

CALIFORNIA HORSE RACING BOARD
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REGULAR MEETING

of the California Horse Racing Board will be held on **Thursday, May 23, 2013**, commencing at **9:30 a.m.**, at the **Cal Expo Grandstand Clubhouse, 1600 Exposition Blvd, Sacramento, California.** (Attendees enter East Gate from Exposition Blvd.) The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

1. **Approval of the minutes of April 11, 2013.**
2. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
3. Discussion and action by the Board on the **approval of service, steward and official veterinarian contracts for fiscal year 2013-2014.**
4. Discussion and action by the Board regarding the **request from Northern California Off-Track Wagering, Inc. (NCOTWINC) to continue the modification of the distribution of market access fees from Advance Deposit Wagering (ADW) as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the Northern Zone.**
5. Discussion and action by the Board regarding the **request from Golden Gate Fields to allow a jockey to wear Pivothead Glasses during one designated turf race at Golden Gate Fields.**
6. Report from the **Medication and Track Safety Committee.**
7. Report from the **Exchange Wagering Ad Hoc Committee.**
8. Discussion and action by the Board regarding the **proposed addition of the following Exchange Wagering rules and the proposed revisions to these rules made in response to the Office of Administrative Law's (OAL) March 20, 2013 Decision of Disapproval of Regulatory action.**
 - Proposed CHRB Rules:**
 - 2086, Definitions**
 - 2086.5, Application for License to Operate Exchange Wagering**
 - 2086.6, Operating Plan Required**

2086, 7, Exchange Wagering Data

2086.9, Financial and Security Integrity Audits Required

2087.6, Cancellation of Matched Wagers

2089, Error in Payments of Exchange Wagers

2089.5, Requirements to Establishing Exchange Wagering Account

9. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar**, commencing July 17, 2013 through September 4, 2013, inclusive
10. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa**, commencing July 24, 2013 through August 11, 2013, inclusive.
11. Discussion and action by the Board regarding the **allocation of 2014/2015 race dates and related issues for 2014/2015**.
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

David Israel, Chairman

Chuck Winner, Vice Chairman

Steve Beneto, Member

Jesse H. Choper, Member

Bo Derek, Member

George Krikorian, Member

Richard Rosenberg, Member

Kirk E. Breed, Executive Director

Jacqueline Wagner, Assistant Executive Director

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**PENDING ADMINISTRATIVE ADJUDICATIONS
MAY 2013**

CASE

A. Proposed Decision

Trainer Genaro Ornelas Vallejo
CHRB Case Number: 12GG074

B. Proposed Decision

Trainer James G. Hanson
CHRB Case Number: 13LA0055

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Race Track, Baldwin Terrace Room**, 285 West Huntington Drive, Arcadia, California, on **April 11, 2013**.

Present: David Israel, Chairman
Chuck Winner, Vice-Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
Richard Rosenberg, Member
Kirk E. Breed, Executive Director
Robert Miller, General Counsel

ANNOUNCEMENT BEFORE OFFICIAL BUSINESS

Chairman Israel stated the May 23, 2013 regular meeting would take place at the State Capitol Building, room 112, in Sacramento. At the meeting the 2014 race calendar would be discussed. All interested stakeholders should have calendars prepared for presentation. He said race track security would be a factor when considering the calendar. The industry needed to incorporate modern technology, such as wireless cameras, to enhance backstretch security into a 24-hour, seven days a week operation.

APPROVAL OF THE MINUTES OF FEBRUARY 21, 2013

Chairman Israel asked for approval of the minutes of the Regular Meeting of February 21, 2013. Commissioner Choper **motioned** to approve the minutes. Vice Chairman Winner **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

Richard Castro, representing Pari-Mutuel Employees Guild Local 280, stated he would like it on record that there were issues with the federation over payment of health benefits. Denny Bouldinghouse, representing DB Associates asked the Board to share its plan for when Hollywood Park closed. Chairman Israel stated the Board would be discussing the 2014 race dates calendar, including the effect of the likely closure of Hollywood Park, at the May 23, 2013 Regular Board meeting. John Bucalo of Barona Casino stated satellites were not making enough commissions to compete with advance deposit wagering companies (ADWs). He also said it was also unfair that satellites were not allowed to offer full-card racing when ADWs were allowed to offer full cards to California residents. Chairman Israel stated this issue should be heard at the Pari Mutuel Operations Committee meeting with both satellites and ADWs involved in a discussion over potential changes in the related laws. Commissioner Rosenberg stated Indian casinos were active legislatively. He asked Mr. Bucalo if there was any attempt to get the casino management to pursue the desired legislative changes. Mr. Bucalo stated he would look into it.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION DBA GOLDEN GATE FIELDS IN THE AMOUNT OF \$70,670 TO 10 BENEFICIARIES.

Chairman Israel stated the document provided by Golden Gate Fields (GGF) showed the proceeds were all going to Southern California programs. Frank DeMarco, representing GGF, confirmed that the document was copied from a similar document used by Santa Anita without making all of the necessary changes. Chairman Israel **motioned** to approve the request by GGF

to distribute its race day charity proceeds dependant on the money staying in Northern California programs. Commissioner Derek **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR (F), AT PLEASANTON, COMMENCING JUNE 19, 2013 THROUGH JULY 9, 2013, INCLUSIVE.

Jacqueline Wagner, CHRB staff, stated the proposed race meeting for the Alameda County Fair (Alameda) would run Thursday through Sunday as well as Wednesday July 4, 2013 for 12 days of racing, with 12 races on Wednesday, Fridays, and Sundays, and 10 races on Thursdays. The thoroughbred horsemen's agreement and the fire clearance remained outstanding. Chairman Israel stated an extra day, Wednesday, July 4 was added to the 2012 race meet to make 13 days of racing. He asked if adding July 3, 2013 was considered as a possible way of increasing the 2013 race meeting to the same number of days as were run in 2012. Larry Swartzlander, representing Alameda, stated it had been considered; however, July 3, 2013 fell on a Wednesday and that previous Wednesdays had \$30,000 to \$40,000 overpayments in purses. Commissioner Beneto stated Alameda should race July 3, 2013 as it was different than a normal Wednesday in that it was so near a holiday. Commissioner Rosenberg asked in what year did the Wednesday purse overpayments occur. Mr. Swartzlander stated the overpayments were in 2012; Alameda ran July 3, 2012 but it was a Tuesday. Vice Chairman Winner **motioned** to conditionally approve the Alameda application to conduct a horse racing meeting, commencing June 19, 2013 through July 9, 2013 pending submission of outstanding items. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 10, 2013 THROUGH JULY 23, 2013, INCLUSIVE.

Jacqueline Wagner, CHRB staff, stated the proposed race meeting for the California Exposition State Fair (Cal Expo) would run Friday through Sunday the first week and Wednesday through Sunday the second week for 8 days of racing, 8 races per day Wednesday and Thursday, and 11 races Friday, Saturday, and Sunday. Cal Expo proposed to race concurrently with Del Mar on July 17 and 21, 2013. She said the fire clearance and updated workers compensation policy remained outstanding. Chairman Israel asked if the issues with the fire clearance had been resolved. Rick Pickering, representing Cal Expo, stated they had, and the fire inspection was scheduled for June 15, 2013. Mr. Pickering said Cal Expo would attempt to revive a \$75,000 stakes race that was once called the Governor's Cup. Commissioner Beneto **motioned** to conditionally approve the Cal Expo application to conduct a horse racing meeting, commencing July 10, 2013 through July 23, 2013 pending submission of outstanding items. Commissioner Rosenberg **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT SILKY SULLIVAN'S IN CARLSBAD, CALIFORNIA.

Jacqueline Wagner, CHRB staff, stated Silky Sullivan's intended to begin minisatellite wagering operations April 17, 2013 and was requesting a license for five years. She said there were two facilities within the 20 mile radius, Del Mar Race Track (Del Mar) and Surfside Satellite Wagering (Surfside), both of which gave verbal waivers; however, the written waivers had not yet been received. Rick Baedeker, representing Southern California Off Track Wagering Inc.

(SCOTWINC), stated there was a verbal waiver from Del Mar; however, Surfside was still negotiating a revenue sharing agreement with Silky Sullivan's. He said there was also one other outstanding item not mentioned. The city of Carlsbad had questions about CHRFB's role in regulating the minisatellite, and it had rescinded its approval. Mr. Baedeker believed the questions could be cleared up easily and Carlsbad would then return its approval. Chairman Israel asked if Mr. Baedeker was certain no other waivers were required. Mr. Baedeker replied no other waivers were required. John Bucalo, of Barona Casino, stated minisatellites already struggled on the two percent of gross they were allowed by law and to have to give some of that two percent away just to get a required waiver was unfair. Chairman Israel stated it was a private deal between Silky Sullivan's and Surfside, which, by law, had the right to protect its 20 mile radius. Mr. Baedeker stated Silky Sullivan's would get the full two percent and what it chose to do with that two percent was its business. Commissioner Rosenberg **motioned** to conditionally approve the application for license to operate a minisatellite wagering facility at Silky Sullivan's, pending submission of outstanding items. Commissioner Beneto **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT THE TILTED KILT PUB AND RESTAURANT IN DOWNTOWN SAN DIEGO, CALIFORNIA.

(Item removed from agenda.)

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM XPRESSBET, LLC (XPRESSBET) TO AMEND ITS CURRENT ADVANCE DEPOSIT WAGERING (ADW) APPLICATION TO ADD THE ADDITIONAL URL, GIDDY APPS LLC DBA DERBY JACKPOT.COM, TO ITS LICENSE.

Jacqueline Wagner, CHRB staff, stated Derby Jackpot would be an additional wagering portal operating through Xpressbet. She said Derby Jackpot has been described as a casual online social gaming site; the wagering functions would still be performed through Xpressbet. Gene Chabrier, representing Xpressbet, stated he had a video presentation that would describe the functionality of Derby Jackpot (he then showed the 8 minute presentation). Commissioner Rosenberg asked if all of the beta testing was through Xpressbet. Walter Hessert, a creator of Derby Jackpot, stated some states went through Xpressbet and some were through eBet. He said that Derby Jackpot offered only win, show, \$.10 superfecta, and exacta wagers; the idea was to limit the options. There were simple designs for other wagering options that may be used down the road. Commissioner Choper asked how long Derby Jackpot had been in operation. Mr. Hessert stated a private beta was launched under eBet in August, 2012. Commissioner Choper asked if any statistics on returning customers had been collected. Mr. Hessert stated there was only a small sample group, collected by email drop with a third party, of a couple hundred users. Commissioner Rosenberg asked how Derby Jackpot was going to market itself. Mr. Hessert stated it would be marketing through its affiliate, which at that time was Facebook; no marketing was done through horse racing channels. Chairman Israel asked how the marketing was targeted. Mr. Hessert stated that Facebook had tens of thousands of data points on its users. Every time someone used Derby Jackpot, Facebook used the data points to find similar people, and then they were marketed to. Vice Chairman Winner asked how it was determined that 90 percent of the Derby Jackpot customers had never bet on a horse race before. Mr. Hessert stated a simple survey was conducted. Vice Chairman Winner asked on average how long a player stayed

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logged in. Mr. Hessert stated the average time was 40 minutes to an hour. Chairman Israel asked if Derby Jackpot had any affiliation with Google or Twitter. Mr. Hessert stated it was pursuing a relationship with Twitter and would like to pursue Google. Tom Hessert, also a creator of Derby Jackpot, stated that Google, at the current stage of Derby Jackpot's development, was too expensive; however, once Derby Jackpot grew large enough a relationship with Google would be desired. Chairman Israel asked how well the site worked on mobile devices. Mr. Hessert stated that the site would work on a mobile web browser, and it was also working on a mobile optimized version of the site. Commissioner Rosenberg asked how soon Derby Jackpot could begin service in California. Mr. Hessert stated Derby Jackpot could begin testing the next day. Chairman Israel asked what type of agreement existed between Xpressbet and Derby Jackpot. Mr. Chabrier stated it was a co-marketing agreement where the revenue was split between the two companies. Xpressbet was responsible for the settlement functions, back office, and customer service; Xpressbet would be financially responsible in the event of a problem. Chairman Israel asked if there was any documentation of the relationship. Ed Hanna, representing Derby Jackpot, stated on a confidential basis he could provide a copy of the agreement which defined Xpressbet's responsibility for all of the regulatory functions. Commissioner Beneto asked if the Board should require Xpressbet to provide a guarantee. Chairman Israel stated Xpressbet had a \$500,000 bond with CHRB to provide advance deposit wagering (ADW). Bob Miller, staff counsel, confirmed that the existing bond would cover risk with Derby Jackpot as well. Commissioner Choper **motioned** to conditionally approve the request from Xpressbet, LLC to amend its current ADW application to add the additional URL, Derby Jackpot.com, to its license, pending the submission of outstanding items. Vice Chairman Winner **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) BY LIEN GAMES RACING LLC, AS AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Jacqueline Wagner, CHRB staff, stated Lien Games Racing LLC (Lien) had a few outstanding items in its application: the thoroughbred horseman's approvals with the exception of Golden Gate Fields, the harness horsemen's approvals, the hub agreement, the labor agreement, and a shareholder report. Lien submitted the \$500,000 bond and was in the process of receiving CHRB licenses for its employees. Kevin Hall, representing Lien, gave a short history of his organization, stating it had provided charitable games in North Dakota for 30 years, and simulcast services since 2004. Chairman Israel asked what charitable games were. Mr. Hall stated charitable games were statute regulated games in North Dakota that provided charities with a source of income. Commissioner Rosenberg asked what percentage went to charities. Mr. Hall stated nearly half of Lien's proceeds went to charities, which in 2012 amounted to over \$500,000 for the simulcasting side of operations. He said Lien teamed with Bam Software (Bam) in 2007, for marketing and technology, to drive the business forward. John Ford, representing Bam, stated the online side of Lien games began in 2008. The first month had \$90,000 in handle, and in 2012 it exceeded \$54 million. He said Bam had an extensive search engine marketing campaign with Google, a customer database of 91,000, and a focus on attracting customers away from offshore providers, which was the source for a third of its customer base. Commissioner Choper asked what made up the other two thirds. Mr. Ford said the other two thirds of its customer base was made up of a combination of people new to racing and people switching from other advance deposit wagering (ADW) providers. Commissioner Choper asked what percentage of the customers were new to racing. Mr. Ford did not have those percentages; however, he said Bam made many efforts to bring new racing customers in

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including a plan to market to its fantasy sports customers. Commissioner Choper asked if Bam would keep statistics on this kind of marketing. Mr. Ford stated it would. He said Lien was committed to growing handle not cannibalizing. Chairman Israel asked if Californians would receive the three percent rebate that the BetAmerica website offered to all customers. Mr. Ford stated with the introduction of California that would be changing to 'up to three percent'. Chairman Israel asked why it was being changed. Mr. Ford said based on the hub fee three percent was not affordable. Chairman Israel asked what Lien offered that would be new and different for California customers. Mr. Ford stated there was the benefit of more competition with an additional service option, and the one percent rebate offered would still be higher than the rebates offered by the existing ADW providers. Chairman Israel asked how many California employees Lien expected to have. Mr. Ford said the technology and marketing team was based in San Francisco with eleven personnel, there was no phone wagering, and the customer service side was handled in North Dakota. Commissioner Rosenberg asked Ms. Wagner to expand on the importance of the outstanding items and when they would be received. Ms. Wagner stated Lien could not take wagers with Hollywood Park until that agreement was received. Mr. Ford stated Lien had agreements with Monarch Content Management (Monarch), but that the agreement for Hollywood Park had not yet been received. Chairman Israel asked if there was a labor agreement. Mr. Ford said there was a draft and it would be concluded in a few days. Richard Castro, representing Pari-Mutuel Employees Guild, stated he had no doubt there would be a labor agreement. Chairman Israel asked if Lien had the harness horsemen's agreement. Ms. Wagner stated the Board possessed a letter in lieu of the original agreement which she understood had been misplaced. Chairman Israel asked if staff was comfortable with approving the application in lieu of receiving outstanding items. Ms. Wagner stated she was, as long as the

horsemen's agreement for Hollywood park and a hub agreement were received before Hollywood Park wagers were taken. Mr. Ford stated he understood. George Haines, representing Santa Anita, said he wanted it on the record that there was no hub agreement between Santa Anita and Lien Games. Mr. Ford stated a hub agreement was negotiated with Monarch, and he was unaware of the negotiations between Monarch and Santa Anita. Chairman Israel said Monarch and Santa Anita were both owned by Stronach Group. Commissioner Choper stated they may have internal disagreements. Mr. Ford said Lien fully understood that the finalization of a hub agreement was a condition of licensure. Commissioner Rosenberg **motioned** to conditionally approve the application to conduct ADW by, as an out-of-state multi-jurisdictional wagering hub, for a period of up to two years pending the submission of outstanding items. Commissioner Choper **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM DEL MAR THOROUGHBRED CLUB FOR A WAIVER TO CHRB RULE 1472, (B), (C), (D) RAIL CONSTRUCTION AND TRACK SPECIFICATIONS, TO FACILITATE THE INSTALLATION OF THE MAWSAFE RAIL SYSTEM ON ITS TURF COURSE.

Kirk Breed, CHRB Executive Director, stated Rule 1472 was created before advancements in PVC quality, and the Mawsafe Rail System was better than the aluminum rails currently being used. Josh Rubenstein, representing Del Mar Thoroughbred Club (Del Mar), stated there had been consultations for the better part of a year with industry stakeholders including the Jockeys' Guild, CHRB staff, and the California Thoroughbred Trainers on the Mawsafe Rail System. Chairman Israel **motioned** to approve the request from Del Mar Thoroughbred Club for a waiver to CHRB Rule 1472, (b), (c), (d) Rail Construction and Track Specifications, to facilitate the

installation of the Mawsafe Rail System on its turf course. Commissioner Beneto **seconded** the motion, which **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE CHRB'S MEDICATION COMMITTEE'S RECOMMENDATION TO APPROVE THE REQUEST FOR A 3-YEAR CONTRACT WITH THE UNIVERSITY OF CALIFORNIA, DAVIS FOR CONTINUATION OF THE RACING INJURY PREVENTION PROGRAM.

Kirk Breed, CHRB Executive Director, stated the cost of the contract continuation with the University of California Davis would be \$950,000 per year, subject to the availability of funds. Dr. Rick Arthur, CHRB Equine Medical Director, stated the Racing Injury Prevention Program began July 1, 2011, and involved an enhanced necropsy where all of the horse's limbs were analyzed at the Veterinary Orthopedic Research Laboratory. Executive Director Breed said if the contract was continued the business plan for the program, including methods of distribution for program findings and usage fees, would need to be discussed separately at a later CHRB meeting. Chairman Israel stated that previously the Board had requested full quarterly reports on the programs progress. Dr. Arthur said progress reports were submitted to the Track Safety and Medication Committee. Committee members Vice Chairman Winner and Commissioner Derek agreed future reports should be delivered to the full Board. Commissioner Rosenberg asked Dr. Arthur to summarize the program's findings and his expectations for the end results. Dr. Arthur stated the program had developed many exciting findings, including the conclusion that 85 to 95 percent of all fatal injuries had preexisting pathology associated with the injury, 50 percent of all fatalities were associated with proximal sesamoid bone fractures, and the identification of lesions in the proximal sesamoids may predispose those bones to fracturing. He said techniques were being developed, using nuclear scintigraphy, to identify the lesions, which could help determine

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at risk horses, and keep them off the racetrack. Commissioner Rosenberg commented that in funding the three year project there would be no guarantee the findings would discover methods to identify a problem in an asymptomatic horse. Dr. Arthur said he agreed. Vice Chairman Winner stated, in response to there being no guarantees, part of the project was setting up continuing education for the trainers, which was going to be successful in establishing when to take a horse to a veterinarian. Commissioner Rosenberg stated he had no issue with the continuing education portion of the project; however, the education aspect alone did not justify the almost \$4 million price. He asked why the contract needed to be approved for three years and not on a year to year basis. Dr. Arthur stated a three year program verses a one year program could attract a more experienced and more qualified research team. Commissioner Rosenberg asked how many people were going to be hired that were not presently employed on the project. Dr. Arthur said currently 16 people were involved in the program. Executive Director Breed stated the law required the Board to determine the cause of each fatality and the California Thoroughbred Trainers and the Thoroughbred Owners of California endorsed the project. Commissioner Beneto asked how many fatalities had occurred in the last year. Dr. Arthur stated there were 279 fatalities in 2012, and 2013 might end up with as few as 240 fatalities. Commissioner Beneto asked Dr. Arthur what he contributed that to. Dr. Arthur said he liked to contribute it in part to the program; the trainers knew that every fatality was investigated. Executive Director Breed stated the racetracks also made a tremendous contribution to lowering the fatalities in financing a more intense pre-race examination. He said the effort associations put into improving the maintenance and management of their race tracks was also a factor. Dr. Arthur stated the average cost in workman's compensation every time a jockey was injured in a catastrophic accident was around \$100,000. Getting high risk horses off the race track would

also lower the risk for jockeys. Commissioner Beneto stated it was the jockey that was in the position to know that the horse was sore and may not be fit to race. Dr. Arthur said the reason behind lowering the legal level of anti-inflammatory medications was to give the jockey a better chance of determining if a horse was fit to race. He stated communications between jockeys and track veterinarians had improved to a point where they were working quite well. Commissioner Derek **motioned** to approve the request for a 3-year contract with the University of California, Davis for continuation of the Racing Injury Prevention Program. Vice Chairman Winner **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING CONCERNS EXPRESSED BY THE JOCKEYS' GUILD REPRESENTATIVE REGARDING TRACK OPERATIONS AT LOS ALAMITOS.

(Item removed from agenda.)

REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE.

Commissioner Derek stated the Medication and Track Safety Committee (committee) met on April 10, 2013. Dr. Rick Arthur, CHRB Equine Medical Director, gave a presentation on National Uniform Medication Policy. He explained that for the most part California was already ahead of the nation, the primary difference being in how administration times were restricted. Dr. Arthur assured the committee that all administration times had been thought out and supported by proper research. Commissioner Derek stated she and Commissioner Winner agreed California should be a part of the national movement for a uniform medication policy, but without taking steps backwards. She said the Racing Medication and Testing Consortium proposed prohibiting corticosteroid injections within 7 days of racing, and all corticosteroids

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within 72 hours of racing. A comprehensive plan was put forward to learn more about all aspects of corticosteroid use so that adopted rules were fair and complete. The plan would include reporting corticosteroid overages without disciplinary action, which would help to prepare the industry for a time when action would be taken. Commissioner Derek said the committee heard an update on the zilpaterol contaminated feed. The source of the contamination was the molasses supplied and mixed at the Purina mill in Turlock. Purina has since been producing clean feed as confirmed by testing at UC Davis. Zilpaterol was still showing up in low levels in drug screens, but only at Cal Expo. Commissioner Derek stated the committee heard a report on sudden deaths among race horses. She said Dr. Arthur reported the number of sudden deaths, about twenty a year, had remained fairly constant over the previous twenty years. The toxicology reports related to two of the sudden deaths over the last year found rodenticide; however, the source of the rodenticide or the significance of the findings remained unknown.

REPORT FROM THE JOCKEY AND DRIVER WELFARE COMMITTEE.

Vice Chairman Winner stated the Jockey and Driver Welfare Committee's (committee) first meeting was held on April 10, 2013. The committee discussed the possibility of expanding the random jockey drug testing program to include other licensees, such as exercise riders, outriders, gate crew, and any others involved in the health and safety of horses. Counsel was asked to research applicable California privacy laws and staff was asked to provide a cost estimate of the possible program expansion. Vice Chairman Winner said the committee heard concerns expressed over the conditions of the roadways in the backstretch. Heavy vehicular traffic packed down road surfaces which made it slippery for the horses, and made for a harder impact when a rider fell off a horse. In evaluating the roadway accidents the committee was surprised to find

how many more occurred in the morning verses the afternoon. The committee also felt the licensing for exercise riders needed to be reviewed, ensuring those licensed were qualified to do the dangerous job. Vice Chairman Winner stated there was discussion over the absence of an onsite physician at Los Alamitos and Cal Expo. He said Rick English and others indicated there was a need for an analysis on the role of track physicians and whether their role was positive or negative. Vice Chairman Winner stated it was apparent from the discussions there was a lot of work for the committee which would require the support of the industry to move forward. The possibility of informal meetings was discussed to develop recommendations the committee could then hear and then pass on to the Board. Commissioner Derek stated she was surprised by the range of safety issues brought up at the meeting. She saw this as an opportunity to begin a dialogue that had not otherwise existed in her time on the Board. Commissioner Beneto stated a drug testing program that cost \$1000 was not much of a program, and he expressed his desire to expand the program. He suggested he, Vice Chairman Winner, comprising the Budget Audit and Financial Committee, and Commissioner Derek, who along with Vice Chairmen Winner comprised the Jockey and Driver Welfare Committee, should meet separately to discuss ways to make an expansion possible. Chairman Israel asked CHRB General Counsel if a meeting with the three board members would be allowed. Robert Miller, CHRB General Counsel responded it would. Commissioner Derek stated she was frustrated at the size and scope of the drug testing program but expanding it would require amendments to rules. Vice Chairman Winner stated he was in favor of expanding the program, but the question of cost could not be ignored. Commissioner Beneto stated there could be workman's compensation savings, as well as fewer injuries. Chairman Israel compared horseracing to other big sports stating it also needed a

pervasive and successful drug testing program to raise its standards. He said Commissioner Benito, Commissioner Derek and Vice Chairman Winner should meet soon.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED FEDERAL LEGISLATION, SAFEGUARD AMERICAN FOOD EXPORTS ACT OF 2013, (H.R.1094) WHICH WOULD PROHIBIT HORSE SLAUGHTER FOR HUMAN CONSUMPTION AND PROHIBIT THE TRANSPORT OF AMERICAN HORSES IN INTERSTATE OR FOREIGN COMMERCE FOR PURPOSES OF SLAUGHTER FOR HUMAN CONSUMPTION.

Commissioner Derek stated she had been involved with the horse slaughter issue for 11 years. She said that American horses were not bred for human consumption and as such were given drugs prohibited by federal regulations for use in animals intended for human consumption. The process of horse slaughter was inhumane and 80 percent of Americans favored a ban. Horse slaughter had been prohibited in California since 1998; however, without a federal ban it was virtually impossible to enforce. Commissioner Derek asked the Board to be the first racing commission to support the Safeguard American Food Exports Act of 2013. Vice Chairman Winner stated that the Secretary of Agriculture supported the measures and that it was the first time the administration supported such legislation. Chairman Israel said that Commissioner Derek worked tirelessly on the issue which included lobbying the governor of Virginia, a state which allowed horse slaughter. Gail Mathews, an active promoter of quality retirement for race horses, stated that selling horses for slaughter in California was illegal; however, other than a small number of nonprofits trying to rescue and place retired racehorses in safe homes, there was no way to save all of them. Commissioner Choper asked if horses were ever tracked, confirming that they were slaughtered. Ms. Mathews stated the most common path was from local auctions to feed lots, from there to New Mexico, and across the border into Mexico. She said these

findings were presented to the district attorney but no action has been taken. Commissioner Rosenberg asked Ms. Mathews if there were any groups actively pursuing legislation for the funding of horse rescue. Ms. Mathews stated she was not aware of one. Madeline Auerbach, representing the California Retirement Management Account, stated the Thoroughbred Aftercare Alliance was a national group pursuing a national horse rescue program where the money not only would come from owners, tracks, jockeys, but from the sellers as well. She said small non-profits like the ones Ms. Mathews spoke of, worked very hard rescuing horses from the feed lots; but her goal was to prevent them from ever getting to the feed lot. Commissioner Beneto asked if it was different when a horse was slaughtered for non-human consumption, such as to make fertilizer. He asked the Board if they should tighten this to include all horse slaughter. Commissioner Winner stated the bills were in Congress and the Board was not attempting to change them, it was only voting to support them. Commissioner Derek **motioned** to support the proposed federal legislation, Safeguard American Food Exports Act of 2013, (H.R.1094), with a letter sent to California's members of Congress. Vice Chairman Israel **seconded** the motion, which was **unanimously carried**.

REPORT FROM THE PARI-MUTUEL/ADW AND SIMULCAST COMMITTEE.

(There was no Pari-Mutuel/ADW and Simulcast Committee meeting to report.)

REPORT AND UPDATE ON THE STATUS OF THE EXCHANGE WAGERING
RULEMAKING AND THE OFFICE OF ADMINISTRATIVE LAW (OAL) RESPONSE.

Jacqueline Wagner, CHRB Staff, stated the exchange wagering rulemaking file was submitted to the Office of Administrative Law (OAL) on January 31, 2013. OAL had 30 working days to review the file. On March 20, 2013 CHRB was notified that OAL disapproved the rulemaking file. OAL had issues with eight of the 25 regulations submitted, giving CHRB 120 days to make corrections and resubmit. Any corrections would require a 15 day public comment period after which a hearing would be held for re-adoption of the revised rules. Commissioner Choper asked for a status on the revisions. Ms. Wagner stated there were resolutions on all but one of the regulations. All of the regulations would be coming back before the Board before being resubmitted. Vice Chairman Winner asked if it was still a good idea to have an informal meeting with the industry members with a stake in exchange wagering. Ms. Wagner stated she agreed such a meeting was highly recommended as soon as the revisions were complete and ready for review. Chairman Israel stated there would be an Ad Hoc Committee meeting, which would include himself and Commissioner Rosenberg, to review the revisions of the exchange wagering rulemaking file. Ms. Wagner stated that after the Ad Hoc Committee approved the revisions they would go before the Board at the May, 2013 Regular Meeting and staff would be instructed to initiate a 15 day public comment period. At the June, 2013 Regular Meeting the Board could adopt the revised regulations. Chairman Israel asked when did the current 120 day period start. Ms. Wagner stated the 120 days started March 20, 2013. Chairman Israel asked if there were revisions that would require a 45 day comment period. Ms. Wagner stated OAL determined that the revisions required were non-substantive and would only require a 15 day comment period; however, if substantive changes were desired by the Board they would require a 45 day comment period. Commissioner Choper asked if it was legal to work with OAL during the revision

process. Ms. Wagner stated it was legal; staff had been working with the reviewing attorney throughout the process.

MEETING ADJOURNED AT 12:14 P.M.

Proceedings of the Regular Meeting of April 11, 2013

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A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

MAY 23, 2013
REGULAR BOARD MEETING

There is no board package material for Item 2

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE
APPROVAL OF SERVICE, STEWARD, AND OFFICIAL VETERINARIAN CONTRACTS

Regular Board Meeting
May 23, 2013

BACKGROUND

Pursuant to Section 2.06 of the State Contracting Manual, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a motion approving and authorizing execution of the agreement/contract.

ANALYSIS

The attached list of service contracts includes three University of California, Davis (UCD) contracts:

- 1) A one-year contract for drug testing services. UCD has recommended a 5%, or \$100,000 (rounded) a year increase, for the next three fiscal years. Staff recommends no increase at this time, and displays as follows:
Current Year allocation: \$1,987,250
Fiscal Year 2013-14 \$1,987,250
The contract is billed at the same monthly amount each month, not to exceed each fiscal year contract allocation.
- 2) A one year contract for Equine Medical Director services. UCD has recommended an annual program cost increase of \$18,342.00, just under 6%, to accommodate increases in benefits, overhead, travel, and indirect costs such as building maintenance; equipment use; department administration and student services. UCD has eliminated the research budget item. Staff recommends no yearly increase above current year costs, for the one year contract, as follows:
Current Year allocation: \$308,800
Fiscal Year 2013-14 \$308,800
- 3) A two year contract for equine necropsy services. UCD has recommended a \$10,500 annual contract increase to accommodate increased necropsy examination costs. Equine disposal charges remain unchanged. Staff recommends the cost increase is reasonable and warranted.
Current Year allocation: \$ 148,325
Fiscal Year 2013-14 \$ 158,865
Fiscal Year 2014-15 \$ 158,865

Included is a list of miscellaneous services, Steward, Hearing Officer, and Official Veterinarian contracts. Hearing Officer costs are based on an estimated caseload using the previous fiscal year as a guide. Steward and Official Veterinarian costs are estimates based on assignments on the current racing calendar. No assignment guarantees are assumed for the Hearing Officer, Steward or Official Veterinarian attached list.

Each miscellaneous service contract listed represents continued services for the day to day operations of the Board.

RECOMMENDATION

Staff recommends the Board approve the attached list of UCD, steward, official veterinarian, hearing officer and various service contracts for Fiscal Year 2013/14.

2013/2014 CONTRACTS – ESTIMATED COSTS

SERVICE CONTRACTS	STEWARD SERVICES
<u>REGENTS, UNIVERSITY OF CA – DAVIS</u>	Grant Baker
A) Equine Drug Testing	Charles "Scott" Chaney
1 year contract \$1,987,250	James Dreyer
B) Equine Medical Director	John Herbubeaux
1 year contract \$ 308,800	Luis Jauregui
C) Postmortem Exams	Darrel McHargue
2 year contract \$ 317,730	Thomas McCarthy
	William Meyers
<u>HEARING OFFICERS – 1 Year Contracts</u>	Ruben Moreno
A) Scott Chaney \$ 15,000.00	Dennis Nevin
B) Daniel Q. Schiffer \$ 15,000.00	Paul Nicolo
C) Richard "Bon" Smith \$ 10,000.00	Michael "Wayne" Oke
D) Richard Margarita \$ 15,000.00	Jeffrey Salmon
	Patricia "Kim" Sawyer
	George Slender
Racing Surface Testing Laboratory	Victor Stauffer
(2 year soil testing) \$160,000	Thomas Ward
	Randall Winick
State Controller's Office	TOTAL CONTRACTS: \$ 2,182,000.00
(3 year expedite claim processing) \$ 33,000	
CA Dept. of Human Resources \$ 10,000	<u>OFFICIAL VETERINARIANS</u>
(1 year civil service exam testing)	Dr. Jill Bailey
Biotron Engineering \$ 15,000	Dr. Gary Beck (PEGUSUS)
(3 year centrifuge maintenance)	Dr. William Bell
Quest Diagnostic Labs \$ 15,000	Dr. Donald Dooley
(3 year human drug testing)	Dr. Jennifer Durenberger
	Dr. Forrest Franklin
	Dr. Robert Goodbary
	Dr. Timothy Grande
	Dr. Barrie Grant
	Dr. Sidney Gustafson
	Dr. Deborah Lamparter
	Dr. Dana Stead
	TOTAL CONTRACTS: \$ 548,000.00

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST
FROM NORTHERN CALIFORNIA OFF TRACK WAGERING, INC.
(NCOTWINC)
TO CONTINUE THE MODIFICATION OF
THE DISTRIBUTION OF MARKET ACCESS FEES FROM
ADVANCE DEPOSIT WAGERING (ADW)
AS PERMITTED UNDER
BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E)
FOR WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS
IN THE NORTHERN ZONE

Regular Board Meeting
May 23, 2013

BACKGROUND

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected. Business and Professions Code section 19605.7(a)(2)(A) states in addition to the distributions specified in paragraph (1), for thoroughbred meetings, an amount not to exceed 4 percent of the amount handled by the satellite wagering facility on conventional and exotic wagers shall be distributed to an organization described in Section 19608.2 with the mutual consent of the racing association, the organization representing the horsemen participating in the meeting, and the Board from January 1, 2010, until December 31, 2013. However, the amount shall be no less than that specified in subparagraph (B), and any amount greater than the amount specified in subparagraph (B) shall be approved by the Board for no more than 12 months at a time, and only upon a determination by the Board that the greater amount is in the economic interest of thoroughbred racing.

In April 2009 the Board approved a modification of California's advance deposit wagering (ADW) distribution on thoroughbred races as permitted under Business and Professions Code section 19604(f)(5)(E). In requesting the modification the industry represented that for several years Southern California Off Track Wagering, Inc. (SCOTWINC) and Northern California Off Track Wagering, Inc. (NCOTWINC) ran shortfalls in funding due to handle moving from traditional satellite wagering to ADW, and a general decline in wagering activity at "brick and mortar" wagering sites. The distribution from satellite facilities was fixed, and 2.5 percent of that funding went to SCOTWINC and NCOTWINC for pari-mutuel expenses. Instead of asking for an increase in the takeout, the industry requested the creation of a new distribution from ADW source market fees to fund the SCOTWINC and NCOTWINC shortfalls over a two-year period. The requested distribution for SCOTWINC was 4.12 percent of the ADW source market fee and 4 percent of the ADW source market fee was requested for NCOTWINC. The funds would come from ADW wagers placed by California residents on California thoroughbred races. Pursuant to Business and Profession Code section 19604(f)(5)(E), the Board approved a motion to alter for a two year period - commencing July 2009 and ending June 2011 - the market access

fees from ADW wagers made by California residents on California thoroughbred race meetings by the creation of an additional deduction for distribution based on 4.12 percent of handle in Central and Southern zones to the SCOTWINC Trust, and 4 percent of handle in the Northern Zone to the NCOTWINC Trust.

At the January 2010 Regular Board Meeting the Los Angeles Thoroughbred Club (LATC) and Thoroughbred Owners of California (TOC) submitted a SCOTWINC shortfall agreement for Board approval. The agreement altered the distribution of market access fees from ADW wagers placed on all racing hosted by LATC at its winter race meeting, effective December 26, 2009 through April 18, 2010. The Board accepted the agreement as an addendum to the LATC winter race meeting. At the February 2010 Regular Board Meeting the NCOTWINC shortfall agreement between Pacific Racing Association and TOC was approved.

At the February 2010 Regular Board Meeting a presentation by SCOTWINC and NCOTWINC regarding their organizational background and financial position was heard. NCOTWINC and SCOTWINC stated they were experiencing a long-term decline in revenue that was exacerbated by the advent of ADW wagering. From 2007 through 2009 the organizations saw serious deficits. The Board expressed concern over the future of the SCOTWINC/NCOTWINC business model, as it did not appear to be sustainable in the current environment. The Board stated it would like to know what changes the organizations would propose that would give it confidence the deficits would not become permanent, and that SCOTWINC/NCOTWINC would not go to the Legislature every time it needed funds, as running bills to take money from somebody else would not solve the deficits. The Board also cautioned that its recent actions with regards to SCOTWINC/NCOTWINC funding were "short term band aids." Chairman Brackpool stated the organizations should work with the Board's Committee on Budget, Finance and Audits to determine how the organizations would be funded for 12 months going forward, and then return to the Board not later than June 30, 2010 with a five year business plan with absolute structural changes to the business model.

In June 2010 representatives of SCOTWINC and NCOTWINC appeared before the Board to report on their operating results, financial condition and strategic planning. The organizations also requested a modification of the distribution of takeout for thoroughbred racing associations permitted under Business and Professions Code section 19601.01, and to modify the distribution of market access fees from ADW wagering as permitted under Business and Professions Code section 19604(f)(5)(E). The Board voted to modify the distribution of market access fees from the ADW wagers made by California residents on races conducted and hosted by thoroughbred racing association to 1.75 percent of ADW wagers made in the central and southern zones of SCOTWINC and 2.9 percent of ADW wagers in the northern zone of NCOTWINC. The Board also voted – pursuant to Business and Professions Code section 19605(a)(2)(A) - to alter the distribution of the takeout from 2.5 percent to 2.9 percent of the handle in the northern zone on races conducted and hosted by the thoroughbred association in the northern zone. The effective period of the modifications was from July 1, 2010 through June 30, 2011.

In June 2011 the Board approved a request by NCOTWINC and SCOTWINC for approval of an expense fund agreement to be effective July 1, 2011 through June 30, 2012. The parties state additional funding to NCOTWINC and SCOTWINC was necessary to cover the pari-mutuel and

simulcast costs incurred in the operation of brick and mortar simulcast wagering in California. Such expenses include the cost of labor for pari-mutuel clerks and charges for totalizator, data lines, signal dissemination and similar costs. The new agreement provided for a distribution to SCOTWINC of 2.15 percent of ADW wagers generated in the central and southern zones. The modification of the distribution of market access fees from ADW was permitted under Business and Professions Code section 19604(f)(5)(E). The agreement also provided for a distribution to NCOTWINC of 2.9 percent of ADW generated in the northern zone. Pursuant to Business and Professions Code section 19605.7(a)(2)(A), a 2.9 percent distribution to NCOTWINC for brick and mortar simulcast wagering in the northern zone was requested. If the funding provided by the agreement was in excess of the actual pari-mutuel/simulcast costs, the excess would be split between track and purse commissions. In May 2012, the Board approved an extension of the agreement, as requested by NCOTWINC and SCOTWINC.

ANALYSIS

NCOTWINC, in conjunction with Pacific Racing Association (PRA) and TOC has requested an extension of the agreement approved by the Board in May 2012. The extension of the agreement between PRA and NCOTWINC would provide for a distribution to NCOTWINC of 2.15 percent of ADW wagers generated in the northern zone and is permitted under Business and Professions Code section 19604(f)(5)(E). This is lower than the existing distribution of 2.9 percent. The parties have stated that in the current agreement they were not requesting an increase pursuant to Business and Professions Code section 19605.6(a)(2)(A) for brick and mortar simulcast wagering in the Northern Zone. Any funding in excess of the actual pari-mutuel/simulcast costs would be split between track and purse commissions.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the NCOTWINC representative.



May 1, 2013

Submitted to the CHRB for the May 23rd, 2013 meeting agenda:

Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC), parties to an existing agreement (which expires June 30, 2013) approved by the CHRB in May 2012, are seeking approval of a similar agreement for the period July 1 through December 31, 2013. Additional funding to Northern California Off Track Wagering, Inc. (NCOTWINC) is necessary to cover the pari-mutuel and simulcast costs incurred in the operation of brick and mortar simulcast wagering in California. Such expenses include the cost of labor for pari-mutuel clerks and charges for totalizator, data lines, signal dissemination and similar costs.

The new agreement between PRA and TOC provides for a distribution to NCOTWINC of 2.15 percent of ADW wagers generated in the northern zone. The modification of the distribution of market access fees from ADW is permitted under Business and Professions Code section 19604(f)(5)(E). This rate is lower than the existing distribution of 2.9%.

We are not, in the current agreement, requesting an increase Pursuant to Business and Professions Code section 19605.7(a)(2)(A) for brick and mortar simulcast wagering in the northern zone. An increased rate of 2.9% is set to expire on June 30, 2013 reverting back to a rate of 2.5%.

If the funding provided by the agreement is in excess of the actual pari-mutuel/simulcast costs, the excess will be split between track and purse commissions.

This is an on-going situation and as has been outlined in great detail in the past; many measures have been identified and undertaken to improve productivity and efficiency at off-track sites. As is evidenced by the lower rates in the current agreement, PRA and TOC have been successful in improving the productivity and efficiency of the off-track satellites and NCOTWINC.

July – December 2013 Expense Fund Agreement

This Agreement is entered into as of this 1st day of May, 2013 by and between Pacific Racing Association ("PRA") and the Thoroughbred Owners of California ("TOC"), with reference to that certain 2012-2013 Expense Fund Agreement among the parties hereto and the need for terms of such agreement to be renewed:

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from ADW placed on all live and imported races hosted by PRA shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.15% of handle in the Northern Zone, that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW Northern Zone shall be payable to NCOTWINC.
2. The percentage distributable to NCOTWINC pursuant to Section 19605.7(a)(2)(A) shall revert back to 2.50%.
3. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by PRA and modification of the percentage distributable under Section 19605.7(a)(2)(A).
4. Subject to the requisite approval of the CHRB, the modification of the percentage in Section 19605.7(a)(2)(A) as set forth in 2 above shall be effective July 1, 2013 as shall the deduction from ADW set forth in 1 above.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the Incentive fund administered by the California Thoroughbred Breeders Association shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by PRA. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Terms of this Agreement shall be effective from July 1, 2013 to December 31, 2013.
7. PRA and TOC agree to redistribute any funds accumulated in excess of the expense back to purses and PRA Commissions, 50% to each. Such redistribution will be made every six months beginning June, 30 2013 (the end date of the previous extension agreement). Any redistribution will be made by NCOTWINC within 30 days following the schedule set forth above. A full accounting of any redistribution or deficit will be provided to PRA, TOC, and the NCOTWINC Board on the same timetable.
8. PRA and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and NCOTWINC.

Thoroughbred Owners of California

By: _____

Mr. Richard Scheidt

 4/30/13

Pacific Racing Association

By: _____

Mr. Joe Morris, Vice President

 4-25-13

DISCUSSION AND ACTION BY THE BOARD
REGARDING THE REQUEST FROM GOLDEN GATE FIELDS
TO ALLOW A JOCKEY TO WEAR PIVOHEAD GLASSES
DURING ONE DESIGNATED TURF RACE AT
GOLDEN GATE FIELDS

Regular Board Meeting
May 23, 2013

BACKGROUND

Business and Professions Code section 19420 states that jurisdiction over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board.

Hall of Fame jockey Russell Baze has over 50,000 career starts and holds the record for the most race wins in North American horse racing history. He was inducted into the Thoroughbred Racing Hall of Fame in 1999. In addition, Mr. Baze has won the George Woolf Memorial Jockey Award, the Isaac Murphy Award, and an Eclipse Special Award. The New York Times (Times) is conducting extensive coverage of Russell Baze's accomplishments and as part of its coverage it wishes to include a "first-person" video of Baze in action. This would allow him to explain his riding techniques to the Times readers from "inside the Saddle." To accomplish this, the Times proposes to have Mr. Baze wear a pair of modified Pivothead glasses that are made from polycarbonate and other materials which, according to the Times source, make them lightweight and impact resistant. The glasses have been augmented with an adjustable restraining strap to securely fasten them to the rider's head. A Velcro restraining strap will also be to the helmet for further security. The modified Pivothead glasses would be worn during one designated turf race at Golden Gate Fields.

RECOMMENDATION

Staff recommends the Board hear from a Golden Gate Fields representative.

CALIFORNIA HORSE RACING BOARD

MAY 23, 2013
REGULAR BOARD MEETING

There is no board package material for Item 6

CALIFORNIA HORSE RACING BOARD

MAY 23, 2013
REGULAR BOARD MEETING

There is no board package material for Item 7

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION
OF THE FOLLOWING
EXCHANGE WAGERING RULES AND THE PROPOSED REVISIONS TO THESE RULES
MADE IN RESPONSE TO
THE OFFICE OF ADMINISTRATIVE LAW'S (OAL) MARCH 20, 2013 DECISION OF
DISAPPROVAL OF REGULATORY ACTION.

PROPOSED CHRB RULES:

2086, DEFINITIONS;

2086.5, APPLICATION FOR LICENSE TO OPERATE EXCHANGE WAGERING;

2086.6, OPERATING PLAN REQUIRED; 2086.7, EXCHANGE WAGERING DATA;

2086.9, FINANCIAL AND SECURITY INTEGRITY AUDITS REQUIRED;

2087.6, CANCELLATION OF MATCHED WAGERS;

2089, ERROR IN PAYMENTS OF EXCHANGE WAGERS;

2089.5, REQUIREMENTS TO ESTABLISHING EXCHANGE WAGERING ACCOUNT

Regular Board Meeting

May 23, 2013

BACKGROUND

Business and Professions Code section 19604.5(e)(1) provides that the Board shall have full power to prescribe rules, regulations, and conditions under which exchange wagering may be conducted in California consistent with this section, including the manner in which exchange wagers may be accepted and the requirements for any person to participate in exchange wagering. Business and Professions Code section 19604.5(m) states the Board shall promulgate administrative rules and regulations to effectuate the purposes of this section. At its November 2012 Regular Meeting the Board adopted the proposed addition of Article 27, Exchange Wagering, which comprises 25 regulations governing the conduct of exchange wagering in California. The exchange wagering rulemaking file was submitted to the Office of Administrative Law (OAL) on January 31, 2013. On March 20, 2013, the OAL disapproved the file. Of the 25 regulations submitted, the OAL cited necessity, clarity or consistency issues with eight regulations, as well as certain procedures of the Administrative Procedures Act (APA). The CHRB has 120 days from the date of the disapproval to correct and resubmit the rulemaking file. After a 15-day public comment period, during which only comments on the proposed revisions will be accepted, the corrected texts will be heard for adoption by the Board. Upon resubmission of a disapproved regulation the OAL shall only review the resubmitted regulation for those reasons expressly identified in the written opinion, or for those issues arising as a result of a substantial change to a provision of the resubmitted regulation.

On May 2, 2013, the Exchange Wagering Ad Hoc Committee met at Hollywood Park Race Track to review modifications to certain proposed exchange wagering regulations. Following a discussion which focused on the proposed addition of Rule 2086.5, Application for License to Operate Exchange Wagering, Chairman Israel directed staff to hold a meeting with industry representatives to craft a text that would address most of the issues raised concerning the rule.

On May 9, 2013, staff met with representatives of Global Betting Exchange, Churchill Downs and Betfair.

ANALYSIS

The OAL disapproval letter cited issues with eight of the 25 exchange wagering regulations. To address OAL's concerns staff has modified the texts of the eight regulations. The modified texts are:

Rule 2086, Definitions: The OAL stated Rule 2086 did not comply with the consistency standard of Government code section 11349.1(a)(4). The enabling statute, Business and Professions Code section 19604.5, uses the terms "*person*" and "*natural persons*". However, only "*natural persons*" may hold accounts and place wagers through an exchange. The OAL stated the use of the term "*person*" in subsections 2086(h), (m), (p) and (s) was inconsistent with the enabling statute's more specific statements that only "*natural persons*" may hold an exchange wagering account and place wagers through the exchange. To address the OAL's concerns, staff modified the text of Rule 2086 to use the term "*natural person*" where necessary.

Rule 2086.5, Application for License to Operate Exchange Wagering: The OAL stated the regulation did not meet the clarity standard of Government Code section 11349.1. Subsection 2086.5(b) states the exchange wagering assessment fee is \$1,400,000 "*or an amount to be determined by the Board*". The OAL provided that it was unclear what factors would be examined for the Board to determine it would modify the amount of the assessment fee. To address the OAL's concerns, staff deleted the phrase "*or an amount to be determined by the Board*". This means the assessment fee is as stated in the regulation and cannot be modified outside of the Administrative Procedures Act.

The OAL stated subsection 2086.5(b) requires an application for license to operate exchange wagering to be accompanied by a certified check in the amount of \$1.4 million. However, in the rulemaking record the Std. 399, Economic and Fiscal Impact Statement, shows the total annual estimate of program costs to regulate exchange wagering as \$510,000 which makes the \$1.4 million appear to exceed the reasonable costs of the program. Upon reexamination of estimated costs, the assessment fee for license to operate exchange wagering has been modified to \$500,000 per fiscal year. This brings the regulation in line with the original estimates of the Std. 399, Economic and Fiscal Impact Statement, which is based on the State's fiscal year. The assessment fee amount was moved from subsection 2086.5(b) to a new subsection 2086.5(d).

At the May 2, 2013 Ad Hoc Committee meeting Chairman Israel stated the term of license for an exchange wagering operator should be one year, which is consistent with Board practice regarding advance deposit wagering approvals. Subsection 2086.5(c) has been modified to state that the term of license is a maximum of twelve months, commencing the first day of the month following approval. However, to accommodate the effort to have both payment of assessment fees and the term of license coincide with the State of California's fiscal year, subsection 2086.5(c)(1) has been added to provide that every original exchange wagering license shall expire on the last day of the State of California's fiscal year in which it is issued. Subsequent (renewal) approvals shall be for a period of 12 months beginning July 1 and ending June 30.

The new subsection 2086.5(d) provides that the assessment fee for license to operate exchange wagering shall be equally apportioned amongst the approved applicants and paid on a monthly basis. Moving to monthly payments rather than a yearly lump sum eliminates the possibility of having to provide refunds or credits to licensed exchange wagering operators if a new operator is subsequently licensed. The monthly payments are simply adjusted for all parties. Monthly payments also allow an operator to be approved at any time of year.

New subsections 2086.5(d)(1) and (d)(2) provide for assessment fee payment timelines and the amounts to be paid monthly – dependent on the number of approved applicants. A new subsection 2086.5(d)(3) requires the Board to notify existing exchange wagering operators of an adjustment to the monthly assessment fees within seven business days.

In summary:

- (1) The term of an exchange wagering license is a maximum of 12 months.
- (2) An original exchange wagering license will expire on June 30 in the year it is issued, the last day of the State of California's fiscal year, and thereafter shall be for a period of 12 months beginning July 1 and ending June 30.
- (3) The total amount per fiscal year to be assessed to operate exchange wagering is \$500,000.
- (4) The assessment fee shall be equally apportioned amongst the approved applicants and paid on a monthly basis.
- (5) The Board shall provide notification of adjustments to monthly assessment fees within seven business days of an increase or decrease in the number of approved exchange wagering applicants.

The OAL stated the original subsection 2086.5(d), which is now subsection 2086.5(e), did not meet the clarity standards of Government Code section 11349.1 since it could not easily be understood. The subsection provides the Board "*may approve*" the application, but it does not provide the reasons why the Board may or may not approve an application. To address OAL's concerns, staff deleted the word "*may*" and inserted the word "*shall*". This means the Board shall approve an application if it determines the applicant meets the criteria identified in its regulations and the enabling statute.

The form 299 (New 05/13) Application for License to Operate Exchange Wagering, was also amended – where appropriate - to reflect the changes made to Rule 2086.5.

Rule 2086.6, Operating Plan Required: The OAL stated Rule 2086.6 did not meet the clarity standard of Government Code section 11349.1, as there was no guidance provided as to the format required by the Board for an operating plan. In addition, it was unclear what additional information, beyond the "*minimum*" that was listed in the regulation, might be required or in what instance the Board might require any additional information. To address OAL's concerns, staff deleted the phrase "*in a format and containing such information as required by the Board.*" This means that the operating plan must contain at least the items listed in the regulation.

The OAL stated subsection 2086.6(i) did not meet the consistency standard of Government Code section 11349.1(a)(4). The proposed subsection 2086.6(i) provided that the operating plan and any subsequent updates or changes would be exempt from disclosure pursuant to Government

Code section 6254(k). The OAL disapproval stated the subsection was not in harmony with Government Code subdivision 6254(k), and that no federal or state law exempting or prohibiting disclosure of the operating plan as a record could be located. To address OAL's concerns, staff proposes the deletion of subsection 2086.6(i).

Rule 2086.7, Exchange Wagering Data: The OAL stated Rule 2086.7 did not meet the clarity standard of Government Code section 11349.1. Subsection 2086.7(a) did not identify the data base "*designated by the Board*" as the entity to which an exchange operator must provide information. To address OAL's concerns, staff has modified subsection 2086.7(a) to identify CHRIMS Inc. as the nonprofit horse racing data base. The OAL also stated the regulation did not require an exchange operator to provide data, but only data interface. To address OAL's concerns, staff has modified subsection 2086.7(a) to require the exchange operator to provide data, as well as a data interface.

Rule 2087.6, Cancellation of Matched Wagers: The OAL stated subsection 2086.7(c) did not meet the clarity standard of Government Code section 11349.1 as an account holder might not understand when a payout was "*inappropriately disrupted*". There was no guidance as to when any disruption was inappropriate. To address OAL's concerns staff has modified subsection 2086.7(c) to delete "*inappropriately disrupted*". The term "*not made*" has been inserted to make it clear that if a payout is not made due to the cancellation of a matched wager, the account holder who disputes such non-payment may submit a claim to the exchange provider.

Rule 2089, Errors in Payments of Exchange Wagers: The OAL stated subsection 2089(a) did not meet the clarity standard of Government Code section 11349.1 since it could not be easily understood by directly affected persons. The regulation provides when an account holder must be immediately notified of over or under payments. However, OAL stated the exchange provider and account holder would not be able to determine when the required notification must be made. To address OAL's concerns, staff has deleted the word "*immediately*" from subsection 2089(a) and inserted the phrase "*within one business day*". This makes it clear that the exchange operator has one business day to notify the account holder of an overpayment. For consistency, staff modified subsection 2089(b)(1) to include the requirement that the exchange provider notify an account holder of an underpayment within one business day. Finally, OAL stated the use of the term "*person*" in subsection 2089(b)(4) was inconsistent with the enabling statute's more specific statements that only "*natural persons*" may hold an exchange wagering account and place wagers through the exchange. To address the OAL's concerns, staff modified subsection 2089(b)(4) to use the term "*natural person*".

Rule 2089.5, Requirements to Establish an Exchange Wagering Account: The OAL stated subsection 2089.5(j) did not comply with the consistency standard of Government Code section 11349.1(a)(4). The regulation requires the exchange provider to keep recordings "*for at least 180 days, unless otherwise directed by the Board*". The OAL stated the regulation failed to provide the factors that might necessitate the Board to direct an entity to keep recordings for a longer or shorter period of time. To address the OAL's concerns, staff modified subsection 2089.5(j) to specify the factors that would necessitate the Board to direct an entity to keep recordings for a longer or shorter period. Staff inserted the phrase "*for purposes including but not limited to conducting an investigation, an audit or an ongoing wagering inquiry.*"

Rule(s) 2087, Suspending Markets; 2091.5, Suspending an Exchange Wagering Account; 2092.5, Prohibitions on Wagers to Lay a Horse to Lose, and Rule 2092.6, Suspension of Occupational License: The OAL stated the regulations did not comply with the consistency standard of Government code section 11349.1(a)(4). The enabling statute, Business and Professions Code section 19604.5, uses the terms “*person*” and “*natural persons*”. However, only “*natural persons*” may hold accounts and place wagers through an exchange. The OAL stated the use of the term “*person*” was inconsistent with the enabling statute’s more specific statements that only “*natural persons*” may hold an exchange wagering account and place wagers through the exchange. To address the OAL’s concerns, staff modified the text of Rules 2087, 2091.5, 2092.5 and 2092.6 to use the term “*natural person*” where necessary.

Other modifications: Rule 2086.9, Financial and Security Integrity Audits Required, was modified to create subsection 2086.9(c). Rule 2088.6, Cancellation of Unmatched Wagers, was modified for purposes of grammar.

RECOMMENDATION

Staff recommends the Board direct staff to initiate a 15 day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2086. DEFINITIONS

Regular Board Meeting
May 23, 2013

Single Underlined text represents the original language noticed to the public from May 11, 2012 to June 25, 2012.

Deletions to the original noticed text appear as ~~Double Strikethrough~~ and new and revised text appears as Double Underlined as noticed October 8, 2012 through October 22, 2012 and adopted at the November 15, 2012, regulatory hearing.

Deletions to the adopted text appear as ~~Italicized Double Strikethrough~~ and new and revised text appear as Italicized with Bolded Underlined.

2086. Definitions

As used in this article:

(a) “Back” means to wager on a selected outcome occurring in a given market.

(b) “Confidential information” means the following:

(1) the amount of money credited to, debited from, or present in any particular account holder's exchange wagering account;

(2) the amount of money wagered by a particular account holder on any races or series of races;

(3) the exchange wagering account number and personal identification number of an account holder;

(4) the identities of particular entries on which the account holder is wagering or has wagered; and

(5) unless otherwise authorized by the account holder, the name, address, and all other information in possession of the exchange provider that would identify the account holder to anyone other than the Board or the exchange provider.

(c) "Credits" means all positive inflow of money to an exchange wagering account.

(d) "Debits" means all negative outflow of money from an exchange wagering account related to a wager placed from such account.

(e) "Declared Entry" means a horse withdrawn from a race in which its entry has been accepted.

(f) "Deposit" means a credit of money to an exchange wagering account from an account holder.

(g) "Exchange" means a system operated by an exchange provider in which the provider maintains one or more markets in which account holders may back or lay a selected outcome.

(h) "Exchange wagering" means a form of pari-mutuel wagering in which two or more natural persons place identically opposing wagers in a given market, as provided under Business and Professions Code section 19604.5.

(i) "Exchange wagering account," "account" means the account established with an exchange provider by a natural person participating in exchange wagering. An account may only be established or maintained with an exchange provider by a natural person.

(j) "Exchange wagering license applicant," "applicant" means any entity including, but not limited to, corporations, partnerships, limited liability companies, limited

partnerships, or individuals that file an application with the Board to conduct exchange wagering.

(k) “Exchange wagering license application” means the application form CHRB 229 (New 5/12 13), Application for License to Operate Exchange Wagering, hereby incorporated by reference, which shall be available at the Board’s headquarters office.

(l) “Exchange wagering licensee,” “exchange provider” means a person located within or outside of California that is authorized to offer exchange wagering to residents of California pursuant to Business and Professions Code section 19604.5 and this article.

(m) “Identically opposing wagers” means wagers in which one or more *natural* persons offer to lay a selected outcome at the same price at which one or more *natural* persons offer to back that same outcome, with the amount subject to the lay being proportionately commensurate to the amount subject to the back.

(n) “Lay” means to wager on a selected outcome not occurring in a given market.

(o) “Market” means, in relation to a given horse race or a given set of horse races, a particular outcome that is subject to exchange wagering as determined by an exchange provider.

(p) “Matched wager” means the wager that is formed when two or more *natural* persons, none of whom are the exchange provider or agents, are confirmed by the exchange provider as having placed identically opposing wagers in a given market on the exchange.

(q) “Means of personal identification” means the unique number, code, or other secure technology designated by an exchange wagering account holder to assure that only that account holder has access to his account.

(r) “Natural person” means a living, breathing human being, as opposed to a legal entity.

(s) “Net winnings” means the aggregate amounts payable to a *natural* person as a result of that person’s winning matched wagers in a pool less the aggregate amount paid by that *natural* person as a result of that *natural* person’s losing matched wagers in that pool.

(t) “Operating plan” means the plan submitted to the Board by an exchange provider detailing the proposed method of operation of the exchange.

(u) “Other electronic media” means any electronic communication device or combination of devices including, but not limited to, personal computers, the Internet, private networks, interactive television and wireless communication technologies, or other technologies approved by the Board.

(v) “Person” means any individual, partnership, corporation, limited liability company, or other association or organization.

(w) “Pool” means the total of all matched wagers in a given market.

(x) “Price” means the odds for a given exchange wager.

(y) “Unmatched wager” means a wager or portion of a wager placed in a given market within an exchange that does not become part of a matched wager because there are not one or more available exchange wagers in that market with which to form one or more identically opposing wagers.

(z) “Withdrawal” means a payment from an exchange wagering account by the exchange provider to the account holder.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD (CHRB)
APPLICATION FOR LICENSE TO OPERATE EXCHANGE WAGERING
CHRB-229 (New 5/12/13)

Application is hereby made to the California Horse Racing Board (CHRB) for approval to conduct exchange wagering in accordance with the California Business and Professions Code section 19604.5, Chapter 4, Division 8, California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct exchange wagering.

The applicant must supply complete responses. The information is to be provided as of the date of this application unless otherwise specified. The applicant may refer to an exhibit/attachment in response to a question; however, you must specify the page number and line in which the text is responsive.

NOTICE – By submitting the application the applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

~~To fulfill Business and Professions Code section 19604.5(e)(6), the total amount per fiscal year to be assessed to operate exchange wagering is \$500,000. The assessment fee for license to operate exchange wagering shall be equally apportioned amongst the approved applicants, and paid on a per month basis for the duration of the license. No application for a license to operate exchange wagering shall be granted unless the applicant has deposited with the Board a certified check in the amount of \$1,400,000 payable to the California Horse Racing Board, or an amount to be determined by the Board to fulfill Business and Professions Code section 19604.5 (e)(6), which provides that the Board may recover any costs associated with the licensing or regulation of exchange wagering from the exchange wagering licensee by imposing an assessment on the exchange wagering licensee in an amount that does not exceed the reasonable costs associated with the licensing or regulation of exchange wagering. Funds received pursuant to this subdivision shall be deposited in the Horse Racing Fund, to be available upon appropriation by the Legislature for the sole purpose of regulating exchange wagering.~~

1. APPLICANT INFORMATION

Company name: _____

Facility address: _____

<u>City:</u>	<u>State:</u>	<u>Zip Code:</u>
<u>Phone:</u>	<u>Fax:</u>	<u>Website:</u>

County: _____

CHRB CERTIFICATION

Application received:	Hearing date
Cashier check received:	Approved date:
Reviewed:	License number:

2. CONTACT PERSON (authorized representative)

Name and title of contact: _____

Business name and address: _____

City: _____	State: _____	Zip Code: _____
Phone: _____	Fax: _____	County: _____

Email address: _____

3. CALIFORNIA AGENT FOR RECEIPT OF SERVICE OF PROCESS

Name and title of contact: _____

Business name and address: _____

City: _____	State: _____	Zip Code: _____
Phone: _____	Fax: _____	County: _____

Email address: _____

4. MANAGEMENT PERSONNEL

NOTICE TO APPLICANT: All management personnel responsible for the California operations must be CHRB licensed. Business and Professions Code section 19604.5 (e) (5) provides the Board may reasonably require licensure or registration of officers or directors of any exchange wagering licensee.

List name, title, email address, phone and license number for all management personnel directly involved in management of exchange wagering. Indentify which management staff is responsible for California operations:

<u>Name and title</u>	<u>Email address</u>	<u>Phone</u>	<u>License No.</u>	<u>Responsible for California Operations</u>
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No

5. DATE OF OPERATION

NOTICE TO APPLICANT: CHRB Rule 2086.5 provides the term of the exchange wagering license shall ~~not~~ be ~~more than two one years commencing the first day of the month following approval of the exchange wagering license from the date the exchange wagering license is issued, unless otherwise determined by the Board.~~

- A. Dates applicant is proposing to conduct exchange wagering:
 Beginning date: _____ Ending date: _____
- B. Dates exchange wagering will not be conducted:
- C. Indicate the days and hours exchange wagering will be conducted below:

<u>Days:</u>	<u>Hours:</u>
<u>Sunday</u>	
<u>Monday</u>	
<u>Tuesday</u>	
<u>Wednesday</u>	
<u>Thursday</u>	
<u>Friday</u>	
<u>Saturday</u>	

6. BUSINESS STRUCTURE

A. Identify your business structure (below):

- Corporation (complete subsection B and E)
- LLC (complete subsection C and E)
- Other (Specify and complete subsection D and E)

B. CORPORATION

1. Registered name of the corporation:
2. State and/or country where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section E.

If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State and/or country where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
17. If yes, on what exchange and how is the stock listed:
18. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
19. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC:
2. State and/or country where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section E. If yes, answer questions 7-13

Yes No

7. Registered name of the LLC/Corporation:
8. State and/or country where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:
13. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

E. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. Attach a separate audited annual financial statement for the applicants California operations. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years and Profit and Loss statement for projected year.

- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.
2. Provide certification from the Chief Financial Officer that the account holders' funds will not be commingled with any other funds pursuant to CHRB rule 2086.6.

~~**NOTICE TO APPLICANT: The financial information provided pursuant to subsection (E) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.*~~

7. ORGANIZATIONAL INFORMATION

- A. Provide a detailed organizational chart for the applicant company and parent company if applicable.
- B. List all jurisdictions inside and outside of the United States in which you offer exchange wagering to patrons.
- C. Does the applicant or parent company hold a financial interest in the following (mark all that apply):
- Race track
 - Casino
 - Advance deposit wagering

If you have indicated you have an interest in any of the above identify name and address of business interest.

- D. What contributions is the applicant making to the California horse racing industry?
- E. Has there been any action initiated against the applicant its parent, any of its directors, partners, officers or subsidiary corporation this year, or in the last year, by any court or regulatory agency? If so, please identify the issue.

8. TRACK AGREEMENT AND HORSEMEN ORGANIZATION APPROVAL

EXCHANGE WAGERING LICENSING REQUIREMENTS

Business and Professions Code section 19604.5, identifies specific provisions that must be met before an exchange wagering provider may accept thoroughbred wagers on horse races from persons whose primary residence address is in the northern and central/southern zone of California; and specific requirements for quarter and standardbred wagers accepted from a resident whose primary residence address is in California:

Business and Professions Code section 19604.5 (b)(4) provides an exchange wagering licensee may only offer exchange wagering on thoroughbred horse races, conducted within or outside of this state, to persons whose primary residence address is in the northern zone of this state if it has an exchange wagering agreement with the racing association/fair located in the northern zone authorized by the Board when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is in the northern zone of this state, and the horsemen's organization responsible for negotiating purse agreements for a live thoroughbred racing meeting.

Business and Professions Code section 19604.5 (b)(5) provides an exchange wagering licensee may only offer exchange wagering on thoroughbred horse races, conducted within or outside of this state, to persons whose primary residence address is in the central/southern zone of this state if it has an exchange wagering agreement with the racing association or racing fair located in the central/southern zone authorized by the Board, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is in the central/southern zone of this state, and the horsemen's organization responsible for negotiating purse agreements for a live thoroughbred racing meeting.

Business and Professions Code section 19604.5 (b)(6) provides an exchange wagering licensee may only offer exchange wagering on quarter horse races, conducted within or outside of this state, to persons whose primary residence address is in this state if it has an exchange wagering agreement with the racing association or racing fair located in the state authorized by the Board to conduct a live quarter horse racing meeting, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is this state, and the horsemen's organization responsible for negotiating purse agreements for the live quarter horse racing meeting.

Business and Professions Code section 19604.5 (b)(7) provides an exchange wagering licensee may only offer exchange wagering on standardbred horse races, conducted within or outside of this state, to persons whose primary residence address is in this state if it has an exchange wagering agreement with the racing association or racing fair located in the state authorized by the board to conduct a live standardbred racing meeting, when the exchange wagering licensee is offering exchange wagering to persons whose primary residence is this state, and the horsemen's organization responsible for negotiating purse agreements for the live standardbred racing meeting.

Business and Professions Code section 19604.5 (k) provides exchange wagers placed on a market after the start of a race shall be lawful if authorized by the Board, racing association, or racing fair conducting the races, and the horsemen's organization responsible for negotiating purse agreements for the breed on which the exchange wager is made.

Identify the breed of races (8A through 8E) on which exchange wagering will be offered. Attach a copy of each agreement permitting these wagers.

(* If you do not have the required agreements permitting you to accept wagers in compliance with Business and Professions Code section 19604.5, you must attach a letter addressing the status of the outstanding document(s). Please reference section 8 and the title of the outstanding agreement you are addressing. The letter must include the status of negotiations and the outstanding issues that need to be resolved and an estimated completion date for each outstanding agreement.

If the information required in response to 8A through 8E is in one agreement provide a single copy of the document.

- A. Thoroughbred wagers from persons whose primary residence address is in the northern zone of California.
1. Do you have an agreement with a racing association or fair that allows you to accept thoroughbred wagers in the northern zone? Yes No
 - a. If yes, identify which thoroughbred association or fair the agreement is with and provide a copy: _____
 - b. If no, see above instructions (*).
 2. Do you have an agreement with the horsemen's organization that allows you to accept thoroughbred wagers in the northern zone? Yes No
 - a. If yes, provide a copy of the agreement.
 - b. If no, see above instructions (*).
- B. Thoroughbred wagers from persons whose primary residence address is in the central/southern zone of California.
1. Do you have an agreement with a racing association or fair that allows you to accept thoroughbred wagers in the central/southern zone? Yes No
 - a. If yes, identify which thoroughbred association or fair the agreement is with and provide a copy: _____
 - b. If no, see above instructions (*).
 2. Do you have an agreement with the horsemen's organization that allows you to accept thoroughbred wagers in the central/southern zone?
 Yes No
 - a. If yes, provide a copy of the agreement.
 - b. If no, see above instructions (*).
- C. Quarter wagers from persons whose primary residence address is in California.
1. Do you have an agreement with a racing association or fair that allows you to accept quarter horse wagers in California? Yes No
 - a. If yes, identify which quarter association or fair the agreement is with and provide a copy: _____
 - b. If no, see above instructions (*).
 2. Do you have an agreement with the horsemen's organization that allows you to accept quarter horse wagers in California? Yes No
 - a. If yes, provide a copy of the agreement.
 - b. If no, see above instructions (*).
- D. Standardbred wagers from persons whose primary residence address is in California.
1. Do you have a contract and/or agreement with a racing association, fair that allows you to accept standardbred wagers in California? Yes No

- a. If yes, identify which standardbred association/fair the agreement is with and provide a copy: _____
 - b. If no, see above instructions (*).
2. Do you have an agreement with the horsemen's organization that allows you to accept standardbred horse wagers in California? Yes No
 - a. If yes, provide a copy of the agreement.
 - b. If no, see above instructions (*).
- E. Wagers will be accepted after the start of the race.
1. Do you have an agreement that allows you to accept wagers after the start of the race with a racing association or fair that conducts the races? Yes No
 - a. If yes, identify which association/fair the agreement is with and provide a copy:
 - b. If no, see above instructions (*).
 2. Do you have a contract and/or agreement with the horsemen's organization that allows you to accept wagers after the start of the race? Yes No
 - a. If yes, provide a copy of the agreement.
 - b. If no, see above instructions (*).

9. LABOR ORGANIZATION

NOTICE TO APPLICANT: Business and Professions Code section 19604.5 (f) (1) provides the Board shall not approve an application for an original or renewal license as an exchange wagering provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization.

- A. Do you have a labor agreement for the applied term of the application? Yes No
 1. If yes, answer questions B – D.
 2. If no, answer question E.
- B. If yes, what is the name of the bona fide labor organization?
- C. What is the term of the agreement? (Begin date): _____ (End date): _____
- D. Provide a copy of the labor agreement.
- E. If no, have you been in contact with a labor organization? Yes No
 1. If yes, what was the date of contact?
 2. Include a letter with your application titled (Labor Status) addressing the status of the current labor negotiations. Include, date of last negotiation contact, remaining issues that need to be resolved and an estimated completion date.

10. ESTABLISHING EXCHANGE WAGERING ACCOUNTS

NOTICE TO APPLICANT: CHRB Rule 2089.5 provides that specific provisions must be met to establish an exchange wagering account. Business and Professions Code section 19604.5 (c) provides in part only natural persons with valid exchange wagering accounts may place wagers through an exchange. To establish an exchange wagering account, a *natural* person shall be at least 18 years of age and a resident of California or of another jurisdiction within which the placement of exchange wagers would not be unlawful under United States federal law or the law of that jurisdiction.

- A. Provide a detailed listing of the procedures to be used for establishing an account (must comply with rule 2089.5):
- B. Provide a copy of the form and terms of agreement used to establish an account for an account holder.
- C. Name and address of the third party you will use to verify identity, residence and age verification:

11. LOCATION AND PHYSICAL PLANT

- A. Do you have a call center to accept verbal wagers? Yes No
If so, what is the name, address and phone number of the location?
- B. What is the website address available for patrons to place wagers:
- C. Do you have a location in which patrons can place a wager in person: Yes No
If so, what is the name, address and phone number of the location?

12. OPERATION OF EXCHANGE WAGERING ACCOUNTS

- A. Submit a copy of your detailed operating plan pursuant to CHRB Rule 2086.6. Plan must include all items outlined in CHRB Rule 2086.6 including:
 - 1. a detailed report of the daily operation of the exchange
 - 2. a description of policy to prevent commingling of account holders' funds with any other funds.
 - 3. management policy of customer accounts including deposit, withdrawals, debits and credits.
- B. List the type of deposits you will accept:
- C. Identify any fees or transaction-related charges and the amount that will be assessed:

13. SECURITY POLICY

NOTICE TO APPLICANT: Business and Professions Code section 19604.5 (d) provides in part the Board shall approve, as part of the exchange wagering licensee's application for an exchange wagering license, security policies and safeguards to ensure player protection and integrity, including, but not limited to, provisions governing the acceptance of electronic applications for *natural* persons establishing exchange wagering accounts, location and age verification confirmation for *natural* persons establishing exchange wagering accounts, the use of identifying factors

to ensure security of individual accounts, and the requirements for management of funds in exchange wagering accounts. An exchange wagering licensee may not accept a wager, or series of wagers, if the results of the wager or wagers would create a liability for the exchange wagering account holder that is in excess of the funds on deposit in the exchange wagering account of that holder.

- A. Attach your security access policy and safeguards pursuant to Business and Professions Code section 19604.5 (d). Policy must include the following:
 - 1. Description of the technology to ensure identity, residence, and age verification when an account is established:
 - 2. Description of the technology to ensure confidentiality of the means of personal identification:
 - 3. Methods available for account holders to withdraw funds from their account:
- B. Attach a copy of your information security incident management policy.
 - 1. Have you had any computer related security issues this year, or in the last year, with data, data storage or hardware? Yes No
 - 2. If yes, please identify the issue.
- C. Provide the name, address, phone number and hours of operation of the location in which the physical and electronic data will be will be stored:

14. ENFORCEMENT OVERSIGHT

NOTICE TO APPLICANT: Business and Professions Code section 19604.5 (d) provides in part the Board shall approve, as part of the exchange wagering licensee's application for an exchange wagering license, security policies and safeguards to ensure player protection and integrity. CHRB Rule 2091.5 (b) states the exchange provider shall immediately notify the Board if it suspends an account due to probable fraud in connection with exchange wagering. The provider shall also submit to the Board a written account of the suspension.

- A. Identify the physical location of the applicant's security oversight operation.
- B. Attach an organizational chart including chain of command and contact information (phone number and email address) for key members of the applicant's security oversight operation.
- C. Describe what forensic and analytical tools will be used for the oversight/enforcement of exchange wagering security.

15. CONTRACTS AND AGREEMENTS

- A. List name, address and phone number of all organizations you will contract with to facilitate exchange wagering:

<u>Company name, address and phone:</u>	<u>Describe services:</u>

16. ADVERTISING

- A. Name and address of the advertising agency you will use:
- B. Describe marketing strategies planned for exchange wagering:
- C. What marketing contribution is the applicant making to the California horse racing industry?
- D. What innovative programs have been created by the applicant to help invigorate the California horse racing industry?
- E. Will there be rebate programs offered? If so, identify the programs.

17. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this application.

Print Name

Signature

Print Title

Date

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2086.5. APPLICATION FOR LICENSE TO OPERATE EXCHANGE WAGERING

Regular Board Meeting
 May 23, 2013

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2086.5. Application for License to Operate Exchange Wagering.

(a) Prior to any exchange wagers being accepted, the applicant for license to operate exchange wagering must obtain a license from the Board.

(b) An applicant must complete CHRB form 229 (New 05/13/12) Application for License to Operate Exchange Wagering, ~~hereby incorporated by reference,~~ which shall be available at the Board's headquarters office. The application must be filed ~~not~~ no later than 90 days in advance of the scheduled start of operation. ~~A certified check in the amount of \$1,400,000 payable to the California Horse Racing Board, or an amount to be determined by the Board to fulfill Business and Professions Code section 19604.5(e)(6), a~~ detailed operating plan as described under Rule 2086.6, Operating Plan Required, and proof of the applicant's compliance with labor provisions of Business and Professions Code section 19604.5(f), must accompany the application.

(c) The term of the exchange wagering ~~License~~ license is a maximum of ~~shall be not more than 2~~ 12 months, ~~years from the date~~ commencing the first day of the month following approval of the exchange wagering license ~~is issued, unless otherwise determined by the Board.~~

(1) Every original exchange wagering license granted by the Board shall expire on the last day of the State of California's fiscal year in which it is issued. Subsequent approvals of the exchange wagering license shall be for a period of 12 months beginning July 1 and ending June 30 to coincide with the State's fiscal year.

(d) To fulfill Business and Professions Code section 19604.5(e)(6), the total amount per fiscal year to be assessed to operate exchange wagering is \$500,000. The assessment fee for license to operate exchange wagering shall be equally apportioned amongst the approved applicants, and paid on a per month basis for the duration of the license.

(1) Within 14 business days of notification of the assessment fee apportioned by the Board, the approved applicant shall submit its first month's assessment fee in the form of a certified check payable to the California Horse Racing Board. Subsequent payments shall be submitted at the first of each month for the term of the license.

(2) The monthly apportioned amount of the assessment is dependent on the number of approved applicants:

<u>Approved Applicant(s)</u>	<u>Monthly Assessment Fee</u>
<u>One</u>	<u>\$41,667</u>
<u>Two</u>	<u>\$20,834</u>
<u>Three</u>	<u>\$13,889</u>
<u>Four</u>	<u>\$10,417</u>
<u>Five</u>	<u>\$8,334</u>

(3) Within seven business days of an increase or decrease in the number of approved exchange wagering applicants, the Board shall notify existing exchange operators of adjustments to their subsequent monthly assessment fees.

(e) The Board shall notify the applicant in writing within 30 calendar days from the receipt date if the application is deficient. No later than 90 calendar days following the receipt of

the application, the Board shall make a final determination on the application. The Board shall ~~may~~ approve the application if, after reasonable investigation and inspection, as it deems appropriate, it determines that the applicant has demonstrated that exchange wagers placed through the exchange will be accurately processed and that there will be sufficient safeguards to protect the public and to maintain the integrity of the horse racing industry in this state. If the Board denies an application, the applicant has 30 calendar days, from the receipt of the Board's denial notification, to request a reconsideration of the Board's decision. The request must be in writing and sent to the Board's headquarters office. The Board shall respond in writing to the reconsideration request within 30 working days from the receipt date of the request. If reconsideration is denied, the applicant may file for judicial review in accordance with Government Code section 11523.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.
Section 11523, Government Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2086.6. OPERATING PLAN REQUIRED

Regular Board Meeting
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2086.6. Operating Plan Required.

As part of the exchange wagering license application, and any renewal application, the applicant shall submit a detailed operating plan ~~in a format and containing such information as required by the Board.~~ ~~At a minimum, the~~ The operating plan shall address the following:

(a) A detailed report of the daily operation of the exchange.

(b) Management of customer accounts including deposits, withdrawals, debits and credits. This shall include:

(1) A policy to prevent commingling of funds; and

(2) Evidence of an established account with ~~an~~ a Federal Deposit Insurance Corporation (FDIC) insured bank in which all funds of the account holders will be deposited. This shall include evidence that account holder's funds are segregated and held in a separate FDIC insured bank account of the exchange provider, and that the funds shall not be used for any purpose other than those required by the account holder's exchange wagering transactions.

(c) Technology and hardware and software systems information, which shall include a data security policy as provided under Business and Professions Code section 19604.5(d), as well as a policy for the notification of the Board and account holders of any unauthorized access that may compromise account holders' personal information.

(d) Financial information that demonstrates the financial resources to operate an exchange and a detailed budget that shows anticipated revenue, expenditures and cash flows by month projected for the term of the license.

(e) Document retention policies including those related to account holder personal information and wagering information.

(f) A customer complaint and conflict resolution process.

(g) Programs for responsible wagering.

(h) The requirements for exchange wagering accounts established and operated for *natural* persons whose principal residence is outside of the state, which for the purposes of these rules, shall apply only to wagering on California races.

~~(i) The operating plan submitted pursuant to this regulation, and any subsequent updates or changes to such operating plan, shall be exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.~~

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5(e)(4),
Business and Professions Code.
~~Section 6254(k),
Government Code.~~

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2086.7. EXCHANGE WAGERING DATA

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2086.7. Exchange Wagering Data.

(a) For the purposes of ~~pari-mutual~~ pari-mutuel accounting and settlement of exchange revenues according to contract, as well as reporting and analysis of data related to exchange wagering, the exchange provider shall furnish the nonprofit horse racing data base, *CHRIMS Inc.*, as designated by the Board with ~~the following~~ a data interface and the following listed data in a format agreed upon by the exchange provider and *CHRIMS Inc.* ~~the nonprofit horse racing data base~~:

(1) A daily reconciliation of the amounts settled by the exchange provider and its account holders, including but not limited to:

(A) Race date, event, race number, wagering interests, breed type;

(B) Post time of race, start time of race, finish time of race;

(C) Winning, losing and net wagers;

(D) Wagers by type before race; by winning, losing, and net wagers, odds, amounts, backer, layer;

(E) Wagers by type during race; by winning, losing and net wagers, odds, amounts, backer, layer;

(F) Cancellations of wagers made by customers on betting interest, or interests during one event, if any;

(G) Zip code of each account holder;

(H) Percentage or flat fee paid to source of event;

(I) Percentage or flat fee rebated to each account holder, if any.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2086.9. FINANCIAL AND SECURITY INTEGRITY AUDITS REQUIRED

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Rule 2086.9. Financial and Security Integrity Audits Required.

(a) Ninety One hundred twenty days after the end of each calendar year the exchange provider shall submit to the Board an annual audited financial statement for its California operations.

(b) On a calendar year basis the provider shall undergo the Statement on Standards for Attestation Engagements 16 (SSAE 16) audits:

(1) Service Organization Controls I (SOC I) and;

(2) Service Organization Controls II (SOCII) reports.

(c) The SOC I and SOC II reports shall be submitted to the Board ninety days after the end of the calendar year.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2087. SUSPENDING MARKETS

Regular Board Meeting
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2087. Suspending Markets.

(a) An exchange provider may suspend a market at any time, including after the race is declared official but before winning wagers are credited, if the provider has reason to suspect that fraud or any other action or inaction by any *natural* person connected with the race raises questions about the integrity and fairness of the market.

(b) The provider shall immediately notify the Board by means of electronic mail any time a market is so suspended. Upon settlement of the market the provider shall submit to the Board a written account of the suspension that at a minimum provides the following information:

- (1) The market suspended;
- (2) The date and time of the suspension;
- (3) The reason for suspending the market;
- (4) The results of the investigation;
- (5) How the market was settled.

(c) Upon the completion of an investigation, the exchange provider shall settle the market as appropriate.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5(k),
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2087.6. CANCELLATION OF MATCHED WAGERS

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2087.6. Cancellation of Matched Wagers.

(a) An exchange provider may cancel or void a matched wager or part of a matched wager if required by law or where, in its sole discretion, it determines:

(1) there is a technological failure and the market must be voided; or

(2) there is good cause to suspect that a *natural* person placing a wager through the exchange has breached any term of the *natural* person's agreement with the exchange provider;

(3) it is in the interest of maintaining integrity and fairness in a particular market; or

(4) human error by the exchange wagering provider in recording an exchange wager.

(b) If a matched wager is canceled or voided due to situations described in subparagraphs (a)(1), (a)(2) and (a)(3) of this ~~regulation~~ section, the exchange provider shall notify the Board in writing of its actions and the circumstances that resulted in such action.

(c) An account holder who believes a payout was ~~not made inappropriately disrupted~~ due to the cancellation of a matched wager may submit a claim to the exchange provider in accordance with Rule 2089 of this article.

Authority: Sections 19420, 19440, 19590 and 19604.5,

Business and Professions Code.

Reference: Sections 19593 and 19604.5(k),
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2088.6. CANCELLATION OF UNMATCHED WAGERS

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2088.6. Cancellation of Unmatched Wagers.

~~An unmatched wager may be cancelled by the exchange provider at any time before it is matched by the provider to form one or more identically opposing wagers.~~

The exchange provider or the account holder who ~~offered the~~ offers an unmatched wager may cancel ~~an~~ that unmatched wager at any time without cause before it is matched to form one or more identically opposing wagers.

Authority: Sections 19420, 19440, 19590 and 19604.5,
 Business and Professions Code.

Reference: Sections 19593 and 19604.5(j),
 Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2089. ERRORS IN PAYMENTS OF EXCHANGE WAGERS

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2089. Errors in Payments of Exchange Wagers.

If an error occurs in the payment of amounts for exchange wagers, the following shall apply:

(a) In the event the error results in an over-payment to the individuals wagering, the exchange provider shall be responsible for such payment account holder, the exchange provider may prohibit the withdrawal of funds equal to the overpayment. The exchange provider shall ~~immediately~~ within one business day notify the account holder of the overpayment and shall be entitled to recover from such account holder the amount of the overpayment.

(1) An account holder who disputes an overpayment may, within 90 calendar days of the date of the overpayment, request in writing that the Board determine the validity of such overpayment.

(b) In the event the error results in an under-payment:

(1) Within one business day ~~The~~ the exchange provider shall notify the account holder of such underpayment.

(12) An account holder must submit a claim for the underpayment within 30 90 calendar days inclusive of the date on which the alleged underpayment occurred. The exchange provider shall investigate such claims and shall pay each claim, or a part thereof, which it determines to be valid, and shall notify the claimant in writing if his claim is rejected as invalid.

(23) Any claim not filed with the exchange provider within 30 90 calendar days inclusive of the date on which the alleged under-payment occurred shall be deemed waived and the exchange provider shall have no further liability therefore.

(34) Any natural person whose claim is rejected by the exchange provider may, within 15 45 calendar days from the date he received the notice of rejection, request in writing that the Board determine the validity of the claim. Failure to file such request with the Board within the said time shall constitute a waiver of the claim.

(45) A hearing shall be held on each such rejected claim timely filed with the Board. The Board shall give notice of such hearing to the claimant and the exchange provider. The Board may determine a claim to be valid, in whole or in part, and thereafter order the exchange provider to pay to the claimant the amount of the claim determined to be valid, or may deny the claim ~~in~~ whole or in part. Any such determination shall be final and binding on all parties.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2089.5. REQUIREMENTS TO ESTABLISH AN EXCHANGE WAGERING
ACCOUNT

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2089.5. Requirements to Establish an Exchange Wagering Account.

(a) An exchange wagering account is necessary to place exchange wagers. Exchange wagering accounts may be established by residents of California. Residents of another jurisdiction state may establish exchange wagering accounts provided it is not unlawful under United States federal law or the law of that jurisdiction state to place an exchange wager provided, however, that these rules shall apply only to wagering on California races. An account may be established in person, by mail, telephone, or other electronic media including but not limited to the Internet. Only natural persons may open an account. An account shall not be assignable or otherwise transferable.

(b) The information required to establish an account shall include:

(1) The prospective account holder's full legal name.

(2) The principal residence address of the prospective account holder. Such address shall be deemed the address of record for mailing checks, withdrawals, statements, if any, of the

account, notices, or other correspondence or materials. It is the responsibility of the account holder to notify the exchange provider of any address change.

(3) Telephone number.

(4) Social Security Number or Individual Tax Identification Number.

(5) Certification or other proof that the applicant is at least 18 years of age.

(c) An exchange provider shall employ electronic verification with respect to each prospective account holder's name, principal residence address, date of birth and social security number at the time of the account establishment by a Board-approved national, independent, individual reference company or another independent technology approved by the Board which meets or exceeds the reliability, security, accuracy, privacy and timeliness provided by individual reference service companies.

(d) An exchange provider may refuse to establish an account, or may cancel or suspend a previously established account, without notice, if it is found finds that any information supplied by the prospective account holder is untrue or incomplete, or for any other reason as determined by the exchange provider.

(1) If the exchange provider cancels a previously established account, within five business days it shall return to the account holder at the address of record any funds held in the account.

(e) If an exchange provider or an affiliate of such provider is also licensed by the Board to conduct advance deposit wagering, the exchange provider may offer holders of existing advance deposit wagering accounts held with such exchange provider or such affiliate a convenient method of establishing an exchange wagering account by verifying information on file for the existing advance deposit wagering account.

(f) The exchange provider shall have the right to suspend or close any account at its discretion.

(g) The account applicant shall supply the exchange provider with a means of personal identification to be used by the account holder to access his account. Exchange wagering accounts are for the use only of the account holder and the account holder is responsible to maintain the secrecy of the account number and means of personal identification. The account holder must immediately notify the exchange provider of any breach of security for the account.

(h) Each account shall have a unique identifying account number. The exchange provider shall inform the account holder of the assigned account number and provide a copy of the exchange provider's exchange wagering procedures, terms, and conditions, as well as any information that pertains to the operation of the account.

(i) Each exchange provider shall, at all times, comply with Internal Revenue Service (IRS) requirements for reporting and withholding proceeds from exchange wagers by account holders and shall, following the credit to an account for a winning exchange wager, send to any account holder who is subject to IRS reporting or withholding a Form W2-G summarizing the information for tax purposes. Upon written request by an account holder, the exchange provider shall provide the account holder with summarized tax information on exchange wagering activities.

(j) All wagering conversations, transactions or other wagering communications through the exchange wagering system, verbal or electronic, shall be recorded by means of electronic media, and the tapes or other records of such communications shall be kept by the entity for at least 180 days, unless otherwise directed by the Board for purposes including but not limited to

conducting an investigation, an audit or an ongoing wagering inquiry. These tapes and other records shall be made available to the Board upon request or order by the Executive Director.

(k) Upon request of the account holder the exchange provider shall provide a statement detailing account activity for the immediate 30 days prior to the request. Unless the exchange provider receives written notice disputing the statement within 14 calendar days of the date a statement is forwarded, it shall be deemed to be correct.

(l) No employee or agent of the exchange provider shall divulge any confidential information related to the placing of any exchange wager or any confidential information related to the operation of the exchange wagering system without the consent of the account holder, except to the account holder as required by this article, the Board, and as otherwise required by state or federal law.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2091.5. SUSPENDING AN EXCHANGE WAGERING ACCOUNT

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2091.5. Suspending an Exchange Wagering Account.

(a) An exchange provider may suspend any exchange wagering account if the provider has reason to believe that the account holder may have committed acts of fraud in connection with exchange wagering or any other action or inaction which threatens the integrity or fairness of any exchange wagering, or is otherwise ineligible to hold the account.

(b) The exchange provider shall immediately notify the Board by electronic mail if it suspends an account due to fraud in connection with exchange wagering or any other action or inaction which threatens the integrity or fairness of any exchange wagering. The provider shall also submit to the Board a written account of the suspension that at minimum states:

- (1) The name of the *natural* person whose account was suspended;
- (2) The date and time of the suspension;
- (3) The reason for suspending the account;
- (4) The results of any investigation associated with the suspension of the account.

(c) Funds in a suspended account shall be maintained by the exchange provider until such time as any investigation associated with the suspension is complete and/or the account is no longer suspended.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2092.5. PROHIBITIONS ON WAGERS TO LAY A HORSE TO LOSE

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2092.5. Prohibitions on Wagers to Lay a Horse to Lose.

(a) No exchange wager that lays a horse to lose may be made by a *natural* person who owns such horse in whole or in part, nor shall an exchange wager to lay the horse to lose be made by:

- (1) The trainer, ~~or assistant trainer or substitute trainer~~ who trains the horse;
- (2) The authorized agent who represents the owner of the horse;
- (3) The jockey or driver who rides or drives the horse;
- (4) The jockey agent who represents the jockey who rides the horse;
- (5) The valet who attends the jockey; ~~or~~
- (6) Any stable employee of the trainer who trains the horse;
- (7) The veterinarian or any assistant to the veterinarian providing services to the trainer who trains the horse.

(b) Nor shall any of the *natural* persons named in subsection (a) through (a)(7) of this regulation instruct another *natural* person to lay a horse to lose on their behalf, or receive the whole or any part of any proceeds of such a lay.

(c) No exchange wagering account shall be used to lay a horse to lose, except by the account holder. All wagers that lay a horse to lose will be presumed to be effected by, and for the benefit of, the account holder.

(d) Nothing herein is intended to otherwise alter the applicability or application of Rule 1970.

(e) Individuals prohibited from wagering under Rule 1969 Shall be prohibited from placing an exchange wager while on duty at a race meeting or off-track wagering facility, including an out-of-state-Hub.

Authority: Sections 19420, 19440, 19590 and 19604.5,
Business and Professions Code.

Reference: Sections 19593 and 19604.5(e)(3)(A),
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 PROPOSED ADDITION OF
ARTICLE 27. EXCHANGE WAGERING
RULE 2092.6. SUSPENSION OF OCCUPATIONAL LICENSE

Regular Board Meeting
 May 23, 2013

Single Underlined text represents the original language noticed to the public from May 11, 2012 to June 25, 2012.

Deletions to the original noticed text appear as ~~Double-Strikethrough~~ and new and revised text appears as Double Underlined as noticed October 8, 2012 through October 22, 2012 and adopted at the November 15, 2012, regulatory hearing.

Deletions to the adopted text appear as ~~Italicized Double-Strikethrough~~ and new and revised text appear as Italicized with Bolded Underlined.

2092.6. Suspension of Occupational License.

(a) The Board of Stewards may suspend the occupational license of any *natural* person if, after a preliminary hearing, it determines there is probable cause to believe that such *natural* person may have has committed acts of fraud in connection with exchange wagering or any other action or inaction which threatens the integrity or fairness of any exchange wagering.

(b) Such suspension of license shall be for a period of time designated by the Board of Stewards, unless otherwise determined by the Board, provided that any such suspension under this section shall be limited to ten days and, if probable cause that a violation has occurred has been found, a hearing under Business and Professions Code section 19461 to determine whether a licensee has committed a violation of the rules must be held before any further discipline may be imposed.

(c) The licensee may make an appeal to the Board by complying with the provisions of Rule 1761 of this division.

Authority: Sections 19420, 19440, 19460, 19461, 19590 and 19604.5, Business and Professions Code.

Reference: Sections 19461, 19593 and 19604.5,
Business and Professions Code.

STAFF ANALYSIS
May 23, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 17, 2013 THROUGH SEPTEMBER 4, 2013.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

- July 17 through September 4, 2013, or 37 days, the same as 2012. The association proposes to race a total of 323 races, or 8.73 races per day. In 2012 they raced 8.86 races per day with an average of 8.66 runners per race. In 2012 they were allocated to race 37 days the (actual) average daily purse for the meet was \$644,527. The (estimated) average daily purse for this meet is \$644,758.
- The race dates proposed are the dates allocated by the Board.

July - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

August - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

September - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing 5 days per week, Wednesday through Sunday, with 8 races per day Wednesday, Thursdays and Friday, 9 races per day Sunday, 10 races per day Saturdays, Opening Day, Pacific Classic Day, August 25, 2013, Labor Day and Closing Day.
- Racing concurrently with the dates allocated to Santa Rosa, Ferndale and Pacific Racing Association.
- First post will be 2:00 p.m. daily.
 - 2:00 p.m. Wednesdays, Thursdays, Saturdays, and Sundays.
 - 4:00 p.m. Friday,
 - 1:00 p.m. Sunday, August 25
- Request Dianne Piper be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will start at 9:00 a.m.
 - \$.50 Pick 4 will be offered on the last four races daily.
 - \$2 Daily Double will be offered on all daily races – with the exception of the last race.
 - \$.50 Pick (n) Pool (Pick 5) wager will begin with the first race each day and offer a 14% takeout.

- Del Mar Thoroughbred Club, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, XpressBet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar's season).

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- At the April 2013 Board meeting Del Mar Thoroughbred Club was granted a waiver of Rule 1472, Rail Construction and Track Specifications, subsections (b), (c) and (e). The waiver allows for the installation of a Mawsafe rail system at the Del Mar turf course. The Mawsafe rail system is composed of high impact strength, high UV resistant poly vinyl chloride post and rail components. The new rail system will be used for the 2013 race meeting.

Specific information **still needed** to complete this application includes:

1. Fire clearance

RECOMMENDATION

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

Del Mar Thoroughbred Club
P.O. Box 700, Del Mar, CA 92014
Phone: 858-755-1141
Fax: 858-794-1007
Josh Rubinstein: josh@dmtd.com

B. Breed of horse: TB QH H

C. Racetrack name: **Del Mar Thoroughbred Club (DMTC)**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

On File

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **July 17 through September 04, 2013**

B. Actual dates racing will be held: **July 17 through September 04, 2013, everyday except Mondays (other than Labor Day) and Tuesdays. Dark 7/22-23, 7/29-30, 8/5-6, 8/12-13, 8/19-20, 8/26-8/27, and 9/3.**

CHRB CERTIFICATION

Application received: 4/18/13
Deposit received: 10K
Reviewed: [Signature]

Hearing date: 5/23/13
Approved date:
License number:

- C. Total number of days or nights of racing: **37 days**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week:
5 (except week 7 with 6 days)

3. RACING PROGRAM

- A. Total number of races: **323**
- B. Number of races for each day or night:
8 – Wednesdays, Thursdays, Fridays
9 – Sundays
10 – Opening Day, Saturdays, Pacific Classic Day (Sunday, August 25, 2013), Labor Day, Closing Day
- C. Total number of stakes races: **43 (33 stakes and 10 overnight stakes)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Exhibit 1
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program: **Exhibit 2**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.
Full Disclosure Statement already on file with CHRB
- C. CORPORATION
1. Registered name of the corporation: **Del Mar Thoroughbred Club**
 2. State where incorporated: **California**
 3. Registry or file number for the corporation: **559096**

Exhibit 1 - 2013 Del Mar Stakes Schedule

Closing Date	Running Date	#	Event	Distance	2013 Value
Thu. Jul 11, 2013	Wed. Jul 17, 2013	1	OCEANSIDE STAKES Three-year-olds, N/W S/S of \$50,000 in 2013	One Mile (Turf)	\$100,000 Added
Thu. Jul 11, 2013	Fri. Jul 19, 2013	2	CTBA STAKES Fillies, two years old, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Thu. Jul 11, 2013	Sat. Jul 20, 2013	3	EDDIE READ STAKES (Gr. I) Three-year-olds and upward	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Jul 11, 2013	Sun. Jul 21, 2013	4	CALIFORNIA DREAMIN' HANDICAP Three-year-olds and upward, bred in CA	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Jul 11, 2013	Sun. Jul 21, 2013	5	SAN CLEMENTE HANDICAP (Gr. II) Fillies, three years old	One Mile (Turf)	\$150,000 Guaranteed
Thu. Jul 18, 2013	Fri. Jul 26, 2013	6	COUGAR II HANDICAP (Gr. III) Three-year-olds and upward	1 1/2 Miles	\$100,000 Guaranteed
Thu. Jul 18, 2013	Sat. Jul 27, 2013	7	FLEET TREAT STAKES Fillies, three years old, bred in CA	Seven Furlongs	\$200,000 Guaranteed
Thu. Jul 18, 2013	Sat. Jul 27, 2013	8	SAN DIEGO HANDICAP (Gr. II) Three-year-olds and upward	1 1/16 Miles	\$200,000 Guaranteed
Thu. Jul 18, 2013	Sun. Jul 28, 2013	9	BING CROSBY STAKES (Gr. I) Three-year-olds and upward	Six Furlongs	\$300,000 Guaranteed
Thu. Jul 25, 2013	Wed. Jul 31, 2013	10	GRADUATION STAKES Two-year-olds, bred in CA	5 1/2 Furlongs	\$100,000 Guaranteed
Thu. Jul 25, 2013	Fri. Aug 02, 2013	11	REAL GOOD DEAL STAKES Three-year-olds, bred in CA	Seven Furlongs	\$200,000 Guaranteed
Thu. Jul 25, 2013	Sat. Aug 03, 2013	12	CLEMENT L. HIRSCH STAKES (Gr. I) Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000 Guaranteed
Thu. Jul 25, 2013	Sun. Aug 04, 2013	13	BEST PAL STAKES (Gr. II) Two-year-olds	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug 01, 2013	Wed. Aug 07, 2013	14	SORRENTO STAKES (Gr. II) Fillies, two years old	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug 01, 2013	Sat. Aug 10, 2013	15	LA JOLLA HANDICAP (Gr. II) Three-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Aug 01, 2013	Sun. Aug 11, 2013	16	JOHN C. MABEE STAKES (Gr. II) Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$250,000 Guaranteed
Thu. Aug 08, 2013	Sat. Aug 17, 2013	17	DEL MAR OAKS (Gr. I) Fillies, three years old	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Aug 08, 2013	Sun. Aug 18, 2013	18	RANCHO BERNARDO HANDICAP (Gr. III) Fillies and mares, three years old and upward	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug 08, 2013	Sun. Aug 18, 2013	19	SOLANA BEACH HANDICAP Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$150,000 Guaranteed
Thu. Aug 15, 2013	Sat. Aug 24, 2013	20	DEL MAR HANDICAP (Gr. II) Three-year-olds and upward	1 3/8 Miles (Turf)	\$200,000 Guaranteed
Thu. Aug 15, 2013	Sun. Aug 25, 2013	21	PAT O'BRIEN STAKES (Gr. II) Three-year-olds and upward	Seven Furlongs	\$250,000 Guaranteed
Thu. Aug 15, 2013	Sun. Aug 25, 2013	22	DEL MAR MILE (Gr. II) Three-year-olds and upward	One Mile (Turf)	\$200,000 Guaranteed
Thu. Aug 15, 2013	Sun. Aug 25, 2013	23	TVG PACIFIC CLASSIC (Gr. I) Three-year-olds and upward	1 1/4 Miles	\$1,000,000 Guaranteed
Thu. Aug 22, 2013	Wed. Aug 28, 2013	24	GENEROUS PORTION STAKES Fillies, two years old, bred in CA	Six Furlongs	\$150,000 Guaranteed
Thu. Aug 22, 2013	Fri. Aug 30, 2013	25	EL CAJON STAKES Three-year-olds	One Mile	\$100,000 Guaranteed
Fri. Jun 07, 2013	Sat. Aug 31, 2013	26	DEL MAR DEBUTANTE (Gr. I) Fillies, two years old	Seven Furlongs	\$300,000 Guaranteed
Thu. Aug 22, 2013	Sun. Sep 01, 2013	27	TORREY PINES STAKES Fillies, three years old	One Mile	\$100,000 Guaranteed
Thu. Aug 22, 2013	Sun. Sep 01, 2013	28	DEL MAR DERBY (Gr. II) Three-year-olds	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Aug 22, 2013	Mon. Sep 02, 2013	29	I'M SMOKIN STAKES Two-year-olds, bred in CA	Six Furlongs	\$150,000 Guaranteed
Thu. Aug 22, 2013	Mon. Sep 02, 2013	30	OAK TREE JUVENILE FILLES TURF Fillies, two years old	One Mile (Turf)	\$100,000 Guaranteed
Thu. Aug 22, 2013	Mon. Sep 02, 2013	31	YELLOW RIBBON HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Aug 29, 2013	Wed. Sep 04, 2013	32	OAK TREE JUVENILE TURF Two-year-olds	One Mile (Turf)	\$100,000 Guaranteed
Fri. Jun 07, 2013	Wed. Sep 04, 2013	33	DEL MAR FUTURITY (Gr. I) Two-year-olds	Seven Furlongs	\$300,000 Guaranteed

Exhibit 1 Continued - 2013 Del Mar Stakes Schedule

Running Date	#	Event	2013 Value
Sat. Jul 20, 2013	Overnight Stakes	Osunitas Stakes	\$90,000 Added
Wed. Jul 24, 2013	Overnight Stakes	Wickerr Stakes	\$90,000 Added
Fri. Aug 09, 2013	Overnight Stakes	Daisycutter Handicap	\$90,000 Added
Wed. Aug 14, 2013	Overnight Stakes	Green Flash Handicap	\$90,000 Added
Fri. Aug 16, 2013	Overnight Stakes	Sandy Blue Handicap	\$90,000 Added
Fri. Aug 23, 2013	Overnight Stakes	CTT and Thoroughbred Owners of California Handicap	\$90,000 Added
Sat. Aug 31, 2013	Overnight Stakes	Harry F. Brubaker Stakes	\$90,000 Added
Sun. Sep 01, 2013	Overnight Stakes	Adoration Stakes	\$90,000 Added
Wed. Sep 04, 2013	Overnight Stakes	C.E.R.F. Stakes	\$90,000 Added
Wed. Sep 04, 2013	Overnight Stakes	Pirate's Bounty Stakes	\$90,000 Added

\$900,000

EXHIBIT 2

POST TIMES FOR PROPOSED DAILY RACING PROGRAM

Race	Regular (Wed, Thurs, Sat & Sun)	Fridays	Sunday, 8/25
1 st	2:00 p.m.	4:00 p.m.	1:00 p.m.
2 nd	2:30 p.m.	4:30 p.m.	1:30 p.m.
3 rd	3:00 p.m.	5:00 p.m.	2:00 p.m.
4 th	3:30 p.m.	5:30 p.m.	2:30 p.m.
5 th	4:00 p.m.	6:00 p.m.	3:00 p.m.
6 th	4:30 p.m.	6:30 p.m.	3:40 p.m.
7 th	5:00 p.m.	7:00 p.m.	4:10 p.m.
8 th	5:30 p.m.	7:30 p.m.	4:40 p.m.
9 th *	6:00 p.m.		5:10 p.m.
10 th *	6:30p.m.		5:45p.m.

* On certain days to be determined.

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

Robert S. Strauss	Chairman of the Board	1 Share
Joseph W. Harper	Director, President, General Manager & CEO	1 "
David H. Batchelder	Director	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Jon S. Kelly	Director	1 "
Warner Lusardi	Director	1 "
Marie G. Moretti	Director	1 "
Martin J. Wygod	Director	1 "
Michael R. Ernst	Executive VP, Finance and Admin./CFO	0
Thomas S. Robbins	Executive VP, Racing & Industry Relations	0
Craig A. Dado	Executive VP, Chief Marketing Officer	0
Josh Rubinstein	Executive VP, Chief Operating Officer	0
Lynn Wright	VP and Controller	0
Robert Sanchez	VP, Plant	0
Tim Read	VP, Operations and Collective Bargaining	0
Ann Hall	VP, Human Resources / Administration	0

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
None

6. Number of outstanding shares in the corporation: **9**

7. Are the shares listed for public trading? Yes No

If yes, on what exchange and how is the stock listed:

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Michael R. Ernst**

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **None**

- A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.

If yes, answer questions 10-17. NA Yes No

10. Registered name of the corporation: **NA**

11. State where incorporated: **NA**

12. Registry or file number for the corporation: **NA**

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **NA**

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **NA**

15. Number of outstanding shares in the corporation: **NA**

16. Are the shares listed for public trading? **NA** Yes No

If yes, on what exchange and how is the stock listed: **NA**

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **NA**

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file.**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Michael R. Ernst	Executive VP, Finance and Administration/CFO
Thomas S. Robbins	Executive VP, Racing & Industry Relations
Craig A. Dado	Executive VP, Chief Marketing Officer
Josh Rubinstein	Executive VP, Chief Operating Officer
Timothy A. Read	Vice President, Operations & Collective Bargaining
Ann Hall	Vice President, Human Resources & Administration
Mac McBride	Director of Media
Daniel G. Smith	Senior Media Supervisor
Lynn Wright	Vice President, Controller
Jacquelyn King	Director of Group Sales
Paul Porter	Director of Simulcasting and Racetrack Services
Mary Shepardson	Director of Publications
Walker McBride	Director of Sponsorship and Digital Media
William D. Navarro	Director of Parimutuels
Nancy Bonforte	Director, Turf Club
Robert Sanchez	Vice President, Plant
Kim Jacobson	Director of Risk Management
Trevor Denman	Public Address Announcer
Rich Tedesco	Track Superintendent
Leif Dickinson	Turf Course / Grounds Superintendent
Chris Bahr	Director of Events and Promotions
Greg Baugh	Customer Service Manager

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Joseph W. Harper – President, General Manager & Chief Executive Officer

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **.50¢PNP / Players Pick Five wager**
 Proposed percentage: **14% takeout**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Exhibit 3**



April 15, 2013

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code section 19601.01, Del Mar Thoroughbred Club ("DMTC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout as set forth below to be offered during DMTC's 2013 season, July 17 – September 4:

- **Players Pick-5** – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.

For reference, the Players Pick-5 is noted on DMTC's license application in Section 9A.

Please contact me directly if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Josh Rubinstein", is written over a faint circular stamp.

Josh Rubinstein
Chief Operating Officer

c.c. Joe Harper
Mike Ernst
Mike Pegram, TOC



April 10, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
GEORGE KRIKORIAN
SECRETARY/TREASURER
ED MOGER
AT LARGE
JOHN SADLER
AT LARGE

DIRECTORS

MADELINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner,

The Del Mar Thoroughbred Club has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming 2013 race meet. The TOC agrees to such a wager based upon the same terms and conditions as it was offered last season.

Please feel free to contact me with any questions.

Sincerely,

Mike Pegram
Chairman

cc: Joe Harper
Josh Rubenstein
Mike Ernst

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012	458,684,241	922,299
2011	430,278,585	943,671
2010	448,932,160	968,299
2009	482,479,925	963,167
2008	559,253,943	1,059,097

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- All races other than stakes:
 - Current meet estimate: 15,565,488
 - Prior meet actual: 15,492,187

 - Average Daily Purse (7 A1 ÷ number of days):
 - Current meet estimate: 420,689
 - Prior meet actual: 418,708

- Overnight stakes:
 - Current meet estimate: 900,000
 - Prior meet actual: 850,000

 - Average Daily Purse (7 A2 ÷ number of days):
 - Current meet estimate: 24,324
 - Prior meet actual: 22,973

- Non-overnight stakes:
 - Current meet estimate: 5,500,000
 - Prior meet actual: 5,305,400

 - Average Daily Purse (7 A3 ÷ number of days):
 - Current meet estimate: 148,649
 - Prior meet actual: 143,389

- Total Purses: (7A1+7A2+ 7A3)
 - Current meet estimate: 21,965,488
 - Prior meet actual: 21,647,587

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **18.75%**
 Prior meet actual: **17.86%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **0.51%**
 Prior meet actual: **0.48%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **1,697,235**
 Prior meet actual: **1,695,119**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

	Current meet estimate:	Prior meet actual:
TOC Administration	185,417	186,764
CTT Administration	185,417	186,764
CTBL Workers' Comp	185,417	-
CTT Backstretch Pension	92,708	93,382
NTRA Dues	<u>40,452</u>	<u>37,889</u>
Total	689,411	504,800

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **23,856,036**
 Prior meet actual: **23,847,506**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **644,758**
 Prior meet actual: **644,527**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **15,135,310**
 Prior meet actual: **15,463,328**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **409,062**
 Prior meet actual: **417,928**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **7,316,702**
 Prior meet actual: **7,172,669**

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: **197,749**
 Prior meet actual: **193,856**

H. Bank and account number for the Paymaster of Purses' purse account:
Bank of America, 450 B Street Suite 1500, San Diego CA 92101, Account # On file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **2,100**
- B. Minimum number of stalls believed necessary for the meeting: **2,100**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,500**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Santa Anita Park - 1,500**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Agreement is on file with CHRB

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **2,100**

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$817,612
\$11.60 cost per day per stall

- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Estimated vanning cost: \$170,660

Vanning per horse (one way):

Santa Anita	\$160
Hollywood Park	\$160
Pomona	\$160
Los Alamitos	\$160

9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; RCI #VE
Race #1	\$2Q, \$1E, \$1PK3, \$2DD ² , \$1 PPN, \$2PARLAY, \$1TRI, .10¢SF, .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1957, 1976.8, 1954.1, 1979, 1979.1, 1976.9
Race #2	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #3	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2P(n)P (Pick 6), \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1976.9
Race #5	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹ , .50¢PNP (Pick 5) ³	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1978, 1976.9
Race #6	\$2Q, \$1E, \$1PK3, .10¢SF, \$2PARLAY, \$1TRI, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1977, 1979.1, 1954.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, \$2PARLAY, \$1TRI, .10¢SF, \$2DD ² , .50¢PK4 ¹	CHRB#1958, 1959, 1954.1, 1979, 1979.1, 1957, 1978
Race #8	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD ² ,	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978, ARCI 004-015 Pick(n) Position(x)

	.50¢PK4¹, \$1 SUPER HIGH FIVE	Pool(s)
Race #9*	\$2Q, \$1E, \$1TRI, .10¢SF, \$2DD², .50¢PK4¹	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #10*	\$2Q, \$1E, \$1TRI, .10¢SF, .50¢PK4¹, \$2DD²	CHRB#1958, 1959, 1979, 1979.1, 1978, 1957

¹ .50¢SPK4 offered in the last four races daily.

² \$2DD offered every race daily, except the last race.

³ .50¢PNP (Pick 5) This Players Pick Five wager will begin with the first race each day and offer a 14% takeout.

* Days with 9 or 10 races, the types of wagers offered based on this schedule will be rolled forward or backward accordingly.

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **None**

C. List any options requested with regard to exotic wagering: **None**

D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
9:00 a.m. July 17 through September 04, 2013, everyday except Tuesdays

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Totalisator Central System

Sportech Racing, LLC Quantum Data Center located in Sacramento, California

Sportech Racing representative: Terry McWilliams

Contract expiration date: April 2015

Terminals:

**BetJet RT2s (teller operated/self service conversion) BetJet SLs (dedicated self service)
 25 SLs equipped with *BetFund PIN pads**

IBM PC account wagering terminals

**HandStan personal account wagering terminals WalkaBout teller operated portable
 wagering terminals**

***Bet-Fund is a service available through Sportech Racing that allows patrons to fund their
 wagering account or to fund a cash wagering session using their debit card. The service is
 available on a restricted basis through specific devices and is available only using debit
 cards (card-present / PIN-based transactions only). Credit cards are automatically
 rejected. The Bet Fund service is comprised of the following components:**

- **A BetJet self-service terminal**

- **A banking network-certified PIN pad device with encryption technology that has been ‘injected’ into the PIN pad hardware by a third party vendor under the supervision of Chase Paymentech. The PIN pad device is installed on the BetJetself-service terminal**
- **Bet-Fund terminal software, which provides the user interface on the BetJet terminal**
- **An integration of Quantum System software with the banking network through Chase Paymentech, the payment processor**

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
DMTC, in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following national Advance Deposit Wagering (ADW) companies: TVG, Xpress-Bet.com and Twin Spires.com for Internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Del Mar content and via Southern California customers (during Del Mar’s season).

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes No

If yes, attach a copy of the approval. **Exhibit 4**
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering, Inc. “SCOTWINC”
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
SCOTWINC Agreement is on file with CHRB
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 5
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 6
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of

Exhibit 4

April 5, 2013



Mr. Tom Varela
 Monarch Content Management
 Fax: (626) 821-1520
 Email: tvarela@monarchmanagement.com

RE: 2013 Del Mar Thoroughbred Club Race Meeting Interstate Simulcast Approvals

Dear Tom,

This letter shall serve as TOC's authorization for the Del Mar Thoroughbred Club ("DMTC") to transmit the audiovisual signal of DMTC Thoroughbred races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided by Monarch Content Management ("Monarch") on behalf of DMTC, during the DMTC racing season commencing July 17, 2013, continuing through September 4, 2013.

As a condition of TOC's authorization of the acceptance of wagers on DMTC races by Guests which engage in phone and/or Internet wagering, herein called "ADW," DMTC shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both DMTC and Guest to facilitate the separation of ADW and non-ADW data in reports used by DMTC and/or CHRIMS to account for and settle balances between DMTC and Guest.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified herein or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, DMTC shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with DMTC to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,

Mike Pegram
 Chairman

OFFICERS

MIKE PEGRAM
 CHAIR
 PABLO SUAREZ
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 GEORGE KRIKORIAN
 SECRETARY/TREASURER
 ED MOGER
 AT LARGE
 JOHN SADLER
 AT LARGE

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 CHIEF FINANCIAL OFFICER
 MARY FORNEY
 DIRECTOR OF OPERATIONS
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF
 OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

Exhibit 5**Satellites**

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Barona Resort & Casino, Lakeside
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park, Inglewood
Humboldt County Fair, Ferndale
Kern County Fair, Bakersfield
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion The Farmers Fair, Lake Perris
Stanislaus County Fair, Turlock
Sycuan Resort & Casino, El Cajon
The Jockey Club, San Mateo
Tulare County Fair, Tulare
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

Exhibit 6

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantic City Race Course, New Jersey
 Atlantic Resort and Casino, Bahamas
 Atokad Park, South Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Belmont Gaming, New Hampshire
 Bet Pad, LLC. Ohio
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Blue Ribbon Downs, Oklahoma
 Bluff Runs Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Calder Race Course, Florida
 Caliente, Mexico
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Caymanas Race Track, Jamaica
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Columbus Races, Nebraska
 Connaught Park, Canada
 Connecticut OTB, Connecticut
 Corpus Cristi Greyhound, Texas
 Cypress Bayou, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St. Thomas, St. Thomas
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Greentrack, Alabama
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Hanover Raceway, Canada
 Harrington Raceway & Simulcast, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipodromo Camarero, Puerto Rico
 Hipodromo Presidente Remon, Panama
 Ho-Chuck Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lebanon Trotting Club, Ohio
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria

Exhibit 6

Manor Downs, Texas
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Millennium Racing, Trinidad
 Millers OTB, Maine
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Northwest Racing Associates, Washington
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela Gold, South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Pinnacle Race Course, Michigan
 Plainfield Greyhound Park, Connecticut
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Queensbury Downs, Saskatchewan
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Racing World UK, England
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Roberts Television International, NV
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Royal River Racing, South Dakota
 Royal Britannia Hub, Canada
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, West Virginia
 Saginaw Harness Raceway, MI
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Raceway, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Simulcast Services, Texas
 Skydancer Casino, Oklahoma
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Stampede Park, Alberta
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 The Racing Channel, Pennsylvania
 The Stables, Oklahoma
 Thistledown Racing Club, Ohio
 Thunder Ridge, Kentucky
 Tioga Downs, New York
 Tote Investment Services, Trinidad

Exhibit 6

Tri-State Greyhound, West Virginia
Trois Rivieres, Canada
Truro Raceway, Canada
Turf de Venezuela, Venezuela
TVG, Los Angeles
TVG Yonkers, New York
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Vernon Downs, New York
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wonderland Park, Massachusetts
Wyoming OTB, Wyoming
XpressBet, Pennsylvania
Yavapai Downs, Arizona
Yonkers Raceway, New York
YouBet Illinois, Illinois
Zia Park, New Mexico

the association:

Exhibit 7

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 8

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **Exhibit 9**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **N/A**

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

9/1/13	Ruidoso	All American Derby	I	\$1,200,000	440 Yards
9/2/13	Ruidoso	All American Futurity	I	\$2,400,000	440 Yards

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United

Exhibit 7

AmWest Entertainment, KY	Euro Offtrack, Isle of Man
Arapahoe Park, Colorado	Evangeline Downs, Louisiana
Arima Race Course, Trinidad	Evansville OTB, Indiana
Arlington International Race Course, Illinois	Fair Chance Racing Services, Trinidad
Assiniboia Downs, Canada	Fairgrounds Racecourse, Louisiana
Atlantic City Casino Assn., New Jersey	Fair Meadows, Texas
Atlantic City Race Course, New Jersey	Fairmount Park, Illinois
Atlantic Resort and Casino, Bahamas	Finger Lakes Race Track, New York
Atokad Park, South Dakota	Flamboro Downs, Ontario
Balmoral Park, Illinois	Fonner Park, Nebraska
Bangor Raceway, Maine	Fort Erie Racetrack, Canada
Barrie Raceway, Ontario	Foxwoods Casino (LVDC), Connecticut
Belmont Gaming, New Hampshire	Fraser Downs, British Columbia
Bet Pad, LLC. Ohio	Freehold Raceway, New Jersey
Beulah Park, Ohio	Gillespie County Downs, Texas
Birmingham Race Course, Alabama	Greentrack, Alabama
Blue Ribbon Downs, Oklahoma	Gulf Greyhound, Texas
Bluff Runs Greyhound, Idaho	Gulfstream Park, Florida
Bordertown OTB, Oklahoma	Hanover Raceway, Canada
Buffalo Trotting Association, New York	Harrington Raceway & Simulcast, Delaware
Calder Race Course, Florida	Hastings Park, Canada
Canterbury Park, Minnesota	Hawthorne Race Course, Illinois
Capital District OTB, New York	Hazel Park, Michigan
Catskill Regional OTB, New York	Hiawatha Downs, Ontario
Charles Town Races, West Virginia	Hipodromo Camarero, Puerto Rico
Chester Downs - Pennsylvania	Ho-Chuck Casino, Connecticut
Churchill Downs, Kentucky	Hoosier Park, Indiana
Clinton Teletheatre, Canada	Horsemen's Park, Nebraska
Coeur d' Alene Casino, Idaho	Indiana Downs, Indiana
Colonial Downs, Virginia	John Martins Manor, Maine
Columbus Races, Nebraska	Kawartha Downs, Ontario
Connaught Park, Canada	Keeneland, Kentucky
Connecticut OTB, Connecticut	Kentucky Downs, Kentucky
Corpus Cristi Greyhound, Texas	Kentucky OTB, Kentucky
Cypress Bayou, Louisiana	Las Vegas Dissemination Company, Nevada
Divi Carina Bay Casino, Louisiana	Lebanon Trotting Club, Ohio
Delaware Park, Delaware	Lewiston Raceway, Maine
Delta Downs, Louisiana	Lien Games, North Dakota
Dover Downs, Delaware	Lincoln Greyhound Park, Rhode Island
Downs at Albuquerque, New Mexico	Lone Star Race Park, Texas
Ebet Online, Oregon	Louisiana Downs, Louisiana
Elite Turf Club, Curacao	Magna Bet, Austria
Ellis Park, Kentucky	Manor Downs, Texas
Elmira Raceway, Ontario	Marquis Downs, Saskatchewan
Equus St.Thomas, St. Thomas	Maryland Jockey Club, Maryland

Exhibit 7

Maywood Park, Illinois	Remington Park, Oklahoma
Meskwaki Casino (LVDC), Oklahoma	Retama Park, Texas
Millenium Racing, Trinidad	Rideau Carleton Raceway, Canada
Millers OTB, Maine	Riders Up OTB, Oklahoma
Mohegan Sun, Pennsylvania	River Downs, Ohio
Monmouth Park, New Jersey	Roberts Television International, NV
Montana OTB, Montana	Rockingham Park, New Hampshire
Monticello Raceway, NY	Rocky Mountain Turf Club, Canada
Mountaineer Park, West Virginia	Royal Beach Casino, St Kitts
Mt. Pleasant Meadows, Michigan	Royal River Racing, South Dakota
Nassau Regional OTB, New York	Royal Britannia Hub, Canada
Nebraska State Fair Park, Nebraska	Ruidoso Downs, New Mexico
Nevada Pari-Mutuel Association, Nevada	Running Aces Harness Park, West Virginia
NJSEA, New Jersey	Saginaw Harness Raceway, MI
New Jersey Mobile, New Jersey	Sam Houston Park, Texas
New York Racing Association, New York	Sandy Downs, Idaho
Newport Jai-Alai, Rhode Island	Saratoga Raceway, New York
Northfield Park, Ohio	Scarborough Downs, Maine
Northlands Park, Canada	Scioto Downs, Ohio
Northville Downs, Michigan	Seabrook Greyhound Park, NH
Northwest Racing Associates, Washington	Shoreline Star Greyhounds, Connecticut
Oaklawn Park, Arkansas	Simulcast Services, Texas
Ocean Downs, Maryland	Skydancer Casino, Oklahoma
Oneida Bingo and Casino, Oklahoma	Sol Mutuel, St. George's, Grenada
Ontario Teletheatre, Ontario	Southland Greyhound Park, Arkansas
Paragon Casino, Louisiana	Sports Center, Kentucky
Penn National Race Course, Pennsylvania	Stampede Park, Alberta
Philadelphia Park, Pennsylvania	Sudbury Downs, Canada
Phumelela Gold, South Africa	Suffolk District OTB, New York
Pojoaque Casino, Oklahoma	Suffolk Downs, Massachusetts
Potawatomi Casino, Wisconsin	Sunland Park Racetrack, New Mexico
Picov Downs, Canada	Sun Ray Park, New Mexico
Pimlico Race Course, Maryland	Tampa Bay Downs, Florida
Pinnacle Race Course, Michigan	Taunton Dog Track, New Hampshire
Plainfield Greyhound Park, Connecticut	TBC Teletheatre, British Columbia
Pocono Downs, Pennsylvania	The Meadows, Pennsylvania
Portland Meadows, Oregon	The Racing Channel, Pennsylvania
Prairie Meadows, Iowa	The Stables, Oklahoma
Premier Turf Club, North Dakota	Thistledown Racing Club, Ohio
Presque Isle Downs, Pennsylvania	Thunder Ridge, Kentucky
Queensbury Downs, Saskatchewan	Tioga Downs, New York
Raceway Park, Ohio	Tote Investment Services, Trinidad
Racing2Day, North Dakota	Tri-State Greyhound, West Virginia
Racing and Gaming Services, St Kitts	Trois Rivieres, Canada
Racing World UK, England	Truro Raceway, Canada

Exhibit 7

Turf de Venezuela, Venezuela
TVG, Los Angeles
TVG Yonkers, New York
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Vernon Downs, New York
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wonderland Park, Massachusetts
Wyoming OTB, Wyoming
XpressBet, Pennsylvania
Yavapai Downs, Arizona
Yonkers Raceway, New York
YouBet Illinois, Illinois
Zia Park, New Mexico

Exhibit 8**Mini-Satellites**

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 721-2100
Contact: Ralph Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact Craig Goodman

EXHIBIT 9

Date	Host Track	Selected Feature and/or Stakes	GR	Purse	Distance
7/19/13	Saratoga	Schuylerville Stakes	III	\$150,000	6 Furlongs
7/19/13	Saratoga	James Marvin Stakes	III	\$100,000	7 Furlongs
7/20/13	Saratoga	The Coaching Club Oaks	I	\$300,000	1 1/8 Miles
7/20/13	Colonial Downs	The Virginia Derby	II	\$600,000	1 1/4 Miles
7/21/13	Saratoga	Sanford Stakes	II	\$200,000	6 Furlongs
7/22/13	Arlington	Arlington Oaks	III	\$150,000	1 1/8 Miles
7/24/13	Saratoga	Lake George	II	\$200,000	1 1/16 Miles (turf)
7/27/13	Saratoga	The Diana	I	\$600,000	1 1/8 Miles (turf)
7/27/13	Saratoga	The Jim Dandy	II	\$600,000	1 1/8 Miles
7/28/13	Saratoga	The Amsterdam	II	\$200,000	6 1/2 Furlongs
7/28/13	Monmouth Park	Majestic Light Stakes		\$100,000	1 1/16 Miles
7/28/13	Monmouth Park	Jersey Derby Stakes		\$100,000	1 1/16 Miles (turf)
7/28/13	Monmouth Park	Lady's Secret Stakes		\$100,000	1 1/16 Miles
7/28/13	Monmouth Park	Regret Stakes		\$100,000	6 Furlongs
7/28/13	Monmouth Park	The Oceanport Stakes	III	\$200,000	1 1/16 Miles (turf)
7/28/13	Monmouth Park	The Haskell Invitational Handicap	I	\$1,000,000	1 1/8 Miles
8/2/13	Saratoga	Honorable Miss Handicap	II	\$200,000	6 Furlongs
8/3/13	Saratoga	Whitney Handicap	I	\$750,000	1 1/8 Miles
8/3/13	Saratoga	The Prioress	I	\$300,000	6 Furlongs
8/4/13	Saratoga	The Alfred G. Vanderbilt Handicap	I	\$400,000	6 Furlongs
8/7/13	Saratoga	NY Stallion Series Cab Calloway		\$100,000	1 Mile (turf)
8/8/13	Saratoga	NY Stallion Series Statue of Liberty		\$100,000	1 Mile(turf)
8/9/13	Saratoga	National Museum Hall of Fame Hcp	II	\$200,000	1 1/16 Miles (turf)
8/10/13	Saratoga	Fourstardave Handicap	II	\$500,000	1 Mile (turf)
8/10/13	Monmouth Park	Monmouth BC Oaks	III	\$150,000	1 1/16 Miles
8/11/13	Saratoga	The Adirondack	II	\$200,000	6 1/2 Furlongs
8/11/13	Saratoga	Saratoga Special	II	\$200,000	6 1/2 Furlongs

EXHIBIT 9

8/16/13	Saratoga	The Yaddo		\$150,000	1 1/16 Miles (turf)
8/17/13	Saratoga	TVG Alabama Stakes	I	\$600,000	1 1/4 Miles
8/17/13	Saratoga	Sword Dancer Invitational Hcp	I	\$600,000	1 1/2 Miles (turf)
8/17/13	Arlington	Secretariat Stakes	I	\$500,000	1 1/4 Miles (turf)
8/17/13	Arlington	Beverly D. Stakes (BC)	I	\$750,000	1 3/16 Miles (turf)
8/17/13	Arlington	Arlington Million (BC)	I	\$1,000,000	1 1/4 Miles (turf)
8/17/13	Arlington	Arlington St. Leger Stakes	I	\$400,000	1 1/16 Miles (turf)
8/17/13	Monmouth Park	The Phillip H. Iselin Handicap	III	\$250,000	1 1/8 Miles
8/18/13	Saratoga	Woodford Reserve Lake Placid Hcp	II	\$200,000	1 1/8 Miles (turf)
8/18/13	Emerald Downs	Longacres Mile	III	\$200,000	1 Mile
8/21/13	Saratoga	The Albany		\$150,000	1 1/8 Miles
8/23/13	Saratoga	Ballerina Handicap	I	\$500,000	7 Furlongs
8/24/13	Saratoga	Travers Stakes	I	\$1,000,000	1 1/4 Miles
8/24/13	Saratoga	King's Bishop	I	\$500,000	7 Furlongs
8/24/13	Saratoga	The Test	I	\$500,000	7 Furlongs
8/24/13	Saratoga	The Ballston Spa	II	\$250,000	1 1/16 Miles (turf)
8/25/13	Saratoga	Personal Ensign Handicap	I	\$600,000	1 1/4 Miles
8/25/13	Monmouth Park	The Molly Pitcher Stakes	II	\$250,000	1 1/16 Miles
8/29/13	Saratoga	The With Anticipation	II	\$200,000	1 1/16 Miles (turf)
8/31/13	Saratoga	Forego Handicap	I	\$500,000	7 Furlongs
8/31/13	Saratoga	The Woodward	I	\$750,000	1 1/8 Miles
8/31/13	Saratoga	Bernard Baruch	II	\$250,000	1 1/8 Miles (turf)
8/31/13	Arlington	Washington Park Handicap	III	\$150,000	1 1/8 Miles
9/1/13	Monmouth Park	The Cliff Hanger Stakes	III	\$150,000	1 Mile (turf)
9/1/13	Saratoga	The Spinaway	I	\$300,000	7 Furlongs
9/1/13	Saratoga	Saranac Handicap	III	\$150,000	1 1/8 Miles (turf)
9/2/13	Monmouth	Sorority Stakes		\$100,000	6 Furlongs
9/2/13	Saratoga	Glen Falls Handicap	III	\$150,000	1 3/8 Miles (turf)
9/2/13	Saratoga	Hopeful Stakes	II	\$300,000	7 Furlongs
9/2/13	Philadelphia Park	Turf Monster Handicap	III	\$350,000	5 Furlongs (turf)
9/2/13	Philadelphia Park	Smarty Jones Stakes		\$300,000	1 Mile 70 yards

EXHIBIT 9**Full & Partial Cards**

Arlington Park: July 17, 2013 - September 4, 2013
Belmont Park: September 4, 2013
Calder Race Course: July 17, 2013 – September 4, 2013
Colonial Downs: July 17, 2013 – July 27, 2013
Delaware Park: July 17, 2013 – September 4, 2013
Ellis Park: July 17, 2013 - September 4, 2013
Emerald Downs: July 17, 2013 – September 4, 2013
Evangeline Downs: July 17, 2013 – September 4, 2013
Finger Lakes: July 17, 2013 – September 4, 2013
Hoosier Park: July 17, 2013 – September 4, 2013
Louisiana Downs: July 17, 2013 – September 4, 2013
Monmouth Park: July 17, 2013 – September 4, 2013
Mountaineer Park: July 17, 2013 - September 4, 2013
PARX Racing: July 17, 2013 – September 4, 2013
Penn National: July 17, 2013 – September 4, 2013
Prairie Meadows: July 17, 2013 – September 4, 2013
Presque Isle Downs: July 17, 2013 – September 4, 2013
Saratoga Race Course: July 17, 2013 – September 4, 2013
Suffolk Downs: July 17, 2013 – September 4, 2013
Turfway Park: September 4, 2013

Woodbine: July 17, 2013 – September 4, 2013
Assiniboia Downs: July 17, 2013 – September 4, 2013
Fort Erie: July 17, 2013 – September 4, 2013
Hastings Park: July 18, 2012– September 4, 2013
Northlands Park: July 17, 2013 – September 4, 2013
LARC (South America) via Maryland Hub: July 17, 2013 – September 4, 2013
LARC (South America) via Calder Hub: July 17, 2013 – September 4, 2013

States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

Del Mar Thoroughbred Club, P.O. Box 700, Del Mar, CA 92014

- B. Names and addresses of the trustees or directors of the distributing agent:

David H. Batchelder 12400 High Bluff Drive, Ste. 600 San Diego, CA 92130	Rollin Baugh P.O. Box 2461 Rancho Santa Fe, CA 92067
Dr. James R. Buell 4790 Caughlin Pkwy # 518 Reno, NV 89509	Joseph W. Harper P.O. Box 700 Del Mar, CA 92014
Jon S. Kelly Tres Palomas P.O. Box 2574 Rancho Santa Fe, CA 92067	Warner C. Lusardi Lusardi Construction Company 1570 Linda Vista Drive San Marcos, CA 92078
Marie G. Moretti 980 Ninth Street, Suite 2000 Sacramento, CA 95814	Robert S. Strauss Akin, Gump Strauss, Hauer & Feld 1333 New Hampshire Ave., N.W. Washington DC 20036
Martin J. Wygod The Wygod Group P.O. Box 676306 Rancho Santa Fe, CA 92067	

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Dana Stead, VMD
Clerk of Scales	Ken Goldberg
Assistant Clerk of Scales	Charles McCaul
Clerk of the Course	Melanie Stubblefield
Film Specialist	Ruben Hernandez
Horse Identifier	Dianne Piper
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Sue Brent
	Karen Denovel
	Heather Correa
Placing Judges	Robert Moreno
	Tora Yamaguchi
	Merry Young
Starter	Gary Brinson
Timer	Russell Hudak

B. Management officials in the racing department:

Vice President, Racing	Thomas S. Robbins
Racing Secretary	Thomas S. Robbins
Assistant Racing Secretary	Zach Soto, Tora Yamaguchi
Paymaster of Purses	Bridget Crawford
Others (identify by name and title)	N/A

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Barbara Weinstein, PMB 265
9582 Hamilton Ave, Huntington Beach, CA 92646 (714) 964-7102**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation, William O'Brien, Digital Photo Finish Only
Contract expires 12/31/2013**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

TVG

Expiration: 6/30/2017

Equipment list on file with CHRB

For each race TVG will provide:

-Two manned pan cameras located near the judge's room in the press box providing a wide and a tight view of each race, turf and dirt.

-Four manned tower cameras:

-Backstretch head-on (Training Track Camera Tower)

- Far turn and Stretch run back view (Quarter Chute Camera Tower)
- Stretch-run head-on (Livestock Barn Camera Tower) - turf
- Stretch-run head-on (Livestock Barn Camera Tower) - dirt
- 2 cameras in the paddock area provided coverage of horses, jockeys, trainers and owners
- A camera in winner circle
- A 16 x 9 aspect ratio pan camera located on the 7th floor providing race coverage for the in house video board presentation
- TVG will provide talent for this event

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Solely owned by Association.**

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Exhibit 10**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **75**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **The security for stakes races will be deployed in accordance with our agreement with TOC.**
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
Detention barn is used as required by the CHRB.
 - B. Number of security guards in the detention stall area during a 24-hour period.
As needed at the direction of the CHRB.
 - C. Describe number and location of surveillance cameras in detention stall area.
For the meet we will be utilizing five or more Detention stalls, as needed, located east of the receiving / test barn as our detention area. We will install fixed cameras in each of the five detention stalls as well as fixed cameras viewing the shedrow from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office on the Frontside.
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All
 - B. Plan for enhanced surveillance for trainers with high-test results.
Will follow CHRB directives.
 - C. Plan for detention stalls for repeat offenders.
Will follow CHRB directives.

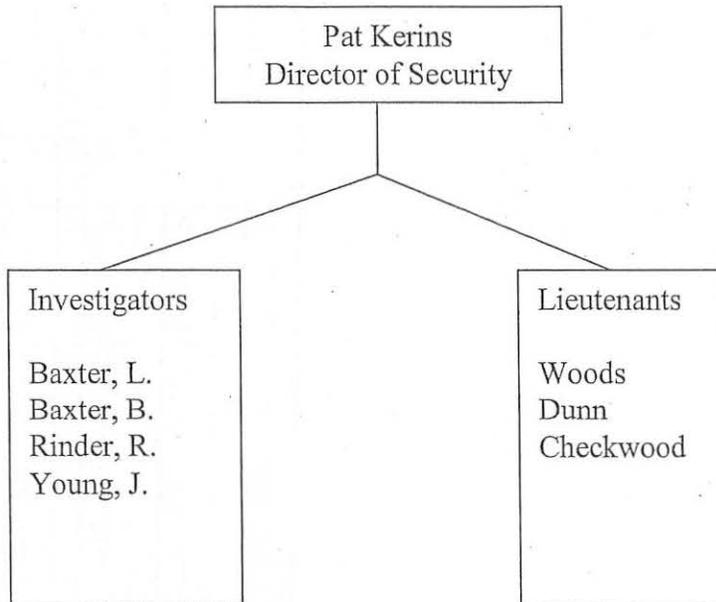
Exhibit 10

Security Personnel

Person responsible for security controls on the premises:

Pat Kerins, Director of Security.

Security Department:*



Contact Information

TBD	Work 858-755-1141 ext. 3652
James Young	Work 858-755-1141 ext. 3698
All others	Work 858-755-1141 ext. 3650

*List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

D. Number of security personnel assigned to the TCO2 program.

Assigned as needed

C. Describe the electronic security system:

Del Mar Thoroughbred Club uses a door alarm management system for its Executive and Operations offices utilizing Proximity cards and Proximity Access Keyfobs via door controllers located at entrances. The system comes with related door alarm management software.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in DMTC's security office.

D. For night racing associations. Describe emergency lighting system: N/A

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Rural Metro of San Diego, 10405 San Diego Mission Rd. Suite 200, San Diego, CA 92108
(619) 280-6060**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Exhibit 11

On-track staff: Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Auxiliary Site: Santa Anita
AmbuServe, contact Wendell Smith
15105 S. Broadway
Gardena, CA 90248
310-424-2333**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

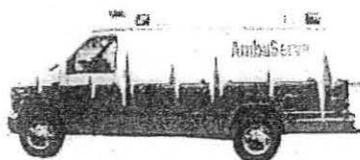
On File

On-track staff: Two certified paramedics staffing the ambulance at all times during training

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on-site first aid clinic at Del Mar Thoroughbred Club is located at the east end of the grandstand facility, with easy access from the track. The facility includes 4 patient beds (1 private room, 3 curtain areas). There are 2 Paramedics, 2 EMTs, 1 Medical Assistant and 1 doctor on site every racing day. Friday, Saturday, Sunday, Opening Day and Pacific Classic Day we have a Medical Coordinator (Medic 25) who works as liaison with Municipal EMS. There are two ALS equipped ambulances on site as well.

The equipment contained in the first aid clinic includes:



AmbuServe
Ambulance



May 14, 2013

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

AmbuServe, Inc

15105 S. Broadway

Gardena, CA 90248

310-644-0500

Thank you,

Traci Taylor

General Manager



**Rural/Metro[®]
Ambulance**

Special Event Medical Services

May 6, 2013

Kim Jacobson, Risk Manager
Del Mar Thoroughbred Club
PO Box 700
Del Mar, CA 92014-0700

Dear Kim,

Rural/Metro Ambulance of San Diego is the 911 emergency paramedic service provider for the City of San Diego and San Diego County Service Area 17. As such, all calls for emergency paramedic services for Del Mar, the Del Mar Fairgrounds and the surrounding areas are served by Rural/Metro and our fire department co-responders.

Rural/Metro is in full compliance with all California State and San Diego County Emergency Medical Services laws and regulation regarding the provision of such services including certification of all of our emergency medical technicians and paramedics.

Sincerely,

Wayne Johnson
Division General Manager
Rural/Metro of San Diego



COUNTY OF SAN DIEGO

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL TRANSPORT PERMIT

AGENCY NAME: RURAL/METRO OF SAN DIEGO INC.

Effective Date: June 1, 2012

Expiration Date: May 31, 2013

Approved as: ALS [X]

BLS [X]

CCT [X]

Authorized by:

Marilyn Smith

Permit Officer, Emergency Medical Services

Permit Number: 12-104

EXHIBIT II



STATE OF CALIFORNIA
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE
NON-TRANSFERABLE LICENSE**

CHP 360A (REV. 01-00) OPI 062

CONTROL NUMBER 1958	LICENSE NUMBER 1958	ISSUE DATE 12/5/2012	EFFECTIVE DATE 12/16/2012	EXPIRATION DATE 12/15/2013
CHP CARRIER NUMBER CA	LOCATION 061/645	<input type="checkbox"/> Duplicate <input type="checkbox"/> Initial	<input type="checkbox"/> Replacement <input checked="" type="checkbox"/> Renewal	

PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)

This license is NON-TRANSFERABLE and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

Ambulance operations must cease immediately upon expiration of this license. THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY. The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Commercial Vehicle Section, at (916) 445-1865.

SERVICE NAME AND PHYSICAL ADDRESS *(only if different from below)*

RURAL/METRO CORPORATION
RURAL/METRO AMBULANCE
9221 E. VIA DE VENTURA
SCOTTSDALE, AZ 85258-

SERVICE NAME AND MAILING ADDRESS

RURAL/METRO CORPORATION
RURAL/METRO AMBULANCE
9221 E. VIA DE VENTURA
SCOTTSDALE, AZ 85258-
Attention: STELLA WEEKS

Area Copy

- Oxygen at each station
- Cardiac monitor
- AED
- Otoscope
- Ophthalmoscope
- Pulse Oximeter
- B/P Cuff
- Thermometer
- Bag Valve mask
- Surgical equipment (used for laceration repair and suture removal)

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

All medical doctors can be reached by contacting the first clinic directly at (858) 755-1141 ext. 2814 or through our Security Office by calling ext. 3650. The first aid clinic, Paramedics and EMTs have radios to monitor the security channel and/or Official Channel. Medical calls are dispatched by way of radio. Our doctors are contracted through Scripps Coastal Medical Center - and rotate on site for the racing days. The doctors include:

Ariel Alexander Cortes, MD
 John K. Agostino, MD
 Athanasios J. Foster, MD
 Melinda E. Nevins, DO
 Philip A. Sanderson, MD

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Refer to the Del Mar Thoroughbred Club Jockey, On-Track Accident Response Program for specifics regarding hospital selection.

Scripps La Jolla
 988 Genesee Ave., La Jolla, CA 92037 858-626-4123

Scripps Encinitas
 354 Santa Fe Drive, Encinitas, CA 92024 760-753-4123

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 12

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Health and Safety Manager (Risk Manager): Kimberly Jacobson
Assistant Manager (Risk Management Assistant): Jeremiah Mendoza

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

Overview: The Del Mar Thoroughbred Club (DMTC) is committed to the overall health and safety of the riding professionals that are valued members of the racing community and DMTC. A large part of this commitment is the provision of professional medical and first aid services, should a jockey need to avail themselves of such services or be involved in an accident that requires first aid or emergency medical aid. DMTC takes their role in providing first aid and emergency medical care and transportation, when required, seriously. This program defines the plan elements and procedures DMTC will follow, should such events occur where medical aid becomes necessary.

Program Elements:

I. Medical Professionals On-Site During Racing - Summary

DMTC maintains licensed medical and first aid professionals that comply with the levels established by the horse racing industry. DMTC contracts with Scripps Coastal Medical Clinics to provide a licensed physician and medical assistants, who are on duty during live racing. DMTC maintains two Advanced Life Support Ambulances. Each ambulance is staffed by a Paramedic and a Bridge Program certified Emergency Medical Technician (EMT). In addition, municipal fire department, with paramedic and EMT services are readily available as these services are co-located with the track.

II. Jockey Information Health System

DMTC participates in the Jockey Information Health System through Encompass. All Medical Doctors and the Risk Management Department have access to this program.

The medical doctors staffing the on-site clinic can access medical information for those jockeys who participate in the program.

When a jockey, who participates in the program, is injured on track, the DMTC Risk Management Supervisor will access and print the Jockey's information. This information, along with Work Comp information is then sent with the Jockey to the hospital and/or faxed directly to the hospital emergency room.

II. Area Hospitals and Rapid Admittance

- a. DMTC maintains a working relationship with two area hospitals, both members of the Scripps Hospital and Healthcare family of operations. The hospitals are found in either La Jolla, just south

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

of the DMTC track, or Encinitas, just north of the track. Both are Level 1 trauma hospitals.

- b. The Scripps triage and base station is located at the La Jolla hospital – when determined transport is necessary they will be contacted immediately by the Medical Clinic Staff, Paramedics or Risk Management.
- c. Riders will be transported to Scripps La Jolla unless base station determines alternate site is necessary. All decisions on where a rider will be seen are made by Scripps La Jolla at the time of a reported incident. DMTC will not know where a rider is being taken until such time that transport has been initiated and we are advised as to which facility they are directed to.
- d. The addresses of the hospitals are: (Both are located less than 10 miles from DMTC)
 - a. Scripps La Jolla
9888 Genesee Avenue
La Jolla, CA 92037
Tel: 858.626.4123
 - b. Scripps Encinitas
354 Santa Fe Drive
Encinitas, CA 92024
Tel: 760.753.4123

III. The DMTC Safety and Health and Program Manager

- a. The DMTC Risk Manager serves as the Safety Manager and coordinator of the Jockey medical treatment and on-track accident program.
- b. DMTC also maintains an Assistant Safety and Health Manager (the Risk Management Supervisor) during the live meet period in the event the Safety Manager is unavailable.

Both the Risk Manager and the Risk Manager Supervisor can be reached at the following extensions, Ext. 4233 or Ext. 3225 respectively or via radio on the Security Channel as Risk 1 and Risk 2. As needed, the DMTC Operator or security Dispatch can assist with obtaining alternate contact numbers as well.

IV. On-site First Aid Facilities and Staffing

- a. Physician - Services for the on-site medical clinic are contracted through Scripps Coastal Medical Center. During all live racing periods there will be a licensed physician and medical assistant on duty.

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

b. Advanced Life Support Ambulances (ALS) – A Paramedic and Bridge Program certified Emergency Medical Technicians are on site at all times that either training or live racing is taking place. During Training hours there will be one ALS ambulance and one team which includes one (1) Paramedic and one (1) Bridge Program certified Emergency Medical Technician on site at all times. During live racing there will be two ALS ambulances, which will include two (2) Paramedics and two (2) Bridge Program certified Emergency Medical Technicians on site. Team 1 will follow the field during live racing, Team 2 will cover the paddock during pre-race activities and serve as a back up to Team 1. Both teams will maintain radio contact with the officials and security dispatch at all times.

c. Municipal Response Capabilities- DMTC is located on a State of California Fairgrounds. As such, we enjoy the benefits of the Del Mar Fire Department municipal fire service and Paramedic and Emergency Medical Technicians that are stationed on the grounds. If the on-site station has responded to an off-site emergency, the North Com dispatch will send the closest responding unit available. On track Paramedics have the capability of calling North Com directly should back up be necessary.

V. Ambulance and Medical Transport Protocols

- a. Contracted ambulance service – DMTC contracts with the San Diego/Rural Metro Ambulance who provides ALS on-track emergency medical response and may provide transport services. Depending on the time and nature of an incident as well as the number of riders involved, transport may be referred to or in conjunction with the local municipal services.
- b. Local municipal services – The Del Mar Fire Department or others within the Rancho Fire Service area may respond as needed or called upon. The Rancho Fire Service will respond with Paramedics and EMT's on the fire trucks as well as Paramedics assigned to the municipal ambulances.

VI. The Emergency Medical Plan

These steps will be followed in the event of an on-track accident:

General Conditions

- On-site Paramedics and EMT's will respond to any observed rider on-track accident or as otherwise summoned for assistance. Such response will occur during training and/or live racing periods,
- If an incident requires transport, the hospital will be contacted and notified of the patient transport. (The La Jolla location of Scripps Hospital serves as the triage and base station for all incidents requiring hospital

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

emergency care; they will direct the medical transport team as to which hospital to respond to.)

Communications

- Paramedic and EMT's (Team 1 and 2) will be assigned two radios per team allowing for constant communication with both security dispatch and officials. Both Official Channel and Dispatch Channel will be monitored at all times.
- Medical Clinic will be assigned one radio and will maintain contact with security dispatch at all times.
- DMTC security dispatch will monitor the official channel at all times.
- Safety and Health Program Manager and Assistant will monitor the security channel at all times and the official channel during a live race. Both individuals will be available either on site or via cell phone 24 hours a day during the live meet.

Response During Training Periods

- During training periods, Paramedic and EMT will determine the nature and extent of any injuries and whether transport to a medical facility is necessary,
- The DMTC Security Dispatch will be notified immediately of any rider incident on-track or otherwise during training periods and will assist San Diego Medical Enterprises Services with securing additional medical help for transport to area hospital when necessary.
- Municipal units will meet San Diego Medical Enterprises unit at the clock tower to secure injured rider and transport to one of designated hospital.
- Incidents with injuries not requiring transport to one of the local hospitals identified within this program, will be treated on-track or at the DMTC medical clinic based upon Paramedic judgment.
- Any on-track accidents during training periods will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.

Response During Pre-Race (Paddock)

- EMT Team 2 will be situated in the paddock area during pre race activities to respond to an emergency occurring in the paddock area.
- Should an emergency occur Security Dispatch will be notified immediately.

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Health Program Manager and/or Assistant will respond to incident.
- Security dispatch will notify on site medical clinic that an incident has occurred.
- As needed, additional medical assistance will be summoned from local municipal resources,
- DMTC Security will respond to incident and assist with crowd control. If necessary security will provide escort to the medical clinic.

Accidents During Live Racing

- ALS unit -Team 1 following the racing field will immediately respond to on-track accidents; simultaneously, the on-track physician and medical assistant will be made aware of the incident via radio contact, observation of the race via closed -circuit television or as advised by the DMTC Safety or Security Staff,
- ALS unit - Team 2 will be on stand by at medical clinic to assist and/or replace the on track unit should it be necessary for Team 1 to leave the track for any reason.
- Should incident require ambulance to leave the track prior to the start of the race, Team 2 will immediately move in to position at the starting gates. When this occurs Team 2 will notify stewards via radio that they are in place.
- If for any reason Team 2 is unavailable CHRB stewards must be notified immediately via the official channel.
- As needed, additional medical assistance will be summoned from local municipal resources,
- Riders will be transported to the on-site medical facility for initial review, observation and triage. Should the Paramedic feel that immediate transport is necessary to hospital he will alert Security that rider will be transported directly to hospital (Paramedics have radio contact with Scripps La Jolla and will notify them directly of the transport). Risk Management will notify Officials.
- To the extent possible, all care will be provided by the professionals within the DMTC medical clinic,

Exhibit 12 - Del Mar Thoroughbred Club
Jockey On-Track Accident Response Program

- DMTC Safety and Security staff will immediately respond to the medical clinic to assist with event management and access control to the medical clinic,
- Depending on the nature and severity of injuries, the rider(s) may be transported to one of the local hospitals noted within this plan for further medical care and treatment as is needed,
- Any on-track accidents during live racing will be immediately reported to the Safety Manager, for analysis review and additional reporting as might be required.
- When one of the ALS units are required to leave the grounds DMTC Security will be notified immediately and instructed to call North Com for any patron medical aids until unit returns to grounds.
- Risk Management Department will provide Jockey Information Health System paperwork and Workers Compensation to the hospital.

Please note: Under no circumstances will information regarding the medical condition of a rider be provided to members of the media or others. Any such request should immediately be referred to the Director of Media and or President/General Manager.

VII. Posting This Plan

The DMTC, Jockey, On-Track Accident Response Plan will be posted in English and Spanish in the following locations:

- a. The Jockey's Room located on the first floor of the Clubhouse Grandstands
- b. The DMTC on-site medical facilities, and
- c. DMTC Security Dispatch

Additional copies of the plan will be maintained in the DMTC Risk Manager's office, located in the Operations Building.

IX. Updates

The plan will be reviewed annually prior to the DMTC live racing meet and appropriate changes and updates will be made. Regulatory and/or legislative changes that may modify this plan will be included as will any changes in medical providers and/or ambulance transport services.

Exhibit 12

Club de Caballos Purasangre Hipódromo Del Mar
Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

Visión General: El Club de Caballos Purasangre del Hipódromo Del Mar (DMTC) se compromete a la salud y seguridad de todos los profesionales de equitación que son miembros valorados de la comunidad de equitación y DMTC. Una gran parte de este compromiso es proporcionar atención médica profesional y servicios de primeros auxilios, en caso de que un jinete necesite utilizar tales servicios o se vea implicado en un accidente que requiera atención de primeros auxilios o asistencia médica de emergencia. DMTC hace su función al ofrecer asistencia médica de emergencia, de primeros auxilios y transporte cuando se requiera en caso necesario. Este programa define los elementos del plan y procedimientos. DMTC realizará un seguimiento, cuando ocurran estos hechos donde se necesite asistencia médica.

Elementos del Programa:**I. Profesionales Médicos en el Sitio Durante la Carrera-Resumen**

DMTC mantiene a profesionales médicos licenciados y de primeros auxilios que cumplen con los niveles establecidos por la industria hípica. DMTC contrata a "Scripps Coastal Medical Clinics" para ofrecer un médico licenciado y ayudantes médicos que están en servicio durante la carrera de caballos. DMTC mantiene dos Ambulancias Avanzadas de Apoyo Vital. Cada ambulancia cuenta con un personal Paramédico y un Técnico Médico de Emergencia certificado del Programa Bridge (EMT). Además del departamento de bomberos municipal con paramédicos y servicios de EMT que están preparados, disponibles y están situados al lado de la pista.

II. Sistema de Salud para la Información del Jinete

DMTC participa en el Sistema de Salud para la Información del Jinete por medio de Encompass. Todos los Médicos y Departamento de Gerencia de Riesgo tienen acceso a este programa.

Todo el personal médico en el sitio puede acceder a la información médica de aquellos jinetes que participen en el programa.

Cuando un jinete, que participa en el programa, se accidenta en la pista, el Supervisor de Gerencia de Riesgo de DMTC accederá e imprimirá la información del Jinete. Esta información, junto con la información de Compensación de los Trabajadores se manda junto con el Jinete al hospital o se envía por fãx directamente a la sala de emergencia del hospital.

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III. Hospitales de la Zona y Admisión Rápida

- a. DMTC mantiene una relación profesional con dos hospitales de la zona, tanto los miembros del Hospital Scripps y la familia de asistencia médica de operaciones. Los hospitales se encuentran o en La Jolla, justo al sur de la pista de DMTC, o en Encinitas, justo al norte de la pista. Los dos son hospitales de traumatismos Nivel 1.
- b. La selección de Scripps y estación base se sitúa en el hospital de La Jolla, cuando se necesite un transporte determinado se les pondrá en contacto inmediato con el Personal Médico, Paramédicos o Gerencia de Riesgo.
- c. Se trasladará a los jinetes a Scripps en La Jolla a menos que la estación base determine un lugar alternativo en caso necesario. Todas las decisiones con respecto a donde se atenderá a un jinete se realizarán en Scripps La Jolla en el momento en que se reporte el accidente. DMTC no sabrá a dónde se lleva al jinete hasta el momento en que se haya iniciado el traslado y se nos avise sobre la instalación a la que se dirige.
- d. Las direcciones de los hospitales son: (Ambas se localizan a menos de 10 millas de DMTC)
 - a. Scripps La Jolla
 9888 Genesee Avenue
 La Jolla, CA 92037
 Tel: 858.626.4123
 - b. Scripps Encinitas
 354 Santa Fe Drive
 Encinitas, CA 92024
 Tel: 760.753.4123

IV. Salud y Seguridad y Gerente del Programa de DMTC

- a. El Gerente de Riesgo de DMTC atiende como Gerente de Seguridad y coordinador del tratamiento médico del jinete y el programa de accidentes en la pista.
- b. DMTC también mantiene un Subgerente de Seguridad y Salud (el Supervisor de Gerencia de Riesgo) durante el periodo del encuentro de carreras en directo en caso de que el Gerente de Seguridad no esté disponible.

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Tanto el Gerente de Riesgo y el Supervisor de Gerencia de Riesgo pueden localizarse en las siguientes extensiones, Ext. 4233 o Ext. 3225 respectivamente o por medio de la radio mediante el canal de Seguridad como Riesgo 1 y Riesgo 2. Cuando se necesite, el Operador o Despacho de Seguridad de DMTC podrán ayudarle a conseguir números de teléfono de contacto alternativos.

V. Instalaciones de Primeros Auxilios en el sitio y Personal

- a. Médico- Los servicios para el centro médico en el sitio se contratan por medio de "Scripps Coastal Medical Center". Durante los periodos de carreras en directo se proporcionará un médico licenciado y ayudante médico en servicio.
- b. Las Ambulancias Avanzadas de Apoyo Vital (ALS)- Un Paramédico y Técnicos Médicos de Emergencia certificados del Programa Bridge están en el sitio en todo momento ya sea si están entrenando o se está llevando a cabo una carrera hípica. Durante las horas de entrenamiento habrá una ambulancia ALS y un equipo que incluirá un (1) Paramédico y un (1) Técnico Médico de Emergencia Certificado del Programa Bridge en el sitio. El Equipo 1 seguirá el campo durante la carrera en directo, el Equipo 2 cubrirá las caballerizas durante las actividades antes de las carreras y atenderá como un refuerzo del Equipo 1. Ambos equipos mantendrán el contacto por radio con los oficiales y despacho de seguridad en todo momento.
- c. Las Capacidades de Respuesta Municipal-DMTC está situado en un recinto ferial del Estado de California. Como tal, disfrutamos de los beneficios del servicio de bomberos municipal Del Mar, Paramédicos y Técnicos de Emergencia Médica que se localizan en el terreno. Si la estación en el sitio ha respondido a una emergencia fuera del sitio, el despacho North Com mandará a la unidad de respuesta más cercana disponible. Los Paramédicos en la pista cuentan con la capacidad de llamar directamente a North Com en caso de que se necesite un refuerzo.

VI. Protocolos de Transporte Médico y Ambulancia

- a. El servicio de ambulancia contratada-DMTC contrata a Ambulancias Rural Metro/de San Diego que proporciona ALS para responder ante las emergencias médicas que puedan surgir en la pista y proporcionará servicios de transporte. Dependiendo del tiempo y la naturaleza de un incidente así como el número de jinetes implicados, transporte al que podrá referirse o conjuntamente con los servicios municipales locales.

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 Programa de Respuesta ante un Accidente de los Jinetes en las Pistas

- b. Los servicios municipales locales- El departamento de bomberos Del Mar u otros dentro del área de servicio de incendios de Rancho podrá responder según se necesite o solicite. El Servicio de Bomberos de Rancho responderá con Paramédicos y EMTs en los camiones de bomberos así como los Paramédicos asignados a las ambulancias municipales.

VII. **Plan Médico de Emergencia**

Estos pasos se seguirán en caso de que ocurra un accidente en las pistas:

Condiciones Generales

- Los Paramédicos y EMT en el sitio responderán a cualquier accidente que observen de un jinete en la pista o de otro modo pedirán ayuda. Tal respuesta ocurrirá durante el entrenamiento o periodos de carreras en directo,
- Si un incidente requiere transporte, se contactará y avisará al hospital del transporte del paciente. (La localización de La Jolla del Hospital Scripp atiende como sala de urgencias y estación base para todos los incidentes que requieran asistencia de emergencia hospitalaria y dirigirán al equipo de transporte médico a qué hospital deberán acudir).

Comunicaciones

- Los Paramédicos y EMTs (Equipo 1 y 2) se les asignarán dos radios por equipo para permitir una comunicación constante con el despacho de seguridad y los oficiales. Tanto el Canal Oficial y el Canal de Despacho se controlarán en todo momento.
- Se le asignará al centro médico una radio y mantendrá contacto con el despacho de seguridad en todo momento.
- El despacho de seguridad de DMTC controlará el canal oficial en todo momento.
- El Gerente del Programa de Seguridad y de Salud controlará el canal de seguridad en todo momento y el canal oficial durante una carrera en directo. Ambas personas estarán disponibles tanto en el sitio como por teléfono celular las 24 horas al día durante el encuentro de las carreras en directo.

Respuesta Durante los Periodos de Entrenamiento

- Durante los periodos de entrenamiento, el Paramédico y EMT determinarán la naturaleza y extensión de cualquier lesión y si el transporte a una instalación médica si fuera necesario,
- Se avisará de inmediato al Despacho de Seguridad de DMTC de cualquier incidente de los jinetes en la pista o de otro modo durante los periodos de entrenamiento y ayudará a "San Diego Medical Enterprises

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Club de Caballos Purasangre Hipódromo Del Mar
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Services” a conseguir ayuda médica adicional para facilitar transporte al hospital del área cuando se necesite.

- Las unidades municipales se reunirán en la unidad de “San Diego Medical Enterprises” en la torre del reloj para asegurar al jinete lesionado y el transporte a un hospital designado.
- Los incidentes con lesiones que no requieran transporte a uno de los hospitales locales identificados dentro de este programa, se tratarán en la pista en el centro médico de DMTC según el criterio del Paramédico.
- Cualquier accidente en la pista durante los periodos de entrenamiento se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.

Respuesta Durante la Pre-carrera (Caballeriza)

- El Equipo 2 de EMT estará situado en el área de caballerizas durante las actividades de pre-carrera para responder a una emergencia que ocurra en el area de las caballerizas.
- Si ocurre una emergencia se avisará al Despacho de Seguridad de inmediato.
- El Gerente del Programa de Salud y Seguridad de DMTC o Ayudante responderá al incidente.
- El despacho de seguridad avisará al centro médico del sitio de que ha ocurrido un accidente.
- Se solicitará ayuda médica adicional de los recursos municipales locales, según se necesite,
- La Seguridad de DMTC responderá al incidente y ayudará con el control de la multitud. Si se necesita seguridad se proporcionará escolta hasta el centro médico.

Accidentes Durante la Carrera en Directo

- La unidad ALS-Equipo 1 que sigue el campo de carreras responderá de inmediato a los accidentes en la pista; simultáneamente, el ayudante médico y médico en la pista se les pondrá en conocimiento del incidente contactándoles por medio de radio, la observación de la carrera se hará por medio de un circuito cerrado de televisión según lo aconsejado por el Personal de Seguridad de DMTC,

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- La unidad ALS-Equipo 2 estará preparada por el centro médico para ayudar o reemplazar a la unidad de la pista si fuera necesario por el Equipo 1 abandonar la pista por cualquier razón.
- Si un incidente requiere ambulancia deberá abandonar la pista antes de que empiece la carrera, el Equipo 2 se desplazará a una posición al cajón de salida. Cuando esto ocurre el Equipo 2 avisará a los comisarios por medio de radio de que están en su lugar.
- Si por cualquier razón el Equipo 2 no está disponible se avisará de inmediato a los comisarios CHRB por medio del canal oficial.
- Se pedirá asistencia médica adicional desde los recursos municipales locales, según se necesite,
- Se transportará a los jinetes a una instalación médica en el sitio para una revisión inicial, observación y emergencia. Si el Paramédico considera que se necesita transporte de inmediato al hospital se alertará a Seguridad de que se llevará al jinete directamente al hospital (los Paramédicos tienen contacto por radio con Scripps La Jolla y se les avisará directamente del transporte). La Gerencia de Riesgo avisará a los Oficiales.
- En la medida de lo posible, los profesionales ofrecerán toda la atención médica dentro del centro médico de DMTC,
- El personal de Seguridad de DMTC responderá de inmediato al centro médico para ayudar con la gerencia del evento y control de acceso al centro médico,
- Dependiendo de la naturaleza y gravedad de las lesiones, se podrá trasladar al jinete a uno de los hospitales locales señalado dentro de este plan para atención médica exhaustiva y tratamiento según se necesite,
- Cualquier accidente en la pista durante la carrera en directo se reportará de inmediato al Gerente de Seguridad, para una revisión del análisis y reporte adicional según se requiera.
- Cuando una de las unidades de ALS se requiere que abandone los campos la Seguridad de DMTC avisará de inmediato y se le instruirá llamar a North Com para cualquier atención médica hasta que regrese la unidad al terreno.

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- El Departamento de Gerencia de Riesgo proporcionará la documentación del Sistema de Salud de Información al jinete y Compensación de los Trabajadores al hospital.

Por favor tenga presente: Bajo ninguna circunstancia la información con respecto al estado médico del jinete se proporcionará a los miembros de los medios u otros. Cualquier petición se referirá de inmediato al Director de Medios o Presidente/Gerente General.

VIII. Exposición de Este Plan

El Plan de Respuesta de Accidente del Jinete en la pista se expondrá en Inglés y Español en las siguientes localizaciones:

La Sala del Jinete está situada en la primera planta de las Gradas del Clubhouse

- a. Las instalaciones médicas en el sitio de DMTC, y
- b. Despacho de Seguridad de DMTC

Las copias adicionales del plan se mantendrán en la oficina del Gerente de Riesgo de DMTC, localizada en el Edificio de Operaciones.

IX. Actualizaciones

Se revisará el plan anualmente antes del encuentro de las carreras en directo de DMTC, los cambios apropiados y actualizaciones que se hagan. Los cambios regulatorios o legislativos que puedan modificar este plan se incluirán al igual que los cambios en los proveedores médicos o servicios de transporte de ambulancia.

Inspection scheduled for July 15, 2013

I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Berkshire Hathaway Homestate
3300064083131

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 13

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
Exhibit 14

Does the association provide its own concessions? Yes No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
Exhibit 15

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

<u>Category</u>	<u>2013</u>	<u>2012</u>
Advertising	1,410,500	1,349,300
Promotions	1,363,200	1,328,000
Publicity	152,000	152,600
Corporate Sponsorship Fulfillment	65,000	78,300
Other	25,500	8,400
Total	3,016,200	2,860,000

Exhibit 14

CONCESSIONS:

George Karetas, President
Premier Food Services, Inc.
7966 Arjons Drive
San Diego, CA 92126

TIP SHEETS:

Bruce M. Andrews, Jr.
Deuce Bruce
5910 Rancho Mission Rd. #33
San Diego, CA 92108

Bob Baedeker
Baedeker, Inc.
130 West El Portal
San Clemente, CA 92672

John Acquarelli
Duke Racing Selections
4615 Triesta
Carlsbad, CA 92010

Toby Turrell
Winners
579 S. Sierra Ave # 18
Solana Beach, CA 92075

Tiffany Bohland
Bob's Card, Inc.
6288 Highland Meadow Dr.
Medina, OH 44256

SADDLERY SERVICES:

Jarry Hobson
Hobson Saddlery
28 North 1st Avenue
Arcadia, CA 91066

Frank Wipfli
Western Saddlery, Inc.
7038 Commerce Circle
Pleasanton, CA 94588

RACING COLORS:

June Gee
West Coast Racing Colors
11920 Goldring Rd. Ste G
Arcadia, CA 91006

MISCELLANEOUS CONCESSIONS/SERVICES:

Tony Vasquez
Garda Cash Logisitics
8807 Complex Drive
San Diego, CA 92123

Rayetta Burr
Benoit & Associates, Inc.
Track Photographers
PO Box 60014
Arcadia, CA 91066-6014

Michael Costello
United/Puett Electrical Starting Gates
224 Tater Hill Rd.
East Haddam, CT 06423

Scripps Coastal Medical Center
Track Physicians
1335 Camino Del Mar
Del Mar, CA 92014

Steve Stiles
Stiles Animal Removal Inc.
P.O. Box 1103
Guasti, CA 91743-1103

Deven Owen
West Coast Feed
PO Box 893007
Temecula, CA 92589

Gary S. Bell
Citrus Feed
PO Box 249
La Verne, CA 91750

Angel P. Unamuno
Angel's Feed
4518 Rowland Ave
El Monte, CA 91731

Michael Brunner
Westwalk Corp.
Hotwalkers
2711 N. Sepulvada Blvd. # 312
Manhattan Beach, CA 90266

Marketing Overview

All the marketing efforts at Del Mar support a two-part strategy:

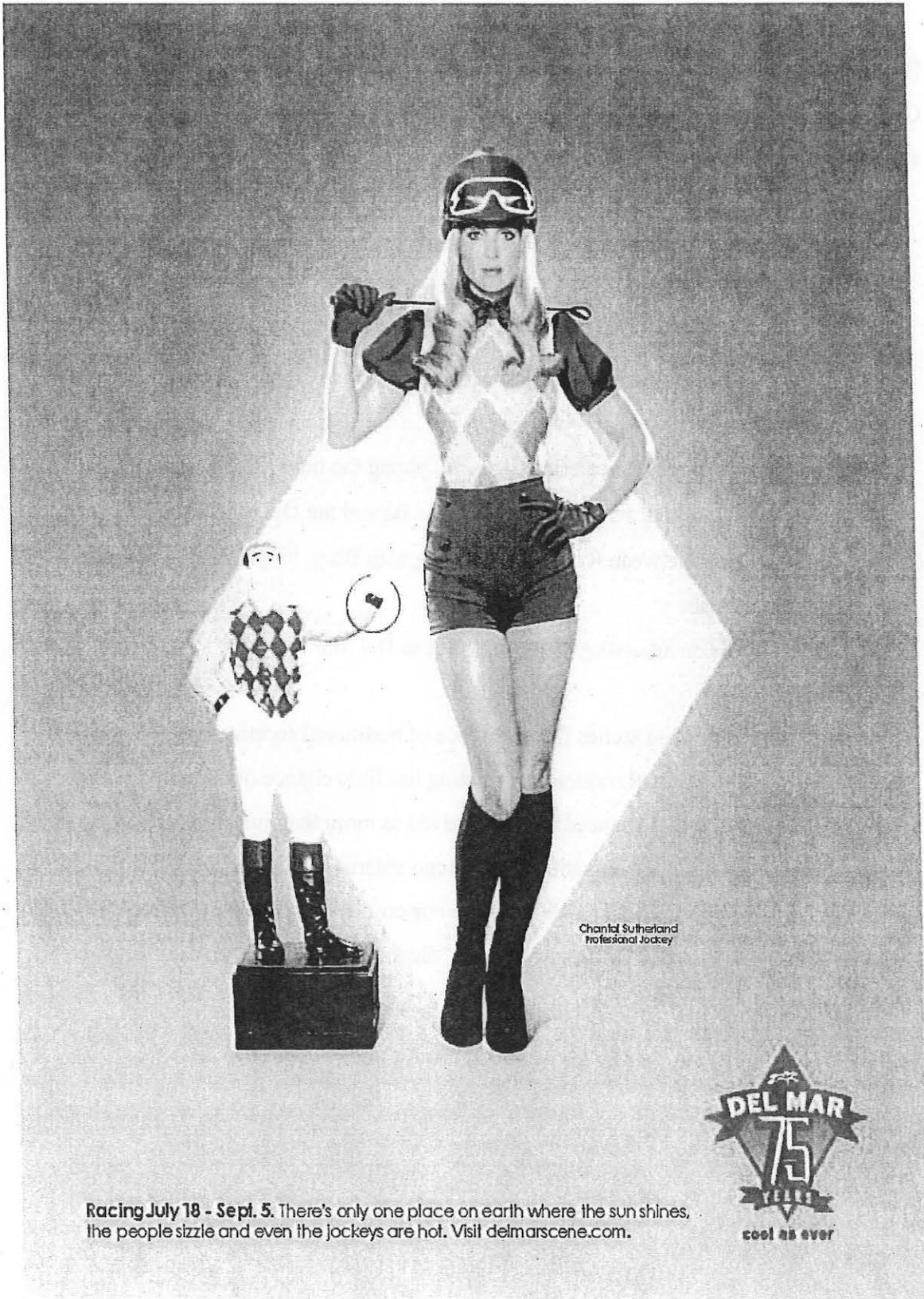
1. Provide value to our regular customers
2. Attract new and occasional customers by promoting the “Cool As Ever” brand and diversifying our entertainment offerings

The first of the two strategies relies heavily on database marketing tactics. The focus of these tactics is the Diamond Club, which is a free loyalty club that offers members half-priced admission each time they attend as well as occasional free admissions and seats, discounted food and beverage, free bets and contests as well as wagering rebates to our biggest bettors. The Diamond Club was launched in 2006 and now has over 180,000 members. Each time members take advantage of Diamond Club benefits, they must scan their membership card, which in turn feeds the information to our state-of-the-art data warehouse. As the number of Diamond Club members expands, and as the amount of information we gather about our members grows, it allows us to market to our regular patrons in a more effective and efficient manner. For example, the data warehouse can tell us which customers tend to come to the races on weekends, weekdays, pick six carryover days, giveaway days, etc. With this information, we can use database marketing and social media techniques to send the appropriate messages to the appropriate customers in a very cost-effective manner. As the data warehouse continues to grow, we will utilize the data findings more even aggressively to promote Del Mar to our current race fans.

One of the benefits of using database marketing as the principal tactic for attracting current customers is that it allows us to use traditional media (television, radio, print, online, outdoor, etc.) to accomplish our second strategy – attracting new and occasional customers. We sell Del Mar to this target market as a cool and sexy entertainment alternative. Our advertising campaigns include the slogan, “Del Mar, Cool as Ever”, and feature beautiful women in locations such as the beach, high-fashion runways and the

racetrack. The focus of our ad campaigns is fashion and style. Admittedly, this tactic is new to horse racing, and one that would no doubt make little sense in other markets. However, Del Mar is in a unique situation. With only a seven-week meet in the middle of summer, with a location right on the beach and with its attractive and youthful clientele, we feel we can pay it off.

The advertising campaign for 2013 will once again feature the Cool As Ever brand and jockey/model Chantal Sutherland, who is expected to make her riding comeback this summer at Del Mar. She will be featured in the television, print, online and outdoor ads. Always a crowd favorite at Del Mar, Chantal is the perfect person to promote the sport of racing and the fashion and style of Del Mar.



Chantal Sutherland
Professional Jockey

Racing July 18 - Sept. 5. There's only one place on earth where the sun shines,
the people sizzle and even the jockeys are hot. Visit delmarscene.com.



Beyond advertising, virtually everything we do from a marketing perspective supports the Cool As Ever brand and positions Del Mar as an entertainment option that is more than simply horse racing. These tactics include:

- Publicity efforts focused on non-sports coverage in magazines such as *Playboy*, *Vanity Fair*, *Maxim* and *San Diego Magazine*
- Events such as Hats Contests, Microbrew Festivals, Grill Fests, Concerts, Volleyball Tournaments, Food Truck Festivals, Miss Cougar Contest and Reggae Festivals
- An alternative web site catering to a new, young fan base
- Fun specialty drinks such as the Del Margarita and the Del Martini
- Entertainment Between Races such as Sing with Bing, hippity hop races and weekly contests
- An emphasis on attracting cool celebrities to Del Mar

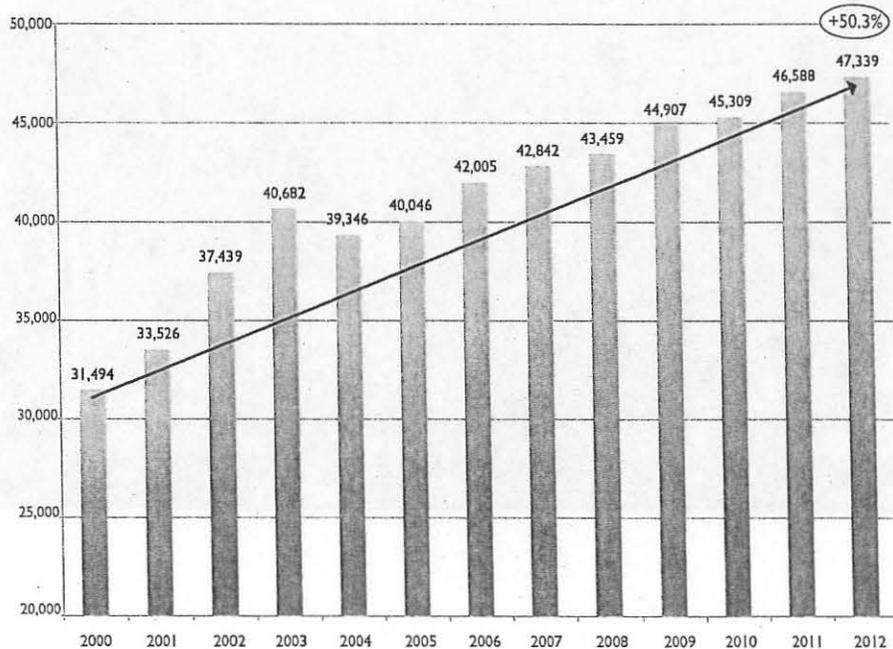
Obviously these marketing tactics fly in the face of traditional racetrack marketing. However, we feel traditional racetrack marketing has little chance of attracting a new, younger fan base, and that we need to be perceived as more than just horseracing in order to grow our business in the competitive San Diego entertainment market. So far this dual marketing strategy has paid off. In addition to our on-track attendance numbers growing over 24% since 2000, Del Mar was named the "Best Dressed Brand" in San Diego in 2011 by the San Diego Ad Club.

Promotions

Most promotions and events at Del Mar adhere to the “Cool as Ever” brand. Therefore, in addition to traditional racetrack promotions, such as giveaways and mystery mutuel tickets, Del Mar features cool events that appeal to a younger demographic.

- Opening Day** – This day has grown to be one of the largest parties in San Diego and one of the biggest days on the national racing calendar. It is supported with very aggressive publicity and media campaigns. The “Cool as Ever” advertising campaign fits very well with the party atmosphere generated on Opening Day. The festivities include the popular “One and Only Truly Fabulous Hats Contest”. As the chart below demonstrates, attendance on Opening Day has grown for eight consecutive years, culminating with 47,339 in 2012 – the biggest crowd in Del Mar history, an increase of 50.3% since 2000.

Opening Day Attendance — 2000-2012



- **Four O'clock Fridays** – Fridays feature a late post time and top-name bands performing after the races. This formula offers a popular weekend kick-off event that is consistently one of Del Mar's most successful and profitable promotions. The event attracts both men and women in the desirable 18- to 34-year-old demographic. The bands in past years have included Jack Johnson, The Offspring, The Violent Femmes, The B-52's and Cake. The concert series and the late post time have completely changed the "culture" of Fridays at Del Mar – from the concert area to the Turf Club – by adding a sense of excitement and energy, which supports our brand better than any other promotion.



- **Beer Festivals** – The Festivals are held during the races on the far west end of the facility. They were created to expand on the success of the popular Four O'clock Friday series and target a slightly older 21-40 year-old crowd. The Microbrew Festivals feature a wide array of specialty beers from over 100 breweries. Following the races, performers such as Billy Idol, Devo, ZZ top and Weezer perform on the Seaside Stage with the Pacific Ocean as the backdrop. The events are advertised aggressively via television, radio, print, online, direct mail and social media and historically draw some of the biggest crowds of the season.

Many Beer Fest attendees are new to Del Mar. By offering complimentary programs, newcomer seminars and free *Daily Racing Form* Easy Forms, we hope to get them to the betting windows. While the transaction amounts are not exceedingly large at the Beer Fest, the attendees do wager, as evidenced by the healthy lines at the mutuel windows each race.



- **Gourmet Food Truck Festival** – Gourmet food trucks have become a phenomenon in Southern California and routinely draw thousands of people for festivals. The inaugural Del Mar Food Truck Festival contributed significantly to a total crowd of 28,909 and featured trucks from San Diego as well as Orange and Los Angeles Counties. Patrons sample a wide array of foods from Cajun favorites to seafood to good old-fashioned grilled cheese sandwiches. Although the event will be advertised via television, radio, online and print, we will rely heavily on social media to promote the event. The Food Truck Festival serves as another vehicle to expose new fans to the fun and excitement of thoroughbred racing.



- **Del Mar Grill Fest** – The Del Mar Grill Fest is a new event this year hosted with the organizers of the Orange County BBQ Festival, the largest BBQ event in California last year. This event will be relocated to Del Mar in 2013 and enhanced by adding unique grilled items such as seafood, veggies and desserts in addition to mouth-watering BBQ. Over 40 professional cooks including champion BBQ teams and local restaurants will serve delectable sample size items to fans while they enjoy thoroughbred racing at its finest. It is our intention to introduce racing at Del Mar to an entire new segment of fans due to this event.



- **Bar, Restaurant and Hotel Employee Appreciation Day** – This event offers Del Mar an excellent opportunity to generate positive word-of-mouth publicity among local bar, restaurant and hotel employees. Tickets are distributed via our beer and liquor distributors. The event is held on the second Wednesday of the season, and attendance generally totals 3,000 for this private party in the infield Pacific Pavilion. The guests enjoy an exciting day at the races and free food, live music, prizes and drink specials.
- **Miss Cougar Del Mar Day** – Now in its fifth year, this extremely tacky, tongue-in-cheek promotion sadly generates more local publicity than the Pacific Classic. Patrons vote online to determine the winner, who is crowned in the Winner's Circle prior to the running of the Grade III Cougar II Handicap.
- **Party in the Paddock** – The Closing Day party serves as an appropriate bookend to Opening Day and its party atmosphere, and is always well attended. It all takes place in the Del Mar paddock (which is spectacular at night under the lights) and goes until late into the night. It is difficult for many fans to leave the Party in the Paddock, because when they do, the season is over.

In addition to the events that support our “Cool As Ever” branding efforts, we also offer promotions that appeal to a wider range of our fan base.

For the Value Minded:

- **Free & Easy Wednesdays** – We believe it is appropriate to offer a value day with significant discounts for our fans. Every Wednesday during the meet (with the exception of Opening Day), racing fans will receive free Stretch Run admission and a free reserved seat. In addition, draft beer, sodas and hot dogs will be half price at designated concession stands in the Stretch Run. All patrons have to do to take part in the promotion is join the Diamond Club. This promotion, which was introduced during the 2009 season, has increased attendance numbers on Wednesdays by over 20%. On most Wednesdays, all grandstand seats are sold out due to this promotion.
- **Seniors Days** – Seniors make up a significant portion of our fan base, and they tend to be price sensitive. Therefore, on two Thursdays during the meet all seniors 62 and over will be admitted free and given a free reserved seat. In addition, seniors who are members of the Diamond Club will be admitted free every Thursday.
- **Direct Mail Offers** – Various offers will be mailed to fans on our mailing list. Offers will include free passes on a number of days as well as a special “Day on Us” which includes free parking, free admission, free program and a free *Daily Racing Form*.
- **Bounceback Days** – Historically, the slowest days of the meet come the day after our biggest days. As a way of combating that, on two of our biggest days – Opening Day and Pacific Classic Day – all fans in attendance will receive a free admission pass for the next racing day. This is a simple promotion that bounces back thousands of fans for an extra day.

For The More Serious Racing Fans:

- **Mystery Mutuel Tickets** – Fans in our database will be sent a Mystery Ticket that ranges in value from \$5 to \$10,000. The only way they can find out how much their ticket is worth is to bring it on track and cash it. This is an old-school racetrack promotion that stills brings out the more seasoned racing fans.



A-982C-664B-E5B1

FREE \$5 WIN BET

**BRING TO DEL MAR ONLY
ON SATURDAY, JULY 23
ONE TICKET IS WORTH
\$10,000**

**GOOD FOR \$5 WIN BET ON
ANY DEL MAR RACE
NOT VALID AT EARLYBIRD**

9-JUN-11

A-982C-664B-E5B1



- **Giveaway Days** – Premium items will be offered to our racing fans two times this season. The items being featured this year are a Del Mar Long-sleeved T-shirt as well as a Del Mar beach cooler. When promoted correctly, the right giveaway items continue to draw big crowds to the track. In addition, there is a value to having Del Mar-logoed apparel around town for the entire year.
- **The Del Mar Handicapping Challenge** – In an effort to attract the top handicappers from across the country, we host the Del Mar Handicapping Challenge. A total of \$60,000 and five entries into the NTRA National Handicapping Championship will be at stake for the two-day competition. Historically, over half the field for this high-end contest travel in from out of state.

- **Guaranteed Pick Four Pools** – Del Mar guarantees a \$250,000 pool on weekdays and a \$500,000 pool on weekends.
- **Jockey Photo Day** – Get an autograph or a photo with your favorite jockey on Opening Sunday. This event has always been extremely popular with fans and their children and serves as a great way to build the star power of our jockey colony.

For Families:

- **Family Fun Day** – This event, which takes place on the opening Sunday of the meet, features free children's activities including a giant slide and a variety of inflatables, pony rides, face painting and a free plush toy for all kids 12 and under. Nearly 6,000 kids attended the event last year. The promotion was designed to appeal to families and increase awareness of the family-friendly nature of Del Mar.
- **Family Weekends** – Every Saturday and Sunday during the meet, a smaller version of Family Fun Day takes place on the infield. These events reinforce the concept that Del Mar is a family-friendly entertainment option in San Diego.
- **Wiener Dog Nationals** – On Labor Day the top dogs in town will be back as Del Mar hosts the San Diego finals for the 2013 Wiener Dog Nationals. Two heats and a final will determine who the top dog in San Diego. This inexpensive event, done in conjunction with the Holiday Bowl, serves as a nice between-race diversion for our more casual fans.
- **Donuts Days** – A Del Mar tradition! On two Saturdays during the meet, all fans who come out to watch morning workouts are treated to free donuts, coffee and juice. Track announcer Trevor Denman hosts a behind-the-scenes look at thoroughbred racing as he interviews Del Mar jockeys and trainers for the crowd. Last year over 2,000 showed up to see Trevor with Chantal Sutherland and Doug O'Neill at Donuts Day.

- **Camp Del Mar** – For those horsemen and fans who want to come to the races but are not sure what to do with the kids, Camp Del Mar is the answer. Camp Del Mar is a day camp for kids 5-12 that offers supervised activities such as arts & crafts, sports, barn tours and more. Last year 1,525 kids participated in the camp.



Youth Activity Center

Fan Education

Given that many Del Mar attendees are newcomers or occasional racetrack visitors, it is imperative that we stress fan education. Some of the initiatives include:

- **Free Programs** – As they say, “you can’t tell the players without a program,” so everyone who enters the admission gate receives a complimentary program. Although most racetracks charge an additional fee for programs, we believe many racetrack newcomers would not know to buy one and would, therefore, be very unlikely to wager and learn the game of horse racing.
- **Free Newcomers’ Seminars** – Located just inside the Stretch Run admission gates in the Plaza de Mexico, these simple 30-minute seminars are held every racing day and are extremely popular.
- **Free Handicapping Seminars** – Every Saturday and Sunday patrons can listen in as handicapping experts analyze the day’s racing card and give out their selections in every race.
- **Website** – The Del Mar website features a “Beginner’s Corner” which provides helpful tips on how to prepare for a visit to the races including pre-planning, handicapping resources, wagering, track terms and more. Fans may also participate in “Night School”, the racing industry’s national, interactive online fan education forum featuring lessons from expert hosts.
- **Betting Guides** – *Today’s Racing Digest* produces pocket-sized guides for Del Mar that explain racing terms and how to place a bet. The betting guides are distributed through Group Sales, Mutuel Tellers and Customer Service representatives throughout the season.
- **DRF Easy Form** – On large concert or festival days, the *Daily Racing Form* produces a simplified racing guide that is distributed to newcomers free of charge. The Easy Form covers the day’s races in a simple format that is easy to understand for newcomers. It serves as a stepping stone to the traditional *Daily Racing Form*.

- **Staff Handicappers** – Del Mar staff handicappers regularly assist Group Sales parties. The handicappers teach the basics of handicapping and betting, recommend picks and arrange paddock tours.

VIP Program

In addition to new and casual race fans, Del Mar also has a significant number of high-end customers. For those patrons we have the Del Mar VIP recruitment and retention program. The VIP program constitutes an aggressive effort to identify significant bettors and to meet the tailored needs of those patrons through personalized attention from all segments of Del Mar management and staff. The program accommodates over 200 high-per-capita patrons with services such as arranging summer housing, local transportation, complimentary dinners at local restaurants, hotel and golf reservations, and VIP seating for the races. In addition, players that wager over \$20,000 in a calendar month are eligible for rebates via a program sponsored by the California Marketing Committee.

Customer Service

Given the number of new and casual race fans at Del Mar, Customer Service plays a vital role in the experience of our customers. Therefore, we staff the facility with Customer Service Representatives and Self-service Wagering Assistants very liberally. We also believe it is very important to train our Customer Service employees very thoroughly.

Weekdays (Wednesday and Thursday)

- 18 Customer Service Representatives
- 20 Self-service Wagering Assistants
- 38 Total Staffing

Weekends (Friday, Saturday, Sunday)

- 26 Customer Service Representatives
- 30 Self-service Wagering Assistants
- 56 Total Staffing

Service Operations Audit and Market Analysis

Del Mar has recently reached an agreement with The Global Interface Consulting Group to execute an operations audit platform for the 2013 season. Specific deliverables include:

- On-track audit on various racing days of all visitor touch points including traffic, parking, ticket takers, will call, mutuel tellers, food and beverage, guest services, fan engagement/entertainment, grounds cleanliness, in-venue technology, signage, etc.
- Online survey of current and potential Del Mar fan base to identify demographics, attendance and purchase patterns, wagering patterns, sponsor recognition, competitive event attendance, etc.
- On-site fan intercept data collection study highlighting key experiential elements and return visit indicators
- San Diego economic impact investigation for the racing season

The results from this exercise will allow Del Mar to improve our communications and interactions with our current and potential fan base.

Database Marketing

Database marketing continues to be one of the key components of the media mix each season. Given that the primary advertising strategy is to target new fans that are potentially younger, it is vital that we communicate effectively with our current fan base. With that strategy in mind, Del Mar has devoted significant time and money to identify our regular players. First, a state-of-the-art data warehouse was created that pulled together all the various lists of names and information contained at the racetrack (marketing, racing, group sales, turf club, ticketing, etc.) and combined them into a single database that can be segmented into various categories. Also, in May of 2006 a new loyalty club, known as the Diamond Club, was launched. The Diamond Club, which gives members half-priced admission each time they attend the races at Del Mar, allows us

to track the visitation patterns of Club members. The Diamond Club has enjoyed phenomenal growth since its inception in 2006 with membership numbers now topping over 180,000.

DEL MAR
cool as ever

DEL MAR
cool as ever

DEL MAR
DIAMOND CLUB
DEL MAR THOROUGHBRED CLUB AND
SURFSIDE RACE PLACE

Join the club that
gives you big discounts
at the track.

Get half-price admission to the
Del Mar racetrack and Surfside Race Place.

Approximately 230,000 pieces of direct mail will be sent out this year to racing fans in the Del Mar database. In addition to traditional mail, more than 125,000 fans will receive targeted e-mail updates from Del Mar on a year-round basis, ranging from coupons to instant notification of Pick Six carryovers to upcoming promotions and big races as well as general interest stories about various horses and horsemen.

Internet Sites

Given that Del Mar targets two distinct audiences – racetrack regulars and newcomers – we believe it is appropriate to offer two different internet sites: www.delmarracing.com and www.delmarscene.com.

www.delmarracing.com

[Delmarracing.com](http://www.delmarracing.com) is Del Mar's traditional internet site, and it was named “Best Web Site in Racing” by the *Thoroughbred Times* in 2007. A wealth of information is provided to web surfers ranging from first-time visitors to horse owners and trainers. Entries, charts, replays, real-time odds, pools and other valuable handicapping tools are also offered. The site is also popular with on-track patrons. Over 6,000 patrons each year use it to purchase admissions, make table reservations and reserve seats online. Additionally, a lottery-type drawing for table reservations for Opening Day and Pacific Classic Day is conducted exclusively online in advance of the regular opening of ticket sales. A new online ticketing system was implemented for the 2007 season that allowed patrons to receive immediate confirmation of their seat and table locations.

Out-of-town visitors to Del Mar are provided helpful travel aids including information about area restaurants, hotels, transportation and other local activities. They can also preview their visit by watching live shots from the Del Mar "Paddock Cam" and the nearby Del Mar "Beach Cam". They can even check out horses in their stalls with the Del Mar "Barn Cam".

Del Mar is a popular destination on the electronic superhighway as the site averages over 500,000 page requests per day during the season. The Online Handicapping Challenge, designed to encourage horseplayers to handicap and play the Del Mar card, draws nearly 7,000 players each season. The site also continues to be popular during the off-season with archived information about the

past season, previews of the coming season, interactive forum and chat pages, a play-for-fun handicapping contest during other Southern California race meets and our online gift shop.

www.delmarscene.com

Delmarscene.com is Del Mar's "lifestyle & music" website that also serves as the hub for all our social media efforts with Facebook, Twitter, Instagram and YouTube. Launched in June of 2008, the site allows fans to access information on upcoming concerts and promotions. It also allows fans to share their personal experiences at the track with other fans. The site is a "Del Mar-centric" mix of concert schedules, photo galleries, YouTube videos and social networking. Highlights include user-generated content (blogs, photos and videos), music and band pages, a photo gallery of celebrities at Del Mar, blogs from jockeys and beginner-oriented racing information.



DEL MAR *Scene*

the Pick 6 Carryover for Sunday: \$1,433,234.32

Four O'Clock Fridays

Enjoy the music. Enjoy the fun.
Concerts Free with Paid Track Admission

f t YouTube Instagram p

The banner features a dark, atmospheric photograph of a racetrack at night with bright lights and a crowd. The text is overlaid in white and light gray. At the bottom right, there are five circular social media icons: Facebook, Twitter, YouTube, Instagram, and Pinterest.

Social Media

The social media goals for the 2012 season are threefold:

1. Increase awareness
2. Increase brand loyalty
3. Increase on-track attendance

The emphasis is not only on growing the size of our community, but the quality of the people and interactions. The feedback from our social media community is overwhelmingly positive, with people posting on both Facebook and Twitter how much they love Del Mar and how much they appreciate our efforts in the social space.

Facebook, which now has over 37,500 Del Mar fans within our community has proven to be an easy platform for us to grow and a natural space for us to be in as a result of our visual content. Our fans respond very favorably to the videos we share about concerts and big races, and regularly view and comment on our many photo albums. The content most often responded to involves celebrities, events, concerts and contests. However, some racing content also receives a significant response.

Twitter still has some room for growth, but despite being significantly smaller than our Facebook network, our 10,000 Twitter members are quite a bit more engaged. Twitter is best used as an engagement platform to provide customer service assistance and increase brand loyalty through one-on-one interactions.

Del Mar has addressed the demand for mobile with an application that was launched last season. The Del Mar “app” allowed on-track patrons to wager from their phone. Over \$500,000 was wagered on-track via the app last year – a number we expect to surpass this season. The app also contains convenient guest services such as ticketless admissions and event calendars as well as horse racing content including live video streaming of the races, live odds, race results and scratches/changes. The swiftness, flexibility and economical opportunities of social media and mobile marketing will continue to allow

Del Mar the ability to evolve with emerging trends, harness a new revenue stream and directly engage with our passionate audience.

Media Summary

The Del Mar Media Plan features a diversified media mix consisting of broadcast television, cable television, radio, print, online, outdoor and direct mail. Although the media buy for the upcoming season is not yet complete, it is budgeted to breakdown as follows:

Broadcast Television	\$ 270,000
Cable Television	\$ 55,000
Radio	\$ 256,000
Print	\$ 77,500
Online	\$ 145,200
Outdoor	\$ 40,000
Direct Mail	\$ 96,000
Total	\$ 939,700

In addition to the cash media budget, DMTC negotiates barter deals with select media providers. In exchange for tickets, seating and parking privileges on days when inventory is available, DMTC receives free media. The Barter Program contributes an additional \$300,000 of media each season. This brings our total paid and non-paid advertising to over \$1,239,000 for the seven-week season.

Marketing Budget

The Marketing Budget for the upcoming 2013 season is just over \$3.0 million. This amount includes direct expenses attributed to Advertising, Promotions and Publicity but does not include payroll, administrative supplies and other overhead items.

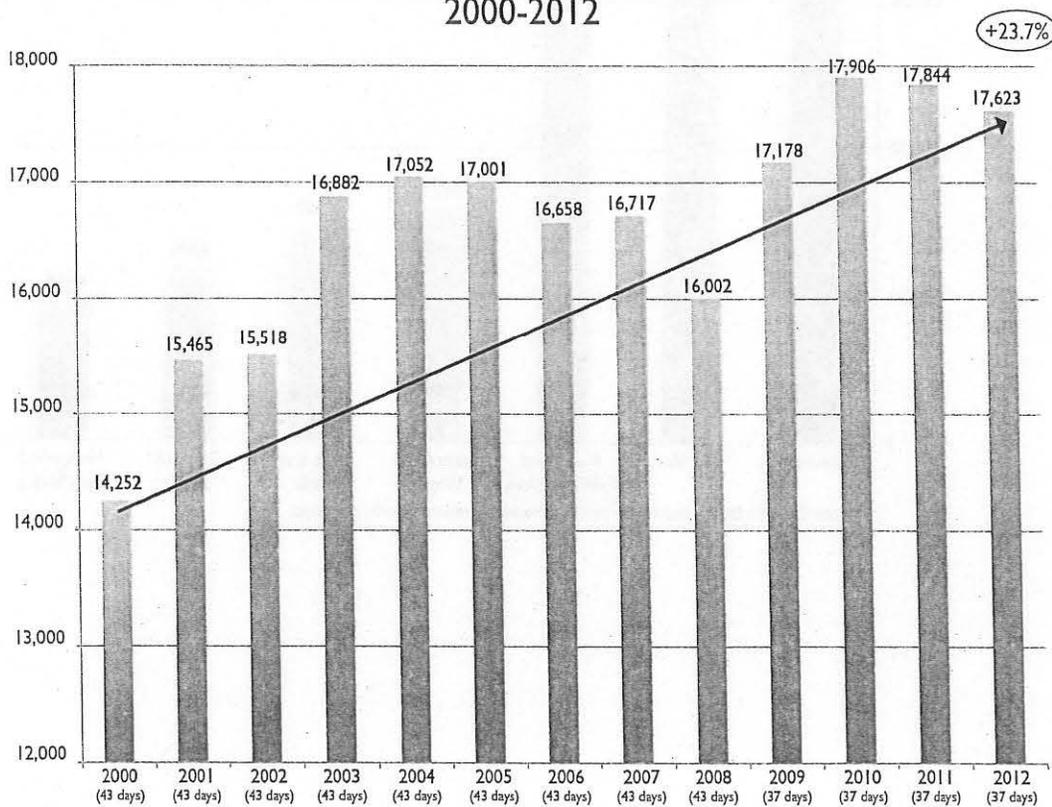
The 2013 and 2012 Marketing Budgets are categorized as follows:

	2013	2012
Advertising	\$1,410,500	\$1,349,300
Promotions	\$1,363,200	\$1,328,000
Publicity	\$ 152,000	\$ 152,600
Corporate Sponsorship Fulfillment	\$ 65,000	\$ 78,300
Other	\$ 25,500	\$ 8,400
Total	\$3,016,200	\$2,860,000
	+2.0%	

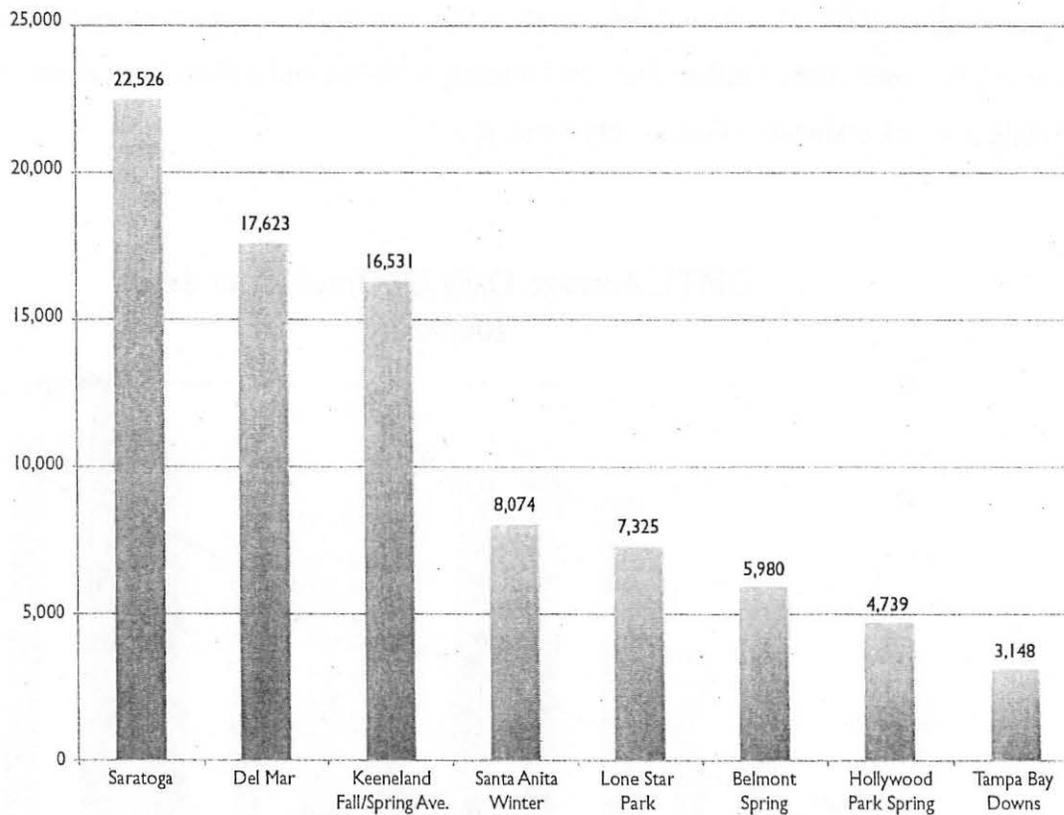
On-track Attendance 2000-2012

As the following charts indicate, Del Mar has enjoyed significant on-track attendance growth since 2000. Despite industry trends to the contrary, on-track attendance is up over 24% since 2000. Further, Del Mar currently ranks second nationally in average daily on-track attendance behind only Saratoga.

DMTC Average Daily On-Track Attendance
2000-2012



National On-Track Attendance 2012



* Note Churchill Downs and Gulfstream Park no longer report attendance figures.

C. Number of hosts and hostesses employed for meeting:

We employ approximately 38 customer service representatives on weekdays (Wednesday and Thursday) and 56 on weekend (Friday, Saturday and Sunday).

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Ongoing replacement of stairways, balconies and shed row posts in stable area living quarters (restricted area)
- Ongoing replacement of flooring, doors, locks, window screens in living quarters (restricted area)
- Ongoing replacement of morning workout lights (restricted area)
- Ongoing replacement of electrical outlets in stable area restrooms to GFCI protected (restricted area)
- Repair all stable area smoke detectors (restricted area)
- Ongoing replacement of water service to miscellaneous barns in stable area (restricted area)
- Backfill all stable area stalls with decomposed granite (restricted area)
- Renovation of miscellaneous restrooms and living quarters in stable area (restricted area)
- Ongoing replacement of exterior electrical outlets and wall lights in stable area (restricted area)

2. Fans

- ADA handrail improvements.
- Ongoing replacement of television monitors with flat screen monitors throughout facility
- Ongoing replacement of grandstand and patio furniture
- Replaced overhead lighting in grandstand seating miscellaneous areas

- Replaced carpet in miscellaneous grandstand areas.
- Enhancements to music concert venue
- New shadecovers for infield pavilion.

3. Facilities in the restricted areas
See 17-E-1

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

***Added Preferred Parking for \$15.00**

Stretch Run Admission (includes official program)	\$3.00 (Diamond Club), \$6.00 (regular), \$10.00 (Opening Day), \$25.00 (Season Pass, sold pre-season only)
Clubhouse Admission (includes official program)	\$5.00 (Diamond Club), \$10.00 (regular), \$20 (Opening Day), \$50.00 (Season Pass, sold pre-season only)
Reserved Seating (Stretch Run)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Reserved Seating (Clubhouse)	\$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)
Parking (general, single day)	\$10.00
Parking (general, advance discount book of 10)	\$50.00
Parking (preferred)	\$15.00*
Parking (valet)	\$20.00 (\$40 Opening Day)
Programs (on-track)	Included with paid admission
Programs (off-track)	\$2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

***Season box and reserved seats increased \$10.00 per seat**

Season Turf Club Membership (2 persons):	\$1200.00
Season Turf Club Membership (1 person):	\$900.00
Daily Turf Club Admission (member guests):	\$40.00 (\$100 on Opening Day)
Daily Turf Club Admission (non-member):	\$60.00 (\$200 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$285.00 to \$310.00 per seat*
Season Reserved Seat:	\$270.00*

C. Describe any "package" plans such as combined parking, admission and program:

Turf Club memberships include valet parking. All paid admissions include official programs. All season box seats will include six free admission passes in 2013.

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="73"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:

Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack:
666 permanent and 66 rental trailers
- C. Number of restrooms available on the backstretch of the racetrack: **83**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
17.5 workers to 1 restroom facility

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

The track surface is Polytrack Engineered Running Surface. The surface consists of a 7" mixture of silica sand, recycled rubber, fibers and wax. Underneath is 2-1/2" of a porous macadam followed by 4" clean stone, a layer of filter cloth and 6" round perforated drain pipe on top of the existing dirt sub-base.
- C. The percent of cross slope in the straight-aways is: **0.5%**
The percent of cross slope in the center of the turns is: **3%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track:
Fontana Inner rail: Aluminum. Outer rail: Galvanized Steel Tubing. Inner rail supports: Aluminum with 33" offset. Coverings: Fontana Safety panels. Approximate height of the top inner rail: 40".

Turf Track:
Inside running rail: Mawsafe pvc injection molded
Inside rail supports: Mawsafe pvc with 16" offset
Approx. height: 47"

Outside running rail: galvanized steel tubing
Outside rail supports: galvanized steel tubing

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Robert Sanchez, Vice President, Plant**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Exhibit 16**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **Exhibit 17**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **On file.**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
5/6/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MOC Insurance Services License No. 0589960 44 Montgomery St., 17th Fl. San Francisco CA 94104		CONTACT NAME: Rachel Porter PHONE (A/C, No, Ext): (415) 957-0600 FAX (A/C, No): (415) 957-0577 E-MAIL ADDRESS: rporter@mocins.com	
		INSURER(S) AFFORDING COVERAGE	NAIC #
		INSURER A: Philadelphia Indemnity Ins. Co.	
INSURED Del Mar Thoroughbred Club P. O. Box 700 Del Mar CA 92014		INSURER B: SeaBright Insurance Company	
		INSURER C:	
		INSURER D:	
		INSURER E:	
		INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** 13-14 Master + Umb **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR VWD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY			PHPK993002	3/15/2013	3/15/2014	EACH OCCURRENCE \$ 1,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						MED EXP (Any one person) \$ 5,000
							PERSONAL & ADV INJURY \$ 1,000,000
							GENERAL AGGREGATE \$ 2,000,000
							PRODUCTS - COMP/OP AGG \$ 2,000,000
GEN'L AGGREGATE LIMIT APPLIES PER:							
	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC						\$
A	AUTOMOBILE LIABILITY			PHPK993002	3/15/2013	3/15/2014	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO - ALL OWNED AUTOS						BODILY INJURY (Per person) \$
	<input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS						BODILY INJURY (Per accident) \$
							PROPERTY DAMAGE (Per accident) \$
							Medical payments \$ 5,000
A	<input checked="" type="checkbox"/> UMBRELLA LIAB			PHUB414509	3/15/2013	3/15/2014	EACH OCCURRENCE \$ 15,000,000
	<input type="checkbox"/> EXCESS LIAB						AGGREGATE \$ 15,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTIONS \$ 10,000						\$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			BB1124747	5/30/2012	3/31/2013	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N	N/A				E.L. EACH ACCIDENT \$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
							E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
 The certificate holder is included as additional insured as required by written contract or agreement per the attached endorsement.

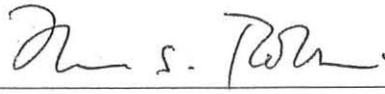
CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Rachel Porter/RPO 
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**Exhibit 17
Del Mar Thoroughbred Club
Union Contracts Currently in Negotiation**

Union	Signatory Associations	Job Classification	Contract Dates
Teamsters Local 495 Auto Park	Del Mar Thoroughbred Club Hollywood Park Racing Associaton Los Alamitos Race Course Los Angeles County Fair Association Los Angeles Turf Club, Incorporated Oak Tree Racing Association	Auto Park	July 18, 2009 through July 21, 2013 (Negotiations in progress)
Teamsters Local 495 Clockers / Outriders	Del Mar Thoroughbred Club Hollywood Park Racing Associaton Los Alamitos Race Course Los Angeles County Fair Association Los Angeles Turf Club, Incorporated Oak Tree Racing Association	Clockers, Outriders	July 21, 2009 through July 21, 2013 (Negotiations in progress)

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Thomas S. Robbins
Print Name


Signature

Executive Vice President, Racing
Print Title

4/17/13
Date

STAFF ANALYSIS
May 23, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 24, 2013 THROUGH AUGUST 11, 2013.

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

- July 24 through August 11, 2013, or 13 days, two less than 2012. July 24 and 25 will be simulcasting only and live racing will begin July 26, 2013. The fair proposes to race a total of 142 races.
- Sonoma County Fair is no longer a member of the California Authority of Racing Fairs ("CARF") as of April 2, 2013. CARF and Sonoma have entered into a Separation Agreement under which Sonoma has withdrawn from all management services provided by CARF. Management services, including financial reconciliations and accounting, will not be provided by CARF for the 2013 Live Racing season. At this time the issue of the Paymaster of Purses has not been resolved.
- The proposed race dates are the approved dates allocated to the fair.

July - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4 H	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

August - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Simulcasting Wednesday and Thursday and racing Friday through Sunday the first week only, with 10 races per day on Friday; 12 races per day on Saturday and Sunday. The second and third week racing Wednesday through Sunday, with 10 races per day on Wednesdays, Thursdays, and Fridays; 12 races per day on Saturdays and Sundays.
 - Racing concurrently with Del Mar July 26 through August 11, 2013.
- Number of horses available determines the number of daily races programmed by breed.
 - 2012 Race Meeting: Average number of runners per race (TB): 7.71
 - 2012 Race Meeting: Average number of runners per race (Arabian): 7.00
 - 2012 Race Meeting: Average number of runners per race (Quarterhorse): 5.67
 - 2012 Race Meeting: Average number of runners per race (Mules): 6.7
- First post 1:15 p.m. daily.
- Fridays post time is 3:15 p.m.
- No specific changes from the 2012 license application:

- Request Daryl Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpire.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpire, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Wagering program will use CHRB and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 races
 - \$0.50 Pick 5 on last 5 races with 100% major pool paid – 75% carryover and 25% minor pool to most winners.
 - \$2 Pick 6 on last 6 races – 70% major pool paid or a carryover and 30% minor pool paid.
 - \$0.50 Pentafecta selecting first five finishers - 100% carryover if no winners.
 - Super High Five on the last race.
 - Early wagering will not be offered.

- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow an entry to run for purse only.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.

ITEMS STILL NEEDED:

1. One director's license expired 1/2013
2. NCOTWINC audio visual agreement
3. Horsemen's agreements (TOC, Arabians, Quarter and Mules)
4. Name of the Paymaster of Purses

Specific information which will need **updating** during the proposed race dates:

1. Racing secretary's license will expire 7/2013 during the race meet.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Sonoma County Fair
1350 Bennett Valley Drive
Santa Rosa, Ca 95404
(707) 545-4200 / (707) 545-9342 Fax

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Tawny Tesconi, Fair Manager, 707-545-4200, tawny@sonomacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **Wednesday, July 24 – Sunday, August 11, 2013**
- B. Actual dates racing will be held: **Friday - Sunday, July 26 – 28, Wednesday - Sunday, July 31 – August 4 & Wednesday – Sunday, August 7 - 11**
- C. Dates racing will NOT be held: **July 24, 25, 29, 30 & August 5 & 6. July 24 & 25 will be simulcast only days.**
- D. Total number of racing days: **13**
- E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) **Friday – Sunday (first week only)**

3. RACING PROGRAM

A. Total number of races: **142**

CHRB CERTIFICATION	
Application received: 5/8/13	Hearing date: 5/23/13
Reviewed: aw	Approved date:
	License number:

Should the availability of horses and mules exceed our expectations, we request approval to adjust the number of races scheduled.

B. Number of races by breed:

<input type="text" value="116"/>	Thoroughbreds	<input type="text" value="7"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="10"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="9"/>	Mules

C. Number of races daily:

	Wed 7/31 & 8/7	Thur 8/1 & 8/8	Fri 7/26, 8/2 & 8/9	Sat 7/27, 8/3 & 8/10	Sun 7/28, 8/4 & 8/11
Thoroughbred	8	8	8	10	10
Other Breeds	2	2	2	2	2
Total	20	20	30	36	36

D. Total number of stakes races by breed:

<input type="text" value="6"/>	Thoroughbreds	<input type="text" value="2"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Thoroughbred Stakes

Sonoma County Horseperson of the Year Three-year old Fillies – One and 1/16 Miles (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jul 27
Luther Burbank Handicap – 43 rd Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Jul 28
Robert Dupret Derby Three-year olds – One & 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sat., Aug 3
Joseph T. Grace Handicap – 42 nd Running Three-year olds and Upward - One and 1/16 Miles (Turf)	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 4
Jess Jackson Owner's Hdcp Three-year olds and Upward – 5 Furlongs (Turf)	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 4
Cavonnier Juvenile Overnight Stakes – 46 th Running Two-year olds - Six Furlongs	\$50,000 Guaranteed (Plus up to \$15,000 to Cal-Breds)	Sun., Aug 11

Quarter Horse Stakes

PCQHRA Handicap Three Year Olds and Upward – 350 Yards	\$8,000 Guaranteed	TBD
Wine Country Derby Three Year Olds & Upward – 440 Yards	\$8,000 Guaranteed	TBD

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

Race Number	Wednesday / Thursday	Fridays	Weekends
Race # 1	1:15p.m.	3:15 p.m.	1:15 p.m.
Race # 2	1:45 p.m.	3:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	4:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	4:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	5:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	5:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	6:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	6:45 p.m.	4:45 p.m.
Race # 9	5:15 p.m.	7:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.		5:45 p.m.
Race # 11			6:15 p.m.
Race # 12			6:45 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

- | | | | |
|--------------------------|--------------|------------------|----------------|
| Doug Beretta | Lisa Carreño | Cindy Crane | Marilyn Herzog |
| Saralee McClelland Kunde | Dave Lewers | Ross Liscum | Teejay Lowe |
| Max Mickelsen | Rob Muelrath | Annette O’Kelley | Bev Palm |
| Lisa Wittke Schaffner | | | |

C. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

- | | | | |
|-------------|---------------|--------------|-------------|
| Teejay Lowe | Rob Muelrath | Lisa Carreño | Cindy Crane |
| Ross Liscum | Max Mickelsen | | |

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

- Tawny Tesconi – Fair Manager
- Robert Moreno - Racing Secretary
- Richard Lewis - Director of Racing
- Bryan Wayte - Mutuel Manager
- Michelle Mustard - Satellite Supervisor
- TBD - Race Track Superintendent
- Michael Wrona - Track Announcer

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Tawny Tesconi, Fair Manager

**1350 Bennett Valley Road
 Santa Rosa, CA 95404
 tawny@sonomacountyfair.com**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2012	\$34,182,905	40,030	15 days
2011	\$34,738,586	41,926	15 days
2010	\$37,086,356	41,026	15 days
2009	\$29,655,102	39,101	10 days
2008	\$37,484,909	39,270	12 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

- A. Purse distribution: **2013 (13 days) vs. 2012 (15 days)**

1. All races other than stakes:
 Current meet estimate: \$1,298,598
 Prior meet actual: \$1,528,961

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$ 99,892
 Prior meet actual: \$101,931

2. Overnight stakes:
 Current meet estimate: \$368,000
 Prior meet actual: \$264,350

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$28,308
 Prior meet actual: \$17,623

3. Non-overnight stakes:
 Current meet estimate: \$-0-
 Prior meet actual: \$140,600

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$-0-
 Prior meet estimate: \$9,373

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,666,598
 Prior meet actual \$1,933,911

Average Daily Purse (7A4 ÷ number of days):
 Current meet estimate: \$128,200
 Prior meet actual: \$128,927

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$124,471
 Prior meet actual: \$146,552

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 4,051	\$ 4,770
TOC \$ 8,103	\$ 9,540
NTRA \$ 2,511	\$ 2,957
PCQHRA \$ 1,026	\$ 1,208
CWAR \$ -0-	\$ -0-
ARAC \$ 1,854	\$ 2,183
AMRA \$ 3,673	\$ 4,324
CHBPAPEN \$12,157	\$14,314
CTHF <u>\$12,157</u>	<u>\$14,314</u>
Total \$45,533	\$53,610

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: \$1,836,602

Prior meet actual: \$2,134,073

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$141,277

Prior meet actual: \$142,272

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,303,987

Prior meet actual: \$1,515,192

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$100,307

Prior meet actual: \$101,013

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$532,615

Prior meet actual: \$618,881

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$40,970

Prior meet actual: \$41,259

- G. Bank and account number for the Paymaster of Purses' purse account:

Exchange Bank, Sonoma County Fair: Account number on file with CHRB

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:

800

- B. Minimum number of stalls believed necessary for the meeting:

760

- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or

approved training centers:
2,124

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

Alameda County Fair, Pleasanton – 684 stalls; Golden Gate Fields – 1,440 stalls

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Northern California Stabling and Vanning Fund (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse:

9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input type="checkbox"/>
Race #1	\$2DD \$1E \$1PPN \$1PK3 \$.50 PNP (Pick 4) \$1 Trifecta \$.10 Superfecta	CHRB 1957 CHRB 1959 CHRB 1976.8 (Covering Races 1 – 10) CHRB 1977 CHRB 1976.9 CHRB 1979 CHRB 1979.1	
Race #2	\$2DD \$1E \$1PPN	CHRB 1957 CHRB 1959 CHRB 1976.8 (Covering Races 2 – 11)	

	\$1PK3	CHRB 1977
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #3	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PPN	CHRB 1976.8 (Covering Races 3 - 12)
	\$1PK3	CHRB 1977
	\$2 PNP (Pick 6 when 8 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #4	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 5 when 8 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 9 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #5	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3	CHRB 1977
	\$.50 PNP (Pick 4 when 8 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 9 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 10 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #6	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 8 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 9 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 10 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 11 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #7	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 9 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 10 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 11 races)	CHRB 1976.9
	\$2 PNP (Pick 6 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
Race #8	\$2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 10 races)	CHRB 1977
	\$.50 PNP (Pick 4 when 11 races)	CHRB 1976.9
	\$.50 PNP (Pick 5 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 8 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #9	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 11 races)	CHRB 1977

	\$.50 PNP (Pick 4 when 12 races)	CHRB 1976.9
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 9 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #10	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1PK3 (when 12 races)	CHRB 1977
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 10 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #11	2DD	CHRB 1957
	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 11 races)	ARCI 004-105 (Pick (n) position (x) pools)
Race #12	\$1E	CHRB 1959
	\$1 Trifecta	CHRB 1979
	\$.10 Superfecta	CHRB 1979.1
	\$.50 Super High 5 (when 12 races)	ARCI 004-105 (Pick (n) position (x) pools)

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 11, 2013 (Closing Day of Sonoma County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$.10 SF when applicable
\$.50 PNP4 on the first four races and the last four thoroughbred races each day
\$.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5.
If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.10 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.
\$.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in this race. Super High-5 will be carded on the last race of the day.

- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
Sportech Racing, LLC (Tom Kelso) – Expires 8/11/13
Equipment description on file with Board.

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On File**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
Yes No

If yes, attach a copy of the approval. – **On File**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NCOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
New Contract In Progress

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
Bankers Casino, Salinas
Big Fresno Fair, Fresno
California State Fair & Exposition,
Sacramento
Club One, Fresno
Golden Gate Fields, Albany
*Humboldt County Fair, Ferndale
Jockey Club at San Mateo, San Mateo
Kern County Fair, Bakersfield
Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Stanislaus County Fair, Turlock
Tulare County Fair, Tulare

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside
Cabazon Fantasy Springs Casino, Indio
Commerce Casino Racebook, Commerce
Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona
Fantasy Springs Casino, Indio
Los Alamitos Race Course, Los Alamitos
OC Tavern & Sports Bar, San Clemente
Roadhouse Grill, Santa Maria
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Sports Center, San Bernardino
Sports Pavilion at The Farmers Fair, Lake Perris
Sports Pavilion, San Bernardino Cty. Fair, Victorville
Surfside Race Place at Del Mar, Del Mar
Sycuan Gaming Center, El Cajon
Viejas Casino & Turf Club, Alpine
Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

2013 Sonoma County Fair Meet Commingled Locations

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Arapahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Arima Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Arlington I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Atokad	Fair Grounds ADW	Maywood	Sol Mutuel
Balmoral	Fair Meadows	Meadowlands	Southland Greyhound
Balmoral ADW (BETZOTIC)	Finger Lakes	Meadows The	Sports Creek Raceway
Bangor Raceway	Fonner	Meadows The (ADW) - PA regional	State Fair (Lincoln, NE)
Batavia	Freehold	Mobile Greyhound	Suffolk District OTB
Bettor Racing	Gillespie County Fair	Monmouth Park	Suffolk Downs
Beulah Park	Global Wagering Solutions	Montana OTB	Pat's Pizza
Birmingham	Bwin International Ltd.	Monticello	Sunland Park
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Mountaineer Park	SunRay Park & Casino
Buffalo Raceway	Magna Bet	Mt. Pleasant Meadows	Tampa Bay Downs
Calder Racecourse	Racebets	Nassau Regional OTB	Taunton Acct Wagering
Canterbury Park	Greenetrack	New Jersey Casino Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
Catskills OTB	Gulf Greyhound	Newport Jai Alai	Thistledown
Charles Town Race Course	Gulfstream	NJ Mobile	Tioga Downs
Chester Downs & Marina LLC	Harrington Raceway	Northfield	Tri-State GH (Mardi Gras)
Churchill Downs	Hawthorne Race Course	Cedar Downs OTB	Turf Paradise
Club Hipica InTurf	Hawthorne ADW	Northville	Turfway Park
Coeur d' Alene Casino	Hazel Park	NYRA	TVG Chester
Colonial Downs	Hoosier Park	NYRA Account Wagering	TVG Network
Colonial Downs Phone Bet	Horseman's Park	Oaklawn	TVG Prairie
Columbus Raceway	Indiana Downs	Ocean Downs	Twin River Greyhound
Connecticut OTB	Evansville OTB	Panama	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Penn National Telebet	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Peru	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philadelphia Park	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Philly Park Phone Bet	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Race Course	Western OTB
Willimantic	Kentucky OTB	Plainridge Telephone Wagering	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Player Management Group	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Account Wagering	Wyoming
Coushatta Casino	Lewiston OTB's	Pocono Downs and OTB	XpressBet
Paragon Casino	Lien Games	Portland Meadows	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Potawatomi Casino/ OTB	Youbet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Prairie Meadows	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Gateway (Phumelela)	
Pony Bar Simulcast Center	Skydancer Casino OTB	Premier Turf Club	

Tote Investment Racing	Lien Games ADW	Presque Isle Downs	
Randall James Racetrack	BetAmerica	Raceway Park	
Millenium Racing	BestFastNow	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
Corpus Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
Delaware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Listing Above
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar (San Clemente), Original Road House Grill (Santa Maria), Commerce Casino, Santa Clarita Lanes
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

<u>Host Track</u>	<u>Race Dates</u>	<u>Full Card or Selected Feature and/or Stakes Races</u>
Arlington Park	7/24 – 8/11	Selected Feature and/or stakes races
Calder Racecourse	7/24 – 8/11	Selected Feature and/or stakes races
Canterbury Downs	7/24 – 8/11	Selected Feature and/or stakes races
Charles Town	7/24 – 8/11	Selected Feature and/or stakes races
Delaware	7/24 – 8/11	Selected Feature and/or stakes races
Ellis Park	7/24 – 8/11	Selected Feature and/or stakes races
Emerald Downs	7/24 – 8/11	Selected Feature and/or stakes races
Evangeline Downs	7/24 – 8/11	Selected Feature and/or stakes races
Fairgrounds	8/7 – 8/11	Selected Feature and/or stakes races
Fingerlakes	7/24 – 8/11	Selected Feature and/or stakes races
Gulfstream	7/24 – 8/11	Selected Feature and/or stakes races
Indiana Downs	7/24 – 8/11	Selected Feature and/or stakes races
Louisiana Downs	7/24 – 8/11	Selected Feature and/or stakes races
Monmouth	7/24 – 8/11	Selected Feature and/or stakes races
Mountaineer	7/24 – 8/11	Selected Feature and/or stakes races
Penn National	7/24 – 8/11	Selected Feature and/or stakes races
Philadelphia Park/Parx	7/24 – 8/11	Selected Feature and/or stakes races

Prairie Meadows	7/24 – 8/10	Selected Feature and/or stakes races.
Presque Isle Downs	7/24 – 8/11	Selected Feature and/or stakes races
Ruidoso	7/24 – 8/11	Selected Feature and/or stakes races
Saratoga	7/24 – 8/11	Selected Feature and/or stakes races
Suffolk Downs	7/24 – 8/11	Selected Feature and/or stakes races
Thistledown	7/24 – 8/11	Selected Feature and/or stakes races
Assiniboia	7/24 – 8/11	Selected Feature and/or stakes races
Australian Racing	7/24 – 8/11	Selected Feature and/or stakes races
Fort Erie	7/24 – 8/11	Selected Feature and/or stakes races
Hastings	7/24 – 8/11	Selected Feature and/or stakes races
Northlands Park	7/24 – 8/11	Selected Feature and/or stakes races
South American Racing	7/24 – 8/11	Selected Feature and/or stakes races
United Kingdom	7/24 – 8/11	Selected Feature and/or stakes races
Woodbine	7/24 – 8/11	Selected Feature and/or stakes races

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A.			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) – **Sarah Sporer, D.V.M.**
 Clerk of Scales – **Kenneth Sjoldal**

Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker-Bryant (Thoroughbreds)**
 Film Specialist – **Cheryl White**
 Horse Identifier – **Daryl Sparks**
 Horseshoe Inspector – **Jack Hammonds**
 Paddock Judge – **Danny Winick**
 Patrol Judges – **Myra Truitt & Cheryl White**
 Placing Judges – **Greg Brent & Ella Robinson**
 Starter – **Todd Stephens**
 Timer – **Melody Truitt**

- B. Management officials in the racing department:
 Director of Racing – **Richard Lewis**
 Racing Secretary – **Robert Moreno**
 Assistant Racing Secretary – **Linda Anderson**
 Paymaster of Purses – **TBD**
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Niccoli Reporting; 619 Pilgrim Drive, Foster City, CA 94404; pdqcsr@comcast.net; (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 8/11/13)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep – 4/30/14
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16th pole and both chutes. Pegasus Communication, Inc. – Jim Porep - Contract Expires: 4/30/14

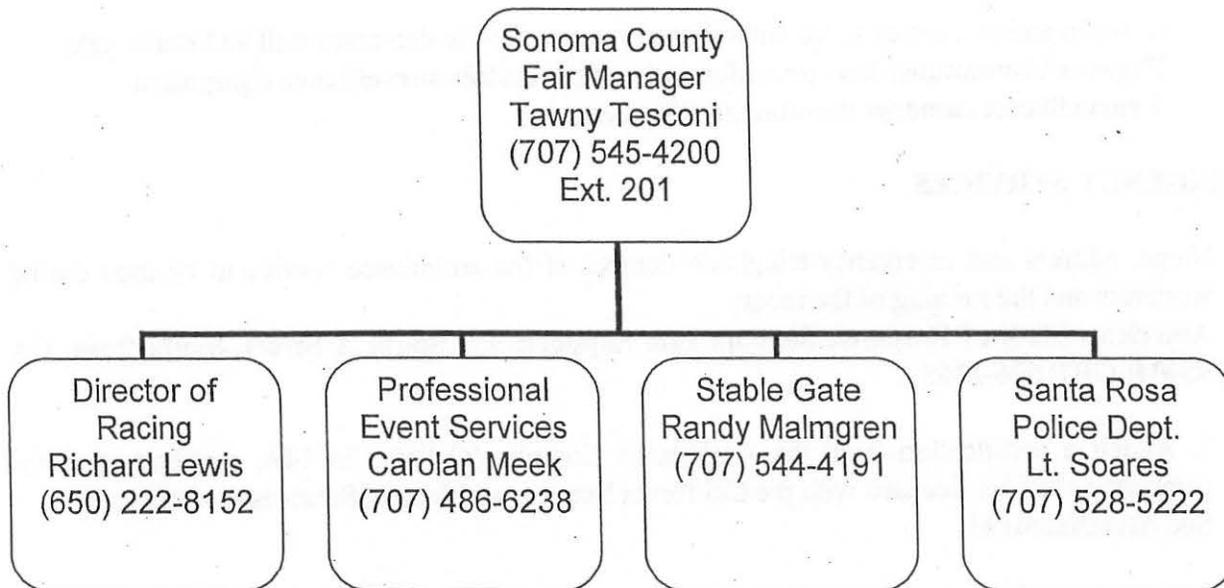
13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Tawny Tesconi, Fair Manager (707) 545-4218

Lt. Soares, Santa Rosa Police Department, currently in overall charge of sworn officers at the Fair. Police are dispatched from (707) 528-5222. Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same (707) 528-5222 number.

Private security supplied by Professional Events Services:
Carolan Meek: (707) 463-1733 office; (707) 486-6238 cell
CC: (415) 336-4462

Randy Malmgren, Stable Manager: (707) 544-4191
Stable Gate: (707) 544-4191



- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
7 uniform guards, 8 gatemen – 1 night patrolman – 2 uniform police.
Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**
 2. Detention Stalls:
No Graded Stakes
 - A. Attach a plan for use of graded stakes or overnight races. **N/A**
 - B. Number of security guards in the detention stall area during a 24-hour period. **N/A**
 - C. Describe number and location of surveillance cameras in detention stall area. **N/A**
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
Will comply with and follow CHRB directives.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Will comply with and follow CHRB directives.

C. Plan for detention stalls for repeat offenders.
Will comply with and follow CHRB directives.

D. Number of security personnel assigned to the TCO2 program.
As directed by CHRB

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
**Pegasus Communications provides and installs mobile surveillance equipment.
 4 surveillance cameras monitoring this area**

14. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response/Sonoma Life Support; 930 South A Street, Santa Rosa, CA 95404; (707) 576-1365

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attachment #1

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair
 American Medical Response
 640 143rd Street
 San Leandro, CA 94577
 (510) 895-7600**

**Golden Gate Fields
 Turf Rescue LLC
 19615 Barclay Road
 Castro Valley, CA 94546
 (510) 581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached.

The ambulance at Pleasanton has 1 EMT and 1 Paramedic, the ambulance at GGF also has 1 EMT and one Paramedic.

C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attachment #2

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
David Fichman, M.D. (707) 829-1811

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:



Dean B. Anderson, General Manager
Sonoma County

April 15, 2013

Tawny Tesconi, Fair Manager
Sonoma County Fairgrounds
1350 Bennett Valley Road
Santa Rosa, CA 95404

Ms. Tesconi,

Pursuant to your request for information about certification and licensure of our personnel, this letter is submitted as my certification that each of our Emergency Medical Technicians and Paramedics maintain the licenses required by the State of California and the Coastal Valleys EMS Agency

All of our paramedics maintain current licensure by the State of California EMS Authority and are accredited by the Coastal Valleys EMS Agency to practice as paramedics in Sonoma County. Emergency Medical Technicians are certified by the Coastal Valleys EMS Agency or another local EMS agency whose certification is recognized statewide as prescribed in California Health & Safety Code, Division 2.5.

A sample of the state license for paramedics is attached. Photocopies of each paramedic's license are maintained in our files.

Please don't hesitate to contact me if further information is needed.

Sincerely,

Dean B. Anderson
General Manager

ITEM #14 B1

Turf Rescue, LLC.
4470 Hillsborough Drive
Castro Valley, CA 94546
510 581-8470

March 7, 2013

California Horse Racing Board
1000 Eastshore Hwy.
Berkeley, CA 94710

To Whom It May Concern:

This is to inform you Turf Rescue, LLC., operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC.

ATTACHMENT #2**14.C. Describe the on-track first aid facility, including equipment and medical staffing:****Sonoma County Fair Emergency Care and Medical Facilities**

Sonoma County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

On-Track Staffing

One Advanced Life Support (ALS) Paramedic and one Emergency Medical Technician (EMT), from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and warm-up hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

Jockey Quarters Staffing

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and allied personnel requiring non-emergency medical care.

Grandstands First Aid Staffing

As a back-up to any medical emergencies that happen on the track or backstretch or to any fans; the Fair also has a fully equipped First Aid Station located on the lower level of the Racing Grandstands. This location is staffed daily from 10:30 a.m. to 11:00 p.m. The contractor must provide at a minimum one person certified in ALS (Advanced Life Support) and one EMT; and there is an ambulance also located near this First Aid Station that is equipped with the same equipment as listed on the Ambulance inventory included in this application for the track ambulance.

Location of nearest Emergency Room Facility (Hospital) and Fire Department

Both the Emergency Room and Fire Department is located less than 3 miles away from the Fairgrounds.

Physical Resources

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

Ambulance

See the following List of Supplies and Equipment

Equine First Aid

Sonoma County Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Revised April, 2000

VEHICLE INSPECTION FORM

Ambulance Service _____ Date _____

Personnel _____ Vehicle Year _____ Make _____ Model _____

Unit # _____ License # _____ Vin # _____

ALS EQUIPMENT	Ambulance	ALS 1st Response	Special Use	EMS Aircraft
Coastal Valleys Region ALS Protocols, current copy	1	1	1	1
Monitor-Defibrillator	1	1	1	1
EKG cables	2	1	1	1
Tube gel	2	1	1	1
EKG paper, extra	1	1	0	1
Electrodes- Adult	6	3	2	6
Pediatric	6	3	2	6
Extra battery	1	1	1	1
Automatic Implanted Defibrillator Magnet	1	1	1	1
Cricothyrotomy Insertion Kit	2	1	1	1
Pleural Decompression Kit	2	1	1	1
Laryngoscope handle	1	1	1	1
Extra batteries	2	1	1	1
Extra bulbs	1	1	1	1
Intubation stylet, adult	2	1	1	1
Intubation stylet, pediatric	2	1	1	1
Magill forceps, adult	1	1	1	1
Magill forceps, pediatric	1	1	1	1
Broslow tape	1 ea	1 ea	1 ea	1 ea

ALS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
Endotracheal tubes,				
#2.5, uncuffed	2	1	1	1
#3.0, uncuffed	2	1	0	0
#3.5, uncuffed	2	1	1	1
#4.0, uncuffed	2	1	1	1
#4.5, uncuffed	2	1	0	0
#5.0, cuffed	2	1	1	1
#6.0, cuffed	2	1	1	1
#7.0, cuffed	2	1	1	1
#8.0, cuffed	2	1	1	1
#9.0, cuffed	2	1	1	1
Laryngoscope blades, adult straight	1	1	1	1
adult curved	1	1	1	1
pediatric straight	1	1	1	1
pediatric curved	1	1	1	1
Endojectis	2	1	1	2
adult mask	1	1	1	1
pediatric mask	1	1	1	1
Pulse Oximeter (optional)				
CO2 detectors	2	1	1	2
Aerosol masks, adult	2	1	0	2
pediatric	2	1	0	2
Nebulizer sets	2	1	1	2
Armboards, large, small	1	1	1	1
VHF and UHF radio	1 ea	1 ea	1 ea	1 ea
Activated Charcoal, 50 gms	2	1	0	2
Adenosine	36 mg	36 mg	36 mg	36mg
Albuterol 0.083%, 3 cc unit dose,	3	3	1	3

ALS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
Aspirin, chewable 80 mg ,	1 bottle	1 bottle	4 tabs	1 bottle
Atropine Sulphate, 8 mg multi-dose vial	2	2	0	2
Atropine Sulphate, 1 mg pre-load,	4	2	3	4
Atrovent, 0.02% 500 mgm	2	2	2	2
Benadryl, 50 mg/cc ampule,	2	2	2	2
Calcium chloride, 1 Gm/10 cc pre-load,	1	1	0	1
Dextrose 50%, 25 Gm/50 cc pre-load, (2)	2	2	1	2
Dextrose 25% 12 Gm/50 cc pre-load	2	2	1	2
Dopamine, 400 mg in 10cc	2	1	0	2
Epinephrine 1:1000, 1 mg/1 cc ampule	3	3	2	3
Epinephrine 1:1000, 1 mg/1 cc, 30 cc vial	1	1	0	2
Epinephrine 1:10,000, 1 mg/10 cc pre-load,	5	2	3	3
Instant glucose	2	1	1	2
Glucagon, 1mg/ml,	2	1	1	2
Lasix, 40 mg/4 cc ampule	2	2	2	2
Lasix, 100 mg/10 cc ampule	2	2	0	2
Lidocaine, 100 mg/5 cc pre-load	4	4	2	4
Morphine Sulphate, 10 mg	3	2	1	3
Naloxone hydrochloride, 1 mg/cc, 2 cc ampule	2	2	2	2
Nitroglycerine, 0.4 mg/sl tablets, bottle or spray, 0.4 mg per dose	1	1	1	1
Pitocin, 10 units/1 cc ampule,	4	4	0	4
Sodium Bicarbonate, 50 mEq/50 cc pre-load,	2	2	0	2
Midazolam (Versed) 10mg	2	2	1	2
Controlled Substance and Administration logs current to date	1	1	1	1
D5W 250 cc bag (if not carrying pre-mix drugs)	2	1	0	2
NS 100 cc bag	2	1	0	2
NS 500 cc bag	3	0	0	3

ALS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
NS 1000 cc bag	6	2	2	3
Saline Flush, 10 cc	3	3	1	2
Saline locks	3	3	2	2
IV tubing extension	6	4	2	5
Dial-a-flow extension set, capable of regulating from 5-45 microdrops/minute	2	1	1	2
Microdrip IV tubing,	4	2	1	2
Macro drip IV tubing	6	2	1	4
14 gauge catheter, over the needle	4	2	2	4
16 gauge catheter, over the needle	4	2	2	4
18 gauge catheter, over the needle	6	4	2	6
20 gauge catheter, over the needle	4	2	2	4
22 gauge catheter, over the needle	2	2	2	2
Intraosseous Needles	2	1	1	2
Syringes, *** 3 cc with 25g, 5/8" needle	4	2	1	4
3 cc with 22g, 1 1/2" needle	4	4	1	4
1 cc TB with needle	4	2	1	4
12-20 cc without needle	4	4	1	4
35 cc without needle	1	1	0	1
Needles, *** 20 gauge, 1 1/2"	5	5	1	5
18 gauge, 1 1/2"	5	5	1	5
"Sharps" container, large in rig	1			1
Small, in drug box	1	1	1	1

*** All needles will meet the CalOSHA Safer Sharps requirements

BLS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
Airways; Oropharyngeal, 0-6	1 set	1 set	1 set	1 set
Nasopharyngeal	4 sizes	4 sizes	4 sizes	4 sizes
adult, non rebreather	2	1	1	2
pediatric	2	1	1	2

BLS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
cannulas, adult	4	2	1	4
Fixed oxygen in vehicle with regulator, (M or larger)	1			1
D cylinder O ₂	1	1	1	1
Oxygen extension tubing	1	1	1	1
Portable blood pressure cuffs, adult	1	1	1	1
thigh cuff	1	1	0	1
pediatric	1	1	1	1
Bedpan and urinal,	1			
Bite sticks, padded	2	1	1	2
Snakebite extractor kit	1	1	1	1
Stretchers: ambulance gurney	1			
collapsible stretcher with straps	1			
scoop with straps	1	0	0	0
Current County map	1	1	0	1
Flashlight, six-volt or equivalent	2	1	1	1
4" x 4" sterile compresses	12	6	6	12
4" x 4" nonsterile compresses	24	12	6	24
3"-5" rolled bandages	4	4	2	4
40" triangular bandages	4	2	0	2
10" x 30" universal	2	1	0	2
5" x 9" surgical	2	1	0	2
tongue blades	6	2	1	6
large safety pins	4	2	0	4
bandage shears	1	1	1	1
tourniquet	2	2	1	2
vaseline gauze	2	2	1	2
1" roll adhesive	2	1	1	2
2" roll adhesive	2	1	0	2

BLS EQUIPMENT	Ambulance	ALS 1 st Response	Special Use	EMS Aircraft
Burn sheets, sterile	2	2	0	2
Cervical collars, stiff, all sizes,	1 set	1 set	1 set	1 set
Towel rolls,	4	2	2	2 sets
Cold packs	2	2	1	2
Emesis basins	2	1	0	2
Masks, disposable	10	5	2	10
Gloves, disposable box	1	1	0	1 box
S.T.A.R.T. tags	25	25	25	25
felt tip marker	1	1	1	1
clipboard	1	1	0	1
"Medical Group Supervisor" vest	1	1	0	1
"Transportation Group Supervisor" vest	1	1	0	1
Stethoscopes	2	1	1	2
Restraints	1 set			1 set
Water, drinking, with cups 1000 cc	1	1	0	0
Irrigation equipment				
tubing	1	1	1	1
saline, sterile, 1000 cc	1	1	1	1
water, sterile, 1000 cc	1	1	0	1
Linen, pillow	2			
pillow cases	4			
blankets	2			
sheet	4			
towels	5			
Obstetrical kit, sterile	1	1	1	1
CPR backboard	1	1	0	0
demand valve resuscitator (optional)				
bag/valve mask with reservoir, adult	1	1	1	1

BLS EQUIPMENT	Ambulance	ALS 1st Response	Special Use	EMS Aircraft
pediatric	1	1	1	1
clear, plastic face masks, adult	2	1	1	2
pediatric	2	1	1	2
infant	1	1	1	1
Protective equipment: hard hats	2	1	0	2
goggles or 4" face shield on hard hat	2	1	0	2
leather palmed gloves	2 pairs	1	0	2
triangle reflectors or 30-min flares	6	6	0	6
fire extinguisher	1	1	0	1
Spineboard: long with 4 straps	1	1	0	1
short with 2 straps or KED	1			
Splints: arm, 3" x 15"	3	2	1	2
leg, 3" x 36"	3	2	1	2
traction, adult (pediatric optional)	1	1	0	1
Suction equipment, portable	1	1	1	1
wall unit	1			1
suction liners	4			4
connecting tubing	2	1	0	2
pharyngeal tonsil tip, rigid	2	1	1	2
18 Fr. catheter	2	1	1	2
14 Fr. catheter	2	1	1	2
10 Fr. catheter	2	1	1	2
8 Fr. catheter	2	1	1	2

**Santa Rosa Memorial Hospital – 1165 Montgomery Drive, Santa Rosa, CA 95405
Emergency Room (707) 525-5207**

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attachment #3 (English); Attachment #4 (Spanish)
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
**Richard Lewis – Director of Racing
Clifford Sanders, Superintendent, Sonoma County Fairgrounds**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
See Attachment #5
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self Insured – See Attachment #6
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
See Attachment #6

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

CONCESSION/SERVICE	COMPANY	OWNER	ADDRESS
Alcoholic Beverages	Ovations	Nick Nicora	Banner Island Park – 404 W., Fremont, CA 95203
Food & Non-Alcoholic Beverages	Big Boys BBQ (4 locations)	Gus Lopez	2933 Montgomery Dr., Santa Rosa, CA 95405
	Extreme Foods	Phillip Delahoyde	585 North State Street Ukiah, CA 95482

ATTACHMENT #3**14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:****(English) Sonoma County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Ambulance Gate to secure the area to allow swift and secure exit by the ambulance and prevent visitation from bystanders to the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff / Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The Track Veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person and/or horse from the track

Fair Association Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the Fair Association staff who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.) enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department Heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

ATTACHMENT #4

14.F. Emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey (Spanish):

Procedimiento en caso de Accidente en Sonoma County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que sea necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara a la puerta designada para ambulancia easguarar el area para que la ambulancia salga a la escenda del accidente y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras / Veterinario del Hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El Veterinario del Hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de la Feria / Fair Association Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.

2. Los miembros del departamento de la feria que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El Locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerente sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompanar a los familiares a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realiza unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



April 16, 2013

Tawny Tesconi, Fair Manager
Sonoma County Fairgrounds
1350 Bennett Valley Road
Santa Rosa, CA 95404

FIRE DEPARTMENT CLEARANCE - 1350 BENNETT VALLEY ROAD

As required by California Code of Regulations, Title 4, California Horse Racing Board (CHRB) Regulation #1927, the Santa Rosa Fire Department grants fire safety clearance for the Grandstand and Stable areas.

In accordance with the June 23, 1993, letter from CHRB Assistant Secretary Harold L. Diaz, the automatic fire alarms are not required to be installed as specified in CHRB Regulation #1927.

This clearance letter addresses the requirements of the CHRB "Reasonable Standard of Fire Safety" with exception to the automatic fire alarms. Our follow-up to the pre-inspections will continue as a part of our pre-Fair activities. Please complete any items identified prior to the commencement of the CHRB sponsored events.

If you should have any questions please contact me at 707-543-3541 or by e-mail to mpedroia@ci.santa-rosa.ca.us.

MARK PEDROIA
Senior Fire Inspector

cc: Cliff Sanders, So. Co. Fair

Opportunity. Diversity. Service.



COUNTY OF SONOMA

HUMAN RESOURCES DEPARTMENT

Wendy G. Macy, HR Director

Employment • Classification • Employee Relations • EEO • Training • Risk Management

April 22, 2012

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Subject: Evidence of Insurance
Event: 2013 Sonoma County Fair Racing
Description: Horse Racing
Date: Friday, July 26, 2013 to Sunday, August 11, 2013
Department: Sonoma County Fair & Exposition, Inc.

To Whom It May Concern:

The County of Sonoma is permissibly self-insured for workers' compensation for its employees and volunteers. Excess workers' compensation coverage is obtained through participation in the California State Association of Counties Excess Insurance Authority (CSAC-EIA).

The policy of insurance shall not be cancelled or materially changed without first giving thirty (30) days written notice to the California Horse Racing Board of such change by the insurer.

Sincerely,

Marcia Chadbourne
Risk Manager

MC/km

cc: Stacey Lapham, Sonoma County Fair

S:\RISK\INSURANCE\Letters\FAIR\2013\California Horse Racing Board (CHRB)\Sonoma County Fair Horse Racing 2013.docx

	Five Star Catering	Don Delahoyde	585 North State Street Ukiah, CA 95482
Tip Sheets	Jack's Blue Card	Lisa Wasserman	127 Sun Ave., Hayward, CA 94544
Programs	TBD		
Daily Racing Forms	Daily Racing Form	Wicks Sports Information Grp	100 Broadway, 7 th Fl. New York City, N.Y. 10005
Winners Circle Photographs	Vassar Photography	Bill Vassar	5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service	Bailey Mobile	Lorene Dutton	3263 Vineyard Venue, #35, Pleasanton, CA 94566
Starting Gate	United/Puett Start Gate	Michael Costello	1 Soundview Loop, S. Salem, N.Y. 10590
Sound System	Speeda Sound	Mike/Larry King	4740 N. Sonora Fresno, CA 93722
Armored Car Service	NOTWINC		11875 Dublin Blvd., #D275, Dublin, CA 94568

B. Does the fair plan to provide its own concessions? Yes No

16. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
See Attachment #7
- B. Promotional/ Marketing budget for this race meeting: **Exceeds \$370,000**
Promotional/Marketing budget for prior race meeting: **Exceeded \$370,000**
- C. Number of hosts and hostesses employed for meeting:
N/A
**Upstairs Grandstand Upper Level Guest Information Booth and wait service in Box Seats.
In addition: 25 Ushers, 6 Guest Service Attendants and 5 Self-Service Betting Machine
Attendants.**
- D. Describe facilities set aside for new fans:
See Attachment #8
- E. Describe any improvements to the physical facility in advance of the meeting that directly
benefits: **See Attachment #9**
1. Horsemen
 2. Fans
 3. Facilities in the restricted areas

17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

General Admission/Grand Stand	Free with Fair Admission
Fair Admission/Adults (13 & older)	\$ 10.00
Advanced Sale Tickets (On-line)	\$ 8.00
Fair Admission/Children (6-12)	\$ 5.00

ATTACHMENT #7**16. A Promotional and marketing plans for the race meeting:**

The total advertising, publicity and promotions budget for the 2013 Fair and Race Meet exceeds \$370,000. Although these funds are used to promote all aspects of the three week Fair, 90% of these efforts involve promotion of live racing.

We are going to once again hire a Racing Grandstands Promotion Coordinator and staff to improve the quality of our product and to keep race fans entertained and at the races during our meet.

Specific Wine County Racing Promotions:

- Emailing campaign (Constant Contact) focused on impulse messages to get people to the races. We currently have over 13,000 constant contact fans.
- On-line discounted group ticket sales.
- Improved signage at the entrance to the Race Grandstands emphasizing “free” admission to the horse races and welcoming patrons to the venue.
- Interactive drawings and contests in between races to keep race fans at the races.
- Promotion associates in the Grandstands assisting fans and first time betters.
- Free daily racing seminars each fair-day geared at educating the new race fan.
- Signage promoting reserved seats and free daily racing seminars.
- On track souvenir giveaways during the meet.
- Specific Facebook & Twitter pages already live promoting racing at the Fair.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Ladies Hat Day – includes gate admission, grandstand sitting and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- \$1 betting voucher coupons given away at Fair Gate to encourage Fair patrons to bet.
- Giveaways of box seat tickets & Fair admission throughout the year to increase fair base.
- Be An Owner-for-a-Day program where fans get randomly selected to be in the paddock for their assigned race and get their picture taken with the winning horse.
- Paddock commentator to keep fans engaged and informed, providing handicapping information as the horses parade in our new, beautiful paddock. We are also hoping that this additional amenity will encourage more of our races to be shown on TV.
- In 2012 we had the Grand Opening of Sliders Gastro Pub & Sports Bar out of it. This Pub is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. We also offer live music at the conclusion of the races each day.

ATTACHMENT #8**16.D. Describe facilities set aside for new fans:**

- Admission gate distribution of race coupons or vouchers to encourage fairgoer to go to the races during their fair visit.
- Promotion Associates in the Grandstands assisting fans and first time betterers.
- Signage promoting reserved seats and free daily racing seminars.
- “Company Picnic” VIP experience offered to businesses or companies with over 50 guests. Includes tented hospitality area, meal and beverage service.
- Fair representatives will be visiting more than 10 service organizations to speak about Horse Racing at the Fair.
- Free daily racing seminar each fair day (more than 20 years continuously running). The Seminar is hosted by Vic Stauffer and features daily high profile guests. Prizes and tips are given throughout the one hour seminar.
- Free Paddock Commentary Program that assists the first time fan with handicapping.
- Returning promotions and information services include novice fan information booth, staffed featuring wagering information, terms and interactive items such as riding saddle, silks, etc. and a continuously running video on “how to play” from NTRA and DRF.
- Ladies Hat Day – includes gate admission, grandstand sitting and refreshments with a group photo taken in the Winner’s Circle. Proceeds benefit a non-profit.
- Derby Dog Dash will be offered again as an event on our race track during races. This encourages fairgoers who may not have considered going to the races to be in the grandstands and experience the racing program.
- In 2012 we repurposed a building that adjoins the racing grandstand and made, Sliders Gastro Pub & Sports Bar out of it. This Pub is the place to be on the Fairgrounds featuring our local food and beverage purveyors. There is a full pub food menu, Lagunitas beers on tap, and local wine available to both fair and racing patrons. With tote machines and live video feed, even a non-racing fan will be enticed to bet a race or two. There is also live music at the conclusion of the races each day.

ATTACHMENT #9**1.6.E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:**

1. Horsemen
2. Fans
3. Facilities in the restricted areas

- The Winners' Circle has been further enhanced by the addition of ornamental horticulture.
- Distracting shrubbery has been removed from between the turf and dirt courses and will be replaced by more accommodating and aesthetically pleasing landscaping.
- Designing a new VIP Horsemen program that includes converting a dirt arena, which is inside the horsemen's gate, to a parking lot for owners/trainers. We will also provide shuttle service to the horsemen that will go back and forth to the grandstand area.
- Extending the fairgrounds recycling program into the restricted racing areas.
- All grandstand restrooms are being upgraded to meet ADA standards.
- We will be adding tables to designated boxes in the grandstand to provide an enhanced trackside dining experience.

Fair Admission/Seniors	\$ 10.00
Fair Admission/Presale Discount	\$ 8.00
Admission (clubhouse)	N/A
Reserved Seating (general)	\$ 3.00
Reserved Seating (clubhouse)	\$ N/A
Upper Reserved Box Seats	\$ 5.00
Parking (valet)	N/A
Programs/on track	\$ 2.00
Programs/off track	\$ 2.25

- B. Describe any "Season Boxes" or other special accommodation fees:

Lower box seats \$215 season, Upper box seats \$165 season.

Daily sales of box seats: Upper seats \$3.00 per seat and Lower seats \$5.00 per seat.

- C. Describe any "package" plans such as combined parking, admission and program:

Box Seat Program; Named Race Program; VIP Tent offers; \$2 seats. Fans are able to purchase fair admission and box seat ticket for \$11 (a \$13 value) with no minimum package purchase requirement.

18. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

Corners (lockers and cubicles) How many

Showers Steam room, sauna or steam cabinets Lounge area

Masseur Food/beverage service Certified platform scale

- B. Describe the quarters to be used for female jockeys:

Separate area containing a dressing room, lounge area, sauna, showers, restroom, lockers, & bunks.

19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing will be completed prior to the beginning of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack: **100**

- C. Number of restrooms available on the backstretch of the racetrack:

Total of 14 urinals, 20 toilets, 20 sinks and 27 showers.

- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 25**

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
Dirt Track: 6% organic; 16% silt & clay – Turf Course: Tall Fescue

- C. The percent of cross slope in the straight-aways is: **3% Main Track; 2% Turf Course**
The percent of cross slope in the center of the turns is: **5 ½% Main Track; 6% Turf Course**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches. Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Tawny Tesconi**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474: **On File.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC forthcoming; AMRA, ARAC, PCQHRA on file with CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

22. CERTIFICATION BY APPLICANT

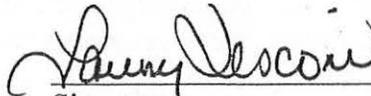
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Tawny Tesconi

Print Name

Sonoma County Fair Manager

Print Title



Signature

4/18/13

Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE ALLOCATION OF 2014/2015 RACE DATES AND
RELATED ISSUES FOR 2014/2015

Regular Board Meeting
May 23, 2013

BACKGROUND

Business and Professions Code section 19530 provides the Board with the authority to allocate racing weeks to an applicant pursuant to the provisions of the Horse Racing Law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

In preparation for discussion regarding 2014 and 2015 race date allocations, the industry was asked to submit proposed race dates as well as any information or plans related to its 2014/2015 race dates requests. Proposals for Southern California thoroughbred race dates were received from the Los Angeles Turf Club (LATC); Hollywood Park Racing Association (HPRA); Del Mar Thoroughbred Club (DMTC); Los Angeles County Fair Association (LACFA) at Fairplex Park Pomona. Los Alamitos Racing Association (LARA) also submitted its proposed 2014 quarter horse race dates.

On May 9, 2013, the CRHB was notified that Hollywood Park Land Company, as well as its affiliated racing associations, would not be requesting the California Horse Racing Board to allocate any 2014 racing dates at Hollywood Park. Thus, 2013 will be the final year of live racing, training and stabling at Hollywood Park. In this regard, the last day of live racing at Hollywood Park will be

December 22, 2013. The Hollywood Park stable area is expected to close by the end of the year.

Proposals for Northern California race dates were received from Pacific Racing Association (PRA); Santa Rosa County Fair at Sonoma, California Authority of Racing Fairs (CARF), on behalf of the Northern California CARF fairs; California Exposition and State Fair (State Fair and harness; Watch and Wager LLC.) (Cal Expo).

ANALYSIS

2014 RACE REQUESTS

LOS ANGELES TURF CLUB

LATC submitted its request for the following 2014 race dates:

- LATC at Santa Anita - December 26, 2013 to July 6, 2014
- LATC at Santa Anita – September 25, 2014 to November 9, 2014

LATC proposes to race 4 days per week, Thursday through Sunday.

DEL MAR THOROUGHBRED CLUB

DMTC submitted its request for the following 2014 race dates:

- DMTC at Del Mar: July 16, 2014 to September 3, 2014 (summer season); November 5, 2014 to November 30, 2014 (fall season)
- DMTC at Del Mar: July 15, 2015 to September 7, 2015 (summer season); October 28, 2015 to November 29, 2015 (fall season) (assumes 2015 Breeders' cup dates are October 30, and 31).

DMTC submits that based on the requested dates for 2014 and 2015 it also endorses incremental dates to be run at Santa Anita Park which include, but are not limited to, the financially lucrative five-week "Triple Crown" season. DMTC is also supportive of Fairplex Park continuing to receive its traditional three-week allotment of dates at the conclusion of Del Mar's summer season.

Realizing that Breeders' Cup revenue is critical to maintain purses and racing programs for a fall meet at Del Mar, DMTC is of the opinion that it is in the best interest of California horse racing to continue to alternate the 'Breeders Cup dates' on an annual basis, as has been the CHRB's custom with previous allocations of fall dates in Southern California. Del Mar submits that revenue associated with an every-other year (at a minimum) "host" status of "Breeders Cup dates" is imperative for Del Mar to operate a successful fall race meeting.

POMONA/FAIRPLEX (LOS ANGELES COUNTY FAIR ASSOCIATION)

The proposed 2014 LACFA race meeting at Pomona Fairplex will run concurrent with the annual Los Angeles County Fair. LACFA requests the following 2014 race dates:

- Date Range: September 4, 2014 to September 23, 2014
- Proposed Race Days: September 5, 2014 to September 21, 2014
- Dark Days: September 8, 9, 15, 16
- Total Race Days: 13

LACFA requests flexibility to add dates beyond the 13 requested days, in the event that business warrants. The LACFA request is consistent with dates approved in prior years.

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2014 race dates:

- PRA at Golden Gate Fields - December 26, 2013 to June 29, 2014 (Winter/spring race meeting)
- PRA II at Golden Gate Fields – August 15, 2014 to September 21, 2014 (Summer race meeting)
- PRA at Golden Gate Fields – October 13, 2014 to December 14, 2014 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2014 race dates:

- July 23, 2014 – August 10, 2014

CALIFORNIA AUTHORITY OF RACING FAIRS

CARF on behalf of the Northern California CARF fairs submitted its request for the following 2014 race dates:

- Alameda County Fair (ACF) at Pleasanton – June 18, 2014 to July 6, 2014
- Cal Expo - July 9, 2014 to July 20, 2014 (Cal Expo submitted a separate request for July 9 – July 22, 2014)
- Humboldt County Fair (HCF) at Ferndale – August 6, 2014 to August 17, 2014
- San Joaquin County Fair at Stockton – September 17, 2014 to September 29, 2014
- Fresno County Fair at Fresno – October 1, 2014 to October 13, 2014

CARF's dates proposal reflects proposed live race dates and simulcast days.

CARF's proposal also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 23, 2014 – August 3, 2014
- PRA at Golden Gate Fields – December 26, 2013 to June 15, 2014

- PRA at Golden Gate Fields – August 20, 2014 to September 14, 2014
- PRA at Golden Gate Fields – October 17, 2014 to December 21, 2014

PRA's dates proposal and CARF's proposed Northern California calendars **overlap** on the following dates:

PRA Proposed Race Dates:

PRA at GGF: December 26, 2013 to June 29, 2014

CARF Proposed Race Dates:

ACF at Pleasanton: June 18, 2014 to July 6, 2014

Eight days overlap; June 19, 20, 21, 22, 26, 27, 28 & 29

PRA Proposed Race Dates:

PRA at GGF: August 15, 2014 to September 21, 2014

CARF Proposed Race Dates:

HCF at Ferndale: August 6, 2014 to August 17, 2014

Four days overlap: August 14, 15, 16, and 17

PRA Proposed Race Dates:

PRA at GGF: August 15, 2014 to September 21, 2014

CARF Proposed Race Dates:

San Joaquin County Fair at Stockton: September to September 28, 2014

Five days overlap: September 17, 18, 19, 20, and 21

PRA Proposed Race Dates:

PRA at GGF: October 13, 2014 to December 14, 2014

CARF Proposed Race Dates:

Fresno District Fair: October 1, 2014 to October 13, 2014

Five days overlap: September 17, 18, 19, 20 and 21

CALIFORNIA EXPOSITION AND STATE FAIR

Cal Expo submitted its request for the following 2014 race dates for state fair racing:

- Cal Expo (State Fair) - July 9, 2014 – July 22, 2014

Cal Expo request approval to readdress its request after a determination of the Southern California dates allocation has been approved.

POSSIBLE THOROUGHBRED RACING AT CAL EXPO

Cal Expo is open to discussing additional racing dates at Cal Expo outside of the annual State Fair. It has been suggested that the dates of August 13, 2014 through September 3, 2014 should be considered. These dates might consist of 10 live racing days and 12 simulcast days. However, Cal Exp submits that such racing would need the input and support of California's racing industry.

HARNESS RACING AT CAL EXPO

2014 proposed harness race dates at Cal Expo are:

- Harness racing at Cal Expo - December 26, 2013 through June 14, 2014
- Harness racing at Cal Expo - October 1, 2014 through December 24, 2014

The harness racing dates will be operated by Watch and Wager LLC.com

LOS ALAMITOS RACING ASSOCIATION

LARA submitted its request for the following 2014 race dates:

- LARA at Los Alamitos - December 27, 2013 through December 21, 2014

LARA will apply to race 3 days per week (Friday through Sunday) except for Sunday February 9, 2014. LARA also requests flexibility to request thoroughbred race dates should business and horse industry interests warrant this addition.

2015 RACE REQUESTS

LOS ANGELES TURF CLUB

LATC submitted its request for the following 2015 race dates:

- LATC at Santa Anita - December 26, 2014 to July 5, 2015
- LATC at Santa Anita - October 1, 2015 to November 8, 2015

LATC proposes to race 4 days per week, Thursday through Sunday.

DEL MAR THOROUGHBRED CLUB

DMTC submitted its request for the following 2015 race dates:

- DMTC at Del Mar: July 15, 2015 to October 28 (summer season); October 28, 2015 to November 29, 2015 (fall season) (assumes 2015 Breeders' cup dates are October 30, and 31).

DMTC submits that based on the requested dates for 2014 and 2015 it also endorses incremental dates to be run at Santa Anita Park which include, but are not limited to, the financially lucrative five-week "Triple Crown" season. DMTC is also supportive of Fairplex Park continuing to receive its traditional three-week allotment of dates at the conclusion of Del Mar's summer season.

Realizing that Breeders' Cup revenue is critical to maintain purses and racing programs for a fall meet at Del Mar, DMTC is of the opinion that it is in the best interest of California horse racing to continue to alternate the 'Breeders Cup dates' on an annual basis, as has been the CHRB's custom with previous allocations of fall dates in Southern California. Del Mar submits that revenue associated with an every-other year (at a minimum) "host" status of "Breeders Cup dates" is imperative for Del Mar to operate a successful fall race meeting.

POMONA/FAIRPLEX (LOS ANGELES COUNTY FAIR ASSOCIATION)

The proposed 2015 LACFA race meeting at Pomona Fairplex will run concurrent with the annual Los Angeles County Fair. LACFA requests the following 2015 race dates:

- Date Range: September 10, 2015 to September 29, 2015
- Proposed Race Days: September 11, 2015 to September 27, 2015
- Dark Days: September 13, 15, 21, 22
- Total Race Days: 13

LACFA requests flexibility to add dates beyond the 13 requested days, in the event that business warrants. LACFA request is consistent with dates approved in prior years.

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2015 race dates:

- PRA at Golden Gate Fields - December 26, 2014 to June 28, 2015 (Winter/spring race meeting)
- PRA II at Golden Gate Fields – August 14, 2015 to September 27, 2015 (Summer race meeting)
- PRA at Golden Gate Fields – October 12, 2015 to December 20, 2015 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2015 race dates:

- July 22, 2015 – August 9, 2015

CALIFORNIA AUTHORITY OF RACING FAIRS

CARF on behalf of the Northern California CARF fairs submitted the following two scenario proposals for its 2015 race dates:

SCENARIO A

- Alameda County Fair (ACF) at Pleasanton – June 24, 2015 to July 19, 2015
- Humboldt County Fair (HCF) at Ferndale – August 5, 2015 to August 16, 2015
- Cal Expo – August 19, 2015 to September 7, 2015
- San Joaquin County Fair at Stockton – September 23, 2015 to October 4, 2015
- Fresno County Fair at Fresno – October 7, 2015 to October 18, 2015

CARF's proposed Scenario A reflects proposed live race dates and simulcast days.

CARF's proposed Scenario A also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 22, 2015 – August 2, 2015

- PRA at Golden Gate Fields – December 25, 2014 to June 21, 2015
- PRA at Golden Gate Fields – October 21, 2015 to December 20, 2015

PRA's dates proposal and CARF's proposed scenario A Northern California calendar **overlaps** on the following dates:

PRA Proposed Race Dates:

PRA at GGF: December 25, 2014 to June 28, 2015

CARF Proposed Race Dates:

ACF at Pleasanton: June 24, 2015 to July 19, 2015

Five days overlap; June 24, 25, 26, 27, 28

PRA Proposed Race Dates:

PRA at GGF: August 14, 2015 to September 27, 2015

CARF Proposed Race Dates:

HCF at Ferndale: August 5, 2015 to August 16, 2015

Five days overlap: August 12,13,14,15, 16

PRA Proposed Race Dates:

PRA at GGF: August 14, 2015 to September 27, 2015

CARF Proposed Race Dates:

Cal Expo: August 19, 2015 to September 7, 2015

16 days overlap: August 19,20,21,22,23,26,27,28,29,30,2,3,4,5, 6, 7

PRA Proposed Race Dates:

PRA at GGF: August 14, 2015 to September 27, 2015

CARF Proposed Race Dates:

San Joaquin County Fair at Stockton: September 23, 2015 to October 4, 2015

Five days overlap: September 23, 24, 25, 26, and 27

PRA Proposed Race Dates:

PRA at GGF: October 12, 2015 to December 20, 2015

CARF Proposed Race Dates:

Fresno District Fair: October 7, 2015 to October 18, 2015

Five days overlap: October 12, 14, 15, 16, 17, and 18

SCENARIO B

- Alameda County Fair (ACF) at Pleasanton – June 17, 2015 to July 15, 2015
- Humboldt County Fair (HCF) at Ferndale – August 5, 2015 to August 16, 2015
- Cal Expo – July 7, 2015 to July 19, 2015
- San Joaquin County Fair at Stockton – September 23, 2015 to October 4, 2015
- Fresno County Fair at Fresno – October 7, 2015 to October 18, 2015

CARF's Scenario B proposal reflects proposed live race dates and simulcast days.

CARF's Scenario B proposal also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 22, 2015 – August 2, 2015
- PRA at Golden Gate Fields – December 25, 2014 to June 14, 2015
- PRA at Golden Gate Fields – August 19, 2015 to September 20, 2015
- PRA at Golden Gate Fields – October 21, 2015 to December 20, 2015

PRA's dates proposal and CARF's proposed scenario A Northern California calendar **overlaps** on the following dates:

PRA Proposed Race Dates:

PRA at GGF: December 25, 2014 to June 28, 2015

CARF Proposed Race Dates:

ACF at Pleasanton: June 17, 2015 to July 5, 2015

Ten days overlap: June 17, 18, 19, 20, 21, 24, 25, 26, 27, 28

PRA Proposed Race Dates:

PRA at GGF: August 14, 2015 to September 27, 2015

CARF Proposed Race Dates:

HCF at Ferndale: August 5, 2015 to August 16, 2015

Five days overlap: August 12,13,14,15, 16

PRA Proposed Race Dates:

PRA at GGF: August 14, 2015 to September 27, 2015

CARF Proposed Race Dates:

San Joaquin County Fair at Stockton: September 23, 2015 to October 4, 2015

Five days overlap: September 23, 24, 25, 26, and 27

PRA Proposed Race Dates:

PRA at GGF: October 12, 2015 to December 20, 2015

CARF Proposed Race Dates:

Fresno District Fair: October 7, 2015 to October 18, 2015

Five days overlap: October 12, 14, 15, 16, 17, and 18

CALIFORNIA EXPOSITION AND STATE FAIR

Cal Expo submitted the following information regarding its 2015 State Fair and Cal Expo Thoroughbred Racing Dates:

Although it plans to operate the 2015 State Fair in July of 2015, it has been approached by members

of the racing industry, suggesting that the State Fair shift its fair dates back to the end of the summer to encompass the Labor Day weekend. While the State Fair is open to prudently dialoguing with industry leaders on this item, such discussion could not be adequately held until much later in the year. Discussion would need to be broad based to include the racing industry, as well as other fair industry partners that would be impacted by such date changes. In addition the timing and possible impacts of Cal Expo's Renewal Plan would also need to be carefully considered.

HARNESS RACING AT CAL EXPO

2015 proposed harness race dates at Cal Expo are:

- Harness racing at Cal Expo - December 26, 2014 through mid-June 2015
- Harness racing at Cal Expo - October 2015 through late December 2015

The harness racing dates will be operated by Watch and Wager LLC.com

LOS ALAMITOS RACING ASSOCIATION

LARA submitted its request for the following 2015 race dates:

- LARA at Los Alamitos - December 26, 2014 through December 21, 2015

LARA will apply to race 3 days per week (Friday through Sunday) except for Sunday February 9, 2015. LARA also requests flexibility to request thoroughbred race dates should business and horse industry interests warrant this addition.

RECOMMENDATION

This item is presented for Board discussion and action.

PROPOSED - 2014 SOUTHERN CALIFORNIA RACE DATES

Submitted By The Respective California Racing Association

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

LATC @ Santa Anita (12/26/13 - 7/6/14)
 DMTC @ Del Mar (7/16/14 - 9/3/14) Summer
 LACF @ Pomona (9/4/14 - 9/23/14)
 LATC @ Santa Anita 9/25/14 - 11/9/14

DMTC @ Del Mar (11/5/14 - 11/30/14 Fall)

Simulcast Only

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Bolded outline = Overlap: LATC and Del Mar 11/5 - 11/9.

***PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES**

SUBMITTED BY GOLDEN GATE FIELDS

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Golden Gate Fields (12/26/13 - 6/29/14)
Golden Gate Fields (8/15/14 - 9/21/14)
Golden Gate Fields (10/13/14 - 12/14/14)

CARF Race Meeting
SIMULCAST (NO NOR CAL RACING)

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
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14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

***PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES**

Submitted by Sonoma County Fair

Sonoma County Fair (7/23/14 - 8/10/14)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

*** PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES**

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

PRA Golden Gate Fields (12/26/13 - 6/15/14)	PRA Golden Gate Fields (8/20/14 - 9/14/14)
Alameda County Fair (6/18/14 - 7/6/14)	San Joaquin County Fair (9/17/14 - 9/28/14)
California State Fair (7/9/14 - 7/20/14)	Fresno County Fair (10/1/14 - 10/13/14)
Sonoma County Fair (7/23/14 - 8/3/14)	PRA Golden Gate Fields (10/16/14 - 12/21/14)
Humboldt County Fair (8/6/14 - 8/17/14)	Simulcast Days Only

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
23	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

PROPOSED 2014 Northern California Race Dates for Harness and the State Fair

Submitted by California - Exposition and State Fair

HARNESS RACING DATES (12/26/13 - 6/14/14) *	STATE FAIR (7/9/14 - 7/22/14)
HARNESS RACING DATES (10/1/14 - 12/24/14)	

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
23	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

*Harness Racing dates operated by Watch and Wager LLC.

PROPOSED - 2014 SOUTHERN CALIFORNIA - LOS ALAMITOS RACE DATES

Submitted By Los Alamitos Racing Association (Quarter Horse Racing)

LOS ALAMITOS RACING CALENDAR (12/27/14 - 12/21/14)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
23	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

2015

PROPOSED - 2015 SOUTHERN CALIFORNIA RACE DATES

Submitted By The Respective Southern California Racing Association

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	22	23	24	25	26	27
28	29	30	31			

LATC @ Santa Anita (12/26/14 - 7/5/15)
 DMTC @ Del Mar 7/15/15 - 9/7/15) Summer
 LACF @ Pomona (9/10/15- 9/29/15)

LATC @ Santa Anita (10/1/15 - 11/8/15)
 DMTC @ Del Mar (10/28/15 - 11/29/15) Fall

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Santa Anita and Del Mar Race Days overlap - **bolded** dates = overlap days.

*** PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES**

Submitted by Golden Gate Fields

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Golden Gate Fields (12/26/14 - 6/28/15)
Golden Gate Fields (8/14/15 - 9/27/15)
Golden Gate Fields (10/12/15 - 12/20/15)

CARF Race Meeting
SIMULCAST (NO NOR CAL RACING)

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

*** PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES**

Submitted by Sonoma County Fair

Sonoma County Fair (7/22/15 - 8/9/15)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
21	22	23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

*** PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES Scenario A**

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

PRA Golden Gate Fields (12/26/14 - 6/14/15)	Fresno County Fair (10/7/15 - 10/18/15)
Alameda County Fair (6/17/15 - 7/5/15)	PRA Golden Gate Fields (8/19/15 - 12/20/15)
California State Fair (7/8/15 - 7/19/15)	Simulcast Days Only
Sonoma County Fair (7/22/15 - 7/31/15)	
Humboldt County Fair (8/5/15 - 8/16/15)	
San Joaquin County Fair (9/23/15 - 10/4/15)	

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

*** PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES Scenario B**

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

PRA Golden Gate Fields (12/26/14 - 6/14/15)	Fresno County Fair (10/7/15 - 10/18/15)
Alameda County Fair (6/17/15 - 7/5/15)	PRA Golden Gate Fields (8/19/15 - 12/20/15)
California State Fair (7/8/15 - 7/19/15)	Simulcast Days Only
Sonoma County Fair (7/22/15 - 7/31/15)	
Humboldt County Fair (8/5/15 - 8/16/15)	
San Joaquin County Fair (9/23/15 - 10/4/15)	

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

PROPOSED - 2015 SOUTHERN CALIFORNIA LOS ALAMITOS RACE DATES

Submitted By Los Alamitos Racing Association

LOS ALAMITOS RACING CALENDAR (12/26/14 - 12/21/15)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	22	23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		