

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, June 25, 2015**, commencing at **9:30 a.m.**, in the Finish Line Room at the **Los Alamitos Race Course, 4961 Katella Avenue, Los Alamitos, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of May 21, 2015.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. **Recess to Closed Session:**

Closed Session: For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.

- A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126(a).
5. Presentation of the **California Horse Racing Board Resolution to Bo Derek.**

6. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Del Mar Thoroughbred Club in the amount of \$27,845 to five beneficiaries.**
7. Discussion by the Board regarding an **update from Barretts on the performance of its inaugural horse sale at Del Mar.**
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T) at Golden Gate Fields**, commencing August 19, 2015 through September 7, 2015 and September 24, 2015 through October 4, 2015.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale**, commencing August 21, 2015 through August 30, 2015, inclusive.
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee**, to adjust the non-winning jockey riding fee scale for losing mounts, pursuant to Business and Profession Code section 19501(b) (1), to reflect California's minimum wage increase of 11.1 percent scheduled for January 1, 2016 and to amend the non-winning jockey riding fee scale to reflect an increase of 11.1 percent for the 2nd and 3rd place mounts in races with a gross purse of \$9,999 or less.
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting**, to revise the Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. 07/11), and Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. 07/11), to 1) delete the requirement that any thoroughbred racing association that operates four weeks or more of continuous thoroughbred racing in a calendar year install a polymer synthetic racing surface; 2) collect information on stakes races for the prior two race meetings; the name, emergency telephone number and hours of the racing veterinarian onsite during training hours, workouts and during racing; information on the proposed offering of any pari-mutuel wagers that were not listed in the prior year's pari-mutuel wagering program; and capture the takeout percentage for each type of wager. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1844, Authorized Medication**, to 1) lower the amount of ketoprofen that can be present in a test sample from 10 nanograms per milliliter of blood plasma or serum to 2 nanograms per milliliter of blood plasma or serum; and 2) to add isofluprodone and its specified authorized level to the list of California's authorized medications. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)

13. Discussion and action by the Board regarding the submission of **proposed CHRB Emergency Rule 1866.1, Presence of Clenbuterol in Quarter Horses**, to the Office of Administrative Law (OAL), in response to the OAL determination that the February 28, 2014 memorandum "Instructions Pursuant to Rule 1885, Medication Procedures and Related Instruction" issued by the CHRB Equine Medical Director was an underground regulation.
14. Discussion and action by the Board regarding the **request from the Sonoma County Fair and the Pacific Coast Quarter Horse Racing Association, to suspend the use of Clenbuterol for 12 months effective June 1, 2015, for quarter horses at the Sonoma County Fair race meeting, pursuant to the provisions of CHRB Rule 1844.1, Suspension of Authorized Medication.**
15. Discussion and action by the Board on the **approval of the Fiscal Year 2015/2016 Agreement providing funding support for the Board.**
16. Discussion by the Board regarding an **update on the progress of the security camera installation project at California race tracks.**
17. Discussion and action by the Board regarding the **proposed adjustment of the 2015 Southern California racing calendar, to allocate September 26th and September 27th from Santa Anita to Los Angeles County Fair/Fairplex, at Los Alamitos.**
18. Discussion and action by the Board regarding an **update on future funding for the Vanning and Stabling Fund.**
19. Report from the **Medication and Track Safety Committee.**
20. Report from the **Jockey and Driver Welfare Committee.**
21. Report from the **Northern California Race Dates and Stabling Oversight Committee.**
22. Discussion by the Board regarding the **allocation of the 2016 Northern California Race Dates.**
23. Report from the **Southern California Race Dates and Stabling Oversight Committee.**
24. Discussion by the Board regarding the **allocation of the 2016 Southern California Race Dates.**

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Richard Rosenberg, Vice Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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PENDING LITIGATION JUNE 2015

COURT LITIGATION

A. Morteza Atashkar vs. CHRB

Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
Court of Appeal, Third Appellate District, C074852

B. Jeff Mullins vs. CHRB, et al

Superior Court of California, County of San Diego, Case No. 37-2010-00092212

C. San Luis Rey Racing, Inc., vs. CHRB, et al

Superior Court of California, County of San Diego, Case No. 37-2011-00096586

D. Donald Lockwood vs. CHRB

Superior Court of California, County of Los Angeles, Case No. BS147701

E. Jose DeLaTorre vs. CHRB

Superior Court of California, County of Los Angeles, Case No. BS152795

F. Dean Frey vs. CHRB

Superior Court of California, County of Los Angeles, Case No. BS154219

G. Gustavo DeLaTorre vs. CHRB

Superior Court of California, County of Los Angeles, Case No. BS154412

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**PENDING ADMINISTRATIVE ADJUDICATIONS
JUNE 2015**

CASE

- A. Appeal of the Board of Stewards Official Ruling #22, Watch and Wager, LLC- Cal Expo, dated January 30, 2015
Driver George Luster
Case Number: SAC 15-0013

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track, Baldwin Terrace Room**, 285 West Huntington Drive, Arcadia, California, on **May 21, 2015**.

Present: Chuck Winner, Chairman
 Richard Rosenberg, Vice-Chairman
 Madeline Auerbach, Member
 Steve Beneto, Member
 Jesse H. Choper, Member
 George Krikorian, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF APRIL 16, 2015

Chairman Winner asked for approval of the minutes of the Regular Meeting of April 16, 2015.

Commissioner Auerbach **motioned** to approve the minutes. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker stated two committee meetings would be held on May 27, 2015. The Medication Committee would discuss emerging threats and related detection techniques in racing, including gene doping and hair follicle testing. The Jockey and Driver Welfare Committee would discuss changes to the construction of the riding crop, modifications to the riding crop rule, including the potential for optional whip-free races. He said these items were for discussion only, and the committees would decide whether to move any of them forward. Executive Director Baedeker stated the budget change proposal to provide additional funding for the Maddy Lab was approved, with the CHRB authorized to augment its contract with the Maddy Lab up to \$1.2 million. He said if the Maddy Lab did not receive the extra

funding services would have been cut beginning July 1, 2015. He stated the shortfall in funds was a result of declining handle, which was a source of funding for the Maddy Lab. Due to emerging threats and cobalt testing it was more important than ever to invest in the Maddy Lab to preserve the integrity of horse racing. Executive Director Baedeker stated he testified before a joint Senate and Assembly Governmental Organization Committee hearing on the regulation of gaming in California, specifically the potential for online poker. He said it provided a good forum to explain the complexity of the California Horse Racing Board. He discussed the extensive drug testing conducted by CHRB at the Maddy Lab, with 59,000 samples tested last fiscal year, compared to the US Anti-Doping Agency, which tested 9,000 samples during the same timeframe; the figures demonstrated the volume of work done by the Maddy Lab. Executive Director Baedeker said he also testified (regarding the recent legislation to introduce on-line poker in California) that horse racing began 50 years before the lottery or tribal gaming, and horse racing was the only gaming entity in California conducting legal online gaming, and had been doing so for the past 13 years. Executive Director Baedeker stated CHRB staff met with the Executive Director of the Veterinary Medical Board (VMB) to discuss proper labeling of prescriptions in the compounding of drugs and standards of practice. He said the VMB was very cooperative with CHRB over the last six to nine months, and both parties agreed to continue collaborating on issues. Executive Director Baedeker said the financials for April 2015 were good due to two additional days of live racing, which resulted in an overall gain of 12.28 percent. He stated nighttime racing had the same number of days and was up 9.63 percent; the month was up 12 percent overall, and up 1.78 percent year to date. Executive Director Baedeker reported James Goldstein, Undersecretary of the Business, Consumer Services and Housing Agency (BCSH), left his position. He expressed his disappointment that Mr. Goldstein left BCSH.

When CHRB began reporting to BCSH in July 2013 after 80 years of autonomy, it was a difficult transition for CHRB staff. He said in the beginning Mr. Goldstein knew very little about CHRB and horse racing, but over time became a champion for CHRB and a real fan of horse racing.

PUBLIC COMMENT

Kim Lloyd, general manager of Barrett's, invited the Commissioners to a sale and reception on May 28, 2015, at Del Mar. He gave a special thanks to the 22nd Agricultural District and the Del Mar Thoroughbred Club for its assistance in getting the Barrett's at the Beach venture started.

DISCUSSION AND ACTION BY THE BOARD REGARDING DELEGATING AUTHORITY TO THE EXECUTIVE DIRECTOR TO APPROVE CHRB SERVICE CONTRACTS ON BEHALF OF THE BOARD.

Wendy Voss, CHRB Staff, stated prior to the start of each fiscal year, CHRB required either Board approval or another mechanism to ensure staff could execute contracts. She said for fiscal year 2013-2014, CHRB staff received a Board approved resolution signed by the Chairman or Vice-Chairman granting the Executive Director authority to execute contracts, and asked the Board to consider the same resolution for the 2015-2016 fiscal year beginning July 1, 2015. She stated in prior years, service contracts were listed in the Board package for discussion and approved individually. Commissioner Auerbach asked if the Board should be more specific in terms of what the two people would be allowed to do; should the Board set limits? She said when this authority was exercised the Board should be notified. Executive Director Rick Baedeker asked Ms. Voss to discuss the steps required for executing a contract. Ms. Voss stated staff would review and prepare a contract package with a transmittal document and a standard

state form that required signatures from the contractor and CHRB staff with signatory authority. Once the package had the appropriate signatures it would go to the Office of Legal Services. The Office of Legal Services required all contracts to be approved individually or by resolution. Chairman Winner stated a one-year time limit was placed on the resolution which worked well so far, and should work well moving forward. Commissioner Beneto asked if a contract had to be passed by the Board. Executive Director Baedeker said the Board would delegate its authority to the Chairman. The Executive Director would bring the contract before the Chairman for approval. The Chairman could approve or disapprove; if the Chairman disapproved the contract it would go before the Board. Chairman Winner stated it was a two step process; it would have to go through the Executive Director before it went to the Chairman. Chairman Winner **motioned** to approve delegating authority to the Executive Director to approve CHRB service contracts on behalf of the Board. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS, COMMENCING JULY 2, 2015 THROUGH JULY 12, 2015, INCLUSIVE.

Brad McKinzie, Los Alamitos Racing Association (Los Alamitos), stated Los Alamitos was excited for its second thoroughbred meet beginning July 2, 2015. He said Los Alamitos would build on what was accomplished last year and also introduce new marketing. He stated the stakes schedule and purse structure was built on numbers and not on projections. Commissioner Auerbach asked about the \$350,000 Los Alamitos Derby; the amount seemed very generous and she wondered if Los Alamitos could have attracted the same horses for \$250,000? Mr.

McKinzie said he did not believe Los Alamitos could have attracted the same horses for \$250,000; it would have affected the graded status of the race. He stated Los Alamitos was hoping to make the Derby grade 1. He said the Los Alamitos Derby was the centerpiece of the race meeting and was needed to let the public know that Los Alamitos was racing in July. He said the public's attention was critical, not only locally, but at every satellite facility around the nation, because 85 percent of Los Alamitos' handle came from the satellite facilities. He stated Los Alamitos negotiated with Thoroughbred Owners of California (TOC) and showed TOC the purse projections based on the handle last year, and did not believe they would be in a deficit position over the course of the year; last year they knew they were overpaid for the year. He said in a competitive market like the horse racing industry, purses that attract horses should be offered. He stated Los Alamitos made projections based on the 2014 handle; Los Alamitos was overpaid \$500,000. He said Los Alamitos hoped to recoup some of the overpayment, but it understood that was the price it had to pay to get the race meet off to a good start. Commissioner Auerbach asked what Los Alamitos had done with the enhanced security system information provided by the Board. Mr. McKinzie said they had not implemented anything; no security cameras in the barn area. Commissioner Auerbach said the Board did a thorough job with the expectation that the racing associations would cooperate. She hoped mentioning it would encourage the racing associations to enact some of the procedures so that the Board would not have to mandate them. Executive Director Baedeker said it was assumed that TOC would contribute startup funds for an enhanced security program. The CHRB did not have the staff to move the program forward, so it was up to the tracks, horse owners, and trainers to move forward with implementing the program. Joe Morris, TOC, said TOC met with a security company and with the Director of Security at Santa Anita. He stated TOC began to inventory the

current system, and would build upon that. He said TOC was committing money to the program and moving forward with it. Commissioner Auerbach asked if TOC could keep the Board apprised of implementation. Mr. Morris said "yes." Commissioner Auerbach asked how the marketing plan budget compared to last year. Mr. McKinzie said more money would be spent in 2015 compared to last year. He stated Los Alamitos was disappointed with the on-track business last year, so in 2015 Los Alamitos would focus on its strengths. He said Los Alamitos' mailing lists indicated the majority of its visitors came from a 15-mile radius around the race track. He stated Los Alamitos would focus its marketing budget on the 15-mile radius, on local cable instead of the newspaper, and local businesses and charities to provide the community with a feeling of ownership. He stated Los Alamitos was still working with the Orange County Register. Chairman Winner said he appreciated the fact that Los Alamitos was trying new marketing strategies and he agreed it was a good plan to focus on the 15-mile radius rather than a scattered focus throughout the community. Commissioner Krikorian asked if it was difficult to have a successful two-week meet. Mr. McKinzie said a two-week meet was tough; it was difficult to build customer loyalty and a fan base with eight days of racing. He stated one aspect from 2014 he was happy about was the support Los Alamitos received from the owners and trainers that ran horses; the entries went well and there was quality racing. Commissioner Choper thanked Mr. McKinzie for doing the best he could. Mr. McKinzie stated he appreciated the comment, and that Dr. Allred was committed to horse racing and doing everything he could to keep the horse racing industry healthy. Vice-Chairman Rosenberg asked if the 2014 financials were missing because they were not yet ready. Rick English, Los Alamitos, said that was correct; the 2014 audited financials would be available mid to late July. He stated Los Alamitos would forward the 2014 audited financials to the Board as soon as they were received. Chairman

Winner stated the bond expired July 15, 2015. Mr. English said the bond would be renewed. Chairman Winner **motioned** to approve Los Alamitos' application to conduct a horse racing meeting commencing July 2, 2015 through July 12, 2015, conditioned on the submission of all outstanding items. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 10, 2015 THROUGH JULY 26, 2015, INCLUSIVE.

Sonia Logman, California Exposition and State Fair (Cal Expo), stated Cal Expo's marketing and advertising campaign would integrate the horse racing component during the State Fair. She said the State Fair celebrates the history and future of agricultural, while making horse racing the central focus with a \$1.2 million cash buy and other in-kind and earned media opportunities, both before and during the State Fair. She stated Cal Expo was looking at nontraditional methods of marketing for its box seat outreach by introducing a Blue Ribbon Season Pass for avid State Fair fans and would include horse racing elements. In addition, some traditional community outreach would include inviting the Metro Chamber that represents the six county region and 1,700 business members to a luncheon to expose current and potential fans to horse racing. She said Cal Expo also housed professional soccer, and Cal Expo was looking at ways to cross promote horse racing to soccer fans. Larry Swartzlander, Cal Expo, said the State Fair would give up to \$1.3 million in overnight purses and would have an additional stakes race called the Golden Fair Stakes for three year olds and up going six furlongs. He said the detention barn had a digital video surveillance system with six to ten cameras that were deployed

at each fair to cover the detention stalls during the meet. He stated an out-of-state recruitment program was in place; any trainer or owner that brought a horse to race at a fair would receive \$250 for the first start and \$150 for the second start. He said the State Fair had an increased trainer incentive program; trainers with five starts would receive \$800, and the trainers with ten starts would receive \$1,500. He stated the installation of the Mawsafe Rail for the inside rail would be complete by mid-June and the housing improvements were complete. The re-inspection of the kitchen on the backside was scheduled, and the final fire inspection would be forwarded to CHRB within a week. Mr. Swartzlander said a \$30,000 guaranteed Pick 4 wager would be offered at the State Fair on weekends with TVG hosting it. He stated HRTV would cover both stakes races and provide a human interest background. In addition, onsite promotions that were successful last year would be offered again, such as the Miller Lite Race of the Day. A race would be selected, usually the seventh race, with an odd or even number selected. If an odd number was selected, and if the horse with an odd number won, \$1.00 beers were offered for 25 minutes before the next race. He stated another successful promotion was Spin to Win. All patrons that came through any of the gates at the fair were offered a voucher. The patrons could take the voucher to the information booth at the grandstand to spin for racing memorabilia, vouchers worth \$20.00, or a seat for two to four in the clubhouse for that same day and the buffet line. Vice-Chair Rosenberg asked how many tack rooms were available for those who stabled at Cal Expo. Mr. Swartzlander said the tack room could house 42 grooms. Vice-Chairman Rosenberg asked how many horses were stabled at the State Fair. Mr. Swartzlander said the State Fair had more than 350 horses over the last two years. Vice-Chairman Rosenberg asked if the grooms lived offsite. Mr. Swartzlander said there was only one incident when the tack room was not complete and grooms were housed in hotels; however, the tack rooms were complete.

Ms. Logman said Cal Expo had an active year-round RV campground that could be utilized as well. Commissioner Auerbach stated the average number of thoroughbred was low. Mr. Swartzlander agreed and stated the recruitment program was positive with many new trainers enticed by the incentive program. Commissioner Auerbach asked how many racing days the fairs had last year. Mr. Swartzlander said 42 for all of the fairs, with seven racing days for the State Fair. Commissioner Auerbach said she was concerned that the handle was generated by the number of horses participating in the race meeting, and the State Fair's thoroughbred average of 6.45 horses per race would not generate enough handle. Mr. Swartzlander agreed and stated that movement of owners and trainers was expensive for the fairs and Cal Expo was opening a week earlier on June 30, 2015, so owners and trainers could set up. Vice-Chairman Rosenberg asked about the consolidation of purses and if the purse program was laid out as a package. Mr. Swartzlander said the purse program was laid out as a package for all of the fairs and was used as a benchmark by fair managers and executives to anticipate what to expect, with no overpayments as a goal. Chairman Winner **motioned** to approve Cal Expo's application to conduct a horse racing meeting commencing July 10, 2015 through July 26, 2015, conditioned on the submission of all outstanding items. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING JULY 16, 2015 THROUGH SEPTEMBER 7, 2015, INCLUSIVE.

Joe Harper, Del Mar Thoroughbred Club (Del Mar), stated the Thoroughbred Owners of California (TOC) contract was finalized and the California Thoroughbred Trainers (CTT)

contract would soon be finalized. There were no issues anticipated with passing the fire clearance. Craig Dato, Del Mar, said Del Mar was excited for the race meet and expected big numbers. Del Mar had a new dirt course and four extra racing days compared to last year to make a 40-day meet. He stated the marketing plan included holding a major event every weekend, targeted at Del Mar's core racing fans, and would promote to new and occasional fans with aggressive advertising, public relations, and social media campaigns. He said the marketing budget was up 12 percent to over \$3.5 million, and the advertising budget was over \$1 million. Tom Robbins, Del Mar, stated the current race meet was a 40-day meet over eight weeks, with 43 stakes events, 10 of which were overnight stakes. He said Del Mar had an overpayment on the overnight stakes in 2014, and it expected to recover some of the overpayment this year by working with TOC for a slight purse reduction. He stated the Ship and Win program was returning for its fifth year at Del Mar. He said the main track was now a dirt track made up of El Segundo sand, the same material used at Santa Anita. He stated the Barrett sale would be held on May 28, 2015, with the preview day on May 26th. He said it was the first time in history that Del Mar had thoroughbreds training in the month of May. Commissioner Auerbach asked Mr. Robbins to explain the changes made to the Ship and Win program. Mr. Robbins said there were two changes to the Ship and Win program. The first change was a 30 percent bonus for a horse that qualified to run in a non-stakes event compared to 33.3 percent last year. The second change was that any horse that started within the previous 12 months at any race track in California would not be eligible to participate in the Ship and Win program, regardless of any changes in ownership. He stated the stakes horses would only get the first part of the \$1,000 reward and any other horses running in overnight stakes would get the \$1,000 plus the 30 percent bonus on the purse money earned in its initial start at Del Mar. Commissioner Solis asked if Del

Mar received any feedback from exercise riders regarding the track surface. Mr. Robbins stated Del Mar received positive feedback. Kim Lloyd, Barrett's Auction, stated he had not received any complaints; the horses were getting a hold of the track very well and the track was in great shape. Commissioner Krikorian asked Josh Rubenstein, Del Mar, to explain the modification made to the Pick 6. Mr. Rubenstein said Del Mar sent a letter to Executive Director Rick Baedeker about the potential modification to the Pick 6, but Mr. Rubenstein asked that the issue be pulled until June. Commissioner Krikorian stated that was fine. Chairman Winner said he would refer the issue to Committee in order to get it resolved as soon as possible. Commissioner Choper asked how the community reacted to the traffic in the area due to the expansion of the racing calendar. Mr. Harper said the community was very supportive of Del Mar's operation over the years. He stated the November race meet did not experience traffic difficulties; the meet was profitable and had a good feel to it. Chairman Winner said the 2014 fall meet at Del Mar was fabulous and Mr. Dato's marketing program was great; it was more successful than anyone could have hoped for. Commissioner Aurebach commended Del Mar for rising to the occasion and doing a great job on its fall meet. Executive Director Baedeker asked Mr. Robbins to brief the Board on the condition of its turf course. Mr. Robbins said the new turf course was doing well; it was tested every day, watered and aerated to ensure it would be in optimum shape for opening day. Commissioner Krikorian asked if recycled water was used on the turf course. Mr. Robbins said yes, reclaimed water was used for the turf course, the main track, and for the dirt roads in the barn area. Vice-Chairman Rosenberg asked if delaying the new Pick 6 until June would give Del Mar sufficient time to market the new bet. Mr. Robbins said he did not believe it would affect the marketing of the new Pick 6. Alan Balch, CTT, said CTT was in agreement with Del Mar on the contents of the trainers' agreement, except in the area of stabling

capacity. He stated with agreement from Del Mar, CTT removed the stabling capacity from last year's agreement, and CTT had determined that was a problematic decision. He said the Board had deferred the disagreement between Los Alamitos and Santa Anita regarding Santa Anita's fall race meeting dates. He stated this impacted the stabling capacity at Del Mar. He said the horsemen and trainers could not reasonably be expected to plan if they did not know where they were going to be stabled, and dangerous conditions could exist at Del Mar if the stable and track area were overpopulated. He asked what the total capacity was going to be at Los Alamitos and elsewhere during Del Mar's race meet. He said it was up to the Stabling and Vanning Committee, but CTT was worried the horsemen could be caught in the middle as a result of the negotiations between Santa Anita and Los Alamitos. He stated CTT was requesting the Board to consider mediating the disagreement between Santa Anita and Los Alamitos within the next ten days. Chairman Winner said the Board was working on resolving the issue and had met with the parties involved. He stated the Board hoped to have the issue resolved by the June Regular Board meeting. Mr. Balch said he appreciated the Board's work on resolving the issue. Chairman Winner **motioned** to approve Del Mar's application to conduct a horse racing meeting commencing July 16, 2015 through September 7, 2015. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA, COMMENCING JULY 30, 2015 THROUGH AUGUST 16, 2015, INCLUSIVE.

Tawny Tesconi, Sonoma County Fair (Santa Rosa), stated Santa Rosa's race meet was scheduled for July 30 through August 16, 2015, with 11 days of racing. She said Santa Rosa suggested fewer race days and less monies to balance the 2014 overpayment which resulted from a decline

in out-of-state handle. Santa Rosa worked with Thoroughbred Owners of California to offer a blend of races to increase or maintain the 7.2 starters for the thoroughbred meets, and it was offering \$1.17 million in purses. Santa Rosa would continue with its paddock dining program and track-side dining that offered a higher-end experience for people enjoying the race meet. She said Santa Rosa would continue with Hat Day, which would bring in 250 people for a special celebration of the races and Hat Day activities. She stated Santa Rosa was offering a \$100 starter fee to all the thoroughbreds that placed sixth through tenth in a race, \$50 to the emerging breed starters, and a special daily drawing in the racing director's office of ten \$50 gas gift cards for trainers. Commissioner Choper asked if the Sonoma County Fair was open all three weekends. Ms. Tesconi said the fair would run July 24, 2015 through August 10, 2015, with an additional week of racing. There would be eight days of racing during the fair and three days following the fair. She stated all of the race dates were during the fair last year, but this year was the first time all of the race dates were not during the fair, due to the shift of Cal Expo's dates. She said the third week of racing would be covered by its marketing program as it was still part of the fair. Commissioner Beneto asked about Santa Rosa's overpayment. Ms. Tesconi said Santa Rosa's overpayment was \$240,000, but it hoped to recover some of the overpayment in 2015. She stated Santa Rosa lost \$50,000 or \$60,000 in 2014 due to the Sonoma Raceway event. She said the Sonoma Raceway would not conflict with the racing meet this year. Chairman Winner **motioned** to approve Santa Rosa's application to conduct a horse racing meeting commencing July 30, 2015 through August 16, 2015, conditioned on the submission of all outstanding items. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1489, GROUNDS FOR DENIAL OR REFUSAL OF LICENSE, TO AMEND THE CRITERIA TO BE USED WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.

Phillip Laird, CHRB Staff Counsel, stated the proposed amendment to Rule 1489, Grounds for Denial or Refusal of License, would align CHRB rules with the requirements of Business and Professions Code section 481. He said the proposed amendment before the Board was a revision of the text previously submitted to the Board in April 2015. Chairman Winner **motioned** to direct staff to initiate 45-day public comment period regarding the proposed amendment to Rule 1489. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE IS INELIGIBLE TO START IN ANY RACE IN CALIFORNIA IF IT IS ON THE VETERINARIAN'S LIST IN ANOTHER RACING JURISDICTION, UNLESS WITH PRIOR APPROVAL OF THE STEWARDS FOR GOOD CAUSE.

Chairman Winner **motioned** to adopt the proposed amendment to Rule 1588, Horse Ineligible to Start in a Race, as presented. Commissioner Solis **seconded** the motion, which was **unanimously carried**.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501(B)(1), TO REFLECT CALIFORNIA'S MOST RECENT MINIMUM WAGE INCREASE OF 12.5 PERCENT AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 12.5 PERCENT FOR THE 2ND AND 3RD PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS.

Commissioner Auerbach asked if the racing associations had enacted the amended non-winning jockey riding fee scale. Jacqueline Wagner, Assistant Executive Director, said yes, the racing associations were abiding by the amendment as presented. Chairman Winner **motioned** to adopt the proposed amendment to Rule 1632, Jockey's Riding Fee, as presented. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) AND THE PACIFIC QUARTER HORSE RACING ASSOCIATION, TO SUSPEND THE USE OF CLENBUTEROL FOR 12 MONTHS EFFECTIVE JUNE 1, 2015, FOR QUARTER HORSES AT ALL CARF TRACKS, PURSUANT TO THE PROVISIONS OF CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION.

Dr. Rick Arthur, CHRB Equine Medical Director, stated when the Board suspended the use of Clenbuterol for quarter horses at Los Alamitos, the action only applied to quarter horses at Los Alamitos, pursuant to Rule 1844.1, Suspension of Authorized Medication. The current request before the Board would suspend the use of Clenbuterol for quarter horses racing at all California Authority of Racing Fairs (CARF) tracks, except Santa Rosa since it was not a CARF track. He said this would put all horses in California under the suspension of authorization for use of Clenbuterol. The request was supported by the Pacific Coast Quarter Horse Racing Association (PCQHRA). Executive Director Rick Baedeker asked if there was a way to amend to request to include Santa Rosa. Robert Miller, CHRB Chief Counsel, said the request could not be amended

as it would require a 10 day notice period. Dino Perez, PCQHRA, thanked the Board and said PCQHRA wanted to be consistent throughout California. Chairman Winner **motioned** to approve the request from CARF and PCQHRA to suspend the use of Clenbuterol for 12 months effective June 1, 2015, for quarter horses at all CARF tracks. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REPORT ON THE STATUS OF THE NON-UNION CALIFORNIA RACE TRACK PENSION PLAN.

Mike Ernst, California Race Track Pension Plan, stated the California Race Track Pension was established in 1959 and covered non-union employees at the California race tracks, racing fairs, Northern California Off-Track Wagering, Incorporated (NCOTWINC) and Southern California Off-Track Wagering, Incorporated (SCOTWINC). He said the pension plan included 14 participating employers, the race tracks, fairs, NCOTWINC and SCOTWINC, also known as a multiple employer defined pension plan. He stated with the 2009 pension crisis the unfunded liability rose to approximately \$30 million. The Pension Board wanted to ensure that participants and retirees would receive their full benefits if the pension plan could not be funded and was turned over to the Pension Benefit Guarantee Corporation where a reduction of benefits would occur. He stated a plan to increase funding began six years ago by freezing benefits and ensuring past benefits were earned. He said after five years of aggressively funding the plan, the termination process began to turn over all retiree benefits to a major financial institution to ensure they were financially secure. Plan participants that were not in a retirement status would have the option to receive a lump sum to roll over to an IRA or 401(k), or elect for an annuity at retirement. He said the plan should be settled by the end of 2015, with all participants receiving

their full benefits, while the race tracks, racing fairs, NCOTWINC and SCOTWINC would have no further obligation under the plan. Vice-Chairman Rosenberg asked if there were other unfunded pensions. Mr. Ernst said the race tracks used several union plans, with SEIU being the largest. It was classified as distressed in 2009. He stated SEIU had a formal 13-year rehabilitation plan in place to increase funding and accrual of benefits. He said Del Mar contributed to five different union plans which was customary. Richard Castro, Local 280, thanked all the parties involved for making progress on the issue and letting the beneficiaries know where they stood because so many believed they had lost their money; they now knew where their money was and how they would receive it. Mr. Castro asked when the full funding stopped for the qualified plan beneficiaries; was there ever a reduction in the full contributions and, if so, when and how were the beneficiaries notified? Mr. Ernst stated the Pension Board froze the plan in June 2011 with a notice sent to all plan participants as required by the Pension Benefit Guarantee Corporation. He said the Pension Board undertook a plan to achieve full funding by doubling the required contribution level beginning in 2010. He stated in 2015 the contribution level was three times the required contribution level in order to achieve 100 percent funding.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADJUSTMENT OF THE 2015 SOUTHERN CALIFORNIA RACING CALENDAR, TO ALLOCATE SEPTEMBER 26TH AND SEPTEMBER 27TH FROM SANTA ANITA TO FAIRPLEX, AT LOS ALAMITOS.

This item was moved to the June 2015 Agenda.

MEETING ADJOURNED AT 11:25 A.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 3

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 4

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 5

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF
RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB IN THE
AMOUNT OF \$27,845 TO FIVE BENEFICIARIES

Regular Board Meeting
June 25, 2015

ISSUE

Del Mar Thoroughbred Club is requesting approval to distribute race day charity proceeds generated at its 2014 fall racing meet. Del Mar Thoroughbred Club is proposing a total distribution of \$27,845. The organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proposed charity distributions are made to equine related charity organizations.

BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a non-profit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



June 10, 2015

Josh Rubinstein
Executive Vice President &
Chief Operating Officer

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The Del Mar Thoroughbred Club Board of Directors has allocated charity day proceeds from the 2014 fall meet as set forth below for the California Horse Racing Board's consideration and approval:

Edwin J. Gregson Foundation*	10,000
Racing Medication Testing Consortium*	8,720
Permanently Disabled Jockeys Fund*	6,125
Winners Foundation*	1,500
California Thoroughbreds Horsemen's Foundation*	<u>1,500</u>
TOTAL:	<u>\$27,845</u>
Equine Related Charities*	\$27,845
Non - Equine Related	0
Percentage of Equine Related Charities	100%

Attached please find a schedule describing the charitable organizations listed above. Thank you for your attention to this matter. If you need further information please feel free to contact me.

Very truly yours,

Josh Rubinstein
Chief Operating Officer

c.c. Jacqueline Wagner

Del Mar Thoroughbred Club
Charitable Organization Descriptions – Fall 2014

Edwin J. Gregson Foundation – The foundation has a primary goal of benefitting and enhancing the quality of life of California's backstretch workers and their families. It was originally founded by the California Thoroughbred Trainers (CTT) in 1998 and renamed in memory of veteran trainer and past CTT president Edwin J. Gregson, who was the driving force behind its start. Notable among its benefits are the college scholarships it provides annually to family members of backstretch workers.
(www.gregsonfoundation.com)

Racing Medication and Testing Consortium -- Founded in 2000 by a cross-section of racing leaders, the Racing Medication and Testing Consortium has a primary goal of developing and promoting uniform rules, policies and testing standards at the national level for racehorses. The tax-deductible organization seeks to coordinate research and educational programs to ensure the integrity of racing and the health and welfare of racehorses and participants, as well as to protect the interests of the racing public. It is currently governed by a Board of Directors consisting of 25 racing industry stakeholders.
(www.rmtcnet.com/)

Permanently Disabled Jockeys Fund – Founded in 2006, it is a collaborative effort of many leaders in the horse racing industry, including racetracks, jockeys, horsemen and others. It is a public charity that currently provides financial assistance to approximately 60 former jockeys who have suffered catastrophic on-track injuries. (www.pdjf.org/)

Winners Foundation – The Winners Foundation was founded in 1984 as a non-profit organization to provide information, support and referral sources to employees and family members of the California horse racing community who are being adversely affected as a result of alcohol and/or substance abuse. There is no charge to anyone seeking aid through the group, which has aided and guided thousands of individuals since its inception. (<http://winnersfoundation.org/>)

California Thoroughbred Horsemen's Foundation – The California Thoroughbred Horsemen's Foundation is a non-profit charitable foundation dedicated to improving the quality of life in the community of stable workers at California's racetracks and training centers. It was founded in 1983 and, among its other projects, currently conducts Monday through Friday medical and dental clinics in both Northern and Southern California that are free for stable workers and their families. (www.cthf.info/)

CHRB Analysis

Del Mar Thoroughbred Club 2014 Fall Charity Day Proceeds

Charity	Amount	Proposed Disbursement Percentage	By Horse Racing Law	Notes
Permanent Disabled Jockeys Fund	6,125	22.00%	20	c
Winners Foundation	1,500	5.39%	5	a
Edwin J. Gregson Foundation	10,000	35.91%		d
California Thoroughbreds Horsemen's Foundation	1,500	5.39%	5	b
Racing and Medication Testing Consortium	8,720	31.32%		d
Total to Equine Related Charities	27,845	100.00%		d

Notes:

- a - Fund for substance or and alcohol abuse (BP 19556 (b))
- b - Welfare fund Backside Personnel (BP 19641(b))
- c - Disable Jockeys Fund (BP 19556 (C))
- d - Horse Related Charities (BP 19556 Disable Jockeys Fund (BP 19556 (C))

DISCUSSION BY THE BOARD REGARDING
AN UPDATE FROM BARRETTS ON THE PERFORMANCE
OF ITS INAUGURAL HORSE SALE AT DEL MAR

Regular Board Meeting
June 25, 2015

ISSUE

Barretts Sales held its inaugural sale at Del Mar last month after the Fairplex Park venue closed for this purpose. The May Sale of Two-Year-Olds in Training & Horses of Racing Age was conducted May 28, 2015. Barretts plans a total of four sales at Del Mar this year, continuing with the established Paddock Sale at Del Mar on July 25, 2015 the Select Yearling Sale on August 15, 2015 and the Fall Yearling & Horses of Racing Age Sale on October 28, 2015. Bids for California-bred horses at these sales are expected to be robust because nearly \$40 million annually is available in restricted races and breeding incentives.

RECOMMENDATION

This item is presented for Board discussion.
Barretts representatives are prepared to report on the May inaugural sale.

STAFF ANALYSIS

June 25, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II AT GOLDEN GATE FIELDS AUGUST 21, 2015 THROUGH SEPTEMBER 7, 2015 AND SEPTEMBER 24, 2015 THROUGH OCTOBER 4, 2015

Pacific Racing Association II (PRA II) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields August 21, 2015, through September 7, 2015, and recommencing September 24, 2015 through October 4, 2015 or 19 days; the same number of days as 2014. PRA II proposes to race a total of 160 races, or 8.42 races per day, and will only simulcast racing on September 23, 2015. In 2014, PRA II ran 8.21 races per day with an average of 6.94 runners per race. The average daily purse for the 2014 race meeting was \$159,779. The (estimated) average daily purse for this meet is \$140,660.

PRA II submitted a letter dated June 10, 2015 in lieu of the marketing plan, which stated it will not "make significant investments in advertising for the 2015 Summer Meet. Instead, appropriate resources from the marketing budget will be allocated to our day-to-day operations...."

August - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Friday through Sunday with the exception of racing on Thursdays: September 3rd, September 24th, and October 1st, as well as Monday, September 7th.
- 7 races on Thursdays, 8 races on Fridays 9 races on Saturdays and Sundays and Monday September 7, 2015. If horse population permits may run additional races, not to exceed an 8.4 average per day for the race meeting. Administrative approval will be requested if plans develop to exceed this amount.
- First post 3:45 p.m. August 21, 2015.
 - 1:45 p.m. post daily August 22, 2015 through September 7, 2015.
 - First post 1:15 p.m. weekdays September 24, 2015 through October 4, 2015.
 - 12:45 p.m. post on weekends September 24, 2015 through October 4, 2015.
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$2 - Rolling Daily Double on all races- 20% takeout.

- \$1 - Pick 3 on all eligible races; \$1 Trifecta on eligible races; \$.10 Superfecta on all eligible races.
- \$.50 - Pick 4 on first four races and last 4 races.
- \$.50 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.
- \$1- Pentafecta (Super High 5) in the last race of the day selecting the first five finishers in that race only. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- \$.20 – Pick n Pool 6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.
- Specific changes from the 2014 license application:
 - Reserved clubhouse seating – from \$3.00 to \$5.00. (Free with TB Card).
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file will expire August 17, 2015; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, Bet America (Lien Games) and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Bond expires August 17, 2015 (Update required)
2. Chairman license expires July 2015
3. Track Physician license expires June 2015
4. Marketing Plan

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710

June 10, 2015

California Horse Racing Board
1010 Hurley Way #300
Sacramento, CA 95825

Members of the CHRB,

This letter is being sent in lieu of the marketing plan requested by the Board for Golden Gate Fields' upcoming 2015 Summer Meet.

The 2015 Summer Meet at Golden Gate Fields will begin August 21 and will continue for just three weeks, until September 7. Thereafter, Golden Gate Fields will cease live racing for two weeks and will host its second 'Opening Day' on September 23, continuing for just another two weeks before closing on October 4, 2015.

It is our opinion that these dates will provide an uneconomical situation for our facility and an unfavorable opportunity for us to build and attract a fan base. Rather, this schedule restricts the track from providing an effective and cost-efficient advertising campaign.

As a result, it would obviously neither be financially prudent, nor productive, to make significant investments in advertising for the 2015 Summer Meet. Instead, appropriate resources from the marketing budget will be allocated to our day-to-day operations so that we can continue to provide exciting live racing along with a friendly and safe environment for our fans and athletes during the Summer Meet. We will also be focusing our marketing assets to maintaining our popular promotional schedule such as "Senior Fridays" and "Dollar Day Sundays", which will continue to be offered for our fans.

Funds have been allocated from the marketing budget to help support the popular Ship, Run, & Win program that helps attract out-of-state horses to Northern California. In 2015, the reward bonus will award \$800 to any horse that starts during the Summer Meet that has not raced in California in the last six months. This is a 100% increase over what was offered during the 2014 Summer Meet. In addition to the starter bonus, we have added a Run-Back Bonus, which offers \$1,500 to an out-of-state horse that shipped into Golden Gate Fields during the Summer Meet and races again during the Summer Meet. The overall goal of this program is to attract out-of-state horses to Northern California and to keep them in Northern California for more than just one start.

Additionally, the recent merger between TVG and HRTV has resulted in an agreement to show 100% of GGF races live. We believe this to be a significant improvement and expect to see a resulting real increase in handle.

In regard to the wagering menu, the popular .20¢ Golden Pick 6 will return for the Summer Meet, which has helped dramatically increase Golden Gate Fields' Pick 6 handle during the 2015 Winter/Spring Meet. Depending on how the Jackpot grows during the Summer Meet, we will consider offering guarantees that will hopefully increase our handle.

Although the 2015 Summer Meet dates for Golden Gate Fields have already been set, we hope the contents of this letter highlight the need for proper allocation of the 2016 Northern California race dates. We look forward to a productive discussion with the Board in regard to this matter.

Thank you,

A handwritten signature in black ink, appearing to read 'D. Cirimele', with a stylized, cursive flourish at the end.

Dan Cirimele
Sr. Director of Marketing

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 527-5622
calvin.rainey@goldengatefields.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Golden Gate Fields**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
 N/A
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars. **Bond on file will be renewed August 17, 2015**

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:
August 19, 2015 - September 7, 2015 and September 24, 2015 - October 4, 2015
- B. Actual dates racing will be held: **August 21-23, 28-30; September 3-6, 7, 24-27; October 1-4**

CHRB CERTIFICATION

Application received: 5/19/15
 Deposit received: *on file*
 Reviewed: *LH*

Hearing date: 6/25/15
 Approved date:
 License number:

- C. Total number of days or nights of racing: **19 days**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Fridays through Sundays

Exceptions: Simulcasting on Wednesday, September 23, 2015 (Host day) and Racing on Thursdays 9/3/15, 9/24/15, 10/1/15, and Monday 9/7/15

- E. Number of days or nights of racing per week: **3 days per week except as set forth in Item D above**

3. RACING PROGRAM

- A. Total number of races: **160**
- B. Number of races for each day or night: **8.4 will be the average throughout the meet. We propose to conduct seven (7) races on Thursdays; eight (8) races on Fridays; nine (9) races on Saturdays, Sundays, and Monday September 7th. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. If we plan to exceed this amount, we will request administrative approval.**
- C. Total number of stakes races: **1**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Stakes schedule attached along with comparative stakes schedule from 2014 and 2013
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race Number	Opening Day Post Time (8/21)	Post Time (8/22 - 9/7)	Post Time (9/24 - 10/4) Weekdays	Post Time (9/24 - 10/4) Weekends
1st	3:45 p.m.	1:45 p.m.	1:15 p.m.	12:45 p.m.
2nd	4:15 p.m.	2:15 p.m.	1:45 p.m.	1:15 p.m.
3rd	4:45 p.m.	2:45 p.m.	2:15 p.m.	1:45 p.m.
4th	5:15 p.m.	3:15 p.m.	2:45 p.m.	2:15 p.m.
5th	5:45 p.m.	3:45 p.m.	3:15 p.m.	2:45 p.m.
6th	6:15 p.m.	4:15 p.m.	3:45 p.m.	3:15 p.m.
7th	6:45 p.m.	4:45 p.m.	4:15 p.m.	3:45 p.m.
8th	7:15 p.m.	5:15 p.m.	4:45 p.m.	4:15 p.m.
9th	7:45 p.m.	5:45 p.m.	5:15 p.m.	4:45 p.m.
10 th when applicable	8:15 p.m.	6:15 p.m.	5:45 p.m.	5:15 p.m.

Request the option to change post time schedules in order to coordinate North/South signals

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and

PACIFIC RACING ASSOCIATION II
GOLDEN GATE FIELDS
STAKES SCHEDULE
COMPARISON
(Attachment 3D)

Summer Stake 2015

Rolling Green (Overnight Stake)
Purse: \$50,000 Added
(Plus \$15,000 to Cal-Breds)
Three Years Old and Upward
1 1/16 Turf
Date: Monday, September 7, 2015

Summer Stake 2014

Rolling Green (Overnight Stake)
Purse: \$50,000 Added
(Plus \$15,000 to Cal-Breds)
Three Years Old and Upward
1 1/16 Turf
Date: Monday, September 1, 2014

Summer Stake 2013

Rolling Green (Overnight Stake)
Purse: \$50,000 Added
(Plus \$15,000 to Cal-Breds)
Three Years Old and Upward
1 1/16 Turf
Date: Monday, September 2, 2013

Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association II**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **3481225**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Scott Daruty, (Director), 0 Shares
Mike Rogers, Vice President, Operations (Officer), 0 Shares
Peter W. Tunney, Executive Vice President (Director), 0 Shares
Sheila Jane Lynn, Assistant Secretary (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo, Controller (Officer), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments)**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**

16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed: **Not applicable**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **Attached**
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California

Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman
Mike Rogers, Vice President, Operations
Sheila Jane Lynn, Assistant Secretary
Peter W. Tunney, Executive Vice President
Calvin J. Rainey, Vice President and General Manager
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Gina Lavo, Vice President, Finance
Merry Scalzo, Director of Administration
Adam Njaa, Controller
Ferdinand Rebusi, Director of Hospitality and Sales
Doug Gooby, Mutuel Manager
Tom Ferrall, Publicity Manager
Bob Hemmer, Director of Operations
Dan Cirimele, Senior Director of Marketing
T.W. Johnson, Security Manager
Michael Wrona, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5**
Daily Double

Proposed percentages: **14%**
20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letter attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014 (8/15 – 9/14/14) PRA II	\$ 6,468,446.20	36,407 (19 live race days)
2013 (8/16 – 9/15/13) PRA II	\$ 6,720,615.20	48,770 (19 live race days)
2012 (8/17 – 9/16/12) PRA II	\$ 7,086,461.80	48,491 (17 live race days)
2011 (8/19 – 10/2/11) LATC @ PRA	\$ 10,322,620.20	65,727 (22 live race days)
2010 (8/25 – 10/3/10) LATC @ PRA	\$ 10,489,837.80	63,493 (27 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.): *Prior meet represents August 15 through September 14, 2014 (19 days)*

A. Purse distribution:

1. All races other than stakes:
Current meet estimate: **\$2,333,219.00**
Prior meet actual: **\$2,728,173.00**

Average Daily Purse (7 A1 ÷ number of days):
Current meet estimate: **\$122,801.00**
Prior meet actual: **\$143,588.05**

2. Overnight stakes:
Current meet estimate: **\$50,000.00**
Prior meet actual: **\$50,000.00**

Average Daily Purse (7 A2 ÷ number of days):
Current meet estimate: **\$2,631.58**
Prior meet actual: **\$2,631.58**

3. Non-overnight stakes:
Current meet estimate: **\$0.00**
Prior meet actual: **\$0.00**

Golden Gate Fields

May 6, 2015

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

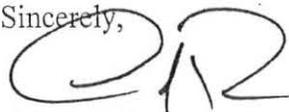
Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association II (PRA II), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II Summer race meet, August 21, 2015 through September 7, 2015 and September 23, 2015 through October 4, 2015.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRAII's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

cc: Joe Morris



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

April 29, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2015 Summer Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Summer meet from August 21, 2015 through September 7, 2015 and September 23, 2015 through October 4, 2015. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

DIRECTORS

BOB BAFFERT
JIM CAHILL
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

Sincerely,

Joe Morris
President

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

April 29, 2015

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) 2015 Summer Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Summer race meet, August 21, 2015 - September 7, 2015 and September 23 - October 4, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Cal Rainey
Mary Scalzo

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

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BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLÈS (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: **\$0.00**

Prior meet actual: **\$0.00**

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$2,383,219.00**
 Prior meet actual: **\$2,778,173.00**

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **0%**

Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **0%**

Prior meet actual: **0%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$204,963.00**

Prior meet actual: **\$205,246.54**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC (NTRA contribution) \$34,739.97

TOC \$19,851.41

CTT (Administration) \$ 9,925.71

CTT (Pension) \$19,851.41

Total \$84,368.50

Prior meet actual:

TOC (NTRA contribution) \$ 0.00

TOC \$20,957.93

CTT (Administration) \$10,478.97

CTT (Pension) \$20,957.93

Total \$52,394.83

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$2,672,550.50**

Prior meet actual: **\$3,035,814.37**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$140,660.55**

Prior meet actual: **\$159,779.70**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: **\$1,784,822.00**

Prior meet actual: **\$1,856,632.38**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$93,938.00**

Prior meet actual: **\$97,717.49**

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$551,483.00**
 Prior meet actual: **\$588,126.87**

Average Daily Purse (7 G ÷ number of days):
 Current meet estimate: **\$29,025.42**
 Prior meet actual: **\$30,954.05**

- H. Bank and account number for the Paymaster of Purses' purse account:
Wells Fargo PRA Account – on file.

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craighmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
 B. Minimum number of stalls believed necessary for the meeting: **2,000**
 C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**
 D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**
 E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
California Stabling & Vanning extension agreement attached

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Stabling costs for this meet: \$456,824.00
Stabling costs per day per stall: \$4.87
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Vanning fees for this meet: \$16,500.00 **Vanning fee per horse: \$75.00**

9. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9 ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4;	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #7	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1977; 1979; 1979.1
Race #9	\$2 DD; \$1 E; \$1 TRI; \$.10 SF;	CHRB#1957; 1959; 1979; 1979.1;
Race #10	\$2 DD; \$1 E; \$1 TRI; \$.10 SF; Super H5	CHRB#1957; 1959; 1979; 1979.1; ARCI #004-105 Subsection X Pick (n) Position (x) Pools)

\$2 DD Rolling Daily Double on all races. 20% takeout.

\$1 PK3 - Pick 3 on all eligible races

\$1 TRI - Trifecta on all eligible races

\$.10 cent SF - Superfecta on all eligible races

\$.50 PNP4 - Pick 4 on first four and last four races

\$.50 PNP5 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

\$1 Pentafecta (Super High 5) in the last race of the day selecting the first five finishers in that race only. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

April 29, 2015

8-20



TOC
Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

Mr. Calvin Rainey
Golden Gate Fields
1100 Eastshore Highway
Berkeley, CA 94710

RE: 2015 Pacific Racing Association II (Golden Gate) Summer Race Meeting ADW Approvals

Dear Cal,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Pacific Racing Association II (PRAII) race signals under the terms and conditions set forth below.

PRAII shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager, Game Play Network Inc. and Bet America to accept wagers on PRAII and other Thoroughbred races during the 2015 PRAII Summer race meeting, August 21 - September 7, 2015 and September 23 - October 4, 2015, as follows:

1. **California wagering on PRAII races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on PRAII races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager, Game Play Network, Inc. and Bet America.

Very truly yours,

Joe Morris
President

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

BOB BAFFERT
JIM CAHILL
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- A. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **September 7, 2015 for the period of August 21, 2015 through September 7, 2015 and October 4, 2015 for the period of September 23, 2015 through October 4, 2015.**
- C. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: October 25, 2015**

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

**Xpressbet
TwinSpires
TVG
Bet America (Lien Games)
WatchandWager.com, LLC**

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **Attached**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **Attached**
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Attached

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton**
- Big Fresno Fair, Fresno**
- CA State Fair & Exposition, Sacramento**
- Club One, Fresno**
- Humboldt County Fair, Ferndale**
- Monterey County Fair, Monterey**
- San Joaquin County Fair, Stockton**
- Santa Clara County Fair, San Jose**
- Shasta District Fair, Anderson**
- Solano County Fair, Vallejo**
- Sonoma County Fair, Santa Rosa**
- Stanislaus County Fair, Turlock**
- The Jockey Club, San Mateo**

Southern California

- Antelope Valley Fair, Lancaster**
- Barona Resort & Casino, Lakeside**
- Cabazon Fantasy Springs Casino, Indio**
- Surfside Race Place, Del Mar**
- Derby Club at Seaside Park, Ventura**
- Fairplex Park, Pomona**
- Hollywood Park, Inglewood**
- Los Alamitos Racecourse, Los Alamitos**
- National Orange Show, San Bernardino**
- San Bernardino County Fair, Victorville**
- Santa Anita Park, Arcadia**
- Shalimar Sports Center, Indio**
- Sycuan Resort & Casino, El Cajon**
- Sports Pavilion @ Farmer's Fair, Perris**
- Viejas Casino & Turf Club, Alpine**

D. Out-of-state wagering systems the association proposed to offer its live audiovisual signal:

2015 IMPORT TRACKS	
Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Keeneland	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont, Saratoga	Full or Partial Cards

Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino Racebook, Commerce; OC Tavern Sports Bar & Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Original, Lake Forest; Santa Clarita Lanes, Saugus; Firehouse Bar & Grill, Bakersfield, and Tilted Kilt, Thousand Oaks**

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

2014/15 Golden Gate
Unmanned Locations

West Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Apahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Ma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
ington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Antic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Imoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Imoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Ingor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Iavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Itor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Iulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Ivingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Iffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Iffalo Raceway	Magna Bet	Northfield	Thistledown
Interbury	Racebets	Cedar Downs OTB	Tioga Downs
Ipital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Iskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Iarles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Iester Downs & Marina LLC	Gulfstream	Oaklawn	TVG Chester
Iurchill Downs	Harrington Raceway	Ocean Downs	TVG Network
Ib Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Prairie
Ieur d' Alene Casino	Hawthorne Race Course	PARX	Twin River Greyhound
Ionial Downs	Hawthorne ADW	PARX Phone Bet	TwinSpires
Ionial Downs Phone Bet	Hazel Park	Penn National	TwinSpires High Volume
Iumbus Raceway	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Innecticut OTB	Horseman's Park	Peru	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
Clarksville/Evansville OTB	Clarksville/Evansville OTB	Player Management Group	Western OTB
Intermountain Racing	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Keeneland	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Keeneland Select ADW	Keeneland Select ADW	Portland Meadows	Wyoming OTB
Kentuck Downs	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
Kentucky OTB	Kentucky OTB	Prairie Meadows	XpressBet
Lebanon	Lebanon	Premier Turf Club	Yonkers Raceway
Les Bois (Treasure Valley)	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Lewiston OTB's	Lewiston OTB's	Raceway Park	Youbet Group 1
Lien Games	Lien Games	Racing2Day LLC	Zia Park
Chips Lounge and Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Howard Johnsons OTB	Howard Johnsons OTB	Remington Park	
Rumors OTB	Rumors OTB	Remington OTB Network	
Skydancer Casino OTB	Skydancer Casino OTB	Retama	
Lien Games ADW	Lien Games ADW	Racing & Gaming Services	Separate Pool Locations
BetAmerica and Offtrackbetting	BetAmerica and Offtrackbetting	Rillito Park	Camarero (Puerto Rico)
Lone Star	Lone Star	River Downs	Caymanas (Jamaica)
Louisiana Downs	Louisiana Downs	Rockingham Park	Codere (Mexico/Spain)
LVDC	LVDC	Rockingham Account Wagering	MIR Books (Caliente)
Atlantis Paradise Casino	Atlantis Paradise Casino	Ruidoso Downs	NDS Books (Nevada)
Avatar Ventures	Avatar Ventures	Running Aces Harness Park	Tabcorp (Australia)
Buffalo Thunder Resort	Buffalo Thunder Resort	Saddle Brook Park	Tattsbet (Australia)
Foxwoods Resort Casino	Foxwoods Resort Casino	Sam Houston	New Zealand Racing Board
Meskwaki Bingo & Casino	Meskwaki Bingo & Casino	Valley Greyhound Park	

Canadian Locations

Berta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Iwartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Uro Raceway, Western Fair, Woodbine,

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB. Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution
- B. Names and addresses of the trustees or directors of the distributing agent:
Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions

Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)

Sara Sporer, D.V.M (Track Veterinarian)**Forrest Franklin, D.V.M.** (CHRB Veterinarian)**Diane Isbell, D.V.M.** (Examining Veterinarian)

Clerk of Scales

Ken Sjoldal

Assistant Clerk of Scales

Ross Allardyce

Clerk of the Course

Tina Walker-Bryant

Film Specialist/Patrol Judge

Ella Robinson

Horse Identifier

Darrell Sparks

Horseshoe Inspector

Butch Fyllingness

Paddock Judge

Linda Anderson

Patrol Judge/Steward's Aide

Tammy McDuffie-Morris

Placing/Patrol Judge

Jon Forbes

Starter

Todd Stephens

Timer

Mark Martinelli**B. Management officials in the racing department:**

Director of Racing

Patrick Mackey

Racing Secretary/Stakes Coordinator

Patrick Mackey

Assistant Racing Secretary

Steve Martinelli & Linda Anderson

Paymaster of Purses

Patricia Prospero

Others (identify by name and title)

Main Track Superintendent

Juan Meza

Turf Track Superintendent

Calvin Rainey

Price Maker

Steve Martinelli

Stable Superintendent

Carrie Fawcett

Steward's Secretary/Horsemen Liaison

Cary Lamey**C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:****Christine Niccoli****Nicoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339****D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:****Plusmic Corp., USA, Bill O'Brien, expires: December 31, 2016****E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.****Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016. Camera locations attached.****F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:****Electronic timer is installed and maintained by on-site staff**

Attachment to PRA II / Golden Gate Fields License Application

13 (E):

Track Camera Equipment:

There are a total of six (6) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- (2) Pan Cameras on Roof

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

T.W. Johnson, Security Manager is responsible for security on-site

Security Department organizational chart is attached

Security Department phone number (510) 559-7370

Stable Security phone number (510) 542-4235 or (510) 559-7525

T.W. Johnson cell phone number (510) 418-3161

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Security Manager	14 – Grandstand Security Officers
1 – Security Lieutenant	5 – Stable Security Officers
1 – Security Sergeant	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Not applicable - no stakes races over \$100,000 or graded stakes**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

- C. Describe number and location of surveillance cameras in detention barn area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

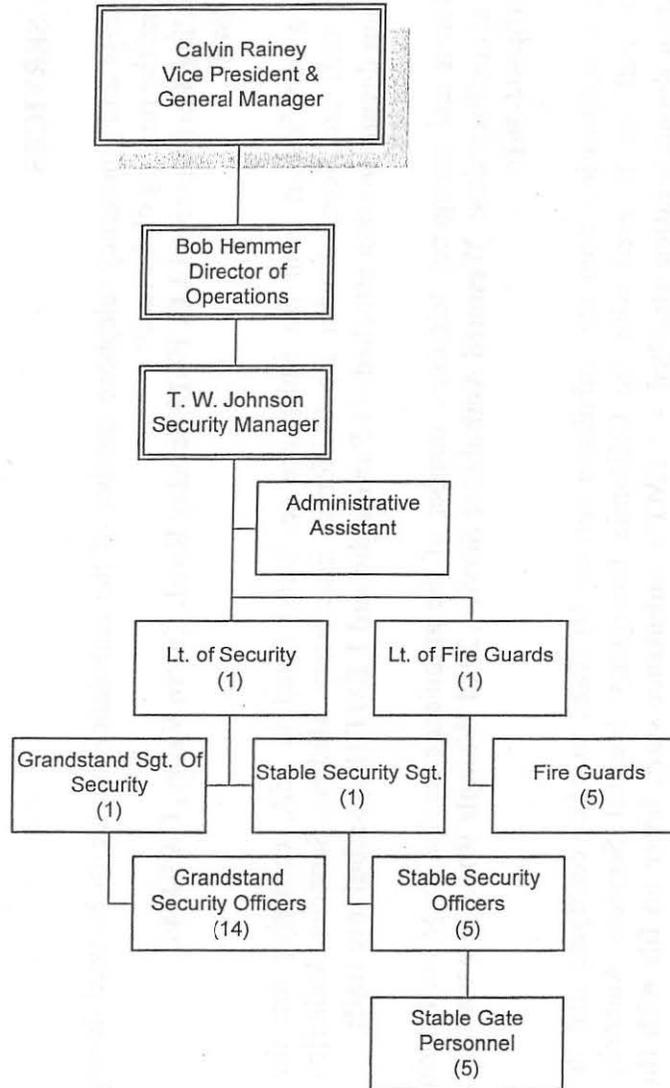
B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

PACIFIC RACING ASSN II / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 14A



D. Number of security personnel assigned to the TCO2 program.
As needed per the advice of CHRB staff

D. Describe the electronic security system:

1. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

E. For night racing associations. Describe emergency lighting system: **Not Applicable**

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
 (510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 2 EMT's ambulance staff; letter on file with the Board referencing current practices at our auxiliary training facility at Pleasanton

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 25, 2014

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2015

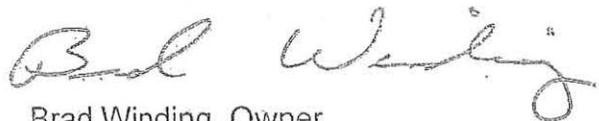
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 25, 2014

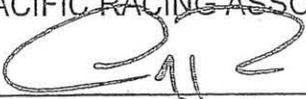
Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2015 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$44.50 per hour subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, Vice President and General Manager

TURF RESCUE, LLC



Brad Winding, Owner



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County
Public Health Department



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Clandge
EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



EMERGENCY MEDICAL PROCEDURES
-LIVE RACING-
FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA

~~-DURANTE LAS CARRERAS-~~

PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA

-ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local:
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.
(REV 2.0, OCTUBRE 2011)

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center, 1411 East 31st Street, Oakland, CA 94602 (510) 437-4800
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Berkeley fire clearance letter attached valid through April 21, 2016
Albany fire clearance letter attached valid through December 31, 2015
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Liberty Mutual Insurance Co. (insurer) and **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-013 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached COI will be renewed on 6/30/15

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Tip Sheets

West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
11000 Eastshore Highway
Berkeley, CA 94710

April 23, 2014

Mr. Roenbeck,

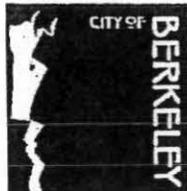
The Albany Fire Department conducted a Fire and Life safety inspection on April 23, 2015. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrective items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of in place fire and life safety systems are current, maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance will expire December 31, 2015. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Yours Sincerely,

Bill Svozil
Fire Marshal
City of Albany



Department of Fire and Emergency Services
Division of Fire Prevention

April 24, 2015

Merry Scalzo
Director of Admissions
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On April 21, 2015 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 21, 2016. Our fire life safety report indicates that there are no outstanding violations noted or corrections needed to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator

No.: 2014-182

Dated: June 26, 2014

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-12	Jun 30, 2014 to Jun 30, 2015	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-014	Jun 30, 2014 to Jun 30, 2015	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

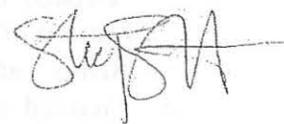
Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited



By: _____
 Stephanie Wong

Armored Car Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144

Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546

Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706

Jockey's Laundry F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

17. **ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans: for the race meeting:
PRA II provided a letter dated June 10, 2015 in lieu of a marketing plan.

B. Promotional/ Marketing budget for this race meeting:
Attached

Promotional/Marketing budget for prior race meeting:
Attached

C. Number of hosts and hostesses employed for meeting:
1 Customer Service Representative
5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen

- Replaced old turf steel rail with Fontana aluminum turf safety rail
- Assisted with set-up for barn area personnel festivities; Sports and Game day, Station of the Cross, and Caminando en la Fe (race track Chaplaincy)
- Bridle path renovation in the barn area
- Enacted new procedure for Steward's to access the track emergency lights during racing hours

2015 Golden Gate Fields Summer Meet Promotions Calendar
August 21 through September 7, 2015 & September 23 – October 4, 2015

August 2015:

Aug 21: Senior Friday

Aug 23: Dollar Day

Aug 28: Senior Friday

Aug 30: Dollar Day

September 2015:

Sept 4: Senior Friday

Sept 6: Dollar Day

Sept 7: Labor Day Racing

Sept 25: Senior Friday

Sept 27: Dollar Day

October 2015:

Oct 4: Senior Friday

Oct 4: Dollar Day

Golden Gate Fields Marketing Budget: Summer 2015

Advertising: \$0.00

Dollar Day Charitable Contributions: \$10,000

Other: \$50,000

Total Marketing Budget: \$60,000

Golden Gate Fields Marketing Budget: Summer 2014

Advertising: \$181,650

Hispanic Heritage Day Concert: \$8,000

Wiener Dog Races: \$1,200

Total Marketing Budget: \$190,850

- Hooked up Wi-Fi antennae on new Walk-In Gate
- Replaced and repaired smoke alarms in the barn area
- Replaced and repaired 33 fire hydrants throughout entire facility
- Cement work completed to repair concrete around drains in stable area
- Repaired draining section on the turf course near the 1/16 pole
- Rebuilt Walk-In Gate and area where Horsemen watch morning workouts
- Replaced 50+ fire hoses, cabinets, and signage in barn area to enhance fire safety
- Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area
- Added three (3) surveillance cameras into the barn area at the Walk-In gate
- Completed the Spring maintenance to the Tapeta surface in March 2015
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway and barn repairs in the barn area

2. Fans

- Initiated the first of its kind, “GGF Trackside” podcast on the GGF website. The weekly podcast focuses on a variety of topics including racing news, race analyses, GGF promotions and special events, interviews, racing history and more.
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan’s viewing pleasure
- Plans underway to improve the Golden Gate Fields’ website
- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Continuing with new wagering format during the Summer meet; changed our Daily Doubles to Rolling Daily Doubles offered in each race, Golden Pick 6 with a \$.20 minimum, and reduced take-outs on Daily Doubles and Pick 5
- New tip sheet vendor, West Coast Wheelie’s, has proven successful and popular with our fans

3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$5.00 previously \$3.00 (Free with TB card)

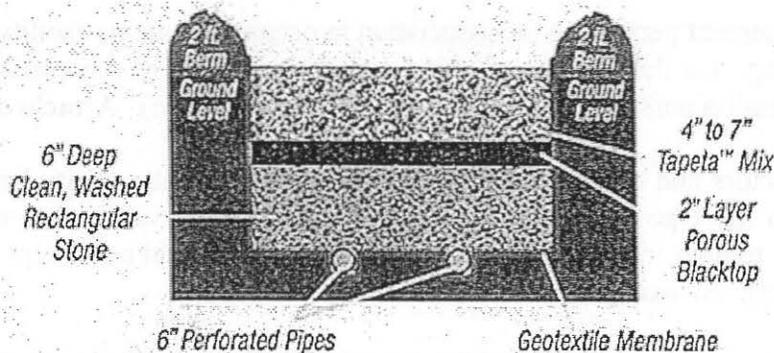
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,280 feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Juan Meza
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**

STAFF ANALYSIS
June 25, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 21 THROUGH AUGUST 30, 2015.

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale beginning August 21 through August 30, 2015, or 6 days. The fair proposes to race 72 races, the same as 2014. HCF will be simulcast only on August 19, 20, 26 & 27, 2015.

August - 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Friday, Saturday and Sunday. Twelve races daily.
 - 2014 Race Meeting: Average number of runners per race (TB): 6.03
 - 2014 Race Meeting: Average number of runners per race (Arabian): 5.4
 - 2014 Race Meeting: Average number of runners per race (Mules): 5.56
- Request Gary Greiner be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Racing concurrently with Del Mar August 21-30.
- First post 3:07 p.m. Fridays.
- 2:07 p.m. post on weekends.

Wagering program will use CHRB and ARCI rules.

- \$1 Place Pick when applicable; \$1 Trifecta and \$0.10 Superfecta when applicable.
- \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
- \$0.50 Pick (n) Pool 5 on last 5 thoroughbred races each day with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$0.20 Pick (n) Pool 6 on the last 6 thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting 6 winners. If no unique ticket selects 6 winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique

ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers and 60% of major pool added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race day.

- Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are TVG, XpressBet, Twin Spires, Bet America and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all document required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all document required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all document required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted a hub agreement between BAM Software and Services LLC (BAM) and California Authority of Racing Fairs.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Fire clearance
2. Clerk of Scales license expired October 2014
3. Film Specialist requires a license
4. Clerk of the Course requires a license
5. Horse Identifier license expired January 2015
6. Court reporter has not been identified

RECOMMENDATION:

If the application is considered for approval, staff recommends the Board approve the application contingent upon submission of the items still needed.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Humboldt County Fair, 1250 5th Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Richard Conway, General Manager, 707-786-5515, humcofair@frontiernet.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: August 21 – August 30, 2015

B. Actual dates racing will be held: August 21, 22, 23 & August 28, 29 & 30

C. Dates racing will NOT be held: August 19, 20, 26 & 27 will be simulcast only days.

D. Total number of racing days: 6

E. Days of the week races will be held:

Fri - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races: 72

B. Number of races by breed:

<input type="text" value="48"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="16"/>	Mules

CHRB CERTIFICATION

Application received: 5/22/15
Reviewed: Laurel K

Hearing date: 6/25/15
Approved date:
License number:

C. Number of races daily:

	Fri, Aug 21 & 28	Sat, Aug 22 & 29	Sun, Aug 23 & 30
Thoroughbred	8	8	8
Other Breeds	4	4	4
Total	12	12	12

D. Total number of stakes races by breed:

Thoroughbreds
 Quarter Horses
 Appaloosas
 Arabians
 Paints
 Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Humboldt County Marathon-3yo and upward, One mile and five furlongs, \$20,000 Guaranteed Sunday, August 30.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes
 No
 If no, what racing colors are to be used:

G. List all post times for the daily racing program:

** As much as possible, we intend to align our post times to compliment the Del Mar post times*

<u>Race Number</u>	<u>Fri</u>	<u>Sat & Sun</u>
Race #1	3:07	2:07
Race #2	3:37	2:37
Race #3	4:07	3:07
Race #4	4:37	3:37
Race #5	5:07	4:07
Race #6	5:37	4:37
Race #7	6:07	5:07
Race #8	6:37	5:37
Race #9	7:07	6:07
Race #10	7:37	6:37
Race #11	8:07	7:07
Race #12	8:37	7:37

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors: **Mel Berti, Clarence Bugenig, Al Cooper, Lawrence Dwight, Jeff Farley, Sandy Hanks, Darren Hansen, Jay Hight, Travis Low, Jack Macdonald, Duane Martin, Dave Mogni, Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni, Steve Ross and Wayne Wilson.**

Humboldt County Fair

Inclusive dates allocated for race meeting: August 13 – August 24, 2014

Stakes Races- Excerpt below taken from 2014 Humboldt fair race meet application:

Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

2014: Humboldt County Marathon-3yo and upward, One mile and five furlongs, \$20,000 Guaranteed Sunday, August 24.

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Mel Berti, Jeff Farley, Travis Low Jack MacDonald, Cindy Olsen, Dave Mogni and Wayne Wilson**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: **Richard Conway – General Manager, Kevin Ingram – Stall Manager**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
**Richard Conway
 General Manager
 1250 5th Street
 Ferndale, Ca 95536
 humcofair@frontiernet.net**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2014	\$3,594,595	34,136	6 days
2013	\$3,435,382	34,289	8 days
2012	\$4,936,835	41,304	8 days
2011	\$3,910,325	41,905	8 days
2010	\$8,436,626	51,905	8 days
2009	\$3,225,399	44,903	8 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**A. Purse distribution:**

1. All races other than stakes:
Current meet estimate: \$306,162
Prior meet actual: \$306,162

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$51,027
Prior meet actual: \$51,027

2. Overnight stakes:
Current meet estimate: \$20,000
Prior meet actual: \$20,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$3,333
Prior meet actual: \$3,333

3. Non-overnight stakes:
Current meet estimate: 0
Prior meet actual: 0

4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate: \$326,162
Prior meet actual \$326,162

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: 0
Prior meet actual: 0

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$28,527
Prior meet actual: \$28,527

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 875	\$ 875
TOC \$ 1,750	\$ 1750
NTRA \$ 375	\$ 375
PCQHRA \$ -0-	\$ -0-
CWAR \$ -0-	\$ -0-
ARAC \$ 1,397	\$ 1,397
AMRA \$ 1,973	\$ 1,973
CHBPAPEN \$ 2,625	\$ 2,625
CTHF \$ 2,625	\$ 2,625
Total \$11,620	\$11,620

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$366,309

Prior meet actual: \$366,309

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$61,051

Prior meet actual: \$61,051

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$304,407

Prior meet actual: \$313,822

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$50,734

Prior meet actual: \$52,303

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$40,376

Prior meet actual: \$41,625

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$6,729

Prior meet actual: \$6,973

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) on file. Emerging Breeds Account on file.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-

track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
250 permanent 100-120 portables
- B. Minimum number of stalls believed necessary for the meeting:
350-370
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
458
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if

wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.8 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	



April 9, 2015

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2015 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4



Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement is still current for the 2015 racing season.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 30, 2015 (Closing Day of Humboldt County Fair)**

D. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Sportech (Anthony Lovell) Expiration: September 30, 2015.

Equipment description on file with Board.

10. ADVANCE DEPOIST WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:

Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America, Watch & Wager.

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the

April 9, 2015



Mr. Larry Swartzlander
 Chief Operating Officer
 CARF
 1776 Tribute Road, Suite 205
 Sacramento, CA 95815

RE: 2015 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager and Bet America to accept wagers on CARF and other Thoroughbred races during the 2015 CARF race meeting commencing June 17, 2015 and continuing through October 19, 2015, as follows:

1. **California wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Joe Morris
 President

OFFICERS

MIKE PEGRAM
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 NICK ALEXANDER
 SECRETARY/TREASURER
 MIKE HARRINGTON
 AT LARGE
 ED MOGER
 AT LARGE

DIRECTORS

BOB BAFFERT
 JIM CAHILL
 MARK DEDOMENICO
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 TERRY LOVINGIER
 WILLIAM E. MOREY
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EXECUTIVE STAFF

JOE MORRIS
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 MARY FORNEY
 DIRECTOR OF OPERATIONS
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF
 OPERATIONS
 JENNIFER SAAVEDRA
 BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM



AMERICAN
MULE
RACING
ASSOCIATION

March 23, 2015

CARF
Chief Operating Officer
1776 Tribute Road, Suite 205
Sacramento CA 95815

RE: California Authority of Racing Fairs ADW Approvals

Dear Larry:

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, RVG, Xpressbet, TwinSpires, and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the Hub Agreement entered into May 1, 2014 by and between Lien Games Racing LLC and California Authority of Racing Fairs.

Please feel free to contact me if you have any questions.

Best regards,

Donald W. Jacklin, President

DWJ/law

amra carf.doc

1660 Expo Blvd
Sacramento CA 95815

Don Jacklin, President
1752 W Riverbend Ave
Post Falls ID 83854

April 10, 2015

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpress Bet, Twinspires and Watch and Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC letter Agreement dated April 9, 2015.

Please feel free to contact me if you have any questions.

Sincerely,



Sam Vasquez

Chairperson

breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

Golden Gate Fields, Albany	Fairplex Park, Pomona
*Humboldt County Fair, Ferndale	Fantasy Springs Casino, Indio
Jockey Club at San Mateo, San Mateo	Los Alamitos Race Course, Los Alamitos
	Mermaid Tavern, Thousand Oaks
	OC Tavern & Sports Bar, San Clemente
	Roadhouse Grill, Santa Maria
	Sammy's Restaurant & Bar, Lake Forrest
	Santa Anita Park, Arcadia
	Santa Clarita Lanes, Santa Clarita
Monterey County Fair, Monterey	Shalimar Sports Center, Indio
San Joaquin County Fair, Stockton	Sports Center, San Bernardino
Santa Clara County Fair, San Jose	Sports Pavillion at The Farmers Fair, Lake Perris
Shasta District Fair, Anderson	Sports Pavillion, San Bernardino Cty. Fair, Victorville
Solano County Fair, Vallejo	Surfside Race Place at Del Mar, Del Mar
Sonoma County Fair, Santa Rosa	Sycuan Gaming Center, El Cajon
	Tilted Kilt, Thousand Oaks
Stanislaus County Fair, Turlock	Viejas Casino & Turf Club, Alpine
	Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2015 IMPORT TRACKS</u>	
ARLINGTON	8/19-8/30
BELMONT	8/19-8/30
ASSINIBOIA	8/19-8/30
AUSTRALIAN RACING	8/19-8/30
BEULAH	8/19-8/30
CALDER RACECOURSE	8/19-8/30
CANTERBURY DOWNS	8/19-8/30
CHARLES TOWN	8/19-8/30
CHURCHILL DOWNS	8/19-8/30
DELAWARE	8/19-8/30
DOWNS AT ALBUQUERQUE	8/19-8/30
ELLIS PARK	8/19-8/30
EMERALD DOWNS	8/19-8/30
EVANGELINE DOWNS	8/19-8/30
FORT ERIE	8/19-8/30
GULFSTREAM	8/19-8/30
HASTINGS PARK	8/19-8/30
HAWTHORNE	8/19-8/30
INDIANA DOWNS	8/19-8/30
KEENLAND	8/19-8/30
KENTUCKY DOWNS	8/19-8/30
LAUREL	8/19-8/30
LONE STAR	8/19-8/30
LOUISIAN DOWNS	8/19-8/30
MONMOTH	8/19-8/30
MOUNTAINEER PARK	8/19-8/30
NYRA-AQUEDUCT	8/19-8/30
NYRA-BELMONT	8/19-8/30
NYRA-SARATOGA	8/19-8/30
PENN NATIONAL	8/19-8/30
PHILADELPHIA PARK (PARX)	8/19-8/30
PIMLICO	8/19-8/30
PRAIRIE MEADOWS	8/19-8/30
PRESQUE ISLE	8/19-8/30
RIVER DOWNS	8/19-8/30
SOUTH AMERICAN RACING	8/19-8/30
SUFFOLK DOWNS	8/19-8/30
SUNLAND PARK	8/19-8/30
SUNRAY PARK	8/19-8/30
TAMPA BAY	8/19-8/30
THISTLEDOWN	8/19-8/30
TURFWAY PARK	8/19-8/30
TURF PARADISE	8/19-8/30
UNITED KINGDOM	8/19-8/30
WOODBINE	8/19-8/30

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

ARF 2015 Meets
Unmingle Locations

West Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound P
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (AD
Wahpahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Wahpah Race Club	Evangeline Downs	Meskwaki Bingo & Casino	Scioto Downs
Wahpahngton I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Wahpahntic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Wahpahkad	Fair Grounds ADW	Maywood	Sol Mutuel
Wahpahmoral	Fair Meadows	Meadowlands	Southland Greyhound
Wahpahmoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Wahpahngor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Wahpahavia	Freehold	Monmouth Park	Suffolk District OTB
Wahpahfor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
WahpahJah Park	Global Wagering Solutions	Monticello	Pat's Pi
Wahpahningham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Wahpahffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	SunRay Park & Casino
Wahpahfalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Wahpahlder Racecourse	Racebets	New Jersey Casino Assoc.	Taunton Acct Wagering
Wahpahnterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Wahpahpital District OTB	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Albuquerque
Wahpahtskills OTB	Gulf Greyhound	NJ Mobile	Thistledown
Wahpaharles Town Race Course	Gulfstream	Northfield	Tioga Downs
Wahpahster Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Wahpahurchill Downs	Hawthorne Race Course	Northville	Turf Paradise
Wahpahib Hipica InTurf	Hawthorne ADW	NYRA	Turfway Park
Wahpahur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Chester
Wahpahlonial Downs	Hoosier Park	Oaklawn	TVG Network
Wahpahlonial Downs Phone Bet	Horseman's Park	Ocean Downs	TVG Prairie
Wahpahlumbus Raceway	Indiana Downs	Panama	Twin River Greyhound
Wahpahnnecticut OTB	Evansville OTB	Penn National	TwinSpires
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	TwinSpires High Volume
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Venezuela OTB
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	Vernon Downs
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	WatchandWager
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Western OTB
Willimantic	Kentucky OTB	Player Management Group	Wheeling Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Will Rogers Downs
Connecticut OTB ADW	Les Bois (Treasure Valley)	Pocono Downs and OTB	Wyoming
Coushatta Casino	Lewiston OTB's	Portland Meadows	XpressBet
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Yonkers Raceway
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Yobet Group 1
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	Zia Park
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW		

Randall James Racetrack	BetAmerica	Raceway Park	
Millenium Racing	BestFastNow	Racing2Day LLC	
Royal Beach Casino	OnlineRacing.TV	Racing2Day Intl. (Stan James)	
Divi Carina Bay Casino	BetTheRacesOnline.com	Remington Park	
Fair Chance	BigJackpotBetting.com	Remington OTB Network	
Winner's Circle	Hometownbets.com	Retama	Separate Pool Locations
pus Christi Greyhound	RaceplayWin.com	Racing & Gaming Services	Camarero (Puerto Rico)
aware	VIPHorsePlayer.com	River Downs	Caymanas (Jamaica)
	TrackInfo.com	Rockingham Park	MIR Books (Caliente)
	Offtrackbetting.com	Rockingham Account Wagering	NDS Books (Nevada)
		Ruidoso Downs	

Canadian Locations

iniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Ser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Parthia Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Sky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Toronto Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All

international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s) – **Sal Guftafson, D.V.M.**
 Clerk of Scales – **Cheryl White**
 Clerk of the Course – **Myra Truitt**
 Film Specialist – **Myra Truitt**
 Horse Identifier – **Gary Greiner**
 Horseshoe Inspector – **Jackie Payton**
 Paddock Judge – **Gary Greiner**
 Patrol Judges – **Lisa Jones & Cheryl White**
 Placing Judges – **Stewards**
 Starter – **Bob Mooneyhan**
 Timer – **Melody Truitt**

B. Management officials in the racing department:

Director of Racing – **Richard Conway**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Lisa Jones**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
 Mutual Manager – **Dominic DePrenzio**

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

TBA

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien (Expires 12/7/15)

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2018

Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2018

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See attached organizational chart.**
Pacific Coast Security, Gene Bass, Owner (707)-786-9511
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1-2 Guards in grandstands
2-3 Rovers
3 Licensed gatemen on 8-hour shifts
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
N/A
 2. Detention Stalls:
(The Fair is not running graded stakes)
 - A. Attach a plan for use of graded stakes or overnight races.
N/A
 - B. Number of security guards in the detention stall area during a 24-hour period.
N/A
 - C. Describe number and location of surveillance cameras in detention stall area.
N/A
 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.
 - B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.
 - C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance
 - D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.
- C. Describe the electronic security system.
C.A.R.F. surveillance equipment and program that travels between racing Fairs.
1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area.

Security Controls

Richard Conway
General Manager/Director of Racing
Office: 707-786-5515
Cell: 707-497-4400

Lisa Hindley
Business Assistant
Office: 707-786-5512

Kevin Morehead
Maintenance Supervisor
Office: 707-786-5516

Ferndale Police Dept.
Office: 707-786-4025

Gene Bass
Pacific Coast Security
Office: 707-786-9511

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7th Street, Eureka, Ca 95501 (707) 445-4907**
1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
N/A
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached. The ambulance crew consists of one paramedic and one EMT.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Physicians rotate on a daily basis from Redwood Memorial Hospital. 707-725-7328
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Redwood Memorial Hospital, 3300 Renner Drive, Fortuna, Ca (707) 725-7328
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Richard Conway, General Manager and Lisa Hindley, Assistant General Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
To be submitted after portables are assembled.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
California Fair Services Authority.
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
ON file with CRHB.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live



May 10, 2015

Richard Conway
Humboldt County Fair

Mr. Conway,

City Ambulance of Eureka, Inc. of Eureka, California operates emergency and non-emergency ambulance transportation in Humboldt County. All of our paramedic staff are licensed with the California Emergency Medical Services Authority, in addition to being accredited by the LEMSA (local emergency medical services agency) in the area in which they are practicing. The current status of any paramedic license can be verified by CA EMSA on their web site: www.centralregistry.ca.gov.

Please feel free to contact me if you require further information.

Sincerely,

A handwritten signature in cursive script that reads "Jason Chand".

Jason Chand, EMT-P, RN, BSN
Chief Operating Officer

First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a asegurar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

Locutor

El locutor deberá informar a los jinetes acerca de los detalles de la situación (como la ubicación del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerentes sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompañar a los familiares a los hospitales, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
Food & Non-Alcoholic Beverages

Winner's Circle Photo

Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514

Racing Grandstand Sound System

Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519

Armored Car Services

NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568

Portable Stalls

Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.

- B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
 Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate in surrounding communities 60 days prior to Fair and near entrance to town during fair; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Wednesday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station; Live remote, local ESPN radio, opening day of racing; Television and radio spots.
- B. Promotional/ Marketing budget for this race meeting:
\$60,000
 Promotional/Marketing budget for prior race meeting:
\$55,000
- C. Number of hosts and hostesses employed for meeting:
10
- D. Describe facilities set aside for new fans:
First come, first served

2015 HUMBOLDT COUNTY FAIR AND RACE MEET
MARKETING PLAN

16A. The Board of Directors and Staff are enthusiastic and ready for the 119th edition of the Humboldt County Fair in Ferndale, home to California's smallest, yet most unique racing venue. Here's a summary of some of the things what we plan to do to meet the challenge:

- RACE DAY SCHEDULE: In order to provide for the greatest success for everyone involved, Humboldt will continue to modify its schedule when live racing will occur. Those modifications include racing on a -Friday-Saturday-Sunday format during both weeks of racing. Dark days will include Thursday August, 20th, along with Monday August, 24 through Thursday August, 27 of the Humboldt County Fair. This change is designed to benefit the myriad of participants from the racing industry, along with the thousands of loyal fans who travel to Ferndale to support A
- ADVERTISING BUDGET: Our 2014 advertising budget is \$60,000.
- Sponsorships: We are continuing to expand our sponsorship program in 2011 it was \$55,000, 2012 \$80,000, 2013 \$160,000 and our goal for 2014 is \$200,000.
- Fundraiser: We are planning a fundraiser for August 30th where we hope to raise \$50,000
- Track Safety Improvements: We are widening the backstretch and second turn. Installing a new outer fence from the 3/8 pole to the 1/8 pole.
- PROMOTIONS: In addition to reaching out to more businesses to sponsor our racing gate admissions, we will again be joining the list of racing entities that have used Lady's Hat Day at the Races promotion. A huge part of Del Mar's traditional opening day festivities, we will be conducting the promotion on Saturday, August 29, sponsored by the Ferndale Jockey Club
- POST RACE PROMOTIONS: Unique to Ferndale is the nightly recap of racing activities, with a live broadcast of our local ESPN radio station, KEKA 1340. Owners, trainers, jockeys and fans are among the many guests to appear on the program, which takes place live on Main Street Ferndale, in front of one of the many local bars, taverns and restaurants that embrace horse racing each August. Post-race promotions extend to the local NBC affiliate, New Channel 3 in Eureka, an important outlet which is provided footage for the races each day to broadcast on its 6:00 and 11:00 sports programs.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen: We are going to widen the backstretch from the 3/8th pole around the second turn to the 1/8th pole, replace outer rail with chain link fence to match the improvements that were made in first turn.
2. Fans: We are expanding our Turf Room to increase the capacity and accommodate more of our VIP patrons.
3. Facilities in the restricted areas: N/A

17. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Proposed charges, note any changes from previous year:

Admission (general)	\$8.00
Admission (racing)	\$3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$5.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	
Programs (on-track)	\$2.50
(off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees: **\$225 for box seats.**

C. Describe any "package" plans such as combined parking, admission and program: **None.**

18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="18"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys:

**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.
Jockeys & Jockettes share the scale.**

19. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:

Six

- C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1.**

20. TRACK SAFETY

- A. Total distance of the racecourse - ~~measured from~~ the finish line counterclockwise (3' from the inner railing) back to the finish line:

2,640

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**

D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Richard Conway
Print Name

Richard Conway
Signature

General Manager
Print Title

5-14-14
Date

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSION CODE SECTION 19501(B) (1), TO REFLECT CALIFORNIA'S MINIMUM WAGE INCREASE OF 11.1 PERCENT SCHEDULED FOR JANUARY 1, 2016 AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 11.1 PERCENT FOR THE 2ND AND 3RD PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS

Regular Board Meeting
June 25, 2015

ISSUE

This will be the second amendment to Rule 1632, Jockey's Riding Fee, to comply with Business and Professions Code Section 19501(b)(1) which requires the minimum jockey riding fees for losing mounts to be increased whenever the California minimum wage rate is increased. The proposed changes are for the January 1, 2016, minimum wage rate increase of 11.1 percent. The first amendment to Rule 1632 adjusted the non-winning jockey riding fee scale to reflect the July 1, 2014, minimum wage rate increase of 12.5 percent. The amendment complying with the July 2014 minimum wage rate increase was adopted at the May 2015 Regular Board meeting.

Assembly Bill 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the state minimum wage is increased by the percentage of that increase. As of January 1, 2016, the California minimum wage rate will increase 11.1 percent per hour for all hours worked. The January 2016 minimum wage increase necessitates an amendment to Board Rule 1632, Jockey's Riding Fee, which currently provides a scale of jockey riding fees to be used in the absence of a contract or special agreement between the trainer/owner and jockey. The 11.1 percent increase to the losing mount fees causes the losing mount to earn more than the third place mount finishing with a gross purse of less than \$9,999, which could remove any incentive for a jockey to put forth his best effort. Therefore, it is necessary to amend not only the losing mount fees pursuant to Business and Professions Code section 19501; but also the 2nd and 3rd place mount fees in order to balance the Non-Winning Jockey Riding Fee scale.

ANALYSIS

Business and Professions Code subsection 19501(b)(1) provides for an increase for losing mounts on the scale of minimum jockey riding fees whenever the state minimum wage is increased by the percentage of that increase; however, it does not provide for an increase for the 2nd and 3rd place mounts. The 11.1 percent increase to the losing place mount produces a disparity for the 2nd and 3rd place mounts with a gross purse of \$9,999 or less. It is necessary to

make an adjustment to the scale, otherwise jockey riding fees for 2nd and 3rd place finishers in races with purses of \$9,999 would be lower than the fee paid for a losing mount.

The proposed amendment to Rule 1632 will adjust the scale of jockey riding fees for losing mounts by 11.1 percent, as directed by Business and Professions Code section 19501. The proposal also applies the 11.1 percent adjustment to the scale of the jockey riding fees for all mounts not sharing in purse monies, to ensure that riders with 2nd and 3rd place mounts in races with a gross purse of \$9,999 or less receive more than a losing mount.

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	\$151.88 <u>\$168.74</u>
50,000-99,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	123.75 <u>137.49</u>
25,000-49,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	106.88 <u>118.74</u>
15,000-24,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	95.63 <u>106.25</u>
10,000-14,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	90.00 <u>100.00</u>
5,000-9,999:	\$101.25 <u>\$112.49</u>	\$86.63 <u>\$96.25</u>	84.38 <u>93.75</u>
3,500-4,999:	90.00 <u>100.00</u>	78.75 <u>87.49</u>	73.13 <u>81.25</u>
2,000-3,499:	78.75 <u>87.49</u>	73.13 <u>81.25</u>	70.88 <u>78.75</u>
1,500-1,999:	67.50 <u>75.00</u>	65.25 <u>72.49</u>	65.25 <u>72.49</u>

BACKGROUND

Business and Professions Code section 19401(a) and (d) provides that the intent of Chapter 4 is to allow pari-mutuel wagering on horse races, while assuring protection of the public and providing uniformity of regulation for each type of horse racing. Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the State minimum wage is increased by the percentage of that increase.

Board Rule 1632, Jockey's Riding Fee, provides a scale of jockey riding fees to be used in the absence of a contract or special agreement.

Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Section 19501(b)(1) provides that effective January 1, 2012, the scale of minimum jockey riding fees for losing mounts shall be increased by \$10 per mount over the rate in effect on December 31, 2011, except the lowest fees on the scale shall be increased by \$5.

Section 19501(b)(2) provides that effective January 1, 2012, the minimum amount awarded to the jockey who finishes second or third in a race shall be increased by \$5 over the amount required to be paid on December 31, 2011. This subdivision shall apply to races in which the purse is nine thousand nine hundred ninety-nine dollars (\$9,999) or less.

Rule 1632, Jockey's Riding Fee, was amended November 28, 2011, to comply with Business and Professions Code section 19501(b)(1) and (b)(2).

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT TO
RULE 1632. JOCKEY'S RIDING FEE

Regular Board Meeting
June 25, 2015

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

GROSS PURSE	Winning Mount
\$100,000 and up:	10% of Win Purse
50,000-99,999:	10% of Win Purse
25,000-49,999:	10% of Win Purse
15,000-24,999:	10% of Win Purse
10,000-14,999:	10% of Win Purse
5,000-9,999:	10% of Win Purse
3,500-4,999:	10% of Win Purse
2,000-3,499:	10% of Win Purse
1,500-1,999:	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) Non-winning jockey riding fees are:

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	\$151.88 <u>\$168.74</u>
50,000-99,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	123.75 <u>137.49</u>
25,000-49,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	106.88 <u>118.74</u>
15,000-24,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	95.63 <u>106.25</u>
10,000-14,999:	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	90.00 <u>100.00</u>
5,000-9,999:	\$101.25 <u>\$112.49</u>	\$86.63 <u>96.25</u>	84.38 <u>93.75</u>
3,500-4,999:	90.00 <u>100.00</u>	78.75 <u>87.49</u>	73.13 <u>81.25</u>
2,000-3,499:	78.75 <u>87.49</u>	73.13 <u>81.25</u>	70.88 <u>78.75</u>
1,500-1,999:	67.50 <u>75.00</u>	65.25 <u>72.49</u>	65.25 <u>72.49</u>

(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race;

(1) Entry, nomination or other fees paid shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this rule.

(f) A jockey may not share in the fees of another jockey.

Authority: Sections 19440, 19501 and 19562,
Business and Professions Code.

Reference: Sections 19401 (a), 19401(d), 19420, 19440, 19501, and 19502,
Business and Professions Code.

STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING, TO: 1) DELETE SUBSECTION 1433(B) WHICH REQUIRES RACING ASSOCIATIONS RUNNING FOUR WEEKS OR MORE OF CONTINUOUS THOROUGHBRED RACING IN A CALENDAR YEAR TO INSTALL A POLYMER SYNTHETIC TYPE RACING SURFACE; 2) REVISE THE APPLICATION TO INCLUDE THE APPLICANT'S PRIOR TWO YEAR'S STAKE SCHEDULES; 3) ADD THE TAKEOUT RATE ON THE PROPOSED PARI-MUTUEL WAGERING SCHEDULE; 4) COLLECT THE NAME OF THE RACING VETERINARIAN, THE HOURS THE RACING VETERINARIAN WILL BE ONSITE DURING MORNING WORKOUTS, TRAINING AND DURING RACING HOURS

Regular Board Meeting
June 25, 2015

ISSUE

Board Rule 1433 was last amended in 2011. In the intervening period of time, the Board has identified several items that it would like to see included, or expanded upon, in the forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair. In addition, Del Mar Thoroughbred Club (DMTC) announced plans to replace its synthetic track with a dirt track in time for its 2015 summer season. In February 2015 DMTC received a formal exemption to subsection 1433(b), which requires specified tracks to install synthetic racing surfaces. DMTC was the last Southern California track with a synthetic race surface; only Golden Gate Fields in Northern California has such a surface. Given these circumstances, it was determined that Rule 1433 should be amended to delete subsection 1433(b). The proposed amendment removes the synthetic track requirement, and allows racing associations to install either type of racing surface without having to seek Board approval. The Board directed staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1433. The 45-day comment period ended June 22, 2015. No comments were received.

ANALYSIS

Proposed changes to form CHRB-17 include:

Section 3, D1, Racing Program: This section has been modified to capture information regarding stakes races for the past two race meetings. This will provide a tracking mechanism to ensure the quality of California stakes races is sustained.

Section 3, D2, Racing Program: This section has been modified to capture information regarding stakes races that have been altered, added, or are new for the current race meeting and details regarding any alterations to the identified stakes races.

Section 3, D3, Racing Program: This section has been modified to capture information regarding stakes races that have been dropped or deleted and the reason for the change.

Section 9, A, Equine Emergency Services: This is a new subsection which requires the applicant association to identify the name and emergency phone number for the racing veterinarian onsite during training hours, workouts and during racing. Capturing the name and hours of the onsite veterinarian will provide staff with information regarding who will be available to treat a horse during training hours, workouts and during racing for the association and auxiliary sites.

Section 9, A1, Equine Emergency Services: This new subsection requires the applicant to attach a copy of the schedule listing the dates and times that the racing veterinarian will be available onsite during workouts and during racing.

Section 10, B, Pari-Mutuel Wagering Program: This section has been modified to capture information on any changes from the previous year for the pari-mutuel program. Capturing information on the prior year pari-mutuel changes will allow the Board to make a comparison from year to year.

Section 10, G, Pari-Mutuel Wagering Program: This section has been modified to capture the takeout percentage for each type of wager listed on the race meet application.

Proposed changes to form CHRB-18 include:

Section 3, E1, Racing Program: This section has been modified to capture information regarding stakes races for the past two race meetings. This will provide a tracking mechanism to ensure the quality of California stakes races is sustained.

Section 3, E2, Racing Program: This section has been modified to capture information regarding stakes races that have been altered, added, or is new for the current race meeting and details regarding any alterations to the identified stakes races.

Section 3, E3, Racing Program: This section has been modified to capture information regarding stakes races that have been dropped or deleted and the reason for the change.

Section 9, A, Equine Emergency Services: This is a new subsection which requires the applicant association to identify the name and emergency phone number for the racing veterinarian onsite during training hours, workouts and during racing. Capturing the name and hours of the onsite veterinarian will provide staff with information regarding who will be available to treat a horse during training hours, workouts and during racing for the association and auxiliary sites.

Section 9, A1, Equine Emergency Services: This new subsection requires the applicant to attach a copy of the schedule listing the dates and times that the racing veterinarian will be available onsite during workouts and during racing.

Section 10, C, Pari-Mutuel Wagering Program: This section has been modified to capture information on any changes from the previous year for the pari-mutuel program. Capturing information on the prior year pari-mutuel changes will allow the Board to make a comparison from year to year.

Section 10, H, Pari-Mutuel Wagering Program: This section has been modified to capture the takeout percentage for each type of wager listed on the race meet application.

All other changes to the forms CHRB-17 and CHRB-18 are for the purpose of clarification, consistency, renumbering and grammar.

The proposed amendment to Rule 1433 subsection (b) would remove the requirement for a polymer synthetic racing surface at all facilities that conduct continuous Thoroughbred horse racing for four weeks or more in a calendar year. The amendment would allow racing associations to install either type of racing surface without having to seek Board approval.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purpose of this chapter. Responsibilities of the Board shall include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutual wagering. Business and Professions Code section 19480 states the Board may issue to any person who makes application therefore in writing, who has complied with the provisions of this chapter, and who makes the deposit to secure payment of the license fee imposed by this article, a license to conduct a horse racing meeting in accordance with this chapter at the track specified in the application; provided, the Board determines that the issuance thereof will be in the public interest and will subserve the purposes of this chapter. Rule 1433, Application for License to Conduct a Horse Racing Meeting, provides that every association or fair that intends to conduct a horse racing meeting must file a completed application with the Board at least 90 days prior to its proposed meeting. The forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair, are incorporated by reference in Rule 1433; therefore, any revisions to the applications would necessitate an amendment to the rule.

At the May 25, 2006 Regular Board Meeting an amendment to Rule 1433 that would require certain thoroughbred tracks to install a polymer synthetic-type surface was adopted. The proposed amendment became effective January 2007. In February 2015, Del Mar Thoroughbred Club (DMTC) received an exemption to the provisions of Rule 1433(b) to replace its synthetic track with a traditional dirt racing surface. The new track will be completed in time for DMTC's summer racing season. Golden Gate Fields in Northern California is currently the only track with a synthetic racing surface. The Los Angeles Turf Club obtained an exemption from the requirements of subsection 1433(b) in 2010, and the Hollywood Park Race Track, which had a synthetic racing surface, closed permanently in December 2013.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board adopt the proposed amendment as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 3. RACING ASSOCIATION
PROPOSED AMENDMENT OF
RULE 1433, APPLICATION FOR LICENSE TO
CONDUCT A HORSE RACING MEETING

1433. Application for License to Conduct a Horse Racing Meeting.

(a) Unless the Board requires an earlier filing, at least 90 days before the time allocated by the Board for a race meeting to start, the association shall file with the Board an Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. ~~7/11~~ 2/15), which is hereby incorporated by reference. Note: CHRB-17 incorporates by reference, the Personal History Record, CHRB-25A (Rev. 11/08). A California fair shall file with the Board an Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. ~~7/11~~ 2/15), which is hereby incorporated by reference. Copies of the CHRB-17 and CHRB-18 may be obtained at the California Horse Racing Board headquarters office.

~~(b) No racing association that operates four weeks or more of continuous Thoroughbred racing in a calendar year shall be licensed to conduct a horse racing meeting at a facility that has not installed a polymer synthetic type racing surface.~~

Authority: Sections 19420 and 19440,
Business and Professions Code.

Reference: Section 19480, 19481 and 19562,
Business and Professions Code.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:
- B. Breed of horse: TB QH H
- C. Racetrack name:
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting:
- B. Actual dates racing will be held:
- C. Total number of days or nights of racing:
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)
- E. Number of days or nights of racing per week:

CHRB CERTIFICATION

Application received:
Deposit received:
Reviewed:

Hearing date:
Approved date:
License number:

3. RACING PROGRAM

- A. Total number of races:
- B. Number of races for each day or night:
- C. Total number of stakes races:
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)
- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

C. CORPORATION

- 1. Registered name of the corporation:
- 2. State where incorporated:
- 3. Registry or file number for the corporation:
- 4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No

10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:

- 11. Are the shares listed for public trading? Yes No
- 12. If yes, on what exchange and how the stock is listed:

E. OTHER

- 1. Name(s) of partners/sole proprietor:
- 2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

- 1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

- 1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- 1. All races other than stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate:

Prior meet actual:

- 2. Overnight stakes:

Current meet estimate:

Prior meet actual:

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate:

Prior meet actual:

- 3. Non-overnight stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse (7 A3 ÷ number of days):
Current meet estimate:
Prior meet actual:

- 4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate:
Prior meet actual:

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
Current meet estimate:
Prior meet actual:

Average Daily Purse (7 B1 ÷ number of days):
Current meet estimate:
Prior meet actual:

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate:
Prior meet actual:

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

Prior meet actual:

Total

Total

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
Current meet estimate:
Prior meet actual:

Average Daily Purse (7 E ÷ number of days):
Current meet estimate:
Prior meet actual:

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
Current meet estimate:
Prior meet actual:

Average Daily Purse ($7 F \div$ number of days):

Current meet estimate:

Prior meet actual:

G. Purse funds to be generated from interstate handle:

Current meet estimate:

Prior meet actual:

Average Daily Purse ($7 G \div$ number of days):

Current meet estimate:

Prior meet actual:

H. Bank and account number for the Paymaster of Purses' purse account:

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10 9. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1		
Race #2		
Race #3		
Race #4		
Race #5		
Race #6		
Race #7		
Race #8		
Race #9		
Race #10		
Race #11		
Race #12		
Race #13		

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.

C B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

D C. List any options requested with regard to exotic wagering:

E D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

F E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11 10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12.11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering;
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal;
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal;
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association;
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal;
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13 12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
Association Veterinarian(s)
Clerk of Scales
Clerk of the Course
Film Specialist
Horse Identifier
Horseshoe Inspector
Paddock Judge
Patrol Judges
Placing Judges
Starter
Timer
- B. Management officials in the racing department:
Director of Racing
Racing Secretary
Assistant Racing Secretary
Paymaster of Purses
Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

15 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention stall area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
- B. Plan for enhanced surveillance for trainers with high-test results.
- C. Plan for detention stalls for repeat offenders.
- D. Number of security personnel assigned to the TCO2 program.

C. Describe the electronic security system:

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.

D. For night racing associations. Describe emergency lighting system:

16 15. EMERGENCY SERVICESA. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing ~~the running of the races~~:

- 1. Attach a certification from the ambulance service(s) listed in 16 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

- 1. Attach a certification from the ambulance service (s) listed in 16 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

C. Describe the on-track first aid facility, including equipment and medical staffing:

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

- 1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

18 17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
 Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19 18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general)
- Admission (clubhouse)
- Reserved seating (general)
- Reserved seating (clubhouse)
- Parking (general)
- Parking (preferred)
- Parking (valet)
- Programs (on-track)
- (off-track)

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

C. Describe any "package" plans such as combined parking, admission and program:

20 19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text"/> |
| <input type="checkbox"/> Showers | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers:

21 20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) _____ on (date) _____.

B. Number of rooms used for housing on the backstretch of the racetrack:

C. Number of restrooms available on the backstretch of the racetrack:

D. Estimated ratio of restroom facilities to the number of backstretch personnel:

22 21. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

23 22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24 23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

ADDENDUM

Background and Ownership Information

FULL DISCLOSURE: By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

NOTE: All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

I. BACKGROUND INFORMATION

- A. **PERSONAL INFORMATION** - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
1. Full name and any previous names or aliases;
 2. date of birth;
 3. physical description;
 4. business address and telephone number; and
 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. **PERSONAL HISTORY** - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. **RELATIONSHIP** - The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or step-relationship is considered to be a familial relationship.
- D. **CORPORATIONS** - If the applicant is a corporation, the application documents must state:
1. The state in which the applicant is incorporated; and
 2. name and address of the applicant's agent for service of process in California.
- E. **INDICTMENTS OR CONVICTIONS** - If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. **PENDING LEGAL PROCEEDINGS** - An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

II. OWNERSHIP

A. IDENTIFICATION AND LOCATION - The application documents must include:

1. All names used by the applicant; and
2. name of the agent and the address and telephone number of the office of the applicant for service of process in California.

B. BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and include an organizational chart.

C. ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:

1. Name of each individual who was an organizer or promoter of the applicant;
2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

D. ORGANIZATIONAL DOCUMENTS

1. If the applicant is a corporation, the application documents must include:

- a. Statement of when and in what state the corporation was organized;
- b. certified copy of the articles of incorporation and bylaws of the applicant;
- c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. statement and documentation of whether the corporation has filed restated articles of incorporation.

2. If the applicant is an unincorporated business association, the application documents must include:

- a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
- b. description of any oral agreements involving the organization of the partnership.

E. CAPITOL STOCK

1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized:

2. For each class of stock, applicant must also state:

- a. Par value, if any;
- b. voting rights;
- c. current rate of dividend; and
- d. number of shares outstanding and the market value of each share.

3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.

4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:
 - a. Name and address of each stockholder participating in the trust or agreement;
 - b. class of stock involved; and
 - c. total number of shares held by the trust or agreement.

F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:
 - a. Name and business address;
 - b. each position or office of the applicant held by the individual;
 - c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
 - d. nature and extent of any ownership interest in the applicant.
2. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

G. CONTROLLING ENTITY

1. Application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

H. OUTSIDE INTERESTS AND LICENSE HISTORY - Application documents must state whether the applicant or a director, officer, or partner of the applicant:

1. Ever held an ownership interest in a licensee of the CHRB; or
2. is currently engaged in the business of racing in another state.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting:

B. Actual dates racing will be held:

C. Dates racing will NOT be held:

D. Total number of racing days:

E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races:

B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

CHRB CERTIFICATION

Application received:
Reviewed:

Hearing date:
Approved date:
License number:

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred							
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate:
 Prior meet actual:

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate:
 Prior meet actual:

- 2. Overnight stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse (7A2 ÷ number of days):
Current meet estimate:
Prior meet actual:

- 3. Non-overnight stakes:
Current meet estimate:
Prior meet actual:

Average Daily Purse (7A3 ÷ number of days):
Current meet estimate:
Prior meet actual:

- 4. Total Purses: (7A1+7A2+ 7A3)
Current meet estimate:
Prior meet actual

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate:
Prior meet actual:

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT	
TOC	
NTRA	
PCQHRA	
CWAR	
ARAC	
AMRA	
CHBPAPEN	
CTHF	
Total	Total

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
Current meet estimate:
Prior meet actual:

Average Daily Purse (7D ÷ number of days):
Current meet estimate:
Prior meet actual:

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate:
 Prior meet actual:

 Average Daily Purse (7E ÷ number of days):
 Current meet estimate:
 Prior meet actual:
- F. Purse funds to be generated from interstate handle:
 Current meet estimate:
 Prior meet actual:

 Average Daily Purse (7F ÷ number of days):
 Current meet estimate:
 Prior meet actual:
- G. Bank and account number for the Paymaster of Purses' purse account:
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

10 9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes No
Race #1			
Race #2			
Race #3			
Race #4			
Race #5			

- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program.

D-C Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

E-D List any options requested with regard to exotic wagering:

F-E Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G-F Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11 10. ADVANCE DEPOIST WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12 44. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s)
 - Clerk of Scales
 - Clerk of the Course
 - Film Specialist
 - Horse Identifier
 - Horseshoe Inspector
 - Paddock Judge
 - Patrol Judges
 - Placing Judges
 - Starter
 - Timer
- B. Management officials in the racing department:
- Director of Racing
 - Racing Secretary
 - Assistant Racing Secretary
 - Paymaster of Purses
 - Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

14 13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
 - 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
 - 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
 - 3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
 - B. Plan for enhanced surveillance for trainers with high-test results.
 - C. Plan for detention stalls for repeat offenders.
 - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system.
 - 1. Location and number of video surveillance cameras for the detention stall and stable gate.

15 14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing the running of the races:

1. Attach a certification from the Ambulance Company(s) listed in 15 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
1. Attach a certification from the Ambulance Company(s) listed in 15 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16 15. CONCESSIONAIRES AND SERVICE CONTRACTORS

A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

B. Does the fair plan to provide its own concessions? Yes No

17 16. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

B. Promotional/ Marketing budget for this race meeting:

Promotional/Marketing budget for prior race meeting:

C. Number of hosts and hostesses employed for meeting:

D. Describe facilities set aside for new fans:

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- 1. Horsemen
- 2. Fans
- 3. Facilities in the restricted areas

18 17. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

- Admission (general)
- Admission (clubhouse)
- Reserved seating (general)
- Reserved seating (clubhouse)
- Parking (general)
- Parking (preferred)
- Parking (valet)
- Programs (on-track)
- (off-track)

B. Describe any "Season Boxes" or other special accommodation fees:

C. Describe any "package" plans such as combined parking, admission and program:

19 18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

- | | | |
|---|--|---|
| <input type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="checkbox"/> |
| <input type="checkbox"/> Showers | <input type="checkbox"/> Steam room, sauna or steam cabinets | <input type="checkbox"/> Lounge area |
| <input type="checkbox"/> Masseur | <input type="checkbox"/> Food/beverage service | <input type="checkbox"/> Certified platform scale |

- B. Describe the quarters to be used for female jockeys:

20 19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by (name) _____ on
(date) _____.
- B. Number of rooms used for housing on the backstretch of the racetrack:
- C. Number of restrooms available on the backstretch of the racetrack:
- D. Estimated ratio of restrooms to the number of backstretch personnel:

21 20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
- C. The percent of cross slope in the straight-aways is:
The percent of cross slope in the center of the turns is:
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22 21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23 22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name

Signature

Print Title

Date

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO 1) LOWER THE
AMOUNT OF KETOPROFEN THAT CAN BE PRESENT IN A TEST SAMPLE FROM 10
NANOGRAMS PER MILLILITER OF BLOOD PLASMA OR SERUM TO 2 NANOGRAMS
PER MILLILITER OF BLOOD PLASMA OR SERUM; AND 2) TO ADD ISOFLUPRODONE
AND ITS SPECIFIED AUTHORIZED LEVEL TO THE LIST OF CALIFORNIA'S
AUTHORIZED MEDICATIONS

Regular Board Meeting
June 25, 2015

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1843, Medication, Drugs and Other Substances, provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules. Board Rule 1844, Authorized Medication, names drug substances and medications authorized by the Board that may be administered to safeguard the health of the horse entered to race. The rule lists the drug substances that may be found in official test samples and the level at which such drugs may occur. The Association of Racing Commissioners International (ARCI) is the international association of the government sanctioned entities responsible for the honesty and integrity of horse and greyhound racing as well as all associated pari-mutuel wagering. ARCI sets standards used in medication policy, and drug testing laboratories.

The first proposed amendment to Board Rule 1844 would lower the allowed ketoprofen threshold in blood plasma or serum from 10 nanograms per milliliter (ng/ml) to 2ng/ml as recommended by ARCI. Ketoprofen, a non-steroidal anti-inflammatory and analgesic drug, is allowed to be administered up to 24 hours prior to racing at a current regulatory threshold of 10 ng/ml of blood plasma or serum under Board Rule 1844 (c)(3), Authorized Medication. The current withdrawal guideline is 24 hours after a single intravenous dose of ketoprofen at a total dose of 2.2 milligrams per kilogram. The 10 ng/ml threshold is based on results of studies conducted in the mid-90's. Based on more modern technology using a liquid chromatographic-mass spectrometric method, a more accurate 24 hour and 48 hour threshold has been determined.

On April 17, 2014, ARCI adopted the 2ng/ml of ketoprofen threshold in blood plasma or serum (ARCI 011-010 Section C Paragraph 1.b)

The second proposed amendment to Board Rule 1844 would add isoflupredone, in an amount that does not exceed 100 picograms per milliliter, to the list of drug substances that a blood serum or plasma sample may contain. Isoflupredone acetate is a long acting corticosteroid that can be used for the treatment of allergic, musculoskeletal, and inflammatory processes in the horse. Isoflupredone acetate can be administered via intra-articular, intravenous, and intramuscular/subcutaneous routes. On April 17, 2014, ARCI adopted the 100 picograms per milliliter of isoflupredone threshold in blood plasma or serum (ARCI 011-010 Section C Paragraph 1.b).

The proposed amendment to Board Rule 1844 was heard at the January 20, 2015 Medication and Track Safety Committee Meeting, and then at the February 18, 2015 Regular Board Meeting, where the Board instructed staff to initiate the 45-day public comment period.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting
June 25, 2015

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than ~~10~~ 2 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels:

- (1) Acepromazine; 10 nanograms per milliliter
 - (2) Mepivacaine; 10 nanograms per milliliter
 - (3) Albuterol; 1 nanograms per milliliter
 - (4) Procaine; 25 nanograms per milliliter
 - (5) Salicylates; 750 micrograms per milliliter
 - (6) Clenbuterol; 140 picograms per milliliter
 - (7) Omeprazole; 1 nanogram per milliliter
 - (8) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.
 - (9) Boldenone; 15 nanograms per milliliter in males other than geldings.
 - (10) Testosterone; 20 nanograms per milliliter in geldings.
- (A) Testosterone at any level in males other than geldings is not a violation of this regulation.

(11) Testosterone; 55 nanograms per milliliter in fillies or mares.

(12) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

(1) Bethamethasone; 10 picograms per milliliter

(2) Dantrolene; 100 picograms per milliliter

(3) Detomidine; 1 nanogram per milliliter

(4) Dexamethasone; 5 picograms per milliliter

(5) Diclofenac; 5 nanograms per milliliter

(6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter

(7) Firocoxib; 20 nanograms per milliliter

(8) Lidocaine; 20 picograms per milliliter

(9) Methocarbamol; 1 nanogram per milliliter

(10) Methylprednisolone; 100 picograms per milliliter

(11) Glycopyrrolate; 3 picograms per milliliter

(12) Prednisolone; 1 nanogram per milliliter

(13) Triamcinolone Acetonide; 100 picograms per milliliter

(14) Xylazine; 10 picograms per milliliter of serum or plasma

(15) Butorphanol; 2 nanograms per milliliter

(16) Isoflupredone; 100 picograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)-(1)(12).

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580 and 19581,
Business and Professions Code.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE SUBMISSION OF PROPOSED CHRB EMERGENCY RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES, TO THE OFFICE OF ADMINISTRATIVE LAW (OAL), IN RESPONSE TO THE OAL DETERMINATION THAT THE FEBRUARY 28, 2014 MEMORANDUM "INSTRUCTIONS PURSUANT TO RULE 1885, MEDICATION PROCEDURES AND RELATED INSTRUCTION" ISSUED BY THE CHRB EQUINE MEDICAL DIRECTOR WAS AN UNDERGROUND REGULATION.

Regular Board Meeting
June 25, 2015

ISSUE

Since February 28, 2014, the CHRB has implemented and enforced the provisions of a memorandum authored by the Equine Medical Director entitled "Instructions Pursuant to Rule 1855, Medication Procedures and Related Instructions." The memorandum described procedures for how and when quarter horses should be placed on the Veterinarian's List when clenbuterol is prescribed to or detected in a horse, as well as the procedures for removing a horse from the list once it tests clear of clenbuterol. On May 26, 2015, however, the Office of Administrative Law released 2015 OAL Determination NO. 6 (OAL MATTER NO. CTU2014-1110-01), which declared that the abovementioned memorandum was an "underground regulation." This determination has created an emergency situation for quarter horse racing in California, and in response, the CHRB is prepared to file an emergency regulation with the Office of Administrative Law.

BACKGROUND

Clenbuterol is a beta-2 agonist approved for use as a bronchodilator in horses. Beta-2 agonists also have an anabolic-type effect through their muscle partitioning side effects. Clenbuterol is banned in human sport by the World Anti-doping Association for this reason. The drug is also abused in horses because of its anabolic effects. Clenbuterol became a problem in horse racing—especially quarter horse racing—when the CHRB and other racing authorities in the United States restricted the use of anabolic steroids. Quarter horses often engage in sprint racing. Accordingly, the preferred body-type for racing quarter horses is a well-muscled horse, which is easily accomplished and enhanced with the use of anabolic steroids.

The FDA approved formulation for horses was specifically formulated to make Ventiplulmin, the FDA approved brand, unpalatable to humans. Unapproved clenbuterol can be readily obtained though, and in fact in some countries it is allowed in food for animals to promote muscle weight gain. The official CHRB laboratory, the Maddy lab at UC Davis School of Veterinary Medicine, has analyzed a number of non-FDA clenbuterol preparations from quarter horse racing in California and other southwest racing locations where quarter horse racing is prominent. Furthermore, several studies have described numerous side-effects associated with clenbuterol administration, including a repartitioning effect and major alterations in cardiac and skeletal muscle function, as well as affects on bone, immune, endocrine, and reproductive systems.

Los Alamitos Racecourse and the Pacific Coast Quarter Horse Racing Association (PCQHRA) began expressing concern to the CHRB in 2010 about what they perceived as unfair racing at Los Alamitos. Public confidence is critical to horse racing, and the perception of unfair competition amongst owners and trainers due to the use of clenbuterol became a serious issue for the public and licensees.

The CHRB conducted testing at Los Alamitos for their 2010 signature end of season races, the \$2,000,000 Futurity and Champion of Champions. A total of 72 blood samples were obtained and tested. Of those samples, every sample (72 out of 72) contained detectable levels of clenbuterol in the horse's blood. Realistically, clenbuterol can be detected in blood for no more than 4-7 days after administration, indicating that all of the tested horses had recently been administered clenbuterol. At the request of Los Alamitos Racecourse and PCQHRA, the CHRB suspended authorization to clenbuterol by breed and track for one year at a time, pursuant to Rule 1844.1. The suspension was in place for 2011, and upon conducting a similar testing program, the CHRB found that no horses tested positive for clenbuterol this time around. In 2013, however, a number of trainers began to once again push the limits on clenbuterol use. In Fiscal Year 2013-14 there were 13 clenbuterol violations at Los Alamitos. While trainers are sanctioned when caught, the public is not protected in these instances. Those who wager on races where certain horses have been administered clenbuterol have no recourse. The people who bet on a winning horse that has been administered clenbuterol—which often includes the horse's owners and trainers—are rewarded, while those who bet on a losing horse not administered clenbuterol become victims of an unfair and illegal performance enhancing scheme.

In response to these instances of unlawful clenbuterol use, the CHRB implemented a memorandum ("the memorandum") authored by the Equine Medical Director entitled "Instructions Pursuant to Rule 1855, Medication Procedures and Related Instructions." The memorandum described procedures for how and when quarter horses should be placed on the Veterinarian's List when clenbuterol is prescribed to or detected in a horse, as well as the procedures for removing a horse from the list once it tests clear of clenbuterol. On May 26, 2015, however, the Office of Administrative Law released 2015 OAL Determination NO. 6 (OAL MATTER NO. CTU2014-1110-01), which declared that the abovementioned memorandum was an "underground regulation." This determination made the memorandum effectively unenforceable by the CHRB without seriously risking adverse litigation and judgments in court. Accordingly, trainers and veterinarians can potentially administer clenbuterol to quarter horses right now without being placed on the Veterinarian's List, which not only could harm the animal, but also severely degrade the integrity of quarter horse racing in California.

This determination has created an emergency situation for quarter horse racing in California, and in response, the CHRB is prepared to file an emergency regulation with the Office of Administrative Law.

ANALYSIS

Filing an emergency regulation with the Office of Administrative Law (OAL) differs procedurally from the standard rulemaking process. Essentially, the accelerated filing allows the emergency regulation to take effect approximately 17 days after it is noticed to the public. Specifically, the process entails the following:

- At least 5 working days before filing with OAL, the state agency must mail and post an emergency Notice. The Notice must include the proposed text and the "Finding of Emergency."
- After at least 5 working days have passed, the agency may file the emergency regulation with OAL. OAL then has 10 days to approve or deny the emergency regulation.
- During the first 5 days of OAL's review, the public may submit comments to OAL with a copy to the state agency.
- The agency has until the 8th day of OAL's 10-day review to submit rebuttal to any public comments made to OAL; however the agency is not required to respond to public comments.
- On the 10th day after the emergency regulation is filed, OAL will either approve or deny the emergency regulation, and if approved, it will be filed with the Secretary of State and become effective immediately.
- Once an emergency regulation is approved, it is effective for 180 days. If the agency wishes to make the emergency regulation a permanent regulation, it must undergo the regular rulemaking process during that 180-day period. Only if the agency has made substantial progress towards adopting a permanent regulation may it readopt the emergency regulation for two additional 90-day periods.

It is important to note that the Government Code has strict specifications for what qualifies as an "emergency," and defines it as "a situation that calls for immediate action to avoid serious harm to the public peace, health, safety, or general welfare." Government Code section 11342.545. Government Code section 11346.1(b)(2) further states that:

"A finding of emergency based only upon expediency, convenience, best interest, general public need, or speculation, shall not be adequate to demonstrate the existence of an emergency. If the situation identified in the finding of emergency existed and was known by the agency adopting the emergency regulation in sufficient time to have been addressed through nonemergency regulations adopted in accordance with the provisions of Article 5 (commencing with Section 11346), the finding of emergency shall include facts explaining the failure to address the situation through nonemergency regulations."

CHRB staff has determined that without the ability to enforce the Equine Medical Director's February 28, 2014 memorandum, "Instructions Pursuant to Rule 1855, Medication Procedures and Related Instructions," an emergency exists in California quarter horse racing that calls for immediate action to avoid serious harm to the public peace, health, safety, or general welfare.

The proposed emergency Rule 1866.1, Presence of Clenbuterol in Quarter Horses, would directly address this emergency situation by implementing the substantive aspects of the Equine Medical Director's prior memorandum, making its provisions enforceable once again.

RECOMMENDATION

The submission of these emergency regulations is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED ADDITION OF
RULE 1866.1. PRESENCE OF CLENBUTEROL IN QUARTER HORSES

1866.1. Presence of Clenbuterol in Quarter Horses

(a) A quarter horse prescribed clenbuterol will be placed on the Veterinarian's List for veterinary treatment until an official test sample shows that there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866. Quarter horses on the Veterinarian's List for clenbuterol administration will not be allowed to start in a race until the horse is removed from the Veterinarian's List.

1) Veterinarians prescribing clenbuterol within the inclosure shall include the diagnosis justifying the prescription of clenbuterol, the dosage, and the most recent date of administration on the label. Clenbuterol shall only be prescribed to an individual quarter horse for a specific diagnosis to last for a defined period of time to ensure compliance with Rule 1864, Labeling of Medication. The quarter horse's name, diagnosis, dosage and most recent date of clenbuterol administration must be reported to the Official Veterinarian on form CHRB-24 (Veterinarian Report), which is hereby incorporated by reference.

(2) Administration of clenbuterol to a quarter horse must be reported by the trainer of the horse to the Official Veterinarian on form CHRB-60 (Trainer Medication Report), which is here by incorporated by reference.

(b) A quarter horse that, pursuant to Rules 1858 or 1859, tests positive for clenbuterol in either a blood, urine, or other official test sample will be placed on the Veterinarian's List until an investigation has been conducted to determine the circumstances of the presence of clenbuterol

in the official test sample, and until an official test sample shows that there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness, pursuant to Rule 1866.

(1) A “positive” test result is one in which the presence of clenbuterol is detected in an official test sample at an amount greater than 0.00%.

(c) A quarter horse shall not be removed from the Veterinarian’s List and allowed to start in a race until an official test sample shows that there is no clenbuterol in the blood or urine of the horse after a workout to demonstrate its physical fitness pursuant to Rule 1866.

Authority: Sections 19440, 19562, and 19580
Business and Professions Code

Reference: Sections 19440, 19562, and 19580
Business and Professions Code

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE
SONOMA COUNTY FAIR
AND THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION
TO SUSPEND THE USE OF CLENBUTEROL FOR 12 MONTHS EFFECTIVE
JUNE 1, 2015, FOR QUARTER HORSES AT THE
SONOMA COUNTY FAIR RACE MEETING,
PURSUANT TO THE PROVISIONS OF
CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION

Regular Board Meeting
June 25, 2015

ISSUE

In June 2014, pursuant to the provisions of Rule 1844.1, Suspension of Authorized Medication, the Board extended its 2013 decision to suspend the use of Clenbuterol by all breeds at all California Racetracks. The extension was to last until such time as the amendment to Rule 1844, Authorized Medication, became effective. The amendment to Rule 1844, which became effective September 15, 2014, established an authorized level for Clenbuterol in official urine test samples of 140 picograms per milliliter. In April 2015, the Pacific Coast Quarter Horse Racing Association (PCQHRA) and the Sonoma County Fair requested that the Board suspend for 12 months the use of Clenbuterol for quarter horse racing at CARF tracks, effective June 1, 2015. The Board took a similar action at its May 2015 Regular Meeting when it suspended the use of clenbuterol for 12 months effective June 1, 2015, for quarter horses at all California Authority of Racing Fairs (CARF) tracks.

ANALYSIS

Board regulations prohibit the administration of any drug, substance or medication after entry time with a few specific exceptions. The exceptions were established after due consideration by the Board based on information available at the time the regulations were adopted. Scientific information and practical experience in managing the threshold levels for the exceptions change over time as unintended consequences become apparent. Clenbuterol in horse racing is an example. Clenbuterol is a beta-2 agonist approved by the United States Food and Drug Administration (FDA) in equines as a bronchodilator. The Board established threshold levels for Clenbuterol consistent with its therapeutic use to treat small airway disease. Clenbuterol, like all beta-2 agonists, has an adrenergic effect on muscle somewhat similar to anabolic steroids. Only the Ventipulmin brand of Clenbuterol is approved by the FDA. Under Rule 1844, Authorized Medication, the authorized level of Clenbuterol in official urine test samples is 140 picograms per milliliter.

At its February 17, 2011 Regular Meeting, the Board discussed the proposed addition of Rule 1844.1, Suspension of Authorized Medication. The Board heard from the Equine Medical Director that non-FDA approved Clenbuterol was becoming a problem in quarter horse racing, and that the American Quarter Horse Association, the Los Alamitos Racing Association and the Pacific Coast Quarter Horse Racing Association were considering asking racing jurisdictions to

prohibit Clenbuterol in quarter horse racing. The Board proposed the addition of Rule 1844.1 to allow it to act quickly to suspend authorization for drug substances such as Clenbuterol, or other permitted drugs, substances or medications under specific circumstances and after a public hearing. The addition of Rule 1844.1 was approved and became effective in July 2011.

In August 2011 the Los Alamitos Race Course and the Pacific Coast Quarter Horse Racing Association requested that the CHRB consider enacting Rule 1844.1 to suspend the authorized administration of Clenbuterol to horses entered to race at the Los Alamitos Race Course for a period of 12 months effective October 14, 2011. The Board agreed and suspended the administration of Clenbuterol at Los Alamitos from October 14, 2011 through October 14, 2012. The Board has subsequently suspended the authorized administration of Clenbuterol in quarter horse racing, and in all breeds, multiple times. The latest suspension occurred in June 2014 when the Board extended its 2013 decision to suspend the use of Clenbuterol by all breeds at all California Racetracks. The extension was to last until such time as the amendment to Rule 1844, Authorized Medication, became effective. The amendment to Rule 1844, which became effective September 15, 2014, established an authorized level for Clenbuterol in official urine test samples of 140 picograms per milliliter.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this state. Business and Professions Code section 19580 states the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1406, Suspension of Rule, provides that the Board may for good cause, with or without a hearing, temporarily suspend the application of any of its rules upon any conditions it may impose. Any such action by the Board shall be in writing. Board Rule 1844.1, Suspension of Authorized Medication, provides that the Board may temporarily suspend the authorized administration of a permitted drug, substance or medication for any time up to one year maximum after a public hearing has been noticed in accordance with Government Code section 11125(a). The temporary suspension may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions. The Board shall notify in writing the racing association and the trainers' organization of any temporary suspension, as specified.

RECOMMENDATION

This item is presented for Board discussion and action.



Pacific Coast Quarter Horse Racing Association

April 28, 2015

Dear Chairman Winner,

This organization along with the Sonoma County Fair requests that the California Horse Racing Board, at its May 21, 2015 meeting, suspend the use of Clenbuterol for 12 months effective June 1, 2015. This suspension is requested under Rule 1844.1 for Quarter Horses racing at Sonoma.

If you have any questions feel free to contact either of us.

Sonoma County Fair

Pacific Coast Quarter Horse Racing Assoc.

A handwritten signature in cursive script, appearing to read 'Tawny Tesconi', written over a horizontal line.

Tawny Tesconi
Fair Manager, Advisor

A handwritten signature in cursive script, appearing to read 'Dominic Alessio', written over a horizontal line.

Dominic Alessio
President

cc: Rick Baedeker

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL
OF THE FISCAL YEAR 2015-16 AGREEMENT PROVIDING
FUNDING SUPPORT FOR THE BOARD

Regular Board Meeting
June 25, 2015

ISSUE: CHRB SUPPORT FORMULA FOR FISCAL YEAR 2015-2016

The CHRB support formula was developed in consultation with the horse racing industry. Historically, the formula is based upon the license fees that would have been paid to the State of California had Senate Bill 16, Statutes of 2009 (SB16) not been enacted. The following mechanism was used to develop the formula:

- The projected commingled handle was multiplied by the pre SB16 license fee rate for each host track to arrive at the estimated pre SB16 license fees for the fiscal year 2015-16.
- The CHRB support formula percentages were calculated by dividing the CHRB approved budget by the estimated pre SB16 license fees. The formula calculated rate for the 2015-16 fiscal year will be 59.25 percent.
- Fairs and Expositions (F/E) recapture payments expire at the end of the current 2014-15 fiscal year. The F/E recapture payments rate for the 2015-16 fiscal year will be 0.00 percent.

CHRB Support Rate Fee calculated pursuant to the formula is 59.13 percent, however, the horse racing industry recommends increasing the rate to 59.25 percent. This will allow industry to pay the CHRB approved budget before the end of fiscal year 2015-16.

Fiscal year 2015-16 is the seventh year the CHRB support formula has been in effect. Table 1 below shows the CHRB approved budgets and the CHRB support fee rates used to fund CHRB operations.

Table 1. Historical Percent of formula fee rates to support the CHRB budget

Fiscal Year	CHRB Approved Budget	CHRB Support Fee Rate
2009-10	\$11,833,000	42.60%
2010-11	\$11,734,000	48.80%
2011-12	\$11,716,000	57.80%
2012-13	\$11,590,000	52.65%
2013-14	\$11,639,000	52.65%
2014-15	\$11,912,000	52.65%

2015-16	\$13,263,000	59.25%
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The CHRB support fee rate is an estimate, and depending on handle activity there may be a variance at the end of the fiscal year. The industry agreement provides for a true up in the event obligations are not met due to the variance.

The following attachments show how the formula was developed as well as the industry agreement to provide for the CHRB support.

- Attachment I- Board Support/ F & E Recapture- Model for 7/1/2015- 6/30/2016
- Attachment II- Industry Agreement
- Attachment III- Industry Projection

As of June 1, 2015 industry representatives agreed to the CHRB support formula and all the parties have signed the agreement.

BACKGROUND

Business and Professions Code section 19615 (a) states the notwithstanding any other provision of law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 1949.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay a license fee to the state to fund the Board and the equine drug testing program as follows:

- (1) All racing associations and fairs including all breeds of racing shall participate in the funding of the Board in accordance with the formula devised by the Board in consultation with the industry.
- (2) The baseline funding for the Board and equine drug testing program in the first fiscal year after the enactment of this section shall be the amount approved in the 2008-09 Budget Act.
- (3) Adjustment to the funding in subsequent budget years may only be made by an act of the Legislature.

For the 2015-16 fiscal year, the CHRB's operating budget, as determine by the Governor's Budget, is \$13,263,000 and such amount shall be subject to change in future years.

RECOMMENDATION

Staff recommends that the Board approve the funding formula for fiscal year 2015-16.

ATTACHMENT I

Board Support / F & E Recapture- Model for 7/1/15- 6/30/16

Method assumes that board support and F & E recapture are calculated on the basis of old style commingled license fees. No license fees would be paid on non-merged host fees

Host	Prior Year time frame (6/02/14 through 5/31/15)					Projected July 1, 2015 - June 30, 2016 based on projected live race days				
	Notes	Live Days	Commingled Handle	Commingled License	Commingled License %	Notes	Live Days	Projected Commingled Handle	Projected Pre SB 16 License %	Projected Pre SB 16 License
Alameda County Fair		12	30,932,234	184,730	0.60%		12	30,313,589	0.60%	181,035
California State Fair		7	15,249,212	91,066	0.60%		11	23,483,786	0.60%	140,242
Del Mar	Summer-36, Fall -15	51	560,072,138	5,360,307	0.96%	Summer-40 days Fall-20 host BC imports	60	645,730,230	0.96%	6,180,119
LA County Fair		11	60,543,850	339,704	0.56%		9	48,545,159	0.56%	272,381
Fresno District Fair		9	19,194,953	120,802	0.63%		9	18,811,054	0.63%	118,386
Golden Gate Fields		151	450,581,091	2,616,644	0.58%		149	435,720,867	0.58%	2,530,347
Humboldt County Fair		6	6,131,633	32,278	0.53%		6	6,009,001	0.53%	31,633
Los Alamitos Quarters		148	231,490,076	712,587	0.31%		148	226,860,274	0.31%	698,335
Los Alamitos TBs	Summer-8, Winter -12	20	113,020,825	1,048,807	0.93%	Summer-8, Winter 12	20	110,760,408	0.93%	1,027,830
Santa Anita	Hosted BC, handle skewed	132	1,486,382,280	12,163,431	0.82%	Not host for live BC; used '15 LATC lic %	125	1,253,587,124	0.87%	10,906,208
San Joaquin County		6	13,434,559	67,227	0.50%		6	13,165,868	0.50%	65,883
Sonoma County Fair		13	30,548,321	178,999	0.59%		11	25,331,607	0.59%	148,432
Watch & Wager		65	63,477,821	133,442	0.21%		65	62,208,264	0.21%	130,773
Total:		631	3,081,058,992	23,050,024	0.75%		631	2,900,527,233	0.75%	22,431,604

Estimated pre SB 16 lic. f 7/1/15 - 6/30/2016 on commingled handle, excluding F & E section 19614: 22,431,604

Board Support: % of Pre SB 16 Lic. Fees:
 From Outs -
 From old style License 13,263,000 59.13%
 Total to Board 13,263,000

F/E Recapture Payments 0.00%
 Per year for six years % of Pre SB 16 License
 - 0.00%

Estimated Board Support by Meet 7/1/2015 - 6/30/2016	Notes	2013/14 Days	Projected Old Style License Generated	To CHRB	To F & E	Distributable SB 16 Savings (Old Style License less BS/F&E)	To Purses	To Breeders	To Tracks
Alameda County Fair		12	181,035	107,040	-	73,996	35,888	2,220	35,888
California State Fair		11	140,242	82,920	-	57,322	27,801	1,720	27,801
Del Mar		60	6,180,119	3,654,082	-	2,526,037	1,225,128	75,781	1,225,128
Fairplex Park		9	272,381	161,049	-	111,332	53,996	3,340	53,996
Fresno District Fair		9	118,386	69,997	-	48,389	23,468	1,452	23,468
Golden Gate Fields		149	2,530,347	1,496,103	-	1,034,244	501,608	31,027	501,608
Humboldt County Fair		6	31,633	18,703	-	12,929	6,271	388	6,271
Los Alamitos Quarters		148	698,335	412,900	-	285,435	138,436	8,563	138,436
Los Alamitos TBs		20	1,027,830	607,719	-	420,111	203,754	12,603	203,754
Santa Anita		125	10,906,208	6,448,448	-	4,457,760	2,162,013	133,733	2,162,013
San Joaquin County		6	65,883	38,954	-	26,929	13,060	808	13,060
Sonoma County Fair		11	148,432	87,762	-	60,669	29,425	1,820	29,425
Watch & Wager		65	130,773	77,321	-	53,452	25,924	1,604	25,924
Total:		631	22,431,604	13,263,000	-	9,168,604	4,446,773	275,058	4,446,773

ATTACHMENT II

Board Support Model for 7/1/2015 - 6/30/2016												
CHRB Funding Requirement							13,263,000					
Board Support calculations based upon Pre SB 16 comingled license fees.												
Meet:	Prior 12 month time frame for comparison: Monday, 6/2/2014 through Sunday, 5/31/2015						Projected 7/1/15 - 6/30/16 Based on anticipated live race days and prior period averages For hosts running similar days in both years, model incorporates an average daily handle decline of -2.00%					
	Notes	Live Cards	Commingle Hosted Handle	Commingle Pre SB 16 License Fees	Commingle Pre SB 16 License Fee %	Board Support	Projection Notes	Live Cards	Projected Commingle Handle	Assumed Pre SB 16 License Fee %	Projected Pre SB 16 License Fees	Projected Board Support at Break Even Rate
Alameda County Fair		12	30,932,234	184,730	0.60%	97,261		12	30,313,589	0.60%	181,035	107,040
California State Fair		7	15,249,212	91,066	0.60%	47,947		11	23,483,786	0.60%	140,242	82,920
Del Mar	Summer-36 days, Fall-15	51	560,072,138	5,360,307	0.96%	2,822,202	Summer-40 days, Fall-20; host for BC imports	60	645,730,230	0.96%	6,180,119	3,654,082
Fresno District Fair		9	19,194,953	120,802	0.63%	63,603		9	18,811,054	0.63%	118,386	69,997
Golden Gate		151	450,581,091	2,616,644	0.58%	1,377,663		149	435,720,867	0.58%	2,530,347	1,496,103
Humboldt		6	6,131,633	32,278	0.53%	16,995		6	6,009,001	0.53%	31,633	18,703
LACF		11	60,543,850	339,704	0.56%	178,855		9	48,545,159	0.56%	272,381	161,049
Los Alamitos Quarterhorse		148	231,490,076	712,588	0.31%	375,180		148	226,860,274	0.31%	698,336	412,901
Los Alamitos Thoroughbred	Summer-8 days, Winter-12	20	113,020,825	1,048,806	0.93%	552,197	Summer-8 days, Winter-12	20	110,760,408	0.93%	1,027,830	607,719
San Joaquin County		6	13,434,559	67,227	0.50%	35,396		6	13,165,868	0.50%	65,883	38,954
Santa Anita	BC skewed handle & blended license %	132	1,486,382,280	12,163,431	0.82%	6,391,695	Not host for live BC; used '15 LATC license %	125	1,253,587,124	0.87%	10,906,208	6,448,448
Sonoma County Fair		13	30,548,321	178,999	0.59%	94,243		11	25,331,607	0.59%	148,432	87,762
Watch and Wager		65	63,477,821	133,442	0.21%	70,258		65	62,208,264	0.21%	130,773	77,321
Total:		631	3,081,058,992	23,050,025	0.75%	12,123,492		631	2,900,527,233	0.77%	22,431,604	13,263,000
Estimated percentages of Pre SB 16 License Fees needed to generate Board Support												59.13%
Suggested Percentage												59.25%

This will serve to memorialize agreement among the undersigned with respect to funding of the California Horse Racing Board, ("CHRB"). The undersigned hereby agree among themselves that each will make the following payments:

1. Section 19616.51 of the Business and Professions Code was amended by ABX4 12, and now stipulates:

(a) Notwithstanding any other law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 19491.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay its proportional amount, as determined by the formula devised pursuant to paragraph (1), as a license fee to the state, to be deposited into the Horse Racing Fund, which is hereby established, to fund the board and the equine drug testing program as follows:

(1) All racing associations and fairs including all breeds of racing shall participate in the funding of the board in accordance with a formula devised by the board in consultation with the industry.

For the 2015-2016 fiscal year, the CHRB's operating budget has been determined by the enactment of Section 19616.51 to be \$13,263,000 and such amount shall be subject to change in future fiscal years. The undersigned are responsible for the funding of the CHRB's annual operating budget by depositing the necessary funds in the California Horse Racing Fund. The amount to be so deposited by each of the undersigned shall be a percentage of the License Fee that would have otherwise been payable by the undersigned in the absence of the enactment of SB 16XX and for each fiscal year a determination shall be made as to the percentage necessary to generate the amount for the funding of the CHRB operating Budget. For the 2015-2016 fiscal year such percentage shall be 59.25%. Thus, during the 2015-2016 fiscal year, the undersigned shall each pay 59.25% of the License Fee that would have otherwise been payable by each if SB 16 XX had not been enacted.

The undersigned shall remit the respective amounts they owe no later than Friday for amounts generated during the prior week (Monday through Sunday) for wagers placed at brick and mortar sites within California. For amounts generated by wagering outside the State of California on races conducted in California ("export wagering") including wagers placed through ADW providers, the undersigned will remit the respective amounts they owe within ten business days of the receipt of host fees generated from export wagering.

It is recognized that a determination of true-up amounts will be necessary at the end of each fiscal year. Excesses and shortfalls in funding shall be determined after the end of the fiscal year. Should a shortfall occur, the payments required by the undersigned shall be determined by calculating the percentage of each entity's contribution to the total funding generated in the current fiscal year and then applying such percentage to the shortfall. The CHRB will notify the undersigned of their shortfall amounts, and the undersigned will remit payments within ten business days of such notification. Should an excess occur, an amount equaling the percentage of each association's contribution to the total funding generated in the current fiscal year shall be applied to the total excess generated in the current fiscal year, and that amount shall be returned to each association in the following fiscal year.

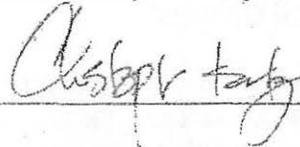
2. The CHRB is a third party beneficiary of the payments to be made by the undersigned pursuant to paragraph 1 hereof, therefore, the CHRB has a right to bring an action against any of the undersigned who fail to make the prescribed payments in paragraph 1 hereof. Payments to be made by the undersigned are the sole responsibility of that entity; therefore, there is no joint and several liability among the undersigned for the failure of anyone to make the prescribed payments.

3. This Agreement may be signed in counterparts.

4. California Horse Racing Industry Organizations which have agreed to participate in the funding described above include: California Authority of Racing Fairs, Del Mar Thoroughbred Club, Los Angeles County Fair Association, Los Alamitos Racing Association, Los Alamitos Quarter Horse Racing Association, Los Angeles Turf Club, Pacific Racing Association, Sonoma County Fair, Watchandwager.com LLC, California Harness Horsemen's Association, California Thoroughbred Breeders Association, Pacific Coast Quarter Horse Racing Association, and Thoroughbred Owners of California.

Agreement for CHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

By 

Del Mar Thoroughbred Club

By _____

Los Alamitos Racing Association

By _____

Los Angeles County Fair Association

By _____

Los Alamitos Quarter Horse Racing Association

By _____

Los Angeles Turf Club

By _____

Pacific Racing Association

By _____

Sonoma County Fair

By _____

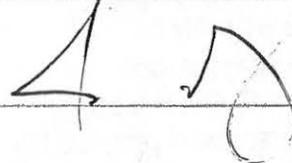
Watchandwager.com LLC

By _____

Thoroughbred Owners of California

By _____

California Harness Horsemen's Association

By 

California Thoroughbred Breeders Association

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

By _____

Los Alamitos Racing Association

By _____

Los Alamitos Quarter Horse Racing Association

By _____

Pacific Racing Association

By _____

Watchandwager.com LLC

By 

California Harness Horsemen's Association

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Del Mar Thoroughbred Club

By 
EVP & CFO

Los Angeles County Fair Association

By _____

Los Angeles Turf Club

By _____

Sonoma County Fair

By _____

Thoroughbred Owners of California

By _____

California Thoroughbred Breeders Association

By _____

Agreement for CHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By *Paul M. Ghis*

By *Paul M. Ghis*

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By *Paul M. Ghis*

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By *Steven Allen*

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

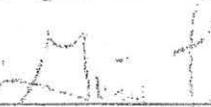
By _____

By _____

Los Alamitos Quarter Horse Racing Association

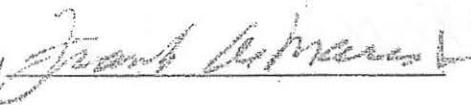
Los Angeles Turf Club

By _____

By  _____

Pacific Racing Association

Sonoma County Fair

By  _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for GHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

By _____

By *Alum Vescei*

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2015-2016

California Authority of Racing Fairs

Del Mar Thoroughbred Club

By _____

By _____

Los Alamitos Racing Association

Los Angeles County Fair Association

By _____

By _____

Los Alamitos Quarter Horse Racing Association

Los Angeles Turf Club

By _____

By _____

Pacific Racing Association

Sonoma County Fair

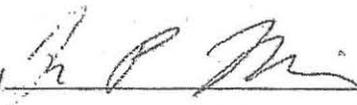
By _____

By _____

Watchandwager.com LLC

Thoroughbred Owners of California

By _____

By  _____

California Harness Horsemen's Association

California Thoroughbred Breeders Association

By _____

By _____

Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

Agreement for CHRB Support -Fiscal Year 2015-2016

• California Authority of Racing Fairs

• Del Mar Thoroughbred Club

By _____

By _____

• Los Alamitos Racing Association

• Los Angeles County Fair Association

By _____

By _____

• Los Alamitos Quarter Horse Racing Association

• Los Angeles Turf Club

By _____

By _____

• Pacific Racing Association

• Sonoma County Fair

By _____

By _____

• Watchandwager.com LLC

Thoroughbred Owners of California

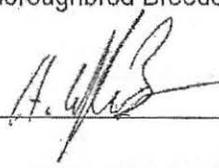
By _____

By _____

California Harness Horsemen's Association

• California Thoroughbred Breeders Association

By _____

By  _____

• Pacific Coast Quarter Horse Racing Association

By _____

Agreed and Accepted:

By _____
California Horse Racing Board

DISCUSSION BY THE BOARD REGARDING AN UPDATE
ON THE PROGRESS OF THE SECURITY CAMERA INSTALLATION
PROJECT AT CALIFORNIA RACE TRACKS

Regular Board Meeting
June 25, 2015

ISSUE

Various Board and racing industry committees have over the years explored the concept of installing security camera surveillance systems in the stable areas of California racetracks as a deterrent and enforcement tool. Until recently, high costs and shortcomings in technology for a widespread racetrack environment made these systems unsuitable for horse racing.

In early 2015 CHRБ investigative personnel contacted a security systems company that provides surveillance systems for a police department in Northern California. The CHRБ arranged for this company to demonstrate its product on March 12, 2015 during an informal meeting of racetrack executives and security chiefs. Executive Director Rick Baedeker informed the industry representatives that the CHRБ had taken this matter as far as it was prepared to go at that time, and he encouraged them to continue discussions with the security systems company.

RECOMMENDATION

This item is presented for Board discussion.

Staff recommends the Board hear from industry representatives regarding the progress of security camera installation at California race tracks

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED
ADJUSTMENT OF THE 2015 SOUTHERN CALIFORNIA RACING CALENDAR, TO
ALLOCATE SEPTEMBER 26TH AND SEPTEMBER 27TH FROM SANTA ANITA TO
FAIRPLEX, AT LOS ALAMITOS

Regular Board Meeting
June 25, 2015

ISSUE

Los Angeles County Fair Association (Fairplex) at Los Alamitos has asked the Board to reconsider and revise the race dates allocated to Fairplex for 2015. The Board approved a start date of Thursday, September 10, 2015 for Fairplex and a closing day of Friday, September 25, 2015. The Board allocated Los Angeles Turf Club (LATC) the weekend of September 26 and 27 in anticipation that the 2015 Breeders' Cup would be held at LATC. The transcript from the September 2013 meeting clearly shows that the Board "built in some flexibility in the Fairplex dates" ... "subject to when the Breeders' Cup happens." Given that the 2015 Breeders' Cup will not be run at LATC, Fairplex at Los Alamitos is seeking a restoration of its traditional race dates, i.e., opening Thursday, September 10, 2015 and concluding Sunday, September 27, 2015. The adjustment would also necessitate a change to the LATC Autumn calendar as well, with a revised start date of Wednesday, September 30 or Thursday, October 1, as requested at licensure. The closing date of October 25 would remain unchanged.

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

RECOMMENDATION

This item is presented to the Board for discussion and action.

ALLOCATED - 2015 SOUTHERN CALIFORNIA THOROUGHBRED RACE DATES

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	22	23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

LATC @ Santa Anita (12/26/14 - 7/1/15)	LATC @ Santa Anita (9/26/15 - 10/25/15)
Los Alamitos TB @ Los Alamitos (7/12/15 - 7/12/15)	DMTC @ Del Mar (10/28/15 - 12/2/15) Fall
DMTC @ Del Mar (7/15/15 - 9/7/15) Summer	Los Alamitos TB @ Los Alamitos (12/3/15 - 12/20/15)
LATC @ Los Alamitos (9/10/15 - 9/25/15)	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

MEETING
STATE OF CALIFORNIA
HORSE RACING BOARD

In the Matter of)
)
Regular Meeting)

SHERATON FAIRPLEX CONFERENCE CENTER
SONOMA BALLROOM
601 WEST MCKINLEY AVENUE
POMONA, CALIFORNIA

THURSDAY, SEPTEMBER 19, 2013

9:30 A.M.

Reported by:
Martha L. Nelson

1 2014 will be Santa Anita Park, 12/26/13 through 7/1 -- or
2 7/5/14; is that right?

3 VICE CHAIR WINNER: No.

4 CHAIR ISRAEL: To 7/2? All right. Well, so,
5 okay. Okay. All right, 7/2; you're right. Okay.

6 Then -- then Del Mar will be as it exists.

7 VICE CHAIR WINNER: As it exists in our document?

8 CHAIR ISRAEL: In our -- in our document.

9 Fairplex will be 9/14 to 9/23. Santa Anita will be 9/25
10 through 11/2. Okay.

11 Now, so now you have to add between Santa Anita
12 and Del Mar at the beginning Los Alamitos, 7/20 -- 7/3
13 through 7/13. Okay. Now, you need to adjust Del Mar's fall
14 meet so that it ends on December 3rd; is that correct?

15 MS. WAGNER: Uh-huh.

16 CHAIR ISRAEL: Is that right, December 3rd, Josh?
17 Joe? Somebody -- okay. Okay. So Del Mar will run 11/5
18 through 12/3. And from 12/4 through 12/21 will be Los
19 Alamitos in 2014. Okay.

20 Now, in 2015 Santa Anita's meet will end on July
21 1, the first meet. Del Mar will run 7/15 through 9/7.
22 Fairplex will run 9/10 through 9/25. And is 2015 the
23 year -- or is 2014, 2015 --

24 MR. SEDER: Yes. Mike Seder.

25 CHAIR ISRAEL: 2015 is the year we're -- where

1 we've built in some flexibility on the Fairplex dates.

2 MR. SEDER: Subject to when Breeders' Cup happens.

3 CHAIR ISRAEL: Subject to when Breeders' Cup --
4 okay: And Santa Anita will run 9/26 through 10/15. Los
5 Alamitos then -- so between Del Mar and Santa Anita the
6 first time Los Alamitos will run 7/2 through 7/12. Then Del
7 Mar will end on 12/2/15. And Los Alamitos will run 12/3 to
8 12/20/15..

9 MR. HAINES: Excuse me, Commissioner.

10 CHAIR ISRAEL: Yes. Did I get something wrong?

11 MR. HAINES: We're looking -- we heard you to say
12 that Santa Anita would run 9/26 through 10/15.

13 CHAIR ISRAEL: That's what's written here. That's
14 wrong. That's completely wrong. It's through -- it's
15 through 10/27.

16 There's two weeks -- you've got two weeks where
17 nobody is running, Jackie.

18 COMMISSIONER CHOPER: Yeah.

19 CHAIR ISRAEL: That's -- that's completely wrong.

20 And Del Mar runs 10/28 --

21 MS. WAGNER: That must be a typo.

22 CHAIR ISRAEL: -- through 12/2

23 MR. HAINES: I believe it's 10/25.

24 MS. WAGNER: Hold on.

25 CHAIR ISRAEL: Okay. Yes, it must be 25.

1 VICE CHAIR WINNER: It's a typo.

2 CHAIR ISRAEL: It's 25.

3 MS. WAGNER: Uh-huh. Yeah.

4 CHAIR ISRAEL: Okay. It's wrong in the packet.

5 VICE CHAIR WINNER: Is this to or through?

6 CHAIR ISRAEL: They say too.

7 VICE CHAIR WINNER: Yeah. It should say through,
8 just as you said it, because it includes those dates.

9 (Colloquy Between Commissioners and Ms. Wagner.)

10 CHAIR ISRAEL: Well, again, two tracks aren't
11 running at the same time. We're just going to say that.

12 MS. WAGNER: Yes.

13 CHAIR ISRAEL: Okay. So, now I'll review what we
14 just did in the form of -- since I don't think we need any
15 more discussion because we already discussed all the
16 permutations here --

17 MR. SEDER: Mr. Chair --

18 CHAIR ISRAEL: Yes, Mike?

19 MR. SEDER: May I interrupt for a second?

20 CHAIR ISRAEL: Sure.

21 MR. SEDER: This is Mike Seder, Barretts Sales and
22 Racing. I want to just clarify on the 2015 dates --

23 CHAIR ISRAEL: Right..

24 MR. SEDER: Fairplex's traditional -- I said
25 Fairplex. Barretts Sales and Racing's traditional dates --

1 CHAIR ISRAEL: It should go back to Fairplex by
2 then..

3 MR. SEDER: Thank you.

4 CHAIR ISRAEL: The handle would improve.

5 MR. SEDER: It would take us through September the
6 29th.

7 CHAIR ISRAEL: Right.

8 MR. SEDER: And in the -- in the event that
9 Breeders' Cup happens at the end of October we're looking at
10 these dates through the 25th. But there would be an
11 economic consideration to make us whole for giving up those
12 days.

13 CHAIR ISRAEL: Yes.

14 MR. SEDER: And in the event the Breeders' Cup is
15 run the first week of November, then we would --

16 CHAIR ISRAEL: You would revert to your old
17 tradition.

18 MR. SEDER: -- revert back to the 29th of
19 September.

20 VICE CHAIR WINNER: That's a part -- that's part
21 of the understanding.

22 CHAIR ISRAEL: That was part of -- that's a part
23 of the understanding we reached back in May initially. And
24 it obviously is something to be dealt with, you know, two
25 years from now when licensing -- or a little bit less than

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 18

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 19

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 20

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 21

STAFF ANALYSIS
DISCUSSION BY THE BOARD REGARDING THE
ALLOCATION OF THE
2016 NORTHERN CALIFORNIA RACE DATES

Regular Board Meeting
June 25, 2016

ISSUE

The Northern California Race Dates and Stabling Oversight Committee met on June 19, 2015 to discuss the allocation of the 2016 Northern California race date allocations and related issues. Prior to the meeting the industry was asked to submit proposed 2016 Northern California race dates in preparation for discussion regarding 2016 Northern California race date allocations. The California Authority of Racing Fairs (CARF) submitted a 2016 Northern California racing calendar for its member fairs, with inclusive date requests for the fairs. The CARF proposal included proposed 2016 race dates for Pacific Racing Association (PRA) at Golden Gate Fields. Sonoma County Fair at Santa Rosa submitted its proposed 2016 race dates request. Cal Expo Harness Racing Association (Cal Expo Harness) and California Exposition and State Fair (Cal Expo) also requested 2016 race dates. PRA submitted a 2016 Northern California Race Dates request that also included proposed fair race dates. In addition, Commissioner Beneto submitted two proposals for 2016 Northern California race dates.

ANALYSIS

CALIFORNIA AUTHORITY OF RACING FAIRS (CARF)

CARF's 2016 Northern California proposed dates are:

PRA at Golden Gate Fields – December 26, 2015 to June 19, 2016
Alameda County Fair (ACF) at Pleasanton – June 22, 2016 to July 10, 2016
California State Fair (Cal Expo) at Sacramento - July 13, 2016 to July 31, 2016
Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016

or

Humboldt County Fair (HCF) at Ferndale – August 24, 2016 to September 5, 2016
PRA at Golden Gate Fields – August 25, 2016 to September 18, 2016
San Joaquin County Fair at Stockton – September 21, 2016 to October 2, 2016
Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016
PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

SONOMA COUNTY FAIR

The Sonoma County Fair's 2016 proposed dates are:

Sonoma County Fair (SCF) at Santa Rosa – July 20, 2016 to August 7, 2016

PACIFIC RACING ASSOCIATION (PRA)

PRA at Golden Gate Fields proposed 2016 race dates are:

PRA at Golden Gate Fields – December 26, 2015 to March 6, 2016

PRA at Golden Gate Fields – March 31, 2016 to June 26, 2016

PRA at Golden Gate Fields – August 4, 2016 to September 5, 2016

PRA at Golden Gate Fields – October 20, 2016 to December 11, 2016

PRA at Golden Gate Fields proposed the following 2016 race dates for “All Fairs.”

Fair Race Dates – March 10, 2016 to March 27, 2016

Fair Race Dates – July 1, 2016 to July 31, 2016

Fair Race Dates – September 8, 2016 to October 16, 2016

CALIFORNIA EXPOSITION AND STATE FAIR (Cal Expo)

Cal Expo’s proposed 2016 race dates are July 13, 2016 to July 31, 2016.

The requested inclusive period would be concurrent with the three weeks of the 2016 California State Fair and would consist of approximately 11 live race days. The 11 day format is consistent with Cal Expo’s 2015 race dates.

In light of recent statements regarding the potential sale of Golden Gate Fields, and comments by CHRB Commissioners regarding the need to develop options for the Northern California racing calendar, Cal Expo has stated it is open to hosting additional thoroughbred racing dates in the spring and fall.

CAL EXPO HARNESS RACING ASSOCIATION

Cal Expo Harness proposed 2016 race dates are December 26, 2015 to May 15, 2016, and October 1, 2016 to December 23, 2016.

Cal Expo Harness is requesting 2016 dates beginning December 26, 2015 through December 24, 2016. The request consists of two separate racing meetings, and includes approximately 80 live harness racing days on a Friday and Saturday night schedule. Cal Expo Harness requests the Board’s approval to readdress the dates request dependent on the overall California racing schedule.

COMMISSIONER BENETO PROPOSALS

Commissioner Beneto submitted two proposed 2016 Northern California race date calendars.

PROPOSAL #1

San Joaquin County Fair at Stockton – June 1, 2016 to June 12, 2016
 Alameda County Fair (ACF) at Pleasanton – June 17, 2016 to July 4, 2016
 California State Fair (Cal Expo) at Sacramento – July 6, 2016 to July 24, 2016
 Sonoma County Fair (SCF) at Santa Rosa – July 27, 2016 to August 14, 2016
 Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016
 PRA at Golden Gate Fields – August 31, 2016 to October 2, 2016
 Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016
 PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

PROPOSAL #2

Alameda County Fair (ACF) at Pleasanton – June 17, 2016 to July 4, 2016
 California State Fair (Cal Expo) at Sacramento – July 6, 2016 to July 24, 2016
 Sonoma County Fair (SCF) at Santa Rosa – July 27, 2016 to August 14, 2016
 Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016
 PRA at Golden Gate Fields – August 31, 2016 to September 18, 2016
 San Joaquin County Fair at Stockton – September 21, 2016 to October 2, 2016
 Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016
 PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing

facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

RECOMMENDATION

This item is presented for Board discussion.



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.927.7223 Fax: 916.263.3341
www.calairs.com

May 5, 2015

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Dear Mr. Baedeker,

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARF member Fairs in 2016. CARF member Fairs are shown below.

- Alameda County Fair
- California State Fair
- Humboldt County Fair
- San Joaquin County Fair
- Fresno District Fair

Thank you for your consideration in this matter.

Respectfully submitted,

Christopher Korby
Executive Director

Cc: CARF Racing Fair CEO's

CALIFORNIA AUTHORITY OF RACING FAIRS
Proposed Racing Dates for CARF Member Fairs in Northern California
2016

2016

- | | |
|-----------------------|---|
| • June 22-July 10 | Alameda County Fair at Pleasanton |
| • July 13-July 31 | California State Fair |
| • August 17-August 28 | Humboldt County Fair (following Santa Rosa) |
| or | |
| • August 24-Sept 5 | Humboldt County Fair (following Santa Rosa) |
| • Sept. 21-Oct. 2 | San Joaquin County Fair |
| • Oct. 5-Oct. 16 | Fresno District Fair |

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed blocks.

The dates proposed for Humboldt County Fair would follow Sonoma County Fair and could begin on August 17 or on August 24, depending on Santa Rosa's closing date in 2016.

CARF: PROPOSED NORTHERN CALIFORNIA RACING DATES FOR 2016

2015

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
3		1				

RACE DAYS

GGF - Total Race Days	150
Pleasanton	13
Cal Expo	11
Santa Rosa	11
Humboldt	7
Stockton	6
Fresno	9
Overlapping Race Days	

Adopted May 5, 2015

GOLDEN GATE FIELDS	150	CARF MEETS	46
		SANTA ROSA	11
GGF Weeks	38	TOTAL FAIR DAYS	57

SIMULCAST ONLY	57
TOTAL NORTHERN CALIFORNIA RACE DATES	207

2016

January						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
19		4				

February						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					
16		4				

March						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
17		5				

April						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
18		4				

May						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
17		4				

June						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
11		5			5	

July						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
8		5			11	

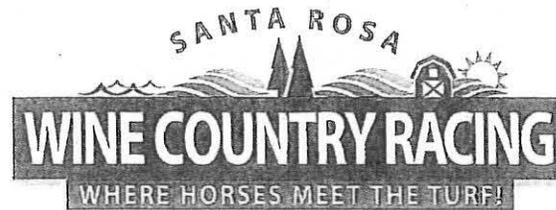
August						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
11		6	3		4	

September						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
11		8	4		4	

October						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					
8		4	2		9	

November						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
16		5				

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
10		2				



April 1, 2015

California Horse Racing Board
Attn: Jacqueline Wagner
1010 Hurley Way
Sacramento, CA 95825

Honorable Board Members,

In response to the Board's request for future racing dates, the Sonoma County Fair proposal for 2016 is as follows:

July 20th – August 7th, 2016

This schedule would be for a Thursday – Sunday racing program with non-race days on Monday, Tuesday, and Wednesday.

Sincerely,

A handwritten signature in cursive script that reads "Tawny Tesconi".

Tawny Tesconi
Fair Manager Advisor




May 6, 2015

Commissioners
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Honorable Commissioners:

On behalf of the California Exposition & State Fair, we fully support the letter from CARF dated May 5, 2016, outlining a desired calendar for 2016. By way of further clarification we respectfully request the below listed dates for California State Fair Racing and Watch & Wager LLC Harness Racing for 2016.

1. California State Fair Racing- July 13 to July 31, 2016

The above requested inclusive period would be concurrent with the three weeks of the 2016 State Fair and would consist of approximately 11 Live Race days. This 11 day format is consistent with the State Fair's 2015 Race Dates. The State Fair race meet promotional, advertising and marketing efforts will again be part of the overall State Fair package which includes expenditures in excess of \$1,000,000. Of particular note, the 2014 State Fair recently was recognized with the "Best Marketing Campaign Award" for the 16 county Northern California region.

2. Possible Thoroughbred Racing at Cal Expo outside of the State Fair Dates

As noted at last month's Board Meeting, the potential sale of Golden Gate Fields for private development has been in the news at least four times in the past 10 years. Since two of California's four privately owned tracks have been sold for commercial development in recent years, as suggested by CHR B Commissioners, it is prudent that the racing industry develop "what if Golden Gate Fields closes" options for Northern California racing calendars. While Cal Expo recognizes and appreciates the valuable role that Golden Gate Fields plays in California racing, we agree with the wisdom of the CHR B Commissioners in suggesting that futuristic alternative racing calendars be explored whereby Fairs might be the only facilities left in Northern California. So that the industry can better plan for an unknown future, Cal Expo is open to hosting additional Thoroughbred racing dates in the Spring and Fall, in addition to its three weeks of racing in July as part of the Annual State Fair.

Of note is that Cal Expo's Racing Grandstand is winterized and already accommodates thousands of fans each winter during inclement weather. Cal Expo's race track also has night lighting around it's track to accommodate racing after dark. Additionally, Cal

California State Fair – 2016 Race Dates**Page Two**

Expo has invested over \$1,200,000 in beneficial improvements to its racing infrastructure in the past two years.

3. Cal Expo Harness Racing- December 26, 2015 to December 24, 2016

As the landlord of the current Harness Racing Meet, operated and managed by Watch & Wager LLC, and on their behalf, we are requesting the allocation of harness racing dates at Cal Expo for the above listed inclusive period.

This request consists of two separate Racing Meetings for the approximate periods of December 26, 2015 through May 15, 2016 and October 1, 2016 through December 25, 2016.

Our request includes approximately 80 Live Harness Racing days on a Friday and Saturday night schedule. We respectfully request your approval to readdress this request dependent upon the overall California racing schedule.

Watch & Wager LLC has indicated that its overall promotional, marketing, and advertising efforts will consist of increased on track participation and fan support, along with increased visibility at off track and ADW platforms.

In closing, we greatly value the work of the CHRB to be forward looking into an uncertain future. With our roots deeply tied to horse racing, we are proud to be the oldest professional sport in the Sacramento region. We appreciate your consideration of our above outlined requests, as we work together toward bolstering racing in California for generations to come.

Respectfully,



Rick K. Rickering
CEO

cc: Chris Korby, CARF

2016 TOC/Golden Gate Nor Cal Proposed v1 6.17.15

Assumed race days highlighted. Host days begin on Wednesday pre meet and end on Tuesday post meet

December						
Su	Mo	Tu	We	Th	Fr	Sa
20	21	22	23	24	25	26
27	28	29	30	31		

3

January						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

19

May						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

18

September						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

5

14

PRA Winter	43
PRA Spring	51
PRA Summer	21
PRA Fall	32

Total PRA	147
-----------	-----

All Fairs	57
-----------	----

Total Race Days	204
-----------------	-----

February						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

16

June						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

15

1

October						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

8

11

March						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

5

12

July						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

31

19

November						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

16

April						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

18

August						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

16

December						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

8

Proposal 1

June 2016

June 2016						
Su	Mo	Tu	We	Th	Fr	Sa
5	6	7	1	2	3	4
12	13	14	8	9	10	11
19	20	21	15	16	17	18
26	27	28	22	23	24	25
			29	30		

July 2016						
Su	Mo	Tu	We	Th	Fr	Sa
3	4	5	6	7	1	2
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	May 29	30	31	Jun 1	2	3	4
May 29 - Jun 4				Stockton			
	5	6	7	8	9	10	11
Jun 5 - 11	Stockton						
	12	13	14	15	16	17	18
Jun 12 - 18	Stockton					Pleasanton	
	19	20	21	22	23	24	25
Jun 19 - 25	Pleasanton						
	26	27	28	29	30	Jul 1	2
Jun 26 - Jul 2	Pleasanton						

Proposal 1

July 2016

July 2016						
Su	Mo	Tu	We	Th	Fr	Sa
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August 2016						
Su	Mo	Tu	We	Th	Fr	Sa
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jun 26	27	28	29	30	Jul 1	2
6/26 - 7/1						Pleasanton	
	3	4	5	6	7	8	9
7/3 - 8	Pleasanton			Cal Expo			
		Independence Day - H					
	10	11	12	13	14	15	16
7/10 - 15	Cal Expo						
	17	18	19	20	21	22	23
7/17 - 22	Cal Expo						
	24	25	26	27	28	29	30
7/24 - 29	Cal Expo			Santa Rosa			
	31	Aug 1	2	3	4	5	6
7/31 - 8/5	Santa Rosa						

Proposal 1

August 2016

August 2016						
Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6
14	8	9	10	11	12	13
21	15	16	17	18	19	20
28	22	23	24	25	26	27
	29	30	31			

September 2016						
Su	Mo	Tu	We	Th	Fr	Sa
4	5	6	7	1	2	3
11	12	13	14	8	9	10
18	19	20	21	15	16	17
25	26	27	28	22	23	24
				29	30	

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	
	Jul 31	Aug 1	2	3	4	5	6	
Jul 31 - Aug 6	Santa Rosa							
	7	8	9	10	11	12	13	
Aug 7 - 13	Santa Rosa							
	14	15	16	17	18	19	20	
Aug 14 - 20	Santa Rosa	Ferndale						
	21	22	23	24	25	26	27	
Aug 21 - 27	Ferndale							
	28	29	30	31	Sep 1	2	3	
Aug 28 - Sep 3	Ferndale	Golden Gate						

Proposal 1

September 2016

September 2016							October 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3							1
4	5	6	7	8	9	10	2	3	4	5	6	7	8
11	12	13	14	15	16	17	9	10	11	12	13	14	15
18	19	20	21	22	23	24	16	17	18	19	20	21	22
25	26	27	28	29	30		23	24	25	26	27	28	29
							30	31					

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Aug 28	29	30	31	Sep 1	2	3
	Golden Gate						
	4	5	6	7	8	9	10
	Golden Gate						
		Labor Day - Holiday					
	11	12	13	14	15	16	17
	Golden Gate						
	18	19	20	21	22	23	24
	Golden Gate						
	25	26	27	28	29	30	Oct 1
	Golden Gate						To Oct 2 →

Aug 28 - Sep 3

Sep 4 - 10

Sep 11 - 17

Sep 18 - 24

Sep 25 - Oct 1

Proposal 1

October 2016

October 2016							November 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	8	6	7	8	9	10	11	12
9	10	11	12	13	14	15	13	14	15	16	17	18	19
16	17	18	19	20	21	22	20	21	22	23	24	25	26
23	24	25	26	27	28	29	27	28	29	30			
30	31												

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Sep 25	26	27	28	29	30	Oct 1
9/25 - 30							Golden Gate
	2	3	4	5	6	7	8
10/2 - 7	Golden Gate			Frenso			
	9	10	11	12	13	14	15
10/9 - 14	Frenso						
	16	17	18	19	20	21	22
10/16 - 21	Frenso			Golden Gate			
	23	24	25	26	27	28	29
10/23 - 28	Golden Gate						
	30	31	Nov 1	2	3	4	5
10/30 - 11/4	Golden Gate						

Proposal 1

November 2016

November 2016							December 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5			1	2	3		
6	7	8	9	10	11	12	4	5	6	7	8	9	10
13	14	15	16	17	18	19	11	12	13	14	15	16	17
20	21	22	23	24	25	26	18	19	20	21	22	23	24
27	28	29	30				25	26	27	28	29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Oct 30	31	Nov 1	2	3	4	5
	Golden Gate						
Oct 30 - Nov 5	6	7	8	9	10	11	12
	Golden Gate						
Nov 6 - 12	13	14	15	16	17	18	19
	Golden Gate						
Nov 13 - 19	20	21	22	23	24	25	26
	Golden Gate						
Nov 20 - 26	27	28	29	30	Dec 1	2	3
	Golden Gate						
Nov 27 - Dec 3							

Proposal 1

December 2016

December 2016							January 2017						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
	4	5	6	7	8	9	1	2	3	4	5	6	7
11	12	13	14	15	16	17	8	9	10	11	12	13	14
18	19	20	21	22	23	24	15	16	17	18	19	20	21
25	26	27	28	29	30	31	22	23	24	25	26	27	28
							29	30	31				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Nov 27	28	29	30	Dec 1	2	3
					Golden Gate		
Nov 27 - Dec 3							
	4	5	6	7	8	9	10
	Golden Gate						
Dec 4 - 10							
	11	12	13	14	15	16	17
	Golden Gate						
Dec 11 - 17							
	18	19	20	21	22	23	24
	Golden Gate						
Dec 18 - 24							
	25	26	27	28	29	30	31
Dec 25 - 31	Christmas Day - Holiday						

Proposal 2

June 2016

June 2016						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July 2016						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	May 29	30	31	Jun 1	2	3	4
May 29 - Jun 4							
	5	6	7	8	9	10	11
Jun 5 - 11							
	12	13	14	15	16	17	18
Jun 12 - 18						Pleasanton	
	19	20	21	22	23	24	25
Jun 19 - 25	Pleasanton						
	26	27	28	29	30	Jul 1	2
Jun 26 - Jul 2	Pleasanton						

Proposal 2

July 2016

July 2016						
Su	Mo	Tu	We	Th	Fr	Sa
3	4	5	6	7	1	2
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August 2016						
Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jun 26	27	28	29	30	Jul 1	2
6/26 - 7/1						Pleasanton	
	3	4	5	6	7	8	9
7/3 - 8	Pleasanton			Cal Expo			
		Independence Day - H					
	10	11	12	13	14	15	16
7/10 - 15	Cal Expo						
	17	18	19	20	21	22	23
7/17 - 22	Cal Expo						
	24	25	26	27	28	29	30
7/24 - 29	Cal Expo			Santa Rosa			
	31	Aug 1	2	3	4	5	6
7/31 - 8/5	Santa Rosa						

Proposal 2

August 2016

August 2016						
Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6
14	8	9	10	11	12	13
21	15	16	17	18	19	20
28	22	23	24	25	26	27
	29	30	31			

September 2016						
Su	Mo	Tu	We	Th	Fr	Sa
4	5	6	7	1	2	3
11	12	13	14	8	9	10
18	19	20	21	15	16	17
25	26	27	28	22	23	24
				29	30	

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jul 31	Aug 1	2	3	4	5	6
Jul 31 - Aug 6	Santa Rosa						
	7	8	9	10	11	12	13
Aug 7 - 13	Santa Rosa						
	14	15	16	17	18	19	20
Aug 14 - 20	Santa Rosa	Ferndale					
	21	22	23	24	25	26	27
Aug 21 - 27	Ferndale						
	28	29	30	31	Sep 1	2	3
Aug 28 - Sep 3	Ferndale	Golden Gate					

Proposal 2

September 2016

September 2016							October 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3							1
4	5	6	7	8	9	10	2	3	4	5	6	7	8
11	12	13	14	15	16	17	9	10	11	12	13	14	15
18	19	20	21	22	23	24	16	17	18	19	20	21	22
25	26	27	28	29	30		23	24	25	26	27	28	29
							30	31					

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Aug 28	29	30	31	Sep 1	2	3
Aug 28 - Sep 3					Golden Gate		
	4	5	6	7	8	9	10
Sep 4 - 10	Golden Gate						
		Labor Day - Holiday					
	11	12	13	14	15	16	17
Sep 11 - 17	Golden Gate						
	18	19	20	21	22	23	24
Sep 18 - 24	Golden Gate			Stockton			
	25	26	27	28	29	30	Oct 1
Sep 25 - Oct 1	Stockton						To Oct 2 →

Proposal 2

October 2016

October 2016						
Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	1
9	10	11	12	13	14	8
16	17	18	19	20	21	15
23	24	25	26	27	28	22
30	31					29

November 2016						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Sep 25	26	27	28	29	30	Oct 1
9/25 - 30							Stockton
	2	3	4	5	6	7	8
10/2 - 7	Stockton			Frenso			
	9	10	11	12	13	14	15
10/9 - 14	Frenso						
	16	17	18	19	20	21	22
10/16 - 21	Frenso			Golden Gate			
	23	24	25	26	27	28	29
10/23 - 28	Golden Gate						
	30	31	Nov 1	2	3	4	5
10/30 - 11/4	Golden Gate						

Proposal 2

November 2016

November 2016						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December 2016						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Oct 30	31	Nov 1	2	3	4	5
			Golden Gate				
Oct 30 - Nov 5							
	6	7	8	9	10	11	12
	Golden Gate						
Nov 6 - 12							
	13	14	15	16	17	18	19
	Golden Gate						
Nov 13 - 19							
	20	21	22	23	24	25	26
	Golden Gate						
Nov 20 - 26							
	27	28	29	30	Dec 1	2	3
	Golden Gate						
Nov 27 - Dec 3							

Proposal 2

December 2016

December 2016							January 2017						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14
11	12	13	14	15	16	17	15	16	17	18	19	20	21
18	19	20	21	22	23	24	22	23	24	25	26	27	28
25	26	27	28	29	30	31	29	30	31				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Nov 27	28	29	30	Dec 1	2	3
					Golden Gate		
Nov 27 - Dec 3							
	4	5	6	7	8	9	10
	Golden Gate						
Dec 4 - 10							
	11	12	13	14	15	16	17
	Golden Gate						
Dec 11 - 17							
	18	19	20	21	22	23	24
	Golden Gate						
Dec 18 - 24							
	25	26	27	28	29	30	31
Dec 25 - 31	Christmas Day - Holiday						

CALIFORNIA HORSE RACING BOARD

JUNE 25, 2015
REGULAR BOARD MEETING

There is no board package material for Item 23

STAFF ANALYSIS
DISCUSSION BY THE BOARD REGARDING THE ALLOCATION OF THE
2016 SOUTHERN CALIFORNIA RACE DATES
AND RELATED ISSUES

Regular Board Meeting
June 25, 2016

ISSUE

The industry was asked to submit proposed 2016 Southern California race dates in preparation for discussions regarding the 2016 Southern California race date allocations. Los Angeles Turf Club (LATC) and the Del Mar Thoroughbred Club (DMTC) submitted a joint 2016 Southern California racing calendar. The joint proposal provides that DMTC would not run the day after Labor Day, which will allow Los Alamitos Race Course (LARC) to open its September 2016 race meeting one day earlier.

DEL MAR THOROUGHBRED CLUB (As proposed by DMTC/LATC)

DMTC at Del Mar – July 13, 2016 to September 5, 2016

DMTC at Del Mar – November 10, 2016 to December 4, 2016

LOS ANGELES TURF CLUB (As proposed by DMTC/LATC)

LATC at Santa Anita Park Race Track - December 26, 2015 to June 30, 2016

LATC at Santa Anita Park Race Track – September 29, 2016 to November 6, 2016

LOS ALAMITOS RACE COURSE (As proposed by DMTC/LATC)

LARC at Los Alamitos Race Course – July 1, 2016 to July 11, 2016

LARC at Los Alamitos Race Course – September 8, 2016 to September 25, 2016

LARC at Los Alamitos Race Course – December 8, 2016 to December 18, 2016

Los Alamitos Race Course submitted a proposal that would allow it to run two four week thoroughbred race meetings: June 22 through July 19, 2016, and September 8 through October 4, 2016. The LARC request reflected the dates requested for allocation to the LARC facility, and possible placement of other race meets based in part upon historic race dates and the schedule of the 2016 Breeders' Cup.

LOS ALAMITOS RACE COURSE (As proposed by LARC)

LARC at Los Alamitos Race Course – June 22, 2016 to July 19, 2016

LARC at Los Alamitos Race Course – September 8, 2016 to October 4, 2016

LATC at Santa Anita Park Race Track – December 26, 2016 to December 31, 2016

DEL MAR THOROUGHBRED CLUB (As proposed by LARC)

DMTC at Del Mar – July 20, 2016 to September 7, 2016

DMTC at Del Mar – November 16, 2016 to December 18, 2016

LOS ANGELES TURF CLUB (As proposed by LARC)

LATC at Santa Anita Park Race Track – January 1, 2016 to June 21, 2016

LATC at Santa Anita Park Race Track – October 5, 2016 to November 15, 2016

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

RECOMMENDATION

This item is presented for Board discussion.

2015-2016

Joint Proposal of Santa Anita Park and Del Mar Thoroughbred Club

December 2015						
S	M	T	W	T	F	S
20	21	22	23	24	25	26
27	28	29	30	31		4

LATC	131
DMTC	57
LOS AL	28

TOTAL RACE DAYS	216
-----------------	-----

January						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						19

February						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					
						16

March						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
						17

April						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
						17

May						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
						18

June						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
						16

July						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31					8	15

August						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
						21

September						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
				5	12	2

October						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					18

November						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
					4	12

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31
					8	4

2016 Calendar - By Site
Santa Anita hosts Breeders' Cup 11/4 & 11/5

24-4

LATC - 33 Weeks 127

Los Alamitos - 4 Weeks 16
Los Al Fall - 4 Weeks 15

DM Summer - 7 Weeks 37
DM Winter - 6 Weeks 20

TOTAL - 51 Weeks 215

January						
Sun	Mon	Tue	Wed	Th	Fri	Sat
					1	2
					x	x
3	4	5	6	7	8	9
x				x	x	x
10	11	12	13	14	15	16
x				x	x	x
17	18	19	20	21	22	23
x	x				x	x
24	25	26	27	28	29	30
x				x	x	x
31						
x						

February						
Sun	Mon	Tue	Wed	Th	Fri	Sat
	1	2	3	4	5	6
				x	x	x
7	8	9	10	11	12	13
x				x	x	x
14	15	16	17	18	19	20
x	x				x	x
21	22	23	24	25	26	27
x				x	x	x
28	29					
x						

March						
Sun	Mon	Tue	Wed	Th	Fri	Sat
		1	2	3	4	5
				x	x	x
6	7	8	9	10	11	12
x				x	x	x
13	14	15	16	17	18	19
x				x	x	x
20	21	22	23	24	25	26
x				x	x	x
27	28	29	30	31		
x				x		

April						
Sun	Mon	Tue	Wed	Th	Fri	Sat
					1	2
					x	x
3	4	5	6	7	8	9
x				x	x	x
10	11	12	13	14	15	16
x				x	x	x
17	18	19	20	21	22	23
x				x	x	x
24	25	26	27	28	29	30
x				x	x	x

May						
Sun	Mon	Tue	Wed	Th	Fri	Sat
1	2	3	4	5	6	7
x				x	x	x
8	9	10	11	12	13	14
x				x	x	x
15	16	17	18	19	20	21
x				x	x	x
22	23	24	25	26	27	28
x				x	x	
29	30	31				
x	x					

June						
Sun	Mon	Tue	Wed	Th	Fri	Sat
			1	2	3	4
				x	x	x
5	6	7	8	9	10	11
x				x	x	x
12	13	14	15	16	17	18
x				x	x	x
19	20	21	22	23	24	25
x				x	x	x
26	27	28	29	30		
x				x		

July						
Sun	Mon	Tue	Wed	Th	Fri	Sat
					1	2
					x	x
3	4	5	6	7	8	9
x	x				x	x
10	11	12	13	14	15	16
x				x	x	x
17	18	19	20	21	22	23
x			x	x	x	x
24	25	26	27	28	29	30
x			x	x	x	x
31						
x						

August						
Sun	Mon	Tue	Wed	Th	Fri	Sat
	1	2	3	4	5	6
			x	x	x	x
7	8	9	10	11	12	13
x			x	x	x	x
14	15	16	17	18	19	20
x			x	x	x	x
21	22	23	24	25	26	27
x			x	x	x	x
28	29	30	31			
x			x			

September						
Sun	Mon	Tue	Wed	Th	Fri	Sat
					1	2
					x	x
4	5	6	7	8	9	10
x	x		x		x	x
11	12	13	14	15	16	17
x				x	x	x
18	19	20	21	22	23	24
x				x	x	x
25	26	27	28	29	30	
x				x	x	

October						
Sun	Mon	Tue	Wed	Th	Fri	Sat
						1
						x
2	3	4	5	6	7	8
x				x	x	x
9	10	11	12	13	14	15
x	x				x	x
16	17	18	19	20	21	22
x				x	x	x
23	24	25	26	27	28	29
x				x	x	x
30	31					
x						

November						
Sun	Mon	Tue	Wed	Th	Fri	Sat
		1	2	3	4	5
				x	x	x
6	7	8	9	10	11	12
x				x	x	x
13	14	15	16	17	18	19
x				x	x	x
20	21	22	23	24	25	26
x				x	x	x
27	28	29	30			
x						

December						
Sun	Mon	Tue	Wed	Th	Fri	Sat
					1	2
					x	x
4	5	6	7	8	9	10
x				x	x	x
11	12	13	14	15	16	17
x				x	x	x
18	19	20	21	22	23	24
x						
25	26	27	28	29	30	31
	x			x	x	x

Southern California Thoroughbred Race Dates - By Site

Sites	2014	2015	2016 Proposed
Santa Anita	132	125	127
Del Mar	51	60	57
Los Alamitos, Incl LACF	31	29	31