

9. Discussion and action by the Board regarding the **order by the Los Angeles Superior Court in the matter of *Gustavo De La Torre v. California Horse Racing Board, et al.* (BS 154412)**, to set aside court-determined approval of Los Alamitos Quarter Horse Racing Association's "house rule."
10. Discussion and action by the Board regarding the **submission of proposed emergency amendments to CHRB Rule 1581, Racing Secretary to Establish Conditions, and CHRB Rule 1843, Medication, Drugs and Other Substances**, to the Office of Administrative Law, in response to the Los Angeles Superior Court's Order that the CHRB set aside approval of Los Alamitos Quarter Horse Racing Association's "house rule."
11. Discussion and action by the Board regarding the **request from the Los Alamitos Quarter Horse Racing Association to write race conditions for its current quarter horse race meeting, which condition eligibility to participate in the race meeting on the non-presence of Clenbuterol, Albuterol, Zilpaterol, or Ractopamine in biological test samples obtained by the Association, conditioned on the Office of Administrative Law granting approval of the emergency regulatory amendments to CHRB Rules 1581 and 1843.**
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Richard Rosenberg, Vice Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

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**PENDING ADMINISTRATIVE ADJUDICATIONS
JULY 2016**

CASE

- A. Appeal of the Board of Stewards Official Ruling #012, Santa Anita Autumn Meet**
CHRB Licensee Julio Canani
Case Number: SAC 15-0049
- B. Appeal of the Board of Stewards Official Ruling #011, Los Alamitos Spring Thoroughbred Meet**
Jockey Edwin Maldonado
Case Number: SAC 16-0010
- C. Appeal of the Board of Stewards Official Ruling #012, Los Alamitos Spring Thoroughbred Meet**
Jockey Brandon Boulanger
Case Number: SAC 16-0011
- D. Fitness for Licensure**
Patrick Valenzuela
Case Number: SAC 16-0009
- E. Fitness for Licensure**
Jose Jesse Saldivar
Case Number: SAC 13-0015
- F. Proposed Stipulated Settlement**
Trainer Steven Miyadi
Case Number: SAC 16-0026

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**PENDING LITIGATION
JULY 2016**

COURT LITIGATION

- A. Jose DeLaTorre vs. CHRB**
District Court of Appeal
- B. San Luis Rey Racing, Inc. (SLRR) vs. CHRB, et al**
District Court of Appeal
- C. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego
- D. Gustavo DeLaTorre vs. CHRB and LAQH Racing Association**
Superior Court of California, County of Los Angeles
- E. Julio Canani vs. CHRB**
Superior Court of California, County of Los Angeles
- F. Donald Lockwood vs. CHRB, et al**
Superior Court of California, County of Los Angeles

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Santa Anita Park Race Track**, 285 West Huntington Drive, Arcadia, California, on **June 16, 2016**.

Present: Chuck Winner, Chairman
 Richard Rosenberg, Vice-Chairman
 Madeline Auerbach, Member
 George Krikorian, Member
 Jesse H. Choper, Member
 Alex Solis, Member
 Rick Baedeker, Executive Director
 Jacqueline Wagner, Assistant Executive Director
 Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF MAY 26, 2016

Chairman Winner stated he had amendments to the minutes of the Regular Meeting of May 26, 2016. He said Winner should be replaced with Rosenberg at the sixth line from the bottom of page 11. He stated the word tribal needed to be added before satellite at line seven from the top of page 14. Executive Director Baedeker stated the number sixteen three lines from the bottom of page one should be thirteen. Commissioner Auerbach **motioned** to approve the minutes as amended. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported on a recent situation at Golden Gate Fields in which two races were taken off of the turf after the jockeys declared the turf course was unsafe. He stated for the record that the jockeys never expressed any concern about the condition of the turf course to the California Horse Racing Board (CHRB) stewards in the weeks or days leading up

to the races. He said CHRB Safety Steward Richard Lewis examined the turf course a couple of days prior to the day in question, took Clegg Hammer readings, and sent them to Sacramento for review; the results were within the normal range. Executive Director Baedeker stated it was not until after the running of the eighth race that the jockeys notified the stewards they would not ride on the turf course. He said determining the safety of a racing surface was an inexact science and while measurements taken by the Clegg Hammer were good, the jockeys probably knew the surface better and could determine whether or not it was safe. Therefore, once the jockeys notified management of the unsafe conditions, in the interest of safety there was no choice but to remove racing from the turf course. Executive Director Baedeker reported on a staff meeting that was held at the UC Davis Maddy Laboratory. The attendees included CHRB investigators, official veterinarians, and stewards. He said Dr. Rick Arthur, CHRB Equine Medical Director, and Drs. Stanley, Knych, Stover, and Diab discussed topics such as chain of custody of samples; the split sample program; custodian process; pharmacology; drug thresholds; the necropsy program; and the proposed national veterinarians' list. CHRB Staff Counsel Philip Laird and Dr. Arthur covered the procedures for recordkeeping, the hearing process, and other regulatory matters. Executive Director Baedeker stated the Northern and Southern Race Dates Committees were consolidated into one. Chairman Winner was the Chair of the Race Dates Committee, and Commissioners Krikorian and Beneto were members. He said all stakeholders were invited to submit their 2017 race date proposals, which should include justifications. He stated Chairman Winner asked that stakeholders meet and try and reach a consensus on their proposals. Another Race Dates Committee meeting would be held in the near future with the goal of resolving any issues. Executive Director Baedeker reported on the financials for the month of May 2016. He stated on-track daytime handle decreased by 10.5 percent, and nighttime handle decreased by 16

percent, for an overall decrease of 11 percent. He said year-to-date daytime handle decreased by 4.1 percent, and nighttime handle decreased 1.7 percent, with an overall decrease of 3.9 percent for the year.

PUBLIC COMMENT

There were no public comments.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 17, 2016 THROUGH SEPTEMBER 20, 2016, INCLUSIVE.

Joe Morris, Pacific Racing Association (PRA), stated that despite 26 inches of rain during the race meeting, the total daily average handle increased nearly two percent for the 2015 PRA summer race meeting. Mr. Morris said that although the 2015 race meeting had one less race day than 2014, the average runners increased by 1.35 percent to 6.78 runners per race. Mr. Morris stated PRA made several improvements to Golden Gate Fields including: replacing the video board seen by drivers on Interstate 80, replacing the video board on the tote board, barn roof repairs and reconstructing the website. He stated every dining room table now had a flat screen television; the entire facility had roughly 100 new flat screens. Seven coverage cameras were added to the facility. Vice-Chairman Rosenberg asked if there was a difference in the amount of television coverage PRA received since TVG had two networks. Mr. Morris stated part of the deal was for Golden Gate Fields to have more coverage than any track of the same size when TVG took over HRTV. He said TVG covered up to 75 percent of PRA's races. Chairman Winner asked Mr. Morris to comment on the turf issue at Golden Gate Fields. Mr.

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Morris stated [the jockeys citing unsafe turf conditions for the 10th and 12th races] was an unfortunate situation. He said the Stronach Group (Stronach) would never ask jockeys to ride on a sub-par course. Commissioner Solis said he had ridden in many races at Golden Gate Fields, and it had a great turf course. He stated when an association put sand and seeds in divots; it made the turf course worse every time it rained. The method did not work because horses weighing 1200 pounds went over divots and kicked the sand and seeds out, which caused a bigger problem. He stated prior to that, the associations used coring, which seemed like a better method. Mr. Morris said PRA used both methods to handle divots in the turf course. Chairman Winner asked why PRA did not use the core approach consistently. Cal Rainey, PRA, said each race caused wear on the turf course. A crew walked the course after each race and replaced the divots. PRA used a patch mix which had sand, organic and turf grids and seeds. He said, as Mr. Solis stated, if a horse hit the area, then it would not get established and grow. Mr. Rainey said the core method was also used, as it was more stable, but it could not be used as frequently as filling divots with the patch mix. He stated PRA would do more work with the cores. Commissioner Choper said the turf was well taken care of at Golden Gate Fields. Commissioner Auerbach stated she wanted to commend the PRA racing secretary for doing an excellent job and increasing handle and television time. Vice-Chairman Rosenberg said there was a substantial increase for the marketing compared to 2015. Mr. Morris stated the race meeting was split into two schedules in 2015, which made marketing difficult. He said the 2016 race meeting had a schedule that was similar to prior years and the marketing budget matched. Vice-Chairman Rosenberg asked if future PRA and other Stronach applications could be clear in the licensee ownership section. Mr. Daruty said the questions were answered appropriately. He stated all of the Stronach entities in California were owned and controlled for the benefit of the Stronach

family. He stated the information was included with the application following each change in control. Chairman Winner stated he wanted to amend the motion to approve the PRA application in response to an issue that arose when PRA added races the final two days of its last race meeting. He said under section 3B, Racing Program, part of the PRA response was "If the horse population permits, we may run additional races". Chairman Winner stated he wanted to amend the PRA statement to include a comma and continue the sentence with "with administrative approval of the Board, such approval to be obtained prior to the taking of entries for the additional races." Mr. Daruty said he would like to understand the thoughts behind the amendment. Executive Director Baedeker said the statement "such approval to be obtained prior to the taking of entries for additional races" was added to ensure the request for Board approval to add a race was submitted before entries were taken. PRA submitted a request at 1:30 p.m. after entries were taken and completed, which gave the Board no time to adequately review the request. Mr. Daruty stated if PRA had the ability to card more races because the horse population for a particular meet ended up better than anticipated, it seemed that should be within the association's discretion to add races. Mr. Daruty said in the past, there was a maximum limit for the average number of races per day, so it would be understandable if the Board decided on a total limit for the number of races run during a meet, or average races per day, but PRA should have flexibility to add races. Mr. Daruty asked if the amendment was going to be made for every application to conduct a horse race meeting. Chairman Winner said the amendment would be made for all race meeting applications. Executive Director Baedeker said the Board shared the goal with PRA to do what was right for all California racing. He said the proposed statement by Chairman Winner clarified language that had more than one interpretation. He stated the second reason behind proposing the added language in the response was because if one race meeting

added enough races, it could result in a chain reaction that became detrimental to the next race meeting. Mr. Daruty stated it was appropriate to have a maximum number of races during a meet, or during a day, but the concern was for the longer race meetings that ran from December to June where the associations had to predict the number of races in October for the application. Vice-Chairman Rosenberg said adding the statement proposed by Chairman Winner to the response on the application should wait until the next license approvals because the Board needed more time to determine concrete language. Vice-Chairman Rosenberg asked how the request for approval of additional races would work. Chairman Winner said the association would need administrative approval, so it would be handled by the Executive Director. Commissioner Auerbach said there was a history of race meet associations adding races, which affected the race meeting that followed. She stated the issue with PRA did not have that problem because it was with a race meeting that had turf races, and the following race meeting was not running turf races. Commissioner Auerbach stated the Board needed to thoroughly discuss the issue with all the racing associations to work out the situation properly. Chairman Winner said he wanted to withdraw his recommendation to add the statement in the response to the racing program section of the application, with the understanding that PRA would keep the discussion in mind for the 2016 race meeting. He stated the Board would come up with language and apply it to future meets. Chairman Winner stated when the Board granted a license, its terms and conditions should be abided by. In the case of the last PRA race meeting, the language was unclear; causing PRA to believe it was acting within the scope of its license. However, the Board did not perceive it the same way. He stated the Board wanted to clarify the language in the applicant's response to the question for consistency. He said there was no issue of intent to do something other than what was on the license; no criticism was intended. Commissioner

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Auerbach asked if racing associations were allowed to alter the post time, such as for extreme heat. Chairman Winner said the association had to seek Board approval. Mr. Morris said considering the current conversation it seemed appropriate to state that Los Angeles Turf Club (LATC) would request approval from the Board to move the LATC post time for Sunday, June 19, 2016 to noon. He stated the change would occur only after discussing it with the Thoroughbred Owners of California (TOC), California Thoroughbred Trainers and Jockeys' Guild. He said the June 19, 2016 weather was forecasted to be over 100 degrees. Chairman Winner stated Executive Director Baedeker would hear the request after the meeting. Nick Coukos, TOC, requested the Board consult TOC before making any changes to the language. Commissioner Solis **motioned** to approve the Pacific Racing Association application to conduct a horse race meeting commencing August 17, 2016 through September 20, 2016. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR (F) AT FERNDALE, COMMENCING AUGUST 17, 2016 THROUGH AUGUST 30, 2016, INCLUSIVE.

Richard Conway, Humboldt County Fair (Humboldt), requested approval for the Humboldt application to conduct a horse racing meeting at Ferndale commencing August 17, 2016 through August 30, 2016. He stated the Humboldt 2015 race meeting was successful; with increases in handle, as well as an increased number of horses, compared to 2014. He said Humboldt expected the amount of handle and number of horses to increase for the 2016 Humboldt race meeting compared to 2015. Mr. Conway stated the outer rail was replaced for the 2015 race

meeting, which prevented the horse drifting issues that occurred during past races. He said Humboldt continued making improvements to the barn and VIP areas. Chairman Winner asked what percentage of horses were from out-of-state. Mr. Conway stated 30 percent were from out-of-state. James Morgan, Humboldt, stated the Board challenged Humboldt in 2012 to increase sponsorship, involve the community at a greater level and invest in the facilities in order to sustain Humboldt race meetings. He said since 2012, Humboldt increased sponsorship multifold, involved the community and transformed the track facility. The track had a wider backstretch and turns, and new fencing and railing. Mr. Morgan said the horse population increased not only at Humboldt, but it carried into the Fresno Fair as well. Mr. Morgan said Humboldt was the only fair that had overlapping race dates with an association. He stated Pacific Racing Association (PRA) agreed not to run races below \$5000 in 2015 during its overlap with the Humboldt race meeting. Chairman Winner asked if PRA agreed to continue to avoid running \$5000 races during its race meet which coincided with the Humboldt 2016 race meeting. Scott Daruty, PRA, stated the PRA dates were granted by the Board with the condition it would not run \$5000 claiming races coinciding with the Humboldt race meeting. Commissioner Choper said PRA agreeing not to run \$5,000 and under claiming races was a compromise that came at a cost to PRA. He said he could not ask Humboldt to do anything more than was already accomplished, but compromises had to be made among the racing associations. Commissioner Choper stated Humboldt had done a great job meeting the challenge set by the Board. Chairman Winner said he appreciated the cooperation between Humboldt and PRA while running concurrently. Mr. Morgan said Humboldt had 14 percent more on-track attendance than PRA during the overlapping race days in 2015 and Humboldt on-track handle was over 70 percent of the on-track handle generated by PRA. He said Humboldt requested a 60/40 split on

host commissions. Mr. Morgan stated Assembly Bill 763 gave Humboldt a small percentage of the host commissions when it overlapped another race meeting. Vice-Chairman Rosenberg asked if the host track status was in the application for a license to conduct a horse race meeting. Mr. Morgan said host track status was not part of the application. Chairman Winner said the split request would have to be for future dates and could not be discussed because it was not on the agenda. He stated he would be happy to discuss it at the next race date committee meeting. Mr. Morgan said PRA should get a credit for all the Assembly Bill 763 money, and Humboldt should receive the rest of the commissions. Chairman Winner said the disbursement change would be considered at the next race date allocation committee meeting for future Humboldt race meetings, since it was not an agenda item. Commissioner Auerbach **motioned** to approve the Humboldt County Fair application to conduct a horse race meeting commencing August 17, 2016 through August 30, 2016. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY AT SANTA CLARITA LANES, IN SANTA CLARITA, CALIFORNIA.

Executive Director Rick Baedeker stated the application for license to operate a minisatellite wagering facility at Santa Clarita Lanes was a simple change in the management structure of Santa Clarita Lanes. George Haines, Southern California Off Track Wagering, Inc. (SCOTWINC), stated Santa Clarita Lanes was one of the best sites for SCOTWINC it handled over 14 million dollars a year, and had been in business for a number of years. He said the application before the Board was for a management change; Mr. Tom Christie would still be

involved in the operation; however, Santa Clarita would have a new majority owner. He stated CHRB staff requested the submittal of a new license application because the name of the ownership entity had changed. Vice-Chairman Rosenberg stated on the application it listed the owner as Mr. Christie, and then it listed Mr. Christie and Charles Kinsler as the owners of the Limited Liability Corporation (LLC). Vice-Chairman Rosenberg asked who was the managing partner of the LLC? Mr. Christie said he was the managing partner, while Mr. Kinsler had a bigger percentage of the ownership. Vice-Chairman Rosenberg asked for clarification on the percentage that Mr. Kinsler owned; it stated in one area of the application 70 percent, and then in another area of the application it stated 90 percent; was it 70 or 90 percent? Mr. Christie stated he owned 10 percent, and Mr. Kinsler owned 70 percent with 20 percent allotted to members of Mr. Kinsler's family. Vice-Chairman Rosenberg asked if the full disclosure statement was a background check and he asked who filled it out. Jacqueline Wagner, Assistant Executive Director, stated a background check was completed on Mr. Christie and Mr. Kinsler since they both had ownership of 5 percent or more. Executive Director Baedeker said the family members that made up the 20 percent presumably owned less than 5 percent, and therefore did not require background checks. Assistant Executive Director Wagner stated that was correct. Commissioner Auerbach asked what type of information was required of new entities applying for a license to operate a minisatellite. Assistant Executive Director Wagner stated CHRB required an application, financials, and a background on ownership of 5 percent or more. Executive Director Baedeker said the owner of the facility had nothing to do with wagering; the wagering was controlled by SCOTWINC and the operating manager at the operating host track. He stated an applicant that wanted a mini-satellite in his or her facility was usually a restaurant operator, and while background checks were important, the restaurant operator had no interaction

with the wagering; it was the responsibility of CHRB enforcement who regularly audit the locations to ensure the distinction between the two remained clear. Commissioner Auerbach **motioned** to approve the application to operate a minisatellite wagering facility at the Santa Clarita Lanes in Santa Clarita through June 16, 2021. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FOR APPROVAL FROM THE DEL MAR THOROUGHBRED CLUB, TO MODIFY ITS PICK 6 WAGER TO CHANGE THE SIX OF SIX CARRYOVER POOL FROM 70% TO 85%; AND THE CONSOLATION POOL FROM 30% TO 15% FOR ITS RACE MEETING COMMENCING JULY 13, 2016 THROUGH SEPTEMBER 6, 2016, INCLUSIVE.

Josh Rubinstein, Del Mar Thoroughbred Club (DMTC), stated DMTC requested approval to modify its Pick 6 wager to change the six of six carryover pool from 70 percent to 85 percent and the consolation pool from 30 percent to 15 percent for its race meeting commencing July 13, 2016 through September 6, 2016. He stated the request was in an effort to increase handle, Pick 6 carryovers and Pick 6 payoffs. He said 70 percent of DMTC Pick 6 handle was from carryover days in 2015. He said handle from Pick 6 wagering was decreasing and it was time to make a change. He said the Pick 6 was 4.5 percent of DMTC total handle. Craig Dato, DMTC, said the Pick 6 carryover was a powerful marketing tool. He stated the Pick 6 carryover impacted handle; when DMTC did not have a carryover, it had a negative impact. Mr. Dato stated there was a clear correlation between the decrease in Pick 6 wagering pools and increase to the Pick 5 and Pick 4 pools. He stated the request was an experiment, with the expectation to increase handle, for both carryover and non-carryover Pick 6 races. He said if the experiment failed,

DMTC would seek other options in 2017. Commissioner Auerbach asked if DMTC would come back to the Board for its 2016 fall race meeting if it needed to adjust this request. Mr. Rubinstein said if an adjustment needed to be made again, DMTC would make the request for its next race meeting. Chairman Winner asked if the request was made to accommodate customers who were upset when DMTC suggested dropping the Pick 5 wagering options. Mr. Dato said DMTC used a focus group and determined the requested modification was the best option. He stated the group included customers who were upset over losing the Pick five option. He said the same people admitted they did not place wagers on non-carryover days. He said the day-to-day players were in favor of increasing the Pick 6 payout. Commissioner Krikorian asked what the percentage of DMTC race meeting handle the Pick 6 generated about seven years ago. Mr. Dato stated the Pick 6 pool dropped over 25 percent. He said the money moved to the Pick 4 and Pick 5 wagering pools. Commissioner Choper **motioned** to approve the request from Del Mar Thoroughbred Club to modify its Pick 6 wager to change the six of six carryover pool from 70 percent to 85 percent and the consolation pool from 30 percent to 15 percent. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REPORT FROM THE THOROUGHBRED RACING PROTECTIVE BUREAU (TRPB) CONCERNING ITS "FINAL POOLS BY TOTE SOURCE" TIMING REPORT WHICH PROVIDES INFORMATION CONCERNING THE TIMING OF FINAL WAGERS REACHING THE HOST TRACK, IN AN EFFORT TO IDENTIFY LOCATIONS THAT MAY BE CONTRIBUTING TO SLOWING DOWN THE PROCESS OF THE POSTING OF FINAL ODDS.

Curtis Linnell, Thoroughbred Racing Protective Bureau (TRPB), said TRPB was tasked with reviewing the timing of tote hubs at Santa Anita to determine which tote hubs were the slowest. He stated Santa Anita's host tote system was located as the AmTote's Northwest hub in Hillsboro, Oregon, with sourceable local wagers from California tracks. It was the local tote system, the host tote system, which was responsible for setting up the stop wagering signal from the stewards and collecting the final pools. The TRPB reviewed data from 56 totes, and 197 pari-mutuel associations comprised of 541 locations. Fifty-one totes were directly connected, while four other totes facilitated other tote systems called the double hub. There were other tote systems that would merge into a middle tote that would then go into a host tote. TRPB reviewed the stop betting time, and the final pools that came in from all totes, and discovered four tote hubs that were always 8 to 12 seconds later than the other totes. The four tote hubs, the "slow hubs" were the middle totes. Mr. Linnell stated the Amtote hub in Maryland handled wagers from Maronas in Uruguay, and the Sportech hub in Illinois handled the double hub from Arizona, also known as the double hub state. The Sportech hub in Berlin, Germany, handled all of the European wagering coming into Santa Anita from various locations. The Northwest hub was co-located in the same data center as the California system; it was a virtual hub that handled all of the jumps out of Florida, with five tote systems in Florida that all merged into the Northwest Gulf Stream hub. Mr. Linnell stated TRPB reviewed data from June 12, 2016, and found the delays were less than what was seen in March, but the win pool was missing the 10

second forced odds. The biggest change from 2015 to 2016 was updating odds in California on 30 second cycles from three minutes to post. Previously it was 45 seconds. In 2015, when the horses broke from the gate, in Santa Anita 29.3 percent of the win pool would show up on the board. In 2016, by going from 45 seconds to 30 second updates, it decreased from 29.3 percent of the win pool to 13.1 percent. He said double hubs in the tote system had tried to be eliminated throughout the years, but continued to be a delay in the system which TRPB had identified. TRPB recommended solutions to address double hub issues. TRPB started talking to tote companies about employing the fast middle guest protocol. For example, Florida's four systems congregated into a middle system. Rather than waiting for all the pools to congregate into a middle tote system before jumping to California for a final update; it would take pool by pool and the win pool and send that along so it could go final on the win pool rather than waiting for the exacta, for the double, and other pools. Another solution was to continuously speed up the odds frequency cycles. Recently three locations, Belmont in New York, Laurel Park in Maryland, and Gulf Stream went from 30 second updates to 20 second updates which they saw a tremendous difference. Lastly, regulations were needed in Arizona and Florida to match what other states were doing in terms of interstate simulcasting. Commissioner Choper said it was frustrating when he would make a wager at the window, for example, on a horse with seven to one odds, and then by the time he returned to his seat, the odds would have changed to nine to two. Mr. Linnell stated the odds needed to get as accurate as possible at the point that people were placing wagers. Chairman Winner asked if TRPB was working with Florida on changing its regulations. Mr. Linnell said The Stronach Group had a major presence in Florida with Gulf Stream and Gulf Stream West, so there were people that TRPB could work with. TRPB also

directly approached regulators, and another member track, Tampa Bay Downs, was interested in developing regulations.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE FEASIBILITY OF DEVELOPING A RULE REQUIRING ADVANCE DEPOSIT WAGERING (ADW) PROVIDERS TO IDENTIFY THE LOCATION OF WAGERS PLACED THROUGH AN ADW ACCOUNT; AND PROVIDE AN ACCOUNTING OF ALL WAGERS PLACED AT A CALIFORNIA THOROUGHBRED RACING FACILITY ON DAYS WHEN LIVE THOROUGHBRED RACING IS BEING CONDUCTED AT THE FACILITY.

Greg Avioli, Thoroughbred Owners of California (TOC), stated there was a shift in wagering patterns of customers at race tracks. He said over the last five years for Golden Gate Fields, which was similar to the industry as a whole, on-track wagering decreased nine percent while advance deposit wagering (ADW) increased 11 percent. He stated it was unclear whether or not there was a direct correlation. The number of people that placed ADW wagers at tracks through devices such as cell phones was unknown. Mr. Avioli stated geolocation technology was available to determine the number of people at race tracks wagering through ADW sites. He stated TOC was not against ADW; ADW was convenient and represented the future. He said ADW had to be part of a more economic model for the California horse racing industry. He said opposition to the proposal would state that user privacy was a concern, but Uber, Google Maps, ESPN, and many other applications found on cell phones used geolocation technology. He stated TOC requested the Board to begin the process of adopting a regulation to identify the location of wagers placed through an ADW account. Chairman Winner said fans might prefer more privacy with wagering applications than with other applications. Geolocation was part of the service for some applications, such as Uber. He stated there was a question of whether some people wanted to keep others from knowing where they were at any particular time; privacy was

a legitimate concern. Brad Blackwell, Churchill Downs Technology Initiatives Company, dba Twinspires.com (TwinSpires), stated the proposal was inconvenient to the customer because customers did not want to be tracked. He stated he was personally inclined to say "no" when a mobile application requested permission to determine his location. He said an application such as Uber, was an exception; location was part of the service. Vice-Chairman Rosenberg stated if a person wanted to remain private, he could place a wager on-site at a track, rather than through ADW. Mr. Avioli said Apple already required users to provide their location in order to use a wagering application on Apple products. Chairman Winner asked if TOC had an estimate of how much handle was going to ADW sites while customers were at tracks. Mr. Avioli stated about 15 percent of the wagering, which he estimated to be about 3 to 4 million dollars in purses and commissions annually. Chairman Winner asked Mr. Avioli if he meant statewide. Mr. Avioli said statewide could be tens of millions of dollars. John Hindman, ODS Technologies, L.P., dba TVG (TVG), stated TVG had discussed the issue with TOC and had not reached a mutually acceptable answer. He said the matter was complicated and it would be premature for the Board to act. Mr. Hindman stated ADW business was currently residency-based. He said the proposal was contradictory to standard practice nationwide. Vice-Chairman Rosenberg asked why the proposal was an issue if TVG already knew where customers were in order to make sure they were placing wagers in lawful locations. Mr. Hindman stated TVG did not track the customer; Apple products located customers prior to allowing them to use the TVG application to ensure they were in a state where the customers could legally place wagers. Vice-Chairman Rosenberg asked why geolocation could not extend to TVG tracking any wagers placed through ADW while at a California race track. He stated the proposal from TOC did not want to change how the system was based; the wagering revenue would be distributed based on

residency. Mr. Hindman said the wager could not be treated both ways because of complications between jurisdictions. Mr. Hindman said the Board needed to consider the impact if ADW companies changed its format from recognizing user locations based on residence to geolocation tracking, especially since California had a lot of residents who placed wagers while travelling outside the state. He said there could be ramifications for changing the regulation that had not been explored. Mr. Hindman stated the proposal did not address how it would deal with out-of-state residents wagering through ADW at California tracks or vice-versa. Mr. Hindman stated currently, if a California resident placed a wager in another legal wagering state such as Arizona with an ADW, California received revenue. If the geolocation regulation went into effect in California, other states might follow suit. The revenue in the example would no longer go to California; the revenue would go to Arizona, the location the wager was placed. Chairman Winner asked what would happen hypothetically if geolocation was used to prohibit ADW use at California race tracks. He asked if it was possible with current technology. Mr. Hindman said he was not sure if technology was available to prohibit ADW use at California race tracks. Commissioner Krikorian stated on-track handle was consistently decreasing for meets and increasing for ADW companies. The Board had to determine the best way to protect the interest of California horse racing. Mr. Hindman said TVG had its customers and California in its best interests. Commissioner Krikorian stated the California horse racing industry was quickly moving to a dire situation; time was of the essence to come up with a solution. Commissioner Auerbach stated the TOC proposal was logical and well thought-out. She said the Board had to determine what would be fair for both the associations and the ADW companies since the customers were enjoying the Brick-and-Mortar locations but using ADW sites rather than the track tellers to place wagers. She stated fair share had to be paid for the cost incurred to keep the

race meets going at California tracks. Vice-Chairman Rosenberg asked if TVG was opposed to the concept, or if it thought the proposal would work. Mr. Hindman stated TVG wanted to address the TOC's concerns and find a way to solve the real issue without inconveniencing customers or creating a regulation that may or may not work as expected in the future. He said TVG was concerned about the future and wanted to make sure all variables were considered. Mr. Hindman stated TVG did not want customers to be negatively impacted by adding geolocation requirements to its applications software. He said TVG strived to give customers the best experience possible. Commissioner Auerbach asked how the proposal would inconvenience customers. Mr. Hindman stated some location devices were cumbersome to users. Mr. Hindman said it was not clear how well the technology could pinpoint locations. Mr. Hindman stated a cost-benefit analysis was needed. He said increased costs came from multiple types of devices, such as different phone brands which required different location technology the ADW companies would have to implement. The cost was significant and the benefit was unknown; the proposal may not be beneficial to California at all. Mr. Blackwell stated ADW provided value to the California horse racing industry without receiving much benefit for the services it provided. He stated people sometimes used the ADW sites as a way to place last-minute wagering when they were unable to get to a teller in time to place an on-track wager. He said the geolocating device would have to be very precise, and the on-track boundaries would have to be defined. For instance, if the user was in the parking lot, would that count as on-track, or would a person across the street at a restaurant accidentally get counted by the technology as being on-track. He stated a lot of people did not want to be tracked. He said the wagering customers were more guarded and wanted to protect their privacy when placing a wager, as opposed to customers using something such as Uber where location was part of the product or service. He said instead

of working against each other and trying to prevent potential wagers from being placed through ADW sites while at California tracks, the ADW companies and race track associations should work together. Mr. Blackwell stated there were alternative solutions that could work for both sides of the issue. He said different tracks, including some in California, had successful events where customers engaged with both the tracks and ADW sites, which benefitted both. Commissioner Krikorian stated a solution needed to be found quickly, or there would be an economic problem for the California horse racing industry. Mr. Blackwell said TwinSpires was originally reluctant to run an ADW company, but then it embraced the new technology. He said if Churchill Downs had not added ADW as a way for customers to engage in wagering, it would have lost its customers, and would not have new customers. ADW sites allowed the California horse racing industry to take wagers from parts of the United States that it would have never been able to without ADW. He said ADW companies paid the highest host fees that California tracks were getting through any wagering outlet, and it distributed the California signals more broadly than any other wagering outlet. He stated ADW was part of the solution to save the industry. Mr. Blackwell said if the track associations wanted customers to engage with their on-track products, it was up to them to make a more engaging product; put some responsibility back on the track. He stated some tracks outside of California had put devices at the box seats to make wagering more convenient; California tracks could do something similar to engage the customers. Commissioner Choper said there was value to have the ADW companies, however, it was concerning when people at the track avoided going to the windows to place wagers. He said it directly affected the purses and commissions for the industry. Vice-Chairman Rosenberg stated the Board was not asking ADW companies to disappear; the Board needed a way to encourage customers to wager through the tracks in order to keep the tracks viable. Chairman

Winner said the Board was responsible for the California horse racing industry budget. He stated it was critical to make sure the horsemen and everyone else involved received the funds that should be flowing to them. He stated the Board had to find something beneficial to the industry that did not negatively impact ADW customers. Chairman Winner stated another issue was job positions that were lost when people did not place wagers through tellers. John Valenzuela, Pari-Mutuel Employees Guild - Local 280 (Local 280), said Local 280 supported geolocation. He said that purses were affected because of the loss of on-track handle-which affected attendance, the quality of the horses, etc. He said staffing was based on attendance, and with the decline on-track handle, the number of jobs available was reduced. John Ford, BAM Software and BetAmerica (BetAmerica), said there was another segment of ADW wagering besides the mobile application; mobile websites (URL) were different from the mobile applications, and could create inconveniences to customers which may cause customers to place wagers elsewhere, such as through illegal avenues. Mr. Ford stated New Jersey, for example, was very strict as to its borders, and New Jersey geolocation requirements hampered online wagering in that state. He said imposing additional requirements or inconveniences to ADW customers would decrease overall handle. Vice-Chairman Rosenberg asked why BetAmerica had more customers using the URL sites compared to its applications. Mr. Ford stated the URL site had greater functionality and users had the additional step of downloading the mobile application prior to its use. Vice-Chairman Rosenberg asked if BetAmerica could determine the percentage of its users at tracks that used its mobile application versus URL sites. Mr. Ford stated it was not able to make that determination at this time. Cliff Goodrich, California Thoroughbred Horsemen's Foundation (CTHF), stated CTHF received 2/3 of its budget from winning uncashed tickets, known as outs, and ADW. He said if the concept carried to other states, it may have negative repercussions for

small foundations such as CTHF. Chairman Winner said the proposal would be sent to the Legislative Committee for further discussion.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSAL TO MODIFY THE TEXT OF PROPOSED CHRB RULE 1489.2, CRITERIA TO EVALUATE REHABILITATION OF A PERSON WHEN CONSIDERING DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE, TO REQUIRE THAT SPECIFIED CRITERIA SHALL BE USED WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.

Philip Laird, CHRB Staff Counsel, stated the proposed addition of CHRB Rule 1489.2, Criteria to Evaluate Rehabilitation of a Person When Considering Denial, Suspension, or Revocation of an Occupational License, was heard and approved by the Board in November 2015. However, staff subsequently reviewed the proposed language with the Office of Administrative (OAL), and OAL made recommendations to further modify and improve the text. Mr. Laird said there were three minor changes. The first modification was amending the title of the regulation to reflect the fact that rehabilitation criteria would be considered when deciding whether or not to deny, suspend, or revoke a license - not after the license had already been denied, suspended, or revoked. The second modification was changing the word "may" to "shall" in subsection (a). The final modification was changing the word "should" to "shall" in subsection (a)(6). He believed the modifications would meet OAL approval. There was a 15 day public comment period for the modifications with one comment submitted. Mr. Laird said any comments received would be given full consideration and response in the final statement of reasons. Commissioner Choper **motioned** to approve the proposal to modify the text of proposed CHRB Rule 1489.2, Criteria to Evaluate Rehabilitation of a Person When Considering Denial, Suspension, or Revocation of an Occupational License. Vice-Chairman Rosenberg **seconded** the

motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Choper. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE**, TO ADJUST THE JOCKEY RIDING FEE SCALE PURSUANT TO BUSINESS AND PROFESSION CODE SECTION 19501.

Philip Laird, CHRB Staff Counsel, stated the proposed amendment to Rule 1632, Jockey's Riding Fee, would adjust the scale of jockey riding fees pursuant to Business and Professions Code Section 19501. He said Governor Brown recently signed Senate Bill 3, effectively increasing minimum wage annually between January 1, 2017 and January 1, 2022. Each time minimum wage was scheduled to rise, the Board was required to adjust the losing mount fee accordingly, therefore, an amendment to Rule 1632 would be required every year through January 1, 2022. The proposed amendment to Rule 1632 addressed resultant issues to Rule 1632 that were expected to arise from the Senate Bill 3 wage increases. Commissioner Auerbach asked if the Board could amend Rule 1632 so it could be amended once, rather than heard and amended by the Board each year. Mr. Laird stated it was up to the industry stakeholders to change legislation in order for the Board to not hear and amend Rule 1632 each time minimum wage was adjusted. Mr. Laird said the language had to be modified to read "place purse or show purse" in subsections three and four. Commissioner Solis stated as an active jockey, he would abstain from voting on the proposed amendment to Rule 1632. Commissioner Auerbach **motioned** to amend the proposed amendment to Rule 1632, Jockey's Riding Fee. Commissioner Krikorian **seconded** the motion, which was **passed** by a five to zero vote with one abstention. Roll Call Vote: Aye: Choper, Rosenberg, Winner, Auerbach, Krikorian. Nay: None. Abstain:

Solis. Motion Carried. Commissioner Auerbach **motioned** to direct staff to initiate a 45-day public comment period regarding the proposed amendment to Rule 1632, Jockey's Riding Fee. Vice-Chairman Rosenberg **seconded** the motion, which was which was **passed** by a five to zero vote with one abstention. Roll Call Vote: Aye: Krikorian, Auerbach, Winner, Rosenberg, Choper. Nay: None. Abstain: Solis. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING, TO REQUIRE THAT RACING ASSOCIATIONS INCLUDE A FUROSEMIDE AGREEMENT WHEN SUBMITTING AN APPLICATION FOR LICENSE AND PROPOSED AMENDMENT TO CHRB RULE 1845, AUTHORIZED BLEEDER MEDICATION, TO REQUIRE THAT AUTHORIZED BLEEDER MEDICATION BE ADMINISTERED BY INDEPENDENT, THIRD PARTY VETERINARIANS.

Executive Director Rick Baedeker stated in the Staff Analysis for the proposal to amend Rule 1433, Application for License to Conduct a Horse Racing Meeting, and Rule 1845, Authorized Bleeder Medication, in addition to the other states listed as those having adopted third-party Lasix, Florida had also adopted regulations. He said CHRB's proposal was different than any other in the country due to the changes and additions that had been made over the years. Executive Officer Annmarie Del Mugnaio, California Veterinary Medical Board (VMB), stated VMB worked closely with the CHRB on the proposed rule and believed it was a good proposal; it supported the inclusion of the veterinary client-patient relationships, the importance of maintaining provisions that placed medical decisions, including diagnosis, treatment, and prescribing drugs with the veterinarian. The VMB also worked closely with the CHRB on compliance issues to ensure the protection of racehorses. She said VMB had established a strong working relationship with CHRB over the past several years and looked forward to more

opportunities to assist CHRB in its enforcement and regulatory efforts. Vice-Chairman Rosenberg asked why the VMB objected to language previously proposed by the Board. Ms. Del Mugnaio stated VMB's objection to previously proposed language had to do with not having a physical exam and a veterinary client-patient relationship established before the administration of a drug to a race horse. The VMB felt strongly that in order to maintain the integrity of the racetrack administration of drugs and the authority of the veterinarian to make decisions, there needed to be a physical exam prior to the administration of Lasix. She stated the VMB's objections were addressed under the new proposal. Vice-Chairman Rosenberg asked how the proposed rule would work in practice; how time consuming would it be? Dr. Rick Arthur, CHRB Equine Medical Director, stated an application for a horse on the bleeders list would require the attending veterinarian to complete the CHRB form 194, and the Lasix veterinarian would have to consult with the owner, trainer, or trainer's veterinarian and examine the horse on the racetrack prior to administering Lasix. However, it did not mean every time every horse had to be examined; once a horse was examined and it was determined what the horse required in terms of Lasix; it could only be administered between 150 and 500 milligrams. The horse had to be examined once a year and a record maintained of that, only 10-15 percent of horses would require a pre-race examination once gone through the entire population of the track. Commissioner Choper asked what percentage of horses ran with Lasix. Dr. Arthur said between 98 to 99 percent at Santa Anita, with Standardbreds slightly less. Commissioner Choper asked how would it be determined that it was medically necessary to administer Lasix to a horse that never bled? Dr. Arthur said with an endoscopic examination blood would be found in 80 percent of the horses at any one time; while a cytological examination, which involved samples taken from the trachea, would reveal that 100 percent of the horses bled. He stated under the current

rule a trainer could put a horse on Lasix without consulting his veterinarian. Because of the effects of Lasix, the average horse ran between three and five points faster. Commissioner Choper asked why that was. Dr. Arthur said there were various reasons. Horses on Lasix lost weight. There were other physiological effects of Lasix, and horses bleed less. Commissioner Choper asked what the general consequence of the rule were; as it appeared nothing significant had changed. Dr. Arthur stated that was correct; the wagering public would not see a difference. Third-party Lasix administration was part of the Association of Racing Commissioners International (ARCI) uniform medication program. Dr. Herbert Warren, Veterinarian, said he wanted to give some insight on how Lasix affected horses. The main effect of Lasix was on the heart; it increased the stroke volume efficiency of the heart, making the heart more effective in pumping oxygen to the lungs, thereby increasing its potential to run better – it was in no way a stimulant as some people believed. He believed Lasix allowed horses to run safer because the heart worked better. Commissioner Choper asked how much Lasix was used outside of the United States. Dr. Warren stated he believed Lasix was not used in Europe due to politics. Dr. Warren said the current proposal was nothing more than a politically correct move, and if the Board thought veterinarians did not have the integrity to administer Lasix on race day, then they should not have the integrity to be on the race track at all. Dr. Michelle Griffin, Veterinarian, stated when the rule was noticed to the public, there was no mention of the financial impact to veterinarians. She stated the rule required that the administrator's syringe be retained until testing of the horse was complete. If there was a positive, the syringe would be tested as well. She stated simply testing the syringe would not determine whether there was an illegal drug present. Once the needle was used to administer Lasix, a small amount of blood was drawn back in the needle. The blood could be contaminated with a substance that someone else gave the

horse at another time. Dr. Arthur stated Dr. Griffin was correct in that if there was a prohibited drug in the horse it would come back in the syringe with the blood. However, the pre-existing substance could be differentiated. Vice-Chairman Rosenberg asked if the veterinarians hired to administer Lasix would be full-time, practicing veterinarians on the backstretch, or would the racing associations contract with a company to provide all of the veterinarians? Dr. Arthur stated the language was left flexible enough to allow the horsemen's association and the racing association to determine how the services would be provided. The only requirement was that 30 days prior to being hired, the Lasix veterinarians could not have had a business relationship with anybody whose horse they would be administering Lasix to. Don Shields, stated the alternatives he proposed, such as the security escorts accompanying the private practitioners, or providing a pure sample of the syringe contents prior to administration, better accomplished the goals of the proposed rule. He said the proposed rule purported to assure that only furosemide would be administered on race day. However, the rule did not provide any provisions that would make it impossible for third-party administrators to inject anything they wanted with the furosemide. The individuals would not be monitored, accompanied, or observed in any way. Their syringes would not be confiscated by the representatives of the Board, as current practice, but rather provided to the Board. He stated the proposed rule stated that the regulatory veterinarians would have veterinary client patient relationships with every horse examined. However, by definition having a veterinary client patient relationship meant they assumed responsibility for the care and treatment of the animal. The veterinarians could supervise the treatment of the race day administration; these actions were in direct conflict with CHRB Rule 1560, Duties of the Official Veterinarian, and Rule 1561, Duties of the Racing Veterinarian, which expressly prohibit the regulatory veterinarians from treating or prescribing treatment for horses except in the case of an

emergency, accident, or injury. He asked how the proposed rule addressed any natural or undetectable substances being administered, and what would happen if a horse encountered an emergency due to the administration of race-day Lasix. What did it mean for the veterinarian who administered the Lasix to attend to the horse until the arrival of the owner's veterinarian? If the medication was mistakenly administered into an artery rather than a vein, there would be no time to wait for anyone to arrive; treatment would have to be immediate or it would be too late. The ARCI model rule stated the third party veterinarians could not work as private veterinarians. What provisions of the proposed rule limited their practice at all once they were hired? Chairman Winner said the proposed regulation would be reviewed by the Office of Administrative Law (OAL), and if OAL found issues it would be sent back. Dr. Michael Steppe, stated he believed horses ran better on Lasix because they did not bleed, it was a pretty simple thing. He said he had worked as a veterinarian since 1964, and in the many years treating horses, he worked long days caring for the horses, not just administering Lasix; veterinarians took a lot of pride in their work caring for the horse, and hearing people saying the veterinarians were medicating the horses illegally was upsetting. They were viewed as despicable people by the public while they had done nothing wrong. He said he had six medication infractions in 50 years of service. Chairman Winner stated he understood Mr. Steppe's concern and appreciated his comments. He said he agreed with him about the integrity of veterinarians. Dr. Karen Valdo, stated she was a private practitioner, in business for 30 years, and she took offense to some of the proposed language that removed the private practitioner from the stalls on race day; it implied that the private practitioners were doing something wrong. She said there was no concrete reason for why private practitioners could not administer Lasix. To her knowledge there were no bad tests. She asked why would a third-party administer Lasix and how would the CHRB ensure

they would not administer something adverse? She stated anybody could administer anything to a horse orally or injectable; it did not have to be a veterinarian. Commissioner Choper asked if licensed trainers should have the ability to administer Lasix. Dr. Valko said no. Commissioner Choper said he understood that trainers could administer Lasix. Dr. Arthur stated trainers did not administer Lasix, trainers had Lasix administered; it could be administered orally. Vice-Chairman Rosenberg asked if a registered veterinary technician (RVT) could administer Lasix. Dr. Arthur said a veterinarian would have to be involved to supervise the RVT. Commissioner Auerbach stated the proposed rule had nothing to do with the competency or integrity of the veterinarians. Vice-Chairman Rosenberg asked if the Board had the right to approve the Race Day Furosemide Agreement attached to the race meet application. Mr. Laird said if the Board was not satisfied with that aspect of the application, it had the right grant or deny the race meet application. Dr. Robert O'Neil, Director of Health and Safety for the Stronach Group, stated third-party administration of Lasix had worked well in Florida since its implementation in 2014. The primary goal was putting the horse first and maintaining integrity. He said Florida implemented third-party administration via house rule, and hired its own veterinarians that worked for Gulf Stream Park, with examining veterinarians conducting the morning exams, and the Lasix veterinarians administering the Lasix. Vice-Chairman Rosenberg asked if they could have private practices. Dr. O'Neil stated they could not work any race horses that would be racing at Gulf Stream Park. Chairman Winner asked if the veterinarians in Florida and New York that no longer administered Lasix had complaints regarding losing money. Dr. O'Neil said the veterinarians were happy they no longer administered Lasix and were able to focus on their horses. Executive Director Rick Baedeker asked if Dr. O'Neil would be a resource to California regarding his experiences in Florida if the proposed regulation was approved. Dr. O'Neil said he

would be more than happy to provide his insight. Commissioner Auerbach **motioned** to approve the amendment to Rule 1845, Authorized Bleeder Medication, and Rule 1433, Application to Conduct a Horse Racing Meeting. Commissioner Solis **seconded** the motion, which carried five to zero, with one abstention. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Choper. Nay: None. Abstain: Rosenberg. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE FISCAL YEAR 2016/2017 AGREEMENT PROVIDING FUNDING SUPPORT FOR THE BOARD.

Francisco Gonzalez, CHRB Staff, requested approval for the formula to fund CHRB operations for the Fiscal Year 2016/2017. Executive Director Rick Baedeker asked Mr. Gonzalez if all the stakeholders were in agreement. Mr. Gonzalez said the industry was consulted when staff devised the formula and all stakeholders signed off on the agreement. Chairman Winner asked if the advance deposit wagering (ADW) companies participated in providing revenue for the budget. Earlier in the meeting ADW representatives were saying they supported racing in California, so why not provide funding support for the Board? He asked if legislative change was required. Jacqueline Wagner, Assistant Executive Director, stated legislative change was needed to require ADW companies to provide funding support for the Board. Chairman Winner stated he recommended the Legislative Committee look into making a legislative change at its August 2016 meeting. He said he recognized ADW companies were an asset to the horse racing industry, but that they should also aid in funding the Board. Vice-Chairman Rosenberg said if the ADW companies were added into paying for the costs, it would help. The amount would be significant to the California racing industry, but immaterial to the ADW companies. Commissioner Auerbach stated ADW companies were not the only members of the horse racing

industry that did not participate; it would be good to look into all options. Commissioner Auerbach **motioned** to approve the budget for fiscal year 2016/2017. Commissioner Choper **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Choper, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion Carried.

MEETING ADJOURNED AT 1:21 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JULY 14, 2016
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

JULY 14, 2016
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS

July 14, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT LOS ALAMITOS RACE COURSE SEPTEMBER 7 THROUGH SEPTEMBER 27, 2016.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Los Alamitos Race Course September 7 through September 27, 2016, or 12 days; two more days than 2015. LACF proposes to race a total of 102 races; 17 more races than 2015. Live racing will commence September 8, 2016 through September 25, 2016. LACF is not participating in the California Authority of Racing Fairs (CARF) combined horse racing meeting.

- The proposed race dates are the approved dates allocated to the fair.

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing Thursday through Sunday. 9 races per day Saturdays and Sundays; 8 races per day on Thursdays and Fridays.
- Racing concurrently with Oak Tree at Pleasanton September 22-25, 2016 and Golden Gate Fields September 9-11 and 15-18, 2016.
- 2015 Race Meeting at Los Alamitos ran Thoroughbreds only: Average number of runners per race: 7.65.
- First post 2:00 p.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. – 10:00a.m.
 - \$2 Daily Double (DD) on first and last two races. Placement of last DD will depend on number of races. DD takeout rate of 20%.
 - \$1 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all qualifying races.
 - Incoming simulcasts will not follow host track format.
 - \$2 Pick 6 last six live races 70% carryover, 30% minor. Alternate runner provision
 - \$0.50 Pick 4 starting second race and last four races. Alternate runner provision.
 - \$0.50 Pick 5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.

- \$1 Pentafecta (Super H5) in the last race of the day only selecting the first five finishers in a same race. 100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners.
- The Advance Deposit Wagering (ADW) providers are BetAmerica, Xpressbet, Twinspires, and Watch and Wager.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinspires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpire is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

- Specific Changes from the 2015 license application:
 - Turf Club Season Table package plan no longer available.
 - Grandstand Season Table package plan no longer available.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California agreement
2. California Thoroughbred Trainers agreement
3. Marketing plan
4. California Emergency Medical Services Authority certification for American Medical Response

RECOMMENDATION:

If the outstanding items are not received by Wednesday, June 29, 2016, Staff recommends that the application not be heard at the July 14, 2016 Board meeting.

LOS ALAMITOS RACING ASSOCIATION

4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743

June 29, 2016

Brad McKinzie
Vice-President
Los Alamitos Racing Association
Los Alamitos, CA 90720

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Following is the status of the missing items pertaining to the license application for the Los Angeles County Fair meeting at Los Alamitos.

Item 11C – Current ADW agreement – We have requested a current ADW agreement from the TOC and are awaiting a reply

Item 15B – Ambulance Certification – We have requested an updated certification from American Medical Response and are awaiting a response.

Item 17A – D – Marketing Plans – We are finalizing marketing plans.

Horsemen's Agreements – We are awaiting both the CTT and TOC agreements.

We see no problems in being able to provide these missing documents within the next 10 days. Our marketing plan is simply awaiting final agreements for a "California Chrome Day" and there are no hurdles that we know of with our horsemen's agreements.

Thank you for your consideration on this matter,

Brad McKinzie

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev. 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair: Los Angeles County Fair /c/o
Los Alamitos Race Course 4961 Katella Ave Los Alamitos, CA 90720 714-820-2800 / fax 714-820-2743

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:
Brad McKinzie 714-820-2743 fax - 714-820-2813

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: Sept. 7 - Sept. 27
- B. Actual dates racing will be held: Sept. 8,9,10,11,15,16,17,18,22,23,24,25
- C. Dates racing will NOT be held: Sept. 7, 12,13,14,19,20,21,26,27
- D. Total number of racing days: 12
- E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thurs. - Sunday

3. RACING PROGRAM

A. Total number of races: 102

CHRB CERTIFICATION	
Application received: 6/9/14	Hearing date: 7/14/16
Reviewed: LH	Approved date:
	License number:

2016 Proposed Los Alamitos Fall Stakes Schedule

Date	Name	Type	Conditions	Distance	Advertised			Net
					Gross	Barrett's	NES	Cost
Guaranteed Stakes:								
Sat, 9/10	Beverly Lewis	G	3-year-old fillies	6 Fur.	75,000		5,000	70,000
Sat, 9/10	E.B. Johnston Stakes	G	Cal Bred 3 & Up	6.5 fur.	75,000		5,000	70,000
Sat, 9/17	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000
Sun, 9/18	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000
Sat, 9/24	Los Alamitos Derby-Grade II	G	3 year-olds	1 1/8th mile	200,000		30,000	170,000
TOTAL					550,000	80,000	60,000	410,000

TOTAL FROM PURSE ACCOUNT

410,000

2015 Los Alamitos Fall Stakes

Date	Name	Type	Conditions	Distance	Advertised			Net
					Gross	Barrett's	NES	Cost
Guaranteed Stakes:								
Thur, 9/10	Beverly Lewis	G	3-year-old fillies	6.5 fur.	75,000		5,750	69,250
Sat, 9/12	E.B. Johnston Stakes	G	Cal Bred/ 3 & Up	1 mile	75,000		6,650	68,350
Sun, 9/20	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	37,650	9,500	52,850
Sat, 9/19	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	35,000	7,500	57,500
Sun, 9/13	Comender Stakes	G	2-year-olds	6 fur.	75,000		3,250	71,750
TOTAL					425,000	72,650	32,650	319,700

TOTAL FROM PURSE ACCOUNT

487,400

Special Starter Allowance Stakes Series

Fri, 9/25	Buena Park	G	3 & Up, NW 2 in '15, non starter for \$8k in '14-15	5.5 fur.	30,000	-	1,350	28,650
Fri, 9/25	Westminster	G	F&M, '3 & Up, NW 2 in '15, non starter for \$8k '14-15	5.5 fur.	30,000	-	2,100	27,900
Fri, 9/25	Rossmoor	G	3 & Up, NW 2 in '15, non starter for \$16k in '14-15	1 mile	35,000	-	1,250	33,750
Fri, 9/25	Long Beach	G	F&M, '3 & Up, NW 2 in '15, non starter for \$16k '14-15	1 mile	35,000	-	1,050	33,950
Fri, 9/25	Seal Beach	G	3 & Up, non starter for \$25k or less in '14-15	6 fur.	45,000	-	1,550	43,450
TOTAL Starter Stakes Series						175,000	7,300	167,700

B. Number of races by breed:

<input type="text" value="102"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9				8	8	9
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

The \$200,000 Los Alamitos Derby has been added at the request of the TOC in a desire to keep a Grade II race on the California calendar.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

The \$100,000 Contender Stakes for 2-year-olds has been dropped as it drew 4 entries in 2015. The Starter Allowance Series has also been dropped as handle on the races did not justify the increase in purse for each race category.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

- G. List all post times for the daily racing program:
- 1st race – 2:00 pm
 - 2nd race – 2:30 pm
 - 3rd race – 3:00 pm
 - 4th race – 3:30 pm
 - 5th race – 4:00 pm
 - 6th race – 4:30 pm
 - 7th race – 5:00 pm
 - 8th race – 5:30 pm
 - 9th race – 6:00 pm (Saturday and Sunday only)

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
- J. Michael Ortiz, Chairman of the Board
 - Cid Pinedo, Vice Chairman
 - Linda Bosserman
 - Ron Bolding
 - Bill Fox
 - Heidi Hanson
 - Tom Hsieh
 - John Landherr
 - Tina Loza
 - Steve Morgan
 - John Solomon
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: J. Michael Ortiz, Michael Seder
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:
- J Michael Ortiz
 - Cid Pinedo
 - Michael Seder
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
- Brad McKinzie – bradmckinzie@aol.com
 - Michael Seder – seder@fairplex.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double _____
Proposed percentage: __Pick 5 – 14%, Daily Double 20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2015 – 10 racing days	54,295,082	63,962
2014 – 11 racing days	63,631,510	84,091
2013 – 13 racing days	59,394,551	111,875
2012 – 13 racing days	67,835,280	115,089
2011 – 13 racing days	62,751,153	125,879

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- All races other than stakes:
Current meet estimate: \$2,474,589
Prior meet actual: \$2,041,086

Average Daily Purse (7A1 ÷ number of days):
Current meet estimate: \$206,216
Prior meet actual: \$204,109

LOS ALAMITOS RACING ASSOCIATION

4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743

June 7, 2016

Brad McKinzie
Vice-President, General Manager
Los Alamitos Racing Association
Los Alamitos, CA

Mr. Rick Baedeker
Executive Secretary
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association on behalf of the Los Angeles County Fair is seeking approval from the California Horse Racing Board to offer a 14% takeout on Pick Five wagering and a 20% takeout on Daily Double wagering at our upcoming Los Angeles County Fair thoroughbred racing season.

We are making this request in order to offer our fans continuity in the wagering formats from other southern California thoroughbred meetings.

We have requested approval from the Thoroughbred Owners of California on this matter and we expect that approval prior to our license application review at your next CHRB meeting.

Thank you for your consideration on this matter.



Brad McKinzie
Los Alamitos Racing Association



June 1, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

RE: 2016 LA County Fair Race Meet at Los Alamitos

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Los Angeles County Fair race meet from September 7-27, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



June 1, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2016 LA County Fair Race Meet at Los Alamitos

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Los Angeles County Fair race meet from September 7-27, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
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Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

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2011)
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ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

CORPORATE OFFICE

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ARCADIA, CA 91007
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WWW.TOCONLINE.COM

2. Overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 0

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: 0
 Prior meet actual: 0

3. Non-overnight stakes:
 Current meet estimate: \$410,000
 Prior meet actual: \$487,400

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$34,167
 Prior meet actual: \$48,740

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$2,884,589
 Prior meet actual \$2,528,486

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$236,196
 Prior meet actual: \$199,181

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$8,463	\$7,225
TOC \$16,926	\$14,451
NTRA \$2,624	\$3,485
PCQHRA	
CWAR	
ARAC	
AMRA	
CHBPAPEN \$25,390	\$21,676
CTHF \$21,676	\$21,676
Total \$75,079	Total \$68,513

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: 3,195,864
 Prior meet actual: \$2,796,180

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$266,322
 Prior meet actual: \$279,618

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$2,125,013
 Prior meet actual: \$1,801,715
- Average Daily Purse (7E ÷ number of days):
 Current meet estimate: \$177,084
 Prior meet actual: \$180,172
- F. Purse funds to be generated from interstate handle:
 Current meet estimate: \$822,426
 Prior meet actual: \$688,188
- Average Daily Purse (7F ÷ number of days):
 Current meet estimate: \$68,536
 Prior meet actual: \$68,819
- G. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Bowen, McBeth Inc. 10722 Arrow route Suite 110 Rancho Cucamonga, CA 91730
 909-944-6465 – email – craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita – 1,900, San Luis Rey Downs – 495, Galway Downs - 150
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTWINC stabling agreement on file with CHRB

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c). n/a. 1986 meet was at Fairplex Park
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: \$16.25
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Santa Anita - \$180 round trip, \$360 round trip, \$360 round trip

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site at Los Alamitos and at all auxiliary training sites during all training hours
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private vets on site at Los Alamitos and all auxiliary training sites during all training, workout and racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering form . No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

CHRB-18 (Rev. 2/15)

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT	
			Yes	No
Race #1	\$1E, \$1 Tri \$2DD \$1PK3 0.50P5 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1		
Race #2	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1		
Race #3	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1		
Race #4	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1		
Race #5	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1		
Race #6	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1		
Race #7	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1		
Race #8	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1		
Race #9	\$1E, \$1 Tri \$2DD \$1PK3 Super H5 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1	ARCI #004-105 Subsection X Pick (n) Position (x) Pools	
	\$2 Rolling Daily double 20% takeout Placement of last DD will depend on # of races			
	\$1 Trifecta on all eligible races (CHRB 1979.1)			
	\$0.10 Superfecta wagering on all eligible races (CHRB 1979.1)			
	Incoming simulcasts will not follow host track format			
	\$2 PNP6 last six races 70% carryover, 30% minor alternate runner provision (CHRB 1976.9)			
	\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)			
	\$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout, One tier payoff, 100% carryover			
	\$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race			
	100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners			

- C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. All wagers the same from last year
- D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution September 25, 2016
- E. List any options requested with regard to exotic wagering:
- F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:
Each racing day from 8:30 am to 10:00 am

- G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote. Contract expires August 2020

Steve Keech 11200 Pepper Rd Hunt Valley MD 800-345-1566

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

Race #1 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, PK5 - 14%, superfecta 23.68%
 Race #2 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, P4 - 23.68% superfecta 23.68%
 Race #3 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, PK6 - 23.68%, superfecta 23.68%
 Race #4 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, PK6 - 23.68%, superfecta 23.68%
 Race #5 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, PK4 - 23.68%, superfecta 23.68%
 Race #6 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, superfecta 23.68%
 Race #7 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, superfecta 23.68%
 Race #8 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, superfecta 23.68%
 Race #9 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS - 15.43%, PK3 - 23.68%, Super H5 - 23.68% superfecta 23.68%

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
 XpressBet, Twin Spires, Watch and Wager, Lien Games - Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Agreements on file with CHRB
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No
- If yes, attach a copy of the approval. On file with CHRB
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
 SCOTWINC
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
 Agreement on file with CHRB
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:



July 1, 2016

Mr. Brad McKinzie
Los Alamitos Racecourse
4961 Katella
Los Alamitos, CA 90720

RE: 2016 LA County Fair Meet at Los Alamitos ADW Approvals

Dear Brad,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section XXXII. Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on LARC and other Thoroughbred races during the 2016 LA County Fair Meet at Los Alamitos, September 7 - 27, 2016, as follows:

1. **California wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,

Nick Coukos
President

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05, 2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

-C
-F

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

Hollywood Park
3883 W. Century
Inglewood, CA 90303

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

Striders Norco (mini-satellite)
2895 Harbinger Ave.
Norco, CA 92860

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

- C
- F

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

2016 Los Alamitos (Thoroughbred) Import Tracks

Track	Track
Arlington	Mountaineer Park
Assiniboia	Northlands
Australia Racing	NYRA - Aqueduct, Belmont, Saratoga
Canterbury	PARX
Churchill Downs	Penn National
Delaware Park	Pimlico
Delta Downs	Prairie Meadows
Ellis Park	Presque Isle
Emerald	River Downs
Evangeline	South America Racing
Fort Erie	Suffolk Downs
Gulfstream	Sunray Park
Hastings Park	Tampa Bay
Indiana Downs	Thistledown
Keeneland	Turf Paradise
Laurel	Turfway Park
Lone Star	United Kingdom
Louisiana Downs	Woodbine
Mahoning Valley	
Monmouth	

- E

**2016 Los Alamitos
Co-Mingled Locations**

AmWest Entertainment	Dover Downs	Mahoning Valley	Scarborough Downs
AmWest Accounts	Dubuque (Iowa Greyhound)	Marion's (South America)	Schojo Downs
Greenbrier (WV)	Elite Turf Club (1 to 17)	Maryland Jockey Club	Seabrook Greyhound
Riders Up (SD)	Ellis Park	Maywood	Social Gaming
Time Out Lounges (SD)	Emerald Downs	Meadowlands	Sol Mutual
Triple Crown (SD)	Evangelina Downs	Meadows The	Sol Mutual - Odds Broker
Arapahoe-Mile High	Fair Grounds	Meadows The (ADW) - PA regional	Sol Mutual - Oribanda Casino
Arma Race Club	Fair Grounds ADW	Miami Valley Gaming & Racing	Southland Greyhound
Arhngton I	Fair Meadows	Mobile Greyhound	Sports Creek Raceway
Baltimore	Favorites at Gloucester	Mingham Park	State Fair (Lincoln, NE)
Baltimore ADW (XB Illinois)	Favorites at Vineland	Montana OTB	Suffolk District OTB
Bangor Raceway	Finger Lakes	Monticello	Suffolk Downs
Batavia	Finger Lakes ADW	Mountaineer Park	Sunland Park
Bellera Park	Fonnter Park	Int'l Plessanti Meadows	Sunray Park & Casino
Bettor Racing	Freshold	Nassau Regional OTB	Tampa Bay Downs
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taurian ADW
Bluffs Run Greyhound	Global Wagering Solutions	Newport Jai Alai	Taylor Dog Track Inc.
Borgata Casino	Betson, Playwin, Big Bet, World	New Jersey ADW (4NJ Bets)	The Downs at Aqueduct
Buffalo Raceway	Racobets, Int'l Betting Assoc	Northfield Park	ThistleDown
Canterbury Park	Magna Bet, Fjordbetten, Dantolo	Northville Downs	Tioga Downs
Capital District OTB	St. James, Boylesports	NYRA	Tri-State GH (Mandi Gras)
Catskills OTB	Intelligent Gaming	NYRA ADW	Turf Paradise
Charles Town Race Course	Greentrack	Oaklawn Park	Turf Paradise Bets (ADW)
Chester Downs (Harrah's Philly)	Greyhound @ Post Falls	Oaklawn Park ADW	Turfway Park
Churchill Downs	Gulf Greyhound	Ocean Downs	TVG
Coeur d'Alene Casino	Gullstrand Park	Panama	TVG Chester
Colonial Downs	Harrington Raceway	PARX	TVG High Volume
Colonial Downs ADW	Harrington Bets ADW (DE regional)	PARX ADW	TVG Prairie
Columbus Raceway	Hawthorne Race Course	Penn National	Two River Greyhound
Connecticut OTB	Hawthorne ADW	Penn National ADW	Twin Spires
Bradley Teletheater, Bristol	Hazel Park	Penn National ADW	Twin Spires High Volume
New Britain, Norwalk, Milford	Horseshoe	Penj	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	Hoosier Park	Plainridge Race Course	Venezuela OTB
Shoreline Star, Sports Haven	Hoosier Park	Plainridge ADW	Vermont Downs
Yorlington, Waterbury	Hotamarr's Park	Player Management Group	Walchland Wager
Manchester, New London	Idabet	Potomac Downs ADW	Western OTB
Williamatic, Sanford OTB	Indiana Downs	Potomac Downs/OTB	Wheeling Downs
John Martin's Manor Restaurant	Clarksville/Evansville OTB	Portland Meadows	Will Rogers Downs
Connecticut OTB ADW	Intermountain Racing	Potomac Downs/OTB	Wyoming OTB
Coushatta Casino	Keeneland	Prarie Meadows	Wyoming Downs
Paragon Casino	Kentucky Downs	Prentiss Turf Club	XpressBet
H2-Chunk Casino and Racebook	Kentucky OTB	Presque Isle Downs	Yonkers Raceway
Mohegan Sun Casino	Les Bols (Treasure Valley)	Racing 2 Day ADW	Yonkers ADW
Onida Bingo and Casino	Lewiston OTB's	Racing 2 Day Intl. (St. James)	Youbet Group 1
Pony Bar Simulcast Center	Lien Games	Remington Park	Zia Park
Tote Investment Racing	Chips Lounge and Casino	Rehington OTB Network	128 Gaming
Randall Janice RaceTrack	Howard Johnsons OTB	Reliance Park	Separate Pool Locations
Milkenlun Racing	Ruhions OTB	Racing & Gaming Services	Camstero (Puerto Rico)
Royal Beach Casino	Skydancer Casino DTB	Rialto Park	Caymanas (Jamaica)
Divi Carina Bay Casino	Lien Games ADW	Rockingham Park	Cedera (Mexico/Spain)
Winnier's Circle	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	Miff Books (California)
Cambridge Gaming	Lone Star	Ruidosa Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Louisiana Downs	Running Aces Harness Park	Tabcorp (Australia)
Dayton Raceway	LVDC	Sam Houston	Taiibet (Australia)
Deleware Park	Atlanta Paradise Casino	Saratoga Harness Raceway	New Zealand Racing Board
Delta Downs	Buffalo Thunder Resort	Saratoga Bets (ADW)	
Dorby Jockey	Foxwoods Resort Casino		
	Meskikid Bingo & Casino		

Canadian Locations

Assiniboia, Barrie, Century Downs, Chatham Downs, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodroma de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Plover Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Britannia Hub, St. John's, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Turf Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 - Association Veterinarian(s) Dr. Dana Steed DVM
 - Clerk of Scales Charles McCaul
 - Clerk of the Course Lisa Jones
 - Film Specialist Board of Stewards
 - Horse Identifier – Jennifer Paige
 - Horseshoe Inspector – Victor Tovar

Paddock Judge – Karen Denoval
 Patrol Judges – Randy Valdez, Karen Denoval
 Placing Judges Kevin /Colosi, Dawn Schmid
 Starter – Gary Brinson
 Timer – Russ Hudak

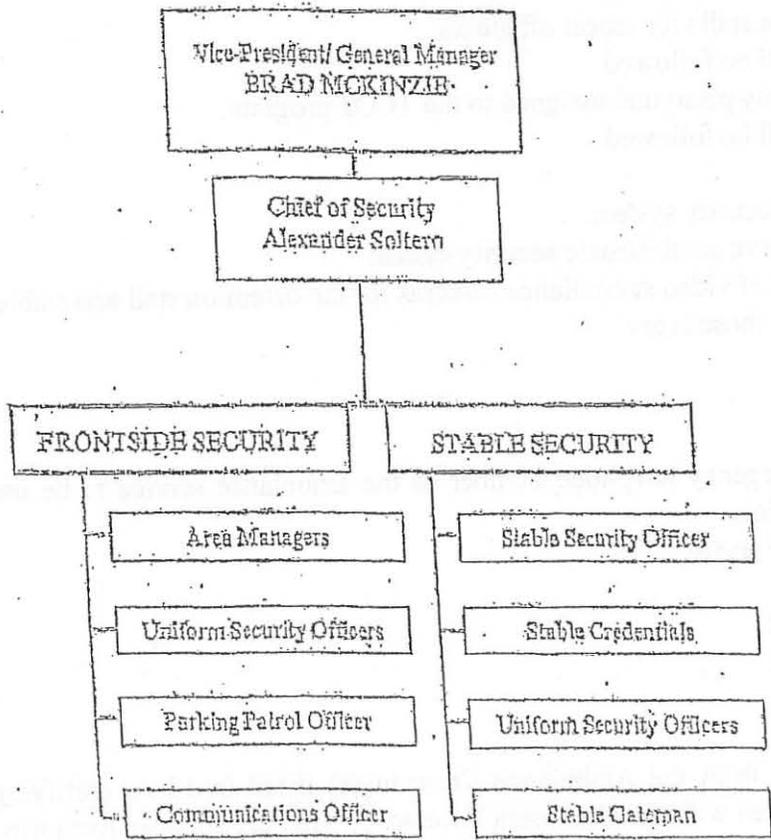
- B. Management officials in the racing department:
 Director of Racing Robert Moreno
 Racing Secretary – Robert Moreno
 Assistant Racing Secretary – Chris Merz
 Paymaster of Purses – Dawn Jackson
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Weinstein court Reporters
 P O box 26634 Santa ana, CA 92799 949-637-6071
 Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
 Plusmic Corp USA Bill O'Brien contract expires Dec. 31, 2017
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
 Pegasus Communications
 Jim Porep, President
 16633 Ventura Blvd Suite 1010 Encino, CA 91436 contract through April 21, 2017
 1 camera end of homestretch
 1 camera end of backstretch
 1 camera coming out of final turn
 4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: electronic timing devices owned and operated by Los Alamitos Race course

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, chief of Security
 714-820-2660 frontside / 714-820-2832 backside
 Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
 1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators

LOS ALÁMITOS RACING ASSOCIATION

Security Live Racing



20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
2. Detention Stalls: Los Alamitos does not use detention stalls
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed
 - B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed
 - C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed
 - D. Number of security personnel assigned to the TCO2 program.
CHRB directives will be followed
- C. Describe the electronic security system.
Los Alamitos does not have an electronic security system
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
No video surveillance in those areas

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
Huntington Ambulance Service
P O Box 145
Sunset Beach, CA 90742
Sunset Beach, CA 90742
Track # 714-820-2845
 1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
San Luis Rey Downs
North county Fire Protection District
31403 Old River Rd
Bonsall, CA 92003

LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

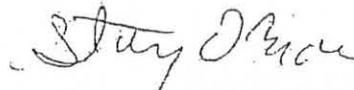
February 16, 2016

Dear CHRB Board,

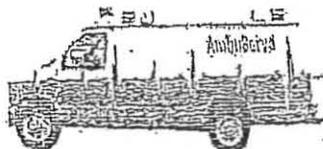
I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



AmbuServe
Ambulance



October 6, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Supervisor



Symons Ambulance
18592 Cajon Blvd.
San Bernardino, CA 92407
(909) 880-2979

June 16, 2015

To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kuri Dye
Operations Manager
909-454-5093 Cell Phone
909-880-2979

Santa Anita
AmbuServe
15105 S Broadway
Gardena, CA 90248
Bryan Rushing
310-644-0500

Galway Downs
Symons Ambulance Service
28078 Baxter Rd
Murrieta, CA 92563

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

C. Describe the on-track first aid facility, including equipment and medical staffing:

Contracted track physician: Oxygen equipment, blood pressure monitoring, backboard, cervical spine collar, 3 patient beds

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Michael Morris, 714-820-2710

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Los Alamitos Medical Center
3571 Katella Ave
Los Alamitos, CA 90720
562-598-1311

Long Beach Memorial Hospital (Certified Trauma Center)
2801 Atlanta Ave
Long Beach, CA 90806
562-933-2000

UC Irvine Medical Center
101 The City Drive
Orange, CA 92868
714-456-7890

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Frank Sherren

H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached

- F

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

PROCEDIMIENTOS DE EMERGENCIA MÉDICA PARA LESIONES A JINETES EN EL HIPODROMO PRIMERA RESPUESTA

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballo suelto, que deben permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre-deseñado a las afueras de Los Alamitos en la valla al fondo de la pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran graves por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comités Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre está abierta.

H



ORANGE COUNTY FIRE AUTHORITY

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000 www.ocfa.org

November 3, 2015

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

Douglas A. Hartman,
Senior Fire Prevention Specialist

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self Insured – Finish Line Self Insurance Group, Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Handicappers –

Bob's Card, Tiffany Bohland 6288 Highland Meadows Dr. Medina OH 44256

Winner's Card Corp , Don Harris 924 Knob Hill Ave. Redondo Beach, CA 90277

Today's Racing Digest, Jack Karches 2080 Las Plamas Carlsbad CA 92009

Triangle Turf Winners, William Archer 1 Scott St. Gardnerville, NY 89410

- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC. A GENERAL
PARTNERSHIP, DRA LOS ALAMITOS RACE COURSE
(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

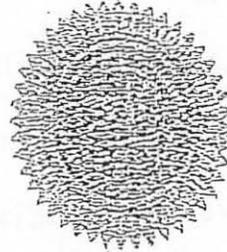
This certificate may be revoked at any time for good cause shown.*

EFFECTIVE
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA


MARK T. JOHNSON, MANAGER


JOHN M. REA, DIRECTOR



*Revocation of Certificate.- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) This Certificate may be revoked for non-compliance with Title 8, California Administrative Code, Group 2 - Administration of Self-Insurance.



CERTIFICATE OF LIABILITY INSURANCE

FINILIN-C1 SPRZEMIELEWSKI

DATE (MM/DD/YYYY)
9/24/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski
	PHONE (A/C, No, Ext): (626) 799-7000 FAX (A/C, No): (626) 441-3233 E-MAIL ADDRESS: info@boltonico.com
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos, CA 90720	INSURER(S) AFFORDING COVERAGE NAIC #
	INSURER A: New York Marine & General Ins. Co. 16608
	INSURER B:
	INSURER C:
	INSURER D:
	INSURER E:

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDITIONAL INSURER	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTIONS					EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N <input type="checkbox"/> Y N/A	WC2016EPP00329	01/01/2016	01/01/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 Los Alamitos Race Course Eff. 1/1/2016

CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board 1010 Hurlay Way, Suite 300 Sacramento, CA 95825	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
---	---

- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
 - 1. Horsemen – additional horsemen parking
 - 2. Fans – additional televisions throughout plant
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
 - Admission (general) \$3
 - Admission (clubhouse) \$5
 - Vessels Club - \$10
 - Reserved seating (general) free
 - Reserved seating (clubhouse) free
 - Parking (general) free
 - Parking (preferred) \$6 / \$10 premium
 - Parking (valet) n/a
 - Programs (on-track) \$3.00
 - (off-track) \$3.00
- B. Describe any "Season Boxes" or other special accommodation fees: n/a
- C. Describe any "package" plans such as combined parking, admission and program: n/a

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 40px;" type="text" value="60"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys: Separate quarters with own showers, sauna, lockers. Shared common area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men / 8 ladies
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 - 20

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,100

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81 % sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-always is: - 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes, track superintendent / Dennis Moore track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): no exceptions

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

BRAD MCKENZIE
Print Name

[Signature]
Signature

V.P. General Manager
Print Title

6-7-16
Date

STAFF ANALYSIS

July 14, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE OAK TREE RACING ASSOCIATION AT PLEASANTON ON BEHALF OF THE CALIFORNIA AUTHORITY OF RACING FAIRS, COMMENCING SEPTEMBER 21, 2016 THROUGH OCTOBER 4, 2016

- Oak Tree Racing Association (OTRA) filed its application to conduct a horse racing meeting of a California fair at Pleasanton beginning September 21 through October 4, 2016, or 6 days, the same as the San Joaquin County Fair in 2015. In 2015 San Joaquin County Fair raced September 11 through September 20, 2015. OTRA proposes to race a total of 72 races. OTRA will be simulcasting on September 21, 22, 28, 29 and live racing will begin on September 23, 2016.
- Assembly Bill 1303, (Hall), Chapter 7, Statutes of 2014 added Business and Professions Code section 19549.16, which authorizes the Board to allocate racing days to a fair in the northern zone to be conducted by the fair, or at the fair's request, allow the Board to license a racing association that was licensed to conduct racing meetings in California before 2010, to operate the fair's race meeting during its allocated dates. OTRA conducted a thoroughbred horse racing meeting at Santa Anita in 2009 and a race meeting at Hollywood Park in 2010.
- At the April 21, 2016 regular meeting, the Board heard and approved a request from the California Authority of Racing Fairs (CARF) to reallocate the San Joaquin County Fair race dates and designate the 2016 allocated race dates of the Alameda County Fair (6/15/16 - 7/5/16), Cal-Expo State Fair (7/6/16 - 7/26/16), Humboldt County Fair (8/17/16 - 8/30/16), Los Angeles County Fair (9/7/16 - 9/27/16), CARF at Alameda County Fairgrounds (9/21/16-10/4/16), and the Big Fresno Fair (10/5/16 - 10/18/16) as a combined fair horse racing meeting.
- The proposed race dates are the approved race dates reallocated to CARF which are now part of the 2016 combined fair racing meeting.

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Friday through Sunday for two weeks with 12 races per day.

San Joaquin County Fair:

- 2015 Race Meeting: Average number of runners per race (TB): 6.18
- 2015 Race Meeting: Average number of runners per race (Arabian): 6.67

- 2015 Race Meeting: Average number of runners per race (Quarterhorse): 7.33
- 2015 Race Meeting: Average number of runners per race (Mules): 6.5
- First post 2:15 p.m. Fridays and 1:15 p.m. on Weekends.
- Wagering program will use CHRB rules and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 thoroughbred races – Pick five on last thoroughbred 5 races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 - \$0.20 Pick 6 on last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
 - \$0.20 Pentafecta (Super High 5) selecting first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers. 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.
- Early wagering will not be offered.
- Applicant is requesting the distribution date of the carryover pool to be October 16, 2016 (Closing Day of the Big Fresno Fair). Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpire, Bet America - Lien Games and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Profession Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Track safety inspection has been requested and will be completed before the race meeting begins.

RECOMMENDATION:

Staff recommends the Board approve the application.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
 CHRB-18 (Rev.2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

- A. Name, mailing address, telephone, and fax numbers of fair:

Oak Tree Racing Association at Pleasanton Fall Meet on behalf of CARF
Alameda County Fair Agricultural Association
4501 Pleasanton Avenue, Pleasanton, CA 94566
Phone: 925-426-7600 Fax: 925-426-7599

Oak Tree Racing Association
201 Colorado Place, Arcadia, CA 91007
Phone: 626-833-2209 Fax: 626-574-0852

- B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

- C. Provide the name, telephone, and email address for the fair contact person:

Jerome Hoban C.E.O., 925-426-7501, jhoban@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: **September 21 – October 4, 2016**
- B. Actual dates racing will be held: **September 23, 24, 25, 30 & October 1 & 2**
- C. Dates racing will NOT be held: **September 21, 22, 26, 27, 28, 29, Oct 3 & 4. September 21, 22, 28 & 29 will be simulcast only days.**
- D. Total number of racing days: **6**
- E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Friday-Sun

CHRB CERTIFICATION

Application received: **6/27/16**
 Reviewed: *ngb*

Hearing date: **7/14/16**
 Approved date:
 License number:



a California joint powers agency

1776 Tribute Road, Suite 205
Sacramento, CA 95815
Office: 916.263.3349 Fax: 916.263.3341
www.calairs.com

June 29, 2016

California Horse Racing Board

Mr. Rick Baedeker:

At the April CHRB meeting a motion was made to reallocate the Stockton dates to the California Authority of Racing Fairs (CARF) for the 2016 Racing Season. The motion was approved.

Since that meeting the Oak Tree Racing Association (OTRA) has entered into an agreement with Pleasanton to run the racing dates as the Oak Tree at Pleasanton Fall Meet.

To complete this agreement the Business and Professions Code Section 19549.16 requires CARF gain approval from CHRB to allow OTRA to conduct live racing during the dates originally allocated to San Joaquin County Fair (Wednesday, September 21 – Tuesday, October 4, 2016).

Respectfully request the approval be granted.

Respectfully Yours,

A handwritten signature in cursive script that reads "Larry A. Swartzlander".

Larry A. Swartzlander
Chief Operating Officer

3. RACING PROGRAM

A. Total number of races: 72

B. Number of races by breed:

<input type="text" value="56"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="6"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="5"/>	Mules

C. Number of races daily:

	<u>Fri 9/23</u>	<u>Sat 9/24</u>	<u>Sun 9/25</u>	<u>Fri 9/30</u>	<u>Sat 10/1</u>	<u>Sun 10/2</u>
Thoroughbred	10	9	9	10	9	9
Other Breeds	2	3	3	2	3	3
Total	12	12	12	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="1"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value=""/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

2016
 Oak Tree Juvenile
 \$50,000 Added Overnight Stakes
 (Plus up to \$15,000 to Cal-Breds)
 Two Year Olds, 1 Mile
 Sat, October 1

2015
 The Harvest Stakes
 \$50,000 Added Overnight Stakes
 (Plus up to \$15,000 to Cal-Breds)
 Three-year-olds & Upward F/M – Six Furlongs
 Sat, September 26

2014
 The Harvest Stakes
 \$50,000 Added Overnight Stakes
 (Plus up to \$15,000 to Cal-Breds)
 Three-year-olds & Upward F/M – Six Furlongs
 Sat, September 20

Race meet was moved from Stockton to Pleasanton. Location and availability of horses dictated a change in conditions.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Fridays</i>	<i>Weekends</i>
Race # 1	2:15 p.m.	1:15 p.m.
Race # 2	2:45 p.m.	1:45 p.m.
Race # 3	3:15 p.m.	2:15 p.m.
Race # 4	3:45 p.m.	2:45 p.m.
Race # 5	4:15 p.m.	3:15 p.m.
Race # 6	4:45 p.m.	3:45 p.m.
Race # 7	5:15 p.m.	4:15 p.m.
Race # 8	5:45 p.m.	4:45 p.m.
Race # 9	6:15 p.m.	5:15 p.m.
Race # 10	6:45 p.m.	5:45 p.m.
Race # 11		6:15 p.m.
Race # 12		6:45 p.m.

** As much as possible, we intend to align our post times to complement the Santa Anita Meet and Los Alamitos.*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the Oak Tree Directors: John H. Barr, Sherwood C. Chillingworth, Dr. Rick Arthur, Thomas R. Capehart, Richard Mandella, Warren B. Williamson and Robert W. Zamarripa Sr.

B. Names of the fair directors:

President Richard Sealana, Vice President Gordon Galvan, Jared Amgott-Kwan, Jack Balch, Paul Banke, Jason Chin, Dawna Dos Santos, Passy Gilbert, Frank Imhof, Rose Johnson, Jack Kavanah, Michael Mahoney, Jim McGrail, Chuck Moore, George Pacheco, Jr., Don Sherratt, Robert Silva, Craig Smith, Harvey Smity, John Smith, Naomi Wallace, Tim Weaver, Eric Wentz, Robin Wilma and Ron Zino

C. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Chair: Frank Imhof/Vice-Chair, Jack Kavanagh, Jack Balch, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Chuck Moore, Don Sherratt, Craig Smith, Harvey Smith, John Smith, Naomi Wallace, Ron Zeno

D. Name and title of the fair manager or executive officer and the names and titles of all department managers, fair staff and CARF other than those listed in 12B, who will be listed in the official program:

Alameda County Fair: C.E.O. Jerome Hoban, Director of Racing Jeanne Wasserman, Maintenance Operations Manager Richard Sims, Track Superintendent Jim Burns, Track

Announcer – Chris Griffin & Stable Manager Kevin Ingram.

Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Jerome Hoban, C.E.O.
 4501 Pleasanton Avenue
 Pleasanton, CA 94566
 jhoban@alamedacountyfair.com

Jeanne Wasserman, Director of Racing
 4501 Pleasanton Avenue
 Pleasanton, CA 94566
 jeanne@alamedacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2015	12,154,837	22,553	6 Days
2014	13,434,559	25130	6 Days
2013	13,834,206	27,061	6 Days
2012	13,695,735	34,567	8 Days
2011	8,685,300	25,382	4 Days

*History represents San Joaquin County Fair Racing Handle and attendance.

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):
Numbers represented in this section are based on the 2015 San Joaquin County Fair meet.

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$533,417
 Prior meet actual: \$533,417

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$88,902
 Prior meet actual: \$88,902

2. Overnight stakes:
 Current meet estimate: \$50,000
 Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$8,333
 Prior meet actual: \$8,333

3. Non-overnight stakes:
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$583,417
 Prior meet actual \$583,417

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$0
 Prior meet actual: \$0

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$66,227
 Prior meet actual: \$66,227

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$ 1,749	\$ 1,749
TOC \$ 3,499	\$ 3,499
NTRA \$ 847	\$ 847
PCQHRA \$ 1,231	\$ 1,231
CWAR \$ -0-	\$ -0-

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ARAC	\$ 1,314	\$ 1,314
AMRA	\$ 2,695	\$ 2,695
CHBPAPEN	\$ 5,249	\$ 5,249
CTHF	\$ 5,249	\$ 5,249
Total	\$ 21,833	Total \$21,833

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$671,477

Prior meet actual: \$671,477

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$111,912

Prior meet actual: \$111,912

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: \$598,317

Prior meet actual: \$598,317

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$99,719

Prior meet actual: 99,719

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$120,061

Prior meet actual: \$120,061

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$20,010

Prior meet actual: \$20,010

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and

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horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
684 (100 Portables available if needed)
- B. Minimum number of stalls believed necessary for the meeting:
2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,440**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Golden Gate Field – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Northern California Stabling and Vanning Fund (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$11.74
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
- Dr. Donald Dooley 530-472-1330
 - Dr. Sara Sporer 510-220-4860
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
See Attached

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format Yes No **(On File with CHRB)**

**PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)
EQUINE EMERGENCY SERVICES**

Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1. GOLDEN GATE FIELDS VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Fridays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

2. PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE

Mondays:	Closed
Tuesdays:	AM Only – TBD
Wednesdays:	AM Only – TBD
Thursdays:	AM Only – TBD
Fridays:	AM Only – TBD
Saturdays:	AM Only – TBD
Sundays:	AM Only - TBD

TBD – Rotating schedule amongst list from exhibit A



June 28, 2016

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2016 Race Meet Applications for all CARF Racing Fairs:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF,	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1



	S.20 SUPER HIGH 5	ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, S.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, Oak Tree at Pleasanton Fall Meet and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
 \$0.50 PNP4 on the first four races and the last four thoroughbred races each day
 \$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
 \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
 \$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Trifecta (1TRI)	1979
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

FORMAT	TYPE OF WAGERS	APPLICABLE RULES	CARF	WAGERING
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE		Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9		
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1		
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)		
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)		
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)		
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9		

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	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **16 October, the last day of the Fresno Fair.** Carryovers would include the Gold Rush Pic six, the Gold Strike Pentafecta and the pic five or four.

E. List any options requested with regard to exotic wagering:

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin? Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote (Dave Calendine) Expiration: October 30, 2020.

Equipment description on file with Board.

- H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

Race #1

Race #2

Race #3

Race #4

Race #5

Race #6

Race #7

Race #8

Race #9

Race #10

Race #11

Race #12

Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:

Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America & Watch & Wager.

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB.

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. – All contracts on file with CHRB.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:

Northern California Off-Track Wagering, Inc. (NOCTWINC)

- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

On File

- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Len Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

Don Jacklin
American Mule Racing Association

[Type text]

[Type text]



Pacific Coast Quarter Horse Racing Association

April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires, Game Play network, Inc. and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Bud Alessio
President



April 22, 2016

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2016 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, CARF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpire, WatchandWager, and Bet America to accept wagers on CARF and other Thoroughbred races during the 2016 CARF race meeting commencing June 15, 2016 and continuing through October 18, 2016, as follows:

1. **California wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Nick Coukos, President

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETTLER (2010-11)

CORPORATE OFFICE

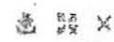
285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

4/27/2016

(6 unread) - sav2276 - Yahoo Mail

Back to Message 2016 ADW Letter for ARAC for ... 1/1

<Larry@CalFairs.net>



April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that the Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Wager accept wagers from California residents on races conducted at CARF and races conducted at tracks located California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Letter Agreement dated April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson

[Type text]

1/1

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Bankers Casino, Salinas
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Firehouse Restaurant, Bakersfield
 Golden Gate Fields, Albany

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura

Fairplex Park, Pomona
 Fantasy Springs Casino, Indio
 Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<u>2016 IMPORT TRACKS</u>	
ARLINGTON	9/21-10/2
BELMONT	9/21-10/2
ASSINIBOIA	
AUSTRALIAN RACING	
BEULAH	
CALDER RACECOURSE	
CANTERBURY DOWNS	
CHARLES TOWN	
CHURCHILL DOWNS	
DELAWARE	
DOWNS AT ALBUQUERQUE	
ELLIS PARK	
EMERALD DOWNS	
EVANGELINE DOWNS	
FORT ERIE	
GULFSTREAM	
HASTINGS PARK	
HAWTHORNE	
INDIANA DOWNS	
KEENLAND	
KENTUCKY DOWNS	
LAUREL	
LONE STAR	
LOUISIAN DOWNS	
MONMOTH	
MOUNTAINEER PARK	

CHRB-18 (Rev. 2/15)

NYRA-AQUEDUCT	
NYRA-BELMONT	
NYRA-SARATOGA	
PENN NATIONAL	
PHILADELPHIA PARK (PARX)	
PIMLICO	
PRAIRIE MEADOWS	
PRESQUE ISLE	
RIVER DOWNS	
SOUTH AMERICAN RACING	
SUFFOLK DOWNS	
SUNLAND PARK	
SUNRAY PARK	
TAMPA BAY	
THISTLEDOWN	
TURFWAY PARK	
TURF PARADISE	
UNITED KINGDOM	
WOODBINE	

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

2016 CARF Meets

Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The Meadows The (ADW) - PA regional	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Millers OTB	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Mobile Greyhound	Sol Mutuel
Arima Race Club	Fair Grounds	Monmouth Park	Southland Greyhound
Arlington I	Fair Grounds ADW	Montana OTB	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Monticello	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Mountaineer Park	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mt. Pleasant Meadows	Suffolk Downs
Bangor Raceway	Fonner	Nassau Regional OTB	Sunland Park
Batavia	Freehold	New Jersey Casino Assoc.	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Newport Jai Alai	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	NJ Bets (ADW)	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	Northfield	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northville	Thistledown
Canterbury	Race bets	NYRA	Tioga Downs
Capital District OTB	Greenetrack	NYRA Account Wagering	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Oaklawn	Turf Paradise
Charles Town Race Course	Gulf Greyhound	Ocean Downs	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Panama	Turfway Park
Churchill Downs	Harrington Raceway		TVG Chester
Club Hipica InTurf	Harrington Bets (DE regional)		TVG Network

CHRB-18 (Rev. 2/15)

Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentucky Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	Bet America and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:

OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Striders, San Diego, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 12D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:
N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Los Alamitos	Quarter Horses	Per CHRB Calendar Full Card	
Cal Expo	Harness Horses	Per CHRB Calendar Full Card	

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- Association Veterinarian(s) – Sarah Sporer, D.V.M.
 - Clerk of Scales – Kenneth Sjoldal
 - Clerk of the Course – Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)
 - Film Specialist – Danny Winick & Assistant Clerk of Scales
 - Horse Identifier – Darrel Sparks
 - Horseshoe Inspector – Augustine Rayas
 - Paddock Judge – Matt Nichols
 - Patrol Judges – Lisa Jones & Matt Nichols
 - Placing Judges – McKenzie Talbot & Cheryl White
 - Starter – Todd Stephens
 - Timer – Melody Truitt

- B. Management officials in the racing department:
 Director of Racing Oak Tree at Pleasanton (OTP) – **Larry A. Swartzlander**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Linda Anderson**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Niccoli Reporting
619 Pilgrim Dr.
Foster City, CA 94404
Telephone: 650-573-9339
pdqcsr@comcast.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract (Expires 4/30/18)
Equipment description list attached.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract (Expires 4/30/2021)

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. See Attached
Racetrack/Grandstand: Security Eye Patrol and/or Alameda County Sheriff's Office
Liaison Officer: For Security Eye Patrol: Captain John Rogan 925-518-7104
Liaison Officer: For Alameda County Sheriff's Office: Commander Don Buchanan
510-225-5889
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
Racetrack/Grandstand: 6 Security Eye Patrol Guards and/or 2 Alameda County Sheriff Officers.
Barn Area: 3 Licensed Gatemen based on 8/hour shifts, per day, 7 days per week. 1 night rover, 7 nights per week.
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
NA
 2. Detention Stalls:
 - A. Attach a plan for use of graded stakes or overnight races.
N/A. Addressed in B1 above.
 - B. Number of security guards in the detention stall area during a 24-hour period.
One

Video patrol system equipment - 2016

Video Production

Three Tier Expando (37' x 12'6")
 3 Position production deck
 5 Position mid deck
 4 Position rear deck
 32 input 2ME Switcher
 144x144 Video/Audio Router
 7 HD Sony Broadcast cameras and support gear
 2 Other cameras HD POV remote
 5 Box Lenses 75x to 55x
 4 Eng Lenses 20x
 Pegasus DataLinks interface / HD broadcast graphics system
 15/10 CH Record playback, multi channel slow motion instant replay

Audio

Yamaha PM 4000 52X8X2 console
 Genelec monitors
 8 Channels of DBX Compressor/Limiters
 Bluray/DVD/CD Player
 3 Sennheiser HMD 24 announce
 headsets
 3 Daltec announce boxes w/ talkback
 4 short shotguns
 2 long shotguns
 6 EV 635
 Sony ECM 44B Lav mic
 5 line amps

Intercom

RTS Adam CS
 64 ports
 9 PL channels, 12 IFB channels 12 BP 325

Power/Dimensions

208v, 3 phase, 200amp
 150' Camlock cable
 12 ton HVAC
 53' trailer + AC = 57'
 37' X 4" expando
 13'6" height clear
 8'6" wide clear (transport)
 80,000 lbs

Item #14 A:**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Superintendent in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items may be coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol.

Alameda County Agricultural Fair Association

Jerome Hoban, CEO	925-567-6032
Richard Sims, Manager of Maintenance Operations	925-596-5744
Jeanne Wasserman, ACF Director of Racing	925-567-6045
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye Patrol

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519
Security Eye Patrol Command Center	925-426-7556

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Commander Don Buchanan	510-225-5889

Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

C. Describe number and location of surveillance cameras in detention stall area.

Surveillance cameras at each end of the detention shed row and two in between.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

All horses in thoroughbred races where the number is determined by a random algorithm generator.

B. Plan for enhanced surveillance for trainers with high-test results.

Trainer with high test results will be moved to the detention area.

C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.

4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, 510-504-3616.**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Golden Gate Fields

Turf Rescue LLC

4470 Hillsborough Drive

Castro Valley, CA 94546

510-581-8470

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached

C. Describe the on-track first aid facility, including equipment and medical staffing:

See Attached

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

Dr. Tom Streeter Cell 209-608-8009

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

See Attached

G. Name of health and safety manager and assistant manager responsible for compliance of health



January 25, 2016

Coleen Seymour
Westmed Ambulance Service
14275 Wicks Blvd.
San Leandro, Ca. 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda Certified through the Alameda County Emergency Medical Service Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions or concerns please feel free to contact me at coleens@westmedambulance.com.

Best,

A handwritten signature in cursive script that reads "Coleen Seymour". The signature is written in black ink and is positioned above the typed name and title.

Coleen Seymour
Director of Operations
Westmed Ambulance
510.504.3616

Westmed Ambulance Service
14275 Wicks Blvd San Leandro, CA

510.614.1420



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County
Public Health Department



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred Claridge
EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a grey box



BLS CHECK OUT

Date: _____ Shop: _____ Unit: _____ Mileage: _____

GAS CARD# _____ AED TEST OK _____ In House O2 PSI _____

RADIO# _____ PAGER# _____

EMT 1: _____ EMT 2: _____

	Tag #	QTY	31
CAB A	Stretcher Sheets (2 - 5packs)	10	
	Disposable Sheets	5	
	CHUX Underpads	6	
	Pillow Cases	10	
CAB B	Tag #	QTY	53
	KTD Traction Splint	1	
	Sterile OB Kit	1	
	Newborn Stocking Cap	1	
	Bulb Sryinge	1	
	Nitrile Tourinquet	2	
	Combat Tourinquet	2	
	Oral Glucose (25g tube)	2 tubes	
	Alcohol Prepartion Pads	5	
	Bite Sticks	2	
	Tongue Depressors	4	
	Cloth Tape 1"-2" (2 each)	4	
	Transpore Tape 1"-2" (2 each)	4	
	Eye Wash (Bottle)	1	
	Metal Eye Shield	2	
	Digital Therometer	1	
	Thermometer Sheaths	1 box	
	Trauma Shears	1	
	Sterile Water (2000cc)	4	
	Irrigation Saline (2000cc)	4	
	BP Cuff (INF,Pedi,Adult,LG AD)	1 ea (4)	
	Penlight	2	
	CAB C	Tag #	QTY
Band Aids		1 box	
4X4 Gauze Pad		1 box	
Roll Bandage 2" - 3" - 4" (3 each)		9	
Chemical Activated Cold Packs		8	
Chemical Activated Heat Packs		2	
5X9 ABD Pads		2	
Triangle Bandage		4	
Petroleum Gauze		2	
BloodStoppers		6	
10X30 Trauma Dressing		6	
Burn Sheet (with exp. Date)		1	
4" Bulky Gauze Roller		2	
Triage Tags (DMS version) (County Approved)		20	
CAB D	Tag #	QTY	30
	Adult BVM	2	
	Pedi BVM	2	
	Infant BVM	2	
	NPA 14,18,22,24,26,28,30,32,34	1 ea (10)	
	NPA Lubrication Packet	6	
OPA #1-8	1 ea (8)		

	Tag #	QTY	31
CAB E	Adult NRB	3	
	Pedi NRB	2	
	Infant O2 Mask	2	
	Adult Nasal Cannula	2	
	Pedi Nasal Cannula	2	
	Infant Nasal Cannula	2	
	Soft Tip 6,8,10,12,14,16,18	2 ea (14)	
	Hard Suction	2	
CAB F	Tag #	QTY	39
	P100 Masks	4	
	N95 Masks	4	
	Surgical Masks	4	
	Eye Protection	2	
	Disposable Gowns	4	
	Shoe Covers	4	
	Surgical Head Covers	4	
	Bactericidal/Virucidal Hand Wipes (box of 25)	1	
	Face Shield	2	
	Nitrile Gloves - S,M,L, XL	1 box ea	
CAB G	Tag #	QTY	21
	BioHazard Bags	5	
	Suction Canister/Lids	2 ea	
	Portable Suction-V.VAC	1	
	V.VAC Repl Cartridge	1	
	Urinal and Bed pan	1 ea	
	Drinking Water 12 oz	8	
	Disposable Cups	8	
	Foil Blankets	2	
	Paper towel roll	1	
Pair of Leather Workgloves	2		
Ear Protection	2		

Oxygen System			
In house O2		1	
Wall O2 regulator		1	
In house O2 wrench		1	
D tanks / w/ 1 regulator		2	

AED			
Adult Pads		2	
Pedi Pads		1	

Side Storage			
XL Carboard Splint		2	
Stair Chair		1	
Trash Bin		1	

39

REG 890A (REV. 5/97)

TURP RESCUE LLC
ALTO HILLSBORO DRIVE



CALIFORNIA EVIDENCE OF LIABILITY INSURANCE
DO NOT FOLD OR STAPLE - SUBMIT ORIGINAL TO DMV

This insurance complies with CVC § 16056 or § 16500.5

J.P. A...

SIGNATURE OF INSURANCE REPRESENTATIVE

NAME	VEHICLE IDENTIFICATION NUMBER (VIN)	MAKE	YEAR MODEL
Westmed Ambulance Service	1FDSS3EL8EDA40782	FORD	2014

POLICY NUMBER	POLICY EFFECTIVE DATE	POLICY EXPIRATION DATE	INSURANCE COMPANY NAME
MWTB305037	06/01/16	06/01/17	Old Republic Insurance Co.

INSURANCE COMPANY STREET ADDRESS	CITY	STATE	ZIP CODE	NAIC NUMBER
414 W Pittsburg St.	Greensburg	PA	15601	24147

FR2414706012016060120172014FOR171FDSS3EL8EDA4078200000000000000000000096

(Faint, mirrored text from the reverse side of the document)

California Home Care Board
 4011 Highway 99, Suite 200
 Sacramento, CA 95833

Old Republic Insurance Company
 1000 North Main Street
 Greensburg, PA 15601

To Whom It May Concern:
 This is to inform you that Turp Rescue LLC does not and produces are not within
 the standard care covering Practices as set forth by California Assembly Bill 1888.
 Turp Rescue LLC employs only licensed Paramedics and EMTs/First Aid
 Technicians that meet and follow the licensing requirements for Alameda County EMS.
 If you have any further questions please feel free to contact me.

(Faint signature and text)

J.P. A...
 Old Republic Insurance
 Turp Rescue LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

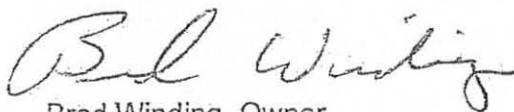
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

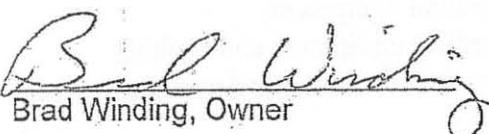
It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS


Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC


Brad Winding, Owner

Item #15 C:**OAK TREE AT PLEASANTON EMERGENCY CARE AND MEDICAL FACILITIES**

Oak Tree at Pleasanton as well as all Racing Fairs provides emergency care including comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital facilities.

Physical Resources & Staffing

Oak Tree at Pleasanton provides multiple levels of safety and medical support for its many racing participants. Specifically: an Ambulance, a fully equipped Alameda County Fire Department Paramedic Engine, a permanent First Aid building, a licensed Physician, Sheriff Deputies and security personnel, redundant communications system, local Fire Station, Horse Ambulance, etc...

Ambulance - A fully staffed and equipped ambulance is provided through a contract with Westmed Ambulance Service and located at the Track. Two Emergency Medical Technicians are on the ambulance. One Paramedic from the Alameda County Fire Dept. is stationed on a golf cart with stretcher and equipment at the entrance to the race track, ready to go out, if needed, for each race. The ambulance and crew are at the Track whenever horses are on the Track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care and transport to medical care facilities. This ambulance follows the horses during each race, at a safe distance. This is a competitively bid contract.

Fire Department Paramedic Engine – During the Live Race Meet, a fully equipped Alameda County Fire Department Paramedic Engine is parked roughly 100 feet from the Jockey's Clubhouse. The Engine is staffed with four County of Alameda Firefighters, including at least two licensed Paramedics. The Alameda County Fire Department has provided the attached 10 page listing of major equipment and supplies carried by its various Fire Engines.

Permanent First Aid Building – A permanent First Aid building is located approximately 100 feet west of the Jockey's Club House. During the Live Race Meet, the Alameda County Fire Department staffs the First Aid Building with a full Engine Company, which includes at least two certified Paramedics. The First Aid Building includes two beds, restrooms, receiving area, triage area, medical equipment, medications, etc... In addition to the array of emergency medical equipment and supplies on the Paramedic Fire Engine, the Alameda County Fire Department annually determines the equipment and supply needs of the First Aid Building.

Licensed Physician - During the Live Race Meet, a licensed Physician is on duty and is responsible for care on an ongoing basis for jockeys, track staff and allied personnel

requiring non-emergency medical care. The Licensed Physician is also associated with Valley Care Hospital, which is located 2.7 miles from the Track.

Sheriff Deputies and security personnel – Around the clock protection of the Track, Barn Area and Grandstands are provided by numerous Alameda County Sheriff Deputies and trained Security Guards (both in uniform and undercover.) For security purposes, the Fair Association does not publish the precise details of its security plans.

Redundant Communications System – During the Live Race Meet and Annual Fair redundant communications are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The Fair also has an emergency response team which responds to all emergencies both medical as well as non-medical.

Local Fire Station – A fully staffed Fire Station is located immediately across the street from the Fairgrounds on Valley Avenue. This Fire Station provides emergency medical support as requested by the County of Alameda.

Horse Ambulance – Oak Tree at Pleasanton provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Item #15F English:**Alameda County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and determine the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family members of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Item #15 F Spanish:**Procedimiento en caso de Accidente en Alameda County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Randy Magee, Health & Safety Manager, Patti Coonce, Assistant Manager

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

On file with CHRB.

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):

Self-Insured, see 14J attachment

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Food & Beverage:

Food Service: Spectra, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566

Tip Sheets: West Coast Wheelie

Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Program: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005

Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas. CA 94566

Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y. 10590

Sound System: Precision Sound Craft, Steve Hendrickson, 203 Cockrobin Ave., Windsor, CA 95492

Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:

See Attached



Alameda County Fire Department
FIRE PREVENTION

www.acgov.org/fire

April 20, 2016

DAVIDA A. ROCHA
 Fire Chief

Jerome Hoban, CEO
 Alameda County Fair
 4501 Pleasanton Avenue
 Pleasanton, CA 94566

COUNTY
 FIRE PREVENTION
 399 Elmhurst, Room 120
 Hayward, CA 94544
 tel (510) 670-5853
 fax (510) 887-5836

DUBLIN
 FIRE PREVENTION
 100 Civic Plaza
 Dublin, CA 94568
 Tel (925) 833-6606
 Fax (925) 829-9248

EMERYVILLE
 FIRE PREVENTION
 1333 Park Avenue
 Emeryville, CA 94608
 Tel (510) 596-3759
 Fax (510) 450-7812

NEWARK
 FIRE PREVENTION
 37101 Newark Blvd.
 Newark, CA 94560
 Tel (510) 578-4218
 Fax (510) 578-4281

SAN LEANDRO
 FIRE PREVENTION
 835 E. 14th Street
 San Leandro, CA 94577
 Tel (510) 577-3317
 Fax (510) 618-3445

UNION CITY
 FIRE PREVENTION
 34009 Alvarado-Niles Road
 Union City, CA 94587
 Tel (510) 675-5470
 Fax (510) 487-2117

RE: Annual Inspections of 2016 Racing

Dear Mr. Hoban,

ACFD conducted the annual inspections of the Barns on March 17 and re-inspected on April 7, 2016. As in previous years, the Fire Department will have personnel on site during fair operating hours to inspect and respond to calls for assistance as needed.

Sincerely,

Bonnie S. Terra
 Division Chief/Fire Marshal



CALIFORNIA
FAIR SERVICES
AUTHORITY

February 12, 2016

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

- A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)
Coverage continuous until cancelled

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2015 to 07/01/2017

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238

WWW.CFSA-ORG

- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
Advertising \$75,000 and Promotions \$12,000.
- C. Number of hosts and hostesses employed for meeting:
N/A
- D. Describe facilities set aside for new fans:
Daily Racing Seminars, located on the Paddock Patio
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen and Fans: the Grandstand was upgraded to HD for 2016 racing.
 2. Fans: Some wagering machines have bill receptors to enable wagering with \$5, \$10, \$20, \$50 and \$100 to be customer friendly for the fans that are not familiar with how to wager with a voucher.
 3. Facilities in the restricted areas: A painting project is on-going.

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission, Grandstand (general)	Free
Reserved seating, 1 box seat ea.	\$5.00
Reserved seating (clubhouse)	N/A
Parking (general)	\$10
Parking (valet)	N/A
Programs (on-track)	\$2.50
(Off-track)	\$2.50

- B. Describe any "Season Boxes" or other special accommodation fees:

Box seats sold by the box for the seasonal race meet. We have 4-seat, 6-seat and 8-seat boxes.

Trackside Terrace: Table for the day with upscale buffet lunch: \$40 A Champagne brunch will be offered on Sunday's.

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:

Separate area containing an office, lounge area, sauna, showers, restroom, lockers, & bunks. Jockeys & Jockettes share the scale.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **95**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 to 10.**

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5.280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Jim Burns.**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **No.**

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
All Horsemen's agreement on file with CHRB.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing

each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

No Exceptions

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

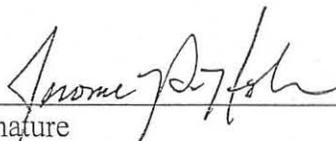
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

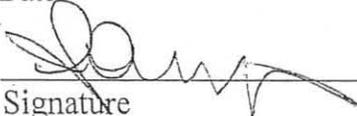
Jerome Hoban
Alameda County Fair CEO



 Signature
 6-28-16

_____ Date

Sherwood C. Chillingworth
Executive Vice-President, Oak Tree



 Signature
 6/27/16

 Date

STAFF ANALYSIS
July 14, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 28, 2016 THROUGH NOVEMBER 8, 2016.

Los Angeles Turf Club II (LATC II) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita September 28, 2016, through November 8, 2016, or 23 days (includes Breeders' Cup); four days more than 2015. LATC II proposes to race a total of 180 to 224 races, or 8.57 to 10.67 races per day. In 2015, LATC II ran 8.79 races per day with an average of 8.18 runners per race. The average daily purse for the 2015 race meeting was \$487,048. The (estimated) average daily purse for this meet is \$477,401.

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Friday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 10, 2016 and no racing on Thursday, October 13, 2016. 7 to 9 races weekdays; 9 to 11 races weekends, opening day and October 10, 2016; 10-13 races November 5, 2016; 9 to 12 races October 1, 8 and November 4, 2016.
- Racing concurrently with Oak Tree at Pleasanton September 30-October 2, 2016 and Fresno County Fair October 6-16, 2016.
- First post 1:00 p.m., weekdays, 12:30 p.m., weekends with the following exceptions:
 - Friday, November 4, 2016 Breeders' Cup – 11:15 a.m. (subject to change)
 - Saturday, November 5, 2016 Breeders' Cup - 10:05 a.m. (subject to change)
 - Post times may vary by up to 30 minutes.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. to 10:00 a.m. daily.
 - Advanced wagering will be available Friday, November 4th for Saturday, November 5th.
 - Rolling \$2 Daily Doubles beginning with the first race – 20% takeout.
 - \$1 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all qualifying races.
 - \$1 Pick 3.
 - \$2 Pick 6 Last six live races 70% Carryover, 30% Minor. Alternate runner provision.

- \$0.50 Pick 4 Starting the second race and the last four live races. Alternate runner provision.
 - \$0.50 Pick 5 on the first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover. Alternate runner provision.
 - \$1 Pentafecta last race of the day. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2016; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, Watch and Wager, and Bet America.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

The following ADW providers have submitted all documents required in compliance with Business and Professions Code section 19604, *except* the horsemen's approval:

- Lien Games Racing LLC, dba Bet America.
- XpressBet, LLC. dba XpressBet.com.
- Churchill Downs Technology Initiatives Company, dba TwinSpires.
- WatchandWager.com, LLC.
- ODS Technologies, L.P. dba TVG Network

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. California Thoroughbred Trainers Race Meet Agreement
2. Thoroughbred Owners of California approval letter of Advance Deposit Wagering
3. Certificate of Worker's Compensation expired June 30, 2016

Specific information, which will need **updating** during the proposed race dates:

1. Bond expires September 28, 2016

RECOMMENDATION:

If the outstanding items, with the exception of the Bond, are not received by Wednesday, June 29, 2016, Staff recommends that the application not be heard at the July 14, 2016 Board meeting.



June 27, 2016

Laurel Houle
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Santa Anita Park – 2016 Autumn Race Meet Application

Dear Ms. Houle,

Below is a list of items that are currently outstanding and an anticipated date that the board will receive the items.

- TOC ADW Approvals – we made requested the approvals from the TOC. We will forward to the board once we receive them from the TOC.
- Marketing Plan – we anticipate that the board will receive the marketing plan no later than by the close of business on Friday, July 1, 2016.
- CTT Agreement – we hope to have the signed CTT agreement to the board no later than the close of business on Friday, July 8, 2016.

Please let me know if you have any questions.

Sincerely,

Eric Sindler

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club II, Inc.**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond has been extended through November 6, 2016. The renewal certificate will be forwarded to the Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **September 28 – November 8, 2016**

CHRB CERTIFICATION	
Application received: <i>6/17/16</i>	Hearing date: <i>7/14/16</i>
Deposit received: <i>10k</i>	Approved date:
Reviewed: <i>LD</i>	License number:

B. Actual dates racing will be held:

September: 30

October: 1-2, 6-10, 14-16, 20-23, 27-30

November: 3-6 (Breeders' Cup is November 4th and 5th)

C. Total number of days or nights of racing: 23 Days (Including Breeders' Cup November 4/5)

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing – Monday, October 10, Columbus Day

No Racing – Thursday, September 29 and October 13

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 3 days: September 30, October 1 and 2

5 days: October 6 – 10

3 days: October 14 – 16

3. RACING PROGRAM

A. Total number of races: Estimated: Between 180 and 224 (Does not include races on November 4th and November 5th)

B. Number of races for each day or night:

- 7 – 9 races on weekdays
- 9 – 11 races on opening day, weekends and holidays
- Exceptions: Saturday, October 1st and 8th will have 9 – 12 races
Friday, November 4th will have 9 – 12 races
Saturday, November 5th will have 10 – 13 races

C. Total number of stakes races: 28 (Plus additional stakes races on November 4th and 5th.)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Attached Pending Stakes Schedule 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached

Santa Anita Stakes Comparison: 2014, 2015, & 2016

RACE DATE	RACE	2014 Purse	2015 Purse	2016 Purse	AGE	DISTANCE	
Fri, Sep 30	EDDIE D STAKES (G III)	\$100,000	\$100,000	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Oct 1	FRONTRUNNER STAKES (G I)	\$300,000	\$300,000	\$300,000	2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	AWESOME AGAIN STAKES (G I)	\$300,000	\$300,000	\$300,000	3 & UP	1 1/8 M	BC Challenge
Sat, Oct 1	RODEO DRIVE STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/4 M (T)	BC Challenge
Sat, Oct 1	ZENYATTA STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/16 M	BC Challenge
Sat, Oct 1	CHANDELIER STAKES (G I)	\$300,000	\$300,000	\$300,000	F, 2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	UNZIP ME STAKES	\$75,000+	\$75,000+	\$75,000+	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 1/4 M (T)	
Sat, Oct 8	CITY OF HOPE MILE (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 M (T)	
Sat, Oct 8	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	\$300,000	\$300,000	3 & UP	6 F	BC Challenge
Sat, Oct 8	SWINGTIME STAKES ®	\$75,000+	\$75,000+	\$75,000+	F/M, 3 & UP	1 M (T)	
Sun, Oct 9	LA WOMAN STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 10	ZUMA BEACH STAKES	\$100,000	\$100,000	\$100,000	2 YO	1 M (T)	
Mon, Oct 10	SURER GIRL STAKES	\$100,000	\$100,000	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 15	CALIFORNIA DISTAFF HANDICAP	\$100,000	\$100,000	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 16	SPEAKEASY STAKES	\$100,000	\$75,000+	\$75,000+	2 YO	6 F	
Sat, Oct 22	CALIFORNIA FLAG HANDICAP	\$100,000	\$100,000	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	\$75,000+	\$75,000+	F, 2 YO	6 F	
Sat, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	\$100,000	\$100,000	F, 3 YO	1 M (T)	
Sun, Oct 30	LURE STAKES ®	\$75,000+	\$75,000+	\$75,000+	3 & UP	1 M (T)	
Fri, Nov 4	Breeders' Cup						
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	not run	\$200,000	F, 2 YO (CA)	7 F	
Fri, Nov 4	TWILIGHT DERBY (G II)	\$200,000	\$200,000	\$200,000	3 YO	1 1/8 M (T)	
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	not run	\$200,000	3 & UP	1 3/4 M	
Fri, Nov 4	DAMASCUS STAKES	\$100,000	not run	\$100,000	3 & UP	7 F	
Sat, Nov 5	Breeders' Cup						
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	not run	\$200,000	2 YO (CA)	7 F	
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	not run	\$100,000	2 YO	abt 6 1/2 F (T)	
Sat, Nov 5	SEN. KEN MADDY STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)	
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	not run	\$200,000	F/M, 3 & UP	1 M (T)	

2016 SANTA ANITA AUTUMN PROPOSED STAKES SCHEDULE

RACE DATE	RACE	2016 PURSE	AGE	DISTANCE	
Fri, Sep 30	EDDIE D STAKES (G III)	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Oct 1	FRONTRUNNER STAKES (G I)	\$300,000	2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	AWESOME AGAIN STAKES (G I)	\$300,000	3 & UP	1 1/8 M	BC Challenge
Sat, Oct 1	RODEO DRIVE STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/4 M (T)	BC Challenge
Sat, Oct 1	ZENYATTA STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/16 M	BC Challenge
Sat, Oct 1	CHANDELIER STAKES (G I)	\$300,000	F, 2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	3 & UP	1 1/4 M (T)	
Sat, Oct 8	CITY OF HOPE MILE (G II)	\$200,000	3 & UP	1 M (T)	
Sat, Oct 8	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	3 & UP	6 F	BC Challenge
Sat, Oct 8	SWINGTIME STAKES ®	\$75,000+	F/M, 3 & UP	1 M (T)	
Sun, Oct 9	LA WOMAN STAKES (G III)	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 10	ZUMA BEACH STAKES	\$100,000	2 YO	1 M (T)	
Mon, Oct 10	SURER GIRL STAKES	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 15	CALIFORNIA DISTAFF HANDICAP	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 16	SPEAKEASY STAKES	\$75,000+	2 YO	6 F	
Sat, Oct 22	CALIFORNIA FLAG HANDICAP	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F	
Sat, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	F, 3 YO	1 M (T)	
Sun, Oct 30	LURE STAKES ®	\$75,000+	3 & UP	1 M (T)	
Fri, Nov 4	Breeders' Cup				
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 YO (CA)	7 F	
Fri, Nov 4	TWILIGHT DERBY (G II)	\$200,000	3 YO	1 1/8 M (T)	
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M	
Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7 F	
Sat, Nov 5	Breeders' Cup				
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7 F	
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)	
Sat, Nov 5	SEN. KEN MADDY STAKES (G III)	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)	
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)	

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Stakes added to schedule for 2016:

Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	3 & UP	1 1/4 M (T)
Sun, Oct 30	LURE STAKES @	\$75,000+	3 & UP	1 M (T)
Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7 F
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 YO (CA)	7 F
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7 F
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)

Stakes purse increased for 2016: 2015-\$70 2016-\$75

Sat, Oct 1	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)
Sat, Oct 8	SWINGTIME STAKES @	\$75,000+	F/M, 3 & UP	1 M (T)
Sun, Oct 16	SPEAKEASY STAKES	\$75,000+	2 YO	6 F
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Stakes removed from schedule for 2016:

Sun, Sep 27	KING PELLINORE STAKES	\$75,000+		1 1/4 M (T)
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E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program***:

Race Number	Weekday	Weekend	Friday, November 4**	Saturday, November 5**
1	1:00 p.m.	12:30 p.m.	11:15 a.m.	10:05 a.m.
2	1:30 p.m.	1:00 p.m.	11:50 a.m.	10:35 a.m.
3	2:00 p.m.	1:30 p.m.	12:25 p.m.	11:10 a.m.
5	3:00 p.m.	2:00 p.m.	1:45 p.m.	12:35 p.m.
6	3:30 p.m.	3:00 p.m.	2:25 p.m.	1:15 p.m.
7	4:00 p.m.	3:30 p.m.	3:05 p.m.	1:55 p.m.
8	*4:30 p.m.	4:00 p.m.	3:45 p.m.	2:35 p.m.
9	*5:00 p.m.	4:30 p.m.	4:25 p.m.	3:15 p.m.
10		*5:00 p.m.	5:00 p.m.	4:00 p.m.
11		*5:30 p.m.	5:30 p.m.	4:45 p.m.
12		*6:00 p.m.		5:30 p.m.
13				6:00 p.m.
(*When Applicable)				

**Note: Post times on Friday, November 4th and Saturday, November 5th are tentative and subject to change. Breeders' Cup will release final post times closer to race day.

***Post times may vary by up to 30 minutes.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **#3481872**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Scott Daruty – (Director), 0 Shares
Keith Brackpool – Chairman (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo – Controller (Officer), 0 Shares
Jane Lynn – Assistant Secretary (Officer), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Joe Morris – Senior VP, West Coast Operations (Officer), 0 Shares
6. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
7. Number of outstanding shares in the corporation: **100 Shares**
8. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, Assistant Secretary**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes No
If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. **On file with the board.**

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool – Chairman
Joe Morris – Senior Vice President West Coast Operations
Scott Daruty – Vice President
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Gina Lavo – Vice President, Finance & Controller
Rick Hammerle – Vice President, Racing and Racing Secretary
Nate Newby – Vice President, Marketing
Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Randy Fowler – Director of Food and Beverage
Randy Hartzell – Director of Pari-Mutuels
Mike Lakow – Racing Director
Lou Scalera – Chief of Security
Pete Siberell – Director of Community Service and Special Projects
Jason Spetnegal – Director of Facilities & Grounds
Mike Willman – Director of Publicity and Public Relations
Nina Zukin – Director of Information Services
Jay Cohen – Hornblower
Michael Wrona – Public Address Commentator/Announcer

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club II, Inc.
285 W. Huntington Drive
Arcadia, CA 91007

Phone (626) 574-7223

Fax (626) 446-9565

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%
DD Proposed percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

TOC and LATC Letters attached, Exhibit 5A

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.



June 10, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2016 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, September 29 through November 6, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

cc: Eric Sindler
Tom Varela
Mary Saenz



June 10, 2016

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Autumn Meet 2016

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Autumn race meet, September 29 through November 6, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

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CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
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WWW.TOCONLINE.COM



June 16, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2016 Autumn Meet, September 30, 2016 through November 6, 2016.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

cc: Joe Morris



June 16, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2016 Autumn Meet, September 30, 2016 through November 6, 2016.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

cc: Joe Morris

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015	\$167,050,366	120,582
2014	\$214,669,596	127,905
2013	\$210,596,121	133,582
2012	\$276,377,652	147,562
2011	\$206,498,901	164,341

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**A. Purse distribution:**

1. All races other than stakes:

Current meet estimate: \$ 5,684,893
Prior meet actual: \$ 5,204,200

Average Daily Purse (7 A1 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 270,709
Prior meet actual: \$ 273,905

2. Overnight stakes:

Current meet estimate: \$ 375,000
Prior meet actual: \$ 420,000

Average Daily Purse (7 A2 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 17,857
Prior meet actual: \$ 22,105

3. Non-overnight stakes:

Current meet estimate: \$ 2,725,000
Prior meet actual: \$ 2,604,000

Average Daily Purse (7 A3 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 129,762
Prior meet actual: \$ 137,084

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 8,784,893
Prior meet actual: \$ 8,228,800

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 07.3%
Prior meet actual: 07.7%

Average Daily Purse (7 B1 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 9,524
 Prior meet actual: \$ 10,526

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 974,150
 Prior meet actual: \$ 771,077

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
NTRA	\$ 9,539	\$	11,125
TOC	76,880		69,403
CTBA	64,638		69,403
CTT Pension	76,880		69,403
CTT Admin.	38,440		34,702
Total	\$ 266,377	\$	254,036

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$10,025,420
 Prior meet actual: \$ 9,253,913

Average Daily Purse (7 E ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 477,401
 Prior meet actual: \$ 487,048

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 4,284,742
 Prior meet actual: \$ 3,409,086

Average Daily Purse (7 F ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 204,035
 Prior meet actual: \$ 179,426

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 4,824,699
 Prior meet actual: \$ 4,072,834

Average Daily Purse (7 G ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 229,748
 Prior meet actual: \$ 214,360

- H. Bank and account number for the Paymaster of Purses' purse account:
 On File

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
 Phone: (909) 944-6465 Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos – 700**
 - **SLR Training Center – 495**
 - **Galway Downs – 300**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
The 2016 Stabling and Vanning agreement is on file with the Board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**
 - **Galway Downs \$300 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS	APPLICABLE RULES
Example Race: \$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **Subject to adoption of ARCI Rule, \$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket would replace existing \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution November 6, 2016
- D. List any options requested with regard to exotic wagering: **N/A**
- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.
Advanced wagering will be available on Friday, November 4th for Saturday, November 5th.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Keith Johnson
AmTote International Inc. Expires August 21, 2020
11200 Pepper Road
Hunt Valley, MD Phone: (800) 345-1566

Santa Anita Park Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*		*	
7	X	X	X	X	*	*	*		*	*
8	X	X	X	X	*	*	*		*	*
9	X	X	X	X	*	*	*			*
10	X	X	X	X	*	*	*			*
11	X	X	X	X	*	*				*
12	X	X	X	X	*					*
13	X	X	X	X						*

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% carryover; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	CHRB 1976.9 Or ARCI 004-105(G)(h); ARCI 004-105(G)(4)(c) (pending approval)	\$2 Pick 6 on the last six live races each day; 70% major pool, 30% minor pool. Alternate runner provision. OR \$2 Pick 6 on the last six live races each day; 70% major pool, 15% minor pool, 15% unique ticket.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5
23.68%	TRI; SF; PK3; PK4; PNP6, SuperH5

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet	Watch and Wager.com
Twinspires	Lien Games - Bet America
TVG	

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **To be provided to the board.**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATCHII and SCOTWINC is on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Exhibit 12D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

Exhibit 12C/F

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530
Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

16 Santa Anita Autumn Unmanned Locations

Vest Entertainment	Dubuque (Iowa Greyhound)	Mahoning Valley	Seabrook Greyhound
Amwest Accounts	Elite Turf Club (1 to 18)	Maronas (South America)	Sol Mutuel
Greenbrier (WV)	Ellis Park	Maryland Jockey Club	Sol Mutuel - Odds Broker
Riders Up (SD)	Emerald Downs	Maywood	Sol Mutuel - Otrobanda Casino
Time Out Lounge (SD)	Evangeline Downs	Meadowlands	Southland Greyhound
Triple Crown (SD)	Fair Grounds	Meadows The	State Fair (Lincoln, NE)
Shoeb-Mile High	Fair Grounds ADW	Meadows The (ADW) - PA regional	Suaposta ADW (Brazil)
Shoeb Race Club	Fair Meadows	Miami Valley Gaming & Racing	Suffolk District OTB
Shoebton I	Favorites at Gloucester	Mobile Greyhound	Suffolk Downs
Shoeb Raceway	Favorites at Vineland	Monmouth Park	Sunland Park
Shoebvia	Finger Lakes	Montana OTB	SunRay Park & Casino
Shoeberra Park	Finger Lakes ADW	Monticello	Tampa Bay Downs
Shoebor Racing	Fonner Park	Mountaineer Park	Taunton ADW
Shoebingham Greyhound	Freehold	Nassau Regional OTB	Taunton Dog Track Inc.
Shoebata Casino	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
Shoebalo Raceway	Global Wagering Solutions	Newport Jai Alai	Thistledown
Shoebterbury Park	Betsson, Planetwin, Big Bet World	New Jersey ADW (4NJ/Bets)	Tioga Downs
Shoebital District OTB	Racebets, Intl Betting Assoc	Northfield Park	Tri-State GH (Mardi Gras)
Shoebkills OTB	Magna Bet, Pferdewetten, Dantoto	Cedar Downs OTB	Turf Paradise
Shoebries Town Race Course	Stan James, Boylesports	Northville Downs	Turf Paradise Bets (ADW)
Shoebster Downs (Harrah's Philly)	Intelligent Gaming; Ladbroke's Australia	NYRA	Turfway Park
Shoeborchill Downs	Greenetrack	NYRA ADW	TVG
Shoebonial Downs	Gulf Greyhound	Oaklawn Park	TVG Chester
Shoebonial Downs ADW	Gulfstream Park	Oaklawn Park ADW	TVG High Volume
Shoebombus Raceway	Harrington Raceway	Ocean Downs	TVG Prairie
Shoebnecticut OTB	HarringtonBets ADW (DE regional)	Panama	Twin River Greyhound
Bradley Teletheater, Bristol	Hawthorne Race Course	PariBet ADW	TwinSpires
New Britain, Norwalk, Milford	Hawthorne ADW	PARX	TwinSpires High Volume
East Haven, Hartford, Putnam	Hazel Park	PARX ADW	Velocity Wagering Ltd.
Shoreline Star, Sports Haven	Hinsdale	Penn National	Venezuela OTB
Torrington, Waterbury	Hoosier Park	Penn National ADW	Vernon Downs
Manchester, New London	Horseman's Park	Peru	WatchandWager
Williamantic, Sanford OTB	Idabet	Plainridge Race Course	Western OTB
John Martin's Manor Restaurant	Indiana Downs	Plainridge ADW	Wheeling Downs
Connecticut OTB ADW	Clarksville/Evansville OTB	Player Management Group	Will Rogers Downs
Coushatta Casino	Intermountain Racing	Pocono Downs ADW	Wyoming OTB
Paragon Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming Downs
Ho-Chunk Casino and Racebook	Keeneland	Portland Meadows	XpressBet
Mohegan Sun Casino	Keeneland Select ADW	Potawatomi Casino/ OTB	Xpressbet Illinois
Oneida Bingo and Casino	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Pony Bar Simulcast Center	Kentucky OTB	Premier Turf Club	Yonkers ADW (EmpireCityBets)
Tote Investment Racing	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Group 1
Randall James Racetrack	Lewiston OTB's	Remington Park	Zia Park
Millenium Racing	Lien Games	Remington OTB Network	123Gaming
Royal Beach Casino	Chips Lounge/Rumors OTB	Retama Park	Separate Pool Locations
Divi Carina Bay Casino	Howard Johnsons OTB	Racing & Gaming Services	Camarero (Puerto Rico)
Winner's Circle	Skydancer Casino OTB	Rillito Park	Caymanas (Jamaica)
Camouflage Gaming	Lien Games ADW	Rockingham Park	Codere (Mexico/Spain)
Shoebpus Christi Greyhound	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	MIR Books (California)
Shoebton Raceway	Lincoln Gaming (Mexico)	Ruidoso Downs	NDS Books (Nevada)
Shoebaware Park	Lone Star	Running Aces Harness Park	PMU (Brazil)
Shoebata Downs	Louisiana Downs	Sam Houston	Tabcorp (Australia)
Shoebver Downs	LVDC	Valley Greyhound Park	Tattsbet (Australia)
	Atlantis Paradise Casino	Saratoga Harness Raceway	New Zealand Racing Board
	Buffalo Thunder Resort	Saratoga Bets (ADW)	
	Foxwoods Resort Casino	Scarborough Downs	
	Meskwaki Bingo & Casino	Scioto Downs	

Canadian Locations

siniboiá, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Iser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, wartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, cky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, stern Fair, Woodbine, Xpressbet Southern Alberta

- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Monmouth
Assiniboia	Mountaineer Park
Australia Racing	Northlands
Canterbury	NYRA - Aqueduct, Belmont, Saratoga
Churchill Downs	Penn National
Delaware Park	Philadelphia Park (Parx)
Delta Downs	Pimlico
Ellis Park	Prairie Meadows
Emerald	Presque Isle
Evangeline	River Downs
Fort Erie	South America Racing
Gulfstream	Suffolk Downs
Hastings Park	Sunray Park
Indiana Downs	Tampa Bay
Keeneland	Thistledown
Laurel	Turf Paradise
Lone Star	Turfway Park
Louisiana Downs	United Kingdom
Mahoning Valley	Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007

- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007

- C. Dates the association will conduct races as charity racing days OR:

- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Angel Lopez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denovel
Patrol Judges	Karen Denovel, Randy Valdez
Placing Judges	Bob Moreno, Ed Reese, Tony Dominguez
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Director	Mike Lakow
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Zac Soto
Paymaster of Purses	Bridget Crawford
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
9582 Hamilton Ave., Suite 265
Huntington Beach, CA 92626 **Phone: (714) 964-7102**

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA - Bill O'Brien **Expires: December 31, 2016**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President **Contract term: through July 31, 2020**
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436 **Phone: 818/907-1900**
Major video and camera equipment listing attached, Exhibit 14E.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:
 July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)
 (2) Avid HD graphics systems with Pegasus DataLinks interface software
 (1) GVG Karrera 4ME Switcher
 (1) Router: Blackmagic Design Universal Video Hub
 (1) Summit clip store
 (2) ZPlay HD video recorders
 (1) 3play 820 HD video recorder
 (1) Sony DigiBeta deck
 (1) Audio Calrec Board: 120 input
 (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	36 Security Guards
1 Secretary	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 15B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 15B)

b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 15B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 15B)

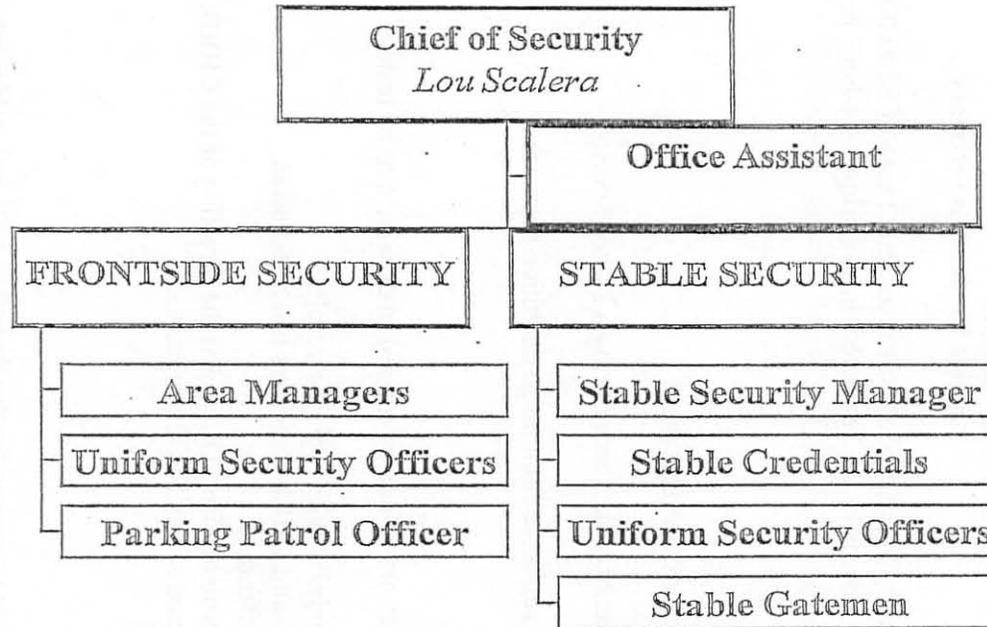
1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). In addition to Exhibit 15B, Santa Anita Park has completed installation of a new surveillance system throughout the barn area which will be fully operational prior to the 2016 Autumn meet.

- D. For night racing associations. Describe emergency lighting system: N/A

SANTA ANITA PARK

Security Live Racing



SECURITY

Exhibit 15 A

Exhibit 15B**DETENTION BARN PLAN**

(June, 2016)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

Galway Downs:

Symons Emergency Specialties, Inc., 2001

Jeff Grange, CEO – Ryan Merchant, General Mgr.

18592 Cajon Blvd.

San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Letter attached.
- **Galway Downs:**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

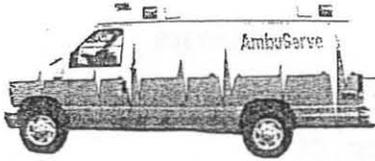
- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race



AmbuServe Ambulance

Exhibit 16A

June 10, 2016

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Coordinator

Exhibit 16B

MERCY*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

June 16, 2016

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

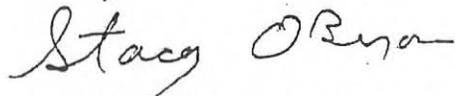
June 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



June 21, 2016

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance, Inc. are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Thank you,

A handwritten signature in black ink, appearing to read "Ryan Merchant", written over a dotted line.

Ryan Merchant
General Manager
Symons Ambulance
951-880-6263 cell
909-880-2979 office

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :
- Dr. Salvador Sotomayor** Phone: (888) 349-8944
15771 Del Prado Drive
Hacienda Heights, CA 91740
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
- Exhibit 16F**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
- Lou Scalera, Chief of Security**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- Attached.**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- Liberty Mutual Insurance Company**
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-015 Expires 6/30/2016
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
- Exhibit 16J 2017 certificate will be submitted upon receipt, July 1, 2016.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trakside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hospital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jinetete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

June 23, 2016

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire Department

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2016 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Joe Morris, Senior Vice President
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.

and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Tiffany Bohland
6288 Highland Meadows Drive
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)
c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)
Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)
William Archer
1 Scott Street
Gardnerville, NY 89410

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**Marketing program pending.**

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided to the board.**
Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* - Food and Beverage hostess's (11 weekends, 4 weekdays)

16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

***Estimates**

- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
 1. Horsemen
Enhanced video system installed throughout the barn area providing 24 hour surveillance of each shedrow.
 2. Fans
 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$5.00	Sold on premium days only.
CH Box Seat	\$10.00	Non-Premium Days
	\$30.00	Premium Days
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (valet)	\$10.00	
Programs (on-track)	\$2.50	
Programs (off-track)	\$2.50	

Note: The above prices do not apply on Friday, November 4th and Saturday November 5th

B. Describe any "Season Boxes" and "Turf Terrace Membership" fees:

Season Box Seat: \$ N/A

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="40+"/> 40+
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSINGA. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **CHRB inspection pending; Health Dept. inspection completed and approved.**

B. Number of rooms used for housing on the backstretch of the racetrack: 445

C. Number of restrooms available on the backstretch of the racetrack:

29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

22. TRACK SAFETYA. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.280 feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.

- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
TOC on file with the board.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**



June 27, 2016

Laurel Houle
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Santa Anita Park – 2016 Autumn Race Meet Application

Dear Ms. Houle,

Below is a list of items that are currently outstanding and an anticipated date that the board will receive the items.

- TOC ADW Approvals – we made requested the approvals from the TOC. We will forward to the board once we receive them from the TOC.
- Marketing Plan – we anticipate that the board will receive the marketing plan no later than by the close of business on Friday, July 1, 2016.
- CTT Agreement – we hope to have the signed CTT agreement to the board no later than the close of business on Friday, July 8, 2016.

Please let me know if you have any questions.

Sincerely,

Eric Sindler

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name


Signature

Senior Vice President West Coast Operations
Print Title

6-27-16
Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING AN UPDATE FROM BARRETTS ON THE UPCOMING SALES
REMAINING FOR THE BALANCE OF THE CALENDAR YEAR AND; ITS REQUEST
FOR AUTHORIZATION FOR THESE SALES, PURSUANT TO
CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
July 14, 2016

ISSUE

Barretts has presented its remaining 2016 horse sales calendar to staff, and has requested the Board's authorization for the sales. Barretts has three sales scheduled for the remainder of 2016.

- The 5th Annual Paddock Sale is scheduled for Saturday, July 23, 2016 at Del Mar. This sale is for race ready horses. Unraced horses will be required to work at least 3/8th in the training preview.
- The 2nd Annual Select Yearling Sale will take place on Tuesday, August 30, 2016 at Del Mar. These yearlings have been selected from inspections throughout Arizona, California, Texas and Kentucky. The majority of the yearlings come from California.
- The October Yearling and Horses of All Ages Sale, is the last sale for 2016, and is scheduled for October 18, 2016. The sale will take place at the Los Angeles County Fairgrounds, Pomona. This sale will include yearling, horses of racing age as well as selected broodmares and weanlings.

BACKGROUND

CHRB Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horse or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sales or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action. Barretts representatives are prepared to update the Board on the remaining proposed 2016 sales.



Friday, July 1, 2016

To the California Horse Racing Board,

This is the Barretts sales schedule for the remainder of 2016.

5th Annual Paddock Sale

Location: Del Mar

Preview: Friday, July 22nd after morning training

Sale: Saturday, July 23rd after the completion of the last race

This sale is for Race Ready horses. Unraced horses will be required to work at least 3/8^{ths} in the training preview.

2nd Annual Select Yearling Sale

Location: Del Mar

Sale: Tuesday, August 30th

Sale time TBD

Theses yearlings have been selected from inspections thorough out AZ, CA, TX & KY with the majority coming from CA

October Yearling & Horses of All Ages Sale

Location: LA County Fairgrounds, Pomona

Sale: Tuesday, October 18th

Sale time TBD

Sale included yearling, horses of racing age as well as selected broodmares and weanlings.

Thank you,

Kim Lloyd
General Manager Barretts



STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE PROPOSED NEW PLAN FOR
STABLING AND VANNING IN NORTHERN CALIFORNIA FOR 2016
THOROUGHBRED RACES HELD AT GOLDEN GATE FIELDS

Regular Board Meeting
July 14, 2016

ISSUE

Golden Gate Fields (GGF), in advance of submission of its application for license to operate its fall race meeting commencing October 16, 2016 to December 25, 2016, has notified the Board of a new plan for stabling and vanning in northern California for 2016 thoroughbred races held at GGF.

GGF proposes to not use Pleasanton for auxiliary stabling for its October 16, 2016 to December 25, 2016 race meeting. GGF submits that it will have 1500 stalls that will adequately stable the horse inventory to fill races during its fall race meeting. GGF contends that the savings from converting Pleasanton to a seasonal facility will have a \$500,000 positive effect to both purses and Golden Gate Fields. GGF has requested that the CHRB approve the proposed new vanning and stabling plan prior to Board approval of the GGF's fall application for license.

GGF has submitted its barn layout, and both historical and estimated horse projections for the Board's consideration.

BACKGROUND

CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting, provides that unless the Board requires an earlier filing, at least 90 days before the time allocated by the Board for a race meeting to start, the association shall file with the Board an Application for License to Conduct a Horse Racing Meeting. Section eight of the application requires applicants to address its stabling plans for its race meeting.

RECOMMENDATION

Staff recommends the Board hear from the GGF representatives.



June 29, 2016

Rick Baedeker
Executive Director
CHRB
1010 Hurley Way
Suite 300
Sacramento, CA 95825

Dear Rick,

Golden Gate Fields requests CHRB approval to not use Pleasanton for Auxiliary Stabling from October 16, 2016 to December 25, 2016. We anticipate that Pleasanton would reopen for stabling sometime in April of 2017. Golden Gate Fields will have 1,500 stalls that will adequately stable the needed inventory to fill races during our fall meet. The savings from converting Pleasanton to a seasonal facility will have a \$500,000 positive effect to both purses and Golden Gate Fields.

Please find the attached barn layout document, and both historical and projected horse populations.

We look forward to discussing.

Respectfully,

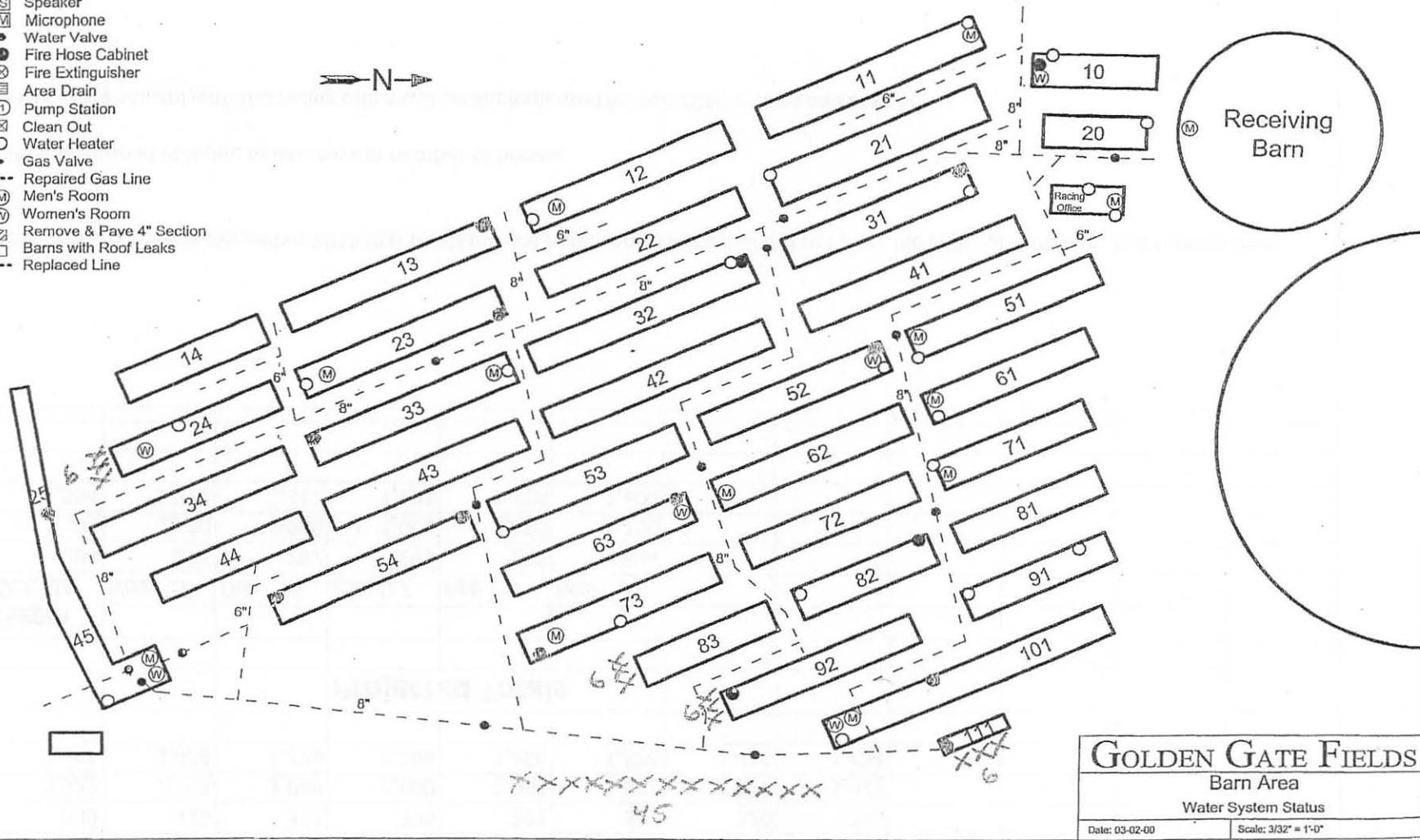
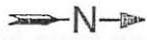
Joe Morris
Sr. Vice President West Coast Operations

cc: Greg Avioli/TOC
Alan Balch/CTT
Nick Coukos/TOC
Jerome Hoban/Pleasanton
Chris Korby/CARF
Bill Patterson/CTT

Los Angeles Turf Club, Inc.

Legend

-  Speaker
-  Microphone
-  Water Valve
-  Fire Hose Cabinet
-  Fire Extinguisher
-  Area Drain
-  Pump Station
-  Clean Out
-  Water Heater
-  Gas Valve
-  Repaired Gas Line
-  Men's Room
-  Women's Room
-  Remove & Pave 4" Section
-  Barns with Roof Leaks
-  Replaced Line



		Actual Totals												
<u>Track</u>	<u>Month</u>													
	<i>Oct. '15</i>	<i>Nov. '15</i>	<i>Dec. '15</i>	<i>Jan. '16</i>	<i>Feb. '16</i>	<i>Mar. '16</i>	<i>Apr. '16</i>	<i>May. '16</i>						
PLN	339	316	311	308	292	322	320	355						
GGF	<u>1,127</u>	<u>1,113</u>	<u>1,065</u>	<u>1,000</u>	<u>1,064</u>	<u>1,052</u>	<u>1,106</u>	<u>1,079</u>						
Totals	1,466	1,429	1,376	1,308	1,356	1,374	1,426	1,434						
		Projected Totals												
<u>Track</u>	<u>Month</u>													
	<i>Oct. '16</i>	<i>Nov. '16</i>	<i>Dec. '16</i>	<i>Jan. '17</i>	<i>Feb. '17</i>	<i>Mar. '17</i>								
PLN	294	272	267	293	278	304								
GGF	<u>1,100</u>	<u>1,100</u>	<u>1,050</u>	<u>1,000</u>	<u>1,050</u>	<u>1,100</u>								
Totals	1,394	1,372	1,317	1,293	1,328	1,404								

- 1) The October, November, and December 2015 PLN totals include numerous horses that did not race, including at minimum 30 yearlings from August sale
- 2) Factor a 5% reduction at PLN due to decrease in number of horses
- 3) Improved inventory control with the racing office will be implemented for Fall 2016 season and beyond

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE ORDER BY THE LOS
ANGELES SUPERIOR COURT IN THE MATTER OF *GUSTAVO DE LA TORRE V.
CALIFORNIA HORSE RACING BOARD, ET AL.* (BS 154412), TO SET ASIDE COURT-
DETERMINED APPROVAL OF LOS ALAMITOS QUARTER HORSE RACING
ASSOCIATION'S "HOUSE RULE"

Regular Board Meeting
July 14, 2016

ISSUE

On April 21, 2016, the Honorable James C. Chalfant of the Los Angeles County Superior Court signed a judgment in the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association*, ordering the California Horse Racing Board (CHRB or Board) to set aside approval of the Los Alamitos Quarter Horse Racing Association "house rule," which provides for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in hair samples.

ANALYSIS

Although staff believes the Superior Court mistakenly concluded the CHRB had approved the "house rule," it is recommended, nevertheless, that the Board move to set aside its "approval" of the Los Alamitos Quarter Horse Racing Association's "house rule" in order to comply with the Court's Order.

BACKGROUND

On December 17, 2014, the Legislative, Legal and Regulations Committee discussed at length the alleged conflict between CHRB Rule 1844(e), Authorized Medication, governing the presence of Clenbuterol in race horses, and the Los Alamitos Quarter Horse Racing Association's decision not to allow the presence of Clenbuterol in quarter horses entered to race at Los Alamitos Race Course. Because this was not an action item, however, no motion was made to "approve" Los Alamitos' "house rule." On December 18, 2014, the Committee gave a report to the full Board describing the previous day's discussion, and conveyed that they believed a conflict with CHRB regulations did not exist. Again though, because the Committee report was not an action item on the agenda, no motion was made or vote was taken on the matter.

Concurrent to these meetings, the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association* was ongoing in the Los Angeles Superior Court. On April 21, 2016, the Honorable James C. Chalfant signed a judgment ordering the CHRB to set aside its approval of the Los Alamitos "house rule."

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE SUBMISSION OF PROPOSED EMERGENCY AMENDMENTS TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, AND CHRB RULE 1843, MEDICATION, DRUGS AND OTHER SUBSTANCES, TO THE OFFICE OF ADMINISTRATIVE LAW, IN RESPONSE TO THE LOS ANGELES SUPERIOR COURT'S ORDER THAT THE CHRB SET ASIDE APPROVAL OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION'S "HOUSE RULE"

Regular Board Meeting
July 14, 2016

ISSUE

On April 21, 2016, the Honorable James C. Chalfant of the Los Angeles County Superior Court signed a judgment in the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association*, ordering the California Horse Racing Board (CHRB or Board) to set aside approval of the Los Alamitos Quarter Horse Racing Association (LAQHRA) "house rule," which provides for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in hair samples. The Court further ordered LAQHRA to cease enforcement of the "house rule," declaring it to be in conflict with existing CHRB rules.

This Order has created an emergency situation for quarter horse racing in California, and in response, staff is prepared to file emergency regulatory amendments to Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances, with the Office of Administrative Law (OAL).

BACKGROUND

On April 21, 2016, the Honorable James C. Chalfant of the Los Angeles County Superior Court signed a judgment in the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association*, ordering the California Horse Racing Board (CHRB or Board) to set aside approval of the Los Alamitos Quarter Horse Racing Association "house rule," which provides for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in hair samples.

Upon issuing his Order, Judge Chalfant made it clear that if the CHRB wished to allow for the LAQHRA to continue with its eligibility testing program, it would need to implement new regulations which remove current conflicts that exist between their testing program and CHRB rules. If such regulations are not established and the Board continues to approve LAQHRA's testing program, the CHRB may be found in contempt of court. Accordingly, staff has prepared a set of emergency regulations that will remove the conflict and permit LAQHRA to carry out their testing program with the Board's approval.

ANALYSIS

Filing an emergency regulation with the Office of Administrative Law (OAL) differs procedurally from the standard rulemaking process. Essentially, the accelerated filing allows the emergency regulation to take effect approximately 17 days after it is noticed to the public. Specifically, the process entails the following:

- At least 5 working days before filing with OAL, the state agency must mail and post an emergency Notice. The Notice must include the proposed text and the "Finding of Emergency."
- After at least 5 working days have passed, the agency may file the emergency regulation with OAL. OAL then has 10 days to approve or deny the emergency regulation.
- During the first 5 days of OAL's review, the public may submit comments to OAL with a copy to the state agency.
- The agency has until the 8th day of OAL's 10-day review to submit rebuttal to any public comments made to OAL; however the agency is not required to respond to public comments.
- On the 10th day after the emergency regulation is filed, OAL will either approve or deny the emergency regulation, and if approved, it will be filed with the Secretary of State and become effective immediately.
- Once an emergency regulation is approved, it is effective for 180 days. If the agency wishes to make the emergency regulation a permanent regulation, it must undergo the regular rulemaking process during that 180-day period. Only if the agency has made substantial progress towards adopting a permanent regulation may it readopt the emergency regulation for two additional 90-day periods.

It is important to note that the Government Code has strict specifications for what qualifies as an "emergency," and defines it as "a situation that calls for immediate action to avoid serious harm to the public peace, health, safety, or general welfare." Government Code section 11342.545. Government Code section 11346.1(b)(2) further states that:

"A finding of emergency based only upon expediency, convenience, best interest, general public need, or speculation, shall not be adequate to demonstrate the existence of an emergency. If the situation identified in the finding of emergency existed and was known by the agency adopting the emergency regulation in sufficient time to have been addressed through nonemergency regulations adopted in accordance with the provisions of Article 5 (commencing with Section 11346), the finding of emergency shall include facts explaining the failure to address the situation through nonemergency regulations."

CHRB staff has determined that the Los Angeles Superior Court's ruling in the case of *Gustavo De La Torre v. California Horse Racing Board, et al.* has created an emergency situation that calls for immediate action to avoid serious harm to the public peace, health, safety, or general welfare. Specifically, the Judge's Order has created two options for the CHRB: withdraw approval of LAQHRA's eligibility conditions, or risk being found in contempt of court. If the Board moves forward with preventing the LAQHRA from enforcing its eligibility testing program, however, there will exist a substantial and immediate risk to the public health, safety and welfare.

Official testing by the CHRB is conducted largely after races are run, and such tests are only used to punish those licensees responsible for medication violations after the fact. For the wagering public, however, there is no protection. Those who wager on a winning horse that has been administered unauthorized medications—which often includes the horse's owners and trainers—obtain an unfair benefit, while those who bet on a losing horse not administered unauthorized medications become victims of an unlawful performance enhancing scheme.

LAQHRA's eligibility testing program sought to prevent such public harm by declaring horses that test positive for Clenbuterol, Albuterol, Zilpaterol, or Ractopamine ineligible to participate in their races. Based on the widespread overuse and abuse of these medications in the quarter horse racing industry—and the potential they have to enhance performance—all four of these medications are presently not authorized by the Board to be present in any post-race official quarter horse test sample. By implementing their eligibility testing program, LAQHRA was furthering the intent of the Board's medication regulations by helping prevent unfair performance enhancement (and the resulting CHRB violations) from ever occurring.

Additionally, by conducting pre-race testing, LAQHRA was further enhancing the safety of participating horses and jockeys. By preventing horses that test positive for these unauthorized medications from ever entering races, LAQHRA was decreasing the likelihood that an injury could occur as a result of an unauthorized medication being present in a horse's system during a race.

Nevertheless, because the Los Angeles Superior Court has found such efforts by the LAQHRA to be in conflict with existing Board regulations, the program has been ordered to cease until new regulations explicitly authorizing its implementation are enacted. As a consequence, the enhanced integrity and protections LAQHRA's eligibility testing program offers race participants and the public will be removed unless the Board adopts these emergency amendments.

The proposed emergency amendment to Rule 1843, Medication, Drugs and Other Substances, clarifies the intent of the Board in its authorization of certain medications to be present in official post-race test samples. Specifically, it explains that despite such authorization, nothing in Article 15, Veterinary Practices, shall prohibit a racing association or fair from setting eligibility standards which require lower detection levels of a drug substance or medication in privately conducted pre-race testing than what the Board has authorized, if done in conformity with Rule 1581, Racing Secretary to Establish Conditions.

The proposed emergency amendment to Rule 1581 explains that if an association or fair sets a race condition based on the participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from that horse, that it shall be first agreed to by the acknowledges horsemen's association, and then approved by the Board. Furthermore, if the association or fair intends to use biological test samples other than the official test samples collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the samples shall be submitted to the Board for approval as well.

These proposed emergency regulations would directly address the current emergency situation by removing the Court-determined conflict between LAQHRA's testing program and the CHRB's regulations, and once again permit the CHRB to approve LAQHRA's, as well as any other racing association or fair's, eligibility requirements.

RECOMMENDATION

The submission of these emergency regulations is presented to the Board for discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1581. RACING SECRETARY TO ESTABLISH CONDITIONS.

1581. Racing Secretary to Establish Conditions.

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting.

Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance by the acknowledged horsemen's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843. MEDICATION, DRUGS AND OTHER SUBSTANCES.

1843. Medication, Drugs and Other Substances.

It shall be the intent of these rules to protect the integrity of horse racing, to guard the health of the horse, and to safeguard the interests of the public and the racing participants through the prohibition or control of all drugs, medications and drug substances foreign to the horse. In this context:

- (a) No horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided.
- (b) No drug substance shall be administered to a horse which is entered to compete in a race to be run in this State except for approved and authorized drug substances as provided in these rules.
- (c) No person other than a licensed veterinarian or animal health technician shall have in his/her possession any drug substance which can be administered to a horse, except such drug substance prescribed by a licensed veterinarian for a specific existing condition of a horse and which is properly labeled.
- (d) A finding by an official chemist that a test sample taken from a horse contains a drug substance or its metabolites or analogues which has not been approved by the Board, or a finding of more than one approved non-steroidal, anti-inflammatory drug substance or a finding of a drug substance in excess of the limits established by the Board for its use shall be prima facie evidence that the trainer and his/her agents responsible for the care of the horse has/have been negligent in the care of the horse and is prima facie evidence that the drug substance has been administered to the horse.

(e) Nothing in this Article shall prevent a racing association or fair from setting eligibility conditions, as agreed to with the acknowledged horsemen's organization, for individual races, or for its entire race meet, that prohibit the use and/or presence of drug substances or medications in biological test samples collected from participating horses at detection levels lower than what is authorized by the Board. Such conditions, if established in accordance with Rule 1581, shall not be deemed in conflict with the rules and regulations of the Board.

NOTE: Authority cited: Sections 19440, 19580, 19581 and 19582, Business and Professions Code. Reference: Sections 19401, 19440, 19580, 19581 and 19582; Sections 337f, g and h, Penal Code.

STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION TO WRITE RACE CONDITIONS FOR ITS CURRENT QUARTER HORSE RACE MEETING, WHICH CONDITION ELIGIBILITY TO PARTICIPATE IN THE RACE MEETING ON THE NON-PRESENCE OF CLENBUTEROL, ALBUTEROL, ZILPATEROL, OR RACTOPAMINE IN BIOLOGICAL TEST SAMPLES OBTAINED BY THE ASSOCIATION, CONDITIONED ON THE OFFICE OF ADMINISTRATIVE LAW GRANTING APPROVAL OF THE EMERGENCY REGULATORY AMENDMENTS TO CHRB RULES 1581 AND 1843

Regular Board Meeting
July 14, 2016

ISSUE

Pursuant to the requirements set forth in the proposed emergency amendments to Rules 1581, Racing Secretary to Establish Conditions, and 1843, Medication, Drugs and Other Substances, Los Alamitos Quarter Horse Racing Associations (LAQHRA) is requesting approval from the Board to write race conditions for its current (2016) quarter horse race meeting which condition eligibility on the non-presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in biological test samples obtained by the association. Such approval would be contingent upon the Office of Administrative Law (OAL) approving the abovementioned emergency regulatory amendments.

BACKGROUND

On April 21, 2016, the Honorable James C. Chalfant of the Los Angeles County Superior Court signed a judgment in the matter of *Gustavo De La Torre v. California Horse Racing Board and Los Alamitos Quarter Horse Racing Association*, ordering the California Horse Racing Board (CHRB or Board) to set aside approval of the Los Alamitos Quarter Horse Racing Association "house rule," which provides for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in hair samples.

Upon issuing his Order, Judge Chalfant made it clear that if the CHRB wished to allow for the LAQHRA to continue with its eligibility testing program, it would need to implement new regulations which remove current conflicts that exist between their testing program and CHRB rules. Accordingly, staff has prepared a set of emergency regulations that would remove the conflict and permit LAQHRA to carry out their testing program with the Board's approval.

ANALYSIS

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions

under which all horse races with wagering on their results shall be conducted in California. Business and Professions Code section 19580 requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication to preserve and enhance the integrity of horse racing in California. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof.

The proposed emergency amendment to Rule 1843, Medication, Drugs and Other Substances, clarifies the intent of the Board in its authorization of certain medications to be present in official post-race test samples. Specifically, it explains that despite such authorization, nothing in Article 15, Veterinary Practices, shall prohibit a racing association or fair from setting eligibility standards which require lower detection levels of a drug substance or medication in privately conducted pre-race testing than what the Board has authorized, if done in conformity with Rule 1581, Racing Secretary to Establish Conditions. The proposed emergency amendment to Rule 1581 explains that if an association or fair sets a race condition based on the participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from that horse, that it shall be first agreed to by the acknowledges horsemen's association, and then approved by the Board. Furthermore, if the association or fair intends to use biological test samples other than the official test samples collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the samples shall be submitted to the Board for approval as well.

Pursuant to these new regulatory requirements, and contingent upon their approval by OAL, LAQHRA is requesting approval from the Board to write race conditions for its current (2016) quarter horse race meeting which condition eligibility on the non-presence of Clenbuterol, Albuterol, Zilpaterol, and Ractopamine in pre-race biological test samples obtained by the association.

RECOMMENDATION

This item is presented for Board discussion and action.

couto&associates

July 2, 2016

California Horse Racing Board
 Attn: Executive Director, Rick Baedeker and Mr. Phillip Laird, Esq.
 1010 Hurley Way
 Suite 300
 Sacramento, California 95825

Via Email

RE: Request for Adoption of Emergency Regulations

Dear Messers Baedeker and Laird,

The purpose of this letter is to request the immediate adoption of emergency regulations consistent the industry's ongoing efforts to implement the analytical testing of Quarter Horse hair.

Hair tests are a scientifically accepted means to detect the prior administration of certain performance enhancing/altering drugs including, but not limited to, Clenbuterol, Albuterol, Zilpaterol, and/or Ractopamine, not otherwise detectable through blood and urine testing. Such hair testing is vital to industry efforts to address issues of fair competition and race eligibility within the breed.

Emergency regulations are specifically sought for the following reasons:

1. Superior Court Judge James Chalfant has suggested that the interested parties address evolving integrity and eligibility challenges by way of regulatory change/adoption, rather than through existing administrative processes and actions;
2. Urgency exists given the need to properly notify horsemen, in and out of state, that will be participating in the AQHA's Challenge Championship, qualifying, and other races having an impact on the integrity of the breed, to be held at Los Alamitos Racecourse this year; and
3. Los Alamitos and its participating horsemen wish to comply with the Court's apparent ruling on related issues while simultaneously preserving the integrity of the races conducted at the meet, including the pending AQHA Challenge race event, and Quarter Horse breed in general. Consequently, expedited adoption of these regulations is necessary to reduce the risk of any party being found in contempt of what the parties understand the Court's ruling to be.

The request is submitted on behalf of Los Alamitos Quarter Horse Racing Association.

NECESSITY

As we understand his ruling, Judge Chalfant believes it necessary for the CHRB to implement new regulations in order to approve similar practices previously understood by all interested parties and the CHRB to be permitted administratively. More specifically, where statutorily authorized racing associations and authorized horsemen's organizations agree, under the oversight of the CHRB, to contractually authorize and implement analytical tests intended to identify the use of suspected performance enhancing/altering substances.

Judge Chalfant holds this belief despite the fact that the consequences of substance detection efforts undertaken by contract were quite distinct from and did not duplicate, nor were they inconsistent with, any penalties, suspension, and/or other actions otherwise taken by the CHRB.

Notwithstanding his belief, Judge Chalfant is "sympathetic" to the industry's efforts to implement such private party testing in order to protect and preserve the integrity of the Quarter Horse breed, and its racing events for both the wagering public and participating horsemen.

Expedited administrative action and authorization are necessary to ongoing industry efforts to

implement fully the contractual intent of the Race Meet Agreement and its amendments. It is imperative that California's Quarter Horse racing's stakeholders be permitted to enforce certain eligibility conditions for stakes, overnight stakes, trials, and/or qualifying races offered and conducted during the meet, particularly given the AQHA's Challenge Championship scheduled to be run at Los Alamitos in October.

Were Los Alamitos, participating horsemen, and the AQHA unable to conduct such hair testing as planned, stakeholders believe the uncertainty of conditions surrounding the hosting of this important AQHA event could undermine the confidence of the wagering public and of competitors such that the event and related business objectives could be adversely affected.

EXIGENT CIRCUMSTANCES

Emergency adoption is necessary in order to ensure that participating horsemen – in California and elsewhere – are given timely notice and a sufficient opportunity to comply with the drug prohibitions considered vital to AQHA and California Quarter Horse racing participants.

All interested stakeholders agree that their efforts, and those of the CHRB, in adopting such regulations are necessary to the protection of the wagering public, and horsemen participating in such races alike. Los Alamitos and the Pacific Coast Quarter Horse Racing Association share a contractual commitment to ensuring that stakes, overnight stakes, trials, and other qualifying races offered by and run at the track during the 2016 Meet are conducted under conditions that further protect and enhance the integrity and fairness of each contest.

Both Parties are aware that the use of certain drugs can and do enhance a horse's performance in a manner that disadvantages the wagering public and other participants, and which undermines the genetic integrity of the breed.

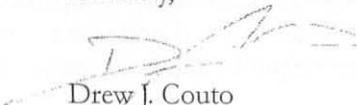
For these reasons California's two statutorily authorized stakeholders agreed to restrict and condition the eligibility of horses nominating to, entering into, and/or competing in stakes, overnight stakes, trials, and other qualifying races offered by and run at Los Alamitos during the 2016 Meet, to those horses that have not been administered Clenbuterol, Albuterol, Zilpaterol, and/or Ractopamine. The prohibition of these drugs has been observed by the industry to have had positive impacts on the willingness of horsemen to participate and on the willingness of bettors to perceive such races as fairer wagering propositions.

Because of these concerns and objectives, and the importance of the pending AQHA event to the California Quarter Horse industry, unavoidable exigency exists warranting the adoption of emergency regulations that will enable the CHRB and these interested stakeholders to protect and preserve the integrity of California Quarter Horse racing.

Lastly, in the event such emergency regulations are adopted by the Board, Los Alamitos hereby requests permission from the CHRB to implement the hair testing eligibility conditions agreed to between it and the PQHRA, as set forth in their previously executed Amendment to the 2016 Race Meet Agreement.

Should there be any questions about this request, please do not hesitate to contact me.
Thank you.

Sincerely,



Drew J. Couto
Attorney at Law

AMENDMENT TO THE AGREEMENT BETWEEN
 LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION
 AND
 PACIFIC COAST QUARTER HORSE RACING ASSOCIATION

FOR THE CONDUCT OF THE 2016 QUARTER HORSE MEET



ELIGIBILITY FOR STAKES, OVERNIGHT STAKES, TRIALS, AND OTHER QUALIFYING RACES

For the benefit of participating horsemen and the wagering public, the Parties wish to ensure that stakes, overnight stakes, trials, and other qualifying races offered by and run at Track during the 2016 Meet are conducted under conditions that further protect and enhance the integrity and fairness of each contest.

The Parties are aware that the use of certain drugs, including two generally considered to be permissible under current CHRB rules, can and do enhance a horse's performance in a manner that disadvantages the wagering public and other participants, and which undermines the genetic integrity of the breed. This is particularly true for the Quarter Horse breed.

For these reasons the Parties have agreed to specifically restrict and condition the eligibility of horses nominating to, entering into, and/or competing in stakes, overnight stakes, trials, and other qualifying races offered by and run at Track during the 2016 Meet, to those horses that have not been administered *Clenbuterol*, *Albuterol*, *Zilpaterol*, and/or *Ractopamine*.

For the purposes of defining and determining whether a horse has been administered *Clenbuterol*, *Albuterol*, *Zilpaterol*, and/or *Ractopamine*, the Parties agree herein that such determinations will be dictated by the results of either or both post-race blood and/or urine tests conducted by the CHRB, or by pre/post-race hair sample tests conducted by Track. Any such test that results in a positive finding for the presence of any of the four drugs identified herein shall render that horse ineligible to nominate to, enter into, and/or compete in any stakes, overnight stakes, trials, and other qualifying races offered by or conducted at Track during the 2016 Meet.

The Parties further expressly agree that Track shall be entitled to sample and perform analytical tests on owners' horses nominated to, entered in, and/or competing in the stakes, overnight stakes, trial, and/or qualifying races identified in EXHIBIT B. Such analytical tests shall consist of the taking of pre- and/or post-race hair and/or other biological samples from horses nominated to, entered in, and/or competing in such races, with those hair and/or other biological samples being tested by the U.C. Davis Equine Analytical Chemistry Laboratory ("Laboratory"). Track shall ensure the proper handling of such samples from collection until delivery to the Laboratory including, but not limited to, a proper and defensible documented chain of custody.

Hair and other biological samples properly delivered to the Laboratory shall be tested for the presence of *Clenbuterol*, *Albuterol*, *Zilpaterol*, and *Ractopamine*. Any horse or horses whose hair or other biological test sample returns a positive finding for the presence of any of the four drugs listed hereinabove shall be *ineligible* to compete in such races.

The four drugs identified herein were done so because:

- *Clenbuterol* is a beta-2 agonist that has a muscle building effect mimicking anabolic steroids. In fact, it is classified as an anabolic agent by the World Anti-Doping Agency. Although marketed as a bronchodilator, *Clenbuterol* administration increases muscle mass and composition, which is understood

AMENDMENT TO THE AGREEMENT BETWEEN LAQHRA AND PCQHRA FOR THE 2016 RACE MEET

Page 2

to ultimately enhance performance. Extended Clenbuterol administration cannot be detected or controlled adequately by standard blood and urine tests. Longer-term use of Clenbuterol is currently undetectable in a horse's urine later than fourteen to seventeen days after withdrawal, and is undetectable in blood samples between four and seven days after its last application;

- *Albuterol* is a beta-2 agonist bronchodilator similar to Clenbuterol. It is understood to prolong the secondary anabolic-like steroidal effects of long-term use of Clenbuterol. Albuterol is detectable in blood and urine for only a few days after oral administration; and,
- Zilpaterol and Ractopamine are also beta-2 agonists, which are marketed as muscle growth promoters in livestock. The presence, administration, and/or use of either is prohibited pursuant to CHRB Regulations, Rule 1867.

As a direct consequence of the foregoing, the Parties reiterate:

IN ADDITION TO ANY OTHER RACE CONDITIONS THAT MAY APPLY INDIVIDUALLY TO EACH SUCH RACE, ELIGIBILITY TO NOMINATE, ENTER, AND/OR COMPETE IN STAKES, OVERNIGHT STAKES, TRIALS, AND/OR QUALIFYING RACES CONDUCTED AT TRACK IN 2016 SHALL BE LIMITED TO HORSES THAT DO NOT TEST POSITIVE FOR THE PRESENCE OF CLENBUTEROL, ALBUTEROL, ZILPATEROL, AND RACTOPAMINE, IN EITHER PRE-OR POST-RACE TEST SAMPLES, WHETHER CONDUCTED UNDER TESTING AUTHORIZED BY THE CHRB OR JOINTLY BY THE PARTIES TO THIS AGREEMENT.

In executing this Amendment herein below, the Parties intend and agree that this Amendment shall be deemed part of the 2016 Race Meet Agreement, and that its provisions shall apply both prospectively and retroactively to the 2016 race meet being conducted at Track.

Los Alamitos Quarter Horse Racing Ass'n



Pacific Coast Quarter Horse Racing Ass'n

Dated: April __, 2016

Dated: April 8, 2016

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

PROCEDURE FOR COLLECTION OF HAIR SAMPLES

Materials Needed:

- Disposable gloves
- Tamper-proof evidence bag or container (i.e., urine sample jars)
- Submission Paperwork (identical to other CHRB samples)
- Sample Labels (Use NC labels for Pre-race/OOCT; use track labels for post-race)

Hair Sample Requirement:

- A new pair of gloves for collecting each sample should be used so as to avoid cross contamination between horses.
- Approximately one pencil-width of mane hair should be pulled, 10cm (4" \approx hand width) in length.
- Take sample from the underside of the mane, midway between the withers and poll.
- Pull (break) hairs as close to root as possible.

Identification

Identification and Chain of Custody are to be conducted in a manner identical to standard CHRB test procedures.

The horse is to be identified by name and tattoo number, or by direct comparison to official markings.

The simplest approach is to have the trainer's representative or groom should raise the lip to check the tattoo.

Collection personnel should not touch the mucous membranes of the horse, except with clean disposable gloves.

Procedure:

1. Put on clean pair of gloves.
2. Swab the section of the mane to be sampled with alcohol
3. Flip mane over and take required quantity of hairs from underside.
4. One 'pull' (approximately one pencil-width) should provide sufficient hair for testing. Mane hairs are easily broken off in smaller sections near mane root. While holding pencil sized sample, pull in other hand.
5. Place hairs carefully in bottom of urine jar or comparable container, and seal container with label. Note: Gloves can be inverted over the hairs and placed with the hairs inside the jar.
6. Discard used gloves if not included with the hair sample.

LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

Chain of Custody:

Chain of Custody procedures are to be identical to CHRB procedures.

- The trainer's representative/witnesses is to observe the sample being pulled and placed in the jar, and sealing process.
- That representative/witness is to initial the evidence seal on the sample jar, and sign the Acknowledgement of Hair Test Sample.
- Samples are to be kept under direct control of the individual collecting the samples until they are turned over to the CHRB sample custodian.

Submission:

Hair test samples are to be submitted to Maddy Lab with all other CHRB test samples.

Green sheets are to be sent to the Split Sample Custodian. However, a split sample is not necessary as additional hair can be re-pulled from the subject horse if a split analysis is required.

Please note "Hair" on all paperwork and shipping invoice (CHRB-38) and Green sheet (CHRB-37).

If hair sample has different label number than blood and urine, please note which hair sample goes with which blood and urine pair, if the latter are taken at the same time.

Note: If anyone is hair testing for Los Alamitos rather than the CHRB, the samples must be shipped with the Los Alamitos modified form modified after CHRB-38. The Lab will need such information to ensure proper billing and reporting.

The Los Alamitos modified Green Sheet from form CHRB-37 is to be maintained at Los Alamitos. Do not send it to the CHRB.

Los Alamitos hair test samples are to be shipped with official CHRB samples in the containers supplied by the Maddy Lab, under the same chain of custody as CHRB official samples.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 6. ENTRIES AND DECLARATIONS
PROPOSED AMENDMENT OF
RULE 1581. RACING SECRETARY TO ESTABLISH CONDITIONS.

1581. Racing Secretary to Establish Conditions.

The racing secretary may establish the conditions for any race, the allowances or handicaps to be established for specific races, the procedures for the acceptance of entries and declarations, and such other conditions as are necessary to provide and conduct the association's race meeting. Any conditions that are based on a participating horse's use or non-use of a drug substance or medication, or the presence or lack of presence of a drug substance or medication in a biological test sample taken from a participating horse, shall be agreed to in advance by the acknowledged horsemen's organization, and approved by the Board before entries are taken for the race. If such conditions are based on the results of a biological test sample other than an official test sample collected by the CHRB, a description of the testing methods and procedures the racing association or fair will use to collect and analyze the biological test samples shall be submitted to the Board for approval. For purposes of this section, "biological test sample" refers to any biological sample, including but not limited to, blood, urine, hair, tissue, or saliva, that is taken from a horse.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843. MEDICATION, DRUGS AND OTHER SUBSTANCES.

1843. Medication, Drugs and Other Substances.

It shall be the intent of these rules to protect the integrity of horse racing, to guard the health of the horse, and to safeguard the interests of the public and the racing participants through the prohibition or control of all drugs, medications and drug substances foreign to the horse. In this context:

(a) No horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided.

(b) No drug substance shall be administered to a horse which is entered to compete in a race to be run in this State except for approved and authorized drug substances as provided in these rules.

(c) No person other than a licensed veterinarian or animal health technician shall have in his/her possession any drug substance which can be administered to a horse, except such drug substance prescribed by a licensed veterinarian for a specific existing condition of a horse and which is properly labeled.

(d) A finding by an official chemist that a test sample taken from a horse contains a drug substance or its metabolites or analogues which has not been approved by the Board, or a finding of more than one approved non-steroidal, anti-inflammatory drug substance or a finding of a drug substance in excess of the limits established by the Board for its use shall be prima facie evidence that the trainer and his/her agents responsible for the care of the horse has/have been negligent in the care of the horse and is prima facie evidence that the drug substance has been administered to the horse.

(e) Nothing in this Article shall prevent a racing association or fair from setting eligibility conditions, as agreed to with the acknowledged horsemen's organization, for individual races, or for its entire race meet, that prohibit the use and/or presence of drug substances or medications in biological test samples collected from participating horses at detection levels lower than what is authorized by the Board. Such conditions, if established in accordance with Rule 1581, shall not be deemed in conflict with the rules and regulations of the Board.

NOTE: Authority cited: Sections 19440, 19580, 19581 and 19582, Business and Professions Code. Reference: Sections 19401, 19440, 19580, 19581 and 19582; Sections 337f, g and h, Penal Code.