

CALIFORNIA HORSE RACING BOARD

1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, December 17, 2015**, commencing at **9:30 a.m.**, in the Finish Line Room at the **Los Alamitos Race Course, 4961 Katella Avenue, Los Alamitos, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of November 19, 2015.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Presentation of **California Horse Racing Board Resolution to George Slender.**
5. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing December 26, 2015 through June 12, 2016, inclusive.
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club, (T) at Santa Anita**, commencing December 26, 2015 through July 10, 2016, inclusive.
7. Discussion and action by the Board regarding the **request from Santa Anita for a waiver to CHRB Rule 1472,(b)(c)(e) Rail Construction and Track Specifications, to facilitate the installation of a new inside turf rail.**
8. Discussion and action by the Board regarding the **request from Northern California Off Track Wagering Inc. (NCOTWINC) to continue the modification of the distribution of market access fees from advance deposit wagering (ADW) at a rate of 2.9% as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the northern zone during calendar year 2016.**

9. Discussion and action by the Board regarding the **request from Southern California Off Track Wagering Inc. (SCOTWINC) to continue the modification of the distribution of market access fees from advance deposit wagering (ADW) at a rate of 2.9% as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the southern zone during calendar year 2016.**
10. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1658, Vesting of Title to Claimed Horse**, to provide that a claim shall be voided by the stewards if the racing or official veterinarian determines the horse will be placed on the Veterinarian's List as "bled". (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
11. Discussion by the Board regarding **fantasy sports, including persuading participants to become horse race players.**
12. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



PENDING LITIGATION DECEMBER 2015

COURT LITIGATION

- A. **Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- B. **Jose DeLaTorre vs. CHRB**
District Court of Appeal
- C. **Dean Frey vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS154219
- D. **Gustavo DeLaTorre vs. CHRB**
Superior Court of California, County of Los Angeles, Case No. BS154412

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman

Richard Rosenberg, Vice Chairman

Madeline Auerbach, Member

Steve Beneto, Member

Jesse H. Choper, Member

George Krikorian, Member

Alex Solis, Member

Rick Baedeker, Executive Director

Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place**, 2260 Jimmy Durante Blvd., Del Mar, California, on **November 19, 2015**.

Present: Chuck Winner, Chairman
Richard Rosenberg, Vice-Chairman
Madeline Auerbach, Member
George Krikorian, Member
Jesse H. Choper, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF OCTOBER 22, 2015

Chairman Winner asked for approval of the minutes of the Regular Meeting of October 22, 2015.

Commissioner Krikorian **motioned** to approve the minutes. Vice-Chairman Rosenberg **seconded** the motion, which was **unanimously carried**.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported on the status of several CHRB regulation amendments. He stated the amendment to Rule 1843.2, Classification of Drug Substances, completed the 45-day comment period and was approved by the Office of Administrative Law (OAL). He stated the amendment to Rule 1843.2 provided a stricter limit for cobalt and became effective October 5, 2015. The amendments to Rule 1632, Jockey's Riding Fee, and Rule 1887, Trainer to Insure Condition of Horse, were submitted to OAL for review. The proposed amendment to Rule 1632 would adjust the jockey's riding fees due to the increase in California's minimum wage that becomes effective January 1, 2016. The proposed amendment to Rule 1887 would add owners of ship-in horses as co-absolute insurers along with the trainers. The

amendments to: Rule 1689.1, Safety Vest Required, Rule 1734, Whipping, Rule 1699, Riding Rules, Rule 1843.3, Penalties for Medication Violations and Rule 1845, Authorized Bleeder Medication were all prepped to begin or in the 45-day comment period of the amendment process, as were the addition of Rule 1846.6, Post Mortem Examination Review and addition of Rule 1866.1, Presence of Clenbuterol in Quarter Horses. Executive Director Baedeker said that year-to-date handle increased by 2.13 percent over 2014 in October 2015. For the month of October 2015, daytime handle decreased by 8.25 percent and nighttime handle increased 8.8 percent, with an overall decline of 8.25 percent over the same time in 2014. Comparisons were affected by an extra day of night business and the lack of Breeders' Cup at a California track for daytime business in 2015. Commissioner Choper asked if there was a way to estimate how much of the reduction in handle for daytime business was due to the absence of the Breeders' Cup. Executive Director Baedeker said Bernie Thurman, a consultant for Southern California Off Track Wagering, Inc., could distribute the financial data regarding the absence of the Breeders' Cup.

PUBLIC COMMENT

John Bucalo, Barona Casino Off-Track Betting, stated satellite facilities, with two percent commissions, could not compete with Advance Deposit Wagering (ADW) providers. He said the ADW providers were not creating new players; the ADWs were taking players from the satellites. He stated the impact fee from ADWs should be increased; that it should be based on the commission made by the ADWs. Vice-Chairman Rosenberg said according to the financial report, the handle increased by 10 percent through October 2015. Mr. Bucalo said that increase was due to an additional racing day. Executive Director Baedeker stated the handle was for

year-to-date and the number of days was the same for 2014 and 2015. Chairman Winner said the change Mr. Bucalo sought required a change in legislation. He stated the Board could determine its position on a proposal if Mr. Bucalo went to the State Legislature and began the legislative process. Mike Marten, CHRB Staff, reported that the switchover from using Sportech to AmTote International (AmTote) as the California totalizator company had gone well overall. Executive Director Baedeker said the opinion that the switchover to AmTote was going well came from several mutual managers throughout California. Mr. Marten stated minor problems occurred, as was expected with a change of this magnitude. He said one issue was from a sole winning ticket that was counted as two winning tickets. This caused an error in the amount of money added into the carryover. The ticket should have been considered a unique ticket, and the winner given a jackpot. The winner was subsequently contacted and given the jackpot. The funds had already been added and announced as a carryover prize, so the amount was taken out of profit and left in the carryover as well. AmTote subsequently automated more of its process to eliminate the possibility of this error occurring again. Mr. Marten stated despite training, internal auditors had problems balancing financial information. This was due to AmTote reports displaying the information in a different manner than reports from Sportech; the internal auditors were accustomed to Sportech reports and processes. Jeff True, AmTote, said AmTote contacted the track employees affected, and was working with them to revise its reports. He stated some of the reports were still being updated, but the internal figures balanced. Mr. Marten stated some of the terminals had ticket jams due to alignment problems. The terminals were tested prior to customer use; however, the only way to determine if the terminals were in proper alignment was with high-volume use. The terminals that jammed were adjusted to correct the alignment. Chairman Winner asked if AmTote had employees available to assist customers to get tickets out

of the jammed terminals. Mr. Marten stated AmTote had staff available to help clear any jams. He said other problems were due to user-error both from patrons and employees. He stated AmTote resolved, or was in the process of resolving, most of the problems. Commissioner Auerbach said part of the problems with the switchover were due to patrons acting out of habit. She stated people would have to get used to the AmTote system. Mr. True said while there were a few problems, as mentioned most were expected due to the magnitude of the transition from Sportech to AmTote. The switchover in California was the second large transition AmTote had conducted in the last 26 months. Chairman Winner asked if the previous deployment AmTote conducted had similar problems. Mr. True stated new terminals took time to get fine-tuned. Similar problems occurred in September 2013, in New York, when AmTote deployed terminals. He said AmTote deployed 3000 terminals in 35 locations within California. After three weeks of racing in California, AmTote handled more than \$50 million dollars through the California terminals, and more than \$80 million dollars outside the state on California racing. Internal figures showed the California terminals operated at the expected rate based on national figures. Commissioner Krikorian asked if AmTote had supervisors walking the floors to handle problems such as the jammed terminals. Mr. True said there were terminal technicians, called TIM Techs throughout California to assist customers with the terminals. He stated that in California, the racetracks themselves provided utility clerks that worked on the floor with the TIM Techs. Northern California Off-Track Wagering, Inc. also had employees with shared responsibilities on the floor. Opening weekend had nearly 20 additional AmTote employees working on the floor to help customers. Commissioner Krikorian asked how a customer could get reimbursed if he lost a voucher. Mr. True said AmTote was working on adjusting the process to find lost vouchers. The effort to find lost vouchers was not performed as broadly in other jurisdictions where

AmTote was used; AmTote had to establish functions to locate lost vouchers. He stated AmTote had the ability to look at transaction records on terminals, so if the customer had some identifying information, such as which terminal and what amount, then employees could look through the terminal record. He said the pari-mutuels had different procedures that AmTote would follow at that point. Commissioner Krikorian asked if AmTote was obligated by contract to make customers whole in situations such as mechanical failure of the terminals. Mr. True stated responsibility is to the stakeholder; the tracks. Vice-Chairman Rosenberg asked if the customer would be taken care of in cases where terminals jammed. Mr. True said it would be up to the pari-mutuels or tracks. He stated AmTote supplied the system, information, accounting and operation, but did not make business decisions for the tracks or pari-mutuels.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE DEL MAR THOROUGHBRED CLUB IN THE AMOUNT OF \$130,387 TO NINE BENEFICIARIES.

Josh Rubenstein, Del Mar Thoroughbred Club (DMTC), said DMTC requested approval to distribute race day charity proceeds in the amount of \$130,387 from its 2015 summer race meet to nine horse racing related organizations. Commissioner Auerbach stated the California Retirement Management Account, CARMA planned to disperse over \$500,000 to various racehorse rescue organizations thanks to its partnerships with the associations. Commissioner Auerbach **motioned** to approve the distribution of race charity proceeds of the DMTC in the amount of \$130,387 to nine beneficiaries. Commissioner Choper seconded the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION (T) AT GOLDEN GATE FIELDS, COMMENCING DECEMBER 26, 2015 THROUGH JUNE 12, 2016, INCLUSIVE.

Chairman Winner asked for an update on the outstanding items for the application to conduct a horse racing meeting of the Pacific Racing Association at Golden Gate Fields (GGF). Scott Daruty, GGF, said the outstanding items could be split into two categories. The Advance Deposit Wagering (ADW) agreements and horsemen's approval of the ADW agreements were the first category. The simulcast agreements with the ADW companies were for every simulcast location throughout the country; they were calendar year contracts that were negotiated in November or December for the next calendar year. The negotiations were scheduled to take place in early December 2015 at an industry meeting in Tucson, Arizona. He stated this item fell within national timing as opposed to California timing because they were nationally negotiated contracts. Commissioner Auerbach asked if the current ADW agreements continued through the end of December 2015. Mr. Daruty stated the current ADW agreements were made at the end of December 2014 and were good until the end of December 2015. Chairman Winner asked when the new agreements would be signed. Mr. Daruty said many negotiations had to occur before the agreements would be finalized. He stated in the past if agreements were not made by the end of an agreement term, a temporary 30-day agreement was made to continue the signals while negotiations concluded. Mr. Daruty said the second category of outstanding items, which included the race meet agreements with the Thoroughbred Owners of California (TOC) and California Thoroughbred Trainers (CTT), was contingent on the resolution of the stabling issues. He stated the plans for stabling were recently resolved by keeping Pleasanton as a stabling facility for GGF. He said GGF presented a final agreement to CTT for signature; however, GGF was informed by CTT that CTT did not want to sign either the North or South CTT agreements

until stabling for the entire state was resolved. Alan Balch, CTT, stated he agreed with Mr. Daruty's description of the current status of the CTT agreements. He said CTT was very concerned about signing any CTT agreements due to the uncertainty in stabling statewide. He stated CTT did not see any problems with GGF moving forward, but CTT wanted to see the statewide stabling plan resolved. Chairman Winner asked Mr. Balch when CTT expected to sign the agreements. Mr. Balch said he anticipated signed agreements by December 1, 2015. Commissioner Choper asked what had to be done statewide before CTT signed an agreement with GGF. Mr. Balch stated there could be a daily cost issue at Pleasanton and did not know how it would be resolved. Chairman Winner said he thought Mr. Daruty stated the issue had been resolved. Mr. Daruty stated GGF initially had meetings with Pleasanton about no longer needing Pleasanton's stabling facility. He said with 200 horses currently housed at Pleasanton, it would cost GGF \$2 million to keep the facility open this year. He stated while GGF thought it would no longer require Pleasanton's services due to the uncertainty in the South, GGF ultimately decided it would require Pleasanton's services. He said while Pleasanton was open to remain as a stabling location, Pleasanton indicated discussions could occur regarding the daily rate it would receive. Chairman Winner asked why the uncertainty in the South would affect the relationship between GGF and Pleasanton in terms of stabling. Mr. Daruty stated there could be a chance of horses from the South coming to the North to stable at Pleasanton for a period of time; however, it was not definitive. Chairman Winner said he was in agreement with the decision to keep Pleasanton for 2016. He stated he understood GGF's concern that there could not be enough stabling in the South, which would result in horses moving North, and an agreement with Pleasanton regarding cost was pending. Mr. Daruty explained the process for determining stabling costs. He said the Northern California Stabling and Vanning Committee (Committee) determined costs, so the Committee would have to meet and vote on GGF's

proposal to Pleasanton, and Pleasanton would have to agree. Chairman Winner stated GGF was asking the Board to approve a license without all of the required documents in place, and it appeared that many steps still had to take place with GGF, Stabling and Vanning, and the North before agreements were in place. Alan Balch, CTT, said many of the issues that arose over the last few weeks were unanticipated; he did not believe any of the parties were acting in bad faith. Chairman Winner stated the Board recognized the unanticipated issues; however, the Board did not have the required documents. Mike Pagram, TOC, said TOC wanted to ensure there were at least 1,650 stalls available in Northern California. Chairman Winner asked if Mr. Pagram agreed with the assertion that until the Southern California stabling issue was resolved, the Northern California stabling issue could not be resolved. Mr. Pagram stated he agreed. He did not believe that GGF could build 300 additional stalls to meet the 1,650 requirement, so Pleasanton should not be closed in 2016. Joe Morris, GGF, said GGF spoke with Pleasanton, and explored the possibility of consolidating, but for now Pleasanton was good with staying open. Commissioner Choper asked why 1,650 stalls were needed when it seemed that people who should not be using the stalls at GGF were not being asked to move their horses? Mr. Daruty said by eliminating those horses that used free stabling and were not race horses, GGF would have enough stalls. Mr. Pagram said the formula used by TOC to determine how many stalls were needed in the North and the South was taking the horse population and multiplying by ten percent. He stated it was the race tracks responsibility to eliminate unnecessary inventory, not TOC's. Mr. Daruty stated he agreed with Mr. Pagram, however, GGF was still not in a position to close Pleasanton given what was going on in the South. Chairman Winner **motioned** to grant a conditional license for one week to the Pacific Racing Association at Golden Gate Fields (GGF), conditioned on GGF returning the 2016 Vanning and Stabling agreement, the TOC agreement, and the CTT agreement by December 1, 2015. The conditional license would expire if CHRB staff did not

receive the outstanding items by December 1, 2015. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB, (T) AT SANTA ANITA, COMMENCING DECEMBER 26, 2015 THROUGH JULY 10, 2016, INCLUSIVE.

Chairman Winner stated the outstanding items for the application to conduct a horse racing meeting of the Los Angeles Turf Club at Santa Anita (Santa Anita) were the Advance Deposit Wagering (ADW) agreements, horseman approval of ADW's, 2016 Stabling and Vanning agreements, schedule of charges, Thoroughbred Owners of California (TOC) agreement, and the California Thoroughbred Trainers (CTT) agreement. Scott Daruty, Santa Anita, provided information regarding the schedule of charges for the race meet. He said Santa Anita decided to have no parking or admission charges on Thursdays and Fridays during the course of the race meet. He said the reason Santa Anita was delayed in providing the Board with the schedule of charges was because Santa Anita had to analyze the performance of its Autumn meet, where it did not charge for parking or admission, to see if it made sense to continue the promotion for the next meet. Executive Director Rick Baedeker recommended that in the future, when submitting incomplete race meet applications, racing associations should provide an explanation and an estimated time when staff could expect to receive the missing information. Commissioner Auerbach stated after looking at the stakes schedule, she was concerned that many stakes were being dropped. She said she was making a general comment that California was not retaining the stakes schedules that it needed to hold its place in the industry. She stated she was concerned about the grade of the stake being lost and the possibility of those lost for a year or two would

not return. Rick Hammerly, Santa Anita, stated Santa Anita worked with the dates given by the Board and with TOC to develop a schedule. Commissioner Auerbach asked if Santa Anita would put in writing that the American Oaks would run in 2016. Mr. Hammerly said the Board could count on the American Oaks in 2016; it was listed in writing on the stakes schedule. He stated the American Oaks was a Grade 1 race that formerly ran at Hollywood Park. He said because the American Oaks was a three-year-old Filly race at a mile and a quarter distance, it could not be moved up in the schedule. He stated Santa Anita decided to run the race at the end of the year, instead of during the summer. He said placing the American Oaks at the end of the year after other big races such as the Breeder's Cup and the Queen Elizabeth was a good fit. Commissioner Auerbach stated she was also concerned with what happened to the Bayakoa; no one picked it up. Mr. Hammerly said the Bayakoa was another race that ran at Hollywood Park, and he believed Los Alamitos ran it last year. He stated Santa Anita helped fill in the gap left from the Bayakoa by adding a Grade 2 four-year-old Filly race. Commissioner Auerbach asked if there was no longer a three-year-old race. Mr. Hammerly stated that was correct; there was now a four-year-old race. Commissioner Auerbach asked Santa Anita why it was asking for dates it had no intention of running, and why would Santa Anita schedule stakes on those dates? What effect did that have on the percentage of Cal-bred stakes it was required to run? Mr. Hammerly said Santa Anita worked with the California Thoroughbred Breeders Association on the stakes schedule. Mr. Daruty, Santa Anita, stated Santa Anita was given race dates through July 10, 2016. Santa Anita had discussions with Del Mar in which Del Mar suggested that if Santa Anita did not race the last couple of days of its meeting, it would help strengthen the start of Del Mar's meet. He said while no decision had been made, Santa Anita told Del Mar it was open to Del Mar's suggestion. Commissioner Auerbach asked if Del Mar would run the stakes races listed by Santa Anita. Mr. Daruty said Santa Anita would cooperate with Del Mar

wherever it could. Vice-Chairman Rosenberg asked if the TOC agreed with the stakes schedule. Mike Pagram, TOC, stated Santa Anita made a good case for why it dropped the stakes races, and why it moved the American Oaks; therefore, TOC signed off on Santa Anita's stakes schedule. However, TOC was not part of the discussion regarding Santa Anita dropping race dates; TOC would be concerned about that. Chairman Winner asked how the decision to drop race dates would be implemented. Mr. Daruty said it would depend on input from the Board and TOC. Commissioner Auerbach stated when the Board approved race dates, it would like the race dates used. Otherwise, racing associations should not ask for them. Chairman Winner stated Santa Anita was applying for a license which included dates Santa Anita could decide not to run; Santa Anita should be able to tell the Board whether or not it would be running those dates. Mr. Daruty said if the Board mandated Santa Anita to run through July 10, 2016, it would. Or, if the Board would like Santa Anita to work with its industry partner and present a group decision to the Board, Santa Anita would be happy to do that as well. Chairman Winner stated the proper way to request a license was to have everything prepared in advance so that CHRB staff and the Board had time to review the race meet applications. The inability of stakeholders to get together and reach agreements on the various issues was frustrating. Chairman Winner asked for an update on the remaining outstanding items. Mr. Daruty stated the TOC and CTT agreements were delayed pending a resolution to the stabling and vanning issues. He said Santa Anita was working with Del Mar who had made a commitment of 500 stalls through May 2016. Joe Morris, Santa Anita, stated Santa Anita would have 1,900 stalls, as well as 500 stalls at San Luis Rey that were currently in the process of being built, and 512 stalls at Del Mar. He said the plan laid out by Mr. Daruty would provide enough stalls for Santa Anita, and with an additional 500 stalls at Galway Downs, would result in enough stalls available. Brad McKinzie, Los Alamitos Race Course (Los Alamitos), stated there was the stabling and vanning agreement that

Los Alamitos could not agree to; the agreement was for ten years at Los Alamitos. He said Dr. Allred and representatives of the TOC felt it was in their best interests to have a long term agreement at Los Alamitos to provide long term stability to the industry; however, Los Alamitos was looking to make a one or two year agreement to simplify the process. Joe Morris, Stabling and Vanning Committee, stated the Committee met with Los Alamitos to discuss a one year agreement and presented the prices for 2016; however, Los Alamitos was not interested in the offer. He said what Los Alamitos was now presenting was new information. Chairman Winner asked Mr. Daruty how long it would take Santa Anita to provide the required information to the Board; would it be possible for all the parties involved to reach an agreement so Santa Anita's license could be issued to start racing on December 26, 2015? Mr. Daruty said he thought so. Mr. McKinzie stated he also thought it was possible. He said Los Alamitos acted in good faith and would continue to do so. He said Dr. Allred was the only person who could speak for Los Alamitos to say whether an agreement was acceptable or not. Robert Miller, CHRB Board Counsel, stated the documents submitted with a race meet application were not a request, they were required by law. Commissioner Krikorian said any issues should be resolved at least 90 days in advance of the start of a race meet. He stated he did not appreciate receiving information at the last minute and suggested if a race meet applicant could not provide the required information at least 90 days in advance, there should be a system to fine the applicant for failure to perform. Chairman Winner asked Mr. Miller if the Board could fine race meet applicants. Mr. Miller stated the Board did not have the ability to issue a monetary penalty; however, an administrative disciplinary action against a licensee could be pursued. Commissioner Krikorian said the people representing stabling and vanning also represented the race tracks and other organizations, maybe there should be a third party instead of everyone commingled. Mr. Daruty stated the Stabling and Vanning Committee took a vote that was passed by a majority. He said

the daily rate that was passed by the Committee was presented to Los Alamitos who turned it down. He stated at that point Santa Anita started working on an alternative plan to include enough stabling to run its meet. He said the Board was now asking Santa Anita to talk with Los Alamitos which it was willing to do if that was what the Board wanted, otherwise, Santa Anita would move forward with the stabling plan proposed. Chairman Winner said the Stabling and Vanning Committee voted on \$9,500 as the daily cost which was presented to Los Alamitos, and Los Alamitos rejected the amount. Mr. Morris stated that was correct; he worked with Mr. McKinzie to come up with the daily rate and both parties agreed. However, Mr. Morris subsequently received a letter from Los Alamitos stating it no longer agreed with the \$9,500 figure and wanted a new daily rate. Chairman Winner asked if an agreement had been negotiated. Mr. Daruty said Santa Anita was going into its race meet with 1,900 stalls at Santa Anita, 500 stalls at San Luis Rey, 512 stalls at Del Mar, and excess capacity at Galway. Mr. McKinzie asked if the plan presented by Santa Anita was voted on by the Stabling and Vanning Committee; what was Del Mar and San Luis Rey charging per day. Mr. Daruty said the number for San Luis Rey was voted on at the Stabling and Vanning Committee meeting and agreed to by San Luis Rey. The \$9,500 that was proposed to Los Alamitos would take effect when new legislation passed. San Luis Rey was \$8,500 a day after the new legislation, \$6,100 prior to legislation. Chairman Winner stated it was assumed the legislation would pass; however, it did not last year. Commissioner Choper asked if the extra horses stabled but not running issue found at the Northern California tracks was the same in Southern California. Mr. Morris said yes. Many of the extra horses had been identified over the last year, but there were a number of horses left that should not be in industry paid stabling. Commissioner Choper said it was the obligation of the TOC and CTT to remove those horses. Mr. Pagram, TOC, said two years ago the rates for Los Alamitos was set at \$4,700, which was the rate Los Alamitos proposed to

Stabling and Vanning and was agreed to by both parties. At the same time San Luis Rey proposed \$6,100. He said TOC left Fairplex because it charged \$13,000 a day. He stated TOC voted to approve Stabling and Vanning at \$9,500 because it was a fair amount supported by TOC. At that time Los Alamitos presented a counter offer that was higher, but with conditions. He said TOC was willing to sit down with Los Alamitos, but other things such as land rent and Australian signals had to be off the table; the daily costs should be about stabling and vanning only. Commissioner Krikorian asked if the daily rate was \$4,700 when Los Alamitos first built its stables. Mr. Pagram said that was correct, and it was a two year agreement. Vice-Chairman Rosenberg asked if the increase in the amount from \$4,500 to \$15,000 was ever justified by Los Alamitos. Mr. Pagram stated he was told by people that the money being asked for was for more than just reimbursement. Chairman Winner asked how the trainers felt about the alternative plan, especially the trainers at Los Alamitos. Alan Balch, CTT, stated CTT did not like the lack of planning and the lack of certainty, and those stabled at Los Alamitos had greater concerns than anyone else. He said he did not believe there was an oversupply of horses, but rather an undersupply of horses for the number of racing weeks. Executive Director Baedeker stated he was worried that the decisions made over the next several weeks could result in changes that would cause irreparable damage to the sport. For example, if there were 3,400 horses, 40 percent of the horses would be stabled two hours away from Santa Anita. This would create an additional imposition on trainers to train in a remote area and then get their horses to the track. He said Los Alamitos was willing to look at a short term deal and whatever decision was made with everyone in agreement would be in the best interest of horse racing. Vice-Chairman Rosenberg asked if Del Mar had approval from the Agricultural District and other approvals necessary to provide the additional stalls to Santa Anita? Josh Rubenstein, Del Mar, said Del Mar had conditional approval from the 22nd Agricultural District and the owner of the facility to

provide stabling for up to 512 horses beginning January 1, 2016. Commissioner Auerbach asked what conditionally meant. Mr. Rubenstein said costs had to be worked out. Chairman Winner asked where the horses would go at the end of May 2016. Mr. Daruty said Santa Anita's plan was to have temporary facilities until the permanent facilities at San Luis Rey were complete. Mr. Morris said Santa Anita also had Galway and any empty stalls at Santa Anita as well. Chairman Winner asked if Galway was permitted and the conditions were suitable for stabling and vanning. Mr. Morris said yes, and Galway was approved by the Board. Chairman Winner **motioned** to grant a conditional license for one week to the Los Angeles Turf Club at Santa Anita (Santa Anita), conditioned on Santa Anita returning the 2016 Vanning and Stabling agreement, the TOC agreement, and the CTT agreement by December 1, 2015. The conditional license would expire if CHRB staff did not receive the outstanding items by December 1, 2015. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS HORSE RACING ASSOCIATION (T) AT LOS ALAMITOS, COMMENCING DECEMBER 3, 2015 THROUGH DECEMBER 20, 2015, INCLUSIVE.

Brad McKinzie, Los Alamitos Racing Association (LARA), stated the 2015 winter meeting consisted of 12 days; opening day was scheduled for December 3, 2015. He said LARA had six promotions prepared to boost attendance for the race meeting. Chairman Winner asked what items were missing from the application and listed on the staff analysis. Mr. McKinzie said the stakes schedule was incorporated in the race meet agreement with the Thoroughbred Owners of California (TOC). Highlights include two grade one races: the Starlet and the Los Alamitos

Futurity, and two Golden State two-year-old races. Commissioner Auerbach stated she was aware of the differences in the stakes schedule as well as the state of the purse account. She asked if LARA was attempting to make up its entire deficit at its winter race meet. Mr. McKinzie said LARA had overreached on the last race meeting it held and did not expect to make up the deficit with its winter race meet. He stated LARA was working within its means for the upcoming December 2015 race meeting. He said in 2016, LARA was not going to be able to hold the Starlet and Los Alamitos Futurity. Vice-Chairman Rosenberg asked if the profit-loss statement was included in the financial statement. Rick English, LARA, stated the profit-loss statement was included in the Los Alamitos Quarter Horse Racing Association application to conduct a horse racing meeting commencing December 26, 2015 through December 18, 2016. Chairman Winner asked for a response to the equine emergency section of the application. Mr. McKinzie said LARA planned to use a rotating group of private practice veterinarians that would ensure a veterinarian on the grounds during all training hours. Vice-Chairman Rosenberg asked if LARA partook in the InCompass system. Mr. McKinzie confirmed LARA participated. Vice-Chairman Rosenberg asked who was responsible for daily input of data into the InCompass system. Mr. McKinzie stated an employee from the stable area was designated to input the data daily. Mr. McKinzie said after discussions between Orange County Emergency Services (OCES) and LARA, OCES gave LARA the authority to add a paramedic to the Huntington Ambulance crew, which would be a change for this race meet. The December 2015 race meeting would be the first to have the paramedic added to the crew. The paramedic has the authority to provide advanced life services if needed. Commissioner Auerbach stated LARA had a new aggressive program to try to prevent rider injuries and it was a huge step forward for LARA. She said she hoped the other tracks and training facilities would follow suit. Mr. McKinzie stated LARA operated under OCES jurisdiction, and OCES made the new standard protocol that

anyone falling off a horse moving at the speed of a gallop was to be treated as a trauma patient. Darrell Haire, Jockeys' Guild, asked for clarification on who decides whether or not a rider must go to the hospital in an ambulance in cases of concussions. Mr. McKinzie stated the rider would not have the prerogative to get up from a fall and say he was fine; he would be transported to a trauma hospital unless he signed a refusal of medical services. If a rider fell before entering the starter-gate or post-parade, then the protocol would be more subjective, but the paramedics would assess the rider and determine if he should be allowed to continue to ride. Mr. Haire asked if the Stewards decided if a rider could get on another horse at the track. Mr. McKinzie said the Stewards could not force the rider to seek medical attention, but it would be up to the stewards to determine if the rider could ride. He stated LARA did not want the change to appear punitive and that he would speak with Mr. Haire and work through the Jockeys' Guild concerns over the protocols. Vice-Chairman Rosenberg **motioned** to approve the LARA application to conduct a horse racing meeting commencing December 3, 2015 through December 20, 2015. Commissioner Krikorian **seconded** the motion, which **carried** five to zero with Commissioner Solis absent for vote. Roll Call Vote: Aye: Krikorian, Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT ADVANCE DEPOSIT WAGERING (ADW) OF GAME PLAY NETWORK, INC. AS A CALIFORNIA MULTI-JURISDICTIONAL WAGERING HUB, FOR A PERIOD OF TWO YEARS.

Greg Avioli, Game Play Network, Inc. (GPN) stated GPN was a non-traditional Advance Deposit Wagering (ADW) provider. The product, b Spot, was designed to attract customers interested in online games to make monetary wagers on horseracing. GPN offered players preselected wagers for their approval, and if approved, the wagers were placed and players saw

the results while playing online games. The b Spot platform was rolled out to the general public in 2015. GPN conducted beta test wagers from about 2,000 residents of California, Oregon and Colorado. Results from the beta testing showed 70% of the players were under the age of 45, and 60% were women. The most popular wagering package offered by GPN consisted of: win, place and show wagers, plus an exacta or a trifecta wager. He said GPN continued to refine the b Spot user interface. Mr. Avioli said he believed the outstanding items on the staff analysis for GPN's application were resolved. Chairman Winner stated that a representative of Pari-Mutuel Employees Guild - Local 280 (Local 280) had asked the Board to defer hearing the GPN license application until the next meeting. Mr. Avioli stated there was a requirement in Business and Professions Code Section 19604(d)(1)(B) that ADWs must have an agreement with labor, and GPN asked Local 280 for an agreement with the same terms it had in 2014 and 2015. Local 280 countered, and asked for inclusions beyond the statute requirements, which requires the ADW to commit to three elements with the labor organization in the labor agreement. GPN representatives spoke with Local 280 representative, John Valenzuela, and discussed the limitations of the law. GPN prepared an agreement in writing containing the requirements and included a copy in its license application signed by its Chief Operating Officer, Joe Hasson. Mr. Avioli said he thought GPN was in compliance for the application based on its actions. Philip Laird, CHRB Staff Counsel, asked if labor had requested an agreement in writing within the 90 days the statute requires. Mr. Avioli stated the labor union had not requested an agreement in writing from GPN. Mr. Laird said Business and Professions Code Section 19604(d)(1)(B) required a request in writing by a bona fide labor organization no later than 90 days before licensing. Technically, the ADW did not need to enter into an agreement with Local 280, since this requirement was not met. In this case, he believed GPN had fulfilled the requirements. Commissioner Choper asked if Local 280 had done the same thing with any other ADW

company. Mr. Laird said the rest of the ADW companies had licenses that did not expire until December 31, 2016, so GPN was the first ADW application to be heard without a signed labor agreement. Chairman Winner asked if one of the requirements Local 280 asked for was for GPN to provide more jobs in California. Mr. Avioli stated Local 280 did include the requirement for more jobs in California and expressed disappointment in the lack of new jobs in California. Robert Miller, CHRB Board Counsel, said to clarify, if Local 280 had made a written request within 90 days of the hearing of the license and there was no agreement, the law stated that the Board shall not grant the license. In this case, Local 280 did not meet the requirement. Chairman Winner stated the union ought to be reminded it did not notify the entity. Commissioner Krikorian asked if GPN objected to the Board delaying the vote on the application for 30 days to give Local 280 representatives a chance to speak before the Board. Mr. Avioli said GPN preferred for the decision to be made at this meeting. Chairman Winner stated that generally items deferred by the Board are done so at the request of the individuals most directly involved, such as the applicant of a license. Furthermore, Local 280 did not meet the 90 day requirement. He said Local 280 acted too late; further delay on its behalf was a moot point. Vice-Chairman Rosenberg **motioned** to approve the GPN application to conduct ADW of GPN for a California multi-jurisdictional wagering hub, for a period of two years. Commissioner Auerbach **seconded** the motion which **carried** five to zero with Commissioner Solis absent for vote. Roll Call Vote: Aye: Krikorian, Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1489, GROUNDS FOR DENIAL OR REFUSAL OF LICENSE, TO AMEND THE CRITERIA TO BE USED WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.

Philip Laird, CHRB Staff Counsel, said the proposed amendment to Rule 1489, Grounds for Denial or Refusal of License, had one public comment from Attorney Carlo Fisco, which was included in the Board package. Commissioner Choper asked if Mr. Fisco's comments were resolved. Mr. Laird said there was a subsequent email exchange with Mr. Fisco where the amendment process was explained to the attorney. He stated CHRB counsel considered the comments and recommended the Board move forward with the amendment to Rule 1489. Commissioner Auerbach **motioned** to adopt the proposed amendment to CHRB Rule 1489, Grounds for Denial or Refusal of License. Vice-Chairman Rosenberg **seconded** the motion, which **carried** four to zero with Commissioners Solis and Krikorian absent for vote. Roll Call Vote: Aye: Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED ADDITION TO CHRB RULE 1489.2, REHABILITATION CRITERIA FOR EVALUATION OF DENIED, SUSPENDED OR REVOKED OCCUPATIONAL LICENSE, TO ESTABLISH CRITERIA TO BE USED TO EVALUATE THE REHABILITATION OF AN APPLICANT WHEN CONSIDERING THE DENIAL, SUSPENSION OR REVOCATION OF AN OCCUPATIONAL LICENSE.

Philip Laird, CHRB Staff Counsel, said the proposed amendment to Rule 1489.2, Rehabilitation Criteria for Evaluation of Denied, Suspended or Revoked Occupational License had one public comment from Attorney Carlo Fisco, which was included in the Board package. No other comments were received during the 45-day comment period. CHRB counsel considered the comments and recommended the Board move forward with the amendment to Rule 1489.2. Commissioner Auerbach **motioned** to adopt the proposed addition of CHRB Rule 1489.2,

Rehabilitation Criteria for Evaluation of Denied, Suspended or Revoked Occupational License. Commissioner Choper **seconded** the motion, which **carried** four to zero with Commissioners Solis and Krikorian absent for vote. Roll Call Vote: Aye: Auerbach, Choper, Rosenberg, Winner. Nay: None. Motion Carried.

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE, TO PROVIDE THAT A CLAIM SHALL BE VOIDED BY THE STEWARDS IF THE RACING OR OFFICIAL VETERINARIAN DETERMINES THE HORSE WILL BE PLACED ON THE VETERINARIAN'S LIST AS "BLED".

Chairman Winner stated the proposed amendment to Rule 1658, Vesting of Title to Claimed Horse, had completed the 45 day comment period. Commissioner Auerbach said she had received a comment. The comment was in reference to the amendment defining "bled". The commenter asked if the horse will be scoped in cases where a horse did something like bump its nose, and bled from the nostril. Dr. Arthur, CHRB Equine Medical Director, said a horse would be visually examined for signs of trauma. An endoscopic exam would not be done unless the Official Veterinarian decided it was warranted. Commissioner Auerbach asked if the visual inspection was enough of a safeguard to not rule out a horse bleeding from the lungs. Dr. Arthur said it was the same way horses were added to the Veterinarian's List following Rule 1845, Authorized Bleeder Medication. Chairman Winner said the original intent for amending Rule 1658 was to help prevent horses from being run in an effort to intentionally lose them in a claiming race. However, the existing trainer may not have had knowledge that the horse bled in a race and the claim would be voided under the amendment to Rule 1658. Alan Balch, California Thoroughbred Trainers (CTT), said he thought it was consistent because horses could be barred from racing after a bleeding three times in races. Not everyone knew when a horse had

bled twice already, so it is only fair to protect a potential buyer. Commissioner Auerbach **motioned** to approve the amendment to Rule 1658, Vesting of Title to Claimed Horse. Vice-Chairman Rosenberg **seconded** the motion which **failed** two to two with one pass and Commissioner Solis absent for vote. Roll Call Vote: Aye: Auerbach, Rosenberg. Nay: Krikorian, Choper. Pass: Winner. Robert Miller, CHRB Board Counsel stated for the Board to take any action, there had to be four votes in the affirmative. Commissioner Choper said he would like more information before adopting the rule. Chairman Winner asked if the item could be moved to the following Board meeting. Jacqueline Wagner, Assistant Executive Director, stated the comment period had already taken place. The proposal to amend Rule 1658 was noticed to the public for the Board to take action on the proposal as it was presented. The Board voted no on the proposal. The process would have to start over. Chairman Winner asked if the Board could defer the item to the next meeting since one commissioner passed on voting. Mr. Miller said the Board could move to rescind its action denying acceptance of the proposal and then make a new motion to put the item on the agenda for the next Board meeting. Chairman Winner **motioned** to rescind the motion to approve the amendment to Rule 1658, Vesting of Title to Claimed Horse. Commissioner Krikorian **seconded** the motion which **carried** four to one with Commissioner Solis absent for vote. Roll Call Vote: Aye: Auerbach, Choper, Rosenberg, Winner. Nay: Krikorian. Commissioner Choper said he wanted to have anyone, including commissioners, who had a comment on the amendment to Rule 1658 to notify the Board in writing before it was heard. Ms. Wagner stated that the 45 day comment period was closed, so any comments would have to be taken at the time of the hearing. Staff recommended that anyone intending to make comments at the hearing should provide the comments in writing in advance of the meeting. Chairman Winner **motioned** to defer taking action on the proposed

amendment to Rule 1658, Vesting of Title to Claimed Horse until the next Board meeting. Vice-Chairman Rosenberg **seconded** the motion which **carried** four to one with Commissioner Solis absent for vote. Roll Call Vote: Aye: Auerbach, Choper, Rosenberg, Winner. Nay: Krikorian. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM TVG AND HRTV REGARDING THE RECENT MERGER OF THE TWO BROADCASTING COMPANIES, THE IMPACT THE MERGER HAS ON CALIFORNIA RACING AND TVG/HRTV PLANS FOR BROADCASTING CALIFORNIA HORSE RACES IN THE FUTURE.

Allison Meyer, TVG, stated TVG and TVG2, formerly HRTV were both owned and managed by Betfair after the broadcasting companies merged. Day-to-day operations were handled by the same people as before the merger. She said the Stronach Group (Stronach) was not involved in day-to-day business. Vice-Chairman Rosenberg asked if Stronach was involved in programming for TVG and TVG2. Ms. Meyer said Stronach was not involved in programming. Vice-Chairman Rosenberg asked if Stronach was part of a committee for TVG and TVG2. Ms. Meyer stated representatives from Stronach and TVG are part of a television advisory board with quarterly meetings to discuss broader information regarding the company, such as the economic health of the networks, rather than day-to-day business. Chairman Winner asked for confirmation that Stronach is not heavily involved in the management of the merged company to quell public concern. Ms. Meyers stated Stonach is not heavily involved in its management. Vice-Chairman Rosenberg asked if statistics were available on the number of races broadcast on the two networks showing a comparison before and after the merger. He said the goal was to have more racing shown on both networks. Ms. Meyer stated TVG2 is not live Mondays and Tuesdays, so there was still overlap with TVG on those days. However, the networks covered

more races overall because they had less overlap during the rest of the week. Commissioner Auerbach asked if adding the Churchill Downs race track to the list of locations aired on TVG impacted the number of California races aired on the stations. Ms. Meyer said simulcast agreements were already in place with TVG and the tracks in California, so they were not affected.

REPORT FROM THE STEWARDS COMMITTEE.

Executive Director Rick Baedeker reported that the Stewards Committee held a meeting on November 17, 2015 and discussed the expected role for the new Chief Steward position. Many items addressed at Stewards Committee meetings were going to be handled on a regular basis by the Chief Steward. He said members of the Jockeys' Guild were invited to discuss Rule 1688, Use of Riding Crop. Members of the Jockeys' Guild expressed concern not only over the ability of riders to conform to Rule 1688, but also regarding the severity of the penalties for violations. He stated penalties were up to the Boards of Stewards, but the Rule itself was up to the Board and would be reviewed by a committee. Executive Director Baedeker said the committee agreed that Rule 1696, The Start, was too vague after several incidents where Rule 1696 was called into play in 2015. He said there was discussion that Rule 1503, Qualifications for License as Trainer or Assistant Trainer, testing was inadequate and there was potential for adding an apprenticeship term before licensing. Alan Balch, CTT, requested Stewards Committee meetings be opened up, at least in part, to the public as long as it did not break the law.

MEETING ADJOURNED AT 12:52 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

DECEMBER 17, 2015
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

DECEMBER 17, 2015
REGULAR BOARD MEETING

There is no board package material for Item 3

CALIFORNIA HORSE RACING BOARD

DECEMBER 17, 2015
REGULAR BOARD MEETING

There is no board package material for Item 4

STAFF ANALYSIS
December 17, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS DECEMBER 26, 2015 THROUGH JUNE 12, 2016.

Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

At its November 19, 2015 regular meeting, the Board granted PRA a conditional license approval to conduct a race meet at Golden Gate Fields beginning December 26, 2015 through June 12, 2016. Under the conditional approval, PRA was required to provide the 2016 Vanning and Stabling agreement, Thoroughbred Owners of California (TOC) agreement, and the California Thoroughbred Trainers (CTT) agreement to the Board no later than December 1, 2015. If the documents conditioned by the Board were not received by Tuesday, December 1, 2015, the license would expire. CHR B staff contacted a PRA representative on November 23, 2015, and requested the submission of the Vanning/Stabling, TOC, and CTT agreements by the required deadline. At the time of the printing of this Board package no outstanding items had been received from PRA.

At its October 22, 2015 regular meeting, the Board deferred the Pacific Racing Association race meet application until November 19, 2015, as the PRA application was incomplete. The marketing plan and advance deposit wagering sections of the application were unfinished; therefore, the Board asked the applicant to return to the November 2015 Board meeting.

CHR B staff contacted a PRA representative on October 22, 2015 and requested the submission of the outstanding application items by October 28, 2015. PRA submitted an amended race meet application on November 2, 2015. The PRA marketing plan and advance deposit wagering section of the application were completed. However, the stable accommodations and auxiliary ambulance sections of the amended application were incomplete.

- December 26, 2015 through June 12, 2016, or 96 days, two days less than 2015. The association proposes to race a total of 796 races, or 8.29 races per day. In 2015 PRA raced 8.24 races per day with an average of 6.69 runners per race during the 2015 winter/spring meet. The (actual) average daily purse for the 2015 winter/spring race meeting was \$158,158. The (estimated) average daily purse for this meet is \$156,410.
- The race dates proposed are the dates the Board allocated.

| December - 2015 | | | | | | |
|-----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

| January - 2016 | | | | | | |
|----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

| February - 2016 | | | | | | |
|-----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | | | | | |

| March - 2016 | | | | | | |
|--------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

| April - 2016 | | | | | | |
|--------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |

| May - 2016 | | | | | | |
|------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |

| June - 2016 | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | | |

- Racing 4 days per week. Thursday through Sunday, with the exception of Monday's, January 18th, February 15th and May 30, 2016. 7 races on Thursdays except December 31, 2015 where 9 races will be run. 8 races on Fridays except January 1, 2016 where 9 races will be run and January 8th, 15th, February 19th, March 4th, 11th, 18th, 25th, April 8th, 15th, 22nd, and May 27, 2016 where 7 races will be run. 9 races on Saturdays except May 7th, 21st, and June 11, 2016 where 10 races will be run and 9 races on Sundays except June 12th where 10 races will be run. If horse population permits may run additional races not to exceed the 8.4 average of races per day for the meet. Administrative approval will be requested if plans develop to exceed this amount.
- First post 12:45 p.m. daily with the following exceptions:
 - Weekends beginning May 7, 2016 – 1:15p.m.
 - Saturday, December 26, 2015 (Opening Day) – 12:15p.m.
 - Sunday, February 7, 2016 (Super Bowl) – 11:15a.m.
 - Saturday, May 7, 2016 (Kentucky Derby) – 11:45 a.m.
 - Saturday, May 21, 2016 (Preakness) – 11:45 a.m.
 - Monday, May 30, 2016 (Memorial Day) – 12:45 p.m.
 - Saturday, June 11, 2016 (Belmont Stakes) – 11:45 a.m.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will be offered Friday, May 6, 2016, for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016, for the Belmont Stakes.
 - \$1 – Exacta on all eligible races.
 - \$2 – Rolling Daily Double on all races. 20% takeout.
 - Pick 3 on eligible races; \$1 Trifecta on eligible races.
 - ¢.10 Superfecta on all eligible races.
 - ¢.50 Pick 4 on first four races and last 4 races; No consolation; 100% carryover; Alternate runner provision.
 - ¢.50 pick 5 on the first five races - 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners. 14% takeout.
 - \$1 Super High Five in the last race – 100% payout on all tickets selecting five winners. No consolation 100% carryover if no ticket has five winners.
 - \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 may be carded as last race of the day.
 - ¢.20 - Pick 6 on last 6 races – 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket then 70% minor pool that day is paid to tickets selecting the most winners and the 30% major pool will be added to the carryover.
- Specific changes from the 2015 license application:
 - Admission (club house) from \$8.00 to \$10.00
 - Admission (turf club) from \$10.00 to \$12.00
 - Club House Admission (1-10 visits) from \$6.00 to \$8.00
 - Club House Admission (11-20 visits) from \$5.00 to \$7.00
 - Club House Admission (21-30 visits) from \$4.00 to \$6.00
 - Club House Admission (31-40 visits) from \$3.00 to \$5.00
 - Club House Admission (over 40 visits) from \$2.00 to \$4.00
- Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpires, TVG, Bet America, and Watch and Wager.com.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application:

1. Stable accommodations.
 - 8(E) – 2016 Vanning and Stabling Agreement.
 - 8(G) – estimated cost of stalls.
 - 8(H) – estimated cost of vanning.
2. Thoroughbred Owners of California agreement.
3. California Thoroughbred Trainers agreement.
4. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit Wagering providers to accept wagers on races conducted in an outside of California for the applied license term.
5. Horsemen approval of Advance Deposit Wagering.

Specific information which will need **updating** during the proposed race dates:

1. Berkeley fire clearance (expires April 21, 2016).
2. Albany fire clearance (expires February 25, 2016).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**
1100 Eastshore Highway
Berkeley, CA 94710
Phone (510) 559-7300 Fax (510) 559-7464
calvin.rainey@goldengatefields.com

B. Breed of horse: TB QH H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2015 through June 14, 2016**

B. Actual dates racing will be held: **December 26-27, 31; January 1-3, 7-10, 14-17, 18, 22-24, 28-31; February 4-7, 11-14, 15, 19-21, 25-28; March 3-6, 10-13, 17-20, 24-27, 31; April 1-3, 7-10, 14-17, 21-24, 30; May 1, 5-8, 12-15, 19-22, 26-29, 30; June 3-5, 9-12.**

CHRB CERTIFICATION

Application received: 11/2/15
Deposit received: on file
Reviewed: LH

Hearing date: 12/17/15
Approved date:
License number:

- C. Total number of days or nights of racing: 96 days
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: Racing on Monday, 1/18/16, Monday, 2/15/16, and Monday, 5/30/16.

Dark days Thursdays (1/21, 2/18, 4/28, and 6/2), Friday 4/29, Monday 6/13, and Tuesday 6/14

- E. Number of days or nights of racing per week: 4 days per week

3. RACING PROGRAM

- A. Total number of races: 796 (Estimate)
- B. Number of races for each day or night: 8.29 average per day throughout the meet. We will conduct seven (7) races on Thursdays except December 31st where nine (9) races will be run; eight (8) races on Fridays except January 1st where nine (9) races will be run and January 8th, January 15th, February 19th, March 4th, March 11th, March 18th, March 25th, April 8th, April 15th, April 22nd, and May 27th where seven (7) races will be run; nine (9) races on Saturdays except May 7th, May 21st, and June 11th where 10 races will be run; and nine (9) races on Sundays except June 12th where 10 races will be run. If conditions permit, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. We will request administrative approval if we plan to exceed this amount.
- C. Total number of stakes races: 12 (7 Non-Overnight and 5 Overnight)
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**
 2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**
 3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**
- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

PRA/GGF WINTER-SPRING 2016
(December 26 – June 12, 2016)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 16

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 6

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 13

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 15

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 19

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 30

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, May 1

PRA/GGF WINTER-SPRING 2015
(December 26 – June 14, 2015)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo – 1 1/16 Miles
Saturday, January 17

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 7

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 14

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 16

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 14

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 25

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, April 26

PRA/GGF WINTER-SPRING 2014
(December 26 – June 15, 2014)
STAKES SCHEDULE

CALIFORNIA DERBY
\$100,000 Guaranteed
3yo— 1 1/16 Miles
Saturday, January 18

CALIFORNIA OAKS
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo – 1 1/16 Miles
Saturday, February 8

EL CAMINO REAL DERBY GIII
\$200,000 Guaranteed
3yo—1 1/8 Miles
Saturday, February 15

LOST IN THE FOG
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
4yo & up – 6 Furlongs
Monday, February 17

CAMILLA URSO
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 4yo & up -6 Furlongs
Saturday, March 15

SF MILE GIII
\$100,000 Guaranteed
3yo & up – 1 Mile Turf
Saturday, April 26

CAMPANILE (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo Fillies -1 Mile Turf
Sunday, June 8

PRA/GGF WINTER-SPRING 2016
(December 26 – June 12, 2016)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, May 1

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 14

ALCATRAZ
\$75,000 Guaranteed
3yo- 1 Mile Turf
Sunday, May 15

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo & up – 1 Mile
Monday, May 30

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 11

PRA/GGF WINTER-SPRING 2015
(December 26 – June 14, 2015)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, April 26

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 9

ALCATRAZ
\$75,000 Guaranteed
3yo- 1 Mile Turf
Sunday, May 10

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo & up – 1 Mile
Monday, May 25

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 13

PRA/GGF WINTER-SPRING 2014
(December 26 – June 15, 2014)
STAKES SCHEDULE

SILKY SULLIVAN (Golden State Series)
\$100,000 Guaranteed
(Incl. \$37,500 from CBRF & \$12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, June 8

GOLDEN POPPY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up -1 1/16 Turf
Saturday, May 10

ALCATRAZ
\$75,000 Guaranteed
3yo – 1 Mile Turf
Sunday, May 11

ALL AMERICAN GIII
\$100,000 Guaranteed
3yo and up – 1 Mile
Monday, May 26

ALBANY
\$50,000 Added (Plus up to \$15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 14

F. List all post times for the daily racing program:

| Race | All Weekdays during the meet, and all weekends between December 26, 2015 and May 6, 2016: | Race | All weekends Starting May 7, 2016 |
|------------------------------------|---|------------------------------------|-----------------------------------|
| 1st | 12:45 p.m. | 1st | 1:15 p.m. |
| 2nd | 1:15 p.m. | 2nd | 1:45 p.m. |
| 3rd | 1:45 p.m. | 3rd | 2:15 p.m. |
| 4th | 2:15 p.m. | 4th | 2:45 p.m. |
| 5th | 2:45 p.m. | 5th | 3:15 p.m. |
| 6th | 3:15 p.m. | 6th | 3:45 p.m. |
| 7th | 3:45 p.m. | 7th | 4:15 p.m. |
| 8th | 4:15 p.m. | 8th | 4:45 p.m. |
| 9th | 4:45 p.m. | 9th | 5:15 p.m. |
| 10 th (when applicable) | 5:15 p.m. | 10 th (when applicable) | 5:45 p.m. |

Exceptions:

| | | |
|-----------------------------|----------------|------------|
| Saturday, December 26, 2015 | Opening Day | 12:15 p.m. |
| Sunday, February 7, 2016 | Super Bowl | 11:15 a.m. |
| Saturday, May 7, 2016 | Kentucky Derby | 11:45 a.m. |
| Saturday, May 21, 2016 | Preakness | 11:45 a.m. |
| Monday, May 30, 2016 | Memorial | 12:45 p.m. |
| Saturday, June 11, 2016 | Belmont Stakes | 11:45 a.m. |

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: Pacific Racing Association
- State where incorporated: California
- Registry or file number for the corporation: 0480316
Federal ID #94-1585367 California ID #173-1919-5

4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares (Effective 11/9/15)
Peter W. Tunney, Executive Vice President (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares
Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
Gina Lavo, Vice President, Finance (Officer), 0 Shares
Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:

6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation: **Not applicable**

8. State where articles of organization are filed: **Not applicable**

9. Registry or file number for the LLC: **Not applicable**

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**

11. Are the shares listed for public trading? Yes No

12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**

2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the board**

- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
- Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

Golden Gate Fields

September 20, 2015

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Winter/Spring race meet, December 26, 2015 through June 12, 2016.

- Pick 5 – a \$.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.
- Rolling Daily Double - \$2.00 minimum wager offered every race on each day's card subject to a takeout rate of 20%.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey
Vice President & General Manager

cc: Joe Morris



August 31, 2015

OFFICERS

MIKE PEGRAM
CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

MIKE HARRINGTON
AT LARGE

ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 - June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Cal Rainey
Mary Scalzo

DIRECTORS

ALLEN ALDRICH

BOB BAFFERT

MARK DEDOMENICO

RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

GEORGE TODARO

KATHY WALSH

MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS

PRESIDENT

MARY FORNEY

DIRECTOR OF OPERATIONS

RICHARD SCHEIDT

NO. CA. DIRECTOR OF OPERATIONS

JENNIFER SAAVEDRA

BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1995-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE

ARCADIA, CA 91007

T: 626-574-6620

F: 626-821-1515

WWW.TOCONLINE.COM



August 31, 2015

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2015-16 Winter-Spring Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring meet from December 26, 2015 through June 14, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAYEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05, 2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

| Year | Handle | Attendance |
|-------------------------|------------------|------------------------------|
| 2015 (12/26/14-6/14/15) | \$ 38,306,734.90 | 213,319 (98 live race days) |
| 2014 (12/26/13-6/15/14) | \$ 40,229,270.40 | 218,081 (99 live race days) |
| 2013 (12/26/12-6/16/13) | \$ 42,525,802.30 | 244,267 (101 live race days) |
| 2012 (12/26/11-6/17/12) | \$ 43,676,090.90 | 252,968 (101 live race days) |
| 2011 (12/26/10-6/12/11) | \$ 46,078,355.50 | 264,771 (99 live race days) |

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: *Prior meet represents December 26, 2014 through June 14, 2015 (98 days)*

1. All races other than stakes:
 Current meet estimate: \$12,427,872.00
 Prior meet actual: \$12,932,734.50

 Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$129,457.00
 Prior meet actual: \$131,966.68
2. Overnight stakes:
 Current meet estimate: \$250,000.00
 Prior meet actual: \$250,000.00

 Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$2,604.17
 Prior meet actual: \$2,551.02
3. Non-overnight stakes:
 Current meet estimate: \$675,000.00 (Doesn't include \$100,000.00 CMC/CBRF supplement)
 Prior meet actual: \$605,050.00

 Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$7,031.25
 Prior meet actual: \$6,173.98

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$13,352,872.00
 Prior meet actual: \$13,787,784.50

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 Current meet estimate: 10%
 Prior meet actual: 9.77%

Average Daily Purse (7 B1 ÷ number of days):
 Current meet estimate: 0.104%
 Prior meet actual: 0.100%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$1,170,719.00
 Prior meet actual: \$1,200,476.57

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

| | | | |
|-------------------------|---------------|-------------------------|---------------|
| Current meet estimate: | | Prior meet actual: | |
| TOC (NTRA contribution) | \$ 202,516.66 | TOC (NTRA contribution) | \$ 210,536.17 |
| TOC | \$ 115,723.81 | TOC | \$ 120,306.38 |
| CTT (Administration) | \$ 57,861.90 | CTT (Administration) | \$ 60,153.19 |
| CTT (Pension) | \$ 115,723.81 | CTT (Pension) | \$ 120,306.39 |
| Total | \$ 491,826.18 | Total | \$ 511,302.13 |

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):
 Current meet estimate: \$15,015,417.18
 Prior meet actual: \$15,499,563.20

Average Daily Purse (7 E ÷ number of days):
 Current meet estimate: \$156,410.60
 Prior meet actual: \$158,158.81

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$10,177,230.00
 Prior meet actual: \$10,498,609.81

Average Daily Purse (7 F ÷ number of days):
 Current meet estimate: \$106,012.81
 Prior meet actual: \$107,128.67

- G. Purse funds to be generated from interstate handle:
 Current meet estimate: **\$3,450,713.00**
 Prior meet actual: **\$3,514,363.09**
- Average Daily Purse ($\frac{7}{G} \div$ number of days):
 Current meet estimate: **\$35,944.93**
 Prior meet actual: **\$35,860.85**
- H. Bank and account number for the Paymaster of Purses' purse account: **Wells Fargo PRA Account #On File**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **680**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton - 680**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **TBD**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **TBD**
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: **TBD**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **Attached**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **Attached**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

| | TYPE OF WAGERS | APPLICABLE RULES |
|---------------|---|---|
| Example Race: | \$1 E; \$1 Double | CHRB #1959; RCI #VE |
| Race #1 | \$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5 | CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9 |
| Race #2 | \$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6 | CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9 *ARCI 004-105 G. (g) |
| Race #3 | \$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.20 PNP6 | CHRB#1957; 1959; 1977; 1979; 1979.1; *ARCI 004-105 G. (g) |
| Race #4 | \$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; \$.20 PNP6 | CHRB#1957; 1959; 1977; 1979; 1979.1; *1976.9; *ARCI 004-105 G. (g) |
| Race #5 | \$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; \$.20 PNP6 | CHRB#1957; 1959; 1977; 1979; 1979.1; *1976.9; *ARCI 004-105 G. (g) |
| Race #6 | \$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4 | CHRB#1957; 1959; *1977; 1979; 1979.1; *1976.9 |
| Race #7 | *\$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF; *.50 PNP4; Super H5 | CHRB#*1957; 1959; *1977; 1979; 1979.1; *1976.9; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool |
| *Race #8 | *\$2 DD; \$1 E; *\$1 PK3; \$1 TRI; \$.10 SF; Super H5 | CHRB#*1957; 1959; *1977; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool |
| *Race #9 | *\$2 DD; \$1 E; \$1 TRI; \$.10 SF; *Super H5 | CHRB#*1957; 1959; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool |
| *Race #10 | \$1 E; \$1 TRI; \$.10 SF; *Super H5 | CHRB#1959; 1979; 1979.1; *ARCI #004-105 Subsection X Pick (n) Position (x) Pool |

(*) When applicable

***PRA/GOLDEN GATE FIELDS - PLEASANTON (AUXILIARY SITE)
EQUINE EMERGENCY SERVICES***

Attachment to PRA/Golden Gate Fields License Application
Section 9A (1)

| | | |
|------|--|---|
| A. | <u>VETERINARIAN</u> | <u>EMERGENCY CONTACT #</u> |
| | Dr. Ken Allison | 650-642-4636 |
| | Dr. Steve Boyer | 510-867-5872 |
| | Dr. William Grantham | 415-860-8113 |
| | Dr. Diane Isbell | 925-980-1801 |
| | Dr. Kim Kuhlmann | 510-867-5871 |
| | Dr. Steve Matuszak | 415-860-3001 |
| | Dr. Jerry Parker | 650-642-5610 |
| | Dr. Raina Petrov | 650-642-5609 |
| | Dr. Don Smith | 510-812-9275 |
| | Dr. Sara Sporer | 510-220-4860 |
| | | |
| 1. | <u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u> | |
| | Mondays: | Closed |
| | Tuesdays: | AM Only – TBD |
| | Wednesdays: | AM Only – TBD |
| | Thursdays: | AM - Dr. Diane Isbell PM - Dr. Sara Sporer |
| | Fridays | AM - Dr. Diane Isbell PM - Dr. Sara Sporer |
| | Saturdays: | AM - Dr. Diane Isbell PM - Dr. Sara Sporer |
| | Sunday: | AM – Dr. Diane Isbell PM – Dr. Sara Sporer |
| | | |
| 2. | <u>PLEASANTON (auxiliary site) VETERINARIAN SCHEDULE</u> | |
| | Mondays: | Closed |
| | Tuesdays: | AM Only – TBD |
| | Wednesdays: | AM Only – TBD |
| | Thursdays: | AM Only – TBD |
| | Fridays: | AM Only – TBD |
| | Saturdays: | AM Only – TBD |
| | Sundays: | AM Only - TBD |

TBD – Rotating schedule amongst list from exhibit A

- \$1 E – Exacta on all eligible races
- \$2 DD – Rolling Daily Double on all races
- \$1 PK3 – Pick 3 on all eligible races
- \$1 TRI – Trifecta on all eligible races
- \$.10 cent SF – Superfecta on all eligible races
- \$.50 PNP4 – Pick 4 on first four and last four races; No consolation; 100% carryover; Alternate runner provision. (CHRB 1976.9)
- \$.50 PNP5 – Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- \$1 Pentafecta – (Super High 5) in the last race if the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- \$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **June 12, 2016 for the period of December 26, 2015 through June 12, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for “early bird” wagering:
Advance wagering will be offered Friday, May 6, 2016 for the Kentucky Derby, Friday, May 16, 2016 for the Preakness, and on Friday, June 10, 2016 for the Belmont Stakes
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025
- G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
 (Example) PNP5-14%

WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%, TRI-23.68%; SF-23.68%; PNP4-23.68%; PNP5-14.00%; PN6-23.68%; Super H5-23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- TwinSpires
- TVG
- Bet America (Lien Games)
- WatchandWager.com, LLC

TOC approval pending

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

ADW Agreements Pending

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. Pending

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:

Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

On file with the board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California

- Alameda County Fair, Pleasanton
- Big Fresno Fair, Fresno
- CA State Fair & Exposition, Sacramento
- Club One, Fresno
- Humboldt County Fair, Ferndale
- Monterey County Fair, Monterey
- San Joaquin County Fair, Stockton
- Santa Clara County Fair, San Jose
- Shasta District Fair, Anderson
- Solano County Fair, Vallejo

Southern California

- Antelope Valley Fair, Lancaster
- Barona Resort & Casino, Lakeside
- Cabazon Fantasy Springs Casino, Indio
- Del Mar Thoroughbred Club, Del Mar
- Derby Club at Seaside Park, Ventura
- Fairplex Park, Pomona
- Los Alamitos Racecourse, Los Alamitos
- National Orange Show, San Bernardino
- San Bernardino County Fair, Victorville
- Santa Anita Park, Arcadia

Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 The Jockey Club, San Mateo

Shalimar Sports Center, Indio
 Sports Pavilion, Farmers Fair, Lake Perris
 Sycuan Resort & Casino, El Cajon
 Viejas Casino & Turf Club, Alpine

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2016 IMPORT TRACKS

| Track | Full or Partial Cards and Stakes |
|--------------------------------------|----------------------------------|
| Arlington | Full or Partial Cards |
| Assiniboia (International) | Full or Partial Cards |
| Australia Racing (International) | Full or Partial Cards |
| Belterra Park | Full or Partial Cards |
| Canterbury | Full or Partial Cards |
| Charles Town | Full or Partial Cards |
| Churchill Downs | Full or Partial Cards |
| Delaware Park | Full or Partial Cards |
| Downs at Albuquerque | Full or Partial Cards |
| Ellis Park | Full or Partial Cards |
| Emerald | Full or Partial Cards |
| Evangeline | Full or Partial Cards |
| Fort Erie (International) | Full or Partial Cards |
| Gulfstream Park | Full or Partial Cards |
| Gulfstream Park West | Full or Partial Cards |
| Hastings Park (International) | Full or Partial Cards |
| Hawthorne | Full or Partial Cards |
| Indiana Downs | Full or Partial Cards |
| Kentucky Downs | Full or Partial Cards |
| Laurel | Full or Partial Cards |
| Lone Star | Full or Partial Cards |
| Louisiana Downs | Full or Partial Cards |
| Mahoning Valley | Full or Partial Cards |
| Monmouth | Full or Partial Cards |
| Mountaineer Park | Full or Partial Cards |
| Northlands (International) | Full or Partial Cards |
| NYRA - Aqueduct, Belmont | Full or Partial Cards |
| Oaklawn Park | Full or Partial Cards |
| Penn National | Full or Partial Cards |
| Philadelphia Park (Parx) | Full or Partial Cards |
| Prairie Meadows | Full or Partial Cards |
| Presque Isle | Full or Partial Cards |
| South America Racing (International) | Full or Partial Cards |
| Suffolk Downs | Full or Partial Cards |
| Sunland Park | Full or Partial Cards |
| Sunray Park | Full or Partial Cards |
| Tampa Bay | Full or Partial Cards |
| Thistledown | Full or Partial Cards |
| Turfway Park | Full or Partial Cards |
| Turf Paradise | Full or Partial Cards |
| Woodbine (International) | Full or Partial Cards |

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**

2015/16 Golden Gate Commoning Locations

| | | | |
|--------------------------------|--------------------------------|---------------------------------|---------------------------|
| West Entertainment | Dover Downs | Maronas (South America) | Saratoga Harness Raceway |
| Amwest Accounts | Dubuque Greyhound | Maryland Jockey Club | Saratoga Bets (ADW) |
| Greenbrier (WV) | Elite Turf Club (1 to 12) | Maywood | Scarborough |
| Riders Up (SD) | Ellis Park | Meadowlands | Scioto Downs |
| Time Out Lounge (SD) | Emerald Downs | Meadows The | Seabrook Greyhound |
| Triple Crown (SD) | Evangeline Downs | Meadows The (ADW) - PA regional | Social Gaming |
| Apache-Mile High | Evangeline Downs AWA | Millers OTB | Sol Mutuel |
| Arma Race Club | Fair Grounds | Mobile Greyhound | Southland Greyhound |
| Arington I | Fair Grounds ADW | Monmouth Park | Sports Creek Raceway |
| Atlantic City Racecourse | Fair Meadows | Montana OTB | State Fair (Lincoln, NE) |
| Almoral | Favorites at Gloucester | Monticello | Suffolk District OTB |
| Almoral ADW (BETZOTIC) | Finger Lakes | Mountaineer Park | Suffolk Downs |
| Angor Raceway | Fonner | Mt. Pleasant Meadows | Sunland Park |
| Aravia | Freehold | Nassau Regional OTB | SunRay Park & Casino |
| Attor Racing | Gillespie County Fair | New Jersey Casino Assoc. | Tampa Bay Downs |
| Beulah Park | Global Wagering Solutions | Nevada Pari-Mutuel Assoc. | Taunton Acct Wagering |
| Birmingham | Bwin International Ltd. | Newport Jal Alal | Taunton Dog Track Inc. |
| Buffs Run Greyhound | Intl Betting Assoc. Ltd | NJ Mobile | The Downs at Albuquerque |
| Buffalo Raceway | Magna Bet | Northfield | Thistedown |
| Burterbury | Racebets | Cedar Downs OTB | Toga Downs |
| Capital District OTB | Greentrack | Northville | Tri-State GH (Mardi Gras) |
| Catskills OTB | Greyhound @ Post Falls | NYRA | Turf Paradise |
| Charles Town Race Course | Gulf Greyhound | NYRA Account Wagering | Turfway Park |
| Chester Downs & Marina LLC | Gulfstream | Oaklawn | TVG Chester |
| Churchill Downs | Harrington Raceway | Ocean Downs | TVG Network |
| Club Hiplca InTurf | HarringtonBets (DE regional) | Panama | TVG Prairie |
| Coeur d' Alene Casino | Hawthorne Race Course | PARX | Twin River Greyhound |
| Colonial Downs | Hawthorne ADW | PARX Phone Bet | Twin Spires |
| Colonial Downs Phone Bet | Hazel Park | Penn National | Twin Spires High Volume |
| Columbus Raceway | Hoosier Park | Penn National Telebet | Velocity Wagering Ltd. |
| Connecticut OTB | Horseman's Park | Peru | Venezuela OTB |
| Bradley Teletheater, Bristol | Idabet | Plainridge Race Course | Vernon Downs |
| New Britain, Norwalk, Milford | Indiana Downs | Plainridge Telephone Wagering | WatchandWager |
| East Haven, Hartford, Pulnam | Clarksville/Evansville OTB | Player Management Group | Western OTB |
| Foreline Star, Sports Haven | Intermountain Racing | Pocono Account Wagering | Wheeling Downs |
| Torrington, Waterbury | Keeneland | Pocono Downs and OTB | Will Rogers Downs |
| Manchester, New London | Keeneland Select ADW | Portland Meadows | Wyoming OTB |
| Williamantic, Sanford OTB | Kentuck Downs | Potawatomi Casino/ OTB | Wyoming Downs |
| John Martin's Manor Restaurant | Kentucky OTB | Prairie Meadows | XpressBet |
| Connecticut OTB ADW | Lebanon | Premier Turf Club | Yonkers Raceway |
| Coushatta Casino | Les Bois (Treasure Valley) | Presque Isle Downs | Yonkers ADW |
| Paragon Casino | Lewiston OTB's | Raceway Park | Youbet Group 1 |
| Ho-Chunk Casino and Racebook | Lien Games | Racing2Day LLC | Zia Park |
| Mohegan Sun Casino | Chlips Lounge and Casino | Racing2Day Intl. (Stan James) | 123Gaming |
| Oneida Bingo and Casino | Howard Johnsons OTB | Remington Park | Remington OTB Network |
| Pony Bar Simulcast Center | Rumors OTB | Retama | Racing & Gaming Services |
| Tole Investment Racing | Skydancer Casino OTB | Rillito Park | Separate Pool Locations |
| Randall James RaceTrack | Lien Games ADW | River Downs | Camarero (Puerto Rico) |
| Millenium Racing | BelAmerica and Offtrackbetting | Rockingham Park | Caymanas (Jamaica) |
| Royal Beach Casino | Lone Star | Rockingham Account Wagering | Codere (Mexico/Spain) |
| Divl Carina Bay Casino | Louisiana Downs | Ruldoso Downs | MIR Books (Caliente) |
| Fair Chance, Winner's Circle | LVDC | Running Aces Harness Park | NDS Books (Nevada) |
| Camouflage Gaming | Atlantis Paradise Casino | Saddle Brook Park | Tabcorp (Australia) |
| Corpus Christi Greyhound | Avatar Ventures | Sam Houston | Tattsbet (Australia) |
| Delaware | Buffalo Thunder Resort | Valley Greyhound Park | New Zealand Racing Board |
| Delta Downs | Foxwoods Resort Casino | | |
| Derby Jackpot | Meskwaki Bingo & Casino | | |

Canadian Locations

Alberta Downs, Assiniboia, Banff, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Haslings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Plov Downs, Quinte Raceway, Rideau Carton, Ror Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro, Waway, Western Fair, Woodbine,

- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, and The Mermaid Tavern, Thousand Oaks**
- G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

| | | |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|

- H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

| | | |
|--------------------|-------------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
| Los Alamitos | Per CHRB calendar | Full Card |

- I. For STANDARD BRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

| | | |
|--------------------|------------|---|
| Name of Host Track | Race Dates | Full Card or Selected Feature and/or Stakes Races |
|--------------------|------------|---|

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

| | | | |
|--------------------|-------------------|------------|--------------------------------|
| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
| Cal Expo Harness | Per CHRB calendar | Full Card | |

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code section 19596.2(a).

Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

| | |
|--------------------------------------|--|
| Association Veterinarian(s) | Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian) |
| Clerk of Scales | Ken Sjoldal |
| Assistant Clerk of Scales | Ross Allardyce |
| Clerk of the Course | Tina Walker-Bryant |
| Film Specialist/Patrol/Placing Judge | Ella Robinson |
| Horse Identifier | Darrell Sparks |
| Horseshoe Inspector | Butch Fyllingness |
| Paddock Judge | Linda Anderson |
| Patrol Judge/Steward's Aide | Tammy McDuffie-Morris |
| Placing Judge/Patrol Judge | Randy Olson |
| Starter | Todd Stephens |
| Timer | Mark Martinelli |

B. Management officials in the racing department:

| | |
|----------------------------|-----------------------------------|
| Director of Racing | Patrick Mackey |
| Racing Secretary | Patrick Mackey |
| Assistant Racing Secretary | Steve Martinelli & Linda Anderson |
| Paymaster of Purses | Patricia Prospero |

Others (identify by name and title)

| | |
|--------------------------------------|------------------|
| Main Track Superintendent | Juan Meza |
| Turf Track Superintendent | Bob Hemmer |
| Price Maker | Steve Martinelli |
| Stable Superintendent | Carrie Fawcett |
| Steward's Secretary/Horsemen Liaison | Marci Knight |

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

T.W. Johnson, Security Manager is responsible for security on-site
Security Department organizational chart is attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
T.W. Johnson cell phone number (510) 418-3161

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 34

| | |
|------------------------------|-----------------------------------|
| 1 - Security Manager | 14 - Grandstand Security Officers |
| 1 - Security Lieutenant | 5 - Stable Security Officers |
| 1 - Security Sergeant | 5 - Stable Gate Personnel |
| 1 - Stable Security Sergeant | 5 - Fire Guards |
| 1 - Fire Guard Lieutenant | |

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over

24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

**Attachment to PRA / Golden Gate Fields License Application
14 (E):**

Track Camera Equipment:

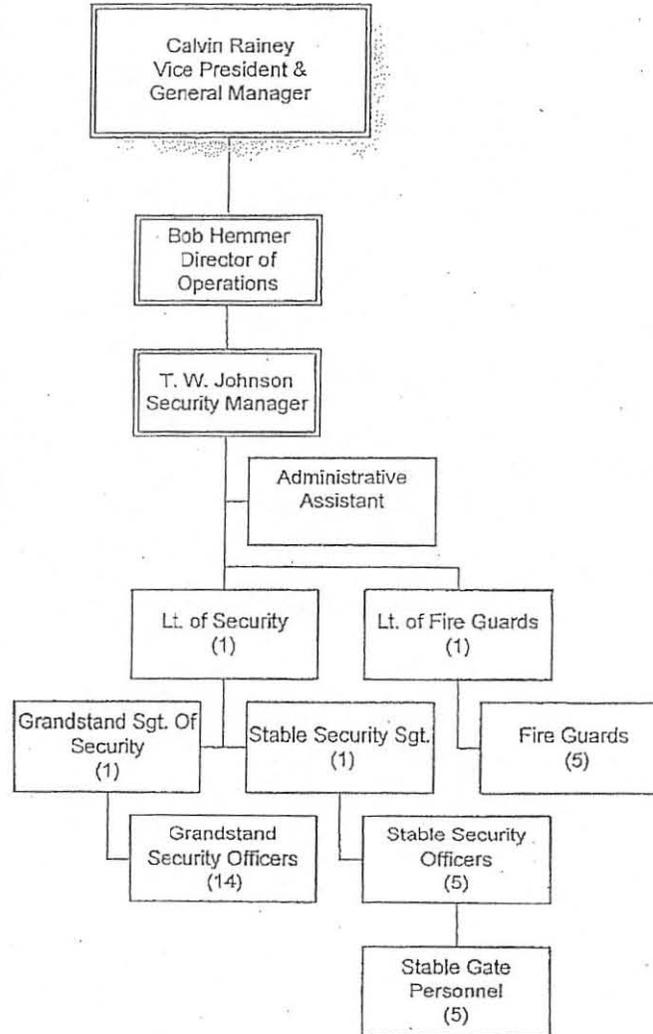
There are a total of six (6) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- (2) Pan Cameras on Roof

ATTACHMENT 14E
RACING ASSN / GOLDEN GATE FIELDS



PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 15A



Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510) 504-3616

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Attached

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st
Street, Oakland, CA 94602 (510) 437-4865**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Roy Roenbeck, Director of Environmental, Health & Safety

Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Berkeley fire clearance letter attached valid through April 21, 2016

Albany fire clearance letter attached valid through February 25, 2016

TURF RESCUE, LLC

4470 Hillsborough Drive
 Castro Valley, CA 94546
 510-581-8470

September 20, 2015

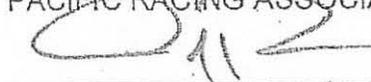
Calvin Rainey
 Pacific Racing Association / Golden Gate Fields
 1100 Eastshore Highway
 Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$ _____ per hour subject to change in accordance with insurance premiums.

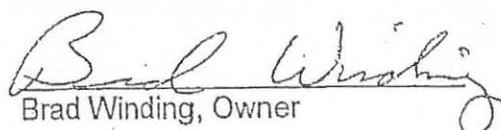
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC



Brad Winding, Owner

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

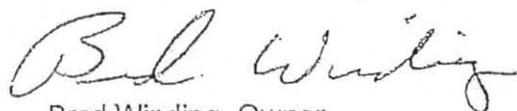
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

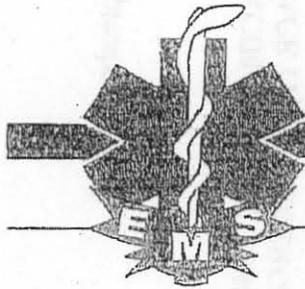
Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County
Public Health Department



NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

Westmed Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above meets all the requirements contained in the Alameda County Ambulance Ordinance and has passed equipment, staffing and vehicle inspections.

This certificate is valid from **December 17, 2014** to **December 16, 2016**

for **BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT**

Fred S. ...
EMS Director

Karl ...
Alameda County EMS Medical Director

An embossed seal should appear in a grey box.

September 20, 2015

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening – Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen of his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.

5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.
6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.

14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields



GOLDEN GATE FIELDS

Description of GGF Medical Clinic (Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING- FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond).*



"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital:
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -DURANTE LAS CARRERAS- PARA HERIDAS A JINETES EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (acompañado por el médico de la pista) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de Jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT
1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 East shore Highway
Berkeley, CA 94710

August 25, 2015

Mr. Rosenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on August 25, 2015. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires February 25, 2016. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,

Charles Rivers
Fire Marshal
City of Albany Fire Department



Department of Fire and Emergency Services
Division of Fire Prevention

April 24, 2015

Merry Scalzo
Director of Admissions
Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706
Phone (510) 559-7325
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection - Golden Gate Fields - Stables

On April 21, 2015 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 21, 2016. Our fire life safety report indicates that there are no outstanding violations noted or corrections needed to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez".

Stan Fernandez
Fire Inspector / Investigator

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-015 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2016

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

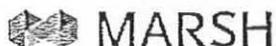
- Tip Sheets West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
- Armored Car Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
- Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
- Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
- Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
- Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: **Attached**
- B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Approximately \$860,000**
- C. Number of hosts and hostesses employed for meeting:
 1 Customer Service Representative
 5 Group Sales Representatives



Certificate of Insurance

No.: PACII-2015-336

Dated: June 29, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

| | |
|---|---|
| Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department | Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706 |
|---|---|

This certificate is issued regarding:

Evidence of Insurance

| Type(s) of Insurance | Insurer(s) | Policy Number(s) | Effective/Expiry Dates | Sums Insured Or Limits of Liability | |
|---|---------------------------------------|--------------------|------------------------------|---|--|
| COMMERCIAL GENERAL LIABILITY | Zurich American Insurance Company | GLO 9302183-13 | Jun 30, 2015 to Jun 30, 2016 | Each Occurrence | USD 2,000,000 |
| | | | | Products-Completed Operations Aggregate | USD 2,000,000 |
| | | | | General Aggregate | USD 2,000,000 |
| WORKERS' COMPENSATION & EMPLOYERS' LIAB | Liberty Mutual Fire Insurance Company | WA2-B7D-170912-015 | Jun 30, 2015 to Jun 30, 2016 | Workers' Compensation | Statutory |
| | | | | Employers' Liability Each Accident | USD 1,000,000 Bodily Injury by Accident |
| | | | | Employers' Liability Each Employee | USD 1,000,000 Bodily Injury by Disease |
| | | | | Employers' Liability Policy Limit | USD 1,000,000 Bodily Injury by Disease |

Additional Information:

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 416-868-2123
 Fax: -
 stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

MARKETING BUDGET

2015 Winter/Spring Meet Marketing Budget: \$860,000 to be spread across all of the initiatives described below.

SIMULCAST MARKETING

Roughly 93% of Golden Gate Fields' handle was wagered at locations other than Golden Gate Fields during the 2015 winter/spring meet. A similar percentage was wagered at locations other than Golden Gate Fields during the 2014 calendar year. While on-track attendance and handle remain important to our core business, we have determined that we can make a more significant positive impact on purses and track commissions by increasing the reach and desirability of the Golden Gate Fields simulcast signal.

An example of marketing which yielded positive results last winter/spring was the arrangement made between TVG and HRTV in February 2015. The arrangement guaranteed Golden Gate Fields that all of their races would be televised live on one of the two networks. From the date the arrangement was entered into until the end of the winter/spring meet, ADW handle was 25% higher than it was for the same time period the year before.

In contrast to the success we saw from simulcast marketing, last year's on-track marketing initiatives, while important to keep Golden Gate Fields relevant as an entertainment option in Bay Area, were much less successful at generating additional wagering. On our two big Latin Music Festival days, April 26th and June 7th, the on-track handle was significantly below expectation. The median attendance on a Sunday during the 2015 winter/spring meet was 3,906. During our first Latin Music Festival day, April 26, 2015, attendance was 10,835. This represented a 177% increase above the median. However, on-track handle was only \$127,979.20 above the Sunday median on-track handle. This translates into approximately \$20,000 of additional *revenue* for the track and horsemen to share. On June 7, 2015, attendance was 5,487, which represents a 40% increase compared to the median. Handle only increased by \$29,841.20 as compared to the Sunday median on-track handle. Again, the *revenue* generated by this additional handle was very small – approximately \$5,000 for the track and horsemen to share.

Despite the significant increase in attendance, there was not a corresponding increase in handle. These two examples help illustrate why Golden Gate Fields will continue to spend a material portion of its marketing efforts on the simulcast marketplace.

ACTION PLAN

Golden Gate Fields plans to implement the following improvements which it believes will help increase our exposure, and therefore handle, in the simulcast marketplace:

1. Upgrade the broadcast to high definition
2. Improve the graphics package
3. Continue to leverage its relationship with TVG
4. Redesign the Golden Gate Fields website

During the winter/spring meet Golden Gate Fields will continue to broadcast *The Golden Gate Fields Report*, a weekly television replay show that has run for more than 35 years in the San Francisco Bay Area. The weekly program airs every Saturday and Sunday at 5 p.m., immediately following the races. The program has proven to effectively reach Bay Area horseplayers.

Comcast SportsNet Bay Area & California

A regional sports network that is primarily owned by NBCUniversal and focuses on the sports highlights of all of the Bay Area sports franchises and venues.

Golden Gate Fields will continue its strong relationship with Comcast SportsNet Bay Area and Comcast SportsNet California, two of the leading sports channels in the Bay Area. These channels have helped promote racing at Golden Gate Fields through weekly race day highlights, human interest stories, and in-depth coverage of the El Camino Real Derby.

Daily Racing Form

In the event of a large jackpot for the .20¢ Golden Pick 6, Golden Gate Fields may use strip ads to appear in the Past Performances in the Daily Racing Form. The publication is also an effective tool to promote the mandatory payouts at the end of the meet.

SOCIAL MEDIA

Golden Gate Fields has a large number of fans through its social media channels. Most of the marketing effort during the winter/spring meet will focus on making Golden Gate's channels fun, engaging, and educational.

The social media goal of the winter/spring meet is to see a 10% increase in the total number of fans that follow Golden Gate Fields on Twitter and Facebook.

Facebook

The most followed social media channel for Golden Gate is its Facebook page. As of October 7, 2015, the page had 59,607 likes. Since January 1, 2015, the total number of page likes has increased by 7%.

This channel has proven to be an effective way to reach many users.

For instance, on July 16, 2015, Golden Gate Fields posted an image from 1989 of a buffalo racing on the main track. The post reached 1.2 million Facebook users, generated over 7,300 likes and was shared across 10,300 pages.

Through posts like this, Golden Gate Fields is able to reach a large audience and encourage page likes.



Twitter

In addition to Facebook, Golden Gate also uses Twitter to focus on horseplayer information. As of October 7, 2015, Golden Gate had 3,451 followers on Twitter. This channel has proven to be an effective way to promote mandatory payouts, large payoffs, and growing jackpots at Golden Gate.

Demographics of Golden Gate's Facebook Audience

Your Fans People Reached People Engaged

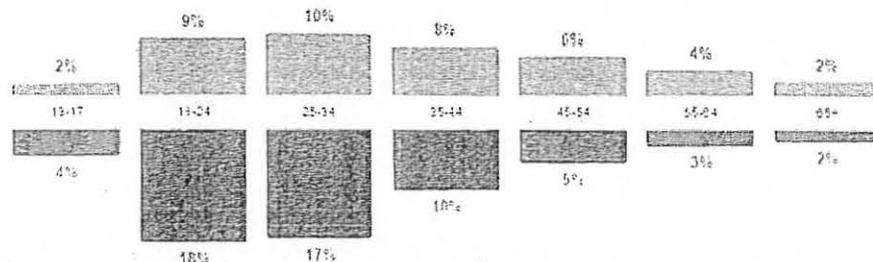
The people who like your Page

Women

41%
Your Fans

Men

59%
Your Fans



| Country | Your Fans | City | Your Fans | Language | Your Fans |
|--------------------------|-----------|---------------------------|-----------|---------------------|-----------|
| United States of America | 42,467 | San Francisco, CA | 1,637 | English (US) | 37,071 |
| Mexico | 11,007 | Los Angeles, CA | 1,142 | Spanish | 15,063 |
| Argentina | 711 | Chicago, IL | 1,261 | Spanish (Spain) | 4,643 |
| Brazil | 508 | San Jose, CA | 914 | English (UK) | 1,127 |
| Canada | 419 | Mexico City, Distrito Fed | 653 | Portuguese (Brazil) | 603 |
| Venezuela | 341 | Sacramento, CA | 726 | Arabic | 324 |
| Puerto Rico | 174 | Dallas, TX | 671 | French (France) | 303 |
| Chile | 163 | Houston, TX | 516 | Italian | 159 |
| Italy | 109 | Odessa, Tx | 441 | German | 74 |
| India | 141 | Richmond, CA | 405 | Spanish (Mexico) | 62 |

See More

THE GOLDEN GATE PODCAST

First introduced during the spring of 2015, *GGF Trakside* is Golden Gate Fields' official podcast during the racing season. Hosted by Sam Spear, this weekly podcast highlights the current and past events at Golden Gate Fields.

The program offers the track access to content that it can post across its social media channels. This is another way for Golden Gate Fields to help engage fans across the country, while promoting Northern California racing.

EMAIL MARKETING

During the winter/spring meet, Golden Gate Fields will use the effective marketing efforts of Cal Racing's database to promote carryovers, jackpots, and mandatory payouts to horseplayers across the country. The current database has about 130,000 emails of people that are interested in horse racing.

During the winter-spring meet, Cal Racing proved to be an excellent tool to promote the large .20¢ Golden Pick 6 jackpots at Golden Gate Fields.



Notification for Sunday, June 14

Golden Gate Fields
\$117,228 Carryover for Golden Pick 6

For post times and program entries, please click the track links above.
 For free live video and race replays visit www.calracing.com

Subscription Information: The following message was sent because you have subscribed, provided an email address, or given permission to receive information from the California Club, Cal Racing.com or Sulfide Race Place. To view our privacy policy click here.

Feedback, Questions, or Comments: If you have questions or comments please direct them to calracing@calracing.com, or write to us at: Cal Racing, 7950 Dublin Blvd, Ste 216, Dublin, CA 94568.

Unsubscribe: Please do not reply to this e-mail. To unsubscribe from this email program please click here.

LEVERAGING THE STRONACH GROUP UMBRELLA

As a benefit of being a Stronach Group track, Golden Gate Fields can be promoted across the many other Stronach owned companies: Santa Anita Park, Gulfstream Park, Pimlico Race Course, Laurel Park, Portland Meadows, and Xpressbet.

This proves to be very useful in getting the attention of horseplayers from across the country to focus on Northern California racing.

THE .20¢ GOLDEN PICK 6

Returning for the winter/spring meet at Golden Gate Fields will be the .20¢ Golden Pick 6. This jackpot style bet was first introduced at the start of the 2014 summer meet and it has shown to be a great value wager.

During the 2015 winter/spring meet, the jackpot was hit seven different times, resulting in some large .20¢ payoffs:

| 2015 DATE | Feb. 20 | Apr. 4 | Apr. 17 | Apr. 25 | May 9 | May 14 | Jun. 14 |
|-------------|-----------|-----------|----------|----------|----------|----------|----------|
| .20¢ PAYOFF | \$363,425 | \$280,459 | \$40,964 | \$20,969 | \$54,746 | \$13,356 | \$43,893 |

The total handle for the Golden Pick 6 during the 2015 winter-spring meet was \$3.78 million. When compared with the original, non-jackpot style Pick 6 that was offered for the 2014 winter/spring meet, this total handle was up 234%.

D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
- Contracting with Geovision, surveillance company, to upgrade current barn area surveillance system including new DVRs, high-tech 360° fish-eye camera in Receiving Barn, and additional camera around the periphery
- Replaced old turf steel rail with Fontana aluminum turf safety rail
- Bridle path renovation in the barn area
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Building a second turf course gap entrance for 5 furlong races, building a secondary gap gate for the mile turf course entrance, and installing a 3' safety gate on the main track
- Enacted new procedure for Steward's to access the track emergency lights during racing hours
- Hooked up Wi-Fi antennae on new Stable Walk-In Gate
- Replaced and repaired smoke alarms in the barn area
- Cement work completed to repair concrete around drains in stable area
- Repaired draining section on the turf course near the 1/16 pole
- Rebuilt Walk-In Gate and area where Horsemen watch morning workouts
- Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area
- Added three (3) surveillance cameras into the barn area at the Walk-In gate
- Completed the Fall maintenance to the Tapeta surface in September 2015
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway and barn repairs

2. Fans

- Replacing all Turf Club table top televisions (~282) with LED TVs
- Supplementing Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area

- Initiated the first of its kind, "GGF Trackside" podcast on the GGF website. The weekly podcast focuses on a variety of topics including racing news, race analyses, GGF promotions and special events, interviews, racing history and more.
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan's viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2
- Replenishing chair inventory by adding 300 chairs in the Club House and Grandstand
- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Continuing with new wagering format during the Fall meet; changed our Daily Doubles to Rolling Daily Doubles offered in each race, Golden Pick 6 with a \$.20 minimum, and reduced take-outs on Daily Doubles and Pick 5

3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

| | |
|------------------------------|---|
| Admission (general) | \$6.00 – Bundled price includes free program |
| Admission (club house) | \$10.00 – Bundled price includes free program (previously \$8.00) |
| Admission (turf club) | \$12.00 Weekdays – Bundled price includes free program (previously \$10.00) |
| Admission (turf club) | \$15.00 Weekends/Holidays – Bundled price includes free program |
| Reserved seating (general) | N/A |
| Reserved seating (clubhouse) | \$ 5.00 (Free with TB card) |
| Parking (general) | \$ 5.00 |
| Parking (preferred) | \$10.00 |
| Parking (valet) | \$10.00 |
| Programs (on-track) | \$ 2.50 |
| (off-track) | \$ 2.50 |

Thoroughbred Club Members

Bundled Pricing includes free program

| | | | |
|---------------------------|--------|------------------------------|----------------------------|
| General Admission: | | Club House Admission: | |
| 1-10 Visits | \$5.00 | 1-10 Visits | \$8.00 (previously \$6.00) |
| 11-20 Visits | \$4.00 | 11-20 Visits | \$7.00 (previously \$5.00) |
| 21-30 Visits | \$3.00 | 21-30 Visits | \$6.00 (previously \$4.00) |
| 31-40 Visits | \$2.00 | 31-40 Visits | \$5.00 (previously \$3.00) |
| Over 40 Visits | \$1.00 | Over 40 Visits | \$4.00 (previously \$2.00) |
| | | Reserved seating | Free |

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

| | |
|---|-------------|
| Turf Club – Full Season Single Membership | \$ 1,000.00 |
| Turf Club – Full Season Dual Membership | \$ 2,000.00 |
| Top of the Stretch – Full Season Membership | \$ 500.00 |
| Club House Box (4 seats, no admission) | \$ 450.00 |
| Club House Season Pass (Admission only) | \$ 400.00 |
| Grandstand Season Pass (Admission only) | \$ 200.00 |

C. Describe any "package" plans such as combined parking, admission and program:

Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input type="text" value="23"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

B. Describe the quarters to be used for female jockeys/drivers: Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

B. Number of rooms used for housing on the backstretch of the racetrack: 128

C. Number of restrooms available on the backstretch of the racetrack: 18 with showers, 7 in office areas

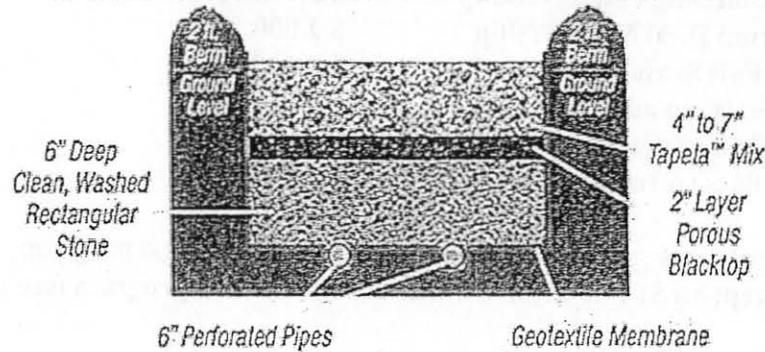
D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:

Tapeta® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On File**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC and CTT agreements are pending
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing

each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

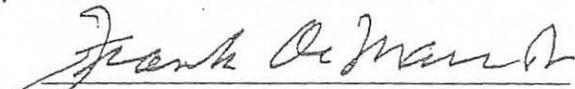
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco, Jr. _____
Print Name


Signature

VP Regulatory Affairs & Secretary _____
Print Title

11-2-15 _____
Date

STAFF ANALYSIS

December 17, 2015

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB AT SANTA ANITA DECEMBER 26, 2015 THROUGH JULY 10, 2016.

Los Angeles Turf Club (LATC) filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

At its November 19, 2015 regular meeting, the Board granted LATC a conditional license approval to conduct a race meet at Santa Anita beginning December 26, 2015 through July 10, 2016. Under the conditional approval, LATC was required to provide the 2016 Vanning and Stabling agreement, Thoroughbred Owners of California (TOC) agreement, and the California Thoroughbred Trainers (CTT) agreement to the Board no later than December 1, 2015. If the documents conditioned by the Board were not received by Tuesday, December 1, 2015, the license would expire. CHRB staff contacted a LATC representative on November 23, 2015, and requested the submission of the Vanning/Stabling, TOC, and CTT agreements by the required deadline. LATC submitted the Vanning/Stabling, TOC, and CTT agreements on December 4, 2015.

At its October 22, 2015 regular meeting, the Board deferred the Los Angeles Turf Club race meet application until November 19, 2015, as the LATC application was incomplete. The stake schedule, purse program, stabling accommodations, schedule of charges, emergency services and promotional marketing section(s) of the application were unfinished; therefore, the Board asked that applicant to return to the November 2015 Board meeting.

CHRB staff contacted a LATC representative on October 22, 2015 and requested the submission of the outstanding application items by October 28, 2015. LATC submitted an amended race meet application on November 2, 2015. The LATC emergency services and promotional marketing section of the application remained incomplete.

- December 26, 2015 through July 10, 2016, or 104 days, 3 days less than 2015. The association proposes to race a total of 850 races, or 8.17 per day. In 2014 LATC raced 928 races with an average of 8.18 runners per race. LATC provides that July 7th through July 10th will be used as "optional days", noting the days may be run upon reasonable advance notice to CHRB.
- The response provided to the new equine emergency services section of the application is inadequate. The new section seeks contact information for the racing veterinarian onsite and a schedule listing dates and times that a veterinarian will be available at the association and auxiliary sites. LATC has not provided contact information or a schedule for the veterinarian(s); they have reported that "There is a rotation of private veterinarians' onsite during training hours and during racing hours."
- The race dates proposed are the dates the Board allocated.

| December - 2015 | | | | | | |
|-----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

| January - 2016 | | | | | | |
|----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

| February - 2016 | | | | | | |
|-----------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | | | | | |

| March - 2016 | | | | | | |
|--------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

| April - 2016 | | | | | | |
|--------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |

| May - 2016 | | | | | | |
|------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |

| June - 2016 | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | | |

| July - 2016 | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|
| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

- Racing 4 days per week, Thursday through Sunday with the exception of December 26 through 27, 2015. Racing 5 days Wednesday, December 30, 2015 – Sunday, January 3, 2016. Racing 5 days Monday, July 4, 2015 – Sunday, July 10, 2016. No less than 7 races weekdays; 9 races Opening Day, weekends and January 1, 2016, January 18th, February 15th, May 30th, and July 4, 2016, with the option to program 10 races on such days.

- First post 1:00 p.m. all weekdays; 12:30 p.m. weekends and holidays with the following exceptions*:

- Saturday, December 26, 2015 (Opening Day) 12:00 p.m.
- Wednesday, December 30 – Sunday, January 3, 2016 (Opening Week) 12:30 p.m.
- Saturday, January 30 2016 (Cal Cup/Sunshine Millions Day) 12:00 p.m.
- Sunday, February 7, 2016 (Super Bowl) 11:00 a.m.
- Saturday, March 12 (Santa Anita Handicap) 12:00 p.m.
- Saturday, April 9 (Santa Anita Derby) 12:00 p.m.
- Saturday, May 7 (Kentucky Derby Day) 11:00 a.m.
- Saturday, May 21 (Preakness Day) 11:00 a.m.
- Saturday, June 11 (Belmont Stakes Day) 11:00 a.m.

*Post times subject to change. LATC will give the Board advanced notice.

- Wagering program will use CHRB rules and ARCI rules.
 - Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
 - \$1 Exacta on all eligible races.
 - \$2 – Rolling Daily Double beginning with the first race– 20% takeout.
 - \$1 Trifecta on all eligible races.
 - \$.10 Superfecta on all qualifying races.
 - Incoming simulcasts will follow host track format.
 - \$ 2 - Pick 6 on last 6 races – 70% carryover, 30% minor – Alternate runner provision.
 - \$.50 Pick 4 starting the second race and the last four live races. No consolation; 100% carryover; Alternate runner provision.
 - \$.50 Pick 5 starting first race with a 14% takeout; One tier payoff; 100% carryover.
 - \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 may be carded as last race of the day.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, Watch and Wager, Twinpires, Bet America and TVG.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

No documents have been received in compliance with Business and Professions Code section 19604.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Pertinent 2016 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
2. Horsemen approval of Advance Deposit Wagering.

Specific information which will need **updating** during the proposed race dates:

1. Workers' compensation insurance policy (expires June 30, 2016).

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club, Incorporated**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com
- B. Breed of horse: TB QH H
- C. Racetrack name: **Santa Anita Park**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Submitted
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
 Yes No
- If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

CHRB CERTIFICATION

Application received: 11/2/15
 Deposit received: 10/6
 Reviewed: UA

Hearing date: 12/17/15
 Approved date:
 License number:

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **December 26, 2015 through July 12, 2016**

B. Actual dates racing will be held:

2015 **December 26, 27, 30 and 31 (4 days)**

2016

January 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 18, 22, 23, 24, 28, 29, 30, and 31 (19 days)

February 4, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27, and 28 (16 days)

March 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, 27, and 31 (17 days)

April 1, 2, 3, 7, 8, 9, and 10 (7 days)

May 5, 6, 7, 8, 12, 13, 14, 15, 19, 20, 21, 22, 26, 27, 28, 29, and 30 (17 days)

June 3, 4, 5, 9, 10, 11, 12, 16, 17, 18, 19, 23, 24, 25, 26, and 30 (16 days)

July 1, 2, 3, and 4 (4 days)

Optional at determination of the licensee, but upon reasonable advance notice to the board: July 7, 8, 9 and 10 (4 days)

C. Total number of days or nights of racing: **100 (104 days if optional days are run)**

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled **Thursday through Sunday** weekly.

Exceptions: Racing **Wednesday, December 30, 2015**

Monday, January 18, 2016

Monday, February 15, 2016

Monday, May 30, 2016

Monday, July 4, 2016

Dark **Thursday, January 21, 2016**

Thursday, February 18, 2016

Thursday June 2, 2016

No Racing: Thursday, April 14 through Sunday, May 1, 2016

E. Number of days or nights of racing per week: **4 days per week**

Exceptions: **2 days, Saturday, December 26 – Sunday, December 27, 2015**

5 days, Wednesday, December 30, 2015 – Sunday, January 3, 2016

1 day, Monday, July 4, 2016. However, if the optional days are run, 5 days,

Monday July 5 and Thursday, July 7 – Sunday, July 10, 2016

3. RACING PROGRAM

- A. Total number of races: **850 (Estimated)**
- B. Number of races for each day or night: **No less than 7 races weekdays; Nine races Opening Day, Weekends, Holidays, January 1, January 18, and February 15, May 30 and July 4, 2016; with the option to program ten races on such days.**
- C. Total number of stakes races: **77**
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.

Attached Schedule 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached Schedule 3D(1)

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

For the 2015-16 Winter/Spring Meet the following changes were made to the Stake Schedule:

- **The La Canada Stakes was opened up to F/M 4 & UP, the grade was reduced to a Grade III and the purse was reduced \$100,000 to \$100,000. The money from here will go to help boost the purse for the Santa Margarita Stakes (Grade I).**
- **The purse for the San Carlos Stakes (Grade II) was increased by \$50,000 to \$300,000. The purse was increased to attract better horses.**
- **The purse for the Santa Margarita Stakes (Grade I) was increased by \$150,000 to \$500,000. This increase will help this race retain Grade I status and attract horses from across the country.**
- **The Kona Gold Stakes (Grade II) was moved from early April to late May to serve as a prep for the Triple Bend Stakes (Grade I). The conditions will remain the same.**
- **The Vanity Stakes (Grade I) has been changed to One Mile in distance and the purse has been increased by \$100,000 to \$400,000. This was done to offer a new distance and hopefully attract a different type of horse to help keep it's Grade I status.**
- **The Charles Whittingham Stakes (Grade II) distance has been changed to 1 ¼ Miles (T) from 1 ½ Miles (T). This was done to increase field size.**
- **Two California Bred Stakes, the Lennyfromalibu and the Cambiocorsa have been added to the final weekend to fill out the schedule. They will run for the first time. However, these two races will not be rescheduled if the optional days (see Section 2B) are not run.**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

- **The Santa Barbara Stakes (Grade III), Los Angeles Stakes (Grade III), Last Tycoon Stakes (Grade III), and the American Oaks (Grade I) will not run in 2016 because of the loss of April dates.**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No

If no, what racing colors are to be used:

Santa Anita Stakes Comparison: 2013-14, 2014-15, 2015-16

| Run Date | Race | Age | Distance | 2015-16 Purse | 2014-15 Purse | 2013-14 Purse |
|------------|--------------------------------------|--------------------|-----------------|------------------|------------------|------------------|
| Sat Dec 26 | Malibu Stakes (Grade I) | 3 YO | 7 F | \$300,000 | \$300,000 | \$300,000 |
| Sat Dec 26 | Mathis Brothers Mile (Grade II) | 3 YO | 1 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Dec 26 | La Brea Stakes (Grade I) | F, 3 YO | 7 F | \$300,000 | \$300,000 | \$300,000 |
| Sat Dec 26 | Daytona Stakes (Grade III) | 3 & UP | abt 6 1/2 F (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun Dec 27 | Robert J. Frankel Stakes (Grade III) | F/M, 3 & UP | 1 1/8 M (T) | \$100,000 | \$100,000 | \$150,000 |
| Sat Jan 2 | Midnight Lute (Grade III) | 4 & UP | 6 1/2 F | \$100,000 | \$100,000 | not run |
| Sat Jan 2 | Santa Ynez Stakes (Grade II) | F, 3 YO | 6 1/2 F | \$200,000 | \$200,000 | \$150,000 |
| Sat Jan 2 | San Gabriel Stakes (Grade II) | 4 & UP | 1 1/8 M (T) | \$200,000 | \$200,000 | \$150,000 |
| Sun Jan 3 | Monrovia Stakes (Grade II) | F/M, 4 & UP | abt 6 1/2 F (T) | \$200,000 | \$200,000 | \$150,000 |
| Sat Jan 9 | San Pasqual Stakes (Grade II) | 4 & UP | 1 1/16 M | \$200,000 | \$200,000 | \$150,000 |
| Sat Jan 9 | Sham Stakes (Grade III) | 3 YO | 1 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Jan 16 | La Canada Stakes (Grade II) | FM, 4 & UP | 1 1/16 M | \$200,000 | \$200,000 | \$150,000 |
| Sat Jan 23 | Santa Monica Stakes (Grade II) | F/M, 4 & UP | 7 F | \$200,000 | \$200,000 | \$250,000 |
| Sat Jan 30 | California Cup Derby | 3 YO (CA) | 1 1/16 M | \$250,000 | \$250,000 | \$250,000 |
| Sat Jan 30 | California Cup Oaks | F, 3 YO (CA) | 1 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Jan 30 | Sunshine Millions F/M Turf Sprint | F & M, 4 & UP (CA) | abt 6 1/2 F (T) | \$150,000 | \$125,000 | \$125,000 |
| Sat Jan 30 | California Cup Sprint | 4 & UP (CA) | 6 F | \$150,000 | \$150,000 | \$125,000 |
| Sat Jan 30 | California Cup Turf Classic | 4 & UP (CA) | 1 1/8 M (T) | \$250,000 | \$250,000 | \$250,000 |
| Sat Feb 6 | Las Virgenes Stakes (Grade I) | F, 3 YO | 1 M | \$300,000 | \$300,000 | \$250,000 |
| Sat Feb 6 | San Antonio Stakes (Grade II) | 4 & UP | 1 1/8 M | \$500,000 | \$500,000 | \$300,000 |
| Sat Feb 6 | Robert B. Lewis Stakes (Grade III) | 3 YO | 1 1/16 M | \$150,000 | \$150,000 | \$200,000 |
| Sat Feb 6 | San Marcos Stakes (Grade II) | 4 & UP | 1 1/4 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sun Feb 7 | Palos Verdes Stakes (Grade II) | 4 & UP | 6 F | \$200,000 | \$200,000 | \$200,000 |
| Sat Feb 13 | Arcadia Stakes (Grade II) | 4 & UP | 1 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Feb 13 | Santa Maria Stakes (Grade II) | F/M, 4 & UP | 1 1/16 M | \$200,000 | \$200,000 | \$200,000 |
| Mon Feb 15 | San Vicente Stakes (Grade II) | 3 YO | 7 F | \$200,000 | \$200,000 | \$200,000 |
| Fri Feb 20 | Buena Vista Stakes (Grade II) | F/M, 4 & UP | 1 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Feb 27 | Sensational Star Stakes | 4 & UP (CA) | abt 6 1/2 F (T) | \$100,000 | \$100,000 | \$100,000 |
| Sat Mar 5 | Santa Ysabel Stakes (Grade III) | F, 3 YO | 1 1/16 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Mar 12 | San Carlos Stakes (Grade II) | 4 & UP | 7 F | \$300,000 | \$250,000 | \$250,000 |
| Sat Mar 12 | Santa Anita Handicap (Grade I) | 4 & UP | 1 1/4 M | \$1,000,000 | \$1,000,000 | \$750,000 |
| Sat Mar 12 | Frank E. Kilroe Mile (Grade I) | 4 & UP | 1 M (T) | \$400,000 | \$400,000 | \$400,000 |
| Sat Mar 12 | San Felipe Stakes (Grade II) | 3 YO | 1/1/16 M | \$400,000 | \$400,000 | \$300,000 |
| Sun Mar 13 | San Luis Rey Stakes (Grade II) | 4 & UP | 1 1/2 M (T) | \$200,000 | \$200,000 | \$200,000 |

Santa Anita Stakes Comparison: 2013-14, 2014-15, 2015-16

| Run Date | Race | Age | Distance | 2015-16 Purse | 2014-15 Purse | 2013-14 Purse |
|------------|--|------------------|-----------------|------------------|------------------|------------------|
| Sat Mar 19 | Santa Margarita Stakes (Grade I) | F/M, 4 & UP | 1 1/8 M | \$500,000 | \$400,000 | \$350,000 |
| Sat Mar 19 | Irish O'Brien Stakes | F/M, 4 & UP (CA) | abt 6 1/2 F (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun Mar 20 | Las Flores Stakes (Grade III) | F/M, 4 & UP | 6 F | \$100,000 | \$100,000 | \$100,000 |
| Sat Mar 26 | Santa Ana Stakes (Grade II) | F/M, 4 & UP | 1 1/8 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sun Mar 27 | Dream of Summer Stakes | F/M, 4 & UP (CA) | 1 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Apr 2 | San Simeon Stakes (Grade III) | 4 & UP | abt 6 1/2 F (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun Apr 3 | Tokyo City Cup (Grade III) | 4 & UP | 1 1/2 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Apr 9 | Echo Eddie Stakes | 3 YO (CA) | 6 1/2 F | \$200,000 | \$200,000 | \$200,000 |
| Sat Apr 9 | Evening Jewel Stakes | F, 3 YO (CA) | 6 1/2 F | \$200,000 | \$200,000 | \$200,000 |
| Sat Apr 9 | Santa Anita Oaks (Grade I) | F, 3 YO | 1 1/16 M | \$400,000 | \$400,000 | \$400,000 |
| Sat Apr 9 | Santa Anita Derby (Grade I) | 3 YO | 1 1/8 M | \$1,000,000 | \$1,000,000 | \$1,000,000 |
| Sat Apr 9 | Providencia Stakes (Grade III) | F, 3 YO | 1 1/8 M (T) | \$150,000 | \$150,000 | \$150,000 |
| Sun Apr 10 | Las Cienegas Stakes (Grade III) | F/M, 4 & UP | abt 6 1/2 F (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun Apr 10 | San Juan Capistrano Stakes (Grade III) | 4 & UP | abt 1 3/4 M (T) | \$100,000 | ran in June | ran in June |
| | Strub Stakes | 4 YO | 1 1/8 M | not run | not run | \$200,000 |
| | Kona Gold Stakes | 4 & UP | 6 1/2 F | runs in May | \$200,000 | \$200,000 |
| | Santa Barbara Stakes | F/M, 4 & UP | 1 1/4 M (T) | will not run | \$150,000 | \$150,000 |
| Thu May 5 | Wilshire Stakes (Grade III) | F/M, 3 & UP | 1 M (T) | \$100,000 | \$100,000 | \$100,000 |
| Sat May 7 | Precisionist Stakes (Grade III) | 3 & UP | 1 1/16 M | \$100,000 | \$100,000 | \$100,000 |
| Sat May 14 | American Stakes (Grade III) | 3 & UP | 1 M (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun May 15 | Lazaro Barrera Stakes (Grade III) | 3 YO | 7 F | \$100,000 | \$100,000 | \$100,000 |
| Sat May 28 | Spring Fever Stakes | F/M, 4 & UP (CA) | 6 F | \$150,000 | \$150,000 | \$125,000 |
| Sat May 28 | Fran's Valentine Stakes | F/M, 4 & UP (CA) | 1 M (T) | \$125,000 | \$125,000 | \$100,000 |
| Sat May 28 | Snow Chief Stakes | 3 YO (CA) | 1 1/8 (T) | \$200,000 | \$200,000 | \$250,000 |
| Sat May 28 | Melair Stakes | F, 3 YO (CA) | 1 1/16 M | \$200,000 | \$200,000 | \$250,000 |
| Sat May 28 | Tiznow Stakes | 4 & UP (CA) | 1 M | \$150,000 | \$150,000 | \$125,000 |
| Sun May 29 | Californian Stakes (Grade II) | 3 & UP | 1 1/8 M | \$200,000 | \$200,000 | \$200,000 |
| Mon May 30 | Gamely Stakes (Grade I) | F/M, 3 & UP | 1 1/8 M (T) | \$300,000 | \$300,000 | \$300,000 |
| Mon May 30 | Kona Gold Stakes (Grade II) | 3 & UP | 6 1/2 F | \$200,000 | ran in April | ran in April |
| Sat Jun 4 | Honeymoon Stakes (Grade II) | F, 3 YO | 1 1/8 M (T) | \$200,000 | \$200,000 | \$20,000 |

Santa Anita Stakes Comparison: 2013-14, 2014-15, 2015-16

| Run Date | Race | Age | Distance | 2015-16 Purse | 2014-15 Purse | 2013-14 Purse |
|------------|---------------------------------------|------------------|-----------------|-----------------------|------------------|------------------|
| Sat Jun 4 | Vanity Stakes (Grade I) | F/M, 3 & UP | 1 M | \$400,000 | \$300,000 | \$300,000 |
| Sat Jun 11 | Crystal Water Stakes | 4 & UP (CA) | 1 M (T) | \$100,000 | \$100,000 | \$100,000 |
| Sun Jun 12 | Affirmed Stakes (Grade III) | 3 YO | 1 1/16 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Jun 18 | Shoemaker Mile (Grade I) | 3 & UP | 1 M (T) | \$400,000 | \$400,000 | \$400,000 |
| Sat Jun 25 | Summertime Oaks (Grade II) | F, 3 YO | 1 1/16 M | \$200,000 | \$200,000 | \$200,000 |
| Sun Jun 26 | Charles Whittingham Stakes (Grade II) | 3 & UP | 1 1/4 (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Jul 2 | Senorita Stakes (Grade III) | F, 3 YO | 1 M (T) | \$100,000 | \$100,000 | \$100,000 |
| Sat Jul 2 | Royal Heroine Stakes (Grade II) | F/M, 3 & UP | 1 M (T) | \$200,000 | \$200,000 | \$200,000 |
| Sat Jul 2 | Triple Bend Stakes (Grade I) | 3 & UP | 7 F | \$300,000 | \$300,000 | \$300,000 |
| Sat Jul 2 | The Gold Cup at Santa Anita (Grade I) | 3 & UP | 1 1/4 M | \$500,000 | \$500,000 | \$500,000 |
| Sun Jul 3 | Santa Anita Juvenile | 2 YO | 5 1/2 F | \$125,000 | \$125,000 | \$125,000 |
| Sun Jul 3 | Landaluce Stakes | F, 2 YO | 5 1/2 F | \$125,000 | \$125,000 | \$125,000 |
| Mon Jul 4 | Adoration Stakes (Grade III) | F/M, 3 & UP | 1 1/16 M | \$100,000 | \$100,000 | \$100,000 |
| Sat Jul 9 | Lennyfrommalibu Stakes | 3 & UP (CA) | abt 6 1/2 F (T) | \$100,000 | did not run | did not run |
| Sun Jul 10 | Cambiocorsa Stakes | F/M, 3 & UP (CA) | abt 6 1/2 F (T) | \$100,000 | did not run | did not run |
| | Los Angeles Handicap (Grade III) | 3 & UP | 6 F | will not run | \$100,000 | \$100,000 |
| | Last Tycoon Stakes (Grade III) | 3 & UP | 1 1/4 M (T) | will not run | \$100,000 | \$100,000 |
| | American Oaks (Grade I) | F, 3 YO | 1 1/4 M (T) | will run later '16 | \$350,000 | \$350,000 |

F. List all post times for the daily racing program:

| | All Weekdays | Weekends & Holidays | Daily** |
|---------|--------------|---------------------|----------|
| Race 1 | 1:00 PM | 12:30 PM | 2:00 PM |
| Race 2 | 1:30 PM | 1:00 PM | 2:30 PM |
| Race 3 | 2:00 PM | 1:30 PM | 3:00 PM |
| Race 4 | 2:30 PM | 2:00 PM | 3:30 PM |
| Race 5 | 3:00 PM | 2:30 PM | 4:00 PM |
| Race 6 | 3:30 PM | 3:00 PM | 4:30 PM |
| Race 7 | 4:00 PM | 3:30 PM | 5:00 PM |
| Race 8 | 4:30 PM | 4:00 PM | 5:30 PM |
| Race 9 | 5:00 PM | 4:30 PM | 6:00 PM |
| Race 10 | | *5:00 PM | *6:30 PM |
| Race 11 | | *5:30 PM | *7:00 PM |

(*) When Applicable

Exceptions:

| | | |
|----------|-------------------------------|--|
| 12:00 PM | Opening Day | Saturday, December 26, 2015 |
| 12:30 PM | Opening Week | Wednesday, December 30 – Sunday, January 3, 2016 |
| 12:00 PM | Cal Cup/Sunshine Millions Day | Saturday, January 30 |
| 11:00 AM | Super Bowl Sunday | February 7 |
| 12:00 PM | Santa Anita Handicap | Saturday, March 12 |
| 12:00 PM | Santa Anita Derby | Saturday, April 9 |
| 11:00 AM | Kentucky Derby Day | Saturday, May 7*** |
| 11:00 AM | Preakness Day | Saturday, May 21*** |
| 11:00 AM | Belmont Stakes Day | Saturday, June 11*** |

** Beginning between Sunday, March 13, 2016 and Thursday, May 5, 2016. Licensee will give reasonable advance notice to the board regarding which date the new daily post times will commence.

*** Post time subject to change with reasonable advance notice to the board.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

- Registered name of the corporation: **Los Angeles Turf Club, Incorporated**
- State where incorporated: **California**
- Registry or file number for the corporation: **481360**
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool – Chairman (Officer), 0 Shares

Joe Morris – Senior Vice President West Coast Operations (Officer), 0 Shares (Effective 11/9/15)
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Gina Lavo – Controllèr (Officer), 0 Shares
Jane Lynn – Assistant Secretary (Officer)

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc. 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, General Counsel in Toronto, Canada.**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? N/A Yes No
 If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No

7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials on file with the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
 - Keith Brackpool – Chairman**
 - Joe Morris – Senior Vice President West Coast Operations (Effective, 11/9/15)**
 - Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary**
 - Gina Lavo – Vice President, Finance & Controller**
 - Rick Hammerle – Vice President, Racing and Racing Secretary**
 - Nate Newby – Vice President, Marketing**
 - Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting**

- Candace Coder-Chew – Director of Print and Graphics
- Dan Cirimele – Senior Director of Marketing
- Randy Fowler – Director of Food and Beverage
- Randy Hartzell – Director of Pari-Mutuels
- Mike Lakow – Racing Director
- Lou Scalera – Chief of Security
- Pete Siberell – Director of Community Service and Special Projects
- Jason Spetnegal – Director of Facilities & Grounds
- Mike Willman – Director of Publicity and Public Relations
- Nina Zukin – Director of Information Services
- Jay Cohen – Hornblower
- Trevor Denman – Public Address Commentator/Announcer

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: frank.demarco@santaanita.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5 Proposed percentage: 14%
DD Percentage: 20%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.
TOC and LATC Letters attached Exhibit 5A.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.



OFFICERS

MIKE PEGRAM
CHAIR

BILL STRAUSS
VICE CHAIR, SOUTHERN CA.

JACK OWENS
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER
SECRETARY/TREASURER

MIKE HARRINGTON
AT LARGE

ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH

BOB BAFFERT

MARK DEDOMENICO

RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

GEORGE TODARO

KATHY WALSH

MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT

MARY FORNEY
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS

JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

September 26, 2015

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Winter-Spring Meet 2015-16

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Eric Sindler
Tom Varela
Mary Saenz



September 29, 2015

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on LATC's license application in Section 5A.

Please contact me directly if you have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris



September 25, 2015

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2015-16 Winter-Spring Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, December 26, 2015 through July 10, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris
President

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGLER
WILLIAM E. MOREY
GEORGE TODARO
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

JOE MORRIS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



September 29, 2015

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club, Inc. ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC's 2016 Winter/Spring Meet, December 26, 2015 through July 10, 2016.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Please contact me directly if your have any questions.

Very truly yours,

Frank De Marco, Jr.
Vice President Regulatory Affairs & Secretary

cc: Joe Morris

| Year | Handle | Attendance |
|-----------|-----------------|------------|
| 2014-2015 | \$1,129,468,228 | 799,228 |
| 2013-2014 | \$1,099,222,508 | 750,548 |
| 2012-2013 | \$ 675,876,049 | 535,801 |
| 2011-2012 | \$ 655,817,799 | 581,311 |
| 2010-2011 | \$ 590,056,282 | 553,311 |

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

1. All races other than stakes:

Current meet estimate: \$30,928,259
Prior meet actual: \$32,522,880

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$297,387
Prior meet actual: \$303,952

2. Overnight stakes:

Current meet estimate: \$1,500,000
Prior meet actual: \$1,875,000

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$14,423
Prior meet actual: \$17,523

3. Non-overnight stakes:

Current meet estimate: \$14,860,000
Prior meet actual: \$14,820,575

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$142,885
Prior meet actual: \$138,510

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$47,288,259
Prior meet actual: \$49,218,455

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 16.4%
Prior meet actual: 15.3%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: \$0.16
Prior meet actual: \$0.14

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$5,323,522
Prior meet actual: \$5,154,475

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

| <u>Current meet estimate:</u> | | <u>Prior meet actual:</u> |
|-------------------------------|--------------------|---------------------------|
| NTRA | 64,900 | 66,747 |
| TOC | 401,978 | 427,290 |
| CTBA | 401,978 | 427,290 |
| CTT Pension | 401,978 | 427,290 |
| CTT Admin. | <u>200,989</u> | <u>213,645</u> |
| Total | \$1,471,823 | \$1,562,262 |

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$54,083,604
Prior meet actual: \$55,935,192

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$520,035
Prior meet actual: \$522,759

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$23,315,000
Prior meet actual: \$24,243,682

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$224,183
Prior meet actual: \$226,576

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$25,188,760
Prior meet actual: \$27,272,579

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$242,200
Prior meet actual: \$254,884

- H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account # On file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
Phone: (909) 944-6465 **Email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following

receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495 (subject to the completion of a mutually satisfactory agreement with respect to Los Alamitos)**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos – 700 (subject to the completion of a mutually satisfactory agreement)**
 - **SLR Training Center – 495**
 - **Galway Downs – 300**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Industry participants are in the process of negotiating the 2016 Stabling and Vanning agreement. The agreement will be filed with the board upon execution.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall (subject to the completion of a mutually satisfactory agreement).
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT (subject to the completion of a mutually satisfactory agreement)**
 - **SLR Training Center \$360 RT**
 - **Galway Downs \$300 RT**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
There is a rotation of private veterinarian's onsite during training hours and during racing hours.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

| TYPE OF WAGERS | APPLICABLE RULES |
|---|--|
| Example Race: \$1 E; \$1 Double | CHRB #1959; RCI #VE |
| Race #1: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; \$0.50PNP5 | CHRB #1959, 1979, 1979.1, 1957, 1977, 1976.9 |
| Race #2: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; \$0.50PNP4 | CHRB #1959, 1979, 1979.1, 1957, 1977, 1976.9 |
| Race #3: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6 | CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9 |
| Race #4: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6 | CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9 |
| Race #5: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6; *\$0.50PNP4 | CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9, *1976.9 |
| Race #6: \$1E; \$1 TRI; \$.10SF; \$2DD; \$1PK3; *\$2PNP6; *\$0.50PNP4 | CHRB #1959, 1979, 1979.1, 1957, 1977, *1976.9, *1976.9 |
| Race #7: \$1E; \$1 TRI; \$.10SF; \$2DD; *\$1PK3; *\$0.50PNP4 | CHRB #1959, 1979, 1979.1, 1957, *1977, *1976.9 |
| Race #8: \$1E; \$1 TRI; \$.10SF; *\$2DD; *\$1PK3; *\$0.50PNP4; *SuperH5 | CHRB #1959, 1979, 1979.1, *1957, *1977, *1976.9, *ARCI-004-105-X |
| *Race #9: \$1E; \$1 TRI; \$.10SF; *\$2DD; *\$1PK3; *SuperH5 | CHRB #1959, 1979, 1979.1, *1957, *1977, *ARCI-004-105-X |
| *Race #10: \$1E; \$1 TRI; \$.10SF; *\$2DD; *SuperH5 | CHRB #1959, 1979, 1979.1, *1957, *ARCI-004-105-X |
| *Race #11: \$1E; \$1 TRI; \$.10SF; *SuperH5 | CHRB #1959, 1979, 1979.1, *ARCI-004-105-X |

(*) When Applicable

- \$1 Exacta on all eligible races. (CHRB 1959)
- Rolling \$2 Daily Doubles beginning with Race #1. (CHRB 1957)
- \$1 Trifecta on all eligible races. (CHRB 1979)
- \$0.10 Superfecta wagering on all qualifying races. (CHRB 1979.1)
- Incoming simulcasts will follow host track format.
- \$2PNP6 (*) Last six live races 70% Carryover, 30% Minor. Alternate runner provision. (CHRB 1976.9)
- \$0.50PNP4 Starting the second race and the (*) last four live races. No consolation; 100% carryover; Alternate runner provision. (CHRB 1976.9)
- \$0.50PNP5 starting the first race; minimum wager fifty cents, One tier payoff; 100% carryover.
- \$1 Pentafecta selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. Super High-5 (*) may be carded as last race of the day.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **No changes. Exception: Subject to adoption of ARCI Rule, \$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket would replace existing \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**

- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:

All carryover pools from December 26, 2015 to April 10, 2016, inclusive, will be distributed April 10, 2016. All carryover pools from May 5 through July 10, 2016, inclusive, will be distributed on the last live race day of the meet.

- D. List any options requested with regard to exotic wagering: N/A
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Steve Keech
AmTote International Inc. Expires **August 21, 2020**
11200 Pepper Road
Hunt Valley, MD Phone: **(800) 345-1566**

- G. List below the takeout percentage for each type of wager identified in 10A:

| TAKEOUT PERCENTAGE | (EXAMPLE) PNP5-14% |
|--------------------|----------------------------------|
| 15.43% | W/P/S |
| 20.00% | DD |
| 22.68% | E |
| 14.00% | PNP5 |
| 23.68% | TRI; SF; PK3; PK4; PNP6, SuperH5 |

11. ADVANCE DEPOSIT WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the association for this race meeting:

| | |
|-------------------|---------------------------------|
| Xpressbet | Watch and Wager.com |
| Twinspires | Lien Games - Bet America |
| TVG | |

TOC approval pending.

- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **ADW Agreements Pending**

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **Pending**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

| | | | |
|------------------|----------------------|------------------------------------|----------------|
| Arlington | Fairgrounds | Monmouth | Suffolk Downs |
| Assiniboia Downs | Finger Lakes | Mountaineer Park | Sunland Park |
| Australia | Fort Erie | Northlands | Sunray Park |
| Belferra Park | Gulfstream Park | NYRA – Aqueduct, Belmont, Saratoga | |
| Canterbury | Gulfstream Park West | Penn National | Tampa Bay |
| Charles Town | Hastings Park | Philadelphia Park (Parx) | Thistledown |
| Churchill Downs | Hawthorne | Pimlico | Turf Paradise |
| Delaware Park | Indiana Downs | Portland Meadows | Turfway Park |
| Delta Downs | Keeneland | Prairie Meadows | United Kingdom |
| Ellis Park | Laurel Park | Presque Isle | Woodbine |
| Emerald Downs | Lone Star | Sam Houston | Zia Park |
| Evangeline Downs | Louisiana Downs | South American Racing | |

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Los Alamitos Per CHRB calendar Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
Lakeside, CA 92040

Cabazon Fantasy Springs Casino
Indio, CA 92201

Surfside Race Place at Del Mar
Del Mar, CA 92014

Hollywood Park
Inglewood, CA 90303

Shalimar Sports Center, Riverside Fair
Indio, CA 92201

Sports Pavilion at The Farmer's Fair
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
Lancaster, CA 93536

Santa Anita Park
Arcadia, CA 91007

Sports Center at National Orange Show
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
Pomona, CA 91768

Sycuan Gaming Center
El Cajon, CA 92019

The Derby Club, Seaside Park (Ventura Fair)
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
Victorville, CA 92392

Viejas Casino & Turf Club
Alpine, CA 91901

Commerce Casino (mini-satellite)
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
Santa Maria, CA 93454

Sammy's (mini-satellite)
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
Saugus, CA 91350

Tilted Kilt (mini-satellite)
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
San Diego, CA 92101

Striders Norco (mini-satellite)
Norco, CA 92860

Firehouse (mini-satellite)
Bakersfield, CA 93313

NORTHERN CALIFORNIA

Shasta District Fair
Anderson, CA 96007

Big Fresno Fair
Fresno, CA 93702

Monterey County Fair
Monterey, CA 93940

Fresno Club One
Fresno, CA 93721

Alameda County Fair
Pleasanton, CA 94566

California State Fair & Exposition
Sacramento, CA 95815

The Jockey Club at San Mateo
San Mateo, CA 94403

Santa Clara County Fair
San Jose, CA 95111

Sonoma County Fair
Santa Rosa, CA 95402

San Joaquin County Fair
Stockton, CA 95206

Stanislaus County Fair
Turlock, CA 95380

Solano County Fair
Vallejo, CA 94589

Bankers Casino (mini-satellite)
Salinas, CA 93901

Exhibit 12 C/F

16 LATC

Exhibit 12 D/E

Mixed Locations

| | | | |
|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
| Best Entertainment | Dover Downs | Mahoning Valley | Scarborough Downs |
| Amwest Accounts | Dubuque (Iowa Greyhound) | Maronas (South America) | Scioto Downs |
| Greenbrier (WV) | Ellis Turf Club (1 to 15) | Maryland Jockey Club | Seabrook Greyhound |
| Riders Up (SD) | Ellis Park | Maywood | Social Gaming |
| Time Out Lounge (SD) | Emerald Downs | Meadowlands | Sol Mutuel |
| Triple Crown (SD) | Evangelina Downs | Meadows The | Sol Mutuel - Odds Broker |
| Shoe-Mile High | Fair Grounds | Meadows The (ADW) - PA regional | Southland Greyhound |
| State Race Club | Fair Grounds ADW | Miami Valley Gaming & Racing | Sports Creek Raceway |
| Stonington | Fair Meadows | Mobilia Greyhound | State Fair (Lincoln, NE) |
| Stratford | Favorites at Gloucester | Monmouth Park | Suffolk District OTB |
| Stratford ADW (XB Illinois) | Favorites at Vineland | Montana OTB | Suffolk Downs |
| Stratford Raceway | Finger Lakes | Monticello | Sunland Park |
| Stratford | Finger Lakes ADW | Mountaineer Park | SunRay Park & Casino |
| Stratford Park | Fonner Park | Mt. Pleasant Meadows | Tampa Bay Downs |
| Stratford Racing | Freehold | Nassau Regional OTB | Taunton ADW |
| Stratford Greyhound | Gillespie County Fair | Nevada Pari-Mutuel Assoc. | Taunton Dog Track Inc. |
| Stratford Run Greyhound | Global Wagering Solutions | Newport Jai Alai | The Downs at Albuquerque |
| Stratford Casino | Bwin International Ltd. | New Jersey ADW (4NJBets) | Thistledown |
| Stratford Raceway | Intl Betting Assoc. Ltd | Northfield Park | Tioga Downs |
| Stratford Park | Magna Bet | Cedar Downs OTB | Tri-State GH (Mardi Gras) |
| Stratford District OTB | Racebets | Northville Downs | Turf Paradise |
| Stratford OTB | Greenetrack | NYRA | Turf Paradise Bets (ADW) |
| Stratford Town Race Course | Greyhound @ Post Falls | NYRA ADW | Turfway Park |
| Stratford Downs (Harrah's Philly) | Gulf Greyhound | Oaklawn Park | TVG |
| Stratford Downs | Gulfstream Park | Oaklawn Park ADW | TVG Chester |
| Stratford d'Alena Casino | Harrington Raceway | Ocean Downs | TVG High Volume |
| Stratford Downs | HarringtonBets ADW (DE regional) | Panama | TVG Prairie |
| Stratford Downs ADW | Hawthorne Race Course | PARX | Twin River Greyhound |
| Stratford Raceway | Hawthorne ADW | PARX ADW | TwinSpires |
| Stratford OTB | Hazel Park | Penn National | TwinSpires High Volume |
| Bradley Teletheater, Bristol | Hinsdale | Penn National ADW | Velocity Wagering Ltd. |
| New Britain, Norwalk, Milford | Hoosier Park | Peru | Venezuela OTB |
| East Haven, Hartford, Putnam | Horseman's Park | Plainridge Race Course | Vernon Downs |
| Shoreline Star, Sports Haven | Idabet | Plainridge ADW | WatchandWager |
| Torrington, Waterbury | Indiana Downs | Player Management Group | Western OTB |
| Manchester, New London | Clarksville/Evansville OTB | Pocono Downs ADW | Wheeling Downs |
| Williamantic, Sanford OTB | Intermountain Racing | Pocono Downs/OTB | Will Rogers Downs |
| John Martin's Manor Restaurant | Keeneland | Portland Meadows | Wyoming OTB |
| Connecticut OTB ADW | Keeneland Select ADW | Potawatomi Casino/OTB | Wyoming Downs |
| Coushatta Casino | Kentucky Downs | Prairie Meadows | XpressBet |
| Paragon Casino | Kentucky OTB | Premier Turf Club | Yonkers Raceway |
| Ho-Chunk Casino and Racebook | Les Bois (Treasure Valley) | Presque Isle Downs | Yonkers ADW |
| Mohegan Sun Casino | Lewiston OTB's | Racing2Day ADW | Youbet Group 1 |
| Onelda Bingo and Casino | Lien Games | Racing2Day Inll. (Stan James) | Zia Park |
| Pony Bar Simulcast Center | Chips Lounge and Casino | Remington Park | 123Gaming |
| Tote Investment Racing | Howard Johnsons OTB | Remington OTB Network | |
| Randall James Racetrack | Rumors OTB | Relama Park | Separate Pool Locations |
| Millenium Racing | Skydancer Casino OTB | Racing & Gaming Services | Camarero (Puerto Rico) |
| Royal Beach Casino | Lien Games ADW | Rillito Park | Caymanas (Jamaica) |
| Divi Carina Bay Casino | BetAmerica/Offtrackbetting ADW | Rockingham Park | Codere (Mexico/Spain) |
| Winner's Circle | Lone Star | Rockingham Park ADW | MIR Books (Calliente) |
| Camouflage Gaming | Louisiana Downs | Ruidoso Downs | NDS Books (Nevada) |
| Stratford Christi Greyhound | LVDC | Running Aces Harness Park | Tabcorp (Australia) |
| Stratford Raceway | Atlantis Paradise Casino | Sam Houston | Tattsbel (Australia) |
| Stratford Park | Buffalo Thunder Resort | Valley Greyhound Park | New Zealand Racing Board |
| Stratford Downs | Foxwoods Resort Casino | Saratoga Harness Raceway | |
| Stratford Jackpot | Meskwaki Bingo & Casino | Saratoga Bets (ADW) | |

Other Locations

Boia, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Keweenaw Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, St. Catharines, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Western Fair, Woodbine, Xpressbet Southern Alberta

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

| Name of Host Track | Breed of Horse | Race Dates | Number of Races to be Imported |
|--------------------|----------------|------------|--------------------------------|
|--------------------|----------------|------------|--------------------------------|

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

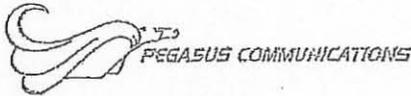
NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
Board of Directors
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- B. Names and addresses of the trustees or directors of the distributing agent:
Frank DeMarco, Jr., Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)

- (2) Avid HD graphics systems with Pegasus DataLinks interface software
- (1) GVG Karrera 4ME Switcher
- (1) Router: Blackmagic Design Universal Video Hub
- (1) Summit clip store
- (2) ZPlay HD video recorders
- (1) 3play 820 HD video recorder
- (1) Sony DigiBeta deck
- (1) Audio Calrec Board: 120 input
- (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

| | |
|----------------------------------|---------------------------------------|
| 1 Chief of Security | 36 Security Guards |
| 1 Secretary | 1 Fire Marshall |
| 1 Stable Security Manager | 13 Gatemen |
| 4 Investigators | 1 Stable Credentials Secretary |

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 15B)

2. Detention Barns:

- a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 15B)

- b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

- c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 15B)

3. TCO2 Testing:

- a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

- b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

- c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

- d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 15B)

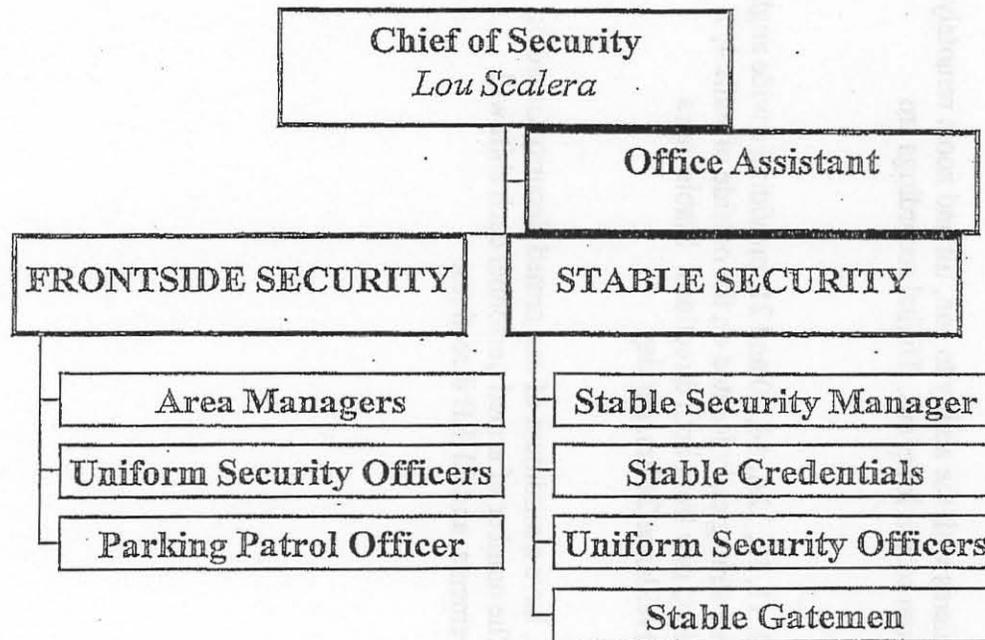
1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). In addition to Exhibit 15B, Santa Anita Park plans to install additional surveillance cameras to the backside and "gap" as discussed at the September 2015 CHRB meeting.

- D. For night racing associations. Describe emergency lighting system: N/A

SANTA ANITA PARK

Security Live Racing



STAFF
LEVELS
INCLUDE
DAY &
NIGHT
DUTIES

SECURITY

Exhibit 15 A

Exhibit 15B**DETENTION BARN PLAN**

(September, 2015)

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos: Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center: Richard F. Roesch, President
Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

Galway Downs: Symons Emergency Specialties, Inc., 2001
Jeff Grange, CEO – Ryan Merchant, General Mgr.
18592 Cajon Blvd.

San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Letter attached.
- **Galway Downs:** (Exhibit 16B 1)

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

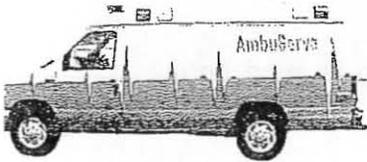
- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race



AmbuServe Ambulance

Exhibit 16 A



October 6, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Ambuserve, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Ambuserve, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Supervisor

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

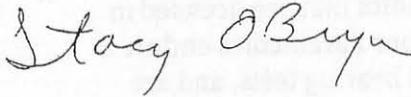
November 12, 2015

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC

MERCY

*Medical
Transportation
Inc.*

ADMINISTRITON
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

July 1, 2015

California Horse Racing Board

Re: Ambulance Certification letter

Tow Whom It May Concern:

Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All of our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests, and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participated in the Department of Motor Vehicles (DMV) Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc. Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations



STATE OF CALIFORNIA
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

**EMERGENCY AMBULANCE
NON-TRANSFERABLE LICENSE**

CHP 360A (REV. 01-00) OPI 062

| | | | | |
|--------------------------|------------------------|--|---|-----------------------------|
| CONTROL NUMBER 2001 | LICENSE NUMBER 2001 | ISSUE DATE 6/16/2015 | EFFECTIVE DATE 8/9/2015 | EXPIRATION DATE 8/8/2016 |
| CHP CARRIER NUMBER CA | LOCATION 860 | <input type="checkbox"/> Duplicate <input type="checkbox"/> Initial | <input type="checkbox"/> Replacement <input checked="" type="checkbox"/> Renewal | |

PROPERTY OF THE CALIFORNIA HIGHWAY PATROL (CHP)

SERVICE NAME AND PHYSICAL ADDRESS *(only if different from below)*

SYMONS EMERGENCY SPECIALTIES, INC., 2001

SYMONS
18592 CAJON BLVD.
SAN BERNARDINO, CA 92407-

This license is NON-TRANSFERABLE and must be surrendered to the CHP upon demand or as required by law. A majority change in ownership or control of the licensed activity shall require a new license. This license may be renewed within the 30-day period prior to the expiration date indicated above.

SERVICE NAME AND MAILING ADDRESS

SYMONS EMERGENCY SPECIALTIES, INC., 2001

SYMONS
18592 CAJON BLVD.
SAN BERNARDINO, CA 92407-

Attention: JEFF GRANGE, CEO

Ambulance operations must cease immediately upon expiration of this license. THERE IS NO GRACE PERIOD FOR A LICENSED ACTIVITY. The Department will accept an application for renewal during the 30-day period following the license expiration date provided all required documentation is complete and accompanied by the initial license fee of \$200.00. For license information contact CHP, Research and Planning Section at (916) 843-3440.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

Dr. Salvador Sotomayor Phone: (888) 349-8944
15771 Del Prado Drive
Hacienda Heights, CA 91740

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Arcadia Methodist Hospital
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

Exhibit 16F

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Greg Daniel, Environmental, Health & Safety Manager
Lou Scalera, Chief of Security

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Exhibit 16H

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-015 Expires 6/30/2016

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.

Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC; seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jintete a la Sala de Emergencias del Hospital Methodista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

September 17, 2015

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2015-2016 Main Meet)

Fire
Department

To Whom It May Concern:

Kurt Norwood
Fire Chief

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2015-2016 Main Meet.

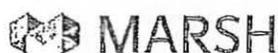
It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2015-2016 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.


Certificate of Insurance

No.: ANITA-2015-38

Dated: June 26, 2015

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

| | |
|---|--|
| Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department | Named Insured and Address: Los Angeles Turf Club, Incorporated 285 West Huntington Drive Arcadia, CA 91007 |
|---|--|

This certificate is issued regarding:
Evidence of Insurance

| Type(s) of Insurance | Insurer(s) | Policy Number(s) | Effective/ Expiry Dates | Sums Insured Or Limits of Liability | |
|---|---------------------------------------|--------------------|------------------------------|---|--|
| COMMERCIAL GENERAL LIABILITY | Zurich American Insurance Company | GLO 9302183-13 | Jun 30, 2015 to Jun 30, 2016 | Each Occurrence | USD 2,000,000 |
| | | | | Products-Completed Operations Aggregate | USD 2,000,000 |
| | | | | General Aggregate | USD 2,000,000 |
| WORKERS' COMPENSATION & EMPLOYERS' LIAB | Liberty Mutual Fire Insurance Company | WA2-B7D-170912-015 | Jun 30, 2015 to Jun 30, 2016 | Workers' Compensation | Statutory |
| | | | | Employers' Liability Each Accident | USD 1,000,000 Bodily Injury by Accident |
| | | | | Employers' Liability Each Employee | USD 1,000,000 Bodily Injury by Disease |
| | | | | Employers' Liability Policy Limit | USD 1,000,000 Bodily Injury by Disease |

Additional Information:

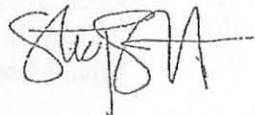
The Commercial General Liability Policy, GLO9302183-13, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-015, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

| | |
|--|--|
| Marsh Canada Limited 120 Bremner Boulevard Suite 800 Toronto, ON M5J 0A8 Telephone: 416-868-2123 Fax: - stephanie.leite@marsh.com | Marsh Canada Limited  By: _____ Stephanie Wong |
|--|--|

meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes No

HANDICAPPERS

Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)

Tiffany Bohland
6288 Highland Meadows Drive
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)

% Don Harris
924 Knob Hill Avenue
Redondo Beach, CA 90277-4538

Duke Racing Selections (CHRB-25A and CHRB-87 on file with CHRB.)

c/o Harry Acquarelli
4615 Trieste Drive
Carlsbad, CA 92008

Today's Racing Digest, Inc. (Exempt from CHRB-25A and CHRB-87)

Jason Karches
2080 Las Palmas Drive
Carlsbad, CA 92009

Triangle Turf Winners (CHRB-25A and CHRB-87 on file with CHRB.)

William Archer
1 Scott Street
Gardnerville, NY 89410

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

Marketing program pending.

A. Attach a copy of the promotional and marketing plans-for the race meeting: **Submitted to the Board**

B. Promotional/ Marketing budget for this race meeting: **The 2016 budget is \$6 million. Of the \$6 million, \$4.8 million earmarked for the Winter/Spring meet.**

Promotional/Marketing budget for prior race meeting: **The 2016 budget is the same as it was in 2015.**

C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* - Food and Beverage hostess's (11 weekends, 4 weekdays)

16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
2. Fans
3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

| | | |
|------------------------------|----------|----------------------------|
| Admission (general) | \$ 5.00 | Weekends & Holidays |
| | Free | Weekdays (Thursday/Friday) |
| Club House Admission | \$ 10.00 | |
| Reserved seating (general) | Free | |
| Reserved seating (clubhouse) | \$ 5.00 | Sold on premium days only. |
| CH Box Seat | \$ 10.00 | Saturdays and Sundays |
| Parking (general) | \$ 4.00 | Weekends & Holidays |
| | Free | Weekdays (Thursday/Friday) |
| Parking (valet) | \$ 10.00 | |
| Programs (on-track) | \$ 2.50 | |
| (off-track) | \$ 2.50 | |

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Annual Season Box 2016:

Seating for Winter/Spring & Autumn Meets: Price includes CH admission

| | | |
|------------------|---------|---------|
| Finish Line Box: | 4 Seats | \$5,250 |
| | 5 Seats | \$6,500 |
| | 6 Seats | \$8,000 |
| Premium Box: | 4 Seats | \$3,000 |
| | 5 Seats | \$3,750 |
| | 6 Seats | \$4,800 |

(*) Annual Box seat pricing reduced from 2015.

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Corners (lockers and cubicles) | How many | <input style="width: 50px;" type="text" value="40+"/> |
| <input checked="" type="checkbox"/> Showers | <input checked="" type="checkbox"/> Steam room, sauna or steam cabinets | <input checked="" type="checkbox"/> Lounge area |
| <input checked="" type="checkbox"/> Masseur | <input checked="" type="checkbox"/> Food/beverage service | <input checked="" type="checkbox"/> Certified platform scale |

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:
Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **CHRB inspection pending; Health Dept. inspection completed and approved.**
- B. Number of rooms used for housing on the backstretch of the racetrack: **445**
- C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **1 to 47**

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.
- C. The percent of cross slope in the straight-aways is: **2%**
 The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days'

notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
TOC and CTT are agreements pending.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Frank DeMarco, Jr.
Print Name

Frank DeMarco, Jr.
Signature

VP Regulatory Affairs & Secretary
Print Title

11-2-15
Date

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE
SANTA ANITA FOR A WAIVER TO CHRB RULE 1472(B), (C), (E) RAIL
CONSTRUCTION AND TRACK SPECIFICATIONS, TO FACILITATE THE
INSTALLATION OF A NEW INSIDE TURF RAIL

Regular Board Meeting
December 17, 2015

Business and Professions Code section 19481 provides that in performing its responsibilities, the Board shall establish safety standards governing the uniformity and content of the track base and racing surface, inner and outer rails, gates and gaps, turf access and egress to the track, lighting for night racing, equipment for horse and rider, drainage, communications, veterinary services, medical and ambulance services, and other track facilities in order to improve the safety of horse, riders, and workers at the racetrack. Board Rule 1472, Rail Construction and Track Specifications, provides that all racing surfaces, including turf courses, shall have an inner rail, and an outer rail or shadow fence designed to meet the same impact standards as a permanent rail. Subsections 1472 (b), (c) and (e) provide specifications for race track rails.

BACKGROUND

The Los Angeles Turf Club (LATC) has informed the CHRB that it would like to use the Duralock rail system on its turf course, starting on December 26, 2015. The Duralock rail will be used as the temporary rail when installed at distances of 10, 20 or 30 feet from the inside face of the existing permanent rail at 0 feet. The Duralock rail will replace the existing temporary rail on both the hillside and oval sections of the turf course. This report summarizes the CHRB's evaluation of:

- (1) The regulation waivers that would be required for the Duralock rail system, and
- (2) The engineering performance of the design.

The Duralock system has been installed at 10 racetracks and training centers in the United States, including Aqueduct, Belmont Park and Saratoga in New York and Churchill Downs and Kentucky Downs in Kentucky. It has been installed at numerous racetracks in the United Kingdom and around the world. The manufacturer is headquartered in Oxfordshire, England.

The following CHRB regulations will require a waiver using Board Rule 1406, Suspension of Rule, to implement the Duralock temporary rail system at Santa Anita.

Rule 1472, Rail Construction and Track Specifications, subsections (b), (c), and (e):

(b) ...Notwithstanding the above, no permanent or portable turf post or rail shall be constructed of fiberglass, poly vinyl chloride (P.V.C.), or wood, and hedges shall not be used as a post or rail.

The Duralock rail system is composed of high impact strength, high UV resistant PVC post and rail components. They are designed to deflect and to absorb load, as opposed to rigid metal systems that transfer a high load back to the jockey or horse upon impact.

(c) The height of all outside and inside rails shall be between 38 and 42 inches from the top of the racing surface to the top of the rail.

The Duralock horizontal rail is designed to a height that will result in impact to the shoulder area of the horse. This results in the rail deflecting and reducing the direct impact load to the horse. The nominal vertical height of the Duralock rail is 50 inches.

(e) ...Portable rails and posts shall be designed not to collapse or break away when a horse that is running parallel to the rail bumps, lugs or falls into the rail or posts during normal training or racing conditions.

The Duralock horizontal rail section is designed to separate from the vertical, swan neck posts upon significant impact. The horizontal rail deflects and absorbs the horse load and then rebounds thereby directing the horse back to the running lane.

ANALYSIS

Staff discussed the design with Duralock's USA based technical sales representative and reviewed all engineering information that was provided. High impact load and bending tests have been conducted on the rail and post components. All rail tests were conducted with no resulting vertical pole or horizontal panel fractures. Accelerated aging tests have been conducted on the PVC material to evaluate the affect of a high UV environment. Environmental life is projected to be in excess of 20 years.

Staff also reviewed accident videos by the Duralock representative. One clip shows a horse running into the rail system at full speed, perpendicular to the rail line. The horizontal panel breaks away readily and the horse and the rider pass through the rail plane without a significant impact load. The jockey was not even unseated.

The Duralock rail system design is similar to the Mawsafe rail design that was installed at Del Mar in 2013. The Mawsafe system has performed flawlessly at Del Mar.

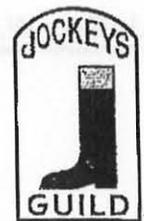
Staff also contacted the west-coast representative of The Jockeys Guild. Attached to this staff analysis is a letter from The Jockeys Guild to the Kentucky Horse Racing Commission wherein the Guild supports the installation of the Duralock rail system at Kentucky Downs. The Jockeys Guild representative has communicated that the Guild will support the use of the Duralock system for the turf course temporary rail at Santa Anita.

Staff also informed the Santa Anita Track Superintendant that the temporary Duralock rail would have to be located a minimum of 10 feet from the face of the permanent turf course rail to ensure compliance with Regulation 1472 (g). Their plan is to allow for locating the Duralock rail at distances of 10, 20 and 30 feet from the face of the inside permanent rail.

RECOMMENDATION

The Duralock rail system (and the Mawsafe rail at Del Mar) has significant advantages compared to the rigid rail designs that are normally employed in California. Deflecting designs greatly reduce impact loads to the horse and jockey and lower the risk of the horse and jockey 'bouncing' back into the path of other horses.

Staff recommends the Board grant Los Angeles Turf Club a waiver to Board Rule 1472 (b), (c) and (e) for the use of the Duralock rail system on its hillside and oval turf courses at Santa Anita.



July 1, 2014

Mrs. Susan Speckert
 Kentucky Horse Racing Commission
 4063 Iron Works Pkwy
 Lexington, KY 40511

Dear Susan:

Please accept this letter on behalf of the Jockeys' Guild and the riders in Kentucky, for our support of the installation of the Duralock Performance Fencing at Kentucky Downs, for the 2014 meet. If proven successful, hopefully other tracks will eventually update their standards and railing systems.

A representative of Duralock was present at the Guild's annual assembly in Hollywood, Florida in January. He explained the technology behind the railing system to our members who were in attendance.

The Duralock rail is used throughout Europe, the Middle East, Singapore, Hong Kong and now in Australia. Additionally, NYRA installed this railing system last year, and based on their experience and success they have had since its installation of the Duralock rail, they are completely supportive of the railing and highly recommend it.

While we recognize that the installation of the rail differs from what is currently being used throughout the U.S. and the specifications in the ARCI Model Rules, we would be supportive of the installation of the new railing. Given the changes in technology and products, we are willing to work with new manufacturers in hopes of reducing injuries and accidents related to the rail. We are also willing to work with the NTRA Safety and Integrity Alliance, ARCI and Regulators to make necessary changes to the Model Rules and regulations in other jurisdictions.

The Guild sincerely appreciates Kentucky Downs for taking this step to improve the railing as well as the KHRC for striving to improve the safety standards for racing in Kentucky.

Sincerely,

Terence J. Meyocks
 Jockeys' Guild, Inc.
 National Manager

cc: Marc Guilfoil
 Corey Johnsen
 Jeff Johnston

Salmon, Jeffery W.

From: Joe Carr
Sent: Friday, December 04, 2015 3:08 PM
To: Salmon, Jeffery W.
Subject: Re: Duralock Turf Rail of Santa Anita Continued

Jeff,

Thanks for your email .

Per your request please find a list of the flat race tracks in the USA that have installed DURALOCK (UK) LTD race rail:

- Aqueduct Race Track, NY
- Belmont Park, NY
- Saratoga Race Track, NY
- Fair Hill, Md
- Parx Racing, PA
- Tampa Bay Downs, FL
- Kentucky Downs, KY
- Churchill Downs, KY
- Horsemens Park,NE
- Wyoming Horse Racing LLC WY.

There are various steeplechase race courses in the USA along with equestrian show horse venues that have installed DURALOCK (UK) LTD products.

Yours sincerely,

Joe Carr
DURALOCK (UK)
3476 Sutherland Dr
Lexington, Ky
40517
USA

www.duralock.com

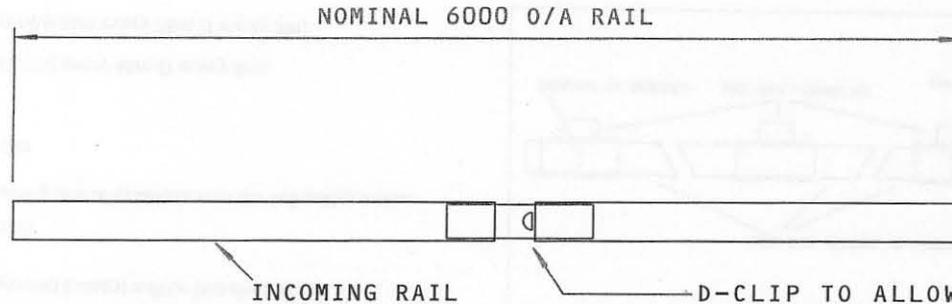
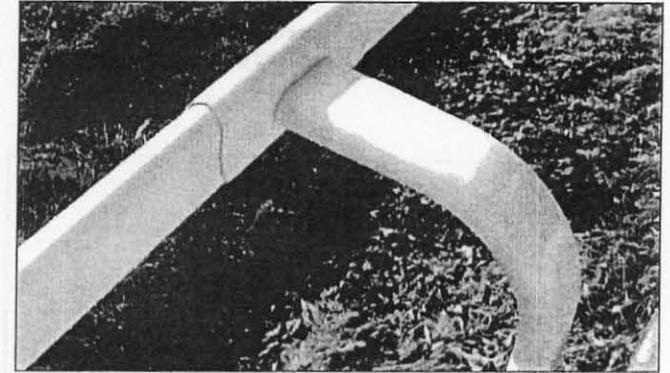
PRODUCT NAME

Oval rail (6 metre) T-Connectors

6m lengths x 1270mm high running rail

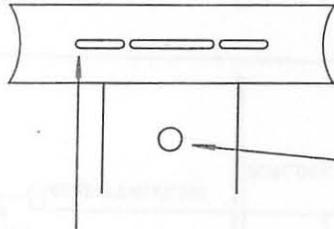
SIZE
Posts 108 x 50, Rails 108 x 50
CODE
OR/TCH

PAGE NO.
76F
May 08



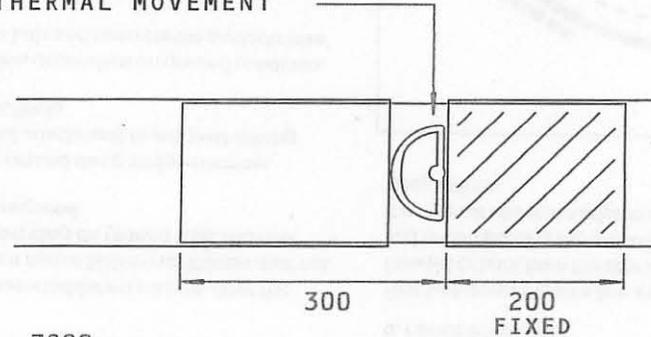
D-CLIP TO ALLOW INSTALLATION TOLERANCE AND THERMAL MOVEMENT

T-CONNECTOR - UNDERSIDE

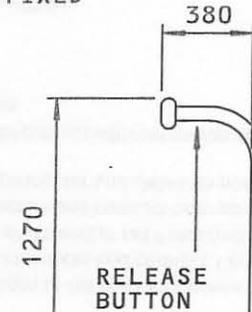
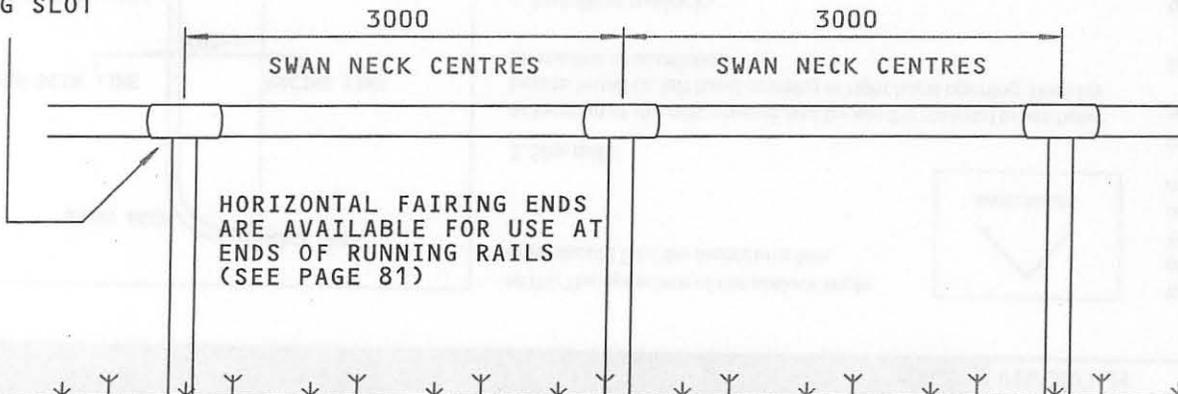


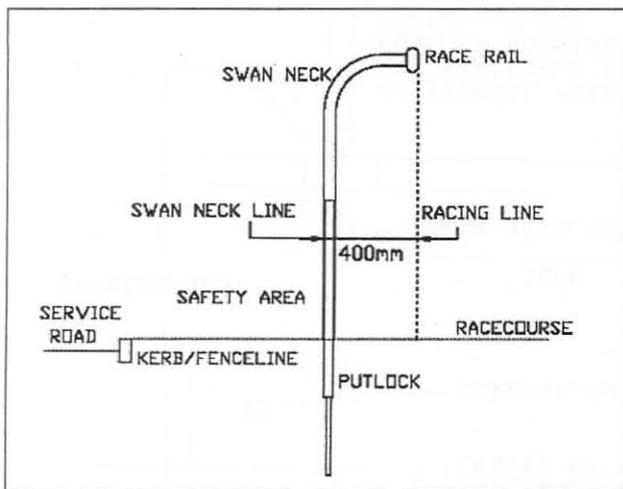
END OF INCOMING RAIL AND D-CLIP CONNECTOR RAIL MUST LIE WITHIN CENTRE OF VIEWING SLOT

RELEASE BUTTON

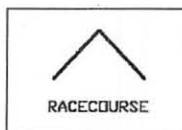


VIEWING SLOT





NOTE: The open face of the putlock angle irons should face the racecourse line.



3. Slip rails:

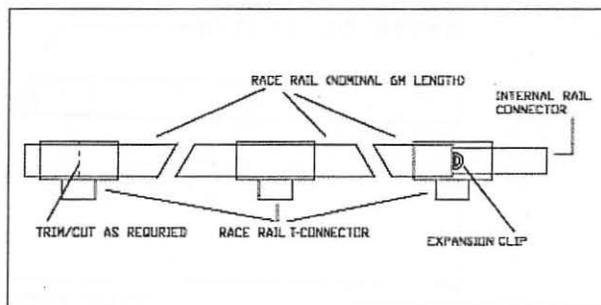
At location of slip rails, unwrap and lay out the material to see how best to install i.e. left hand opening or right hand opening. Then lay on the line of installation.

4. Installing putlocks

Once putlock positions have been established you can drive the putlocks into the ground. Leave a min of 500mm to 900mm max out of the ground. This will vary depending on ground type and how stable /permanent a race rail is required.

Putlocks can be driven into the ground using sledge-hammer, wacker style breaker with special attachment or any post driving equipment modified to drive putlocks.

NOTE: For a permanent fence line, dependent on ground condition, we recommend that you bore a hole and concrete the putlocks into the ground.



5. The build

In the packet of swan necks you will find some rail profile sections to be used as spacers for levelling if required. Place 1 x spacer onto each putlock and knock into ground then place 1 x swan neck onto the putlock. (If ground is very soft use more spacers).

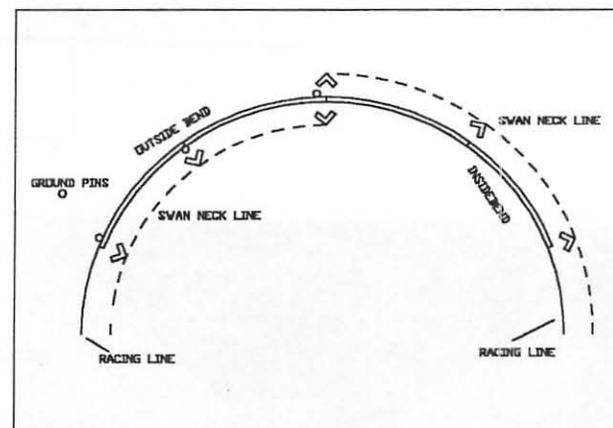
Taking 1x length of rail slide 3 x T-connectors on to the rail and offer up to swan necks and connect. Centralise the rail within the T-connector and trim/cut rail if required. Taking the next rail slide on 2 x connectors and connect onto previous rail. Then locate onto internal rail connector and slide into position.

Finally feel for contact with expansion clip and back off 5mm min up to 30mm max.

Repeat.

6. Fence levelling

First look down the race line and adjust any swan neck that is leaning forward or back from the race line by pulling the putlock into position and re-compacting the ground around it. Next look horizontally along the race rail and make adjustments up or down by adding or taking away spacers.



7. Bends

Mark out the radius/curve of the bend using ground pins and peg out the rail on the race line and then establish putlock positions along the curve and fix rails as described previously.

1. Mark out

Establish the race line and mark, then measure back 400mm from the race line, this is the distance between the face of the race rail and the back of the swan neck line, this will establish the line of installation where putlocks (ground fixings) will be installed later.

2. Layout materials

Layout materials along line of installation in the following order:

1 x putlock every 3m

1 x rail every 6m

1 x swan neck pack (15) every 45m (1 every 3m)

1 x box of 26 rail connectors every 78m (1 every 3m)

Slip rails where necessary.

Using 1 x length of rail as a ruler, mark the swan neck positions at 3m centres. Use this to establish putlock locations along the line of installation.

IMPORTANT: Do not exceed 3m of rail between putlock positions.

Duralock (UK) Ltd, 6A Enstone Business Park,
Enstone, Chipping Norton,
Oxfordshire, OX7 4NP, England

Tel: +44 (0) 1608 678238 Fax: +44 (0) 1608 677170
Website: www.duralock.com e-mail: info@duralock.com

TECHNICAL SPECIFICATION

Rail profile nominally 108 x 50, with wall thickness c. 3.5mm
Nominal weight 1.5kg/metre

Post profile nominally 150 x 100, with wall thickness c. 2.5mm
Nominal weight 2.0kg/metre

Typical extrusion material:

| | |
|---|---------------------------------|
| Titanium Dioxide | 4% |
| 5 kg Vicat softening point | 78 to 82°C |
| Relative density | range 1.42 to 1.48 |
| Flexural modulus | range 2373 to 2510 Mpa |
| Impact type | high (12-20 KJ/M ²) |
| Coefficient of thermal expansion | 6 x 10 ⁻⁵ /deg C |
| Rockwell hardness R scale | 115 deg |
| Tensile strength | 45-50 MN/M ² |
| Thermal conductivity | 0.16 W/Mk |
| Falling weight test, 0° C (1 metre/1kg weight 25mm radius) | NO BREAKS |

THE JOCKEY CLUB

BY FAX

17th July 2014

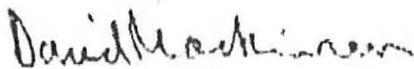
Mr J. Seel
6A Enstone Business Park
Enstone
Chipping Norton
Oxfordshire
OX7 4NP

Dear Mr Seel,

DURALOCK RUNNING RAIL

In test carried out by Rapra Technology Limited to assess the performance characteristics of the materials under load and tests carried out by the Jockey Club to assess the strength of the erected structure, the Duralock products comfortably exceeded the Jockey Club's minimum specification criteria.

Yours sincerely,



David Mackinnen

Deputy Manager, Racecourse Department



INSPECTION REPORT

This inspection report contains only findings and results arrived at after employing the specific test procedures listed in the Test Confirmation. It does not constitute a recommendation for endorsement of, or certification of the product or material tested. Q-Lab Weathering Research Service makes no warranty, expressed or implied, except that the test has been performed, and report prepared, based upon the specimen or specimens furnished by the client. Extrapolation of data from the specimen or specimens relating to the batch or lot from which it was obtained may not correlate and should be interpreted accordingly with extreme caution. We assume no responsibility for variations in quality, composition, appearance, performance, or other feature of similar subject matter produced by other persons or under conditions over which we have no control. This report shall not be reproduced except in full without the written approval of Q-Lab Weathering Research Service.

CLIENT: Duralock (UK) Ltd
ADDRESS: 6A Enstone Business Park
 Chipping Norton, Oxfordshire OX7 4NP
 UNITED KINGDOM

TEST NO: DLU-1

REPORT NO: 4

ATTN: Mr. Jeremy Seel

DATE: 6 September 2011

DATE EXPOSED: 2 June 2011

YOUR REFERENCE: Credit Card

DURATION: 2000 hours

TYPE: Accelerated weathering

SPECIMENS: 6 plastic parts

CONTENTS: Cover Sheet: Page 1
 Report: Page 2

NOTES:
 Please refer to the legend located on our website at www.myweathertest.com for an explanation of the ratings used in this report.

Inspected By: Susan C. Manchester

Approved By: Thomas M. Allie



Q-Lab Weathering Research Service
1005 S.W. 18 Avenue
P. O. Box 349490
Homestead, FL 33034

TEST CERTIFICATE

Laboratory Testing

September 6, 2011

Test Number: DLU-0001

Company: Duralock (UK) Ltd.

Address: 6A Enstone Business Park
Enstone, Chipping Norton, Oxfordshire, OX7 4NP
UNITED KINGDOM

Attention: Mr. Jeremy Seel

Your Reference: Credit card

No. of Specimens: 6 plastic parts

Specimen Identification:
2000 Hours:
HL108HGR-W/TW, 7
HL108HGR-W/TW, 8
HL108HGR-W/TW, 9
DL150P-TW, 7
DL150P-TW, 8
DL150P-TW, 9

Test Method: ISO 4892-3:2006 Method A, Cycle 1

Deviations: None

Exposure Date: June 2, 2011

Completion Date: September 6, 2011

Exposure Duration: 2000 hours

Exposure Type: Accelerated weathering
UVA 340 lamps, 0.76 W/m² (v2.0 calibration), 8 hours UV light @ 60°C,
4 hours condensation @ 50°C

Test Equipment Used: QUV Weathering Tester Model se

By: _____
Susan Manchester
Laboratory Technician

Approved By: _____
Thomas Allie
Laboratory Manager



INSTRUMENTAL COLOR REPORT

Q-Lab Weathering Research Service

This inspection report contains only findings and results arrived at after employing the specific test procedures listed in the Test Confirmation. It does not constitute a recommendation for, endorsement of, or certification of the product or material tested. Q-Lab Weathering Research Service makes no warranty, expressed or implied, except that the test has been performed, and a report prepared, based upon the specimen or specimens furnished by the client. Extrapolation of data from the specimen or specimens relating to the batch or lot from which it was obtained may not correlate and should be interpreted accordingly with extreme caution. We assume no responsibility for variations in quality, composition, appearance, performance, or other feature of similar subject matter produced by other persons or under conditions over which we have no control. This report shall not be reproduced except in full without the written approval of Q-Lab Weathering Research Service.

CLIENT: Duralock (UK) Ltd
ADDRESS: 6A Enstone Business Park
Chipping Norton, Oxfordshire OX7 4NP
UNITED KINGDOM

ATTN: Mr. Jeremy Seel

YOUR REFERENCE: Credit Card

CONTENTS: Cover Sheet: Page 1
Report: Page 2

Inspected By: Marie Jones

Approved By: Susan C. Manchester

TEST NO: DLU-1
REPORT NO: 4
DATE: 6 September 2011
DATE EXPOSED: 2 June 2011
DURATION: 2000 hours
TYPE: Accelerated weathering
SPECIMENS: 6 plastic parts

NOTES:
Please refer to the legend on our website located at www.myweathertest.com for an explanation of the values and scales used in this report.

COLOR DATA INFORMATION:
INSTRUMENT: X-Rite Color i7 (d/8° sphere)
COLOR SCALE: CIE L*a*b*
ILLUMINANT: D65
OBSERVER: 10°
SPEC. IN/OUT: Included



INSTRUMENTAL COLOR REPORT

Q-Lab Weathering Research Service

TEST NO: DLU-1

REPORT NO: 4

DATE: 6 September 2011

| Specimen ID | Original | | | Present | | | Difference | | | Delta | | |
|-----------------|----------|-------|------|---------|-------|------|------------|-------|------|-------|------|-------|
| | L* | a* | b* | L* | a* | b* | L* | a* | b* | E* | C* | H* |
| HL108HGR-W/TW,7 | 94.15 | -0.97 | 1.70 | 92.87 | -0.73 | 8.03 | -1.28 | 0.24 | 6.33 | 6.46 | 6.11 | -1.69 |
| HL108HGR-W/TW,8 | 94.28 | -0.93 | 1.55 | 92.56 | -0.42 | 8.58 | -1.72 | 0.51 | 7.03 | 7.26 | 6.78 | -1.92 |
| HL108HGR-W/TW,9 | 94.24 | -0.92 | 1.57 | 93.10 | -0.81 | 7.82 | -1.14 | 0.11 | 6.25 | 6.35 | 6.04 | -1.60 |
| DL150P-TW,7 | 92.53 | -0.72 | 1.28 | 90.96 | -0.72 | 9.12 | -1.57 | 0.00 | 7.84 | 8.00 | 7.68 | -1.58 |
| DL150P-TW,8 | 92.43 | -0.72 | 1.29 | 90.97 | -0.76 | 8.93 | -1.46 | -0.04 | 7.64 | 7.78 | 7.48 | -1.53 |
| DL150P-TW,9 | 92.78 | -0.72 | 1.47 | 91.55 | -0.86 | 8.52 | -1.23 | -0.14 | 7.05 | 7.16 | 6.93 | -1.32 |

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM
NORTHERN CALIFORNIA OFF TRACK WAGERING, INC. (NCOTWINC) TO CONTINUE
THE MODIFICATION OF THE DISTRIBUTION OF MARKET ACCESS FEES FROM
ADVANCE DEPOSIT WAGERING (ADW) AT A RATE OF 2.95 AS PERMITTED UNDER
BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E) FOR WAGERING
CONDUCTED BY THOROUGHBRED ASSOCIATIONS IN THE NORTHERN ZONE
DURING CALENDAR YEAR 2016

Regular Board Meeting
December 17, 2015

ISSUE

Northern California Off Track Wagering, Inc. (NCOTWINC) is seeking an approval of an agreement between Pacific Racing Association and Thoroughbred Owners of California (TOC) for the period January 1, 2016 through December 31, 2016. The agreement provides for a distribution of market access fees from advance deposit wagering (ADW) at a rate of 2.9 % as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the Northern Zone.

ANALYSIS

In December 2014 the Board approved a request from NCOTWINC for an agreement between PRA and TOC for the period January 1, 2015 through December 31, 2015. The agreement provided for a distribution of market access fees from ADW at a rate of 2.9 % as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the Northern Zone. NCOTWINC is seeking an approval of an agreement between Pacific Racing Association and Thoroughbred Owners of California for the period January 1, 2016 through December 31, 2016. The agreement provides for a distribution of market access fees from advance deposit wagering (ADW) at a rate of 2.9 % as permitted under Business and Professions Code section 19604(f)(5)(E) for wagering conducted by thoroughbred associations in the Northern Zone. NCOTWINC is not requesting an increase pursuant to Business and Professions Code section 19605.7(a)(2)(A) for brick and mortar simulcast wagering in the northern zone.

BACKGROUND

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from a NCOTWINC representative.

Northern California

Phone: 925-307-7040



Off-Track Wagering, Inc.

Fax: 925-560-0522

7950 Dublin Blvd., Suite 214
Dublin, California 94568

December 3, 2015

Submitted to the CHRB for the December 17th, 2015 meeting agenda:

Pacific Racing Association (PRA) and the Thoroughbred Owners of California (TOC), parties to an existing agreement (which expires December 31, 2015) approved by the CHRB in December 2014, are seeking approval of a similar agreement for the period January 1 through December 31, 2016. Additional funding to Northern California Off Track Wagering, Inc. (NCOTWINC) is necessary to cover the pari-mutuel and simulcast costs incurred in the operation of brick and mortar simulcast wagering in California. Such expenses include the cost of labor for pari-mutuel clerks and charges for totalizator, data lines, signal dissemination and similar costs.

The new agreement between PRA and TOC provides for a distribution to NCOTWINC of 2.9% of ADW wagers generated in the northern zone. The modification of the distribution of market access fees from ADW is permitted under Business and Professions Code section 19604(f)(5)(E). This is the same rate for ADW that was used in 2015.

We are not, in the current agreement, requesting an increase Pursuant to Business and Professions Code section 19605.7(a)(2)(A) for brick and mortar simulcast wagering in the northern zone.

If the funding provided by the agreement is in excess of the actual pari-mutuel/simulcast costs, the excess will be split between track and purse commissions.

2016 Expense Fund Agreement

This Agreement is entered into as of this 2nd day of December, 2015 by and between Pacific Racing Association ("PRA") and the Thoroughbred Owners of California ("TOC"), with reference to that certain 2015 Expense Fund Agreement among the parties hereto and the need for terms of such agreement to be renewed:

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from ADW placed on all live and imported races hosted by PRA shall be maintained by the creation of an additional deduction for a distribution therefrom based upon 2.9% of handle in the Northern Zone, that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW Northern Zone shall be payable to NCOTWINC.
2. The percentage distributable to NCOTWINC pursuant to Section 19605.7(a)(2)(A) shall remain at 2.50%.
3. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by PRA and modification of the percentage distributable under Section 19605.7(a)(2)(A).
4. Subject to the requisite approval of the CHRB, the modification of the percentage in Section 19605.7(a)(2)(A) as set forth in 2 above shall be effective January 1, 2016 as shall the deduction from ADW set forth in 1 above.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the Incentive fund administered by the California Thoroughbred Breeders Association shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by PRA. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Terms of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. PRA and TOC agree to redistribute any funds accumulated in excess of the expense back to purses and PRA Commissions, 50% to each. Such redistribution will be made every six months beginning June, 30 2016. Any redistribution will be made by NCOTWINC within 30 days following the schedule set forth above. A full accounting of any redistribution or deficit will be provided to PRA, TOC, and the NCOTWINC Board on the same timetable.
8. PRA and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and NCOTWINC.

Thoroughbred Owners of California

By: 

 Mr. Richard Scheidt

Pacific Racing Association

By: 

 Mr. Calvin Rainey, Vice President &
 General Manager

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST
FROM SOUTHERN CALIFORNIA OFF TRACK WAGERING INC. (SCOTWINC) TO
CONTINUE THE MODIFICATION OF THE DISTRIBUTION OF MARKET ACCESS FEES
FROM ADVANCE DEPOSIT WAGERING (ADW) AT A RATE OF 2.9% AS PERMITTED
UNDER BUSINESS AND PROFESSIONS CODE SECTION 19604(F)(5)(E) FOR
WAGERING CONDUCTED BY THOROUGHBRED ASSOCIATIONS IN THE SOUTHERN
ZONE DURING CALENDAR YEAR 2016

Regular Board Meeting
December 17, 2015

ISSUE

Southern California Off Track Wagering, Inc. (SCOTWINC) is requesting approval of an agreement under Business and Professions Code section 19604(f)(5)(E) for calendar year 2016 which will continue modification of market access fee distributions for advance deposit wagering (ADW) wagers placed in the Central and Southern zones on races hosted by the signatory host tracks. The parties to the agreement include Thoroughbred Owners of California, California Thoroughbred Breeders Association and all Southern California thoroughbred host tracks scheduled to conduct racing in 2016. SCOTWINC provides that any funding in excess of simulcast costs would be split between track and purse commissions.

ANALYSIS

In December 2014, the Board approved an ADW Expense Fund Agreement covering calendar year 2015 which provided for a distribution to SCOTWINC from market access fees in the amount of 2.9% of Southern California ADW wagering hosted by Southern California Thoroughbred Associations. The parties to that Agreement, which included the Thoroughbred Owners of California, California Thoroughbred Breeders Association and all Southern California thoroughbred host tracks, now seek approval for a 2016 calendar year Agreement containing the same provisions as the 2015 Agreement. SCOTWINC provides that the additional funding is needed to cover simulcast costs, such as totalizator, uplink, and decoder services, pari-mutuel labor, etc. incurred in operating the brick and mortar satellite network. Any funding provided by the Agreement in excess of simulcast costs will be split between track and purse commissions.

BACKGROUND

Business and Professions Code section 19604(f)(5)(E) provides that notwithstanding any provision of this section to the contrary, the distribution of market access fees pursuant to this subparagraph may be altered upon the approval of the Board, in accordance with an agreement signed by all parties whose distributions would be affected.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from a SCOTWINC representative.

2016 Expense Fund Agreement

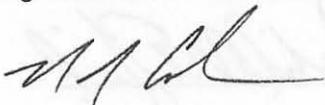
This Agreement is entered into as of this 4th day of December, 2015 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.90% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to SCOTWINC.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2016.
4. For purposes of matching the applicable revenue and expenses of SCOTWINC, the Spring and Fall Thoroughbred meets of Los Alamitos shall be considered a single meet, as shall be the case with respect to the Summer and Fall meets of Del Mar and the Spring, Fall and Winter meets of Santa Anita.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Term of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and SCOTWINC.

Thoroughbred Owners of California

Los Alamitos Racing Association


 By: _____
 Nick Coukos, President

By: _____

Del Mar Thoroughbred Club

Los Angeles Turf Club

By: _____

By: _____

California Thoroughbred Breeders Association

By: _____

2016 Expense Fund Agreement

This Agreement is entered into as of this 2nd day of December 2015 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

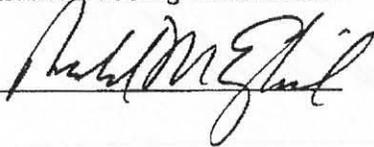
IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.90% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to SCOTWINC.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2016.
4. For purposes of matching the applicable revenue and expenses of SCOTWINC, the Spring and Fall Thoroughbred meets of Los Alamitos shall be considered a single meet, as shall be the case with respect to the Summer and Fall meets of Del Mar and the Spring, Fall and Winter meets of Santa Anita.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Term of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and SCOTWINC.

Thoroughbred Owners of California

Los Alamitos Racing Association

By: _____

By: 

Del Mar Thoroughbred Club

Los Angeles Turf Club

By: _____

By: _____

California Thoroughbred Breeders Association

By: _____

2016 Expense Fund Agreement

This Agreement is entered into as of this ____ day of _____, 2015 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.90% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to SCOTWINC.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2016.
4. For purposes of matching the applicable revenue and expenses of SCOTWINC, the Spring and Fall Thoroughbred meets of Los Alamitos shall be considered a single meet, as shall be the case with respect to the Summer and Fall meets of Del Mar and the Spring, Fall and Winter meets of Santa Anita.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Term of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and SCOTWINC.

Thoroughbred Owners of California

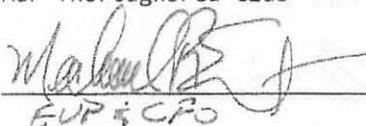
Los Alamitos Racing Association

By: _____

By: _____

Del Mar Thoroughbred Club

Los Angeles Turf Club

By: 
EVP & CFO

By: _____

California Thoroughbred Breeders Association

By: _____

2016 Expense Fund Agreement

This Agreement is entered into as of this 3rd day of Dec., 2015 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.90% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to SCOTWINC.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2016.
4. For purposes of matching the applicable revenue and expenses of SCOTWINC, the Spring and Fall Thoroughbred meets of Los Alamitos shall be considered a single meet, as shall be the case with respect to the Summer and Fall meets of Del Mar and the Spring, Fall and Winter meets of Santa Anita.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Term of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and SCOTWINC.

Thoroughbred Owners of California

By: _____

Los Alamitos Racing Association

By: _____

Del Mar Thoroughbred Club

By: _____

Los Angeles Turf Club

By:  _____

California Thoroughbred Breeders Association

By: _____

2016 Expense Fund Agreement

This Agreement is entered into as of this ____ day of _____, 2015 by and between the undersigned racing associations ("the Signatory Racing Associations") the Thoroughbred Owners of California ("TOC") and the California Thoroughbred Breeders Association ("CTBA").

IT IS HEREBY AGREED AS FOLLOWS:

1. The distribution of Market Access fees from Advanced Deposit Wagering, ("ADW") placed on all live and imported races hosted by the Signatory Racing Associations shall be altered by the creation of an additional deduction for a distribution therefrom based upon 2.90% of handle in the Central and Southern Zones that would otherwise be payable as thoroughbred purses and commissions, and such deduction from ADW in the Central and Southern Zones shall be payable to SCOTWINC.
2. The parties shall immediately file this Agreement with the CHRB for the purposes of securing its approval of the deduction contemplated from market access fees placed on races hosted by the Signatory Racing Associations.
3. Subject to the requisite approval of the CHRB, the deduction from ADW set forth in #1 above shall be effective January 1, 2016.
4. For purposes of matching the applicable revenue and expenses of SCOTWINC, the Spring and Fall Thoroughbred meets of Los Alamitos shall be considered a single meet, as shall be the case with respect to the Summer and Fall meets of Del Mar and the Spring, Fall and Winter meets of Santa Anita.
5. Notwithstanding the distributions from the ADW Deduction set forth hereinabove, the distribution to the incentive fund administered by the CTBA shall be calculated at the rate of 0.463% of ADW handle in California on races hosted by the Signatory Racing Associations. In addition to the distributions set forth above, there shall be a distribution from the ADW Deduction in order to pay administrative expenses that would otherwise have been distributed to TOC, CTT, and the Backstretch Workers Pension Plan.
6. The Term of this Agreement shall be effective from January 1, 2016 to December 31, 2016.
7. The Signatory Racing Associations and TOC agree to jointly continue to work toward improving the productivity and efficiency of the off-track satellites and SCOTWINC.

Thoroughbred Owners of California

Los Alamitos Racing Association

By: _____

By: _____

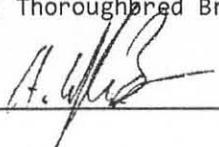
Del Mar Thoroughbred Club

Los Angeles Turf Club

By: _____

By: _____

California Thoroughbred Breeders Association

By:  _____

STAFF ANALYSIS

PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1658, VESTING OF TITLE TO CLAIMED HORSE, TO PROVIDE THAT A CLAIM SHALL BE VOIDED BY THE STEWARDS IF THE RACING OR OFFICIAL VETERINARIAN DETERMINES THE HORSE WILL BE PLACED ON THE VETERINARIAN'S LIST AS BLED

Regular Board Meeting
December 17, 2015

ISSUE

A claiming race provides an opportunity to unload a horse that has been found to have exercise induced pulmonary hemorrhage (EIPH), but has not yet developed epistaxis (bleeding at the nostrils). Such an action does not address the health of the horse; rather, it passes the issue to the new owner. Potential claimants cannot examine the horse before putting in a claim, so it may not be easy to find out whether a horse has previously bled. Claiming a horse that displays epistaxis penalizes the new owner, as the horse would be ineligible to race as provided under Rule 1845, Authorized Bleeder Medicaiton. Rule 1845 provides that if the official veterinarian observes a horse bleeding externally from one or both nostrils during or after a race, and determines such bleeding is a direct result of EIPH, the horse shall be ineligible to race for periods of time ranging from 14 days if a first incident, to being barred from racing for lifetime if the bleeding is the fourth observed incident within a 365 day period. The claimant has no way of knowing if the horse has previously been placed on the Veterinarian's List due to external bleeding as a result of EIPH. To protect the interests of the claimant and horse, the Board has proposed to amend Rule 1658 to add "bled" as a condition that will cause a claim to be voided.

ANALYSIS

The proposed amendment to Rule 1658 provide that a claim will be voided by the stewards if the racing veterinarian or the official veterinarian determines that the horse will be placed on the Veterinarian's List as "bled." Subsection 1658(b)(2) has been amended to add "bled" as a condition that will cause a claim to be voided. A new subsection 1658(b)(2)(A) defines "bled" as the racing or official veterinarian observing a horse bleeding from one or both nostrils during or after the race, and determining that the bleeding is a direct result of EIPH.

The Equine Medical Director has provided that the total for all running breeds placed on the Veterinarian's List as "bled" through 12/01/15 is 41 horses. Using the Del Mar meetings as a reference, 5.5 percent of all starters are claimed. Extrapolating from the 41 horses placed on the Veterinarian's List, about 2-3 claims on average per year may be voided due to EIPH.

BACKGROUND

Business and Professions Code section 19420 provides that the Board has jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board include adjudication of controversies arising from the enforcement of those laws and regulations dealing with horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California. Rule 1658, Vesting of Title to Claimed Horse, provides the conditions under which a claim will be voided. The proposal to amend Rule 1658 adds bleeding due to EIPH to this list.

The 45-day public comment period for the proposed amendment to Rule 1658 ended on November 16, 2015. No comments were received. At the November 2015 hearing for adoption, the Board determined the item would be continued at its December 2015 Regular Meeting.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES.
PROPOSED AMENDMENT OF
RULE 1658. VESTING OF TITLE TO CLAIMED HORSE.

Regular Board Meeting
December 17, 2015

1658. Vesting of Title to Claimed Horse.

(a) Title to a horse which is claimed shall be vested in the successful claimant from the time the field has been dispatched from the starting gate and the horse becomes a starter; and said successful claimant becomes the owner of the horse unless voided by the stewards under the provisions of this article. Only a horse which is officially a starter in the race may be claimed. A subsequent disqualification of the horse by order of the stewards or the Board shall have no effect upon the claim.

(b) The stewards shall void the claim and return the horse to the original owner if:

(1) The horse suffers a fatality during the running of the race or dies or is euthanized before leaving the track, or

(2) The racing or official veterinarian determines the horse will be placed on the Veterinarian's List as bled, unsound or lame before the horse is released to the successful claimant.

(A) "Bled" is defined as the racing or official veterinarian observing a horse bleeding from one or both nostrils during or after the race, and determining that such bleeding is a direct result of exercise induced pulmonary hemorrhage.

(c) The stewards shall not void the claim if, prior to the race in which the horse is claimed, the claimant elects to claim the horse regardless of whether the racing or official veterinarian determines the horse will be placed on the Veterinarian's List as unsound or lame.

(1) An election made under subsection (c) of this rule shall be entered on the form CHRB-11(Rev. 8/13) Agreement to Claim, in accordance with section 1656 of this article.

(d) The claim shall be void if the race is called off, canceled, or declared no contest in accordance with Rule 1544 of this division.

Authority: Sections 19420 and 19440,
Business and Professions Code.

Reference: Section 19562,
Business and Professions Code.

DISCUSSION BY THE BOARD REGARDING FANTASY SPORTS, INCLUDING
PERSUADING PARTICIPANTS TO BECOME HORSE RACE PLAYERS

Regular Board Meeting
December 17, 2015

BACKGROUND

Fantasy Sports Generally

A “fantasy sport” is a game where participants act as fictitious team owners to build an imaginary or virtual team of real players from a professional sport that then compete against other fantasy teams. In general, scores are determined by converting performance statistics of each real player on a fantasy team into points that are compiled and totaled according to a roster selected by the fantasy team owner. There is also typically an ability to trade, cut, and sign players over the course of a season, like a real sports owner. Fantasy sports fans are considered an ideal demographic for advertisers and sports leagues because many fantasy players will watch a game even if it is no longer competitive, so long as a member of their team can still score them points in their fantasy game. Furthermore, while a traditional sports fan might only follow and watch a single team, fantasy sports fans tend to watch more games, since their players are often selected from a variety of real teams. According to one article, non-betting, yearly-based fantasy sports users have grown 25% since 2011, totaling an estimated 51.8 million players in the US and Canada in 2015. Another source estimates that Americans will spend approximately \$15 billion playing fantasy sports games this year, and that the economic value of all tangible and intangible fantasy sports market activity (e.g. value of time spent on fantasy sports, costs of advertising, actual expenditures, etc.) totals somewhere between \$40 billion and \$70 billion annually.

Daily Fantasy Sports

In recent years, a narrower iteration of the fantasy sports concept called “Daily Fantasy Sports” (DFS) has enjoyed immense growth in the United States. DFS games are single-day contests that have the participants draft teams of players under some sort of guidelines (the most popular being a “salary cap” game, where the player has to pick their team within the confines of a fictional budget for athlete salaries). Like all fantasy sports, the participant with the most statistical performance points wins the game. The major difference between traditional season-long fantasy sports games and DFS games though is the ability to adjust teams over the course of the season by trading, cutting, signing, and benching players. Due to the short duration of DFS games, players are often given only a single opportunity to draft their team, locking in their selections at the beginning of the first game of the day. Ultimately, this eliminates a player’s ability to make ongoing strategic decisions during a contest, and instead requires a single effort to draft a winning team.

Although only approximately six years old, DFS games have exploded in popularity. While currently only a small subset of traditional fantasy sports players participate in DFS games, one research company estimates that DFS games will generate \$2.6 billion in entry fees in 2015, and continue to grow 41% annually, reaching \$14.4 billion by 2020.

The market leaders of pay-to-play DFS games in the United States are Draft Kings and FanDuel. With financial backing coming from major media companies, professional sports leagues, and other venture capitalists, both companies have recently raised over \$350 million each to help fund their enterprises, which are now both valued in excess of \$1 billion. Various sources indicate these companies are anticipated to accrue up to \$200 million in revenue this year alone while still paying out a combined \$3 billion in prizes.

Legality of Fantasy Sports

Given the rapid expansion of the fantasy sports industry, these contests—especially DFS games—have received heightened legal scrutiny in recent months. Although a federal exception exists for the operation of fantasy sports contests under the Unlawful Internet Gaming Enforcement Act of 2006 (UIGEA), individual states have begun to consider bans and regulations on such games.

Washington, Montana, Iowa, Arizona, and Louisiana already have such strict laws in place when it comes to online contests that almost none of the major DFS companies operate in those jurisdictions. Furthermore, Gaming Commissions and Attorneys General in New York, Nevada, and Michigan have asserted that DFS contests are illegal under their states' laws. Other states, such as South Dakota and Massachusetts, have announced that they are in the process of reviewing the legality of such games, with legal commentators suggesting that DFS games could be presently unlawful in as many as twelve states. These inquiries come amid a recent “insider-trading” scandal involving a Draft Kings employee winning a \$350,000 payout on a FanDuel contest.

In California, Fantasy Sports and DFS games are operating with little to no restraint. Because it is considered a “skill-based” game, DFS operators have been successful in running their websites as legal “contests,” as opposed to illegal lotteries. Under Penal Code section 319, a “lottery” is defined as a scheme containing the elements of (1) a prize, (2) distribution by chance, and (3) consideration. A fantasy sports contest with an entry fee and a prize automatically satisfies the first and third elements, and therefore the key issue is whether or not distribution of that prize is determined predominantly by skill or chance. Known in California courts as the “dominating factor test,” the line between skill and chance remains murky at best. Nevertheless, DFS games have yet to be challenged in California as an illegal lottery, although efforts in the legislature could substantially alter the operation of these games moving forward.

In September 2015, Assemblymember Adam Gray introduced legislation in California that would establish state regulation and licensure of fantasy sports games. AB 1437 would enact the “Internet Fantasy Sports Games Consumer Protection Act,” which would require a person or entity to apply for, and receive, a license from an overseeing department prior to offering an internet fantasy sports game for play in California. The bill would also require certain internet security standards and the payment of annual fees. Finally, the bill would place restrictions on fantasy sports games very similar to those laid out in UIGEA. AB 1437 is a two-year bill that was introduced in February 2015, and amended to its current form in September 2015. It is slated to next be heard by the Assembly Committee on Governmental Organization.

Stronach Group Lawsuit against Derby Wars

On December 2, 2015, five racetracks owned by the Stronach Group filed a lawsuit against a fantasy horse racing website called "DerbyWars," which operates what it calls "horse racing fantasy tournaments" that offer cash prizes to contestants. The complaint, which was filed in the United States District Court, Central District of California, alleges violations of the Interstate Horseracing Act (IHA), the Racketeering Influence and Corruption Act (RICO) and California Business and Professions Code, as well as inflicts, "intentional interference with prospective economic advantage." These allegations are based on the fact that DerbyWars runs its tournaments on the results of actual horse races occurring at licensed race tracks across the county. The plaintiff racing associations are seeking monetary damages, restitution for profits, injunctive relief, and attorney's fees.

ANALYSIS

Fantasy Horse Racing

While the majority of fantasy sports games and contests revolve around major team sports such as basketball, football, and baseball, the concept has managed to permeate nearly every other type of sport in the United States as well, including horse racing.

Fantasy horse racing (FHR) has taken on a wide variety of formats depending on the provider. Some FHR leagues try hard to mimic traditional fantasy sports by allowing the player to choose a "team" of horses, jockeys, and trainers. The player then selects who they want placed in their "starting gate" each week, and at the end of the league's season, the player who has accumulated the most fictional purse earnings wins their league. Other websites allow players to bet fantasy money on the outcome of weekly races. At the end of the season, the players with the highest number of winning fantasy wagers receive various prizes.

The key component of many of these websites, however, is that the player does not have to pay money to join or participate. Whether it is for entertainment or promotional purposes, such leagues do not likely violate any federal or state gambling statutes because they do not actually require the player to pay any consideration. DerbyWars, however, as mentioned above, does require consideration to participate in its "tournaments," and therefore raises a number of questions about legality under various state and federal laws.

Effect of Fantasy Sports on Horse Racing

With fantasy sports continuing to grow in popularity in the United States, the horse racing industry is tasked with understanding and responding to the potential impacts this will have on California racing. Media commentators have already been quick to acknowledge the strong similarities between DFS games and horse racing's handicapping, both of which involve deep analysis of a variety of statistical and physical factors to determine which player (or horse) is likely to perform the best. Accordingly, many have come to the conclusion that DFS contestants would make ideal horseplayers given the comparable skills required for successful wagering. This concept is encouraged by the fact that the average fantasy sports player is a 34 to 37 year-old Caucasian male—a demographic that is otherwise waning in the horse racing industry.

Until these younger DFS players turn their sights towards racing though, the fantasy sports market will likely continue to siphon this demographic's interest and money away from the horse racing industry. This is especially likely considering that DFS "takeout" makes up only about 10% of entry fees, which is more than half of horse racing's average takeout.

Nevertheless, there still lies some uncertainty ahead for fantasy sports games in general. With regulation of the industry appearing more and more imminent, the restrictions that state and federal lawmakers put on this market could vary widely. As such, horse racing's stakeholders may be inclined to involve themselves in this regulatory process to protect and best serve the interests of horse racing in California.

RECOMMENDATION

This item is presented for Board discussion.

UPDATED...GAME ON: STRONACH TRACKS SUE TOURNAMENT OPERATOR DERBY WARS

by Ray Paulick | December 3, 2015 9:57 am

Five racetracks owned by the Stronach Group have filed a lawsuit against DerbyWars[1], a website that operates what it calls "horse racing fantasy tournaments" offering cash prizes to contestants. The suit alleges DerbyWars contests are a violation of the Interstate Horseracing Act (IHA), the Racketeering Influence and Corruption Act (RICO) and California Business and Professions Code and inflict "intentional interference with prospective economic advantage."

The suit was filed on Wednesday in United States District Court in the Central District of California. No individuals were named as owners of DerbyWars, which is operated by the Delaware limited liability company Horse Racing Labs (also known as Immerse, LLC) that is based in Louisville. According to the DerbyWars website, HorseRacingNation.com[2] founders Mark Midland and Michael Shutty created DerbyWars in 2011.

Midland talked about DerbyWars in an interview[3] with the Horseplayers Association of North America in 2014. DerbyWars has affiliations with the National Thoroughbred Racing Association and the NHC tour as host of qualifying tournaments throughout the year.

The tracks listed as plaintiffs are Los Angeles Turf Club (Santa Anita), Pacific Racing Association (Golden Gate Fields, Gulfstream Park, Maryland Jockey Club (Pimlico and Laurel) and Oregon Racing (Portland Meadows).

The complaint alleges that DerbyWars claims to be a "skill-based fantasy league competition based on professional horse racing, where winners are awarded based on their abilities to skillfully pick horses and compete against other players over a series of races. ... Prizes will be awarded to players who are most successful in selecting winning horses in actual horse races at actual licensed tracks. ... Each contest will have a fixed entry fee, a fixed prize pool and a maximum number of entries."

Winning selections are awarded points, and the player with the highest number of points wins a cash prize, according to the DerbyWars site and the complaint. "DerbyWars keeps a percentage of the tournament 'pool' for itself, and none of the money goes to the race meets where the races used in the contest were run," the suit alleges.

"This is indisputably a form of wagering on the results of horse races," the suit claims, "which is not in compliance with federal or state laws because the consents required under the IHA have not been given and DerbyWars does not hold a license permitting it to accept wagers from California, Florida, Maryland or Oregon."

Under the Interstate Horseracing Act, the complaint states, in order to accept an interstate off-track wager on horse racing, consent must be acquired from "1) the host racing association (and its respective horsemen's group), and 2) the host racing commission, and 3) the off-track racing commission."

The complaint says DerbyWars does not fit as the kind of fantasy sport allowed as an exception to the Unlawful Internet Gambling Enforcement Act (UIGEA) passed by the U.S. Congress in 2006 because "the winning contestant is determined by being the player who is 'most successful in selecting winning horses in actual races at actual licensed tracks' which takes the player out of the fantasy sports carve out found in the UIGEA which does not apply if the winning outcome is based on 'any performance or performances of any single real-world team or any combination of such teams, or solely on any single performance of an individual athlete in any single real-world sporting or other event.'"

The UIGEA law has been at the center of controversy recently because of the explosive growth of Daily Fantasy Sports involving football, baseball and other sports. Draft Kings and FanDuel which operate Daily Fantasy Sports tournaments are the subject of multiple legal challenges.

The plaintiffs are seeking injunctive relief and monetary damages, restitution of profits, punitive and exemplary damage, along with attorney's fees.

DerbyWars responded to the lawsuit Thursday afternoon with the following statement: "We are in receipt of the Stronach Group's lawsuit but find the claims without merit and our attorneys are handling this matter.

"With DerbyWars, we have seen first-hand the ability of contests to create new fans and re-engage old ones. Horse Racing Labs, the parent company of DerbyWars, was founded to create new ways to grow horse racing.

"I have dedicated my entire career to advance the sport of horse racing," said Mark Midland, founder and CEO of Horse Racing Labs. "This passion and love for the sport is shared by our entire team."

"As the industry has acknowledged time and time again, the sport is in dire need of new ideas and innovation to ensure growth for the future.

"More than 70 million Americans are participating in fantasy sports, and contests are an opportunity that needs to be explored for horse racing. Horse racing has already seen promising results from the \$2.5-million National Handicapping Tournament and other contests which serve well as marketing tools for the sport. The claims in the lawsuit serve to call into question all contests in horse racing.

"DerbyWars is enthusiastic about the track partnerships in place and we look forward to working with more tracks over the coming months in new and exciting ways that create wins for the tracks, fans and the entire sport.

"DerbyWars is operated by parent company Horse Racing Labs, which has a proven track record for innovation and fan-based initiatives. Horse Racing Labs (formerly Immerse, LLC) also owns and operates HorseRacingNation, a leading horse racing news & community site launched in 2009. The company is also launching HorseRacingUniversity.com, the industry's first fan-education site in January 2016."

To read the complaint, [click here](#)[4].

Endnotes:

1. DerbyWars: <https://www.derbywars.com/>
2. HorseRacingNation.com: <http://www.horseracingnation.com/>
3. in an interview: <http://blog.horseplayersassociation.org/2014/03/midland-discusses-derby-wars.html>
4. here: <http://www.paulickreport.com/wp-content/uploads/2015/12/DerbyWars-Complaint.pdf>

Source URL: <http://www.paulickreport.com/news/the-biz/game-on-stronach-tracks-sue-tournament-operator-derby-wars/>

Copyright ©2015 **The Paulick Report** unless otherwise noted.
