

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
FAX (916) 263-6042



## REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Friday, July 17, 2015**, commencing at **9:30 a.m.**, at the **Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of May 21, 2015.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Pacific Racing Association in the amount of \$46,017.25 to eleven beneficiaries.**
5. Discussion and action by the Board regarding the **distribution of race day charity proceeds of the Los Angeles Turf Club in the amount of \$49,487.98 to twelve beneficiaries.**
6. Discussion and action by the Board regarding the **license renewal for AmTote, the totalizator selected by Southern California Off Track Wagering, Incorporated (SCOTWINC) and Northern California Off Track Wagering, Incorporated (NCOTWINC), to provide California wagering services and the impact the selection may have on California racing.**
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F), at Los Alamitos, commencing September 10, 2015 through September 25, 2015, inclusive.**

8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the San Joaquin County Fair (F), at Stockton**, commencing September 11, 2015 through September 20, 2015, inclusive.
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T), at Santa Anita**, commencing September 26, 2015 through October 25, 2015, inclusive.
10. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1699, Riding Rules**, as it pertains to the criteria for disqualification in a horse race.
11. Discussion and action by the Board regarding the **report on the operations and status of the Winners Foundation**.
12. Discussion and action by the Board regarding the **allocation of the 2016 Northern California Race Dates**.
13. Discussion and action by the Board regarding the **allocation of the 2016 Southern California Race Dates**.
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Richard Rosenberg, Vice Chairman  
Madeline Auerbach, Member  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Alex Solis, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

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## PENDING LITIGATION JULY 2015

### COURT LITIGATION

- A. Morteza Atashkar vs. CHRB**  
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426  
Court of Appeal, Third Appellate District, C074852
- B. Jeff Mullins vs. CHRB, et al**  
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- C. San Luis Rey Racing, Inc., vs. CHRB, et al**  
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- D. Donald Lockwood vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS147701
- E. Jose DeLaTorre vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS152795
- F. Dean Frey vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS154219
- G. Gustavo DeLaTorre vs. CHRB**  
Superior Court of California, County of Los Angeles, Case No. BS154412

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**PENDING ADMINISTRATIVE ADJUDICATIONS  
JULY 2015**

**CASE**

- A. **Fitness for Licensure**  
**Patrick Valenzuela**  
Case Number: SAC 15-0026

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Los Alamitos Race Course**, 4961 Katella Avenue, Los Alamitos, California, on **June 25, 2015**.

Present:       Chuck Winner, Chairman  
                  Richard Rosenberg, Vice-Chairman  
                  Madeline Auerbach, Member  
                  Steve Benetó, Member  
                  Jesse H. Choper, Member  
                  George Krikorian, Member  
                  Alex Solis, Member  
                  Rick Baedeker, Executive Director  
                  Jacqueline Wagner, Assistant Executive Director  
                  Robert Miller, General Counsel

**APPROVAL OF THE MINUTES OF MAY 21, 2015**

Chairman Winner asked for approval of the minutes of the Regular Meeting of May 21, 2015.

Commissioner Choper **motioned** to approve the minutes. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

**EXECUTIVE DIRECTOR'S REPORT**

Executive Director Rick Baedeker stated several important issues were discussed at the Stewards Committee meeting, including the implementation of the amendment to Rule 1688, Use of Riding Crop. The amendment was effective July 1, 2015, and would prohibit the rider from using the riding crop more than three times in succession without allowing the horse to respond. He said Rule 1699, Riding Rules, was also discussed and the proposed changes to the rule would be presented to the Board for consideration within the next few months. He said Steward Kim Sawyer along with the safety stewards and Philip Laird, CHRB Staff Counsel, worked on modifying the trainers' test and tightening up the requirements for becoming a trainer in California. He stated the proposed changes would be presented to the Board at a later date.

Executive Director Baedeker stated Mr. Laird made a presentation on procedures for conducting hearings for investigators and stewards. He said there was also a thorough discussion on horse welfare issues including the care and treatment of ponies. Executive Director Baedeker said the issue of stewards handling financial complaints was also discussed. He stated the stewards were acting as a small claims court for individuals with financial issues. Stewards would be presented with 20 claims at one time, which required investigation. He stated the process would be revamped so stewards could focus on their primary duties. Executive Director Baedeker said the microchip program was making great strides; Incompass solutions finished the software that would read the information from the scanner. He stated the initial purpose of the program was to automate the counting of horses in California, but eventually it would have the capability of including the medical records of a horse. If an individual claimed a horse for \$50,000, he or she should be entitled to know what medical treatment the horse had received. Executive Director Baedeker stated the financials for the month of May were very strong with handle up. Daytime racing handle was up 7.65 percent; nighttime handle was up 10 percent, with a total of 7.88 percent up across the state for the month.

#### **PUBLIC COMMENT**

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John Bucalo, Barona Casino, asked the Board to consider allowing satellite facilities to increase the number of races they took; satellites could not compete with Advance Deposit Wagering (ADW) that could take full cards. He said one of the most popular bets was the Pick 5 which could not be played because the satellites did not receive the full card. Rather, the simulcast started with race two or race three, and the Pick 5 started at race one. Chairman Winner stated Mr. Bucalo's concerns were a statutory issue. Mr. Bucalo said the satellites were not on an even

playing field with the ADWs. Chairman Winner said the issue was not something that was regulated by the Board; it was in the law, and the law would have to be changed. Mary Ann Breed spoke on behalf of the Kirk Breed Memorial Fund. She said the legislature officially renamed the Cal Expo Race Track to the Kirk Breed Memorial Race Track in 2013. She stated the unveiling of the bust of Kirk Breed at Cal Expo was scheduled for July 10, 2015, the opening day of the State Fair.

#### **PRESENTATION OF THE CALIFORNIA HORSE RACING BOARD RESOLUTION TO BO DEREK**

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Chairman Winner stated the Board wished to express its appreciation to ex-Commissioner Bo Derek, who created a legacy that would benefit horses and riders for decades to come. He said Commissioner Derek recognized that everyone participating in horse racing shared the common bond of high regard for the welfare of horses and riders, and she built on that bond and forged consensus on health and safety initiatives. Chairman Winner thanked Commissioner Derek for her accomplishments, and unwavering support for improvements to medication rules, making conditions safer for all racing participants and for her service to the state and the California horse racing industry.

#### **DISCUSSION AND ACTION BY THE BOARD ON THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB IN THE AMOUNT OF \$27,845 TO FIVE BENEFICIARIES**

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Commissioner Choper commented that he believed the distribution of race day charity proceeds were a tribute honoring ex-Commissioner Derek because she made sure a large amount of proceeds went to the horses themselves. He acknowledged ex-Commissioner Derek frequently

had to settle for less, but the proceeds from this charity that would go to horses were 100%. Commissioner Krikorian **motioned** to approve the distribution of race charity proceeds of the Del Mar Thoroughbred Club in the amount of \$27,845 to five beneficiaries. Vice-Chairman Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

**DISCUSSION BY THE BOARD REGARDING AN UPDATE FROM BARRETS ON THE PERFORMANCE OF ITS INAGURAL HORSE SALE AT DEL MAR**

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Kim Lloyd, General Manager of Barretts, said there were legitimate concerns industry-wide regarding the Barretts sale, but Barretts saw the new Del Mar location as an opportunity. The expectation for the inaugural sale at Del Mar was to keep even with the previous sale, but sales were up and the energy and excitement gave Barretts momentum to move forward. The move to Del Mar gave Barretts a chance to hold a select yearling sale August 15, 2015, which was a Saturday night after races, and would be another opportunity Barretts would not have had at Pomona. Mr. Lloyd expected the inaugural sale to be within five or ten percent of last year at Pomona, but the Del Mar sale had 155 entries, compared to 141 entries in 2014 at Pomona. Ninety-four horses were sold for \$4.2 million, compared with 70 sold for \$3 million gross in 2014. He reported the gross revenue were nearly \$4.5 million, a 31 percent increase in gross. Mr. Lloyd stated that not only were the numbers tremendous, but the excitement showed the success and potential for future growth at Del Mar. Dr. Rick Arthur, CHRB Equine Medical Director, added that Barretts was cooperative and was a leader in terms of drug testing of horses at its sales. He said there was no indication of corticosteroid use or anabolic steroids. He added that out of all the horses tested, there was only one horse that had clenbuterol, which was related to a respiratory tract infection, and the horse had been treated sometime previously. He stated the

cooperation with the Board paid off in the confidence buyers had in purchasing horses at the sale, and that Barretts, Del Mar, and everyone involved were owed a debt of gratitude by the racing industry. Commissioner Auerbach stated that she was initially opposed to Barretts move to Del Mar, but she had changed her opinion and was happy sales were successful.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 19, 2015 THROUGH SEPTEMBER 7, 2015 AND SEPTEMBER 24, 2015 THROUGH OCTOBER 4, 2015**

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Cal Rainey, Golden Gate Fields (GGF), stated GGF completed its winter-spring meet with positive results; its all source handle was even with its Spring 2014 meeting. He stated GGF's winter season had a slow start and had challenges with its export signal. However, in the second half of the meet out-of-state exports increased by four percent. Advance Deposit Wagering (ADW) was up about 3 percent overall, and GGF's purse revenue generation was even compared to 2014. He said it was good news heading into the summer meet where purse levels would remain the same level as last year's after being raised five percent for the 2013 summer meet. He stated GGF's 2015 season would begin August 21 through Labor Day; close for two weeks for the Stockton Fair, and race for an additional two weeks before the Fresno Fair, with the meet a total of 19 days. Chairman Winner stated the chairman's license, track physician's license, and marketing plan were still outstanding. Commissioner Auerbach asked about the letter GGF submitted regarding its marketing plan. Scott Daruty, GGF, said the intent of the letter was to draw attention to the plight of GGF. He stated GGF and the Stronach Group were committed to ensuring GGF would remain a racing facility in the future, however, it was a challenge. He said at several of the Northern California Race Dates Committee meetings GGF discussed the

challenges it faced with running races during the winter and closing during the nicer summer months. He stated GGF submitted the letter in hopes of being open and forthright with the Board in regards to the challenges GGF faced in order to tackle the issue directly instead of submitting an unfeasible marketing plan. He said GGF prioritized its limited resources and decided to focus on the Ship, Run and Win program, increased field size, and increased exposure through the simulcast feed and out-of-state wagering. He stated GGF's marketing strategy was to get more horses to the facility and to improve its simulcast product. Commissioner Beneto said he agreed with Mr. Daruty; spending money on advertising was a waste; it was more advantageous to get additional horses for races. Commissioner Beneto stated Arizona ran races Monday and Tuesday and asked if GGF considered changing its running days. Mr. Daruty said that was something GGF could look at but it was a trade off; was it better to get people in a facility on Thursday through Friday, or attract more out-of-state handle on Monday and Tuesday? Commissioner Auerbach asked about the status of GGF's purse account going into the next meet. Mr. Rainey stated the purse account was positive and was carrying forward \$50,000 to \$80,000. Commissioner Krikorian asked about GGF's rationale for holding seven races on Thursdays, eight races on Fridays, and nine races on the other days; why not eliminate Thursdays in order to have more races on the other days. Mr. Rainey stated GGF wanted to continue racing four days a week; running seven races on Thursday would allow GGF to have more races on Saturdays or Sundays. Commissioner Choper asked if the ADW handle was up for the first five months in 2015 over 2014. Mr. Rainey stated that was correct. Commissioner Choper asked Mr. Rainey if he could explain why, despite GGF's field size, the ADW was up. Mr. Daruty said he believed it was due to the television arrangement between HRTV and TVG; it provided GGF more television exposure, which led to a higher ADW handle. Chairman Winner asked if GGF's

purses in races other than stakes races were projected to go down by \$400,000. Mr. Rainey stated GGF was trying to maintain the previous year's purse structure. Commissioner Choper asked how many people attended the Hispanic music festival. Mr. Cirimeli said over 10,000 people were in attendance on that day; with the handle up 3 percent. Commissioner Choper asked if GGF kept track of customers that returned, if incentives were given. He said Dollar Day Sundays at GGF brought people in, but the handle did not increase. Mr. Cirimeli said the GGF was trying to develop casual fans through the Dollar Days and the Hispanic Heritage Days. Commissioner Choper asked if GGF had a way to track how many people that attended the Hispanic Heritage Days or the Dollar Day Sundays came back? Mr. Cirimeli said GGF had a loyalty program through its Thoroughbreds cards that were swiped at the gate and people were coming back; however, wagering was a lower priority than buying food and watching the races. He stated it would take the new demographic more time to approach the windows to place a bet; however, finding a solution was something GGF would actively pursue. Chairman Winner stated marketing for special days or groups of people provided advantages beyond whether the handle increased, such as the secondary benefits of food and beverage sales and the other ways the fans spent their money. He said it would be impossible to determine how much they were wagering the first time or when they came back. Commissioner Solis asked about Mondays and Tuesdays for GGF. Mr. Daruty said he believed it was too late to make the change for the meet in August, but he would analyze it for later in the fall or early next year by trying a Monday first to see what would happen. Chairman Winner asked about the current meet estimate of \$2,333,000 versus the prior meet estimate of \$2,728,000. He said it appeared to be a purse decrease of \$400,000. Mr. Daruty said GGF would look into it and get back to the Board. Commissioner Solis **motioned** to approve Golden Gate Fields application to conduct a horse

racing meeting commencing August 19, 2015 through September 7, 2015, and September 24, 2015 through October 4, 2015, conditioned on the submission of all outstanding items. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE **APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR (F) AT FERNDALE**, COMMENCING AUGUST 21, 2015 THROUGH AUGUST 30, 2015, INCLUSIVE

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Richard Conway, Humboldt County Fair, stated the 2014 meeting saw an increase in handle, and the fair was continuing the same recruiting efforts and expanded marketing opportunities. Chairman Winner asked if the attendance of 34,136 was for the fair as a whole, or specifically to the track. Mr. Conway replied that the attendance was counted at the track. The track averaged over 5,000 people on the weekends and on a couple days had 7,000 people in attendance, which was all the track could accommodate. Commissioner Beneto asked about the agreement Humboldt had with Golden Gate Fields in 2014; was it still in effect? Mr. Conway responded that he had spoken with Cal Rainey, Golden Gate Fields, the previous week and he believed the agreement was still in effect. Mr. Rainey stated that Golden Gate Fields agreed it would not run any claiming races under \$5,000 during the Humboldt overlap. Commissioner Beneto **motioned** to approve Humboldt's application to conduct a horse racing meeting commencing August 21, 2015 through August 30, 2015. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1632, JOCKEY'S RIDING FEE**, TO ADJUST THE NON-WINNING JOCKEY RIDING FEE SCALE FOR LOSING MOUNTS, PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19501(B)(1), TO REFLECT CALIFORNIA'S MINIMUM WAGE INCREASE OF 11.1 PERCENT SCHEDULED FOR JANUARY 1, 2016 AND TO AMEND THE NON-WINNING JOCKEY RIDING FEE SCALE TO REFLECT AN INCREASE OF 11.1 PERCENT FOR THE 2<sup>ND</sup> AND 3<sup>RD</sup> PLACE MOUNTS IN RACES WITH A GROSS PURSE OF \$9,999 OR LESS

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Executive Director Rick Baedeker stated the proposed amendment to Rule 1632, Jockey's Riding Fee, reflected the minimum wage increase that would be effective January 1, 2016. Commissioner Auerbach asked how often the amendment to Rule 1632 would come before the Board; could a formula automatically kick in instead. Jacqueline Wagner, Assistant Executive Director, stated the amendment to Rule 1632 was a result of the statute that required the jockey's riding fees to be increased whenever the California minimum wage increased. She said it was within the Board's discretion to implement something in addition to that. Commissioner Auerbach asked if there was a way to do it automatically. Ms. Wagner said no. Executive Director Baedeker stated it would require a change in statute. Commissioner Krikorian stated he would be opposed to an automatic system; it would not be realistic due to the economy. He said it would be better to review and approve the amendment each time it came before the Board as an agenda item. Chairman Winner said the Board would refer it to committee for evaluation. Commissioner Auerbach **motioned** to direct staff to initiate 45-day public comment period regarding the proposed amendment to Rule 1632. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 1433, APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING**, TO REVISE THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING, CHRB-17 (REV. 07/11) (CHRB-17), AND APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR, CHRB-18 (REV. 07/11) (CHRB-18), TO 1) DELETE THE REQUIREMENT THAT ANY THOROUGHBRED RACING ASSOCIATION THAT OPERATES FOUR WEEKS OR MORE OF CONTINUOUS THOROUGHBRED RACING IN A CALENDAR YEAR INSTALL A POLYMER SYNTHETIC RACING SURFACE; 2) COLLECT INFORMATION ON STAKES RACES FOR THE PRIOR TWO RACE MEETINGS; THE NAME, EMERGENCY TELEPHONE NUMBER AND HOURS OF THE RACING VETERINARIAN ONSITE DURING TRAINING HOURS, WORKOUTS AND DURING RACING; INFORMATION ON THE PROPOSED OFFERING OF ANY PARI-MUTUEL WAGERS THAT WERE NOT LISTED IN THE PRIOR YEAR'S PARI-MUTUEL WAGERING PROGRAM; AND CAPTURE THE TAKEOUT PERCENTAGE FOR EACH TYPE OF WAGER

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The proposed amendment to CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting, would delete subsection 1433(b) which required any thoroughbred racing association that operated four weeks or more of continuous thoroughbred racing in a calendar year to install a polymer synthetic racing surface. Additionally, the proposed amendment to Rule 1433 would revise the forms CHRB-17 and CHRB-18, to collect information on stakes, veterinarian contact information, pari-mutual wagers, and takeout percentages. Commissioner Solis **motioned** to approve the proposed amendment to CHRB Rule 1433, Application for License to Conduct a Horse Racing Meeting. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

**PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1844, AUTHORIZED MEDICATION, TO 1) LOWER THE AMOUNT OF KETOPROFEN THAT CAN BE PRESENT IN A TEST SAMPLE FROM 10 NANOGRAMS PER MILLILITER OF BLOOD PLASMA OR SERUM TO 2 NANOGRAMS PER MILLILITER OF BLOOD PLASMA OR SERUM; AND 2) TO ADD ISOFLUPRODONE AND ITS SPECIFIED AUTHORIZED LEVEL TO THE LIST OF CALIFORNIA'S AUTHORIZED MEDICATIONS**

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The proposed amendment to CHRB Rule 1844, Authorized Medication, would revise subsection 1844(c) by lowering the amount of Ketoprofen that could be found in an official blood plasma or serum test sample. Additionally, the proposed amendment would revise subsection 1844(f) by adding Isoflupredone to the list of drug substances that could be found in an official blood plasma or serum test sample at a specified level. Commissioner Beneto **motioned** to approve the proposed amendment to CHRB Rule 1844, Authorized Medication. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE SUBMISSION OF PROPOSED CHRB EMERGENCY RULE 1866.1, PRESENCE OF CLENBUTEROL IN QUARTER HORSES, TO THE OFFICE OF ADMINISTRATIVE LAW (OAL), IN RESPONSE TO THE OAL DETERMINATION THAT THE FEBRUARY 28, 2014 MEMORANDUM "INSTRUCTIONS PURSUANT TO RULE 1885, MEDICATION PROCEDURES AND RELATED INSTRUCTION" ISSUED BY THE CHRB EQUINE MEDICAL DIRECTOR WAS AN UNDERGROUND REGULATION**

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Philip Laird, CHRB Staff Counsel, stated the Office of Administrative Law (OAL) made the determination that the memorandum that was in effect since February 2014, that provided procedures for placing quarter horses with Clenbuterol in their systems on the veterinarian's list, was an underground regulation. While the memorandum was created and enforced under Rule 1855, Medication Procedures and Related Instructions, OAL determined the memorandum was beyond the permissions of Rule 1855 and declared it an underground regulation. He said because the memorandum was unenforceable it resulted in an emergency situation in quarter

horse racing in California; therefore, CHRB staff created an emergency regulation replicating the original memorandum. He stated the emergency regulation process would be enforceable ten days after submission to OAL and in effect for six months while the proposed regulation went through the formal rulemaking process. Dr. Rick Arthur, CHRB Equine Medical Director, stated in Fiscal Year 2013-2014, there were 13 Clenbuterol violations at Los Alamitos. He said with the memorandum in effect, in Fiscal Year 2014-2015 there were no Clenbuterol violations. He stated to satisfy OAL he supported the emergency regulation, and believed it was necessary to eliminate Clenbuterol abuse. Dan Schiffer, Pacific Coast Quarter Horse Racing Association, stated the horsemen of Los Alamitos supported the action by the Board. Richard English, Los Alamitos, said Los Alamitos supported the Board taking emergency action. Vice-Chairman Rosenberg stated there were prior discussions about hair samples and asked if "official test sample" was defined in the CHRB rules or regulations since hair sampling was not being used by the Board. Dr. Arthur said hair testing and out-of-competition testing were used primarily for surveillance to ensure people were abiding by reporting; there were no thresholds. He stated Los Alamitos had its own hair sampling program which was not part of CHRB; it was a house rule. Commissioner Choper stated he believed there was rule that stated "and other such methods." The new regulation could include hair testing. Mr. Laird said Commissioner Choper was correct, official test sample was not defined in statute or in the rules or regulations, but what constituted a "sample" would be clarified during the formal rulemaking process. Commissioner Krikorian **motioned** to approve the submission of Emergency Rule 1866.1 to OAL. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE SONOMA COUNTY FAIR AND THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION, TO SUSPEND THE USE OF CLENBUTEROL FOR 12 MONTHS EFFECTIVE JUNE 1, 2015, FOR QUARTER HORSES AT THE SONOMA COUNTY FAIR RACE MEETING, PURSUANT TO THE PROVISIONS OF CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION.**

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Dr. Rick Arthur, CHRB Equine Medical Director, stated the request to suspend the use of Clenbuterol at the Sonoma County Fair would align Sonoma County Fair with the California Authority of Racing Fairs. Chairman Choper **motioned** to approve the request from the Sonoma County Fair, and the Pacific Coast Quarter Horse Racing Association, to suspend the use of Clenbuterol for 12 months, effective June 1, 2015 for Quarter Horses at the Sonoma County Fair race meeting. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE 2015/2016 AGREEMENT PROVIDING FUNDING SUPPORT FOR THE BOARD**

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Executive Director Rick Baedeker stated California Senate Bill 16 (Statutes of 2009) required the racing industry to fund the operations of the California Horse Racing Board (CHRB). Each year, CHRB's budget for the next fiscal year was presented to the Board. He said the significant change to the budget was the additional funding for the Maddy Lab, which was authorized in the Governor's budget. Chairman Beneto **motioned** to approve the 2015/2016 agreement providing funding support for the Board. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

**DISCUSSION BY THE BOARD REGARDING AN UPDATE ON THE PROGRESS OF THE SECURITY CAMERA INSTALLATION PROJECT AT CALIFORNIA RACE TRACKS**

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Joe Morris, Thoroughbred Owners of California (TOC), stated the issue of installing security cameras on the backside dated back to May 2013, with TOC offering \$150,000 towards the security camera installation project. He said discussions began with Santa Anita, along with the research provided by the Board. He said the challenge of bringing new equipment into a backstretch was that the equipment would need to use an operating system that was already in place. He stated Santa Anita was looking at its current software system and cameras. He said the \$150,000 offer still stood; however, TOC did not own the barn areas, it would have to be a track initiative that would tie into the track's current security protocol and plan. Commissioner Krikorian asked if TOC had determined what kind of system it was looking to install. Mr. Morris said in prior discussions different options were considered, including capturing images from all entrances in barn areas, shed rows, and monitoring stakes horses; however, the option may not remain the same with the current Santa Anita management. Commissioner Auerbach asked if the issue would need to be placed in the race meet applications as a requirement. She stated the Board did the research, developed a cost-effective solution, and provided the information to the racing industry; but it had not been used. She asked if the Board should come up with a timeframe requiring a system to be installed by a certain date; maybe TOC should put it in its race agreement. Mr. Morris stated the issue involved an expansion of the current systems. He said Santa Anita currently had security cameras and surveillance. He stated stakes races of \$100,000 and above had security guards that filmed anyone going in and out of stalls; that was included with the TOC race agreement with Santa Anita. Commissioner Auerbach said the security system recommended by the Board would cover everything, from the stakes races, to

the lowest claimers, to moving ponies in and out, not just certain races. Commissioner Solis stated a big problem that could be helped with the security system was having a camera that would alert the exercise riders and trainers to where the tractors were; it would eliminate 200 horses waiting to go onto the track and could help avoid an accident. Chairman Winner stated if the issue was not resolved between TOC and the racing association, the Board would be required to take regulatory action.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED ADJUSTMENT OF THE 2015 SOUTHERN CALIFORNIA RACING CALENDAR, TO ALLOCATE SEPTEMBER 26<sup>TH</sup> AND 27<sup>TH</sup> FROM SANTA ANITA TO FAIRPLEX, AT LOS ALAMITOS**

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This item was removed from the agenda.

**DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE ON FUTURE FUNDING FOR THE VANNING AND STABLING FUND**

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Joe Morris, Thoroughbred Owners of California (TOC), stated an agreement to fix the vanning and stabling fund deficit was reached at the end of 2014. The agreement closed Fairplex in 2015, which helped the fund's cash flow. He said opening Galway Downs and implementing new vanning procedures also helped the fund's cash flow. The vanning and stabling committee was projecting a \$400,000 surplus for 2015, which would bring its overdraft of \$4.4 million down to \$4 million. He said the committee was working on steps to pay down receivables for Los Alamitos, Santa Anita, and San Luis Rey, and add funding to the current stabling and vanning situation through legislative and Board level solutions. Commissioner Krikorian asked if the receivables were a separate amount of money from the 4.4 million deficit. Mr. Morris said no, the receivables were a part of the \$4.4 million deficit. Commissioner Beneto asked what the

Committee's budget was. Mr. Morris stated the budget was slightly over \$8 million with a \$400,000 surplus for 2015. Commissioner Krikorian asked if the Board would be hearing from individuals seeking increases to their current stabling and vanning. Mr. Morris said that was correct and the committee was working that in as part of the additional funding. Chairman Winner stated substantial increases requested by various associations, over what they were currently receiving, could cause problems in the current process. Mr. Morris said the increases needed to happen at the same time as the additional funding from purses, commissions, and the race tracks. Vice Chairman Rosenberg asked if stabling for race tracks was a reimbursement. Mr. Morris said that was correct. Vice Chairman Rosenberg asked whose responsibility it would be to determine how costs were calculated on a daily basis for off-track stabling? Mr. Morris stated that was audited through the Stabling and Vanning Committee.

#### REPORT FROM THE MEDICATION AND TRACK SAFETY COMMITTEE

Commissioner Auerbauch stated a Medication and Track Safety Committee meeting was held on May 27, 2015. Dr. Rick Arthur, CHRB Equine Medical Director, gave the committee a presentation on the necropsy report. In California, there were 199 fatalities in Fiscal Year 2013/2014 compared to 209 in the previous year; of the 199 fatalities 52 died from non-exercise related injuries. Dr. Arthur reported there was a significant decrease in quarter horse deaths over the last five years. The second item discussed was the proposed addition of Rule 1846.6, Post Mortem Examination Review, to require a postmortem examination review of each equine fatality within the enclosures. She stated Philip Laird, CHRB Staff Counsel, explained the concept of a review panel that would gather and review information from the postmortem examination, as well as from the trainer and owner, and utilize the information to better protect

the horse and rider. He said the purpose of the rule was not to sanction people. The third item was a presentation on emerging threats such as gene doping and the lab's ability or inability to find these threats. Dr. Stanley reported the Maddy Lab was working with other labs, as well as human testing labs, to update the Board on current and emerging threats. Commissioner Auerbach reported that Rick Baedeker, CHRB Executive Director, suggested the Board should consider developing regulations to prohibit gene doping and other emerging threats. The fourth item discussed was track veterinarian examination procedures. Dr. Arthur said the Board did not have as many veterinarians examining the horses at the race tracks as at other locations. He suggested a formula for veterinarians to have time to examine the horses. Dr. Stead had suggested adopting a regulation requiring a minimum number of examining veterinarians; however, Mr. Baedeker preferred that the industry come up with a solution to make sure there were enough veterinarians rather than the Board mandating how many veterinarians. The fifth item discussed was the proposed amendment to allow race secretaries to write races in which horses would not be given Lasix within 24 hours of the race. During the discussion on the item it was determined there was not enough support among stakeholders for the amendment to proceed. The sixth item discussed was pony and rider safety. Due to discussions with pony riders regarding their responsibilities for ponies there had been an improvement; ponies were looking healthier and in better condition. The program started with CARMA, the off track race program that locates homes for horses. Pony riders asked for help; it became apparent that ponies needed as much attention as horses because the economics in the pony community was worse than in racing. Santa Anita started a program where ponies were registered and assigned stalls. The seventh item discussed involved adjustment of penalties for medication violations; specifically the ambiguity concerning penalties for repeated violations, and greater restrictions

on suspended trainers. California Thoroughbred Trainers (CTT) opposed a proposal for an absolute mandate concerning the transfer of horses by a suspended trainer to another licensee. Dr. Arthur and CTT believed the stewards should make these decisions and talked about reducing the number of trigger days to 45. CHR B staff would amend the language and bring it back to the committee. The final item was scratching a horse from a race if it had been gelded, and the gelding had not been reported. It was determined that the issue of non-report of gelding had to do more with honest and legitimate mistakes than it did with nefarious activity by any of the horsemen; it was less of a problem and the proposal would not move forward.

#### REPORT FROM THE JOCKEY AND DRIVER WELFARE COMMITTEE

Commissioner Solis stated the amendment to Rule 1688, Use of Riding Crop, would limit the rider from using the crop more than three times in succession without giving the horse a chance to respond, would be effective July 1, 2015. He said the best constructed riding crop was from England and included a soft popper. He believed that jockeys were getting riding crops from all over the world, and some riding crops could look like the soft popper that applied in the rule, but they were not soft enough. He stated a jockey would go through three to four riding crops a month, with up to 15 whips in the jockeys' room. He recommended fining a jockey that did not have the right whip; this would encourage jockeys to purchase riding crops from England that had more cushion. He said in the future, it would be a great idea to use the riding crop for guidance and safety only; two year olds were being pushed to work early. He stated riding crop free racing would allow jockey's to use the crop for guidance and for safety. The committee discussed using a video featuring jockeys to educate other riders on how to use the riding crop without focusing on hitting the horse. He said harness racing was overlooked regarding

whipping, and the Board needed to bring the harness industry to a level field with thoroughbred racing. He stated another point to be addressed was the use of the whip to encourage the horse out of the gate; there were other ways to encourage the horse out of the gate. Commissioner Auerbach stated there was more and more emphasis on running two year olds; there was a segment in the industry that pushed to run horses at two years old. She said eliminating the two-furlong races and pushing back on two year old races would benefit the horses health and the industry in the long run.

#### **REPORT FROM THE NORTHERN CALIFORNIA RACE DATES AND STABLING OVERSIGHT COMMITTEE**

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Commissioner Beneto reported six racing fairs agreed to the 2016 Northern California race dates proposed, with Golden Gate Fields presenting its proposal. Commissioner Choper stated all of the stakeholders agreed that they would meet among themselves. Executive Director Rick Baedeker said the meeting would be held on July 8, 2015 in Sacramento, CA. Commissioner Choper said the main point of the meeting was compromise and everyone that was attending the meeting understood that. Chairman Winner said a series of meetings would take place; the committee would make a recommendation to the Board, and the Board would make a decision regarding both north and south 2016 race dates. He said historically in the south, there were meetings with the stakeholders, including the racing associations, Thoroughbred Owners of California, and California Thoroughbred Trainers. Ultimately, no one would get everything they wanted, but everyone got something. He stated the best example was the 2015 Fairplex/Los Alamitos/Santa Anita and the two race dates they discussed over a period of time. He said with the leadership of Commissioner Krikorian, and the stakeholders, the race dates were worked out

because everyone involved had the intention of doing what was best for racing. Vice- Chairman Rosenberg asked Chairman Winner what the deadline would be for putting the issue on the agenda if an agreement could not be reached. Chairman Winner said August 2015; the stakeholders would meet first and then come back to the committee with their recommendations. The committee would make a decision and it would go before the Board at the August 2015 Regular Board meeting.

#### **DISCUSSION BY THE BOARD REGARDING THE ALLOCATION OF THE 2016 NORTHERN CALIFORNIA RACE DATES**

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This item was combined and discussed with agenda item number 21.

#### **REPORT FROM THE SOUTHERN CALIFORNIA RACE DATES AND STABLING OVERSIGHT COMMITTEE,**

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Commissioner Krikorian stated several concerns were identified that the committee should be sensitive to, moving forward. They included avoiding Breeders cup racing day conflicts, coordinating turf racing in Southern California with Northern California race dates to avoid gaps in turf races available, and awareness of which tracks generated the biggest handles. He said the committee also discussed being aware of overworking track surfaces, training too many horses on a track for a long period of time, avoiding horse injuries, and shorter race meets to keep patrons interested. The committee discussed coinciding race dates with stabling commitments for the calendar year, so there was not a problem with stabling once the race dates were determined; trainers would know where they stood and what their stabling opportunities were for their horses for the calendar year. The committee discussed a break between the spring and winter meet at Santa Anita to give the surface time to be worked, and moving racing to Del Mar,

or to another track. He said he wanted to emphasize that even though rack tracks were awarded racing dates for 2015, that did not entitle the tracks to the same race dates in the future; that was a Board decision. He stated Santa Anita made a presentation stating it would like to keep the same number of weeks it was awarded in 2014 and 2015. Del Mar said it would like to keep the summer days it had last year. Los Alamitos preferred to have two four-week meets versus the current meets, and it also indicated since no one was racing the last week of December it would like those dates as well. Commissioner Krikorian said Thoroughbred Owners of California thought a spring break from racing at Santa Anita made sense, and could bring extra money into stabling. California Thoroughbred Trainers agreed that a spring break was a good idea, and it thought the Committee should look at the north and south together, and have simultaneous racing at Golden Gate Fields and Los Alamitos. Jim Henwood, Fairplex, said he was pleased with the changes in Fairplex race dates, and its move to Los Alamitos, which was a positive influence on racing in Southern California. Chairman Winner said the committee would come before the Board in August with recommendations for the Southern California race dates.

**DISCUSSION BY THE BOARD REGARDING THE ALLOCATION OF THE 2016  
SOUTHERN CALIFORNIA RACE DATES**

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This item was combined with agenda item number 23.

**MEETING ADJOURNED AT 12:18 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**JULY 17, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**CALIFORNIA HORSE RACING BOARD**

**JULY 17, 2015**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF  
RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION IN THE  
AMOUNT OF \$46,017.25 TO ELEVEN BENEFICIARIES

Regular Board Meeting  
July 17, 2015

#### ISSUE

Pacific Racing Association, doing business as Golden Gate Fields (GGF), is requesting approval to distribute race day charity proceeds generated at its 2014-2015 racing meet from August 15, 2014 to June 14, 2015. From the net race day charity proceeds GGF is proposing a total distribution of \$46,017.25. The charitable organizations selected and amounts to be distributed are listed on the attachment. Staff notes that 100 percent of the proceeds will be given to 11 horse racing related organizations.

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action.  
Staff recommends the Board approve the charity race day distribution as presented.

# Golden Gate Fields

RECEIVED  
CHRB

June 22, 2015

2015 JUN 29 PM 2:33

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

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Re: Golden Gate Fields' Charity Days

Ladies/Gentlemen:

Pacific Racing Association, doing business as "Golden Gate Fields," has determined the beneficiaries of proceeds from "Charity Days" races conducted at Golden Gate Fields during its meets from August 15, 2014 to June 14, 2015. The net proceeds from the "Charity Days" totaled \$46,017.25. Attached hereto is the list of the organizations selected as the beneficiaries of the Charity Days proceeds and the amount to be distributed to each.

Please note that 100% of the distributions are to horse related charities.

Should you have any questions regarding the distributions or the matters set forth herein, please do not hesitate to contact the undersigned.

Very truly yours,



Frank De Marco, Jr.  
Vice President, Regulatory Affairs

FDM:mr

Enclosures

Pacific Racing AssociationDistribution of Charity Days Proceeds2013-2014 Meets: 8/15-9/14/14, 10/16-12/21/14 and 12/26/14-6/14/15

<u>I. Horse Related Charities – BP 19556(b) (20% minimum)</u>	<u>Amounts</u>	<u>Subtotals</u>
California Equine Retirement Foundation, Inc.	\$ 1,450.00	
California Thoroughbred Foundation	1,450.00	
CARMA	5,775.00	
Edwin Gregson Foundation	9,842.25	
Neigh Savers Foundation	1,450.00	
Race Track Chaplaincy of America (Northern California Council)	2,850.00	
Tranquility Farm	1,450.00	
United Pegasus Foundation	1,450.00	\$25,717.25
<u>II. Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	3,500.00	3,500.00
<u>III. Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	6,950.00	6,950.00
<u>IV. Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	9,850.00	9,850.00
Total		<u>\$46,017.25</u>

**ADDEMDUM TO PACIFIC RACING ASSOCIATION  
REQUEST FOR AUTHORITY TO DISTRIBUTE  
2014-2015 CHARITY DAYS PROCEEDS**

**California Equine Retirement Foundation, Inc.** – CERF is an organization that has developed into a full-care ranch to provide a full range of services to former race horses in need of rehabilitation toward retirement or placement in to a new career. CERF maintains 75 plus horses ranging in age from one year to 35 years, each having specific needs to insure proper health and optimal fitness. Each horse has its own paddock where appropriate portions are placed in feeders in the morning and again in the late afternoon. During the day hay is placed in outside feeders for grazing while the horses are turned out. Periodic re-evaluations are made to determine if any changes are needed in any individual's dietary regime. This program provides horses with proper nutrition to maintain health, fitness and longevity.

**California Thoroughbred Foundation** – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

**California Thoroughbred Horsemen's Foundation** – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**CARMA** – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Neigh Savers Foundation** – Neigh Savers creates new beginnings for retired racing Thoroughbreds by building relationships, both on and off the track, to help as many horses as possible. Through rescue, rehabilitation and retraining the organization provides the foundation needed for racing Thoroughbreds to successfully transition into new careers. Whether the horse is an Off-Track Thoroughbred (OTTB) ready to end its racing career or one that has been injured on the track or has found itself standing at an auction or on a feedlot with no other options, Neigh Savers strives to make a difference, one OTTB at a time.

**Permanently Disabled Jockeys' Fund** – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

**Racetrack Chaplaincy of America (Northern California Council)** – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

**Tranquility Farm** – This organization is a 501(c)(3) charity whose principal activity is to accept the donation of Thoroughbred horses from the race tracks and breeding farms and whose goal is to give such horses the best opportunity to find an adoptive home. For those Thoroughbreds that are not readily adoptable, the organization offers comfortable retirement wherever possible, giving priority to horses that were significant competitors or producers. Tranquility Farm requests, but does not require, that owners contribute sponsorship to help defray the costs of rehabilitation and retraining. No horse is denied acceptance at Tranquility Farm based upon its owner's financial contribution.

**United Pegasus Foundation** – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

**Winners Foundation** – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Fairplex, Golden Gate Fields, Hollywood Park, Oak Tree, Santa Anita and the California Association of Racing Fairs.

## CHRB ANALYSIS

### Golden Gate Fields Charity Day Proceeds (08/15/2014-06/14/2015)

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	Permanently Disabled Jockeys Fund	9,850.00	21%	minimum of 20%	d
2	California Equine Retirement Foundation Inc.	1,450.00	3%		
3	Racing Track Chaplaincy (N. California)	2,850.00	6%		
4	Winners Foundation*	6,950.00	15%		
5	Edwin J. Gregson Foundation*	9,842.25	21%	minimum of 5%	b
6	CARMA	5,775.00	13%		
7	Neigh Savers Foundation	1,450.00	3%		
8	Tranquility Farms	1,450.00	3%		
9	California Thoroughbred Foundation	1,450.00	3%		
10	United Pegasus Foundation	1,450.00	3%		
11	California Thoroughbred Horsemen's F.	3,500.00	8%	minimum of 5%	c
	Total	\$ 46,017.25	100%		e
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556 (b))			
	b	5% to welfare fund for backstretch personnel (B&P 19556 (b))			
	c	5% to nonprofit organization to assist alcohol abuse victims (B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% should go to horse racing industry related nonprofit organizations.			
	<b>* Associations related to horse racing industry</b>				
	Background information for each organization is attached.				

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF  
THE LOS ANGELES TURF CLUB IN THE  
AMOUNT OF \$49,487.98 TO TWELVE BENEFICIARIES

Regular Board Meeting  
July 17, 2015

#### ISSUE

Los Angeles Turf Club II (LATC II) is requesting approval to distribute race day charity proceeds generated at its 2014 autumn meet from September 26, 2014 through November 2, 2014. From the net race day charity proceeds LATC II is proposing a total distribution of \$49,487.98. The charitable organizations selected and amount to be distributed are listed on the attachment. Staff notes that 100 percent of the proceeds will be given to 12 horse racing related organizations.

#### BACKGROUND

Business and Professions Code section 19550 states the Board shall require each licensed racing association that conducts 14 or less weeks of racing to designate three racing days, and each licensed racing association that conducts more than 14 weeks of racing to designate five racing days during any one meeting, to be conducted as charity days by the licensee for the purpose of distribution of the net proceeds there from to beneficiaries through the distribution agent. No racing association shall be required to pay to a distributing agent for the purpose of distribution to beneficiaries more than an amount equal to two-tenths of one percent of the association's total on-track handle on live races conducted by the association at the meeting. Business and Professions Code section 19555 requires that proceeds are to be distributed to beneficiaries within 12 calendar months after the last day of the meet during which charity days were conducted. Business and Professions Code section 19556 provides that the distributing agent shall make the distribution to beneficiaries qualified under this article. At least 20 percent of the distribution shall be made to charities associated with the horse racing industry. An additional five percent shall be paid to a welfare fund and another five percent shall be paid to a nonprofit corporation, the primary purpose of which is to assist horsemen and backstretch personnel who are being affected adversely as a result of alcohol or substance abuse. In addition to the above distributions, a separate 20 percent shall be made to a nonprofit corporation or trust, the directors or trustees of which shall serve without compensation except for reimbursement for reasonable expenses, and which has as its sole purpose the accumulation of endowment funds, the income on which shall be distributed to qualified disabled jockeys.

#### RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board approve the charity race day distribution as presented.



Frank De Marco, Jr., Esq.  
Vice-President, Regulatory Affairs, Secretary  
and General Counsel

June 12, 2015

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, California 95825

2015 JUN 16 AM 8:45  
RECEIVED  
CHRB

Re: Los Angeles Turf Club II, Inc.'s Charity Days

Ladies/Gentlemen:

Los Angeles Turf Club II, Inc., doing business as "Santa Anita Park," has determined the beneficiaries of proceeds from "Charity Days" races conducted at Santa Anita Park during its 2014 Autumn Meet from September 26 through November 2, 2014. The net proceeds from the "Charity Days" totaled \$49,487.98.

Attached hereto is a list of the organizations selected to be the beneficiaries of the Charity Days proceeds, the amount to be distributed to each and a brief statement about each recipient. Note that 100% of the distributions are to horse related charities.

Please calendar this matter for discussion and action by the California Horse Racing Board. Distributions will be made upon approval of the proposed amounts by the Board.

Should you have any questions regarding the distributions or the matters set forth herein, do not hesitate to contact the undersigned. Kindly do not supplement this filing with any further documents without giving us prior notice.

Very truly yours,

Frank De Marco, Jr.

FDM:mr

Enclosures

cc: Chairman Chuck Winner

**Los Angeles Turf Club, Inc.**

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565

## Los Angeles Turf Club II, Inc.

## Distribution of Charity Days Proceeds

2014 Autumn Meet (September 26, 2014-November 2, 2014)\*

I. <u>Horse Related Charities – BP 19556(b) (20% minimum)</u>	<u>Amounts</u>	<u>Subtotals</u>
California Equine Retirement Foundation, Inc.	1,500.00	
California Thoroughbred Foundation	1,500.00	
California Thoroughbred Horsemen's Foundation	1,500.00	
CARMA	1,500.00	
Edwin Gregson Foundation	15,287.98	
Holy Angels Church (Backside Permanent Deacon)	1,500.00	
Racetrack Chaplaincy of America (Southern California Council)	1,500.00	
Southern California Equine Foundation, Inc.	1,500.00	
Tranquility Farm	1,500.00	
United Pegasus Foundation	1,500.00	
Winners Foundation	3,100.00	\$31,887.98
II. <u>Welfare Fund for Backside Personnel – BP 19641(b) (5% minimum)</u>		
California Thoroughbred Horsemen's Foundation	3,050.00	3,050.00
III. <u>Fund for Substance or Alcohol Abuse – BP 19556(b) (5% minimum)</u>		
Winners Foundation	3,050.00	3,050.00
IV. <u>Disabled Jockeys Fund – BP 19556(c) (20% minimum)</u>		
Permanently Disabled Jockeys' Fund	11,500.00	11,500.00
Total		<u>\$49,487.98</u>

\*Excluding Breeders' Cup Handle per contract

**LOS ANGELES TURF CLUB II, INC.**  
**ADDEMDUM TO REQUEST FOR AUTHORITY TO DISTRIBUTE**  
**2014 CHARITY DAYS PROCEEDS**

**California Equine Retirement Foundation, Inc.** – CERF is an organization that has developed into a full-care ranch to provide a full range of services to former race horses in need of rehabilitation toward retirement or placement in to a new career. CERF maintains 75 plus horses ranging in age from one year to 35 years, each having specific needs to insure proper health and optimal fitness. Each horse has its own paddock where appropriate portions are placed in feeders in the morning and again in the late afternoon. During the day hay is placed in outside feeders for grazing while the horses are turned out. Periodic re-evaluations are made to determine if any changes are needed in any individual's dietary regime. This program provides horses with proper nutrition to maintain health, fitness and longevity.

**California Thoroughbred Foundation** – CTF is a 503(c)(3) nonprofit organization that provides multiple benefits to individuals involved in the Thoroughbred industry. These include scholarship programs for veterinary medicine students at UC, Davis and maintenance of the Carleton F. Burke Memorial Library.

**California Thoroughbred Horsemen's Foundation** – CTHF is a 501(c)(3) nonprofit foundation that provides assistance to the low income backstretch community occupied with the care of Thoroughbred race horses at California's major race tracks and fair circuits and CHRB recognized off-track training centers. The organization maintains three clinics throughout the state which see over 10,000 people each year, including numerous children who are prepared medically to return to school each year.

**CARMA** – The California Retirement Management Account is organized to raise money for retired California race horses. Their mission statement provides that they assist Thoroughbred retirement facilities that care for and retrain horses whose careers have ended after competing in California Thoroughbred races. Their services include tracking of retired California race horses and in working to find homes for retired equines. CARMA also manages a grant request process and distributes funds to qualified retirement facilities caring for such horses. CARMA is dedicated to the goal of providing funding for the rehabilitation, retraining and/or retirement of Thoroughbred horses that have raced in California.

**Edwin Gregson Foundation** – A nonprofit charitable foundation organized for the purpose of improving the lives of backstretch workers and their immediate family members at California race tracks. The organization provides backstretch workers with many educational programs, including "English as a second language," bible studies and provides numerous programs, including computer training programs at its recreation facility, computer games and various magazines in English and Spanish. It organizes family outings for the workers and their children, including trips to Magic Mountain in Valencia, California, Raging Waters, Galaxy soccer games, Dodger baseball games and excursions to the Long Beach Aquarium. The organization also maintains a recreational hall at Santa Anita Park for the benefit of the backside workers and their children.

**Holy Angels Church (Backside Permanent Deacon)** – Holy Angels Church is a Catholic Church across the street from Santa Anita Park. A deacon from the church performs a weekly Mass in the recreation room at the track on Monday nights for the workers on the backside. He walks down the shed rows daily in the morning and assists the workers in an endless variety of ways. Examples of the assistance provided include counseling, organizing religious and recreational activities, the collection and distribution of clothing, help with Medicare, Medi-Cal and immigration forms, officiating at holiday gatherings at Santa Anita, etc.

**Racetrack Chaplaincy of America (Southern California Council)** – The stated mission of the organization is "The overall mission of RTCA, through its councils and chaplains, is to make disciples for Jesus Christ through teaching, preaching, and ministering to the spiritual, emotional, physical, social, and educational needs of those persons involved in all aspects of the horse racing industry."

**Southern California Equine Foundation, Inc.** – SCEF is a nonprofit charitable organization providing hospital and equine ambulance services on the Southern California Thoroughbred racing circuit. Commonly known as the Equine Hospital, SCEF has a long history of service to the racing industry and the equine athlete. This is an organization "dedicated to the care of the injured horse."

**Tranquility Farm** – This organization is a 501(c)(3) charity whose principal activity is to accept the donation of Thoroughbred horses from the race tracks and breeding farms and whose goal is to give such horses the best opportunity to find an adoptive home. For those Thoroughbreds that are not readily adoptable, the organization offers comfortable retirement wherever possible, giving priority to horses that were significant competitors or producers. Tranquility Farm requests, but does not require, that owners contribute sponsorship to help defray the costs of rehabilitation and retraining. No horse is denied acceptance at Tranquility Farm based upon its owner's financial contribution.

**United Pegasus Foundation** – This is a 501(c)(3) charitable organization that aids the rescue of superannuated Thoroughbreds and provides care for such animals at its farm in Tehachapi, California. On occasion the organization purchases older horses at auction and acquires other Thoroughbreds at the request of owners and trainers. The retired Thoroughbreds are maintained in pleasant quarters and peaceful surroundings to live out the balance of their lives. This organization depends entirely upon donations for the purchase of food and other amenities required to maintain the Thoroughbreds.

**Winners Foundation** – The Winners Foundation provides confidential assistance on a one-to-one basis to employees and family members of California race tracks who are seeking help for alcohol, gambling and drug addiction. Each situation is geared to best support an individual's desire to change his or her life for the better. The Foundation has developed a large referral base and maintains close relationships with community based services such as anonymous 12 step groups, city and county assistance agencies, detox centers, halfway houses and out-patient and in-patient hospital treatment programs. This service is provided to any employee or family member of anyone involved in the California Thoroughbred horse racing community, free of charge. This includes backstretch workers, as well as all employees of Del Mar, Fairplex, Golden Gate Fields, Hollywood Park, Oak Tree, Santa Anita and the California Association of Racing Fairs.

**Permanently Disabled Jockeys' Fund** – This is a statutorily mandated distribution per Business and Professions Code Section 19556 (c). It provides support to disabled jockeys, as its name implies.

CHRB ANALYSIS

Los Angeles Turf Club II, Inc. 2014 Autumn Charity Day Proceeds

#	Nonprofit Organization	Amount	Proposed Disbursement Percentage	Percentage Required By Horse Racing Law (from the 2/10 of 1%)	Notes
1	California Equine Retirement Foundation, Inc.	1,500	3%		a
2	California Thoroughbred Foundation	1,500	3%		a
3	California Thoroughbred Horsemen's Foundation	4,550	9%	minimum of 5%	b
4	CARMA	1,500	3%		a
5	Edwin J. Gregson Foundation	15,288	31%		a
6	Holy Angels Church (Backside Permanent Deacon)	1,500	3%		a
7	Racetrack Chaplaincy of American (Southern Ca Council)	1,500	3%		a
8	Southern California Equine Foundation, Inc.	1,500	3%		a
9	Tranquility Farm	1,500	3%		a
10	United Pegasus Foundation	1,500	3%		a
11	Winners Foundation	6,150	12%	minimum of 5%	c
12	Permanently Disabled Jockeys' Fund	11,500	23%	minimum of 20%	d
	<b>Total Horse Related Charities</b>	<b>\$ 49,487.98</b>	<b>100%</b>	<b>minimum of 50%</b>	<b>a</b>
	Notes:				
	a	20% to charities associated with the horse racing industry (B&P 19556)			
	b	5% to welfare fund for backstretch personnel (B&P 19641 (b))			
	c	5% to nonprofit organization to assist horsemen and backstretch personnel affected by alcohol and substance abuse(B&P 19556 (b))			
	d	20% to nonprofit organization that benefits qualified disabled jockeys (B&P 19556 (c)(1))			
	e	overall a minimum of 50% of the charity distribution should go to horse racing industry related nonprofit organizations.			

Background information for each organization is attached.

STAFF ANALYSIS  
DISCUSSION AND ACTION REGARDING  
LICENSE RENEWAL FOR AMTOTE, THE TOTALIZATOR SELECTED BY SOUTHERN  
CALIFORNIA OFF TRACK WAGERING, INCORPORATED (SCOTWINC) AND  
NORTHERN CALIFORNIA OFF TRACK WAGERING, INCORPORATED (NCOTWINC),  
TO PROVIDE CALIFORNIA WAGERING SERVICES AND THE IMPACT THE  
SELECTION MAY HAVE ON CALIFORNIA RACING.

Regular Board Meeting  
July 17, 2015

#### ISSUE

In December 2014, California horse racing industry stakeholders awarded AmTote International, Inc. (AmTote) the contract to provide totalizator services in California. AmTote is wholly owned by The Stronach Group, which also owns and operates Golden Gate Fields, Santa Anita Park, and Xpressbet, as well as numerous other entities involved in the horse racing industry.

AmTote presently holds a CHRB issued Totalizator Company license which will be up for renewal in September 2015. Accordingly, the renewal of AmTote's Totalizator Company license is before the Board for discussion and action.

#### BACKGROUND

The California horse racing industry enters into a contract every 10 years to provide totalizator services, which include providing all wagering equipment, the computer system to record and tabulate wagers, and other resources. The most recent two contracts were with Sportech and its predecessor Scientific Games. The current contract expires at the end of September 2015.

The industry formed a committee in May 2014 to develop a Request for Proposal (RFP) for a new contract. Upon issuance of the RFP, two bids were received—one from the current provider, Sportech, and the other from AmTote International, Inc. (AmTote), an 80-year-old company based in Maryland that develops software, manufactures hardware, and operates systems for pari-mutuel wagering and fixed-odds wagering operations around the world. AmTote is wholly owned by The Stronach Group.

After receiving these bids and hearing presentations, the industry stakeholders involved in the selection process accepted AmTote's proposal to provide totalizator services in California moving forward.

While AmTote's Totalizator Company license history dates back to 2004, the Board most recently received and approved a complete application from AmTote in 2012. On September 30, 2015, AmTote's license will be up for renewal once again. Accordingly, this item is before the Board to discuss AmTote's license renewal, and to decide whether or not to place conditions on its Totalizator Company license that the Board deems are appropriate and/or necessary.

## ANALYSIS

### Horse Racing Laws & Regulations Affecting Totalizator Providers:

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the California Horse Racing Board shall have all powers necessary and proper to enable it to carry out the purposes of this Chapter. Business and Professions Code section 19460 states that all licenses granted by the Board are subject to all rules, regulations, and conditions prescribed by the board and shall contain such conditions as are deemed necessary or desirable by the board. Business and Professions Code section 19433 further states that the board may visit, investigate, and place expert accountants and such other persons as it may deem necessary in the office, track, or other place of business of any licensee for the purpose of satisfying itself that its rules and regulations are strictly complied with. Additionally, Business and Professions Code section 19434 provides that the board may require that the books and financial or other statements of any person licensed under this chapter shall be kept in any manner which to the board may seem best.

In regard to totalizators, Business and Professions Code section 19592 states that the parimutuel system of wagering shall be operated only by a totalizator or other equipment approved by the board; that the board shall not require any particular make of equipment; and that the communications system, technology, and method used to accept wagers and transmit odds, results, and other data related to wagering shall be approved by the board. CHRB Rule 1440.5 describes the process for licensing a Totalizator Company as follows: [A Totalizator Company] shall procure the appropriate license by completing and submitting to the Board, CHRB-87 (Rev. 5/97), Application for License/Contractor or Sub-contractor, Application for Approval/Concessionaire which is hereby incorporated by reference, at least ninety (90) calendar days before the date the entity intends to commence business at live race meetings and/or simulcast wagering facilities and pay the required fee. Subsection (f) of that Rule further states that the Board may deny, suspend or revoke the license on grounds or reasons which include, but are not limited to, the following determinations:

- (1) The contractor/sub-contractor is ineligible to conduct business in this state pursuant to any federal or state statute.
- (2) The contractor/sub-contractor or any of its officers, directors, partners or principal management employees have engaged in any activity which is a grounds for denial, suspension or revocation of a license pursuant to this Division, or has failed, refused or neglected to comply with any Board order, rule, regulation, or order by the Board's Stewards reasonably related to its operations as a contractor/sub-contractor. The license shall remain denied, suspended or revoked until all parties of the licensee comply with the Board conditions. The remaining parties of the licensee shall not be prohibited from applying for a new license if compliance cannot be obtained from the offending party.

Furthermore, Rule 1964, Cooperation of Parimutuel Department, requires that the parimutuel manager and the representatives of any totalizator company or service providing parimutuel

equipment or service at any race meeting, shall cooperate fully in any investigation of the Board or in any proceedings before the Board relating to any parimutuel operation.

Licensing California's Totalizator Provider:

A totalizator company is required to obtain a license from the CHRB by submitting a CHRB-87, Application for License/Contractor or Sub-Contractor and a CHRB-25A, Personal History Record for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more.

The initial application documents are received by the Board's administrative office and the documents are reviewed for completeness. Incomplete application documents are returned to the applicant for completion. Once the application requirements are met, the complete application documents are then reviewed and considered for approval by the CHRB Audit Unit, Enforcement Unit and the Assistant Executive Director. If the application is approved, the contractor is granted a one year license term.

If an application is denied, the applicant has thirty (30) calendar days from the receipt date of the Board's denial notification, to request a reconsideration of the Board's decision. The request must be in writing and sent to the Board's Administrative office. The Board shall respond in writing to the reconsideration request within thirty (30) working days from the receipt of the request.

The renewal process for licensing of contractors and sub-contractors requires the applicant to identify if any changes have occurred since the last license approval. If there have been no changes the applicant notes such on the renewal form. If there have been changes since the last license approval, the applicant is required to attach a detailed explanation of all changes. The renewal documents are reviewed for completeness and then forwarded to the CHRB Audit Unit, Enforcement Unit and the Assistant Executive Director for review and approval.

AmTote presently holds a CHRB issued Totalizator Company license, which is up for renewal at the end of September 2015. This date also coincides with the end of Sportech's totalizator contract, and it is staff's understanding that the transition from Sportech to AmTote will occur at this time.

Potential Conditions the Board May Place on AmTote International's License:

CHRB staff notes that AmTote is a subsidiary company of The Stronach Group, which owns and operates multiple racetracks in California, the ADW company Xpressbet, Horse Racing TV, and numerous other entities involved in the horse racing industry. Given The Stronach Group's widespread influence over California horse racing, staff is concerned about the potential for undisclosed and otherwise problematic interactions between the company's numerous subsidiaries and AmTote. In an attempt to address these concerns, staff has identified a number of potential conditions the Board may place on AmTote's license to satisfy itself that all CHRB laws and regulations are being complied with. These options include, but are not limited to, the following:

1. Require AmTote to submit current information and documents as required of a first time totalizator license applicant.
2. Require all officers, directors, and employees of AmTote disclose their relationships to each of the various Stronach Group horse racing related entities.
3. Require that certain transparency standards be met by AmTote including, but not limited to, disclosure of Board of Director meeting minutes, financial documents, current corporate structure and all requests by any entities seeking direct access to the totalizator site, as well as any other information as the Board may request.
4. Require that AmTote not have any ownership interest in any robotic wagering company or other similar wagering entity.
5. Require that AmTote report the details of any winning ticket with a certain minimum payoff within a set amount of time, which shall include but not be limited to the origin of the wager (ADW, satellite, on-track, etc.), base amount of the ticket, and any other relevant information.

#### RECOMMENDATION

This item is presented to the Board for discussion and action.

## STAFF ANALYSIS

July 17, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT LOS ALAMITOS RACE COURSE SEPTEMBER 10 THROUGH SEPTEMBER 25, 2015.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Los Alamitos Race Course September 10 through September 25, 2015, or 10 days; one day less than 2014. LACF proposes to race a total of 84 races; 22 races less than 2014.

- The proposed race dates are the approved dates allocated to the fair.

September – 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Thursday through Sunday.
- Racing concurrently with Stockton September 11-13 and 18-20, 2015 and Golden Gate Fields September 24 and 25, 2015.
- 9 races per day Saturdays and Sundays; 8 races per day on Thursdays and Fridays.
- 2014 Race Meeting at Fairplex ran Thoroughbreds only: Average number of runners per race: 7.23.
- First post 2:00 p.m.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering 8:30 a.m. – 10:00a.m.
  - \$2 Daily Double (DD) on first and last two races. Placement of last DD will depend on number of races. DD takeout rate of 20%.
  - \$1 Trifecta on all eligible races.
  - \$0.10 Superfecta wagering on all qualifying races.
  - Incoming simulcasts will follow host track format.
  - \$2 Pick (n) Pool last six live races 70% carryover, 30% minor. Alternate runner provision
  - \$0.50 Pick (n) Pool starting second race and last four races. Alternate runner provision.
  - \$0.50 Pick (n) Pool starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.
  - \$1 Pentafecta (Super H5) in the last race of the day only selecting the first five finishers in a same race.

- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, Twin Spires, and Watch and Wager.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all document required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Thoroughbred Owners of California agreement
2. California Thoroughbred Trainers agreement
3. Horseman's approval of Advanced Deposit Wagering agreement
4. Marketing plan
5. Thoroughbred Owners of California approval letter for takeout
6. Rule that will be used for Pentafecta wager
7. 2015 Southern California Off-Track Wagering Inc. Audiovisual/Parimutuel agreement
8. Track announcer license expired October 2014
9. Clerk of the course license expires July 2015
10. Film specialist

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone, and fax numbers of fair:

Los Angeles County Fair Association at Los Alamitos  
1101 W. McKinley Avenue Phone: 909-865-4093  
Pomona, CA 91768 Fax: 909-622-5652

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Kim Lloyd, 909-629-3099, Lloyd@fairplex.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for race meeting: **Sept. 10– Sept. 25, 2015**
- B. Actual dates racing will be held: **Sept. 10 – 13 and Sept. 17– 20, Sept. 24-25**
- C. Dates racing will NOT be held: **Sept. 14 - 16, and Sept. 21-Sept. 23**
- D. Total number of racing days: **10 days**
- E. Days of the week races will be held:  
 Wed - Sun  Tues - Sat  Other (Thurs - Sun)

**3. RACING PROGRAM**

A. Total number of races: 84

**CHRB CERTIFICATION**

Application received: 6/11/15  
Reviewed: *yl*

Hearing date: 7/17/15  
Approved date:  
License number:

B. Number of races by breed:

<input type="text" value="84"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9,9	0	0	0,0	8,8,8	8,8,8	9,9
Other Breeds	0	0	0	0	0	0	0
Total	9,9	0	0	0,0,	8,8,8		9,9

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **Attached**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

F. List all post times for the daily racing program:

**APPROX. POST TIME**

*Pacific Daylight Time*

	Thurs - Fri	Sat - Sun
Race 1	2:00 pm	2:00 pm
Race 2	2:30 pm	2:30 pm
Race 3	3:00 pm	3:00 pm
Race 4	3:30 pm	3:30 pm
Race 5	4:00 pm	4:00 pm
Race 6	4:30 pm	4:30 pm
Race 7	5:00 pm	5:00 pm
Race 8	5:30 pm	5:30 pm
Race 9	N/A	6:00 pm
Race 10	N/A	N/A
Race 11	N/A	N/A

## 2015 Los Alamitos / L A county Fair Stakes Schedule

Date	Race	Purse	Net To Purses	Conditions
Thurs. 9/10	Beverly Lewis Stakes	\$75,000 g.	\$70,000	3 y o fillies, 6.5 fur.
Sat. 9/12	E B Johnston Stakes	\$100,000 g	\$70,000	CB 3 & up 1 mile
Sun. 9/13	The Contender Stakes	\$75,000 g	\$70,000	2 y o, 6 fur.
Sat. 9/19	Barrett's Debutante	\$100,000 g	\$50,000	2 y o fillies, 6.5 fur.
Sun. 9/20	Barrett's Juvenile	\$100,000 g	\$50,000	2 y o, c & g, 6.5 furlongs
Total		\$450,000	\$310,000	

## Fall Claiming Stakes Series / Friday, Sept. 25

Race	Purse	Conditions
The Buena Park Claiming Stakes	\$30,000 g	3 & up, NW 2 in 2015, non starter for \$8,000 or less in 2014-15
The Westminster Claiming Stakes	\$30,000 g	F & M, NW 2 in 2015, non-starter for \$8,000 or less in 2014-15
The Rossmoor Claiming Stakes	\$35,000 g	3 & up, NW 2 in 2015, non starter for \$16,000 or less in 2014-15
The Long Beach Claiming Stakes	\$35,000 g	F & M, NW 2 in 2015, non starter for \$16,000 or less in 2014-15
The Seal Beach Claiming Stakes	\$45,000 g	3 & up, non starter for \$25,000 or less in 2014-15
5 Races Total Purses \$175,000	Normal Purse total - \$89,000	Purse supplement from Stakes - \$86,000

## 2014 Los Alamitos LACF Meet Thoroughbred Stakes Schedule

Date	Race	Purse/To Purse Account	Conditions
Fri. 9/5	E. B Johnston	\$75,000/\$65,000	3 & up, Cal Bred, 6.5 fur.
Sat. 9/6	Beverly Lewis	\$75,000/\$65,000	3-y-o, f 6.5 fur.
Sun. 9/7	Orange County	\$75,000/\$65,000	3 & up, f/m, 6 fur.
Sat. 9/13	Barrett's Debutante	\$100,000/\$50,000	2-y-o, f, 6.5 fur.
Sun. 9/16	Barrett's Juvenile	\$100,000/\$50,000	2-y-o, 6.5 fur.
Sat. 9/20	Los Alamitos Mile	\$200,000/\$175,000	3 & up, 1 mile
Sun. 9/21	Cypress Sprint	\$75,000/\$65,000	3 & up, 6 fur.
Sun. 9/21	Las Madrinas	\$75,000/\$65,000	3 & up f/m, 1 1/16

Total Stakes Purses - \$775,000

Stakes Purses Deducted From Purse Schedule - \$600,000

4. FAIR ASSOCIATION

A. Names of the fair directors:

- Michael Ortiz, Chairman
- Bill Fox, Vice Chairman
- Bernard Bernstein
- Ronald Bolding
- Heidi Hanson
- Thomas Hsieh
- John Landherr
- Christina Loza
- Steve Morgan
- Cid Pinedo
- John Solomon

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: N/A

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

OFFICERS (L.A. COUNTY FAIR)

- James E. Henwood, President & Chief Executive Officer
- John Gilbert, Vice President-Business Management
- Dwight Richards, Vice President-Operations
- Michael Seder, Vice President Finance & Chief Financial Officer

MANAGEMENT

- Edward C. Allred, President, CEO
- Brad McKinzie, Vice President, General Manager
- Rick English, Chief Financial Officer
- Robert Moreno, Racing Secretary
- Orlando Gutierrez, Publicity Director
- Frank Sherren, Plant Superintendent
- Rick Hughes, Track Superintendent
- Dennis Moore, Track Consultant

- Robert Digiovanni, Director of Mutuels
- Frank Miramahdi, Track Announcer
- Alex Soltera, Director of Security
- Robert Hurd, Director of Operations
- Mike Mongi, Director of Food Services
- Ken Walker, Director of Labor Relations

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

- James E. Henwood, 1101 W. McKinley Avenue, Pomona, CA 91768 [Henwood@fairplex.com](mailto:Henwood@fairplex.com)
- Michael Seder, 1101 W. McKinley Avenue, Pomona, CA 91768 [Seder@fairplex.com](mailto:Seder@fairplex.com)
- Kim Lloyd, PO Box 660280 Arcadia, CA 91066-0280 [lloyd@fairplex.com](mailto:lloyd@fairplex.com)
- Rick English, 4961 Katella Avenue, Cypress, CA 90720 [rickmenglish@yahoo.com](mailto:rickmenglish@yahoo.com)

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted Player Pick - 5 Proposed percentage: 14%  
Daily Double Proposed percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. **Request Attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Race Days	Races Run	Handle/race
2014	\$ 63,631,510	84,091	11	100	\$ 636,315
2013	\$ 59,394,551	111,875	13	138	\$ 430,395
2012	\$ 67,835,280	115,089	13	138	\$ 491,560
2011	\$ 62,751,153	125,879	13	136	\$ 461,406
2010	\$ 75,177,170	143,214	15	175	\$ 429,584
2009	\$ 82,762,543	156,250	15	167	\$ 495,584
2008	\$ 99,942,912	190,346	16	185	\$ 540,232

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

- 1. All races other than stakes:
  - Current meet estimate: \$2,107,500
  - Prior meet actual: \$2,314,784

Average Daily Purse (7A1 ÷ number of days):

- Current meet estimate: \$210,750
- Prior meet actual: \$210,435

- 2. Overnight stakes:
  - Current meet estimate: \$175,000
  - Prior meet actual: \$0

Average Daily Purse (7A2 ÷ number of days):

- Current meet estimate: \$17,500
- Prior meet actual: \$0



# LA County Fair

June 10, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code section 19601.01, Barretts Race Meet, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting take out as set forth below to be offered during Los Angeles County Fair Meet at Los Alamitos 2015 season, September 10-September 27:

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%. Daily double takeout rate of 20%.

For reference, the Players Pick-5 and the Daily Double is noted on Los Angeles County Fair Association license application in Section 5A.

Please contact me if you have any questions.

Regards,

Kim Lloyd  
General Manager

LACF.com

1101 W. McKinley Ave., Pomona, CA 91768 • P: 909.623.3111 • F: 909.865.3602

3. Non-overnight stakes:  
 Current meet estimate: \$310,000  
 Prior meet actual: \$622,200

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$31,000  
 Prior meet actual: \$56,564

4. Total Purses: (7A1+-7A2+ 7A3)  
 Current meet estimate: \$2,592,500  
 Prior meet actual: \$2,936,984

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$259,250  
 Prior meet actual: \$266,998

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$191,783  
 Prior meet actual: \$227,584

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT-ADMIN	\$7,431	\$8,743
TOC	\$14,861	\$17,485
NTRA	\$3,485	\$8,143
CTT-PENSION	\$22,292	\$26,228
WORKERS COMP	\$22,292	\$26,228
CTHF	\$22,292	\$26,228
<b>Total</b>	<b>\$92,652</b>	<b>Total \$113,055</b>

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$2,876,935  
 Prior meet actual: \$3,277,623

Average Daily Purse (7D ÷ number of days):  
 Current meet estimate: \$287,693  
 Prior meet actual: \$297,966

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$1,983,713  
 Prior meet actual: \$2,350,353

## Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$198,371  
 Prior meet actual: \$213,668

## F. Purse funds to be generated from interstate handle:

Current meet estimate: \$612,593  
 Prior meet actual: \$697,253

## Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$61,259  
 Prior meet actual: \$63,386

## G. Bank and account number for the Paymaster of Purses' purse account:

**Bank of the West 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. #On File**

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Bowen McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730, 909-944-6465**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **820**
- B. Minimum number of stalls believed necessary for the meeting: **2500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,545**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **San Luis Rey Downs 495, Galway Downs 150, Santa Anita 1900**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **On file**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c). **695 n/a**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**Cost per stall: \$16.25**

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **Total cost: \$103,100**

**Cost per horse:**  
**Santa Anita** \$ 180.00 round trip  
**San Luis Rey Downs** \$ 360.00 round trip  
**Galway Downs** \$ 320.00 round trip

**9. PARI-MUTUEL WAGERING PROGRAM**

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format: N/A

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS  
 Example Race \$1 E; \$1 Double

APPLICABLE RULES  
 CHRB #1959; RCI #VE

CARF WAGERING FORMAT  
 Yes  No

	TYPE OF WAGERS	APPLICABLE RULES
Race #1	\$1E, \$1TRI,\$2DD, \$1PK3, \$0.50PNP5, Superfecta	CHRB #1959, #1979, #1957, #1977, #1976.9, #1979.1
Race #2	\$1E, \$1Tri, \$1PK3, \$0.50PNP4, Superfecta	CHRB #1959, #1979, #1977, #1976.9, #1979.1
Race #3	\$1E, \$1TRI, \$1PK3, \$0.50PNP6, Superfecta,	CHRB #1959, #1979, #1977, #1976.9, #1979.1
Race #4	\$1E, \$1Tri, \$1PK3, \$0.50PNP6, Superfecta	CHRB #1959, #1979, #1977, #1976.9, #1979.1
Race #5	\$1E, \$1Tri, \$1PK3, \$0.50PNP4, Superfecta	CHRB #1959, #1979, #1977, #1976.9, #1979.1
Race #6	\$1E, \$1Tri, \$1PK3, Superfecta	CHRB #1959, #1979, #1977, #1979.1
Race #7	\$1E, \$1Tri, \$1PK3, \$2DD, Superfecta	CHRB #1959, #1979, #1977, #1957, #1979.1
Race #8	\$1E, \$1Tri, \$1PK3, \$2DD, Superfecta	CHRB #1959, #1979, #1977, #1957, #1979.1
Race #9	\$1E, \$1Tri, \$1PK3, \$2DD, Super H5 Superfecta	CHRB #1959, #1979, #1977, #1957, #1979.1

- 1 \$2 Daily Double on first two races and last two races. Placement of last DD will depend on # of races
- 2 \* \$1Trifecta on all 3eligible races. (CHRB 1979)
- 3 \* \$0.10 Superfecta wagering on all qualifying races (CHRB 1979.1)
- 4 \* Incoming simulcasts will follow host track format
- 5 \* \$2PNP6 last six live races 70% carryover, 30% minor. Alternate runner provision (CHRB 1976.9)
- 6 \* \$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)
- 7 \$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.
- 8 \$1 Pentafecta (Super H5)in the last race of the day only selecting the first five finishers in a same race.

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 25, 2015**

D. List any options requested with regard to exotic wagering: **None**

E. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

**8:30am – 10:00am**

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech, Terence McWilliams, Expiration 9/2015**

**10. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Fairplex in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following National Advanced Deposit Wagering (ADW) companies: TVG, XpressBet.com, Watchandwager.com and Twin Spires.com for internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Fairplex content and via Southern California customers (during Fairplex’s season.)**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **See Attached**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?

If yes, attach a copy of the approval. **Item needed**

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Southern California OffTrack Wagering, Inc.**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair’s live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file with the CHRB.**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal: **See Attached**
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: **See Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
**See Attached**
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
**See Attached**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:  
**See Attached**

## SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino  
1932 Wildcat Canyon Rd.  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
84-245 Indio Springs Dr.  
Indio, CA 92201

Surfside Race Place at Del Mar  
2260 Jimmy Durante Blvd.  
Del Mar, CA 92014

Hollywood Park,  
3883 W. Century  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
46-350 Arabia St.  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
18700 Lake Perris Dr.  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
2551 West Ave. H  
Lancaster, CA 93536

Santa Anita Park  
285 W. Huntington Dr.  
Arcadia, CA 91007

Sports Center at National Orange Show  
930 S. Arrowhead Ave.  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
2201 W. White Ave.  
Pomona, CA 91768

Sycuan Gaming Center  
5469 Casino Way  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
10 West Harbor Blvd.  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
14800 7th St.  
Victorville, CA 92392

Viejas Casino & Turf Club  
5000 Willows Rd.  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
6131 E. Telegraph Rd.  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
2369 S. El Camino Real  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
121 Brooks Street  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
1423 S. Bradley Rd.  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
23221 Lake Center Drive  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
21615 Soledad Canyon Road  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
1345 Thousand Oaks Blvd.  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
100 Harbor Drive  
San Diego, CA 92101

Striders Norco (mini-satellite)  
2895 Hamner Ave.  
Norco, CA 92860

Firehouse (mini-satellite)  
7701 White Ave.  
Bakersfield, CA 93313

## NORTHERN CALIFORNIA

Shasta District Fair  
1890 Briggs St.  
Anderson, CA 96007

Big Fresno Fair  
1121 Chance Ave.  
Fresno, CA 93702

Monterey County Fair  
2004 Fairgrounds Rd.  
Monterey, CA 93940

Fresno Club One  
1033 Van Ness Ave.  
Fresno, CA 93721

Alameda County Fair  
4501 Pleasanton Ave.  
Pleasanton, CA 94566

California State Fair & Exposition  
1600 Exposition Blvd.  
Sacramento, CA 95815

The Jockey Club at San Mateo  
2495 S. Delaware St.  
San Mateo, CA 94403

Santa Clara County Fair  
344 Tully Rd.  
San Jose, CA 95111

Sonoma County Fair  
1350 Bennett Valley Rd.  
Santa Rosa, CA 95402

San Joaquin County Fair  
1658 South Airport Way  
Stockton, CA 95206

Stanislaus County Fair  
900 N. Broadway  
Turlock, CA 95380

Solano County Fair  
900 Fairgrounds Dr.  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
111 Monterey St.  
Salinas, CA 93901

## 2015 Los Alamitos (Thoroughbred)

## Commingle Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutual
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountainair Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Accd Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	ThistleDown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greentrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	GuH Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hípica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Cneur d'Alena Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teeltheater, Bristol	Idabel	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WalchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Williamantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bo's (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Churk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zis Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB		Remington OTB Network
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Cartha Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codare (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (California)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	San Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

## Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodromo de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine.

**2015 Los Alamitos (Thoroughbred)  
 Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangelina Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangelina Downs AWA	Millers OTB	Sol Mutual
Anima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountainair Park	Suffolk Downs
Bangor Raceway	Fonner	M. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bellor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Accel Wagering
Birmingham		Newport Jai 'Al'ai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Bwin International Ltd.	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Intl Betting Assoc. Ltd	Northfield	Thistledown
Canterbury	Magna Bet		Tioga Downs
Capital District OTB	Racebets	Cedar Downs OTB	Tri-State GH (Hardi Gras)
Catskills OTB	Greenetrack	Northville	Turf Paradise
Charles Town Race Course	Greyhound @ Post Falls	NYRA	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulf Greyhound	NYRA Account Wagering	Turfway Park
Churchill Downs	Gulfstream	Oaklawn	TVG Chester
Club Hipica InTurf	Harrington Raceway	Ocean Downs	TVG Network
Coeur d'Alene Casino	HarringtonBets (DE regional)	Panama	TVG Prairie
Colonial Downs	Hawthorne Race Course	PARX	Twin River Greyhound
Colonial Downs Phone Bet	Hawthorne ADW	PARX Phone Bet	TwinSpires
Columbus Raceway	Hazel Park	Penn National	TwinSpires High Volume
Connecticut OTB	Hoosier Park	Penn National Telebet	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Horseman's Park	Peru	Venezuela OTB
New Britain, Norwalk, Milford	Idabel	Plainridge Race Course	Vermont Downs
East Haven, Hartford, Putnam	Indiana Downs	Plainridge Telephone Wagering	WatchandWager
Shoreline Star, Sports Haven	Clerksville/Evansville OTB	Player Management Group	Western OTB
Torrington, Waterbury	Intermountain Racing	Pocono Account Wagering	Wheeling Downs
Manchester, New London	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Williamantic, Sanford OTB	Keeneland Select ADW	Portland Meadows	Wyoming OTB
John Martin's Manor Restaurant	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming Downs
Connecticut OTB ADW	Kentucky OTB	Prairie Meadows	XpressBet
Coushatta Casino	Lebanon	Premier Turf Club	Yonkers Raceway
Paragon Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers ADW
Ho-Chunk Casino and Racebook	Lewiston OTB's	Raceway Park	Youbet Group I
Mohegan Sun Casino	Lien Games	Racing2Day LLC	Zia Park
Oneida Bingo and Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Peny Bar Simulcast Center	Howard Johnsons OTB	Remington Park	
Tote Investment Racing	Runors OTB	Remington OTB Network	
Randall James Racetrack	Strydancer Casino OTB	Retama	
Millentum Racing	Lien Games ADW	Racing & Gaming Services	
Royal Beach Casino	BelAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations
Divi Carina Bay Casino	Lona Star	River Downs	Camazero (Puerto Rico)
Fair Chance, Winner's Circle	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Camouflage Gaming	LVDC	Rockingham Account Wagering	Codera (Mexico/Spain)
Corpus Christi Greyhound	Alliant, Paradise Casino	Ruidoso Downs	MIR Books (Cuba)
Delaware	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delta Downs	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Derby Jackpot	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
	Meshkaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assiniboia, Barrie, Chateaufort, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodromo de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Etienne Hub, St. Johns, Sudbury Downs, Summerside, TBC San. John, TBC Teletheatre, Truro Raceway, Western Fair, Woodbine.

*Exhibit 11F**Southern California (Mini-Satellite)*

Commerce Casino  
6131 E Telegraph Rd  
Commerce, CA 90040

OC Tavern Grill & Sports Bar  
2369 S El Camino Real  
San Clemente, CA 92672

Original Roadhouse Grill  
1423 S Bradley Rd  
Santa Maria, CA 93454

Santa Clarita Lanes  
21615 Soledad Caynoun Road  
Saugus, CA 91350

The Mermaid  
1345 Thousand Oaks Blvd  
Thousand Oaks, CA 91362

Sammy's  
23221 Lake Center Dr  
Lake Forest, CA 92630

*Northern California (Mini-Satellite)*

Bankers Casino  
111 Monterey St  
Salinas, CA 93901

---

**2015 Los Alamitos (Thoroughbred) Import Tracks**

Track
Arlington
Assiniboia
Australia Racing
Beulah
Calder
Canterbury
Churchill Downs
Delaware Park
Delta Downs
Ellis Park
Emerald
Evangeline
Fort Erie
Gulfstream
Hastings Park
Indiana Downs
Keeneland
Lone Star
Louisiana Downs
Monmouth
Mountaineer Park
Northlands
NYRA - Aqueduct, Belmont, Saratoga
Penn National
Philadelphia Park (Parx)
Pimlico
Prairie Meadows
Presque Isle
River Downs
South America Racing
Suffolk Downs
Sunray Park
Tampa Bay
Thistledown
Turf Paradise
United Kingdom
Woodbine

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:  
**None**

### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **N/A**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s)	Dana Stead
Clerk of Scales	Charles McCaul
Clerk of the Course	Melanie Stubblefield
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denoval
Patrol Judges	Ruben Hernandez
	Karen Denoval
Placing Judges	Kevin Colosi
	Dawn Schmid
Starter	Gary Brinson
Timer/Morning Line Maker	Russ Hudak
Film Specialist	

- B. Management officials in the racing department:
- |                                     |                   |
|-------------------------------------|-------------------|
| Director of Racing                  | Bob Moreno        |
| Racing Secretary                    | Bob Moreno        |
| Assistant Racing Secretary          | Tora Yamaguchi    |
| Paymaster of Purses                 | Dawn Jackson      |
| Others (identify by name and title) |                   |
| Mutuel Manager                      | Robert DiGiovanni |
| Director of Labor Relations         | Ken Walker        |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
**Weinstein Court Reporters P.O. Box 26634, Santa Ana, CA 92799,  
Mderieg@weinsteincourtreporters.com  
 949-637-6071**
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**William D. O'Brien, Plusmic Corp USA**      Expiration date of contract: **12/31/15**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Jim Porep, Pegasus Communications**      Expiration date of contract: **April 21, 2017**  
**One pan camera located in the Grandstand crow's nest near the Finish Line. Tower cameras are located at the 1/8 Pole, 9/16 Pole and 1/4 Pole. In addition, we have a fixed camera on the Starting Gate and 3 additional handheld cameras at the Paddock, Winner's Circle and the Starting Gate.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Electronic timing devices maintained and operated by Los Alamitos Race course personnel. Systems backed up by hand timing.**

### 13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**Alexander Soltero, Chief of Security**  
**(714)820-2660 Frontside**  
**Backside Security Office-(714)820-2832**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **1 Chief of Security 1 Stable Security Manager 5 gatemen**  
**3 Investigators 20 Security Guards**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Unless directed otherwise by CHRB, it is not the plan of Los Angeles County Fair to use a detention barn for stakes run during our 2014 Fair meet. Written plan for stakes security is attached



Jim Porep, Jr. President  
 Pegasus Communications, Inc.  
 16633 Ventura Blvd, Suite 1010  
 Encino, CA 91436  
 Office 818-907-1900  
 Cell 951-314-6348

**LARC Contract Term:**  
 April 21, 2014 / April 21, 2017

**Major Video Equipment Listing**  
 Broadcast Video Production Control Room, Grandstand lower level

HD graphics systems with Pegasus DataLinks interface software  
 32/16 to Sony 2 ME Switcher

Recording systems:

- 1- ZPlay HD video recorder, slow motion playback
- 1- 3play HD video recorder, slow motion playback
- 1- Sony DigiBeta

Audio Mixing Board  
 144x Router

Camera locations

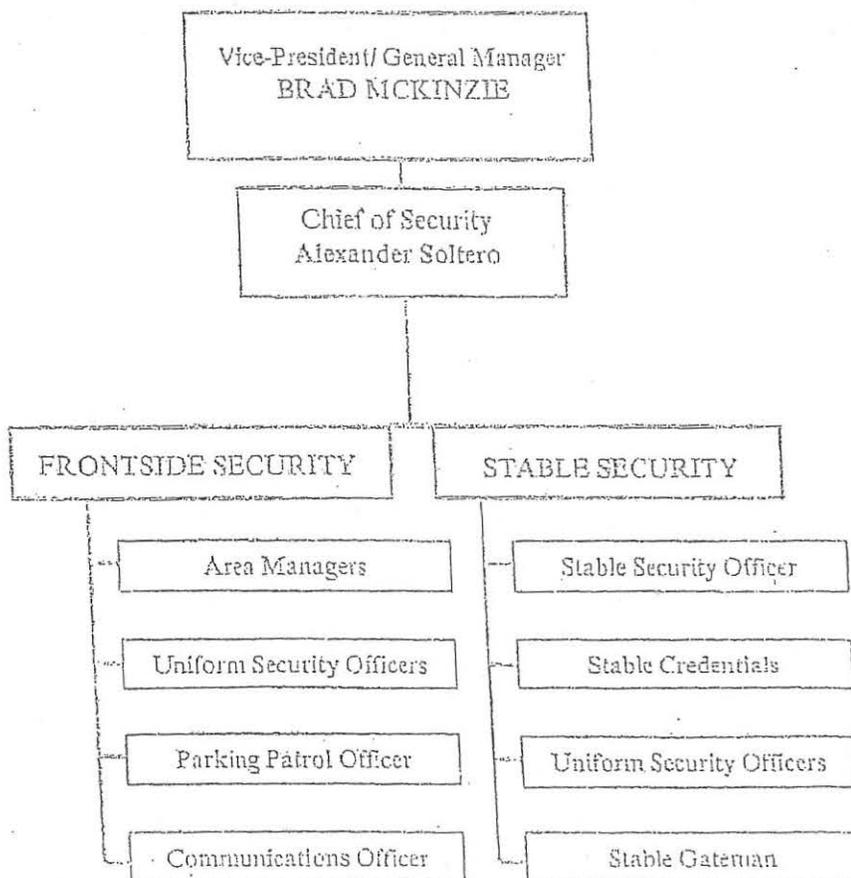
- 1- HD Pan camera 1
- 1- HD Pan camera 2
- 1- HD 7/8 tower
- 1- HD 3/8 tower
- 1- HD Wireless gate camera system
- 1- HD Shutter cam at finish line
- 1- HD jib mount winner's circle/finish line
- 2- HD TVG Set
- 1- HD Pan camera 3 / Isolation, cover shot
- 1- HD 3/8 quarter horse tower

Emergency Track Lighting System  
Los Alamitos Race Course

The main race track lighting system is comprised of (976) 1000 watt 277 volt metal halogen light fixtures. The emergency lighting system is comprised of (305) 1500 watt quartz light fixtures. If the main system experiences a brief loss or interruption of power the metal halogen lights will fail. Due to the type of fixture they may take up to 20 minutes to reach full illumination. In the event of an interruption of this type the back-up emergency quartz lighting system automatically comes on. This happens instantly and the back-up emergency system can remain on for up to 90 minutes.

# LOS ALAMITOS RACING ASSOCIATION

## Security Live Racing



THE STATE OF TEXAS

COUNTY OF DALLAS

Know all men that I, \_\_\_\_\_

do hereby certify that \_\_\_\_\_

is the true and correct \_\_\_\_\_

of the \_\_\_\_\_

of the County of \_\_\_\_\_

State of Texas.

Witness my hand and seal this \_\_\_\_\_

day of \_\_\_\_\_ 19\_\_\_\_

at \_\_\_\_\_

County of \_\_\_\_\_

State of Texas.

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

19\_\_\_\_

at \_\_\_\_\_

County of \_\_\_\_\_

State of Texas.

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

19\_\_\_\_

at \_\_\_\_\_

County of \_\_\_\_\_

State of Texas.

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

19\_\_\_\_

at \_\_\_\_\_

County of \_\_\_\_\_

State of Texas.

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

## Attachment 14.1 / 14.2

## Program for Enhanced Security for Stakes Races

During the thoroughbred racing season at Los Alamitos all stakes races with a purse of \$100,000 or more will be subject to enhanced security procedures conducted by Los Alamitos Race Course personnel.

For all stakes with purses of \$100,000 or more there will be security personnel assigned to each barn with a horse(s) entered in that race. This security guard will monitor all individuals entering the barn and they will all be equipped with a video recording device to record that activity.

For the upcoming summer racing season the following races will be subject to this increased security program –

\$100,000 Bertrando Stakes  
\$350,000 Los Alamitos Derby  
\$200,000 Great Lady M

As per our agreement with the TOC, this added surveillance will commence no later than 6 hours prior to the post time of the subject race.

This enhanced security coverage will be in addition to a minimum of 2 armed security personnel who will be patrolling the barn area on a 24-hour per day basis.

### Program for Enhanced Security for Stakes Races

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For all stakes with purses of \$100,000 or more there will be security personnel assigned to each barn with a horse(s) entered in that race. This security guard will monitor all individuals entering the barn and they will all be equipped with a video recording device to record that activity.

As per our agreement with the TOC, this added surveillance will commence no later than 6 hours prior to the post time of the subject race.

For the \$500,000 Los Alamitos Derby to be run on July 5, this enhanced security program will be in place 48 hours prior to the running of the race.

This enhanced security coverage will be in addition to a minimum of 2 armed security personnel who will be patrolling the barn area on a 24-hour per day basis.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.
- C. Number of security guards in the detention stall area during a 24-hour period.
- D. Describe number and location of surveillance cameras in detention stall area.

3. TCO2 Testing:

- A. Number of races to be tested and number of horses entered in each race to be tested.  
**Will follow CHRB directives**
  - B. Plan for enhanced surveillance for trainers with high-test results.  
**Will follow CHRB directives**
  - C. Plan for detention stalls for repeat offenders.  
**Will follow CHRB directives**
  - D. Number of security personnel assigned to the TCO2 program.  
**As per needed according to CHRB directives**
- C. Describe the electronic security system.
- 1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**Los Alamitos does not have video surveillance cameras in these locations.**

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Workouts:**

**Huntington Ambulance Service  
PO Box 145  
Sunset Beach, CA 90742  
562-904-1550  
Track #714-820-2845**

**Running of the races:**

**Huntington Ambulance Service  
PO Box 145  
Sunset Beach, CA 90742  
562-904-1550  
Track #714-820-2845**

**Huntington Ambulance staffing: 2 EMT's**

- 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

## Huntington Ambulance, LLC

CHRS  
 2110 Haverly Way #500  
 Sacramento, CA 95825

April 8, 2015

Dear CHRS Board,

I, Stacy O'Bryan, President of Huntington Ambulance, LLC certify that all EMT's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California, Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our EMT's are Licensed with the California Horse Racing Board.

Sincerely,

Stacy O'Bryan - President  
 Huntington Ambulance, LLC

## CHRB Item 15.1 – Explanation of lack of paramedic staff

Unlike Los Angeles County, which allows for the licensing of private paramedic services, all paramedic services in Orange County fall under the jurisdiction of the Orange County Health Care Agency, Department of Emergency Medical Services and that agency does not license private paramedic services within the county.

All paramedic services within Orange County are provided by the Orange County Fire Authority and there is no provision within current guidelines that allow for OCFA units to be staged for activities of private events such as horse racing.

Because of this guideline, all racing events at Los Alamitos are covered by two fully equipped ambulances staffed by state certified EMT's. These EMT's and our ambulance service also fall under the jurisdiction of the Orange County Emergency Medical Services and our operations at the track are reviewed on an annual basis. I have included our last review with the suggestions of OCEMS which have been implemented by our track.

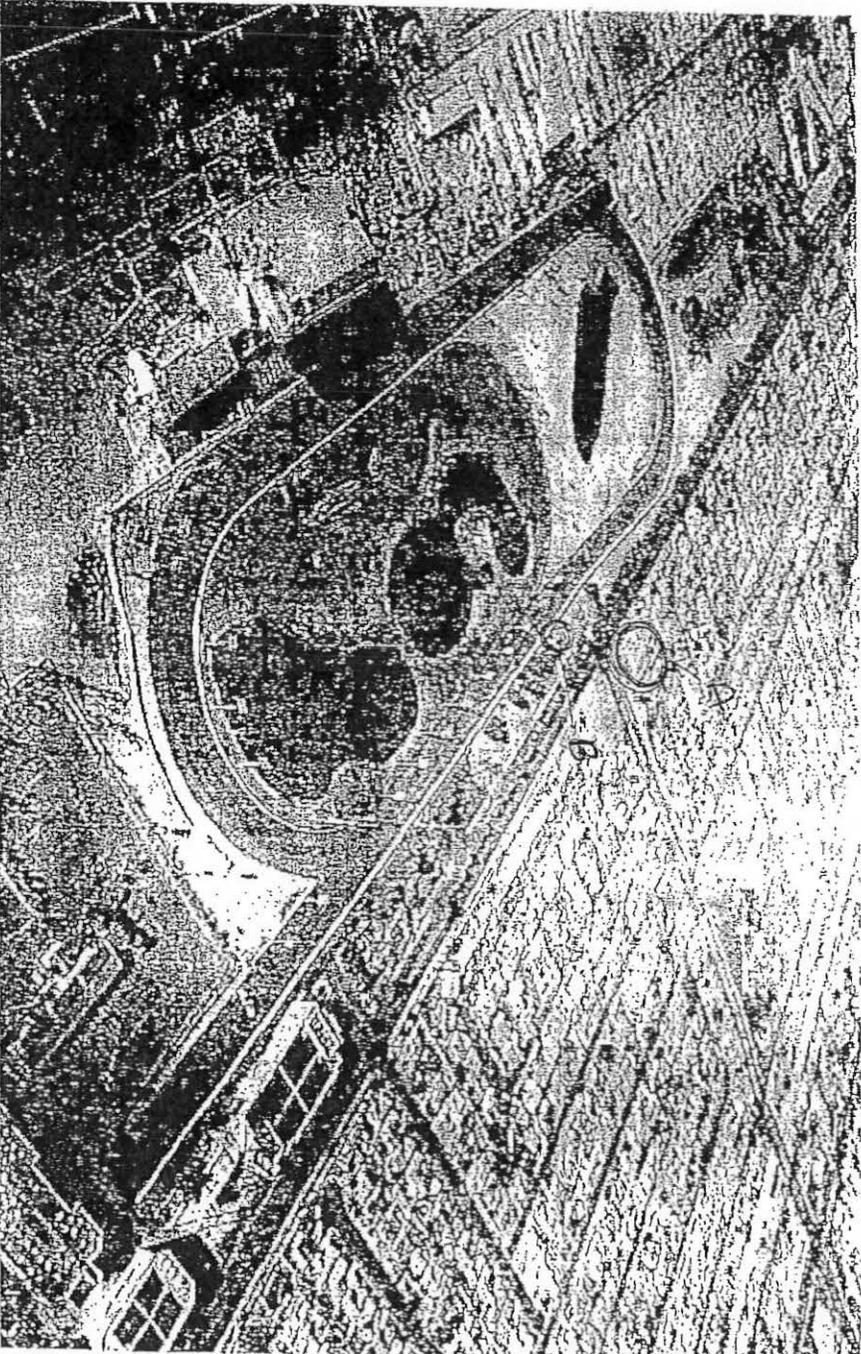
It is important to note that while our track is not covered by paramedics, the protocol for having paramedics on the scene in case of an accident has been reviewed and approved by the OCEMS.

The nearest paramedic staffed fire station to Los Alamitos Race Course is literally across the street. This proximity is no accident. The OCEMS will tell you that the site was chosen for the specific reason of its proximity to the race track.

In case of an accident that requires paramedic response, our Huntington Ambulance EMT's will stabilize the patient and will meet the OCFA paramedic unit at the pre-designated location directly across the street from the fire station. This location is accessed through a gate on our backstretch that we have shown on the enclosed graphic.

Not only does the OCEMS approve of this system, as you can see from our latest review, they believe having experienced EMT's handle the initial care of the jockey before handing the patient off to paramedics has benefits.

A - Paramedic Fire Station  
B - Paramedic gate





Excellence  
Integrity  
Service

**COUNTY OF ORANGE  
HEALTH CARE AGENCY**

**HEALTH DISASTER MANAGEMENT  
EMERGENCY MEDICAL SERVICES**

**MARK REPOWITZ  
DIRECTOR**

**HOLLY A. YEALE  
ACTING DEPUTY AGENCY DIRECTOR  
MEDICAL SERVICES**

**LYDIA MICHAEL, MBA  
DIVISION MANAGER  
HEALTH DISASTER MANAGEMENT**

**TAMMI MCCONNELL, RN, MSN  
EMS ADMINISTRATOR**

405 W FIFTH STREET, SUITE 301A  
SANTA ANA, CALIFORNIA 92701

TELEPHONE: 714-834-3500  
FAX: 714-834-0125

September 20, 2013

Brad McKinzie  
Los Alamitos Race Track  
4961 Katella Avenue  
Los Alamitos, CA 90720

Dear Brad:

After visits to the Los Alamitos horse racing facility, reviewing patient care reports, and using input from jockeys, trainers, track administration, the current ambulance provider, paramedics at the nearest OCFA fire station and agency staff, Orange County Emergency Medical Services is comfortable with the current provider continuing to provide ambulance transportation and medical care at Los Alamitos Race Track.

We would make the following recommendations to improve the track EMS operations:

1. Ensure track personnel activate the 9-1-1 system immediately using the tower observer (steward, etc.) on every call in which a jockey falls at high speed during racing or training.
2. Require that all contract ambulance employees complete and maintain certification in the 16 hour International Trauma Life Support course, or an equivalent, along with their EMT, CPR etc. Statewide, many paramedics and EMTs have not taken this certification but it is especially appropriate for track medical personnel whose main focus will be on patients for whom mechanism of injury is often high speed trauma.
3. Educate the jockeys on Orange County EMS trauma policies that require that all patients who fall at full gallop/racing/training speed be transported to a designated Orange County Trauma Center for evaluation and treatment.
4. Consider that the contracted ambulance provider have on every transport unit an 800 MHz radio available capable of direct communication with responding Orange County Fire Authority (OCFA) personnel. Contract ambulance staff would also receive training on operating this radio.
5. Consider the contract ambulance provider participate in the Orange County Medical Emergency Data System (OC-MEDS) which is a web-based, electronic Patient Care Report system to ensure compliance with OC EMS triage and treatment policies. The current call volume (1-3/month) is low enough that the provider would not have to buy additional hardware. Access and manual data entry of the patient care record can be done on any internet connected computer.
6. The ambulance provider, in conjunction with Race Track management, could coordinate with OCFA an orientation meeting with one of the three OCFA shifts at the closest fire station each month. Initial orientation should include the identification of the entry gate at the parking lot adjoining the track; track operations unique physiological characteristics of the jockeys such as, dehydration, etc.)

Brad McKinzie  
September 20, 2013  
Page 2

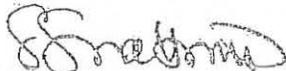
7. OC EMS could coordinate an annual safety briefing with jockeys/trainers at which contract ambulance personnel explain (in Spanish, or with translators) the care patients receive on the track, in the ambulance or at the hospital - including a spinal immobilization demonstration, description of the capabilities of a trauma center, etc.)
8. The ambulance provider will have AEDs on the two transport vehicles at the racetrack and EMTs will be trained in their use.

As we discussed at the track, the first 5-10 minutes of most trauma calls are focused on the Basic Life Support skills of stabilizing the patient's airway and spine, evaluating for injuries and packaging them for transport.

At this time, intermediate techniques such as the Combitube are controversial in an urban setting, especially when the nearest Advanced Life Support fire station is less than a half mile away.

We believe there is a benefit to having horse-racing-specific EMS personnel who are familiar with track operations, personnel and geography.

Please contact our agency with any questions.



Samuel Stratton, MD MPH  
Medical Director  
Orange County EMS

SS:mp #1770

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: Mercy

**Santa Anita – AmbuServe Inc. 15105 S Broadway, Gardena, CA 90248 # 310-644-0500  
Ambulance staffing: 2 Paramedics**

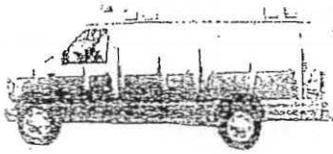
**San Luis Rey Downs- Mercy Medical Transportation, 31403 Old River Road, Bonsall CA  
92003 #760-525-9676 (Doug - onsite contact cell #)  
Ambulance staffing: 2 Paramedics**

**Galway Downs-Symon's Ambulance 28078 Baxter Road room #524 Murrieta, CA 92563**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Certification Attached**

- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**Staffing-Contracted track physician, four certified EMT's, 2 licensed emergency ambulances  
Equipment-First Aid supplies; Oxygen equipment; blood pressure monitoring; backboard,  
cervical collar; 3 patient beds.**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**(If quarter horse racing association sees D (1): Dr. Paul Anile 714-820-2710**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Los Alamitos General Hospital  
3571 Katella Ave.  
Los Alamitos, CA 90720  
562-598-1311**
- Long Beach Memorial Hospital (Certified Trauma Center)  
2801 Atlanta Ave.  
Long Beach, CA 90806  
562-933-2000**
- UC Irvine Medical Center (Certified Trauma Center)  
101 The City Drive  
Orange, CA 92868  
714-456-7890**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Frank Sherren**



**AmbuServe**  
Ambulance



May 12, 2015

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor

# MERCY

*Medical  
Transportation  
Inc.*

ADMINISTRTION  
5081 Hwy. 104, Ste B  
Mariposa, CA  
95338  
PO Box 5004  
Mariposa, CA  
95333-5004  
(209) 966-5762  
Fax (209) 966-4901

July 1, 2015

California Horse Racing Board

Re: Ambulance Certification letter

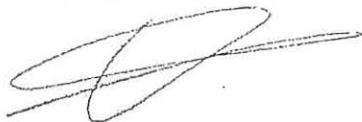
To: Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All of our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests, and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participated in the Department of Motor Vehicles (DMV) Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc. Mr. Richard Roesch:

Sincerely Yours,



Douglas Moriarty  
Vice President of Operations



Symons Ambulance  
18592 Cajon Blvd.  
San Bernardino, CA 92407  
(909) 880-2979

June 16, 2015

To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kurt Dye  
Operations Manager  
909-454-5093 Cell Phone  
909-880-2979

## EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

### FIRST RESPONSE

\*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified EMT's will respond immediately to the location of the accident. \*EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

### ON SITE EVALUATION AND JOCKEY PROTOCOL

- o If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the EMT's.
- o If EMT's request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- o If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- o If the injuries are not deemed serious by either the EMT's or Paramedics, the rider will be directed either to a local emergency room or the Track Physician for evaluation. It will be the policy of the Track Doctor that with the exception of the most minor accidents the injured jockey be evaluated immediately by an emergency room doctor in a medical setting. Should a jockey refuse treatment recommendations from EMT's, Paramedics or the Track Doctor, they will be required to sign a Refusal of Medical Treatment form and their ability to continue riding on that day's program will be determined by the CHRB Stewards.
- o In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

**PROCEDIMIENTOS DE EMERGENCIA MÉDICA  
PARA LESIONES A JINETES EN EL HIPODROMO  
PRIMERA RESPUESTA**

\* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

\* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

**EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE**

- Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballo suelto, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.
- Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.
- Si se llamó a los paramédicos el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre - designado a las afueras de Los Alamitos en la valla al fondo de la pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de trauma.
- Si las lesiones no se consideran grava por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHRB.
- En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre esta abierta.

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Attached**
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self Insured through Finish Line Self Insurance Group Certificate #WC2015EPP00127**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**15. CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:  
**Only using those individuals listed under 5B.**

- B. Does the fair plan to provide its own concessions? Yes  No   
**Handicappers-**

**Bob's Card Inc. CHRB 25-A and CHRB -87 on file**  
**Tiffany Bohland**  
**6288 Highland Meadows Dr.**  
**Medina, OH 44256**

**Winner's Card Corp. CHRB 25A and CHRB-87 on file**  
**c/o Don Harris**  
**924 Knob Hill Ave.**  
**Redondo Beach, CA 90277**

**Today's Racing Digest Exempt from CHRB 25A and 87**  
**Jason Karches**  
**2080 Las Palmas Dr.**  
**Carlsbad, CA 92009**



## ORANGE COUNTY FIRE AUTHORITY

Community Risk Reduction - Safety and Environmental Services Section / Area 1

8081 Western Av, Buena Park CA 92620 - [www.ocfa.org](http://www.ocfa.org) - (714) 527-9475 / Fax (714) 229-8801

October 15, 2014

Los Alamitos Race Course  
4961 Katella Ave.  
Los Alamitos, CA 90720  
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to [doughartman@ocfa.org](mailto:doughartman@ocfa.org).

Respectfully,

Douglas A. Hartman,  
Senior Fire Prevention Specialist



Number: 4524-001

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS  
OFFICE OF THE DIRECTOR

## CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That

LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC., A GENERAL  
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE

(Name of Affiliate)

FINISH LINE SELF INSURANCE GROUP, INC.

(Master Certificateholder)

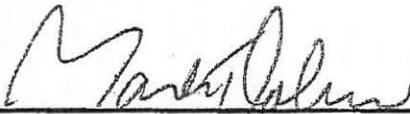
has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.\*

EFFECTIVE:

THE 1st DAY OF JULY 2005

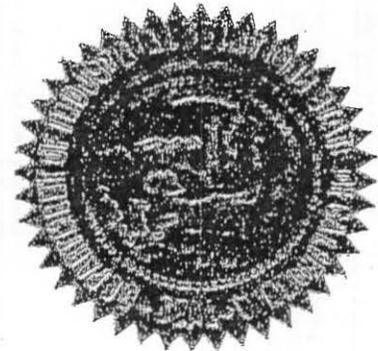
DEPARTMENT OF INDUSTRIAL RELATIONS  
OF THE STATE OF CALIFORNIA



MARK T. JOHNSON, MANAGER



JOHN M. REA, DIRECTOR



\*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 --Administration of Self-Insurance.

**Triangle Turf Winners**      **CHRB 25A and CHRB 87 on file**  
**William Archer**  
**1 Scott St.**  
**Gardnerville, NY 89410**

**16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting: **To Come**
- B. Promotional/ Marketing budget for this race meeting: **To Come**

Promotional/Marketing budget for prior race meeting:  
**\$162,000**

- C. Number of hosts and hostesses employed for meeting: 6
- D. Describe facilities set aside for new fans:  
**Infield at Los Alamitos is being upgraded for fan use for the first time with food service facilities, restrooms, betting machines, TV's, etc. This is being done specifically to attract a new, younger crowd.**
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  1. **100 additional stalls constructed**
  2. **New quarters for 40 grooms added including additional showers and restrooms**
  3. **New diagnostic/treatment veterinary clinic added on track**

**17. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from previous year:

<i>General Admission</i>	<i>\$3.00</i>
<i>Admission (clubhouse)</i>	<i>\$ 5.00</i>
<i>Turf Club</i>	<i>\$ 10.00</i>
<i>Reserved Seating general</i>	<i>Free</i>
<i>Reserved Seating Clubhouse</i>	<i>Free</i>
<i>Parking General</i>	<i>Free</i>
<i>Parking Preferred</i>	<i>\$ 6.00</i>
<i>Parking Premium</i>	<i>\$10.00</i>
<i>Parking Valet</i>	<i>N/A</i>
<i>Programs On-Track</i>	<i>3.00</i>
<i>Programs Off-Track</i>	<i>3.00</i>

- C. Describe any "Season Boxes" or other special accommodation fees:  
**Turf Club Season Tables- \$480.00/Grandstand Season Tables-\$250.00**
- D. Describe any "package" plans such as combined parking, admission and program:  
**We will not be offering a "combined" package.**

**18. JOCKEYS' QUARTERS**

A. Check the applicable amenities available in the jockeys' quarters:

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="60"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys;  
**12' x 11' lounge with air conditioning and closed circuit TV. 11' x 13' restroom with shower, sauna and 4 cubicles. Located between Paddock and Food and Beverage Area**

**19. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by (name) N/A on (date) N/A
- B. Number of rooms used for housing on the backstretch of the racetrack: **195**
- C. Number of restrooms available on the backstretch of the racetrack: **11 mens/8 ladies**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1-20**

**20. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: "81% sand, 16% clay, silt, and 3% organic"
- C. The percent of cross slope in the straight-aways is: 3 percent  
 The percent of cross slope in the center of the turns is: 6 percent
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail is a slant rail with an aluminum face and a 3' 16GA galvanized safety panel.**  
**Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Rick Hughes**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**

- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

21. DECLARATIONS

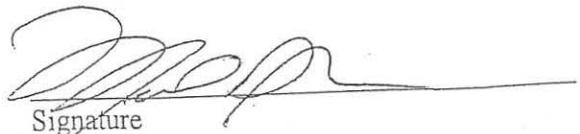
- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

MICHAEL D. SEDER  
Print Name

  
Signature

CFD  
Print Title

6/10/15  
Date

## STAFF ANALYSIS

July 17, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SAN JOAQUIN COUNTY FAIR AT STOCKTON SEPTEMBER 11-20, 2015.

San Joaquin County Fair filed its application to conduct a horse racing meeting at Stockton September 11-20, 2015, or 6 days, the same number of days as 2014. San Joaquin County Fair proposes to race a total of 70 races, the same number of races as 2014. San Joaquin County Fair will only simulcast racing on September 9, 10, 16, and 17.

- The proposed race dates are the approved dates allocated to the fair.

September – 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Friday, Saturday and Sunday.
- 11 races per day Friday and 12 races per day Saturday and Sunday.
  - 2014 Race Meeting: Average number of runners per race (TB): 7.10
  - 2014 Race Meeting: Average number of runners per race (Arabian): 7.00
  - 2014 Race Meeting: Average number of runners per race (Quarterhorse): 7.40
  - 2014 Race Meeting: Average number of runners per race (Mules): 7.33
- First post 1:15 p.m.

Wagering program will use CHRB and ARCI rules.

- Early wagering will not be offered.
- \$1 Place Pick and \$1 Trifecta \$0.10 Superfecta when applicable.
- \$0.50 Pick (n) Pool 4 on the first 4 races and the last 4 thoroughbred races each day.
- \$0.50 Pick (n) Pool on the last 5 thoroughbred races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
- \$0.20 Pick (n) Pool on the last 6 thoroughbred races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.
- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers. 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, Twin Spires, and Watch and Wager.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all document required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect."

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Inspection of backstretch worker housing has been requested and will be completed prior to race meet.
- Track safety inspection has been requested and will be completed prior to race meet.

#### ITEMS STILL NEEDED

1. Fire clearance-scheduled for August 2015
2. Paymaster of purses license expires August 2015
3. Track announcer and morning line maker license expired August 2007
4. Stable superintendent license expired March 2015
5. Steward's Aide needs a license
6. Clerk of scales license expires August 2015
7. Clerk of the course license expires July 2015

#### RECOMMENDATION:

Staff recommends the Board approve the application contingent upon submission of the outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

### 1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**San Joaquin County Fair**  
**1658 S. Airport Way**  
**Stockton, Ca 95206**  
**209-466-5041; Fax: 209-466-5739**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**Kelly Olds; 626-716-4191; kolds@sanjoaquinfair.com**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

### 2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **September 11 – September 20, 2015**

B. Actual dates racing will be held: **September 11 – 13 and September 18 - 20**

C. Dates racing will NOT be held: **No Live Racing or Simulcast Racing will be held on September 14 & 15.**

D. Total number of racing days: **6 live days; 4 simulcast days Wednesdays Sept 9 and 16 & Thursdays Sept 10 and 17.**

E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) Fri - Sun

#### CHRB CERTIFICATION

Application received: *6/23/15*  
 Reviewed: *CH*

Hearing date: *7/17/15*  
 Approved date:  
 License number:



## San Joaquin County Fair

Inclusive dates allocated for race meeting: September 19 – September 28, 2014

Stakes Races- Excerpt below taken from 2014 Humboldt fair race meet application:

Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

2014- The Harvest Stakes

\$50,000 Added Overnight Stakes

Three-Year Olds & Upwards Fillies & Mares – Six Furlongs (Plus up to \$15,000 to Cal Breds)

**4. FAIR ASSOCIATION**

## A. Names of the fair directors:

<b>Joe Valente</b>	<b>President</b>
<b>David Cultrera</b>	<b>Vice President</b>
<b>Nikki Linnerman</b>	<b>Member</b>
<b>Gina Valadez</b>	<b>Member</b>
<b>Joseph Bacchetti</b>	<b>Member</b>
<b>Ger Vang</b>	<b>Member</b>
<b>Daniel Meza</b>	<b>Member</b>
<b>Sam Fant</b>	<b>Member</b>
<b>Christopher Flaherty</b>	<b>Member</b>

## B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

**Christopher Flaherty- Committee Chair**  
**David Cultrera-Member**

## C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

<b>Kelly Olds</b>	<b>Chief Executive Officer</b>
<b>Doug Gooby</b>	<b>Pari-Mutuel Manager</b>
<b>George Ortuzar</b>	<b>Track Announcer and Morning Line Maker</b>
<b>Jack Hatch</b>	<b>Stable Superintendent</b>
<b>McKenzie Talbot</b>	<b>Steward's Aide</b>
<b>Steve Wood-Trackmaster-</b>	<b>Track Foreman</b>

## D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

**Kelly Olds – Chief Executive Officer** [kolds@sanjoaquinfair.com](mailto:kolds@sanjoaquinfair.com)  
 1658 S. Airport Way, Stockton, Ca 95206  
**Larry A. Swartzlander – Director of Racing** [larry@calfairs.net](mailto:larry@calfairs.net)  
 1776 Tribute Road, Suite 205  
 Sacramento, Ca 95815

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

## 6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2014 (6 Days)	9,205,653	26,635
2013 (6 Days)	10,093,703	27,061
2012 (8 Days)	10,505,037	34,567
2011 (4 Days)	6,756,715	25,382
2010 (5 Days)	6,792,867	23,074

## 7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

### A. Purse distribution:

1. All races other than stakes:

Current meet estimate: \$490,743

Prior meet actual: \$533,417

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$81,790

Prior meet actual: \$88,902

2. Overnight stakes:

Current meet estimate: \$50,000

Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$8,333

Prior meet actual: \$8,333

3. Non-overnight stakes:

Current meet estimate: \$ -0-

Prior meet actual: \$ -0-

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: **\$540,743**  
 Prior meet actual: **\$583,417**

Average Daily Purse (7A3 ÷ number of days):

- Current meet estimate: **\$90,123**  
 Prior meet actual: **\$97,236**

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$60,928**  
 Prior meet actual: **\$66,227**

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT- 1,609	1,749
TOC- 3,219	3,499
NTRA- 779	847
PCQHRA- 1,132	1,231
ARAC- 1,208	1,314
AMRA- 2,479	2,695
CHBPAPEN- 4,829	5,249
CTHF- <u>4,829</u>	<u>5,249</u>
Total- 20,084	Total- 21,833

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: **\$621,755**  
 Prior meet actual: **\$671,477**

Average Daily Purse (7D ÷ number of days):

Current meet estimate: **\$103,625**  
 Prior meet actual: **\$111,912**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$574,384**  
 Prior meet actual: **\$598,317**

Average Daily Purse (7E ÷ number of days):

Current meet estimate: **\$95,730**  
 Prior meet actual: **\$99,719**

- F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$115,258**  
 Prior meet actual: **\$120,061**



G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

**\$8.58 for Pleasanton and \$7.11 for Golden Gate**

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **-0-**

## 9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No   
On File at CHRB.

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.20 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9
PK 6	(.20PNP6)	1976.9

CHRB-18 (Rev. 07/11)

Example Race	TYPE OF WAGERS \$1 E; \$1 Double	APPLICABLE RULES	CARFWAGERING FORMAT
		CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD; \$.10SF, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4	



July 3, 2015

California Horse Racing Board  
Attn: Jackie Wagner  
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2015 Race Meet Application Review of the Oak Tree at Pleasanton Race Meet:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,



	\$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair. The 2005 NCOTWINC Agreement is still current for the 2015 racing season.

Respectfully Yours,

Larry A. Swartzlander  
Chief Operating Officer

**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**

**\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 20, 2015 (Closing Day of San Joaquin County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:  
**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day**  
**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**  
**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**
- E. Will "advance" or "early bird" wagering be offered?  Yes  No
- If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech Inc., California Service Contract expires September 2015.**

#### 10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Bet Fair/TVG, TwinSpire, XpressBet and Watch&Wager**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB.
- C. Have the contract/agreements been approved by the respective horsemen's groups?  
 Yes  No
- If yes, attach a copy of the approval. On file with CHRB.  
 If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the

April 9, 2015

Mr. Larry Swartzlander  
 Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, CA 95815

RE: 2015 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

CARF shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpire (subject to arbitration), WatchandWager and Bet America to accept wagers on CARF and other Thoroughbred races during the 2015 CARF race meeting commencing June 17, 2015 and continuing through October 19, 2015, as follows:

1. **California wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), may deduct a Hub Fee of 5.0% of gross handle.
  - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
  - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
  - a. TVG, XpressBet, and TwinSpire (subject to arbitration), shall pay a Host Fee of no less than 8.5% (plus a 1.25% California Purse Fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.
  - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,



Joe Morris  
 President



## OFFICERS

MIKE PEGRAM  
 CHAIR  
 BILL STRAUSS  
 VICE CHAIR, SOUTHERN CA.  
 JACK OWENS  
 VICE CHAIR, NORTHERN CA.  
 NICK ALEXANDER  
 SECRETARY/TREASURER  
 MIKE HARRINGTON  
 AT LARGE  
 ED MOGER  
 AT LARGE

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 RICHARD SCHEIDT  
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 OPERATIONS  
 JENNIFER SAAVEDRA  
 BOOKKEEPER

## CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

## CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM



# Pacific Coast Quarter Horse Racing Association

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Bet America, TVG, Xpress Bet, Twinpires and Watch and Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC letter Agreement dated April 9, 2015,

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Alessio  
President

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2015 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpress Bet, Twinspires and Watch and Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC letter Agreement dated April 9, 2015.

Please feel free to contact me if you have any questions.

Sincerely,



Sam Vasquez

Chairperson

FAX TO  
916 263 3341  
OR SCANTO  
LARRY@CALFAIRS.NET

April 10, 2015

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2015 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 18, 2015 and continuing through October 18, 2015, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 9, 2015.

Please feel free to contact me if you have any questions.

Sincerely,  
Don Jacklin  
President

*Don Jacklin*  
4/13/15

breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**Northern California Off Track Wagering Inc.**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**On file**

- D. California simulcast facilities the fair proposes to offer its live audiovisual signal:

Golden Gate Fields, Albany

\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa

Stanislaus County Fair, Turlock

Fairplex Park, Pomona

Fantasy Springs Casino, Indio

Los Alamitos Race Course, Los Alamitos

Mermaid Tavern, Thousand Oaks

OC Tavern & Sports Bar, San Clemente

Roadhouse Grill, Santa Maria

Sammy's Restaurant & Bar, Lake Forrest

Santa Anita Park, Arcadia

Santa Clarita Lanes, Santa Clarita

Shalimar Sports Center, Indio

Sports Center, San Bernardino

Sports Pavillion at The Farmers Fair, Lake Perris

Sports Pavillion, San Bernardino City. Fair, Victorville

Surfside Race Place at Del Mar, Del Mar

Sycuan Gaming Center, El Cajon

Tilted Kilt, Thousand Oaks

Viejas Casino & Turf Club, Alpine

Watch & Wager, Antelope Valley Fairgrounds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

- E. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

<b>DOMESTIC</b>	
ARLINGTON	9/09-9/20
BELMONT	9/09-9/20
CALDER RACECOURSE	9/09-9/20
CANTERBURY DOWNS	9/09-9/20
CHARLES TOWN	9/09-9/20
CHURCHILL DOWNS	9/09-9/20
COLONIAL DOWNS	9/09-9/20
DELAWARE	9/09-9/20
EMERALD DOWNS	9/09-9/20
EVANGELINE DOWNS	9/09-9/20
INDIANA DOWNS	9/09-9/20
LONE STAR	9/09-9/20
LOUISIANA DOWNS	9/09-9/20
MONMOUTH	9/09-9/20
MOUNTAINEER	9/09-9/20
PENN NATIONAL	9/09-9/20
PHILADELPHIA PARK/PARX	9/09-9/20
PIMLICO	9/09-9/20
PRAIRIE MEADOWS	9/09-6/20
PRESQUE ISLE DOWNS	9/09-9/20
RIVER DOWNS	9/09-9/20
RUIDOSO	9/09-9/20
SUFFOLK DOWNS	9/09-9/20
THISTLEDOWN	9/09-9/20
YAVAPAI DOWNS	9/09/9-20
<b>INTERNATIONAL</b>	
ASSINIBOIA	9/09-9/20
AUSTRALIAN RACING	9/09-9/20
FORT ERIE	9/09-9/20
HASTINGS	9/09-9/20
NORTHLANDS PARK	9/09-9/20
SOUTH AMERICAN RACING	9/09-9/20
UNITED KINGDOM	9/09-9/20
WOODBINE	9/09-9/20

## E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2015 CARF Meets****Commingled Locations**

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Bets (ADW)	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	

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Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations
Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

**Canadian Locations**

Alberta Downs, Assinibola, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Frederiction Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal: OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Firehouse Restaurant, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Lake Forest
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	As posted in simulcast schedule.	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB. Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or

upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

N/A

## 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s) – **Sarah Sporer D.V.M.**  
 Clerk of Scales – **Kenneth Sjoldal**  
 Clerk of the Course – **Dee Collins**  
 Film Specialist – **Dee Dee Robinson**  
 Horse Identifier – **Darrell Sparks**  
 Horseshoe Inspector – **Jackie Payton**  
 Paddock Judge – **Danny Winnick**  
 Patrol Judges – **Danny Winick & Matt Nichols**  
 Placing Judges – **Dee Dee Robinson and Myra Troske**  
 Starter – **Robert Mooneyham**  
 Timer – **Melody Truitt**

### B. Management officials in the racing department:

<b>Director of Racing-</b>	<b>Larry A. Swartzlander</b>
<b>Racing Secretary-</b>	<b>Tom Doutrich</b>
<b>Assistant Racing Secretary-</b>	<b>Linda Anderson</b>
<b>Paymaster of Purses-</b>	<b>Victoria Layne</b>

### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Delta Deposition Recording, P.O. Box 7321, Stockton, CA, 209-477-0837**

### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation, USA- Bill O'Brien, Contract Expires 12/7/15**

### E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc (Jim Porep) Contract Expires: 4/30/2018**

**9- Cameras-**

**1- Upper Pan, 1- Lower Pan, 2- Roving (Infield, At Starting Gate, Post Parade, Paddock, Winner's Circle, 6 Furlong Chute) 3-Tower Cameras, 1- Fixed Tote Board Camera , 1- Remote Cam at 5/16 pole outer rail**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16 pole and both chutes. Pegasus Communications, Inc. (Jim Porep), Contract Expires: April 30, 2018**

### 13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Kelly Olds 209-466-5041; Cell: 626-716-4191**

**Organizational Chart and List of Security Personnel – See Attachment 1A**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**Stable area gate persons: 7. Stable area patrol: 2. Grandstand security guards: 8.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**No Graded Stakes**

B. Number of security guards in the detention stall area during a 24-hour period.

**N/A**

C. Describe number and location of surveillance cameras in detention stall area.

**N/A**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

C. Plan for detention stalls for repeat offenders.

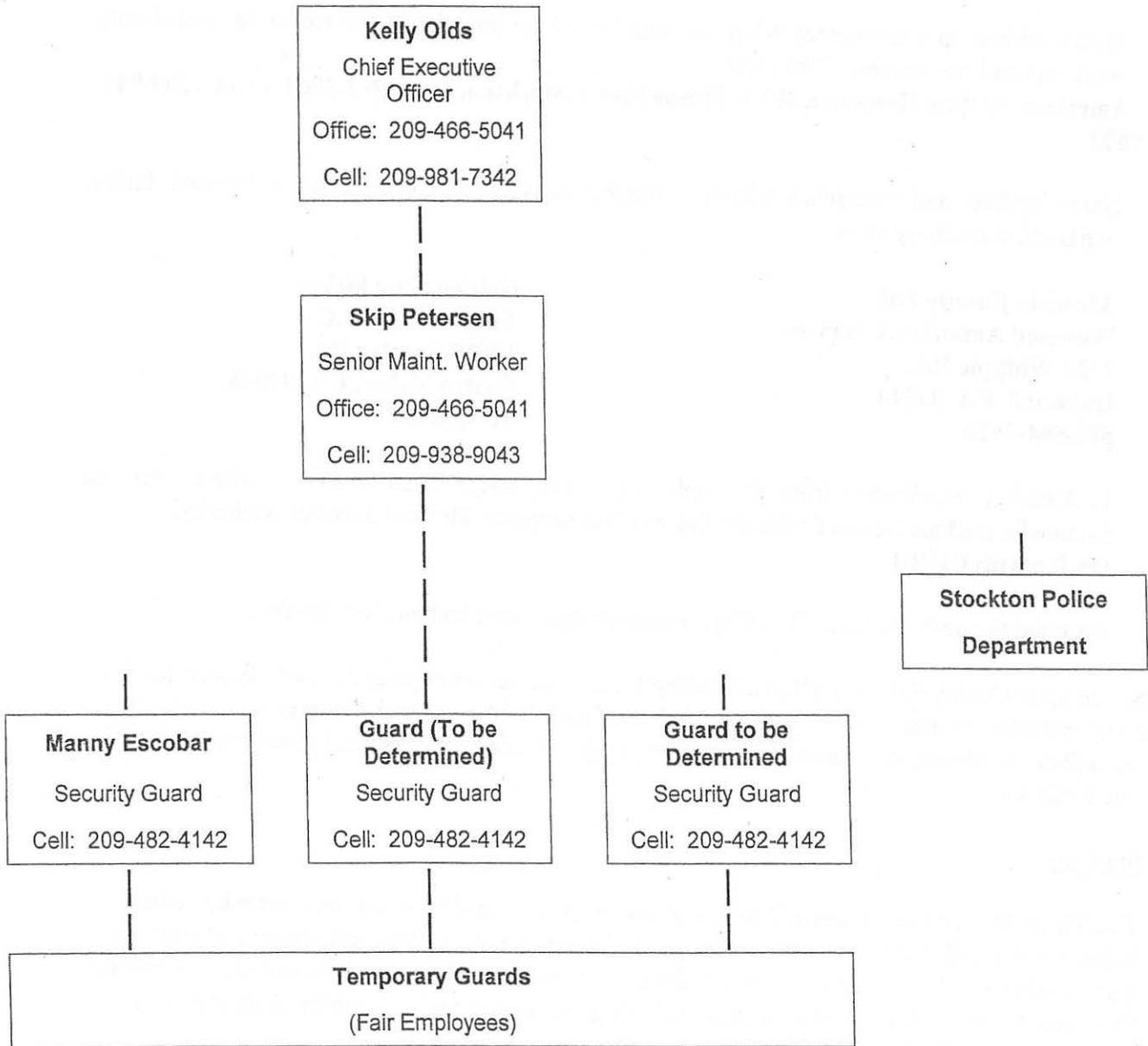
**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**

D. Number of security personnel assigned to the TCO2 program.

**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stalls and stable gate.  
**4 surveillance cameras monitoring this area**



**14. EMERGENCY SERVICES**

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**American Medical Response, 400 S. Fresno Street, Stockton, CA 95203-3007. Phone: 209-944-0620**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair  
Westmed Ambulance Service  
2424 Whipple Rd.,  
Hayward, CA 94544  
510-504-3616**

**Golden Gate Fields  
Turf Rescue LLC  
19615 Barclay Rd.  
Castro Valley, CA 94546  
510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**On file with CHRB**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

San Joaquin County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

**Staffing**

Two Paramedics, from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

The on site first aid facility contains all equipment and supplies necessary for advanced life support of any emergency. The facility is staffed by paramedics and EMT's.

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and allied personnel requiring non-emergency medical care.

**Physical Resources**

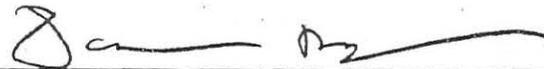
San Joaquin Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

San Joaquin County Emergency Medical Services Agency  
500 West Hospital Road, French Camp CA 95321

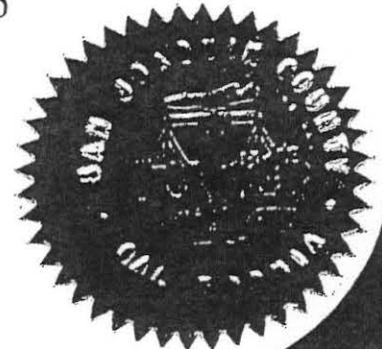
Emergency Advanced Life Support  
Ambulance Service Permit

This permit duly issued by the San Joaquin County Emergency Medical Services Agency in accordance with the provisions of California Health and Safety Code Division 2.5 § 1797.218 and San Joaquin County Ordinance § 4-7307 authorizes the company named herewith to conduct emergency Advanced Life Support Ambulance Service in the County of San Joaquin.

Company: American Medical Response West  
Authorized Representative: Barry Elzig, Director  
888 E. Lindsay St., Stockton, CA 95202  
Issue and Expiration Dates: May 1, 2011 – April 30, 2016



Dan Burch, EMS Administrator  
Permit Officer Designee



**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

September 25, 2014

California Horse Racing Board  
1010 Hurley Blvd., Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2015

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC



February 17, 2015

Christopher A. Seymour  
Westmed Ambulance Service  
14275 Wicks Blvd.  
San Leandro, Ca. 94544

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at [cseymour@westmedambulance.com](mailto:cseymour@westmedambulance.com).

Best regards,

A handwritten signature in cursive script that reads "Christopher A. Seymour".

Christopher A. Seymour EMT-P, BA

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Physicians rotate on a daily basis, each uses Fair-provided cell phone; 209-938-9045.**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**San Joaquin General Hospital  
500 W. Hospital Road, French Camp, CA 95231  
Phone: 209-468-6000**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**Attached 14F**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**Health/Safety Manager: Kelly Olds, CEO  
Health/Safety Asst. Manager: Skip Petersen, Sr. Maintenance Worker**

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Scheduled for August 2015.**

I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self insured through CFSA.**

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination  
Inspection requested for  
of insurance that secures the liability of the fair for payment of workers' compensation. **Self insured through CFSA.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**14F Medical Protocols and Procedures: English**

In case of an accident on the racetrack, the following procedures shall be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

**Plant Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

**Announcer**

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

**Senior Management**

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

#### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

#### 14F Medical Protocols and Procedures: Spanish

##### Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hopodromo, se debe hacer lo siguiente:

##### El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siumpre que lo necesario para tartar a la(s) victim(s).

##### Seguridad

1. Tan pronto como sea posible, unmiembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro desguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. U miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asquarar el area donde la amulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembrwo de seguridad del hipodrmomo sera responsable de escoltar a los vehiclulos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

##### Personal de Carreras/Vetennano del hipodromo

1. Ena vez en el lugar del accidente, el Outrider/escolta debera sejetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea possible hacerlo de esa manera.
3. El veterinano del hipodromo debera decider si se sacrifique al aaballo en la pista.
4. Sea possible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publica, antes de iniciar el procedimiento de sacrificial del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista deputes de que la persona a caballo accidentado haya sido trasladado del lugar.

#### Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas debran recibir intrucciones del Paramedico responsable del lugar del accidente.

#### Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion )como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necessario y mitigar otros problemas.

#### Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

#### Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

**15. CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Negretti Enterprises  
124 E Walnut Street  
Stockton, CA 95204

Food, Alcoholic and Non-Alcoholic beverage vendor

Bill Vassar, Vassar Photography  
5075 Double Point Way  
Discovery Bay, CA 94505

Winners Circle Photos

Speeda Sound  
4770 Sonora Street  
Fresno, CA 93722  
Lorene Dutton  
1129 Avenida Sevilla, #7B  
Walnut Creek, CA 94595

Grandstand Sound System

Jockeys Laundry

NOTWINC  
11875 Dublin Blvd.  
Dublin, CA 94568

Armored Car Service

Daily Racing Form  
Southwest Offset Printing Co. Inc.  
587 Charcot Ave,  
San Jose, California 95131

Program Printing and Delivery

United Puett Starting Gate Co.  
224 Tater Hill Road  
East Haddam, CT 06423

Starting Gate

- B. Does the fair plan to provide its own concessions? Yes      No

**16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**Attached.**

- B. Promotional/ Marketing budget for this race meeting:  
**\$20,000**  
Promotional/Marketing budget for prior race meeting:  
**\$20,000**

- C. Number of hosts and hostesses employed for meeting:

8



CALIFORNIA  
FAIR SERVICES  
AUTHORITY

June 23, 2015

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: San Joaquin County Fair/2<sup>nd</sup> DAA  
1658 South Airport Way  
Stockton, CA 95206

Please be advised that the San Joaquin County Fair/2<sup>nd</sup> DAA is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. GENERAL LIABILITY PROGRAM

A. Coverage Limits \$10,000,000 California Fair Services Authority  
Coverage continuous until cancelled

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2015 to 07/01/2016

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the San Joaquin County Fair/2<sup>nd</sup> DAA as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen  
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100  
SACRAMENTO, CA 95815  
PHONE: (916) 921-2213 | FAX: (916) 646-1238

[www.cfsa.org](http://www.cfsa.org)

- D. Describe facilities set aside for new fans:

**Information booth with trained personnel to assist new patrons to learn how to place wagers.**

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits Horsemen, Fans, and facilities in restricted areas.

**Restrooms in barn area repainted and fixtures replaced. Fixtures in grandstand restrooms repaired or replaced.**

## 17. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

Admission (general)- **Free**

Admission (clubhouse)- **N/A**

Reserved seating (general)- **N/A**

Reserved seating (clubhouse)- **N/A**

Parking (general)- **\$5.00**

Parking (preferred)- **\$10.00**

Parking (valet)- **N/A**

Programs (on-track)- **\$2.50**

(off-track)-**\$2.50**

- B. Describe any "Season Boxes" or other special accommodation fees:

**All Box Seat are Sold out in Advance**

- C. Describe any "package" plans such as combined parking, admission and program: **None**

## 18. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many-	<input type="text" value="25"/>		
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service	<input checked="" type="checkbox"/>	Certified platform scale

- B. Describe the quarters to be used for female jockeys:

**14' x 30' Modular facility with three dressing stalls, three showers, two toilets, lounge area and one steam bath and three sinks.**

## 19. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack: **82**

- C. Number of restrooms available on the backstretch of the racetrack: **5**

- D. Estimated ratio of restrooms to the number of backstretch personnel: **1/25**



# SAN JOAQUIN

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## HORSE RACES

**San Joaquin County Fairgrounds Horse Races 2015**  
*Promotional Guidelines*

**Press:**

1. Outreach
  - a. Tap into local media resources to drive awareness to the event.
    - i. Local Newspapers / Business Journals
    - ii. Local News Stations (i.e. Good Day Sacramento)
2. Digital Media Kit
  - a. Packaged Zip file to send out to any media or event participants/vendors. Will include the following:
    - i. Logos
    - ii. Press Release
    - iii. Facebook Images
    - iv. Instagram Images
    - v. 4 -5 Approved Posts
    - vi. Web Banner



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- v. Manteca Chamber of Commerce
- vi. Lodi Chamber of Commerce
- vii. Stockton 99 Raceway
- viii. Delta Speedway
- ix. Valdivia
- x. California Central Valley Archery Academy
- xi. Impalas Magazine
- xii. AgFest

### Advertising:

1. Social Media Campaign (See Above)
  - a. Implementing Social media campaign as our advertising tool in an effort to gain website traffic or attention to Horse Races.
  - b. Digital Media Kit - will include pre-sized ads for various social media outlets. (see press below)
2. Magazine Inserts/Ads
  - a. Port-O-Call insert - The award winning Port O Call magazine is the voice of the greater Stockton Chamber of Commerce. The publication allows for inserts in their monthly issue. Cost \$700
  - b. Progress - A Modesto Chamber of Commerce publication that allows for inserts in their monthly issue Cost \$700
  - c. Contentment Health - A Stanislaus County lifestyles magazine. Cost \$TBD
3. Radio - TBD
4. Print
  - a. Posters - 11 x 17
    - i. General Promotional Poster (covering entirety of event)
    - ii. Specific posters tailored to themes (veterans day, etc)
  - b. Banners - Size TBD per conversation with DBI, likely 3 x 8
  - c. Corrugated 4 x 8 Sign (these are for general branding identification and possibly directional)



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- d. Invite - 5 x 7 Post Card + Envelope (Box-Seat Procurement/Digital RSVP)

#### Web:

1. Redirect on Horse Racing Website
  - a. In the event that visitors attempt to visit the site using sanjoaquinhorseracing.com, they will be redirected to the appropriate page on sanjoaquinfairgrounds.com
2. E-blast
  - a. A branded email with information about the horse races will be created and sent to the entire database of contacts via Mailchimp, which will offer analytic reporting functionality.
3. Create branded Horse Racing page
  - a. Although this page will be located on the Fairgrounds' website, it will retain all of the existing branding, strengthening brand recognition in the process.
4. Add Horseracing betting instructions to website
  - a. In an attempt to educate visitors and encourage increased participation in the horse race betting, the website will feature information that makes betting feel more accessible to the average fan and less exclusive.
5. Custom Web Banner
  - a. There will be a custom graphic with the horse races' branding located on the Fairgrounds' homepage, which will redirect visitors to the appropriate horse races page.

#### Promotional Days:

1. Law Enforcement Officers & First Responders Day - Sept. 11th
  - a. Event/Entertainment: National Anthem Contest
  - b. Coinciding Charity: United Way for San Joaquin County
2. Armed Forces & Veterans Day - Sept. 12th
  - a. Event/Entertainment:



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- b. Coinciding Charity: The Salvation Army
- 3. Social Media Day - Sept. 13th
  - a. Event/Entertainment: BBQ Contest
  - b. Coinciding Charity:
- 4. Health Awareness Day - Sept. 18th
  - a. Event/Entertainment: St. Joseph's Carevan
  - b. Coinciding Charity: American Cancer Society
- 5. Hispanic Heritage Day - Sept. 19th
  - a. Event/Entertainment: Charros - Rodeo Showmen
  - b. Coinciding Charity: Stockton Hispanic Regional Foundation
- 6. Derby Day - Sept. 20th
  - a. Event/Entertainment: Hat Contest - most creative
  - b. Coinciding Charity: Child Abuse Prevention Council

#### **Vendors:**

We would love to get the following vendors involved:

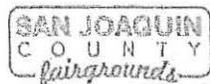
- 1. Delta Pacific Craft Beer Alley
- 2. Visit Stockton
- 3. St. Joseph's Mobile Unit
- 4. Dorfman Pacific
- 5. Stockton Hispanic Chamber of Commerce

#### **Sponsorship:**

- 1. Updated sponsorship letter outlining opportunity, audience information benefits, implementation and call for action.

#### **Box-Seat Procurement:**

- 1. 4 x 6 Custom Card
  - a. Outlining details and instructions to procure box seats.



*Proudly*  
**TULEBURG**



## San Joaquin County Fairgrounds - Horse Races 2015

### *Promotional Guidelines*

Tuleburg is pleased to present our promotional guideline recommendations to the San Joaquin County Fairgrounds in an effort to promote the 2015 Horse Races.

### **Social Media:**

1. Social Media Campaign
  - a. A coordinated social media marketing effort that integrates the Horse Races with community, conversation on all social platforms.
    - i. Custom content created specific to event and promoted through social media
    - ii. Custom social media graphics created for each promotional day
    - iii. Custom Snapchat Geotag created -an electronic tag that assigns a geographical location to a photograph or video, for purposes of posting on Horse Races social media platforms, specifically Snapchat
    - iv. Create Horse Races event page on Facebook and update periodically with details about event
2. Upload Event Details to Visit Stockton Website
  - a. Work with Visit Stockton to include/promote event information on their website [www.visitstockton.org](http://www.visitstockton.org)
3. Out Reach
  - a. Reach out to the following for social media promotion
    - i. StocktonCA IG
    - ii. Stockton Chamber of Commerce
    - iii. Stockton Hispanic Chamber of Commerce
    - iv. Tracy Chamber of Commerce



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**TULEBURG**

**20. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,280
-------

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up 75.4% of the sand content. Organic material will be added to the racetrack to assist in water retention.**
- C. The percent of cross slope in the straight-aways is: 2%
- The percent of cross slope in the center of the turns is: 4%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Outer rail comprised of 3" aluminum posts with 3" aluminum railing on top at a height of 40" (a portion of outside rail is chain link fence). A sterline inner rail is made up of off-set galvanized posts with extended aluminum railing on top at a height of 42" with an overhang of 24".**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Track Master (Steve Wood).**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

**21. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**On file with the CHRB**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**22. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Larry A. Swartzlander

*Larry A. Swartzlander*  
Signature

Director of Racing

6/23/2015

Attachment 1A

STAFF ANALYSIS  
July 17, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 26, 2015 THROUGH OCTOBER 25, 2015.

Los Angeles Turf Club II (LATC II) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita September 26, 2015, through October 25, 2015, or 19 days; four days less than 2014. LATC II proposes to race a total of 160 races, or 8.42 races per day. In 2014, LATC II ran 8.96 races per day with an average of 8.66 runners per race. The average daily purse for the 2014 race meeting was \$508,485. The (estimated) average daily purse for this meet is \$487,977.

The County of Los Angeles submitted a Housing Official Inspection Report (housing report) from an inspection performed at Santa Anita May 26, 2015 through May 28, 2015. The housing report provides that corrective action and a follow-up inspection are required. Board Rule 2102, Backstretch Worker Housing Inspection Required, subsection (c) provides no license to conduct a horse racing meeting shall be issued unless the applicant association's backstretch worker housing is found to be in compliance with the standards established. Los Angeles County is scheduled to re-inspect the facility September 30, 2015.

September – 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October – 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Saturday and Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 12, 2015. 7 races weekdays; 9 races weekends, including opening day, and Monday, October 12, 2015 with the option to program 10 races on such days.
- Racing concurrently with Golden Gate Fields September 26-27 and October 1-3, 2015.
- First post 1:00 p.m.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering 8:30 a.m. to 10:00 a.m. daily.
  - Requested takeout adjustments:
    - Pick 5, adjustment to 14%
    - Daily Double, adjustment to 20%

- Rolling \$2 Daily Doubles beginning with Race #1.
  - \$1 Trifecta on all eligible races.
  - \$0.10 Superfecta wagering on all qualifying races.
  - Incoming simulcasts will follow host track format.
  - \$2 PNP6 Last six live races 70% Carryover, 30% Minor. Alternate runner provision.
  - \$0.50 PNP4 Starting the second race and the last four live races. Alternate runner provision.
  - \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
  - \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 will be carded as last race of the day.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2015; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, Watch and Wager, and Bet America.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested, however Los Angeles County will not perform the inspection until September 30, 2015.

Specific information **still needed** to complete this application includes:

1. Bond expires September 28, 2015 (Update required)
2. Thoroughbred Owners of California approval letter of Advance Deposit Wagering agreement
3. California Thoroughbred Trainers Agreement expired July 1, 2015 (Update required)
4. Housing inspection clearance
5. Marketing Plan
6. Clerk of the Course license expires July 2015
7. Paddock Judge, also Patrol Judge requires a license
8. Second Patrol Judge has not been identified
9. Duke Racing Selections, concessionaire license expired
10. Chairman license expires July 2015

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

**Los Angeles Turf Club II, Inc.**  
**285 W. Huntington Drive**  
**Arcadia, CA 91007**  
**Phone (626) 574-7223 Fax (626) 446-9565**

B. Breed of horse: TB  QH  H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.  
**Attached**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
 Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.

**Surety bond has been extended through October 25, 2015 and the renewal certificate will be issued in September and forwarded to the Board.**

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **September 26 – October 25, 2015**

B. Actual dates racing will be held: **September 26, 27; October 1, 2, 3, 4, 8, 9, 10, 11, 12, 15, 16, 17, 18, 22, 23, 24, and 25**

September

SU	M	T	W	TH	F	SA
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October

SU	M	T	W	TH	F	SA
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Application received: <i>6/29/15</i> Deposit received: <i>ok</i> Reviewed: <i>LH</i>	<b>CHRB CERTIFICATION</b>  Hearing date: <i>7/17/15</i> Approved date: License number:
--	--

- C. Total number of days or nights of racing: **19 days**
- D. Days or nights of the week races will be held:  
 Wed - Sun    Tues - Sat    Other (specify)

**Racing Thursday through Sunday; Exception: Holiday Racing Monday, October 12, 2015**

- E. Number of days or nights of racing per week:  
**4 days per week; Exception 2 days, September 26 and 27; 5 days October 12-18**

**3. RACING PROGRAM**

- A. Total number of races:    **Estimated: 160**
- B. Number of races for each day or night: **No less than 7 races weekdays; Nine races Opening Day, Weekends, and October 12, 2015 with the option to program ten races on such days.**
- C. Total number of stakes races:    **19**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

**2015 Pending Stakes Schedule (Exhibit 3D)**

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes    No    If no, what racing colors are to be used:
- F. List all post times for the daily racing program:

Race	Every Race Day
1	1:00 p.m.
2	1:30 p.m.
3	2:00 p.m.
4	2:30 p.m.
5	3:00 p.m.
6	3:30 p.m.
7	4:00 p.m.
8	4:30 p.m.
9	*5:00 p.m.
10	*5:30 p.m.

(\*When Applicable)

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:    Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

2015 SANTA ANITA AUTUMN STAKES xx (Pending)

19 DAYS

RACE DATE	RACE	2015 PURSE	AGE	DISTANCE		CLOSE DATE
Sat, Sep 26	FRONTRUNNER STAKES (G I)	\$300,000	2 YO	1 1/16 M	BC Challenge	Thu, Sep 17
Sat, Sep 26	AWESOME AGAIN STAKES (G I)	\$300,000	3 & UP	1 1/8 M	BC Challenge	Thu, Sep 17
Sat, Sep 26	CHANDELIER STAKES (G I)	\$300,000	F, 2 YO	1 1/16 M	BC Challenge	Thu, Sep 17
Sat, Sep 26	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)		Thu, Sep 17
Sat, Sep 26	RODEO DRIVE STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/4 M (T)	BC Challenge	Thu, Sep 17
Sat, Sep 26	ZENYATTA STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/16 M	BC Challenge	Thu, Sep 17
Sun, Sep 27	CITY OF HOPE MILE (G II)	\$200,000	3 & UP	1 M (T)		Thu, Sep 17
Sat, Oct 3	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	3 & UP	6 F	BC Challenge	Thu, Sep 24
Sat, Oct 3	EDDIE D STAKES(G III)	\$100,000	3 & UP	abt 6 1/2 F (T)		Thu, Sep 24
Sat, Oct 3	SWINGTIME STAKES	\$75,000+	F/M, 3 & UP	1 M (T)		Fri, Sep 25
Sun, Oct 4	CALIFORNIA DISTAFF	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)		Thu, Oct 8
Sat, Oct 10	ZUMA BEACH STAKES	\$100,000	2 YO	1 M (T)		Thu, Oct 1
Sat, Oct 10	SURFER GIRL STAKES	\$100,000	F, 2 YO	1 M (T)		Thu, Oct 1
Sun, Oct 11	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F		Thu, Oct 1
Mon, Oct 12	CALIFORNIA FLAG STAKES	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)		Thu, Oct 8
Sat, Oct 17	AUTUMN MISS STAKES (G III)	\$100,000	F, 3 YO	1 M (T)		Thu, Oct 15
Sun, Oct 18	LURE STAKES	\$75,000+	3 & UP	1 M (T)		Fri, Sep 25
Sat, Oct 24	TWILIGHT DERBY (G II)	\$200,000	3 YO	1 1/8 M (T)		
Sun, Oct 25	SEN. KEN MADDY STAKES (G III)	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)		Thu, Oct 15

\*\*\$100,000 FROM CTBA FUND INCLUDED FOR EACH RACE

## 2014 SANTA ANITA AUTUMN STAKES xx

Exhibit 3 (D)

RACE DATE	RACE	2014 PURSE	AGE	DISTANCE
Fri, Sep 26	EDDIE D HANDICAP (G III)	\$100,000	3 & UP	abt 6 1/2 F (T)
Sat, Sep 27	FRONTRUNNER STAKES (G I)	\$300,000	2 YO	1 1/16 M
Sat, Sep 27	AWESOME AGAIN STAKES (G I)	\$300,000	3 & UP	1 1/8 M
Sat, Sep 27	RODEO DRIVE STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/4 M (T)
Sat, Sep 27	ZENYATTA STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/16 M
Sat, Sep 27	CHANDELIER STAKES (G I)	\$300,000	F, 2 YO	1 1/16 M
Sat, Sep 27	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)
Sun, Sep 28	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	3 & UP	1 1/4 M (T)
Sat, Oct 4	CITY OF HOPE MILE (G II)	\$200,000	3 & UP	1 M (T)
Sat, Oct 4	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	3 & UP	6 F
Sat, Oct 4	SWINGTIME STAKES @	\$75,000+	F/M, 3 & UP	1 M (T)
Sat, Oct 4	LA WOMAN STAKES	\$100,000	F/M, 3 & UP	6 1/2 F
Sun, Oct 5	ZUMA BEACH STAKES	\$100,000	2 YO	1 M (T)
Sun, Oct 5	SURER GIRL STAKES	\$100,000	F, 2 YO	1 M (T)
Sat, Oct 11	CALIFORNIA DISTAFF	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)
Sun, Oct 12	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F
Mon, Oct 13	SPEAKEASY STAKES	\$100,000	2 YO	6 F
Sat, Oct 18	CALIFORNIA FLAG STAKES	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)
Sat, Oct 25	AUTUMN MISS STAKES (G III)	\$100,000	F, 3 YO	1 M (T)
Sun, Oct 26	LURE STAKES @	\$75,000+	3 & UP	1 M (T)
Fri, Oct 31	Breeders' Cup			
Fri, Oct 31	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 YO (CA)	7 F
Fri, Oct 31	TWILIGHT DERBY (G II)	\$200,000	3 YO	1 1/8 M (T)
Fri, Oct 31	DAMASCUS STAKES	\$100,000	3 YO	7 F
Sat, Nov 1	Breeders' Cup			
Sat, Nov 1	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7 F
Sat, Nov 1	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Sat, Nov 1	SEN. KEN MADDY HANDICAP (G III)	\$100,000	F/M, 3 & UP	abt 6 1/2 (T)
Sun, Nov 2	GOLDIKOVA HANDICAP (G II)	\$200,000	F/M, 3 & UP	1 M (T)
		\$4,100,000		

Purses for Overnight Stakes not shown

Supplemental nominations available for all stakes...

6/26/2014

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file with the Board.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **#3481872**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
**Keith Brackpool – Chairman (Officer), 0 Shares**  
**Scott Daruty – (Director), 0 Shares**  
**Mike Rogers – Vice President, Operations (Officer), 0 Shares**  
**Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Gina Lavo – Controller (Officer), 0 Shares**  
**Jane Lynn – Assistant Secretary (Officer), 0 Shares**
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, Assistant Secretary**  
**Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**TSG Developments Investments Inc.**
  - A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes  No   
 If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:

5. Are the shares listed for public trading? Yes  No
- If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
- A. Is parent and/or paired entity either a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**Financials have been submitted to the Board.**

\*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
  - Keith Brackpool – Chairman**
  - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**
  - Gina Lavo – Vice President, Finance & Controller**
  - Rick Hammerle – Vice President, Racing and Racing Secretary**
  - Nate Newby – Vice President, Marketing**

Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting  
Todd DeSilva – Vice President, Food & Beverage

Candace Coder-Chew – Director of Print and Graphics  
Dan Cirimele – Senior Director of Marketing  
Randy Fowler – Director of Food and Beverage  
Randy Hartzell – Director of Pari-Mutuels  
Mike Lakow – Racing Director  
Lou Scalera – Chief of Security  
Pete Siberell – Director of Community Service and Special Projects  
Jason Spetnegal – Director of Facilities & Grounds  
Mike Willman – Director of Publicity and Public Relations  
Nina Zukin – Director of Information Services  
Jay Cohen – Hornblower  
Trevor Denman – Public Address Commentator/Announcer

- 2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco – Vice President Regulatory Affairs and Secretary  
Los Angeles Turf Club II, Inc.  
285 W. Huntington Drive  
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565  
Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

- 1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%  
DD Proposed percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

**Approval letters have been forwarded to the Board.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



June 24, 2015

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2015 Autumn Meet, September 26, 2015 through October 25, 2015.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

A handwritten signature in cursive script that reads "Frank DeMarco, Jr.".

Frank DeMarco, Jr.  
Vice President Regulatory Affairs  
& Secretary

cc: Joe Morris



June 29, 2015

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

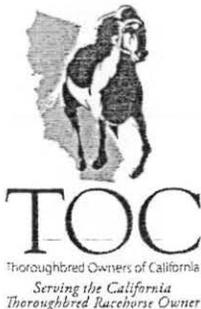
Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2015 Autumn Meet, September 26, 2015 through October 25, 2015.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Very truly yours,

Frank DeMarco, Jr.  
Vice President Regulatory Affairs  
& Secretary

cc: Joe Morris



June 24, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

OFFICERS

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
MIKE HARRINGTON  
AT LARGE  
ED MOGER  
AT LARGE

DIRECTORS

BOB BAFFERT  
JIM CAHILL  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS  
PRESIDENT  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF OPERATIONS  
JENNIFER SAAVEDRA  
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

RE: Santa Anita Autumn Meet 2015

Dear Ms. Wagner,

Los Angeles Turf Club II (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, September 26 through October 25, 2015. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Eric Sindler  
Tom Varela  
Mary Saenz



June 24, 2015

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Santa Anita Autumn Meet 2015

Dear Ms. Wagner,

Los Angeles Turf Club II (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, September 26 through October 25, 2015. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Eric Sindler  
Tom Varela  
Mary Saenz

OFFICERS

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VICE CHAIR, SOUTHERN CA.  
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Year	Handle	Attendance
2014	\$217,669,586	127,905
2013	\$210,596,121	133,582
2012	\$276,377,652	147,562
2011	\$206,498,901	164,341
2010	Meet not at Santa Anita	

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution:

1. All races other than stakes:
  - Current meet estimate: **\$5,343,094**
  - Prior meet actual: **\$7,202,350**
  - Average Daily Purse (7 A1 ÷ number of days):
  - Current meet estimate: **\$281,215**
  - Prior meet actual: **\$313,146**
2. Overnight stakes:
  - Current meet estimate: **\$300,000**
  - Prior meet actual: **\$375,000**
  - Average Daily Purse (7 A2 ÷ number of days):
  - Current meet estimate: **\$15,789**
  - Prior meet actual: **\$16,304**
3. Non-overnight stakes:
  - Current meet estimate: **\$2,552,000**
  - Prior meet actual: **\$2,743,200**
  - Average Daily Purse (7 A3 ÷ number of days):
  - Current meet estimate: **\$134,316**
  - Prior meet actual: **\$119,270**
4. Total Purses: (7A1+7A2+ 7A3)
  - Current meet estimate: **\$8,195,094**
  - Prior meet actual: **\$10,320,550**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
  - Current meet estimate: **6.9%**
  - Prior meet actual: **15.47%**
  - Average Daily Purse (7 B1 ÷ number of days): *(excluding Breeders' Cup)*
  - Current meet estimate: **\$10,526**
  - Prior meet actual: **\$26,087**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

- Current meet estimate: **\$ 828,836**
- Prior meet actual: **\$ 1,039,015**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

	Current meet estimate:	Prior meet actual:
NTRA	\$ 11,124	\$ 30,000
TOC	\$ 67,576	\$ 87,314
CTBL	\$ 67,576	\$ 87,314
CTT Pension	\$ 67,576	\$ 87,314
CTT Administration	<u>\$ 33,788</u>	<u>\$ 43,657</u>
Total	\$ 247,640	Total \$ 335,599

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 9,271,570  
 Prior meet actual: \$11,695,164  
 Average Daily Purse (7 E ÷ number of days): *(excluding Breeders' Cup)*  
 Current meet estimate: \$487,977  
 Prior meet actual: \$508,485

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$3,821,160  
 Prior meet actual: \$4,553,945  
 Average Daily Purse (7 F ÷ number of days): *(excluding Breeders' Cup)*  
 Current meet estimate: \$201,114  
 Prior meet actual: \$197,998

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$4,329,084  
 Prior meet actual: \$5,331,351  
 Average Daily Purse (7 G ÷ number of days): *(excluding Breeders' Cup)*  
 Current meet estimate: \$227,847  
 Prior meet actual: \$231,798

H. Bank and account number for the Paymaster of Purses' purse account:

**Wells Fargo Regional commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file**

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730**  
**Phone: (909) 944-6465**  
**Email: [craigbmiller@verizon.net](mailto:craigbmiller@verizon.net)**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**8. STABLE ACCOMMODATIONS**

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
- **Los Alamitos – 700**
  - **SLR Training Center – 495**
  - **Galway Downs - 300**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

**SCOTW Off-Site Stabling and Vanning Agreement thru 12/31/2015 is on file with the Board.**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:  
**Estimate \$15.95 per day, per stall.**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- **Los Alamitos \$180 RT**
  - **SLR Training Center \$360 RT**
  - **Galway Downs \$300 RT**

**9. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS					APPLICABLE RULES
Example Race: \$1 E; \$1 Double					CHRB #1959; RCI #VE
Race #1	\$1E	\$2DD	\$1PK3	\$0.50PNP5	Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #2	\$1E	\$2DD	\$1PK3	\$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1979.1, 1976.9
Race #3	\$1E	\$2DD	\$1PK3 * S2PNP6		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #4	\$1E	\$2DD	\$1PK3		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #5	\$1E	\$2DD	\$1PK3	* \$0.50PNP4	Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #6	\$1E	\$2DD	\$1PK3		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #7	\$1E	\$2DD	\$1PK3		Superfecta CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #8	\$1E	\$2DD	\$1PK3 * SuperH5		Superfecta CHRB #1959, 1957, 1977, ARCI-004-105-X, 1976.9, 1979.1.
* Race #9	\$1E	\$2DD	*	*	Superfecta CHRB #1959, 1957; ARCI-004-105-X; 1979.1.
* Race #10	\$1E	\$2DD	*	*	Superfecta CHRB #1959, 1957; ARCI-004-105-X; 1979.1.
* Race #11	\$1E	\$2DD	*	*	Superfecta CHRB #1959, 1957; ARCI-004-105-X; 1979.1.

(\*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$2 PNP6 (\*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP4 Starting the second race and the (\*) last four live races. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (\*) will be carded as last race of the day. [Applicable rule: ARCI-004-105-X]

B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:  
**Unlimited; final distribution October 25, 2015**

C. List any options requested with regard to exotic wagering: N/A

D. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Each race day from 8:30 a.m. to 10:00 a.m.**

E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**Sportech Expires: October 25, 2015**

**10. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- Twinspires
- TVG
- Lien Games - Bet America
- Watch And Wager.com

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with the Board.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

**ADW TOC approval pending.**

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off-Track Wagering, Inc.**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**The 2015 SCOTWINC agreement is on file with the Board.**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**Attached (Exhibit 11C/F)**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**Attached (Exhibit 11D/E)**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**Attached (Exhibit 11D/E)**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
**Attached (Exhibit 11C/F)**
- G. For thoroughbred racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Arlington	Fairgrounds	Monmouth	Suffolk Downs
Assiniboia Downs	Finger Lakes	Mountaineer Park	Sunland Park
Australia	Fort Erie	Northlands	Sunray Park
Belterra Park	Gulfstream Park	NYRA – Aqueduct, Belmont, Saratoga	
Canterbury	Gulfstream Park West	Penn National	Tampa Bay
Charles Town	Hastings Park	Philadelphia Park (Parx)	Thistledown
Churchill Downs	Hawthorne	Pimlico	Turf Paradise
Delaware Park	Indiana Downs	Portland Meadows	Turfway Park
Delta Downs	Keeneland	Prairie Meadows	United Kingdom
Ellis Park	Laurel Park	Presque Isle	Woodbine
Emerald Downs	Lone Star	Sam Houston	Zia Park
Evangeline Downs	Louisiana Downs	South American Racing	

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino  
Lakeside, CA 92040

Cabazon Fantasy Springs Casino  
Indio, CA 92201

Surfside Race Place at Del Mar  
Del Mar, CA 92014

Hollywood Park  
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair  
Indio, CA 92201

Sports Pavilion at The Farmer's Fair  
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds  
Lancaster, CA 93536

Santa Anita Park  
Arcadia, CA 91007

Sports Center at National Orange Show  
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)  
Pomona, CA 91768

Sycuan Gaming Center  
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)  
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair  
Victorville, CA 92392

Viejas Casino & Turf Club  
Alpine, CA 91901

Commerce Casino (mini-satellite)  
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)  
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)  
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)  
Santa Maria, CA 93454

Sammy's (mini-satellite)  
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)  
Saugus, CA 91350

Tilted Kilt (mini-satellite)  
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)  
San Diego, CA 92101

Striders Norco (mini-satellite)  
Norco, CA 92860

Firehouse (mini-satellite)  
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair  
Anderson, CA 96007

Big Fresno Fair  
Fresno, CA 93702

Monterey County Fair  
Monterey, CA 93940

Fresno Club One  
Fresno, CA 93721

Alameda County Fair  
Pleasanton, CA 94566

California State Fair & Exposition  
Sacramento, CA 95815

The Jockey Club at San Mateo  
San Mateo, CA 94403

Santa Clara County Fair  
San Jose, CA 95111

Sonoma County Fair  
Santa Rosa, CA 95402

San Joaquin County Fair  
Stockton, CA 95206

Stanislaus County Fair  
Turlock, CA 95380

Solano County Fair  
Vallejo, CA 94589

Bankers Casino (mini-satellite)  
Salinas, CA 93901

Exhibit 11 (C/F)

**2015 LATCII Autumn  
Commingled Locations**

Exhibit 11 D/E

AmWest Entertainment	Dover Downs	Mahoning Valley	Scarborough Downs
Amwest Accounts	Dubuque (Iowa Greyhound)	Maronas (South America)	Scioto Downs
Greenbrier (WV)	Elite Turf Club (1 to 15)	Maryland Jockey Club	Seabrook Greyhound
Riders Up (SD)	Ellis Park	Maywood	Social Gaming
Time Out Lounge (SD)	Emerald Downs	Meadowlands	Sol Mutuel
Triple Crown (SD)	Evangeline Downs	Meadows The	Sol Mutuel - Odds Broker
Arapahoe-Mile High	Fair Grounds	Meadows The (ADW) - PA regional	Southland Greyhound
Arima Race Club	Fair Grounds ADW	Miami Valley Gaming & Racing	Sports Creek Raceway
Arlington I	Fair Meadows	Mobile Greyhound	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monmouth Park	Suffolk District OTB
Balmoral ADW (XB Illinois)	Favorites at Vineland	Montana OTB	Suffolk Downs
Bangor Raceway	Finger Lakes	Monticello	Sunland Park
Batavia	Finger Lakes ADW	Mounlaineer Park	SunRay Park & Casino
Bellerra Park	Fonner Park	Mt. Pleasant Meadows	Tampa Bay Downs
Bettor Racing	Freehold	Nassau Regional OTB	Taunton ADW
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Dog Track Inc.
Bluffs Run Greyhound	Global Wagering Solutions	Newport Jai Alai	The Downs at Albuquerque
Borgata Casino	Bwin International Ltd.	New Jersey ADW (4NJBets)	Thisledown
Buffalo Raceway	Intl Betting Assoc. Ltd	Northfield Park	Tioga Downs
Canterbury Park	Magna Bet		Tri-State GH (Mardi Gras)
Capital District OTB	Racebets	Cedar Downs OTB	Turf Paradise
Catskills OTB	Greenelrack	Northville Downs	Turf Paradise Bets (ADW)
Charles Town Race Course	Greyhound @ Post Falls	NYRA	Turfway Park
Chester Downs (Harran's Philly)	Gulf Greyhound	NYRA ADW	TVG
Churchill Downs	Gulfstream Park	Oaklawn Park	TVG Chester
Coeur d' Alene Casino	Harrington Raceway	Oaklawn Park ADW	TVG High Volume
Colonial Downs	HarringtonBets ADW (DE regional)	Ocean Downs	TVG Prairie
Colonial Downs ADW	Hawthorne Race Course	Panama	Twin River Greyhound
Columbus Raceway	Hawthorne ADW	PARX	TwinSpires
Connecticut OTB	Hazel Park	PARX ADW	TwinSpires High Volume
Bradley Teletheater, Bristol	Hinsdale	Penn National	TwinSpires Wagering Ltd.
New Britain, Norwalk, Milford	Hoosier Park	Penn National ADW	Venezuela OTB
East Haven, Hartford, Putnam	Horseman's Park	Peru	Vernon Downs
Shoreline Star, Sports Haven	Idabel	Plainridge Race Course	WalchandWager
Torrington, Waterbury	Indiana Downs	Plainridge ADW	Western OTB
Manchester, New London	Clarksville/Evansville OTB	Player Management Group	Wheeling Downs
Williamantic, Sanford OTB	Intermountain Racing	Pocono Downs ADW	Will Rogers Downs
John Martin's Manor Restaurant	Keeneland	Pocono Downs/OTB	Wyoming OTB
Connecticut OTB ADW	Keeneland Select ADW	Portland Meadows	Wyoming Downs
Coushatta Casino	Kentucky Downs	Potawatomi Casino/ OTB	XpressBet
Paragon Casino	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Ho-Chunk Casino and Racebook	Les Bois (Treasure Valley)	Premier Turf Club	Yonkers ADW
Mohegan Sun Casino	Lewiston OTB's	Presque Isle Downs	Youbel Group 1
Oneida Bingo and Casino	Lien Games	Racing2Day ADW	Zia Park
Pony Bar Simulcast Center	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	123Gaming
Tole Investment Racing	Howard Johnsons OTB	Remington Park	
Randall James Racetrack	Rumors OTB	Remington OTB Network	
Millenium Racing	Skydancer Casino OTB	Relama Park	Separate Pool Locations
Royal Beach Casino	Lien Games ADW	Racing & Gaming Services	Camarero (Puerto Rico)
Divi Carina Bay Casino	BetAmerica/Offtrackbetting ADW	Rillito Park	Caymanas (Jamaica)
Winner's Circle	Lone Star	Rockingham Park	Codere (Mexico/Spain)
Camouflage Gaming	Louisiana Downs	Rockingham Park ADW	MIR Books (Caliente)
Corpus Christi Greyhound	LVDC	Ruidoso Downs	NDS Books (Nevada)
Dayton Raceway	Atlantis Paradise Casino	Running Aces Harness Park	Tabcorp (Australia)
Delaware Park	Buffalo Thunder Resort	Sam Houston	Tattsbet (Australia)
Delta Downs	Foxwoods Resort Casino	Valley Greyhound Park	New Zealand Racing Board
Derby Jackpot	Meskwakj Bingo & Casino	Saratoga Harness Raceway	
		Saratoga Bets (ADW)	

**Canadian Locations**

Assiniboia, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinle Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

Los Alamitos      Per CHRB calendar      Full Card

- I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Race Dates      Full Card or Selected Feature and/or Stakes Races

Cal Expo Harness      Per CHRB calendar      Full Card

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track      Breed of Horse      Race Dates      Number of Races to be Imported

None

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

Not applicable

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

Frank DeMarco, Jr., Secretary  
Los Angeles Turf Club II, Inc.  
295 W. Huntington Drive  
Arcadia, CA 91007

B. Names and addresses of the trustees or directors of the distributing agent:

**Frank DeMarco, Jr., Secretary**  
**Los Angeles Turf Club II, Inc.**  
**295 W. Huntington Drive**  
**Arcadia, CA 91007**

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

**13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

A. Racing officials nominated:

<b>Association Veterinarian(s)</b>	<b>Dr. Dana Stead</b>
<b>Clerk of Scales</b>	<b>Kevin Colosi</b>
<b>Clerk of the Course</b>	<b>Melanie Stubblefield</b>
<b>Horse Identifier</b>	<b>Jennifer Paige</b>
<b>Horseshoe Inspector</b>	<b>Victor Tovar</b>
<b>Paddock Judge</b>	<b>Karen Denoval</b>
<b>Patrol Judges</b>	<b>Karen Denoval, (2<sup>nd</sup> Patrol Judge tbd)</b>
<b>Placing Judges</b>	<b>Bob Moreno, Lisa Jones</b>
<b>Starter</b>	<b>Jay Slender</b>
<b>Timer</b>	<b>Dane Nelson</b>

B. Management officials in the racing department:

<b>VP-Racing</b>	<b>Rick Hammerle</b>
<b>Racing Director</b>	<b>Mike Lakow</b>
<b>Racing Secretary</b>	<b>Rick Hammerle</b>
<b>Assistant Racing Secretary</b>	<b>Zac Soto</b>
<b>Paymaster of Purses</b>	<b>Bridget Crawford</b>
<b>Assistant Clerk of Scales</b>	<b>Charles McCaul</b>
<b>Others (identify by name and title)</b>	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Ms. Barbara Weinstein**  
**9582 Hamilton Ave., Suite 265**  
**Huntington Beach, CA 92626** Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA - Bill O'Brien** Expires: **December 31, 2015**

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Jim Porep, Jr., President**  
**Pegasus Communications, Inc.**  
**16633 Ventura Blvd., Suite 1010**  
**Encino, CA 91436**

**Contract term: through July 31, 2020**

**Phone: 818/907-1900**

**Major video and camera equipment listing attached, Exhibit 13E.**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
 Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.

**14. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Lou Scalera, Chief of Security**  
**Front Side Security Office (626) 574-6406**  
**Stable Security Office (626) 574-6469**  
**Organizational Chart: (Exhibit 14A)**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

<b>1 Chief of Security</b>	<b>36 Security Guards</b>
<b>1 Secretary</b>	<b>1 Fire Marshall</b>
<b>1 Stable Security Manager</b>	<b>13 Gatemen</b>
<b>4 Investigators</b>	<b>1 Stable Credentials Secretary</b>

- 1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

**Attached (Exhibit 14B)**

- 2. Detention Barns:

- a. Attach a plan for use of graded stakes or overnight races.

**Attached (Exhibit 14B)**

- b. Number of security guards in the detention barn area during a 24-hour period.

**Four Security Guards**

- c. Describe number and location of surveillance cameras in detention barn area.

**Attached (Exhibit 14B)**

- 3. TCO2 Testing:

- a. Number of races to be tested, and number of horses entered in each race to be tested.

**Will follow CHRB staff directives.**

- b. Plan for enhanced surveillance for trainers with high-test results.

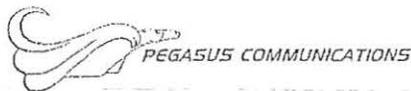
**Contractual obligations with TOC, CTT and CHRB directives will be followed.**

- c. Plan for detention barns for repeat offenders.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.**

- d. Number of security personnel assigned to the TCO2 program.

**As needed per the advice of CHRB staff.**



Jim Porep, Jr., President  
 Pegasus Communications, Inc.  
 16633 Ventura Blvd, Suite 1010  
 Encino, CA 91436  
 Office 818-907-1900  
 Cell 951-314-6348

**Contract Term:**

July 31 2012 / July 31 2017

**Major Video Equipment Listing**

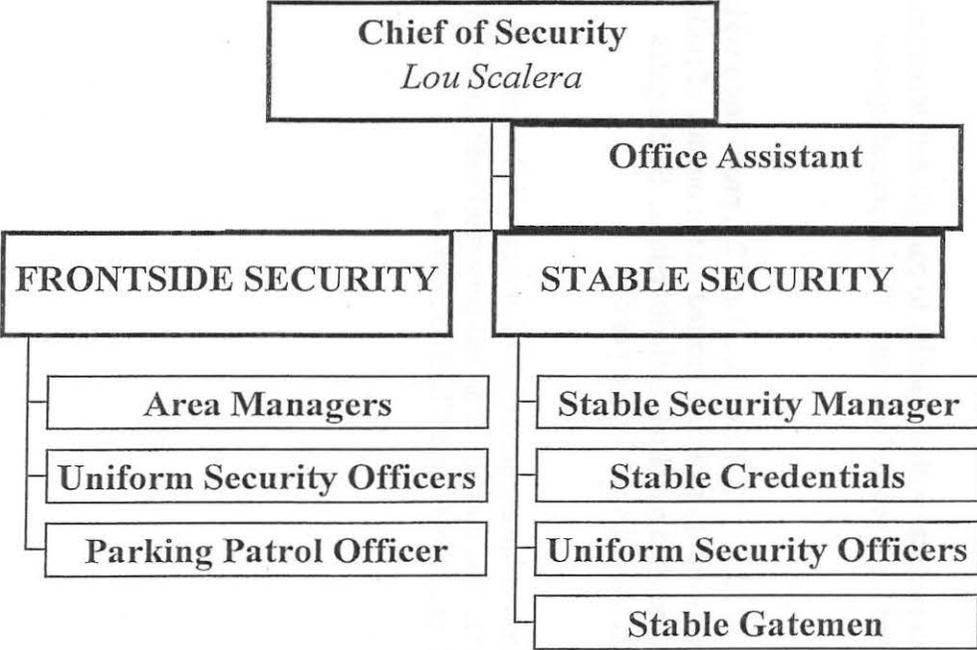
Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)  
 (2) Avid HD graphics systems with Pegasus DataLinks interface software  
 (1) GVG Karrera 4ME Switcher  
 (1) Router: Blackmagic Design Universal Video Hub  
 (1) Summit clip store  
 (2) ZPlay HD video recorders  
 (1) 3play 820 HD video recorder  
 (1) Sony DigiBeta deck  
 (1) Audio Calrec Board: 120 input  
 (1) Trakus computer (owned by Trakus)

**Camera locations and equipment description**

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

# SANTA ANITA PARK

## Security Live Racing



STAFF  
LEVELS  
INCLUDE  
DAY &  
NIGHT  
SHIFTS

**DETENTION BARN PLAN**

(June, 2015)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

C. Describe the electronic security system:

**Attached** (Exhibit 14B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

**Attached** (Exhibit 14B)

D. For night racing associations. Describe emergency lighting system: N/A

## 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**AmbuServe, Inc.**

**Wendal Smith**

**15105 S. Broadway Ave.**

**Gardena, CA 90248**

**Phone: (310) 644-0500**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

**Staff on track ambulance includes: 2 Paramedics**

**Exhibit on file.**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Los Alamitos: Huntington Ambulance, LLC**

**Stacey O'Bryan, President**

**PO Box 145**

**Sunset Beach, CA 90742**

**Phone: (562) 904-1550**

**SLR Training Center: Richard F. Roesch, President**

**Mercy Medical Transportation Inc.**

**2537 Old San Pasqual Rd.**

**Escondido, CA 92027**

**Phone: (760) 525-2688**

**Galway Downs: Symons Emergency Specialties, Inc., 2001**

**Jeff Grange, CEO – Ryan Merchant, General Mgr.**

**18592 Cajon Blvd.**

**San Bernardino, CA 92407**

**Phone: (909) 880-2979**

1. Attach a certification from the ambulance service(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Letter attached.
- **Galway Downs:** (Exhibit 15-1B)

C. Describe the on-track first aid facility, including equipment and medical staffing:

### Description of Santa Anita First Aid Office (Live Racing)

#### SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.



STATE OF CALIFORNIA  
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE  
NON-TRANSFERABLE LICENSE**

CHP 360A (REV. 01-00) OPI 062

CONTROL NUMBER 2001	LICENSE NUMBER 2001	ISSUE DATE 6/16/2015	EFFECTIVE DATE 8/9/2015	EXPIRATION DATE 8/8/2016
CHP CARRIER NUMBER CA	LOCATION 860	<input type="checkbox"/> Duplicate <input type="checkbox"/> Initial	<input type="checkbox"/> Replacement <input checked="" type="checkbox"/> Renewal	

**PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)**

This license is NON-TRANSFERABLE and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

Ambulance operations must cease immediately upon expiration of this license. THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY. The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Research and Planning Section at (916) 843-3440.

SERVICE NAME AND PHYSICAL ADDRESS *(only if different from below)*

**SYMONS EMERGENCY SPECIALTIES, INC., 2001**

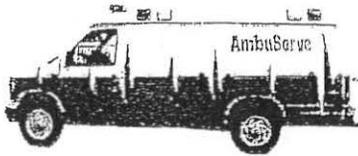
**SYMONS  
18592 CAJON BLVD.  
SAN BERNARDINO, CA 92407-**

SERVICE NAME AND MAILING ADDRESS

**SYMONS EMERGENCY SPECIALTIES, INC., 2001**

**SYMONS  
18592 CAJON BLVD.  
SAN BERNARDINO, CA 92407-**

Attention: **JEFF GRANGE, CEO**



**AmbuServe**  
Ambulance



May 12, 2015

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc  
15105 S. Broadway  
Gardena, Ca 90248  
(310) 644-0500

Respectfully,

William Gonzales  
Paramedic Supervisor

# Huntington Ambulance, LLC

CHRB  
1010 Hurley Way #300  
Sacramento, CA 95825

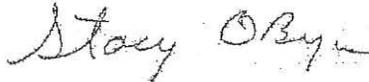
April 23, 2014

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President  
Huntington Ambulance, LLC

**MERCY**  
**Medical**  
**Transportation**  
**Inc.**

ADMINISTRATION  
P.O. Box 5004  
Mariposa, CA  
95338-5004  
(209) 938-5762  
FAX (209) 938-4801

February 10, 2014

California Horse Racing Board

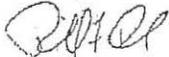
Re: Ambulance Certification Letter

Dear Sirs,

Mercy Medical Transportation, Inc. employs only Paramedics that are State Certified and Licensed and San Diego County Certified and Licensed. All of our Paramedics have background checks, drug testing and have been sent through the Department of Justice. Mercy also participates in the DMV pull notice program.

Mercy has a continuous quality improvement plan and high standards for continuous training of our personnel.

Sincerely,



Richard F. Roesch

President



Symons Ambulance  
18592 Cajon Blvd.  
San Bernardino, CA 92407  
(909) 880-2979

June 16, 2015

To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kurt Dye  
Operations Manager  
909-454-5093 Cell Phone  
909-880-2979

**STAFFING**

- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

**EQUIPMENT**

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

**AVAILABILITY**

- When gates open until after last race

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association see D (1) :

**Dr. Salvador Sotomayor** Phone: 626/695-7436  
15771 Del Prado Drive  
Hacienda Heights, CA 91740

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

**Arcadia Methodist Hospital**  
300 W. Huntington Drive  
Arcadia, CA 91007 Phone: (626) 445-4441

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Arcadia Methodist Hospital**  
300 W. Huntington Drive  
Arcadia, CA 91007 Phone: (626) 445-4441

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

**Attached (Exhibit 15F)**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

**Greg Daniel, Environmental, Health & Safety Manager**  
**Lou Scalera, Chief of Security**

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Attached (Exhibit 15H)**

I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

**Liberty Mutual Insurance Company**  
PO Box 989000  
West Sacramento CA 95798  
Policy # WC2 B71 170912 014 Expires 6/30/2016 (on file with the Board)

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

**Certificate on file with the Board.**



EMERGENCY MEDICAL PROCEDURES  
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trakside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
  - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
  - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
  - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
  - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS  
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hospital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
  - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
  - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jinetete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
  - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
  - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



# City of Arcadia

June 5, 2015

California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

## Fire Department

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

Kurt Norwood  
*Fire Chief*

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2015 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian  
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.  
Mary Saenz, Office Operations, L.A.T.C.  
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.  
Ed Martinez, Asst. Facility Manager, L.A.T.C.  
Mike Miser, Fire Marshal, L.A.T.C.



# Certificate of Insurance

No.: ANITA-2015-38

Dated: June 26, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007
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**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-13	Jun 30, 2015 to Jun 30, 2016	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-015	Jun 30, 2015 to Jun 30, 2016	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

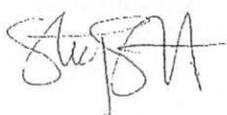
The Commercial General Liability Policy, GLO9302183-13, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-015, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

<b>Marsh Canada Limited</b> 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - stephanie.leite@marsh.com	Marsh Canada Limited   By: _____ Stephanie Wong
--	--



- B. Promotional/ Marketing budget for this race meeting:  
 Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:  
 10 - Group Sales handicapping aides to assist newcomers  
 11 – Food and Beverage hostess’s (11 weekends, 4 weekdays)  
 16 – SST’s Mutuel staff (14 on weekdays and 16 on weekends)
- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen  
 General maintenance and painting throughout barn facilities.
2. Fans  
 Sports bar/lounge entertainment area in Sirona’s featuring seasonal sports activities.
3. Facilities in the restricted areas  
 General maintenance and enhanced security features throughout facility.

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$ 5.00	
Admission (clubhouse)	\$ 10.00	
Club House Admission	\$ 10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$ 5.00	Sold on premium days only.
Daily Box Seat	\$ 20.00	Saturdays and Sundays
Parking (general)	\$ 4.00	
Parking (valet)	\$ 10.00	
Programs (on-track)	\$ 2.50	
(off-track)	\$ 2.50	

- B. Describe any "Season Boxes" and "Turf Terrace Membership" fees:  
 Season Box Seat: \$ N/A
- C. Describe any "package" plans such as combined parking, admission and program: N/A  
 Chandelier Room is not open to the public. Invitation or promotional events only.

**19. JOCKEYS/DRIVERS' QUARTERS**

- A. Check the applicable amenities available in the jockeys/drivers' quarters:  

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers:  
 Same amenities as above, but in separate quarters. Shared food and beverage area.

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been scheduled for September 30, 2015 with the County of Los Angeles.
- B. Number of rooms used for housing on the backstretch of the racetrack: 445
- C. Number of restrooms available on the backstretch of the racetrack:  
 29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.**
- C. The percent of cross slope in the straight-aways is: 2%  
 The percent of cross slope in the center of the turns is: 5.75%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:

**Andy LaRocco, Track Superintendent**

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.

**On File**

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

**22. DECLARATIONS**

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

**No exceptions.**

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

**TOC agreement is on file with the Board.**

**CTT agreement pending approvals.**

C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**

D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions.**

E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

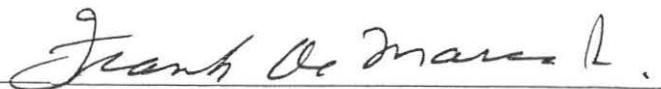
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco

Print Name



Signature

Vice-President Regulatory Affairs & Secretary

Print Title

June 29, 2015

Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING  
THE PROPOSED AMENDMENT TO  
CHRB RULE 1699, RIDING RULES, AS IT PERTAINS TO THE CRITERIA FOR  
DISQUALIFICATION IN A HORSE RACE.

Regular Board Meeting  
July 17, 2015

BACKGROUND

CHRB Rule 1699, Riding Rules, reads as follows:

During the running of the race:

- (a) A leading horse is entitled to any part of the course but when another horse is attempting to pass in a clear opening the leading horse shall not cross over so as to compel the passing horse to shorten its stride.
- (b) A horse shall not interfere with or cause any other horse to lose stride, ground or position in a part of the race where the horse loses the opportunity to place where it might be reasonably expected to finish.
- (c) A horse which interferes with another and thereby causes any other horse to lose stride, ground or position, when such other horse is not at fault and when such interference occurs in a part of the race where the horse interfered with loses the opportunity to place where it might, in the opinion of the Stewards, be reasonably expected to finish, may be disqualified and placed behind the horse so interfered with.
- (d) Jockeys shall not ride carelessly, or willfully, so as to permit their mount to interfere with or impede any other horse.
- (e) Jockeys shall not willfully strike or strike at another horse or jockey so as to impede, interfere with, intimidate, or injure.
- (f) If a jockey rides in a manner contrary to this rule, the mount may be disqualified and the jockey may be suspended or otherwise disciplined by the Stewards.

The Stewards' Committee has reviewed Rule 1699, Riding Rules, at its March 2014 meeting, its November 2014 meeting, and then again at its June 2015 meeting. The rule has also been discussed by the Board at its November 19, 2014 Regular Meeting as well as its February 18, 2015 Regular Meeting in an effort to determine whether the current rule could be improved upon, and perhaps provide more clearly defined guidelines for stewards conducting inquiries.

## ANALYSIS

Discussions regarding Rule 1699 have almost always focused on the wording that requires stewards to form opinions as to whether interference occurred and, if so, whether the interference cost the horse or horses interfered with a placing. Suggested alternatives to amend the rule have historically included:

- 1) amending Rule 1699 to state that any foul is cause for disqualification regardless of whether it cost a horse a placing;
- 2) eliminating the references to location (i.e. "a part of the race) and changing the "placing" reference to something broader, such as "affecting a horse's performance in a negative way"; and
- 3) adding language that gives the benefit of any doubt to the aggrieved party (i.e. the horse interfered with).

At its June 2015 meeting, the Stewards' Committee again reviewed proposed amendments to Rule 1699 suggested by commissioners as well as the Board's Executive Director. The newest version proposed by the Executive Director received support from the majority of stewards in California, and is attached for discussion and action. These latest proposed amendments seek to better define "interference," and also limit the stewards' ability to disqualify a horse in only those situations where the horse interfered with lost the opportunity for a better placing according to the distribution of the purse or the order of finish in a trial heat. Additionally, the amendments require stewards to issue enhanced penalties against jockeys who commit multiple infractions within a 90-day period or who jeopardize the safety of another horse or jockey.

## RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF  
RULE 1699. RIDING RULES.

Regular Board Meeting  
July 17, 2015

1699. Riding Rules.

During the running of the race:

~~(a) A leading horse is entitled to any part of the course but when another horse is attempting to pass in a clear opening the leading horse shall not cross over so as to compel the passing horse to shorten its stride.~~

~~(b)(a) A horse shall not interfere with or cause any other horse. Interference is defined as bumping, impeding, forcing or floating in or out or otherwise causing any other horse to lose stride, ground, momentum or position in a part of the race where the horse loses the opportunity to place where it might be reasonably expected to finish.~~

~~(e)(b) A horse which interferes with another as defined in subsection (a) and thereby causes any other horse to lose stride, ground or position, when such other horse is not at fault and when such interference occurs in a part of the race where the horse interfered with loses the opportunity to place where it might, in the opinion of the Stewards, be reasonably expected to finish, may be disqualified and placed behind the horse so interfered with if, in the opinion of the Stewards, the horse interfered with was not at fault and due to the interference lost the opportunity for a better placing according to the distribution of the purse or the order of finish in a trial heat.~~

~~(d)~~(c) Jockeys shall not ride carelessly, or willfully, so as to permit their mount to interfere with or ~~impede~~ any other horse.

~~(e)~~(d) Jockeys shall not ~~willfully~~ strike or strike at another horse or jockey so as to impede, interfere with, intimidate, or injure.

~~(f)~~(e) If a jockey rides in a manner contrary to this rule, the mount may be disqualified and the jockey may be suspended or otherwise disciplined by the Stewards.

(f) When penalizing a jockey for riding contrary to this rule, the Stewards shall issue a greater penalty for (1) more than one infraction of this rule by the jockey within any contiguous 90 day calendar period or (2) any infraction which, in the opinion of the stewards, jeopardized the safety of another horse or jockey.

Authority: Section 19562,  
Business and Professions Code.

Reference: Sections 19461 and 19562,  
Business and Professions Code.

DISCUSSION AND ACTION BY THE BOARD REGARDING  
THE REPORT ON THE OPERATIONS AND STATUS OF THE  
WINNERS FOUNDATION

Regular Board Meeting  
July 17, 2015

ISSUE

The Winners Foundation is a non-profit organization that for 30 years has provided counseling and referral support to employees in the California horse racing industry and their families. Its purpose is to assist men and women whose personal and professional lives are being adversely affected as a result of substance abuse, compulsive gambling, or mental health issues.

Founded in 1985 by Louis Rowan, a prominent horseman and founder of the Oak Tree Racing Association, who recognized the need for a program to work with backstretch workers with nowhere else to turn, the Winners Foundation began with 12-step meetings in a trailer in the stable area at Santa Anita Park. The organization has evolved into a vital service to the California horse racing industry providing information, support, and referral services for problems with alcohol and drug abuse, uncontrolled gambling, mental health, family instability, and other difficulties faced by employees and their families.

The Winners Foundation works closely with racetrack stewards and investigators with the California Horse Racing Board – in a constructive manner for the benefit of all concerned. With five employees serving an average of two hundred clients a year, and with a presence at all racetracks and training facilities in the state, the Winners Foundation depends largely on donations from individuals and industry organizations to supplement the small portion of ADW revenue it receives pursuant to statute.

BACKGROUND

Business and Professions Code section 19604(f) provides that an amount equal to 0.0003 multiplied by the amount handled on advance deposit wagers originating in California for each racing meeting shall be distributed to the Public Employment Relations Board to cover costs associated with audits conducted pursuant to Section 19526 and for purposes of reimbursing the State Mediation and Conciliation Service for costs incurred pursuant to this section. However, if that amount would exceed the costs of the Public Employment Relations Board, the amount distributed to that board shall be reduced, and that reduction shall be forwarded to an organization designated by the racing association or fair described in subdivision (a) for the purpose of augmenting a compulsive gambling prevention program specifically addressing that problem.

RECOMMENDATION

Representatives of the Winners Foundation are prepared to provide a status report on their finances and ongoing operations.

## Benefits of EAP's: Alcohol / Substance Abuse

"More than 70 percent of the estimated costs of alcohol abuse for 1998 (for the U.S.) were attributed to lost productivity (\$134.2 billion)...

Up to forty percent (40%) of industrial fatalities and forty-seven percent (47%) of industrial injuries can be linked to alcohol abuse and alcoholism.

"Management Perspectives on Alcoholism: The Employers Stake in Alcoholism Treatment",  
Bernstein, Mahoney, Occupational Medicine,

- Chevron reports that it saves ten dollars (\$10.00) for every dollar spent on substance abuse services coverage.

*"Testimony before the Subcommittee on National Security, International Affairs and Criminal Justice of the Committee on Government Reform and Oversight", Cummings, U.S. House of Representatives, 1996*

- Chevron saw a 21% decrease in psychiatric hospital admission costs when it encouraged utilization of substance abuse services and reimbursed them at 85% of usual and customary rates. [www.employee-assistance-programs.com/benefits.htm](http://www.employee-assistance-programs.com/benefits.htm)

- United Airlines reported a return of \$16.35 for every dollar invested in EAP the first year of the program.

- McDonnell-Douglas credited its EAP for a 44% reduction in missed work days and an 81% reduction in attrition rates. Also, initiating a "no behavioral health benefit limit, the company saw a 50% decrease in psychiatric inpatient admission costs; per capita mental health costs declined by 34%.

- General Motors estimates that the cost decreases resulting from its EAP include: lost time, 40%; sickness and accident benefit, 60%; grievances, 50%; and on-the-job accidents, 50%.

- Companies that invest in an Employee Assistance Program, or EAP, can expect to recover significant losses on the order of five to seven dollars (\$5 to \$7.00) for every one dollar (\$1.00) invested in the EAP.

"How Expensive are Unlimited Substance Abuse Benefits under Managed Care"  
Strum, The Journal of Behavioral Health Services and Research, 1999

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
ALLOCATION OF THE  
2016 NORTHERN CALIFORNIA RACE DATES

Regular Board Meeting  
July 17, 2015

ISSUE

The Northern California Race Dates and Stabling Oversight Committee (Committee) met on June 19, 2015 to discuss the allocation of the 2016 Northern California race date allocations and related issues. Prior to the meeting the industry was asked to submit proposed 2016 Northern California race dates in preparation for discussion regarding 2016 Northern California race date allocations. The California Authority of Racing Fairs (CARF) submitted a 2016 Northern California racing calendar for its member fairs, with inclusive date requests for the fairs. The CARF proposal included proposed 2016 race dates for Pacific Racing Association (PRA) at Golden Gate Fields. Sonoma County Fair at Santa Rosa submitted its proposed 2016 race dates request. Cal Expo Harness Racing Association (Cal Expo Harness) and California Exposition and State Fair (Cal Expo) also requested 2016 race dates. PRA submitted a 2016 Northern California Race Dates request that also included proposed fair race dates. In addition, Commissioner Beneto submitted two proposals for 2016 Northern California race dates.

At the June 25, 2015 Regular Board Meeting, Chairman Winner urged the northern stakeholders to continue their discussions in an effort to reach consensus.

ANALYSIS

**CALIFORNIA AUTHORITY OF RACING FAIRS (CARF)**

CARF's 2016 Northern California proposed dates are:

PRA at Golden Gate Fields – December 26, 2015 to June 19, 2016  
Alameda County Fair (ACF) at Pleasanton – June 22, 2016 to July 10, 2016  
California State Fair (Cal Expo) at Sacramento - July 13, 2016 to July 31, 2016  
Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016  
or  
Humboldt County Fair (HCF) at Ferndale – August 24, 2016 to September 5, 2016  
PRA at Golden Gate Fields – August 25, 2016 to September 18, 2016  
San Joaquin County Fair at Stockton – September 21, 2016 to October 2, 2016  
Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016  
PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

**SONOMA COUNTY FAIR**

The Sonoma County Fair's 2016 proposed dates are:

Sonoma County Fair (SCF) at Santa Rosa – July 20, 2016 to August 7, 2016

**PACIFIC RACING ASSOCIATION (PRA)**

PRA at Golden Gate Fields proposed 2016 race dates are:

PRA at Golden Gate Fields – December 26, 2015 to March 6, 2016

PRA at Golden Gate Fields – March 31, 2016 to June 26, 2016

PRA at Golden Gate Fields – August 4, 2016 to September 5, 2016

PRA at Golden Gate Fields – October 20, 2016 to December 11, 2016

PRA at Golden Gate Fields proposed the following 2016 race dates for “All Fairs.”

Fair Race Dates – March 10, 2016 to March 27, 2016

Fair Race Dates – July 1, 2016 to July 31, 2016

Fair Race Dates – September 8, 2016 to October 16, 2016

**CALIFORNIA EXPOSITION AND STATE FAIR (Cal Expo)**

Cal Expo's proposed 2016 race dates are July 13, 2016 to July 31, 2016.

The requested inclusive period would be concurrent with the three weeks of the 2016 California State Fair and would consist of approximately 11 live race days. The 11 day format is consistent with Cal Expo's 2015 race dates.

In light of recent statements regarding the potential sale of Golden Gate Fields, and comments by CHRB Commissioners regarding the need to develop options for the Northern California racing calendar, Cal Expo has stated it is open to hosting additional thoroughbred racing dates in the spring and fall.

**CAL EXPO HARNESS RACING ASSOCIATION**

Cal Expo Harness proposed 2016 race dates are December 26, 2015 to May 15, 2016, and October 1, 2016 to December 23, 2016.

Cal Expo Harness is requesting 2016 dates beginning December 26, 2015 through December 24, 2016. The request consists of two separate racing meetings, and includes approximately 80 live harness racing days on a Friday and Saturday night schedule. Cal Expo Harness requests the Board's approval to readdress the dates request dependent on the overall California racing schedule.

## COMMISSIONER BENETO PROPOSALS

Commissioner Beneto submitted two proposed 2016 Northern California race date calendars.

### PROPOSAL #1

San Joaquin County Fair at Stockton – June 1, 2016 to June 12, 2016  
 Alameda County Fair (ACF) at Pleasanton – June 17, 2016 to July 4, 2016  
 California State Fair (Cal Expo) at Sacramento – July 6, 2016 to July 24, 2016  
 Sonoma County Fair (SCF) at Santa Rosa – July 27, 2016 to August 14, 2016  
 Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016  
 PRA at Golden Gate Fields – August 31, 2016 to October 2, 2016  
 Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016  
 PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

### PROPOSAL #2

Alameda County Fair (ACF) at Pleasanton – June 17, 2016 to July 4, 2016  
 California State Fair (Cal Expo) at Sacramento – July 6, 2016 to July 24, 2016  
 Sonoma County Fair (SCF) at Santa Rosa – July 27, 2016 to August 14, 2016  
 Humboldt County Fair (HCF) at Ferndale – August 17, 2016 to August 28, 2016  
 PRA at Golden Gate Fields – August 31, 2016 to September 18, 2016  
 San Joaquin County Fair at Stockton – September 21, 2016 to October 2, 2016  
 Fresno County Fair at Fresno – October 5, 2016 to October 16, 2016  
 PRA at Golden Gate Fields – October 19, 2016 to December 18, 2016

## BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum

number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

#### RECOMMENDATION

This item is presented for Board discussion. The Board may wish to hear from industry representatives regarding the status of their discussions.



*a California state police agency*

1776 Tribute Road, Suite 205  
 Sacramento, CA 95815  
 Office: 916.927.7223 Fax: 916.263.5341  
 www.calairs.com

May 5, 2015

Mr. Rick Baedeker, Executive Director  
 California Horse Racing Board  
 1010 Hurley Way  
 Sacramento, CA 95825

Dear Mr. Baedeker,

As requested by Board staff, the California Authority of Racing Fairs respectfully submits the attached proposals for Northern California racing dates at CARE member Fairs in 2016. CARE member Fairs are shown below.

- o Alameda County Fair
- o California State Fair
- o Humboldt County Fair
- o San Joaquin County Fair
- o Fresno District Fair

Thank you for your consideration in this matter.

Respectfully submitted,

Christopher Korby  
 Executive Director

Cc: CARE Racing Fair CEO's

**CALIFORNIA AUTHORITY OF RACING FAIRS**  
**Proposed Racing Dates for CARF Member Fairs in Northern California**  
**2016**

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**2016**

- June 22-July 10                      Alameda County Fair at Pleasanton
- July 13-July 31                      California State Fair
- August 17-August 28                Humboldt County Fair (following Santa Rosa)
- or
- August 24-Sept 5                    Humboldt County Fair (following Santa Rosa)
- Sept. 21-Oct. 2                      San Joaquin County Fair
- Oct. 5-Oct. 16                        Fresno District Fair

Please note that this proposed schedule shows inclusive calendar blocks proposed for each Fair. Specific racing dates may vary within those proposed blocks.

The dates proposed for Humboldt County Fair would follow Sonoma County Fair and could begin on August 17 or on August 24, depending on Santa Rosa's closing date in 2016.

# CARF: PROPOSED NORTHERN CALIFORNIA RACING DATES FOR 2016

Adopted May 5, 2015

2015

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		
3						

### RACE DAYS

<b>GGF - Total Race Days</b>	<b>150</b>
Pleasanton	13
Cal Expo	11
Santa Rosa	11
Humboldt	7
Stockton	6
Fresno	9
<b>Overlapping Race Days</b>	

<b>GOLDEN GATE FIELDS</b>	<b>150</b>	<b>CARF MEETS</b>	<b>46</b>
		<b>SANTA ROSA</b>	<b>11</b>
<b>GGF Weeks</b>	<b>38</b>	<b>TOTAL FAIR DAYS</b>	<b>57</b>

<b>SIMULCAST ONLY</b>	<b>57</b>
<b>TOTAL NORTHERN CALIFORNIA RACE DATES</b>	<b>207</b>

2016

January						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

March						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June						
S	M	T	W	T	F	S
		1	2	3	4	
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

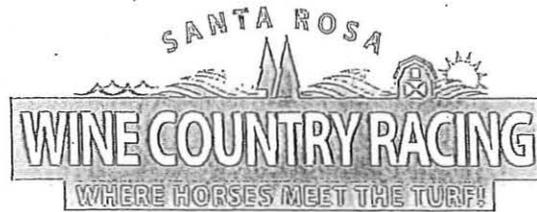
August						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31



April 1, 2015

California Horse Racing Board  
Attn: Jacqueline Wagner  
1010 Hurley Way  
Sacramento, CA 95825

Honorable Board Members,

In response to the Board's request for future racing dates, the Sonoma County Fair proposal for 2016 is as follows:

July 20<sup>th</sup> – August 7<sup>th</sup>, 2016

This schedule would be for a Thursday – Sunday racing program with non-race days on Monday, Tuesday, and Wednesday.

Sincerely,

A handwritten signature in cursive script, reading 'Tawny Tesconi', is positioned below the word 'Sincerely,'.

Tawny Tesconi  
Fair Manager Advisor

CAL EXPO | *California*  
STATE FAIR

May 6, 2015

Commissioners  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Honorable Commissioners:

On behalf of the California Exposition & State Fair, we fully support the letter from CARF dated May 5, 2016, outlining a desired calendar for 2016. By way of further clarification we respectfully request the below listed dates for California State Fair Racing and Watch & Wager LLC Harness Racing for 2016.

**1. California State Fair Racing- July 13 to July 31, 2016**

The above requested inclusive period would be concurrent with the three weeks of the 2016 State Fair and would consist of approximately 11 Live Race days. This 11 day format is consistent with the State Fair's 2015 Race Dates. The State Fair race meet promotional, advertising and marketing efforts will again be part of the overall State Fair package which includes expenditures in excess of \$1,000,000. Of particular note, the 2014 State Fair recently was recognized with the "Best Marketing Campaign Award" for the 16 county Northern California region.

**2. Possible Thoroughbred Racing at Cal Expo outside of the State Fair Dates**

As noted at last month's Board Meeting, the potential sale of Golden Gate Fields for private development has been in the news at least four times in the past 10 years. Since two of California's four privately owned tracks have been sold for commercial development in recent years, as suggested by CHRB Commissioners, it is prudent that the racing industry develop "what if Golden Gate Fields closes" options for Northern California racing calendars. While Cal Expo recognizes and appreciates the valuable role that Golden Gate Fields plays in California racing, we agree with the wisdom of the CHRB Commissioners in suggesting that futuristic alternative racing calendars be explored whereby Fairs might be the only facilities left in Northern California. So that the industry can better plan for an unknown future, Cal Expo is open to hosting additional Thoroughbred racing dates in the Spring and Fall, in addition to its three weeks of racing in July as part of the Annual State Fair.

Of note is that Cal Expo's Racing Grandstand is winterized and already accommodates thousands of fans each winter during inclement weather. Cal Expo's race track also has night lighting around its track to accommodate racing after dark. Additionally, Cal

California State Fair – 2016 Race Dates  
Page Two

Expo has invested over \$1,200,000 in beneficial improvements to its racing infrastructure in the past two years.

3. Cal Expo Harness Racing- December 26, 2015 to December 24, 2016

As the landlord of the current Harness Racing Meet, operated and managed by Watch & Wager LLC, and on their behalf, we are requesting the allocation of harness racing dates at Cal Expo for the above listed inclusive period.

This request consists of two separate Racing Meetings for the approximate periods of December 26, 2015 through May 15, 2016 and October 1, 2016 through December 25, 2016.

Our request includes approximately 80 Live Harness Racing days on a Friday and Saturday night schedule. We respectfully request your approval to readdress this request dependent upon the overall California racing schedule.

Watch & Wager LLC has indicated that its overall promotional, marketing, and advertising efforts will consist of increased on track participation and fan support, along with increased visibility at off track and ADW platforms.

In closing, we greatly value the work of the CHRB to be forward looking into an uncertain future. With our roots deeply tied to horse racing, we are proud to be the oldest professional sport in the Sacramento region. We appreciate your consideration of our above outlined requests, as we work together toward bolstering racing in California for generations to come.

Respectfully,



Rick K. Rickering  
CEO

cc: Chris Korby, CARF

# 2016 TOC/Golden Gate Nor Cal Proposed v1 6.17.15

Assumed race days highlighted. Host days begin on Wednesday pre meet and end on Tuesday post meet

December						
Su	Mo	Tu	We	Th	Fr	Sa
20	21	22	23	24	25	26
27	28	29	30	31		

3

January						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

19

May						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

18

September						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

5

14

PRA Winter	43
PRA Spring	51
PRA Summer	21
PRA Fall	32

Total PRA	147
-----------	-----

All Fairs	57
-----------	----

Total Race Days	204
-----------------	-----

February						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					

16

June						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

15

1

October						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

8

11

March						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

5

12

July						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

19

November						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

16

April						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

18

August						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

16

December						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

8

# Proposal 1

## June 2016

June 2016							July 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
5	6	7	1	2	3	4	3	4	5	6	7	1	2
12	13	14	8	9	10	11	10	11	12	13	14	15	16
19	20	21	22	23	24	25	17	18	19	20	21	22	23
26	27	28	29	30			24	25	26	27	28	29	30
							31						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	May 29	30	31	Jun 1	2	3	4
May 29 - Jun 4				Stockton			
	5	6	7	8	9	10	11
Jun 5 - 11	Stockton						
	12	13	14	15	16	17	18
Jun 12 - 18	Stockton					Pleasanton	
	19	20	21	22	23	24	25
Jun 19 - 25	Pleasanton						
	26	27	28	29	30	Jul 1	2
Jun 26 - Jul 2	Pleasanton						

# Proposal 1

## July 2016

July 2016							August 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
					1	2	7	1	2	3	4	5	6
3	4	5	6	7	8	9	7	8	9	10	11	12	13
10	11	12	13	14	15	16	14	15	16	17	18	19	20
17	18	19	20	21	22	23	21	22	23	24	25	26	27
24	25	26	27	28	29	30	28	29	30	31			
31													

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jun 26	27	28	29	30	Jul 1	2
6/26 - 7/1						Pleasanton	
	3	4	5	6	7	8	9
7/3 - 8	Pleasanton			Cal Expo			
		Independence Day - H					
	10	11	12	13	14	15	16
7/10 - 15	Cal Expo						
	17	18	19	20	21	22	23
7/17 - 22	Cal Expo						
	24	25	26	27	28	29	30
7/24 - 29	Cal Expo			Santa Rosa			
	31	Aug 1	2	3	4	5	6
7/31 - 8/5	Santa Rosa						

# Proposal 1

## August 2016

August 2016							September 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
7	8	9	10	11	12	13	4	5	6	7	8	9	10
14	15	16	17	18	19	20	11	12	13	14	15	16	17
21	22	23	24	25	26	27	18	19	20	21	22	23	24
28	29	30	31				25	26	27	28	29	30	

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jul 31	Aug 1	2	3	4	5	6
Jul 31 - Aug 6	Santa Rosa						
	7	8	9	10	11	12	13
Aug 7 - 13	Santa Rosa						
	14	15	16	17	18	19	20
Aug 14 - 20	Santa Rosa				Ferndale		
	21	22	23	24	25	26	27
Aug 21 - 27	Ferndale						
	28	29	30	31	Sep 1	2	3
Aug 28 - Sep 3	Ferndale			Golden Gate			



# Proposal 1

## September 2016

September 2016							October 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3							1
4	5	6	7	8	9	10	2	3	4	5	6	7	8
11	12	13	14	15	16	17	9	10	11	12	13	14	15
18	19	20	21	22	23	24	16	17	18	19	20	21	22
25	26	27	28	29	30		23	24	25	26	27	28	29
							30	31					

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Aug 28 - Sep 3	Aug 28	29	30	31	Sep 1	2	3
	Golden Gate						
	4	5	6	7	8	9	10
Sep 4 - 10	Golden Gate						
		Labor Day - Holiday					
	11	12	13	14	15	16	17
Sep 11 - 17	Golden Gate						
	18	19	20	21	22	23	24
Sep 18 - 24	Golden Gate						
	25	26	27	28	29	30	Oct 1
Sep 25 - Oct 1	Golden Gate						To Oct 2 →



# Proposal 1

## October 2016

October 2016							November 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	1	6	7	1	2	3	4	5
9	10	11	12	13	14	8	13	14	8	9	10	11	12
16	17	18	19	20	21	15	20	21	15	16	17	18	19
23	24	25	26	27	28	22	27	28	22	23	24	25	26
30	31					29			29	30			

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Sep 25	26	27	28	29	30	Oct 1
9/25 - 30							Golden Gate
	2	3	4	5	6	7	8
10/2 - 7	Golden Gate			Frenso			
	9	10	11	12	13	14	15
	Frenso						
10/9 - 14							
	16	17	18	19	20	21	22
10/16 - 21	Frenso			Golden Gate			
	23	24	25	26	27	28	29
	Golden Gate						
10/23 - 28							
	30	31	Nov 1	2	3	4	5
10/30 - 11/4	Golden Gate						



# Proposal 1

## November 2016

November 2016							December 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
6	7	8	9	10	11	12	4	5	6	7	8	9	10
13	14	15	16	17	18	19	11	12	13	14	15	16	17
20	21	22	23	24	25	26	18	19	20	21	22	23	24
27	28	29	30				25	26	27	28	29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Oct 30	31	Nov 1	2	3	4	5
	Golden Gate						
Oct 30 - Nov 5							
	6	7	8	9	10	11	12
	Golden Gate						
Nov 6 - 12							
	13	14	15	16	17	18	19
	Golden Gate						
Nov 13 - 19							
	20	21	22	23	24	25	26
	Golden Gate						
Nov 20 - 26							
	27	28	29	30	Dec 1	2	3
	Golden Gate						
Nov 27 - Dec 3							



# Proposal 1

## December 2016

December 2016							January 2017						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14
11	12	13	14	15	16	17	15	16	17	18	19	20	21
18	19	20	21	22	23	24	22	23	24	25	26	27	28
25	26	27	28	29	30	31	29	30	31				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Nov 27	28	29	30	Dec 1	2	3
Nov 27 - Dec 3					Golden Gate		
	4	5	6	7	8	9	10
Dec 4 - 10	Golden Gate						
	11	12	13	14	15	16	17
Dec 11 - 17	Golden Gate						
	18	19	20	21	22	23	24
Dec 18 - 24	Golden Gate						
	25	26	27	28	29	30	31
Dec 25 - 31	Christmas Day - Holiday						



# Proposal 2

## June 2016

June 2016							July 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4						1	2
5	6	7	8	9	10	11	3	4	5	6	7	8	9
12	13	14	15	16	17	18	10	11	12	13	14	15	16
19	20	21	22	23	24	25	17	18	19	20	21	22	23
26	27	28	29	30			24	25	26	27	28	29	30
							31						

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
May 29 - Jun 4	May 29	30	31	Jun 1	2	3	4
Jun 5 - 11	5	6	7	8	9	10	11
Jun 12 - 18	12	13	14	15	16	17	18
						Pleasanton	
Jun 19 - 25	19	20	21	22	23	24	25
	Pleasanton						
Jun 26 - Jul 2	26	27	28	29	30	Jul 1	2
	Pleasanton						



# Proposal 2

## July 2016

July 2016							August 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
					1	2		1	2	3	4	5	6
3	4	5	6	7	8	9	7	8	9	10	11	12	13
10	11	12	13	14	15	16	14	15	16	17	18	19	20
17	18	19	20	21	22	23	21	22	23	24	25	26	27
24	25	26	27	28	29	30	28	29	30	31			
31													

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Jun 26	27	28	29	30	Jul 1	2
6/26 - 7/1						Pleasanton	
	3	4	5	6	7	8	9
7/3 - 8	Pleasanton			Cal Expo			
		Independence Day - H					
	10	11	12	13	14	15	16
7/10 - 15	Cal Expo						
	17	18	19	20	21	22	23
7/17 - 22	Cal Expo						
	24	25	26	27	28	29	30
7/24 - 29	Cal Expo			Santa Rosa			
	31	Aug 1	2	3	4	5	6
7/31 - 8/5	Santa Rosa						



# Proposal 2

## August 2016

August 2016							September 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
7	1	2	3	4	5	6	4	5	6	7	1	2	3
14	8	9	10	11	12	13	11	12	13	14	15	16	17
21	15	16	17	18	19	20	18	19	20	21	22	23	24
28	22	23	24	25	26	27	25	26	27	28	29	30	

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	
Jul 31 - Aug 6	Jul 31	Aug 1	2	3	4	5	6	
	Santa Rosa							
	7	8	9	10	11	12	13	
Aug 7 - 13	Santa Rosa							
	14	15	16	17	18	19	20	
Aug 14 - 20	Santa Rosa	Ferndale						
	21	22	23	24	25	26	27	
Aug 21 - 27	Ferndale							
	28	29	30	31	Sep 1	2	3	
Aug 28 - Sep 3	Ferndale			Golden Gate				



# Proposal 2

## September 2016

September 2016							October 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3							1
4	5	6	7	8	9	10	2	3	4	5	6	7	8
11	12	13	14	15	16	17	9	10	11	12	13	14	15
18	19	20	21	22	23	24	16	17	18	19	20	21	22
25	26	27	28	29	30		23	24	25	26	27	28	29
							30	31					

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Aug 28 - Sep 3	Aug 28	29	30	31	Sep 1	2	3
					Golden Gate		
	4	5	6	7	8	9	10
Sep 4 - 10		Labor Day - Holiday					
	Golden Gate						
	11	12	13	14	15	16	17
Sep 11 - 17	Golden Gate						
	18	19	20	21	22	23	24
Sep 18 - 24	Golden Gate				Stockton		
	25	26	27	28	29	30	Oct 1
Sep 25 - Oct 1	Stockton					To Oct 2 →	



# Proposal 2

## October 2016

October 2016							November 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
2	3	4	5	6	7	8	6	7	8	9	10	11	12
9	10	11	12	13	14	15	13	14	15	16	17	18	19
16	17	18	19	20	21	22	20	21	22	23	24	25	26
23	24	25	26	27	28	29	27	28	29	30			
30	31												

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Sep 25	26	27	28	29	30	Oct 1
9/25 - 30							Stockton
	2	3	4	5	6	7	8
10/2 - 7	Stockton				Frenso		
	9	10	11	12	13	14	15
10/9 - 14				Frenso			
	16	17	18	19	20	21	22
10/16 - 21	Frenso			Golden Gate			
	23	24	25	26	27	28	29
10/23 - 28				Golden Gate			
	30	31	Nov 1	2	3	4	5
10/30 - 11/4	Golden Gate						



# Proposal 2

## November 2016

November 2016							December 2016						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
6	7	8	9	10	11	12	4	5	6	7	8	9	10
13	14	15	16	17	18	19	11	12	13	14	15	16	17
20	21	22	23	24	25	26	18	19	20	21	22	23	24
27	28	29	30				25	26	27	28	29	30	31

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Oct 30	31	Nov 1	2	3	4	5
	Golden Gate						
	6	7	8	9	10	11	12
	Golden Gate						
	13	14	15	16	17	18	19
	Golden Gate						
	20	21	22	23	24	25	26
	Golden Gate						
	27	28	29	30	Dec 1	2	3
	Golden Gate						

Oct 30 - Nov 5  
 Nov 6 - 12  
 Nov 13 - 19  
 Nov 20 - 26  
 Nov 27 - Dec 3



# Proposal 2

## December 2016

December 2016							January 2017						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14
11	12	13	14	15	16	17	15	16	17	18	19	20	21
18	19	20	21	22	23	24	22	23	24	25	26	27	28
25	26	27	28	29	30	31	29	30	31				

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	Nov 27	28	29	30	Dec 1	2	3
					Golden Gate		
	4	5	6	7	8	9	10
	Golden Gate						
	11	12	13	14	15	16	17
	Golden Gate						
	18	19	20	21	22	23	24
	Golden Gate						
	25	26	27	28	29	30	31
	Christmas Day - Holiday						

Nov 27 - Dec 3

Dec 4 - 10

Dec 11 - 17

Dec 18 - 24

Dec 25 - 31



STAFF ANALYSIS  
DISCUSSION BY THE BOARD REGARDING THE ALLOCATION OF THE  
2016 SOUTHERN CALIFORNIA RACE DATES  
AND RELATED ISSUES

Regular Board Meeting  
July 17, 2015

ISSUE

The Southern California Race Dates and Stabling Oversight Committee met on June 25, 2015. The industry was asked to submit proposed 2016 Southern California race dates in preparation for discussions regarding the 2016 Southern California race date allocations. Los Angeles Turf Club (LATC) and the Del Mar Thoroughbred Club (DMTC) submitted a joint 2016 Southern California racing calendar. The joint proposal provides that DMTC would not run the day after Labor Day, which will allow Los Alamitos Race Course (LARC) to open its September 2016 race meeting one day earlier. The submitted calendars differed primarily in their proposed allocations of daytime thoroughbred dates to LARC.

At the June 25, 2015 Regular Board Meeting, Chairman Winner urged the Southern California stakeholders to continue their discussions in an effort to reach consensus. Since the June 2015 Regular Board Meeting, the various stakeholders have continued their discussions. LARC has withdrawn the proposed racing schedule it originally submitted and has provided a new 2016 Southern California racing schedule. LARC stated its new proposed 2016 racing schedule is based on a racing schedule submitted by California Thoroughbred Trainers (CTT), and addresses the concerns of the Thoroughbred Owners of California (TOC), specifically that a 2016 racing schedule should not contemplate a four week period without turf racing. Thus, the four week LARC meeting in June and July 2016, suggested by CTT, has been reduced to three weeks, and the extra week ceded to LATC. The LARC proposed 2016 racing schedule also has LARC racing for two weeks following the DMTC fall meeting and Christmas.

**DEL MAR THOROUGHBRED CLUB (As proposed by DMTC/LATC)**

DMTC at Del Mar – July 13, 2016 to September 5, 2016

DMTC at Del Mar – November 10, 2016 to December 4, 2016

**LOS ANGELES TURF CLUB (As proposed by DMTC/LATC)**

LATC at Santa Anita Park Race Track - December 26, 2015 to June 30, 2016

LATC at Santa Anita Park Race Track – September 29, 2016 to November 6, 2016

**LOS ALAMITOS RACE COURSE (As proposed by DMTC/LATC)**

LARC at Los Alamitos Race Course – July 1, 2016 to July 11, 2016

LARC at Los Alamitos Race Course – September 8, 2016 to September 25, 2016  
 LARC at Los Alamitos Race Course – December 8, 2016 to December 18, 2016

Los Alamitos Race Course submitted a proposal that would allow it to run two four week, and one three week thoroughbred race meetings: April 14 through May 1, 2016; June 23 through July 10, 2016; December 8 through December 18, 2016.

**LOS ALAMITOS RACE COURSE (As proposed by LARC)**

LARC at Los Alamitos Race Course – April 14, 2016 to May 1, 2016  
 LARC at Los Alamitos Race Course – June 23, 2016 to July 10, 2016  
 LARC at Los Alamitos Race Course – December 8, 2016 to December 18, 2016

**DEL MAR THOROUGHBRED CLUB (As proposed by LARC)**

DMTC at Del Mar – July 13, 2016 to September 5, 2016  
 DMTC at Del Mar – November 10, 2016 to December 4, 2016

**LOS ANGELES TURF CLUB (As proposed by LARC)**

LATC at Santa Anita Park Race Track – December 26, 2015 to April 10, 2016  
 LATC at Santa Anita Park Race Track – May 5, 2016 to June 19, 2016  
 LATC at Santa Anita Park Race Track – September 8 to November 6, 2016

**BACKGROUND**

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing

weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

#### RECOMMENDATION

This item is presented for Board discussion and action. The Board may wish to hear from industry representatives regarding the status of their discussions.

2015-2016

Joint Proposal of Santa Anita Park and Del Mar Thoroughbred Club

LATC	131
DMTC	57
LOS AL	28

TOTAL RACE DAYS	216
-----------------	-----

December 2015						
S	M	T	W	T	F	S
20	21	22	23	24	25	26
27	28	29	30	31		4

January						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						19

February						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29					16

March						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	
						17

April						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						17

May						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				18

June						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		16

July						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31					8	15

August						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			21

September						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	
				5	12	2

October						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					18

November						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			
					4	12

December						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						8
						4

2016 Calendar - By Site  
 Santa Anita hosts Breeders' Cup 11/4 & 11/5

LATC - 33 Weeks	127	Los Alamitos - 4 Weeks	16	DM Summer - 7 Weeks	37	TOTAL - 51 Weeks	215
		Los Al Fall - 4 Weeks	15	DM Winter - 6 Weeks	20		

January							February							March							April							
Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	
					1	2	1	2	3	4	5	6			1	2	3	4	5						1	2		
					x	x					x	x	x						x	x	x						x	x
3	4	5	6	7	8	9	7	8	9	10	11	12	13	6	7	8	9	10	11	12	3	4	5	6	7	8	9	
x				x	x	x	x				x	x	x	x				x	x	x	x				x	x	x	
10	11	12	13	14	15	16	14	15	16	17	18	19	20	13	14	15	16	17	18	19	10	11	12	13	14	15	16	
x				x	x	x	x	x			x	x	x				x	x	x	x				x	x	x		
17	18	19	20	21	22	23	21	22	23	24	25	26	27	20	21	22	23	24	25	26	27	17	18	19	20	21	22	23
x	x				x	x	x				x	x	x	x				x	x	x	x				x	x	x	
24	25	26	27	28	29	30	28	29						27	28	29	30	31			24	25	26	27	28	29	30	
x				x	x	x	x						x				x			x				x	x	x		
31																												
x																												
May							June							July							August							
Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	
																			1	2								
																			x	x								
1	2	3	4	5	6	7				1	2	3	4	3	4	5	6	7	8	9	1	2	3	4	5	6		
x				x	x	x					x	x	x	x	x				x	x					x	x	x	
8	9	10	11	12	13	14	5	6	7	8	9	10	11	10	11	12	13	14	15	16	7	8	9	10	11	12	13	
x				x	x	x	x				x	x	x	x				x	x	x	x				x	x	x	
15	16	17	18	19	20	21	12	13	14	15	16	17	18	17	18	19	20	21	22	23	14	15	16	17	18	19	20	
x				x	x	x	x				x	x	x	x				x	x	x	x				x	x	x	
22	23	24	25	26	27	28	19	20	21	22	23	24	25	24	25	26	27	28	29	30	21	22	23	24	25	26	27	
x					x	x	x				x	x	x	x				x	x	x	x				x	x	x	
29	30	31					26	27	28	29	30			31							28	29	30	31				
x	x						x				x			x							x				x			
September							October							November							December							
Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	Sun	Mon	Tue	Wed	Th	Fri	Sat	
					1	2	3						1			1	2	3	4	5					1	2	3	
					x	x	x						x						x	x	x					x	x	x
4	5	6	7	8	9	10	2	3	4	5	6	7	8	6	7	8	9	10	11	12	4	5	6	7	8	9	10	
x	x			x	x	x	x				x	x	x	x				x	x	x	x				x	x	x	
11	12	13	14	15	16	17	9	10	11	12	13	14	15	13	14	15	16	17	18	19	11	12	13	14	15	16	17	
x				x	x	x	x	x			x	x	x				x	x	x	x				x	x	x		
18	19	20	21	22	23	24	16	17	18	19	20	21	22	20	21	22	23	24	25	26	18	19	20	21	22	23	24	
x				x	x	x	x				x	x	x	x				x	x	x	x				x	x	x	
25	26	27	28	29	30		23	24	25	26	27	28	29	27	28	29	30				25	26	27	28	29	30	31	
x				x	x		x				x	x	x	x							x				x	x	x	
							30	31																				
							x																					

Southern California Thoroughbred Race Dates - By Site

Sites	2014	2015	2016 Proposed
Santa Anita	132	125	127
Del Mar	51	60	57
Los Alamitos, Incl LACF	31	29	31

# 2016 Calendar - By Site

## So Cal Thoroughbred Race Dates - By Site

Sites	2014	2015	2016
Santa Anita	132	125	127
Los Alamitos	31	29	32
Del Mar	51	60	57

December 2015						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	
	28	29				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	4	5	6			
	11	12	13			
	18	19	20	21		
	25	26	27			

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3			
	8	9	10			
	15	16	17	18		
	22	23	24			
	29					

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2				
	7	8	9			
	14	15	16			
	21	22	23			
	28	29	30			

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	4	5	6			
	11	12	13	14	15	16
	17	18	19	20	21	22
	24	25	26	27	28	29

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4			
8	9	10	11			
15	16	17	18			
22	23	24	25			
29	31					

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1				
	6	7	8			
	13	14	15			
	20	21	22	23	24	25
	27	28	29	30		

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7			
11	12	13	14			
18	19	20	21			
25	26	27	28			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	3	4	5			
	10	11	12			
	17	18	19			
	24	25	26			
	31					

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	7	8	9			
	14	15	16			
	21	22	23			
	28	29	30			

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	4	5	6	7		
	11	12	13	14		
	18	19	20	21		
	25	26	27	28		