

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
FAX (916) 263-6042



## REGULAR MEETING

of the California Horse Racing Board will be held on **Thursday, July 23, 2009**, commencing at **10:00 a.m.**, at the **Del Mar Surfside Race Place (Downstairs General Admission Area)**, 2260 Jimmy Durante Blvd., Del Mar, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. Discussion and action by the Board on the **Application to Conduct a Combined Horse Racing Meeting of the following California fairs:**
  - (a) **California Authority of Racing Fairs (F) at Golden Gate Fields** commencing August 12, 2009 through August 23, 2009, inclusive;
  - (b) **Humboldt County Fair (F) at Ferndale** commencing August 14, 2009 through August 23, 2009, inclusive;
  - (c) **California Authority of Racing Fairs (F) at Golden Gate Fields** commencing September 9, 2009 through October 5, 2009, inclusive.
2. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the California Exposition and State Fair (F) at Sacramento** commencing August 26, 2009 through September 7, 2009, inclusive.
3. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F) at Fairplex**, commencing September 10, 2009 through September 28, 2009, inclusive.
4. Discussion and action by the Board on the **Application for License to Operate a Simulcast Wagering Facility at the Sycuan Casino, El Cajon, California.**
5. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at Pete's Tavern, San Francisco, California**, for a period of up to two years.
6. Discussion and action by the Board on the **Application for License to Operate a Minisatellite Wagering Facility at Sotto Mare Oysteria, San Francisco, California**, for a period of up to two years.

7. Discussion and action by the Board regarding the **proposed reduction of stewards at the current quarter horse race meeting at Los Alamitos and the August 14, 2009 through August 23, 2009 Humboldt County Fair race meeting at Ferndale.**
8. Discussion and action by the Board regarding the **status of 2007 and 2008 statutory distributions from Los Alamitos to the California Jockeys' Welfare Corporation pursuant to Business and Professions Code section 19612.9.**
9. Discussion by the Board regarding a **report on the June 8, 2009 meeting of the California Jockeys' Welfare Corporation Board of Directors.**
10. Discussion and action by the Board regarding **report and recommendations from the Jockeys' Guild and the California Horsemen's Safety Alliance regarding proposed amendments to CHRB Rules 1689 Safety Helmets Required and 1689.1, Safety Vest Required.**
11. Discussion by the Board regarding the report from the **Thoroughbred Owners of California and the California Thoroughbred Trainers concerning their presentation to the Senate Select Committee Hearing on Horse Racing, that horse racing is over regulated in California.**
12. Discussion and action by the Board regarding the report from **Scientific Games concerning late odds changes, increasing the transmission speed of odds data to advance deposit wagering providers, and posting odds in decimal numbers rather than fractions.**
13. Discussion by the Board regarding the **allocation of race dates and related issues for 2010 and beyond.**
14. Discussion and action by the Board on the **nomination of members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc.**
15. Discussion by the Board regarding the **update from Surfside Race Place concerning the status of the mold problem at the Surfside Race Place (simulcast facility) at Del Mar.**
16. Discussion and action by the Board regarding the **update from the Los Angeles Turf Club, Inc. operating at Santa Anita Park and the significance of the bankruptcy filing of Magna Entertainment Corporation on its racing operations and the status of statutory funds that may still be owed money from pre and post bankruptcy accounts.**
17. Staff report on the following concluded race meets:
  - A. **Los Angeles Turf Club** at Santa Anita from December 26, 2008 through April 19, 2009.
  - B. **Pacific Racing Association at Golden Gate Fields** at Golden Gate Fields from December 26, 2008 through June 14, 2009.

18. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentation.
19. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by Section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

John C. Harris, Chairman  
David Israel, Vice Chairman  
John Andreini, Member  
Jesse H. Choper, Member  
Bo Derek, Member  
Jerry Moss, Member  
Kirk E. Breed, Executive Director

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
FAX (916) 263-6042



PENDING LITIGATION  
JULY 2009

CASE

Potential litigation involving assignment of Stewards.

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA AUTHORITY OF RACING FAIRS AT GOLDEN GATE FIELDS AUGUST 12-23, 2009.

California Authority of Racing Fairs (CARF) filed its application to conduct a horse racing meeting at Golden Gate Fields as part of the 2009 combined fair horse racing meeting:

- August 12 through August 23, 2009, or 10 days. CARF proposes to race a total of 84 races. This is the first time CARF will operate a fair horse racing meeting at Golden Gate Fields. CARF at Golden Gate Fields is a participant in the 2009 combined fair horse racing meeting.
- At the April 24, 2009 regular meeting, the Board approved a request from the California Authority of Racing Fairs (CARF) to designate the allocated race dates of the San Joaquin County Fair (9 days), Alameda County Fair (15 days), Solano County Fair (5 days), the two CARF at Golden Gate Fields meets (29 days), the Humboldt County Fair (9 days) and the Big Fresno Fair (10 days) as a combined fair horse racing meeting. The total number of race dates allocated to the fairs participating in the combined fair horse racing meeting is 77 days.
- The proposed race dates are the original approved dates allocated to CARF at Golden Gate Fields:

August - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- The application lists CARF racing Wednesday through Sunday both weeks. 8 races per day Wednesdays, Thursdays and Fridays. 9 races on Saturdays and Sundays.
- CARF proposes to run Thoroughbreds only at the race meeting.
  - Business and Professions Code section 19540 provides that in order to encourage and develop the racing of all horses in California, regardless of breed, whenever a fair conducts a program of horse races on which there is parimutuel wagering, the fair, so far as practicable, shall provide a program of racing that includes thoroughbred racing, quarter horse racing, Arabian racing, and Appaloosa racing, if a sufficient number of horses is available to provide competition in one or more races.
- The following numbers are 2008 race meeting averages from the San Mateo County Fair. The San Mateo County Fair was a mixed breed fair race meeting that was conducted during a similar time frame as the CARF at Golden Gate Fields fair horse racing meeting.

- 2008 Race Meeting: Average number of runners per race (TB): 7.04
- 2008 Race Meeting: Average number of runners per race (Arabian): 0
- 2008 Race Meeting: Average number of runners per race (Quarterhorse): 5.67
- 2008 Race Meeting: Average number of runners per race (Mules): 0
- First post 1:45 p.m.
- Racing concurrently with the Humboldt County Fair and Del Mar.
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules:
  - \$1 Pick 4 on First Four (4) Races and Last Four (4) Races.
  - \$2 Pick 6 on Last Six (6) Races.
  - \$1 Place Pick All on Last Ten (10) Races.
  - \$2 Parlay on All races except the last.
  - \$1 Pentafecta on 5<sup>th</sup> race of the day.
  - Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires and YouBet.
- The distribution of the carryover pool will be August 23, 2009 (Closing Day).
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Fire clearance from the city of Berkeley and the city of Albany has been granted.
- A workers' compensation insurance policy has been received from both Magna Entertainment Corp and CARF; however, it is unclear how coverage of the race meeting will be managed by the two entities.
- Inspection of the backstretch worker housing has been completed.
- Track safety inspection has been completed.

#### ITEMS STILL NEEDED:

1. A lease agreement between CARF and Magna Entertainment Corp/Golden Gate Fields.
2. Clarification regarding workers' compensation insurance coverage.

#### RECOMMENDATION:

Staff recommends a contingent approval pending the submission of a lease agreement between CARF and Magna Entertainment Corp/Golden Gate Fields.



C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9			8	8	8	9
Other Breeds							
Total	9			8	8	8	9

A. Total number of stakes races by breed:

Thoroughbreds    
  Quarter Horses    
  Appaloosas  
 Arabians    
  Paints    
  Mules

B. Attach a listing of all Overnight stakes races and indicate the date to be run and the added money or guaranteed purse for each.

**CARF Debutante**                      **\$50,000 Added**                      **Sat. Aug 15**  
**Fillies – Two year olds – Six Furlongs (Plus up to \$15,000 to Cal-Breds)**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes    
  No    
 If no, what racing colors are to be used:

G. List all post times for the daily racing program:

- Wed – Sun
- Race #1 ..... 1:45 p.m.
  - Race #2 ..... 2:16 p.m.
  - Race #3 ..... 2:48 p.m.
  - Race #4 ..... 3:20 p.m.
  - Race #5 ..... 3:50 p.m.
  - Race #6 ..... 4:20 p.m.
  - Race #7 ..... 4:50 p.m.
  - Race #8 ..... 5:20 p.m.
  - Race #9 ..... 5:50 p.m.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors: **John Alkire-President, Rick Pickering, Kelly Baldwin, Dan Jacobs, Norb Bartosik and Vince Agnifili.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **John Alkire-Chairman, Rick Pickering, Debbie Cook, Mike Paluszak, Chris Carpenter and Stuart Titus.**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

<b>Christopher Korby</b>	<b>Executive Director</b>
<b>Larry Swartzlander</b>	<b>Director of Operations</b>
<b>Tom Doutrich</b>	<b>CARF Director of Racing</b>
<b>Michael Wrona</b>	<b>Announcer</b>

## 5. PURSE PROGRAM

A. Purse distribution: Prior meet actual represents 2008 San Mateo Fair Meet

1. All races other than stakes:

Current meet estimate:	<b>\$ 1,200,405</b>
Prior meet actual:	<b>\$ 1,256,964</b>

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate:	<b>\$ 120,041</b>
Prior meet actual:	<b>\$ 125,696</b>

2. Overnight stakes:

Current meet estimate:	<b>\$ 50,000</b>
Prior meet actual:	<b>\$ 0</b>

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate:	<b>\$ 5,000</b>
Prior meet actual:	<b>\$ 0</b>

3. Non-overnight stakes:

Current meet estimate:	<b>-0-</b>
Prior meet actual:	<b>-0-</b>

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate:	<b>-0-</b>
Prior meet actual:	<b>-0-</b>

B. Funds to be generated for all California-bred incentive awards:

Current meet estimate:	<b>\$ 112,402</b>
Prior meet actual:	<b>\$ 117,064</b>

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:	
CTT	\$ 4,376		\$ 4,558
TOC	\$ 8,751		\$9,116
NTRA	\$ 2,654		\$2,765
PCQHRA	-0-		-0-
CWAR	-0-		-0-
ARAC	-0-		-0-
AMRA	-0-		-0-
CHBPAPEN	\$ 13,127		\$ 13,674
CTHF	\$ 13,127		\$ 13,674
<hr/>		<hr/>	
Total	\$ 42,035	Total	\$ 43,787

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$ 1,404,842  
 Prior meet actual: \$ 1,417,835

Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$ 140,484  
 Prior meet actual: \$ 141,784

E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$ 1,101,620  
 Prior meet actual: \$ 1,235,081

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$ 110,162  
 Prior meet actual: \$ 123,508

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 152,299  
 Prior meet actual: \$ 169,221

Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$ 15,230  
 Prior meet actual: \$ 16,922

G. Bank and account number for the Paymaster of Purses' purse account:

Account #: On File

- I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corp.**  
**1816 Maryal Drive, Sacramento, CA 95864**  
**Phone: 916-482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **684**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**Alameda County Fair: 684**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**Northern California Auxiliary Stabling and Vanning Agreement from 1 October, 2008 through December 31, 2009.**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the **1986** meeting:  
**1405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**\$8.43**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **-0-**

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$1PK4*, \$2PAR**	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1978, CHRB#1954.1
Race #2	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #3	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #4	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #5	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% \$1Super H5, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, ARC I004-105, CHRB#1954.1
Race #6	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% , \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, CHRB#1954.1
Race #7	\$1E, \$1PK3, \$1PK4*, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% , \$2PAR	CHRB #1959, CHRB #1977, CHRB #1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, CHRB#1954.1
Race #8	\$1E, \$1PK3, \$1PK4*, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% , \$2PAR	CHRB #1959, CHRB#1977, CHRB #1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB 1976.8, CHRB#1954.1
Race #9	\$1E, \$1TRI, \$2Q, \$1PK4* \$2DD, \$.10SF, \$2PNP@, \$1PPN%	CHRB#1959, CHRB #1979, CHRB #1958, CHRB #1978 CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **August 23, 2009 (Closing Day of CARF I)**
- C. List any options requested with regard to exotic wagering:
- |                      |   |
|----------------------|---|
| * \$1PK4             | on First Four (4) Races and Last Four (4) Races |
| @ \$2 Pick 6         | on Last Six (6) Races                           |
| % \$1 Place Pick All | on Last Ten (10) Races                          |
| ** \$2 PAR           | on All races except the last                    |

**\$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in a selected Super High-5 race. [Applicable rule: ARCI 004-105 PICK (N) POSITION (X) POOLS]**

- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
**Scientific Games Racing, George Brannon Contract Expires: September 30, 2012**  
**Equipment description on file with the Board**

#### 8. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:
- TVG  
TwinSpires  
Xpressbet  
YOUBET

#### 9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **Northern California Off-Track Wagering, Inc. (NCOTWINC)**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file.**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

##### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
Big Fresno Fair, Fresno  
California State Fair & Exposition, Sacramento  
Club One, Fresno  
Golden Gate Fields, Albany  
\*Humboldt County Fair, Ferndale  
Jockey Club at San Mateo, San Mateo

##### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
Cabazon Fantasy Springs Casino, Indio  
Derby Club, Seaside Park, Ventura  
Earl's Place at Earl Warren Fairgrounds, Santa Barbara  
Fairplex Park, Pomona  
Horsemen's Club,, Santa Barbara  
Los Alamitos Race Course, Los Alamitos

Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey  
 \*\*Redwood Acres Fair, Eureka  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

Santa Anita Park, Arcadia  
 Shalimar Sports Center, Indio  
 Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 \*\*\*Sycuan Gaming Center, El Cajon  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open during two-week Ferndale Fair Racing  
 \*\*Closed during Ferndale Fair Racing  
 \*\*\*Closed for renovation

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

CARF I at Golden Gate Fields

August 12 - 23, 2009

Out-of-State & International Imports - Full or Partial Card (Subject to Change)

<b>DOMESTIC</b>	
ARLINGTON	8/12-8/23
CALDER RACECOURSE	8/12-8/23
CANTERBURY DOWNS	8/12-8/23
CHARLES TOWN	8/12-8/23
DELAWARE	8/12-8/23
ELLIS PARK	8/12-8/23
EMERALD DOWNS	8/12-8/23
EVANGELINE DOWNS	8/12-8/23
GRAND PRAIRIE	8/12-8/23
HOOSIER PARK	8/12-8/23
INDIANA DOWNS	8/12-8/23
LAUREL PARK	8/14-8/23
LOUISIANA DOWNS	8/12-8/23
MONMOUTH	8/12-8/23
MOUNTAINEER	8/12-8/23
PENN NATIONAL	8/21-8/23
PRAIRIE MEADOWS	8/12-8/23
PRESQUE ISLE DOWNS	8/12-8/23
REMINGTON PARK	8/12-8/23
RIVER DOWNS	8/12-8/23
RUIDOSO	8/12-8/23
SARATOGA	8/12-8/23
SUFFOLK DOWNS	8/12-8/23
THISTLEDOWN	8/12-8/23
YAVAPAI DOWNS	8/12-8/23
<b>INTERNATIONAL</b>	
ASSINIBOIA	8/12-8/23
WOODBINE	8/12-8/23
HASTINGS	8/12-8/23
SAN ISIDRO	8/12-8/23
HIPPODROMO CHILE	8/12-8/23
MARONAS	8/12-8/23
NORTHLANDS PARK	8/12-8/23
FORT ERIE	8/12-8/23
SUBJECT TO CHANGE	

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Amwest Entertainment, OR	Hinsdale Greyhound Park, NH	Rosecroft Raceway, MD
Arapahoe Park, CO	Hoosier Park, IN	Royal River Racing
Arima Race Club	Horsemen's Park, NE	Ruidoso Downs, NM
Arlington International Race Course, IL	Indiana Downs, IN	Running Aces Harness Park
Atlantic City Casino Assn., NJ	International Racing Group	Sam Houston, TX
Atlantic City Race Course, NJ	Jackson Harness Raceway, MI	Saratoga Raceway, NY
Atokad Downs, NE	John Martin's Manor Restaurant	Scarborough Downs, ME
Balmoral Park, IL	Keeneland Association, KY	Scioto Downs, OH
Bangor, ME	Kentucky OTB	Seabrook Greyhound
BetAmerica	Lebanon Raceway, OH	Shoreline Star Greyhound Park
BetPad Ltd.	Les Bois/Idaho	Skydancer Casino
Beulah Park, OH	Lewiston Raceway Inc., ME	Sol Mututel Ltd.
Birmingham Race Course, AL	Lien Games, Inc., ND	Southland, AR
Blue Ribbon Downs, OK	Lincoln Greyhound	Sports Center
Bluffs Run Greyhound	Lodge Belmont Greyhound Park	Sports Creek Raceway, MI
Buffalo Raceway, NY	Lone Star., TX	Stables, The
Calder Race Course, FL	Louisiana Downs, LA	State Fair Park, NE
Canadian Associations	Manor Downs	Suffolk Regional OTB, NY
Canterbury Park, MN	Maryland Jockey Club, MD	Suffolk Downs, MA
Capital District OTB	Maywood Park, IL	Sunland Park, NM
Capital Sports Pty, Ltd, Australia	Meadowlands, NJ	SunRay-Park, NM
Casino Association	Meadowlands, The	Tampa Bay Downs, FL
Catskills OTB	Meskwaki Casino	The Greyhound Park @ Post Falls
Charlestown Race Course, VA	Millers OTB	The Racing Channel
Chester Downs & Marina LLC	Mohegun Sun	The Racing Channel International
Choctaw Racing Services, LLC, OK	Monmouth Park, NJ	Thistledown Racing, OH
Churchill Downs, KY	Montana OTB, MT	Timeout Lounge
Coeur d'Alene Greyhound, ID	Monticello Raceway, NY	Tioga Downs
Coeur d'Alene Casino, ID	Mount Pleasant Meadows, MI	Tri-State Racetrack & Gaming Center, WV
Colonial Downs, VA	Mountaineer Park, WV	Turf de Venezuela
Columbus Races, NE	Nassau Regional OTB, NY	Turfway Park, KY
Comanche Nation	Nebraska State Fair Park, NE	TVG
Connecticut OTB, CT	Nevada Indian Casinos	Twin Spires/AmTAB
Crystal Palace	Nevada Pari-Mutuel Association, NV	Turf Paradise, AZ
Cyprus Boyou OTB	New York City Off-Track Betting Assn., NY	Valley Greyhound Park
Dairyland Greyhound Park, WI	New York Racing Association, NY	Vernon Downs, NY
Darwin All Sports	Newport Jai Alai, FL	Victor Chandler
Delaware Park, DE	Northfield Park, OH	Western Regional OTB
Delta Downs	Oaklawn Park, AR	Wheeling Downs, WV
Downs at Albuquerque, NM	Ocean Downs, MD	Wichita Greyhound Park, KS
Elite Turf Club 1-8, NV	Penn National Race Course, PA	Will Rogers Downs, OK
Ellis Park, KY	Phumelela Gold	Wonderland Greyhound Park, MA
Emerald Downs, WA	Philadelphia Park, PA	Woodlands, KS
Equus St. Thomas Racing, Inc.	Pinnacle Race Course	Wyoming OTB, WY
Evangeline Downs, LA	Plainfield Greyhound Park, NJ	XpressBet, Inc., CA
Evansville OTB	Plainridge Racecourse, MA	Yavapai Downs, AZ
Fair Meadows	Pocono Downs, PA	Yonkers Raceway, NY
Fair Grounds Race Course, LA	Portland Meadows, OR	YouBet
Finger Lakes Race Track, NY	Potawatomi Casino	Zia Park, NM
Fonner Park, NE	Prairie Meadows, IA	
Foxwoods Casino,	Premier Turf Club	<b>Separate Pools:</b>
Freehold Raceway, NJ	Presque Isle Downs	Caymanas Park, JAM
Geneva Lakes	Race 2 Day	Hipodromo Presidente Remon, Panama
Gillespie County Downs	Raceway Park, OH	LVDC (NV)
Global Wagering Solutions (MEC) MagnaBet	Racing World	MIR/Caliente
Great Lakes Downs, MI	Racing US	Hippodromo Camerero (PR)
Greentrack	Racing & Gaming Services, British WI	
Gulf Greyhound, TX	Raynham Taunton Greyhound Park	
Gulfstream Park, FL	Remington Park, OK	
Harrington Raceway	Retama Park, TX	
Hawthorne	River Downs, OH	
Hazel Park, MI	Rockingham Park, NH	
	Rocky Mountain Turf Club	

- F. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 8D above.	TBD	

- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- I. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- J. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable.**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s)	<b>Heather Kerr, DVM (Track Veterinarian)</b> <b>Joan Hurley, D.V.M. (CHRB Veterinarian)</b> <b>Diane Isbell, D.V.M. (Examining Veterinarian)</b>
Clerk of Scales	<b>Ken Sjoldal</b>
Clerk of the Course	<b>Tina Walker</b>
Film Specialist	<b>Ross Allardyce</b>
Horse Identifier	<b>Patrick Kealy</b>
Horse Shoe Inspector	<b>Jack Hammonds</b>
Paddock Judge	<b>Linda Anderson</b>
Patrol Judges	<b>Paul Nicolo &amp; Tammy McDuffie-Morris</b>
Placing Judges	<b>Ella Robinson &amp; Myra Troske</b>
Starter	<b>Todd Stephens</b>
Timer	<b>Richard Somers</b>

### B. Management officials in the racing department:

Racing Secretary	<b>Sean Greely</b>
CARF Director of Racing	<b>Tom Doutrich</b>
Assistant Racing Secretary	<b>C. Gregory Brent, Jr.</b>
Associate Racing Secretary	<b>David Jerkens</b>
Paymaster of Purses	<b>Patricia Prospero</b>
Others (identify by name and title)	
Main Track Superintendent	<b>Juan Meza</b>
Turf Track Superintendent	<b>Calvin Rainey</b>
Price maker	<b>Richard Somers</b>
Stable Superintendent	<b>Carrie Fawcett</b>

### C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Christine Niccoli – Niccoli Reporting Service, 619 Pilgrim Drive, foster city, CA 94404-1707, (650) 573-9339.**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corp, USA, Bill O'Brien. Contract Expires: December 31, 2010**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.  
**Pegasus Communications, Inc. – Jim Porep, Jr., System maintained in house, Equipment Contract Expires March 5, 2013. See camera location attachment.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Electronic timer is installed and maintained by on-site staff.**

## 11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**T.W. Johnson, Security Manager is responsible for security on site.  
Security Department organizational chart attached.  
Security Department phone number (510) 559-7370.  
Stable Security phone number (510) 418-3161.  
T.W. Johnson cell phone number (510) 418-3161.**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **29 Full-Time Equivalent Security personnel include Frontside Security Guards, Stable Security Guards, Stable Gatemen, and Fireguards.**
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **Not applicable.**
  2. Detention Barns: **Not applicable.**
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention barn area.
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in Thoroughbred races.**

**Attachment to Golden Gate Fields/CARF License I Application  
10 (E):**

**Track Camera Equipment:**

There are a total of seven (7) cameras placed at the following strategic locations around the race track:

7/8 Pole

3/8 Pole

1/4 Pole

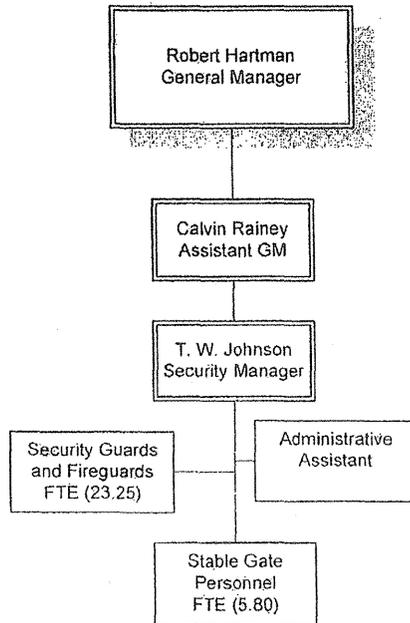
Paddock

Starting Gate

(2) Pan Cameras on Roof

# Security Department Organizational Chart Attachment (11A)

## GGF/CARF 2009 SECURITY DEPARTMENT ORGANIZATIONAL CHART



B. Plan for enhanced surveillance for trainers with high-test results.  
**24 Hour detention and video surveillance prior to the race.**

C. Plan for detention barns for repeat offenders.  
**Assigned to detention barns with 24 hour surveillance.**

D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when Detention Stalls are occupied.**

C. Describe the electronic security system.

**The Grandstand has a complete Electronic System including cameras, motion and contact security measures.**

1. Location and number of video surveillance cameras for the detention barn and stable gate.  
**See Attachment.**

## 12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:  
**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546.**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
Pleasanton: **American Medical Response** Phone: **510-895-7600**  
**640 – 143<sup>rd</sup> Avenue, San Leandro, CA 94577**

C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attachment.**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Dr. David Seftel – Office Number 510-559-7375; Cell Number 510-520-6204.**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Alta Bates Summit Medical Center, 2450 Ashby, Berkeley, CA 94705; (510) 204-4444.**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**Attached.**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):  
**Roy Roenbeck, Director of Safety and Compliance**  
**Calvin Rainey, assistant General Manager, serves as Assistant Safety Manager**

**Attachment to Golden Gate Fields/CARF License I Application  
11C and 11C (1):**

**Detention Barn Surveillance:**

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

**Stable Gate Surveillance:**

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

## On-Track First Aid Facility Attachment (12C)

### Description of GGF Medical Clinic (Live Racing)

#### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals;
- Urgent care for patrons & GGF employees.

#### Staffing

- Physician Medical Director ("Track Physician");
- Full-time Medical Assistant;
- Part-time Medical Assistant "Intern".

#### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

#### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

## English and Spanish Emergency Medical Plans Attachment (12F)



### EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

#### "FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 (*or the accident may very well be witnessed directly by the Track Physician*);
- The on-track GGF Human Ambulance (manned by GGF-contracted EMTs) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



#### "ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (*a Fire Dept. truck shall also respond*).



#### "INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond; the injured jockey(s) shall be transferred to this ambulance (*accompanied by the Track Physician*) at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.

*In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.*

(REV 1.0, JUNE 2007)



## PROCEDIMIENTOS MÉDICOS EN EMERGENCIAS PARA LESIONES DE JOCKEYS EN LA PISTA

### "PRIMERA RESPUESTA"

- En caso de accidente, los comisarios y/o escoltas deberán contactar inmediatamente al Médico de Pista ("Jockey Abajo") usando el canal de radio n°7 (*salvo que el Médico de Pista sea testigo directo del accidente*);
- La Ambulancia para Humanos de GGF (con personal de emergencias médicas contratado por GGF) y el Médico de Pista (transportado a través del camión de "chase" o "Vet" de GGF) deberá acudir en forma inmediata al lugar del accidente;
- Los médicos que asistan deberán estar equipados con soporte vital cardíaco avanzado (ACLS) y otros equipos y elementos necesarios para el tratamiento médico;
- El Médico de Pista hará una "evaluación en el lugar" de las lesiones del jockey.



### "EVALUACIÓN EN EL LUGAR"

- En base a la "evaluación en el lugar" de lesiones por parte del Médico de Pista, se tomará una decisión acerca de si tratar al jockey lesionado en el lugar o pedir transporte de emergencia médica para un tratamiento en un hospital o centro de salud;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia para Humanos de GGF trasladará al jockey lesionado directamente a la Clínica Médica de GGF; o,
  - Si se considera necesario un tratamiento fuera del lugar, se usará el "911" para pedir una ambulancia del Departamento de Bomberos de la Ciudad de Albany (*un camión del Departamento de Bomberos también acudirá*).



### "TRATAMIENTO DE LA LESIÓN"

- Si el jockey lesionado recibe tratamiento en la Clínica Médica de GGF, el Médico de Pista será el que proporcione atención primaria a menos que (o hasta que) el Médico de Pista dé de alta al paciente en base a su juicio médico;
- Si se requiere que acuda la ambulancia del Departamento de Bomberos de la Ciudad de Albany, el jockey lesionado será transferido a esta ambulancia (*acompañado por el Médico de Pista*) en cualquiera de los siguientes lugares para luego ser trasladado a un hospital local;
  - Si se considera que las lesiones son menos severas, el jockey será trasladado por la Ambulancia Humana de GGF a una ubicación fuera de la pista para ser recogido por la ambulancia del Departamento de Bomberos de la Ciudad de Albany; o,
  - Si se considera que las lesiones son severas y no puede moverse al jockey, la ambulancia del Departamento de Bomberos Ciudad de Albany será conducida por el personal de seguridad de GGF directamente sobre la pista para recoger al paciente.

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Berkeley fire clearance on file.**  
**Albany fire clearance attached.**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Liberty International - #WC1-B71-072827-036 (Covering Magna Entertainment Corp) - Attached**  
**State Compensation Insurance Fund - #1852672-2009 (Covering CARF) - Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

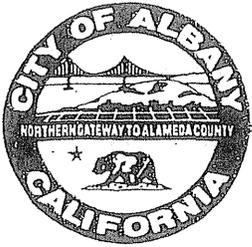
### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Armored Car Services	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
Official Program	Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
Jockey's Laundry	F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566
Starting Gate	Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
Track Photographer	Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

### 14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: **Marketing Plan attached.**



# City of Albany

1000 SAN PABLO AVE. • ALBANY, CALIF. 94706 • TELEPHONE (510) 528-5775 • FAX (510) 528-5774

FIRE DEPARTMENT  
FIRE PREVENTION BUREAU

June 23, 2009

Calvin Rainey  
Vice-President and Assistant General Manager  
Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

Dear Mr. Rainey

On June 11 & 15, 2009, this office under the Fire Prevention Code, NFPA 1; conducted a Fire and Life Safety inspection and the Fundamental Requirements listed in the *Life Safety Code*, NFPA 101, Chapter 2; the 2007 California Fire Code and California Code of Regulations. Listed below are the results of the inspection and code references. All areas of Golden Gate Fields Race Track, within the jurisdiction of the Albany Fire Department have been inspected. Areas that have been inspected include the Administration Offices, kitchens, public areas and non-public areas of the Club House & Turf Club, public areas and non-public areas of A & B Decks, snack shops on all levels, underground level, Jockeys building, Equine Hospital and the Corporation Yard.

## GENERAL

These buildings are in good condition. Housekeeping in the public and non-public areas are maintained, fire extinguishers and existing exit/panic hardware and egress doors are in operational condition. Several of the emergency illumination devices need replacement of their back-up battery; all other emergency illumination devices and Exit signs are in good working order. The fire alarm system had an annual test in February 2009 with no deficiencies or problems noted at that time. However, recent problems with the Fire Alarm System is indicating that the FACP (Fire Alarm Control Panel) is getting old and a new upgraded FACP may be in the near future. If the fire alarm system fails, notification to the fire department and fire watch of the all facilities at GGF shall commence immediately. All other Fire & Life Safety requirements appear to be in order.

Letter of Correction Notices for GGF Main Building – Administration Offices, Public & Non Public Areas Turf Club, Club House, A & B Decks, Jockeys Room, Equine Hospital and Corporation Yard shall follow under separate cover.

### Conditional Fire Clearance

Conditional fire clearance is granted to Golden Gate Fields for the August 12, 2009 through December 20, 2009 Racing Season. Including California Authority of Racing Fairs (CARF) August 12, 2009 – August 23, 2009 and September 9, 2009 – October 4, 2009; and the Pacific Racing Association (GGF) October 21 – December 13, 2009 and December 16, 2009 – December 20, 2009. During the course of the August/December 2009 Racing Season, the Albany Fire Prevention Bureau will conduct periodic Fire & Life Safety Inspections. In the event of a flagrant Fire or Life Safety violation, their August – December 2009 clearance will be revoked.

If you have any questions, please do not hesitate to contact me at (510) 528-5775.

Sincerely,



Brian J. Crudo  
Battalion Chief/Fire Marshal

cc: Marc McGinn, Fire Chief  
Andrea Ogden, C.H.R.B. ✓  
Peter Tunney, Vice-President, GGF  
Robert Hartman, General Manager, GGF  
Merry Scalzo, Director of Operations, GGF  
Roy Roenbeck, Director of Safety and Compliance, GGF

Attachment (12I)

Ref. No. 320005955745



Aon Reed Stenhouse Inc.  
20 Bay Street  
Toronto ON M5J 2N9  
tel 416-868-5500 fax 416-868-5580

Re: Evidence of Insurance

California Horse Racing Board  
Attention : Insurance Department  
1010 Hurley Way  
Sacramento, CA 95825  
USA

Insurance as described herein has been arranged on behalf of the Insured named herein under the following policy(ies) and as more fully described by the terms, conditions, exclusions and provisions contained in the said policy(ies) and any endorsements attached thereto.

Insured

Magna Entertainment Corp a/o Pacific Racing Association  
a/o Golden Gate a/o MI Developments Inc.  
375 Magna Drive  
Aurora, ON L4G 7L6

Coverage

<b>Commercial General Liability</b>	<b>Insurer</b>	Zurich American Insurance Company	
Policy #	GLO 9302183-07		
Effective	01-Apr-2009	Expiry	01-Apr-2010
Limits of Liability	Bodily Injury & Property Damage, Each Occurrence USD2,000,000 Policy may be subject to a general aggregate and other aggregates where applicable		
<b>US Workers Comp/Employers Liability</b>	<b>Insurer</b>	Liberty Mutual Insurance Company	
Policy #	WC1-B71-072827-039		
Effective	01-Jan-2009	Expiry	01-Jan-2010
Limits of Liability	Workers Compensation		

THIS CERTIFICATE CONSTITUTES A STATEMENT OF THE FACTS AS OF THE DATE OF ISSUANCE AND ARE SO REPRESENTED AND WARRANTED ONLY TO THE INSURED. OTHER PERSONS RELYING ON THIS CERTIFICATE DO SO AT THEIR OWN RISK.

Aon Reed Stenhouse Inc.

Dated : 30-March-2009  
Issued By : Chan, Chunhang  
Tel : 416-868-5691

THE POLICY CONTAINS A CLAUSE THAT MAY LIMIT THE AMOUNT PAYABLE  
OR, IN THE CASE OF AUTOMOBILE INSURANCE,

THE POLICY CONTAINS A PARTIAL PAYMENT OF LOSS CLAUSE





P.O. BOX 420807, SAN FRANCISCO, CA 94142-0807

**CERTIFICATE OF WORKERS' COMPENSATION INSURANCE**

ISSUE DATE: 07-10-2009

GROUP:  
 POLICY NUMBER: 1852672-2009  
 CERTIFICATE ID: 1  
 CERTIFICATE EXPIRES: 01-01-2010  
 01-01-2009/01-01-2010

CALIFORNIA HORSE RACING BOARD  
 COLLEEN GERMEK  
 1010 HURLEY WAY STE 100  
 SACRAMENTO CA 95825-3215

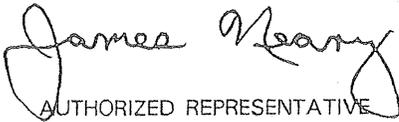
NF

This is to certify that we have issued a valid Workers' Compensation insurance policy in a form approved by the California Insurance Commissioner to the employer named below for the policy period indicated.

This policy is not subject to cancellation by the Fund except upon 10 days advance written notice to the employer.

We will also give you 10 days advance notice should this policy be cancelled prior to its normal expiration.

This certificate of insurance is not an insurance policy and does not amend, extend or alter the coverage afforded by the policy listed herein. Notwithstanding any requirement, term or condition of any contract or other document with respect to which this certificate of insurance may be issued or to which it may pertain, the insurance afforded by the policy described herein is subject to all the terms, exclusions, and conditions, of such policy.

  
 AUTHORIZED REPRESENTATIVE

  
 PRESIDENT

EMPLOYER'S LIABILITY LIMIT INCLUDING DEFENSE COSTS: \$1,000,000 PER OCCURRENCE.

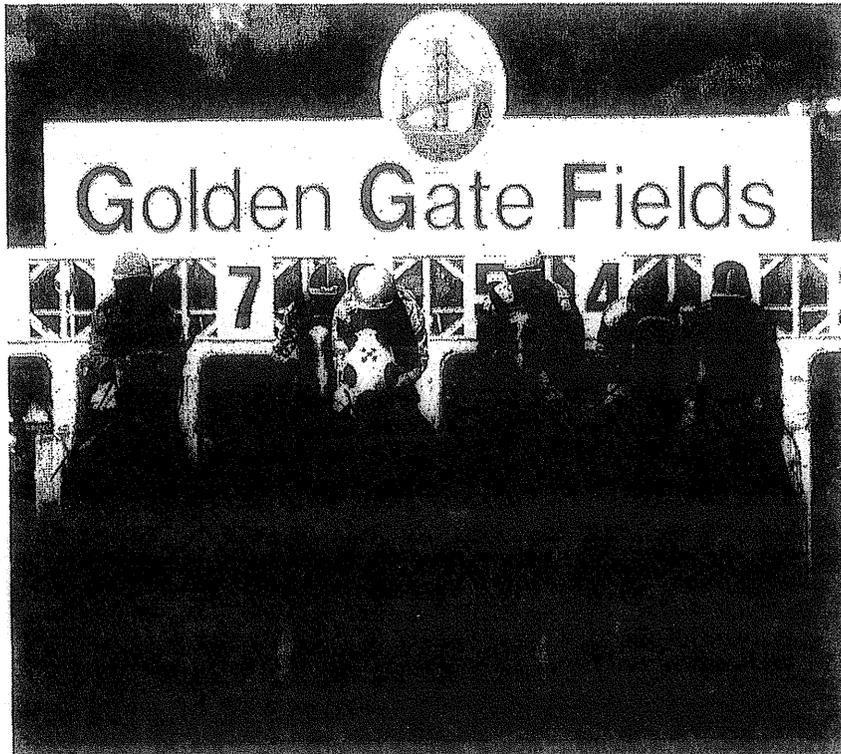
EMPLOYER

CALIFORNIA AUTHORITY OF RACING FAIRS  
 1776 TRIBUTE RD STE 205  
 SACRAMENTO CA 95815

NF

[EHM,CS]

**Golden Gate Fields CARF Meet  
2009 Marketing Plan  
August 12 - 23 & September 9 - October 4**



Prepared by:  
Kristin Finkel

The Golden Gate Fields CARF Meet #1 begins August 12 and runs through August 23, 2009. The Golden Gate Fields CARF Meet #2 begins September 9 and runs through October 4, 2009. Both Golden Gate Fields CARF Meets will race a five-day week; for a total of 10 days in August and 18 days in September - October. Racing will be conducted Wednesday through Sunday with no live racing on September 10, 2009.

## TARGET AUDIENCE

The tactical plan will place an emphasis on identifying prospects within the general target audiences identified below.

### Demographic Characteristics

- Men, Age 25+
- Key Zip Codes in Oakland, Berkeley, San Francisco, Richmond, San Mateo, Hayward, Fremont, Alameda
- Asian & Hispanic Population

On specific event days such as Dollar Day and Family Days, we see a much different demographic amongst our core customers. On event days our demographic of Golden Gate Fields is Adults 18-34.

### Psychographic Characteristics

- Horse racing fans
- Casino Gamblers
- Poker players
- Sports Fans

## MARKETING OBJECTIVES

- Drive Handle and Attendance.
- Introduce the Summer Fair Racing Circuit to Golden Gate Fields existing customers.
- Build the Golden Gate Fields CARF Meet awareness of live horse racing in the market during the Summer.
- Expand the Marketing database to new Summer Fair customers.

## OPPORTUNITIES

- Promote the fact that this is the first CARF Meet at Golden Gate Fields.
- Promote the fact that the Golden Gate Fields CARF Meet feature Turf Racing.

- Assemble greeters at each entrance to welcome all fans and answer brief questions and give directions to all services.
- Utilize the new infield tote board / jumbotron as advertising space.
- Transition the Bay Meadows Fair fans to Golden Gate Fields CARF Meet fans.

## MARKETING STRATEGIES

- Utilize online networking forums to create a "Buzz" about Golden Gate Fields
- Use \$1 Day along with Sunday NFL Ticket (in September) as a tool to drive attendance on Sunday's.
- Introduce new fans through Group Sales outreach. Expand Group Sales advertising efforts.
- Focus on developing a clear set of procedures and guidelines for our Customer Service Representatives, in order to provide the best Customer Service possible to our fans.
- Effectively utilize television advertising to grow awareness and build attendance. Promote specific event days with targeted radio and print advertising.
- Develop strategic advertising partnerships with media outlets to maximize advertising dollars.
- Send out direct mail using the THOROUGHBREDS, the Golden Gate Fields mailing list and the CARF mailing list to target both current customers and lapsed customers.
- Provide a presence within the community at local fairs and festivals.

## MARKETING TACTICS & PROMOTIONAL PLAN

The promotional schedule for both of the Golden Gate Fields CARF Meets is a challenging one. There also may be confusion among the public about a CARF Meet running at Golden Gate Fields. Therefore, it is critical that the new meet gets off to a solid start, so there are two key promotions and a considerable media spend planned for the first week of each of the meets.

The big promotion for both of the Golden Gate Fields CARF Meets is that Every Sunday of the Meet is Dollar Day. Fans can enjoy general admission, general parking, programs, hot dogs, beers and sodas all for just one dollar each. Dollar Day promotions have proven to be successful by attracting many new fans and driving attendance to the race track.

Golden Gate Fields, as well as the entire horseracing industry, faces strong competition from many different entertainment providers. The increased popularity of watching football from the comfort of one's own living room makes Sundays in the fall extremely difficult to compete. This clearly poses a threat to track attendance on Sundays in the fall. Therefore, as an attempt to

create an incentive among these prospective consumers, the Golden Gate Fields CARF Meet will offer the *NFL Sunday Ticket* on the track's televisions along with Dollar Day to create a great atmosphere on Sundays.

<u>Advertising Flight</u>	<u>Ad Date</u>	<u>Event</u>
#1	August 10- August 15	Opening Week Dollar Day
#2	September 7- September 12	Opening Week #2 Dollar Day

### PROMOTIONAL PLAN

#### *August*

##### Wednesday, August 12

Opening Day

##### Saturday, August 15

Unnamed Juvenile  
Fillies- 2 YO- 6 Furlongs  
\$50,000 Added  
Golden Gate Gold Contest

##### Sunday, August 16

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers  
Golden Gate Gold Contest

##### Saturday, August 22

Golden Gate Gold Contest

##### Sunday, August 23

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers  
Golden Gate Gold Contest

#### *September*

##### Wednesday, September 9

Opening Day

##### Saturday, September 12

\$50,000 Unnamed Stakes  
2 YO- One Mile (Turf)  
Golden Gate Gold Contest

Sunday, September 13

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

Saturday, September 19

\$50,000 Unnamed Stakes

3 YO Fillies- 1 & 1/16 Miles (Turf)  
Golden Gate Gold Contest

Sunday, September 20

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

Saturday, September 26

\$50,000 Unnamed Stakes

2 YO Fillies- One Mile (Turf)  
Golden Gate Gold Contest

Sunday, September 27

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest  
\$50,000 Unnamed Stakes  
3 YO- 1 & 1/16 Miles (Turf)

Sunday, October 4

Closing Day

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

**ADVERTISING PLAN**

With the difficulty in growing on-track attendance over the past decade, it is clear that we must continue with a more aggressive approach to grow the business. Television, while the most expensive medium, is also the most dynamic. A strongly produced television spot with a strong call to action is the most cost efficient way to reach the mass market. Beginning with the 2008 Winter Meet, Golden Gate Fields started working with a new media buying company, MediaDex. We will continue to utilize this media company after the success from the 2009 Winter/Spring Meet. The Golden Gate Fields CARF Meet (August 12- 23 & September 9- October 4) media advertising budget will be \$100,000.

## Television

Broadcast: Golden Gate Fields will develop key relationships with specific over-the-air stations to gain value-added promotions and bonus commercial inventory. By partnering a few key stations, Golden Gate Fields can maximize the limited dollars.

### Comcast Cable

Local cable is the most cost efficient general market medium currently available. Local cable allows the advertiser to pinpoint the geographic location of the spots (through pre-designated zones) and choose specific channels targeted to your audience. For example, Golden Gate Fields can target sports fans through channels such as Fox Sports Bay Area and ESPN.

Nightly Replay Show: Golden Gate Fields must strongly promote the nightly replay show as it serves as a half-hour infomercial for the race track. The show's programming has been upgraded to offer "better television" for fans. It is important for Golden Gate Fields to produce tv spots in a timely manner in order to make best use of the free air time afforded by the nightly replay show. The show averages between 10,000-20,000 viewers on a nightly basis.

## Radio

### Station Mix

Given the added dollars spent on television, the radio spots will be limited to one or two stations. KNBR 680 and KTCT 1050 are the two key sports stations in the market. It will be key to develop a relationship with these stations to maximize advertising dollars.

### "At the Track with Sam Spear"

Every Saturday morning from 7:00am to 8:00am, Sam Spear hosts a racing show on the Bay Area's #1 sports station. Golden Gate Fields needs to utilize this show to promote the weekend events.

## Print

Based on an analysis of the marketing research, the San Francisco Chronicle has the best geographic footprint which matches where Golden Gate Fields customers live. It is also the most cost effective considering the half- page specials on Mondays and Tuesdays at a discounted rate.

There will be a total of two print campaigns for the Spring Meet. The first print ads will promote Opening Week of the Golden Gate Fields CARF Meet as well as the Dollar Day on Sundays. The second print ad will promote Opening Week of the second Golden Gate Fields CARF Meet, Dollar Day on Sundays as well as the NFL Sunday Ticket.

## Direct Mail

Two postcard mailers will be sent out for the Golden Gate Fields CARF Meets. These mail pieces will highlight the new Summer racing dates at Golden Gate Fields, the Stakes Schedule

as well as the Dollar Day promotions. For this mailer, we will combine the Golden Gate Fields database with the CARF database to mail out to 55,000+ patrons.

#### **Internet / E-mail**

The goldengatefields.com website is a portal for racing fans to go to for the latest horse racing information. E-mail has become one of the primary forms of communication with Golden Gate Fields racing fans. Every effort has been made to collect customer e-mail addresses with contest cards and promotions.

Golden Gate Fields is pleased to report that it has a Myspace and Facebook page. These types of social networking sites have become a huge marketing tool at no cost. Myspace.com/goldengatefields and Golden Gate Fields on Facebook will additional tools used to promote e-news about racing, contests, promotions and on-track events simply by visiting Myspace.com and Facebook.com.

Contacting fans via e-mail is not only the least expensive way to reach customers; it is also the timeliest. For example, Golden Gate Fields can alert big players in the database about a pick six carryover in a matter of minutes. Email blasts serve as another media tool to promote events or giveaway days. An example of this is with the University of California, Berkeley. The University of California, Berkeley allows Golden Gate Fields to promote our upcoming events through the University emails. KTVU Fox 2 also allows Golden Gate Fields to email upcoming events and promotions to their exclusive list.

#### **Local Area Marketing**

Golden Gate Fields needs to extend their brand presence into the community with a local area Marketing effort. Working with local hotels and restaurants, Golden Gate Fields needs to be a potential destination for tourists and convention attendees.

#### **College Advertising**

Over the past year Golden Gate Fields and the University of California, Berkeley, have teamed up to create an outstanding partnership. Events are held at the University and by attending the events. Golden Gate Fields is able to promote our product to educate younger fans. Marketing to a younger generation will help Golden Gate Fields and horseracing as a whole in the future.

In August 2009, Golden Gate Fields will be at the University of California, Berkeley for Caltopia. Caltopia provides Golden Gate Fields the opportunity to further our relationship with the 35,000 Cal students that attend the event. Caltopia is a unique marketing platform that engages Cal students on their terms - where they play, where they work and where they learn.

- B. Number of hosts and hostesses employed for meeting:  
**3 Customer Service Representatives**  
**5 Group Sales Representatives**
- C. Describe facilities set aside for new fans:  
Project Rover – Initiated a customer service outreach program called “Project Rover” that brings customer service representatives directly to the fans.

Customer Service – Our customer service center is open year round with trained customer service representatives to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance.

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service.

Manager on Duty Program – This program was designed to ensure interaction between managers, employees and the fans. There are 24 managers/line managers in rotation who are assigned specific days in which they interact with the fans to help with any questions while doing a site inspection for safety and cleanliness.

- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen
    - Owner/Trainer Lounge will be operable during the CARF meet at GGF in close proximity to the Paddock.
  2. Fans
    - Installed concession tents in Grandstand to be utilized during \$1 Sundays.
1. Facilities in the restricted areas Equine Hospital

## 15. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
- |                              |  |
|------------------------------|--|
| Admission (general)          | \$4.00                                     |
| Admission (general) [Sunday] | \$1.00 [Sunday]                            |
| Admission (club house)       | \$6.00                                     |
| Admission (turf club)        | \$10.00 Weekdays                           |
| Admission (turf club)        | \$15.00 Weekends & Holidays                |
| Reserved seating (general)   | N/A  |
| Reserved seating (clubhouse) | \$3.00 (No charge with Thoroughbreds card) |
| Parking (general)            | \$4.00                                     |
| Parking (preferred)          | \$8.00                                     |
| Parking (valet)              | \$8.00                                     |
| Programs (on-track)          | \$2.25                                     |
| (off-track)                  | \$2.25                                     |

Thoroughbred Club Members

General Admission:		Club House Admission:	
1-3 Visits	\$3.00	1-3 Visits	\$4.00
4-8 Visits	\$2.50	4-8 Visits	\$3.50
9-15 Visits	\$2.00	9-15 Visits	\$3.00
16-20 Visits	\$1.50	16-20 Visits	\$2.50
Over 20 Visits	\$1.00	Over 20 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admissions

Valid during live and ITW

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$1.75 each

TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 3.50 each

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
Not Applicable.
- C. Describe any "package" plans such as combined parking, admission and program: None

## 16. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys:

Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with an attached shower room, sink and toilet.

## 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by:  
On February 19, 2009, an annual backside housing inspection was conducted in cooperation with the Berkeley Housing Authority, CHRB, and Golden Gate Fields. On June 19, 2009 CHRB Investigator Anne Glasscock completed an additional backside worker housing inspection.
- B. Number of rooms used for housing on the backstretch of the racetrack: 128
- C. Number of restrooms available on the backstretch of the racetrack:  
18 with showers, 7 in office areas.
- D. Estimated ratio of restrooms to the number of backstretch personnel:  
1 restroom for every 12 people.

## 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5,280 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**On File**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not Applicable.**

## 19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**CTT Agreement On File.**  
**TOC Agreement (12/26/08 - 12/25/09) on file with Board.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions**

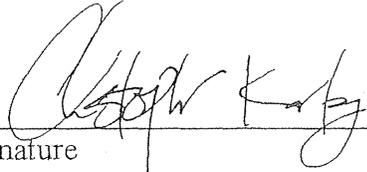
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Christopher Korby  
Print Name

Executive Director  
Print Title

  
Signature

Jan 1, 2009  
Date

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 14-23, 2009.

Humboldt County Fair (HCF) filed its application to conduct a horse racing meeting at Ferndale as part of the 2009 combined fair horse racing meeting:

- August 14-23, 2009, or 8 days, 2 days less than in 2008. The fair proposes to race 68 races, 9 races less than in 2008. The Humboldt County Fair is a participant in the 2009 combined fair horse racing meeting.
- At the April 24, 2009 regular meeting, the Board approved a request from the California Authority of Racing Fairs (CARF) to designate the allocated race dates of the San Joaquin County Fair (9 days), Alameda County Fair (15 days), Solano County Fair (5 days), the two CARF at Golden Gate Fields meets (29 days), the Humboldt County Fair (9 days) and the Big Fresno Fair (10 days) as a combined fair horse racing meeting. The total number of race dates allocated to the fairs participating in the combined fair horse racing meeting is 77 days.
- The proposed race dates differ from the original approved dates allocated to the fair. At its November 18, 2008 regular meeting, the Board approved the following calendar for the Humboldt County Fair:

August - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- The Humboldt County Fair is requesting the Board's approval to change its scheduled days when live racing will be held. The original approved calendar shows HCF conducting live racing on Thursday, August 13; instead, HCF proposes to only provide simulcast wagering on Thursday, August 13 and begin live horse racing on Friday, August 14. This will change the number of live horse racing days from 9 to 8, one less day than was originally allocated to HCF. The requested race dates for HCF are shown below:

August - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing Friday through Sunday the first week and Wednesday through Sunday the second week. Eight races Wednesday, Thursday and Fridays, 9 races Saturdays and Sundays.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2008 Race Meeting: Average number of runners per race (TB): 5.70
  - 2008 Race Meeting: Average number of runners per race (Arabian): 6.47
  - 2008 Race Meeting: Average number of runners per race (Quarterhorse): 0
  - 2008 Race Meeting: Average number of runners per race (Mules): 6.78
- Racing concurrently with CARF at Golden Gate Fields and Del Mar 8/14-23.
- First post 2:07 p.m. Wednesday, Thursday, Saturdays and Sundays, 3:07 p.m. Fridays.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.

Wagering program will use CHRB rules.

- \$1PK 4 on First Race and Last Four Races
- \$2 Pick 6 on Last Six Races
- \$1 Pentafecta selecting the first five finishers in a same race.
- Early wagering will not be offered
- The Advance Deposit Wagering (ADW) providers are TVG, Xpressbet, Twin Spires and Youbet.
- The distribution of the carryover pool will be August 23, 2009 (Closing Day of Humboldt County Fair).
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been completed.
- Track safety inspection has been completed.
- All horsemen's agreements have been received.

#### ITEMS STILL NEEDED:

- Fire Clearance

#### RECOMMENDATION:

Staff recommends the Board approve the application, contingent upon receipt of the fire clearance.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev. 12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair: Humboldt County Fair, 1250 5<sup>th</sup> Street, Ferndale, CA 95536 (707) 786-9511

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates of race meeting: August 14-23, 2009

B. Dates racing will NOT be held: August 17 & 18, 2009

C. Total number of racing days: 8

3. RACING PROGRAM

A. Total number of races: 68

B. Number of races by breed:

Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	6	0	0	5	5	5	6
Other Breeds	3	0	0	3	3	3	3
Total	9	0	0	8	8	8	9

CHRB CERTIFICATION

Application received: 5/19/09  
Reviewed: *CS*

Hearing date: 7-23-09  
Approved date:  
License number:

**BOARD MEMBERS**

Valerie Davis  
*President*

Jay Hight  
*1st Vice President*

John Burger  
*2nd Vice President*

Don Becker  
Mel Berti  
Clarence Bugenig  
Ken Christen  
Al Cooper

Jeff Farley  
Don Giacomini  
Sandy Hanks  
Travis Low  
Eugene Lucas  
Jack Macdonald

Dave Mogni  
Cindy Olsen  
Herb Peterson  
Robert Prior  
Tim Renner  
Johanna Rodoni  
Wayne Wilson

Stuart Titus  
*General Manager*

**June 10, 2009**

**California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, Ca 95825**

**Dear Chairman Harris:**

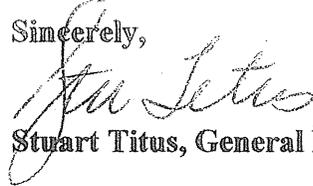
**Please accept this letter as an explanation of why the 2009 Humboldt County Fair race dates will include eight (8) days of racing, rather than the nine (9) days previously allocated by the Board.**

**The 2009 Humboldt County Fair will be conducted from Thursday, August 13 through Sunday, August 23. No live racing is schedule for Thursday, August 13, Monday, August 17 or Tuesday, August 18. Simulcast wagering only will be offered Thursday, August 13,**

**While the Board had previously allocated nine days to our Association, we have since decided that eight days are more appropriate. The reason for this decision relates to the limitations of horse available for racing this year, along with our efforts to better manage the operational expenses associated with our race meet.**

**In submitting our application, we hope to offer at least eight races on each of the days we conduct live racing. In addition, purses offered at Humboldt this year will, for the first time ever, will match those offered for similar classes of horses as those offered at other fairs.**

Sincerely,

  
**Stuart Titus, General Manager**

## D. Total number of stakes races by breed:

<input type="text" value="2"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text"/>	Paints	<input type="text" value="2"/>	Mules

## E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Please see attached list.

## F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

## G. List all post times for the daily racing program: Please see attached list.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

## 4. FAIR ASSOCIATION

- A. Names of the fair directors: **Don Becker, Mel Berti, Clarence Bugenig, John Burger, Ken Christen, Al Cooper, Valerie Davis, Jeff Farley, Don Giacomini, Sandy Hanks, Jay Hight, Travis Low, Gene Lucas, Jack Macdonald, Dave Mogni, Cindy Olsen, Herb Peterson, Robert Prior, Tim Renner, Johanna Rodoni and Wayne Wilson.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Don Becker, Clarence Bugenig, John Burger, Al Cooper, Jeff Farley, Jack Macdonald, Cindy Olsen, Dave Mogni, Wayne Wilson, Valerie Davis**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program: **Stuart Titus, General Manager and Director of Racing; Track Announcer, Frank Mirahmadi.**

## 5. PURSE PROGRAM

## A. Purse distribution:

## 1. All races other than stakes:

Current meet estimate: **\$336,000**Prior meet actual: **\$292,078**

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: **\$42,000/day**Prior meet actual: **\$29,208/day**

## 2. Overnight stakes:

Current meet estimate: **\$60,500**Prior meet actual: **\$62,000**

**3E Stakes Races****Friday, August 14**

**The Ferndale Dash – For 3yo mules, 220 yards, \$4,500 added**

**Sunday, August 16**

**Victorian Village Arabian Distaff-Fillies and Mares, 3yo and upward, Five furlong, \$6,500 guaranteed**

**Saturday, August 22**

**Les Mademoiselle Stakes-Fillies and Mares, 3yo and upward, One and one-sixteenth mile, \$12,500 Guaranteed**

**Sunday, August 23**

**Ferndale Arabian Stakes-3yo and upward, 660 yards, \$6,500 added**

**Cream City Mule Handicap-3yo and upward, 660 yards, \$5,500 added**

**Humboldt County Marathon-3yo and upward, One mile and five furlongs, \$25,000 Guar.**

3G Post Times

<u>Race Number</u>	<u>Wednesday, Thu., Sat &amp; Sun</u>	<u>Fridays</u>
Race #1	2:07	3:07
Race #2	2:37	3:37
Race #3	3:07	4:07
Race #4	3:37	4:37
Race #5	4:07	5:07
Race #6	4:37	5:37
Race #7	5:07	6:07
Race #8	5:37	6:37
Race #9	6:07	7:07

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate: \$7,563

Prior meet actual: \$6,200

3. Non-overnight stakes:

Current meet estimate: 0

Prior meet actual: 0

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

B. Funds to be generated for all California-bred incentive awards:

Current meet estimate: \$14,485

Prior meet actual: \$12,933

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

CTT \$ 746

TOC \$ 1,492

NTRA \$ 548

PCQHRA \$ 15

CWAR

ARAC \$ 6,776

AMRA \$ 8,267

CHBPAPEN \$ 2,238

CTHF \$ 2,238

Total \$22,320

Prior meet actual:

\$ 666.17

\$ 1,332.34

\$ 490.75

\$ 12.66

\$ 6,049.80

\$ 7,381.20

\$ 1,998.51

\$ 1,998.51

Total \$19,929.94

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$433,305

Prior meet actual: \$386,941

Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$54,163/ day

Prior meet actual: \$38,694/day

E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$131,413

Prior meet actual: \$150,412

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$16,426/race day

Prior meet actual: \$15,041/race day

- F. Purse funds to be generated from interstate handle:  
 Current meet estimate: 0  
 Prior meet actual: 0
- Average Daily Purse (5F ÷ number of days):  
 Current meet estimate: 0  
 Prior meet actual: 0
- G. Bank and account number for the Paymaster of Purses' purse account:  
 Account #: On File
- H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:  
 Disher Accountancy Corp., 1816 Maryal Dr., Sacramento, CA 95854 – 916-482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
 250 permanent                      200-220 portables
- B. Minimum number of stalls believed necessary for the meeting:  
 450-470
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: none
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:  
 458
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
 N/A

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: -0-

7. PARI-MUTUEL WAGERING PROGRAM

C. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #2	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #3	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #4	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #5	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #6	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #7	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #8	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF	CHRB#1959,1977,1979,1958,1957,1979.1
Race #9	\$1E,\$1PK3,\$1TRI,\$2Q,\$2DD,1SF \$1 Super H5	CHRB#1959,1977,1979,1958,1957,1979.1 ARCI 004-105

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **Sunday, August 23, 2009.**

C. List any options requested with regard to exotic wagering:  
**\$1PK 4 on First Race and Last Four Races**  
**\$2 Pick 6 on Last Six Races**  
**\$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in a selected High-5 race. Super High-5 will be carded as last race of the day. (Applicable Rule ARCI-004-105).**

D. Will "advance" or "early bird" wagering be offered?  Yes  No  
 If yes, when will such wagering begin:

E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Scientific Games Racing (David Payton). Expires 2011**  
**Equipment description on file with Board**

## 8. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
**Xpress Bet, T.V.G, TwinSpires, and YouBet.**

## 9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering: **Northern California Off-Track Wagering, Inc. (NCOTWINC)**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file.**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:

### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Golden Gate Fields, Albany  
 \*Humboldt County Fair, Ferndale  
 Jockey Club at San Mateo, San Mateo  
 Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey  
 \*\*Redwood Acres Fair, Eureka  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

\*Open during two-week Ferndale Fair Racing

\*\*Closed during Ferndale Fair Racing

\*\*\*Closed for renovation

### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Derby Club, Seaside Park, Ventura  
 Earl's Place at Earl Warren Fairgrounds, Santa Barbara  
 Fairplex Park, Pomona  
 Horsemen's Club,, Santa Barbara  
 Los Alamitos Race Course, Los Alamitos  
 Santa Anita Park, Arcadia  
 Shalimar Sports Center, Indio  
 Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 \*\*\*Sycuan Gaming Center, El Cajon  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**Humboldt County Fair/Ferndale**  
**August 14 - 23, 2009**

**Out-of-State & International Imports - Full or Partial Card (Subject to Change)**

<b>DOMESTIC</b>	
ARLINGTON	8/14-8/23
CALDER RACECOURSE	8/14-8/23
CANTERBURY DOWNS	8/14-8/23
CHARLES TOWN	8/14-8/23
DELAWARE	8/14-8/23
ELLIS PARK	8/14-8/23
EMERALD DOWNS	8/14-8/23
EVANGELINE DOWNS	8/14-8/23
GRAND PRAIRIE	8/14-8/23
HOOSIER PARK	8/14-8/23
INDIANA DOWNS	8/14-8/23
LAUREL PARK	8/14-8/23
LOUISIANA DOWNS	8/14-8/23
MONMOUTH	8/14-8/23
MOUNTAINEER	8/14-8/23
PENN NATIONAL	8/21-8/23
PRAIRIE MEADOWS	8/14-8/23
PRESQUE ISLE DOWNS	8/14-8/23
REMINGTON PARK	8/14-8/23
RIVER DOWNS	8/14-8/23
RUIDOSO	8/14-8/23
SARATOGA	8/14-8/23
SUFFOLK DOWNS	8/14-8/23
THISTLEDOWN	8/14-8/23
YAVAPAI DOWNS	8/14-8/23
<b>INTERNATIONAL</b>	
ASSINIBOIA	8/14-8/23
WOODBINE	8/14-8/23
HASTINGS	8/14-8/23
SAN ISIDRO	8/14-8/23
HIPPODROMO CHILE	8/14-8/23
MARONAS	8/14-8/23
NORTHLANDS PARK	8/14-8/23
FORT ERIE	8/14-8/23
SUBJECT TO CHANGE	

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Amwest Entertainment, OR	Hinsdale Greyhound Park, NH	Rosecroft Raceway, MD
Arapahoe Park, CO	Hoosier Park, IN	Royal River Racing
Arima Race Club	Horsemen's Park, NE	Ruidoso Downs, NM
Arlington International Race Course, IL	Indiana Downs, IN	Running Aces Harness Park
Atlantic City Casino Assn., NJ	International Racing Group	Sam Houston, TX
Atlantic City Race Course, NJ	Jackson Harness Raceway, MI	Saratoga Raceway, NY
Atokad Downs, NE	John Martin's Manor Restaurant	Scarborough Downs, ME
Balmoral Park, IL	Keeneland Association, KY	Scioto Downs, OH
Bangor, ME	Kentucky OTB	Seabrook Greyhound
BetAmerica	Lebanon Raceway, OH	Shoreline Star Greyhound Park
BetPad Ltd.	Les Bois/Idaho	Skydancer Casino
Beulah Park, OH	Lewiston Raceway Inc., ME	Sol Mututel Ltd.
Birmingham Race Course, AL	Lien Games, Inc., ND	Southland, AR
Blue Ribbon Downs, OK	Lincoln Greyhound	Sports Center
Bluffs Run Greyhound	Lodge Belmont Greyhound Park	Sports Creek Raceway, MI
Buffalo Raceway, NY	Lone Star., TX	Stables, The
Calder Race Course, FL	Louisiana Downs, LA	State Fair Park, NE
Canadian Associations	Manor Downs	Suffolk Regional OTB, NY
Canterbury Park, MN	Maryland Jockey Club, MD	Suffolk Downs, MA
Capital District OTB	Maywood Park, IL	Sunland Park, NM
Capital Sports Ply, Ltd, Australia	Meadowlands, NJ	SunRay Park, NM
Casino Association	Meadowlands, The	Tampa Bay Downs, FL
Catskills OTB	Meskwaki Casino	The Greyhound Park @ Post Falls
Charlestown Race Course, VA	Millers OTB	The Racing Channel
Chester Downs & Marina LLC	Mohegun Sun	The Racing Channel International
Choctaw Racing Services, LLC, OK	Monmouth Park, NJ	Thistledown Racing, OH
Churchill Downs, KY	Montana OTB, MT	Timeout Lounge
Coeur d'Alene Greyhound, ID	Monticello Raceway, NY	Tioga Downs
Coeur d'Alene Casino, ID	Mount Pleasant Meadows, MI	Tri-State Racetrack & Gaming Center, WV
Colonial Downs, VA	Mountaineer Park, WV	Turf de Venezuela
Columbus Races, NE	Nassau Regional OTB, NY	Turfway Park, KY
Comanche Nation	Nebraska State Fair Park, NE	TVG
Connecticut OTB, CT	Nevada Indian Casinos	Twin Spires/AmTAB
Crystal Palace	Nevada Pari-Mutuel Association, NV	Turf Paradise, AZ
Cyprus Boyou OTB	New York City Off-Track Betting Assn., NY	Valley Greyhound Park
Dairyland Greyhound Park, WI	New York Racing Association, NY	Vernon Downs, NY
Darwin All Sports	Newport Jai Alai, FL	Victor Chandler
Delaware Park, DE	Northfield Park, OH	Western Regional OTB
Delta Downs	Oaklawn Park, AR	Wheeling Downs, WV
Downs at Albuquerque, NM	Ocean Downs, MD	Wichita Greyhound Park, KS
Elite Turf Club 1-8, NV	Penn National Race Course, PA	Will Rogers Downs, OK
Ellis Park, KY	Phumelela Gold	Wonderland Greyhound Park, MA
Emerald Downs, WA	Philadelphia Park, PA	Woodlands, KS
Equus St. Thomas Racing, Inc.	Pinnacle Race Course	Wyoming OTB, WY
Evangeline Downs, LA	Plainfield Greyhound Park, NJ	XpressBet, Inc., CA
Evansville OTB	Plainridge Racecourse, MA	Yavapai Downs, AZ
Fair Meadows	Pocono Downs, PA	Yonkers Raceway, NY
Fair Grounds Race Course, LA	Portland Meadows, OR	YouBet
Finger Lakes Race Track, NY	Potawatomi Casino	Zia Park, NM
Fonner Park, NE	Prairie Meadows, IA	
Foxwoods Casino,	Premier Turf Club	<b>Separate Pools:</b>
Freehold Raceway, NJ	Presque Isle Downs	Caymanas Park, JAM
Geneva Lakes	Race 2 Day	Hipodromo Presidente Remon, Panama
Gillespie County Downs	Raceway Park, OH	LVDC (NV)
Global Wagering Solutions (MEC) MagnaBet	Racing World	MIR/Caliente
Great Lakes Downs, MI	Racing US	Hippodromo Camerero (PR)
Greentrack	Racing & Gaming Services, British WI	
Gulf Greyhound, TX	Raynham Taunton Greyhound Park	
Gulfstream Park, FL	Remington Park, OK	
Harrington Raceway	Retama Park, TX	
Hawthorne	River Downs, OH	
Hazel Park, MI	Rockingham Park, NH	
	Rocky Mountain Turf Club	

F. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state

“selected feature and/or stakes races”:

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 8D above.	TBD	

- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

#### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

#### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- I. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- J. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable.**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s)	Henry Cook, DVM
Clerk of Scales	Cheryl White
Clerk of the Course	Dolores Collins
Film Specialist	Matt Nichols
Horse Identifier	Darrel Sparks
Horseshoe Inspector	Maurice Fitzpatrick
Paddock Judge	Darrel Sparks
Patrol Judges	Lisa Jones, Matt Nichols
Placing Judges	Stewards (Names TBD)
Starter	Bob Mooneyhan
Timer	Melody Truitt

### B. Management officials in the racing department:

Director of Racing	Stuart Titus
Racing Secretary	Tom Doutrich
Assistant Racing Secretary	Lisa Jones
Paymaster of Purses	Vicky Layne
Mutual Manager	Dominick DePrenzio

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Sheryl Brown, 1028 Second Street, Eureka, CA 95501 707-268-0233.
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp., USA, Bill O'Brien (Expires 12/7/2012)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communication, Inc. (Jim Porep). Expires 4/30/2013. 3 Cameras in tower, 1 hand held camera, 1 pan camera in announcer's booth and 1 camera at 3/16 pole which is remotely mounted on pole. Equipment to be used – SEE EXHIBIT A
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Pegasus Communication, Inc. (Jim Porep) Expires 4/30/2013. Dedicated laptop computer with connectivity to a hard wired electronic system surrounding the track with infra red sensors.

EXHIBIT A  
EQUIPMENT

- A. 2 Sony SP870 Video Tape Recorders; 2 Panasonic MII Digital Video Tape Recorders
- B. Yamaha 1604 Audio Mixer
- C. Sierra Video systems Routing Switcher
- D. 6 DXC M-7 Cameras with lenses, viewfinders, pan heads, support equipment
- E. Window NT Running Lightware
- F. FORE-A Video Typewriter
- G. FORE-A Frame Sync
- H. AUX Frame Syuc
- I. VIDEO FLYER
- J. 4 Sony 9800 Video Tape Recorders
- K. Microtime IMPACT DVE
- L. GVG 200 with Chroma Key, Silhouette Key, Borderline Option
- M. Remote Production Vehicle
- N. Onboard Isolation Transformer & Voltage Regulator

## 11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Pacific Coast Security, Gene Bass, Owner (707) 786-9511**  
**Please see attached organization chart**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **1-2 guards in grandstands**  
**2-3 rovers**  
**3 licensed gatemen on 8-hour shifts**
1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **n/a**
  2. Detention Barns: **The fair is not running graded stakes**
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention barn area.
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All thoroughbred races and all horses**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Trainer with high test results will be moved to the detention area.**
    - C. Plan for detention barns for repeat offenders.  
**Ten stalls adjacent to test barn, which are under 24-hour surveillance.**
    - D. Number of security personnel assigned to the TCO2 program.  
**One 24-hour guard when detention stalls are occupied.**
  - C. Describe the electronic security system.  
**Monitored electric surveillance.**
    1. Location and number of video surveillance cameras for the detention barn and stable gate.  
**One surveillance camera at or near detention stalls.**

## 12. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **City Ambulance of Eureka, 135 7<sup>th</sup> Street, Eureka, CA 95501 (707)445-4907**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **n/a**

11. Organizational Chart - Security

CHIEF BRETT SMITH – CITY OF FERNDALE

OFFICERS, CITY OF FERNDALE

STUART TITUS, HUMBOLDT COUNTY FAIR

GENE BASS, PACIFIC COAST SECURITY

STAFF MEMBERS, PACIFIC COAST SECURITY

- C. Describe the on-track first aid facility, including equipment and medical staffing: **See attached**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Licensed physician on duty at Redwood Memorial Hospital**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Redwood Memorial Hospital, 3300 Renner Drive, Fortuna (707)725-7328**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d): **Stuart Titus and Susan Combes**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Scheduled for August 1, 2009.**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Winner's Circle Photo**

**Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514**

**Racing Grandstand Sound System**

**Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519**

**Armored Car Services**

**NOTWINC, 11875 Dublin Blvd. Dublin, CA 94568**

**Portable Stalls**

**Silver Bar Leasing, 3445 S. Fruit, Fresno, CA 93706**

## 12C First aid and Medical Staffing

The Humboldt County Fair provides emergency care which supports comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

Two Emergency Medical Technicians from City Ambulance of Eureka staff are located in an on-track ambulance, which is located at a location with ease of access to the track during each day of training and racing. This ambulance and crew are present whenever horses are on the track (during both racing and training hours, and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

Licensed Physicians are on-duty at Redwood Memorial Hospital and are responsible for ongoing care for jockeys, track staff and allied personnel requiring emergency medical care.

The Humboldt County Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communication services are provided to ensure constant contact between all emergency care personnel. Two-way radio networks are established within the racing operations, as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The fair also has an emergency response cell which responds to all emergencies, both medical as well as non-medical.

**12F Medical Protocols and Procedures: English**

In case of an accident on the racetrack, the following procedures shall be implemented:

**Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

**Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

**Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

**Plant Staff**

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

**Announcer**

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

**12F Medical Protocols and Procedures: Spanish****Procedimiento en caso de Accidente en Humboldt County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

**El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victim(s).

**Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo deberá reportarse al lugar del accidente y desde ahí recibir las instrucciones del Paramédico responsable del lugar del accidente. El miembro de seguridad será responsable de mantener a los transeúntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercará al cuartel del jockey para asegurar el área donde la ambulancia estará y prevenir que transeúntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo será responsable de escoltar a los vehículos de emergencia.
4. Los miembros de seguridad serán responsables de controlar a la multitud.

**Personal de Carreras/Veterinario del hipodromo**

1. En una vez en el lugar del accidente, el Outrider/escolta deberá sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridos deberán ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo deberá decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al público, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista después de que la persona a caballo accidentado haya sido trasladado del lugar.

**Personal de Planta/Plant Staff**

1. La Ambulancia de Caballos deberá trasladarse inmediatamente al lugar del accidente siempre que un caballo esté severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que estén cerca del accidente deberán ayudar a limpiar el lugar para que el público no pueda ver lo que sucede, además deberán recibir instrucciones del Paramédico responsable del lugar del accidente.

**Locutor**

El locutor deberá informar a los jinetes acerca de los detalles de la situación (como la ubicación del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

**Gerencia**

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tenter un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

#### Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

July 7, 2009

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  
Attn: Colleen

Re: Humboldt County Fair  
1250 5th Street  
Ferndale, CA 95536

Please be advised that the Humboldt County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000  
Coverage provided by Allied World Assurance Company  
Term: 01/01/2009 to 01/01/2010

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2009 to 07/01/2010

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Humboldt County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

  
Lianne Lewellen

Risk Analyst

14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: Promotional plans will be directed towards goal of attaining greater attendance than the Combined Fair meet at Golden Gate Fields. A more consolidated (eight-day) program, along with greater purses are designed to support this plan.
- B. Number of hosts and hostesses employed for meeting: All volunteer force, including 1,437 citizens and 200 business owners of the City of Ferndale.
- C. Describe facilities set aside for new fans: Comfortable seating and friendly atmosphere in any area they choose, with every seat providing a breathtaking view of the Victorian Village of Ferndale, its surrounding dairy properties and the Wildcat mountains south of the City of Ferndale, California.
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  - 1. Horsemen: Improved infrastructure in portable stall areas.
  - 2. Fans: New party-oriented, more festive tent area for wagering and seminars
  - 3. Facilities in the restricted areas : New offices for State and Track Vets, CHRB Investigators and Paymaster, along with new ADA compliant restrooms in Racing Office area.

15. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
 

Admission (general)	\$7.00
Admission (racing)	\$3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$2.00 per day
Parking (preferred)	\$10.00 per day
Parking (valet)	
Programs (on-track)	\$2.00
(off-track)	
- B. Describe any "Season Boxes" or other special accommodation fees: \$200.00 for box seats
- C. Describe any "package" plans such as combined parking, admission and program: None

16. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:
 

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="12"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys: Separate by equal to the boys, including separate corners, showers and sauna.

#### 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by Anne Glassgcock on April 30, 2009.
- B. Number of rooms used for housing on the backstretch of the racetrack: Trailer unit with 10 bunks.
- C. Number of restrooms available on the backstretch of the racetrack: Six (6)
- D. Estimated ratio of restrooms to the number of backstretch personnel: Unknown

#### 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

2640
------

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made of offset galvanized posts, with extended aluminum railing on top of gooseneck posts, 42" in height, with average overhang of 24".
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Alphonso Collins, Track Master
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. n/a

#### 19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
On File
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable,

which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

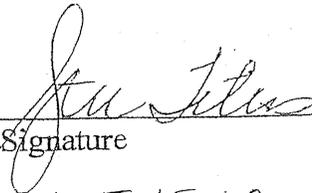
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**20. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Stuart Titus  
Print Name

General Manager  
Print Title

  
Signature

5.15.09  
Date

STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA AUTHORITY OF RACING FAIRS AT GOLDEN GATE FIELDS SEPTEMBER 9 THROUGH OCTOBER 4, 2009.

California Authority of Racing Fairs (CARF) filed its application to conduct a horse racing meeting at Golden Gate Fields as part of the 2009 combined fair horse racing meeting:

- September 9 through October 4, 2009, or 16 days. CARF proposes to race a total of 136 races. This is the second fair horse racing meeting operated by CARF at Golden Gate Fields. CARF at Golden Gate Fields is a participant in the 2009 combined fair horse racing meeting.
- At the April 24, 2009 regular meeting, the Board approved a request from the California Authority of Racing Fairs (CARF) to designate the allocated race dates of the San Joaquin County Fair (9 days), Alameda County Fair (15 days), Solano County Fair (5 days), the two CARF at Golden Gate Fields meets (29 days), the Humboldt County Fair (9 days) and the Big Fresno Fair (10 days) as a combined fair horse racing meeting. The total number of race dates allocated to the fairs participating in the combined fair horse racing meeting is 77 days.
- The proposed race dates differ from the approved dates allocated to CARF at Golden Gate Fields. At its November 18, 2008 regular meeting, the Board approved the following calendar:

September - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- CARF is requesting the Board's approval to change its scheduled days when racing will be held. The original approved calendar shows CARF conducting live racing on Wednesday, September 9 through Sunday, October 4, 2009; racing Wednesday through Sunday except for Thursday, September 10, 2009 for a total of 19 racing days. CARF proposes to eliminate live racing on Wednesday, September 16, 23, and 30, reducing its number of racing days to 16. The proposed race dates listed on the CARF at Golden Gate Fields license application are shown below; however, representatives from CARF have yet to submit to the Board a formal letter of request to change the allocated race dates:

September - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October - 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- The application lists CARF racing Wednesday, Friday, Saturday, and Sunday the first week; Thursday through Sunday the other three weeks. 8 races per day Wednesday, Thursdays and Fridays. 9 races on Saturdays and Sundays.
- CARF proposes to run Thoroughbreds only at the race meeting.
  - Business and Professions Code section 19540 provides that in order to encourage and develop the racing of all horses in California, regardless of breed, whenever a fair conducts a program of horse races on which there is parimutuel wagering, the fair, so far as practicable, shall provide a program of racing that includes thoroughbred racing, quarter horse racing, Arabian racing, and Appaloosa racing, if a sufficient number of horses is available to provide competition in one or more races.
- First post 12:45 p.m.
- Racing concurrently with Del Mar.
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules:
  - \$1 Pick 4 on First Four (4) Races and Last Four (4) Races.
  - \$2 Pick 6 on Last Six (6) Races.
  - \$1 Place Pick All on Last Ten (10) Races.
  - \$2 Parlay on All races except the last.
  - \$1 Pentafecta on 5<sup>th</sup> race of the day.
  - Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG, TwinSpires and YouBet.
- The distribution of the carryover pool will be October 4, 2009 (Closing Day).
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Fire clearance from the city of Berkeley and the city of Albany has been granted.
- A workers' compensation insurance policy has been received from both Magna Entertainment Corp and CARF; however, it is unclear how coverage of the race meeting will be managed by the two entities.
- Inspection of the backstretch worker housing has been requested.
- Track safety inspection has been requested.

#### ITEMS STILL NEEDED:

1. A lease agreement between CARF and Magna Entertainment Corp/Golden Gate Fields.
2. Clarification regarding workers' compensation insurance coverage.

**RECOMMENDATION:**

Staff recommends a contingent approval pending the submission of a lease agreement between CARF and Magna Entertainment Corp/Golden Gate Fields and the completion of the track safety and backstretch worker housing inspections.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev.12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair:

California Authority of Racing Fairs II at Golden Gate Fields  
 1776 Tribute Road, Suite 205 Phone: (916) 927-7223  
 Sacramento, CA 95815 FAX: (916) 263-3341

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates of race meeting: September 9, 2009 through October 4, 2009.  
 B. Dates racing will NOT be held: Thursday, September 10, Monday, September 14, 21 & 28.  
 Tuesday, September 15, 22 & 29 & Wednesday, September 16, 23 & 30, 2009.  
 C. Total number of racing days: Sixteen (16)

3. RACING PROGRAM

A. Total number of races: 136  
 B. Number of races by breed:  
 Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

Application received: 6/22/09 Reviewed: <i>chy</i>	CHRB CERTIFICATION	Hearing date: 7/23/09 Approved date: License number:
---	--------------------	--



## 4. FAIR ASSOCIATION

- A. Names of the California Authority of Racing Fair Directors: **John Alkire-President, Rick Pickering, Kelly Violini, Dan Jacobs, Norb Bartosik and Vince Agnifili.**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **John Alkire-Chairman, Rick Pickering, Debbie Cook, Mike Paluszak, Chris Carpenter and Stuart Titus.**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

<b>Christopher Korby</b>	<b>Executive Director</b>
<b>Larry Swartzlander</b>	<b>Director of Operations</b>
<b>Tom Doutrich</b>	<b>CARF Director of Racing</b>
<b>Michael Wrona</b>	<b>Announcer</b>

## 5. PURSE PROGRAM

- A. Purse distribution: **No prior meet actual. Current meet estimates based on San Mateo meet.**

1. All races other than stakes:

Current meet estimate:	<b>\$ 1,695,497</b>
Prior meet actual:	<b>-0-</b>

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate:	<b>\$ 105,969</b>
Prior meet actual:	<b>-0-</b>

2. Overnight stakes:

Current meet estimate:	<b>\$ 100,000</b>
Prior meet actual:	<b>-0-</b>

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate:	<b>\$ 6,250</b>
Prior meet actual:	<b>\$ 0</b>

3. Non-overnight stakes:

Current meet estimate:	<b>\$ 100,000</b>
Prior meet actual:	<b>-0-</b>

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate:	<b>\$ 6,250</b>
Prior meet actual:	<b>-0-</b>

- B. Funds to be generated for all California-bred incentive awards:

Current meet estimate:	<b>\$ 179,843</b>
Prior meet actual:	<b>-0-</b>

## C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:	
CTT	\$ 7,002		-0-
TOC	\$ 14,001		-0-
NTRA	\$ 4,246		-0-
PCQHRA	-0-		-0-
CWAR	-0-		-0-
ARAC	-0-		-0-
AMRA	-0-		-0-
CHBPAPEN	\$ 21,003		-0-
CTHF	\$ 21,003		-0-
<hr/>		<hr/>	
Total	\$ 67,255	Total	-0-

## D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$ 2,142,595  
 Prior meet actual: -0-

## Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$ 133,912  
 Prior meet actual: -0-

## E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$ 1,680,005  
 Prior meet actual: -0-

## Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$ 105,000  
 Prior meet actual: -0-

## F. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 238,945  
 Prior meet actual: -0-

## Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$ 14,934  
 Prior meet actual: -0-

## G. Bank and account number for the Paymaster of Purses' purse account:

Account #: On File

## H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corp.  
 1816 Maryal Drive, Sacramento, CA 95864  
 Phone: (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
1,325
- B. Minimum number of stalls believed necessary for the meeting: 2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 684
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
Alameda County Fair: 684
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
Northern California Auxiliary Stabling and Vanning Agreement from October 1, 2008 through December 31, 2009.

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:  
1,405
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
\$8.43
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: -0-

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$1PK4*, \$2PAR**	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1978, CHRB#1954.1
Race #2	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #3	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #4	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN%, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB#1954.1
Race #5	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% \$1Super H5, \$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, ARC I004-105, CHRB#1954.1
Race #6	\$1E, \$1PK3, \$1PK4, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% ,\$2PAR	CHRB #1959, CHRB #1977, CHRB#1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, CHRB#1954.1
Race #7	\$1E, \$1PK3, \$1PK4*, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% ,\$2PAR	CHRB #1959, CHRB #1977, CHRB #1978, CHRB #1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8, CHRB#1954.1
Race #8	\$1E, \$1PK3, \$1PK4*, \$1TRI, \$2Q \$2DD, \$.10SF, \$2PNP@, \$1PPN% ,\$2PAR	CHRB #1959, CHRB#1977, CHRB#1978, CHRB#1979 CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB 1976.8, CHRB#1954.1
Race #9	\$1E, \$1TRI, \$2Q, \$1PK4* \$2DD, \$.10SF, \$2PNP@, \$1PPN%	CHRB#1959, CHRB#1979, CHRB#1958, CHRB#1978 CHRB #1957, CHRB #1979.1 CHRB #1976.9, CHRB #1976.8

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 4, 2009 (Closing Day of CARF II at GG)**

C. List any options requested with regard to exotic wagering:

* \$1PK4	on First Four (4) Races and Last Four (4) Races
@ \$2 Pick 6	on Last Six (6) Races
% \$1 Place Pick All	on Last Ten (10) Races
** \$2 PAR	on All races except the last

\$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in a selected Super High-5 race. [Applicable rule: ARCI 004-105 PICK (N) POSITION (X) POOLS]

D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin:

E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Scientific Games Racing, George Brannon Contract Expires: September 30, 2012  
Equipment description on file with the Board

#### 8. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:  
XpressBet, T.V.G., TwinSpines, Youbet

#### 9. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering: **Northern California Off-Track Wagering, Inc. (NCOTWINC)**

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file.**

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Golden Gate Fields, Albany  
 \*Humboldt County Fair, Ferndale  
 Jockey Club at San Mateo, San Mateo  
 Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey  
 \*\*Redwood Acres Fair, Eureka  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

\*Open during two-week Ferndale Fair Racing

\*\*Closed during Ferndale Fair Racing

\*\*\*Closed for renovation

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside  
 Cabazon Fantasy Springs Casino, Indio  
 Derby Club, Seaside Park, Ventura  
 Earl's Place at Earl Warren Fairgrounds, Santa Barbara  
 Fairplex Park, Pomona  
 Horsemen's Club, Santa Barbara  
 Los Alamitos Race Course, Los Alamitos  
 Santa Anita Park, Arcadia  
 Shalimar Sports Center, Indio  
 Sports Center, San Bernardino  
 Sports Pavillion at The Farmers Fair, Lake Perris  
 Sports Pavillion, San Bernardino Cty. Fair, Victorville  
 Surfside Race Place at Del Mar, Del Mar  
 \*\*\*Sycuan Gaming Center, El Cajon  
 Viejas Casino & Turf Club, Alpine  
 Watch & Wager, Antelope Valley Fgds, Lancaster

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

D. & E. UNDER E.

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

Amwest Entertainment, OR	Hinsdale Greyhound Park, NH	Rosecroft Raceway, MD
Arapahoe Park, CO	Hoosier Park, IN	Royal River Racing
Arima Race Club	Horsemen's Park, NE	Ruidoso Downs, NM
Arlington International Race Course, IL	Indiana Downs, IN	Running Aces Harness Park
Atlantic City Casino Assn., NJ	International Racing Group	Sam Houston, TX
Atlantic City Race Course, NJ	Jackson Harness Raceway, MI	Saratoga Raceway, NY
Atokad Downs, NE	John Martin's Manor Restaurant	Scarborough Downs, ME
Balmoral Park, IL	Keeneland Association, KY	Scioto Downs, OH
Bangor, ME	Kentucky OTB	Seabrook Greyhound
BetPad Ltd.	Lebanon Raceway, OH	Shoreline Star Greyhound Park
Beulah Park, OH	Les Bois/Idaho	Skydancer Casino
Birmingham Race Course, AL	Lewiston Raceway Inc., ME	Sol Mututel Ltd.
Blue Ribbon Downs, OK	Lien Games, Inc., ND	Southland, AR
Bluffs Run Greyhound	Lincoln Greyhound	Sports Center
Buffalo Raceway, NY	Lodge Belmont Greyhound Park	Sports Creek Raceway, MI
Calder Race Course, FL	Lone Star., TX	Stables, The
Canadian Associations	Louisiana Downs, LA	State Fair Park, NE
Canterbury Park, MN	Manor Downs	Suffolk Regional OTB, NY
Capital District OTB	Maryland Jockey Club, MD	Suffolk Downs, MA
Capital Sports Ply, Ltd, Australia	Maywood Park, IL	Sunland Park, NM
Casino Association	Meadowlands, NJ	SunRay Park, NM
Catskills OTB	Meadowlands, The	Tampa Bay Downs, FL
Charlestown Race Course, VA	Meskwaki Casino	The Greyhound Park @ Post Falls
Chester Downs & Marina LLC	Millers OTB	The Racing Channel
Choctaw Racing Services, LLC, OK	Mohegun Sun	The Racing Channel International
Churchill Downs, KY	Monmouth Park, NJ	Thistledown Racing, OH
Coeur d'Alene Greyhound, ID	Montana OTB, MT	Timeout Lounge
Coeur d'Alene Casino, ID	Monticello Raceway, NY	Tioga Downs
Colonial Downs, VA	Mount Pleasant Meadows, MI	Tri-State Racetrack & Gaming Center, WV
Columbus Races, NE	Mountaineer Park, WV	Turf de Venezuela
Comanche Nation	Nassau Regional OTB, NY	Turfway Park, KY
Connecticut OTB, CT	Nebraska State Fair Park, NE	TVG
Crystal Palace	Nevada Indian Casinos	Twin Spires/AmTAB
Cyprus Boyou OTB	Nevada Pari-Mutuel Association, NV	Turf Paradise, AZ
Dairyland Greyhound Park, WI	New York City Off-Track Betting Assn., NY	Valley Greyhound Park
Darwin All Sports	New York Racing Association, NY	Vernon Downs, NY
Delaware Park, DE	Newport Jai Alai, FL	Victor Chandler
Delta Downs	Northfield Park, OH	Western Regional OTB
Downs at Albuquerque, NM	Oaklawn Park, AR	Wheeling Downs, WV
Elite Turf Club 1-8, NV	Ocean Downs, MD	Wichita Greyhound Park, KS
Ellis Park, KY	Penn National Race Course, PA	Will Rogers Downs, OK
Emerald Downs, WA	Phumelela Gold	Wonderland Greyhound Park, MA
Equus St. Thomas Racing, Inc.	Philadelphia Park, PA	Woodlands, KS
Evangeline Downs, LA	Pinnacle Race Course	Wyoming OTB, WY
Evansville OTB	Plainfield Greyhound Park, NJ	XpressBet, Inc., CA
Fair Meadows	Plainridge Racecourse, MA	Yavapai Downs, AZ
Fair Grounds Race Course, LA	Pocono Downs, PA	Yonkers Raceway, NY
Finger Lakes Race Track, NY	Portland Meadows, OR	YouBet
Fonner Park, NE	Potawatomi Casino	Zia Park, NM
Foxwoods Casino,	Prairie Meadows, IA	
Freehold Raceway, NJ	Premier Turf Club	<b>Separate Pools:</b>
Geneva Lakes	Presque Isle Downs	Caymanas Park, JAM
Gillespie County Downs	Race 2 Day	Hipodromo Presidente Remon, Panama
Global Wagering Solutions (MEC) MagnaBet	Raceway Park, OH	LVDC (NV)
Great Lakes Downs, MI	Racing World	MIR/Caliente
Greentrack	Racing US	Hippodromo Camerero (PR)
Gulf Greyhound, TX	Racing & Gaming Services, British WI	
Gulfstream Park, FL	Raynham Taunton Greyhound Park	
Harrington Raceway	Remington Park, OK	
Hawthorne	Retama Park, TX	
Hazel Park, MI	River Downs, OH	
	Rockingham Park, NH	
	Rocky Mountain Turf Club	

- F. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**CARF I at Golden Gate Fields**  
**September 9 – October 4, 2009**  
**Out-of-State & International Imports - Full or Partial Card (Subject to Change)**

<b>DOMESTIC</b>	
ARLINGTON	9/9-9/27
BELMONT	9/11-10/4
CALDER RACECOURSE	9/9-10/4
CHARLES TOWN	9/9-10/4
DELAWARE	9/9-10/4
EMERALD DOWNS	9/9-9/27
HAWTHORNE	10/1-10/4
HOOSIER PARK	9/9-10/4
KENTUCKY DOWNS	9/19-9/29
LAUREL PARK	9/9-10/4
LOUISIANA DOWNS	9/9-10/4
MONMOUTH	9/9-9/27
MOUNTAINEER	9/9-10/4
PENN NATIONAL	9/9-10/4
PHILADELPHIA PARK	9/9-10/4
PORTLAND	10/4-10/4
PRESQUE ISLE DOWNS	9/9-9/26
REMINGTON PARK	9/9-10/4
SUFFOLK DOWNS	9/9-10/4
THISTLEDOWN	9/9-10/3
TURF PARADISE	10/2-10/4
TURFWAY PARK	9/9-10/4
ZIA PARK	9/12-10/4
<b>INTERNATIONAL</b>	
ASSINIBOIA	9/9-10/4
WOODBINE	9/9-10/4
HASTINGS	9/9-10/4
SAN ISIDRO	9/9-10/4
HIPPODROMO CHILE	9/9-10/4
MARONAS	9/9-10/4
NORTHLANDS PARK	9/9-10/4
FORT ERIE	9/9-10/4
SUBJECT TO CHANGE	

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

## THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 8D above.	TBD	

- G. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- H. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable.**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s)	Heather Kerr, DVM (Track Veterinarian) Joan Hurley, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian)
Clerk of Scales	Ken Sjordal
Clerk of the Course	Tina Walker
Film Specialist	Ross Allardyce
Horse Identifier	Patrick Kealy
Horse Shoe Inspector	Jack Hammonds
Paddock Judge	Linda Anderson
Patrol Judges	Paul Nicolo & Tammy McDuffie-Morris
Placing Judges	Ella Robinson & Myra Troske
Starter	Todd Stephens
Timer	Richard Somers

### B. Management officials in the racing department:

Racing Secretary	Sean Greely
CARF Director of Racing	Tom Doutrich
Assistant Racing Secretary	C. Gregory Brent, Jr.
Associate Racing Secretary	David Jerkens
Paymaster of Purses	Patricia Prospero
Others (identify by name and title)	
Main Track Superintendent	Juan Meza
Turf Track Superintendent	Calvin Rainey
Price maker	Richard Somers
Stable Superintendent	Carrie Fawcett

### C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Christine Niccoli - Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339.**

### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corp, USA - Bill O'Brien      Contract Expires: December 31, 2010**

### E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communications, Inc. - Jim Porep, Jr., System maintained in-house, Equipment Contract Expires March 5, 2013.**

**Track Camera Equipment:**

There are a total of seven (7) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- Starting Gate
- (2) Pan Cameras on Room

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Electronic timer is installed and maintained by on-site staff.**

**11. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**T.W. Johnson, Security Manager, is responsible for security on site.**

**Security Department organizational chart attached.**

**Security Department phone number (510) 559-7370**

**Stable Security phone number (510) 418-3161**

**T.W. Johnson cell phone number (510) 418-3161**

**See attached organizational chart.**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **29 Full-Time Equivalent Security personnel include Frontside Security Guards, Stable Security Guards, Stable Gatemen, and Fire Guards.**

1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **Not Applicable**

2. Detention Barns: **Not Applicable**

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention barn area during a 24-hour period.

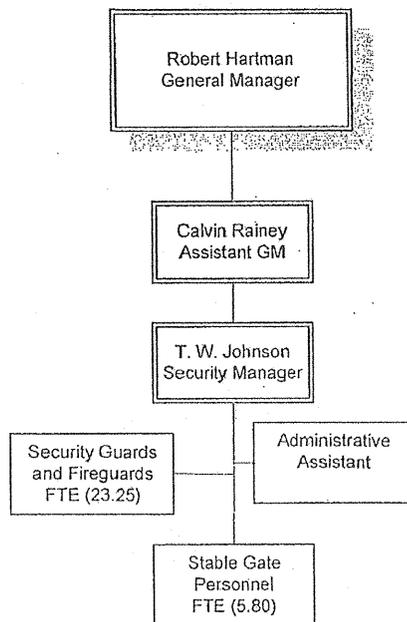
C. Describe number and location of surveillance cameras in detention barn area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in Thoroughbred races.**

# Security Department Organizational Chart Attachment (11A)

## GGF/CARF 2009 SECURITY DEPARTMENT ORGANIZATIONAL CHART



- B. Plan for enhanced surveillance for trainers with high-test results.  
**24 Hour detention and video surveillance prior to the race.**
- C. Plan for detention barns for repeat offenders.  
**Assigned to detention barns with 24 hour surveillance.**
- D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when Detention Stalls are occupied.**
- C. Describe the electronic security system.  
**The Grandstand has a complete Electronic System including cameras, motion and contact security measures.**
1. Location and number of video surveillance cameras for the detention barn and stable gate.  
**Detention Barn Surveillance:**  
**Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.**
- The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.**
- Stable Gate Surveillance:**  
**Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.**

## 12. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:  
**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:  
**Pleasanton: American Medical Response Phone: (510) 895-7600  
 640 – 143<sup>rd</sup> Avenue, San Leandro, CA 94577**
- C. Describe the on-track first aid facility, including equipment and medical staffing:  
**See Attachment**
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
**Dr. David Seftel - Office Number (510) 559-7375; Cell Number (510) 520-6204**
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Alta Bates Summit Medical Center, 2450 Ashby, Berkeley, CA 94705; (510) 204-4444**

## On-Track First Aid Facility Attachment (12C)

### Description of GGF Medical Clinic (Live Racing)

#### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals;
- Urgent care for patrons & GGF employees.

#### Staffing

- Physician Medical Director ("Track Physician");
- Full-time Medical Assistant;
- Part-time Medical Assistant "Intern".

#### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

#### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:  
**See Attachment**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):  
**Roy Roenbeck, Director of Safety and Compliance**  
**Calvin Rainey, Assistant General Manager, serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Berkeley fire clearance on file.**  
**Albany fire clearance - Attached.**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Liberty International - #WC1-B71-072827-039 (Covering Magna Entertainment Corp) - Attached**  
**State Compensation Insurance Fund - #1852672-2009 (Covering CARF) - Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

**13. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Armored Car Services**                      **Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144.**
- Track Physician**                              **Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546**
- Official Program**                              **Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706**

## English and Spanish Emergency Medical Plans Attachment (12F)



### EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

#### "FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted EMTs) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



#### "ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



#### "INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.

*In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.*

(REV 1.0, JUNE 2007)



## PROCEDIMIENTOS MÉDICOS EN EMERGENCIAS PARA LESIONES DE JOCKEYS EN LA PISTA

### "PRIMERA RESPUESTA"

- En caso de accidente, los comisarios y/o escoltas deberán contactar inmediatamente al Médico de Pista ("Jockey Abajo") usando el canal de radio n°7 (*salvo que el Médico de Pista sea testigo directo del accidente*);
- La Ambulancia para Humanos de GGF (con personal de emergencias médicas contratado por GGF) y el Médico de Pista (transportado a través del camión de "chase" o "Vet" de GGF) deberá acudir en forma inmediata al lugar del accidente;
- Los médicos que asistan deberán estar equipados con soporte vital cardíaco avanzado (ACLS) y otros equipos y elementos necesarios para el tratamiento médico;
- El Médico de Pista hará una "evaluación en el lugar" de las lesiones del jockey.



### "EVALUACIÓN EN EL LUGAR"

- En base a la "evaluación en el lugar" de lesiones por parte del Médico de Pista, se tomará una decisión acerca de si tratar al jockey lesionado en el lugar o pedir transporte de emergencia médica para un tratamiento en un hospital o centro de salud;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia para Humanos de GGF trasladará al jockey lesionado directamente a la Clínica Médica de GGF; o,
  - Si se considera necesario un tratamiento fuera del lugar, se usará el "911" para pedir una ambulancia del Departamento de Bomberos de la Ciudad de Albany (*un camión del Departamento de Bomberos también acudirá*).



### "TRATAMIENTO DE LA LESIÓN"

- Si el jockey lesionado recibe tratamiento en la Clínica Médica de GGF, el Médico de Pista será el que proporcione atención primaria a menos que (o hasta que) el Médico de Pista dé de alta al paciente en base a su juicio médico;
- Si se requiere que acuda la ambulancia del Departamento de Bomberos de la Ciudad de Albany, el jockey lesionado será transferido a esta ambulancia (*acompañado por el Médico de Pista*) en cualquiera de los siguientes lugares para luego ser trasladado a un hospital local;
  - Si se considera que las lesiones son menos severas, el jockey será trasladado por la Ambulancia Humana de GGF a una ubicación fuera de la pista para ser recogido por la ambulancia del Departamento de Bomberos de la Ciudad de Albany; o,
  - Si se considera que las lesiones son severas y no puede moverse al jockey, la ambulancia del Departamento de Bomberos Ciudad de Albany será conducida por el personal de seguridad de GGF directamente sobre la pista para recoger al paciente.

*La gerencia de la pista debe completar un "Informe de Investigación de Accidente/Lesión" en base a lo consultado con el Médico de Pista luego de completar los procedimientos mencionados arriba.*



# City of Albany

1000 SAN PABLO AVE. • ALBANY, CALIF. 94706 • TELEPHONE (510) 528-5775 • FAX (510) 528-5774

FIRE DEPARTMENT  
FIRE PREVENTION BUREAU

June 23, 2009

Calvin Rainey  
Vice-President and Assistant General Manager  
Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

Dear Mr. Rainey

On June 11 & 15, 2009, this office under the Fire Prevention Code, NFPA 1, conducted a Fire and Life Safety inspection and the Fundamental Requirements listed in the *Life Safety Code*, NFPA 101, Chapter 2, the 2007 California Fire Code and California Code of Regulations. Listed below are the results of the inspection and code references. All areas of Golden Gate Fields Race Track, within the jurisdiction of the Albany Fire Department have been inspected. Areas that have been inspected include the Administration Offices, kitchens, public areas and non-public areas of the Club House & Turf Club, public areas and non-public areas of A & B Decks, snack shops on all levels, underground level, Jockeys building, Equine Hospital and the Corporation Yard.

## GENERAL

These buildings are in good condition. Housekeeping in the public and non-public areas are maintained, fire extinguishers and existing exit/panic hardware and egress doors are in operational condition. Several of the emergency illumination devices need replacement of their back-up battery; all other emergency illumination devices and Exit signs are in good working order. The fire alarm system had an annual test in February 2009 with no deficiencies or problems noted at that time. However, recent problems with the Fire Alarm System is indicating that the FACP (Fire Alarm Control Panel) is getting old and a new upgraded FACP may be in the near future. If the fire alarm system fails, notification to the fire department and fire watch of the all facilities at GGF shall commence immediately. All other Fire & Life Safety requirements appear to be in order.

Letter of Correction Notices for GGF Main Building – Administration Offices, Public & Non Public Areas Turf Club, Club House, A & B Decks, Jockeys Room, Equine Hospital and Corporation Yard shall follow under separate cover.

### Conditional Fire Clearance

Conditional fire clearance is granted to Golden Gate Fields for the August 12, 2009 through December 20, 2009 Racing Season. Including California Authority of Racing Fairs (CARF) August 12, 2009 – August 23, 2009 and September 9, 2009 – October 4, 2009; and the Pacific Racing Association (GGF) October 21 – December 13, 2009 and December 16, 2009 – December 20, 2009. During the course of the August/December 2009 Racing Season, the Albany Fire Prevention Bureau will conduct periodic Fire & Life Safety Inspections. In the event of a flagrant Fire or Life Safety violation, their August – December 2009 clearance will be revoked.

If you have any questions, please do not hesitate to contact me at (510) 528-5775.

Sincerely,



Brian J. Crudo  
Battalion Chief/Fire Marshal

cc: Marc McGinn, Fire Chief  
Andrea Ogden, C.H.R.B. ✓  
Peter Tunney, Vice-President, GGF  
Robert Hartman, General Manager, GGF  
Merry Scalzo, Director of Operations, GGF  
Roy Roenbeck, Director of Safety and Compliance, GGF

Attachment (12I)

**Ref. No. 320005955745**

**CERTIFICATE OF INSURANCE**

Aon Reed Stenhouse Inc.  
 20 Bay Street  
 Toronto ON M5J 2N9  
 tel 416-868-5500 fax 416-868-5580

Re: Evidence of Insurance

California Horse Racing Board  
 Attention : Insurance Department  
 1010 Hurley Way  
 Sacramento, CA 95825  
 USA

Insurance as described herein has been arranged on behalf of the Insured named herein under the following policy(ies) and as more fully described by the terms, conditions, exclusions and provisions contained in the said policy(ies) and any endorsements attached thereto.

**Insured**

Magna Entertainment Corp a/o Pacific Racing Association  
 a/o Golden Gate a/o MI Developments Inc.  
 375 Magna Drive  
 Aurora, ON L4G 7L6

**Coverage**

<b>Commercial General Liability</b>	<b>Insurer</b>	Zurich American Insurance Company	
<b>Policy #</b>	GLO 9302183-07		
<b>Effective</b>	01-Apr-2009	<b>Expiry</b>	01-Apr-2010
<b>Limits of Liability</b>	Bodily Injury & Property Damage, Each Occurrence USD2,000,000 Policy may be subject to a general aggregate and other aggregates where applicable		
<b>US Workers Comp/Employers Liability</b>	<b>Insurer</b>	Liberty Mutual Insurance Company	
<b>Policy #</b>	WC1-B71-072827-039		
<b>Effective</b>	01-Jan-2009	<b>Expiry</b>	01-Jan-2010
<b>Limits of Liability</b>	Workers Compensation		

THIS CERTIFICATE CONSTITUTES A STATEMENT OF THE FACTS AS OF THE DATE OF ISSUANCE AND ARE SO REPRESENTED AND WARRANTED ONLY TO THE INSURED. OTHER PERSONS RELYING ON THIS CERTIFICATE DO SO AT THEIR OWN RISK.

Aon Reed Stenhouse Inc.

Dated: 30-March-2009  
 Issued By: Chan, Chunhang  
 Tel: 416-868-5691

THE POLICY CONTAINS A CLAUSE THAT MAY LIMIT THE AMOUNT PAYABLE  
 OR, IN THE CASE OF AUTOMOBILE INSURANCE,  
 THE POLICY CONTAINS A PARTIAL PAYMENT OF LOSS CLAUSE





P.O. BOX 420807, SAN FRANCISCO, CA 94142-0807

CERTIFICATE OF WORKERS' COMPENSATION INSURANCE

ISSUE DATE: 07-10-2009

GROUP:  
POLICY NUMBER: 1852672-2009  
CERTIFICATE ID: 1  
CERTIFICATE EXPIRES: 01-01-2010  
01-01-2009/01-01-2010

CALIFORNIA HORSE RACING BOARD  
COLLEEN GERMEK  
1010 HURLEY WAY STE 100  
SACRAMENTO CA 95825-3215

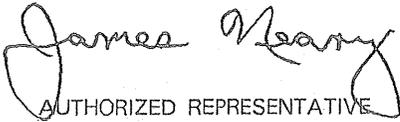
NF

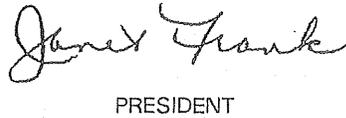
This is to certify that we have issued a valid Workers' Compensation insurance policy in a form approved by the California Insurance Commissioner to the employer named below for the policy period indicated.

This policy is not subject to cancellation by the Fund except upon 10 days advance written notice to the employer.

We will also give you 10 days advance notice should this policy be cancelled prior to its normal expiration.

This certificate of insurance is not an insurance policy and does not amend, extend or alter the coverage afforded by the policy listed herein. Notwithstanding any requirement, term or condition of any contract or other document with respect to which this certificate of insurance may be issued or to which it may pertain, the insurance afforded by the policy described herein is subject to all the terms, exclusions, and conditions, of such policy.

  
AUTHORIZED REPRESENTATIVE

  
PRESIDENT

EMPLOYER'S LIABILITY LIMIT INCLUDING DEFENSE COSTS: \$1,000,000 PER OCCURRENCE.

EMPLOYER

CALIFORNIA AUTHORITY OF RACING FAIRS  
1776 TRIBUTE RD STE 205  
SACRAMENTO CA 95815

NF

[EHM,CS]

Jockey's Laundry	F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave., Pleasanton, CA 94566
Starting Gate	Mike Costello, United/Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
Track Photographer	Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

#### 14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: Marketing Plan attached.
- B. Number of hosts and hostesses employed for meeting:  
 3 Customer Service Representatives  
 5 Group Sales Representatives
- C. Describe facilities set aside for new fans:  
Project Rover - Initiated a customer service outreach program called "Project Rover" that brings customer service representatives directly to the fans.

Customer Service - Our customer service center is open year round with trained customer service representatives to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance.

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service.

Manager on Duty Program - This program was designed to ensure interaction between managers, employees and the fans. There are 24 managers/line managers in rotation who are assigned specific days in which they interact with the fans to help with any questions while doing a site inspection for safety and cleanliness.

- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen
    - o Owner/Trainer Lounge will be operable during the CARF meet at GGF in close proximity to the Paddock.
  2. Fans
    - o Installed concession tents in Grandstand to be utilized during \$1 Sundays.
  1. Facilities in the restricted areas Equine Hospital

Golden Gate Fields CARF Meet  
2009 Marketing Plan  
August 12 - 23 & September 9 - October 4



Prepared by:  
Kristin Finkel

## 2009 RACING DATES

The Golden Gate Fields CARF Meet #1 begins August 12 and runs through August 23, 2009. The Golden Gate Fields CARF Meet #2 begins September 9 and runs through October 4, 2009. Both Golden Gate Fields CARF Meets will race a five-day week; for a total of 10 days in August and 18 days in September - October. Racing will be conducted Wednesday through Sunday with no live racing on September 10, 2009.

## TARGET AUDIENCE

The tactical plan will place an emphasis on identifying prospects within the general target audiences identified below.

### Demographic Characteristics

- Men, Age 25+
- Key Zip Codes in Oakland, Berkeley, San Francisco, Richmond, San Mateo, Hayward, Fremont, Alameda
- Asian & Hispanic Population

On specific event days such as Dollar Day and Family Days, we see a much different demographic amongst our core customers. On event days our demographic of Golden Gate Fields is Adults 18-34.

### Psychographic Characteristics

- Horse racing fans
- Casino Gamblers
- Poker players
- Sports Fans

## MARKETING OBJECTIVES

- Drive Handle and Attendance.
- Introduce the Summer Fair Racing Circuit to Golden Gate Fields existing customers.
- Build the Golden Gate Fields CARF Meet awareness of live horse racing in the market during the Summer.
- Expand the Marketing database to new Summer Fair customers.

## OPPORTUNITIES

- Promote the fact that this is the first CARF Meet at Golden Gate Fields.
- Promote the fact that the Golden Gate Fields CARF Meet feature Turf Racing.

- Assemble greeters at each entrance to welcome all fans and answer brief questions and give directions to all services.
- Utilize the new infield tote board / jumbotron as advertising space.
- Transition the Bay Meadows Fair fans to Golden Gate Fields CARF Meet fans.

## MARKETING STRATEGIES

- Utilize online networking forums to create a "Buzz" about Golden Gate Fields
- Use \$1 Day along with Sunday NFL Ticket (in September) as a tool to drive attendance on Sunday's.
- Introduce new fans through Group Sales outreach. Expand Group Sales advertising efforts.
- Focus on developing a clear set of procedures and guidelines for our Customer Service Representatives, in order to provide the best Customer Service possible to our fans.
- Effectively utilize television advertising to grow awareness and build attendance. Promote specific event days with targeted radio and print advertising.
- Develop strategic advertising partnerships with media outlets to maximize advertising dollars.
- Send out direct mail using the THOROUGHBREDS, the Golden Gate Fields mailing list and the CARF mailing list to target both current customers and lapsed customers.
- Provide a presence within the community at local fairs and festivals.

## MARKETING TACTICS & PROMOTIONAL PLAN

The promotional schedule for both of the Golden Gate Fields CARF Meets is a challenging one. There also may be confusion among the public about a CARF Meet running at Golden Gate Fields. Therefore, it is critical that the new meet gets off to a solid start, so there are two key promotions and a considerable media spend planned for the first week of each of the meets.

The big promotion for both of the Golden Gate Fields CARF Meets is that Every Sunday of the Meet is Dollar Day. Fans can enjoy general admission, general parking, programs, hot dogs, beers and sodas all for just one dollar each. Dollar Day promotions have proven to be successful by attracting many new fans and driving attendance to the race track.

Golden Gate Fields, as well as the entire horseracing industry, faces strong competition from many different entertainment providers. The increased popularity of watching football from the comfort of one's own living room makes Sundays in the fall extremely difficult to compete. This clearly poses a threat to track attendance on Sundays in the fall. Therefore, as an attempt to

create an incentive among these prospective consumers, the Golden Gate Fields CARF Meet will offer the *NFL Sunday Ticket* on the track's televisions along with Dollar Day to create a great atmosphere on Sundays.

<u>Advertising Flight</u>	<u>Ad Date</u>	<u>Event</u>
#1	August 10- August 15	Opening Week Dollar Day
#2	September 7- September 12	Opening Week #2 Dollar Day

### PROMOTIONAL PLAN

#### *August*

##### Wednesday, August 12

Opening Day

##### Saturday, August 15

Unnamed Juvenile  
Fillies- 2 YO- 6 Furlongs  
\$50,000 Added  
Golden Gate Gold Contest

##### Sunday, August 16

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers  
Golden Gate Gold Contest

##### Saturday, August 22

Golden Gate Gold Contest

##### Sunday, August 23

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs, \$1 Sodas, \$1 Hot Dogs, and \$1 Beers  
Golden Gate Gold Contest

#### *September*

##### Wednesday, September 9

Opening Day

##### Saturday, September 12

\$50,000 Unnamed Stakes  
2 YO- One Mile (Turf)  
Golden Gate Gold Contest

Sunday, September 13

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

Saturday, September 19

\$50,000 Unnamed Stakes

3 YO Fillies- 1 &amp; 1/16 Miles (Turf)

Golden Gate Gold Contest

Sunday, September 20

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

Saturday, September 26

\$50,000 Unnamed Stakes

2 YO Fillies- One Mile (Turf)

Golden Gate Gold Contest

Sunday, September 27

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest  
\$50,000 Unnamed Stakes  
3 YO- 1 & 1/16 Miles (Turf)

Sunday, October 4

Closing Day

Dollar Day

\$1 General Admission, \$1 General Parking, \$1 Programs,  
\$1 Sodas, \$1 Hot Dogs, \$1 Beers, PLUS *NFL Sunday Ticket*  
Golden Gate Gold Contest

**ADVERTISING PLAN**

With the difficulty in growing on-track attendance over the past decade, it is clear that we must continue with a more aggressive approach to grow the business. Television, while the most expensive medium, is also the most dynamic. A strongly produced television spot with a strong call to action is the most cost efficient way to reach the mass market. Beginning with the 2008 Winter Meet, Golden Gate Fields started working with a new media buying company, MediaDex. We will continue to utilize this media company after the success from the 2009 Winter/Spring Meet. The Golden Gate Fields CARF Meet (August 12- 23 & September 9- October 4) media advertising budget will be \$100,000.

## Television

Broadcast: Golden Gate Fields will develop key relationships with specific over-the-air stations to gain value-added promotions and bonus commercial inventory. By partnering a few key stations, Golden Gate Fields can maximize the limited dollars.

### Comcast Cable

Local cable is the most cost efficient general market medium currently available. Local cable allows the advertiser to pinpoint the geographic location of the spots (through pre-designated zones) and choose specific channels targeted to your audience. For example, Golden Gate Fields can target sports fans through channels such as Fox Sports Bay Area and ESPN.

Nightly Replay Show: Golden Gate Fields must strongly promote the nightly replay show as it serves as a half-hour infomercial for the race track. The show's programming has been upgraded to offer "better television" for fans. It is important for Golden Gate Fields to produce tv spots in a timely manner in order to make best use of the free air time afforded by the nightly replay show. The show averages between 10,000-20,000 viewers on a nightly basis.

## Radio

### Station Mix

Given the added dollars spent on television, the radio spots will be limited to one or two stations. KNBR 680 and KTCT 1050 are the two key sports stations in the market. It will be key to develop a relationship with these stations to maximize advertising dollars.

### "At the Track with Sam Spear"

Every Saturday morning from 7:00am to 8:00am, Sam Spear hosts a racing show on the Bay Area's #1 sports station. Golden Gate Fields needs to utilize this show to promote the weekend events.

## Print

Based on an analysis of the marketing research, the San Francisco Chronicle has the best geographic footprint which matches where Golden Gate Fields customers live. It is also the most cost effective considering the half- page specials on Mondays and Tuesdays at a discounted rate.

There will be a total of two print campaigns for the Spring Meet. The first print ads will promote Opening Week of the Golden Gate Fields CARF Meet as well as the Dollar Day on Sundays. The second print ad will promote Opening Week of the second Golden Gate Fields CARF Meet, Dollar Day on Sundays as well as the NFL Sunday Ticket.

## Direct Mail

Two postcard mailers will be sent out for the Golden Gate Fields CARF Meets. These mail

pieces will highlight the new Summer racing dates at Golden Gate Fields, the Stakes Schedule as well as the Dollar Day promotions. For this mailer, we will combine the Golden Gate Fields database with the CARF database to mail out to 55,000+ patrons.

### **Internet / E-mail**

The goldengatefields.com website is a portal for racing fans to go to for the latest horse racing information. E-mail has become one of the primary forms of communication with Golden Gate Fields racing fans. Every effort has been made to collect customer e-mail addresses with contest cards and promotions.

Golden Gate Fields is pleased to report that it has a Myspace and Facebook page. These types of social networking sites have become a huge marketing tool at no cost. Myspace.com/goldengatefields and Golden Gate Fields on Facebook will additional tools used to promote e-news about racing, contests, promotions and on-track events simply by visiting Myspace.com and Facebook.com.

Contacting fans via e-mail is not only the least expensive way to reach customers; it is also the timeliest. For example, Golden Gate Fields can alert big players in the database about a pick six carryover in a matter of minutes. Email blasts serve as another media tool to promote events or giveaway days. An example of this is with the University of California, Berkeley. The University of California, Berkeley allows Golden Gate Fields to promote our upcoming events through the University emails. KTVU Fox 2 also allows Golden Gate Fields to email upcoming events and promotions to their exclusive list.

### **Local Area Marketing**

Golden Gate Fields needs to extend their brand presence into the community with a local area Marketing effort. Working with local hotels and restaurants, Golden Gate Fields needs to be a potential destination for tourists and convention attendees.

### **College Advertising**

Over the past year Golden Gate Fields and the University of California, Berkeley, have teamed up to create an outstanding partnership. Events are held at the University and by attending the events. Golden Gate Fields is able to promote our product to educate younger fans. Marketing to a younger generation will help Golden Gate Fields and horseracing as a whole in the future.

In August 2009, Golden Gate Fields will be at the University of California, Berkeley for Caltopia. Caltopia provides Golden Gate Fields the opportunity to further our relationship with the 35,000 Cal students that attend the event. Caltopia is a unique marketing platform that engages Cal students on their terms - where they play, where they work and where they learn.

**15. SCHEDULE OF CHARGES**

- A. Proposed charges, note any changes from the previous year:
- |                              |  |
|------------------------------|--|
| Admission (general)          | \$4.00                                     |
| Admission (general) [Sunday] | \$1.00 [Sunday]                            |
| Admission (club house)       | \$6.00                                     |
| Admission (turf club)        | \$10.00 Weekdays                           |
| Admission (turf club)        | \$15.00 Weekends & Holidays                |
| Reserved seating (general)   | N/A  |
| Reserved seating (clubhouse) | \$3.00 (No charge with Thoroughbreds card) |
| Parking (general)            | \$4.00                                     |
| Parking (preferred)          | \$8.00                                     |
| Parking (valet)              | \$8.00                                     |
| Programs (on-track)          | \$2.25                                     |
| (off-track)                  | \$2.25                                     |

Thoroughbred Club Members

General Admission:		Club House Admission:	
1-3 Visits	\$3.00	1-3 Visits	\$4.00
4-8 Visits	\$2.50	4-8 Visits	\$3.50
9-15 Visits	\$2.00	9-15 Visits	\$3.00
16-20 Visits	\$1.50	16-20 Visits	\$2.50
Over 20 Visits	\$1.00	Over 20 Visits	\$2.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admissions

Valid during live and ITW

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$1.75 each  
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 3.50 each

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:  
 Not Applicable
- C. Describe any "package" plans such as combined parking, admission and program: None

**16. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:
- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="23"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |
- B. Describe the quarters to be used for female jockeys:

Fifteen by twenty foot room accessed from a community hallway. The room has a television

and bed with an attached shower room, sink and toilet.

#### 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by:  
**On February 19, 2009, an annual backside housing inspection was conducted in cooperation with the Berkeley Housing Authority, CHRB, and Golden Gate Fields.**
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack:  
**18 with showers, 7 in office areas.**
- D. Estimated ratio of restrooms to the number of backstretch personnel:  
**1 restroom for every 12 people.**

#### 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,280
-------

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**See Attachment**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not Applicable**

#### 19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**

- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**CTT Agreement to be provided by CARF.**  
**TOC Agreement (12/26/08 - 12/25/09) on file with Board.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions**

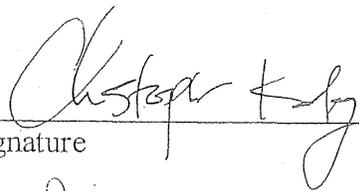
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**20. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Christopher Korby  
 Print Name

Executive Director  
 Print Title

  
 Signature

June 19, 2009  
 Date

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA EXPOSITION AND STATE FAIR AT SACRAMENTO AUGUST 26, 2009 THROUGH SEPTEMBER 7, 2009.

- California Exposition and State Fair filed its application to conduct a horse racing meeting at Cal Expo in Sacramento:
- August 26 through September 7, 2009, or 11 days, the same as 2008. The fair proposes to race a total of 115 races, 11 races less than in 2008. The California Exposition and State Fair is not participating in the 2009 combined fair horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

August - 2008						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September - 2008						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Wednesday through Sunday the first week and Wednesday through Monday the second week. 9 races Wednesdays and Thursdays; 10 races Fridays; 12 races Saturdays and Sundays; 11 races Monday, September 7.
  - Request to alter daily number of races offered dependent upon racehorses and mules available to fill racing cards.
  - Number of horses available determines the number of daily races programmed by breed.
    - 2008 Race Meeting: Average number of runners per race (TB): 6.92
    - 2008 Race Meeting: Average number of runners per race (Arabian): 7.00
    - 2008 Race Meeting: Average number of runners per race (Quarterhorse): 7.60
    - 2008 Race Meeting: Average number of runners per race (Mules): 6.55
- Racing concurrently with Del Mar Racing Association.
- First post 1:15 p.m. daily and 2:45 p.m. Fridays.
  - Request to alter post time schedule excepting the first race post time to meet the needs of the overall simulcasting program which includes Del Mar, Los Alamitos, and other out of state import tracks.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Wagering program will use CHRB and ARCI rules:
  - \$.50 Pentafecta offered each day on the 8<sup>th</sup> race. 100% carryover to the next day.

- “Early bird” wagering will begin at 10:00 a.m.
- The distribution of the carryover pool will be September 7, 2009 (Closing Day).
- The Advance Deposit Wagering (ADW) providers are YouBet, TVG, Xpressbet and Twin Spires.
- Specific changes from the 2008 license application:
  - General Parking increased \$2 from \$8 to \$10.
  - General Admission increased \$2.00 from \$10 to \$12.
  - Season Box Seats available for \$650 and \$550, which includes Fair admission for 6 for 11 racing days, 6 box seats for each of the 11 racing days, 6 racing programs per day, 1 Season Preferred Lot pass, 1 General Lot Pass.
  - A “Frequent Player Program” will be offered in which satellite wagering guests who are on site at Cal Expo 23 of 46 CARF racing days from June 18-August 25, 2009 are eligible to receive a discount fair pass. Fair pass is \$6 discount and includes free parking during the fair period.
- The Advance Deposit Wagering (ADW) providers are YouBet, TVG, Xpressbet and Twin Spires.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- A temporary fire clearance has been granted for Cal Expo until December 19, 2009.
- Inspection of backstretch worker housing has been completed.
- Track safety inspection has been completed.
- All horsemen’s agreements have been received.

RECOMMENDATION:

Staff recommends the Board approve the application.

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
CHRB-18 (Rev.12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone and fax numbers of fair: California Exposition & State Fair  
1600 Exposition Blvd., Sacramento, CA 95815 916-263-3000 Fax- 916-263-3230

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair

California Exposition and State Fair  Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates of race meeting: August 26 through September 7, 2009

B. Dates racing will NOT be held: August 31, September 1

C. Total number of racing days: 11

3. RACING PROGRAM

A. Total number of races: 115

B. Number of races by breed:

Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

E. Number of races daily: Respectfully request the approval to alter daily number of races offered dependent upon racehorses and mules availability to fill racing cards.

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9/9	9		7/7	7/7	8/8	9/9
Other Breeds	3/3	2		2/2	2/2	2/2	3/3
Total	12/12	11		9/9	9/9	10/10	12/12

CHRB CERTIFICATION

Application received: 6/19/09  
Reviewed: *dy*

Hearing date: 7/23/09  
Approved date:  
License number:

D. Total number of stakes races by breed:

<input type="text" value="2"/>	Thoroughbreds	<input type="text" value="1"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text"/>	Paints	<input type="text" value="2"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **Attached**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

F. List all post times for the daily racing program:

	<u>Daily-</u>	<u>Fridays-</u>
Race 1	1:15 PM	2:45 PM
Race 2	1:45 PM	3:15 PM
Race 3	2:15 PM	3:45 PM
Race 4	2:45 PM	4:15 PM
Race 5	3:15 PM	4:45 PM
Race 6	3:45 PM	5:15 PM
Race 7	4:15 PM	5:45 PM
Race 8	4:45 PM	6:15 PM
Race 9	5:15 PM	6:45 PM
Race 10	5:45 PM	7:15 PM
Race 11	6:15 PM	
Race 12	6:45 PM	

Respectfully request to alter above post time schedule excepting the first race post time to meet the needs of the overall simulcasting program which includes Del Mar, Los Alamitos, and other out of state import tracks.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

- Amparo Perez-Cook, Chair**
- Gil Albiani, Vice Chair**
- Steve Beneto, Director**
- Richard Cuneo, Director**
- Corny Gallagher, Director**
- Rex Hime, Director**
- Bert Johnson, Director**
- Peter Lopez, Director**
- Marko Mlikotin, Director**
- Kathy Nakase, Director**
- Paul Stacey, Director**

# 2009 California State Fair Stakes Schedule

## Thoroughbred

Saturday, August 29, 2009

California State Fair Sprint, 2nd Running  
3 year olds and upward, Cal Breds, Six Furlongs  
\$75,000 Guaranteed, Includes \$10,000 from the CTBA fund

Monday, September 7, 2009

Governor's Handicap, 59<sup>th</sup> Running  
3 year olds and upward, One Mile and One Eighth  
\$75,000 Guaranteed

## Other Breeds

Sunday, August 30, 2009

Straight From The Gate Futurity, 9<sup>th</sup> Running  
Mules, 3 year olds, 350 Yards  
\$9,000 Added with 10 Starters

Saturday, September 5, 2009

California State Fair Open Mule Challenge, 10<sup>th</sup> Running  
3 year olds and upward, 440 Yards  
\$10,000 Added

Saturday, August 29, 2009

The State Fair Distaff  
Arabians, 3 Year Olds and Up, 6 Furlongs  
\$10,000 Added

Saturday, August 29, 2009

The Jack Clifford, 9<sup>th</sup> Running  
Quarter Horses, 3 year olds and upward, 350 Yards  
\$15,000 Guaranteed, Includes \$2,500 from PCQHRA

Monday, September 1, 2009

The Hazel Lucas Stakes, 12<sup>th</sup> Running  
Arabians, 3 year olds and upward, One Mile  
\$10,000 Added

**Senator Darrell Steinberg - Ex Officio Member**  
**Assembly Member Dave Jones - Ex Officio Member**

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

**Racing Committee Chair - Director Steve Beneto**  
**Director Gil Albiani**  
**Director Bert Johnson**

- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

<b>Norbert Bartosik</b>	<b>General Manager</b>
<b>Trackmaster, Steve Wood</b>	<b>Track Foreman</b>
<b>Anita Ortega</b>	<b>Stable Superintendent</b>
<b>Scott Ehrlich</b>	<b>Track Announcer</b>

## 5. PURSE PROGRAM

- C. Purse distribution:

1. All races other than stakes:

Current meet estimate: **\$925,730**  
 Prior meet actual: **\$874,200**

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: **\$84,157**  
 Prior meet estimate: **\$79,472**

2. Overnight stakes:

Current meet estimate: **\$0**  
 Prior meet actual: **\$165,000**

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate: **\$0**  
 Prior meet estimate: **\$15,000**

3. Non-overnight stakes:

Current meet estimate: **\$204,000**  
 Prior meet actual: **\$0**

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate: **\$18,545**  
 Prior meet estimate: **\$0**

- B. Funds to be generated for all California-bred incentive awards:

Current meet estimate: **\$110,000**  
 Prior meet actual: **\$110,000**

## C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:	
CTT	\$3,700		\$3,685
TOC	\$7,400		\$7,371
NTRA	\$3,200		\$3,140
PCQHRA	\$1,550		\$1,510
CWAR	\$0		\$0
ARAC	\$7,650		\$7,643
AMRA	\$6,000		\$5,942
CHBPAPEN	\$11,100		\$11,056
<u>CTHF</u>	<u>\$11,100</u>		<u>\$11,056</u>
<b>Total</b>	<b>\$51,700</b>	<b>Total</b>	<b>\$51,403</b>

## D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: \$1,291,430  
 Prior meet actual: \$1,200,603

## Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$117,402  
 Prior meet estimate: \$109,146

## E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: \$1,149,648  
 Prior meet actual: \$1,101,022

## Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$104,513  
 Prior meet estimate: \$100,093

## F. Purse funds to be generated from interstate handle:

Current meet estimate: \$141,782  
 Prior meet actual: \$136,081

## Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$12,889  
 Prior meet estimate: \$12,371

## G. Bank and account number for the Paymaster of Purses' purse account:

**CARF Account- Number on file with CHRB**

## H Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy, 1816 Maryal Drive., Sacramento, CA 95864 916-482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-

track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **804**
- B. Minimum number of stalls believed necessary for the meeting: **920**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **2,124**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  

Alameda County Fair - <b>684</b>	Golden Gate Fields - <b>1,440</b>
----------------------------------	-----------------------------------
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**NCOTWINC agreement on file with CHRB**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the **1986** meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

**Item 7A****Pari Mutuel Wagering Program-**

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE

**Proposed Wagering Format**

The following CHRB wagering rules will be in affect during the 2009 California State Fair Thoroughbred and Mixed Breed racing meet.

Type	Rule Number
Exacta (1E)	1959
Quinella (2Q)	1958
Trifecta (1TRI)	1979
Daily (1DD)	1957
Double	
Superfecta (.10SF)	1979.1
Pentafecta (.50 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (1PK4)	1976.9
PK 6 (1PK6)	1976.
PPN (1PPN)	1976.8

- Race 1: 1DD, .10 SF, 1E, 1 TRI, 2Q, 1 PK3  
 Race 2: 1DD, .10 SF, 1E, 1 TRI, 1PK 4  
 Race 3: 1DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3  
 Race 4: 1DD, .10 SF, 1E, 1 TRI, 2Q, 1PK6  
 Race 5: 1DD, .10 SF, 1E, 1 TRI, 2 Q, 1 PK3  
 Race 6: 1DD, .10 SF, 1E, 1 TRI, 1 PK4,  
 Race 7: 1DD, .10 SF, 1E, 1 TRI, 2Q, 1 PK3  
 Race 8: 1DD, .10 SF, 1E, 1 TRI, 2Q, 1PK3 (10 Race Card)  
 .50 PF (Super Hi 5)  
 Race 9: 1DD, .10 SF, 1E, 1 TRI, 2Q, PK3 on 11 race card  
 Race 10: 1DD, .10 SF, 1E, 1 TRI, 2Q, PK3 ON 12 race card  
 Race 11: 1DD, .10 SF, 1E, 1 TRI, 2Q  
 Race 12: 1DD, .10 SF, 1E, 1 TRI, 2Q

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 7, 2009**
- C. List any options requested with regard to exotic wagering:  
**\$.50 Pentafecta offered each day on the 8<sup>th</sup> race. 100% carryover to the next day. Entire pool including carryover to be paid out on September 7<sup>th</sup>.**

- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin: 10:00a.m.

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Scientific Games Racing, George Brannon,  
Expiration date of Contract: September 30, 2012  
Equipment of file with CHRB

#### 8. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:  
TVG, YouBet, XPressBet, TwinSpires

#### 9. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
NCOTWINC
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:  
All Licensed Fair, Racetrack, Indian Gaming Establishments, and Card Clubs
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:  
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
Attached
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":  
Attached

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Amwest Entertainment, OR  
Arapahoe Park, CO  
Arima Race Club  
Arlington International Race Course, IL  
Atlantic City Casino Assn., NJ  
Atlantic City Race Course, NJ  
Atokad Downs, NE  
Balmoral Park, IL  
Bangor, ME  
BetAmerica  
BetPad Ltd.  
Beulah Park, OH  
Birmingham Race Course, AL  
Blue Ribbon Downs, OK  
Bluffs Run Greyhound  
Buffalo Raceway, NY  
Calder Race Course, FL  
Canadian Associations  
Canterbury Park, MN  
Capital District OTB  
Capital Sports Ply, Ltd, Australia  
Casino Association  
Catskills OTB  
Charlestown Race Course, VA  
Chester Downs & Marina LLC  
Choctaw Racing Services, LLC, OK  
Churchill Downs, KY  
Coeur d'Alene Greyhound, ID  
Coeur d'Alene Casino, ID  
Colonial Downs, VA  
Columbus Races, NE  
Comanche Nation  
Connecticut OTB, CT  
Crystal Palace  
Cyprus Boyou OTB  
Dairyland Greyhound Park, WI  
Darwin All Sports  
Delaware Park, DE  
Delta Downs  
Downs at Albuquerque, NM  
Elite Turf Club 1-8, NV  
Ellis Park, KY  
Emerald Downs, WA  
Equus St. Thomas Racing, Inc.  
Evangeline Downs, LA  
Evansville OTB  
Fair Meadows  
Fair Grounds Race Course, LA  
Finger Lakes Race Track, NY  
Fonner Park, NE  
Foxwoods Casino,  
Freehold Raceway, NJ  
Geneva Lakes  
Gillespie County Downs  
Global Wagering Solutions (MEC) MagnaBet  
Great Lakes Downs, MI  
Greentrack  
Gulf Greyhound, TX  
Gulfstream Park, FL  
Harrington Raceway  
Hawthorne  
Hazel Park, MI  
Hinsdale Greyhound Park, NH  
Hoosier Park, IN  
Horsemen's Park, NE  
Indiana Downs, IN  
International Racing Group  
Jackson Harness Raceway, MI  
John Martin's Manor Restaurant  
Keeneland Association, KY  
Kentucky OTB  
Lebanon Raceway, OH  
Les Bois/Idaho  
Lewiston Raceway Inc., ME  
Lien Games, Inc., ND  
Lincoln Greyhound  
Lodge Belmont Greyhound Park  
Lone Star., TX  
Louisiana Downs, LA  
Manor Downs  
Maryland Jockey Club, MD  
Maywood Park, IL  
Meadowlands, NJ  
Meadowlands, The  
Meskwaki Casino  
Millers OTB  
Mohegun Sun  
Monmouth Park, NJ  
Montana OTB, MT  
Monticello Raceway, NY  
Mount Pleasant Meadows, MI  
Mountaineer Park, WV  
Nassau Regional OTB, NY  
Nebraska State Fair Park, NE  
Nevada Indian Casinos  
Nevada Pari-Mutuel Association, NV  
New York City Off-Track Betting Assn., NY  
New York Racing Association, NY  
Newport Jai Alai, FL  
Northfield Park, OH  
Oaklawn Park, AR  
Ocean Downs, MD  
Penn National Race Course, PA  
Phumelela Gold  
Philadelphia Park, PA  
Pinnacle Race Course  
Plainfield Greyhound Park, NJ  
Plainridge Racecourse, MA  
Pocono Downs, PA  
Portland Meadows, OR  
Potawatomi Casino  
Prairie Meadows, IA  
Premier Turf Club  
Presque Isle Downs  
Race 2 Day  
Raceway Park, OH  
Racing World  
Racing US  
Racing & Gaming Services, British WI  
Raynham Taunton Greyhound Park  
Remington Park, OK  
Retama Park, TX  
River Downs, OH  
Rockingham Park, NH  
Rocky Mountain Turf Club  
Rosecroft Raceway, MD  
Royal River Racing  
Ruidoso Downs, NM  
Running Aces Harness Park  
Sam Houston, TX  
Saratoga Raceway, NY  
Scarborough Downs, ME  
Scioto Downs, OH  
Seabrook Greyhound  
Shoreline Star Greyhound Park  
Skydancer Casino  
Sol Mututel Ltd.  
Southland, AR  
Sports Center  
Sports Creek Raceway, MI  
Stables, The  
State Fair Park, NE  
Suffolk Regional OTB, NY  
Suffolk Downs, MA  
Sunland Park, NM  
SunRay Park, NM  
Tampa Bay Downs, FL  
The Greyhound Park @ Post Falls  
The Racing Channel  
The Racing Channel International  
Thistledown Racing, OH  
Timeout Lounge  
Tioga Downs  
Tri-State Racetrack & Gaming Center, WV  
Turf de Venezuela  
Turfway Park, KY  
TVG  
Twin Spires/AmTAB  
Turf Paradise, AZ  
Valley Greyhound Park  
Vernon Downs, NY  
Victor Chandler  
Western Regional OTB  
Wheeling Downs, WV  
Wichita Greyhound Park, KS  
Will Rogers Downs, OK  
Wonderland Greyhound Park, MA  
Woodlands, KS  
Wyoming OTB, WY  
XpressBet, Inc., CA  
Yavapai Downs, AZ  
Yonkers Raceway, NY  
YouBet  
Zia Park, NM

**Separate Pools:**  
Caymanas Park, JAM  
Hipodromo Presidente Remon, Panama  
LVDC (NV)  
MIR/Caliente  
Hipodromo Camerero (PR)

#### Attachment 9. Simulcast Wagering Program

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

## Attachment

9F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races."

Arlington Park	Full/Partial Cards	August 26-September 7
Calder Race Course	Full/Partial Cards	August 26-September 7
Delaware Park	Full/Partial Cards	August 26-September 7
Ellis Park	Full/Partial Cards	August 26-September 7
Emerald Downs	Full/Partial Cards	August 26-September 7
Evangeline Downs	Full/Partial Cards	August 26-September 7
**Fort Erie	Full/Partial Cards	August 26-September 7
**Hastings Park	Full/Partial Cards	August 26-September 7
Louisiana Downs	Full/Partial Cards	August 26-September 7
Monmouth Park	Full/Partial Cards	August 26-September 7
Saratoga	Full/Partial Cards	August 26-September 7
Penn National	Full/Partial Cards	August 26-September 7
Philadelphia Park	Full/Partial Cards	August 26-September 7
Remington Park	Full/Partial Cards	August 26-September 7
River Downs	Full/Partial Cards	August 26-September 7
Suffolk Downs	Full/Partial Cards	August 26-September 7
**Woodbine	Full/Partial Cards	August 26-September 7
<b>**International</b>		
Assiniboia	Full/Partial Cards	August 26-September 7
San Isidro	Full/Partial Cards	August 26-September 7
Hippodromo Chile	Full/Partial Cards	August 26-September 7
Maronas	Full/Partial Cards	August 26-September 7

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

None

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:

Association Veterinarian(s)-	Audrey Clifton, DVM
Clerk of Scales-	Cheryl White
Clerk of the Course-	Tina Walker
Film Specialist-	Dan Winick
Horse Identifier-	Darrel Sparks
Horseshoe Inspector-	Troy Thomas
Paddock Judge-	Joe Gibson
Patrol Judges-	Joe Gibson, Ken Sjoldal
Placing Judges-	Steve Martinelli, Myra Troske
Starter-	Robert Mooneyhan
Timer-	Melody Truitt

- B. Management officials in the racing department:

Director of Racing-	David Elliott
Racing Supervisor-	Kate Phariss
Racing Secretary-	Tom Doutrich
Assistant Racing Secretary-	Linda Anderson
Assistant Racing Secretary-	Greg Brent
Paymaster of Purses-	Vicki Layne

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier, 13136 Ivey RD., Herald, CA 95638  
916-956-3914

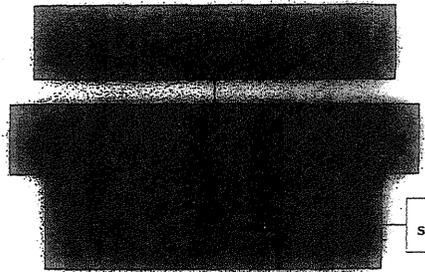
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corporation, USA Bill O'Brien (Expires 12/7/10)**
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks: **Pegasus Communications, Inc Jim Porep, December 7, 2010**  
**7 Cameras - Upper Pan, Lower Pan, 2 Roving (Infield, Starts, Post Parade, Winners Circle, Paddock) 3 Tower Cameras - See Attachment "Exhibit A"**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
**Dedicated laptop computer with connectivity to a hard wired electronic system surrounding the track with infra red sensors at each 1/16 pole.**  
**Pegasus Communications, Inc. (Jim Porep) December 7, 2010**

## 11. SECURITY CONTROLS

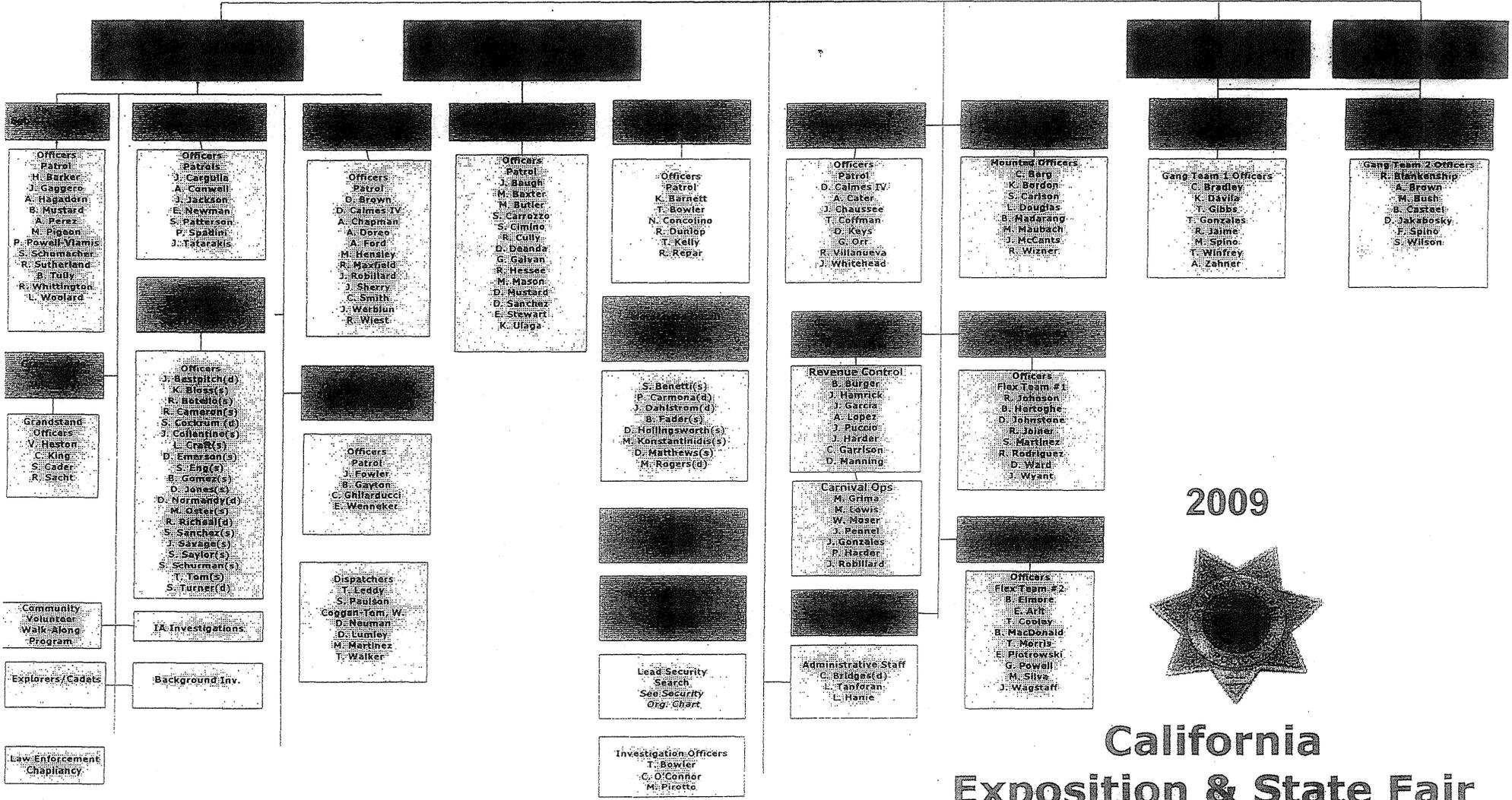
- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.  
**Chief Robert Craft, 916-263-3050 Org Chart attached**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **Gate Persons (9), Police Officers (5), supported by 30-110 Member Cal Expo Police Department.**
1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **No Graded Stakes**
  2. Detention Barns:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
    - C. Describe number and location of surveillance cameras in detention barn area.
  3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.  
**All horses in Thoroughbred races**
    - B. Plan for enhanced surveillance for trainers with high-test results.  
**Trainer with high TCO2 test result will race out of the detention barn**
    - C. Plan for detention barns for repeat offenders.

EXHIBIT A  
EQUIPMENT

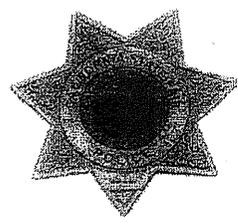
- A. 2 Sony SP870 Video Tape Recorders; 2 Panasonic MII Digital Video Tape Recorders
- B. Yamaha 1604 Audio Mixer
- C. Sierra Video systems Routing Switcher
- D. 6 DXC M-7 Cameras with lenses, viewfinders, pan heads, support equipment
- E. Window NT Running Lightware
- F. FORE-A Video Typewriter
- G. FORE-A Frame Sync
- H. AUX Frame Syuc
- I. VIDEO FLYER
- J. 4 Sony 9800 Video Tape Recorders
- K. Microtime IMPACT DVE
- L. GVG 200 with Chroma Key, Silhouette Key, Borderline Option
- M. Remote Production Vehicle
- N. Onboard Isolation Transformer & Voltage Regulator



Cathy Purcell  
Staff Services Analyst



2009



# California Exposition & State Fair Police Department

24 hour security for all horses in to go of the offending trainer in detention barn

D. Number of security personnel assigned to the TCO2 program.

3 guards splitting 24 hour shift when detention barn stalls are occupied

C. Describe the electronic security system.

1. Location and number of video surveillance cameras for the detention barn and stable gate.  
CARF provided surveillance system installed at locations - 1 camera/monitor at Gate 10 stable gate; 3 cameras-1 monitor to be installed at detention barn.

## 12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Medical Response, 1779 Tribute Rd., Sacramento, CA 95815 916-563-0600

C. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Golden Gate Fields

Turf Rescue LLC

19615 Barclay Rd.

Castro Valley, CA 94546

510-581-8470

Alameda County Fair

American Medical Response

640 143<sup>rd</sup> Ave.

San Leandro, CA 94577

510-895-7600

C. Describe the on-track first aid facility, including equipment and medical staffing:

The on site first aid facility contains all equipment and supplies necessary for advanced life support of any emergency. The facility is staffed by Paramedics and EMTs.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

Dr. Jeanette Frei 916-691-6622

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Each emergency injury will be treated at the local hospital determined by the on site MD or paramedics. The local hospitals and their address and telephone numbers are:

UC Davis Medical Trauma Center

Specializing as a Level 1 Trauma Center

2315 Stockton Blvd., Sacramento, CA 95817

916-734-2011

Sutter Memorial Hospital

Specializing in cardiac treatment

5151 F. St., Sacramento, CA 95819

916-454-3333

Kaiser Permanente Hospital

Specializing in orthopedic and cardiac treatment

2016 Morse Ave.  
Sacramento, CA 95825  
916-817-5660

Mercy General Hospital  
Specializing in cardiac treatment  
4001 J. St., Sacramento, CA 95819  
916-453-4553

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d): **David Elliott and Kate Phariss**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. **Attached**
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to B&P Code 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**All concessionaires are licensed and have been previously identified as noted on the application.**

### 14. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: **Daily Let It Roll promotion, 10<sup>th</sup> Annual Dachshund Derby on September 5, 2009, Proposed TVG lounge**
- B. Number of hosts and hostesses employed for meeting: **6 at information booths and Turf Club**
- C. Describe facilities set aside for new fans: **Rookie wagering window, New Info booth at Main Entrance Grandstand**

## Item 12 F.

**Emergency Medical Plan Procedures for On Track Injuries**

1. Upon arrival of all jockeys and exercise riders who are not employed by Cal Expo, each person will be offered a notification of next of kin form that they can fill out voluntarily that Cal Expo shall secure and use only in the case of an emergency to notify a family member or friend in the case of an emergency.
2. If there is an accident on the racetrack, the following procedures shall be implemented:
  - a. The on track ambulance with staff and the medical doctor on duty will travel immediately to the scene of the accident and assume triage and patient care responsibilities. The outriders shall also travel immediately to the accident scene to assist along with appropriate staff and security.
  - b. The on track ambulance EMT's and/or Paramedics and medical doctor after assessing the patient(s), shall make the determination along with the medical doctor on duty to transport the patient(s) to one of four local hospitals or to transport the patient(s) back to the jocks room.
  - c. If the ambulance is transporting, they will dispatch another ambulance to the racetrack.
  - d. If on site treatment is deemed sufficient, the EMT'S/Paramedics and/or the medical doctor on duty shall administer treatment to the injured jockey.
3. If injury requires transport, American Medical Response will transport the patient to one of the following hospitals:
  - UC Davis Trauma Center- 5.44 miles
  - Sutter Memorial Hospital- 4.22 miles
  - Kaiser Permanente- 2.73 miles
  - Mercy General Hospital- 3.97 miles

These hospitals have been contacted pursuant to B&P Code Section 19481.3.(c). The charge nurses on duty or the public affairs office has stated that all emergency room patients that are transported will receive the proper care in order of the triage process.

## PRECUDIOS DE EMERGENCIA

### “PRIMERA RESPUESTA”

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La Ambulancia respondera par aver lo necesario.
- La Ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

### “EVALUACIO AL INSTANTE”

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

### “ATENCION AL ACSIDENTADO”

- El accidentado sera tratado de inmediato por (EMT).  
Sies demaciado grabe el accidente sera transportado por ambulancia

Page \_\_\_ of \_\_\_

Office of State Fire Marshal



# REINSPECTION REPORT

File No.: \_\_\_\_\_

Name of Facility: CALEXPO

Name of Building: BARNES ATHLETIC

Address: 1600 EXPOSITION BLVD.  
SACRAMENTO, CA

Contact Person: MARK DANNEWITZ - MNTN Op Mgr Telephone No.: (916) 263-0286

Discussed with: MARK DANNEWITZ Title: MNTN Op Mgr  
DAVID EDDY HEALTH AND SAFETY MGR

Accompanied by: \_\_\_\_\_ Title: \_\_\_\_\_

Fire Safety Deficiencies Numbered \_\_\_\_\_ noted on the letter

Fire Safety Correction Notice (EN-11)  dated \_\_\_\_\_ have been corrected.

Uncorrected Deficiencies Numbered 31 were re-issued as shown on the Fire Safety Correction Notice dated 02/24/09, which is attached to and made a part of this Report.

In addition, no new deficiencies were identified at the time of this re-inspection, and are shown as items \_\_\_\_\_ on the attached Fire Safety Correction Notice.

Fire Clearance Instructions: Per attached letter from M. Dannewitz - Mntn Op Mgr, an extension is being granted for the temporary fire clearance. The temporary fire clearance will be extended til December 19, 2009. Should all the deficiencies for the barn be completed prior to 12/19/09, call this deputy for a final clearance.

Temporary Fire Clearance Extension

FIRE CLEARANCE GRANTED		RE-INSPECTION DATE	NEXT RE-INSPECTION DATE
<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	<u>06/12/09</u>	<u>To Be Determined</u>
DEPUTY STATE FIRE MARSHAL		PRINT NAME	TELEPHONE NO.
<u>C. Jordan, DCFM</u>		<u>C. D. JORDAN</u>	<u>(530) 478-1694</u>

July 7, 2009

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  
Attn: Colleen

Re: California Exposition & State Fair  
1600 Exposition Blvd.  
Sacramento, CA 95815

Please be advised that the California Exposition & State Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

## II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

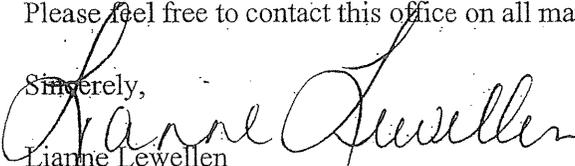
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2009 to 07/01/2010

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the California Exposition & State Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

  
Lianne Lewellen  
Risk Analyst

- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
1. Horsemen - Barn "P" Restroom Remodel, New Office in State Vet Barn (in process)
  2. Fans - Self service wagering machines installed in box seat area
  3. Facilities in the restricted areas - Painted Jocks room, refurbishment/repair of barns and stalls.

## 15. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
- Admission (general) \$12.00  
 Admission (clubhouse) \$3.00  
 Reserved seating (general) N/A  
 Reserved seating (clubhouse) N/A  
 Parking (general) \$10.00  
 Parking (preferred) \$4.00  
 Parking (valet) N/A  
 Programs (on-track) \$2.00  
 (off-track) \$2.25
- B. Describe any "Season Boxes" or other special accommodation fees:  
 Season Box Seats- \$650.00 and \$550.00 includes Fair admission for 6 for 11 racing days, 6 box seats for each 11 racing days, 6 racing programs per day, 1 Season Preferred Lot Pass, 1 General Lot Pass
- C. Describe any "package" plans such as combined parking, admission and program:  
 Frequent Player Program- Satellite Wagering Guests- Required to be on site at Cal Expo 23 of 46 CARF racing days from June 18-August 25, 2009 for discount fair pass. Fair pass is \$6 discount and free parking during the fair period.

## 16. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:
- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many  | <input type="text" value="43"/>                              |
| <input checked="" type="checkbox"/> Showers                        | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area              |
| <input checked="" type="checkbox"/> Masseur                        | <input checked="" type="checkbox"/> Food/beverage service               | <input checked="" type="checkbox"/> Certified platform scale |

- B. Describe the quarters to be used for female jockeys: **Same type, different space.**

## 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by CHRB Senior Special Investigator, Martin Snezek on July 3, 2009.
- B. Number of rooms used for housing on the backstretch of the racetrack: 80
- C. Number of restrooms available on the backstretch of the racetrack: 6

D. Estimated ratio of restrooms to the number of backstretch personnel: 50/1

## 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,280
-------

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inside Rail: Fontana Safety Rail**  
**Outside Rail: Steriline Aluminum Racing rail, Racetrack to Rail Height 38" to 42"**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**David Elliott**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.  
**On File**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**On file**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions**

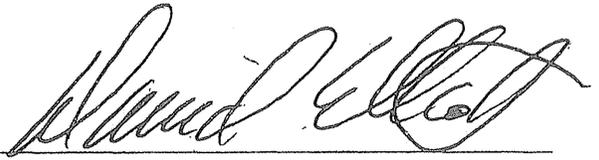
**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee; approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

David Elliott,  
Print Name

Director of Racing  
Print Title

  
Signature

June 15, 2009  
Date

STAFF ANALYSIS  
July 23, 2009

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT FAIRPLEX SEPTEMBER 10-28, 2009.

Los Angeles County Fair (LACF) filed its application to conduct a horse racing meeting at Fairplex:

- September 10-28, 2009, or 15 days, one day less than 2008. The fair proposes to race 172 races.
- LACF was allocated 16 race days for 2009. The proposed race dates eliminates September 21<sup>st</sup>. The association is requesting the revision to allow the coordination of racing between North and South. The elimination would decrease their race days to 15. The intent of the date change is to provide continuity and potential for a fuller field.

September – 2009						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1 H	2	3	4	5
6	7 H	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Thursday through Sunday the first week and Wednesday through Sunday the second and Wednesday through Monday the third week. 10 races per day Monday and Friday, September 11<sup>th</sup>, 9 races Thursday, September, 10<sup>th</sup> and 13 races, September 17<sup>th</sup> and 24<sup>th</sup>, 12 races per day Wednesday, Friday, September 18<sup>th</sup> and 25<sup>th</sup> and Saturday and Sunday.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2008 Race Meeting: Average number of runners per race (TB): 9.97
  - 2008 Race Meeting: Average number of runners per race (Quarterhorse): 6.88
  - 2008 Race Meeting: Average number of runners per race (Mules): 5.83
- First post 1:00 p.m. daily.
- Specific changes from the 2008 license application:
  - Decreases: Adult purchased through group sales – from \$11 to \$10.
  - Season Admission pass (daily) with free parking upgrades – from \$44.95 to \$24.95.
- Request Darrel Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- A track safety inspection has been requested and will be completed before the beginning of the race meet.
- Wagering program will use CHRB rules.

- Early wagering 8:30 a.m. at Hollywood Park.
- Fairplex is currently in negotiations with Advanced Deposit Wagering companies including but not limited to: TVG, YouBet.com, Xpress-Bet.com and Twin Spires.com for internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Fairplex content and via Southern California customers (during Fairplex’s season.) Fairplex will update the CHRB on the progress of the negotiations and pending agreements and provide final agreements upon completion.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed before the beginning of the race meet.

Specific information **still needed** to complete this application includes:

1. Thoroughbred horsemen’s agreement – awaiting final signature.
2. California Thoroughbred Trainers agreement
3. Fire clearance
4. ADW providers

RECOMMENDATION:

Staff recommends that the application for license not be heard until the outstanding items have been received.

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

July 7, 2009

Mr. Kirk Breed  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RECEIVED  
CHRB  
2009 JUL -0 AM 10:15

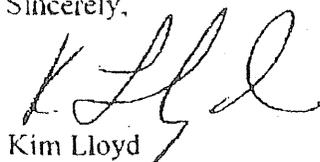
Dear Kirk:

In 2009 we are proposing to race fifteen days compared to the sixteen days in 2008. With the well-documented decline in horse population, we are proposing to run 172 races in 2009 compared to the 185 races run in 2008. With the Fair opening on Labor Day weekend, we expect to take advantage of a large fair crowd by opening the race meet on Thursday, September 10<sup>th</sup>, and in return we will close on Monday, September 14<sup>th</sup>. In the interest of continuity and fuller fields, we are proposing to be dark the following Monday (September 21) as well. We would end with the final six days of racing, beginning September 23<sup>rd</sup> and ending Monday, September 28<sup>th</sup>.

As you know, there will be no racing in the North on those Mondays (nor Wednesdays as well), so similar to the Del Mar plan, we are attempting to maximize revenues by better utilizing a reduced inventory of horses and coordinated North/South program. We also plan on a consistent first post time of 1:00 pm rather than differing first post times on weekends and weekdays. Additionally, we will be shorting the time between races to 25 minutes in the interest of running a program that will be crisp and more appealing to our customers.

Thank you for your consideration. We look forward to working with you in getting this program approved. If you have any question, please call me at 626-536-1424.

Sincerely,



Kim Lloyd  
Equine Manager

**APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR**  
CHRB-18 (Rev. 12/06)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone and fax numbers of fair

*Los Angeles County Fair Association*

*P. O. Box 2250*

*Pomona, CA 91769*

*Phone: 909-865-4093*

*Fax: 909-622-5652*

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates of race meeting: *Sept. 10 – Sept. 28, 2009*

B. Dates racing will NOT be held: *Sept. 14, Sept. 15, Sept. 21 and Sept 22.*

C. Total number of racing days: *15 days*

**3. RACING PROGRAM**

A. Total number of races: *172*

B. Number of races by breed:

Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	12	10	0	10	9, 9, 9	10, 10, 11	12
Other Breeds	0	0	0	2	0, 3, 3	0, 1, 1	0
Total	12	10	0	12	9, 12, 12	10, 11, 12	12

**CHRB CERTIFICATION**

Application received: *10/12/09*  
Reviewed: *apw*

Hearing date: *7/23/09*  
Approved date:  
License number:

D. Total number of stakes races by breed:

<input type="text" value="14"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. *Attached*

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

**APPROX. POST TIME**

*Pacific Daylight Time*

Wed - Sun., Mon.

---

Race 1	1:00 pm
Race 2	1:25 pm
Race 3	1:50 pm
Race 4	2:15 pm
Race 5	2:40 pm
Race 6	3:05 pm
Race 7	3:30 pm
Race 8	3:55 pm
Race 9	4:20 pm
Race 10	4:45 pm
Race 11	5:10 pm
Race 12	5:35 pm
Race 13	N/A

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

<i>Richard Crean, Chairman</i>	
<i>Reginald Webb, Vice Chairman</i>	
<i>Bernard Bernstein</i>	<i>Arthur Ludwick</i>
<i>Robrert Dukes</i>	<i>Stephen C. Morgan</i>
<i>Juan Gamboa</i>	<i>Jil Stark</i>
<i>Susan Hyland</i>	<i>Ronald Vera</i>
<i>Linda Keagle</i>	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: *N/A*

# 2009 STAKES SCHEDULE

DATE	STAKE	PURSE/DISTANCE
Thurs. Sept 10, 2009	BEVERLY J. LEWIS Fillies, Three Year Olds	\$50,000 Guaranteed Six & On Half Furlongs
Fri. Sept 11, 2009	JIM KOSTOFF Three Year Olds	\$50,000 Guaranteed Seven Furlongs
Sat. Sept 12, 2009	PHIL D. SHEPHERD  Three Year Olds & Up	\$50,000 Guaranteed One Mile & One Sixteenth
Sat. Sept 12, 2009	E. B. JOHNSTON  Fillies & Mares, Three Year Olds & Upward	\$50,000 Guaranteed One Mile & One Sixteenth
Sun. Sept 13, 2009	BANGLES AND BEADS Fillies & Mares, Three Year Olds & Upward	\$50,000 Guaranteed Six & One Half Furlongs
Wed. Sep 16, 2009	CB AFFLERBAUGH Two Year Olds	\$50,000 Guaranteed Seven Furlongs
Fri. Sep 18, 2009	BUSTLES AND BOWS Two Year Old Fillies	\$50,000 Guaranteed Seven Furlongs
Sat. Sep 19, 2009	BARRETTS DEBUTANTE Fillies, Two Year Olds	\$50,000 Added Six & One Half Furlongs
Sun. Sep 20, 2009	BARRETTS JUVENILE Two Year Olds	\$50,000 Added Six & One Half Furlongs
Wed. Sep 23, 2009	GOVERNOR'S CUP Three Year Olds & Upward	\$50,000 Guaranteed Six & One Half Furlongs
Fri. Sep 25, 2009	PALOMARES  Fillies, Three Year Olds	\$50,000 Guaranteed One Mile & One Sixteenth
Sat. Sep 26, 2009	POMONA DERBY  Three Year Olds	\$75,000 Guaranteed One Mile & One Sixteenth
Sat. Sep 26, 2009	LAS MADRINAS  Fillies & Mares, Three Year Olds & Upwards	\$75,000 Guaranteed One Mile & One Sixteenth
Sun. Sep 27, 2009	RALPH M. HINDS POMONA INVITATIONAL HANDICAP Three Year Olds & Upward (Invitational)	\$125,000 Guaranteed One Mile & One Eighth

- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, **other than those listed in 9B**, who will be listed in the official program:

**OFFICERS**

*James E. Henwood, President & Chief Executive Officer*

*Dale Coleman, Vice President-Sales, Marketing, & Creative Planning*

*Michael Seder, Vice President Finance & Chief Financial Officer*

*Dwight Richards, Vice President - Operations*

*Jeff Tucci, Vice President - Hospitality*

**MANAGEMENT**

*Kim Lloyd, Equine Manager*

*Barry Gillies, Security/Parking Manager*

*Wendy Talarico, Communications Manager*

*Karen Furlow, Controller*

*William Shacklett, Facilities Manager*

*Michelle DeMott, Director of Marketing*

*Debbie Vengco, Marketing Manager*

*Ralph Schorbach, I.T. Manager*

*Ray Ortegaso, Director of Corporate Development*

**5. PURSE PROGRAM****A. Purse distribution:****1. All races other than stakes:**

Current meet estimate: \$2,934,151

Prior meet actual: \$3,433,128

Average Daily Purse (5A1 ÷ number of days):

Current meet estimate: \$195,610

Prior meet actual: \$214,571

**2. Overnight stakes:**

Current meet estimate: 0

Prior meet actual: 0

Average Daily Purse (5A2 ÷ number of days):

Current meet estimate:

Prior meet actual:

**3. Non-overnight stakes:**

Current meet estimate: \$825,000

Prior meet actual: \$1,262,500

Average Daily Purse (5A3 ÷ number of days):

Current meet estimate: \$55,000

Prior meet actual: \$78,906

**B. Funds to be generated for all California-bred incentive awards:**

Current meet estimate: \$237,526

Prior meet actual: \$255,404

## C. Payment to each recognized horsemen's organization contracting with the fair:

<b>Current meet estimate:</b>		<b>Prior meet actual:</b>
CTT	\$11,218	\$12,058
TOC	\$22,776	\$24,481
NTRA	\$7,799	\$8,809
PCQHRA	\$935	\$1,892
AMRA	\$2,601	\$3,240
CHBPAPEN	\$33,995	\$36,539
CTHF	<u>\$33,995</u>	<u>\$36,539</u>
Total	\$113,320	Total \$123,558

D. Amount from **all sources** to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

Current meet estimate: **\$4,109,997**  
 Prior meet actual: **\$5,074,590**

Average Daily Purse (5D ÷ number of days):

Current meet estimate: \$274,000

Prior meet actual: \$317,162

## E. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: **\$3,001,809**  
 Prior meet actual: **\$3,094,472**

Average Daily Purse (5E ÷ number of days):

Current meet estimate: \$200,121

Prior meet actual: \$193,404

## F. Purse funds to be generated from interstate handle:

Current meet estimate: **\$612,829**  
 Prior meet actual: **\$631,746**

Average Daily Purse (5F ÷ number of days):

Current meet estimate: \$40,855

Prior meet actual: \$39,484

## G. Bank and account number for the Paymaster of Purses' purse account:

***Bank of America - Account # On file***

## H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

***Bowen McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730, 909-944-6465***

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in

the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1346**
- B. Minimum number of stalls believed necessary for the meeting: **1600**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,000**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Hollywood Park – 300 San Luis Rey – 200 Santa Anita – 500**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **On file**

Complete subsections F through I if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:  
**695 permanent; 350 portable**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**Total cost: \$257,000 Cost per stall: \$22.02**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:  
**Total cost: \$137,940**  
**Cost per horse:**
- |                       |                         |
|-----------------------|-------------------------|
| <b>Santa Anita</b>    | <b>\$180 round-trip</b> |
| <b>Hollywood Park</b> | <b>\$200 round-trip</b> |
| <b>San Luis Rey</b>   | <b>\$320 round-trip</b> |
| <b>Los Alamitos</b>   | <b>\$180 round-trip</b> |

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Ex. Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	Thor, \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD, \$1 US begins	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957, #1976
Race #2	Thor, \$2Q, \$1E, \$1TRI, \$1PK-3, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1979.1, #1957
Race #3	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, 1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #4	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD, \$2 PNP-6	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957, #1976.9
Race #5	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #6	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD, \$1 PK- 4 note #3	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957, #1978
Race #7	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #8	Thor. \$2Q, \$1E, \$1TRI, \$1 PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #9	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #10	Thor. \$2Q, \$1E, \$1TRI, \$1PK-3, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1977, #1954.1, #1979.1, #1957
Race #11	Thor. \$2Q, \$1E, \$1TRI, \$2 Parlay, \$.10 SF, \$2DD	CHRB #1958, #1959, #1979, #1954.1, #1979.1, #1957
Race #12	Thor. \$2Q, \$1E, \$1TRI, \$.10 SF, note # 4	CHRB #1958, #1959, #1979, #1979.1

1 \$2 PNP-6 offered in the last six races daily.

2 Late \$1 PK-4 offered in the last four races daily.

3 We will also offer \$1 Super High Five wagering on the last race of the card according to ARCI Pick(n), Position(x) pools rules. Fairplex reserves the right to withdraw this specific request if such wager is deemed uncomplimentary to the wagering menu.

NOTE: On days with less races, the type of wagers offered based on this schedule will be rolled forward or backwards accordingly.

B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **September 28, 2009**

C. List any options requested with regard to exotic wagering: **None**

D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin:

**Hollywood Park – 8:30 a.m.**

E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract: **Scientific Games, Terence McWilliams, Expiration: 9/2012**

**8. ADVANCED DEPOSIT WAGERING**

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:

**Fairplex is currently in negotiations with Advanced Deposit Wagering companies including but not limited to: TVG, YouBet.com, Xpress-Bet.com and Twin Spires.com for internet and telephone wagering (live operator and IVR – Interactive Voice Response) on Fairplex content and via Southern California customers (during Fairplex’s season.) Fairplex will update the CHRB on the progress of the negotiations and pending agreements and provide final agreements upon completion.**

**9. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:

***Southern California OffTrack Wagering, Inc.***

- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. ***On file with the CHRB.***
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal: **(Exhibit A)**
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: **(Exhibit B)**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair: **(Exhibit C)**
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:  
**(Exhibit D)**

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

***None***

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

## Exhibit A

Alameda County Fair, Pleasanton  
Antelope Valley Fair, Lancaster  
Barona Resort & Casino, Lakeside  
Big Fresno Fair, Fresno  
Cabazon Fantasy Springs Casino, Indio  
California State Fair & Expo, Sacramento  
Earl Warren Showground's, Santa Barbara  
Fairplex Park, Pomona  
Fresno Club One, Fresno  
Golden Gate Fields, Albany  
Hollywood Park, Inglewood  
Humboldt County Fair, Ferndale  
Kern County Fair, Bakersfield  
Los Alamitos Racecourse, Los Alamitos  
Monterey County Fair, Monterey  
National Orange Show, San Bernardino  
Redwood Acres Fair, Eureka  
San Bernardino County Fair, Victorville  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Santa Anita Park, Arcadia  
Shalimar Sports Center, Indio  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Sports Pavilion The Farmers Fair, Lake Perris  
Stanislaus County Fair, Turlock  
The Horsemen's Club, Santa Maria  
The Jockey Club, San Mateo  
Tulare County Fair, Tulare  
Ventura County Fair, Ventura  
Viejas Casino & Turf Club, Alpine

## Exhibit B

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantic City Race Course, New Jersey  
 Atokad Park, South Dakota  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Belmont Gaming, New Hampshire  
 Beulah Park, Ohio  
 Birmingham Race Course, Alabama  
 Blue Ribbon Downs, Oklahoma  
 Bluff Runs Greyhound, Idaho  
 Buffalo Trotting Association, New York  
 Calder Race Course, Florida  
 Caliente, Mexico  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Caymanas Race Track, Jamaica  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Coeur d' Alene Casino, Idaho  
 Colonial Downs, Virginia  
 Columbus Races, Nebraska  
 Connaught Park, Canada  
 Connecticut OTB, Connecticut  
 Cypress Bayou, Louisiana  
 Dairyland Greyhound Park, Wisconsin  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Edmonton Northlands, Canada  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 European Simulco, Europe  
 Evangeline Downs, Louisiana  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino (LVDC), Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Greentrack, Alabama  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Hanover Raceway, Canada  
 Harrington Raceway & Simulcast, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipodromo Camarero, Puerto Rico  
 Hipodromo Presidente Remon, Panama  
 Ho-Chuck Casino, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Indiana Downs, Indiana  
 Jackson Harness Raceway, Michigan  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 L' Hippodrome de Montreal, Canada  
 Las Vegas Dissemination Company, Nevada  
 Lebanon Trotting Club, Ohio  
 Les Bois Park, Idaho  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Manor Downs, Texas  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino (LVDC), Oklahoma  
 Monmouth Park, New Jersey

Montana State Fair, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia  
 Mt. Pleasant Meadows, Michigan  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Mexico State Fair, New Mexico  
 New York City OTB, New York  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Northwest Racing Associates, Washington  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Ontario Teletheatre, Ontario  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Phumelela Gold, South Africa  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Pinnacle Race Course, Michigan  
 Plainfield Greyhound Park, Connecticut  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Presque Isle Downs, Pennsylvania  
 Queensbury Downs, Saskatchewan  
 Raceway Park, Ohio  
 Racing and Gaming Services, St Kitts  
 Racing World UK, England  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 River Downs, Ohio  
 Roberts Television International, NV  
 Rockingham Park, New Hampshire  
 Royal River Racing, South Dakota  
 Royal Britannia Hub, Canada  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, West Virginia  
 Saginaw Harness Raceway, MI  
 Sam Houston Park, Texas  
 Saratoga Raceway, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 St. Croix Greyhound Park, Wisconsin  
 Stampede Park, Alberta  
 Sudbury Downs, Canada  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 Texas Hub, Texas  
 The Meadows, Pennsylvania  
 The Racing Channel International, Europe  
 Thistledown Racing Club, Ohio  
 Thunder Ridge, Kentucky  
 Tioga Downs, New York  
 Tri-State Greyhound, West Virginia  
 Trois Rivieres, Canada  
 Truro Raceway, Canada  
 Turf de Venezuela, Venezuela  
 TVG, Los Angeles  
 Turf Paradise, Arizona  
 Turfway Park, Kentucky  
 Twin Spires, Kentucky  
 Valley Greyhound Park, Texas  
 Vernon Downs, New York  
 Western Fair, Ontario  
 Western Regional OTB, New York  
 Wheeling Downs, Canada  
 Windsor Raceway, Ontario  
 Woodbine Entertainment Corp, Ontario  
 Wonderland Park, Massachusetts  
 Wyoming OTB, Wyoming  
 XpressBet, Pennsylvania  
 Yavapai Downs, Arizona  
 Yonkers Raceway, New York  
 YouBet, Los Angeles  
 Zia Park, New Mexico

## Exhibit C

AmWest Entertainment, KY  
 Arapahoe Park, Colorado  
 Arlington International Race Course, Illinois  
 Assiniboia Downs, Canada  
 Atlantic City Casino Assn., New Jersey  
 Atlantic City Race Course, New Jersey  
 Atokad Park, South Dakota  
 Balmoral Park, Illinois  
 Bangor Raceway, Maine  
 Barrie Raceway, Ontario  
 Belmont Gaming, New Hampshire  
 Beulah Park, Ohio  
 Birmingham Race Course, Alabama  
 Blue Ribbon Downs, Oklahoma  
 Bluff Runs Greyhound, Idaho  
 Buffalo Trotting Association, New York  
 Calder Race Course, Florida  
 Canterbury Park, Minnesota  
 Capital District OTB, New York  
 Catskill Regional OTB, New York  
 Charles Town Races, West Virginia  
 Chester Downs - Pennsylvania  
 Churchill Downs, Kentucky  
 Clinton Teletheatre, Canada  
 Coeur d' Alene Casino, Idaho  
 Colonial Downs, Virginia  
 Columbus Races, Nebraska  
 Connaught Park, Canada  
 Connecticut OTB, Connecticut  
 Cypress Bayou, Louisiana  
 Dairyland Greyhound Park, Wisconsin  
 Divi Carina Bay Casino, Louisiana  
 Delaware Park, Delaware  
 Delta Downs, Louisiana  
 Dover Downs, Delaware  
 Downs at Albuquerque, New Mexico  
 Edmonton Northlands, Canada  
 Elite Turf Club, Curacao  
 Ellis Park, Kentucky  
 Elmira Raceway, Ontario  
 European Simulco, Europe  
 Evangeline Downs, Louisiana  
 Fairgrounds Racecourse, Louisiana  
 Fair Meadows, Texas  
 Fairmount Park, Illinois  
 Finger Lakes Race Track, New York  
 Flamboro Downs, Ontario  
 Fonner Park, Nebraska  
 Fort Erie Racetrack, Canada  
 Foxwoods Casino (LVDC), Connecticut  
 Fraser Downs, British Columbia  
 Freehold Raceway, New Jersey  
 Gillespie County Downs, Texas  
 Greentrack, Alabama  
 Gulf Greyhound, Texas  
 Gulfstream Park, Florida  
 Hanover Raceway, Canada  
 Harrington Raceway & Simulcast, Delaware  
 Hastings Park, Canada  
 Hawthorne Race Course, Illinois  
 Hazel Park, Michigan  
 Hiawatha Downs, Ontario  
 Hipodromo Camarero, Puerto Rico  
 Ho-Chuck Casino, Connecticut  
 Hoosier Park, Indiana  
 Horsemen's Park, Nebraska  
 Indiana Downs, Indiana  
 Jackson Harness Raceway, Michigan  
 Kawartha Downs, Ontario  
 Keeneland, Kentucky  
 Kentucky Downs, Kentucky  
 Kentucky OTB, Kentucky  
 L' Hippodrome de Montreal, Canada  
 Las Vegas Dissemination Company, Nevada  
 Lebanon Trotting Club, Ohio  
 Les Bois Park, Idaho  
 Lewiston Raceway, Maine  
 Lien Games, North Dakota  
 Lincoln Greyhound Park, Rhode Island  
 Lone Star Race Park, Texas  
 Louisiana Downs, Louisiana  
 Manor Downs, Texas  
 Marquis Downs, Saskatchewan  
 Maryland Jockey Club, Maryland  
 Maywood Park, Illinois  
 Meskwaki Casino (LVDC), Oklahoma  
 Monmouth Park, New Jersey  
 Montana State Fair, Montana  
 Monticello Raceway, NY  
 Mountaineer Park, West Virginia

Mt. Pleasant Meadows, Michigan  
 Nassau Regional OTB, New York  
 Nebraska State Fair Park, Nebraska  
 Nevada Pari-Mutuel Association, Nevada  
 NJSEA, New Jersey  
 New Mexico State Fair, New Mexico  
 New York City OTB, New York  
 New York Racing Association, New York  
 Newport Jai-Alai, Rhode Island  
 Northfield Park, Ohio  
 Northlands Park, Canada  
 Northville Downs, Michigan  
 Northwest Racing Associates, Washington  
 Oaklawn Park, Arkansas  
 Ocean Downs, Maryland  
 Ontario Teletheatre, Ontario  
 Penn National Race Course, Pennsylvania  
 Philadelphia Park, Pennsylvania  
 Phumelela Gold, South Africa  
 Picov Downs, Canada  
 Pimlico Race Course, Maryland  
 Pinnacle Race Course, Michigan  
 Plainfield Greyhound Park, Connecticut  
 Pocono Downs, Pennsylvania  
 Portland Meadows, Oregon  
 Prairie Meadows, Iowa  
 Presque Isle Downs, Pennsylvania  
 Queensbury Downs, Saskatchewan  
 Raceway Park, Ohio  
 Racing and Gaming Services, St Kitts  
 Racing World UK, England  
 Remington Park, Oklahoma  
 Retama Park, Texas  
 Rideau Carleton Raceway, Canada  
 River Downs, Ohio  
 Roberts Television International, NV  
 Rockingham Park, New Hampshire  
 Royal River Racing, South Dakota  
 Royal Britannia Hub, Canada  
 Ruidoso Downs, New Mexico  
 Running Aces Harness Park, West Virginia  
 Saginaw Harness Raceway, MI  
 Sam Houston Park, Texas  
 Saratoga Raceway, New York  
 Scarborough Downs, Maine  
 Scioto Downs, Ohio  
 Seabrook Greyhound Park, NH  
 Sol Mutuel, St. George's, Grenada  
 Southland Greyhound Park, Arkansas  
 St. Croix Greyhound Park, Wisconsin  
 Stampede Park, Alberta  
 Sudbury Downs, Canada  
 Suffolk Downs, Massachusetts  
 Sunland Park Racetrack, New Mexico  
 Sun Ray Park, New Mexico  
 Tampa Bay Downs, Florida  
 Taunton Dog Track, New Hampshire  
 TBC Teletheatre, British Columbia  
 Texas Hub, Texas  
 The Meadows, Pennsylvania  
 The Racing Channel International, Europe  
 Thistledown Racing Club, Ohio  
 Thunder Ridge, Kentucky  
 Tioga Downs, New York  
 Tri-State Greyhound, West Virginia  
 Trois Rivieres, Canada  
 Truro Raceway, Canada  
 Turf de Venezuela, Venezuela  
 TVG, Los Angeles  
 Turf Paradise, Arizona  
 Turfway Park, Kentucky  
 Twin Spires, Kentucky  
 Valley Greyhound Park, Texas  
 Vernon Downs, New York  
 Western Fair, Ontario  
 Western Regional OTB, New York  
 Wheeling Downs, Canada  
 Windsor Raceway, Ontario  
 Woodbine Entertainment Corp, Ontario  
 Wonderland Park, Massachusetts  
 Wyoming OTB, Wyoming  
 XpressBet, Pennsylvania  
 Yavapai Downs, Arizona  
 Yonkers Raceway, New York  
 YouBet, Los Angeles  
 Zia Park, New Mexico

Date	Track	Race	Grade	Purse	Distance
9/11/2009	Belmont	The Bowling Green		\$150,000	1 3/8 Miles (turf)
9/12/2009	Belmont	Ruffian Handicap	I	\$300,000	1 1/16 Miles
9/12/2009	Belmont	The Garden City BC	I	\$300,000	1 1/8 Miles (turf)
9/13/2009	Belmont	The Ashley T. Cole		\$100,000	1 1/8 Miles (turf)
9/13/2009	Emerald Downs	Chinook Pass Sprint		\$50,000	6 Furlongs
9/13/2009	Emerald Downs	Belle Roberts		\$50,000	1 1/16 mile
9/13/2009	Emerald Downs	Muckleshoot Tribal Classic		\$50,000	1 1/16 mile
9/19/2009	Belmont	Noble Damsel Handicap	III	\$100,000	1 Mile (turf)
9/19/2009	Belmont	Matron Stakes	II	\$250,000	7 Furlongs
9/19/2009	Belmont	Futurity	II	\$250,000	7 Furlongs
9/19/2009	Louisiana Downs	Unbridled Hcp		\$75,000	1 1/16 Mile (turf)
9/19/2009	Louisiana Downs	River Cities		\$75,000	1 1/16 Mile (turf)
9/19/2009	Louisiana Downs	Temperance Hills		\$50,000	6 Furlongs
9/19/2009	Louisiana Downs	Super Derby	II	\$750,000	1 1/8 Miles
9/19/2009	Louisiana Downs	Sunday Silence		\$150,000	1 1/16 Mile (turf)
9/19/2009	Louisiana Downs	The Happy Ticket		\$100,000	1 1/16 Mile (turf)
9/20/2009	Woodbine	Woodbine Mile	I	\$1,000,000	1 Mile
9/20/2009	Woodbine	Northern Dancer BC Turf	I	\$750,000	1 1/2 Mile (turf)
9/21/2009	Hastings	British Columbia Derby	III	\$300,000	1 1/8 Miles
9/26/2009	Belmont	The Gallant Bloom	II	\$150,000	6 1/2 Furlongs
9/26/2009	Calder	Judys Red Shoes		\$75,000	1 1/16 Mile (turf)
9/26/2009	Calder	Needles Stakes		\$75,000	1 1/16 Mile (turf)
9/26/2009	Calder	Brave Raj		\$75,000	1 Mile 70 yds
9/26/2009	Calder	Foolish Pleasure Stakes		\$75,000	1 Mile 70 yds
9/27/2009	Arlington	The Illinois Owners Stakes		\$100,000	1 1/16 Mile (turf)
9/27/2009	Belmont	The Joseph A. Gimma		\$100,000	7 Furlongs
9/27/2009	Belmont	The Bertram Bongard		\$100,000	7 Furlongs

#### Full & Partial Cards

Arlington Park: September 10, 2009 – September 27, 2009

Belmont Park: September 10, 2009 – September 28, 2009

Calder Race Course: September 10, 2009 – September 28, 2009

Delaware Park: September 10, 2009 – September 28, 2009

Emerald Downs: September 13, 2009

Thistledown: September 10, 2009 – September 28, 2009

Turfway Park: September 10, 2009 – September 28, 2009

Woodbine: September 10, 2009 – September 28, 2009

Hastings Park: September 10, 2009 – September 28, 2009

Fort Erie: September 10, 2009 – September 28, 2009  
United Kingdom: September 10, 2009 – September 28, 2009  
South America: September 10, 2009 – September 28, 2009

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). *N/A*

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing Officials nominated:

<i>Association Veterinarian(s)</i>	<i>Jessica Christiansen</i>
<i>Clerk of Scales</i>	<i>Ruben Hernandez</i>
<i>Clerk of the Course</i>	<i>Lisa Jones</i>
<i>Film Specialist</i>	<i>Cheryl White</i>
<i>Horse Identifier</i>	<i>Darrel Sparks</i>
<i>Horseshoe Inspector</i>	<i>Victor Tovar</i>
<i>Paddock Judge</i>	<i>Ken Goldberg</i>
<i>Patrol Judges</i>	<i>Myra Truitt</i>
	<i>Cheryl White</i>
	<i>Heather Correa</i>
<i>Placing Judges</i>	<i>Kevin Colosi</i>
	<i>Bob Moreno</i>
<i>Starter</i>	<i>Jay Slender</i>
<i>Steward's Aide</i>	<i>Leslie Miller</i>
<i>Timer</i>	<i>Jim Porep</i>
<i>Quick Official</i>	<i>Robert Vella</i>

### B. Management officials in the racing department:

<i>Director of Racing</i>	<i>N/A</i>
<i>Racing Secretary</i>	<i>Tom Knust</i>
<i>Assistant Racing Secretary</i>	<i>Zachary Soto</i>
<i>Paymaster of Purses</i>	<i>Bridget Crawford</i>
<i>Others (identify by name and title)</i>	
<i>Equine Manager</i>	<i>Kim Lloyd</i>
<i>Racing Consultant</i>	<i>Cliff Goodrich</i>
<i>Racing Consultant</i>	<i>Tom Robbins</i>
<i>Racing Supervisor</i>	<i>Terry Gilligan</i>
<i>Jockey Room Custodian</i>	<i>Charles McCaul</i>
<i>Mutuel Manager</i>	<i>Bill Navarro</i>
<i>Safety Manager</i>	<i>Barry Gillies</i>
<i>Announcer</i>	<i>Trevor Denman</i>
<i>Track Superintendent</i>	<i>Steve Wood</i>
<i>Director of Labor Relations</i>	<i>Ken Walker</i>

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:  
*Barbara Weinstein, PMB 265, 9582 Hamilton Ave., Huntington Beach, CA 92646, 714-964-7102*
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
*William D. O'Brien, Plusmic Corp USA      Expiration Date of Contract: 12/31/09*
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. *Jim Porep, Pegasus Communication      Expiration of Contract: 4/30/2013*

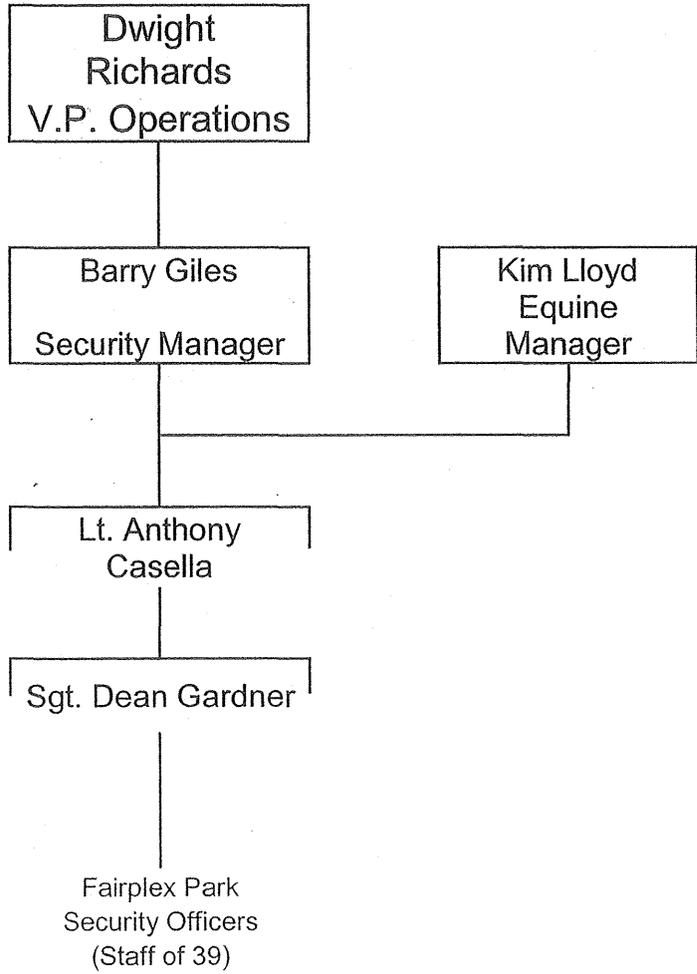
*One pan camera located in the Grandstand crowd's nest near the Finish Line. Tower cameras are located at the 1/8 Pole, 9/16 Pole and 1/4 Pole. In addition, we have a fixed camera on the Starting Gate and 3 additional handheld cameras at the Paddock, Winner's Circle and the Starting Gate.*

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:  
*Pegasus Datalink Track System  
Provided by Pegasus Communication  
Jim Porep  
Expiration Date of Contract: 4/30/13  
(951)-789-9333*
- Timing cells – (16) 42 MRR – 5,000 receiving cells  
(16) 42 MRR – 5,000 light source cells  
Owned and operated by Fairplex*

## 11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
- *Dwight Richards, Vice-President Operations      909-865-4202*
  - *Barry Gillies, Safety Services Manager      909-865-4355*
  - *Lt. Anthony Casella, CHRB Security Supervisor      909-865-4654*
  - *Dean Gardner, Sergeant      909-865-4654*
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: *39 Security Guards*
1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. *Attached Security Plan*
  2. Detention Barns:
    - A. Attach a plan for use of graded stakes or overnight races. *Attached Security Plan*

**FAIRPLEX PARK**  
**Security**  
June 12, 2009



B. Number of security guards in the detention barn area during a 24-hour period.

*See Attached Security Plan*

C. Describe number and location of surveillance cameras in detention barn area.

*See Attached Security Plan*

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

*At the direction of Equine Medical Director*

B. Plan for enhanced surveillance for trainers with high-test results.

*Trainers with high test results and who enter their horses in a race will have those horses placed in the detention barn in accordance with attached Security Plan.*

C. Plan for detention barns for repeat offenders.

*All horses entered in races by repeat offenders will be placed in the detention barn in accordance with attached Security Plan.*

D. Number of security personnel assigned to the TCO2 program.

*Up to three. See attached Security Plan.*

C. Describe the electronic security system:

*Money room in the Grandstand Mezzanine has magnetic connections at each opening. There are also interior motion detectors. These systems are monitored by a central alarm station located at Gate 1, which is manned by Security 24 hours a day.*

1. Location and number of video surveillance cameras for the detention barn and stable gate.

*See attached Security Plan.*

## 12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

*Workouts:*

*Cole-Schaefer Ambulance Service, 324 N. Towne Avenue, Pomona, CA 91767*

*Emergency Telephone No.: 800-966-4727 / 909-622-1273*

*Regional Manager – Albert Cisneros, cell: 909-238-8413*

*Running of the races:*

*Huntington Ambulance, P. O. Box 145, Sunset Beach, California 90742, 562-904-1550*

*Emergency Telephone No.: 562-904-1550*

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

*Huntington Ambulance service will be used for Santa Anita, Hollywood Park and Los Alamitos:*

*Huntington Ambulance, P. O. Box 145, Sunset Beach, California 90742, 562-904-1550*

*Emergency Telephone No.: 562-460-1463*

*San Luis Rey Downs, North County Fire Protection District, Bonsall, CA, 760-723-2005*

## Fairplex Park 2009 License Application

### Security Controls

Paragraph B (1) Surveillance of horses in races with a purse of \$100,000 or more:

Del Mar will provide payroll and administrative services for Sam Templeton, coordinator for this project. "In today" horses in the Barretts Juvenile and Debutante, Las Madrinas, Pomona Derby and the Ralph M. Hinds Pomona Invitational Handicap will be subject to surveillance. The CHRB will provide hand-held cameras and Sam Templeton will be responsible to hiring non-union security to remain outside the stall of each horse in these races 6 hours prior to the running of the race. The security person assigned to a horse will escort the horse from the stall to the receiving barn. Anytime an authorized person enters the stall within the 6 hour period, the security person must operate the camera and memorialize the interaction of the authorized person and the horse.

### Paragraph B (2) Detention Barn

A) Barn 9 is our detention barn. Cameras are installed and will be operational every racing day. Video tapes will also be available for review by the stewards at their request. Upon written instruction from the CHRB, trainers may be required to place their "in today" horse in the detention barn 24 hours prior to the race.

With regard to TCO2 detention barn procedures, protocols and disciplinary procedures as set forth in agreements with TOC and CTT, these items are detailed in the TOC agreement.

B) Three Guards cover the Detention Barn, one per eight hour period.

### C) Camera Locations

Camera surveillance locations cover:

- West Gate: Fixed Camera. Web broadcast. View of vehicle entering into restricted CHRB area.
- East Gate: Fixed Camera. Web broadcast. View of vehicles exiting out of CHRB area.
- Triangle Parking: General coverage of parking lot.
- Daily Double: Two Fixed Cameras. Web broadcast. View of entrances and mutuel windows.
- Barn 9: Camera coverage of all 6 stalls and outside East/West stalls sides

These cameras monitor for activities in these locations and are recorded for a specific number of days. Monitoring of surveillance cameras are done at Backside Security office, the West Gate, and Security Headquarters.

### Holding Barn

Barretts Barn 9 is our holding barn. All horses shipping in, except those accommodated by trainers assigned stalls, will be housed in the holding barn while on the grounds at Fairplex Park.

### Shockwave Therapy Barn

A stall in Barretts Barn 9 will be assigned for this procedure. All horses receiving this treatment must register and use this stall.

- C. Describe the on-track first aid facility, including equipment and medical staffing:  
*See attached Emergency Care and Medical Facilities Document*
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:  
*See attached Emergency Care and Medical Facilities Document*
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: See attached  
*Emergency Care and Medical Facilities Document*
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: *See attached Emergency Care and Medical Facilities Document*
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to B& P Code 19481.3(d):  
*See attached Emergency Care and Medical Facilities Document*
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
*Pending*
- I. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
*Attached*

### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

*Cornucopia, LLC at Fairplex, Jeff Tucci, P. O. Box 2250, Pomona, CA 91769*

*Today's Racing Digest, Kay Neves, 1414 E. Foothill Blvd., Glendora, California 91741*

*Bob's Cards, Inc., Tiffany Bohland, 6288 Highland Meadows, Medina, Ohio 44256*

### 14. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

*Marketing Plan On file.*

#### A. Describe any promotional plans:

- *Handicapping Tournament & Contest*
- *Bingo Bash*
- *Weiner Dog Races/Family Day*
- *Day At The Races and Group Sales/Picnics in Infield*
- *Season Pass Program*
- *Giveaway Days*
- *Asian Import Car Show*
- *California Classic Day*

## Section 11-B

This memorandum provides additional detail regarding enhanced security for all stakes worth 100,000 or more at the 2009 race meet.

Components of the 2009 plan include the following:

1. Assignment of event security personnel to each graded stakes entry beginning no less than six (6) hours prior to post-time for the race.
2. Training of the event security personnel by the Fairplex racing office, Fairplex security supervisors and CHRB investigators;
3. Provision and monitoring of log/surveillance sheets requiring all individuals entering the stall of an entrant to provide license information and purpose of entry;
4. Hand-held digital video cameras for all event surveillance personnel to record activity by persons entering stall;
5. Total carbon dioxide (TCO<sub>2</sub>) level testing of entries in all races consistent with the testing at preceding race meets;
6. Compliance with TCO<sub>2</sub> detention barn procedures, protocols and disciplinary procedures as set forth in agreements with the TOC andCTT. A copy of current protocols and procedures.

Attachment to LACF License Application – Section 12 C

**Los Angeles County Fair Emergency Care and Medical Facilities**

The Los Angeles County Fair provides emergency care which allows for comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital care facilities.

**Staffing**

Two Emergency Medical Technicians from Huntington Ambulance Service staff are located in an on-track ambulance that follows the horses during each race. This ambulance and crew are present whenever horses are on the track and are responsible for initiating basic life support measures, including immediate medical stabilization, care and evacuation to medical care facilities.

During training hours Cole Schaefer Ambulance Service executes the same procedure as above as well as are the primary service covering the Fairgrounds outside of training hours.

Huntington is the primary during the live racing but Cole Schaefer is a back-up source. Cole Schaefer is in charge of the First Aid building located on the southeast side of the grandstand clubhouse. There are two ambulances and a golf cart with gurney for transport capabilities. Cole Schaefer follows the protocols of Los Angeles County fire rescue.

A licensed Physician is on duty and is responsible for care on an ongoing basis of jockeys, track staff and allied personnel requiring non-emergency medical care. The staffing of the physician is on a rotating basis with doctors supplied through the staff at Western University in Pomona, California (909-469-5683) and contracted through Cole Schaefer. A list of these physicians is pending and will be made available prior to the Fair.

**Equipment**

9 Beds

Oxygen at each station

AED

Otoscope

Ophthalmoscope

12 Lead Monitors

### Physical Resources

The Los Angeles County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

Redundant communications services are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers.

12 D. The staffing of the physician is on a rotating basis with doctors supplied through the staff at Western University in Pomona, California (909-469-5683) and contracted through Cole Schaefer. A list of these physicians is pending and will be made available prior to the Fair. The First Aid office is 909-865-4370.

12 E. Pomona Valley Medical Center  
1798 N. Garey Ave.  
Pomona, CA 91767  
909-865-9611

Section 12 F in English

### **Los Angeles County Fair Racing Accident Procedures**

In case of an accident on the racetrack, the following procedures are to be implemented:

#### **Track Ambulance**

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

#### **Security**

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the ambulance access point on the track to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

#### **Racing Staff/Track Veterinarian**

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible for the management of the accident scene.

### Announcer

The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to family member of the injured. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior member of the management team then available.

### All Department Heads

All Department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

Section 12 F in Spanish**Procedimiento en caso de Accidente en Los Angeles County Fair**

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

**El personal de la Ambulancia**

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

**Seguridad**

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

**Personal de Carreras/Vetennano del hipodromo**

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinano del hipodromo debera decider si se sacrifique al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

**Personal de Planta/Plant Staff**

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

### Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

### Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de videio para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

### Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

12 G. Health and Safety Manager: Barry Gillies 213-276-3150 (cell)  
909-865-4186 (office)

Assistant Manager: Simon Concepcion 909-263-4559 (cell)  
800-966-4727 (office)

Alternate Manager: Ray Ortigas 909-865-4383



AMERICAN SPECIALTY®

*Proud Sponsor of America's Pastimes and Future Times™*

June 10, 2009

California Horse Racing Board  
Attn: Jackie Wagner  
1010 Hurley Way, Suite 300  
Sacramento, CA 95828

Re: Los Angeles County Fair Association  
1101 W McKinley Avenue  
Pomona, CA 91768

Dear Ms. Wagner:

Please be advised that SeaBright Insurance Company is providing the Workers' Compensation and Employers Liability coverage under policy #I for the policy period of January 1, 2009 to January 1, 2010 with the following limits:

- A. Workers Compensation Insurance – statutory limits
- B. Employers Liability Insurance: Bodily Injury by Accident - \$1,000,000 each accident  
Bodily Injury by Disease - \$1,000,000 policy limit  
Bodily Injury by Disease - \$1,000,000 each employee

American Specialty Insurance & Risk Services representing SeaBright Insurance Company represents to California Horse Racing Board that the above mentioned policy also includes the attached endorsement WC 04 03 06 04-84 – Waiver of our right to recover from others.

Sincerely,

*Jackie Lindquist*  
Jackie Lindquist, CPCU, AIC, CISR, CPIW, DAE  
Assistant Vice President – Underwriting  
American Specialty Ins & Risk Services, Inc.

cc: Lloyd Kim – LA County Fair  
Dan Curran – SeaBright Insurance Co

INSURANCE SERVICES ★ CLAIMS MANAGEMENT ★ RISK CONSULTING ★ CRISIS MANAGEMENT ★ DATA ANALYTICS  
*Serving the Sports ★ Entertainment Industry Since 1989*

## WORKERS COMPENSATION AND EMPLOYERS LIABILITY INSURANCE POLICY

WC 04 03 06  
(Ed. 4-84)

## WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT—CALIFORNIA

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from US.)

You must maintain payroll records accurately segregating the remuneration of your employees while engaged in the work described in the Schedule.

The additional premium for this endorsement shall be \_\_\_\* % of the California workers' compensation premium otherwise due on such remuneration.

## Schedule

Person or Organization

Job Description

WHERE YOU ARE REQUIRED BY WRITTEN CONTRACT TO OBTAIN THIS AGREEMENT FROM US, PROVIDED THE CONTRACT IS SIGNED AND DATED PRIOR TO THE DATE OF LOSS TO WHICH THIS WAIVER APPLIES. IN NO INSTANCE SHALL THE PROVISIONS AFFORDED BY THIS ENDORSEMENT BENEFIT ANY COMPANY OPERATING AIRCRAFT FOR HIRE.

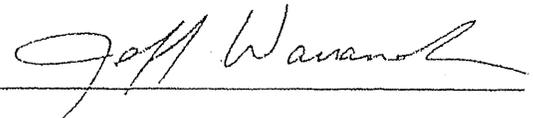
\*The premium charge for this endorsement shall be 2% of the premium developed in the State of California, but not less than \$500 policy minimum premium.

This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.

(The information below is required only when this endorsement is issued subsequent to preparation of the policy.)

Endorsement Effective	01/01/09	Policy No.	BB1092368	Endorsement No.	10
Insured	Los Angeles County Fair Association			Policy Effective Date	01/01/09
Insurance Company	SeaBright Insurance Company				

Countersigned By


WC 04 03 06  
(Ed. 4-84)

**B. Number of hosts and hostesses employed for meeting:**

*We employ approximately 25 customer service representatives on weekdays (Monday, Wednesday, Thursday), and 35 on weekends (Friday, Saturday, Sunday).*

**C. Describe facilities set aside for new fans:**

- *At Fairplex Park, we are geared for new fans by nature of the L.A. County Fair and the 1.4 million people it attracts.*
- *We create an exhibit on Broadway Street, the main street of the Fair, complete with televisions showing the races, pari-mutuel machines, friendly and helpful customer service personnel to answer questions about horse racing and to demonstrate how to place a wager with the STAN betting machine.*
- *In addition to the many and diverse food and beverage eateries on the Fairgrounds, Fairplex Park offers uniqueness and variety for F&B options at the racetrack. We have designed places to have fun with a group of friends, business associates and places to meet new folks. Here's a run-down of where to go for the type of fun you're looking for:*
  - ***The Terrace at Fairplex Park.** This is a popular group dining area with a close-up view of the racing action right on the clubhouse turn. Savor a delicious carving-station buffet lunch at your reserved, comfortable table. Restrooms, a full-service bar and wagering amenities are easily accessible and service with a smile comes at no extra charge.*
  - ***Stute's Sports Bar:** September is Sports Month at Fairplex Park. Watch all the games from the road to the baseball playoffs, college and professional football (NFL Ticket), golf, and the best sport of them all, Horse Racing. Over 10 plasma televisions and one giant projection screen is a sure bet to cheer your team or your horse on to victory. Located at the west end of the grandstand mezzanine, Stute's offers both hot snacks and cold drinks for whatever your mood.*
  - ***Box Seats at Fairplex Park.** Located right in front of the racing action, this is the perfect place to kick back and enjoy the races with your friends. Pari-mutuel and food and beverage service brought right to your seat.*
  - ***Long Line Bar & Grill:** Be where the action is! This is the hot spot for owners and trainers to hang out before and after the races. Located adjacent to the saddling paddock, saddle up to the bar or sit down and enjoy a burger or a dog from the grill. Just maybe you'll hear the name of the next race winner!*
  - ***Top of the Park Terrace:** The Terrace features canopied outdoor seating, Top of the Park menu-dining, friendly service, your own tabletop monitors and a majestic, panoramic view of Fairplex Park and the beautiful San Gabriel Mountains. Located high above the clubhouse turn, you'll see every step of the race from this spectacular vantage point!*
  - ***Top of the Park Restaurant:** Make reservations early! This is the most popular dining at the track. You'll have your own table with plenty of large TV monitors for easy viewing. The bar is always a happening place, so play the ponies and celebrate with your friends until the last race.*
  - ***Sky Boxes:** From the highest perch on the racetrack, fans will be able to socialize in an intimate environment with everything at their fingertips. Wagering access, plasma televisions, a live panorama view of the races, and food and beverage service all in your private box. Seating for groups of up to 20 people.*
  - *Clubhouse Level III will be our designated tournament floor. For the 11<sup>th</sup> year, Fairplex will participate in the DRF/NTRA Handicapper of the Year tournament. Fifteen slots (the most of any participating site) in the finals at the Red Rock Casino in Vegas will be up for grabs in 2009.*

**D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:**

1. *Horsemen: Major repair and painting of tack rooms (restricted area)*
2. *Fans*  
*Ongoing replacement of television monitors with flat panel televisions.*  
*Creation of new eateries and seating areas in the grandstand*
3. *New Finish Line Sports Bar and Grill*
4. *New Satellite Wagering Facilities*

**15. SCHEDULE OF CHARGES**

**A. Proposed charges, note any changes from previous year:**

<i>General Admission Adults</i>	<i>\$12 weekdays/\$17 weekends</i>
<i>General Admission Child</i>	<i>\$ 7 weekdays/\$12 weekends</i>
<i>General Admission Seniors</i>	<i>\$ 6 Senior Days/\$ 9 weekdays/\$14 weekends</i>
<i>Adult purchased through Group Sales</i>	<i>\$ 10 (20 or more)</i>
<i>Child purchased through Group Sales</i>	<i>\$ 6</i>
<i>RaceFan ClubCard</i>	<i>\$ 8</i>
<i>Box Seats</i>	<i>\$ 5 Daily, Season remains \$48</i>
<i>General Parking</i>	<i>\$10</i>
<i>Preferred Parking</i>	<i>\$15</i>
<i>Valet Parking</i>	<i>\$20</i>
<i>Programs On-Track</i>	<i>2.25</i>
<i>Programs Off-Track</i>	<i>2.25</i>

B. Describe any "Season Boxes" or other special accommodation fees: *Described above*

C. Describe any "package" plans such as combined parking, admission and program:  
*Season Admission Pass – Daily pass with free parking upgrades - \$24.95*

**16. JOCKEYS' QUARTERS**

**A. Check the applicable amenities available in the jockeys' quarters:**

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 40px;" type="text" value="40"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:  
*12' x 11' lounge with air conditioning and closed circuit TV. 11' x 13' restroom with shower, sauna and 4 cubicles. Located between Paddock and Food and Beverage Area*

**17. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing will be completed by a CHRB Investigator before the race meet begins.
- B. Number of rooms used for housing on the backstretch of the racetrack: **0**
- C. Number of restrooms available on the backstretch of the racetrack: **26**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **26/1000**

## 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 3,294.46 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
*Rail designed per specifications of the California Horse Racing Board.*  
*Metal gooseneck supports with sheet metal cover.*  
*Aluminum face of rail - 25" overhang.*  
*Approximate height of the top of inner railing from the level of the race course - 40".*
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: *Steve Wood*
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. *on file*
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

## 19. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
*No exceptions*
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
*Thoroughbred Owners of California agreement awaiting signature.*
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): *No exceptions*
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): *No exceptions*

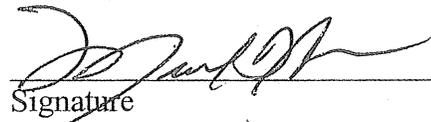
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

MICHAEL D. SEDER  
Print Name

V.P.  
Print Title

  
Signature

6/11/09  
Date

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR AUTHORIZATION TO OPERATE A SIMULCAST WAGERING FACILITY OF SYCUAN CASINO OPERATED BY THE SYCUAN BAND OF THE KUMEYAAAY NATION.

Sycuan Casino Simulcast Wagering Facility.(SWF) opened October 7, 1990 and was closed for renovations May 8, 2005. Sycuan Casino was allotted more gaming machines and needed additional space to accommodate the equipment. The new space allotted for the simulcast facility is located near an entrance and will share space with their poker room providing anticipated crossover traffic. Sycuan Casino has submitted its "Application for Authorization to Operate a Simulcast Wagering Facility" to reopen the simulcast facility.

Proposed dates of operation during current racing year:

- Applicant requesting approval to begin operation July 24, 2009.
- Days of operation for thoroughbred racing Wednesday through Sunday; selected Mondays and holidays; quarter horse and harness racing – Thursday through Sunday; selected days and holidays.
- Opening 10:00 a.m. through 11:30 p.m.; selected days opening 8:00 a.m. and closing 12:00 a.m.
- The facility will not be utilized as a simulcast wagering facility Mondays and Tuesdays.

Admission Charges

- There are no fees charged for admission or parking.

General Admission

- Seating capacity – 68 and additional seating for 108 guests available in the poker room immediately outside of the Off Track Betting facility. The poker room offers ten plus TV monitors that can be used for viewing horseracing.
- Number of tables – 17 four seat tables allowing for 68 guests.

Premium Area

- Seating capacity – Turf club will provide four, four-seat booths with personal TV's and bar/stools that will seat another 10 guest. The total seating capacity is 26.
- Number of tables – Turf club area for the Off Track Betting facility will provide four, four-seat booths and a bar top that will accommodate ten guests.

Specific information **still needed** to complete this application includes:

1. Licensing of Simulcast Facility Supervisor.
2. Number of pari-mutuel terminals to be on site.
3. SEIU Agreement

RECOMMENDATION:

Staff recommends that the Board hear from the applicant.

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

CHRB-25 (Rev. 4/92)

STATE OF CALIFORNIA  
CALIFORNIA HORSE RACING BOARD  
APPLICATION FOR AUTHORIZATION TO OPERATE A SIMULCAST WAGERING FACILITY  
CHRB-25 (Rev. 4/92)

Application is hereby made to the California Horse Racing Board (CHRB) for authorization to operate a simulcast wagering facility in accordance with the California Business and Professions Code (B&P), Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (CHRB Rules and Regulations).

Name of applicant association, fair, or tribal facility:

**Sycuan Casino, owned and operated by the Sycuan Band of the Kumeyaay Nation**

Location of facility (City and County):

**El Cajon, San Diego County**

Mailing address of association, fair, or tribal facility:

**5469 Casino Way, El Cajon, CA, 92019**

Telephone number: **619-445-6002**

Name and title of the managing officer or tribal council representative of the applicant association, fair, or tribal facility:

**Wendy J. Reeve, Director of Casino Operations**

Name of the affiliated (simulcast) organization(s) that will operate the pari-mutuel wagering at the facility:

**Southern California Off-Track Wagering Inc.  
4961 Katella Ave.  
Los Alamitos, CA 90720  
(714) 761-1660**

The regular schedule for operation of the facility will be as follows:

**Day Thoroughbred Racing – regularly Wed-Sun; selected Mondays and Holidays**  
**Night Quarter Horse & Harness Racing – regularly Thu-Sun; selected other days and Holidays**

Application must be filed not later than 90 days before the scheduled start date for operation of the proposed facility pursuant to CHRB Rule 2057.

**CHRB CERTIFICATION**

Application filed on: **6/10/09**  
Reviewed by: **CR**  
Date of Hearing: **7/23/09**

Approved on:  
License number issued:  
Date tribal compact approved: **8/30/1990**

Inclusive date during which the applicant proposes to operate as a simulcast wagering facility during the current racing year:

Simulcast facility is currently undergoing renovation and upgrades. Pending the approval of the Board Sycuan would like to operate the facility on 7/24/2009.

**OPERATION OF THE SIMULCAST WAGERING FACILITY**

Inclusive hours for operation of the facility:

Daily       Wed - Sun       Tues - Sat       Other - specify:

Regularly 10:00 a.m. – 11:30 p.m Open 8:00 a.m. on selected days; close at 12:00 a.m. on selected days

Inclusive time periods during the calendar year the facility **will not** be utilized as a simulcast wagering facility:

All Mondays, All Tuesdays

Exceptions to the foregoing:

None

If approved, wagering will be offered on live race meetings being held or conducted by the following racing associations:

RACE MEETING	BREED	DAY OR NIGHT
Cal Expo	Harness	Night
Del Mar Thoroughbred Club	Thoroughbred	Day
Fairplex (L.A. County Fair)	Thoroughbred/mixed	Day
Hollywood Park	Thoroughbred	Day
Los Alamitos	Quarter Horse/mixed	Night
Oak Tree Racing Assoc.	Thoroughbred	Day
Santa Anita (L.A. Turf Club)	Thoroughbred	Day

Dates during which the applicant will conduct or has conducted live horse racing during the current racing year (if none, so state):

None

**ELIGIBILITY FOR APPROVAL AS A GUEST ASSOCIATION**

The applicant association is:       Racing Association       Fair  
    Tribal Facility                       Other Business Entity

COMPLETE THE APPLICABLE SUBSECTION:

RACING ASSOCIATION - approval pursuant to B&P Section 19605.

The name under which the association is licensed by the Board:

The names and titles of management personnel assigned to the facility:

**FAIR**

Approval is requested pursuant to B&P Section  19605.1 OR  19605.2.

Correct title of the fair or fair association:

Names and titles of the present fair directors:

Names and titles of management personnel assigned to the simulcast wagering facility:

**TRIBAL FACILITY**

Approval is requested pursuant to the Tribal-State Compact signed on:

**Approval is requested pursuant to Tribal-State compact signed on August 30, 1990**

The name of the tribe under which the Tribal-State Compact was approved:

**The Sycuan Band of the Kumeyaay Nation**

Names and titles of management personnel assigned to the facility:

**Wendy Reeve, Director of Casino Operations**

If applicable, names and titles of management personnel comprising management company or other entity operating gaming and simulcast wagering at the facility:

**Not Applicable**

Has the management company or other entity been approved by the U. S. Bureau of Indian Affairs?

Yes       No      If yes, the date of that approval

**Not Applicable**

**NOTICE TO APPLICANT** Changes to management personnel and Simulcast Facility Supervisor(s) must be immediately reported to the Board.

## CONCESSIONAIRES AND VENDORS

The concessionaires, vendors, and other entities providing food service, beverage service, racing selection services, janitorial or custodial service, or other service or supplies within the simulcast wagering facility are (specify the name and type of service of supplies):

**Sycuan Casino Food & Beverage Department**

Other vendors to be permitted to sell products or services outside, but on the premises of, the simulcast wagering facility are:

**None**

## SUPERVISION, SECURITY AND FIRE PREVENTION

The names of all persons to be employed as a Simulcast Facility Supervisor at the simulcast wagering facility:

**Wendy Reeve – Assistant Director of Gaming Operations**

The workers' compensation insurance carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, give details):

**Workers Compensation insurance is provided by Tribal First Insurance Company; policy number on file**

Attach a copy of the Certificate of Insurance.

**Attached**

Attach a fire clearance from the fire authority having jurisdiction.

**Enclosed**

The name of the person having responsibility for security controls at the facility is:

**Juan Baca**

The number of security officers and/or guards to be regularly employed at the facility is:

**18**

The police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility is:

**Sycuan Tribal Police**

Specify the name, address and telephone number of the emergency ambulance service the applicant will utilize in event of illness or injury at the facility:

<b>ACORD™ CERTIFICATE OF LIABILITY INSURANCE</b>		OP ID SH SYCUA-1	DATE (MM/DD/YYYY) 07/02/09
PRODUCER  Affinity Insurance Services P.O. Box 609015 San Diego CA 92160 Phone: 858-541-1900      Fax: 858-277-4519		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.	
INSURED  Sycuan Band of the Kumeyaay Nation/Sycuan Resort & Casino 5485 Casino Way El Cajon CA 92019		INSURERS AFFORDING COVERAGE	NAIC #
		INSURER A: Hudson Insurance Company	25054
		INSURER B:	
		INSURER C:	
		INSURER D:	
		INSURER E:	

**COVERAGES**

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	ADD'L NSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS	
A		GENERAL LIABILITY		07/01/09	07/01/10	EACH OCCURRENCE	\$ 10,000,000
		<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ Included
		<input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR				MED EXP (Any one person)	\$ Included
		<input checked="" type="checkbox"/> 100K Retention				PERSONAL & ADV INJURY	\$ 10,000,000
		GEN'L AGGREGATE LIMIT APPLIES PER:			GENERAL AGGREGATE	\$ N/A	
		<input type="checkbox"/> POLICY <input type="checkbox"/> PRO. JECT <input type="checkbox"/> LOC			PRODUCTS - COMPOF AGG	\$ 10,000,000	
A		AUTOMOBILE LIABILITY		07/01/09	07/01/10	COMBINED SINGLE LIMIT (Ea accident)	\$ 10,000,000
		<input checked="" type="checkbox"/> ANY AUTO				BODILY INJURY (Per person)	\$
		<input type="checkbox"/> ALL OWNED AUTOS				BODILY INJURY (Per accident)	\$
		<input checked="" type="checkbox"/> HIRED AUTOS				PROPERTY DAMAGE (Per accident)	\$
		<input checked="" type="checkbox"/> NON-OWNED AUTOS			AUTO ONLY - EA ACCIDENT	\$	
		<input checked="" type="checkbox"/> \$100,000 SIR			OTHER THAN AUTO ONLY:      EA ACC	\$	
		GARAGE LIABILITY			AGG	\$	
		<input type="checkbox"/> ANY AUTO			EACH OCCURRENCE	\$	
		EXCESS/UMBRELLA LIABILITY			AGGREGATE	\$	
		<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE				\$	
		DEDUCTIBLE				\$	
		RETENTION \$				\$	
A		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY		07/01/09	07/01/10	WC STATUTORY LIMITS	OTH-ER
		ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?				E.L. EACH ACCIDENT	\$ 1,000,000
		If yes, describe under SPECIAL PROVISIONS below				E.L. DISEASE - EA EMPLOYEE	\$ 1,000,000
						E.L. DISEASE - POLICY LIMIT	\$ 1,000,000
A		OTHER		07/01/09	07/01/10	Comp	Included
		Physical Damage				Coll	Included

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS  
 EVIDENCE OF COVERAGE ONLY FOR SYCUAN BAND OF KUMEYAAY NATION.....

<p><b>CERTIFICATE HOLDER</b></p> <p style="text-align: center;">EOONLY</p> <p style="text-align: center;">EVIDENCE OF COVERAGE ONLY</p>	<p><b>CANCELLATION</b></p> <p>SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL <u>30*</u> DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.</p> <p>AUTHORIZED REPRESENTATIVE</p>
---	--

**Sycuan Fire Department,  
Sycuan Indian Reservation,  
Station C: 619-445-7138  
ADMIN: 619-445-2893  
Schedule A Division  
5449 Sycuan Road, El Cajon, Ca 92019.  
www.sycuanfire.com**

Does the applicant propose to have emergency medical care available at the facility:  
 Yes       No      If yes, describe:

**Sycuan has a full security department which also comprises 24hour on-site Emergency Medical Technicians (part of the Security Department).**

**EQUIPMENT PROVIDED BY THE GUEST ASSOCIATION**

Describe the television equipment (simulcast receivers, decoders, controls, monitors, etc.) to be utilized at the facility:

**Decoders (RCN, NYRA, Woodbine/Hastings)**

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility:

**In-house audio/visual system.**

Attach a detailed scale plan of the facility indicating all points of access, emergency exits, and the placement of offices and food and beverage service locations.

**See Attachment "A"**

**PARI-MUTUEL EQUIPMENT AND WAGERING SERVICES**

Describe the pari-mutuel equipment, odds displays, modems or muxes, and method of data transmission to be utilized (include the number of pari-mutuel terminals to be on-site):

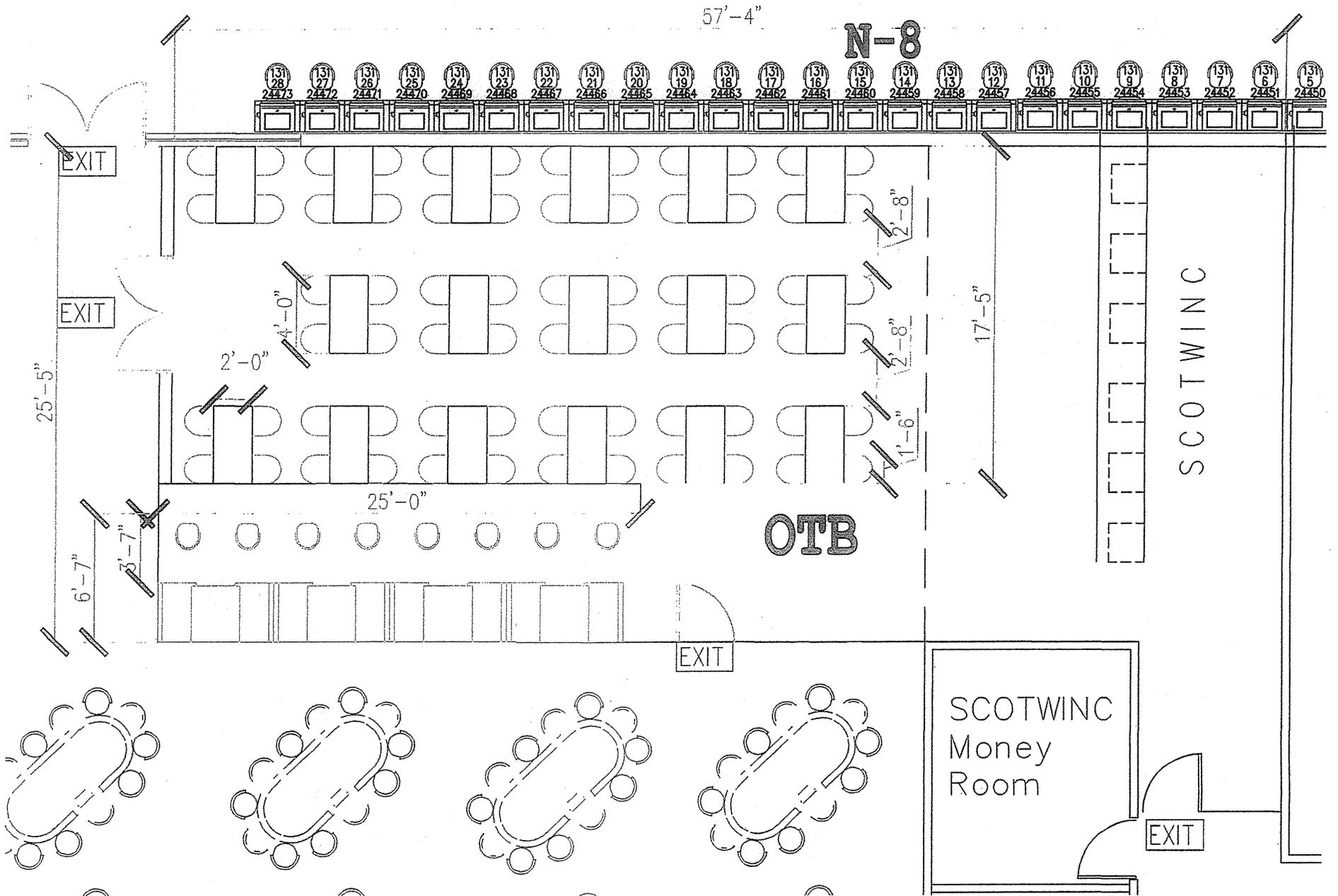
**Self service and manned teller machines (Scientific Games) – number: TBD; primary and back-up communication lines (RCN/CHRIMS)**

Will the applicant be responsible for maintenance of the pari-mutuel equipment?  
 Yes       No

Describe the method by which patron complaints regarding wagering operation and/or the facility or its employees may be filed:

**The Casino has a Customer Comment form. Once completed these are handed to the Guest Services Manager who in turn delivers them to the Casino General Manager. All comments**





are reviewed and responded to within 10 days of receipt.

Has the applicant made arrangements to provide for the encashment of valid pari-mutuel tickets issued at other facilities or at California race meetings?

Yes       No

### ADMISSIONS, CHARGES AND SERVICE FEES

The admissions charges are:

- Level 1 (Gen. Adm.)
- Level 2 (Premium CH)
- Level 3 (Membership)

Parking Charges are:

- Level 1 (General)
- Level 2 (Premium)
- Level 3 (Valet)

Program costs are:

Seating costs, if any, are:

### FOOD AND BEVERAGE SERVICE

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; barn counters; or other description as appropriate):

Full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available

The seating capacity in the general admission area is:

**The general admission area in the OTB facility will have 17 four-seat tables, allowing for 68 guests. Immediately outside the OTB facility there is the poker room, with 10+ TV monitors that can be switched to horse racing and currently seats 108 guests.**

The number of tables in the general admission area is:

**The general admission area in the OTB facility will have 17 four-seat tables.**

The seating capacity in the premium area is:

**The "Turf Club" area for the OTB facility will have four 4-seat booths with personal TVs and a bar/stool area that will seat another 10 guests – for a total of 26 guests.**

The number of tables in the premium area is:

**The "Turf Club" area for the OTB facility will have four 4-seat booths and a bar top that**

can accommodate 10 guests.

Overall square footage in the public general admission area is:

**900 square foot**

Overall square footage in the public premium admission area is:

**288 square foot**

Overall square footage available for "overflow" attendance:

**The overall casino at Sycuan has approximately 300,000 square foot of gambling facility. We have recently upgraded our Audio/Visual system and can now turn ALL 50 casino television monitors onto any TV channel or promotion through our SCALA system. This will allow us to convert the whole casino into a horse racing venue for big races. For example, when the Kentucky Derby is running we will turn all TVs to the race, thus allowing for all guests to enjoy the programming and not limiting them to viewing only in the OTB facility.**

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction:

**Our Fire Department has offered occupancy levels at 100**

The total number of parking spaces available in the combined parking areas can accommodate (number of standard sized automobiles):

**The Sycuan facility currently has an enclosed parking structure that houses 1922 vehicles. Also available are 285 Valet spots, and 2428 land lot parking spots.**

**Total useable spaces as of 10/15/08- 4,635**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking:

**None**

## **AGREEMENTS**

Fair applicants must attach the resolution of its governing body that determined that the conduct of simulcast wagering at its fair facility best serves the interest of the fair.

Attach a copy of the agreement between the applicant and the (simulcast) organizations(s) that sets forth therein the duties of the respective parties.

**On file**

Attach a copy of the written consent of the horsemen's organization(s), if applicable, consenting



# Fire Department

**Daniel J. Tucker**  
Chairman

**Ricci LaBrake**  
Vice Chairman

**Candelita Billingsly**  
Secretary

**Cody J. Martinez**  
Treasurer

**Pilar T.A. Pettiford**  
Council Member

**Orlando Sandoval**  
Council Member

**Deedra Tucker**  
Council Member

July 10, 2009

Sycuan Casino  
C/O: Ms. Wendy Reeve  
5469 Casino Way  
El Cajon, CA 92019

Re: Sycuan Casino Off Track Betting Facility

Dear Ms. Reeve,

The Sycuan Fire Department has conducted a Fire and Life Safety Inspection for the facilities at Sycuan Casino to be used for the Off Track Betting area on July 9, 2009.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue. Should you have any questions, please contact me at 619-994-0290.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the Off Track Betting area at Sycuan Casino, El Cajon, California.

Sincerely,

Mitch Villalpando  
Sycuan Fire Department

to the acceptance of wagers at the facility.

(Scotwinc)

## NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its simulcast facility until notified otherwise.

Notice is also given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the (simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulation of the Board.

Notice is also given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, approved concessionaire, or approved service contractor.

## DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreement, lease agreements and agreement with the (simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the approval except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, of the California B&P Code; and the Federal Indian Gaming Act; in order to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators authorized by the CHRB, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such authorization or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, Section 19480 of the California B&P Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Wendy Reeve  
Print Name

WR  
Signature

EA DIRECTOR OF CASINO OPERATIONS  
Print Title

7-9-2009  
Date

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY OF PETE'S TAVERN, SAN FRANCISCO, CALIFORNIA.

Pacific Racing Association (PRA) filed an application for license to operate a minisatellite wagering site on behalf of Pete's Tavern. PRA has acted as the coordinator during the application process. Petes's Tavern minisatellite application proposes to begin operations September 30, 2009 through September 30, 2011, for a period of up to two years.

- Pete's Tavern is a restaurant and bar located in San Francisco, California. The proposed wagering site will be located in the northern zone.
- Racetrack(s), satellite wagering facility or tribal casino that have a satellite wagering facility located with 20 miles of the applicant are:
  - Pacific Racing Association – 9.1 miles
  - San Mateo Event Center – 20.5 miles (Source Google Maps)

San Mateo Event Center has submitted an objection to the proposed Pete's Tavern, ministatellite wagering facility location. The primary objection is based on the distance between the two facilities. San Mateo Event Center's believes that the proposed minisatellite is within 20 miles of its existing facility. San Mateo Event Center has not granted a waiver consenting to the location within 20 miles of its facility. Its counsel represents that the distance must be measured using a straight line or "air miles" process.

Business and Professions Code section 19605(b) Notwithstanding any other provision of law, no satellite wagering facility, except a facility that is located at a track where live racing is conducted, shall be located within 20 miles of any existing satellite wagering facility or any track where a racing association conducts a live racing meeting. However, in the northern zone, a racing association or any existing satellite wagering facility may waive the prohibition contained in this subdivision and may consent to the location of another satellite wagering facility within 20 miles of the facility or track.

- Pete's Tavern has contracts/agreement with the following racing associations:

- California Authority of Racing Fairs
- Cal Expo Harness
- Cal State Fair and Exposition
- Del Mar Thoroughbred Club
- Hollywood Park Racing Association
- Los Alamitos Quarter Horse Racing Association
- Los Angeles County Fair Association
- Los Angeles Turf Club
- Oak Tree Racing Association
- Pacific Racing Association

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Northern California Off Track Wagering, Inc. (NCOTWINC).
- Applicant proposes to operate minisatellite wagering site Wednesday through Sunday and selected Mondays and holidays. Opening 10:00 a.m. - 12:00 a.m.
- Estimated number of pari-mutuel terminals machines available: Six. Seating Capacity is 60; the number of tables in the minisatellite wagering area is 15. Ten television monitors are planned.
- Staffing: Mutuel Supervisor, clerks to be determined by attendance; self service attendant to be determined as needed.

Specific information still needed to complete this application include:

1. Detailed scale plan of facility – requires redesign documents including specifics on location of minisatellite within the facility.
2. Clarification on how the designated area will be restricted to patrons 21 years and over.
3. Financial statements
4. Horsemen's approval
5. Certification by applicant – (requires completion) simulcast organization signature
6. Fire clearance
7. Personal History Record (requires completion)
8. Workers compensation (expires 9/2009 proposed opening)
9. Import out-of-state and/or out-of-country races (dates outstanding)
10. Security plan (requires completion)
11. Description of public address equipment

Mr. Robbert Hartman, Pacific Racing Association offered the following statement as an explanation for the outstanding items:

*“Professionals will need to be hired to determine the redesign of each space (office location, placement of televisions and tote machines, etc.). It only makes sense to wait for Board approval before taking on this expense. The location of the PA systems and the exact brand that will be purchased is also a detail that will be determined once these locations are approved. The fire clearance also needs to be done AFTER we remodel the location and install the new equipment . . .”*

#### RECOMMENDATION:

Staff recommends that the Board hear from the applicant.

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

State of California

California Horse Racing Board

Application for License to Operate a Minisatellite Wagering Facility

CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

**Part I:** To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

**Part II:** To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

**Part III:** To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I  
MINISATELLITE WAGERING APPLICANT INFORMATION**

**APPLICANT INFORMATION**

Name of applicant: <b>Pete's Tavern</b>			
The applicant is:		<input type="checkbox"/> Card Room/Gambling Establishment <input checked="" type="checkbox"/> Restaurant/Bar <input type="checkbox"/> Other Business Entity	
<input type="checkbox"/> Racing Association <input type="checkbox"/> Fair <input type="checkbox"/> Federally Recognized Indian Tribe			
Facility street address: <b>128 King Street</b>			
Mailing address (if different from above):			
E-mail address: <b>N/A</b>			
City: <b>San Francisco</b>	State: <b>CA</b>	Zip Code: <b>94107</b>	
Phone: <b>(415) 817-5040</b>	Fax: <b>N/A</b>	Website: <b>petestavernsf.com</b>	
County: <b>San Francisco</b>		Zone Location: North <input type="checkbox"/> South <input type="checkbox"/> Central <input type="checkbox"/>	
(To be completed by CHRB staff)			

**CONTACT PERSON**  
(Authorized Representative)

Name and title of the contact person: <b>Peter Osborne, Owner/Executive Chef</b>		
Business street address: <b>128 King Street</b>		
Mailing address (if different from above):		
City: <b>San Francisco</b>	State: <b>CA</b>	Zip Code: <b>94107</b>
County: <b>San Francisco</b>		
Phone: <b>(415) 817-5040</b>	E-mail: <b>N/A</b>	Fax: <b>N/A</b>

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

September 30, 2009 – September 30, 2011

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes  No

Do you currently operate a licensed gaming operation in California or another state? Yes  No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

County: \_\_\_\_\_

Zone Location:  
North  South  Central   
(To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes  No

If yes, provide the following if different from above:

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Racing Jurisdiction: \_\_\_\_\_

**BUSINESS STRUCTURE**

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input checked="" type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
---	---	--

Registered Business Name: **Pete's Tavern**

Fictitious Business Name: **N/A**

Address: **128 King Street**

E-mail Address: **N/A**

City: **San Francisco** State: **CA** Zip Code: **94107**

Phone: **(415) 817-5040** Fax: **N/A**

State where registered or Articles of Organization are filed: \_\_\_\_\_ Registry or File number: \_\_\_\_\_

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
Peter Osborne, Owner/Executive Chef		100%	

Are shares listed for public trade? Yes  No  If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.

**All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.**

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**Privately held company – Financial statement N/A**

**MANAGEMENT AND STAFF**  
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
Peter Osborne	Owner/Executive Chef

**ASSOCIATION CONTRACT/AGREEMENT**

Name(s) of racing association(s) with whom you intend to have a contract or agreement:

**Pacific Racing Association**

Addresses of racing association(s):

**1100 Eastshore Highway, Berkeley, CA 94710**

Racing association(s) phone numbers:

**510-559-7300**

Proposed contract dates: From: **9/30/09** To: **9/30/11** (inclusive).

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

**CHRB CERTIFICATION**

Application filed on: 5/27/09

30-day Notice Letter: 6/11/09

Fee received by: *AR*

Approved on:

Reviewed by: *AR*

License number issued:

**CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

Name

*PETER W. O'DONNANE*

Signature of Applicant Representative



Title

Date:

*5.20.09*

## INSTRUCTION SHEET FOR FULL DISCLOSURE STATEMENT

### Background Information and Ownership

By authority of Chapter 4, Division 8, sections 19420 and 19440 of the California Business and Professions Code; and to allow an evaluation of the competence, integrity, and character of potential licensees, all applicants for license as contractors, sub-contractors and all concessionaires applying for Board approval, including any person, corporation, trust, association, partnership or joint venture which submits an application for license or approval shall comply with the provisions set forth below. Please use a separate sheet of paper to supply the requested information.

#### I. BACKGROUND INFORMATION

- (A) PERSONAL INFORMATION - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5 percent or more:
- (1) The individual's full name and any previous names or aliases;
  - (2) the individual's date of birth;
  - (3) a physical description of the individual;
  - (4) the individual's business addresses and telephone numbers and
  - (5) a disclosure of employment, educational and military history for the past 20 years or since the age of 18 years.
- (A1) PERSONAL HISTORY - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in subsection (A) who is a director, officer, or partner in the application or an owner of an interest in the applicant of 5 percent or more.
- (B) RELATIONSHIP - The application documents must state, for each individual providing information under subsection (A) of this section, whether the individual is related to a member of the California Horse Racing Board or an employee of the Board. A half-relationship or step-relationship is considered to be a familial relationship for purposes of this section.
- (C) CORPORATIONS - If the applicant is a corporation, the application documents must state:
- (1) The state in which the applicant is incorporated and
  - (2) the name and address of the applicant's agent for service of process in California.
- (D) INDICTMENTS OR CONVICTIONS

If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.

#### II. OWNERSHIP

- (A) IDENTIFICATION AND LOCATION - The application documents must include:
- (1) A list of all names used by the applicant; and
  - (2) the name of the agent and the address and telephone number of the office of the applicant for service of process in California.
- (B) BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

include an organizational chart.

- (C) ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the Board, the application documents must state:
- (1) The name of each individual who was an organizer or promoter of the applicant;
  - (2) the nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
  - (3) the nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.
- (D) ORGANIZATIONAL DOCUMENTS
- (1) If the applicant is a corporation, the application documents must include:
    - (a) A statement of when and in what state the corporation was organized;
    - (b) a certified copy of the articles of incorporation and by-laws of the applicant;
    - (c) a statement and documentation of whether the corporation has been reorganized or reincorporated during the five year period preceding the date on which the application documents are submitted to the Board; and
    - (d) a statement and documentation of whether the corporation has filed restated articles of incorporation.
  - (2) If the applicant is an unincorporated business association, the application documents must include:
    - (a) A certified copy of each organizational document for the applicant, including any partnership agreement; and
    - (b) a description of any oral agreements involving the organization of the partnership.
- (E) CAPITAL STOCK
- (1) If the applicant is authorized to issue capital stock, the application documents must include the information required by this section.
  - (2) The applicant must state the classes of stock authorized and the total shares of each class authorized. The applicant must state, for each class of stock:
    - (a) The par value, if any;
    - (b) the voting rights;
    - (c) the current rate of dividend; and
    - (d) the number of shares outstanding and the market value of each share.
  - (3) The application documents must list the name and address of each person who owns, of record or beneficially, at least 5 percent of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.
  - (4) The application documents must include a certified copy of each voting trust or voting agreement in which at least 5 percent of the capital stock is held and must state:
    - (a) The name and address of each stockholder participating in the trust or agreement;
    - (b) the class of stock involved; and
    - (c) the total number of shares held by the trust or agreement.

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

**(F) DIRECTORS, OFFICERS, AND PARTNERS**

- (1) If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the Board, as a director, officer, or partner of the applicant.
- (2) The list must state, for each individual listed under subsection (1) of this section:
  - (a) The individual's name and business address;
  - (b) each position or office of the applicant held by the individual;
  - (c) the individual's principal occupation during the five-year period preceding the date on which the application documents are submitted to the Board; and
  - (d) the nature and extent of any ownership interest that the individual has in the applicant.
- (3) Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under subsection (1) of this section.

**(G) CONTROLLING ENTITY**

- (1) The application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
- (2) If a non-individual entity owns an interest of 5 percent or more in the applicant, the application documents must include the information required by subsection (1) of this section as it relates to the non-individual entity.
- (3) The application documents must include information required by subsection (2) of this section for each non-individual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

**(H) OUTSIDE INTERESTS AND LICENSE HISTORY**

- (1) The application must state whether the applicant or a director, officer, or partner of the applicant;
  - (a) Has ever held an ownership interest in a licensee of the Board; or
  - (b) is currently engaged in the business of racing in another state.

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

Los Alamitos Racecourse, 4961 Katella Ave., Los Alamitos, CA 90720

Telephone:  
(714) 820-2800

Fax number: (714) 820-2820

Racetrack name:  
Los Alamitos Racecourse

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Edward C. Allred

Title

Owner, President and CEO

Signature of association representative

Date:

6/18/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

### CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

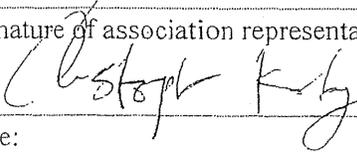
California Authority of Racing Fairs, 1776 Tribute Road Suite 205, Sacramento, CA 95815

Telephone:  
 (916) 263-3348

Fax number: (916) 263-3341

Racetrack name:  
 C.A.R.F.

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Christopher Korby	
Title	Date:
Executive Director	April 29, 2009

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

## PART II

~~CONFIDENTIAL INFORMATION  
 One copy of this form to be completed by each applicant association~~

Name and mailing address of association:

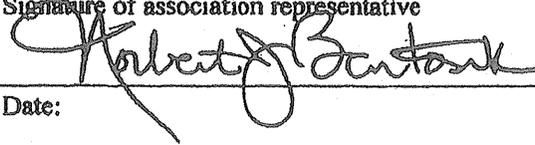
Cal Expo Harness, 1600 Exposition Blvd., Sacramento, CA 95815

Telephone:  
(916) 263-3000

Fax number: (916) 263-3230

Racetrack name:  
Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Norbert J. Bartosik	Signature of association representative 
Title General Manager/CEO	Date: 6/18/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

## PART II

**CONTRACTED ASSOCIATION INFORMATION**  
 One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

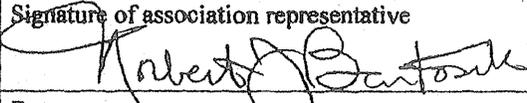
California State Fair & Exposition, 1600 Exposition Blvd., Sacramento, CA 95815

Telephone:  
 (916) 263-3000

Fax number: (916) 263-3230

Racetrack name:  
 Cal Expo

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Norbert J. Bartosik	
Title	Date:
General Manager/CEO	May 23, 2009

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

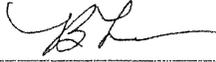
Hollywood Park Racing Association, 1050 S. Prairie Ave., Inglewood, CA 90301

Telephone:  
(310) 419-1520

Fax number: (310) 671-4460

Racetrack name:  
Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
F. Jack Liebau	<i>Bernie Thuyman</i> 
Title	Date:
President	<i>Vice President</i> <i>4/29/09</i>

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles Turf Club, Inc., 285 W. Huntington Drive, Arcadia, CA 91007

Telephone:

(626) 574-7223

Fax number: (626) 254-1351

Racetrack name:

Santa Anita Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Ron Charles

Title

President & CEO

Signature of association representative

Date:

6-9-09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

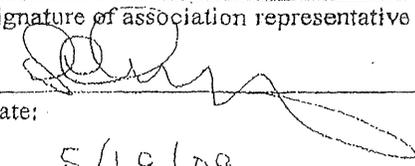
Oak Tree Racing Association, 285 W. Huntington Drive, Arcadia, CA 91007

Telephone:  
(626) 574-6435

Fax number: (626) 447-2940

Racetrack name:  
Oak Tree at Santa Anita

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name Sherwood C. Chillingworth	Signature of association representative 
Title Executive Director <i>vice President &amp; Director</i>	Date: 5/19/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

~~CONTRACTED ASSOCIATION INFORMATION~~  
 One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

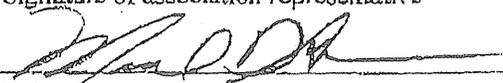
Los Angeles County Fair Association, 1101 W. McKinley Ave., Pomona, CA 91768

Telephone:  
 (909) 865-4203

Fax number: (909) 865-2940

Racetrack name:  
 Fairplex Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Mike Seder	
Title CFO	Date: 5/19/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

1100 Eastshore Highway Berkeley, CA 94710

Racing associations' phone numbers

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Del Mar Thoroughbred Club, 2260 Jimmy Durante Blvd., Del Mar, CA 92014

Telephone:  
(858) 792-4221

Fax number: (858) 794-1007

Racetrack name:  
Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Craig Fravel	 E.V.P
Title	Date:
Executive Vice President	5/12/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

~~CONTRACTED ASSOCIATION INFORMATION~~

~~One copy of Part II shall be completed by each contracted association~~

Name and mailing address of association:

Northern California Off-Track Wagering, Inc., 1100 Eastshore Highway, Berkeley, CA 94710

Telephone:  
 (510) 559-7300

Fax number: (510) 559-7464

Racetrack name:  
 NCOTWINC

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Peter W. Tunney

Title

Vice President

Signature of association representative

Date:

4/29/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

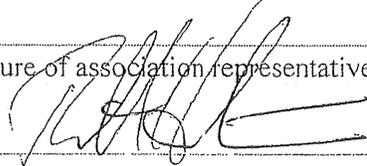
Pacific Racing Association, 1100 Eastshore Highway, Berkeley, CA 94710

Telephone:  
(510) 559-7300

Fax number: (510) 559-7464

Racetrack name:  
Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Robert Hartman	
Title	Date:
General Manager	4/29/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

**PART III**  
**SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

---

**I. OPERATION OF THE MINISATELLITE WAGERING FACILITY**

---

Simulcast organization engaged by the association to conduct simulcast wagering:

**Northern California Off Track Wagering, Inc.**

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **Attached.**

Submit a copy of each horsemen's written approvals. **Pending.**

Hours for operation of the facility:

**10:00 a.m. – 12:00 a.m.**

**Wednesday through Sunday and Holiday Mondays.**

Hours for operation of the minisatellite wagering site: **See Above**

---

Time periods during the calendar year the facility **will not** be utilized as a minisatellite wagering facility (explain why): **N/A**

---

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): **Pacific Racing Association, California Authority of Racing Fairs, Los Angeles Turf Club, Hollywood Park Racing Association, Del Mar Thoroughbred Club, Oak Tree Racing Association, Cal Expo Harness Association, Los Alamitos Quarter Horse Racing Association.**

---

**See Above**

---

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**Pacific Racing Association and California Authority of Racing Fairs – Full cards for the term of the agreement. Import out-of-state and/or out-of-country number of races TBD (see attached list)**

---

Estimated number of pari-mutuel terminals machines available:

**Six (6)**

# Golden Gate Fields

## AGREEMENT PERMITTING MINISATELLITE USE OF LIVE AUDIOVISUAL SIGNAL

Pacific Racing Association and Northern California Off-Track Wagering, Inc (NCOTWINC) will permit the minisatellite wagering facility known as "Pete's Tavern" located at 128 King Street, San Francisco, CA 94107 to use Pacific Racing Association's live audiovisual signal for wagering purposes and providing its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

  
\_\_\_\_\_  
Robert Hartman  
Pacific Racing Association  
General Manager

5/19/09  
Date

Patrice Van Dussen  
Patrice VanDussen  
Northern California Off-Track Wagering, Inc.  
Controller

5-18-09  
Date

**Attachment to Pete's Tavern Minisatellite License Application**

Part III- California Racing Associations

**California Racing Associations**

Cal Expo Harness 1600 Exposition Blvd. Sacramento, CA 95815 (916) 263-3000	CARF 1776 Tribute Road, Suite 205 Sacramento, CA 95815 (916) 927-7223
Del Mar Thoroughbred Club 2260 Jimmy Durante Blvd. Del Mar, CA 92014 (858) 755-1141	Fairplex 1101 W. McKinley Ave. Pomona, CA 91768 (909) 426-7600
Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706 (510) 559-7300	Hollywood Park 1050 S. Prairie Ave. Inglewood, CA 90301 (310) 419-1500
Los Alamitos 4961 Katella Ave. Los Alamitos, CA 90720 (714) 820-2800	Los Angeles Turf Club (Santa Anita) 285 W. Huntington Ave. Arcadia, CA 91007 (626) 574-7223

**Attachment to Pete's Tavern Minisatellite License Application**

Part III – Import/Export Races

**Out-of-State & International Imports - Full or Partial Card TBD**

<b>OUT-OF-STATE TRACK</b>
ARLINGTON
AQUEDUCT
CALDER RACECOURSE
CHURCHILL DOWNS
FAIRGROUNDS
GULFSTREAM
HAWTHORNE RACECOURSE
KEENELAND
LAUREL
LONE STAR PARK
LOUISIANA DOWNS
MEADOWLANDS
NORTHLANDS PARK
NYRA
PHILADELPHIA PARK
PIMLICO
PORTLAND MEADOWS
REMINGTON PARK
RIVER DOWNS
STAMPEDE PARK
THISTLEDOWN
TURF PARADISE
TURFWAY PARK
<b>INTERNATIONAL TRACK</b>
FORT ERIE
HASTINGS
SOUTH AMERICA
WOODBINE

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

**NCOTWINC Staffing: Mutuel Supervisor; Clerks to be determined by attendance; Self Service Attendant to be determined as needed.**

## II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s)  
 must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
Bryan Wayte, Mutuel Manager	#289390 expiration date 09/09

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):  
**Attached with new certificate to be provided once current certificate expires in September 2009.**

Attach a fire clearance from the fire authority having jurisdiction. **City license to serve as fire clearance. Final fire clearance to be issued upon completion of necessary remodeling required for minisatellite.**

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. **See attachment.**

Is there a backup emergency plan for power failure? Yes  No   
 If yes, describe. . NCOTWINC - UPS Power Supply (See attachment).

## III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. **See attachment.**

**NOTICE TO APPLICANT.** Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

## IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **Full meals served; full bar services**

The seating capacity in the minisatellite wagering facility is: <b>60</b>	The number of tables in the minisatellite wagering area is: <b>15</b>
---	---

Overall square footage in the minisatellite wagering area is: **~650 square feet**

Attach a photograph of the minisatellite wagering area. **See attached.**

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: **None**

## WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY POLICY INFORMATION PAGE

Policy Number: -----  
Renewal of Policy Number: -----

Producer:  
CALENDER-ROBINSON CO.

1. Name and mailing address of the Insured:

GOLDEN BEAR RESTAURANT CO II LLC      FEIN: 42-1729 022  
PETE S TAVERN (DBA)  
128 KING ST BSMT  
SAN FRANCISCO CA 94107-4909

Other workplaces not shown above:

Insured is: Limited Liability Company

2. The policy period is from **September 01, 2008** To **September 01, 2009** 12:01 a.m. standard time at the insured's mailing address.

3. A. Workers' Compensation Insurance: Part One of the policy applies to the Workers' Compensation Law of the states listed here:

CA

B. Employers' Liability Insurance: Part Two of the policy applies to work in each state listed in item 3A. The limits of our liability under Part Two are:

Bodily Injury by Accident	\$1,000,000	each accident
Bodily Injury by Disease	\$1,000,000	each employee
Bodily Injury by Disease	\$1,000,000	policy limit

C. Other States Insurance: Part Three applies to the states, if any, listed here:

None

D. This policy includes these endorsements and schedules:

1. WC000422A, 2. WC203, 3. WC040407, 4. WC300B, 5. WC040360A, 6. WC320, 7. WC040601A

4. The premium for this policy will be determined by our Manual of Rules, Classifications, Rates and Rating Plans. All information required below is subject to verification and change by audit.

Code No.	Classification	Premium Basis Total Estimated Annual Remuneration	Rate Per \$100 of Remuneration	Estimated Annual Premium
9740	See extension of information page (WC012)			\$9,114
	Terrorism			\$154
	CA Surcharge (CIGA Surcharge) 2.0000000%			\$185
	DIR User Funded Assessments			\$140
Deposit Premium	\$1,298 + Assessment \$325 = Total	\$1,623	Minimum Premium \$1,000	Total Estimated Annual Premium \$9,268
If indicated, interim adjustments of premium shall be made: Monthly			Non-participating	

Code Number: 0004008107

12L

Countersigned by:

*Melinda A. Kreeger*

Producing Office: San Francisco-12

Date: September 03, 2008

Form No. WC011

10/93

DIRECT BILL

WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY POLICY  
EXTENSION OF INFORMATION PAGE

Policy Number: 173246-03

Effective Date: September 01, 2008

Expiration Date: September 01, 2009

4. Premium

Code No.	Classification	Premium Basis Total Estimated Annual Remuneration	Rate Per \$100 of Remuneration	Estimated Annual Premium
9079 (1)	RESTAURANTS OR TAVERNS--ALL EMPLOYEES-- INCLUDING MUSICIANS AND ENTERTAINERS--THIS CLASSIFICATION SHALL NOT BE USED FOR THE DIVISION OF PAYROLL IN CONNECTION WITH ANY OTHER CLASSIFICATION (OTHER THAN THE STANDARD EXCEPTIONS OR GENERAL EXCLUSIONS) UNLESS THE OPERATIONS DESCRIBED BY CLASSIFICATION 9079(1) CONSTITUTE A SEPARATE AND DISTINCT ENTERPRISE HAVING NO CONNECTION WITH THE OPERATIONS COVERED BY ANY OTHER APPLICABLE CLASSIFICATION.	\$498,634	\$3.39	\$16,904
8810 (1)	CLERICAL OFFICE EMPLOYEES--N.O.C.	\$14,959	\$0.55	\$82
	Total Manual Premium			\$16,986
	Experience Modification 73% BN(3841736)			(\$4,586)
	Schedule credit		26.50%	(\$3,286)
	Total Adjusted State Act Premium			\$9,114

**Attachment to Pete's Tavern Minisatellite License Application**

Part III- Backup Emergency Plan for Power Failure

Power / Backup Provisions:

Power interruption back up for the mini-satellites will be provided in the form of APC (American Power Conversion) UPS (Uninterrupted Power Supply) units in the event of power surges or power interruptions. The APC Smart UPS systems provide high performance power protection with scalable runtime for space-constrained server rooms, and voice and data networks. They are designed to provide extended coverage for businesses where runtime requirements are in hours, not in minutes. The Smart-UPS RT has the ability to be configured with matching battery packs to comply with aggressive runtime demands of business-critical systems. The included Power Chute management software provides unattended graceful shutdown of network operating systems.

**Attachment to Pete's Tavern Minisatellite License Application**

Part III- Security Plan

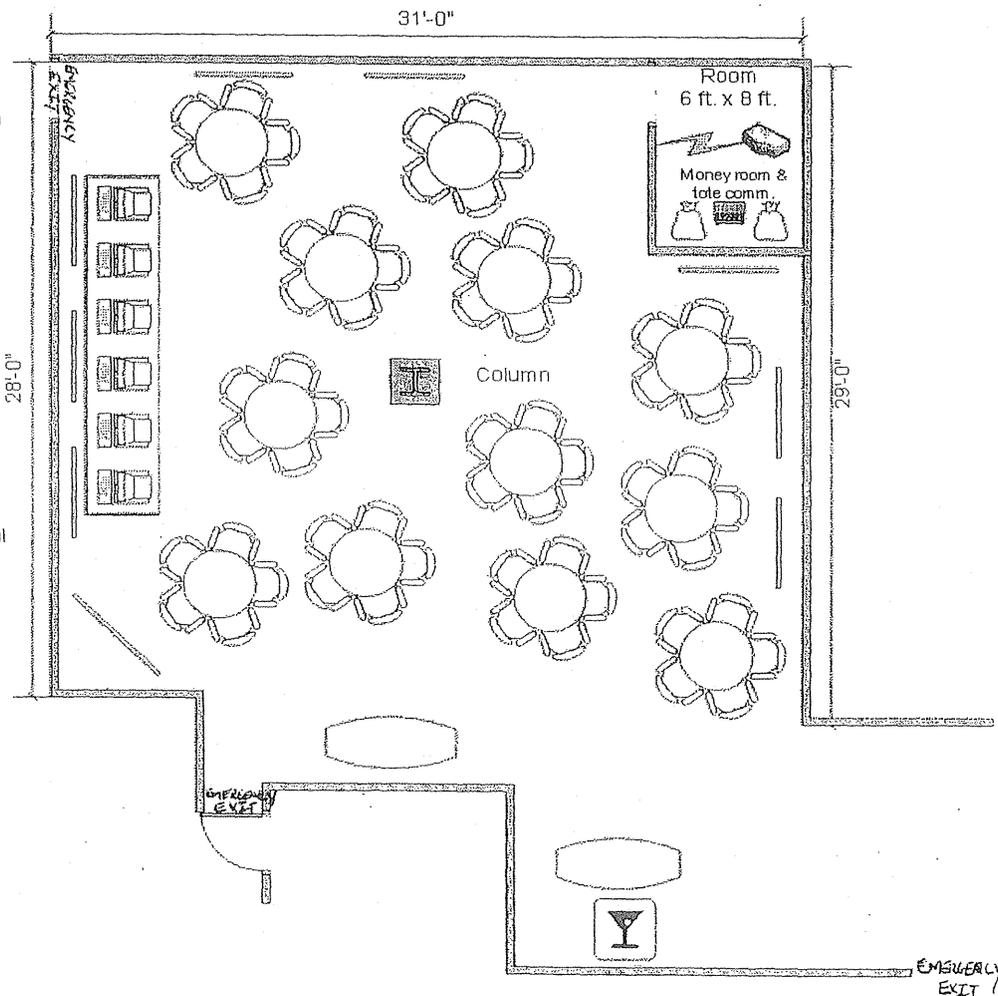
Money Room/Security:

We intend to locate the money room in a 6' X 8' room located in close proximity to the teller line, which will include shelf space for counting and equipment, and power outlets to run the equipment. The basic equipment will include a Jet Scan currency counting machine, a ten key calculator, a phone, a fax machine and basic money room supplies (bill straps, rubber bands, pens, paper and forms). The room will contain a safe that has burglar protection to be Underwriters Laboratories approved TL 30 tool resistant, that is fire tested at 1800 degrees for 2 hours and UL approved. The safe doors will be alarmed with door contacts and vibration sensors, both set by individual keypads. The money room will have motion detectors, doors that have a door contact set by keypad that is tied to the alarm company. They also will have a silent panic code tied to alarm company. The doors will have security bolt type locks. Only the mini-satellite manager, and host track head money room manager know the alarm codes and safe combination. Only the mini-satellite manager will control the keys. Security personnel will be provided by the mini-satellite facility and will be present during the hours of operation. Minisatellite area is located downstairs from main bar/restaurant and will include appropriate signage and staff will monitor the age of patrons.

# PETE'S TAVERN FLOOR PLAN MINISATELLITE LOCATION - SF

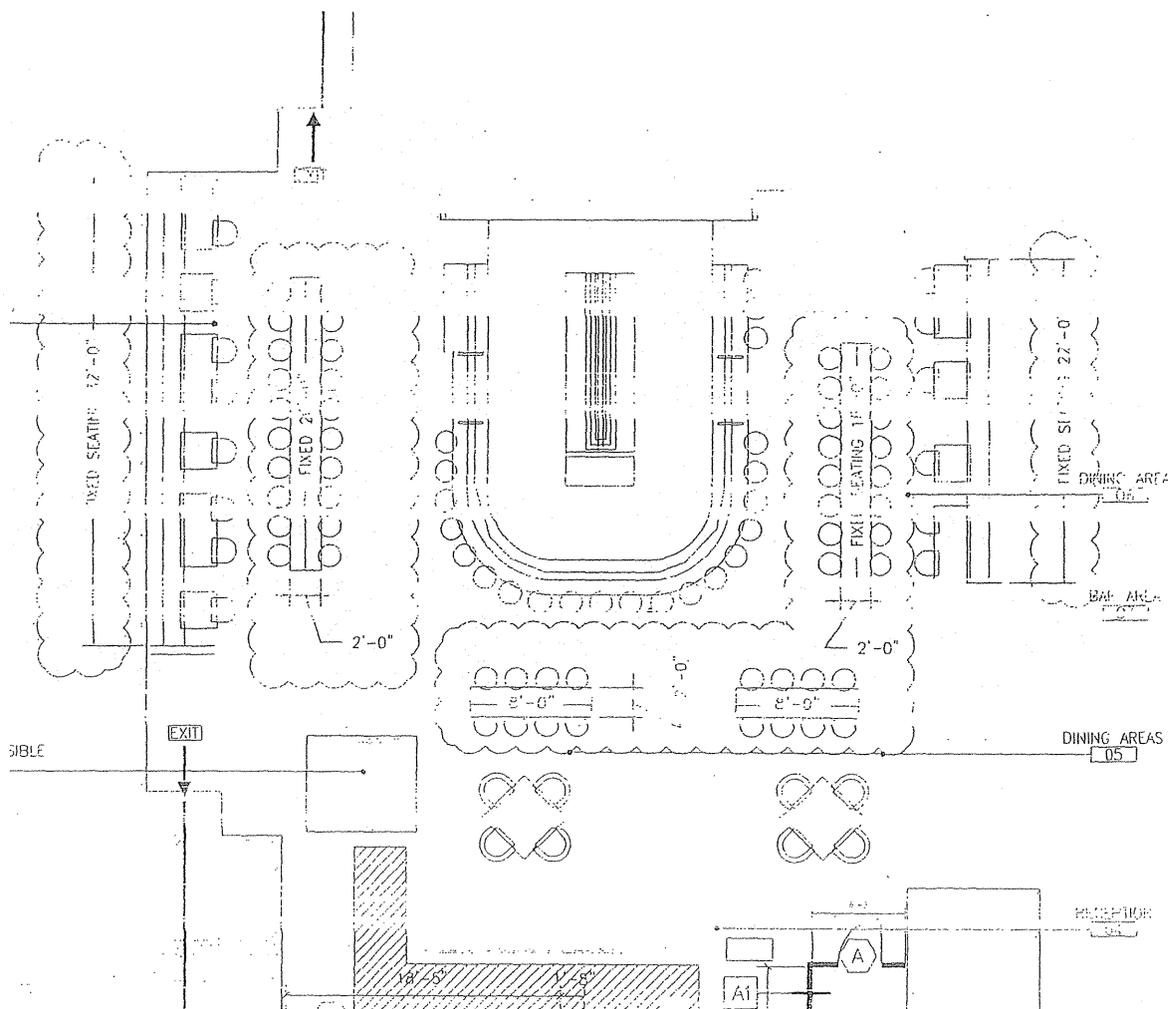
Drawing by Thomas Keiso

Large open room with visible walls and high ceilings excellent for several large, 50" or larger, flat screens. Nine are illustrated.

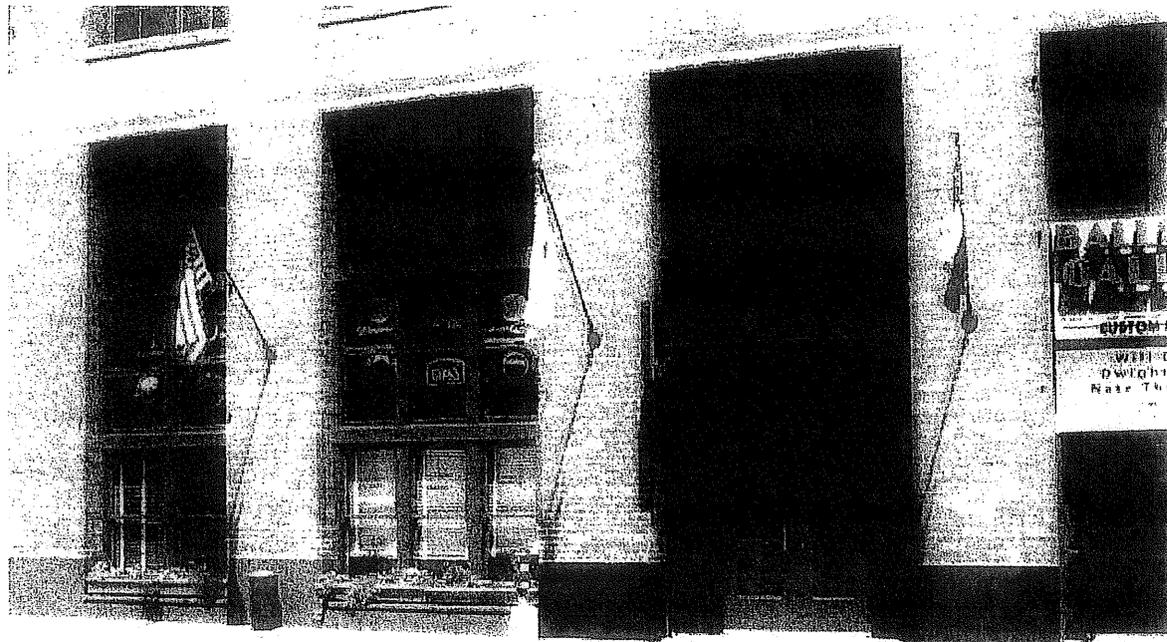








# PETE'S TAVERN SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

# PETE'S TAVERN SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

# PETE'S TAVERN SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

# PETE'S TAVERN SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHR-88 (New 11/08)

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **None**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **Events held at A T & T Park would affect parking in the vicinity.**

#### V. EQUIPMENT PROVIDED BY THE MINISATILLITE WAGERING FACILITY

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: **Ten (10) television monitors are planned**

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: **Portable PA system will be purchased to make necessary announcements.**

#### VI. ADVERTISING AND PATRON DEVELOPMENT

Describe any advertising or promotional plans:

**Work with Host track to develop joint synergistic marketing plan – See attached.**

Describe any improvements to the facility that will directly benefit minisatellite wagering:

**Installation of a dedicated Mutuel line and Mutuel office as well as installation of new televisions.**

**NOTICE TO APPLICANT:** Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

#### VII. ADMISSIONS, CHARGES AND SERVICE FEES

Complete if applicable and note N/A if not

Admissions charges, if any, are:	<b>None</b>
Parking charges, if any, are:	<b>None</b>
Program charges, if any, are:	<b>\$2.25</b>
Seating charges, if any, are:	<b>None</b>

#### VIII. RENEWAL

Complete this section only if renewing your license.

Is this a renewal application: Yes  No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes  No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes  No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

## AGREEMENTS

Attach copies of **all applicable county, city or agency agreements** that may affect the minisatellite wagering facility.

## NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

## DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

CERTIFICATION BY APPLICANT

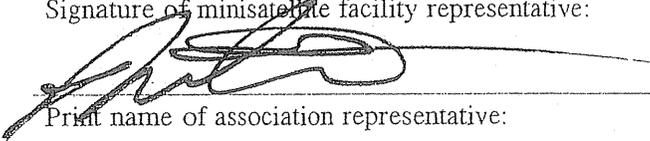
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:

PETER V. OSBORNE

Date:

Signature of minisatellite facility representative:



Date:

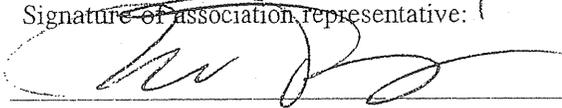
5.20.09

Print name of association representative:

PETER TUNNEY

Date:

Signature of association representative:



Date:

5/17/09

Print name of simulcast organization representative:

Date:

Signature of simulcast organization representative:

Date:

# **MINI SATELLITE MARKETING PLAN: DOWNTOWN SAN FRANCISCO LOCATIONS**

Prepared by:  
Kristin Finkel  
June 2009

## TARGET AUDIENCE

The tactical plan will place an emphasis on identifying prospects within the general target audiences identified below.

### Demographic Characteristics

- Men, Age 25+
- San Francisco and Peninsula Residents
- Asian Population

### Psychographic Characteristics

- Horse racing fans
- Casino Gamblers
- Poker players
- Sports Fans

## MARKETING OBJECTIVES

- Drive Handle and Attendance.
- Introduce new market to horse racings through smaller, more intimate facility that is welcoming and can educate new fans. Mini satellites can serves as an entry point to help gain trial at the live venue.
- Reintroduce customers that were displaced by the closure of Bay Meadows to the mini satellite concept.
- Expand the Marketing database to restaurant/bar customers.

## OPPORTUNITIES

- Promote the fact that this is the first time that there is legal wagering in downtown San Francisco (other than lottery).
- Promote the fact that admission is free to these locations.
- Assemble fan friendly staff to welcome new fans and answer questions regarding horse racing.
- Market to this new audience that horse racing is right in their own backyard.

## MARKETING STRATEGIES

- Leverage existing advertising dollars by piggybacking on existing advertising from Golden Gate Fields and CARF to promote the new mini satellites.
- Utilize online networking forums to create interest in these new facilities.
- Work with the local business community to introduce new fans to the mini satellite experience. Introduce Group Sales efforts.
- Effectively utilize television advertising to introduce the new mini satellites to the market. Promote specific special event days with targeted radio and print advertising.
- Send out direct mail using the THOROUGHBREDS mailing list to targeted zip codes in San Francisco and the Peninsula.
- Provide a presence within the community at local fairs and festivals, including the North Beach Festival.

## ADVERTISING PLAN

### Television

**The mini satellites will piggyback on the \$330,000 Golden Gate Fields television advertising spend that is planned from September 2009 to December 2009.**

Broadcast: Develop key relationships with specific over-the-air stations to gain value-added promotions and bonus commercial inventory. By partnering a few key stations, Golden Gate Fields can maximize the limited dollars.

#### Comcast Cable

Local cable is the most cost efficient general market medium currently available. Local cable allows the advertiser to pinpoint the geographic location of the spots (through pre-designated zones) and choose specific channels targeted to your audience. For example, Golden Gate Fields can target sports fans through channels such as Fox Sports Bay Area and ESPN. Spots can be increased in the San Francisco market to help promote the mini satellites.

Nightly Replay Show: Golden Gate Fields must strongly promote the nightly replay show as it serves as a half-hour infomercial for the race track. The show's programming has been upgraded to offer "better television" for fans. It is important for Golden Gate Fields to produce tv spots in a timely manner in order to make best use of the free air time afforded by the nightly replay show. The show averages between 10,000-20,000 viewers on a nightly basis. It is the ideal way to inform existing racing fans about the mini satellite opportunity.

## Radio

**The mini satellites will piggyback on the \$65,000 Golden Gate Fields radio advertising spend that is planned from September 2009 to December 2009.**

### Station Mix

Given the added dollars spent on television, the radio spots will be limited to one or two stations. KNBR 680 and KTCT 1050 are the two key sports stations in the market. It will be key to develop a relationship with these stations to maximize advertising dollars.

### "At the Track with Sam Spear"

Every Saturday morning from 7:00am to 8:00am, Sam Spear hosts a racing show on the Bay Area's #1 sports station. Golden Gate Fields needs to utilize this show to promote the weekend events. The owners of the mini satellites will be featured to explain this new opportunity.

## Print

**The mini satellites will piggyback on the \$70,000 Golden Gate Fields print advertising spend that is planned from September 2009 to December 2009.**

Based on an analysis of the marketing research, the San Francisco Chronicle has the best geographic footprint which matches where the new mini satellites will be located. It is also the most cost effective considering the half- page specials on Mondays and Tuesdays at a discounted rate.

## Direct Mail

**The mini satellites will piggyback on the \$150,000 Golden Gate Fields direct mail spend that is planned from September 2009 to December 2009.**

Over 100,000 direct mails will be sent which will feature the new mini satellites. Additional direct mail pieces can be directed to San Francisco residents with special incentives to visit the new mini satellite locations.

## Internet / E-mail

The goldengatefields.com website is a portal for racing fans to go to for the latest horse racing information. Use the GGF e-mail list as a primary form of communication with racing fans to inform them of the new mini satellites.

## Local Area Marketing

Introduce the mini satellites to the local business community as a place to spend the lunch hour or after-work happy hour. Work with local hotels to be sure that their guests know that horse racing is now in downtown San Francisco.

## STAFF ANALYSIS

July 23, 2009

Issue: APPLICATION FOR LICENSE TO OPERATE A MINISATELLITE WAGERING FACILITY OF SOTTO MARE OYSTERIA, SAN FRANCISCO, CALIFORNIA.

Pacific Racing Association (PRA) filed an application for license to operate a minisatellite wagering site on behalf of Sotto Mare Oysteria. PRA has acted as the coordinator during the application process. Sotto Mare Oysteria minisatellite application proposes to begin operations September 30, 2009 through September 30, 2011, for a period of up to two years.

- Sotto Mare Oysteria is a restaurant and bar located in San Francisco, California. The proposed wagering site will be located in the northern zone.
- Racetrack(s), satellite wagering facility or tribal casino that have a satellite wagering facility located within 20 miles of the applicant are:
  - Pacific Racing Association – 13.3 miles
  - San Mateo Event Center – 22.2 miles (Source Google Maps)

San Mateo Event Center has submitted an objection to the proposed Sotto Mare Oysteria, minisatellite wagering facility location. The primary objection is based on the distance between the two facilities. San Mateo Event Center believes that the proposed minisatellite is within 20 miles of its existing facility. San Mateo Event Center has not granted a waiver consenting to the location within 20 miles of its facility. Its counsel represents that the distance must be measured using a straight line or “air miles” process.

Business and Professions Code section 19605(b) Notwithstanding any other provision of law, no satellite wagering facility, except a facility that is located at a track where live racing is conducted, shall be located within 20 miles of any existing satellite wagering facility or any track where a racing association conducts a live racing meeting. However, in the northern zone, a racing association or any existing satellite wagering facility may waive the prohibition contained in this subdivision and may consent to the location of another satellite wagering facility within 20 miles of the facility or track.

- Sotto Mare Oysteria has contracts/agreement with the following racing associations:

- California Authority of Racing Fairs
- Cal Expo Harness
- Cal State Fair and Exposition
- Del Mar Thoroughbred Club
- Hollywood Park Racing Association
- Los Alamitos Quarter Horse Racing Association
- Los Angeles County Fair Association
- Los Angeles Turf Club
- Oak Tree Racing Association
- Pacific Racing Association

- The simulcast organization engaged by the contracted association(s) to conduct simulcast wagering is Northern California Off Track Wagering, Inc. (NCOTWINC).
- Applicant proposes to operate minisatellite wagering site Wednesday through Sunday and selected Mondays and holidays. Opening 10:00 a.m. - 12:00 a.m.
- Estimated number of pari-mutuel terminals machines available: Five. Seating Capacity is 48; the number of tables in the minisatellite wagering area is 12. Eight television monitors are planned.
- Staffing: Mutuel Supervisor, clerks to be determined by attendance; self service attendant to be determined as needed.

Specific information still needed to complete this application include:

1. Detailed scale plan of facility – requires redesign documents including specifics on location of minisatellite within the facility.
2. Clarification on how the designated area will be restricted to patrons 21 years and over.
3. Financial statements
4. Horsemen's approval
5. Certification by applicant – (requires completion) simulcast organization signature
6. Fire clearance
7. Import out-of-state and/or out-of-country races (dates outstanding)
8. Security plan (requires completion)
9. Description of public address equipment

Mr. Robbert Hartman, Pacific Racing Association offered the following statement as an explanation for the outstanding items:

*“Professionals will need to be hired to determine the redesign of each space (office location, placement of televisions and tote machines, etc.). It only makes sense to wait for Board approval before taking on this expense. The location of the PA systems and the exact brand that will be purchased is also a detail that will be determined once these locations are approved. The fire clearance also needs to be done AFTER we remodel the location and install the new equipment . . .”*

#### RECOMMENDATION:

Staff recommends that the Board hear from the applicant.

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

State of California

California Horse Racing Board

Application for License to Operate a Minisatellite Wagering Facility

CHRB-88 (New 11/08)

Application is hereby made to the California Horse Racing Board (CHRB/Board) for a license to operate a minisatellite wagering facility in connection with a horseracing meeting and/or parimutuel wagering in accordance with the Business and Professions Code, Chapter 4, Division 8 (Horse Racing Law) and the California Code of Regulations, Title 4, Division 4 (Rules and Regulations of the California Horse Racing Board).

**Part I:** To be completed by applicant seeking to operate a minisatellite wagering facility pursuant to Business and Professions Code section 19605.25.

**Part II:** To be completed by association(s) that has/have reached an agreement with the applicant to conduct minisatellite wagering.

**Part III:** To be completed by simulcast organization that has reached an agreement to provide services necessary for the conduct of minisatellite wagering pursuant to Business and Professions Code sections 19605.25 & 19605.3.

**PART I  
MINISATELLITE WAGERING APPLICANT INFORMATION**

**APPLICANT INFORMATION**

Name of applicant: **Sotto Mare Oysteria**

The applicant is:

Racing Association

Fair

Federally Recognized Indian Tribe

Card Room/Gambling Establishment

Restaurant/Bar

Other Business Entity

Facility street address: **552 Green Street**

Mailing address (if different from above):

E-mail address: **N/A**

City: **San Francisco**

State: **CA**

Zip Code: **94133**

Phone: **(415) 398-3181**

Fax: **N/A**

Website: **sottomaresf.com**

County: **San Francisco**

Zone Location:

North  South  Central

(To be completed by CHRB staff)

**CONTACT PERSON**  
(Authorized Representative)

Name and title of the contact person:

**James Tarcisio Fiorucci, Owner**

Business street address: **552 Green Street**

Mailing address (if different from above):

City: **San Francisco**

State: **CA**

Zip Code: **94133**

County: **San Francisco**

Phone: **(415) 398-3181**

E-mail: **N/A**

Fax: **N/A**

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Dates during which the applicant proposes to operate as a minisatellite wagering facility:

September 30, 2009 – September 30, 2011

NOTICE TO APPLICANT: If approved for license, the term of license shall not exceed two years pursuant to Business and Professions Code section 19605.25 (h).

Have you previously operated a licensed gaming operation in California or another state? Yes  No

Do you currently operate a licensed gaming operation in California or another state? Yes  No

If yes to either question above, provide the following:

Valid licensure period: Begin Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

County: \_\_\_\_\_

Zone Location:  
North  South  Central   
(To be completed by CHRB staff)

Have you had a gaming operation license that has been revoked or suspended. Yes  No

If yes, provide the following if different from above:

Facility name and address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Racing Jurisdiction: \_\_\_\_\_

**BUSINESS STRUCTURE**

<input type="checkbox"/> Corporation <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Sub-S <input type="checkbox"/> Sub-C <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership & Joint Venture <input checked="" type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other If you have listed your company as other please identify your company structure:
---	---	--

Registered Business Name: **Sotto Mare Oysteria**

Fictitious Business Name: **Gigi's Sotto Mare**

Address: **552 Green Street**

E-mail Address: **N/A**

City: **San Francisco** State: **CA** Zip Code: **94133**

Phone: **(415) 398-3181** Fax: **N/A**

State where registered or Articles of Organization are filed: \_\_\_\_\_ Registry or File number: \_\_\_\_\_

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

Name of all officers, directors, and managers. For officers, directors, and managers that have no ownership, enter "0%" in the ownership column. For members of a Limited Liability Company, list membership interest in ownership column. For partners, following the individual's name indicate whether general or limited partners. (true names)

Entity/Individual Name and Title	Entity's Business Address/ Individual's Address of Record	Ownership% (if any)	Compensation Agreement
<b>James Tarcisio Fiorucci</b>		<b>100%</b>	

Are shares listed for public trade?  
 Yes  No  If yes on what stock exchange?

If more than 50 percent of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity.  
**All entities that own 5 percent or more must fulfill the instructions for Full Disclosure Statement.**

Attach the most recent annual financial statement for the applicant, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.  
**Privately held company – Financial statement N/A**

**MANAGEMENT AND STAFF**  
 (Minisatellite Wagering Facility)

Name and title of the managing officer(s) and/or general manager(s) of the business.

Name	Title
<b>James Tarcisio Fiorucci</b>	<b>Owner</b>

**ASSOCIATION CONTRACT/AGREEMENT**

Name(s) of racing association(s) with whom you intend to have a contract or agreement:  
**Pacific Racing Association**

Addresses of racing association(s):  
**1100 Eastshore Highway, Berkeley, CA 94710**

Racing association(s) phone numbers:  
**510-559-7300**

Proposed contract dates: From: **9/30/09** To: **9/30/11** (inclusive).

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

Attach a certified check payable to the Treasurer of the State of California in the amount of \$500 for the nonrefundable minisatellite application fee.

CHRB CERTIFICATION

Application filed on: 5/20/09

30-day Notice Letter: 6/11/09

Fee received by:

Approved on:

Reviewed by: *ap*

License number issued:

CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant contractor to attest to this application on its behalf.

JAMES T. FIORUCCI

Name

OWNER

Signature of Applicant Representative

*James T. Fiorucci*

Title

Date:

5-18-09

## INSTRUCTION SHEET FOR FULL DISCLOSURE STATEMENT

### Background Information and Ownership

By authority of Chapter 4, Division 8, sections 19420 and 19440 of the California Business and Professions Code; and to allow an evaluation of the competence, integrity, and character of potential licensees, all applicants for license as contractors, sub-contractors and all concessionaires applying for Board approval, including any person, corporation, trust, association, partnership or joint venture which submits an application for license or approval shall comply with the provisions set forth below. Please use a separate sheet of paper to supply the requested information.

#### I. BACKGROUND INFORMATION

- (A) PERSONAL INFORMATION - Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5 percent or more:
- (1) The individual's full name and any previous names or aliases;
  - (2) the individual's date of birth;
  - (3) a physical description of the individual;
  - (4) the individual's business addresses and telephone numbers and
  - (5) a disclosure of employment, educational and military history for the past 20 years or since the age of 18 years.
- (A1) PERSONAL HISTORY - Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in subsection (A) who is a director, officer, or partner in the application or an owner of an interest in the applicant of 5 percent or more.
- (B) RELATIONSHIP - The application documents must state, for each individual providing information under subsection (A) of this section, whether the individual is related to a member of the California Horse Racing Board or an employee of the Board. A half-relationship or step-relationship is considered to be a familial relationship for purposes of this section.
- (C) CORPORATIONS - If the applicant is a corporation, the application documents must state:
- (1) The state in which the applicant is incorporated and
  - (2) the name and address of the applicant's agent for service of process in California.
- (D) INDICTMENTS OR CONVICTIONS

If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.

#### II. OWNERSHIP

- (A) IDENTIFICATION AND LOCATION - The application documents must include:
- (1) A list of all names used by the applicant; and
  - (2) the name of the agent and the address and telephone number of the office of the applicant for service of process in California.
- (B) BUSINESS STRUCTURE - The application documents must describe the applicant's business structure and

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

include an organizational chart.

- (C) ORGANIZERS - If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the Board, the application documents must state:
- (1) The name of each individual who was an organizer or promoter of the applicant;
  - (2) the nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
  - (3) the nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.
- (D) ORGANIZATIONAL DOCUMENTS
- (1) If the applicant is a corporation, the application documents must include:
    - (a) A statement of when and in what state the corporation was organized;
    - (b) a certified copy of the articles of incorporation and by-laws of the applicant;
    - (c) a statement and documentation of whether the corporation has been reorganized or reincorporated during the five year period preceding the date on which the application documents are submitted to the Board; and
    - (d) a statement and documentation of whether the corporation has filed restated articles of incorporation.
  - (2) If the applicant is an unincorporated business association, the application documents must include:
    - (a) A certified copy of each organizational document for the applicant, including any partnership agreement; and
    - (b) a description of any oral agreements involving the organization of the partnership.
- (E) CAPITAL STOCK
- (1) If the applicant is authorized to issue capital stock, the application documents must include the information required by this section.
  - (2) The applicant must state the classes of stock authorized and the total shares of each class authorized. The applicant must state, for each class of stock:
    - (a) The par value, if any;
    - (b) the voting rights;
    - (c) the current rate of dividend; and
    - (d) the number of shares outstanding and the market value of each share.
  - (3) The application documents must list the name and address of each person who owns, of record or beneficially, at least 5 percent of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.
  - (4) The application documents must include a certified copy of each voting trust or voting agreement in which at least 5 percent of the capital stock is held and must state:
    - (a) The name and address of each stockholder participating in the trust or agreement;
    - (b) the class of stock involved; and
    - (c) the total number of shares held by the trust or agreement.

(F) DIRECTORS, OFFICERS, AND PARTNERS

- (1) If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted to the Board, as a director, officer, or partner of the applicant.
- (2) The list must state, for each individual listed under subsection (1) of this section:
  - (a) The individual's name and business address;
  - (b) each position or office of the applicant held by the individual;
  - (c) the individual's principal occupation during the five-year period preceding the date on which the application documents are submitted to the Board; and
  - (d) the nature and extent of any ownership interest that the individual has in the applicant.
- (3) Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under subsection (1) of this section.

(G) CONTROLLING ENTITY

- (1) The application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
- (2) If a non-individual entity owns an interest of 5 percent or more in the applicant, the application documents must include the information required by subsection (1) of this section as it relates to the non-individual entity.
- (3) The application documents must include information required by subsection (2) of this section for each non-individual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

(H) OUTSIDE INTERESTS AND LICENSE HISTORY

- (1) The application must state whether the applicant or a director, officer, or partner of the applicant;
  - (a) Has ever held an ownership interest in a licensee of the Board; or
  - (b) is currently engaged in the business of racing in another state.

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association.

Name and mailing address of association:

Los Alamitos Racecourse, 4961 Katella Ave., Los Alamitos, CA 90720

Telephone:  
(714) 820-2800

Fax number: (714) 820-2820

Racetrack name:  
Los Alamitos Racecourse

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Edward C. Allred

Title

Owner, President and CEO

Signature of association representative

Date:

6/18/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

**Name and mailing address of association:**

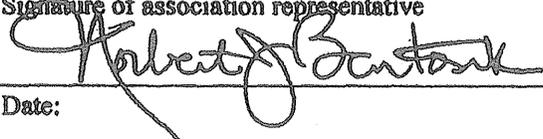
Cal Expo Harness, 1600 Exposition Blvd., Sacramento, CA 95815

Telephone:  
 (916) 263-3000

Fax number: (916) 263-3230

Racetrack name:  
 Cal Expo Harness

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative 
Title	Date: 6/18/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

## PART II

~~CONFIDENTIAL ASSOCIATION INFORMATION~~  
~~One copy of Part II shall be supplied by each contracted association~~

Name and mailing address of association:

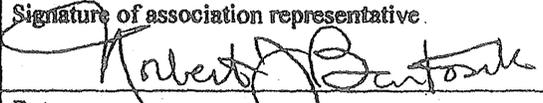
California State Fair &amp; Exposition, 1600 Exposition Blvd., Sacramento, CA 95815

Telephone:  
(916) 263-3000

Fax number: (916) 263-3230

Racetrack name:  
Cal Expo

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative.
Norbert J. Bartosik	
Title	Date:
General Manager/CEO	May 22, 2009

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

## PART II

### CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

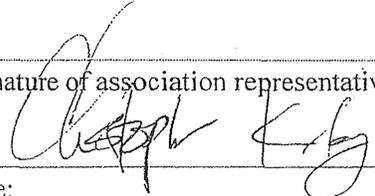
California Authority of Racing Fairs, 1776 Tribute Road Suite 205, Sacramento, CA 95815

Telephone:  
(916) 263-3348

Fax number: (916) 263-3341

Racetrack name:  
C.A.R.F.

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Christopher Korby	
Title	Date:
Executive Director	April 29, 2009

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Del Mar Thoroughbred Club, 2260 Jimmy Durante Blvd., Del Mar, CA 92014

Telephone:  
(858) 792-4221

Fax number: (858) 794-1007

Racetrack name:  
Del Mar

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Craig Fravel	Craig Fravel, EVP
Title	Date:
Executive Vice President	5/17/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Hollywood Park Racing Association, 1050 S. Prairie Ave., Inglewood, CA 90301

Telephone:  
(310) 419-1520

Fax number: (310) 671-4460

Racetrack name:  
Hollywood Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

F. Jack Liebau

*Bernie Thurman*

Signature of association representative



Title

President

*Vice President*

Date:

*4/29/09*

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

CONTRACTED ASSOCIATION INFORMATION  
(One copy of Part II shall be completed by each contracted association)

Name and mailing address of association:

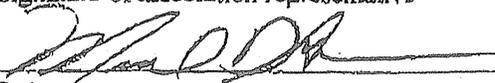
Los Angeles County Fair Association, 1101 W. McKinley Ave., Pomona, CA 91768

Telephone:  
(909) 865-4203

Fax number: (909) 865-2940

Racetrack name:  
Fairplex Park

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Mike Seder	
Title	Date:
CFO	5/19/09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

~~CONTRACTED ASSOCIATION INFORMATION~~

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Los Angeles Turf Club, Inc., 285 W. Huntington Drive, Arcadia, CA 91007

Telephone:  
(626) 574-7223

Fax number: (626) 254-1351

Racetrack name:  
Santa Anita Park

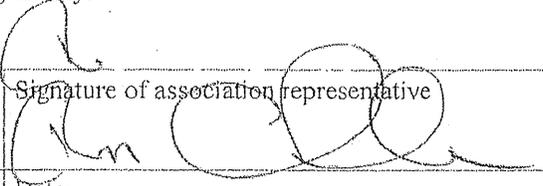
Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name

Ron Charles

Title

President & CEO

Signature of association representative  


Date:

6-9-09

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

PART II

~~CONTRACTED ASSOCIATION INFORMATION~~

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

Northern California Off-Track Wagering, Inc., 1100 Eastshore Highway, Berkeley, CA 94710

Telephone:  
(510) 559-7300

Fax number: (510) 559-7464

Racetrack name:  
NCOTWINC

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

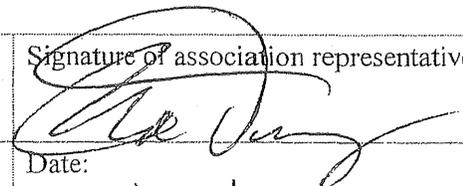
Name

Peter W. Tunney

Title

Vice President

Signature of association representative



Date:

4/29/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

**CONTRACTED ASSOCIATION INFORMATION**

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

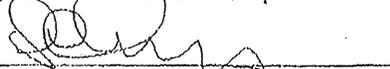
Oak Tree Racing Association, 285 W. Huntington Drive, Arcadia, CA 91007

Telephone:  
 (626) 574-6435

Fax number: (626) 447-2940

Racetrack name:  
 Oak Tree at Santa Anita

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Sherwood C. Chillingworth	
Title	Date:
Executive Director <i>Wice President + Director</i>	5/19/09

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

## PART II

### CONTRACTED ASSOCIATION INFORMATION

One copy of Part II shall be completed by each contracted association

Name and mailing address of association:

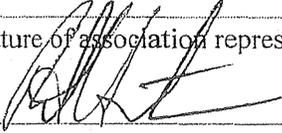
Pacific Racing Association, 1100 Eastshore Highway, Berkeley, CA 94710

Telephone:  
 (510) 559-7300

Fax number: (510) 559-7464

Racetrack name:  
 Golden Gate Fields

Name and title of the person(s) authorized to receive notices on behalf of the association in conjunction with this applicant application for approval to operate a minisatellite wagering facility:

Name	Signature of association representative
Robert Hartman	
Title	Date:
General Manager	4/29/09

**PART III  
SIMULCAST ORGANIZATION INFORMATION**

To be completed by approved simulcast organization that has executed an agreement approved by the CHRB with the association conducting a racing meeting with the minisatellite wagering facility pursuant to Business and Professions Code sections 19605.25 and 19605.3.

---

**I. OPERATION OF THE MINISATELLITE WAGERING FACILITY**

---

Simulcast organization engaged by the association to conduct simulcast wagering:

**Northern California Off Track Wagering, Inc.**

Attach the agreement between the association and simulcast organization permitting the minisatellite wagering facility to use the association's live audiovisual signal for wagering purposes and providing access to it's totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **Attached.**

Submit a copy of each horsemen's written approvals. **Pending.**

Hours for operation of the facility:

**10:00 a.m. – 12:00 a.m.**

**Wednesday through Sunday and Holiday Mondays.**

Hours for operation of the minisatellite wagering site: **See Above**

---

Time periods during the calendar year the facility will not be utilized as a minisatellite wagering facility (explain why): **N/A**

---

If approved, wagering will be offered on live race meetings being held or conducted by the following California racing association(s): **Pacific Racing Association, California Authority of Racing Fairs, Los Angeles Turf Club, Hollywood Park Racing Association, Del Mar Thoroughbred Club, Oak Tree Racing Association, Cal Expo Harness Association, Los Alamitos Quarter Horse Racing Association.**

---

<b>See Above</b>		
------------------	--	--

---

List the host track from which the minisatellite wagering facility proposes to import out-of-state and/or out-of-country races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**Pacific Racing Association and California Authority of Racing Fairs – Full cards for the term of the agreement. Import out-of-state and and/or out-of-country number of races TBD (see attached list).**

---

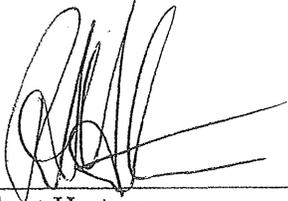
Estimated number of pari-mutuel terminals machines available:

**Five (5)**

# Golden Gate Fields

## AGREEMENT PERMITTING MINISATELLITE USE OF LIVE AUDIOVISUAL SIGNAL

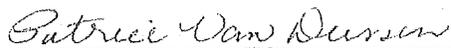
Pacific Racing Association and Northern California Off-Track Wagering, Inc (NCOTWINC) will permit the minisatellite wagering facility known as "Sotto Mare" located at 552 Green Street, San Francisco, CA 94133 to use Pacific Racing Association's live audiovisual signal for wagering purposes and providing its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.



Robert Hartman  
Pacific Racing Association  
General Manager

Date

5/19/09



Patrice VanDussen  
Northern California Off-Track Wagering, Inc.  
Controller

Date

5-18-09

Attachment to Sotto Mare Minisatellite License Application

## Part III- California Racing Associations

California Racing Associations

Cal Expo Harness

1600 Exposition Blvd.

Sacramento, CA 95815

(916) 263-3000

CARF

1776 Tribute Road, Suite 205

Sacramento, CA 95815

(916) 927-7223

Del Mar Thoroughbred Club

2260 Jimmy Durante Blvd.

Del Mar, CA 92014

(858) 755-1141

Fairplex

1101 W. McKinley Ave.

Pomona, CA 91768

(909) 426-7600

Golden Gate Fields

1100 Eastshore Highway

Albany, CA 94706

(510) 559-7300

Hollywood Park

1050 S. Prairie Ave.

Inglewood, CA 90301

(310) 419-1500

Los Alamitos

4961 Katella Ave.

Los Alamitos, CA 90720

(714) 820-2800

Los Angeles Turf Club (Santa Anita)

285 W. Huntington Ave.

Arcadia, CA 91007

(626) 574-7223

Attachment to Sotto Mare Minisatellite License Application

## Part III – Import/Export Races

## Out-of-State &amp; International Imports - Full or Partial Card TBD

<b>OUT-OF-STATE TRACK</b>
ARLINGTON
AQUEDUCT
CALDER RACECOURSE
CHURCHILL DOWNS
FAIRGROUNDS
GULFSTREAM
HAWTHORNE RACECOURSE
KEENELAND
LAUREL
LONE STAR PARK
LOUISIANA DOWNS
MEADOWLANDS
NORTHLANDS PARK
NYRA
PHILADELPHIA PARK
PIMLICO
PORTLAND MEADOWS
REMINGTON PARK
RIVER DOWNS
STAMPEDE PARK
THISTLEDOWN
TURF PARADISE
TURFWAY PARK
<b>INTERNATIONAL TRACK</b>
FORT ERIE
HASTINGS
SOUTH AMERICA
WOODBINE

State of California  
 California Horse Racing Board  
 Application for License to Operate a Minisatellite Wagering Facility  
 CHRB-88 (New 11/08)

Attach a proposed staffing plan for the facility and/or minisatellite wagering site, to include the number of security personnel and the number of pari-mutuel clerks pursuant to Business and Professions Code section 19605.25 (5)(b).

**NCOTWINC Staffing: Mutuel Supervisor; Clerks to be determined by attendance; Self Service Attendant to be determined as needed.**

## II. SUPERVISION, SECURITY AND FIRE PREVENTION

Changes to management personnel and minisatellite manager(s) must be immediately reported to the Board.

Name of the individual(s) responsible for the day-to-day operation of the minisatellite facility:

Name and Title	CHRB License No. and Expiration Date
Bryan Wayte, Mutuel Manager	#289390 expiration date 09/09

Attach a certificate of insurance for workers' compensation coverage including carrier and the policy number securing the applicant's liability for payment of workers' compensation is (if self-insured, provide details):  
**Attached.**

Attach a fire clearance from the fire authority having jurisdiction. **Final fire clearance to be issued upon completion of remodeling required for minisatellite.**

Attach a security plan to include: the name, title and phone number of the person having responsibility for security controls, the number of security officers and/or guards and the police or sheriff's department having jurisdiction for criminal law enforcement over the premises of the facility. **See attachment.**

Is there a backup emergency plan for power failure? Yes  No   
 If yes, describe. . NCOTWINC - UPS Power Supply. (See attachment).

## III. MINISATELLITE WAGERING SITE

Attach a detailed scale plan of the facility indicating all points of access to facility, emergency exits, placement of offices, and food and beverage service location and detailing the location of the proposed minisatellite wagering site. Identify how the designated minisatellite wagering area will be restricted to patrons 21 years and over. Attach photos of the minisatellite wagering site. **See attachment.**

**NOTICE TO APPLICANT.** Pursuant to Business and Professions Code section 19605.25(a) (4) wagers placed at a minisatellite site must be in an area that is restricted to those who are 21 years of age or older.

## IV. FACILITY DESCRIPTION

Describe the food and beverage services to be offered (full meals served; cafeteria-style full meals; short-order counter service; pre-ordered prepared sandwiches and fast foods available; full bar services; or other description as appropriate): **Full meals served; full bar services.**

The seating capacity in the minisatellite wagering facility is: **48**

The number of tables in the minisatellite wagering area is: **12**

Overall square footage in the minisatellite wagering area is: **~400 square feet**

Attach a photograph of the minisatellite wagering area. **See attached.**

Describe occupancy restrictions, if any, imposed by the fire authority having jurisdiction: **None**

<b>ACORD CERTIFICATE OF LIABILITY INSURANCE</b>		OP ID SG SOTTO-1	DATE (MM/DD/YYYY) 06/19/09
PRODUCER <b>Farallone Pacific Insurance Services, LLC 0F84441</b> 859 Diablo Avenue Novato CA 94947 Phone: 415-493-2500 Fax: 415-493-2505		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.	
INSURED  <b>Sotto Mare LLC</b> <b>James Fiorucci</b> 552 Green St San Francisco CA 94133		INSURERS AFFORDING COVERAGE	NAIC #
		INSURER A: <b>First Comp Insurance Agency</b>	
		INSURER B:	
		INSURER C:	
		INSURER D:	
		INSURER E:	

**COVERAGES**

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS

INSR LTR	ADD'L INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
		GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR <hr/> GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC				EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
		AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
		GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT \$ OTHER THAN AUTO ONLY: EA ACC \$ AGG \$
		EXCESS/UMBRELLA LIABILITY <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <hr/> <input type="checkbox"/> DEDUCTIBLE RETENTION \$				EACH OCCURRENCE \$ AGGREGATE \$ \$ \$ \$
A		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below	WSI001030701	03/13/09	03/13/10	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ <b>\$1,000,000</b> E.L. DISEASE - EA EMPLOYEE \$ <b>1,000,000</b> E.L. DISEASE - POLICY LIMIT \$ <b>1,000,000</b>
		OTHER				

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS

**CERTIFICATE HOLDER**

City and County of  
**San Francisco**  
 875 Stevenson  
 San Francisco CA 94103

**CANCELLATION**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL **30** DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE  
*Sindy Graham*

Attachment to Sotto Mare Minisatellite License Application  
Part III- Backup Emergency Plan for Power Failure

Power / Backup Provisions:

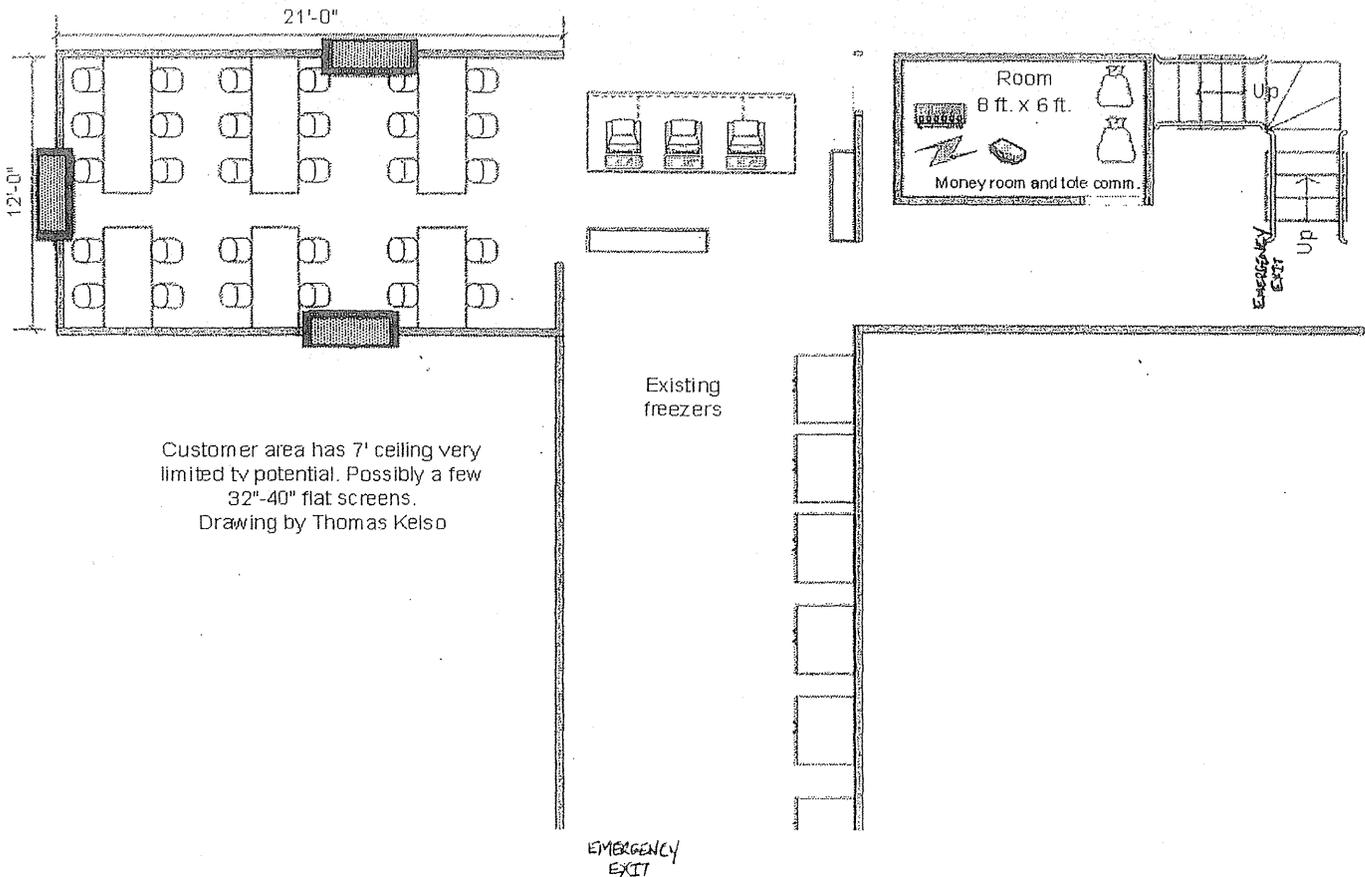
Power interruption back up for the mini-satellites will be provided in the form of APC (American Power Conversion) UPS (Uninterrupted Power Supply) units in the event of power surges or power interruptions. The APC Smart UPS systems provide high performance power protection with scalable runtime for space-constrained server rooms, and voice and data networks. They are designed to provide extended coverage for businesses where runtime requirements are in hours, not in minutes. The Smart-UPS RT has the ability to be configured with matching battery packs to comply with aggressive runtime demands of business-critical systems. The included Power Chute management software provides unattended graceful shutdown of network operating systems.

Attachment to Soto Mare Minisatellite License Application  
Part III- Security Plan

Money Room/Security:

We intend to locate the money room in a 6' X 8' room located in close proximity to the teller line, which will include shelf space for counting and equipment, and power outlets to run the equipment. The basic equipment will include a Jet Scan currency counting machine, a ten key calculator, a phone, a fax machine and basic money room supplies (bill straps, rubber bands, pens, paper and forms). The room will contain a safe that has burglar protection to be Underwriters Laboratories approved TL 30 tool resistant, that is fire tested at 1800 degrees for 2 hours and UL approved. The safe doors will be alarmed with door contacts and vibration sensors, both set by individual keypads. The money room will have motion detectors, doors that have a door contact set by keypad that is tied to the alarm company. They also will have a silent panic code tied to alarm company. The doors will have security bolt type locks. Only the mini-satellite manager, and host track head money room manager know the alarm codes and safe combination. Only the mini-satellite manager will control the keys. Security personnel will be provided by the mini-satellite facility and will be present during the hours of operation. Minisatellite area is located downstairs from main bar/restaurant and will include appropriate signage and staff will monitor the age of patrons.

### SOTTO MARE FLOOR PLAN MINISATELLITE LOCATION - SF



Customer area has 7' ceiling very limited tv potential. Possibly a few 32"-40" flat screens.  
Drawing by Thomas Kelso

**SOTTO MARE**  
(Street View)  
**SAN FRANCISCO**



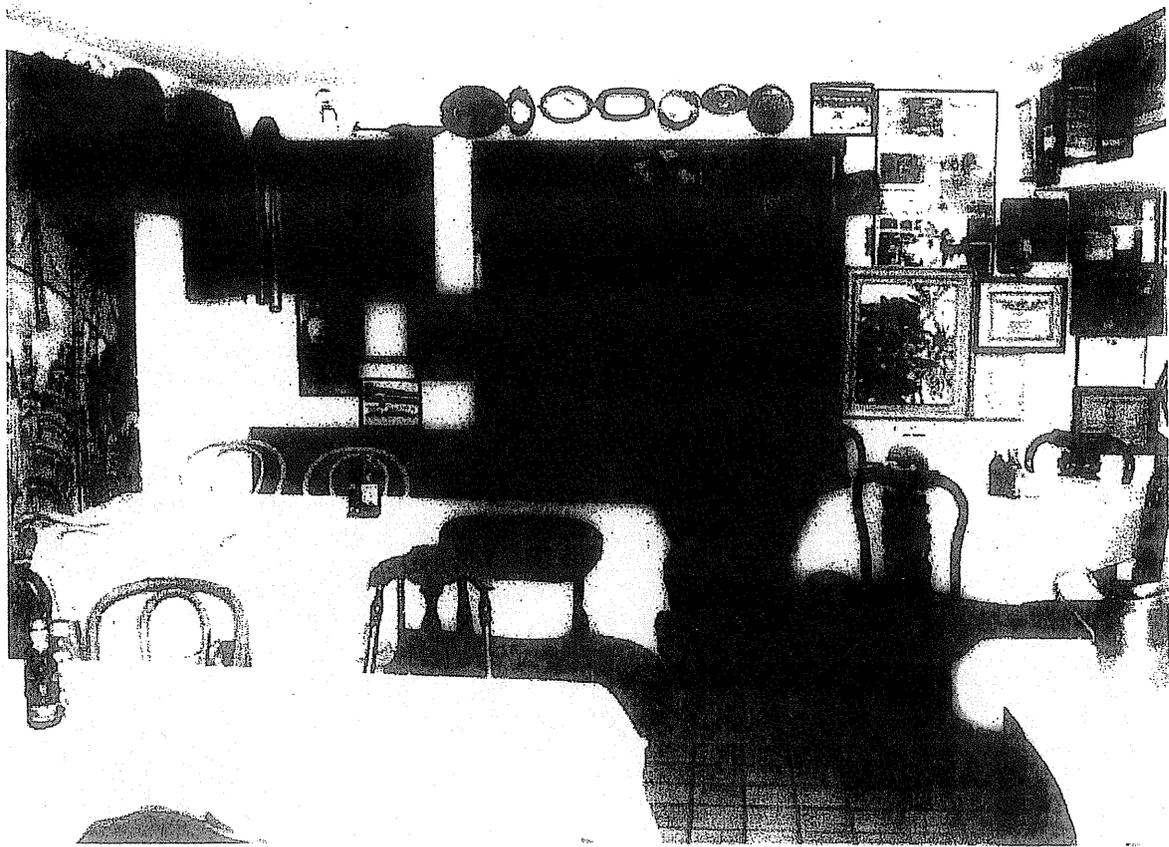
**Pacific Racing Association**  
**Minisatellite Location**  
**June 2009**

# SOTTO MARE SAN FRANCISCO



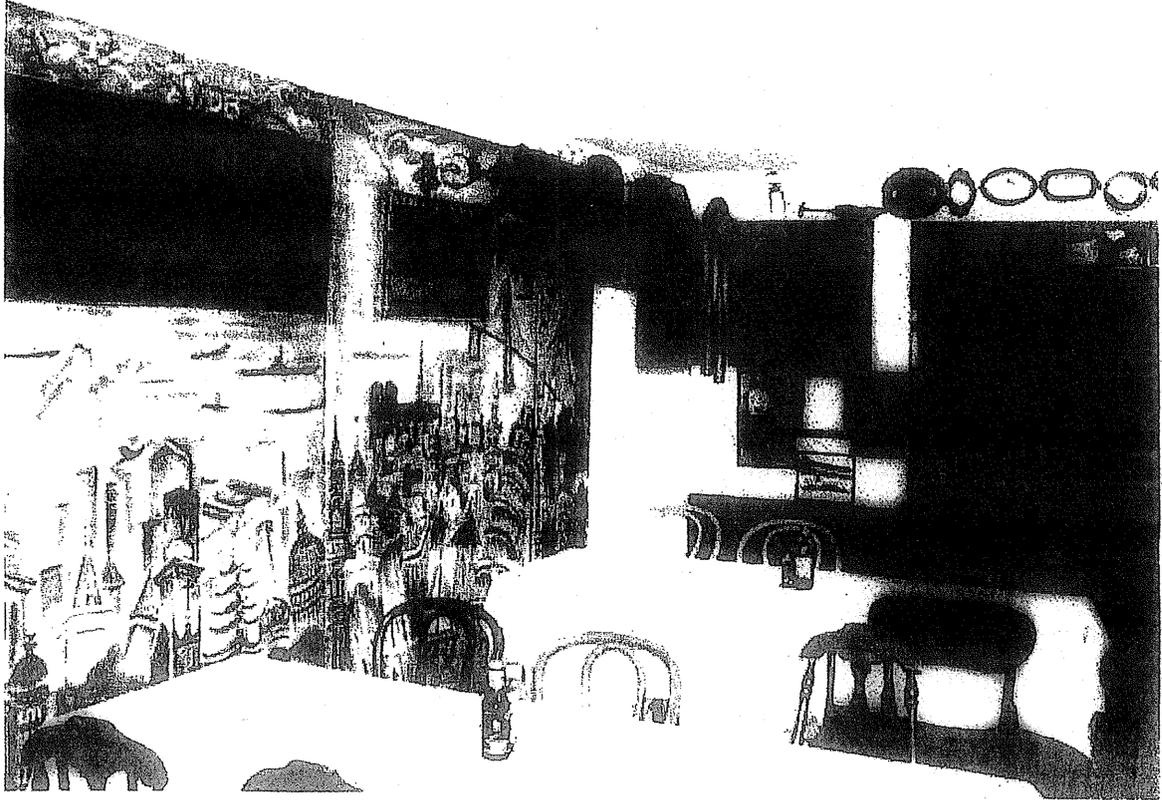
Pacific Racing Association  
Minisatellite Location  
June 2009

# SOTTO MARE SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

# SOTTO MARE SAN FRANCISCO



Pacific Racing Association  
Minisatellite Location  
June 2009

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

The total number of parking spaces available in the parking areas can accommodate (number of standard sized automobiles): **None**

Describe any other activities to be scheduled on or near the facility premises that may have a negative impact on available parking: **None**

**V. EQUIPMENT PROVIDED BY THE MINISATELLITE WAGERING FACILITY**

Describe the television equipment (satellite receivers, decoders, controls, monitors, etc.) to be utilized at the facility: **Eight (8) television monitors are planned.**

Describe the public address equipment (controls, microphones, speakers, etc.) to be utilized at the facility: **Portable PA system will be purchased to make necessary announcements.**

**VI. ADVERTISING AND PATRON DEVELOPMENT**

Describe any advertising or promotional plans:  
**Work with Host track to develop joint synergistic marketing plan – See attached.**

Describe any improvements to the facility that will directly benefit minisatellite wagering:  
**Installation of a dedicated Mutuel line and Mutuel office as well as installation of new televisions.**  
**NOTICE TO APPLICANT:** Pursuant to Board Rule 2066 all advertisement shall contain a statement that persons under 21 are not allowed to participate in minisatellite wagering. All advertisement shall contain contact information for a recognized problem-gambling support organization.

**VII. ADMISSIONS, CHARGES AND SERVICE FEES**  
Complete if applicable and note N/A if not

Admissions charges, if any, are:	<b>None</b>
Parking charges, if any, are:	<b>None</b>
Program charges, if any, are:	<b>\$2.25</b>
Seating charges, if any, are:	<b>None</b>

**VIII. RENEWAL**  
Complete this section only if renewing your license.

Is this a renewal application: Yes  No

Have there been any changes since the submission of your last application for authorization to operate a minisatellite wagering facility? Yes  No

Have any changes occurred affecting ownership or controlling interest in your business structure or establishment since your last application? Yes  No

If you have answered, "Yes", to any of the questions above please attach a detailed statement describing the change.

How many years have you been an approved minisatellite wagering facility?

**MINI SATELLITE MARKETING PLAN:  
DOWNTOWN SAN FRANCISCO LOCATIONS**

Prepared by:  
Kristin Finkel  
June 2009

## TARGET AUDIENCE

The tactical plan will place an emphasis on identifying prospects within the general target audiences identified below.

### Demographic Characteristics

- Men, Age 25+
- San Francisco and Peninsula Residents
- Asian Population

### Psychographic Characteristics

- Horse racing fans
- Casino Gamblers
- Poker players
- Sports Fans

## MARKETING OBJECTIVES

- Drive Handle and Attendance.
- Introduce new market to horse racings through smaller, more intimate facility that is welcoming and can educate new fans. Mini satellites can serves as an entry point to help gain trial at the live venue.
- Reintroduce customers that were displaced by the closure of Bay Meadows to the mini satellite concept.
- Expand the Marketing database to restaurant/bar customers.

## OPPORTUNITIES

- Promote the fact that this is the first time that there is legal wagering in downtown San Francisco (other than lottery).
- Promote the fact that admission is free to these locations.
- Assemble fan friendly staff to welcome new fans and answer questions regarding horse racing.
- Market to this new audience that horse racing is right in their own backyard.

## MARKETING STRATEGIES

- Leverage existing advertising dollars by piggybacking on existing advertising from Golden Gate Fields and CARF to promote the new mini satellites.
- Utilize online networking forums to create interest in these new facilities.
- Work with the local business community to introduce new fans to the mini satellite experience. Introduce Group Sales efforts.
- Effectively utilize television advertising to introduce the new mini satellites to the market. Promote specific special event days with targeted radio and print advertising.
- Send out direct mail using the THOROUGHBREDS mailing list to targeted zip codes in San Francisco and the Peninsula.
- Provide a presence within the community at local fairs and festivals, including the North Beach Festival.

## ADVERTISING PLAN

### Television

**The mini satellites will piggyback on the \$330,000 Golden Gate Fields television advertising spend that is planned from September 2009 to December 2009.**

Broadcast: Develop key relationships with specific over-the-air stations to gain value-added promotions and bonus commercial inventory. By partnering a few key stations, Golden Gate Fields can maximize the limited dollars.

#### Comcast Cable

Local cable is the most cost efficient general market medium currently available. Local cable allows the advertiser to pinpoint the geographic location of the spots (through pre-designated zones) and choose specific channels targeted to your audience. For example, Golden Gate Fields can target sports fans through channels such as Fox Sports Bay Area and ESPN. Spots can be increased in the San Francisco market to help promote the mini satellites.

Nightly Replay Show: Golden Gate Fields must strongly promote the nightly replay show as it serves as a half-hour infomercial for the race track. The show's programming has been upgraded to offer "better television" for fans. It is important for Golden Gate Fields to produce tv spots in a timely manner in order to make best use of the free air time afforded by the nightly replay show. The show averages between 10,000-20,000 viewers on a nightly basis. It is the ideal way to inform existing racing fans about the mini satellite opportunity.

## Radio

**The mini satellites will piggyback on the \$65,000 Golden Gate Fields radio advertising spend that is planned from September 2009 to December 2009.**

### Station Mix

Given the added dollars spent on television, the radio spots will be limited to one or two stations. KNBR 680 and KTCT 1050 are the two key sports stations in the market. It will be key to develop a relationship with these stations to maximize advertising dollars.

### "At the Track with Sam Spear"

Every Saturday morning from 7:00am to 8:00am, Sam Spear hosts a racing show on the Bay Area's #1 sports station. Golden Gate Fields needs to utilize this show to promote the weekend events. The owners of the mini satellites will be featured to explain this new opportunity.

## Print

**The mini satellites will piggyback on the \$70,000 Golden Gate Fields print advertising spend that is planned from September 2009 to December 2009.**

Based on an analysis of the marketing research, the San Francisco Chronicle has the best geographic footprint which matches where the new mini satellites will be located. It is also the most cost effective considering the half- page specials on Mondays and Tuesdays at a discounted rate.

## Direct Mail

**The mini satellites will piggyback on the \$150,000 Golden Gate Fields direct mail spend that is planned from September 2009 to December 2009.**

Over 100,000 direct mails will be sent which will feature the new mini satellites. Additional direct mail pieces can be directed to San Francisco residents with special incentives to visit the new mini satellite locations.

## Internet / E-mail

The goldengatefields.com website is a portal for racing fans to go to for the latest horse racing information. Use the GGF e-mail list as a primary form of communication with racing fans to inform them of the new mini satellites.

## Local Area Marketing

Introduce the mini satellites to the local business community as a place to spend the lunch hour or after-work happy hour. Work with local hotels to be sure that their guests know that horse racing is now in downtown San Francisco.

State of California  
California Horse Racing Board  
Application for License to Operate a Minisatellite Wagering Facility  
CHRB-88 (New 11/08)

#### AGREEMENTS

Attach copies of **all applicable county, city or agency agreements** that may affect the minisatellite wagering facility.

#### NOTICES TO APPLICANT

Notice is given to the applicant that its application, if approved by the Board, authorizes the applicant to offer pari-mutuel wagering at its minisatellite wagering facility for a period of two years per Business and Professions Code section 19605.25(h).

Notice is given that retention of and control over all moneys generated from pari-mutuel wagering held or conducted at the facility is the responsibility of the simulcast organization(s) which contract(s) to provide the pari-mutuel equipment and pari-mutuel employees; and that such organization(s) is (are) responsible for its proper distribution in accordance with the law and the rules and regulations of the Board.

Notice is given that CHRB Rules 1870 and 1871 require that the Board be given 15 days notice in writing of any intention to terminate operations, engagements, or services by any licensee, or approved contractor.

#### DECLARATIONS

All labor agreements, concession contracts, service contracts, horsemen's agreements, lease agreements, agreements with the simulcast organization(s) necessary to conduct and operate the simulcast wagering program at the facility, lease or rental agreement with the facility landlord and all applicable county, city or agency agreements that may affect the minisatellite wagering facility have been finalized except as follows (if there are no exceptions, so state):

All service contractors and concessionaires have valid State, County or City licenses authorizing each to engage in the type of service to be provided and have valid labor agreements (when applicable) which remain in effect for the entire term of the license except as follows (if there are no exceptions, so state):

Absent natural disasters or causes beyond the control of the applicant, its service contractors, concessionaires or employees engaged at the facility, no reasons are believed to exist that may result in a stoppage to the conduct of pari-mutuel wagering at the facility or the withholding of any vital service to the applicant except as follows (if there are no exceptions, so state):

By authority of Article 9.2, Chapter 4, of the Business and Professions Code; and the Federal Indian Gaming Act; to allow an evaluation of the competence, integrity, and character of potential simulcast facility operators, any person, corporation, trust association, partnership, joint venture, or management firm who submits an application for such license or who is named in such application and who is not a State or County entity, or has not previously completed such disclosure when filing for a horseracing application pursuant to Article 4, section 19480 of the Business and Professions Code shall be required to complete and submit a full disclosure statement.

**CERTIFICATION BY APPLICANT**

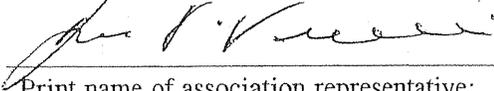
I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the applicant to attest to this application on its behalf.

Print name of minisatellite facility applicant representative:

JAMES J. FIORUCCI

Date: 5-18-09

Signature of minisatellite facility representative:



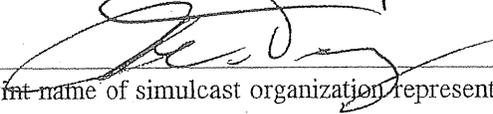
Date: 5-18-09

Print name of association representative:

PETER TUNNEY

Date:

Signature of association representative:



Date: 5/19/09

Print name of simulcast organization representative:

Date:

Signature of simulcast organization representative:

Date:

DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
PROPOSED REDUCTION OF STEWARDS AT THE  
CURRENT QUARTER HORSE RACE MEETING AT LOS ALAMITOS  
AND THE  
AUGUST 14, 2009 THROUGH AUGUST 23, 2009  
HUMBOLDT COUNTY FAIR RACE MEETING AT FERNDALE

Regular Board Meeting  
July 23, 2009

## BACKGROUND

Business and Professions Code section 19612.8 states notwithstanding any other provision of law, any association conducting a racing meeting shall pay not less than the actual amount necessary to cover the costs for compensation, including any fringe benefits, to stewards and official veterinarians and to cover the costs for that racing meeting, as provided by the Board under section 19518.

At the June 5, 2009, Regular Board Meeting a reduction in the number of stewards for night racing (quarter horse and harness) and the Humboldt County Fair during fiscal year 2009-10 was announced. The reduction is due to those race meetings not providing sufficient funding through license fees to cover the cost of regulation, oversight and drug testing.

On July 6, 2009, the Jockeys' Guild (Guild) requested that the issue of the reduction of stewards at Los Alamitos Race Course and the Humboldt County Fair be placed on the agenda for the Regular Board Meeting of July 23, 2009. The Guild stated it believed the reduction in the number of stewards at those race meetings would pose a threat to jockey safety.

## RECOMMENDATION

This item is presented for Board discussion and action. The Board may wish to hear from the Guild representative.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
STATUS OF THE 2007 AND 2008 STATUTORY DISTRIBUTIONS FROM LOS  
ALAMITOS TO THE CALIFORNIA JOCKEYS' WELFARE CORPORATION PURSUANT  
TO BUSINESS AND PROFESSIONAL CODE SECTION 19612.9

Regular Board Meeting  
July 23, 2009

#### BACKGROUND

Business and Professions Code section 19612.9 (a) (1) states that unclaimed refunds, except for intrastate unclaimed refunds shall be distributed to the organization that is responsible for negotiating purse agreements, satellite wagering agreements, and all other business agreements on behalf of the horsemen participating in the racing meeting for the purpose of negotiating, in good faith, an agreement of at least three years' duration with the jockeys organization to provide health and welfare benefits to California licensed jockeys.

#### ANALYSIS

Originally the Jockeys' Guild (Guild) was the organization responsible for providing health and welfare benefits to California licensed jockeys. In November 2005 the California Horse Racing Board (CHRB) took control over the administration of unclaimed refunds, including monthly disbursements to the Guild to cover costs related to California licensed jockeys health and welfare fund. Further, on January 1, 2008, the California Jockeys Welfare Corporation (CJWC) began providing health and welfare benefits to California licensed jockeys. At the February 2008 Regular Board Meeting, the Board approved the transfer of the financial administration of the unclaimed refunds from the CHRB to the CJWC.

According to the CWJC, Los Alamitos Quarter Horse Racing Association (LAQRA) has not remitted its share of the unclaimed refunds for 2007 and 2008. Audits of the LAQRA performed by Edward D. Roland, CPA, show unclaimed refunds were \$151,690 and \$149,622 for the 2007 and 2008 years respectively.

#### RECOMMENDATION

Staff recommends the Board hear from the representative of the CJWC and LAQRA regarding the unclaimed refunds collection concerns.

**Edward D. Roland & Associates**  
**Certified Public Accountant**  
**1755 N Community Dr., No. 208**  
**Anaheim, California 92806**

(714) 986-9183

June 8, 2009

California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Board:

We have compiled outstanding winning tickets and refunds from live races and out of state races, as of May 15, 2009, for the Los Alamitos Quarter Horse Racing meet from December 28, 2007 through December 21, 2008, from the purged ticket files provided by Scientific Games Racing.

As of May 15, 2009, the remaining outstanding tickets, comprised of uncashed winning tickets and uncashed refunds, was \$ 623,913.34. The compiled information is as follows:

Live Races winning tickets		\$313,224.34
Out of State winning tickets		161,067.10
Subtotal		<u>474,291.44</u>
Live Races refund tickets		\$106,373.40
Out of State refund tickets		43,248.50
Subtotal		<u>149,621.90</u>
Total		<u><u>\$623,913.34</u></u>

An analysis of the distribution of unclaimed winning tickets is as follows:

State:		
50% of Live races		\$156,612.17
Track:		
50% of Out of State races		80,533.55
Horsemen:		
50% of Live races (Charity Fund)	\$156,612.17	
50% of Out of State races (Purses)	<u>80,533.55</u>	<u>237,145.72</u>
		<u><u>\$474,291.44</u></u>

Yours very truly,

*Edward D. Roland*

Edward D. Roland

**Edward D. Roland & Associates**  
**Certified Public Accountant**  
**1755 N Community Dr., No. 208**  
**Anaheim, California 92806**

(714) 986-9183

June 9, 2008

California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825

Dear Board:

We have compiled outstanding winning tickets and refunds from live races and out of state races, as of May 15, 2008, for the Los Alamitos Quarter Horse Racing meet from December 28, 2006 through December 22, 2007, from the purged ticket files provided by Scientific Games Racing.

As of May 15, 2008, the remaining outstanding tickets, comprised of uncashed winning tickets and uncashed refunds, was \$ 590,444.96. The compiled information is as follows:

Live Races winning tickets	\$312,398.07
Out of State winning tickets	126,356.59
Subtotal	438,754.66
Live Races refund tickets	\$102,547.20
Out of State refund tickets	49,143.10
Subtotal	151,690.30
Total	\$590,444.96

An analysis of the distribution of unclaimed winning tickets is as follows:

State:	
50% of Live races	\$156,199.04
Track:	
50% of Out of State races	63,178.30
Horsemen:	
50% of Live races (Charity Fund)	\$156,199.03
50% of Out of State races (Purses)	63,178.29
	219,377.32
	\$438,754.66

Yours very truly,

*Edward D. Roland*

Edward D. Roland

CALIFORNIA JOCKEYS WELFARE CORPORATION  
 285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 (626) 574-6620

July 8, 2009

Ms. Jackie Wagner  
 Manager, Policy & Regulations  
 California Horse Racing Board  
 1010 Hurley Way, Suite 300  
 Sacramento, CA 95825  
[JackieW@chr.ca.gov](mailto:JackieW@chr.ca.gov)

**Re: Los Alamitos Unclaimed Refunds Due**

Dear Jackie:

The California Jockeys Welfare Corporation (CJWC) is requesting the matter addressed herein be placed on the agenda for the next CHRB meeting on July 23, 2009.

**ISSUE**

The CJWC has been unable to collect \$301,312.20 due from Los Alamitos for reasons that are unclear, despite several attempts to collect these funds for over one year.

These funds are a statutory distribution pursuant to Business and Professions Code section 19612.9. Specifically, the source of the funds is from unclaimed refunds that are purged on May 15 each year for the prior calendar year period. The purge is conducted by the Bowen McBeth auditors.

Description of funds owed:

\$151,690.30	2007 unclaimed refunds, purged and <b>due May 15, 2008 (Exhibit I)</b>
<u>\$149,621.90</u>	2008 unclaimed refunds, purged and <b>due May 15, 2009 (Exhibit II)</b>
<b>\$301,312.20</b>	<b>Total Due</b>

**BACKGROUND**

B&P Code section 19612.9 provides funding for the purpose of subsidizing and managing jockey health & welfare insurance.

19612.9. (a) (1) Except as provided in subdivision (d) of Section 19601, unclaimed refunds shall be distributed to the organization that is responsible for negotiating purse agreements, satellite wagering agreements, and all other business agreements on behalf of the horsemen participating in the racing meeting for the purpose of negotiating, in good faith, an agreement of at least three years' duration with a jockeys' organization to provide health and welfare benefits to California licensed jockeys, former California licensed jockeys, and their

dependents if those persons contribute to the plan and do not receive welfare benefits pursuant to Section 19613.

(2) The amount of money distributed annually pursuant to this section shall be held in trust solely for the purpose described in this section and shall not exceed four hundred fifty thousand dollars (\$450,000), adjusted annually for inflation. The board shall determine the inflation adjustment based on an index quantifying changes in the cost of health insurance benefits.

(3) If an agreement is not reached before the regular meeting of the board in November of any calendar year, the board, on its own motion, shall provide that the provisions of the existing agreement, if any, shall remain in effect until a subsequent agreement is reached.

(b) The jockeys' organization referred to in subdivision (a) shall represent a majority of the jockeys licensed by the board, and the board shall initially certify that the organization represents the majority of those licensed jockeys. The organization shall maintain an office in this state. The organization certified by the board shall provide an annual audit of the health and welfare fund established pursuant to this section. The organization shall make available to the board all records and documents necessary for the performance of its duties.

(c) The jockeys' organization certified by the board shall develop reasonable nondiscriminatory criteria for eligibility for health and welfare benefits.

(d) The agreement shall be approved by the board and, if approved, no other entity licensed in this state shall be required to enter into an agreement for the purposes of this section.

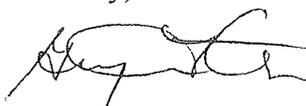
This law has been in effect for more than a decade. This program is co-managed by the Thoroughbred Owners of California and The Jockeys' Guild through the CJWC, a non-profit corporation. The CJWC's Board of Directors is comprised of:

- Marsha Naify, TOC
- A second TOC representative (vacant)
- Terry Meyocks, The Jockeys Guild
- Ron Warren, representative for the California jockeys
- Bo Derek, CHRB Commissioner

The CJWC provides and subsidizes healthcare insurance for eligible California jockeys for all racing breeds. Currently, about 12% of all jockeys under the plan are Quarter Horse jockeys.

We appreciate the CHRB placing this matter on its agenda to ensure the CJWC receive statutorily mandated funds from Los Alamitos promptly. Thank you.

Sincerely,



Guy Lamothe

*Cc: CJWC Directors: Bo Derek, Terry Meyocks, Marsha Naify, Ron Warren  
Kirk Breed, Barry Broad, John Harris, Mike Marten*

**Exhibit I**  
**2007 Unclaimed Refunds purged May 15, 2008**

<b>Date:</b>	18-Jun-08		
<b>To:</b>	Tom Varela, SCOTWinc		
<b>From:</b>	Mark Thurman, CHRIMS		
<b>Subject:</b>	Live Purged Refunds		
<b>Live Races - Refunds Purged May 15, 2008</b>			
<b>Thoroughbred and Fairs</b>			
<b>Southern California Tote</b>			
<b>Per Audit</b>	<b>Live</b>	<b>Out of State</b>	<b>2007 Purged Refunds</b>
Santa Anita	122,126.40	96,739.80	218,866.20
Oak Tree	33,221.70	41,386.10	74,607.80
Pacific Racing	42,863.90	63,310.30	106,174.20
Bay Meadows	40,858.20	71,716.00	112,574.20
Hollywood Spring	104,126.50	80,810.00	184,936.50
Del Mar	100,496.20	57,903.90	158,400.10
Fairplex	19,802.30	17,072.90	36,875.20
Hollywood Fall	36,968.70	30,069.20	67,037.90
Wine Country Fair	11,745.90	20,116.00	31,861.90
San Mateo	4,195.70	13,764.80	17,960.50
Alameda	6,552.60	9,678.50	16,231.10
San Joaquin	7,210.90	3,849.10	11,060.00
Fresno	3,115.30		3,115.30
Humboldt	2,937.10		2,937.10
<b>Los Alamitos</b>	<b>102,547.20</b>	<b>49,143.10</b>	<b>151,690.30</b>

**Exhibit II**  
**2008 Unclaimed Refunds purged May 15, 2009**

<b>Date:</b>	26-Jun-09		
<b>To:</b>	Tom Varela, SCOTWinc		
<b>From:</b>	Mark Thurman, CHRIMS		
<b>Subject:</b>	Live Purged Refunds		
<b>Live Races - Refunds Purged May 15, 2009</b>			
<b>Thoroughbred and Fairs</b>			
<b>Southern California Tote</b>			
			<b>2008 Total</b>
<b>Per Audit</b>	<b>Live</b>	<b>Out of State</b>	<b>Purged Refunds</b>
Santa Anita	122,544.00	111,544.20	234,088.20
Oak Tree	53,330.00	24,351.90	77,681.90
Pacific Racing	37,947.40	80,499.40	118,446.80
Bay Meadows	38,729.00	61,659.20	100,388.20
Hollywood Spring	131,998.60	95,155.60	227,154.20
Del Mar	153,308.30	42,476.70	195,785.00
Fairplex	25,936.90	7,935.70	33,872.60
Hollywood Fall	44,267.50	33,488.10	77,755.60
Solano County Fair	4,406.60	13,637.90	18,044.50
Sonoma County Fair	7,347.30	20,990.10	28,337.40
San Mateo	10,091.00	9,515.70	19,606.70
State Fair	3,298.00	14,773.20	18,071.20
Alameda	16,101.70	9,560.40	25,662.10
San Joaquin	6,538.50	7,548.20	14,086.70
Fresno	6,811.70	-	6,811.70
Humboldt	3,914.90	-	3,914.90
<b>Los Alamitos</b>	<b>106,373.40</b>	<b>43,248.60</b>	<b>149,621.90</b>

DISCUSSION BY THE BOARD REGARDING A REPORT ON THE  
JUNE 8, 2009  
MEETING OF THE  
CALIFORNIA JOCKEYS' WELFARE CORPORATION  
BOARD OF DIRECTORS

Regular Board Meeting  
July 23, 2009

**BACKGROUND**

Business and Professions Code section 19612.9 provides that unclaimed refunds shall be distributed to the organization that is responsible for negotiating agreements on behalf of the horsemen participating in the race meeting for the purpose of negotiating an agreement of at least three years' duration with the jockeys' organization to provide health and welfare benefits to California jockeys, and their dependents.

In 2008 the Thoroughbred Owners of California (TOC) and the Jockeys' Guild (Guild) formed the non-profit California Jockeys' Welfare Corporation (CJWC) to provide oversight and statutory health, vision, dental, and disability and life insurance programs for California Jockeys. Under the agreement, the CJWC will hold funds authorized by statute and distribute them to the insurance company providing benefits to active jockeys who qualify by riding in at least 100 races in California, and to certain qualified veteran riders. A committee appointed by the TOC, the Guild and the Board oversees the program.

Commissioner Derek attended the June 8, 2009, meeting of the CJWC Board of Directors, and is prepared to make a report about the event.

**RECOMMENDATION**

This item is presented for Board discussion.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING REPORT AND  
RECOMMENDATIONS FROM THE JOCKEYS' GUILD AND THE CALIFORNIA  
HORSEMEN'S SAFETY ALLIANCE REGARDING PROPOSED AMENDMENTS TO  
CHRB RULES 1689, SAFETY HELMETS REQUIRED  
AND 1689.1, SAFETY VEST REQUIRED

Regular Board Meeting  
July 23, 2009

## BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board (Board). Business and Professions Code section 19481 states that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider to improve the safety of horses, riders, and workers in the racing inclosure. Board Rule 1689, Safety Helmets Required, provides that a racing association may not permit any person to gallop or pony a horse, to ride a horse in a race or be mounted in or riding on a sulky unless the person is wearing a properly fastened safety helmet. Board Rule 1689.1, Safety Vest Required, requires jockeys and apprentice jockeys to wear safety vests when riding in a race. Additionally, the rule provides that jockeys, apprentice jockeys and exercise riders must wear a safety vest when they train or exercise any horse on the grounds of a racing association or racing fair. Rule 1689.1 currently specifies that such safety vests shall meet the British Equestrian Trade Association standard for horse riders' body and shoulder protectors.

## ANALYSIS

Due to a high frequency of accidents among exercise riders and jockeys at California racetracks, the California Horsemen's Safety Alliance (CHSA) began collecting information on the personal protective equipment being used by these two groups. Specifically, they gathered information on safety helmets and safety vests. According to the CHSA, the inspection of vests and helmets used by jockeys in Northern and Southern California revealed that none were marked to conform to any known national or international safety standard. Of alarming concern was the condition of the equipment. Many vests had been modified with padding removed to improve the fit and functionality.

The CHSA contracted the services of scientist, Dr. Terry Smith, to conduct testing on manufactures' safety vests and helmets currently in use. The field and laboratory testing was filmed and the data was used to launch a series of safety meeting with jockeys and exercise riders in California. Information on the proper fit, care and maintenance of the personal protective equipment was thoroughly reviewed and those in attendance were provided with an upgraded safety vest.

Jockeys were also given a chance to voice their concerns and give suggestions for the need to upgrade the design of the racing safety vest. A task force was formed comprising of safety specialist, manufacturers, and jockeys. This group was responsible for leading to the development of ASTM F2681-08 "Body Padding for Professional Equine Racing." The vests that meet the new standard are less cumbersome, less restrictive, and more functional, making the ability to move as a result of a fall easier and eliminating the need for jockeys to make modifications.

Regarding current safety helmets in use, the field and laboratory tests indicated helmets that meet the European and American standards provided protection against impact; however the older style helmets failed the impact test and did not meet any of the current standards, nor did they provide sufficient protection against impact.

In its most recent inventory of personal protective equipment in use by jockeys in Northern and Southern California, the CHSA noted that a large majority of the riders are now using safety vests that meet current European and Australian standards. A large majority of the jockeys are also using helmets that meet current European, American and Australian standards; however, some of the Northern California jockeys, most of the jockeys at Los Alamitos, and several in Southern California are still using older, outdated helmets.

Still of concern is the equipment used by the exercise rider population. The CHSA found that the majority of the riders are using substandard vest and helmets that are not rated or do not meet any safety standard, providing little protection against impact.

The CHSA has been working in collaboration with the Jockeys' Guild and the RCI Model Rules Committee in bringing uniformity to safety vest and helmet rules. According to the CHSA, the Board's rules on helmets and vest are outdated. The CHSA and the Jockeys' Guild are both making recommendations for amendments to the Board's rules on vests and helmets to make them current and consistent with international standards. The CHSA and the Jockeys' Guild are recommending the same amendment language, except the CHSA is recommending that owners and trainers be exempted. Additionally, the proposed amendment language to Board Rule 1689, Safety Helmets Required, submitted by the Jockeys' Guild and the CHSA, is very similar to ARCI Rule 008-010 (Z)(1).

The following draft texts are included for the Board's review:

#### **Proposal to Amend CHRB Rule 1689, Safety Helmets Required**

- (1) "Draft Text A" was prepared by CHRB staff. The proposed amendment adds the CHSA and Jockeys' Guild recommended product standards into the existing rule language.
- (2) "Draft Text B" is language submitted by the Jockeys' Guild and is very similar to ARCI Rule 008-010 (Z)(1).

- (3) "Draft Text C" is language submitted by the CHSA and is very similar to ARCI Rule 008-010 (Z)(1). The proposed amendment language is the same as the language submitted by the Jockeys' Guild, except it exempts owners and trainers from complying with the rule.

#### Proposal to Amend CHRB Rule 1689.1, Safety Vest Required

- (1) "Draft Text A" was prepared by CHRB staff. The proposed amendment adds the CHSA and Jockeys' Guild recommended product standards into the existing rule language.
- (2) "Draft Text B" is language submitted by the Jockeys' Guild.
- (3) "Draft Text C" is language submitted by the CHSA. The proposed amendment language is the same as the language submitted by the Jockeys' Guild, except it exempts owners and trainers from complying with the rule.

#### RECOMMENDATION

Staff recommends that the Chairman identify a committee for the purpose of going through the information presented and taking testimony to make a full recommendation to the Board.

Draft Text A  
Prepared by CHRB staff

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689, SAFETY HELMETS REQUIRED

Regular Board Meeting  
July 23, 2009

1689. Safety Helmets Required.

(a) A racing association or fair may not permit any person to gallop or pony a horse, to ride a horse in a race, or be mounted in or riding on a sulky, unless the person is wearing a properly fastened safety helmet.

(b) Safety helmets required under subsection (a) of this rule shall comply with one of the following product standards:

(1) American Society for Testing Materials (ASTM) standard F-1163, or

(2) European Norms (EN) standard 1384, or

(3) Australian and New Zealand Racing Boards (AS/ZN) standard 3838.

Authority: Sections 19420 and 19440,  
Business and Professions Code.

Reference: Sections 19481 and 19460,  
Business and Professions Code.

Draft Text B  
Proposed by the Jockeys' Guild

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689, SAFETY HELMETS REQUIRED

Regular Board Meeting  
July 23, 2009

1689. Safety Helmets Required.

~~A racing association may not permit any person to gallop or pony a horse, to ride a horse in a race or be mounted in or riding on a sulky unless the person is wearing a properly fastened safety helmet.~~ Any licensee at a racing association or racing fair under the jurisdiction of the Board shall wear a properly fastened safety helmet at all times he or she is mounted on a horse. The safety helmet must comply with one of the following standards:

- (a) American Society for Testing Materials (ASTM) standard F-1163, or
- (b) European Norms (EN) standard 1384, or
- (c) Australian and New Zealand Racing Boards (AS/ZN) standard 3838.

Authority: Sections 19420 and 19440  
Business and Professions Code

Reference: Sections 19481 and 19460  
Business and Professions Code

Draft Text C  
Proposed by the CHSA

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689, SAFETY HELMETS REQUIRED

Regular Board Meeting  
July 23, 2009

1689. Safety Helmets Required.

~~A racing association may not permit any person to gallop or pony a horse, to ride a horse in a race or be mounted in or riding on a sulky unless the person is wearing a properly fastened safety helmet. Any licensee, except owners and trainers, at a racing association or racing fair under the jurisdiction of the Board shall wear a properly fastened safety helmet at all times he or she is mounted on a horse. The safety helmet must comply with one of the following standards:~~

- (a) American Society for Testing Materials (ASTM) standard F-1163, or
- (b) European Norms (EN) standard 1384, or
- (c) Australian and New Zealand Racing Boards (AS/ZN) standard 3838.

Authority: Sections 19420 and 19440  
Business and Professions Code

Reference: Sections 19481 and 19460  
Business and Professions Code

Draft Text A  
Prepared by CHRB staff

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689.1 SAFETY VEST REQUIRED

Regular Board Meeting  
July 23, 2009

1689.1. Safety Vest Required.

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association or racing fair unless wearing a safety vest. Such safety vest shall:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards: of a five rating as defined by the British Equestrian Trade Association (BETA);

(a) “Level 1” under the British Equestrian Trade Association (BETA) 2000 Standard for Horse Riders’ Body and Shoulder Protectors, or

(b) “Level 1” under the European Norms (EN) standard 13158:200, or

(c) American Society for Testing Materials (ASTM) standard F2681-08, or

(d) Shoe and Allied Trade Association (SATRA) Jockey Vest Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the ~~collar bone~~ collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

(b) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562  
Business and Professions Code

Reference: Section 19481  
Business and Professions Code

Draft Text B  
Proposed by the Jockeys' Guild

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689.1. SAFETY VEST REQUIRED

Regular Board Meeting  
July 23, 2009

1689.1. Safety Vest Required.

~~(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association or racing fair unless wearing a safety vest. Such safety vest shall~~ Any person mounted on a horse or stable pony on the racing surface of a racing association or racing fair must wear a fastened safety vest at all times. The safety vest must:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards: of a five rating as defined by the British Equestrian Trade Association (BETA);

(a) "Level 1" under the British Equestrian Trade Association (BETA) 2000 Standard for Horse Riders' Body and Shoulder Protectors, or

(b) "Level 1" under the European Norms (EN) standard 13158:200, or

(c) American Society for Testing Materials (ASTM) standard F2681-08, or

(d) Shoe and Allied Trade Association (SATRA) Jockey Vest Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the ~~collar bone~~ collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

(b) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562  
Business and Professions Code

Reference: Section 19481  
Business and Professions Code

Draft Text C  
Proposed by the CHSA

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
PROPOSED AMENDMENT OF RULE 1689.1. SAFETY VEST REQUIRED

Regular Board Meeting  
July 23, 2009

1689.1. Safety Vest Required.

~~(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association or racing fair unless wearing a safety vest. Such safety vest shall~~ Any person, except licensed owners and licensed trainers, mounted on a horse or stable pony on the racing surface of a racing association or racing fair must wear a fastened safety vest at all times. The safety vest must:

(1) Provide a minimum of shock absorbing protection to the upper body, as evidenced by a label indicating that the safety vest meets one of the following standards:  
~~of a five rating as defined by the British Equestrian Trade Association (BETA);~~

(a) "Level 1" under the British Equestrian Trade Association (BETA) 2000 Standard for Horse Riders' Body and Shoulder Protectors, or

(b) "Level 1" under the European Norms (EN) standard 13158:200, or

(c) American Society for Testing Materials (ASTM) standard F2681-08, or

(d) Shoe and Allied Trade Association (SATRA) Jockey Vest Document M6 Issue 3, Australian Racing Board (ARB) 3.

(2) Cover the entire torso from the ~~collar bone~~ collarbone to a line level with the hip bone allowing a vee opening in the front neckline;

(3) Weigh no more than 2 pounds.

(b) The weight of a safety vest shall not be included in the weight of a jockey or apprentice jockey when weighing out or weighing in or when adding weight to make up a weight assignment.

Authority: Sections 19420, 19481 and 19562  
Business and Professions Code

Reference: Section 19481  
Business and Professions Code

## *ARCI-008-010 General Provisions*

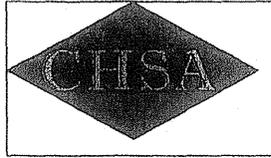
### Z. Safety Equipment

#### (1) Helmets

Any licensee mounted on a horse or stable pony on association grounds must wear a properly secured safety helmet at all times. The licensee is responsible for providing sufficient evidence that his/her helmet meeting one of the following safety standards: American Society for testing and Materials (ASTM 1163); UK Standards (EN-1384 and PAS-015); or, Australian/New Zealand Standard (AS/NZ 3838).

#### (2) Vests

A safety vest, designed to provide shock absorbing protection of at least a rating of five, as defined by the British Equestrian Trade Association (BETA), must be worn at all times on association grounds when racing, parading or warming up a horse prior to racing; or jogging or exercising a horse at any time.



CALIFORNIA HORSEMEN'S SAFETY ALLIANCE

MEMORANDUM

DATE: July 9, 2009  
 TO: Mr. John C. Harris, Chairman and CHRB Board  
 FROM: Sonia Flores Pishehvar, CHSA Administrator  
 SUBJECT: Proposal for Amendment on Rule 1689. Safety Helmets and Rule 1689.1. Safety Vests

**1. Rule Overview:**

The current CA Rules on Safety Equipment/Personal Protective Equipment (PPE).

Rule 1689. Safety Helmets Required. States "A racing association may not permit any person to gallop or pony a horse in a race or be mounted in or riding on a sulky unless the person is wearing a properly fastened safety helmet."

Rule 1689.1. Safety Vest Required. States

(a) No jockey or apprentice jockey shall ride in a race unless wearing a safety vest, nor shall a jockey, apprentice jockey, or exercise rider, train or exercise any horse on the grounds of a racing association or racing fair unless wearing a safety vest. Such safety vest shall:

(1) Provide a minimum of shock adsorbing protection to the upper body of a five rating as defined by the British Equestrian Trade Association (BETA)... and ASTM F1937-4 "Specifications for Body Protectors Used in Horse Sports and Horseback riding.

**2. Discussion of Issue:**

For the past seven years CHSA has collected data on work related accidents. For the past 3 years the highest frequency of accidents was within the exercise rider and jockey population. We began to gather information on the personal protective equipment being used by this population and identified that the current governing racing rules were outdated and the safety equipment in use by this population was substandard and unregulated. The inspection of the vests and helmets used by jockeys in Northern and Southern California revealed that none were marked to conform with any known national or international safety standard, of alarming concern was the condition of the equipment, many had been modified, padding removed in order to improve the fit and functionality.

With the assistance of my safety consultant Tony Bahno form AIU Holdings; we made contact with leading manufacturer of safety vests and helmets. We contracted the services of a leading scientist, Terry Smith PhD, to conduct testing on the safety equipment currently in use.

Both field and laboratory testing was conducted. (For details on the testing results please review reports from Dynamic Research Laboratory, Terry Smith PhD. Dated February and March 2009)

This process was filmed and this data was used to launch a series of safety meetings with jockeys and exercise riders in California. Information on the proper fit, care and maintenance of the personal protective equipment (PPE) was thoroughly reviewed. Those in attendance were provided with upgraded safety vest.

Jockeys voiced concern with the need to upgrade the design of the racing safety vest. A vest the jockeys are willing to use, to be light weight and pliable and eliminating the need to make any modifications for fit and functionality.

A task group was form comprised of safety specialists, manufactures and jockeys, this led to the development of ASTM F2681-08 "Body Padding for Professional Equine Racing" The design of the vest that meet ASTM F1937 are too cumbersome and not functional and it restricted the jockeys' ability to move especially as a result of a fall.

The field and laboratory tests on helmets indicated that the helmets that meet the European and American standards do provide protection against impact. However the older style helmets failed the impact test and did not meet any of the current standards and does not provide sufficient protection against impact.

### 3. Concerns:

In comparison with regulations from nine other states, California was shown to be the least restrictive on the helmet regulation as noted in the study performed by NIOSH, April 2009. The CHRB Rules cited above on Helmets and Vest are out dated.

In our most recent inventory of personal protective equipment in use by jockeys in Northern and Southern California it was noted that a large majority of the riders are using safety vests that meet current European and Australian Standards, some are using equipment that is not rated.

On the safety helmets a large majority of the jockeys are using helmets that meet the current European, American and Australian Standards. Of concern is that some of the jockeys in Northern California, most of the jockeys in Los Alamitos and 3 of the jockeys of Southern California are still using the older style outdated helmets.

In the exercise rider population, the majority of the riders are using substandard vests and helmets that are not rated or do not meet any safety standard providing little protection against impact.

4. Recommendations:

CHSA has been working in collaboration with the Jockey's Guild, representative Jeff Johnston and the RCI Model Rules Committee in bringing uniformity to safety vest and helmets rules.

Safety Helmet:

We are making recommendations for an amendment to the rules making them current and consistent with international standards. We also recommend amplifying the helmet rule making it mandatory for all mounted licensees on facility and association grounds must wear a fasten safety helmet at all times. Except Trainers and Owners. The safety helmet must comply with one of the following standards:

In December 2008 ARCI approved the rule outlining that the helmet must meet one of the following standards:

- ASTM 1164, (American Society of Testing and Materials)
- EN 1384 (European Norms)
- AS/NZ 3838.( Australian and New Zealand Racing Boards)

These international standards are current and the leading manufactures are in compliance with these safety standards. Among them are Champion, GPA, LAS, TroxteI & Charles Owen.

Safety Vest:

We are making the recommendations for an amendment to the vest rule amplifying as follows: Any person mounted on a horse or stable pony on the association's racing surface must wear a fasten safety vest at all times. Except Trainers and Owners. The safety vest must comply with one of the following standards:

- BETA 2000, Level 1 (British Equestrian Trade Association)
- EN 13158:200 Level 1 (European Norms)
- ASTM F2881-08 (American Society of Testing and Materials)
- SATRA Jockey Vest Document M6 Issue 3, ARB 3 (Australian Racing Board)

The following manufacturers produce safety vests that meet one or more of the above standards:

Tipperary, Excalibur, Charles Owen, Race Safe.

It is recommended that the riders do not remove any of the identifying labels on their safety equipment.

**5. Comments:**

CHSA appreciates the opportunity given to conduct the research and investigation and the participation of all the jockeys, exercise riders and dedication of the task group in gathering the information on the safety vest and helmets.

Updating the current Rules on Safety vests and helmets will ensure the jockeys and exercise riders are outfitted with the personal protective equipment that provides the best level of protection for use in the professional sport of horse racing.

CHSA will be conducting safety training targeting the exercise rider population. Those who complete the training program and pass a written or oral exam will be given a safety certification. These exercise riders will be eligible for our used equipment exchange program. CHSA will provide a subsidy of part of the cost of the new upgraded equipment. We are currently in negotiations with leading manufactures to provide those that participate in CHSA safety program a discounted price on vests and helmets.

CHSA has committed to making safety for the jockeys, stable employees, trainers and horses our number one priority. We want to assist in making California the leading state in safety in Thoroughbred Horse Racing.

7/13/2009

Corrected to exclude trainers and owners. SFP

BROAD & GUSMAN, LLP  
ATTORNEYS AT LAW

---

July 10, 2009

John Harris  
Chairperson  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Jockey Helmets and Safety Vests

Dear Chairperson Harris and Members of the Board,

The Jockeys' Guild joins with the California Horsemen's Safety Alliance to support the modification of the current regulations regarding jockey safety equipment as discussed below. This proposal is consistent with the effort of the RCI Model Rules Committee to bring national and international uniformity to rules regarding safety vests and helmets.

**Safety Helmet:**

We recommend that the current regulation be amended to add the following language:

"All licensees on facility and association grounds shall wear a safety helmet at all times they are mounted on a horse. The safety helmet must comply with one of the following standards:

ASTM 1164, (American Society of Testing and Materials)  
EN 1384 (European Norms)  
AS/NZ 3838 (Australian and New Zealand Racing Boards)"

These international standards are current and the leading manufactures, such as Champion, GPA, LAS, Troxteel & Charles Owen, are in full compliance.

**Safety Vest:**

We recommend that the current regulation regarding vests be amended to add the following language:

"Any person mounted on a horse or stable pony on the association's racing surface must wear a fastened safety vest at all times. The safety vest must comply with one of the following standards:

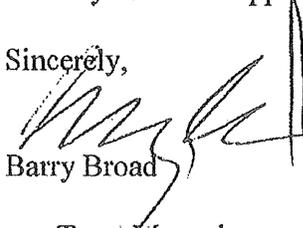
BETA 2000, Level 1 (British Equestrian Trade Association)  
EN 13158:200 Level 1 (European Norms)  
ASTM F2881-08 (American Society of Testing and Materials)  
SATRA Jockey Vest Document M6 Issue 3, ARB 3 (Australian Racing Board)"

1127 11<sup>th</sup> Street, Suite 501  
Sacramento, CA 95814  
(916) 442-5999  
Fax (916) 442-3209

Again, most leading manufacturers of safety vests, including Tipperary, Excalibur, Charles Owen, Race Safe, produce vests that meet one or more of the above standards.

Thank you for the opportunity to comment on this crucial safety issue.

Sincerely,



Barry Broad

cc: Terry Meyocks  
Darrell Haire

DISCUSSION BY THE BOARD  
REGARDING THE REPORT FROM THE  
THOROUGHBRED OWNERS OF CALIFORNIA  
AND THE  
CALIFORNIA THOROUGHBRED TRAINERS  
CONCERNING PRESENTATION GIVEN AT THE  
SENATE SELECT COMMITTEE HEARING ON HORSE RACING  
THAT HORSE RACING IS OVER REGULATED IN  
CALIFORNIA

Regular Board Meeting  
July 23, 2009

BACKGROUND

The Senate Select Committee on California's Horse Racing Industry, Chaired by Senator Roderick Wright (D) Los Angeles, held an informational hearing at the Hollywood Park Race Track on June 26, 2009. The subject of the hearing was: "The Closure of Hollywood Park Race Track: Ensuring the Long Term Viability and Competitiveness of California's Horse Racing Industry." The Committee heard from various industry representatives regarding issues confronting horse racing, as well as the future of horse racing in California. During the testimony the committee was informed that horse racing is over regulated, which was impeding the industry's ability to quickly implement business decisions. Some persons also suggested the role of the California Horse Racing Board should be redefined towards only integrity issues, while leaving "business issues" to be decided by the industry.

RECOMMENDATION

This item is presented for Board discussion.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
REPORT FROM SCIENTIFIC GAMES CONCERNING  
LATE ODDS CHANGES, INCREASING THE TRANSMISSION SPEED  
OF ODDS DATA TO ADVANCE DEPOSIT WAGERING PROVIDERS  
AND POSTING ODDS IN DECIMAL NUMBERS RATHER THAN FRACTIONS

Regular Board Meeting  
July 23, 2009

## BACKGROUND

The Board and the Pari-Mutuel Operations Committee have reviewed and held public discussions of late odds change numerous times over the last decade. The recurring question is whether changes to the odds after the race has begun are caused by communications and processing delays or by the placement of wagers after the race has begun – wagers that remain in the pari-mutuel pool and are subsequently inappropriately cashed to the detriment of the legal bettors, a condition popularly known as past posting. The perceived problem became more pronounced as an ever increasing percentage of wagers on California races shifted from the live racetrack and simulcast facilities located within California to more distant wagering outlets in other states and countries and to computers located anywhere in the world.

The CHRB has conducted dozens if not hundreds of reviews of races through the years in which the odds on the winner of a race dropped significantly after the start. In every case, the various system reports (which provide such details as the transaction number of the bet, the window or wagering account where it was placed, and the time of the wager down to the precise second it was accepted) showed that all wagers were legally placed before the start of each race. As an example, a redacted copy of pages from a transaction audit tape report for the 7<sup>th</sup> race at Hollywood Park on May 7, 2009, is included in this package, along with the related odds cycle report, the stop-betting report indicating the precise time that the race went off, and a CHRB staff summary of what those reports showed.

In the course of these extensive reviews conducted with the full cooperation of wagering outlets and totalizator companies throughout the country, no evidence has ever been found of past posting on a race run in California. There have been instances where communications failures and other technical problems interfered with the wagering and stop-betting process, allowing bettors at some locations to continue placing wagers after the start of the race, as was the case in the incident on May 16, 2009, at Hollywood Park when the stop-betting command was not received at 33 locations. However, in that case as in all other similar breakdowns in the process (and the number is small in context of the continuous volume of betting that occurs), the appropriate procedures were followed to safeguard the integrity of the pari-mutuel system and those suspect wagers and/or wagering pools were rejected from the host

pools. This is the key concept: Nobody profited from the malfunctions; there was no past posting.

## ANALYSIS

One of the most common complaints is that the late odds changes almost always go down on the winner and almost never go up on the winner, which critics attribute to being more than coincidence. On April 27, 2009, the CHRB asked Scientific Games, which provides totalizator service to all California racetracks, to generate a report showing the odds at the start of the race (that is, at the stop-betting command) as well as the final odds (that is, after all wagers have been received and counted in the various pools) for the winner of every race in California for a 30-day period. The results show that the odds remained the same on the winners of slightly more than half of those races. The odds increased on the winners of slightly more than 25 percent of those races, while the odds dropped on slightly less than 25 percent of those races. This disproves the notion that the odds on the winning horses always go down and never up.

The number of complaints has dropped as compared to a few years ago. This is partly because of some technical changes that sped up the display of updated odds. The representatives of Scientific Games can more fully explain these changes. And J. Curtis Linnell, director of wagering analysis for the TRPB, can report in technical terms how these changes translate into odds displays, based on his research.

A lingering difficulty is the delayed display of odds at simulcast outlets and on the two major horse-racing networks, TVG and HRTV. No matter how quickly the updated odds are posted on the infield totes, the odds on broadcasts lag behind. In May 2009, in response to a CHRB inquiry into the odds displayed by TVG for one particular race, the Board received the following explanation from TVG: *"...TVG Graphics received the odds change in question 5 seconds after the gate break. After the gate break we (TVG) did not show (those race) odds in any form for 50+ seconds (this is just the nature of our telecast). When odds were first shown after the race they reflected the odds change sent 5 seconds after the break."* While on-track patrons saw the correctly updated odds shortly after the start of the race, TVG viewers were not made aware of a significant odds drop on the winner until well after the race was over, fueling suspicions that wagers were placed after the start of the race. Almost all complaints received by the CHRB over the last few years have come from television viewers – not by persons at the live track where the odds displayed on the tote are far more quickly updated.

Even the odds displayed on the on-track tote are somewhat delayed. One reason is a four-second cancel delay that allows pari-mutuel clerks at thoroughbred tracks to cancel tickets up to four seconds after the start, which allows clerks to void tickets punched in error in the closing seconds of wagering.

The posting of odds in fractions sometimes adds to the perception of significant late odds changes, and it has been suggested that California should switch to decimal displays, which provide greater precision. One example would be the odds on a horse dropping from 9/2 to 3. Under the fractional presentation, this appears to be a drop of one-third of the horse's price. However, the decimal odds on such a horse could be

4.50 and 3.45 (the high end of 3-1 just slightly less than 7/2) and would represent a 'real' drop of only one-quarter of the horse's price. (Los Alamitos has indicated that its tote board is not capable of handling decimals.)

The most often cited solution to late odds changes is to close wagering before the start of the race, such as when the first horse enters the gate, which would allow virtually all wagers to be processed and displayed before the start of the race – at least on the on-track tote. It is not clear that the remote facilities and television networks could always display the updated odds even if wagering was closed early. Proponents believe this would restore confidence in the integrity of the wagering system. One problem with the early closure of wagering would be the impracticality of reopening the pools in the event of a late scratch, even if this involved the heavy favorite and/or part of a wagering entry. By one estimate, it could take 10 minutes to fully reopen a pool. Another concern is the possibility of shutting out bettors who do not easily adapt and wait too long to make their wagers.

In June 2009, with assistance from the Association of Racing Commissioners International, the CHRB conducted a quick, focused survey of other racing commissions to determine current practices in those other jurisdictions. Of those jurisdictions that responded (Alabama; Delaware; Illinois; Kentucky; Oregon and Virginia):

- None indicated that any racetrack is closing wagering pools before the start of the race.
- None of those jurisdictions reported displaying odds in decimals rather than fractions.
- None indicated allowing a cancel delay to protect pari-mutuel clerks.

Churchill Downs advised the CHRB that the racetrack experimented with the early closure of wagering pools during one of its fall meets. However, handle fell off so dramatically that it discontinued the practice at its next meet. Handle dropped 20 percent across the board, which Churchill Downs attributed this to fans expressing resentment and frustration over the early cutoff in wagering. Some fans at simulcast outlets simply chose to wager on races at other tracks instead of Churchill Downs. In addition, Churchill Downs added pari-mutuel clerks at significant expense to handle the late rush of on-track patrons who realized they only had seconds to place wagers even though the horses were still approaching the gate.

The following attachments are included:

1. Staff summary of 5-7-09 reports from Scientific Games
2. Off times 5-7-09
3. Odds report race 7 HP 5-7-09
4. Redacted SMG transaction 5-7-09
5. Redacted RGS win report 5-7-09
6. Redacted 5-7-09 transaction LVD
7. Chart race 7 HP 5-7-09

## RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from representatives of Scientific Games and TRPB.

Concerning the odds on Cocoa Belle (horse # 6) in the seventh race at Hollywood Park on May 7:

The seventh race went off at 16:09:49. Cocoa Belle's odds were displayed at 9-2 when the gates opened. However, there was a total of \$7,711 in large denomination wagers (plus numerous smaller wagers) placed on #6 in the last 38 seconds of wagering before the start that were not displayed on the infield totalizator board until 16:10:03 -- or 14 seconds after the start. Those wagers were \$1,878 bet at 16:09:18 (31 seconds before the start) by an account holder at Sol Mutuel Grenada SMG); \$1,768 bet at 16:09:11 (38 seconds before the start) wagered by an account holder at Racing and Gaming Services (RGS), \$1,711 bet at 16:09:31 (18 seconds before the start) through the Las Vegas Dissemination (LVD) hub; and wagers of \$1,444 and \$910 bet at 16:09:39 (10 seconds before the start), both also through LVD.

Although all of these wagers were placed before 16:09:49 (the start of the race), it took time for them to get processed and displayed on the infield tote 14 seconds after the start, which was when Cocoa Belles' odds went from 9-2 to 3-1. Anyone at Hollywood Park who looked at the tote 14 seconds into the race would have seen odds of 3-1.

Odds report race 7 HP 5-7-09

(A:9G.5)[0=0] 7-MAY-09 14:25:49, SESSION: 834 SITE 121: SCOTWINC / QDCW  
 [ CYCODRPT ] CYCODRPT.PAS PAGE: 1

CYCLIC ODDS REPORT

RACE 7 PERFORMANCE : 11 MEET 121 : HOLLYWOOD SPRING 09

TIME	1	2	3	4	5	6
15:42:16	19	8/5	5/2	9/2	4	5
15:42:58	14	1	9/2	7/2	7	9/2
15:43:44	14	1	9/2	7/2	7	9/2
15:44:30	13	6/5	5	7/2	6	9/2
15:45:16	14	6/5	5	7/2	6	9/2
15:46:02	14	6/5	5	7/2	6	9/2
15:46:47	14	6/5	5	7/2	6	9/2
15:47:32	14	6/5	5	7/2	6	9/2
15:48:17	14	6/5	5	7/2	6	9/2
15:49:03	15	1	5	4	6	5
15:49:49	14	1	5	4	6	5
15:50:36	15	1	5	7/2	6	5
15:51:21	15	1	5	7/2	6	5
15:52:07	14	1	5	7/2	6	5
15:52:52	14	1	5	7/2	7	9/2
15:53:37	15	1	5	7/2	7	5
15:54:22	15	4/5	5	7/2	7	5
15:55:08	15	1	5	7/2	7	5
15:55:54	17	3/5	6	4	8	5
15:56:39	17	3/5	6	4	8	5
15:57:24	17	4/5	6	4	8	5
15:58:09	17	4/5	6	7/2	8	5
15:58:55	16	4/5	7	7/2	8	5
15:59:41	18	4/5	7	7/2	8	5
16:00:26	17	4/5	7	7/2	7	5
16:01:11	17	4/5	7	7/2	7	5
16:01:57	17	4/5	7	7/2	7	9/2
16:02:43	17	1	7	3	7	4
16:03:29	18	1	8	3	8	4
16:04:16	18	1	8	3	7	4
16:05:01	17	1	8	3	7	4
16:05:47	17	1	8	3	7	9/2
16:06:32	18	4/5	8	3	7	9/2
16:07:18	18	1	7	3	7	9/2
16:08:04	19	4/5	8	3	7	9/2
16:08:50	19	4/5	7	3	7	9/2
16:09:37	19	1	7	3	7	9/2
16:10:03	20	1	9	3	8	3
16:10:06	20	1	9	3	8	3
16:10:08	20	1	9	3	8	3

□

(

(A:9G.5)[0=0] 7-MAY-09 14:30:00, SESSION: 834 SITE 121: SCOTWINC / QDCW  
[EVNTSTRPT] CRDRACRPT.PAS PAGE: 1

CARD / TIME BETTING REPORT

, MEET 121 : HOLLYWOOD SPRIN, PERFORMANCE

: 11

RACE	START PARLAY	START BETTING	STOP PARLAY	START CANCELS	STOP BETTING	STOP CANCELS
1	08:03:32	08:03:32	:	08:03:32	13:01:26	13:01:30
2	08:03:32	08:03:32	:	08:03:32	13:33:54	13:33:59
3	08:03:32	08:03:32	:	08:03:32	14:04:52	14:04:56
4	08:03:32	08:03:32	:	08:03:32	14:36:14	14:36:19
5	08:03:32	08:03:32	:	08:03:32	15:06:58	15:07:03
6	08:03:32	08:03:32	:	08:03:32	15:38:18	15:38:22
7	08:03:32	08:03:32	:	08:03:32	16:09:49	16:09:55
8	08:03:32	08:03:32	:	08:03:32	16:40:14	16:40:19

□

## Redacted 5-7-09 transaction LVD

Redacted transaction report for the 7th race at Hollywood Park, May 7, 2009  
 (Specific transaction numbers and player identifications deleted)

□  
 (A) [0=0] 10-MAY-2009 07:14:00, SESSION: 0, SITE 12: LAS VEGAS HUB  
 [TFSEARMOD] TFSERPRNT.PAS PAGE: 1

## TRANSACTION AUDIT TAPE REPORT

-----  
 FROM TAPE DATED 7-MAY-2009

TIME RACE	ACCT ID	TYPE TRANSACTION TEXT	SERIAL NUMBER	WINDOW-TELLER	STATUS	PERF	MEET
16:09:28 6:HOLLYW	7	SELL 2.00 WIN 6			99305	NEW-KC	493
				2.00			
SUBTOTAL:			-2.00				
16:09:31 6:HOLLYW	7	SELL 6.00 WIN 5			52014	K	493
		5.00 PLC 5		11.00			
SUBTOTAL:			-13.00				
16:09:31 6	7	AWSB 1113.00 WIN 4		1,113.00			493
							PLAYER ID :
16:09:31 6	7	AWSB 0 1711.00 WIN 6		1,711.00		KC#S	493
							PLAYER ID :
16:09:38 6:HOLLYW	7	SELL 14.00 WIN 2			6039	K#	493
				14.00			PLAYER ID :
SUBTOTAL:			-27.00				
16:09:38 6:HOLLYW	7	SELL 3.00 WIN 6			46018	NEW-KC	493
				3.00			

Redacted RGS win report 5-7-09  
Redacted transaction report for 7th race at Hollywood Park, May 7, 2009  
(specific transaction numbers and player identifications deleted)

□ Run 1368 Thu 7 May 09  
REPORTS Master V421.01

13 May 09 9:01:36 PM

BAT HUB - BROOKLYN

Page 1

T i c k e t L i s t

Sell Groups RGS RGU RGI RGI RGW RG2 RG3 RG4 RG5 Pay Groups RGS RGU RGI  
RG1 RGW RG2 RG3 RG4 RG5 Pool WIN

(HOD) HOLLYWOOD PARK Perf 207 Race 7

Ticket Track	Amount	Type	Terminal	Seller	Grp	Date	Time	Account
HOA 207 5	30.00	TAF	6			RGU 7 May 09	18:40:15	
	132.00	7 WIN YAP				RGU 7 May 09	19:13:59	
HOA 207 5	700.00	TA	4			RGI 7 May 09	19:04:58	
	700.00	7 WIN AP						
HOA 207 5	300.00	TA	3			RGI 7 May 09	19:05:04	
	300.00	7 WIN AP						
HOA 207 5	1,768.00	SA	6			RGU 7 May 09	19:09:11	
	1,768.00	7 WIN				RGU 7 May 09	19:13:59	
	7,779.20	YAP						
HOA 207 5	141.00	SA	1			RGU 7 May 09	19:09:11	
	141.00	7 WIN AP						



**HOLLYWOOD PARK - May 7, 2009 - Race 7**  
**ALLOWANCE - For Thoroughbred Three Year Old and Upward Fillies and Mares**  
**Six And One Half Furlongs On The All Weather Track Track Record: (Gayego - 1:13.37 - November 30, 2008)**  
**Purse: \$60,000**  
**Plus: \$18,000 CBOIF - California Bred Owner Fund**  
**Plus: \$400 Other Sources**  
**Available Money: \$78,400**  
**Value of Race: \$60,760 1st \$36,000, 2nd \$12,000, 3rd \$7,200, 4th \$3,600, 5th \$1,560, 6th \$400**  
**Weather: Clear Track: Fast**  
**Off at: 4:09 Start: Good for all**



Last Raced	Pgm	Horse Name (Jockey)	Wgt	M/E	PP	Start	1/4	1/2	Str	Fin	Odds	Comments
5Apr09 <sup>8</sup> SA <sup>4</sup>	6	Coco Belle (Smith, Mike)	118	BL	6	2	1 <sup>1</sup>	1 <sup>1 1/2</sup>	1 <sup>1</sup>	1 <sup>1</sup>	3.40	bit off rail, held game
31Jan09 <sup>7</sup> SA <sup>3</sup>	4	She's Cheeky (Solis, Alex)	118	BL f	4	3	4 <sup>4 1/2</sup>	4 <sup>3</sup>	3 <sup>Head</sup>	2 <sup>1</sup>	3.10	stalked rail, 2nd best
28Jun08 <sup>9</sup> HOL <sup>9</sup>	5	Ashley's Kitty (Baze, Michael)	118	BL	5	1	2 <sup>Head</sup>	2 <sup>1/2</sup>	2 <sup>Head</sup>	3 <sup>1 1/4</sup>	8.40	pulled, btwn, best rest
5Apr09 <sup>8</sup> SA <sup>2</sup>	2	Foxy Danseur (Rosario, Joel)	124	BL	2	5	3 <sup>Head</sup>	3 <sup>Head</sup>	4 <sup>2 1/2</sup>	4 <sup>Head</sup>	1.10*	pulled, 3rd, weakened
25Apr09 <sup>1</sup> HOL <sup>6</sup>	3	Golden Doc A (Bejarano, Rafael)	124	BL	3	6	6	6	5 <sup>1</sup>	5 <sup>5 1/4</sup>	9.00	came out str, no bid
2Apr09 <sup>7</sup> SA <sup>6</sup>	1	Belliflore (FR) (Talamo, Joseph)	118	BL	1	4	5 <sup>1/2</sup>	5 <sup>Head</sup>	6	6	20.90	saved ground to 1/4

**Fractional Times:** 22.54 44.99 1:09.05      **Final Time:** 1:15.33  
**Split Times:** (22:45) (24:06) (6:28)  
**Run-Up:** 70 feet

**Winner:** Coco Belle, Chestnut Mare, by Storm Boot out of Nezzi, by Meadowlake. Foaled Feb 26, 2004 in Kentucky.  
**Breeder:** Mr. & Mrs. Jerry S. Moss.      **Winning Owner:** Moss, Mr. and Mrs. Jerome S.

**Total WPS Pool:** \$251,942

Pgm	Horse	Win	Place	Show	Wager Type	Winning Numbers	Payoff	Pool
6	Coco Belle	8.80	5.00	3.00	\$1.00 Exacta	6-4	17.40	154,142
4	She's Cheeky		4.80	3.00	\$2.00 Quinella	4-6	18.40	8,691
5	Ashley's Kitty			3.80	\$1.00 Trifecta	6-4-5	90.70	162,603
					\$2.00 Daily Double	4-6	51.80	27,684
					\$1.00 Pick 3	6-4-6 (3 correct)	134.20	40,630

**Past Performance Running Line Preview**

Pgm	Horse Name	Start	1/4	1/2	Str	Fin	IP
1	Belliflore (FR)	4	5 <sup>5 3/4</sup>	5 <sup>5</sup>	6 <sup>4 3/4</sup>	6 <sup>8 1/2</sup>	96
2	Foxy Danseur	5	3 <sup>1</sup>	3 <sup>2</sup>	4 <sup>1 1/4</sup>	4 <sup>3 1/4</sup>	107
3	Golden Doc A	6	6 <sup>5 1/4</sup>	6 <sup>5 1/4</sup>	5 <sup>3 3/4</sup>	5 <sup>3 1/4</sup>	106
4	She's Cheeky	3	4 <sup>1 1/4</sup>	4 <sup>2</sup>	3 <sup>1</sup>	2 <sup>1</sup>	111
5	Ashley's Kitty	1	2 <sup>1</sup>	2 <sup>1 1/2</sup>	2 <sup>1</sup>	3 <sup>2</sup>	109
6	Coco Belle	2	1 <sup>1</sup>	1 <sup>1 1/2</sup>	1 <sup>1</sup>	1 <sup>1</sup>	113

**Trainers:** 6 - Sadler, John; 4 - Eurton, Peter; 5 - West, Ted; 2 - Paasch, Christopher; 3 - Abrams, Barry; 1 - Biancone, Patrick

**Owners:** 6 - Moss, Mr. and Mrs. Jerome S.; 4 - Alesia, Frank, Bran Jam Stable and Ciaglia, Joseph; 5 - Brian Rose; 2 - Charles Cono LLC; 3 - Ron McCauley; 1 - Guy Pariente;

**Footnotes**

COCO BELLE was sent along outside foes then angled in, set the pace a bit off the rail then inside leaving the backstretch, came out a bit leaving the turn and into the stretch and held on gamely under left handed urging. SHE'S CHEEKY angled in and stalked the winner inside, continued along the rail in the stretch, could not catch that one but picked up the place. ASHLEY'S KITTY pulled a bit and stalked outside a rival then between foes on the backstretch and turn, continued between horses in the stretch and bested the others. FOXY DANSEUR outside a rival early, pulled her way up three deep to stalk the pace and weakened in the final furlong. GOLDEN DOC A settled off the rail then outside a rival, came out into the stretch and lacked a rally. BELLIFLORE (FR) saved ground chasing the pace, came out into the stretch and lacked a further response.

STAFF ANALYSIS  
DISCUSSION BY THE BOARD REGARDING  
THE ALLOCATION OF RACE DATES AND RELATED ISSUES FOR  
2010 AND BEYOND.

Regular Board Meeting  
July 23, 2009

BACKGROUND

Business and Profession Code section 19440 (a) provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include allocation of racing dates to qualified associations in accordance with the law.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

Attached are the following items to assist in the discussion of allocation of race dates and related issues for 2010 and beyond.

2009 Racing Calendars  
2008 Racing Calendars  
2009 Race Dates Bar Chart  
Race Days and Starters Statistics (2000 to 2009 Completed Meets)  
Business and Professions Code Section 19530-19540; 19542-19549.15  
2010 Blank Calendar Worksheet for Proposed Race Dates

RECOMMENDATION

This item is presented for Board discussion.

SOUTHERN CALIFORNIA  
2009 CALENDAR

Legend:

Del Mar 37

HP Fall 31

LATC 84

Fairplex 16

HPRA - Spring 56

Oak Tree 31

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

6/5/09 Board approved HPRA Spring race day reduction: June 17, 24 and July 1, 8, 15

# NORTHERN CALIFORNIA 2009 CALENDAR

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					26	27
28	29	30	31			

PRA @ GGF Winter-Spring	114
PRA @ GGF Fall	34
<b>Total PRA</b>	<b>148</b>

Stockton (6/18-6/28)	9
Pleasanton (7/1-7/19)	15
Vallejo (7/22-7/26)	5
Santa Rosa (7/29-8/9)	10
Ferndale (8/13-8/23)	9

CARF @ GGF	29 (8/12-8/23 & 9/9-10/4)
Sacramento (8/26-9/7)	11
Fresno (10/5-10/18)	10
<b>Total Fairs</b>	<b>98</b>

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Revised on 5/28/09 PRA canceled 3 race days: May 28, June 3, 10

# LOS ALAMITOS QUARTER HORSE 2009 CALENDAR

Total Race Days = 200

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

0

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

19

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

15

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

17

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

17

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

18

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

16

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

18

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

18

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

15

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

19

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

16

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

12

Revised on 5/28/09 cancellation of race day: May 28

# CAL EXPO HARNINGS 2009 CALENDAR

12/26/08 - 08/01/09 = 95

09/25/09 - 12/19/09 = 38

Total = 133

13-5

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			24	25	26	27
28	29	30	31			

2

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

15

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28

12

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

12

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

13

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

14

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

12

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

14

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

1

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

2

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

15

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

12

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

9

revised on 5/28/09: cancel (May 28) and reschedule race day (May 31)

## CHRB 2009 RACING CALENDAR

DEC      JAN      FEB      MAR      APR      MAY      JUNE      JULY      AUG      SEP      OCT      NOV      DEC      JAN

### THOROUGHBRED & FAIR MEETINGS

26	Los Angeles Turf Club Santa Anita (84 Days)	19	22	Hollywood Park Spring (56)	19	22	Del Mar (37)	9	LACF Fairplex 10(16)28	30	Oak Tree Santa Anita (31) 8	11	Hollywood Park Fall (31) 21
----	---	----	----	----------------------------------	----	----	-----------------	---	------------------------------	----	-----------------------------------	----	-----------------------------------

26	Pacific Racing Association Golden Gate Fields (114 Days)	14	18	NORTHERN FAIRS CARF (98)	18	Pacific Racing Association Golden Gate Fields (34) 13
----	--	----	----	--------------------------------	----	---

### QUARTER HORSE MEETING

1	LOS ALAMITOS (200)	20
---	-----------------------	----

### HARNESS MEETINGS

26	CAL EXPO Harness (CalExpo) (95)	1
----	---------------------------------------	---

25	CAL EXPO Harness (Cal Expo) (38)	19
----	--	----

#### FAIR MEETINGS - STATEWIDE

- STOCKTON - June 18-June 28 (9)
- PLEASANTON - July 1-July 19 (15)
- SOLANO - July 22, July 26 (5)
- SANTA ROSA - July 29-Aug 9 (10)
- CARF @GGF - Aug 12-Aug 23 (10)
- FERNDALE - Aug 13-Aug 23 (9)
- CAL EXPO - Aug 26-Sept. 7 (11)
- CARF @ GGF - Sept. 9-Oct 4 (19)
- POMONA - Sept 10-Sept 28 (16)
- FRESNO - Oct 7 - Oct 18 (10)

# 2008 - SOUTHERN CALIFORNIA RACE DATES CALENDAR

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			26	27	28	29
30	31					

5

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

15

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

23

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

2

16

5

Legend:

LATC 77

HPRA - Spring 60

Del Mar 43

HP Fall 40

Fairplex 16

Oak Tree 26

Days Canceled @ SA= 1/5, 1/6, 1/7, 1/24, 1/25, 1/27, 1/28, 2/3, 2/4, 2/7, 2/8

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	

20

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

21

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

21

3

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

23

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

10

14

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

22

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

14

6

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

27

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

15

LATC-12/26/07-4/20/08

HP Spring-4/23-7/13/08

Del Mar-7/16-9/3/08

Oak Tree-9/24-10/26/08

HP Fall-10/29-12/21/08

Pomona-9/5-9/22/08

# 2008 - NORTHERN CALIFORNIA RACE DATES CALENDAR

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			26	27	28	29
30	31					

GGF	127
BM	70
<b>Total</b>	<b>197</b>

Pleasanton	11
Vallejo	11
Santa Rosa	12
San Mateo	10
Cal.Expo	12

Stockton	9
Fresno	11
<b>Ferndale</b>	<b>10</b>
<b>Total Fairs</b>	<b>86</b>

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- GGF- 12/26/07-2/3/08, 5/14/08-6/22/08, 9/17/08-12/21/08 (LATC running last meet)
- BM-2/4/08-5/11/08
- Pleasanton-6/25-7/6/08
- Vallejo-7/9-7/20/08
- Santa Rosa-7/23-8/4/08
- San Mateo-8/6-8/18/08
- Ferndale-8/7-8/17/08
- CalExpo-8/20-9/1/08
- Stockton-9/3-9/14/08
- Fresno-10/1-10/13/08

# 2008 - LOS ALAMITOS QUARTER HORSE RACE DATES CALENDAR

Total Race Days = 204

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			26	27	28	29
30	31					

3

January

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

17

May

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

19

September

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

15

February

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	

16

June

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

17

October

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

18

March

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

18

July

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

17

November

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

17

April

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

16

August

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

19

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

12

**2008 - SACRAMENTO HARNESS ASSOCIATION RACE DATES CALENDAR**

SHA= 34 race days

**2008 - CALIFORNIA EXPOSITION STATE FAIR HARNESS RACING CALENDAR**

CalExpo = 106

CalExpo took over Harness on 3/6/08

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			26	27	28	29
30	31					

2

January						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

16

February						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	

16

March						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

12

April						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

12

May						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

15

June						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

12

July						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

12

August						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

2

September						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

6

October						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

14

November						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

12

December						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

9

**RACE DAYS AND STARTERS STATISTICS  
2000-2009 COMPLETED MEETS**

SUMMARY: RACE DAYS AND STARTERS STATS, 2000 - 2009										
THOROUGHBRED RACE MEETINGS	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
<b>LOS ANGELES TURF CLUB</b>										
Total Race Days	87	83	85	85	84	85	86	85	77	84
Total Races For Meet	749	713	733	733	726	730	746	740	696	727
Average Races Per Day	8.6	8.6	8.6	8.6	8.6	8.6	8.7	8.7	9.0	8.7
Total Starts For Meet	6,087	5,738	6,189	5,935	5,842	5,738	6,320	6,379	6,020	5980
Average Starts Per Race	8.1	8.0	8.4	8.1	8.0	7.9	8.5	8.6	8.6	8.2
<b>BAY MEADOWS OPERATING CO.</b>										
Total Race Days	106	107	105	105	104	105	105	100	70	
Total Races For Meet	913	916	893	891	878	880	890	839	591	
Average Races Per Day	8.6	8.6	8.5	8.5	8.4	8.4	8.5	8.4	8.4	
Total Starts For Meet	6,514	6,436	6,284	6,251	6,119	5,967	6,245	5,643	4,005	
Average Starts Per Race	7.1	7.0	7.0	7.0	7.0	6.8	7.0	6.7	6.8	
<b>PACIFIC RACING ASSOCIATION</b>										
Total Race Days	106	103	104	105	105	103	101	98	127	114
Total Races For Meet	899	874	882	890	886	886	855	842	1087	974
Average Races Per Day	8.5	8.5	8.5	8.5	8.4	8.6	8.5	8.6	8.6	8.5
Total Starts For Meet	7,162	6,510	6,864	6,524	6,280	6,361	5,725	6,442	8,614	6820
Average Starts Per Race	8.0	7.4	7.8	7.3	7.1	7.2	6.7	7.7	7.9	7.0
<b>HOLLYWOOD PARK SPRING</b>										
Total Race Days	65	66	65	65	65	64	61	63	60	
Total Races For Meet	568	571	557	558	558	551	531	544	520	
Average Races Per Day	8.7	8.7	8.6	8.6	8.6	8.6	8.7	8.6	8.7	
Total Starts For Meet	4,579	4,452	4,393	4,293	4,461	4,266	4,301	4,428	4,292	
Average Starts Per Race	8.1	7.8	7.9	7.7	8.0	7.7	8.1	8.1	8.3	
<b>DEL MAR THOROUGHBRED CLUB</b>										
Total Race Days	43	43	43	43	43	43	43	43	43	
Total Races For Meet	371	370	371	372	371	372	371	371	371	
Average Races Per Day	8.6	8.6	8.6	8.7	8.6	8.7	8.6	8.6	8.6	
Total Starts For Meet	3,096	3,141	3,034	3,048	3,064	3,128	3,139	3,212	3,188	
Average Starts Per Race	8.3	8.5	8.2	8.2	8.3	8.4	8.5	8.7	8.6	
<b>OAK TREE RACING ASSOCIATION</b>										
Total Race Days	27	32	26	32	26	31	26	31	26	
Total Races For Meet	231	273	222	270	222	267	226	274	217	
Average Races Per Day	8.6	8.5	8.5	8.4	8.5	8.6	8.7	8.8	8.3	
Total Starts For Meet	1,973	2,184	1,853	2,122	1,776	2,099	1,844	2,439	1,925	
Average Starts Per Race	8.5	8.0	8.3	7.9	8.0	7.9	8.2	8.9	8.9	
<b>HOLLYWOOD PARK FALL</b>										
Total Race Days	35	31	35	30	36	27	36	32	40	
Total Races For Meet	301	270	299	258	308	228	315	283	344	
Average Races Per Day	8.6	8.7	8.5	8.6	8.6	8.4	8.8	8.8	8.6	
Total Starts For Meet	2,254	2,046	2,204	1,920	2,320	1,698	2,658	2,473	2660	
Average Starts Per Race	7.5	7.6	7.4	7.4	7.5	7.4	8.4	8.7	7.7	
<b>TOTAL THOROUGHBRED</b>										
Total Race Days	469	465	463	465	463	458	458	452	443	198
Total Races	4,032	3,987	3,957	3,972	3,949	3,914	3,934	3,893	3,826	1,701
Average Races Per Day	8.6	8.6	8.5	8.5	8.5	8.5	8.6	8.6	8.6	8.6
Total Starts	31,665	30,507	30,821	30,093	29,862	29,257	30,232	31,016	30,704	12,800
Average Starts Per Race	7.9	7.7	7.8	7.6	7.6	7.5	7.7	8.0	8.0	7.5

**RACE DAYS AND STARTERS STATISTICS  
2000-2009 COMPLETED MEETS**

SUMMARY: RACE DAYS AND STARTERS STATS, 2000 - 2009										
FAIR RACE MEETINGS	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
<b>SAN JOAQUIN</b>										
Total Race Days	10	10	10	10	10	10	10	9	9	9
Total Races For Meet	104	104	104	104	104	102	103	94	85	95
Average Races Per Day	10.4	10.4	10.4	10.4	10.4	10.2	10.3	10.4	9.4	10.6
Total Starts For Meet	836	816	775	846	797	755	700	714	575	732
Average Starts Per Race	8.0	7.8	7.5	8.1	7.7	7.4	6.8	7.6	6.8	7.7
<b>ALAMEDA</b>										
Total Race Days	11	11	11	11	11	11	11	11	11	
Total Races For Meet	129	120	120	120	120	120	120	121	116	
Average Races Per Day	11.7	10.9	10.9	10.9	10.9	10.9	10.9	11.0	10.5	
Total Starts For Meet	1,057	928	880	927	916	896	848	868	855	
Average Starts Per Race	8.2	7.7	7.3	7.7	7.6	7.5	7.1	7.2	7.4	
<b>SOLANO</b>										
Total Race Days	12	12	11	10	11	11	11	-	11	
Total Races For Meet	136	128	118	114	125	124	125	-	116	
Average Races Per Day	11.3	10.7	10.7	11.4	11.4	11.3	11.4	-	10.5	
Total Starts For Meet	1,145	1,019	921	948	986	942	893	-	823	
Average Starts Per Race	8.4	8.0	7.8	8.3	7.9	7.6	7.1	-	7.1	
<b>SONOMA</b>										
Total Race Days	12	12	12	12	12	12	12	-	12	
Total Races For Meet	145	132	133	134	134	133	132	-	126	
Average Races Per Day	12.1	11.0	11.1	11.2	11.2	11.1	11.0	-	10.5	
Total Starts For Meet	1,111	1,024	986	1,049	1,005	1,003	979	-	957	
Average Starts Per Race	7.7	7.8	7.4	7.8	7.5	7.5	7.4	-	7.6	
<b>HUMBOLDT</b>										
Total Race Days	10	10	10	10	10	10	10	10	10	
Total Races For Meet	77	76	74	71	73	75	77	75	72	
Average Races Per Day	7.7	7.6	7.4	7.1	7.3	7.5	7.7	7.5	7.2	
Total Starts For Meet	464	473	482	416	421	483	483	504	442	
Average Starts Per Race	6.0	6.2	6.5	5.9	5.8	6.4	6.3	6.7	6.1	
<b>SAN MATEO</b>										
Total Race Days	12	12	12	12	12	12	12	11	10	
Total Races For Meet	119	115	113	112	101	113	111	93	87	
Average Races Per Day	9.9	9.6	9.4	9.3	8.4	9.4	9.3	8.5	8.7	
Total Starts For Meet	792	792	714	760	670	800	759	597	608	
Average Starts Per Race	6.7	6.9	6.3	6.8	6.6	7.1	6.8	6.4	7.0	
<b>FRESNO</b>										
Total Race Days	10	11	10	11	10	11	11	11	11	
Total Races For Meet	98	102	98	101	91	99	100	101	106	
Average Races Per Day	9.8	9.3	9.8	9.2	9.1	9.0	9.1	9.2	9.6	
Total Starts For Meet	785	830	755	762	747	702	797	770	836	
Average Starts Per Race	8.0	8.1	7.7	7.5	8.2	7.1	8.0	7.6	7.9	
<b>CAL EXPO &amp; STATE FAIR</b>										
Total Race Days	12	12	12	11	12	-	-	-	11	
Total Races For Meet	132	131	132	122	127	-	-	-	103	
Average Races Per Day	11.0	10.9	11.0	11.1	10.6	-	-	-	9.4	
Total Starts For Meet	965	1,042	999	943	960	-	-	-	712	
Average Starts Per Race	7.3	8.0	7.6	7.7	7.6	-	-	-	6.9	
<b>LOS ANGELES/POMONA</b>										
Total Race Days	18	17	17	17	17	16	16	16	16	
Total Races For Meet	214	196	194	197	196	195	195	195	185	
Average Races Per Day	11.9	11.5	11.4	11.6	11.5	12.2	12.2	12.2	11.6	
Total Starts For Meet	1,629	1,498	1,416	1,549	1,513	1,524	1,573	1,636	1,453	
Average Starts Per Race	7.6	7.6	7.3	7.9	7.7	7.8	8.1	8.4	7.9	

**RACE DAYS AND STARTERS STATISTICS  
2000-2009 COMPLETED MEETS**

SUMMARY: RACE DAYS AND STARTERS STATS, 2000 - 2009										
F. RACE MEETINGS	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
<b>WINE COUNTRY</b>										
Total Race Days								23	-	
Total Races For Meet								243	-	
Average Races Per Day								10.6	-	
Total Starts For Meet								1,861	-	
Average Starts Per Race								7.7	-	
<b>TOTAL FAIRS</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>
Total Race Days	95	95	93	93	93	93	93	91	101	9
Total Races	1,022	973	954	953	944	961	963	922	996	95
Average Races Per Day	10.8	10.2	10.3	10.2	10.2	10.3	10.4	10.1	9.9	10.6
Total Starts	7,819	7,380	6,929	7,257	7,055	7,105	7,032	6,950	7,261	732
Average Starts Per Race	7.7	7.6	7.3	7.6	7.5	7.4	7.3	7.5	7.3	7.7

**RACE DAYS AND STARTERS STATISTICS  
2000-2009 COMPLETED MEETS**

SUMMARY: RACE DAYS AND STARTERS STATS, 2000 - 2009										
HARNESS & QUARTER HORSE	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
<b>LOS ALAMITOS HARNESS</b>										
Total Race Days	63	-	-	-	-	-	-	-	-	-
Total Races For Meet	805	-	-	-	-	-	-	-	-	-
Average Races Per Day	12.8	-	-	-	-	-	-	-	-	-
Total Starts For Meet	6,471	-	-	-	-	-	-	-	-	-
Average Starts Per Race	8.0	-	-	-	-	-	-	-	-	-
<b>CAL EXPO HARNESS</b>										
Total Race Days										106
Total Races For Meet										1,365
Average Races Per Day										12.9
Total Starts For Meet										10,031
Average Starts Per Race										7.3
<b>SACRAMENTO HARNESS ASSOC.</b>										
Total Race Days	-	-	-	-	-	-	192	193	34	
Total Races For Meet	-	-	-	-	-	-	2,381	2,345	414	
Average Races Per Day	-	-	-	-	-	-	12.4	12.2	12.2	
Total Starts For Meet	-	-	-	-	-	-	18,625	18,077	2899	
Average Starts Per Race	-	-	-	-	-	-	7.8	7.7	7.0	
<b>CAPITOL RACING SPRING</b>										
Total Race Days	56	115	133	123	124	122	-	-	-	
Total Races For Meet	731	1,500	1,677	1,561	1,702	1,387	-	-	-	
Average Races Per Day	13.1	13.0	12.6	12.7	13.7	11.4	-	-	-	
Total Starts For Meet	5,367	11,223	12,992	11,999	11,923	10,215	-	-	-	
Average Starts Per Race	7.3	7.5	7.7	7.7	7.0	7.4	-	-	-	
<b>CAPITOL RACING FALL</b>										
Total Race Days	28	50	46	46	46	53	-	-	-	
Total Races For Meet	363	619	569	620	620	667	-	-	-	
Average Races Per Day	13.0	12.4	12.4	13.5	13.5	12.6	-	-	-	
Total Starts For Meet	2,790	4,631	4,260	4,853	4,853	5,143	-	-	-	
Average Starts Per Race	7.7	7.5	7.5	7.8	7.8	7.7	-	-	-	
<b>LOS ALAMITOS QUARTER HORSE</b>										
Total Race Days	140	181	203	204	204	200	201	202	203	
Total Races For Meet	1,430	1,878	1,903	1,878	1,878	1,920	1,919	1,920	1863	
Average Races Per Day	10.2	10.4	9.4	9.2	9.2	9.6	9.5	9.5	9.2	
Total Starts For Meet	11,377	14,323	12,626	13,365	13,365	14,054	14,068	14,054	13734	
Average Starts Per Race	8.0	7.6	6.6	7.1	7.1	7.3	7.3	7.3	7.4	
<b>TOTAL NIGHT INDUSTRY</b>										
Total Race Days	287	346	382	373	374	375	393	395	343	
Total Races For Meet	3,329	3,997	4,149	4,059	4,200	3,974	4,300	4,265	3,642	
Average Races Per Day	11.6	11.6	10.9	10.9	11.2	10.6	10.9	10.8	10.6	
Total Starts For Meet	26,005	30,177	29,878	30,217	30,141	29,412	32,693	32,131	26,664	
Average Starts Per Race	7.8	7.5	7.2	7.4	7.2	7.4	7.6	7.5	7.3	
<b>GRAND TOTAL ALL RACING</b>										
Total Race Days	851	906	938	931	930	926	944	938	887	207
Total Races	8,383	8,957	9,060	8,984	9,093	8,849	9,197	9,080	8,464	1,796
Average Races Per Day	9.9	9.9	9.7	9.6	9.8	9.6	9.7	9.7	9.5	8.7
Total Starts	65,489	68,064	67,628	67,567	67,058	65,774	69,957	70,097	64,629	13,532
Average Starts Per Race	7.8	7.6	7.5	7.5	7.4	7.4	7.6	7.7	7.6	7.5
Source: CHRIMS Runners Statistics Report										

CALIFORNIA CODES  
BUSINESS AND PROFESSIONS CODE  
SECTION 19530-19540

19530. The board shall have the authority to allocate racing weeks to an applicant or applicants pursuant to the provisions of this article and Article 6.5 (commencing with Section 19540) and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of this chapter. The decision of the board as to such racing days, dates, and hours shall be subject to change, limitation or restriction only by the board. No municipality or county shall adopt or enforce any ordinance or regulation which has or may have the effect of directly or indirectly regulating, limiting or restricting the racing days and dates of horse racing meetings.

19530.5. For the purposes of this article there shall be three geographical zones which shall be designated (a) the "southern zone," which shall consist of the Counties of Imperial, Orange, Riverside, and San Diego; (b) the "central zone," which shall consist of the Counties of Kern, Los Angeles, San Bernardino, San Luis Obispo, Santa Barbara, and Ventura; and (c) the "northern zone," which shall consist of the remaining counties in the state.

19531. The board shall make allocations of racing weeks, including simultaneous racing between zones, as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows:

(a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone.

(b) For harness racing: 25 weeks per year in the northern zone.

(c) For quarter horse racing: 25 weeks per year in the northern zone.

(d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones.

(e) In its written application for a license, an applicant shall state the time of day, consistent with this chapter, during which it will conduct its racing meeting, and particularly the first race starting time for the various racing days. After receiving a license, a licensee shall not change the first race starting time without securing prior approval of the board.

(f) Notwithstanding this section or any other provision in this chapter, the board shall not allocate dates to a thoroughbred association in the central zone for the purpose of conducting racing during daytime hours if a thoroughbred racing association is conducting racing in the southern zone on the same date during daytime hours.

19531.1. Notwithstanding any other provision of law, the board shall not allocate racing dates to a private thoroughbred racing association in the central or southern zone for the purpose of conducting thoroughbred racing during daytime or nighttime hours if a fair racing association is conducting racing in the central zone on

the same dates and if that fair is obligated to make payments on a capital expense loan incurred for the purpose of improving its facilities for horse racing.

19532. (a) Any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing.

(b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year.

(c) This section and Section 19531 shall not operate to deprive any association of any weeks of racing granted during 1980.

(d) This section and Section 19531 shall not operate to deprive the California State Fair and Exposition of any weeks of racing granted during the previous calendar year, and the board may continue to allocate those weeks of racing to the California Exposition and State Fair or any lessee thereof.

(e) Nothing in subdivision (d) is a limitation on the board allocating racing weeks to any private racing association as a lessee of the California Exposition and State Fair racetrack facility pursuant to Sections 19531 and 19532.

19532.1. (a) Notwithstanding any other provision of law, excluding venues under construction for the purpose of expanded year-round stabling and training for thoroughbred race horses, if a venue used for thoroughbred racing by an association or racing fair licensed to conduct thoroughbred racing in the central zone in 2008 is not available for racing in 2009 or thereafter, the dates formerly allocated to that venue shall be available for allocation by the board to licensed associations or racing fairs in the southern or central zone.

(b) Upon allocation of dates pursuant to this section, no association or racing fair licensed to conduct thoroughbred racing in the southern or central zones may receive more than 25 weeks of thoroughbred racing when aggregated among the combined southern and central zones.

(c) Notwithstanding subdivisions (a) and (b), the aggregate allocation of racing weeks conducted in the southern and central zones shall not exceed the total aggregate racing weeks permitted to be run in the southern and central zones by Section 19531.

19533. (a) Any license granted to an association other than a fair shall be only for one type of racing, thoroughbred, harness, or quarter horse racing as the case may be, except that the board may authorize the entering of thoroughbred and Appaloosa horses in quarter horse races at a distance not exceeding five furlongs at quarter horse meetings, mixed breed meetings, and fair meetings. If the board authorizes the entering of thoroughbred or Appaloosa horses in quarter horse races, the following conditions shall be met:

(1) Any race written for participation by quarter horses, Appaloosas, and thoroughbreds shall be written as quarter horse preferred.

(2) The number of races written as quarter horse preferred at a distance exceeding 870 yards shall not exceed more than three races per program without the consent of the quarter horse horsemen's organization contracting with the association.

(3) More than one-half of the races on any program shall be for quarter horses at a distance not to exceed 550 yards, unless the consent of the quarter horse horsemen's organization is received.

(4) Mixed races with Appaloosa and quarter horses may only be written with the consent of the quarter horse horsemen's organization contracting with the association.

(5) Thoroughbreds shall constitute less than half the number of horses in these races although an exception may be granted on a race-to-race basis with the consent of the quarter horse horsemen's organization contracting with the association.

(b) The association that conducts the meeting shall pay to a thoroughbred trainers' organization an amount for a pension plan for backstretch personnel to be administered by that trainers' organization equivalent to 1 percent of the amount available to thoroughbred horses for purses. The remainder of the portion shall be distributed as purses. Any redistributable money paid to the board pursuant to Section 19641, which is paid to a welfare fund established by a horsemen's organization from races with both thoroughbred and quarter horses, shall be divided pro rata between the two welfare funds based on the number of thoroughbreds and quarter horses in the race.

(c) (1) Notwithstanding any other provision of law, any association licensed to conduct quarter horse racing may apply to the board for, and the board shall grant, authority to conduct thoroughbred racing as part of its racing program if all of the following conditions are met:

(A) The thoroughbred races are for a claiming price of not more than five thousand dollars (\$5,000), and at a distance of four and one-half furlongs or less. The races may not be stakes, allowance races, or maiden allowance races.

(B) More than one-half of the races on any program shall be for quarter horses at a distance not to exceed 550 yards, unless the consent of the quarter horse horsemen's organization is received.

(C) The consent of the quarter horse horsemen's organization contracting with the association is obtained with respect to the inclusion of thoroughbred racing.

(2) The quarter horse racing association conducting thoroughbred racing pursuant to this subdivision shall pay to a quarter horse horsemen's organization the amount specified in subdivision (e) of Section 19613, and an amount for a pension plan for backstretch personnel to be administered by a thoroughbred trainers' organization equivalent to 1 percent of the amount available to thoroughbred horses for purses. The remainder of the portion shall be distributed as purses. The quarter horse racing association shall also deduct the appropriate amount to comply with subdivision (a) of Section 19617.2 for distribution to the thoroughbred official registering agency.

19533.5. (a) Notwithstanding Section 19533, the board may authorize the following mixed breed racing:

(1) An association licensed to conduct a quarter horse meeting to include Appaloosa races and Arabian races with the consent of the quarter horse horsemen's organization contracting with the association with respect to the conduct of the racing meeting.

(2) A race between a quarter horse and a thoroughbred horse at a thoroughbred meeting with the consent of the thoroughbred horsemen's organization contracting with the association with respect to the conduct of the racing meeting.

(b) Notwithstanding Section 19533, an association licensed to conduct quarter horse racing or a fair may conduct races that include paint horses racing with quarter horses or Appaloosa horses in the same race. When paint horses race with quarter horses, the consent of the organization that represents quarter horse horsemen and horsewomen shall first be obtained. A quarter horse association may write a race for paint horses only to replace an Appaloosa or Arabian race without increasing the average number of races run per race day with the consent of the organization representing the quarter horse men and women.

(c) A quarter horse race with seven or more entries shall not be replaced by a race that includes paint horses, without the consent of the organization that represents quarter horse horsemen and horsewomen.

(d) Notwithstanding any other provision of law, any quarter horse racing association or fair conducting barrel racing, paint horse racing, show jump racing, or steeplechase racing shall pay to the quarter horsemen's organization the amount specified in Section 19613 for purposes of representing the horsemen and horsewomen conducting these races.

19533.6. Notwithstanding Section 19533, the board may authorize any racing association licensed to conduct a live quarter horse racing meeting to also conduct mule racing at that racing meeting, subject to the following conditions:

(a) Mule races may only be conducted when a fair is not licensed to conduct live races with parimutuel wagering.

(b) The consent of the quarter horse horsemen's organization contracting with the association shall be obtained with respect to the inclusion of mule racing.

(c) The majority of the races conducted on any given racing day shall be quarter horse races.

(d) A quarter horse association may conduct mule races provided that the total number of Arabian and mule races run in a year do not exceed the total number of Arabian races run in the state in 2001.

(e) An Arabian race with seven or more entries shall not be replaced by mule race, without the consent of the organization that represents Arabian horsemen and horsewomen.

19535. (a) Notwithstanding any other provision of law, at the time the board allocates racing weeks, it shall determine the number of useable stalls that each association or fair shall make available and maintain in order to conduct the racing meeting. The minimum number of stalls may be at the site of the racing meeting or at board-approved offsite locations.

(b) With respect to racing meetings conducted in the northern zone, the association or fair conducting the meeting shall provide all stabling required by the board pursuant to subdivision (a) without cost to participating horsemen. Offsite stabling shall be at a board approved facility or facilities selected by the association or fair, with the agreement of the organization representing horsemen

participating at the meeting. If there is a disagreement between the association or fair and the organization representing the majority of horsemen participating at the meeting with respect to the selection of offsite stabling facilities, the board, at the request of the association or fair or the organization representing the majority of horsemen participating at the meeting, shall promptly determine the board-approved facility or facilities at which offsite stabling shall be made available. The organization representing horsemen participating at the meeting and the association or fair shall mutually agree on the criteria and selection of horses that may use stalls required pursuant to this section. With respect to northern zone thoroughbred meetings only, the association shall also provide, at the option of the horse owner, vanning of participating racehorses from any board-approved offsite stabling facility in the northern zone. Fairs may provide, subject to the availability of funds pursuant to Sections 19607, 19607.1, 19607.2, and 19607.3, at the option of the horse owner, vanning of participating racehorses from any board-approved offsite stabling facility.

(c) With respect to racing meetings conducted in the central or southern zones, all costs associated with the maintenance of the useable stalls for the racing meeting shall be borne by the association or fair conducting the meeting, and, with respect to useable stalls at an offsite location, the association or fair may be required, by order of the board, to bear the costs of vanning from the offsite location to the racing meeting. However, with respect to any racing association in the central or southern zone that conducted a racing meeting in 1986, if the number of useable stalls made available onsite by a racing association during a racing meeting is less than 95 percent of the number of useable stalls made available onsite by that racing association during its 1986 racing meeting, the racing association shall reimburse the facility providing offsite stabling for the difference in cost between the actual number of useable stalls made available and 95 percent of the useable stalls made available in 1986.

The racing association shall, in addition, reimburse the owner for vanning to the onsite location with respect to those horses stabled at an offsite location necessitated by the failure of a racing association to maintain 95 percent of the useable stalls made available by that racing association during its 1986 racing meeting.

**19540.** In order to encourage and develop the racing of all horses in California, regardless of breed, whenever a fair conducts a program of horse races on which there is parimutuel wagering, the fair, so far as practicable, shall provide a program of racing that includes thoroughbred racing, quarter horse racing, Arabian racing, and Appaloosa racing, if a sufficient number of horses is available to provide competition in one or more races.

CALIFORNIA CODES  
BUSINESS AND PROFESSIONS CODE  
SECTION 19542-19549.15

19542. Notwithstanding any other provision of law, fairs that conduct live horse racing meetings in the northern zone may allow a joint powers authority to administer and distribute purses and to achieve the purposes of Section 19606.4.

19546. (a) In order to encourage and develop the racing of all horses in California, regardless of breed, whenever a fair conducts a program of parimutuel wagering, it may provide a program of mixed breed racing that includes thoroughbred racing, harness racing, quarter horse racing, Arabian racing, paint racing, Appaloosa racing, steeplechase races, barrel races, and show jumping races.

(b) All recognized breeds of horses may compete in barrel races, show jumping races, and steeplechase races. Parimutuel wagering may be conducted on barrel races, show jumping races, and steeplechase races at any public or private facility that has been approved and licensed by the board.

19547. Notwithstanding Section 19490, no deposit shall be required for any horse racing meeting conducted by a state designated fair.

19548. Except as provided in this chapter, no license, tax, or fee shall be assessed against or collected from any fair which, directly and not through any private person, conducts a horse racing meeting.

19549. Except as provided in Section 19549.1, the maximum number of racing weeks that may be allocated to a fair shall be four weeks each year. The board shall take public testimony and make all determinations on the allocation of racing dates during a public hearing. All discussions of allocating racing dates by the board or its subcommittees shall be conducted during a public hearing. Nothing in this section diminishes the authority of the board to establish racing dates.

19549.1. Notwithstanding Sections 19533 and 19549 or any other provision of this chapter, the board may allocate horse racing days for mixed breed meetings and combined fair horse racing meetings pursuant to Section 4058 of the Food and Agricultural Code, except as follows:

(a) Dates may only be allocated for a combined fair horse racing meeting between June 1 and October 31.

(b) Days may not be allocated for a mixed breed meeting or a

combined fair horse racing meeting during the month of June at the California Exposition and State Fair if a standardbred meeting is being conducted at that facility during the month of June.

The mixed breed meetings shall be conducted by a person other than the fair and shall be subject to Section 19550. The mixed breed meetings shall encourage the racing of emerging breeds of horses.

19549.2. From the weeks available for harness and quarter horse racing pursuant to subdivision (d) of Section 19531, the board may allocate a maximum of 12 weeks of harness racing to the 22nd District Agricultural Association to be conducted on the 22nd District Agricultural Association grounds. The racing shall be conducted by a person other than the 22nd District Agricultural Association.

19549.3. Notwithstanding Section 19549 or any other provision of this chapter, the board may annually allocate a maximum of 28 racing days to any county fair in the northern zone which did not conduct horseracing prior to January 1, 1985.

19549.4. Notwithstanding Section 19414.5, the board may allocate racing weeks consisting of fewer than five days to an association conducting harness or quarter horse racing meetings if the association and the organization representing the horsemen participating in the meeting agree to the allocation.

19549.6. Notwithstanding subdivision (b) of Section 19531 and Sections 19540, 19546, and 19549, the board may allocate additional weeks of harness racing to the California Exposition and State Fair in Sacramento or its lessee, to be raced at the California Exposition and State Fair in Sacramento.

19549.7. Notwithstanding subdivision (c) of Section 19531 and Section 19549, the board may allocate additional weeks of quarter horse racing to a lessee of the California Exposition and State Fair in Sacramento to be raced at the California Exposition and State Fair in Sacramento.

19549.9. Notwithstanding subdivision (d) of Section 19531 and Section 19549, the board may allocate up to 10 additional weeks of harness racing to the Los Angeles County Fair, or its lessee, to be raced at the fairgrounds in Pomona.

19549.12. Notwithstanding Sections 19482 and 19549, any weeks of harness racing or quarter horse racing allocated by the board to be

raced at the facilities of a county fair which conducts its racing meeting pursuant to Section 19549.3 may be conducted by the fair. License fees for racing at the facilities of the county fair other than for the racing days allocated pursuant to Section 19549.3 are exempt from Section 19614.5.

19549.13. (a) Fairs that conduct racing meetings in the northern zone may, and horsemen's organizations that represent horsemen who participate at fair racing meetings in the northern zone shall, jointly develop a program to provide for stabling and training facilities. This program shall be based on the anticipated inventory of horses and the number of available stalls and locations.

(b) Participating fairs and horsemen's organizations shall annually ratify an agreement which includes provisions governing the operation of the stabling and training facilities. The agreement shall also specify the conditions under which a participating fair may terminate its participation in the program.

(c) Individual horsemen who elect to participate in the program shall be required to sign standard agreements with the participating fair governing the operation of the program. The agreements shall contain provisions that govern the operation of the program, including, but not be limited to, insurance coverage and payment of a security deposit.

(d) All agreements provided for in this section shall be approved by the board.

(e) Each fair that conducts racing meetings in the northern zone may elect whether to participate in the stabling and training program.

19549.14. (a) Notwithstanding, Section 19489 or any other provision of this chapter, the board may permit the San Mateo County Fair to conduct live racing meetings at another site within or outside San Mateo County if its present site, Bay Meadows, closes.

(b) Live horse racing meetings conducted by the San Mateo County Fair, whether they are conducted within or outside of San Mateo County, shall be subject to the same provisions as are presently applicable to the San Mateo County Fair's conduct of live horse racing meetings at Bay Meadows.

(c) If the racing association licensed in the year 2002 to conduct thoroughbred race meetings in San Mateo County is not licensed to conduct a horse racing meeting in that county in any subsequent year, the San Mateo County Fair may, subject to the approval of the board, conduct its racing dates at a facility operated by a thoroughbred racing association or fair licensed to conduct a meeting in the northern zone.

19549.15. (a) Notwithstanding Section 19489 or any other provision of this chapter, the board may permit the Solano County Fair to conduct live racing meetings at another site within or outside Solano County, if the site of its 2002 racing meeting is no longer available for horse racing in any subsequent year. Further, subject

to the approval of the board, the Solano County Fair may conduct its racing dates at a facility operated by a thoroughbred racing association or fair licensed to conduct a racing meeting in the northern zone.

(b) Any racing meeting licensed to the fair pursuant to subdivision (a) may be operated by the fair or the fair may contract for the operation and management of the racing meeting with an individual thoroughbred racing association or fair, or a partnership, joint venture, or other affiliation of one or more thoroughbred racing associations or fairs.

2010 CALENDAR

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						26
27	28	29	30	31		

January

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

March

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

May

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

June

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

July

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

September

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

October

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

November

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

December

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
ON THE NOMINATION OF MEMBERS TO THE  
BOARD OF DIRECTORS OF THE  
CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Regular Board Meeting  
July 23, 2009

## BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of nine directors who are subject to Board approval. At least 40 percent of the directors must have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer. Additionally, they may not be current members of the horsemen's organization.

## ANALYSIS

The California Thoroughbred Horsemen's Foundation (CTHF) has nominated five individuals for open board member positions. The five nominations will bring the CTHF board to a total of nine members, which is the maximum under the provisions of Rule 2049. In accordance with Rule 2049(a) the nominations have been submitted to the CHRB for approval.

The following individuals were nominated for a two-year term, which will expire on June 30, 2011:

Robert Bean	Licensed Thoroughbred Trainer
Ken Smole	Licensed Thoroughbred Owner
David Natfzger	Licensed Thoroughbred Owner
George Nicholaw	
Lynda Ross	

## RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the CTHF representative.

## CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

*"Assisting Horsemen in Need"*

July 30, 2007

NOBLE THREEWITT  
*President*

RICK ARTHUR, D.V.M.  
*Chair*

GEORGE NICHOLAW  
*Vice Chair*

BARBARA SCURFIELD  
*Secretary*

*Board of Directors*  
RICK ARTHUR, D.V.M.  
RANDALL BROWNING, M.D.  
CLIFF GOODRICH  
ED MOGER  
GEORGE NICHOLAW  
LYNDA ROSS  
BARBARA SCURFIELD  
KEN SMOLE  
NOBLE THREEWITT

LEONARD DORFMAN  
*Director Emeritus*

KEVIN BOLLING  
*Executive Director*

ROBERT FORGNONE  
*Counsel*

Kirk Breed  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Breed,

During the June Board of Directors' meeting of the California Thoroughbred Horsemen's Foundation, Inc., nominations were held for open board member positions. The following individuals were nominated for a two-year term, expiring June 30, 2011:

Robert Bean	Licensed Thoroughbred Trainer
Ken Smole	Licensed Thoroughbred Owner
David Natfzger	Licensed Thoroughbred Owner
George Nicholaw	
Lynda Ross	

Pursuant to Rule 2049(a) of the California Horse Racing Board Rules and Regulations, the nominations are submitted to the CHRB for approval. These nominations bring the board to a total of nine members.

Please let me know if there is anything we can do to assist in the process. I look forward to hearing from you.

Sincerely,

Kevin Bolling  
Executive Director

CALIFORNIA HORSE RACING BOARD  
TITLE 4, CALIFORNIA CODE OF REGULATIONS  
ARTICLE 23. CHARITY FOUNDATIONS AND WELFARE FUNDS  
RULE 2049 DESIGNATION AND APPROVAL OF HORSEMEN'S WELFARE FUND

Regular Board Meeting  
July 23, 2009

2049. Designations and Approval of Horsemen's Welfare Fund.

The horsemen's organization acknowledged by the Board under Rule 2040 of this division shall establish a charitable corporation to administer its welfare fund for the benefit of horsemen. The charitable corporation shall register with the Registry of Charitable Trusts and be in compliance with the provisions of the Uniform Supervision of Trustees for Charitable Purposes Act (Government Code Sections 12580 et seq.). The Board shall designate the charitable corporation as the "welfare fund" for the purposes intended under Section 19641(b) of the Business and Professions Code (B&P Code); if:

(a) The charitable corporation shall have a minimum of five and a maximum of nine directors or trustees who are subject to Board approval. The charitable corporation may not be a subsidiary or division of the horsemen's organization. The directors or trustees of the charitable corporation may be common directors of the horsemen's organization if at least forty percent of the directors or trustees of the charitable corporation have no financial interest in horse racing as a licensed horse owner, trainer, or assistant trainer and are not a current member of the horsemen's organization. The charitable corporation shall select at least one director or trustee without financial interest in horse racing pursuant to B&P Code Section 19641.2(c). The term of a director or trustee is two years. A director or trustee may serve succeeding terms.

(b) The charitable corporation shall establish its fiscal year to be July 1 through June 30 and shall establish a principal office for the conduct of its activities and as the repository for its records. Its records shall be open to inspection by the Board during normal business hours. The corporation shall publish a report of its activities biennially.

(c) The directors or trustees shall appoint an administrator of the welfare fund who shall procure a license from the Board and perform the duties directed by the directors or trustees.

(d) The directors or trustees shall establish a constitution or bylaws setting forth criteria for eligibility of the beneficiaries of the various programs and activities to be funded under Section 19641(b) of the B&P Code and submit to the Board on or before October 31 of each year a proposed schedule of expenditures from the fund for the next fiscal year specifying therein the categories, programs or activities for which funds will be expended.

Authority: Section 19440,  
Business and Professions Code.

Reference: Section 19641 and 19641.2,  
Business and Professions Code;  
Section 9.00, Chapter 324,  
Statutes of 1983 (Budget Act of 1983).

DISCUSSION BY THE BOARD REGARDING THE UPDATE FROM  
SURFSIDE RACE PLACE CONCERNING  
THE STATUS OF THE MOLD PROBLEM AT THE  
SURFSIDE RACE PLACE (SIMULCAST FACILITY) AT DEL MAR

Regular Board Meeting  
July 23, 2009

BACKGROUND

At the May 25, 2006, Regular Board Meeting a representative of the Surfside Race Place reported that mold at its facility was the result of intrusion of winter rains. However, the indoor mold problem had been remediate and industrial hygienist cleared the building. So, from the Surfside Race Place perspective the issue was resolved. The Pari-Mutuel Employee's Guild (Guild) stated the process of addressing the problem was not complete, and had taken too long. At least one Guild member had not been cleared by his physician to re-enter the building, so it did not consider the issue resolved. The Guild also stated monitoring for mold should continue in the long-term.

Pari-Mutuel/ADW and Simulcast Committee (committee) met on July 20, 2007, and discussed the issue of mold at the Surfside Race Place. The committee was told that industrial hygienists had given the facility a clean bill of health. In addition, Cal-OSHA reported that it only had regulations for prevention of water intrusion and an immediate correction of water intrusion or build up to prevent the potential for mold. Cal-OSHA did not have a mold indoor air quality standard. It did have a standard that stated if there was water intrusion, the employer was required to monitor and inspect its workplace to prevent potential problems from getting worse. The Cal-OSHA representative stated his organization believed Surf Side Race Place had gone beyond what it required by hiring a consultant and conducting private sector sampling, which would be repeated every six months. The underlying problem of water intrusion had been corrected, so it was unlikely new mold would occur. The 22<sup>nd</sup> District Agricultural Association agreed to inspect the facility and take air measurements at least every six months. (The committee included this information when it made a report about its July 20, 2007, meeting at the August 21, 2007, Regular Board Meeting.)

At the April 24, 2009, Regular Board Meeting the Guild requested the issue of mold at Surfside Race Place be placed on a future agenda. George Bradvica of Surfside Race Place reported his organization had been conducting regular testing for mold, which consistently indicated no excessive levels. Surfside Race Place had also accomplished a lot of mediation work on its facility. The Guild representative stated that since the beginning of 2009 a number of its employees had been ill. At the June 5, 2009, Regular Board Meeting a Guild representative spoke about his organization's continuing concerns with mold at Surfside Race Place. He stated the Guild had been working with Deputy Attorney General Deborah Fletcher regarding the issue.

Attached for the Board's reference are:

- Letter from Deputy Attorney General Deborah Fletcher, dated June 22, 2009.
- Eilar Associates – Environmental Surveys and Testing report on semi-annual mold air sampling survey conducted at Surfside Race Place on May 12, 2009.

#### RECOMMENDATION

This item is presented for Board discussion. Staff recommends the Board hear from representatives of the 22<sup>nd</sup> District Agricultural Association and the Guild.



EDMUND G. BROWN JR.  
Attorney General

State of California  
DEPARTMENT OF JUSTICE

110 WEST "A" STREET, SUITE 1100  
SAN DIEGO, CA 92101

P.O. BOX 85266  
SAN DIEGO, CA 92186-5266

Public: (619) 645-2001  
Telephone: (619) 645-2070  
Facsimile: (619) 645-2581  
E-Mail: Deborah.Fletcher@doj.ca.gov

June 22, 2009

Harold Coburn, Regulation Analyst  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: *Surfside Race Place*  
22nd District Agricultural Association

Dear Mr. Coburn:

I am the deputy attorney general assigned to the 22nd District Agricultural Association ("District"), which owns and operates Surfside Race Place. This letter responds to your June 9, 2009 email addressed to George Bradvica, Manager of Surfside Race Place.

After heavy rainfalls in the winter of 2006-2007, the District undertook significant construction work at Surfside Race Place, repairing all locations evidencing any water intrusion. Additionally, the District completely remediated any mold contamination that may have occurred as a result of the water intrusion.. To the best of our knowledge, there have been no additional instances of water intrusion.

Since July 2007, the District has conducted air quality sampling tests every six months, in order to insure the continued safety of the employees and patrons at Surfside Race Place. The air quality sampling tests of August 2007, March 2008, October 2008 and May 2009 all establish that indoor mold levels are well within normal background levels. Please advise if you require copies of these reports, which can be provided electronically or as hard copies.

Harold Coburn, Regulation Analyst  
June 22, 2009  
Page 2

We look forward to speaking with you at the July 23, 2009 meeting of the California Horse Racing Board..

Sincerely,  

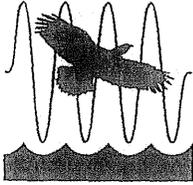

DEBORAH M. FLETCHER  
DEPUTY ATTORNEY GENERAL

For EDMUND G. BROWN JR.  
Attorney General

DF:

cc: 22nd District Agricultural Association

Document1



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

May 20, 2009

Mr. Gary Reist  
 Del Mar Fairgrounds  
 2260 Jimmy Durante Blvd.  
 Del Mar, CA 92014

Dear Mr. Reist:

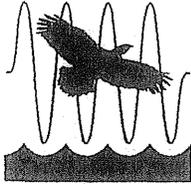
Eilar Associates - Environmental Surveys and Testing (Eilar Associates) is submitting this report on the semi-annual mold air sampling survey conducted at Surfside Race Place, 2260 Jimmy Durante Blvd., in Del Mar, California.

The microbiological air sampling (viable and non-viable mold) was conducted on May 12, 2009 by Mr. Magnus Leopold, Industrial Hygienist from TEST.

## SAMPLING LOCATIONS

### Mold Air Sampling Locations

- A. Southwest corner of lower general admission area (1 viable + 1 non-viable)
- B. Lower mutual area - behind the line - below vent - west end (1 viable + 1 non-viable)
- C. Lower mutual area - behind the line - below vent - middle (1 viable + 1 non-viable)
- D. Lower mutual area - behind the line - below vent - east end (1 viable + 1 non-viable)
- E. Lower mutual area - Break Room (1 viable + 1 non-viable)
- F. Upper mutual area - behind the line - below vent - east end - Saddle Club (1 viable + 1 non-viable)
- G. Upper mutual area - behind the line - below vent - middle - Sports Club (1 viable + 1 non-viable)
- H. Upper mutual area - behind the line - below vent - west end - Sports Club (1 viable + 1 non-viable)
- J. Outside (background) - 1 viable + 1 non-viable
  1. 1<sup>st</sup> floor - Carving Stand Area - 1 viable + 1 non-viable
  2. 1<sup>st</sup> floor - Sega Game area - 1 viable + 1 non-viable
  3. 1<sup>st</sup> floor - Administration Lounge Area - 1 viable + 1 non-viable
  4. 1<sup>st</sup> floor - Theater Area - north wall - 1 viable + 1 non-viable
  5. 2<sup>nd</sup> floor - Mutuel core (hallway outside restrooms) - 1 viable + 1 non-viable
  6. 2<sup>nd</sup> floor - NE concession stand (Sports Club) - 1 viable + 1 non-viable
  7. 2<sup>nd</sup> floor - Arena Room - 1 viable + 1 non-viable
  8. 1<sup>st</sup> floor - North Patio Area (by concession stand) - 1 viable + 1 non-viable
9. 1<sup>st</sup> floor - Security Office - 1 viable + 1 non-viable
10. 1<sup>st</sup> floor - Gift Shop Storage Room - 1 viable + 1 non-viable
11. 2<sup>nd</sup> floor - Audio Visual Room - 1 viable + 1 non-viable



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

- 
- 12. 1<sup>st</sup> Floor - Administration Storage Closet - 1 viable + 1 non-viable
  - 13. 1<sup>st</sup> Floor - Janitorial Storage Room - 1 viable + 1 non-viable
  - 14. 1<sup>st</sup> Floor - Telecommunications Room (Electrical Room) - 1 viable + 1 non-viable

## II. MICROBIOLOGICAL AIR SAMPLING

The air sampling conducted by Eilar Associates sampled for microbiological bioaerosols such as viable (i.e. culturable) mold and non-viable (i.e. non-culturable) mold spores.

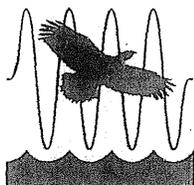
## III. SAMPLING PROCEDURES

### A. Viable (culturable) Mold Sampling

Using an Aerotech Volumetric Air Sampler, measured volumes of air are impacted onto petri dishes containing growth media. Sampling time with the Aerotech Sampler for the collection onto the growth media plates (i.e. Malt Extract Agar) for viable (i.e. culturable) mold spores is three (3) minutes at a flow rate of 28.3 liters per minute. The mold spores that impact on the growth media are then allowed to incubate. Depending upon the growth characteristics of the organism, its presence on the culture media can usually be detected within 4 to 7 days.

### B. Non-viable Mold Sampling

The other sampling method uses an Air-o-Cell spore trap which collects non-viable mold spores, pollen, and other airborne particles by high volume air impaction at a fixed point onto a treated surface inside a plastic cassette. Sampling time for the Air-o-cell sampling is usually 5 minutes with lesser times for suspected high mold concentration levels such as for in-wall sampling.



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## IV. RESULTS - Microbiological (Mold) Air Sampling

### SAMPLING SITE LOCATION :

Surfside Race Place, 2260 Jimmy Durante Blvd., Del Mar, CA

### A. Viable (Culturable) Mold Spores (Aerotech Volumetric Air Sampler)

Location - A. Southwest Corner of Lower General Administration Area

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Cladosporium	-	<u>24 cfu/m<sup>3</sup></u>
TOTAL	-	24 cfu/m <sup>3</sup>

Location - B. Lower Mutuel Area - behind the line - below vent - west end

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Cladosporium	-	24 cfu/m <sup>3</sup>
Penicillium	-	12 cfu/m <sup>3</sup>
Yeasts	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	47 cfu/m <sup>3</sup>

Location - C. Lower Mutuel Area - behind the line - below vent - middle

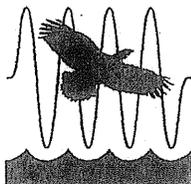
(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Penicillium	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	12 cfu/m <sup>3</sup>

Location - D. Lower Mutuel Area - behind the line - below vent - east end

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Non-sporulating fungi	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	12 cfu/m <sup>3</sup>



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## Location - E. Lower Mutuel Area - Break Room

(cfu/m3 - colony forming units per cubic meter of air)

Penicillium	-	<u>24 cfu/m3</u>
TOTAL	-	24 cfu/m3

## Location - F. Upper Mutuel - behind the line - Saddle Club - below vent - east end

(cfu/m3 - colony forming units per cubic meter of air)

TOTAL	-	< 12 cfu/m3
-------	---	-------------

## Location - G. Upper Mutuel - behind the line - Saddle Club - below vent - middle

(cfu/m3 - colony forming units per cubic meter of air)

TOTAL	-	< 12 cfu/m3
-------	---	-------------

## Location - H. Upper Mutuel Area - behind the line - Sports Club - below vent - west end

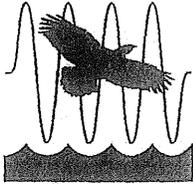
(cfu/m3 - colony forming units per cubic meter of air)

Cladosporium	-	24 cfu/m3
Non-sporulating fungi	-	<u>12 cfu/m3</u>
TOTAL	-	35 cfu/m3

## Location - J. Outside (background)

(cfu/m3 - colony forming units per cubic meter of air)

Cladosporium	-	290 cfu/m3
Mucor	-	12 cfu/m3
Non-sporulating fungi	-	35 cfu/m3
Paecilomyces	-	12 cfu/m3
Penicillium	-	24 cfu/m3
Yeasts	-	<u>12 cfu/m3</u>
TOTAL	-	390 cfu/m



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## Location - 1. 1<sup>st</sup> Floor - Carving Stand Area

(cfu/m3 - colony forming units per cubic meter of air)

TOTAL - < 12 cfu/m3

## Location - 2. 1<sup>st</sup> Floor - Sega Game Area

(cfu/m3 - colony forming units per cubic meter of air)

Penicillium - 82 cfu/m3  
 TOTAL - 82 cfu/m3

## Location - 3. Administration Lounge Area

(cfu/m3 - colony forming units per cubic meter of air)

Aspergillus versicolor - 35 cfu/m3  
 Cladosporium - 24 cfu/m3  
 Penicillium - 290 cfu/m3  
 TOTAL - 350 cfu/m3

## Location - 4. 1<sup>st</sup> Floor - Theater Area - north wall

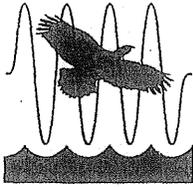
(cfu/m3 - colony forming units per cubic meter of air)

Non-sporulating fungi - 12 cfu/m3  
 TOTAL - 12 cfu/m3

## Location - 5. 2<sup>nd</sup> Floor - Mutuel Area - Hallway - outside restroom

(cfu/m3 - colony forming units per cubic meter of air)

Cladosporium - 24 cfu/m3  
 TOTAL - 24 cfu/m3



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - 6. 2<sup>nd</sup> Floor - NE Concession Stand - Sports Club

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Cladosporium	-	24 cfu/m <sup>3</sup>
Non-sporulating fungi	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	35 cfu/m <sup>3</sup>

Location - 7. 2<sup>nd</sup> Floor - Arena Room

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

TOTAL - < 12 cfu/m<sup>3</sup>

Location - 8. 1<sup>st</sup> Floor - north patio - by concession area (outside)

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Alternaria	-	12 cfu/m <sup>3</sup>
Cladosporium	-	190 cfu/m <sup>3</sup>
Non-sporulating fungi	-	12 cfu/m <sup>3</sup>
Yeasts	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	220 cfu/m <sup>3</sup>

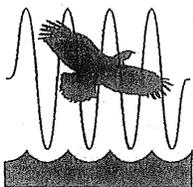
Location - 9. 1<sup>st</sup> Floor - Security Office

Penicillium	-	<u>24 cfu/m<sup>3</sup></u>
TOTAL	-	24 cfu/m <sup>3</sup>

Location - 10. 1<sup>st</sup> Floor - Gift Shop Storage Room

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Alternaria	-	12 cfu/m <sup>3</sup>
Cladosporium	-	110 cfu/m <sup>3</sup>
Non-sporulating fungi	-	12 cfu/m <sup>3</sup>
Penicillium	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	140 cfu/m <sup>3</sup>



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## Location - 11. 2<sup>nd</sup> floor - Audio Visual Room

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Cladosporium	-	12 cfu/m <sup>3</sup>
Yeasts	-	<u>12 cfu/m<sup>3</sup></u>
TOTAL	-	24 cfu/m <sup>3</sup>

## Location - 12. 1<sup>st</sup> Floor - Administration Storage Closet

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Alternaria	-	12 cfu/m <sup>3</sup>
Cladosporium	-	150 cfu/m <sup>3</sup>
Non-sporulating fungi	-	12 cfu/m <sup>3</sup>
Penicillium	-	<u>140 cfu/m<sup>3</sup></u>
TOTAL	-	320 cfu/m <sup>3</sup>

## Location - 13. 1<sup>st</sup> Floor - Janitorial Storage Room

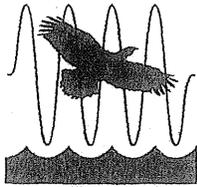
(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Aspergillus ochraceus	-	47 cfu/m <sup>3</sup>
Aspergillus versicolor	-	12 cfu/m <sup>3</sup>
Cladosporium	-	94 cfu/m <sup>3</sup>
Non-sporulating fungi	-	35 cfu/m <sup>3</sup>
Penicillium	-	<u>180 cfu/m<sup>3</sup></u>
TOTAL	-	370 cfu/m <sup>3</sup>

## Location - 14. 1<sup>st</sup> Floor - Telecommunications Room (Electrical Room)

(cfu/m<sup>3</sup> - colony forming units per cubic meter of air)

Aspergillus fumigatus	-	12 cfu/m <sup>3</sup>
Cladosporium	-	290 cfu/m <sup>3</sup>
Epicoccum	-	12 cfu/m <sup>3</sup>
Non-sporulating fungi	-	24 cfu/m <sup>3</sup>
Penicillium	-	71 cfu/m <sup>3</sup>
Yeasts	-	<u>59 cfu/m<sup>3</sup></u>
TOTAL	-	470 cfu/m <sup>3</sup>



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

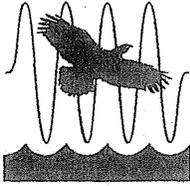
539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## SUMMARY COMPARISON - VIABLE MOLD (cfu/m3)

	A SW Corner 1 <sup>st</sup> Floor Gen Adm.	B Mutuel 1 <sup>st</sup> Floor West end	C Mutuel 1 <sup>st</sup> Floor Middle	D Mutuel 1 <sup>st</sup> Floor East End	E Mutuel 1 <sup>st</sup> Floor Break Rm	J Outside (Background)
Alternaria -	-	-	-	-	-	-
Aspergillus fumigatus -	-	-	-	-	-	-
Aspergillus ochraceus -	-	-	-	-	-	-
Aspergillus versicolor -	-	-	-	-	-	-
Cladosporium -	24	24	-	-	-	290
Epicoccum -	-	-	-	-	-	-
Mucor -	-	-	-	-	-	12
Non-sporulating fungi -	-	-	-	12	-	35
Paecilomyces -	-	-	-	-	-	12
Penicillium -	-	12	12	-	24	24
Yeasts -	-	12	-	-	-	12
<b>TOTAL</b>	<b>24</b>	<b>47</b>	<b>12</b>	<b>12</b>	<b>24</b>	<b>390</b>

	F Mutuel 2nd Floor East End	G Mutuel 2nd Floor Middle	H Mutuel 2nd Floor West End	1 Mutuel 1 <sup>st</sup> Floor Carver St.	2 Mutuel 1 <sup>st</sup> Floor Sega Area	J Outside
Alternaria -	-	-	-	-	-	-
Aspergillus fumigatus -	-	-	-	-	-	-
Aspergillus ochraceus -	-	-	-	-	-	-
Aspergillus versicolor -	-	-	-	-	-	-
Cladosporium -	-	-	24	-	-	290
Epicoccum -	-	-	-	-	-	-
Mucor -	-	-	-	-	-	12
Non-sporulating fungi -	-	-	12	-	-	35
Paecilomyces -	-	-	-	-	-	12
Penicillium -	-	-	-	-	82	24
Yeasts -	-	-	-	-	-	12
<b>TOTAL</b>	<b>&lt; 12</b>	<b>&lt; 12</b>	<b>35</b>	<b>&lt; 12</b>	<b>82</b>	<b>390</b>



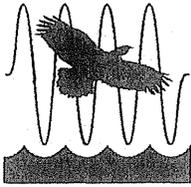
# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

	3	4	5	6	7	J
	Admin	Theater	Mutuel	NE Concess	Arena	Outside
	1st Floor	1st Floor	2nd Floor	2nd Floor	2nd Floor	
	Lounge	North wall	Hallway	Sports Club	Room	
Alternaria -	-	-	-	-	-	-
Aspergillus fumigatus -	-	-	-	-	-	-
Aspergillus ochraceus -	-	-	-	-	-	-
Aspergillus versicolor -	35	-	-	-	-	-
Cladosporium -	24	-	24	24	-	290
Epicoccum -	-	-	-	-	-	-
Mucor -	-	-	-	-	-	12
Non-sporulating fungi -	-	12	-	12	-	35
Paecilomyces -	-	-	-	-	-	12
Penicillium -	290	-	-	-	-	24
Yeasts -	-	-	-	-	-	12
<b>TOTAL</b>	<b>349</b>	<b>12</b>	<b>24</b>	<b>36</b>	<b>-</b>	<b>385</b>

	8	9	10	11	J
	N. Patio	Security	Gift Shop	Audio	Outside
	1st Floor	1st Floor	1st Floor	2nd Floor	
	(Outside)	Office	Storage	Visual	
Alternaria -	12	-	12	-	-
Aspergillus fumigatus -	-	-	-	-	-
Aspergillus ochraceus -	-	-	-	-	-
Aspergillus versicolor -	-	-	-	-	-
Cladosporium -	190	-	110	12	290
Epicoccum -	-	-	-	-	-
Mucor -	-	-	-	-	12
Non-sporulating fungi -	12	-	12	-	35
Paecilomyces -	-	-	-	-	12
Penicillium -	-	24	12	-	24
Yeasts -	12	-	-	12	12
<b>TOTAL</b>	<b>220</b>	<b>24</b>	<b>24</b>	<b>24</b>	<b>390</b>



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

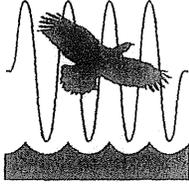
	12 Admin Storage Room 1st Floor	13 Janitorial Storage Room 1st Floor	14 Telecomm. Room 1 <sup>st</sup> Floor	J Outside
Alternaria -	12	-	-	-
Aspergillus fumigatus -	-	-	12	-
Aspergillus ochraceus -	-	47	-	-
Aspergillus versicolor -	-	12	-	-
Cladosporium -	150	94	290	290
Epicoccum -	-	-	12	-
Mucor -	-	-	-	12
Non-sporulating fungi -	12	35	24	35
Paecilomyces -	-	-	-	12
Penicillium -	140	180	71	24
Yeasts -	-	-	59	12
<b>TOTAL</b>	<b>320</b>	<b>370</b>	<b>470</b>	<b>390</b>

## NORMAL SPORE LEVELS

Indoor spore levels usually average 30 to 80% of the outdoor spore level at the time of sampling, with the same general distribution of spore types. Filtered air, air-conditioned air, or air remote from outside sources usually average 5 to 15 % of the outside air at the time of sampling.

## PROBLEM INTERIORS

**A substantial increase of one or two spore types which are inconsistent with and non-reflective of the outside distribution of spore types is usually indicative of an indoor reservoir of mold growth.**



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## B. Non-Viable Mold Spores (Air-o-Cell Sampling)

SAMPLING SITE LOCATION :

### Surfside Race Place, 2260 Jimmy Durante Blvd., Del Mar, CA

Location - A. Southwest Corner of lower General Admission Area

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	110 spores/m3
Penicillium/Aspergillus types	-	160 spores/m3
Others	-	<u>53 spores/m3</u>
TOTAL	-	320 spores/m3
Background (debris) (scale 1+ to 4+)	-	3+

Location - B. Lower Mutuel Area - behind the line - below vent - west end

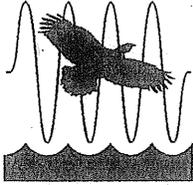
( spores/m3 - spores per cubic meter of air)

Cladosporium	-	110 spores/m3
Penicillium/Aspergillus types	-	<u>160 spores/m3</u>
TOTAL	-	270 spores/m3
Background (debris) (scale 1+ to 4+)	-	3+

Location - C. Lower Mutuel Area - behind the line - below vent - middle

( spores/m3 - spores per cubic meter of air)

TOTAL	-	<13 spores/m3
Background (debris) (scale 1+ to 4+)	-	1+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - D. Lower Mutuel Area - behind the line - below vent - east end

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	<u>53 spores/m3</u>
TOTAL	-	<u>53 spores/m3</u>
Background (debris) (scale 1+ to 4+)	-	1+

Location - E. Lower Mutuel Area - Break Room

(spores/m3 - spores per cubic meter of air)

Penicillium/Aspergillus types	-	<u>210 spores/m3</u>
TOTAL	-	<u>210 spores/m3</u>
Background (debris) (scale 1+ to 4+)	-	2+

Location - F. Upper Mutuel - behind the line - Saddle Club - below vent - east end

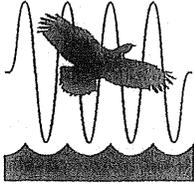
(spores/m3 - spores per cubic meter of air)

Basidiospores	-	53 spores/m3
Cladosporium	-	<u>110 spores/m3</u>
TOTAL	-	<u>160 spores/m3</u>
Background (debris) (scale 1+ to 4+)	-	3+

Location - G. Upper Mutuel - behind the line - Saddle Club - below vent - middle

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	<u>270 spores/m3</u>
TOTAL	-	<u>320 spores/m3</u>
Background (debris) (scale 1+ to 4+)	-	3+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - H. Upper Mutuel Area - behind the line - Sports Club - below vent - west end

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	160 spores/m3
Others	-	<u>53 spores/m3</u>
TOTAL	-	270 spores/m3

Background (debris) (scale 1+ to 4+) - 3+

Location - J. Outside (background)

(spores/m3 - spores per cubic meter of air)

Basidiospores	-	210 spores/m3
Cladosporium	-	960 spores/m3
Penicillium/Aspergillus types	-	<u>640 spores/m3</u>
TOTAL	-	1800 spores/m3

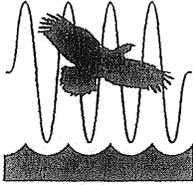
Background (debris) (scale 1+ to 4+) - 3+

Location - 1. 1<sup>st</sup> Floor - Carving Stand Area

(spores/m3 - spores per cubic meter of air)

Basidiospores	-	53 spores/m3
Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	<u>53 spores/m3</u>
TOTAL	-	160 spores/m3

Background (debris) (scale 1+ to 4+) - 2+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## Location - 2. 1<sup>st</sup> Floor - Sega Game Area

(spores/m<sup>3</sup> - spores per cubic meter of air)

Basidiospores	-	110 spores/m <sup>3</sup>
Cladosporium	-	53 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	<u>270 spores/m<sup>3</sup></u>
TOTAL	-	430 spores/m <sup>3</sup>

Background (debris) (scale 1+ to 4+) - 3+

## Location - 3. Administration Lounge Area

(spores/m<sup>3</sup> - spores per cubic meter of air)

Cladosporium	-	53 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	<u>160 spores/m<sup>3</sup></u>
TOTAL	-	210 spores/m <sup>3</sup>

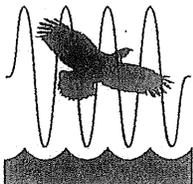
Background (debris) (scale 1+ to 4+) - 2+

## Location - 4. 1<sup>st</sup> Floor - Theater Area - north wall

(spores/m<sup>3</sup> - spores per cubic meter of air)

Basidiospores	-	53 spores/m <sup>3</sup>
Cladosporium	-	160 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	<u>320 spores/m<sup>3</sup></u>
TOTAL	-	530 spores/m <sup>3</sup>

Background (debris) (scale 1+ to 4+) - 3+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - 5. 2<sup>nd</sup> Floor - Mutuel Area - Hallway - outside restroom

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	<u>210 spores/m3</u>
TOTAL	-	260 spores/m3

Background (debris) (scale 1+ to 4+)	-	3+
--------------------------------------	---	----

Location - 6. 2<sup>nd</sup> Floor - NE Concession Stand - Sports Club

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	<u>160 spores/m3</u>
TOTAL	-	210 spores/m3

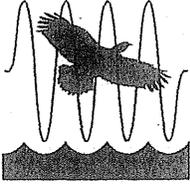
Background (debris) (scale 1+ to 4+)	-	3+
--------------------------------------	---	----

Location - 7. 2<sup>nd</sup> Floor - Arena Room

(spores/m3 - spores per cubic meter of air)

Cladosporium	-	53 spores/m3
Penicillium/Aspergillus types	-	210 spores/m3
Others	-	<u>53 spores/m3</u>
TOTAL	-	320 spores/m3

Background (debris) (scale 1+ to 4+)	-	3+
--------------------------------------	---	----



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - 8. 1<sup>st</sup> Floor - north patio - by concession area (outside)

(spores/m<sup>3</sup> - spores per cubic meter of air)

Cladosporium	-	910 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	800 spores/m <sup>3</sup>
Others	-	<u>320 spores/m<sup>3</sup></u>
TOTAL	-	2000 spores/m <sup>3</sup>

Background (debris) (scale 1+ to 4+) - 4+

Location - 9. 1<sup>st</sup> Floor - Security Office

(spores/m<sup>3</sup> - spores per cubic meter of air)

Penicillium/Aspergillus types	-	110 spores/m <sup>3</sup>
Others	-	<u>53 spores/m<sup>3</sup></u>
TOTAL	-	160 spores/m <sup>3</sup>

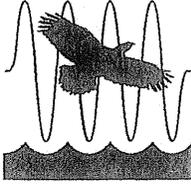
Background (debris) (scale 1+ to 4+) - 3+

Location - 10. 1<sup>st</sup> Floor - Gift Shop Storage Room

(spores/m<sup>3</sup> - spores per cubic meter of air)

Basidiospores	-	53 spores/m <sup>3</sup>
Cladosporium	-	320 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	<u>270 spores/m<sup>3</sup></u>
TOTAL	-	640 spores/m <sup>3</sup>

Background (debris) (scale 1+ to 4+) - 3+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

## Location - 11. 2<sup>nd</sup> floor - Audio Visual Room

(spores/m3 - spores per cubic meter of air)

Penicillium/Aspergillus types	-	<u>53 spores/m3</u>
TOTAL	-	53 spores/m3
Background (debris) (scale 1+ to 4+)	-	2+

## Location - 12. 1st floor - Administration Storage Closet

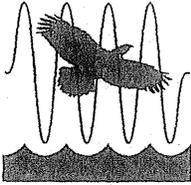
(spores/m3 - spores per cubic meter of air)

Basidiospores	-	160 spores/m3
Cladosporium	-	160 spores/m3
Penicillium/Aspergillus types	-	270 spores/m3
Others	-	<u>110 spores/m3</u>
TOTAL	-	700 spores/m3
Background (debris) (scale 1+ to 4+)	-	3+

## Location - 13. 1st floor - Janitorial Storage Room

(spores/m3 - spores per cubic meter of air)

Basidiospores	-	53 spores/m3
Cladosporium	-	430 spores/m3
Penicillium/Aspergillus types	-	530 spores/m3
Others	-	<u>53 spores/m3</u>
TOTAL	-	1100 spores/m3
Background (debris) (scale 1+ to 4+)	-	4+



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

Location - 14. 1st floor - Telecommunications Room (Electrical Room)

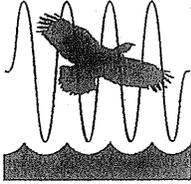
(spores/m<sup>3</sup> - spores per cubic meter of air)

Basidiospores	-	210 spores/m <sup>3</sup>
Cladosporium	-	850 spores/m <sup>3</sup>
Penicillium/Aspergillus types	-	1400 spores/m <sup>3</sup>
Others	-	<u>53 spores/m<sup>3</sup></u>
TOTAL	-	2500 spores/m <sup>3</sup>
Background (debris) (scale 1+ to 4+)	-	4+

The spores of Aspergillus and Penicillium (and others such as Acremonium, Paecilomyces, etc.) are small and round with very few distinguishing characteristics. They cannot be differentiated by non-viable impaction sampling methods. Also, some species with very small spores are easily missed and will be undercounted by this sampling method.

## SUMMARY COMPARISON - NON-VIABLE MOLD (spores/m<sup>3</sup>)

	A	B	C	D	E	J
	SW Corner	Mutuel	Mutuel	Mutuel	Mutuel	Outside
	1 <sup>st</sup> Floor.	1 <sup>st</sup> Floor	1 <sup>st</sup> Floor	1 <sup>st</sup> Floor	1 <sup>st</sup> Floor	(Background)
	Gen Adm.	West End	Middle	East End	Break Rm	
Basidiospores -	-	-	-	-	-	210
Cladosporium -	110	110	-	53	-	960
Penicillium/Aspergillus types -	160	160	-	-	210	640
Others -	53	-	-	-	-	-
TOTAL	320	270	< 13	53	210	1800



# EILAR ASSOCIATES, INC.

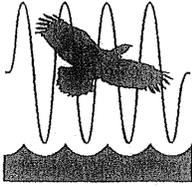
"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

	F	G	H	1	2	J
	Mutuel	Mutuel	Mutuel	Mutuel	Mutuel	Outside
	2nd Floor	2nd Floor	2nd Floor	1 <sup>st</sup> Floor	1 <sup>st</sup> Floor	
	East End	Middle	West End	Carver St.	Sega Area	
Basidiospores -	-	-	-	53	110	210
Cladosporium -	53	53	53	53	53	960
Penicillium/Aspergillus types -	110	270	160	53	270	640
Others -	-	53	-	-	-	-
<b>TOTAL</b>	<b>160</b>	<b>320</b>	<b>270</b>	<b>160</b>	<b>430</b>	<b>1800</b>

	3	4	5	6	7	J
	Admin	Theater	Mutuel	NE Concess	Arena	Outside
	1st Floor	1st Floor	2nd Floor	2nd Floor	2nd Floor	
	Lounge	North wall	Hallway	Sports Club	Room	
Basidiospores -	-	53	-	-	-	210
Cladosporium -	53	160	53	53	53	960
Penicillium/Aspergillus types -	160	320	210	160	210	640
Others -	-	-	-	-	53	-
<b>TOTAL</b>	<b>210</b>	<b>530</b>	<b>260</b>	<b>210</b>	<b>320</b>	<b>1800</b>

	8	9	10	11	J
	N. Patio	Security	Gift Shop	Audio	Outside
	1st Floor	1st Floor	1st Floor	2nd Floor	
	(Outside)	Office	Storage	Visual Rm.	
Basidiospores -	-	-	53	-	210
Cladosporium -	910	-	320	-	960
Penicillium/Aspergillus types -	800	110	270	53	640
Others -	320	53	-	-	-
<b>TOTAL</b>	<b>2000</b>	<b>160</b>	<b>640</b>	<b>53</b>	<b>1800</b>



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

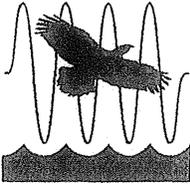
	12 Administration Storage Closet 1st Floor	13 Janitorial Storage Room 1st Floor	14 Telecommunications Room 1st Floor	J Outside
Basidiospores -	160	53	210	210
Cladosporium -	160	430	850	960
Penicillium/Aspergillus types -	270	530	1400	640
Others -	110	53	53	-
<b>TOTAL</b>	<b>700</b>	<b>1100</b>	<b>2500</b>	<b>1800</b>

## V. EVALUATION OF MICROBIOLOGICAL AIR SAMPLING

The microbiological air sampling for viable and non-viable mold spores is based on counting colony forming units (cfus) and spores per cubic meter of air sampled, respectively. When the levels of viable (i.e. culturable [growing]) mold inside the house (or building) are significantly higher (i.e. by several times for a limited mold growth contamination to 10 or 100 times, for a more serious mold growth), than the normal Outside (Background) levels, this indicates that mold growth is occurring inside the house. Likewise, if the levels of non-viable mold (i.e. non-culturable) are significantly higher inside the house (mold spores produced by the viable mold) than the Outside (Background) levels then this indicates that growing mold has been present and that the spores, which are now dried out, dormant, or "dead" [i.e. non-culturable], are still present and these can still cause health effects.

Immediate health effects from mold growth and its associated mold spores are most significant to people who suffer allergies and respiratory conditions such as asthma. The survey also identifies certain molds which, when competing with other molds, produce mycotoxins (i.e. often referred to as toxic mold [eg. Penicillium, various Aspergillus types, Chaetomium, Stachybotrys, etc.]), which at significant levels may be a health risk to certain people such as children with developing immune systems, the elderly, and people with weakened immune systems such as cancer or HIV patients.

Normal spore levels are those which inside the building are equal to or lower than the outside levels. If the windows and/or doors are left open then the normal levels will more closely reflect the outside levels. If the windows and/or doors are closed then the normal indoor levels should be 30 to 80% of the outdoor levels. If the windows and doors are closed and the building air is filtered and is air-conditioned, then normal levels should be between 5 to 15% of the outside levels.



# EILAR ASSOCIATES, INC.

"Acoustical & Environmental Consulting Services Since 1974"

539 Encinitas Boulevard, Suite 206, Encinitas, CA 92024  
 www.eilarassociates.com • info@eilarassociates.com  
 Phone: 760-753-1865 or 800-439-8205 • Fax: 760-753-2597

variation or renewed mold growth. Also the increase is not confirmed by the viable sampling for this area and therefore it is probably normal sampling variation.

(See SUMMARY COMPARISON on page 19 - 20)

## VII. RECOMMENDATIONS

1. Eilar Associates recommends continuing with the semi-annual mold spore air sampling at Surfside Race Place to determine if mold growth returns in any areas sampled which could then be remediated as soon as possible to minimize future mold growth.
2. Eilar Associates recommends that with any situations involving moisture and water intrusion into the building due to factors such as significant rainy weather, excessive periods of marine layer, roof water leaks, water pipe leaks, AC unit condensate leaks, restroom backup flooding, etc. be evaluated for additional mold sampling.

If there are any questions concerning this report, then please call me at any time.

Very truly yours,

K. Magnus Leopold  
 Industrial Hygienist  
 General Manager

DISCUSSION AND ACTION BY THE BOARD REGARDING THE  
UPDATE FROM THE LOS ANGELES TURF CLUB, INC., OPERATING AT  
SANTA ANITA PARK AND THE SIGNIFICANCE OF THE BANKRUPTCY  
FILING OF MAGNA ENTERTAINMENT CORPORATION ON ITS  
RACING OPERATION AND THE STATUS OF STATUTORY FUNDS  
THAT MAY STILL BE OWED MONEY FROM PRE AND POST  
BANKRUPTCY ACCOUNTS

Regular Board Meeting  
July 23, 2009

## BACKGROUND

On March 5, 2009, Magna Entertainment Corporation (MEC) filed voluntary petitions for relief under Chapter 11 of the US Bankruptcy Code. At the March 19, 2009, Regular Board Meeting, the Board discussed the significance of the bankruptcy filing of MEC on its subsidiaries operating in California, Los Angeles Turf Club, Inc., and Pacific Racing Association. At its April 24, 2009, and June 5, 2009, Regular Meetings, the Board heard presentations by MEC representatives and interested parties regarding the progress of the bankruptcy proceedings and the sale of certain MEC California assets.

At the June 5, 2009, Regular Board Meeting MEC representatives reported that definitive bids for the assets identified for sale were to be filed on July 31, 2009. The bids would be evaluated between July 31, 2009 and August 7, 2009, to determine the highest and best offer, which would then be designated the stalking horse bidder for the particular asset or group of assets. On September 8, 2009, a bidding auction would allow other parties to offer higher bids or better terms than the stalking horse bid. The auction would result in the final successful bidder for any asset or assets; however, the bankruptcy court would ultimately decide whether it would approve the winning bidder. The Board also heard an extensive discussion regarding an industry petition that was filed with the bankruptcy court to force the distribution of pre-petition funds, such as advance deposit wagering fees and satellite wagering fees, and MEC's objection to the petition. The Board urged the parties to present their positions: why MEC felt its objection to the petition was appropriate, and why the industry believed the funds owed were different from funds owed general creditors and should have a priority position.

## RECOMMENDATION

This item is presented for Board discussion and action

STAFF ANALYSIS  
STAFF REPORT ON END-OF-MEET RESULTS

Regular Board Meeting  
July 23, 2009

BACKGROUND

This item contains the end-of-meet reports for the following concluded race meets: Los Angeles Turf Club at Santa Anita and Pacific Racing Association at Golden Gate Fields.

Staff is prepared to answer questions regarding the information presented.

RECOMMENDATION

This item is for information and discussion.

Los Angeles Turf Club  
December 26, 2008 - April 19, 2009  
Race Days: 84

AVERAGE DAILY STATISTICS	Percent Change	Total Value
Avg. Daily Handle	-14.87%	(1,793,705)
Avg. Daily On-Track Handle	-7.95%	(153,194)
Avg. Daily ITW Network Handle	-20.60%	(570,669)
Avg. Daily ADW In Network Handle	-9.45%	(110,247)
Avg. Daily Out-Of-State Handle	-15.48%	(959,597)
Avg. Daily Attendance	-8.69%	(1,488)
Avg. Daily On-Track Attendance	-4.75%	(415)
Avg. Daily ITW Attendance	-12.79%	(1,073)

## Santa Anita

Previous Year \$  
and % Change

	<u>2005</u>	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2009 \$ CHANGE</u>	<u>2009 % CHANGE</u>
TOTAL RACE DAYS	85	86	85	77	84	7	9.09%
TOTAL HANDLE	867,614,465	963,949,422	997,699,283	928,827,174	862,594,746	(66,232,428)	-7.13%
<b>BY ORIGIN OF WAGER</b>							
ON-TRACK	172,706,230	193,149,553	181,931,924	148,377,922	148,998,561	620,640	0.42%
ITW NETWORK	249,449,558	256,916,467	244,157,759	213,263,622	184,715,044	(28,548,578)	-13.39%
ADW IN NETWORK	60,627,905	71,579,464	74,404,308	89,803,885	88,707,169	(1,096,717)	-1.22%
OUT-OF-STATE	384,830,773	442,303,938	497,205,292	477,381,746	440,173,973	(37,207,773)	-7.79%
<b>BY ORIGIN OF RACE</b>							
LIVE	644,105,147	732,703,490	778,773,589	725,307,400	675,895,137	(49,412,263)	-6.81%
OUT-OF-ZONE	83,258,382	81,258,761	72,877,390	69,555,515	53,150,748	(16,404,768)	-23.59%
INTERSTATE-IMPORT	137,594,006	144,087,908	134,785,738	124,205,288	125,251,357	1,046,069	0.84%
INTERNATIONAL-IMPORT	2,656,931	5,899,263	11,262,565	9,758,971	8,297,505	(1,461,466)	-14.98%
AVG DAILY HANDLE	10,207,229	11,208,714	11,737,639	12,062,691	10,268,985	(1,793,705)	-14.87%
<b>AVG BY ORIGIN OF WAGER</b>							
AVG ON-TRACK	2,031,838	2,245,925	2,140,376	1,926,986	1,773,792	(153,194)	-7.95%
AVG ITW NETWORK	2,934,701	2,987,401	2,872,444	2,769,657	2,198,989	(570,669)	-20.60%
AVG ADW IN NETWORK	713,269	832,319	875,345	1,166,284	1,056,038	(110,247)	-9.45%
AVG OUT OF STATE	4,527,421	5,143,069	5,849,474	6,199,763	5,240,166	(959,597)	-15.48%
<b>AVG BY ORIGIN OF RACE</b>							
AVG LIVE	7,577,708	8,519,808	9,162,042	9,419,577	8,046,371	(1,373,206)	-14.58%
AVG OUT-OF-ZONE	979,510	944,869	857,381	903,318	632,747	(270,571)	-29.95%
AVG INTERSTATE IMPORT	1,618,753	1,675,441	1,585,715	1,613,056	1,491,088	(121,968)	-7.56%
AVG INTERNATIONAL IMPORT	31,258	68,596	132,501	126,740	98,780	(27,960)	-22.06%
TOTAL TAKEOUT	170,261,309	188,187,256	196,470,877	182,709,167	168,438,486	(14,270,681)	-7.81%
EFFECTIVE TAKEOUT %	19.62%	19.52%	19.69%	19.67%	19.53%	-0.14%	-0.01%
STATE LICENSE FEES	9,658,842	10,397,619	10,115,922	8,843,803	8,265,669	(578,134)	-6.54%
STATE %	1.11%	1.08%	1.01%	0.95%	0.96%	0.01%	0.01%
TRACK COMMISSION	34,717,466	38,173,797	38,256,612	35,261,389	32,796,327	(2,465,062)	-6.99%
TRACK %	4.00%	3.96%	3.83%	3.80%	3.80%	0.01%	0.00%
PURSE COMMISSION	34,080,435	37,293,459	37,521,113	34,596,417	32,051,245	(2,545,173)	-7.36%
PURSE %	3.93%	3.87%	3.76%	3.72%	3.72%	-0.01%	0.00%
CALIFORNIA NETWORK ATT	1,484,947	1,579,223	1,539,010	1,317,996	1,312,836	(5,160)	-0.39%
ON-TRACK	677,193	789,196	785,167	671,725	697,958	26,233	3.91%
ITW NETWORK	807,754	790,027	753,843	646,271	614,878	(31,393)	-4.86%
AVG DAILY ATTENDANCE	17,470	18,363	18,106	17,117	15,629	(1,488)	-8.69%
AVG DAILY ON-TRACK	7,967	9,177	9,237	8,724	8,309	(415)	-4.75%
AVG DAILY ITW NETWORK	9,503	9,186	8,869	8,393	7,320	(1,073)	-12.79%
TOTAL RACE EVENTS	730	747	740	696	727	31	4.45%
STARTERS	5,738	6,330	6,379	6,020	5,980	(40)	-0.66%
AVG STARTERS PER EVENT	7.86	8.47	8.62	8.65	8.23	(0)	-4.90%
AVG HANDLE PER START	112,253	115,751	122,084	120,483	113,026	(7,457)	-6.19%

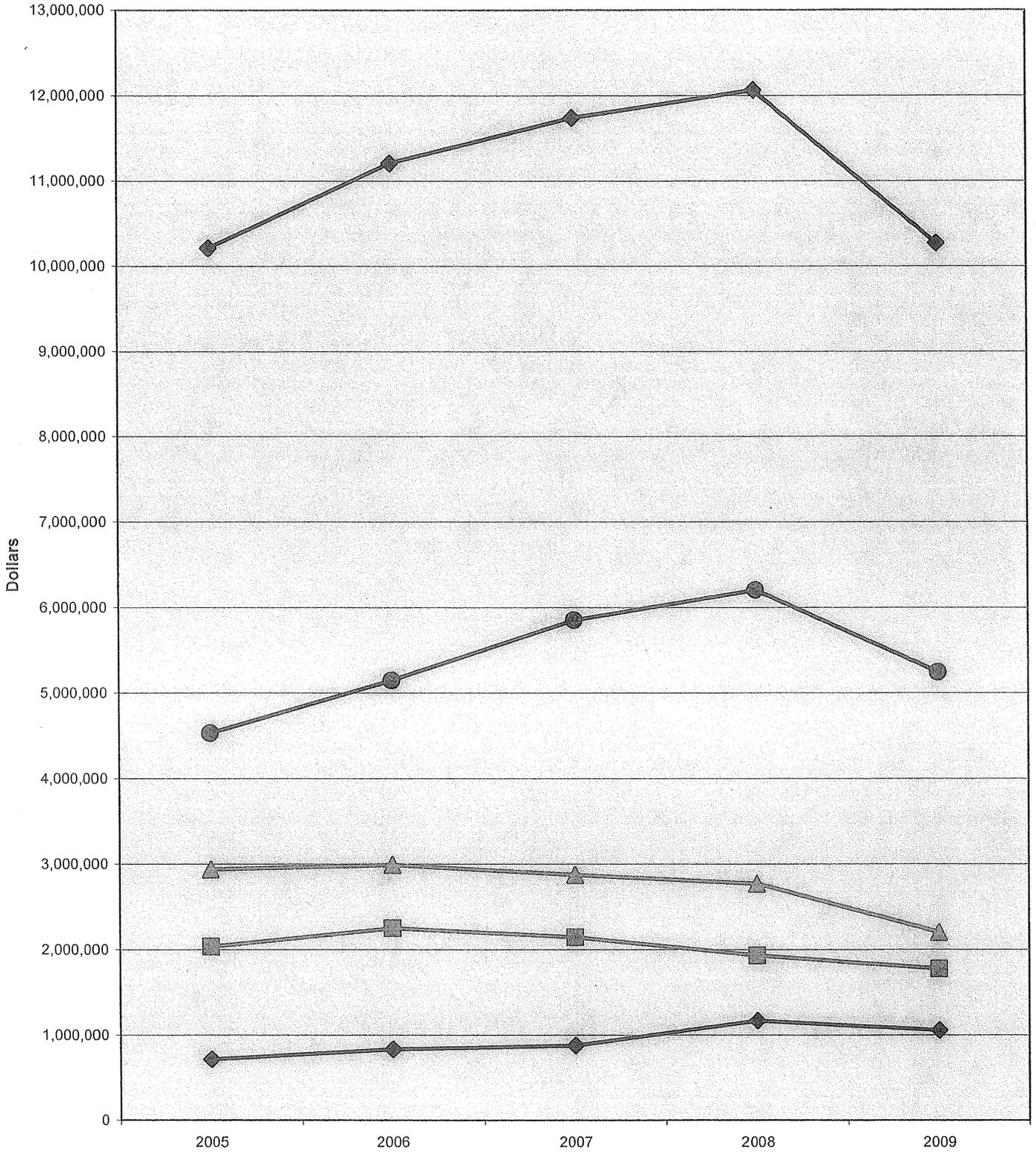
Data Date July 2009

Print Date July 2009

numbers provided by CHRIMS, Inc.

2009 Number's Do not include AB480 Purses and Track Commissions

### Los Angeles Turf Club Average Daily Totals



◆ Avg. Daily Handle      ■ Avg. Daily On-Track Handle      ▲ Avg. Daily ITW Network Handle  
◆ Avg. Daily ADW in Network Handle      ● Avg. Daily Out-of-State Handle

Pacific Racing Association  
December 26, 2008 to June 14, 2009  
Race Days:

AVERAGE DAILY STATISTICS	Percent Change	Total Value
Avg. Daily Handle	-6.53%	(250,670)
Avg. Daily On-Track Handle	6.84%	35,172
Avg. Daily ITW Network Handle	3.00%	31,835
Avg. Daily ADW In Network Handle	20.02%	70,775
Avg. Daily Out-Of-State Handle	-20.34%	(388,452)
Avg. Daily Attendance	10.78%	582
Avg. Daily On-Track Attendance	16.23%	382
Avg. Daily ITW Attendance	6.57%	200

Golden Gate

Previous Year \$  
and % Change

	<u>2005</u>	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2009 \$</u> <u>CHANGE</u>	<u>2009 %</u> <u>CHANGE</u>
TOTAL RACE DAYS	103	101	98	127	114	(13)	-10.24%
TOTAL HANDLE	435,843,312	395,115,632	426,674,872	487,533,273	409,051,946	(78,481,327)	-16.10%
<b>BY ORIGIN OF WAGER</b>							
ON-TRACK	59,817,436	56,743,646	56,981,990	65,316,206	62,639,955	(2,676,252)	-4.10%
ITW NETWORK	140,436,504	142,046,643	134,944,857	134,836,651	124,663,664	(10,172,987)	-7.54%
ADW IN NETWORK	30,593,993	33,922,447	38,995,835	44,893,405	48,366,378	3,472,973	7.74%
OUT-OF-STATE	204,995,380	162,402,896	195,752,190	242,487,011	173,381,950	(69,105,062)	-28.50%
<b>BY ORIGIN OF RACE</b>							
LIVE	277,030,667	222,622,346	258,343,976	312,581,652	230,090,800	(82,490,852)	-26.39%
OUT-OF-ZONE	67,042,052	77,791,160	76,422,596	79,647,103	75,358,664	(4,288,440)	-5.38%
INTERSTATE-IMPORT	81,205,388	84,000,108	79,876,999	81,168,286	94,036,940	12,868,654	15.85%
INTERNATIONAL-IMPORT	10,565,205	10,702,019	12,031,301	14,136,232	9,565,543	(4,570,689)	-32.33%
AVG DAILY HANDLE	4,231,488	3,912,036	4,353,825	3,838,845	3,588,175	(250,670)	-6.53%
<b>AVG BY ORIGIN OF WAGER</b>							
AVG ON-TRACK	580,752	561,818	581,449	514,301	549,473	35,172	6.84%
AVG ITW NETWORK	1,363,461	1,406,402	1,376,988	1,061,706	1,093,541	31,835	3.00%
AVG ADW IN NETWORK	297,029	335,866	397,917	353,491	424,266	70,775	20.02%
AVG OUT OF STATE	1,990,246	1,607,949	1,997,471	1,909,347	1,520,894	(388,452)	-20.34%
<b>AVG BY ORIGIN OF RACE</b>							
AVG LIVE	2,689,618	2,204,182	2,636,163	2,461,273	2,018,340	(442,933)	-18.00%
AVG OUT-OF-ZONE	650,894	770,210	779,822	627,143	661,041	33,898	5.41%
AVG INTERSTATE IMPORT	788,402	831,684	815,071	639,120	824,885	185,765	29.07%
AVG INTERNATIONAL IMPORT	102,575	105,961	122,768	111,309	83,908	(27,401)	-24.62%
TOTAL TAKEOUT	86,197,301	78,311,122	84,317,819	96,722,268	80,979,457	(15,742,812)	-16.28%
EFFECTIVE TAKEOUT %	19.78%	19.82%	19.76%	19.84%	19.80%	-0.04%	0.00%
STATE LICENSE FEES	2,746,397	2,531,991	2,710,217	2,848,174	2,670,465	(177,709)	-6.24%
STATE %	0.63%	0.64%	0.64%	0.58%	0.65%	0.07%	0.12%
TRACK COMMISSION	16,586,749	16,038,292	16,278,673	18,217,375	16,451,497	(1,765,878)	-9.69%
TRACK %	3.81%	4.06%	3.82%	3.74%	4.02%	0.29%	0.08%
PURSE COMMISSION	16,118,362	15,593,951	15,781,891	17,662,718	15,945,062	(1,717,656)	-9.72%
PURSE %	3.70%	3.95%	3.70%	3.62%	3.90%	0.28%	0.08%
CALIFORNIA NETWORK ATT	634,636	606,103	609,290	685,969	682,146	(3,823)	-0.56%
ON-TRACK	239,411	224,054	243,572	299,250	312,202	12,952	4.33%
ITW NETWORK	395,225	382,049	365,718	386,719	369,944	(16,775)	-4.34%
AVG DAILY ATTENDANCE	6,162	6,001	6,217	5,401	5,984	582	10.78%
AVG DAILY ON-TRACK	2,324	2,218	2,485	2,356	2,739	382	16.23%
AVG DAILY ITW NETWORK	3,837	3,783	3,732	3,045	3,245	200	6.57%
TOTAL RACE EVENTS	866	855	842	1,087	974	(113)	-10.40%
STARTERS	6,361	5,725	6,442	8,614	6,820	(1,794)	-20.83%
AVG STARTERS PER EVENT	7.35	6.70	7.65	7.92	7.00	(1)	-11.64%
AVG HANDLE PER START	43,551	38,886	40,103	36,288	33,738	(2,550)	-7.03%

Data Date July 2009

Print Date July 2009

numbers provided by CHRIMS, Inc.

2009 Number's Do not include AB480 Purses and Track Commissions

### Pacific Racing Association Average Daily Totals

