

CALIFORNIA HORSE RACING BOARD

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REGULAR MEETING

of the **California Horse Racing Board (CHRB)** will be held on **Thursday, August 25, 2016**, commencing at **9:30 a.m.**, at the **Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California**. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Approval of the minutes of July 14, 2016.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board on the **nomination of members to the Board of Directors of the California Thoroughbred Horsemen's Foundation, Inc.**
5. Discussion and action by the Board on the **update from AmTote concerning the tote systems' operation to date since inception.**
6. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles County Fair (F), at Los Alamitos**, commencing September 7, 2016 through September 27, 2016, inclusive.
7. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club II (T), at Santa Anita**, commencing September 28, 2016 through November 8, 2016, inclusive.
8. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T), at Golden Gate Fields**, commencing October 19, 2016 through December 20, 2016, inclusive.

9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H), at Cal Expo**, commencing October 1, 2016 through December 24, 2016, inclusive.
10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Big Fresno Fair (F), at Fresno**, commencing October 5, 2016 through October 18, 2016, inclusive.
11. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1734, Whipping**, to curtail and prevent excessive use of the whip in harness racing. (Note: this concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
12. Public hearing and action by the Board regarding the **proposed amendment to CHRB Rule 1843.3, Penalties for Medication Violations**, to adjust the penalties for medication violations. (Note: this concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
13. Report from the **Medication and Track Safety Committee**.
14. Report from the **Legislative, Legal and Regulations Committee**.
15. Report from the **Race Dates Committee**.
16. Discussion and action by the Board regarding the **allocation of race dates beginning year 2017**.
17. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Chuck Winner, Chairman
Richard Rosenberg, Vice Chairman
Madeline Auerbach, Member
Steve Beneto, Member
Jesse H. Choper, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

PROCEEDINGS of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place**, 2260 Jimmy Durante Blvd., Del Mar, California, on **July 14, 2016**.

Present: Chuck Winner, Chairman
Richard Rosenberg, Vice-Chairman
Madeline Auerbach, Member
Steve Beneto, Member
George Krikorian, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
Robert Miller, General Counsel

APPROVAL OF THE MINUTES OF JUNE 16, 2016

Chairman Winner asked for approval of the minutes of the Regular Meeting of June 16, 2016. Vice-Chairman Rosenberg **motioned** to approve the minutes. Commissioner Beneto **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None. Motion carried.

EXECUTIVE DIRECTOR'S REPORT.

Executive Director Rick Baedeker reported that the Race Dates, Legislative, and Medication and Track Safety committees were scheduled to meet in August 2016. Executive Director Baedeker stated staff was working on concussion protocol for all California tracks. He said staff would determine the best protocol with the goal of achieving uniformity and consistency throughout California to protect jockeys and drivers. Executive Director Baedeker stated the annual Welfare and Safety Summit of the Horse, held in Lexington, Kentucky, was the best of its kind. He said the summit should be brought to the west coast. He stated an example of an informative presentation at the summit was a discussion regarding how dust affected the health and

performance of a racehorse, which he felt California could benefit from studying. Bill Kern, from WinStar Farm, discussed a history of equine influenza prior to 2013 that affected the stable area when new horses were shipped in. In 2013, a dust control protocol was implemented at WinStar Farm, which had not had a respiratory illness since. Executive Director Baedeker stated daytime handle was down 8.7 percent for the month of June 2016 compared to June 2015. He said nighttime handle decreased 10.3 percent, with an overall decrease of 8.8 percent for June 2016 compared to June 2015. He stated the Alameda County Fair at Pleasanton had an overall decrease in handle of 6.2 percent in 2016 compared to its 2015 fair race meeting, despite an increase in starters from 6.63 to 6.79.

PUBLIC COMMENT

Joanne Nor, concerned citizen, spoke about her concerns regarding the reporting of equine injury data on The Jockey Club's Equine Injury Database. She stated the Equine Injury Database should include fatality rates from heart failure and heart attack, and set a precedent with testing the occurrence of glyphosate levels. Dr. Rick Arthur, CHRB Equine Medical Director, stated the Equine Industry Database included all racing fatalities. Chairman Winner stated the Board had a different opinion on the information Ms. Nor presented and thanked Dr. Arthur for making the factual correction. Ms. Nor said she spoke with Mr. Ed Bowen, who was in charge of the database for the Jockey Club and he had said heart attacks were not included. Dr. Arthur stated he was on the committee that reviewed the data, and Ed Bowen was not on the committee, and he was mistaken; the database included all fatalities of any kind while racing. Bob Fletcher, Winner's Foundation, stated in February 2016, the Winner's Foundation reduced statewide staffing from five to three employees. He said the Winner's Foundation was prepared to reduce

the full-time case manager at Santa Anita racetrack to part-time status beginning January 1, 2017, and asked the CHRB track management, horsemen and other industry entities to be patient because the response time would be diminished due to the reduced hours. Executive Director Rick Baedeker stated the stewards relied heavily on the good work of the Winner's Foundation to help licensees with drug, alcohol or gambling problems. He said he hoped those in the industry with financial ability would recognize the importance of the foundation and fund it properly. Luis Jauregui, Safety Steward, stated a few years ago Santa Anita racetrack held a training day with local fire departments to determine the process for a multi-rider injury. In 2016, Del Mar performed a mock multi-rider injury drill at the racetrack with local ambulance services, including the Medevac helicopter. He stated it took six minutes from the emergency call for the helicopter to land on the Del Mar racetrack. It took four minutes for the helicopter to land in La Jolla from the racetrack; a very fast response. Kim Jacobson, Del Mar Thoroughbred Club (DMTC), stated DMTC had a solid working relationship with the outside agencies. Ms. Jacobson said the agencies had worked with DMTC to develop protocols, and this year, DMTC enhanced its multi-rider drill to make sure its procedures were comprehensive. DMTC set up a scenario of five riders who had fallen in a six-furlong race. Staff practiced their roles in emergency situations, working with the outside agencies who volunteered to help with the drill. The drill concluded with a debriefing to discuss what worked and what did not. Ms. Jacobson said the helicopter crew was thrilled to have the opportunity to land on the track and learn what the conditions would be like in the event of a real accident. The Medevac crew said it would not land the helicopter until all horses were cleared from the track, so there was discussion about how to move the horses quickly. If the helicopter arrived while horses were loose, the crew would still respond, but hover overhead until DMTC was ready. Commissioner Beneto asked if

DMTC had exit signs marked on the track rail so outside agencies knew where to go on the track. Mr. Jauregui said security escorted the outside agencies. Ms. Jacobson stated the outside agencies were provided with maps and directions, and upon arrival, security escorted them from the gate to the incident. Commissioner Beneto asked if it would be wise to change the rail color to denote which ones the agencies could go through. Ms. Jacobson said during the drill, the agencies were brought through a gate that was wide enough; it was not necessary to open the rail. Commissioner Auerbach stated it might be time for the Board to require all the associations to hold an annual drill like DMTC's multi-rider injury drill, unless it was impractical. Mr. Jauregui said he thought it was important to go through emergency protocols with local ambulance and fire departments. Ms. Jacobson stated DMTC staff went through protocols all the time, as it was important that staff knew how it was expected to respond. Learning from a live drill was superior to reading written procedures. Chairman Winner asked if Commissioner Auerbach's requirement for an annual emergency procedure drill could be made a policy or rule. Executive Director Baedeker said the requirement could be added to the race meet application. Sherwood Chillingworth, Oak Tree Racing Association (OTRA), stated OTRA gave the Winners Foundation \$50,000 in 2016 and pledged another \$50,000 for four years. He said since OTRA's beginning 40 years ago it had given away \$38 million dollars. He stated OTRA was supportive of many horseracing entities but it could not continue its support unless it had some means of making money, and it hoped there would be further discussions on the 2017 race dates.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR (F), AT LOS ALAMITOS, COMMENCING SEPTEMBER 7, 2016 THROUGH SEPTEMBER 27, 2016, INCLUSIVE.

This item was moved to the August 2016 Regular Board Meeting.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF) (F), AT PLEASANTON, (RACE MEETING TO BE CALLED "OAK TREE AT PLEASANTON") COMMENCING SEPTEMBER 21, 2016 THROUGH OCTOBER 4, 2016, INCLUSIVE.

Larry Swartzlander, California Authority of Racing Fairs (CARF), requested approval of the application for license to conduct a horse racing meeting of CARF at Pleasanton (to be called Oak Tree at Pleasanton) commencing September 21, 2016 through October 4, 2016. He said CARF planned to pay \$600,000 in overnight purses and have the same incentive program for ship-in horses as with the Alameda County Fair 2016 summer race meeting. Mr. Swartzlander stated the totalizator machines could accept cash or vouchers for the meet; a change from the 2016 summer meeting. He added the meet would have free admission daily. Commissioner Beneto asked if parking would be free. Mr. Swartzlander said parking would cost \$10.00. Mr. Swartzlander stated events were scheduled to give the meeting more of a fair atmosphere to entice the crowd with more than just horseracing. The events included an all day happy hour, \$2.00 beers, Singles Day, Family Fun Day, Latino Day and Craft Oktoberfest, among others. Vice-Chairman Rosenberg asked if the Pick 6 jackpot was going to be paid at the end of the individual meet or at the end of all the CARF 2016 fair meets. Mr. Swartzlander stated the payout would be the end of the Fresno County Fair race meeting. Vice-Chairman Rosenberg said there were complaints from the wagering public which thought the payout was for the end of the individual meet. He asked if CARF publicized that the payout occurred at the end of all fair meets. Mr. Swartzlander said there was a mindset that the jackpots would pay out at the end of a fair meet, but the Pick 6 jackpot was advertised to be paid out at the end of the final 2016 California fair meet. He said the confusion proved the advertising was not good enough, and more advertising was added. He stated new advertising included daily announcements on the

video monitor, an article in the Daily Racing Form and advertising on www.calracing.com. Commissioner Auerbach asked if the jackpot was handled the same way in 2015. Mr. Swartzlander said the purses were consolidated, but not the payout. Commissioner Beneto **motioned** to approve the CARF at Pleasanton application to conduct a horse race meeting commencing September 21, 2016 through October 4, 2016. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 28, 2016 THROUGH NOVEMBER 8, 2016, INCLUSIVE.

This item was moved to the August 2016 Regular Board Meeting.

DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM BARETT'S ON THE UPCOMING SALES REMAINING FOR THE BALANCE OF THE CALENDAR YEAR AND; ITS REQUEST FOR AUTHORIZATION OF THESE SALES, PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.

Executive Director Rick Baedeker said CHRB Rule 1807, Authorized Horse Sales, provides that a sales company must seek approval from the Board to hold a sale at one of the Board's inclosures. Board approval was needed in case an issue arose during a sale and the Board wanted to intervene. Kim Lloyd, Barretts, said the remaining sales schedule for 2016 began with Barretts' 2016 Paddock sale at Del Mar July 23, 2016; it was expected to start about a half an hour after the Del Mar Thoroughbred Club (DMTC) race meeting concluded. He stated the second sale was for yearlings on August 30, 2016. The final sale was scheduled for October and would have yearlings and horses of all racing ages, as well as some brood mares. Commissioner

Auerbach asked how many horses would be available at the paddock sale. Kim Jacobson, DMTC, said the sale would have 18 horses available. She stated DMTC had a stake filly in the sale that would generate interest, and a new horse that should peak interest as well. She said DMTC would continue working on getting more horses for the sale. Commissioner Solis asked if all the horses in the sale would be stabled at Del Mar, and if the two year olds were all coming straight from farms, or if they had been at the inclosure. He said it was concerning when young horses were brought in from farms because a lot of them arrived ill and could get the other horses stabled at Del Mar sick as well. He wanted to make sure the horses would be healthy. Mr. Lloyd said the horses had been at the Del Mar track or a training facility. Mr. Lloyd asked how often Barretts had to seek approval for its upcoming sales. Executive Director Baedeker stated annually would suffice, and to come back for Board approval for any changes in between. Commissioner Beneto **motioned** to approve the sales. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED NEW PLAN FOR STABLING AND VANNING IN NORTHERN CALIFORNIA FOR 2016 THOROUGHBRED RACES HELD AT GOLDEN GATE FIELDS.

Scott Daruty, Golden Gate Fields (GGF), stated GGF was requesting its stabling and vanning plan to be considered by the Board prior to its fall race meet application that would be heard at the August 2016 Regular Board meeting. He said GGF studied the inventory of race horses in Northern California and believed 1,300 to 1,350 horses would be available for racing in the fall. GGF would build additional stalls to have 1,500 on-site stalls available for thoroughbred racing at GGF. He stated GGF had discussions with the Thoroughbred Owners of California (TOC)

which requested that GGF have a ten percent cushion in the number of stalls available over and above the number of race horses GGF would expect at its meeting. A ten percent cushion for 1,340 horses would result in 1,500 stalls. He stated GGF would not list any auxiliary stabling facilities as part of its fall race meet application; GGF believed it would have enough stalls on-site to accommodate all of the race horses in Northern California. GGF was not commenting on whether or not Pleasanton should remain open. If individuals made other arrangements, and wanted to continue stabling their horses at Pleasanton, that was fine with GGF. GGF's point was that it did not believe it would need Pleasanton for its fall race meet and would not be requesting Pleasanton as an auxiliary facility, so it would not be paying for Pleasanton to remain open. He stated GGF did not wait to discuss the stabling issue with its application because it wanted to give horsemen with horses stabled at Pleasanton advance notice. Hearing the item in July would give individuals 90 days before Pleasanton would no longer be an auxiliary site. Commissioner Auerbach asked why now? Mr. Daruty said there were a combination of factors – inventory decreasing and the industry's continued financial strain. Operating auxiliary stabling with horses that had not been racing was not efficient. For example, there were 30 yearlings that were not racehorses, that were purchased and stayed in their stalls at Pleasanton, and were paid for by the industry with free stabling. He said the industry could not afford to keep spending money on stabling horses that were not racing. Stabling had to be limited to actual racehorses. Commissioner Auerbach asked if GGF had the support of its industry partners. Mr. Daruty stated GGF met with TOC, California Thoroughbred Trainers (CTT), and Pleasanton. Commissioner Auerbach stated she wanted to clarify that Pleasanton was not closing; it was the financial support from the industry that would stop for a period of time. In the spring, when the horse population increased, GGF would like to reopen Pleasanton as an auxiliary stabling

facility, with GGF paying through the stabling and vanning fund. Mr. Daruty stated that was correct. Commissioner Rosenberg said there was legislation pending that would change the stabling and vanning rules in terms of how much was paid for stabling and vanning and where the funding came from. Mr. Daruty stated the legislation was broadly supported by the industry and he expected it to pass when the legislature resumed in August. He said the legislation was not directly related to the current issue – GGF’s decision to no longer pay for Pleasanton as an auxiliary facility. GGF believed the legislation would increase the amount of money that could be paid into the stabling and vanning fund, and would provide flexibility for industry participants. For example, under existing law, when GGF was not using Pleasanton as an auxiliary facility, it still had to pay into the stabling and vanning fund. Commissioner Rosenberg asked if GGF considered that not utilizing Pleasanton as an auxiliary site would possibly result in reducing the actual horse population for the small trainers and owners. Mr. Daruty said GGF had considered the possibility and did not want to risk driving horses away from California; however, the industry spent \$2 million over 12 months on Pleasanton as a stabling facility. He stated Pleasanton was a great facility, but the reality was it was expensive to keep open for 12 months, especially when there would be months when there would only be 250 horses stabled and many of them would not be racehorses. Chairman Winner asked if there were horses stabled at Pleasanton that did not race in California. Joe Morris, GGF, stated inventory management in both the North and South was weak. He said in 2012 GGF had 12 starters a day out of Pleasanton. From October 2015 through June 2016, there were 7.1 starters a day, with 250 to 350 horses that would not all race at GGF. Commissioner Rosenberg asked if temporary stalls were being built at GGF. Mr. Morris said 12 by 12 prefabricated temporary stalls were currently being constructed. Cal Rainey, GGF, stated the stalls were portable in nature, but for use year

round with a roof, and wood and steel construction, at a cost of \$4,000 per stall. Commissioner Rosenberg said GGF was paying \$600,000 for the stalls in exchange for recouping its costs over a period of years. How much was the calculation? Mr. Morris said it cost GGF \$200,000 to have Pleasanton open for a month. The stalls would be paid off in five to six months. Commissioner Solis asked how many stalls GGF was building. Mr. Morris stated GGF currently had 1,431 stalls with 24 currently being built, and would cap it at 1,500. Commissioner Solis said he was concerned about the amount of traffic that would be at GGF, since GGF did not have a training track, and the track would experience a lot of wear and tear with training occurring in one place. He asked how GGF would prepare for the traffic since the horses would suffer due to crowding. Mr. Rainey said there would be less than 100 additional horses at GGF. GGF had 250 empty stalls in the spring. GGF knew it had empty stalls and adding enough stalls to reach 1,500 would add cushion. He stated GGF had a very safe track record with the Tapeta track installed in 2007, and he believed the additional horses could be handled. Mr. Daruty said fewer than 10 percent of the horses would be training at GGF on any given day. Commissioner Krikorian commented it was frustrating that there was no definition of what qualified a horse to be on the track, and that there was no program that utilized a third party to count the inventory. Commissioner Auerbach said the microchip program would facilitate an accurate inventory of racehorses. Commissioner Krikorian said yes, but why couldn't there currently be someone taking inventory to determine how many horses were qualified to race, and what the numbers really were. Commissioner Rosenberg said that was true for the entire state; InCompass was not being kept up to date by the race tracks. Executive Director Baedeker said 800 horses were currently microchipped as part of the pilot project, with the goal of having every horse in the North microchipped by 2017, and to expand the program statewide in 2018. Mr. Morris said

InCompass was used and kept the horse inventory up to date in the North, with a count conducted every month. Commissioner Rosenberg said he believed Commissioner Krikorian was talking about a more expanded system, an outside company or person, or an employee of the stabling and vanning fund, that would be responsible for not just identifying the name of the horse and its age, but also whether the horse was being trained, or was laid up, etc. Commissioner Krikorian said the Board had never been provided any comprehensive numbers on a regular basis. Mr. Morris said that could be due to a communication issue; Del Mar, GGF, and Santa Anita used InCompass and kept it up to date. The information was there, it just was not passed along to the Board. Commissioner Rosenberg asked if the Stronach Group tracks were operating at 100 percent efficiency, were the training facilities operating the same? Mr. Daruty said he would not say that the Stronach Group tracks were operating at 100 percent efficiency. Chairman Winner stated the issue was finding a way to determine independently whether all the horses the industry was paying for were in any way providing a return to the industry by racing, or were those horses just being laid up, or yearlings, non racing two year olds, or were going out of state. How would the horses be benefiting racing in California? Chairman Winner asked if there was reluctance to get a third party due to the cost. Mr. Daruty stated he did not believe there was reluctance. GGF knew there was a problem that needed to be solved. Commissioner Auerbach said there had been a lot of abuse of the system over the years. People brought in young horses that were not ready to be trained, and the industry was paying for them, while horsemen who followed the rules trained their horses at the farm and paid for it out of pocket. Commissioner Krikorian said the problem was accurately defining what horses belonged on the track, and having an accurate inventory monitored by an outside third party. Mr. Daruty stated monthly counts were being conducted by the industry, and GGF was

committed to sending the information monthly to the Board. Executive Director Baedeker asked if the reports included a characterization of the counts, such as how many horses were race ready. Mr. Daruty said that could be included in the report. He stated the facts would speak for themselves, if there was a horse on the inventory list that ran the previous month, or that had not run for 12 months, that would be apparent. Commissioner Krikorian asked if the industry could get together to define what horses were qualified to be stabled at the tracks. Mr. Daruty said that would be a difficult definition. Chairman Winner clarified that Commissioner Krikorian was asking the racetracks, trainers, and owners to get together to reach an agreement and make a recommendation. Mr. Daruty said GGF would be happy to work with TOC, CTT, CARF, and the whole industry. Commissioner Auerbach asked whose job it was to monitor the horses that came onto the track. Mr. Morris said it would be stabling and vanning, and the racing office of the association that was running at that time. Commissioner Auerbach said she would like to see something established to provide someone with the authority to say you need to move this horse off of the property. No one seemed to have the authority or control to do it. Commissioner Krikorian said the Board wanted to make sure the industry was not paying for nonindustry expenses. Chairman Winner stated he accepted Mr. Daruty's proposal to keep the Board more informed. He said he would assign the issue to the Legislative Committee for review to see if the committee could come to the full Board with a recommendation regarding some form of independent audit, and how that might be paid for. Executive Director Baedeker stated concerns were raised that GGF would not have the ability to put up the temporary stalls due to permitting issues for the structures, water and electricity. Mr. Daruty stated stalls were currently being built. Executive Director Baedeker asked about the remaining stalls GGF planned on building. Mr. Morris said representatives from stabling and vanning would look at the stalls and if

anything needed to be fixed it would be fixed and then they would move forward with the remaining 45 stalls. Executive Director Baedeker asked about the permitting with the city and electricity and water. Mr. Rainey said the stalls would have covers over them and they would decide whether or not to add electricity and get the permits at that time. Mr. Morris said the 24 stalls currently being constructed were going on the end of the existing barns that had water and electricity. Executive Director Baedeker said they would need permits for those. Mr. Daruty said to be clear GGF's request was that it would have 1,500 stalls up and operating at GGF. If GGF did not meet the condition of its license GGF would be required to keep Pleasanton open. Executive Director Baedeker said to clarify, the Board would be considering GGF's license application at its August 2016 Regular Board meeting. That would include the number of stalls to be provided at GGF. It would be the responsibility of the Board to determine whether or not the number of stalls provided at GGF would be sufficient to operate the race meet. Commissioner Auerbach asked how GGF would monitor horses that came in early. Mr. Daruty said GGF would monitor the horses. In prior years, Pleasanton was available and GGF was paying a facility fee, not a per horse fee. Because Pleasanton was open, and GGF had several hundred stalls available, it wasn't an issue; there was no reason to kick somebody out when there were several hundred stalls available at both Pleasanton and GGF. GGF was less diligent because there was really no point until GGF stopped paying for Pleasanton. Now there was an incentive to ensure the horses were racehorses, as GGF no longer had unlimited stalls. Commissioner Auerbach said the same needed to apply to San Luis Rey Downs. Mr. Morris said historically stalls were allotted to trainers, with a trainer getting a block of stalls. The trainers were not being monitored on what horses they were bringing in or taking out of the stalls. However, that would change by requiring a stall application for every horse and stalls would

only go to those horses that had their qualifications to race reviewed. Nick Coukos, TOC, said a count was done in January 2016 that included TOC, CTT, GGF, Pleasanton, and CARF. He stated he came up with a number – 90 days on whether a horse had raced or trained; if a horse had not raced or trained in 90 days that was a red flag. He said he noted about 20 percent of the horses were not in training, excluding two year olds. He said just by looking at a horse one could tell it was not in training. He stated after the count was done, and the racing secretary checked Equibase to see how many of the horses had raced or trained in 90 days, 20 percent of them had not. He said it was a basis that could be considered in the future. The current TOC position was to take the peak horse population throughout the year, and then add 10 percent. He said in April 2015, the peak horse population in the North was 1,478. That number multiplied by 10 percent resulted in 1,625 stalls that would be required in the North in order for TOC to consider not subsidizing another training facility. TOC recently counted 1,431 stalls, and with GGF's plan to build 70 stalls, the total would be 1,500 stalls, which would fall short of what TOC required in the North. He stated the reason TOC took the peak number, versus numbers at any other time, was to promote growth in the horse population. He said maybe 90 days was not the answer, maybe it would be 120 days, but it was something that needed to be discussed. Chairman Winner asked if the same analysis was done at GGF using the 90 day criteria. Mr. Coukos said no, but TOC believed it should be done. Commissioner Krikorian asked if TOC was supportive of closing down stabling at Pleasanton. Mr. Coukos stated TOC's position was that 1,625 stalls were needed in the North in order for TOC to take GGF's proposal into consideration. Alan Balch, CTT, said CTT joined TOC in opposing the closure of Pleasanton. He said the subject was stalls, not horses, and the ability to shift horses in and out, so flexibility was needed. He stated inventory control was difficult. Even if one used the first of the month,

and had every horse identified, the inventory would change day to day. InCompass only worked if the gate controls at each stable area properly identified the horses coming in and out. He stated CTT agreed the number should be 1,600 stalls or greater. He added CTT had a board meeting where it unanimously opposed closing Pleasanton at this time. Chairman Winner asked if it made sense to state yearlings would not be permitted in the stalls even if there was space available. Mr. Balch said criteria could be determined on a time of year basis. He stated another important point was that with year round racing, many horses were being prepared for the next meet during an existing meet. Commissioner Rosenberg asked about the approval/nonapproval system at Galway Downs. Who made the decision of charging for stalls at Galway Downs; stabling and vanning fund or Galway Downs? Mr. Balch said the racing secretaries approved the horses and then provided the approval to the stabling and vanning fund. Commissioner Rosenberg asked how much money the stabling and vanning fund received. Mr. Daruty stated the total budget for stabling and vanning in the South was 8 million dollars; for the North it was 2.5 million dollars. Commissioner Rosenberg said with a budget of over 10 million for operating stabling and vanning, it should not be a huge expense to set up a program to audit. Mr. Daruty said it should be discussed within the stabling and vanning fund. Chairman Winner asked what was the current deficit for the stabling and vanning fund. Mr. Daruty said it was 3 million in the South and by the end of summer in the North would be 800,000. He stated an economic solution would be to require a racehorse that is reimbursed for its stabling to run once every few weeks. That was something that was done in other states, with the revenue generated going into the stabling and vanning fund. Chairman Winner asked it was based on horse or trainer. Mr. Daruty said it would be based on trainers. If a trainer was given 10 stalls at \$150 a day, but the 10 stalls had 10 starts over a five week period, the trainer would get the money back.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **ORDER BY THE LOS ANGELES SUPERIOR COURT IN THE MATTER OF GUSTAVO DE LA TORRE V. CALIFORNIA HORSE RACING BOARD, ET AL. (BS 154412)**, TO SET ASIDE COURT-DETERMINED APPROVAL OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION'S "HOUSE RULE."

Philip Laird, CHRB Staff Counsel, stated the Board was ordered by the Los Angeles Superior Court in the matter of Gustavo de la Torre V. California Horse Racing Board, et al to make a noticed agendaed item and retract approval of the Los Alamitos Quarter Horse Racing Association's house rule which provides for eligibility testing based on the presence of Clenbuterol, Albuterol, Zilpaterol and Ractopamine in hair samples. Commissioner Auerbach **motioned** to rescind Board approval of the Los Alamitos Quarter Horse Racing Association's house rule. Commissioner Solis **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE **SUBMISSION OF PROPOSED EMERGENCY AMENDMENTS TO CHRB RULE 1581, RACING SECRETARY TO ESTABLISH CONDITIONS, AND CHRB RULE 1843, MEDICATION, DRUGS AND OTHER SUBSTANCES**, TO THE OFFICE OF ADMINISTRATIVE LAW, IN RESPONSE TO THE LOS ANGELES SUPERIOR COURT'S ORDER THAT THE CHRB SET ASIDE APPROVAL OF LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION'S "HOUSE RULE."

Philip Laird, CHRB Staff Counsel, stated the hair testing program at Los Alamitos enhanced the integrity of races, leveled the playing field, and increased safety of the participants. Not allowing it to continue by order of the court, would result in the added integrity component being forcibly removed. The Board believed the court order created an emergency situation by reducing the level of safety and integrity in quarter horse racing that had been established thus far. Mr. Laird said the proposed emergency regulations for Rule 1581, Racing Secretary to

Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances, were amended to clarify the intent of the Board. He stated the judge concluded that anything lower than the thresholds established by the Board was not permitted and was in conflict with CHRB rules. The judge recommended the Board establish a rule that clarified the Board's intent. He said the Board believed the proposed amendments would remove any conflict, and would allow Los Alamitos to continue with its program. Dan Schiffer, Pacific Coast Quarter Horse Racing Association (PCQHRA), stated since the program started there had been only one positive. He said PCQHRA supported the two rule amendments and it was working with Los Alamitos to determine how the rules would be implemented within the horsemen's agreement. Greg Avioli, Thoroughbred Owners of California (TOC), stated TOC supported the proposed regulatory amendments. Tom Robbins, Del Mar Thoroughbred Club (DMTC), stated DMTC supported the modification to Rule 1581. He said the modifications to the rule provided that anything done to the condition books, programs, and purses had to be done with the prior approval of the TOC. Drew Couto, Los Alamitos Quarter Horse Racing Association (LAQHRA), said he wanted to emphasize that the judge was supportive and sympathetic to what the quarter horsemen and racing association were attempting to do. However, he believed a conflict existed between the Board's rules and Los Alamitos' program. He stated he was not opposed to what the association or quarter horsemen were doing; he was concerned that procedurally it might not be the best way to do it. LAQHRA was supportive of the proposed regulatory amendments and hoped it was approved by the Board. Alan Balch, California Thoroughbred Trainers (CTT), stated CTT was opposed to the proposed regulations for reasons stated in a letter submitted to the Board. Executive Director Rick Baedeker stated he wanted to address something in the letter submitted by the CTT which referred to the staff analysis as "exaggerated and pernicious." He said he

looked up pernicious which meant “irreparable harm done through evil or insidious corrupting or undermining means.” He stated to refer to the staff analysis in such a way was unfair and he disputed the characterization. Mr. Balch said the statement referred to a paragraph within the analysis which he believed was greatly exaggerated and called into question the medication testing that the industry relied on to keep racing clean. He added the rule was broadly written, which was problematic. Chairman Winner stated he hoped Mr. Balch agreed that the CHRB staff did a difficult and through job, and was not pernicious. Mr. Balch said he did apologize if that was offensive to staff, he was referring to the staff analysis. He stated he believed he made it very clear that he felt there were unintended consequences of passing the proposed amendments and believed the staff analysis was not correct. Chairman Winner said he accepted Mr. Balch’s apology on behalf of staff. Commissioner Krikorian said since CTT took issue with the proposed amendments, did CTT have any proposed language it wanted to recommend. Mr. Balch said the proposed language was very broad and applied to all racing, and all racing secretaries, with the approval of the horsemen’s organization. He stated horsemen’s organization could evolve and develop over time; there were many things that could influence the decision of a horsemen’s organization. He said he did not think it was prudent to develop medication rules, standards, and methods of testing that would be decided upon or developed by a subset of the Board. He stated because his organization had no standing on quarter horse racing, CTT was concerned with the potential impact the proposed amendment could have on thoroughbred racing, where CTT would have standing, but would be subject to the approval of the Thoroughbred Owners of California (TOC). Mr. Laird said he disagreed. Rule 2040, Horsemen’s Organizations for Owners or Trainers, stated there were two acknowledged horsemen’s organizations, the owners and the trainers. He stated the Board interpreted the

proposed emergency regulations to require both TOC and CTT approval of medication rules, standards, and methods of testing. Mr. Balch said he never heard that interpretation before. He said in the past CTT was restricted from being the horsemen's organization. Commissioner Auerbach asked CTT how the Board was overreaching. Mr. Balch said the proposed language applied to all racing in California and the existing medication rules. It was opening the door to enable subsets of the sport in California to develop an entirely different method of pre-race medication testing; hair testing, which had never been subject to any scrutiny in the past. Robert Miller, CHRB Board Counsel, said it was an emergency regulation only and must be re-adopted by the Board within six months to a year. Commissioner Krikorian asked if there could be one rule for thoroughbreds and another rule for quarter horses. Mr. Laird said there could be, however, he strongly recommended against it because they would be operating with completely different set of abilities and conditions. Commissioner Auerbach **motioned** to approve the submission of the proposed emergency amendments to Rules 1581 and 1843 to the Office of Administrative Law. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Beneto, Rosenberg, Winner, Auerbach, Solis, Krikorian. Nay: None. Motion carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE REQUEST FROM THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION TO WRITE RACE CONDITIONS FOR ITS CURRENT QUARTER HORSE RACE MEETING, WHICH CONDITION ELIGIBILITY TO PARTICIPATE IN THE RACE MEETING ON THE NON-PRESENCE OF CLENBUTEROL, ALBUTEROL, ZILPATEROL, OR RACTOPAMINE IN BIOLOGICAL TEST SAMPLES OBTAINED BY THE ASSOCIATION, CONDITIONED ON THE OFFICE OF ADMINISTRATIVE LAW GRANTING APPROVAL OF THE EMERGENCY REGULATORY AMENDMENTS TO CHRB RULES 1581 AND 1843.

Philip Laird, CHRB Staff Counsel, stated the request from the Los Alamitos Quarter Horse Racing Association (LAQHRA) to write race conditions for its current Quarter Horse race meeting, which conditioned eligibility to participate in the race meeting on the non-presence of Clenbuterol, Albuterol, Zilpaterol or Ractopamine in biological test samples obtained by the association, was conditioned on the Office of Administrative Law granting approval of the emergency regulatory amendments to Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances. He said the emergency regulation required a more detailed written agreement between the horsemen's organization and racing association. He stated the vote by the Board to approve the condition for eligibility for certain races was contingent upon the emergency regulations going into effect. Drew Couto, LAQHRA, said the parties involved exchanged testing protocol and procedures, which was provided to the Board as a draft agreement. He said the request was a contractual process between the parties, authorized under CHRB regulations, that would be limited to the conditions for eligibility for certain stakes races. Dan Schiffer, Pacific Coast Quarter Horse Racing Association (PCQHRA), said PCQHRA was optimistic the draft agreement would become the basis for a permanent prototype to resolve similar issues. Commissioner Auerbach **motioned** to approve the request from Los Alamitos Quarter Horse Racing Association to write race condition eligibility for its current Quarter Horse race meeting, contingent upon emergency regulatory approval from the Office of

Administrative Law of Rule 1581, Racing Secretary to Establish Conditions, and Rule 1843, Medication, Drugs and Other Substances. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Roll Call Vote: Aye: Krikorian, Solis, Auerbach, Winner, Rosenberg, Beneto. Nay: None. Motion Carried.

MEETING ADJOURNED AT 12:24 P.M.

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

Chairman

Executive Director

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 2

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 3

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
ON THE NOMINATION OF MEMBERS TO THE
BOARD OF DIRECTORS OF THE
CALIFORNIA THOROUGHBRED HORSEMEN'S FOUNDATION, INC.

Regular Board Meeting
August 25, 2016

BACKGROUND

Business and Professions Code Section 19440 provides that the Board shall have all powers necessary and proper for it to carry out the purposes of the Horse Racing Law. Business and Professions code section 19641 state that any redistributable money in a pari-mutuel pool subject to payment to a claimant pursuant to section 19598, but not successfully claimed within that period, shall be paid as specified and an estimated payment equal to 20 percent of the preceding year's unclaimed redistributable money shall be distributed to the welfare fund as specified. Board Rule 2049, Designation and Approval of Horsemen's Welfare Fund, sets forth the requirements for the establishment and designation of a charitable corporation to administer the horsemen's welfare fund for the benefit of horsemen. Rule 2049 requires that the charitable corporation have a minimum of five, and a maximum of nine directors who are subject to Board approval. At least 40 percent of the directors must have no financial interest in horse racing as a licensed horse owner, trainer or assistant trainer. Additionally, they may not be current members of the horsemen's organization.

The California Thoroughbred Horsemen's Foundation (CTFH) is a self-administered charitable program dedicated to assisting financial needy backstretch workers and their primary dependents. The CTHF offers medical and dental care; prescriptions; eye testing and glasses; financial aid; social programs; free clothing and household items. The CTHF also provides services and support through the California Thoroughbred Trainers, the Winners Foundation and the Chaplaincy program. The CTHF is regulated by the CHRB and is funded principally through the receipt of unclaimed winning pari-mutuel ticket revenues. Additionally, donations and support are received form thoroughbred tracks the Thoroughbred Owners of California and individual donors.

ANALYSIS

The CTHF has nominated five individuals for open board member positions. The five nominations will bring the CTHF board to a total of nine members, which is the maximum under the provision of Rule 2049. In accordance with Rule 2049(a) the nominations have been submitted to the CHRB for approval.

The following individuals were nominated for a two-year term, which will expire on June 30, 2018:

- Angie Carmona, (serving another term).
- Dr. Victor Levine, (serving another term).
- Joe Morris, (serving another term).
- Calvin Rainey, (serving another term).
- Damascus Castellanos, Labor Representative (new board member)

RECOMMENDATION

The item is presented for discussion and action.
Staff recommends the Board hear from the CTHF representative.



July 28, 2016

KEN SMOLE
President

VICTOR LEVINE, M.D.
Vice President

DAVID NAFTZGER
Secretary

Board of Directors
ANGIE CARMONA
ROBERT LENNOX
VICTOR LEVINE, M.D.
JOE MORRIS
DAVID NAFTZGER
GERRY PICCIONI
CALVIN RAINEY
JOSH RUBINSTEIN
KEN SMOLE

In Memoriam
NOBLE THREEWITT

Director Emeritus
LEONARD DORFMAN

CLIFF GOODRICH
Executive Director

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, California 95825

Dear Rick:

During the June Board of Directors' meeting of the California Thoroughbred Horsemen's Foundation, Inc., nominations were held for open board member positions, after soliciting possible nominees from our Industry partners. The following individuals were nominated for a two-year term, expiring June 30, 2018:

Angie Carmona (serving another term). Angie has been serving California Thoroughbred horse racing for over thirty years. Angie came to Santa Anita in 1983, and has been an advocate for the interests of the backstretch community ever since. She was first elected to the CTHF board in 2014. She also serves on the board of the nonprofit Edwin J. Gregson Foundation, dedicated to improving the quality of life for backstretch workers and their families through educational programs such as scholarships, as well as social and recreation programs.

Dr. Victor Levine (serving another term). Dr. Levine was a practicing Emergency Room physician for 38 years at the Riverside County Regional Medical Center. He is also a trained psychiatrist. Dr. Levine is an avid pilot and is presently a docent at the Los Angeles Zoo. He has also owned race horses in the past. Given his background in medicine, and his experience in horse ownership, he brings a unique combination of talents and passion to our foundation. Victor's wife, Alexandra, is a hematologic oncologist and is presently the Chief Medical Officer and professor at the City of Hope.

CTHF Letter to CHRB
Re: Board Approval
Page 2

Joe Morris (serving another term). Joe is Senior Vice President of West Coast Operations for the *Stronach Group* since November of 2015. He was formerly president of the Thoroughbred Owners of California. Joe has spent many years on both the management and horsemen's side of the Industry. He also spent three years as publisher of the *Thoroughbred Times, Horse Illustrated and Hobby Farms*. Joe received his Bachelor's Degree in Business Administration and Finance at the University of Maine.

Calvin Rainey (serving another term). Cal has deep roots in the Thoroughbred Industry. Ever since he started working as a hot Walker at Belmont Park, at the age of 16, he's had great respect for the backstretch workers, believing that they are an integral part of our Industry as they tirelessly care for the equine athletes day after day. His position on the board allows him to strive for the improvement of working and living conditions of the backstretch workers. Cal graduated from the University of Arizona Race Track Management Program with a Bachelor of Science Degree in Animal Science.

Damascus Castellanos (new board member). Damascus is our newest board member and our labor representative, as required by statute. He has been a Teamster member for 30 years, including a Business Representative for Local 495 the past 15 years. Damascus has seen the passion his members have for the racing industry, given many of them come from families steeped in California racing's history. Their passion has been contagious, and Damascus now brings that passion over to the backstretch community.

Pursuant to Rule 2049(a) of the California Horse Racing Board Rules and Regulations, the nominations are submitted to the CHRB for approval. These nominations bring the board to a total of nine members.

Rick, please let me know if there is anything I can do as part of this process.

Best regards,


Cliff Goodrich
Executive Director

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 5

STAFF ANALYSIS

August 2016, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR AT LOS ALAMITOS RACE COURSE SEPTEMBER 7 THROUGH SEPTEMBER 27, 2016.

At its July 14, 2016 regular meeting, the Board deferred the Los Angeles County Fair (LACF) race meet application until August 25, 2016, as the LACF application was incomplete. LACF had not provided the California Thoroughbred Trainers (CTT) race meet agreement, Thoroughbred Owners of California (TOC) race meet agreement, marketing plan or California Emergency Medical Services Authority (CEMSA) certification for Mercy Medical Transportation Inc; therefore the Board asked the applicant to return to the August 2016 Board meeting. LACF submitted the CTT race meet agreement, TOC race meet agreement, marketing plan and CEMSA certification for Mercy Medical Transportation Inc as of July 19, 2016.

- LACF filed its application to conduct a horse racing meeting at Los Alamitos Race Course September 7 through September 27, 2016, or 12 days; two more days than 2015. LACF proposes to race a total of 102 races; 17 more races than 2015. Live racing will commence September 8, 2016 through September 25, 2016. LACF is not participating in the California Authority of Racing Fairs (CARF) combined horse racing meeting.
- The proposed race dates are the approved dates allocated to the fair.

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

- Racing Thursday through Sunday. 9 races per day Saturdays and Sundays; 8 races per day on Thursdays and Fridays.
- Racing concurrently with Oak Tree at Pleasanton September 22-25, 2016 and Golden Gate Fields September 9-11 and 15-18, 2016.
- 2015 Race Meeting at Los Alamitos ran Thoroughbreds only: Average number of runners per race: 7.65.
- First post 2:00 p.m.
- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. – 10:00a.m.
 - \$2 Daily Double (DD) on first and last two races. Placement of last DD will depend on number of races. DD takeout rate of 20%.

- \$1 Trifecta on all eligible races.
- \$0.10 Superfecta wagering on all qualifying races.
- Incoming simulcasts will not follow host track format.
- \$2 Pick 6 last six live races 70% carryover, 30% minor. Alternate runner provision
- \$0.50 Pick 4 starting second race and last four races. Alternate runner provision.
- \$0.50 Pick 5 starting first race. Minimum wager fifty cents, 14% takeout. One tier payoff: 100% carryover.
- \$1 Pentafecta (Super H5) in the last race of the day only selecting the first five finishers in a same race. 100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners.
- The Advance Deposit Wagering (ADW) providers are BetAmerica, Xpressbet, Twinpires, and Watch and Wager.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Specific Changes from the 2015 license application:
 - Turf Club Season Table package plan no longer available.
 - Grandstand Season Table package plan no longer available.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

RECOMMENDATION:

Staff recommends the Board approve the application.

LOS ALAMITOS RACING ASSOCIATION

4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743

June 29, 2016

Brad McKinzie
Vice-President
Los Alamitos Racing Association
Los Alamitos, CA 90720

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Following is the status of the missing items pertaining to the license application for the Los Angeles County Fair meeting at Los Alamitos.

Item 11C – Current ADW agreement – We have requested a current ADW agreement from the TOC and are awaiting a reply

Item 15B – Ambulance Certification – We have requested an updated certification from American Medical Response and are awaiting a response.

Item 17A – D – Marketing Plans – We are finalizing marketing plans.

Horsemen's Agreements – We are awaiting both the CTT and TOC agreements.

We see no problems in being able to provide these missing documents within the next 10 days. Our marketing plan is simply awaiting final agreements for a "California Chrome Day" and there are no hurdles that we know of with our horsemen's agreements.

Thank you for your consideration on this matter,

Brad McKinzie

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair: Los Angeles County Fair /c/o Los Alamitos Race Course 4961 Katella Ave Los Alamitos, CA 90720 714-820-2800 / fax 714-820-2743

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:
Brad McKinzie 714-820-2743 fax - 714-820-2813

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: Sept. 7 - Sept. 27
B. Actual dates racing will be held: Sept. 8,9,10,11,15,16,17,18,22,23,24,25
C. Dates racing will NOT be held: Sept. 7, 12,13,14,19,20,21,26,27
D. Total number of racing days: 12
E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thurs. - Sunday

3. RACING PROGRAM

A. Total number of races: 102

CHRB CERTIFICATION	
Application received: 6/9/14	Hearing date: 7/14/16
Reviewed: LH	Approved date:
	License number:

2016 Proposed Los Alamitos Fall Stakes Schedule									
Date	Name	Type	Conditions	Distance	Advertised			Net Cost	
					Gross	Barrett's	NES		
Guaranteed Stakes:									
Sat, 9/10	Beverly Lewis	G	3-year-old fillies	6 Fur.	75,000		5,000	70,000	
Sat, 9/10	E.B. Johnston Stakes	G	Cal Bred 3 & Up	6.5 fur.	75,000		5,000	70,000	
Sat, 9/17	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000	
Sun, 9/18	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	40,000	10,000	50,000	
Sat, 9/24	Los Alamitos Derby-Grade II	G	3 year-olds	1 1/8th mile	200,000		30,000	170,000	
TOTAL					550,000	80,000	60,000	410,000	

TOTAL FROM PURSE ACCOUNT

410,000

2015 Los Alamitos Fall Stakes									
Date	Name	Type	Conditions	Distance	Advertised			Net Cost	
					Gross	Barrett's	NES		
Guaranteed Stakes:									
Thur. 9/10	Beverly Lewis	G	3-year-old fillies	6.5 fur.	75,000		5,750	69,250	
Sat. 9/12	E.B. Johnston Stakes	G	Cal Bred/ 3 & Up	1 mile	75,000		6,650	68,350	
Sun. 9/20	Barretts Juvenile	G	2-year-olds/ Barrett's Grads	6.5 fur.	100,000	37,650	9,500	52,850	
Sat. 9/19	Barrett's Debutante	G	2-year-old fillies/Barrett's Grads	6.5 fur.	100,000	35,000	7,500	57,500	
Sun. 9/13 Contender Stakes					6 fur.	75,000		3,250	71,750
TOTAL					425,000	72,650	32,650	319,700	

Special Starter Allowance Stakes Series									
Fri. 9/25	Buena Park	G	3 & Up, NW 2 in '15, non starter for \$8k in '14-15	5.5 fur.	30,000	-	1,350	28,650	
Fri. 9/25	Westminster	G	F&M, '3 & Up, NW 2 in '15, non starter for \$8k '14-15	5.5 fur.	30,000	-	2,100	27,900	
Fri. 9/25	Rossmoor	G	3 & Up, NW 2 in '15, non starter for \$16k in '14-15	1 mile	35,000	-	1,250	33,750	
Fri. 9/25	Long Beach	G	F&M, '3 & Up, NW 2 in '15, non starter for \$16k '14-15	1 mile	35,000	-	1,050	33,950	
Fri. 9/25	Seal Beach	G	3 & Up, non starter for \$25k or less in '14-15	6 fur.	45,000	-	1,550	43,450	
TOTAL Starter Stakes Series					175,000		7,300	167,700	

TOTAL FROM PURSE ACCOUNT

487,400

B. Number of races by breed:

<input type="text" value="102"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9				8	8	9
Other Breeds							
Total							

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

The \$200,000 Los Alamitos Derby has been added at the request of the TOC in a desire to keep a Grade II race on the California calendar.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

The \$100,000 Contender Stakes for 2-year-olds has been dropped as it drew 4 entries in 2015. The Starter Allowance Series has also been dropped as handle on the races did not justify the increase in purse for each race category.

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

- G. List all post times for the daily racing program:
- 1st race – 2:00 pm
 - 2nd race – 2:30 pm
 - 3rd race – 3:00 pm
 - 4th race – 3:30 pm
 - 5th race – 4:00 pm
 - 6th race – 4:30 pm
 - 7th race – 5:00 pm
 - 8th race – 5:30 pm
 - 9th race – 6:00 pm (Saturday and Sunday only)

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
- J. Michael Ortiz, Chairman of the Board
 - Cid Pinedo, Vice Chairman
 - Linda Bosserman
 - Ron Bolding
 - Bill Fox
 - Heidi Hanson
 - Tom Hsieh
 - John Landherr
 - Tina Loza
 - Steve Morgan
 - John Solomon
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: J. Michael Ortiz, Michael Seder
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:
- J Michael Ortiz
 - Cid Pinedo
 - Michael Seder
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
- Brad McKinzie – bradmckinzie@aol.com
 - Michael Seder – seder@fairplex.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If Yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: Pick 5, Daily Double _____
 Proposed percentage: __Pick 5 – 14%, Daily Double 20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen’s organization for the meeting of the fair association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance
2015 – 10 racing days	54,295,082	63,962
2014 – 11 racing days	63,631,510	84,091
2013 – 13 racing days	59,394,551	111,875
2012 – 13 racing days	67,835,280	115,089
2011 – 13 racing days	62,751,153	125,879

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$2,474,589
 Prior meet actual: \$2,041,086

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$206,216
 Prior meet actual: \$204,109

LOS ALAMITOS RACING ASSOCIATION

4961 Katella Ave. Los Alamitos, CA 90720 714-820-2743

June 7, 2016

Brad McKinzie
Vice-President, General Manager
Los Alamitos Racing Association
Los Alamitos, CA

Mr. Rick Baedeker
Executive Secretary
California Horse Racing Board
Sacramento, CA

Dear Mr. Baedeker,

Los Alamitos Racing Association on behalf of the Los Angeles County Fair is seeking approval from the California Horse Racing Board to offer a 14% takeout on Pick Five wagering and a 20% takeout on Daily Double wagering at our upcoming Los Angeles County Fair thoroughbred racing season.

We are making this request in order to offer our fans continuity in the wagering formats from other southern California thoroughbred meetings.

We have requested approval from the Thoroughbred Owners of California on this matter and we expect that approval prior to our license application review at your next CHRB meeting.

Thank you for your consideration on this matter.



Brad McKinzie
Los Alamitos Racing Association



June 1, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

RE: 2016 LA County Fair Race Meet at Los Alamitos

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming Los Angeles County Fair race meet from September 7-27, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Brad McKinzie, Los Alamitos

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM



June 1, 2016

OFFICERS

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CHAIR
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VICE CHAIR, SOUTHERN CA.
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NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: 2016 LA County Fair Race Meet at Los Alamitos

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Los Alamitos has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout at its upcoming Los Angeles County Fair race meet from September 7-27, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Los Alamitos' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

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cc: Brad McKinzie, Los Alamitos

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2. Overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 0

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate:0
 Prior meet actual:0

3. Non-overnight stakes:
 Current meet estimate:\$410,000
 Prior meet actual:\$487,400

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$34,167
 Prior meet actual: \$48,740

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$2,884,589
 Prior meet actual \$2,528,486

B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$236,196
 Prior meet actual: \$199,181

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:	Prior meet actual:
CTT \$8,463	\$7,225
TOC \$16,926	\$14,451
NTRA \$2,624	\$3,485
PCQHRA	
CWAR	
ARAC	
AMRA	
CHBPAPEN \$25,390	\$21,676
CTHF \$21,676	\$21,676
Total \$75,079	Total \$68,513

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):
 Current meet estimate: 3,195,864
 Prior meet actual: \$2,796,180

Average Daily Purse (7D ÷ number of days):
 Current meet estimate: \$266,322
 Prior meet actual: \$279,618

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$2,125,013
 Prior meet actual: \$1,801,715
- Average Daily Purse (7E ÷ number of days):
 Current meet estimate: \$177,084
 Prior meet actual: \$180,172
- F. Purse funds to be generated from interstate handle:
 Current meet estimate: \$822,426
 Prior meet actual: \$688,188
- Average Daily Purse (7F ÷ number of days):
 Current meet estimate: \$68,536
 Prior meet actual: \$68,819
- G. Bank and account number for the Paymaster of Purses' purse account: Bank of the West
 4400 MacArthur Blvd. Newport Beach, CA 92660 Acct. # on file
- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Bowen, McBeth Inc. 10722 Arrow route Suite 110 Rancho Cucamonga, CA 91730
 909-944-6465 – email – craigmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 820
- B. Minimum number of stalls believed necessary for the meeting: 2,500
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,545

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Santa Anita – 1,900, San Luis Rey Downs – 495, Galway Downs - 150
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
SCOTWINC stabling agreement on file with CHRB

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c). n/a. 1986 meet was at Fairplex Park
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall: \$16.25
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: Santa Anita - \$180 round trip, \$360 round trip, \$360 round trip

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: There is a rotation of private veterinarians on site at Los Alamitos and at all auxiliary training sites during all training hours
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. There is a rotation of private vets on site at Los Alamitos and all auxiliary training sites during all training , workout and racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering form . No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering formant:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES	CARF WAGERING FORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes No
Race #1	\$1E, \$1 Tri \$2DD \$1PK3 0.50P5 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1	
Race #2	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1	
Race #3	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1	
Race #4	\$1E, \$1 Tri \$2DD \$1PK3 0.50P6 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1	
Race #5	\$1E, \$1 Tri \$2DD \$1PK3 0.50P4 superfecta	Chrb #1959,1979, 1957,1977,1976.9,1979.1	
Race #6	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1	
Race #7	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1	
Race #8	\$1E, \$1 Tri \$2DD \$1PK3 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1	
Race #9	\$1E, \$1 Tri \$2DD \$1PK3 Super H5 superfecta	Chrb #1959,1979, 1957,1977, ,1979.1	ARCI #004-105 Subsection X Pick (n) Position (x) Pools
	\$2 Rolling Daily double 20% takeout Placement of last DD will depend on # of races		
	\$1 Trifecta on all eligible races (CHRB 1979.1)		
	\$0.10 Superfecta wagering on all eligible races (CHRB 1979.1)		
	Incoming simulcasts will not follow host track format		
	\$2 PNP6 last six races 70% carryover, 30% minor alternate runner provision (CHRB 1976.9)		
	\$0.50 PNP4 starting second race and last four races. Alternate runner provision (CHRB 1976.9)		
	\$0.50 PNP5 starting first race. Minimum wager fifty cents, 14% takeout, One tier payoff, 100% carryover		
	\$1 Pentafecta (Super High 5) in the last race of the day only selecting the first five finishers in a same race		
	100% payoff on all tickets five winners. No consolation. 100% carryover if no ticket has five winners		

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. All wagers the same from last year

D Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: Unlimited. Final distribution September 25, 2016

E List any options requested with regard to exotic wagering:

F Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

Each racing day from 8:30 am to 10:00 am

G Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Amtote. Contract expires August 2020

Steve Keech 11200 Pepper Rd Hunt Valley MD 800-345-1566

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

(Example) PNP5-14%

- Race #1 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, PK5 – 14%, superfecta 23.68%
- Race #2 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, P4 – 23.68% superfecta 23.68%
- Race #3 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, PK6 – 23.68%, superfecta 23.68%
- Race #4 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, PK6 – 23.68%, superfecta 23.68%
- Race #5 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, PK4 – 23.68%, superfecta 23.68%
- Race #6 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, superfecta 23.68%
- Race #7 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, superfecta 23.68%
- Race #8 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, superfecta 23.68%
- Race #9 \$1E 22.68%, \$1Tri 23.68%, \$2 DD 20%, WPS – 15.43%, PK3 – 23.68%, Super H5 – 23.68% superfecta 23.68%

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
XpressBet, Twin Spires, Watch and Wager, Lien Games – Bet America
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Agreements on file with CHRB
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
Yes No
If yes, attach a copy of the approval. On file with CHRB
If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
SCOTWINC
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Agreement on file with CHRB
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:



July 1, 2016

6-18

Mr. Brad McKinzie
Los Alamitos Racecourse
4961 Katella
Los Alamitos, CA 90720

RE: 2016 LA County Fair Meet at Los Alamitos ADW Approvals

Dear Brad,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of Los Alamitos Race Course (LARC) race signals under the terms and conditions set forth below.

Pursuant to Section XXXII. Intrastate Advance Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and LARC, LARC shall be permitted to transmit race signal to California-licensed ADW providers TVG, Xpressbet, TwinSpires, WatchandWager, and Bet America to accept wagers on LARC and other Thoroughbred races during the 2016 LA County Fair Meet at Los Alamitos, September 7 - 27, 2016, as follows:

1. **California wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpires may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.5% of gross handle.
 - c. Game Play Network, Inc. may deduct a Hub Fee of 6.5% for wagers using the Game Interface and 4.0% for wagers using the traditional interface.
 - d. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on LARC races:**
 - a. TVG, XpressBet, and TwinSpires shall pay a Host Fee of no less than 8.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 60% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpires, WatchandWager and Bet America.

Very truly yours,

Nick Coukos
President

OFFICERS

MIKE PEGRAM
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BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
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-C
-F

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino
1932 Wildcat Canyon Rd.
Lakeside, CA 92040

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

Hollywood Park
3883 W. Century
Inglewood, CA 90303

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Shalimar Sports Center, Riverside Fair
46-350 Arabia St.
Indio, CA 92201

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Sycuan Gaming Center
5469 Casino Way
El Cajon, CA 92019

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

2016 Los Alamitos (Thoroughbred) Import Tracks

6

Track	Track
Arlington	Mountaineer Park
Assiniboia	Northlands
Australia Racing	NYRA - Aqueduct, Belmont, Saratoga
Canterbury	PARX
Churchill Downs	Penn National
Delaware Park	Pimlico
Delta Downs	Prairie Meadows
Ellis Park	Presque Isle
Emerald	River Downs
Evangeline	South America Racing
Fort Erie	Suffolk Downs
Gulfstream	Sunray Park
Hastings Park	Tampa Bay
Indiana Downs	Thistledown
Keeneland	Turf Paradise
Laurel	Turfway Park
Lone Star	United Kingdom
Louisiana Downs	Woodbine
Mahoning Valley	
Monmouth	

2016 Los Alamitos Commingled Locations

AmWest Entertainment	Dover Downs	Mahoning Valley	Scarborough Downs
Arwest Accounts	Dubuque (Iowa Greyhound)	Marion's (South America)	Scloto Downs
Greenbrier (WV)	Elite Turf Club (I to 17)	Maryland Jockey Club	Seabrook Greyhound
Riders Up (SD)	Ellis Park	Maywood	Social Gaming
Time Out Lodge (SD)	Emerald Downs	Meadowlands	Sol Mutual
Triple Crown (SD)	Evangelina Downs	Meadows The	Sol Mutual - Odds Broker
Arephos-Mile High	Fair Grounds	Meadows The (ADW) - PA regional	Sol Mutual - Otrabanda Deshab
Arma Race Club	Fair Grounds ADW	Miami Valley Gaming & Racing	Southland Greyhound
Arington I	Fair Meadows	Mobile Greyhound	Sports Creek Raceway
Baltimore	Favorites at Gloucester	Monmouth Park	State Fair (Lincoln, NE)
Baltimore ADW (XB Illinois)	Favorites at Vineland	Montana OTB	Suffolk District OTB
Bangor Raceway	Finger Lakes	Monticello	Suffolk Downs
Batavia	Finger Lakes ADW	Mountainair Park	Sunland Park
Bellera Park	Forner Park	Mr. Pleasant Meadows	San Rey Park & Casino
Bettor Racing	Freehold	Nassau Regional OTB	Tampa Bay Downs
Birmingham Greyhound	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Tandem ADW
Bluffs Run Greyhound	Global Weighing Solutions	Newport Jai Alai	Teaunion Dog Track Inc.
Borgata Casino	Belton, Pleasant, Big Bet World	New Jersey ADW (4NJ Bets)	The Downs at Albuquerque
Buffalo Raceway	Racebots, Intl Betting Assoc	Northfield Park	Thistle Down
Centerbury Park	Magna Bet, Florida, Dantolo	Cedar Downs OTB	Tioga Downs
Capital District OTB	Stan James, Boylesports	Northville Downs	Tri-State GH (Mardi Gras)
Catskills OTB	Intelligent Gaming	NYRA	Turf Paradise
Charles Town Race Course	Greenetrack	NYRA ADW	Turf Paradise Bets (ADW)
Chester Downs (Harrah's Philly)	Greyhound @ Post Falls	Oaklawn Park	Turfway Park
Churchill Downs	Gulf Greyhound	Oaklawn Park ADW	TVG
Coeur d'Alene Casino	Gulfstream Park	Ocean Downs	TVG Chester
Colonial Downs	Harrington Raceway	Panama	TVG High Volume
Colonial Downs ADW	Harrington Bets ADW (DE regional)	PARX	TVG Prairie
Columbus Raceway	Hawthorne Race Course	PARX ADW	Twain River Greyhound
Connecticut OTB	Hawthorne ADW	Penx National	Twain Spires
Bradley Teletheater, Bristol	Hazel Park	Penx National ADW	Twain Spires High Volume
New Britain, Norwalk, Milford	Hinsdale	Penx	Velocity Wagering Ltd.
East Haven, Hartford, Putnam	Hoosier Park	Plainridge Race Course	Venezuela OTB
Shoreline Star, Sports Haven	Hoosier's Park	Plainridge ADW	Vermont Downs
Yorlington, Waterbury	Idabet	Player Management Group	WatchandWager
Manchester, New London	Indiana Downs	Pocono Downs ADW	Western OTB
Williamatic, Sanford OTB	Clarksville/Evansville OTB	Pocono Downs OTB	Wheeling Downs
John Martin's Manor Restaurant	Intermountain Racing	Portland Meadows	Will Rogers Downs
Connecticut OTB ADW	Keeneland	Potawatomi Casino/OTB	Wyoming OTB
Coushatta Casino	Keeneland Select ADW	Prarie Meadows	Wyoming Downs
Paragon Casino	Kentucky Downs	Premier Turf Club	XpressBet
Ho-Chunk Casino and Racebook	Kentucky OTB	Prague Isle Downs	Yonkers Raceway
Mohagan Sun Casino	Les Bets (Treasure Valley)	Racing 2 Day ADW	Yonkers ADW
Oneida Bingo and Casino	Lewiston OTB's	Racing 2 Day Intl. (Stan James)	Yubal Group 1
Pony Bar Simulcast Center	Lion Games	Remington Park	Zia Park
Total Investment Racing	Chips Lounge and Casino	Remington OTB Network	120 Gaming
Randall James RaceTrack	Howard Johnsons OTB	Relama Park	Separate Pool Locations
Millenium Racing	Ruinors OTB	Racing & Gaming Services	Gamatero (Puerto Rico)
Royal Beach Casino	Skydancer Casino OTB	Rialto Park	Caymanas (Jamaica)
Divi Casino Bay Casino	Lion Games ADW	Rockingham Park	Coderre (Mexico/Spain)
Winners Circle	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	Mif Books (Calleto)
Carnovillage Gaming	Lone Star	Ruidoso Downs	NDS Books (Nevada)
Corpus Christi Greyhound	Louisiana Downs	Running Aces Harness Park	Tabcorp (Australia)
Dayton Raceway	LVDC	Sam Houston	Tattsbet (Australia)
Delaware Park	Atlantis Paradise Casino	Valley Greyhound Park	New Zealand Racing Board
Delta Downs	Buffalo Thunder Resort	Saratoga Harness Raceway	
Derby Jackpot	Foxwoods Resort Casino	Saratoga Bets (ADW)	
	Meekins & Casino		

Canadian Locations

Assiniboia, Barrie, Century Downs, Chertowndown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hlawaha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carillon, Rocky Mountain Turf Club, Royal Driflane Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine, Xpressbet Southern Alberta

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
 Association Veterinarian(s) Dr. Dana Steed DVM
 Clerk of Scales Charles McCaul
 Clerk of the Course Lisa Jones
 Film Specialist Board of Stewards
 Horse Identifier – Jennifer Paige
 Horseshoe Inspector – Victor Tovar

Paddock Judge – Karen Denoval
 Patrol Judges – Randy Valdez, Karen Denoval
 Placing Judges Kevin /Colosi, Dawn Schmid
 Starter – Gary Brinson
 Timer – Russ Hudak

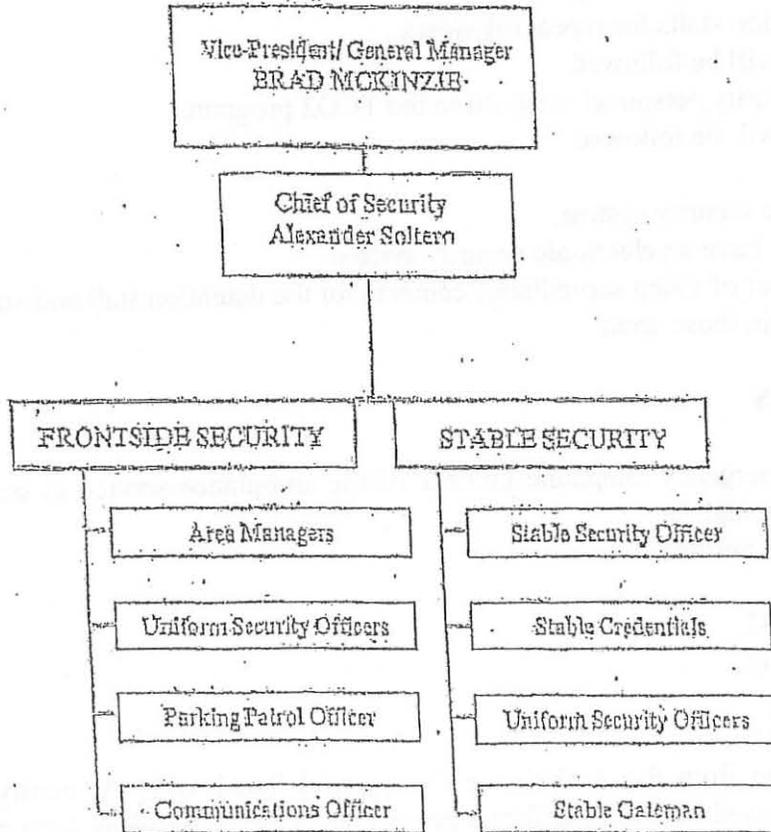
- B. Management officials in the racing department:
 Director of Racing Robert Moreno
 Racing Secretary – Robert Moreno
 Assistant Racing Secretary – Chris Merz
 Paymaster of Purses – Dawn Jackson
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Weinstein court Reporters
 P O box 26634 Santa ana, CA 92799 949-637-6071
 Mderieg@weinsteincourtreporters.com
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
 Plusmic Corp USA Bill O'Brien contract expires Dec. 31, 2017
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
 Pegasus Communications
 Jim Porep, President
 16633 Ventura Blvd Suite 1010 Encino, CA 91436 contract through April 21, 2017
 1 camera end of homestretch
 1 camera end of backstretch
 1 camera coming out of final turn
 4 pan cameras covering entire track
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: electronic timing devices owned and operated by Los Alamitos Race course

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Alex Soltero, chief of Security
 714-820-2660 frontside / 714-820-2832 backside
 Organization plan attached
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:
 1 Chief of Security 1 Stable Security Manager 5 Gatemen 3 Investigators

LOS ALÁMITOS RACING ASSOCIATION

Security Live Racing



20 Security Guards

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.
2. Detention Stalls: Los Alamitos does not use detention stalls
 - A. Attach a plan for use of graded stakes or overnight races.
 - B. Number of security guards in the detention stall area during a 24-hour period.
 - C. Describe number and location of surveillance cameras in detention stall area.
3. TCO2 Testing:
 - A. Number of races to be tested, and number of horses entered in each race to be tested.
CHRB directives will be followed
 - B. Plan for enhanced surveillance for trainers with high-test results.
CHRB directives will be followed
 - C. Plan for detention stalls for repeat offenders.
CHRB directives will be followed
 - D. Number of security personnel assigned to the TCO2 program.
CHRB directives will be followed
- C. Describe the electronic security system.
Los Alamitos does not have an electronic security system
 1. Location and number of video surveillance cameras for the detention stall and stable gate.
No video surveillance in those areas

15. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:
Huntington Ambulance Service
P O Box 145
Sunset Beach, CA 90742
Sunset Beach, CA 90742
Track # 714-820-2845
 1. Attach a certification from the Ambulance Company(s) listed in 15 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
San Luis Rey Downs
North county Fire Protection District
31403 Old River Rd
Bonsall, CA 92003

LOS ALAMITOS RACING ASSOCIATION STAKES SECURITY PROGRAM

For stakes races with purses of \$100,000 or more Los Alamitos Racing Association will follow the guidelines set forth in our Race Meet Agreement with the Thoroughbred Owners of California.

For stakes races of more than \$100,000, LARA will provide security personnel equipped with video cameras to be stationed in the barn of each trainer with a horse in that stakes. The security personnel will be stationed in the designated barn no less than 6 hours prior to post time and will accompany the horse to the receiving barn.

These additional personnel will be under the direction and supervision of the Los Alamitos Chief of Security.

In addition, 24 hours prior to the running of any graded stakes race with a purse of \$200,000 or more Los Alamitos Racing Association will assign two additional security guards to the barn area with the specific instructions to monitor the barns of trainers with horses entered in that stakes.

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTION6-28
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

July 13, 2016

Brad McKinzie
Los Alamitos Racing Assn.

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,



Douglas Moriarty
Vice President of Operations

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

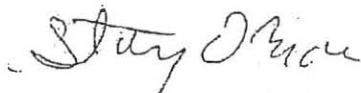
February 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



AmbuServe
Ambulance



October 6, 2015

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Supervisor



Symons Ambulance
18592 Cajon Blvd.
San Bernardino, CA 92407
(909) 880-2979

June 16, 2015

To Whom It May Concern:

Symons Ambulance would like to confirm all of our field staff are state certified through 2015. All field staff are required to keep their state certifications current with no lapse. Symons Ambulance audits our personnel's required state certifications on a monthly basis.

If you have any additional questions please feel free to contact me.

Thank you,

Kuri Dye
Operations Manager
909-454-5093 Cell Phone
909-880-2979

Santa Anita
 AmbuServe
 15105 S Broadway
 Gardena, CA 90248
 Bryan Rushing
 310-644-0500

Galway Downs
 Symons Ambulance Service
 28078 Baxter Rd
 Murrieta, CA 92563

1. Attach a certification from the Ambulance Company(s) listed in 15 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
- C. Describe the on-track first aid facility, including equipment and medical staffing:
 Contracted track physician: Oxygen equipment, blood pressure monitoring, backboard, cervical spine collar, 3 patient beds
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
 Dr. Michael Morris, 714-820-2710
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Los Alamitos Medical Center
 3571 Katella Ave
 Los Alamitos, CA 90720
 562-598-1311
- Long Beach Memorial Hospital (Certified Trauma Center)
 2801 Atlanta Ave
 Long Beach, CA 90806
 562-933-2000
- UC Irvine Medical Center
 101 The City Drive
 Orange, CA 92868
 714-456-7890
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
 - G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Frank Sherren
 - H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached

EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

FIRST RESPONSE

*In the event of an accident, the LARA Trackside Human Ambulance which follows each race and is staffed by state certified Paramedics and EMT's will respond immediately to the location of the accident.

*Paramedic and EMT's will make an evaluation of the injuries and if deemed necessary they will call for the Security Dept. to call for Fire Department Paramedics. The Security Dept. communications desk is manned at all times during racing hours.

ON SITE EVALUATION AND JOCKEY PROTOCOL

- If involved in a spill during a race, regardless of how serious the jockey deems the spill to be, they are instructed that if it is safe and they are clear of any loose horses they are to remain as still as possible until instructed to move by the responders.
- If responders request that the jockey be stabilized and placed on a backboard, jockeys are instructed to comply with that request or risk disciplinary action.
- If Paramedics are called the injured jockey will be stabilized and taken to meet Paramedics at the pre-designated location just outside of the Los Alamitos back fence. The OC Fire Paramedics will then evaluate the injuries and determine whether or not the injured rider should be transported to a trauma hospital.
- It is the current policy of the Orange County Emergency Services that all injuries sustained from falling from a horse at a speed of a gallop or more be treated as a trauma event. Fire Department paramedics will be instructed to transport the rider to a trauma hospital for examination. If the rider refuses transport to the trauma hospital they must sign a Refusal of Medical Treatment form. It will then be up to the Board of Stewards to determine if that rider can continue with his riding assignments.
- In the case of multiple injuries in a single race, the two fully staffed and equipped track ambulances that are on site for every race will respond and will immediately call the Security Dept. to call for the necessary number of Paramedic backup. In this case paramedic units will be instructed to enter the track through the backstretch gate which is unlocked at all times.

**PROCEDIMIENTOS DE EMERGENCIA MÉDICA
PARA LESIONES A JINETES EN EL HIPÓDROMO
PRIMERA RESPUESTA**

* En el caso de un accidente, la Ambulancia Humana del Hipódromo contratada por LARA (Los Alamitos Racing Association), que sigue a cada carrera y es atendida por EMT's (Técnicos de Emergencias Médicas) certificados por el estado, responderá inmediatamente al lugar del accidente.

* EMT's harán una evaluación de las lesiones y si se considera necesario, pedirán al Departamento de Seguridad de llamar a los paramédicos. La recepción de comunicaciones del Departamento de Seguridad está abierta en todo momento durante las horas de carreras.

EVALUACIÓN IN SITU Y EL PROTOCOLO DE JINETE

• Si está involucrado en un derrame durante una carrera, independientemente de la gravedad de la consideración del jinete, se les instruye que si es seguro y que están libres de cualquier caballo suelto, que deben de permanecer lo más quieto posible hasta que se indique movimiento por el EMT.

• Si la solicitud del EMT requiere que el jinete sea estabilizado y colocado sobre una camilla, los jinetes son instruidos de cumplir con la petición o estarán en riesgo de acción disciplinaria.

• Si se llamó a los paramédicos, el jinete herido será estabilizado y llevado a reunirse con los paramédicos en el lugar pre- designado a las afueras de Los Alamitos en la valla al fondo de la pista. Los Bomberos Paramédicos del Condado de Orange evaluarán las lesiones y determinarán si el jinete lesionado debe ser transportado a un hospital de trauma.

• Si las lesiones no se consideran graves por el EMT o paramédicos, el jinete será dirigido ya sea a una sala de emergencias o al médico del hipódromo para ser evaluado. Será la póliza del doctor del hipódromo que, con excepción de los accidentes más leves, se evaluará el jinete herido de inmediato por un médico en una sala de emergencias. Si un jinete rechaza las recomendaciones de tratamiento del EMT, los paramédicos o del Doctor del Hipódromo, se les pedirá que firmen un formulario de Rechazo de Tratamiento Médico y su capacidad para continuar de montar en las carreras del programa de ese día, será determinado por los Comisarios Deportivos de CHRB.

• En el caso de lesiones múltiples en una sola carrera, las dos ambulancias de la pista totalmente equipadas van a responder y se le llamará de inmediato al Departamento de Seguridad para que llame a los paramédicos. En este caso, las unidades de paramédicos serán instruidos que entren por la puerta a través de la pista que siempre está abierta.

H

**ORANGE COUNTY FIRE AUTHORITY**

P.O. Box 57115, Irvine, CA 92619-7115 • 1 Fire Authority Road, Irvine, CA 92602

Lori Smith, Assistant Chief/Fire Marshal (714) 573-6000

www.ocfa.org

November 3, 2015

Los Alamitos Race Course
4961 Katella Ave.
Los Alamitos, CA 90720
Attn: Frank Sherren

Dear Mr. Sherren,

This letter is in response to your request for a fire and life safety clearance at the above address. The annual inspection has been completed and a plan of correction has been accepted. A reasonable degree of fire and life safety is present at this time.

If you need additional information regarding this letter, please contact me by phone at 714 229-8805, by fax at 714 229-8806 or send an email to doughartman@ocfa.org.

Respectfully,

Douglas A. Hartman,
Senior Fire Prevention Specialist

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self Insured – Finish Line Self Insurance Group, Certificate # 4524-001
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Handicappers –

Bob's Card, Tiffany Bohland 6288 Highland Meadows Dr. Medina OH 44256

Winner's Card Corp , Don Harris 924 Knob Hill Ave. Redondo Beach, CA 90277

Today's Racing Digest, Jack Karches 2080 Las Plamas Carlsbad CA 92009

Triangle Turf Winners, William Archer 1 Scott St. Gardnerville, NY 89410

- B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
- C. Number of hosts and hostesses employed for meeting:

Number: 4524-001

STATE OF CALIFORNIA
DEPARTMENT OF INDUSTRIAL RELATIONS
OFFICE OF THE DIRECTOR

CERTIFICATE OF CONSENT TO SELF-INSURE

THIS IS TO CERTIFY, That
LOS ALAMITOS RACING ASSOCIATION AND QUARTER HORSE RACING, INC. A GENERAL
PARTNERSHIP, DBA LOS ALAMITOS RACE COURSE
(Name of Affiliated)

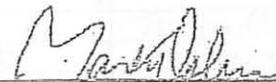
FINISH LINE SELF INSURANCE GROUP, INC.
(Master Certificateholder)

has complied with the requirements of the Director of Industrial Relations under the provision of Sections 3700 to 3705, inclusive, of the Labor Code of the State of California and is hereby granted this Certificate of Consent to Self-Insure.

This certificate may be revoked at any time for good cause shown.*

EFFECTIVE
THE 1st DAY OF JULY 2005

DEPARTMENT OF INDUSTRIAL RELATIONS
OF THE STATE OF CALIFORNIA


MARK T. JOHNSON, MANAGER


JOSEPH M. REA, DIRECTOR



*Revocation of Certificate.-- "A certificate of consent to self-insure may be revoked by the Director of Industrial Relations at any time for good cause after a hearing. Good cause includes, among other things, the impairment of the solvency of such employer, the inability of the employer to fulfill his obligations, or the practice by such employer or his agent in charge of the administration of obligations under this division of any of the following: (a) Habitually and as a matter of practice and custom inducing claimants for compensation to accept less than the compensation due or making it necessary for them to resort to proceedings against the employer to secure the compensation due; (b) Discharging his compensation obligations in a dishonest manner; (c) Discharging his compensation obligations in such a manner as to cause injury to the public or those dealing with him." (Section 3702 of Labor Code.) The Certificate may be revoked for noncompliance with Title 8, California Administrative Code, Group 2 - Administration of Self-Insurance.



FINILIN-C1 SPRZEMIELEWSKI

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
9/24/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski
	PHONE (A/C, No, Ext): (626) 799-7000 FAX (A/C, No): (626) 441-3233 E-MAIL ADDRESS: info@boltonco.com
INSURER(S) AFFORDING COVERAGE	
INSURED Finish Line Self Insurance Group, Inc. 4961 Katella Avenue Los Alamitos, CA 90720	INSURER A: New York Marine & General Ins. Co. NAIC # 16608
	INSURER B:
	INSURER C:
	INSURER D:
	INSURER E:
	INSURER F:

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSUR	INSUR	WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:							EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS							COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	UMBRELLA LIAB <input type="checkbox"/> OCCUR EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTIONS							EACH OCCURRENCE \$ AGGREGATE \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N	N/A	WC2016EPP00329	01/01/2016	01/01/2017	X PER STATUTE OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
 Los Alamitos Race Course Eff. 1/1/2016

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) **6-30**
07/28/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Haas & Wilkerson Insurance 4300 Shawnee Mission Parkway Fairway, KS 66205 913 432-4400	CONTACT NAME: Jared S. Palmer
	PHONE (A/C, No, Ext): 913 432-4400 FAX (A/C, No): 913 749-4880 E-MAIL ADDRESS: jared.palmer@hwins.com
INSURED Los Angeles County Fair Association Fairplex 1101 W. McKinley Ave. Pomona, CA 91768-2250	INSURER(S) AFFORDING COVERAGE INSURER A: ACE American Insurance Company NAIC # 22667
	INSURER B: Federal Insurance Company 20281
	INSURER C:
	INSURER D:
	INSURER E:
	INSURER F:

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC		G20660624	08/01/2016	08/01/2017	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$500,000 MED EXP (Any one person) \$excluded PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$5,000,000 PRODUCTS - COMP/OP AGG \$5,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS		H08078592	08/01/2016	08/01/2017	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$		XCPN00732679	08/01/2016	08/01/2017	EACH OCCURRENCE \$5,000,000 AGGREGATE \$5,000,000 \$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A				WC STATUTORY LIMITS OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
B	Property Package		35978008	08/01/2016	08/01/2017	\$2,250,000 Leased/Rntd. Eqpt. / \$1,000 Ded.

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Landlord (owner or lessee of the site) (Form LD9Z27/L-9Z27S1)

The certificate holder is named as an additional insured on the general liability policy but only with respect to liability arising out of the ownership, maintenance, or use of that part of the premises leased or licensed to the named insured and only during the term of the named insured's lease to occupy or license to use and only to the extent of liability resulting from an occurrence arising out of the named insured's negligence.

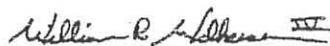
CERTIFICATE HOLDER

CANCELLATION

California Horse Racing Board
 1010 Hurley Way, Suite 300
 Sacramento, CA 95825-0000

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE



Marketing Plan

2016 Los Alamitos Fall Thoroughbred Meet

Overview: As detailed on the following pages, the 2016 Fall Meet marketing efforts will be supported by a variety of programs including increased budgets for online marketing and continued solid local television and radio presence and continuing cooperative efforts with Fairplex Park, TVG, Twin Spires, and The Daily Racing Form. In addition, Los Alamitos will host for the second meet in a row one of the biggest handicapping tournament in the state in an effort to drive on-track handle and awareness of our first spring meet.

Cross Marketing with the Los Angeles County Fair – Saturdays during September meet

In continuing to increase awareness for the Los Alamitos Racing Association Fall Meet among attendees at the popular Los Angeles County Fair, Los Alamitos Race Course and Fairplex Park has built a cost effective, cross-marketing plan that will include complimentary ticket opportunities at both Los Alamitos Race Course and Fairplex Park. On-track visitors to Los Alamitos on selected days will have an opportunity to receive a free ticket to the Los Angeles County Fair (LACF) while supplies last, while attendees to the LACF will receive free admission to the Los Alamitos Race Course with their fair ticket. The promotion will be supported by advertisements in the Daily Fun Guide available at the LACF plus direct mail pieces and online link exchanges. Los Alamitos will also run special on-track opportunity raffles throughout the Fall meet for tickets to the most popular concerts to be held at the LACF in September.

Los Alamitos Fall Handicapping Championship – Saturday, September 17

This handicapping contest will offer four seats to the DRF/NTRA National Handicapping Contest, among the highest number of guaranteed seats offered by Los Alamitos Race Course to this year-end national event. The event will follow a successful April contest in which 117 participants took part in the contest while bringing in \$23,400 of guaranteed on-track wagering money as part of this contest. As in previous years, the goal of this event will be to drive on-track handle and attendance from southern California's major players while continuing to establish Los Alamitos as a great place to play NHC contests.

California Chrome Day in City of Cypress – Saturday, September 10

California Chrome has made Los Alamitos his home base since early in his 3-year-old season and he has earned a legion of local fans who have come to adore North America's richest Thoroughbred of all-time. Los Alamitos Race Course and the City of Cypress will honor the 2014 Horse of the Year on September 10 with the official proclamation of California Chrome Day. Special presentations in the winner's circle and appearances by Team California Chrome will highlight the festivities. It is hoped that the dual Classics winners will be available to make an on-track appearance on this day. All fans in attendance on this day will receive a special California Chrome giveaway.

Promotional Giveaway Days

The top on-track attendance days of the past five meets run at Los Alamitos have all occurred on days when we offered a promotional giveaway. In an effort to try and continue our on-track awareness among fans in our immediate area, Los Alamitos will offer four promotional giveaway days during our 12-day meet including a Los Alamitos camouflage cap and a carry-all bag.

Mystery Mutuel Vouchers

While promotional giveaways drive attendance, it has been our experience that the most successful drive of on-track handle has been our Mystery Mutuel voucher promotion, which in the fall meet will target 12,500 active fans on the Los Alamitos mailing list. This promotion will be a part of a pre-meet direct mail campaign that will also offer our customer base such incentives as free admission passes and free preferred parking passes. The Mystery Mutuel Voucher will be held on Saturday, September 10.

2016 Los Alamitos Fall Meet Marketing Budget

Daily Racing Form - \$35,000

- National print advertising campaign
- Digital advertising campaign
- Special Pick 4 sections for Barretts Sale races and Los Alamitos Fall Derby during the meet
- Promotional support for Los Alamitos Handicapping Championship including a Webinar and e-mail blasts
- 15 second videos to play on DRF.com to promote major pools, stakes during meet

TVG, Twin Spires, XpressBet - \$50,000

- National commercial spots on TVG leading up to meet and prior to major races

- Partnering with ADW providers on the popular TVG Hit and Split, and the Twin Spires \$10,000 Pick 5 Los Alamitos Bonus. In Spring 2016, the total Twin Spires brand handle was up 16% over the December meet, while Pick 5 handle was up 26% over the December meet.
- Partnership with marketing efforts including e-mail alerts, web banners and digital newsletters

Local Television Advertising - \$38,700

- Time Warner Cable / Orange County cable provider. Approximately 2,000 total spots concentrating on Angels, Dodgers, NFL and College Football games. This buy also includes buys The Golf Channel and run of schedule spots on the Prime Ticket, Fox Sports West, NBC Sports, Bravo, ESPN and TBS networks.
- Time Warner Long Beach. This spot buy will concentrate on the nearby Long Beach market with special emphasis on Dodger games and NFL.
- Direct TV. 72 units purchased during Angel and College Football games.

Local/National Radio - \$21,500

- In addition to supporting the local racing radio shows, the Los Alamitos radio buy will also include national racing radio shows such as Race Day Las Vegas, Steve Byk At The Races and Down The Stretch on SiriusXM. The national shows are a part of our effort to reach our growing out of state market.
- ESPN Deportes. Los Alamitos will have an increased partnership with ESPN Deportes which will include ticket giveaways and on-air interviews with Hispanic trainers and jockeys.

On-line Marketing - \$15,000

- Partnering with Los Angeles News Group, Los Alamitos will conduct a significant on-line marketing campaign that will include web banners, on-line videos, and ticket sales. Program will include Geo Fencing Mobile Marketing and a continued strong Social Media presence.

Pre-Meet Direct Mail Campaign - \$30,000

- Our 12,500 active fans will receive a Mystery Mutuel voucher and other on-track attendance incentives with the mailing targeting our opening weekend. Past redemption rates for the Mystery Mutuel promotion have reached between the 15% to 18% level.

Los Alamitos Handicapping Championship - \$18,000

- Los Alamitos is guaranteeing four seats to the popular DRF/NTRA National Handicapping Contest during a fall contest on Saturday, September 17.

On-Track Promotional Giveaway Items - \$60,000

- Four special giveaway days are scheduled during the 12-day Spring Meeting. Among the giveaway items scheduled are a California Chrome Promo, the 2nd edition of the Stars of Los Alamitos Racing Magnet Set, Los Alamitos Camo Cap, and Los Alamitos Carry All Bag.
- Los Alamitos will also conduct its traditional Fan Appreciation On Track HDTV Giveaway Day. Eight flatscreens will be given away as part of this mailing list driver.

On-Line Industry Advertising - \$5,000

- Concentrated on web sites such as The Bloodhorse and The Paulick Report.

Fall Meet Support Staff - \$32,000

- Includes additional in-house race day television producers, additional marketing staff and hostesses.

Total Projected Spring Meet Marketing Budget - \$340,000

- D. Describe facilities set aside for new fans:
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
 - 1. Horsemen – additional horsemen parking
 - 2. Fans – additional televisions throughout plant
 - 3. Facilities in the restricted areas

18. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:
 - Admission (general) \$3
 - Admission (clubhouse) \$5
 - Vessels Club - \$10
 - Reserved seating (general) free
 - Reserved seating (clubhouse) free
 - Parking (general) free
 - Parking (preferred) \$6 / \$10 premium
 - Parking (valet) n/a
 - Programs (on-track) \$3.00
 - (off-track) \$3.00
- B. Describe any "Season Boxes" or other special accommodation fees: n/a
- C. Describe any "package" plans such as combined parking, admission and program: n/a

19. JOCKEYS' QUARTERS

- A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 40px;" type="text" value="60"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
		<input checked="" type="checkbox"/>	Lounge area
<input checked="" type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys: Separate quarters with own showers, sauna, lockers. Shared common area

20. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 195
- C. Number of restrooms available on the backstretch of the racetrack: 11 men / 8 ladies
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 - 20

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5.100

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: 81 % sand, 16% silt and clay, 3% organic
- C. The percent of cross slope in the straight-always is: - 3%
The percent of cross slope in the center of the turns is: 6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Inner rail is a slant rail with an aluminum face and a 3 foot galvanized safety panel. Outside rail is a gooseneck design with a 4 x 6 steel tubing as the rail. A height of 40 inches is maintained on both rails.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Rick Hughes, track superintendent / Dennis Moore track consultant
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On File
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): no exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): no exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): no exceptions

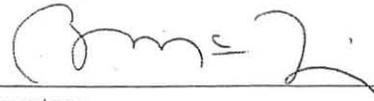
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Brian M. Kuzie
Print Name

V.P. General Manager
Print Title


Signature

6-7-16
Date

STAFF ANALYSIS
August 25, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II, INC. AT SANTA ANITA SEPTEMBER 28, 2016 THROUGH NOVEMBER 8, 2016.

At its July 14, 2016 regular meeting, the Board deferred the Los Angeles Turf Club II (LATC II) race meet application until August 25, 2016, as the LATC II application was incomplete. The California Thoroughbred Trainers Race Meet Agreement, Thoroughbred Owners of California approval letter of Advance Deposit Wagering, Certificate of Worker's Compensation and Bond were outstanding; therefore, the Board asked the applicant to return to the August 2016 Board meeting.

CHRB staff contacted a LATC II representative on July 14, 2016 and requested the submission of the outstanding application items by July 28, 2016. The California Thoroughbred Trainers Race Meet Agreement and Bond remain outstanding.

- LATC II filed its application to conduct a thoroughbred horse racing meeting at Santa Anita September 28, 2016, through November 8, 2016, or 23 days (includes Breeders' Cup); four days more than 2015. LATC II proposes to race a total of 180 to 224 races, or 8.57 to 10.67 races per day. In 2015, LATC II ran 8.79 races per day with an average of 8.18 runners per race. The average daily purse for the 2015 race meeting was \$487,048. The (estimated) average daily purse for this meet is \$477,401.
- The race dates proposed are the dates allocated:

September - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November - 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

- Racing Friday through Sunday the first week; Thursday through Sunday the remainder of the race meet, with the exception of racing Columbus Day, Monday, October 10, 2016 and no racing on Thursday, October 13, 2016. 7 to 9 races weekdays; 9 to 11 races weekends, opening day and October 10, 2016; 10-13 races November 5, 2016; 9 to 12 races October 1, 8 and November 4, 2016.
- Racing concurrently with Oak Tree at Pleasanton September 30-October 2, 2016 and Fresno County Fair October 6-16, 2016.

- First post 1:00 p.m., weekdays, 12:30 p.m., weekends with the following exceptions:
 - Friday, November 4, 2016 Breeders' Cup – 11:15 a.m. (subject to change)
 - Saturday, November 5, 2016 Breeders' Cup - 10:05 a.m. (subject to change)
 - Post times may vary by up to 30 minutes.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering 8:30 a.m. to 10:00 a.m. daily.
 - Advanced wagering will be available Friday, November 4th for Saturday, November 5th.
 - Rolling \$2 Daily Doubles beginning with the first race – 20% takeout.
 - \$1 Trifecta on all eligible races.
 - \$0.10 Superfecta wagering on all qualifying races.
 - \$1 Pick 3.
 - \$2 Pick 6 Last six live races 70% Carryover, 30% Minor. Alternate runner provision.
 - \$0.50 Pick 4 Starting the second race and the last four live races. Alternate runner provision.
 - \$0.50 Pick 5 on the first five races – 14% takeout; 100% payout to all tickets selecting five winners; No consolation; 100% carryover. Alternate runner provision.
 - \$1 Pentafecta last race of the day. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years.

LATC II initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b).

Pursuant to Business and Profession Code 19464(b) LATC II is required to submit a \$100,000 surety bond payable to the Treasurer of the State of California to ensure payment of employee wages and benefits. The LATC II \$100,000 surety bond currently on file will expire September 28, 2016; therefore, an updated bond will be required prior to the start of the race meeting.

- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpines, TVG, Watch and Wager, and Bet America.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. California Thoroughbred Trainers Race Meet Agreement

Specific information, which will need **updating** during the proposed race dates:

1. Bond expires September 28, 2016

RECOMMENDATION:

If the outstanding items, with the exception of the Bond, are not received by July 28, 2016, Staff recommends that the application not be heard at the August 25, 2016 Board meeting.



June 27, 2016

Laurel Houle
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Santa Anita Park – 2016 Autumn Race Meet Application

Dear Ms. Houle,

Below is a list of items that are currently outstanding and an anticipated date that the board will receive the items.

- TOC ADW Approvals – we made requested the approvals from the TOC. We will forward to the board once we receive them from the TOC.
- Marketing Plan – we anticipate that the board will receive the marketing plan no later than by the close of business on Friday, July 1, 2016.
- CTT Agreement – we hope to have the signed CTT agreement to the board no later than the close of business on Friday, July 8, 2016.

Please let me know if you have any questions.

Sincerely,

Eric Sindler

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Los Angeles Turf Club II, Inc.**
285 W. Huntington Drive
Arcadia, CA 91007
Phone (626) 574-7223 Fax (626) 446-9565
frank.demarco@santaanita.com

B. Breed of horse: TB QH H

C. Racetrack name: **Santa Anita Park**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
Attached

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond has been extended through November 6, 2016. The renewal certificate will be forwarded to the Board.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: **September 28 – November 8, 2016**

CHRB CERTIFICATION	
Application received: <i>6/17/14</i>	Hearing date: <i>8/25/14</i>
Deposit received: <i>10k</i>	Approved date:
Reviewed: <i>LH</i>	License number:

B. Actual dates racing will be held:

September: 30

October: 1-2, 6-10, 14-16, 20-23, 27-30

November: 3-6 (Breeders' Cup is November 4th and 5th)

C. Total number of days or nights of racing: 23 Days (Including Breeders' Cup November 4/5)

D. Days or nights of the week races will be held:

Wed - Sun Tues - Sat Other (specify)

Racing scheduled Thursday through Sunday weekly.

Exceptions: Racing – Monday, October 10, Columbus Day

No Racing – Thursday, September 29 and October 13

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 3 days: September 30, October 1 and 2

5 days: October 6 – 10

3 days: October 14 – 16

3. RACING PROGRAM

A. Total number of races: Estimated: Between 180 and 224 (Does not include races on November 4th and November 5th)

B. Number of races for each day or night:

- 7 – 9 races on weekdays
- 9 – 11 races on opening day, weekends and holidays
- Exceptions: Saturday, October 1st and 8th will have 9 – 12 races
 Friday, November 4th will have 9 – 12 races
 Saturday, November 5th will have 10 – 13 races

C. Total number of stakes races: 28 (Plus additional stakes races on November 4th and 5th.)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed pure for each. Note the races that are designated for California-bred horses.
 Attached Pending Stakes Schedule 3D

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

Attached

Santa Anita Stakes Comparison: 2014, 2015, & 2016

RACE DATE	RACE	2014 Purse	2015 Purse	2016 Purse	AGE	DISTANCE	
Fri, Sep 30	EDDIE D STAKES (G III)	\$100,000	\$100,000	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Oct 1	FRONTRUNNER STAKES (G I)	\$300,000	\$300,000	\$300,000	2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	AWESOME AGAIN STAKES (G I)	\$300,000	\$300,000	\$300,000	3 & UP	1 1/8 M	BC Challenge
Sat, Oct 1	RODEO DRIVE STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/4 M (T)	BC Challenge
Sat, Oct 1	ZENYATTA STAKES (G I)	\$300,000	\$300,000	\$300,000	F/M, 3 & UP	1 1/16 M	BC Challenge
Sat, Oct 1	CHANDELIER STAKES (G I)	\$300,000	\$300,000	\$300,000	F, 2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	UNZIP ME STAKES	\$75,000+	\$75,000+	\$75,000+	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 1/4 M (T)	
Sat, Oct 8	CITY OF HOPE MILE (G II)	\$200,000	\$200,000	\$200,000	3 & UP	1 M (T)	
Sat, Oct 8	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	\$300,000	\$300,000	3 & UP	6 F	BC Challenge
Sat, Oct 8	SWINGTIME STAKES ®	\$75,000+	\$75,000+	\$75,000+	F/M, 3 & UP	1 M (T)	
Sun, Oct 9	LA WOMAN STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 10	ZUMA BEACH STAKES	\$100,000	\$100,000	\$100,000	2 YO	1 M (T)	
Mon, Oct 10	SURER GIRL STAKES	\$100,000	\$100,000	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 15	CALIFORNIA DISTAFF HANDICAP	\$100,000	\$100,000	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 16	SPEAKEASY STAKES	\$100,000	\$75,000+	\$75,000+	2 YO	6 F	
Sat, Oct 22	CALIFORNIA FLAG HANDICAP	\$100,000	\$100,000	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	\$75,000+	\$75,000+	F, 2 YO	6 F	
Sat, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	\$100,000	\$100,000	F, 3 YO	1 M (T)	
Sun, Oct 30	LURE STAKES ®	\$75,000+	\$75,000+	\$75,000+	3 & UP	1 M (T)	
Fri, Nov 4	Breeders' Cup						
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	not run	\$200,000	F, 2 YO (CA)	7 F	
Fri, Nov 4	TWILIGHT DERBY (G II)	\$200,000	\$200,000	\$200,000	3 YO	1 1/8 M (T)	
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	not run	\$200,000	3 & UP	1 3/4 M	
Fri, Nov 4	DAMASCUS STAKES	\$100,000	not run	\$100,000	3 & UP	7 F	
Sat, Nov 5	Breeders' Cup						
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	not run	\$200,000	2 YO (CA)	7 F	
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	not run	\$100,000	2 YO	abt 6 1/2 F (T)	
Sat, Nov 5	SEN. KEN MADDY STAKES (G III)	\$100,000	\$100,000	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)	
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	not run	\$200,000	F/M, 3 & UP	1 M (T)	

2016 SANTA ANITA AUTUMN PROPOSED STAKES SCHEDULE					
RACE DATE	RACE	2016 PURSE	AGE	DISTANCE	
Fri, Sep 30	EDDIE D STAKES (G III)	\$100,000	3 & UP	abt 6 1/2 F (T)	
Sat, Oct 1	FRONTRUNNER STAKES (G I)	\$300,000	2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	AWESOME AGAIN STAKES (G I)	\$300,000	3 & UP	1 1/8 M	BC Challenge
Sat, Oct 1	RODEO DRIVE STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/4 M (T)	BC Challenge
Sat, Oct 1	ZENYATTA STAKES (G I)	\$300,000	F/M, 3 & UP	1 1/16 M	BC Challenge
Sat, Oct 1	CHANDELIER STAKES (G I)	\$300,000	F, 2 YO	1 1/16 M	BC Challenge
Sat, Oct 1	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)	
Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	3 & UP	1 1/4 M (T)	
Sat, Oct 8	CITY OF HOPE MILE (G II)	\$200,000	3 & UP	1 M (T)	
Sat, Oct 8	SANTA ANITA SPRINT CHAMPIONSHIP (G I)	\$300,000	3 & UP	6 F	BC Challenge
Sat, Oct 8	SWINGTIME STAKES ®	\$75,000+	F/M, 3 & UP	1 M (T)	
Sun, Oct 9	LA WOMAN STAKES (G III)	\$100,000	F/M, 3 & UP	6 1/2 F	
Mon, Oct 10	ZUMA BEACH STAKES	\$100,000	2 YO	1 M (T)	
Mon, Oct 10	SURER GIRL STAKES	\$100,000	F, 2 YO	1 M (T)	
Sat, Oct 15	CALIFORNIA DISTAFF HANDICAP	\$100,000	F/M, 3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 16	SPEAKEASY STAKES	\$75,000+	2 YO	6 F	
Sat, Oct 22	CALIFORNIA FLAG HANDICAP	\$100,000	3 & UP (CA)	abt 6 1/2 F (T)	
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F	
Sat, Oct 29	AUTUMN MISS STAKES (G III)	\$100,000	F, 3 YO	1 M (T)	
Sun, Oct 30	LURE STAKES ®	\$75,000+	3 & UP	1 M (T)	
Fri, Nov 4	Breeders' Cup				
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 YO (CA)	7 F	
Fri, Nov 4	TWILIGHT DERBY (G II)	\$200,000	3 YO	1 1/8 M (T)	
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M	
Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7 F	
Sat, Nov 5	Breeders' Cup				
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7 F	
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)	
Sat, Nov 5	SEN. KEN MADDY STAKES (G III)	\$100,000	F/M, 3 & UP	abt. 6 1/2 (T)	
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)	

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

Stakes added to schedule for 2016:

Sun, Oct 2	JOHN HENRY TURF CHAMPIONSHIP (G II)	\$200,000	3 & UP	1 1/4 M (T)
Sun, Oct 30	LURE STAKES @	\$75,000+	3 & UP	1 M (T)
Fri, Nov 4	DAMASCUS STAKES	\$100,000	3 & UP	7 F
Fri, Nov 4	GOLDEN STATE JUVENILE FILLIES	\$200,000	F, 2 YO (CA)	7 F
Fri, Nov 4	MARATHON STAKES (G II)	\$200,000	3 & UP	1 3/4 M
Sat, Nov 5	GOLDEN STATE JUVENILE	\$200,000	2 YO (CA)	7 F
Sat, Nov 5	JUVENILE TURF SPRINT STAKES	\$100,000	2 YO	abt 6 1/2 F (T)
Sun, Nov 6	GOLDIKOVA STAKES (G II)	\$200,000	F/M, 3 & UP	1 M (T)

Stakes purse increased for 2016: 2015-\$70 2016-\$75

Sat, Oct 1	UNZIP ME STAKES	\$75,000+	F, 3 YO	abt 6 1/2 F (T)
Sat, Oct 8	SWINGTIME STAKES @	\$75,000+	F/M, 3 & UP	1 M (T)
Sun, Oct 16	SPEAKEASY STAKES	\$75,000+	2 YO	6 F
Sun, Oct 23	ANOAKIA STAKES	\$75,000+	F, 2 YO	6 F

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

Stakes removed from schedule for 2016:

Sun, Sep 27	KING PELLINORE STAKES	\$75,000+		1 1/4 M (T)
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E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

F. List all post times for the daily racing program***:

Race Number	Weekday	Weekend	Friday, November 4**	Saturday, November 5**
1	1:00 p.m.	12:30 p.m.	11:15 a.m.	10:05 a.m.
2	1:30 p.m.	1:00 p.m.	11:50 a.m.	10:35 a.m.
3	2:00 p.m.	1:30 p.m.	12:25 p.m.	11:10 a.m.
5	3:00 p.m.	2:00 p.m.	1:45 p.m.	12:35 p.m.
6	3:30 p.m.	3:00 p.m.	2:25 p.m.	1:15 p.m.
7	4:00 p.m.	3:30 p.m.	3:05 p.m.	1:55 p.m.
8	*4:30 p.m.	4:00 p.m.	3:45 p.m.	2:35 p.m.
9	*5:00 p.m.	4:30 p.m.	4:25 p.m.	3:15 p.m.
10		*5:00 p.m.	5:00 p.m.	4:00 p.m.
11		*5:30 p.m.	5:30 p.m.	4:45 p.m.
12		*6:00 p.m.		5:30 p.m.
13				6:00 p.m.
(*When Applicable)				

**Note: Post times on Friday, November 4th and Saturday, November 5th are tentative and subject to change. Breeders' Cup will release final post times closer to race day.

***Post times may vary by up to 30 minutes.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum A on file.**

C. CORPORATION

1. Registered name of the corporation: **Los Angeles Turf Club II, Inc.**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **#3481872**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Scott Daruty – (Director), 0 Shares
Keith Brackpool – Chairman (Officer), 0 Shares
Mike Rogers – Vice President, Operations (Officer), 0 Shares
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
Gina Lavo – Controller (Officer), 0 Shares
Jane Lynn – Assistant Secretary (Officer), 0 Shares
Scott Daruty – Vice President (Officer), 0 Shares
Joe Morris – Senior VP, West Coast Operations (Officer), 0 Shares
6. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
7. Number of outstanding shares in the corporation: **100 Shares**
8. Are the shares listed for public trading? Yes No
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Jane Lynn, Assistant Secretary**
Email: jane.lynn@stronachgroup.com
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
TSG Developments Investments Inc.
 A. Is parent and/or paired corporation or entity a publicly traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
 If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **N/A** Yes No
 If yes, on what exchange and how the stock is listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER **Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. **On file with the board.**

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.



June 10, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita 2016 Autumn Meet

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita Park) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet, September 29 through November 6, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

cc: Eric Sindler
Tom Varela
Mary Saenz



June 10, 2016

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita Autumn Meet 2016

Dear Ms. Wagner,

Los Angeles Turf Club (Santa Anita) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Autumn race meet, September 29 through November 6, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita's previous meet.

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

cc: Eric Sindler
Tom Varela
Mary Saenz

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

DIRECTORS

ALLEN ALDRICH
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RON ELLIS
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SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

EXECUTIVE STAFF

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2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCONLINE.COM



June 16, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2016 Autumn Meet, September 30, 2016 through November 6, 2016.

Players Pick-5 – A \$0.50 minimum wager on the first five (5) races on each day's card subject to a takeout rate of 14%.

For reference, the Players Pick-5 is noted on the license application in Section 5A.

Very truly yours,

A handwritten signature in cursive script, appearing to read "Frank DeMarco, Jr.", is written over a faint, illegible background.

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

cc: Joe Morris



June 16, 2016

Ms. Jackie Wagner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 1957, Los Angeles Turf Club II, Inc. ("LATCII"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATCII's 2016 Autumn Meet, September 30, 2016 through November 6, 2016.

Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout.

Very truly yours,

Frank DeMarco, Jr.
Vice President Regulatory Affairs
& Secretary

cc: Joe Morris

6. HANDLE HISTORY

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015	\$167,050,366	120,582
2014	\$214,669,596	127,905
2013	\$210,596,121	133,582
2012	\$276,377,652	147,562
2011	\$206,498,901	164,341

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution:

- All races other than stakes:

Current meet estimate: \$ 5,684,893
 Prior meet actual: \$ 5,204,200

Average Daily Purse (7 A1 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 270,709
 Prior meet actual: \$ 273,905

- Overnight stakes:

Current meet estimate: \$ 375,000
 Prior meet actual: \$ 420,000

Average Daily Purse (7 A2 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 17,857
 Prior meet actual: \$ 22,105

- Non-overnight stakes:

Current meet estimate: \$ 2,725,000
 Prior meet actual: \$ 2,604,000

Average Daily Purse (7 A3 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 129,762
 Prior meet actual: \$ 137,084

- Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 8,784,893
 Prior meet actual: \$ 8,228,800

B. California-bred Stakes Races:

- Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: 07.3%
 Prior meet actual: 07.7%

Average Daily Purse (7 B1 ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 9,524
Prior meet actual: \$ 10,526

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 974,150
Prior meet actual: \$ 771,077

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
NTRA	\$ 9,539	\$	11,125
TOC	76,880		69,403
CTBA	64,638		69,403
CTT Pension	76,880		69,403
CTT Admin.	38,440		34,702
Total	\$ 266,377	\$	254,036

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$10,025,420
Prior meet actual: \$ 9,253,913

Average Daily Purse (7 E ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 477,401
Prior meet actual: \$ 487,048

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 4,284,742
Prior meet actual: \$ 3,409,086

Average Daily Purse (7 F ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 204,035
Prior meet actual: \$ 179,426

G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 4,824,699
Prior meet actual: \$ 4,072,834

Average Daily Purse (7 G ÷ number of days): *(Excludes Breeders' Cup)*

Current meet estimate: \$ 229,748
Prior meet actual: \$ 214,360

H. Bank and account number for the Paymaster of Purses' purse account:
On File

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730
 Phone: (909) 944-6465 Email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**
- B. Minimum number of stalls believed necessary for the meeting: **1,853**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,495**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
 - **Los Alamitos – 700**
 - **SLR Training Center – 495**
 - **Galway Downs – 300**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
The 2016 Stabling and Vanning agreement is on file with the Board.

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,950**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
Estimated \$15.95 per day, per stall
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
 - **Los Alamitos \$180 RT**
 - **SLR Training Center \$360 RT**
 - **Galway Downs \$300 RT**

9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.

There is a rotation of private veterinarian's onsite during training hours and during racing hours.

10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS	APPLICABLE RULES
Example Race: \$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information.

B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **Subject to adoption of ARCI Rule, \$2PNP6; Last six live races, 70% Carryover, 15% Minor, 15% Jack Pot Single Ticket would replace existing \$2PNP6; Last six live races, 70% Carryover, 30% Minor.**

C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool:
Unlimited; final distribution November 6, 2016

D. List any options requested with regard to exotic wagering: **N/A**

E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Each race day from 8:30 a.m. to 10:00 a.m.
Advanced wagering will be available on Friday, November 4th for Saturday, November 5th.

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Keith Johnson
AmTote International Inc. Expires August 21, 2020
11200 Pepper Road
Hunt Valley, MD Phone: (800) 345-1566

Santa Anita Park Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*		*	
7	X	X	X	X	*	*	*		*	*
8	X	X	X	X	*	*	*		*	*
9	X	X	X	X	*	*	*			*
10	X	X	X	X	*	*	*			*
11	X	X	X	X	*	*				*
12	X	X	X	X	*					*
13	X	X	X	X						*

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% carryover; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	CHRB 1976.9 Or ARCI 004-105(G)(h); ARCI 004-105(G)(4)(c) (pending approval)	\$2 Pick 6 on the last six live races each day; 70% major pool, 30% minor pool. Alternate runner provision. OR \$2 Pick 6 on the last six live races each day; 70% major pool, 15% minor pool, 15% unique ticket.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE	(EXAMPLE) PNP5-14%
15.43%	W/P/S
20.00%	DD
22.68%	E
14.00%	PNP5
23.68%	TRI; SF; PK3; PK4; PNP6, SuperH5

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet	Watch and Wager.com
Twinspires	Lien Games - Bet America
TVG	

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.
On file with the board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval. **To be provided to the board.**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

The SCOTWINC agreement between LATCHII and SCOTWINC is on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
Exhibit 12D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
Exhibit 12C/F

SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Striders Norco (mini-satellite)
2895 Hamner Ave.
Norco, CA 92860

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

NORTHERN CALIFORNIA

Shasta District Fair
1890 Briggs St.
Anderson, CA 96007

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94566

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206

Stanislaus County Fair
900 N. Broadway
Turlock, CA 95380

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Bankers Casino (mini-satellite)
111 Monterey St.
Salinas, CA 93901

2016 Santa Anita Autumn
 Commingled Locations

West Entertainment	Dubuque (Iowa Greyhound)	Mahoning Valley	Seabrook Greyhound
Amwest Accounts	Elite Turf Club (1 to 18)	Maronas (South America)	Sol Mutuel
Greenbrier (WV)	Ellis Park	Maryland Jockey Club	Sol Mutuel - Odds Broker
Riders Up (SD)	Emerald Downs	Maywood	Sol Mutuel - Otrobanda Casino
Time Out Lounge (SD)	Evangeline Downs	Meadowlands	Southland Greyhound
Triple Crown (SD)	Fair Grounds	Meadows The	State Fair (Lincoln, NE)
Apahoe-Mile High	Fair Grounds ADW	Meadows The (ADW) - PA regional	Suaposta ADW (Brazil)
Anna Race Club	Fair Meadows	Miami Valley Gaming & Racing	Suffolk District OTB
Angton I	Favorites at Gloucester	Mobile Greyhound	Suffolk Downs
Angor Raceway	Favorites at Vineland	Monmouth Park	Sunland Park
Aravia	Finger Lakes	Montana OTB	SunRay Park & Casino
Astoria Park	Finger Lakes ADW	Monticello	Tampa Bay Downs
Astor Racing	Fonner Park	Mountaineer Park	Taunton ADW
Birmingham Greyhound	Freehold	Nassau Regional OTB	Taunton Dog Track Inc.
Bogata Casino	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	The Downs at Albuquerque
Borlino Raceway	Global Wagering Solutions	Newport Jai Alai	Thistledown
Borlino Park	Betsson, Planetwin, Big Bet World	New Jersey ADW (4NJBets)	Tioga Downs
Capital District OTB	Racebets, Intl Betting Assoc	Northfield Park	Tri-State GH (Mardi Gras)
Chickadee OTB	Magna Bet, Pferdewetten, Dantoto		Turf Paradise
Chickadee Race Course	Stan James, Boylesports	Northville Downs	Turf Paradise Bets (ADW)
Chickadee Downs (Harrah's Philly)	Intelligent Gaming; Ladbrokes Australia	NYRA	Turfway Park
Chickadee Downs	Greenetrack	NYRA ADW	TVG
Chickadee Downs	Gulf Greyhound	Oaklawn Park	TVG Chester
Chickadee Downs ADW	Gulfstream Park	Oaklawn Park ADW	TVG High Volume
Chickadee Raceway	Harrington Raceway	Ocean Downs	TVG Prairie
Chickadee OTB	HarringtonBets ADW (DE regional)	Panama	Twin River Greyhound
Bradley Teletheater, Bristol	Hawthorne Race Course	PariBet ADW	TwinSpires
New Britain, Norwalk, Milford	Hawthorne ADW	PARX	TwinSpires High Volume
East Haven, Hartford, Putnam	Hazel Park	PARX ADW	Velocity Wagering Ltd.
Shoreline Star, Sports Haven	Hinsdale	Penn National	Venezuela OTB
Torrington, Waterbury	Hoosier Park	Penn National ADW	Vernon Downs
Manchester, New London	Horseman's Park	Peru	WatchandWager
Williamantic, Sanford OTB	Idabet	Plainridge Race Course	Western OTB
John Martin's Manor Restaurant	Indiana Downs	Plainridge ADW	Wheeling Downs
Connecticut OTB ADW	Clarksville/Evansville OTB	Player Management Group	Will Rogers Downs
Coushatta Casino	Intermountain Racing	Pocono Downs ADW	Wyoming OTB
Paragon Casino	Jockey Club Pernambuco (Brazil)	Pocono Downs/OTB	Wyoming Downs
Ho-Chunk Casino and Racebook	Keeneland	Portland Meadows	XpressBet
Mohegan Sun Casino	Keeneland Select ADW	Potawatomi Casino/ OTB	Xpressbet Illinois
Oneida Bingo and Casino	Kentucky Downs	Prairie Meadows	Yonkers Raceway
Pony Bar Simulcast Center	Kentucky OTB	Premier Turf Club	Yonkers ADW (EmpireCityBets)
Tote Investment Racing	Les Bois (Treasure Valley)	Presque Isle Downs	Yobet Group 1
Randall James Racetrack	Lewiston OTB's	Remington Park	Zia Park
Millenium Racing	Lien Games	Remington OTB Network	123Gaming
Royal Beach Casino	Chips Lounge/Rumors OTB	Retama Park	
Divi Carina Bay Casino	Howard Johnsons OTB	Racing & Gaming Services	Separate Pool Locations
Winner's Circle	Skydancer Casino OTB	Rillito Park	Camarero (Puerto Rico)
Camouflage Gaming	Lien Games ADW	Rockingham Park	Caymanas (Jamaica)
St. Christi Greyhound	BetAmerica/Offtrackbetting ADW	Rockingham Park ADW	Codere (Mexico/Spain)
St. John Raceway	Lincoln Gaming (Mexico)	Ruidoso Downs	MIR Books (California)
St. Lawrence Park	Lone Star	Running Aces Harness Park	NDS Books (Nevada)
St. Louis Downs	Louisiana Downs	Sam Houston	PMU (Brazil)
St. Louis Downs	LVDC	Valley Greyhound Park	Tabcorp (Australia)
	Atlantis Paradise Casino	Saratoga Harness Raceway	Tattsbet (Australia)
	Buffalo Thunder Resort	Saratoga Bets (ADW)	New Zealand Racing Board
	Foxwoods Resort Casino	Scarborough Downs	
	Meskwaki Bingo & Casino	Scioto Downs	

Canadian Locations

Amniboia, Barrie, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Martha Downs, Marquis Downs, Mohawk, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carleton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Western Fair, Woodbine, Xpressbet Southern Alberta

G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

- | | |
|------------------|------------------------------------|
| Arlington | Monmouth |
| Assiniboia | Mountaineer Park |
| Australia Racing | Northlands |
| Canterbury | NYRA - Aqueduct, Belmont, Saratoga |
| Churchill Downs | Penn National |
| Delaware Park | Philadelphia Park (Parx) |
| Delta Downs | Pimlico |
| Ellis Park | Prairie Meadows |
| Emerald | Presque Isle |
| Evangeline | River Downs |
| Fort Erie | South America Racing |
| Gulfstream | Suffolk Downs |
| Hastings Park | Sunray Park |
| Indiana Downs | Tampa Bay |
| Keeneland | Thistledown |
| Laurel | Turf Paradise |
| Lone Star | Turfway Park |
| Louisiana Downs | United Kingdom |
| Mahoning Valley | Woodbine |

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:

Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007

B. Names and addresses of the trustees or directors of the distributing agent:

Frank DeMarco, Jr., Secretary
Los Angeles Turf Club II, Inc.
295 W. Huntington Drive
Arcadia, CA 91007

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- | | |
|-----------------------------|--------------------------------------|
| Association Veterinarian(s) | Dr. Dana Stead |
| Clerk of Scales | Kevin Colosi |
| Clerk of the Course | Angel Lopez |
| Horse Identifier | Jennifer Paige |
| Horseshoe Inspector | Victor Tovar |
| Paddock Judge | Karen Denovel |
| Patrol Judges | Karen Denovel, Randy Valdez |
| Placing Judges | Bob Moreno, Ed Reese, Tony Dominguez |
| Starter | Jay Slender |
| Timer | Dane Nelson |
- B. Management officials in the racing department:
- | | |
|-------------------------------------|------------------|
| VP-Racing | Rick Hammerle |
| Racing Director | Mike Lakow |
| Racing Secretary | Rick Hammerle |
| Assistant Racing Secretary | Zac Soto |
| Paymaster of Purses | Bridget Crawford |
| Assistant Clerk of Scales | Charles McCaul |
| Others (identify by name and title) | |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- Ms. Barbara Weinstein
 9582 Hamilton Ave., Suite 265
 Huntington Beach, CA 92626 Phone: (714) 964-7102
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- Plusmic Corporation USA - Bill O'Brien Expires: December 31, 2016
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
- Jim Porep, Jr., President Contract term: through July 31, 2020
 Pegasus Communications, Inc.
 16633 Ventura Blvd., Suite 1010
 Encino, CA 91436 Phone: 818/907-1900
 Major video and camera equipment listing attached, Exhibit 14E.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
- Electronic timing systems on both dirt and turf courses; maintained and operated by Santa Anita personnel. Systems backed by hand timing.



Jim Porep, Jr., President
 Pegasus Communications, Inc.
 16633 Ventura Blvd, Suite 1010
 Encino, CA 91436
 Office 818-907-1900
 Cell 951-314-6348

Contract Term:

July 31 2012 / July 31 2017

Major Video Equipment Listing

Broadcast Video Production Truck, Owner/Trainer parking lot (E Lot)
 (2) Avid HD graphics systems with Pegasus DataLinks interface software
 (1) GVG Karrera 4ME Switcher
 (1) Router: Blackmagic Design Universal Video Hub
 (1) Summit clip store
 (2) ZPlay HD video recorders
 (1) 3play 820 HD video recorder
 (1) Sony DigiBeta deck
 (1) Audio Calrec Board: 120 input
 (1) Trakus computer (owned by Trakus)

Camera locations and equipment description

1. Pan camera 1: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
2. Pan camera 2: HD Sony HXC 100 triax chain, HD FUJINON 50X LENS
3. Dirt 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
4. Turf 7/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
5. Dirt 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
6. Turf 3/8 tower: HD Sony HXC 100 triax chain, CANON 50X LENS
7. 1/4 pole tower: HD Sony HXC 100 triax chain, CANON 33X LENS
8. Turf Hill tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
9. Tote board camera: HD Sony HXC 100 triax chain, HD CANON 20X LENS
10. Walking Ring (WR) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS
11. Walking Ring Tower: HD Sony HXC 100 triax chain, HD CANON 20X LENS
12. Make & break (WR/WC): HD Sony HXC 100 triax chain, HD CANON 20X LENS (HRTV use)
13. Winner's Circle (WC) 12 ft. Jib: HD Sony HXC 100 triax chain, HD CANON 20X LENS LENS
14. Make & break (WR/WC): HD Sony HXC 100 triax chain, CANON HD 20X LENS
15. RF Gate camera: Wireless HD JVC Camera System, 16X LENS
16. Tunnel camera: HD Pan Tilt Zoom (PTZ)
17. Saddling Barn West camera: HD PTZ
18. Saddling Barn East camera: HD PTZ
19. Saddling Barn: HD Fixed
20. Saddling Barn: HD Fixed

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart: (Exhibit 15A)

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

1 Chief of Security	36 Security Guards
1 Secretary	1 Fire Marshall
1 Stable Security Manager	13 Gatemen
4 Investigators	1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.

Attached (Exhibit 15B)

2. Detention Barns:

a. Attach a plan for use of graded stakes or overnight races.

Attached (Exhibit 15B)

b. Number of security guards in the detention barn area during a 24-hour period.

Four Security Guards

c. Describe number and location of surveillance cameras in detention barn area.

Attached (Exhibit 15B)

3. TCO2 Testing:

a. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives.

b. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT and CHRB directives will be followed.

c. Plan for detention barns for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

d. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff.

- C. Describe the electronic security system:

Attached (Exhibit 15B)

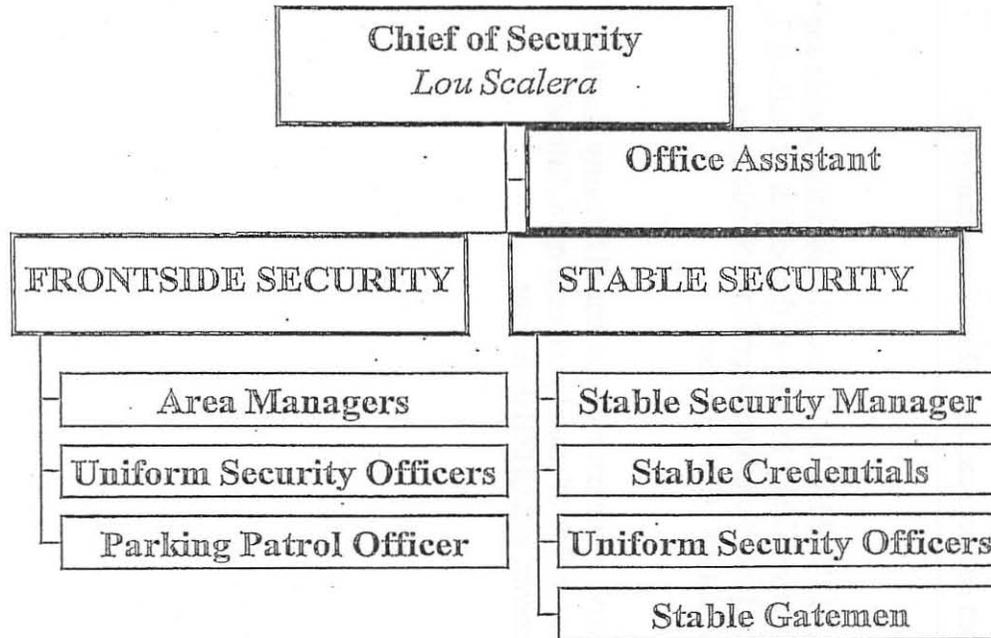
1. Location and number of video surveillance cameras for the detention stall and stable gate.

Attached (Exhibit 15B). In addition to Exhibit 15B, Santa Anita Park has completed installation of a new surveillance system throughout the barn area which will be fully operational prior to the 2016 Autumn meet.

- D. For night racing associations. Describe emergency lighting system: **N/A**

SANTA ANITA PARK

Security Live Racing



SECURITY

Exhibit 15 A

Exhibit 15BDETENTION BARN PLAN

(June, 2016).

Plan

As defined by contractual obligations with TOC, CTT, and CHRB directives, Los Angeles Turf Club, Inc. has designated Barn 121 as the detention barn facility.

Electronic Security System

The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

Camera Locations

Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and provide a wide-angle view of the shed row from both directions. Stable gates providing access to the barn area, are also under surveillance 24 hrs. per day.

Security Guards

Graded stakes races and races of \$100,000 or more, have a minimum of one armed Security Guard on duty per 8 hour shift within each 24 hour period. The number of armed personnel each shift will increase accordingly as defined by TOC, CTT agreements, and CHRB directives.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

AmbuServe, Inc.

Wendal Smith

15105 S. Broadway Ave., Gardena, CA 90248

Phone: (310) 644-0500

1. Attach a certification from the ambulance service(s) listed in 16A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Staff on track ambulance includes: 2 Paramedics

Exhibit on file.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Los Alamitos:

Huntington Ambulance, LLC

Stacey O'Bryan, President

PO Box 145

Sunset Beach, CA 90742

Phone: (562) 904-1550

SLR Training Center:

Richard F. Roesch, President

Mercy Medical Transportation Inc.

2537 Old San Pasqual Rd.

Escondido, CA 92027

Phone: (760) 525-2688

Galway Downs:

Symons Emergency Specialties, Inc., 2001

Jeff Grange, CEO – Ryan Merchant, General Mgr.

18592 Cajon Blvd.

San Bernardino, CA 92407

Phone: (909) 880-2979

1. Attach a certification from the ambulance service(s) listed in 16B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

- **Los Alamitos:** Staff by EMT's with support from Orange Cty. Fire-paramedics. Letter attached.
- **SLR Training Center:** On track ambulance includes two paramedics. Letter attached.
- **Galway Downs:**

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)

- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING

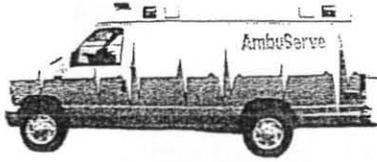
- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT

- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY

- When gates open until after last race



AmbuServe
Ambulance



Exhibit 16A

June 10, 2016

To Whom it May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by AmbuServe, Inc are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

AmbuServe, Inc
15105 S. Broadway
Gardena, Ca 90248
(310) 644-0500

Respectfully,

William Gonzales
Paramedic Coordinator

MERCY

*Medical
Transportation
Inc.*

ADMINISTRTION
5081 Hwy. 104, Ste B
Mariposa, CA
95338
PO Box 5004
Mariposa, CA
95333-5004
(209) 966-5762
Fax (209) 966-4901

June 16, 2016

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Pull Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations

Huntington Ambulance, LLC

CHRB
1010 Hurley Way #300
Sacramento, CA 95825

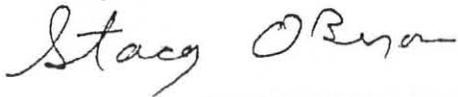
June 16, 2016

Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T's (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T's are licensed with the California Horse Racing Board.

Sincerely,



Stacey O'Bryan - President
Huntington Ambulance, LLC



June 21, 2016

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance, Inc. are trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Thank you,

A handwritten signature in black ink, appearing to read "Ryan Merchant", is written over a horizontal line.

Ryan Merchant
General Manager
Symons Ambulance
951-880-6263 cell
909-880-2979 office

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :
- Dr. Salvador Sotomayor** Phone: (888) 349-8944
15771 Del Prado Drive
Hacienda Heights, CA 91740
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
- Arcadia Methodist Hospital**
300 W. Huntington Drive
Arcadia, CA 91007 Phone: (626) 445-4441
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
Exhibit 16F
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Lou Scalera, Chief of Security
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Attached.
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WC2 B71-170912-015 Expires 6/30/2016
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Exhibit 16J 2017 certificate will be submitted upon receipt, July 1, 2016.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager



EMERGENCY MEDICAL PROCEDURES
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”

- In the event of an accident, LATC Trackside Human Ambulance “Unit 41T “ which is manned by LATC-contracted EMTs and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending EMT’s shall arrive equipped with basic cardiac life support (BCLS), AED, and full C-spine equipment
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41T.



“ON-SITE EVALUATION”

- Based on the EMT’s “on-site evaluation” of injuries , a decision shall be made to either treat the injured jockey(s) on-site or to transport to Methodist Hospital Emergency Room.
 - If on-site treatment is deemed sufficient, Unit 41T shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
 - If off-site treatment is deemed necessary Unit 41 will have the Security Department (channel 1) contact the emergency room and advised them of the situation. Unit 41 will then transport jockey(s) to Methodist Hospital Emergency Room.



“INJURY TREATMENT”

- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical judgment;
 - If deemed necessary Unit 41T will transport the injured jockey(s) to Methodist Hospital.
 - If more than two jockeys need to be transported to the hospital, the City of Arcadia Fire Department will be requested for assistance in transportation.



(Spanish)

PROCEDIMIENTO MEDICO de EMERGENCIAS
PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”

- En el evento de un accidente, la ambulancia humana de LATC “Unidad 41T” es manejada por las EMT’s contratados por la LATC, seguiran a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accident. El EMT arrivara con el equipo cardiaco basico (BCLS), AED, y equipo para la espina dorsal.
- Un evaluacion de las heridas al jinete seran hechas en sitio del accidente por la Unidad 41T.



“EVALUACION EN EL SITIO DEL ACCIDENTE”

- La decision de transporter al jinete a la sala de emergencias del Hispital Methodistista o de ser tratado en la oficina de primeros auxilios del hipodromo sera basada en la evaluacion hecha por el EMT en el sitio del accidente sobre las heridas sufridas.
 - Si el tratamiento es suficiente en el sitio del accidente. La Unidad 41T transportara al jinete directamente a la oficina de primeros auxilios donde el doctor del hipodromo sera el proveedor medico primario.
 - Si se cree que es necesario la Unidad 41T se comunicara con el departamento de seguridad (Canal 1) para que ellos le informen a la sala de emergencias y avisen sobre la situacion. La Unidad 41T entonces transportara el jinetete a la Sala de Emergencias del Hospital Methodistista.



“TRATAMIENTO DE LAS HERIDAS”

- Si el jinete herido es tratado en la oficina de primeros auxilios, el doctor del hipodromo sera el proveedor medico primario al no ser que el doctor del hipodromo entregue custodia del paciente basado en su dictamen medico;
 - Si se cree necesario la Unidad 41T transportara al jinete al Hospital Methodistista.
 - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al Departamento de Bomberos de la Ciudad de Arcadia de sus asistencia en la transportacion.



City of Arcadia

June 23, 2016

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Fire Department

RE: Los Angeles Turf Club Fire Clearance (Fall Meet & Simulcast)

To Whom It May Concern:

The Arcadia Fire Department, Fire Prevention Bureau, conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2016 Fall Meet including Simulcast.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the aforementioned Racing Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Joe Morris, Senior Vice President
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.



Certificate of Insurance ⁷⁻⁴³

No.: ANITA-2016-222

Dated: June 29, 2016

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Jackie Wagner	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive. Aurora, ON L4G 7A9 Subsidiary/Division: Los Angeles Turf Club Incorporated II DBA Santa Anita Park 285 West Huntington Drive Arcadia, CA
--	--

RECEIVED
CHRB
2016 JUL - 7 PM 3:39

This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-14	Jun 30, 2016 to Jun 30, 2017	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-016	Jun 30, 2016 to Jun 30, 2017	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
Suite 800
Toronto, ON M5J 0A8
Telephone: 416-868-2123
Fax: -
Certs.Stronach@marsh.com

Marsh Canada Limited

By: _____
Stephanie Wong

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

Marketing program pending.

- A. Attach a copy of the promotional and marketing plans for the race meeting:
- B. Promotional/ Marketing budget for this race meeting: **To be provided to the board.**
Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers

11* - Food and Beverage hostess's (11 weekends, 4 weekdays)

16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

- D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
 1. Horsemen
Enhanced video system installed throughout the barn area providing 24 hour surveillance of each shedrow.
 2. Fans
 3. Facilities in the restricted areas

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$5.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Club House Admission	\$10.00	
Reserved seating (general)	Free	
Reserved seating (clubhouse)	\$5.00	Sold on premium days only.
CH Box Seat	\$10.00	Non-Premium Days
	\$30.00	Premium Days
Parking (general)	\$4.00	Weekends & Holidays
	Free	Weekdays (Thursday/Friday)
Parking (valet)	\$10.00	
Programs (on-track)	\$2.50	
Programs (off-track)	\$2.50	

Note: The above prices do not apply on Friday, November 4th and Saturday November 5th

B. Describe any "Season Boxes" and "Turf Terrace Membership" fees:

Season Box Seat: \$ N/A

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="40+"/> 40+
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

Note: Jockeys Room has been painted and refurbished throughout entire facility.

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. **CHRB inspection pending; Health Dept. inspection completed and approved.**

B. Number of rooms used for housing on the backstretch of the racetrack: 445

C. Number of restrooms available on the backstretch of the racetrack:
29 Restrooms (116 toilets, 99 lavatories, 45 urinals, 64 showers)

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:
Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.

- C. The percent of cross slope in the straight-aways is: **2%**
The percent of cross slope in the center of the turns is: **5.75%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting. The outside turf rail is a 2 inch metal rail.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
Andy LaRocco, Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions.**
- B. Attach each horseman's agreement pursuant to CHRB Rule 2044.
TOC on file with the board.
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **N/A**
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions.**



June 27, 2016

Laurel Houle
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Santa Anita Park – 2016 Autumn Race Meet Application

Dear Ms. Houle,

Below is a list of items that are currently outstanding and an anticipated date that the board will receive the items.

- TOC ADW Approvals – we made requested the approvals from the TOC. We will forward to the board once we receive them from the TOC.
- Marketing Plan – we anticipate that the board will receive the marketing plan no later than by the close of business on Friday, July 1, 2016.
- CTT Agreement – we hope to have the signed CTT agreement to the board no later than the close of business on Friday, July 8, 2016.

Please let me know if you have any questions.

Sincerely,

Eric Sindler

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name


Signature

Senior Vice President West Coast Operations
Print Title

6-27-16
Date

STAFF ANALYSIS
August 25, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS OCTOBER 19, 2016 THROUGH DECEMBER 20, 2016.

- Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF) October 19, 2016 through December 20, 2016, or 32 days, the same number of days as 2015. PRA proposes to race a total of 258 to 324 races, or 8.06 to 10.13 races per day. Live racing will commence October 20, 2016 through December 11, 2016. In the fall of 2015, PRA ran 8.31 races per day with an average of 7.31 runners per race. The average daily purse for the 2015 fall race meeting was \$152,348. The (estimated) average daily purse for this meet is \$158,571.

October – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing 4 days per week, Thursday through Sunday.
- 7 to 9 races on weekdays; 9 to 11 races on weekends with the following exceptions:
 - Friday, November 4, 2016 will have 8-10 races
 - Saturday, November 5, 2016 will have 9-12 races
 - Thursday, November 24, 2016 will have 7-10 races
 - Friday, November 25, 2016 will have 8-10 races
- First post 12:45 p.m. daily with the following exceptions:
 - Friday, November 4, 2016 Breeders' Cup 12:05 p.m. (subject to change)
 - Saturday, November 5, 2016 Breeders' Cup 11:40 a.m. (subject to change)
 - Thursday, November 24, 2016 Thanksgiving 11:15 a.m.
- Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
 - Advance wagering offered Friday, November 4, 2016 for Breeder's Cup.
 - \$2 Rolling Daily Double on all eligible races - 20% takeout.
 - \$1 Pick 3 on all eligible races; \$1 Trifecta on eligible races; \$.10 Superfecta on eligible races; \$1 Exacta on all eligible races.
 - \$.50 Pick (n) Pool 4 on races 2-5 and last four races. No consolation; 100% carryover; Alternate runner provision.

- \$.50 Pick (n) Pool 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. Request 14% takeout.
 - \$.20 Pick (n) Pool 6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.
 - \$1 Super High Five in the last race of the day selecting the first five finishers in that race only. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, Bet America (Lien Games) and WatchandWager.com, LLC.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers

Summary of Business and Professions Code section 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpire, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that "The contracts signed in 2014 with TwinSpire is still in effect. Section 16 of the simulcast agreement states in pertinent part: "In the

event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information still needed to complete this application includes:

1. California Thoroughbred Trainers agreement

RECOMMENDATION:

If the outstanding items are not received by Tuesday, August 2, 2016, Staff recommends that the application not be heard at the August 25, 2016 Board meeting.

Golden Gate Fields

Via Email and FedEx

July 19, 2016

Nicole Lopes-Gravely
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Golden Gate Fields – 2016 Autumn Race Meet Application

Dear Ms. Lopes-Gravely,

Enclosed is the race meet application for Golden Gate Fields' 2016 Autumn meet. The CTT agreement will be provided to the board upon execution.

Please let me know if you have any questions. You can reach me at (626) 574-6361 or at eric.sindler@monarchmgmt.com.

Sincerely,



Eric Sindler

STATE OF CALIFORNIA
 CALIFORNIA HORSE RACING BOARD
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
 CHRB-17 (Rev 2/15)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: **Pacific Racing Association**

**1100 Eastshore Highway
 Berkeley, CA 94710
 Phone (510) 559-7300 Fax (510) 527-5622
 calvin.rainey@goldengatefields.com**

- B. Breed of horse: TB QH H

- C. Racetrack name: **Golden Gate Fields**

- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

- E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes No

If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: **October 19, 2016 through December 20, 2016**
- B. Actual dates racing will be held: **October 20-23, 27-30; November 3-6, 10-13, 17-20, 24-27; December 1-4, 8-11**
- C. Total number of days or nights of racing: **32 days**

CHRB CERTIFICATION

Application received: 7/19/16
 Deposit received: on file
 Reviewed: [signature]

Hearing date: 8/25/16
 Approved date:
 License number:

- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify)

Racing Thursdays through Sundays

Exceptions: No racing 10/19 or 12/15 through 12/18/16

Dark days: Monday 12/19 and Tuesday 12/20/16

- E. Number of days or nights of racing per week: **4 days per week**

3. RACING PROGRAM

- A. Total number of races: **Estimated: Between 258 and 324 races**

- C. Number of races for each day or night:

- 7 – 9 races on weekdays
- 9 – 11 races on weekends
- Exceptions:
 - Friday, November 4th will have 8 – 10 races
 - Saturday, November 5th will have 9 – 12 races
 - Thursday, November 24th will have 7 – 10 races
 - Friday, November 25th will have 8 – 10 races

- C. Total number of stakes races: **8 (4 Non-Overnight Stakes, 4 Overnight Stakes)**

- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. **Attached**

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **Added "Bear Fan" stake race \$75,000 guaranteed (Includes \$25,000 CBRF supplement) in 2016**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

- E. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

PRA/GGF FALL 2016

(October 20 – December 11, 2016)

STAKES SCHEDULE**PIKE PLACE DANCER**

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Saturday, October 29

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 12

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 19

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Friday, November 25

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Saturday, November 26

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 3

BEAR FAN

\$75,000 Guaranteed

(Includes \$25,000 CBRF supplement)

Fillies & Mares 3yo & up – 6 Furlongs

Sunday, December 4

PRA/GGF FALL 2015

(October 22 – December 13, 2015)

STAKES SCHEDULE**PIKE PLACE DANCER**

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Sunday, November 1

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 7

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 14

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Saturday, November 28

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Sunday, November 29

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 5

NOT APPLICABLE -- NEW IN 2016**PRA/GGF FALL 2014**

(October 16 – December 14, 2014)

STAKES SCHEDULE**PIKE PLACE DANCER**

\$75,000 Guaranteed

(Includes \$25,000 CMC supplement)

2yo Fillies – 1 Mile Turf

Saturday, October 25

GOLDEN NUGGET

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo – 6 Furlongs

Saturday, November 8

OAKLAND

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

3yo & up – 6 Furlongs

Saturday, November 15

GOLDEN GATE DEBUTANTE

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

2yo Fillies – 6 Furlongs

Saturday, November 29

BERKELEY HANDICAP GIII

\$100,000 Guaranteed

3yo & up – 1 1/16

Sunday, November 30

GOLD RUSH

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 6

NOT APPLICABLE -- NEW IN 2016

MISS AMERICA

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up - 1 1/16 Turf

Saturday, December 10

MISS AMERICA

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up - 1 1/16 Turf

Sunday, December 13

MISS AMERICA

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

Overnight Stakes

Fillies & Mares 3yo & up - 1 1/16 Turf

Saturday, October 18

F. List all post times for the daily racing program*:

Race	Post Time Daily Except 11/4, 11/5, and 11/24
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 th (when applicable)	5:15 p.m.

Exceptions:

Friday, November 4, 2016	Breeders' Cup	12:05 p.m. (subject to change)
Saturday, November 5, 2016	Breeders' Cup	11:40 a.m. (subject to change)
Thursday, November 24, 2016	Thanksgiving	11:15 a.m.

*Post times may change in order to coordinate North/South signals.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

C. CORPORATION

- Registered name of the corporation: **Pacific Racing Association**
- State where incorporated: **California**
- Registry or file number for the corporation: **0480316**
Federal ID #94-1585367 California ID #173-1919-5
- Names of all officers and directors, titles, and the number of shares of the corporation held by each:
Keith Brackpool, Chairman (Officer), 0 Shares
Joe Morris, Senior Vice President West Coast Operations (Officer), 0 Shares
Calvin Rainey, Vice President & General Manager (Officer), 0 Shares

Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares

Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares

Gina Lavo, Vice President, Finance (Officer), 0 Shares

Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc.**
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board.**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes No
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes No
7. Registered name of the LLC/Corporation: **Not applicable**

8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file with the Board**
- Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:
- Keith Brackpool, Chairman**
Joe Morris, Senior Vice President West Coast Operations
Calvin J. Rainey, Vice President and General Manager
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Gina Lavo, Vice President, Finance
Sheila Jane Lynn, Assistant Secretary

Merry Scalzo, Director of Administration
Ferdinand Rebusi, Director of Hospitality and Sales
Doug Gooby, Mutuel Manager
TBD, Publicity Manager
Bob Hemmer, Director of Operations
Dan Cirimele, Senior Director of Marketing
Marciano "Rocky" Alado, Public Safety Director
Angela Hermann, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Calvin J. Rainey
Vice President and General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
calvin.rainey@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**
Daily Double **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **Attached**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

Golden Gate Fields

June 25, 2016

Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 200
Sacramento, CA 95825

Dear Rick:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA fall race meet, October 19, 2016 through December 20, 2016.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,


Calvin Rainey
Vice President & General Manager



June 30, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2016 Fall Race Meet

Dear Ms. Wagner,

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, October 19, 2016 - December 20, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
JACK B. OWENS (2001-03, 04-05,
2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey
Mary Scalzo

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
ARCADIA, CA 91007
T: 626-574-6620
F: 626- 821-1515
WWW.TOCONLINE.COM



June 30, 2016

OFFICERS

MIKE PEGRAM
CHAIR
BILL STRAUSS
VICE CHAIR, SOUTHERN CA.
JACK OWENS
VICE CHAIR, NORTHERN CA.
NICK ALEXANDER
SECRETARY/TREASURER
MIKE HARRINGTON
AT LARGE
ED MOGER
AT LARGE

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2016 Fall Race Meet

DIRECTORS

ALLEN ALDRICH
BOB BAFFERT
MARK DEDOMENICO
RON ELLIS
TERRY LOVINGIER
WILLIAM E. MOREY
SAMANTHA SIEGEL
KATHY WALSH
MICHAEL WELLMAN

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Fall meet from October 19, 2016 through December 20, 2016. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

EXECUTIVE STAFF

NICK COUKOS
PRESIDENT
MARY FORNEY
DIRECTOR OF OPERATIONS
RICHARD SCHEIDT
NO. CA. DIRECTOR OF OPERATIONS
JENNIFER SAAVEDRA
BOOKKEEPER

Please feel free to contact me with any questions.

Sincerely,

Nick Coukos
President

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
ROBERT B. LEWIS (1997-2001)
GARY BURKE (2001)
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2011)
RON CHARLES (2003-04)
ALAN LANDSBURG (2005-07)
MARSHA NAIFY (2007-10)
ARNOLD ZETCHER (2010-11)

cc: Cal Rainey, GGF
Merry Scalzo, GGF

CORPORATE OFFICE

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ARCADIA, CA 91007
T: 626-574-6620
F: 626-821-1515
WWW.TOCONLINE.COM

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015 (10-21 - 12/25/15)	\$ 10,610,110.00	53,055 (32 live race days)
2014 (10/16 - 12/25/14)	\$ 12,044,708.00	59,935 (35 live race days)
2013 (10/17 - 12/25/13)	\$ 13,074,833.00	68,675 (36 live race days)
2012 (10/17 - 12/25/12)	\$ 13,070,451.20	71,568 (35 live race days)
2011 (10/19 - 12/25/11)	\$ 14,141,548.10	80,148 (36 live race days)

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

A. Purse distribution: *Prior meet represents October 22, 2015 through December 20, 2015 (32 race days)*

1. All races other than stakes:

Current meet estimate: \$ 4,071,648.00

Prior meet actual: \$ 3,939,392.92

Average Daily Purse (7 A1 ÷ number of days):

Current meet estimate: \$ 127,239.00

Prior meet actual: \$ 123,106.03

2. Overnight stakes:

Current meet estimate: \$ 200,000.00

Prior meet actual: \$ 200,000.00

Average Daily Purse (7 A2 ÷ number of days):

Current meet estimate: \$ 6,250.00

Prior meet actual: \$ 6,250.00

3. Non-overnight stakes:

Current meet estimate: \$ 275,000.00 (Doesn't include \$50,000.00 CMC/CBRF supplement)

Prior meet actual: \$ 204,000.00 (Doesn't include \$25,000.00 CMC supplement)

Average Daily Purse (7 A3 ÷ number of days):

Current meet estimate: \$ 8,593.75

Prior meet actual: \$ 6,375.00

4. Total Purses: (7A1+7A2+ 7A3)

Current meet estimate: \$ 4,546,648.00

Prior meet actual: \$ 4,343,392.92

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:

Current meet estimate: **10.5%**

Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: **.33%**

Prior meet actual: **0%**

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: **\$ 371,791.00**

Prior meet actual: **\$ 373,258.95**

D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:

TOC (NTRA contribution) \$ 64,173.01

TOC \$ 36,670.29

CTT (Administration) \$ 18,335.15

CTT (Pension) \$ 36,670.29

Total \$155,848.74

Prior meet actual:

TOC (NTRA contribution) \$ 65,270.00

TOC \$ 37,297.14

CTT (Administration) \$ 18,648.57

CTT (Pension) \$ 37,297.14

Total \$158,512.85

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: **\$ 5,074,287.74**

Prior meet actual: **\$ 4,875,164.72**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$ 158,571.49**

Prior meet actual: **\$ 152,348.90**

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: **\$ 3,201,232.00**

Prior meet actual: **\$ 3,002,473.71**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$ 100,038.50**

Prior meet actual: **\$ 93,827.30**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$ 1,150,335.00**

Prior meet actual: **\$ 1,328,725.18**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 35,947.97

Prior meet actual: \$ 41,522.66

- H. Bank and account number for the Paymaster of Purses' purse account: **On file**
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net**

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,500**
- B. Minimum number of stalls believed necessary for the meeting: **1,500**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **N/A**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **N/A**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c): **1,405**

- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for vaning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: **On file with the Board**
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. **On file with the Board**

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	TYPE OF WAGERS	APPLICABLE RULES
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE

See Attached for wagering menu, bet minimum, rules, and additional wagering information

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. **None**
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **December 11, 2016 for the period of October 20, 2016 through December 11, 2016**
- D. List any options requested with regard to exotic wagering: **2 Tier – 70%30% split (see above)**
- E. Will "advance" or "early bird" wagering be offered? Yes No
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:
Advanced wagering on Breeders' Cup (Saturday) will be offered Friday, November 4, 2016
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:
AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025

**PRA/GOLDEN GATE FIELDS
EQUINE EMERGENCY SERVICES**

Attachment to PRA / Golden Gate Fields License Application
Section 9A (1)

A.	<u>VETERINARIAN</u>	<u>EMERGENCY CONTACT #</u>
	Dr. Ken Allison	650-642-4636
	Dr. Steve Boyer	510-867-5872
	Dr. William Grantham	415-860-8113
	Dr. Diane Isbell	925-980-1801
	Dr. Kim Kuhlmann	510-867-5871
	Dr. Steve Matuszak	415-860-3001
	Dr. Jerry Parker	650-642-5610
	Dr. Raina Petrov	650-642-5609
	Dr. Don Smith	510-812-9275
	Dr. Sara Sporer	510-220-4860

1.	<u>GOLDEN GATE FIELDS VETERINARIAN SCHEDULE</u>	
	Mondays:	Closed
	Tuesdays:	AM Only – TBD
	Wednesdays:	AM Only – TBD
	Thursdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Fridays	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Saturdays:	AM - Dr. Diane Isbell PM - Dr. Sara Sporer
	Sunday:	AM – Dr. Diane Isbell PM – Dr. Sara Sporer

TBD – Rotating schedule amongst list from exhibit A

Golden Gate Fields Wagering Menu and Information

	W/P/S	Exacta	Trifecta	Superfecta	DD	Pick 3	PNP 4	PNP 5	PNP 6	Pentafecta
1	X	X	X	X	X	X		X		
2	X	X	X	X	X	X	X		*	
3	X	X	X	X	X	X			*	
4	X	X	X	X	X	X	*		*	
5	X	X	X	X	X	X	*		*	
6	X	X	X	X	X	*	*		*	
7	X	X	X	X	*	*	*			*
8	X	X	X	X	*	*	*			*
9	X	X	X	X	*	*				*
10	X	X	X	X	*					*
11	X	X	X	X						*

*When applicable

Wager	Rule	Minimum Wager Amount and other Information
Exacta	CHRB 1959	\$1 Exacta on all eligible races.
Trifecta	CHRB 1979	\$1 Trifecta on all eligible races.
Superfecta	CHRB 1979.1	\$.10 Superfecta on all eligible races.
Daily Double (DD)	CHRB 1957	\$2 Daily Double.
Pick 3	CHRB 1977	\$1 Pick 3.
PNP4 (Pick 4)	CHRB 1976.9	\$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% carryover; Alternate runner provision.
PNP5 (Pick 5)	CHRB 1976.9	\$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.
PNP 6 (Pick 6)	ARCI 004-105(G)(g)	\$.20 Pick 6 on the last six races each day; 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.
Pentafecta (Super Hi-5)	ARCI 004-105(X)(4)	\$1 Super High 5 in the last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners.

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

WPS-15.43%; DD-20.00%; E-22.68%; PK3-23.68%; TRI-23.68%; SF-23.68%; PNP4-23.68%;
PNP5-14.00%; PN6-23.68%; Super H5-23.68%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- Xpressbet
- TwinSpires
- TVG
- Bet America (Lien Games)
- WatchandWager.com, LLC

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

On file with the Board.

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval. **On file with the Board.**

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Agreement on file with the Board; NCOTWINC renewal letter attached

C. California simulcast facilities the association proposes to offer its live audiovisual signal:

Northern California
Alameda County Fair, Pleasanton
Big Fresno Fair, Fresno

Southern California
Antelope Valley Fair, Lancaster
Cabazon Fantasy Springs Casino, Indio



July 2, 2016

Mr. Rick Baedeker, Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95828

Dear Rick,

This "Letter of Agreement" serves to confirm the understanding that the NCOTWINC contract dated April 24, 2008, will cover the race dates October 19, 2016 through December 20, 2016 being run by Pacific Racing Association at Golden Gate Fields.

It is further understood and agreed that all terms and conditions of the aforementioned contract of April 2008, shall be in force and effect during the race meet being run October 19, 2016 through December 20, 2016.

Sincerely,

Bryan Wayte, General Manager NCOTWINC

CA State Fair & Exposition, Sacramento
 Club One, Fresno
 Humboldt County Fair, Ferndale
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 The Jockey Club, San Mateo

Surfside Race Place at Del Mar, Del Mar
 Derby Club at Seaside Park, Ventura
 Fairplex Park, Pomona
 Hollywood Park, Inglewood
 Los Alamitos Racecourse, Los Alamitos
 National Orange Show, San Bernardino
 San Bernardino County Fair, Victorville
 Santa Anita Park, Arcadia
 Sports Pavilion Farmers Fair, Perris
 Sycuan Resort & Casino, El Cajon
 Viejas Casino & Turf Club, Alpine

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

2016 IMPORT TRACKS

Track	Full or Partial Cards and Stakes
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Belterra Park	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream Park	Full or Partial Cards
Gulfstream Park West	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Mahoning Valley	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Oaklawn Park	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **On file with the Board**
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; Firehouse Bar & Grill, Bakersfield, Tilted Kilt, Thousand Oaks, Sahara Dunes Casino dba Lake Elsinore Hotel and Casino, Lake Elsinore, and Race & Sports Authority LLC, Bonita, Striders Norco, Norco; Striders Norco, San Diego.**
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Los Alamitos	Per CHRB calendar	Full Card

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For **ALL** racing associations; list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

2016 Golden Gate Commingle Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows Tha (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arma Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jal Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Mobile	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thisledown
Canterbury	Racebets		Tioga Downs
Capital District OTB	Greenetrack	Cedar Downs OTB	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	Northville	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA	Turfway Park
Chester Downs & Marina LLC	Gulfstream	NYRA Account Wagering	TVG Chester
Churchill Downs	Harrington Raceway	Oaklawn	TVG Network
Club Hiplca InTurf	HarringtonBets (DE regional)	Ocean Downs	TVG Prairie
Coeur d'Alene Casino	Hawthorne Race Course	Panama	Twin River Greyhound
Colonial Downs	Hawthorne ADW	PARX	TwinSpires
Colonial Downs Phone Bet	Hazel Park	PARX Phone Bet	TwinSpires High Volume
Columbus Raceway	Hoosier Park	Penn National	Velocity Wagering Ltd.
Connecticut OTB	Horseman's Park	Penn National Teletbet	Venezuela OTB
Bradley Teletheater, Bristol	Idabet	Peru	Vernon Downs
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Race Course	WatchandWager
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Plainridge Telephone Wagering	Western OTB
Shoreline Star, Sports Haven	Intermountain Racing	Player Management Group	Wheeling Downs
Torrington, Waterbury	Keeneland	Pocono Account Wagering	Will Rogers Downs
Manchester, New London	Keeneland Select ADW	Pocono Downs and OTB	Wyoming OTB
Williamantic, Sanford OTB	Kentuck Downs	Portland Meadows	Wyoming Downs
John Martin's Manor Restaurant	Kentucky OTB	Potawatomi Casino/ OTB	XpressBet
Connecticut OTB ADW	Lebanon	Prairie Meadows	Yonkers Raceway
Coushatta Casino	Les Bols (Treasure Valley)	Premier Turf Club	Yonkers ADW
Paragon Casino	Lewiston OTB's	Presque Isle Downs	Youbet Group 1
Ho-Chunk Casino and Racebook	Lien Games	Raceway Park	Zia Park
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day LLC	123Gaming
Onida Bingo and Casino	Howard Johnsons OTB	Racing2Day Intl. (Stan James)	
Pony Bar Simulcast Center	Rumors OTB	Remington Park	
Tote Investment Racing	Skydancer Casino OTB		Remington OTB Network
Randall James Racetrack	Lien Games ADW	Retama	
Millenium Racing	BelAmerica and Offtrackbetting	Racing & Gaming Services	Separate Pool Locations
Royal Beach Casino	Lone Star	Rillito Park	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	River Downs	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Park	Codera (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Rockingham Account Wagering	MIR Books (Caliante)
Corpus Christi Greyhound	Avatar Ventures	Ruidoso Downs	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Running Aces Harness Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Saddle Brook Park	Tattsbet (Australia)
Derby Jackpot	Meskaki Bingo & Casino	Sam Houston	New Zealand Racing Board
		Valley Greyhound Park	

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hancover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kewartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

None

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent: **Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:

Association Veterinarian(s) Clerk of Scales Assistant Clerk of Scales Clerk of the Course Patrol/Placing Judge Horse Identifier Horseshoe Inspector Paddock Judge/Film Specialist	Sara Sporer, D.V.M (Track Veterinarian) Forrest Franklin, D.V.M. (CHRB Veterinarian) Diane Isbell, D.V.M. (Examining Veterinarian) Ken Sjoldal Ross Allardyce Tina Walker-Bryant Lisa Jones Darrell Sparks Butch Fyllingness Linda Anderson
--	--

Patrol Judge/Steward's Aide	Tammy McDuffie-Morris
Placing Judge/Patrol Judge	Makenzi Talbot
Patrol Judge/Placing Judge	John Leon
Starter	Todd Stephens
Timer	Jelina Pike

B. Management officials in the racing department:

Director of Racing	Patrick Mackey
Racing Secretary	Patrick Mackey
Assistant Racing Secretary	Steve Martinelli & Linda Anderson
Paymaster of Purses	Claudia Dominguez & Patricia Prospero

Others (identify by name and title)

Main Track Superintendent	Juan Meza
Turf Track Superintendent	Bob Hemmer
Price Maker	Steve Martinelli
Stable Superintendent	Carrie Fawcett
Steward's Secretary/Horsemen Liaison	Marci Knight

- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien; Expires: December 31, 2016**
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract on file with Board; Expires June 2021. Contract on file with Board.**
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

15. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Marciano "Rocky" Alado, Public Safety Director is responsible for security on-site
Security Department organizational chart on file with Board
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
Marciano "Rocky" Alado cell phone number (510) 499-9364
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

Attachment to PRA / Golden Gate Fields License Application
14 (E):

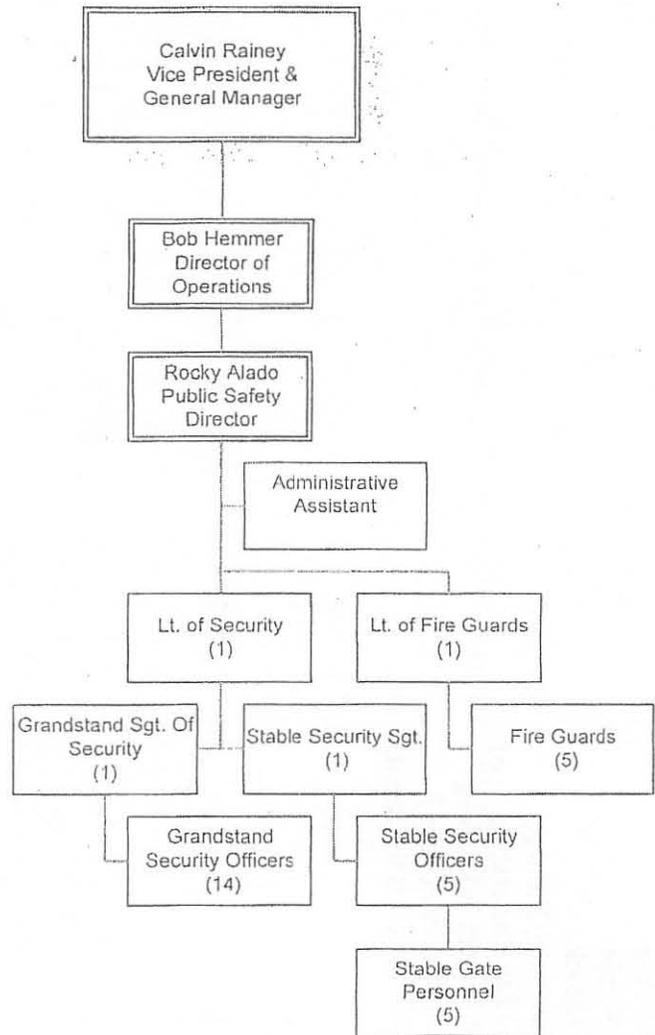
Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

- 7/8 Pole
- 3/8 Pole
- 1/4 Pole
- Paddock
- Paddock (Gliding)
- (2) Pan Cameras on Roof
- Jib camera in Paddock
- Starting Gate (Robo)
- Parking Lot (Robo-scenic)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 08-11-2010 BY 60322 UCBAW/STP

PACIFIC RACING ASSN / GOLDEN GATE FIELDS
SECURITY DEPARTMENT ORGANIZATIONAL CHART
ATTACHMENT 15A



- | | |
|------------------------------|--------------------------------|
| 1 – Public Safety Director | 5 – Security Corporals/Guards |
| 1 – Security Lieutenant | 7 – Stable Security Officers |
| 3 – Security Sergeants | 5 – Stable Gate Personnel |
| 1 – Stable Security Sergeant | 5 – Fire Guards |
| 1 – Fire Guard Lieutenant | 5 – Contracted Security Guards |

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

Will follow CHRB staff directives

B. Plan for enhanced surveillance for trainers with high-test results.

Contractual obligations with TOC, CTT, and CHRB directives will be followed

C. Plan for detention stalls for repeat offenders.

In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

D. Number of security personnel assigned to the TCO2 program.

As needed per the advice of CHRB staff

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office

Attachment to PRA / Golden Gate Fields License Application

SECURITY CONTROLS

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
 1. Name of horse
 2. Name of trainer
 3. Barn number
 4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
 - A. An overview of the race track operating procedures
 - B. A briefing of their duties throughout the day
 - C. All guards are asked to sign out for their specific video camera
 - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
 - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
 - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
 - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
 - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
 - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of \$100,000 or more.

Attachment to Golden Gate Fields License Application

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.

looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, and one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

D. For night racing associations. Describe emergency lighting system: **Not Applicable**

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,
(510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Certification documentation on file with the Board – 1 Paramedic and 1 EMT (1A) ambulance staff;

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

N/A

1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: **On file with the Board**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) :**

Dr. David Seftel

Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444
or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st
Street, Oakland, CA 94602 (510) 437-4865**

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **On file with the Board**

G. Name of health and safety manager and assistant manager responsible for compliance of health

TURF RESCUE, LLC

4470 Hillsborough Drive
Castro Valley, CA 94546
510-581-8470

September 20, 2015

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2016

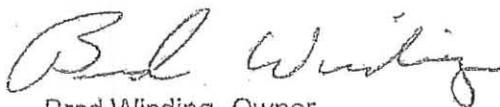
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

<p>TURF RESCUE, LLC 4470 Hillsborough Drive Castro Valley, CA 94546 510-581-8470</p>
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September 20, 2015

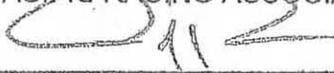
Calvin Rainey
Pacific Racing Association / Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2016 race meetings.

It is further mutually understood and agreed that all terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be subject to change in accordance with insurance premiums.

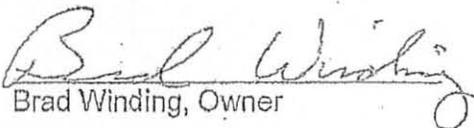
ACCEPTED:

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS



Calvin Rainey, General Manager and Vice President

TURF RESCUE, LLC


Brad Winding, Owner

PACIFIC RACING ASSOCIATION / GOLDEN GATE FIELDS RACE TRACK EMERGENCY MEDICAL PROTOCOL

Golden Gate Fields has had a long term relationship with Turf Rescue Ambulance Service and track physician, Dr. David Seftel who both provide emergency medical care for jockeys and riders. Not only has Golden Gate Fields given superior medical service in emergency situations over the past 14 years with its current practices, we also have a Medical Clinic located in close proximity to the race track and the paddock and employ a track physician during live racing.

This report includes a historical synopsis of Golden Gate Fields emergency medical providers along with our long established Emergency Medical Protocol in order to illustrate the success that Golden Gate Fields has had in providing emergency care during morning training and live racing hours.

TURF RESCUE AMBULANCE SERVICE

Turf Rescue Ambulance Service was established in February 2001 by owner and manager, Brad Winding after working at Golden Gate Fields since 1983 as a part-time EMT (1A) providing Basic Life Support (BLS) coverage in medical emergency situations for exercise riders and jockeys. Brad retired from the City of Albany Fire Department after 30 years of service and has continued a good working relationship with the City of Albany and nearby medical centers.

After the recommendation by the CHRB to include a Paramedic as part of the ambulance staff on a continual basis, Turf Rescue Ambulance Service provides Basic Life Support (BLS) coverage by staffing the Golden Gate Fields ambulance with one (1) licensed Paramedic and one (1) EMT 1A on a daily basis. This combination of personnel follows the Alameda County Protocol for first responders while providing a high level of patient care. Also, Turf Rescue Ambulance Service employs one (1) Assistant Manager along with 17 part-time employees. The majority of Turf Rescue employees work full-time as professional fire fighters in local cities, agencies or ambulance service providers.

TURF RESCUE AMBULANCE STANDARD OPERATING PROCEDURES

During live racing hours: The track ambulance is to respond to fallen jockeys and access their condition to determine if injuries are:

- Life Threatening – Requires immediate notification to the Albany Fire Department via cell phone and to Dr. David Seftel via radio transmission.

- Non-Life Threatening -- Jockey/rider can be transported to the Jockey's Room or Medical Clinic, based upon the decision of the track physician. Following removal from the race track, the physician will determine the level of treatment on-site and the need for transport to a hospital for follow-up treatment. If the track physician is unavailable when responding to a fallen jockey, the Turf Rescue Ambulance personnel shall follow the same procedures as for life threatening injuries by calling the Albany Fire Department at 911.
- At no time is the ambulance to leave Golden Gate Fields for transport.

During morning training hours: For injuries to a jockey/rider during morning workouts, ambulance personnel shall take into consideration the degree of jockey/rider injuries. If transport is necessary, contact the Albany Fire Department for transport.

SHIFT PROCEDURES

Turf Ambulance personnel are to be dressed and ready for work no later than 15 minutes before the shift start time. The first person to arrive at the race track is to pick up the radios and keys to the ambulance from the Main Stable Gate security office. Personnel must be positioned at the Horsemen's Café no less than five (5) minutes before the shift start.

TRAINING HOURS (A.M. SHIFT)

PLACEMENT OF AMBULANCE: Horsemen's Café in barn area (close proximity to race track and Gap gate).

FALLEN JOCKEY/RIDER

If there is a loose horse on the track, that indicates that the jockey/rider has fallen off his/her horse. The rotating red light above the clocking tower will be activated and there will be flashing lights along the inside rail of the race track to warn riders of an emergency situation.

During the morning shift, there will be two (2) Outriders on the track. If there is a fallen jockey/rider, Turf Rescue Ambulance personnel will be contacted by radio by one of the Outriders as to the location of the fallen jockey/rider. The ambulance will be driven from its stationary position at the Horsemen's Café in the Barn Area, which is in close proximity to the track, to the location of the fallen rider (if unsure, refer to map in the ambulance). Ambulance personnel will follow the direction of the Outrider on the track.

If necessary, contact the Albany Fire Department by using the provided ambulance cell phone. If the cell phone is inoperable, radio the Main Stable Gate and instruct them to call the Albany Fire Department. Ensure that Albany F.D. knows where to meet the track ambulance, i.e. Horsemen's Café, Corporation Yard, etc.

A "FIRST RESPONDER FORM" MUST BE COMPLETED IMMEDIATELY FOLLOWING ANY RIDER/JOCKEY INJURY.

LIVE RACING (P.M. SHIFT)

PLACEMENT OF AMBULANCE: Turf Rescue Ambulance Service personnel picks up the daily program at the stable area Walk-In Gate or the Judge's Van to assist ambulance personnel with the placement of the ambulance on the race track for each race.

FALLEN JOCKEY: It is the responsibility of the ambulance passenger to watch the jockeys and the horses. It is the driver's responsibility to drive at a safe speed and distance behind the jockeys and horses during each race. The jockeys, when involved in an accident, will go down very fast so stay back approximately 35 to 45 yards. If a jockey falls down, contact Albany Fire Department and then notify Dr. Seftel by radio of and his/her condition immediately.

CAUTION: Watch for riders and horses coming back around the track. You have less than one (1) minute to move the jockey safely to the outside rail or under the inside rail. Keep the ambulance parked on the outside rail at all times, unless you know there is no danger of horses coming back around the track.

NOTE: The Chain of Command transfers to the Track Physician, Dr. Seftel once he arrives on the scene.

If a jockey refuses treatment, advise Track Physician, Dr. Seftel that you are returning the jockey to the Jockey's Room, then give the Doctor an updated patient assessment.

Golden Gate Fields Jockeys Medical Area Services (Dr. David Seftel)

The track physician at Golden Gate Fields provides a comprehensive set of services pre, intra and post daily racing periods that serve to ensure the health and safety of jockeys working at our facility. This set of services has enabled Golden Gate Fields to ensure 100% jockey survival in the face of severe injury with the best possible long term outcomes.

Pre-racing period

1. The track physician examines and certifies jockeys as fit to ride on a daily basis.
2. The track physician examines and treats jockeys for all medical conditions within his scope of practice to ensure they are healthy and fit to ride.
3. Dietary advice and weight-maintenance counseling are provided on an ongoing basis. Follow-up to prior jockey injuries are made and coordination with Worker's Compensation providers is facilitated.
4. The track physician monitors activities both in the paddock area and during the post parade via television monitors or trackside, in concert with track security officers and the Stewards.

5. The physician is available on radio and personal cell phone at all times. During designated times, the track physician performs annual physical examinations that include blood testing and drug testing as proscribed by the California Horse Racing Board.
6. If specialist care is required the track physician coordinates referrals and ensures follow-up. The track physician monitors environmental health in the jockey's room and other areas of jockey activity and makes recommendations to track management regarding any areas of concern.

Intra-racing period

1. During the race the track physician closely observes the movement of all the riders either via multiple monitors in the medical clinic or trackside from an appropriate vantage point.
2. In the event of an accident the track physician proceeds directly to the scene as expeditiously as possible – either on foot or golf cart if the incident is within close range of the clinic or via the veterinary van that proceeds immediately to pick him up trackside.
3. At the scene of the accident the track physician leads the resuscitation and stabilization efforts assisted by the ambulance crew.
4. A preliminary assessment of severity and injury areas is dispatched to the Stewards via radio and the decision to summon outside ambulance services for transport to hospital is made.
5. Once stabilized, the jockey/s are transported to the trackside medical clinic where further care can be administered as required.
6. A portable kit containing all the required medicines and equipment for advanced life support measures is available in the ambulance and the same set of capabilities is available in the medical clinic.
7. Adrenaline, intravenous fluids, oxygen tanks and a defibrillator are available to manage respiratory and cardiac arrest.
8. Once outside ambulance services arrive at the clinic, the track physician gives report to the accepting team of paramedics, provides jockey demographics and insurance information and assists in preparing the jockey for transport to the appropriate hospital – Alameda County Medical Center in Oakland, CA.
9. Once the jockey/s are en-route to the hospital, the track physician makes a direct **physician-to-physician** call to the Attending Physician in Charge of the receiving hospital emergency room.
10. During this call the track physician provides a clear description of the mechanism of the accident, the velocity, his preliminary findings in the field and all steps taken in preparation to dispatch, in addition to pertinent past medical and surgical history, with a special emphasis on avoiding tests such as the use of contrast dyes in imaging studies like CT scans that might prove harmful to a particular jockey.
11. On arrival in the emergency room (ER), the track physician maintains contact with ER staff to ensure that expeditious and appropriate care is rendered and contacts and communicates with family members regarding status and plans for the injured party.
12. If outside referrals or consultations are required, the track physician facilitates these.
13. The track physician completes Worker's Compensation Form DWC1 and the Doctors First Report of Injury and transmits these to the responsible parties in the emergency room as well as to the Worker's Compensation carrier.

14. The track physician provides report to the Board of Stewards and track management on the diagnosis and current status of injured jockeys.

Post-racing period

1. The track physician completes all medical reports and provides post-race care to jockeys who seek medical attention for any ancillary issues that arise during the race day.
2. Medical clinic supplies inventory and replenishing are performed.
3. In the case of severe jockey injury, the track physician proceeds to the emergency room responsible for care to evaluate and assist in care coordination, planning, decision making and communication with family members.

Dr. Seftel's Recommendations for Optimal Jockey Trackside Care

1. With regard to optimal accident responsiveness and care the best approach features trained professionals working in close collaboration with track security.
2. Ambulance crews should have at least one paramedic trained and skilled in advanced life support measures.
3. A portable kit containing all the required medications and equipment should be available on the ambulance and similar supplies should be available in the clinic.
4. Track medical and security personnel should perform drills on at least a 6 month basis to ensure that all skills are kept current and that all allied personnel are familiar with optimal policies and protocols.

Golden Gate Fields believes its long standing procedures using a BLS ambulance staffed with a Paramedic and an EMT (1A) combined with an on-site physician during live racing capable of providing advanced life support immediately after all accidents has consistently provided our jockeys with outstanding care.

Respectfully submitted:



Calvin J. Rainey
Vice President and General Manager
Golden Gate Fields



GOLDEN GATE FIELDS
Description of GGF Medical Clinic
(Live Racing)

Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRFB in assessment of any impaired individuals.

Staffing

- Physician Medical Director ("Track Physician").

Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRFB.



EMERGENCY MEDICAL PROCEDURES -LIVE RACING-

FOR ON-TRACK INJURY TO JOCKEYS

"FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



"ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



**EMERGENCY MEDICAL PROCEDURES
-MORNING TRAINING-
FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS**

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
(or the accident may very well be witnessed directly by the Ambulance Crew);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the Injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
 - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the Injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
 - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



"INJURY TREATMENT"

- If an Injured jockey(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
 - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
 - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the Injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
 - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
 - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.



**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA
-DURANTE LAS CARRERAS-
PARA HERIDAS A JINETES EN LA PISTA**

"PRIMEROS AUXILIOS"

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);

Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.



PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



"EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
 - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
 - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



"TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
 - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
 - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
 - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
 - Si las heridas se consideran graves y no se pueden movillar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



"ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de Investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

(REV 2.0, OCTUBRE 2011)

and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Roy Roenbeck, Director of Environmental, Health & Safety
Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
On file with the Board; Albany Fire clearance letter (8-23-16 expiration) will be forwarded to Board upon the completion of the inspection
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Fire Insurance Co. WA2-B7D-170912-016 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Workers' Compensation COI attached valid through June 30, 2017. Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

- Tip Sheets West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
- Armored Car Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
- Track Physician Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
- Official Program Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706
- Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590
- Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes No

Urban Village by the Bay

ALBANY CALIFORNIA

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE
ALBANY, CA 94706
510 528-5770

www.AlbanyCA.org/fire

Roy Roenbeck
Environmental Health & Safety Director
Pacific Racing Association/Golden Gate Fields
1100 East shore Highway
Berkeley, CA 94710

July 26, 2016

Mr. Rosenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on July 19, 2016. All areas of Golden Gate Fields Race Track within the Jurisdiction of the City of Albany Fire Department have been inspected. During a pre-inspection meeting past corrected items were reviewed and have been acceptably corrected or mitigated. Compliance was confirmed during this inspection.

The Facility is old. However, the buildings and infrastructure are well maintained. Overall the site is in good condition. The inspections of the facility for fire and life safety systems are currently maintained, and operational. Correction Notices are readily complied with and communication between Golden Gate Fields and the Albany Fire Department is excellent.

Fire Clearance is granted to Golden Gate Fields/Pacific Horse Racing Association. This Fire Clearance Will expires January 16, 2017. At which time a new clearance will need to be applied for. Should you have any further need of service, please do not hesitate to contact me.

Sincerely yours,



Charles Rivers
Fire Marshal
City of Albany Fire Department



Certificate of Insurance

No.: PACII-2016-1

Dated: June 29, 2016

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder: California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	Named Insured and Address: 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9 Subsidiary/Divisions: Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706 and Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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This certificate is issued regarding:

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-14	Jun 30, 2016 to Jun 30, 2017	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	LIBERTY MUTUAL FIRE INSURANCE CO	WA2-B7D-170912-016	Jun 30, 2016 to Jun 30, 2017	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

Additional Information:

The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited

120 Bremner Boulevard
 Suite 800
 Toronto, ON M5J 0A8
 Telephone: 416-868-2123
 Fax: -
 Certs.Stronach@marsh.com

Marsh Canada Limited

By: _____
 Stephanie Wong

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: **On file with the board.**
- B. Promotional/ Marketing budget for this race meeting: **On file with the board.**
Promotional/Marketing budget for prior race meeting: **On file with the board.**
- C. Number of hosts and hostesses employed for meeting:
1 Customer Service Representative
5 Group Sales Representatives
- D. Describe facilities set aside for new fans:

Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service

Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- Installing new pre-fabricated/custom stalls in the barn area to increase stall inventory
- Installing additional surveillance cameras in barn area and receiving barn
- Researching options to re-configure the Winners Circle
- Built storage bin in the barn area for CTT use
- Planning on Jockey's Room refurbishments
- Completed NTRA reaccreditation audit June 3-4, 2016; safety accreditation valid for two (2) years.
- Bridle path renovation in the barn area
- Continuation of laundry service for the Jockey's Room by GGF when contractors retired
- Upgrading Steward's booth by replacing carpet and windows. Added new televisions with upgraded digital signal to the Steward's booth for viewing the races
- Completed the winter/spring maintenance to the Tapeta surface in June 2016
- Working with Bay Alarm to update alarm system by including digital monitoring panels in the barn area
- Continual roadway and barn repairs

2. Fans

- Working on the re-design of the Golden Gate Fields website
- Pegasus Communication high definition (HD) conversion on March 17, 2016; on-site and off-site HD broadcast
- Jib and gliding cameras in Paddock will be used for Winners' Circle, Paddock,

- crowd, and beauty shots
- Implemented new GGF television graphics initiated on March 17th with the rollout of HD broadcast to improve our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
- Replacement of old highway sign with new LED components for clearer display
- Replaced all Top of the Stretch table top televisions (~150) with flat screen LED TV's
- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan's viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2
- Replenished chair inventory by adding 300 chairs in the Club House and Grandstand
- Analyzing new admission policies including current THOROUGHBREDS frequent player program

3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$10.00 – Bundled price includes free program
Admission (turf club)	\$12.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card)
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

General Admission:		Club House Admission:	
1-10 Visits	\$5.00	1-10 Visits	\$8.00
11-20 Visits	\$4.00	11-20 Visits	\$7.00
21-30 Visits	\$3.00	21-30 Visits	\$6.00
31-40 Visits	\$2.00	31-40 Visits	\$5.00
Over 40 Visits	\$1.00	Over 40 Visits	\$4.00
		Reserved seating	Free

Thoroughbred Club Prepaid Admission/Program Packages

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each

Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:
Bundled pricing, except on \$1 Sundays, includes admission and program (see above)

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

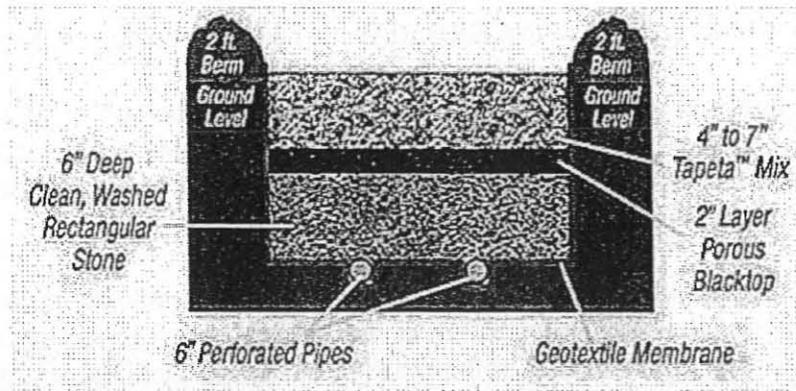
B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:
1 restroom for every 12 people

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Tapeta ® Footings Synthetic Track Surface



- C. The percent of cross slope in the straight-aways is: **1.5%**
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Main Track: Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.
Turf Course: Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file with the Board**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

23. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
TOC agreement on file with the Board
CTT agreement forwarded to Board upon receipt
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a

request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**

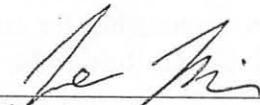
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name


Signature

Senior Vice President West Coast Operations
Print Title

7-19-16
Date

STAFF ANALYSIS
August 25, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE WATCH AND WAGER, LLC AT CAL EXPO OCTOBER 1, 2016 THROUGH DECEMBER 24, 2016.

Watch and Wager.com, LLC (WAW) is applying to run a harness race meeting at Cal Expo October 1, 2016 through December 24, 2016 or 15 days; the same as 2015. Live racing will begin October 22, 2016. WAW proposes to race a total of approximately 210 races. The (actual) average daily purse for the Watch and Wager 2015 meet during the same time period was \$41,298. The (estimated) average daily purse for this meet is \$41,760.

- The race dates proposed are the dates allocated:

October – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

- Racing Saturdays only October 22, 2016 through November 5, 2016; racing two nights per week, Fridays and Saturdays, November 11, 2016 through December 17, 2016.
- First post 6:10 p.m.
 - Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.
 - Racing concurrently with Pacific Racing Association October 22, 2016 through December 17, 2016 and Del Mar November 9, 2016 through December 6, 2016.
 - Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board; it expires September 1, 2016.

- Wagering program will use CHRB and ARCI rules.
 - Early wagering will begin at 10:00 a.m. each live race day.
 - \$0.20 Pick n Pool 4 last four races 16% takeout, 90% carryover if no correct tickets with four winners, 10% minor pool payout.
 - \$0.50 Pick n Pool 5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% minor pool.
 - \$.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no winning ticket.
 - \$0.50 Pick (n) Pool 6 on races three through eight. 70% major pool, 30% minor pool. 70% carryover if no correct tickets with six winners are sold.
 - \$25,000 guaranteed Pick n Pool 4 nightly.
- WAW is requesting a takeout reduction for two wagers on its nightly harness racing program. The proposed reduction is being sought for the \$0.50 Pick 5 and the \$0.20 Pick 4. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the two wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Specific change from the 2015 license application:
 - Six fewer barns available; 240 less stalls
- Advance Deposit Wagering (ADW) providers are ODS Technologies, L.P. dba TVG; XpressBet, LLC; Game Play Network; Lien Games Racing, LLC, dba Bet America; and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.
- Game Play Network (GPN) submitted all documents required allowing it to accept ADW wagers on races conducted outside of California from a resident of California. To date, GPN has not submitted the required documentation to allow it to accept wagers on races conducted in California from a resident of California.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. Fire clearance
2. Bond or bond extension; bond on file expires September 1, 2016
3. Letter of explanation
4. Incomplete racing program section
5. Incomplete equine emergency services section
6. Incomplete pari-mutuel wagering program section

RECOMMENDATION:

If the outstanding items, with the exceptions of items one and two, are not received by Monday, August 8, 2016, Staff recommends that the application not be heard at the August 25, 2016 Board meeting.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, Ca.94939 Ed Comins, ecomins@watchandwager.com 925-336-4499
- B. Breed of horse: TB QH H
- C. Racetrack name: California Exposition & State Fair (Cal Expo)
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
Yes No
If no, attach a surety bond in the amount of one hundred thousand dollars.
Bond on file with CHRB.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for the entire meeting: October 1, 2016 thru December 24, 2016
- B. Actual dates racing will be held: October 22, 29 November 5, 11, 12, 18, 19, 25, 26 December 2, 3, 9, 10, 16, 17
- C. Total number of days or nights of racing: Fifteen (15) Calendar Attached.
- D. Days or nights of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Friday and Saturday evenings

CHRB CERTIFICATION

Application received: 7/7/16
Deposit received: on file
Reviewed: *ll*

Hearing date: 8/25/16
Approved date:
License number:

- E. Number of days or nights of racing per week: One (Saturday) for the first three weeks. Two (Friday & Saturday) beginning Friday Nov 11th thru the balance.

3. RACING PROGRAM

- A. Total number of races: Up to 210
- B. Number of races for each day or night: Up to 14 races nightly.
- C. Total number of stakes races: 5
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Stake schedule attached.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **No changes**

3. Identify the stakes races listed under item D1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **No Stakes have been dropped or deleted. None**

- E. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:
 Drivers and Trainers will use their own registered colors.

- F. List all post times for the daily racing program: 6:10 pm each race night
 Post time schedule attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

- A. Association is a: Corporation (complete subsection C)
 LLC (complete subsection D)
 Other (specify, and complete subsection E)

- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

CALEXPO Racing CALENDAR



2016 October 22 - December 17



October 2016	Sat
	22
	29

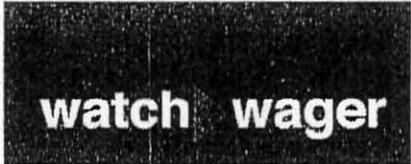
November 2016	Fri	Sat
	4	5
	11	12
	18	19
	25	26

December 2016	Mon	Fri	Sat
		2	3
	5	9	10
	12	16	17
	19	23	24
	26	30	31

Friday, Saturday & Monday
Post time 6:15 pm/pst



Watch and Wager LLC, Operator Cal Expo Harness Racing
 25 Cadillac Drive, Suite 201 • Sacramento, CA 95825
 Website: CalXHarness.com
 Email: info@goldenbearracing.com • Phone: 916-800-1395



**Watch and Wager LLC @
Cal Expo Harness Fall
2016 Overnight Stake
Schedule**

11/19/2016	The Bill Conlin	\$7,000A
11/25/2016	The Annette Funicello	\$7,000A
12/9/2016	The Robert Staats	\$9,000A
12/16/2016	The Joe Lighthill	\$9,000A
12/17/2016	The Robert Gordon	\$10,000A

**Watch and Wager LLC @
Cal Expo Harness Fall
2015 Overnight Stake
Schedule**

10/31/15	The Bill Conlin	\$6,000A
11/7/15	The Annette Funicello	\$6,000A
11/29/15	The Robert Staats	\$7,500A
12/6/15	The Joe Lighthill	\$7,500A
12/20/15	The Robert Gordon	\$7,800A

**Watch and Wager LLC @
Cal Expo Harness Fall
2014 Overnight Stake
Schedule**

10/18/14	The Bill Conlin	\$5,000A
10/18/14	The Annette Funicello	\$5,000A
11/16/14	The Kirk Breed	\$5,000A
11/23/14	The Joe Lighthill	\$6,000A
11/30/14	The Robert Staats	\$6,000A
12/21/14	The Alan Kirschenbaum	\$5,000A

Watch and Wager LLC @ Cal Expo Harness Fall 2016
Overnight Stake Schedule

November 19th The Bill Conlin \$7,000 a

November 25th The Annette Funicello \$7,000 a

December 9th The Robert Staats \$9,000 a

December 16th The Joe Lighthill \$9,000 a

December 17th The Robert Gordon \$10,000 a



watch wager

Cal Expo Harness Racing

2016 Fall Post Time Schedule

	Friday	Saturday
Race 1	6:10	6:10
Race 2	6:38	6:36
Race 3	7:07	7:03
Race 4	7:35	7:30
Race 5	8:02	7:57
Race 6	8:29	8:23
Race 7	8:57	8:50
Race 8	9:23	9:17
Race 9	9:51	9:42
Race 10	10:14	10:03
Race 11	10:36	10:24
Race 12	10:57	10:45
Race 13	11:18	11:05
Race 14	11:38	11:26

* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

C. CORPORATION

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
 - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
If yes, answer questions 10-17. Yes No
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes No
If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch and Wager .com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, President, Managing Member, 0 Shares
5. Are the shares listed for public trading? Yes No X
If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: European Wagering Services 100 % Shareholder
 - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes X No

7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares. Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.
11. Are the shares listed for public trading? Yes No
12. If yes, on what exchange and how the stock is listed:

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
 - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
 - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
 - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
 - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
 - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
 - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

***NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watch & Wager.com LLC.

Watch & Wager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day to day operations of the proposed harness meeting. Management agreement on file with the board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Phariss,	Director of Backstretch Operations
Glen Harris,	Mutuel Manager
John Robert Williams	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Martin Bridges,	Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).. Christopher Schick and/or Benjamin Kenney
25 Cadillac Drive #201, Sacramento, CA 95825 E-Mail chris@goldenbearracing.com
ben@goldenbearracing.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2015 (57 Days)	61,174,025	146,084
2014 (60 Days)	58,899,325	145,065
2013 (68 Days)	62,552,634	134,245
2012 (69 Days)	66,256,754	131,750
2011 (117 Days)	84,237,361	304,981
2010 (134 Days)	92,767,118	389,129

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):

- A. Purse distribution: October 22, 2016 thru December 17, 2016 15 Racing Nights
 Prior meet comparison October 24, 2015 thru December 19, 2015 15 Racing Nights

1. All races other than stakes:
 Current meet estimate: \$ 525,000
 Prior meet actual: \$ 523,595

Average Daily Purse (7 A1 ÷ number of days):
 Current meet estimate: \$ 35,000
 Prior meet actual: \$ 34,906

2. Overnight stakes:
 Current meet estimate: \$ 57,000
 Prior meet actual: \$ 52,300

Average Daily Purse (7 A2 ÷ number of days):
 Current meet estimate: \$ 3,800
 Prior meet actual: \$ 3,487

3. Non-overnight stakes:
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

Average Daily Purse (7 A3 ÷ number of days):
 Current meet estimate: \$ 0
 Prior meet actual: \$ 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$ 582,000
 Prior meet actual: \$ 575,895

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: 0

Prior meet actual: 0

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 15,000

Prior meet actual: \$ 14,210

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 29,400

Total \$ 29,368

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 626,400

Prior meet actual: \$ 619,473

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 41,760

Prior meet actual: \$ 41,298

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 400,000

Prior meet actual: \$ 394,011

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 26,667

Prior meet actual: \$ 26,267

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 80,000

Prior meet actual: \$ 77,673

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 5,333

Prior meet actual: \$ 5,178

- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank # on-file
- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916- 482-4224 disher_accountancy@msn.com

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
- B. Minimum number of stalls believed necessary for the meeting: 625
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): 1020
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: NA
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: NA

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: No Auxiliary Sites
 - 1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. No Auxiliary Sites

10. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta (0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 (0.20 PNP4)	1976.9
Pick-5 (.50 PNP5)	1976.9
Pentafecta (.20 Super H5)	ARCI 004-105-X- Option 3
PN6 (0.50)	1976.9

- Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5
- Race 2: 1E, 0.20 Tri, .10 SF
- Race 3: 1E, 0.20 Tri, 0.10 PN6
- Race 4: 1E, 0.20 Tri, .10SF, 1 P3
- Race 5: 1E, 0.20 Tri, .10SF
- Race 6: 1E, 0.20 Tri, .10SF
- Race 7: 1E, 0.20 Tri, .10SF, 1 P3
- Race 8: 1E, 0.20 Tri, .10SF
- Race 9: 1E, 0.20 Tri, .10SF
- Race 10: 1E, 0.20 Tri, .20 PNP4
- Race 11: 1E, 0.20 Tri, .10 SF
- Race 12: 1E, 0.20 Tri, .20 Super H5
- Race 13: 1E, 0.20 Tri, .10SF, 1DD
- Race 14: 1E, 0.20 Tri, .10SF, .20Super H5

\$0.20 PNP4 on last four races. 16% takeout. 90% carryover if no correct tickets with four winners. 10% minor pool payout.

\$0.50 PN6 on races 3 thru 8. 70% Major pool payout to tickets with six winners. 30% payout to minor pool winners. 70% carryover if no correct tickets with six winners are sold.

\$0.50 PNP5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% Minor pool

\$0.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.

- B. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. No new wagers added or deleted.
- C. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 17, 2016
- D. List any options requested with regard to exotic wagering:
Request for 16% Takeout on Pick-5 (0.50) PNP5

Request for 16% Takeout on Pick-4 (PNP4 0.20)

Request for Friday \$25,000 Guaranteed Pick-4 Pool

Request for Saturday \$25,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- E. Will "advance" or "early bird" wagering be offered? Yes No
If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:
All live race days.
- F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote International...Statewide contract, Contract expires Sept 2018.

Promotional Wager Takeout Reduction Request

Cal Expo Fall Meeting 2016

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the \$0.50 Pick-5, and the \$0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

California Harness Horsemen's Association
P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888; fax (916) 263-7887

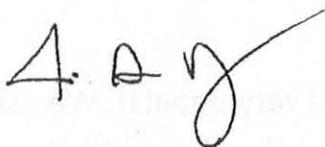
May 1, 2016

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 22, 2016 and concluding in May of 2017.

Sincerely,
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez,
Executive Director

G. List below the takeout percentage for each type of wager identified in 10A:

TAKEOUT PERCENTAGE
(Example) PNP5-14%

- Race #1 WPS 17.43% E24.18% Pick-5 16% Tri 24.18% DD 24.18%
- Race #2 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #3 WPS 17.43 % E24.18% Pick-6 24.18% Tri 24.18%
- Race #4 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #5 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #6 WPS 17.43% E 24.18% Tri 24.18 Sup 24.18%
- Race #7 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #8 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18%
- Race #9 WPS 17.43% E 24.18% Tri 24.18% P4 16%
- Race #10 WPS 17.43% E 24.18% Tri 24.18% Penta 24.18%
- Race #11 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% P3 24.18%
- Race #12 WPS 17.43% E 24.18% Tri 24.18% Sup 24.18% DD 24.18%
- Race #13 WPS 17.43% E 24.18% Tri 24.18% Penta 24.18%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

- TVG
- Xpressbet
- Game Play Network
- Watch and Wager.com

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. ADW Agreements on File

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
Southern California Off Track Wagering Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
Scotwinc and Watch and Wager agreement is on file with the Board.

California Harness Horsemen's Association**P.O. Box 254767****Sacramento, CA 95865****(916) 263-7888**

May 1, 2016

Mr. Rick Baedeker

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Baedeker:

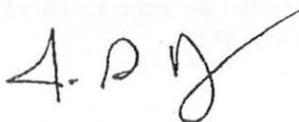
This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on October 22, 2016 and extends through the final night of racing in May of 2017. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are please to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

Watch and Wager LLC @ Cal Expo Fall 2016 Meeting

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR
POOLS WITH CAL EXPO.**

American Tab, Oregon	New Jersey Casinos, Atlantic City, NJ
Atlantic City Race Course, New Jersey	Nevada Pari-Mutuel Association, Nevada
Atlantis Paradise Island Casino, Fla	Northfield Park, Ohio
Balmoral Park, Illinois	Northlands Park, BC, CAN
Batavia Downs, New York	Northville Downs, Michigan
Borgata Casino, Atlantic City, NJ	Ocean Downs, Maryland
Buffalo Thunder Resort, New Mexico	Penn National Gaming, Pennsylvania
Capitol District OTB, New York	Philadelphia Park, Pennsylvania
Catskill Region OTB, New York	Plainridge Racecourse, Massachusetts
Connecticut OTB, Conn.	Pompano Park, Florida
Downs at Albuquerque, New Mexico	Premiere Gateway
Elite Turf Club	Racing Channel, Oregon
Empire City Bets, New York	Racing & Gaming Services, St. Kitts
ExpressBet, California	Running Aces, Minnesota
	Sam Houston Park, Texas
Fire Lake Casino, Oklahoma	Saratoga Harness, New York
Foxwoods Casino, Connecticut	Scioto Downs, Ohio
Fraser Downs, BC, CAN	Southland Greyhound, Arkansas
Freehold Raceway, New Jersey	Sports Creek Raceway, Michigan
Game Play Network, Ca	Suffolk Downs, MA
Harrington Raceway, Delaware	Suffolk Regional OTB, New York
Harrah's Philly, Pa	Sunland Park, New Mexico
Hastings Park, BC, CAN	Television Games Network (TVG)
Hawthorne Racecourse, Illinois	The Meadows, Pa
Hazel Park, Mich	Thistledown, Ohio
Hippodrome de Montreal, QUE, CAN	The Downs at Albuquerque, New Mexico
Hoosier Park, Indiana	The Red Mile, Kentucky
Illinois OTB, Illinois	Tri-State Greyhound, WV
Indianapolis Downs, Indiana	TwinSpires.com
Las Vegas Dissemination, Nevada	Watch and Wager.com LLC
Les Bois Park, Idaho	Western Fair, ONT, CAN
Lien Games, North Dakota	Western Region OTB, New York
Lone Star Park, Texas	Windsor Raceway, ONT, CAN
Maywood Park, Illinois	Woodbine Entertainment. Group, ONT, CAN
Meadowlands Race Track, New Jersey	XpressBet Com
Megatote LLC, Wi	Yonkers Raceway, New York
Mesquaki Casino, Iowa	
Mohawk Racetrack, ONT, CAN	
Nassau Region OTB, New York	

- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

Hawthorne	TBD	Select Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature
Mohawk	TBD	Selected Feature

Under current statute, the association proposes to import eight (8) out of state races nightly during live Racing nights overlapped with Los Alamitos. Any live race night without overlap from Los Alamitos, consistent with statute, the association will import 16 races.

Association requests approval to import the entire Breeders Crown program from The Meadowlands, Saturday October 29th, 2016. This request is consistent with 19596 #1 which allows harness associations racing live that evening to import the entire Breeders Crown program.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
--------------------	----------------	------------	--------------------------------

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 - 68-0031464
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**A. Racing officials nominated:**

Association Veterinarian(s):	Eduardo De La Cruz D.V.M
Clerk of Scales	NA
Clerk of the Course	Patricia Petersen
Film Specialist	Plusmic Inc.
Horse Identifier	Carl Sobey
Horseshoe Inspector	Larry Goshman
Paddock Judge	Walter Petersen
Patrol Judges	Kenneth Fowler
Placing Judges	Tina Waters
Starter	Kenneth Fowler
Timer	Tina Waters

B. Management officials in the racing department:

Director of Racing:	Robin Schadt
Racing Secretary:	Robin Schadt
Assistant Racing Secretary:	Brent Bridges
Paymaster of Purses:	Glen Harris

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916- 956- 3914 breezecsr@att.net

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2017

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2016.
Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 10, 2017.

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2015 security organizational chart.

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18

California Exposition & State Fair Law Enforcement

LAW ENFORCEMENT
 Robert Craft, Chief
 State Fair Police
 313-506-1981, 002

Cathy Purcell,
 Staff Services Advisor
 313-506-1057-002

James Dugan (PI)
 Enforcement
 313-600-1947-001
 Santiago Garcia (RA)
 Investigative
 313-600-1947-901

Sherman (PI)
 30 PERS
 (A & POST)
 313-506-1946-902
 Sherman (RA)
 20 PERS
 (A & POST)
 313-506-1946-901

SEARCHED POLICE
 Chief
 16 PERS
 313-600-1949-001
 Seasonal Police
 Chief (RA)
 7 PERS

POLICE OFFICER
 Kimberly Ayres
 313-506-1946-001
 Police Officer (PI)
 62 PERS
 (A & POST)
 313-600-1946-002
 Police Officer (RA)
 41 PERS
 313-600-1946-001

David Hernandez,
 Lead Security Guard
 313-600-1951-001

State Fair Worker
 of PERS
 (A & POST)

Security Guard
 Ramiro Ayres
 313-600-1951-001
 Kevin McDermon,
 313-600-1951-002
 Security Guard (PI)
 of PERS
 (A & POST)

Security Guard (RA)
 Catherine Lagre
 313-600-1951-901
 Concience Shadons
 313-600-1951-901
 Daniel Panko
 313-600-1951-902

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.

- B. Number of security guards in the detention stall area during a 24-hour period. Two

- C. Describe number and location of surveillance cameras in detention stall area.

The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergency's only. All ingress or egress is documented in the log book.

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

Currently seven races nightly, two horses per race, randomly drawn by the stewards.

Association will evaluate for potential increase.

- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.

- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.

- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

- C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.

One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.

- D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

16. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600
1. Attach a certification from the ambulance service(s) listed in 16 A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached.
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA
1. Attach a certification from the ambulance service (s) listed in 16 B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA
- C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : NA**
1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Inspection Pending.



July 14, 2016

To Whom It May Concern;

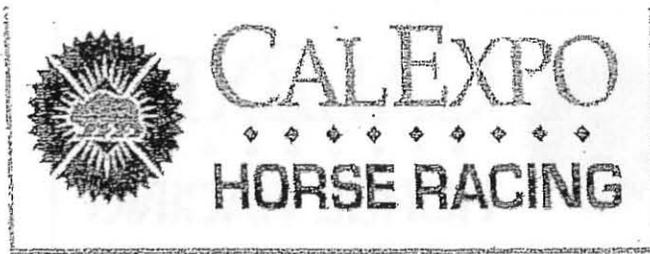
American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: <http://www.centralregistry.ca.gov/Search.aspx>. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP.

Please feel free to contact me if you require further information.

Sincerely,

A handwritten signature in cursive script that reads 'Jennifer L. Bales'.

Jennifer L. Bales, MICP
Operations Manager
AMR – Sacramento County



Watch & Wager,com LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

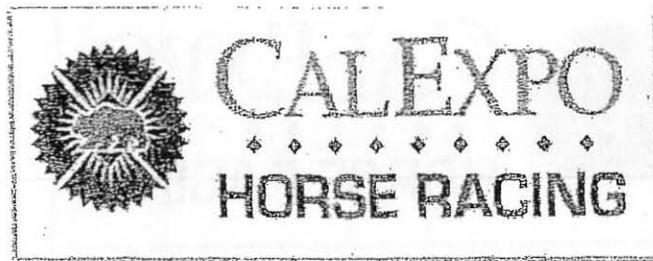
"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



Watch & Wager.com LLC @ Cal Expo

"PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

"EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

"ATENCION AL ACSIDENTADO"

- El acidentado sera tratado de inmediato por (EMT).
Sies demaciado grabe el accidente sera transportado por ambulancia



CERTIFICATE OF LIABILITY INSURANCE

WATCAND-C1 SPRZEMIELEWSKI

DATE (MM/DD/YYYY)

7/20/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Bolton & Company 3475 E. Foothill Blvd., Suite 100 Pasadena, CA 91107	CONTACT NAME: Sara Przemielewski	FAX (A/C, No):
	PHONE (A/C, No, Ext):	
INSURED WatchandWager.com, LLC 25 Cadillac Drive, Suite 201 Sacramento, CA 95825	E-MAIL ADDRESS: sprzemielewski@boltonco.com	INSURER(S) AFFORDING COVERAGE
	INSURER A: Cypress Insurance Co.	NAIC # 10855
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	COMMERCIAL GENERAL LIABILITY CLAIMS-MADE : OCCUR					EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
	GEN'L AGGREGATE LIMIT APPLIES PER: POLICY : PROJECT : LOC					
	OTHER:					
	AUTOMOBILE LIABILITY ANY AUTO : SCHEDULED AUTOS ALL OWNED AUTOS : NON-OWNED AUTOS HIRED AUTOS					COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	UMBRELLA LIAB : OCCUR EXCESS LIAB : CLAIMS-MADE DED : RETENTION \$					EACH OCCURRENCE \$ AGGREGATE \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N N/A	WAWC704362	08/03/2016	08/03/2017	X PER STATUTE : OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Evidence of Insurance

CERTIFICATE HOLDER California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE <i>Sara Przemielewski</i>
---	--

- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Cypress Insurance Company Policy# WAWC602366
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.
Attached Certificate

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Ovations

Does the association provide its own concessions? Yes No

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans: for the race meeting: Attached
- B. Promotional/ Marketing budget for this race meeting: \$30,500
Promotional/Marketing budget for prior race meeting: \$ 34,000
- C. Number of hosts and hostesses employed for meeting: 2
- D. Describe facilities set aside for new fans: None
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
 - 1. Horsemen: None at this time.
 - 2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation.
 - 3. Facilities in the restricted areas: None at this time.

Watch and Wager.com LLC @ Cal Expo

Marketing and Promotional Plan

2016 Fall Meeting

New Stable Recruitment : We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$250,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product.

Improved Simulcast Production.: Our on air team of Gary Siebel and Dave Brower provide some of the best insight in the industry. Last season we added Derick Giwner from DRF Harness to our analyst team. Derick will return for 2016. We are also pursuing other National analyst talent as contributors. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Five percent of harness handle comes from off-track sources.

Website: We have given our website a complete facelift. We continue to offer free live video streaming and archived replays.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.

On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/ Admission: We will continue the policy of **free** parking and admission every live racing night.

Concessions: We will continue to work with the Cal Expo master caterer (Ovations) to provide live fans with food and beverage nightly specials. We have agreed to a \$1 night the final Saturday of each month.

Handicappers: We will be sharply focused on the gambling public. In addition to Gary Siebel and Dave Brower for our Pick-4 analysis... We have added Derick Giwner of the DRF Harness to do nightly Pick-5 analysis. Each night we propose to offer two low takeout wagers. Each night we will have a guarantee on our Pick-4. We hope to implement a guaranteed pool on our Pick-5. We have limited our gimmick schedule somewhat to gives our fans bigger wagering pools.

19. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from the previous year:
 - Admission (general) Free
 - Admission (clubhouse) Free
 - Reserved seating (general) NA
 - Reserved seating (clubhouse) NA
 - Parking (general) Free
 - Parking (preferred) NA
 - Parking (valet) NA
 - Programs (on-track) 3.50
 - (off-track) 4.00 Program is a joint Los Alamitos/ Cal Expo night program.
- B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA
- C. Describe any "package" plans such as combined parking, admission and program: NA

20. JOCKEYS/DRIVERS' QUARTERS

- A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input style="width: 50px;" type="text" value="46"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input type="checkbox"/>	Certified platform scale
- B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

21. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

22. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.

- C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6%
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J,R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

23. DECLARATIONS

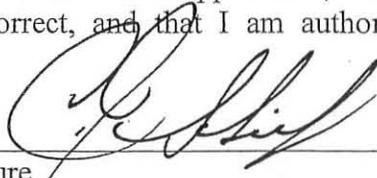
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). Lease agreement between Cal Expo and Watch and Wager LLC is on file with the board. Lease extension till May 2022 attached.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SCHICK
Print Name


Signature

General Manager
Print Title

JULY 6, 2016
Date

STAFF ANALYSIS

August 25, 2016

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR AT FRESNO OCTOBER 5 THROUGH OCTOBER 18, 2016.

The Big Fresno Fair (Fresno) filed its application to conduct a horse racing meeting at Fresno October 5 through October 18, 2016, or 9 days, same as 2015. Fresno proposes to race a total of 100 races, the same as 2015. Fresno will be simulcasting only on October 5, 2016, and live racing will begin on October 6, 2016.

- The proposed race dates are the approved dates allocated to the fair.

October – 2016						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Racing 5 days the first week and 4 days the second week. Ten races Thursdays, Fridays and Monday; twelve races Saturday, October 8th and Sunday, October 9th; and 13 races Saturday, October 15th and Sunday, October 16th.
- Racing concurrently with the Los Angeles Turf Club II, Inc. racing association at Santa Anita Park.
- Number of horses available determines the number of daily races programmed by breed.
 - 2015 Race Meeting: Average number of runners per race (TB): 6.51
 - 2015 Race Meeting: Average number of runners per race (Arabian): 6.5
 - 2015 Race Meeting: Average number of runners per race (Quarterhorse): 7.5
 - 2015 Race Meeting: Average number of runners per race (Mules): 6.71
- First post 12:15 p.m. Saturday and Sunday, 1:15 p.m. Monday, Thursday and Friday.
- Specific changes from the 2015 license application:
 - Parking (season) was not offered in 2015. 2016 offers \$100 Parking (season).

Wagering program will use CHRB and ARCI rules.

- The distribution of the carryover pool will be October 16, 2016 (Closing Day).
- Early wagering will not be offered.
- \$1 Place Pick and \$1 Trifecta \$0.10 Superfecta when applicable.
- \$0.50 Pick (n) Pool 4 on first 4 races and last 4 thoroughbred races each day.
- \$0.50 Pick (n) Pool 5 on last 5 races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool paid to most winners.
- \$0.20 Pick (n) Pool 6 on the last 6 races each day. 100% pools paid and any prior carryovers for unique tickets selecting 6 winners. If no ticket selects six winners the 40% minor pool

paid for the day is paid to tickets selecting the most winners. 60% major pool will be added to the carryover.

- \$0.20 Pentafecta (Super High 5) selecting first 5 finishers in same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first 5 finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first 5 finishers. 60% of major pool will be added to the carryover. If no ticket selects the first 5 finishers 100% of the pool will be added to the carryover. Super High 5 will be carded on the last race of the day.
- The Advance Deposit Wagering (ADW) providers are TVG, Twin Spires, Xpressbet, Bet America, and Watch & Wager.
- Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba TwinSpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.

Monarch Content Management, LLC (MCM), has shared that “The contracts signed in 2014 with TwinSpires is still in effect. Section 16 of the simulcast agreement states in pertinent part: “In the event Monarch permits Guest to continue wagering on Monarch Content after the expiration of the Term without entering into a new agreement, then the terms and provisions of this Agreement shall remain in effect.”

- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Request Darrell Sparks as horse identifier pursuant to CHRB Rule 1525.
- Inspection of backstretch worker housing has been requested and will be completed prior to start of race meet.
- Track safety inspection has been requested and will be completed prior to start of race meet.

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A Name, mailing address, telephone, and fax numbers of fair:

21st District Agricultural Association
The Big Fresno Fair
1121 South Chance Avenue
Fresno, Ca. 93702
(559) 650-3247; FAX (559) 650-3226

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

John C. Alkire, CEO, 559-650-3355; jalkire@fresnofair.com
Lauri King, Deputy Manager, 559-994-3135; lking@fresnofair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **October 5 – October 18, 2016**

B. Actual dates racing will be held: **October 6 - 10 & October 13 – 16, 2016**

C. Dates racing will NOT be held: **October 5 is a simulcast day; October 11, 12, 17 & 18 are dark days.**

D. Total number of racing days: **9**

E. Days of the week races will be held:

Wed - Sun Tues - Sat Other (specify) **Thu-Mon, 1st week; Thu – Sun, 2nd week.**

Application received: 6/27/16	CHRB CERTIFICATION	Hearing date: 8/25/16
Reviewed: <i>[Signature]</i>		Approved date:
		License number:

3. RACING PROGRAM

A. Total number of races: 100

B. Number of races by breed:

<input type="text" value="78"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="9"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="8"/>	Mules

C. Number of races daily:

	1 st Sun.	2 nd Sun.	1 st Mon.	1 st Thurs.	2 nd Thurs.	1 st Fri.	2 nd Fri.	1 st Sat.	2 nd Sat.
Thoroughbred	9	10	8	8	8	8	8	9	10
Other Breeds	3	3	2	2	2	2	2	3	3
Total	12	13	10	10	10	10	10	12	13

D. Total number of stakes races by breed:

<input type="text" value="2"/>	Thoroughbreds	<input type="text" value="1"/>	Quarter Horses	<input type="text" value=""/>	Appaloosas
<input type="text" value="1"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="2"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item E that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). None.

3. Identify the stakes races listed under item E1 that have been dropped or deleted, and the reasons the stakes were dropped or deleted. No Changes.

2016

Harris Farms Stakes (CAL BREDS)
\$100,000 Guaranteed (\$40,000 CTBA)
Golden State Series
Three-year olds & Upward – Six Furlongs
Sat, Oct 8

Bulldog Hdcp, 23rd Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-Year Olds & Upward, One & 1/8 Miles
Sunday, Oct 16

2015

Harris Farms Stakes (CAL BREDS)
\$100,000 Guaranteed (\$40,000 CTBA)
Golden State Series
Three-year olds & Upward – Six Furlongs
Sun, Oct 11

Bulldog Hdcp, 22nd Running
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-Year Olds & Upward, One & 1/8 Miles
Sun, Oct 18

2014

Harris Farms Stakes (CAL BREDS)
\$100,000 Guaranteed (\$40,000 CTBA)
Golden State Series
Three-year olds & Upward – Six Furlongs
Sun, Oct 5

Bulldog Hdcp, 21st Running
\$75,000 Guaranteed
Three-Year Olds & Upward, One & 1/8 Miles
Sun, Oct 12

The Harvest Stakes
\$50,000 Added Overnight Hdcp
(Plus up to \$15,000 to Cal-Breds)
Three-Year Olds & Upward F/M – Six Furlongs
Sat, Oct 11

Harvest Stakes was moved to Stockton in 2015 and then to Pleasanton in 2016 and changed to the Oak Tree Juvenile for two year olds going one mile.

FRESNO

THE BIG FRESNO FAIR

THE STARTING GATE

October 5-16, 2016
 Dark Days - Oct. 5, 12, & 13
Track Opens September 27!
 Stables Open September 25

Incentive Program*

Shipping Incentive

Ship to Fresno from:

- Pleasanton
- Golden Gate
- So. California

and receive \$200 per horse

2016 Owner/Trainer Incentive Program

1st Prize	\$10,000.00
2nd Prize	A Two-Horse Trailer or \$7,500
3rd Prize	\$2,500.00
4th Prize	\$1,750.00
5th Prize	\$1,000.00

2016 Mixed Breeds Owner/Trainer Incentive Program

1st Place	\$2,500.00
2nd Place	\$1,500.00
3rd Place	\$1,000.00

2016 Thoroughbred Trainer Incentive Program

2-4 Starts	Receive \$300
5 Starts	Receive \$500
10 Starts	Receive \$1,000

Winners will be determined by points earned:

1st Place	8 Points
2nd Place	5 Points
3rd Place	3 Points
4th Place	2 Points
5th Place	1 Point

Special Awards

To be given out the last day
of the Fair Sunday, October 16

Ardie Der Manouel Special Trainer Award

A commemorative trophy saddle will be given to a selected trainer in recognition of his/her commitment to live horse racing excellence at The Big Fresno Fair.

Top Jockey Thoroughbred

A commemorative belt-buckle award will be given to the top jockey thoroughbred.

Top Jockey Mixed Breed

A commemorative belt-buckle award will be given to the top jockey mixedbreed.

Top Groom

A commemorative belt-buckle award will be given to the top groom.

Saddle Racks

Four Custom Saddle Racks will be given away at the discretion of The Big Fresno Fair Management team.

High Point Trainer

A custom horse trailer or \$7,500 cash will be given to the top horse trainer.

*For Rules and Regulations please refer to the 2016 Big Fresno Fair Condition Book available in September.

Big Stakes Races

- \$100,000 Harris Farms Stake
(Golden State Series Cal Breds)
Three-Year-Olds & Up
Six Furlongs
- \$50,000 Bulldog Handicap
21st Running
Three-Year-Olds & Up
One Mile & One Eighth
- \$15,000 Fresno Quarter Horse Futurity
23rd Running
Two-Year Olds
350 Yards
- \$15,000 Fresno Quarter Horse Dash
2nd Running
Three-Year-Olds & Up
350 Yards
- \$6,000 Fresno AMRA Gold Cup
18th Running
Three-Year-Olds & Up, Mules
440 Yards
- \$8,000 Fresno Arabian Stake
Three-Year-Olds & Up, Arabians
One Mile

Don't miss the
**Owner Trainer
Appreciation BBQ**

Thursday, Oct. 13
at 6 p.m.

The Big Fresno Fair would like to
thank our Live Horse Racing sponsors:



**Coors
LIGHT**

For more information, contact:

Larry Swartzlander,
Racing Director
Cell: 916-799-7084

Tom Doutrich,
Racing Secretary
Cell: 412-271-4613

1121 S. Chance Avenue Fresno, CA 93702
www.FresnoFair.com • 559-650-FAIR

AMRA World Championship Futurity Sun, Oct 16 \$7,000 Guaranteed Three Year-Olds - 350 Yards	AMRA World Championship Futurity Sun, Oct 11 \$7,000 Guaranteed Three Year - Olds - 350 Yards	AMRA World Championship Futurity Sun, Oct 12 \$7,000 Guaranteed Three Year - Olds - 350 Yards
Fresno Quarter Horse Futurity Sun, Oct 16 \$15,000 Guaranteed Three Year - Olds - 400 Yards	Fresno Quarter Horse Futurity Sun, Oct 18 \$15,000 Guaranteed Three Year - Olds - 400 Yards	Fresno Quarter Horse Futurity Sun, Oct 12 \$10,000 Guaranteed Three Year - Olds - 400 Yards
Fresno AMRA Gold Cup Sun, Oct 16 \$6,000 Guaranteed Three Year - Olds & Upward - 440 Yards	Fresno AMRA Gold Cup Sun, Oct 18 \$7,000 Guaranteed Three Year - Olds & Upward - 440 Yards	Fresno AMRA Gold Cup Sun, Oct 12 \$7,000 Guaranteed Three Year - Olds & Upward - 440 Yards
Fresno Arabian Stakes Sun, Oct 16 \$10,000 Added Three Year - Olds & Upwards - Six Furlongs	Fresno Arabian Stakes Sun, Oct 18 \$10,000 Added Three Year - Olds & Upward - Six Furlongs	Fresno Arabian Stakes Sun, Oct 12 \$8,000 Added Three Year - Olds & Upward

F. Will provisions be made for owners and trainers to use their own registered colors?
 Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

** As much as possible, we intend to align our post times to compliment the Santa Anita post times*

	MON//THURS/FRI	SATURDAY/SUNDAY
Race #1	1:15 p.m.	12:15 p.m.
Race #2	1:45 p.m.	12:45 p.m.
Race #3	2:15 p.m.	1:15 p.m.
Race #4	2:45 p.m.	1:45 p.m.
Race #5	3:15 p.m.	2:15 p.m.
Race #6	3:45 p.m.	2:45 pm
Race #7	4:15 p.m.	3:15 p.m.
Race #8	4:45 p.m.	3:45 p.m.
Race #9	5:15 p.m.	4:15 p.m.
Race #10	5:45 p.m.	4:45 p.m.
Race #11		5:15 p.m.
Race #12		5:45 p.m.
Race #13		6:15 p.m.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors:
- | | |
|-----------------------------|------------------------------|
| Larry Serpa, Vice-President | Debbie Jacobsen, President |
| Leta Ciavaglia | Linda Mae Balakian Hunsucker |
| Jerry Pacheco | Elizabeth Hudson |
| Dora Westerlund | Ricky Vang |
| | William "Bill" White |

- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
Chief Executive Officer John C. Alkire and Deputy Manager II Lauri King

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

John C. Alkire, CEO	Stacy Rianda, Deputy Manager II
Lauri King, Deputy Manager II	Doug Gooby, Pari-Mutuel Manager
Steve Wood, Track Superintendent	Rod Seitz, Maintenance Supervisor
Frank Mirahmadi, Race Announcer	Larry Swartzlander, Director of Racing
Odds Maker	

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

Chief Executive Officer	Deputy Manager II
John C. Alkire	Lauri King
1121 S. Chance Avenue	1121 S. Chance Ave
Fresno, Ca 93702	Fresno, Ca 93702
<u>jalkire@fresnofair.com</u>	<u>lking@fresnofair.com</u>

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2015	\$18,867,088	86,743	9 days
2014	\$19,194,952	89,178	9 days
2013	\$20,916,038	93,811	9 days
2012	\$19,403,833	95,139	9 days
2011	\$20,038,430	98,221	9 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

- 1. All races other than stakes:
 Current meet estimate: \$986,823
 Prior meet actual: \$959,323

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$109,647
 Prior meet actual: \$106,591

- 2. Overnight stakes:
 Current meet estimate: \$50,000
 Prior meet actual: \$82,000

Average Daily Purse (7A2 ÷ number of days):
 Current meet estimate: \$5,556
 Prior meet actual: \$9,111

- 3. Non-overnight stakes:
 Current meet estimate: \$100,000
 Prior meet actual: \$100,000

- 4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,136,823
 Prior meet actual \$1,141,323

Average Daily Purse (7A3 ÷ number of days):
 Current meet estimate: \$11,111
 Prior meet actual: \$11,111

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
 Current meet estimate: \$76,031
 Prior meet actual: \$76,031

C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 2,500	\$ 2,500
TOC	\$ 5,001	\$ 5,001
NTRA	\$ 1,206	\$ 1,206
PCQHRA	\$ 1,856	\$ 1,856
CWAR	\$ -0-	\$ -0-

ARAC	\$ 2,607	\$ 2,607
AMRA	\$ 2,470	\$ 2,470
CHBPAPEN	\$ 7,502	\$ 7,502
CTHF	<u>\$ 7,502</u>	<u>\$ 7,502</u>
Total	\$30,644	\$30,644

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,243,498

Prior meet actual: \$1,247,998

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$138,166

Prior meet actual: \$138,666

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$907,790

Prior meet actual: \$907,790

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$100,866

Prior meet actual: \$100,866

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$107,393

Prior meet actual: \$105,053

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$11,933

Prior meet actual: \$11,673

G. Bank and account number for the Paymaster of Purses' purse account:

On file

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average

daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
624
- B. Minimum number of stalls believed necessary for the meeting:
624
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
None
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
n/a
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
n/a

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
1,037
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
n/a
- H. Estimated cost to provide vaning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

9. EQUINE EMERGENCY SERVICES

- A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
Dr. Frosty Franklin 916-585-2050. No Auxiliary sites.
1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. Dr. Franklin will be on site and available 24/7.

10. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than

WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
Example Race	\$1 E; \$1 Double	CHRB #1959; RCI #VE	Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI	CHRB #1959, CHRB #1977, CHRB #1979,	

	\$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Identify any wagers noted in 10A (the current pari-mutuel wagering program) that were not in the prior year's pari-mutuel program, or that are not being carried forward from the previous year's pari-mutuel wagering program. None.

D. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 16th, 2016 (Closing Day of The Big Fresno Fair)**

E. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day.
\$0.50 PNP5 on the last five races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$.20 PNP6 on the last six races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.
\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

F. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

G. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:
Amtote (Dave Calendine) Expiration: December 30, 2020.
Equipment description on file with Board.



June 28, 2016

California Horse Racing Board
Attn: Jackie Wagner
Assistant Executive Director

To Whom It May Concern:

The following information is provided for you in response to the 2016 Race Meet Applications for all CARF Racing Fairs:

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF,	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1



	\$.20 SUPER HIGH 5	ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, Oak Tree at Pleasanton Fall Meet and the Big Fresno Fair. The 2005 NCOTWINC Agreement maintained on file at CRRB is still valid.

Respectfully Yours,

Larry A. Swartzlander
Chief Operating Officer

\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable

\$0.50 PNP4 on the first four races and the last four thoroughbred races each day

\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

H. List below the takeout percentage for each type of wager identified in 10B:

TAKEOUT PERCENTAGE

Win, Place, Show – 16.77%; Exacta & Daily Double – 24.02%

Pick 3, 4, 5, 6 & all Trifectas, Superfectas & Super High Five – 25.02%

- Race #1
- Race #2
- Race #3
- Race #4
- Race #5
- Race #6
- Race #7
- Race #8
- Race #9
- Race #10
- Race #11
- Race #12
- Race #13

11. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G. (Television Games Network), Twin Spires, Bet America & Watch & Wager.
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen’s groups?
 Yes No

If yes, attach a copy of the approval.
 If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

Don Jacklin
American Mule Racing Association



Pacific Coast Quarter Horse Racing Association

April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Coast Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through October 16, 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires, Game Play network, Inc. and Watch & Wager may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the TOC Letter Agreement date April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in dark ink, appearing to read "Dominic Alessio". The signature is fluid and cursive, with a large initial 'D' and 'A'.

Dominic Bud Alessio
President

April 22, 2016



Mr. Larry Swartzlander
 Chief Operating Officer
 CARF
 1776 Tribute Road, Suite 205
 Sacramento, CA 95815

RE: 2016 California Authority of Racing Fairs (CARF) ADW Approvals

Dear Larry,

Pursuant to the Interstate Horseracing Act and applicable California law, the Thoroughbred Owners of California (TOC) agrees to the use of California Authority of Racing Fairs (CARF) race signals under the terms and conditions set forth below.

Pursuant to Section XVII. Intrastate Advanced Deposit Wagering ("ADW") of the Race Meet Agreement between TOC and CARF, CARF shall be permitted to transmit race signals to California-licensed ADW providers TVG, Xpressbet, TwinSpire, WatchandWager, and Bet America to accept wagers on CARF and other Thoroughbred races during the 2016 CARF race meeting commencing June 15, 2016 and continuing through October 18, 2016, as follows:

1. **California wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
2. **California wagering on out-of-state Thoroughbred races:**
 - a. TVG, XpressBet, and TwinSpire may deduct a Hub Fee of 5.0% of gross handle.
 - b. WatchandWager and Bet America may deduct a Hub Fee of 4.2% of gross handle.
 - c. The remainder of the takeout shall be distributed in conformity with the Market Access Fee provisions of California law (Section 19604 et seq).
3. **Out-of-state wagering on CARF races:**
 - a. TVG, XpressBet, and TwinSpire shall pay a Host Fee of no less than 7.5% of gross out-of-state handle wagered by out-of-state account holders, plus a 1.25% California Purse Fee per SB 1072.
 - b. WatchandWager and Bet America shall pay a Host Fee of no less than 45% of takeout (which includes a 1.25% California purse fee per SB 1072) of gross out-of-state handle wagered by out-of-state account holders.

This agreement in no way binds TOC to the same terms and conditions with respect to future race meetings. TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to transmit race signals, and facilitate wagering on those signals, to the ADW providers specified herein or to any other ADW provider. TOC reserves the right to cancel or withdraw its authorization for any ADW provider, at any time, upon 48 hours written notice. These terms and conditions are subject to receipt by TOC of written confirmation of these terms, signed by authorized representatives of TVG, Xpressbet, TwinSpire, WatchandWager and Bet America.

Very truly yours,

Nick Coukos, President

OFFICERS

MIKE PEGRAM
 CHAIR
 BILL STRAUSS
 VICE CHAIR, SOUTHERN CA.
 JACK OWENS
 VICE CHAIR, NORTHERN CA.
 NICK ALEXANDER
 SECRETARY/TREASURER
 MIKE HARRINGTON
 AT LARGE
 ED MOGER
 AT LARGE

DIRECTORS

ALLEN ALDRICH
 BOB BAFFERT
 MARK DEDOMENICO
 RON ELLIS
 TERRY LOVINGIER
 WILLIAM E. MOREY
 SAMANTHA SIEGEL
 KATHY WALSH
 MICHAEL WELLMAN

EXECUTIVE STAFF

NICK COUKOS
 PRESIDENT
 MARY FORNEY
 DIRECTOR OF OPERATIONS
 RICHARD SCHEIDT
 NO. CA. DIRECTOR OF OPERATIONS
 JENNIFER SAAVEDRA
 BOOKKEEPER

CHAIRS EMERITUS

ED FRIENDLY (1996-97)
 ROBERT B. LEWIS (1997-2001)
 GARY BURKE (2001)
 JACK B. OWENS (2001-03, 04-05,
 2011)
 RON CHARLES (2003-04)
 ALAN LANDSBURG (2005-07)
 MARSHA NAIFY (2007-10)
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE
 ARCADIA, CA 91007
 T: 626-574-6620
 F: 626-821-1515
 WWW.TOCONLINE.COM

4/27/2016

(6 unread) - sav2276 - Yahoo Mail

10-20

Back to Message 2016 ADW Letter for ARAC for ... 1 / 1

<Larry@CALFairs.net>



April 22, 2016

Chief Operating Officer
CARF
1776 Tribute Road, Suite 205
Sacramento, Ca 95815

RE: 2016 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that the Authority of Racing Fairs (CARF) 2016 racing season, commencing June 15, 2016 and continuing through 2016, California-licensed ADW providers Lien Games Racing LLC, TVG, Xpressbet, TwinSpires and Watch accept wagers from California residents on races conducted at CARF and races conducted at tracks local California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Letter Agreement dated April 22, 2016.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson

[Type text]

*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa

Stanislaus County Fair, Turlock

Los Alamitos Race Course, Los Alamitos
 Mermaid Tavern, Thousand Oaks
 OC Tavern & Sports Bar, San Clemente
 Roadhouse Grill, Santa Maria
 Sammy's Restaurant & Bar, Lake Forrest
 Santa Anita Park, Arcadia
 Santa Clarita Lanes, Santa Clarita
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Tilted Kilt, Thousand Oaks
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

DOMESTIC	
ARLINGTON	10/5-10/16
BELMONT	10/5-10/16
CALDER RACECOURSE	10/5-10/16
CANTERBURY DOWNS	10/5-10/16
CHARLES TOWN	10/5-10/16
CHURCHILL DOWNS	10/5-10/16
COLONIAL DOWNS	10/5-10/16
DELAWARE	10/5-10/16
EMERALD DOWNS	10/5-10/16
EVANGELINE DOWNS	10/5-10/16
INDIANA DOWNS	10/5-10/16
LONE STAR	10/5-10/16
LOUISIANA DOWNS	10/5-10/16
MONMOUTH	10/5-10/16
MOUNTAINEER	10/5-10/16
PENN NATIONAL	10/5-10/16
PHILADELPHIA PARK/PARX	10/5-10/16
PIMLICO	10/5-10/16
PRAIRIE MEADOWS	10/5-10/16
PRESQUE ISLE DOWNS	10/5-10/16
RIVER DOWNS	10/5-10/16
RUIDOSO	10/5-10/16
SUFFOLK DOWNS	10/5-10/16
THISTLEDOWN	10/5-10/16
YAVAPAI DOWNS	10/5-10/16
INTERNATIONAL	
ASSINIBOIA	10/5-10/16
AUSTRALIAN RACING	10/5-10/16
FORT ERIE	10/5-10/16
HASTINGS	10/5-10/16
NORTHLANDS PARK	10/5-10/16
SOUTH AMERICAN RACING	10/5-10/16
UNITED KINGDOM	10/5-10/16
WOODBINE	10/5-10/16

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

2016 CARF Meets
Commingled Locations

AmWest Entertainment	Dover Downs	Maronas (South America)	Saratoga Harness Raceway
Amwest Accounts	Dubuque Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier (WV)	Elite Turf Club (1 to 12)	Maywood	Scarborough
Riders Up (SD)	Ellis Park	Meadowlands	Scioto Downs
Time Out Lounge (SD)	Emerald Downs	Meadows The	Seabrook Greyhound
Triple Crown (SD)	Evangeline Downs	Meadows The (ADW) - PA regional	Social Gaming
Arapahoe-Mile High	Evangeline Downs AWA	Millers OTB	Sol Mutuel
Arima Race Club	Fair Grounds	Mobile Greyhound	Southland Greyhound
Arlington I	Fair Grounds ADW	Monmouth Park	Sports Creek Raceway
Atlantic City Racecourse	Fair Meadows	Montana OTB	State Fair (Lincoln, NE)
Balmoral	Favorites at Gloucester	Monticello	Suffolk District OTB
Balmoral ADW (BETZOTIC)	Finger Lakes	Mountaineer Park	Suffolk Downs
Bangor Raceway	Fonner	Mt. Pleasant Meadows	Sunland Park
Batavia	Freehold	Nassau Regional OTB	SunRay Park & Casino
Bettor Racing	Gillespie County Fair	New Jersey Casino Assoc.	Tampa Bay Downs
Beulah Park	Global Wagering Solutions	Nevada Pari-Mutuel Assoc.	Taunton Acct Wagering
Birmingham	Bwin International Ltd.	Newport Jai Alai	Taunton Dog Track Inc.
Bluffs Run Greyhound	Intl Betting Assoc. Ltd	NJ Bets (ADW)	The Downs at Albuquerque
Buffalo Raceway	Magna Bet	Northfield	Thistledown
Canterbury	Racebets	Cedar Downs OTB	Tioga Downs
Capital District OTB	Greenetrack	Northville	Tri-State GH (Mardi Gras)
Catskills OTB	Greyhound @ Post Falls	NYRA	Turf Paradise
Charles Town Race Course	Gulf Greyhound	NYRA Account Wagering	Turf Paradise Bets (ADW)
Chester Downs & Marina LLC	Gulfstream	Oaklawn	Turfway Park
Churchill Downs	Harrington Raceway	Ocean Downs	TVG Chester
Club Hipica InTurf	HarringtonBets (DE regional)	Panama	TVG Network
Coeur d' Alene Casino	Hawthorne Race Course	PARX	TVG Prairie
Colonial Downs	Hawthorne ADW	PARX Phone Bet	Twin River Greyhound
Colonial Downs Phone Bet	Hazel Park	Penn National	TwinSpires
Columbus Raceway	Hoosier Park	Penn National Telebet	TwinSpires High Volume
Connecticut OTB	Horseman's Park	Peru	Velocity Wagering Ltd.
Bradley Teletheater, Bristol	Idabet	Plainridge Race Course	Venezuela OTB
New Britain, Norwalk, Milford	Indiana Downs	Plainridge Telephone Wagering	Vernon Downs
East Haven, Hartford, Putnam	Clarksville/Evansville OTB	Player Management Group	WatchandWager
Shoreline Star, Sports Haven	Intermountain Racing	Pocono Account Wagering	Western OTB
Torrington, Waterbury	Keeneland	Pocono Downs and OTB	Wheeling Downs
Manchester, New London	Keeneland Select ADW	Portland Meadows	Will Rogers Downs
Willimantic, Sanford OTB	Kentuck Downs	Potawatomi Casino/ OTB	Wyoming OTB
John Martin's Manor Restaurant	Kentucky OTB	Prairie Meadows	Wyoming Downs
Connecticut OTB ADW	Lebanon	Premier Turf Club	XpressBet
Coushatta Casino	Les Bois (Treasure Valley)	Presque Isle Downs	Yonkers Raceway
Paragon Casino	Lewiston OTB's	Raceway Park	Yonkers ADW
Ho-Chunk Casino and Racebook	Lien Games	Racing2Day LLC	Youbet Group 1
Mohegan Sun Casino	Chips Lounge and Casino	Racing2Day Intl. (Stan James)	Zia Park
Oneida Bingo and Casino	Howard Johnsons OTB	Remington Park	123Gaming
Pony Bar Simulcast Center	Rumors OTB	Remington OTB Network	
Tote Investment Racing	Skydancer Casino OTB	Retama	
Randall James Racetrack	Lien Games ADW	Racing & Gaming Services	
Millenium Racing	BetAmerica and Offtrackbetting	Rillito Park	Separate Pool Locations

Royal Beach Casino	Lone Star	River Downs	Camarero (Puerto Rico)
Divi Carina Bay Casino	Louisiana Downs	Rockingham Park	Caymanas (Jamaica)
Fair Chance, Winner's Circle	LVDC	Rockingham Account Wagering	Codere (Mexico/Spain)
Camouflage Gaming	Atlantis Paradise Casino	Ruidoso Downs	MIR Books (Caliente)
Corpus Christi Greyhound	Avatar Ventures	Running Aces Harness Park	NDS Books (Nevada)
Delaware	Buffalo Thunder Resort	Saddle Brook Park	Tabcorp (Australia)
Delta Downs	Foxwoods Resort Casino	Sam Houston	Tattsbet (Australia)
Derby Jackpot	Meskwaki Bingo & Casino	Valley Greyhound Park	New Zealand Racing Board

Canadian Locations

Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Hipodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,

F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino, Firehouse Restaurant, Bakersfield, Tilted Kilt, Thousand Oaks, Sammy's Restaurant & Bar, Striders, San Diego & Lake Forest.

G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).
 N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)	F.G. Franklin - DVM Track & Fitness Veterinarian Don Dooley – CHRB Veterinarian
Clerk of Scales	Matt Nichols
Clerk of the Course TB	Dawn Schmid
Clerk of the Course EB	Dolores Collins
Film Specialist	Myra Tronske
Asst. Clerk of Scales	Kenneth Fowler
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Jackie Payton
Paddock Judge/Patrol Judge	Danny Winnick
Patrol Judge	Cheryl White
Placing Judges	McKenzie Talbot/Myra Tronske
Starter	Robert Mooneyhan
Timer	Melody Truitt

B. Management officials in the racing department:

Director of Racing – **Larry Swartzlander**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Lisa Jones**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
 Mutual Manager – **Doug Gooby**

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Esquire Deposition Services, 155 E. Shaw Ave, Suite 201, Fresno, Ca. 93704 (559) 261-2324
Tricia Martinez

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

Plusmic Corporation USA – Bill O'Brien (Expires 12/7/18)

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communication, Inc. – (Jim Porep) Contract Expires: April 30, 2021
Equipment description on file with the Board

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying

the service, and expiration date of the service contract:

Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2021

14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Larry Swartzlander, Director of Racing

Cell: (916) 799-7084

Organizational Chart Attached

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Grandstand Security Guards: 12 Security Guards plus 2 Fresno Police Officers.

Stable Gate Area Security Guards: 6 Gatemen; 2 Stable Area Patrol; 6 Security Guards; and an on-ground stall superintendent live in the backside area and makes rounds throughout the night checking the horses and stalls.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

Addressed in B1 above.

- B. Number of security guards in the detention stall area during a 24-hour period.

N/A

- C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

All horses in thoroughbred races where the number is determined by a random algorithm generator.

- B. Plan for enhanced surveillance for trainers with high-test results.

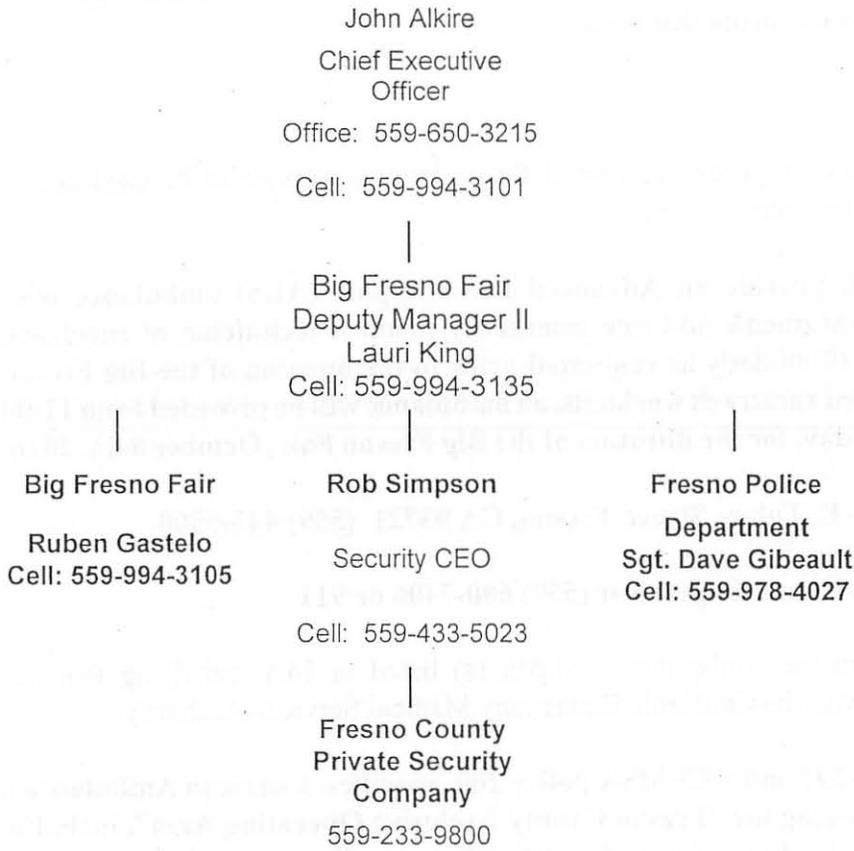
Trainer with high test results will be moved to the detention area.

- C. Plan for detention stalls for repeat offenders.

Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

- D. Number of security personnel assigned to the TCO2 program.

14 A. FRESNO SECURITY ORGANIZATION CHART



One (1) 24-hour security guard when detention stalls are occupied.

C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area

15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Ambulance shall provide an Advanced Life Support (ALS) ambulance with minimum staffing of one paramedic and one emergency medical technician at racetrack workouts from 06:30 until 10:00 daily as requested prior to the opening of the Big Fresno Fair. In addition to scheduled racetrack workouts, an ambulance will be provided from 12:00 until the end of racing each day, for the duration of the Big Fresno Fair, October 8-16, 2016.

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact:

CCEMSA Communications Center Supervisor (559) 600-7406 or 911

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification as well contract compliance is monitored by the Central California Emergency Medical Services Authority as well as the California Emergency Medical Services Authority

See Attached Letter from American Ambulance.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact Numbers:

CCEMSA Communications Center Supervisor: (559) 600-7406 or 911

American Ambulance Field Supervisor: (559) 259-0054

Fresno Fair First-Aid Station: (559) 253-7417

The Fresno Fair First-Aid Station is manned by 2 medical teams during Fair hours of 11:00am-11:00pm on weekdays and 10:00am-12:00am on weekends. These teams have a minimum staffing of one paramedic and one emergency medical technician.

Please See Attached FORM 112, 133, 200, 291 & FORM 547 in this section with Fresno County Procedures.

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the

June 30, 2016

Lauri King
Deputy Manager
Big Fresno Fair
1121 S. Chance Ave
Fresno, CA 93702

Dear Lauri:

All of the personnel staffing ambulances supplied by American Ambulance are currently certified and have met the certification requirements set forth by the Central California Emergency Medical Services Agency (CCEMSA) in addition to meeting the licensure and/or certification requirements of the California Emergency Medical Services Authority.

Paramedic's meet all of the requirements outlined in CCEMSA policy 133 (attached).

Emergency Medical Technician's meet all of the requirements outlined in CCEMSA policy 112 (attached).

All of the paramedic ambulances providing service to the Big Fresno Fair are defined as Advanced Life Support Unit (ALSU): ***An ambulance unit which is equipped and staffed to provide Paramedic level patient care***, as outlined in CCEMSA policy 291 (attached).

Please contact me if you require further information about the credentialing or licensure of EMT's and Paramedics that will be providing emergency medical services to participants and patrons of the Big Fresno Fair.

Russ Richardson
Quality Improvement Manager
American Ambulance
2911 East Tulare Ave
Fresno, CA 93721
(559) 443-5915

paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached Letter from American Ambulance.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification, as well contract compliance is monitored by the Central California Emergency Medical Services Authority.

See Attachment from Fresno County.

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCMESA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

**Breana Siliznoff
614 W. Muncie
Clovis, CA 93619
559-977-8477**

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Community Medical Centers, 2823 Fresno Street, Fresno, Ca. 93715 (559) 459-6000.

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

See Attached

- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

John C. Alkire, CEO, Stacy Rianda, Deputy Manager II and Lauri King, Deputy Manager II

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Attached.

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):

15C First aid and Medical Staffing

The Big Fresno Fair provides emergency medical services which support comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilization, comfort and transportation of injured racetrack personnel to appropriate local hospitals.

The on-track ambulance is a paramedic staffed advanced life support unit, dedicated solely to racing and racing related events. The ambulance is present trackside during training and on the track during racing. This ambulance is responsible for providing emergency medical services and transportation. When the services of the on-track ambulance are required, an additional ambulance will immediately be sent to replace the on-track ambulance.

During regular fair hours, at least one or more advanced life support ambulances are available onsite, as well as a fully staffed first-aid station that can provide advanced and basic life support.

Additional EMS resources, including rescue and air ambulance, are immediately available in the local area.

Patients will be transported per CCEMSA policy 547, based on medical necessity and/or patient preference. There are 4 local receiving facilities that provide comprehensive emergency medical services, including a Level One Trauma Center.

Community Regional Medical Center (Level One Trauma Center),
2823 Fresno St. Fresno CA 93721
(559) 459-33308.

Saint Agnes Medical Center. 1303 E. Herndon, Fresno, CA 93711
(559) 449-3205

Clovis Community Hospital, 2755 Herndon, Clovis CA 93611
(559) 324-4040

Kaiser Foundation Medical Center, 7300 N Fresno St. Fresno, CA 93720
(559) 448-5555

Onsite communication services are provided to ensure contact between all emergency care personnel. Communications are maintained between racing operations and the on-track ambulance via VHF radio, utilizing cell phones as a secondary resource. The on-track ambulance, additionally, maintains contact with the CCEMSA communications center through VHF and UHF radio, utilizing cellular service as a backup system.

The CCEMSA communications center is a Public Safety Answering Point. All personnel are informed to call 911 in the event emergency medical services are needed and other communications systems are not functional, and/or the on-track ambulance is disabled or otherwise unavailable.

The Big Fresno Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

15F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.
2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.

3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

15F Medical Protocols and Procedures: Spanish

Procedimiento en caso de Accidente en Big Fresno Fair

De ocurrir un accidente en el hopodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siumpre que lo necesario para tartar a la(s) victim(s).

Seguridad

1. Tan pronto como sea possible, unmiembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro desguridad sera responsable de mantener a los transeutes fuera del lugar del accidente.
2. U miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asquarar el area donde la amulancia estara y prevenir que transeutes y personas ajenas se acerquen.
3. Un miembrwo de seguridad del hipodrmomo sera responsable de escoltar a los vehiclulos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitudine.

Personal de Carreras/Vetennano del hipodromo

1. Ena vez en el lugar del accidente, el Outrider/escolta debera sejetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea possible hacerlo de esa manera.
3. El veterinano del hipodromo debera decidir si se sacrifique al aaballo en la pista.
4. Sea possible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publica, antes de iniciar el procedimiento de sacrificial del animal.

5. Los Outriders son responsables de remover cualquier desecho en la pista de que la persona a caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas debran recibir intrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representatante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro respesentante de la gerencia degera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locator.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quin se debe comunicar en caso de que un jockey sufra un accidente.
5. Todo los anuncios publicos y respuestas a ls prensa las realice uncamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todos los Jefes de Departamento

Todos los Jefes de Departamento deb en comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.



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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #18				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. Fire extinguishers will be place prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #19				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. Fire extinguishers will be installed prior to occupancy.

RECEIVED BY <i>[Signature]</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #20				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Inspected smoke alarms. Fire extinguishers will be installed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #21				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager I		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards.
 Tested the smoke alarms. The fire extinguishers will be installed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #22				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguishers will be installed prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #23				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguishers will be installed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #24				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguisher will be placed prior to occupancy.

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FILE NUMBER 04-10-04-0001-047		PAGE 1	OF 1	PAGE 1	
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES		
NAME OF BUILDING Horse Barn #25					
ADDRESS 1121 S. Chance					
DISCUSSED WITH Lauri King		TITLE Deputy Manager II			
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor			

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguishers will be installed prior to occupancy.

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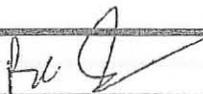
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #26				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. Fire extinguishers will be placed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #27				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be installed prior to occupancy.

RECEIVED BY <i>Rd S</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #28				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>[Signature]</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #29				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 12, 2015. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers to be installed prior to occupancy.

RECEIVED BY <i>Polly Parenti</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #30				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. Fire extinguishers to be installed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #31				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguishers are to be installed prior to occupancy.

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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #32				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested the smoke alarms. The fire extinguishers are to be installed prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
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NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #33				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. The fire extinguishers will be installed prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
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FILE NUMBER 04-10-04-0001-05	PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair	HOURS	MINUTES	
NAME OF BUILDING Horse Barn #34			
ADDRESS 1121 S. Chance			
DISCUSSED WITH Lauri King	TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz	TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 12, 2015. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers to be installed prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-057		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #35				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>Rod Seitz</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

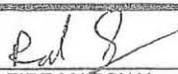
STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-058		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #36				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY 	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-059		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #37				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>[Signature]</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-060		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #38				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY 	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA - NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
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 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-061		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #39				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>Polly Parenti</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA - NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-062		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #40				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>Polly Parenti</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION
OFFICE OF THE STATE FIRE MARSHAL
 FIRE AND LIFE SAFETY DIVISION
INSPECTION REPORT
 EN-2 (12/08)



INSPECTION REPORT

FILE NUMBER 04-10-04-0001-064		PAGE 1	OF 1	PAGE 1
NAME OF FACILITY DAA Big Fresno Fair		HOURS	MINUTES	
NAME OF BUILDING Horse Barn #42				
ADDRESS 1121 S. Chance				
DISCUSSED WITH Lauri King		TITLE Deputy Manager II		
ACCOMPANIED BY Rod Seitz		TITLE Maintenance Supervisor		

An inspection of the fire sprinkler system occurred on June 29, 2016. The sprinkler system is functional and has passed a recent annual inspection per NFPA standards. Tested smoke alarms. Fire extinguishers will be placed prior to occupancy.

RECEIVED BY <i>[Signature]</i>	DATE
DEPUTY STATE FIRE MARSHAL Polly Parenti	DATE OF INSPECTION June 29, 2016

See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

16. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:
Food & Non-Alcoholic Beverages

COMPANY	OWNER	ADDRESS	CONCESSION SERVICE
Pardini Fair Ventures, L.P.	James Pardini	2257 W. Shaw Fresno, CA 93711	Food & Beverage, Alcoholic & Non-Alcoholic Beverage
Winner's Circle Restaurant	James Pardini	2257 W. Shaw Fresno, CA 93711	Cafe
	Don Fowler	916-205-5384	Providing food and non-alcoholic beverages to the Jockey Room
Speeda Sound	Mike King	5617 W. San Madele Fresno, Ca. 93722	Sound Equipment
Bob's Card, Inc.	Tiffany Bohland	6288 Highland Meadows Medina, OH 44256	Tip Sheets
Cal Horse	Rick Harris	26807 Proveda Mission Viejo, Ca. 92691	Tip Sheets
Vassar Photography	Bill Vassar	5075 Double Point Way Discovery Bay, CA 94505	Winner's Circle Photos
1 Sound View Loop	CARF Contract	South Salem, NY 10590	Starting Gate
Bailey Mobile Laundry	Frances Lorene Dutton	1129 Avenida Sevilla #7-B Walnut Creek, Ca. 94595-3741	Jockeys Laundry
Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 94588	Program Printing
NOTWINC	Bryan Wayte	11875 Dublin Blvd. Dublin, Ca. 94568	Armored Car Service



CALIFORNIA
FAIR SERVICES
AUTHORITY

June 13, 2016

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Re: Big Fresno Fair/21st DAA
1121 South Chance Avenue
Fresno, CA 93702

Please be advised that the Big Fresno Fair/21st DAA is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. GENERAL LIABILITY PROGRAM

A. Coverage Limits \$10,000,000 California Fair Services Authority (includes Liquor Liability)
Coverage continuous until cancelled

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY PROGRAM

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2016 to 07/01/2017

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Big Fresno Fair/21st DAA as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) day notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen

Lianne Lewellen
Risk Analyst

1776 TRIBUTE ROAD, SUITE 100
SACRAMENTO, CA 95815
PHONE: (916) 921-2213 | FAX: (916) 646-1238

B. Does the fair plan to provide its own concessions? Yes No

17. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:
Please See Attached Marketing Plan

B. Promotional/ Marketing budget for this race meeting:
Please See Attached Marketing Plan

Promotional/Marketing budget for prior race meeting:

As noted in our plan, the overall Operating Expense of Live Horse Racing is \$1,121,600. The overall Operating Expense of the Fair is \$11,015,302. The Live Racing expenses accounts for 9.82% of total Fair expenses. The overall Revenue from Live Horse Racing is \$1,214,000. The overall Revenue of the Fair is \$11,334,900. The Live Horse Racing revenue accounts for 9.33% of total revenue.

Please See Attached Promotional & Marketing budget for 2012, 2013, 2014, 2015 & 2016.

C. Number of hosts and hostesses employed for meeting:
18 – increased by 6 people

D. Describe facilities set aside for new fans:
Weekend and Holiday Handicapping Seminars, Created Beginner's Guide to Betting that will be available at each window, NEW Ask Me employees to help answer questions of customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- More than 6,350 trees planted as well as 156 boxed mature olive trees.
- Backside improvements to the tack rooms and new stall doors.
- Grandstand second floor restrooms, new tile floors and new paint & water efficient fixtures.
- Permanent grass planted in the infield; over two miles of sprinklers installed.
- Paddock: New recycled rubber tile floor and drainage system installed.
- Grandstand murals.
- Tote Board upgraded (electronically) plus a new water and rock feature.
- New 1890 Grandstand replica constructed for Kings Canyon customer gate entrance.
- Completely re-built eight barns and built 40 wash racks.
- Remodeled backside restaurant and new Satellite Wagering facility including new restrooms.
- Completely painted all barns and buildings on the backstretch.
- Tote Board refurbished with rock, plus a new 14' waterfalls.
- Outside rail raised to meet CHRB standards for safety.
- New Infield Race Gate Entrance
- New Tunnel Traffic Flow
- New Sports Bar in the Grandstand; "Starter's Sports Bar"
- New Churchill Downs gazebo in the VIP Horse Racing Parking Lot (Chance Parking Lot)



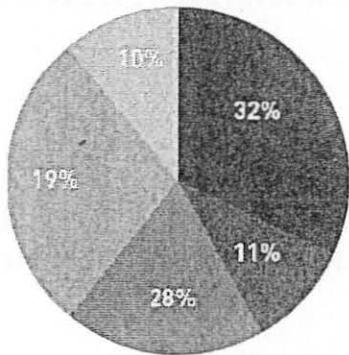
PROMOTION OF LIVE HORSE RACING AT THE 2016 BIG FRESNO FAIR

The tradition of live horse racing at The Big Fresno Fair is an extremely important part of our Fair celebration and is therefore integrated into all global Fair positioning as it relates to the marketing and advertising of our annual Fair event. Below are the more concentrated efforts to help promote Live Racing at the 2016 Big Fresno Fair.

Audience Demographic & Operating Budget:

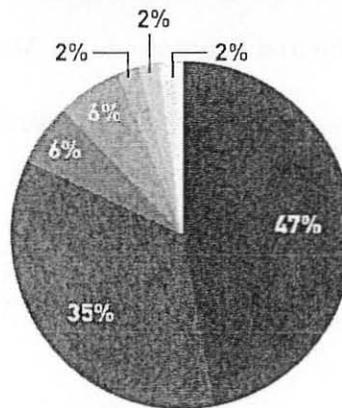
- Fair Dates: October 5 – 16, 2016
- Fresno County Population: 965,974
- Fresno City Population: 509,924
- Fair 5-year Average: 608,575
- Interim Fairgrounds Attendance: 1,685,500

Age



- 32%
17 & Under
- 11%
18-24
- 28%
25-44
- 19%
45-64
- 10%
65 & Above

Ethnicity

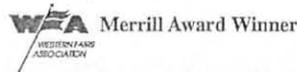


- 48.7%
Latino/Hispanic
- 35.4%
Caucasian
- 6.3%
Asian American
- 5.8%
African American
- 2%
Native American
- 2.4% Southeast
Asian American
- 2.1%
Other

- 2015 Post-Fair Survey Results:
 - o 29% of Fairgoers surveyed in an online survey post-Fair said they attended the races and another 37% wanted to go but did not have the opportunity.
 - o Of all Fairgoers surveyed, 27% chose Live Horse Racing as their favorite attraction at the Fair.



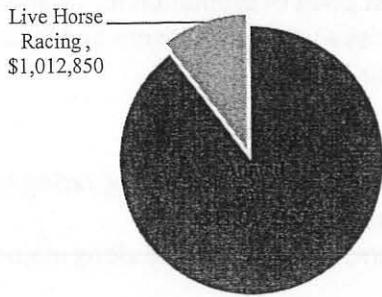
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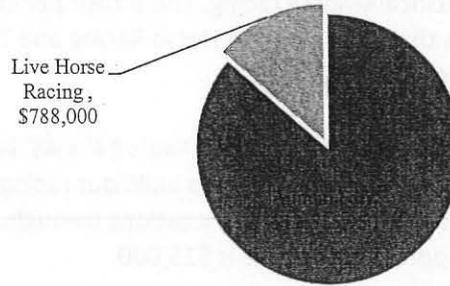


Budget Comparison – Overall Fair & Live Horse Racing

Overall Operating Budget (Expenses)



Overall Operating Budget (Revenue)

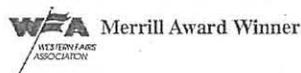


Paid Buy

Although reference to live horse racing is included in our global Fair advertising, an additional live horse racing buy is purchased annually, allowing us to target a more specific demographic for live horse racing, based on agency research regarding the average horse racing enthusiast.

- TV
 - Dedicated Horse Racing TV Spot to run on English & Spanish language local TV and Cable. Minimum \$19,000 paid schedule with anticipated \$40,000+ in promotional added value.
 - Contract with TVG & TVG2 to syndicate and stream The Big Fresno Fair race meet on their networks during the 9-day race meet. Total contracted amount is \$10,000.
- Radio
 - Live Horse Racing to be promoted on the top Fresno Stations. Minimum of \$18,000 paid schedule with an anticipated \$40,000 or more in promotional added value.
- Print
 - The following signature advertising pieces include dedicated Live Horse Racing advertising in our largest print publication in the market, the Fresno Bee. Those pieces include:
 - Spadea Wrap –Signature Fair print piece will include Live Horse Racing Section. Runs (2) times; Distribution 200,000 per run. Approximate value \$14,000.
 - Full Page Ad – Runs (2) in Full Color. Approximate value \$6,000.
 - Dedicated Horse Racing Strip Ads run on front page of the Sports section of local newspaper. Approximate value \$7,200.
 - Live Horse Racing included in approx. \$4,000 of advertising in local Spanish Language newspaper, Vida En El Valle.
- Digital
 - In 2015, The Big Fresno Fair placed a dedicated Horse Racing digital advertising buy to promote horse racing to a specific targeted demographic online; a technique that had not been previously used.

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- The digital advertising resulted in 129,632 impressions during a 2-week period with an average click through rate of .37%; the highest click through rate was .99%, which is above the national average.
- Click thru rates on those ads in 2015 were impressive. So in 2016, The Big Fresno Fair will continue to place this dedicated buy for Horse Racing. Approximate value is \$3,000.
- Transit Panels
 - For the past five years, the Fair has utilized transit panels as the first point of promotion for its annual Fair and specifically horse racing. The transit panel campaign includes a variety of Queens and Super King designs that promote Live Horse Racing and The Big Fresno Fair.
 - Approximate value is \$7,500.
- Billboards
 - For the third year, The Big Fresno Fair's 14' x 48' large outdoor billboards will promote live racing at the Fair in an effort to continue to build our racing audience and attract new fans.
 - The six billboards are in varying locations throughout Fresno, its surrounding cities and along major highways. Approximate value is \$15,000.

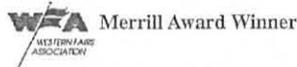
Existing On Grounds Promotion

- Official Radio Sponsor – KMJ
 - KMJ News Talk Radio is the official Radio sponsor of Live Horse Racing at The Big Fresno Fair with 50,000 watts, which is one of the largest radio signal from Sacramento to L.A.
 - KMJ AM – Most widely listened to local news station broadcasts the most popular local news talk radio show live from the VIP Suite in the Brian I. Tatarian Grandstand the 9 racing days of Fair.
 - Track announcer feed plugged into live feed for race updates to station throughout the day.
- Bulldog Stakes
 - Contest – Patrons are encouraged to wear red to celebrate the community's local university with the Fresno State Bulldog Stakes Race. If they wear red, then can then be entered to win cash prizes in the form of a \$50 to win bet! Bulldog Stakes Race is held on Sunday, October 16.
 - Race is promoted in paid advertising, on-grounds signage, on-grounds announcements, Daily Schedules distributed at the gate and through proactive media outreach.
- VIP Suite
 - Sponsors, Friends of the Big Fresno Fair & Satellite Wagering VIPs are offered a private location where they can enjoy the live racing venue effectively working as additional incentive for securing sponsorship funding.
- Live Horse Racing Sponsorship
 - Sponsors and cities within the County of Fresno are included in race sponsorship packages, which help stimulate interest in the live horse racing venue as a place to entertain business partners and encourages additional parties during live horse racing.

Additional Promotional Advertising

- Horse Racing Coasters
 - Returning in 2016, drink coasters will be designed highlighting live horse racing at The Big Fresno Fair and will now include a "text to qualify" incentive to increase engagement with the Fair and establish a

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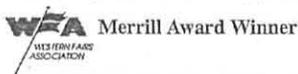
way we can keep in touch with them on information, specials, including post time changes, etc.

- More than 5,000 coasters will be distributed to sports bars in Fresno and Clovis in early September.
- Collateral
 - Reference to Live Horse Racing at the Fair is included in all collateral and print promotion created for global Fair initiatives such as Daily schedules, Flyers, Entertainment Poster and more.
- Website
 - A dedicated page to promote Live Racing at The Big Fresno Fair is featured.
 - URL links offered as added-value from several live racing sponsor sites.
 - Inclusion in Fair's email blasts to list of 38,000+ subscribers.
- Social Networking
 - The Fair's "What's New" blog on the website, Facebook, Twitter, Pinterest and Instagram are utilized to post information on Live Horse Racing at The Big Fresno Fair. Topics include but are not limited to online horse racing ticket sales, favorite horses and jockeys to watch, post times, etc.
 - Facebook: 49,821
 - Twitter: 9,101
 - Instagram: 5,488
 - Pinterest: 184
 - Additionally, the Fair uploads video highlights from the races on its YouTube page throughout the live race meet. The Fair's YouTube channel has 48 subscribers but 150,000+ views.
 - For the past four years, the Fair has been posting weekly "Hot Ticket" information promoting the big stakes races coming up that week/weekend. This continues in 2016 with the goal to keep the sport top of mind and increase the visibility of it among gambling enthusiasts to catch the "next generation" of horse racing wagers.
- Email Marketing
 - For the past six years, the Fair has really focused on utilizing email marketing to promote the Fair and its attractions. Live Horse Racing is a big part of the Fair and as such is included in nearly every email blast that goes out to the Fair's 38,000+ subscribers.
 - The email blasts are utilized to promote VIP packages and ticket sales for Live Horse Racing, special stakes races included the Bulldog Stakes Race and the Small Dog Races.
 - On average horse racing is included in 8-10 email blasts throughout the year, providing up to 380,000 impressions.

Outreach and Incentive to Horsemen

- Big Fresno Fair Bonus Incentive Program
 - The Big Fresno Fair is offering incentives for both the number of starts, as well as owners sending horses to race in Fresno. Trainers making 10 or more starts will receive an added \$1,000; 5 – 9 starts will receive \$500; and 2-4 starts will receive \$300. Then those owners shipping horses to Fresno from Pleasanton, Golden Gate or Southern California will receive \$200 per horse!

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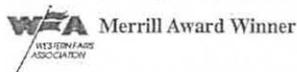


- Horsemen Racing Postcard
 - Copy included in attachment.
- Personal outreach to all trainers and owners done annually inquiring about any customer service items The Big Fresno Fair could help with such as hotel bookings, admission tickets, concert tickets, etc.
- Appreciation BBQ held during Fair
 - An appreciation BBQ is catered by the Fair for all the horsemen, trainers and jockeys during the annual race meet thanks to support by John Harris with Harris Ranch Beef.
- Awards & Incentives
 - Saddle Rack Give-A-Way
 - Saddle Give-A-Way
 - Champion Trainer - \$10,000 Incentive Program
 - Buckle Give-A-Way
 - Bronze Jockey Give-A-Way
 - Horse Trailer Award Or \$7,500 Cash Opt-Out Option
- A post-Fair thank you card is sent to each and every one of the jockeys, horse owners and trainers that participated in The Big Fresno Fair. This is utilized as a way to show them how important they are to the Fair's race meet and that we recognize their important role.
- If they have 20+ starts, we give horse owners and trainers a ham for Christmas
- Anyone who had 5+ starts, we give horse owners and trainers an assortment of locally grown fruits and nuts in a nice display basket

New and Returning Items

- **New:** Infield Parties
 - The horse races at The Big Fresno Fair are definitely "the place to be seen" in the Central Valley. The problem is the lack of VIP space available for people and/or businesses to purchase.
 - In 2016, the Fair will sell sponsor packages to businesses for the exclusive "Infield Party" inside the race during the Fair's race meet – what better place to be seen than where everyone is looking!
 - As part of the sponsor packages there will be signage opportunities to make sure the businesses are "seen" at the races and begin buzz about how other businesses can get those VIP seats.
 - The Infield will be set up with luxury tent suites, a private bar, buffet dining and betting stations. There will be a hostess greeting guests upon arrival and escorting them to and from the Infield to complete the VIP experience.
 - We will also market the Infield Horse Racing parties on a billboard located in the Infield during the 2016 to generate added interest in this new opportunity.
- Even Losers Can Win Promotion
 - Back by popular demand at the 2016 Big Fresno Fair, any of our losing tickets have the chance to be

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winners! How? Boxes will be on display on the ground floor of the race track with boxes numbered for each race that day. **New this year**, boxes will also be on display on the second floor of the race track for added opportunity and ease of entry for racing fans.

- Anyone who wagers on a race and does not win, can put their losing ticket in the box that is the number race they lost. They will be asked to put their name and cell phone number on the ticket.
- Then after Race 4 each day, a winner will be selected from each race to get a \$10 voucher to place on another bet. Subsequently, the same thing will be done after Race 8 and then the last race of the day if it is not Race 8. Then at the end of the race day, an overall winner will be selected from all the races that will receive a box of four to come back on a day of their choice to wager. They will also get a \$50 voucher to use on future bets.
- The winners are notified via phone number provided and directed on how to redeem their prize.
- Program is advertising in the daily Racing Program, Jumbotron, email marketing and on social media.
- Provides a fun way to give those losing betters another shot, and get them back to the races!
- The level of participation in its first year (2015) was incredible at the Fair team is excited to bring this back for our racing fans!

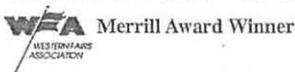
- Lovely Ladies Hat Contest

- We're bringing a little of the Kentucky Derby to The Big Fresno Fair with the Lovely Ladies Hat Contest. Every lady who participates in the Hat Contest will get Free admission into the Horse Races for the Reserved Seats; this does not include Box Seats or VIP Suite, Turf Club or Luxury Deck. The contest will be held on Monday, October 10.
- The Fair will bring in a radio partner to help promote; exact radio partner is TBD.
- Awards will be given out in 4 categories:
 - Most Glamorous
 - Best Hat & Coordinating Outfit
 - Best Fair Theme (Homegrown Fun)
 - Funniest or Most Outrageous
- Prices will be as follows:
 - Our grand prize receives \$150 cash voucher
 - 1st place in each category receives \$100 cash voucher
 - 2nd place in each category receives: \$50 Cash Voucher
- Judging will be done by a panel that includes our "Red Hatter Ladies" and La Feliz Guild Members, as well as Fair Board Members and a person from our Racing Staff.
 - One category will be judged between each race beginning after Race 2.
 - All participants will be asked to meet in the Winners Circle for judging.
 - Winners will be announced immediately.

- The Great Mascot Race

- The challenge in horse racing is to create excitement in between races. So in 2013, we added The Great Mascot Race, which puts mascots from around the Valley (School Mascots, Sporting Team Mascots, Media Outlet Mascots and Business Mascots) in a race against each other. There were several heats and then a final race, much like the Small Dog Races already being conducted.
- This was a fun way to get the community involved in horse racing, as well as gives our Fairgoers another reason to come out to the races. The feedback has been overwhelmingly positive and we are excited to

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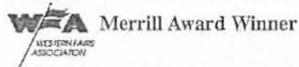




bring back this fun event!

- It is also held our “Fans & Followers” Day and has generated some great viral videos that have helped further promote the Fair and our horse races.
- Fans & Followers Day
 - Everyone is on social media these days and everyone is seeing what other people are doing. In an effort to continue to grow the Fair’s social media numbers, free admission will be provided to the Fair’s Facebook fans (49,290), Twitter followers (9,107) and new this year Instagram followers (4,948) on Thursday, October 6 from 11 a.m. – 5 p.m. All they have to do is click on the link posted to each social media outlet to download their exclusive voucher for FREE admission on October 6.
 - Fans & Followers Day was first executed in 2013 and the response has grown exponentially each year. In 2015, a total of 4,819 Fans & Followers participants redeemed the offer during the 5-hour period. This was a 523 increase (12.17%) from the total in 2014 of 4,296 participants.
- “How to Bet” Brochure
 - In 2012, the Fair created a “How to Bet” brochure that shows how to read a racing program, a glossary of racing terms and tips on the different ways people can wager.
 - The brochure is utilized at both of our Satellite Wagering facilities, as well as during the annual Fair as a resource for people who are looking for betting tips. We are continuing this in 2016.
- NEW “How to Bet” Signs
 - Taking inspiration from the “How to Bet” Brochure, these signs will be created to show Fairgoers a step-by-step process to placing a bet on any given horse racing.
 - These signs will then be mounted near all betting stations.
- Track Handicapper & Help Booth
 - First started in 2012, The Big Fresno Fair’s track handicapper Robbie Rodriguez sets up a designated booth near the main entrance of the Brian I. Tatarian Grandstand to give Fairgoers pointers on the top jockeys, trainers and horses to watch.
 - He also made himself available to help Fairgoers understand information in the racing program and even show them the different ways you can wager, handing out the “How to Wager” beginners guide from his booth to anyone that wanted one at no charge.
 - This has proven to be very successful and popular during the past four years and will continue at the 2016 live horse race meet.
- Better customer service to our race fans and that they understand the betting experience:
 - NEW Pari-mutuel customer Service training
 - NEW will work with Notwic to have an additional six customer service representatives at the Fair, stationed in high-traffic wagering areas to assist people onsite with wagering. They will also wear clearly marked “Ask Me” shirts to motivate people to inquire about how to bet.

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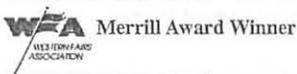


- Online Ticket Sales
 - In 2011, The Big Fresno Fair transitioned to sell the daily horse racing tickets online, in addition to Box Seats, VIP suite passes, tables on the Luxury Deck and Turf Club. By allowing Fair patrons the opportunity to purchase Fair tickets online, the Fair is making it more convenient and accessible for patrons. Additionally, it will cut down on lines at the entrance to the Grandstand on race days.
 - Then in 2013, the process was further streamlined by instituting changes to the structure of the online ticketing system to ensure the best experience possible for our horse racing fans. The reception of these changes in 2013 was great and made purchasing horse racing tickets online much more customer friendly.
 - Since 2011, we have transitioned the majority of our horse racing ticket sales to online purchases and in turn, have captured their email so we can continue to stay in touch with them providing racing updates, information on promotions and more.
 - This method of sales will continue in 2016.
 - New this year, the Fair is adding a Season Parking Pass as a purchase option to better serve those Fairgoers who come on multiple days.

- Social Networking Outreach
 - The Fair will continue to utilize its flip video and Go-Pro cameras to film Fair patrons at the horse races (with their permission) talking about their favorite things about the horse racing, how they pick a winner, etc. plus filming the races themselves to give the Fair's social media followers a glimpse of the excitement at the track. Those videos are then posted to the Fair's social networking accounts for additional exposure for live horse racing at The Big Fresno Fair.
 - This same concept can also be applied to interviews with jockeys, horse owners and trainers leading into the opening race day at the Fair. Will combine this with shots of horses warming up on the track.
 - Since 2012, the Fair has also posted its weekly "Hot Ticket" information, promoting the big races coming up that week. This continues in 2016 with the goal to keep the sport top of mind year round and increase the visibility of live horse racing among gambling enthusiasts to catch the "next generation" of horse racing wagerers.

- Special Races
 - \$100,000 Harris Farms Stake (Golden State Series Cal-Breds)
 - 4th Running, Fillies & Mares, Three-Year Olds & Up, Six Furlongs
 - \$ 50,000 Bulldog Handicap
 - 21st Running, Three- Year Olds & Up, One Mile & One Eighth
 - \$15,000 Fresno Quarter Horse Futurity
 - 23rd Running, Two Year Olds , 350 Yards
 - \$ 15,000 Fresno Quarter Horse Dash
 - 2nd Running, Three-Year Olds & Up, 350 Yards
 - \$6,000 Fresno AMRA Gold Cup
 - 18th Running, Three-Year Olds & Up Mules, 440 Yards

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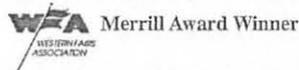




- \$8,000 Fresno Arabian Stake
 - Three-Year Olds & Up Arabians, One Mile
- Jumping Horses (See attached photo)
 - An obstacle course and special jumping demonstrations will be held in between horse races on Fridays and Saturdays of the Fair. Local competitors and the Fresno State equestrian team will produce this new entertainment.
 - Will be promoted in all possible collateral to get the word out, as well as email blasts, Social Networking and PR outreach.
 - The challenge in horse racing is to create excitement in between races. These events will be between races to create added excitement for horse racing enthusiasts.
- Hispanic Dancing Horses (See attached photo)
 - In an effort to further reach out to the Hispanic audience, which is a large part of the Fair's horse racing wagers, the Fair will have special shows from Los Caporales de Fresno showcasing the incredible talent of these dancing horses as well as the individuals that are riding them who also sing. There line-up of entertainment will feature Caballos Bailadores, Escaramuza Charra Caporalas, Cantantes De Musica Ranchera, Ballet Folklorico Tradicional and Floreadores Del Equipo Charros Dos Generaciones.
 - Caporales will perform between races on both Sundays.
 - It is promoted in the Fair's Entertainment poster, website, email blasts, social networking posts and in media outreach.
- Big Band Review
 - To ensure we are utilizing the horse racing track and Grandstand area on a dark day and continuing to bring larger audiences into our racing facility, the Fair established the first annual
 - Big Fresno Fair Big Band Review in 2011. The Big Band Review has continued to grow each year. We already have 23 of the allowed 25 bands that have signed up. The marching band competition is for high school and junior high marching bands in the state that will compete for \$15,000 in prize money in 1st, 2nd and 3rd place winnings.
 - It's promoted through earned media, social networking, email blasts and direct outreach to bands.
- 4.0 & Above Program
 - To ensure we are utilizing the horse racing track and Grandstand on a dark day and continuing to bring large audiences into our racing facility, the Fair established the 4.0 & Above Program in 2011 and it has continued to grow each year. We look forward to seeing how many scholarships can be given away in 2016 compared to the (18) \$3,000 scholarships given out in 2015, (2) \$1,500 scholarships and various assorted prizes, including the grand prize of a new car!
 - This is a scholastic achievement program that rewards all Fresno County students grades 8 – 12 who have achieved a GPA of 4.0 or above as of June 2016 with free admission to the Fair on Opening Day, Wednesday, October 5. Not only will they get free admission to the Fair, but they have a chance to win BIG prizes – including a new car and scholarships!
 - The school administrators, teachers, parents and students will gather in the Grandstand for a special



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drawing to see who wins the various prizes – including the grand prize of a new car. A total of 19 School Districts within Fresno County will be directly reached out to for participation. There are a total of 181 schools that will be invited this year.

- It is promoted through earned media, social networking, email blasts and direct outreach to schools. In addition, it is included in Paid Print Ads in the local newspaper.

Public Relations/Earned Media

The Big Fresno Fair Public Relations Department strategically plans news coverage suggestions and organizes interviews, information and planning for on-site media prior to and during The Big Fresno Fair. As part of planning, an entire section of the annual media kit is designated to include live horse racing information and is distributed to all local TV and Radio stations and print media. Examples from 2015 include:

- Minimum 4 stations broadcast their morning news shows live from The Big Fresno Fair's race track including interviews with trainers, experts on betting and jockeys.
- Minimum 6 packaged news stories were aired during the event. Topics included backstretch improvements, local racing horse owners and trainers, wagering tips, track safety and information for the layperson.
- The top viewed TV station in the market began including daily horse racing updates as part of its nightly sportscast in 2012 and has continued each year since. The Fair is working to get other TV stations in the market to follow their lead and include daily horse racing highlights as part of the nightly sports update.

Schedule of Pre-Fair Promotions & Fair-time Discount Days

PRE-FAIR SAVINGS:

Early BFF Discounted Tickets on Sale | March 16 – May 6

Save big when you buy Fair Admission tickets, Season Passes or Unlimited Carnival Ride Wristbands early! The Fair will be offering members of our Big Fair Fan Club (our email newsletter subscribers) the chance to save \$2 on admission, \$5 on Season Passes and up to \$10 on Unlimited Carnival Ride Wristbands until May 6. If you're not a member of the BFF Club, sign up today at www.FresnoFair.com. The pre-sale is online only.

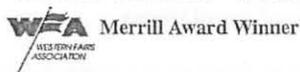
Exclusive BFF Concert Pre-Sale | August 1 – August 14

Be the first to buy tickets to your concert of choice at the 2016 Big Fresno Fair just by being a Big Fair Fan Club member! Beginning Wednesday, August 1 at 9 a.m. you will have the chance to purchase your concert tickets before anyone else, PLUS – for every concert ticket you purchase, you get 50% off admission if purchased in the same transaction. Not a member of the Big Fair Fan Club? Sign up today at www.FresnoFair.com. **NOTE:** All tickets are available during this concert pre-sale, including horse racing tickets.

"Pint for a Pass" Blood Drive | August 15 – September 11

Join The Big Fresno Fair and Central California Blood Center to give the "gift of life" during the 7th Annual "Pint for a Pass" Blood Drive. Donate blood at any Central California Blood Center donor center or mobile unit from August 15 through September 11, and receive a voucher good for a "Buy One, Get One Free" admission ticket to the 2016 Big Fresno Fair. Additionally, everyone that donates blood during this timeframe is entered to win a pair of concert tickets or reserved seats at the horse races along with Fair admission tickets.

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Season Pass

Purchase the popular Season Pass for only \$30 and visit the Fair every day! Season Passes will be available for purchase from August 1 – August 14 for Big Fair Fans online only. Then starting August 15 until October 4, everyone can purchase them online, by phone or at the Administration Ticket Box Office. A photo ID is required for use. **NOTE:** Early Discounted Pre-Sale is happening March 16 – May 6 for Big Fair Fan Club Members online only! Season Passes are discounted to \$25, so buy early and save!

NEW: Season Parking Pass

New this year, the Fair is adding a Season Parking Pass as a purchase option to better serve those Fairgoers who come on multiple days. Cost is \$100 and is available for purchase at Administration Ticket Box Office, by phone or online. The Season Parking Pass grants parking access in the Butler and Infield lots.

Unlimited Carnival Ride Wristband

Save BIG with the Unlimited Carnival Ride Wristbands for only \$25 if purchased by October 4! That's up to a \$10 discount off the regular Fair-time price of \$30 Mon – Thurs and \$35 Fri-Sun! Buy online from August 1 – August 14 for Big Fair Fans, and starting August 15 until October 4 everyone can purchase them online, by phone or at the Administration Ticket Box Office.

Save Mart Supermarkets & FoodMaxx Pre-Sale | September 7 – October 4

Save BIG when you purchase early at participating Save Mart Supermarkets or FoodMaxx stores! From September 7 – October 4, purchase your Fair admission tickets and Unlimited Carnival Ride Wristbands for a discounted price - \$2 off admission (all ages) and up to \$10 off an Unlimited Carnival Ride Wristband.

FAIR-TIME SAVINGS

\$1 Wednesday Admission | Wednesday, October 5

On this day only, Fairgoers will have the chance to get into the Fair for only \$1 all day long! What a great way to celebrate Opening Day of the 2016 Big Fresno Fair! **NOTE:** Carnival Rides are full price on this day.

Fans & Followers Day & \$2 Carnival Rides | Thursday, October 6

Save BIG just for connecting with us! Big Fresno Fair Facebook fans, Twitter followers and new this year, Instagram followers will get into the Fair FREE from 11 a.m. – 5 p.m. on Thursday, October 6 only. A link to a special barcode with instructions on redemption will be posted to Facebook, Twitter and Instagram on Wednesday, October 5. Plus, this day is also \$2 Carnival Rides where every ride is just \$2 per ride all day long! Valid for all carnival rides in Kiddie Land and the Main Carnival Area.

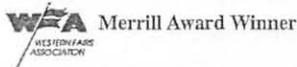
Seniors' Day | Monday, October 10

Seniors 62 and BETTER are able to enjoy the Fair for free! Remember, gates will open at 9 a.m. on this day only. Also, don't miss the special Seniors' Lifestyle Expo from 9 a.m. to 1 p.m. throughout the grounds featuring a variety of organizations focused on senior health, wellness and financial support.

Special Interest Day | Monday, October 10

Admission is FREE to persons with disabilities. One chaperone is admitted for free with each person that requires assistance. Remember, gates will open at 9 a.m. on this day.

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**Les Schwab Tires \$2 Tuesday | Tuesday, October 11**

Go to any participating Central Valley Les Schwab Tires to get a voucher for \$2 Fair admission on Tuesday, October 11. No purchase necessary; just ask a Les Schwab Tires representative! You can also join The Big Fair Fan Club at www.FresnoFair.com and receive a printable coupon via email for the same great deal! Big Fair Fan Club members will receive an email with their \$2 Tuesday coupon on Monday, October 10. If you sign up after Monday, October 10, there will be a link sent in your confirmation email. One voucher from Les Schwab Tires or a Big Fair Fan Club coupon required for each Fair ticket purchased. Offer valid only on Tuesday, October 11.

Sun-Maid Kids' Day & \$2 Carnival Ride Wednesday | Wednesday, October 12

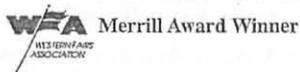
On Kids' Day Fair admission to the Fair is FREE for kids 12 and under with a paid adult admission all day long! Remember, kids 5 and under are always free. Plus, enjoy \$2 carnival rides all day long on Wednesday, October 12 too! Yes, that's just \$2 per ride! Valid for all carnival rides in Kiddie Land and the Main Carnival Area.

Save Mart & FoodMaxx "Feed the Need" Community Food Drive | Thursday, October 13

Be part of the effort to give back to our community! In the last seven years nearly 580 TONS of food has been collected to feed those in need in our community! This year's "Feed the Need" Community Food Drive will be held on Thursday, October 13 from 11 a.m. until 8 p.m. All you need to do is bring 5 or more canned food or other non-perishable food items per person to the Fair on this day and you will receive FREE admission. All food will be donated to The Salvation Army and The Community Food Bank to help them fight hunger in our community. The Fair is also seeking bulk food and/or monetary donations from businesses and individuals in the community tied to the "Feed the Need" Community Food Drive. Special recognition packages are available for those who donate at a certain level. Businesses or individuals interested in making bulk food and/or monetary donations please contact The Big Fresno Fair's Communications Office at (559) 650-3229.



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18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general)	-	\$10.00	\$7.00 Child	\$7.00 Seniors
Admission (clubhouse)		\$10.00		
Reserved seating (general)		\$4.00		
Reserved seating (clubhouse)		\$10.00		
Parking (general)		\$5.00		
Parking (preferred)		\$10.00		
Parking (Chance Lot)		\$15.00		
Parking (valet)		N/A		
Parking (season)		\$100		
Programs (on-track)		\$2.50		
(off-track)		\$2.50		

B. Describe any "Season Boxes" or other special accommodation fees:

Box seats are sold in advance - \$642.00 (Box of 4)
Daily box seats are sold individual at \$5/ea. (if available)

C. Describe any "package" plans such as combined parking, admission and program:

Season Passes will be sold for only \$30.00 which allows the horse players admission to the Fair everyday of the Fair, a total of 12 days for only \$30.00

19. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys:

Quarters for female jockeys are the same as the men's quarters. Built in 1990 and 1991. Separate locker rooms, restrooms, sauna room, bunkroom, and shower room for female jockeys.

20. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack:
 126 Tack Rooms

C. Number of restrooms available on the backstretch of the racetrack:

Five trailers—one, 20-foot restroom trailers with two restrooms each available for both men and women; and two, 28-foot shower trailers with four showers and 1 restroom each available for both men and women and two 40 – foot trailers with two restrooms and four showers each available for

both men and women.

- D. Estimated ratio of restrooms to the number of backstretch personnel:
1 – Receiving Barn; 58 in RV Trailer Park; 3 Portable Toilets (2 @Gate #10; 1 @Gap)
1 – Racing Secretary's Office; 1 – Winner's Circle Café.

21. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,129

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition:
Organic dirt, silt, clay, sand, and Fir bark
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
 The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner rail, metal (powder coated); outer rail, metal, aluminum and galvanized chainlink; inner rail supports, metal gooseneck; inner rail top shield is made of sheet metal; shield is 39" above the track surface.
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Steve Wood, Track Master**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

22. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
Horsemen's Agreement on file with CHRB.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

No Exceptions

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

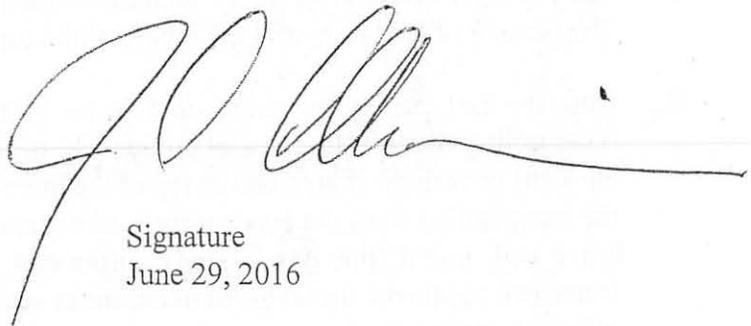
No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

John C. Alkire
Print Name
CEO



Signature
June 29, 2016

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED
AMENDMENT TO CHRB RULE 1734, WHIPPING, TO CURTAIL AND PREVENT
EXCESSIVE USE OF THE WHIP IN HARNESS RACING

Regular Board Meeting
August 25, 2016

ISSUE

The California Horse Racing Board (Board) is proposing to amend Rule 1734, Whipping, to curtail and prevent any excessive use of the whip during a harness race. The rule currently provides no driver shall use unreasonable force without outlining actions that would be considered unreasonable.

ANALYSIS

Business and Professions Code section 19420 gives the Board jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to adopt rules and regulations for the protection of the public and the control of horse racing.

It is a priority of the Board to ensure humane treatment of the horse. The purpose of the amendment to Rule 1734 is to curtail and prevent any excessive use of the whip. The proposed amendment to Rule 1734 is necessary to clarify what is acceptable use of a whip by a harness driver and update the rule to be consistent with other racing jurisdictions, such as Michigan, Pennsylvania and Indiana that have adopted similar rules. Such an amendment will also make the whipping rules imposed on harness drivers more consistent with those presently imposed on jockeys.

The proposed change to Rule 1734 subsection (a) clarifies what sorts of visible injuries amount to a violation of the rule. The proposed amendment to subsection (b) clarifies which actions shall be considered indiscriminate use of the whip, which is to be restricted generally to elbow and wrist movement only. Subsection (b)(1) prohibits a driver from raising the elbow above the driver's shoulder height, and subsection (b)(2) prohibits the driver's hand holding the whip to reach behind the driver during use of the whip. Subsections (b)(3) and (b)(4) describe the areas on the horse that drivers may not whip, including above the level of the shafts of the sulky and between the sulky shafts, as well as under the arch or shafts of the sulky. Subsection (b)(5) further prohibits using the whip as a goading or poking device or placing the whip between the legs of the horse, proposed subsection (b)(6) provides that use of the whip is indiscriminate when the horse does not appear to be advancing through the field of horses or is no longer in contention.

Subsection (c) of the proposed amendment requires that drivers keep a line in each hand from the start of the race until the final one-eighth of a mile, at which time they are permitted to hold both lines in one hand for the purpose of whipping with the free hand in accordance with subsection (b) described above.

Finally, subsection (d) prohibits drivers from using the whip more than three times in succession without giving the horse a chance to respond. The Cal Expo Board of Stewards has reported that this particular practice has become standard during the present harness race meet, and drivers have been quick to adapt to the three-strike requirement.

BACKGROUND

At its August 2015 regular meeting, the Board considered an amendment to Rule 1734, Whipping, to clarify what is acceptable use of a whip by a harness driver, and to update the rule so that it would be more consistent with other jurisdictions. At that meeting, the Board recommended that the proposed amendment be sent out for the 45-day public comment period; however, the Board also requested that staff engage specifically with the drivers that were to be affected by the rule in order to obtain their input.

Prior to initiating the 45-day public comment period, staff met with representatives from Watch & Wager LLC, the California Harness Horsemen's Association (CHHA), and several drivers. Those meetings culminated in newly recommended language that more specifically restricts whipping while holding two reins in one hand to only the last one-eighth of a mile of a harness race, and also prohibits drivers from whipping a horse more than three times in succession without giving the horse a chance to respond. Concurrent to these engagement efforts, Watch & Wager instituted a house rule that mirrored several key aspects of these proposed revisions to Rule 1734, including the three strikes provision; the Cal Expo Board of Stewards reported that compliance with these house restrictions has been substantial. Prior to the March 2016 Regular Board Meeting, staff circulated the proposed amendment to Rule 1734 to Watch & Wager, the CHHA, and several individuals representing drivers. In general, all of these groups and entities appeared to support the proposed changes.

At its March 2016 Regular Board Meeting, the Board directed staff to initiate the 45-day public comment period regarding the proposed amendment to Rule 1734. The 45-day public comment period ended August 8, 2016. No comments were received.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 9. HARNESS RACING RULES
PROPOSED AMENDMENT OF
SECTION 1734. WHIPPING

1734. Whipping

(a) No driver shall use unreasonable or unnecessary force in the whipping of a horse, nor whip any horse causing welts or breaks in the skin-visible injury, nor whip any horse about the head, nor whip any horse after the finish line has been crossed except when necessary to control the horse.

(b) Whipping shall be restricted to elbow and wrist action only, and the following actions regarding the use of the whip are prohibited:

(1) Raising the elbow above the driver's shoulder height.

(2) Allowing the hand holding the whip to reach behind the driver during the use of the whip.

(3) Use of the whip other than on the area inside and above the level of the shafts of the sulky and between the sulky shafts.

(4) Whipping under the arch of the shafts of the sulky.

(5) Use of the whip as a goading or poking device between the legs of the horse.

(6) Use of the whip when the horse does not appear to be advancing through the field of horses or is no longer in contention for purse money.

(c) For the purpose of whipping, all drivers must keep a line in each hand beginning when the horse is behind the starting gate and until the one-eighth of a mile prior to the finish line, and may not whip while holding two lines in one hand until then. The lines must remain reasonably taut during the entire race.

(d) Drivers may not use the whip more than three times in succession without giving the

horse a chance to respond.

Authority: Sections 19420 and 19440,
Business and Professions Code.

Reference: Section 19563,
Business and Professions Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT TO
CHRB RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS,
TO ADJUST THE PENALTIES FOR MEDICATION VIOLATIONS

Regular Board Meeting
August 25, 2016

ISSUE

The proposed amendment to Rule 1843.3, Penalties for Medication Violations, would: 1) eliminate the Category D penalties for phenylbutazone violations; 2) cause medication violations that occur within a specified time period to count as either a prior offense, or as an aggravating factor with regards to the determination of penalties for subsequent violations; and 3) prohibit licensees whose suspension is for more than 30 days from transferring their horses to any other licensee who has been an employee within the previous year.

ANALYSIS

Modifications to the text of Rule 1843.3 include: A new subsection 1843.3(f) has been added to provide that if a licensee has received a penalty for a Class A, B or C medication violation, and within a period of 12 months has a subsequent lesser violation (e.g. an A violation followed by a B violation), the earlier violation shall count as a "first violation" for the purposes of determining the penalty for the subsequent lesser violation. This means that if a trainer has had a violation at the same level or higher within 12 months of a subsequent violation, he or she does not get to "start over again" and the previous violation will count as a prior offense. A new subsection 1843.3(g) has been added to provide that if a licensee has received a penalty for a Class B, C or D medication violation, and within a period of 12 months has a subsequent greater violation (e.g. a D violation followed by a C violation), the earlier violation shall count as an aggravating factor for the purposes of determining the penalty for the subsequent greater violation. This means the first Class D violation will not automatically push the trainer into a second offense for the subsequent Class C violation. After hearing comments from the industry, the Board added new subsections (k)(1) and (l)(2) to address "revocation" of license separately from "suspension" of license. Previously, the concepts were addressed within the same subparagraph.

In addition to the new subsections 1843.3(f), (g), (k)(1) and (k)(2) described above, the proposed amendment to Rule 1843.3 would eliminate the separate Category D penalties for phenylbutazone at the 2.1 ug/ml to 5.0 ug/ml level. Instead, such phenylbutazone violations would be Category C penalties. In addition, subsection 1843.3(k) has been amended to prohibit suspended trainers from transferring their horses to any other licensee who has been an employee within the previous year.

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19461 states every license granted under this chapter is subject to suspension or revocation by the Board in any case where the Board has reason to believe that any condition regarding it has not been complied with, or that any law, or any rule or regulation of the Board affecting it has been broken or violated. Business and Professions Code section 19580 provides the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Those policies, guidelines and penalties shall include, at a minimum, the provisions set forth in this article. Business and Professions Code section 19581 states no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and the composition thereof. Business and Professions Code section 19582 states violations of Section 19581, as determined by the Board are punishable as set forth in regulations adopted by the Board. The Board may classify violations of section 19581 based on each class of prohibited drug substances, prior violations within the previous three years, and prior violations within the violator's lifetime. The Board may provide for the suspension of a license for not more than three years, except as provided in subdivision (b), or a monetary penalty of not more than one hundred thousand dollars, or both, and disqualification from purses, for a violation of Section 19581. The actual amount of the monetary penalty imposed pursuant to this paragraph shall be determined only after due consideration has been given to all the facts, circumstances, acts, and intent of the licensee, and shall not be solely based on the trainer-insurer rule, as established in Section 1843 and 1887 of Title 4 of the California code of Regulations. The punishment for second and subsequent violations of section 19581 shall be greater than the punishment for a first violation of section 19581 with respect to each class of prohibited drug substances, unless the administrative law judge, in findings of fact and conclusions of law filed with the Board, concludes that a deviation from this general rule is justified. A third violation of section 19581 during the lifetime of the licensee, determined by the Board to be at a class I or class II level, may result in the permanent revocation of the person's license. The administrative law judge shall, after consideration of the circumstances surrounding a violation specified in paragraph (1), file a decision with the Board that includes findings of fact and conclusions of law. Any person whose license is suspended or revoked pursuant to this section shall not be entitled to receive any material benefit or remuneration in any capacity or from any business activity permitted or allowed by the license during any period of its suspension or revocation. The penalties provided by this section are in addition to any other civil, criminal, and administrative penalties or sanctions provided by law, and do not supplant, but are cumulative to, other penalties or sanctions. Business and Professions Code section 19461 provides that every license granted under this chapter is subject to suspension or revocation by the Board in any case where the Board has reason to believe that any condition regarding it has not been complied with, or that any law, or any rule or regulation of the Board affecting it has been broken or violated. All proceedings to revoke a license shall be conducted in accordance with Chapter 5 of Part 1 of Division 3 of Title 2 of the Government Code. Government Code section 11425.50 states the

decision shall be in writing and shall include a statement of the factual and legal basis for the decision. Board Rule 1843, Medication, Drugs and Other Substances, provides that no horse participating in a race shall carry in its body any drug substance or its metabolites or analogues, foreign to the horse except as hereinafter expressly provided. No drug substance shall be administered to a horse which is entered to compete in a race to be run in this state except for approved and authorized drug substances as provided in these rules. Board Rule 1843.2, Classification of Drug Substances, categorizes and defines drug substances based on the Association of Racing Commissioners International (ARCI) drug classifications. Board Rule 1843.3, Penalties for Medication Violations, defines the penalties medication violations involving the substances defined and categorized in Board Rule 1843.2. 1843.3(i) prohibits a person whose license is suspended or revoked due to a medication violation from transferring horses to licensed family members.

RECOMMENDATION

This item is presented for Board discussion and action. The 45-day public comment period for the proposed amendment to Board Rule 1843.3 ended August 22, 2016. To date (August 18, 2016) no comments have been received.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.3. PENALTIES FOR MEDICATION VIOLATIONS.

Regular Board Meeting
August 25, 2016

(a) In reaching a decision on a penalty for a violation of Business and Professions Code section 19581, the Board, the board of stewards, the hearing officer or the administrative law judge shall consider the penalties set forth in subsections (d) and (e) of this Rule and any aggravating and mitigating circumstances. Deviation from these penalties is appropriate where the facts of the particular case warrant such a deviation, for example: there may be mitigating circumstances for which a lesser or no penalty is appropriate, and aggravating factors for which a greater penalty is appropriate ~~may increase the penalties beyond the minimum.~~

(b) Mitigating circumstances and aggravating factors, which must be considered, include but are not limited to:

- (1) The past record of the licensee regarding violations of Business and Professions Code section 19581;
- (2) The potential of the drug(s) to influence a horse's racing performance and the amount of the drug present;
- (3) The legal availability of the drug and whether the drug was prescribed to the horse by a California Horse Racing Board (CHRB) licensed veterinarian;
- (4) Whether there is reason to believe the responsible party knew of the administration of the drug or intentionally administered the drug;
- (5) The steps taken by the trainer to safeguard the horse;
- (6) The steps taken by an owner to safeguard against subsequent medication violations including, but not limited to, the transfer of the horse(s) to an unaffiliated trainer;

(A) ~~For the purpose of this regulation~~ "Unaffiliated trainer" means a trainer or an assistant trainer who is not related by blood, marriage or domestic partnership, or who is not or was never employed by the trainer from whose care such horse(s) were transferred.

(7) The probability of environmental contamination or inadvertent exposure due to human drug use or other factors;

(8) The purse of the race;

(9) Whether the drug found to be present in the official test sample was one for which the horse was receiving treatment as determined and documented through the process described in Rule 1842 of this division;

(10) Whether there was any suspicious wagering pattern on the race;

(11) Whether the licensed trainer was acting under the advice of a CHRB licensed veterinarian.

(c) ~~For the purpose of this regulation, the~~ The Board shall consider the classification of a drug substance as referred to in Rule 1843.2 of this division and the California Horse Racing Board (CHRB) Penalty Categories Listing By Classification, (Revised 4/15 1/08), which is hereby incorporated by reference, if a determination is made that an official test sample from a horse contained:

(1) Any drug substance, medication, metabolites or analogues thereof foreign to the horse, whose use is not expressly authorized in this division, or

(2) Any drug substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in the article.

(d) Penalties for violation of each classification level are as follows:

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category A penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd LIFETIME offense	3rd LIFETIME offense
<ul style="list-style-type: none"> ◦ Minimum one - year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$10,000 or 10% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000 or 25% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$20,000 or 25% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 50% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum three -year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of permanent license revocation. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$25,000 or 50% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd LIFETIME offense in owner's stable	3rd LIFETIME offense in owner's stable
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <p>Horse may be placed on the veterinarian's list for up to 90 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered.</p> <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 120 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances, minimum fine of \$10,000. The presence of aggravating factors could be used to impose a maximum fine of \$50,000. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 180 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Referral to the Board with a recommendation of a suspension of owners license for a minimum of 90 days.

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category B penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd offense (within two years time period)	3rd offense (within five years time period)
<ul style="list-style-type: none"> ◦ Minimum 30 -day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. AND/OR ◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> ◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. AND/OR ◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. 	<ul style="list-style-type: none"> ◦ Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. AND/OR ◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 10% of purse (greater of the two). AND ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd offense in stable (within two years time period)	3rd offense in stable (within five years time period)
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. AND ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. AND ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances minimum fine of \$5,000. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. AND ◦ Horse shall be placed on the veterinarian's list for up to 45 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. AND ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.

CATEGORY "B" PENALTIES FOR RULE 1843.6 TOTAL CARBON DIOXIDE (TCO₂) TESTING

Penalties for violations due to exceeding permitted levels of TCO₂ as defined in Rule 1843.6 are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense TCO₂ (> 37.0mm/l/-<39mm/l)	2nd offense TCO₂ (> 37.0mm/l/-<39mm/l)	3rd offense TCO₂ (> 37.0mm/l/-<39mm/l)
<ul style="list-style-type: none"> Up to a 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$1,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$5,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 120-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.
LICENSED OWNER:		
1st offense TCO₂ (> 37.0mm/l/-<39mm/l)	2nd offense TCO₂ (> 37.0mm/l/-<39mm/l)	3rd offense TCO₂ (> 37.0mm/l/-<39mm/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and in the absence of mitigating circumstances, \$2,500 fine.
LICENSED TRAINER:		
1st offense TCO₂ (≥ 39.0mm/l)	2nd offense TCO₂ (≥ 39.0mm/l)	3rd offense TCO₂ (≥ 39.0mm/l)
<ul style="list-style-type: none"> Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 365-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$10,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000.
LICENSED OWNER:		
1st offense TCO₂ (≥ 39.0mm/l)	2nd offense TCO₂ (≥ 39.0mm/l)	3rd offense TCO₂ (≥ 39.0mm/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and a fine ranging from a minimum of \$5,000, up to a maximum of \$20,000.

CATEGORY “C” PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category C penalty and for the presence of more than one non-steroidal anti-inflammatory (NSAID) in a plasma/serum sample, as defined in Rule 1844 of this division, and furosemide as defined in Rule 1845 of this division in an official test sample are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
<ul style="list-style-type: none"> Minimum fine of \$500 to a maximum fine of \$1,000 absent mitigating circumstances. 	<ul style="list-style-type: none"> Minimum fine of \$1,000 to a maximum fine of \$2,500, and up to a 15 - day suspension absent mitigating circumstances. 	<ul style="list-style-type: none"> Minimum fine of \$2,500 and up to a 30 - day suspension absent mitigating circumstances

CATEGORY “C” PENALTIES FOR RULE 1844, AUTHORIZED MEDICATION (C) (1), (2), (3)

Penalties for violations due to overages for permitted non-steroidal anti-inflammatory drug substances (NSAIDs) as defined in Rule 1844 (c) (1), (2) and (3) of this division. All concentrations are for measurements in serum or plasma.

The official veterinarian shall consult with the treating veterinarian in all violations of 1844 (c). ~~With permission of the official veterinarian the trainer may elect to pay the minimum fine in lieu of a stewards’ hearing.~~ If the trainer has not had an 1844 (c) violation within the previous three years, the ~~official veterinarian or the board of stewards~~ may issue a warning in lieu of a fine for violations of 1844 (c)(1), phenylbutazone, provided the reported level is below 5.1 mcg/ml.

LICENSED TRAINER:	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Minimum fine of \$500 to a maximum fine of \$1,000.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.
LICENSED OWNER:	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)	Phenylbutazone (2 5.1-<5 10.0mcg/ml) Flunixin (20-<100 ng/ml) Ketoprofen (2 11-< 50 49 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
No penalty administered.	No penalty administered.	No penalty administered.
LICENSED TRAINER:	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.	◦ Minimum fine of \$5,000 to a maximum fine of \$10,000.
LICENSED OWNER:	Phenylbutazone (≥ 5 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 5 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (within 365-day period)	3rd offense (within 365-day period)
◦ Horse must pass Board-approved examination pursuant to Rule 1846 before being eligible to run	◦ Disqualification of horse and loss of purse. If same horse, placed on veterinarian's list for up to 45-days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.

(e) Violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category "D" penalty, may result in a written warning to the licensed trainer and owner. A Category "D" penalty for a first offense may result in a written warning or fine that will remain on the licensee's record for a period of two years. After the two year period, if the licensee has had no further violations of CHRB Rule 1843, the Category "D" penalty will be expunged from the licensee's record for penalty purposes.

CATEGORY "D" PENALTIES

1 st offense (within 365 day period)	2 nd offense (within 365 day period)	3 rd offense (within 365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

CATEGORY "D" PENALTIES FOR RULE 1844(e)(1) VIOLATIONS

<u>Phenylbutazone 2.1ug/ml to 5.0 ug/ml</u>		
1 st -offense (365-day period)	2 nd -offense (365-day period)	3 rd -offense (365-day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

(f) If a licensee has received a penalty for a Class A, B or C medication violation, and within a 365 day period has a subsequent lesser violation (e.g. an A violation followed by a B violation), the earlier violation shall count as a "prior violation" for the purposes of determining the penalty for the subsequent lesser violation.

(g) If a licensee has received a penalty for a Class B, C or D medication violation, and within a 365 day period has a subsequent greater violation (e.g. a D violation followed by a C violation), the earlier violation shall count as an aggravating factor for the purposes of determining the penalty for the subsequent greater violation.

(fh) Any drug or its metabolite or analogue thereof found to be present in an official test sample that is not classified in Rule 1843.2 of this division shall be classified as a Class 1 substance and a Category "A" penalty until classified by the Board.

(gi) The administration of a drug substance to a race horse must be documented by the treating veterinarian through the process described in Rule 1842 of this division.

(hj) Any licensee found to be responsible for the administration of any drug substance resulting in a positive test may be subject to the same penalties set forth for the licensed trainer and his presence may be required at any and all hearings relative to the case.

(1) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "A" shall be referred to the California Veterinary Medical Board (CVMB) for consideration of further disciplinary action.

(2) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "B" or "C" may be referred to the CVMB for consideration of further disciplinary action upon the recommendation of the Equine Medical Director, the board of stewards or hearing officers.

~~(ik)~~ A licensee who is suspended, ~~or whose license is revoked,~~ because of a medication violation is not able to benefit financially during the period of suspension ~~or revocation~~. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or, for any licensee whose suspension is for more than 30 days, to any other licensee who has been an employee of the suspended licensee within the previous year.

(1) A licensee whose license is revoked because of a medication violation is not able to benefit financially following the revocation of his or her license. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members or to any other licensee who has been an employee of the licensee whose license is revoked within the previous year.

~~(jl)~~ For the purpose of this regulation "Licensed licensed-family members" means any person who holds an occupational license issued by the CHRB and who is related to the suspended licensee, or the licensee whose license is revoked, by blood, or by marriage or domestic partnership, or who is related by blood to the spouse or domestic partner of such licensee.

~~(1)~~ For the purpose of this regulation, Licensed licensed-trainers suspended 60 days or more, ~~or whose license is revoked,~~ shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, during the period of suspension, ~~or revocation,~~ such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

(2) A trainer whose license is revoked shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, such trainer shall forfeit all assigned stall space and shall remove from the inclosures

all signage, colors, advertisements, training-related equipment, tack, office equipment, and any other property.

Authority: Sections 19440, 19461 and 19580,
Business and Professions Code.

Reference: Sections 19461, 19580, 19581 and 19582,
Business and Professions Code. Section 11425.50, Government Code.

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 13

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 14

CALIFORNIA HORSE RACING BOARD

AUGUST 25, 2016
REGULAR BOARD MEETING

There is no board package material for Item 15

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE ALLOCATION OF
RACE DATES
BEGINNING YEAR 2017

Regular Board Meeting
August 25, 2016

ISSUE

In July 2016 the Race Dates Committee met for discussions regarding the allocation of California race dates beginning in the year 2017. At the meeting no definitive decisions were made regarding dates allocation. Following the July 2016 Race Dates Committee meeting the industry was asked to submit three-year race dates proposals to be discussed at the Committee's August 22, 2016 meeting.

BACKGROUND

Business and Professions Code section 19530 provides the Board the authority to allocate racing weeks to an applicant pursuant to the provisions of the horse racing law and to specify such racing days, dates, and hours for horse racing meetings as will be in the public interest, and will subserve the purposes of the law. Business and Professions Code section 19531 states the Board shall make allocations for racing weeks, including simultaneous racing between zones as it deems appropriate. The maximum number of racing weeks that may be allocated for horse racing other than at fairs, shall be as follows: (a) For thoroughbred racing: 44 weeks per year in the northern zone; 42 weeks per year in the central zone; and seven weeks per year in the southern zone. (b) For harness racing: 25 weeks per year in the northern zone. (c) For quarter horse racing: 25 weeks per year in the northern zone. (d) For harness racing and quarter horse racing: a total of 77 weeks per year in the combined central and southern zones. Business and Professions Code section 19532(a) specifies any association licensed to conduct thoroughbred racing in the northern zone may receive no more than 35 weeks of that racing. (b) Any association licensed to conduct thoroughbred racing in the central zone may receive no more than 17 weeks of that racing, except that any association which conducts a split meeting may receive up to 20 weeks of that racing. No more than one such split meeting may be licensed in any one year. Business and Professions Code section 19549 provides the maximum number of racing weeks that may be allocated to a fair shall be four weeks each, except under specified conditions.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from the Race Dates Committee.