

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, May 22, 2014**, commencing at **9:30 a.m.**, in the **Baldwin Terrace Room** at the **Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of April 25, 2014.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **approval of service, steward and official veterinarian contracts for fiscal year 2014-2015.**
5. Discussion and action by the Board regarding the **approval of the contract for the replacement of the official veterinarian at Cal Expo selected to fulfill the Cal Expo contract term for the late Dr. Robert Goodbary.**
6. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Los Alamitos Racing Association (T) at Los Alamitos**, commencing July 3, 2014 through July 13, 2014, inclusive.
7. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento**, commencing July 9, 2014 through July 20, 2014, inclusive.
8. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing July 17, 2014 through September 3, 2014, inclusive.

9. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing July 23, 2014 through August 10, 2014, inclusive..
10. Discussion and action by the Board on the **report regarding the allocation of 2014 race dates to the Los Angeles County Fair at Pomona and the proposal to run the race dates at the Los Alamitos Race Course.**
11. Report from the **Southern California Race Dates and Stabling Oversight Committee.**
12. Discussion and action by the Board regarding an **industry update regarding horse inventory and the status of the stabling and vanning fund, and southern California's auxiliary off-track stabling.**
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Bo Derek, 1st Vice Chairman
Richard Rosenberg, 2nd Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Madeline Auerbach, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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PENDING LITIGATION MAY 2014

COURT LITIGATION

- A. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
Court of Appeal, Fourth Appellate District, D062226
- B. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
Court of Appeal, Third Appellate District, C074852
- C. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-00102154
Court of Appeal, Third Appellate District, C069875
- D. San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- E. Donald Lockwood vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147701
- F. James Hanson vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147729

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Bayview Lounge (Turf Club) at Golden Gate Fields, 1100 Eastshore Hwy, Berkeley, California, on April 25, 2014.**

Present: Chuck Winner, Chairman
 Richard Rosenberg, 2nd Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 Jesse H. Choper, Member
 George Krikorian, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF MARCH 21, 2014

Chairman Winner asked for approval of the minutes of the Regular Meeting of March 21, 2014. Commissioner Auerbach stated the phrase “voided saddle cloths” used five times on page 13 was incorrect; it needed to be changed to “embroidered saddle cloths.” Commissioner Choper **motioned** to approve the minutes with corrections made. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

EXECUTIVE DIRECTOR’S REPORT.

Executive Director Baedeker stated staff was moving forward with action previously taken by the Board to adopt the National Uniform Medication Regulations. He said that he, along with Dr. Rick Arthur, CHRB Equine Medical Director, and Steve Schwartz, of the Breeders’ Cup; had appeared before the Board of the California Veterinary Medical Association (CVMA) seeking support for the proposed amendment to Rule 1845, Authorized Bleeder Medication, which allowed for the administration of bleeder medication by third parties. He said the CVMA voted six-to-one to work with CHRB on the amendment. Executive Director Baedeker stated the

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Board had directed staff to review current penalties for medication violations to determine if they provide sufficient deterrence, and to see if the mitigating factors considered during the hearing process were applied consistently. He said the Board had directed staff to develop an amendment to Rule 1843.3(j) which would prevent a suspended trainer from continuing operations through another employee. The Board was considering using the necropsy program to investigate, in a non-accusatory process, all equine fatalities to assist in the development of preventive measures. Executive Director Baedeker stated the Board had directed staff to develop an amendment to Rule 1842, Veterinarian Report, to prevent the practice of prescribing medications without a specific diagnosis. He stated it was the Board's goal to see that all California race tracks had evacuation procedures in place, and that they conducted drills to ensure the procedures were effective. Executive Director Baedeker stated the Board was submitting budget requests for the 2015/2016 fiscal year which included funding for eight additional investigators, improvements to the records management system, and enough money for a sufficient out-of-competition testing program. Chairman Winner stated it was the Board's overall goal to ensure that those that played by the rules had the best shot, to protect the integrity of the sport. 2nd Vice-Chairman Rosenberg asked if the Board needed agency approval on all of its actions. Executive Director Baedeker said the relationship between CHRB and the Business, Consumer Services, and Housing Agency was more of a procedural one. He said agency was a great resource for staff to help ensure that the actions of the Board were carried out to fruition. Chairman Winner stated the Board's experiences with agency had been very positive.

PUBLIC COMMENT

There were no public comments.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF 2012 RACE DAY CHARITY PROCEEDS OF THE CALIFORNIA EXPOSITION AND STATE FAIR (HARNESS) IN THE AMOUNT OF \$16,157.49 TO FOUR BENEFICIARIES.

Francisco Gonzales, CHRB staff, stated this item pertained to a time period between 2008 and 2011 when Cal Expo was running the harness race meet. The race day charity distributions were made; however, Board approval for the distributions, as required by Business and Professions Code section 19557, was never obtained. Chairman Winner asked why previous years donations averaged \$8,000, but the current distribution was for \$16,000. Mr. Gonzales stated the total required donations for 2008 through 2012 came to \$39,674; however, \$16,157 remained to be distributed. Commissioner Auerbach asked for more information on the group Friends of the Fair and why it received equine charity money. Commissioner Beneto stated Friends of the Fair was a volunteer non-profit that worked at the state fair. Chris Korby, of the California Authority of Racing Fairs, stated Cal Expo was not normally involved in harness racing; it was a recent change in the fair management that turned up the unapproved charity distributions. Commissioner Auerbach asked if Mr. Korby could ensure that the charity distributions would comply with the law in the future, and have a representative present when presenting something to the Board. Mr. Korby stated these distributions only pertained to an unusual specific time period when Cal Expo was running harness racing. Mr. Gonzales stated usually fairs were exempt from charity day distributions; however, in this case the fair management was running a harness race meet. Commissioner Beneto **motioned** to approve Cal Expo's \$23,674.06 already distributed race day charitable funds, and the request by Cal Expo to distribute the \$16,157.49 remainder of its race day charity proceeds from the period of 2008 through 2012. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE WATCH AND WAGER LLC RACING ASSOCIATION IN THE AMOUNT OF \$5,200 TO SIX BENEFICIARIES.

Ben Kenney, of Watch and Wager (WAW), stated that WAW, as a new operator of harness racing at Cal Expo, continued the erroneous policy of making the charitable donations without Board approval. He ensured the Board that going forward any distributions made by WAW would first be approved by the Board. Commissioner Auerbach asked what the California Historical Artillery Society (CHAS) was and how it related to horseracing. Mr. Kenney stated the CHAS performed civil war reenactments using retired standard bred horses. Commissioner Auerbach asked if the CHAS kept the horses after the performances. Mr. Kenney said the CHAS took over ownership of the horses and WAW kept the racing papers on the horses as a way to keep track of the transfer of ownership. Chairman Winner stated he did a little research on the CHAS after reading the agenda item; he believed the CHAS was a good option for retired standard bred horses. Commissioner Beneto stated he was a member of the Sacramento Horsemen's Association, which was listed as receiving \$1,400, and he needed to recuse himself from this item. Commissioner Choper **motioned** to approve the request by WAW to distribute its race day charity proceeds in the amount of \$5,200. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**; Commissioner Beneto recused himself from the vote.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OAK TREE AT PLEASANTON") COMMENCING JUNE 19, 2014 THROUGH JULY 6, 2014, INCLUSIVE.

John Barr, of the Oak Tree Racing Association (Oak Tree), spoke on the charitable history of Oak Tree, and its 40 year relationship with the Los Angeles Turf Club. He said moving the Oak Tree race meet to the Alameda County Fair (Alameda) would be an opportunity for Oak Tree to continue its charitable work, while improving the attendance at Alameda. Chairman Winner stated Oak Tree had one year of racing at Hollywood Park. Mr. Barr stated that it did. Chris Korby, of the California Authority of Racing Fairs (CARF), stated the Oak Tree application was part of the evolving role for fairs in Northern California racing. Larry Swartzlander, representing CARF, stated the outstanding agreements had been negotiated and would be submitted to CHRB when finalized. Jerome Hogan, of Alameda, stated Alameda was pleased to have formed a partnership with such a prestigious racing association. Sherwood Chillingworth, of Oak Tree, stated his organization was prepared to supply \$150,000 in additional purses, \$65,000 in marketing funds, and \$167,000 towards capital improvements. Janet Lockhart, representing the Alameda County Fair Board, stated Alameda's Board was 100 percent in support of the partnership with Oak Tree. Mr. Swartzlander said the Stakes program was increased to \$425,000 with a \$100,000 Cal-Bred race and another \$100,000 guaranteed. He stated Alameda would continue the successful \$500 to \$1,000 program started during the 2013 fair season where trainers received \$500 if they started five times, or 1,000 if they started ten times. Mr. Swartzlander said there was an increase in HRTV and TVG coverage, and two new wagers: the 20 Cent Pick 6, and the 20 Cent Super High Five. 2nd Vice Chairman Rosenberg asked if "unique tickets" meant there was a chance for more than one winner. Mr. Swartzlander said it

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should read "unique ticket" as there could only be one winner. Commissioner Beneto asked if the bottom level of purses was being raised. Mr. Swartzlander stated some of the claiming races at Alameda were cut by \$500 because 2013 purses were overpaid by around \$80,000 and the books needed to be balanced. Commissioner Beneto stated the proposed changes would take from the smaller horse owners and gave to the wealthier ones. Commissioner Auerbach asked how the 2014 stakes races compared to those offered in 2013. Mr. Swartzlander stated 2013 paid out \$325,000 and 2014 would pay out \$425,000. Commissioner Auerbach asked if the races were the same. Mr. Swartzlander stated no, the \$100,000 Oak Tree handicap was added to the schedule. Commissioner Auerbach asked what was being done to increase the horse inventory. Mr. Swartzlander said there was the \$500 to \$1,000 incentive, and a \$300 and \$150 incentive for the first and second start of a horse that traveled 600 miles or more. Mr. Chillingworth stated that an additional \$10,000 was added by Oak Tree to the normal recruitment program to increase incentives for horses in Arizona, New Mexico, and Texas. He said that the extra incentives for the Alameda race meet would help increase the numbers for the rest of the fairs. Commissioner Beneto asked what were the total purses for 2014 in comparison to 2013. Mr. Swartzlander said the 2013 total was \$1.5 million, and the 2014 total was \$1.65 million, with \$30,000 cut from the bottom end. Chairman Winner stated Oak Tree had been a very beneficial part of California racing for many years. He believed the partnership between Alameda and Oak Tree would be beneficial to both parties and for all of California horseracing. Commissioner Choper said Alameda was in the center of one of the most prosperous locations in California, and there was a great potential for it to be partnered with such a distinguished association as Oak Tree. He stated he hoped the partnership had an agreement that was longer than one year, as it could take time to show its potential. Mr. Barr stated the agreement was for two years, which would hopefully be

long enough to show enough successes to continue. He stated in response to Commissioner Beneto, that he also was not happy with the \$30,000 cut from the bottom, and would be working with Mr. Swartzlander to try and find a more fair compromise. Commissioner Beneto asked what the Oak Tree Foundation balance sheet looked like. Mr. Barr stated it was down to about \$5 or \$6 million. He said until there was a new revenue stream Oak Tree was only giving to charity the minimum five percent required by law. Commissioner Krikorian said he also had fond memories of Alameda and was looking forward to the success of the partnership. Commissioner Krikorian **motioned** to approve the application to conduct a horse racing meeting of the Oak Tree Racing Association at Alameda, commencing June 19, 2014 through July 6, 2014; contingent upon receiving all outstanding items. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Mr. Chillingworth asked if the license was jointly shared between Oak Tree and Alameda. Executive Director Baedeker stated the application was a joint application therefore license would be a joint license.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE FIREHOUSE SPORTS BAR AND RESTAURANT IN BAKERSFIELD, CALIFORNIA.

Phil Balderamos, representing Sportech, spoke about Sportech's successfully started minisatellites, and goals for future minisatellites. He said the Firehouse Sports Bar (Firehouse) location was fantastic, with great access and ample parking. He stated it was an established bar and restaurant, with an experienced operator and successful owner. Mr. Balderamos said the Firehouse proposed building an addition of around 8,000 square feet which would include an eight lane bowling alley and almost 3,000 square feet dedicated to the minisatellite operations. He said operations were anticipated to begin in August, 2014, and have around \$8 million to \$10

million a year in handle. He stated his concern for the closure of the Bakersfield fairgrounds and how getting a minisatellite operating as soon as possible was important to keep a horse racing presence in the Bakersfield area. Chairman Winner asked about the application's outstanding items. Mr. Balderamos said there was some confusion on what was required with the financials, but the matter had been cleared up with CHRB staff, and the fire clearance and worker's compensation would be submitted after the renovations and prior to opening. Commissioner Krikorian asked if the property was owned or leased. Russell Johnson, owner of the Firehouse, stated it was leased, with the option for three five year extensions. Chairman Winner said the approval could be conditioned upon the submission of the outstanding items as well as the terms of the lease. He said making the submission of a lease a term of the application could be discussed at the ad hoc committee on minisatellites. Commissioner Krikorian asked if the seating listed was shared with the restaurant or dedicated to wagering. Mr. Balderamos said the seating listed would be fully dedicated to wagering. Commissioner Krikorian asked about the marketing budget for the minisatellite operations. Mr. Balderamos stated there was no formal marketing plan; however, one was being worked on. He said the management of the Firehouse would be assisting with the marketing plan, as it had a successful track record with the local marketing of its current operations. Commissioner Krikorian stated he had a problem with the contract preventing another minisatellite operation within a ten-mile radius. Commissioner Choper asked if minisatellite applications should be first submitted to committee to have all of the issues worked out before coming before the Board. Executive Director Baedeker stated it had been previously suggested that minisatellites go to committee prior to going before the Board; however, in practice, there has been a desire to expedite the application. He said that going forward, the committee would be given the option of hearing the application before it goes

before the Board. 2nd Vice-Chairman Rosenberg suggested the Board come up with a policy on the ten-mile radius restriction so that time was not wasted sending every application to committee. Executive Director Baedeker said there was plenty of time during staff's review of the application to advise committee and decide whether or not the committee should see the application before it goes before the Board. Commissioner Krikorian stated there shouldn't ever be a ten-mile restriction; when a new application came before the Board existing minisatellite concerns could be heard. Joe Morris, representing Southern California Off-Track Wagering Inc., stated in the case of rural Bakersfield, a ten-mile radius protection was appropriate. He said removing it as a contingency for the license would prevent the deal from taking place. 2nd Vice-Chairman Rosenberg stated that requiring the removal of the protection this late in the negotiation would be unfair to the applicant. Chairman Winner asked for the opinion of the owner of Fireside on the ten-mile radius. Mr. Johnson stated the ten-mile radius was very important as the area could not sustain more than one minisatellite. Commissioner Choper stated both sides to the issue had merit, but requiring its removal from the contract as a contingency for license was not a good idea. He said the issue underlined the importance of minisatellite applications going to committee as early as possible to get these issues ironed out early in the process. Chairman Winner stated he agreed that future minisatellite applications needed to be seen by committee before going to the Board. He agreed that the Board should not try to make changes in the agreements that had been reached by the parties of the applications currently before it. Commissioner Beneto stated he did not want the Board to rubber stamp an application it did not agree with. Chairman Winner said going forward the issues would be taken care of at committee, but the current applicants should not be held to a change in procedure decided upon in the discussion of their application. Commissioner Krikorian stated the Board already decided

the applications should first go to committee. Executive Director Baedeker agreed that the Board already requested minisatellite applications go first to committee, but staff did not follow through with implementing the request. Commissioner Krikorian stated what was decided for the Firehouse application would set even more precedent that the applicants could negotiate exclusive development rights without the approval of the board. 2nd Vice-Chairman Rosenberg stated it might matter for the next item, the application in San Diego, but it was not an issue for an applicant in Bakersfield. Commissioner Krikorian **motioned** to approve the application for license to operate a minisatellite wagering facility at the Firehouse Sports Bar and Restaurant contingent upon receiving all outstanding documents, and the removal of the ten-mile radius restriction on new minisatellite operations. Commissioner Beneto **seconded** the motion; the motion failed. Chairman Winner **motioned** to approve the application for license to operate a minisatellite wagering facility at the Firehouse Sports Bar and Restaurant contingent upon receiving all outstanding documents. Commissioner Choper **seconded** the motion, which was **carried**, with Commissioner Krikorian and Commissioner Beneto opposed.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY OPERATED BY S&S VENUES CALIFORNIA LLC IN DOWNTOWN SAN DIEGO, CALIFORNIA.

Phil Balderamos, representing Sportech, stated the proposed minisatellite in San Diego was the first downtown minisatellite location in a major California city. He said it would be an 8,126 square foot, two story bar/restaurant with wagering on the second story and food service on the first floor. He stated the plan was for wagering downstairs, once San Diego was willing to permit it. Mr. Balderamos stated the entire project was over a \$2 million investment with an already substantial investment in planning and with the city to fast track the approval process.

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He stated it was scheduled to open in October, 2014, and once fully operational would bring in around \$15 million a year in handle. Commissioner Choper asked if the Sycuan Tribe was involved in the project. Mr. Balderamos stated the location was outside of Sycuan's 20 mile radius. Commissioner Choper asked who would run the restaurant. Mr. Balderamos stated this was a joint venture with the Silky Sullivan Group, who would run the food service side of the business. Commissioner Beneto asked how far the proposed minisatellite location was from the Del Mar Thoroughbred Club (Del Mar). Mr. Balderamos stated it was 19.2 miles, and that the applicant had waivers from both Del Mar and the 22nd Agricultural Association. Commissioner Krikorian stated that approving a minisatellite in a downtown of a major city with a ten-mile radius restriction on new minisatellites would be a bad decision for the Board. George Haines, of Southern California Off-Track Wagering Inc. (SCOTWINC), stated any new satellites in the downtown San Diego area would have to get waivers from Del Mar and Surfside; he asked if it mattered if another waiver was required from a proposed minisatellite. Executive Director Baedeker said the entire downtown area of San Diego and beyond was covered by the combined 20-mile radius restrictions of Del Mar, Sycuan, and Barona. Commissioner Krikorian said if the Board did not approve this license with a ten-mile radius restriction then Del Mar's waiver would be the only one required for another minisatellite in the downtown San Diego area. 2nd Vice-Chairman Rosenberg asked if the applicant had any objection to giving up the protection of a ten-mile radius restriction. Mr. Balderamos said because of all of the work and investment on the location there would have to be at least a compromise such as a three-mile radius restriction. Commissioner Auerbach asked if Mr. Balderamos had the authority to make such a compromise. Mr. Balderamos said that he did. Scott Daruty, of Santa Anita, stated that SCOTWINC should add a minimum handle condition to receive the three-mile radius protection. Mr. Balderamos

said there was such a clause which required the minisatellite to achieve a minimum of \$5 million in handle on the first year, increasing three percent year on year. Commissioner Auerbach **motioned** to approve to approve the application for license to operate a minisatellite wagering facility by S&S Venues in downtown San Diego contingent upon receiving all outstanding documents, and the reduction of the ten-mile radius restriction on new minisatellite operations to a three mile radius. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM DEL MAR THOROUGHBRED CLUB FOR A WAIVER TO CHRB RULE 1472, (B), AND (G) RAIL CONSTRUCTION AND TRACK SPECIFICATIONS, TO FACILITATE THE INSTALLATION OF THE MAWSAFE RAIL SYSTEM AS ITS OUTSIDE TURF RAIL.

Josh Rubenstein, representing the Del Mar Thoroughbred Club (Del Mar), stated the inside rail was replaced with a Mawsafe rail in 2013. He said there was consensus in favor of the installation of the same product for the outside rail. Commissioner Auerbach **motioned** to approve the request from Del Mar for a waiver to CHRB Rule 1472, (b), and (g) Rail Construction and Track Specifications, to facilitate the installation of the Mawsafe Rail System as its outside turf rail. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1688, USE OF WHIPS, TO REVISE THE JOCKEY'S USE OF THE WHIP DURING RACES.

Shane Gusman, representing the Jockeys' Guild, stated the amendment would replace the term whip with riding crop, which was what jockeys actually used. He said it made other minor

changes, such as limiting a riding crop from making contact with a horse to two times before seeing if the horse responded. Mr. Gusman said the Jockeys' Guild requested that the proposed limit be raised to three times. Chairman Winner stated it was about the health and safety of the horse, but also the public perception of horse racing. He said the riding crops currently used were made of soft leather, and it was his understanding that since the softer modern crops were put into use there have been hardly any welts or evidence of skin intrusion by the riding crop. Commissioner Choper asked about the included language concerning the way the riding crop was raised overhead. Jacqueline Wagner, CHRB Assistant Executive Director, stated the Jockeys' Guild requested that the language referring to the riding crop position be removed from the proposed amendment. Chairman Winner **motioned** to to direct staff to initiate a 45-day public comment period regarding the proposed amendment to CHRB Rule 1688. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION OF CHRB RULE, 1891.1, PENALTY FOR POSSESSION OR USE OF ELECTRICAL DEVICE, TO ESTABLISH PENALTIES FOR THE USE OF A BUZZER ON A HORSE.

Executive Director Baedeker stated the intent of the proposed addition of CHRB Rule 1891.1 was to make the punishment for using an electrical devise the maximum possible, which was an automatic permanent license revocation. Commissioner Choper asked if the Board retained discretion to give less than the maximum. Executive Director Baedeker said that was up for discussion. Chairman Winner stated 1st Vice-Chairman Derek had stated at committee that she wanted to send a clear message, without question, that anyone involved with using an electrical device received the maximum penalty. He said the Board could discuss retaining its discretion in

the penalty; however, he too would prefer to send a clear message that if you use a buzzer, you lost your license, permanently. 2nd Vice-Chairman Rosenberg asked what would be the procedure for penalizing the licensees who “conspired” to use an electrical device. Chairman Winner said that a trainer or owner who instructed a jockey to use an electrical device would receive the same punishment as the jockey that used it. Robert Miller, CHRB General Counsel, stated there would be a hearing before the full Board with a court reporter as well as an Administrative Law Judge to advise the Board. John Barr, of Oak Tree Racing Association, asked if the device could be discovered anywhere for it to be a punishable offense. Mr. Miller stated the Board had jurisdiction anywhere within the inclosure. Commissioner Choper stated the defense would be that someone else put it in their pocket. Commissioner Beneto said if the Board was going to clean up racing it needed to remain firm. Chairman Winner stated there would be a hearing and the defense could be heard before the Board. Commissioner Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed addition of CHRB Rule 1891.1. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE REPORT REGARDING THE ALLOCATION OF 2014 RACE DATES TO THE LOS ANGELES COUNTY FAIR AT POMONA.

Chairman Winner stated the item was an initial report on the possible agreement between the Los Angeles County Fair at Fairplex (Fairplex) and the Los Alamitos Racing Association (Los Alamitos), so that a more informed decision could be made at the next Regular Board Meeting. James Henwood, of Fairplex, stated that Fairplex, after 70 years of California horse racing, was no longer in a position to properly provide a racing experience the audience deserved. He stated

that Los Alamitos was in a position to provide what Fairplex could not, and he requested the Board allow the Fairplex meet to move there. Brad McKenzie, of Los Alamitos, stated Los Alamitos was excited about the prospect of adding three more weeks of thoroughbred racing to its calendar. Chairman Winner stated there were many questions about how moving the Fairplex race meet to Los Alamitos could be done; he said he was not asking the questions because he was against the move, only because the process for such a move needed to be clarified. He asked for specifications on the financial arrangements, if it would require legislation, and if there were any other arrangements that had been negotiated. He wanted to know why race dates that belonged to the State of California should be transferred between individual parties. Chairman Winner stated the race dates in question were granted to Fairplex under certain conditions, which were now being changed. He asked if this move was already being considered when the stabling and vanning negotiations were taking place. Mr. Henwood stated the move would require legislation, but the legislation would be determined by what the Board decided. He said Fairplex was not leading the discussion on vanning and stabling; there was a need for stabling and Fairplex offered its facilities. He stated the agreement between Fairplex and Los Alamitos was a private agreement. He stated that when it looked for a location for its race meet, Fairplex considered the reality that the Southern California industry favored Santa Anita, Del Mar, and Los Alamitos. Chairman Winner said it could be argued that once the race meet was moved it would no longer be a Fairplex meet. He stated if Fairplex no longer wanted the dates, and legislation was required either way, what prevented the Board from allocating them any way it wanted. Mr. McKenzie stated it was possible that legislation would not be required for Fairplex to run the dates at Los Alamitos. He said it could be run like Oak Tree's meet at the Alameda County Fair. Commissioner Beneto said a fair meet was a place where people who did not

usually bet on horse racing, would do so. He stated he was concerned that moving the fair race meet to Los Alamitos moved it away from the fair customers that were potentially new horseracing customers. Mr. Henwood said there was a lot of analysis on what it took to attract a horse racing customer at a fair. He said every fair was different, and Fairplex racing did not benefit as much from being part of a fair as other county fairs did. Mr. McKenzie stated Los Alamitos would have the responsibility, while Fairplex would pay the overhead of running the meet. He said the deal ensured the first money made would go to pay expenses, if more money was made it would go to Los Alamitos, and if there was more money made Fairplex would see a profit. Commissioner Beneto asked if \$100,000 was made at the meet how much would go to Los Alamitos and how much would go to Fairplex. Mr. McKenzie stated it was a private deal between two parties. Commissioner Beneto stated if the meet was going to be advertised as the Los Angeles County Fair it was not a private deal. 2nd Vice Chairman Rosenberg asked if there was anything with the lease of the fairground facilities that could become an issue. Mr. Henwood stated the lease had a clause that allowed the thoroughbred racing to be terminated if it became economically unfeasible. Chairman Winner asked how the Los Angeles County Fair Board felt about the relocation of the race meet. Mr. Henwood stated the board had been notified. Chairman Winner stated it was important to know how Los Angeles County felt about relocating the race meet before the Board could make a decision. Mr. Henwood said it would be looked into. Commissioner Auerbach stated she was hesitant to discuss anything else concerning Fairplex's needs, after all of the negotiation over vanning and stabling, where Fairplex demanded first payment and received so much out of the deal. Mr. Henwood said it was the California Thoroughbred Trainers (CTT) that pushed for stabling at Fairplex, not Fairplex. He said Fairplex needed to get paid first to cover the expenses of stabling as it did not receive any new

racing dates to cover the costs. Commissioner Auerbach stated Fairplex did not request any new dates. Mr. Henwood stated the dates were never offered. Commissioner Auerbach asked why would Fairplex want new dates if it intended on moving its existing dates to Los Alamitos. She asked if the October or January horse sale would still be held at Fairplex. Mr. Henwood guaranteed the October sale would still take place at Fairplex but the January sale was uncertain; Fairplex was working with Del Mar to relocate the Barrett Sales platform to Del Mar. Commissioner Krikorian asked where CTT stood on the requested relocation. He said the CTT were stakeholders in the horse racing business and deserved the respect to consider its opinion on the race meets relocation. Mr. Henwood said the CTT was informed of the proposed relocation a week earlier. Commissioner Krikorian said closing down the only non-privately owned facility in the Los Angeles area, when the future for Los Alamitos and Santa Anita were unknown, was a terrible deal for the future of California horse racing. Commissioner Beneto asked if the barns at Fairplex would be torn down soon. Mr. Henwood stated there were not yet any plans for demolition. Commissioner Choper asked if Fairplex would still be an option for stabling if needed. Mike Seeder, of Fairplex, stated the contract for stabling was through mid-July, 2014, and Fairplex had consistently said it would stay open for stabling as long as needed. He said Fairplex also made clear that if it was needed for stabling beyond 2014, it would require a large investment in its storm drainage system, an investment that Fairplex could not afford on its own. Commissioner Beneto asked how the Thoroughbred Owners of California (TOC) felt about relocating the race meet. Joe Morris, representing TOC, stated his organization supported the move. Commissioner Beneto stated that previously Mr. Morris had stated a fair meet could not be run without a fair. Mr. Morris said the situation was different as this was not a fair location, but a full racing association located in a better market for horse racing. Commissioner Krikorian

asked if the TOC had ever considered giving more race days to Fairplex to make it more economically viable and able to make the required improvements, such as a turf course. Mr. Morris stated it was never considered. Commissioner Krikorian said maybe it was something that should be at least explored before committing to closing the Fairplex racing complex. Demascus Castellanos, representing Teamsters Union Local 495, stated Los Alamitos would do a great job with the Fairplex race meet; however, many jobs would be lost. He agreed that Fairplex was a great location for the industry to expose horse racing to people who might not otherwise bet on horses, and that would be lost with relocation to Los Alamitos. Chairman Winner asked how many jobs would be lost. Mr. Castellanos stated between 40 and 50; he said Los Alamitos would not be able to absorb many new personnel due to issues with redundancy. Mr. Henwood said that if a favorable decision was given by the Board, negotiations with the Teamsters would be the first to take place. Helen Shelley, from the Arabian Racing Association of California (ARAC) spoke about the recent growth of Arabian California racing. She requested that the Board encouraged Los Alamitos to run Arabian racing, should the Board approve the relocation of the Fairplex meet. Mr. McKenzie stated encouragement was not needed as Los Alamitos planned to continue and expand upon all aspects included in the tradition of Fairplex racing. Alan Balch, representing the CTT, stated the request to relocate the Fairplex meet was another example the industry's lack of a strategic plan for the future of California racing. He said the CTT had not been included in the decision in any way. Mr. Balch said his organization was still concerned with the potential stabling requirements once Fairplex shut down its stabling on July 10, 2014. Mr. Balch stated private negotiations were not the best way to transfer race dates. If the dates were available, Santa Anita and Del Mar should be involved in the discussion for what was the best interest of the industry. Commissioner Choper

suggested that the CTT invite the TOC to discussions it had about the stabling issue as both parties shared an interest in proper stabling. Mr. Henwood stated Fairplex was not relinquishing its race dates, and desired an outcome that served the industry. Robert Miller, CHRB General Counsel, stated the dates in question were not thoroughbred race dates, they were fair race dates, and could not be run by an association without increasing the 49 weeks of Southern and Central California thoroughbred racing. Chairman Winner stated an increase could only be done by legislation. Mr. Balch said the fair dates could be run at Santa Anita, or Del Mar in the same way they could be run at Los Alamitos. Mr. Miller said currently the fair dates were held by Fairplex.

REPORT FROM THE LEGISLATIVE, LEGAL AND REGULATIONS COMMITTEE.

Commissioner Choper stated the Legislative, Legal and Regulations Committee resolved two issues: a lawsuit by San Luis Rey Downs, and a revision to the rules of procedures for a disciplinary hearing.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE MATTER OF THE SAN LUIS REY RACING, INC. (DBA SAN LUIS REY DOWNS) JULY 18, 2013 PROPOSED DECISION.

Robert Miller, CHRB General Counsel, stated that in July of 2013 the Board considered and adopted a proposed decision by Scott Cheney having to do with issues referred to the Board by the San Diego Superior Court involving the allocation of stabling and vanning funds and consideration of an audit of the Stabling and Vanning Fund (SVF). He said the adoption of the decision was then stayed by then CHRB Chairman David Israel, and then stayed again by the current Board. Mr. Miller said the issue then went to the Legislative, Legal and Regulations

Committee which decided to adopt certain parts of the Scott Cheney decision and void other parts. He said that Patrick Webb, a lawyer for San Luis Rey Racing, Incorporated (SLRRI), stated he did not receive notice of the Committee meeting; however, Mike Marten, CHRB staff, did send notice of the meeting to Mr. Webb; the notice was published on the CHRB website as well. Commissioner Auerbach asked if the lawsuit was from the current owners of San Luis Rey Downs (SLRD). Mr. Miller said SLRRI were the previous managers. Commissioner Auerbach stated SLRRI were not authorized to receive money from the SVF. Jack Liebau, representing the SVF, said SLRD, while managed by SLRRI, had not yet been approved as an off-site stabling facility. Commissioner Krikorian **motioned** to adopt the recommendation of the Legislative, Legal and Regulations Committee. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF THE REVISED CHRB GOVERNING PROCEDURES NOTICE FOR DISCIPLINARY HEARING.

Robert Miller, CHRB General Counsel, stated the modification in Governing Procedure for disciplinary action was for cases when a licensee was charged with a class 1, 2, or 3 drug-positive violation where the penalty sought was less than license revocation. He said the modification would allow such said licensee the ability to seek discovery under Government Code Section 11507.6., giving the licensee access to all of the evidence that the Board intended to use at the hearing or request any relevant documents admissible in evidence. Commissioner Choper said the change reflected what was already current practice. He said the change would be re-examined in a year to determine its effectiveness. Alan Balch, of the California Thoroughbred Trainers (CTT), stated the CTT agreed with the Committee's decision and offered

its assistance in determining how it would be implemented. Dr. Rick Arthur, Equine Medical Director, stated he did not enjoy preparing what he considered were fishing expeditions, but he was behind whatever the Board wanted to do. 2nd Vice-Chairman Rosenberg stated the Committee felt that the reputation of trainers, owners, jockeys, or whoever stood accused, deserved full right to any information, as in any normal litigation. Mr. Miller said there was an option to limit discovery if the information requests were onerous or overly burdensome. Commissioner Choper said the first year would be reviewed to see if the Board had enough protection against abuse of the discovery process. Commissioner Beneto asked if a license could be temporarily suspended during the disciplinary process. Mr. Miller said that under due process a license could still participate in racing during the disciplinary hearing. Commissioner Beneto asked if the associations were free to deny access to the race track based on their own policies. Mr. Miller stated the Board did not take a position on that matter. Chairman Winner stated that due process was a protection of law. 2nd Vice-Chairman Rosenberg **motioned** to approve the revised CHRB Governing Procedures Notice for Disciplinary Hearing. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

REPORT FROM THE PARI-MUTUEL/ADW AND SIMULCAST COMMITTEE.

Commissioner Krikorian said the Pari-Mutuel/ADW and Simulcast Committee were given a presentation on a new tote system by the Thoroughbred Racing Protective Bureau (TRPB) that could give more accurate real time information on wagers, and would be ready for testing by fall of 2014. He said the primary advantage would be more accurate posting of odds, which would be posted in decimals instead of fractions. It would also have an improved stop-betting control and the ability to track any wager made. Commissioner Krikorian said if the new system worked

it could go far in restoring public faith in honest wagering. 2nd Vice-Chairman Rosenberg stated the new system would help shorten the lag time to show true odds live, on-line, or on television. Commissioner Krikorian said the next item discussed by Committee was an ADWs authorization to add white label or off-shoot brands to existing licenses. He said the Committee concluded the matter should go before the full Board on a case-by-case basis. 2nd Vice-Chairman Rosenberg said so far these off-shoots were merely marketing tools managed by the original ADW; however that might not always be the case. Commissioner Krikorian stated the next Committee item was discussion on a request by Xpressbet, LLC to allow for the operation of a new wagering tablet at Santa Anita and Golden Gate Fields; the request was to be discussed before the full Board in the next item. He said the final item was a presentation by Sportech on its progress with developing new minisatellite locations.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM XPRESSBET, LLC (XPRESSBET) TO AMEND ITS CURRENT ADVANCE DEPOSIT WAGERING (ADW) APPLICATION TO ALLOW FOR THE OPERATION OF THE NEW WAGERING TABLET AT SANTA ANITA PARK AND GOLDEN GATE FIELDS RACE TRACKS.

Scott Daruty stated that Business and Professions Code section 19604 (g) authorized an association may enter an agreement with an ADW provider to facilitate any wager from a customer at its facilities that could be made through the ADW provider. He stated that Santa Anita and Golden Gate Fields had an agreement with Xpressbet to operate wagering tablets at the association facilities that would allow for any wager that could be placed on Xpressbet, but with a distribution of a wager placed at the race track. Mr. Daruty stated the distribution used would provide more for the purses and industry funds than a regular ADW distribution. He stated positions created by the use of the tablets would be staffed with Local 280 personnel.

Chairman Winner said Hollywood Park had tried using tablets in a similar way but with little success. Mr. Daruty stated the difference was this tablet would allow any wager available through Xpressbet. Commissioner Auerbach asked if the tablets would be checked in at the end of the day. Mr. Daruty said they would be checked in, and could not be used for wagering away from the location. Tom Kelso, with Sportech, stated Sportech had a right of refusal for any third party technology. Mr. Daruty said Sportech's agreement was to provide tote services with the race tracks in California, but the wagers made on these tablets went through an Xpressbet account, and Xpressbet used a different tote service. Jon Valenzuela, of Local 280, stated his opposition to the new tablets, and his concern that Xpressbet did not follow standard procedure in giving notice to his organization for the introduction of labor saving devices. Mr. Daruty said he was in negotiations with Mr. Valenzuela's predecessor, Richard Castro, and furthermore believed the bargaining agreement did not apply to the tablets for the same reasons the Sportech agreement did not apply. Chairman Winner stated this would be a part of the next labor agreements for Golden Gate Fields and Santa Anita. Joe Morris, of the Thoroughbred Owners of California (TOC), stated the TOC's support for this technology as it would increase purse distributions and would allow for ADW wagers made at the race track to be tracked for marketing purposes. Commissioner Auerbach **motioned** to approve request from Xpressbet, LLC (Xpressbet) to amend its current advance deposit wagering (ADW) application to allow for the operation of the new Wagering Tablet at Santa Anita Park and Golden Gate Fields race tracks. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

MEETING ADJOURNED AT 2:12 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

MAY 22, 2014
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

MAY 22, 2014
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
ON THE APPROVAL OF 2014/15
STEWARD, OFFICIAL VETERINARIAN
AND SERVICE CONTRACTS

4-1

Regular Board Meeting
May 22, 2014

ISSUE

Pursuant to Section 2.06 of the State Contracting Manual, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a motion approving and authorizing execution of the agreement/contract.

ANALYSIS

The attached list of service contracts includes two University of California, Davis (UCD) contracts:

- 1) A one year contract for Equine Medical Director services: UCD has requested and CHRB staff recommends an annual program cost increase of 5% or \$15,440 to accommodate increases in salary, benefits and indirect expenses to the UCD program budget item. Travel and program operating expenses will not incur a cost increase.
Current Year allocation: \$308,800.00
Fiscal Year 2014/15 recommendation: \$324,240.00
- 2) A one-year contract for equine drug testing services: Fiscal year 2014-15 annual contract amount remains unchanged. For fiscal year 2015-16, the next available budget cycle, a Budget Change Proposal is being requested to increase the annual equine drug testing budget by \$970,000.00.
Current Year Allocation: \$1,987,250.00
Fiscal Year 2014/15 Cost: \$1,987,250.00

The service components of the equine drug testing contract include routine and in-depth analysis of blood and urine samples; out of competition testing; proteomics and designer drug methodology development; confiscated evidence testing; testimony of laboratory staff at hearings; and technical support for equine emergency situations.

Included is a list of contractors who serve as Stewards, Hearing Officers, Out of Competition sample collectors and Official Veterinarians, with estimated annual costs. Hearing Officer amounts are based on an estimated caseload using the previous fiscal year as a guide. Steward and Official Veterinarian contractor costs are estimates based on proposed assignments for the remainder of the 2014 racing calendar and for the first half of the 2015 racing year. Out of competition sampling costs are based on the Equine Medical Director's estimate of the number of days samples will be collected. No assignment guarantees are assumed for any of the contractors on the attached list. Each of the miscellaneous service contracts listed represent continued services for the day to day operations of the Board.

RECOMMENDATION

Staff recommends the Board approve the attached list of stewards, out of competition sample collectors, official veterinarians, hearing officers and miscellaneous service contracts for Fiscal Year 2014/15.

**FISCAL YEAR 2014/15 CONTRACTS
ESTIMATED COST**

SERVICE CONTRACTS	STEWARDS
<u>REGENTS, UNIVERSITY OF CALIFORNIA – DAVIS</u>	
A) Equine Drug Testing 1 year contract \$1,987,250.00	Grant Baker Charles "Scott" Chaney James Dreyer John Herbubeaux Louis Jauregui Darrel McHargue Thomas McCarthy William Meyers Ruben Moreno Dennis Nevin Paul Nicolo Michael "Wayne" Oke Jeffery Salmon Patricia "Kim" Sawyer George Slender Victor Stauffer Thomas Ward Randall Winick
B) Equine Medical Director 1 year contract \$324,240.00	
<u>HEARING OFFICERS- 1 Year Contracts</u>	
A) Scott Chaney \$15,000.00	
B) Daniel Q. Schiffer \$15,000.00	
C) Richard "Bon" Smith \$10,000.00	
D) Richard Margarita \$20,000.00	
E) Steffan Imhoff \$15,000.00	
<u>MISCELLANEOUS SERVICES</u>	
California Department of Human Resources 1 Year civil svc testing \$10,000.00	
California Department of Human Resources 1 Year psych. screening \$9,000.00	
Western Weather Group 3 Year weather station maint. \$18,456.00	
	TOTAL CONTRACTS: \$2,241,000.00
	OFFICIAL VETERNINARIANS
	Dr. Donald Dooley Dr. Forrest Franklin Dr. Timothy J. Grande Dr. Barrie Grant Dr. Sidney Gustafson Dr. Gary Beck (dba: PEGASUS) Dr. Dana Stead (dba: Greenside Vet. Svcs.) Dr. Clifford Zucco
	TOTAL CONTRACTS: \$413,000.00
	OUT OF COMPETITON SAMPLING
	Dr. Gary Beck (dba: PEGASUS) Dr. Forrest Franklin Dr. Timothy J. Grande Dr. Barrie Grant Dr. Sidney Gustafson Dr. Deborah Lamparter Dr. Dana Stead (dba: Greenside Vet. Svcs.) Dr. Clifford Zucco Kristen Philpott/ Vet Assistant
	TOTAL CONTRACTS: \$60,000

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE APPROVAL OF THE CONTRACT FOR
THE REPLACEMENT OF THE OFFICIAL VETERINARIAN AT CAL EXPO
SELECTED TO FULFILL THE CAL EXPO CONTRACT TERM FOR THE LATE
DR. ROBERT GOODBARY

Regular Board Meeting
May 22, 2014

ISSUE

Discussion and action by the Board on the approval of Dr. Clifford Zucco, as the replacement Official Veterinarian at the California Exposition and State Fair (Cal Expo).

BACKGROUND

The Cal Expo Official Veterinarian, Dr. Robert Goodbary, passed away before the conclusion of his current Cal Expo contract term. The Equine Medical Director has recommended Dr. Clifford Zucco to replace Dr. Goodbary. The Office of Legal Services has conditionally approved Dr. Zucco's current year contract contingent upon the full Board approving Dr. Zucco's assignment at Cal Expo.

Dr. Goodbary's contract has been disencumbered and Dr. Zucco has been encumbered for \$60,000.00 for the race dates assigned to him through June 30, 2014, the end of the current fiscal year.

RECOMMENDATION

Staff recommends the Board approve a contract for Dr. Clifford Zucco, through June 30, 2014, in the amount of \$60,000, as a replacement Official Veterinarian at Cal Expo.

STAFF ANALYSIS
 May 22, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS RACE COURSE JULY 3, 2014 THROUGH JULY 13, 2014

- The Los Alamitos Racing Association (LARA) is a new racing association applying to run its first thoroughbred race meeting at Los Alamitos Race Course. LARA has reported it is owned by Dr. Edward Allred. LARA was allocated two weeks of thoroughbred race days for the 2014 race meet calendar. The application is for a total of eight race days.

LARA filed its application to conduct a thoroughbred horse racing meeting at Los Alamitos Race Course:

- July 3, 2014, through July 13, 2014, or 8 days. LARA proposes to race a total of 68 races, or 8.5 races per day. The (estimated) average daily purse for this meet is \$404,262.

July - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing 4 nights per week, Thursday through Sunday. Eight live races on weekdays and nine live races on weekends.
- First live post 2:00 p.m., Thursday through Sunday.
- Wagering program will use CHRB rules.
- Early wagering will start at 8:30 a.m. and end at 10:00 a.m. Thursday through Sunday.
- Advance Deposit Wagering (ADW) providers are XpressBet, Twinspires, and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.

- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige is appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- LARA has reported it will have two emergency medical technicians (EMT's) in the on-track ambulance during racing hours.

ITEMS STILL NEEDED:

1. Horsemen's agreement

RECOMMENDATION:

This item is presented for Board discussion and action. If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

OSTATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Brad McKinzie Los Alamitos Racing Assn. 4961 Katella Ave. Los Alamitos CA 90720 714 820-2800 fax 714 820 2813 bradmckinzie@aol.com**
- B. Breed of horse: TB QH H
- C. Racetrack name: **Los Alamitos Race Track**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **July 3, 2014 through July 13, 2014**
- B. Actual dates racing will be held: **July 3, July 4, July 5, July 6, July 10, July 11, July 12, July 13**
- C. Total number of days or nights of racing: **8**
- D. Days or nights of the week races will be held: **Thursday through Sunday**
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week: **4**

CHRB CERTIFICATION

Application received: **4/8/14**
 Deposit received:

Hearing date: **5/22/14**
 Approved date:

3. RACING PROGRAM

A. Total number of races: 68

B. Number of races for each day or night.

8 on weekdays, 9 on weekends

C. Total number of stakes races: 6

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	Weekdays	Weekends
Race 1	2:00 pm	
Race 2	2:30	
Race 3	3:00	
Race 4	3:30	
Race 5	4:00	
Race 6	4:30	
Race 7	5:00	
Race 8	5:30	
Race 9		6:00

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
- LLC (complete subsection D)
- Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership **On file. See Los Alamitos Quarter Horse Racing Assn.**

Attachment 3 D

**2014 Los Alamitos
Summer Thoroughbred Stakes Schedule**

Thursday, July 3rd (Opening Day)

\$100,000 guaranteed Betrando Stakes

A Golden State Series Eligible Event

3-Year-Olds & Up / California Bred or California Sired / One Mile

Friday, July 4th

\$75,000 guaranteed American Flag Stakes

3-year-olds & up / 1 1/16th Miles

Saturday, July 5th

\$500,000 guaranteed G2 Los Alamitos Derby

3-Year-Olds / 1 1/8th Miles

Original Nominations Close May 1, 2014

Sunday, July 6

\$75,000 guaranteed Cypress Stakes

3-year-olds & up, fillies and mares/ 1 1/16th Miles

Saturday, July 12

\$200,000 guaranteed G2 Great Lady M Stakes

Fillies and Mares / 3 & Up / 6 ½ Furlongs

Sunday, July 13 (Closing Day)

\$100,000 guaranteed Cinderella Stakes

2-Year-Old Fillies / 5 ½ Furlongs

Sunday, July 13

\$100,000 guaranteed Willard L. Proctor Memorial Stakes

2-Year-Olds / 5 ½ Furlongs

C. CORPORATION

1. Registered name of the corporation: **Los Alamitos Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **C1650097**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
 - Dr. Edward C. Allred 100%**
 - Brad McKinzie 0% Vice-President, General Manager 0%**
 - Rick English, chief financial Officer 0%**
 - Tom Siebly, director 0%**
 - G. Michael Lyon, director 0%**
 - Frank Sherren, Director 0%**
 - Cathy Monji, director 0%**
 - Jack Liebau, Director 0%**
 - Richard Shapiro, Director 0%**
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **none**
6. Number of outstanding shares in the corporation: none
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **N/A**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

Quarter Horse Racing Inc.

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations,

each:

5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

- Brad McKinzie, Vice President, General Manager**
- Rick English, Chief Financial Officer**
- Robert Moreno, Racing Secretary**
- Orlando Guterrez, Publicity Director**
- Frank Sherren, Plant Superintendent**
- Rick Hughes, Track Superintendent**
- Dennis Moore, Track Consultant**
- Robert Digiovanni, Director of Mutuels**
- Ed Burgart, Track Announcer**
- Keith Hicks, Director of Security**
- Robert Hurd, Director of Operations**
- Mike Monji, Director of Food Services**
- Ken Walker, Director of Labor Relations**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
Brad McKinzie 4961 Katella Ave. Los Alamitos Ca 90720 bradmckinzie@aol.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double Proposed percentage: P5 14 % DD – 18%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association. n/a

Year	Handle	Attendance

5 A

6-9



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

April 30, 2014

Brad McKinzie
Vice-President/General Manager
Los Alamitos Racing Association
Los Alamitos, CA

Mr. Fredrick Baedeker
Executive Director
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

The Los Alamitos Racing Association is requesting approval for two reduced takeout wagers to be offered during our upcoming 2014 summer meeting at Los Alamitos Race Course.

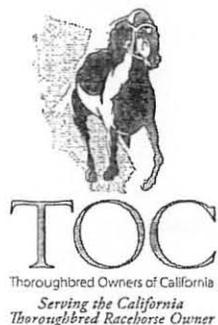
We are requesting approval for a 15% takeout rate for one Pick 5 wager per day that will be offered on races one through five. We are also requesting approval for an 18% takeout rate for two Daily Doubles per day. These Daily Doubles will be offered on the first two and the last two races on our cards.

We thank you for your attention to this matter.

Sincerely,

Brad McKinzie

5.1 A



April 25, 2014

OFFICERS

MIKE PEGRAM
CHAIR

PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

DIRECTORS

BOB BAFFERT
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
BILL STRAUSS
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

RE: Los Alamitos 2014 Summer Race Meet

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from July 3 – July 13, 2014. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT

KELLEE BREEN
CHIEF FINANCIAL OFFICER

MARY FORNEY
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05, 2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate:

\$2,112,546

Prior meet actual: n/a

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$264,068

Prior meet actual: n/a

2. Overnight stakes:

Current meet estimate:

\$150,000

Prior meet actual: n/a

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$18,750

Prior meet actual: n/a

3. Non-overnight stakes:

Current meet estimate:

\$730,000

Prior meet actual: n/a

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$91,250

Prior meet actual: n/a

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:

\$2,992,546

Prior meet actual: n/a

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:

13.6%

Prior meet actual: n/a

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$12,500

Prior meet actual: n/a

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate:

\$150,000

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

NTRA - \$4,653 TOC - \$24,829 CTT - \$12,414

CTT Pension - \$24,829 CTBL - \$24,829

Prior meet actual: n/a

Total \$91,553

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate:

\$3,234,099

Prior meet actual: n/a

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$404,262

Prior meet actual: n/a

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:

\$1,571,291

Prior meet actual: n/a

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$196,411

Prior meet actual: n/a

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$575,360

Prior meet actual: n/a

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$71,920

Prior meet actual: n/a

- H. Bank and account number for the Paymaster of Purses' purse account:

Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # On File

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen, McBeth, Inc. 10722 Arrow route, Suite 110**

Rancho Cucamonga, CA 91730 909-944-6465 Email – craigbmiller@verizon.net

CHRB-17 (Rev. 07/11)

the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
700
- B. Minimum number of stalls believed necessary for the meeting: **2,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,995**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Santa Anita - 1,500
San Luis Rey Downs - 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTWINC Off-site Stabling and Vanning Agreement thru 12-31-2014 on file with board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **n/a**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **TBD**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Santa Anita - \$180 RT San Luis Rey Downs - \$360 RT

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

CHRB-17 (Rev. 07/11)

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E \$1 Tri \$2DD \$1PK3 \$0.50PNP5 Superfecta	CHRB #1959, 1979, 1957, 1977, 1976.9, 1979.1
Race #2	\$1E \$1Tri \$1PK3 \$0.50PNP4 Superfecta	CHRB #1959, 1979, 1977, 1976.9, 1979.1
Race #3	\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1959, 1979, 1977, 1976.9, 1979.1
Race #4	\$1E \$1 Tri \$1PK3 \$0.50PNP6 Superfecta	CHRB #1959, 1979, 1977, 1976.9, 1979.1
Race #5	\$1E \$1 Tri \$1PK3 \$.50PNP4 Superfecta	CHRB #1959, 1979, 1977, 1976.9, 1979.1
Race #6	\$1E \$1 Tri \$1PK3 Superfecta	CHRB #1959, 1979, 1977, 1979.1
Race #7	\$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1959, 1979, 1977, 1957, 1979.1
Race #8	\$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1959, 1979, 1977, 1957, 1979.1
Race #9	\$1E \$1 Tri \$1PK3\$2DD Superfecta	CHRB #1959, 1979, 1977, 1957, 1979.1
Race #10	\$1E \$1 Tri \$1PK3 \$2DD Superfecta	CHRB #1959, 1979, 1977, 1957, 1979.1

\$2 Daily Double on first two races and last two races. 18% takeout . Placement of last DD will depend on # of races.

*\$1 Trifecta on all eligible races . (CHRB 1979)

* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)

* Incoming simulcasts will follow host track format.

* \$2 PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)

*\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)

* \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

Unlimited. Final distribution July 13, 2014

- C. List any options requested with regard to exotic wagering: n/a

- D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

Each race day from 8:30 a.m. to 10:00 a.m.

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Sportech. Expires September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

**XpressBet
Twinspires
TVG**

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file**

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes

No

If no, explain the status of the approval. **Approval pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC agreement attached
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
See Attachment
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
See Attachment
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **See Attachment**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
See Attachment
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See Attachment**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
1050 S. Prairie Ave.
Inglewood, CA 90301

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Fairplex Park
1101 W. McKinley Ave.
Pomona, CA 91768

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

The Mermaid Tavern (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

2014 Los Alamitos Thoroughbred Summer Meet
Commingled Locations

110, 11E

AmWest Entertainment	Dover Downs	Maryland Jockey Club	Scioto Downs
Amwest Accounts	Elite Turf Club (1 to 10)	Maywood	Seabrook Greyhound
Greenbrier (WV)	Ellis Park	Meadowlands	Social Gaming
Riders Up (SD)	Emerald Downs	Meadows The	Sol Mutuel
Time Out Lounge (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Southland Greyhound
Triple Crown (SD)	Evangeline Downs AWA	Millers OTB	Sports Creek Raceway
Arapahoe-Mile High	Fair Grounds	Mobile Greyhound	State Fair (Lincoln, NE)
Arima Race Club	Fair Grounds ADW	Monmouth Park	Suffolk District OTB
Arlington I	Fair Meadows	Montana OTB	Suffolk Downs
Atlantic City Racecourse	Finger Lakes	Monticello	Pat's Pizza
Balmoral	Finger Lakes ADW	Mountaineer Park	Sunland Park
Balmoral ADW (BETZOTIC)	Fonner	Mt. Pleasant Meadows	SunRay Park & Casino
Bangor Raceway	Freehold	Nassau Regional OTB	Tampa Bay Downs
Batavia	Gillespie County Fair	New Jersey Casino Assoc.	Taunton Acct Wagering
Bettor Racing	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Beulah Park	Ewin International Ltd.	Newport Jai Alai	The Downs at Albuquerque
Birmingham	Intl Betting Assoc. Ltd	NJ Mobile	Thistledown
Bluffs Run Greyhound	Magna Bet	Northfield	Tioga Downs
Buffalo Raceway	Racebets	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Calder Racecourse	Greeneltrack	Northville	Turf Paradise
Canterbury	Greyhound @ Post Falls	NYRA	Turfway Park
Capital District OTB	Gulf Greyhound	NYRA Account Wagering	TVG Chester
Catskills OTB	Gulfstream	Oaklawn	TVG Network
Charles Town Race Course	Harrington Raceway	Ocean Downs	TVG Prairie
Chester Downs & Marina LLC	HarringtonBets (DE regional)	Panama	Twin River Greyhound
Churchill Downs	Hawthorne Race Course	Penn National	TwinSpires
Club Hípica InTurf	Hawthorne ADW	Penn National Telebet	TwinSpires High Volume
Coeur d' Alene Casino	Hazel Park	Peru	Velocity Wagering Ltd.
Colonial Downs	Hoosier Park	Philadelphia Park	Venezuela OTB
Colonial Downs Phone Bet	Horseman's Park	Philly Park Phone Bet	Vernon Downs
Columbus Raceway	Idabet	Plainridge Race Course	WatchandWager
Connecticut OTB	Indiana Downs	Plainridge Telephone Wagering	Western OTB
Bradley Teletheater, Bristol	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
New Britain, Norwalk, Milford	Intermountain Racing	Pocono Account Wagering	Will Rogers Downs
East Haven, Hartford, Putnam	Keeneland	Pocono Downs and OTB	Wyoming OTB
Shoreline Star, Sports Haven	Keeneland Select ADW	Portland Meadows	Wyoming Downs
Torrington, Waterbury	Kentuck Downs	Potawatomi Casino/ OTB	XpressBet
Manchester, New London	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Willimantic	Lebanon	Premier Turf Club	Yonkers ADW
John Martin's Manor Restaurant	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Group 1
Connecticut OTB ADW	Lewiston OTB's	Raceway Park	Zia Park
Coushatta Casino	Lien Games	Racing2Day LLC	123Gaming
Paragon Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	
Ho-Chunk Casino and Racebook	Howard Johnsons OTB	Remington Park	
Mohegan Sun Casino	Rumors OTB	Remington OTB Network	
Oneida Bingo and Casino	Skydancer Casino OTB	Retama	
Pony Bar Simulcast Center	Lien Games ADW	Racing & Gaming Services	
Tote Investment Racing	BetAmerica and Offtrackbetting	River Downs	
Randall James Racetrack	Lone Star	Rockingham Park	Separate Pool Locations
Millenium Racing	Louisiana Downs	Rockingham Account Wagering	Camarero (Puerto Rico)
Royal Beach Casino	LVDC	Ruidoso Downs	Caymanas (Jamaica)
Divi Carina Bay Casino	Atlantis Paradise Casino	Running Aces Harness Park	MIR Books (Callente)
Fair Chance	Avatar Ventures	Saddle Brook Park	NDS Books (Nevada)
Winner's Circle	Buffalo Thunder Resort	Sam Houston	Tabcorp (Australia)
Corpus Christi Greyhound	Foxwoods Resort Casino	Valley Greyhound Park	
Delaware	Meskwaki Bingo & Casino	Saratoga Harness Raceway	
Delta Downs	Maronas (South America)	Saratoga Bets (ADW)	
Derby Jackpot		Scarborough	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine, Woodstock/Ontario

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
N/A		

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Board of Directors
Los Alamitos Racing Assn.
4961 Katella Ave.
Los Alamitos, CA 90720**

- B. Names and addresses of the trustees or directors of the distributing agent:

Rick English, Los Alamitos Racing Assn. 4961 Katella Ave. Los Alamitos, CA 90720

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code sections 19550(b) and 19550(c).

CHRB-17 (Rev. 07/11)

from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Bob Moreno	Racing Secretary
Tora Yamaguchi	Asst. Racing Secretary
Charles McCaul	Clerk of Scales
Melanie Stubblefield	Clerk of the Course
Jennifer Paige	Horse Identifier
Victor Tovar	Horseshoe Inspector
Karen Denovel	Paddock Judge
Ruben Hernandez	Patrol Judge
Karen Deneval	Patrol Judge
Kevin Colosi	Placing Judge
Dawn Schmid	Placing Judge
Jay Slender	Starter
Russ Hudak	Timer/Morning Line Maker
Dr. Dana Stead	Association Veterinarian

B. Management officials in the racing department:

Director of Racing Bob Moreno
Racing Secretary Bob Moreno
Assistant Racing Secretary Tora Yamaguchi
Paymaster of Purses Dawn Jackson
Others (identify by name and title)

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Weinstein Court Reporters
P O Box 26634
Santa Ana, CA 92799
949-637-6071
Mderieg@weinsteincourtreporters.com

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp. USA – Bill**

Expires: Dec. 31, 2014

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Jim Porep, President Pegasus Communications Inc.
 16633 Ventura Blvd. Suite 1010
 Encino, CA 91436
 Contract Expiration – April 21, 2017 See Attachment**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timing devices maintained and operated by Los Alamitos Race course personnel. Systems backed up by hand timing.**

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Keith Hicks, Chief of Security
 714-820-2660 frontside
 Backside Security Office – 714-820-2832
 Organization Chart – See Attachment**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Stable Security Manager	5 Gatemen
3 Investigators	20 Security Guards	

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Unless directed otherwise by CHRB it is not the plan of Los Alamitos Racing Association to use a detention barn for stakes run during our 2014 summer meet. Written plan for stakes security is attached.

- 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention stall area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention stall area.

- 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB directives

- B. Plan for enhanced surveillance for trainers with high-test results.

13 E



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

LARC Contract Term:
 April 21, 2014 / April 21, 2017

Major Video Equipment Listing

Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software

32/16 io Sony 2 ME Switcher

Recording systems:

1- ZPlay HD video recorder, slow motion playback

1- 3play HD video recorder, slow motion playback

1-Sony DigiBeta

Audio Mixing Board

144x Router

Camera locations

1- HD Pan camera 1

1- HD Pan camera 2

1- HD 7/8 tower

1- HD 3/8 tower

1- HD Wireless gate camera system

1- HD Shutter cam at finish line

1- HD Jib mount winner's circle/finish line

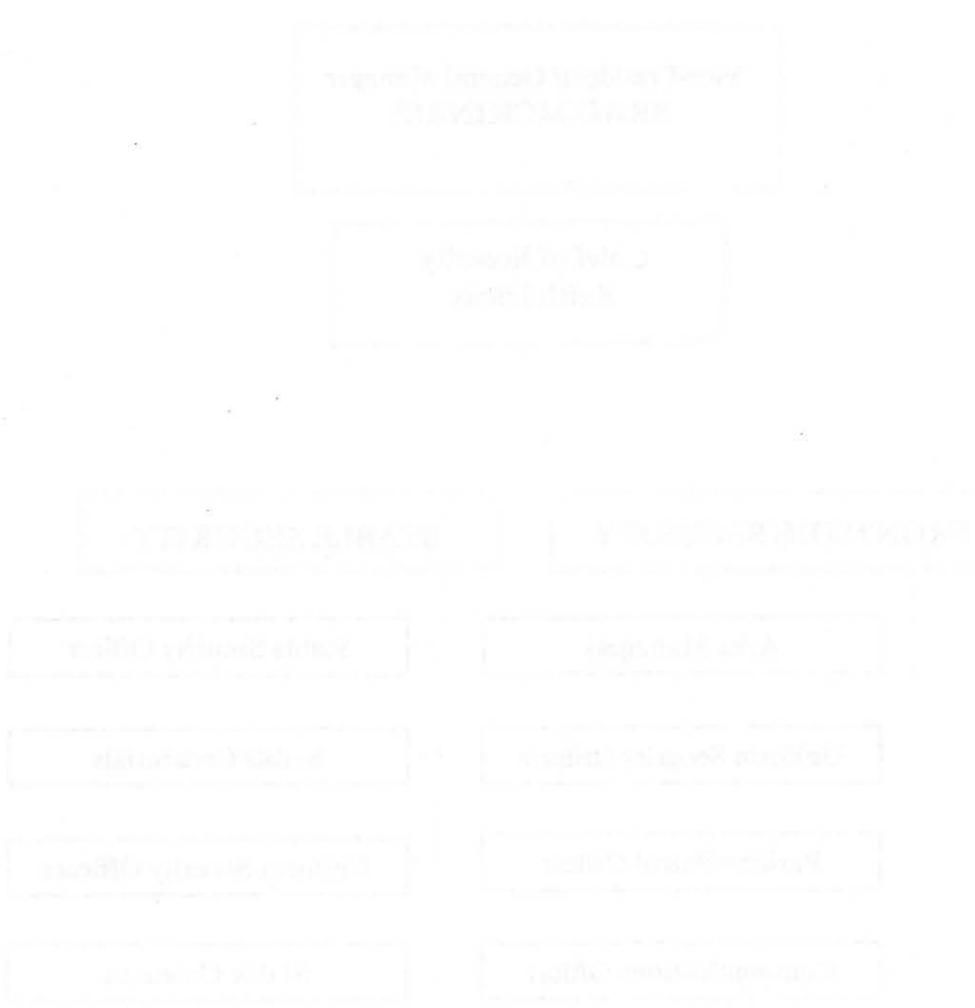
2- HD TVG Set

1- HD Pan camera 3 / Isolation, cover shot

1- HD 3/8 quarter horse tower

Emergency Track Lighting System
Los Alamitos Race Course

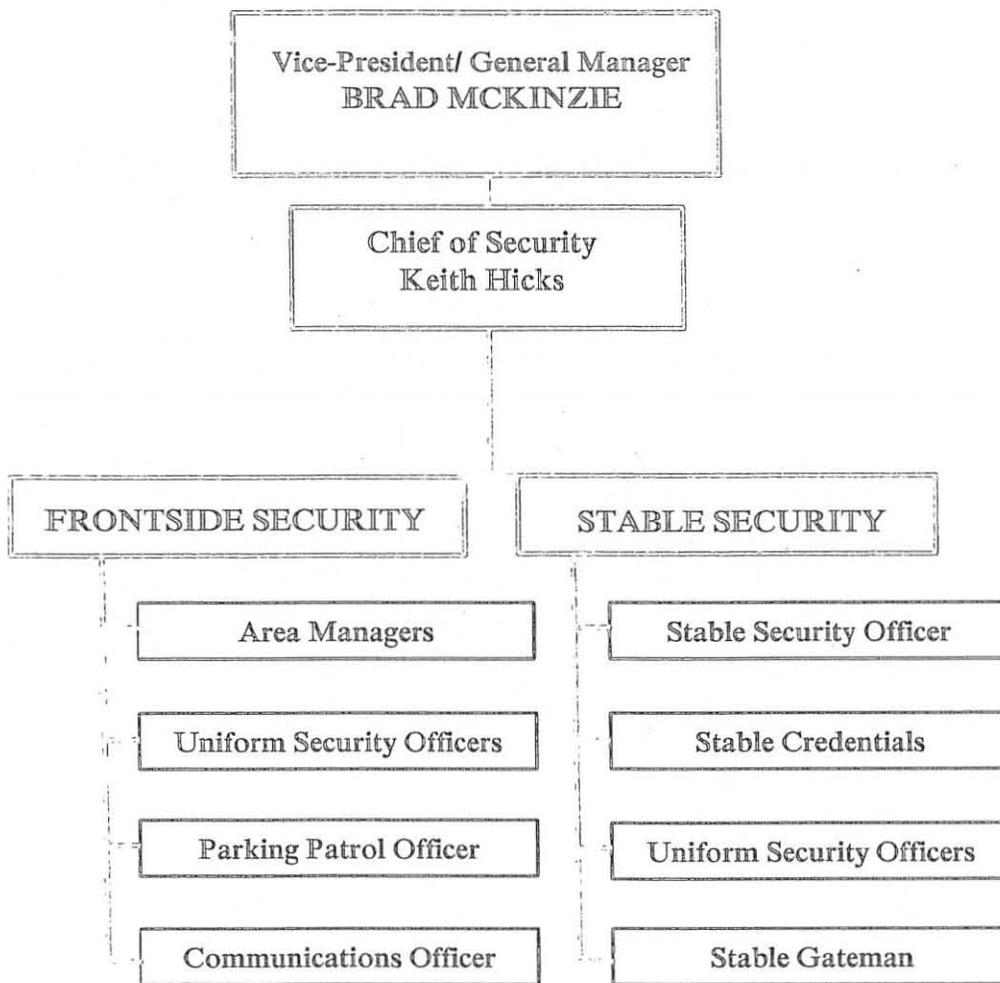
The main race track lighting system is comprised of (970) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.



14 A

LOS ALAMITOS RACING ASSOCIATION

Security Live Racing



Attachment 14.1 / 14.2

Program for Enhanced Security for Stakes Races

During the thoroughbred racing season at Los Alamitos all stakes races with a purse of \$100,000 or more will be subject to enhanced security procedures conducted by Los Alamitos Race Course personnel.

For all stakes with purses of \$100,000 or more there will be security personnel assigned to each barn with a horse(s) entered in that race. This security guard will monitor all individuals entering the barn and they will all be equipped with a video recording device to record that activity.

As per our agreement with the TOC, this added surveillance will commence no later than 6 hours prior to the post time of the subject race.

For the \$500,000 Los Alamitos Derby to be run on July 5, this enhanced security program will be in place 48 hours prior to the running of the race.

This enhanced security coverage will be in addition to a minimum of 2 armed security personnel who will be patrolling the barn area on a 24-hour per day basis.

CHRB directives will be followed

C. Plan for detention stalls for repeat offenders. **CHRB directives to be followed**

D. Number of security personnel assigned to the TCO2 program.
As per needed according to CHRB directives

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Los Alamitos does not have video surveillance cameras in these locations

D. For night racing associations. Describe emergency lighting system:

We are not a night racing Association. All thoroughbred racing will be during the daytime hours.

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Huntington Ambulance Service
 P O Box 145
 Sunset Beach, CA 90742
 562-904-1550
 Track # 714-820-2845**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**San Luis Rey Downs
 North county fire Protection District
 31403 Old River Road
 Bonsall, CA 92003
 Phone – 911**

**Fairplex Park
 Cole-Schaefer Ambulance Service
 Albert Cisneros – 909-238-8413
 324 N Towne Ave. Pomonas, CA 91767**

**Santa Anita
 AmbuServe
 15105 S. Broadway
 Gardena, CA 90248
 Bryan Rusling- 310-644-0500**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

F. Describe the on-track first aid facility, including equipment and medical staffing:

Staffing – Contracted track physician, four certified EMT's, 2 licensed emergency ambulances

Equipment – First Aid supplies; Oxygen equipment; blood pressure monitoring; backboard,

15 A

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

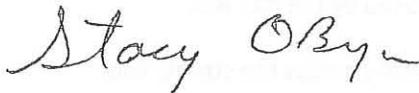
April 23, 2014

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.



*Excellence
Integrity
Service*

15.1

**COUNTY OF ORANGE
HEALTH CARE AGENCY**

**HEALTH DISASTER MANAGEMENT
EMERGENCY MEDICAL SERVICES**

**MARK REFOWITZ
DIRECTOR**

**HOLLY A. VEALE
ACTING DEPUTY AGENCY DIRECTOR
MEDICAL SERVICES**

**LYDIA MIKHAIL, MBA
DIVISION MANAGER
HEALTH DISASTER MANAGEMENT**

**TAMMI McCONNELL RN, MSN
EMS ADMINISTRATOR**

405 W FIFTH STREET, SUITE 301A
SANTA ANA, CALIFORNIA 92701

TELEPHONE: 714-834-3500
FAX: 714-834-3125

September 20, 2013

Brad McKinzie
Los Alamitos Race Track
4961 Katella Avenue
Los Alamitos, CA 90720

Dear Brad:

After visits to the Los Alamitos horse racing facility, reviewing patient care reports, and using input from jockeys, trainers, track administration, the current ambulance provider, paramedics at the nearest OCFA fire station and agency staff, Orange County Emergency Medical Services is comfortable with the current provider continuing to provide ambulance transportation and medical care at Los Alamitos Race Track.

We would make the following recommendations to improve the track EMS operations:

1. Ensure track personnel activate the 9-1-1 system immediately using the tower observer (steward, etc.) on every call in which a jockey falls at high speed during racing or training.
2. Require that all contract ambulance employees complete and maintain certification in the 16 hour International Trauma Life Support course, or an equivalent, along with their EMT, CPR etc. Statewide, many paramedics and EMTs have not taken this certification but it is especially appropriate for track medical personnel whose main focus will be on patients for whom mechanism of injury is often high speed trauma.
3. Educate the jockeys on Orange County EMS trauma policies that require that all patients who fall at full gallop/racing/training speed be transported to a designated Orange County Trauma Center for evaluation and treatment.
4. Consider that the contracted ambulance provider have on every transport unit an 800 MHz radio available capable of direct communication with responding Orange County Fire Authority (OCFA) personnel. Contract ambulance staff would also receive training on operating this radio.
5. Consider the contract ambulance provider participate in the Orange County Medical Emergency Data System (OC-MEDS) which is a web-based, electronic Patient Care Report system to ensure compliance with OC EMS triage and treatment policies. The current call volume (1-3/month) is low enough that the provider would not have to buy additional hardware. Access and manual data entry of the patient care record can be done on any internet connected computer.
6. The ambulance provider, in conjunction with Race Track management, could coordinate with OCFA an orientation meeting with one of the three OCFA shifts at the closest fire station each month. Initial orientation should include the identification of the entry gate at the parking lot adjoining the track; track operations unique physiological characteristics of the jockeys such as, dehydration, etc.)

Brad McKinzie
September 20, 2013
Page 2

7. OC EMS could coordinate an annual safety briefing with jockeys/trainers at which contract ambulance personnel explain (in Spanish, or with translators) the care patients receive on the track, in the ambulance or at the hospital – including a spinal immobilization demonstration, description of the capabilities of a trauma center, etc.)
8. The ambulance provider will have AEDs on the two transport vehicles at the racetrack and EMTs will be trained in their use.

As we discussed at the track, the first 5-10 minutes of most trauma calls are focused on the Basic Life Support skills of stabilizing the patient's airway and spine, evaluating for injuries and packaging them for transport.

At this time, intermediate techniques such as the Combitube are controversial in an urban setting, especially when the nearest Advanced Life Support fire station is less than a half mile away.

We believe there is a benefit to having horse-racing-specific EMS personnel who are familiar with track operations, personnel and geography.

Please contact our agency with any questions,



Samuel Stratton, MD MPH
Medical Director
Orange County EMS

SS:mn #1770

15.1



A - Paramedic Fire Station

B - Paramedic gate

MERCY
Medical
Transportation
Inc.

ADMINISTRATION
P.O. Box 5004
Mariposa, CA
95338-5004
(209) 966-5762
FAX (209) 966-4901

February 10, 2014

California Horse Racing Board

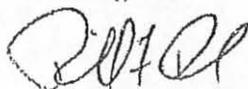
Re: Ambulance Certification Letter

Dear Sirs,

Mercy Medical Transportation, Inc. employs only Paramedics that are State Certified and Licensed and San Diego County Certified and Licensed. All of our Paramedics have background checks, drug testing and have been sent through the Department of Justice. Mercy also participates in the DMV pull notice program.

Mercy has a continuous quality improvement plan and high standards for continuous training of our personnel.

Sincerely,



Richard F. Roesch

President

15 B

The logo for Cole-Schaefer Ambulance Service features the company name in a bold, sans-serif font. The text is arranged in three lines: "COLE-SCHAEFER" on the top line, "AMBULANCE" on the middle line, and "SERVICE" on the bottom line. The text is set against a background of a dotted, star-like pattern.

February 12, 2014

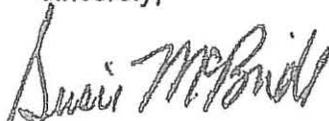
California Horse Racing Board

1010 Hurley Way, Suite 300
Sacramento, California 95825
(916) 263 - 6000

Dear Sir or Madam:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Cole-Schaefer Ambulance Service, Inc. are trained, background checked, and State certified to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Services Agency.

Sincerely,

A handwritten signature in cursive script that reads "Susie McBride".

Susie McBride
Human Resources

15 B



AmbuServe
Ambulance



May 13, 2014

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc. are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Ambuserve, Inc.
15105 S. Broadway
Gardena, CA 90248
(310) 644-0500

Respectfully,

Bryan Rusling
Paramedic Coordinator/Manager

cervical collar; 3 patient beds.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Dr. Paul Anile 714-820-2710**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
Los Alamitos General Hospital
3571 Katella Ave.
Los Alamitos, CA 90720
562-598-1311
- G. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Los Alamitos Medical Center
3571 Katella Ave.
Los Alamitos, CA 90720
562-598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)**
2801 Atlanta Ave.
Long Beach, CA 90806
562-933-2000
- UC Irvine MedicalCenter (Certified Trauma Center)**
101 The city Drive
Orange, CA 92868
714-456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **See Attachment**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Frank Sherren
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
See Attachment
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Self Insured through Finish Line Self Insurance Group Certificate # 4524-001**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, and one of

15 F

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident.

*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPODROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballos sueltos, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de las pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinaran si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grave por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.

15 H

6-38



ORANGE COUNTY FIRE AUTHORITY

P. O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Keith Richter, Fire Chief

(714) 573-6000

www.ocfa.org

October 11, 2013

Los Alamitos Race Course
4961 Katella Av.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspections have been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If I can be of further assistance, please contact me at 714-229-8805.

Respectfully,

Antonio Aleman
Senior Fire Prevention Specialist

15 J



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
10/9/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed, If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104	CONTACT NAME: Sara Przemielewski		
	PHONE (A/C No. Ext): (415) 957-0600	FAX (A/C. No): (415) 957-0577	
E-MAIL ADDRESS: sprzemielewski@maroevich.com			
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos CA 90720	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A: New York Marine & General		
	INSURER B:		
	INSURER C:		
	INSURER D:		
	INSURER E:		

COVERAGES CERTIFICATE NUMBER: CL1310928222 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS								
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GENL AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$								
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$								
	UMBRELLA LIAB EXCESS LIAB DED: RETENTION \$ <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE					EACH OCCURRENCE \$ AGGREGATE \$ \$								
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/>	N/A WC2014EPP00127	1/1/2014	1/1/2015	<table border="1"> <tr> <td>WC STATU-TORY LIMITS</td> <td>OTH-ER</td> </tr> <tr> <td>E.L. EACH ACCIDENT</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - EA EMPLOYEE</td> <td>\$ 1,000,000</td> </tr> <tr> <td>E.L. DISEASE - POLICY LIMIT</td> <td>\$ 1,000,000</td> </tr> </table>	WC STATU-TORY LIMITS	OTH-ER	E.L. EACH ACCIDENT	\$ 1,000,000	E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000	E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
WC STATU-TORY LIMITS	OTH-ER													
E.L. EACH ACCIDENT	\$ 1,000,000													
E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000													
E.L. DISEASE - POLICY LIMIT	\$ 1,000,000													

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
 Coverage extended as of start date below to employees assigned to listed trainer for Horse Racing and Training Codes: 8631, 8278

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

S Przemielewski / SPR *Sara Przemielewski*

#15J

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC., A GENERAL PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

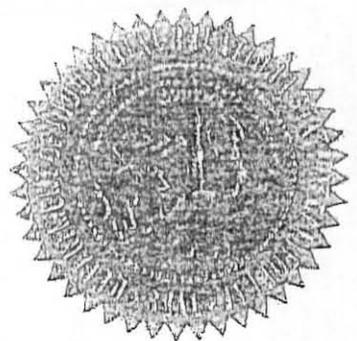
FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE:
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



[Signature]
MARK T. JOHNSON, MANAGER

[Signature]
JOHN M. REA, DIRECTOR

*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

Handicappers –

Bob's Card Inc. **CHRB 25-A and CHRB -87 on file**
Tiffany Bohland
6288 Highland Meadows Dr.
Medina, OH 44256

Winner's Card Corp. **CHRB 25A and CHRB – 87 on file**
c/o Don Harris
924 Knob Hill Ave.
Redondo Beach, CA 90277

Today's Racing Digest **Exempt from CHRB 25A and 87**
Jason Karches
2080 Las Palmas Dr.
Carlsbad, CA 92009

Triangle Turf Winners **CHRB 25A and CHRB 87 on file**
William Archer
1 Scott St.
Gardnerville, NY 89410

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans: for the race meeting:

B. Promotional/ Marketing budget for this race meeting: **\$155,000**

Promotional/Marketing budget for prior race meeting: **n/a**

C. Number of hosts and hostesses employed for meeting: **8**

D. Describe facilities set aside for new fans:

Infield at Los Alamitos is being upgraded for fan use for the first time with food service facilities, restrooms, betting machines , Tv's, etc. This is being done specifically to attract a new, younger crowd.

LOS ALAMITOS RACING ASSOCIATION

Marketing/Advertising Plan
For 2014 July Thoroughbred Meet

8 Days of Racing
July 3 – July 13



4961 Katella Avenue, Los Alamitos, CA 90720

714-820-2800 • www.losalamitos.com

2014 Los Alamitos Racing Association Marketing/Advertising Strategy

The marketing/advertising program for the 2014 Los Alamitos summer thoroughbred season is a strategy that has been in implementation since our track was first awarded racing dates. Los Alamitos is faced with a unique branding dilemma – we are a new thoroughbred meet but yet the Los Alamitos name is nationally known as the historic home to world class quarter horse racing.

It was clear to us from start of this process that the Los Alamitos thoroughbred meeting had to develop its own identity as a first class race meeting and not simply an extension of the quarter horse and lower level thoroughbred racing that we have offered at night over the last several years. If our new thoroughbred meet was perceived as an extension of our night meeting it would have a dramatic impact on the success of our season including lower fees paid for our signal by out of state tracks to the many off-track bettors overlooking our product during the competitive daytime hours.

Our goal from the start was to break that identity and re-brand our thoroughbred meet as first class racing on a competitive level with any race meet in the country. Our first step in that effort was to commit to a game changing race – the \$500,000 Los Alamitos Derby. Working in partnership with the TOC we have created a race that is not only a welcome addition to the southern California stakes schedule, it is an event that sent a loud message to the racing world that this Los Alamitos thoroughbred meeting was a world class event.

This strategy has worked as evidenced by the fact that Los Alamitos and our partner Monarch Content Management being able to negotiate out of state fees for our exported product that equal the fees paid to other major southern California racing venues such as Santa Anita and Del Mar. This fact will lead to higher purses for the 2014 Los Alamitos summer thoroughbred meeting.

Of course our efforts to brand Los Alamitos as a first class thoroughbred racing venue have been helped immeasurably by the heroics of our hometown hero California Chrome. We have been very successful in parlaying the success of California Chrome into instant branding for Los Alamitos, not only on a national level, but just as importantly on a local media level.

Our media event centered on California Chrome's last workout at Los Alamitos before heading to the Kentucky Derby not only attracted approximately 600 onlookers, but a media attendance that resulted in stories in the Orange County Register, the Long Beach Press Telegram and a front page story in the

Los Angeles Times sports section. These stories and this media coverage have fast tracked the branding of our Los Alamitos summer season as a world class event among potential on-track and satellite customers.

As you know, marketing is more than advertising a product. An essential element in any marketing program is the pricing and presentation of your product and we feel Los Alamitos is very well positioned in these areas. Los Alamitos offers the most reasonable pricing structure in the southern California racing industry with free general parking, \$3 grandstand admission and \$10 admission to the high end Vessels Club. In addition as the owner of our own concessions company, Los Alamitos strives to offer very reasonable pricing for food and beverages.

As we approached this first thoroughbred racing season our goal was to not only market to our potential on-track customers, but to make a concentrated marketing effort to reach the off track satellite bettors and the home ADW players who generate approximately 85% of the purse and track commission revenues at any southern California thoroughbred meeting.

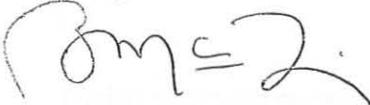
To reach this vital audience Los Alamitos has entered into marketing partnerships with the Daily Racing Form, TVG and ExpressBet/HRTV. We will discuss those partnerships in detail within this report.

In addition to these industry-related partnerships, Los Alamitos has forged a new partnership with the Orange County Register which is specifically designed to drive new fans to horse racing. This partnership with Register Connects is an exciting addition to our marketing strategy and brings the full power of the Orange County Register subscriber list to horse racing. We detail this partnership in this presentation.

Despite the budget constraints brought on by being limited to an 8-day race meeting, we feel we have crafted a marketing/advertising program that will reach the entire scope of the potential and established customers so essential to the success of this first Los Alamitos summer thoroughbred season.

We look forward to presenting this package to the CHRB at our upcoming May meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "BMcKinzie", written in a cursive style.

Brad McKinzie
Vice-President/General Manager
Los Alamitos Racing Association

Los Alamitos

Racing Association

2014 July Thoroughbred Meet

Marketing/Advertising Overview

Los Alamitos Commits to High Definition Television Capability

In recognition of the growing segment of our betting audience that watches the races from home on such networks as TVG and HRTV as well as other local and national outlets, Los Alamitos Race Course has made a sizeable commitment to the future by investing in a new television production package that will make our facility only one of six tracks in the country to offer High Definition signals of our races.

Working with Pegasus Communications, Los Alamitos Race Course will spend an immediate \$200,000 to equip its on-track television production room and all track cameras to the High Def capability.

This upgrade to High Definition television capabilities will be completed prior to the start of the 2014 Los Alamitos Summer thoroughbred season.

LOS ALAMITOS

RACING ASSOCIATION

2014 July Thoroughbred Meet

Marketing/Advertising Overview

Reaching Off Track Satellite Customers

To reach the California and out of state off-track satellite customer LARA has focused its efforts on a partnership with the Daily Racing Form that is designed to begin branding our race meet as a first class event well before the start of our meet. In addition this DRF partnership has also been tailored to drive off-track customers to our race meet during the season.

The elements of this program include –

1. **DRF Ads Prior to Opening.** Well before the start of our meet LARA will be placing print advertising and web page advertising with the DRF branding our race meet as a first class event. To accomplish this goal we will be using recognizable faces such as Gary Stevens, Bob Baffert and Mike Smith to accentuate the quality of horsemen who will be racing at our meet.
2. **Free Past Performance Information To DRF On Line Customers.** With this program any DRF customer who downloads a set of past performance lines from any track in the country will be given the opportunity to download past performance lines for that day's Los Alamitos meeting for free.
3. **Advance Past Performance Information For Los Alamitos Derby Day in DRF Print Edition.** The national DRF print edition for Friday, July 4 will also feature past performance lines for the entire Saturday, July 5 program at Los Alamitos. This date is Los Alamitos Derby Day and we will be the only track with advance past performance lines in the DRF national print edition.
4. **Los Alamitos Derby Feature Race Of The Day.** The \$500,000 Los Alamitos Derby will be the DRF's "Race of the Day" in both print and on-line editions despite the presence of numerous other major stakes being run throughout the country on this day. This "Race of the Day" status will include extensive pre-race coverage, email race news to all DRF on line subscribers and all articles and handicapping information on this race day being offered free to DRF on-line subscribers.
5. **Closer Looks on All Los Alamitos Races in DRF Print Edition.** In addition to running Los Alamitos past performances in its print edition, the DRF will include handicapping "Closer Looks" pages on all Los Alamitos races during the July meeting.

Cost of Los Alamitos/Daily Racing Form Promotion Package - \$42,500

Los Alamitos

Racing Association

2014 July Thoroughbred Meet

Marketing/Advertising Overview

Reaching ADW Players

The purpose of targeting ADW players with a specific marketing/advertising push is two-fold. One goal is to encourage the players who are betting at home and have a potential menu of every race in the country to focus on the Los Alamitos races. A second goal is to entice the substantial number of ADW players who live within a 15-mile circle of Los Alamitos to leave their coach and come to the track.

To accomplish this goal LARA has entered into a marketing/advertising partnership with TVG. Prior to the opening of our meet we hope to reach a similar partnership agreement with ExpressBet/HRTV. The elements of this package include –

1. **Pre Meet Awareness Spots.** These spots will be designed to brand our July meeting well before opening day. Once again we will be using familiar faces to send the message that the Los Alamitos thoroughbred meeting will feature nationally known horsemen. We will be running a minimum of 95 pre-meet 30-second awareness spots leading up to the July meeting. These spots will run nationally on TVG.
2. **Visit Los Alamitos spots.** These spots, which will run both nationally and locally when TVG is carried by FOX, Prime Ticket and Fox Sports San Diego, will highlight the on-track experience at Los Alamitos. A minimum of 20 Visit Los Alamitos spots will run leading up to the meet.
3. **National Wagering Promotions.** These partnership promotions will include “Double Your Odds” on the Los Alamitos Derby where TVG subscribers can receive double the odds when playing the Los Alamitos Derby.
4. **On Track Attendance Marketing to TVG Players.** LARA will produce a special on-track fan experience package for 23,000 local TVG players giving them special incentives to attend the races at Los Alamitos. This package will include free preferred parking, reduced Vessels Club admission and Vessels Club food discounts.
5. **On Line Handicapping Contest.** LARA and TVG will partner to host a \$5,000 Opening Day Handicapping Contest for TVG players. The purpose of this contest is to focus the ADW audience on the Los Alamitos program right from the start of the meet.

Cost of TVG Promotion Package – trade out with LARA for on-track signage and other marketing opportunities.

Los Alamitos Racing Association

2014 July Thoroughbred Meet
Marketing/Advertising Overview

On Track Promotions

Driving new fans to the first Los Alamitos Thoroughbred meet is a key goal for LARA. To accomplish this goal we are making a sizeable commitment to local print and air media. This local media package includes –

1. **Orange County Register Print and Digital.** LARA will spend \$26,000 with the Orange County Register for pre-meet and actual race day print advertising and promotion. This advertising for our 8-day Thoroughbred season is part of a year-round promotion commitment to the OC Register that will include the entries and results for southern California racing returning to the OC Register sports section.
2. **Register Connects New Fan Promotion.** *Register Connects* is a program offered by the OC Register that connects businesses with the 180,000 Register subscribers. The purpose of the program is to offer *Register Connects* members special incentives to attend Angels games, Ducks games, music festivals and other local activities. This promotion element involves a new partnership between the OC Register and Los Alamitos Race Course specifically designed to drive new fans to the track. Los Alamitos will spend more than \$20,000 to upgrade our infield to allow for a special “*Register Connects Party Section*”. Los Alamitos will also offer *Register Connects* members added incentives such as handicapping classes, betting vouchers and free admission. The entire purpose of this partnership is to drive a new, younger audience to the on-track racing experience.
3. **Long Beach Press Telegram.** LARA will spend \$10,000 with the Long Beach Press Telegram for pre-meet and race day print advertising.
4. **Local Cable Television Advertising.** LARA will spend \$12,000 in the local cable market focusing mainly on 30-second spots during Angel and Dodger telecasts as well as additional buys on the Golf Channel. This targeted approach is designed to reach a demographic most likely to be interested in horse racing. This television buy will include 195 30-second spots on local cable networks.
5. **On Track California Chrome Tee Shirt Giveaway.** On opening day of the LARA meeting all fans in attendance will be given a full color California Chrome tee shirt. This promotion will be well promoted in local media through both print and television advertising as well as through direct mail to the Los Alamitos mailing list of 20,000 fans. The cost of this promotion including the cost of 10,000 tee shirts and direct mail campaign is \$45,000.

Total Cost of On Track Marketing/Advertising - \$93,000

Los Alamitos

Racing Association

2014 Summer Thoroughbred Meet

Marketing/Advertising Overview

2014 Summer Thoroughbred Meet Summary of Marketing Plans

Daily Racing Form Print and Web Page Advertising Package - \$42,500

TVG Promotion Package – Trade Out for On Site Promotion

On Track Local Print and Television Package, Fan Giveaway - \$93,000

Register Connects Package - \$20,000

Marketing/Advertising Package for 8 - Day Summer Meeting - \$155,000

Los Alamitos Race Course

REGISTER connect



World Class Horse Racing...



... Elegant atmosphere



& Fine Dining

Register Connect/Los Alamitos Thoroughbred Promotions July

How it works:

- Promotion: 2 weeks (or until all vouchers have been requested). Promotion to run in both OC Register & LA Register
- Register Connect members request a voucher online (each voucher good for 4 people for specific day(s) of the race – July 3-13, 6,000 vouchers total)
- RC members receive a \$2 betting voucher (provided by Los Alamitos) when they arrive along with a 'Betting 101 Cheat Sheet' with betting tips
- RC members must 'Show Your Card' to gain access to the 'Register Connect Exclusive VIP Area' in the infield (infield is open to general public, but there is a designated VIP area for RC members)

Register Connect/Los Alamitos Thoroughbred Promotions July

(continued)

- 'Register Connect VIP Area' within the infield for OCR and LAR RC members- Los Alamitos to provide food/beverage concessions, music, food trucks, etc. for atmosphere
- One (1) race will be the "Register Connect Race of the Day" each day
- One (1) RC member will be chosen to take a photo with the winner from the 'RC Race of the Day'
- Promoted via print ads, website, email blasts & social media
- This offer is exclusively for Register Connect
- Same promotion for December meet

Freedom Newsgroup/Los Alamitos Additional Partnership Opportunities

Los Alamitos to Provide:

- Opportunity to host events at the race course
- Signage opportunities at the race course
- Register Connect members to get a VIP area within infield for thoroughbred meets in July and December and will include signage & branding opportunities
- Subscription offers through channels such as: Web site, mailing lists, email blast, booth onsite & program ads

Overall Partnership

OC Register/LA Register to provide:

- Register Connect Promotion for July 3-13 Race (both OCR & LAR)
\$100,000 monthly total
- Both promotions include the following print exposure: print ads, website, email blasts & social media
- Possible trade for LAR Signage (tbd)

Los Alamitos to provide:

- Paid schedule
- RC July promotion - honoring 6,000 vouchers (each voucher good for 4 people for two of the days – July 5)

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. **Horsemen – Upgraded saddling paddock, enlarged walking ring, enlarged and upgraded jockey’s room, new winner’s circle area.**
2. **Fans – All restrooms upgraded and refurbished, new bar and food concession areas, new sports bar area in upper grandstand, new patio seating area overlooking walking ring and racetrack, clubhouse dining room refurbished and upgraded, 150 additional flat screen televisions, television production facility and all cameras upgraded to HD quality, parking lot repaved and restriped**
3. **Facilities in the restricted areas – new test barn and receiving barn, all bathrooms refurbished, new dirt horse path throughout barn area, barns and stalls refurbished and painted**

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) - \$3
- Admission (clubhouse) \$5
- Turf club \$10
- Reserved seating (general)
Free
- Reserved seating
(clubhouse) Free –
- Parking (general)
Free
- \$6 -Preferred
Parking
- \$10 Premium
Parking
- Parking (valet)
none
- Programs (on-track) \$3
- (off-track) \$3

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season Tables - \$480 / Grandstand Season Tables - \$250

C. Describe any "package" plans such as combined parking, admission and program:

We will not be offering a “combined” package

19. JOCKEYS/DRIVERS’ QUARTERS

A. Check the applicable amenities available in the jockeys/drivers’ quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="60"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: Separate quarters with own showers and sauna and lockers. Shared common area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack
175
- C. Number of restrooms available on the backstretch of the racetrack:
9 mens / 7 ladies
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1-20**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt track. 81% sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-aways is: **3%**
The percent of cross slope in the center of the turns is: **6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Rick Hughes**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **no exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire

term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **Not applicable**

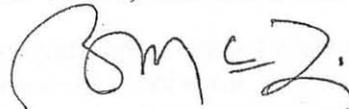
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **no exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **no exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Brian McKenzie
Print Name


Signature

V.P. General Manager
Print Title

4-3-14
Date

STAFF ANALYSIS

May 22, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) JULY 9, 2014 THROUGH JULY 20, 2014

California Exposition and State Fair (Cal Expo) filed its application to conduct a horse racing meeting at Cal Expo in Sacramento:

- July 9 - July 20, 2014, or 7 days, one day less than in 2013. July 9, 10, 14, 15, and 16 simulcast only; live racing to commence July 11, 2014. The fair proposes to race a total of approximately 82 races, the same number as in 2013.
- The proposed race dates are the approved dates allocated to Cal Expo.

July - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4 H	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

- Racing Friday through Sunday the first week and Thursday through Sunday the second week, with 8 races on Thursday, 12 races the first Friday, 11 races the second Friday, and 13 races per day on Saturdays and Sundays.
- Racing concurrently with Del Mar Thoroughbred Club July 17 through 20, 2014.
 - Number of horses available determines the number of daily races programmed by breed.
 - 2013 Race Meeting: Average number of runners per race (TB): 7.24
 - 2013 Race Meeting: Average number of runners per race (Arabian): 6.5
 - 2013 Race Meeting: Average number of runners per race (Quarterhorse): 6.5
 - 2013 Race Meeting: Average number of runners per race (Mules): 6.17
- First post 1:15 p.m. daily and 3:15 p.m. on Fridays
 - Cal Expo respectfully requests to adjust the post time schedule submitted, excepting the first post time, to maximize handle on the overall live and simulcasting program which includes Del Mar, Los Alamitos, and out of state import tracks.
- Wagering program will use CHRB and ARCI rules.
 - The distribution of the carryover pool will be July 20, 2014 (Closing Day).
 - Early wagering will not be offered.
 - Pick 4 on races 1 through 4 and on the last 4 thoroughbred races daily.

- Pick 5 will be on last 5 thoroughbred races – Major share 100% of pool. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- Pick 6 will be on last 6 thoroughbred races – Major share 100% of pool. If no 6 of 6, 60% carryover and 40% minor pool to most winners.
- Super High Five on last race – No consolation 100% carryover.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all document required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all document required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all document required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted a hub agreement between BAM Software and Services LLC (BAM) and California Authority of Racing Fairs.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Horsemen's agreement
2. California Thoroughbred Trainers agreement
3. Fire clearance

Outstanding items required before advance deposit wagers can be accepted by Bet America on **races conducted in California** from a resident of California:

1. Thoroughbred, Arabian, Quarterhorse and Mule horsemen approval of Bet America ADW agreement.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

- A. Name, mailing address, telephone, and fax numbers of fair:

California Exposition & State Fair
1600 Exposition Blvd., Sacramento, CA 95825
916-263-3000/Fax- 916-263-3230

- B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

- C. Provide the name, telephone, and email address for the fair contact person:

Rick Pickering, 916-263-3000, rpickering@calexpo.com

Larry Swartzlander, 916-263-3347, larry@calfairs.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **July 9 – July 20, 2014**

- B. Actual dates (live) racing will be held: **July 11, 12, 13, 17, 18, 19 & 20**

- C. Dates (live) racing will NOT be held: **July 9, 10, 14, 15 & 16**

- D. Total number of (live) racing days: **7**

- E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **First Week Friday – Sunday; Second Week Thursday thru Sunday**

3. RACING PROGRAM

- A. Total number of races: **82**

Respectfully request approval to adjust the number of races scheduled dependent upon availability of horses and mules.

- B. Number of races by breed:

<input type="text" value="71"/>	Thoroughbreds	<input type="text" value="3"/>	Quarter Horses	<input type="text"/>
<input type="text" value="5"/>	Arabians	<input type="text"/>		<input type="text" value="5"/>
				Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	11/11				7	10/9	11/11
Other Breeds	2/2				1	2/2	2/2
Total	13/13				8	12/11	13/13

D. Total number of stakes races by breed:

Thoroughbreds
 Quarter Horses
 Appaloosas
 Arabians
 Paints
 Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Governor's Handicap \$75,000 Guaranteed
 F&M, Three Year Olds & Upward – One & 1/16 Miles

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes
 No
 If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	<u>Daily</u>	<u>Fridays</u>
Race 1	1:15 PM	3:15 PM
Race 2	1:45 PM	3:45 PM
Race 3	2:15 PM	4:15 PM
Race 4	2:45 PM	4:45 PM
Race 5	3:15 PM	5:15 PM
Race 6	3:45 PM	5:45 PM
Race 7	4:15 PM	6:15 PM
Race 8	4:45 PM	6:45 PM
Race 9	5:15 PM	7:15 PM
Race 10	5:45 PM	7:45 PM
Race 11	6:15 PM	8:15 PM
Race 12	6:45 PM	8:45 PM
Race 13	7:15 PM	

We respectfully request approval to adjust submitted post time schedule, excepting first race post time, to maximize all wagering opportunities for our live program and all simulcast import programs including Los Alamitos, Del Mar, and out of state programs.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

- | | |
|-----------------------------------|-----------------------------------|
| Sonney Chong - Chair | Mark Nelson - Director |
| David Mallel - Vice Chair | Patrick O'Brien - Director |
| Rima Barkett - Director | Willie Pelote - Director |
| Corny Gallagher - Director | |
| Rex Hime - Director | |
| Hallie Muller - Director | |

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

- David Mallel - Chair**
- Hallie Muller - Director**
- Mark Nelson - Director**
- Patrick O'Brien - Director**
- Sonney Chong - Director**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

- Rick Pickering- Chief Executive Officer**
- Frank Mirahmadi- Public Address Announcer**
- Kevin Ingram- Stable Superintendent**
- Steve Wood- Track Foreman**

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

- Rick Pickering- Chief Executive Officer- rpickering@calexpo.com**
- Larry A. Swartzlander- Director of Racing- larry@calfairs.net**
- 1600 Exposition Blvd., Sacramento, CA 95825**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. **N/A**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2013 (8 days)	17,867,121	50,067
2012 (8 days)	18,130,984	51,626
2011 (9 days)	19,202,520	58,126
2010 (10 days)	19,122,174	59,655
2009 (11 days)	26,849,191	86,442

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

- A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$775,668
 Prior meet actual: \$843,320

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$96,958
 Prior meet actual: \$105,415

- 2. Overnight stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:
 Current meet estimate: **\$75,000**
 Prior meet actual: **\$150,000**

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: **\$9,375**
 Prior meet actual: **\$18,750**

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$850,668**
 Prior meet actual: **\$993,320**

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$65,010**
 Prior meet actual: **\$75,945**

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	2,084	2,435
TOC	4,194	4,870
NTRA	1,343	1,515
PCQHRA	822	961
ARAC	1,529	1,787
AMRA	2,449	2,861
CHBPAPEN	6,254	7,306
<u>CTHF</u>	<u>6,254</u>	<u>7,306</u>
Total-	\$24,929	Total- \$29,041

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: **\$940,607**
 Prior meet actual: **\$1,098,306**

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: **\$117,575**
 Prior meet actual: **\$137,288**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: **\$731,349**
 Prior meet actual: **\$845,380**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$91,418**

Prior meet actual: **\$105,672**

F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$106,122**

Prior meet actual: **\$123,975**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$13,265**

Prior meet actual: **\$15,497**

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) TOC Account No. 0601081938. Emerging Breeds Account No. 0601064512.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corp, 1816 Maryal Drive, Sacramento, CA 95864 916-482-4224
disher_accountancy@msn.com**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held: **960**

B. Minimum number of stalls believed necessary for the meeting: **700**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,124**

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

Alameda County Fair- 684

Golden Gate Fields- 1440

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

NCOTWINC Stabling and Vanning Fund agreement on file

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

G. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
960

H. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$7.91

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **\$150 from Pleasanton and Golden Gate Fields**

9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB # 1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	



March 31, 2014

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2014 Race Meet Application Review of the Alameda County Fair:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,

[Type text]

[Type text]



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

11B: The NCOTWINC agreement is current.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **July 20, 2014**
- D. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$.50 PNP4 on the first four races and the last four thoroughbred races each day
\$.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Sport Tech (Anthony Lovell) Expiration October 30, 2015**
Equipment description on file with CHRB.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
BetFair, (TVG), XPressBet & TwinSpires.com & Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting: **CARF agreement on file with the CHRB**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No

If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
Bankers Casino, Salinas
Big Fresno Fair, Fresno
California State Fair & Exposition, Sacramento
Club One, Fresno
Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside
Cabazon Fantasy Springs Casino, Indio
Commerce Casino Racebook, Commerce
Derby Club, Seaside Park, Ventura
Fairplex Park, Pomona
Fantasy Springs Casino, Indio
Los Alamitos Race Course, Los Alamitos
Mermaid Tavern, Thousand Oaks
Ocean’s 11, Oceanside
OC Tavern & Sports Bar, San Clemente
Roadhouse Grill, Santa Maria
Sammy’s, Lake Forrest
Santa Anita Park, Arcadia

Monterey County Fair, Monterey	Santa Clarita Lanes, Santa Clarita
San Joaquin County Fair, Stockton	Shalimar Sports Center, Indio
Santa Clara County Fair, San Jose	Sports Center, San Bernardino
Shasta District Fair, Anderson	Sports Pavillion at The Farmers Fair, Lake Perris
Solano County Fair, Vallejo	Sports Pavillion, San Bernardino City. Fair, Victorville
Sonoma County Fair, Santa Rosa	Surfside Race Place at Del Mar, Del Mar
Stanislaus County Fair, Turlock	Sycuan Gaming Center, El Cajon
*Open only during the Humboldt County Fair	Viejas Casino & Turf Club, Alpine
	Watch & Wager, Antelope Valley Fairgrounds, Lancaster

D. Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

2014 IMPORT TRACKS	
ARLINGTON	
BELMONT	
ASSINIBOIA	7/9-7/20
AUSTRALIAN RACING	7/9-7/20
BEULAH	7/9-7/20
CALDER RACECOURSE	7/9-7/20
CANTERBURY DOWNS	7/9-7/20
CHARLES TOWN	7/9-7/20
CHURCHILL DOWNS	7/9-7/20
DELAWARE	7/9-7/20
DOWNS AT ALBUQUERQUE	7/9-7/20
ELLIS PARK	7/9-7/20
EMERALD DOWNS	7/9-7/20
EVANGELINE DOWNS	7/9-7/20
FORT ERIE	7/9-7/20
GULFSTREAM	7/9-7/20
HASTINGS PARK	7/9-7/20
HAWTHORNE	7/9-7/20
INDIANA DOWNS	7/9-7/20
KEENLAND	7/9-7/20
KENTUCKY DOWNS	7/9-7/20
LAUREL	7/9-7/20
LONE STAR	7/9-7/20
LOUISIAN DOWNS	7/9-7/20
MONMOTH	7/9-7/20
MOUNTAINEER PARK	7/9-7/20
NYRA-AQUEDUCT	7/9-7/20
NYRA-BELMONT	7/9-7/20
NYRA-SARATOGA	7/9-7/20
PENN NATIONAL	7/9-7/20
PHILADELPHIA PARK (PARX)	7/9-7/20
PIMLICO	7/9-7/20
PRAIRIE MEADOWS	7/9-7/20
PRESQUE ISLE	7/9-7/20
RIVER DOWNS	7/9-7/20
SOUTH AMERICAN RACING	7/9-7/20
SUFFOLK DOWNS	7/9-7/20
SUNLAND PARK	7/9-7/20
SUNRAY PARK	7/9-7/20
TAMPA BAY	7/9-7/20
THISTLEDOWN	7/9-7/20
TURFWAY PARK	7/9-7/20
TURF PARADISE	7/9-7/20
UNITED KINGDOM	7/9-7/20
WOODBINE	7/9-7/20

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

ARF 2014 Meets
ommingled Locations

nWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Apahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Alma Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Clinton I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Okad	Fair Grounds ADW	Maywood	Sol Mutuel
Almoral	Fair Meadows	Meadowlands	Southland Greyhound
Almoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Angor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Atavia	Freehold	Monmouth Park	Suffolk District OTB
Attor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Bulah Park	Global Wagering Solutions	Monticello	Pat's Pizz
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Buffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	Sun Ray Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Baldler Racecourse	Race bets	New Jersey Casino Assoc.	Taunton Acct Wagering
Banterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Chatskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarksville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Watch and Wager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	Xpress Bet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB		

Pony Bar Simulcast Center	Skydancer Casino OTB	Premier Turf Club	
Tote Investment Racing	Lien Games ADW	Presque Isle Downs	
Randall James Racetrack	Bet America	Raceway Park	
Millenium Racing	Best Fast Now	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
Corpus Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
Delaware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

Amniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Uro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card
Cal Expo	Harness Horses	Per CHRB Calendar Full Card

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in

Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)-	Audrey Clifton DVM
Clerk of Scales-	Cheryl White
Clerk of the Course-	Tina Walker (TB) / Dolores Collins (other breeds)
Film Specialist-	Kenneth Sjoldal
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Larry Goshman
Paddock Judge-	Danny Winick
Patrol Judges-	Kenneth Sjoldal/Danny Winick
Placing Judges-	Steve Marinelli/Myra Troske
Starter-	Robert Mooneyhan
Timer-	Melody Truitt

B. Management officials in the racing department:

Director of Racing-	Larry A. Swartzlander
Racing Supervisor-	Kate Phariss
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Paymaster of Purses-	Victoria Layne
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Wendy Frazier, 13136 Ivey Rd., Herald, CA 95638 916-956-3914

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, USA- Bill O'Brien, Contract Expires December 31, 2015

D. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications, Inc (Jim Porep) Contract Expires: December 31, 2018**9- Cameras**

- 1- Upper Pan, 1- Lower Pan, 2- Roving (Infield, Starting Gate, Post Parade Infield, Paddock, Winners Circle, 6 Furlong Chute), 3- Tower Cameras, 1- Fixed Tote Board Camera, 1- Remote at 5/16 Pole outer rail.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communications, Inc. (Jim Porep) Contract Expires December 31, 2013

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Chief Robert Craft – 916-263-3050; Organizational chart attached

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Gate Persons- 9 Police Officers – 5 supported by roving patrol and 30-110 member Cal Expo Police Department.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. **No Graded Stakes**

B. Number of security guards in the detention stall area during a 24-hour period.
N/A

C. Describe number and location of surveillance cameras in detention stall area.
N/A

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will comply with and follow CHRB directives

B. Plan for enhanced surveillance for trainers with high-test results.

Will comply with and follow CHRB directives

C. Plan for detention stalls for repeat offenders.

Will comply with and follow CHRB directives

D. Number of security personnel assigned to the TCO2 program.

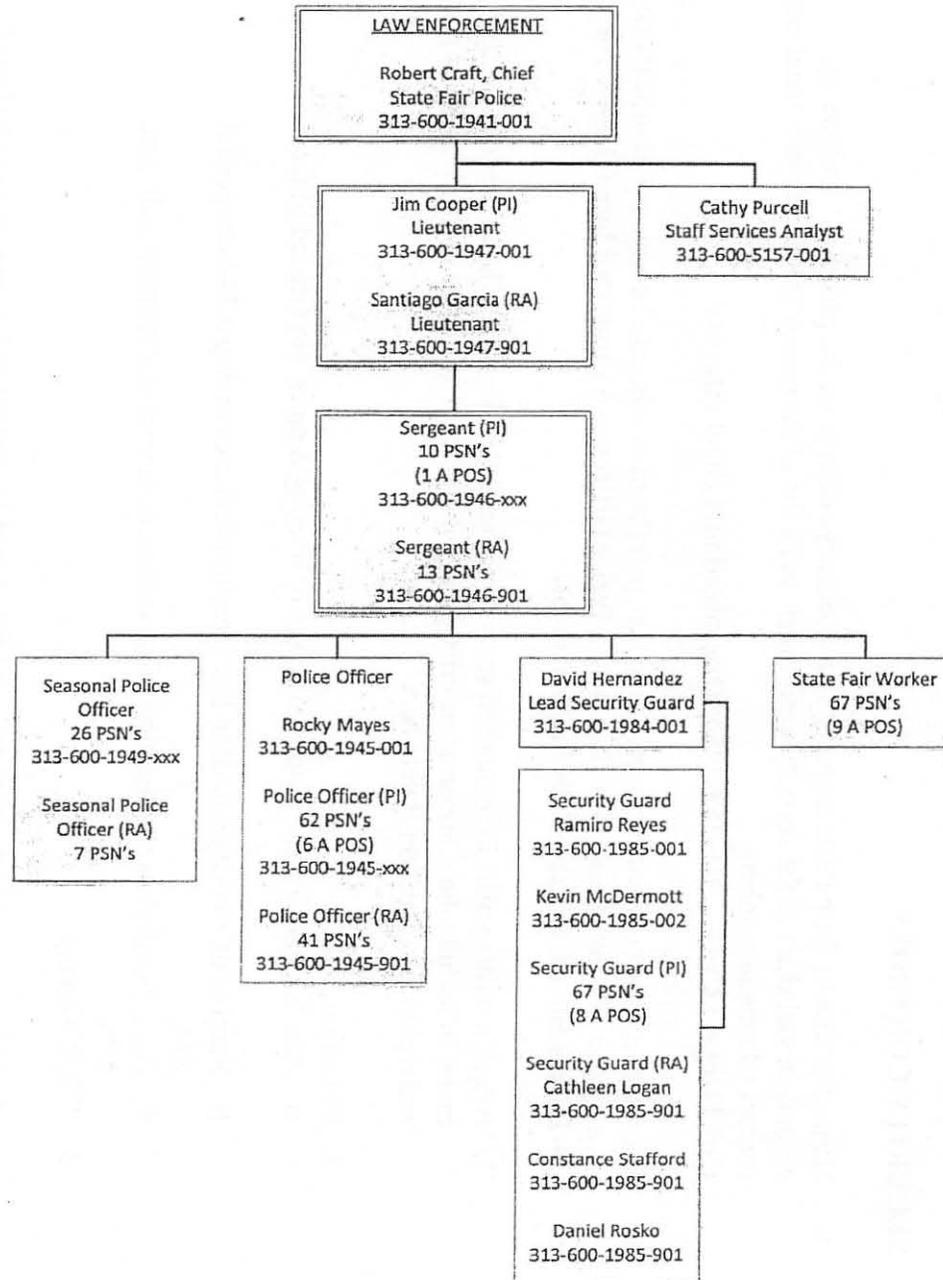
As directed by CHRB

- C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
Mobile surveillance system and gear provided and installed by CARF

March 2014

California Exposition & State Fair Law Enforcement



14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 1101 Fee Dr., Sacramento, CA 95815 916-563-0838

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Attached

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair
Westmed Ambulance Service
2424 Whipple Rd.
Hayward, CA 94544
510-504-3616**

**Golden Gate Fields
Turf Rescue, LLC
4470 Hillsborough Dr.
Castro Valley, CA 94546
510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

On file with the CHRB

- C. Describe the on-track first aid facility, including equipment and medical staffing:
The on-site First Aid facility and racetrack ambulance contain all equipment and supplies necessary for advanced life support of any emergency and in compliance with all Sacramento County and California Highway Patrol requirements. The racetrack ambulance is staffed by paramedics and the on-site first aid facility is staffed by paramedics and emergency medical technicians. Equipment list attached.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Ron Sockolove, MD, 916-927-1114
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**UC Davis Medical Trauma Center
Specializing as a Level 1 Trauma Center
2315 Stockton Blvd., Sacramento, CA 95817
916-734-2011 3 Miles**

**Sutter Memorial Hospital
Specializing in cardiac treatment
5151 F. St., Sacramento, CA 95819
916-454-3333 1 ½ Miles**

**Kaiser Permanente Hospital
Specializing in orthopedic and cardiac treatment
2016 Morse Ave.
Sacramento, CA 95825
916-817-5660 2 Miles**



April 17, 2014

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

Sincerely,

A handwritten signature in black ink that reads 'Jennifer L. Bales'.

Jennifer L. Bales, MICP
(916) 563-0835
Regional Safety & Risk Manager
Operations Manager
AMR – Sacramento County

Mercy General Hospital
Specializing in cardiac treatment
4001 J. St., Sacramento, CA 95819
916-453-4553 1 ½ Miles

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Larry A. Swartzlander, Director of Racing
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
State Fire Marshall Inspection appointment is scheduled for TBD.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **California Fair Services Authority, Self Insured**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Current certificate expires on 7/1/2013. Renewal certificate will be forward to CHRB. Primary coverage is continuous until cancelled.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food Service- Ovations-FanFare, 4501 Pleasanton Drive, Pleasanton, CA 94566
- B. Does the fair plan to provide its own concessions? Yes No

Item #14F English:**California State Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #14 F Spanish:**Procedimiento en caso de Accidente en California State Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asguarar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



April 17, 2014

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: California Exposition & State Fair
1600 Exposition Blvd.
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

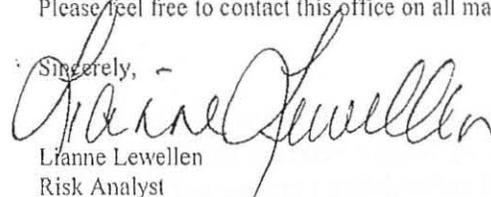
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
 (b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2013 to 07/01/2015

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,



Lianne Lewellen
Risk Analyst

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting: **Attached**
- B. Promotional/Marketing budget for this race meeting:
\$800,000 plus an estimated \$400,000 in trade; Horseracing advertising, promotions, and marketing are part of the overall State Fair budget.
 Promotional/Marketing budget for prior race meeting:
\$800,000 plus an estimated \$400,000 in trade as part of the overall State Fair budget
- C. Number of hosts and hostesses employed for meeting:
8
- D. Describe facilities set aside for new fans:
New information booth at grandstand main entrance, how to bet kiosk, wagering “rookies” window, children’s play area with activities and movies, Photo Opp staging areas.
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit horsemen, fans, and facilities in the restricted areas:
\$100,000 in Grandstand ADA upgrades
New padded chairs in the Box Seat area
Interior improvements to the Turf Club including: Fresh paint, refurbished bar, new television sets
\$80,000 Tote Board reface with Sportech LED indicators
Improvements to the Backstretch including: 3 rebuilt and re-fabricated barns, safety improvements to all stall footings and safety repairs to all stalls.
\$30,000 expended on new/replacement televisions for the satellite wagering facility, turf club and grandstand areas.
New Clubhouse Terrace seating area for first come-first served guests
New 2nd floor terrace seating area to accommodate wheelchair access
Installation of self-service wagering machines located in box seat area
Installation of self-service wagering in backstretch kitchen
In process of purchasing mobile wagering system for grandstand
QR Codes displayed in grandstand directing to website for free programs, handicapping information, and race analysis.

17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission (general) -	\$12.00
Admission (clubhouse)	Free
Reserved seating (general)	N/A
Reserved seating (clubhouse)	Free
Parking (general)	\$10.00
Parking (preferred)	\$ 4.00
Parking (valet)	N/A
Programs (on-track)	\$2.00
(Off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees:

Season Box Seat Package-

\$550 for premium boxes surrounding finish line

\$450 for all other boxes

Package includes 48 daily fair admission and box seat tickets, 1 Season Preferred Lot parking pass, 1 General Lot parking pass, and 6 daily racing programs, and 6 additional complimentary admission passes to the fair

C. Describe any "package" plans such as combined parking, admission and program:

Frequent Player Program-

Our year round satellite wagering guests are eligible to participate in the program which provides a State Fair admission discount credential of 67% (discount of \$8) and receive a free General Lot parking pass for the fair.

18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="43"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys: Same type in segregated area

19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by: TBD
- B. Number of rooms used for housing on the backstretch of the racetrack: TBD
- D. Number of restrooms available on the backstretch of the racetrack: TBD
- E. Estimated ratio of restrooms to the number of backstretch personnel: TBD

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up approximately 75% of the sand content. Organic material will be added to the racetrack to assist in water retention.**
- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports,

etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Inside Rail- Fontana Safety Rail Outside Rail- Steriline Aluminum Racing Rail
Both rails will be set at 38" to 42" from the level of the race course

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Trackmaster Inc.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
Attached
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
On file with the CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

Long A. Swartz

Print Name

Signature

Director of Racing
Print Title

April 16, 2014
Date

STAFF ANALYSIS
May 22, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 17, 2014 THROUGH SEPTEMBER 3, 2014.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 17 through September 3, 2014, or 36 days, 1 day less than 2013. The association proposes to race a total of 324 races, or 9 races per day. In 2013 Del Mar raced 8.89 races per day with an average of 8.80 runners per race. In 2013 Del Mar was allocated to race 37 days the (actual) average daily purse for the meet was \$668,172. The (estimated) average daily purse for this meet is \$682,788.
- The race dates proposed are the dates allocated by the Board.

July - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
29	29	30				

- Racing 5 days per week, Wednesday through Sunday, with 8 races per day Wednesday, Thursdays and Friday, 9 races per day Sunday, 10 races per day Saturdays, Opening Day, Pacific Classic Day, August 24, 2014, Labor Day and Closing Day.
- Racing concurrently with the dates allocated to Santa Rosa, Ferndale and Pacific Racing Association.
- First post will be 2:00 p.m. daily.
 - 2:00 p.m. Wednesdays, Thursdays, Saturdays, and Sundays.
 - 4:00 p.m. Friday,
 - 1:00 p.m. Sunday, August 24
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 9:00 a.m.
 - \$.50 Pick 4 will be offered on the last four races daily.
 - \$2 Daily Double will be offered on all daily races – with the exception of the last race.
 - \$.50 Pick (n) Pool (Pick 5) wager will begin with the first race each day and offer a 14% takeout.
- Del Mar Thoroughbred Club, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, XpressBet.com and Twin

Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2014 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), and (g). The waiver allows for the installation of a Mawsafe rail system on the **outside** rail at the Del Mar turf course. At the April 2013 Regular Board Meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, subsections (b), (c) and (e). The 2013 waiver allows for the installation of a Mawsafe rail system on the **inside** rail at the Del Mar turf course. The Mawsafe rail system is composed of high impact strength, high UV resistant poly vinyl chloride post and rail components.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Horsemen's agreement
2. California Thoroughbred Trainers agreement
3. Fire clearance: Inspection scheduled for July 11, 2014.

RECOMMENDATION

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
 P.O. Box 700, Del Mar, CA 92014
 Phone: 858-755-1141
 Fax: 858-794-1007
 Josh Rubinstein: josh@dmtc.com

B. Breed of horse: TB QH H

C. Racetrack name: Del Mar Thoroughbred Club (DMTC)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
 On File

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: July 17 through September 03, 2014

B. Actual dates racing will be held: July 17 through September 03, 2014, everyday except Mondays (other than Labor Day) and Tuesdays. Dark 7/21-22, 7/28-29, 8/4-5, 8/11-12, 8/18-19, 8/25-26, and 9/2.

CHRB CERTIFICATION

Application received:
 Deposit received:
 Reviewed:

Hearing date:
 Approved date:
 License number:

- C. **Total number of days or nights of racing:** 36 days
- D. **Days or nights of the week races will be held:**
 Wed - Sun Tues - Sat Other (specify)
- E. **Number of days or nights of racing per week:**
 5 (except Week 1 with 4 days and Week 7 with 6 days)

3. RACING PROGRAM

- A. Total number of races: 324

- B. Number of races for each day or night:*

DAY	DATES	NUMBER OF RACES
WED-8 Races	7/30, 8/6, 8/13, 8/20	32
WED-9 Races	7/23, 8,27	18
THURS-8 Races	7/31, 8/7, 8/14, 8,21,	32
THURS-9 Races	7,24, 8,28	18
FRI-7 Races	8/15, 8/22, 8/29	21
FRI- 8 Races	7/18, 7/25, 8/1, 8/8	32
SAT-10 Races	7/19, 7/26, 8/2, 8/9, 8/16, 8/23	60
SAT-11 Races	8/30	11
SUN-9 Races	8/3, 8/10, 8/17	27
SUN-10 Races	7/20, 7/27	20
SUN-11 Races	8/24 (PC), 8/31	22
LABOR DAY	9/3	11
OPENING DAY	7/17	10
CLOSING DAY	9/3/2014	10

TOTAL

324

*DMTC reserves the right to change number of races based on horse availability

- C. Total number of stakes races: 43 (33 stakes and 10 overnight stakes)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Exhibit 1
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program: **Exhibit 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

Exhibit 1 - 2014 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2014 Value
Thu. Jul 10, 2014	Thu. Jul 17, 2014	1	OCEANSIDE STAKES	Three-year-olds, N/W S/S of \$50,000 at 1 M o/o in 2014	One Mile (Turf) \$100,000 Added
Thu. Jul 10, 2014	Fri. Jul 18, 2014	2	CTBA STAKES	Fillies, two years old, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 10, 2014	Sat. Jul 19, 2014	3	SAN CLEMENTE HANDICAP (Gr. II)	Fillies, three years old	One Mile (Turf) \$200,000 Guaranteed
Thu. Jul 10, 2014	Sun. Jul 20, 2014	4	EDDIE READ STAKES (Gr. I)	Three-year-olds and upward	1 1/8 Miles (Turf) \$300,000 Guaranteed
Thu. Jul 17, 2014	Fri. Jul 25, 2014	5	GOUGAR II HANDICAP (Gr. III)	Three-year-olds and upward	1 1/2 Miles \$100,000 Guaranteed
Thu. Jul 17, 2014	Sat. Jul 26, 2014	6	FLEET TREAT STAKES	Fillies, three years old, bred in CA	Seven Furlongs \$200,000 Guaranteed
Thu. Jul 17, 2014	Sat. Jul 26, 2014	7	SAN DIEGO HANDICAP (Gr. II)	Three-year-olds and upward	1 1/16 Miles \$200,000 Guaranteed
Thu. Jul 17, 2014	Sun. Jul 27, 2014	8	CALIFORNIA DREAMIN' HANDICAP	Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf) \$150,000 Guaranteed
Thu. Jul 17, 2014	Sun. Jul 27, 2014	9	BING CROSBY STAKES (Gr. I)	Three-year-olds and upward	Six Furlongs \$300,000 Guaranteed
Thu. Jul 24, 2014	Wed. Jul 30, 2014	10	GRADUATION STAKES	Two-year-olds, bred in CA	5 1/2 Furlongs \$100,000 Guaranteed
Thu. Jul 24, 2014	Fri. Aug 01, 2014	11	REAL GOOD DEAL STAKES	Three-year-olds, bred in CA	Seven Furlongs \$200,000 Guaranteed
Thu. Jul 24, 2014	Sat. Aug 02, 2014	12	CLEMENT L. HIRSCH STAKES (Gr. I)	Fillies and mares, three years old and upward	1 1/16 Miles \$300,000 Guaranteed
Thu. Jul 24, 2014	Sun. Aug 03, 2014	13	BEST PAL STAKES (Gr. II)	Two-year-olds	6 1/2 Furlongs \$200,000 Guaranteed
Thu. Jul 31, 2014	Wed. Aug 06, 2014	14	SORRENTO STAKES (Gr. II)	Fillies, two years old	6 1/2 Furlongs \$200,000 Guaranteed
Thu. Jul 31, 2014	Sat. Aug 09, 2014	15	LA JOLLA HANDICAP (Gr. III)	Three-year-olds	1 1/16 Miles (Turf) \$150,000 Guaranteed
Thu. Jul 31, 2014	Sun. Aug 10, 2014	16	JOHN C. MABEE STAKES (Gr. II)	Fillies and mares, three years old and upward	1 1/8 Miles (Turf) \$250,000 Guaranteed
Thu. Aug 07, 2014	Sat. Aug 16, 2014	17	DEL MAR OAKS (Gr. I)	Fillies, three years old	1 1/8 Miles (Turf) \$300,000 Guaranteed
Thu. Aug 07, 2014	Sun. Aug 17, 2014	18	RANCHO BERNARDO HANDICAP (Gr. III)	Fillies and mares, three years old and upward	6 1/2 Furlongs \$150,000 Guaranteed
Thu. Aug 07, 2014	Sun. Aug 17, 2014	19	SOLANA BEACH HANDICAP	Fillies and mares, three years old and upward, bred in CA	One Mile (Turf) \$150,000 Guaranteed
Thu. Aug 14, 2014	Sat. Aug 23, 2014	20	DEL MAR HANDICAP (Gr. II)	Three-year-olds and upward	1 3/8 Miles (Turf) \$200,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	21	PAT O'BRIEN STAKES (Gr. II)	Three-year-olds and upward	Seven Furlongs \$250,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	22	DEL MAR MILE (Gr. II)	Three-year-olds and upward	One Mile (Turf) \$250,000 Guaranteed
Thu. Aug 14, 2014	Sun. Aug 24, 2014	23	TVG PACIFIC CLASSIC (Gr. I)	Three-year-olds and upward	1 1/4 Miles \$1,000,000 Guaranteed
Thu. Aug 21, 2014	Wed. Aug 27, 2014	24	GENEROUS PORTION STAKES	Fillies, two years old, bred in CA	Six Furlongs \$150,000 Guaranteed
Thu. Aug 21, 2014	Fri. Aug 29, 2014	25	EL CAJON STAKES	Three-year-olds	One Mile \$100,000 Guaranteed
Fri. Jun 06, 2014	Sat. Aug 30, 2014	26	DEL MAR DEBUTANTE (Gr. I)	Fillies, two years old	Seven Furlongs \$300,000 Guaranteed
Thu. Aug 21, 2014	Sun. Aug 31, 2014	27	TORREY PINES STAKES (Gr. III)	Fillies, three years old	One Mile \$100,000 Guaranteed
Thu. Aug 21, 2014	Sun. Aug 31, 2014	28	DEL MAR DERBY (Gr. II)	Three-year-olds	1 1/8 Miles (Turf) \$300,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	29	I'M SMOKIN STAKES	Two-year-olds, bred in CA	Six Furlongs \$150,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	30	OAK TREE JUVENILE FILLIES TURF	Fillies, two years old	One Mile (Turf) \$100,000 Guaranteed
Thu. Aug 21, 2014	Mon. Sep 01, 2014	31	YELLOW RIBBON HANDICAP (Gr. II)	Fillies and mares, three years old and upward	1 1/16 Miles (Turf) \$200,000 Guaranteed
Thu. Aug 28, 2014	Wed. Sep 03, 2014	32	OAK TREE JUVENILE TURF	Two-year-olds	One Mile (Turf) \$100,000 Guaranteed
Fri. Jun 06, 2014	Wed. Sep 03, 2014	33	DEL MAR FUTURITY (Gr. I)	Two-year-olds	Seven Furlongs \$300,000 Guaranteed

Exhibit 1 Continued - 2014 Del Mar Stakes Schedule

Running Date	#	Event	2014 Value
Thu. Jul 10, 2014	Overnight Stakes	Osunitas Stakes	\$90,000 Added
Thu. Jul 17, 2014	Overnight Stakes	Wickerr Stakes	\$90,000 Added
Thu. Jul 31, 2014	Overnight Stakes	Daisycutter Handicap	\$90,000 Added
Thu. Aug 07, 2014	Overnight Stakes	Green Flash Handicap	\$90,000 Added
Thu. Aug 07, 2014	Overnight Stakes	Sandy Blue Handicap	\$90,000 Added
Thu. Aug 14, 2014	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$90,000 Added
Thu. Aug 21, 2014	Overnight Stakes	Harry F. Brubaker Stakes	\$90,000 Added
Thu. Aug 21, 2014	Overnight Stakes	Tranquility Lake Stakes	\$90,000 Added
Thu. Aug 28, 2014	Overnight Stakes	C.E.R.F. Stakes	\$90,000 Added
Thu. Aug 28, 2014	Overnight Stakes	Pirate's Bounty Stakes	\$90,000 Added

\$900,000

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

2014

RACE	REGULAR (Wed, Thurs, Sat & Sun)	FRIDAYS	SUNDAYS 8/24 (PC)
1 st	2:00 p.m.	4:00 p.m.	1:00 p.m.
2 nd	2:30 p.m.	4:30 p.m.	1:30 p.m.
3 rd	3:00 p.m.	5:00 p.m.	2:00 p.m.
4 th	3:30 p.m.	5:30 p.m.	2:30 p.m.
5 th	4:00 p.m.	6:00 p.m.	3:00 p.m.
6 th	4:30 p.m.	6:30 p.m.	3:40 p.m.
7 th	5:00 p.m.	7:00 p.m.	4:10 p.m.
8 th	5:30 p.m.	7:30 p.m.	4:40 p.m.
9 th *	6:00 p.m.		5:10 p.m.
10 th *	6:30 p.m.		5:45 p.m.
11 th *	7:00 p.m.		6:10 p.m.

*On certain days to be determined

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
Full Disclosure Statement already on file with CHRB

C. CORPORATION

1. Registered name of the corporation: **Del Mar Thoroughbred Club**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **559096**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

David H. Batchelder	Chairman of the Board	1 Share
Joseph W. Harper	Director, President, General Manager & CEO	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Martin J. Wygod	Director	1 "
Michael R. Ernst	Executive VP, Finance and Admin./CFO	0
Thomas S. Robbins	Executive VP, Racing & Industry Relations	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Josh Rubinstein	Executive VP, Chief Operating Officer	0
Lynn Wright	VP and Controller	0
Robert Sanchez	VP, Plant	0
Tim Read	VP, Operations and Collective Bargaining	0
Ann Hall	VP, Human Resources / Administration	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **None**
6. Number of outstanding shares in the corporation: **8**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. NA Yes No

10. Registered name of the corporation: NA
11. State where incorporated: NA
12. Registry or file number for the corporation: NA
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: NA
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: NA
15. Number of outstanding shares in the corporation: NA
16. Are the shares listed for public trading? NA Yes No
If yes, on what exchange and how is the stock listed: NA
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: NA

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file.**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.

- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Josh Rubinstein	Executive VP, Chief Operating Officer
Timothy A. Read	Vice President, Operations & Collective Bargaining
Ann Hall	Vice President, Human Resources & Administration
David Jerkens	Racing Secretary
Mac McBride	Director of Media
Daniel G. Smith	Senior Media Supervisor
Lynn Wright	Vice President, Controller
Jacquelyn King	Director of Group Sales
Paul Porter	Director of Simulcasting and Racetrack Services
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Parimutuels
Nancy Bonforte	Director, Turf Club
Robert Sanchez	Vice President, Plant
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Rich Tedesco	Track Superintendent
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Greg Baugh	Customer Service Manager

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Joseph W. Harper – President, General Manager & Chief Executive Officer
 PO Box 700, Del Mar, CA 92014 / joeh@dmte.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: .50¢PNP / Players Pick Five wager
 Proposed percentage: 14% takeout

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. Exhibit 3

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	On-Track Handle	Off-Track Handle	On-Track Attendance	Off-Track Attendance
2013	90,726,963	391,513,876	653,259	257,763
2012	87,474,905	371,209,337	652,034	270,265
2011	77,224,199	353,054,386	660,245	283,426
2010	78,362,626	370,569,534	662,521	305,778
2009	84,814,523	397,665,402	635,679	327,488

EXHIBIT 3

Josh Rubinstein
 Executive Vice President &
 Chief Operating Officer

April 2, 2014

Ms. Jackie Wagner
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code Section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during DMTC's 2014 Season, July 17th – September 3rd.

- **Players Pick -5** – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.

For reference, the Players Pick-5 is noted on DMTC's license application in Section 9A.

Please contact me directly if you have any questions.

Regards,

Josh Rubinstein
 Executive Vice President and
 Chief Operating Officer

cc: Joe Harper
 Mike Ernst
 Joe Morris, TOC

EXHIBIT 3

April 2, 2014

OFFICERS

MIKE PEGRAM
CHAIR

PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Del Mar Thoroughbred Club 2014 Summer Race Meet

DIRECTORS

BOB BAFFERT
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
BILL STRAUSS
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Del Mar Thoroughbred Club (DMTC) has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from July 17-September 3, 2014. The TOC agrees to such a wager based upon the same terms and conditions as it was offered last season.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT

KELLEE BREEN
CHIEF FINANCIAL OFFICER

MARY FORNEY
DIRECTOR OF OPERATIONS

RICHARD SCHEDT
NO. CA. DIRECTOR OF OPERATIONS

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05, 2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Josh Rubenstein

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate:	15,555,800
Prior meet actual:	15,786,488

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:	432,106
Prior meet actual:	426,550

2. Overnight stakes:

Current meet estimate:	900,000
Prior meet actual:	900,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:	25,000
Prior meet actual:	24,324

3. Non-overnight stakes:

Current meet estimate:	5,700,000
Prior meet actual:	5,561,000

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate:	158,333
Prior meet actual:	150,297

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate:	22,155,800
Prior meet actual:	22,247,054

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate:	18.18%
Prior meet actual:	18.57%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate:	0.51%
Prior meet actual:	0.50%

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

- | | |
|------------------------|-----------|
| Current meet estimate: | 1,738,428 |
| Prior meet actual: | 1,758,427 |

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	186,521	193,137
CTT Administration	186,521	193,137
CTBL Workers' Comp	186,521	193,137
CTT Backstretch Pension	93,261	96,568
NTRA Dues	<u>33,316</u>	<u>40,452</u>
Total	686,140	716,431

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen

(7 A+7 C+7 D):

Current meet estimate:	24,580,369
Prior meet actual:	24,721,912

Average Daily Purse (7 E ÷ number of days):

Current meet estimate:	682,788
Prior meet actual:	668,160

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate:	15,004,556
Prior meet actual:	15,820,723

Average Daily Purse (7 F ÷ number of days):

Current meet estimate:	416,793
Prior meet actual:	427,587

G. Purse funds to be generated from interstate handle:

Current meet estimate:	7,665,618
Prior meet actual:	7,612,353

Average Daily Purse (7 G ÷ number of days):

Current meet estimate:	212,934
Prior meet actual:	205,739

H. Bank and account number for the Paymaster of Purses' purse account:

Bank of America, 450 B Street Suite 1500, San Diego CA 92101, Account # on file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to

those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **2,100**
- B. Minimum number of stalls believed necessary for the meeting: **2,100**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,195**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- Los Alamitos 700
 - San Luis Rey Downs 495
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Agreement is on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$531,650
\$9.08 cost per day per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$159,360
- | | |
|------------------------------|-------|
| Vanning per horse (one way): | |
| Santa Anita | \$160 |
| Pomona | \$160 |
| Los Alamitos | \$160 |
| San Luis Rey Downs | \$ 90 |

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD ² , \$1 PPN, \$1TRI, .10¢SF, .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1957, 1976.8, 1954.1, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2P(n)P (Pick 6), \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, \$1PK3, .10¢SF, \$1TRI, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1977, 1979.1, 1954.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1954.1, 1979, 1979.1, 1957, 1978
Race #8	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , \$1 SUPER HIGH FIVE	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI 004-015 Pick(n) Position(x) Pool(s)
Race #9*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #10*	\$2Q, \$1E, \$1TRI, .10¢SF, .50¢PK4 ¹ , \$2DD ²	CHRB#1958, 1959, 1979, 1979.1, 1978, 1957
Race #11*	\$2Q, \$1E, \$1TRI, .10¢SF, .50¢PK4 ¹ , \$2DD ²	CHRB#1958, 1959, 1979, 1979.1, 1978, 1957

¹ .50¢\$PK4 offered in the last four races daily.

² \$2DD offered every race daily, except the last race.

³ .50¢PNP (Pick 5) This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

* Days with 9, 10 or 11 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: None

C. List any options requested with regard to exotic wagering: None

D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
July 17 through September 3, 2014
9:00 a.m. every other day except Tuesdays.

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(2) supplying equipment, and expiration date of the service contract:

Totalisator Central System
Sportech Racing, LLC Quantum Data Center located in Mt. Laurel, New Jersey
Sportech Racing representative: Tom Kelso
Contract expiration date: April 2015

Terminals:

BetJet RT2s (teller operated/self service conversion) BetJet SLs (dedicated self service)

IBM PC account wagering terminals

HandStan personal account wagering terminals WalkaBout teller operated portable wagering terminals

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file

C. Have the contract agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. Exhibit 4
If no, explain the status of the approval.

with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:**
Southern California Off Track Wagering, Inc. "SCOTWINC"
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.**
SCOTWINC Agreement is on file with CHRB.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:**
Exhibit 5
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:**
Exhibit 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:**
Exhibit 7
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:**
Exhibit 8
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":**
Exhibit 9

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":** N/A

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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EXHIBIT 5**11. Simulcast Wagering Program**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Barona Resort & Casino, Lakeside
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park @ Barretts, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park Casino, Inglewood
Humboldt County Fair, Ferndale
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion, The Farmers Fair, Lake Perris
Stanislaus County Fair, Turlock
Sycuan Resort & Casino, El Cajon
The Jockey Club, San Mateo
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

EXHIBIT 6

D. Out-of-state wagering systems the association proposes to offer:

AmWest Entertainment, KY	Ebet Online, Oregon
Arapahoe Park, Colorado	Elite Turf Club, Curacao
Arima Race Course, Trinidad	Ellis Park, Kentucky
Arlington International Race Course, Illinois	Elmira Raceway, Ontario
Assiniboia Downs, Canada	Equus St. Thomas, St. Thomas
Atlantic City Casino Assn., New Jersey	Emerald Downs, Washington
Atlantic City Race Course, New Jersey	Euro Offtrack, Isle of Man
Atlantis Resort and Casino, Bahamas	Evangeline Downs, Louisiana
Atokad Park, South Dakota	Evansville/Clarksville OTB, Indiana
Balmoral Park, Illinois	Fair Chance Racing Services, Trinidad
Bangor Raceway, Maine	Fairgrounds Racecourse, Louisiana
Barrie Raceway, Ontario	Fair Meadows, Texas
Batavia Downs, New York	Fairmount Park, Illinois
Belmont Gaming, New Hampshire	Finger Lakes Race Track, New York
Bet America, LLC, North Dakota	Flamboro Downs, Ontario
Bet Fair Games Limited, UK	Fonner Park, Nebraska
Bettor Racing OTB, South Dakota	Fort Erie Racetrack, Canada
Beulah Park, Ohio	Foxwoods Casino, Connecticut
Birmingham Race Course, Alabama	Fraser Downs, British Columbia
Bluff Runs Greyhound, Idaho	Freehold Raceway, New Jersey
Bordertown OTB, Oklahoma	Gillespie County Downs, Texas
Buffalo Trotting Association, New York	Grand River, Canada
Bwin International, Ltd.	Greentrack, Alabama
Calder Race Course, Florida	GWS German Tote, Germany
Caliente, Mexico	Gulf Greyhound, Texas
Canterbury Park, Minnesota	Gulfstream Park, Florida
Capital District OTB, New York	Hanover Raceway, Canada
Catskill Regional OTB, New York	Harrington Raceway & Simulcast, Delaware
Caymanas Race Track, Jamaica	Hastings Park, Canada
Charles Town Races, West Virginia	Hawthorne Race Course, Illinois
Chester Downs - Pennsylvania	Hazel Park, Michigan
Churchill Downs, Kentucky	Hiawatha Downs, Ontario
Clinton Teletheatre, Canada	Hipica de Panama, Panama
Club Hipico Inturf, Venezuela	Hipodromo Camarero, Puerto Rico
Coeur d' Alene Casino, Idaho	Hipodromo Montericco, Peru
Colonial Downs, Virginia	Ho-Chuck Casino, Connecticut
Columbus Races, Nebraska	Hoosier Park, Indiana
Connecticut OTB, Connecticut	Horsemen's Park, Nebraska
Corpus Cristi Greyhound, Texas	Indiana Downs, Indiana
Cypress Bayou, Louisiana	Inverness Raceway, Canada
Divi Carina Bay Casino, Louisiana	John Martins Manor, Maine
Delaware Park, Delaware	Kawartha Downs, Ontario
Delta Downs, Louisiana	Keeneland, Kentucky
Dover Downs, Delaware	Kentucky Downs, Kentucky
Downs at Albuquerque, New Mexico	Kentucky OTB, Kentucky

Exhibit 6

Las Vegas Dissemination Company, Nevada
 Lebanon (Miami Valley Gaming), Ohio
 Les Bois Park, Idaho
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Millennium Racing, Trinidad
 Millers OTB, Maine
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela (Premier Gateway), South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Saddle Brook Jockey Club, TX
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Harness, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Social Gaming Technologies, North Dakota
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 Thistledown, Ohio
 Tioga Downs, New York

Exhibit 6

Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Truro Raceway, Canada
 TVG, Los Angeles
 TVG Chester, Pennsylvania
 TVG Prairie Meadows, Iowa
 Turf Paradise, Arizona
 Turfway Park, Kentucky
 Twin River Greyhounds, RI
 Twin Spires, Kentucky
 Valley Greyhound Park, Texas
 Velocity Wagering Ltd., KY
 Venezuela OTB, Venezuela
 Vernon Downs, New York
 Watch and Wager, San Francisco
 Western Fair, Ontario
 Western Regional OTB, New York
 Wheeling Downs, Canada
 Will Rogers Downs, Oklahoma
 Windsor Raceway, Ontario
 Woodbine Entertainment Corp, Ontario
 Wyoming Downs, Wyoming
 Wyoming Horse Racing LLC, Wyoming
 XpressBet, Pennsylvania
 Yonkers Raceway, New York
 Zia Park, New Mexico

EXHIBIT 7

E. Out-of-state wagering systems that will combine pari-mutuel pools:

AmWest Entertainment, KY	Elmira Raceway, Ontario
Arapahoe Park, Colorado	Equus St. Thomas, St. Thomas
Arima Race Course, Trinidad	Emerald Downs, Washington
Arlington International Race Course, Illinois	Euro Offtrack, Isle of Man
Assiniboia Downs, Canada	Evangeline Downs, Louisiana
Atlantic City Casino Assn., New Jersey	Evansville/Clarksville OTB, Indiana
Atlantic City Race Course, New Jersey	Fair Chance Racing Services, Trinidad
Atlantis Resort and Casino, Bahamas	Fairgrounds Racecourse, Louisiana
Atokad Park, South Dakota	Fair Meadows, Texas
Balmoral Park, Illinois	Fairmount Park, Illinois
Bangor Raceway, Maine	Finger Lakes Race Track, New York
Barrie Raceway, Ontario	Flamboro Downs, Ontario
Batavia Downs, New York	Fonner Park, Nebraska
Bet America, LLC, North Dakota	Fort Erie Racetrack, Canada
Bet Fair Games Limited, UK	Foxwoods Casino, Connecticut
Bettor Racing OTB, South Dakota	Fraser Downs, British Columbia
Beulah Park, Ohio	Freehold Raceway, New Jersey
Birmingham Race Course, Alabama	Gillespie County Downs, Texas
Bluff Runs Greyhound, Idaho	Grand River, Canada
Bordertown OTB, Oklahoma	Greentrack, Alabama
Buffalo Trotting Association, New York	GWS German Tote, Germany
Bwin International, Ltd.	Gulf Greyhound, Texas
Calder Race Course, Florida	Gulfstream Park, Florida
Canterbury Park, Minnesota	Hanover Raceway, Canada
Capital District OTB, New York	Harrington Raceway & Simulcast, Delaware
Catskill Regional OTB, New York	Hastings Park, Canada
Charles Town Races, West Virginia	Hawthorne Race Course, Illinois
Chester Downs - Pennsylvania	Hazel Park, Michigan
Churchill Downs, Kentucky	Hiawatha Downs, Ontario
Clinton Teletheatre, Canada	Hipica de Panama, Panama
Club Hipico Inturf, Venezuela	Hipodromo Camarero, Puerto Rico
Coeur d' Alene Casino, Idaho	Hipodromo Montericco, Peru
Colonial Downs, Virginia	Ho-Chuck Casino, Connecticut
Columbus Races, Nebraska	Hoosier Park, Indiana
Connecticut OTB, Connecticut	Horsemen's Park, Nebraska
Corpus Cristi Greyhound, Texas	Indiana Downs, Indiana
Cypress Bayou, Louisiana	Inverness Raceway, Canada
Divi Carina Bay Casino, Louisiana	John Martins Manor, Maine
Delaware Park, Delaware	Kawartha Downs, Ontario
Delta Downs, Louisiana	Keeneland, Kentucky
Dover Downs, Delaware	Kentucky Downs, Kentucky
Downs at Albuquerque, New Mexico	Kentucky OTB, Kentucky
Ebet Online, Oregon	Las Vegas Dissemination Company, Nevada
Elite Turf Club, Curacao	Lebanon (Miami Valley Gaming), Ohio
Ellis Park, Kentucky	Les Bois Park, Idaho

Exhibit 7

Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Millennium Racing, Trinidad
 Millers OTB, Maine
 Mobile Greyhound Park, Alabama
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela (Premier Gateway), South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Player Management Group, CA
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Ruidoso Downs, New Mexico
 Saddle Brook Jockey Club, TX
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Harness, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Skydancer Casino, Oklahoma
 Social Gaming Technologies, North Dakota
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 Thistledown, Ohio
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Truro Raceway, Canada

Exhibit 7

TVG, Los Angeles
TVG Chester, Pennsylvania
TVG Prairie Meadows, Iowa
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin River Greyhounds, RI
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Velocity Wagering Ltd., KY
Venezuela OTB, Venezuela
Vernon Downs, New York
Watch and Wager, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wyoming Downs, Wyoming
Wyoming Horse Racing LLC, Wyoming
XpressBet, Pennsylvania
Yonkers Raceway, New York
Zia Park, New Mexico

EXHIBIT 8

F. CALIFORNIA MINI-SIMULCAST FACILITIES: Southern and Northern California

Mini-Satellites – South

M1. COMMERCE CASINO
 6131 East Telegraph Road
 Commerce, CA 90040
 Phone: (323) 721-2100
 Contact: Ralph Wong

M2. OCTAVERN
 2369 South El Camino Real
 San Clemente, CA 92672
 Phone (949) 542-8877
 Contact: Michael Merrigan

M3. SANTA MARIA
 Original Roadhouse Grill
 1423 S. Bradley Rd.
 Santa Maria, CA 93454
 Phone: (805) 614-0586
 Contact: John Tintle

M4. SANTA CLARITA LANES
 21615 W. Soledad Canyon Rd.
 Saugus, CA 93454
 Phone (661) 254-0540
 Contact: Craig Goodman

M5. SAMMY'S OF LAKE FOREST
 23221 Lake Center Drive
 Lake Forest, CA 92630
 Phone (949) 716-2367
 Contact: Brad McKinzie

M6. MERMAID TAVERN
 1345 E. Thousand Oaks Blvd.
 Thousand Oaks, CA 91362
 Phone (805) 777-7418
 Contact: Robert Haupt

M7. OCEAN'S 11 CASINO
 121 Brooks Street
 Oceanside, CA 92054
 Phone (888) 439-6988
 Contact: Steve Gallagher

M8. STRIDERS BAR & GRILL (Opening Pending-construction phase)
 2895 Hamner Avenue, Norco, CA 92860
 Phone (310) 200-9666
 Contact: Lance Ku

M9. STRIDERS SD BAR & GRILL (Opening Pending-awaiting City permits)
 100 Harbor Drive, San Diego CA 92101
 Phone: (310) 200-9666
 Contact: Lance Ku

Mini-Satellites-North

M11. BANKERS CASINO
 111 Monterey Street
 Salinas, CA 93901
 Phone: (831) 372-8106
 Contact: Dustin Stafford

EXHIBIT 8 (Continued)

F. CALIFORNIA MINI-SIMULCAST FACILITIES: Southern and Northern California

Mini-Satellites – South

Mini-Satellites-North

M10. FIREHOUSE BAR & GRILL (Opening Pending-awaiting City permits)

7701 White Avenue, Bakersfield, CA 923313

Phone: (310) 200-9666

Contact: Lance Ku

EXHIBIT 9

- G. List the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held:**

Selected Feature and/or Stakes Races:**Full & Partial Cards:**

Arlington Park: July 16, 2014 - September 3, 2014
Belmont Park: September 3, 2014
Calder Race Course: July 16, 2014 - September 3, 2014
Colonial Downs: July 16, 2014 - September 3, 2014
Delaware Park: July 16, 2014 - September 3, 2014
Ellis Park: July 16, 2014 - September 3, 2014
Emerald Downs: July 16, 2014 - September 3, 2014
Evangeline Downs: July 16, 2014 - September 3, 2014
Finger Lakes: July 16, 2014 - September 3, 2014
Gulfstream Park: July 16, 2014 - September 3, 2014
Louisiana Downs: July 16, 2014 - September 3, 2014
Monmouth Park: July 16, 2014 - September 3, 2014
Mountaineer Park: July 16, 2014 - September 3, 2014
PARX Racing: July 16, 2014 - September 3, 2014
Penn National: July 16, 2014 - September 3, 2014
Portland Meadows: July 16, 2014 - September 3, 2014
Presque Isle Downs: July 16, 2014 - September 3, 2014
Saratoga Race Course: July 16, 2014 - September 1, 2014
Suffolk Downs: July 16, 2014 - September 3, 2014

Woodbine: July 16, 2014 - September 3, 2014
Assiniboia Downs: July 16, 2014 - September 3, 2014
Hastings Park: July 16, 2014 - September 3, 2014
Northlands Park: July 16, 2014 - September 3, 2014
LARC (South America) via Maryland Hub: July 16, 2014 - September 3, 2014
LARC (South America) via Calder Hub: July 16, 2014 - September 3, 2014
LARC (South America) via Gulfstream Hub: July 16, 2014 - September 3, 2014

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

8/31/14	Ruidoso	All American Derby	I	\$2,000,000	440 Yards
9/1/14	Ruidoso	All American Futurity	I	\$2,600,000	440 Yards

- K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014
- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder 12400 High Bluff Drive, Ste. 600 San Diego, CA 92130	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014

Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 980 Ninth Street, Suite 2000 Sacramento, CA 95814	Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? X

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|----------------------|
| Association Veterinarian(s) | Dana Stead, VMD |
| Clerk of Scales | Charles McCaul |
| Assistant Clerk of Scales | Chris Merz |
| Clerk of the Course | Melanie Stubblefield |
| Film Specialist | Ruben Hernandez |
| Horse Identifier | Dianne Piper |
| Horseshoe Inspector | Victor Tovar |
| Paddock Judge | Karen Denovel |
| Patrol Judges | Sue Brent |
| | Karen Denovel |
| | Heather Correa |
| Placing Judges | Robert Moreno |
| | Tora Yamaguchi |
| | Merry Young |
| Starter | Gary Brinson |
| Timer | Russell Hudak |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|---------------------------|
| Executive Vice President, Racing | Thomas S. Robbins |
| Racing Secretary | David Jerkens |
| Assistant Racing Secretary | Zach Soto, Tora Yamaguchi |
| Paymaster of Purses | Bridget Crawford |
| Others (identify by name and title) | N/A |

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Michelle Derieg
Weinstein Court Reporters
PO Box 26634
Santa Ana, CA 92799
(714) 964-7102

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract expires 12/31/2014

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG
Expiration: 6/30/2017
Equipment list on file with CHRB

For each race TVG will provide:

-Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt.

-Four manned tower cameras:

-Backstretch head-on (Training Track Camera Tower)

-Far turn and Stretch run back view (Quarter Chute Camera Tower)

-Stretch-run head-on (Livestock Barn Camera Tower) - turf

-Stretch-run head-on (Livestock Barn Camera Tower) - dirt

-2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners

-A camera in winner circle

-A 16 x 9 aspect ratio pan camera located on the 7th floor providing race coverage for the in house video board presentation

-TVG will provide talent for this event

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

TRAKUS

Electronic timing device: 2.4 CHz spread spectrum transceiver

Name of person: Michael Ciacciarelli (Trakus LLC)

Ken Davis, Mike Schneider (Jockey Club Racetrack Services)

Expiration date: 12/31/2013 (In Negotiations - Renewal Pending)

14. SECURITY CONTROLS

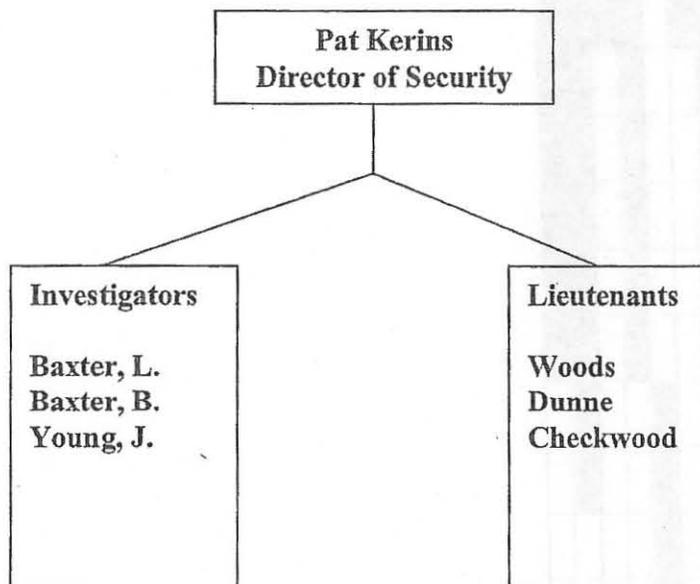
- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Exhibit 10

EXHIBIT 10**Security Personnel**

Person responsible for security controls on the premises:

Pat Kerins, Director of Security.

Security Department:*

**Contact Information**

Director of Security	Work 858-755-1141 ext. 3652
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

EXHIBIT 10 (Continued)

2014 GATE SCHEDULE

Gate	Shifts																							
	12am	1am	2am	3am	4am	5am	6am	7am	8am	9am	10am	11am	12pm	1pm	2pm	3pm	4pm	5pm	6pm	7pm	8pm	9pm	10pm	11pm
24 hr Main Gate	[Shaded]												[Shaded]											
	[Shaded]												[Shaded]											
18 hr Solana Gate	[Shaded]				[Shaded]												[Shaded]							
	[Shaded]				[Shaded]												[Shaded]							
19 hr Official Gate	[Shaded]				[Shaded]												[Shaded]							
	[Shaded]				[Shaded]												[Shaded]							
8 hr Stable Gate	[Shaded]										[Shaded]													
	[Shaded]										[Shaded]													

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 75

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. The security for stakes races will be deployed in accordance with our agreement with TOC.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

Detention barn is used as required by the CHRB.

B. Number of security guards in the detention stall area during a 24-hour period.

As needed at the direction of the CHRB.

C. Describe number and location of surveillance cameras in detention stall area.

For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shed-row from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

All

B. Plan for enhanced surveillance for trainers with high-test results.

Will follow CHRB directives.

C. Plan for detention stalls for repeat offenders.

Will follow CHRB directives.

D. Number of security personnel assigned to the TCO2 program.

Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: N/A

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Rural Metro of San Diego, 10405 San Diego Mission Rd. Suite 200, San Diego, CA 92108
(619) 280-6060

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Exhibit 11 (A)

On-track staff: Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Auxiliary Site: Los Alamitos
 Huntington Ambulance
 Contact: Stacy O'Bryan
 PO Box 145
 Sunset Beach, CA 90742
 (562) 904-1550

Auxiliary Site: San Luis Rey Downs
 Mercy Ambulance
 Contact: Doug Moriarty
 2537 Old San Pascual Road
 Escondido, CA 92027
 (760) 525-9676

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- Huntington Ambulance Certificate: On File
- Mercy Ambulance Certificate: On File

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse Oximeter
- B/P Cuff
- Thermometer
- Bag Valve mask
- Surgical equipment (used for laceration repair and suture removal)

EXHIBIT 11 (A)**Special Event Medical Services**

April 7, 2014

Kim Jacobson, Risk Manager
Del Mar Thoroughbred Club
PO Box 700
Del Mar, CA 92014-0700

Dear Kim,

Rural/Metro Ambulance of San Diego is the 911 emergency paramedic service provider for the City of San Diego and possesses full certification with the County of San Diego and the State of California.

Rural/Metro is in full compliance with all California State and San Diego County Emergency Medical Services laws and regulation regarding the provision of such services including certification of all of our emergency medical technicians and paramedics.

Sincerely,

Wayne Johnson
Division General Manager
Rural/Metro of San Diego



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: RURAL/METRO OF SAN DIEGO INC.

Effective Date: June 1, 2013

Expiration Date: May 31, 2014

Approved as: ALS
BLS
CCT

Authorized by: *Melinda G. Smith*
Permit Officer, Emergency Medical Services

Permit Number: 13-104

EXHIBIT 11(A)

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD
 John K. Agostino, MD
 Athanasios J. Foster, MD
 Melinda E. Nevins, DO
 Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.
 Scripps La Jolla
 988 Genesee Ave., La Jolla, CA 92037 858-626-4123

Scripps Encinitas
 354 Santa Fe Drive, Encinitas, CA 92024 760-753-4123

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 12

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):**

Health and Safety Manager (Director of Risk Manager): Kimberly Jacobson
 Assistant Manager (Risk Management/Conservation Supervisor): Jeremiah Mendoza

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Inspection scheduled for July 11, 2014**

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):**

Berkshire Hathaway Homestate
 3300064083141

EXHIBIT 12 - Del Mar Thoroughbred Club Jockey On-Track Accident Response Program

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. Each ambulance is staffed by a Paramedic and a Bridge Program certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Health Information System (JHIS)

DMTC participates in the Jockey Health Information System through InCompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south

EXHIBIT 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.

- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.
- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.

EXHIBIT 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

b. Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one (1) Paramedic and one (1) Bridge Program certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Bridge Program certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing; Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times.

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with the San Diego/Rural Metro Ambulance who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital

EXHIBIT 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist San Diego Medical Enterprises Services with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet San Diego Medical Enterprises unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- EMT Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

EXHIBIT 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,

EXHIBIT 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Health Information System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

II. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

EXHIBIT 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:**I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen**

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete (JHIS)

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de InCompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fax directamente a la sala de emergencia del hospital.

EXHIBIT 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.
- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

EXHIBIT 12
 Club de Caballos Purasangre Hipódromo Del Mar
 Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.
- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados del Programa Bridge están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado del Programa Bridge en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias Rural Metro/de San Diego que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.

EXHIBIT 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VII. Plan Médico de Emergencia

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripps atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises

EXHIBIT 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Services” a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.

- Las unidades municipales se reunirán en la unidad de “San Diego Medical Enterprises” en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el área de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.
- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,

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- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,
- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.

EXHIBIT 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se expondrá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Exhibit 13

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Exhibit 14

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

Exhibit 15

- B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2014</u>	<u>2013</u>
Advertising	1,514,300	1,417,200
Promotions	1,373,400	1,404,200
Publicity	156,000	151,300
Corporate Sponsorship Fulfillment	78,000	84,500
Other	25,700	28,800
Total	3,147,400	3,086,000

PI-AM-002 (12/08)

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - CERTIFICATE HOLDERS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SECTION II - WHO IS AN INSURED is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or its authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

EXHIBIT 14**CONCESSIONS:**

George Karetas, President
Premier Food Services, Inc.
7966 Arjons Drive
San Diego, CA 92126

TIP SHEETS:

Bruce M. Andrews, Jr.
Deuce Bruce
5910 Rancho Mission Rd. #33
San Diego, CA 92108

Bob Baedeker
Baedeker, Inc.
130 West El Portal
San Clemente, CA 92672

John Acquarelli
Duke Racing Selections
4615 Triesta
Carlsbad, CA 92010

Toby Turrell
Winners
14112 Enfield Circle
Westminster, CA 92683

Tiffany Bohland
Bob's Card, Inc.
166 Halifax Lane
Medina, OH 44256

SADDLERY SERVICES:

Jarry Hobson
Hobson Saddlery
28 North 1st Avenue
Arcadia, CA 91066

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

RACING COLORS:

June Gee
West Coast Racing Colors
11920 Goldring Rd. Ste G
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logisitics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Michael Costello
**United/Puett Electrical Starting
Gates**
224 Tater Hill Rd.
East Haddam, CT 06423

**Scripps Coastal Medical
Center**
Track Physicians
1335 Camino Del Mar
Del Mar, CA 92014

Steve Stiles
**Stiles Animal Removal
Inc.**
P.O. Box 1103
Guasti, CA 91743-1103

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave
El Monte, CA 91731

Michael Brunner
Westwalk Corp.
Hotwalkers
2711 N. Sepulvada Blvd. # 312
Manhattan Beach, CA 90266

C. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekend (Friday, Saturday and Sunday).

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Screening of Polytrack surface
- Widening of Turf Course to Eighty (80) Feet
- Installation of stable area rain gutters and storm basins (restricted area)
- Ongoing replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area)
- Ongoing replacement of flooring, doors, locks, window screens in living quarters (restricted area)
- Ongoing replacement of morning workout lights (restricted area)
- Ongoing replacement of electrical outlets in stable area restrooms to GFCI protected (restricted area)
- Repair all stable area smoke detectors (restricted area)
- Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)
- Backfill all stable area stalls with decomposed granite (restricted area)
- Renovation of miscellaneous restrooms, tack rooms and living quarters in stable area (restricted area)
- Ongoing replacement of exterior electrical outlets and wall lights in stable area (restricted area)

2. Fans

- ADA handrail improvements.
- Ongoing replacement of television monitors with flat screen monitors throughout facility
- Ongoing installation of wireless technology throughout facility
- Replaced carpet in miscellaneous Turf Club, Clubhouse and Grandstand areas
- Replaced carpet in miscellaneous grandstand areas.
- Stretch Run admission gates were expanded and repositioned to enhance the fan entry process.

3. Facilities in the restricted areas

See 17-E-1

18. SCHEDULE OF CHARGES**A. Proposed charges, note any changes from the previous year:**

*Reserved Seating (Stretch Run) Opening Day – increased \$10.00

*Reserved Seating (Clubhouse) Opening Day – increased \$10.00

**Parking (Valet) – increased \$5.00

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$25 Opening Day* - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$25 Opening Day* - \$15 Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00
Parking (general, advance discount book of 10)	\$50.00
Parking (preferred)	\$15.00
Parking (valet)	\$25.00** (\$40 Opening Day)
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

*Season Turf Club Membership (2 persons) – increased \$300, which includes Summer and Fall Race Meets

*Season Turf Club Membership (1 person) – increased \$200 which includes Summer and Fall Race Meets

Season Turf Club Membership (2 persons):	\$1,500.00*
Season Turf Club Membership (1 person):	\$1,100.00*
Daily Turf Club Admission (member guests):	\$40.00 (\$100 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$200 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$285.00 to \$310.00 per seat
Season Reserved Seat:	\$270.00

C. Describe any "package" plans such as combined parking, admission and program: Turf Club memberships include valet parking. All paid admissions include official programs. All season box seats will include six free admission passes in 2014.

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- Corners (lockers and cubicles) How many
- Showers Steam room, sauna or steam cabinets Lounge area
- Masseur Food/beverage service Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) _____ on (date) _____.

Inspection of backstretch housing will be completed by CHRB staff on a date to be determined.

B. Number of rooms used for housing on the backstretch of the racetrack: 666 permanent and 66 rental trailers

C. Number of restrooms available on the backstretch of the racetrack: 83

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 17.5 workers to 1 restroom facility

21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

The main track surface is a Polytrack Engineered Running Surface. The surface consists of a 7" mixture of silica sand, recycled rubber, fibers and wax on top of a layer of reinforced filter fabric. Underneath is 2-1/2" of a porous macadam followed by 4" clean stone, a layer of filter cloth and 6" round perforated drain pipe on top of the existing dirt sub-base.

The turf course consists of GN-1 Bermuda grass sod with a growing medium consisting of 9" of silica sand mixed with peat and turf grids blended into the top 6".

C. The percent of cross slope in the straight-aways is: 0.5%
The percent of cross slope in the center of the turns is: 3%

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Main Track:

Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:

Inside running rail: Mawsafe pvc injection molded

Inside rail supports: Mawsafe pvc with 16" offset

Approx. height: 47"

Outside running rail of the turf course is a Fontana removable aluminum rail made of 4" aluminum tubing.

Outside rail supports are a Fontana 3" O.D. aluminum pole with a 19" offset

Approximate height 42"

The percent of cross slope in the straight-aways is 1.5%

The percent of cross slope in the center of the turns is 5%

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Robert Sanchez, Vice President, Plant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. Exhibit 16 (Attached) – Upon approval by CHRB, Certificate of Insurance and CHRB 120 Hold Harmless will be provided.

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): Exhibit 17
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Agreement with TOC is in negotiations. Agreement with CTT is in negotiations.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire terms of the meeting except as follows (if no exceptions, so state): No exceptions

EXHIBIT 16

STATE OF CALIFORNIA

CALIFORNIA HORSE RACING BOARD

HOLD HARMLESS AGREEMENT

CHRB 120 - (New 2/96)

**CALIFORNIA HORSE RACING BOARD
HOLD HARMLESS AGREEMENT**

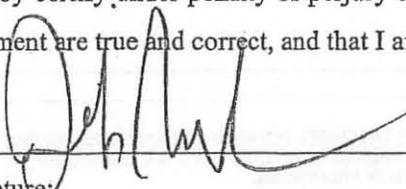
On April 14, 2014, the Del Mar Thoroughbred Club (DMTC) requested approval to implement alternate methodologies to the Track Safety Standards pursuant to Board Rule 1471, General Provisions and Conditions. The California Horse Racing Board approved the proposed alternative methods proposed by DMTC on April 25, 2014 conditioned upon DMTC signing the following Hold Harmless Agreement:

DMTC hereby waives all claims and recourse against the State including the right to contribution for loss or damage to persons or property arising from, growing out of, or in any way connected with or incident to this Agreement and regardless of whether such claim resulted from incidents on the premises.

DMTC shall indemnify and save harmless the State of California, California Horse Racing Board, and all officers and employees thereof from all claims, suits or actions of every name, kind and description, brought forth from, or on account of injuries to or death of any person including but not limited to workmen and the public, or damage to property resulting from the performance of this agreement based upon or arising out of alternative methods of compliance approved by the Board, except as otherwise provided by statute. Any interpretation of this agreement shall be pursuant to Section 2778 of the Civil Code. DMTC waives any and all rights to any type of express or implied indemnity against the State, its officers or employees.

It is the intent of the parties that DMTC will indemnify and hold harmless the State, its officers and employees from any and all claims, suits or actions as set forth above regardless of the existence or degree of fault or negligence whether active or passive, primary or secondary on the part of the State, DMTC the subcontractor or employee of any of these. In the event the State is named as co-defendant, DMTC shall notify State of such fact and shall represent State in such legal action unless State undertakes to represent itself as co-defendant in such legal action, in which event DMTC shall bear State's litigation costs, expenses, and attorney's fees.

I hereby certify under penalty of perjury that I have examined this agreement, that all of the foregoing statements in this agreement are true and correct, and that I am authorized by the association to attest to this agreement on its behalf.



 Signature: _____ Title _____ Date April 30, 2014

Josh Rubinstein – Executive Vice President & Chief Operating Officer



CERTIFICATE OF LIABILITY INSURANCE

DELMART-01

MROUSH

DATE (MM/DD/YYYY)

4/16/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company P.O. Box 6030 Pasadena, CA 91102-6030	CONTACT NAME: PHONE (A/C, No., Ext): (626) 799-7000		FAX (A/C, No): (626) 441-3233
	E-MAIL ADDRESS:		
INSURED Del Mar Thoroughbred Club PO Box 700 Del Mar, CA 92014-0700	INSURER(S) AFFORDING COVERAGE		NAIC #
	INSURER A : Philadelphia Indemnity Insurance Co.		18058
	INSURER B : Federal Insurance Company		20281
	INSURER C : Berkshire Hathaway Homestate Insurance Compa		
	INSURER D :		
	INSURER E :		

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR VVVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR	X		PHPK1145374	3/15/2014	3/15/2015	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			PHPK1145374	3/15/2014	3/15/2015	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000						BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (PER ACCIDENT) \$
B	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			93639812	3/15/2014	3/15/2015	EACH OCCURRENCE \$ 15,000,000 AGGREGATE \$ 15,000,000
C	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY <input type="checkbox"/> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A		3300064083141	3/31/2014	3/31/2015	<input checked="" type="checkbox"/> WS STATUTORY LIMITS <input type="checkbox"/> OTHER
	E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000						

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

The Certificate Holder is included as Additional Insured as required by written contract or agreement per attached endorsement.

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board (CHRB) 1010 Hurley Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
--	---

PLAM-002 (12/08)

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED - CERTIFICATE HOLDERS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SECTION II - WHO IS AN INSURED is amended to include any Certificate Holder, identified as an additional insured, on a Certificate of Insurance issued by Philadelphia Indemnity Insurance Company or its authorized representative, but only for liability arising out of the negligence of the named insured.

The limits of insurance applicable to these additional insureds are the lesser of the policy limits or those limits specified in a contract or agreement. These limits are inclusive of and not in addition to the limits of insurance shown in the declarations.

EXHIBIT 16

April 14, 2014

Mr. Rick Baedeker
 Executive Director
 California Horse Racing Board
 1010 Hurley Way
 Sacramento, California 95825

Dear Rick,

As you are aware the Del Mar Thoroughbred Club (DMTC) has been working on the enlargement of its turf course. This project is advancing and will be ready for racing for our 2014 summer meet.

As you also know, DMTC installed a new inner turf rail (Mawsafe) which we used for racing in 2013. While the rail provided optimum safety features, its unique design required a waiver from the CHRB as it didn't comply with all existing demands of CHRB Rule 1472—Rail Construction and Track Specifications.

Again, the key features of the Mawsafe Rail System:

- The ability of the rail posts to kick out and release from a fallen horse or rider
- The rail, which is positioned several inches higher than our existing rails, when brushed by a horse adds support to aid in keeping such horse on course—jumping, or horses attempting to jump, has been virtually eliminated.
- Rail pieces are 40 feet long reducing the number of connections.
- Quick and easier rail moves will allow for more turf recovery time which will allow horses to run on more consistent ground.

The Jockeys' Guild gave its full support for the new inner rail as did the CHRB. Because of its unique safety features, DMTC would like to install the Mawsafe rail for use as its permanent outside turf rail. Again, DMTC is requesting a waiver from the CHRB addressing certain requirements of current CHRB Rule 1472 as they pertain to the specifications of an outside rail:

Rule 1472 - (b) All rail posts, except portable, auxiliary, or chute rail posts, must be set in concrete at least 6 inches below the racetrack surface and shall be at least 24 inches deep. Permanent rails shall be designed not to collapse or break away when a horse that is running parallel to the rail bumps, lugs or falls into the rail or posts during normal training or racing. Notwithstanding the above, no permanent or portable turf post or rail shall be constructed of fiberglass, poly vinyl chloride (P.V.C.), or wood, and hedges shall not be used as a post or rail.

The Mawsafe outside turf rail will be installed as it is for the CHRB approved inside turf rail. DMTC believes the unique breakaway rail post system is safer than the requirement that the posts be set in concrete. We covered the P.V.C. materials explanation in our 2013 waiver request – CHRB accepted.

Rule 1472- (c) The height of all outside and inside rails shall be between 38 and 42 inches from the top of the racing surface to the top of the rail.

The height issue was covered in our 2013 waiver request – CHRB accepted.

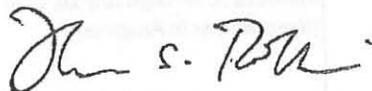
Rule 1472 - (g) No objects shall be placed within 10 feet from the face of the inside rail.

There are some areas where the face of the inside main track rail are less than 10 feet from the posts of the outside turf rail, which has always been the case. As covered in the response to Rule 1472 (b), should a horse make contact with the posts of the Mawsafe outside turf rail, DMTC believes the special release safety design will mitigate the severity of the contact more so than the current regulation of an immovable post set in concrete.

The Mawsafe rail is manufactured by Global Barrier Systems. If you haven't already, we encourage you to visit its website – www.globalbarriersystems.com to learn more about its product and see the extensive list of tracks in Australia that have installed the rail system. You will also find video of race accidents and how the rail performed.

Thank you for your consideration.

Sincerely,



Thomas S. Robbins
Executive Vice President, Racing & Industry Relations

EXHIBIT 17

DEL MAR THOROUGHBRED CLUB - UNION CONTRACTS CURRENTLY IN NEGOTIATION

UNION	SIGNATORY ASSOCIATIONS	JOB CLASSIFICATION	CONTRACT DATES
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Auto Park	July 21, 2013 through July 2014 (Negotiations in Progress)
Teamsters Local 495 Clockers/ Outriders	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Clockers / Outriders	July 21, 2013 through July 2014 (Negotiations in Progress)
Teamsters Starters Local 495	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Starters	July 21, 2013 through July 2014 (Negotiations in Progress)
Teamsters 495 Racing	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing Officials	July 21, 2013 through July 2014 (Negotiations in Progress)
SEIU Local #280	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex SCOTWINC	Mutuels	March 2010 through July 16, 2014 (Negotiations in Progress)
SEIU #1877	Del Mar Thoroughbred Club Los Alamitos Race Course Los Angeles Turf Club, Incorporated Fairplex	Racing	June 2011 through July 2, 2014 (Negotiations in Progress)

- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins
 Print Name
Executive Vice President, Racing
 Print Title

Thomas S. Robbins
 Signature
4/16/14
 Date

STAFF ANALYSIS
May 22, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 23, 2014 THROUGH AUGUST 10, 2014.

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

- July 23 through August 10, 2014, or 13 days, the same as 2013. July 23 and 24 will be simulcasting only and live racing will begin July 25, 2014. The fair proposes to race a total of 142 races.
- The proposed race dates are the approved dates allocated to the fair.

July - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4 H	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- Simulcasting Wednesday through Sunday and racing Friday through Sunday the first week only, with 10 races per day on Wednesdays, Thursdays, and Fridays; 12 races per day on Saturdays and Sundays.
 - Racing concurrently with Del Mar July 25, 2014 through August 10, 2014.
 - Number of horses available determines the number of daily races programmed by breed.
 - 2013 Race Meeting: Average number of runners per race (TB): 7.67
 - 2013 Race Meeting: Average number of runners per race (Arabian): 6.43
 - 2013 Race Meeting: Average number of runners per race (Quarterhorse): 6.50
 - 2013 Race Meeting: Average number of runners per race (Mules): 7.00
- First post 1:15 p.m. daily.
- Friday's post time is 3:15 p.m.
- Specific changes from the 2013 license application:
 - Fair Admission/Adults (13 & older) from \$10 to \$11
 - Fair Admission/Seniors from \$10 to \$11
 - Programs/on track from \$2 to \$2.50
 - Programs/off track from \$2.25 to \$2.50
 - Upper box seats from \$165 to \$175

- Request Daryl Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpire.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpire, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Wagering program will use CHRB and ARCI rules.
 - \$1 Trifecta and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 races
 - \$0.50 Pick 5 on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.
 - \$2 Pick 6 on last 6 races – 70% major pool paid or a carryover and 30% minor pool paid.
 - \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
 - Super High Five on the last race.
 - Early wagering will not be offered.

- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow an entry to run for purse only.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

ITEMS STILL NEEDED:

1. Horsemen's agreement (TOC)
2. Race Track Superintendent and Timer licenses are expired
3. Placing Judge and Assistant Racing Secretary licenses will expire 5/2014

Specific information which will need **updating** during the proposed race dates:

1. Starter's license will expire 7/2014 during the race meet.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Sonoma County Fair
1350 Bennett Valley Drive
Santa Rosa, Ca 95404
(707) 545-4200 / (707) 545-9342 Fax

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Tawny Tesconi, Fair Manager, 707-545-4200, tawny@sonomacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **Wednesday, July 23 – Sunday, August 10, 2014**

B. Actual dates racing will be held: **Friday - Sunday, July 25 – 27, Wednesday - Sunday, July 30 – August 3 & Wednesday – Sunday, August 6 - 10**

C. Dates racing will NOT be held: **July 23, 24, 28, 29 & August 4 & 5. July 23 & 24 will be simulcast only days.**

D. Total number of racing days: **13**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Friday – Sunday (first week only)

3. RACING PROGRAM

A. Total number of races: **142**

Should the availability of horses and mules exceed our expectations, we request approval to adjust the number of races scheduled.

CHRB CERTIFICATION

Application received: **4/22/14**
 Reviewed: **EW**

Hearing date: **5/22/14**
 Approved date:
 License number:

B. Number of races by breed:

<input type="text" value="116"/>	Thoroughbreds	<input type="text" value="7"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="9"/>	Mules

C. Number of races daily:

	Wed 7/30 & 8/6	Thur 7/31 & 8/7	Fri 7/25, 8/1 & 8/8	Sat 7/26, 8/2 & 8/9	Sun 7/27, 8/3 & 8/10
Thoroughbred	8	8	8	10	10
Other Breeds	2	2	2	2	2
Total	20	20	30	36	36

D. Total number of stakes races by breed:

<input type="text" value="6"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Thoroughbred Stakes

Wine Country Stakes (formerly Horsemen of the Year) Three-year old Fillies – One and 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jul 26
Luther Burbank Handicap – 43 rd Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Jul 27
Robert Dupret Derby Three-year olds – One & 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 2
Joseph T. Grace Handicap – 42 nd Running Three-year olds and Upward - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 3
Jess Jackson Owner's Hdcp Three-year olds and Upward – 5 Furlongs (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 9
Cavonnier Juvenile Overnight Stakes – 46 th Running Two-year olds - Six Furlongs	\$50,000 Guaranteed (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 10

Quarter Horse Stakes

PCQHRA Handicap Three Year Olds and Upward – 350 Yards	\$8,000 Guaranteed	TBD
Wine Country Derby Three Year Olds & Upward – 440 Yards	\$8,000 Guaranteed	TBD

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Wednesday / Thursday</i>	<i>Fridays</i>	<i>Weekends</i>
Race # 1	1:15 p.m.	3:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	3:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	4:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	4:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	5:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	5:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	6:15 p.m.	4:15 p.m.
Race # 8	4:45 p.m.	6:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	7:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.		5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Doug Beretta	Lisa Carreño	Cindy Crane	Marilyn Herzog
Bob Higham	Dave Lewers	Ross Liscum	Teejay Lowe
Max Mickelsen	Marcia Mickelson	Annette O'Kelley	Bev Palm
Lisa Wittke Schaffner	Wanda Tapia		

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

Max Mickelsen	Cindy Crane	Doug Beretta	Ross Liscum
Teejay Lowe	Lisa Wittke Schaffner		

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Tawny Tesconi – Fair Manager
 Robert Moreno - Racing Secretary
 Richard Lewis - Director of Racing
 Bryan Wayte - Mutuel Manager
 Michelle Mustard - Satellite Supervisor
 Juan Meza - Race Track Superintendent
 Michael Wrona - Track Announcer

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Tawny Tesconi, Fair Manager
1350 Bennett Valley Road
Santa Rosa, CA 95404
tawny@sonomacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2013	\$33,734,505	36,830	13 days
2012	\$34,182,905	40,030	15 days
2011	\$34,738,586	41,926	15 days
2010	\$37,086,356	41,026	15 days
2009	\$29,655,102	39,101	10 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

- A. Purse distribution: 2014 vs. 2013

1. All races other than stakes:
 Current meet estimate: \$1,395,356
 Prior meet actual: \$1,423,833

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$107,335
 Prior meet actual: \$109,526

2. Overnight stakes:
 Current meet estimate: \$368,000
 Prior meet actual: \$300,000
 Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$28,308
 Prior meet actual: \$23,077

3. Non-overnight stakes:
 Current meet estimate: \$-0-
 Prior meet actual: \$-0-

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$-0-
 Prior meet estimate: \$-0-

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,763,356
 Prior meet actual \$1,723,833

Average Daily Purse (7A4 ÷ number of days):
 Current meet estimate: \$135,643
 Prior meet actual: \$132,603

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$143,841
 Prior meet actual: \$146,776

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 4,640	\$ 4,735
TOC	\$ 9,420	\$ 9,612
NTRA	\$ 2,822	\$ 2,880
PCQHRA	\$ 1,007	\$ 1,028
CWAR	\$ -0-	\$ -0-
ARAC	\$ 3,579	\$ 3,652
AMRA	\$ 4,201	\$ 4,287
CHBPAPEN	\$14,060	\$14,347
CTHF	<u>\$14,060</u>	<u>\$14,347</u>
Total	\$53,790	\$54,888

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,960,988
 Prior meet actual: \$1,925,497

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$150,845
 Prior meet actual: \$148,115

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,392,301
 Prior meet actual: \$1,367,103

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$107,100
 Prior meet actual: \$105,162

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$568,686
 Prior meet actual: \$558,394

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$43,745
 Prior meet actual: \$42,953

- G. Bank and account number for the Paymaster of Purses' purse account:

Exchange Bank, Sonoma County Fair: Account number on file with CHRB

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

800

- B. Minimum number of stalls believed necessary for the meeting:

760

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

2,124

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained

at each site:

Alameda County Fair, Pleasanton – 684 stalls; Golden Gate Fields – 1,440 stalls

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Northern California Stabling and Vanning Fund (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse:

9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach copy of the CARF recommended wagering format. Yes No

- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input type="checkbox"/>
Race #1	\$2DD \$1E \$1PK3 \$.50 PNP (Pick 4) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1	
Race #2	\$2DD \$1E \$1PK3 \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1977 CHRB 1979 CHRB 1979.1	
Race #3	\$2DD \$1E \$1PK3	CHRB 1957 CHRB 1959 CHRB 1977	

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	\$2 PNP (Pick 6 when 8 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #4	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 5 when 8 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 9 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #5	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 4 when 8 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 9 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 10 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #6	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 8 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 9 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 10 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 11 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #7	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 9 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 10 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 11 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #8	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 10 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 11 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 8 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #9	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 11 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 9 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #10	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 12 races)	CHRB 1977
	\$1 Trifecta	CHRB 1979

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	\$10 Superfecta	CHRB 1979.1
	\$50 Super High 5 (when 10 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$10 Superfecta	CHRB 1979.1
	\$50 Super High 5 (when 11 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$10 Superfecta	CHRB 1979.1
	\$50 Super High 5 (when 12 races)	ARCI 004-105 (Pick (n) position (x) pools)

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 10, 2014 (Closing Day of Sonoma County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:
\$1 TRI and \$10 SF when applicable
\$50 PNP4 on the first four races and the last four thoroughbred races each day
\$50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$10 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.
\$50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in this race. Super High-5 will be carded on the last race of the day.

- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Sportech Racing, LLC (Tom Kelso)

Expiration 8/11/2014

Equipment description on file with Board.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**

- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No

If yes, attach a copy of the approval. – **On File**
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

On File

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Bankers Casino, Salinas
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Golden Gate Fields, Albany
 Jockey Club at San Mateo, San Mateo
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura
 Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavilion at The Farmers Fair, Lake Perris
 Sports Pavilion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

**2014 Sonoma County Fair Meet
 Commingled Locations**

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arma Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline ADW	Maronas (South America)	Seabrook Greyhound

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Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood & Maywood ADW	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes & Finger Lakes ADW	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Bangor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Batavia	Freehold	Monmouth Park	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Beulah Park	Global Wagering Solutions	Monticello	Pat's Pizza
Birmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	SunRay Park & Casino
Buffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Calder Racecourse	Racebets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Charles Town Race Course	Gulfstream Park	Northfield	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Harrah's Chester
Colonial Downs	Hoosier Park	Oaklawn	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Columbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Connecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	XpressBet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Yonkers ADW(empirecitybets)
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Youbet Group 1
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	Zia Park
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW	Raceway Park	
Randall James Racetrack	BetAmerica	Racing2Day LLC	
Millenium Racing	BestFastNow	Racing2Day Intl. (Stan James)	
Royal Beach Casino	OnlineRacing.TV	Remington Park	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington OTB Network	
Fair Chance	BigJackpotBetting.com	Retama	Separate Pool Locations
Winner's Circle	Hometownbets.com	Racing & Gaming Services	Camarero (Puerto Rico)
Corpus Christi Greyhound	RaceplayWin.com	River Downs	Caymanas (Jamaica)
Delaware	VIPHorsePlayer.com	Rockingham Park	MIR Books (Caliente)
	TrackInfo.com	Rockingham Account Wagering	NDS Books (Nevada)
	Offtrackbetting.com	Ruidoso Downs	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Listing Above
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar (San Clemente), Original Road House Grill (Santa Maria), Commerce Casino, Fresno Club One, Santa Clarita Lanes, Sammy's (Lake Forest), The Mermaid Tavern (Thousand Oaks)
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be

accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arlington Park	7/23 - 8/10	Selected Feature and/or stakes races
Calder Racecourse	7/23 - 8/10	Selected Feature and/or stakes races
Canterbury Downs	7/23 - 8/10	Selected Feature and/or stakes races
Charles Town	7/23 - 8/10	Selected Feature and/or stakes races
Delaware	7/23 - 8/10	Selected Feature and/or stakes races
Ellis Park	7/23 - 8/10	Selected Feature and/or stakes races
Emerald Downs	7/23 - 8/10	Selected Feature and/or stakes races
Evangeline Downs	7/23 - 8/10	Selected Feature and/or stakes races
Fairgrounds	8/6 - 8/10	Selected Feature and/or stakes races
Fingerlakes	7/23 - 8/10	Selected Feature and/or stakes races
Gulfstream	7/23 - 8/10	Selected Feature and/or stakes races
Indiana Downs	7/23 - 8/10	Selected Feature and/or stakes races
Louisiana Downs	7/23 - 8/10	Selected Feature and/or stakes races
Monmouth	7/23 - 8/10	Selected Feature and/or stakes races
Mountaineer	7/23 - 8/10	Selected Feature and/or stakes races
Penn National	7/23 - 8/10	Selected Feature and/or stakes races
Philadelphia Park/Parx	7/23 - 8/10	Selected Feature and/or stakes races
Prairie Meadows	7/23 - 8/10	Selected Feature and/or stakes races
Presque Isle Downs	7/23 - 8/10	Selected Feature and/or stakes races
Ruidoso	7/23 - 8/10	Selected Feature and/or stakes races
Saratoga	7/23 - 8/10	Selected Feature and/or stakes races
Suffolk Downs	7/23 - 8/10	Selected Feature and/or stakes races
Thistledown	7/23 - 8/10	Selected Feature and/or stakes races
Assiniboia	7/23 - 8/10	Selected Feature and/or stakes races
Australian Racing	7/23 - 8/10	Selected Feature and/or stakes races
Fort Erie	7/23 - 8/10	Selected Feature and/or stakes races
Hastings	7/23 - 8/10	Selected Feature and/or stakes races
Northlands Park	7/23 - 8/10	Selected Feature and/or stakes races
South American Racing	7/23 - 8/10	Selected Feature and/or stakes races
United Kingdom	7/23 - 8/10	Selected Feature and/or stakes races
Woodbine	7/23 - 8/10	Selected Feature and/or stakes races

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

<u>Name of Host Track</u>	<u>Breed of Horse</u>	<u>Race Dates</u>	<u>Number of Races to be Imported</u>
N/A			

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
 - Clerk of Scales – **Kenneth Sjoldal**
 - Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker-Bryant (Thoroughbreds)**
 - Film Specialist – **Cheryl White**
 - Horse Identifier – **Daryl Sparks**
 - Horseshoe Inspector – **Jack Hammonds**
 - Paddock Judge – **Danny Winick**
 - Patrol Judges – **Myra Troske & Cheryl White**
 - Placing Judges – **Greg Brent & Ella Robinson**
 - Starter – **Todd Stephens**
 - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Richard Lewis**
 - Racing Secretary – **Robert Moreno**
 - Assistant Racing Secretary – **Linda Anderson**
 - Paymaster of Purses – **Dawn Jackson**
 - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; pdqcsr@comcast.net; (650) 573-9339**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA – Bill O'Brien (Expires 8/11/16)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the

service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communication, Inc. – Jim Porep –expiration 4/30/2015

Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: 4/30/2015

13. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Tawny Tesconi, Fair Manager (707) 545-4218

Lt. Soares, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair. Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.

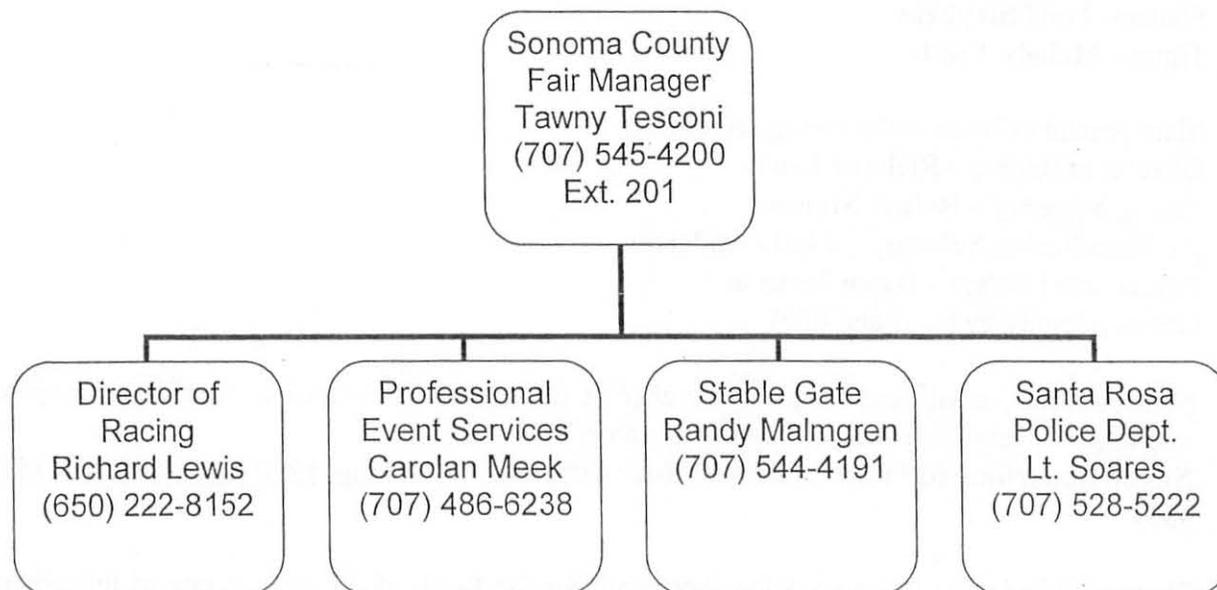
Private security supplied by Professional Events Services:

Carolán Meek: (707) 463-1733 office; (707) 486-6238 cell

CC: (415) 336-4462

Randy Malmgren, Stable Manager: (707) 544-4191

Stable Gate: (707) 544-4191



B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.

Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

No Graded Stakes

- A. Attach a plan for use of graded stakes or overnight races.

N/A

- B. Number of security guards in the detention stall area during a 24-hour period.

N/A

- C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

Will comply with and follow CHRB directives.

- B. Plan for enhanced surveillance for trainers with high-test results.

Will comply with and follow CHRB directives.

- C. Plan for detention stalls for repeat offenders.

Will comply with and follow CHRB directives.

- D. Number of security personnel assigned to the TCO2 program.

As directed by CHRB

- C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Pegasus Communications provides and installs mobile surveillance equipment.**4 surveillance cameras monitoring this area****14. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404; (707) 576-1365

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attachment #1**One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race.**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair
Westmed Ambulance Service****Golden Gate Fields
Turf Rescue LLC**

**2424 Whipple Road
Hayward, CA 94544
(510) 504-3616**

**4470 Hillsborough Drive
Castro Valley, CA 94546
(510) 581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached. The ambulance at Pleasanton has 1 EMT and 1 Paramedic, the ambulance at GGF also has 1 EMT and one Paramedic.

- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attachment #2
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
David Fichman, M.D. (707) 829-1811
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405
Emergency Room (707) 525-5207**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attachment #3 (English); Attachment #4 (Spanish)
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
**Richard Lewis – Director of Racing
Clifford Sanders, Superintendent, Sonoma County Fairgrounds**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
See Attachment #5
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured – See Attachment #6**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
See Attachment #6

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair

shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Ovations	Nick Nicora	Banner Island Park – 404 W., Fremont, CA 95203
Food& Non-Alcoholic Beverages	Big Boys BBQ (4 locations)	Gus Lopez	2933 Montgomery Dr., Santa Rosa, CA 95405
	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482
	Five Star Catering	Don Delahoyde	585 North State Street Ukiah, CA 95482
Programs	Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 95466
Daily Racing Forms	Daily Racing Form	Wicks Sports Information Grp	100 Broadway, 7 th Fl. New York City, N.Y. 10005
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Michael Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System	Speeda Sound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722
Armored Car Service	NCOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568

B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
See Attachment #7

B. Promotional/ Marketing budget for this race meeting: **Exceeds \$370,000**
Promotional/Marketing budget for prior race meeting: **Exceeded \$370,000**

C. Number of hosts and hostesses employed for meeting: N/A
Upstairs Grandstand Upper Level Guest Information Booth; wait service in Box Seats and Trackside Dining areas.
In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine Attendants.

D. Describe facilities set aside for new fans:
See Attachment #8

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: See Attachment #9

1. Horsemen
2. Fans
3. Facilities in the restricted areas

17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 11.00
Advanced Sale Tickets (On-line)	\$ 8.00
Fair Admission/Children (6-12)	\$ 5.00
Fair Admission/Seniors	\$ 11.00
Fair Admission/Presale Discount	\$ 8.00
Admission (clubhouse)	\$ N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	\$ N/A
Programs/on track	\$ 2.50
Programs/off track	\$ 2.50

- B. Describe any "Season Boxes" or other special accommodation fees:

Lower box seats \$215 season, Upper box seats \$175 season.

Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.

- C. Describe any "package" plans such as combined parking, admission and program:

Box Seat Program; Named Race Program; VIP Tent offers; \$2 seats. Fans are able to purchase fair admission and box seat ticket for \$11 (a \$13 value) with no minimum package purchase requirement.

18. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:

Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.

19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **100**

- C. Number of restrooms available on the backstretch of the racetrack:
Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,143.9

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue
- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**
The percent of cross slope in the center of the turns is: **5 ½% Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Tawny Tesconi**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474: **On File**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
CTT, AMRA, PQHRA, ARAC on file; TOC is in progress
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so

state):

No Exceptions

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

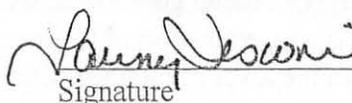
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Tawny Tesconi

Print Name

Sonoma County Fair Manager

Print Title


Signature

4/22/14

Date

ATTACHMENTS

ATTACHMENT #1

14.A.1. Certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Letter dated March 25, 2014 from American Medical Response

[Faint signature and text, possibly "American Medical Response"]



Dean B. Anderson, General Manager
Sonoma County

March 25, 2014

Tawny Tesconi, Fair Manager
Sonoma County Fairgrounds
1350 Bennett Valley Road
Santa Rosa, CA 95404

Ms. Tesconi,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

Jauri McFadden for Dean Anderson

Dean B. Anderson
General Manager



March 12, 2014

Christopher A. Seymour
Westmed Ambulance Service
2424 Whipple Rd.
Hayward CA, 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at cseymour@westmedambulance.com.

Best regards,

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour EMT-P, BA

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

December 30, 2013

California Horse Racing Board
1010 Hurley Blvd. Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2014

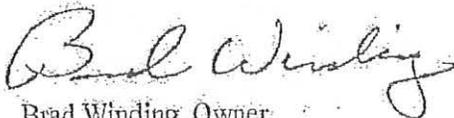
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

ATTACHMENT #2**14.C. Describe the on-track first aid facility, including equipment and medical staffing:****Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

On-Track Staffing

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

Jockey Quarters Staffing

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and personnel requiring non-emergency medical care.

Grandstands First Aid Staffing

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located on the lower level of the Racing Grandstands. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

Location of nearest Emergency Room Facility (Hospital) and Fire Department

Both the Emergency Room and Fire Department is located less than 3 miles away from the Fairgrounds.

Physical Resources

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

Ambulance

See the following List of Supplies and Equipment

Equine First Aid

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter

March 06 2014

INVENTORY LIST READS FROM LEFT TO
RIGHT IN EACH CABINET

Cabinet 1

1 ea Box of Gloves; S, M, L
Hand Sanitizer

Cabinet 2

1 Stack Pillow Cases **
1 Stack Paper Sheets **
1 Pillow **
6 Fitted Sheets

Cabinet 3

1 Blood Draw Kit
2 DOJ Blood Alcohol Kits
2 ea Suction Catheter 6-18fr
2 Urinals **
2 Bedpans **
2 Suction Tubing
2 Hard Suction Tips
2ea Suction Canisters & Lids

Cabinet 4

2 stacks Paper towels

Cabinet 5

Temperature monitor top shelf

Drug Box

1 Adenosine 6mg
1 Adenosine 12mg
4 Albuterol 2.5mg
2 Atrovent 0.5mg
1 Atropine 8mg
2 Benadryl 50mg
2 Epi. 1:1,000 1mg
1 Epi. 1:1,000 30mg
6 Zofran tablets
6 Zofran vials or 1 MDV

Top Shelf

1 Bottle Peroxide
1 Adult EZ IO
1 Pedi EZ IO
1 Glucagon 1mg
1 Oral Glucose 24Gm
10 Amiodarone 150mg
1 Aspirin Bottle 81mg
1 bottle Nitro. Tab 0.4mg

Bottom Shelf

6 Epi. 1:10,000 1mg
2 Atropine 1mg
2 Narcan 2mg

1 Dextrose 50%
1 Calcium Chloride 1Gm
1 Sodium Bicarb Pre-load or
Vial w 60 cc syringe
2 D10% setups

Cabinet 6

Top Shelf

1 Intubation Kit
1 Chricothyrotomy Kit
1 Thoracentesis Kit
1 BVM Nebulizer with 2
Albuterol, 1 Atrovent
1 Adult BVM **

Bottom Shelf

1 Medium CPAP
1 Large CPAP
2 Filterline AD/NEO
4 Smart CAPNO AD/INT
nasal cannula
4 Hand Held Nebulizers with 2
Albuterol/1 Atrovent
4 ABT Masks, Adult
6 Non Rebreathers, Adult
8 Nasal Cannulas, Adult

Cabinet 7

6 Macro (10 drop) Sets
6 Normal Saline 1000cc
1 Secondary Medication Set
1 Dial-A-Flo Regulator
1 Dopamine 400mg Premix
3 Micro (60 drop) Sets
1 Normal Saline 100cc

Cabinet 8

1 Narcs box
1 Knox box key

Cabinet 9

8 18g Angiocaths
4 16g Angiocaths
4 14g Angiocaths
8 20g Angiocaths
4 22g Angiocaths
4 24g Angiocaths
4 1cc Syringes
8 3cc Syringes with twinpak
4 5cc Syringes with twinpak
4 10cc Syringes with twinpak
8 IV Start Packs

** ITEMS ARE
CHP REQUIREMENT

25 Lancets
1 box Glucometer Strips
1 Sharp Shuttle
2 rolls Transpore tape
20 Alcohol Preps
5 Prep Razors
8 Twin Pak Tips
4 Needles, 21g
4 Filter Straws
4 Lever Locks
4 MAD nasal spray tips
10 Saline Locks
10 Normal Saline 10cc

Cabinet 10

Top Shelf

4 Cold Packs
4 Hot Packs
2 Burn Sheets
2 10"x30" Trauma Bandages **
3 Emesis Bags
3 Emesis Basins **

Bottom Shelf

1 Pack 4"x4" non-sterile
1 Pack 2"x2" non-sterile
6 Roller Gauze 4" **
12 Abdominal pads
5"x9" or 8"x10" **
12 Sterile 4"x4" **
1 Box Band Aids
4 Occlusive Dressings
2 Rolls 2" cloth tape **
1 Roll 1" paper tape
4 Nose Clips
1 pr. Trauma Shears
4 Triangular Bandages
1 SAM Pelvic Binder Splint

Cabinet 11

1 Disinfectant Spray
1 Glass Cleaner
1 tub Germicidal Wipes
4 Back Board Straps
4 Towels **

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter

March 06 2014

Cabinet 12**Top Shelf**

2 CHP Blankets
 1 ECG Cable with 12 lead attachments
 2 Defibrillator Patches adult
 2 Dispo PulseOx Ad/Neo
 1 Reusable sensor & base cable
 2 packages EKG Electrodes, Adult
 2 EKG Paper
 1 BP Cuff, Thigh

Cabinet 13

4 Head Beds **
 4 C-Collars Adult **
 2 C-Collars Pedi.

Cabinet 14

3 Personal Protection Kits
 3 ea N95 Masks S,M,L
 3 ea P100 Masks S,M/L, XL
 2 sets Eye Protection
 2 sets Ear protection

Cabinet 15

5 Surgical masks
 2 Spit socks
 2 sets Disposable Restraints **
 5 Large Bio Bags
 5 Yellow Hazard Bags
 5 Patient Belonging Bags

Action Area

1 Suction Canister with Lid, Tubing & Hard Suction Tip
 1 Garbage can

Main Oxygen storage

1 "H" cylinder
 1 spare "D" cylinder
 1 spare "D" cylinder with O2 Regulator attached

Bulkhead area**On Top**

1 Portable Suction w/ Lid **
 1 Suction Tubing **
 1 Hard Suction Tip **

Bulkhead Cabinet**Top Shelf**

4 Sterile Water **
 1 OB Kit **
 2 Teddy Bears
 1 Pedi-mate (car Seat)
 1 Pediatric Warmer
 1 Pediatric Bag

Bottom Shelf

8 blankets
 1 Fine tip sharpie
 Cabinet Seals
 1 MCI Kit
 1 ream Paper
 2 Emergency Food rations

Stair Chair area

2ea Cardboard Splints, S, M, L **
 1 Stair-chair

Right Rear Door

2 Nasal Cannulas
 2 Non Rebreathers
 1 Hand Held Nebulizer with 2 Albuterol, 1 Atrovent
 1 Adult ABT mask

Left Rear Door

2 Fitted Sheets
 4 Sheets
 4 Pillow Cases

Left Rear Corner

1 Broom
 1 Pediatric Board

Head of Bench Seat

Sharps Container
 2 D Tanks (08 sprinters)

Behind Bench

1 Box Vionex wipes
 1 Trauma Sheers **
 1 BP Cuff Adult **
 1 Stethoscope **
 1 Clipboard with RAS/AMA and Signature forms

Foot Of Bench seat

1 Garbage can
 2 Back Boards
 1 Breakaway Flat

Under Bench Seat

2 Helmets
 2 prs. Leather Gloves
 1 KED **
 1 Sager Traction Splint **
 1 Carry-all tarp
 1 Triangle Reflector

Gurney

3 Sets of seat belts including shoulder straps
 1 Monitor/Defibrillator
 1 Pillow and Pillow Case
 1 Blanket, Sheet and Fitted Sheet
 1 Blue Bag
 1 D-Tank with Oxygen Bag
 1 D-Tank in Headrest (optional)
 1 Set Wrist restraints
 1 Set Ankle restraints

Left Gurney Pouch

2 Eye Protection
 2 ea N95 Mask, S, M, L,

Right Gurney Pouch

2 packages Disposable Restraints
 1 Spit Sock
 1 Slider
 5 Surgical Masks

Front Cab

1 CHP identification permit **
 1 DMV Registration **
 1 Insurance Certificate **
 1 Box of Gloves per crewmember
 1 Map Book
 1 Emergency Response Guidebook
 1 Fastrak Bridge pass
 1 Phone & Charger
 1 Spotlight
 2 Flashlights
 1 Fire Extinguisher
 1 Gas Card
 1 Disaster Preparedness Guidelines Card
 1 EMD guidebook

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter

March 06 2014

1 Metal Clipboard containing:

5 Physician On Scene Forms
5 Blood Draw forms
1 ALS Ambulance Inventory
1 Temperature Monitor Policy

Bags & Kits**Blue Bag****Clear pouch**

3 RAS/AMA forms

Top Compartment

1 BP Cuff, Adult*
1 Stethoscope*

Left Outside Compartment

1 EZ IO Kit

Right Outside Compartment

1 BVM, Adult

Middle Outside Compartment

1 Glucometer
1 Sharp Shuttle
1 Bandage Kit
1 10"x30" trauma dressing

Top Inside Compartment

1 CPAP Size M
1 Chricothyrotomy Kit
1 Thoracentesis Kit
1 BVM Nebulizer with 2 Albuterol, 1 Atrovent
1 Defibrillator Magnet

Middle Inside Compartment

1 Intubation Kit
1 IV Kit
1 Atropine 1mg
3 Epi. 1:10,000 1mg
1 D10 Setup
1 Narcan 2mg
1 Normal Saline 100cc
1 Secondary Medication Set
1 Sodium Bicarb vial with 60cc syringe OR pre-load
1 Glucagon 1mg

Drug Box

1 Adenosine 6mg
1 Adenosine 12mg
3 Amiodarone 150mg
2 Albuterol 2.5mg
1 Atrovent 0.5mg
1 Benadryl 50mg
1 Epi. 1:1,000 1mg
1 Oral Glucose
2 Zofran tablets
1 Zofran vials
Syringe Baggie
3 ea Syringes, 1,3,5 & 10cc

Needle Baggie

3 ea. Needles, 21g
3 Filter Straws
3 Twin Pak Tips
3 Lever Locks
3 MAD Nasal Spray tips
3 10cc Normal Saline Flush

Oxygen Bag

1 Oxygen, D Tank
1. Nasal Cannula, Adult
1 Non Rebreather, Adult
1 Handheld Nebulizer with 2 Albuterol, 1 Atrovent
1 Smart CAPNO AD/NEO nasal Cannula
1 CPAP Size L
1 Neb Mask, Adult
1 O2 Wrench

Pediatric Bag**Top Outer**

1 Newborn Foil Bunting
2 Newborn Stocking Caps
1 OB Kit with Bulb Syringe

Left Outer

2 Nasal Cannula Pediatric
2 Non Rebreather, Pediatric

Right Outer

2 Hand Held Nebulizer
2 ABT Mask, Pediatric

Front Outer

10 ECG Electrodes, Pediatric
1 Defibrillator Patches, Pedi
1ea Child & Infant BP cuff

Clear Inside Flap

1 Broselow Tape
2 three way stopcocks
1 Thermometer
3 Thermometer Sheaths
3 KY Jellies

Red Inside Pouch

2 H set Filterline INF/NEO
2 Smart CAPNO PEDI Nasal cannula
2 Dispo pulseOx Infant

Orange Inside Pouch

1 Laryngoscope Handle, Pediatric
1 ea Straight Blades, 0-2
1 ea Curved Blades, 1 & 2
2 Batteries, AA

Green Inside Pouch

1 ea NPAs, 20-24
1 ea OPAs, 50-70mm
3 KY Jellies

Blue Inside Pouch

1 ea ET Tubes, 2-4.5
1 Pediatric Stylette
1 ET Tube Holder, pediatric
1 Meconium Aspirator
1 Magill Forceps, Pediatric

Yellow inside pouch

1 Mask Infant
1 Mask neo
1 IV armboard, short

Inside Loose

1 BVM Toddler

Sonoma Life Support Vehicle Inventory

ALS Ambulance-Sprinter

March 06 2014

Narcs Box

300 mg Fentanyl
15 mg Versed OR Valium
2 3cc Syringes
2 Twinpaks
1 MAD nasal spray tip

Monitor/Defibrillator

Left Outside Compartment

1 Modem

Left Compartment

1 ECG 4 Lead Cable
1 BP Cuff (Adult) & Hose
1 Pulse Ox Sensor Base cable
1 Pulse Ox Sensor, Adult

Top Compartment

1 Aspirin Bottle 81mg
1 Nitro 0.4mg
1 Thermometer
1 ECG 12 Lead Cable with
Electrodes Attached
2 Prep Razors
2 Benzoin Tincture

Monitor continued:

Rear Compartment

1 Spare Mon. Batt.
1 Paper Roll, Spare
1 Disp. Pulse Ox, Adult/Neonate
1 Disp. Pulse Ox, Pedi

Right Compartment

1 Defibrillator/Pacing Cable
2 Defibrillator Patches, Adult
1 Defibrillator Patches, Pedi
1 Blood Pressure Cuff, Adult Large
1 Blood Pressure Cuff, Pedi
1 Blood Pressure Cuff, Infant

Right Outside Compartment

30 ECG Electrodes, Adult
10 ECG Electrodes, Pediatric

Intubation Kit

1 Laryngoscope Handle, Adult
1 ea Straight Blade # 2, 3
1 ea Curved Blades, 3, 4
1 ea cuffed ET Tubes, 5-9
1 ea King Tubes, 3, 4, 5
1 Adult Stylette
1 Syringe, 10cc
1 Syringe, 60cc
1 ET Tube Holder, Adult
1 FilterLine, AD/NEO
1 Flex-Guide Tube Introducer
1 Magill Forceps, Adult
1 ea NPAs, 24-36fr
1 ea OPAs, 50-100mm
6 KY Jellies
1 Tape, 1" Cloth
2 Batteries, C

EZ IO Kit

1 EZ-IO Driver
1 EZ-IO Adult needle set
1 EZ-IO Pedi needle set
2 EZ-Connect extension set
2 EZ-IO wristband
1 IV start kit
1 Quick Reference Card
1 Lidocaine preload
1 NS preload 10cc

IV Kit

2 ea Angiocaths, 14-16-18-20-22
2 IV Start Pack
1 10cc Normal Saline
1 Saline Lock
1 Normal Saline 1000cc
1 Macro (10 drop) Set
1 Sharp Shuttle
1 Pillow Case, Paper

Bandage Kit

2 Triangular Bandage
2 inch stack non-sterile 4"x4" in ziplock
1 Occlusive Dressing
1 Abdominal Pad
1 Roller Gauze
1 Tape 1" Transpore
1 Tape 2" Cloth
1 Tourniquet
1 Ice Pack
1 Nose Clip
1 Trauma Shears

MCI Kit

15 Triage Tags
Permanent Markers
Pencil
Pens
Legal Note Pad
Medical Group Position Outline
CVEMSA Patient Destination
Tracking Forms

Glucometer kit

1 Glucometer
1 Glucometer canister strips
5 Lancets
5 Band Aids
5 2x2's non-sterile
5 Alcohol Preps

Blood Draw Kit

3 Vacutainer sleeves
3 Butterfly needle sets
3 Tourniquets
10 non-sterile 2x2s
5 bandaids
5 Iodine Preps
1 Sharp Shuttle

D10 Setup

Tape items together with Transpore tape
1 250cc bag D10 solution
1 10-drop tubing

ATTACHMENT #3**14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:****(English) Sonoma County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff / Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

Fair Association Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

ATTACHMENT #4**14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):****Procedimiento en caso de Accidente en Sonoma County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia e asgurar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras / Veterinario del Hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de la Feria / Fair Association Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

ATTACHMENT #5

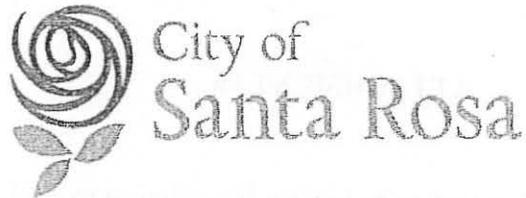
14.H. Fire clearance from the fire authority having jurisdiction over the premises.

Letter from City of Santa Rosa Fire Department

[The following text is extremely faint and appears to be bleed-through from the reverse side of the page. It is largely illegible but contains several lines of text, including what appears to be a signature and a date.]

[Signature]

[Date]



April 24, 2014

Tawny Tesconi, Fair Manager
Sonoma County Fairgrounds
1350 Bennett Valley Road
Santa Rosa, CA 95404

FIRE DEPARTMENT CLEARANCE - 1350 BENNETT VALLEY ROAD

As required by California Code of Regulations, Title 4, California Horse Racing Board (CHRB) Regulation #1927, the Santa Rosa Fire Department grants fire safety clearance for the Grandstand and Stable areas.

In accordance with the June 23, 1993, letter from CHRB Assistant Secretary Harold L. Diaz, the automatic fire alarms are not required to be installed as specified in CHRB Regulation #1927.

This clearance letter addresses the requirements of the CHRB "Reasonable Standard of Fire Safety" with exception to the automatic fire alarms. Our follow-up to the pre-inspections will continue as a part of our pre-Fair activities. Please complete any items identified prior to the commencement of the CHRB sponsored events.

If you should have any questions please contact me at (707)543-3542 or by e-mail to ploenthal@srcity.org.

PAUL LOWENTHAL
Acting Senior Fire Inspector

cc: Cliff Sanders, So. Co. Fair

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ATTACHMENT #6

14.J. Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

Certificate will be mailed directly from County of Sonoma (see attached letter dated March 26, 2014)

Opportunity. Diversity. Service.



COUNTY OF SONOMA

HUMAN RESOURCES DEPARTMENT

Wendy G. Macy, HR Director

Employment • Classification • Employee Relations • EEO • Training • Risk Management

March 26, 2014

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Subject: Evidence of Insurance
Event: 2014 Sonoma County Fair Racing
Description: Horse Racing
Date: Friday, July 25, 2014 to Sunday, August 10, 2014
Department: Sonoma County Fair & Exposition, Inc.

To Whom It May Concern:

The County of Sonoma is permissibly self-insured for workers' compensation for its employees and volunteers. Excess workers' compensation coverage is obtained through participation in the California State Association of Counties Excess Insurance Authority (CSAC-EIA).

The policy of insurance shall not be cancelled or materially changed without first giving thirty (30) days written notice to the California Horse Racing Board of such change by the insurer.

Sincerely,

A handwritten signature in cursive script that reads 'M. Chadbourne'.

Marcia Chadbourne
Risk Manager

MC/km

cc: Stacey Lapham, Sonoma County Fair

S:\RISK\INSURANCE\Letters\FAIR\2014\California Horse Racing Board (CHRB)\Sonoma County Fair Horse Racing 2014 - Evidence of Insurance.docx

ATTACHMENT #7

16. A Promotional and marketing plans for the race meeting:

The total advertising, publicity and promotions budget for the 2014 Fair and Race Meet exceeds \$370,000. Although these funds are used to promote all aspects of the three week Fair, 90% of these efforts involve promotion of live racing.

Specific Wine County Racing Promotions:

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 30,000 constant contact fans.
- On-line discounted group ticket sales.
- Improved signage at the entrance to the Race Grandstands emphasizing “free” admission to the horse races and welcoming patrons to the venue.
- Our Racing Grandstands Promotion Coordinator improves the quality of our product and keeps race fans entertained and at the races during our meet.
- Interactive drawings and contests between races to keep race fans at the races.
- The 3rd Annual Jockey Foot Race will be run.
- Promotion associates in the Grandstands assisting fans and first time betters.
- Free daily racing seminars each fair-day geared at educating the new race fan.
- Signage promoting reserved seats and free daily racing seminars.
- On track souvenir giveaways during the meet.
- Specific Facebook & Twitter pages already live promoting racing at the Fair.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Trackside & Paddock View Dining Experiences are available in the grandstand and offer both group and single table options to our patrons. Trackside dining may be purchases in tables of four and are located on the North side of the grandstand box seat area. There are two Paddock View sections that are designed to accommodate groups of up to 26 patrons each.
- Wine Country Hat Day – includes gate admission, grandstand seating and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- \$1 betting voucher coupons given away at Fair Gate to encourage Fair patrons to bet.
- Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.
- Be An Owner-for-a-Day program where fans get randomly selected to be in the paddock for their assigned race and get their picture taken with the winning horse.
- TVG Coverage of early and late Pick 4’s.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. We also offer live music at the conclusion of the races each day.

ATTACHMENT #8**16.D. Describe facilities set aside for new fans:**

- Admission gate distribution of race coupons or vouchers to encourage fairgoer to go to the races during their fair visit.
- Promotion Associates in the Grandstands assisting fans and first time betters.
- Signage promoting reserved seats and free daily racing seminars.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Fair representatives will be visiting more than 10 service organizations to speak about Horse Racing at the Fair.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by industry professionals and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Returning promotions and information services include novice fan information booth, staffed featuring wagering information, terms and interactive items.
- Wine Country Hat Day – includes gate admission, grandstand seating and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- Sliders Gastro Pub & Sports Bar is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. There is also live music at the conclusion of the races each day.

ATTACHMENT #9**1.6.E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:**

1. Horsemen
2. Fans
3. Facilities in the restricted areas

- Additional enhancements to the Winners' Circle and finish line will continue to be implemented.
- Continue to offer and improve on the VIP Horsemen program that includes converting a dirt arena, which is inside the horsemen's gate, to a parking lot for owners/trainers and providing shuttle service to the horsemen that will go back and forth to the grandstand area.
- Extending the fairgrounds recycling program into the restricted racing areas.
- All grandstand restrooms have been upgraded to meet ADA standards.
- We added tables to designated boxes in the grandstand to provide an enhanced trackside dining experience.
- Added Wine Country Racing signage to the Tote Board and Winner's Circle Background of Grandstand.
- Upstairs Mezzanine windows behind the tote line were painted to provide direct afternoon sunlight protection for racing patrons and mutuel clerks.
- Entrance gateway is being added to the paddock.

CALIFORNIA HORSE RACING BOARD

MAY 22, 2014
REGULAR BOARD MEETING

There is no board package material for Item 10

CALIFORNIA HORSE RACING BOARD

MAY 22, 2014
REGULAR BOARD MEETING

There is no board package material for Item 11

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING AN INDUSTRY UPDATE REGARDING
HORSE INVENTORY AND
THE STATUS OF THE STABLING AND VANNING FUND, AND
SOUTHERN CALIFORNIA'S AUXILIARY OFF-TRACK STABLING

Regular Board Meeting
May 22, 2014

ISSUE

At the September 2013 Regular Board Meeting, representatives of the Thoroughbred Owners of California, Santa Anita, Del Mar, and Los Alamitos outlined an agreement among themselves, coupled with a vote of the Stabling and Vanning Committee, whereby horses displaced by the closure of Hollywood Park on February 1, 2014, would be stabled initially at Santa Anita, Los Alamitos, Fairplex, and San Luis Rey Downs, and later at Del Mar. Additional details of this agreement were provided at the October 2014 Board meeting.

ANALYSIS

The loss of stalls at Hollywood Park and the anticipated closure of the stable area at Fairplex have and will continue placing a greater burden on other Southern California racetracks and authorized stabling facilities. Stalls are at a premium. Racing offices need assurance that horses occupying those stalls can be reasonably expected to participate in the current race meet. Furthermore, the stabling agreement provides the Stabling and Vanning Committee with authority to reduce or cease entirely payments to facilities if their stabling occupancy falls below 50 percent. For these reasons, it is increasingly important to have accurate inventories of horses at each facility. In January, the industry began the process on inventorying horses at each facility and updating those lists at the end of each month.

The industry reported at the September 2013 Board meeting that the Stabling and Vanning Fund had a current deficit of \$1.3 million, which was expected to grow by an additional \$1 million in 2014. The vanning aspect of auxiliary stabling is an expensive part of the program. Statements were made at Board and committee meetings that vanning was not being provided in the most efficient manner (e.g. multiple vans were traveling less than half-full).

RECOMMENDATION

This item is presented for Board discussion and action.