

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
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REGULAR MEETING

of the **California Horse Racing Board** will be held on **Thursday, July 18, 2013**, commencing at **9:30 a.m.**, at the **Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of June 20, 2013.**
2. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
3. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F), at Fairplex**, commencing September 5, 2013 through September 23, 2013, inclusive.
4. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the San Joaquin County Fair (F), at Stockton**, commencing September 18, 2013 through September 29, 2013, inclusive.
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T), at Santa Anita**, commencing September 25, 2013 through November 3, 2013, inclusive.
6. Discussion and action by the Board on the **Application for Approval to Conduct Advance Deposit Wagering (ADW) by Watch and Wager LLC, for an out-of-state multi-jurisdictional wagering hub**, for a period of up to two years.
7. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at the Mermaid Tavern in Thousand Oaks, California**, for a period of up to five years.

8. Discussion and action by the Board on the **Renewal Application for License to Operate a Minisatellite Wagering Facility at the ORG Restaurant and Bar in Santa Maria, California**, for a period of up to five years.
9. Discussion and action by the Board regarding an **industry report on the issues related to conditions placed on the allocation of the 2014/2015 Southern California racing calendar and the Board's ratification of the calendar**.
10. Discussion and action by the Board regarding an **industry update on the status of the discussions regarding the Southern California auxiliary off-track stabling issue for 2014/2015**.
11. Discussion and action by the Board regarding the **allocation of the Northern California 2014/2015 racing calendar and related issues for 2014/2015**.
12. Public hearing and action by the Board regarding the **proposed addition of CHRB Rule 1927.1, Tampering with Smoke Detectors Prohibited**, to include penalties relative to tampering with fire safety equipment i.e. smoke detectors. (Note: This concludes the 15-day public comment period. The Board may adopt the proposal as presented.)
13. Discussion and action by the Board regarding the **request from Golden Gate Fields for a waiver to CHRB Rule 1472, (g) Rail Construction and Track Specifications, to install hedges within 10 feet of the inside rail on its turf course**.
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

David Israel, Chairman
Chuck Winner, Vice Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
George Krikorian, Member
Richard Rosenberg, Member
Kirk E. Breed, Executive Director
Jacqueline Wagner, Assistant Executive Director

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**PENDING LITIGATION
JULY 2013**

SUPERIOR COURT LITIGATION

- A. Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- B. San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- C. Alexander Sywak vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-800001021
- D. Morteza Atashkar vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2008-00024426
- E. Gerald Gibbs v. Bob Hubbard Transportation, Inc., and the CIIRB**
Superior Court of California, County of Los Angeles, Case No. BC505465

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**PENDING ADMINISTRATIVE ADJUDICATIONS
JULY 2013**

CASE

A. Proposed Decision

Trainer Frank Petrelli

CHRB Case Number: 13SW0002

B. Proposed Decision

Complaint of San Luis Rey Racing, Inc.

CHRB Case Number: SAC 13-0024

C. Proposed Decision

Trainer Carla Gaines and Owner John Harris

CHRB Case Numbers: 12DM0014, 12DM0015, 12DM0051 and 12DM0052

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Betfair Hollywood Park Race Track, Sunset Room**, 1050 South Prairie Ave., Inglewood, California, on **June 20, 2013**.

Present: David Israel, Chairman
Chuck Winner, Vice-Chairman
Steve Beneto, Member
Jesse H. Choper, Member
Bo Derek, Member
George Krikorian, Member
Richard Rosenberg, Member
Jacqueline Wagner, Assistant Executive Director
Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF May 23, 2013

Chairman Israel asked for approval of the minutes of the Regular Meeting of May 23, 2013.

Vice-Chairman Winner **motioned** to approve the minutes. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

PUBLIC COMMENT

There were no public comments.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF 2012 RACE DAY CHARITY PROCEEDS OF BETFAIR HOLLYWOOD PARK RACING ASSOCIATION LLC IN THE AMOUNT OF \$84,000 TO 17 BENEFICIARIES.

Chairman Israel thanked Betfair Hollywood Park Racing Association (HPRA) for its donation.

Commissioner Derek stated the charities donated to were all worthy entities; however, she would like to see a little more of the charity money go to equine organizations. Richard Castro, representing Pari-Mutuel Employees Guild SEIU Local 280 (Local 280), stated there were 1,400 people in Local 280 and less than 200 had a full time job and these people were equally

important to horses. Commissioner Derek stated she agreed. Chairman Israel stated equal importance would mean 50 percent of the funds would go to horse related charities, but in this case horse related charities made up only nine percent of the beneficiaries. Commissioner Derek **motioned** to approve the request by HPRA to distribute its race day charity proceeds. Chairman Israel **seconded** the motion, which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR (F) AT FERNDALE, COMMENCING AUGUST 14, 2013 THROUGH AUGUST 25, 2013, INCLUSIVE.

James Morgan, representing Humboldt County Fair (Humboldt), stated Humboldt agreed to the race dates proposed by the California Authority of Racing Fairs. He said Humboldt had become more self-sufficient and had increased sponsorship; it was moving ahead with a letter of intent for an off-track simulcast facility. Pursuant to the November, 2012 CHRB Regular Board Meeting Humboldt made arrangements with the industry, including Golden Gate Fields, Del Mar Thoroughbred Club, and the Thoroughbred Owners of California, that would enable Humboldt to absorb the race dates that were proposed for 2013. Commissioner Rosenberg asked what caused the handle to go up 20 percent in 2012. Mr. Morgan gave credit to Humboldt's promotion effort and the increase in community support. Commissioner Derek asked for clarification on the application over the inconsistent use of the terms emergency medical technician or paramedic where she felt it should only refer to paramedics. Mr. Morgan agreed the application should only refer to paramedics. Commissioner Rosenberg **motioned** to approve the application for license to conduct a horse racing meeting of Humboldt, commencing August 14, 2013 through

August 25, 2013, inclusive. Commissioner Krikorian **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION II (T) AT GOLDEN GATE FIELDS, COMMENCING AUGUST 15, 2013 THROUGH SEPTEMBER 15, 2013.

Cal Rainey, representing Golden Gate Fields (GGF), stated the 2013 spring meet gave GGF positive momentum. All source wagering was up two percent, all source live wagering was up nine percent, and there was an increase in starters to six and a half per race. The 2013 fall meet purses would remain at the same level as the spring meet, which was a five percent increase over the 2012 purses, and there was an added stakes race. Dan Siarmelli, representing GGF, stated GGF would be offering a promotion every day of the meet including Happy Hour racing for the first three Fridays. He said the decrease in advertising funding was due to the higher prices of on air media in 2012 with the Olympics and election; the amount of advertising for 2013 would remain the same. Chairman Israel stated GGF's bond was due to expire on August 17, 2013. Peter Tunney, representing GGF, stated that it would be renewed. Chairman Israel asked what was happening with West Coast's concessionaire license. Mr. Tunney stated West Coast had a vendor's license and GGF was working with the Board to get it a concessionaire license. Commissioner Rosenberg stated it was difficult to determine GGF's profitability when the balance sheet in the financials was a combined balance sheet for Pacific Racing Association (PRA) and GGF. Mr. Tunney stated this issue would have to be addressed by either Gina Lebow or Frank DeMarco and neither was present. Vice-Chairman Winner asked what the expected revenue was for 2013. Mr. Tunney stated GGF expected to be somewhere close to one million

dollars in the black. Commissioner Choper asked if there was race day overlap with Humboldt or Santa Rosa. Mr. Tunney stated there were no overlap with Humboldt and only the traditional overlap with Santa Rosa that had existed for decades. Commissioner Choper asked what kind of affect the dachshund races had on handle. Mr. Siarmelli stated that the dachshund races were a promotional tool to get people from San Francisco to attend an event at GGF in the hope that they would convert to horseracing fans. Mr. Rainey said it was a family friendly promotion that was successful in bringing out young people as well. Commissioner Choper asked if return visit coupons were given to these new customers. Mr. Siarmelli stated that they had emailed out coupons in the past after similar events. Commissioner Choper asked if anyone returned for horseracing. Mr. Siarmelli said that very few return but the dachshund group was loyal and their number grew annually. It made for a profitable day on its own. Commissioner Derek stated California law allowed wagering on other types of horses and horse activities like horse jumping. She suggested such events could be used to bring in other types of customers. Richard Castro, representing Pari-Mutuel Employees Guild, asked if GGF had signed the Health Trust document. Mr. Rainey stated that was a question for Ken Walker who was not present. Mr. Castro asked when was the last time GGF made a contribution to the non-union employee pension fund. Mr. Rainey stated that commencing 2010 payments were made to the pension fund deficit, not to employees. Commissioner Choper **motioned** to approve the application for license to conduct a horse racing meeting of the Pacific Racing Association II (T) at GGF, commencing August 15, 2013 through September 15, 2013, contingent upon its bond renewal, the outstanding concession licensure, and clarification of the financials by GGF. Commissioner Benito **Seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE REQUEST FROM THE CALIFORNIA EXPOSITION AND STATE FAIR, AND WATCH AND WAGER LLC, TO ALLOCATE THREE ADDITIONAL RACING DAYS TO ITS OCTOBER 25, 2013 THROUGH DECEMBER 21, 2013 RACE MEETING.

Christopher Schick, representing Watch and Wager Harness (WWH), stated that the requested days would be an opportunity for the horseman who remained in state. He added the horsemen would be given preference in the race box on the requested days. Chairman Israel asked how many races a day were planned, and if there would be enough horses remaining to have full fields. Mr. Schick stated there would be enough horses for the planned ten or eleven races a day. Commissioner Rosenberg asked if the promotion with TVG still existed. Mr. Schick stated the promotion with TVG was successful for both WWH and TVG; however, it was part of a larger project that did not come together. Commissioner Rosenberg asked if TVG was still televising WWH races. Ben Kenney, representing WWH, stated WWH had an exclusive two year agreement with TVG for broadcast on Fridays and Saturdays as filler between the leading Japanese races. Chairman Israel asked if TVG would broadcast the added days. Mr. Schick stated during the winter months TVG broadcast essentially all of the WWH races. Chairman Israel asked if there was a difference in handle between the full card days in winter and the days in the summer when TVG only broadcast 60 percent of the races. Mr. Schick stated there was less handle in the summer; however, another factor was WWH's significantly weakened product with the loss in horseman beginning in April and worsening through May. Commissioner Choper stated WWH deserved a lot of credit for making harness racing in Sacramento healthy again. Commissioner Choper **motioned** to approve the request from the California Exposition and State Fair, and WWH, to allocate three additional racing days to its October 25, 2013 through December 21, 2013 race meeting. Vice-Chairman Winner **seconded** the motion which **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **PROPOSED AMENDMENT TO CHRB RULE 2066, APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY**, TO CHANGE THE TERM OF A MINISATELLITE WAGERING FACILITY LICENSE FROM TWO YEARS TO FIVE YEARS IN ACCORDANCE WITH BUSINESS AND PROFESSIONS CODE SECTION 19605.25 (A).

Jacqueline Wagner, CHRB staff, stated the proposed amendment to Rule 2066, Application for License to Operate a Minisatellite Wagering Facility would codify a change in the law that extended the term of license from two to five years. Commissioner Krikorian **motioned** to initiate the 45 day comment period for the proposed amendment to Rule 2066. Chairman Israel **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION ON THE REPORT REGARDING THE **IMPLEMENTATION OF CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE**, WHICH WAS AMENDED TO PROVIDE THAT THE STEWARDS SHALL VOID THE CLAIM IF THE HORSE SUFFERS A FATALITY DURING THE RACE OR THE RACING OR OFFICIAL VETERINARIAN DETERMINES THE HORSE WILL BE PLACED ON THE VETERINARIAN'S LIST AS UNSOUND OR LAME BEFORE THE HORSE IS RELEASED TO THE SUCCESSFUL CLAIMANT.

Dr. Rick Arthur, CHRB Equine Medical Director, stated Rule 1658, Vesting of Title to Claimed Horse, became effective May 9, 2013, and was implemented on May 16, 2013. To make sure everyone was on the same page CHRB held a conference call with all official veterinarians to review all procedures and protocols. He said from conversations with stewards and veterinarians it appeared that the procedures were working well with no reported problems. Dr. Arthur stated that Dr. Grande, the veterinarian at Hollywood Park, reported feeling more pressure; however, Hollywood Park dealt with more claims and more high value claims than other tracks. Dr. Arthur said he had not received any complaints about a claim being voided, but had received complaints about claims not being voided from both trainers and veterinarians. This showed it

was important to remind owners and trainers the official veterinarians were not doing pre-purchase examinations for all issues, only those that would determine a horse was unsound or lame. Between May 16 and June 16, 2013 there were 210 successful claims with 19 claims voided by the official veterinarian. Dr. Arthur stated that the rate was much higher than the 2.5 percent that historical data pointed to; however, the new procedures required an examination of all claimed horses where in the past the horse would exchange hands without any veterinarian exam. He said in retrospect he should have predicted the rate of voided claims would be higher than the 2.5 percent historical average. Dr. Arthur discussed the historical data for horses that had been placed on the veterinarians list and how going forward the new data would be compared with the old to determine the effect of the new claiming process. All voided claims were now reported in the steward's minutes at each track. Dr. Arthur stated there would be another update at the Medication and Track Safety Committee Meeting on July 19, 2013, and he encouraged the official veterinarians, stewards, and anyone else interested to offer suggestions to improve the process. He said that he was aware there was backlash over many of the safety initiatives the Board had undertaken, but there had been a dramatic decrease in fatalities. He wished to remind the industry that the welfare for the horses and riders was one of the obligations of the Board. Chairman Israel asked about the other states that implemented similar rules and how they were working. Dr. Arthur stated that Arkansas and New York implemented similar rules but he did not have any information on how they were working. Commissioner Rosenberg asked what the difference was between a pre-race examination and a claiming examination. Dr. Arthur stated the instruction given to the veterinarians was that the post-race examination should be very similar to the pre-race examination and they also took into account that the horse may act different when fatigued. He said the big difference was the type of

pressure the veterinarian felt during the examination. A pre-race examination was about the safety of the rider and horse whereas the post-race examination was more of a financial/economic pressure. Commissioner Rosenberg stated he did not accept there was an economic pressure. Dr. Arthur stated in his practice there was a difference when he examined a million dollar horse verses a five thousand dollar horse. Commissioner Beneto asked how the horse was vetted. Dr. Arthur said the veterinarian spent three minutes on the exam, feeling the horse's legs and watching how they walked and jogged. Commissioner Choper stated that something not seen in a horse may come out during and after a race. Dr. Arthur agreed stating the necropsy program had shown that 85 percent of injuries had preexisting pathology and the exertion during the race could either cause a structural failure or exacerbate what was already there. Commissioner Choper stated the rule was controversial to begin with, but it was necessary to take the criticism seriously. Vice-Chairman Winner said when Rule 1658 passed, the Board agreed it was not perfect but it was the best solution available. It was determined the Board could adjust it after it was implemented, but two months was not long enough to make that kind of decision. Commissioner Rosenberg agreed making changes so early would be premature, but he urged the committee to hear from the veterinarians in the field. Peter Miller, a licensed trainer, stated horses were professional athletes not pets. He said because of the amendment to Rule 1658 many trainers and owners would take their horses out of California, which would in turn lose millions of dollars in revenue. If someone just wanted to claim a broodmare, whether the mare was sound or not did not matter, but Rule 1658 could prevent such a claim. He said he understood there were bad owners and trainers but Rule 1658 punished the good as well as the bad. If a horse was a bleeder he wouldn't want that horse, but the rule did not include pulmonary hemorrhage as a reason to void a claim. Chairman Israel asked Dr. Arthur if that was

true. Dr. Arthur stated only claims for horses that were unsound or lame were voided. Mr. Miller said because of muscle cramping many sound horses would not pass the test, and he would rather have a horse with a cramp in its shoulder than an uncontrollable bleeder. He said when the claimers got their money returned the wagering public was going to want its money back too, citing that the horse was not ready to run. This opened up the tracks to lawsuits. Mr. Miller stated that claiming had been around for over a hundred years. It had risks but that was part of the system, a perfect system that the trainers never complained about. He could not say that about Rule 1658. Chairman Israel said that Mr. Miller could further discuss his issue with the rule at the July 2013 Medication and Track Safety Committee meeting. Vice-Chairman Winner stated there were already many meetings over the last two years which included many trainers who advised on this issue and this was the rule that was agreed upon. Joe Morris, representing the Thoroughbred Owners of California (TOC), stated the TOC was against the rule when it was proposed but agreed about the safety of the horse and rider. He said the rule could use a little bit of work and he looked forward to the July 19, Medication and Track Safety Committee meeting where the industry could get together and make the changes. Brad McKenzie, representing Finish Line Self Insurance Group, stated any rule that promoted jockey and horse safety was a good rule. Chairman Israel commented Mr. McKenzie should also attend the committee meeting. Mr. McKenzie replied that he would. Commissioner Choper stated the rule was a good thing for the industry; removing any bad element from racing would improve the sport. Commissioner Rosenberg stated the rule ruined the game of claiming which had a long history. He said he agreed horse owners might leave California and the horse population could go down. Commissioner Derek said the rule took the incentive away for someone with bad intentions, and so far claimers had been happier to receive a sound horse. Vice-Chairman

Winner stated that claiming was up not down. Commissioner Krikorian said he was not on the Board during the discussions over the Rule but it was clear to him that more discussion was needed.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE EXTENSION OF THE JUNE 2012 BOARD DECISION TO SUSPEND FOR TWELVE MONTHS THE USE OF CLENBUTEROL BY ALL BREEDS AT ALL CALIFORNIA RACE TRACKS, WHICH IS SET TO EXPIRE JULY 18, 2013.

Dr. Rick Arthur, CHRB Equine Medical Director, stated the suspension of clenbuterol had been very effective. There were no violations at any track except Los Alamitos, and five of the six violations at Los Alamitos were ship-ins from non-CHRB facilities. There had been no increase in plural pneumonias as a few veterinarians had predicted; however, some still resented the suspension of what they saw as a very useful drug. Dr. Arthur stated a proposed change from the current 30 day to a 14 day withdrawal time would be discussed at the Medication and Track Safety Committee meeting July 19, 2013. Commissioner Derek **motioned** to approve the extension of the June 2012 Board decision to suspend for twelve months the use of clenbuterol by all breeds at all California race tracks. Vice-Chairman Winner **seconded** the motion which was **unanimously carried**.

REPORT FROM THE PARI-MUTUEL/ADW AND SIMULCAST COMMITTEE.

Commissioner Rosenberg stated the first item discussed was Rule 1865, Altering of Sex of Horse, and it was decided to make no changes to the rule. The second item was a report from Monarch Content Management which was a company started by the Stronach Group as a simulcast purchase and sales agent for North American racetracks and wagering outlets. The

Proceedings of the Regular Meeting of June 20, 2013

11

market had grown worldwide but particularly in Latin America. Commissioner Rosenberg said this was one of the few examples of all of the stakeholders getting together to accomplish something that benefited everyone. The third item was full card simulcasting which had been brought up many times at many meetings by John Bucalo from Barona Casino. Once again, it was determined to be a legislative matter and the parties need to get together and work on the issue legislatively. The fourth item was the 20 mile limit on minisatellites. The Board was unanimous in its desire to change the law; however, the fair and casino satellite facilities were in opposition. Commissioner Krikorian stated he had done some additional research on the issue and had some information to share. He said the largest percent of purse money was generated from satellite wagering. In 2012 there were 36 satellite facilities with a combined total handle of \$750 million. The top nine locations averaged a daily handle of \$208,693 per site, 675 patrons per day, and an average per patron wager of \$309.38; this compared with an on-track average per patron of \$162. The top nine sites brought in 66 percent of the \$750 million handled by all of the satellites. Commissioner Krikorian said the theatre business taught him the value of site location. If there was a theatre with heavy customer traffic, it was good business to open another nearby. The end result was an increase of income, not cannibalization. The 20 mile radius rule was preventing very little cannibalization at the cost of huge limitations on industry expansion. Commissioner Rosenberg stated that he would like to see the radius decreased to two miles. Chairman Israel said there should not be a radius; it should be based on what the market would bear. Chris Korby, representing California Association of Racing Fairs (CARF), stated two of the existing satellites, Bakersfield and Tulare, were going out of business; simulcasting was no longer a one size fits all situation. He said CARF was not unilaterally opposed to changing the 20 mile radius law, but if there was going to be change it needed to be equitable for everyone.

Chairman Israel stated that there would be an ad hoc committee created for the issue chaired by Commissioner Krikorian. Mr. Korby stated that CARF welcomed that opportunity. Commissioner Krikorian said more important than location was creating the right business plan. Mr. Korby stated CARF facilitated the transition in Santa Maria when the fair satellite decided to close. Now the satellite successfully operated in a facility at a private joint venture. Commissioner Rosenberg asked if Bakersfield closed would they still have the right to block other facilities under the 20 mile radius law. Robert Miller, CHRB General Counsel, stated that Bakersfield was not a racing fair; it only had a satellite facility and if that closed it would lose its right to prevent new satellites from opening. This was a different situation than that of Hollywood Park. Hollywood Park would close its racetrack but its satellite facility would remain and continue to have leverage to prevent new satellite facilities from opening within a 20 mile radius. Bernie Thurman, representing Hollywood Park, stated Business and Professions section 19605.54 allowed Hollywood Park to continue satellite operation after the closure of its racetrack. Joe Morris, representing the Thoroughbred Owners of California (TOC), stated the TOC was in favor of creating more minisatellites. It was also not in favor of the 20 mile radius legislation. He said there were many reasons to want more minisatellites, one of which was to generate income for the stabling and vanning fund.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PRESENTATION FROM SPORTECH REGARDING ITS PLANS TO CREATE AND MARKET A HIGH END COMBINATION RESTAURANT/SPORTS BAR/MINISATELLITE BRAND THAT WOULD BE LOCATED IN HIGH DENSITY POPULATED AREAS OF CALIFORNIA.

Terry McWilliams, representing Sportech, stated representatives from Sportech were there to present to the Board an overview of the business model that Sportech would be using to expand

minisatellites in densely populated areas of California. Phil Balderamos, representing Sportech, said Sportech was proposing to invest up to \$20 million into the development of minisatellites. The initial focus of development would be in the counties of San Diego, San Francisco, Los Angeles, Riverside, and Orange. These were areas of high population density with over 14 million people over the age of 21. The daily attendance of facilities that currently service these areas totaled 0.01 percent of the available customers. Increasing this total to 0.15 would bring in \$93 million in takeout for the industry. These 'high end' facilities would be sports bars and restaurants that focused on horseracing, not just in a side room, but throughout the facility. They would be under a brand that customers would start to recognize and talk about. Mr. Balderamos said Sportech would invest over a million dollars a year in marketing so that customers would understand why they should go to these venues. They would focus on great food, which was also important. In Southern California Sportech was negotiating with Paul Becher, a successful sports bar and restaurateur, to exclusively run the food and beverage services in that region. Mr. Balderamos stated to make this happen Sportech was looking for a ten year commitment on the one percent vendor fee that SCOTWINC had been providing to new minisatellite facilities, as well as support from the industry in getting waivers for the 20 mile radius. Vice-Chairman Winner asked what 'up to \$20 million' meant in reference to the investment Sportech proposed. Mr. Balderamos stated that Sportech estimated each facility would require around \$2 million in investment; the \$20 million was based on its hope of getting the rights to develop ten locations. Vice-Chairman Winner asked what the timeline was for this plan. Mr. Balderamos said Sportech would begin working on fifteen locations with the hope of developing five. The longest part of the process was getting municipalities to approve which in some cases could take as long as two years. Chairman Israel asked if there were any state laws, other than the 20 mile radius, that

needed to be addressed. Mr. McWilliams stated he was not aware of any. Commissioner Rosenberg asked if the five year license limit was also a hindrance. Mr. Balderamos said Sportech would need a ten year term before making the needed investment to move forward with this project. Robert Miller, CHRB General Counsel, stated that the five year term for licensure was also a legislative issue. Commissioner Rosenberg asked if Sportech had hired a lobbyist to change the laws. Mr. Balderamos said it had not. Commissioner Beneto asked if Sportech would be running these facilities. Mr. Balderamos stated Sportech would run the minisatellite and contractors would run the food and beverage. Commissioner Krikorian asked if any existing bars or restaurants would be solicited in this plan. Mr. McWilliams said soliciting existing locations was what Sportech was already doing with Southern California Off-Track Wagering Inc. (SCOTWINC); an example of this was the O.C. Tavern. The new proposal was for a dedicated brand that would be built from the ground up in ideal locations. Commissioner Benito asked if Sportech was going to be a franchisor. Mr. Balderamos said Sportech would be signing the lease and the food and beverage specialists would be working for Sportech. Commissioner Benito asked if Sportech would be taking a percentage of the food and beverage. Mr. Balderamos said the food and beverage revenue would go to Sportech and the food and beverage operator would receive a percentage of the revenue to operate at the location. Brad McKinzie, representing Sammy's of Lake Forrest (Sammy's), stated that Sportech was a very important part of getting Sammy's started. Sportech provided the capital for all of the audiovisual equipment. Based on the two years it took Sammy's just to get approval from Lake Forrest, if Sportech started immediately it might still be three years before any of its facilities opened. Josh Rubenstein, representing Del Mar Thoroughbred Club, stated this was the best proposal to increase distribution of the signal that Del Mar had yet seen. He said expanding minisatellites

increased contributions to the vanning and stabling fund, the Kenneth L. Maddy Equine Analytical Chemistry Laboratory at UC Davis, and to Workmen's Compensation. Joe Morris, representing the Thoroughbred Owners of California (TOC), stated the TOC was in favor of the proposal. He said Sportech was a well known industry partner in California, and it was encouraging that it desired to invest \$20 million into California minisatellites. Jim Henwood, representing Fairplex, stated that Fairplex also endorsed the Sportech plan. Rick Baedeker, representing SCOTWINC and Sportech, stated he needed to clarify that Sportech was not asking for a ten year license to operate a minisatellite, only to extend its vendor contract with SCOTWINC to ten years. He said Fairplex was currently working with Sportech for a waiver to allow a minisatellite in the Riverside area. Fairplex would give its waiver with cooperation from the California Authority of Racing Fairs (CARF). Commissioner Beneto stated that a deal between SCOTWINC and Sportech should not include the Board. Mr. McWilliams agreed. Chris Korby, representing CARF, stated the one percent subsidy from SCOTWINC was a selective subsidy only being offered to new minisatellites in Southern California. He said, in response to the comment that CARF has not cooperated in the waiver for a Riverside minisatellite, CARF had not been invited to negotiations and was not aware of the pending waiver. Chairman Israel asked if CARF was opposed to reducing the 20 mile radius. Mr. Korby stated that under the present business model it was. Vice-Chairman Winner asked if CARF was willing to help negotiate the Riverside minisatellite waiver with San Bernardino. Mr. Korby stated CARF was ready to help. Chairman Israel asked Mr. Henwood to explain what happened with the waiver. Mr. Henwood stated Fairplex was contacted by Sportech about two proposed facilities. One was in Chino Hills and only six and a half miles from Fairplex and the second in Riverside which was 17.5 miles from Fairplex. Fairplex was willing to give a waiver for the one

in Riverside until it became known that San Bernardino was trading its waiver of Riverside for a share of the revenue. Chairman Israel asked if the Riverside minisatellite would be willing to give a percentage of its revenue to each. Mr. McWilliams stated it was not feasible. Commissioner Krikorian stated if the two percent was not in statute, it would be a good opportunity to give the minisatellite more to trade with so that the industry would benefit from the \$500 million that the deal would generate. Mr. Korby stated he would appeal to San Bernardino on behalf of the industry to help come to an equitable deal that benefitted all.

REPORT FROM THE LEGISLATIVE, LEGAL AND REGULATIONS COMMITTEE.

Commissioner Choper stated the first item on the agenda was a discussion of pending legislation. He said the second agenda item was a discussion of the revised governing procedures for CHRB. Chairman Israel stated that any discussion of the governing procedures would need to be delayed to a future meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING APPROVAL OF THE REVISED CHRB GOVERNING PROCEDURES NOTICE FOR DISCIPLINARY HEARING.

Chairman Israel stated the item would be scheduled for a future meeting.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE **PROPOSED ADDITION OF THE FOLLOWING EXCHANGE WAGERING RULES AND THE PROPOSED REVISIONS TO THESE RULES MADE IN RESPONSE TO THE OFFICE OF ADMINISTRATIVE LAW'S (OAL) MARCH 20, 2013 DECISION OF DISAPPROVAL OF REGULATORY ACTION.** (NOTE: THIS CONCLUDES THE 15-DAY PUBLIC COMMENT PERIOD. THE BOARD MAY ADOPT THE PROPOSAL AS PRESENTED.)

PROPOSED CHRB RULES:

2086, DEFINITIONS

2086.5, APPLICATION FOR LICENSE TO OPERATE EXCHANGE WAGERING

2086.6, OPERATING PLAN REQUIRED

2086.7, EXCHANGE WAGERING DATA

2086.9, FINANCIAL AND SECURITY INTEGRITY AUDITS REQUIRED

2087, SUSPENDING MARKETS

2087.6, CANCELLATION OF MATCHED WAGERS

2088.6, CANCELLATION OF UNMATCHED WAGERS

2089, ERRORS IN PAYMENTS OF EXCHANGE WAGERS

2089.5, REQUIREMENTS TO ESTABLISHING EXCHANGE WAGERING ACCOUNT

2091.5, SUSPENDING AN EXCHANGE WAGERING ACCOUNT

2092.5, PROHIBITIONS ON WAGERS TO LAY A HORSE TO LOSE

2092.6, SUSPENSION OF OCCUPATIONAL LICENSE TEXT

Vice-Chairman Winner stated the proposed Regulations, if approved by the Board, would next go to the Office of Administrative Law for approval of the changes made in response to their initial disapproval. Jacqueline Wagner stated the 15 day public comment period came to a close on June 19, 2013. CHRB received a letter from the California Thoroughbred Trainers (CTT) who made comments in opposition to the changes to the economic impact assessment (EIA). The EIA, however, was not part of the package before the Board for adoption and the CTT comments would be responded to as part of the record. Vice-Chairman Winner asked if there was any response or opposition to the changes by any of the potential operators. Ms. Wagner said there were no comments from potential operators. Carlo Fisco, representing the CTT, stated his organization's opposition to the EIA was due to the undetermined threat exchange wagering posed to pari-mutuel wagering and the businesses and people that made up the industry. Chairman Israel stated staff would respond to the CTT letter before submitting the

package to OAL and discussion on the issues of the economic impact could be taken up again when the Board reviewed any applications to provide exchange wagering. Harold Coburn, CHRB staff, stated if OAL rejected the changes to any part of the package, that part or parts would have to be revised and submitted for another 120 day comment period. If that happened the Board would not be required to receive comments on any of the changes OAL accepted, only on those parts it did not. He said if approved, October 1, 2013 was the soonest the regulations would be effective. Vice-Chairman Winner asked when the Board could begin hearing applications. Mr. Coburn said the applications could be heard at the October Board meeting. Commissioner Beneto **motioned** to adopt the proposed exchange wagering rules as presented. Commissioner Rosenberg **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE 2013/2014 AGREEMENT PROVIDING FUNDING SUPPORT FOR THE BOARD.

Commissioner Beneto stated the budget for CHRB for 2013/2014 was \$11,639,000. Commissioner Choper said this was the second lowest budget in the last five years, but it was missing the signature from Los Alamitos. Francisco Gonzales, CHRB staff, stated at the time the document was prepared Los Alamitos had not signed, but the signature had since been received. Commissioner Beneto **motioned** to approve the 2013/2014 agreement providing funding support for the Board. Commissioner Krikorian **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF 2014/2015 NIGHT RACING DATES AT LOS ALAMITOS AND CAL EXPO.

Brad McKenzie, representing Los Alamitos Race Course (LARC), stated the proposed racing dates for 2014 and 2015 were the same LARC applied for every year. Jacqueline Wagner,

Proceedings of the Regular Meeting of June 20, 2013

19

CHRB staff, stated the proposed dates for LARC in 2014 were December 27, 2013 to December 21, 2014; and for 2015 they were December 26, 2014 to December 21, 2015. Vice-Chairman Winner **motioned** to approve the allocation of 2014/2015 racing dates at LARC. Commissioner Rosenberg **seconded** the motion which was **unanimously carried**. Ms. Wagner stated for Watch and Wager Harness at Cal Expo (WWH), the proposed dates were December 26, 2013 to June 14, 2014 and October 1, 2014 to December 14, 2014; the proposed dates for 2015 were not complete and not before the Board. Chairman Israel stated the Board could approve the 2014 dates and then WWH could bring a complete request for 2015 dates to the July 2013 Regular Meeting. Christopher Schick, representing WWH, stated that it would need flexibility for the 2015 dates due to the potential changes in horse population with the possible changes at LARC. Chairman Israel said the purpose of the Board moving towards two year race date approval was to allow for more accurate planning; WWH's cooperation would be appreciated. Commissioner Beneto asked if there was progress with the issues at Cal Expo with the State Fire Marshal. Mr. Schick stated the State Fire Marshal rejected the most recently proposed solution. It was his hope that there was a solution that was acceptable to the State Fire Marshal and fit within both WWH's and Cal Expo's budget. Chairman Israel **motioned** to approve the allocation of 2014 and 2015 racing dates at Cal Expo. Commissioner Beneto **seconded** the motion which was **unanimously carried**.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF NORTHERN CALIFORNIA 2014/2015 RACE DATES AND RELATED ISSUES FOR 2014/2015.

All related parties requested to delay this item for one month to allow for further negotiations. Chairman Israel stated he would rather take care of all of the dates at one time then approve them as they were negotiated. He stated the item would be rescheduled for July 18, 2013 Regular Board Meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN INDUSTRY UPDATE ON THE STATUS OF THE DISCUSSIONS REGARDING THE SOUTHERN CALIFORNIA AUXILIARY OFF-TRACK STABLING ISSUE FOR 2014/2015.

Joe Morris, representing the Vanning and Stabling Committee, stated the stabling scenario agreed upon would be: 55 days at Santa Anita Park (Santa Anita), 365 days at San Luis Rey Downs (SLRD), and 365 days at Los Alamitos. If Los Alamitos raced a thoroughbred meet it would lose some of the stabling money in December. Hollywood Park voted a conditional no on this deal which would revert to a yes if the stabling and vanning fund paid what it owed to Hollywood Park and Fairplex. The second part of the agreement was to immediately find more stalls if the 2900 were not enough. If this ended up being necessary Fairplex would be included as an option. Commissioner Choper asked if the California Thoroughbred Trainers (CTT) were involved in the decision. Mr. Morris stated it was involved but it did not participate in the vote. Chairman Israel asked how they would be paid for if more stalls were needed. Mr. Morris stated there were only three available sources for funds: the takeout, purses, or the tracks' commissions. Chairman Israel said the takeout was not an option as it was already too high. Mr. Morris stated it would be wrong to take payment from purses. Commissioner Rosenberg asked if any of the negotiated offers had been put in writing. Mr. Morris said the negotiated deals were not in

writing; however, all of the offers were stated on the record and were in the stabling and vanning fund meeting minutes. With the offer on the table the stabling and vanning fund would still have \$1.5 million to help pay for vanning and to manage its debt. Chairman Israel stated when additional stalls were needed beyond what had been planned for, the funding should come from Santa Anita and Del Mar Thoroughbred Club (Del Mar), the two race tracks that picked up the extra race days. Josh Rubenstein, representing Del Mar, stated Del Mar was already putting all of its profits, after paying its rental fee to the 22nd Agricultural District, into the fund. Chairman Israel asked about the status of the storm water issue at Fairplex. Jim Henwood, representing Fairplex, stated there were current discussions with the Regional Water Quality Control Board, and he hoped for a favorable outcome that would allow Fairplex to supply the extra stalls that may be needed. Chairman Israel stated if Fairplex was unable to stable more horses, he was aware that the plan included using multiple off-track facilities like the Paulson Ranch and the Jenny Craig facility. Mr. Rubenstein said other facility options were discussed at the stabling and vanning meeting and it was decided Mr. Morris would take lead on visiting and reviewing the optional sites. Chairman Israel stated a location that served as a temporary fix may, with some investment, become the permanent solution. He said for California racing to survive there needed to be mile training tracks and this needed to be part of the plan. Mr. Morris stated there were current sincere negotiations with Santa Anita on how to accomplish an agreement, including the Advance Deposit Wagering issue on the television rights. Vice-Chairman Winner stated the approval for extra race days was contingent upon the concerned parties achieving an agreement. Mr. Morris said he hoped to have the issue resolved by the next Regular Board Meeting. Vice-Chairman Winner asked if CTT was still considered a concerned party in the negotiations. Mr. Morris stated he would soon meet with Alan Balch and Jim Cassidy, of CTT,

and he hoped to have a regular weekly meeting with them to accomplish the tasks they should be working on together. Vice-Chairman Winner asked if Mr. Morris believed the contingent items would be completed by the July 2013 Regular Board Meeting. Mr. Morris stated he hoped that would be the case. Commissioner Krikorian asked for more detail on the stabling and vanning debt. Mr. Morris stated the fund was \$1.3 million in debt, with \$857,000 owed to Hollywood Park and Fairplex. He said the stabling and vanning fund was derived from off-track wagering, separate from the proposed industry fund which was to be derived from Santa Anita and Del Mar in exchange for the extra race dates. The industry fund would be used to secure any future stabling including a permanent solution for stabling needs. Commissioner Beneto asked if temporary stalls at Santa Anita were considered as a solution. George Haines, representing Santa Anita, stated there was no significant amount of space for temporary stalls. Santa Anita had, however, considered adding a stage one quarantine area. The current one in use was closing along with Hollywood Park on January 1, 2013. Vice-Chairman Winner asked if Santa Anita was planning on improving and expanding its stabling. Mr. Haines stated Santa Anita had the same storm water issues as Fairplex and was still in the design phase for a solution. The stabling area might be moved which may allow for an expansion as well as improvements. Mr. Morris stated there was also the possibility that Santa Anita would lose stalls in its redevelopment, and a contingency needed to be developed for this as well. Commissioner Krikorian stated the extra race dates were given to Santa Anita for its investment in off-track stabling, but those would be a zero gain if it lost its on track stabling. Commissioner Rosenberg asked if the Board would have any jurisdiction to approve what the industry fund was used for. Robert Miller, CHRB General Counsel, said no, it was a private agreement; however, the licensure could be used as leverage for how the fund was used. Chairman Israel said Santa Anita was not going to put itself in the

position of having no place to stable horses. Commissioner Krikorian said he saw the SLRD deal as an investment that came with its own return; Santa Anita would get paid to keep horses there. He asked what Santa Anita was providing for the extra race dates. Chairman Israel stated Santa Anita paid into the industry fund which would be used to ensure adequate stabling in the future; it would not reduce its stabling without another place for those horses to go. Commissioner Beneto asked why the stabling and vanning fund should pay Santa Anita for stabling at SLRD during its race meet for horses that should be stabled at its own facility. Mr. Morris stated that was what the industry had done for a long time. Commissioner Beneto stated if stalls at SLRD were going to replace the on-track stalls at Santa Anita they should be provided at no cost while Santa Anita was racing. Mr. Morris stated Santa Anita was providing 1,900 stalls at no cost, other than the 55 days during Del Mar's fall meet. He said site visits would be made in the upcoming weeks on potential off-track sites for temporary overflow, if needed. Vice-Chairman Winner stated the allocated race dates were contingent upon the stabling issue. Commissioner Krikorian asked why the industry fund was needed if the temporary solution provided enough stalls to be the permanent solution. Chairman Israel stated there were not enough stalls on a permanent basis. He said it was agreed that Los Alamitos, or any facility with a five-eighths mile track, could not be a permanent solution for stabling. The solution that was being discussed was only a stopgap to fill in for what was being lost in the closure of Hollywood Park. Commissioner Krikorian said there was already a solution that had the 2,900 stalls that were needed. The industry fund would be a couple of million dollars a year that could be spent to create more available stalls or it could be used in other ways such as purses. He said the Board should discuss how this money should be spent and use its leverage with licensure to ensure its best use. Mr. Haines stated the SLRD improvements were already taking place,

construction had started, and the turf track was being surveyed. Vice-Chairman Winner stated the way to move forward was for all parties to agree that the points of contingency would be resolved by the July Regular Board Meeting. Mr. Morris agreed. Mr. Balch stated the May 23, 2013 meeting in Sacramento was productive and resulted in more consideration about how many horses were needed in California racing. He said the turf course being built at SLRD would be one of only a few turf training courses in the United States. Mr. Balch stated he believed the CHRB had more authority, based on Business and Professions Code section 19420, than it was taking. Although he appreciated what the Board accomplished on the matter he wished to see it extend its available authority to regulate and guide the industry with the final solution.

MEETING ADJOURNED AT 1:42 P.M.

Proceedings of the Regular Meeting of June 20, 2013

25

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

JULY 18, 2013
REGULAR BOARD MEETING

There is no board package material for Item 2

STAFF ANALYSIS
July 18, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT FAIRPLEX SEPTEMBER 5-23, 2013.

In February 2013, the Los Angeles County Fair Association renamed Fairplex Park and Barretts Equine Limited as Barretts Sales and Racing. The purpose of the name change was to solidify its position as a premiere equine sales and racing company. The name change went into effect at the beginning of 2013 and encompasses equine auctions, the pavilion, the barns, the racetrack and the live racing meet held each September, which is now known as Barretts Race meet at Fairplex.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Fairplex:

- September 5-23, 2013, or 13 days, the same as 2012. The fair proposes to race 138 races and will be simulcasting on September 5th and 23rd.
- The proposed race dates are the approved dates allocated to the fair.

September – 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	2H	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing Friday through Sunday the first week and Wednesday through Sunday the second and third week. 11 races per day Wednesdays. 8 races on Thursday, September 19th; 9 races Friday, September 6th. 10 races Thursday, September 12th and Friday, September 13th. 11 races per day on Friday, September 20st, Saturday, September 14th and 21st, and Sunday, September 8th and 15th. 12 races per day, Saturday, September 21st and Sunday, September 22rd.
 - Number of horses available determines the number of daily races programmed by breed.
 - 2012 Race Meeting: Average number of runners per race (TB): 7.46
2012 Race Meeting: Average number of runners per race (Arabian): 6
- First post 1:00 p.m. daily.
 - 4:00 p.m. post Wednesdays
- Specific changes from the 2012 license application:
 - Increases:
 - General Admission Adults on weekends from \$17 to \$19
 - General Admission Child weekdays from \$7 to \$8
 - General Admission Seniors 60+ weekdays from \$9 to \$10 and weekends from \$14 to \$15
 - RaceFan ClubCard from \$7 to \$8

- Request Jennifer Paige to be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB rules.
 - Early wagering 8:30 a.m. at Hollywood Park.
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet and Twin Spires.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com has submitted all document required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

ITEMS STILL NEEDED:

1. Vanning and Stabling Agreement
2. TOC Agreement
3. Occupational license for the Racing Secretary has expired

4. Occupational license for the Starter and Clerk of Scales will expire prior to the race meet. Specific information which will need **updating** during the proposed race dates:

1. License for the Assistant Racing Secretary, Mutuel Manager and Announcer.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Los Angeles County Fair Association
1101 W. McKinley Avenue Phone: 909-865-4093
Pomona, CA 91768 Fax: 909-622-5652

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Kim Lloyd, 909-865-4093, Lloyd@fairplex.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: Sept. 5– Sept. 23, 2013
- B. Actual dates racing will be held: Sept. 6 – 8, Sept. 11 – 15 and Sept. 18 – 22.
- C. Dates racing will NOT be held: Sept. 5, Sept. 9 - 10, Sept. 16 - 17, and Sept. 2.
- D. Total number of racing days: 13 days
- E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

3. RACING PROGRAM

A. Total number of races: 138

Application received: 5/23/13 Reviewed: <i>GW</i>	CHRB CERTIFICATION	Hearing date: 7/18/13 Approved date: License number:
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B. Number of races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	11,11,12	0	0	10,10,	9,8	9,9,11	11,11,12
Other Breeds	0	0	0	1,1	1,0	0,1,0	0,0,0
Total	11,11,12	0	0	11,11	10,8	9,10,11	11,11,12

D. Total number of stakes races by breed:

Thoroughbreds Quarter Horses Appaloosas
 Arabians Paints Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **Attached**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

APPROX. POST TIME*Pacific Daylight Time*

	Wed	Thurs - Sun
Race 1	4:00 pm	1:00 pm
Race 2	4:25 pm	1:25 pm
Race 3	4:50 pm	1:50 pm
Race 4	5:15 pm	2:15 pm
Race 5	5:40 pm	2:40 pm
Race 6	6:05 pm	3:05 pm
Race 7	6:30 pm	3:30 pm
Race 8	6:55 pm	3:55 pm
Race 9	7:20 pm	4:20 pm
Race 10	7:45 pm	4:45 pm
Race 11	8:10 pm	5:10 pm
Race 12	N/A	5:35 pm
Race 13	N/A	N/A

2013 STAKES SCHEDULE

DATE	STAKE	PURSE/DISTANCE	
Fri. Sept 6, 2013	BEVERLY J. LEWIS Fillies, Three Year Olds	\$50,000 Guaranteed Six & One Half Furlongs	Noms Sat 8/31
Sat. Sept 7, 2013	E. B. JOHNSTON – Cal Bred Fillies & Mares, Three Year Olds & Upward	\$50,000 Guaranteed One Mile & One Sixteenth	Sat 8/31
Sun. Sept 8, 2013	JIM KOSTOFF Three Year Olds	\$50,000 Guaranteed Six & One Half Furlongs	Sat 8/31
Wed. Sep 11, 2013	C.B. AFFLERBAUGH Two Year Olds	\$50,000 Guaranteed Seven Furlongs	Wed 9/4
Fri. Sep 13, 2013	PHIL D. SHEPHERD Fillies, Two Year Olds	\$50,000 Guaranteed Seven Furlongs	Wed 9/4
Sat. Sep 14, 2013	BARRETTS DEBUTANTE Fillies, Two Year Olds	\$100,000 Guaranteed Six & One Half Furlongs	Wed 6/12
Sun. Sep 15, 2013	BARRETTS JUVENILE Two Year Olds	\$100,000 Guaranteed Six & One Half Furlongs	Wed 6/12
Wed. Sep 18, 2013	GOVERNOR'S CUP Three Year Olds & Upward	\$75,000 Guaranteed Six & One Half Furlongs	Wed 9/11
Fri. Sept 20, 2013	BANGLES AND BEADS Fillies & Mares, Three Year Olds & Upward	\$50,000 Guaranteed Six & One Half Furlongs	Wed 9/11
Sat. Sep 21, 2013	LAS MADRINAS Fillies & Mares, Three Year Olds & Upwards	\$50,000 Guaranteed One Mile & One Sixteenth	Wed 9/11
Sat. Sep 21, 2013	POMONA DERBY Three Year Olds	\$50,000 Guaranteed One Mile & One Sixteenth	Wed 9/11
Sun. Sep 22, 2013	RALPH M. HINDS POMONA HANDICAP Three Year Olds & Upward	\$100,000 Guaranteed One Mile & One Eighth	Sat 9/14

2013 ARABIANS STAKES SCHEDULE

DATE	STAKE	PURSE/DISTANCE	
Wed. Sept 11, 2013	California Arabian Derby Four Year Olds	\$10,000 Guaranteed Six Furlongs	Noms Sat 8/31
Fri. Sept 13, 2013	Flying Tiger Three Year Olds & Upward	\$10,000 Guaranteed One and One Sixteenth Miles	Wed 9/4

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

- | | |
|-------------------------------------|---------------------|
| Arthur Ludwick, Chairman | |
| Michael Ortiz, Vice Chairman | |
| Bernard Bernstein | Linda Keagle |
| Robert Dukes | Cid Pinedo |
| Bill Fox | John Solomon |
| Thomas Hsieh | Ronald Vera |
| Susan Hyland | |

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: N/A

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

OFFICERS

- James E. Henwood, President & Chief Executive Officer**
- Dale Coleman, Vice President- Business Growth & Development & CRO**
- Michelle DeMott, Vice President – Corporate Branding & Knowledge Management**
- Dwight Richards, Vice President - Operations**
- Michael Seder, Vice President Finance & Chief Financial Officer**

MANAGEMENT

- | | |
|---|--|
| Kim Lloyd, General Manager Barretts Sales & Racing | Renee Mendoza HR Director |
| Jim DeMonaco, Facilities Director | Ralph Schorbach, I.T. Manager |
| Karen Furlow, Controller | Debbie Vengco, Marketing & Ticketing Mgr. |
| Barry Gillies, Safety Services Manager | |

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

- James E. Henwood, 1101 W. McKinley Avenue, Pomona, CA 91768 Henwood@fairplex.com**
- Michael Seder, 1101 W. McKinley Avenue, Pomona, CA 91768 Seder@fairplex.com**
- Kim Lloyd 1101 W. McKinley Avenue, Pomona, CA 91768 lloyd@fairplex.com**

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted Player Pick - 5 Proposed percentage: 14%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager. **Request Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Race Days	Races Run	Handle/race
2012	\$ 67,835,280	115,089	13	138	\$ 491,560
2011	\$ 62,751,153	125,879	13	136	\$ 461,406
2010	\$ 75,177,170	143,214	15	175	\$ 429,584
2009	\$ 82,762,543	156,250	15	167	\$ 495,584
2008	\$ 99,942,912	190,346	16	185	\$ 540,232

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: \$2,743,792
 Prior meet actual: \$2,724,220

Average Daily Purse (7A1 ÷ number of days):

Current meet estimate: \$211,061
 Prior meet actual: \$209,555

2. Overnight stakes:

Current meet estimate:
 Prior meet actual:

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate:
 Prior meet actual:

3. Non-overnight stakes:

Current meet estimate: \$683,850
 Prior meet actual: \$650,100



June 6, 2013

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Ms. Wagner,

Pursuant to California Business and Professions Code section 19601.01, Barretts Race Meet, after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting take out as set forth below to be offered during Barretts Race Meet @ Fairplex 2013 season, September 6 – September 22:

- **Players Pick-5** – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on Los Angeles County Fair Association license application in Section 5A.

Please contact me if you have any questions.

Regards,

Kim Lloyd
General Manager Barretts Sales @ Racing

c.c. Joe Morris, TOC





TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
ED MOGER
AT LARGE
JOHN SADLER
AT LARGE

DIRECTORS

MADLINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCONLINE.COM

June 26, 2013

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner,

Fairplex Park has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day during its 2013 race meet subject to a 14% takeout. The TOC agrees to such a wager based upon the same terms and conditions as it was offered during the Fairplex Park 2012 race meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Jim Henwood
Kim Lloyd

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$3,427,642
 Prior meet actual: \$3,374,320

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$263,665
 Prior meet actual: \$259,563

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$249,051
 Prior meet actual: \$246,585

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$10,493	\$8,663
TOC	\$21,305	\$17,589
NTRA	\$5,000	\$4,811
PCQHRA		
CWAR		
ARAC	\$1,561	\$1,334
AMRA		
CHBPAPEN	\$31,797	\$26,253
CTHF	\$31,797	\$26,253
Total	\$101,953	Total \$84,902

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$3,778,646
 Prior meet actual: \$3,705,807

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$290,665
 Prior meet actual: \$285,062

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$2,773,152
 Prior meet actual: \$2,685,100

Average Daily Purse (7E ÷ number of days):
 Current meet estimate: \$213,319
 Prior meet actual: \$206,546

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$588,003
 Prior meet actual: \$569,333

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$45,231

Prior meet actual: \$43,795

G. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Bank - Account # On File

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730, 909-944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1200**
- B. Minimum number of stalls believed necessary for the meeting: **1600**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **800**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Hollywood Park - 300 Santa Anita - 500**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **On file**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c). **695 permanent; 350 portable**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

Total Cost: \$321,102 Cost per stall: \$22.30

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **Total cost: \$103,100**

Cost per horse:
Santa Anita \$180.00 round trip
Hollywood Park \$200.00 round trip
Los Alamitos \$180.00 round trip

9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format: N/A

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

	TYPE OF WAGERS	APPLICABLE RULES
Race #1	Thor, \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$1 US begins	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957, #1976
Race #2	Thor, \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$.50 Early PK-4	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957, #1978
Race #3	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$.50 PNP-5	CHRB #1958, 1959, #1979, #1977, #1979.1, #1957, #1976.9
Race #4	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$2 PNP-6, note #1	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957, #1976.9
Race #5	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957
Race #6	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$.50 PK- 4 note #2	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957, #1978
Race #7	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957
Race #8	Thor. \$2Q, \$1E, \$1TRI, \$1 PK-3, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957
Race #9	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957

Race #10	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957
Race #11	Thor. \$2Q, \$1E, \$1TRI, \$10 SF, \$2DD	CHRB #1958, #1959, #1979, #1979.1, #1957
Race #12	Thor. \$2Q, \$1E, \$1TRI, \$10 SF, note # 3	CHRB #1958, #1959, #1979, #1979.1
Race #13		

1 \$2 PNP-6 offered in the last six races daily.

2 Late \$1 PK-4 offered in the last four races daily.

3 We will also offer \$1 Super High Five wagering on the last race of the card according to ARCI Pick (n), Position(x) pools rules. Fairplex reserves the right to withdraw this specific request if such wager is deemed uncomplimentary to the wagering menu.

NOTE: On days with less races, the type of wagers offered based on this schedule will be rolled forward or backwards accordingly

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 22, 2014**

D. List any options requested with regard to exotic wagering: **None**

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:
Hollywood Park - 8:30am

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech, Terence McWilliams, Expiration 9/23/2013**

10. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:

Fairplex in cooperation with Thoroughbred Owners of California and via Monarch Content Management, LLC, has signed agreements in place with the following National Advanced Deposit Wagering (ADW) companies: TVG, XpressBet.com and Twin Spires.com for internet and telephone wagering (live operator and IVR - Interactive Voice Response) on Fairplex content and via Southern California customers (during Fairplex's season.)

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file.**

C. Have the contract/agreements been approved by the respective horsemen's groups?
Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **On file.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible

for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Southern California OffTrack Wagering, Inc.
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file with the CHRB.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
See Attached
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
See Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
See Attached
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
See Attached
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":
See Attached

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:
None

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **N/A**

Exhibit 11 C

Satellites

Alameda County Fair, Pleasanton
Antelope Valley Fair, Lancaster
Barona Resort & Casino, Lakeside
Big Fresno Fair, Fresno
Cabazon Fantasy Springs Casino, Indio
California State Fair & Expo, Sacramento
Fairplex Park, Pomona
Fresno Club One, Fresno
Golden Gate Fields, Albany
Hollywood Park, Inglewood
Humboldt County Fair, Ferndale
Kern County Fair, Bakersfield
Los Alamitos Racecourse, Los Alamitos
Monterey County Fair, Monterey
National Orange Show, San Bernardino
San Bernardino County Fair, Victorville
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Santa Anita Park, Arcadia
Shalimar Sports Center, Indio
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Sports Pavilion The Farmers Fair, Lake Perris
Stanislaus County Fair, Turlock
Sycuan Resort & Casino, El Cajon
The Jockey Club, San Mateo
Tulare County Fair, Tulare
Ventura County Fair, Ventura
Viejas Casino & Turf Club, Alpine

Exhibit 11 D

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantic City Race Course, New Jersey
 Atlantic Resort and Casino, Bahamas
 Atokad Park, South Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Belmont Gaming, New Hampshire
 Bet America, LLC, North Dakota
 Bet Pad, LLC. Ohio
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Blue Ribbon Downs, Oklahoma
 Bluff Runs Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Bwin International, Ltd.
 Calder Race Course, Florida
 Caliente, Mexico
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Caymanas Race Track, Jamaica
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Club Hipico Inturf, Venezuela
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Columbus Races, Nebraska
 Connaught Park, Canada
 Connecticut OTB, Connecticut
 Corpus Cristi Greyhound, Texas
 Cypress Bayou, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St.Thomas, St. Thomas
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Greentrack, Alabama
 GWS German Tote, Germany
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Hanover Raceway, Canada
 Harrington Raceway & Simulcast, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipodromo Camarero, Puerto Rico
 Hipodromo Presidente Remon, Panama
 Ho-Chuck Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 IP Tote, Venezuela
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lebanon Trotting Club, Ohio
 Lewiston Raceway, Maine

Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island
 Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Manor Downs, Texas
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Millennium Racing, Trinidad
 Millers OTB, Maine
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Northwest Racing Associates, Washington
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela Gold, South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Pinnacle Race Course, Michigan
 Plainridge Race Course, Massachusetts
Exhibit D Plainridge Telephones, Massachusetts
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Queensbury Downs, Saskatchewan
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Racing World UK, England
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Roberts Television International, NV
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Royal River Racing, South Dakota
 Royal Britannia Hub, Canada
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, West Virginia
 Saddle Brook Jockey Club, TX
 Saginaw Harness Raceway, MI
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Raceway, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Simulcast Services, Texas
 Skydancer Casino, Oklahoma
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Stampede Park, Alberta
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida

Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia
 The Meadows, Pennsylvania
 The Racing Channel, Pennsylvania

Exhibit D

The Stables, Oklahoma
 Thistledown Racing Club, Ohio
 Thunder Ridge, Kentucky
 Tioga Downs, New York
 Tote Investment Services, Trinidad
 Tri-State Greyhound, West Virginia
 Trois Rivieres, Canada
 Truro Raceway, Canada
 Turf de Venezuela, Venezuela
 TVG, Los Angeles
 TVG Yonkers, New York
 Turf Paradise, Arizona
 Turfway Park, Kentucky
 Twin Spires, Kentucky
 Valley Greyhound Park, Texas
 Vernon Downs, New York
 Watch and Wager, San Francisco
 Western Fair, Ontario
 Western Regional OTB, New York
 Wheeling Downs, Canada
 Will Rogers Downs, Oklahoma
 Windsor Raceway, Ontario
 Woodbine Entertainment Corp, Ontario
 Wonderland Park, Massachusetts
 Wyoming OTB, Wyoming
 XpressBet, Pennsylvania
 Yavapai Downs, Arizona
 Yonkers Raceway, New York
 YouBet Illinois, Illinois
 Zia Park, New Mexico

Exhibit 11 E

AmWest Entertainment, KY
 Arapahoe Park, Colorado
 Arima Race Course, Trinidad
 Arlington International Race Course, Illinois
 Assiniboia Downs, Canada
 Atlantic City Casino Assn., New Jersey
 Atlantic City Race Course, New Jersey
 Atlantic Resort and Casino, Bahamas
 Atokad Park, South Dakota
 Balmoral Park, Illinois
 Bangor Raceway, Maine
 Barrie Raceway, Ontario
 Belmont Gaming, New Hampshire
 Bet America, LLC, North Dakota
 Bet Pad, LLC. Ohio
 Beulah Park, Ohio
 Birmingham Race Course, Alabama
 Blue Ribbon Downs, Oklahoma
 Bluff Runs Greyhound, Idaho
 Bordertown OTB, Oklahoma
 Buffalo Trotting Association, New York
 Bwin International, Ltd.
 Calder Race Course, Florida
 Canterbury Park, Minnesota
 Capital District OTB, New York
 Catskill Regional OTB, New York
 Charles Town Races, West Virginia
 Chester Downs - Pennsylvania
 Churchill Downs, Kentucky
 Clinton Teletheatre, Canada
 Club Hipico Inturf, Venezuela
 Coeur d' Alene Casino, Idaho
 Colonial Downs, Virginia
 Columbus Races, Nebraska
 Connaught Park, Canada
 Connecticut OTB, Connecticut
 Corpus Cristi Greyhound, Texas
 Cypress Bayou, Louisiana
 Divi Carina Bay Casino, Louisiana
 Delaware Park, Delaware
 Delta Downs, Louisiana
 Dover Downs, Delaware
 Downs at Albuquerque, New Mexico
 Ebet Online, Oregon
 Elite Turf Club, Curacao
 Ellis Park, Kentucky
 Elmira Raceway, Ontario
 Equus St.Thomas, St. Thomas
 Euro Offtrack, Isle of Man
 Evangeline Downs, Louisiana
 Evansville OTB, Indiana
 Fair Chance Racing Services, Trinidad
 Fairgrounds Racecourse, Louisiana
 Fair Meadows, Texas
 Fairmount Park, Illinois
 Finger Lakes Race Track, New York
 Flamboro Downs, Ontario
 Fonner Park, Nebraska
 Fort Erie Racetrack, Canada
 Foxwoods Casino, Connecticut
 Fraser Downs, British Columbia
 Freehold Raceway, New Jersey
 Gillespie County Downs, Texas
 Greentrack, Alabama
 GWS German Tote, Germany
 Gulf Greyhound, Texas
 Gulfstream Park, Florida
 Hanover Raceway, Canada
 Harrington Raceway & Simulcast, Delaware
 Hastings Park, Canada
 Hawthorne Race Course, Illinois
 Hazel Park, Michigan
 Hiawatha Downs, Ontario
 Hipodromo Camarero, Puerto Rico
 Hipodromo Presidente Remon, Panama
 Ho-Chuck Casino, Connecticut
 Hoosier Park, Indiana
 Horsemen's Park, Nebraska
 Indiana Downs, Indiana
 IP Tote, Venezuela
 John Martins Manor, Maine
 Kawartha Downs, Ontario
 Keeneland, Kentucky
 Kentucky Downs, Kentucky
 Kentucky OTB, Kentucky
 Las Vegas Dissemination Company, Nevada
 Lebanon Trotting Club, Ohio
 Lewiston Raceway, Maine
 Lien Games, North Dakota
 Lincoln Greyhound Park, Rhode Island

Exhibit E

Lone Star Race Park, Texas
 Louisiana Downs, Louisiana
 Magna Bet, Austria
 Manor Downs, Texas
 Maronas Race Track, Uruguay
 Marquis Downs, Saskatchewan
 Maryland Jockey Club, Maryland
 Maywood Park, Illinois
 Meskwaki Casino, Oklahoma
 Millennium Racing, Trinidad
 Millers OTB, Maine
 Mohegan Sun, Pennsylvania
 Monmouth Park, New Jersey
 Montana OTB, Montana
 Monticello Raceway, NY
 Mountaineer Park, West Virginia
 Mt. Pleasant Meadows, Michigan
 Nassau Regional OTB, New York
 Nebraska State Fair Park, Nebraska
 Nevada Pari-Mutuel Association, Nevada
 NJSEA, New Jersey
 New Jersey Mobile, New Jersey
 New York Racing Association, New York
 Newport Jai-Alai, Rhode Island
 Northfield Park, Ohio
 Northlands Park, Canada
 Northville Downs, Michigan
 Northwest Racing Associates, Washington
 Oaklawn Park, Arkansas
 Ocean Downs, Maryland
 Offtrackbetting.com, Oregon
 Oneida Bingo and Casino, Oklahoma
 Ontario Teletheatre, Ontario
 Paragon Casino, Louisiana
 Penn National Race Course, Pennsylvania
 Philadelphia Park, Pennsylvania
 Phumelela Gold, South Africa
 Pojoaque Casino, Oklahoma
 Potawatomi Casino, Wisconsin
 Picov Downs, Canada
 Pimlico Race Course, Maryland
 Pinnacle Race Course, Michigan
 Plainridge Race Course, Massachusetts
 Plainridge Telephones, Massachusetts
 Pocono Downs, Pennsylvania
 Portland Meadows, Oregon
 Prairie Meadows, Iowa
 Premier Turf Club, North Dakota
 Presque Isle Downs, Pennsylvania
 Queensbury Downs, Saskatchewan
 Raceway Park, Ohio
 Racing2Day, North Dakota
 Racing and Gaming Services, St Kitts
 Racing World UK, England
 Remington Park, Oklahoma
 Retama Park, Texas
 Rideau Carleton Raceway, Canada
 Riders Up OTB, Oklahoma
 River Downs, Ohio
 Roberts Television International, NV
 Rockingham Park, New Hampshire
 Rocky Mountain Turf Club, Canada
 Royal Beach Casino, St Kitts
 Royal River Racing, South Dakota
 Royal Britannia Hub, Canada
 Ruidoso Downs, New Mexico
 Running Aces Harness Park, West Virginia
 Saddle Brook Jockey Club, TX
 Saginaw Harness Raceway, MI
 Sam Houston Park, Texas
 Sandy Downs, Idaho
 Saratoga Raceway, New York
 Scarborough Downs, Maine
 Scioto Downs, Ohio
 Seabrook Greyhound Park, NH
 Shoreline Star Greyhounds, Connecticut
 Simulcast Services, Texas
 Skydancer Casino, Oklahoma
 Sol Mutuel, St. George's, Grenada
 Southland Greyhound Park, Arkansas
 Sports Center, Kentucky
 Stampede Park, Alberta
 Sudbury Downs, Canada
 Suffolk District OTB, New York
 Suffolk Downs, Massachusetts
 Sunland Park Racetrack, New Mexico
 Sun Ray Park, New Mexico
 Tampa Bay Downs, Florida
 Taunton Dog Track, New Hampshire
 TBC Teletheatre, British Columbia

The Meadows, Pennsylvania
The Racing Channel, Pennsylvania
The Stables, Oklahoma
Thistledown Racing Club, Ohio
Thunder Ridge, Kentucky
Tioga Downs, New York
Tote Investment Services, Trinidad
Tri-State Greyhound, West Virginia
Trois Rivieres, Canada
Truro Raceway, Canada
Turf de Venezuela, Venezuela
TVG, Los Angeles
TVG Yonkers, New York
Turf Paradise, Arizona
Turfway Park, Kentucky
Twin Spires, Kentucky
Valley Greyhound Park, Texas
Vernon Downs, New York
Watch and Wager, San Francisco
Western Fair, Ontario
Western Regional OTB, New York
Wheeling Downs, Canada
Will Rogers Downs, Oklahoma
Windsor Raceway, Ontario
Woodbine Entertainment Corp, Ontario
Wonderland Park, Massachusetts
Wyoming OTB, Wyoming
XpressBet, Pennsylvania
Yavapai Downs, Arizona
Yonkers Raceway, New York
YouBet Illinois, Illinois
Zia Park, New Mexico

Exhibit E

Exhibit 11 F

Mini-Satellites

M1. Commerce Casino
6131 East Telegraph Road
Commerce, CA 90040
Phone: (323) 721-2100
Contact: Ralph Wong

M2. OC Tavern
2369 South El Camino Real
San Clemente, CA 92672
Phone (949) 542-8877
Contact: Micheal Merrigan

M3. Santa Maria
Original Roadhouse Grill
1423 S. Bradley Rd.
Santa Maria, CA 93454
Phone: (805) 614-0586
Contact John Tintle

M4. Santa Clarita Lanes
21615 W. Soledad Canyon Rd.
Saugus, CA 93454
Phone: (661) 254-0540
Contact Craig Goodman

M5. The Tilted Kilt (Opening Pending)
310 10th Ave.
San Diego Ca. 92101
Phone: (619) 814-5458
Contact Nick Santangelo

M6. Sammy's of Lake Forest (Opening Pending)
23221 Lake Center Drive
Lake Forest, CA 92630
Contact: Brad McKinzie

M7. Sulky Sullivan's (Opening Pending)
Farday Ave and El Camino Ave
Carlsbad, CA 92010
Contact Denny Kanakaris

Exhibit 11 G

"Selected Feature and / or Stakes Races"

Date	Track	Race	Grade	Purse	Distance
9/7/2013	Arlington	Arlington - Washington Lassie		\$100,000	1 Mile
9/7/2013	Arlington	Arlington - Washington Futurity	III	\$150,000	1 Mile
9/7/2013	Belmont	The Bowling Green BC	II	\$150,000	1 3/8 Miles (turf)
9/7/2013	Louisiana Downs	Unbridled Hcp		\$100,000	1 1/16 Mile (turf)
9/7/2013	Louisiana Downs	Temperance Hills		\$50,000	6 Furlongs
9/7/2013	Louisiana Downs	River Cities		\$100,000	1 1/16 Mile (turf)
9/7/2013	Louisiana Downs	The Happy Ticket		\$105,000	1 1/16 Mile (turf)
9/7/2013	Louisiana Downs	Sunday Silence		\$150,000	1 1/16 Mile (turf)
9/7/2013	Louisiana Downs	Super Derby	II	\$500,000	1 1/8 Miles
9/8/2013	Belmont	Ashley T. Cole		\$100,000	1 1/8 Miles (turf)
9/9/2013	Emerald Downs	Muckleshoot Tribal Classic		\$40,000	1 1/16 mile
9/7/2013	Arlington	Pucker Up	III	\$175,000	1 1/8 Miles (turf)
9/14/2013	Belmont	The Garden City BC	I	\$100,000	1 1/8 Miles (turf)
9/14/2013	Calder	Judys Red Shoes		\$75,000	1 1/16 Mile (turf)
9/14/2013	Calder	Needles Stakes		\$75,000	1 1/16 Mile (turf)
9/14/2013	Calder	Brave Raj		\$100,000	1 Mile 70 yds
9/14/2013	Calder	Foolish Pleasure Stakes		\$100,000	1 Mile 70 yds
9/15/2013	Woodbine	Woodbine Mile	I	\$1,000,000	1 Mile
9/15/2013	Woodbine	Northern Dancer BC Turf	I	\$500,000	1 1/2 Mile (turf)
9/21/2013	Belmont	The Gallant Bloom	II	\$150,000	6 1/2 Furlongs
9/21/2013	Louisiana Downs	Lady Razorback Futurity		\$50,000	6 Furlongs
9/21/2013	Louisiana Downs	Razorback Futurity		\$50,000	6 Furlongs
9/21/2013	Louisiana Downs	LA Stallion Stakes		\$100,000	7 Furlongs
9/21/2013	Louisiana Downs	LA Stallion Stakes		\$100,000	7 Furlongs
9/21/2013	Louisiana Downs	Elge Raspberry Sales		\$150,000	1 Mile (turf)
9/21/2013	Louisiana Downs	A.L. Erwin Sales		\$150,000	1 Mile (turf)
9/22/2013	Assiniboia	The Buffalo Stakes		\$50,000	1 Mile

Full & Partial Cards

Arlington Park: September 5, 2013 - September 23, 2013
 Belmont Park: September 6, 2012 - September 23, 2012
 Calder Race Course: September 5, 2013 - September 23, 2013
 Delaware Park: September 5, 2013 - September 23, 2013
 Emerald Downs: September 5, 2013 - September 23, 2013
 Finger Lakes: September 5, 2013 - September 23, 2013
 Gulfstream Park: September 5, 2013 - September 23, 2013
 Hoosier Park: September 5, 2013 - September 23, 2013
 Laurel Park: September 5, 2013 - September 23, 2013
 Louisiana Downs: September 5, 2013 - September 23, 2013
 Monmouth Park: September 5, 2013 - September 23, 2013
 Mountaineer Park: September 5, 2013 - September 23, 2013
 PARX Racing: September 5, 2013 - September 23, 2013
 Penn National: September 5, 2013 - September 23, 2013
 Presque Isle Downs: September 5, 2013 - September 23, 2013
 Suffolk Downs: September 5, 2013 - September 23, 2013
 Thistledown: September 5, 2013 - September 23, 2013
 Turfway Park: September 5, 2013 - September 23, 2013

Woodbine: September 5, 2013 - September 23, 2013
 Assiniboia Downs: September 5, 2013 - September 23, 2013
 Hastings Park: September 5, 2013 - September 23, 2013
 Northlands Park: September 5, 2013 - September 23, 2013
 LARC (South America) via Maryland Hub: September 5, 2013 - September 23, 2013
 LARC (South America) via Calder Hub: September 5, 2013 - September 23, 2013

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Dana Stead
Clerk of Scales	Charles McCaul
Clerk of the Course	Lisa Jones
Film Specialist	Cheryl White
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Ken Goldberg
Patrol Judges	Myra Troske
	Cheryl White
	Heather Correa
Placing Judges	Kevin Colosi
	Matt Nichols
Starter	Jay Slender
Timer	Jim Porep

B. Management officials in the racing department:

Director of Racing	Kim Lloyd
Racing Secretary	Tom Knust
Assistant Racing Secretary	Zachary Soto
Paymaster of Purses	Bridget Crawford
Others (identify by name and title)	
Racing Consultant	Tom Robbins
Racing Supervisor	Terry Gilligan
Jockey Room Custodian	Charles McCaul
Mutuel Manager	Bill Navarro
Safety Manager	Barry Gillies
Announcer	Trevor Denman
Track Superintendent	Steve Wood
Director of Labor Relations	Ken Walker

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Barbara Weinstein, P.O. Box 5866, Huntington Beach, CA 92615, bweinstein@socal.rr.com
714-964-7102**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

William D. O'Brien, Plusmic Corp USA Expiration date of contract: **12/31/13**

- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Pegasus Communications Expiration date of contract: **4/30/19**

One pan camera located in the Grandstand crow's nest near the Finish Line. Tower cameras are located at the 1/8 Pole, 9/16 Pole and 1/4 Pole. In addition, we have a fixed camera on the Starting Gate and 3 additional handheld cameras at the Paddock, Winner's Circle and the Starting Gate.

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Datalink Track System

Provided by Pegasus Communication

Jim Porep

Expiration Date of Contract:

(951)-789-9333

Timing cells – (16) 42 MRR – 5,000 receiving cells

(16) 42 MRR – 5,000 light source cells

Owned and operated by Fairplex

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

- *Dwight Richards, Vice-President Operations* 909-865-4202
- *Barry Gillies, Safety Services Manager* 909-865-4186
- *Lt. Anthony Casella, CHRB Security Supervisor* 909-865-4654

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

37 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

See Attached Security Plan

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

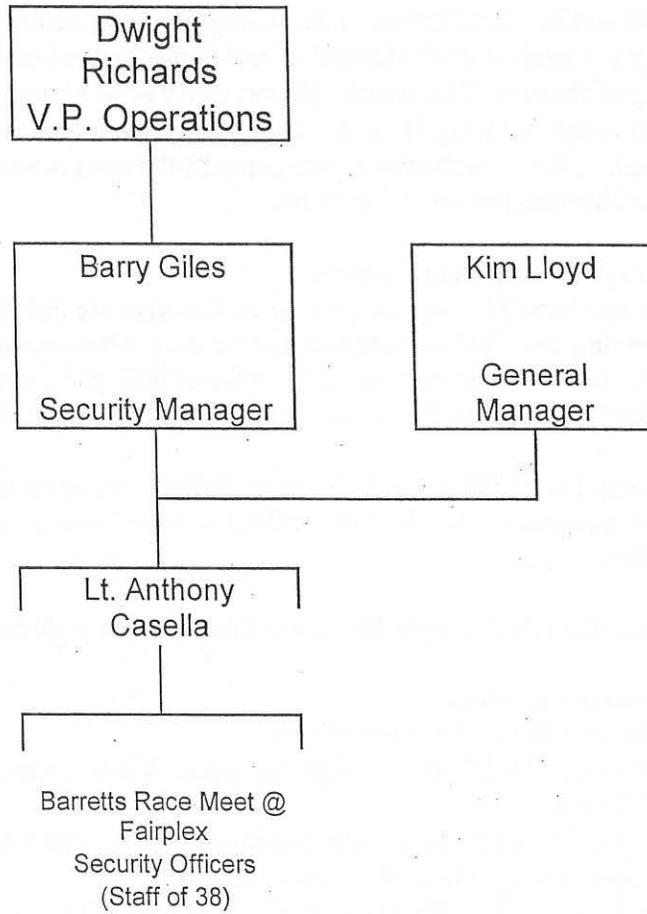
See Attached Security Plan

- B. Number of security guards in the detention stall area during a 24-hour period.

See Attached Security Plan

- C. Describe number and location of surveillance cameras in detention stall area.

**BARRETTS RACE
MEET**
Security
June 3, 2013



Security Controls

Paragraph B (1) Surveillance of horses in races with a purse of \$100,000 or more:

Fairplex will provide payroll and administrative services for Everlast Protection Services, coordinator for this project. "In today" horses in the Barretts Juvenile, Debutante and Ralph M Hinds Stakes will be subject to surveillance. The CHRB will provide hand-held cameras and Everlast Protection Services will be responsible for hiring non-union security to remain outside the stall of each horse in these races 6 hours prior to the running of the race. The security person assigned to a horse will escort the horse from the stall to the receiving barn. Anytime an authorized person enters the stall within the 6 hour period, the security person must operate the camera and memorialize the interaction of the authorized person and the horse.

Paragraph B (2) Detention Barn

A) Barretts Barn 7 is our detention barn. Cameras are installed and will be operational every racing day. Video tapes will also be available for review by the stewards at their request. Upon written instruction from the CHRB, trainers may be required to place their "in today" horse in the detention barn 24 hours prior to the race.

With regard to TCO2 detention barn procedures, protocols and disciplinary procedures as set forth in agreements with TOC and CTT, these items are detailed in the TOC agreement.

B) Three Guards cover the Detention Barn, one per eight hour period.

C) Camera Locations

Camera surveillance locations cover:

- West Gate: Fixed Camera. Web broadcast. View of vehicle entering into restricted CHRB area.
 - East Gate: Fixed Camera. Web broadcast. View of vehicles exiting out of CHRB area.
 - Triangle Parking: General coverage of parking lot.
 - Daily Double: Two Fixed Cameras. Web broadcast. View of entrances and mutual windows.
 - Barretts Barn 7: Camera coverage of all 6 stalls and outside East/West stalls sides
- These cameras monitor for activities in these locations and are recorded for a specific number of days. Monitoring of surveillance cameras are done at Backside Security office, the West Gate, and Security Headquarters.

Holding Barn

Barretts Barn 7 is our holding barn. All horses shipping in, except those accommodated by trainers assigned stalls, will be housed in the holding barn while on the grounds at Fairplex Park.

Shockwave Therapy Barn

A stall in Barretts Barn 7 will be assigned for this procedure. All horses receiving this treatment must register and use this stall.

See Attached Security Plan

3. TCO2 Testing:

- A. Number of races to be tested and number of horses entered in each race to be tested.
At the direction of Equine Medical Director
- B. Plan for enhanced surveillance for trainers with high-test results.
Trainers with high test results and who enter their horses in a race will have those horses placed in the detention barn in accordance with attached Security Plan.
- C. Plan for detention stalls for repeat offenders.
All horses entered in races by repeat offenders will be placed in the detention barn in accordance with attached Security Plan.
- D. Number of security personnel assigned to the TCO2 program.
Up to three See attached Security Plan.

C. Describe the electronic security system.

Money room in the Grandstand Mezzanine has magnetic connections at each opening. There are also interior motion detectors. These systems are monitored by a central alarm station located at Gate 1, which is manned by Security 24 hours a day.

- 1. Location and number of video surveillance cameras for the detention stall and stable gate.
See attached Security Plan.

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Workouts:

Cole-Schaefer Ambulance Service, 324 N. Towne Avenue, Pomona, CA 91767

Emergency Telephone No.: 800-966-4727 / 909-622-1273

Regional Manager – Albert Cisneros, cell: 909-238-8413

Running of the races:

Cole-Schaefer Ambulance Service, 324 N. Towne Avenue, Pomona, CA 91767

Emergency Telephone No.: 800-966-4727 / 909-622-1273

Regional Manager – Albert Cisneros, cell: 909-238-8413

- 1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Certification Attached

Cole-Schaefer Ambulance Service:

There will be 2 paramedics on each of the 2 units that are on track/ apron also there will be at least 2 in the first aid station. The Doctor sits around track while races are going. 6 paramedics minimum Depending on the nature of the call, if ALS transport is required patient would be transported with our ALS units (2 Paramedics staffing) or with one of our BLS units along with Los Angeles County Fire Paramedic on board (2 EMTs &



May 23, 2013

1101 W McKinley Ave
Pomona, CA 91768

Dear Sir or Madam:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Cole-Schaefer Ambulance Service, Inc. are trained, background checked and state certified in order to fulfill their job as authorized by the State of California Emergency Medical Services Authority and the Los Angeles County Department of Health Services Emergency Medical Services Agency.

Susie McBride

Human Resources

Cole-Schaefer Ambulance

1 Paramedic) Patient will always be transported in the county of Los Angeles with at least one Paramedic if the transport is assessed to be an ALS transport (a Paramedic will always do the initial assessment and decide if the patient is an ALS transport or BLS transport)

If patient requires a BLS transport (2 EMTs on board).

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Santa Anita – AmbuServe Inc. 15105 S Broadway, Gardena, CA 90248 310-644-0500
Hollywood Park - McCormick Ambulance 13933 Crenshaw Blvd. Hawthorne, CA 90250
888-349-8944**

2. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certifications Attached

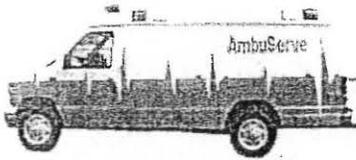
AmbuServe Inc.:

An ALS Unit has two Paramedics and a BLS Unit would have two EMTs. At this time we are providing an ALS ambulance and we provide two EMTs for the First Aid Station without an ambulance.

McCormick Ambulance:

Each ALS Paramedic unit is staffed with 2 Paramedics. In Los Angeles County, a paramedic can only function as a Paramedic when working with a 2nd Paramedic. The county does allow 1 Paramedic and 1 EMT but ONLY for pre-arranged inter-facility transports. Otherwise, a Paramedic working with an EMT can only function as an EMT.

- C. Describe the on-track first aid facility, including equipment and medical staffing:
See attached Security Plan-Emergency Care and Medical Facilities
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
See attached Security Plan-Emergency Care and Medical Facilities
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
See attached Security Plan-Emergency Care and Medical Facilities
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See attached Security Plan-Emergency Care and Medical Facilities
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
See attached Security Plan-Emergency Care and Medical Facilities
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached



AmbuServe
Ambulance



May 14, 2013

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

AmbuServe, Inc

15105 S. Broadway

Gardena, CA 90248

310-644-0500

Thank you,

Traci Taylor

General Manager

A handwritten signature in cursive script, appearing to read "Traci Taylor".



McCormick
AMBULANCE

13933 Crenshaw Blvd. Hawthorne Ca. 90250 Office (310)349-8901 FAX (310)219-0713

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by McCormick Ambulance Service are trained, background checked and state certified and or licensed in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority and the Los Angeles Department of Health Services.



A handwritten signature in black ink, appearing to read "Mike Henderson".

Mike Henderson
Vice President

14-C

Los Angeles County Fair Emergency Care and Medical Facilities

The Los Angeles County Fair provides emergency care which allows for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Staffing

Two Emergency Paramedics from Cole Schaefer Ambulance Service staff are located in an on-track ambulance that follows the horses during each race. This ambulance and crew are present whenever horses are on the track and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

During training hours Cole Schaefer Ambulance Service executes the same procedure as above as well as are the primary service covering the Fairgrounds outside of training hours.

Cole Schaefer is in charge of the First Aid building located on the southeast side of the grandstand clubhouse. There are two ambulances and a golf cart with gurney for transport capabilities. Cole Schaefer follows the protocols of Los Angeles County fire rescue.

A licensed Physician is on duty and is responsible for care on an ongoing basis of jockeys, track staff and allied personnel requiring non-emergency medical care. The staffing of the physician is on a rotating basis with doctors supplied through the staff at Western University in Pomona, California (909-469-5683) and contracted through Cole Schaefer.

Equipment

9 Beds

Oxygen at each station

AED

Otoscope

Ophthalmoscope

12 Lead Monitors

14-D-E**Physical Resources**

The Los Angeles County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

14 D. The staffing of the physician is on a rotating basis with doctors supplied through the staff at Western University in Pomona, California (909-469-5683) and contracted through Cole Schaefer. Dr. David G. Brown 909-238-8413 is the assigned physician. The First Aid office is 909-865-4370.

14 E. Pomona Valley Medical Center
1798 N. Garey Ave.
Pomona, CA 91767
909-865-9611

14-F/English

Los Angeles County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the Paramedics responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the ambulance access point on the track to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

14-F/Spanish, 14-G

Procedimiento en caso de Accidente en Los Angeles County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompañar a los familiars a los hospitals, de ser el caso. Al respectó, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

- 14 G. Health and Safety Manager: Barry Gillies 213-276-3150 (cell)
 Assistant Manager: Simon Concepcion 909-263-4559 (cell)
 Alternate Manager: Mike Seder 909-286-3120 (cell)



COUNTY OF LOS ANGELES

FIRE DEPARTMENT

FIRE PREVENTION DIVISION

EAST REGION POMONA

590 S. PARK AVE.

POMONA, CA 91766

TEL: 909-620-2216 FAX: 909-620-2086

Inspector W. Johnson
East Region Pomona, Fire Prevention
590 S. Park Ave.
Pomona, Ca. 91768

Date: 5-28- 2013

Mr. Kirk E. Breed
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, Ca. 95825

SUBJECT: INSPECTION REPORT- STABLE AREAS AT THE LOS ANGELES COUNTY
FAIRPLEX

Dear Mr. Breed,

This letter is to inform you that the County of Los Angeles Fire Department has completed the annual fire inspection of the stable areas at the Los Angeles County Fairplex.

At this time all fire and or life safety violations are currently being addressed, and or have been corrected in accordance with the Los Angeles County Fire Department Codes and ordinance, Title 32.

In accordance with regulations adopted by the California Horse Racing Board, this letter may be accepted as a fire and life safety clearance from the East Region Fire Prevention Pomona Office of the Los Angeles County Fire Department for the race season scheduled for the fall season of 2013.

If you have any questions or need additional information please contact,

Captain Byron Prewett
Fire Prevention Inspector Warren Johnson
East Region Fire Prevention Pomona Office
(909) 620-2216

Sincerely,

Warren R. Johnson 16-C

Fire Prevention Inspector Warren R. Johnson 16-C
E.R.F.P. Pomona Office

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Great Divide Insurance Co/Berkley Specialty
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
Cornucopia Food, LLC at Fairplex, 1101 W. McKinley Ave. Pomona, CA
- B. Does the fair plan to provide its own concessions? Yes No

16. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
Detailed Marketing Plan sent under separate cover.
- B. Promotional/ Marketing budget for this race meeting:
\$235,000
- Promotional/Marketing budget for prior race meeting:
\$222,500
- C. Number of hosts and hostesses employed for meeting:
We employ approximately 25 customer service representatives on weekdays (Wednesday, Thursday), and 35 on weekends (Friday, Saturday, Sunday).
- D. Describe facilities set aside for new fans:

- o At Fairplex Park, we are geared for new fans by nature of the L.A. County Fair and the 1.5

NUMBER : 2242 - ZF

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That

O.R.G. Restaurants, LLC
(Name of Affiliate)

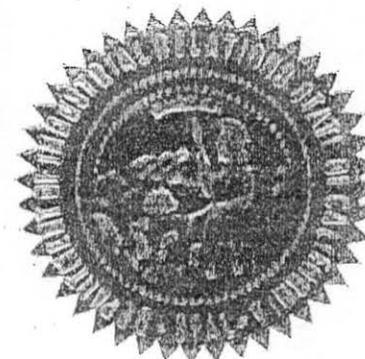
Cimmarusti Holdings, LLC
(Master CertificateHolder)

has complied with the requirements of the Director of Industrial Relations under the provisions of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure, holder of Master Certificate No, 2242.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE DATE : June 1, 2003

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



Jon Wroten, Chief

Christine Baker, Director

*Revocation of Certificate.--"A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of solvency of such employer, the inability of the employer to fulfill his obligations, or the practice of such employer or his agent in charge of the administration of obligations, under the this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him."(Section 3702 of Labor Code.) The Certificate may be revoked for non compliance with Title 8, California Administrative Code, Group 2 -- Administration of Self Insurance

million people it attracts.

- In addition to the many and diverse food and beverage eateries on the Fairgrounds, Fairplex Park offers uniqueness and variety for F&B options at the racetrack. We have designed places to have fun with a group of friends, business associates and places to meet new folks. Here's a run-down of where to go for the type of fun you're looking for:
- **Finish Line Sports Bar and Grill:** Enjoy exciting wagering, all major sporting events plus great food and more than 70 big screen TV's. Finish Line Sports Bar and Grill features comfortable seating indoors and outdoors, open every day. Located at Gate 12.
- **The Terrace at Fairplex Park:** This is a popular group dining area with a close-up view of the racing action right on the clubhouse turn. Savor a delicious carving-station buffet lunch at your reserved, comfortable table. Restrooms, a full-service bar and wagering amenities are easily accessible and service with a smile comes at no extra charge.
- **Stute's Sports Bar:** September is Sports Month at Fairplex Park. Watch all the games from the road to the baseball playoffs, college and professional football (NFL Ticket), golf, and the best sport of them all, Horse Racing. Over 10 plasma televisions and one giant projection screen is a sure bet to cheer your team or your horse on to victory. Located at the west end of the grandstand mezzanine, Stute's offers both hot snacks and cold drinks for whatever your mood.
- **Box Seats at Fairplex Park:** Located right in front of the racing action, this is the perfect place to kick back and enjoy the races with your friends. Pari-mutuel and food and beverage service brought right to your seat.
- **Longboard Bar:** Newly renovated and where the action is! This is the hot spot for owners and trainers to hang out before and after the races. Located adjacent to the saddling paddock, saddle up to the bar or sit down and enjoy a burger or a dog from the grill. Just maybe you'll hear the name of the next race winner!
- **Top of the Park Terrace:** The Terrace features canopied outdoor seating, Top of the Park menu-dining, friendly service, your own tabletop monitors and a majestic, panoramic view of Fairplex Park and the beautiful San Gabriel Mountains. Located high above the clubhouse turn, you'll see every step of the race from this spectacular vantage point!
- **Top of the Park Restaurant:** Make reservations early! This is the most popular dining at the track. You'll have your own table with plenty of large TV monitors for easy viewing. The bar is always a happening place, so play the ponies and celebrate with your friends until the last race.
- **Sky Boxes:** From the highest perch on the racetrack, fans will be able to socialize in an intimate environment with everything at their fingertips. Wagering access, plasma televisions, a live panorama view of the races, and food and beverage service all in your private box. Seating for groups of up to 20 people.
- **Tiki Lounge:** Our newest wagering area is the Tiki Lounge, a covered area with 60" flat screen monitors and Beginner Better Hostesses.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

1. Horsemen
Ongoing repairs and maintenance.
2. Fans
Ongoing replacements of television monitors with flat panel monitors and seating areas.
3. Facilities in the restricted areas
Repairs and regular maintenance.

17. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

General Admission Adults	\$12 weekdays/\$19 weekends
General Admission Child 6-12	\$ 8 weekdays/\$12 weekends
General Admission Seniors 60+	\$ 6 Senior Days/\$ 10 weekdays/\$15 weekends
Adult purchased through Group Sales	\$ 10 (20 or more)
Child purchased through Group Sales	\$ 6
RaceFan ClubCard	\$ 8
Box Seats	\$ 5 Daily, Season \$39
General Parking Wed - Fri	\$12
General Parking Sat - Sun	\$15
Valet Parking (hotel lot only)	\$30
Programs On-Track	2.50
Programs Off-Track	2.50

B. Describe any "Season Boxes" or other special accommodation fees:

Described above

C. Describe any "package" plans such as combined parking, admission and program:

Season Admission Pass – Daily pass with free parking upgrades - \$29.99

Season Parking Pass - \$60.00

18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys:

12' x 11' lounge with air conditioning and closed circuit TV. 11' x 13' restroom with shower, sauna and 4 cubicles. Located between Paddock and Food and Beverage Area

19. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: **0**

C. Number of restrooms available on the backstretch of the racetrack: **26**

D. Estimated ratio of restrooms to the number of backstretch personnel: **26/1000**

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 3,294.46 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
30% coarse, 50% fine sand, 10% clay, 5% silt, and 4% organic
- C. The percent of cross slope in the straight-aways is:
3 percent
The percent of cross slope in the center of the turns is:
6 percent
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Rail designed per specifications of the California Horse Racing Board.

Metal gooseneck supports with sheet metal cover.

Aluminum face of rail – 25" overhang

Approximate height of the top of inner railing from the level of the race course – 40"

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Steve Wood
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On File
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
N/A

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions

- B. Attach each horseman's agreement pursuant to CHRB Rule 2044. **In negotiations**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

MICHAEL D - SEDER
 Print Name


 Signature

CFO
 Print Title

6/6/13
 Date

STAFF ANALYSIS
July 18, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SAN JOAQUIN COUNTY FAIR AT STOCKTON SEPTEMBER 18-29, 2013.

San Joaquin Fair filed its application to conduct a horse racing meeting at Stockton:

September 18-29, 2013, or 6 days, two less than 2012. The fair proposes to race a total of 70 races, 16 less than 2012. San Joaquin County Fair will simulcast only on September 18, 19, 25 and 26 and begin live racing on September 20, 2013.

September - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

- Racing Friday, Saturday and Sunday. 11 races per day Friday and 12 races per day Saturday and Sunday.
- Number of horses available determines the number of daily races programmed by breed.
 - 2012 Race Meeting: Average number of runners per race (TB): 6.92
 - 2012 Race Meeting: Average number of runners per race (Arabian): 7.00
 - 2012 Race Meeting: Average number of runners per race (Quarterhorse): 7.80
 - 2012 Race Meeting: Average number of runners per race (Mules): 7.33
- First post 1:15 p.m.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Wagering program will use CHRB rules and ARCI rules.
 - Pick 4 on first 4 races and last 4 races – Pick five on last 5 races major share 100% of pool.
 - Pick 6 on last 6 races – 70% to major pool or carryover and 30% to minor pool.
 - Place pick N will begin daily on race 1.
 - Super High Five on the last race - No consolation 100% carryover.
 - San Joaquin Fair requests the option to offer an alternate selection on all multi-race wagers except Daily Double and Pick 3.
 - Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are Bet Fair/TVG, TwinSpires and XpressBet.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed prior to race meet.
- Track safety inspection has been requested and will be completed prior to race meet.

ITEMS STILL NEEDED

1. Fire clearance

RECOMMENDATION:

Staff recommends the Board approve the application contingent upon submission of the fire clearance.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

San Joaquin County Fair
1658 S. Airport Way
Stockton, Ca 95206
209-466-5041; Fax: 209-466-5739

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Janet Covello; 209-466-5041; jcovello@sanjoaquinfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **September 18 – September 29, 2013**

B. Actual dates racing will be held: **September 20 – 22 and September 27 - 29**

C. Dates racing will NOT be held: **No Live Racing or Simulcast Racing will be held on September 23 & 24.**

D. Total number of racing days: **6 live days; 4 simulcast days Wednesdays Sept 18 and 25 & Thursdays Sept 19 and 26.**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) Fri - Sun

CHRB CERTIFICATION

Application received: *6/24/13*
Reviewed: *[Signature]*

Hearing date: *7/18/13*
Approved date:
License number:

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

A. Names of the fair directors:

Nanette Martin	President
Joe Valente	Vice President
Tom Sawyer	Member
David Cultrera	Member
Tori Verber-Salazar	Member
Joe Valente	Member
Carolyn Van Exel	Member
Sam Fant	Member
Christopher Flaherty	Member

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

- Tom Sawyer- Committee Chair**
- James Jimenez-Member**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

Janet Covello	Interim Chief Executive Officer
Bryan Wayte	Pari-Mutuel Manager
Frank Mirahmadi	Track Announcer and Morning Line Maker
Jack Hatch	Stable Superintendent
Tammy McDuffie	Steward's Aide
Steve Wood-Trackmaster-	Track Foreman

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Janet Covello – Interim Chief Executive Officer jcovello@sanjoaquinfair.com
 1658 S. Airport Way, Stockton, Ca 95206
Larry A. Swartzlander – Director of Racing larry@calfairs.net
 1776 Tribute Road, Suite 205
 Sacramento, Ca 95815

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage:

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

- 1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2012 (8 Days)	10,505,037	34,567
2011 (4 Days)	6,756,715	25,382
2010 (5 Days)	6,792,867	23,074
2009 (9 Days)	16,891,616	53,833
2008 (9 Days)	16,168,315	42,602

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: **\$580,488**
 Prior meet actual: **\$674,479**

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: **\$96,748**
 Prior meet actual: **\$84,309**

- 2. Overnight stakes:
 Current meet estimate: \$50,000
 Prior meet actual: \$50,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$6,250
 Prior meet actual: \$6,250

- 3. Non-overnight stakes:

Current meet estimate: \$ -0-

Prior meet actual: \$ -0-

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$630,488
 Prior meet actual: \$724,479

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: \$ -0-

Prior meet actual: \$ -0-

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$54,401

Prior meet actual: \$63,257

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT- 1,477

1,718

TOC- 2,956

3,437

NTRA- 0

725

PCQHRA- 865

1,006

ARAC- 1,445

1,680

AMRA- 1,895

2,204

CHBPAPEN- 4,433

5,155

CTHF- 4,433

5,155

Total- 17,504

Total- 21,080

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$ 702,393

Prior meet actual: \$808,816

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$117,066

Prior meet actual: \$101,100

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$529,368

Prior meet actual: \$615,544

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$88,228

Prior meet actual: \$76,943

- F. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 100,944

Prior meet actual: **\$117,377**

Average Daily Purse (7F ÷ number of days):

Current meet estimate: **\$16,824**

Prior meet actual: **\$14,674**

- G. Bank and account number for the Paymaster of Purses' purse account:
West America Bank (CARF/TOC account) Account number on file with CHRB.
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA 95864 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **695**
- B. Minimum number of stalls believed necessary for the meeting: **1795**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,124**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
Alameda County Fair- 684 **Golden Gate Fields- 1440**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
NCOTWINC Stabling and Vanning Fund agreement on file with CHRB.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c). **695 Permanent Stalls, Plus 200 Portable Stalls**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$8.58 for Pleasanton and \$7.11 for Golden Gate

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **-0-**

9. PARI-MUTUEL WAGERING PROGRAM

A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
 On File at CHRB.

B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.50 PF)	ARCI 004-105
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9
PK 6	(2PNP6)	1976.9
PLACE PICK	(1PPN)	1976.8
ALL		

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN%	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.8
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$2PNP6 \$1PPN%	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, CHRB 1976.9
Race #5	\$1E, \$1PK3, \$1TRI,	CHRB #1959, CHRB #1977, CHRB #1979,

CHRB-18 (Rev. 07/11)

	\$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.9, CHRB 1976.9,
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.9, CHRB #1976.9
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.9, CHRB#1976.9
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.50 Super High 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.9 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50 Super High 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976, CHRB #1979.9 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #12	\$1E, \$1TRI, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB 1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 29, 2013 (Closing Date of San Joaquin County Fair Meet)**

D. List any options requested with regard to exotic wagering:

Pick 4 races will be first 4 and last 4 races daily

Pick 5 will be on last 5 races daily (Major share 100% of pool)

Pick 6 will be on last 6 races daily (70% to major pool or carryover and 30% to minor pool)

Place Pick N will begin daily on Race 1

Super High 5 will be held on last race each day (No consolation; 100% carryover)

We are addressing being able to offer a \$30,000 guarantee on the late Pick 4 each racing day

We request offering the option of an alternate selection on all multi-race wagers except DD and Pick 3.

E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech Inc., California Service Contract expires September 2015.**



May 15, 2013

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following Updated CARF Wagering format is provided. The change is that the RCI has not approved a rule for a 10 Cent pic six. The pic six wager at all CARF fairs will be 2\$ as it was in previous years.

9A: CARF wagering format required:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS \$1 E; \$1 Double	APPLICABLE RULES CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN%	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.8
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB 1976.8, ARCI 1976.9
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 1976.9
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 1976.9
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 1976.9
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 1976.9
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS

[Type text]

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Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #12	\$1E, \$1TRI, \$.10SF, \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 T-Pick (N) POSITION (X) POOLS

This format will be used at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Bet Fair/TVG, TwinSpire, XPressBet
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. On file with CHRB.
- C. Have the contract/agreements been approved by the respective horsemen's groups?
Yes No
- If yes, attach a copy of the approval. On file with CHRB.
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off Track Wagering Inc.
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On file
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
Bankers Casino, Salinas
Big Fresno Fair, Fresno
California State Fair & Exposition, Sacramento
Club One, Fresno
Golden Gate Fields, Albany

Jockey Club at San Mateo, San Mateo

Kern County Fair, Bakersfield

Monterey County Fair, Monterey
San Joaquin County Fair, Stockton
Santa Clara County Fair, San Jose
Shasta District Fair, Anderson
Solano County Fair, Vallejo
Sonoma County Fair, Santa Rosa
Stanislaus County Fair, Turlock

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio
Commerce Casino Racebook, Commerce
Derby Club, Seaside Park, Ventura
Fairplex Park, Pomona
Fantasy Springs Casino, Indio
Los Alamitos Race Course, Los Alamitos
OC Tavern & Sports Bar, San Clemente
Road House Grill, Santa Maria
Santa Anita Park, Arcadia
Santa Claritas Lanes, Santa Claritas
Shalimar Sports Center, Indio
Sports Center, San Bernardino
Sports Pavillion at The Farmers Fair, Lake Perris
Sports Pavillion, San Bernardino Cty. Fair, Victorville
Surfside Race Place at Del Mar, Del Mar
Sycuan Gaming Center, El Cajon
Viejas Casino & Turf Club, Alpine



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

March 20, 2013

Mr. Larry Swartzlander
Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, CA 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as TOC's authorization for the California Authority of Racing Fairs ("CARF") to transmit the audiovisual signal of CARF Thoroughbred races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to TOC by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2013, continuing through October 15, 2013.

As a condition of TOC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW," CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, CARF shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with CARF to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,

Richard Scheidt
Northern California
Director of Operations
Thoroughbred Owners of California

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
GEORGE KRİKORIAN
SECRETARY/TREASURER
ED MOGER
AT LARGE
JOHN SADLER
AT LARGE

DIRECTORS

MADELINE AUERBACH
BOB BAFFETT
DENNIS CARDOZA
MARK DEDOMENICO
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EXECUTIVE STAFF

LOU RAFFETTO
PRESIDENT
KELLÉE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

RECEIVED
CHRB

2013 APR -3 PM 12:38

March 20, 2013

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as AMRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2013, continuing through October 14, 2013.

As a condition of AMRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

Don Jacklin
April 3, 2013

[Type text]

[Type text]

RECEIVED
CHRB

2013 APR -3 PM 12:38

March 20, 2013

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as ARAC's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2013, continuing through October 14, 2013.

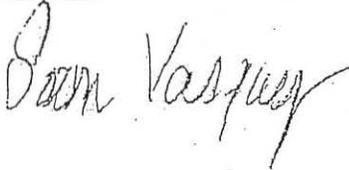
As a condition of ARAC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez, ARAC

Chairperson



[Type text]

[Type text]

April 2, 2013

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fair's Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as PCQHRA's authorization for the California Authority of Racing Fair's (CARF) to transmit the audiovisual signal of CARF Quarter Horse races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to PCQHRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2013, continuing through October 14, 2013.

As a condition of PCQHRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. PCQHRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. PCQHRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,



Dominic Alessio
President

[Type text]

[Type text]

Tulare County Fair, Tulare

Watch & Wager, Antelope Valley Fgds, Lancaster

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

DOMESTIC	
ARLINGTON	9/18-9/29
BELMONT	9/18-9/29
CALDER RACECOURSE	9/18-9/29
CANTERBURY DOWNS	9/18-9/29
CHARLES TOWN	9/18-9/29
CHURCHILL DOWNS	9/18-9/29
COLONIAL DOWNS	9/18-9/29
DELAWARE	9/18-9/29
EMERALD DOWNS	9/18-9/29
EVANGELINE DOWNS	9/18-9/29
INDIANA DOWNS	9/18-9/29
LONE STAR	9/18-9/29
LOUISIANA DOWNS	9/18-9/29
MONMOUTH	9/18-9/29
MOUNTAINEER	9/18-9/29
PENN NATIONAL	9/18-9/29
PHILADELPHIA PARK/PARX	9/18-9/29
PIMLICO	9/18-9/29
PRAIRIE MEADOWS	9/18-9/29
PRESQUE ISLE DOWNS	9/18-9/29
RIVER DOWNS	9/18-9/29
RUIDOSO	9/18-9/29
SUFFOLK DOWNS	9/18-9/29
THISTLEDOWN	9/18-9/29
YAVAPAI DOWNS	9/18-9/29
INTERNATIONAL	
ASSINIBOIA	9/18-9/29
AUSTRALIAN RACING	9/18-9/29
FORT ERIE	9/18-9/29
HASTINGS	9/18-9/29
NORTHLANDS PARK	9/18-9/29
SOUTH AMERICAN RACING	9/18-9/29
UNITED KINGDOM	9/18-9/29
WOODBINE	9/18-9/29

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2013 CARF
Commingled Locations**

AmWest Entertainment	Delta Downs	Meadows The	Scioto Downs
Amwest Accounts	Dover Downs	Meadows The (ADW)	Seabrook Greyhound
Greenbrier (WV)	Ebet	Millers OTB	Sol Mutuel
Riders Up (SD)	Elite Turf Club (1 to 10)	Mobile Greyhound	Southland Greyhound
Time Out Lounge (SD)	Ellis Park	Monmouth Park	Sports Creek Raceway
Triple Crown (SD)	Emerald Downs	Montana OTB	State Fair (Lincoln , NE)
Arapahoe-Mile High	Euro Wagering Services	Monticello	Suffolk District OTB
Colorado phone	Evangeline Downs	Mountaineer Park	Suffolk Downs
Arima Race Club	Fair Grounds	Mt. Pleasant Meadows	Pat's Pizz
Arlington I	Fair Grounds ADW	Nassau Regional OTB	Sunland Park
Atlantic City Racecourse	Fair Meadows	New Jersey Casino Assoc.	SunRay Park & Casino
Atokad	Finger Lakes	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Balmoral	Fonner	Newport Jai Alai	Taunton Acct Wagering
Balmoral ADW (BETZOTIC)	Freehold	NJ Mobile	Taunton Dog Track Inc.
Bangor Raceway	Gillespie County Fair	Northfield	The Downs at Albuquerque
Batavia	Global Wagering Solutions	Cedar Downs OTB	Thistledown
Bet Fair Games Limited	Bwin International Ltd.	Northville	Tioga Downs
Beulah Park	Intl Betting Assoc. Ltd	NYRA	Tri-State GH (Mardi Gras)
Birmingham	Magna Bet	NYRA Account Wagering	Turf Paradise
Bluffs Run Greyhound	Racebets, etc	Oaklawn	Turfway Park
Buffalo Raceway	Greenetrack	Ocean Downs	TVG Network
Calder Racecourse	Greyhound @ Post Falls	Panama	TVG Yonkers
Canterbury Day	Gulf Greyhound	Penn National	Twin River Greyhound
Capital District OTB	Gulfstream	Penn National Telebet	TwinSpires
Catskills OTB	Harrington Raceway	Peru	TwinSpires High Volume
Charles Town Race Course	Hawthorne Race Course	Philadelphia Park	Venezuela OTB
Chester Downs & Marina LLC	Hawthorne ADW	Philly Park Phone Bet	Vernon Downs
Chester Downs Acct Wagering	Hazel Park	Phumelela	Western OTB
Churchill Downs	Hoosier Park	Pinnacle Race Course	Wheeling Downs
Club Hipica InTurf	Horseman's Park	Plainridge Race Course	Will Rogers Downs
Coeur d' Alene Casino	Indiana Downs	Plainridge Telephone Wagering	Wyoming
Coeur d' Alene Account	Evansville OTB	Player Management Group	XpressBet
Colonial Downs	Clarksville OTB	Pocono Account Wagering	Yavapia Downs
Colonial Downs Phone Bet	Intermountain Racing	Pocono Downs and OTB	Yonkers Raceway
Columbus Raceway	Keeneland	Portland Meadows	Yonkers Account
Connecticut OTB	Keeneland Select ADW	Potawatomi Casino/OTB	Youbet Group 1
Bradley Teletheater, Bristol	Kentucky Downs	Prairie Meadows	Youbet Illinois
New Britain, Norwalk, Milford	Kentucky OTB	Premier Turf Club	Zia Park
East Haven, Hartford, Putnam	Lebanon	Presque Isle Downs	
Shoreline Star, Sports Haven	Les Bois (Treasure Valley)	Raceway Park	
Torrington, Waterbury	Lewiston OTB's	Racing2Day LLC	
Manchester, New London	Lien Games	Racing2Day Intl. (Stan James)	
Willimantic	Chips Lounge and Casino	Remington Park	
John Martin's Manor Restaurant	Howard Johnsons OTB	Remington OTB Network	

Connecticut OTB ADW	Rumors OTB	Retama	
Paragon Casino	Skydancer Casino OTB	Racing & Gaming Services	
Ho-Chunk Casino and Racebook	BetAmerica and Win2wager	Ripley de Venezuela (Caliente)	Separate Pool Locations
Mohegan Sun Casino	Lone Star	River Downs	Caymanas Park, Jamaica
Oneida Bingo and Casino	Louisiana Downs	Rockingham Park	Hippodromo Camarero, PI
Pony Bar Simulcast Center	LVDC	Ruidoso Downs	MIR Books (Caliente)
Tote Investment Racing	Atlantis Paradise Casino	Running Aces Harness Park	LVDC
Randall James Racetrack	Avatar Ventures	Sam Houston	
Millenium Racing	Pojoaque Cities of Gold	Valley Greyhound Park	
Royal Beach Casino	Foxwoods Resort-Casino	Saratoga Harness Raceway	
Divi Carina Bay Casino	Meskwaki Bingo & Casino	Saratoga Bets (ADW)	
Fair Chance	Maronas (South America)	Scarborough	
Corpus Christi Greyhound	Maryland Jockey Club		
Cypress Bayou OTB	Maywood		
Delaware	Meadowlands		

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters Truro Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal: **OC Tavern (San Clemente), Original Roadhouse Grille (Santa Maria), Commerce Casino, Santa Claritas Lanes (Santa Claritas) and Bankers Casino (Salinas).**
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by

the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

N/A

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s) – **Sarah Sporer D.V.M.**
 Clerk of Scales – **Kenneth Sjoldal**
 Clerk of the Course – **Dee Collins**
 Film Specialist – **Dee Dee Robinson**
 Horse Identifier – **Darrell Sparks**
 Horseshoe Inspector – **Jack Hammonds**
 Paddock Judge – **Danny Winnick**
 Patrol Judges – **Danny Winick & Jamie Martin**
 Placing Judges – **Dee Dee Robinson and Susan Kennedy**
 Starter – **Robert Mooneyham**
 Timer – **Melody Truitt**

B. Management officials in the racing department:

Director of Racing- Larry A. Swartzlander
Racing Secretary- Tom Doutrich
Assistant Racing Secretary- Linda Anderson
Paymaster of Purses- Victoria Layne

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Kelly Girl, 723 N. Main Street, Manteca CA 95336. Phone: 209-823-9356

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation, USA- Bill O'Brien, Contract Expires 12/7/15

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications, Inc (Jim Porep) Contract Expires: 4/30/2018

9- Cameras-

1- Upper Pan, 1- Lower Pan, 2- Roving (Infield, At Starting Gate, Post Parade, Paddock,

Winner's Circle, 6 Furlong Chute) 3-Tower Cameras, 1- Fixed Tote Board Camera , 1- Remote Cam at 5/16 pole outer rail

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Dedicated PC with connectivity to a hard wired electronic system surrounding the racetrack with infrared sensors at each 1/16 pole and both chutes. Pegasus Communications, Inc. (Jim Porep), Contract Expires: April 30, 2018

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers:

**Janet Covello, Interim CEO –Office: 209-466-5041; Cell: 209-481-7342
Organizational Chart and List of Security Personnel – See Attachment 1A**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Stable area gate persons: 7. Stable area patrol: 2. Grandstand security guards: 8.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **N/A**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

No Graded Stakes

- B. Number of security guards in the detention stall area during a 24-hour period.

N/A

- C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

All horses in thoroughbred races where the number is determined by a random algorithm generator.

- B. Plan for enhanced surveillance for trainers with high-test results.

Trainer with high test results will be moved to the detention area.

- C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

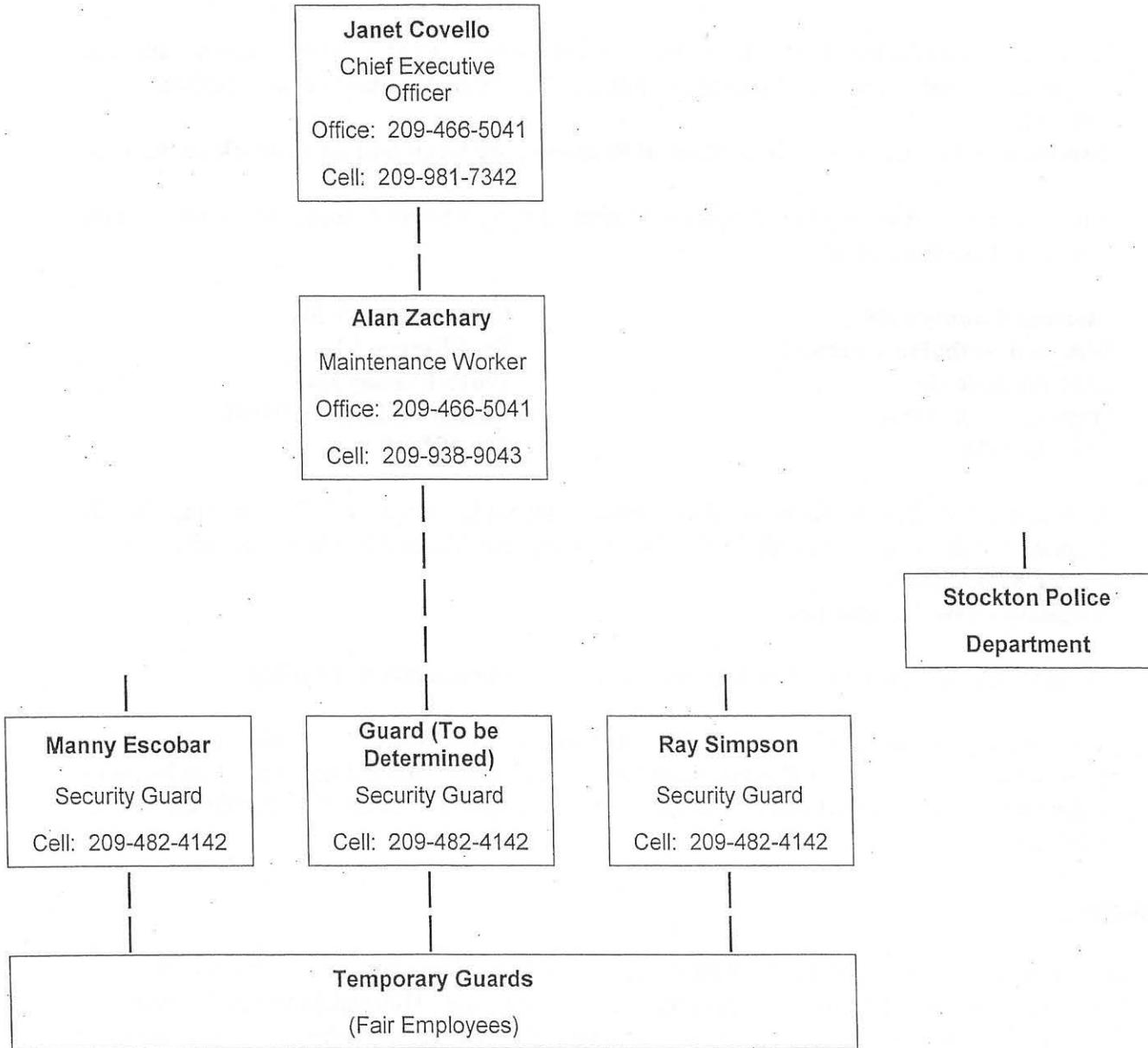
- D. Number of security personnel assigned to the TCO2 program.

One (1) 24-hour security guard when detention stalls are occupied.

- C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention stalls and stable gate.
4 surveillance cameras monitoring this area

Attachment 1A



14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 400 S. Fresno Street, Stockton, CA 95203-3007. Phone: 209-944-0620

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
Attached.

Two Paramedics, from American Medical Response, are located in an on-track ambulance

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Alameda County Fair
Westmed Ambulance Service
2424 Whipple Rd.,
Hayward, CA 94544
510-504-3616**

**Golden Gate Fields
Turf Rescue LLC
19615 Barclay Rd.
Castro Valley, CA 94546
510-581-8470**

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

On file with CHRB

Auxiliary - Two Paramedics

- C. Describe the on-track first aid facility, including equipment and medical staffing:

San Joaquin County Fair, as well as all Racing Fairs, provides emergency care which provides for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Staffing

Two Paramedics, from American Medical Response, are located in an on-track ambulance that follows at a safe distance from the horses racing during each race. This ambulance and crew are present whenever horses are on the track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care, and evacuation to medical care facilities.

The on site first aid facility contains all equipment and supplies necessary for advanced life support of any emergency. The facility is staffed by paramedics and EMT's.

Licensed Physician on duty is responsible for care on an ongoing basis of jockeys, track staff, and allied personnel requiring non-emergency medical care.

Physical Resources



July 2, 2013

To Whom It May Concern:

This letter is to serve as verification that all AMR Paramedics and Emergency Medical Technicians in San Joaquin County are required by company and county policy to hold and maintain a current State of California license issued through our governing agency; California Emergency Medical Services Authority.

All employee certifications are kept on file at our local San Joaquin County office located at:

400 S. Fresno Ave

Stockton, CA 95203

If you have any further questions please don't hesitate to contact me during normal business hours at 209-649-6312.

Sincerely,

A handwritten signature in black ink that reads 'Michelle Tomscak'.

Michelle Tomscak

Transportation Manager; San Joaquin County

American Medical Response
400 S. Fresno Ave
Stockton, CA 95203
Direct: (209) 944-0620
Fax: (209) 941-4855



March 22, 2013

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you need any additional information please feel free to contact me.

A handwritten signature in cursive script that reads "Christopher A. Seymour". The signature is written in black ink and includes a horizontal line at the end.

Christopher A. Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County Public Health Department



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all of the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2012** to **December 16, 2014**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Karl Sporer, MD
Interim Alameda County EMS Director

Karl Sporer, MD
Alameda County EMS Medical Director

An embossed seal should appear in a gray box

	WESTMED AMBULANCE SERVICE			
	BLS UNIT DAILY CHECKOUT			
	DATE:	SHOP#:	UNIT#:	MILEAGE:
EMPLOYEE NAME 1:			EMPLOYEE NAME 2:	
MECHANICAL:	TRAUMA "A"	COMMON STOCK		
REGISTRATION	___ KTD TRACTION SPLINT	___ ADULT NRB (6)		
INSURANCE	___ STERILE OB KIT	___ ADULT CANNULA (6)		
CHP ID CARD	___ EXTRA BULB SYRINGE	___ PEDI NRB (2)		
INCIDENT KIT	___ THERMAL PEDI WRAP	___ PEDI CANNULA (2)		
HAZMAT KIT	___ EMESIS BASIS SMALL (3)	___ EMESIS BASIN SMALL (2)		
FIRE EXTINGUISHER	___ NITRILE TOURNIQUET (2)	___ ALCOHOL PREP PADS (12)		
FLARES (5)	___ COMBAT TOURNIQUET (2)	___ BANDAIDS (6)		
SPARE TIRE/JACK	___ GLUCOSE (MIN 50GM)	___ CLOTH TAPE 1",2" (1ea)		
FUEL LEVEL 1/4 1/2 3/4 F	___ BITE STICKS (2)	___ TRANSPORE TAPE 1",2" (1ea)		
GAS CARD#	___ TONGUE DEPRESSORS (4)	___ 2x2 GAUZE PAD (6)		
OIL LEVEL OK	___ CLOTH TAPE 1/2", 1", 2" (2 ea)	___ 3X3 GAUZE PAD (6)		
TRANSMISSION FLUID OK	___ TRANSPORE TAPE 1/2", 1", 2" (2ea)	___ 4X4 GAUZE PAD (6)		
RADIATOR COOLANT LEVEL OK	___ EYEWASH (1 BOTTLE)	___ ROLL BANDAGE 2",3",4",6" (2ea)		
TIRES (CHECK WEAR/DAMAGE)	___ METAL EYE SHIELD (2)	___ STERILE WATER (500cc)		
EMERGENCY START ___ YES ___ NO	___ DIGITAL THERMOMETER/SHEATHS	___ IRRIGATION SALINE (500cc)		
HEADLIGHTS/HIGH BEAMS	___ TRAUMA SHEARS (1)	___ COLD PACK (4)		
TAIL LIGHTS	___ STERILE WATER (1500cc)	___ HOT PACK (2)		
BRAKE LIGHTS	___ IRRIGATION SALINE (1500cc)	___ TRAUMA SHEARS (1)		
TURN/HAZARD LIGHTS	TRAUMA "B"	INFECTION CONTROL		
BACK UP LIGHTS	___ 5X9 ABD PADS (15)	___ P100 MASKS (4)		
LICENSE PLATE LIGHT	___ 2X2 GAUZE PADS (12)	___ N95 MASKS (4)		
PARKING LIGHTS	___ 3X3 GAUZE PADS (12)	___ SURGICAL MASKS (4)		
PATIENT COMPARTMENT LIGHTS	___ 4X4 GAUZE PADS (12)	___ SAFETY GOGGLES (3)		
MAP/CAB LIGHTS	___ ALCOHOL PREP PADS (1 BOX)	___ ALCOHOL (1 BOTTLE)		
WINDSHIELD WIPERS OK	___ TRIANGLE BANDAGE (4)	___ HYDROGEN PEROXIDE (1 BOTTLE)		
A/C /HEATER/DEFROSTER	___ PETROLEUM GAUZE (4)	___ DISPOSABLE GOWNS (3)		
MIRRORS	___ BANDAIDS (1 BOX)	___ SHOE COVERS (3 PAIRS)		
SEATBELTS	___ 3" ELASTIC ROLLBANDAGE (3)	___ BIOHAZARD BAG (5)		
SAFETY VESTS	___ ROLL BANDAGES 2", 3", 4", 6" (6ea)	___ SURGICAL HEAD COVER (4)		
BLUE HELMETS (2)	___ BLOOD STOPPERS (6)	___ INFECTION CONTROL KIT (2)		
HEAVY DUTY REFLECTIVE JACKET (2)	___ 10"X30" TRAUMA DRESSING (6)	BENCH SEAT		
KEYS (2 SETS)	___ BURN SHEET (2)	___ KED		
FLASHLIGHT/SPARE BATTERIES (2)	___ COLD PACK (6)	___ PEDI BOARD		
LEATHER GLOVES (2 PR)	___ HOT PACK (6)	___ PEDI-MATE		
LIGHT BAR/STEADY RED	___ *DMS* TRIAGE TAGS (20)	___ CARDBOARD ARM/LEG SPLINTS (2ea)		
WARNING LIGHTS	AIRWAY "A"	___ GLOVES SM,MED,LG,XLG (2 BX EA)		
LOADING/SCENE/SPOT LIGHTS	___ ADULT BVM (2)	C-SPINE BAG		
SIRENS/HORN	___ PEDI BVM (2)	___ STA-BLOCKS (4)		
VRR EMAILED YES NO	___ INFANT BVM (2)	___ ADULT SELCT COLLAR (4)		
COMMUNICATIONS	___ O2 CONNECTION TUBING (3)	___ PEDI SELECT COLLAR (4)		
___ ALCO PORTABLE	___ NPA 14,18,22,24,26,28,30,32,34,36 (1ea)	___ SPYDER STRAPS (1)		
___ COCO PORTABLE	___ NPA LUBRICATION PACKET (6)	___ 3" CLOTH TAPE (1 ROLL)		
___ SOL PORTABLE	___ OPA #1-8 (1ea)	___ 9' D-RING STRAPS (3)		
___ SCC PORTABLE	AIRWAY "B"	HARDWARE/EQUIPMENT		
___ SCC PAGER	___ ADULT NRB (8)	___ STAIR CHAIR # _____		
___ SCC DASH RADIO	___ ADULT CANNULA (12)	___ LONG BACK BOARD (2)		
___ NEXTEL	___ PEDI NRB (4)	___ BREAKAWAY,FOLDING,SCOOP (1 EA)		
PAPERWORK/LITERATURE	___ PEDI CANNULA (4)	___ OR ___ COMBIBOARD (GREEN) (1)		
___ DOT EMERG RESP GUIDE	___ INFANT O2 MASK (2)	___ GURNEY # _____		
___ MAPBOOK (BAY AREA METRO)	___ INFANT CANNULA (2)	___ GURNEY SHOULDER/SEAT BELTS		
___ MAPBOOK (CALIF STATE)	___ SUCTION TUBING (6)	___ SOFT ANKLE RESTRAINTS (4)		
___ MAPBOOK (SCC/SAN JOSE)	___ HARD TIP SUCTION (6)	___ SOFT WRIST RESTRAINTS (4)		
___ MAPBOOK (SOLANO COUNTY)	___ SOFT TIP 6,8,10,12,14,16,18 (2ea)	___ LEATHER RESTRAINTS/STRAPS (4ea)		
___ ALCO EMS FIELD MANUAL	MISC MEDICAL	___ REINFORCED CARRYING TARP (1)		
___ COCO EMS FIELD MANUAL	___ SUCTION CANISTER/LIDS (6)	___ VACUUM SPLINT KIT		
___ FIRE SCOPE (F.O.G.)	___ PORTABLE SUCTION-V.VAC (1)	___ AED/TEST OK ___ YES ___ NO		
___ MPMP	___ V.VAC REPL CARTRIDGE (1)	___ AED PADS ADULT (2)/PEDI (1)		
___ CRITICAL ACTION GUIDE-EMS	___ BP CUFF (INF,PEDI,ADULT,LG AD)	NOTES:		
___ QUICK REFERENCE GUIDE-FIRE	___ STETHOSCOPE (2)	*IF ITEM DOES NOT APPLY TO COUNTY PERMIT		
___ T-CARDS [GREEN ICS 219] (2)	___ PENLIGHT (2)	REQUIREMENT, PLEASE PUT "N/A"		
___ PATIENT CARE REPORTS (25)	___ URINAL & BED PAN (1ea)			
___ PHYSICIAN CERT STATEMENTS (10)	___ SHARPS CONTAINER			
O2 SUPPLIES	___ PILLOWS (2)	*REPORT ANY DAMAGED OR MISSING		
___ IN HOUSE _____ PSI	___ RAIN BLANKET (2)	EQUIPMENT TO DISPATCH AND ODS		
___ IN HOUSE O2 WRENCH	___ DISPOSABLE BLANKET (5)	IMMEDIATELY*		
___ PORTABLE O2 _____ PSI	___ GURNEY WIPES	REPORT VEHICLE ISSUES TO:		
___ PORTABLE O2 TANK WRENCH	___ SPRAY DISINFECTANT	FLEET@WESTMEDAMBULANCE.COM		
___ WALL REGULATOR	___ HAND SANITIZER			
___ PORTABLE REGULATOR	___ PAPER TOWELS (1 ROLL)			
___ SPARE O2 TANKS (3)	___ DRINKING WATER 8-12oz (5)			
GURNEY LINENS	___ POTABLE WATER (1 GAL)			
___ DISPOSABLE SHEETS (25)	___ DISPOSABLE CUPS			
___ PILLOW CASES (10)				
___ CHUX UNDERPADS (6)				

Turf Rescue, LLC.
4470 Hillsborough Drive
Castro Valley, CA 94546
510 581-8470

March 7, 2013

California Horse Racing Board
1000 Eastshore Hwy.
Berkeley, CA 94710

To Whom It May Concern:

This is to inform you Turf Rescue, LLC., operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,

A handwritten signature in cursive script that reads "Brad Winding". The signature is written in black ink and is positioned above the printed name and title.

Brad Winding, Owner
Turf Rescue, LLC.

San Joaquin Fair provides the services of a state-of-the-art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Redundant communications services are provided to ensure constant contact between all emergency personnel. Two-way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Physicians rotate on a daily basis, each uses Fair-provided cell phone; 209-938-9045.
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- San Joaquin General Hospital**
500 W. Hospital Road, French Camp, CA 95231
Phone: 209-468-6000
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Attached 14F
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Health/Safety Manager: Janet Covello, Interim CEO
Assistant Manager: Alan Zachary
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Fire inspection was conducted on May 17th. Documentation will be provided.
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details): **Self insured through CFSA.**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Self insured through CFSA.**

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each

14F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate

additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

14F Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Humboldt County Fair

De ocurrir un accidente en el hopodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siumpre que lo necesario para tartar a la(s) victim(s).

Seguridad

1. Tan pronto como sea posible, unmiembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro desguridad sera responsable de mantener a los transeutes fuera del lugar del accidente.
2. U miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asquarar el area donde la amulancia estara y prevenir que transeutes y personas ajenas se acerquen.
3. Un miembrwo de seguridad del hipodrmomo sera responsable de escoltar a los vehiclulos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Vetennano del hipodromo

1. Ena vez en el lugar del accidente, el Outrider/escolta debera sejetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea possible hacerlo de esa manera.
3. El veterinano del hipodromo debera decidir si se sacrifica al aaballo en la pista.
4. Sea possible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publica, antes de iniciar el procedimiento de sacrificial del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista deputes de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del addidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas debran recibir intrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion)como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



July 1, 2013

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825
Attn: Andrea Ogden

Re: San Joaquin Fair/2nd DAA
1658 South Airport Way
Stockton, CA 95206

Please be advised that the San Joaquin Fair/2nd DAA is a member of the California Fair Services Authority (CFSA) and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- | | | |
|----|------------------|---|
| A. | Primary Coverage | \$750,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled |
| B. | Excess Coverage | \$9,250,000 in excess of \$750,000
Coverage provided by Allied World Assurance Company
Term: 01/01/2013 to 01/01/2014 |

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- | | | |
|----|------------------|---|
| A. | Primary Coverage | \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled |
| B. | Excess Coverage | (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2013 to 07/01/2014 |

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the San Joaquin Fair/2nd DAA as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen
Risk Analyst

The racetrack is made up of sand of various types (Very Coarse, Coarse, and Medium to Very Fine), silt and clay. The Medium to Very Fine sand makes up 75.4% of the sand content. Organic material will be added to the racetrack to assist in water retention.

- C. The percent of cross slope in the straight-aways is: **2%**

The percent of cross slope in the center of the turns is: **4%**

- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Outer rail comprised of 3" aluminum posts with 3" aluminum railing on top at a height of 40" (a portion of outside rail is chain link fence). A sterline inner rail is made up of off-set galvanized posts with extended aluminum railing on top at a height of 42" with an overhang of 24".

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Track Master (Steve Wood).**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
On file with the CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Janet Covello
Print Name

Interim CEO
Print Title

Janet Covello
Signature
6/28/13
Date

STAFF ANALYSIS
July 18, 2013

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 27, 2013 THROUGH NOVEMBER 3, 2013.

The Los Angeles Turf Club II, Inc. (LATC II) is a racing association formed by the Los Angeles Turf Club (LATC). Business and Professions Code section 19531(a) limits thoroughbred racing in the central zone to no more than 42 weeks of racing. Business and Professions Code section 19532(b) states any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. Los Angeles Turf Club II was created to assist with the distribution of race days allocated to the central zone.

- LATC II filed its application to conduct a thoroughbred race meeting September 27, 2013, through November 3, 2013, or 24 days, same as 2012. LATC II proposes to race a total of 193 races, or 8.04 races per day (this does not include 15 Breeders' Cup races). The average daily purse for the 2012 race meeting was \$442,090. The (estimated) average daily purse for this meet is \$436,859.

September - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

October - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

November - 2013						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

- Racing 4 days per week with the exception of the first week. Racing Friday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of Monday, October 14, 2013.
- Racing concurrently with the Fresno District Fair.
- First post 1:00 p.m. with the following exceptions:
 - Friday, November 1, 2013 (Breeders' Cup) – 11:15 a.m.
 - Saturday, November 2, 2013 (Breeders' Cup) – 10:05 a.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. to 10:00 a.m.
 - No advance wagering Breeder's Cup days (November 1-2, 2013)
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires and TVG.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions code 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions code 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions code 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Thoroughbred Owners of California Agreement
2. California Thoroughbred Trainers Agreement
3. Promotional and marketing plan
4. Promotional and marketing budget for current and prior race meeting

Specific information, which will need **updating** during the proposed race dates:

1. Bond – Expires September 28, 2013

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club II, Inc.**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
george.haines@santaanita.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Santa Anita Park**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Attached
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.
 Surety bond has been extended through November 4, 2013. Certificate will be provided as soon as received.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **September 27 – November 3, 2013**
- B. Actual dates racing will be held: **September 27, 28, 29; October 3, 4, 5, 6, 10, 11, 12, 13, 14, 17, 18, 19, 20, 24, 25, 26, 27, 31; November 1, 2 and 3, 2013**
- C. Total number of days or nights of racing: **24 days**
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursday through Sunday; Exception: Holiday Racing Monday, October 14, 2013

CHRB CERTIFICATION	
Application received: <i>6/27/13</i> Deposit received: <i>OK</i> Reviewed: <i>apw</i>	Hearing date: <i>7/18/13</i> Approved date: License number:

- E. Number of days or nights of racing per week:
4 days per week; Exception: 3 days Friday, September 27, 28 and 29;
5 days Monday, October 14 thru Sunday, October 20, 2013

3. RACING PROGRAM

- A. Total number of races: **Estimated: 193 (Does not include Breeders' Cup races)**
- B. Number of races for each day or night: **No less than 7 races weekdays;**
Nine races Opening Day, Weekends, and October 14, 2013 with the option to program ten races on such days. Breeders' Cup: Ten races Friday, November 2; twelve races Saturday, November 3, 2013.
- C. Total number of stakes races: **16 (Plus 15 Breeders' Cup races)**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

2013 Proposed Stakes Schedule (Exhibit 3D)

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

- F. List all post times for the daily racing program:

Race	Every Race Day	Estimated:	Breeders' Cup Friday, November 1	Breeders' Cup Saturday, November 2
1	1:00 p.m.		11:15 a.m.	10:05 a.m.
2	1:30 p.m.		11:50 a.m.	10:35 a.m.
3	2:00 p.m.		12:25 p.m.	11:10 a.m.
4	2:30 p.m.		1:05 p.m.	11:50 a.m.
5	3:00 p.m.		1:45 p.m.	12:35 a.m.
6	3:30 p.m.		2:25 p.m.	1:15 p.m.
7	4:00 p.m.		3:05 p.m.	1:55 p.m.
8	4:30 p.m.		3:45 p.m.	2:35 p.m.
9	*5:00 p.m.		4:25 p.m.	3:15 p.m.
10	*5:30 p.m.		5:00 p.m.	4:00 p.m.
11			5:30 p.m.	4:45 p.m.
	(*When Applicable)			5:30 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

2013 SANTA ANITA AUTUMN STAKES

RACE DATE	RACE	PURSE	AGE	DISTANCE
Fri, Sep 27	EDDIE D HANDICAP (G III)	\$100,000	3 & UP	abt 6 1/2 F (T)
Sat, Sep 28	FRONTRUNNER STAKES (G I)	\$250,000	2 YO	1 1/16 M BC Challenge
Sat, Sep 28	AWESOME AGAIN STAKES (G I)	\$250,000	3 & UP	1 1/8 M BC Challenge
Sat, Sep 28	RODEO DRIVE STAKES (G I)	\$250,000	F/M, 3 & UP	1 1/4 M (T) BC Challenge
Sat, Sep 28	ZENYATTA STAKES (G I)	\$250,000	F/M, 3 & UP	1 1/16 M BC Challenge
Sat, Sep 28	CHANDELIER STAKES (G I)	\$250,000	F, 2 YO	1 1/16 M BC Challenge
Sat, Sep 28	UNZIP ME STAKES	\$70,000+	F, 3 YO	abt 6 1/2 F (T)
Sun, Sep 29	JOHN HENRY TURF CHAMPIONSHIP (G I)	\$150,000	3 & UP	1 1/4 M (T)
Sat, Oct 5	AROYO-SECO MILE (G II)	\$150,000	3 & UP	1 M (T)
Sat, Oct 5	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$250,000	3 & UP	6 F BC Challenge
Sat, Oct 5	SWINGTIME STAKES ®	\$70,000+	F/M, 3 & UP	1 M (T)
Sat, Oct 5	LA WOMAN STAKES	\$100,000	F/M, 3 & UP	6 1/2 F
Sun, Oct 6	ZUMA BEACH STAKES	\$100,000	2 YO	1 M (T)
Sun, Oct 6	SURER GIRL STAKES	\$100,000	F, 2 YO	1 M (T)
Sat, Oct 12	CALIFORNIA DISTAFF	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)
Sun, Oct 13	ANOAKIA STAKES	\$70,000+	F, 2 YO	6 F
Mon, Oct 14	TIM CONWAY STAKES	\$100,000	2 YO	6 F
Sat, Oct 19	CALIFORNIA FLAG STAKES	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)
Sat, Oct 26	AUTUMN MISS STAKES (G III)	\$100,000	F, 3 YO	1 M (T)
Sun, Oct 27	LURE STAKES ®	\$65,000+	3 & UP	1 M (T)
Fri, Nov 1	Breeders' Cup			
Fri, Nov 1	CALIFORNIA BREEDERS' CHAMPIONSHIP (FILLIES)	\$200,000	F, 2 YO (CA)	1 1/16 M
Fri, Nov 1	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Fri, Nov 1	TWILIGHT DERBY (G II)	\$150,000	3 YO	1 1/8 M (T)
Sat, Nov 2	Breeders' Cup			
Sat, Nov 2	SEN. KEN MADDY HANDICAP (G III)	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)
Sat, Nov 2	CALIFORNIA BREEDERS' CHAMPIONSHIP	\$200,000	2 YO (CA)	1 1/16 M
Sat, Nov 2	DAMASCUS STAKES	\$100,000	3 YO	7 F
Sun, Nov 3	GOLDIKOVA HANDICAP (G II)	\$150,000	F/M, 3 & UP	1 M (T)

TBD - Two additional overnight stakes will run during the latter part of the race meet. These races may replace races that were originally conducted on California Cup Day which is now being moved to the Santa Anita 2013-14 race meet.

Supplemental nominations available for all stakes...

+ Added Money

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file with the Board.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **#3481872**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares
Scott Daruty – (Director), 0 Shares
George Haines – President (Officer), 0 Shares
Lyle Strachan – Executive Vice President, Finance (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo – Vice President, Finance (Officer), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? N/A. Yes No
 If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:

5. Are the shares listed for public trading? Yes No
- If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
- A. Is parent and/or paired entity either a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Attached (Exhibit 4F)
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly it's operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Attached

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 - Keith Brackpool – Chairman**
 - George Haines – President and General Manager**
 - Lyle Strachan – Executive Vice President, Finance**
 - Mike Rogers, Vice President, Operations**
 - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**



June 26, 2013

Ms. Jackie Wagner
 California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825

RE: Santa Anita Fall Meet 2013

Dear Ms. Wagner,

Los Angeles Turf Club, Inc. (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day during its Fall 2013 race meet, subject to a 14% takeout. The TOC agrees to such a wager based upon the same terms and conditions as it was offered last season.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
 President

cc: George Haines
 Mary Saenz

OFFICERS

MIKE PEGRAM
 CHAIR
 PABLO SUAREZ
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
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 JOHN SADLER
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DIRECTORS

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EXECUTIVE STAFF

JOE MORRIS
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 MARY FORNEY
 DIRECTOR OF OPERATIONS
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CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM



George Haines, II
President and General Manager

VIA ELECTRONIC MAIL

July 5, 2013

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Ms Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club II, Inc. ("LATC II"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout as set forth below, to be offered during LATC II's 2013 Autumn Meet, September 27, 2013 to November 3, 2013:

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC II's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

George Haines

GH:sm

cc: Frank De Marco, Jr.
Joe Morris

Los Angeles Turf Club, Inc.

285 W. Huntington Drive, P.O. Box 60014, Arcadia, CA 91066-6014 (626) 574-7223 Fax (626) 446-9565

- Gina Lavo – Vice President Finance, Controller
- Tom Ludt – Sr. VP Racing & Gaming (TSG)
- Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting
- Candace Coder-Chew – Director of Print and Graphics
- Randy Fowler – Director of Food and Beverage
- Randy Hartzell – Director of Mutuels
- Pete Siberell – Director of Community Service and Special Events
- Jason Spetnegal – Director of Facilities
- Ken Walker – Director of Labor Relations
- Mike Willman – Director of Publicity and Public Relations
- Nina Zukin – Director of Information Services
- Jay Cohen – Hornblower
- Trevor Denman – Public Address Commentator/Announcer

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).
- George Haines – President and General Manager
 Los Angeles Turf Club, Inc. II
 285 W. Huntington Drive
 Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
 Email: george.haines@santaanita.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2012	\$276,377,652	147,562
2011	\$206,498,901	164,341
2010	N/A – meet not run at Santa Anita	N/A – meet not run at Santa Anita
2009	\$229,811,844	193,906
2008	\$219,561,643	192,729

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:
 - Current meet estimate: **\$6,022,000**
 - Prior meet actual: **\$5,542,278**
 - Average Daily Purse (7 A1 ÷ number of days): *(excluding 2012 Cal Cup and Breeders' Cup)*
 - Current meet estimate: **\$273,727** *(\$6,022,000 / 22)*
 - Prior meet actual: **\$263,918** *(\$5,542,278 / 22)*

2. Overnight stakes:
 - Current meet estimate: **\$335,000** *(Same)*
 - Prior meet actual: **\$335,000** *(3 @ \$65k and 2 @ \$70k)*
 - Average Daily Purse (7 A2 ÷ number of days): *(excluding 2012 Cal Cup and Breeders' Cup)*
 - Current meet estimate: **\$15,227** *(\$335,000 / 22)*
 - Prior meet actual: **\$15,952** *(\$335,000 / 21)*

3. Non-overnight stakes:
 - Current meet estimate: **\$2,265,000**
 - Prior meet actual: **\$2,262,817**
 - Average Daily Purse (7 A3 ÷ number of days): *(excluding 2012 Cal Cup and Breeders' Cup)*
 - Current meet estimate: **\$102,954** *(\$2,265,000 / 22)*
 - Prior meet actual: **\$107,753** *(\$2,262,817 / 22)*

4. Total Purses: (7A1+7A2+ 7A3)
 - Current meet estimate: **\$8,622,000**
 - Prior meet actual: **\$8,140,095**

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 - Current meet estimate: **7%**
 - Prior meet actual: **11%**
 - Average Daily Purse (7 B1 ÷ number of days): *(excluding 2012 Cal Cup and Breeders' Cup)*
 - Current meet estimate: **\$27,273** *(\$600,000 / 22)*
 - Prior meet actual: **\$39,773** *(\$875,000 / 22)*

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$ 709,000**
 Prior meet actual: **\$1,391,706**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization	Current meet estimate:	Prior meet actual:
NTRA	\$30,000	\$18,560
TOC	\$71,400	\$70,248
CTBL	\$71,400	-0-
CTT Pension	\$35,700	\$35,124
CTT Administration	<u>\$71,400</u>	<u>\$70,248</u>
Total	\$279,900	Total \$194,180

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: \$9,610,900
 Prior meet actual: \$9,725,981
 Average Daily Purse (7 E ÷ number of days): *(excluding 2012 Breeders' Cup)*
 Current meet estimate: \$436,859 (\$9,610,900 / 22)
 Prior meet actual: \$442,090 (\$9,725,981 / 22)
- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
 Current meet estimate: \$4,172,456
 Prior meet actual: \$4,548,982
 Average Daily Purse (7 F ÷ number of days): *(excluding 2012 Breeders' Cup)*
 Current meet estimate: \$189,657 (\$4,172,456 / 22)
 Prior meet actual: \$206,772 (\$4,548,982 / 22)
- G. Purse funds to be generated from interstate handle:
 Current meet estimate: \$4,558,282
 Prior meet actual: \$4,371,383
 Average Daily Purse (7 G ÷ number of days): *(excluding 2012 Breeders' Cup)*
 Current meet estimate: \$207,195 (\$4,558,282 / 22)
 Prior meet actual: \$198,699 (\$4,371,383 / 22)
- H. Bank and account number for the Paymaster of Purses' purse account:
Wells Fargo Regional commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465
Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,500**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Hollywood Park, Inglewood, CA. 1,500**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTW Off-Site Stabling and Vanning Agreement thru 12/31/2013 on file.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
\$960,000 (\$ 8.00/day/stall; excludes vanning costs)
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **\$340,000 (\$160 RT for Hollywood Park)**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS					APPLICABLE RULES	
	Example Race: \$1 E; \$1 Double					CHRB #1959; RCI #VE	
Race #1	\$1E	\$2DD	\$1PK3	\$1PPN	\$0.50PNP5	Superfecta	CHRB #1959, 1957, 1977, 1976.8, 1976.9, 1979.1
Race #2	\$1E	\$2DD	\$1PK3		\$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1979.1, 1976.9
Race #3	\$1E	\$2DD	\$1PK3	* \$2PNP6		Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #4	\$1E	\$2DD	\$1PK3			Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #5	\$1E	\$2DD	\$1PK3		* \$0.50PNP4	Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #6	\$1E	\$2DD	\$1PK3			Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #7	\$1E	\$2DD	\$1PK3			Superfecta	CHRB #1959, 1957, 1977, 1976.9, 1979.1
Race #8	\$1E	\$2DD	\$1PK3	* SuperH5		Superfecta	CHRB #1959, 1957, 1977, ARCI-004-105-X, 1976.9, 1979.1.
* Race #9	\$1E	\$2DD		*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.
* Race #10	\$1E	\$2DD		*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.
* Race #11	\$1E	\$2DD		*	*	Superfecta	CHRB #1959, 1957; ARCI-004-105-X; 1979.1.

(*) When Applicable

- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$1 Place PK8 on 8-race program; Place PK9 on 9-race program, Place PK10 on 10-race program. Alternate runner provision. [All \$1 Wagers] No carryover. Pay all tickets with most winners. (CHRB 1976.8)
- \$2 PNP6 (*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP4 Starting the second race and the (*) last four live races. Alternate runner provision. (CHRB 1976.9)
- \$0.50 PNP5 starting the first race; minimum wager fifty cents, 14% takeout. One tier payoff; 100% carryover.

- **\$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (*) will be carded as last race of the day. [Applicable rule: ARCI-004-105-X]**
- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution November 2, 2013
- C. List any options requested with regard to exotic wagering: **N/A**
- D. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m. Exception: No advance wagering Breeders' Cup days November 1 and 2, 2013.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
Sportech (previously Scientific Games, LLC) Expires: September 2015

10. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
**Xpressbet
Twinspires
TVG**
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **Attached (Exhibits 10B)**
- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **TOC letter attached**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Attached (Exhibit 11C)
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

June 13, 2013



Mr. Tom Varela
Monarch Content Management
Fax: (626) 821-1520
Email: tvarela@monarchmanagement.com

RE: 2013 Santa Anita Autumn Race Meeting Interstate Simulcast Approvals

OFFICERS

MIKE PEGRAM
CHAIR

PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

ED MOGER
AT LARGE

JOHN SADLER
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ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
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MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Dear Tom,

This letter shall serve as TOC's authorization for the Los Angeles Turf Club, dba Santa Anita ("SA") to transmit the audiovisual signal of SA Thoroughbred races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided by Monarch Content Management ("Monarch") on behalf of SA, during the SA Autumn racing season commencing September 25, 2013, continuing through November 3, 2013.

As a condition of TOC's authorization of the acceptance of wagers on SA races by Guests which engage in phone and/or Internet wagering, herein called "ADW," SA shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both SA and Guest to facilitate the separation of ADW and non-ADW data in reports used by SA and/or CHRIMS to account for and settle balances between SA and Guest.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified herein or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, SA shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with SA to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,

Joe Morris
President

Attached (Exhibit 11D/E)

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Attached (Exhibit 11D/E)

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

- Bankers Casino, Salinas**
- Commerce Casino, Commerce**
- OC Tavern Grill & Sports Bar, San Clemente**
- Original Roadhouse Grill, Santa Maria**
- Santa Clarita Lanes, Saugus**

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

2013 Import races: Track	Race Dates	Full or Partial Cards and Stakes
Aqueduct	September 27 to November 3	Full or Partial Cards
Belmont	September 27 to November 3	Full or Partial Cards
Beulah	September 27 to November 3	Full or Partial Cards
Calder	September 27 to November 3	Full or Partial Cards
Charlestown	September 27 to November 3	Full or Partial Cards
Churchill Downs	September 27 to November 3	Full or Partial Cards
Delaware Park	September 27 to November 3	Full or Partial Cards
Finger Lakes	September 27 to November 3	Full or Partial Cards
Fort Erie	September 27 to November 3	Full or Partial Cards
Hastings Park	September 27 to November 3	Full or Partial Cards
Hawthorne	September 27 to November 3	Full or Partial Cards
Hoosier	September 27 to November 3	Full or Partial Cards
Keeneland	September 27 to November 3	Full or Partial Cards
Laurel	September 27 to November 3	Full or Partial Cards
Mountaineer Park	September 27 to November 3	Full or Partial Cards
PARX racing (Philadelphia)	September 27 to November 3	Full or Partial Cards
Penn National	September 27 to November 3	Full or Partial Cards
Presque Isle	September 27 to November 3	Full or Partial Cards
Remington	September 27 to November 3	Full or Partial Cards
Retama Park	September 27 to November 3	Full or Partial Cards
South America Racing	September 27 to November 3	Full or Partial Cards
Suffolk Downs	September 27 to November 3	Full or Partial Cards
Thistledown	September 27 to November 3	Full or Partial Cards
Turfway Park	September 27 to November 3	Full or Partial Cards
Turf Paradise	September 27 to November 3	Full or Partial Cards
United Kingdom	September 27 to November 3	Full or Partial Cards
Woodbine	September 27 to November 3	Full or Partial Cards
Zia Park	September 27 to November 3	Full or Partial Cards

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park Racing Association
1050 S. Prairie Ave.
Inglewood, CA 90301

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Fairplex Park
1101 W. McKinley Ave.
Pomona, CA 91768

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Kern County Fair
1142 South P St.
Bakersfield, CA 93307

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

Tulare Country Fair
215 Martin Luther King, Jr. Ave.
Tulare, CA 93274

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

**Section D and E
Commingled Locations**

West Entertainment	Delta Downs	Maryland Jockey Club	Scioto Downs
Amwest Accounts	Dover Downs	Maywood	Seabrook Greyhound
Greenbrier (WV)	Ebet	Meadowlands	Social Gaming
Riders Up (SD)	Elite Turf Club (1 to 10)	Meadows The	Sol Mutuel
Time Out Lounge (SD)	Ellis Park	Meadows The (ADW) - PA regional	Southland Greyhound
Triple Crown (SD)	Emerald Downs	Millers OTB	Sports Creek Raceway
Spahoe-Mile High	Evangeline Downs	Mobile Greyhound	State Fair (Lincoln, NE)
Colorado phone	Évangeline Downs AWA	Monmouth Park	Suffolk District OTB
ma Race Club	Fair Grounds	Montana OTB	Suffolk Downs
ington I	Fair Grounds ADW	Monticello	Pat's Pizza
antic City Racecourse	Fair Meadows	Mountaineer Park	Sunland Park
skad	Finger Lakes	Mt. Pleasant Meadows	SunRay Park & Casino
lmoral	Fonner	MTPBets	Tampa Bay Downs
lmoral ADW (BETZOTIC)	Freehold	Nassau Regional OTB	Taunton Acct Wagering
ngor Raceway	Gillespie County Fair	New Jersey Casino Assoc.	Taunton Dog Track Inc.
tavia	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
ttor Racing	Bwin International Ltd.	Newport Jai Alai	Thistledown
ulah Park	Intl Betting Assoc. Ltd	NJ Mobile	Tioga Downs
mingham	Magna Bet	Northfield	Tri-State GH (Mardi Gras)
ffs Run Greyhound	Racebets	Cedar Downs OTB	Turf Paradise
ffalo Raceway	Greenetrack	Northville	Turfway Park
lder Racecourse	Greyhound @ Post Falls	NYRA	TVG Chester
interbury Day	Gulf Greyhound	NYRA Account Wagering	TVG Network
pital District OTB	Gulfstream	Oaklawn	TVG Prairie
tskills OTB	Harrington Raceway	Ocean Downs	TVG Yonkers
arles Town Race Course	Hawthorne Race Course	Panama	Twin River Greyhound
ester Downs & Marina LLC	Hawthorne ADW	Penn National	TwinSpires
urchill Downs	Hazel Park	Penn National Telebet	TwinSpires High Volume
ub Hipica InTurf	Hoosier Park	Peru	Velocity Wagering Ltd.
eur d' Alene Casino	Horseman's Park	Philadelphia Park	Venezuela OTB
lonial Downs	Indiana Downs	Philly Park Phone Bet	Vernon Downs
lonial Downs Phone Bet	Evansville OTB	Plainridge Race Course	WatchandWager
lumbus Raceway	Clarkesville OTB	Plainridge Telephone Wagering	Western OTB
onnecticut OTB	Intermountain Racing	Player Management Group	Wheeling Downs
Bradley Teletheater, Bristol	IP Tote (Venezuela)	Pocono Account Wagering	Will Rogers Downs
New Britain, Norwalk, Milford	Keeneland	Pocono Downs and OTB	Wyoming
East Haven, Hartford, Putnam	Keeneland Select ADW	Portland Meadows	XpressBet
Shoreline Star, Sports Haven	Kentuck Downs	Potawatomi Casino/ OTB	Yonkers Raceway
Torrington, Waterbury	Kentucky OTB	Prairie Meadows	Yobet Group 1
Manchester, New London	Lebanon	Premier Turf Club	Yobet Illinois
Willimantic	Les Bois (Treasure Valley)	Presque Isle Downs	Zia Park
John Martin's Manor Restaurant	Lewiston OTB's	Raceway Park	
Connecticut OTB ADW	Lien Games	Racing2Day LLC	
Coushatta Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	
Paragon Casino	Howard Johnsons OTB	Remington Park	
Ho-Chunk Casino and Racebook	Rumors OTB	Remington OTB Network	
Mohegan Sun Casino	Skydancer Casino OTB	Retama	
Oneida Bingo and Casino	Lien Games ADW	Racing & Gaming Services	
Pony Bar Simulcast Center	BetAmerica and Offtrackbetting	Riplay de Venezuela (Caliente)	
Tote Investment Racing	Lone Star	River Downs	Separate Pool Locations
Randall James Racetrack	Louisiana Downs	Rockingham Park	Camarero (Puerto Rico)
Millenium Racing	LVDC	Rockingham Account Wagering	Caymanas (Jamaica)
Royal Beach Casino	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Divi Carina Bay Casino	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Fair Chance	Buffalo Thunder Resort	Saddle Brook Park	
Winner's Circle	Foxwoods Resort Casino	Sam Houston	
orpus Christi Greyhound	Meskwaki Bingo & Casino	Valley Greyhound Park	
ypress Bayou OTB	Maronas (South America)	Saratoga Harness Raceway	
elaware		Saratoga Bets (ADW)	
		Scarborough	

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Lawartha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Turo Raceway, Western Fair, Woodbine, Woodstock/Ontario

will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

**Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007**

B. Names and addresses of the trustees or directors of the distributing agent:

**Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007**

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under

B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	Dr. Dana Stead
Clerk of Scales	Kevin Colosi
Clerk of the Course	Melanie Stubblefield
Film Specialist	Ruben Hernandez
Horse Identifier	Jennifer Paige
Horseshoe Inspector	Victor Tovar
Paddock Judge	Karen Denoval
Patrol Judges	Ruben Hernandez, Karen Denoval
Placing Judges	Bob Moreno, Lisa Jones
Starter	Jay Slender
Timer	Dane Nelson

B. Management officials in the racing department:

VP-Racing	Rick Hammerle
Racing Secretary	Rick Hammerle
Assistant Racing Secretary	Richard Wheeler
Paymaster of Purses	Cece Connor
Assistant Clerk of Scales	Charles McCaul
Others (identify by name and title)	

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Ms. Barbara Weinstein
 9582 Hamilton Ave., Suite 265
 Huntington Beach, CA 92626 Phone: (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

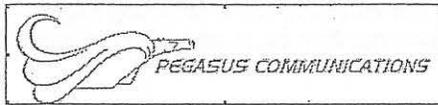
Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2013

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Jim Porep, Jr., President Contract term: July 31, 2012 – July 31, 2017
 Pegasus Communications, Inc.
 16633 Ventura Blvd., Suite 1010
 Encino, CA 91436 Phone: 818/907-1900
 Major video and camera equipment listing attached, Exhibit 13E.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:
 July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Executive parking lot (E Lot)
 Avid HD & SD graphics systems with Pegasus DataLinks interface software
 GVG Karrera 4ME Switcher
 Recording systems: ZPlay HD video recorder, slow motion playback, 3play 820, and Sony DigiBeta
 Audio Calrec Board 120 input

Camera locations and equipment description

- 1- HD Pan camera 1: Sony HXC 100 triax chain HD
 - 1- HD Pan camera 2: Sony HXC 100 triax chain HD
 - 1- HD camera 3: Sony HXC 100 triax chain HD
 - 1- Dirt 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- Turf 7/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - HD Dirt 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - Turf 3/8 tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- 1/4 pole tower: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 1- Hill camera: IKEGAMI HK-388W FUJINON 70x9.5 MM LENS
 - 2- Post Parade IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS in the infield
 - 1- HD Gate: Wireless HD Camera System
 - 2- Paddock: IKEGAMI HK-388WP FUJINON 20x8.5 MM LENS or FUJINON 10X4.8/ WIDE ANGLE LENS 1 on a jib on the roof overlooking the walking ring and 1 in the Paddock Tower.
 - 1- HD Winner's Circle/Finish Line: Sony HXC 100 triax chain (12-foot Jib)
 - 2- HD Pan tilt Paddock (Saddling Barn) cameras
 - 1- HD Pan tilt Tunnel camera
- All lens' have 2x extenders.

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 14A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary
36 Security Guards	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 14B)

2. Detention Barns:

- a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 14B)

- b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

- c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 14B)

3. TCO₂ Testing:

- a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

- b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

- c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

- d. Number of security personnel assigned to the TCO₂ program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 14B)

1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 14B)

- D. For night racing associations. Describe emergency lighting system: **N/A**

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

AmbuServe, Inc.
Wendal Smith

SANTA ANITA PARK

Security Live Racing

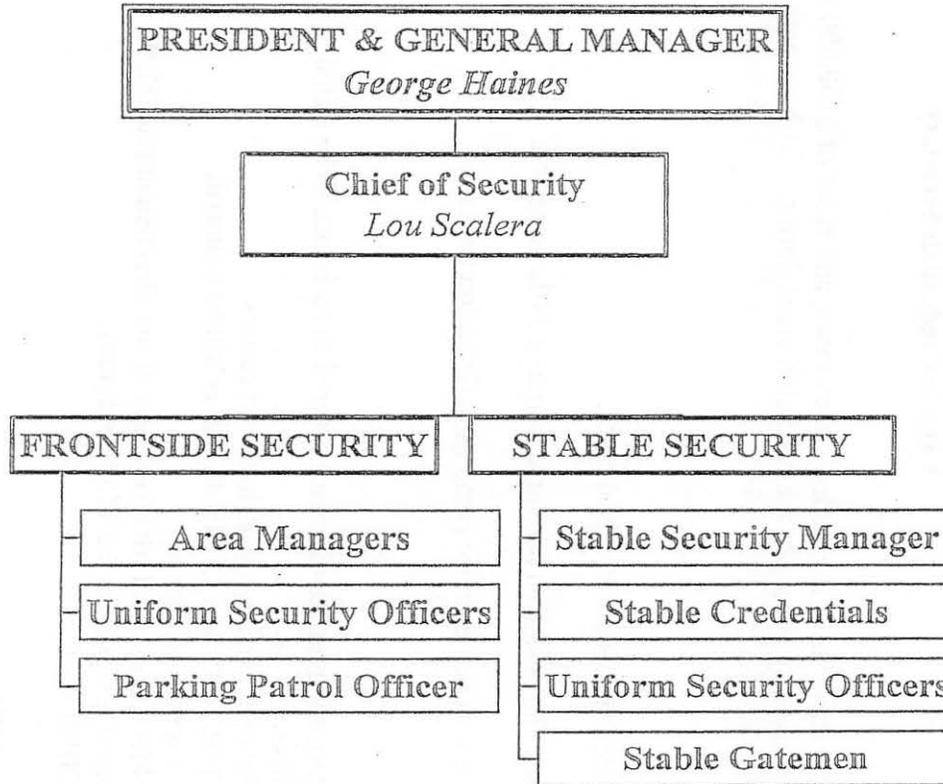


Exhibit 14BDETENTION BARN PLAN

(June, 2013)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

15105 S. Broadway Ave.

Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Westmed/McCormick Ambulance Service

13933 Crenshaw Blvd.

Hathorne, CA 90250

Phone: 888/349-8944

1. Attach a certification from the ambulance service(s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Attached Exhibit 15 B

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race

- Name and emergency telephone number of the licensed physician on duty during the race meeting:
(If quarter horse racing association see D (1) :

Dr. Salvador Sotomayor

Phone: 626/695-7436

15771 Del Prado Drive

Hacienda Heights, CA 91740

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital

300 W. Huntington Drive

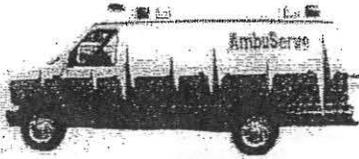
Arcadia, CA 91007

Phone: (626) 445-4441

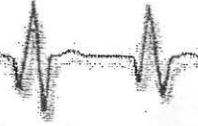
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital

300 W. Huntington Drive



AmbuServe
Ambulance



May 14, 2013

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

AmbuServe, Inc

15105 S. Broadway

Gardena, CA 90248

310-644-0500

Thank you,

Traci Taylor

A handwritten signature in black ink, appearing to read "Traci Taylor", written over the printed name.

General Manager



McCormick Ambulance
13933 Crenshaw Blvd.
Hawthorne Ca. 90250
(888)349-8944

To whom it may concern:

This letter shall serve as a statement of affirmation that all Emergency Technicians employed by McCormick/Westmed Ambulance Service are trained, background checked, and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Mike Henderson
Vice President

Arcadia, CA 91007

Phone: (626) 445-4441

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Attached (Exhibit 15F)
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Mary Saenz, Safety Manager
Lou Scalera, Chief of Security
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached (Exhibit 15H)
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71 170912 012 Expires 6/30/2013 New certificate will be submitted.
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Certificate on file with the Board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No



EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41 Paramedics” which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41 Paramedics.



“ON-SITE EVALUATION”

- Based on the Paramedics “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
 - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
 - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
 - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys’ Room or be taken off his mounts for the remainder of the day.
 - If more than two jockeys need to be transported to the hospital, Arcadia Fire



PROCEDIMIENTO MEDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “41Paramedicos” es manejada por las EMT’s contractados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41Paramedicos.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- Despues del accidente, es la decision de los paramedicos si el jinete va estar transportado al hospital o al la oficina de First Aid.
 - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
 - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
 - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.
 - Si el doctor de tracke dise que el jinete esta libre para montar or que va faltar de sus montas.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al



City of Arcadia

June 6, 2013

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire Department

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

Tony L. Trabbie
Fire Chief

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2013 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
George Haines, President, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.



Certificate of Insurance

No.: 2013-182

Dated: June 28, 2013

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder:

California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825

Attn.: Jackie Wagner

Named Insured and Address:

445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries
455 Magna Drive
Aurora, ON L4G 7A9

Subsidiary/Division:

Los Angeles Turf Club Incorporated II
DBA Santa Anita Park
285 West Huntington Drive
Arcadia, CA

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-11	Jun 30, 2013 to Jun 30, 2014	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-013	Jun 30, 2013 to Jun 30, 2014	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, GLO9302183-11, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-013, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

161 Bay Street, Suite 1400
Toronto, ON M5J 2S4
Telephone: 416-868-2123
Fax: -
stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

HANDICAPPERS

Bob's Card Incorporated
Tiffany Bohland
6288 Highland Meadows Drive
Medina, OH 44256

(CHRB-25A and CHRB-87 on file with CHRB.)

Winners Card Corp.
% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

(CHRB-25A and CHRB-87 on file with CHRB.)

Duke Racing Selections
c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

(CHRB-25A and CHRB-87 on file with CHRB.)

Today's Racing Digest, Inc.
Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

(Exempt from CHRB-25A and CHRB-87)

Triangle Turf Winners
William Archer
1 Scott Street
Gardnerville, NY 89410

(CHRB-25A and CHRB-87 on file with CHRB.)

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:
 - 10 - Group Sales handicapping aides to assist newcomers
 - 11 - Food and Beverage hostess's (11 weekends, 4 weekdays)
 - 16 - SST's Mutuel staff (14 on weekdays and 16 on weekends)
- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Club. The Turf Club TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

General maintenance and painting throughout barn facilities.
 Extensive remodel of grandstand box mezzanine area.

2. Fans

General overall improvements include painting façade and slurry throughout paddock.
 Grandstand box mezzanine remodel.
 Additional outdoor dining tables; upgrade of Turf Club area.
 Conversion of indoor space to new sports bar.

3. Facilities in the restricted areas

Enhanced security features throughout facility.

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year: (To be determined)

Admission (general)	\$ 5.00
Admission (clubhouse)	\$ 8.50
Admission (turf club)	\$ TBD
Reserved seating (general)	Free
Reserved seating (clubhouse)	\$ Sold on premium days only.
Daily Box Seat	\$ Sold every day.
Parking (general)	\$ 4.00
Parking (preferred)	\$ 6.00
Parking (valet)	\$ 10.00
Programs (on-track)	\$ 2.25
(off-track)	\$ 2.25

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club Season admission: \$ TBD One Card
 \$ TBD Two Cards

Season Box Seat: \$ 110.00 per seat

C. Describe any "package" plans such as combined parking, admission and program: N/A

19. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="40+"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys/drivers:
Same amenities as above, but in separate quarters. Shared food and beverage area.

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 445
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5.75%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Jason Spetnegal, Director of Facilities
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days'

notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

22. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No exceptions.
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement pending
CTT agreement pending
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions.**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

George Haines
Print Name


Signature

President and General Manager
Print Title

6/26/13
Date

STAFF ANALYSIS

July 18, 2013

APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF WATCH AND WAGER, LLC.COM FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Watch and Wager.com, LLC (WAW) filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW). The application provides that the dates of operation will be 364 days a year, 24 hours a day excluding Christmas. The applicant proposes to begin accepting ADW September 6, 2013. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term. Watch and Wager.com, LLC racing association is currently licensed with the Board to run a harness race meeting at Cal Expo.

WAW reported it's owned by European Wagering Services Limited (EWS), an Isle of Man, United Kingdom company. EWS is a wholly owned subsidiary of Webis Holdings PLC. Watch and Wager.com LLC is wholly owned by Watch and Wager.com Ltd., a fully owned subsidiary of Webis Holdings PLC. Watch and Wager ADW and Watch and Wager Racing are both listed under the Watch and Wager.com LLC corporate structure. EWS holds licenses with the Isle of Man Gambling Supervision Commission and the North Dakota Racing Commission for operating the ADW platforms link2bet and Watch and Wager.

A bond or other form of financial security in the amount of \$500,000 is required to be submitted with an application for license to conduct advance deposit wagering. To date WAW, has not submitted a bond to the Board.

- Business and Professions Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)

No ADW provider may accept wagers on **races conducted in California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C. Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein

with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on **races conducted outside of California** from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

"Hub agreement" as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

19604 (d)(1) (B)

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization . . ."

WAW has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Simulcast wagering agreement between Watch and Wager LLC Racing Division and Watch and Wager.com LLC dated as of June 5, 2013 agreement ending December 31, 2014.
- Approval letter from the California Harness Horsemen's Association of the Watch and Wager's track agreement.
- Agreement with Pari-mutuel Employees Guild Local 280 (labor organization), dated July 2, 2013 ending December 31, 2013.

There are 13 racing venues approved for racing by the California Horse Racing Board: four thoroughbred racing associations, one quarter horse racing association, one harness facility racing and seven fairs. WAW has provided documentation allowing it to accept harness wagers; before WAW can accept wagers on the remaining 12 racing venues it will need to provide the Board with the required agreements pursuant to Business and Professions Code section 19604.

The following **items are outstanding** and will need to be submitted and/or resolved before ADW wagers can be accepted:

1. \$500,000 Bond

Specific information, **required by the Board** to accept wagers on remaining 12 venues:

1. Contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows WAW to accept wagers on the remaining 12 racing venues.

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD (CHRB)
 APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING
 CHRB-133 (New 9/01)

Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of \$500,000.

The Surety Bond will be supplied via MOC Insurance, San Francisco, and is expected to be lodged with the California Horse Racing Board in advance of the meeting on 18th July 2013.

1. **OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)**

A. Name, mailing address, telephone and fax numbers:

Edward Comins
 Chief Operating Officer
 WatchandWager.com LLC
 20 California St. 7th Floor
 San Francisco, CA 94111
 Phone: (415) 503-4041
 Fax: (415) 677-9798

B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

Edward Comins
 Chief Operating Officer
 WatchandWager.com LLC

Edward Comins Personal License:
 California Horse Racing Board License No. 308911

Application received: 6/6/13
 Reviewed: *ap*

CHRB CERTIFICATION

Hearing date: 7/18/13
 Approval date:

North Dakota Racing Commission Occupational License No. 4046

WatchandWager.com LLC is licensed by the California Horse Racing Board for Harness Racing at Cal Expo.

Watchandwager.com LLC is licensed by the North Dakota Racing Commission as a Simulcast Service Provider – License No. 2013-12

Appendix 1 – Copies of all applicable Licenses.

C. Name, title and mailing address of the California agent for receipt of service of process:

Edward Comins
Chief Operating Officer
WatchandWager.com LLC
20 California St. 7th Floor
San Francisco, CA 94111

D. Attach the contract with the California racing association or fair and the required horsemen's approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

Appendix 2 – Hub Contracts and Horsemen Agreements

2. DATES OF OPERATION

A. Dates Advance Deposit Wagering will be conducted:

WatchandWager.com LLC request permission to commence ADW wagering from California Residents from September 6th, 2013.

Advanced Deposit Wagering will be conducted every day except Christmas Day.

B. Hours Advance Deposit Wagering will be conducted:

Advanced Deposit Wagering will be conducted on a 24 hour/ 7 day a week basis except Christmas Day.

3. BUSINESS STRUCTURE

A. Corporation (complete subsection B)

LLC (complete subsection C)

Other (specify, and complete subsection D)

Complete the applicable subsection

B. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Name of all officers and directors, titles, and number of shares of the corporation held by each:
5. Names (true names) of all persons, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
10. Attach the most recent annual financial statement for the corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.
11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

C. LLC

1. Registered name of the LLC:

WatchandWager.com LLC

See Attached Corporate Documents: Appendix 3

2. State where articles of organization are filed:

Articles – Nevada, Certificate No: C20100804-2888
 North Dakota Certificate of Authority ID#: 27,855,000
 California Certificate of Registration, May 19th 2012

3. Registry or file number for the LLC:

Articles – Nevada, Certificate No: C20100804-2888
 North Dakota Certificate of Authority ID#: 27,855,000
 California Certificate of Registration, May 19th 2012

4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

WatchandWager.com Ltd. (UK Company) is the 100% owner of WatchandWager.com LLC.

The Directors of WatchandWager.com Ltd. are:
 Edward Comins – Chief Operating Officer
 Denham Eke, Chairman

It should be noted that we have recently been approved for the original parent company, European Wagering Services Ltd., to be name changed to WatchandWager.com Ltd. The corporate structure remains unchanged as attached in Appendix 4. The purpose of this name change is to more accurately reflect WatchandWager's commercial position within the Group. With the transition of many of its business divisions to the US, the old European Wagering Services name is now deemed inappropriate. This name change took place on May 20th 2013.

Appendix 4 – Corporate Brochure and Structure

5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

WatchandWager.com Ltd. owns 100% of WatchandWager.com LLC. It is a corporate ownership. As a result, no individuals own shares in either WatchandWager.com Ltd. or WatchandWager.com LLC.

6. Are the shares listed for public trading? Yes No

No, both WatchandWager.com Ltd. and WatchandWager.com LLC are not listed for public trading.

If yes, on what exchange and how is the stock listed:

Note: the parent company and ultimate beneficiary owner is Webis Holdings PLC, a listed company of the London Stock Exchange (AIM).

Further details on Webis Holdings is available at www.webisholdingsplc.com

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

As stated, the parent company and ultimate beneficiary owner is Webis Holdings PLC, a listed company of the London Stock Exchange (AIM).

Further details on Webis Holdings is available at www.webisholdingsplc.com

8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

Appendix 5 – Financial Statements

Attached:

WatchandWager.com LLC Financials to April 2013
WathcandWager.com Cal Expo Financials to April 2013
Webis Holdings PLC Annual Report 2011-12
Webis Holdings PLC Interim Report 2012-13

9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

Appendix 6 – Business Plan

Appendix 7 – US Marketing Plan

D. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.
3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

- A. List the procedures to establish an Account:

Applicant must provide the following information to watchandwager.com in order to create a new account:

Personal Information including:

first name
last name
email address
country
state/province
date of birth
home phone number
security question and answer
home address
city/town
ZIP code

Login Information

Social Security Number

Bank Account Details * Not necessary for creating an account but needed for first deposit.

- B. If an application form is used to establish an Account attach a copy of the form.

Appendix 8 – Registration Form

- C. Name and address of the third party you will use to verify identity, residence and age verification:

US Info Search: <http://www.usinfosearch.com>

US Info Search.com LLC
5330 East Main St. Suite 101B
Columbus, OH 43213

General Phone #: (614) 322-9914

WAW also employs the services of Equifax Information Services LLC to provide identity, residence and age verification services. The address of Equifax is below:

Equifax Information Services
1550 Peachtree N.W
Atlanta, Georgia, 30348

5. **OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2073.

WatchandWager.com LLC can confirm that it's operation has and will comply with Rule 2073.

- A. Submit a copy of your plan for operation.

Appendix 9 – Plan of Operation

The Plan of Operation has been updated with a new document attached on 2nd July 2013 which provides a thorough analysis of Rules 2073 and 2074 and our Compliance with these Rules.

- B. List the type of deposits you will accept:

Credit Card
Debit Card
Bank Wire
ACH
Check
Payment Wallets

- C. Identify any fees or transaction-related charges and the amount that will be assessed:

All processing fees are covered by the Advanced Deposit Wagering company, eg WatchandWager.

6. **SECURITY ACCESS**

- A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:

1. Description of the technology to ensure identity, residence, and age verification when an Account is established:

Social Security Numbers are verified through an independent site <http://www.usinfosearch.com> to verify the customer's age and address are correct. IP address verification and a reverse phone number search.

*We require the following documents in order to open an account:

- 1-Clear Photographic ID (Passport, Drivers License, Armed Forces ID)
1-Address Verification (Utility Bill – no more than 3 months old. Must have full applicant name and may not be a mobile bill)

Appendix 10 – Security Procedures

2. Description of the technology to ensure confidentiality of the Means of Personal Identification:

Appendix 10 – Security Procedures

3. Methods and locations available for Account Holders to withdraw funds from their Account:

**ACH withdrawals
Check withdrawals**

Account holders request these withdrawals online. These are then processed by customer services, by either ACH payment or Check withdrawal to the account holder.

4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:

**AmTote International Ltd
Maryland Mid-Atlantic Hub
11200 Pepper Road
Hunt Valley, MD 21031**

**Phone: (410) 785-5134
Fax: (410) 584-0077
Hours of Operation: 24/7**

7. PARI-MUTUEL

- A. Name, address and telephone number of the pari-mutuel audit firm:

**UK Auditors
KPMG, London, United Kingdom**

**US Auditors
The Werner Group
2010 Crow Canyon Place Suite 100
San Ramon, CA 94583
Phone: (925) 858-7399**

**US Settlements
California Horse Race Management Systems (CHRIMS)
7950 Dublin Blvd. Suite 216**

Dublin, CA 94568
Phone: (925) 307-7020

- B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:

AmTote Spectrum Hub in Hunt Valley, Maryland. Amtote International.
Contract expires on 06/30/2013 but it is currently intended that this contract will be renewed.

- C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

Appendix 11 – Track List

NOTICE – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

8. CONTRACTS AND AGREEMENTS

- A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Settlements: CHRIMS 7950 Dublin Blvd. Suite 216 Dublin, CA 94568
Data: Equibase 821 Corporate Dr. Lexington, KY 40503
Streaming: Roberts Communication Network, LLC 4175 Cameron St. Suite B-10
Las Vegas, NV 89103
Software: i-neda Ltd The Hub, IQ Farnborough Farnborough, Hampshire GU14
7JP, UK

- B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed: NONE

9. ADVERTISING

Name and address of the advertising agency you will use:

WatchandWager.com LLC
Advertising will be completed in house by our in house marketing team at:
20 California St. 7th Floor
San Francisco, CA 94111

Appendix 7 – US Marketing Plan

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not

allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.

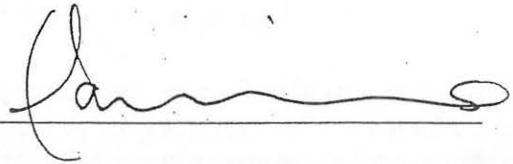
Appendix 12 – Labor Agreement Update Letter

Updated Labor Agreement now attach on 2nd July

10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

EDWARD COMINS



Print Name

Signature

CHIEF OPERATING OFFICER

2nd July, 2013

Print Title

Date

STAFF ANALYSIS

July 18, 2013

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY CHILFRUITS INC., DBA THE MERMAID TAVERN, THOUSAND OAKS.

Chilfruits Inc., DBA The Mermaid Tavern, applied for a license to operate a minisatellite wagering site at The Mermaid Tavern, Thousand Oaks. Southern California Off-Track Wagering Inc. (SCOTWINC) has acted as the coordinator during the application process. The Mermaid Tavern proposes to begin its minisatellite operations on June 20, 2013, and requests a license through June 19, 2018, for a period of five years. However, given that the application was not heard in June 2013, the term of license will commence upon Board approval for a period of five years.

- The Mermaid Tavern is located in Thousand Oaks, California. The proposed wagering site will be located in the Southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- SCOTWINC has provided that there is no track or satellite facility within 20 miles of the proposed minisatellite. The nearest is located at the Ventura County Fairgrounds and is 26 miles distant, by way of a straight line.

The Mermaid Tavern has contracts/agreements with the following racing associations:

- California Authority of Racing Fairs/CARF
- Del Mar Thoroughbred Club
- Hollywood Park Racing Association
- Los Alamitos Quarter Horse Racing Association
- Los Angeles County Fair/Fairplex
- Los Angeles Turf Club/Santa Anita
- Pacific Racing Association /Golden Gate Fields
- Watch and Wager LLC/Golden Bear Racing/Cal Expo

The Simulcast organization engaged by the contracted associations to conduct simulcast wagering is Southern California Off Track Wagering, Inc., SCOTWINC.

Applicant proposes to operate the minisatellite wagering site 10:30 a.m. until 10:30 p.m. depending on host program.

There will be 5 Sportech wagering terminals and 7 Wincore wagering terminals. The wagering area's seating Capacity is 194 and has 50 tables. The main areas of the restaurant have will have

twelve 42 inch, and ten 55 inch televisions. The Main wagering area will have 2 42 inch and 5 55 inch televisions as well as a '2x2 video wall'.

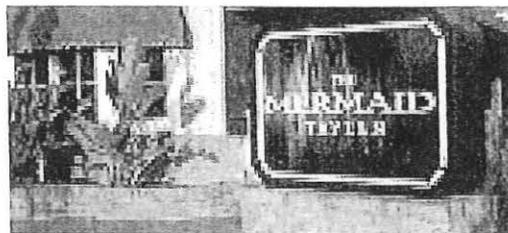
Staffing: The host track mutual manager will assign mutual clerks according to the projected daily handle. There will be one security person stationed at all times at the entrance to the wagering area to enforce age restriction.

RECOMMENDATION:

Staff recommends an approval



Minisatellite Application for:

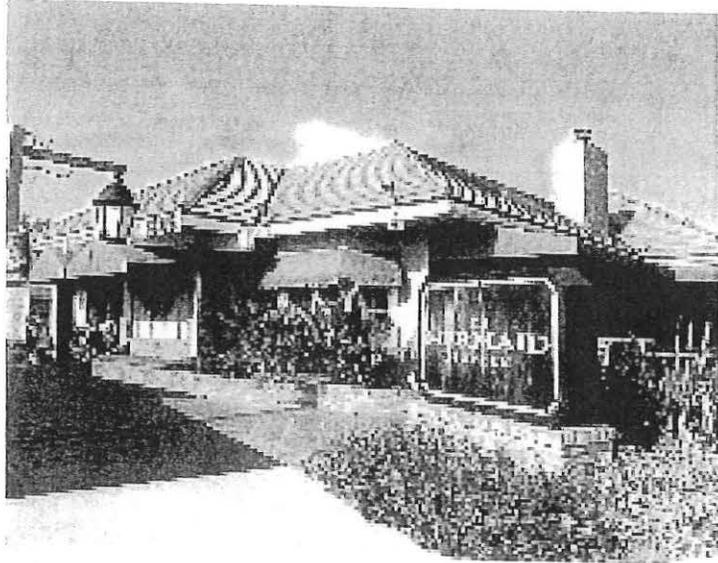


The Mermaid Tavern

1345 E Thousand Oaks Bl.
Thousand Oaks, CA 91362

1. **Ownership:** The Mermaid Tavern is owned by restaurateur Frank Ybanez who bought the restaurant in February of 2012 and remodeled and re-branded the concept, which is described as: *"A posh and friendly gastro pub dining experience, offering a variety of small plates to share, gourmet entrees and lavish pastries."*
2. **Property.** The restaurant is 5200 square feet, with seating for 200 inside and another 60 on the patio. There is a reciprocal parking agreement in place for 294 spaces. There is a half-wall separating the main dining room from the rear dining room (used primarily for large groups). This space will be the dedicated mini-satellite wagering area.
3. **Food & Beverage.** Before joining The Mermaid Tavern, Executive Chef Chris Miehle opened The Beachcomber Restaurant on the pier in Malibu and Bogarts Restaurant in Thousand Oaks.
4. **Waivers.** The site is located outside of all protective circles and thus requires no waivers. The nearest location is the Ventura Satellite at 26 miles. It is 33 miles from Hollywood Park and 46 from Santa Anita.

5. **Approvals.** The location has received written approval from the city of Thousand Oaks.
6. **Estimated Opening.** If granted approval by the CHRB at its June meeting, projected opening is August 1, 2013, subject to city building permits, build-out and sign-off.



State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION

Name of applicant: <i>THE MERMAID TAVERN</i>		
The applicant is:		
<input type="checkbox"/> Racing Association	<input type="checkbox"/> Card Room/Gambling Establishment	
<input type="checkbox"/> Fair	<input checked="" type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Federally Recognized Indian Tribe	<input type="checkbox"/> Other Business Entity	
Facility street address: 1345 E Thousand Oaks Blvd		
Mailing address (if different from above):		
E-mail address: FYBANEZ@SBCGLOBAL.NET		
City: Thousand Oaks	State: ca	Zip Code: 91362
Phone: 805-777-7418	Fax: 808-777-8495	Website: mermaidtavernandrestaurant.com
County: Ventura	Zone Location: North <input type="checkbox"/> South <input checked="" type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)		

CONTACT PERSON
 (Authorized Representative)

Name and title of the contact person: Frank Ibanez		
Business street address: 1345 E Thousand Oaks Blvd		
Mailing address (if different from above):		
City: Thousand Oaks County: Ventura	State: CA	Zip Code: 91362
Phone: 805-777-7418	E-mail: fybanez@sbcglobal.net	Fax: 805-777-8495

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

SEE EXH 1

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No

Do you currently operate a licensed gaming operation in California or another state? Yes No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: _____ End Date: _____

Facility name and address: _____

City: _____	State: _____	Zip Code: _____
County: _____		
Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input type="checkbox"/> (To be completed by CHRB staff)		

Have you had a gaming operation license that has been revoked or suspended. Yes No

If yes, provide the following if different from above:

Facility name and address: _____

City: _____	State: _____	Zip Code: _____
Racing Jurisdiction: _____		

BUSINESS STRUCTURE

<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
--	--	--

Registered Business Name: Chilfruits INC.

Fictitious Business Name: The Mermaid Tavern

Address: 1345 E Thousand Oaks Blvd

E-mail Address: fybanez@sbcglobal.net

City: Thousand Oaks	State: Ca	Zip Code: 91360
Phone: 805-777-7148		Fax: 805-777-7418
State where registered or Articles of Organization are filed: CA		Registry or File number: 3324361

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Francisco Ibanez	13430 Andalusia Dr Camarillo Ca 93012	100%	
7950 Dublin Blvd. Suite 216 Dublin, C			

Are shares listed for public trade?
 Yes No

If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity. *NONE*

All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. *EXH 2*

MANAGEMENT AND STAFF
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Robert Haupt	General Manager

ASSOCIATION CONTRACT/AGREEMENT

Name(s) of racing association(s) with whom you intend to have a contract or agreement:
 Southern California off track Wagering Inc.

Addresses of racing association(s):
 7950 Dublin Blvd. Suite 216 Dublin, CA 94568

Racing association(s) phone numbers:
 310-345-3416

Proposed contract dates: From: *JUNE 20, 2013* To: *JUNE 19, 2018* (inclusive).

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 5-16-2013

30-day Notice Letter:

Fee received by: 5-15-2013

Approved on:

Reviewed by: Leeland Turner

License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name Francisco Ibanez

Signature of Applicant Representative

Francisco Ibanez

Title President

Date: 01/28/2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

Name of Association: California Authority of Racing Fairs

Address: 1776 Tribute Rd, Secorville CA 95815

Telephone: 916-927-7223 Fax number: 916-263-3341

Racetrack name: CART

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

CHRISTOPHER KORBY

Signature of association representative:

Name CHRISTOPHER KORBY

Christopher Korby

Title Executive Director

Date: 5/15/2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

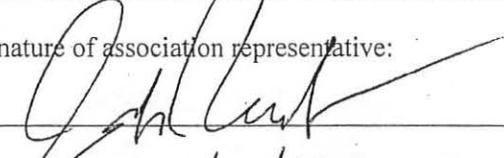
One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

Name of Association: Del Mar Thoroughbred Club
Address: Po Box 700 Del Mar, CA 92014
Telephone: 858.755.1141 Fax number: 858.799.1007
Racetrack name: Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Thousand Oaks - Mermaid Tavern

Name Josh Rubinstein Signature of association representative: 
Title COO Date: 6/10/13

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

Name of Association: Hollywood Park Racing Association

Address: 1050 South Prairie Avenue

Telephone: (310) 419-1500 Fax number: (310) 671-4460

Racetrack name: Betfair Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>	
Name <u>F. Jack Liebau</u>	Signature of association representative: <u>F. JACK LIEBAU</u>
Title <u>President</u>	Date: <u>5-15-13</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

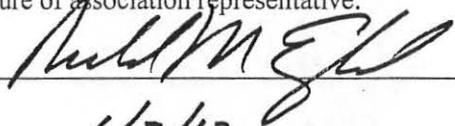
Name of Association: LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION

Address: 4961 KATELLA AVE LOS ALAMITOS CA 90720

Telephone: (714) 820-2671 Fax number: (714) 820-2641

Racetrack name: LOS ALAMITOS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:
Name Richard ENGLISH 
Title CHAIRMAN Date: 6/7/13

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

Name of Association: Los Angeles County Fair Association

Address: 1101 W. McKinley Ave, Pomona, Ca. 91768

Telephone: 909-865-4203 Fax number: 909-865-2481

Racetrack name: Barretts Racing (Fairplex)

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Michael D. Seder

Signature of association representative:

Name Michael D. Seder



Title CFO

Date: 5/15/13

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

Name of Association: LOS ANGELES TURF CLUBS INC.

Address: 285 W. HUNTINGTON DR., ARCADIA, CA 91007

Telephone: 626 524 7223 Fax number: 626 821 1514

Racetrack name: SANTA ANITA

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

	Signature of association representative:
Name <u>GEORGE HAINES</u>	<u></u>
Title <u>PRESIDENT</u>	Date: <u>6/7/13</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

The Mermaid Tavern, Thousand Oaks

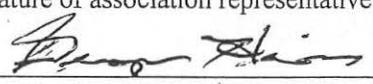
Name of Association: Pacific Racing Association

Address: 1100 Eastshore Highway, Albany, CA 94706

Telephone: 510-868-6600 Fax number: 510-559-7465

Racetrack name: Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>		Signature of association representative:
Name <u>GEORGE HAINES</u>	<u></u>	
Title <u>PRESIDENT</u>	Date: <u>6/2/13</u>	

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

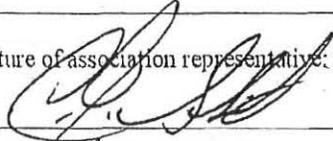
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

~~The Mermaid Tavern, Thousand Oaks~~

Name of Association: WATCH AND WAGER.COM LLC
Address: 2335 AMERICAN RIVER DR, Suite 301, SACRA 95825
Telephone: 916-800-1395 Fax number: 916-760-7410
Racetrack name: CAL EXPO

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name CHRISTOPHER J. SCHICK Signature of association representative: 
Title GENERAL MANAGER Date: JUNE 5, 2013

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

**PART III
 SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering: *SCOTWING*

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools. *EXH 3*

Submit a copy of each horsemen's written approvals.

Hours for operation of the facility: *09:00AM - 12:00AM*

Hours for operation of the minisatellite wagering site: *10:30 AM - 10:30 PM RACE DAYS AND NIGHTS AS SCHEDULED BY HOST TRACK.*

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): *365 Days¹³ NONE, EXCEPT DARK DAYS PER CHRB CALENDAR*

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

EXH 1

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": *EXH 1*

Estimated number of pari-mutuel terminals machines available: *EXH 4*

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

EXH 1

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
<i>RANDY HARTZELL, DIR OF MUTUELS SANTA ANITA</i>	<i>306778, EXP 02/2014</i>



TOC

Thoroughbred Owners of California
Serving the California
Thoroughbred Racehorse Owner

May 30, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
ED MOGER
AT LARGE
JOHN SADLER
AT LARGE

Mr. Leeland Turner
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Application for License to Operate a Minisatellite Facility

DIRECTORS

MADLINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Mr. Turner,

The Thoroughbred Owners of California (TOC) approves the licensing by the California Horse Racing Board of the Mermaid Tavern in Thousand Oaks, California as a minisatellite.

Sincerely,

Joe Morris
President

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

cc: Rick Baedeker, SCOTWINC

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



Pacific Coast Quarter Horse Racing Association

May 31, 2013

Leeland Turner, Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Pacific Coast Quarter Horse Racing Association is the Horsemen's Organization designated by the State of California to represent racing Quarter Horse owners, breeders and trainers. This organization approves the licensing of The Mermaid Tavern in Thousand Oaks as a minisatellite.

If you have any questions please feel free to contact us.

Sincerely,

Dominic Alessio
President



CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

**P.O. Box 254767
Sacramento, CA. 95865
Phone (916) 263-7888**

**David Neumeister, President
Alan Horowitz, Executive Director**

May 29, 2013

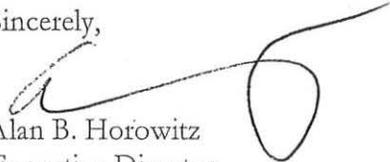
Mr. Leeland Turner
Regulations Analyst
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr Turner:

This letter will confirm the approval of the California Harness Horsemen's Association for the licensing of The Mermaid Tavern, as a the minisatellite in Thousand Oaks, CA.

If you have any questions, please do not hesitate to call me at your convenience.

Sincerely,


Alan B. Horowitz
Executive Director

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):

SECTION 4

Attach a fire clearance from the fire authority having jurisdiction.

SECTION 4

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility.

EXH 1

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. *EXH 4*

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): *Full service dining full service Bar EXH 5 (MENU)*

The seating capacity in the minisatellite wagering facility is: *INSIDE SEATS 194*

The number of tables in the minisatellite wagering area is: *50 Tables 5200.sq ft*

Overall square footage in the minisatellite wagering area is: *50 Tables 5200.sq ft*

Attach a photograph of the minisatellite wagering area.

SECTION 1

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

N/A

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): *294*

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: *None*

V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: *House Pa Is available EXH 1*

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

House Pa Is available VIA AUDIO/VIDEO DECK WITH MICROPHONE

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: Television, Radio, Billboards, magazines, social media.

Describe any improvements to the facility that will directly benefit minisatellite wagering:

House Pats available ^{11/5} CREATION OF DEDICATED WAGERING AREA

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	N/A
Parking charges, if any, are:	N/A- Vallet offered at high volume times @ 5.00 per car.
Program charges, if any, are:	<u>11/5 1.50 RETAIL PRICE AS DETERMINED BY HIST</u>
Seating charges, if any, are:	Require a 10.00pp minimum at high traffic hours to reserve a <u>TABLE.</u>

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

AGREEMENTS

Attach copies of all applicable county, city or agency agreements that may affect the minisatellite wagering facility.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

State of California
California Horse Racing Board
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DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:
Francisco J. Gomez
Date: *April 25, 2013*

Signature of minisatellite facility representative:
[Signature]
Date: *April 25, 2013*

Print name of association representative:
Date:

Signature of association representative:
Date:

Print name of simulcast organization representative:
Rick BAEDEREN
Date: *05/13/2013*

Signature of simulcast organization representative:
[Signature]
Date: *05/13/2012*

Access Automation - Workers Internet Explorer

Acces Automation - 4-18 user schedule print... Records / Documents

Records / Documents

Records / Documents

Record No.	Project Name	Inspection District	SI Number	SI Name	SI Type	City	Assigned to Staff	Fees Due	Inspected Date	Record Status	Task Status	Business Name (if applicable)
PLN11-00128	MERMAID TAVERN	3	1345	THOUSAND OAKS	BL	THOUSAND OAKS	Fire Prev District	\$0	03/08/2013	Letter Prep Complete		Mermaid Tavern
PLN11-12828	MERMAID TAVERN		1345	THOUSAND OAKS	BL	THOUSAND OAKS		\$0	01/26/2012	In Progress	Accepted	
PLN11-12828	THE MERMAID TAVERN		1345	THOUSAND OAKS	BL	THOUSAND OAKS		\$411	11/17/2011	In Progress		
PLN11-12844	MERMAID TAVERN		1345	THOUSAND OAKS	BL	THOUSAND OAKS		\$0	06/11/2011	In Progress		

Record ID: PLN11-12710

Save Reset Cancel Help

Inspector Detail

Record ID: PLN11-12710

Record Type: Fire Planning Development/Infrastructure

Inspection Type: PL11 Insp-Final, Approved

Scheduled Date: 03/08/2012

Department: YEF-TLRAMR

Status: -Select-

Result Comment: Standard Comment

Check spelled

Document Name: File Name by no 1500

System ID: 111MA-00000-01880

File Name: Inspection Detail - Fire

Processed by FREE version of S.O.K Mobile-Doc Scanner from www.staik.mobi

5/9/13
Final Occupancy
Inspection Completed
2/8/12 By ST
VCFD

The Mermaid Tavern of Thousand Oaks, CA
Mini-Satellite Application

May 7, 2013

EXHIBIT A

1. Applicant/Dates. THE MERMAID TAVERN is applying for a five-year license to operate a mini-satellite beginning approximately June 20, 2013 until June 19, 2018 or as amended by CHRB.

2. Simulcast Organization.
 Southern California Off-Track Wagering, Inc.
 C/O CHRIMS
 7950 Dublin Boulevard, Suite 216
 Dublin CA 94568
 Phone: (925) 307-7020
 Fax: (925) 803-8168

3. California Racing Associations. The Mini-Satellite will offer the same program of California races as all other California Satellites as determined by the local day/night host track and approved by the CHRB, as follows:

Golden Bear Racing/Cal Expo 1600 Exposition Blvd. Sacramento, CA 95815 (916) 263-3000	CARF 1776 Tribute Rd. Suite 205 Sacramento, CA 95815 (916) 927-7223
Del Mar Thoroughbred Club 2260 Jimmy Durante Blvd. Del Mar, CA 92014 (858) 755-1141	Fairplex (L.A. County Fair) 1101 W. McKinley Ave. Pomona, CA 91768 (909) 426-7600
Golden Gate Fields 1100 E. Shore Highway Albany, CA 94710 (510) 559-7430	Hollywood Park 1050 S. Prairie Ave. Inglewood, CA 90301 (310) 419-1500
Los Alamitos 4961 Katella Ave. Los Alamitos, CA 90720 (714) 820-2760	Los Angeles Turf Club (Santa Anita) 285 W. Huntington Dr. Arcadia, CA 91007 (626) 574-7223

4. Imports. The Mini-Satellite will offer the same program of out-of-state and out-of-country races as all other California Satellites as determined by the local day/night host track and approved by the CHRB.

Arlington/Hawthorne
 Balmoral/Maywood
 Calder/Gulfstream
 Churchill Downs/Keeneland/Turfway
 Laurel/Pimlico
 Meadowlands/Monmouth
 Northfield
 NYRA
 Remington
 Retama/Sam Houston/Lone Star
 Turfway Park
 Turf Paradise
 Woodbine
 Hastings
 South America

***NOTE: The mini-satellite will offer the same imports that the host track and other satellites offer and the Host Racing Association will determine the schedule and signals.

5. Staffing Plan.

- Mutuels: The host track mutuel manager will assign mutuel clerks according to the projected daily handle. On most weekdays, one or two clerks will be assigned, with two or three on most weekend days as needed.
- Mutuel Supervisor is Randy Hartzell, Director of Mutuels at Santa Anita. His CHRB license number is 306778, expires 02/2014.
- Security: The Mermaid Tavern will provide one security person to be stationed at all times at the entrance to the wagering area in order to enforce age restriction.
 - Responsible party: Frank Ybanez, Owner (805) 777-7418
 - Local Police: Ventura County Sheriff, Randy Pentis, Chief of Police (805) 494-8200

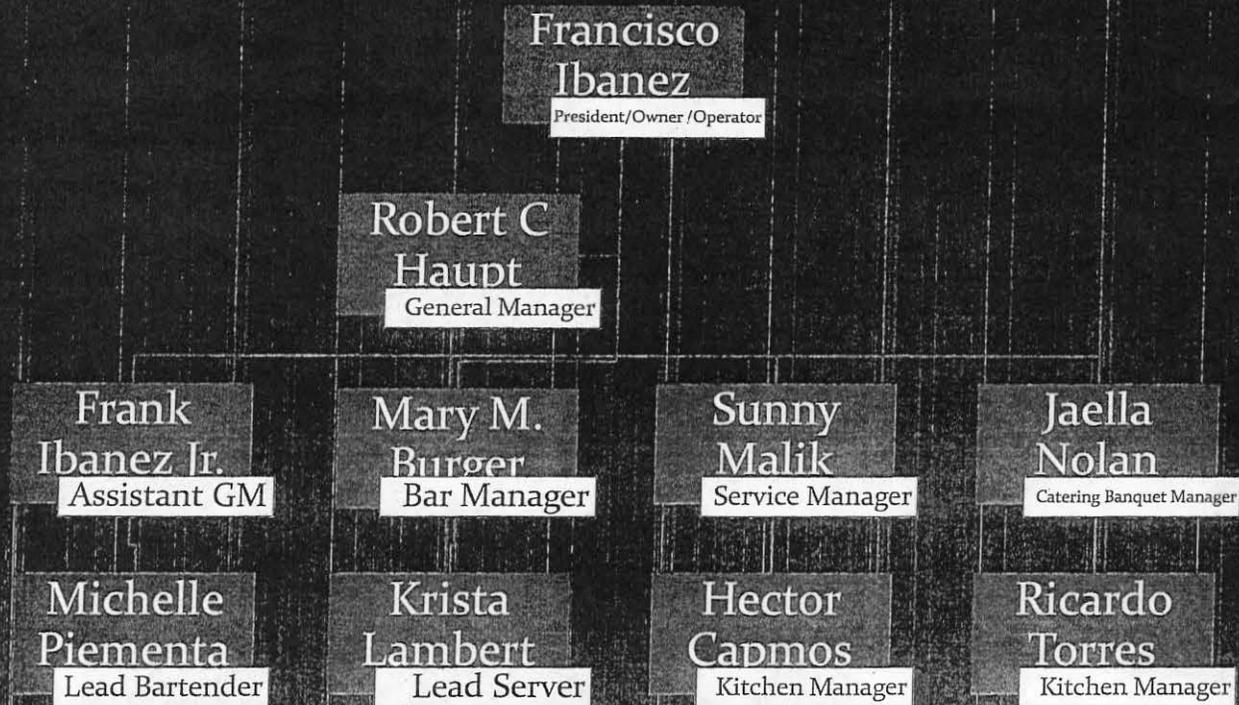
6. Public Address Equipment: The public address system will be located at the audio racks located behind the teller line. Announcements can be made via microphone through the television speakers.

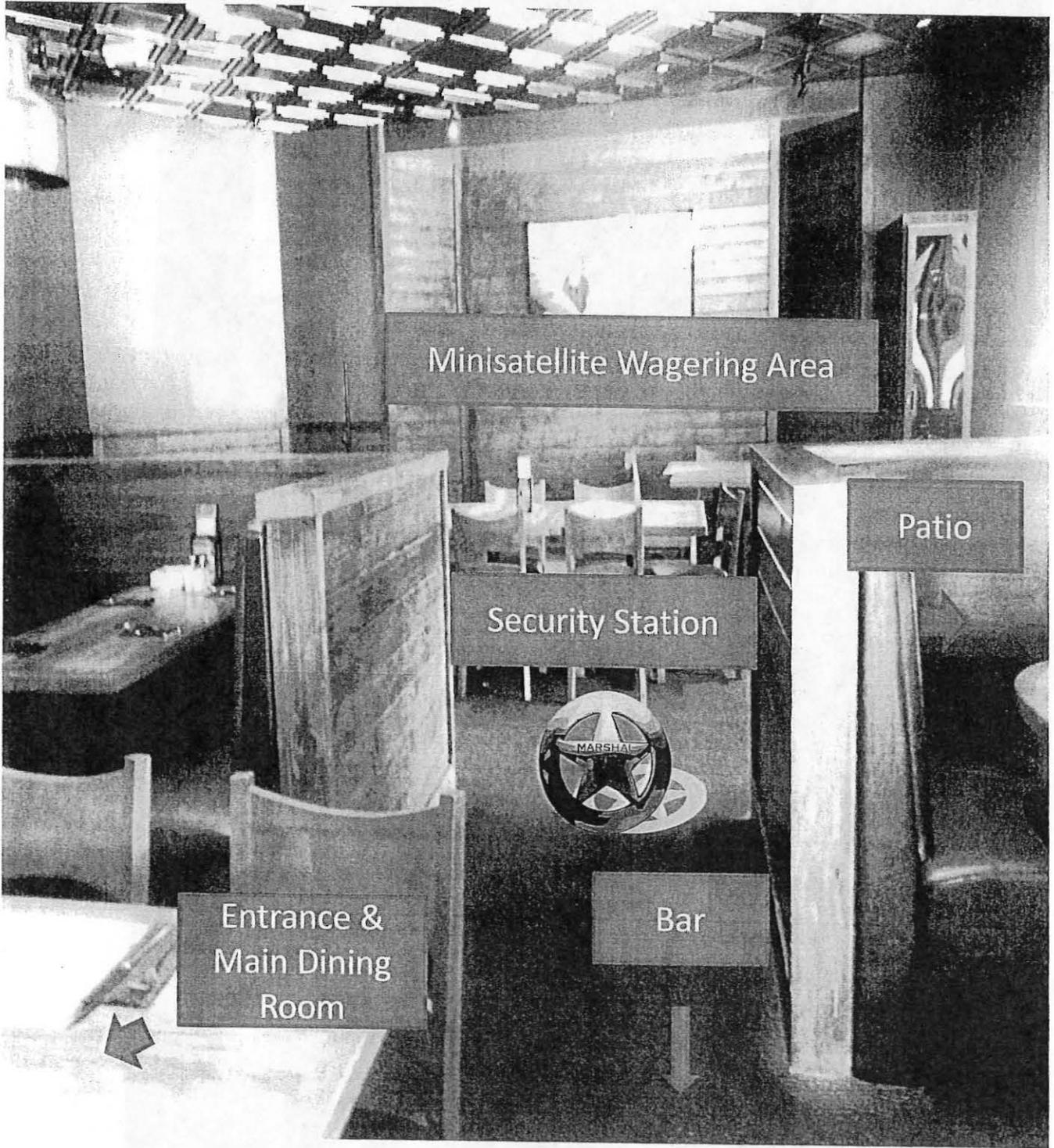
7. Advertising and Promotional Plans. A co-op advertising budget (minimum \$15,000) comprised of funding from the CMC, Sportech and Silky Sullivan's will be used to promote the opening of the facility (subject to 2013 budgeting approvals).

11. 20 Mile Radius. The nearest satellite wagering facility, racetrack, or tribal casino that has a satellite wagering facility is Ventura County Fairgrounds which is 26 miles "as the crow flies." The site is 33 miles from Hollywood Park and 46 miles from Santa Anita. As such, **no waivers are required.**

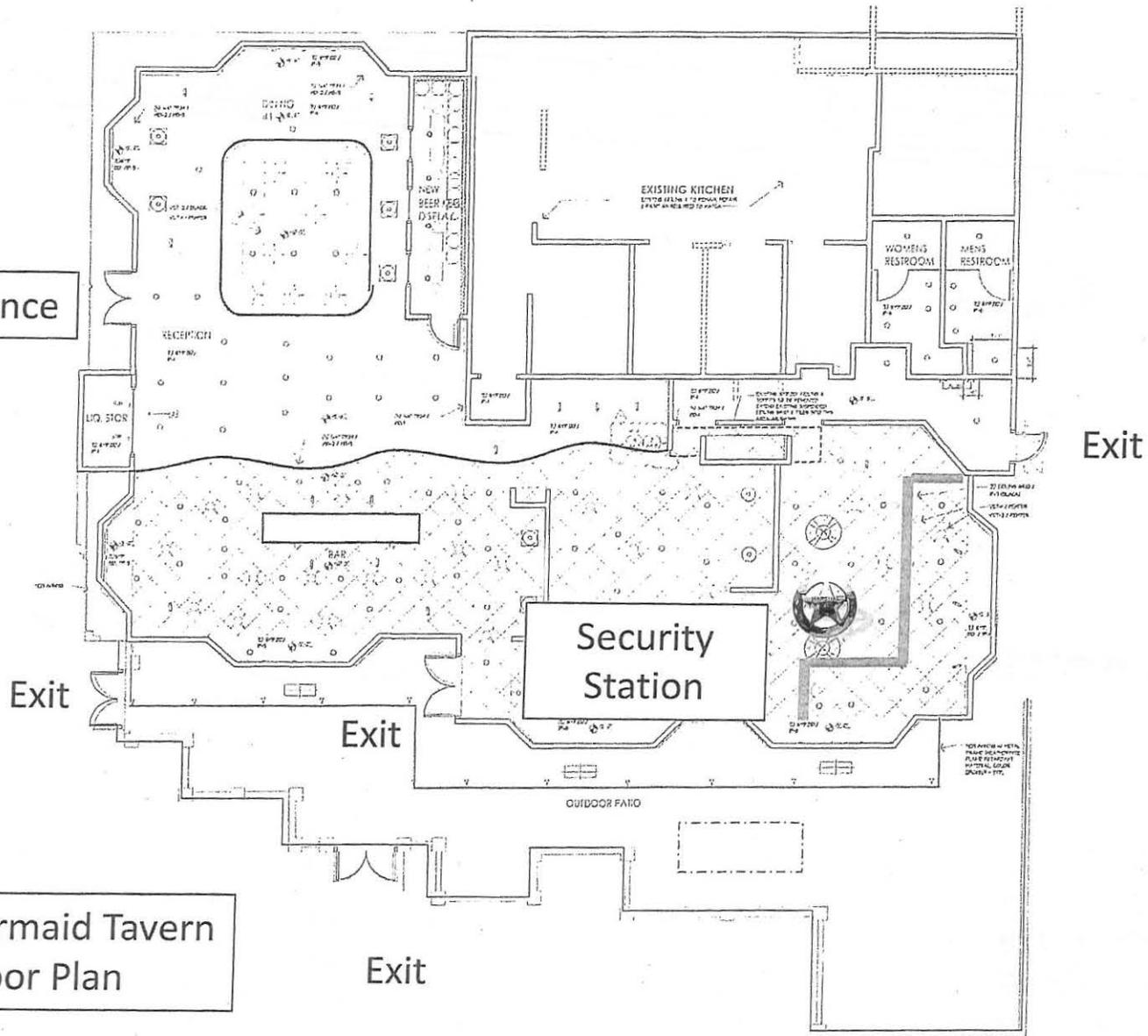
12. City Approval. See Exhibit.

Mermaid Tavern Organization Chart



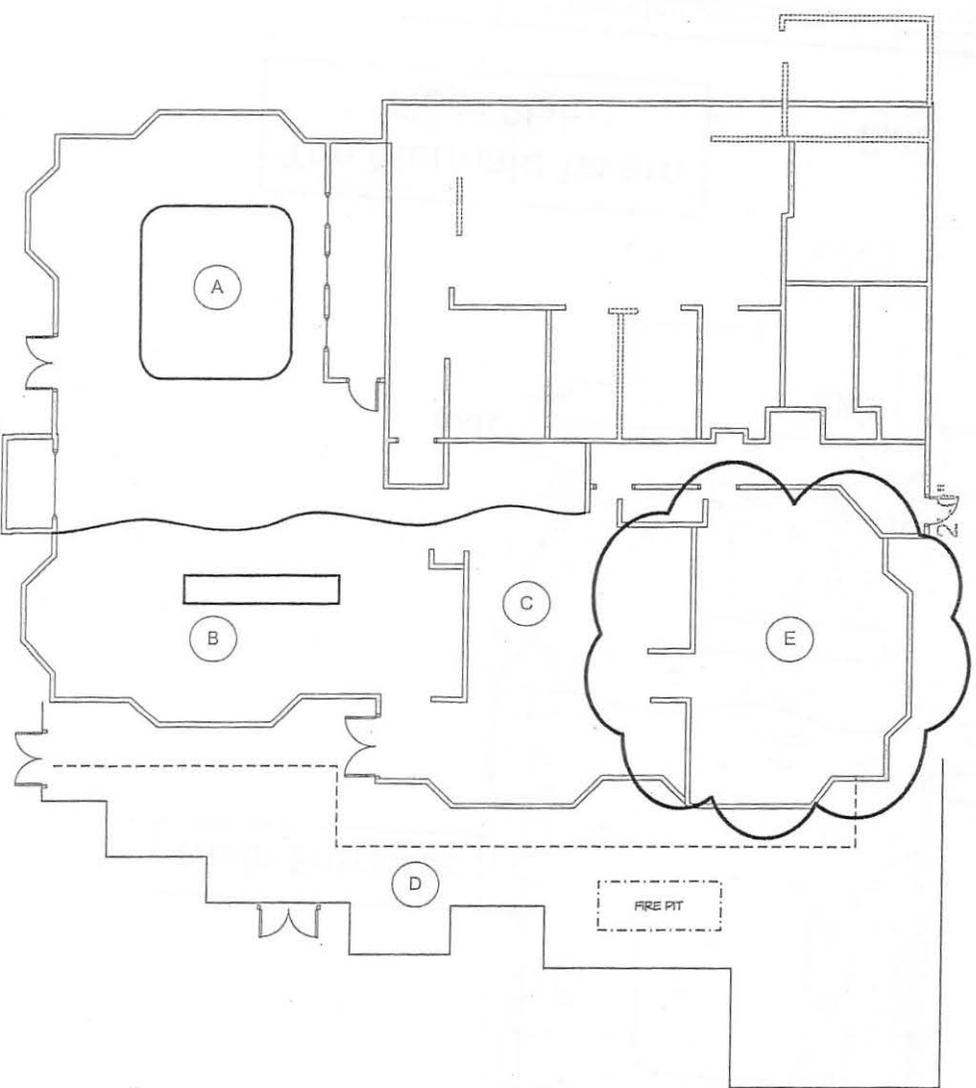


Main Entrance

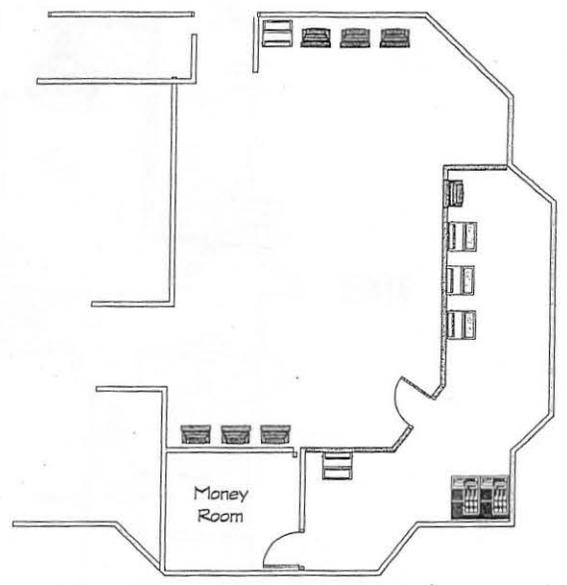


The Mermaid Tavern
Floor Plan

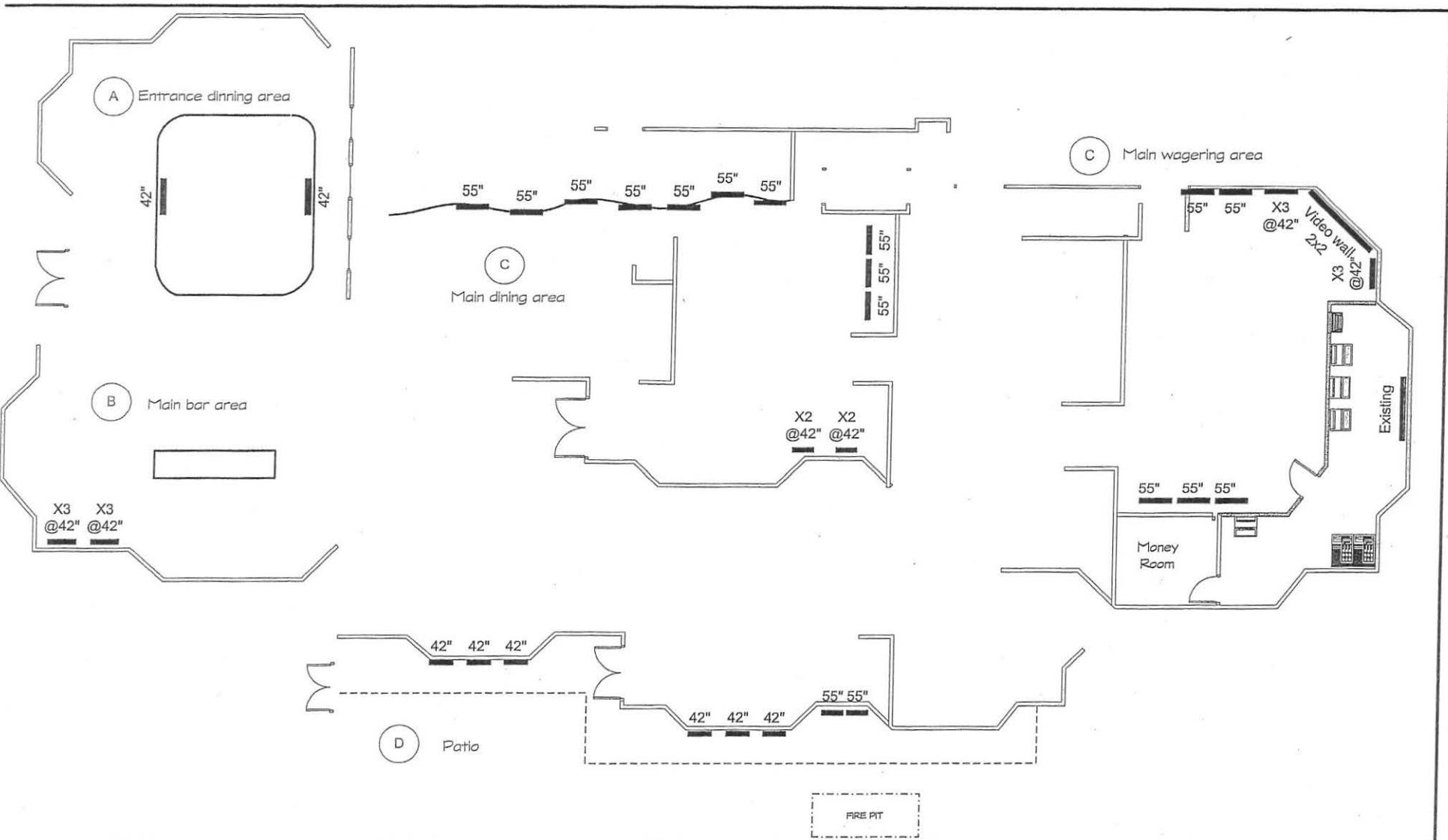
A	Mermaid Tavern_Thousand Oaks	PROJECT	Sportech	Revised by:
7, 2013	Original Floor plan	Mermaid Tavern Northstar Plaza-Bldg. F 1346 E. Thousand Oaks Blvd. Thousand Oaks, CA 91362	4961 Kalella Ave. Los Alamitos, CA 90270	



- (A) — (E) See AV Layout
- (5) SPORTECH wagering terminal
- (2) Tablet or WINCORE Wagering terminal
- AV and wagering comm rack



Revision:	A	Mermaid Tavern_Thousand Oaks	PROJECT	Mermaid Tavern	Sportech	Drawing by:	Lance Ku	Sheet:	2 of 7
	Mar 14, 2013	Visio_Concprpt v1		1345 E. Thousand Oaks BLVD. Thousand Oaks, CA 91362	4961 Katella Ave. Los Alamitos, CA 90270				



Revised:	A	Mermaid Tavern_Thousand Oaks	PROJECT	Sportech 4961 Katella Ave. Los Alamitos, CA 90270	Drawing by:	Lance Ku	Sheet:
	Apr 27 2013	Audio/Micro Layout	Mermaid Tavern 1345 E. Thousand Oaks BLVD. Thousand Oaks, CA 91362				4 of 7

Breakfast

Breakfast is served from 9 am-12 pm

Create Your Own Omelet 8.95

Choose up to 4 items. Add 50¢ per additional item. Served with hash browns.

Bacon	Sausage	Ham
Bell Pepper	Green Onion	Spinach
Cheddar Cheese	Mozzarella	Mushroom
Fresh Tomato	Jalapeño	

3 Eggs Any Style 8.95

Comes with an English muffin or toast and choice of bacon, sausage or ham. Served with hash browns.

Eggs Benedict 8.95

Poached eggs over a toasted English muffin, country ham, white cheddar and hollandaise sauce. Served with hash browns.

French Toast 6.95

Thick slices of French toast, maple syrup, amaretto whipped cream with a choice of bacon, sausage or ham.

Pancakes 5.95

Buttermilk pancakes with maple syrup and choice of bacon, sausage or ham. Additional ingredient 50¢ per item.

Blueberries	Bananas	Apples
Chocolate Chips	Strawberries	

Belgium Waffle 5.95

Whipped cream, strawberries and maple syrup.

Breakfast Burrito 7.95

Scrambled eggs cooked with salsa, peppers and onions, crispy hash browns and sausage, wrapped in a flour tortilla.

Tavern Breakfast Sandwich 6.95

Bacon, egg, cheese and avocado served on a toasted English muffin. Served with hash browns.

Lox and Bagel 10.95

Fresh smoked salmon presented with a toasted bagel, cream cheese, capers, thin sliced onion, and tomato.

Side Dishes

Sausage, Bacon or Ham 3.95

Bowl of Brown Sugar Oatmeal with Fresh Berries 4.95

Hash Browns 2.95

Toast or English Muffin 1.95

Yogurt Parfait 4.95

Strawberry yogurt topped with fresh fruit granola

Drinks

Fresh Juice 2.50

Choice of apple, orange, pineapple, grapefruit, tomato or cranberry

Coffee or Tea 2.50

Cocktails

Mimosa 3.00

Belini (Strawberry, Peach, Mango, Raspberry) 5.00

Bloody Mary 6.00

Mermaid Mary with Premium Vodka & Cajun Shrimp 10.00

Lunch

Mermaid Tavern Chowder	Cup 4
<i>A cream based chowder with plump clams, potatoes, fire roasted corn and herbs</i>	
Chef's Award Winning Chili	Cup 4
<i>A delightful blend of spices, vegetables, and beef in a thick and zesty tomato base, finished with shredded cheddar cheese and sweet onions</i>	
Soup of the Day	Cup 3
<i>Ask your server about our selection</i>	
Caprese Salad	7
<i>Composed of fresh buffalo mozzarella, beefsteak tomatoes, fresh basil, extra virgin olive oil, and a balsamic drizzle</i>	
Mermaid Cobb Salad	10
<i>A blend of mixed greens, chicken, tomatoes, gorgonzola, cucumbers, avocado, hard boiled egg, Applewood smoked bacon, and our homemade ranch dressing</i>	
Tavern Caesar	8 Add chicken 11
<i>Crisp romaine hearts presented with garlic croutons, parmesan cheese, and our homemade Caesar dressing</i>	
Ahi Tuna Salad	12
<i>Sushi grade Ahi tuna seasoned and lightly seared set atop mixed greens, and accompanied by red peppers, cucumbers, cilantro, Napa cabbage, sweet onions and finished with a wasabi soy dressing</i>	
Farmer's Market Salad	9
<i>A mélange of crisp root vegetables tossed in a zesty roasted beet vinaigrette dressing</i>	
Thousand Oaks Steak Salad	11
<i>Marinated beef tri-tip grilled to your specification served over fresh arugula tossed with balsamic vinaigrette, blue cheese crumbles, cherry tomatoes with caramelized red onion</i>	
Tavern Burger	9
<i>8 oz. Angus beef patty grilled to your specification, and accompanied by a sun dried tomato aioli, crisp lettuce, tomato, and sweet red onion served with French fries</i>	
Cod Sandwich	8
<i>Icelandic cod fried golden brown served on a toasted bun with cheddar cheese and tarter sauce served with French fries</i>	
Mojo Chicken Sandwich	10
<i>Grilled chicken breast topped with prosciutto, Swiss cheese and tomato, served on an artisan ciabatta roll with a tangy mojo sauce served with French fries</i>	

Express Lunch 8.95

Choose one sandwich, a cup of soup or salad, and one side

Sandwiches	Soups/Salads	Sides
Half BLT	Chili	Fresh Fruit
Half Chicken Salad	Clam Chowder	French Fries
Half Club	Soup of the Day	Pasta Salad
Half Grilled Cheese	Tavern Caesar	Cous Cous
Half Roasted Vegetable	House Salad	

Ask your server about Chef Michle's Blue Plate special of the day.

Small Plates

Empanadas	7
<i>Flakey puff pastry filled with seasoned beef and cheeses; served with salsa</i>	
Crab Cakes	11
<i>Fresh crab, dijon mustard, bell peppers and bread crumbs served with Creole remoulade</i>	
Shrimp Vegetable Spring Rolls	9
<i>Shrimp and mixed vegetables wrapped in rice paper with Asian dipping sauces</i>	
Chicken Quesadilla	7
<i>Queso fresco & cheddar, grilled chicken, fire roasted salsa</i>	
Tuna Tar Tar	12
<i>Stack of fresh Sushi grade tuna, avocado, mango, sesame soy vinaigrette</i>	
Hummus Trio	8
<i>Roasted red pepper, basil-spinach and sesame-garlic hummus, served with pita chips</i>	
Tavern Wings	9
<i>Sweet and spicy sauce, carrot & celery, blue cheese dipping sauce</i>	
Braised Beef Sliders	10
<i>Pulled braised beef shortribs, caramelized onion, horseradish cream</i>	
Grilled Artichokes	9
<i>Fresh grilled artichokes served with garlic mayonnaise</i>	
Shrimp Cocktail	10
<i>Steamed Jumbo Gulf Shrimp, served with a classic cocktail sauce</i>	
Potato Skins	8
<i>Cheddar, bacon, green onion and sour cream</i>	
Duck Confit Tacos	10
<i>Mini won ton tacos; duck confit, Napa cabbage, sweet cherry vinaigrette</i>	
Tavern Onion Strings	7
<i>Thinly sliced onions dusted in seasoned flour, served with Ranch dressing</i>	
Pepperoni & Mushroom Pizza	9
<i>Pepperoni, mushrooms, mozzarella, basil and a zesty tomato sauce</i>	

Salads and Greens

Caprese Salad	9
<i>Sliced ripe tomato, fresh mozzarella, balsamic reduction, virgin olive oil and basil</i>	
Mermaid Chopped Salad	10
<i>Iceberg, cucumber, tomatoes, scallions, roasted corn, blue cheese, avocado puree and bacon, tossed with our house vinaigrette</i>	
Tavern Caesar	8
<i>With chicken</i>	11
<i>Hearts of romaine, caesar dressing, parmesan cheese and garlic croutons</i>	
Ahi Tuna Salad	12
<i>Seared Ahi Tuna, Napa cabbage, red onion, peppers; sweet soy vinaigrette wasabi creme</i>	
Summer Salad	8
<i>Watermelon, beefsteak tomatoes, fresh mint, balsamic glaze</i>	

Soup

	Cup	Bowl
Mermaid Tavern Chowder	3	6
<i>A cream based chowder with plump clams, potatoes, fire roasted corn and herbs</i>		
Chef's Award Winning Chili	3	6
<i>A delightful blend of spices, vegetables, and beef in a thick and zesty tomato base, finished with shredded cheddar cheese and sweet onions</i>		
Soup of the Day	3	6
<i>Ask your server about our selection</i>		

Tavern Burgers and Sandwiches

Served with choice of French fries, or side salad

Tavern Burger	11
<i>The Classic; lettuce, tomato, onion, Tavern spread</i>	
Mushroom & Swiss Burger	12
<i>Sauteed mushrooms, Swiss cheese, lettuce, tomato, onion and mayonnaise</i>	
BBQ Bacon Burger	12
<i>BBQ sauce, thick sliced bacon, crispy onion strings, smoked gouda</i>	
Turkey Burger	11
<i>Ground turkey, fresh avocado, radish sprouts, beefsteak tomato, Tavern spread</i>	
Buffalo Chicken Sandwich	10
<i>Crispy chicken breast, spicy buffalo sauce, shredded lettuce, tomato, blue cheese dressing</i>	
Grilled Vegetable Sandwich	9
<i>Zucchini, yellow squash, eggplant, bell pepper, smoked gouda, roasted garlic aioli, fresh arugala</i>	
Ahi Tuna Sandwich	13
<i>Sushi Grade Ahi tuna lightly seared, served on a rustic roll with a wasabi aioli, sliced tomatoes, and Asian slaw</i>	
Tenderloin Sandwich	13
<i>Beef tenderloin, caramelized onions, horseradish aioli and arugala, served on a ciabatta roll</i>	

Entrees

Linguini with Clams	16
<i>Steamed baby clams, garlic, white wine, chili flakes, diced tomatoes</i>	
Herb Rotisserie Chicken	15
<i>Roasted 1/2 chicken, roasted chicken jus, garlic mashed potatoes, vegetable du jour</i>	
Penne Arrabiata with Chicken or Gulf Shrimp	Chicken 14 Shrimp 17
<i>Sauteed chicken, mushroom and spinach with a spicy marinara sauce</i>	
Atlantic Salmon	17
<i>Grilled salmon, garlic spinach, white rice, dill cream sauce</i>	
Fillet Mignon	26
<i>8 oz. beef tenderloin, fresh vegetables, mashed potato, mushroom demi-glace</i>	
Tilapia Piccata	16
<i>Sauteed Tilapia, lemon caper white wine sauce, mashed potatoes, fresh vegetables</i>	
Fish and Chips	15
<i>Guinness Stout battered Alaskan cod, fries, cole slaw</i>	

SIDES: Cous Cous, Rice Pilaf, Garlic Mashed Potatoes,
Linguine Vegetables, Sauteed Garlic Spinach

Add \$3 for all sides

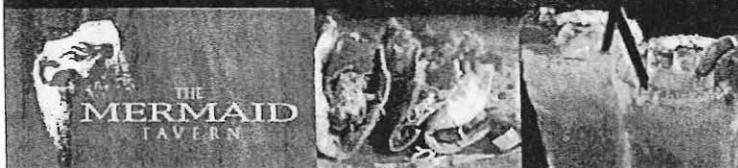
Catering & Private Parties

Inquire with your server.

Taco Tuesdays

**\$1 Island Fish Tacos
and Steak Tacos**

Every Tuesday from 4-7 p.m. for Happy Hour



Margaritas 5.00

Classic, Mango, Strawberry, Peach and Pomegranate

Cadillac Margaritas 7.00

Coronas 3.50

Coors Light and Bud Light 3.00

Tacos cannot be combined with any other offers or discounts.
Available in bar area and patio only.

Happy Hour Drink Specials

3-7 p.m. Monday-Friday

And from 10 p.m. to Close for Industry Hour
(Monday-Thursday)



\$1.50 Off All Draft and Bottle Beer

\$4 Well Mixed Drinks

Rum and Coke
Vodka/Gin and Tonic or Soda
Tequila Sunrise
Bourbon and Coke
Screwdriver
Cape Cod

\$4 House Wines

Chardonnay
Pinot Grigio
Merlot
Cabernet Sauvignon
Mimosas

\$5 Well Cocktails

Margaritas
Strawberry Daiquiri
Pina Coladas
Long Island Iced Tea

\$7 Martinis

Cosmopolitan
Lemon Drop
Appletini
Dirty Martini

Live Entertainment

Thursday-Saturday from 8 p.m. until Close

Available in bar area and patio only.

Wine

SPARKLING / PROSECCO

	Glass	Bottle
Domaine St. Michelle <i>Brut, Columbia Valley</i>	6	22
La Marca Prosecco Di Conegliano <i>Italy</i>	8	26

WHITE WINES

J. Lohr Bay Mist White Riesling <i>Monterey 2010</i>	7	24
Summerland Paradise Road Viognier <i>Santa Ynez Valley 2010</i>	10	32
Martin Codax Albarino <i>Rias Baixas, Spain 2010</i>	9	29
Letcher Pinot Grigio <i>Trentino, Italy 2010</i>	9	29
Kim Crawford Sauvignon Blanc <i>Marlborough, New Zealand 2011</i>	9	29
Hayman & Hill "Reserve Selection" Chardonnay <i>2010</i>	7	24
Bridlewood Chardonnay <i>Monterey County 2010</i>	9	29
Sanford Chardonnay <i>Santa Barbara County 2009</i>		44

RED WINES

Bridlewood Pinot Noir <i>California 2010</i>	8	26
Brophy-Clark Pinot Noir <i>Santa Barbara County 2009</i>	9	29
Edna Valley Pinot Noir <i>San Luis Obispo County 2010</i>	11	35
Ferrari-Carano Merlot <i>Sonoma County 2009</i>	12	38
Rutherford Ranch Merlot <i>Napa Valley 2009</i>	9	29
J. Lohr Seven Oaks Cabernet Sauvignon <i>Paso Robles 2010</i>	9	29
Educated Guess Cabernet Sauvignon <i>Napa Valley 2010</i>	12	38
Falernia "Reserva" Syrah <i>Elqui Valley, Chile 2007</i>	8	26
Dona Paula Estate Malbec <i>Mendoza, Argentina 2010</i>	11	35
Rancho Zabaco Heritage Vine Zinfandel <i>Dry Creek Sonoma 2010</i>	8	26
Vina Gormaz Tempranillo <i>Ribera Del Duero, Spain 2010</i>	8	26
Querciabella "Mongrana" Super Tuscan Blend <i>Italy 2009</i>	13	41
Stags Leap wine cellars Artemis Cabernet Sauvignon <i>2007</i>		72

Beverages

Soda	2.95
<i>Pepsi, Diet Pepsi, Sierra Mist, Canada Dry Ginger Ale, Dr. Pepper, Orange Crush, or Mountain Dew</i>	
Bottled Sprecher Root Beer	3.50
Kyra's Fresh Squeezed Lemonade	3.50
Kyra's Fresh Squeezed Strawberry-Vanilla Lemonade	3.50
Coffee	2.50
Hot Tea	2.95
Fresh Brewed Iced Tea	2.95

Beer

CIDER / PILSNER / BLONDE / WHEAT

Draught:

Select any 5 mini draught beers, available as a flight for 10.00

Rotating Seasonal Cider <i>abv & Ibu- A/Q, Gluten Free</i>	5.00
Scrimshaw Pilsner <i>North Coast Brewing, Fort Bragg, CA, 4.4% abv, 22 ibu</i>	5.50
805 Honey Blonde <i>Firestone, Paso Robles, CA, 5.4% abv, 13.5 ibu</i>	6.00
Allagash White "Belgian Style" <i>Portland, ME, 5.2% abv, 13 ibu</i>	6.00
Hacker P'schorr Hefe-Weissbier <i>Germany, 5.5% abv, 15 ibu</i>	6.50

Bottle:

Green's Amber Ale <i>Belgium, 6% abv, Gluten Free (500 ml)</i>	11.00
Tangerine Wheat <i>Eureka, CA 5% abv, 20 ibu (12 oz.)</i>	5.50
In Heat Wheat <i>Flying Dog Brewery, Frederick MD, 4.7% abv, 12 ibu (12 oz.)</i>	5.50
Delirium Tremens, Melle <i>Belgium, 9.1% abv, 26 ibu (750 ml)</i>	19.00
Weihenstephaner Original <i>Germany, 5.1% abv, 24 ibu (500 ml)</i>	6.50
Julian Cherry Bomb Hard Cider <i>5.9% abv, Gluten Free (22 oz.)</i>	12.00

PALE ALE / AMBER

Draught:

Mermaid Seasonal Craft Selection, Rotating Handle <i>abv & Ibu- A/Q</i>	6.00
Red Nectar Firestone <i>Paso Robles, CA, 5.4% abv, 35 ibu</i>	5.50
Alaskan Amber Ale <i>Juneau, Alaska 5.5% abv, 18 ibu</i>	6.50
Firestone DBA <i>Paso Robles, CA, 5% abv, 35 ibu</i>	5.50
Hop Notch IPA <i>Salt Lake City, UT, 7.3% abv, 82 ibu</i>	6.50
Stone Brewery <i>Rotating Handle, abv & ibu - A/Q</i>	6.50
Green Flash West Coast IPA <i>San Diego, CA, 7.3% abv, 95 ibu</i>	6.50
Downtown Brown <i>Eureka, CA, 5% abv, 14 ibu</i>	6.50
Paulaner Salvator Doppelbock <i>Germany, 7.9% abv, 22 ibu</i>	6.50
Oskar Blues Pale Ale <i>Lyon, CO, 6.5% abv, 65 ibu</i>	5.50
Pranqster <i>North Coast Brewing, Fort Bragg, CA, 7.6% abv, 20 ibu</i>	6.50

Bottle:

Magic Hat #9 Fruit Beer Ale <i>South Berlington, VM 5.1% abv, 20 ibu (12 oz.)</i>	5.50
Chimay Ale "White" Tripel <i>Belgium, 8% abv, 36 ibu (330 ml)</i>	10.00
Hop Head Red <i>Green Flash Brewing, San Diego, CA, 7% abv, 70 ibu (22 oz.)</i>	12.00
AleSmith Horny Devil <i>San Diego, CA, 11% abv, 65 ibu (750 ml)</i>	20.00
Union Jack IPA <i>Paso Robles, CA, 7.5% abv, 72 ibu (12 oz.)</i>	5.50

PORTERS / STOUTS

Draught:

Guinness Stout <i>Ireland, 5% abv, 40 ibu (16 oz.)</i>	6.50
Oil Piers Porter <i>Ventura Surf Brewery, Ventura, CA 30 ibu, 5.3% abv</i>	6.50

Familiar Bottled Brew:

Heineken	5.00	Samuel Adams	5.00
Corona	5.00	Bud Light	4.00
Stella Artios	5.00	Coors Light	4.00
Saint Pauli Girl <i>Non alcoholic brew</i>	5.00	Pilsner Urquell	5.00

STAFF ANALYSIS

July 18, 2013

Issue: RENEWAL APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY BY THE ORG RESTAURANTS, LLC, SANTA MARIA.

ORG Restaurants, LLC, filed an application for renewal of its license to operate a minisatellite wagering site in its Original Roadhouse Grill restaurant in Santa Maria. ORG's current application expires August 25, 2013. Southern California Off-Track Wagering, Inc. (SCOTWINC) has acted as the coordinator during the renewal process. ORG's minisatellite application proposes to operate August 26, 2013, through August 25, 2018, for a period of up to five years. ORG's application indicates there were no city or county requirements that affect the proposed minisatellite facility.

- The Original Roadhouse Grill is a restaurant located in Santa Maria, California. The wagering site is located in the Southern zone.

Business and Professions Code section 19605.25 (a) (1) provides no minisatellite may be within 20 miles of a racetrack, a satellite wagering facility, or a tribal casino that has a satellite wagering facility. If the proposed facility is within 20 miles of one of the above-referenced satellite facilities, then the consent of each facility within a 20-mile radius must be given before the proposed facility may be approved by the Board.

- SCOTWINC has provided there is no racetrack(s), satellite wagering facility or tribal casino that has a satellite wagering facility located within 20 radius miles of the applicant.
- ORG Restaurant, LLC has contracts/agreement with the following racing associations:

- California Authority of Racing Fairs
Watch and Wager
Del Mar Thoroughbred Club
Hollywood Park Racing Association
Los Alamitos Quarter Horse Racing Association
Los Angeles County Fair
Los Angeles Turf Club
Pacific Racing Association

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Southern California Off Track Wagering, Inc. (SCOTWINC).
- Applicant proposes to operate minisatellite wagering site 10:30 a.m. - 12:00 a.m. (7 a.m. on selected days) Racing Days/Nights per CHRB racing calendar. Dark day simulcasting per host track as feasible.
- Estimated number of pari-mutuel terminals machines available: 2 convertible teller/self-service; 6 dedicated self-service. Seating Capacity is 220; the number of tables in the minisatellite wagering area is 12. Fourteen 55 inch HD LCD TVs, four 47 inch, four 32

inch, two 46 inch and two 50 inch TVs, Dish Network and receivers.

- Staffing: Mutuel Supervisor; Admission Clerk; Self Service-Attendant (to be determined as needed); 1 Security officer per shift and two on major event days as needed.

RECOMMENDATION:

Staff recommends the Board approve the application for renewal.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

Part I: To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

Part II: To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

Part III: To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

PART I
MINISATELLITE WAGERING APPLICANT INFORMATION

APPLICANT INFORMATION

Name of applicant: <u>ORG RESTAURANTS, LLC</u>		<input type="checkbox"/> Card Room/Gambling Establishment	
The applicant is:		<input checked="" type="checkbox"/> Restaurant/Bar	
<input type="checkbox"/> Racing Association		<input type="checkbox"/> Other Business Entity	
<input type="checkbox"/> Fair			
<input type="checkbox"/> Federally Recognized Indian Tribe			
Facility street address: <u>1423 South Bradley Road</u>			
Mailing address (if different from above): <u>As Above</u>			
E-mail address: <u>lbryant@originalroadhousegrill.com</u>			
City: <u>Santa Maria</u>	State: <u>CA</u>	Zip Code: <u>93454</u>	
Phone: <u>(805) 614-0586</u>	Fax: <u>(805) 614-4246</u>	Website: <u>www.originalroadhousegrill.com</u>	
County: <u>Santa Barbara</u>	Zone Location: <input type="checkbox"/> <input type="checkbox"/>		
	North <input type="checkbox"/> South <input type="checkbox"/> Central <input type="checkbox"/>		
(To be completed by CHRB staff)			

CONTACT PERSON
 (Authorized Representative)

Name and title of the contact person: <u>Linda Bryant, Vice President Operations</u>		
Business street address: <u>3061 Riverside Dr.</u>		
Mailing address (if different from above): <u>As Above</u>		
City: <u>Los Angeles</u> County: <u>Los Angeles</u>	State: <u>CA</u>	Zip Code: <u>90039</u>
Phone: <u>(323) 674-0203 #141</u>	E-mail: <u>lbryant@originalroadhousegrill.com</u>	Fax: <u>(323) 674-0212</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

8/26/2013 to 8/25/2018

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes No.

Do you currently operate a licensed gaming operation in California or another state? Yes No.

If yes to either question above, provide the following:

Valid licensure period: Begin Date: 8/26/2011 End Date: 8/25/2013

Facility name and address: Original Roadhouse Grill 1423 South Bradley Road

City: Santa Maria State: CA Zip Code: 93454

County: Santa Barbara

Zone Location:
North South Central
(To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes No.

If yes, provide the following if different from above:

Facility name and address: Not Applicable

City: State: Zip Code:

Racing Jurisdiction:

BUSINESS STRUCTURE

- Corporation
 - Public
 - Private
 - Sub-S
 - Sub-C

- Partnership & Joint Venture
- Sole Proprietorship

Other
If you have listed your company as other please identify your company structure:

- Limited Partnership
- Limited Liability Company

Registered Business Name: ORG Restaurants, LLC

Fictitious Business Name: Original Roadhouse Grill

Address: 3061 Riverside Dr.

E-mail Address: lbryant@originalroadhousegrill.com

City: Los Angeles State: CA Zip Code: 90039

Phone: (323) 674-0203 Fax: (323) 674-0212

State where registered or Articles of Organization are filed: CA Registry or File number: 200225210046

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 5/23/13

30-day Notice Letter:

Fee received by:

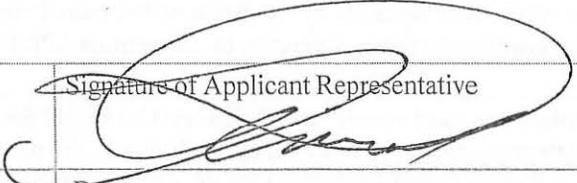
Approved on:

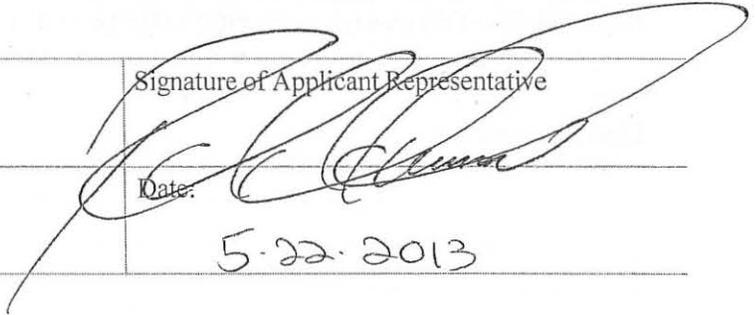
Reviewed by: EW

License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name Lawrence P. Cimmarusti	Signature of Applicant Representative 
Title Manager	Date: 5-22-2013

Name Ralph J. Cimmarusti	Signature of Applicant Representative 
Title Manager	Date: 5-22-2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

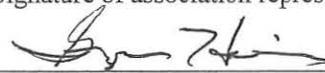
Name of Association: LOS ANGELES TURF CLUB

Address: 295 W. HUNTINGTON DR., ALCEDIA, CA 91007

Telephone: 626 574 7773 Fax number: 626 821 1514

Racetrack name: SANTA ANITA

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>	
Name <u>GEORGE HAINES</u> Title <u>PRESIDENT</u>	Signature of association representative:  Date: <u>6/26/13</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

Name of Association: PACIFIC RACING ASSOCIATION

Address: 1100 Eastshore Highway, Albany, CA 94706

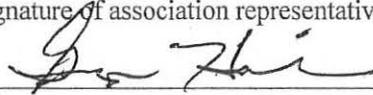
Telephone: 510-868-6600 Fax number: 510-559-7465

Racetrack name: GOLDEN GATE FIELDS

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:

Name GEORGE HAINES



Title PRESIDENT

Date: 6/26/13

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

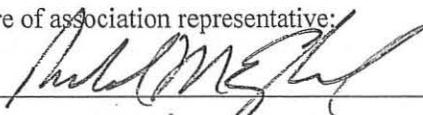
Name of Association: Los Alamitos Quarter Horse Racing Association

Address: 4961 KATELLA AVE Los Alamitos, CA 90720

Telephone: (714) 820-2671 Fax number: (714) 820-2641

Racetrack name: Los Alamitos

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

<hr/>	
Name <u>Richard ENGLISH</u>	Signature of association representative: <u></u>
Title <u>chairman</u>	Date: <u>6/28/13</u>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

Name of Association: WATCH AND WAGER.COM LLC
Address: 2335 AMERICAN RIVER DR # 301, SACRAMENTO, CA 95825
Telephone: 916-800-1395 Fax number: _____
Racetrack name: CAL EXPO HARNESS RACING

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:
Name CHRISTOPHER T. SCHICK
Title GENERAL MANAGER Date: 6/30/2013

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

Name of Association: Hollywood Park Racing Association

Address: 1050 South Prairie Avenue, Inglewood, CA 90301

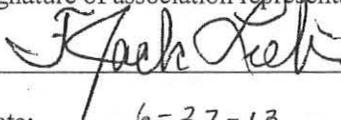
Telephone: 310-419-1500 Fax number: 310-671-4460

Racetrack name: Betfair Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Signature of association representative:

Name F. Jack Liebau



Title President

Date: 6-27-13

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

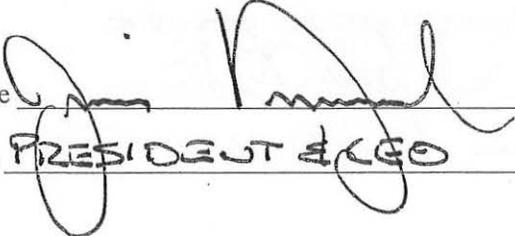
Name of Association: Los Angeles Co. Fair Assn.

Address: 1101 W. McKinley Ave., Pomona CA 91768

Telephone: 909 865-4201 Fax number: 909 865-2481

Racetrack name: Barretts Racemeet @ Fairplex

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name 
 Title PRESIDENT & CEO

Signature of association representative:

LOS ANGELES COUNTY FAIR ASSN.

Date: 6/26/2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

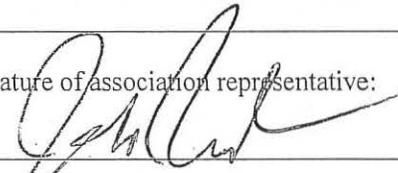
PART II
CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

Name of Association: Del Mar Thoroughbred Club
Address: PO Box 700 Del Mar, CA 92014
Telephone: 858.755.1141 Fax number: 858.794.1007
Racetrack name: _____

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Josh Rubinstein Signature of association representative: 
Title COO Date: 6/25/13

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

PART II
CONTRACTED ASSOCIATION INFORMATION
One copy of Part II shall be completed by each contracted association

Original Roadhouse Grill, Santa Maria (Renewal)

Name of Association: California Authority of Racing Fans
Address: 1776 Tribute Rd, Sacramento CA 95815
Telephone: 916-927-7223 Fax number: 916-263-3341
Racetrack name: CARF

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

CHRISTOPHER KORB Y

Name CHRISTOPHER KORB Y Signature of association representative: Christopher Korby
Title Executive Director Date: July 1, 2013

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

**PART III
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

I. OPERATION OF THE MINISATELLITE WAGERING FACILITY

Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

See Exhibit A

Submit a copy of each horsemen's written approvals.

See Exhibit B

Hours for operation of the facility: **11:00 a.m. to 10:00 p.m. Monday-Thursday and 11:00 a.m. to 11:00 p.m. Friday-Saturday, or as needed to accommodate minisatellite operational hours, below.**

Hours for operation of the minisatellite wagering site: **10:30 a.m. - 12:00 a.m. (7 a.m. on selected days) Racing Days/Nights per CHRB calendar; dark day simulcasting per host track as feasible.**

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): **NONE**

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s):

See Exhibit C		
---------------	--	--

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See Exhibit D**

Estimated number of pari-mutuel terminals machines available:
2 convertible teller/self-service; 6 dedicated self-service

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b). **Mutuel Supervisor/Clerks/Self-Service attendant (to be determined as needed); one security officer per shift, two on major event days as/if needed.**

II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
John Tintle	108350 11/2013

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHR-88 (New 11/08)

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):
O.R.G. Restaurants, LLC provides coverage for its employees, only. Exhibit E

Attach a fire clearance from the fire authority having jurisdiction. **Exhibit F**

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. **One security officer per shift, two on major event days as/if needed.**

Is there a backup emergency plan for power failure? Yes No

If yes, describe.

III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. **See Exhibit G**

NOTICE TO APPLICANT. Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **Full bar with Dining Room and Bar Menus; waiter service.**

The seating capacity in the minisatellite wagering facility is: **220, approx.**

The number of tables in the minisatellite wagering area is: **12, approx.**

Overall square footage in the minisatellite wagering area is: **1,100 sq.ft. for wagering area. 7,070 sq.ft. for total building.**

Attach a photograph of the minisatellite wagering area. **See Exhibit H**

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: **N/A**

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **152. See Exhibit**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **N/A**

SECURITY PLAN FOR MINI-SATELLITE WAGERING

THE ORIGINAL ROADHOUSE GRILL

Santa Maria, California

Following is an outline of all aspects of security relating to the conduct of Mini-Satellite Wagering on horseracing at 1423 South Bradley Road, Santa Maria, California

1. Security Personnel

A uniformed security guard will be deployed during all hours of mini-satellite wagering. Staffing will be increased as necessary to maintain proper operational control.

One uniformed security guard will be deployed on a stationary basis at the entrance to the mini-satellite. This individual will check the identification of everyone entering the mini-satellite to ensure age compliance and will hand stamp those patrons that meet the age restriction requirements. NO ONE UNDER THE AGE OF 21 WILL BE ALLOWED TO ENTER THE MINI-SATELLITE.

2. Mini-Satellite Mutuel Personnel

Mini-Satellite personnel (ticket sellers) are licensed by the California Horse Racing Board (CHRB) and will have their CHRB license on their person at all times while performing duties.

Industry regulations require them to be attired in a white shirt and black slacks while on duty.

3. Security Cameras

There are a total of seventeen security cameras located throughout the ORG, two of which is trained on the mini-satellite wagering area and on the mutuel counter area that contains teller operated wagering terminals and a small office for counting money and storing supplies.

5. Security Signage

Prominent signage will be placed in entrances and inside the mini-satellite wagering areas indicating:

YOU MUST BE 21 OR OLDER TO WAGER

THIS AREA UNDER ELECTRONIC SURVEILLANCE

6. Ticket Cashing Policy

Individual winning tickets (or multiple winning tickets on the same race) presented for payment will be subject to a maximum cash outlay of Five Thousand dollars (\$5,000). Amounts owed a customer in excess of this maximum will be paid by either same day check or voucher, at the discretion of the customer.

If a customer wishes to be paid in cash in excess of the \$5,000 maximum, he/she has two options:

- a. The ticket can be immediately taken to any racetrack in Southern California that is open for business at that time and cashed for the full amount of the winnings, or
- b. The ORG Mini-Satellite will arrange to remit the entire winning amount in cash within three (3) days of the date of initial presentation for payment. The payment will be made at a time to ensure optimum security and will include security escort to the individual's car.

7. Armored Car Service

Mini-Satellite armored car pickup and drop off service will be conducted once or twice a week, as necessary, on a random schedule, between the hours of 8am and 5pm.

8. Name of Responsible Person

The General Manager, Patrick Gorman, is the person who is responsible for security controls and can be reached on (805)614-4246. The Santa Maria Police Department who has jurisdiction over this area can be reached on (805) 928-3781.

V. EQUIPMENT PROVIDED BY THE MINISATILLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility:

NEW: 14 - 55" HD LCD; 4 - 47" HD LCD; 4 - 32" HD LCD; EXISTING: 2 - 50" HD LCD; 2-46" HD LCD; Downlink is by Roberts Communication Network DISH Network commercial downlink satellite system with RCN receiver/decoders.

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:
Speakers are located in main wagering area and in the outdoor patio area; sound controller/switcher located within the mutuel line.

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans: **Applicant to request co-op campaign with CMC to include direct mail, local radio, print and outdoor.**

Describe any improvements to the facility that will directly benefit minisatellite wagering: **None since original capital improvements were made.**

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	None
Parking charges, if any, are:	None
Program charges, if any, are:	Retail price per host track
Seating charges, if any, are:	None

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

Two. Originally licensed on August 25, 2011; opened for business on October 16, 2011.

State of California
 California Horse Racing Board
 Application for License to Operate a Minisatellite Wagering Facility
 CHRB-88 (New 11/08)

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

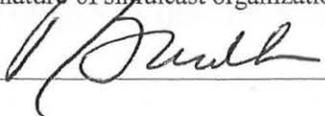
All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative: <i>LINDA BRYANT</i>	Date: <i>6/26/2013</i>
Signature of minisatellite facility representative: 	Date: <i>6/26/2013</i>
Print name of association representative: <i>SEE PART II</i>	Date:
Signature of association representative: <i>SEE PART II</i>	Date:
Print name of simulcast organization representative: <i>RICK BAEDERKER</i>	Date: <i>06/26/13</i>
Signature of simulcast organization representative: 	Date: <i>06/26/13</i>

State of California
California Horse Racing Board
Application for License to Operate a Minisatellite Wagering Facility
CHRB-88 (New 11/08)

AGREEMENTS

Attach copies of **all applicable county, city or agency agreements** that may affect the minisatellite wagering facility.
None were required; we are modifying the existing Business License with the City of Santa Maria.

NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

Lease Agreement &/or Simulcast Agreements pending approval.

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

N/A

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

N/A

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:

Date:

Exhibit B

Horsemen's Written Approvals



June 26, 2013

OFFICERS

MIKE PEGRAM
CHAIR
PABLO SUAREZ
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
ED MOGER
AT LARGE
JOHN SADLER
AT LARGE

Ms. Erica Ward
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: License Renewal – Original Roadhouse Grill Mini-Satellite, Santa Maria

DIRECTORS

MADELINE AUERBACH
BOB BAFFERT
DENNIS CARDOZA
MARK DEDOMENICO
MIKE HARRINGTON
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Ward,

The Thoroughbred Owners of California (TOC) supports approval of the renewal application by the California Horse Racing Board of the Original Roadhouse Grill in Santa Maria as a minisatellite.

Sincerely,

Joe Morris
President

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
KELLEE BREEN
CHIEF FINANCIAL OFFICER
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF
OPERATIONS

cc: Rick Baedeker, SCOTWINC

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

**P.O. Box 254767
Sacramento, CA. 95865
Phone (916) 263-7888**

**David Neumeister, President
Alan Horowitz, Executive Director**

June 26, 2013

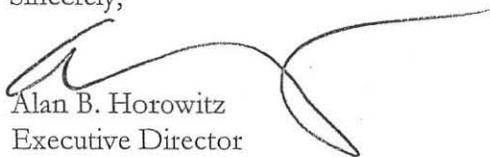
Ms. Erica Ward
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Ward:

This letter will confirm the approval of the California Harness Horsemen's Association for the renewal licensing of "Original Roadhouse Grill, the minisatellite in Santa Maria, CA.

If you have any questions, please do not hesitate to call me at your convenience.

Sincerely,


Alan B. Horowitz
Executive Director



Pacific Coast Quarter Horse Racing Association

June 26, 2013

Erica S. Ward
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Pacific Coast Quarter Horse Racing Association is the Horsemen's Organization designated by the State of California to represent racing Quarter Horse owners, breeders and trainers. This organization approves the licensing of The Roadhouse Grill in Santa Maria as a minisatellite.

If you have any questions please feel free to contact us.

Sincerely,

A handwritten signature in cursive script that reads "Dominic Alessio". The signature is fluid and extends to the right.

Dominic Alessio
President

Exhibit C & D

The Original Roadhouse Grill
 Santa Maria, CA
Mini-Satellite Renewal Application

July 2, 2013

1. **Applicant** – The Original Roadhouse Grill, Santa Maria, CA.
2. **Proposed Dates**
 5-year term: July 19, 2013 – July 18, 2018.
3. **Simulcast Organization**
 Southern California Off-Track Wagering, Inc.
 C/O CHRIMS
 7950 Dublin Boulevard, Suite 216
 Dublin CA 94568
 Phone: (925) 307-7020
 Fax: (925) 803-8168
4. **California Racing Associations.** The Mini-Satellite will offer the same program of California races as all other California Satellites as determined by the local day/night host track and approved by the CHRB, as follows:

Watch & Wager
 1600 Exposition Blvd.
 Sacramento, CA 95815
 (916) 263-3000

CARF
 1776 Tribute Rd. Suite 205
 Sacramento, CA 95815
 (916) 927-7223

Del Mar Thoroughbred Club
 2260 Jimmy Durante Blvd.
 Del Mar, CA 92014
 (858) 755-1141

Fairplex (L.A. County Fair)
 1101 W. McKinley Ave.
 Pomona, CA 91768
 (909) 426-7600

Golden Gate Fields
 1100 E. Shore Highway
 Albany, CA 94710
 (510) 559-7430

Hollywood Park
 1050 S. Prairie Ave.
 Inglewood, CA 90301
 (310) 419-1500

Los Alamitos
 4961 Katella Ave.
 Los Alamitos, CA 90720
 (714) 820-2760

Los Angeles Turf Club (Santa Anita)
 285 W. Huntington Dr.
 Arcadia, CA 91007
 (626) 574-7223



**Southern California
Off-Track Wagering, Inc.**



5. Out-of-State Import Races (Full/Partial Cards/Stakes Races)

Arlington/Hawthorne
 Balmoral/Maywood
 Calder/Gulfstream
 Churchill Downs/Keeneland/Turfway
 Laurel/Pimlico
 Meadowlands/Monmouth
 Northfield
 NYRA
 Remington
 Retama/Sam Houston/Lone Star
 Turfway Park
 Turf Paradise
 Woodbine
 Hastings
 South America

NOTE: The mini-satellite will offer the same imports that the host track and other satellites offer and the Host Racing Association will determine the schedule and signals.

6. SCOTWINC Mutuel Staffing

A mutuel supervisor with an additional clerk, as needed, and adjustable according to the level of business.

7. Security Staffing

The managers and staff of The Original Roadhouse Grill will provide the day-to-day security with an outside security service possibly added on Special Event days (Triple Crown/Breeders' Cup). There will be one or two employees per shift providing security services and other tasks as needed per attendance levels.

8. Description of Facility

The ORG has a large, main dining room with sections and a bar area with adjoining patio. The minisatellite operation will be located in the bar and patio areas. A walled walkway separates the bar area from the main dining room, and an existing dividing wall will be raised to enhance the separation. There is a well-defined entrance to the proposed minisatellite area that will serve as the control point for legal age verification and control. A full menu and bar menu will be available to minisatellite customers, with waiter service.

Exhibit E
Certificate of Insurance

NUMBER : 2242 - ZF

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That

O.R.G. Restaurants, LLC

(Name of Affiliate)

CIMM'S Incorporated

(Master CertificateHolder)

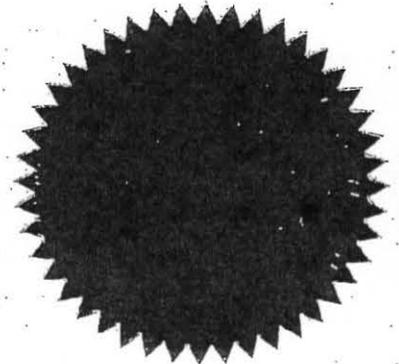
STATE OF INCORPORATION CA.

has complied with the requirements of the Director of Industrial Relations under the provisions of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure, holder of Master Certificate No, 2242.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE DATE : June 1, 2003

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA



James A. Ware

James A. Ware, Chief

Christine Baker

Christine Baker, Acting Director

*Revocation of Certificate.--"A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of solvency of such employer, the inability of the employer to fulfill his obligations, or the practice of such employer or his agent in charge of the administration of obligations, under the this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him."(Section 3702 of Labor Code.) The Certificate may be revoked for non compliance with Title 8, California Administrative Code, Group 2 -- Administration of Self Insurance

Exhibit F
Fire Clearance

Inspection Testing and Maintenance Fire Sprinkler System Page 1 of 4
NFPA 25, Chapter 5 as Amended By CCR, Title 19

Date of Inspection, Testing, Maintenance: <u>05/16/2011</u>	System Riser ID: _____
Property Information: Name: <u>Original Roadhouse Grill</u>	Type of System: <input checked="" type="checkbox"/> Wet Pipe <input type="checkbox"/> Dry Pipe <input type="checkbox"/> Preaction <input type="checkbox"/> Deluge
Address: <u>1423 S Bradley</u>	
City: <u>Santa Maria</u>	



Main Drain Results: Riser Initial Static Pressure: <u>80</u> (psi) Residual Pressure: <u>65</u> (psi) Restored Pressure <u>72</u> (psi)	Abbreviation Key: I = Inspection T = Test M = Maintenance A-O = After Operation MI = Per Manufacturer's Instructions
---	---

Item	Activity	Frequency	Description	NFPA 25 Reference	Fail	N/A	Pass
1.1	I	Daily Weekly	Preaction/Deluge Valves – Enclosure temperature	12.4.3.1		X	
1.2	I	Daily Weekly	Dry Pipe Valves – Enclosure temperature	12.4.4.1.1		X	
1.3	I	Quarterly	Gauges (Dry, Preaction, Deluge Systems)	5.2.4.2 5.2.4.3			X
1.4	I	Quarterly	Control Valves	12.3.2.1			X
1.5	I	Quarterly	Alarm Devices	5.2.6		X	
1.6	I	Quarterly	Gauges (Wet Pipe Systems)	5.2.4.1			X
1.7	I	Quarterly	Hydraulic nameplate	5.2.7			X
1.8	I	Quarterly	Pipe and Fittings	5.2.2			X
1.9	I	Quarterly	Sprinklers	5.2.1			X
1.10	I	Quarterly	Spare Sprinklers	5.2.1.3			X
1.11	I	Quarterly	Fire Department Connections	12.7.1			X
1.12	I	Quarterly	Alarm Valves – Exterior Inspection	12.4.1.1		X	
1.13	I	Quarterly	Preaction/Deluge Valves – Exterior Inspection	12.4.3.1.6		X	
1.14	I	Quarterly	Pressure Reducing Valves	12.5.1.1		X	
1.15	I	Quarterly	Dry Pipe Valves – Exterior Inspection	12.4.4.1.4		X	
1.16	I	Quarterly	Backflow Preventers	12.3.1			X
1.17	I	Annually	Buildings	5.2.5			X

**Inspection Testing and Maintenance Fire Sprinkler System
NFPA 25, Chapter 5 as Amended By CCR, Title 19**

Page 2 of 4

Date of Inspection, Testing, Maintenance: <u>05/16/2011</u>	System Riser ID: _____
Property Information:	
Name: <u>Original Roadhouse Grill</u>	Type of System: <input checked="" type="checkbox"/> Wet Pipe <input type="checkbox"/> Dry Pipe <input type="checkbox"/> Preaction <input type="checkbox"/> Deluge
Address: <u>1423 S Bradley</u>	

City: <u>Santa Maria</u>	



Item	Activity	Frequency	Description	NFPA 25 Reference	Fail	N/A	Pass
1.18	I	Annually	Hangers	5.2.3			X
1.19	I	Annually	Seismic Braces	5.2.3			X
1.20	I	5 Year	Hangers (Accessible concealed spaces)	5.2.3.3		X	
1.21	I	5 Year	Seismic Braces (Accessible concealed spaces)	5.2.3.3		X	
1.22	I	5 Year	Pipe and Fittings (Accessible concealed spaces)	5.2.2.3		X	
1.23	I	5 Year	Sprinklers (Accessible concealed spaces)	5.2.1.1.4		X	
1.24	I	5 Year	Alarm Valves – Interior Inspection	12.4.1.2		X	
1.25	I	5 Year	Alarm Valves - Strainers, filters, orifices	12.4.1.2		X	
1.26	I	5 Year	Check Valves – Interior Inspection	12.4.2.1		X	
1.27	I	5 Year	Preaction/Deluge Valves – Interior Inspection	12.4.3.1.7		X	
1.28	I	5 Year	Preaction/Deluge Valves - Strainers, filters, orifices	12.4.3.1.8		X	
1.29	I	5 Year	Dry Pipe Valves – Interior Inspection	12.4.4.1.5		X	
1.30	I	5 Year	Dry Pipe Valves - Strainers, filters, orifices	12.4.4.1.6		X	
2.1	T	Annually	Alarm Devices (90 Sec)	5.3.3 12.2.7			X
2.2	T	Annually	Main Drain Test (Enter data on Page 1)	12.2.6 12.2.6.1 12.3.3.4			X
2.3	T	Annually	Antifreeze Test	5.3.4		X	
2.4	T	Annually	Control Valve - Position	12.3.3.1			X
2.5	T	Annually	Control Valve – Operation	12.3.3.1			X
2.6	T	Annually	Supervisory	12.3.3.5			X
2.7	T	Annually	Preaction Valve – Priming Water	12.4.3.2.1		X	
2.8	T	Annually	Preaction Valve – Low Air Pressure Alarm	12.4.3.2.10		X	
2.9	T	Annually	Preaction Valve – Full Flow Trip Test	12.4.3.2.2		X	

Inspection Testing and Maintenance Fire Sprinkler System **Page 3 of 4**
NFPA 25, Chapter 5 as Amended By CCR, Title 19

Date of Inspection, Testing, Maintenance: <u>05/16/2011</u> Property Information: Name: <u>Original Roadhouse Grill</u> Address: <u>1423 S Bradley</u> City: <u>Santa Maria</u>	System Riser ID: _____ Type of System: <input checked="" type="checkbox"/> Wet Pipe <input type="checkbox"/> Dry Pipe <input type="checkbox"/> Preaction <input type="checkbox"/> Deluge
---	---



Item	Activity	Frequency	Description	NFPA 25 Reference	Fail	N/A	Pass
2.10	T	Annually	Dry Pipe Valve – Priming Water	12.4.4.2.1		X	
2.11	T	Annually	Dry Pipe Valve – Low Air Pressure Alarm	12.4.4.2.6		X	
2.12	T	Annually	Dry Pipe Valve – Quick-Opening Device	12.4.4.2.4		X	
2.13	T	Annually	Dry Pipe Valve – Trip Test	12.4.4.2.2		X	
2.14	T	Annually	Backflow Preventer Assemblies	12.6.2			X
2.15	T	3 Years	Dry Pipe Valve – Full Flow Trip Test	12.4.4.2.2.2		X	
2.16	T	5 Years	Gauges	5.3.2		X	
2.17	T	5 Years	Pressure Reducing Valve	12.5.1.2		X	
2.18	T	5 Years	Fire Department Connection Backflush	12.7.4		X	
2.19	T	5 Years	Sprinklers – Extra High Temperature	5.3.1.1.1.3		X	
2.20	T	5 Years	Sprinklers – Corrosive environment or corrosive water	5.3.1.1.2		X	
2.21	T	10 Years	Sprinklers - Dry	5.3.1.1.1.5			X
2.22	T	20 Years	Sprinklers - Fast Response	5.3.1.1.1.2		X	
2.23	T	50 Years	Sprinklers	5.3.1.1.1.		X	
2.24	T	75 Years	Sprinklers 75 years in service	5.3.1.1.1.4		X	
2.25	T		Sprinklers manufactured prior to 1920 – Replace	5.3.1.1.1.1		X	
3.1	M	Annually	Control Valves	12.3.4			X
3.2	M	Annually	Preaction/Deluge Valves	12.4.3.3.2		X	
3.3	M	Annually	Dry Pipe Valves/Quick-Opening Devices	12.4.4.3.2		X	
3.4	M	Annually	Dry Pipe Valve – Low Point Drains	12.4.4.3.3		X	
3.5	M	Years	Obstruction Investigation	Chapter 13		X	

Inspection Testing and Maintenance Fire Sprinkler System Page 4 of 4
NFPA 25, Chapter 5 as Amended By CCR, Title 19

Date of Inspection, Testing, Maintenance: <u>05/16/2011</u>	System Riser ID: _____
Property Information:	
Name: <u>Original Roadhouse Grill</u>	Type of System: <input checked="" type="checkbox"/> Wet Pipe <input type="checkbox"/> Dry Pipe <input type="checkbox"/> Preaction <input type="checkbox"/> Deluge
Address: <u>1423 S Bradley</u>	

City: <u>Santa Maria</u>	



Item	Deficiencies and Comments: Item number must correspond to the item number of the activity listed above:
2.4	Interior horn/strobe did not operate when Inspector's Test Valve was opened (work is to be done by alarm company)
1.7	Calc. plate is not provided at jobsite – not required by AHJ
4.9	Dry pendant sprinkler heads (2) need ten year test. Recommend replacing both. Beer & liquor cooler does not have a dry pendant head. Recommend replacing with dry head. Head is also obstructed by light fixture in liquor walk-in cooler.
NOTE: Repairs done 5/20/2011 – SYSTEM PASSED	

<input type="checkbox"/> See Continuation Page(s) _____ (indicate the number of continuation pages)
<input checked="" type="checkbox"/> PASS
<input type="checkbox"/> FAIL
<u>Vincent Carattini</u> Signature
<u>05/20/2011</u> Date

Exhibit G & H

Detailed Scale Plan and Photos

Front Entrance

Mutuel Office

Wall mounted terminals

Wall can be extended for MORE covering of section

Sections can be raised for MORE of a partition

Patio

Bar

-  Mutuel/Terminal Counter
-  Seating
-  Audio/Video/Tote Rack
-  Betting terminal

legend

description

customer / project

Sportech

Mini Satellite-bar/restaurant

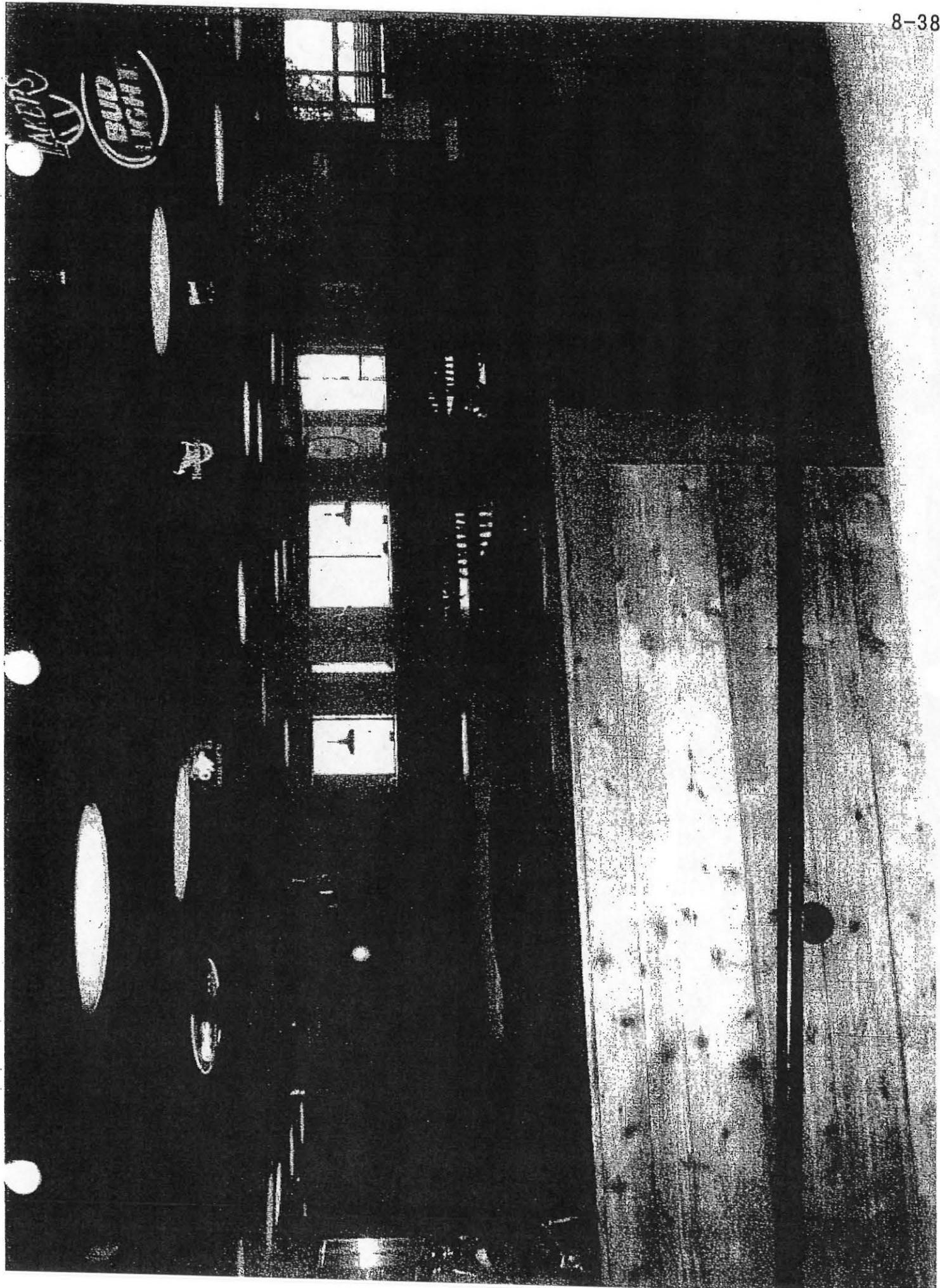
Roadhouse [Santa Maria]

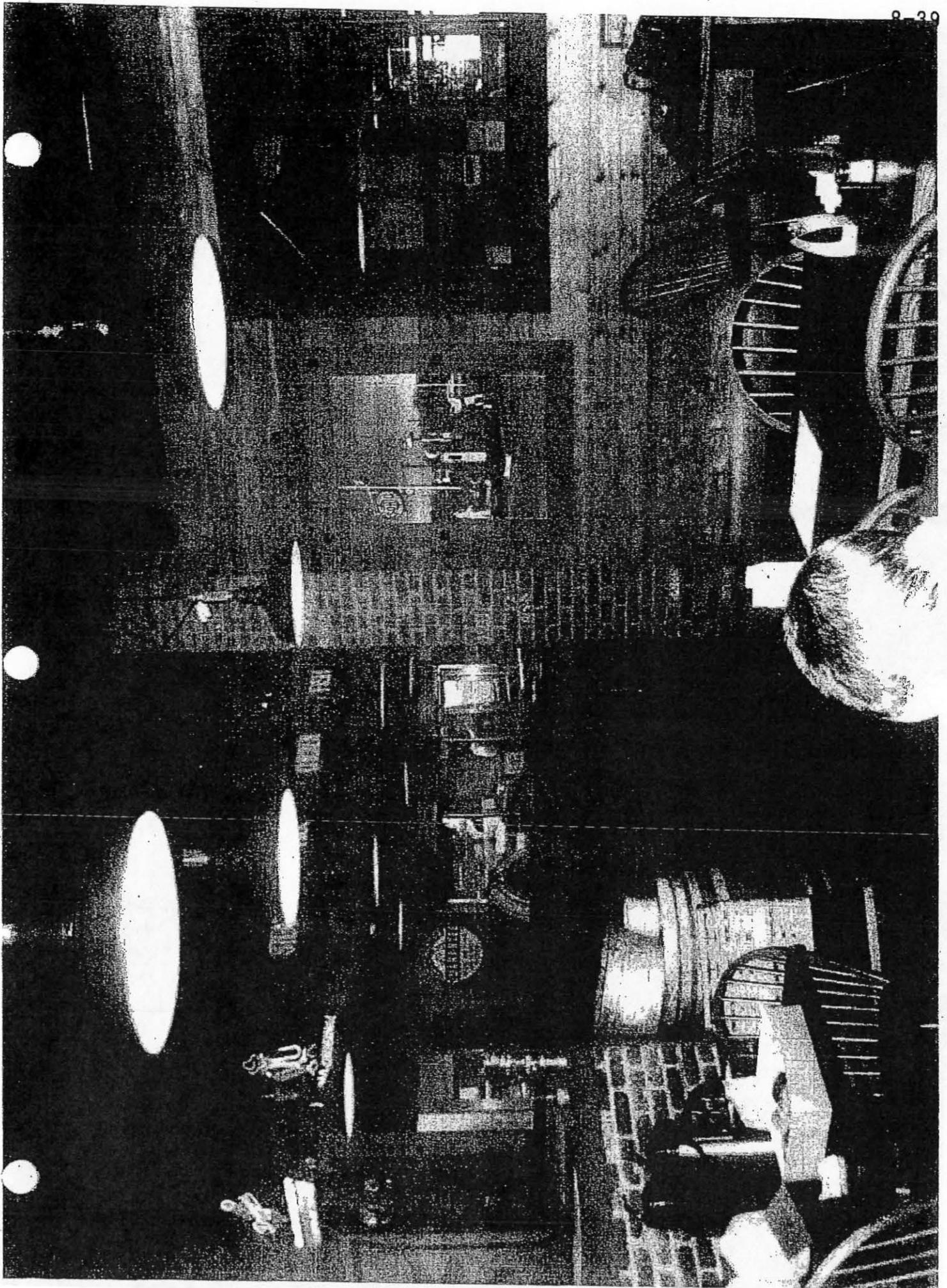
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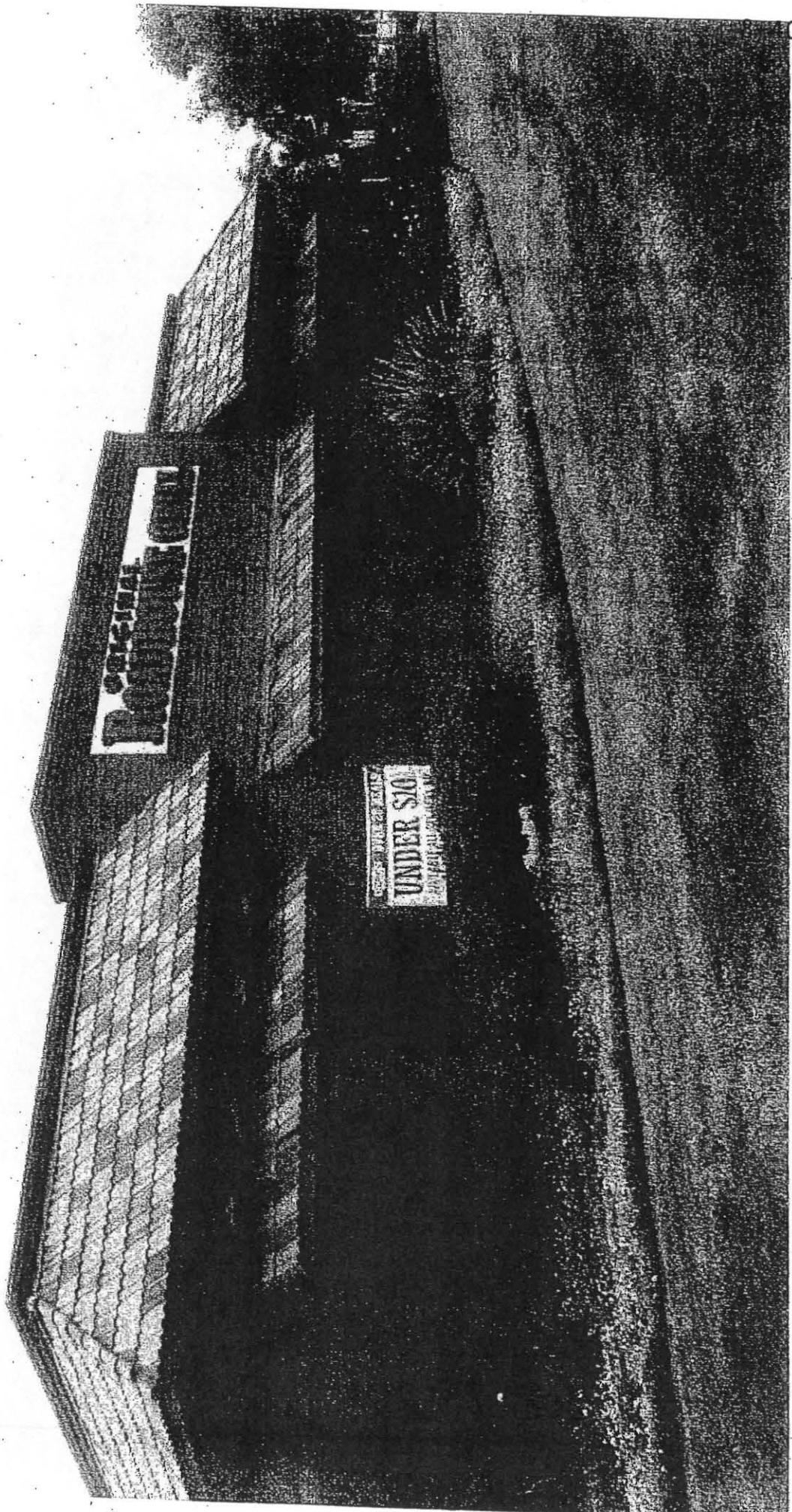
date revised
May 12, 2011

Concept 3 Layout v1

page 1 of 6

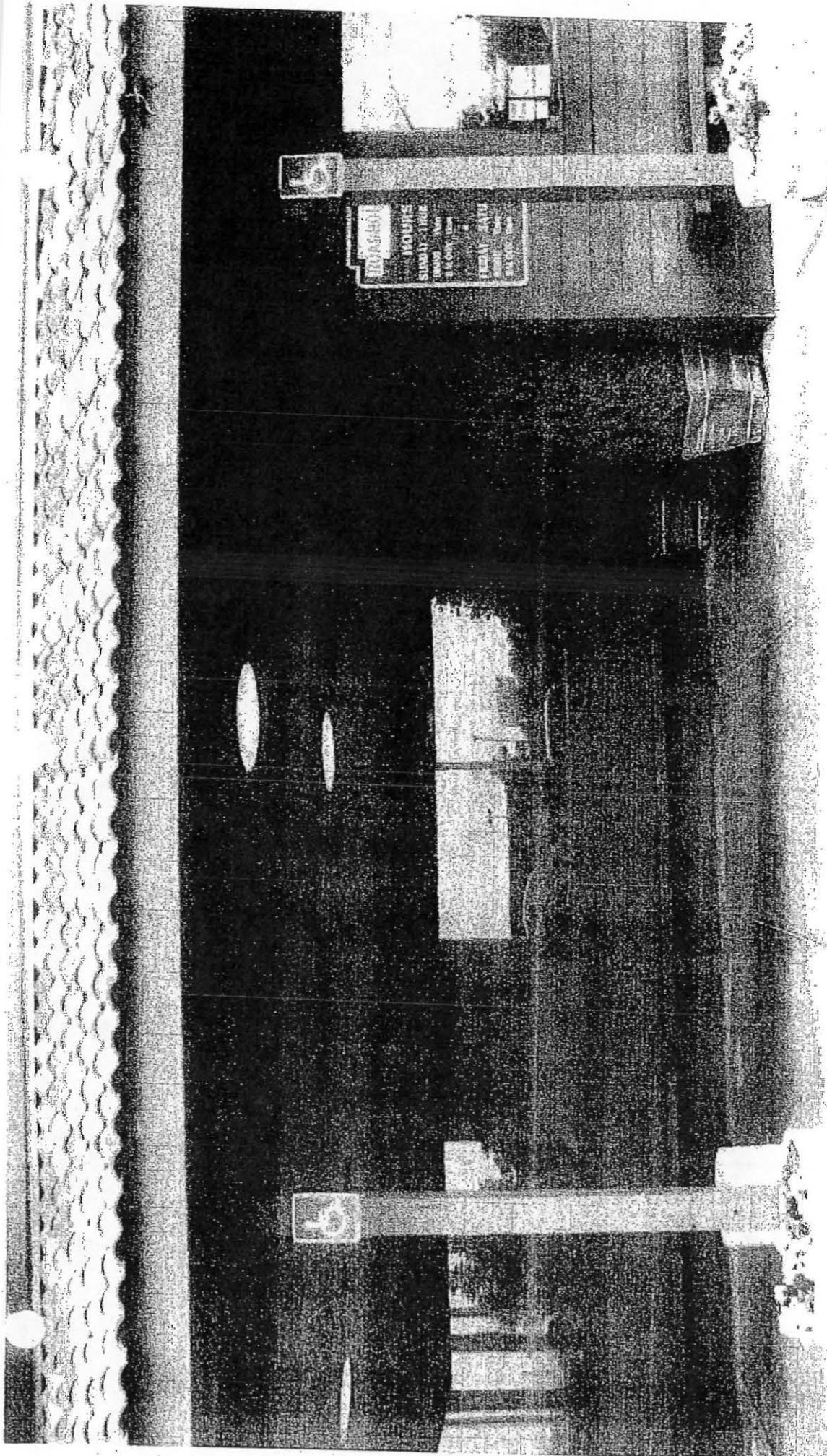






KING OF THE HILL

UNDER \$100



V. EQUIPMENT PROVIDED BY THE MINISATILLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility:

NEW: 14 - 55" HD LCD; 4 - 47" HD LCD; 4 - 32" HD LCD; EXISTING: 2 - 50" HD LCD; 2-46" HD LCD; Downlink is by Roberts Communication Network DISH Network commercial downlink satellite system with RCN receiver/decoders.

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

Speakers are located in main wagering area and in the outdoor patio area; sound controller/switcher located within the mutuel line.

VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans:

Applicant to request co-op campaign with CMC to include direct mail, local radio, print and outdoor.

Describe any improvements to the facility that will directly benefit minisatellite wagering:

None since original capital improvements were made.

NOTICE TO APPLICANT: Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	None
Parking charges, if any, are:	None
Program charges, if any, are:	Retail price per host track
Seating charges, if any, are:	None

VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

Two. Originally licensed on August 25, 2011; opened for business on October 16, 2011.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING AN INDUSTRY REPORT ON THE ISSUES RELATED TO
CONDITIONS PLACED ON THE ALLOCATION OF THE
2014/2015 SOUTHERN CALIFORNIA RACING CALENDAR AND THE
BOARD'S RATIFICATION OF THE CALENDAR

Regular Board Meeting
July 18, 2013

BACKGROUND

At the May 23, 2013, Regular Board Meeting, representatives of the Thoroughbred Owners of California (TOC), Santa Anita Park, Del Mar Race Track, and Fairplex Park expressed agreement in general on proposed racing calendars for 2014 and 2015. Hollywood Park was not included in the proposed calendars because Hollywood Park's owners previously announced their intention to close the racetrack at the end of 2013 to develop the property. The closure of Hollywood Park opens up racing dates historically allocated to Hollywood Park. In 2013, these racing dates are April 24 through July 14 and November 6 through December 22. Following a three-hour discussion, the Board conditionally, or tentatively, allocated the requested racing dates as follow (beginning with the 2014 racing-year calendar):

2014 Southern California Racing Year Calendar

12-26-13 to 7-6-14, Santa Anita Park

7-16-14 to 9-3-14, Del Mar

9-4-14 to 9-23-14, Fairplex

9-25-14 to 11-2-14, Santa Anita

11-5-14 to 12-7-14, Del Mar

2015 Southern California Racing Year Calendar

12-26-14 to 7-5-15, Santa Anita

7-15-15 to 9-7-15, Del Mar

9-10-15 to 9-25-15, Fairplex

9-26-15 to 10-15-15, Santa Anita

10-28-15 to 12-6-15, Del Mar

ANALYSIS

There have been a number of unresolved issues pertaining to the 2014 and 2015 racing calendars and the future of thoroughbred horse racing in Southern California, as discussed at the May 23 and the June 20 Regular Board Meetings. Principal among these issues is arranging for adequate stabling for horses normally stabled at Hollywood Park that will be displaced after January 1, 2014.

At the May 2013 Regular Board Meeting individual racing commissioners expressed their concerns about a variety of issues, some of which were expressly cited as conditions for the allocation of 2014 and 2015 racing dates. Chairman Israel said representatives of Del Mar must agree in writing to relinquish the 2015 Breeders' Cup dates to Santa Anita if the 2015 Breeders' Cup is awarded to Santa Anita. In addition, Del Mar and Santa Anita must agree in writing to properly compensate Fairplex for the loss of two weekend dates in 2015.

Among the many other issues raised at the May meeting, four stood out as conditions for the allocation of racing dates:

- 1) The industry must agree on a stabling and vanning plan to meet the future needs of an adequate inventory of horses to maintain the Southern California thoroughbred racing circuit. The Board is insistent that the California Thoroughbred Trainers be allowed input on this decision.
- 2) There must be an agreement on an industry fund to provide a permanent solution for stabling and vanning.
- 3) There must be an agreement between TVG and HRTV allowing both horse racing networks to continue showing races at all racetracks in the state.
- 4) As the principal beneficiary of the racing dates vacated by Hollywood Park, and given the CHRB's long and consistent demand that the stable area in Arcadia be vastly improved, Santa Anita must submit a backstretch improvement plan to the Board.

RECOMMENDATION

Based on the progress reports from the industry in meeting the expressed conditions, the Board may consider ratifying the temporary allocations made at the May 23 Regular Meeting.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
AN INDUSTRY UPDATE ON THE STATUS OF THE
DISCUSSIONS REGARDING THE SOUTHERN CALIFORNIA
AUXILIARY OFF-TRACK STABLING ISSUE FOR
2014/2015

Regular Board Meeting
July 18, 2013

BACKGROUND

In May 2013 Hollywood Park Race Track announced it would permanently close at the finish of its fall 2013 race meeting, which opens November 7, 2013 and ends December 22, 2013. The closure of Hollywood Park will cause the industry to lose approximately 1,000 stalls that would normally be available when the facility is not hosting live racing. At the June 2013 Regular Board Meeting industry representative made a presentation regarding the status of discussions regarding Southern California auxiliary off-track stabling. Thoroughbred Owners of California President Joe Morris reported the Vanning and Stabling Committee voted to fund the relocation of horses displaced by the pending closure of Hollywood Park to three auxiliary stabling locations: Santa Anita with 1,900 stalls, San Luis Rey Downs training center with 500 stalls, and Los Alamitos with 500 stalls. Following the presentation the Board requested that the industry return to provide an update on the auxiliary off-track stabling issue.

RECOMMENDATION

This item is presented for Board discussion and action.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE ALLOCATION OF THE
NORTHERN CALIFORNIA 2014/2015 RACE DATES
AND RELATED ISSUES FOR 2014/2015

Regular Board Meeting
July 20, 2013

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

ANALYSIS

At the May 23, 2013 Regular meeting the Board allocated the following southern California thoroughbred 2014/2015 racing calendars, with the actual live race dates to be determined at the time applications are submitted to the Board:

2014/2015 SOUTHERN CALIFORNIA THOROUGHBRED RACE DATES

2014

LOS ANGELES TURF CLUB

- LATC at Santa Anita - December 26, 2013 to July 6, 2014
- LATC II at Santa Anita - September 25, 2014 to November 2, 2014

DEL MAR THOROUGHBRED CLUB

- DMTC at Del Mar July 16, 2014 to September 3, 2014
- DMTC at Del Mar November 5, 2014 to December 7, 2014

POMONA/FAIRPLEX (LOS ANGELES COUNTY FAIR ASSOCIATION)

- September 4, 2014 to September 23, 2014

2015

LOS ANGELES TURF CLUB

- LATC at Santa Anita - December 26, 2014 to July 5, 2015
- LATC II at Santa Anita - September 26, 2015 to October 25, 2015

DEL MAR THOROUGHBRED CLUB

- DMTC at Del Mar July 15, 2015 to September 7, 2015
- DMTC at Del Mar October 28, 2015 to December 6, 2015

POMONA/FAIRPLEX (LOS ANGELES COUNTY FAIR ASSOCIATION)

- September 10, 2015 to September 25, 2015

Proposals for Northern California race dates were received from Pacific Racing Association (PRA); Santa Rosa County Fair at Sonoma, California Authority of Racing Fairs (CARF), on behalf of the Northern California CARF fairs; California Exposition and State Fair (State Fair and harness; Watch and Wager LLC.) (Cal Expo).

The allocation of the 2014/2015 Northern California thoroughbred and fair circuit was postponed to allow the stakeholders an opportunity to meet and resolve any potential issues and return to the Board with proposals for the 2014/ 2015 race year.

Subsequent to the May 23, 2013 Board meeting, the following Northern California 2014/2015 racing calendar proposals were received and presented at the June 20, 2013 meeting of the Board:

2014 NORTHERN CALIFORNIA RACING CALENDAR PROPOSALS

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2014 race dates:

- PRA at Golden Gate Fields - December 26, 2013 to June 29, 2014 (Winter/spring race meeting)

- PRA II at Golden Gate Fields – August 15, 2014 to September 21, 2014 (Summer race meeting)
- PRA at Golden Gate Fields – October 13, 2014 to December 14, 2014 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2014 race dates:

- July 23, 2014 – August 10, 2014

PRA and SCF's proposals were identical to the proposals presented at the May 23, 2013 meeting of the Board.

The California Authority of Racing Fairs (CARF) on behalf of the Northern California CARF fairs submitted its request for the following 2014 race dates:

- Alameda County Fair (ACF) at Pleasanton – June 18, 2014 to July 6, 2014
- Cal Expo - July 9, 2014 to July 20, 2014 (Cal Expo submitted a separate request for July 9 – July 22, 2014)
- Humboldt County Fair (HCF) at Ferndale – August 6, 2014 to August 17, 2014
- San Joaquin County Fair at Stockton – September 17, 2014 to September 28, 2014
- Fresno County Fair at Fresno – October 1, 2014 to October 13, 2014

CARF's date's proposal reflects proposed live race dates and simulcast days.

CARF's proposal also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 23, 2014 – August 3, 2014
- PRA at Golden Gate Fields – December 26, 2013 to June 15, 2014
- PRA at Golden Gate Fields – August 20, 2014 to September 14, 2014
- PRA at Golden Gate Fields – October 15, 2014 to December 21, 2014

This proposal was also identical to the CARF proposal presented at the May 23, 2013 meeting of the Board.

CARF submitted that in order to continue discussion of multiple-year calendars and to allow for the transitional planning which would be required for fairs, they respectfully requested that the Board approve the following race dates for GGF:

December 26, 2013 through June 15, 2014
 October 15, 2014 through December 21, 2014

In addition, CARF requested that the Board defer a decision on 2014 summer racing dates until the Board's September regular meeting, by which time the Sonoma County Fair in Santa Rosa and Humboldt County Fair in Ferndale will have concluded their 2013 race meetings.

CARF believes that this approach would allow for an initial decision confirming most of the dates that GGF has requested for 2014. These non-summer dates represent a large segment of the annual racing calendar in Northern California, so approval of these dates would allow GGF to plan ahead for most of 2014. At the same time, this approach would give Fair Boards a chance to consider potential changes to the summer fair racing calendar in Northern California for 2014 and 2015.

In conjunction with the CARF request to defer a decision on 2014 summer racing dates until the Board's September 2013 regular meeting, the California Exposition and State Fair, has also respectfully requested that the Board's discussion and decision regarding 2014 and 2015 Northern California Fairs race dates be continued until the end of the summer fair season. The request is based on a number of factors including the impending opening of the State Fair and the Alameda County Fairs. Both fairs have new CEO's that are working to produce successful face meetings. In addition there are significant changes this summer at the Sonoma County and San Joaquin County fairs. Given the amount of change, Cal Expo submits that it seems prudent to get through the bulk of the Northern California Fair Race meetings this summer so that all parties can better evaluate the fairs future.

CAL Expo submitted that in order for GGF to plan appropriately for the first half of 2014, the Board could approve the 2014 spring race dates at GGF up to June 15, 2014.

Cal Expo also submitted its request for the following 2014 race dates for state fair racing:

- Cal Expo (State Fair) - July 9, 2014 – July 22, 2014

Cal Expo requested approval to readdress its request after a determination of the Southern California dates allocation has been approved.

POSSIBLE THOROUGHBRED RACING AT CAL EXPO

Cal Expo is open to discussing additional racing dates at Cal Expo outside of the annual State Fair. It has been suggested that the dates of August 13, 2014 through September 3, 2014 should be considered. These dates might consist of 10 live racing days and 12 simulcast days. However, Cal Exp submits that such racing would need the input and support of California's racing industry.

2015 NORTHERN CALIFORNIA RACING CALENDAR PROPOSAL

PACIFIC RACING ASSOCIATION

PRA submitted its request for the following 2015 race dates:

- PRA at Golden Gate Fields - December 26, 2014 to June 28, 2015 (Winter/spring race meeting)
- PRA II at Golden Gate Fields – August 14, 2015 to September 27, 2015 (Summer race meeting)
- PRA at Golden Gate Fields – October 12, 2015 to December 20, 2015 (Fall race meeting)

SONOMA COUNTY FAIR AT SANTA ROSA

SCF submitted its request for the following 2015 race dates:

- July 22, 2015 – August 9, 2015

CALIFORNIA AUTHORITY OF RACING FAIRS

CARF on behalf of the Northern California CARF fairs submitted the following two scenario proposals for its 2015 race dates:

SCENARIO A

- Alameda County Fair (ACF) at Pleasanton – June 24, 2015 to July 19, 2015
- Humboldt County Fair (HCF) at Ferndale – August 5, 2015 to August 16, 2015
- Cal Expo – August 19, 2015 to September 7, 2015
- San Joaquin County Fair at Stockton – September 23, 2015 to October 4, 2015
- Fresno County Fair at Fresno – October 7, 2015 to October 18, 2015

CARF's proposed Scenario A reflects proposed live race dates and simulcast days.

CARF's proposed Scenario A also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 22, 2015 – August 2, 2015
- PRA at Golden Gate Fields – December 25, 2014 to June 21, 2015
- PRA at Golden Gate Fields – October 21, 2015 to December 20, 2015

SCENARIO B

- Alameda County Fair (ACF) at Pleasanton – June 17, 2015 to July 5, 2015
- Humboldt County Fair (HCF) at Ferndale – August 5, 2015 to August 16, 2015
- Cal Expo – July 8, 2015 to July 19, 2015
- San Joaquin County Fair at Stockton – September 23, 2015 to October 4, 2015
- Fresno County Fair at Fresno – October 7, 2015 to October 18, 2015

CARF's Scenario B proposal reflects proposed live race dates and simulcast days.

CARF's Scenario B proposal also included the following dates for Sonoma County Fair at Santa Rosa and PRA at Golden Gate Fields. (Sonoma County Fair is no longer a CARF fair effective, April 2, 2013)

- Sonoma County Fair at Santa Rosa- July 22, 2015 – August 2, 2015
- PRA at Golden Gate Fields – December 25, 2014 to June 14, 2015
- PRA at Golden Gate Fields – August 19, 2015 to September 20, 2015
- PRA at Golden Gate Fields – October 21, 2015 to December 20, 2015

CALIFORNIA EXPOSITION AND STATE FAIR

Cal Expo submitted the following information regarding its 2015 State Fair and Cal Expo Thoroughbred Racing Dates:

Although it plans to operate the 2015 State Fair in July of 2015, it has been approached by members of the racing industry, suggesting that the State Fair shift its fair dates back to the end of the summer to encompass the Labor Day weekend. While the State Fair is open to prudently dialoguing with industry leaders on this item, such discussion could not be adequately held until much later in the year. Discussion would need to be broad based to include the racing industry, as well as other fair industry partners that would be impacted by such date changes. In addition the timing and possible impacts of Cal Expo's Renewal Plan would also need to be carefully considered.

The CARF and GGF's proposals presented at the June 20, Board meeting had several dates of overlap. The Board deferred the discussion on the allocation of northern California race dates.

After the June 20, 2013, Board meeting GGF submitted a request affirming its 2014/2015 previously requested 2014/2015 proposed racing calendar. Based on conversations with CARF's representative, GGF understands that Northern California racing fairs have not yet determined their racing schedules for 2014/2015. GGF's proposed racing calendar therefore, does not specify any proposed fair race dates. GGF remains willing to speak with CARF about summer racing calendars once CARF has finalized its position.

The Sonoma County Fair has also affirmed its request for the following 2014/2015 proposed racing calendar:

- July 23, 2014 – August 10, 2014
- July 22, 2015 – August 9, 2015

The California Authority of Racing Fairs (CARF) on behalf of the Northern California CARF fairs submits this in order to continue discussion of multiple-year calendars and to allow for the transition planning which would be required for fairs, CARF respectfully requests that the Board approve the following race dates for GGF:

December 26, 2013 through June 17, 2014
 October 15, 2014 through December 21, 2014

CARF requests that the Board defer a decision on 2014 summer racing dates until its September meeting, by which time Sonoma County Fair in Santa Rosa and Humboldt County Fair in Ferndale will have concluded their race meetings. They submit that this approach would allow for an initial decision confirming most of the non-summer dates that GGF has requested for 2014. These non-summer dates represent a large segment of the Northern California annual racing calendar, so approval of these dates would allow GGF to plan ahead for most of 2014, while at the same time; this approach would give Fair Boards a chance to consider potential changes to the Northern California 2014/2015 summer fair racing calendar. CARF notes, that some Northern California Fair

representatives may be unable to attend the Boards July meeting as this is the height of mid-summer fair activities, and in prior years year Board decisions regarding racing dates have typically taken place in September, October or later in the year.

CARF is prepared to continue working with GGF, horsemen and the Board toward a stable, predictable calendar for Northern California.

RECOMMENDATION

Staff recommends the Board hear from the parties.

2014 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 26th: SF Mile Turf Festival
May 24th: American Handicapp Turf Festival
June 21st: Shoemaker Mile Turf Festival
Aug 16-17: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	24
PRA Fall	37
Total Days	166

CARF Meets	
Simulcast (No Nor-Cal racing)	49

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
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9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

PRA Winter/Spring	December 26th thru June 29th
PRA II Summer	August 15th thru September 21st
PRA Fall	October 13th thru December 14th

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES

3

Submitted by Sonoma County Fair

Sonoma County Fair (7/23/14 - 8/10/14)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
22	23	24	25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

Proposed 2014 Proposed Northern California
Racing Calendar as
Submitted at the June 20, 2013 Board Meeting

No new proposal received for the July 18, 2013
Board Meeting

PROPOSED 2014 Northern California State Fair Race Dates # 2

Submitted by California - Exposition and State Fair

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

January

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

May

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

September

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

State Fair (7/9/14 - 7/22/14)

February

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

March

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
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April

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June

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August

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November

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December

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PROPOSED - 2014 NORTHERN CALIFORNIA RACE DATES # 2

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			25	26	27	28
29	30	31				

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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12	13	14	15	16	17	18
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PRA Golden Gate Fields (12/26/13 - 6/15/14)	PRA Golden Gate Fields (8/20/14 - 9/14/14)
Alameda County Fair (6/18/14 - 7/6/14)	San Joaquin County Fair (9/17/14 - 9/28/14)
California State Fair (7/9/14 - 7/20/14)	Fresno County Fair (10/1/14 - 10/13/14)
Sanema County Fair (7/23/14 - 8/3/14)	PRA Golden Gate Fields (10/15/14 - 12/21/14)
Humboldt County Fair (8/6/14 - 8/17/14)	Simulcast Days Only

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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March						
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April						
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May						
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June						
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July						
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August						
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September						
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October						
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November						
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December						
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GGF, SCF, and State Fair dates requests differ from those requested by CARF.

Regular Board Meeting
June 10, 2013

2015

2015 NORTHERN CALIFORNIA RACING CALENDAR - PROPOSAL

Dec-13						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April 25th: SF Mile Turf Festival
May 23rd: American Handicapp Turf Festival
June 20th: Shoemaker Mile Turf Festival
Aug 15-16: Nor-Cal Turf Festival
Nov-Dec: Dependant on South Race Schedule
Cal Cup: Dates TBD

PRA Winter/Spring	105
PRA II Summer	25
PRA Fall	39
Total Days	169

CARF Meets	
Simulcast (No Nor-Cal racing)	47

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
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February						
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March						
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April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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May						
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June						
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July						
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August						
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September						
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October						
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November						
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December						
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PRA Winter/Spring
PRA II Summer
PRA Fall

Pleasanton
Cal Expo
Santa Rosa

Ferndale
Stockton
Fresno

PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES # 2

Submitted by Sonoma County Fair

Sonoma County Fair (7/22/15 - 8/9/14)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		23	24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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February						
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March						
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April						
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May						
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June						
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July						
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August						
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September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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October						
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November						
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December						
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Proposed 2015 Proposed Northern California
Racing Calendar as
Submitted at the June 20, 2013 Board Meeting

No new proposal received for the July 18, 2013
Board Meeting

PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES

Scenario A # 2

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

PRA Golden Gate Fields (12/28/14 - 6/14/15)

Fresno County Fair (10/7/15 - 10/18/15)

Alameda County Fair (6/24/15 - 7/19/15)

PRA Golden Gate Fields (8/19/15 - 9/20/15)

California State Fair (8/19/15 - 9/7/15)

Simulcast Days Only

Sonoma County Fair (7/22/15 - 8/2/15)

PRA Golden Gate Fields (10/21/15 - 12/20/15)

Humboldt County Fair (8/5/15 - 8/16/15)

San Joaquin County Fair (9/23/15 - 10/4/15)

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
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January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
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February						
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March						
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April						
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May						
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June						
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July						
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August						
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September						
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October						
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November						
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December						
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*** PROPOSED - 2015 NORTHERN CALIFORNIA RACE DATES Scenario B # 2**

Submitted By The California Authority Of Racing Fairs (CARF) For Race Dates At CARF Member - Fairs

December Sun Mon Tue Wed Thu Fri Sat 28 29 30 31							January Sun Mon Tue Wed Thu Fri Sat 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31							February Sun Mon Tue Wed Thu Fri Sat 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28							March Sun Mon Tue Wed Thu Fri Sat 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31							April Sun Mon Tue Wed Thu Fri Sat 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30						
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PRA Golden Gate Fields (12/26/14 - 6/14/15)	Fresno County Fair (10/7/15 - 10/18/15)
Alameda County Fair (6/17/15 - 7/5/15)	PRA Golden Gate Fields (8/19/15 - 9/20/15)
California State Fair (7/8/15 - 7/19/15)	Simulcast Days Only
Sonoma County Fair (7/22/15 - 8/2/15)	PRA Golden Gate Fields (10/21/15 - 12/20/16)
Humboldt County Fair (8/5/15 - 8/16/15)	
San Joaquin County Fair (9/23/15 - 10/4/15)	

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE
PROPOSED ADDITION OF CHRB RULE
RULE 1927.1, TAMPERING WITH SMOKE DETECTORS PROHIBITED
TO INCLUDE PENALTIES RELATIVE TO TAMPERING WITH FIRE
SAFETY EQUIPMENT I.E. SMOKE DETECTORS

Regular Board Meeting
July 18, 2013

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 states that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering, and administration and enforcement of all laws, rules and regulations affecting horse racing and pari-mutuel wagering. Business and Professions Code section 19460 provides that all licenses granted under this chapter are subject to all rules, regulations and conditions from time to time prescribed by the Board. Business and Professions Code section 19481 states that in performing its duties the Board shall establish safety standards governing track facilities in order to improve the safety of horses, riders and workers at the racetrack. Board Rule 1927, Fire Prevention, states association shall make adequate provision for fire prevention, protection against fire, and fire suppression within the inclosure. A reasonable standard of fire safety shall require that each building, barn or structure which is used by an association for the stabling of horses or human habitation, be equipped with an automatic sprinkler system and an automatic fire alarm system.

Fire safety is a continuing issue within the inclosure. To protect humans and equines the Board requires that racing associations install and maintain sprinkler systems and fire alarms. Racing associations are also required to undergo annual fire inspections, and periodic safety inspections. The disabling of smoke detectors is a problem that occurs especially in habitable rooms used for sleeping. Under Rule 2103, Habitable Rooms, such rooms are required to be provided with battery operated smoke detectors that are maintained in working order, or any other approved fire alarm system. Occupants may wish to smoke where it is otherwise prohibited, or to cook on portable hot plates. To enable such activities, the smoke detectors may be disabled. If a licensee is found to have disabled a smoke detector, the average fine is around \$25.

At the April 2011 Medication and Track Safety Committee Meeting, the issue of prohibiting the tampering with smoke detectors was discussed. The Committee wanted to find a way to prevent the smoke detectors from being disabled. It was agreed that the horsemen, Thoroughbred Owners of California, and California Thoroughbred Trainers needed to meet with the horse racing associations to work jointly to resolve the issue.

At the May 2012 Medication Advisory Committee Meeting, the concern regarding prohibiting the tampering of smoke detectors was again discussed. The Committee agreed to recommend to

the Board a fine of no less than \$25 for the offender and no less than \$100 for the trainer whose employee is found to have violated the regulation. During its review of the proposed amendment the Office of Administrative Law (OAL) stated it had substantive issues with Rule 1927.1. Although the rule provided for minimum fines, the submitted text was unclear on imposed fine maximums, to whom the \$100 fine was for, and extent of the trainers culpability. OAL also determined that State Fire Marshal approval was needed as the proposed rule falls within the scope of Government Code 11359, requiring State Fire Marshal approval on any fire safety related regulation. Proposed Rule 1927.1 was therefore withdrawn, allowing the Board until August, 2013 for resubmission.

At the February 20, 2013 Medication and Track Safety Committee the proposed revisions to Rule 1927.1 were presented for discussion and action.

At the February 21 Regular Board Meeting the proposed revisions were approved with the addition of steps in the fine amounts dependant on the number of violations as suggested by Vice Chairman Winner. However, before the proposed amendment could be moved forward, it had to be vetted and approved by the Office of the State Fire Marshal.

Complete approval was not received from the Office of the State Fire Marshal until June 6, 2013. After receiving State Fire Marshal approval the revisions to the proposed rule went out for 15 day notice on July 1, 2013.

ANALYSIS

Rule 1927.1 has been rewritten to incorporate the suggestions of the Board and satisfy the requirements of OAL, clarifying the fine maximums and extent of the trainer's culpability. Rule 1927.1, Tampering with Smoke Detectors Prohibited, subsection (a) states that it is in accordance with section 901.8 of the California Fire Code as suggested by the Office of the State Fire Marshal. It then provides that no licensee shall tamper with, dismantle, or disable any automatic fire alarm system or smoke detector that is located on the grounds of a facility under the jurisdiction of the Board. If licensees are found to have violated the proposed regulation, they shall be subject to a hearing before the stewards, and a fine \$25 for the first offense within a 365 day period, \$50 for the second offense within a 365 day period, \$75 for the third offense within a 365 day period, or \$100 for the fourth or more offense within a 365 day period. The fine, while not excessive, is meant to prevent future incidents. Subsection (b) of the proposed regulation states that the stewards may find a trainer culpable if any one employee of that trainer disables a fire alarm in that trainer's assigned area more than once in a 365 day period. The trainer will be fined \$100 for the second offense within a 365 day period, \$200 for the third offense within a 365 day period, \$300 for the fourth offense within a 365 day period, \$500 for the fifth offense in a 365 day period. This is designed to encourage trainers to pay attention to what their employees may be doing with fire safety equipment.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board adopt the proposed amendment to Rule 1927.1 as presented.

CALIFORNIA HORSE RACING BOARD
TITLE 4, CALIFORNIA CODE OF REGULATIONS
ARTICLE 17. FIRE PREVENTION AND SECURITY
PROPOSED ADDITION OF
RULE 1927.1 TAMPERING WITH SMOKE DETECTORS PROHIBITED

1927.1. Tampering With Smoke Detectors Prohibited.

(a) ~~In accordance with section 901.8 of title 24, part 9 of the California Code of Regulations (California Fire Code), no licensee shall willfully tamper with, dismantle, or disable any automatic fire alarm system or smoke detector that is located on the grounds of a facility under the jurisdiction of the Board.~~

(b) ~~A licensed trainer who is assigned stalls, tack rooms or other areas within the inclosure may be held culpable if an employee of that trainer is found to have violated this regulation within such assigned areas.~~

(c) ~~A violation of this regulation shall result in a hearing before the stewards who may impose a fine of not less than \$25.~~

(1) ~~The stewards may impose a fine of not less than \$100 on the trainer whose employee is found to have violated this regulation.~~

(1) ~~A violation of 1927.1(a) shall result in a hearing before the stewards who will impose a fine of:~~

(A) ~~\$25.00 for the first offense within a 365 day period;~~

(B) ~~\$50.00 for the second offense within a 365 day period;~~

(C) ~~\$75.00 for the third offense within a 365 day period;~~

(D) ~~\$100.00 for the fourth or more offense within a 365 day period.~~

(b) A trainer will be found culpable, after a hearing before the stewards, if two or more violations of 1927.1(a) are committed by any one of the trainer's assigned employees in the trainer's assigned area within a 365 day period.

(1) A trainer found culpable under 1927.1(b) will be fined:

(A) \$100 for the second offense within a 365 day period:

(B) \$200 for the third offense within a 365 day period:

(C) \$300 for the fourth offense within a 365 day period:

(D) \$500 for the fifth or more offense within a 365 day period.

Authority: Sections 19420, 19440, 19460 and 19661,
Business and Professions Code.

Reference: Sections 19440 and 19481,
Business and Professions Code.

State of California

The Resources Agency

Memorandum

To: Harold Coburn,
Regulations Manager
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Date: June 6, 2013

Telephone: (916) 327-4998

Website: www.osfm@fire.ca.gov

From: 
Kevin Reinertson, Regulations Coordinator
Office of the State Fire Marshal

Subject: Regulation approval request response regarding modifications to CCR,
Title 4, Article 17, Fire Prevention and Security.

A review was made of the proposed regulatory action to modify CCR, Title 4, Article 17, Fire Prevention and Security relating to smoke detectors. Pursuant to Government Code Section 11359(a) *"no new regulation, or the amendment or repeal of any regulation, which regulation is intended to promote fire and panic safety or provide fire protection and prevention, including fire suppression systems, equipment, or alarm regulation, is valid or effective unless it is submitted by, or approved in writing by, the State Fire Marshal."*

The Office of the State Fire Marshal reviewed the above-mentioned proposal and has determined that these proposals do promote fire and panic safety. The Office of the State Fire Marshal finds no conflict in the proposed regulations and is consistent with the fire and panic safety policies and goals promoted by the State Fire Marshal.

Should you have any questions regarding this memorandum, please do not hesitate to contact me at (916) 327-4998 or at kevin.reinertson@fire.ca.gov

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM
GOLDEN GATE FIELDS FOR A WAIVER TO
CHRB RULE 1472(G), RAIL CONSTRUCTION AND TRACK SPECIFICATIONS,
TO INSTALL HEDGES 10 FEET OF THE INSIDE RAIL OF ITS TURF COURSE

Regular Board Meeting
July 18, 2013

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall adopt regulations for the control of horse racing.

Board Rule 1472, Rail Construction and Track Specifications, states that "No objects shall be placed within 10 feet from the face of the inside rail". Golden Gate Fields (GGF) requests a waiver of this rule.

ANALYSIS

During the course of the 2013 winter/spring meeting at GGF, several horses ducked in at the vicinity of the 3/16 pole of the turf course. Fortunately, there were not any serious injuries to either jockey or horse.

Darrell Haire, Western Regional Manager of the Jockey's Guild and Safety Steward Paul Nicolo recommend a hedge be placed alongside the rail in this area as a deterrent to horses ducking in. Alan Balch, Executive Director of the California Thoroughbred Trainers, Joe Morris, President of the Thoroughbred Owners of California and Calvin Rainey, Vice President and Assistant General Manager of GGF support this action.

GGF states the height of the edge will remain even with the top of the rail.

There is a hedge between the inside rail of the main course and the outer rail of the turf course along the homestretch at Del Mar. Churchill Downs and Belmont among other tracks have planted hedges as a deterrent to ducking in.

RECOMMENDATION

This item is presented for Board discussion and action.