs  
Monday, July 4

## OTP 2015

(June 17 – July 5, 2015)

### STAKES SCHEDULE

#### PLEASANTON OAKS

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
3 Year Old Fillies – Six Furlongs  
Sunday, June 21

#### OAKTREE HANDICAP

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – One and 1/16 Miles  
Saturday, June 28

#### OAK TREE DISTAFF

\$100,000 Guaranteed (\$40,000 CTBA)  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 27

#### JUAN GONZALEZ MEMORIAL

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two Year Old Fillies – Five & ½ Furlongs  
Saturday, July 5

#### EVERETT NEVIN FUTURITY (CAL-BREDS)

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
*Golden State Series*  
Two Year Old Fillies – five & ½ Furlongs  
Sunday, July 6

#### OAK TREE SPRINT

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 5

## OTP 2014

(June 16 – July 6, 2014)

### STAKES SCHEDULE

#### PLEASANTON OAKS

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
3 Year Old Fillies – Six Furlongs  
Sunday, June 22

#### OAKTREE HANDICAP

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – One and 1/16 Miles  
Saturday, June 29

#### OAK TREE DISTAFF

\$100,000 Guaranteed (\$40,000 CTBA)  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 28

#### JUAN GONZALEZ MEMORIAL

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two Year Old Fillies – Five & ½ Furlongs  
Saturday, July 6

#### EVERETT NEVIN FUTURITY (CAL-BREDS)

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)  
*Golden State Series*  
Two Year Old Fillies – five & ½ Furlongs  
Sunday, Jul 7

#### OAK TREE SPRINT

\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 6

*ARABIAN STAKES*

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup  
\$48,500 Added – Grade III  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 2

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup  
\$48,500 Added – Grade III  
Three Year Olds & Upward – Six Furlongs  
Saturday, Jul 5

Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup  
\$48,500 Added – Grade III  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 6

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP  
\$41,000 Added  
Three Year Olds & Upward – Six Furlongs  
Saturday, July 2

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP  
\$41,000 Added  
Three Year Olds & Upward – Six Furlongs  
Saturday, Jul 5

H.H. SHEIKHA FATIMA BINT MUBARAK LADIES IFAHR CUP  
\$41,000 Added  
Three Year Olds & Upward – Six Furlongs  
Saturday July 6

G. List all post times for the daily racing program:

Race Number	Weekdays	Fridays	Weekends
Race # 1	1:15p.m.	2:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	2:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	3:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	3:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	4:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	4:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	5:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	5:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	6:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.	6:45 p.m.	5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

*\* As much as possible, we intend to align our post times to complement the Santa Anita Meet.*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

#### 4. FAIR ASSOCIATION

- A. Names of the Oak Tree Directors: John H. Barr, Sherwood C. Chillingworth, Dr. Rick Arthur, Thomas R. Capehart, Richard Mandella, Warren B. Williamson and Robert W. Zamarripa Sr.
- B. Names of the fair directors: Chair: Frank Imhof/Vice-Chair, Jack Kavanagh, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Chuck Moore, Don Sherratt, Craig Smith, Harvey Smith, John Smith, Naomi Wallace, Ron Zeno
- C. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Chair: Frank Imhof/Vice-Chair, Jack Kavanagh, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Chuck Moore, Don Sherratt, Craig Smith, Harvey Smith, John Smith, Naomi Wallace, Ron Zeno
- D. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

Alameda County Fair: C.E.O. Jerome Hoban, Director of Racing Jeanne Wasserman, Maintenance Operations Manager Richard Sims, Track Superintendent Jim Burns, Track Announcer - TBD & Stable Manager Kevin Ingram.

Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Jerome Hoban, C.E.O.  
4501 Pleasanton Avenue  
Pleasanton, CA 94566  
jhoban@alamedacountyfair.com

Jeanne Wasserman, Director of Racing  
 4501 Pleasanton Avenue  
 Pleasanton, CA 94566  
 jeanne@alamedacountyfair.com

## 5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2015	\$31,461,781	75,049	12 Days
2014	\$30,932,233	83,682	12 Days
2013	\$30,357,012	83,874	12 Days
2012	\$32,260,001	94,572	13 Days
2011	\$32,939,567	95,213	13 Days

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- All races other than stakes:  
 Current meet estimate: \$1,303,945  
 Prior meet actual: \$1,353,945

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$108,662  
 Prior meet actual: \$112,829

2. Overnight stakes:  
 Current meet estimate: \$282,000  
 Prior meet actual: \$332,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$23,500  
 Prior meet actual: \$27,667

3. Non-overnight stakes:  
 Current meet estimate: \$100,000  
 Prior meet actual: \$100,000

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$8,333  
 Prior meet actual: \$8,333

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,685,945  
 Prior meet actual \$1,785,945

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$114,730  
 Prior meet actual: \$124,707

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 4,190	\$ 4,554
TOC \$ 8,379	\$ 9,108
NTRA \$ 1,741	\$ 1,892
PCQHRA \$ 1,718	\$ 1,867
CWAR \$ -0-	\$ -0-
ARAC \$ 2,726	\$ 2,963
AMRA \$ 3,733	\$ 4,058
CHBPAPEN \$ 12,570	\$ 13,663
CTHF \$ 12,570	\$ 13,663
Total \$ 47,627	Total \$ 51,768

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,848,302  
 Prior meet actual: \$1,962,420

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$154,025

Prior meet actual: \$163,535

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$1,577,684

Prior meet actual: \$1,577,684

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$131,474

Prior meet actual: 131,474

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$190,038

Prior meet actual: \$190,038

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$15,836

Prior meet actual: \$15,836

- G. Bank and account number for the Paymaster of Purses' purse account:

On file

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

684 (100 Portables available if needed)

- B. Minimum number of stalls believed necessary for the meeting:

2,000

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,440**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Golden Gate Field – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Northern California Stabling and Vanning Fund (On File).**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**884**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$9.84**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
- Dr. Donald Dooley 530-472-1330
  - Dr. Sara Sporer 510-220-4860
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.  
**See Attached**

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format.  Yes      No **(On File with CHRB)**
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

**PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)  
EQUINE EMERGENCY SERVICES**

Attachment to PRA/Golden Gate Fields License Application  
Section 9A (1)

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1. GOLDEN GATE FIELDS VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Fridays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

2. PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM Only – TBD
Fridays:	AM Only – TBD
Saturdays:	AM Only – TBD
Sundays:	AM Only - TBD

TBD – Rotating schedule amongst list from exhibit A



March 24, 2016

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2016 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be used at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Trifecta (1TRI)	1979
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)		
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4		
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4		

Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **16 October, the last day of the Fresno Fair.** \*(The date is provided is tentative based on approval of the CARF Fair Consolidation Concept by the CHRB – If not approved the final day will be July 5, 2016, the final day of the OTP meet).

E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (Dave Calendine) Expiration: October 30, 2020.

Equipment description on file with Board.

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Race #1

Race #2  
 Race #3  
 Race #4  
 Race #5  
 Race #6  
 Race #7  
 Race #8  
 Race #9  
 Race #10  
 Race #11  
 Race #12  
 Race #13

#### 11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America & Watch & Wager.**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. To Be provided by CARF.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. – Pending

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

#### 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NOCTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

##### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Bankers Casino, Salinas  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Firehouse Restaurant, Bakersfield

##### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Commerce Casino Racebook, Commerce  
 Derby Club, Seaside Park, Ventura

April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

*Don Jacklin*  
*American Mule Racing Association*

[Type text]

[Type text]



## Pacific Coast Quarter Horse Racing Association

April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires, Game Play network, Inc. and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Bud Alessio  
President

April 22, 2016

Mr. Larry Swartzlander  
 Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, CA 95815

RE: 2016 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

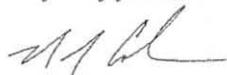
Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, CARF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on CARF and other Thoroughbred races during the 2016 CARF race meeting commencing June 15, 2016 and continuing through October 18, 2016, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,



Nick Coukos, President



**OFFICERS**

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
 BOB BAFFERT  
 MARK DEDOMENICO  
 RON ELLIS  
 TERRY LOVINGIER  
 WILLIAM E. MOREY  
 SAMANTHA SIGEL  
 KATHY WALSH  
 MICHAEL WELLMAN

**EXECUTIVE STAFF**

NICK COUKOS  
 PRESIDENT  
 MARY FORNEY  
 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

4/27/2016

(6 unread) - sav2276 - Yahoo Mail

6-23

Back to Message 2016 ADW Letter for ARAC for ... 1 / 1

<Larry@CALFairs.net>

✉ ✎ ✕

April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that the Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch accept wagers from California residents on races conducted at CARF and races conducted at tracks located in California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Letter Agreement dated April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson



[Type text]

Golden Gate Fields, Albany	Fairplex Park, Pomona
*Humboldt County Fair, Ferndale	Fantasy Springs Casino, Indio
Jockey Club at San Mateo, San Mateo	Los Alamitos Race Course, Los Alamitos
	Mermaid Tavern, Thousand Oaks
	OC Tavern & Sports Bar, San Clemente
	Roadhouse Grill, Santa Maria
	Sammy's Restaurant & Bar, Lake Forrest
	Santa Anita Park, Arcadia
	Santa Clarita Lanes, Santa Clarita
Monterey County Fair, Monterey	Shalimar Sports Center, Indio
San Joaquin County Fair, Stockton	Sports Center, San Bernardino
Santa Clara County Fair, San Jose	Sports Pavillion at The Farmers Fair, Lake Perris
Shasta District Fair, Anderson	Sports Pavillion, San Bernardino Cty. Fair, Victorville
Solano County Fair, Vallejo	Surfside Race Place at Del Mar, Del Mar
Sonoma County Fair, Santa Rosa	Sycuan Gaming Center, El Cajon
	Tilted Kilt, Thousand Oaks
Stanislaus County Fair, Turlock	Viejas Casino & Turf Club, Alpine
	Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports - Full and/ or Partial Card (Subject to Change)

2016 IMPORT TRACKS	
ARLINGTON	6/15-7/4
BELMONT	6/15-7/4
ASSINIBOIA	6/15-7/4
AUSTRALIAN RACING	6/15-7/4
BEULAH	6/15-7/4
CALDER RACECOURSE	6/15-7/4
CANTERBURY DOWNS	6/15-7/4
CHARLES TOWN	6/15-7/4
CHURCHILL DOWNS	6/15-7/4
DELAWARE	6/15-7/4
DOWNS AT ALBUQUERQUE	6/15-7/4
ELLIS PARK	6/15-7/4
EMERALD DOWNS	6/15-7/4
EVANGELINE DOWNS	6/15-7/4
FORT ERIE	6/15-7/4
GULFSTREAM	6/15-7/4
HASTINGS PARK	6/15-7/4
HAWTHORNE	6/15-7/4
INDIANA DOWNS	6/15-7/4
KEENLAND	6/15-7/4
KENTUCKY DOWNS	6/15-7/4
LAUREL	6/15-7/4
LONE STAR	6/15-7/4
LOUISIAN DOWNS	6/15-7/4
MONMOTH	6/15-7/4
MOUNTAINEER PARK	6/15-7/4
NYRA-AQUEDUCT	6/15-7/4
NYRA-BELMONT	6/15-7/4
NYRA-SARATOGA	6/15-7/4
PENN NATIONAL	6/15-7/4
PHILADELPHIA PARK (PARX)	6/15-7/4
PIMLICO	6/15-7/4

PRAIRIE MEADOWS	6/15-7/4
PRESQUE ISLE	6/15-7/4
RIVER DOWNS	6/15-7/4
SOUTH AMERICAN RACING	6/15-7/4
SUFFOLK DOWNS	6/15-7/4
SUNLAND PARK	6/15-7/4
SUNRAY PARK	6/15-7/4
TAMPA BAY	6/15-7/4
THISTLEDOWN	6/15-7/4
TURFWAY PARK	6/15-7/4
TURF PARADISE	6/15-7/4
UNITED KINGDOM	6/15-7/4
WOODBINE	6/15-7/4

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

## 2016 CARF Meets

### Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northville	Thistledown
Canterbury	Race bets	NYRA	Tioga Downs
Capital District OTB	Greenetrack	NYRA Account Wagering	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Oaklawn	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Ocean Downs	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Panama	Turfway Park
Churchill Downs	Harrington Raceway	PARX	TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)	PARX Phone Bet	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	Penn National	TVG Prairie
Colonial Downs	Hawthorne ADW	Penn National Telebet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Peru	TwinSpires
Columbus Raceway	Hoosier Park	Plainridge Race Course	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Plainridge Telephone Wagering	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet		Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs		Vernon Downs

CHRB-18 (Rev. 2/15)

East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentucky Downs	Potawatomini Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW Bet America and Offtrackbetting	Racing & Gaming Services	
Millenium Racing	Lone Star	Rillito Park	Separate Pool Locations
Royal Beach Casino	Louisiana Downs	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	LVDC	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	Atlantis Paradise Casino	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Avatar Ventures	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Buffalo Thunder Resort	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Foxwoods Resort Casino	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Meskwaki Bingo & Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot		Valley Greyhound Park	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

**F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:**

OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Striders, San Diego, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest.

**G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":**

N/A

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

## THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:  
N/A

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card	
Cal Expo	Harness Horses	Per CHRB Calendar Full Card	

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).  
N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – Sarah Sporer, D.V.M.
  - Clerk of Scales – Kenneth Sjoldal
  - Clerk of the Course – Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)
  - Film Specialist – Danny Winick & Assistant Clerk of Scales
  - Horse Identifier – Darrel Sparks
  - Horseshoe Inspector – Augustine Rayas
  - Paddock Judge – Matt Nichols
  - Patrol Judges – Lisa Jones & Matt Nichols
  - Placing Judges – McKenzie Talbot & Cheryl White
  - Starter – Todd Stephens
  - Timer – Melody Truitt
- B. Management officials in the racing department:
- Director of Racing Oak Tree at Pleasanton (OTP) – Larry A. Swartzlander
  - Racing Secretary – Tom Doutrich
  - Assistant Racing Secretary – Linda Anderson
  - Paymaster of Purses – Victoria Layne (C.A.R.F.)

Others (identify by name and title)

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Niccoli Reporting**  
**619 Pilgrim Dr.**  
**Foster City, CA 94404**  
**Telephone: 650-573-9339**  
**pdqcsr@comcast.net**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)**

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/18)**  
**Equipment description list attached.**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2018)**

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See Attached**

**Racetrack/Grandstand: Alameda County Sheriff Chief in Charge**  
**Liaison Officer: Commander Don Buchanan 510-225-5889**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**Racetrack/Grandstand: 8 Sheriff's Deputies**  
**Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

N/A. Addressed in B1 above.

## Video patrol system equipment - 2016

**Video Production**

Three Tier Expando (37' x 12'6")  
3 Position production deck  
5 Position mid deck  
4 Position rear deck  
32 input 2ME Switcher  
144x144 Video/Audio Router  
7 HD Sony Broadcast cameras and support gear  
2 Other cameras HD POV remote  
5 Box Lenses 75x to 55x  
4 Eng Lenses 20x  
Pegasus DataLinks interface / HD broadcast graphics system  
15/10 CH Record playback, multi channel slow motion instant replay

**Audio**

Yamaha PM 4000 52X8X2 console  
Genelec monitors  
8 Channels of DBX Compressor/Limiters  
Bluray/DVD/CD Player  
3 Sennheiser HMD 24 announce  
headsets  
3 Daltec announce boxes w/ talkback  
4 short shotguns  
2 long shotguns  
6 EV 635  
Sony ECM 44B Lav mic  
5 line amps

**Intercom**

RTS Adam CS  
64 ports  
9 PL channels, 12 IFB channels 12 BP 325

**Power/Dimensions**

208v, 3 phase, 200amp  
150' Camlock cable  
12 ton HVAC  
53' trailer + AC = 57'  
37' X 4" expando  
13'6" height clear  
8'6" wide clear (transport)  
80,000 lbs

**Item #14 A:****Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

**Alameda County Agricultural Fair Association**

Jerome Hoban, CEO	925-567-6032
Richard Sims, Manager of Maintenance Operations	925-596-5744
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

**Security Eye**

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519

**Alameda County Sheriff Department**

Fairgrounds Command Center	925-426-7525
Commander Don Buchanan	510-225-5889

***Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.***

B. Number of security guards in the detention stall area during a 24-hour period.

**One**

C. Describe number and location of surveillance cameras in detention stall area.

**Surveillance cameras at each end of the detention shed row and two in between.**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

C. Plan for detention stalls for repeat offenders.

**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**

D. Number of security personnel assigned to the TCO2 program.

**One (1) 24-hour security guard when detention stalls are occupied.**

C. Describe the electronic security system.

**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**4 surveillance cameras monitoring this area**

## 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, 510-504-3616.**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attached**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Golden Gate Fields**

**Turf Rescue LLC**

**4470 Hillsborough Drive**

**Castro Valley, CA 94546**

**510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attached**

C. Describe the on-track first aid facility, including equipment and medical staffing:

**See Attached**



January 25, 2016

Coleen Seymour  
Westmed Ambulance Service  
14275 Wicks Blvd.  
San Leandro, Ca. 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda Certified through the Alameda County Emergency Medical Service Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions or concerns please feel free to contact me at [coleens@westmedambulance.com](mailto:coleens@westmedambulance.com).

Best,

A handwritten signature in cursive script that reads "Coleen Seymour".

Coleen Seymour  
Director of Operations  
Westmed Ambulance  
510.504.3616

Westmed Ambulance Service  
14275 Wicks Blvd San Leandro, CA  
510.614.1420



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County Public Health Department



# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

## Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT** and **CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Clardge  
EMS Director

Karl Sporer, MD  
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



BLS CHECK OUT

Date: \_\_\_\_\_ Shop: \_\_\_\_\_ Unit: \_\_\_\_\_ Mileage: \_\_\_\_\_

GAS CARD# \_\_\_\_\_ AED TEST OK \_\_\_\_\_ In House O2 PSI: \_\_\_\_\_

RADIO# \_\_\_\_\_ PAGER# \_\_\_\_\_

EMT 1: \_\_\_\_\_ EMT 2: \_\_\_\_\_

	Tag #	QTY	31
CAB A	Stretcher Sheets (2 - 5packs)	10	
	Disposable Sheets	5	
	CHUX Underpads	6	
	Pillow Cases	10	
CAB B	Tag #	QTY	53
	KTD Traction Splint	1	
	Sterile OB Kit	1	
	Newborn Stocking Cap	1	
	Bulb Syringe	1	
	Nitrile Tourinquet	2	
	Combat Tourinquet	2	
	Oral Glucose (25g tube)	2 tubes	
	Alcohol Preparation Pads	5	
	Bite Sticks	2	
	Tongue Depressors	4	
	Cloth Tape 1"-2" (2 each)	4	
	Transpore Tape 1"-2" (2 each)	4	
	Eye Wash (Bottle)	1	
	Metal Eye Shield	2	
	Digital Therometer	1	
	Thermometer Sheaths	1 box	
	Trauma Shears	1	
	Sterile Water (2000cc)	4	
	Irrigation Saline (2000cc)	4	
	BP Cuff (INF,Pedi,Adult,LG AD)	1 ea (4)	
	Penlight	2	
	CAB C	Tag #	QTY
Band Aids		1 box	
4X4 Gauze Pad		1 box	
Roll Bandage 2"- 3"- 4" (3 each)		9	
Chemical Activated Cold Packs		8	
Chemical Activated Heat Packs		2	
SX9 ABD Pads		2	
Triangle Bandage		4	
Petroleum Gauze		2	
BloodStoppers		6	
10X30 Trauma Dressing		6	
Burn Sheet (with exp. Date)		1	
4" Bulky Gauze Roller		2	
Triage Tags (DMS version) (County Approved)		20	
CAB D		Tag #	QTY
	Adult BVM	2	
	Pedi BVM	2	
	Infant BVM	2	
	NPA 14,18,22,24,26,28,30,32,34	1 ea (10)	
	NPA Lubrication Packet	6	
OPA #1-8	1 ea (8)		

	Tag #	QTY	31
CAB E	Adult NRB	3	
	Pedi NRB	2	
	Infant O2 Mask	2	
	Adult Nasal Cannula	2	
	Pedi Nasal Cannula	2	
	Infant Nasal Cannula	2	
	Soft Tip 6,8,10,12,14,16,18	2 ea (14)	
	Hard Suction	2	
CAB F	Suction Tubing	2	
	Tag #	QTY	39
	P100 Masks	4	
	N95 Masks	4	
	Surgical Masks	4	
	Eye Protection	2	
	Disposable Gowns	4	
	Shoe Covers	4	
	Surgical Head Covers	4	
	Bactericidal/Virucidal Hand Wipes (box of 25)	1	
	Face Shield	2	
	Nitrile Gloves - S,M,L, XL	1 box ea	
CAB G	BioHazard Bags	5	
	Tag #	QTY	21
	Suction Canister/Lids	2 ea	
	Portable Suction-V.VAC	1	
	V.VAC Repl Cartridge	1	
	Urinal and Bed pan	1 ea	
	Drinking Water 12 oz	8	
	Disposable Cups	8	
	Foil Blankets	2	
	Paper towel roll	1	
Pair of Leather Workgloves	2		
Ear Protection	2		

Oxygen System			
In house O2		1	
Wall O2 regulator		1	
In house O2 wrench		1	
D tanks / w/ 1 regulator		2	

AED			
Adult Pads		2	
Pedi Pads		1	

Side Storage			
XL Carboard Splint		2	
Stair Chair		1	
Trash Bin		1	



Jump Bag	C-Collar	1	
	Adult BVM	1	
	Adult NRB	2	
	Adult NC	2	
	Pedi NRB	1	
	Pedi NC	1	
	Infant Mask	1	
	Infant NC	1	
	Trauma Shears	1	
	Blood Stoppers	2	
	Gauze Pads 2",4",6" (6 ea)	18	
	Gauze Rolls 2",3",4" (2ea)	6	
	Tape 1", 2" (1 ea)	2	
Hot/Cold Pack (1ea)	2		

Behind Jump Seat	D Tanks	2	
	Pillows	2	
	Blankets	2	

On Gurney	D Tank w/Holder	1	
	Pedi-Mate	1	
	Velcro Restraints	4	
	Leather Restraints (SCC)	4	

Top of Bench/ Webbing	Stethoscope	1	
	Adult BP Cuff	1	
	Penlight	1	

Under Bench Seat	KED	1	
	Cardboard Splints S,M,L (2 ea)	6	
	Calvicide Wipes (container)	1	
	Fitted sheets (1 pack)	1	
	Nitrile Gloves box S,M,L,XL	4	
	Rain Blankets	10	
	Large Tarp	1	
	Flares	3	
	Backboard (2 for SCC)	2	
	Scoop	1	
	C-spine bag - 3 adult collars, 3 pedi collars, 3 headbeds, 2 sets of straps	1 bag	

Mechanical	Registration		
	Insurance		
	Incident Kit		
	Fire Extinguisher		
	Spare Tire/Jack		
	Oil Level		
	Transmission Fluid Level		
	Radiator Coolant Level		
	Tires		
	Headlights/High Beam		
	Tail Lights		
	Brake Lights		
	License Plate Light		
	PT Compartment Lights		
	MAP/CAB Lights		
	Windshield Wipers		
	A/C, Heater/Defroster		
	Mirrors		
	Seatbelts		
	Keys (2)		
Flash Lights (2)			
Leather Gloves (2 pair)			
Code 3 Lights			
Warning (Secondary) Lights			
Flood Lights			
Sirens/Horn			

SCC ONLY REQ.	SCC Radio	1	
	SCC Pager	1	
	Blue Helmets	2	
	Reflective SCC jacket	2	
	MPMP		
	FOG		
	ICS T-Card	2	
	Emer. Response guide FIRE	1	
	Emer. Response guide EMS	1	
Leather restraints (1 set)	4		

COMMON STOCK SHELF	AS NEEDED
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RESTOCKING NOTES:
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**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

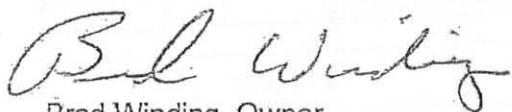
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

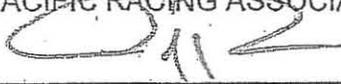
Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

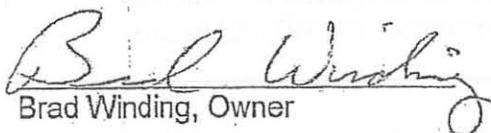
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner

**Item #15 C:****ALAMEDA COUNTY FAIR EMERGENCY CARE AND MEDICAL FACILITIES**

Alameda County Fair as well as all Racing Fairs provides emergency care including comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital facilities.

**Physical Resources & Staffing**

The Alameda County Fair provides multiple levels of safety and medical support for its many racing participants. Specifically: an Ambulance, a fully equipped Alameda County Fire Department Paramedic Engine, a permanent First Aid building, a licensed Physician, Sheriff Deputies and security personnel, redundant communications system, local Fire Station, Horse Ambulance, etc...

**Ambulance** - A fully staffed and equipped ambulance is provided through a contract with Westmed Ambulance Service and located at the Track. Two Emergency Medical Technicians are on the ambulance. One Paramedic from the Alameda County Fire Dept. is stationed on a golf cart with stretcher and equipment at the entrance to the race track, ready to go out, if needed, for each race. The ambulance and crew are at the Track whenever horses are on the Track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care and transport to medical care facilities. This ambulance follows the horses during each race, at a safe distance. This is a competitively bid contract.

**Fire Department Paramedic Engine** – During the Live Race Meet, a fully equipped Alameda County Fire Department Paramedic Engine is parked roughly 100 feet from the Jockey's Clubhouse. The Engine is staffed with four County of Alameda Firefighters, including at least two licensed Paramedics. The Alameda County Fire Department has provided the attached 10 page listing of major equipment and supplies carried by its various Fire Engines.

**Permanent First Aid Building** – A permanent First Aid building is located approximately 100 feet west of the Jockey's Club House. During the Live Race Meet, the Alameda County Fire Department staffs the First Aid Building with a full Engine Company, which includes at least two certified Paramedics. The First Aid Building includes two beds, restrooms, receiving area, triage area, medical equipment, medications, etc... In addition to the array of emergency medical equipment and supplies on the Paramedic Fire Engine, the Alameda County Fire Department annually determines the equipment and supply needs of the First Aid Building.

**Licensed Physician** - During the Live Race Meet, a licensed Physician is on duty and is responsible for care on an ongoing basis for jockeys, track staff and allied personnel

requiring non-emergency medical care. The Licensed Physician is also associated with Valley Care Hospital, which is located 2.7 miles from the Track.

**Sheriff Deputies and security personnel** – Around the clock protection of the Track, Barn Area and Grandstands are provided by numerous Alameda County Sheriff Deputies and trained Security Guards (both in uniform and undercover.) For security purposes, the Fair Association does not publish the precise details of its security plans.

**Redundant Communications System** – During the Live Race Meet and Annual Fair redundant communications are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The Fair also has an emergency response team which responds to all emergencies both medical as well as non-medical.

**Local Fire Station** – A fully staffed Fire Station is located immediately across the street from the Fairgrounds on Valley Avenue. This Fire Station provides emergency medical support as requested by the County of Alameda.

**Horse Ambulance** – The Alameda County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Dr. Tom Streeter Cell 209-608-8009**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Awaiting reinspection April 14, 2016.**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):  
**Self-Insured, see 14J attachment**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:  
**Food & Beverage:**  
**Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001**  
**Sharp Concepts, Inc.: Roger Sharp, P.O. Box 3767, Paso Robles, CA 93447, G/S Downstairs**

**Item #15F English:****Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

### Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

### All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

**Item #15 F Spanish:****Procedimiento en caso de Accidente en Alameda County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

**El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

**Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para aguardar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia..
4. Los miembros de seguridad serán responsables de controlar a la multitud.

**Personal de Carreras/Veterinario del hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona o caballo accidentado haya sido trasladado del lugar.

### Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicer en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



**Alameda County Fire Department**  
**FIRE PREVENTION**

[www.acgov.org/fire](http://www.acgov.org/fire)

DAVIDA A. ROCHA  
 Fire Chief

COUNTY  
 FIRE PREVENTION  
 399 Elmhurst, Room 120  
 Hayward, CA 94544  
 tel (510) 670-5853  
 fax (510) 887-5836

DUBLIN  
 FIRE PREVENTION  
 100 Civic Plaza  
 Dublin, CA 94568  
 Tel (925) 833-6606  
 Fax (925) 829-9248

EMERYVILLE  
 FIRE PREVENTION  
 1333 Park Avenue  
 Emeryville, CA 94608  
 Tel (510) 596-3759  
 Fax (510) 450-7812

NEWARK  
 FIRE PREVENTION  
 37101 Newark Blvd.  
 Newark, CA 94560  
 Tel (510) 578-4218  
 Fax (510) 578-4281

SAN LEANDRO  
 FIRE PREVENTION  
 835 E. 14th Street  
 San Leandro, CA 94577  
 Tel (510) 577-3317  
 Fax (510) 618-3445

UNION CITY  
 FIRE PREVENTION  
 34009 Alvarado-Niles Road  
 Union City, CA 94587  
 Tel (510) 675-5470  
 Fax (510) 487-2117

April 20, 2016

Jerome Hoban, CEO  
 Alameda County Fair  
 4501 Pleasanton Avenue  
 Pleasanton, CA 94566

RE: Annual Inspections of 2016 Racing

Dear Mr. Hoban,

ACFD conducted the annual inspections of the Barns on March 17 and re-inspected on April 7, 2016. As in previous years, the Fire Department will have personnel on site during fair operating hours to inspect and respond to calls for assistance as needed.

Sincerely,

Bonnie S. Terra  
 Division Chief/Fire Marshal



February 12, 2016

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Alameda County Fair  
4501 Pleasanton Avenue  
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. GENERAL LIABILITY PROGRAM

- A. Coverage Limits      \$10,000,000 California Fair Services Authority (includes Liquor Liability)  
Coverage continuous until cancelled

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage      \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage      (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2015 to 07/01/2017

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

*Lianne Lewellen*

Lianne Lewellen  
Risk Analyst

Food Service: Spectra, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Tip Sheets: West Coast Wheelie

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7<sup>th</sup> Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas.CA 94566

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y.10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

B. Does the fair plan to provide its own concessions? Yes  No

## 17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

See Attached

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

See Attached

C. Number of hosts and hostesses employed for meeting:

N/A

D. Describe facilities set aside for new fans:

Daily Racing Seminars, located on the Grandstand Stage

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen and Fans: the Grandstand has all new flat screen, HD, TV's. The project started for the 2015 race meet and is being finished for 2016. \$25,000 in TV's and new cabling, including labor, is being spent in 2016.
2. Fans: Infield tent for parties
3. Facilities in the restricted areas: Doors to stalls in some of the barns have been painted. The painting project is on-going.

## 18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission, Grandstand (general) Free with Fair Admission

Admission, Fair \$12

Admission, Advanced \$10 Adult/\$8 Senior & Children (previous 30% disc on-line)

Admission, Seniors \$10 (Free on Thursday's) (Increase from \$8)

Admission (clubhouse) N/A

Reserved seating, 1 box seat \$5 (Increase from \$3.50)

Reserved seating (clubhouse) N/A

Fair Admission/Children (6-12) \$10 (free on Fridays) (Increase from \$8)

Parking (general) \$10 (pre fair \$8 on-line)

Parking (preferred)	\$15
Parking, WP Lot	\$20
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

- B. Describe any "Season Boxes" or other special accommodation fees:

**Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes. Box seat holders are offered a promotional 5% discounted price if paid for by May 15, 2016.**

- C. **Fun Pack: (\$58 Value for \$40) 4-General Admission, 1 General Parking  
Season Pass: (\$36.00) Fair Admission for all 18 days (Reduced from \$49.99)  
Trackside Terrace: Table for the day with upscale buffet lunch: \$45 Pre-Fair, includes Fair admission. \$40 walk-in's after Fair opens. Reservations accepted beginning May 1, 2016.**

## 19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:

**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.**

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **95**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type

of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Inner Rail: Fontana Safety Rail**

**Outer Rail: Aluminum gooseneck, supports with aluminum top rail**

**Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)**

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Jim Burns.
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**CTT agreement pending.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Jerome Hoban  
Alameda County Fair CEO

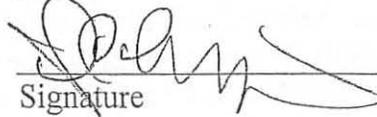


Signature

3-30-16

Date

Sherwood C. Chillingworth  
Executive Vice-President, Oak Tree



Signature

3/29/16

Date

## STAFF ANALYSIS

May 26, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) JULY 6, 2016 THROUGH JULY 26, 2016

California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento as part of the 2016 combined fair horse racing meeting:

- July 6, 2016 through July 26, 2016, or 10 days, one day less than 2015. The fair proposes to race a total of approximately 122 races, 8 less than 2015. Live racing will commence July 8, 2016 through July 24, 2016. Cal Expo is a participant in the 2016 combined fair horse racing meeting.
- At the April 21, 2016 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to designate the 2016 allocated race dates of the Alameda County Fair (6/15/16 - 7/5/16), Cal-Expo State Fair (7/6/16 - 7/26/16), Humboldt County Fair (8/17/16 - 8/30/16), Los Angeles County Fair (9/7/16 - 9/27/16), CARF at Alameda County Fairgrounds (9/21/16 - 10/4/16), and the Big Fresno Fair (10/5/16 - 10/18/16) as a combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to Cal Expo which are now part of the 2016 combined fair racing meeting.

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Racing Friday through Sunday the first and second week, and Thursday through Sunday the third week, with 8 races on Thursday, 12 races per day Fridays, and 13 races per day on Saturdays and Sundays.
- Racing concurrently with the Del Mar Thoroughbred Club.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2015 Race Meeting: Average number of runners per race (TB): 6.20
  - 2015 Race Meeting: Average number of runners per race (Arabian): 7.33
  - 2015 Race Meeting: Average number of runners per race (Quarterhorse): 7.0
  - 2015 Race Meeting: Average number of runners per race (Mules): 6.50

- First post 1:15 p.m. daily and 3:15 p.m. on Fridays.
- Cal Expo respectfully requests to adjust the post time schedule submitted, excepting the first post time, to maximize handle on the overall live and simulcasting program which includes Santa Anita, Del Mar, and out of state import tracks.
- Wagering program will use CHRB and ARCI rules.
  - The distribution of the carryover pool will be October 16, 2016 (Closing Day of the Big Fresno Fair), and would include the Gold Rush Pic six, the Gold Strike Pentafecta, and the pick five or four.
  - Early wagering will not be offered.
  - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races – The last Pick 4 races of the day on Fridays, Saturdays, and Sundays will be a guaranteed \$30,000 pool.
  - \$0.50 Pick 5 on last 5 thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
  - \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
  - \$0.20 Pentafecta (Super High-5) selecting first 5 finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers. 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- Specific changes from the 2015 license application:
  - Reserved Seating (General) was not offered in 2015. 2016 offers \$3.00 Box Seats (Seat only - No Fair Admission)
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, Bet America, Watch and Wager, and TwinSpire.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2015 Regular Board Meeting Cal Expo was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), (c) and (e). The waiver allows for the installation of a Mawsafe rail system on the main track inside rail at the Cal Expo.

Specific information **still needed** to complete this application includes:

1. Fire clearance [inspection scheduled for May 5, 2016].

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.



May 16, 2016

California Horse Racing Board  
Attn: Rick Baedeker  
Assistant Executive Director

Dear Rick,

As of this date the following document is missing from the 2016 California State Fair License application:

1. Fire Clearance – A reinspection is scheduled for May 24. Harness horses are scheduled to be out of the backside effective Monday, May 16, 2016. The first inspection was conducted while harness horsemen were on the backside on/about May 3. The major deficiencies centered on electrical connections not in compliance with fire standards and personal items being used or stored in TAC rooms. These corrections will be completed within this week.

A handwritten signature in cursive script that reads "Larry A. Swartzlander".

Larry A. Swartzlander  
Director of Racing

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**California Exposition & State Fair**  
**1600 Exposition Blvd., Sacramento, CA 95825**  
**916-263-3000/Fax- 916-263-3230**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Rick Pickering, 916-263-3000, rpickering@calexpo.com**  
**Larry Swartzlander, 916-263-3347, larry@calfairs.net**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **July 6 – July 26, 2016**

B. Actual dates (live) racing will be held: **July 8, 9, 10, 15, 16, 17, 21, 22, 23 & 24**

C. Dates (live) racing will NOT be held: **July 6, 7, 11, 12, 13, 14, 18, 19 & 20**

D. Total number of (live) racing days: **10**

E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) **First & Second Week Friday – Sunday; Third Week Thursday thru Sunday**

3. RACING PROGRAM

A. Total number of races: **122**

**Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.**

B. Number of races by breed:

Thoroughbreds  Quarter Horses    
 Arabians   Mules

Application received: <b>4/13/16</b>	CHRB CERTIFICATION	Hearing date: <b>5/26/16</b>
Reviewed: <i>[Signature]</i>		Approved date:
		License number:

## C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	11/11/11				7	10/10/10	11/11/11
Other Breeds	2/2/2				1	2/2/2	2/2/2
<b>Total</b>	<b>13/13/13</b>				<b>8</b>	<b>12/12/12</b>	<b>13/13/13</b>

## D. Total number of stakes races by breed:

Thoroughbreds     Quarter Horses     Appaloosas  
 Arabians     Paints     Mules

## E. List of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

2016  
 Governor's Handicap  
 \$50,000 Added Overnight Hdcp  
 (Plus up to \$15,000 to Cal-Breds)  
 Three-year-olds & Upward – Six Furlongs  
 Sat, Jul 23

Postponed.  
 Simply don't have the horses.

2015  
 Governor's Handicap  
 \$50,000 Added Overnight Handicap  
 (Plus up to \$15,000 to Cal-Breds)  
 Three-year-olds & Upward – Six Furlongs  
 Sat, Jul 11

Sacramento Golden Bear Sprint  
 \$50,000 Added Overnight Hdcp  
 (Plus up to \$15,000 to Cal-Breds)  
 Three-year-olds & Upward – Six Furlongs  
 Sat, Jul 18

2014  
 Governor's Handicap  
 \$75,000 Guaranteed  
 Three-year-olds & Upward – Six Furlongs  
 Sat, Jul 19

Not run.

## F. Will provisions be made for owners and trainers to use their own registered colors?

Yes     No    If no, what racing colors are to be used:

## G. List all post times for the daily racing program:

	<u>Daily</u>	<u>Fridays</u>
Race 1	1:15 PM	3:15 PM
Race 2	1:45 PM	3:45 PM
Race 3	2:15 PM	4:15 PM
Race 4	2:45 PM	4:45 PM
Race 5	3:15 PM	5:15 PM
Race 6	3:45 PM	5:45 PM
Race 7	4:15 PM	6:15 PM
Race 8	4:45 PM	6:45 PM
Race 9	5:15 PM	7:15 PM
Race 10	5:45 PM	7:45 PM
Race 11	6:15 PM	8:15 PM
Race 12	6:45 PM	8:45 PM
Race 13	7:15 PM	

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Santa Anita, Del Mar, and out of state programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

## 4. FAIR ASSOCIATION

## A. Names of the fair directors:

*Patrick O'Brien – Chair*  
*Mark Nelson – Vice Chair*  
*Rima Barkett – Director*  
*Sonney Chong – Director*  
*Rina DiMare – Director*  
*Jess Durfee – Director*  
*Corny Gallagher – Director*  
*Rex Hime – Director*  
*David Mallel – Director*  
*Hallie Ochoa – Director*  
*Tony Ortiz - Director*

## B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

*Sonney Chong – Chair*  
*Rima Barkett – Director*  
*Rina DiMare – Director*  
*Mark Nelson – Director*

- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

**Rick Pickering- Chief Executive Officer**  
**Chris Griffin - Public Address Announcer**  
**Sandra Rios- Stable Superintendent**  
**Steve Wood- Track Foreman**

- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Rick Pickering- Chief Executive Officer- rpickering@calexpo.com**  
**Larry A. Swartzlander- Director of Racing- larry@calfairs.net**  
**1600 Exposition Blvd., Sacramento, CA 95825**

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. N/A

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2015 (11 days)	24,949,818	63,496
*2014 (7 days)	18,033,326	44,337
2013 (8 days)	17,867,121	50,067
2012 (8 days)	18,130,984	51,626
2011 (9 days)	19,202,520	58,126

\*Ran one less day, Ran with Los Alamitos vs Hollywood Park and had one less day with Del Mar.

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate: **\$1,152,156**

Prior meet actual: **\$1,232,156**

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: **\$115.216**

Prior meet actual: **\$112,014**

2. Overnight stakes:

Current meet estimate: **\$50,000**

Prior meet actual: **\$127,000**

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: **\$5,000**

Prior meet actual: **\$11,545**

3. Non-overnight stakes:

Current meet estimate: **\$0**

Prior meet actual: **\$0**

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: **\$0**

Prior meet actual: **\$0**

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: **\$1,202,156**

Prior meet actual: **1,359,156**

**B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):**

Current meet estimate: **\$98,617**

Prior meet actual: **\$112,065**

## C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT 2,900	3,296
TOC 5,801	6,592
NTRA 816	927
PCQHRA 1,483	1,685
ARAC 2,791	3,172
AMRA 2,898	3,293
CHBPAPEN 8,701	9,888
<u>CTHF</u> <u>8,701</u>	<u>9,888</u>
<b>Total- \$34,091</b>	<b>Total- \$38,741</b>

## D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,334,865

Prior meet actual: \$1,509,962

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$133,486

Prior meet actual: \$137,269

## E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,002,331

Prior meet actual: \$1,139,013

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$100,233

Prior meet actual: \$103,547

## F. Purse funds to be generated from interstate handle:

Current meet estimate: \$161,557

Prior meet actual: \$183,588

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$16,155

Prior meet actual: \$16,690

## G. Bank and account number for the Paymaster of Purses' purse account:

**West America Bank (C.A.R.F.) TOC Account No. On-File. Emerging Breeds Account No. On-File.**

## H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corp, 1816 Maryal Drive, Sacramento, CA 95864 916-482-4224**  
**disher\_accountancy@msn.com**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **960**
- B. Minimum number of stalls believed necessary for the meeting: **700**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,124**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  

<b>Alameda County Fair- 684</b>	<b>Golden Gate Fields- 1440</b>
---------------------------------	---------------------------------
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**NCOTWINC Stabling and Vanning Fund agreement on file**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**960**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$10.38**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **\$150 per horse shipping from Golden Gate or Pleasanton.**

## 9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
  - Dr. Donald Dooley 530-472-1330
  - Dr. Frosty Franklin 916-585-2050

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

Dr. Frosty Franklin – 0500 – 1700 on racing days. Jul 1 – July 24 – On site

Dr. Sarah Sporer – 0500 – 10:00 training and workout hours at auxiliary Jul 1 – Jul 24.

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB# 1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF,	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9	



March 24, 2016

California Horse Racing Board  
 Attn: Jackie Wagner  
 Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2016 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

CHRB-18 (Rev.2/15)

	\$ .50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None.**
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **16 October, the last day of the Fresno Fair. Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.**
- E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Amtote (Dave Calendine) Expiration October 30, 2020**  
**Equipment description on file with CHRB.**

## 11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**BetFair, (TVG), XPressBet & TwinSpires.com & Bet America, Watch & Wager**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB.**

- C. Have the contract/agreements been approved by the respective horsemen's groups?  
 Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Bankers Casino, Salinas  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Firehouse Restaurant, Bakersfield  
 Golden Gate Fields, Albany  
 \*Humboldt County Fair, Ferndale  
 Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey

### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Commerce Casino Racebook, Commerce  
 Derby Club, Seaside Park, Ventura  
 Fairplex Park, Pomona  
 Fantasy Springs Casino, Indio  
 Los Alamitos Race Course, Los Alamitos  
 Mermaid Tavern, Thousand Oaks  
 OC Tavern & Sports Bar, San Clemente  
 Roadhouse Grill, Santa Maria  
 Sammy's Restaurant & Bar, Lake Forrest  
 Santa Anita Park, Arcadia  
 Santa Clarita Lanes, Santa Clarita  
 Shalimar Sports Center, Indio

April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

*Don Jacklin*  
*American Mule Racing Association*

[Type text]

[Type text]



## Pacific Coast Quarter Horse Racing Association

April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires, Game Play network, Inc. and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

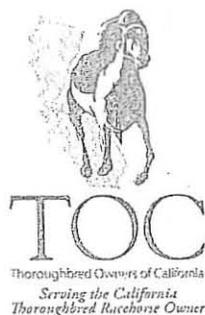
It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Bud Alessio  
President

April 22, 2016



Mr. Larry Swartzlander  
 Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, CA 95815

RE: 2016 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, CARF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on CARF and other Thoroughbred races during the 2016 CARF race meeting commencing June 15, 2016 and continuing through October 18, 2016, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,

Nick Coukos, President.

**OFFICERS**

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
 BOB BAFFERT  
 MARK DEDOMENICO  
 RON ELLIS  
 TERRY LOVINGIER  
 WILLIAM E. MOREY  
 SAMANTHA SIEGEL  
 KATHY WALSH  
 MICHAEL WELLMAN

**EXECUTIVE STAFF**

NICK COUKOS  
 PRESIDENT  
 MARY FORNEY  
 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

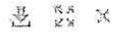
285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

4/27/2016

(6 unread) - sav2276 - Yahoo Mail

Back to Message 2016 ADW Letter for ARAC for ... 1/1

<Larry Cal Fairs, Net>



April 22, 2016

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that the Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch accept wagers from California residents on races conducted at CARF and races conducted at tracks located in California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Letter Agreement dated April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson

[Type text]

San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa

Stanislaus County Fair, Turlock

Sports Center, San Bernardino  
Sports Pavillion at The Farmers Fair, Lake Perris  
Sports Pavillion, San Bernardino Cty. Fair, Victorville  
Surfside Race Place at Del Mar, Del Mar  
Sycuan Gaming Center, El Cajon  
Tilted Kilt, Thousand Oaks  
Viejas Casino & Turf Club, Alpine  
Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2016 IMPORT TRACKS</u>	
ARLINGTON	
BELMONT	
ASSINIBOIA	7/6-7/24
AUSTRALIAN RACING	7/6-7/24
BEULAH	7/6-7/24
CALDER RACECOURSE	7/6-7/24
CANTERBURY DOWNS	7/6-7/24
CHARLES TOWN	7/6-7/24
CHURCHILL DOWNS	7/6-7/24
DELAWARE	7/6-7/24
DOWNS AT ALBUQUERQUE	7/6-7/24
ELLIS PARK	7/6-7/24
EMERALD DOWNS	7/6-7/24
EVANGELINE DOWNS	7/6-7/24
FORT ERIE	7/6-7/24
GULFSTREAM	7/6-7/24
HASTINGS PARK	7/6-7/24
HAWTHORNE	7/6-7/24
INDIANA DOWNS	7/6-7/24
KEENLAND	7/6-7/24
KENTUCKY DOWNS	7/6-7/24
LAUREL	7/6-7/24
LONE STAR	7/6-7/24
LOUISIAN DOWNS	7/6-7/24
MONMOTH	7/6-7/24
MOUNTAINEER PARK	7/6-7/24
NYRA-AQUEDUCT	7/6-7/24
NYRA-BELMONT	7/6-7/24
NYRA-SARATOGA	7/6-7/24
PENN NATIONAL	7/6-7/24
PHILADELPHIA PARK (PARX)	7/6-7/24
PIMLICO	7/6-7/24
PRAIRIE MEADOWS	7/6-7/24
PRESQUE ISLE	7/6-7/24
RIVER DOWNS	7/6-7/24
SOUTH AMERICAN RACING	7/6-7/24
SUFFOLK DOWNS	7/6-7/24
SUNLAND PARK	7/6-7/24
SUNRAY PARK	7/6-7/24
TAMPA BAY	7/6-7/24
THISTLEDOWN	7/6-7/24
TURFWAY PARK	7/6-7/24
TURF PARADISE	7/6-7/24
UNITED KINGDOM	7/6-7/24
WOODBINE	7/6-7/24

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

## ARF 2016 Meets Commingle Locations

nWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound P
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (AD
Apahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Alma Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Alington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Bookad	Fair Grounds ADW	Maywood	Sol Mutuel
Almoral	Fair Meadows	Meadowlands	Southland Greyhound
Almoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Angor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Atavia	Freehold	Monmouth Park	Suffolk District OTB
Attor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Bulah Park	Global Wagering Solutions	Monticello	Pat's Pi
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Buffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Baldler Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
Banterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Castskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Colombus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW		

CHRB-18 (Rev.2/15)

Randall James Racetrack  
Millenium Racing  
Royal Beach Casino  
Divi Carina Bay Casino  
Fair Chance  
Winner's Circle

Bet America  
Best Fast Now  
OnlineRacing.TV  
BetTheRacesOnline.com  
BigJackpotBetting.com  
Hometownbets.com  
RaceplayWin.com  
VIPHorsePlayer.com  
TrackInfo.com  
Offtrackbetting.com

Raceway Park  
Racing2Day LLC  
Racing2Day Intl. (Stan James)  
Remington Park  
Remington OTB Network  
Retama  
Racing & Gaming Services  
River Downs  
Rockingham Park  
Rockingham Account Wagering  
Ruidoso Downs

**Separate Pool Locations**  
Camarero (Puerto Rico)  
Caymanas (Jamaica)  
MIR Books (Caliente)  
NDS Books (Nevada)

Corpus Christi Greyhound  
Delaware

**Canadian Locations**

Amnisiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Toronto Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest & Striders, San Diego.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)-	Forest Franklin, DVM
Clerk of Scales-	Kenneth Sjoldal
Clerk of the Course-	Tina Walker (TB) / Dolores Collins (other breeds)
Film Specialist-	Danny Winnick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Cheryl White/Danny Winick
Placing Judges-	Steve Marinelli/Myra Troske
Starter-	Robert Mooneyhan
Timer-	Melody Truitt

B. Management officials in the racing department:

Director of Racing-	Larry A. Swartzlander
Racing Supervisor-	Juliana Gomes
Concierge-	Lisa Wilkerson
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Paymaster of Purses-	Victoria Layne
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2018**

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2018**  
**Equipment listed in attachment.**

13 E - EXHIBIT A  
EQUIPMENT

- A. 2 Sony SP870 Video Tape Recorders; 2 Panasonic MII Digital Video Tape Recorders
- B. Yamaha 1604 Audio Mixer
- C. Sierra Video systems Routing Switcher
- D. 6 DXC M-7 Cameras with lenses, viewfinders, pan heads, support equipment
- E. Window NT Running Lightware
- F. FORE-A Video Typewriter
- G. FORE-A Frame Sync
- H. AUX Frame Syuc
- I. VIDEO FLYER
- J. 4 Sony 9800 Video Tape Recorders
- K. Microtime IMPACT DVE
- L. GVG 200 with Chroma Key, Silhouette Key, Borderline Option
- M. Remote Production Vehicle
- N. Onboard Isolation Transformer & Voltage Regulator

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16<sup>th</sup> pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires 4/30/2018.**

#### 14. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Chief Robert Craft – 916-263-3050; Organizational chart attached**

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Gate Persons 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. **No Graded Stakes**

B. Number of security guards in the detention stall area during a 24-hour period.

**N/A**

C. Describe number and location of surveillance cameras in detention stall area.

**N/A**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will comply with and follow CHRB directives**

B. Plan for enhanced surveillance for trainers with high-test results.

**Will comply with and follow CHRB directives**

C. Plan for detention stalls for repeat offenders.

**Will comply with and follow CHRB directives**

D. Number of security personnel assigned to the TCO2 program.

**As directed by CHRB**

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Mobile surveillance system and gear provided and installed by CARF**

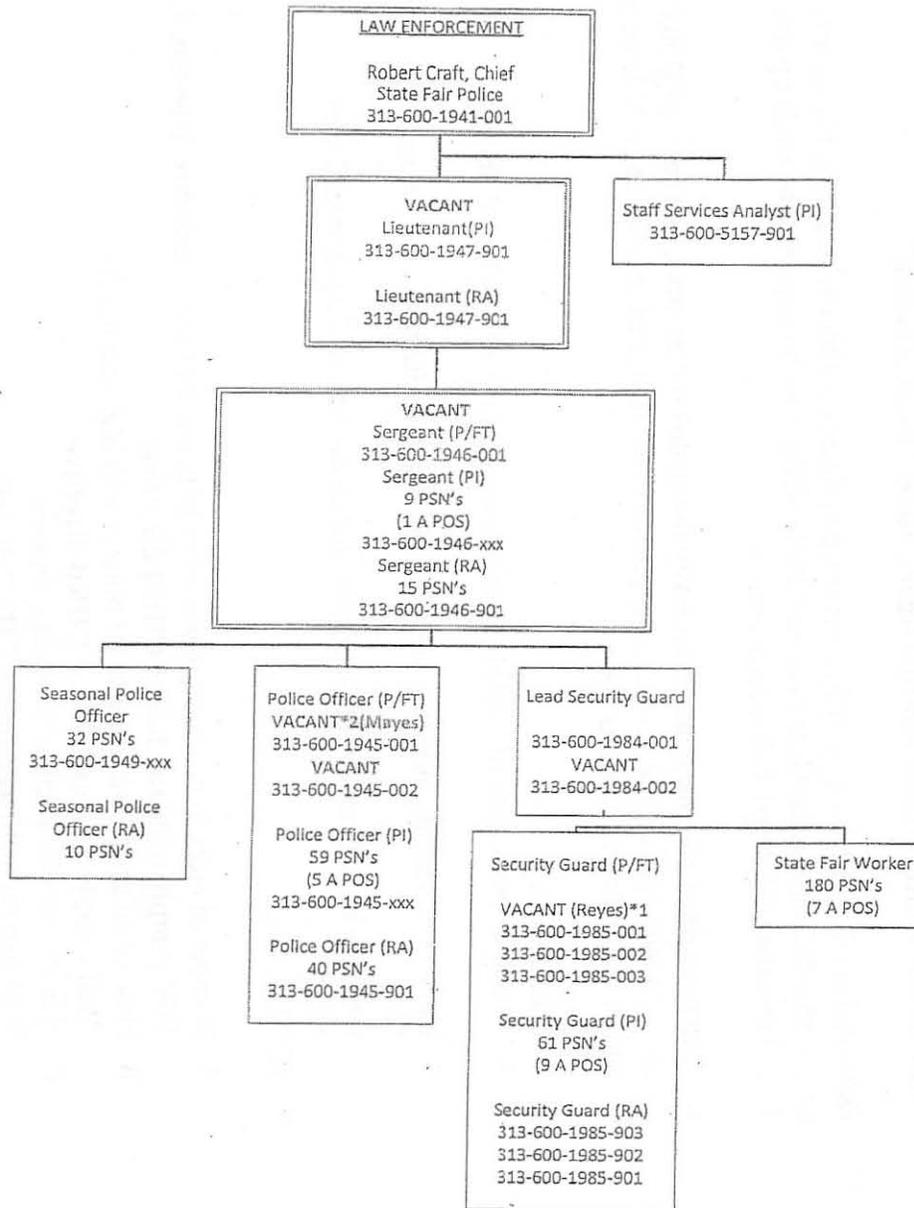
#### 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the

## California Exposition & State Fair Law Enforcement



paramedic staff are licensed with the California Emergency Medical Services Authority.

A1. The State of California uses a web based data base for licensed with in the State. That site is <http://www.centralregistry.ca.gov/Verification/Search.aspx> Sacramento County EMS as well as AMR regular confirm all employees are current with their licenses .

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair**  
**Westmed Ambulance Service**  
 2424 Whipple Rd.  
 Hayward, CA 94544  
 510-504-3616

**Golden Gate Fields**  
**Turf Rescue, LLC**  
 4470 Hillsborough Dr.  
 Castro Valley, CA 94546  
 510-581-8470

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**On file with the CHRB**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

**The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by 1 paramedics and emergency medical technician and the on-site first aid facility is staffed by paramedics and emergency medical technicians.** The equipment list attached can be found one the Sacramento County EMS web site and here is that link to the PDF.

<http://www.dhhs.saccounty.net/PRI/EMS/Documents/PoliciesProceduresProtocols/2000/PP-2030%20Advanced%20Life%20Support%20Inventories.pdf>

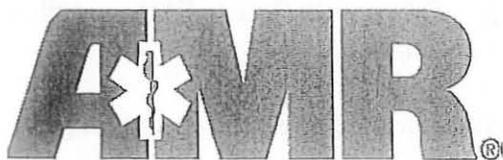
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
 Dr. Streeter 209-608-8009

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**UC Davis Medical Trauma Center**  
**Specializing as a Level 1 Trauma Center**  
 2315 Stockton Blvd., Sacramento, CA 95817  
 916-734-2011; 3 Miles

*Sutter Medical Center*  
 2801 L Street, Sacramento, CA 95816  
 916-887-0000

**Kaiser Permanente Hospital**  
**Specializing in orthopedic and cardiac treatment**  
 2025 Morse Ave.  
 Sacramento, CA 95825  
 916-817-5660 2 Miles



April 12, 2016

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

A handwritten signature in black ink, appearing to read 'Jennifer Bales'. The signature is fluid and cursive.

Jennifer L. Bales, MICP  
(916) 563-0835  
Regional Safety & Risk Manager  
Operations Manager  
AMR – Sacramento County



March 22, 2016

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is Certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, Background checked and state certified in order to fulfill their job duties as authorized by The State of California Emergency Medical Services Authority.

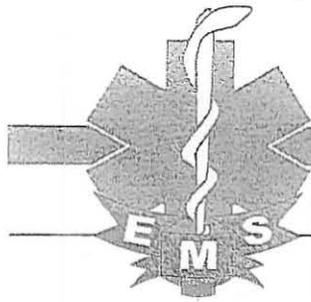
Should you need any additional information please feel free to contact me.

Christopher A Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County  
Public Health Department



# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

## Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Clardys  
EMS Director

Karl Spiller, MD  
Alameda County EMS Medical Director

*An embossed seal should appear in a grey box*

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

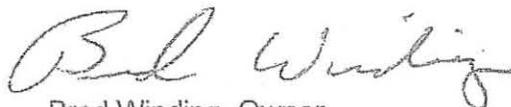
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

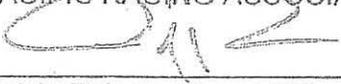
Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

~~PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS~~

  
Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC

  
Brad Winding, Owner

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	<b>PROGRAM DOCUMENT:</b>	Draft Date:	06/09/94
	<b>Advanced Life Support Inventories</b>	Effective:	12/01/14
		Revised:	03/27/14
		Review:	01/01/16

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EMS Medical Director

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EMS Administrator

**I. INTENT:**

It is the sole responsibility of the provider agency to make available to their Paramedics sufficient inventory to offer Advanced Life Support (ALS) services, at all times while in service, as defined by both the state Emergency Medical Services Authority (EMSA) scope of practice and the Sacramento County Emergency Medical Services Agency (SCEMSA) optional scope of practice.

**II. AUTHORITY:**

- A. Health and Safety Code, Division 2.5, Ch. 4, Art. 1, §1797.220; Ch. 5, §1798(a,b) and §1798.2.
- B. California Code of Regulations, Title 22, Division 9, Ch. 4, Art. 2, §100145.

**III. POLICY:**

- A. All Paramedics, who at the time of a medical incident are in service and employed in the capacity of a Paramedic by their provider agency, shall have all the necessary medications, equipment, and materials to render an ALS level of service.
- B. It is the sole responsibility of the provider agency to have all the necessary medications, equipment, and materials available for the Paramedic, who at the time of a medical incident is in service and employed in the capacity of a Paramedic.
- C. Provider agencies may choose to NOT supply non-transporting ALS units with those items indicated by an asterisk (\*) on the SCEMS Inspection Guide.
- D. Any deficiencies in the quantity of sufficient materials to offer ALS service involving patient care, whether or not the deficiency affected patient care, as defined by both the EMSA scope of practice and the SCEMSA optional scope of practice, shall be reported, in writing, to the SCEMSA agency within seven (7) days of the occurrence.
- E. SCEMSA shall conduct periodic ALS unit inspections to validate compliance.

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F. The SCEMS Inspection Guide is not an all-encompassing list nor indicates quantities based on the Providers' geographic response areas and responsibility for identifying sufficient quantities to deliver the necessary care specified in the SCEMS Policies.

### AIRWAY

Bag valve mask resuscitator, adult and pediatric  
 Cric Kit and Jet Insufflation device\* / or equivalent  
 Endotracheal tubes uncuffed, sizes 2.5 – 5.5  
 ETT nebulizer adapter  
 Laryngoscope handle

Magill forceps – adult and child  
 Nasal cannula

**Thoracostomy Needle- 14 gauge 3.25"**

NPA, sizes 24-36 Fr

Portable suction unit

Spare Laryngoscope batteries

Stylet malleable, adult and pediatric

Yankauer or tonsil tip suction catheter

Supraglottic intubation device

Capnometer

Endotracheal tubes cuffed, sizes: 6.0, 7.0, 7.5, 8.0

Handheld nebulizer

Laryngoscope blades, Macintosh sizes 1, 2, 3 and 4,

Miller sizes 0, 1, 2, 3 and 4

Material/device to secure endotracheal tube

Non-rebreather bag mask, adult and pediatric

OPA, sizes 80-110 mm

Portable oxygen cylinder with regulator

Spare laryngoscope bulbs

Suction catheter kit, adult and pediatric

Continuous Positive Air Pressure (CPAP) device with  
 Sm, Med, and Lg masks. \*

### ELECTROCARDIOGRAM

Cardiac/Defib Monitor w/ Pacing Capabilities

Spare paper for monitor

12-lead\* and 3-lead ECG cables

Spare batteries for cardiac monitor

Defibrillation/Pacing pads, adult and pediatric

Electrode pads

### INTRAVENOUS ACCESS SUPPLIES

Antiseptic solution

IO needles\* 15 and 18ga

**Pediatric solution administration kit (60 droplet set  
 or equivalent) \***

Intravenous solution

Sharps needle disposal container

Tourniquet

Intravenous catheters (over the needle) 14, 16, 18, 20,  
 22 and 24

EZ-IO or similar device\*

Intravenous solution administration device

Medication administration needles

Medication administration syringes

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### MEDICATIONS

Adenosine\*

Amiodarone

Atropine

Diazepam\* (only during SCEMSA recognized shortages or under PD#8027)

Dopamine hydrochloride\*

Epinephrine 1:10,000

Glucagon hydrochloride

Midazolam\*

Naloxone

Nitroglycerine paste with tape applicator\*

Ondansetron\*

Sodium bicarbonate\*

Albuterol .05% solution

Aspirin, chewable

Dextrose 50%

Diphenhydramine

Epinephrine 1:1,000

Fentanyl\*

Lidocaine HCL 2%\*

Morphine Sulfate\* (optional in place of Fentanyl)

Nitroglycerine spray or equivalent

Normal saline

Oral glucose\*

Water soluble lubricant

### TRAUMA SUPPLIES

Backboard with straps\*

KED or shortboard device\*

Traction splint, adult and pediatric\*

Hemorrhage control supplies

Vaseline Gauze

Cervical collars (rigid): of appropriate sizes / **adjustable**

Rigid splints, arm and leg

Head immobilizer

Triangular Bandages

**Sterile Dressings (burns)**

**Cold/Hot Packs\***

### COMFORT SUPPLIES

Blanket\*

Pillow\*

### MISCELLANEOUS

Glucometer with testing supplies

Shears

Emesis Containers/Fx Pan\*

**Stethoscope**

Obstetrical kit with hat and blanket

Personal Protective Equipment (PPE)

Triage tags with ties

Penlight

Blood pressure cuff; adult, pediatric

*\*Optional for ALS Non-Transporting Units*

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- G. The following list of inventory items, are intended to list the minimum allowable supplies to be carried on a non-motorized, non-transporting specialty unit. These units include but are not limited to; Bike Patrol, Foot Patrol.
- H. The provider responsible for providing this service shall be required to carry the appropriate quantity of each item as deemed appropriate for their specific event.
- I. While these items represent the minimum allowable, it is appropriate and encouraged to carry any item from this entire policy as deemed necessary and appropriate for the specific event or assignment. The carrying of any equipment from the items listed in Section F do not need to be approved by SCEMSA if carried on these specialty units, provided the requirements of that specific item can be met (eg. Narcotics)

**Non-Motorized Specialty Unit Minimum Inventory:**

**Medications:**

Epinephrine: 1:10,000  
 Atropine  
 Nitroglycerine spray or equivalent  
 Oral Glucose  
 Diphenhydramine  
 Albuterol

Epinephrine: 1:1,000  
 Aspirin  
 Glucagon  
 Naloxone  
 Dextrose 50%  
 Sodium Chloride Vial

**Airway:**

Portable oxygen cylinder with regulator  
 Nasal Cannula  
 ETT nebulizer adapter

NPA, sizes 24-36 Fr  
 Endotracheal tubes uncuffed, sizes 2.5 – 5.5  
 Laryngoscope handle/blades  
     Mac 1-4, Miller 0-4  
 Spare laryngoscope bulbs  
 Capnometer (Adult/Child)

Non-rebreather bag mask, adult and pediatric  
 Handheld nebulizer  
 Bag valve mask resuscitator, adult and pediatric

OPA, sizes 80-110 mm  
 Endotracheal tubes cuffed, 6.0, 7.0, 7.5, 8.0

Spare Laryngoscope batteries  
 Magill Forceps (Adult/Child)  
 Material/device to secure endotracheal tube (Adult/Child)

Portable Suction  
 Water soluble lubricant

Suction Catheter Kit  
 Thoracostomy Needle- 14 gauge 3.25"

	<b>COUNTY OF SACRAMENTO</b> <b>OFFICE OF EMERGENCY MEDICAL SERVICES</b>	Document #	2030.14
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**ECG:**

AED w/ Spare Battery (Adult/Child capabilities)  
 Waveform display preferred

**IV Supplies:**

Antiseptic solution

Intravenous solution administration device  
 Intravenous solution  
 Sharps needle disposal container  
 Tourniquet

**Dressing/Bandages:**

Hemorrhage control supplies  
 Cold Packs

**Misc:**

Stethoscope  
 Pulse-oximeter  
 Glucometer with testing supplies  
 Penlight

Intravenous catheters (over the needle)  
 14, 16, 18, 20, 22, 24

Saline Lock  
 Medication administration needles  
 Medication administration syringes

Vaseline Gauze  
 Triangular Bandages

Blood Pressure Cuff (Adult/Child)  
 Obstetrical kit with hat and blanket  
 Personal Protective Equipment (PPE)

**Mercy General Hospital**  
**Specializing in cardiac treatment**  
**4001 J. St., Sacramento, CA 95819**  
**916-453-4553 1 ½ Miles**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Larry A. Swartzlander, Director of Racing**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**State Fire Marshall Inspection appointment is scheduled for MAY 5, 2016**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority, Self Insured**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Forwarded to CHRB on 4/26/2016.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:  
Food Service- Ovation-FanFare, 4501 Pleasanton Drive, Pleasanton, CA 94566
- B. Does the fair plan to provide its own concessions? Yes  No

**Item #14F English:****California State Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

### Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

### All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

## Item #14 F Spanish:

### **Procedimiento en caso de Accidente en California State Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) víctima(s).

#### Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeúntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

#### Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

### Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



CALIFORNIA  
FAIR SERVICES  
AUTHORITY

April 25, 2016

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: California Exposition & State Fair  
1600 Exposition Blvd.  
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

## II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage            \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage            (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
    (b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2015 to 07/01/2017

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

*Lianne Lewellen*

Lianne Lewellen  
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815

PHONE: (916) 921-2213 | FAX: (916) 646-1238

## 17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **Attached**
- A. Attach a copy of the promotional and marketing plans for the race meeting. Forwarded on April 25, 2016.
- B. The total media buy for the 2016 California State Fair is \$800,000 with an estimated \$400,000 in trade and promotions. Horseracing advertising, promotions and marketing will be included in the overall messaging.

In 2015 Horse racing was a major component of the fair's \$820,000 media buy which included an additional \$500,000 in added value through negotiated promotions with various TV, radio, print and digital providers in the Sacramento DMA.

- C. Number of hosts and hostesses employed for meeting:

8 (?)

- D. Describe facilities set aside for new fans:

Reserved grandstand seating will be made available to blue ribbon season pass holders. We will also offer horse racing 101 workshops, and are working on securing new group events that will be held on the grass area to the left of the apron. New tote board signage and new entryway signs will also enhance the customer experience.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit horsemen, fans, and facilities in the restricted areas.
- A \$100,000 inside racing rail was installed.
  - A new betting system was installed.
  - The Miller Lite Racetrack Grandstand was re-wired for better data transfer.
  - Improvements were also made to the backstretch laundry facilities.
  - New water-efficient toilets were installed throughout Cal Expo, including the Miller Liite Racetrack Grandstand.
  - Cal Expo opened the new employee break room in the backstretch.
  - An emergency safety horn was implemented for thoroughbred training.
  - Cal Expo will be thinning trees to reduce shade on the track.

Marketing Plan:

Nearly \$1.9 million in cash and approximately \$400,000 in in-kind advertising will be spent on marketing efforts to draw the Sacramento region and San Joaquin Valley to the 2016 California State Fair and Race Meet. Additional marketing through online discount offers will take place in all major cities throughout California.

The blue ribbon will continue to brand the State Fair as a celebration of the best of the best in all of the marketing elements.

# California STATE★FAIR

## THE BEST SEATS FOR

*Live*

## HORSE RACING



California  
STATE★FAIR  
JULY 8 - 24, 2016

IT'S THE  
BEST

FAIR & RACING  
MARKETING PLAN

# 2016

# California STATE FAIR

THE Best  
IS BACK

Buy your tickets now for the  
Best 17 Days of Summer!

[CLICK HERE](#)

California  
STATE FAIR  
JULY 8 - 24, 2016

Sample Advertising Artwork

# PART 1

## FAIR MARKETING

Nearly \$1.9 million in cash and approximately \$400,000 in in-kind advertising will be spent on marketing efforts to draw people from the Sacramento and San Joaquin Valley.

The 2015 State Fair's theme of 'The Best 17 Days of Summer' was designed to help celebrate, preserve, and inspire California's most valuable asset - it's people. That tradition continues with the 2016 theme, "The Best is Back." The blue ribbon will continue to brand the State Fair as a celebration of the best of the best in all of the marketing elements.



## Marketing Tactics

Records were shattered during the 2015 California State Fair. Total attendance grew to 787,833, up 5 percent from 2014.

### Broadcast TV/Cable Advertising

- 5 weeks of ads leading up to and during the Fair
- English and Spanish ads
- Horse racing is a featured attraction

### Radio Advertising

- 8 weeks of recorded radio ads
- 2-week flight for advance ticket sales in March and 8 weeks leading up to and during the Fair
- English and Spanish ads in the Sacramento and Stockton Markets
- On-site and on-air promotions

### Outdoor Advertising

- 29 high traffic billboards
- Digital and static with extensions
- Nearly 45 million estimated impressions
- Transit posters in midtown Sacramento and high traffic commuter areas

### Print Advertising

- Sacramento Bee
- Sactown Magazine
- Sacramento Magazine
- Sacramento News & Review
- Sacramento Observer
- Outward Magazine
- Dozens of Community Newspapers

- 20,000 flyers and postcards delivered to potential competitive exhibitors and at community events

### Digital Marketing

- Banners (Desktop & Mobile)
- Automated box seat marketing campaigns to targeted lists (previous purchasers and area businesses)
- Online video
- Social advertising via Facebook and Twitter
- Daily emails/newsletters highlighting events and activities as the Fair

### Social Media Outreach

- Daily posts on Facebook, Twitter and Instagram
- Live streaming via Facebook and Periscope

### Awards and Recognition

- International Association of Fairs and Expositions Best of Show Marketing Campaign
- 16 Western Fair Association media and marketing awards
- American Advertising Federation - Sacramento ADDY Gold Award for Overall Marketing Program
- Facebook Likes: 165,288
- Twitter Followers: 9,017
- Instagram Followers: 8,825

# PART 2 HORSE RACING

## IMPROVEMENTS TO THE RACING FACILITY



*“The California State Fair has recently reinvested more than \$1.3 million into racing facility improvements.”*

### Complete Your Reservation

Thank you for submitting your 2016 box seat application. Your box seat will be reserved for the 2016 thoroughbred horse racing season at the California State Fair once your full payment has been received.

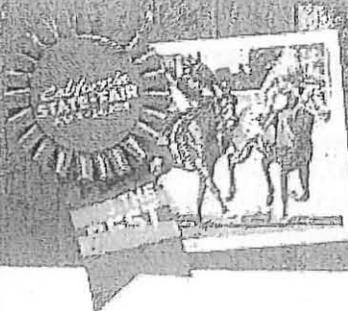
Credit card payments can be made by calling (916) 263-3948. Checks can be mailed to:

Box Seats Sales & Race Sponsorship  
Alicia Jenn Kennedy  
California State Fair  
1650 Exposition Blvd  
Sacramento, CA 95815

Thank you again for your reservation! We'll see you this July as we celebrate the best 17 days of summer at the California State Fair, July 8-24.



© 2016 California State Fair. All rights reserved. For more information, visit [www.calfair.org](http://www.calfair.org).



## THE BEST SEATS FOR Live HORSE RACING

Dear Cierra,

The 2016 California State Fair race meet is right around the corner! Join us for 10 days of live thoroughbred horse racing at the State Fair. The 2016 California State Fair race dates are July 8-10, July 15-17 and July 21-24.

As a past season box holder, I want to make sure you have one of the first opportunities to pick your season box seat from last year for the 2016 July race meet. Click here to see the 2016 Miller Lite Racetrack Grandstand layout and pricing for this year: \$600 (premium) and \$500 (all other box seats).

#### 2016 season box packages include:

- One private box (8 seats per day)
- One sponsored race
- 6 official racing programs (per day)
- 60 State Fair general admission passes
- One general lot parking pass (racing days only)
- One preferred lot parking pass (racing days only)
- Bonus: 6 complimentary general admission passes to use any other day of the California State Fair.

If you are interested in having a season box seat and you already have a box picked out, please call (916) 263-3048 or email at [jkenedy@caexpo.com](mailto:jkenedy@caexpo.com). The daily race post time will be at 1:15 p.m. with the exception of the special Friday race card that is scheduled to start at 4:15 p.m.

To ensure the reservation of your box, please complete the application form and submit your payment. Your season box will be confirmed upon payment.

Thank you for your continued support of horse racing at the California State Fair. We look forward to hearing from you. Please contact us by June 1 to reserve your selected box. After June 1, all boxes will be released to the general public for purchase.

Sincerely,

Jenn Kennedy  
California Exposition & State Fair  
Box Seat Sales & Race Sponsorships  
(916) 263-3048  
[jkenedy@caexpo.com](mailto:jkenedy@caexpo.com)

*Please note: The Miller Lite Racetrack Grandstand remains a smoke-free facility in compliance with California State Law. We respectfully request that our guests refrain from smoking in the Miller Lite Racetrack Grandstand proper, including the box seats and Turf Club areas. However, there are designated smoking areas located on the lower level, 2nd floor and Turf Club patio. We apologize for any inconvenience this may cause our guests.*

**RESERVE BOX SEAT OR  
SPONSORED RACE**



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The California State Fair 1200 Exposition Blvd., Sacramento, CA 95815. Market Codes: (916) 263-1000

Sample e-mail campaign to racing e-database.

## MOVING FAIR TRAFFIC TO THE RACING GRANDSTAND

Many first-timers and casual fans, who might never attend a private track, are exposed to horse racing in an exciting manner at the California State Fair. In 2015, State Fair attendance was 787,833, up from 750,445 in 2014. A primary focus of the State Fair, first implemented in 2014, is to drive traffic from all three fair admission gates to the Miller Lite Racetrack Grandstand on racing days. Once at the Grandstand, we will cultivate racing fans by providing easy access, a guaranteed win with "Spin to Win," an atmosphere free of intimidation and a place for racing education. We will achieve this by:

### Place Racing Kiosks at Fair Gates

Racing kiosks will be located at each of the Fair entrances to distribute racing information. Upon entry to the Fair, random attendees will be given vouchers for wagering at the Racetrack. Each admission ticket purchased will receive a "Spin to Win" voucher.

### Install Wagering Terminals at Fair Events

Self-service wagering terminals will be made available at events located on the track apron or the grass adjacent to the apron that previously didn't have direct access to on-track wagering.

### Painted Walkway to the Grandstand

The entire Fairgrounds will be revamped to direct patrons and visitors to the racetrack, including: fresh signage, widening walkways and the placement of horse racing specific ground markers to ease the path of travel to the Grandstand.

### "How to Bet" Kiosk & "Rookies" Window

Racing education will be taken to a new level with a "How to Bet" kiosk with a demo self-service tote machine and a "Rookies" window dedicated to providing a low-pressure, helpful environment for new players placing wagers.

### Spin to Win

A wheel will be placed at the Grandstand main entrance where all patrons will spin to win a prize. In 2015, this promotion consistently drew a large crowd in the Grandstand.

### Enhance the Grandstand Entrance

The outside of the Grandstand will be enhanced with additional vendors, an expansion of the mobile sponsor area and the placement of additional attractions.

California  
**STATE FAIR**  
GRANDSTAND

## HORSE RACING EDUCATION & PROMOTIONS

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Horse racing is a major component of the fair's \$800,000 media buy which includes an additional \$400,000 in added value through negotiated promotions with various TV, radio, print and digital providers in the Sacramento DMA. In addition to traditional advertising, the California State Fair strives to enhance the horse racing experience through the following promotions:

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### Spin to Win

Located at the Grandstand Information Booth. The goal of this promotion is to drive fair traffic to the Grandstand so that casual fairgoers can experience live horse racing. Fairgoers will spin a wheel to win prizes (i.e. lunch in the Clubhouse, a free program, a betting voucher, etc.). Racing education will be available at the redemption area.

### Grandstand Brewfest

Best of California Brewfest  
Fairgoers will have the opportunity to taste beer from award winning-breweries from the California State Fair Commercial Craft Brew Competition with horse racing as a backdrop. The event will feature a Horse Racing 101 workshop and on-site betting.

---

### Win, Place, Show Promotion

An introduction to choosing a horse and basic betting terms. Patrons place their name in a box corresponding to the horse they believe will win a daily designated race. Winners are drawn for the first three positions. For some patrons, this promotion is their first introduction to reading a program and learning simple racing terms such as "win," "place" and "show."

### Cornhole Championship

Cornhole is a bean bag toss game and the championship matches will be held on the grassy area adjacent to the apron. More than 50 two-person teams will compete in a round-robin style event. In addition to male and female competitors, the event will draw spectators during the live race meet. Cash prizes and award ribbons will be given out.

---

### Program & Voucher Giveaway

Given at Fair gates and redeemed at the Grandstand. Coupons will be placed at all fair admission gates redeemable for a free daily racing program at the Grandstand. Random fans entering the fair will be given vouchers for wagering at the racetrack, providing a strong incentive to go to the grandstand to place a wager or cash the voucher in for its value.

### Media Luncheon

Media Kickoff for the 3 Week Race Meet  
State Fair will host a media luncheon in the Club House. All TV, radio, print and online reporters will be invited. Standout owners and trainers participating in the Cal Expo Racing program will be invited.

---

### Handicapping Seminars

Hosted by Track Announcer Dave Rodman  
Handicapping workshops will be hosted on the weekends by track announcer Dave Rodman and will have a special guest each day. During the workshop Dave will interview the guest and both will handicap the racing card for that day to open the eyes of new fans and provide on-site betting opportunities.

### Gold Rush P6 & Super High 5

Lower Minimums and Jackpot Pools  
The minimums for both wagers will be 20 cents which will allow racing fans with a small bankroll to participate in these high payoff wagers. These jackpot wagers will allow pools to grow quickly and create carryovers to add incentive for racing fans to get in on the action.

---

### Miller Lite Race of the Day

Gets the Grandstand shaking for \$1 beer.  
Engages all race fans to root for an odd or even numbered horse to win the specific Miller Lite Race of the Day. The prize is \$1 beers sold at all grandstand concession locations from the time the Miller Lite Race of the Day is official, until post time for the next race.

### First Responders Appreciation Day

State Fair will host its annual tribute to its heroes. In addition to displays and represented agencies, special day-of programming will be featured at the entrance of the racetrack to draw fairgoers. Non-profit organizations will receive special outreach to encourage "a day at the track".

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# PART 3

## RACING PRODUCT

### RECRUITMENT & PRODUCT ENHANCEMENT

The California State Fair, in conjunction with the California Authority of Racing Fairs (CARF), the Northern California racing fair circuit and the California Marketing Committee, is investing \$150,000 in horse recruitment and product enhancement. We will offer a three-pronged approach to increasing field size by recruiting, retaining and recognizing horsemen.

#### Trainer Incentive for Starts

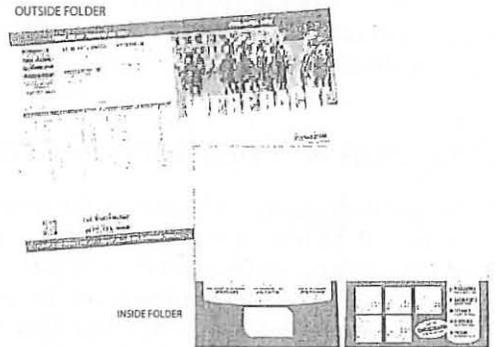
\$70,000 is budgeted for retention through the CARF Trainer Incentive Program. The incentive program was first implemented in 2013 and generated a 49% increase in entries and 46% increase in starts by qualifying horsemen. Thoroughbred trainers making 5-9 starts during Pleasanton, Ferndale, Stockton and Fresno will receive a \$500 bonus, while Thoroughbred trainers making 10 or more starts will receive a \$1,000 bonus. Incentives at Cal Expo will be \$800/\$1,500 to help with shipping. All payments will be tracked and paid through the CARF Paymaster.

#### Out-of-State Recruitment

\$50,000 is budgeted for out-of-state recruitment. CARF will pay a relocation fee of \$300 to the designated payee of any horse making its first start at Pleasanton, Sacramento, Santa Rosa, Ferndale, Stockton or Fresno after shipping over 450 miles. A qualifying runner making its second start at one of these Fairs will receive \$150 (with the total incentive not to exceed \$400 per horse). Qualifying horses making their first start at Ferndale will receive \$150 for their initial start, \$150 for their second start and are eligible for another \$150 payment if they start at Stockton or Fresno. All payments will be tracked and paid through the Fair Paymaster.

#### Advertising & Recognition

\$30,000 is budgeted for horsemen recognition, collateral materials and advertising. This includes ad buys and the design/printing for the CARF VIP Program, out-of-state recruitment collateral, posters, flyers, direct mail pieces, print and online advertising.



2016 PURSES\*

Category	Claiming	Open	2016 Purse	2015 Purse
Allowance	NW x 1		\$27,000	\$27,000
Allow/Opt Claiming	\$25k/\$32k	NW x 1	\$27,000	\$27,000
Allow/Opt Claiming	\$50k/\$62.5k	NW x 2	\$28,000	\$28,000
Allow/Opt Claiming	\$80,000	NW x 3	\$29,000	\$29,000
Claiming		Humboldt Only	14,000	14,000
Claiming	\$2,500		17,000	16,000
Claiming	\$3,200	NW/Clause	19,000	17,500
Claiming	\$3,200	Open or Date	19,000	17,500
Claiming	\$4,000		19,000	18,000
Claiming	\$4,000	NW2L	18,500	17,800
Claiming	\$4,000	NW3L	19,000	17,800
Claiming	\$4,000	NW4 or Date	19,000	17,800
Claiming	\$5,000		112,000	18,500
Claiming	\$5,000	NW2/Clause	111,000	18,000
Claiming	\$6,250	Open or Date	113,000	19,000
Claiming	\$8,000		113,500	
Claiming	\$8,000	NW2/Clause	113,000	
Claiming	\$8,000	NW2L	110,000	\$8,000
Claiming	\$10,000		114,000	
Claiming	\$10,000	NW3L	112,000	
Claiming	\$12,500	NW2/Clause	114,000	
Claiming	\$12,500		115,000	
Claiming	\$16,000		118,000	
Claiming	\$16,000	NW2L	114,000	
Claiming	\$20,000		119,000	

PURSES (continued)

Category	Claiming	Condition	2016 Purse	2015 Purse
Claiming	\$20,000	NW2/Clause	\$18,000	\$24,000
Claiming	\$25,000	NW2L	\$16,000	\$18,000
Claiming	\$25,000	NW3L	\$18,000	\$18,000
Claiming	\$32,000		\$25,000	\$25,000
Claiming	\$40,000		\$27,000	\$27,000
Md Claiming	\$5,000		\$7,000	\$6,000
Md Claiming	\$8,000		\$8,000	\$7,700
Md Claiming	\$12,500		\$10,000	\$10,000
Md Claiming	\$16,000		\$12,000	\$12,000
Md Claiming	\$25,000		\$15,000	\$15,000
Md Claiming	\$32,000		\$16,000	\$16,000
Md Claiming	\$40,000		\$18,000	\$18,000
Md Special Alw		All Ages	\$25,000	
Overnight Stakes			\$50,000	
Santer Allowance	\$4,000		\$15,000	\$9,500
Santer Allowance	\$6,250		\$16,000	
Santer Allowance	\$12,500		\$20,000	
Santer Allowance	\$40,000	NW2L	\$17,000	
Claiming	\$5,000	1 1/4 Mile or longer	\$15,000	
Claiming	\$10,000	1 1/4 Mile or longer	\$18,000	
St Alw \$800 special	\$8,000	FNO Only	\$20,000	

\*Dates and purses are subject to change. Purse schedule is pending TOC approval.

2016 STAKES SCHEDULE\* \*Subject to approval from the Thoroughbred Owners of California and California Horse Racing Board.

DATE	TRACK	RACE	PURSE	DESCRIPTION
JUN 18	PLEASANTON	Pleasanton Oaks Stakes	\$50,000	Adelinet Overnight Stakes* F, 3 YO 6 Furlongs
JUN 25	PLEASANTON	Oak Tree Handicap	\$50,000	Added Overnight Hdcp* 3 YO & Up 8.5 Furlongs
JUL 2	PLEASANTON	Juan Gonzalez Memorial	\$50,000	Added Overnight Stakes* F, 2 YO 5.5 Furlongs
JUL 3	PLEASANTON	Everett Nevin Stakes (Cal-Bred)	\$100,000	Guaranteed Stakes** 2 YO 5.5 Furlongs
JUL 4	PLEASANTON	Oak Tree Sprint	\$50,000	Added Overnight Stakes* 3 YO & Up 6 Furlongs
JUL 23	SACRAMENTO	Governor's Handicap	\$50,000	Added Overnight Hdcp* F&M, 3 YO & Up 6 Furlongs
AUG 28	FERNDALE	C.J. Hindley HC Marathon HDPC	\$20,000	Guaranteed Hdcp 3 YO & Up 13 Furlongs
OCT 8	FRESNO	Harris Farms Stakes (Cal-Bred)	\$100,000	Guaranteed Stakes** 3 YO & Up 6 Furlongs
OCT 16	FRESNO	The Bulldog Handicap	\$50,000	Added Overnight Hdcp* 3 YO & Up 9 Furlongs

\*Plus up to \$15,000 for Cal-Breds  
\*\*Golden State Series (includes \$40,000 from CTBA)

Trainers are eligible for Cash Bonuses at all 5 Fairs!

Follow us at [CalFairs.com](http://CalFairs.com) for e-mail news and overnight distributions!



CARF Fair Circuit...the very heart of horse racing!



Sample Recruitment Collateral

# 2016 RACING FAIRS



## JUNE

19 20  
21 22 23

## JULY

3 10 17 24  
1 2 8 9 15 16 21 22 23

## AUGUST

21 28  
19 20 26 27

## SEPTEMBER

25  
23 24 30

## OCTOBER

2 9 10 16  
1 6 7 8 13 14 15

### PLEASANTON

June 16-July 4 (12 Days)

### SACRAMENTO

July 8-24 (10 Days)

### FERNDALE

August 19-28 (6 Days)

### STOCKTON

Sept 23-Oct 2 (6 Days)

### FRESNO

October 6-16 (9 Days)

Bringing a new stable to Northern California? Call us for relocation assistance. (415) 271-4613

### OUT-OF-STATE HORSES

\$300 1st start, \$150 2nd start (\$150/\$150 Ferndale).

### VIP PROGRAM

The VIP Program provides one parking pass/credential for the CARF Fair Circuit, special access and other perks. Contact the Racing Secretary for details.

### HORSEMEN HOTLINE

Call (877) 722-3071 between 10am-3pm on race days

\*Emerging Breed and Thoroughbred trainers are eligible at Ferndale

# W P HORSEMEN



GO TO  
**CalFairs.com**  
FOR MORE INFO

Toll-Free Entries:  
**(877) 722-3860**

Tom Dourich, Racing Secretary (415) 271-4613  
Larry Swartzlander, COO (916) 799-7084  
Vicki Layne, Paymaster (916) 715-8642

## Marketing tactics:

### Broadcast TV/Cable advertising

- 5 weeks of ads leading up to and during the Fair
- English and Spanish ads
- Horse racing is a featured attraction

### Radio advertising

- 8 weeks of recorded radio ads
- 2-week flight for advance ticket sales in March and 8 weeks leading up to and during the Fair
- English and Spanish ads in the Sacramento and Stockton Markets
- On-site and on-air promotions

### Outdoor advertising

- 23 high traffic billboards
- Digital and static with extensions
- Nearly 45 million estimated impressions
- Transit wraps in midtown Sacramento and high traffic commuter areas

### Print advertising

- Sacramento Bee
- Sactown Magazine
- Sacramento Magazine
- Sacramento News & Review
- Sacramento Observer
- Outward Magazine
- Dozens of Community Newspapers
- 20,000 flyers and postcards delivered to potential competitive exhibitors and community events

### Digital Marketing

- Banners (Desktop & Mobile)
- Automated box seat marketing campaigns to targeted lists (previous purchasers and area businesses)
- Online video
- Social advertising via Facebook and Twitter
- Daily emails/newsletters highlighting events and activities as the Fair

### Social Media Outreach

- Daily posts on Facebook, Twitter and Instagram
- Live streaming via Facebook and Periscope

Awards and Recognition

- International Association of Fairs and Expositions Best of Show Marketing Campaign
- 16 Western Fair Association media and marketing awards
- American Advertising Federation – Sacramento ADDY Gold Award for Overall Marketing Program
- Facebook Likes: 165,288
- Twitter Followers: 9,017
- Instagram Followers: 8,825

Attendance Stats

Total attendance grew to 787,833 in 2015, up from 750,445 in 2014. This represented more than 37,000 additional visitors—a 5 percent increase year over year—that came to Cal Expo to celebrate the best 17 days of summer.

During the 2015 Race Meet, more than \$2.2 million in bets were placed for races at Cal Expo. The per person wager at the track was up 14.4 percent (\$64.77 in 2015 vs. \$56.64 in 2014) and the attendance at the track was up as well. Horse racing on the final weekend of the Fair attracted nearly 14,000 fans. The final day of the Fair saw 6,324 race fans – a record number of attendees at the track.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

<b>Admission (general) -</b>	<b>\$12.00</b>
<b>Admission (clubhouse)</b>	<b>Free</b>
<b>Reserved seating (general)</b>	<b>Box Seats \$3.00 Seat only NO Fair Admission</b>
<b>Reserved seating (clubhouse)</b>	<b>Free</b>
<b>Parking (general)</b>	<b>\$10.00</b>
<b>Parking (preferred)</b>	<b>\$ 4.00</b>
<b>Parking (valet)</b>	<b>N/A</b>
<b>Programs (on-track)</b>	<b>\$2.50</b>
<b>(Off-track)</b>	<b>\$2.50</b>

B. Describe any "Season Boxes" or other special accommodation fees:

11-Day Season Box Seat Package-  
\$600 for premium boxes surrounding finish line  
\$500 for all other boxes

Package Includes:

- One private box (6 seats total)
- One sponsored race
- 6 official racing programs (per day)
- 60 general admission passes to the California State Fair
- One general lot parking pass (good for racing days only)
- Preferred lot parking pass (good for racing days only)

Bonus! 6 complimentary general admission passes to use any other day of the California State Fair.

## 19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="43"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys: Same type in segregated area

## 20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 21
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restrooms to the number of backstretch personnel: 25 to 1

## 21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.**
- C. The percent of cross slope in the straight-aways is: **2%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside Rail- Maw Safe Rail      Outside Rail- Steriline Aluminum Racing Rail**  
**Both rails will be set at 38" to 42" from the level of the race course**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**On file**

- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**On file with the CHRB.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

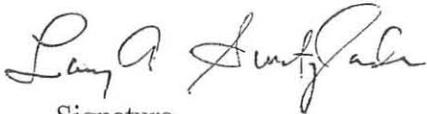
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

Print Name  
Director of Racing  
Print Title

 4/30/2016

Signature  
April 12, 2016  
Date

STAFF ANALYSIS

May 26, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 13, 2016 THROUGH SEPTEMBER 6, 2016

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 13 through September 6, 2016, or 39 days, 1 day less than 2015. The association proposes to race a total of 347 races, or 8.9 races per day. In 2015 Del Mar raced 8.8 races per day with an average of 8.78 runners per race. Live racing will commence July 15, 2016 through September 5, 2016. In 2015 Del Mar was allocated to race 40 days the (actual) average daily purse for the meet was \$626,423. The (estimated) average daily purse for this meet is \$582,610.
- The race dates proposed are the dates allocated by the Board.

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing 5 days per week, Wednesday through Sunday, with 8 races per day Wednesdays, Thursdays and Fridays; 9 races per day Sundays, July 31, August 7 and 14; 10 races per day Saturdays and Sundays, July 16, 17, 23, 24, 30, August 6, 13, 21, 27, 28, and September 4; 11 races per day Saturday, August 20 and September 3; 10 races per day Opening Day, Labor Day/Closing Day.
- Racing concurrently with the dates allocated to Cal Expo, Santa Rosa, Ferndale and Pacific Racing Association.
- First post 2:00 p.m. Wednesdays, Thursdays, Saturdays, Sundays, and Opening Day; 4:00 p.m. Friday July 22, 29, August 5, 12, and 19; 3:30 p.m. Friday August 26 and September 2.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will start at 9:00 a.m. every day except Tuesdays.
  - \$.50 Pick 4 will be offered on the last four races daily.
  - \$2 Daily Double will be offered on all daily races – with the exception of the last race will offer 20% takeout.
  - \$.50 Pick (n) Pool (Pick 5) wager will begin with the first race each day and offer a 14% takeout.

- Del Mar Thoroughbred Club, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, XpressBet.com, Twin Spires.com, Watch and Wager and Bet America. In addition, several other ADW companies have access to Del Mar's content for Internet wagering in states for which ADW is approved by law.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request that Dianne Piper is appointed horse identifier pursuant to CHRB Rule 1525, Racing

Officials Appointed by the Board.

- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2014 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), and (g). The waiver allows for the installation of a Mawsafe rail system on the **outside** rail at the Del Mar turf course. At the April 2013 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, subsections (b), (c) and (e). The 2013 waiver allows for the installation of a Mawsafe rail system on the **inside** rail at the Del Mar turf course. The Mawsafe rail system is composed of high impact strength, high UV resistant poly vinyl chloride post and rail components.

Specific information **still needed** to complete this application includes:

1. Fire clearance: Inspection scheduled for July 13, 2016.
2. Symons ambulance certification (expires June 30, 2016).

Specific information which will need updating during the proposed race dates:

1. American Medical Response certification (expires July 31, 2016).

#### RECOMMENDATION

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.



May 6, 2016

Ms. Nicole Gravely  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Nicole,

In accordance with your request, this letter will list the items still outstanding to the CHRB for the submittal of our application for a license to conduct a summer horse racing meet at Del Mar, July 15, 2016 – September 5, 2016, with a brief explanation addressing the outstanding items:

1. **16 (B 1) Certification from ambulance service** – Symons Emergency Specialties certification expires June 30, 2016. Symons will issue a new certificate upon renewal.
2. **16 (H) Attach fire clearance-** Fire inspection is scheduled for July 13, 2016.

Please call if you have any questions or concerns.

Sincerely,

A handwritten signature in cursive script, appearing to read "Lucy Myers", is written over the typed name.

Lucy Myers, Executive Assistant  
Del Mar Thoroughbred Club

Enclosures

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club  
P.O. Box 700, Del Mar, CA 92014  
Phone: 858-755-1141  
Fax: 858-794-1007  
Josh Rubinstein: josh@dmtc.com

B. Breed of horse: TB  QH  H

C. Racetrack name: Del Mar Thoroughbred Club (DMTC)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On File

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: July 13 through September 06, 2016

B. Actual dates racing will be held: July 15 through September 05, 2016, everyday except Mondays (other than Labor Day) and Tuesdays. Dark 7/18-19, 7/25-26, 8/1-2, 8/8-9, 8/15-16, 8/22-23, 8/29-30.

CHRB CERTIFICATION

Application received: 4/13/16

Deposit received: on file

Hearing date: 5/26/16

Approved date:

C. Total number of days or nights of racing: **39 days**

D. Days or nights of the week races will be held:

Wed - Sun     Tues - Sat     Other (specify)

E. Number of days or nights of racing per week: **5 days per week (except Week 1 with 3 days and Week 8 with 6 days)**

**3. RACING PROGRAM**

A. Total number of races: 347

B. Number of races for each day or night: \*

DAY	DATES	NUMBER OF RACES
WED-8 Races	7/20, 7/27, 8/3, 8/10, 8/17, 8/24, 8/31	56
THURS-8 Races	7/21, 7/28, 8/4, 8/11, 8/18, 8/25, 9/1	56
FRI- 8 Races	7/22, 7/29, 8/5, 8/12, 8/19, 8/26, 9/2	56
SAT-10 Races	7/16, 7/23, 7/30, 8/6, 8/13, 8/27	60
SAT-11 Races	8/20 (PC), 9/3	22
SUN-9 Races	7/31, 8/7, 8/14	27
SUN-10 Races	7/17, 7/24, 8/21, 8/28, 9/4	50
OPENING DAY	7/15	10
CLOSING DAY/ LABOR DAY	9/5	10

\*DMTC reserves the right to change number of races based on horse availability

C. Total number of stakes races: **43 (33 stakes and 10 overnight stakes)**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.  
**EXHIBIT 1**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **EXHIBIT 1 (A)**

EXHIBIT 1 (A) 2014 / 2015 / 2016

Year	Month	Day	Time	Track	Distance	Year	Month	Day	Time	Track	Distance
2014	Jan	15	1:00 PM	OCEANVIEW STAKES	One Mile Turf	2015	Jan	15	1:00 PM	OCEANVIEW STAKES	One Mile Turf
2014	Jan	15	1:30 PM	YELLOW BIRCH HANDICAP (Gr. II)	1 1/8 Miles Turf	2015	Jan	15	1:30 PM	YELLOW BIRCH HANDICAP (Gr. II)	1 1/8 Miles Turf
2014	Jan	15	2:00 PM	EDDIE HEAD STAKES (Gr. II)	One Mile Turf	2015	Jan	15	2:00 PM	EDDIE HEAD STAKES (Gr. II)	One Mile Turf
2014	Jan	15	2:30 PM	SAN CLEMENTE HANDICAP (Gr. II)	One Mile Turf	2015	Jan	15	2:30 PM	SAN CLEMENTE HANDICAP (Gr. II)	One Mile Turf
2014	Jan	15	3:00 PM	COUGAR HANDICAP (Gr. III)	Seven Furlongs	2015	Jan	15	3:00 PM	COUGAR HANDICAP (Gr. III)	Seven Furlongs
2014	Jan	15	3:30 PM	FLUET TREAT STAKES	1 1/8 Miles	2015	Jan	15	3:30 PM	FLUET TREAT STAKES	1 1/8 Miles
2014	Jan	15	4:00 PM	BAN DIEGO HANDICAP (Gr. II)	One Mile Turf	2015	Jan	15	4:00 PM	BAN DIEGO HANDICAP (Gr. II)	One Mile Turf
2014	Jan	15	4:30 PM	CALIFORNIA DREAMING HANDICAP	One Mile Turf	2015	Jan	15	4:30 PM	CALIFORNIA DREAMING HANDICAP	One Mile Turf
2014	Jan	15	5:00 PM	BING CROSBY STAKES (Gr. I)	1 1/8 Miles Turf	2015	Jan	15	5:00 PM	BING CROSBY STAKES (Gr. I)	1 1/8 Miles Turf
2014	Jan	15	5:30 PM	REAL GOOD DEAL STAKES	Seven Furlongs	2015	Jan	15	5:30 PM	REAL GOOD DEAL STAKES	Seven Furlongs
2014	Jan	15	6:00 PM	CLEMLINT HIRSH STAKES (Gr. I)	1 1/8 Miles Turf	2015	Jan	15	6:00 PM	CLEMLINT HIRSH STAKES (Gr. I)	1 1/8 Miles Turf
2014	Jan	15	6:30 PM	BING CROSBY STAKES (Gr. I)	1 1/8 Miles Turf	2015	Jan	15	6:30 PM	BING CROSBY STAKES (Gr. I)	1 1/8 Miles Turf
2014	Jan	15	7:00 PM	BEST PAL STAKES (Gr. II)	1 1/8 Miles Turf	2015	Jan	15	7:00 PM	BEST PAL STAKES (Gr. II)	1 1/8 Miles Turf
2014	Jan	15	7:30 PM	ROBERTO STAKES (Gr. II)	1 1/8 Miles Turf	2015	Jan	15	7:30 PM	ROBERTO STAKES (Gr. II)	1 1/8 Miles Turf
2014	Jan	15	8:00 PM	LA JOLLA HANDICAP (Gr. III)	1 1/8 Miles Turf	2015	Jan	15	8:00 PM	LA JOLLA HANDICAP (Gr. III)	1 1/8 Miles Turf
2014	Jan	15	8:30 PM	JOHN G. MAREE STAKES (Gr. II)	1 1/8 Miles Turf	2015	Jan	15	8:30 PM	JOHN G. MAREE STAKES (Gr. II)	1 1/8 Miles Turf
2014	Jan	15	9:00 PM	DEL MAR OAKS (Gr. II)	1 1/8 Miles Turf	2015	Jan	15	9:00 PM	DEL MAR OAKS (Gr. II)	1 1/8 Miles Turf
2014	Jan	15	9:30 PM	ROCHIO BERNARDO HANDICAP (Gr. III)	One Mile Turf	2015	Jan	15	9:30 PM	ROCHIO BERNARDO HANDICAP (Gr. III)	One Mile Turf
2014	Jan	15	10:00 PM	DEL MAR BEACH HANDICAP	Seven Furlongs	2015	Jan	15	10:00 PM	DEL MAR BEACH HANDICAP	Seven Furlongs
2014	Jan	15	10:30 PM	PAT O'BRIEN STAKES (Gr. II)	Seven Furlongs	2015	Jan	15	10:30 PM	PAT O'BRIEN STAKES (Gr. II)	Seven Furlongs
2014	Jan	15	11:00 PM	TOP PACIFIC CLASSIC (Gr. II)	One Mile Turf	2015	Jan	15	11:00 PM	TOP PACIFIC CLASSIC (Gr. II)	One Mile Turf
2014	Jan	15	11:30 PM	GENUOUS PORTION STAKES	One Mile Turf	2015	Jan	15	11:30 PM	GENUOUS PORTION STAKES	One Mile Turf
2014	Jan	15	12:00 PM	EL CASH STAKES	One Mile Turf	2015	Jan	15	12:00 PM	EL CASH STAKES	One Mile Turf
2014	Jan	15	12:30 PM	TODREY FINES STAKES (Gr. III)	One Mile Turf	2015	Jan	15	12:30 PM	TODREY FINES STAKES (Gr. III)	One Mile Turf
2014	Jan	15	1:00 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	1:00 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	1:30 PM	FM RAMON STAKES	Seven Furlongs	2015	Jan	15	1:30 PM	FM RAMON STAKES	Seven Furlongs
2014	Jan	15	2:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	2:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	2:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	2:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	3:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	3:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	3:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	3:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	4:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	4:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	4:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	4:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	5:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	5:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	5:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	5:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	6:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	6:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	6:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	6:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	7:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	7:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	7:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	7:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	8:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	8:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	8:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	8:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	9:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	9:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	9:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	9:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	10:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	10:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	10:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	10:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	11:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	11:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	11:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	11:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf
2014	Jan	15	12:00 PM	DEL MAR JUVENILE TURF	One Mile Turf	2015	Jan	15	12:00 PM	DEL MAR JUVENILE TURF	One Mile Turf
2014	Jan	15	12:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf	2015	Jan	15	12:30 PM	DEL MAR DEBUTANTE (Gr. II)	One Mile Turf

## EXHIBIT 1 (A) 2014 / 2015 / 2016

OVERNIGHT STAKES - 2014			
Running Date	Event	2014 Value	
Sat.7-19-14	Osunitas Stakes	\$90,000	Added
Wed.7-23-14	Wickerr Stakes	\$90,000	Added
Fri.8-8-14	Daisycutter Handicap	\$90,000	Added
Wed.8-13-14	Green Flash Handicap	\$90,000	Added
Fri.8-15-14	Sandy Blue Handicap	\$90,000	Added
Fri.8-22-14	CTT and Thoroughbred Owners of California Handicap	\$90,000	Added
Sat. 8-30-14	Harry F. Brubaker Stakes	\$90,000	Added
Sun.8-31-14	Tranquillity Lake Stakes	\$90,000	Added
Wed.9-3-14	C.E.R.F. Stakes	\$90,000	Added
Wed.9-3-14	Pirate's Bounty Stakes	\$90,000	Added
		\$900,000	

OVERNIGHT STAKES - 2015			
Running Date	Event	2015 Value	
Sat. 7-18-15	Osunitas Stakes	\$80,000	Added
Wed.7-22-15	Wickerr Stakes	\$80,000	Added
Fri.8-7-15	Daisycutter Handicap	\$80,000	Added
Fri.8-14-15	Sandy Blue Handicap	\$80,000	Added
Wed.8-19-15	Green Flash Handicap	\$80,000	Added
Fri.8-21-15	CTT and Thoroughbred Owners of California Handicap	\$80,000	Added
Wed.8-26-15	Harry F. Brubaker Stakes	\$80,000	Added
Wed.9-2-15	Tranquillity Lake Stakes	\$80,000	Added
Sun.9-6-15	C.E.R.F. Stakes	\$80,000	Added
Mon.9-7-15	Pirate's Bounty Stakes	\$80,000	Added
		\$800,000	

OVERNIGHT STAKES - 2016			
Running Date	Event	2016 Value	
Wed.7-16-16	Wickerr Stakes	\$75,000	Added
Sat. 7-17-16	Sandy Blue Handicap	\$75,000	Added
Sun.7-20-16	C.E.R.F. Stakes	\$75,000	Added
Fri.8-5-16	Daisycutter Handicap	\$75,000	Added
Fri.8-7-16	Osunitas Handicap	\$75,000	Added
Wed.8-12-16	Green Flash Handicap	\$75,000	Added
Fri.8-19-16	CTT and Thoroughbred Owners of California Handicap	\$75,000	Added
Wed.8-24-16	Harry F. Brubaker Stakes	\$75,000	Added
Wed.8-31-16	Tranquillity Lake Stakes	\$75,000	Added
Mon.9-5-16	Pirate's Bounty Stakes	\$75,000	Added
		\$750,000	

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

- The Eddie Read Stakes was downgraded from a Grade I to a Grade II. The purse reduced from \$400,000 to \$250,000.
- The C.E.R.F. Stakes was moved to earlier in the meet to serve as a prep race to the Rancho Bernardo Handicap (Grade III).
- Working in conjunction with the Santa Anita racing department, the dates of the Yellow Ribbon Handicap (Grade II), Osunitas Stakes and John C. Mabee Stakes (Grade II) were adjusted due to the gap between stakes races for Fillies and Mares on the grass. The Yellow Ribbon Handicap was shifted to the first weekend of the meet, with the Osunitas Stakes moving to the middle of the meet, and the John C. Mabee Stakes running during the final week of the meet.
- The Sandy Blue Handicap was shifted to earlier in the meet to serve as a prep race to the Del Mar Oaks, as well as providing an opportunity for horsemen in the beginning of the meet.
- The purse for the Fleet Treat Stakes was reduced \$50,000 to \$150,000.
- The purse for the Real Good Deal Stakes was reduced \$50,000 to \$150,000.
- The purse for the John C. Mabee Stakes (Grade II) was reduced \$50,000 to \$200,000.
- The purse for the Pat O'Brien Stakes (Grade II) was reduced \$50,000 to \$200,000.
- Overnight Stakes were reduced \$5,000 each to a purse of \$75,000.

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

F. List all post times for the daily racing program: **EXHIBIT 2**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

#### 4. RACING ASSOCIATION

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Full Disclosure Statement already on File with CHRB**

#### C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**

## EXHIBIT 2

## POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2016

RACE	REGULAR (Wed, Thurs, Sat & Sun and Opening Day 7/15)	FRIDAYS (7/22, 7/29, 8/5, 8/12, 8/19)	FRIDAYS (8/26, 9/2)
1 <sup>st</sup>	2:00 p.m.	4:00 p.m.	3:30 p.m.
2 <sup>nd</sup>	2:30 p.m.	4:30 p.m.	4:00 p.m.
3 <sup>rd</sup>	3:00 p.m.	5:00 p.m.	4:30 p.m.
4 <sup>th</sup>	3:30 p.m.	5:30 p.m.	5:00 p.m.
5 <sup>th</sup>	4:00 p.m.	6:00 p.m.	5:30 p.m.
6 <sup>th</sup>	4:30 p.m.	6:30 p.m.	6:00 p.m.
7 <sup>th</sup>	5:00 p.m.	7:00 p.m.	6:30 p.m.
8 <sup>th</sup>	5:30 p.m.	7:30 p.m.	7:00 p.m.
9 <sup>th</sup> *	6:00 p.m.		
10 <sup>th</sup> *	6:30 p.m.		
11 <sup>th</sup> *	7:00 p.m.		

\*On certain days to be determined

3. Registry or file number for the corporation: 559096
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, President, General Manager & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Martin J. Wygod	Director	1 "
Michael R. Ernst	Executive VP, Finance and Admin./CFO	0
Thomas S. Robbins	Executive VP, Racing & Industry Relations	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Josh Rubinstein	Executive VP, Chief Operating Officer	0
Lynn Wright	VP and Controller	0
Robert Sanchez	VP, Plant	0
Tim Read	VP, Operations and Collective Bargaining	0
Ann Hall	VP, Human Resources / Administration	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**
6. Number of outstanding shares in the corporation: **8**
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**
- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
If yes, answer questions 10-17. NA Yes  No
10. Registered name of the corporation: **NA**
11. State where incorporated: **NA**
12. Registry or file number for the corporation: **NA**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **NA**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **NA**
15. Number of outstanding shares in the corporation: **NA**
16. Are the shares listed for public trading? **NA** Yes  No   
If yes, on what exchange and how is the stock listed: **NA**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **NA**

## D. LLC N/A

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

## E. OTHER N/A

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

**G. MANAGEMENT AND STAFF**

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

<b>Michael R. Ernst</b>	<b>Executive VP, Finance and Administration/CFO</b>
<b>Thomas S. Robbins</b>	<b>Executive VP, Racing &amp; Industry Relations</b>
<b>Craig A. Dado</b>	<b>Executive VP, Chief Marketing Officer</b>
<b>Josh Rubinstein</b>	<b>Executive VP, Chief Operating Officer</b>
<b>Timothy A. Read</b>	<b>Vice President, Operations &amp; Collective Bargaining</b>
<b>Ann Hall</b>	<b>Vice President, Human Resources &amp; Administration</b>
<b>David Jerkens</b>	<b>Racing Secretary</b>
<b>Mac McBride</b>	<b>Director of Media</b>
<b>Daniel G. Smith</b>	<b>Senior Media Supervisor</b>
<b>Lynn Wright</b>	<b>Vice President, Controller</b>
<b>Cathy Arneel</b>	<b>Director of Group Sales</b>
<b>Paul Porter</b>	<b>Director of Simulcasting and Racetrack Services</b>
<b>Walker McBride</b>	<b>Director of Sponsorship and Digital Media</b>
<b>William D. Navarro</b>	<b>Director of Pari-mutuels</b>
<b>Nancy Bonforte</b>	<b>Director, Turf Club</b>
<b>Robert Sanchez</b>	<b>Vice President, Plant</b>
<b>Kim Jacobson</b>	<b>Director of Risk Management</b>
<b>Trevor Denman</b>	<b>Public Address Announcer</b>
<b>Richard Tedesco</b>	<b>Racing Surfaces Consultant</b>
<b>Steven Wood</b>	<b>Track Superintendent</b>
<b>Leif Dickinson</b>	<b>Turf Course / Grounds Superintendent</b>
<b>Chris Bahr</b>	<b>Director of Events and Promotions</b>
<b>Greg Baugh</b>	<b>Customer Service Manager</b>

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Joseph W. Harper – President, General Manager & Chief Executive Officer**  
**PO Box 700, Del Mar, CA 92014 / joeh@dmtc.com**

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five Wager – 14%**  
 and proposed percentage: **\$2 Rolling Daily Double – 20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the

thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **EXHIBIT 3**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2015	74,259,863	408,774,948	578,770	256,219
2014	78,285,174	364,341,133	595,249	236,778
2013	90,726,963	391,513,876	653,259	257,763
2012	87,474,905	371,209,337	652,034	270,265
2011	77,224,199	353,054,386	660,245	283,426

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution:

1. All races other than stakes:
  - Current meet estimate: 14,436,853
  - Prior meet actual: 16,203,298

Average Daily Purse (7 A1 ÷ number of days):

- Current meet estimate: 370,176
- Prior meet actual: 405,082

2. Overnight stakes:
  - Current meet estimate: 750,000
  - Prior meet actual: 800,000

Average Daily Purse (7 A2 ÷ number of days):

- Current meet estimate: 19,231
- Prior meet actual: 20,000

3. Non-overnight stakes:
  - Current meet estimate: 5,300,000
  - Prior meet actual: 5,615,100

Average Daily Purse (7 A3 ÷ number of days):

- Current meet estimate: 135,897
- Prior meet actual: 140,378



Josh Rubinstein  
Executive Vice President &  
Chief Operating Officer

April 25, 2016

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2016 Summer Season, July 15<sup>th</sup> – September 5<sup>th</sup>.

- \$2 Rolling Daily Double - subject to a takeout rate of 20%.

For reference, the \$2 Rolling Daily Double is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "JR", is written over the word "Regards,".

Josh Rubinstein,  
Executive Vice President and  
Chief Operating Officer

cc: Joe Harper  
Mike Ernst  
Nick Coukos, TOC

## EXHIBIT 3



April 1, 2016

## OFFICERS

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

## DIRECTORS

ALLEN ALDRICH  
BOB BAFFERT  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
SAMANTHA SIEGEL  
KATHY WALSH  
MICHAEL WELLMAN

## EXECUTIVE STAFF

NICK COUKOS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

## CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

## CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626- 821-1515  
WWW.TOCONLINE.COM

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2016 Summer Race Meet

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its 2016 Summer meet, July 15 - September 5, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos  
President

cc: Josh Rubenstein  
Lucy Myers

## EXHIBIT 3



April 1, 2016

## OFFICERS

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2016 Summer Race Meet

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" wager on the first five (5) races of the day, subject to a 14% takeout, on each racing day of its 2016 Summer meet, July 15 - September 5, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Del Mar's previous meet.

Please feel free to contact me with any questions.

## EXECUTIVE STAFF

NICK COUKOS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

Sincerely,

Nick Coukos  
President

## CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

cc: Josh Rubenstein  
Lucy Myers

## CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM



## EXHIBIT 3

Josh Rubinstein  
Executive Vice President &  
Chief Operating Officer

April 1, 2016

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2016 Summer Season, July 15<sup>th</sup> – September 5<sup>th</sup>.

- Players Pick 5 - .50¢ minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick Five Wager is noted on DMTC's license application in Section 5(1).

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", written over the word "Regards,".

Josh Rubinstein,  
Executive Vice President and  
Chief Operating Officer

cc: Joe Harper  
Mike Ernst  
Nick Coukos, TOC

4. Total Purses: (7A1+7A2+ 7A3)	
Current meet estimate:	20,486,853
Prior meet actual:	22,618,398

**B. California-bred Stakes Races:**

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	18.18%
Prior meet actual:	18.71%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	0.47%
Prior meet actual:	0.47%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:	1,756,158
Prior meet actual:	1,749,319

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	181,142	189,102
CTT Administration	90,571	94,551
CTBL Workers' Comp	-0-	189,102
CTT Backstretch Pension	181,142	189,102
NTRA Dues	<u>25,932</u>	<u>27,346</u>
Total	478,787	689,203

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate:	22,721,797
Prior meet actual:	25,056,920

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	582,610
Prior meet actual:	626,423

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	14,102,647
Prior meet actual:	14,559,756

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	361,606
Prior meet actual:	363,994

## G. Purse funds to be generated from interstate handle:

Current meet estimate:	7,593,929
Prior meet actual:	7,779,711

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	194,716
Prior meet actual:	194,493

## H. Bank and account number for the Paymaster of Purses' purse account:

On file

## I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 2,000
- B. Minimum number of stalls believed necessary for the meeting: 2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 1,400
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- Los Alamitos 700
  - San Luis Rey Downs 500
  - Galway Downs 200
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. On File

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **2,100 (Note: as of 2015 total number 2,000)**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**\$784,165**  
**\$10.18 cost per day per stall**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

**Estimated vanning cost: \$129,920**

Vanning per horse (one way):	
Santa Anita	\$160
Los Alamitos	\$160
San Luis Rey Downs	\$ 90
Galway Downs	\$ 90

**9. EQUINE EMERGENCY SERVICES**

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **There is a rotation of private veterinarians' onsite during all training and racing hours. Names and emergency telephone numbers placed ON FILE with the CHRB.**

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

7.10.16 – 9.8.16                      Del Mar Race Track                      Training                      and  
Racing

Del Mar (DMTC) will provide a veterinarian (San Dieguito Equine Group) during training hours (first few days) prior to the arrival of the trainers' private veterinary practitioners. We will have a list of all on-site trainers with their private practitioners and provide that list for our stable office, main stable gate, clockers and outriders. In addition to the private practitioners who will be present onsite during training hours, the State Veterinarian and Track Veterinarian will be present on race days for much of the morning training period while conducting their soundness exams and will be present during each afternoon racing program. Veterinarians' contact numbers ON FILE with the CHRB and DMTC.

Year Round                      Los Alamitos Race Course                      Training

Los Alamitos Race Course has private practitioners present during its training hours. Veterinarians' contact numbers ON FILE.

Year Round                      San Luis Rey Downs                      Training

San Luis Rey Downs has veterinarians from its nearby San Dieguito Equine Group (DVM's Lisa Grim, Ron Magrini, Mike Manno and Anne Wolfrum) that are present during training hours. Contact numbers ON FILE.

Year Round                      Galway Downs                      Training

Galway Downs has several veterinarians stationed within minutes from its main entrance at Temeku Equine (DVM's Chris Huth and Matt Witzel) who serve as veterinarians on call. Contact numbers ON FILE.

## 10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD <sup>2</sup> , \$1 PPN, \$.50TRI, .10¢SF, .50¢P(n)P (Pick 5) <sup>3</sup>	CHRB#1958, 1959, 1977, 1957, 1976.8, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD <sup>2</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, *\$2P(n)P (Pick 6), \$2DD <sup>2</sup>	CHRB#1958, 1959, 1977, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD <sup>2</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$.50TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , P(n)P	CHRB#1958, 1959, 1977, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, *\$1PK3, .10¢SF, \$.50TRI, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1977, 1979.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, *\$1PK3, \$.50TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, 1977
Race #8	\$2Q, \$1E, \$.50TRI, .10¢SF, \$2DD <sup>2</sup> , .50¢PK4 <sup>1</sup> , *\$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI 004-105 Pick(n) Position(x) Pool(s)
Race #9*	\$2Q, \$1E, \$.50TRI, .10¢SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957
Race #10*	\$2Q, \$1E, \$.50TRI, .10¢SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957
Race #11*	\$2Q, \$1E, \$.50TRI, .10¢SF	CHRB#1958, 1959, 1979, 1979.1

<sup>1</sup> .50¢SPK4 offered in the last four races daily.

<sup>2</sup> \$2DD offered every race daily, except the last race offer 20% takeout.

<sup>3</sup> .50¢PNP (Pick 5) This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

\* Days with 9, 10 or 11 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **\$.50TRI offered in every race (previously Summer Meet \$1TRI, Fall Meet \$.50TRI)**

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **None**

D. List any options requested with regard to exotic wagering: **None**

E. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**July 15 through September 5, 2016**  
**9:00 a.m. every day except Tuesdays**

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

American Totalisator, Inc. (AmTote)

Primary system at ViaWest Data Center located in Portland Oregon

AmTote Representative:

Jeff True (818-307-0679) [jeff.true@amtote.com](mailto:jeff.true@amtote.com)

Contract expiration date: October 28, 2020

Terminals

F3000e – Convertible teller and self-service unit; IP based, touch screen and keyboard operated.

V3000e – Self Service terminals, touch screen, bill accepting, ticket in/out.

AWSST – Account wagering self-service unit. Touch screen account based terminal.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKE OUT PERCENTAGE

15.43 %	W/P/S
20.00 %	DD
22.68 %	E
14.00 %	PNP5
23.68 %	TRI; SF; PK3; PNP6; SuperH5

**11. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

**DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following Advance Deposit Wagering (ADW) companies to conduct Internet and telephone wagering from California residents: TVG, Xpress-Bet, Twin Spires, Bet America and Watch and Wager. In addition, several other ADW companies (complete list on file) have access to Del Mar's content for Internet wagering in states for which ADW is approved by law.**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

**On file**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. **EXHIBIT 4**

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**12. SIMULCAST WAGERING PROGRAM**

A. Simulcast organization engaged by the association to conduct simulcast wagering:

**Southern California Off Track Wagering, Inc. "SCOTWINC"**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**SCOTWINC Agreement is On-File with CHRB**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

**EXHIBIT 5**

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

**EXHIBIT 6**

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

**EXHIBIT 7**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

**EXHIBIT 8**

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **EXHIBIT 9**

April 8, 2016

## EXHIBIT 4



Mr. Josh Rubenstein  
 Del Mar Thoroughbred Club  
 2260 Jimmy Durante Blvd.  
 Del Mar, CA 92014

RE: 2016 DMTC Summer Race Meeting ADW Approvals

Dear Josh,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use Del Mar Thoroughbred Club (DMTC) race signals under the terms and conditions set forth below.

Pursuant to Section XXIX. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and DMTC, DMTC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on DMTC and other Thoroughbred races during the 2016 DMTC Summer race meeting, July 15 – September 5, 2016, as follows:

1. **California wagering on DMTC races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
  - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
  - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on DMTC races:**
  - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,

Nick Coukos, President

cc: Lucy Myers

## OFFICERS

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 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

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 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

## CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

## CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626- 821-1515  
 WWW.TOCONLINE.COM

## EXHIBIT 5

## Satellites

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Barona Resort & Casino, Lakeside  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Fairplex Park @ Barretts, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park Casino, Inglewood  
Humboldt County Fair, Ferndale  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
San Mateo Event Center, San Mateo  
Santa Anita Park, Arcadia  
Santa Clara County Fair, San Jose  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion, The Farmers Fair, Lake Perris  
Stanislaus County Fair, Turlock  
Sycuan Resort & Casino, El Cajon  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

## EXHIBIT 6

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arima Race Course, Trinidad  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantis Resort and Casino, Bahamas  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Batavia Downs, New York  
 Belterra Race Course, OH  
 Bet America, LLC, North Dakota  
 Bet Fair Games Limited, UK  
 Bettor Racing OTB, South Dakota  
 Birmingham Race Course, Alabama  
 Bluff Runs Greyhound, Idaho  
 Bordertown OTB, Oklahoma  
 Buffalo Trotting Association, New York  
 Bwin International, Ltd.  
 Calder Race Course, Florida  
 Caliente, Mexico  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Caymanas Race Track, Jamaica  
 Century Downs, Alberta, Canada  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Club Hipico Inturf, Venezuela  
 Coeur d' Alene Greyhounds, Idaho  
 Columbus Raceway, Nebraska  
 Connecticut OTB, Connecticut  
 Corpus Christi Greyhound, Texas  
 Coushatta Casino Resort, Louisiana  
 Cypress Bayou, Louisiana  
 Dayton Park, OH  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Ebet Online, Oregon  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 Equus St. Thomas, St. Thomas  
 Emerald Downs, Washington  
 Euro Offtrack, Isle of Man  
 Evangeline Downs, Louisiana  
 Evansville/Clarksville OTB, Indiana  
 Fair Chance Racing Services, Trinidad  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Favorites at Gloucester, New Jersey  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino, Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Grand River, Canada  
 Greentrack, Alabama  
 GWS German Tote, Germany  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Harrington Raceway, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipica de Panama, Panama  
 Hipodromo Camarero, Puerto Rico  
 Hipodromo Montericco, Peru  
 Ho-Chuck Casino, Connecticut  
 Hodge OTB, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Idaho Fall OTB, Idaho  
 Indiana Downs, Indiana  
 Inverness Raceway, Canada  
 Iowa Greyhounds, Iowa  
 John Martins Manor, Maine  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky

## EXHIBIT 6 (CONTINUED)

Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 Las Vegas Dissemination Company, Nevada  
 Les Bois Park, Idaho  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
 Mahoning Valley Race Course, OH  
 Maronas Race Track, Uruguay  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino, Oklahoma  
 Miami Valley Gaming, Ohio  
 Millennium Racing, Trinidad  
 Millers OTB, Maine  
 Mobile Greyhound Park, Alabama  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Montericco Simulcasting, Peru  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Offtrackbetting.com, Oregon  
 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
 Paragon Casino, Louisiana  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Plainridge Race Course, Massachusetts  
 Plainridge Telephones, Massachusetts  
 Player Management Group, CA  
 Pocono Downs, Pennsylvania  
 Pojoaque Casino, Oklahoma  
 Portland Meadows, Oregon  
 Potawatomi Casino, Wisconsin  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Rosecroft Raceway, Maryland  
 Royal Beach Casino, St Kitts  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, Minnesota  
 Saddle Brook Jockey Club, TX  
 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
 Saratoga Harness, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Skydancer Casino, Oklahoma  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 TabCorp, Australia  
 Tampa Bay Downs, Florida  
 TattsBet, Australia  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Greenbrier Resort, West Virginia  
 The Meadows, Pennsylvania

## EXHIBIT 6 (CONTINUED)

Thistledown, Ohio  
Tioga Downs, New York  
Tote Investment Services, Trinidad  
Tri-State Greyhound, West Virginia  
Truro Raceway, Canada  
TVG, Arizona  
TVG Chester, Pennsylvania  
TVG, Los Angeles  
TVG Prairie Meadows, Iowa  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Watch and Wager, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## EXHIBIT 7

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arima Race Course, Trinidad  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantis Resort and Casino, Bahamas  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Batavia Downs, New York  
 Belterra Race Course, OH  
 Bet America, LLC, North Dakota  
 Bet Fair Games Limited, UK  
 Bettor Racing OTB, South Dakota  
 Birmingham Race Course, Alabama  
 Bluff Runs Greyhound, Idaho  
 Bordertown OTB, Oklahoma  
 Buffalo Trotting Association, New York  
 Bwin International, Ltd.  
 Calder Race Course, Florida  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Century Downs, Alberta, Canada  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Club Hipico Inturf, Venezuela  
 Coeur d' Alene Greyhounds, Idaho  
 Columbus Raceway, Nebraska  
 Connecticut OTB, Connecticut  
 Corpus Christi Greyhound, Texas  
 Coushatta Casino Resort, Louisiana  
 Cypress Bayou, Louisiana  
 Dayton Park, OH  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Ebet Online, Oregon  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 Equus St. Thomas, St. Thomas  
 Emerald Downs, Washington  
 Euro Offtrack, Isle of Man  
 Evangeline Downs, Louisiana  
 Evansville/Clarksville OTB, Indiana  
 Fair Chance Racing Services, Trinidad  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Favorites at Gloucester, New Jersey  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino, Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Grand River, Canada  
 Greentrack, Alabama  
 GWS German Tote, Germany  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Harrington Raceway, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipica de Panama, Panama  
 Hipodromo Montericco, Peru  
 Ho-Chuck Casino, Connecticut  
 Hodge OTB, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Idaho Fall OTB, Idaho  
 Indiana Downs, Indiana  
 Inverness Raceway, Canada  
 Iowa Greyhounds, Iowa  
 John Martins Manor, Maine  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 Las Vegas Dissemination Company, Nevada

## EXHIBIT 7 (CONTINUED)

Les Bois Park, Idaho  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Magna Bet, Austria  
 Mahoning Valley Race Course, OH  
 Maronas Race Track, Uruguay  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino, Oklahoma  
 Miami Valley Gaming, Ohio  
 Millennium Racing, Trinidad  
 Millers OTB, Maine  
 Mobile Greyhound Park, Alabama  
 Mohegan Sun, Pennsylvania  
 Monmouth Park, New Jersey  
 Montana OTB, Montana  
 Montericco Simulcasting, Peru  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Jersey Mobile, New Jersey  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Offtrackbetting.com, Oregon  
 Oneida Bingo and Casino, Oklahoma  
 Ontario Teletheatre, Ontario  
 Paragon Casino, Louisiana  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Plainridge Race Course, Massachusetts  
 Plainridge Telephones, Massachusetts  
 Player Management Group, CA  
 Pocono Downs, Pennsylvania  
 Pojoaque Casino, Oklahoma  
 Portland Meadows, Oregon  
 Potawatomi Casino, Wisconsin  
 Prairie Meadows, Iowa  
 Premier Turf Club, North Dakota  
 Presque Isle Downs, Pennsylvania  
 Racing2Day, North Dakota  
 Racing and Gaming Services, St Kitts  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 Riders Up OTB, Oklahoma  
 Rockingham Park, New Hampshire  
 Rocky Mountain Turf Club, Canada  
 Rosecroft Raceway, Maryland  
 Royal Beach Casino, St Kitts  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, Minnesota  
 Saddle Brook Jockey Club, TX  
 Sam Houston Park, Texas  
 Sandy Downs, Idaho  
 Saratoga Harness, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Shoreline Star Greyhounds, Connecticut  
 Skydancer Casino, Oklahoma  
 Southland Greyhound Park, Arkansas  
 Sports Center, Kentucky  
 Suffolk District OTB, New York  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 The Greenbrier Resort, West Virginia  
 The Meadows, Pennsylvania  
 Thistledown, Ohio  
 Tioga Downs, New York  
 Tote Investment Services, Trinidad  
 Tri-State Greyhound, West Virginia  
 Truro Raceway, Canada

## EXHIBIT 7 (CONTINUED)

TVG, Arizona  
TVG Chester, Pennsylvania  
TVG, Los Angeles  
TVG Prairie Meadows, Iowa  
Turf Paradise, Arizona  
Turfway Park, Kentucky  
Twin River Greyhounds, RI  
TwinSpires, Kentucky  
Valley Greyhound Park, Texas  
Velocity Wagering Ltd., KY  
Venezuela OTB, Venezuela  
Vernon Downs, New York  
Victoryland Greyhounds, Alabama  
Watch and Wager, San Francisco  
Western Fair, Ontario  
Western Regional OTB, New York  
Wheeling Downs, Canada  
Will Rogers Downs, Oklahoma  
Woodbine Entertainment Corp, Ontario  
Wyoming Downs, Wyoming  
Wyoming Horse Racing LLC, Wyoming  
XpressBet, Arizona  
XpressBet, Illinois  
XpressBet, Pennsylvania  
Yonkers Raceway, New York  
Yonkers Empire City Bets, New York  
Zia Park, New Mexico

## EXHIBIT 8

### Mini-Satellites - South

**M1. Commerce Casino**  
6131 East Telegraph Road  
Commerce, CA 90040  
Phone: (323) 721-2100  
Contact: Ralph Wong

**M2. OC Tavern**  
2369 South El Camino Real  
San Clemente, CA 92672  
Phone (949) 542-8877  
Contact: Micheal Merrigan

**M3. Santa Maria**  
Original Roadhouse Grill  
1423 S. Bradley Rd.  
Santa Maria, CA 93454  
Phone: (805) 614-0586  
Contact John Tintle

**M4. Santa Clarita Lanes**  
21615 W. Soledad Canyon Rd.  
Saugus, CA 93454  
Phone: (661) 254-0540  
Contact Craig Goodman

**M5. Sammy's of Lake Forest**  
23221 Lake Center Drive  
Lake Forest, CA 92630  
Phone: (949) 716-2367  
Contact: Brad McKinzie

**M6. Tilted Kilt**  
1345 E. Thousand Oaks Blvd.  
Thousand Oaks CA. 91362  
Phone: (805) 777-7418  
Contact: Robert Haupt

**M7. Ocean's 11 Casino**  
121 Brooks Street  
Oceanside, CA 92054  
Phone: (888) 439-6988  
Contact: Steve Gallagher

**EXHIBIT 8 (CONTINUED)****M8. Striders Bar & Grill (Opening Pending)**

2895 Hamner Avenue

Norco, CA 92860

PH: 310-200-9666

Contact: Lance Ku

**M9. Striders SD Bar & Grill**

100 Harbor Drive

San Diego, CA 92101

PH: 310-200-9666

Contact: Lance Ku

**M10. Firehouse Restaurant**

7701 White Avenue

Bakersfield, CA 93313

PH: 310-200-9666

Contact: Russ Johnson

**M11. Lake Elsinore Casino (Opening Pending)**

20930 Malaga Road

Lake Elsinore, CA 92530

PH: 951-674-5160

Contact: Pat Wilmes

**Mini-Satellites – North****M12. Bankers Casino**

111 Monterey Street

Salinas, CA 93901

Phone: 831-372-8106

Contact: Dustin Stafford

## EXHIBIT 9

### Full & Partial Cards

Arlington Park: July 13, 2016 - September 6, 2016  
Belmont Park July 13, 2016 – July 17, 2016  
Delaware Park: July 13, 2016 - September 6, 2016  
Delta Downs: July 13, 2016 - September 6, 2016  
Ellis Park: July 13, 2016 - September 6, 2016  
Emerald Downs: July 13, 2016 - September 6, 2016  
Evangeline Downs: July 13, 2016 - September 6, 2016  
Fairmount Park: July 13, 2016 - September 6, 2016  
Finger Lakes: July 13, 2016 - September 6, 2016  
Gulfstream Park: July 13, 2016 - September 6, 2016  
Indiana Grand: July 13, 2016 - September 6, 2016  
Laurel Park: July 13, 2016 - September 6, 2016  
Lone Star Park: July 13, 2016 - September 6, 2016  
Louisiana Downs: July 13, 2016 - September 6, 2016  
Monmouth Park: July 13, 2016 - September 6, 2016  
Mountaineer Park: July 13, 2016 - September 6, 2016  
PARX Racing: July 13, 2016 - September 6, 2016  
Penn National: July 13, 2016 - September 6, 2016  
Presque Isle Downs: July 13, 2016 - September 6, 2016  
Saratoga Race Course: July 22, 2016 - September 6, 2016  
Sunray Park: July 13, 2016 - September 6, 2016  
Suffolk Downs: July 13, 2016 - September 6, 2016  
Thistledown: July 13, 2016 - September 6, 2016

Woodbine: July 13, 2016 - September 6, 2016  
Assiniboia Downs: July 13, 2016 - September 6, 2016  
Fort Erie: July 13, 2016 - September 6, 2016  
Hastings Park July 13, 2016 - September 6, 2016  
Northlands Park: July 13, 2016 - September 6, 2016  
LARC (South America) via Maryland Hub: July 13, 2016 - September 6, 2016  
LARC (South America) via Gulfstream Hub: July 13, 2016 - September 6, 2016

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

#### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

#### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

9/3/16	Ruidoso	All American Gold Cup	I	\$200,000	440 Yards
9/4/16	Ruidoso	All American Derby	I	\$2,300,000	440 Yards
9/5/16	Ruidoso	All American Futurity	I	\$3,000,000	440 Yards

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014**

- B. Names and addresses of the trustees or directors of the distributing agent:

<p><b>David H. Batchelder</b>                  401 Beaver Valley Pike                  Willow Street, PA 17584</p>	<p><b>Rollin Baugh</b>                  P.O. Box 2461                  Rancho Santa Fe, CA 92067</p>
<p><b>Dr. James R. Buell</b>                  4790 Caughlin Pkwy # 518                  Reno, NV 89509</p>	<p><b>Joseph W. Harper</b>                  P.O. Box 700                  Del Mar, CA 92014</p>
<p><b>Jon S. Kelly</b>                  Tres Palomas                  P.O. Box 2574                  Rancho Santa Fe, CA 92067</p>	<p><b>Warner C. Lusardi</b>                  Lusardi Construction Company                  1570 Linda Vista Drive                  San Marcos, CA 92078</p>
<p><b>Marie G. Moretti</b>                  6705 De Chardin Lane                  Reno, NV 89511</p>	<p><b>Martin J. Wygod</b>                  The Wygod Group                  P.O. Box 676306                  Rancho Santa Fe, CA 92067</p>

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
- |                             |                 |
|-----------------------------|-----------------|
| Association Veterinarian(s) | Dana Stead, VMD |
| Clerk of Scales             | Charles McCaul  |
| Assistant Clerk of Scales   | Matt Nichols    |
| Clerk of the Course         | Dawn Schmid     |
| Film Specialist             | Heather Correa  |
| Horse Identifier            | Dianne Piper    |

Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Sue Brent
	Karen Denovel
	Heather Correa
Placing Judges	Robert Moreno
	Chris Merz
	Merry Young
Starter	Jay Slender
Timer	Russell Hudak

B. Management officials in the racing department:

Executive Vice President, Racing	Thomas S. Robbins
Racing Secretary	David Jerkens
Assistant Racing Secretary	Zach Soto
Paymaster of Purses	Bridget Crawford

Others (identify by name and title) N/A

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Michelle Derieg  
 Weinstein Court Reporters  
 PO Box 26634  
 Santa Ana, CA 92799  
 (949) 637-6071  
[mderieg@weinsteincourtreporters.com](mailto:mderieg@weinsteincourtreporters.com)

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only  
 Contract Expires 12/31/2017

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG

Expiration: 6/30/2017

Equipment list on file with CHRB

For each race TVG will provide:

- Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt.
- Four manned tower cameras:
  - Backstretch head-on (Training Track Camera Tower)
  - Far turn and Stretch run back view (Quarter Chute Camera Tower)
  - Stretch-run head-on (Livestock Barn Camera Tower) - turf
  - Stretch-run head-on (Livestock Barn Camera Tower) - dirt

- 2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
- A hand-held camera in winner circle
- A pan camera located on the 7th floor providing race coverage for the in-house video board presentation
- All races will be recorded and archived
- TVG will provide talent for this event

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**TRAKUS**

Electronic timing device: 2.4 CHz spread spectrum transceiver

Name of person: Michael Ciacciarelli (Trakus LLC)  
Ken Davis, Mike Schneider (Jockey Club Racetrack Services)

Expiration date: July 2017

**15. SECURITY CONTROLS**

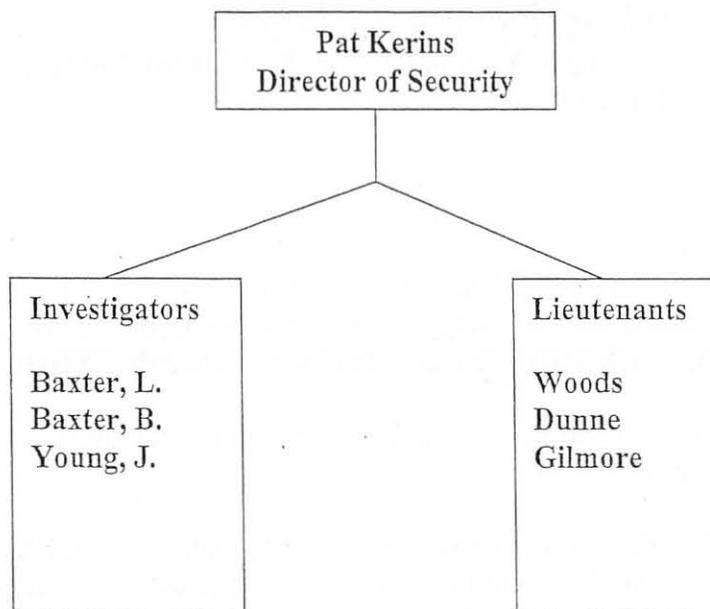
- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **EXHIBIT 10 (A) / 2016 Gate Schedule EXHIBIT 10 (B)**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **75**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC.**
  2. Detention Stalls:
    - A. Attach a plan for use of graded stakes or overnight races.  
**Detention barn is used as required by the CHRB.**
    - B. Number of security guards in the detention stall area during a 24-hour period.  
**As needed at the direction of the CHRB.**
    - C. Describe number and location of surveillance cameras in detention stall area.  
**For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office.**
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Will follow CHRB directives**

**EXHIBIT 10A****Security Personnel**

Person responsible for security controls on the premises:

Pat Kerins, Director of Security.

Security Department:\*



Contact Information

Director of Security	Work 858-755-1141 ext. 3652
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

\*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

# EXHIBIT 10B 2016 GATE SCHEDULE

2016 GATE SCHEDULE

Gate	Shifts																								
	12am	1am	2am	3am	4am	5am	6am	7am	8am	9am	10am	11am	12pm	1pm	2pm	3pm	4pm	5pm	6pm	7pm	8pm	9pm	10pm	11pm	12pm
hr Main Gate	█	█	█	█	█	█																			
hr Solana Gate					█	█	█																		
hr Official Gate					█	█	█	█	█	█	█	█													
hr Stable Gate												█	█	█	█	█	█	█							

C. Plan for detention stalls for repeat offenders.

**Will follow CHRB directives**

D. Number of security personnel assigned to the TCO2 program.

**Assigned as needed**

C. Describe the electronic security system:

**Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.**

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Del Mar Thoroughbred Club utilizes pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.**

D. For night racing associations. Describe emergency lighting system: N/A

**16. EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**American Medical Response  
8808 Balboa Avenue, Suite 150  
San Diego, CA 92123  
(858) 492-3514**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**EXHIBIT 11 (renewal date August 1, 2016)**

**On-track staff: Advanced Life Support Ambulances (ALS) – Paramedics and certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Auxiliary Site: Los Alamitos  
Huntington Ambulance  
Contact: Stacy O'Bryan  
PO Box 145  
Sunset Beach, CA 90742  
(562) 904-1550**

**Auxiliary Site: San Luis Rey Downs  
Mercy Ambulance  
Contact: Doug Moriarty  
2537 Old San Pascual Road  
Escondido, CA 92027  
(760) 525-9676**

**Auxiliary Site: Santa Anita  
AmbuServe, Inc.  
Contact: Wendal Smith  
15105 S. Broadway Ave.  
Gardena, CA 90248  
(310) 644-0500**

**Auxiliary Site: Galway Downs  
Symons Emergency Specialties  
Contact: Jeff Grange  
18592 Cajon Rd  
San Bernardino, CA 92407  
(909) 880-2979**

# EXHIBIT 11



## COUNTY OF SAN DIEGO EMERGENCY MEDICAL SERVICES

### EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: AMERICAN MEDICAL RESPONSE

Effective Date: August 1, 2015

Expiration Date: July 31, 2016

Approved as: ALS   
BLS   
CCT

Authorized by:

*Michael G. J.*  
Permit Officer, Emergency Medical Services

Permit Number 15-119

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- Huntington Ambulance Certificate: On file
- Mercy Ambulance Certificate: On file
- Symons Emergency Specialties: On file
- AmbuServe, Inc. – On file

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse and O<sub>2</sub> Oximeter
- Surgical equipment (used for laceration repair and suture removal)
- B/P Cuff
- Thermometer
- Bag Valve Mask
- Surgery Light
- Stethoscope
- Glucose Monitor and Supplies

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD  
 John K. Agostino, MD  
 Athanasios J. Foster, MD  
 Melinda E. Nevins, DO  
 Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

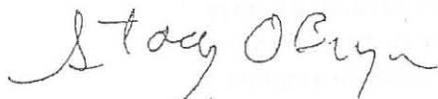
April 25, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's ( Emergency Medical Technicians ) and Paramedics working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services, Orange County Emergency Medical Services and the California Highway Patrol.

All of our E.M.T's and Paramedics are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

April 25, 2016

California Horse Racing Board

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

*Douglas Moriarty*

Douglas Moriarty  
Vice President of Operations

RIVERSIDE COUNTY  
EMERGENCY MEDICAL SERVICES  
AMBULANCE OPERATOR

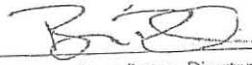
AMBULANCE OPERATOR  
PERMIT IS ISSUED TO:

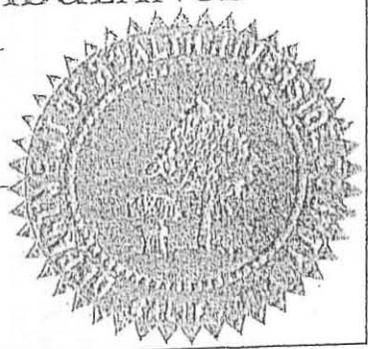
SYMONS AMBULANCE  
18592 CAJON BLVD.  
SAN BERNARDINO, CA 92407

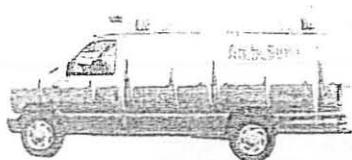
IS PERMITTED TO OPERATE BLS/CCT AMBULANCE  
SERVICE IN RIVERSIDE COUNTY

7/1/2015  
Issued Date

6/30/2016  
Expiration Date

  
Bruce Barton, Director  
Riverside County Emergency Medical Services Agency





**AmbuServe**  
Ambulance



April 27, 2016

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

Anthony Sanchez  
Communications Manager  
Ambuserve Ambulance

**Scripps La Jolla**  
 988 Genesee Ave., La Jolla, CA 92037 / 858-626-4123

**Scripps Encinitas**  
 354 Santa Fe Drive, Encinitas, CA 92024 / 760-753-4123

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **EXHIBIT 12**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Health and Safety Manager (Risk Manager): Kimberly Jacobson**  
**Assistant Manager (Risk Management Assistant): Jeremiah Mendoza**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Inspection scheduled for July 13, 2016**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):  
**Bolton & Company**  
**Cypress Insurance Company**  
**DEWC704924**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**EXHIBIT 13**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each: **EXHIBIT 14**

Does the association provide its own concessions? Yes  No

## EXHIBIT 12

### Del Mar Thoroughbred Club Jockey On-Track Accident Response Program

**Overview:** The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

#### Program Elements:

##### I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. At minimum each ambulance is staffed by a Paramedic and a certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

##### II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

##### II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.
- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
  - a. Scripps La Jolla  
9888 Genesee Avenue  
La Jolla, CA 92037  
Tel: 858.626.4123
  - b. Scripps Encinitas  
354 Santa Fe Drive  
Encinitas, CA 92024  
Tel: 760.753.4123

### III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

### IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.
- b. Advanced Life Support Ambulances (ALS) – A Paramedic and Certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one, at a minimum (1) Paramedic and one (1) Certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times. (Depending on staffing for the day there may be times when two paramedics are on a rig).

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

#### **V. Ambulance and Medical Transport Protocols**

- a. Contracted ambulance service – DMTC contracts with a licensed Emergency Medical Services provider who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

#### **VI. The Emergency Medical Plan**

These steps will be followed in the event of an on-track accident:

##### **General Conditions**

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital emergency care; they will direct the medical transport team as to which hospital to respond to.)

##### **Communications**

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

**Response During Training Periods**

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist Rural/Metro with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet Rural/Metro unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

**Response During Pre-Race (Paddock)**

- Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.
- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

**Accidents During Live Racing**

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

- In the event of a multiple rider down situation Team 1 will call for immediate backup from Team 2 at which time Team 2 will enter the track to assist. If the situation is going to require additional response from outside Team 1 will request outside units through Security Dispatch.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,
- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

**Please note:** Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

Del Mar Thoroughbred Club  
Jockey On-Track Accident Response Program

### VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

### IIX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

# EXHIBIT 12 (CONTINUED)

8-56

## Club de Caballos Purasangre Hipódromo Del Mar Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

**Visión General:** El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

### Elementos del Programa:

#### I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

#### II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Encompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

#### III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.

Club de Caballos Purasangre Hipódromo Del Mar  
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
  - a. Scripps La Jolla  
9888 Genesee Avenue  
La Jolla, CA 92037  
Tel: 858.626.4123
  - b. Scripps Encinitas  
354 Santa Fe Drive  
Encinitas, CA 92024  
Tel: 760.753.4123

#### IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

#### V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.

Club de Caballos Purasangre Hipódromo Del Mar  
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

#### VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.
- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

#### VII. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

##### Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Club de Caballos Purasangre Hipódromo Del Mar  
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

**Comunicaciones**

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

**Respuesta Durante los Periodos de Entrenamiento**

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises Services" a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.
- Las unidades municipales se reunirán en la unidad de "San Diego Medical Enterprises" en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

**Respuesta Durante la Pre-carrera (Caballeriza)**

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el area de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.

Club de Caballos Purasangre Hipódromo Del Mar  
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

**Accidentes Durante la Carrera en Directo**

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,
- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,

Club de Caballos Purasangre Hipódromo Del Mar  
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.
- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

**Por favor tenga presente:** Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

### VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se expondrá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

### IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.



DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
OFFICE OF THE STATE FIRE MARSHAL  
Fire and Life Safety Division  
602 E. Huntington Drive, Suite A  
MONROVIA, CA 91016  
(626) 305-1908  
Website: [www.fire.ca.gov](http://www.fire.ca.gov)



July 13, 2015

Timothy A. Read, Director of Operations  
Del Mar Thoroughbred Club  
P.O. Box 700  
Del Mar, California 92014-070

Re: Del Mar Thoroughbred Racing Facility  
CSFM File #04-37-041-0001

Dear Mr. Read:

An inspection of the referenced facility was conducted on July 13, 2015, in accordance with Section 13108(c) of the California Health and Safety Code. The purpose was to determine compliance with minimum fire and life safety standards required by Titles 19 and 24 of the California Code of Regulations.

No deficiencies were noted at the time of inspection. Fire Clearance is hereby granted for the 2015 racing season.

If we can be of further assistance, or you desire additional information or clarification, please feel free to contact me at 951-676-2126.

Sincerely,

A handwritten signature in cursive script that reads "Michelle Nachtman".

Michelle Nachtman  
Supervising Deputy State Fire Marshal

cc: J. Garcia, DSFM

# EXHIBIT 13



DELMART-C1 SPRZEMIELEWSKI

## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

3/4/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski
	PHONE (A/C, No., Ext): (626) 799-7000      FAX (A/C, No): (626) 441-3233 E-MAIL ADDRESS: info@boltonco.com
INSURER(S) AFFORDING COVERAGE	
INSURER A: Cypress Insurance Co.	NAIC #: 10855
INSURED  Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014-0700	INSURER B:
	INSURER C:
	INSURER D:
	INSURER E:
	INSURER F:

**COVERAGES**

**CERTIFICATE NUMBER:**

**REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL	INSUR	WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:							EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$	
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS							COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$	
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED    RETENTION \$							EACH OCCURRENCE \$ AGGREGATE \$	
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N			DEWC704924	03/31/2016	03/31/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

**CERTIFICATE HOLDER**

**CANCELLATION**

California Horse Racing Board 10140 Hurlley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
---	---

EXHIBIT 14CONCESSIONS:

Wes Westley, CEO  
Premier Food Services, Inc./SMG  
300 Conshohocken State Road  
Conshohocken, PA 19428

TIP SHEETS:

Bruce M. Andrews, Jr.  
Deuce Bruce  
5910 Rancho Mission Rd. #33  
San Diego, CA 92108

Bob Baedeker  
Baedeker, Inc.  
130 West El Portal  
San Clemente, CA 92672

John Acquarelli  
Duke Racing Selections  
4615 Triesta  
Carlsbad, CA 92010

Toby Turrell  
Winners  
14112 Enfield Circle  
Westminster, CA 92683

Tiffany Bohland  
Bob's Card, Inc.  
6288 Highland Meadows  
Medina, OH 44256

SADDLERY SERVICES:

Frank Wipfli  
Western Saddlery, Inc.  
7038 Commerce Circle  
Pleasanton, CA 94588

RACING COLORS:

June Gee  
West Coast Racing Colors  
11920 Goldring Rd. Ste G  
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez  
Garda Cash Logisitics  
8807 Complex Drive  
San Diego, CA 92123

Rayetta Burr  
Benoit & Associates, Inc.  
Track Photographers  
PO Box 60014  
Arcadia, CA 91066-6014

Michael Costello  
United/Puett Electrical Starting Gates  
224 Tater Hill Rd.  
East Haddam, CT 06423

Scripps Coastal Medical Center  
Track Physicians  
380 Stevens Ave., Suite 100  
Solana Beach, CA 92075

Steve Stiles  
Stiles Animal Removal Inc.  
P.O. Box 1103  
Guasti, CA 91743-1103

Deven Owen  
West Coast Feed  
PO Box 893007  
Temecula, CA 92589

Gary S. Bell  
Citrus Feed  
PO Box 249  
La Verne, CA 91750

Angel P. Unamuno  
Angel's Feed  
4518 Rowland Ave  
El Monte, CA 91731

Michael Brunner  
Westwalk Corp.  
Hotwalkers  
2711 N. Sepulvada Blvd. # 312  
Manhattan Beach, CA 90266

**18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting: **EXHIBIT 15**

B. Promotional/ Marketing budget for this race meeting and:

Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2016</u>	<u>2015</u>
Advertising	\$1,395,500	\$1,654,300
Promotions	\$1,473,000	\$1,645,900
Publicity	\$ 166,000	\$ 160,000
Corporate Sponsorship Fulfillment	\$ 55,000	\$ 70,000
Other	\$ 38,500	\$ 12,500
<b>Total</b>	<b>\$3,128,000</b>	<b>\$3,542,700</b>

C. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekends (Friday, Saturday and Sunday.)

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Ongoing replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area)
- Ongoing replacement of flooring, doors, locks, and window screens in living quarters (restricted area)
- Ongoing replacement of morning workout lights (restricted area)
- Ongoing replacement of electrical outlets in stable area restrooms to GFCI protected (restricted area)
- Ongoing repair of all stable area smoke detectors (restricted area)

## EXHIBIT 15

### MARKETING OVERVIEW

All the marketing efforts at Del Mar support a two-part strategy:

1. Provide value to our regular customers
2. Attract new and occasional customers by promoting the “Cool As Ever” brand and diversifying our entertainment offerings

The first of the two strategies relies heavily on database marketing tactics. The focus of these tactics is the Diamond Club, which is a free loyalty club that offers members half-priced admission each time they attend as well as occasional free admissions and seats, discounted food and beverage, free bets and contests as well as wagering rebates to our biggest bettors. The Diamond Club was launched in 2006 and now has over 200,000 members. Each time members take advantage of Diamond Club benefits, they must scan their membership card, which in turn feeds the information to our data warehouse. As the number of Diamond Club members expands, and as the amount of information we gather about our members grows, it allows us to market to our regular patrons in a more effective and efficient manner. For example, the data warehouse can tell us which customers tend to come to the races on weekends, weekdays, pick six carryover days, and giveaway days, etc. With this information, we can use database marketing and social media techniques to send the appropriate messages to the appropriate customers in a very cost-effective manner. As the data in our warehouse continues to grow, we will utilize the data findings even more aggressively to promote Del Mar to our current race fans.

One of the benefits of using database marketing as the principal tactic for attracting current customers is that it allows us to use traditional media (television, radio, print, digital, outdoor, etc.) to accomplish our second strategy – attracting new and occasional customers. We sell Del Mar to this target market as a cool and sexy entertainment alternative. Our advertising campaigns include the slogan, “Del Mar, Cool as Ever”, and feature beautiful women in locations such as the beach, high-fashion runways and the racetrack. The focus of our ad campaigns is fashion and style. Admittedly, this tactic is not common in horse racing, and one that would no doubt make little sense in other markets. However, Del Mar is in a unique situation. With only an eight-week meet in the middle of summer, with a location right on the beach and with our attractive and youthful clientele, we pay it off.

Beyond advertising, virtually everything we do from a marketing perspective supports the Cool As Ever brand and positions Del Mar as an entertainment option that is more than simply horse racing. These tactics include:

- Publicity efforts focused on non-sports coverage in magazines such as *Playboy*, *Vanity Fair*, *Maxim*, *Riviera* and *San Diego Magazine*
- Events such as Hats Contests, Craft Beer Festivals, Concerts, Food Truck Festivals, BBQ Competitions, Fun Runs, Wiener Dog Races and Reggae Festivals
- An alternative website catering to a new, young fan base
- Fun specialty drinks such as the Del Margarita and the Del Martini
- Entertainment Between Races such as Sing with Bing, hippity hop races and weekly contests
- An emphasis on attracting cool celebrities to Del Mar



Victor Espinoza with Maxim TV

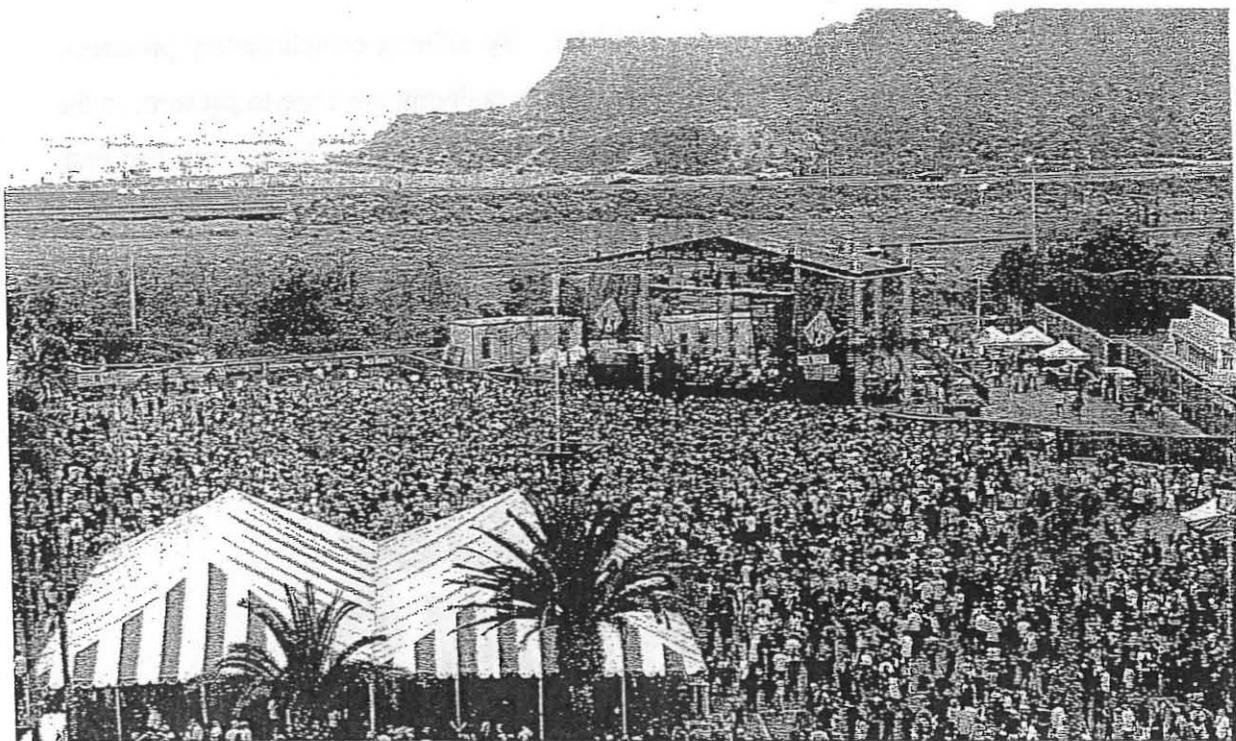
Obviously these marketing tactics fly in the face of traditional racetrack marketing. However, we feel traditional racetrack marketing has little chance of attracting a new, younger fan base, and that we need to be perceived as more than just horseracing in order to grow our business in the competitive San Diego entertainment market. So far this dual marketing strategy has paid off.

Most promotions and events at Del Mar adhere to the “Cool as Ever” brand. Therefore, in addition to traditional racetrack promotions, such as giveaways, discount days and mystery mutuel tickets, Del Mar features cool events that appeal to a younger demographic.

- **Opening Day** – This day has grown to be one of the largest parties in San Diego and one of the biggest days on the national racing calendar. It is supported with very aggressive publicity and media campaigns. The “Cool as Ever” advertising campaign fits very well with the party atmosphere generated on Opening Day. The festivities include the popular “Opening Day Hats Contest”, which sets the fashion tone for the entire season.



- **Four O'clock Fridays** – Fridays feature a late post time and top-name bands performing after the races. This formula offers a popular weekend kick-off event that is consistently one of Del Mar's most successful and profitable promotions. The event attracts both men and women in the desirable 18- to 34-year-old demographic. The bands in past years have included Jack Johnson, The Offspring, The B-52's and Cake. The concert series and the late post time have completely changed the "culture" of Fridays at Del Mar – from the concert area to the Turf Club – by adding a sense of excitement and energy, which supports our brand better than any other promotion.



- **Craft Beer Festivals** – The Festivals are held during the races on the far west end of the facility. They were created to expand on the success of the popular Four O'clock Friday series and target a slightly older 21-40 year-old crowd. The Beer Festivals feature a wide array of specialty craft beers with well over 100 selections, including many breweries from the San Diego market, which has recently exploded into one of the top areas in the country. Following the races, performers such as Billy Idol, Sammy Hagar, Counting Crows, ZZ top and Weezer perform on the Seaside Stage with the Pacific Ocean as the backdrop. The events are advertised aggressively via television, radio, print, online, direct mail and social media and historically draw some of the biggest crowds of the season.

Many Beer Fest attendees are new to Del Mar. By offering complimentary programs, newcomer seminars and free *Daily Racing Form* Easy Forms, we hope to get them to the betting windows. While the transaction amounts are not exceedingly large at the Beer Fest, the attendees do wager, as evidenced by the healthy lines at the mutuel windows each race.



- **Gourmet Food Truck Festival** – Gourmet food trucks have become a phenomenon in Southern California and routinely draw thousands of people for festivals. The inaugural Del Mar Food Truck Festival contributed significantly to a total crowd of 28,909 and featured trucks from San Diego as well as Orange and Los Angeles Counties. Patrons sample a wide array of foods from Cajun favorites to seafood to good old-fashioned grilled cheese sandwiches. Although the event will be advertised via television, radio, online and print, we will rely heavily on social media to promote the event. The Food Truck Festival serves as another vehicle to expose new fans to the fun and excitement of thoroughbred racing.



- **Reggae Fest** – The Reggae Fest has proven to be a natural fit in the San Diego market and overwhelming success resulting in some of the all-time top racetrack attendance days. In fact, in the 10-year history of the Del Mar Reggae Fest, total daily on-track attendance has averaged more than 36,000. The event features reggae bands, DJ's and activities during the race day to entice fans to attend during racing. The headlining bands, which have included Jimmy Cliff, Matisyahu and Ziggy Marley, perform following the races. The Reggae Fest has proven to be a wonderful extension of our "Cool As Ever" brand.



- **Del Mar BBQ State Championship** – A new event this year, the BBQ State Championship will feature over 70 teams from across the country battling it out for cash prizes and to win a spot in the National Championships. Patrons will be able to sample the various delicacies, which include ribs, brisket, chicken and steak. The event will be advertised on TV, radio, digital and direct mail. A large crowd is expected for this inaugural event.



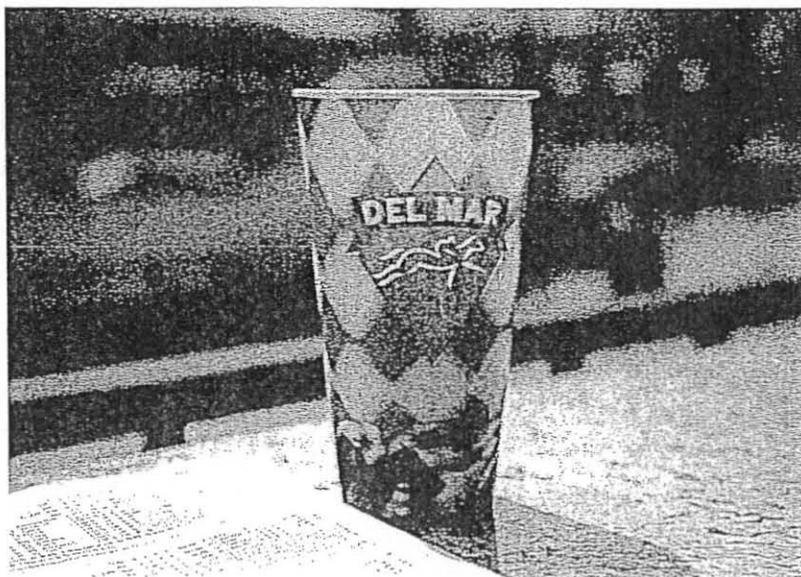
- **Bar, Restaurant and Hotel Employee Appreciation Day** – This event offers Del Mar an excellent opportunity to generate positive word-of-mouth publicity among local bar, restaurant and hotel employees. Tickets are distributed via our beer and liquor distributors. The event is held on the Wednesday of the second week of the season, and attendance generally totals over 3,000 for this private party in the infield Pacific Pavilion. The guests enjoy an exciting day at the races and free food, live music, prizes and drink specials.

In addition to the events that support our "Cool as Ever" branding efforts, we also offer promotions that appeal to a wider range of our fan base.

#### **For the Value Minded:**

A recent research study we conducted indicated that our regular fans are sensitive to food and beverage prices as well as admission prices. Therefore, we will be offering the following discounts this season:

- **Free & Easy Wednesdays** – Every Wednesday during the meet, Diamond Club members receive free Stretch Run admission and a free reserved seat. In addition, draft beer, sodas and hot dogs are half price at designated concession stands. All patrons have to do to take part in the promotion is join the Diamond Club. This program will be advertised on TV, radio, print, digital media and in direct mail.
- **Friday Happy Hour** – Each Friday of the meet (with the exception of Opening Day) all signature drinks, which include Del Margaritas, Del Martinis, and Del Marys, will be discounted to half price until 6pm. This discount will be communicated in conjunction with our advertising for our Friday Concert Series.
- **30 for 20** – Beginning with their third visit of the season, Diamond Club members will be able to purchase \$30 worth of food and beverage anywhere in the plant for only \$20. The 30 for 20 offer is good every racing day.



- **Diamond Club Admission Discount** – As always, Diamond Club members get in for half price every racing day.
- **Seniors Days** – On two Thursdays during the meet all seniors 62 and over will be admitted free and given a free reserved seat. In addition, seniors who are members of the Diamond Club will be admitted free every Thursday.
- **Direct Mail Offers** – Various offers will be mailed to fans on our mailing list. Offers will include free passes on a number of days as well as a special “Day on Us” which includes free parking, free admission, free program and a free *Daily Racing Form*.
- **Bounceback Days** – Historically, the slowest days of the meet come the day after our biggest days. As a way of combating that, on two of our biggest days – Opening Day and Pacific Classic Day – all fans in attendance will receive a free admission pass for the next day. This is a simple promotion that bounces back thousands of fans for an extra visit.

#### For The More Serious Racing Fans:

- **Mystery Mutuel Tickets** – Fans in our database will be sent a Mystery Ticket that ranges in value from \$5 to \$10,000. The only way they can find out how much their ticket is worth is to bring it on track and cash it. This is an old-school racetrack promotion that stills brings out the more seasoned racing fans.

DEL MAR  
THOROUGHBRED CLUB 

A-982C-664B-E5B1

**FREE \$5 WIN BET**

**BRING TO DEL MAR ONLY  
ON SATURDAY, JULY 23  
ONE TICKET IS WORTH  
\$10,000**

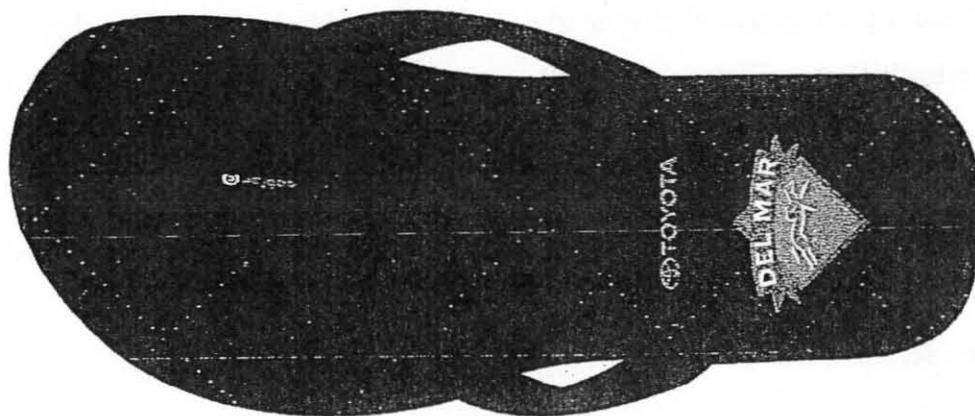
**GOOD FOR \$5 WIN BET ON  
ANY DEL MAR RACE  
NOT VALID AT EARLYBIRD**

9-JUN-11

A-982C-664B-E5B1



- **Giveaway Days** – Premium items will be offered to our racing fans two times this season. The items featured this year are a Del Mar Sandal and Del Mar Mug. When promoted correctly, the right giveaway items continue to draw big crowds to the track. In addition, there is a value to having Del Mar-logoed apparel around town for the entire year.



- **The Del Mar Handicapping Challenge** – In an effort to attract the top handicappers from across the country, we host the Del Mar Handicapping Challenge. One hundred and forty players will compete for \$300,000 in prizes plus an additional eight entries into the NTRA National Handicapping Championship in this two-day competition. Because this is a “live money” tournament that requires a \$5,000 bankroll from each player, the tournament benefits handle significantly over the two days. In addition, well over half the field for this high-end contest travel in from out of state.
- **Guaranteed Pick Four Pools** – Del Mar guarantees a \$300,000 pool on weekdays and a \$600,000 pool on weekends. In 2015, the weekday Pick Four pools averaged \$583,000 and the weekend pools averaged \$900,000.
- **Jockey Photo Day** – Get an autograph or a photo with your favorite jockey on Opening Sunday. This event has always been extremely popular with fans and their children and serves as a great way to build the star power of our jockey colony.

**For Families:**

- **Family Fun Day** – This event, which takes place on the opening Saturday of the meet, features free children’s activities including a giant slide and a variety of inflatables, pony rides, face painting and a free plush toy for all kids 12 and under. Nearly 6,000 kids attended the event last year. The promotion was designed to appeal to families and increase awareness of the family-friendly nature of Del Mar.
- **Family Weekends** – Every Saturday and Sunday during the meet, a smaller version of Family Fun Day takes place on the infield. These events reinforce the concept that Del Mar is a family-friendly entertainment option in San Diego.
- **Donuts Days** – A Del Mar tradition! On two Saturdays during the meet, all fans who come out to watch morning workouts are treated to free donuts, coffee and juice. Track announcer Trevor Denman hosts a behind-the-scenes look at thoroughbred racing as he interviews Del Mar jockeys and trainers for the crowd.
- **Camp Del Mar** – For those horsemen and fans who want to come to the races but are not sure what to do with the kids, Camp Del Mar is the answer. Camp Del Mar is a day camp for kids 5-12 that offers supervised activities such as arts & crafts, sports, barn tours and more. Last year nearly 1,400 kids participated in the camp.



## Fan Education

Given that many Del Mar attendees are newcomers or occasional racetrack visitors, it is imperative that we stress fan education. Some of the initiatives include:

- **Free Programs** – As they say, “you can’t tell the players without a program,” so everyone who enters the admission gate receives a complimentary program. Although most racetracks charge an additional fee for programs, we believe many racetrack newcomers would not know to buy one and would, therefore, be very unlikely to wager and learn the game of horse racing.
- **Free Newcomers’ Seminars** – Located just inside the Stretch Run admission gates in the Plaza de Mexico, these daily 30-minute seminars emphasize the basics of horse racing and are extremely popular with our newcomers.
- **Free Handicapping Seminars** – Every Saturday and Sunday patrons can listen in as handicapping experts analyze the day’s racing card and give out their selections in every race.
- **Website** – The Del Mar website features a “Beginner’s Corner” which provides helpful tips on how to prepare for a visit to the races including pre-planning, handicapping resources, wagering, track terms and more. Fans may also participate in “Night School”, the racing industry’s national, interactive online fan education forum featuring lessons from expert hosts.
- **Betting Guides** – *Today’s Racing Digest* produces pocket-sized guides for Del Mar that explain racing terms and how to place a bet. The betting guides are distributed through Group Sales, Mutuel Tellers and Customer Service representatives throughout the season.
- **DRF Easy Form** – On large concert or festival days, the *Daily Racing Form* produces a simplified racing guide that is distributed to newcomers free of charge. The Easy Form covers the day’s races in a simple format that is easy to understand for newcomers. It serves as a stepping stone to the traditional *Daily Racing Form*.
- **Staff Handicappers** – Del Mar staff handicappers regularly assist Group Sales parties. The handicappers teach the basics of handicapping and betting, recommend picks and arrange paddock tours.

## VIP Program

In addition to new and casual race fans, Del Mar also has a significant number of high-end customers. For those patrons we have the Del Mar VIP recruitment and retention program. The VIP program constitutes an aggressive effort to identify significant bettors and to meet the tailored needs of those patrons through personalized attention from all segments of Del Mar management and staff. The program accommodates over 200 high-per-capita patrons with services such as arranging summer housing, local transportation, complimentary dinners at local restaurants, hotel and golf reservations, and VIP seating for the races. In addition, players that wager over \$20,000 in a calendar month are eligible for rebates via a program sponsored by the California Marketing Committee.

## Customer Service

Given the number of new and casual race fans at Del Mar, Customer Service plays a vital role in the experience of our customers. Therefore, we staff the facility with Customer Service Representatives and Self-service Wagering Assistants very liberally. We also believe it is very important to train our Customer Service employees very thoroughly.

### Weekdays (Wednesday and Thursday)

- 18 Customer Service Representatives
- 20 Self-service Wagering Assistants
- 38 Total Staffing

### Weekends (Friday, Saturday, Sunday)

- 26 Customer Service Representatives
- 30 Self-service Wagering Assistants
- 56 Total Staffing

## Database Marketing and Mobile App

Database marketing continues to be one of the key components of the media mix each season. Given that the primary advertising strategy is to target new fans that are potentially younger, it is vital that we communicate effectively with our current fan base. With that strategy in mind, Del Mar has devoted significant time and money to identify our regular players. First, a state-of-the-art data warehouse was created that pulled together all the various lists of names and information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and combined them into a single database that can be segmented into various categories. Also, in May of 2006 a new loyalty club, known as the Diamond Club, was launched. The Diamond Club, which gives members half-priced admission each time they attend the races at Del Mar, allows us to track the visitation patterns of Club members. The Diamond Club has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 200,000.

In 2013, Del Mar launched a mobile app. The Del Mar “app” allows on-track patrons to wager from their phone. Over \$500,000 was wagered on-track via the app last year – a number we expect to easily surpass this season. The app also contains convenient guest services such as ticketless admissions and event calendars as well as horse racing content including live video streaming of the races, live odds, race results and scratches/changes. The swiftness, flexibility and economic opportunities of social media and mobile marketing will continue to allow Del Mar the ability to evolve with emerging trends, harness a new revenue stream and directly engage with our passionate audience.

In 2015, we introduced the Digital Diamond Club, which is intended to improve the member experience for Del Mar attendees and better connect DMTC with its fans. Fans have the option to use their digital devices instead of member cards for effortless registration and admission gate scanning for discounted entry. Digital members will benefit from additional marketing communications and promotions.



Approximately 230,000 pieces of direct mail will be sent out this year to racing fans in the Del Mar database. In addition to traditional mail, more than 125,000 fans will receive targeted e-mail updates from Del Mar on a year-round basis, ranging from coupons to instant notification of Pick Six carryovers to upcoming promotions and big races as well as general interest stories about various horses and horsemen.

## Internet Sites

Given that Del Mar targets two distinct audiences – racetrack regulars and newcomers – we believe it is appropriate to offer two different internet sites: [www.delmarracing.com](http://www.delmarracing.com) and [www.delmarscene.com](http://www.delmarscene.com).

### **[www.delmarracing.com](http://www.delmarracing.com)**

Entries, charts, replays, real-time odds, pools and other valuable handicapping tools are offered on the website. Over 6,000 patrons each year use the site to purchase admissions, make table reservations and reserve seats online. Additionally, a lottery-type drawing for table reservations for Opening Day and Pacific Classic Day is conducted exclusively online in advance of the regular opening of ticket sales. The online ticketing system allows patrons to receive immediate confirmation of their seat and table locations.

Out-of-town visitors to Del Mar are provided helpful travel aids including information about area restaurants, hotels, transportation and other local activities. They can also preview their visit by watching live shots from the Del Mar "Paddock Cam" and the nearby Del Mar "Beach Cam". They can even check out horses in their stalls with the Del Mar "Barn Cam".

Del Mar is a popular destination on the electronic superhighway as the site averages over 500,000 page requests per day during the season. The Online Handicapping Challenge, designed to encourage horseplayers to handicap and play the Del Mar card, draws nearly 7,000 players each season. The site also continues to be popular during the off-season with archived information about the past season, previews of the coming season, interactive forum and chat pages, a play-for-fun handicapping contest during other Southern California race meets and our online gift shop.

[www.delmarscene.com](http://www.delmarscene.com)

Delmarscene.com is Del Mar's "lifestyle & music" website that also serves as the hub for all our social media efforts with Facebook, Twitter, Instagram and YouTube. Launched in June of 2008, the site allows fans to access information on upcoming concerts and promotions. It also allows fans to share their personal experiences at the track with other fans. The site is a "Del Mar-centric" mix of concert schedules, photo galleries, YouTube videos and social networking. Highlights include user-generated content (blogs, photos and videos), music and band pages, a photo gallery of celebrities at Del Mar, blogs from jockeys and beginner-oriented racing information.



## Social Media

The social media goals for the 2016 season are threefold:

1. Increase awareness
2. Increase brand loyalty
3. Increase on-track attendance

The emphasis is not only on growing the size of our community, but the quality of the people and interactions. The feedback from our social media community is overwhelmingly positive, with people posting on both Facebook and Twitter how much they love Del Mar and how much they appreciate our efforts in the social space.

Facebook, which now has over 75,000 Del Mar fans within our community has proven to be an easy platform for us to grow and a natural space for us to be in as a result of our visual content. Our fans respond very favorably to the videos we share about concerts and big races, and regularly view and comment on our many photo albums. The content most often responded to involves celebrities, events, concerts and contests. However, some racing content also receives a significant response.

Twitter still has some room for growth, but despite being significantly smaller than our Facebook network, our 22,000 Twitter members are quite a bit more engaged. Twitter is best used as an engagement platform to provide customer service assistance and increase brand loyalty through one-on-one interactions. Much like Twitter followers, our Instagram followers are very engaged. Our 10,500 followers forwarded our photos as well as their own.

## Media Summary

The Del Mar Media Plan features a diversified media mix consisting of broadcast television, cable television, radio, print, online, outdoor and direct mail. Although the media buy for the upcoming season is not yet complete, it is budgeted to breakdown as follows:

Television	\$ 388,000
Radio	\$ 239,000
Print	\$ 50,000
Digital	\$ 73,500
Outdoor	\$ 58,000
Direct Mail	\$ 80,000
Total	\$ 888,500

In addition to the cash media budget, DMTC negotiates barter deals with select media providers. In exchange for tickets, seating and parking privileges on days when inventory is available, DMTC receives free media. The Barter Program contributes an additional \$300,000 of media each season. This brings our total paid and non-paid advertising to over \$1.1 million for the eight-week season.

## Marketing Budget

The Marketing Budget for the upcoming 2015 season is over \$3.5 million. This amount includes direct expenses attributed to Advertising, Promotions and Publicity but does not include payroll, administrative supplies and other overhead items.

The 2016 Marketing Budget is categorized as follows:

Advertising	\$1,395,500
Promotions	\$1,473,000
Publicity	\$ 166,000
Corporate Sponsorship Fulfillment	\$ 55,000
Other	\$ 38,500
Total	\$3,128,000

- Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)
  - Ongoing backfill of all stable area stalls with decomposed granite (restricted area)
  - Ongoing renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area)
  - Ongoing replacement of exterior electrical outlets and wall lights in stable area (restricted area)
  - Clean and screen all stable area shed-drains and roadways due to rocks and debris (restricted area)
  - Clean and dig-out all stable area wash racks and storm drain boxes (restricted area)
2. Fans
- New Third Floor Clubhouse Restaurant “The First Turn Restaurant”
  - High-Definition Television Production
  - Ongoing boxes, seats and turf club refurbishment
  - On-going replacement of television monitors with flat screen monitors throughout facility, including turf club seating area and clubhouse restaurants.
  - On-going installation of wireless technology throughout the facility to enhance the mobile app experience.
  - Ongoing replacement of the Season Box panels and trays throughout the facility
3. Facilities in the restricted areas – See 17-E-1

## 19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year: **No Changes from previous year:**

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$25 Opening Day - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$25 Opening Day - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00
Parking (discount book)	\$50.00 (general, advance discount book of 10)
Parking (preferred)	\$15.00
Parking (valet)	\$25.00 (\$40 Opening Day)

Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

## B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Turf Club Membership (2 persons):	\$1,500.00
Season Turf Club Membership (1 person):	\$1,100.00
Daily Turf Club Admission (member guests):	\$40.00 (\$100 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$200 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$285.00 to \$310.00 per seat
Season Reserved Seat:	\$270.00

## C. Describe any "package" plans such as combined parking, admission and program:

Turf Club memberships include valet parking. All paid admissions include official programs. All summer season box seats will include six free admission passes in 2016.

## 20. JOCKEYS/DRIVERS' QUARTERS

## A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="73"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

## B. Describe the quarters to be used for female jockeys/drivers:

Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

## 21. BACKSTRETCH EMPLOYEE HOUSING

## A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

Inspection of backstretch housing will be completed by CHRB staff on a date to be determined.

## B. Number of rooms used for housing on the backstretch of the racetrack: 666 permanent

## C. Number of restrooms available on the backstretch of the racetrack: 83

## D. Estimated ratio of restroom facilities to the number of backstretch personnel: 17.5 workers to 1 restroom facility.

## 22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

## B. Describe the type of track surface at the facility, including the specific track surface composition:

The main track surface is a Dirt Running Surface. The surface consists of a 10" sandy loam on top of a layer of decomposed granite and 6" round perforated drain pipe on top of the existing dirt sub-base.

The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".

C. DIRT:

The percent of cross slope in the straight-aways is: 2%

The percent of cross slope in the center of the turns is: 4%

TURF:

The percent of cross slope in the straight-aways is: 1.5%

The percent of cross slope in the center of the turns is: 5%

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Main Track:

Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:

Inside running rail: Mawsafe pvc injection molded

Inside rail supports: Mawsafe pvc with 16" offset

Approx. height: 47"

Outside running rail of the turf course is the same as the inside rail.

Outside rail supports are the same as the inside rail supports.

Approximate height 47"

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Robert Sanchez, Vice President, Plant**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **On File**



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
3/17/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107  www.boltonco.com                      0008309		<b>CONTACT NAME:</b> PHONE (A/C, No., Ext): (626) 799-7000                      FAX (A/C, No.): (626) 583-2117 E-MAIL ADDRESS: _____	
		INSURER(S) AFFORDING COVERAGE                      NAIC #	
		INSURER A: Philadelphia Indemnity Insurance Company                      18058	
<b>INSURED</b> Del Mar Thoroughbred Club PO Box 700 Del Mar CA 92014-0700		INSURER B: Cypress Insurance Company (CA)                      10855	
		INSURER C:	
		INSURER D:	
		INSURER E:	
		INSURER F:	

**COVERAGES**                      **CERTIFICATE NUMBER: 29007385**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDITIONAL INSURED	SUBROGATION WAIVED	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input checked="" type="checkbox"/> LOC OTHER:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	PHPK1464043	3/15/2016	3/15/2017	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/> AUTOS ONLY	<input type="checkbox"/>	<input type="checkbox"/>	PHPK1464043	3/15/2016	3/15/2017	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$10,000	<input type="checkbox"/>	<input type="checkbox"/>	PHUB532815	3/15/2016	3/15/2017	EACH OCCURRENCE \$ 15,000,000 AGGREGATE \$ 15,000,000 \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	<input type="checkbox"/>	<input type="checkbox"/>	DEWC704924	3/31/2016	3/31/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

GL Additional Insured applies per PI-AM-002 12-08 attached, only if required by written contract/agreement.  
 Job: Operations of the Named Insured. Additional Insured(s): California Horse Racing Board (CHRB).

**CERTIFICATE HOLDER**

Operations of the Named Insured  
  
 California Horse Racing Board (CHRB)  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  
  
 AUTHORIZED REPRESENTATIVE  
 Armida Cerda *Armida Cerda*

PHPK1464043

PI-AM-002 (12/08)

**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

### **ADDITIONAL INSURED – CERTIFICATE HOLDERS**

This endorsement modifies insurance provided under the following:

#### **COMMERCIAL GENERAL LIABILITY COVERAGE PART**

**SECTION II – WHO IS AN INSURED** is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or our authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

## EXHIBIT 16

## DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 21, 2013 through July 2016 (Negotiations in Progress)
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 2014 through June 2016 (Negotiations in Progress)
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 21, 2013 through July 2014 (Negotiations in Progress)
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 21, 2013 through July 2016 (Negotiations in Progress)
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	July 2014 through June 2017 (Negotiated-Document Signing in Process)
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	May 1, 2014 through April 20, 2017 (Negotiations in Progress)

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **EXHIBIT 16**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Summer Meet Agreements with CTT and TOC – On file**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No Exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

THOMAS S. ROBBINS

Print Name

EXECUTIVE VICE PRESIDENT, RACING

Print Title

*Thomas S. Robbins*  
Signature

4/13/16  
Date

STAFF ANALYSIS  
May 26, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 27, 2016 THROUGH AUGUST 16, 2016

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

- July 27 through August 16, 2016, or 11 days; the same as 2015. July 27, August 3, 10 and 11 will be simulcasting only and live racing will begin July 28, 2016. The fair proposes to race a total of 100 races. The Sonoma County Fair is not participating in the California Authority of Racing Fairs combined horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

July - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing Thursday through Sunday with the exception of Thursday, August 11<sup>th</sup>. 8 races per day on Thursdays and Fridays; 10 races per day on Saturdays and Sundays.
  - Racing concurrently with Del Mar July 28, 2016 through August 14, 2016.
  - Number of horses available determines the number of daily races programmed by breed.
    - 2015 Race Meeting: Average number of runners per race (TB): 7.10
    - 2015 Race Meeting: Average number of runners per race (Arabian): 6.86
    - 2015 Race Meeting: Average number of runners per race (Quarter horse): 6.25
    - 2015 Race Meeting: Average number of runners per race (Mules): 5.50
- First post 1:15 p.m. daily - Friday's post time is 3:15 p.m.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpire, Watch and Wager and Bet America.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code section 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.

- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will not be offered.
  - \$1 Trifecta and \$0.10 Superfecta when applicable.
  - \$0.50 Pick 4 on first 4 races and last 4 races.
  - \$0.50 Pick 5 on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.
  - \$2 Pick 6 on last 6 races – 70% major pool paid or a carryover and 30% minor pool paid.
  - \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
  - Super High Five on the last race.

- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow an entry to run for purse only.
- Specific changes from the 2015 license application:
  - Fair Admission/Adults (13 & Older) from \$11 to \$12
  - Advanced Sale Tickets (On-line) from \$8 to \$9
  - Fair Admission/Children (6-12) from \$5 to \$6
  - Fair Admission/Seniors from \$11 to \$12
  - Fair Admission/Presale Discount from \$8 to \$9
  - Package plans no longer available
- Request Daryl Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information which will need **updating** during the proposed race dates:

1. Workers Compensation (expires July 1, 2016).

**RECOMMENDATION:**

If the application is considered for approval, staff recommends a contingent approval pending the submission of the outstanding item.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev. 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

### 1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**Sonoma County Fair**  
**1350 Bennett Valley Drive**  
**Santa Rosa, CA 95404**  
**(707) 545-4200, (707) 545-9342 Fax**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Rebecca Bartling, CEO, 707-545-4200, [bbartling@sonomacountyfair.com](mailto:bbartling@sonomacountyfair.com)**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

### 2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **Thursday, July 27 – Sunday, August 16, 2016**
- B. Actual dates racing will be held: **Thursday, July 28 – Sunday, July 31; Thursday, August 4 – Sunday, July 7; Friday, August 12 – Sunday, August 14**
- C. Dates racing will NOT be held: **July 27, August 1, 2, 3, 8, 9, 10, 11. July 27 & August 3, 10, 11 will be simulcast only days.**
- D. Total number of racing days: **11**
- E. Days of the week races will be held:  
 Thurs - Sun  Tues - Sat  Other (specify) **Friday – Sunday (third week only)**

### 3. RACING PROGRAM

A. Total number of races: **100**

**Should the availability of racing participants exceed our expectations, we request approval to adjust the number of races scheduled.**

#### CHRB CERTIFICATION

Application received: **4/28/16**  
 Reviewed: **LH**

Hearing date: **5/26/16**  
 Approved date:  
 License number:

B. Number of races by breed:

<input type="text" value="80"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="7"/>	Mules

C. Number of races daily:

	Wed	Thur 7/28 & 8/4	Fri 7/29, 8/5 & 8/12	Sat 7/30, 8/6 & 8/13	Sun 7/31, 8/7 & 8/14
Thoroughbred	0	6	6	8	8
Other Breeds	0	2	2	2	2
Total	0	16	24	30	30

D. Total number of stakes races by breed:

<input type="text" value="7"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. See attached **Thoroughbred and Quarter Horse Stakes Information**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. See **Attached**

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Wine Country Debutante has been moved from final Friday to final Sunday of meet.**

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **N/A**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Thursday	Fridays	Weekends
Race # 1	1:15p.m.	3:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	3:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	4:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	4:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	5:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	5:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	6:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	6:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	7:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.		5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

**Section 3 RACING PROGRAM, Item E - 2014-2016 Sonoma County Fair Stakes Information**

**2016 Thoroughbred Stakes**

Wine Country Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year old Fillies – One and 1/16 Miles (Turf)  
Saturday, Jul 30

Luther Burbank Handicap – 46<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Sunday, Jul 31

Robert Dupret Derby – 5<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Saturday, Aug 6

Joseph T. Grace Handicap – 45<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Sunday, Aug 7

Wine Country Debutante  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two-year old Fillies – Six Furlongs  
Sunday, Aug 14 (moved from final Friday of meet)

Jess Jackson Owner's Hdcp – 5<sup>th</sup> Running  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward – 5 Furlongs (Turf)  
Saturday, Aug 13

Cavonnier Juvenile Overnight Stakes – 49<sup>th</sup> Running  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 14

**2015 Thoroughbred Stakes**

Wine Country Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year old Fillies – One and 1/16 Miles (Turf)  
Saturday, Aug 1

Luther Burbank Handicap – 45<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Sunday, Aug 2

Robert Dupret Derby – 4<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Saturday, Aug 8

Joseph T. Grace Handicap – 44<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Sunday, Aug 9

Wine Country Debutante  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Two-year old Fillies – Six Furlongs  
Friday, Aug 14

Jess Jackson Owner's Hdcp – 4<sup>th</sup> Running  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward – 5 Furlongs (Turf)  
Saturday, Aug 15

Cavonnier Juvenile Overnight Stakes – 48<sup>th</sup> Running  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 16

**2014 Thoroughbred Stakes**

Wine Country Stakes  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year old Fillies – One and 1/16 Miles (Turf)  
Saturday, Jul 26

Luther Burbank Handicap – 44<sup>th</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Fillies and Mares Three-year olds and Upward  
One and 1/16 Miles (Turf)  
Sunday, Jul 27

Robert Dupret Derby – 3<sup>rd</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds – One & 1/16 Miles (Turf)  
Saturday, Aug 2

Joseph T. Grace Handicap – 43<sup>rd</sup> Running  
\$50,000 Added Overnight Hdcp  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward - One and 1/16 Miles (Turf)  
Sunday, Aug 3

Jess Jackson Owner's Hdcp – 3<sup>rd</sup> Running  
\$50,000 Added Overnight Stakes  
(Plus up to \$15,000 to Cal-Breds)  
Three-year olds and Upward – 5 Furlongs (Turf)  
Saturday, Aug 9

Cavonnier Juvenile Overnight Stakes – 47<sup>th</sup> Running  
\$50,000 Guaranteed  
(Plus up to \$15,000 to Cal-Breds)  
Two-year olds - Six Furlongs  
Sunday, Aug 10

**2016 Quarter Horse Stakes**

PCQHRA Handicap

\$8,000 Guaranteed

Three Year Olds and Upward – 350 Yards

TBD

Wine Country Derby

\$8,000 Guaranteed

Three Year Olds &amp; Upward – 440 Yards

TBD

**2015 Quarter Horse Stakes**

PCQHRA Handicap

\$8,000 Guaranteed

Three Year Olds and Upward – 350 Yards

Thursday, Aug 6

Wine Country Derby

\$8,000 Guaranteed

Three Year Olds &amp; Upward – 440 Yards

Friday, Aug 14

**2014 Quarter Horse Stakes**

PCQHRA Handicap

\$8,000 Guaranteed

Three Year Olds and Upward – 350 Yards

Wednesday, July 30

Wine Country Derby

\$8,000 Guaranteed

Three Year Olds &amp; Upward – 440 Yards

Wednesday, Aug 6

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Doug Beretta	Lisa Carreño	Cindy Crane	Tony Giraldi
Marilyn Herzog	Bob Higham	Rob Muelrath	Ross Liscum
Teejay Lowe	Max Mickelsen	Marcia Mickelson	Annette O'Kelley
Bev Palm	Lisa Wittke Schaffner	Wanda Tapia	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Max Mickelsen	Rob Muelrath	Doug Beretta	Lisa Carreño
Cindy Crane	Ross Liscum	Wanda Tapia	

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

**Rebecca Bartling – CEO**  
**Robert Moreno - Racing Secretary**  
**Stacey Lapham - Director of Racing**  
**Doug Gooby - Mutuel Manager**  
**Michelle Mustard - Satellite Supervisor**  
**Juan Meza - Race Track Superintendent**  
**Michael Wrona - Track Announcer**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Rebecca Bartling, CEO**  
**1350 Bennett Valley Road**  
**Santa Rosa, CA 95404**  
**bbartling@sonomacountyfair.com**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2015	\$32,942,658	30,670	11 days
2014	\$31,319,378	32,793	13 days
2013	\$33,734,505	36,830	13 days
2012	\$34,182,905	40,030	15 days
2011	\$34,738,586	41,926	15 days

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

### A. Purse distribution: 2016 (11 days) vs. 2015 (11 days)

- All races other than stakes:

Current meet estimate: \$1,145,427

Prior meet actual: \$1,205,713

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$104,130

Prior meet actual: \$109,610

- Overnight stakes:

Current meet estimate: \$368,000

Prior meet actual: \$368,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$ 33,455

Prior meet actual: \$ 33,455

- Non-overnight stakes:

Current meet estimate: \$-0-

Prior meet actual: \$-0-

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$-0-

Prior meet actual: \$-0-

## 4. Total Purses: (7A1+-7A2+ 7A3)

Current meet estimate: \$1,513,427  
 Prior meet actual: \$1,573,713

## B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$140,346  
 Prior meet actual: \$147,733

## C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 4,056	\$ 4,270
TOC	\$ 8,113	\$ 8,540
NTRA	\$ 1,754	\$ 1,908
PCQHRA	\$ 1,016	\$ 1,070
CWAR	\$ -0-	\$ -0-
ARAC	\$ 3,871	\$ 4,075
AMRA	\$ 2,602	\$ 2,739
CHBPAPEN	\$12,169	\$12,810
CTHF	<u>\$12,169</u>	<u>\$12,810</u>
Total	\$45,752	\$48,222

## D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,699,526  
 Prior meet actual: \$1,769,668

## Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$ 154,502  
 Prior meet actual: \$ 160,879

## E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,206,663  
 Prior meet actual: \$1,256,464

## Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$ 109,697  
 Prior meet actual: \$ 114,224

## F. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 492,862  
 Prior meet actual: \$ 513,204

## Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$ 44,806  
 Prior meet actual: \$ 46,655

- G. Bank and account number for the Paymaster of Purses' purse account:  
**Exchange Bank, Sonoma County Fair: Account number on file with CHRB**
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**800**
- B. Minimum number of stalls believed necessary for the meeting:  
**760**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:  
**2,124**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**Alameda County Fair, Pleasanton – 684 stalls; Golden Gate Fields – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**2012 Northern California Stabling and Vanning agreement (On File).**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

Dr. Don Dooley - CHRB Veterinarian - 530-472-1330

Dr. Sara Sporer - Examining / Track Veterinarian - 510-220-4860

- 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. See Attached

10. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes [ ] No [X]

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Table with 3 columns: TYPE OF WAGERS, APPLICABLE RULES, CARF WAGERING FORMAT (Yes/No). Rows include Example Race, Race #1, Race #2, and Race #3 with various wager types and applicable rules.

**Section 9, EQUINE EMERGENCY SERVICES**

1.

San Francisco Equine

Dr. Ken Allison - 650-642-4636

Dr. Steve Boyer - 510-867-5872

Dr. Kim Khulman - 510-867-5871

Bay Area Equine

Dr. Bill Grantham - 415-860-8113

Dr. Steve Matuszak - 415-860-3001

Equine Sports Medicine & Surgery

Dr. Jerry Parker - 650-642-5610

Dr. Raina Petrov - 650-642-5609

The three practices listed above have a rotation they use to cover Sonoma County Fair, Golden Gate Fields and Pleasanton during training hours and races.

Horses wishing to work to become eligible to be removed from Veterinarian's List must make appointment through the Test Barn secretary.

To Work "Off List" by Appointment

Dr. Diane Isbell - 925-980-1801

Dr. Forest Franklin - 916-585-2050

CHRB-18 (Rev. 2/15)

Race #4	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 5 when 8 races) \$2 PNP (Pick 6 when 9 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #5	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4 when 8 races) \$.50 PNP (Pick 5 when 9 races) \$2 PNP (Pick 6 when 10 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #6	\$2DD \$1E \$1PK3 (when 8 races) \$.50 PNP (Pick 4 when 9 races) \$.50 PNP (Pick 5 when 10 races) \$2 PNP (Pick 6 when 11 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #7	\$2DD \$1E \$1PK3 (when 9 races) \$.50 PNP (Pick 4 when 10 races) \$.50 PNP (Pick 5 when 11 races) \$2 PNP (Pick 6 when 12 races) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1
Race #8	\$2DD \$1E \$1PK3 (when 10 races) \$.50 PNP (Pick 4 when 11 races) \$.50 PNP (Pick 5 when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 8 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)
Race #9	\$2DD \$1E \$1PK3 (when 11 races) \$.50 PNP (Pick 4 when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 9 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)
Race #10	\$2DD \$1E \$1PK3 (when 12 races) \$1 Trifecta \$.10 Superfecta \$.50 Super High 5 (when 10 races)	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1979 CHRB 1979.1 ARCI 004-105 (Pick (n) position (x) pools)

CHRB-18 (Rev. 2/15)

Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 11 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 12 races)	ARCI 004-105 (Pick (n) position (x) pools)

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **No Change**

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 14, 2016 (Closing Day of Sonoma County Fair)**

**In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.**

E. List any options requested with regard to exotic wagering:

**\$1 TRI and \$.10 SF when applicable**

**\$.50 PNP4 on the first four races and the last four thoroughbred races each day**

**\$.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**

**\$2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.**

**\$.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners.**

**Note: Superfecta will be offered in this race. Super High-5 will be carded on the last race of the day.**

F. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Amtote (Dave Calendine)**

**Expiration Date: October 30, 2020**

**Equipment description on file with Board**

H. List below the takeout percentage for each type of wager identified in 10B:

**TAKEOUT PERCENTAGE**

(Example) PNP5-14%

- Race #1 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, Trifectas & Superfectas – 25.02%
- Race #2 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, Trifectas & Superfectas – 25.02%
- Race #3 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 6, Trifectas & Superfectas – 25.02%
- Race #4 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 5, 6, Trifectas & Superfectas – 25.02%
- Race #5 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #6 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #7 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, 6, Trifectas & Superfectas – 25.02%
- Race #8 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, 5, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #9 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, 4, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #10 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Pick 3, Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #11 Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%;  
Trifectas & Superfectas – 25.02%; Super High Five – 25.02%
- Race #12 Win, Place, Show – 16.77%; Exacta – 24.02%;  
Trifectas & Superfectas – 25.02%; Super High Five – 25.02%

**11. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Express Bet, T.V.G. (Television Games Network), Twin Spires, WatchandWager,  
Bet America**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
Yes  No

If yes, attach a copy of the approval. – **Attached**  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between

April 4, 2016

Becky Bartling, CEO  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2016 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

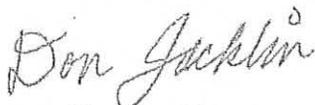
Dear Becky,

This letter shall serve as AMRA's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 28, 2016, continuing through August 14, 2016.

As a condition of AMRA's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Don Jacklin, President  
American Mule Racing Association

April 4, 2016

Becky Bartling, CEO  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2016 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

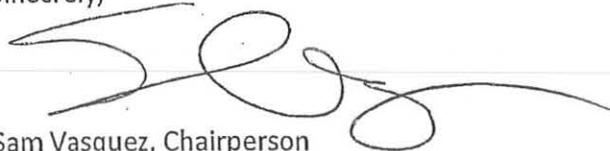
Dear Becky,

This letter shall serve as ARAC's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 28, 2016, continuing through August 14, 2016.

As a condition of ARAC's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Sam Vasquez, Chairperson  
Arabian Racing Association of California

April 4, 2016

Becky Bartling, CEO  
Sonoma County Fair & Exposition, Inc.  
1350 Bennett Valley Road  
Santa Rosa, California 95404

RE: 2016 Sonoma County Fair & Exposition, Inc. Interstate Simulcast Approvals

Dear Becky,

This letter shall serve as PCQHRA's authorization for the Sonoma County Fair & Exposition, Inc. to transmit the audiovisual signal of Sonoma County Fair Quarter Horse races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to PCQHRA by Monarch Content Management LLC ("Monarch") on behalf of Sonoma County Fair, during the Sonoma County Fair racing season commencing July 28, 2016, continuing through August 14, 2016.

As a condition of PCQHRA's authorization of the acceptance of wagers on Sonoma County Fair races by Guests which engage in phone and/or internet wagering, herein called "ADW", Sonoma County Fair shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both Sonoma County Fair and Guests to facilitate the separation of ADW and non-ADW data in reports used by Sonoma County Fair and/or CHRIMS to account for and settle balances between Sonoma County Fair and Guests. PCQHRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. PCQHRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Dominic Alessio, President  
Pacific Quarter Horse Racing Association

May 4, 2016

Ms. Becky Bartling, CEO  
 Sonoma County Fair  
 1350 Bennett Valley Road  
 Santa Rosa, CA 95404

RE: 2016 Sonoma County Fair (Santa Rosa) Race Meet ADW Approvals

Dear Becky,

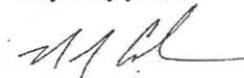
Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Sonoma County Fair (SCF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and SCF, SCF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on SCF and other Thoroughbred races during the 2016 SCF race meeting commencing July 27, 2016 and continuing through August 16, 2016, as follows:

1. **California wagering on SCF races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on SCF races:**
  - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,



Nick Coukos, President

cc: Stacey Lapham



**OFFICERS**

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

**DIRECTORS**

ALLEN ALDRICH  
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 MARK DEDOMENICO  
 RON ELLIS  
 TERRY LOVINGIER  
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**EXECUTIVE STAFF**

NICK COUKOS  
 PRESIDENT  
 MARY FORNEY  
 DIRECTOR OF OPERATIONS  
 RICHARD SCHEIDT  
 NO. CA. DIRECTOR OF OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc. (NCOTWINC)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**The NCOTWINC agreement dated May 17, 2013 is still in effect and on file with Board.**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- | <u>NORTHERN CALIFORNIA</u>                     | <u>SOUTHERN CALIFORNIA</u>                             |
|--|--|
| Alameda County Fair, Pleasanton                | Barona Valley Ranch Resort & Casino, Lakeside          |
| Big Fresno Fair, Fresno                        | Cabazon Fantasy Springs Casino, Indio                  |
| California State Fair & Exposition, Sacramento | Derby Club, Seaside Park, Ventura                      |
| Club One, Fresno                               | Fairplex Park, Pomona                                  |
| Golden Gate Fields, Albany                     | Los Alamitos Race Course, Los Alamitos                 |
| Jockey Club at San Mateo, San Mateo            | Santa Anita Park, Arcadia                              |
| Monterey County Fair, Monterey                 | Shalimar Sports Center, Indio                          |
| San Joaquin County Fair, Stockton              | Sports Center, Nat'l Orange Show, San Bernardino       |
| Santa Clara County Fair, San Jose              | Sports Pavilion at The Farmers Fair, Lake Perris       |
| Shasta District Fair, Anderson                 | Sports Pavilion, San Bernardino Cty. Fair, Victorville |
| Solano County Fair, Vallejo                    | Surfside Race Place at Del Mar, Del Mar                |
| Sonoma County Fair, Santa Rosa                 | Sycuan Gaming Center, El Cajon                         |
| Stanislaus County Fair, Turlock                | Viejas Casino & Turf Club, Alpine                      |
|  | Watch & Wager, Antelope Valley Fgds, Lancaster         |
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

### 2016 Sonoma County Fair Meet Commingled Locations

123bet.com	Grand River Raceway Teletheatr	Penn Account Wagering	Taunton Dog Track Inc.
AmWest Accounts	Gulfstream Park	Penn National	TBC Teletheaters AA
Arapahoe-Mile High	GWS German Tote	Philadelphia Park	Thistledown
Assiniboia Downs	Hastings Park	Philly Park ADW	Turf Paradise
Balmoral	Hoosier Park	Player Management Group C	TVG/Prairie Meadows ADW
Belterra Park	Horseman s Park	Pojoaque	Twinspires - Keeneland Select
Bet America	Idabet.com/eBet	Pony Bar St.Thomas	Twinspires Mountain View
Bettor Racing HV	Idaho Falls OTB	Portland Meadows	Vineland OTB
Canterbury Day	Indiana Downs	Potawatomi Casino/ OTB	Watch and Wager
Capital District OTB	Internet wagering - Capital	Premier Turf Club	Woodbine
Casino Association	Kentucky OTB	Presque Isle Downs	WY Downs Evanston OTB
Catskills OTB	Les Bois/Idaho	Racebets	Wyoming Downs
Century Downs On-Track	Lien Games	Randall James	Xpressbet Illinois
Connecticut OTB	Lincoln Race Course	Retama Park	Yonkers OTB
Connecticut OTB Acct Wagering	Louisiana Downs	RGS	
Delaware Park	Magna Bet	RGS Group 1	
EBet Online	Mahoning Valley RC	RGS Group 3	
Elite Turf Club 10	Marqnas Race Track	RGS Group 6	
Elite Turf Club 11	Maryland Jockey	River Downs	
Elite Turf Club 12	Meadows The	Rockingham Park	

Elite Turf Club 2	Mohegun Sun	Rockingham Park ADW	
Elite Turf Club 3	Monmouth Park	Royal Beach Casino	
Elite Turf Club 4	Nassau Regional OTB	Sam Houston	
Elite Turf Club 6	Nevada	Sanford OTB	
Elite Turf Club 9	New Mexico	SaratogaBets	
Emerald Downs	NJ Mobile	Scarborough	<b>Separate Pool Locations</b>
EmpireCityBets Yonkers	Northfield	Scioto Downs	Camarero (Puerto Rico)
Euro Offtrack	Northlands IVR	Seabrook Greyhound	Caymanas (Jamaica)
Evansville OTB	Northlands Park	Shoreline Star Greyhound Park	Codere (Mexico/Spain)
Fairgrounds ADW	NYRA	SocialGaming Technologies Inc	MIR Books (Caliente)
Favorites at Gloucester	NYRA ADW	Suffolk District OTB	NDS Books (Nevada)
Foxwoods	Oaklawn Park ADW	Suffolk Downs	Tabcorp (Australia)
Fraser Downs	OffTrackbetting.com	Sunland Park	Tattsbet (Australia)
Freehold	Panama	SunRay Park & Casino	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
**See Listing Above**

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern & Sports Bar, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Mission Viejo; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield; Mermaid Tavern, Thousand Oaks; Striders, San Diego**

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arlington Park	7/28 – 8/14	Selected Feature and/or stakes races
Canterbury Park	7/28 – 8/14	Selected Feature and/or stakes races
Charles Town	7/28 – 8/14	Selected Feature and/or stakes races
Delaware	7/28 – 8/14	Selected Feature and/or stakes races
Ellis Park	7/28 – 8/14	Selected Feature and/or stakes races
Emerald Downs	7/28 – 8/14	Selected Feature and/or stakes races
Evangeline Downs	7/28 – 8/14	Selected Feature and/or stakes races
Fingerlakes	7/28 – 8/14	Selected Feature and/or stakes races
Gulfstream	7/28 – 8/14	Selected Feature and/or stakes races
Indiana Downs	7/28 – 8/14	Selected Feature and/or stakes races
Louisiana Downs	7/28 – 8/14	Selected Feature and/or stakes races
Monmouth	7/28 – 8/14	Selected Feature and/or stakes races

CHRB-18 (Rev. 2/15)

Mountaineer	7/28 – 8/14	Selected Feature and/or stakes races
Penn National	7/28 – 8/14	Selected Feature and/or stakes races
Philadelphia Park/Parx	7/28 – 8/14	Selected Feature and/or stakes races
Prairie Meadows	7/28 – 8/14	Selected Feature and/or stakes races
Presque Isle Downs	7/28 – 8/14	Selected Feature and/or stakes races
Ruidoso	7/28 – 8/14	Selected Feature and/or stakes races
Saratoga	7/28 – 8/14	Selected Feature and/or stakes races
Suffolk Downs	7/28 – 8/14	Selected Feature and/or stakes races
Thistledown	7/28 – 8/14	Selected Feature and/or stakes races
Assiniboia	7/28 – 8/14	Selected Feature and/or stakes races
Australian Racing	7/28 – 8/14	Selected Feature and/or stakes races
Fort Erie	7/28 – 8/14	Selected Feature and/or stakes races
Hastings	7/28 – 8/14	Selected Feature and/or stakes races
Northlands Park	7/28 – 8/14	Selected Feature and/or stakes races
South American Racing	7/28 – 8/14	Selected Feature and/or stakes races
United Kingdom	7/28 – 8/14	Selected Feature and/or stakes races
Woodbine	7/28 – 8/14	Selected Feature and/or stakes races

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:  
N/A

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

N/A

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:  
 Association Veterinarian(s) – **Sarah Sporer, D.V.M.**  
 Clerk of Scales – **Kenneth Sjordal**  
 Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker-Bryant (Thoroughbreds)**  
 Film Specialist – **Cheryl White**  
 Horse Identifier – **Daryl Sparks**  
 Horseshoe Inspector – **Jackie Payton**  
 Paddock Judge – **Danny Winick**  
 Patrol Judges – **Myra Truitt & Cheryl White**  
 Placing Judges – **Steve Martinelli & Lisa Jones**  
 Starter – **Todd Stephens**  
 Timer – **Melody Truitt**
- B. Management officials in the racing department:  
 Director of Racing – **Stacey Lapham**  
 Racing Secretary – **Robert Moreno**  
 Assistant Racing Secretary – **Linda Anderson**  
 Paymaster of Purses – **Victoria Layne**  
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
**Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; [pdqcsr@comcast.net](mailto:pdqcsr@comcast.net); (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corporation USA – Bill O'Brien (Expires 8/16/16)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communication, Inc. – Jim Porep (Expires 4/30/2017)**  
**Equipment description on file with Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16<sup>th</sup> pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: 4/30/2017**

**14. SECURITY CONTROLS**

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Rebecca Bartling, CEO (707) 545-4218**

**Lt. John Stetsinger, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair.**

**Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.**

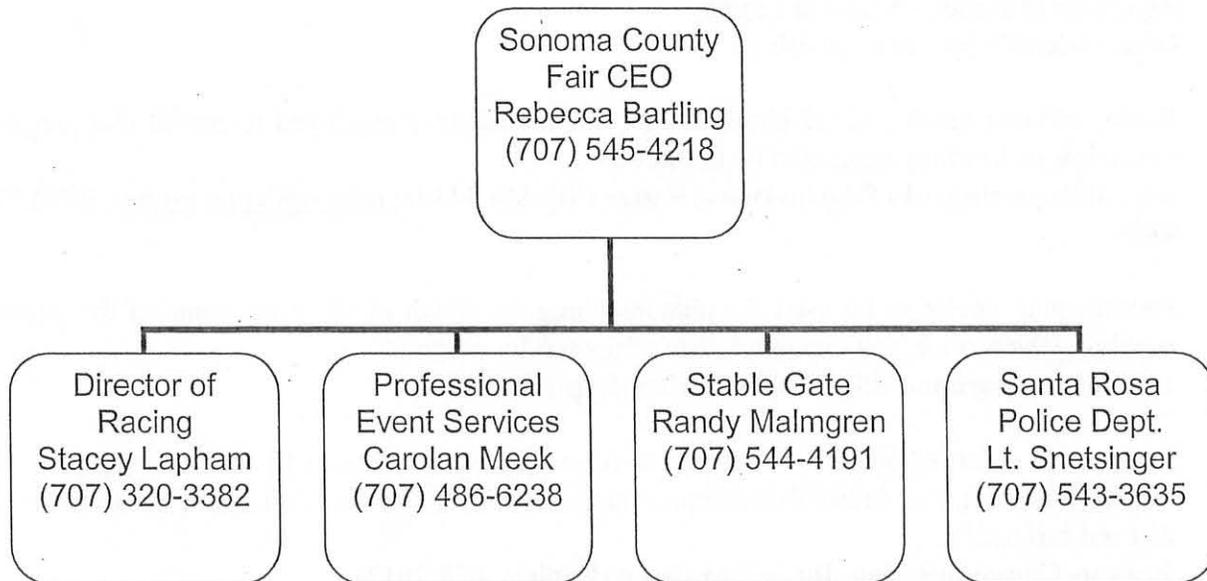
**Private security supplied by Professional Events Services:**

**Carolan Meek: (707) 463-1733 office; (707) 486-6238 cell**

**CC: (415) 336-4462**

**Randy Malmgren, Stable Manager: (707) 544-4191**

**Stable Gate: (707) 544-4191**



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.**

**Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

**No Graded Stakes**

A. Attach a plan for use of graded stakes or overnight races.

**N/A**

B. Number of security guards in the detention stall area during a 24-hour period.

N/A

C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**Will comply with and follow CHRB directives.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Will comply with and follow CHRB directives.**

C. Plan for detention stalls for repeat offenders.

**Will comply with and follow CHRB directives.**

D. Number of security personnel assigned to the TCO2 program.

**As directed by CHRB**

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Pegasus Communications provides and installs mobile surveillance equipment.**

**4 surveillance cameras monitoring this area**

## 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404;  
(707) 576-1365**

1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**See Attachment #1**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair**

**Westmed Ambulance Service**

**2424 Whipple Road**

**Hayward, CA 94544**

**(510) 504-3616**

**Golden Gate Fields**

**Turf Rescue LLC**

**4470 Hillsborough Drive**

**Castro Valley, CA 94546**

**(510) 581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Attached. The ambulance at Pleasanton has 1 EMT and 1 Paramedic, the ambulance at GGF also has 1 EMT and one Paramedic.**

C. Describe the on-track first aid facility, including equipment and medical staffing:

**See Attachment #2**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**David Fichman, M.D. (707) 829-1811**

**ATTACHMENT #1**

**14.A.1. Certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.**

See Letter dated March 28, 2016 from American Medical Response



Dean B. Anderson, General Manager  
Sonoma County

March 28, 2016

Becky Bartling, CEO  
Sonoma County Fairgrounds  
1350 Bennett Valley Road  
Santa Rosa, CA 95404

Ms. Bartling,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency.

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5.

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

A handwritten signature in black ink, appearing to be "D. Anderson", written over a horizontal line.

Dean B. Anderson  
General Manager



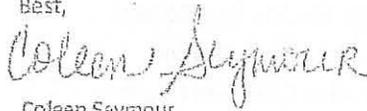
January 25, 2016

Coleen Seymour  
Westmed Ambulance Service  
14275 Wicks Blvd.  
San Leandro, Ca. 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda Certified through the Alameda County Emergency Medical Service Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions or concerns please feel free to contact me at [coleens@westmedambulance.com](mailto:coleens@westmedambulance.com).

Best,  
  
Coleen Seymour  
Director of Operations  
Westmed Ambulance  
510.504.3616

Westmed Ambulance Service  
14275 Wicks Blvd San Leandro, CA  
510.614.1420

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

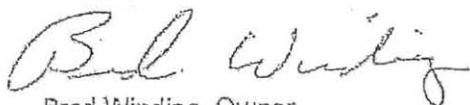
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 20, 2015

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$ \_\_\_\_\_ per hour subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner

**ATTACHMENT #2****14.C. Describe the on-track first aid facility, including equipment and medical staffing:****Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

**On-Track Staffing**

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

**Jockey Quarters Staffing**

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and personnel requiring non-emergency medical care.

**Grandstands First Aid Staffing**

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located on the lower level of the Racing Grandstands. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

**Location of nearest Emergency Room Facility (Hospital) and Fire Department**

Both the Emergency Room at Santa Rosa Memorial Hospital and Santa Rosa Fire Department are located less than 3 miles away from the Fairgrounds.

**Physical Resources**

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

**Ambulance**

See the following List of Supplies and Equipment

**Equine First Aid**

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

INVENTORY LIST READS FROM LEFT TO  
RIGHT IN EACH CABINET

**Cabinet 1**

1 ea Box of Gloves; S, M, L  
Hand Sanitizer

**Cabinet 2**

1 Stack Pillow Cases \*\*  
1 Stack Paper Sheets \*\*  
1 Pillow \*\*  
6 Fitted Sheets

**Cabinet 3**

1 Blood Draw Kit  
2 DOJ Blood Alcohol Kits  
2 ea Suction Catheter 6-18fr  
2 Urinals \*\*  
2 Bedpans \*\*  
2 Suction Tubing  
2 Hard Suction Tips  
2ea Suction Canisters & Lids

**Cabinet 4**

2 stacks Paper towels

**Cabinet 5**

*Temperature monitor top shelf*

**Drug Box**

1 Adenosine 6mg  
1 Adenosine 12mg  
4 Albuterol 2.5mg  
2 Atrovent 0.5mg  
1 Atropine 8mg  
2 Benadryl 50mg  
2 Epi. 1:1,000 1mg  
1 Epi. 1:1,000 30mg  
6 Zofran tablets  
6 Zofran vials or 1 MDV

**Top Shelf**

1 Bottle Peroxide  
1 Adult EZ IO  
1 Pedi EZ IO  
1 Glucagon 1mg  
1 Oral Glucose 24Gm  
10 Amiodarone 150mg  
1 Aspirin Bottle 81mg  
1 bottle Nitro. Tab 0.4mg

**Bottom Shelf**

6 Epi. 1:10,000 1mg  
2 Atropine 1mg  
2 Narcan 2mg

1 Dextrose 50%  
1 Calcium Chloride 1Gm  
1 Sodium Bicarb Pre-load or  
Vial w 60 cc syringe  
2 D10% setups

**Cabinet 6**

**Top Shelf**

1 Intubation Kit  
1 Chricothyrotomy Kit  
1 Thoracentesis Kit  
1 BVM Nebulizer with 2  
Albuterol, 1 Atrovent  
1 Adult BVM \*\*

**Bottom Shelf**

1 Medium CPAP  
1 Large CPAP or  
2 Sml adult SafeFlow  
2 Filterline AD/NEO  
4 Smart CAPNO AD/INT  
nasal cannula  
4 Hand Held Nebulizers with 2  
Albuterol/1 Atrovent  
4 ABT Masks, Adult  
6 Non Rebreathers, Adult  
8 Nasal Cannulas, Adult

**Cabinet 7**

6 Macro (10 drop) Sets  
6 Normal Saline 1000cc  
1 Secondary Medication Set  
1 Dial-A-Flo Regulator  
1 Dopamine 400mg Premix  
3 Micro (60 drop) Sets  
1 Normal Saline 100cc

**Cabinet 8**

1 Narcs box  
1 Knox box key

**Cabinet 9**

8 18g Angiocaths  
4 16g Angiocaths  
4 14g Angiocaths  
8 20g Angiocaths  
4 22g Angiocaths  
4 24g Angiocaths  
4 1cc Syringes  
8 3cc Syringes with twinpak  
4 5cc Syringes with twinpak  
4 10cc Syringes with twinpak  
8 IV Start Packs

\*\* ITEMS ARE  
CHP REQUIREMENT

25 Lancets  
1 box Glucometer Strips  
1 Sharp Shuttle  
2 rolls Transpore tape  
20 Alcohol Preps  
5 Prep Razors  
8 Twin Pak Tips  
4 Needles, 21g  
4 Filter Straws  
4 Lever Locks  
4 MAD nasal spray tips  
10 Saline Locks  
10 Normal Saline 10cc

**Cabinet 10**

**Top Shelf**

4 Cold Packs  
4 Hot Packs  
2 Burn Sheets  
2 10"x30" Trauma Bandages \*\*  
3 Emesis Bags  
3 Emesis Basins \*\*

**Bottom Shelf**

1 Pack 4"x4" non-sterile  
1 Pack 2"x2" non-sterile  
6 Roller Gauze 4" \*\*  
12 Abdominal pads  
5"x9" or 8"x10" \*\*  
12 Sterile 4"x4" \*\*  
1 Box Band Aids  
4 Occlusive Dressings  
2 Rolls 2" cloth tape \*\*  
1 Roll 1" paper tape  
4 Nose Clips  
1 pr. Trauma Shears  
4 Triangular Bandages  
1 SAM Pelvic Binder Splint

**Cabinet 11**

1 Disinfectant Spray  
1 Glass Cleaner  
1 tub Germicidal Wipes  
4 Back Board Straps  
4 Towels \*\*

\*\* CHP Requirement

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

**Cabinet 12**Top Shelf

2 CHP Blankets  
 1 ECG Cable with 12 lead attachments  
 2 Defibrillator Patches adult  
 2 Dispo PulseOx Ad/Neo  
 1 Reusable sensor & base cable  
 2 packages EKG Electrodes, Adult  
 2 EKG Paper  
 1 BP Cuff, Thigh

**Cabinet 13**

4 Head Beds \*\*  
 4 C-Collars Adult \*\*  
 2 C-Collars Pedi.  
 2 Soft C-Collars

**Cabinet 14**

3 Personal Protection Kits  
 3 ea N95 Masks S,M,L  
 3 ea P100 Masks S,M/L, XL  
 2 sets Eye Protection  
 2 sets Ear protection

**Cabinet 15**

5 Surgical masks  
 2 Spit socks  
 2 sets Disposable Restraints \*\*  
 5 Large Bio Bags  
 5 Yellow Hazard Bags  
 5 Patient Belonging Bags

**Action Area**

1 Suction Canister with Lid, Tubing & Hard  
 Suction Tip  
 1 Garbage can

**Main Oxygen storage**

1 "H" cylinder  
 1 spare "D" cylinder  
 1 spare "D" cylinder with O2 Regulator  
 attached

**Bulkhead area**On Top

1 Portable Suction w/ Lid \*\*  
 1 Suction Tubing \*\*  
 1 Hard Suction Tip \*\*

**Bulkhead Cabinet**Top Shelf

4 Sterile Water \*\*  
 1 OB Kit \*\*  
 2 Teddy Bears  
 1 Pedi-mate (car Seat)  
 1 Pediatric Warmer  
 1 Pediatric Bag

Bottom Shelf

8 blankets  
 1 Fine tip sharpie  
 Cabinet Seals  
 1 MCI Kit  
 1 ream Paper  
 2 Emergency Food rations

**Stair Chair area**

2ea Cardboard Splints,  
 S, M, L \*\*  
 1 Stair-chair

**Right Rear Door**

2 Nasal Cannulas  
 2 Non Rebreathers  
 1 Hand Held Nebulizer with 2  
 Albuterol, 1 Atrovent  
 1 Adult ABT mask

**Left Rear Door**

2 Fitted Sheets  
 4 Sheets  
 4 Pillow Cases

**Left Rear Corner**

1 Broom  
 1 Pediatric Board

**Head of Bench Seat**

Sharps Container  
 2 D Tanks (08 sprinters)

**Behind Bench**

1 Box Vionex wipes  
 1 Trauma Sheers \*\*  
 1 BP Cuff Adult \*\*  
 1 Stethoscope \*\*  
 1 Clipboard with RAS/AMA and  
 Signature forms

**Foot Of Bench seat**

1 Garbage can  
 2 Back Boards

**1 Breakaway Flat****Under Bench Seat**

2 Helmets  
 2 prs. Leather Gloves  
 1 KED \*\*  
 1 Sager Traction Splint \*\*  
 1 Carry-all tarp  
 1 Triangle Reflector

**Gurney**

3 Sets of seat belts including  
 shoulder straps  
 1 Monitor/Defibrillator  
 1 Pillow and Pillow Case  
 1 Blanket, Sheet and Fitted  
 Sheet  
 1 Blue Bag  
 1 D-Tank with Oxygen Bag  
 1 D-Tank in Headrest (optional)  
 1 Set Wrist restraints  
 1 Set Ankle restraints

**Left Gurney Pouch**

2 Eye Protection  
 2 ea N95 Mask, S, M, L,

**Right Gurney Pouch**

2 packages Disposable  
 Restraints  
 1 Spit Sock  
 1 Slider  
 5 Surgical Masks

**Front Cab**

1 CHP identification permit \*\*  
 1 DMV Registration \*\*  
 1 Insurance Certificate \*\*  
 1 Box of Gloves per  
 crewmember  
 1 Map Book  
 1 Emergency Response  
 Guidebook  
 1 Fastrak Bridge pass  
 1 Phone & Charger  
 1 Spotlight  
 2 Flashlights  
 1 Fire Extinguisher  
 1 Gas Card  
 1 Disaster Preparedness  
 Guidelines Card  
 1 EMD guidebook

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### 1 Metal Clipboard containing:

- 5 Physician On Scene Forms
- 5 Blood Draw forms
- 1 ALS Ambulance Inventory
- 1 Temperature Monitor Policy

## Bags & Kits

### Blue Bag

#### Clear pouch

- 3 RAS/AMA forms

#### Top Compartment

- 1 BP Cuff, Adult\*
- 1 Stethoscope\*

#### Left Outside Compartment

- 1 EZ IO Kit

#### Right Outside Compartment

- 1 BVM, Adult

#### Middle Outside Compartment

- Glucometer
- 1 Sharp Shuttle
- 1 Bandage Kit
- 1 10"x30" trauma dressing
- 1 Soft Cervical collar

#### Top Inside Compartment

- 1 CPAP Size M
- 1 Chricothyrotomy Kit
- 1 Thoracentesis Kit
- 1 BVM Nebulizer with 2 Albuterol, 1 Atrovent
- 1 Defibrillator Magnet

#### Middle Inside Compartment

- 1 Intubation Kit
- 1 IV Kit
- 1 Atropine 1mg
- 3 Epi. 1:10,000 1mg
- 1 D10 Setup
- 1 Narcan 2mg
- 1 Normal Saline 100cc
- 1 Secondary Medication Set
- 1 Sodium Bicarb vial with 60cc syringe OR pre-load
- 1 Glucagon 1mg

### Drug Box

- 1 Adenosine 6mg
  - 1 Adenosine 12mg
  - 3 Amiodarone 150mg
  - 2 Albuterol 2.5mg
  - 1 Atrovent 0.5mg
  - 1 Benadryl 50mg
  - 1 Epi. 1:1,000 1mg
  - 1 Oral Glucose
  - 2 Zofran tablets
  - 1 Zofran vials
- ### Syringe Baggie
- 3 ea Syringes, 1,3,5 & 10cc

### Needle Baggie

- 3 ea. Needles, 21g
- 3 Filter Straws
- 3 Twin Pak Tips
- 3 Lever Locks
- 3 MAD Nasal Spray tips
- 3 10cc Normal Saline Flush

## Oxygen Bag

- 1 Oxygen, D Tank
- 1. Nasal Cannula, Adult
- 1 Non Rebreather, Adult
- 1 Handheld Nebulizer with 2 Albuterol, 1 Atrovent
- 1 Smart CAPNO AD/NEO nasal Cannula
- 1 CPAP Size L
- 1 Neb Mask, Adult
- 1 O2 Wrench

## Pediatric Bag

### Top Outer

- 1 Newborn Foil Bunting
- 2 Newborn Stocking Caps
- 1 OB Kit with Bulb Syringe

### Left Outer

- 2 Nasal Cannula Pediatric
- 2 Non Rebreather, Pediatric

### Right Outer

- 2 Hand Held Nebulizer
- 2 ABT Mask, Pediatric

### Front Outer

- 10 ECG Electrodes, Pediatric
- 1 Defibrillator Patches, Pedi
- 1ea Child & Infant BP cuff

### Clear Inside Flap

- 1 Broselow Tape
- 2 three way stopcocks
- 1 Thermometer
- 3 Thermometer Sheaths
- 3 KY Jellies

### Orange Inside Pouch

- 1 Laryngoscope Handle, Pediatric
- 1 ea Straight Blades, 0-2
- 1 ea Curved Blades, 1 & 2
- 2 Batteries, AA

### Green Inside Pouch

- 1 ea NPAs, 20-24
- 1 ea OPAs, 50-70mm
- 3 KY Jellies

### Yellow inside pouch

- 1 Mask Infant
- 1 Mask neo
- 1 IV armboard, short

### Red Inside Pouch

- 2 H set Filterline INF/NEO
- 2 Smart CAPNO PEDI Nasal cannula
- 2 Dispo pulseOx Infant

### Blue Inside Pouch

- 1 ea ET Tubes, 2-4.5
- 1 Pediatric Stylette
- 1 ET Tube Holder, pediatric
- 1 Meconium Aspirator
- 1 Magill Forceps, Pediatric

\*\* CHP Requirement

# Sonoma Life Support Vehicle Inventory

## ALS Ambulance-Sprinter

### Inside Loose

1 BVM Toddler

### Narcs Box

300 mg Fentanyl  
15 mg Versed OR Valium  
2 3cc Syringes  
2 Twinpaks  
1 MAD nasal spray tip

### Monitor/Defibrillator

#### Left Outside Compartment

1 Modem

#### Left Compartment

1 ECG 4 Lead Cable  
1 BP Cuff (Adult) & Hose  
1 Pulse Ox Sensor Base cable  
1 Pulse Ox Sensor, Adult

#### Top Compartment

1 Aspirin Bottle 81mg  
1 Nitro0.4mg  
1 Thermometer  
1 ECG 12 Lead Cable with  
Electrodes Attached  
2 Prep Razors  
2 Benzoin Tincture

#### Monitor continued:

#### Rear Compartment

1 Spare Mon. Batt.  
1 Paper Roll, Spare  
1 Disp. Pulse Ox, Adult/Neonate  
1 Disp. Pulse Ox, Pedi

#### Right Compartment

1 Defibrillator/Pacing Cable  
2 Defibrillator Patches, Adult  
1 Defibrillator Patches, Pedi  
1 Blood Pressure Cuff, Adult Large  
1 Blood Pressure Cuff, Pedi  
1 Blood Pressure Cuff, Infant

30 ECG Electrodes, Adult

10 ECG Electrodes, Pediatric

### Intubation Kit

1 Laryngoscope Handle,  
Adult  
1 ea Straight Blade # 2, 3  
1 ea Curved Blades, 3, 4  
1 ea cuffed ET Tubes, 5-9  
1 ea King Tubes, 3, 4, 5  
1 Adult Stylette  
1 Syringe, 10cc  
1 Syringe, 60cc  
1 ET Tube Holder, Adult  
1 FilterLine, AD/NEO  
1 Flex-Guide Tube Introducer  
1 Magill Forceps, Adult  
1 ea NPAs, 24-36fr  
1 ea OPAs, 50-100mm  
6 KY Jellies  
1 Tape, 1" Cloth  
2 Batteries, C

### EZ IO Kit

1 EZ-IO Driver  
1 EZ-IO Adult needle set  
1 EZ-IO Pedi needle set  
2 EZ-Connect extension set  
2 EZ-IO wristband  
1 IV start kit  
1 Quick Reference Card  
1 Lidocaine preload  
1 NS preload 10cc

### IV Kit

2 ea Angiocaths, 14-16-18-20-22  
2 IV Start Pack  
1 10cc Normal Saline  
1 Saline Lock  
1 Normal Saline 1000cc  
1 Macro (10 drop) Set  
1 Sharp Shuttle  
1 Pillow, Case, Paper

### Bandage Kit

2 Triangular Bandage  
2 inch stack non-sterile 4"x4" in  
ziplock  
1 Occlusive Dressing  
1 Abdominal Pad  
1 Roller Gauze  
1 Tape 1" Transpore  
1 Tape 2" Cloth  
1 Tourniquet  
1 Ice Pack  
1 Nose Clip  
1 Trauma Shears

### MCI Kit

15 Triage Tags  
Permanent Markers  
Pencil  
Pens  
Legal Note Pad  
Medical Group Position Outline  
CVEMSA Patient Destination  
Tracking Forms

### Glucometer kit

1 Glucometer  
1 Glucometer canister strips  
5 Lancets  
5 Band Aids  
5 2x2's non-sterile  
5 Alcohol Preps

### Blood Draw Kit

3 Vacutainer sleeves  
3 Butterfly needle sets  
3 Tourniquets  
10 non-sterile 2x2s  
5 bandaids  
5 Iodine Preps  
1 Sharp Shuttle

### D10 Setup

*Tape items together with  
Transpore tape*  
1 250cc bag D10 solution  
1 10-drop tubing

### Right Outside Compartment

\*\* CHP Requirement

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405**  
**Emergency Room (707) 525-5207**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attachment #3 (English); Attachment #4 (Spanish)**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Stacey Lapham – Director of Racing**  
**Clifford Sanders, Superintendent, Sonoma County Fairgrounds**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Attached**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured – See Attachment #6**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**See Attachment #6**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

### ATTACHMENT #3

#### 14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

##### (English) Sonoma County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

##### Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

##### Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

##### Racing Staff / Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

##### Fair Association Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

**Announcer**

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

**Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

**All Department Heads**

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

#### ATTACHMENT #4

**14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):**

##### Procedimiento en caso de Accidente en Sonoma County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

**El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

**Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia easguarar el area para que la ambulancia salga a la escenda del accidente y prevenir que transcutentes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

**Personal de Carreras / Veterinario del Hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decider si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

**Personal de la Feria / Fair Association Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### **Locutor**

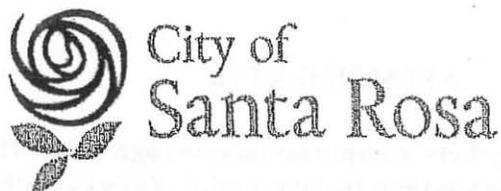
El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### **Gerencia**

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompanar a los familiares a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### **Todos los Jefes de Departamento**

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



May 5, 2016

Becky Bartling, CEO  
Sonoma County Fairgrounds  
1350 Bennett Valley Road  
Santa Rosa, CA 95404

**FIRE DEPARTMENT CLEARANCE - 1350 BENNETT VALLEY ROAD**

As required by California Code of Regulations, Title 4, California Horse Racing Board (CHRB) Regulation #1927, the Santa Rosa Fire Department grants fire safety clearance for the Grandstand and Stable areas.

In accordance with the June 23, 1993, letter from CHRB Assistant Secretary Harold L. Diaz, the automatic fire alarms are not required to be installed as specified in CHRB Regulation #1927.

This clearance letter addresses the requirements of the CHRB "Reasonable Standard of Fire Safety" with exception to the automatic fire alarms. Our follow-up to the pre-inspections will continue as a part of our pre-Fair activities. Please complete any items identified in your quarterly and annual inspections prior to the commencement of the CHRB sponsored events.

If you should have any questions please contact me at (707)543-3542 or by e-mail to [plowenthal@srcity.org](mailto:plowenthal@srcity.org)

PAUL LOWENTHAL  
Assistant Fire Marshal

c: Cliff Sanders, So. Co. Fair

CHRB2016.LTR

**ATTACHMENT #6**

**14.J. Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.**

Certificate will be mailed directly from County of Sonoma (see attached letter dated March 7, 2016)

Opportunity. Diversity. Service.



COUNTY OF SONOMA

HUMAN RESOURCES DEPARTMENT

Christina Cramer, HR Director

Employment • Classification • Employee Relations • EEO Training • Risk Management

County of Sonoma  
Human Resources - Risk Management Division  
575 Administration Dr. Ste. 116C  
Santa Rosa, CA 95403  
(707) 565-2942

**Subject:** County of Sonoma Evidence of Self-Insurance Coverage Year 2016  
**Covered Entities:** County of Sonoma, Sonoma County Agriculture Preservation and Open Space District, Sonoma County Community Development Commission, Sonoma County Fair and Exposition, Inc., Sonoma County Harvest Fair, Sonoma County Water Agency  
**Policy Periods:** GL and WC - July 1, 2015 through July 1, 2016

To Whom It May Concern:

The County of Sonoma is self-insured for general and automobile liability covering bodily injury and property damage, and maintains a self-insured retention of \$1,000,000 per occurrence. Excess liability coverage is obtained through participation in the California State Association of Counties Excess Insurance Authority (CSAC-EIA) with limits in excess of \$1,000,000 with no aggregate. Additionally, this coverage includes Errors & Omissions.

The County of Sonoma is permissibly self-insured for workers' compensation for its employees and volunteers. Excess workers' compensation coverage with statutory limits is obtained through participation in the CSAC-EIA.

Sincerely,

Marciá Chadbourne  
Risk Manager

**Letter Requested By**

**Name:** Stacey Lapham

**Department:** Sonoma County Fair & Exposition, Inc.

**Date:** March 7, 2016

**Certificate Holder/Contract Name:** California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300, Sacramento, CA 95825 / 2016 Sonoma County Fair Horse Racing July 28 - August 16, 2016

Opportunity. Diversity. Service.



COUNTY OF SONOMA

HUMAN RESOURCES DEPARTMENT

Christina Craner, HR Director

Employment • Classification • Employee Relations • EEO Training • Risk Management

County of Sonoma  
 Human Resources - Risk Management Division  
 575 Administration Dr. Ste. 116C  
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**Subject:** County of Sonoma Evidence of Self-Insurance Coverage Year 2016  
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**Policy Periods:** GL and WC - July 1, 2015 through July 1, 2016  
 GL and WC - July 1, 2016 through July 1, 2017 - pending binding

To Whom It May Concern:

The County of Sonoma is self-insured for general and automobile liability covering bodily injury and property damage, and maintains a self-insured retention of \$1,000,000 per occurrence. Excess liability coverage is obtained through participation in the California State Association of Counties Excess Insurance Authority (CSAC-EIA) with limits in excess of \$1,000,000 with no aggregate. Additionally, this coverage includes Errors & Omissions.

The County of Sonoma is permissibly self-insured for workers' compensation for its employees and volunteers. Excess workers' compensation coverage with statutory limits is obtained through participation in the CSAC-EIA.

Sincerely,

Marcia Chadbourne  
 Risk Manager

Letter Requested By

Name: Stacey Lapham

Department: Sonoma County Fair & Exposition, Inc.

Date: May 3, 2016

Certificate Holder/Contract Name: California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300, Sacramento, CA 95825 / 2016 Sonoma County Fair Horse Racing July 28 - August 16, 2016

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Ovations	Nick Nicora	4501 Pleasanton Avenue Pleasanton, CA 94566
Food & Non-Alcoholic Beverages	Big Boys BBQ (3 locations)	Gus Lopez	2933 Montgomery Dr., Santa Rosa, CA 95405
	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482
	Five Star Catering	Don Delahoyde	585 North State Street Ukiah, CA 95482
Programs	Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 95466
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Chris Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System – TBA	Currently Out for Bid		
Armored Car Service	NCOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes  No

## 17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

**See Attachment #7**

- B. Promotional/ Marketing budget for this race meeting: **Exceeds \$330,000**

Promotional/Marketing budget for prior race meeting: **Exceeded \$330,000**

- C. Number of hosts and hostesses employed for meeting: **N/A**

**Upstairs Grandstand Upper Level Guest Information Booth; wait service in Box Seats and Trackside Dining areas.**

**In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine Attendants.**

- D. Describe facilities set aside for new fans:

**See Attachment #8**

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: **See Attachment #9**

1. Horsemen
2. Fans
3. Facilities in the restricted areas

## ATTACHMENT #7

### 16. A Promotional and marketing plans for the race meeting:

The total advertising, publicity and promotions budget for the 2016 Fair and Race Meet exceeds \$330,000. Although these funds are used to promote all aspects of the three week Fair via traditional advertising, digital advertising, social media marketing, grassroots marketing, publicity, sponsorships/partnerships, box seat sales, educational programs, non-profit outreach, and promotions; 90% of these efforts involve promotion of live racing.

#### Specific Wine County Racing Promotions:

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 53,000 constant contact subscribers.
- On-line discounted group ticket sales.
- Improved signage at the entrance to the Race Grandstands emphasizing “free” admission to the horse races and welcoming patrons to the venue.
- Our Racing Grandstands Promotion Coordinator improves the quality of our product and keeps race fans entertained and at the races during our meet.
- Interactive drawings and contests between races to keep race fans at the races.
- Promotion associates in the Grandstands assisting fans and first time betters.
- Free daily racing seminars, including giveaways each fair-day, geared at educating the new race fan.
- Signage promoting reserved seats and free daily racing seminars.
- On track souvenir giveaways during the meet.
- Specific Facebook & Twitter posts that promote Wine Country Racing at the Sonoma County Fair. Interactive social media campaigns.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchases in tables of four and are located on the North side of the grandstand box seat area. New in 2016 a buffet option will be offered with the trackside dining package. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and definitely have brought new racing fans to Wine Country Racing.
- Wine Country Hat Day – includes gate admission, grandstand seating, race program, hat competition, and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.
- Be An Owner-for-a-Day program where fans get randomly selected to be in the paddock

for their assigned race and get their picture taken with the winning horse.

- TVG Coverage of early and late Pick 4's.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. We also offer live music at the conclusion of the races on key race days.

### **Third Week of Racing at the Sonoma County Fair's Wine Country Racing**

**Fair Like Activities to promote On-Track Attendance and Fan Development** - Complimentary activities that will be beneficial to our racing program. The three-day event we are planning for our third week of racing (Friday, August 12<sup>th</sup> through Sunday, August 14<sup>th</sup>) may help generate new fans and create a unique event.

- On Saturday, we will be working with Lagunitas Brewing Company to be our anchor participant in a Beerfest-type activity that promotes the 16 local breweries in Sonoma County that do not get to "play" very effectively at County Fair. Their establishment in Sonoma County has a unique attraction program and they have a cult-like following. We are anticipating that this partnership will bring new faces to racing.
- Concert after the races on Friday with popular band. Pre-fair promotion to the band's fan base.
- Derby Dog Dash on Sunday
- Food Truck Festival on Sunday
- Planning another cross promotion with a Sonoma County casino to joint market the weekend.
- Free parking and fairgrounds admission will be in place during our third week of racing.
- This third week of racing will be marketed heavily during the two previous weeks of racing including "bounce back" offers to fairgoers and giveaway promotions.

**ATTACHMENT #8****16.D. Describe facilities set aside for new fans:**

- Admission gate distribution of race coupons or vouchers to encourage fairgoer to go to the races during their fair visit.
- Promotion Associates in the Grandstands assisting fans and first time betters.
- Signage promoting reserved seats and free daily racing seminars.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Fair representatives will be visiting service organizations to speak about Horse Racing at the Fair.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by industry professionals and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Returning promotions and information services include novice fan information booth, staffed featuring wagering information, terms and interactive items.
- Wine Country Hat Day – includes gate admission, grandstand seating, race program, hat competition, and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. There is also live music at the conclusion of the races each day.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchased in tables of four and are located on the North side of the grandstand box seat area. New this year we are offering a buffet meal with purchase of trackside dining package. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each. Both venues have been very popular and definitely have brought new racing fans to Wine Country Racing.

**ATTACHMENT #9****1.6.E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:****1. Horsemen**

- Added shade trees to VIP Horsemen's Parking Lot inside the horsemen's gate and will continue to offer shuttle service from lot to racing office and grandstand areas.
- Additional rock and improvement have been added to the horsemen's trailer park.

**2. Fans**

- Ongoing enhancements to the Winners' Circle and finish line will continue to be implemented.
- Additional ADA compliant wagering areas were added for self service terminals and teller counters.
- All grandstand restrooms have been upgraded to meet ADA standards.
- Enhancements to grandstand designated box areas for trackside and paddock dining experiences.
- Additional sunlight protection in upstairs mezzanine for patrons and mutuel clerks.
- Added more television monitors to all patron areas in grandstand.

**3. Facilities in the restricted areas**

- Continue to extend the fairgrounds recycling program into the restricted racing areas.
- Ongoing maintenance and improvements in the barn/restricted area including new electrical wiring and repairs to roadways, barns, and gutters.

**18. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 12.00
Advanced Sale Tickets (On-line)	\$ 9.00
Fair Admission/Children (6-12)	\$ 6.00
Fair Admission/Seniors	\$ 12.00
Fair Admission/Presale Discount	\$ 9.00
Admission (clubhouse)	\$ N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	\$ N/A
Programs/on track	\$ 2.50
Programs/off track	\$ 2.50

- B. Describe any "Season Boxes" or other special accommodation fees:  
**Lower box seats \$135/seat season, Upper box seats \$105/seat season.**  
**Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.**

- C. Describe any "package" plans such as combined parking, admission and program:  
 N/A

**19. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:  
**Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **100**
- C. Number of restrooms available on the backstretch of the racetrack:  
**Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,143.9 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue**
- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**  
The percent of cross slope in the center of the turns is: **5 ½ % Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Stacey Lapham**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

**22. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**AMRA, ARAC, TOC, CTT & PCQHRA - attached**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may

CHRB-18 (Rev. 2/15)

result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

**No Exceptions**

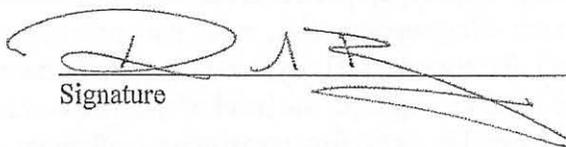
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Rebecca Bartling  
Print Name

Sonoma County Fair CEO  
Print Title



Signature

April 27, 2016  
Date

STAFF ANALYSIS  
May 26, 2016

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE RACE & SPORTS AUTHORITY, LLC IN BONITA, CALIFORNIA, FOR A PERIOD OF UP TO FIVE YEARS

Race & Sports Authority, LLC (RSA) filed an application for a license to operate a minisatellite wagering site; a name for the facility has not yet been chosen. The RSA minisatellite application proposes to begin operations July 1, 2016 through July 1, 2021, for a period of up to five years.

- RSA is a restaurant located in Bonita, California. The proposed wagering site will be located in the southern zone.
- RSA has provided this is a new establishment and there are no prior annual financial statements available. In lieu of financials, a business plan projecting one year was provided.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the board.

- Southern California Off Track Wagering, Inc. has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 miles of the applicant.
- RSA has contracts/agreements with the following racing associations:

California Authority of Racing Fairs  
Del Mar Thoroughbred Club  
Los Angeles County Fair Association  
Los Alamitos Racing Association  
Los Angeles Turf Club  
Los Alamitos Quarter Horse Racing Association  
Pacific Racing Association  
Watch and Wager.com LLC

- Applicant proposes to operate minisatellite wagering site during racing hours, Wednesday through Sunday, plus holidays.
- Staffing: Mutuel Supervisor or clerks to be determined by attendance.
- Estimated number of pari-mutuel terminal machines available is 10; Seating Capacity is 175; the number of tables in the minisatellite wagering area is 26. Twenty-two large television monitors will be installed in the restricted area. Roberts Communication Network will provide the satellite receivers and decoders.

Specific information **still needed** to complete this application include:

1. Contracted Association Information for Sonoma County Fair

RECOMMENDATION:

If the application is considered for approval, staff recommends an approval contingent upon the submission of outstanding items.

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

**Part I:** To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

**Part II:** To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

**Part III:** To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I**  
**MINISATELLITE WAGERING APPLICANT INFORMATION**

**APPLICANT INFORMATION**

Name of applicant: Race & Sports Authority, LLC		
The applicant is:		
<input type="checkbox"/> Racing Association	<input type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Fair	<input checked="" type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Federally Recognized Indian Tribe	<input type="checkbox"/> Other Business Entity	
Facility street address: 4110 Bonita Road, Bonita, CA 91902		
Mailing address (if different from above): 3691 Via Mercado, #18, La Mesa, CA 91941		
E-mail address: dennyk14@cox.net		
City: La Mesa	State: CA	Zip Code: 91941
Phone: (619) 884-1291	Fax: (619) 660-0597	Website:
County: San Diego	Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)		

**CONTACT PERSON**  
 (Authorized Representative)

Name and title of the contact person: Demosthenis S. Kanakaris, Member		
Business street address: 3691 Via Mercado#18, La Mesa, CA 91941		
Mailing address (if different from above): Same		
City: La Mesa	State: CA	Zip Code: 91941
County: San Diego		
Phone: (619) 884-1291	E-mail: dennyk14@cox.net	Fax: (619) 660-0547

Dates during which the applicant proposes to operate as a minisatellite wagering facility: July 1, 2016 through expiration of license

**NOTICE TO APPLICANT:** If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes  No

Do you currently operate a licensed gaming operation in California or another state? Yes  No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

County: \_\_\_\_\_

Zone Location:  
 North  South  Central   
 (To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes  No

If yes, provide the following if different from above:

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Racing Jurisdiction: \_\_\_\_\_

**BUSINESS STRUCTURE**

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
--	--	--

Registered Business Name: Race & Sports Authority, LLC

Fictitious Business Name: n/a

Address: 4110 Bonita Road, Bonita, CA 91902

E-mail Address: dennyk14@cox.net

City: Bonita State: CA Zip Code: 91902

Phone: (619) 884-1291 Fax: (619) 660-0547

State where registered or Articles of Organization are filed: California Registry or File number: 201516910372

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Demosthenis Kanakaris (Sole Member)	3691 Via Mercado, La Mesa, CA 91941	100%	
Brandon Zennedjian (Manager)	3691 Via Mercado, La Mesa CA 91941	0%	

Are shares listed for public trade?  
 Yes  No

If yes on what stock exchange? n/a

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

**All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.**

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. New Entity, no financial statements to date. Annual handle is forecasted to be \$7,050,000, Personal funding will be used to operate the facility.

**MANAGEMENT AND STAFF**  
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Demosthenis Kanakaris	Sole Member
Brandon Zennedjian	Manager

**ASSOCIATION CONTRACT/AGREEMENT**

Name(s) of racing association(s) with whom you intend to have a contract or agreement:

All California Racing Associations-see Exhibit A

Addresses of racing association(s):

See Exhibit A

Racing association(s) phone numbers:

See Exhibit A

Proposed contract dates: From: \_\_\_\_\_ To: \_\_\_\_\_ (inclusive). Through expiration of license

---

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

---

**CHRB CERTIFICATION**

Application filed on: 4/25/16

30-day Notice Letter: 4/28/16

Fee received by: *UK*

Approved on:

Reviewed by: *UK*

License number issued:

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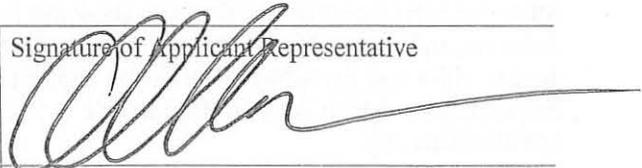
**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name

Demosthenis Kanakaris

Signature of Applicant Representative



Title

Sole Member

Date:

05/05/2016

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

### CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

California Authority of Racing Fairs  
 1776 Tribute Road, Sacramento, CA 95815

Telephone:

(916) 927-7223

Fax number:

(916) 263-3341

Racetrack name:

Ferndale, Fresno, Pleasanton, Sacramento and Stockton

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Christopher Korby	Signature of association representative <i>Christopher Korby</i>
Title Executive Director	Date: May 19, 2016

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHR-228 (New 11/08)

**PART II**

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Del Mar Thoroughbred Club  
 2260 Jimmy Durante Blvd, Del Mar, CA 92014

Telephone: (858) 755-1141

Fax number: (858) 794-1007

Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
 Josh Rubinstein

Signature of association representative

Title  
 COO

Date:

5/18/16

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles County Fair Association  
 1101 W. McKinley Avenue, Pomona, CA 91768

Telephone: (929) 865-4203

Fax number: (909) 865-2481

Racetrack name: Los Angeles County Fair, racing at Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Michael D. Seder	Signature of association representative 
Title CFO	Date 5/18/16

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Alamitos Racing Association  
 4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
 Brad McKinzie

Signature of association representative

Title  
 General Manager

Date:

*Brad McKinzie*  
 5/18/16

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Los Angeles Turf Club, Inc.  
 285 W. Huntington Drive, Arcadia, CA 91007

Telephone: (626) 574-7223

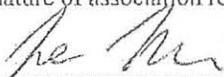
Fax number: (626) 821-1514

Racetrack name: Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
 Joe Morris

Signature of association representative



Title  
 Senior Vice President, West Coast Operations

Date:

5-18-16

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

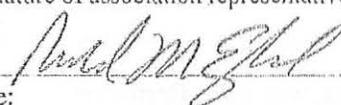
Name and mailing address of association: Los Alamitos Quarter Horse Racing Association  
 4961 Katella Avenue, Los Alamitos, CA 90720

Telephone: (714) 820-2800

Fax number:

Racetrack name: Los Alamitos Race Course

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Brad McKinzie	Signature of association representative 
Title General Manager	Date: 5/10/16

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Pacific Racing Association  
 1100 Eastshore Highway, Albany, CA 94706

Telephone: (510) 559-7300

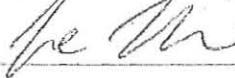
Fax number: (510) 559-7465

Racetrack name: Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
 Joe Morris

Signature of association representative



Title  
 Senior Vice President, West Coast Operations

Date:

5-18-16

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-228 (New 11/08)

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association: Watch and Wager.com, LLC  
20 California Street, 7<sup>th</sup> Floor, San Francisco, CA 94111

Telephone: (916) 800-1395

Fax number: (916) 800-1395

Racetrack name: California State Fair & Exposition – Cal Expo Harness

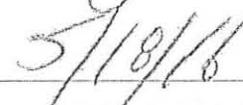
Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name  
Ben Kenney

Signature of association representative

Title  
CFO

Date:

**PART III**  
**SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

---

**I. OPERATION OF THE MINISATELLITE WAGERING FACILITY**

---

Simulcast organization engaged by the association to conduct simulcast wagering: SCOTWINC

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. To be submitted under separate cover

Submit a copy of each horsemen's written approvals. To be submitted under separate cover

Hours for operation of the facility: 9:00 am to 11 pm (Mon-Thur) 8:00 am to 1:00 am (Fri-Sun)

Hours for operation of the minisatellite wagering site: Exhibit A

---

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): None expected

---

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): Exhibit A

---


List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See Exhibit A

---

Estimated number of pari-mutuel terminals machines available: Ten

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). See Exhibit A

---

**II. SUPERVISION, SECURITY AND FIRE PREVENTION**

Changes to management personnel and minisatellite manager(s)  
must be immediately reported to the Board.

---

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:  
Demosthenis Kanakaris, Brandon Zennedjian

Name and Title	CHRB License No. and Expiration Date
Randy Hartzell, Director of Mutuels, representing SCOTWINC	#306778, expires 2/2017



## CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

**P.O. Box 254767**  
**Sacramento, CA. 95865**  
**Phone (916) 263-7888**  
**Fax (916) 263-7887**  
**Website: [www.chhaonline.com](http://www.chhaonline.com)**

**David Neumeister, President**  
**Jim A. Perez, Executive Director**

May 10, 2016

Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Race & Sports Authority, LLC (Bonita) Minisatellite Facility

Dear Ms. Houle,

The California Harness Horsemen's Association approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,

A handwritten signature in black ink, appearing to read "Jim A. Perez", with a long, sweeping flourish extending from the end of the signature.

Jim A. Perez  
Executive Director

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager



## Pacific Coast Quarter Horse Racing Association

May 10, 2016

Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Race & Sports Authority, LLC (Bonita) Minisatellite Facility

Dear Ms. Houle,

The Pacific Coast Quarter Horse Racing Association approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,

Dominic Alessio  
President

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager

May 18, 2016

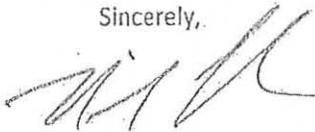
Ms. Laurel Houle  
Regulations Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite #300  
Sacramento, CA 95825

RE: Race & Sports Authority, LLC. (Bonita) Minisatellite Facility

Dear Ms. Houle,

The Thoroughbred Owners of California approves the licensing by the California Horse Racing Board of the Race & Sports Authority, LLC as a minisatellite.

Sincerely,



Nick Coukos  
President

xc: Rick Baedeker, CHRB Executive Director  
George Haines, SCOTWINC General Manager

---

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):  
To be submitted under separate cover

---

Attach a fire clearance from the fire authority having jurisdiction. To be submitted under separate cover

---

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. See Exhibit A – need info  
Denny Kanakaris (619-884-1291)  
San Diego County Sherriff Dept

---

Is there a backup emergency plan for power failure? Yes  No

If yes, describe.

---

### III. MINISATELLITE WAGERING SITE

---

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. See attached scale plan and Exhibit A

**NOTICE TO APPLICANT.** Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

---

### IV. FACILITY DESCRIPTION

---

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): Full meals served – Full Bar Service

---

The seating capacity in the minisatellite wagering facility is: 175

---

The number of tables in the minisatellite wagering area is: 26

---

Overall square footage in the minisatellite wagering area is: 1500

Attach a photograph of the minisatellite wagering area. See photos Attached

---

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: None

---

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): 120

---

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: None

---

### V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

---

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: See Exhibit A 22 Large Screen Monitors, Direct TV





CHULAVISTA  
447 F ST  
CHULA VISTA, CA 91910

Violation Notice Report

Monday May 9, 2016

OCHOAS BAR AND GRILL  
4110 BONITA RD  
BONITA, CA 91902

An inspection of your facility on Thursday May 21, 2015 revealed the violations listed below.

ORDER TO COMPLY: Since these conditions are contrary to law, you must correct them upon receipt of this notice. An inspection to determine compliance with this Notice will be conducted on

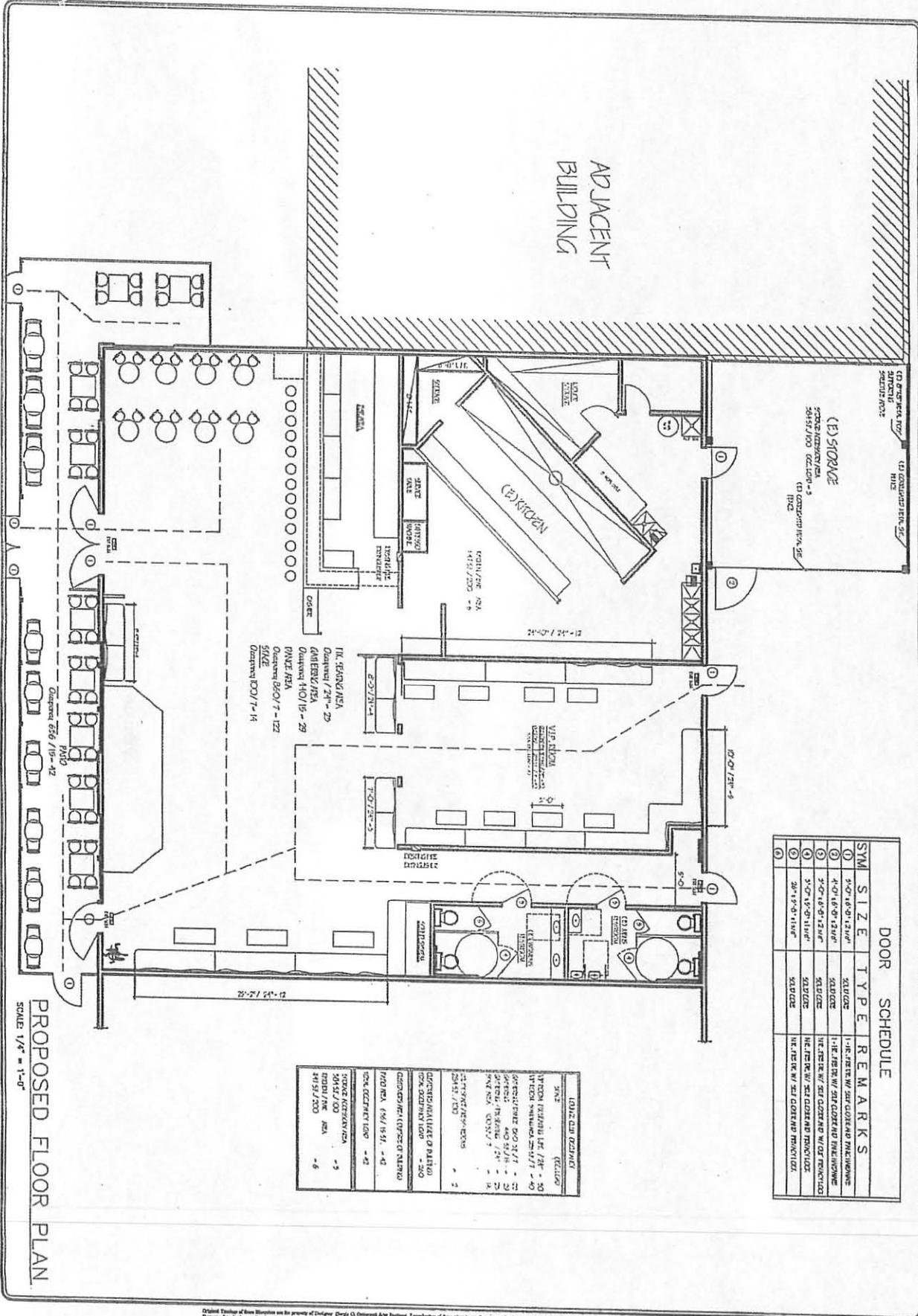
If you fail to comply with this notice before the reinspection date listed, you may be liable for the penalties provided for by law for such violations.

Violation Code	Article	Section
901 No Violations		

Berkley, Justin  
Inspector

X \_\_\_\_\_  
ESTABAN OCHOAS  
Occupant/Owner/Responsible Party





**DOOR SCHEDULE**

SYMBOL	SIZE	TYPE	REMARKS
①	3'-0" x 8'-0" x 1-3/4"	SLIDING	1-1/2" ALUMINUM W/ 20' GLASS AND FINISHING
②	4'-0" x 6'-0" x 1-3/4"	SLIDING	1-1/2" ALUMINUM W/ 20' GLASS AND FINISHING
③	3'-0" x 6'-0" x 1-3/4"	SLIDING	1-1/2" ALUMINUM W/ 20' GLASS AND FINISHING
④	3'-0" x 6'-0" x 1-3/4"	SLIDING	1-1/2" ALUMINUM W/ 20' GLASS AND FINISHING
⑤	2'-6" x 9'-0" x 1-3/4"	SLIDING	1-1/2" ALUMINUM W/ 20' GLASS AND FINISHING

SYMBOL	REMARKS
①	EXISTING DOOR
②	NEW DOOR
③	EXISTING WINDOW
④	NEW WINDOW
⑤	EXISTING PARTITION
⑥	NEW PARTITION
⑦	EXISTING CEILING
⑧	NEW CEILING
⑨	EXISTING FLOOR
⑩	NEW FLOOR
⑪	EXISTING WALL
⑫	NEW WALL
⑬	EXISTING ROOF
⑭	NEW ROOF

PROPOSED FLOOR PLAN  
SCALE: 1/4" = 1'-0"

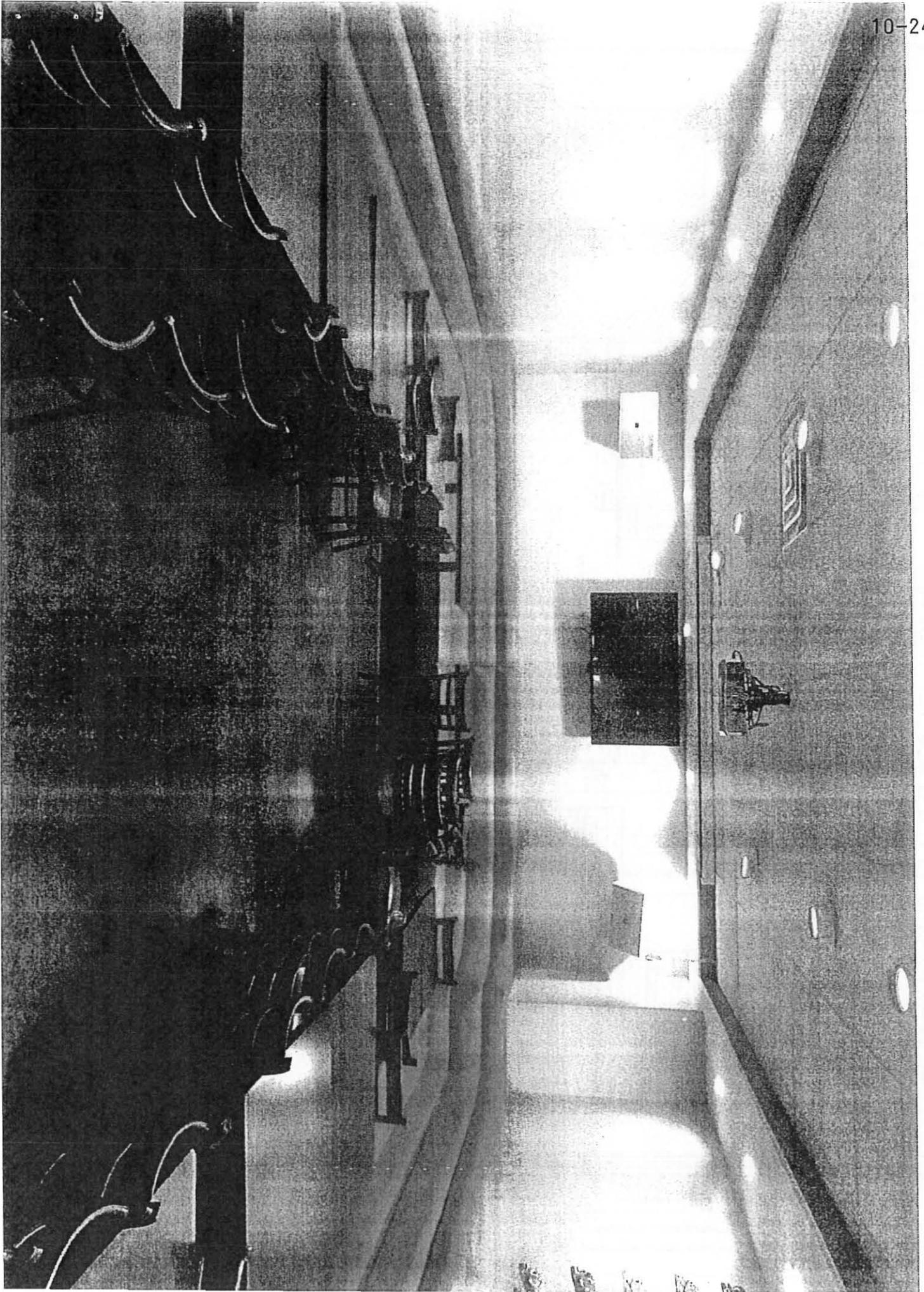
Drawings of this document are the property of Designer. Designer is not responsible for the accuracy of the information shown on these drawings. It is the responsibility of the client to verify the accuracy of the information shown on these drawings. Designer is not responsible for the accuracy of the information shown on these drawings. Designer is not responsible for the accuracy of the information shown on these drawings.

DATE	10/10/10
BY	AS
SCALE	1/4" = 1'-0"
SHEET NO.	A-4

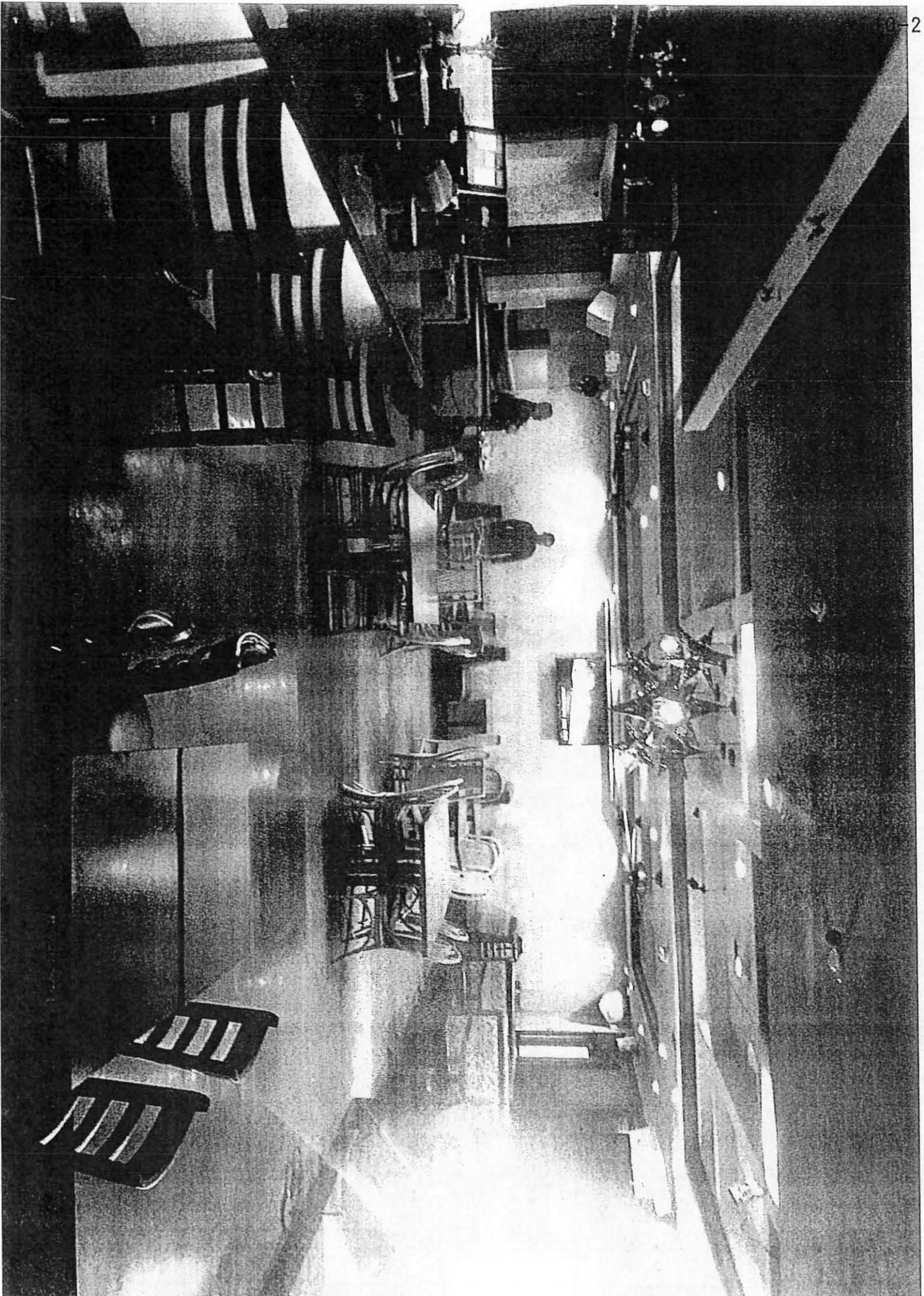
Project Name: **COOLIDGE RESTAURANT**  
 Tenant : **XO LOUNGE 4110 BONITA RD. CHULA VISTA CA 91910**  
 SHEET TITLE :  
**EXISTING FLOOR PLAN AND OCCUPANCY CALCULATION**

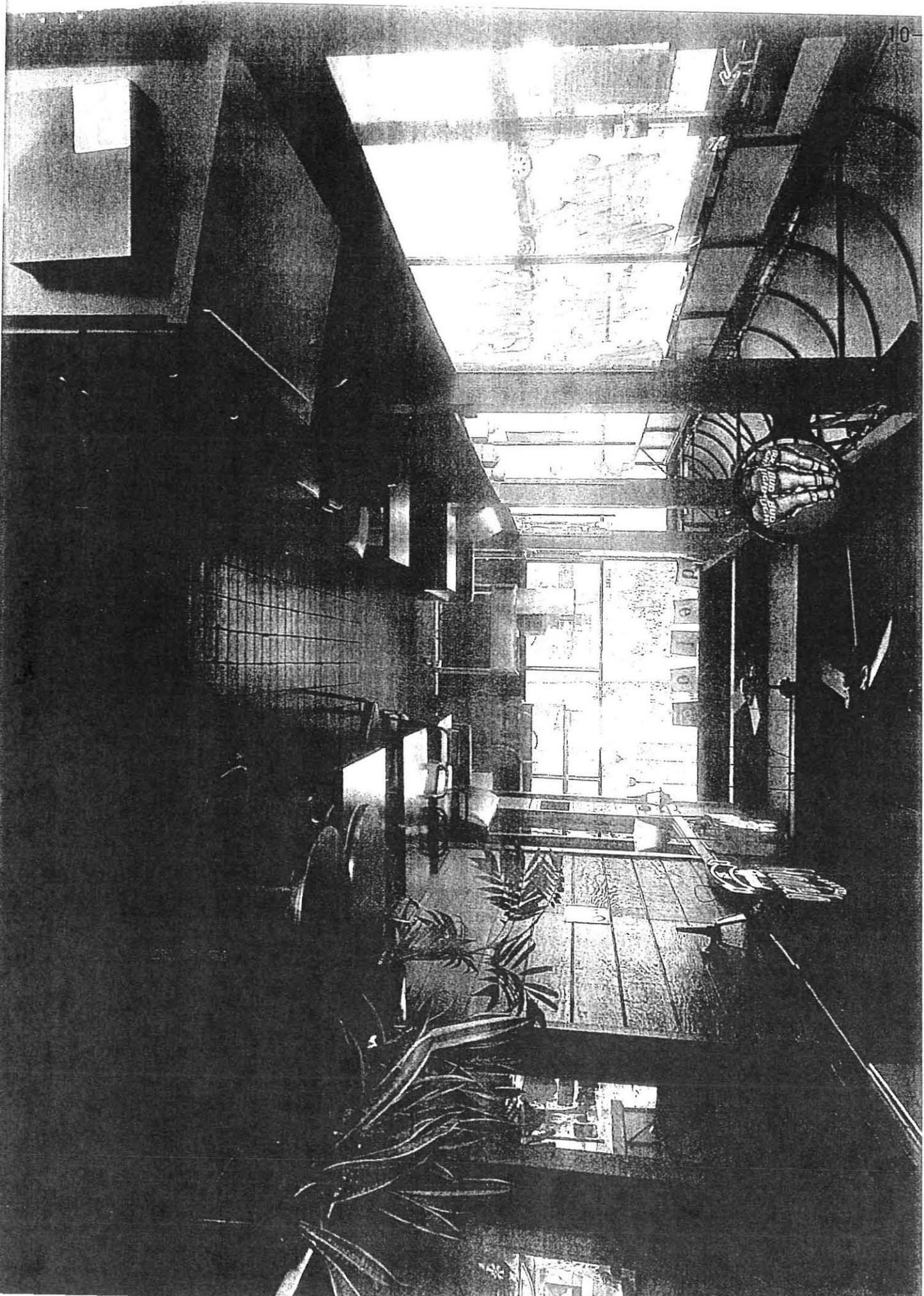
**G & S CONSULTING**  
 710 E SAN YSIDRO BLVD. SAN YSIDRO CA. 92123 PH 619.433.7057  
 RESTAURANT • COMMERCIAL • FOOD SERVICE • DESIGN

REVISIONS	BY











CHULAVISTA  
447 F ST  
CHULA VISTA, CA 91910

Violation Notice Report

Monday May 9, 2016

OCHOAS BAR AND GRILL  
4110 BONITA RD  
BONITA, CA 91902

An inspection of your facility on Thursday May 21, 2015 revealed the violations listed below.

ORDER TO COMPLY: Since these conditions are contrary to law, you must correct them upon receipt of this notice. An inspection to determine compliance with this Notice will be conducted on

If you fail to comply with this notice before the reinspection date listed, you may be liable for the penalties provided for by law for such violations.

Violation Code	Article	Section
901 No Violations		

Berkley, Justin  
Inspector

X \_\_\_\_\_  
ESTABAN OCHOAS  
Occupant/Owner/Responsible Party

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: See Exhibit A Microphones, Speakers, Accoustics

### VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: See Exhibit A

Web site (currently being developed)

Social Media – Facebook, Twitter

Advertsing in local paper

Ad in Racing Form & Racing Program

Horse racing affiliations & partnerships

Co-Brand Marketing

Describe any improvements to the facility that will directly benefit minisatellite wagering: See Exhibit A  
Remodel Bar, Remodel Bathrooms, New Flooring, Redo Ceiling, New Paint, New Booths, New Table Tops,  
New Kitchen Equipment, Remodel Patio

**NOTICE TO APPLICANT:** Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

### VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	None
Parking charges, if any, are:	None
Program charges, if any, are:	Price is determined by the host track
Seating charges, if any, are:	None

### VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes  No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes  No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes  No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

### AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility. N/A

### NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel

**Exhibit A****Race & Sports Authority, LLC Minisatellite Wagering Application****Part 1 – Minisatellite Wagering Applicant Information**Association Contract/Agreement:

Name(s) of racing associations(s) with a contract or agreement:

California Authority of Racing Fairs  
1776 Tribute Road, Suite 205  
Sacramento, CA 95812

Phone: (916) 927-7223  
Fax: (916) 263-3341

Del Mar Thoroughbred Club  
2260 Jimmy Durante Blvd  
Del Mar, CA 92014

Phone: (858) 755-1141  
Fax: (858) 794-1007

Los Angeles County Fair  
1101 W. McKinley Avenue  
Pomona, CA 91768

Phone: (909) 865-4093  
Fax: (909) 622-5652

Los Angeles Turf Club  
285 W. Huntington Drive  
Arcadia, CA 91007

Phone: (626) 574-7223  
Fax: (626) 821-1514

Los Alamitos Racing Association (Thoroughbred) & Los Alamitos Quarter Horse Racing Association  
4961 Katella Avenue  
Los Alamitos, CA 90720

Phone: (714) 820-2760  
Fax: (714) 820-2813

Pacific Racing Association  
1100 Eastshore Highway  
Berkeley, CA 94710

Phone: (510) 559-7300  
Fax: (510) 559-7464

**Exhibit A****Race & Sports Authority, LLC Minisatellite Wagering Application**

Page 2

**Association Contract/Agreement:**

Name(s) of racing associations(s) intended to have a contract or agreement:

Sonoma County Fair  
1350 Bennett Valley Drive  
Santa Rosa, CA 95404

Phone: (707) 545-4200

Fax: (707) 545-9342

Watch & Wager.com, LLC  
1600 Exposition Road  
Sacramento, CA 95815

Phone: (916) 263-3000

Fax: (916) 800-1395

**Part III – Simulcast Organization Information****I. Operation of the Minisatellite Wagering Facility:**

Parimutuel wagering will be offered Wednesday through Sundays, plus Holidays, on all California cards plus races imported by all California racing associations from out of state and out of country tracks.

Wagering will be offered on live race meetings conducted by:

Del Mar Thoroughbred Club, Los Angeles Turf Club, Los Angeles County Fair, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Watch and Wager.com, LLC, Pacific Racing Association, CARF, and the Sonoma County Fair

Wagering will be offered on the same imports from out of state and international tracks as the California host tracks offer.

The host track pari-mutuel manager will determine the number of mutuel tellers based upon the projected handle and attendance for the day or night card. On most days, one teller will be assigned, with more as needed. At least one security officer will be present at all times.

**II. Supervision, Security and Fire Prevention**

Denny Kanakarlis is responsible for security operations. His phone number is (619) 884-1291. Criminal law enforcement services are provided by the San Diego Sheriff's Department

The facility will be staffed according to needs of the property. At least one security person will be present during hours of operation.

VI Advertising and Patron Development

Web site (currently being developed), Social Media – (Facebook, Twitter), Advertising in local paper, Daily Racing Form and track programs, horse racing affiliations and partnerships, co-branded marketing.

A full interior renovation, including furniture and fixtures, is scheduled to begin shortly. The bar, kitchen, restrooms, flooring, and ceilings will be remodeled. The outdoor patio will also be remodeled. New furniture & fixtures will include kitchen equipment, booths and table tops.

Pending CHRB approval, the facility is expected to open July 1, 2016.

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-228 (New 11/08)

rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

**DECLARATIONS**

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:

*Denny Kavakaris*

Date:

Signature of minisatellite facility representative:

*[Signature]*

Date:

5-18-16

Print name of association representative:

Joe Morris

Date:

Signature of association representative:

*[Signature]*

Date:

5-18-16

Print name of simulcast organization representative:

George Haines

Date:

Signature of simulcast organization representative:

*[Signature]*

Date:

5/15/16

**CALIFORNIA HORSE RACING BOARD**

**MAY 26, 2016**  
**REGULAR BOARD MEETING**

**Item 11 has been postponed**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
THE PROPOSED AMENDMENT TO CHRB RULE 1688, USE OF RIDING CROP,  
TO ALLOW A JOCKEY TO USE THE RIDING CROP FOUR TIMES IN SUCCESSION,  
ALLOWING THE HORSE TO RESPOND BEFORE USING THE RIDING CROP AGAIN,  
DURING THE LAST 16<sup>TH</sup> OF A MILE IN A THOROUGHBRED RACE

Regular Board Meeting  
May 26, 2016

## ISSUE

Over time, the California Horse Racing Board has amended its rules pertaining to the construction and use of riding crops for the benefit of the horse. Amendments to the Board's rules dealt with riding crops, including their length, and the flap at the end of riding crops. The amendments have also limited the use of riding crops in races. The Board has also mandated the use of softer materials on riding crops. The most recent amendment to Rule 1688, which was effective July 1, 2015, prohibited riders from using the riding crop more than three times in succession without allowing a horse to respond. The proposed amendment to Rule 1688 will allow a jockey to use a riding crop four times in succession during the last 16<sup>th</sup> of a mile in a thoroughbred race. The jockey must allow the horse time to respond before using the riding crop again.

## ANALYSIS

The July 2015 amendment to Rule 1688 added (among other provisions) subsection 1688(b)(6), which placed a limit on the jockey's use of the riding crop during a race. Subsection 1688(b)(6) prohibits the jockey from using the riding crop more than three times in succession without allowing the horse time to respond. The purpose of the amendment was to curtail and prevent any excessive use of the riding crop. While the amended Rule 1688 has generally been successful in its implementation, there are some who believe the jockey is not able to give his or her best effort to win a race. The proposed amendment to Rule 1688 will modify subsection 1688(b)(6) to allow the jockey to use the riding crop four times in succession during the last 16<sup>th</sup> of a mile in a thoroughbred race. The jockey may not use the riding crop again without giving the horse time to respond.

## BACKGROUND

Business and Professions Code section 19420 provides that the Board shall have jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of Chapter 4, Business and Professions Code. Responsibilities of the Board shall include, but not be limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19481 provides that in performing its

responsibilities, the Board shall establish safety standards governing equipment for horse and rider.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING OF THE RACE  
RULE 1688. USE OF RIDING CROP

Regular Board Meeting  
May 26, 2016

1688. Use of Riding Crop.

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey who uses a riding crop during a race is prohibited from using a riding crop on a horse:

(1) on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;

(2) during the post parade except when necessary to control the horse;

(3) excessively or brutally causing welts or breaks in the skin;

(4) when the horse is clearly out of the race or has obtained its maximum placing;

(5) persistently even though the horse is showing no response under the riding crop; or

(6) more than three times in succession without giving the horse a chance to respond before using the riding crop again, except in the last 16<sup>th</sup> of a mile in a thoroughbred race, at which time a jockey is prohibited from using a riding crop more than four times in succession without giving the horse a chance to respond before using the riding crop again.

(c) Correct uses of the riding crop are:

(1) showing horses the riding crop before hitting them;

(2) using the riding crop in rhythm with the horse's stride; and

(3) using the riding crop as an aid to maintain a horse running straight.

Authority: Sections 19420, 19440 and 19562,  
Business and Professions Code.

Reference: Sections 19440, 19481 and 19562,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD**

**MAY 26, 2016**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 13**