

CALIFORNIA HORSE RACING BOARD

1010 Hurley Way, Suite 300
Sacramento, CA 95825
www.chrb.ca.gov
(916) 263-6000 Fax (916) 263-6042



REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, June 19, 2014**, commencing at **9:30 a.m.**, at the **Los Alamitos Race Course, 4961 Katella Avenue, Los Alamitos, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of May 22, 2014.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale**, commencing August 13, 2014 through August 24, 2014, inclusive.
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association II (T) at Golden Gate Fields**, commencing August 15, 2014 through September 14, 2014.
6. Discussion and action by the Board on the **report regarding the allocation of 2014 race dates to the Los Angeles County Fair at Pomona and the proposal to run the race dates at the Los Alamitos Race Course.**
7. Discussion and action by the Board regarding the **extension of the June 2013 Board decision to suspend for up to twelve months the use of clenbuterol by all breeds at all California race tracks, which is set to expire July 18, 2014.**
8. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1536, Stewards' Minutes**, to require the stewards to report on-track accidents involving exercise riders, or for harness racing, an employee riding in a jog cart or sulky not engaged in a race via the revised Jockey/Driver Accident Report (CHRB-201 2/14) and a new Training Accident Report (CHRB-201A 2/14) (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)

9. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1689.1, Safety Vest Required**, to extend the requirement to wear a safety vest to pony riders who pony or lead a horse or who are mounted on any horse on the grounds of a facility under the jurisdiction of the Board. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
10. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1844, Authorized Medication**, to revise levels of specified authorized medications, and add additional drug substances. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1866, Veterinarian's List**, to require that any horse placed on the Veterinarian's List as sick or receiving veterinary treatment-shockwave therapy not be allowed to work out for a minimum of 72 hours without the permission of the official veterinarian, and set specific minimum time periods for horses placed on the Veterinarian's List as unsound or lame. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Discussion and action by the Board on the **approval of the 2014/2015 Agreement providing funding support for the Board.**
13. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Bo Derek, 1st Vice Chairman
Richard Rosenberg, 2nd Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Madeline Auerbach, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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PENDING LITIGATION JUNE 2014

COURT LITIGATION

- A. **Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
Court of Appeal, Fourth Appellate District, D062226
- B. **Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
Court of Appeal, Third Appellate District, C074852
- C. **Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-00102154
Court of Appeal, Third Appellate District, C069875
- D. **San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- E. **Donald Lockwood vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147701
- F. **James Hanson vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS147729

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**PENDING ADMINISTRATIVE ADJUDICATIONS
JUNE 2014**

CASE

A. Proposed Decision

Trainer Shaun Morrow
Case Number: 13GG0147

B. Proposed Decision

Trainer Antonio Soto
Case Number: 14LA0084

**C. Appeal of the Board of Stewards Official Ruling #19, Watch and Wager, LLC,
Dated February 22, 2013**

Appellant Brevator J. Creech, M.D.
OAH No. 2013060052

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track, Baldwin Terrace Room**, 285 West Huntington Drive, Arcadia, California, on **May 22, 2014**.

Present: Chuck Winner, Chairman
Bo Derek, 1st Vice-Chairman
Richard Rosenberg, 2nd Vice-Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF APRIL 25, 2014

Chairman Winner asked for approval of the minutes of the Regular Meeting of April 25, 2014. Commissioner Beneto **motioned** to approve the minutes. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Baedeker stated the following four amendments or additions to CHRB rules had been approved by the Office of Administrative Law: amendment to Rule 1632, Jockey's Riding Fee; amendment to Rule 1658, Vesting of Title to Claimed Horse; Rule 1927.1, Tampering with Smoke Detectors; and amendment to Rule 2066, Application for License to Operate a Minisatellite Wagering Facility. He stated that four amendments or additions to CHRB rules were within the 45-day public comment period and would be before the Board for adoption at the June 19, 2014 Regular Meeting: amendment to Rule 1536, Stewards' Minutes; amendment to 1689.1 Safety Vest Required; amendment to 1866, Veterinarian's List; and the amendment to Rule 1844, Authorized Medication. Executive Director Baedeker stated other rule

actions in development included: Rule 1891.1, Penalty for Possession or Use of Electrical Device; amendment to Rule 1688, Use of Whips; and amendment to Rule 1845, Authorized Bleeder Medication. He said there would be an investigator/steward cross-training session, with the intent of making both groups more effective. Executive Director Baedeker stated the CHRB submitted budget change proposals, which included: eight additional investigators, two additional staff at headquarters, and annual funding for the Kenneth L. Maddy Equine Analytical Chemistry Laboratory. Executive Director Baedeker stated the Southern California Race Dates Stabling Oversight Committee met and discussed how to get an accurate count of horses stabled in California.

PUBLIC COMMENT

Pete Sluis, a fan of Fairplex Park (Fairplex), stated the possible move of the Fairplex race meet was not a small issue to be decided to quickly. He asked the Board to prevent the move or consider allocating the dates to Santa Anita, as it was the closest remaining association to Fairplex. Chairman Winner stated the issue had been put over until the June 19, 2014 Regular Board Meeting because the Board did not want to rush into a decision. Holly Beckner, a horse owner at Fairplex, stated allowing Fairplex to move would further consolidate California horseracing into the hands of a few wealthy people. She said she did not have the option of sending her horses all over the state. Santa Anita was the furthest she saw her family traveling for horseracing. Damascus Castellanos, of Teamsters Local 495, stated there had been productive discussions, between the union and Fairplex, to preserve jobs if the fair dates moved to Los Alamitos.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF SERVICE, STEWARD AND OFFICIAL VETERINARIAN CONTRACTS FOR FISCAL YEAR 2014-2015.

Wendy Voss, CHRB Staff, stated the service, steward, and official veterinarian contracts were annually presented to the Board for approval. 2nd Vice-Chairman Rosenberg asked if the \$60,000 listed as compensation for Dr. Zuko, Dr. Goodbary's replacement, was prorated. Ms. Voss stated that it was. Dr. Rick Arthur, CHRB Equine Medical Director, stated since 2006 the funds from the budget for drug testing had remained essentially the same; however, because the funds received from the takeout had decreased, he strongly encouraged the industry to support increasing the budgeted funds to compensate for a growing drug testing program. 2nd Vice-Chairman Rosenberg asked how the budget could be increased. Executive Director Baedeker stated the first step was to submit a budget change concept proposal, which had been done. He said the next step was to get agency support, which was currently being sought. 2nd Vice-Chairman Rosenberg asked how soon the entire process would be complete. Executive Director Baedeker stated the increases were requested for the 2015/2016 fiscal year. Commissioner Auerbach **motioned** to approve the service, steward and official veterinarian contracts for fiscal year 2014-2015. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE APPROVAL OF THE CONTRACT FOR THE REPLACEMENT OF THE OFFICIAL VETERINARIAN AT CAL EXPO SELECTED TO FULFILL THE CAL EXPO CONTRACT TERM FOR THE LATE DR. ROBERT GOODBARY.

Commissioner Krikorian **motioned** to approve the contract for Dr. Zuko, the official veterinarian at Cal Expo selected to fulfill the Cal Expo contract term for the late Dr. Robert Goodbary. 1st Vice-Chairman Derek **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T) AT LOS ALAMITOS, COMMENCING JULY 3, 2014 THROUGH JULY 13, 2014, INCLUSIVE.

Brad McKenzie, thanked all the people involved in the nine years it took to get thoroughbred horseracing to the Los Alamitos Race Course (Los Alamitos). He also thanked Los Alamitos' own California Chrome for winning the Kentucky Derby and Preakness, as it was an unexpected boost to the marketing of the thoroughbred meeting. Mr. McKenzie said there had already been a substantial investment into improving the track and stables to allow for the training of 500 thoroughbreds, and now Los Alamitos was in the middle of adding 200 more thoroughbred stalls. He said other projects included a new second floor patio terrace, expanded walking ring, bathroom renovations, jockey room renovations, improvements to the infield fan area, new televisions, new bars, new food service areas, and a \$500,000 investment in high definition (HD) television production. Mr. McKenzie stated Los Alamitos had worked closely with the Thoroughbred Owners of California to develop the Los Alamitos Derby, filling a void in the Southern California stakes schedule. He said the out-of-state betting facilities would be paying as much for a signal from Los Alamitos as they paid for a signal from Del Mar or Santa Anita; this was important for keeping the proposed purse structure. Mr. McKenzie stated partnerships had been formed with the Racing Form, TVG, and the Orange County Register for targeted marketing. Alex Anderson, of the Orange County Register, stated the Register Connects program was a way to get free or low cost tickets to new customers, and had been successfully used with the Los Angeles Angels, Disneyland, Knotts Berry Farm, SeaWorld, and the Del Mar Thoroughbred Club. She stated there would be a dedicated party area in the infield for Register Connect customers that would come with access to promotional items introducing wagering to the new customers. Mr. McKenzie stated the wagering format was changed to create continuity

with the Santa Anita wagering format, including rolling doubles throughout the card. He said after negotiations on stabling, a deal with the California Thoroughbred Trainers was near completion. 2nd Vice-Chairman Rosenberg asked about the 2,500 minimum stalls required on the application. Mr. McKenzie said it was a relatively arbitrary number. Chairman Winner stated Los Alamitos had done a terrific job with its improvements. Commissioner Auerbach said Los Alamitos did an amazing job promoting the California Chrome races; it was bringing excitement back to the industry. John Bucalo, of Barona Casino, said Los Alamitos had been a great partner in the industry. He said when associations were willing to invest in their marketing it helped the entire industry, including satellites like the Barona Casino. Commissioner Krikorian asked if the financial information provided with the application was from a new or existing entity. Rick English, of Los Alamitos, stated the entity was a subchapter S corporation with one share holder, Dr. Aldred, and it had been in existence since 1989. Commissioner Krikorian asked if both TVG and HRTV would carry the signal. Mr. McKinzie said they would, and both would have their studios onsite. Commissioner Krikorian asked if either would broadcast in HD. Mr. McKenzie stated that TVG would be in HD. Commissioner Beneto asked if the 200 stalls being currently constructed were permanent. Mr. McKenzie said they were prefabricated, permanent stalls in concrete footings. Commissioner Auerbach said she had not seen the purse schedule and wondered what the TOC thought about it. Mr. McKenzie said it was available on-line but it would be made more available. He stated Los Alamitos had planned its race meet around receiving 85 percent of the handle Hollywood Park received for the same dates; its lowest claiming races were \$8,000 and its lowest purses were \$15,000. 1st Vice-Chairman Derek asked if Los Alamitos had acted on the recommendations from the County of Orange Healthcare Agency. Mr. McKenzie stated it had, including the recommendations on

communications. 1st Vice-Chairman Derek asked if Los Alamitos would implement the recommendation to automatically take fallen jockeys to a trauma center. Mr. McKenzie said the Jockeys' Guild had concerns with that recommendation as it would take away a jockey's right to decline treatment; Los Alamitos was siding with the Jockeys' Guild. Chairman Winner asked what would happen in an emergency situation if the paramedics at the trauma center were not available. Mr. McKenzie said the secondary paramedic station was a mile-and-a-half away. He said in the time it took the Los Alamitos ambulance EMTs to stabilize the rider, the secondary station paramedics would be on scene. 1st Vice-Chairman Derek **motioned** to approve Los Alamitos' application to conduct a horse racing meeting commencing July 3, 2014 through July 13, 2014. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR (F) AT SACRAMENTO, COMMENCING JULY 9, 2014 THROUGH JULY 20, 2014, INCLUSIVE.

Rick Pickering, of the California State Fair at Cal Expo (Cal Expo), stated 2014 would be the 162nd operation of the fair. He said the fair would include seven days of horseracing, as well as two professional soccer games by the Sacramento Republic; both have been cross-promoted. Mr. Pickering stated the Brew Fest was back, and a Whiskey Fest had been added to opening day. He said the barn renovation plan had been signed off by the State Fire Marshall; it included 22 separate habitable rooms, ADA restroom facilities, and a modular air conditioned break room for employees of the barn area. Mr. Pickering invited the Board to the \$75,000 Governor's Cup on July 19, 2014. Commissioner Krikorian **motioned** to approve the application to conduct a

horse racing meeting at Cal Expo, commencing July 9, 2014 through July 20, 2014. 2nd Vice-Chairman Rosenberg seconded the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR, COMMENCING JULY 17, 2014 THROUGH SEPTEMBER 3, 2014, INCLUSIVE.

Josh Rubenstein, of Del Mar, stated the outstanding agreements with the Thoroughbred Owners of California, and the California Thoroughbred Trainers were almost finalized. He said ticket sales had been encouraging, purse levels would be up, and the new turf course was ready. Mr. Rubenstein stated the request to start on a Thursday was to get one more day to prepare for the race meet after the close of the fair. Tom Robbins, of Del Mar, stated the overnight purse structure was the same for 2014 as it was for 2013; the stakes schedule included five purse increases and no decreases. He said Del Mar would offer the Ship and Win Program again in 2014, which had been very successful, increasing the field every year since it began in 2011. Commissioner Choper asked if Del Mar knew what happened to the imported horses after the Del Mar race meet. Mr. Robbins said most of the horses stayed in California for the follow-up race meets. Chairman Winner asked if Los Alamitos was offering a Ship and Win Program. Mr. Robbins stated if a horse eligible for Del Mar's Ship and Win Program raced once at Los Alamitos or Santa Anita in the five weeks before coming to Del Mar, it would not affect its eligibility. Commissioner Krikorian asked if Del Mar was providing embroidered saddle cloths for the graded stakes races. Mr. Robbins said only for the grade 1 stakes races. Commissioner Krikorian asked if Del Mar was willing to provide them for grade 2 and grade 3 stakes races as well. Mr. Robbins stated it could be discussed. Craig Dato, of Del Mar, stated the marketing

strategy was split two ways: the Del Mar Diamond Club for returning customers, and by diversifying entertainment to bring in new customers. He said the marketing budget was \$3.1 million, which was aggressive for a 36-day race meet. Commissioner Auerbach asked if there were changes to the stakes schedule from the previous years. Mr. Robbins said Del Mar's stakes schedule had been the same for three years. 2nd Vice-Chairman Rosenberg asked if the Daily Double would be run the same way Del Mar ran it in 2013, or the way the other tracks ran it. Mr. Rubenstein stated it would depend the results from the Daily Double at Santa Anita. 2nd Vice-Chairman Rosenberg asked if Del Mar still had an exclusive deal with TVG. Mr. Rubenstein stated Del Mar, Santa Anita, Los Alamitos, Xpressbet, and TVG negotiated a TV swap agreement allowing Del Mar's content to be available on HRTV. Commissioner Choper asked what the last item on the wagering program was. Mr. Rubenstein stated it was a Win and Place All, dependant on the number of races that day. Commissioner Choper **motioned** to approve the application to conduct a horse racing meeting at Del Mar, commencing July 17, 2014 through September 3, 2014. 2nd Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR (F) AT SANTA ROSA, COMMENCING JULY 23, 2014 THROUGH AUGUST 10, 2014, INCLUSIVE.

Richard Lewis, of the Sonoma County Fair (Santa Rosa), stated the outstanding horsemen's agreement with the Thoroughbred Owners of California was nearly finalized. He said Santa Rosa was adding a \$65,000 stakes race that had been dropped in 2013, making it seven stakes races in 13 days of racing. Mr. Lewis stated Santa Rosa was adding new signage promoting free access to the track with fair entry, and would have a daily handicapping seminar which

previously attracted 250 people on weekdays and 500 people on weekends. He said to reward the horsemen for running there would be a daily \$1000 drawing for all entries and a race meet \$10,000 drawing for all entries. Commissioner Auerbach **motioned** to approve the application to conduct a horse racing meeting at Santa Rosa, commencing July 23, 2014 through August 10, 2014. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE REPORT REGARDING THE ALLOCATION OF 2014 RACE DATES TO THE LOS ANGELES COUNTY FAIR AT POMONA AND THE PROPOSAL TO RUN THE RACE DATES AT THE LOS ALAMITOS RACE COURSE.

This item was held over to the June 19, 2014 Regular Board Meeting.

REPORT FROM THE SOUTHERN CALIFORNIA RACE DATES AND STABLING OVERSIGHT COMMITTEE.

Commissioner Krikorian stated the Southern California Race Dates and Stabling Oversight Committee discussed with the Thoroughbred Owners of California (TOC) the inventory of horses at California tracks, and the stabling budget issues. He said everything seemed to currently be in order. Chairman Winner said there was also a positive discussion about a long-term stabling agreement involving everyone in the industry working together for a resolution. 2nd Vice-Chairman Rosenberg asked if there were any accurate numbers on the horse population. Joe Morris, of the TOC, said at the end of April, 2014, there were 2,900 horses at Santa Anita and the auxiliary training centers. He said the number of two-year-olds increased by 200 during March and April, but because of attrition, the total horse population was only up by 100. Commissioner Choper asked if the California Thoroughbred Trainers (CTT) was satisfied with the numbers. Mr. Morris said the TOC and the CTT were getting closer to agreeing on the

numbers. Holly Beckner, a horse owner at Fairplex, stated the small owners and trainers were concerned that they would be pushed out as stabling availability shrunk and if consolidation continued. Alan Balch of the CTT stated the agreement negotiated was to keep Fairplex open for stabling through the end of the Breeders' Cup. He said the industry agreed that horse recruitment and retention were essential for the survival of the industry. Commissioner Choper asked if there was a way to estimate the peak of the horse population for the coming months. Mr. Balch stated the only data from past years came from Equibase which was not accurate enough for an agreed upon number. Commissioner Choper asked if Mr. Morris thought there would be enough stables. Mr. Morris stated there would be if Fairplex stayed open. Chairman Winner said the agreement to keep Fairplex open had not yet been finalized. Commissioner Auerbach stated it was refreshing in times of uncertainty that the industry could get together and negotiate a solution without intervention. Chairman Winner agreed that it was a very positive step. He thanked Del Mar and Santa Anita for their emergency planning and donated stable space during the fires in Southern California.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN INDUSTRY UPDATE REGARDING HORSE INVENTORY AND THE STATUS OF THE STABLING AND VANNING FUND, AND SOUTHERN CALIFORNIA'S AUXILIARY OFF-TRACK STABLING.

This item was discussed in conjunction with the previous item.

MEETING ADJOURNED AT 11:05 A.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JUNE 19, 2014
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

JUNE 19, 2014
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
June 19, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 13 THROUGH AUGUST 24, 2014.

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale:

- August 13-24, 2014, or 6 days, two days less than 2013. The fair proposes to race 72 races, the same as 2013. HCF will be simulcast only on August 13, 14, 20, and 21, 2014; there will be no racing August 18, and 19, 2014.
- The race dates proposed are the dates allocated:

August - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- Racing Friday, Saturday and Sunday. Twelve races daily.
 - 2013 Race Meeting: Average number of runners per race (TB): 5.87
 - 2013 Race Meeting: Average number of runners per race (Arabian): 6.00
 - 2013 Race Meeting: Average number of runners per race (Mules): 6.00
- Racing concurrently with Del Mar 8/13-24.
- First post 2:07 p.m. Friday, Saturday and Sunday.

Wagering program will use CHRB and ARCI rules.

- \$1 Place Pick and \$1 Trifecta \$0.10 Superfecta when applicable.
- \$0.50 Pick 4 on first 4 races and last 4 races
- \$0.50 Pick Five on last 5 races with 100% major pool paid for 5 of 5. Otherwise 25% minor pool paid to most winners, 75% added to carryover.
- \$0.20 Pick (n) Pool on the last six thoroughbred races with 100% paid for unique ticket selecting 6 winners. Otherwise 40% minor pool paid to tickets selecting most winners, 60% major pool added to carryover.
- \$0.20 Pentafecta selecting first five finishers in same race with 100% paid to unique ticket with first 5 winners. With no unique ticket 40% minor pool paid to tickets selecting 5 winners, 60% major pool added to carryover. If no ticket selects 5 winners 100% is added to carryover.
- Early wagering will not be offered.

- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, Twin Spires, and Bet America.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Lien Games Racing LLC, dba Bet America, has submitted a hub agreement between BAM Software and Services LLC (BAM) and California Authority of Racing Fairs.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Fire clearance
2. Thoroughbred, Arabian, and Mule horsemen approval of Bet America ADW agreement required before wagers can be accepted on **races conducted in California** from a resident of California.

RECOMMENDATION:

If the application is considered for approval, staff recommends the Board approve the application contingent upon submission of the fire clearance.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Humboldt County Fair, 1250 5th Street, Ferndale, CA 95536 (707)786-9511

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Richard Conway, General Manager, 707-786-5515, humcofair@frontiernet.net

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **August 13 – August 24, 2014**

B. Actual dates racing will be held: **August 15, 16, 17 & August 22, 23 & 24**

C. Dates racing will NOT be held: **August 18 & 19; August 13, 14, 20 & 21 will be simulcast only days.**

D. Total number of racing days: **6**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify): **Friday-Sunday**

3. RACING PROGRAM

A. Total number of races: **72**

B. Number of races by breed:

<input type="text" value="48"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="8"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="16"/>	Mules

CHRB CERTIFICATION

Application received: 5/9/14
 Reviewed: 6/6/14

Hearing date: 6/19/14
 Approved date: _____
 License number: _____

C. Number of races daily:

	Fri, Aug 16 & 23	Sat, Aug 17 & 24	Sun, Aug 18 & 25
Thoroughbred	8	8	8
Other Breeds	4	4	4
Total	12	12	12

D. Total number of stakes races by breed:

Thoroughbreds
 Quarter Horses
 Appaloosas
 Arabians
 Paints
 Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

2014: Humboldt County Marathon-3yo and upward, One mile and five furlongs, \$20,000 Guaranteed Sunday, August 24.

2013: Humboldt County Marathon-3yo and upward, One mile and five furlongs, \$30,000 Guaranteed (Plus up to \$4,500 to Cal-Breds) Sunday, August 25.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

** As much as possible, we intend to align our post times to compliment the Del Mar post times*

<u>Race Number</u>	<u>Fri, Sat & Sun</u>
Race #1	2:07
Race #2	2:37
Race #3	3:07
Race #4	3:37
Race #5	4:07
Race #6	4:37
Race #7	5:07
Race #8	5:37
Race #9	6:07
Race #10	6:37
Race #11	7:07
Race #12	7:37

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors: Mel Berti, Clarence Bugenig, Al Cooper, Lawrence Dwight, Jeff Farley, Sandy Hanks, Darren Hansen, Jay Hight, Travis Low, Jack Macdonald, Duane Martin, Dave Mogni, Cindy Olsen, Robert Prior, Tim Renner, Johanna Rodoni, Steve Ross and Wayne Wilson.

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Mel Berti, Clarence Bugenig, Jeff Farley, Travis Low Jack MacDonald, Cindy Olsen, Dave Mogni and Wayne Wilson**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: **Richard Conway – General Manager, Kevin Ingram – Stall Manager**
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
Richard Conway
General Manager
1250 5th Street
Ferndale, Ca 95536
humcofair@frontiernet.net

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2013	\$3,435,382	34,289	8 days
2012	\$4,936,835	41,304	8 days
2011	\$3,910,325	41,905	8 days
2010	\$8,436,626	51,905	8 days
2009	\$3,225,399	44,903	8 days
2008	\$2,293,701	51,085	10 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$203,049
 Prior meet actual: \$328,967

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$33,841
 Prior meet actual: \$41,120

- 2. Overnight stakes:
 Current meet estimate: \$20,000
 Prior meet actual: \$30,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$3,333
 Prior meet actual: \$3,750

- 3. Non-overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 0

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$223,049
 Prior meet actual \$358,967

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: 0
 Prior meet actual: 0

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$13,816
 Prior meet actual: \$22,285

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 424	\$ 684
TOC \$ 848	\$ 1,368
NTRA \$ 414	\$ 668
PCQHRA \$ -0-	\$ -0-
CWAR \$ -0-	\$ -0-

ARAC	\$ 503	\$ 812
AMRA	\$ 1,116	\$ 1,800
CHBPAPEN	\$ 1,272	\$ 2,052
CTHF	\$ <u>1,272</u>	\$ <u>2,052</u>
Total	\$5,849	\$9,476

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$242,714

Prior meet actual: \$390,020

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$40,452

Prior meet actual: \$48,572

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$222,567

Prior meet actual: \$280,238

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$37,094

Prior meet actual: \$35,029

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$15,063

Prior meet actual: \$18,218

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$2,510

Prior meet actual: \$2,277

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) TOC Account No. **On-File**. Emerging Breeds Account No. **On-File**.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the

meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
250 permanent 100-120 portables
- B. Minimum number of stalls believed necessary for the meeting:
350-370
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c).
458
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
n/a
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).



March 31, 2014

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

[Type text]

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This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

11B: The NCOTWINC agreement is current.

Respectfully Yours,

A handwritten signature in cursive script, appearing to read "Larry A. Swartzlander".

Larry A. Swartzlander
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

CHRB-18 (Rev. 07/11)

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: August 24, 2014 (Closing Day of Humboldt County Fair)
- D. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Sportech (Anthony Lovell) Expiration: September 30, 2015.
Equipment description on file with Board.**

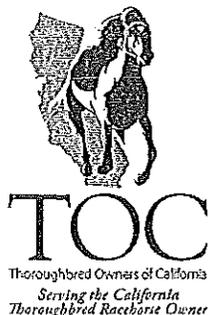
10. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.



April 25, 2014

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

DIRECTORS

BOB BAFFERT
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
BILL STRAUSS
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCOONLINE.COM

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2014 California Authority of Racing Fairs (CARF) ADW Approval

Dear Larry,

This is to confirm that Thoroughbred Owners of California, Inc. (TOC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 19, 2014 and continuing through October 13, 2014, California-licensed ADW providers TVG, Xpressbet and TwinSpire may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is TOC's understanding that the compensation rates and fees for this wagering activity are those specified in the separate Account Wagering Agreements entered into as of November 23, 2013 by and between Monarch Content Management, LLC and Xpressbet, LLC, ODS Technologies (TVG) and Churchill Downs Incorporated and the Hub Agreements entered into August 20, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Xpressbet, LLC, December 17, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Churchill Downs Technology Initiatives Company (TwinSpire), and January 1, 2014 by and between Del Mar Thoroughbred Club and TVG.

Should you have any questions, please contact me.

Very truly yours,

Joe Morris
President

cc: Tom Varela, Monarch Content Management

March 10, 2014

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as ARAC's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2014, continuing through October 13, 2014.

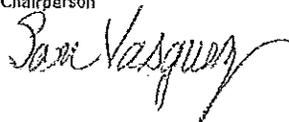
As a condition of ARAC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez, ARAC

Chairperson



[Type text]

[Type text]

March 5, 2014

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as AMRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 18, 2014, continuing through October 13, 2014.

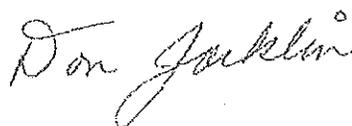
As a condition of AMRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



[Type text]

[Type text]

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
Bankers Casino, Salinas
Big Fresno Fair, Fresno
California State Fair & Exposition, Sacramento
Club One, Fresno
Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale
Jockey Club at San Mateo, San Mateo

Kern County Fair, Bakersfield

Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Stanislaus County Fair, Turlock
Tulare County Fair, Tulare

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio
Commerce Casino Race book, Commerce
Derby Club, Seaside Park, Ventura
Fairplex Park, Pomona
Fantasy Springs Casino, Indio
Los Alamitos Race Course, Los Alamitos
OC Tavern & Sports Bar, San Clemente
Roadhouse Grill, Santa Maria
Santa Anita Park, Arcadia
Santa Clarita Lanes, Santa Clarita
Shalimar Sports Center, Indio
Sports Center, San Bernardino
Sports Pavillion at The Farmers Fair, Lake Perris
Sports Pavillion, San Bernardino City. Fair, Victorville
Surfside Race Place at Del Mar, Del Mar
Sycuan Gaming Center, El Cajon
Viejas Casino & Turf Club, Alpine
Watch & Wager, Antelope Valley Fgds, Lancaster

*Open during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports -- Full and/ or Partial Card (Subject to Change)

2014 IMPORT TRACKS	
ARLINGTON	8/13-8/24
BELMONT	8/13-8/24
ASSINIBOIA	8/13-8/24
AUSTRALIAN RACING	8/13-8/24
BEULAH	8/13-8/24
CALDER RACECOURSE	8/13-8/24
CANTERBURY DOWNS	8/13-8/24
CHARLES TOWN	8/13-8/24
CHURCHILL DOWNS	8/13-8/24
DELAWARE	8/13-8/24
DOWNS AT ALBUQUERQUE	8/13-8/24
ELLIS PARK	8/13-8/24
EMERALD DOWNS	8/13-8/24
EVANGELINE DOWNS	8/13-8/24
FORT ERIE	8/13-8/24
GULFSTREAM	8/13-8/24
HASTINGS PARK	8/13-8/24
HAWTHORNE	8/13-8/24
INDIANA DOWNS	8/13-8/24
KEENLAND	8/13-8/24
KENTUCKY DOWNS	8/13-8/24
LAUREL	8/13-8/24
LONE STAR	8/13-8/24
LOUISIAN DOWNS	8/13-8/24
MONMOTH	8/13-8/24
MOUNTAINEER PARK	8/13-8/24
NYRA-AQUEDUCT	8/13-8/24
NYRA-BELMONT	8/13-8/24
NYRA-SARATOGA	8/13-8/24
PENN NATIONAL	8/13-8/24
PHILADELPHIA PARK (PARX)	8/13-8/24
PIMLICO	8/13-8/24
PRAIRIE MEADOWS	8/13-8/24
PRESQUE ISLE	8/13-8/24
RIVER DOWNS	8/13-8/24
SOUTH AMERICAN RACING	8/13-8/24
SUFFOLK DOWNS	8/13-8/24
SUNLAND PARK	8/13-8/24
SUNRAY PARK	8/13-8/24
TAMPA BAY	8/13-8/24
THISTLEDOWN	8/13-8/24
TURFWAY PARK	8/13-8/24
TURF PARADISE	8/13-8/24
UNITED KINGDOM	8/13-8/24
WOODBINE	8/13-8/24

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

ARF 2014 Meets
Commingled Locations

AmWest Entertainment	Delta Downs	Lone Star	Running Aces Harness Park
Amwest Accounts	Dover Downs	Louisiana Downs	Saddle Brook Park
Greenbrier (WV)	Ebet	LVDC	Sam Houston
Riders Up (SD)	Elite Turf Club (1 to 10)	Atlantis Paradise Casino	Valley Greyhound Park
Time Out Lounge (SD)	Ellis Park	Avatar Ventures	Saratoga Harness Raceway
Triple Crown (SD)	Emerald Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)
Capahoe-Mile High	Euro Off Track	Foxwoods Resort Casino	Scarborough
Chima Race Club	Evangeline Downs	Meskwakl Bingo & Casino	Scioto Downs
Clifton I	Evangeline Downs AWA	Maronas (South America)	Seabrook Greyhound
Atlantic City Racecourse	Fair Grounds	Maryland Jockey Club	Social Gaming
Cokad	Fair Grounds ADW	Maywood	Sol Mutuel
Clamoral	Fair Meadows	Meadowlands	Southland Greyhound
Clamoral ADW (BETZOTIC)	Finger Lakes	Meadows The Meadows The (ADW) - PA regional	Sports Creek Raceway
Concor Raceway	Fonner	Mobile Greyhound	State Fair (Lincoln, NE)
Coravia	Freehold	Monmouth Park	Suffolk District OTB
Corcor Racing	Gillespie County Fair	Montana OTB	Suffolk Downs
Coulah Park	Global Wagering Solutions	Monticello	Pat's Pizz
Crimmingham	Bwin International Ltd.	Mountaineer Park	Sunland Park
Cuffs Run Greyhound	Intl Betting Assoc. Ltd	Mt. Pleasant Meadows	SunRay Park & Casino
Cuffalo Raceway	Magna Bet	Nassau Regional OTB	Tampa Bay Downs
Candler Racecourse	Racebets	New Jersey Casino Assoc.	Taunton Acct Wagering
Canterbury Park	Greenetrack	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Capital District OTB	Greyhound @ Post Falls	Newport Jai Alai	ThistleDown
Castskills OTB	Gulf Greyhound	NJ Mobile	Tioga Downs
Charles Town Race Course	Gulfstream	Northfield	Tri-State GH (Mardi Gras)
Chester Downs & Marina LLC	Harrington Raceway	Cedar Downs OTB	Turf Paradise
Churchill Downs	Hawthorne Race Course	Northville	Turfway Park
Club Hipica InTurf	Hawthorne ADW	NYRA	TVG Chester
Coeur d' Alene Casino	Hazel Park	NYRA Account Wagering	TVG Network
Colonial Downs	Hoosier Park	Oaklawn	TVG Prairie
Colonial Downs Phone Bet	Horseman's Park	Ocean Downs	Twin River Greyhound
Colymbus Raceway	Indiana Downs	Panama	TwinSpires
Connecticut OTB	Evansville OTB	Penn National	TwinSpires High Volume
Bradley Teletheater, Bristol	Clarkesville OTB	Penn National Telebet	Velocity Wagering Ltd.
New Britain, Norwalk, Milford	Intermountain Racing	Peru	Venezuela OTB
East Haven, Hartford, Putnam	IP Tote (Venezuela)	Philadelphia Park	Vernon Downs
Shoreline Star, Sports Haven	Keeneland	Philly Park Phone Bet	WatchandWager
Torrington, Waterbury	Keeneland Select ADW	Plainridge Race Course	Western OTB
Manchester, New London	Kentucky Downs	Plainridge Telephone Wagering	Wheeling Downs
Willimantic	Kentucky OTB	Player Management Group	Will Rogers Downs
John Martin's Manor Restaurant	Lebanon	Pocono Account Wagering	Wyoming
Connecticut OTB ADW	Les Bols (Treasure Valley)	Pocono Downs and OTB	XpressBet
Coushatta Casino	Lewiston OTB's	Portland Meadows	Yonkers Raceway
Paragon Casino	Lien Games	Potawatomi Casino/ OTB	Youbet Group 1
Ho-Chunk Casino and Racebook	Chips Lounge and Casino	Prairie Meadows	Zia Park
Mohegan Sun Casino	Howard Johnsons OTB	Premier Gateway (Phumelela)	
Oneida Bingo and Casino	Rumors OTB	Premier Turf Club	
Pony Bar Simulcast Center	Skydancer Casino OTB	Presque Isle Downs	
Tote Investment Racing	Lien Games ADW		

Randall James Racetrack
Millenium Racing
Royal Beach Casino
Divl Carina Bay Casino
Fair Chance
Winner's Circle

BetAmerica
BestFastNow
OnlineRacing.TV
BetTheRacesOnline.com
BigJackpotBetting.com
Hometownbets.com
RaceplayWin.com
VIPHorsePlayer.com
TrackInfo.com
Offtrackbetting.com

Raceway Park
Racing2Day LLC
Racing2Day Intl. (Stan James)
Remington Park
Remington OTB Network
Retama
Racing & Gaming Services
River Downs
Rockingham Park
Rockingham Account Wagering
Ruldoso Downs

Separate Pool Locations
Camarero (Puerto Rico)
Caymanas (Jamaica)
MIR Books (Callente)
NDS Books (Nevada)

Corpus Christi Greyhound
Delaware

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Gasper Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Toronto Raceway, Western Fair, Windsor, Woodbine, Woodstock/Ontario

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – **Clifford Zucco, D.V.M.**
 - Clerk of Scales – **Cheryl White**
 - Clerk of the Course – **Dolores Collins**
 - Film Specialist – **Matt Nichols**
 - Horse Identifier – **Gary Greiner**
 - Horseshoe Inspector – **Jackie Payton**
 - Paddock Judge – **Gary Greiner**
 - Patrol Judges – **Lisa Jones & Matt Nichols**
 - Placing Judges – **Stewards**
 - Starter – **Bob Mooneyhan**
 - Timer – **Melody Truitt**
- B. Management officials in the racing department:
- Director of Racing – **Richard Conway**
 - Racing Secretary – **Tom Doutrich**
 - Assistant Racing Secretary – **Lisa Jones**
 - Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 - Others (identify by name and title)
 - Mutual Manager – **Dominic DiPrinzio**
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Sheryl Brown, 5th St, Ferndale, Ca, 707-786-9497**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA – Bill O'Brien (Expires 12/7/15)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2018**
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
- Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2018**

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **See attached organizational chart.**

Pacific coast Security, Gene Bass, Owner (707)-786-9511

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1-2 guards in grandstands

2-3 rovers

3 licensed gatemen on 8-hour ships

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

N/A

2. Detention Stalls:

(The Fair is not running graded stakes)

- A. Attach a plan for use of graded stakes or overnight races.

N/A

- B. Number of security guards in the detention stall area during a 24-hour period.

N/A

- C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

All horses in thoroughbred races where the number is determined by a random algorithm generator.

- B. Plan for enhanced surveillance for trainers with high-test results.

Trainer with high test results will be moved to the detention area.

- C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

- D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

- C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

4 surveillance cameras monitoring this area

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7th Street, Eureka, Ca 95501 (707) 445-4907**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

13A. Security Controls

<p>Richard Conway General Manager/Director of Racing Office: 707-786-5515 Cell: 707-497-4400</p>
<p>Susan Combes Business Assistant Office: 707-786-5512</p>
<p>Phil Scott Maintenance Supervisor Office: 707-786-5516</p>
<p>Ferndale Police Dept. Office: 707-786-4025</p>
<p>Gene Bass Pacific Coast Security Office: 707-786-9511</p>

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
n/a
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached. The ambulance crew consists of one paramedic and one EMT.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Physicians rotate on a daily basis from redwood Memorial Hospital. 707-725-7328
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Redwood memorial Hospital, 3300 Renner Drive, Fortuna, Ca (707) 725-7328
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Richard Conway, General Manager and Susan Combs, Assistant General Manager.
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
To be submitted after portables are assembled.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Sent via separate mail.
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
ON file with CRHB.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The



May 20, 2014

Richard Conway
Humboldt County Fair

Mr. Conway,

City Ambulance of Eureka, Inc. of Eureka, California operates emergency and non-emergency ambulance transportation in Humboldt County. All of our paramedic staff are licensed with the California Emergency Medical Services Authority, in addition to being accredited by the LEMSA (local emergency medical services agency) in the area in which they are practicing. The current status of any paramedic license can be verified by California EMSA on their web site: www.centralregistry.ca.gov.

Please feel free to contact me if you require further information.

Sincerely,

Jaison Chand, EMT-P, RN, BSN
Chief Operating Officer

14C First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

14F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

12F Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hopodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tartar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, unmiembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del

Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.

2. Un miembro del departamento de seguridad del hipódromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipódromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

Personal de Carreras/Veterinario del hipódromo

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipódromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista, después de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a preparar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

Locutor

El locutor deberá informar a los jinetes acerca de los detalles de la situación (como la ubicación del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se acercará rápidamente al lugar del accidente en el hipódromo. El gerente informará a los otros gerentes sobre las lesiones sufridas.
2. Otro representante de la gerencia deberá informar al departamento de video para monitorear la escena y ver la cobertura de video que será transmitida al público.
3. Un miembro de la gerencia deberá aportar con información sobre los anuncios que deberá hacer el locutor.
4. Un miembro de la gerencia será responsable de ver que la información con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompañar a los familiares a los hospitales, de ser el caso. Al

respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.

5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



June 9, 2014

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Humboldt County Fair
1250 5th Street
Ferndale, CA 95536

Please be advised that the Humboldt County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000
Coverage provided by Allied World Assurance Company
Term: 01/01/2014 to 01/01/2015

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2013 to 07/01/2014

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Humboldt County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen
Risk Analyst

health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
Food & Non-Alcoholic Beverages

Winner's Circle Photo

Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514

Racing Grandstand Sound System

Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519

Armored Car Services

NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568

Portable Stalls

Stall West, Inc. 4149 Burnett Road, Lincoln, Ca 95684. 916-645-5475, fax 916-645-6095.

- B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
Ticket give-away already underway, using HCF Facebook; discounted ticket sales, beginning July 1; placement of old Starting Gate in surrounding communities 60 days prior to Fair and near entrance to town during fair; Participation in local parades and events prior to fair; Pre-fair media dinner; golf tournament on dark Monday; Horsemen's Fish Fry on dark Tuesday. Appearances on local ESPN radio station; Live remote, local ESPN radio, opening day of racing; Television and radio spots.
- B. Promotional/ Marketing budget for this race meeting:
\$60,000
Promotional/Marketing budget for prior race meeting:
\$55,000
- C. Number of hosts and hostesses employed for meeting:
10
- D. Describe facilities set aside for new fans:
First come, first served
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen : refurbish stall exteriors, install ADA ramp into winners enclosure, Raise rail height on outer rail from 1/8 poll to Finish Line.
 2. Fans: Improvements to concession areas
 3. Facilities in the restricted areas: N/A

2014 HUMBOLDT COUNTY FAIR AND RACE MEET MARKETING PLAN

16A. The Board of Directors and Staff are enthusiastic and ready for the 118th edition of the Humboldt County Fair in Ferndale, home to California's smallest, yet most unique racing venue. Here's a summary of some of the things what we plan to do to meet the challenge:

- RACE DAY SCHEDULE: In order to provide for the greatest success for everyone involved, Humboldt will continue to modify its schedule when live racing will occur. Those modifications include racing on a -Friday-Saturday-Sunday format during both weeks of racing. Dark days will include Thursday August, 14th, along with Monday August, 18 through Thursday August, 21 of the Humboldt County Fair. This change is designed to benefit the myriad of participants from the racing industry, along with the thousands of loyal fans who travel to Ferndale to support A
- ADVERTISING BUDGET: Our 2014 advertising budget is \$60,000.
- Sponsorships: We are continuing to expand our sponsorship program in 2011 it was \$55,000, 2012 \$80,000, 2013 \$160,000 and our goal for 2014 is \$200,000.
- Fundraiser: We are planning a fundraiser for August 23rd with Guy Fieri Hosting where we hope to raise \$50,000
- Track Safety Improvements: We are installing an ADA ramp into the winner's enclosure to improve access. We are also raising the height of the outer rail from the 1/8 pole to the Finish line.
- PROMOTIONS: In addition to reaching out to more businesses to sponsor our racing gate admissions, we will again be joining the list of racing entities that have used Lady's Hat Day at the Races promotion. A huge part of Del Mar's traditional opening day festivities, we will be conducting the promotion on Saturday, August 23, with Guy Fieri hosting and sponsored by the Ferndale Jockey Club
- POST RACE PROMOTIONS: Unique to Ferndale is the nightly recap of racing activities, with a live broadcast of our local ESPN radio station, KEKA 1340. Owners, trainers, jockeys and fans are among the many guests to appear on the program, which takes place live on Main Street Ferndale, in front of one of the many local bars, taverns and restaurants that embrace horse racing each August. Post-race promotions extend to the local NBC affiliate, New Channel 3 in Eureka, an important outlet which is provided footage for the races each day to broadcast on its 6:00 and 11:00 sports programs.

17. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Proposed charges, note any changes from previous year:

Admission (general)	\$8.00
Admission (racing)	\$3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$5.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	
Programs (on-track)	\$2.50
(off-track)	\$2.50

B. Describe any "Season Boxes" or other special accommodation fees: **\$225 for box seats.**

C. Describe any "package" plans such as combined parking, admission and program: None.

18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="0"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input type="checkbox"/>	Food/beverage service
<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:

**Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks.
Jockeys & Jockettes share the scale.**

19. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) **Paul Nicolo is scheduling.**

B. Number of rooms used for housing on the backstretch of the racetrack:
Six

C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**

D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1.**

20. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark

- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20F Track Safety and Maintenance Program

The regular and continuous maintenance of race track will begin in late July and continue through August 22, 2012. The Association employs a two man crew (1 tractor driver, 1 water truck driver) for ripping, blading and grading the racetrack. During this period, the fair operates the following equipment:

- 1 151 tooth 18'6" wide roller harrow with rear axle
- 1 10' wide cutting harrow
- 1 John Deere 3840 tractor
- 1 2755-4WD tractor – Starting Gate
- 1 Sterling water truck, 5,000 gallons
- 1 Harrington Rock Picker

Track preparation takes place prior to opening, and after morning workouts.

All of the aforementioned procedures are performed in conjunction with the Track Safety and Maintenance Program, managed by the California Authority of Racing Fairs. Prior to the meet, Track Master provides consulting services and, starting with track preparation, provides all supervision and technical assistance until the meet is over. CARF will be providing the track equipment during this same period.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Richard Conway
Print Name

Richard Conway
Signature

General Manager
Print Title

5-14-14
Date

STAFF ANALYSIS
June 19, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II AT GOLDEN GATE FIELDS AUGUST 15, 2014 THROUGH SEPTEMBER 14, 2014

PRA II filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields:

- August 15, 2014, through September 14, 2014, or 19 days; the same as 2013. PRA II proposes to race a total of 158 races, or 8.30 races per day. The average daily purse for the 2013 race meeting was \$147,557. The (estimated) average daily purse for this meet is \$146,710.

August - 2014							September - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat	Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1				2	3	4	5	6
2	3	4	5	6	7	8	7	8	9	10	11	12	13
9	10	11	12	13	14	15	14	15	16	17	18	19	20
16	17	18	19	20	21	22	21	22	23	24	25	26	27
23	24	25	26	27	28	29	28	29	30				
30	31												

- Racing Friday through Sunday with the exception of racing Thursday, August 28th, Monday, September 1st, Wednesday, September 3rd, and Thursday, September 11th.
- 7 races on Wednesdays and Thursdays, 8 races on Fridays except on August 29, 2014 and September 5, 2014 where 7 races will be conducted, 9 races on Saturdays and Sundays and Monday September 1, 2014. If horse population permits may run additional races, not to exceed an 8.3 average per day for the race meeting. Administrative approval will be requested if plans develop to exceed this amount.
- First post 1:15 p.m. daily
 - 3:45 p.m. post on Fridays.
 - Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering will not be offered.
 - \$2 - Daily Double on all races- 18% takeout
 - \$1 - Pick 3 on all races; \$1 Trifecta on eligible races; \$.10 Superfecta on all eligible races
 - \$.50 - Pick 4 on first four races and last 4 races
 - \$.50 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

- \$1- Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- \$.20 - PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

PRA II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) PRA II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The PRA II \$100,000 surety bond currently on file will expire August 17, 2014; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, and Watch and Wager.com, LLC.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.

- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Bond expires August 17, 2014 (Update required)
2. TOC's agreement for an 18% takeout for the \$2 Daily Double
3. Finance Director needs license
4. Controller license expires June 2014
5. Starter's license will expire July 2014
6. Placing Judge not listed

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association II**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
calvin.rainey@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file.**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars. **Bond on file will be renewed August 15, 2014**

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **August 15, 2014 through September 14, 2014**

B. Actual dates racing will be held: **August 15-17, 22-24, 28-31; September 1, 3, 5-7, 11-14**

C. Total number of days or nights of racing: **19 days**

CHRB CERTIFICATION

Application received: **5-15-14**
Deposit received:
Reviewed: **(2/11)**

Hearing date: **6-19-14**
Approved date:
License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Fridays through Sundays

Exceptions: Racing on Thursday 8/28/14, Monday 9/1/14, Wednesday 9/3/14, and Thursday 9/11/14

- E. Number of days or nights of racing per week: **3 days per week except as set forth in Item D above**

3. RACING PROGRAM

- A. Total number of races: **158**
- B. Number of races for each day or night: **8.3 will be the average throughout the meet. We propose to conduct seven (7) races on Wednesday and Thursdays; eight (8) race on Fridays except August 29th and September 5th where seven (7) races will be conducted; nine (9) races on Saturdays, Sundays, and Monday September 1st. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.3 races per day for the meet. If we plan to exceed this amount, we will request administrative approval.**
- C. Total number of stakes races: **1**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Stakes schedule attached along with comparative stakes schedule from 2013 and 2012
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Post Time All days except Fridays August 15, 22, 29	Post Time on Fridays August 15, 22, 29
1st	1:45 p.m.	3:45 p.m.
2nd	2:15 p.m.	4:15 p.m.
3rd	2:45 p.m.	4:45 p.m.
4th	3:15 p.m.	5:15 p.m.
5th	3:45 p.m.	5:45 p.m.
6th	4:15 p.m.	6:15 p.m.
7th	4:45 p.m.	6:45 p.m.
8th	5:15 p.m.	7:15 p.m.
9th	5:45 p.m.	7:45 p.m.
10 th when applicable	6:15 p.m.	8:15 p.m.

Request the option to change post time schedules in order to coordinate North/South signals

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and

PACIFIC RACING ASSOCIATION II
GOLDEN GATE FIELDS
STAKES SCHEDULE
COMPARISON
(Attachment 3D)

Summer Stake 2014

Rolling Green (Overnight Stake)
Purse: \$50,000 Added
(Plus \$15,000 to Cal-Breds)
Three Years Old and Upward
1 1/16 Turf
Date: Monday, September 1, 2014

Summer Stake 2013

Rolling Green (Overnight Stake)
Purse: \$50,000 Added
(Plus \$15,000 to Cal-Breds)
Three Years Old and Upward
1 1/16 Turf
Date: Monday, September 2, 2013

Summer Stake 2012

NO STAKE RACE OFFERED

Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

1. Registered name of the corporation: **Pacific Racing Association II**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **3481225**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Scott Daruty, (Director), 0 Shares
Tom Ludt, President (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer), 0 Shares
Peter W. Tunney, Executive Vice President (Director), 0 Shares
Jane Lynn, Assistant Secretary (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo, Controller (Officer), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments) Inc.**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that

hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**

15. Number of outstanding shares in the corporation:

16. Are the shares listed for public trading? Yes No

If yes, on what exchange and how the stock is listed: **Not applicable**

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**

2. State where articles of organization are filed: **Not applicable**

3. Registry or file number for the LLC: **Not applicable**

4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**

5. Are the shares listed for public trading? Yes No

If yes, on what exchange and how the stock is listed:

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation: **Not applicable**

8. State where articles of organization are filed: **Not applicable**

9. Registry or file number for the LLC: **Not applicable**

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**

11. Are the shares listed for public trading? Yes No

12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**

2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file**

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.

- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman
Tom Ludt, President
Jane Lynn, Finance Director & Assistant Secretary
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Peter W. Tunney, Executive Vice President
Gina Lavo, Vice President, Finance
Calvin Rainey, Vice President and Assistant General Manager
Merry Scalzo, Director of Administration
Adam Njaa, Controller
Jerry Aldoroty, Director of Hospitality and Sales
Bryan Wayte, Mutuel Manager
Tom Ferrall, Publicity Manager
Bob Hemmer, Director of Operations
Dan Cirimele, Senior Director of Marketing
T.W. Johnson, Security Manager
Michael Wrona, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin Rainey
Vice President and Assistant General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes

No

Wager(s) to be adjusted: **Pick 5**
Daily Double

Proposed percentages: **14%**
18%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Pick 5 letter attached; Daily Double letter will be forward to Board upon receipt**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013 (8/16 – 9/15/13) PRA II	\$ 6,720,615.20	48,770 (19 live race days)
2012 (8/17 – 9/16/12) PRA II	\$ 7,086,461.80	48,491 (17 live race days)
2011 (8/19 – 10/2/11) LATC @ PRA	\$ 10,322,620.20	65,727 (22 live race days)
2010 (8/25 – 10/3/10) LATC @ PRA	\$ 10,489,837.80	63,493 (27 live race days)
2009 (9/9 – 10/4/09) CARF	\$ 6,830,036.30	35,766 (17 live race days)
2009 (8/12 – 8/23/09) CARF	\$ 3,935,248.80	19,200 (10 live race days)

- 7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**
Prior meet represents August 16 through September 15, 2013 (19 days)

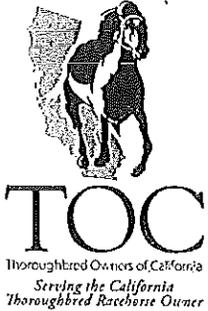
A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$2,445,034.00
 Prior meet actual: \$2,446,516.04

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$128,686.00
 Prior meet actual: \$128,764.00

- 2. Overnight stakes:
 Current meet estimate: \$50,000.00
 Prior meet actual: \$50,000.00

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$2,631.58
 Prior meet actual: \$2,631.58



May 2, 2014

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA II) Summer 2014 Meet

DIRECTORS

BOB BAFFERT
MARK DEDOMENICO
MIKE HARRINGTON
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
BILL STRAUSS
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming race meet from August 15 through September 14, 2014. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Golden Gate Fields

May 15, 2014

Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Jackie:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association II (PRA II), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA II Summer race meet, August 15, 2014 through September 14, 2014.

- Pick 5 -- a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- Rolling Daily Double - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 18%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRAII's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & Assistant General Manager

cc: Joe Morris

- 3. Non-overnight stakes:
 Current meet estimate: **\$0.00**
 Prior meet actual: **\$0.00**

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: **\$0.00**
 Prior meet actual: **\$0.00**

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: **\$2,495,034.00**
 Prior meet actual: **\$2,496,516.04**

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: **0%**
 Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: **0%**
 Prior meet actual: **0%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: **\$205,370.00**
 Prior meet actual: **\$214,128.82**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
TOC (NTRA contribution)	\$35,861.89	TOC (NTRA contribution)	\$38,270.23
TOC	\$20,492.51	TOC	\$21,868.70
CTT (Administration)	\$10,246.25	CTT (Administration)	\$10,934.52
CTT (Pension)	<u>\$20,492.51</u>	CTT (Pension)	<u>\$21,868.70</u>
Total	\$87,093.16	Total	\$92,942.15

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: **\$2,787,497.16**
 Prior meet actual: **\$2,803,587.01**

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: **\$146,710.38**
 Prior meet actual: **\$147,557.21**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :
 Current meet estimate: **\$1,853,534.00**

Prior meet actual: **\$2,002,073.66**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$97,554.42**

Prior meet actual: **\$105,372.30**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$542,437.00**

Prior meet actual: **\$528,041.69**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$28,549.32**

Prior meet actual: **\$27,791.67**

H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo PRA Account # on file

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8 STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**

B. Minimum number of stalls believed necessary for the meeting: **2,000**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

California Stabling & Vanning 2014 extension agreement on file

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Stabling costs for this meet: \$263,088.00
Stabling costs per day per stall: \$9.21
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
Vanning fees for this meet: \$15,675.00 **Vanning fee per horse: \$75.00**

9 PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1, 1976.9 ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4;	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #7	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1977; 1979; 1979.1
Race #9	\$2 DD; \$1 E; \$1 TRI; \$.10 SF;	CHRB#1957; 1959; 1979; 1979.1;
Race #10	\$2 DD; \$1 E; \$1 TRI; \$.10 SF; Super H5	CHRB#1957; 1959; 1979; 1979.1; ARCI #004-105 Subsection X Pick (n) Position (x) Pools)

\$2 DD Rolling Daily Double on all races. 18% takeout.

\$1 PK3 - Pick 3 on all eligible races`

\$1 TRI - Trifecta on all eligible races

\$.10 cent SF - Superfecta on all eligible races

\$.50 PNP4 - Pick 4 on first four and last four races

\$.50 PNP5 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five

winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.
 \$1 Pentafecta (Super High 5) in the last race if the day only selecting the first five finishers in a same race.
 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
 \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

- A. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 14, 2014 for the period of August 15, 2014 through September 14, 2014**
- C. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- D. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: September 2015**

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
Xpressbet
TwinSpires
TVG
WatchandWager.com, LLC
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
 If yes, attach a copy of the approval. **On file**
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
River Downs	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Original Roadhouse Grill, Santa Maria; Sammy's, Lake Forest; Santa Clarita Lanes, Santa Clarita; and The Mermaid Tavern, Thousand Oaks**
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": See 11D above. **Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For ALL racing associations, list imported simulcast races the association plans to receive which

**Pacific Racing Association II Summer Meet 2014
Commingled Locations**

AmWest Entertainment	Cypress Bayou OTB	Maronas (South America)	Saratoga Raceway
Amwest Accounts	Delaware	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbriar Resort	Delta Downs	Maywood	Scarborough
Riders Up (SD)	Dover Downs	Maywood ADW	Scioto Downs
Time Out Lounges (SD)	Ebat	Meadowlands	Seabrook Greyhound
Triple Crown (SD)	Elite Turf Club (1 to 10)	Meadows The	Social Gaming
Arapahoe-Mile High	Ellis Park	Meadows The (ADW)	Sol Mutuel
Colorado phone	Emerald Downs	Millers OTB	Southland Greyhound
Arma Race Club	Evangeline Downs	Mobile Greyhound	Sports Creek Raceway
Arlington I	Evangeline ADW	Monmouth Park	State Fair (Lincoln)
Atlantic City Racecourse	Fair Grounds	Montana OTB	Suffek District OTB
Atokad	Fair Grounds ADW	Monticello	Suffolk Downs
Balmoral	Fair Meadows	Mountaineer Park	Pat's Pizza
Balmoral ADW	Finger Lakes	Mt. Pleasant Meadows	Sunland Park
Bangor Raceway	Fonner	MTP Bets	SunRay Park & Casino
Batavia	Freehold	Nassau OTB	Tampa Bay Downs
Beltor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Accl Wager
Beulah Park	Greenetrack	New Jersey Casino Assoc.	Taunton Dog Track
Birmingham	Greyhound @ Post Falls	Newport Jal Alai	The Downs at Alb
Bluffs Run Grayhound	Gulf Greyhound	NJ Mobile	Thistledown
Buffalo Raceway	Gulfstream Park	Northfield	Tioga Downs
Calder Racecourse	Global Wagering Solutions		Tri-State GH (Mardi Gras)
Centerbury Day	Bwin International Ltd.	Northville	Turf Paradise
Capital District OTB	Intl Betting Assoc. Ltd	NYRA	Turfway Park
Catskills OTB	Magna Bet	NYRA Account Wagering	TVG Harrah's Chester
Charles Town Race Course	Racebets	Oaklawn	TVG Network
Chester Downs & Marina LLC	Harrington Raceway	Ocean Downs	TVG Prairie
Churchill Downs	Hawthorne Race Course	Panama	TVG Yonkers
Club Hípica InTurf	Hawthorne ADW	Penn National	Twin River Greyhound
Coeur d'Alene Casino	Hazel Park	Penn National Telebet	TwinSpres
Coeur d'Alene Account	Hoosier Park	Peru	TwinSpres High Volume
Colonial Downs	Horseman's Park	Philadelphia Park	Velocity Wagering Ltd.
Colonial Downs Phone Bet	Indiana Downs	Philly Park Phone Bet	Venezuela OTB
Columbus Raceway	Evansville OTB	Plainridge Race Course	Vernon Downs
Connecticut OTB	Clarksville OTB	Plainridge Telephone Wagering	WatchandWager
Bradley Teletheater, Bristol	Intermountain Racing	Player Mgmt. Group	Western OTB
New Britain, Norwalk, Milford	IP Tote (Venezuela)	Pocono Account Wagering	Wheeling Downs
East Haven, Hartford, Putnam	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Shoreline Star, Sports Haven	Keeneland Select ADW	Portland Meadows	Wyoming
Torrington, Waterbury	Kentucky Downs	Potawatomi Casino/ OTB	XpressBet
Manchester, New London	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Wilfmanatic	Lebanon	Premier Turf Club	Youbet Group 1
John Martin's Manor Restaurant	Les Bols (Treasure Valley)	Presque Isle Downs	Youbet Illinois
CT. Account Wagering	Lewiston OTB's	Raceway Park	Zia Park
Coushatta Casino	Lien Games	Racing2Day LLC (US)	
Paragon Casino	Chips Lounge and Casino	Racing2Day (Stan James) International	
Ho-Chunk Casino and Racebook	Howard Johnsons OTB	Remington Park	
Mohegan Sun Casino	Rumors OTB		Bordertown OTB's
Oneida Bingo and Casino	Skydancer Casino OTB	Retama	
Pony Bar Simulcast Center	BetAmerica / Offtrackbetting	Racing & Gaming Services	
Tote Investment Racing	Lone Star	Ripley de Venezuela (Caliente)	Separate Pool Locations
Randall James Racetrack	Louisiana Downs	River Downs	Camarero (Puerto Rico)
Millenium Racing	LVDC	Rockingham Park	Caymanas (Jamaica)
Royal Beach Casino	Atlanta's Paradise Casino	Rockingham Account	MIR Books (Caliente)
Divi Carina Bay Casino	Avatar Ventures	Ruidoso Downs	NDS (Nevada)
Fair Chance	Buffalo Thunder Resort	Running Aces Harness Park	
Winners Circle	Foxwoods Resort Casino	Saddle Brook Park	
Corpus Christi Greyhound	Meskwakl Bingo & Casino	Sam Houston	
			Valley Greyhound Park

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie, Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawatha, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution
- B. Names and addresses of the trustees or directors of the distributing agent:
Pacific Racing Association II, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian)
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Clerk of Scales	Diane Isbell, D.V.M. (Examining Veterinarian)
Assistant Clerk of Scales	Ken Sjoldal
Clerk of the Course	Ross Allardyce
Film Specialist/Patrol Judge	Tina Walker-Bryant
Horse Identifier	Ella Robinson
Horseshoe Inspector	Darrell Sparks
Paddock Judge	Butch Fyllingness
Patrol Judge/Steward's Aide	Linda Anderson
Placing Judge/Stakes Coordinator	Tammy McDuffie-Morris
Placing Judge	Chris Merz
Starter	TBD
Timer	Todd Stephens
	Pedro Mercado

B. Management officials in the racing department:

Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Patricia Prospero

Others (identify by name and title)

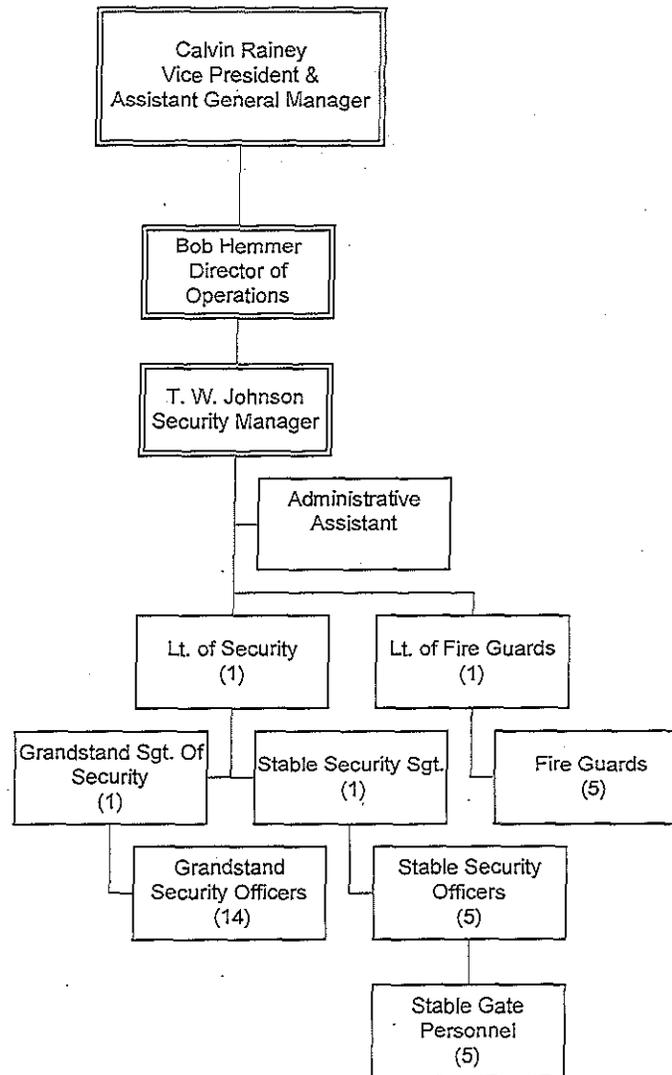
Main Track Superintendent	Juan Meza
Turf Track Superintendent	Calvin Rainey
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
T.W. Johnson, Security Manager is responsible for security on-site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
T.W. Johnson cell phone number (510) 418-3161

PACIFIC RACING ASSN II / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 14A



B. Estimated number of security guards, gatemmen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

1 – Security Manager	14 – Grandstand Security Officers
1 – Security Lieutenant	5 – Stable Security Officers
1 – Security Sergeant	5 – Stable Gate Personnel
1 – Stable Security Sergeant	5 – Fire Guards
1 – Fire Guard Lieutenant	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Not applicable - no stakes races over \$100,000 or graded stakes**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

E. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras in place within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities coming

and going at the Main Stable Gate, one (1) camera located on roof of Stable Security Office looking out into barn area, and one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and two (2) cameras scheduled to be installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification documentation attached – 2 EMT's ambulance staff; letter on file with the Board referencing current practices at our auxiliary training facility at Pleasanton

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204.

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center, 1411 East 31st Street, Oakland, CA 94602 (510) 437-4800**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

December 30, 2013

California Horse Racing Board
1010 Hurley Blvd. Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2014

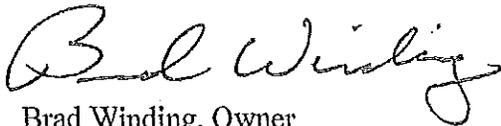
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

December 30, 2013

Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2014 race meeting.

It is further mutually understood and agreed that all the terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$44.50 per hour subject to change in accordance with insurance premiums.

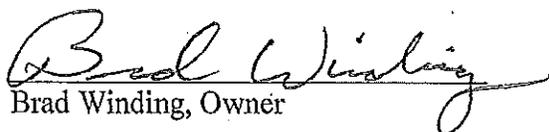
ACCEPTED:

PACIFIC RACING ASSOCIATION

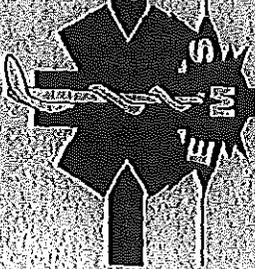


Calvin Rainey, Vice-President and Assistant General Manager

TURF RESCUE AMBULANCE SERVICE



Brad Winding, Owner



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

Division of the Alameda County
Public Health Department

NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2012** to **December 16, 2014**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

[Signature]
Karl Spores, MD
Interim Alameda County EMS Director

[Signature]
Karl Spores, MD
Alameda County EMS Medical Director

An emergency call should always be made.



March 12, 2014

Christopher A. Seymour
Westmed Ambulance Service
2424 Whipple Rd.
Hayward, CA, 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at cseymour@westmedambulance.com.

Best regards,

A handwritten signature in black ink that reads "Christopher A. Seymour".

Christopher A. Seymour EMT-P, BA



GOLDEN GATE FIELDS

Description of GGF Medical Clinic (Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA
-DURANTE LAS CARRERAS-
PARA HERIDAS A JINETES EN LA PISTA**

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en Inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down"); *(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond).*



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") *(o tal vez el equipo de la ambulancia presencia el accidente directamente)*;
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany *(también podrá actuar un camión del Departamento de Bomberos)*.



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Calvin Rainey, Vice President & Assistant General Manager serves as Assistant Safety Manager

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Berkeley fire clearance letter attached valid through August 2015
Albany fire clearance letter attached valid through December 31, 2014
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Liberty Mutual Insurance Co. (insurer) and Liberty Mutual Fire Insurance Co. WA2-B7D-170912-013 (policy no.)
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached – Renewal COI will be issued before June 30, 2014 and forwarded to Board upon receipt

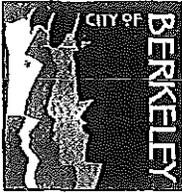
NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Tip Sheets West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
- Armored Car Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
- Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
- Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
- Jockey's Laundry F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566
- Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
- Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No



Department of Fire and Emergency Services
Division of Fire Prevention

Date: 1 May 2014

Merry Scalzo
Director of Operations
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables

On April 24, 2014 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 24, 2015. Our fire life safety report indicates that there are no outstanding violations noted or corrections need to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
11000 Eastshore Highway
Berkeley, CA 94710

April 29, 2014

Mr. Roenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on April 15, 2014. A few minor issues were noted. Previous inspection issues have been corrected. Work orders for these current items have been issued and their compliance will be verified in a future inspection. All fire and life safety system services and inspections in place at this facility are current.

The policies and procedures, along with a trained staff used in this facility allow me to feel confident in granting Golden Gate Fields/Pacific Horse Racing Association a Fire Clearance to continue operations. This Fire Clearance will expire December 31, 2014. At which time a new clearance will need to be applied for. Should you have any further need of our service, please do not hesitate to contact me.

Yours sincerely,

Bill Svozil
Fire Marshal
City of Albany

Certificate of Insurance

No.: 2013-189-REV-1

Dated: March 03, 2014

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:
 Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-11	Jun 30, 2013 to Jun 30, 2014	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-013	Jun 30, 2013 to Jun 30, 2014	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-11, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-013, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
 Toronto, ON M5J 2S4
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
2014 Marketing Plan on file with the Board; Summer Promotional schedule attached (Subject to Change)

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Attached**

C. Number of hosts and hostesses employed for meeting:

1 Customer Service Representative

5 Group Sales Representatives

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Installed two (2) new gap gates to better enhance horse safety
- Completed the first phase of the NTRA Safety re-accreditation program on April 4th & April 5th; final phase scheduled on April 25th and April 26th
- Built custom fence from Paddock to Equine Hospital
- Repairing Walk-In Gate and area where Horsemen watch morning workouts
- Replaced 50+ fire hoses, cabinets, and signage in barn area to enhance fire safety
- Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area
- Adding two (2) surveillance cameras to the barn area Walk-In gate
- Completed the Spring maintenance to the Tapeta surface in March 2014
- Replaced/repaired 12 barn roofs caused by winter storm damage
- Held annual Groom's Christmas party in the Turf Club in December 2013
- Painted various tack rooms in the barn area
- Worked with Bay Alarm to ensure that our current barn area alarm system is up-to-date and compliant
- New fence adjacent to Jockey's Room replaced after it was blown down in November wind storm
- Continual roadway and gutter repairs in the barn area

2. Fans

- Architect and designer team at Golden Gate Fields to employ the same Santa Anita changes

2014 Golden Gate Fields Summer Meet Promotions Calendar
August 15 through September 14, 2014

August 2014:

Aug 15: Happy Hour Racing
Senior Friday

Aug 17: Dollar Day: Hispanic Heritage Day

Aug 22: Happy Hour Racing
Senior Friday

Aug 24: Dollar Day Racing

Aug 29: Happy Hour Racing
Senior Friday

Aug 31: Dollar Day Racing

September 2014:

Sept 1: Labor Day Racing: Wiener Nationals

Sept 5: Senior Friday

Sept 7: Dollar Day Racing

Sept 12: Senior Friday

Sept 14: Dollar Day Racing

2013 Golden Gate Fields Summer Meet Promotions Calendar
August 16, 2013 – September 15, 2013

August 2013:

- Aug 16: Happy Hour Racing
Senior Friday
- Aug 17: Wiener Wars: Wiener Dog Racing
- Aug 18: Dollar Day Concert
- Aug 23: Happy Hour Racing
Senior Friday
- Aug 24: Wiener Wars: Wiener Dog Racing
- Aug 25: Dollar Day Concert
- Aug 30: Happy Hour Racing
Senior Friday
- Aug 31: Wiener Wars: Wiener Dog Racing

September 2013:

- Sept 1: Dollar Day Concert
- Sept 2: Wiener Nationals
Giveaway Day: River Rock Casino Sponsored Item
- Sept 6: Senior Friday
- Sept 7: Wiener Wars: Wiener Dog Racing
- Sept 8: Dollar Day Concert
- Sept 13: Senior Friday
- Sept 14: Wiener Wars Championship: Wiener Dog Racing
- Sept 15: Dollar Day Concert / Heroes & Horses Law Enforcement Appreciation Day

Golden Gate Fields Marketing Budget: Summer 2014

Advertising: \$181,650

Hispanic Heritage Day Concert: \$8,000

Wiener Dog Races: \$1,200

Total Marketing Budget: \$190,850

Golden Gate Fields Marketing Budget: Summer 2013

Advertising: \$180,483

Summer Concert: \$25,000

Wiener Dog Races: \$1,200

Total Marketing Budget: \$206,683

- Improving our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Upgraded the Paddock Pub; added 20 televisions, re-faced the Paddock Pub Bar, and re-painted the entire Paddock Pub
- Added flat screen televisions in the Turf Terrace
- Added four (4) ATM machines in facility to replace outdated equipment
- Continuing with new wagering format during the Summer meet; changed our Daily Doubles to Rolling Daily Doubles offered in each race
- New tip sheet vendor, West Coast Wheelie's, has proven successful and popular with our fans

3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$3.00 (Free with Thoroughbreds card)
Parking (general)	\$5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$2.50
(off-track)	\$2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$6.00
11-20 Visits	\$4.00	11-20 Visits	\$5.00
21-30 Visits	\$3.00	21-30 Visits	\$4.00
31-40 Visits	\$2.00	31-40 Visits	\$3.00
Over 40 Visits	\$1.00	Over 40 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00

Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

- C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

19. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

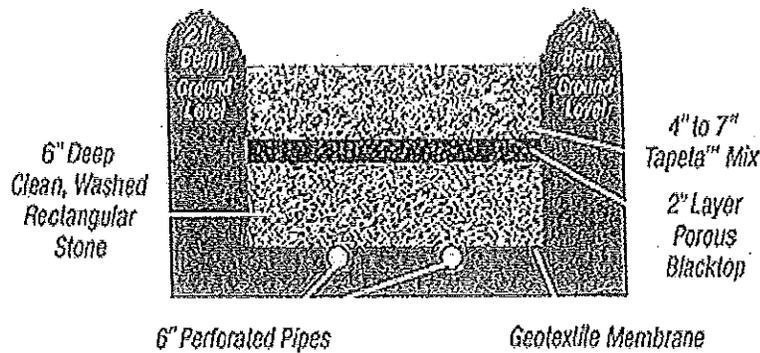
20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta ® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Juan Meza
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
On file
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE REPORT REGARDING THE
ALLOCATION OF 2014 RACE DATES TO THE LOS ANGELES COUNTY FAIR AT
POMONA AND THE PROPOSAL TO RUN THE RACE DATES AT THE LOS ALAMITOS
RACE COURSE.

Regular Board Meeting
June 19, 2014

ISSUE

Los Angeles County Fair Association is requesting to move its 2014 summer fair meet to Los Alamitos Race Course in Orange County. The proposed move has raised a number of concerns, including: 1) the need for legislation authorizing such a move; 2) whether the Los Angeles County Board of Supervisors would oppose the move; 3) the financial terms of any agreement; and 4) issues surrounding the stabling of horses.

STAFF ANALYSIS

Chairman Winner and other commissioners raised a number of issues at the April 24, 2014 Regular Board Meeting that they wanted addressed by stakeholders prior to the Board taking any action on the proposal. Foremost was the concern that moving Los Angeles County fair dates to another county might require legislation. The industry subsequently addressed that concern by sponsoring SB 721. LACFA and Los Alamitos representatives will be prepared to report on the status of SB 721 at this meeting.

Another concern was that closing Fairplex might reduce the number of stalls available to thoroughbreds in Southern California to a number less than might be required for the circuit to operate effectively. Joe Morris of the Stabling and Vanning Committee is expected to report on a decision by the committee to extend the agreement for Fairplex to operate as an auxiliary training facility through November 5, 2014. Mr. Morris also will report on financial matters pertaining to that agreement.

The Board also sought assurances that the move to Orange County was not opposed by the Los Angeles County Board of Supervisors. Stakeholders are prepared to report on that matter as well. LACFA and Los Alamitos representatives also will be expected to explain whether they have reached a binding written agreement between themselves relative to these dates and any future dates.

BACKGROUND

The California Horse Racing Board (CHRB/Board) approved the 2014 racing calendar for thoroughbred racing in Southern California at its October 26, 2013, Regular Meeting. Fairplex Park in Pomona, operated by the Los Angeles County Fair Association (LACFA), was allocated its historic three weeks of racing (September 4 – 23). Prior to the April 24, 2014 Regular Board Meeting at Cal Expo there had been numerous reports in the news media about the possibility

that LACFA would request to move its 2014 summer fair meet to Los Alamitos Race Course in Orange County. LACFA and Los Alamitos executives had briefed the CHRB chairman and executive director on these discussions. The matter was placed on the April 24, 2014 Regular Board Meeting agenda to inform the other racing commissioners of these plans and to allow for a full public discussion of the issues. The matter was agendaized for discussion only.

Two LACFA executives – Chief Executive Officer James Henwood and Chief Financial Officer Mike Seder – along with Los Alamitos representative Brad McKinzie addressed the Board and responded to questions. Joe Morris, president of the Thoroughbred Owners of California (TOC) and chair of the Stabling and Vanning Committee, joined in that discussion by stating the TOC's support for the proposal. At the conclusion, CHRB Chairman Chuck Winner indicated the matter would be brought back before the Board for possible action at its May 22, 2014 Regular Meeting at Santa Anita Park. However, it was then announced at the May 22, 2014 Regular Board Meeting that the matter was being rescheduled for the June 19, 2014 Regular Board Meeting at Los Alamitos.

RECOMMENDATION

This item is presented for Board discussion and action.

FAIRPLEX

May 7, 2014

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Commissioners,

The Los Angeles County Fair Association ("LACF") and Los Alamitos Race Course ("Los Al") have asked the Board to approve LACF conducting its fair race meet for its allocated 2014 and 2015 racing dates at Los Al so that the fair race meet can take advantage of the superior facilities at Los Al.

This would not be the first time that Fair racing was held at Los Al. From 1977 to 1991, the Orange County Fair conducted a fair race meet at Los Al which was some distance from its fairgrounds, but subsequent to 1991, the Orange County Fair decided not to conduct further race meets.

The California Horse Racing Board ("CHRB" or "Board") has unquestioned authority to relocate the LACF race meets to Los Al. Business and Professions Code sections 19401, 19420 and 19440 grant the Board this authority as part of its expansive authority over race meets and licensing. Sections 19430 and 19480 provide that dates for a race meet are to be allocated if that allocation is in the public interest and racing licenses are to be issued on the same basis. Moreover, the Board's own interpretation of these statutes, found in Section 1430 of the Board's Rules and Regulations, specifically states that the CHRB shall allocate racing dates "at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law." (Emphasis added.) Specifically, Rule 1430 contemplates a possible of change of location for previously allocated racing dates. It would be hard to find a more compelling statement of the Board's authority to determine race dates and locations than the Board's own interpretation of the Law as embodied in its Regulations.

Both LACF and Los Al believe that permitting LACF to conduct its 2014 and 2015 race meets at Los Al is in the best interest of the public, fair racing, and the Southern California racing circuit, and we urge the Board to make such determination. At the urging of Thoroughbred racing industry stakeholders, the Board has for the first time allocated Thoroughbred racing dates to Los Al for 2014 and 2015 and that facility has been upgraded to accommodate first-class Thoroughbred racing. At

the same time, the future of fair racing at LACF is bleak in part due to the condition of its racing facilities. The opportunity for LACF to conduct racing at the newly expanded and renovated Los Al facility would be a boon to the public, to fair racing, and to the Southern California racing circuit.

As a result of being allocated 2014 and 2015 Thoroughbred racing dates, Los Al has made a significant investment in upgrades to enhance its already more than adequate facilities, which are used on a year-round basis. This includes the creation of a premiere one-mile racetrack, expansion of its stabling area and enhanced consumer amenities for guests and race fans. These investments have created a greatly improved venue for training, stabling and racing which could include fair racing. The improvements have resulted in Los Al being recognized as a top quality Thoroughbred facility as demonstrated by the fact that leading trainers like Bob Baffert, Martin Jones, Mike Harrington, Brian Koriner and Art Sherman have all chosen to train horses at Los Al.

LACF's facilities are marginally adequate for "fair racing," but not for Thoroughbred racing at the highest level. LACF does not have the capital and financial resources necessary to upgrade its existing facilities to a premier level. The costs of such necessary upgrades, including expenses associated with necessary compliance with the Clean Water Act which would be required for year around use, have been previously reviewed and evaluated, and are estimated to be \$40 million or more. Even if the necessary capital was available, such an investment could not be economically justified.

Finally, despite LACF's significant efforts in recent years to attract increasing numbers of fair patrons to the race track, and thereby bolster grandstand racing attendance, which is free, on-track handle in the grandstand has steadily declined over the years. For example, over the last seven years, handle in the grandstand has declined by 66%. During the past five years, grandstand handle decreased by 35%, while total LACF attendance grew by 5%.

Last year, handle generated in California on races conducted at LACF decreased by 12% compared to 2012. Out of state handle in 2013 was down 29% compared to 2012. Last year, out of state handle at Santa Anita, Hollywood Park and Del Mar was up by 10%. In 2013, LACF for the first time faced direct competition for its wagering signal from Churchill Downs, Gulfstream, and Kentucky Downs. It was apparent that out of state bettors shunned wagering on races conducted at LACF's five-eighths of a mile "bull ring" track in favor of races at these other facilities, along with traditional competition on one-mile tracks at Arlington, Belmont and Woodbine.

The decrease in overall LACF handle during the past few years has resulted in substantial purse overpayments. If the 2014 race meet was conducted at LACF, LACF would be forced to lower its purses levels because of the declining handle trend and prior year overpayments, thereby further decreasing the potential to attract premiere horses and trainers.

In sum, the transfer of the location of the LACF 2014 and 2015 race meets from Pomona to Los Al is in the best interests of the public, fair racing, and the Southern California racing circuit for the following reasons:

1. Los Al has a new one-mile racetrack that has been well received by owners and trainers. Training and racing over a mile track is preferable to LACF's five-eighths of a mile track that is sometimes characterized as a "bull ring."
2. Unlike at LACF (scheduled to close as an offsite training facility on July 10, 2014), horses are stabled on a year-round basis at Los Al and their barn area is considered to be much better than the facilities at LACF. Horses stabled on-site are more likely to compete at a Los Al race meet than at LACF.
3. It is likely that purses at Los Al will be higher than at LACF because of increased potential handle. Handle is expected to be higher because:
 - a. Racing would be conducted on a one-mile track instead of five-eighths of a mile track.
 - b. Los Al is perceived as a major league racetrack by out of state bettors, in part resulting from the recent national publicity about Los Al being the home of Kentucky Derby winner California Chrome.
 - c. The population and demographics of Orange County represent a fertile new market of opportunity. Nearly \$1.8 million was wagered at Los Al this past Derby Day, as compared to just over \$705,000 at Fairplex.
 - d. Nationally recognized trainers have their horses stabled at Los Al, and it is likely their participation in races at this venue will further validate Los Al as a major racetrack and viable replacement for Hollywood Park.
4. It is likely that the "racing product" will be better at Los Al than at LACF because:
 - a. Racing would be conducted on a one-mile track instead of five-eighths of a mile track.
 - b. Higher anticipated handle would result in higher purses, and higher purses attract more and better horses.
 - c. Los Al would be able to write more races of interest to the wagering public.
 - d. Stronger field size due to increased participation at the entry box because some horses are permanently stabled at Los Al.
 - e. Potential increased participation of nationally recognized trainers at Los Al than would be possible at LACF. At Los Al's eight day Summer Race meet, more than \$1 million will be offered in stakes, including the \$500,000 Los Alamitos Derby on Saturday, July 5th.

5. It is likely that there will be higher on track attendance at Los Al than at LACF, where on-track attendance has been steadily declining over the years because:
 - a. Surrounding population and demographics of Orange County presents new market opportunities.
 - b. Los Al on-track facilities are superior to those at LACF.
 - c. More moderate climate and temperatures at Los Al in September.
 - d. Ability to attract a better racing product at Los Al.
6. Additional weeks of racing at Los Al will strengthen Los Al's position in thoroughbred racing, and allow them to fuel further improvements.
7. By relocating the LACF race meets from Pomona to Los Al, necessary space would be freed up to allow for a major expansion and enhancement of LACF's off-track wagering facility, the Finish Line. It is likely that an expanded Finish Line will result in significant increase in its annual handle, and the increased track and purse commissions generated will benefit Southern California racing as a whole.
8. Los Al will be able to market the live Thoroughbred racing experience in its mostly untapped market area (Orange County), and thereby have the opportunity to increase Thoroughbred racing's fan base.

As for staffing at LACF, approximately 250 staff members are employed on a year round basis and these employees would *not* be impacted by live racing ceasing at LACF. This includes union trade members working throughout the grounds, along with the full time union employees working at the Finish Line ITW. It is possible that employment in both of these areas will grow, as LACF reinvests in its grounds and its Finish Line ITW expansion. Those seasonal employees directly involved with racing would most likely be employed at Los Al, inasmuch as most follow the racing circuit. Given the nature of a larger facility and expected higher levels of attendance at Los Al, it is probable that there will be increased employment for these seasonal employees. LACF's employment of other seasonal employees not involved in racing should not be impacted by the shift of LACF racing dates.

Although the Board of LACF recognizes its long relationship with horse racing, it has concluded that consideration should be given to whether a new, expanded role as an off-track wagering facility (Finish Line) will better serve LACF and the California racing industry than its present dual role as a live racing venue and an off-track wagering site. If the transfer of the location of LACF's 2014 and 2015 race meets from Pomona to Los Al is not approved, LACF will be submitting its license application for the 2014 and 2015 race meets to continue to be conducted at its fairgrounds as it has done historically.

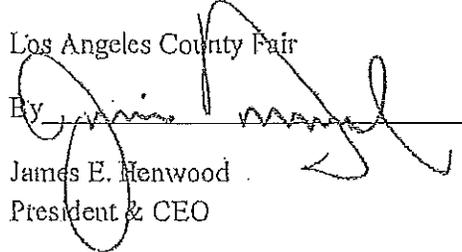
We have been advised that Santa Anita, Del Mar and the Thoroughbred Owners of California all support the shift of the location of LACF's 2014 and 2015 race meets to Los Al.

LACF and Los Al believe the transfer of the location of LACF's 2014 and 2015 race meets to Los Al creates significant benefit to the public, fair racing and the Southern California racing circuit for all the reasons set forth above. We urge the Board to make the necessary finding in order to enable it to authorize the relocation of LACF's 2014 and 2015 race meets to Los Al.

Respectfully submitted,

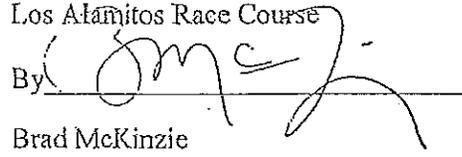
Los Angeles County Fair

By


James E. Menwood
President & CEO

Los Alamitos Race Course

By


Brad McKinzie
Vice President

Los Alamitos Race Course

By


Edward C. Allred
Owner, President & CEO

cc: Rick Baedeker, Robert Miller, Keith Brackpool, Joe Harper, Mike Ernst, Mike Pegram and Joe Morris

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE EXTENSION OF THE JUNE 2013
BOARD DECISION TO SUSPEND FOR TWELVE MONTHS
THE USE OF CLENBUTEROL BY ALL BREEDS AT ALL
CALIFORNIA RACE TRACKS, WHICH IS SET TO EXPIRE JULY 18, 2014

Regular Board Meeting
June 19, 2014

ISSUE

In June 2013, pursuant to the provisions Rule 1844.1, Suspension of Authorized Medications, the Board extended for twelve months its 2012 decision to suspend the use of clenbuterol by all breeds at all California Racetracks. The suspension of clenbuterol expires on July 18, 2014.

ANALYSIS

Board regulations prohibit the administration of any drug, substance or medication after entry time with a few specific exceptions. The exceptions were established after due consideration by the Board based on information available at the time the regulations were adopted. Scientific information and practical experience in managing the threshold levels for the exceptions change over time as unintended consequences become apparent. Clenbuterol in horse racing is an example. Clenbuterol is a beta-2 agonist approved by the United States Food and Drug Administration (FDA) in equines as a bronchodilator. However, evidence suggests clenbuterol is being used for its adrenergic effects on muscle. Clenbuterol is prohibited as an adrenergic agent by the World Anti-Doping Association.

The Board established threshold levels consistent with therapeutic use to treat small airway disease. Clenbuterol, like all beta-2 agonists, has an adrenergic effect on muscle somewhat similar to anabolic steroids. Only the Ventipulmin brand of clenbuterol is approved by the FDA. Non-FDA approved clenbuterol at extremely high concentrations was being used in quarter horse racing for the adrenergic effect. The CHRB confiscated and otherwise obtained samples of this illicit formulation. Clenbuterol violations occurred at levels unattainable with the FDA approved formulation at FDA approved dosages.

At the August 2011 Regular Board Meeting the Los Alamitos Race Course (LARC) and the Pacific Coast Quarter Horse Racing Association (PCQHRA) requested that the CHRB consider enacting Rule 1844.1 to suspend the authorized administration of clenbuterol to horses entered to race at the LARC for a period of 12 months effective October 14, 2011. The Equine Medical Director reported that since February 2011 there were eight confirmed clenbuterol violations, with one pending at the time of the August 2011 Board meeting. The Board enacted Rule 1844.1 and approved the joint request to temporarily suspend the use of clenbuterol in quarter horses entered to race at LARC, effective October 14, 2011 through October 14, 2012.

At the April 2012 Medication and Track Safety Committee meeting Dino Perez, representing the PCQHRA, reported on the impact of the clenbuterol suspension in quarter horses at LARC which took effect on October 14, 2011. Mr. Perez reported horsemen were pleased with the results, and owners and trainers believed they had a more level playing field. Qualifiers to stake races were more diverse, races were more competitive and from a broader group of trainers. He also said times slowed down quite a bit and were back to normal. Mr. Perez, in cooperation with California Authority of Racing Fairs (CARF), asked the Committee to send a proposal to the Board to enact Rule 1844.1, and extend the suspension of clenbuterol to all quarter horses at all CARF tracks during the fair season. The Committee agreed and the proposal to use Rule 1844.1 to prohibit the use of clenbuterol in quarter horses at all CARF tracks for one year was approved at the April, 2012 Regular Board Meeting.

At its May 24, 2012 Regular Meeting, the Board discussed the feasibility of suspending the use of clenbuterol for thoroughbred horses at all California racetracks. The Board determined it would use its authority under Rule 1406, Suspension of Rule, to temporarily suspend the use of clenbuterol by all breeds at all California racetracks for a period of twelve months, and to initiate a recommended 21-day withdrawal time.

Upon the recommendation of individual thoroughbred trainers and the chairman of the Medication and Track Safety Committee, the Board extended the suspension of authorization for clenbuterol at all tracks and for all breeds at its June 20, 2012 meeting after public discussion and industry comment. The suspension of the use of clenbuterol was accomplished under the authority of Board Rule 1844.1.

After the June 20, 2012 Regular Board Meeting the following advisory regarding the suspension and the recommended 21-day withdrawal time was posted on the Board's website. It was also forwarded to official veterinarians, racing associations, the horsemen's organizations and racing secretaries. The effective date of the suspension of the use of clenbuterol for all breeds was July 18, 2012; concurrent with the opening of the Del Mar Thoroughbred Club race meeting.

Effective July 18, 2012, authorization of a threshold level for clenbuterol as currently allowed under CHRB Rules 1844 (e)(9) and 1844(f) will be suspended for all breeds at all tracks in California. Accordingly, clenbuterol will be treated the same as all other drugs that are not specifically authorized by the Board. If the testing laboratory detects clenbuterol under the laboratory's standard operating procedures, the finding will be reported as a violation. The Maddy Laboratory has extremely sensitive testing for clenbuterol. The drug can be readily detected at low picogram levels in both urine and blood. A picogram is 0.000000000001 grams or one-trillionth of a gram.

A 21-day withdrawal time should safely avoid an inadvertent positive provided the clenbuterol is the FDA-approved Ventipulmin Syrup form of clenbuterol and it is used at the standard therapeutic dose of 5cc (0.8 micrograms per kilogram) twice a day. Higher dosages will require longer withdrawal times. Anyone using a non-FDA-approved form of clenbuterol does so at his or her own risk. The CHRB and Maddy Laboratory have obtained numerous samples of compounded clenbuterol and other non-FDA-approved clenbuterol formulations. None of the

samples examined have been compliant with federal compounding regulations. They are considered contraband by the CHRB. As with all withdrawal time recommendations, this recommendation for clenbuterol does not constitute and is not a guarantee, warranty, or assurance that the use of any of the therapeutic medications at the dosage listed will not result in a positive post-race test. Trainers are required to insure the condition of all horses they race and will be held accountable for any violation.

To be clear, clenbuterol is not "banned." The drug may be used within CHRB racing and training enclosures upon the prescription of a CHRB licensed veterinarian. Only FDA-approved Ventipulmin Syrup clenbuterol is allowed. Veterinarians prescribing and dispensing Ventipulmin are required to report the same on form CHRB-24 (Veterinary Confidentials). The CHRB will continue to use out-of-competition testing to monitor compliance in conjunction with our existing post-race testing program. In addition, the Maddy Laboratory is continuing to investigate alternative testing techniques for other beta-2 agonists and other growth-promoting drugs.

At its June 2013 Regular Meeting the Board extended the suspension of the use of clenbuterol in all breeds at all California race tracks for a period of twelve months, and voted to acknowledge a 21-day withdrawal pursuant to Board Rule 1844.1 pending approval of a new regulatory threshold for clenbuterol under consideration by the ARCI. The new threshold for clenbuterol in urine and elimination of a blood threshold was first discussed at the August, 2013 Medication and Track Safety Committee and approved by the Board for public notice at its November, 2013 meeting. The new threshold is set for final approval at this meeting with an estimated effective date of October 2014.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this state. Business and Professions Code section 19580 states the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1406, Suspension of Rule, provides that the Board may for good cause, with or without a hearing, temporarily suspend the application of any of its rules upon any conditions it may impose. Any such action by the Board shall be in writing. Board Rule 1844.1, Suspension of Authorized Medication, provides that the Board may temporarily suspend the

authorized administration of a permitted drug, substance or medication for any time up to one year maximum after a public hearing has been noticed in accordance with Government Code section 11125(a). The temporary suspension may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions. The Board shall notify in writing the racing association and the trainers' organization of any temporary suspension, as specified.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1536, STEWARD'S MINUTES, TO REQUIRE THE
STEWARDS TO REPORT ON-TRACK ACCIDENTS INVOLVING EXERCISE RIDERS, OR
FOR HARNESS RACING, AN EMPLOYEE RIDING IN A JOG CART OR SULKY NOT
ENGAGED IN A RACE VIA THE REVISED JOCKEY/DRIVER ACCIDENT REPORT
(CHRB -201 2/14) AND A NEW TRAINING ACCIDENT REPORT (CHRB 201A 2/14)

Regular Board Meeting
June 19, 2014

ISSUE

Board Rule 1536 currently requires that all on-track accidents involving jockeys or drivers to be reported on CHRB form Jockey/Driver Accident Report CHRB-201 and attached to the stewards' minutes. However, data collection on accidents involving exercise riders, or employees riding in a jog cart or sulky not engaged in a race, are not currently reported. The importance of capturing such information was emphasized at the April 2013 Jockey and Driver Safety Committee Meeting, where Brad McKenzie, representing the Finish Line Self Insurance Group, informed the Committee Finish Line statistics revealed that exercise riders were involved in 60 percent of on-track thoroughbred accidents, and 40 percent of the on-track accidents across all breeds. The industry needed to be aware and look at ways to reduce accidents, as they were a contributing factor to the rising cost of workers' compensation insurance costs.

ANALYSIS

Although jockey and driver accidents are reported with the stewards' minutes, there is no required mechanism in place to capture exercise rider accidents. The proposed amendment to Board Rule 1536 requires that a report of all on-track accidents involving exercise riders shall be forwarded to the Board as an attachment to the stewards' minutes. The accident report shall be made on form Training Accident Report CHRB-201A (New 02/14). The Training Accident Report requires the name of the track; date and time of the incident; the name of the trainer and the exercise rider; and the condition of the exercise rider and the horse. In addition a description of the actions of the horse and the accident is required. The stewards must provide the circumstances of the accident, and whether it occurred in the barn area or the track. A new subsection 1536(c)(1) provides a definition of "employee" for the purposes of the regulation. Because the harness industry does not engage exercise riders, Rule 1536 uses the "employee" to describe the person who rides the jog cart or sulky while "exercising" harness horses. In addition, the Jockey/Driver Accident Report CHRB-201(Rev. 2/14), which is incorporated by reference in Rule 1536, and is the form currently used to report jockey/driver accidents, has been amended to include a distribution list.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted,

and over all persons or things having to do with the operation of such meetings, is vested in the CHRB. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include administration and enforcement of all laws, rules, and regulations affecting horse racing and pari-mutuel wagering. Business and Professions Code section 19432 provides that the executive director shall keep a full and true record of all proceedings of the Board, and preserve at the Board's general office all books, documents, and papers of the Board. Business and Professions Code section 19481.3 provides that the stewards shall investigate and prepare a report with respect to all on-track accidents involving jockeys that occur during the performance of their duties.

The proposal to amend Board Rule 1536 was initially discussed at the May 2013 Medication and Track Safety Committee Meeting. The issue was subsequently discussed at the July 2013 Jockey and Driver Welfare Committee Meeting where a proposed amendment to Rule 1536, requiring the stewards to report on-track accidents involving exercise riders, was presented. At its August 2013 Regular Meeting, the Board instructed staff to initiate a 45 day comment period. The notice documents were subsequently completed and submitted to Agency for review and approval.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 5. RACING OFFICIALS
RULE 1536. STEWARDS' MINUTES

Regular Board Meeting
June 19, 2014

(a) The stewards shall maintain minutes and records of all proceedings before the stewards that shall contain:

- (1) the record of votes,
- (2) a record of all actions taken, and
- (3) the penalties imposed along with the reasons for the actions.

A majority vote of the stewards shall govern and, in the event of a split vote, each steward shall file a separate report on the matter. The stewards shall submit their original minutes to the Executive Director weekly, and shall deliver a copy of their minutes to each member of the Board.

(b) A report of all on-track accidents involving jockeys or drivers on form Jockey/Driver Accident Report CHRB-201 (~~New 07/06~~ Rev. 2/14), which is hereby incorporated by reference, shall be attached to the stewards' minutes.

(c) A report of all on-track accidents involving exercise riders, or employees in a jog cart or sulky not engaged in a race, on form Training Accident Report CHRB-201A (New 2/14), which is hereby incorporated by reference, shall be attached to the stewards' minutes.

(1) For the purposes of this regulation "employee" means a person engaged to drive a jog cart or sulky at a standardbred facility.

Authority: Sections 19420, 19440 and 19481.3(e),
Business and Professions Code.

Reference: Sections 19432, 19440 and 19481.3(e),
Business and Professions Code.

State of California
Jockey/Driver Accident Report
CHRB-201 (Rev. 07/06 2/14)

CALIFORNIA HORSE RACING BOARD

JOCKEY/DRIVER ACCIDENT REPORT

Business and Professions Code Section 19481.3(e) provides that the stewards shall investigate and prepare a report regarding all on-track accidents involving jockeys that occur during the performance of their jockeys' duties. The investigation shall commence no later than the next live racing day and shall be completed expeditiously. Board Rule 1536, Stewards' Minutes, includes drivers in this requirement. Upon completion of the report, it shall immediately be sent by facsimile or electronic mail to the Jockey's Guild or California Harness Horsemen's Association (CHHA); the jockey/driver or his representative; the racing association; the horse owner; and the trainer of the horse the jockey/driver was riding/driving at the time of the accident.

Name of jockey/driver & CHRB License No.: _____

Name of horse owner & CHRB License No.: _____

Name of trainer & CHRB License No.: _____

Name of horse & Tattoo No.: _____

Race No.: _____

Date/time/location of accident: _____

DESCRIPTION OF ACCIDENT

Include circumstances of accident, likely causes and the extent of injury to jockey/driver, if any. Complete one Jockey/Driver Accident Report for each jockey/driver involved in an accident. ~~In addition to the distribution described above,~~ a A copy of each report shall be attached to the stewards' minutes in accordance with Rule 1536, Stewards' Minutes.

Jockey/Driver condition: _____

Horse condition: _____

Prepared by: _____

Signature: _____

Date report completed: _____

<u>Distribution</u>
<ul style="list-style-type: none"> • <u>Jockeys' Guild/ CHHA</u> • <u>Jockey/Driver</u> • <u>Racing Association</u> • <u>Owner</u> • <u>Trainer</u>
<p><u>Note: Attach original CHRB-201 form to stewards' minutes.</u></p>

State of California
Training Accident Report
CHRB-201A (New 2/14)

CALIFORNIA HORSE RACING BOARD

TRAINING ACCIDENT REPORT

The stewards shall investigate and prepare a report regarding all on-track accidents involving exercise riders, or employees in a jog cart or sulky not engaged in a race, that occur during the performance of their duties. The investigation shall commence no later than the next live racing day and shall be completed expeditiously. Upon completion of the report, it shall immediately be sent by facsimile or electronic mail to the exercise rider/employee; the racing association; the horse owner; and the trainer responsible for the exercise rider/employee involved in the accident.

Name of exercise rider/employee & CHRB License No.: _____

Name of horse owner & CHRB License No.: _____

Name of trainer & CHRB License No.: _____

Name of horse & Tattoo No.: _____

Date/time/location of accident: _____

DESCRIPTION OF ACCIDENT

Include circumstances of accident, likely causes and the extent of injury to exercise rider/employee, if any. Complete one Training Accident Report for each exercise rider/employee involved in an accident. A copy of each report shall be attached to the stewards' minutes in accordance with Rule 1536, Stewards' Minutes.

Exercise Rider condition: _____

Horse condition: _____

Prepared by: _____

Signature: _____

Date report completed: _____

<p><u>Distribution</u></p> <ul style="list-style-type: none"> • <u>Exercise Rider/Employee</u> • <u>Racing Association</u> • <u>Owner</u> • <u>Trainer</u> <p><u>Note: Attach original CHRB-201A form to stewards' minutes.</u></p>

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1689.1,
SAFETY VEST REQUIRED, TO EXTEND THE REQUIREMENT TO WEAR A SAFETY
VEST TO PONY RIDERS WHO PONY OR LEAD A HORSE OR WHO ARE
MOUNTED ON ANY HORSE ON THE GROUNDS OF A
FACILITY UNDER THE JURISDICTION
OF THE BOARD

Regular Board Meeting
June 19, 2014

ISSUE

The California Horse Racing Board (Board) is proposing to amend Board Rule 1689.1, Safety Vest Required, to include pony riders on the list of those required to wear a safety vest. The rule currently requires jockeys, apprentice jockeys, exercise riders, and drivers to wear a safety vest on the grounds of a racing association or racing fair.

ANALYSIS

The proposed amendment to Rule 1689.1 would add subsection (a)(1) to state that no pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest. The proposed amendment would add pony riders to the list of those who must wear a safety vest. The rule was last amended in July 2010 when harness drivers and assistant starters were added to the list of those who must wear a safety vest. The starting gate crews are addressed in the current rule under subsection 1689.1 (b), which provides that an assistant starter shall not handle any horse unless wearing a safety vest.

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19481 states that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider to improve the safety of horses, riders, and workers in the racing inclosure. Board Rule 1689.1 requires jockeys and apprentice jockeys to wear safety vests when riding in a race. Additionally, the rule provides that jockeys, apprentice jockeys and exercise riders must wear a safety vest when they train or exercise any horse on the grounds of a racing association or racing fair. Rule 1689.1 specifies that safety vests shall meet the British Equestrian Trade Association (BETA) standard for horse riders' body and shoulder protectors. Safety vests protect the inner organs, ribs and spine. The padding helps prevent or lessen an injury from the impact of a fall, and reduce the amount of damage should the wearer be hit by a hoof.

The proposal to amend Rule 1689.1 was discussed at the October 23, 2013, Jockey and Driver Welfare Committee meeting. The Committee voted to recommend that the Board initiate a 45-day public comment period regarding the proposed amendment.

At the October 24, 2013 Regular Board Meeting, the Board directed staff to initiate a 45-day public comment period. The required Notice documents were subsequently completed and submitted to Agency for review and approval.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 8. RUNNING THE RACE
PROPOSED AMENDMENT OF
RULE 1689.1. SAFETY VEST REQUIRED.

Regular Board Meeting
June 19, 2014

1689.1. Safety Vest Required.

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(1) No pony rider shall pony or lead a horse or be mounted on a horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(b) No driver shall be mounted in or riding on a sulky, nor shall an assistant starter handle any horse on the grounds of a racing association, racing fair, or authorized training facility unless wearing a safety vest.

(c) Safety vests required to be worn in accordance with this regulation shall:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards:

(A) "Level 1" under the British Equestrian Trade Association (BETA) 2009 Standard for Horse Riders' Body and Shoulder Protectors, or

(B) American Society for Testing Materials (ASTM) standard F2681-08, or

(C) Shoe and Allied Trades Research Association (SATRA) (1999) Jockey Vest Standard, Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

(4) No vest shall be altered from its original manufactured design. This includes, but is not limited to:

(A) Cutting the vest to customize fit.

(B) Removal of manufacturer's labels.

(C) Removal of protective padding.

(d) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562,
Business and Professions Code.

Reference: Sections 19481,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED
MEDICATION, TO REVISE LEVELS OF SPECIFIED AUTHORIZED
MEDICATIONS, AND ADD ADDITIONAL
DRUG SUBSTANCES.

Regular Board Meeting
June 19, 2014

ISSUE

Board Rule 1844, Authorized Medication, provides the therapeutic drug substances and medications authorized by the Board for administration to horses entered to race, and that may be present in the official urine and/or blood test samples at specified levels. The therapeutic drug substances and medications authorized under Rule 1844 have historically been aligned with the recommendations of the Association of Racing Commissioners International (ARCI) Model Rules, as well as the guidelines provided by the Racing Medication Testing Consortium (RMTC). Ongoing research conducted by the RMTC (and others) regarding the residues of therapeutic medications and drug substances, and advances in technology, that have vastly improved the ability to detect the presence of drug substances in official test samples, necessitate the periodic revision of the Board's medication regulations. The RMTC's initiatives have resulted in its National Uniform Medication Program, which includes regulatory levels for controlled therapeutic medications. One of the objectives of the ARCI is to implement its program nationwide to bring consistency and uniformity to the various racing state's medication practices and regulations.

ANALYSIS

The proposed amendment to Rule 1844 will bring the Board's listing of authorized medications in line with the recommendations of the ARCI's National Uniform Medication Program. The proposed amendment to Rule 1844 will revise the regulation to update the therapeutic drug substances and medications that may be present at specified levels in official urine and blood test samples. Under a revised subsection 1844(e) the allowed levels in official urine test samples have been revised for Acepromazine, Procaine, and Clenbuterol, while the drug substances Promazine, Atropine, Benzocaine and Stanazolol have been eliminated and will no longer be authorized at any level. In addition, the drug substances Omeprazole and Butorphanol have been added to subsection 1844(e) as authorized medications. Under a revised subsection 1844(f) Clenbuterol may no longer be present in any amount in an official blood test sample. This will mean that Clenbuterol may only be present in the official urine test sample at a level of 140 picograms per milliliter or less versus the current allowed level in urine of 5 nanograms. The CHRB has suspended authorization for clenbuterol in Quarter Horses at Los Alamitos since October, 2011 and for all horses at all tracks in California since July, 2012 under CHRB 1844.1 pending approval of these amendments. Subsection 1844(f) has also been expanded to add an additional 15 medications and drug substances that may be present in the official blood test

sample reflecting advances in drug testing capabilities since 1844 (f) was first adopted and research into blood levels of the therapeutic drug substances being added to this section

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1844, Authorized Medication, names drug substances and medications authorized by the Board that may be present in official samples at or below the residue levels specified subsequent of proper veterinary treatments. The rule lists the drug substances that may be found in official test samples and the level at which such drugs may occur.

At the May 2013 Medication and Track Safety Committee Meeting the Committee discussed a proposal to amend Rule 1844 to update the therapeutic drug substances and medications that may be present at specified levels in the official urine and blood test samples. The proposal included a reduction in the amount of Clenbuterol that may be present in a urine test sample from 5 nanograms to 140 picograms per milliliter, and the elimination of an authorized level for Clenbuterol in an official blood sample. The proposal also included the removal of Promazine, Atropine, Benzocaine, and Stanazolol from the list of authorized medications. The Board's Equine Medical Director recommended that the proposed amendment go before the Board later in the year to ensure adequate time to accumulate additional supportive material for the Board to consider. The proposed amendment to Rule 1844 was again discussed at the November 2013 Medication and Track Safety Committee meeting. The Committee determined it would recommend to the Board that staff move forward with the proposal as presented at its May 2013 meeting. At the November 2013 Regular Board Meeting, the Board directed staff to initiate a 45-day public comment period. The required Notice documents were subsequently completed and submitted to Agency for review and approval.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1844. AUTHORIZED MEDICATION

Regular Board Meeting
June 19, 2014

1844. Authorized Medication.

Consistent with the intent of these rules, drug substances and medications authorized by the Board for use may be administered to safeguard the health of the horse entered to race provided that:

(a) No person shall administer a drug substance to any horse entered to race except upon authorization of the official veterinarian in conformance with these rules.

(b) No drug substance, other than authorized bleeder medication, shall be administered to a horse entered to race within 24 hours of the race in which entered.

(c) Not more than one approved non-steroidal anti-inflammatory drug substance (NSAID) may be administered to a horse that is entered to race and shall be only one of the following authorized drug substances:

(1) Phenylbutazone in a dosage amount that the test sample shall contain not more than 2 micrograms of the drug substance per milliliter of blood plasma or serum.

(2) Flunixin in a dosage amount that the test sample shall contain not more than 20 nanograms of the drug substance per milliliter of blood plasma or serum.

(3) Ketoprofen in a dosage amount that the test sample shall contain not more than 10 nanograms of the drug substance per milliliter of blood plasma or serum.

(4) Metabolites or analogues of approved NSAIDs may be present in post race test samples.

(d) If the official chemist reports that a blood test sample contains an authorized NSAID in excess of the limit for that drug substance under this rule, the official veterinarian shall, in conjunction with the veterinarian who administered or prescribed the authorized drug substance, establish a dosage amount or time of administration of the drug substance that will comply with the limits under this rule; or the official veterinarian may, if in his/her judgment no such reduced dosage amount or amendment to time of administration will result in a test sample level within the limits of this rule, withdraw authorization for the use of any one NSAID.

(e) Official urine test samples may contain one of the following drug substances, their metabolites or and analogs, in an amount that does not exceed the specified levels:

- (1) Acepromazine; ~~25~~ 10 nanograms per milliliter
- (2) Mepivacaine; 10 nanograms per milliliter
- (3) Promazine; ~~25 nanograms per milliliter~~
- (~~4~~3) Albuterol; 1 nanograms per milliliter
- (~~5~~) Atropine; ~~10 nanograms per milliliter~~
- (6) Benzocaine; ~~50 nanograms per milliliter~~
- (~~7~~4) Procaine; ~~50~~ 25 nanograms per milliliter
- (~~8~~5) Salicylates; 750 micrograms per milliliter
- (~~9~~6) Clenbuterol; ~~5 nanograms~~ 140 picograms per milliliter
- (10) Stanazolol; ~~1 nanograms per milliliter~~
- (7) Omeprazole; 1 nanogram per milliliter

(418) Nandrolone; 1 nanograms per milliliter for geldings, fillies and mares; 45 nanograms for males other than geldings.

(429) Boldenone; 15 nanograms per milliliter in males other than geldings:

(4310) Testosterone; 20 nanograms per milliliter in geldings.

(A) Testosterone at any level in males other than geldings is not a violation of this regulation.

(4411) Testosterone; 55 nanograms per milliliter in fillies or mares.

(4512) Butorphanol 300 nanograms per milliliter

(f) Official blood test samples may contain clenbuterol in an amount not to exceed 25 picograms per milliliter of serum or plasma. the following drug substances, their metabolites and analogs, in an amount that does not exceed the specified levels in serum or plasma:

(1) Bethamethasone; 10 picograms per milliliter

(2) Dantrolene; 100 picograms per milliliter

(3) Detomidine; 1 nanogram per milliliter

(4) Dexamethasone; 5 picograms per milliliter

(5) Diclofenac; 5 nanograms per milliliter

(6) Dimethylsulfoxide (DMSO); 10 micrograms per milliliter

(7) Firocoxib; 20 nanograms per milliliter

(8) Lidocaine; 20 picograms per milliliter

(9) Methocarbamol; 1 nanogram per milliliter

(10) Methylprednisolone; 100 picograms per milliliter

(11) Glycopyrrolate; 3 picograms per milliliter

(12) Prednisolone; 1 nanogram per milliliter

(13) Triamcinolone Acetonide; 100 picograms per milliliter

(14) Xylazine; 10 picograms per milliliter of serum or plasma

(15) Butorphanol; 2 nanograms per milliliter

(g) Official blood test samples shall not contain any of the drug substances, or their metabolites or analogs listed in subsection (e)(1)-(8), and (e)(10)-(14).

(h) Procaine, following administration of procaine penicillin, is an authorized medication provided:

(1) Official blood test samples shall not contain any procaine, or its metabolites or analogs in excess of 25 nanograms per milliliter.

(2) all procaine penicillin administrations have been reported pursuant to Rule 1842 of this division,

(3) procaine penicillin was not administered after entry to race,

(4) the horse was under surveillance for a minimum of six hours prior to racing.

(i) All expenses related to surveillance and testing for procaine under subsection (h) of this regulation shall be paid by the owner of the horse.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19580 and 19581,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD
REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1866,
VETERINARIAN'S LIST, TO REQUIRE THAT ANY HORSE PLACED ON THE
VETERINARIAN'S LIST AS SICK OR RECEIVING VETERINARY TREATMENT-
SHOCKWAVE THERAPY NOT BE ALLOWED TO WORK OUT FOR A MINIMUM OF 72
HOURS WITHOUT THE PERMISSION OF THE OFFICIAL VETERINARIAN, AND SET
SPECIFIC MINIMUM TIME PERIODS FOR HORSES PLACED ON THE
VETERINARIAN'S LIST AS UNSOUND OR LAME

Regular Board Meeting
June 19, 2014

ISSUE

There is currently no restriction on workouts for horses placed on the Veterinarian's List as "sick" or as undergoing veterinary treatment-shockwave therapy. In addition, there is no requirement that horses placed on the Veterinarian's List as lame or unsound remain on the list for a mandatory minimum period of time, regardless of how many times such horses have been placed on the list for such reasons.

ANALYSIS

The proposed amendment to Board Rule 1866, Veterinarian's List will amend subsection 1866(b) by adding horses that are "sick," and horses that have received veterinary treatment-shockwave therapy, to the list of horses on the Veterinarian's List that must wait 72 hours before they may work out without the permission of the official veterinarian. Veterinary treatment-shockwave therapy is known to produce a transitory analgesia for up to 48 hours. Requiring horses that have undergone this treatment to remain on the Veterinarian's List for up to 72 hours before they may work out will prevent a horse from working while it is still under the pain-masking effects of the shockwave therapy. While placing a horse on the Veterinarian's List as "sick" is legitimate, some trainers may attempt to use the Veterinarian's List to take a horse out of a race in favor of a subsequent event. Placing a 72 hour wait on workouts for horses placed on the list as "sick" may help prevent such actions.

The proposed amendment to Rule 1866 will amend subsection 1866(c) to place mandatory minimum periods of time - within the same 365 day period - that a horse placed on the Veterinarian's List as lame or unsound must remain on the list. The mandatory periods of time start at a minimum of 10 days for a horse placed on the Veterinarian's List as lame or unsound for the first time within a 365 day period, and culminate with a mandatory minimum 180 day period for horses placed on the list for the fourth time within the same 365 day period. The proposed mandatory minimum times are modeled after Rule 1845, Authorized Bleeder Medication, subsection (g), which requires mandatory periods of ineligibility for horses observed bleeding from the nostrils.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in this State. Board Rule 1866, Veterinarian's List, states the official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to physical distress, unsoundness, or infirmity.

At its September 18, 2013 Medication and Track Safety Committee Meeting, the Committee discussed a proposed amendment to Rule 1866. The proposed amendment would prohibit workouts for 72 hours after a horse was placed on the list as "sick" or due to veterinary treatment-shockwave therapy. In addition, the proposed amendment would specify the mandatory minimum amount of time a horse would remain on the Veterinarian's List as unsound or lame by providing for increasingly longer periods each time a horse is on the list within the same 365 day period. The Board subsequently directed staff to initiate the 45-day public comment period regarding the proposed amendment. The required Notice documents were subsequently completed and submitted to Agency for review and approval.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 ARTICLE 15. VETERINARY PRACTICES PROPOSED AMENDMENT
 OF RULE 1866. VETERINARIAN'S LIST

Regular Board Meeting
 June 19, 2014

1866. Veterinarian's List.

(a) The official veterinarian shall maintain a Veterinarian's List of those horses determined to be unfit to compete in a race due to veterinary treatment, physical distress, injury, lameness, unsoundness or infirmity.

(1) When a horse is placed on the Veterinarian's List, the trainer of such horse shall be notified within 72 hours.

(b) A horse placed on the Veterinarian's List as:

(1) sick;

(2) having received veterinary treatment-shockwave therapy;

(3) injured;

(4) unsound or;

(5) lame,

may not workout for 72 hours after being placed on the list without the permission of the official veterinarian.

(1) The official veterinarian may require any horse placed on the Veterinarian's List to undergo a veterinary examination prior to resuming training at any facility under the jurisdiction of the Board.

(c) A horse placed on the Veterinarian's List shall be removed from the list only after having established or demonstrated to the satisfaction of the official veterinarian or the racing

veterinarian that the horse is then raceably sound and in fit physical condition to exert its best effort in a race.

(1) A horse placed on the Veterinarian's List as lame or unsound for the first time within a 365 day period must stay on the Veterinarian's List for a minimum of 10 days before the horse is eligible to be removed from the list.

(2) A horse placed on the Veterinarian's List as lame or unsound for the second time in 365 days must stay on the Veterinarian's List for a minimum of 30 days before the horse is eligible to be removed from the list.

(3) A horse placed on the Veterinarian's List as lame or unsound for the third time in 365 days must stay on the Veterinarian's List for a minimum of 60 days before the horse is eligible to be removed from the list.

(4) A horse placed on the Veterinarian's List as lame or unsound for the fourth time in 365 days must stay on the Veterinarian's List for a minimum of 180 days before the horse is eligible to be removed from the list.

(d) A horse may be required to perform satisfactorily in a workout or qualifying race to demonstrate its physical fitness, and if so a blood and/or urine post-work test sample shall be taken from the horse and the provisions of this article shall apply to such official workout in the same manner as to a scheduled race.

(e) For the purpose of this regulation, "workout" means an exercise session near full speed, or close to full speed.

Authority: Sections 19440 and 19562,
Business and Professions Code.

Reference: Sections 19440 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL
OF THE 2014-15 AGREEMENT PROVIDING
FUNDING SUPPORT FOR THE BOARD

Regular Board Meeting
June 19, 2014

ISSUE: CHRB SUPPORT FORMULA FOR FISCAL YEAR 2014-2015

The CHRB support formula was developed in consultation with the horse racing industry. As in prior years, the formula is based upon the license fees that would have been paid to the State of California had Senate Bill 16 (SB16) not been enacted. The following mechanism was used to develop the formula:

- The projected commingled handle was multiplied by the pre SB16 license fee rate for each host track to arrive at the estimated pre SB16 license fees for the fiscal year 2014-15.
- The CHRB support percentages were calculated by dividing the CHRB approved budget by the estimated pre SB16 license fees. The rate for the 2014-15 fiscal year will be 51.82 percent.
- The same procedure was followed to calculate the Fairs and Expositions (F/E) recapture payments. The rate for the 2014-15 fiscal year will be 3.39 percent.

The horse racing industry recommends to increase the CHRB Support Rate Fee from 51.82 to 52.65 percent and the F/E recapture percentage rate from 3.39 to 3.60 percent. This will allow industry to pay these obligations earlier.

Fiscal year 2014-15 is the sixth year the CHRB support formula has been in effect. Table 1 below shows the CHRB approved budgets and the CHRB support fee rates used to fund CHRB operations.

Table 1. Historical Percent of License Fee Savings absorbed by CHRB budget

Fiscal Year	CHRB Approved Budget	CHRB Support Fee Rate
2009-10	\$11,833,000	42.60%
2010-11	\$11,734,000	48.80%
2011-12	\$11,716,000	57.80%
2012-13	\$11,590,000	52.65%
2013-14	\$11,639,000	52.65%
2014-15	\$11,912,000	52.65%

The CHRB support fee rate is an estimate, and depending on handle activity there may be over/under payments made for CHRB support and F/E recapture payments. The CHRB and the industry recognize that a determination of over/under payment amounts will be necessary at the end of each fiscal year. The industry has agreed to fund underpayments within ten business days. For overpayments, the industry will get a credit towards amounts due in the following year. See attachments as follows:

- Attachment I- Board Support/ F & E Recapture- Model for 7/1/2014- 6/30/2015
- Attachment II- Industry's Agreement
- Attachment III- Industry Projection

As of June 11, 2014 industry representatives agreed to the CHRB support formula. However, due to logistics all the required signatures have not been obtained.

BACKGROUND

Business and Professions Code section 19615 (a) states the notwithstanding any other provision of law, and in lieu of any license fee payable to the state prescribed for or referred to in Section 19491, 1949.5, 19596.3, 19601, 19601.2, 19602, 19603, 19604, 19605.25, 19605.35, 19605.45, 19605.6, 19605.7, 19605.71, 19606.5, 19606.6, 19610.8, 19611, 19612, 19614, 19616, 19616.1, 19616.2, or 19641, any association or fair that conducts a racing meeting shall only pay a license fee to the state to fund the Board and the equine drug testing program as follows:

- (1) All racing associations and fairs including all breeds of racing shall participate in the funding of the Board in accordance with the formula devised by the Board in consultation with the industry.
- (2) The baseline funding for the Board and equine drug testing program in the first fiscal year after the enactment of this section shall be the amount approved in the 2008-09 Budget Act.
- (3) Adjustment to the funding in subsequent budget years may only be made by an act of the Legislature.

For the 2014-15 fiscal year, the CHRB's operating budget, as determined by the Governor's Budget, is \$11,912,000 and such amount shall be subject to change in future years.

RECOMMENDATION

Staff recommends that the Board approve the funding formula for fiscal year 2014-15.